

## INTRODUCTION

### ► Model Compression encounters Robustness

*Can a Compression Algorithm lead to compressed models that are not only ACCURATE, but also ROBUST?*

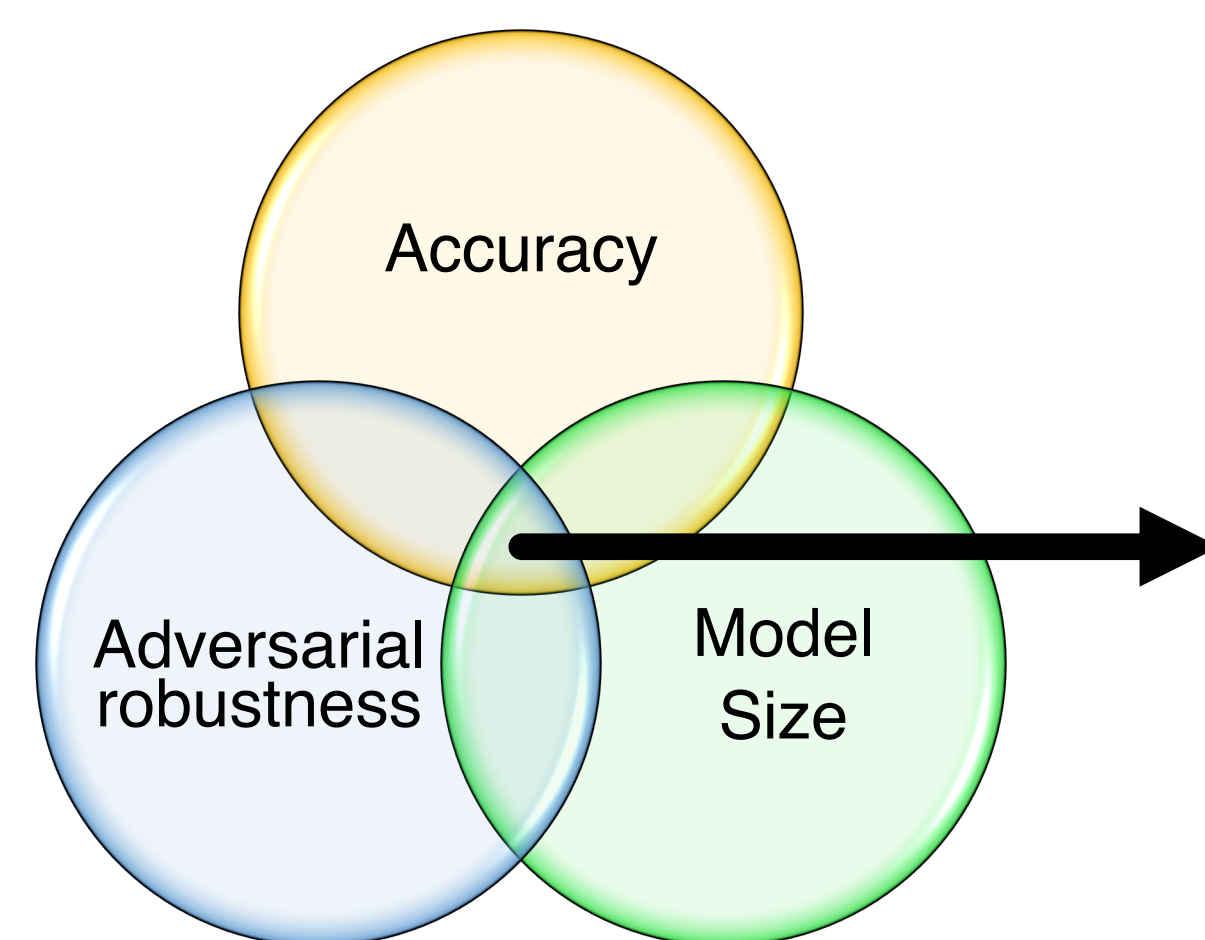
- Tsipras et al.[3] argued that the tradeoff between robustness and accuracy may be inevitable for the classification task.
- Nakkiran [2] showed theoretical examples implied that a both accurate and robust classifier might exist, given sufficiently large model capacity.
- Guo et al.[1] discovered that an appropriately higher CNN model sparsity led to better robustness, whereas over-sparsification could cause more fragility.

