

Leandro Vendramin

# Associative algebras

Notes

Thursday 16<sup>th</sup> December, 2021



# Preface

The notes correspond to the master course *Associative Algebra* of the Vrije Universiteit Brussel, Faculty of Sciences, Department of Mathematics and Data Sciences. The course is divided into twelve or thirteen two-hours lectures.

The material is heavily based on [2], [4] and [10].

Prerequisites: An undergraduate "abstract algebra" course. See for example my notes on *Rings and modules*: <https://github.com/vendramin/rings>.

This version was compiled on Thursday 16<sup>th</sup> December, 2021 at 10:06. Please send comments and corrections to me at [Leandro.Vendramin@vub.be](mailto:Leandro.Vendramin@vub.be).

Leandro Vendramin  
Brussels, Belgium



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# Lecture 1

## §1. Semisimple algebras

We will devote two lectures to the study of finite-dimensional semisimple algebras. The main goal is to prove Artin–Wedderburn’s theorem.

**Definition 1.1.** An **algebra** (over the field  $K$ ) is a vector space (over  $K$ ) with an associative multiplication  $A \times A \rightarrow A$  such that  $a(\lambda b + \mu c) = \lambda(ab) + \mu(ac)$  and  $(\lambda a + \mu b)c = \lambda(ac) + \mu(bc)$  for all  $a, b, c \in A$ , and that contains an element  $1_A \in A$  such that  $1_A a = a 1_A = a$  for all  $a \in A$ .

Note that an algebra over  $K$  is a ring  $A$  that is a vector space (over  $K$ ) such that the map  $K \rightarrow A, \lambda \mapsto \lambda 1_A$ , is injective.

**Definition 1.2.** An algebra  $A$  is **commutative** if  $ab = ba$  for all  $a, b \in A$ .

The **dimension** of an algebra  $A$  is the dimension of  $A$  as a vector space. This is why we want to consider algebras, as they are linear version of rings. Quite often our arguments will use the dimension of the underlying vector space.

**Example 1.3.** The field  $\mathbb{R}$  is a real algebra and similarly  $\mathbb{C}$  is a complex algebra. Moreover,  $\mathbb{C}$  is a real algebra.

Any field  $K$  is an algebra over  $K$ .

**Example 1.4.** If  $K$  is a field, then  $K[X]$  is an algebra over  $K$ .

Similarly, the polynomial ring  $K[X, Y]$  and the ring  $K[[X]]$  of power series are examples of algebra over  $K$ .

**Example 1.5.** If  $A$  is an algebra, then  $M_n(A)$  is an algebra.

**Example 1.6.** The set of continuous maps  $[0, 1] \rightarrow \mathbb{R}$  is a real algebra with the usual point-wise operations  $(f + g)(x) = f(x) + g(x)$  and  $(fg)(x) = f(x)g(x)$ .

**Example 1.7.** Let  $n \in \mathbb{Z}_{>0}$ . Then  $K[X]/(X^n)$  is a finite-dimensional algebra. It is the **truncated polynomial algebra**.

**Example 1.8.** Let  $G$  be a finite group. The vector space  $\mathbb{C}[G]$  with basis  $\{g : g \in G\}$  is an algebra with multiplication

$$\left( \sum_{g \in G} \lambda_g g \right) \left( \sum_{h \in G} \mu_h h \right) = \sum_{g, h \in G} \lambda_g \mu_h (gh).$$

Note that  $\dim \mathbb{C}[G] = |G|$  and  $\mathbb{C}[G]$  is commutative if and only if  $G$  is abelian. This is the **complex group algebra** of  $G$ .

**Definition 1.9.** An algebra **homomorphism** is a ring homomorphism  $f: A \rightarrow B$  that is also a linear map.

The complex conjugation map  $\mathbb{C} \rightarrow \mathbb{C}, z \mapsto \bar{z}$ , is a ring homomorphism that is not an algebra homomorphism over  $\mathbb{C}$ .

**Exercise 1.10.** Let  $G$  be a non-trivial finite group. Then  $\mathbb{C}[G]$  has zero divisors.

**Exercise 1.11.** Let  $A$  be an algebra and  $G$  be a finite group. If  $f: G \rightarrow \mathcal{U}(A)$  is a group homomorphism, then there exists an algebra homomorphism  $\varphi: K[G] \rightarrow A$  such that  $\varphi|_G = f$ .

**Definition 1.12.** An **ideal** of an algebra is an ideal of the underlying ring.

Similarly one defines left and right ideals of an algebra.

If  $A$  is an algebra, then every left ideal of the ring  $A$  is a vector space. Indeed, if  $I$  is a left ideal of  $A$  and  $\lambda \in K$  and  $x \in I$ , then

$$\lambda x = \lambda(1_A x) = (\lambda 1_A) x.$$

Since  $\lambda 1_A \in A$ , it follows that  $\lambda I = (\lambda 1_A) I \subseteq I$ . Similarly, every right ideal of the ring  $A$  is a vector space.

If  $A$  is an algebra and  $I$  is an ideal of  $A$ , then the quotient ring  $A/I$  has a unique algebra structure such that the canonical map  $A \rightarrow A/I, a \mapsto a + I$ , is a surjective algebra homomorphism with kernel  $I$ .

**Definition 1.13.** Let  $A$  be an algebra over the field  $K$ . An element  $a \in A$  is **algebraic** over  $K$  if there exists a non-zero polynomial  $f \in K[X]$  such that  $f(a) = 0$ .

If every element of  $A$  is algebraic, then  $A$  is said to be algebraic

In the algebra  $\mathbb{R}$  over  $\mathbb{Q}$ , the element  $\sqrt{2}$  is algebraic, as  $\sqrt{2}$  is a root of the polynomial  $X^2 - 2 \in \mathbb{Q}[X]$ . A famous theorem of Lindemann proves that  $\pi$  is not algebraic over  $\mathbb{Q}$ . Every element of the real algebra  $\mathbb{R}$  is algebraic.

lem:algebraic

**Proposition 1.14.** Every finite-dimensional algebra is algebraic.

§1 Semisimple algebras

*Proof.* Let  $A$  be an algebra with  $\dim A = n$  and let  $a \in A$ . Since  $\{1, a, a^2, \dots, a^n\}$  has  $n+1$  elements, it is a linearly dependent set. Thus there exists a non-zero polynomial  $f \in K[X]$  such that  $f(a) = 0$ .  $\square$

**Definition 1.15.** A **module**  $M$  over an algebra  $A$  is a module over the ring  $A$ .

Similarly one defines submodules and module homomorphisms.

**Example 1.16.** If  $V$  is a module over an algebra  $A$ , one defines  $\text{End}_A(V)$  as the set of module homomorphisms  $V \rightarrow V$ . The set  $\text{End}_A(V)$  is indeed an algebra with

$$(f+g)(v) = f(v) + g(v), \quad (af)(v) = af(v) \quad \text{and} \quad (fg)(v) = f(g(v))$$

for all  $f, g \in \text{End}_A(V)$ ,  $a \in A$  and  $v \in V$ .

Let  $A$  be a finite-dimensional algebra. If  $M$  is a module over the ring  $A$ , then  $M$  is a vector space with

$$\lambda m = (\lambda 1_A) \cdot m,$$

where  $\lambda \in K$  and  $m \in M$ . Moreover,  $M$  is finitely generated if and only if  $M$  is finite-dimensional.

**Example 1.17.** An algebra  $A$  is a module over  $A$  with left multiplication, that is  $a \cdot b = ab$ ,  $a, b \in A$ . This module is the (left) **regular representation** of  $A$  and it will be denoted by  ${}_A A$ .

**Definition 1.18.** Let  $A$  be an algebra and  $M$  be a module over  $A$ . Then  $M$  is **simple** if  $M \neq \{0\}$  and  $\{0\}$  and  $M$  are the only submodules of  $M$ .

**Definition 1.19.** Let  $A$  be a finite-dimensional algebra and  $M$  be a finite-dimensional module over  $A$ . Then  $M$  is **semisimple** if  $M$  is a direct sum of finitely many simple submodules.

Clearly, a finite direct sum of semisimples is semisimple.

**Lemma 1.20 (Schur).** Let  $A$  be an algebra. If  $S$  and  $T$  are simple modules and  $f: S \rightarrow T$  is a non-zero module homomorphism, then  $f$  is an isomorphism.

*Proof.* Since  $f \neq 0$ ,  $\ker f$  is a proper submodule of  $S$ . Since  $S$  is simple, it follows that  $\ker f = \{0\}$ . Similarly,  $f(S)$  is a non-zero submodule of  $T$  and hence  $f(S) = T$ , as  $T$  is simple.  $\square$

**Proposition 1.21.** If  $A$  is a finite-dimensional algebra and  $S$  is a simple module, then  $S$  is finite-dimensional.

*Proof.* Let  $s \in S \setminus \{0\}$ . Since  $S$  is simple,  $\varphi: A \rightarrow S, a \mapsto a \cdot s$ , is a surjective module homomorphism. In particular, by the first isomorphism theorem,  $A/\ker \varphi \simeq S$  and hence  $\dim S = \dim(A/\ker \varphi) \leq \dim A$ .  $\square$

pro:semisimple

**Proposition 1.22.** Let  $M$  be a finite-dimensional module. The following statements are equivalent.

- 1)  $M$  is semisimple.  
 2)  $M = \sum_{i=1}^k S_i$ , where each  $S_i$  is a simple submodule of  $M$ .  
 3) If  $S$  is a submodule of  $M$ , then there is a submodule  $T$  of  $M$  such that  $M = S \oplus T$ .

*Proof.* We first prove that 2)  $\implies$  3). Let  $N \neq \{0\}$  be a submodule of  $M$ . Since  $N \neq \{0\}$  and  $\dim M < \infty$ , there exists a submodule  $T$  of  $M$  of maximal dimension such that  $N \cap T = \{0\}$ . If  $S_i \subseteq N \oplus T$  for all  $i \in \{1, \dots, k\}$ , then, as  $M$  is the sum of the  $S_i$ , it follows that  $M = N \oplus T$ . If, however, there exists  $i \in \{1, \dots, k\}$  such that  $S_i \not\subseteq N \oplus T$ , then  $S_i \cap (N \oplus T) \subseteq S_i$ . Since the module  $S_i$  is simple, it follows that  $S_i \cap (N \oplus T) = \{0\}$ . Thus  $N \cap (S_i \oplus T) = \{0\}$ , a contradiction to the maximality of  $\dim T$ .

The implication 1)  $\implies$  2) is trivial.

Finally, we prove that 3)  $\implies$  1). We proceed by induction on  $\dim M$ . The result is clear if  $\dim M = 1$ . Assume that  $\dim M \geq 2$  and let  $S$  be a non-zero submodule of  $M$  of minimal dimension. In particular,  $S$  is simple. By assumption, there exists a submodule  $T$  of  $M$  such that  $M = S \oplus T$ . We claim that  $T$  satisfies the assumptions. If  $X$  is a submodule of  $T$ , then, since  $T$  is also a submodule of  $M$ , there exists a submodule  $Y$  of  $M$  such that  $M = X \oplus Y$ . Thus

$$T = T \cap M = T \cap (X \oplus Y) = X \oplus (T \cap Y),$$

as  $X \subseteq T$ . Since  $\dim T < \dim M$  and  $T \cap Y$  is a submodule of  $T$ , the inductive hypothesis implies that  $T$  is a direct sum of simple submodules. Hence  $M$  is a direct sum of simple submodules.  $\square$

**Proposition 1.23.** *If  $M$  is a semisimple module and  $N$  is a submodule, then  $N$  and  $M/N$  are semisimple.*

*Proof.* Assume that  $M = S_1 + \dots + S_k$ , where each  $S_i$  is a simple submodule. If  $\pi: M \rightarrow M/N$  is the canonical map, Schur's lemma implies that each restriction  $\pi|_{S_i}$  is either zero or an isomorphism with the image. Since

$$M/N = \pi(M) = \sum_{i=1}^k (\pi|_{S_i})(S_i),$$

it follows that  $M/N$  is a direct sum of finitely many simples.

We now prove that  $N$  is semisimple. By assumption, there exists a submodule  $T$  such that  $M = N \oplus T$ . The quotient  $M/T$  is semisimple by the previous paragraph, so it follows that

$$N \simeq N/\{0\} = N/(N \cap T) \simeq (N \oplus T)/T = M/T$$

is also semisimple.  $\square$

## Lecture 2

**Definition 1.24.** An algebra  $A$  is **semisimple** if every finitely-generated  $A$ -module is semisimple.

**Proposition 1.25.** Let  $A$  be a finite-dimensional algebra. Then  $A$  is semisimple if and only if the regular representation of  $A$  is semisimple.

*Proof.* Let us prove the non-trivial implication. Let  $M$  be a finitely-generated module, say  $M = (m_1, \dots, m_k)$ . The map

$$\bigoplus_{i=1}^k A \rightarrow M, \quad (a_1, \dots, a_k) \mapsto \sum_{i=1}^k a_i \cdot m_i,$$

is a surjective homomorphism of modules, where  $A$  is considered as a module with the regular representation. Since  $A$  is semisimple, it follows that  $\bigoplus_{i=1}^k A$  is semisimple. Thus  $M$  is semisimple, as it is isomorphic to the quotient of a semisimple module.  $\square$

**Theorem 1.26.** Let  $A$  be a finite-dimensional semisimple algebra. Assume that the regular representation can be decomposed as  ${}_A A = \bigoplus_{i=1}^k S_i$  where each  $S_i$  is a simple submodule. If  $S$  is a simple module, then  $S \simeq S_i$  for some  $i \in \{1, \dots, k\}$ .

*Proof.* Let  $s \in S \setminus \{0\}$ . The map  $\varphi: A \rightarrow S, a \mapsto a \cdot s$ , is a surjective module homomorphism. Since  $\varphi \neq 0$ , there exists  $i \in \{1, \dots, k\}$  such that some restriction  $\varphi|_{S_i}: S_i \rightarrow S$  is non-zero. By Schur's lemma, it follows that  $\varphi|_{S_i}$  is an isomorphism.  $\square$

As a corollary, a finite-dimensional semisimple algebra admits only finitely many isomorphism classes of simple modules. When we say that the  $S_1, \dots, S_k$  are the simple modules of an algebra, this means that the  $S_i$  are the representatives of isomorphism classes of all simple modules of the algebra, that is that each simple module is isomorphic to some  $S_i$  and, moreover,  $S_i \neq S_j$  whenever  $i \neq j$ .

**Exercise 1.27.** If  $A$  and  $B$  are algebras,  $M$  is a module over  $A$  and  $N$  is a module over  $B$ , then  $M \oplus N$  is a module over  $A \times B$  with

$$(a, b) \cdot (m, n) = (a \cdot m, b \cdot n).$$

A **division algebra**  $D$  is an algebra such that every non-zero element is invertible, that is for all  $x \in D \setminus \{0\}$  there exists  $y \in D$  such that  $xy = yx = 1$ . Modules over division algebras are very much like vector spaces. For example, every finitely-generated module  $M$  over a division algebra has a basis. Moreover, every linearly independent subset of  $M$  can be extended into a basis of  $M$ .

**Proposition 1.28.** *Let  $D$  be a division algebra and  $V$  be a finitely-generated module over  $D$ . Then  $V$  is a simple module over  $\text{End}_D(V)$  and there exists  $n \in \mathbb{Z}_{>0}$  such that  $\text{End}_D(V) \simeq nV$  is semisimple.*

*Sketch of the proof.* Let  $\{v_1, \dots, v_n\}$  be a basis of  $V$ . A direct calculation shows that the map

$$\text{End}_D(V) \rightarrow \bigoplus_{i=1}^n V = nV, \quad f \mapsto (f(v_1), \dots, f(v_n)),$$

is an injective homomorphism of  $\text{End}_D(V)$ -modules. Since

$$\dim \text{End}_D(V) = n^2 = \dim(nV),$$

it follows that the map is an isomorphism. Thus

$$\text{End}_D(V) \simeq \bigoplus_{i=1}^n V.$$

It remains to show that  $V$  is simple. It is enough to prove that  $V = (v)$  for all  $v \in V \setminus \{0\}$ . Let  $v \in V \setminus \{0\}$ . If  $w \in V$ , then there exists  $f \in \text{End}_D(V)$  such that  $f \cdot v = f(v) = w$ . Thus  $w \in (v)$  and therefore  $V = (v)$ .  $\square$

The proposition states that if  $D$  is a division algebra, then  $D^n$  is a simple  $M_n(D)$ -module and that  $M_n(D) \simeq nD^n$  as  $M_n(D)$ -modules.

