

# Physics of quark-gluon plasma and high-energy heavy-ion collisions

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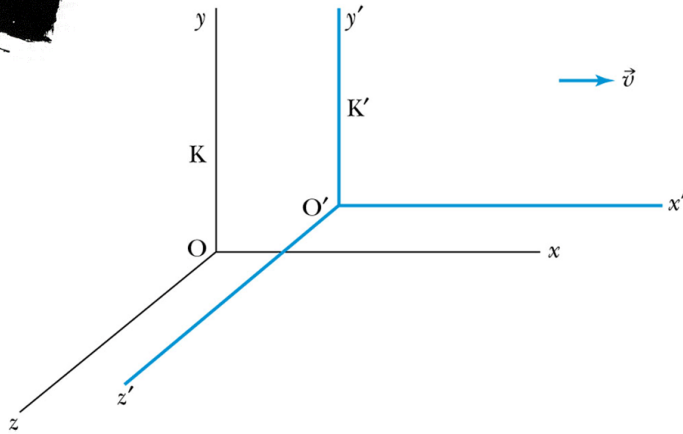
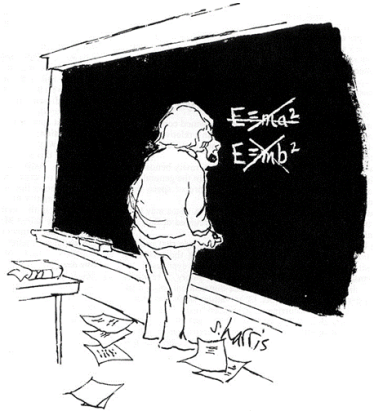
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## Lecture 2: Relativistic quantum mechanics and field theory

# Einstein's special relativity

Galileo's relativity (t unchanged)

Einstein's relativity (c unchanged)



$$x' = x - \vec{v}t$$

$$y' = y$$

$$z' = z$$

$$t' = t$$

$$x' = \frac{x - vt}{\sqrt{1 - v^2/c^2}}$$

$$y' = y$$

$$z' = z$$

$$t' = \frac{t - (vx/c^2)}{\sqrt{1 - v^2/c^2}}$$

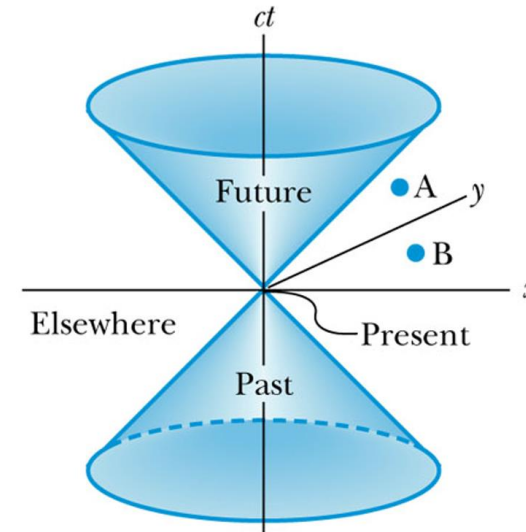
## ■ Time Dilation:

Clocks in K' run slow with respect to stationary clocks in K.

## ■ Length Contraction:

Lengths in K' are contracted with respect to the same lengths stationary in K.

Light cone



$$s^2 = x^2 - c^2 t^2 = (x')^2 - c^2 (t')^2 = (s')^2$$

# Einstein's special relativity

Covariant and contravariant four-vectors

- $x^\mu$  stands for

$$x^0, x^1, x^2, x^3 \quad \text{for } \mu=0,1,2,3$$

$$ct, x, y, z = (ct, \mathbf{r})$$

- $x_\mu$  stands for

$$x_0, x_1, x_2, x_3 \quad \text{for } \mu=0,1,2,3$$

$$ct, -x, -y, -z = (ct, -\mathbf{r})$$



Lorentz invariant quantities:

$$x_\mu x^\mu = \sum_{\mu=0,1,2,3} x_\mu x^\mu$$

(repeated index  $\rightarrow$  summation implied)

$$= (ct)^2 - x^2 - y^2 - z^2$$

$$x_\mu x^\mu$$

$$p_\mu p^\mu$$

$$p_\mu \partial x^\mu$$

$$p_\mu x^\mu$$

$$\partial x_\mu \partial x^\mu$$

$$\partial x_\mu A^\mu$$

Example: Maxwell equations ( $c=1$ )

$$F^{\mu\nu} = \begin{pmatrix} 0 & E_x & E_y & E_z \\ -E_x & 0 & B_z & -B_y \\ -E_y & -B_z & 0 & B_x \\ -E_z & B_y & -B_x & 0 \end{pmatrix}$$

