Commutative Algebra

September 7, 2018

# Contents

In	ntroduction	1
1	Rings and Ideals	3
	1.1 Operations on Ideals	8

### Introduction

The study and application of commutative rings with identity (CRW1).

- 1. AC in calculus.  $\mathcal{C}(\mathbb{R}) = \{\text{continuous functions } \mathbb{R} \to \mathbb{R}\}, \ \mathcal{D}(\mathbb{R}) = \{\text{differentiable functions } \mathbb{R} \to \mathbb{R}\}$  are both CRW1's.
- 2. AC in graph theory. Let G be a finite simple graph with vertex set  $V = \{v_1, \dots, v_d\}$ . The edge ideal of G is  $I(G) = \langle v_i v_j \mid v_i v_j \text{ is an edge in } G \rangle \leq K[v_1, \dots, v_d]$ .

algebraic properties of  $I(G) \rightleftharpoons$  combinatorial properties of G.

3. AC in CO (combinatorics). A simplicial complex  $\Delta$  on V. Stanley-Reisner ideal  $J(\Delta) \leq K[v_1, \dots, v_d]$ .

algebraic properties of  $J(\Delta) \rightleftharpoons$  combinatorics properties of  $\Delta$ .

Let  $\mathcal{P}$  be a poset and  $\Delta(\mathcal{P})$  = "order complex of  $\mathcal{P}$ " = {chains in P}. Study  $\mathcal{P}$  via  $J(\Delta(\mathcal{P}))$ .

- 4. AC in NT (number theory). NT is the study of solutions of polynomial equations over  $\mathbb{Z}$ . Given an intermediate field  $\mathbb{Q} \subseteq K \subseteq \mathbb{C}$ , let  $R = \{\alpha \in K \mid \exists \text{ monic } f \in \mathbb{Z}[x] \text{ s.t. } f(\alpha) = 0\}$ . Then  $\mathbb{Z} \subseteq R \subseteq K$  and R is a subring of K. (Chapter 5)
- 5. AC in AG (algebraic geometry). AG is the study of solution sets for systems of polynomial equations over fields. Let k be a field,  $f_1, \dots, f_m \in k[X_1, \dots, X_d], V := V(f_1, \dots, f_m) = \{\underline{x} \in k^d \mid f_i(\underline{x}) = 0, \ \forall \ i = 1, \dots, m\}$ , where V for "variety", and  $I(V) = \{f \in k[X_1, \dots, X_d \mid f(\underline{x}) = 0, \ \forall \ \underline{x} \in V\} \leq k[X_1, \dots, X_d]$ .

algebraic properties of  $I(V) \rightleftharpoons$  geometric properties of V.

Why modules? b/c in NT,  $R = \{\alpha \in K \mid \exists \text{ monic } f \in \mathbb{Z}[x] \text{ s.t. } f(\alpha) = 0\}$  is a subring of K. **Challenge-exercise:** prove this by definition. Let  $\alpha, \beta \in R$ . Then there exist  $f, g \in \mathbb{Z}[X]$  monic such that  $f(\alpha) = 0 = f(\beta)$ . Prove/construct monic polynomials  $s, d, p \in \mathbb{Z}[X]$  such that  $s(\alpha + \beta) = 0$ ,  $d(\alpha - \beta) = 0$  and  $p(\alpha\beta) = 0$ .

Proof is a straight forward application of modules.

Why topology? To study geometry, need continuity. Let  $V = V(f_1, \dots, f_m)$ ,  $W = V(g_1, \dots, g_n)$  and  $\phi: V \to W$ . What does it mean for  $\phi$  to be continuous if  $K = \mathbb{F}_3$ ? Need a notion of open sets in V and W.

2 CONTENTS

#### Chapter 1

## Rings and Ideals

Let R be a CRW1.

**Fact 1.1.** R = 0 iff  $1_R = 0_R$ .

Fact 1.2. (1)  $1_R$  and  $0_R$  are both unique.

- (2) For any  $r \in R$ , -r is unique.
- (3) If  $r \in R$  is a unit, i.e., if there exists  $r^{-1} \in R$  such that  $rr^{-1} = 1_R = r^{-1}r$ , then  $r^{-1}$  is also unique.

**Definition 1.3.** A homomorphism of CRW1's is a function  $\phi: R \to S$ , where R and S are CRW1's such that

- (1)  $\phi(r+r') = \phi(r) + \phi(r')$ ,
- (2)  $\phi(rr') = \phi(r)\phi(r')$ ,
- (3)  $\phi(1_R) = 1_S$ .

A.K.A. a ring homomorphism.

**Fact 1.4.** Let  $\phi: R \to S$  be a ring homomorphism.

- (a)  $\phi(0_R) = 0_S$ .
- (b)  $\phi(-r) = -\phi(r)$  for any  $r \in R$ .
- (c)  $\phi(r-s) = \phi(r) \phi(s)$  for any  $r, s \in R$ .
- (d)  $\phi(\sum_{i=1}^{m} r_i s_i) = \sum_{i=1}^{m} \phi(r_i)\phi(s_i)$  for any  $r_1, \dots, r_m, s_1, \dots, s_m \in R$ .
- (e) If r is a unit in R, then  $\phi(r)$  is a unit in S and  $\phi(r)^{-1} = \phi(r^{-1})$ .
- (f) A composition of ring homomorphisms is a ring homomorphism.

**Definition 1.5.** A subring of R is a subset  $S \subseteq R$  such that S is a CRW1 under the operations for R and such that  $1_S = 1_R$ , i.e.,  $1_R \in S$ .

**Fact 1.6** (Subring test). A subset  $S \subseteq R$  is a subring iff it is closed under  $+, \cdot, -$  and  $1_R \in S$ .

**Example 1.7.** Subring test: need  $\emptyset \neq S \subseteq R$ , S is closed under  $+, \cdot, -$  and  $1_R \in S$ .

If S is not closed under -, then fail.  $\mathbb{N}_0 = \{0, 1, 2, \dots\} \subseteq \mathbb{Z}$  not a subring.

If  $1_R \notin S$ , then fail.  $R = \mathbb{F}_3 \times \mathbb{F}_3 \supseteq \{(a,a) \mid a \in \mathbb{F}_3\} =: S$ . Then S is a subring of R. Although  $S_1 = \{(a,0) \mid a \in \mathbb{F}_3\} \cong \mathbb{F}_3$  and  $S_2 = \{(0,a) \mid a \in \mathbb{F}_3\} \cong \mathbb{F}_3$  are rings but not subrings of R since  $1_R = (1,1) \notin S_1$  and  $1_R = (1,1) \notin S_2$ .

**Fact 1.8.** If  $S \subseteq R$  is a subring, then the inclusion map  $\varepsilon : S \to R$  given by  $\varepsilon(s) = s$  is a ring homomorphism.

**Definition 1.9.** An *ideal* of R is a non-empty subset  $\mathfrak{a} \subseteq R$  and a subgroup under addition such that for any  $r \in R$  and any  $a \in \mathfrak{a}$ ,  $ra \in \mathfrak{a}$ .

An ideal  $\mathfrak{a} \leq R$  is *prime* if  $\mathfrak{a} \neq R$  and for any  $a,b \in R$ , if  $a,b \notin \mathfrak{a}$ , then  $ab \notin \mathfrak{a}$ , i.e., if  $ab \in \mathfrak{a}$ , then  $a \in \mathfrak{a}$  or  $b \in \mathfrak{a}$ .

An ideal  $\mathfrak{a} \leq R$  is maximal if  $\mathfrak{a} \neq R$  and for any ideal  $\mathfrak{b} \leq R$ , if  $\mathfrak{a} \subseteq \mathfrak{b} \subseteq R$ , then either  $\mathfrak{a} = \mathfrak{b}$  or  $\mathfrak{b} = R$ .