### ► Highlights of Contributions:

- First framework jointly optimizing **Model Compression & Adversarial Robustness**
- First framework unifies all existing compression methods **Pruning, Factorization & Quantization**

## ADVERSARIALLY TRAINED MODEL COMPRESSION

### ► Optimize Three Goals Simultaneously



**Unified Robust Model Compression**  
**ATMC**

### ► The Overall Objective

$$\min_W \sum_{(x,y) \text{ in data set}} f^{\text{adv}}(W; x, y) \quad \text{Accuracy + Robustness}$$

$$\text{s.t.} \quad \sum_l \|U^{(l)}\|_0 + \|V^{(l)}\|_0 + \|C^{(l)}\|_0 \leq k \quad \text{Model size}$$

$$W \in \mathcal{Q}_b := \{W: |U^{(l)}|_0 \leq 2^b, |V^{(l)}|_0 \leq 2^b, |C^{(l)}|_0 \leq 2^b, \forall l \in [L]\}$$

### ► Robustness: Adversarial Training Loss

$$\max_{\delta} \ell(x + \delta; W, y) \quad \min_W \ell(W; x + \delta, y)$$

$$\min_W \sum_{(x,y) \text{ in data set}} f^{\text{adv}}(W; x, y)$$

Def:  $f^{\text{adv}}(W; x, y) = \max_{x' \in B_{\Delta}^{\infty}(x)} \ell(x'; W, y)$   
 $B_{\Delta}^{\infty}(x) := \{x' | \|x' - x\|_{\infty} \leq \Delta\}$

### ► Efficiency: Model Size Compression

$$W := \{W^{(l)}\}_{l \in [L]}, W^{(l)} = U^{(l)}V^{(l)} + C^{(l)}$$

$$\text{s.t.} \quad \sum_l \|U^{(l)}\|_0 + \|V^{(l)}\|_0 + \|C^{(l)}\|_0 \leq k$$

$$W \in \mathcal{Q}_b := \{W: |U^{(l)}|_0 \leq 2^b, |V^{(l)}|_0 \leq 2^b, |C^{(l)}|_0 \leq 2^b, \forall l \in [L]\}$$

Factorization  
Weight Pruning  
Quantization

## ATMC: OPTIMIZATION

### ► Duplicate Variables

$$\min_{\|W\|_0 \leq k, W' \in \mathcal{Q}_b} \sum_{(x,y) \text{ in data set}} f^{\text{adv}}(W; x, y) \quad \text{s.t. } W = W'$$

$$\text{Def: } \|W\|_0 := \sum_l \|U^{(l)}\|_0 + \|V^{(l)}\|_0 + \|C^{(l)}\|_0$$

### ► Removing the Equality Constraint $W = W'$

$$\min_{\|W\|_0 \leq k, W' \in \mathcal{Q}_b} \max_u \sum_{(x,y) \text{ in data set}} f^{\text{adv}}(W; x, y) + \rho \langle u, W - W' \rangle + \frac{\rho}{2} \|W - W'\|_F^2$$

Def:  $\rho > 0$  as predefined positive number in ADMM

### ► Given $U$ in an arbitrary layer

$$\text{Update } u: \quad u_{t+1} = u_t + (U - U')$$

$$\text{Update } x^{\text{adv}}: \quad x^{\text{adv}} \leftarrow \text{Proj}_{\|x' - x\|_{\infty} \leq \Delta} \{x + \alpha \nabla_x f(W; x, y)\}$$

$$\text{Update } U: \quad U \leftarrow \text{Proj}_{\|U''\|_0 \leq k} \{U - \gamma \nabla_U [f(U; x^{\text{adv}}, y) + \frac{\rho}{2} \|U - U' + u\|_F^2]\}$$

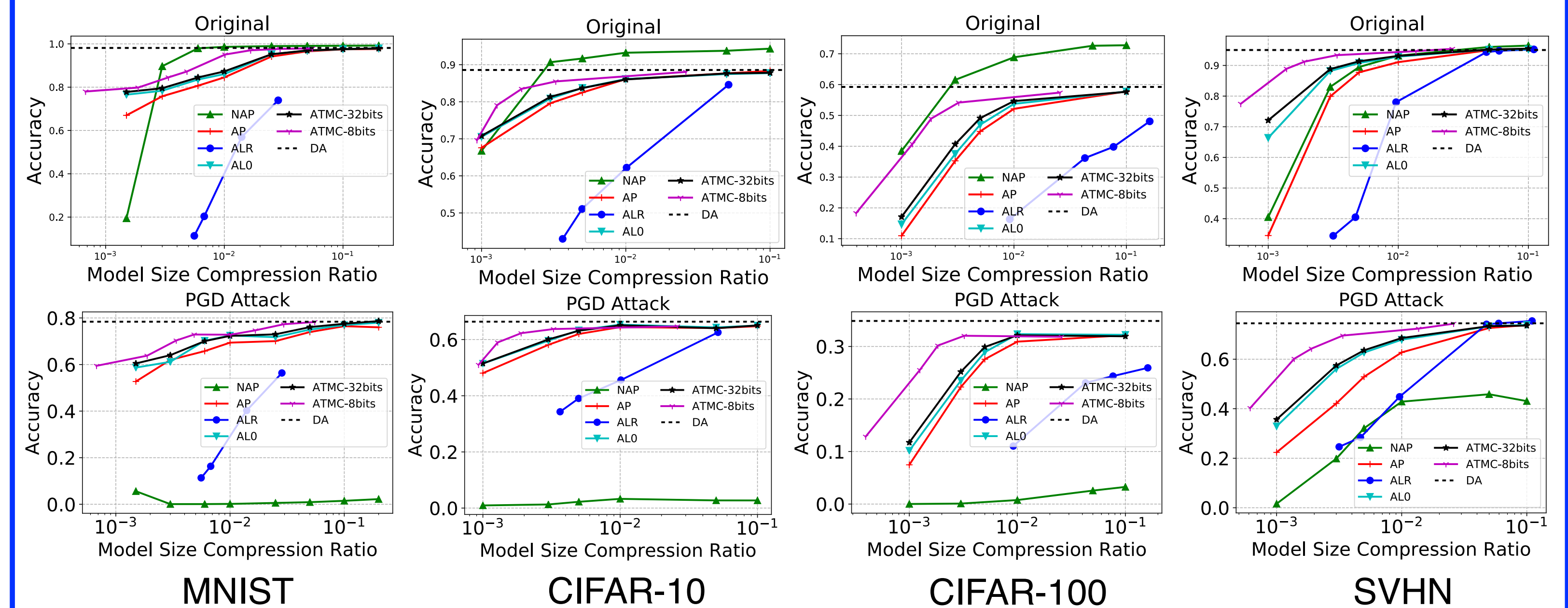
$$\text{Update } U': \quad U' = \underset{U'}{\text{argmin}} \|U' - (U + u)\|_F^2, \text{ s.t. } |U'|_0 \leq 2^b \text{ (Lloyd's algorithm)}$$

