# 1 Security verification of the SAKE<sup>+</sup> with ProVerif

We use the ProVerif tool [2] to formally prove the SAKE<sup>+</sup> protocol proposed in main.pdf. The ProVerif enables us to verify the **concurrent** execution of our protocol and to make sure whether our protocol achieves the desired security objectives or not. Parties involved in the protocol use a channel to communicate with each other. This channel is assumed to be controlled by an adversary that is able to read, change, delete, and create messages, and the model in which the attacker operates is called "Dolev-Yao" [3]. The attacker is capable of the modification of the protocol's messages in that s/he can decrypt messages (if s/he gets access to the related keys) and can even compute the  $i_th$  element of a tuple. We can encode our desired protocol and its objectives using the ProVerif's input language formally, enabling the ProVerif tool to verify our claimed security properties. The cryptographic primitives used in ProVerif is assumed to be perfect, i.e., the adversary is not able to make use of any polynomial-time algorithm and s/he can only makes use of the cryptographic primitives defined by the user. With the help of rewrite rules and/or an equational theory, the cryptographic primitives are associated with each other.

A protocol that is written in the ProVerif tool's input language (the typed pi calculus [5]) includes the following components: the *declarations*, the *processmacros* and the *mainprocess*. These components are discussed as follows:

- Declarations: The declarations consists of the user types, the functions describing the cryptographic primitives, and the security properties as well.
- Process macros: The process macros include sub-process definitions; each sub-process is a sequence of events.
- Main process: It is defined with the help of macros. In our particular SAKE<sup>+</sup> protocol, the main process is defined as the parallel composition (denoted by |) of the unbounded replication (denoted by !) of two process macros representing the processInitiator, and processResponder nodes.

ProVerif can prove both reachability property and correspondence assertions [1]. The Reachability property allows us to check which information an attacker can access, i.e. secrecy. Correspondence property is of the form "if some event is executed, then another event has been executed previously", and could be used for checking various types of authentication [4]. We encoded the  $SAKE^+$  AKE protocol in the ProVerif language. In general, a protocol model can be divided into three different parts: the declarations (lines 1-49), the process macros (lines 49-255), and the main process (lines 256-264).

```
1 (*-SAKE+ channel-*)
2 free c: channel.
3 (*-SAKE+ types-*)
4 type key.
5 type nonce.
6 type host.
7 (*-SAKE+ keys-*)
8 free SKa,SKb,K,Kj0,Kj1,Kj2:bitstring [private].
9 free Bj0,Bj1,Bj2,Rb0,B:bitstring.
```

```
11 (*-SAKE+ constants-*)
12 const f0,f1,Dhel0,Dhel1,DhelN,Epsi0,Epsi1,X: bitstring.
13 table TA(host, key, bitstring, bitstring, bitstring, bitstring, nonce).
14 table TB(bitstring, key, bitstring, bitstring).
15 (*-SAKE+ functions-*)
16 fun nonce_to_bitstring(nonce): bitstring [data,typeConverter].
17 fun bitstring_to_key(bitstring): key [data,typeConverter].
18 fun host_to_bitstring(host): bitstring [data,typeConverter].
19 fun bitstring_to_nonce(bitstring): nonce [data,typeConverter].
20 fun mac(bitstring, key): bitstring.
21 reduc forall m: bitstring, k: key; get_message(mac(m,k)) = m.
22 fun PRF (bitstring, key): bitstring.
23 fun con(bitstring, bitstring): bitstring.
24 (*-SAKE+ events-*)
25 event beginAparam(host, nonce).
26 event endAAuth(host, nonce).
27 event beginBparam(bitstring, nonce).
{\tt 28} event endBAuth(bitstring, nonce).
29 event beginsyncBkey(bitstring, host, nonce, key).
30 event endsyncBkey(bitstring, host, nonce, key).
31 (*-SAKE+ queries-*)
32 query attacker (SKa).
33 query attacker(SKb).
34 query attacker(K).
35 query attacker (Kj0).
36 query attacker (Kj1).
37 query attacker(Kj2).
38 query x: host, y: nonce; inj-event(endAAuth(x, y)) ==> inj-event(beginAparam(
      x, y)).
39 query x: bitstring, y: nonce; inj-event(endBAuth(x, y)) ==> inj-event(
      beginBparam(x, y)).
40 query x: bitstring, y: host, z: nonce, t: key; inj-event(endsyncBkey(x,y,z,t)
      ) ==> inj-event(beginsyncBkey(x,y,z,t)).
```