**Exercise 1.29.** Let  $M, N$  and  $X$  be modules. Prove that

$$\text{Hom}_A(M \oplus N, X) = \text{Hom}_A(M, X) \times \text{Hom}_A(N, X). \quad (2.1)$$

**Theorem 1.30.** *Let  $A$  be a finite-dimensional algebra and let  $S_1, \dots, S_k$  be the simple modules over  $A$ . If*

$$M \simeq n_1 S_1 \oplus \dots \oplus n_k S_k,$$

*then each  $n_j$  is uniquely determined.*

*Proof.* Since each  $S_j$  is simple and  $S_i \neq S_j$  if  $i \neq j$ , Schur's lemma implies that  $\text{Hom}_A(S_i, S_j) = \{0\}$  whenever  $i \neq j$ . For each  $j \in \{1, \dots, k\}$ , routine calculations show that

$$\text{Hom}_A(M, S_j) \simeq \text{Hom}_A\left(\bigoplus_{i=1}^k n_i S_i, S_j\right) \simeq n_j \text{Hom}_A(S_j, S_j).$$

Lecture 2

Since  $M$  and  $S_j$  are finite-dimensional vector spaces, it follows that  $\text{Hom}_A(M, S_j)$  and  $\text{Hom}_A(S_j, S_j)$  are both finite-dimensional vector spaces. Moreover, the identity  $\text{id}: S_j \rightarrow S_j$  is clearly a module homomorphism and hence  $\dim \text{Hom}_A(S_j, S_j) \geq 1$ . Thus each  $n_j$  is uniquely determined, as

$$n_j = \frac{\dim \text{Hom}_A(M, S_j)}{\dim \text{Hom}_A(S_j, S_j)}. \quad \square$$

If  $A$  is an algebra, the **opposite algebra**  $A^{\text{op}}$  is the vector space  $A$  with multiplication  $A \times A \rightarrow A$ ,  $(a, b) \mapsto ba = a \cdot_{\text{op}} b$ . Clearly,  $A$  is commutative if and only if  $A = A^{\text{op}}$ .

lem:A^op

**Lemma 1.31.** *If  $A$  is an algebra, then  $A^{\text{op}} \simeq \text{End}_A(A)$  as algebras.*

*Proof.* Note that  $\text{End}_A(A) = \{\rho_a : a \in A\}$ , where  $\rho_a : A \rightarrow A$ ,  $x \mapsto xa$ . Indeed, if  $f \in \text{End}_A(A)$ , then  $f(1) = a \in A$ . Moreover,  $f(b) = f(b1) = bf(1) = ba$  and hence  $f = \rho_a$ . The map  $A^{\text{op}} \rightarrow \text{End}_A(A)$ ,  $a \mapsto \rho_a$ , is bijective and it is an algebra homomorphism, as

$$\rho_a \rho_b(x) = \rho_a(\rho_b(x)) = \rho_a(xb) = x(ba) = \rho_{ba}(x). \quad \square$$

lem:Mn\_op

**Lemma 1.32.** *If  $A$  is an algebra and  $n \in \mathbb{Z}_{>0}$ , then  $M_n(A)^{\text{op}} \simeq M_n(A^{\text{op}})$  as algebras.*

*Proof.* Let  $\psi : M_n(A)^{\text{op}} \rightarrow M_n(A^{\text{op}})$ ,  $X \mapsto X^T$ , where  $X^T$  is the transpose matrix of  $X$ . Since  $\psi$  is a bijective linear map, it is enough to see that  $\psi$  is a homomorphism. If  $i, j \in \{1, \dots, n\}$ ,  $a = (a_{ij})$  and  $b = (b_{ij})$ , then

$$\begin{aligned} (\psi(a)\psi(b))_{ij} &= \sum_{k=1}^n \psi(a)_{ik} \psi(b)_{kj} = \sum_{k=1}^n a_{ki} \cdot_{\text{op}} b_{jk} \\ &= \sum_{k=1}^n b_{jk} a_{ki} = (ba)_{ji} = ((ba)^T)_{ij} = \psi(a \cdot_{\text{op}} b)_{ij}. \end{aligned} \quad \square$$

lem:simple

**Lemma 1.33.** *If  $S$  is a simple module and  $n \in \mathbb{Z}_{>0}$ , then*

$$\text{End}_A(nS) \simeq M_n(\text{End}_A(S))$$

*as algebras.*

*Proof.* Let  $(\varphi_{ij})$  be a matrix with entries in  $\text{End}_A(S)$ . We define a map  $nS \rightarrow nS$  as follows:

$$\begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} \mapsto \begin{pmatrix} \varphi_{11} & \cdots & \varphi_{1n} \\ \vdots & \ddots & \vdots \\ \varphi_{n1} & \cdots & \varphi_{nn} \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} \varphi_{11}(x_1) + \cdots + \varphi_{1n}(x_n) \\ \vdots \\ \varphi_{n1}(x_1) + \cdots + \varphi_{nn}(x_n) \end{pmatrix}.$$

The reader should prove that the map

$$M_n(\text{End}_A(S)) \rightarrow \text{End}_A(nS)$$

is an injective algebra homomorphism. It is surjective. Indeed, if  $\psi \in \text{End}(nS)$  and  $i, j \in \{1, \dots, n\}$  one defines  $\psi_{ij}$  by

$$\psi \begin{pmatrix} x \\ 0 \\ \vdots \\ 0 \end{pmatrix} = \begin{pmatrix} \psi_{11}(x) \\ \psi_{21}(x) \\ \vdots \\ \psi_{n1}(x) \end{pmatrix}, \dots, \psi \begin{pmatrix} 0 \\ 0 \\ \vdots \\ x \end{pmatrix} = \begin{pmatrix} \psi_{1n}(x) \\ \psi_{2n}(x) \\ \vdots \\ \psi_{nn}(x) \end{pmatrix}. \quad \square$$

**Exercise 1.34.** Let  $M, N$  and  $X$  be modules. Prove that

$$\text{Hom}_A(X, M \oplus N) = \text{Hom}_A(X, M) \times \text{Hom}_A(X, N). \quad (2.2)$$

**Theorem 1.35 (Artin–Wedderburn).** *Let  $A$  be a finite-dimensional semisimple algebra, say with  $k$  isomorphism classes of simple modules. Then*

$$A \simeq M_{n_1}(D_1) \times \cdots \times M_{n_k}(D_k)$$

for some  $n_1, \dots, n_k \in \mathbb{Z}_{>0}$  and some division algebras  $D_1, \dots, D_k$ .

*Proof.* Decompose the regular representation as a sum of simple modules and gather the simples by isomorphism classes to get

$$A = \bigoplus_{i=1}^k n_i S_i,$$

where each  $S_i$  is simple and  $S_i \neq S_j$  whenever  $i \neq j$ . Schur's lemma implies that

$$\text{End}_A(A) \simeq \text{End}_A\left(\bigoplus_{i=1}^k n_i S_i\right) \simeq \prod_{i=1}^k \text{End}_A(n_i S_i) \simeq \prod_{i=1}^k M_{n_i}(\text{End}_A(S_i)),$$

where each  $D_i = \text{End}_A(S_i)$  is a division algebra. Thus

$$\text{End}_A(A) \simeq \prod_{i=1}^k M_{n_i}(D_i).$$

Since  $\text{End}_A(A) \simeq A^{\text{op}}$ , it follows that

$$A = (A^{\text{op}})^{\text{op}} \simeq \prod_{i=1}^k M_{n_i}(D_i)^{\text{op}} \simeq \prod_{i=1}^k M_{n_i}(D_i^{\text{op}}).$$

Since each  $D_i$  is a division algebra, each  $D_i^{\text{op}}$  is also a division algebra.  $\square$

**Corollary 1.36 (Mollien).** *If  $A$  is a finite-dimensional complex semisimple algebra, then*

$$A \simeq \prod_{i=1}^k M_{n_i}(\mathbb{C})$$



Lecture 2

for some  $n_1, \dots, n_k \in \mathbb{Z}_{>0}$ .

*Proof.* By Wedderburn's theorem,

$$A \simeq \prod_{i=1}^k M_{n_i}(\text{End}_A(S_i)^{\text{op}}),$$

where  $S_1, \dots, S_k$  are representatives of the isomorphism classes of simple modules and each  $\text{End}_A(S_i)$  is a division algebra. We claim that

$$\text{End}_A(S_i) = \{\lambda \text{ id} : \lambda \in \mathbb{C}\} \simeq \mathbb{C}$$

for all  $i \in \{1, \dots, k\}$ . If  $f \in \text{End}_A(S_i)$ , then  $f$  has an eigenvector  $\lambda \in \mathbb{C}$ . Since  $f - \lambda \text{ id}$  is not an isomorphism, Schur's lemma implies that  $f - \lambda \text{ id} = 0$ , that is  $f = \lambda \text{ id}$ . Thus  $\text{End}_A(S_i) \rightarrow \mathbb{C}, \varphi \mapsto \lambda$ , is an algebra isomorphism. In particular,

$$A \simeq \prod_{i=1}^k M_{n_i}(\mathbb{C}). \quad \square$$



## Lecture 3

03

**Definition 1.37.** An algebra  $A$  is **simple** if  $A \neq \{0\}$  and  $\{0\}$  and  $A$  are the only ideals of  $A$ .

**Proposition 1.38.** *Let  $A$  be a finite-dimensional simple algebra. There exists a non-zero left ideal  $I$  of minimal dimension. This ideal is a simple  $A$ -module and every simple  $A$ -module is isomorphic to  $I$ .*

*Proof.* Since  $A$  is finite-dimensional and  $A$  is a left ideal of  $A$ , there exists a non-zero left ideal of minimal dimension. The minimality of  $\dim I$  implies that  $I$  is a simple  $A$ -module.

Let  $M$  be a simple  $A$ -module. In particular,  $M \neq \{0\}$ . Since

$$\text{Ann}(M) = \{a \in A : a \cdot M = \{0\}\}$$

is an ideal of  $A$  and  $1 \in A \setminus \text{Ann}(M)$ , the simplicity of  $A$  implies that  $\text{Ann}(M) = \{0\}$  and hence  $I \cdot M \neq \{0\}$  (because  $I \cdot m \neq 0$  for all  $m \in M$  yields  $I \subseteq \text{Ann}(M)$  and  $I$  is non-zero, a contradiction). Let  $m \in M$  be such that  $I \cdot m \neq \{0\}$ . The map

$$\varphi: I \rightarrow M, \quad x \mapsto x \cdot m,$$

is a module homomorphism. Since  $I \cdot m \neq \{0\}$ , the map  $\varphi$  is non-zero. Since both  $I$  and  $M$  are simple, Schur's lemma implies that  $\varphi$  is an isomorphism.  $\square$

If  $D$  is a division algebra, then  $M_n(D)$  is a simple algebra. The previous proposition implies that the algebra  $M_n(D)$  has a unique isomorphism classes of simple modules. Each simple module is isomorphic to  $D^n$ .

**Proposition 1.39.** *Let  $A$  be a finite-dimensional algebra. If  $A$  is simple, then  $A$  is semisimple.*

*Proof.* Let  $S$  be the sum of the simple submodules appearing in the regular representation of  $A$ . We claim that  $S$  is an ideal of  $A$ . We know that  $S$  is a left ideal, as the submodules of the regular representation are exactly the left ideals of  $A$ . To show

that  $Sa \subseteq S$  for all  $a \in A$  we need to prove that  $Ta \subseteq S$  for all simple submodule  $T$  of  $A$ . If  $T \subseteq A$  is a simple submodule and  $a \in A$ , let  $f: T \rightarrow Ta$ ,  $t \mapsto ta$ . Since  $f$  is a module homomorphism and  $T$  is simple, it follows that either  $\ker f = \{0\}$  or  $\ker f = T$ . If  $\ker f = T$ , then  $f(T) = Ta = \{0\} \subseteq S$ . If  $\ker f = \{0\}$ , then  $T \cong f(T) = Ta$  and hence  $Ta$  is simple. Hence  $Ta \subseteq S$ .

Since  $S$  is an ideal of  $A$  and  $A$  is a simple algebra, it follows that either  $S = \{0\}$  or  $S = A$ . Since  $S \neq \{0\}$ , because there exists a non-zero left ideal  $I$  of  $A$  such that  $I \neq \{0\}$  is of minimal dimension, it follows that  $S = A$ , that is the regular representation of  $A$  is semisimple (because it is a sum of simple submodules). Therefore  $A$  is semisimple.  $\square$

**Theorem 1.40 (Wedderburn).** *Let  $A$  be a finite-dimensional algebra. If  $A$  is simple, then  $A \cong M_n(D)$  for some  $n \in \mathbb{Z}_{>0}$  and some division algebra  $D$ .*

*Proof.* Since  $A$  is simple, it follows that  $A$  is semisimple. Artin–Wedderburn’s theorem implies that  $A \cong \prod_{i=1}^k M_{n_i}(D_i)$  for some  $n_1, \dots, n_k$  and some division algebras  $D_1, \dots, D_k$ . Moreover,  $A$  has  $k$  isomorphism classes of simple modules. Since  $A$  is simple,  $A$  has only one isomorphism class of simple modules. Thus  $k = 1$  and hence  $A \cong M_n(D)$  for some  $n \in \mathbb{Z}_{>0}$  and some division algebra  $D$ .  $\square$

## §2. Primitive rings

### Primitive rings

We will consider rings possibly without identity. Thus a **ring** is an abelian group  $R$  with an associative multiplication  $(x, y) \mapsto xy$  such that  $(x + y)z = xz + yz$  and  $x(y + z) = xy + xz$  for all  $x, y, z \in R$ . If there is an element  $1 \in R$  such that  $x1 = 1x = x$  for all  $x \in R$ , we say that  $R$  is a ring (or a unitary ring). A **subring**  $S$  of  $R$  is an additive subgroup of  $R$  closed under multiplication.

**Example 2.1.**  $2\mathbb{Z} = \{2m : m \in \mathbb{Z}\}$  is a ring.

A **left ideal** (resp. **right ideal**) is a subring  $I$  of  $R$  such that  $rI \subseteq I$  (resp.  $Ir \subseteq I$ ) for all  $r \in R$ . An **ideal** (also two-sided ideal) of  $R$  is a subring  $I$  of  $R$  that is both a left and a right ideal of  $R$ .

**Example 2.2.** If  $I$  and  $J$  are both ideals of  $R$ , then the sum  $I + J = \{x + y : x \in I, y \in J\}$  and the intersection  $I \cap J$  are both ideals of  $R$ . The product  $IJ$ , defined as the additive subgroup of  $R$  generated by  $\{xy : x \in I, y \in J\}$ , is also an ideal of  $R$ .

**Example 2.3.** If  $R$  is a ring, the set  $Ra = \{xa : x \in R\}$  is a left ideal of  $R$ . Similarly, the set  $aR = \{ax : x \in R\}$  is a right ideal of  $R$ . The set  $RaR$ , which is defined as the additive subgroup of  $R$  generated by  $\{xay : x, y \in R\}$ , is an ideal of  $R$ .

**Example 2.4.** If  $R$  is a unitary ring, then  $Ra$  is the left ideal generated by  $a$ ,  $aR$  is the right ideal generated by  $a$  and  $RaR$  is the ideal generated by  $a$ . If  $R$  is not unitary, the left ideal generated by  $a$  is  $Ra + \mathbb{Z}a$ , the right ideal generated by  $a$  is  $aR + \mathbb{Z}a$  and the ideal generated by  $a$  is  $RaR + Ra + aR + \mathbb{Z}a$ .

**Definition 2.5.** A ring  $R$  is said to be **simple** if  $R^2 \neq \{0\}$  and the only ideals of  $R$  are  $\{0\}$  and  $R$ .

The condition  $R^2 \neq \{0\}$  is trivially satisfied in the case of rings with identity, as  $1 \in R^2 = \{r_1 r_2 : r_1, r_2 \in R\}$ .

**Example 2.6.** Division rings are simple.

Let  $S$  be a unitary ring. Recall that  $M_n(S)$  is the ring of  $n \times n$  square matrices with entries in  $S$ . If  $A = (a_{ij}) \in M_n(S)$  and  $E_{ij}$  is the matrix such that  $(E_{ij})_{kl} = \delta_{ik} \delta_{jl}$ , then

$$E_{ij} A E_{kl} = a_{jk} E_{il} \quad (3.1) \quad \boxed{\text{eq:trick}}$$

for all  $i, j, k, l \in \{1, \dots, n\}$ .

**Example 2.7.** If  $D$  is a division ring, then  $M_n(D)$  is simple.

Let  $R$  be a ring. A left  $R$ -module (or module, for short) is an abelian group  $M$  together with a map  $R \times M \rightarrow M$ ,  $(r, m) \mapsto r \cdot m$ , such that

$$(r+s) \cdot m = r \cdot m + s \cdot m, \quad r \cdot (m+n) = r \cdot m + r \cdot n, \quad r \cdot (s \cdot m) = (rs) \cdot m$$

for all  $r, s \in R$ ,  $m, n \in M$ . If  $R$  has an identity  $1$  and  $1 \cdot m = m$  holds for all  $m \in M$ , the module  $M$  is said to be **unitary**. If  $M$  is a unitary module, then  $M = R \cdot M$ .

**Definition 2.8.** A module  $M$  is said to be **simple** if  $R \cdot M \neq \{0\}$  and the only submodules of  $M$  are  $\{0\}$  and  $M$ . If  $M$  is a simple module, then  $M \neq \{0\}$ .

lemma:simple

**Lemma 2.9.** Let  $M$  be a non-zero module. Then  $M$  is simple if and only if  $M = R \cdot m$  for all  $0 \neq m \in M$ .

*Proof.* Assume that  $M$  is simple. Let  $m \neq 0$ . Since  $R \cdot m$  is a submodule of the simple module  $M$ , either  $R \cdot m = \{0\}$  or  $R \cdot m = M$ . Let  $N = \{n \in M : R \cdot n = \{0\}\}$ . Since  $N$  is a submodule of  $M$  and  $R \cdot M \neq \{0\}$ ,  $N = \{0\}$ . Therefore  $R \cdot m = M$ , as  $m \neq 0$ . Now assume that  $M = R \cdot m$  for all  $m \neq 0$ . Let  $L$  be a non-zero submodule of  $M$  and let  $0 \neq x \in L$ . Then  $M = L$ , as  $M = R \cdot x \subseteq L$ .  $\square$

**Example 2.10.** Let  $D$  be a division ring and let  $V$  be a non-zero vector space (over  $D$ ). If  $R = \text{End}_D(V)$ , then  $V$  is a simple  $R$ -module with  $f v = f(v)$ ,  $f \in R$ ,  $v \in V$ .

exa:I\_k

**Example 2.11.** Let  $n \geq 2$ . If  $D$  is a division ring and  $R = M_n(D)$ , then each

$$I_k = \{(a_{ij}) \in R : a_{ij} = 0 \text{ for } j \neq k\}$$

is an  $R$ -module isomorphic to  $D^n$ . Thus  $M_n(D)$  is a simple ring that is not a simple  $M_n(D)$ -module.

**Definition 2.12.** A left ideal  $L$  of a ring  $R$  is said to be **minimal** if  $L \neq \{0\}$  and  $L$  does not strictly contain other left ideals of  $R$ .

Similarly one defines right minimal ideals and minimal ideals.

**Example 2.13.** Let  $D$  be a division ring and let  $R = M_n(D)$ . Then  $L = RE_{11}$  is a minimal left ideal.

**Example 2.14.** Let  $L$  be a non-zero left ideal. If  $RL \neq \{0\}$ , then  $L$  is minimal if and only if  $L$  is a simple  $R$ -module.

