

---

# PATTERNS OF EARLY HUMAN-TO-HUMAN TRANSMISSION OF WUHAN 2019-nCoV

---

A PREPRINT

**Julien Riou, MD, PhD**

Institute of Social and Preventive Medicine  
University of Bern  
Bern, Switzerland  
julien.riou@ispm.unibe.ch

**Christian L. Althaus, PhD**

Institute of Social and Preventive Medicine  
University of Bern  
Bern, Switzerland  
christian.althaus@alumni.ethz.ch

January 23, 2020

## ABSTRACT

On December 31, 2019, the World Health Organization was notified about a cluster of pneumonia of unknown aetiology in the city of Wuhan, China. Chinese authorities later identified a new coronavirus (2019-nCoV) as the causative agent of the outbreak. As of January 23, 2020, 650 cases have been confirmed in China and several other countries. Understanding the transmission characteristics and the potential for sustained human-to-human transmission of 2019-nCoV is critically important for coordinating current screening and containment strategies, and determining whether the outbreak constitutes a public health emergency of international concern (PHEIC). We performed stochastic simulations of early outbreak trajectories that are consistent with the epidemiological findings to date. We found the basic reproduction number,  $R_0$ , to be around 2.2 (90% high density interval 1.4–3.8), indicating the potential for sustained human-to-human transmission. Transmission characteristics appear to be of a similar magnitude to severe acute respiratory syndrome-related coronavirus (SARS-CoV) and the 1918 pandemic influenza. These findings underline the importance of heightened screening, surveillance and control efforts, particularly at airports and other travel hubs, in order to prevent further international spread of 2019-nCoV.

## 1 Introduction

[1]

- Info about outbreak - Why it is important to understand transmission characteristics, and why we want to know  $k$  and superspreading (limitation of study by Leung).

We used stochastic simulations in order to identify the likely transmission characteristics that have results in the early outbreak trajectory as reported to date.

## 2 Methods

We performed stochastic simulations of the first few generations of human-to-human transmission of 2019-nCoV. Simulations were initialized with one index case. For each primary case, we generated secondary cases according to a negative-binomial offspring distribution with mean  $R_0$  and dispersion  $k$ . [2, 3] The dispersion parameter  $k$  can be interpreted as a measure of the probability of superspreading events (the lower the value of  $k$ , the higher the probability of superspreading). The generation time interval  $D$  was assumed to be gamma-distributed with a shape parameter of 2, and a mean that varied between 7 and 14 days. We explored a wide range of parameter combinations (Table 1) and ran 1,000 stochastic simulations for each individual combination. This corresponds to a total of 3.52 million one-index-case simulations that were run on UBELIX (<http://www.id.unibe.ch/hpc>), the high performance computing cluster at the University of Bern.

Table 1: Parameter ranges for stochastic simulations of outbreak trajectories.

Parameter	Description	Range
$R_0$	Basic reproduction number	[0.8 – 5.0]
$k$	Dispersion parameter	[0.01 – 10]
$D$	Generation time interval	[7 – 14]
$n$	Initial number of index cases	[1 – 50]
$T$	Date of zoonotic transmission	[20 Nov 2019 – 4 Dec 2019]

In a second step, we accounted for the uncertainty regarding the number of index cases  $n$  and the date  $T$  of the initial zoonotic animal-to-human transmissions at the wet market in Wuhan. An epidemic with several index cases can be considered as the sum of several independent epidemics with one index case each. We sampled (with replacement)  $n$  of the one-index-case epidemics, sampled a date of onset for each index case, and summed the epidemic curves together. The sampling of the date of onset was done uniformly from a two-week interval around November 27, 2019, in coherence with early phylogenetic analyses of 11 2019-nCoV genomes.[4] This step was repeated 480 times for each combination of  $R_0$  (22 points) and  $k$  (20 points) for a total of 211,200 full epidemics simulated that included the uncertainty on  $D$ ,  $n$  and  $T$ . Finally, we calculated the proportion of stochastic simulations that reached a total number of infected cases within the interval [1000, 9700] by January 18, 2020, as estimated by Imai and colleagues.[5] In a process related to approximated Bayesian computation (ABC), the parameter value combinations that led to simulations within that interval were treated as approximations to the posterior distributions of the parameters with uniform prior distributions. Model simulations and analyses were performed in the R software for statistical computing.[6] Code files are available on <https://github.com/jriou/wcov>.