**Fact 1.10** (Ideal test). A subset  $\mathfrak{a} \subseteq R$  is an ideal iff  $\mathfrak{a} \neq \emptyset$  and  $\mathfrak{a}$  is closed under + and  $\cdot$ . If  $\mathfrak{a} \neq \emptyset$  and  $\mathfrak{a}$  is closed under  $\cdot$ , then for any  $a \in \mathfrak{a}$ ,  $-a = (-1_R)a \in \mathfrak{a}$ , also, since  $\mathfrak{a}$  is closed under +, it is automatically closed under -.

**Example 1.11.** (a)  $R = \mathbb{Z}$ , ideals are  $n\mathbb{Z} = \{nm \mid m \in \mathbb{Z}\}$ , where  $n \in \mathbb{Z}$ .  $n\mathbb{Z}$  is prime iff n = 0 or |n| is prime.  $n\mathbb{Z}$  is maximal iff |n| is prime.

- (b) If  $I_{\lambda} \leq R$  for any  $\lambda \in \Lambda$ , then  $\bigcap_{\lambda \in \Lambda} I_{\lambda} \leq R$ .
- (c) If  $r_1, \dots, r_m \in R$ , then

$$\langle r_1, \cdots, r_m \rangle = \langle r_1, \cdots, r_m \rangle R = (r_1, \cdots, r_m) = (r_1, \cdots, r_m) R = \bigcap_{r_1, \cdots, r_m \in I \le R} I$$

$$= \left\{ \sum_{i=1}^m a_i r_i \mid a_i \in R, \ \forall \ i = 1, \cdots, m \right\} \le R.$$

In particular, for any  $r \in R$ ,  $\langle r \rangle = \langle r \rangle R = (r) = (r)R = rR = Rr = \{ar \mid a \in R\} = \bigcap_{r \in I < R} I$ .

(d) If  $A \subseteq R$ , then  $\langle A \rangle = \bigcap_{A \subseteq I \le R} I$  and  $\langle A \rangle = \{ \sum_{a \in A}^{\text{finite}} r_a a \mid r_a \in R, \ \forall \ a \}.$ 

**Fact 1.12.** For any  $r_1, \dots, r_m \in R$ ,  $\langle r_1, \dots, r_m \rangle$  is the smallest ideal of R containing  $r_1, \dots, r_m$ , i.e., for any  $\mathfrak{a} \leq R$ ,  $r_1, \dots, r_m \in \mathfrak{a}$  iff  $\langle r_1, \dots, r_m \rangle \subseteq \mathfrak{a}$ . Similarly,  $A \subseteq \mathfrak{a}$  iff  $\langle A \rangle \subseteq \mathfrak{a}$ . e.g., if  $A \leq R$ , then  $A = \langle A \rangle$ .

Construction 1.13. Let  $\mathfrak{a} \leq R$ . For any  $r \in R$ ,  $r + \mathfrak{a} = \{r + a \mid a \in \mathfrak{a}\} = \overline{r}$ . Let  $R/\mathfrak{a} := \{r + \mathfrak{a} \mid r \in R\}$ . Then  $R/\mathfrak{a}$  is a CRW1 with  $\overline{r} \pm \overline{s} = \overline{r \pm s}$ ,  $\overline{rs} = \overline{rs}$ ,  $0_{R/\mathfrak{a}} = \overline{0_R}$  and  $1_{R/\mathfrak{a}} = \overline{1_R}$ . Let  $\pi : R \to R/\mathfrak{a}$  be given by  $\pi(r) = \overline{r}$ . Then  $\pi$  is a well-defined ring epimorphism.

$$R \xrightarrow{\phi} S$$

$$\downarrow^{\pi} \qquad \exists ! \overline{\phi}$$

$$R/\mathfrak{a}$$

For any  $\phi: R \to S$  ring homomorphism, if  $\phi(\mathfrak{a}) = 0$ , then there exists a unique ring homomorphism  $\overline{\phi}: R/\mathfrak{a} \to S$  making the diagram commute, where  $\overline{\phi}(\overline{r}) = \overline{\phi}(\pi(r)) = \phi(r)$ . Note  $\phi(\mathfrak{a}) = 0$  iff  $\mathfrak{a} \subseteq \text{Ker}(\phi)$ . In particular, if  $\mathfrak{a} = \langle A \rangle$ , then  $\mathfrak{a} \subseteq \text{Ker}(\phi)$  iff  $A \subseteq \text{Ker}(\phi)$ .

Fact 1.14. Let  $\mathfrak{a} \leq R$ .

- (a)  $\mathfrak{a}$  is prime iff  $R/\mathfrak{a}$  is an integral domain.
- (b)  $\mathfrak{a}$  is maximal iff  $R/\mathfrak{a}$  is a field.
- (c) If R is a field, then it is an integral domain. So if  $\mathfrak a$  is maximal, then  $\mathfrak a$  is prime.

Fact 1.15 (Ideal correspondence for quotients). Let  $\mathfrak{a} \leq R$  and  $\pi: R \to R/\mathfrak{a}$  be the canonical epimorphism.

$$\{ \text{ideals } I \leq R/\mathfrak{a} \} \rightleftarrows \{ \text{ideals } J \leq R \mid \mathfrak{a} \subseteq J \}$$

$$I \mapsto \pi^{-1}(I)$$

$$J/\mathfrak{a} \hookleftarrow J$$

$$\{ \text{ideals } I \leq R/\mathfrak{a} \} \rightleftarrows \{ \text{ideals } J \leq R \mid \mathfrak{a} \subseteq J \}$$

$$\{ \text{primes ideals of } R/\mathfrak{a} \} \rightleftarrows \{ \text{prime ideals } \mathfrak{p} \leq R \mid \mathfrak{a} \subseteq \mathfrak{p} \}$$

$$\{ \text{maximal ideals of } R/\mathfrak{a} \} \rightleftarrows \{ \text{maximal ideals } \mathfrak{m} \leq R \mid \mathfrak{a} \subseteq \mathfrak{m} \}$$

In both R and  $R/\mathfrak{a}$ , maximal ideals are a subset of prime ideals and prime ideals are a subset of ideals. Claim  $\frac{R/\mathfrak{a}}{J/\mathfrak{a}} \cong \frac{R}{J}$ .

$$R \xrightarrow{p} R/\mathfrak{a} \xrightarrow{\tau} \frac{R/\mathfrak{a}}{J/\mathfrak{a}}$$

$$\downarrow^{\pi}$$

$$\exists ! \overline{\phi}$$

Clearly  $\underline{J} \subseteq \operatorname{Ker}(\tau \circ p)$ , so we can use the UMP. Actually,  $J = \operatorname{Ker}(\tau \circ p)$ .

So  $Ker(\overline{\phi}) = 0 + J$  and hence  $\overline{\phi}$  is 1-1.

Since  $\tau \circ p$  is onto and the diagram commutes,  $\overline{\phi}$  is onto.

Note  $\overline{\phi}(\overline{r}) = \overline{\overline{r}}$ , i.e.,  $\overline{\phi}(r+J) = (r+\mathfrak{a}) + J/\mathfrak{a}$ .

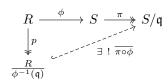
**Notation.** Spec(R) = {primes ideals of R}, called the *prime spectrum of* R.  $V(\mathfrak{a}) = \{\mathfrak{p} \in \operatorname{Spec}(R) \mid \mathfrak{p} \supseteq \mathfrak{a}\}.$ 

**Fact 1.16.** Let  $\phi: R \to S$  be a ring homomorphism. Then  $\operatorname{Ker}(\phi) \leq R$ ,  $\operatorname{Im}(\phi) \subseteq S$  is a subring and  $\operatorname{Im}(\phi) \cong R/\operatorname{Ker}(\phi)$ .