**Definition 2.15.** A left (resp. right) ideal  $L$  of  $R$  is said to be **regular** if there exists  $e \in R$  such that  $r - re \in L$  (resp.  $r - er \in L$ ) for all  $r \in R$ .

If  $R$  is a ring with identity, every left (or right) ideal is regular.

**Definition 2.16.** A left (resp. right) ideal  $I$  of  $R$  is said to be **maximal** if  $I \neq R$  and  $I$  is not properly contained in any other left (resp. right) ideal of  $R$ .

Similarly one defines maximal ideals.

A standard application of Zorn's lemma proves that every unitary ring contains a maximal left (or right) ideal.

proposition:R/I

**Proposition 2.17.** Let  $R$  be a ring and  $M$  be a module. Then  $M$  is simple if and only if  $M \simeq R/I$  for some maximal regular left ideal  $I$ .

*Proof.* Assume that  $M$  is simple. Then  $M = R \cdot m$  for some  $m \neq 0$  by Lemma 2.9. The map  $\phi: R \rightarrow M, r \mapsto r \cdot m$ , is a surjective homomorphism of  $R$ -modules, so the first isomorphism theorem implies that  $M \simeq R/\ker \phi$ .

We claim that  $I = \ker \phi$  is a maximal ideal. The correspondence theorem and the simplicity of  $M$  imply that  $I$  is a maximal ideal (because each left ideal  $J$  such that  $I \subseteq J$  yields a submodule of  $R/I$ ).

We claim that  $I$  is regular. Since  $M = Rm$ , there exists  $e \in R$  such that  $m = e \cdot m$ . If  $r \in R$ , then  $r - re \in I$  since  $\phi(r - re) = \phi(r) - \phi(re) = r \cdot m - r \cdot (e \cdot m) = 0$ .

Now assume that  $I$  is maximal left ideal that is regular. The correspondence theorem implies that  $R/I$  has no non-zero proper submodules.

We claim that  $R \cdot (R/I) \neq 0$ . If  $R \cdot (R/I) = \{0\}$  and  $r \in R$ , then the regularity of  $I$  implies that there exists  $e \in R$  such that  $r - re \in I$ . Hence  $r \in I$ , as

$$0 = r \cdot (e + I) = re + I = r + I,$$

a contradiction to the maximality of  $I$ . □

Let  $R$  be a ring and  $M$  be a left  $R$ -module. For a subset  $N \subseteq M$  we define the **annihilator** of  $N$  as the subset

$$\text{Ann}_R(N) = \{r \in R : r \cdot n = 0 \text{ for all } n \in N\}.$$

**Example 2.18.**  $\text{Ann}_{\mathbb{Z}}(\mathbb{Z}/n) = n\mathbb{Z}$ .

**Exercise 2.19.** Let  $R$  be a ring and  $M$  be a module. If  $N \subseteq M$  is a subset, then  $\text{Ann}_R(N)$  is a left ideal of  $R$ . If  $N \subseteq M$  is a submodule of  $R$ , then  $\text{Ann}_R(N)$  is an ideal of  $R$ .

§2 Primitive rings

**Definition 2.20.** A module  $M$  is said to be **faithful** if  $\text{Ann}_R(M) = \{0\}$ .

**Example 2.21.** If  $K$  is a field, then  $K^n$  is a faithful unitary  $M_n(K)$ -module.

**Example 2.22.** If  $V$  is vector space over a field  $K$ , then  $V$  is faithful unitary  $\text{End}_K(V)$ -module.

**Definition 2.23.** A ring  $R$  is said to be **primitive** if there exists a faithful simple  $R$ -module.

Since we are considering left modules, our definition of primitive rings is that of left primitive rings. By convention, a primitive ring will always mean a left primitive ring. The use of right modules yields to the notion of right primitive rings.

xca:simple=>prim

**Exercise 2.24.** If  $R$  is a simple unitary ring, then  $R$  is primitive.

xca:prim+conn=cuerpo

**Exercise 2.25.** If  $R$  is a commutative ring (maybe without identity), then  $R$  is primitive if and only if  $R$  is a field.

**Example 2.26.** The ring  $\mathbb{Z}$  is not primitive.





## Lecture 4

04

**Definition 2.27.** An ideal  $P$  of a ring  $R$  is said to be **primitive** if  $P = \text{Ann}_R(M)$  for some simple  $R$ -module  $M$ .

lemma:primitivo

**Lemma 2.28.** Let  $R$  be a ring and  $P$  be an ideal of  $R$ . Then  $P$  is primitive if and only if  $R/P$  is a primitive ring.

*Proof.* Assume that  $P = \text{Ann}_R(M)$  for some  $R$ -module  $M$ . Then  $M$  is a simple  $(R/P)$ -module with  $(r+P) \cdot m = r \cdot m$ ,  $r \in R$ ,  $m \in M$ . This is well-defined, as  $P = \text{Ann}_R(M)$ . Since  $M$  is a simple  $R$ -module, it follows that  $M$  is a simple  $(R/P)$ -module. Moreover,  $\text{Ann}_{R/P} M = \{0\}$ . Indeed, if  $(r+P) \cdot M = \{0\}$ , then  $r \in \text{Ann}_R M = P$  and hence  $r+P = P$ .

Assume now that  $R/P$  is primitive. Let  $M$  be a faithful simple  $(R/P)$ -module. Then  $r \cdot m = (r+P) \cdot m$ ,  $r \in R$ ,  $m \in M$ , turns  $M$  into an  $R$ -module. It follows that  $M$  is simple and that  $P = \text{Ann}_R(M)$ .  $\square$

**Example 2.29.** Let  $R_1, \dots, R_n$  be primitive rings and  $R = R_1 \times \dots \times R_n$ . Then each  $P_i = R_1 \times \dots \times R_{i-1} \times \{0\} \times R_{i+1} \times \dots \times R_n$  is a primitive ideal of  $R$  since  $R/P_i \simeq R_i$ .

lemma:maxprim

**Lemma 2.30.** Let  $R$  be a ring. If  $P$  is a primitive ideal, there exists a maximal left ideal  $I$  such that  $P = \{x \in R : xR \subseteq I\}$ . Conversely, if  $I$  is a maximal regular left ideal, then  $\{x \in R : xR \subseteq I\}$  is a primitive ideal.

*Proof.* Assume that  $P = \text{Ann}_R(M)$  for some simple  $R$ -module  $M$ . By Proposition 2.17, there exists a regular maximal left ideal  $I$  such that  $M \simeq R/I$ . Then  $P = \text{Ann}_R(R/I) = \{x \in R : xR \subseteq I\}$ .

Conversely, let  $I$  be a regular maximal left ideal. By Proposition 2.17,  $R/I$  is a simple  $R$ -module. Then

$$\text{Ann}_R(R/I) = \{x \in R : xR \subseteq I\}$$

is a primitive ideal.  $\square$

xca:maximal=>prim

**Exercise 2.31.** Maximal ideals of unitary rings are primitive.

**Exercise 2.32.** Prove that every primitive ideal of a commutative ring is maximal.

**Exercise 2.33.** Prove that  $M_n(R)$  is primitive if and only if  $R$  is primitive.

### §3. Jacobson's radical

**Definition 3.1.** Let  $R$  be a ring. The **Jacobson radical**  $J(R)$  is the intersection of all the annihilators of simple left  $R$ -modules. If  $R$  does not have simple left  $R$ -modules, then  $J(R) = R$ .

From the definition it follows that  $J(R)$  is an ideal. Moreover,

$$J(R) = \bigcap \{P : P \text{ left primitive ideal}\}.$$

If  $I$  is an ideal of  $R$  and  $n \in \mathbb{Z}_{>0}$ ,  $I^n$  is the additive subgroup of  $R$  generated by the set  $\{y_1 \dots y_n : y_j \in I\}$ .

**Definition 3.2.** An ideal  $I$  of  $R$  is **nilpotent** if  $I^n = \{0\}$  for some  $n \in \mathbb{Z}_{>0}$ .

Similarly one defines right or left nil ideals. Note that an ideal  $I$  is nilpotent if and only if there exists  $n \in \mathbb{Z}_{>0}$  such that  $x_1 x_2 \dots x_n = 0$  for all  $x_1, \dots, x_n \in I$ .

**Definition 3.3.** An element  $x$  of a ring is said to be **nil** (or nilpotent) if  $x^n = 0$  for some  $n \in \mathbb{Z}_{>0}$ .

**Definition 3.4.** An ideal  $I$  of a ring is said to be nil if every element of  $I$  is nil.

Every nilpotent ideal is nil, as  $I^n = 0$  implies  $x^n = 0$  for all  $x \in I$ .

**Example 3.5.** Let  $R = \mathbb{C}[x_1, x_2, \dots] / (x_1, x_2^2, x_3^3, \dots)$ . The ideal  $I = (x_1, x_2, x_3, \dots)$  is nil in  $R$ , as it is generated by nilpotent element. However, it is not nilpotent. Indeed, if  $I$  is nilpotent, then there exists  $k \in \mathbb{Z}_{>0}$  such that  $I^k = 0$  and hence  $x_i^k = 0$  for all  $i$ , a contradiction since  $x_{k+1}^k \neq 0$ .

pro:nilJ

**Proposition 3.6.** Let  $R$  be a ring. Then every nil left ideal (resp. right ideal) is contained in  $J(R)$ .

*Proof.* Assume that there is a nil left ideal (resp. right ideal)  $I$  such that  $I \not\subseteq J(R)$ . There exists a simple  $R$ -module  $M$  such that  $n = xm \neq 0$  for some  $x \in I$  and some  $m \in M$ . Since  $M$  is simple,  $Rn = M$  and hence there exists  $r \in R$  such that

$$(rx)m = r(xm) = rn = m \quad (\text{resp. } (xr)n = x(rn) = xm = n).$$

Thus  $(rx)^k m = m$  (resp.  $(xr)^k n = n$ ) for all  $k \geq 1$ , a contradiction since  $rx \in I$  (resp.  $xr \in I$ ) is a nilpotent element.  $\square$

**Definition 3.7.** Let  $R$  be a ring. An element  $a \in R$  is said to be **left quasi-regular** if there exists  $r \in R$  such that  $r + a + ra = 0$ . Similarly,  $a$  is said to be **right quasi-regular** if there exists  $r \in R$  such that  $a + r + ar = 0$ .

Let  $R$  be a ring. A direct calculation shows that

$$R \times R \rightarrow R, \quad (r, s) \mapsto r \circ s = r + s + rs,$$

$\circ$	0	1	2
0	0	1	2
1	1	0	2
2	2	2	2

is an associative operation with neutral element 0. To show an explicit example let  $R = \mathbb{Z}/3 = \{0, 1, 2\}$ . The multiplication table with respect to the circle operation is

If  $R$  is unitary, an element  $x \in R$  is left quasi-regular (resp. right quasi-regular) if and only if  $1+x$  is left invertible (resp. right invertible). In fact, if  $r \in R$  is such that  $r+x+rx=0$ , then  $(1+r)(1+x) = 1+r+x+rx = 1$ . Conversely, if there exists  $y \in R$  such that  $y(1+x) = 1$ , then

$$(y-1) \circ x = y - 1 + x + (y-1)x = 0.$$

**Example 3.8.** If  $x \in R$  is a nilpotent element, then  $y = \sum_{n \geq 1} x^n \in R$  is quasi-regular. En efecto, si existe  $N$  tal que  $x^N = 0$ , la suma que define al elemento  $y$  es finita y cumple que  $y + (-x) + y(-x) = 0$ .

**Definition 3.9.** A left ideal  $I$  of  $R$  is said to be **left quasi-regular** (resp. right quasi-regular) if every element of  $I$  is left quasi-regular (resp. right quasi-regular). A left ideal is said to be **quasi-regular** if it is left and right quasi-regular.

Similarly one defines right quasi-regular ideals and quasi-regular ideals.

lemma:casiregular

**Lemma 3.10.** Let  $I$  be a left ideal of  $R$ . If  $I$  is left quasi-regular, then  $I$  is quasi-regular.

*Proof.* Let  $x \in I$ . Let us prove that  $x$  is right quasi-regular. Since  $I$  is left quasi-regular, there exists  $r \in R$  such that  $r \circ x = r + x + rx = 0$ . Since  $r = -x - rx \in I$ , there exists  $s \in R$  tal que  $s \circ r = s + r + sr = 0$ . Then  $s$  is right quasi-regular and

$$x = 0 \circ x = (s \circ r) \circ x = s \circ (r \circ x) = s \circ 0 = s. \quad \square$$

Let  $(A, \leq)$  be a **partially order set**, this means that  $A$  is a set together with a reflexive, transitive and anti-symmetric binary relation  $R$  en  $A \times A$ , where  $a \leq b$  if and only if  $(a, b) \in R$ . Recall that the relation is reflexive if  $a \leq a$  for all  $a \in A$ , the relation is transitive if  $a \leq b$  and  $b \leq c$  imply that  $a \leq c$  and the relation is anti-symmetric if  $a \leq b$  and  $b \leq a$  imply  $a = b$ . The elements  $a, b \in A$  are said to be **comparable** if  $a \leq b$  or  $b \leq a$ . An element  $a \in A$  is said to be **maximal** if  $c \leq a$  for all  $c \in A$  that is comparable with  $a$ . An **upper bound** for a non-empty subset  $B \subseteq A$  is an element  $d \in A$  such that  $b \leq d$  for all  $b \in B$ . A **chain** in  $A$  is a subset  $B$  such that every pair of elements of  $B$  are comparable. **Zorn's lemma** states the following property:

If  $A$  is a non-empty partially ordered set such that every chain in  $A$  contains an upper bound in  $A$ , then  $A$  contains a maximal element.

Our application of Zorn's lemma:

lemma:maxreg

**Lemma 3.11.** *Let  $R$  be a ring and  $x \in R$  be an element that is not left quasi-regular. Then there exists a maximal left ideal  $M$  such that  $x \notin M$ . Moreover,  $R/M$  is a simple  $R$ -module and  $x \notin \text{Ann}_R(R/M)$ .*

*Proof.* Let  $T = \{r + rx : r \in R\}$ . A straightforward calculation shows that  $T$  is a left ideal of  $R$  such that  $x \notin T$  (if  $x \in T$ , then  $r + rx = -x$  for some  $r \in R$ , a contradiction since  $x$  is not left quasi-regular).

The only left ideal of  $R$  containing  $T \cup \{x\}$  is  $R$ . Indeed, if there exists a left ideal  $U$  containing  $T$ , then  $x \notin U$ , since otherwise every  $r \in R$  could be written as  $r = (r + rx) + r(-x) \in U$ .

Let  $\mathcal{S}$  be the set of proper left ideals of  $R$  containing  $T$  partially ordered by inclusion. If  $\{K_i : i \in I\}$  is a chain in  $\mathcal{S}$ , then  $K = \cup_{i \in I} K_i$  is an upper bound for the chain ( $K$  is a proper, as  $x \notin K$ ). Zorn's lemma implies that  $\mathcal{S}$  admits a maximal element  $M$ . Thus  $M$  is a maximal left ideal such that  $x \notin M$ . Moreover,  $M$  is regular since  $r - r(-x) \in T \subseteq M$  for all  $r \in R$ . Therefore  $R/M$  is a simple  $R$ -module by Proposition 2.17. Since  $x(x + M) \neq 0$  (if  $x^2 \in M$ , then  $x \in M$ , as  $x + x^2 \in T \subseteq M$ ), it follows that  $x \notin \text{Ann}_R(R/M)$ .  $\square$

If  $x \in R$  is not left quasi-regular, the lemma implies that there exists a simple  $R$ -module  $M$  such that  $x \notin \text{Ann}_R(M)$ . Thus  $x \notin J(R)$ .

thm:casireg\_eq

**Theorem 3.12.** *Let  $R$  be a ring and  $x \in R$ . The following statements are equivalent:*

- 1) *The left ideal generated by  $x$  is quasi-regular.*
- 2)  *$Rx$  is quasi-regular.*
- 3)  *$x \in J(R)$ .*

*Proof.* The implication (1)  $\implies$  (2) is trivial, as  $Rx$  is included in the left ideal generated by  $x$ .

We now prove (2)  $\implies$  (3). If  $x \notin J(R)$ , then Lemma 3.11 implies that there exists a simple  $R$ -module  $M$  such that  $xm \neq 0$  for some  $m \in M$ . The simplicity of  $M$  implies that  $R(xm) = M$ . Thus there exists  $r \in R$  such that  $rxm = -m$ . There is an element  $s \in R$  such that  $s + rx + s(rx) = 0$  and hence

$$-m = rxm = (-s - srx)m = -sm + sm = 0,$$

a contradiction.

Finally, to prove (3)  $\implies$  (1) it is enough to note that  $x$  is left quasi-regular. Thus the left ideal generated by  $x$  is quasi-regular by Lemma 3.10.  $\square$

The theorem immediately implies the following corollary.

**Corollary 3.13.** *If  $R$  is a ring, then  $J(R)$  is a quasi-regular ideal that contains every left quasi-regular ideal.*

The following result is somewhat what we all had in mind.

thm:J(R)

**Theorem 3.14.** *Let  $R$  be a ring such that  $J(R) \neq R$ . Then*

$$J(R) = \bigcap \{I : I \text{ regular maximal left ideal of } R\}.$$

*Proof.* We only prove the non-trivial inclusion. Let

$$K = \bigcap \{I : I \text{ regular maximal left ideal of } R\}.$$

By Proposition 2.17,

$$J(R) = \bigcap \{\text{Ann}_R(R/I) : I \text{ regular maximal left ideal of } R\}.$$

Let  $I$  be a regular maximal left ideal. If  $r \in J(R) \subseteq \text{Ann}_R(R/I)$ , then, since  $I$  is regular, there exists  $e \in R$  such that  $r - re \in I$ . Since

$$re + I = r(e + I) = 0,$$

$re \in I$  and hence  $r \in I$ . Thus  $J(R) \subseteq K$ .  $\square$

**Example 3.15.** Each maximal ideals of  $\mathbb{Z}$  is of the form  $p\mathbb{Z} = \{pm : m \in \mathbb{Z}\}$  for some prime number  $p$ . Thus  $J(\mathbb{Z}) = \bigcap_p p\mathbb{Z} = \{0\}$ .