### 3 Results

In order to reach between 1000 and 9,700 infected cases by January 18, 2020, the early human-to-human transmission of 2019-nCoV must be either characterized by values of  $R_0$  around around 2.2 (90% high density interval 1.4–3.8) (figure 1). Observed data at this point is compatible with a large range of values for the dispersion parameter  $k$ . However, our simulations indicate that very low values of  $k$ , corresponding to a large probability of superspreading events, are less likely. These estimates incorporate the uncertainty on the current total epidemic size (as of January 23, 2020) and on the date and scale of the initial zoonotic event (figure 2).

Comparison with other emerging viruses in the past allows to put in perspective the available information regarding the transmission patterns of 2019-nCoV. Our estimates of  $R_0$  and  $k$  are more similar to previous estimates focusing on early human-to-human transmission of SARS-CoV in Beijing and Singapore[2] than of MERS-CoV[7] (figure 3). These estimates are also in line with those of 1918 Influenza.[8]

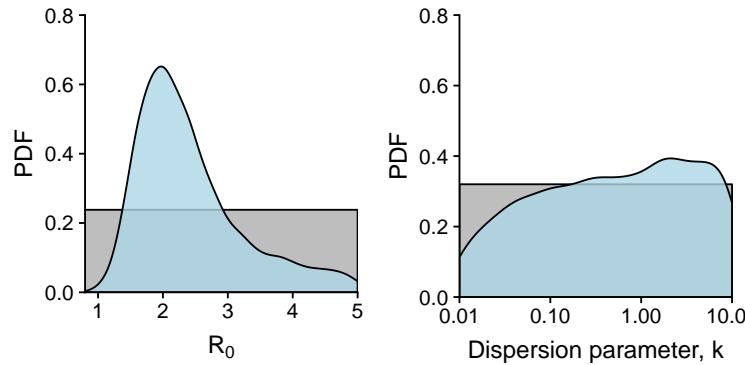


Figure 1: Values of  $R_0$  and  $k$  most compatible with epidemic data available on 2019-nCoV as of January 23, 2020 (in blue). The basic reproduction number  $R_0$  quantifies human-to-human transmission. The dispersion parameter  $k$  quantifies the risk of a superspreading event (lower values of  $k$  are linked to a higher probability of superspreading).