Maxwell's Equations in terms of  $F^{\mu\nu}$

Source equations:

$$\frac{\partial F^{\mu\nu}}{\partial x_\nu} = J^\mu$$

$$\text{M1:} \quad \nabla \cdot \mathbf{E} = \rho / \epsilon_0 \quad \mu = 0, \nu = (1, 2, 3)$$

$$\text{M4:} \quad \nabla \times \mathbf{B} = \mu_0 (\mathbf{J} + \epsilon_0 \partial \mathbf{E} / \partial t) \quad \mu = 1, \nu = (2, 3, 0)$$

(similarly for  $\mu = 2, 3$ )

No-source equations:

$$\frac{\partial F^{\mu\nu}}{\partial x_\sigma} + \frac{\partial F^{\sigma\mu}}{\partial x_\nu} + \frac{\partial F^{\nu\sigma}}{\partial x_\mu} = 0$$

$$\text{M2:} \quad \nabla \cdot \mathbf{B} = 0 \quad (\mu, \nu, \sigma) = (1, 2, 3)$$

$$\text{M3:} \quad \nabla \times \mathbf{E} = -\partial \mathbf{B} / \partial t \quad (\mu, \nu, \sigma) = (0, 1, 2)(3, 0, 1)(2, 3, 0)$$

# Relativistic quantum mechanics

How to “derive” the free Schroedinger equation

Free non-relativistic particle  $\frac{\mathbf{p}^2}{2m} = E$       Apply QM prescription       $\mathbf{p} \rightarrow -\hbar i \nabla$        $E \rightarrow \hbar i \frac{\partial}{\partial t}$

Get Schroedinger Equation       $-\frac{\hbar^2}{2m} \nabla^2 \Psi = i\hbar \frac{\partial \Psi}{\partial t}$

Missing phenomena: Anti-particles, pair production, spin

For relativistic particle       $E^2 - \mathbf{p}^2 c^2 = m^2 c^4$       Applying QM prescription again gives:

Klein-Gordon Equation

$$-\frac{1}{c^2} \frac{\partial^2 \psi}{\partial t^2} + \nabla^2 \psi = \left( \frac{mc}{\hbar} \right)^2 \psi$$

KG is 2<sup>nd</sup> order in time, need more initial information to specify the time evolution. Not a good feature.

# Relativistic quantum mechanics

The Dirac equation. (Square root of the KG equation)

$$E = \pm \sqrt{m^2 + |\vec{p}|^2} \quad \longrightarrow \quad ???$$

Dirac's idea ( $\hbar=c=1$ ):

$$E = \vec{\alpha} \cdot \vec{p} + \beta m \quad \longrightarrow \quad i \frac{\partial \psi}{\partial t} = (-i \vec{\alpha} \cdot \vec{\nabla} + \beta m) \psi$$

All we need to do is work out  $\vec{\alpha}$  and  $\beta$

$$\vec{\alpha} = \begin{pmatrix} 0 & \vec{\sigma} \\ \vec{\sigma} & 0 \end{pmatrix} \quad \beta = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

2x2 matrices

where  $\sigma_i$  are the usual Pauli matrices:

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

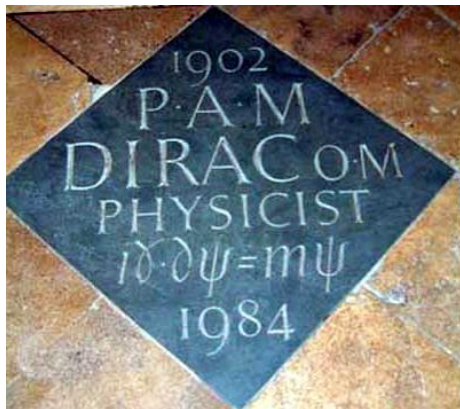
Since these act on the field  $\psi$ ,  $\psi$  itself must now be a 4 component vector, known as a **spinor**.

$$(-i \vec{\alpha} \cdot \vec{\nabla} + \beta m) \psi \quad \sim \quad \begin{pmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix} \begin{pmatrix} \cdot \\ \cdot \\ \cdot \\ \cdot \end{pmatrix}$$

[Strictly speaking  
this is also just a  
representation.]