If S is an integral domain, then so is  $\text{Im}(\phi)$ . Hence  $\text{Ker}(\phi)$  is prime.

More generally, for any  $\mathfrak{b} \leq S$ , we have  $\phi^{-1}(\mathfrak{b}) = \{x \in R \mid \phi(x) \in \mathfrak{b}\} \leq R$ .

Let  $\mathfrak{q} \in \operatorname{Spec}(S)$ . Then  $S/\mathfrak{q}$  is an integral domain. Since  $R/\operatorname{Ker}(\pi \circ \phi) \cong S/\mathfrak{q}$ ,  $\operatorname{Ker}(\pi \circ \phi)$  is prime. Note  $\phi^{-1}(\mathfrak{q}) = \operatorname{Ker}(\pi \circ \phi)$ , so  $\phi^{-1}(\mathfrak{q})$  is prime, i.e.,  $\phi^{-1}(\mathfrak{q}) \in \operatorname{Spec}(R)$ . Thus,  $\phi$  induces a well-defined map  $\phi^* : \operatorname{Spec}(S) \to \operatorname{Spec}(R)$ .



**Example.** Let  $\phi : \mathbb{Z} \to \mathbb{Q}$  be an inclusion map. Note  $\mathfrak{q} := (0)\mathbb{Q} \leq \mathbb{Q}$  is maximal, but  $\phi^{-1}(\mathfrak{q}) = \phi^{-1}(0) = \operatorname{Ker}(\phi) = 0\mathbb{Z}$ , which is not maximal in  $\mathbb{Z}$ .

**Fact 1.17.** (a) If  $R \neq 0$ , then R has a maximal ideal  $\mathfrak{m}$ . So R has a prime ideal. Moreover, for any  $\mathfrak{a} \subseteq R$ , there exists a maximal ideal  $\mathfrak{m} \supseteq \mathfrak{a}$ . In particular,  $V(\mathfrak{a}) = \{\mathfrak{p} \in \operatorname{Spec}(R) \mid \mathfrak{a} \subseteq \mathfrak{p}\} \neq \emptyset$ .

(b) Let  $\mathfrak{a} \subseteq R$ . Then  $0 \neq R/\mathfrak{a}$  is a CRW1. So  $R/\mathfrak{a}$  has a maximal ideal and this ideal corresponds for quoitients, hence it is of the form  $\mathfrak{m}/\mathfrak{a}$ , where  $\mathfrak{m}$  is the maximal ideal of R containing  $\mathfrak{a}$ .

**Definition 1.18.** R is local if it has a unique maximal ideal  $\mathfrak{m}$ . A.K.A. "quasi-local". The residue field of R is  $R/\mathfrak{m}$ .

Shorthand, assume  $(R, \mathfrak{m}, k)$  is local, where  $\mathfrak{m}$  is the unique maximal ideal of R and  $k = R/\mathfrak{m}$ . Or assume  $(R, \mathfrak{m})$  is local.

**Example 1.19.** (a) Any field is local with the maximal ideal (0).

- (b) Let  $n \in \mathbb{N}$  and p be prime in  $\mathbb{Z}$ . Note  $0 \neq \mathbb{Z}/\langle p^n \rangle$  has a maximal ideal  $\mathfrak{m} = \langle p \rangle/\langle p^n \rangle$ , where  $\langle p \rangle$  is a maximal ideal of R containing  $\langle p^n \rangle$ . Assume there is  $\mathfrak{m}_1 \leq R$  maximal such that  $\mathfrak{m}_1 \supseteq \langle p^n \rangle$ . Then  $\mathfrak{m}_1$  is prime, so  $p \in \mathfrak{m}_1$  and hence  $\langle p \rangle \subseteq \mathfrak{m}_1$ . Since  $\langle p \rangle$  is maximal,  $\langle p \rangle = \langle \mathfrak{m}_1 \rangle$ . Thus,  $\langle p \rangle$  is the unique maximal ideal containing  $\langle p^n \rangle$  and so  $\mathbb{Z}/\langle p^n \rangle$  is local. Similarly, we can show  $\langle p \rangle$  is the unique prime ideal containing  $\langle p^n \rangle$ , so  $\operatorname{Spec}(\mathbb{Z}/\langle p^n \rangle) = \{\langle p \rangle/\langle p^n \rangle\}$ .
- (c) Let k be a field. Then  $R = k[X]/\langle X^n \rangle$  is local with the maximal ideal  $\langle X \rangle/\langle X^n \rangle$ . In fact,  $\operatorname{Spec}(R) = \{\langle X \rangle/\langle X^n \rangle\}$ .
- (d) Let k be a field and  $R = \frac{k[X_1, \cdots, X_d]}{\langle X_1^{a_1}, \cdots, X_d^{a_d} \rangle}$ , where  $a_i \in \mathbb{N}$  for any  $i = 1, \cdots, d$ . Then R is local with the maximal ideal  $\langle X_1, \cdots, X_d \rangle / \langle X_1^{a_1}, \cdots, X_d^{a_d} \rangle$ . In fact,  $\operatorname{Spec}(R) = \left\{ \frac{\langle X_1, \cdots, X_d \rangle}{\langle X_1^{a_1}, \cdots, X_d^{a_d} \rangle} \right\}$ .

**Fact 1.20.** If  $(R, \mathfrak{m})$  is local and  $\mathfrak{a} \subseteq R$ , then  $(R/\mathfrak{a}, \mathfrak{m}/\mathfrak{a})$  is also local and  $\frac{R/\mathfrak{a}}{\mathfrak{m}/\mathfrak{a}} \cong R/\mathfrak{m}$  canonically isomorphic residue fields. Converse fails in general by Example 1.19.

Notation 1.21. Let  $R^{\times} = R^* = \mathcal{U}(R) = \{\text{units of } R\}.$ 

Proposition 1.22. TFAE.

- (i) R is local.
- (ii)  $R \setminus R^{\times} \leq R$ .
- (iii) There exists  $\mathfrak{a} \subsetneq R$  such that  $R \setminus \mathfrak{a} \subseteq R^{\times}$ .

When these are satisfied,  $\mathfrak{m} = R \setminus R^{\times} = \mathfrak{a}$ .

*Proof.* "(i) $\Rightarrow$ (ii)". Assume  $(R, \mathfrak{m})$  is local. Claim  $\mathfrak{m} = R \setminus R^{\times}$ . It suffices to show  $R \setminus \mathfrak{m} = R^{\times}$ . " $\supseteq$ ". Let  $u \in R^{\times}$ . Then  $\langle u \rangle = R$  and so  $u \notin \mathfrak{m} \subsetneq R$ , i.e.,  $u \in R \setminus \mathfrak{m}$ . Hence  $R^{\times} \subseteq R \setminus \mathfrak{m}$ .

" $\subseteq$ ". Let  $x \in R \setminus R^{\times}$ . Then  $\langle x \rangle \subseteq R$ . Since  $\mathfrak{m}$  is the unique maximal ideal in R,  $\langle x \rangle \subseteq \mathfrak{m}$ , i.e.,  $x \in \mathfrak{m}$ . Thus,  $R \setminus R^{\times} \subseteq \mathfrak{m}$ , i.e.,  $R \setminus \mathfrak{m} \subseteq R^{\times}$ .

"(ii) $\Rightarrow$ (iii)". Assume  $R \setminus R^{\times} \leq R$ . Set  $\mathfrak{a} = R \setminus R^{\times}$ . Then  $R \setminus \mathfrak{a} = R^{\times}$ .