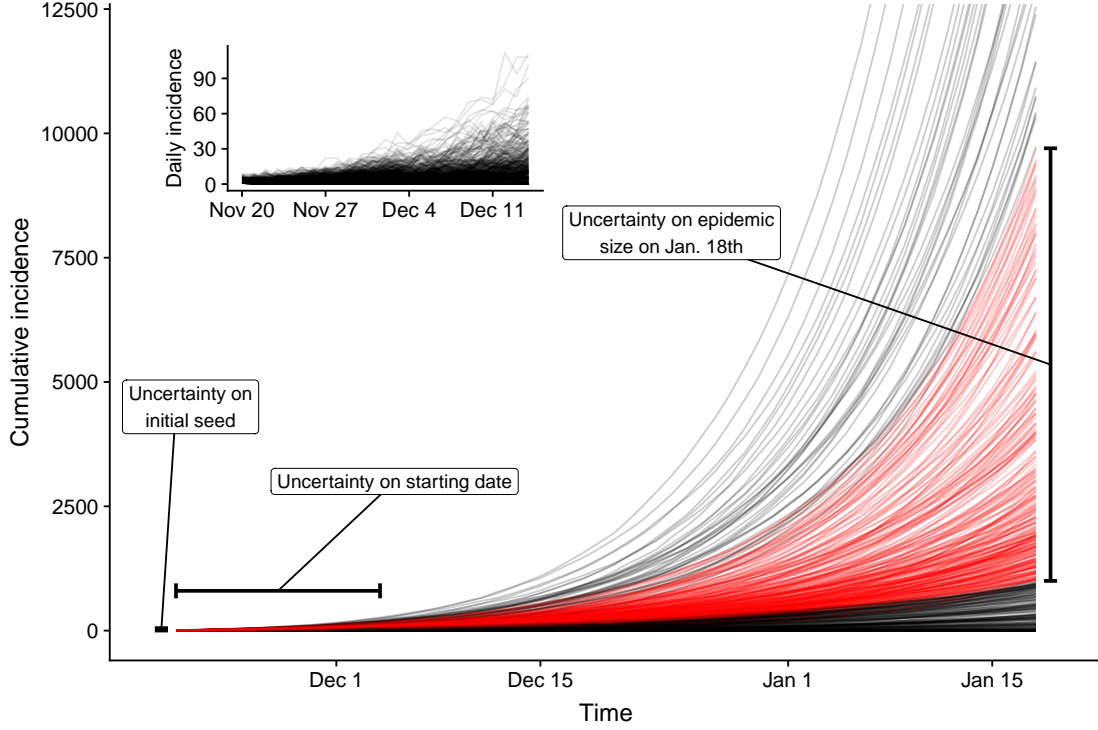


Figure 2: Illustration of the simulation strategy. The lines represent the cumulative incidence of 100 simulations with  $R_0 = 1.8$  and  $k = 1.62$ . The other parameters are left to vary according to table 1. Among these epidemics, 62.5% led to a cumulative incidence between 1000 and 9700 on January 18, 2020 (in red, as in figure 2).

## 4 Discussion

After SARS-CoV in 2002 and of MERS-CoV in 2012, the emergence of 2019-nCoV in Wuhan, China rises a lot of concern worldwide. An emergency WHO meeting on January 22, 2020 concluded that the lack of information about the patterns of transmission of the disease limited the ability of WHO to declare a public health emergency of international concern (PHEIC).[9] The increasing research activity on 2019-nCoV has led to estimates of animal-to-human transmission,[10], estimates of epidemic size using air travel data,[5, 11], and a better characterization of the virological characteristics of the virus.[1] This analysis is the first to focus on the quantification of early human-to-human transmission to what was observed during the early stages of SARS-CoV transmission in Singapore and Beijing rather than during the emergence of MERS-CoV in the Middle-East.[2, 7] Although very different virological characteristics limit the relevance of the comparison, the transmission patterns of nCoV-2019 are also similar to those of 1918 influenza.

The scarcity of available data, especially on case counts by disease onset as well as contact tracing, greatly limits the precision of the estimates and does not allow for any reliable forecast of epidemic spread. While based on few data points, this analysis still has the potential to bring to light important insights regarding human-to-human transmission. First, our estimates of  $R_0$  suggests that the disease has the potential for sustained human-to-human transmission. This implies that important prevention and control measures will be crucial at this stage in order to stop the circulation of the virus. The recent shutdown of the whole city of Wuhan shows that the Chinese authorities are well aware of the potential magnitude of the problem. Second, it is not possible at the moment to ignore the risk of a superspreading event, but our analysis suggest that this risk is at worst of a similar magnitude as SARS-CoV and MERS-CoV. This has important implications for international travel, as superspreading increases the risk of large clusters of infections in distant countries originating from one unrecognized imported case. This fact is in favor of a close monitoring of every passenger travelling from the Wuhan region of China, without excluding the possibility of long incubation periods. The implementation of control measures in hospital settings, especially emergency rooms, will also be of prime importance, as has been shown by the examples of MERS-CoV in South Korea[12] and in Saudi Arabia.[13]

