

### 3.1 Probability conservation in Rel. Quan Mech

#### • Early Attempts

$$H = \frac{p^2}{2m}$$

$$H = i\hbar \frac{\partial}{\partial t} \quad p = -i\hbar \nabla$$

$$i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \psi \quad \rightarrow \quad \frac{\partial \psi}{\partial t} = +\frac{i\hbar}{2m} \nabla^2 \psi$$

$$\frac{\partial \psi^*}{\partial t} = -\frac{i\hbar}{2m} \nabla^2 \psi^*$$

$$p = |\psi|^2 > 0$$

probability density

$$S = -\left(\frac{i\hbar}{2m}\right) (\psi^* \nabla \psi - \psi \nabla \psi^*) \quad : \text{flux density}$$

Continuity eq

$$\frac{\partial p}{\partial t} + \nabla \cdot S$$

$$= \left(\frac{\partial}{\partial t} \psi^*\right) \psi + \psi^* \frac{\partial}{\partial t} \psi$$

$$= -\frac{i\hbar}{2m} (\nabla^2 \psi^*) \psi + \psi^* \frac{i\hbar}{2m} \nabla^2 \psi$$

$$= -\frac{i\hbar}{2m} \left( \nabla \cdot (\nabla \psi^* \psi - \psi \nabla \psi^*) \right)$$

$$\therefore \frac{\partial p}{\partial t} + \nabla \cdot S = 0.$$

Relativistic form ?

$$H = \sqrt{p^2 c^2 + m^2 c^4}$$

$$i\hbar \frac{\partial \psi}{\partial t} = \sqrt{-\hbar^2 c^2 \nabla^2 + m^2 c^4} \psi \quad ?$$

So use

$$H^2 = p^2 c^2 + m^2 c^4$$

$$-\hbar^2 \frac{\partial^2}{\partial t^2} \psi = (\hbar^2 c^2 \nabla^2 + m^2 c^4) \psi$$

$$\left[ \square \psi - \left( \frac{mc}{\hbar} \right)^2 \right] \psi = 0$$

$H$  has two solutions  $\pm E$ .

Can introduce

$$S_m = A \left( \psi^* \frac{\partial \psi}{\partial x_m} - \frac{\partial \psi^*}{\partial x_m} \psi \right)$$

$$\begin{aligned} \frac{\partial S_m}{\partial x_m} &= A \left( \frac{\partial \psi^*}{\partial x_m} \frac{\partial \psi}{\partial x_m} + \psi^* \square \psi - \square \psi^* \psi - \frac{\partial \psi^*}{\partial x_m} \frac{\partial \psi}{\partial x_m} \right) \\ &= 0. \end{aligned}$$

But

~~$$S_0 = A \left( \psi^* \frac{\partial \psi}{\partial t} - \frac{\partial \psi^*}{\partial t} \psi \right)$$~~

$$S_4 = \frac{1}{i\hbar} A \left( \psi^* \frac{\partial \psi}{\partial t} - \frac{\partial \psi^*}{\partial t} \psi \right)$$

is not positive definite,

$\Rightarrow$  later it turns out to be O.K. later

## 3-2 The Dirac Equation.

Spin과 관련된 항

$$H = -\frac{e\hbar}{2mc} \sigma \cdot B \quad \text{가 추가된다.}$$

But why does it not come from minimal sub

$$H^{KE} = p^2/2m$$

$$H^{KE} = (\sigma \cdot p)(\sigma \cdot p)/2m. \quad \leftarrow \text{assume}$$

Minimal sub.