### 1.1 Declarations

The declarations include the user types, the functions that describe the cryptographic primitives, and the security properties. Additional user types can be declared as in lines 4-6 apart from the built-in types: channel and bitstring. Free names are defined as in lines 2 and 8-10 where the channel with names c is declared. By default, the free names are accessible to the attacker unless qualified by [Private]. Finally, constant values are declared by const. The language supports tables for persistent storage. In lines 13 and 14, tables that model the subscribers database is declared.

Constructors are functions used to build terms. A constructor is declared by defining its names, the types of its arguments and the return value (see lines 16-20, 22-23). Functions, by default, are one-way; i.e., the attacker cannot infer the arguments from the return value, unless qualified by [data]. Destructors (line 21) are special functions that are used to manipulate terms. Constructors and destructors jointly are used to capture the relationship between cryptographic primitives.

Message authentication codes (MAC) can be declared by a constructor (with no associated destructor or equation), much like a keyed hash function as follow:

type key.

fun mac (bitstring, key):bitstring.

This model is strong in the sense that it considers the MAC as a random oracle. If the MAC is considered to be a pseudo-random function (PRF), it is probably the best possible model (in line 22, it is presented as fun PRF (bitstring,key):bitstring.).

Considering that the MAC is unforgeable (UF-CMA), one can declare a destructor which leaks the MACed message as follow:

reduc for all m:bitstring, k: key; getmessage (mac(m,k)) = m.

A sequences of events presented in lines 25-30, are defined as follows:

- The beginAparam event declares that the initiator A starts the authentication protocol with its identity A and a fresh nonce.
- The *endAAuth* event declares that the initiator A will authenticated with the responder that received the fresh nonce generated by A.
- The events beginBparam and endBAuth for the entity B are the same as beginAparam and endAAuth events for the entity A, respectively.
- The eginsyncBkey and endsyncBkey events declare that the responder B is in the synchronize state with the initiator A.

We model correspondence assertions of the form: "if an event *end* has been executed, then event *begin* has been previously executed." with the queries presented in lines 38-40 that the first two queries (lines 38 and 39) are for the mutual authentication and the last one is for the synchronization. The rest of the queries which are presented in lines 32-37 is base on a built-in predicate attacker used to check which terms are compromised.

#### 1.2 Process macros

The process macros consist of sub-process definitions that are a sequence of events. Messages are represented by terms, i.e., a name, a variable, a tuple of terms, a constructor or destructor application. The language, additionally, supports some common Boolean functions (=, &&, ||, <>) with the infix notation.

There are term evaluation, restriction, communication and condition events defined as follows:

- The pattern x : t matches any term of type t and binds it to x.
- the let x = M in binds the term M to x.
- The name restriction event **new** declares a fresh name of a specific type and binds it inside the events. For instance, line 43 binds the type **nonce** to the fresh name  $R_a$ .
- The communication event in (c,(x:host,y:nonce)) listen from a channel c and binds the received terms to x and y where the first one has type host and the second one has type nonce
- The communication event textbfout (c,(x:host,y:nonce)), sends the terms x and y on channel
   c.