We now review some basic results useful to compute radicals.

**Proposition 3.16.** *Let  $\{R_i : i \in I\}$  be a family of rings. Then*

$$J\left(\prod_{i \in I} R_i\right) = \prod_{i \in I} J(R_i).$$

*Proof.* Let  $R = \prod_{i \in I} R_i$  and  $x = (x_i)_{i \in I} \in R$ . The left ideal  $Rx$  is quasi-regular if and only if each left ideal  $R_i x_i$  is quasi-regular in  $R_i$ , as  $x$  is quasi-regular in  $R$  if and only if each  $x_i$  is quasi-regular in  $R_i$ . Thus  $x \in J(R)$  if and only if  $x_i \in J(R_i)$  for all  $i \in I$ .  $\square$

For the next result we shall need a lemma.

lemma:trickJ1

**Lemma 3.17.** *Let  $R$  be a ring and  $x \in R$ . If  $-x^2$  is a left quasi-regular element, then  $x$  también.*

*Proof.* Sea  $r \in R$  tal que  $r + (-x^2) + r(-x^2) = 0$  y sea  $s = r - x - rx$ . Entonces  $x$  es casi-regular a izquierda pues

$$\begin{aligned} s + x + sx &= (r - x - rx) + x + (r - x - rx)x \\ &= r - x - rx + x + rx - x^2 - rx^2 = r - x^2 - rx^2 = 0. \end{aligned} \quad \square$$

proposition:J(I)

**Proposition 3.18.** *If  $I$  is an ideal of  $R$ , then  $J(I) = I \cap J(R)$ .*

*Proof.* Since  $I \cap J(R)$  is an ideal of  $I$ , if  $x \in I \cap J(R)$ , then  $x$  is left quasi-regular in  $R$ . Let  $r \in R$  be such that  $r + x + rx = 0$ . Since  $r = -x - rx \in I$ ,  $x$  is left quasi-regular in  $I$ . Thus  $I \cap J(R) \subseteq J(I)$ .

Let  $x \in J(I)$  and  $r \in R$ . Since  $-(rx)^2 = (-rxr)x \in I(J(I)) \subseteq J(I)$ , the element  $-(rx)^2$  is left quasi-regular a izquierda en  $I$ . Thus  $rx$  is left quasi-regular by Lemma 3.17.  $\square$

**Definition 3.19.** A ring  $R$  is said to be **radical** if  $J(R) = R$ .

**Example 3.20.** If  $R$  is a ring, then  $J(R)$  is a radical ring, by Proposition 3.18.

**Example 3.21.** The Jacobson radical of  $\mathbb{Z}/8$  is  $\{0, 2, 4, 6\}$ .

There are several characterizations of radical rings.

theorem:anillo\_radical

**Theorem 3.22.** Let  $R$  be ring. The following statements are equivalent:

- 1)  $R$  is radical.
- 2)  $R$  admits no simple  $R$ -modules.
- 3)  $R$  does not have regular maximal left ideals.
- 4)  $R$  does not have primitive left ideals.
- 5) Every element of  $R$  is quasi-regular.
- 6)  $(R, \circ)$  is a group.

*Proof.* The equivalence (1)  $\iff$  (5) follows from Theorem 3.12.

The equivalence (5)  $\iff$  (6) is left as an exercise.

Let us prove that (1)  $\implies$  (2). Assume that there exists a simple  $R$ -module  $N$ . Since  $R = J(R) \subseteq \text{Ann}_R(N)$ ,  $R = \text{Ann}_R(N)$ . Hence  $RN = \{0\}$ , a contradiction to the simplicity of  $N$ .

To prove (2)  $\implies$  (3) we note that for each regular and maximal left ideal  $I$ , the quotient  $R/I$  is a simple  $R$ -module by Proposición 2.17.

To prove (3)  $\implies$  (4) assume that there is a primitive left ideal  $I = \text{Ann}_R(M)$ , where  $M$  is some simple  $R$ -module. Since  $R = J(R) \subseteq I$ , it follows that  $I = R$ , a contradiction to the simplicity of  $M$ .

Finally we prove (4)  $\implies$  (2). If  $M$  is a simple  $R$ -module, then  $\text{Ann}_R(M)$  is a primitive left ideal.  $\square$

**Example 3.23.** Let

$$A = \left\{ \frac{2x}{2y+1} : x, y \in \mathbb{Z} \right\}.$$

Then  $A$  is a radical ring, as the inverse of the element  $\frac{2x}{2y+1}$  with respect to the circle operation  $\circ$  is

$$\left( \frac{2x}{2y+1} \right)' = \frac{-2x}{2(x+y)+1}.$$

**Definition 3.24.** A ring  $R$  is said to be **nil** if for every  $x \in R$  there exists  $n = n(x)$  such that  $x^n = 0$ .

**Exercise 3.25.** Prove that a nil ring is a radical ring.

**Exercise 3.26.** Let  $\mathbb{R}[X]$  be the ring of power series with real coefficients. Prove that the ideal  $X\mathbb{R}[X]$  consisting of power series with zero constant term is a radical ring that is not nil.

thm: J(R/J) = 0

**Theorem 3.27.** If  $R$  is a ring, then  $J(R/J(R)) = \{0\}$ .

§3 Jacobson's radical

*Proof.* If  $R$  is radical, the result is trivial. Suppose then that  $J(R) \neq R$ . Let  $M$  be a simple module. Then  $M$  is a simple module over  $R/J(R)$  with

$$(x + J(R)) \cdot m = x \cdot m, \quad x \in R, m \in M.$$

If  $x + J(R) \in J(R/J(R))$ , then  $x \cdot M = (x + J(R)) \cdot M = \{0\}$ . Then  $x \in J(R)$ , as  $x$  annihilates any simple module over  $R$ .  $\square$





## Lecture 5

**Theorem 3.28.** *Let  $R$  be a ring and  $n \in \mathbb{Z}_{>0}$ . Then  $J(M_n(R)) = M_n(J(R))$ .*

*Proof.* We first prove that  $J(M_n(R)) \subseteq M_n(J(R))$ . If  $J(R) = R$ , the theorem is clear. Let us assume that  $J(R) \neq R$  and let  $J = J(R)$ . If  $M$  is a simple  $R$ -module, then  $M^n$  is a simple  $M_n(R)$ -module with the usual multiplication. Let  $x = (x_{ij}) \in J(M_n(R))$  and  $m_1, \dots, m_n \in M$ . Then

$$x \begin{pmatrix} m_1 \\ \vdots \\ m_n \end{pmatrix} = 0.$$

In particular,  $x_{ij} \in \text{Ann}_R(M)$  for all  $i, j \in \{1, \dots, n\}$ . Hence  $x \in M_n(J)$ .

We now prove that  $M_n(J) \subseteq J(M_n(R))$ . Let

$$J_1 = \begin{pmatrix} J & 0 & \cdots & 0 \\ J & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ J & 0 & \cdots & 0 \end{pmatrix} \quad \text{and} \quad x = \begin{pmatrix} x_1 & 0 & \cdots & 0 \\ x_2 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ x_n & 0 & \cdots & 0 \end{pmatrix} \in J_1.$$

Since  $x_1$  is quasi-regular, there exists  $y_1 \in R$  such that  $x_1 + y_1 + x_1 y_1 = 0$ . If

$$y = \begin{pmatrix} y_1 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{pmatrix},$$

then  $u = x + y + xy$  is lower triangular, as

$$u = \begin{pmatrix} 0 & 0 & \cdots & 0 \\ x_2 y_1 & 0 & \cdots & 0 \\ x_3 y_1 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ x_n y_1 & 0 & \cdots & 0 \end{pmatrix}.$$

Since  $u^n = 0$ , the element

$$v = -u + u^2 - u^3 + \cdots + (-1)^{n-1} u^{n-1}$$

is such that  $u + v + uv = 0$ . Thus  $x$  is right quasi-regular, as

$$x + (y + v + yv) + x(y + v + yv) = 0,$$

and therefore  $J_1$  is right quasi-regular. Similarly one proves that each  $J_i$  is right quasi-regular and hence  $J_i \subseteq J(M_n(R))$  for all  $i \in \{1, \dots, n\}$ . In conclusion,

$$J_1 + \cdots + J_n \subseteq J(M_n(R))$$

and therefore  $M_n(J) \subseteq J(M_n(R))$ . □

**Exercise 3.29.** Let  $R$  be a unitary ring. Then

$$J(R) = \bigcap \{M : M \text{ is a left maximal ideal}\}.$$

xca:Jcon1

**Exercise 3.30.** Let  $R$  be a unitary ring. The following statements are equivalent:

- 1)  $x \in J(R)$ .
- 2)  $xM = 0$  for all simple  $R$ -module  $M$ .
- 3)  $x \in P$  for all primitive left ideal  $P$ .
- 4)  $1 + rx$  is invertible for all  $r \in R$ .
- 5)  $1 + \sum_{i=1}^n r_i x s_i$  is invertible for all  $n$  and all  $r_i, s_i \in R$ .
- 6)  $x$  belongs to every left maximal ideal maximal.

The following exercise is entirely optional. It somewhat shows a recent application of radical rings to solutions of the celebrated Yang–Baxter equation.

**Exercise 3.31.** A pair  $(X, r)$  is a **solution** to the Yang–Baxter equation if  $X$  is a set and  $r: X \times X \rightarrow X \times X$  is a bijective map such that

$$(r \times \text{id}) \circ (\text{id} \times r) \circ (r \times \text{id}) = (\text{id} \times r) \circ (r \times \text{id}) \circ (\text{id} \times r)$$

The solution  $(X, r)$  is said to be **involution** if  $r^2 = \text{id}$ . By convention we write

$$r(x, y) = (\sigma_x(y), \tau_y(x)).$$

The solution  $(X, r)$  is said to be **non-degenerate**  $\sigma_x: X \rightarrow X$  and  $\tau_x: X \rightarrow X$  are bijective for all  $x \in X$ .

- 1) Let  $X$  be a set and  $\sigma: X \rightarrow X$  be a bijective map. Prove that the pair  $(X, r)$ , where  $r(x, y) = (\sigma(y), \sigma^{-1}(x))$ , is an involutive non-degenerate solution.

Let  $R$  be a radical ring. For  $x, y \in R$  let

$$\begin{aligned}\lambda_x(y) &= -x + x \circ y = xy + y, \\ \mu_y(x) &= \lambda_x(y)' \circ x \circ y = (xy + y)'x + x\end{aligned}$$

Prove the following statements:

- 2)  $\lambda: (R, \circ) \rightarrow \text{Aut}(R, +)$ ,  $x \mapsto \lambda_x$ , is a group homomorphism.  
 3)  $\mu: (R, \circ) \rightarrow \text{Aut}(R, +)$ ,  $y \mapsto \mu_y$ , is a group antihomomorphism.  
 4) The map

$$r: R \times R \rightarrow R \times R, \quad r(x, y) = (\lambda_x(y), \mu_y(x)),$$

is an involutive non-degenerate solution.

**Exercise 3.32.** If  $D$  is a division ring and  $R = D[X_1, \dots, X_n]$ , then  $J(R) = \{0\}$ .

**Example 3.33.** A commutative and unitary ring  $R$  is **local** if it contains only one maximal ideal. If  $R$  is a local ring and  $M$  be its maximal ideal, then  $J(R) = M$ . Some particular cases:

- 1) If  $K$  is a field and  $R = K[[X]]$ , then  $J(R) = (X)$ .  
 2) If  $p$  is a prime number and  $R = \mathbb{Z}/p^n$ , then  $J(R) = (p)$ .

We finish the discussion on the Jacobson radical with some results in the case of unitary algebras. We first need an application of Zorn's lemma.

xca:maximal\_regular

**Exercise 3.34.** Let  $I$  be a proper left ideal that is left regular. Prove that  $I$  is contained in a maximal left ideal which is regular.

**Theorem 3.35.** Let  $A$  be a  $K$ -algebra and  $I$  be a subset of  $A$ . Then  $I$  is a left regular maximal ideal of the algebra  $A$  if and only if  $I$  is a left regular maximal ideal of the ring  $A$ .

*Proof.* Let  $I$  be a left regular maximal ideal of the ring  $A$ . We claim that  $\lambda I \subseteq I$  for all  $\lambda \in K$ . Assume that  $\lambda I \not\subseteq I$  for some  $\lambda$ . Then  $I + \lambda I$  is an ideal of the ring  $A$  that contains  $I$ , as

$$a(I + \lambda I) = aI + a(\lambda I) \subseteq I + \lambda(aI) \subseteq I + \lambda I.$$

Since  $I$  is maximal, it follows that  $I + \lambda I = A$ . The left regularity of  $I$  implies that there exists  $e \in R$  such that  $a - ae \in I$  for all  $a \in A$ . Write  $e = x + \lambda y$  for  $x, y \in I$ . Then

$$e^2 = e(x + \lambda y) = ex + e(\lambda y) = ex + (\lambda e)y \in I.$$

Since  $e - e^2 \in I$  and  $e^2 \in I$ , it follows that  $e \in I$ . Thus  $A = I$ , as  $a - ae \in I$  for all  $a \in A$ , a contradiction.

Conversely, if  $I$  is a left regular maximal ideal of the algebra  $A$ , then  $I$  is a left regular ideal of the ring  $A$ . We claim that  $I$  is maximal. There exists a left regular maximal ideal  $M$  of the ring  $A$  that contains  $I$ . Since  $M$  is left regular, it follows that  $M$  is a left regular maximal ideal of the ring  $A$ . Thus  $M = I$  because  $I$  is maximal.  $\square$

**Exercise 3.36.** Let  $A$  be an algebra. Prove that the Jacobson radical of the ring  $A$  coincides with the Jacobson radical of the algebra  $A$ .

#### §4. Amitsur's theorem

We now prove an important result of Amitsur that has several interesting applications. We first need a lemma.

lemma:algebraico=nil

**Lemma 4.1.** *Let  $A$  be an algebra with one and let  $x \in J(A)$ . Then  $x$  is algebraic if and only if  $x$  is nil.*

*Proof.* Since  $x$  is algebraic, there exist  $a_0, \dots, a_n \in K$  not all zero such that

$$a_0 + a_1x + \dots + a_nx^n = 0.$$

Let  $r$  be the smallest integer such that  $a_r \neq 0$ . Then

$$x^r(1 + b_1x + \dots + b_mx^m) = 0,$$

for some  $b_1, \dots, b_m \in K$ . Since  $1 + b_1x + \dots + b_mx^m$  is a unit by Exercise 3.30, it follows that  $x^r = 0$ .  $\square$

An application:

pro:algebraica=>Jnil

**Proposition 4.2.** *If  $A$  is an algebraic algebra with one, then  $J(A)$  is the largest nil ideal of  $A$ .*

*Proof.* The previous lemma implies that  $J(A)$  is a nil ideal. Proposition 3.6 now implies that  $J(A)$  is the largest nil ideal of  $A$ .  $\square$

thm:Amitsur

**Theorem 4.3 (Amitsur).** *Let  $A$  be a  $K$ -algebra with one such that  $\dim_K A < |K|$  (as cardinals). Then  $J(A)$  is the largest nil ideal of  $A$ .*

*Proof.* If  $K$  is finite, then  $A$  is a finite-dimensional algebra. In particular,  $A$  is algebraic and hence  $J(A)$  is a nil ideal by Proposition 4.2.

Assume that  $K$  is infinite and let  $a \in J(A)$ . Exercise 3.30 implies that every element of the form  $1 - \lambda^{-1}a$ ,  $\lambda \in K \setminus \{0\}$ , is invertible. Thus

$$a - \lambda = -\lambda(1 - \lambda^{-1}a)$$

is invertible for all  $\lambda \in K \setminus \{0\}$ . Let  $S = \{(a - \lambda)^{-1} : \lambda \in K \setminus \{0\}\}$ . Since

$$(a - \lambda)^{-1} = (a - \mu)^{-1} \iff \lambda = \mu,$$

it follows that  $|S| = |K \setminus \{0\}| = |K| > \dim_K A$ . Then  $S$  is linearly dependent, so there are  $\beta_1, \dots, \beta_n \in K$  not all zero and distinct elements  $\lambda_1, \dots, \lambda_n \in K$  such that

$$\sum_{i=1}^n \beta_i (a - \lambda_i)^{-1} = 0. \quad (5.1) \quad \text{eq:Amitsur}$$

Multiplying (5.1) by  $\prod_{i=1}^n (a - \lambda_i)$  we get

$$\sum_{i=1}^n \beta_i \prod_{j \neq i} (a - \lambda_j) = 0.$$

We claim that  $a$  is algebraic over  $K$ . Indeed,

$$f(X) = \sum_{i=1}^n \beta_i \prod_{j \neq i} (X - \lambda_j)$$

is non-zero, as, for example, if  $\beta_1 \neq 1$ , then  $f(\lambda_1) = \beta_1(\lambda_1 - \lambda_2) \cdots (\lambda_1 - \lambda_n) \neq 0$  and  $f(a) = 0$ . Since  $a \in J(A)$  is algebraic, it follows  $a$  is nil by Lemma 4.1.  $\square$

Amitsur's theorem implies the following result.

**Corollary 4.4.** *Let  $K$  be a non-countable field. If  $A$  is an algebra over  $K$  with a countable basis, then  $J(A)$  is the largest nil ideal of  $A$ .*

## §5. Jacobson's conjecture

We now conclude the lecture with two big open problems related with the Jacobson radical. The first one is the Jacobson's conjecture.

prob:Jacobson

**Open problem 5.1 (Jacobson).** Let  $R$  be a noetherian ring. Is then

$$\bigcap_{n \geq 1} J(R)^n = \{0\}?$$

Open problem 5.1 was originally formulated by Jacobson in 1956 [6] for one-sided noetherian rings. In 1965 Herstein [3] found a counterexample in the case of one-sided noetherian rings and reformulated the conjecture as it appears here.