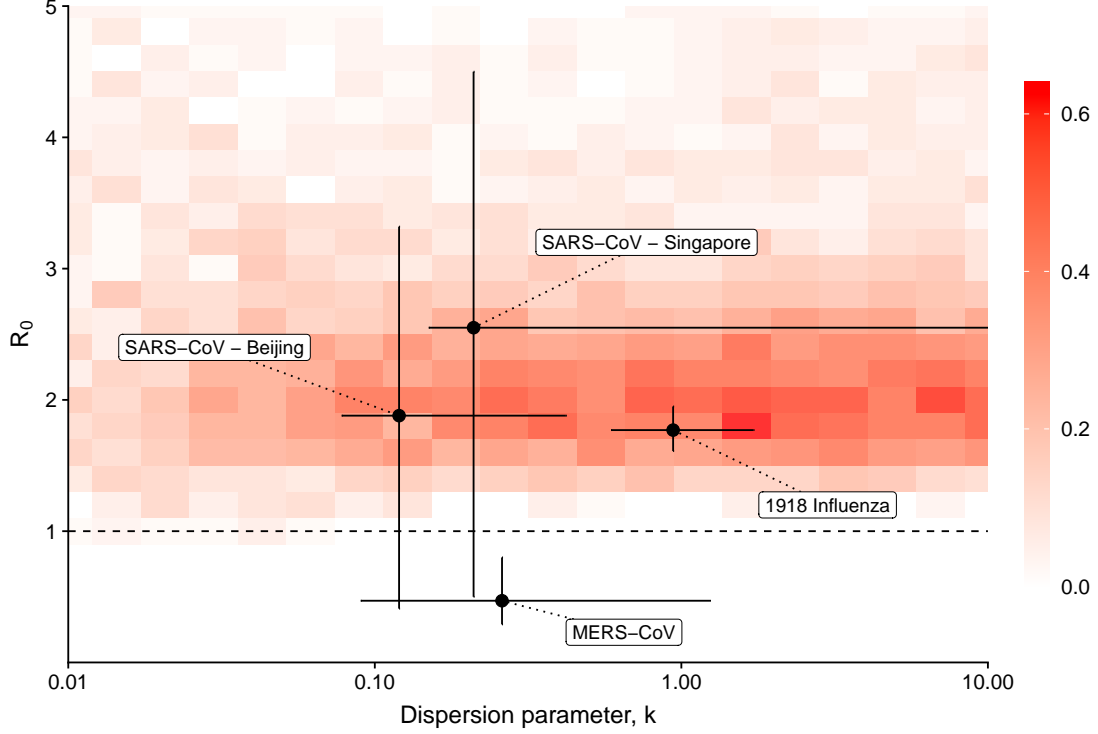


Figure 3: Proportion of simulated epidemics that lead to a cumulative incidence between 1000 and 9700 on January 18, 2020. This can be interpreted as the combinations of  $R_0$  and  $k$  values most compatible with epidemic data available on 2019-nCoV as of January 23, 2020, in comparison to the estimates of  $R_0$  and  $k$  for 2019-nCoV with corresponding parameters for the early human-to-human transmission of SARS-CoV in Singapore and Beijing, and of 1918-Influenza.[2, 8, 7]

Our analysis, while relatively crude, has two important strengths. First, it is based on the simulation of a wide range of possibilities regarding the parameter values and allows for the full propagation of the many remaining uncertainties regarding 2019-nCoV and the situation in Wuhan: the size of the initial zoonotic event at the wet market, the date(s) of the initial animal-to-human transmission event(s) and the generation time interval. While accounting for all these uncertainties, our analysis provides a reliable summary of the current state of knowledge about the human-to-human transmissibility of 2019-nCoV. Second, its focus on the possibility of superspreading events is very important in the context of emerging coronaviruses.[2, 3] While our estimate of  $k$  remains very imprecise, our simulation suggests that lower values of  $k$  (below 0.1), corresponding to a high risk of superspreading) are less likely than higher values (above 10), corresponding to a lower risk of superspreading. It should however be reminded that values of  $k$  in the range 0.1-0.2 are still compatible with the occurrence of large superspreading events, especially in hospital settings.[12, 13]

Going forward,

## 5 Acknowledgements

JR is funded by the Swiss National Science Foundation (grant 174281).

## 6 Conflict of interest

None declared.

## 7 Authors' contributions

JR and CLA designed the study, JR performed model simulations, JR and CLA analyzed and interpreted the results and wrote the manuscript.