$$\frac{1}{2m} \sigma \cdot (p - \frac{eA}{c}) \sigma \cdot (p - \frac{eA}{c})$$

$$= \frac{1}{2m} \underbrace{\sigma_i \sigma_j}_{\delta_{ij} + i\epsilon^{ijk} \sigma_k} (p - \frac{eA}{c})^i (p - \frac{eA}{c})^j$$

$$= \frac{1}{2m} (p - \frac{eA}{c})^2 + \frac{i}{2m} \sigma \cdot \left[ (p - \frac{eA}{c}) \times (p - \frac{eA}{c}) \right]$$

$$\begin{aligned} & \cancel{p \times p}^0 - \frac{e}{c} (p \times A + A \times p) + \left(\frac{e}{c}\right)^2 \cancel{A \times A}^0 \\ & \left( -i\hbar \nabla \times A = -i\hbar (\nabla \times A) + i\hbar A \times \nabla \right) \\ & \quad \rightarrow = -\frac{e}{c} (-i\hbar) (\nabla \times A) \end{aligned}$$

$$= \frac{1}{2m} (p - \frac{eA}{c})^2 - \frac{e\hbar}{2mc} \sigma \cdot B.$$

Now what is the ~~Rela~~ Relativistic form

$$(E/c)^2 - p^2 = (mc)^2$$

$$\left(\frac{E}{c}\right)^2 - (\sigma \cdot p)^2 = \left(\frac{E}{c} - \sigma \cdot p\right) \left(\frac{E}{c} + \sigma \cdot p\right) = (mc)^2$$

↓

$$\cancel{i\hbar \frac{\partial}{\partial t}} \quad E = i\hbar \frac{\partial}{\partial t} = i\hbar c \frac{\partial}{\partial x_0}$$

$$(i\hbar \frac{\partial}{\partial x_0} + \sigma \cdot i\hbar \omega) (i\hbar \frac{\partial}{\partial x_0} - \sigma \cdot i\hbar \omega) \phi = (mc)^2 \phi, \quad \textcircled{1}$$

↓

two component.

↓

this is still Quadratic in time.

introduce a larger space.  $\phi^R, \phi^L$

$$\phi^R = \frac{1}{mc} (i\hbar \frac{\partial}{\partial x_0} - i\hbar \sigma \cdot \omega) \phi, \quad \phi^L = \phi$$

we can get  $\textcircled{1}$  if

$$[i\hbar \sigma \cdot \omega - i\hbar (\frac{\partial}{\partial x_0})] \phi^L = -mc \phi^R$$

$$[-i\hbar \sigma \cdot \omega - i\hbar (\frac{\partial}{\partial x_0})] \phi^R = -mc \phi^L$$

Sum, and differences give

$$-ik(\sigma \cdot \omega)(\phi^R - \phi^L) - ik(\partial/\partial x_0)(\phi^L + \phi^R) = -mc(\phi^L + \phi^R)$$

$$ik(\sigma \cdot \omega)(\phi^L + \phi^R) + ik(\partial/\partial x_0)(\phi^R - \phi^L) = -mc(\phi^R - \phi^L)$$

$$\cancel{\phi^R + \phi^L} =$$

denoting  $\psi = \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} = \begin{pmatrix} \phi^R + \phi^L \\ \phi^R - \phi^L \end{pmatrix}$

$$\begin{pmatrix} -ik(\partial/\partial x_0) & -ik\sigma \cdot \omega \\ ik\sigma \cdot \omega & ik(\partial/\partial x_0) \end{pmatrix} \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} = -mc \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix}$$

$$\Rightarrow \left( \not{\partial} \cdot \omega + \not{\partial}_4 \frac{\partial}{\partial \tilde{x}_4} \right) \psi + \frac{mc}{\hbar} \psi = 0 \quad - \text{Dirac Eq}$$

$$\not{\partial}_K = \begin{pmatrix} 0 & -i\sigma_K \\ i\sigma_K & 0 \end{pmatrix} \quad \not{\partial}_4 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}$$

$$\rightarrow \left( \not{\partial}_\mu \frac{\partial}{\partial x_\mu} + \frac{mc}{\hbar} \right) \psi = 0 \rightarrow \text{Dirac Eq.}$$

where  $\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$   $\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$   $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

$$I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

Note  $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} \quad \left( \not{\partial}_\mu \frac{\partial}{\partial x_\mu} + \frac{mc}{\hbar} \right) \psi = 0$

Note.

$$\{\gamma_\mu, \gamma_\nu\} = \gamma_\mu \gamma_\nu + \gamma_\nu \gamma_\mu = 2\delta_{\mu\nu}.$$

$$\gamma_4^2 = 1$$

$\gamma_\mu^\dagger = \gamma_\mu$  : in this Representation.  
(and traceless.