**Exercise 5.2 (Herstein).** Let  $D$  be the ring of rationals with odd denominators.

Let  $R = \begin{pmatrix} D & \mathbb{Q} \\ 0 & \mathbb{Q} \end{pmatrix}$ . Prove that  $R$  is right noetherian and  $J(R) = \begin{pmatrix} J(D) & \mathbb{Q} \\ 0 & 0 \end{pmatrix}$ . Prove that  $J(R)^n \supseteq \begin{pmatrix} 0 & \mathbb{Q} \\ 0 & 0 \end{pmatrix}$  and hence  $\bigcap_n J(R)^n$  is non-zero.

## §6. Köthe's conjecture

The following problem is maybe the most important open problem in non-commutative ring theory.

prob:Koethe

**Open problem 6.1 (Köthe).** Let  $R$  be a ring. Is the sum of two arbitrary nil left ideals of  $R$  nil?

Open problem 6.1 is the well-known Köthe's conjecture. The conjecture was first formulated in 1930, see [7]. It is known to be true in several cases. In full generality, the problem is still open. In [8] Krempa proved that the following statements are equivalent:

- 1) Köthe's conjecture is true.
- 2) If  $R$  is a nil ring, then  $R[X]$  is a radical ring.
- 3) If  $R$  is a nil ring, then  $M_2(R)$  is a nil ring.
- 4) Let  $n \geq 2$ . If  $R$  is a nil ring, then  $M_n(R)$  is a nil ring.

In 1956 Amitsur formulated the following conjecture, see for example [1]: If  $R$  is a nil ring, then  $R[X]$  is a nil ring. In [11] Smoktunowicz found a counterexample to Amitsur's conjecture. This counterexample suggests that Köthe's conjecture might be false. A simplification of Smoktunowicz's example appears in [9]. See [12, 13] for more information on Köthe's conjecture and related topics.

## Lecture 6

### §7. Artinian modules

**Definition 7.1.** Let  $R$  be a ring. A module  $N$  is **artinian** if every decreasing sequence  $N_1 \supseteq N_2 \supseteq \cdots$  of submodules of  $N$  stabilizes, that is there exists  $n \in \mathbb{Z}_{>0}$  such that  $N_n = N_{n+k}$  for all  $k \in \mathbb{Z}_{>0}$ .

Let  $X$  be a set and  $\mathcal{S}$  be a set of subsets of  $X$ . We say that  $A \in \mathcal{S}$  is a **minimal element** of  $\mathcal{S}$  if there is no  $Y \in \mathcal{S}$  such that  $Y \subsetneq A$ .

pro:artinian\_minimal

**Proposition 7.2.** A module  $N$  is artinian if and only if every non-empty subset of submodules of  $N$  contains a minimal element.

*Proof.* Assume that  $N$  is artinian. Let  $\mathcal{S}$  be the non-empty set of submodules of  $N$ . Suppose that  $\mathcal{S}$  has no minimal element and let  $N_1 \in \mathcal{S}$ . Since  $N_1$  is not minimal, there exists  $N_2 \in \mathcal{S}$  such that  $N_1 \supsetneq N_2$ . Now assume the submodules

$$N_1 \supsetneq N_2 \supsetneq \cdots \supsetneq N_k$$

we chosen. Since  $N_k$  is not minimal, there exists  $N_{k+1}$  such that  $N_k \supsetneq N_{k+1}$ . This procedure produces a sequence  $N_1 \supsetneq N_2 \supsetneq \cdots$  that cannot stabilize, a contradiction.

If  $N_1 \supseteq N_2 \supseteq \cdots$  is a sequence of submodules, then  $\mathcal{S} = \{N_j : j \geq 1\}$  has a minimal element, say  $N_n$ . Then  $N_n = N_{n+k}$  for all  $k$ .  $\square$

**Exercise 7.3.** Prove that a ring  $R$  is left artinian if every sequence of left ideals  $I_1 \supseteq I_2 \supseteq \cdots$  stabilizes.

A module  $N$  is **noetherian** if for every sequence  $N_1 \subseteq N_2 \subseteq \cdots$  of submodules of  $N$  there exists  $n \in \mathbb{Z}_{>0}$  such that  $N_n = N_{n+k}$  for all  $k \in \mathbb{Z}_{>0}$ .

**Exercise 7.4.** Let  $M$  be a module. The following statements are equivalent:

- 1)  $M$  is noetherian.
- 2) Every submodule of  $M$  is finitely generated.

- 3) Every non-empty subset  $S$  of submodules of  $M$  contains a maximal element, that is an element  $X \in S$  such that there is no  $Z \in S$  such that  $X \subsetneq Z$ .

**Exercise 7.5.** Prove that a ring  $R$  is left noetherian if every sequence of left ideals  $I_1 \subseteq I_2 \subseteq \cdots$  stabilizes.

xca:AN\_exact

**Exercise 7.6.** Let

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

be an exact sequence of modules. Prove that  $B$  is noetherian (resp. artinian) if and only if  $A$  and  $C$  are noetherian (resp. artinian).

**Definition 7.7.** A ring  $R$  is **left artinian** if the module  ${}_R R$  is artinian.

Similarly one defines right artinian rings.

**Example 7.8.** The ring  $\mathbb{Z}$  is noetherian. It is not artinian, as the sequence

$$2\mathbb{Z} \supseteq 4\mathbb{Z} \supseteq 8\mathbb{Z} \supseteq \cdots$$

does not stabilize.

def:serie\_de\_composicion

**Definition 7.9.** A **composition series** of the module  $M$  is a sequence

$$\{0\} = M_0 \subsetneq M_1 \subsetneq M_2 \subsetneq \cdots \subsetneq M_n = M$$

of submodules of  $M$  such that each  $M_i/M_{i-1}$  is non-zero and has no non-zero proper submodules. In this case  $n$  is the length of the composition series.

The previous definition makes sense also for non-unitary rings. That is why it is required that each quotient  $M_i/M_{i-1}$  has no proper submodules.

thm:serie\_de\_composicion

**Theorem 7.10.** A non-zero module admits a composition series if and only if it is artinian and noetherian.

*Proof.* Let  $M$  be a non-zero module and let  $\{0\} = M_0 \subsetneq M_1 \subsetneq \cdots \subsetneq M_n = M$  be a composition series for  $M$ . We claim that each  $M_i$  is artinian and noetherian. We proceed by induction on  $i$ . The case  $i = 0$  is trivial. Let us assume that  $M_i$  is artinian and noetherian. Since  $M_i/M_{i+1}$  has no proper submodules and the sequence

$$0 \longrightarrow M_i \longrightarrow M_{i+1} \longrightarrow M_{i+1}/M_i \longrightarrow 0$$

is exact, it follows that  $M_{i+1}$  is artinian and noetherian, see Exercise 7.6.

Conversely, let  $M$  be an artinian and noetherian module. Let  $M_0 = \{0\}$  and  $M_1$  be minimal among the submodules of  $M$  (it exists by Proposition 7.2). If  $M_1 \neq M$ , let  $M_2$  be minimal among those submodules of  $M$  such that  $M_1 \subsetneq M_2$ . This procedure produces a sequence

$$\{0\} = M_0 \subsetneq M_1 \subsetneq M_2 \subsetneq \cdots$$



of submodules of  $M$ , where each  $M_{i+1}/M_i$  is non-zero and admits no proper submodules. Since  $M$  is noetherian, the sequence stabilizes and hence it follows that  $M_n = M$  for some  $n$ .  $\square$

**Definition 7.11.** Let  $M$  be a module. We say that the composition series

$$M = V_0 \supseteq V_1 \supseteq \cdots \supseteq V_k = \{0\}, \quad M = W_0 \supseteq W_1 \supseteq \cdots \supseteq W_l = \{0\},$$

are **equivalent** if  $k = l$  and there exists  $\sigma \in \mathbb{S}_n$  such that  $V_i/V_{i-1} \simeq W_{\sigma(i)}/W_{\sigma(i)-1}$  for all  $i \in \{1, \dots, k\}$ .

thm:JordanHolder

**Theorem 7.12 (Jordan–Hölder).** Any two composition series for a module are equivalent.

*Proof.* Let  $M$  be a module and

$$M = V_0 \supseteq V_1 \supseteq \cdots \supseteq V_k = \{0\}, \quad M = W_0 \supseteq W_1 \supseteq \cdots \supseteq W_l = \{0\},$$

be composition series of  $M$ . We claim that these composition series are equivalent. We proceed by induction on  $k$ . The case  $k = 1$  is trivial, as in this case  $M$  has no proper submodules and  $M \supseteq \{0\}$  is the only possible composition series for  $M$ . So assume the result holds for modules with composition series of length  $< k$ . If  $V_1 = W_1$ , then  $V_1$  has composition series of lengths  $k - 1$  and  $l - 1$ . The inductive hypothesis implies that  $k = l$  and we are done. So assume that  $V_1 \neq W_1$ . Since  $V_1$  and  $W_1$  are submodules of  $M$ , the sum  $V_1 + W_1$  is also a submodule of  $M$ . Moreover,  $V/V_1$  has no non-zero proper submodules and hence  $V_1 + W_1 = V$ . Then

$$V/V_1 = \frac{V_1 + W_1}{V_1} \simeq \frac{V_1}{V_1 \cap W_1}.$$

Since  $V_1$  has a composition series,  $V_1$  is artinian and noetherian by Theorem 7.10. The submodule  $U = V_1 \cap W_1$  is also artinian and noetherian and hence, by Theorem 7.10, it admits a composition series

$$U = U_0 \supseteq U_1 \supseteq \cdots \supseteq U_r = \{0\}.$$

Thus  $V_1 \supseteq \cdots \supseteq V_k = \{0\}$  and  $V_1 \supseteq U \supseteq U_1 \supseteq \cdots \supseteq U_r = \{0\}$  are both composition series for  $V_1$ . The inductive hypothesis implies that  $k - 1 = r + 1$  and that these composition series are equivalent. Similarly,

$$W_1 \supseteq W_1 \supseteq \cdots \supseteq W_l = \{0\}, \quad W_1 \supseteq U \supseteq U_1 \supseteq \cdots \supseteq U_r = \{0\},$$

are both composition series for  $W_1$  and hence  $l - 1 = r + 1$  and these composition series are equivalent. Therefore  $l = k$  and the proof is completed.  $\square$

Jordan–Hölder’s theorem allows us to define the length of modules that admit a composition series.

**Definition 7.13.** Let  $M$  be a module with a composition series. The **length**  $\ell(M)$  of  $M$  is defined as the length of any composition series of  $M$ .

A module is said to be of finite length if it admits a composition series.

**Exercise 7.14.** If  $N$  and  $Q$  are modules with composition series and

$$0 \longrightarrow N \xrightarrow{f} M \xrightarrow{g} Q \longrightarrow 0$$

is an exact sequence of modules, then  $\ell(M) = \ell(N) + \ell(Q)$ .

**Exercise 7.15.** If  $A$  and  $B$  are finite-length submodules of  $M$ , then

$$\ell(A + B) + \ell(A \cap B) = \ell(A) + \ell(B).$$

thm:Jnilpotente

**Theorem 7.16.** If  $R$  is a left artinian ring, then  $J(R)$  is nilpotent.

*Proof.* Let  $J = J(R)$ . Since  $R$  is a left artinian ring, the sequence  $(J^m)_{m \in \mathbb{Z}_{>0}}$  of left ideals stabilizes. There exists  $k \in \mathbb{Z}_{>0}$  such that  $J^k = J^l$  for all  $l \geq k$ . We claim that  $J^k = \{0\}$ . If  $J^k \neq \{0\}$  let  $\mathcal{S}$  the set of left ideals  $I$  such that  $J^k I \neq \{0\}$ . Since

$$J^k J^k = J^{2k} = J^k \neq \{0\},$$

the set  $\mathcal{S}$  is non-empty. Since  $R$  is left artinian,  $\mathcal{S}$  has a minimal element  $I_0$ . Since  $J^k I_0 \neq \{0\}$ , let  $x \in I_0 \setminus \{0\}$  be such that  $J^k x \neq \{0\}$ . Moreover,  $J^k x$  is a left ideal of  $R$  contained in  $I_0$  and such that  $J^k x \in \mathcal{S}$ , as  $J^k(J^k x) = J^{2k} x = J^k x \neq \{0\}$ . The minimality of  $I_0$  implies that,  $J^k x = I_0$ . In particular, there exists  $r \in J^k \subseteq J(R)$  such that  $rx = x$ . Since  $-r \in J(R)$  is left quasi-regular, there exists  $s \in R$  such that  $s - r - sr = 0$ . Thus

$$x = rx = (s - sr)x = sx - s(rx) = sx - sx = 0,$$

a contradiction. □

**Corollary 7.17.** Let  $R$  be a left artinian ring. Each nil left ideal is nilpotent and  $J(R)$  is the unique maximal nilpotent ideal of  $R$ .

*Proof.* Let  $L$  be a nil left ideal of  $R$ . By Proposition 3.6,  $L$  is contained in  $J(R)$ . Thus  $L$  is nilpotent, as  $J(R)$  is nilpotent by Theorem 7.16. □

## Lecture 7

### §8. Semiprime and semiprimitive rings

**Definition 8.1.** A ring  $R$  is **semiprimitive** (or Jacobson semisimple) if  $J(R) = \{0\}$ .

In Lecture 3 we defined primitive rings as those rings that have a faithful simple module. We claim that primitive rings are semiprimitive. If  $R$  is primitive, then  $\{0\}$  is a primitive ideal. Since  $J(R)$  is the intersection of primitive ideals, it follows that  $J(R) = \{0\}$ .

**Example 8.2.** If  $R = \prod_{i \in I} R_i$  is a direct product of semiprimitive rings, then  $R$  is semiprimitive, as

$$J(R) = J\left(\prod_{i \in I} R_i\right) = J\left(\prod_{i \in I} J(R_i)\right) = \{0\}.$$

**Example 8.3.**  $\mathbb{Z}$  is semiprimitive, as  $J(\mathbb{Z}) = \cap_p \mathbb{Z}/p = \{0\}$ .

exa:C[a,b]

**Example 8.4.** Let  $R = C[a, b]$  be the ring of continuous maps  $f: [a, b] \rightarrow \mathbb{R}$ . In this case  $J(R)$  is the intersection of all maximal ideals of  $R$ . Note that each maximal ideal of  $R$  is of the form

$$U_c = \{f \in C[a, b] : f(c) = 0\}$$

for some  $c \in [a, b]$ . Thus  $J(R) = \cap_{a \leq c \leq b} U_c = \{0\}$ .

We proved in Theorem 3.27 (Lecture 4) that  $R/J(R)$  is semiprime.

**Definition 8.5.** Let  $\{R_i : i \in I\}$  be a collection of rings. A subring  $R$  of  $\prod_{i \in I} R_i$  is said to be a **subdirect product** of the collection if each  $\pi_j : R \rightarrow R_j, (r_i)_{i \in I} \mapsto r_j$ , is surjective.

thm:subdirecto

**Theorem 8.6.** Let  $R$  be a non-zero ring. Then  $R$  is semiprimitive if and only if  $R$  is isomorphic to a subdirect product of primitive rings.

*Proof.* Suppose first that  $R$  is semiprimitive and let  $\{P_i : i \in I\}$  be the collection of primitive ideals of  $R$ . Each  $R/P_j$  is primitive and  $\{0\} = J(R) = \bigcap_{i \in I} P_i$ . For  $j$  let  $\lambda_j : R \rightarrow R/P_j$  and  $\pi_j : \prod_{i \in I} R/P_i \rightarrow R/P_j$  be canonical maps. The ring homomorphism

$$\phi : R \rightarrow \prod_{i \in I} R/P_i, \quad r \mapsto \{\lambda_i(r) : i \in I\},$$

is injective and satisfies  $\pi_j \phi(R) = R/P_j$  for all  $j$ .

Assume now that  $R$  is isomorphic to a subdirect product of primitive rings  $R_j$  and let  $\varphi : R \rightarrow \prod_{i \in I} R_i$  be an injective homomorphism such that  $\pi_j(\varphi(R)) = R_j$  for all  $j$ . For  $j$  let  $P_j = \ker \pi_j \varphi$ . Since  $R/P_j \simeq R_j$ , each  $P_j$  is a primitive ideal. If  $x \in \bigcap_{i \in I} P_i$ , then  $\varphi(x) = 0$  and thus  $x = 0$ . Hence  $J(R) \subseteq \bigcap_{i \in I} P_i = 0$ .  $\square$

**Example 8.7.**  $\mathbb{Z}$  is isomorphic to a subdirect product of the fields  $\mathbb{Z}/p$ , where  $p$  runs over all prime numbers.

**Example 8.8.** The ring  $C[a, b]$  of Example 8.4 is isomorphic to a subdirect product of the fields  $C[a, b]/U_c \simeq \mathbb{R}$ .

**Definition 8.9.** A ring  $R$  **semiprime** if  $aRa = \{0\}$  implies  $a = 0$ .

**Proposition 8.10.** Let  $R$  be a ring. The following statements are equivalent:

- 1)  $R$  is semiprime.
- 2) If  $I$  is a left ideal such that  $I^2 = \{0\}$ , then  $I = \{0\}$ .
- 3) If  $I$  is an ideal such that  $I^2 = \{0\}$ , then  $I = \{0\}$ .
- 4)  $R$  does not contain non-zero nilpotent ideals.