- The conditional if M else P then Q continues as the process P if the term M evaluates to true, continues as the process Q if M evaluates to another value.

```
41 (* Role of the initiator *)
42 let processInitiator =
43 new Ra: nonce;
44 new aDhel: bitstring;
45 new aEpsi: bitstring;
46 new akj: bitstring;
47 get TA(aA,aK,aKj0,aKj1,aBj0,aBj1,aRb0) in
48 let A = aA in
49 let m0 = (A,Ra) in
50 event beginAparam(A, Ra);
51 (* ---->A||r_A *)
52 out(c,m0);
53 (* m_B<---- *)
54 in(c,(aB:bitstring,aRb:nonce,aTb:bitstring));
55 let aBj2 = PRF(X, bitstring_to_key(aBj1)) in
56 if aB = aBj0 then let B = aBj0 in
57 if aB = aBj1 then let B = aBj1 in
58 if aB = aBj2 then let B = aBj2 in
59 if aB <> aBj0 && aB <> aBj1 && aB <> aBj2 then let B = aBj1 in
60 if aRbO <> aRb then
61 (
    let Tbin = con(B,con(host_to_bitstring(A),con(nonce_to_bitstring(aRb),
      nonce_to_bitstring(Ra))) in
    let Tb = mac(Tbin, bitstring_to_key(aKj1)) in
    if Tb = aTb then
64
65
    let aDhel = Dhel0 in
66
    let akj = aKj1 in
67
    let SKa = PRF(con(nonce_to_bitstring(Ra),nonce_to_bitstring(aRb)), aK) in
68
    let aK = PRF(X, aK) in
69
    let aBj1 = PRF(X, bitstring_to_key(con(aBj1,aKj1))) in
    let aKj0 = aKj1 in
    let aKj1 = PRF(X, bitstring_to_key(aKj1)) in
    let aBj0 = aBj1 in
73
74
    let aEpsi = Epsi0 in
    let aRb0 = aRb in
75
    insert TA(A,bitstring_to_key(aK),aKj0,aKj1,aBj0,aBj1,aRb0);
76
          let Tain = con(aEpsi,con(host_to_bitstring(A),con(B,(
      nonce_to_bitstring(Ra),nonce_to_bitstring(aRb)))) in
78
    let Ta = mac(Tain, bitstring_to_key(akj)) in
    let ma = (B,aEpsi,Ta) in
79
    out(c,ma)
80
      (* ----> m_A *)
81
      )
82
83
      else
    let Tbin = con(B,con(host_to_bitstring(A),con(nonce_to_bitstring(aRb),
84
     nonce_to_bitstring(Ra)))) in
    let Tb = mac(Tbin, bitstring_to_key(aKj0)) in
85
    if Tb = aTb then
86
87
```

```
let aDhel = Dhel1 in
88
       let akj = aKj0 in
89
       let aEpsi = Epsi1 in
90
91
       let aRb0 = aRb in
       insert TA(A,aK,aKj0,aKj1,aBj0,aBj1,aRb0);
92
             let Tain = con(aEpsi,con(host_to_bitstring(A),con(B,(
93
      nonce_to_bitstring(Ra),nonce_to_bitstring(aRb))))) in
       let Ta = mac(Tain, bitstring_to_key(akj)) in
94
       let ma = (B,aEpsi,Ta) in
95
       out(c,ma)
96
         (* ---->m_A *)
97
98
99
     let Tbin = con(B,con(host_to_bitstring(A),con(nonce_to_bitstring(aRb),
      nonce_to_bitstring(Ra))) in
     let Tb = mac(Tbin, bitstring_to_key(PRF(X, bitstring_to_key(aKj1)))) in