$$\left( \gamma_4 \frac{\partial}{\partial x_4} + \gamma_i \frac{\partial}{\partial x_i} + \frac{mc}{\hbar} \right) \psi = 0 \quad x_4 = ict$$

Multiplying Dirac Eq by  $\gamma_4 \times c$

$$\left( \underbrace{\frac{\partial}{\partial x_4}}_{\frac{\partial}{\partial ict}} + \gamma_4 \gamma_i \frac{\partial}{\partial x_i} + \gamma_4 \frac{mc}{\hbar} \right) \psi = 0$$

$$H\psi = i\hbar \left( \frac{\partial \psi}{\partial t} \right)$$

$$H = -i\hbar c \alpha \cdot \nabla + \beta mc^2.$$

$$\text{where } \beta = \gamma_4 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \alpha_k = i\gamma_4 \gamma_k = \begin{pmatrix} 0 & \sigma_k \\ \sigma_k & 0 \end{pmatrix}$$

Note

$$\{\alpha_k, \beta\} = 0, \quad \beta^2 = 1 \quad \{\alpha_k, \alpha_l\} = 2\delta_{kl}$$

# Conserved Current

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define  $\bar{\psi} = \psi^\dagger \gamma_4$

$$= (\psi_1^*, \psi_2^*, -\psi_3^*, -\psi_4^*)$$

Dirac Eq

$$\left( \gamma_\mu \frac{\partial}{\partial x_\mu} + \frac{mc}{\hbar} \right) \psi = 0 \quad \text{--- (1)}$$

Hermitian Conjugate

$$\frac{\partial}{\partial x_\mu} \psi^\dagger \gamma_4 + \frac{\partial}{\partial x_\mu^*} \psi^\dagger \gamma_4 + \frac{mc}{\hbar} \psi^\dagger = 0 \quad \text{--- (2)}$$

$$\leadsto -\frac{\partial}{\partial x_\mu}$$

$$\leftarrow \times \gamma_4$$

$$- \frac{\partial}{\partial x_\mu} \bar{\psi} \gamma_\mu + \frac{mc}{\hbar} \bar{\psi} = 0$$

$$\bar{\psi} \times (1) - (2) \times \bar{\psi}$$

$$\bar{\psi} \gamma_\mu \frac{\partial}{\partial x_\mu} \psi + \left( \frac{\partial}{\partial x_\mu} \bar{\psi} \right) \psi = 0$$

$$\therefore \frac{\partial}{\partial x_\mu} (\bar{\psi} \gamma_\mu \psi) = 0$$

conserved current

$$S_\mu = i c \bar{\psi} \gamma_\mu \psi = (c \psi^\dagger \alpha \psi, i c \psi^\dagger \psi)$$

$$\int \psi^\dagger \psi d^3x = \text{const.} \quad \begin{array}{cc} \downarrow & \downarrow \\ \text{flux density} & \text{prob density} \end{array}$$

$$\psi^\dagger \psi = \psi_\alpha^* \psi_\alpha \geq 0 \quad \text{positive definite} \rightarrow \text{probability}$$



Representation independence.

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next time.

### 3-3. Simple Solutions; Nonrelativistic Approximations; Plane waves.

$$p \rightarrow p - \frac{e}{c} A$$

$$-i\hbar \frac{\partial}{\partial x} - \frac{e}{c} A = -i\hbar \left( \frac{\partial}{\partial x} - i \frac{e}{\hbar c} A \right)$$

$\therefore$  with EM, Dirac Eq becomes.

$$\left( \frac{\partial}{\partial x} - \frac{ie}{\hbar c} A \right) \psi + \frac{mc}{\hbar} \psi = 0.$$