"(iii) $\Rightarrow$ (i)". Let  $\mathfrak{a} \leq R$  such that  $R \setminus \mathfrak{a} \subseteq R^{\times}$ . Then  $\mathfrak{a} \supseteq R \setminus R^{\times}$ . OTOH, let  $a \in \mathfrak{a}$ , then  $a \notin R^{\times}$  since  $\mathfrak{a} \leq R$ . So  $a \in R \setminus R^{\times}$  and hence  $\mathfrak{a} \subseteq R \setminus R^{\times}$ . Thus,  $\mathfrak{a} = R \setminus R^{\times}$ . Let  $\mathfrak{n} \leq R$  be maximal and  $y \in \mathfrak{n}$ . Then  $y \notin R^{\times}$ . So  $y \in R \setminus R^{\times} = \mathfrak{a}$ . Thus,  $\mathfrak{n} \subseteq \mathfrak{a} \leq R$ . Since  $\mathfrak{n}$  is maximal,  $\mathfrak{n} = \mathfrak{a}$ .

**Proposition 1.23.** Let  $\mathfrak{m} \subseteq R$  be maximal such that  $1 + \mathfrak{m} \subseteq R^{\times}$ . Then R is local.

*Proof.* By previous proposition, it suffices to show  $R \setminus \mathfrak{m} \subseteq R^{\times}$ . Let  $x \in R \setminus \mathfrak{m}$ . Set  $\langle x, \mathfrak{m} \rangle = \langle \{x\} \cup \mathfrak{m} \rangle = \{ax + m \mid a \in R, m \in \mathfrak{m}\}$ . Then  $\mathfrak{m} \subsetneq \langle x, \mathfrak{m} \rangle \leq R$ . Since  $\mathfrak{m}$  is maximal,  $\langle x, \mathfrak{m} \rangle = R$ . Also, since  $1 \in R$ , 1 = ax + m for some  $a \in R$  and  $m \in \mathfrak{m}$ , i.e.,  $ax = 1 - m \in 1 + \mathfrak{m} \subseteq R^{\times}$ . Thus,  $a, x \in R^{\times}$ .

**Definition 1.24.**  $x \in R$  is nilpotent if there exists  $n \in \mathbb{N}$  such that  $x^n = 0$ . Then nilradical of R is  $Nil(R) = N(R) = \mathfrak{N}_R = \mathfrak{N} = \{\text{nilpotent elements of } R\}.$ 

**Example 1.25.** In  $\mathbb{Z}/\langle p^n \rangle$ ,  $\overline{p}$  is nilpotent. It is similar in  $k[x]/\langle x^n \rangle$  and  $k[x_1, \dots, x_n]/\langle x_1^{a_1}, \dots, x_d^{a_d} \rangle$ , where k is a field.

Proposition 1.26. (a)  $Nil(R) \leq R$ .

- (b)  $\operatorname{Nil}(R/\operatorname{Nil}(R)) = 0$ .
- (c) Nil(R) = R iff R = 0.
- (d)  $Nil(R) = \bigcap_{\mathfrak{p} \in Spec(R)} \mathfrak{p}$ .
- Proof. (a) Since  $0 \in \text{Nil}(R)$ ,  $\text{Nil}(R) \neq \emptyset$ . Let  $r \in R$  and  $a, b \in \text{Nil}(R)$ . Then there exists  $m, n \in \mathbb{N}$  such that  $a^m = 0 = b^n$ . Then  $(ra)^m = r^m a^m = 0$  and so  $ra \in \text{Nil}(R)$ . By binomial theorem,  $(a+b)^{m+n} = \sum_{i=0}^{m+n} \binom{m+n}{i} a^i b^{m+n-i} = 0$ . Since for any  $0 \le i \le m+n$ , either  $i \ge m$  or i < m, i.e.,  $i \ge m$  or m+n-i > n. we have  $a^i = 0$  when  $i \ge m$ , and  $b^{m+n-i} = 0$  when m+n-i > n. So  $(a+b)^{m+n} = 0$  and thus  $a+b \in \text{Nil}(R)$ .
- (b) Let  $\overline{x} \in \text{Nil}(R/\text{Nil}(R))$ . Then there exists  $n \in \mathbb{N}$  such that  $\overline{x^n} = \overline{x}^n = 0$ , i.e.,  $x^n \in \text{Nil}(R)$ . So there exists  $m \in \mathbb{N}$  such that  $(x^n)^m = 0$ , i.e.,  $x^{mn} = 0$ . So  $x \in \text{Nil}(R)$ . Thus,  $\overline{x} = 0$ .
- (c) Since  $1 \in Nil(R)$ , there exists  $n \in \mathbb{N}$  such that  $1 = 1^n = 0$ . So R = 0.
- (d) " $\subseteq$ ". Let  $x \in \operatorname{Nil}(R)$ . Then there exists  $n \in \mathbb{N}$  such that  $x^n = 0 \in \mathfrak{p}$  for any  $\mathfrak{p} \in \operatorname{Spec}(R)$ . So  $x \in \mathfrak{p}$  for any  $p \in \operatorname{Spec}(R)$ . Thus,  $x \in \bigcap_{\mathfrak{p} \in \operatorname{Spec}(R)} \mathfrak{p}$ .

  " $\supseteq$ ". Let  $x \in R \setminus \operatorname{Nil}(R)$ . Need to show  $x \notin \bigcap_{\mathfrak{p} \in \operatorname{Spec}(R)}$ . It is equivalent to show there exists  $\mathfrak{p} \in \operatorname{Spec}(R)$  such that  $x \notin \mathfrak{p}$ . Let  $\Sigma = \{\mathfrak{a} \le R \mid x, x^2, x^3 \cdots \notin \mathfrak{a}\}$ . Since  $x \notin \operatorname{Nil}(R)$ ,  $x^k \ne 0$  for any  $k \in \mathbb{N}$ . So  $(0) \in \Sigma$  and then  $\Sigma \ne \emptyset$ . Let  $\mathscr{C} \subseteq \Sigma$  be chain. Then  $\mathfrak{q} := \bigcup_{\mathfrak{a} \in \mathscr{C}} \mathfrak{a} \le R$ . Suppose  $x^n \in \mathfrak{q}$  for some  $n \in \mathbb{N}$ . Then  $x^n \in \mathfrak{a}$  for some  $\mathfrak{a} \in \mathscr{C} \subseteq \Sigma$ , contradicting with  $\mathfrak{a} \in \Sigma$ . So  $x^n \notin \mathfrak{q}$  for any  $n \in \mathbb{N}$  and hence  $\mathfrak{q} \in \Sigma$ . Hence  $\mathfrak{q}$  is an upper bound for  $\mathscr{C}$  in  $\Sigma$ . Since the chain  $\mathscr{C} \subseteq \Sigma$  is arbitrary, by Zorn's lemma,  $\Sigma$  has a maximal element I.

Claim I is prime. Suppose I=R. Then  $x^n\in R=I$ , contradicting with  $I\in \Sigma$ . So  $I\subseteq R$ .

Let  $r, s \in R \setminus I$ . Then  $I \subsetneq \langle r, I \rangle \leq R$  and  $I \subsetneq \langle s, I \rangle \leq R$ . By the maximality of I in  $\Sigma$ , we have  $\langle r, I \rangle, \langle s, I \rangle \not\in \Sigma$ . So there exists  $m, n \in \mathbb{N}$  such that  $x^m \in \langle r, I \rangle$  and  $x^n \in \langle s, I \rangle$ . Then  $x^m = ar + i$  for some  $a \in R$  and  $i \in I$ , and  $x^n = bs + j$  for some  $b \in R$  and  $j \in I$ . So  $x^{m+n} = x^m x^n = (ar+i)(bs+j) = abrs + \underbrace{(arj+bsi+ij)} \in \langle rs, I \rangle$ . Hence  $\langle rs, I \rangle \not\in \Sigma$ . Also,

since  $I \in \Sigma$ ,  $rs \notin I$ . Thus,  $I \in \operatorname{Spec}(R)$  such that  $x \notin I$ .