*Proof.* We first prove that 1)  $\implies$  2). If  $I^2 = \{0\}$   $y \in I$ , then  $xRx \subseteq I^2 = \{0\}$  and thus  $x = 0$ . The implications 2)  $\implies$  3) and 4)  $\implies$  3) are both trivial. Let us prove that 3)  $\implies$  4). If  $I$  is a non-zero nilpotent ideal, let  $n \in \mathbb{Z}_{>0}$  be minimal such that  $I^n = \{0\}$ . Since  $(I^{n-1})^2 = \{0\}$ , it follows that  $I^{n-1} = \{0\}$ , a contradiction. Finally, we prove that 3)  $\implies$  1). Let  $a \in R$  be such that  $aRa = \{0\}$ . Then  $I = RaR$  is an ideal of  $R$  such that  $I^2 = \{0\}$ . Thus  $RaR = \{0\}$ . This means that  $Ra$  and  $aR$  are ideals such that  $(Ra)R = R(aR) = \{0\}$  (for example,  $R(aR) \subseteq RaR = \{0\} \subseteq aR$ ). Moreover, since  $(Ra)(Ra) = \{0\}$  and  $(aR)(aR) = \{0\}$ , it follows that  $aR = Ra = \{0\}$ . This implies that  $\mathbb{Z}a$  is an ideal of  $R$ , as  $R(\mathbb{Z}a) \subseteq \mathbb{Z}(Ra) = \{0\}$  and  $(\mathbb{Z}a)R \subseteq aR = \{0\}$ . Now  $(\mathbb{Z}a)(\mathbb{Z}a) \subseteq (\mathbb{Z}a)R = \{0\}$  and hence  $a = 0$ , as  $\mathbb{Z}a = \{0\}$ .  $\square$

Two consequences:

**Exercise 8.11.** A commutative ring is semiprime if and only if it does not contain non-zero nilpotent elements.

**Corollary 8.12.** The ring  $\mathbb{C}[G]$  is semiprime.

*Proof.* Since  $J(\mathbb{C}[G]) = \{0\}$  by Rickart's theorem and the Jacobson radical contains every nil ideal by Proposition 3.6, it follows that  $\mathbb{C}[G]$  does not contain non-trivial nil ideals. Thus  $\mathbb{C}[G]$  does not contain non-trivial nilpotent ideals and hence  $\mathbb{C}[G]$  is semiprime.  $\square$

**Exercise 8.13.** Prove that  $Z(\mathbb{C}[G])$  is semiprime.

**Exercise 8.14.** Let  $D$  be a division ring.

- 1)  $D[X]$  is semiprime.
- 2)  $D[[X]]$  is semiprime and it is not semiprimitive.

## §9. Jacobson's density theorem

At this point it is convenient to recall that modules over division rings are pretty much as vector spaces over fields. In fact, modules over division rings are usually called vector spaces over division rings.

**Definition 9.1.** Let  $D$  be a division ring and  $V$  be a vector space over  $D$ . A subring  $R \subseteq \text{End}_D(V)$  is a **dense ring of linear operators** of  $V$  (or simple, **dense** in  $V$ ) if for every  $n \in \mathbb{Z}_{>0}$ , every linearly independent set  $\{u_1, \dots, u_n\} \subseteq V$  and every (not necessarily linearly independent) subset  $\{v_1, \dots, v_n\} \subseteq V$  there exists  $f \in R$  such that  $f(u_j) = v_j$  for all  $j \in \{1, \dots, n\}$ .

pro:unique\_dense

**Proposition 9.2.** Let  $D$  be a division ring and  $V$  be a  $D$ -vector space. If  $\dim_D V < \infty$ , then  $\text{End}_D(V)$  is the only dense ring of  $V$ .

*Proof.* Let  $R$  be dense in  $V$  and let  $\{v_1, \dots, v_n\}$  be a basis of  $V$ . By definition,  $R \subseteq \text{End}_D(V)$ . If  $g \in \text{End}_D(V)$  then, since  $R$  is dense in  $V$ , there exists  $f \in R$  such that  $f(v_j) = g(v_j)$  for all  $j \in \{1, \dots, n\}$ . Hence  $g = f \in R$ .  $\square$

thm:density

**Theorem 9.3 (Jacobson).** A ring  $R$  is primitive if and only if it is isomorphic to a dense ring in a vector space over a division ring.

We shall need the following lemma.

lem:ideal\_denso

**Lemma 9.4.** Let  $D$  be a division ring and  $V$  be a  $D$ -vector space. If  $R$  is dense in  $V$  and  $I$  is a non-zero ideal of  $R$ , then  $I$  is dense in  $V$ .

*Proof.* Fix  $n \in \mathbb{Z}_{>0}$ . Let  $\{u_1, \dots, u_n\} \subseteq V$  be a linearly independent set and let  $\{v_1, \dots, v_n\} \subseteq V$ . We want to find  $\gamma \in I$  such that  $\gamma(u_i) = v_i$  for all  $i$ . Since  $I \neq \{0\}$ , there exists  $h \in I \setminus \{0\}$ . This means that  $h(u) = v \neq 0$  for some  $u \neq 0$ . Since  $R$  is dense in  $V$ , there exist  $g_1, \dots, g_n \in R$  such that

$$g_i(u_j) = \begin{cases} u & \text{if } i = j, \\ 0 & \text{otherwise.} \end{cases}$$

Further, since  $\{v\}$  is a linearly independent subset of  $V$ , there exist  $f_1, \dots, f_n \in R$  such that  $f_i(v) = v_i$  for all  $i$ . Thus  $\gamma = \sum_{i=1}^n f_i h g_i \in I$  is such that  $\gamma(u_j) = v_j$  for all  $j \in \{1, \dots, n\}$ .  $\square$

Now we are ready to prove Jacobson's density theorem.

*Proof of Theorem 9.3.* If  $R$  is isomorphic to a dense ring in  $V$ , where  $V$  is a  $D$ -vector space for some division ring  $D$ , then  $R$  is primitive, as  $V$  is a simple and faithful  $R$ -module. Why faithful? If  $f \in \text{Ann}_R(V)$ , then  $f = 0$  since  $f(v) = 0$  for all  $v \in V$ . Why simple? If  $W \subseteq V$  is a non-zero submodule, let  $v \in V$  and  $w \in W \setminus \{0\}$ . There exists  $f \in R$  such that  $v = f(w) \in W$ .

Now assume that  $R$  is primitive. Let  $V$  be a simple faithful module. Schur's lemma implies that  $D = \text{End}_R(V)$  is a division ring. Thus  $V$  is a  $D$ -vector space with

$$D \times V \rightarrow V, \quad (\delta, v) \mapsto \delta v = \delta(v),$$

For  $r \in R$  let

$$\gamma_r: V \rightarrow V, \quad v \mapsto rv.$$

A straightforward calculation shows that  $\gamma_r \in \text{End}_D(V)$  and that  $R \rightarrow \text{End}_D(V)$ ,  $r \mapsto \gamma_r$ , is a ring homomorphism. Since  $V$  is faithful,  $R \simeq \gamma(R) = \{\gamma_r : r \in R\}$ . In fact, if  $\gamma_r = \gamma_s$ , then  $rv = \gamma_r(v) = \gamma_s(v) = sv$  for all  $v \in V$  and hence  $r = s$ , as  $(r - s)v = 0$  for all  $v \in V$ .

*Claim.* If  $U$  is a finite-dimensional submodule of  $V$ , for each  $w \in V \setminus U$  there exists  $r \in R$  such that  $\gamma_r(U) = 0$  and  $\gamma_r(w) \neq 0$ .

Suppose the claim is not true. Let  $U$  be a counterexample of minimal dimension. Then  $\dim_D U \geq 1$ , as the claim holds for the zero submodule. Let  $U_0$  be a submodule of  $U$  such that  $\dim U_0 = \dim U - 1$  and let

$$L = \{l \in R : \gamma_l(U_0) = 0\}.$$

The minimality of the dimension of  $U$  shows that the claim is true for  $U_0$ , so any  $v \in V \setminus U_0$  is such that  $Lv = V$ . In fact, since there exists  $l \in L$  such that  $lv = \gamma_l(v) \neq 0$  and  $L$  is a left ideal of  $R$ , it follows that  $Lv \subseteq V$  is a submodule and the claim follows from the simplicity of  $V$ .

Let  $w \in V \setminus U$  be such that the claim is not true. Let  $u \in U \setminus U_0$ . The map

$$\delta: V \rightarrow V, \quad v \mapsto lw,$$

where  $v = lu \in Lu = V$  (that depends both on  $u$  and  $w$ ) is well-defined: if  $l_1, l_2 \in L$  are such that  $v = l_1u = l_2u$ , then  $(l_1 - l_2)u = 0$  and thus

$$0 = \delta(0) = \delta((l_1 - l_2)u) = (l_1 - l_2)w = l_1w - l_2w.$$

Further,  $\delta$  is a homomorphism of modules over  $R$ , as if  $l \in L$  is such that  $v = lu$ , then

$$\delta(rv) = \delta(r(lu)) = \delta((rl)u) = (rl)w = r(lw) = r\delta(v)$$

for all  $r \in R$ .

For every  $l \in L$ ,

$$l(\delta(u) - w) = l\delta(u) - lw = \delta(lu) - lw = 0.$$

Thus  $L(\delta(u) - w) = 0$ . This implies that  $\delta(u) - w \notin V \setminus U_0$ , that is  $\delta(u) - w \in U_0$ . Therefore

$$w = xu - (xu - w) \in Du + U_0 = U,$$

a contradiction.

Now the theorem follows from the claim. Let  $u_1, \dots, u_n \in V$  be linearly independent vectors and let  $v_1, \dots, v_n \in V$  arbitrary vectors. Fix  $i \in \{1, \dots, n\}$ . The previous claim with

$$U = \langle u_1, \dots, u_{i-1}, u_{i+1}, \dots, u_n \rangle$$

and  $w = u_i$  implies that there exists  $r_i \in R$  such that  $\gamma_{r_i}(u_j) = 0$  if  $j \neq i$  and  $\gamma_{r_i}(u_i) \neq 0$ . Since there exists  $s_i \in R$  such that  $\gamma_{s_i}\gamma_{r_i}(u_i) = v_i$ , it follows that  $r = \sum_{j=1}^n s_j r_j \in R$  is such that  $\gamma_r(u_i) = v_i$  for all  $i \in \{1, \dots, n\}$ .  $\square$

**Corollary 9.5.** *If  $R$  is a primitive ring, then either there exists a division ring  $D$  such that  $R \simeq \text{End}_D(V)$  for some finite-dimensional module  $V$  over  $D$  or for all  $m \in \mathbb{Z}_{>0}$  there exists a subring  $R_m$  of  $R$  and a surjective ring homomorphism  $R_m \rightarrow \text{End}_D(V_m)$  for some module  $V_m$  over  $D$  such that  $\dim_D V_m = m$ .*

*Proof.* The ring  $R$  admits a simple faithful module  $V$ . Furthermore, by Jacobson's density theorem we may assume that there exists a division ring  $D$  such that  $R$  is dense in a module  $V$  over  $D$ . Let  $\gamma: R \rightarrow \text{End}_D(V)$ ,  $r \mapsto \gamma_r$ , where  $\gamma_r(v) = rv$ . Since  $V$  is faithful,  $\gamma$  is injective. Thus  $R \simeq \gamma(R)$ .

If  $\dim_D V < \infty$ , the result follows from Proposition 9.2. Assume that  $\dim_D V = \infty$  and let  $\{u_1, u_2, \dots\}$  be a linearly independent set. For each  $m \in \mathbb{Z}_{>0}$  let  $V_m$  be the subspace generated by  $\{u_1, \dots, u_m\}$  and  $R_m = \{r \in R : rV_m \subseteq V_m\}$ . Then  $R_m$  is a subring of  $R$ . Since  $R$  is dense in  $V$ , the map

$$R_m \rightarrow \text{End}_D(V_m), \quad r \mapsto \gamma_r|_{V_m}$$

is a surjective ring homomorphism.  $\square$





## Lecture 8

### §10. Semisimple modules

In the first lectures we studied semisimple modules over finite-dimensional algebras. Let us now review the theory of semisimple modules over rings. A (finitely generated) module  $M$  (over a ring  $R$ ) is **semisimple** if it is isomorphic to a (finite) direct sum of simple modules.

**Definition 10.1.** Let  $R$  be a ring. A left ideal  $L$  is said to be **minimal** if  $L \neq \{0\}$  and there is no left ideal  $L_1$  such that  $\{0\} \subsetneq L_1 \subsetneq L$ .

The ring  $\mathbb{Z}$  contains no minimal left ideals. If  $I$  is a non-zero left ideal of  $\mathbb{Z}$ , then  $I = (n)$  for some  $n > 0$  and  $I = (n) \supsetneq (2n)$ .

**Proposition 10.2.** Let  $R$  be a left artinian ring. Then every non-zero left ideal contains a minimal left ideal.

*Proof.* Let  $X$  be the family of non-zero left ideals contained in  $I$ . Then  $X$  is non-empty, as  $I \in X$ . Then  $X$  contains a minimal element by Proposition 7.2.  $\square$

**Definition 10.3.** A ring  $R$  with identity is **semisimple** if it is a direct sum of (finitely many) minimal left ideals.

Why finitely many minimal left ideals? Suppose that  $R = \oplus_{i \in I} L_i$ , where  $\{L_i : i \in I\}$  is a collection of minimal left ideals of  $R$ . Since  $R$  is unitary,  $1 = \sum_{i \in I} e_i$  (finite sum) for some  $e_i \in L_i$ . This means that the set  $J = \{i \in I : e_i \neq 0\}$  is finite. Note that  $R = \oplus_{j \in J} L_j$ , as if  $x \in R$ , then

$$x = x1 = \sum_{j \in J} x e_j \in \bigoplus_{j \in J} L_j.$$

Note that  ${}_R R$  is finitely generated by  $\{1\}$ . Minimal left ideals of  $R$  are exactly the simple submodules of  ${}_R R$ . This means that the ring  $R$  is semisimple if and only if the module  ${}_R R$  is semisimple.

**Proposition 10.4.** *Let  $R$  be a semisimple ring. Then  $R$  is noetherian and artinian.*

*Proof.* Write  $R$  as a direct sum  $R = L_1 \oplus \cdots \oplus L_n$  of minimal left ideals. Since each  $L_j$  is a simple submodule of  ${}_R R$ , it follows that

$$L_1 \oplus \cdots \oplus L_n \supsetneq L_2 \oplus \cdots \oplus L_n \supsetneq \cdots \supsetneq L_n \supsetneq \{0\}$$

is a composition series for  ${}_R R$  with composition factors  $L_1, \dots, L_n$ . Since the module  ${}_R R$  admits a composition series, it is artinian and noetherian by Theorem 7.10. It follows from the definitions that  $R$  is left artinian and left noetherian.  $\square$

xca:semisimple

**Exercise 10.5.** If  $R$  is a semisimple ring, every  $R$ -module is semisimple.

xca:M\_n(D)\_semisimple

**Exercise 10.6.** Prove that if  $D$  is a division ring, then  $M_n(D)$  is semisimple.

To see a concrete example, note that  $M_2(\mathbb{R})$  is semisimple, as

$$M_2(\mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right\} = \left\{ \begin{pmatrix} a & 0 \\ b & 0 \end{pmatrix} \right\} \oplus \left\{ \begin{pmatrix} 0 & c \\ 0 & d \end{pmatrix} \right\} \simeq D \oplus D$$

and  $D$  is a minimal left ideal of  $M_2(\mathbb{R})$ .

thm:ssartin=j

**Theorem 10.7.** *Let  $R$  be a unitary ring. Then  $R$  is semisimple if and only if  $R$  is left artinian and  $J(R) = \{0\}$ .*

*Proof.* If  $R$  is semisimple, then  $R$  is left artinian by the previous proposition. Moreover, there are finitely many minimal left ideals  $L_1, \dots, L_k$  of  $R$  such that  $R \simeq L_1 \oplus \cdots \oplus L_k$ . We claim that for each  $i \in \{1, \dots, k\}$ , the ideal  $M_i = \sum_{j \neq i} L_j$  of  $R$  is maximal. For example, let us prove that  $M_1$  is maximal. If not, there exists an ideal  $I$  of  $R$  such that  $M_1 \subsetneq I$ . Let  $x \in I \setminus M_1$  and write

$$x = x_1 + x_2 + \cdots + x_k$$

for  $x_j \in L_j$ . Since  $x_2 + \cdots + x_k \in M_1 \subseteq I$ , it follows that  $x_1 \in I \cap L_1$ , a contradiction.

Conversely, if  $R$  is left artinian and  $J(R) = \{0\}$ , then  $R \simeq M_{n_1}(D_1) \times \cdots \times M_{n_k}(D_k)$  for division rings  $D_1, \dots, D_k$ , this is Artin–Wedderburn’s theorem. Since each  $M_{n_j}(D_j)$  is semisimple, it follows that  $R$  is semisimple.  $\square$

## §11. Hopkins–Levitski’s theorem

thm:Hopkins-Levitski

**Theorem 11.1 (Hopkins–Levitski).** *Let  $R$  be a unitary left artinian ring. Then  $R$  is left noetherian.*

*Proof.* Let  $J = J(R)$ . Since  $R$  is left artinian,  $J$  is a nilpotent ideal by Theorem 7.16. Let  $n$  be such that  $J^n = \{0\}$ . Now consider the sequence

$$R \supsetneq J \supsetneq J^2 \supsetneq \cdots \supsetneq J^{n-1} \supsetneq J^n = \{0\}.$$

Each  $J^i/J^{i+1}$  is a module over  $R$  annihilated by  $J$ , that is  $J \cdot (J^i/J^{i+1}) = \{0\}$ , as

$$x \cdot (y + J^{i+1}) = xy + J^{i+1} \subseteq JJ^i + J^{i+1} = J^{i+1}$$

if  $x \in J$  and  $y \in J^i$ . Thus each  $J^i/J^{i+1}$  is a module over  $R/J$ . Since  $R/J$  is left artinian and  $J(R/J) = \{0\}$  by Theorem 3.27, it follows that  $R/J$  is semisimple. In particular, since every  $R/J$ -module is semisimple, each  $J^i/J^{i+1}$  is semisimple and hence it is left noetherian.

Now suppose that  $R$  is not left noetherian. Let  $m$  be the largest non-negative integer such that  $J^m$  is not left noetherian. Note that  $0 \leq m < n$ . The sequence

$$0 \longrightarrow J^{m+1} \longrightarrow J^m \longrightarrow J^m/J^{m+1} \longrightarrow 0$$

is exact. Since  $J^{m+1}$  is left noetherian by the definition of  $m$  and  $J^m/J^{m+1}$  is left noetherian, it follows that  $J^m$  is noetherian, a contradiction.  $\square$



## Lecture 9

### §12. Rickart's theorem

Let  $K$  be a field and  $G$  be a group. The **group algebra**  $K[G]$  is the vector space (over  $K$ ) with basis  $\{g : g \in G\}$  and the algebra structure given by the multiplication

$$\left( \sum_{g \in G} \lambda_g g \right) \left( \sum_{h \in G} \mu_h h \right) = \sum_{g, h \in G} \lambda_g \mu_h (gh).$$

Note that every element of  $K[G]$  is a finite sum of the form  $\sum_{g \in G} \lambda_g g$ .