Assume  $A_\mu(x,t) = A_\mu(x)$  independent of time

$$\psi = \psi(x,t) \Big|_{t=0} e^{-iEt/\hbar}$$

$$\therefore \left( \frac{\partial}{\partial x} - \frac{ie}{\hbar c} A \right) \psi + \left( \frac{\partial}{\partial x} - \frac{ie}{\hbar c} A \right) \psi + \frac{mc}{\hbar} \psi = 0$$

$$\frac{1}{i\hbar} \frac{-iE}{\hbar}$$

$$\frac{-1}{\hbar c} \left( E - eA_0 \right) \begin{pmatrix} \psi_A \\ -\psi_B \end{pmatrix} + \frac{1}{\hbar} \left( p - \frac{eA_0}{c} \right) \begin{pmatrix} 0 & -i\sigma_0 \\ i\sigma_0 & 0 \end{pmatrix} \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} = 0$$



$$\Rightarrow \left[ \sigma \cdot \left( p - \frac{eA}{c} \right) \right] \psi_B = \frac{1}{c} (E - eA_0 - mc^2) \psi_A \quad \text{--- (1)}$$

$$- \left[ \sigma \cdot \left( p - \frac{eA}{c} \right) \right] \psi_A = - \frac{1}{c} (E - eA_0 + mc^2) \psi_B \quad \text{--- (2)}$$

$$\textcircled{2} \rightarrow \frac{c^2}{E - eA_0 + mc^2} \left[ \sigma \cdot \left( p - \frac{eA}{c} \right) \right] \psi_A = c \psi_B$$

multiply by  $\sigma \cdot \left( p - \frac{eA}{c} \right)$

$$\left[ \sigma \cdot \left( p - \frac{eA}{c} \right) \right] \left[ \frac{c^2}{E - eA_0 + mc^2} \right] \left[ \sigma \cdot \left( p - \frac{eA}{c} \right) \right] \psi_A$$

$$= c \sigma \cdot \left( p - \frac{eA}{c} \right) \psi_B = \underbrace{(E - eA_0 - mc^2)}_{E^{NR} - eA_0} \psi_A$$

Note

$$\frac{c^2}{E - eA_0 + mc^2} = \frac{1}{2m} \frac{2mc^2}{2mc^2 + E^{NR} - eA_0} = \frac{1}{2m} \left[ 1 - \frac{E^{NR} - eA_0}{2mc^2} + \dots \right]$$

$$\frac{1}{2m} \left[ \sigma \cdot \left( p - \frac{eA}{c} \right) \right]^2 \psi_A = (E^{NR} - eA_0) \psi_A$$

$$\Rightarrow \left[ \frac{1}{2m} \left( p - \frac{eA}{c} \right)^2 - \frac{e\hbar}{2mc} \sigma \cdot B + eA_0 \right] \psi_A = E^{NR} \psi_A$$

~~$\psi_B$~~

Note

$$\textcircled{2} \rightarrow \text{1st order } m \psi \psi_A = \frac{mc^2}{c} \psi_B \rightarrow \text{small comp}$$

large component

\* Approximate hamiltonian for an electrostatic problem.

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take  $\vec{A}=0$  in Eq 3.63

$$(\sigma \cdot p) \left( \frac{c^2}{E - eA_0 + mc^2} \right) (\sigma \cdot p) \psi_A = (E - eA_0 - mc^2) \psi_A$$

$$\frac{1}{2m} \left( 1 - \frac{E^{NR} - eA_0}{2mc^2} \right)$$

$$\therefore H_A^{NR} \psi_A = E^{NR} \psi_A$$

$$\hookrightarrow \sigma \cdot p \frac{1}{2m} \left( 1 - \frac{E^{NR} - eA_0}{2mc^2} \right) (\sigma \cdot p) + eA_0$$

Not an eigenvalue Eq.