**Example.** Let k be a field and  $R = \frac{k[X_1, \cdots, X_d]}{(X_1^{a_1}, \cdots, X_d^{a_d})} \neq 0$ , where  $a_i \in \mathbb{N}$  for any  $i = 1, \cdots, d$ . Then  $Nil(R) = \frac{\langle X_1, \cdots, X_d \rangle}{\langle X_1^{a_1}, \cdots, X_d^{a_d} \rangle}$ .

 $\begin{array}{l} \textit{Proof.} \ \, \text{Method 1: Since Spec}(R) = \left\{ \frac{\langle X_1, \cdots, X_d \rangle}{\langle X_1^{a_1}, \cdots, X_d^{a_d} \rangle} \right\}, \, \text{Nil}(R) = \bigcap_{\mathfrak{p} \in \text{Spec}(R)} \mathfrak{p} = \frac{\langle X_1, \cdots, X_d \rangle}{\langle X_1^{a_1}, \cdots, X_d^{a_d} \rangle}. \\ \text{Method 2: Since } \overline{X}_i \in \frac{\text{Nil}(R) \, \text{ for each } i = 1, \cdots, d, \, \text{ we have } \overline{\langle X_1, \cdots, X_d \rangle} = \langle \overline{X}_1, \cdots, \overline{X}_d \rangle \subseteq \\ \text{Nil}(R) \subsetneq R. \, \, \text{Also, since } \overline{\langle X_1, \cdots, X_d \rangle} \, \text{ is maximal, we have } \text{Nil}(R) = \overline{\langle X_1, \cdots, X_d \rangle}. \end{array}$ 

**Fact 1.27.** If  $\mathfrak{a} \leq R$  and  $r_1, \dots, r_n \in R$ , then  $R/\mathfrak{a} \supseteq \langle \overline{r}_1, \dots, \overline{r}_n \rangle = \frac{\langle r_1, \dots, r_n, \mathfrak{a} \rangle}{\mathfrak{a}}$ . In particular, if  $\langle r_1, \dots, r_n \rangle \supseteq \mathfrak{a}$ , then  $\langle \overline{r}_1, \dots, \overline{r}_n \rangle = \frac{\langle r_1, \dots, r_n \rangle}{\mathfrak{a}}$ .

**Definition 1.28.** The Jacobson radical of R is  $Jac(R) = \mathfrak{J}(R) = \bigcap_{\mathfrak{m} \leq R \text{ max'l}} \mathfrak{m}$ .

**Remark.**  $\operatorname{Jac}(R) \supseteq \operatorname{Nil}(R) = \bigcap_{\mathfrak{p} \in \operatorname{Spec}(R)} \mathfrak{p}_{\mathfrak{p}}$ 

**Proposition 1.29.**  $\mathcal{J}(R) = \{x \in R \mid 1 - xy \in R^{\times}, \ \forall \ y \in Y\}.$ 

*Proof.* " $\subseteq$ ". Let  $x \in \mathcal{J}(R)$ . By way of contradiction (BWOC), suppose there exists  $y \in R$  such that  $1 - xy \notin R^{\times}$ . Then there exists  $\mathfrak{m} \leq R$  maximal such that  $1 - xy \in \mathfrak{m}$ . Since  $x \in \mathcal{J}(R) \subseteq \mathfrak{m}$ ,  $xy \in \mathfrak{m}$ . So  $1 = (1 - xy) + xy \in \mathfrak{m}$ , a contradiction.

"\(\text{\text{"}}\)". Argue by contrapositive. Let  $x \in R$  such that  $1 - xy \in R^{\times}$  for any  $y \in Y$ . Suppose  $x \notin \mathcal{J}(R)$ . Then there exists  $\mathfrak{m} \leq R$  maximal such that  $x \notin \mathfrak{m}$ . So  $\mathfrak{m} \lneq \langle \mathfrak{m}, x \rangle \leq R$ . Hence  $\langle x, \mathfrak{m} \rangle = R$ . Then there exists  $y \in R$  and  $m \in \mathfrak{m}$  such that 1 = xy + m. Then  $1 - xy = m \in \mathfrak{m}$ . So  $1 - xy \notin R^{\times}$ , a contradiction.

#### 1.1 Operations on Ideals

Let  $\mathfrak{a}, \mathfrak{b}, \mathfrak{c} \leq R$ ,  $\mathfrak{a}_1, \cdots, \mathfrak{a}_n \leq R$  and  $\mathfrak{a}_{\lambda} \leq R$  for any  $\lambda \in \Lambda$ , where  $\Lambda$  is an index set.

**Definition 1.30.**  $\mathfrak{a} + \mathfrak{b} = \langle \mathfrak{a} \cup \mathfrak{b} \rangle = \bigcap_{\mathfrak{a} \cup \mathfrak{b} \subset I \leq R}$ .

Fact 1.31. (a)  $\mathfrak{a} + \mathfrak{b} \subseteq \mathfrak{c}$  iff  $\mathfrak{a} \cup \mathfrak{b} \subseteq \mathfrak{c}$ .

- (b)  $\mathfrak{a} + \mathfrak{b}$  is the (unique) smallest ideal of R that contains  $\mathfrak{a} \cup \mathfrak{b}$ .
- (c)  $\mathfrak{a} + \mathfrak{b} = \{a + b \mid a \in \mathfrak{a}, b \in \mathfrak{b}\}.$
- (d) If  $\mathfrak{a} = \langle S \rangle$  and  $\mathfrak{b} = \langle T \rangle$ , then  $\mathfrak{a} + \mathfrak{b} = \langle S \cup T \rangle$ .
- (e) If  $\mathfrak{a} = \langle x_1, \dots, x_m \rangle$  and  $\mathfrak{b} = \langle y_1, \dots, y_n \rangle$ , then  $\mathfrak{a} + \mathfrak{b} = \langle x_1, \dots, x_m, y_1, \dots, y_n \rangle$ .
- (f) If  $x \in R$ , then  $\langle x, \mathfrak{a} \rangle = \langle x \rangle + \mathfrak{a}$ .

- (g) If  $\mathfrak{a} + (\mathfrak{b} + \mathfrak{c}) = (\mathfrak{a} + \mathfrak{b}) + \mathfrak{c}$ .
- Proof. (c) Set  $I = \{a + b \mid a \in \mathfrak{a}, b \in \mathfrak{b}\} \leq R$ . For any  $a \in \mathfrak{a}$ ,  $a = a + 0 \in I$  and for any  $b \in \mathfrak{b}$ ,  $b = 0 + b \in I$ . So  $\mathfrak{a} \cup \mathfrak{b} \subseteq I$ . By (1),  $\mathfrak{a} + \mathfrak{b} \subseteq I$ . On the other hand (OTOH), for any  $a + b \in I$ ,  $a, b \in \mathfrak{a} \cup \mathfrak{b} \subseteq \mathfrak{a} + \mathfrak{b} \leq R$ . So  $a + b \in \mathfrak{a} + \mathfrak{b}$ .
  - (d) Let  $I \leq R$ .  $I \supseteq \mathfrak{a} \cup \mathfrak{b}$  iff  $I \supseteq \mathfrak{a}, \mathfrak{b}$  iff  $I \supseteq \langle S \rangle, \langle T \rangle$  iff  $I \supseteq S, T$  iff  $I \supseteq S \cup T$ . So  $\mathfrak{a} + \mathfrak{b} = \bigcap_{\mathfrak{a} \cup \mathfrak{b} \subset I < R} I = \bigcap_{S \cup T \subset I < R} I = \langle S \cup T \rangle$ .
  - (e) By (2).
  - (f) By (1).
  - (g) The essential point is  $\mathfrak{a} + (\mathfrak{b} + \mathfrak{c}) = \langle \mathfrak{a} \cup (\mathfrak{b} \cup \mathfrak{c}) \rangle = \langle (\mathfrak{a} \cup \mathfrak{b}) \cup \mathfrak{c} \rangle = (\mathfrak{a} + \mathfrak{b}) + \mathfrak{c}$ .