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# Relativistic quantum mechanics

The Dirac equation.

We can write this equation in a four-vector form by defining a new quantity  $\gamma^\mu$

The anti-commutation relations become:

$$\gamma^0 \equiv \beta = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \vec{\gamma} \equiv \beta \vec{\alpha} = \begin{pmatrix} 0 & \vec{\sigma} \\ -\vec{\sigma} & 0 \end{pmatrix}$$

$$\{\gamma^\mu, \gamma^\nu\} = 2g^{\mu\nu}$$

And the Dirac Equation is: (with  $p^\mu \rightarrow i\partial^\mu$ )      Often  $\gamma^\mu \partial_\mu$  is written as  $\not{\partial}$

$$(i\gamma^\mu \partial_\mu - m)\psi = 0$$

$$(\psi^\dagger = (\psi^*)^T)$$

A appropriate conserved quantity is now  $\rho = \psi^\dagger \psi$  with  $\vec{J} = \psi^\dagger \vec{\alpha} \psi$

In four-vector notation,

$$j^\mu = \bar{\psi} \gamma^\mu \psi \quad \text{with} \quad \bar{\psi} \equiv \psi^\dagger \gamma^0 \quad (\text{Note } \gamma^0 \gamma^0 = \beta^2 = 1)$$

**HW2: Show that  $j^\mu$  is conserved.**

# Relativistic quantum mechanics

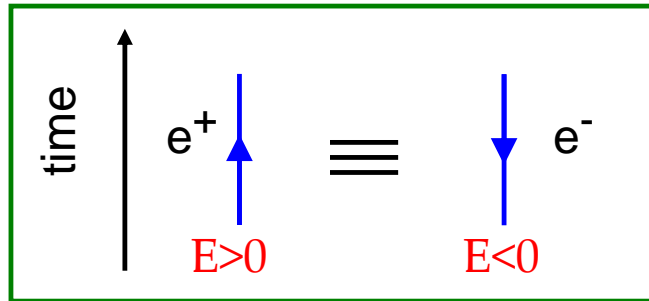
The Dirac equation.

Look for a general plane wave solution:  $\psi = u(E, \vec{p})e^{i(\vec{p} \cdot \vec{r} - Et)}$

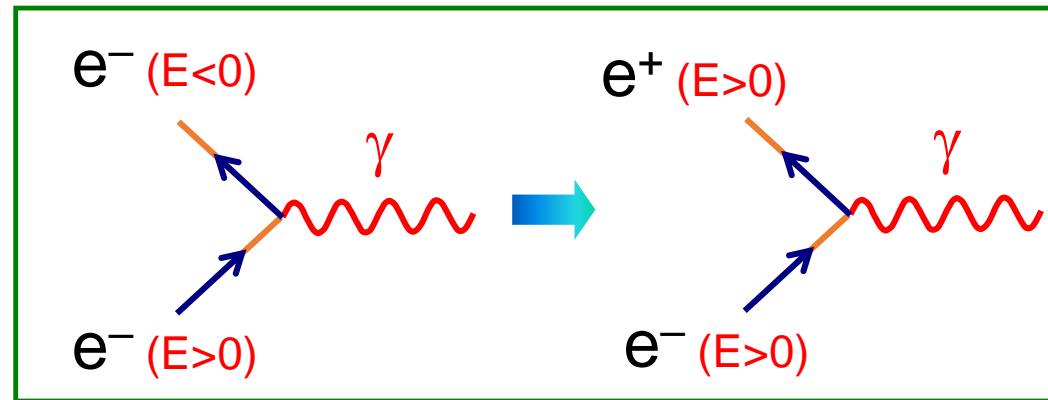
It indeed has a negative-energy state:  $E = \pm\sqrt{m^2 + |\vec{p}|^2}$

Feynman-Stückelberg Interpretation:

Interpret a negative energy solution as a negative energy particle which propagates backwards in time or equivalently a positive energy anti-particle which propagates forwards in time



$$e^{-i(-E)(-t)} \rightarrow e^{-iEt}$$



NOTE: in the Feynman diagram the arrow on the anti-particle remains in the backwards in time direction to label it an anti-particle solution.