## EXPERIMENTS: CNNs

### ► Datasets & CNN Models

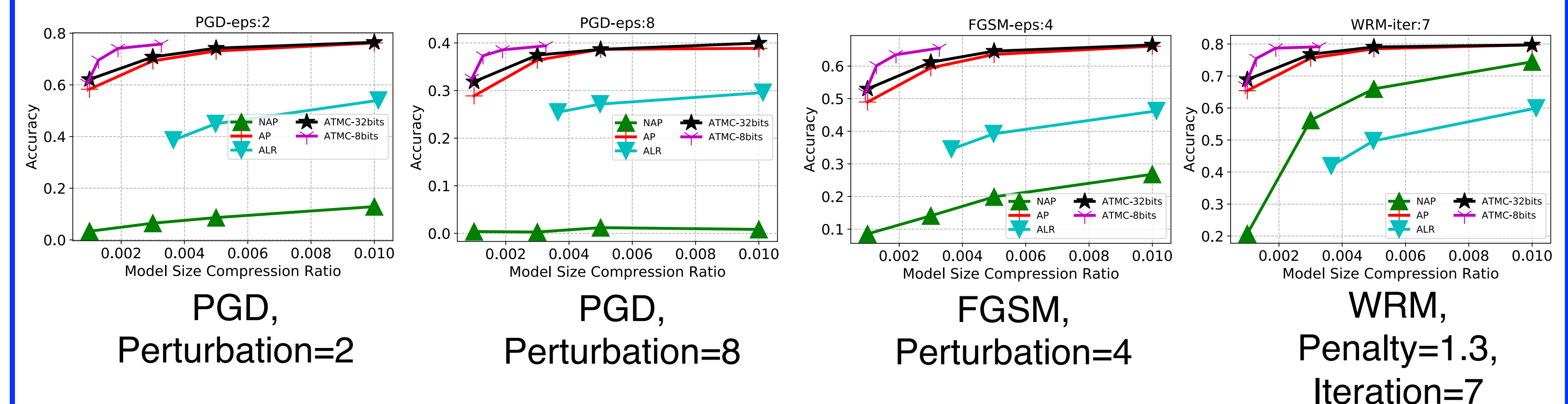
Models	#Parameters	Bit width	Model Size (bits)	Dataset & Accuracy
LeNet	430K	32	13,776,000	MNIST: 99.32%
ResNet34	21M	32	680,482,816	CIFAR-10: 93.67%
ResNet34	21M	32	681,957,376	CIFAR-100: 73.16%
WideResNet	11M	32	350,533,120	SVHN: 95.25%

### ► Outstanding Performance on Trade-off between Compression and Robustness for ATMC



### ► Consistent Adversarial Robustness under Various Attack Settings

- Different perturbation magnitude, e.g., 2, 8
- Different adversarial attack methods, e.g., FGSM, WRM



More details <https://github.com/TAMU-VITA/ATMC>

## REFERENCE

- [1] Guo et al, "Sparse DNNs with improved adversarial robustness", NeurIPS 2018
- [2] Nakkiran, "Adversarial robustness may be at odds with simplicity". arxiv preprint
- [3] Tsipras et al, "Robustness may be at odds with accuracy". STAT, 1050:11, 2018