**Example 1.32.**  $m\mathbb{Z} + n\mathbb{Z} = \langle m, n \rangle \mathbb{Z} = \gcd(m, n) \mathbb{Z}$ , where  $m \neq 0$  or  $n \neq 0$ .

**Remark** (Recall). Spec $(R) = \{\text{prime ideals of } R\}$ . For any  $S \subseteq R$ ,  $V(S) = \{\mathfrak{p} \in \text{Spec}(R) \mid \mathfrak{p} \supseteq S\}$ .

**Proposition 1.33.** (1)  $V(S) = V(\langle S \rangle)$  for any  $S \subseteq R$ .

- (2)  $\mathfrak{a} = R \text{ iff } V(\mathfrak{a}) = \emptyset.$
- (3)  $\mathfrak{a} \subseteq Nil(R)$  iff  $V(\mathfrak{a}) = Spec(R)$ .
- (4) If  $\mathfrak{a} \subseteq \mathfrak{b}$ , then  $V(\mathfrak{a}) \supseteq V(\mathfrak{b})$ .
- (5) If  $S \subseteq T \subseteq R$ , then  $V(S) \supseteq V(T)$ .
- *Proof.* (a) " $\supseteq$ ". Since  $S \subseteq \langle S \rangle$ ,  $V(S) \supseteq V(\langle S \rangle)$  by definition. " $\subseteq$ ". Let  $\mathfrak{p} \in V(S)$ . Then  $\mathfrak{p} \supseteq S$ . So  $\mathfrak{p} \supseteq \langle S \rangle$  and then  $\mathfrak{p} \in V(\langle S \rangle)$ . Hence  $V(S) \subseteq V(\langle S \rangle)$ .
- (b) " $\Rightarrow$ ". Let  $\mathfrak{a} = R$ . Then  $\mathfrak{p} \not\supseteq \mathfrak{a}$  for any  $\mathfrak{p} \in \operatorname{Spec}(R)$ . So  $V(\mathfrak{a}) = \emptyset$ . " $\Leftarrow$ ". Let  $V(\mathfrak{a}) = \emptyset$ . Suppose  $\mathfrak{a} \neq R$ , then there exists  $\mathfrak{m} \leq R$  maximal such that  $\mathfrak{m} \supseteq \mathfrak{a}$ . Since  $\mathfrak{m} \in \operatorname{Spec}(R)$ ,  $\mathfrak{m} \in V(\mathfrak{a})$ , a contradiction.
- (c)  $\mathfrak{a} \subseteq \text{Nil}(R)$  iff  $\mathfrak{p} \supseteq \mathfrak{a}$  for any  $\mathfrak{p} \in \text{Spec}(R)$  iff V(R) = Spec(R).
- (d) Similar to (1).
- (e) By (1) and (4).

**Proposition 1.34.** (a)  $V(\mathfrak{a} + \mathfrak{b}) = V(\mathfrak{a} \cup \mathfrak{b}) = V(\mathfrak{a}) \cap V(\mathfrak{b})$ .

- (b)  $V(\mathfrak{a}) \cap V(\mathfrak{b}) = \emptyset$  iff  $\mathfrak{a} + \mathfrak{b} = R$ .
- *Proof.* (a) Since  $\mathfrak{a} + \mathfrak{b} = \langle \mathfrak{a} \cup \mathfrak{b} \rangle$ ,  $V(\mathfrak{a} + \mathfrak{b}) = V(\mathfrak{a} \cup \mathfrak{b})$ . Let  $\mathfrak{p} \in \operatorname{Spec}(R)$ . Note  $\mathfrak{p} \supseteq \mathfrak{a} \cup \mathfrak{b}$  iff  $\mathfrak{p} \supseteq \mathfrak{a}$  and  $\mathfrak{p} \supseteq \mathfrak{b}$ . So  $V(\mathfrak{a} \cup \mathfrak{b}) = V(\mathfrak{a}) \cap V(\mathfrak{b})$ .
- (b)  $V(\mathfrak{a}) \cap V(\mathfrak{b}) = \emptyset$  iff  $V(\mathfrak{a} + \mathfrak{b}) = \emptyset$  iff  $\mathfrak{a} + \mathfrak{b} = R$ .

**Remark.** You can define  $\mathfrak{a}_1 + \cdots + \mathfrak{a}_n$  inductively and same properties as above hold for finite sums.

Fact 1.35. (a)  $\sum_{\lambda \in \Lambda} \mathfrak{a}_{\lambda} \subseteq \mathfrak{c}$  iff  $\bigcup_{\lambda \in \Lambda} \mathfrak{a}_{\lambda} \subseteq \mathfrak{c}$ .

(b)  $\sum_{\lambda \in \Lambda} \mathfrak{a}_{\lambda}$  is the (unique) smallest ideal of R containing  $\bigcup_{\lambda \in \Lambda} \mathfrak{a}_{\lambda}$ .

(c) 
$$\sum_{\lambda \in \Lambda} \mathfrak{a}_{\lambda} = \left\{ \sum_{\lambda \in \Lambda}^{\text{finite}} a_{\lambda} \mid a_{\lambda} \in \mathfrak{a}_{\lambda}, \ \forall \ \lambda \in \Lambda \right\}$$

(d) If  $\mathfrak{a}_{\lambda} = \langle S_{\lambda} \rangle$  for any  $\lambda \in \Lambda$ , then  $\sum_{\lambda \in \Lambda} \mathfrak{a}_{\lambda} = \langle \bigcup_{\lambda \in \Lambda} S_{\lambda} \rangle$ .

Fact 1.36. (a)  $V(\sum_{\lambda \in \Lambda} \mathfrak{a}_{\lambda}) = V(\bigcup_{\lambda \in \Lambda} \mathfrak{a}_{\lambda}) = \bigcap_{\lambda \in \Lambda} V(\mathfrak{a}_{\lambda}).$ 

(b)  $\bigcap_{\lambda \in \Lambda} V(\mathfrak{a}_{\lambda}) = \emptyset$  iff  $\sum_{\lambda \in \Lambda} \mathfrak{a}_{\lambda} = R$ .

**Definition 1.37.**  $\mathfrak{ab} = \langle N \rangle = \bigcap_{N \subseteq I \leq R} R$ , where  $N = \{ab \mid a \in \mathfrak{a}, b \in \mathfrak{b}\}$ .

Fact 1.38. Let  $\mathfrak{ab} = \langle N \rangle$ .