     if Tb = aTb then
       let aDhel = DhelN in
       let akj = PRF(X, bitstring_to_key(aKj1)) in
       let aK = PRF(X, aK) in
106
       let aBj1 = PRF(X, bitstring_to_key(con(aBj1,aKj1))) in
       let aKj0 = aKj1 in
108
       let aKj1 = PRF(X, bitstring_to_key(aKj1)) in
       let aBj0 = aBj1 in
110
       let SKa = PRF(con(nonce_to_bitstring(Ra),nonce_to_bitstring(aRb)),
111
      bitstring_to_key(aK)) in
       let aK = PRF(X, bitstring_to_key(aK)) in
       let aBj1 = PRF(X, bitstring_to_key(con(aBj1,aKj1))) in
       let aKj0 = aKj1 in
114
       let aKj1 = PRF(X, bitstring_to_key(aKj1)) in
       let aBj0 = aBj1 in
116
117
       let aEpsi = Epsi0 in
118
       let aRb0 = aRb in
       insert TA(A,bitstring_to_key(aK),aKj0,aKj1,aBj0,aBj1,aRb0);
120
             let Tain = con(aEpsi,con(host_to_bitstring(A),con(B,(
      nonce_to_bitstring(Ra),nonce_to_bitstring(aRb))))) in
       let Ta = mac(Tain, bitstring_to_key(akj)) in
       let ma = (B,aEpsi,Ta) in
       out(c,ma)
         (* ---->m_A *)
124
     )
125
     else
126
127
     yield
128 )
129 else
130 (* B | T^P_B < ---- *)
in(c,(aB:bitstring,aTpb:bitstring));
132 let B = aB in
133 if aEpsi = Epsi0 then
134 (
     let akj = aKj1 in
135
     let Tpbin = con(nonce_to_bitstring(aRb),nonce_to_bitstring(Ra)) in
136
```

```
let Tpb = mac(Tpbin, bitstring_to_key(akj)) in
137
     if Tpb = aTpb then
138
139
       let Tpain = con(nonce_to_bitstring(Ra),nonce_to_bitstring(aRb)) in
140
       let Tpa = mac(Tpain, bitstring_to_key(akj)) in
141
       let m3 = (B,Tpa) in
142
       event beginsyncBkey(B, A, aRb, bitstring_to_key(akj));
143
       out(c,m3)
144
         (* ---->B||T^p_A *)
145
     )
146
147
     else
148
     yield
149 )
150 else
151 if aEpsi = Epsi1 then
152 (
     let akj = PRF(X, bitstring_to_key(aKj1)) in
     let Tpbin = con(nonce_to_bitstring(aRb),nonce_to_bitstring(Ra)) in
154
     let Tpb = mac(Tpbin, bitstring_to_key(akj)) in
     if Tpb = aTpb then
156
157
       let SKa = PRF(con(nonce_to_bitstring(Ra),nonce_to_bitstring(aRb)), aK) in
158
       let aK = PRF(X, aK) in
159
       let aBj1 = PRF(X, bitstring_to_key(con(aBj1,aKj1))) in
160
161
       let aKj0 = aKj1 in
       let aKj1 = PRF(X, bitstring_to_key(aKj1)) in
162
       let aBj0 = aBj1 in
163
       let Tpain = con(nonce_to_bitstring(Ra),nonce_to_bitstring(aRb)) in
164
       let Tpa = mac(Tpain, bitstring_to_key(akj)) in
165
       let m3 = (B,Tpa) in
166
       event beginsyncBkey(B, A, aRb, bitstring_to_key(akj));