Need to correct for normalization

$$\int (\psi_A^\dagger \psi_A + \psi_B^\dagger \psi_B) d^3x = 1.$$

from previous Eq.  $\psi_B \approx -\frac{\sigma \cdot p}{2mc} \psi_A$

$$\hookrightarrow \int \psi_A^\dagger \left( 1 + \frac{p^2}{4m^2c^2} \right) \psi_A d^3x = 1$$

$\therefore$  properly normalized wave fn is

$$\psi = N \psi_A = \left( 1 + \frac{p^2}{8m^2c^2} \right) \psi_A$$

$$\mathcal{R} = 1 + \frac{p^2}{8m^2c^2}$$

$$\mathcal{R}^{-1} = 1 - \frac{p^2}{8m^2c^2}$$

$$\therefore H_A^{NR} \psi_A = E^{NR} \psi_A$$

$$\hookrightarrow \mathcal{R}^{-1} H_A^{NR} \mathcal{R}^{-1} \psi = E^{NR} \mathcal{R}^{-1} \psi$$

$$\begin{aligned} & \left(1 - \frac{p^2}{8m^2c^2}\right) \sigma \cdot \hat{p} \frac{1}{2m} \left(1 - \frac{E^{NR} - eA_0}{2mc^2}\right) \sigma \cdot \hat{p} \left(1 - \frac{p^2}{8m^2c^2}\right) \\ & + \left(1 - \frac{p^2}{8m^2c^2}\right) (eA_0) \left(1 - \frac{p^2}{8m^2c^2}\right) \end{aligned}$$

$$\begin{aligned} & \left[ \frac{p^2}{2m} + eA_0 - \frac{p^4}{8m^3c^2} + \frac{1}{8m^2c^2} \left\{ \frac{1}{2} (E^{NR} - eA_0)^2 + \frac{1}{2} (E^{NR} - eA_0)^2 \right\} \right. \\ & \left. - 2\sigma \cdot \hat{p} (E^{NR} - eA_0) \sigma \cdot \hat{p} \right] \end{aligned}$$

$$\Rightarrow \left[ \frac{p^2}{2m} + eA_0 - \frac{p^4}{8m^3c^2}, \left( \frac{p^2}{2m} + eA_0 \right) \right]$$

$$- \sigma \cdot \hat{p} \left( \frac{E^{NR} - eA_0}{2mc^2} \right) \sigma \cdot \hat{p} \Big] \psi$$

$$= E^{NR} \left(1 - \frac{p^2}{4m^2c^2}\right) \psi$$

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$$\Rightarrow \left[ \frac{p^2}{2m} + eA_0 - \frac{p^4}{8m^3c^2} + \frac{1}{8m^2c^2} \left( \{p^2, (E^{NR} - eA_0)\} - 2(\sigma \cdot p)(E^{NR} - eA_0)(\sigma \cdot p) \right) \right] \psi$$

$$= E^{NR} \psi$$

In general

$$\begin{aligned} \{A^2, B\} - 2ABA &= A^2B + BA^2 \\ &= A^2B - ABA + BA^2 - ABA \\ &= A[A, B] + [B, A]A \\ &= [A, [A, B]] \end{aligned}$$

Note

$$\begin{aligned} [\sigma \cdot p, (E^{NR} - eA_0)] &= [\sigma^0(-i\hbar)\partial^i, E^{NR} - eA_0] \\ &= \sigma^i(-i\hbar)(-e)E^i \\ &= -ie\hbar\sigma \cdot E \end{aligned}$$

$$[\sigma \cdot p, -ie\hbar\sigma \cdot E] = -e\hbar^2\omega \cdot E - 2e\hbar\sigma \cdot (E \times p)$$

$$\left[ \frac{p^2}{2m} + eA_0 - \frac{p^4}{8m^3c^2} - \frac{e\hbar\sigma \cdot (E \times p)}{4m^2c^2} - \frac{e\hbar^2}{8m^2c^2}\omega \cdot E \right] \psi$$

$$= E^{NR} \psi$$

$$E = -\nabla V = -\frac{\vec{x}}{r} \frac{\partial V}{\partial r}.$$

$$-\frac{e\hbar^2}{4m^2c^2} \sigma \cdot (\nabla \psi) = -\frac{e\hbar}{4m^2c^2} \left( \frac{1}{r} \frac{dV}{dr} \right) \sigma \cdot (\vec{x} \times \vec{p})$$

$$= \frac{1}{2m^2c^2} \frac{1}{r} \frac{dV}{dr} S \cdot L.$$

Spin orbit coupling