- (a)  $\mathfrak{ab} \subseteq \mathfrak{c}$  iff  $N \subseteq \mathfrak{c}$ .
- (b)  $\mathfrak{ab}$  is the (unique) smallest ideal of R containing N.
- (c)  $\mathfrak{ab} = \{\sum_{i=1}^{\text{finite}} a_i b_i \mid a_i \in \mathfrak{a}, b_i \in \mathfrak{b}, \ \forall \ i\}.$
- (d) If  $\mathfrak{a} = \langle S \rangle$  and  $\mathfrak{b} = \langle T \rangle$ , then  $\mathfrak{ab} = \langle st \mid s \in S, t \in T \rangle$ .
- (e) If  $\mathfrak{a} = \langle x_1, \dots, x_m \rangle$  and  $\mathfrak{b} = \langle y_1, \dots, y_n \rangle$ , then  $\mathfrak{ab} = \langle x_i y_j \mid i = 1, \dots, m, j = 1, \dots, n \rangle$ .
- (f)  $\mathfrak{ab} \subseteq \mathfrak{a} \cap \mathfrak{b}$ .

*Proof.* (c) Let  $I = \{\sum_{i=1}^{\text{finite}} a_i b_i \mid a_i \in \mathfrak{a}, b_i \in \mathfrak{b}\} \leq R$ . Then, by definition,  $\mathfrak{ab} = \langle N \rangle = I$ .

(f) To show  $\mathfrak{ab} \subseteq \mathfrak{a} \cap \mathfrak{b}$ , it suffices to show  $ab \in \mathfrak{a} \cap \mathfrak{b}$  for any  $a \in \mathfrak{a}$  and  $b \in \mathfrak{b}$ . Since  $a \in \mathfrak{a}$ ,  $ab \in \mathfrak{a}$ . Since  $b \in \mathfrak{b}$ ,  $ab \in \mathfrak{b}$ . So  $ab \in \mathfrak{a} \cap \mathfrak{b}$ .

**Proposition 1.39.** (a)  $V(\mathfrak{ab}) = V(\mathfrak{a} \cap \mathfrak{b}) = V(\mathfrak{a}) \cup V(\mathfrak{b})$ .

- (b)  $V(\mathfrak{a}) \cup V(\mathfrak{b}) = \operatorname{Spec}(R)$  iff  $\mathfrak{ab} \subseteq \operatorname{Nil}(R)$  iff  $\mathfrak{a} \cap \mathfrak{b} \subseteq \operatorname{Nil}(R)$ .
- Proof. (a) Let  $\mathfrak{p} \in \operatorname{Spec}(R)$ . Then  $\mathfrak{p} \supseteq \mathfrak{ab}$  iff  $\mathfrak{p} \supseteq \mathfrak{a}$  or  $\mathfrak{p} \supseteq \mathfrak{b}$ . So  $V(\mathfrak{ab}) = V(\mathfrak{a}) \cup V(\mathfrak{b})$ . Since  $\mathfrak{ab} \subseteq \mathfrak{a} \cap \mathfrak{b}$ ,  $V(\mathfrak{ab}) \supseteq V(\mathfrak{a} \cap \mathfrak{b})$ . Let  $\mathfrak{p} \in V(\mathfrak{ab})$ . Let  $x \in \mathfrak{a} \cap \mathfrak{b}$ . Then  $x^2 = x \cdot x \in \mathfrak{ab} \subseteq \mathfrak{p}$ . Since  $\mathfrak{p}$  is prime,  $x \in \mathfrak{p}$ . So  $\mathfrak{p} \subseteq \mathfrak{a} \cap \mathfrak{b}$  and then  $\mathfrak{p} \in V(\mathfrak{a} \cap \mathfrak{b})$ . Hence  $V(\mathfrak{ab}) \subseteq V(\mathfrak{a} \cap \mathfrak{b})$ .
- (b)  $V(\mathfrak{a}) \cap V(\mathfrak{b}) = \operatorname{Spec}(R)$  iff  $V(\mathfrak{ab}) = \operatorname{Spec}(R)$  iff  $\mathfrak{ab} \subseteq \operatorname{Nil}(R)$  and similarly for  $\mathfrak{a} \cap \mathfrak{b}$ .

**Definition 1.40.** If  $\mathfrak{a} + \mathfrak{b} = R$ , then  $\mathfrak{a}$  and  $\mathfrak{b}$  are called "coprime" or "comaximal".

Proposition 1.41. (a)  $\mathfrak{ab} = \mathfrak{ba}$  and  $(\mathfrak{ab})\mathfrak{c} = \mathfrak{a}(\mathfrak{bc})$ .

(b)  $\mathfrak{a}(\mathfrak{b} + \mathfrak{c}) = \mathfrak{a}\mathfrak{b} + \mathfrak{a}\mathfrak{c}$ .

- (c) If  $\mathfrak{a} + \mathfrak{b} = R$ , then  $\mathfrak{ab} = \mathfrak{a} \cap \mathfrak{b}$ .
- (d) If R is PID and  $\mathfrak{ab} = \mathfrak{a} \cap \mathfrak{b}$  with  $\mathfrak{a} \neq 0 \neq \mathfrak{b}$ , then  $\mathfrak{a} + \mathfrak{b} = R$ .

*Proof.* (c) We always have  $\mathfrak{a} \cap \mathfrak{b} \supseteq \mathfrak{ab}$ .

M1: Assume  $\mathfrak{a} + \mathfrak{b} = R$ . Then 1 = a + b for some  $a \in \mathfrak{a}$  and  $b \in \mathfrak{b}$ . Let  $x \in \mathfrak{a} \cap \mathfrak{b}$ . Then  $x = 1 \cdot x = (a + b)x = ax + bx \in \mathfrak{ab}$ .

M2:  $\mathfrak{a} \cap \mathfrak{b} = R(\mathfrak{a} \cap \mathfrak{b}) = (\mathfrak{a} + \mathfrak{b})(\mathfrak{a} \cap \mathfrak{b}) = \mathfrak{a}(\mathfrak{a} \cap \mathfrak{b}) + \mathfrak{b}(\mathfrak{a} \cap \mathfrak{b}) \subseteq \mathfrak{ab}$ .

(d) Let R be a PID and  $\mathfrak{a}, \mathfrak{b} \neq 0$ . Write  $\mathfrak{a} = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_n^{e_n} R$  and  $\mathfrak{b} = \mathfrak{p}_1^{f_1} \cdots \mathfrak{p}_n^{f_n} R$  with  $e_i, f_i \geq 0$  for any  $i = 1, \dots, n$ , and  $\mathfrak{p}_i$ 's  $\in \operatorname{Spec}(R)$  non-associates. Assume  $\mathfrak{a} \cap \mathfrak{b} = \mathfrak{ab}$ . Since R is a PID,  $\mathfrak{a} \cap \mathfrak{b} = \operatorname{lcm}(p_1^{e_1} \cdots p_n^{e_n}, p_1^{f_1} \cdots p_n^{f_n}) R = \mathfrak{p}_1^{\max\{e_1, f_1\}} \cdots \mathfrak{p}_n^{\max\{e_n, f_n\}}$  and  $\mathfrak{ab} = \mathfrak{p}_1^{e_1 + f_1} \cdots \mathfrak{p}_n^{e_n + f_n}$ . So  $e_i = 0$  or  $f_i = 0$  for any  $i = 1, \dots, n$ . In other words, for any  $\mathfrak{p} \in \operatorname{Spec}(R)$ , either  $\mathfrak{a} \not\subseteq \mathfrak{p} R$  or  $\mathfrak{b} \not\subseteq \mathfrak{p} R$ . So  $V(\mathfrak{a}) \cap V(\mathfrak{b}) = \emptyset$  for any  $\mathfrak{p} \in \operatorname{Spec}(R)$ . Thus,  $\mathfrak{a} + \mathfrak{b} = R$ .

**Remark.** You can do this for  $\mathfrak{a}_1, \dots, \mathfrak{a}_n$ .

If R is not a UFD, (4) may fail. For example, R = k[x, y]. Let  $\mathfrak{a} = \langle x \rangle$  and  $\mathfrak{b} = \langle y \rangle$ . Then  $\mathfrak{a} \cap \mathfrak{b} = \langle xy \rangle = \mathfrak{a}\mathfrak{b}$ . But  $\mathfrak{a} + \mathfrak{b} = \langle x, y \rangle \subsetneq R$ .