## References

- [1] Zheng-Li Shi, Peng Zhou, Xing-Lou Yang, Xian-Guang Wang, Ben Hu, Lei Zhang, Wei Zhang, Hao-Rui Si, Yan Zhu, Bei Li, Chao-Lin Huang, Hui-Dong Chen, Jing Chen, Yun Luo, Hua Guo, Ren-Di Jiang, Mei-Qin Liu, Ying Chen, Xu-Rui Shen, Xi Wang, Xiao-Shuang Zheng, Kai Zhao, Quan-Jiao Chen, Fei Deng, Lin-Lin Liu, Bing Yan, Fa-Xian Zhan, Yan-Yi Wang, and Gengfu Xiao. Discovery of a novel coronavirus associated with the recent pneumonia outbreak in humans and its potential bat origin. *bioRxiv*, 2020.
- [2] J O Lloyd-Smith, S J Schreiber, P E Kopp, and W M Getz. Superspreading and the effect of individual variation on disease emergence. *Nature*, 438(7066):355–9, Nov 2005.
- [3] Christian L Althaus. Ebola superspreading. *Lancet Infect Dis*, 15(5):507–508, 2015.
- [4] Andrew Rambaut. Preliminary phylogenetic analysis of 11 nCoV2019 genomes, 2020-01-19. <http://virological.org/t/preliminary-phylogenetic-analysis-of-11-ncov2019-genomes-2020-01-19/329>.
- [5] Natsuko Imai, Ilaria Dorigatti, Anne Cori, Christl Donnelly, Steven Riley, and Neil M. Ferguson. Report 2: Estimating the potential total number of novel Coronavirus cases in Wuhan City, China. <https://www.imperial.ac.uk/mrc-global-infectious-disease-analysis/news--wuhan-coronavirus/>.
- [6] R Core Team. *R: A Language and Environment for Statistical Computing*. R Foundation for Statistical Computing, Vienna, Austria, 2018.
- [7] A J Kucharski and C L Althaus. The role of superspreading in Middle East respiratory syndrome coronavirus (MERS-CoV) transmission. *Euro Surveill*, 20(25):14–8, Jun 2015.
- [8] Christophe Fraser, Derek A T Cummings, Don Klinkenberg, Donald S Burke, and Neil M Ferguson. Influenza transmission in households during the 1918 pandemic. *Am J Epidemiol*, 174(5):505–14, Sep 2011.
- [9] WHO panel puts off decision on whether to sound alarm on rapid spread of new virus. <https://www.sciencemag.org/news/2020/01/who-panel-puts-decision-whether-sound-alarm-rapid-spread-new-virus>. Accessed: 2020-01-23.
- [10] Tianmu Chen, Jia Rui, Qiupeng Wang, Zeyu Zhao, Jing-An Cui, and Ling Yin. A mathematical model for simulating the transmission of wuhan novel coronavirus. *bioRxiv*, 2020.
- [11] M. Chinazzi, J. T. Davis, A. Pastore y Piontti, X. Xiong, A. Vespignani, C. Gioannini, M. Litvinova, L. Rossi, M.E. Halloran, and I.M. Longini Jr. Preliminary assessment of the international spreading risk associated with the 2019 nCOV outbreak in Wuhan city. <https://www.mobs-lab.org/2019ncov.html>.
- [12] Myoung-don Oh, Pyoeng Gyun Choe, Hong Sang Oh, Wan Beom Park, Sang-Min Lee, Jinkyong Park, Sang Kook Lee, Jeong-Sup Song, and Nam Joong Kim. Middle east respiratory syndrome coronavirus superspreading event involving 81 persons, korea 2015. *Journal of Korean Medical Science*, 30(11):1701–1705, 2015.
- [13] Abdullah Assiri, Allison McGeer, Trish M Perl, Connie S Price, Abdullah A Al Rabeeah, Derek AT Cummings, Zaki N Alabdullatif, Maher Assad, Abdulmohsen Almulhim, Hatem Makhdoom, et al. Hospital outbreak of middle east respiratory syndrome coronavirus. *New England Journal of Medicine*, 369(5):407–416, 2013.