# Relativistic quantum mechanics

Angular momentum, spin, and helicity of Dirac particle

The angular momentum of a particle is given by  $\vec{L} = \vec{r} \times \vec{p}$

$$[H, \vec{L}] = [\vec{\alpha} \cdot \vec{p}, \vec{r} \times \vec{p}] = -i\vec{\alpha} \times \vec{p}$$



This is not zero, so  $\vec{L} = \vec{r} \times \vec{p}$  is **not** conserved!

But, if we define  $\vec{\Sigma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix} = -i\alpha_1\alpha_2\alpha_3\vec{\alpha} \quad (= -i\gamma_1\gamma_2\gamma_3\vec{\gamma})$

$$\text{then } [H, \vec{\Sigma}] = [\vec{\alpha} \cdot \vec{p}, -i\alpha_1\alpha_2\alpha_3\vec{\alpha}] = 2i\vec{\alpha} \times \vec{p}$$

So the quantity  $\vec{J} = \vec{L} + \frac{1}{2}\vec{\Sigma}$  is conserved!  $[H, \vec{J}] = 0$

**Total angular momentum, orbital AM, spin:**

Dirac equation describe spin-1/2 particle (fermion)

# Relativistic quantum mechanics

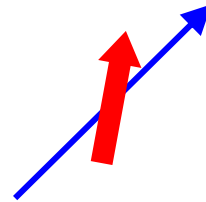
Angular momentum, spin, and helicity of Dirac particle

★ The component of a particles spin along its direction of flight is a good quantum number:

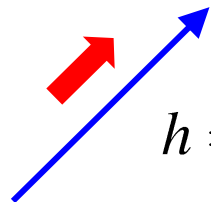
$$[\hat{H}, \hat{S} \cdot \hat{p}] = 0$$

★ Define the component of a particles spin along its direction of flight as **HELICITY**:

$$h \equiv \frac{\vec{S} \cdot \vec{p}}{|\vec{S}| |\vec{p}|} = \frac{2\vec{S} \cdot \vec{p}}{|\vec{p}|} = \frac{\vec{\Sigma} \cdot \vec{p}}{|\vec{p}|}$$



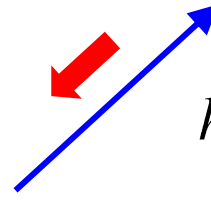
• If we make a measurement of the component of spin of a spin-half particle along any axis it can take two values  $\pm 1/2$ , consequently the eigenvalues of the helicity operator for a spin-half particle are:  $\pm 1$



$$h = +1$$

Often termed:

“right-handed”



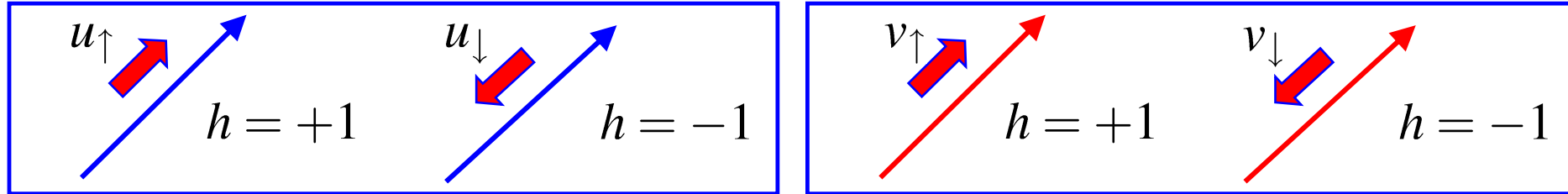
$$h = -1$$

“left-handed”

# Relativistic quantum mechanics

Angular momentum, spin, and helicity of Dirac particle

★ Using  $u$  and  $v$  to denote particle and anti-particle states, we have the energy and helicity eigenstates:



★ For massive particle, helicity is not boost invariant:

If a particle has a mass, I can always move to a reference frame where I am going faster than it, causing the momentum to reverse direction. This causes the helicity to change sign.