167
       event endBAuth(B, aRb);
168
169
       out(c,m3)
170
         (* ---->B||T^p_A *)
171
     )
172
     else
173
     yield
174 )
175 else
176 O.
177 (* Role of the responder *)
178 let processResponder =
179 (* A||r_A<---- *)
180 in(c,(bA:host, bRa:nonce));
181 get TB(bB,bK,bKj,bf) in
182 new Rb: nonce;
183 let bTbin = con(bB,con(host_to_bitstring(bA),con(nonce_to_bitstring(Rb),
      nonce_to_bitstring(bRa))) in
184 let bTb = mac(bTbin, bitstring_to_key(bKj)) in
185 new Ralfa: nonce;
186 let mb = (if bf= f1 then (nonce_to_bitstring(Ralfa),Rb,bTb) else (bB,Rb,bTb))
       in
187 let bf = f1 in
```

```
188 event beginBparam(bB, Rb);
189 (* --->m_B *)
190 out(c,(mb));
191 (* m_A<---- *)
192 in(c,(bBp: bitstring,bEpsi: bitstring,bTa: bitstring));
193 let Tapin = con(bEpsi,con(host_to_bitstring(bA),con(bB,con(nonce_to_bitstring
      (bRa), nonce_to_bitstring(Rb)))) in
194 let Tap = mac(Tapin, bitstring_to_key(bKj)) in
195 if Tap = bTa then
196 if bEpsi = Epsi1 then
197 (
     let bK = PRF(X, bK) in
198
     let bB = PRF(X, bitstring_to_key(con(bB,bKj))) in
     let bKj = PRF(X, bitstring_to_key(bKj)) in
     let SKb = PRF(con(nonce_to_bitstring(bRa),nonce_to_bitstring(Rb)),
      bitstring_to_key(bK)) in
     let bK = PRF(X, bitstring_to_key(bK)) in
202
    let bB = PRF(X, bitstring_to_key(con(bB,bKj))) in
203
    let bKj = PRF(X, bitstring_to_key(bKj)) in
204
    let bf = f0 in
205
    insert TB(bB,bitstring_to_key(bK),bKj,bf);
206
    let Tpbpin = con(nonce_to_bitstring(Rb), nonce_to_bitstring(bRa)) in
    let Tpbp = mac(Tpbpin, bitstring_to_key(bKj)) in
    let m2 = (bB, Tpbp) in
210
   (* ---->B||T^p_B *)
211
    out(c,m2)
212 )
213 else
214 let SKb = PRF(con(nonce_to_bitstring(bRa),nonce_to_bitstring(Rb)), bK) in
215 let bK = PRF(X, bK) in
216 let bB = PRF(X, bitstring_to_key(con(bB,bKj))) in
217 let bKj = PRF(X, bitstring_to_key(bKj)) in
218 let bf= f0 in
219 insert TB(bB,bitstring_to_key(bK),bKj,bf);
220 let Tpbpin = con(nonce_to_bitstring(Rb),nonce_to_bitstring(bRa)) in
221 let Tpbp = mac(Tpbpin, bitstring_to_key(bKj)) in
222 let m2 = (bB, Tpbp) in
223 (* ---->B||T^p_B *)
224 out(c,m2);
225 (* B||T^p_A<---- *)
226 in(c,(bBpp:bitstring,bTpa:bitstring));
227 let bTpapin = con(nonce_to_bitstring(bRa),nonce_to_bitstring(Rb)) in
228 let bTpap = mac(bTpapin, bitstring_to_key(bKj)) in
229 if bTpap = bTpa then
230 (
231 event endsyncBkey(bBpp, bA, Rb, bitstring_to_key(bKj));
232 event endAAuth(bA, bRa)
233 )
234 else
235 0.
```