`xc:K[G]notsimple`

**Exercise 12.1.** If  $G$  is non-trivial, then  $K[G]$  is not simple.

**Exercise 12.2.** Let  $G = C_n$  be the (multiplicative) cyclic group of order  $n$ . Prove that  $K[G] \simeq K[X]/(X^n - 1)$ .

**Exercise 12.3.** Let  $G$  be a finitely-generated torsion-free abelian group. Prove that  $K[G]$  is a domain.

**Exercise 12.4.** Let  $G$  be a group and  $H$  be a subgroup of  $G$ . Let  $\alpha \in K[H]$ . Prove that  $\alpha$  is invertible (resp. left zero divisor) in  $K[H]$  if and only if  $\alpha$  is invertible (resp. left zero divisor) in  $K[G]$ .

**Exercise 12.5.** Let  $G$  be a group and  $\alpha = \sum_{g \in G} \lambda_g g \in K[G]$ . The **support** of  $\alpha$  is the set

$$\text{supp } \alpha = \{g \in G : \lambda_g \neq 0\}.$$

Prove that if  $g \in G$ , then  $\text{supp}(g\alpha) = g(\text{supp } \alpha)$  and  $\text{supp}(\alpha g) = (\text{supp } \alpha)g$ .

**Exercise 12.6.** Let  $G = C_2 = \langle g \rangle \simeq \mathbb{Z}/2$  the (multiplicative) group with two elements. Note that every element of  $K[G]$  is of the form  $a1 + bg$  for some  $a, b \in K$ . Prove the following statements:

1) If the characteristic of  $K$  is different from two, then

$$K[G] \rightarrow K \times K, \quad a1 + bg \mapsto (a+b, a-b),$$

is an algebra isomorphism.

2) If the characteristic of  $K$  is two, then

$$K[G] \rightarrow \begin{pmatrix} K & K \\ 0 & K \end{pmatrix}, \quad a1 + bg \mapsto \begin{pmatrix} a+b & b \\ 0 & a+b \end{pmatrix},$$

is an algebra isomorphism.

If  $A$  is an algebra over  $K$  and  $\rho: G \rightarrow \mathcal{U}(A)$  is a group homomorphism, where  $\mathcal{U}(A)$  is the group of units of  $A$ , then the map

$$K[G] \rightarrow A, \quad \sum_{g \in G} \lambda_g g \mapsto \sum_{g \in G} \lambda_g \rho(g),$$

is an algebra homomorphism.

**Exercise 12.7.** Let  $G = C_3$  be the (multiplicative) group of three elements. Prove that  $\mathbb{R}[G] \simeq \mathbb{R} \times \mathbb{C}$ .

**Exercise 12.8.** Let  $G = \langle r, s : r^3 = s^2 = 1, srs = r^{-1} \rangle$  be the dihedral group of six elements. Prove the following statements:

- 1)  $\mathbb{C}[G] \simeq \mathbb{C} \times \mathbb{C} \times M_2(\mathbb{C})$ .
- 2)  $\mathbb{Q}[G] \simeq \mathbb{Q} \times \mathbb{Q} \times M_2(\mathbb{Q})$ .

We now consider the following problem. It is known as Jacobson's semisimplicity problem.

s semisimplicity problem

**Open problem 12.9.** Let  $G$  be a group and  $K$  be a field. When  $J(K[G]) = \{0\}$ ?

As an application of Amitsur's theorem we prove that complex group algebras have null Jacobson radical. This is known as Rickart's theorem. The original proof found by Rickart uses complex analysis. Here, however, we present an algebraic proof.

thm:Rickart

**Theorem 12.10 (Rickart).** *Let  $G$  be a group. Then  $J(\mathbb{C}[G]) = \{0\}$ .*

To prove the theorem we need a lemma.

**Lemma 12.11.** *Let  $G$  be a group. Then  $J(\mathbb{C}[G])$  is nil.*

*Proof.* We need to show that every element of  $J(\mathbb{C}[G])$  is nilpotent. If  $G$  is countable, then the result follows from Amitsur's theorem. So assume that  $G$  is not countable. Let  $\alpha \in J(\mathbb{C}[G])$ , say

$$\alpha = \sum_{i=1}^n \lambda_i g_i,$$

where  $\lambda_1, \dots, \lambda_n \in \mathbb{C}$  and  $g_1, \dots, g_n \in G$ . Let  $H = \langle g_1, \dots, g_n \rangle$ . Then  $\alpha \in \mathbb{C}[H]$  and  $H$  is countable. We claim that  $\alpha \in J(\mathbb{C}[H])$ . Decompose  $G$  as a disjoint union

$$G = \bigcup_{\lambda} x_{\lambda} H$$

of cosets of  $H$  in  $G$ . Then  $\mathbb{C}[G] = \bigoplus_{\lambda} x_{\lambda} \mathbb{C}[H]$  and hence  $\mathbb{C}[G] = \mathbb{C}[H] \oplus K$  for some right module  $K$  over  $\mathbb{C}[H]$  (this follows from the fact that one of the cosets is that of  $H$ ). Since  $\alpha \in J(\mathbb{C}[G])$ , for each  $\beta \in \mathbb{C}[H]$  there exists  $\gamma \in \mathbb{C}[G]$  such that  $\gamma(1 - \beta\alpha) = 1$ . Write  $\gamma = \gamma_1 + \kappa$  for  $\gamma_1 \in \mathbb{C}[H]$  and  $\kappa \in K$ . Then

$$1 = \gamma(1 - \beta\alpha) = \gamma_1(1 - \beta\alpha) + \kappa(1 - \beta\alpha)$$

and hence  $\kappa(1 - \beta\alpha) \in K \cap \mathbb{C}[H] = \{0\}$ , as  $\beta \in \mathbb{C}[H]$ . Since  $1 = \gamma_1(1 - \beta\alpha)$ , it follows that  $\alpha \in J(\mathbb{C}[H])$  and the lemma follows from Amitsur's theorem.  $\square$

We now prove the theorem.

*Proof of Theorem 12.10.* For  $\alpha = \sum_{i=1}^n \lambda_i g_i \in \mathbb{C}[G]$  let

$$\alpha^* = \sum_{i=1}^n \overline{\lambda_i} g_i^{-1}.$$

Then  $\alpha\alpha^* = 0$  if and only if  $\alpha = 0$  and, moreover,  $(\alpha\beta)^* = \beta^*\alpha^*$  for all  $\beta \in \mathbb{C}[G]$ . Assume that  $J(\mathbb{C}[G]) \neq \{0\}$  and let  $\alpha \in J(\mathbb{C}[G]) \setminus \{0\}$ . Then  $\beta = \alpha\alpha^* \in J(\mathbb{C}[G])$ , as  $J(\mathbb{C}[G])$  is an ideal of  $\mathbb{C}[G]$ . Moreover, the previous lemma implies that  $\beta$  is nilpotent. Note that  $\beta \neq 0$ , as  $\alpha \neq 0$ . Now

$$(\beta^m)^* = (\beta^*)^m = \beta^m$$

for all  $m \geq 1$ . If there exists  $k \geq 2$  such that  $\beta^k = 0$  and  $\beta^{k-1} \neq 0$ , then

$$\beta^{k-1} (\beta^{k-1})^* = \beta^{2k-2} = 0$$

and hence  $\beta^{k-1} = 0$ , a contradiction. Thus  $\beta = 0$  and therefore  $\alpha = 0$ .  $\square$

**Exercise 12.12.** If  $G$  is a group, then  $J(\mathbb{R}[G]) = 0$ .

We now characterize when complex group algebras are left artinian. For that purpose we need a lemma. This is similar to one of the implications proved in Proposition 1.22. However, in the arbitrary setting we are considering, we need to use Zorn's lemma.

**Lemma 12.13.** *Let  $M$  be a semisimple module and  $N$  be a submodule. Then  $N$  is a direct summand.*

*Sketch of the proof.* Let  $M = \bigoplus_{i \in I} M_i$  be a direct sum of simple modules and let  $i \in I$ . Since  $N \cap M_i$  is a submodule of  $M_i$  and  $M_i$  is simple, it follows that  $N \cap M_i = \{0\}$  or

$N \cap M_i = M_i$ . If  $N \cap M_i = M_i$  for all  $i \in I$ , then  $N = M$  and the lemma is proved. So we may assume that there exists  $i \in I$  such that  $N \cap M_i = \{0\}$ . Let  $X$  be the set of subsets  $J$  of  $I$  such that  $N \cap (\oplus_{j \in J} M_j) = \{0\}$ . Our assumptions imply that  $X$  is non-empty. Zorn's lemma implies the existence of a maximal element  $K$ . Let  $N_1 = \oplus_{k \in K} M_k$ . We claim that  $N \oplus N_1 = M$ . If not, there exists  $i \in I$  such that  $M_i \not\subseteq N \oplus N_1$ . The simplicity of  $M_i$  implies that  $M_i \cap (N \oplus N_1) = \{0\}$ , which contradicts the maximality of  $K$ .  $\square$

A direct application of the lemma proves that complex group algebras of infinite groups are never semisimple.

pro:KGsemisimple

**Proposition 12.14.** *If  $G$  is an infinite group, then  $\mathbb{C}[G]$  is not semisimple.*

*Proof.* Assume that  $R = \mathbb{C}[G]$  is semisimple. Let  $I$  be the augmentation ideal of  $R$ , that is

$$I = \left\{ \alpha = \sum_{g \in G} \lambda_g g \in R : \sum_{g \in G} \lambda_g = 0 \right\}.$$

By the previous lemma, there exists a non-zero ideal  $J$  such that  $R = I \oplus J$ . Since  $R$  is unitary, there exist  $e \in I$  and  $f \in J$  such that  $1 = e + f$ . If  $x \in I$ , then  $x = xe + xf$  and hence  $xf = x - xe \in I \cap J = \{0\}$ . Since  $x = xe$  for all  $x \in I$ , it follows that  $e = e^2$ . Similarly one proves that  $f^2 = f$ . Moreover,  $ef = 0$ , as  $ef \in I \cap J = \{0\}$ . Since  $I$  is the augmentation ideal of  $R$  and  $If = (Re)f = R(ef) = \{0\}$ , we conclude that  $(g - 1)f = 0$  for all  $g \in G$ , as  $g - 1 \in I$ . If  $f = \sum_{h \in G} \lambda_h h$  (finite sum), then

$$f = gf = \sum_{h \in G} \lambda_h (gh) = \sum_{h \in G} \lambda_{g^{-1}h} h.$$

Thus  $\lambda_h = \lambda_{g^{-1}h}$  for all  $g, h \in G$ . Since  $G$  is infinite, some  $\lambda_g = 0$  and hence  $f = 0$ . Thus  $e = 1$  and  $I = \mathbb{C}[G]$ , a contradiction.  $\square$

**Theorem 12.15.** *Let  $G$  be a group. Then  $\mathbb{C}[G]$  is left artinian if and only if  $G$  is finite.*

*Proof.* If  $G$  is finite, then  $\mathbb{C}[G]$  is left artinian because  $\dim \mathbb{C}[G] = |G| < \infty$ . So assume that  $G$  is infinite. By Rickart's theorem,  $J(\mathbb{C}[G]) = 0$ . Moreover,  $\mathbb{C}[G]$  is not semisimple by the previous proposition. Thus  $\mathbb{C}[G]$  is not left artinian by Theorem 10.7.  $\square$

### §13. Maschke's theorem

We now present another instance of the Jacobson semisimplicity problem. In this case, our result is for finite groups.

**Theorem 13.1 (Maschke).** *Let  $G$  be a finite group. Then  $J(K[G]) = 0$  if and only if the characteristic of  $K$  is zero or does not divide the order of  $G$ .*



*Proof.* Assume that  $G = \{g_1, \dots, g_n\}$ , where  $g_1 = 1$ . Let

$$\rho: K[G] \rightarrow K, \quad \alpha \mapsto \text{trace}(L_\alpha),$$

where  $L_\alpha(\beta) = \alpha\beta$ . Then

$$\rho(g_i) = \begin{cases} n & \text{if } i = 1, \\ 0 & \text{if } 2 \leq i \leq n, \end{cases}$$

as  $L_{g_i}(g_j) = g_i g_j \neq g_j$ , the matrix of  $L_{g_i}$  in the basis  $\{g_1, \dots, g_n\}$  contains zeros in the main diagonal.

Assume that  $J = J(K[G])$  is non-zero and let  $\alpha = \sum_{i=1}^n \lambda_i g_i \in J \setminus \{0\}$ . Without loss of generality we may assume that  $\lambda_1 \neq 0$  (if  $\lambda_1 = 0$  there exists some  $\lambda_i \neq 0$  and we need to take  $g_i^{-1}\alpha \in J$ ). Then

$$\rho(\alpha) = \sum_{i=1}^n \lambda_i \rho(g_i) = n\lambda_1.$$

Since  $G$  is finite,  $K[G]$  is a finite-dimensional algebra and hence  $K[G]$  is left artinian. Since  $J$  is a nilpotent ideal, in particular,  $\alpha$  is a nilpotent element. Then  $L_\alpha$  is nilpotent and hence  $0 = \rho(\alpha) = n\lambda_1$ . This implies that the characteristic of the field  $K$  divides  $n$ .

Conversely, let  $K$  be a field of prime characteristic and that this prime divides  $n$ . Let  $\alpha = \sum_{i=1}^n g_i$ . Since  $\alpha g_j = g_j \alpha = \alpha$  for all  $j \in \{1, \dots, n\}$ , the set  $I = K[G]\alpha$  is an ideal of  $K[G]$ . Since, moreover,

$$\alpha^2 = \sum_{i=1}^n g_i \alpha = n\alpha = 0$$

in the field  $K$ , it follows that  $I$  is a nilpotent non-zero ideal. Thus  $J(K[G]) \neq \{0\}$ , as Proposition 3.6 yields  $I \subseteq J(K[G])$ .  $\square$

Since the Jacobson radical of a group algebra of a finite group contains every nil left ideal, the following consequence of the theorem follows immediately:

cor:GfinitoNOnil

**Corollary 13.2.** *Let  $G$  be a finite group. Then  $K[G]$  does not contain non-zero nil left ideals.*



## Lecture 10

### §14. Prime rings

In commutative algebra domains play a fundamental role. In non-commutative algebra certain things could be quite different. For example, the ring  $M_n(\mathbb{C})$  is not a domain. We need a non-commutative generalization of domains.

**Definition 14.1.** Let  $R$  be a ring (not necessarily with one). Then  $R$  is **prime** if for  $x, y \in R$  such that  $xRy = \{0\}$  it follows that  $x = 0$  or  $y = 0$ .

**Example 14.2.** A ring  $R$  is a **domain** if  $xy = 0$  implies  $x = 0$  or  $y = 0$ . Each domain is trivially a prime ring.

**Example 14.3.** A commutative ring is prime if and only if it is a domain, as  $ab = 0$  if and only if  $aRb = \{0\}$ .

**Example 14.4.** A non-zero ideal of a prime ring is a prime ring.

A characterization of prime rings:

**Proposition 14.5.** Let  $R$  be a ring. The following statements are equivalent:

- 1)  $R$  is prime.
- 2) If  $I$  and  $J$  are left ideals such that  $IJ = \{0\}$ , then  $I = \{0\}$  or  $J = \{0\}$ .
- 3) If  $I$  and  $J$  are ideals such that  $IJ = \{0\}$ , then  $I = \{0\}$  or  $J = \{0\}$ .

*Proof.* We first prove that 1)  $\implies$  2). Let  $I$  and  $J$  be left ideals such that  $IJ = \{0\}$ . Then  $IRJ = I(RJ) \subseteq IJ = \{0\}$ . If  $J \neq \{0\}$ ,  $u \in I$  and  $v \in J \setminus \{0\}$ , then  $uRv \in IRJ = \{0\}$ . Hence  $u = 0$ .

The implication 2)  $\implies$  3) is trivial.

Let us prove that 3)  $\implies$  1). Let  $x, y \in R$  be such that  $xRy = \{0\}$ . Let  $I = RxR$  and  $J = RyR$ . Since  $IJ = (RxR)(RyR) = R(xRy)R = \{0\}$ , we may assume that  $I = \{0\}$ . In particular,  $Rx$  and  $xR$  are ideals, as  $R(xR) = (Rx)R = \{0\}$ . Then  $\mathbb{Z}x$  is an ideal of  $R$  such that  $(\mathbb{Z}x)R = \{0\}$ . Thus  $x = 0$ .  $\square$

Simple rings are trivially prime. The converse is not true:

**Example 14.6.**  $\mathbb{Z}$  is a domain, so it is a prime ring. Clearly, it is not simple.

**Example 14.7.** If  $R_1$  and  $R_2$  are rings,  $R = R_1 \times R_2$  is not prime, as  $I = R_1 \times \{0\}$  and  $J = \{0\} \times R_2$  are non-zero ideals such that  $IJ = \{0\}$ .

lem:primoizqmin=>prim

**Lemma 14.8.** Let  $R$  be a prime ring and  $L$  be a minimal left ideal of  $R$ . Then  $R$  is primitive.

*Proof.* Since  $L$  is a minimal left ideal, it is simple as a module over  $R$ . We claim that  $L$  is faithful. Let  $y \in L \setminus \{0\}$  and  $x \in \text{Ann}_R(L)$ . Since  $xRy \in xRL \subseteq xL = \{0\}$ , it follows that  $x = 0$ .  $\square$

lem:denso\_artiniano

**Lemma 14.9.** Let  $D$  be a division ring and  $R$  be a dense ring in a module  $V$  over  $D$ . If  $R$  is left artinian, then  $\dim_D V < \infty$ .