• Define the matrix

$$\gamma^5 \equiv i\gamma^0\gamma^1\gamma^2\gamma^3 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}$$

• In the massless limit, the helicity states are also eigenstates of  $\gamma^5$

$$\gamma^5 u_{\uparrow} = +u_{\uparrow}; \quad \gamma^5 u_{\downarrow} = -u_{\downarrow}; \quad \gamma^5 v_{\uparrow} = -v_{\uparrow}; \quad \gamma^5 v_{\downarrow} = +v_{\downarrow}$$

# Relativistic quantum mechanics

Angular momentum, spin, and helicity of Dirac particle

★ In general, define the eigenstates of  $\gamma^5$  as **LEFT** and **RIGHT HANDED CHIRAL** states  $u_R$ ;  $u_L$ ;  $v_R$ ;  $v_L$

i.e. 
$$\gamma^5 u_R = +u_R; \quad \gamma^5 u_L = -u_L; \quad \gamma^5 v_R = -v_R; \quad \gamma^5 v_L = +v_L$$

• In the **LIMIT**  $E \gg m$  (and **ONLY IN THIS LIMIT**):

$$u_R \equiv u_{\uparrow}; \quad u_L \equiv u_{\downarrow}; \quad v_R \equiv v_{\uparrow}; \quad v_L \equiv v_{\downarrow}$$

★ This is a subtle but important point: in general the **HELICITY** and **CHIRAL** eigenstates are not the same. It is **only** in the **ultra-relativistic limit** that the chiral eigenstates correspond to the helicity eigenstates.

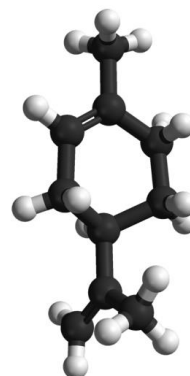
★ The concept of chirality is very important in many subjects.

Greek word:  $\chi\epsilon\iota\rho$  (cheir) - hand

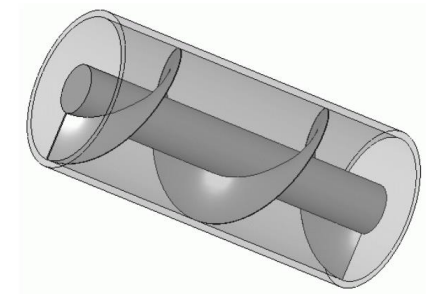
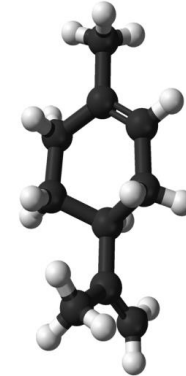


**Limonene**

Left:



Right:



The Archimedes screw

# Field theory

KG and Dirac equations from Lagrangians.

The action:

$$S = \int \mathcal{L}(\phi, \partial_\mu \phi) d^4x$$

$$\mathcal{L} = \partial_\mu \phi^* \partial^\mu \phi + m^2 \phi^* \phi$$

Least action principle. Varying  $S$  gives the Euler-Lagrange equation:

$$\text{KG equation: } (\partial^2 - m^2) \phi = 0$$

The Dirac Lagrangian

$$\mathcal{L} = \bar{\psi} (\gamma^\mu \partial_\mu - m) \psi$$

**Field theory:** re-interpret the wave function as field.

# Field theory

KG and Dirac equations from Lagrangians.

Consider phase shifting the electron field by  $\psi \longrightarrow e^{i\theta} \psi$ .


The adjoint field transforms as  $\bar{\psi} \longrightarrow \bar{\psi} e^{-i\theta}$  and the Lagrangian transforms as

$$\mathcal{L} = \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi \longrightarrow \bar{\psi} e^{-i\theta} (i\gamma^\mu \partial_\mu - m) e^{i\theta} \psi = \mathcal{L}$$


The Lagrangian doesn't change so the physics stays the same.