**Definition 1.42.** Let  $\mathfrak{a} \leq R$  and  $n \in \mathbb{N}$ . Let  $\mathfrak{a}^n = \underbrace{\mathfrak{a} \cdots \mathfrak{a}}_{n \text{ times}}$  and  $\mathfrak{a}^0 = R$ .

**Remark** (Warning).  $\mathfrak{a}^n$  is not generated by  $\{a^n \mid a \in \mathfrak{a}\}$ . For example, let  $R = \mathbb{F}_2[x,y]$  and  $\mathfrak{a} = \langle x, y \rangle$ , then  $\mathfrak{a}^2 = \langle x^2, xy, y^2 \rangle \neq \langle f^2 \mid f \in \mathfrak{a} \rangle \not\ni xy$ .

**Proposition 1.43.** (a) Let  $\mathfrak{a}^n = \langle N \rangle$ . Then for any  $\mathfrak{b} \leq R$ ,  $\mathfrak{a}^n \subseteq \mathfrak{b}$  iff  $N \subseteq \mathfrak{b}$ .

- (b)  $\mathfrak{a}^n$  is the (unique) smallest ideal of R containing N.
- (c)  $\mathfrak{a}^n = \{\sum_{i=1}^{\text{finite}} a_{i1} \cdots a_{in} \mid a_{ij} \in \mathfrak{a}_j, \ \forall \ j\}.$
- (d) If  $\mathfrak{a} = \langle S \rangle$ , then  $\mathfrak{a}^n = \langle s_1 \cdots s_n \mid s_i \in S, \ \forall \ i = 1, \cdots, n \rangle$ .
- (e) If  $\mathfrak{a} = \langle x_1, \dots, x_m \rangle$ , then  $\mathfrak{a}^n = \langle x_{i_1} \dots x_{i_n} \mid i_j = 1, \dots, m, \ \forall \ j = 1, \dots, n \rangle$ .

Remark (Fact).  $V(\mathfrak{a}^n) = V(\mathfrak{a})$ .

**Proposition 1.44.** Let  $\mathfrak{a}_1, \dots, \mathfrak{a}_n \leq R$ .

- (a) The function  $\phi: R \to (R/\mathfrak{a}_1) \times \cdots \times (R/\mathfrak{a}_n)$  given by  $\phi(x) = (\overline{x}, \dots, \overline{x}) = (x + \mathfrak{a}_1, \dots, x + \mathfrak{a}_n)$  is a well-defined ring homomorphism.
- (b) If  $\mathfrak{a}_i + \mathfrak{a}_j = R$  for any  $1 \le i \ne j \le R$ , then  $\bigcap_{i=1}^n \mathfrak{a}_i = \mathfrak{a}_1 \cdots \mathfrak{a}_n$  and  $\mathfrak{a}_i + (\bigcap_{j \ne i} \mathfrak{a}_j)R = R$ .
- (c)  $\phi$  is surjective iff  $\mathfrak{a}_i + \mathfrak{b}_j = R$  for any  $1 \leq i \neq j \leq n$ .
- (d)  $\operatorname{Ker}(\phi) = \mathfrak{a}_1 \cap \cdots \cap \mathfrak{a}_n$ .
- (e) If  $\mathfrak{a}_i + \mathfrak{a}_j = R$  for any  $1 \le i \ne j \le R$  and  $\bigcap_{i=1}^n \mathfrak{a}_i = 0$ , then  $R \cong (R/\mathfrak{a}_1)R \cap \cdots \times (R/\mathfrak{a}_n)R$ .

*Proof.* (2) To show  $\mathfrak{a}_i + (\bigcap_{i \neq i})R = R$ . It suffices to show  $V() \neq \emptyset$ .

(3) "\(\sigma\)". Assume  $\phi$  is surjective. In particular, there exists  $x \in R$  such that  $(\overline{1}, \overline{0}, \cdots, \overline{0}) = \phi(x) = (\overline{x}, \overline{x}, \cdots, \overline{x})$ . So  $x + \mathfrak{a}_1 = 1 + \mathfrak{a}_1$  and  $x + \mathfrak{a}_i = 0 + \mathfrak{a}_i$  for any  $2 \le i \le n$ . Hence  $1 - x \in \mathfrak{a}_1$  and  $x \in \mathfrak{a}_i$  for any  $2 \le i \le n$ . Also, since 1 = (1 - x) + x, we have  $\mathfrak{a}_1 + \mathfrak{a}_i = R$  for any  $2 \le i \le n$ . Consider  $(\overline{0}, \cdots, \overline{0}, \overline{1}, \overline{0}, \cdots, \overline{0})$  arrow  $\mathfrak{a}_i + \mathfrak{a}_j = R$  for any  $1 \le i \ne j \le n$ . "\(\sim \"\)". Assume  $\mathfrak{a}_i + \mathfrak{b}_j = R$  for any  $1 \le i \ne j \le n$ . By (2),  $\mathfrak{a}_1 + (\bigcap_{i=2}^n \mathfrak{a}_i)R = R$ . So  $1 = a_1 + y$  with  $a_1 \in \mathfrak{a}_1$  and  $y \in \bigcap_{i=2}^n \mathfrak{a}_i$ . Then  $\phi(y) = (\overline{y}, \overline{y}, \cdots, \overline{y}) = (y + \mathfrak{a}_1, y + \mathfrak{b}_2, \cdots, y + \mathfrak{a}_n) = (1 + \mathfrak{a}_1, 0 + \mathfrak{a}_2, \cdots, 0 + \mathfrak{a}_n) = (\overline{1}, \overline{0}, \cdots, \overline{0})$ . Similarly, for any  $i = 1, \cdots, n$ , there exists  $y_i$  such that  $\phi(y_i) = (\overline{0}, \cdots, \overline{0}, \overline{1}, \overline{0}, \cdots, \overline{0})$ . Then for any  $(\overline{r}_1, \cdots, \overline{r}_n) \in \frac{R}{\mathfrak{a}_1} \times \cdots \times \frac{R}{\mathfrak{a}_n}$ ,

$$(\overline{r}_1, \cdots, \overline{r}_n) = \sum_{i=1}^n r_i((\overline{0}, \cdots, \overline{0}, \overline{1}, \overline{0}, \cdots, \overline{0})) = \sum_{i=1}^n r_i \phi(y_i) = \phi(\sum_{i=1}^n r_i y_i).$$

**Proposition 1.45.** Let  $\mathfrak{a}_1, \dots, \mathfrak{a}_n \leq R$  and  $\mathfrak{p} \in \operatorname{Spec}(R)$ .

- (a) If  $\mathfrak{a} = \mathfrak{p}_1 \cdots \mathfrak{p}_n$ , then  $\mathfrak{p} = \mathfrak{a}_i$  for some  $i \in \{1, \dots, n\}$ .
- (b) If  $\mathfrak{p} = \mathfrak{a}_1 \cap \cdots \cap \mathfrak{a}_n$ , then  $\mathfrak{p} = \mathfrak{a}_1$  for some  $i \in \{1, \cdots, n\}$ .

*Proof.* (2) Assume  $\mathfrak{p}_1 \cap \cdots \mathfrak{p}_n \supseteq \mathfrak{a}_1 \cdots \mathfrak{a}_n$ . Since  $\mathfrak{p} \in \operatorname{Spec}(R)$ , there exists  $i \in \{1, \dots, n\}$  such that  $\mathfrak{p} \supseteq \mathfrak{a}_i$ .

Fact 1.46.