#### 1.3 Main process

Finally, the main process is defined by means of two process macros that represent the processInitiator (line 242) and processInitiator (line 243) nodes. The initialization phase of the scheme is presented in lines 237-240 for an initiator A and a responder B in line 237, and lines 238-240, respectively. Finally, in lines 240-243, the parallel compositions of processInitiator and processResponder denoted by | with the unbounded replication (denoted by !).

```
236 process
237   insert TA(A,bitstring_to_key(K),Kj0,Kj1,Bj0,Bj1,bitstring_to_nonce(Rb0));
238   new f: bitstring;
239   let f = f0 in
240   insert TB(B,bitstring_to_key(K),Kj0,f);
241   (
242   (!processInitiator) |
243   (!processResponder)
244   )
```

#### 1.4 Security properties

Security properties are declared with the keyword. In our example of SAKE<sup>+</sup>, the goal is to establish the shared session key  $SK_a = SK_b$  between A and B after mutual authentication by preserving the forward secrecy. The protocol should be robust against the traceability and de-synchronization attacks. In order to check this, we consider the following queries.

```
31 (*-SAKE+ queries-*)
32 query attacker(SKa).
33 query attacker(SKb).
34 query attacker(K).
35 query attacker(Kj0).
36 query attacker(Kj1).
37 query attacker(Kj2).
38 query x: host, y: nonce; inj-event(endAAuth(x, y)) ==> inj-event(beginAparam(x, y)).
39 query x: bitstring, y: nonce; inj-event(endBAuth(x, y)) ==> inj-event(beginBparam(x, y)).
40 query x: bitstring, y: host, z: nonce, t: key; inj-event(endsyncBkey(x,y,z,t)).
```

- The first six queries presented in lines 32-37 is base on a built-in predicate attacker used to check which terms are compromised.
- The query presented in line 38 proves that B successfully authenticates A, if ProVerif returns **true**. The event beginAparam is called in line 50 on the new nonce  $R_a$  generated by the initiator A and the event endAAuth is called by the responder B in line 23 after successful authentication of the initiator and establishing the session key.
- The query presented in line 39 proves that A successfully authenticates B, if ProVerif returns true. The events of this query are presented as beginBparam and endBAuth in lines 188 and 168, respectively.

- The query presented in line 40 proves that the responder B will be successful in the synchronization state using the events eginsyncBkey and endsyncBkey on the master key K' (see lines 143,167 and 231) in case that true is resulted from ProVerif.

The results are illustrated bellow and show that all the events result in **true**, which prove that the  $SAKE^+$  can preserve all the mentioned security queries.

```
1 Verification summary:
2 Query not attacker(SKa[]) is true.
3 Query not attacker(SKb[]) is true.
4 Query not attacker(K[]) is true.
5 Query not attacker(Kj0[]) is true.
6 Query not attacker(Kj1[]) is true.
7 Query not attacker(Kj2[]) is true.
8 Query inj-event(endAAuth(x,y)) ==> inj-event(beginAparam(x,y)) is true.
9 Query inj-event(endBAuth(x,y)) ==> inj-event(beginBparam(x,y)) is true.
10 Query inj-event(endsyncBkey(x,y,z,t)) ==> inj-event(beginsyncBkey(x,y,z,t)) is true.
```

## 1.5 Analysis and discussion

As mentioned earlier, all the queries are solved as expected, that is, the correspondence and secrecy ones are proved. We encode our security goals using the ProVerif queries as follows:

**Secrecy** for a message, such as m2, encoded using MAC function that asks the adversary to guess the value of  $K'_j$ ; if the adversary succeeds, the ProVerif issues false to the query query attacker(Kj0).. We have the same discussion for the other terms free X:bitstring [private]. in our scheme. For all of these queries, we received the result true from ProVerif, which means that the protocol satisfies secrecy.

**Forward secrecy** for a master key  $K'_{j-1}$ , we use the free **Kj1:bitstring**. instead of free **Kj1:bitstring** [private]., which gives the attacker knowledge of the current master key  $K'_j$  and asks the adversary to guess the value of  $K'_{j-1}$  using the query query attacker(**Kj0**).; if the adversary succeeds, the ProVerif issues false to the query. We received the result true from ProVerif meaning that the protocol satisfies forward secrecy.

**Authentication** Considering the query presented in line 38, if ProVerif returns true, it proves that B successfully authenticates A and considering the query presented in line 39, if ProVerif returns true, it proves that A successfully authenticates B. By satisfying these two queries, we can ensure that our scheme provides mutual authentication successfully.

**Replay** The events begin Aparam, end Aauth, begin Bparam and end Bauth used for the authentication are based on the fresh nonces  $R_a$  and  $R_b$ . With regard to the result true from both queries presented in lines 38 and 39, it proves that the scheme is secure against the replay attack.

synchronization The query presented in line 40 proves that the responder B will be successful in the synchronization state using the events eginsyncBkey and endsyncBkey on the master key K' (see lines 143,167 and 231) in case that ProVerif shows the true results.

In addition to the above queries, our scripts also include built-in predicate attacker used to check which terms are compromised (presented in lines 32-37).

#### References

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