This is known as a **global U(1) symmetry**

(since  $e^{i\theta}$  doesn't vary with  
space-time coordinate)



(since  $e^{i\theta}$  is a **unitary**  $1 \times 1$  matrix)



# Field theory

What happens if we make our transformation **local**, i.e. depend on space-time point?

$$\psi \longrightarrow e^{i\theta(x)} \psi \qquad \bar{\psi} \longrightarrow \bar{\psi} e^{-i\theta(x)}$$

$$\mathcal{L} \longrightarrow \bar{\psi} e^{-i\theta(x)} (i\gamma^\mu \partial_\mu - m) e^{i\theta(x)} \psi = \mathcal{L} - \bar{\psi} \gamma^\mu (\partial_\mu \theta(x)) \psi$$

The free Dirac Lagrangian is no longer invariant. If we really want this to be a symmetry of the theory, we will have to add in something new.

Let's postulate a new field  $A^\mu$  which couples to the electron according to

$$\mathcal{L} = \bar{\psi} (i\gamma^\mu \partial_\mu - e\gamma_\mu A^\mu - m) \psi$$

charge of the electron = -e

Often this is written in terms of a **“covariant derivative”**

$$D^\mu \equiv \partial^\mu + ieA^\mu$$

**Beware:** conventions differ,  
e.g. Halzen and Martin have  
 $+e\gamma_\mu A^\mu$   
while Peskin & Schroeder  
have as above

# Field theory

$$\text{Now } \mathcal{L} = \bar{\psi} (i\gamma^\mu D_\mu - m) \psi \longrightarrow \bar{\psi} (i\gamma^\mu e^{-i\theta(x)} D'_\mu e^{i\theta(x)} - m) \psi$$

So, to preserve the Lagrangian, we need  $D_\mu$  to transform too:

$$D_\mu \longrightarrow D'_\mu = e^{i\theta(x)} D_\mu e^{-i\theta(x)}$$

$$\Rightarrow \partial_\mu + ieA'_\mu = e^{i\theta(x)} (\partial_\mu + ieA_\mu) e^{-i\theta(x)} = \partial_\mu - i\partial_\mu\theta(x) + ieA_\mu$$

therefore we need  $A_\mu$  to transform as

$$A_\mu \longrightarrow A'_\mu = A_\mu - \frac{1}{e}\partial_\mu\theta(x)$$

**This is the gauge transformation we saw for the (classical) photon earlier!**

Coupling the **electron** to a **photon** makes the theory **locally U(1) symmetric**

$$\mathcal{L}_{\text{QED}} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \bar{\psi} (i\gamma^\mu D_\mu - m) \psi$$

$$(i\gamma^\mu D_\mu - m) \psi = 0, \quad \partial^2 A^\mu = j^\mu \leftarrow j^\mu = e\bar{\psi}\gamma^\mu\psi$$



# Field theory

Symmetry and conservation law: Noether theorem

Consider a set of scalar fields  $\varphi_a(x)$ , and a lagrangian density

$$\mathcal{L}(x) = \mathcal{L}(\varphi_a(x), \partial_\mu \varphi_a(x))$$

let's make an infinitesimal change:  $\varphi_a(x) \rightarrow \varphi_a(x) + \delta\varphi_a(x)$

$$\mathcal{L}(x) \rightarrow \mathcal{L}(x) + \delta\mathcal{L}(x)$$

$$\delta\mathcal{L}(x) = \frac{\partial\mathcal{L}}{\partial\varphi_a(x)} \delta\varphi_a(x) + \frac{\partial\mathcal{L}}{\partial(\partial_\mu\varphi_a(x))} \partial_\mu\delta\varphi_a(x)$$

variation of the action:

$$S = \int d^4y \mathcal{L}(y)$$

$$\begin{aligned} \frac{\delta S}{\delta\varphi_a(x)} &= \int d^4y \frac{\delta\mathcal{L}(y)}{\delta\varphi_a(x)} \\ &= \int d^4y \left[ \frac{\partial\mathcal{L}(y)}{\partial\varphi_b(y)} \frac{\delta\varphi_b(y)}{\delta\varphi_a(x)} + \frac{\partial\mathcal{L}(y)}{\partial(\partial_\mu\varphi_b(y))} \frac{\delta(\partial_\mu\varphi_b(y))}{\delta\varphi_a(x)} \right] \\ &= \int d^4y \left[ \frac{\partial\mathcal{L}(y)}{\partial\varphi_b(y)} \delta_{ba} \delta^4(y-x) + \frac{\partial\mathcal{L}(y)}{\partial(\partial_\mu\varphi_b(y))} \delta_{ba} \partial_\mu \delta^4(y-x) \right] \\ &= \frac{\partial\mathcal{L}(x)}{\partial\varphi_a(x)} - \partial_\mu \frac{\partial\mathcal{L}(x)}{\partial(\partial_\mu\varphi_a(x))} \end{aligned}$$

setting  $\frac{\delta S}{\delta\varphi_a(x)} = 0$   
we would get  
equations of motion

$$\frac{\partial\mathcal{L}(x)}{\partial\varphi_a(x)} \rightarrow \partial_\mu \frac{\partial\mathcal{L}(x)}{\partial(\partial_\mu\varphi_a(x))} + \frac{\delta S}{\delta\varphi_a(x)}$$

# Field theory

Symmetry and conservation law: Noether theorem

$$\delta \mathcal{L}(x) = \frac{\partial \mathcal{L}}{\partial \varphi_a(x)} \delta \varphi_a(x) + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \varphi_a(x))} \partial_\mu \delta \varphi_a(x)$$

$$\frac{\partial \mathcal{L}(x)}{\partial \varphi_a(x)} \rightarrow \partial_\mu \frac{\partial \mathcal{L}(x)}{\partial (\partial_\mu \varphi_a(x))} + \frac{\delta S}{\delta \varphi_a(x)}$$

**Emmy Noether**



thus we find:

$$\delta \mathcal{L}(x) = \partial_\mu \left( \frac{\partial \mathcal{L}(x)}{\partial (\partial_\mu \varphi_a(x))} \delta \varphi_a(x) \right) + \frac{\delta S}{\delta \varphi_a(x)} \delta \varphi_a(x)$$

$$j^\mu(x) \equiv \frac{\partial \mathcal{L}(x)}{\partial (\partial_\mu \varphi_a(x))} \delta \varphi_a(x)$$

this is called **Noether current**; now we have:

$$\partial_\mu j^\mu(x) = \delta \mathcal{L}(x) - \frac{\delta S}{\delta \varphi_a(x)} \delta \varphi_a(x) \quad \rightarrow = 0 \text{ if eqs. of motion are satisfied}$$

if a set of infinitesimal transformations leaves the lagrangian unchanged, invariant,  $\delta \mathcal{L} = 0$ , the **Noether current** is **conserved**!

$$\partial_\mu j^\mu = 0$$

$$\frac{\partial}{\partial t} j^0(x) + \nabla \cdot \mathbf{j}(x) = 0$$

charge density      current density

# Field theory

Symmetry and conservation law: Noether theorem

Consider a transformation of fields that change the lagrangian density by a total divergence:

$$\delta\mathcal{L}(x) = \partial_\mu K^\mu(x)$$

there is still a conserved current:

$$j^\mu(x) = \frac{\partial\mathcal{L}(x)}{\partial(\partial_\mu\varphi_a(x))} \delta\varphi_a(x) - K^\mu(x)$$

e.g. space-time translations:

$$\varphi_a(x) \rightarrow \varphi_a(x - a)$$

$$\mathcal{L}(x) \rightarrow \mathcal{L}(x - a)$$

$$\varphi_a(x) \rightarrow \varphi_a(x) - a^\nu \partial_\nu \varphi_a(x)$$

$$\delta\mathcal{L}(x) = -a^\nu \partial_\nu \mathcal{L}(x) = -\partial_\nu (a^\nu \mathcal{L}(x))$$

$$\delta\varphi_a(x) = -a^\nu \partial_\nu \varphi_a(x)$$

$$K^\nu(x) = -a^\nu \mathcal{L}(x)$$

we get:

$$j^\mu(x) = \frac{\partial\mathcal{L}(x)}{\partial(\partial_\mu\varphi_a(x))} (-a^\nu \partial_\nu \varphi_a(x)) + a^\mu \mathcal{L}(x)$$

$$= a_\nu T^{\mu\nu}(x), \quad \longrightarrow \quad T^{\mu\nu}(x) \equiv - \frac{\partial\mathcal{L}(x)}{\partial(\partial_\mu\varphi_a(x))} \partial^\nu \varphi_a(x) + g^{\mu\nu} \mathcal{L}(x)$$

stress-energy or energy-momentum tensor