*Proof.* Assume that  $\dim_D V = \infty$  and let  $\{u_1, u_2, \dots\}$  be linearly independent. Since  $R \subseteq \text{End}_D(V)$ , it follows that  $V$  is a module over  $R$  with  $f \cdot v = f(v)$ , where  $f \in R$  and  $v \in V$ . For  $n \in \mathbb{Z}_{>0}$  let

$$I_n = \text{Ann}_R(\{u_1, \dots, u_n\}).$$

Each  $I_j$  is a left ideal of  $R$  and  $I_1 \supseteq I_2 \supseteq \dots \supseteq I_n \supseteq \dots$ . Let  $n \in \mathbb{Z}_{>0}$  and  $v \in V \setminus \{0\}$ . Since  $R$  is dense in  $V$ , there exists  $f \in R$  such that  $f(u_j) = 0$  for all  $j \in \{1, \dots, n\}$  and  $f(u_{n+1}) = v \neq 0$ . Thus  $I_1 \supsetneq I_2 \supsetneq \dots \supsetneq I_n \supsetneq \dots$ , a contradiction.  $\square$

**Theorem 14.10 (Wedderburn).** Let  $R$  be a left artinian ring. The following statements are equivalent:

- 1)  $R$  is simple.
- 2)  $R$  is prime.
- 3)  $R$  is primitive.
- 4)  $R \simeq M_n(D)$  for some  $n$  and some division ring  $D$ .

*Proof.* The implication 1)  $\implies$  2) is trivial.

To show that 2)  $\implies$  3) first note that  $R$  contains a minimal left ideal, as  $R$  is left artinian. By Lemma 14.8,  $R$  is primitive.

Now we prove that 3)  $\implies$  4). If  $R$  is primitive, Jacobson's density theorem implies that there exists a division ring  $D$  such that  $R$  is isomorphic to a ring  $S$  that is dense in a vector space  $V$  over  $D$ . Since  $R$  is left artinian, Lemma 14.9 implies that  $R = \text{End}_D(V) \simeq M_n(D)$ , as  $\dim_D V < \infty$ .

Finally, 4)  $\implies$  1) is trivial, as  $M_n(D)$  is simple.  $\square$

We now prove Artin–Wedderburn's theorem. We will assume that our ring is a unitary left artinian ring. One could prove Artin–Wedderburn's theorem for arbitrary rings –see for example [5]– but when dealing with unitary rings the proof is simpler. We will prove that left artinian semiprimitive unitary rings are isomorphic to a direct product of finitely many matrix rings. The idea of the proof goes as follows. We

know that if  $R$  is semiprimitive, then  $R$  is a subdirect product of primitive rings, that is there exists an injective map

$$R \rightarrow \prod_{i \in I} R/I_i$$

where each  $I_i$  is a primitive ideal. Since  $R$  is left artinian, the set  $I$  will be a finite set. Moreover, by Wedderburn's theorem,  $R/I_i \simeq M_{n_i}(D_i)$  for some division ring  $D_i$ . Finally, a sort of non-commutative version of the Chinese remainder theorem is used to prove that the map is fact surjective.

**Definition 14.11.** An ideal  $I$  of  $R$  is prime if  $xRy \subseteq I$  implies  $x \in I$  or  $y \in I$ .

Note that a ring  $R$  is prime if and only if  $\{0\}$  is a prime ideal. Moreover, an ideal  $I$  of  $R$  is prime if and only if the ring  $R/I$  is prime.

**Lemma 14.12.** *If  $R$  is left artinian and  $I$  is a primitive ideal, then  $I$  is prime.*

*Proof.* Since  $I$  is primitive, then  $R/I$  is primitive. By Wedderburn theorem,  $R/I$  is prime and hence  $I$  is prime.  $\square$

ArtinWedderburn\_version2

**Theorem 14.13 (Artin–Wedderburn).** *Let  $R$  be a semiprimitive left artinian unitary ring. Then  $R \simeq \prod_{i=1}^k M_{n_i}(D_i)$  for finitely many division rings  $D_1, \dots, D_k$ .*

We shall need the following lemmas.

lem:primitive=>maximal

**Lemma 14.14.** *Let  $R$  be a left artinian ring and  $I$  be a primitive ideal. Then  $I$  is maximal.*

*Proof.* If  $I$  is a primitive ideal of  $R$ , then  $R/I$  is a primitive ring by Lemma 2.28. By Wedderburn's theorem,  $R/I$  is simple. Thus  $I$  is maximal by Proposition 2.17.  $\square$

**Lemma 14.15.** *Let  $I_1, \dots, I_k$  be finitely many distinct maximal ideals of  $R$ . Then  $I_2 \cdots I_k \not\subseteq I_1$ .*

*Proof.* Suppose the result is not true and let  $k$  be minimal such that  $I_2 \cdots I_k \subseteq I_1$ . Since the result is clearly true for two distinct maximal ideals,  $k \geq 3$ . Let  $I = I_2 \cdots I_{k-1}$ . Since  $I \not\subseteq I_1$ , there exists  $x \in I \setminus I_1$ . Moreover, there exists  $y \in I_k \setminus I_1$ , as  $I_k \neq I_1$ . Then  $(xR)y \subseteq II_k \subseteq I_1$ . Since  $I_1$  is prime, it follows that either  $x \in I_1$  or  $y \in I_1$ , a contradiction.  $\square$

**Lemma 14.16.** *Let  $R$  be a left artinian ring. Then  $R$  has only finitely many primitive ideals.*

*Proof.* If  $I_1, I_2, \dots$  are infinitely many primitive ideals. Since  $R$  is left artinian, the sequence  $I_1 \supseteq I_1 I_2 \supseteq \cdots$  stabilizes, so there exists  $n$  such that

$$I_1 I_2 \cdots I_n = I_1 I_2 \cdots I_n I_{n+1} \subseteq I_{n+1},$$

a contradiction to the previous lemma, as each  $I_j$  is a maximal ideal.  $\square$

Now we are ready to prove the theorem.

*Proof of Theorem 14.13.* Let  $I_1, \dots, I_k$  be the (distinct) primitive ideals of  $R$ . We know that each  $I_i$  is a maximal ideal. Thus  $I_i + I_j = R$  for  $i \neq j$ . Since  $R$  is semiprimitive,  $I_1 \cap \dots \cap I_k = J(R) = \{0\}$ . Let

$$\varphi: R \rightarrow \prod_{i=1}^k R/I_i, \quad x \mapsto (x + I_1, \dots, x + I_k).$$

Then  $\varphi$  is a ring homomorphism with kernel  $I_1 \cap \dots \cap I_k = \{0\}$ , so  $\varphi$  is injective. We need to prove that  $\varphi$  is surjective.

We first claim that  $I_1 + (I_2 \cdots I_k) = R$ . In fact, since  $I_1, \dots, I_k$  are maximal ideals,  $I_2 \cdots I_k \not\subseteq I_1$ . This implies that  $I_1 + (I_2 \cdots I_k)$  is an ideal of  $R$  that contains  $I_1$ . Since  $I_1$  is maximal,  $I_1 + (I_2 \cdots I_k) = R$ .

Since  $I_1 + (I_2 \cdots I_k) = R$ , there exists  $x_1 \in \prod_{j=2}^k I_j$  such that  $1 \in x_1 + I_1$ . Note that  $x_1 = (1 + I_1) \cap (I_2 \cdots I_k) \subseteq I_j$  for all  $j \in \{2, \dots, k\}$ . Thus

$$\varphi(x_1) = (x_1 + I_1, I_2, \dots, I_k) = (1 + I_1, I_2, \dots, I_k).$$

Similarly, there exists  $x_2 \in 1 + I_2, \dots, x_k \in 1 + I_k$  such that

$$\begin{aligned} \varphi(x_2) &= (I_1, 1 + I_2, \dots, I_k), \\ &\vdots \\ \varphi(x_k) &= (I_1, I_2, \dots, 1 + I_k). \end{aligned}$$

From this it follows that  $\varphi$  is surjective. Each  $R/I_i$  is primitive and hence isomorphic to  $M_{n_i}(D_i)$  for some  $n_i$  and some division ring  $D_i$ . Therefore

$$R \simeq R/I_1 \times \dots \times R/I_k \simeq \prod_{i=1}^k M_{n_i}(D_i). \quad \square$$

## §15. Wedderburn's little theorem

**Definition 15.1.** The  $n$ -th cyclotomic polynomial is defined as the polynomial

$$\Phi_n(X) = \prod (X - \zeta), \quad (10.1) \quad \boxed{\text{eq:ciclotomico}}$$

where the product is taken over all  $n$ -th primitive roots of one.

Some examples:

$$\begin{aligned}\Phi_2 &= X - 1, \\ \Phi_3 &= X^2 + X + 1, \\ \Phi_4 &= X^2 + 1, \\ \Phi_5 &= X^4 + X^3 + X^2 + X + 1, \\ \Phi_6 &= X^2 - X + 1, \\ \Phi_7 &= X^6 + X^5 + \cdots + X + 1.\end{aligned}$$

**Lemma 15.2.** *If  $n \in \mathbb{Z}_{>0}$ , then*

$$X^n - 1 = \prod_{d|n} \Phi_d(X).$$

*Proof.* Write

$$X^n - 1 = \prod_{j=1}^n (X - e^{2\pi i j/n}) = \prod_{d|n} \prod_{\substack{1 \leq j \leq n \\ \gcd(j,n)=d}} (X - e^{2\pi i j/n}) = \prod_{d|n} \Phi_d(X). \quad \square$$

**Lemma 15.3.** *If  $n \in \mathbb{Z}_{>0}$ , then  $\Phi_n(X) \in \mathbb{Z}[X]$ .*

*Proof.* We proceed by induction on  $n$ . The case where  $n = 1$  is trivial, as  $\Phi_1(X) = X - 1$ . Assume that  $\Phi_d(X) \in \mathbb{Z}[X]$  for all  $d < n$ . Then

$$\prod_{d|n, d \neq n} \Phi_d(X) \in \mathbb{Z}[X]$$

is a monic polynomial. Thus  $\Phi_n(X) / \prod_{d|n, d < n} \Phi_d(X) \in \mathbb{Z}[X]$ .  $\square$

**Theorem 15.4 (Wedderburn).** *Every finite division ring is a field.*

*Proof.* Let  $D$  be a finite division ring and  $K = Z(D)$ . Then  $K$  is a finite field, say  $|K| = q$ . Note that  $K$  is a  $D$ -vector space. Let  $n = \dim_K D$ . We claim that  $n = 1$ . If  $n > 1$ , the class equation for the group  $D^\times = D \setminus \{0\}$  implies that

$$q^n - 1 = q - 1 + \sum_{j=1}^m \frac{q^n - 1}{q^{d_j} - 1}, \quad (10.2) \quad \boxed{\text{eq:clases}}$$

where  $1 < \frac{q^n - 1}{q^{d_j} - 1} \in \mathbb{Z}$  for all  $j \in \{1, \dots, m\}$ . Since  $d_j$  divides  $n$ , each  $d_j$  divides  $n$ . In particular, (10.1) implies that

$$X^n - 1 = \Phi_n(X)(X^{d_j} - 1)h(X) \quad (10.3) \quad \boxed{\text{eq:trick_ciclotomico}}$$

for some  $h(X) \in \mathbb{Z}[X]$ . By evaluating (10.3) in  $X = q$  we obtain that  $\Phi_n(q)$  divides  $q^n - 1$  and that  $\Phi_n(q)$  divides  $\frac{q^n - 1}{q^{d_j} - 1}$ . By (10.2),  $\Phi_n(q)$  divides  $q - 1$ . Thus

$$q-1 \geq |\Phi_n(q)| = \prod |q-\zeta| > q-1,$$

as each  $|q-\zeta| > q-1$ , a contradiction.  $\square$



# Lecture 11

## §16. Frobenius's theorem

thm:Frobenius

**Theorem 16.1 (Frobenius).** *Every finite-dimensional real division algebra is isomorphic to  $\mathbb{R}$ ,  $\mathbb{C}$  or  $\mathbb{H}$ .*

We present an elementary proof. We shall need some lemmas.

lem:trick\_frobenius1

**Lemma 16.2.** *Let  $D$  be a real division algebra such that  $\dim D = n$ . If  $x \in D$ , then there exists  $\lambda \in \mathbb{R}$  such that  $x^2 + \lambda x \in \mathbb{R}$ .*

*Proof.* Since  $\dim D = n$ , the set  $\{1, x, x^2, \dots, x^n\}$  is linearly dependent. So there exists a non-zero polynomial  $f(X) \in \mathbb{R}[X]$  of degree  $\leq n$  such that  $f(x) = 0$ . Without loss of generality we may assume that the leading coefficient of  $f(X)$  is one. Then we can write  $f(X)$  as a product of polynomials of degree  $\leq 2$ , say

$$f(X) = (X - \alpha_1) \cdots (X - \alpha_r)(X^2 + \lambda_1 X + \mu_1) \cdots (X^2 + \lambda_s X + \mu_s).$$

Since  $D$  is a division algebra and  $f(x) = 0$ , some factor of  $f(X)$  is zero. If  $x - \alpha_j \neq 0$  for all  $j$ , then  $x$  is a root of some  $X^2 + \lambda_k X + \mu_k$ . In any case, there exists  $\lambda \in \mathbb{R}$  such that  $x^2 + \lambda x \in \mathbb{R}$ .  $\square$

lem:trick\_frobenius2

**Lemma 16.3.** *Let  $D$  be a real division algebra of dimension  $n$ . Then*

$$V = \{x \in D : x^2 \in \mathbb{R}, x^2 \leq 0\}$$

*is a subspace of  $D$  such that  $D = \mathbb{R} \oplus V$ .*

*Proof.* Let  $x \in D \setminus V$  be such that  $x^2 \in \mathbb{R}$ . Since  $x^2 > 0$ , it follows that  $x^2 = \alpha^2$  for some  $\alpha \in \mathbb{R}$ . Thus  $x = \pm \alpha \in \mathbb{R}$ , as  $D$  is a division algebra and  $(x - \alpha)(x + \alpha) = x^2 - \alpha^2 = 0$ .

We claim that  $V$  is a subspace of  $D$ . Note that  $0 \in V$  and that if  $x \in V$ , then  $\lambda x \in V$  for all  $\lambda \in \mathbb{R}$ . Let  $x, y \in V$ . If  $\{x, y\}$  is linearly dependent, then  $x + y \in V$ . If not, we claim that  $\{1, x, y\}$  is linearly independent. If there exist  $\alpha, \beta, \gamma \in \mathbb{R}$  such that  $\alpha x + \beta y + \gamma = 0$ , then

$$\alpha^2 x^2 = \beta^2 y^2 + 2\beta\gamma y + \gamma^2 = (-\beta y - \gamma)^2.$$

This implies that  $2\beta\gamma y \in \mathbb{R}$  and thus  $\beta\gamma = 0$ . Hence  $\alpha = \beta = \gamma = 0$ . The previous lemma implies that there exist  $\lambda, \mu \in \mathbb{R}$  such that

$$(x+y)^2 + \lambda(x+y) \in \mathbb{R}, \quad (x-y)^2 + \mu(x-y) \in \mathbb{R}.$$

Since

$$(x+y)^2 + (x-y)^2 = 2x^2 + 2y^2 \in \mathbb{R},$$

it follows that  $(\lambda+\mu)x + (\lambda-\mu)y \in \mathbb{R}$ . Since  $\{1, x, y\}$  is linearly independent,  $\lambda = \mu = 0$ . Thus  $(x+y)^2 \in \mathbb{R}$ . If  $x+y \notin V$ , then, the first paragraph of the proof implies that  $x+y \in \mathbb{R}$ , a contradiction.

Clearly,  $\mathbb{R} \cap V = 0$ . If  $x \in D \setminus \mathbb{R}$ , then the previous lemma implies that  $x^2 + \lambda x \in \mathbb{R}$  for some  $\lambda \in \mathbb{R}$ . We claim that  $x + \lambda/2 \in V$ . If not, since

$$(x + \lambda/2)^2 = x^2 + \lambda x + (\lambda/2)^2 \in \mathbb{R},$$

it follows that  $x + \lambda/2 \in \mathbb{R}$  and thus  $x \in \mathbb{R}$ . Hence  $x = -\lambda/2 + (x + \lambda/2) \in \mathbb{R} \oplus V$ .  $\square$

**Lemma 16.4.** *Let  $D$  be a real algebra of (real) dimension  $n$ . If  $n > 2$ , then there exist  $i, j, k \in D$  such that  $\{1, i, j, k\}$  is linearly independent and*

$$i^2 = j^2 = k^2 = -1, \quad ij = -ji = k, \quad ki = -ik = j, \quad jk = -kj = i. \quad (11.1)$$

eq:H

*Proof.* Let  $V = \{x \in D : x^2 \in \mathbb{R}, x^2 \leq 0\}$  be the subspace of Lemma 16.3. For  $x, y \in V$  let  $x \circ y = xy + yx = (x+y)^2 - x^2 - y^2 \in \mathbb{R}$ . If  $x \neq 0$ , then  $x \circ x = 2x^2 \neq 0$ . Since  $\dim V = n - 1$ , there exist  $y, z \in V$  such that  $\{y, z\}$  is linearly independent. Let

$$x = z - \frac{z \circ y}{y \circ y} y.$$

Since  $\{y, z\}$  is linearly independent,  $x \neq 0$ . Moreover, since

$$x \circ y = \left( z - \frac{z \circ y}{y \circ y} y \right) \circ y = zy - \frac{z \circ y}{y \circ y} y^2 + yz - \frac{z \circ y}{y \circ y} y^2 = z \circ y - \frac{z \circ y}{y \circ y} y \circ y = 0,$$

it follows that  $xy = -yx$ . Let

$$i = \frac{1}{\sqrt{-x^2}} x, \quad j = \frac{1}{\sqrt{-y^2}} y, \quad k = ij.$$

A direct calculation shows that the formulas of (11.1) hold. For example,

$$ji = \frac{1}{\sqrt{-y^2}} \frac{1}{\sqrt{-x^2}} yx = \frac{1}{\sqrt{-x^2}} \frac{1}{\sqrt{-y^2}} (-xy) = -k. \quad \square$$

Now we are finally ready to prove the theorem:

§17 Jacobson's commutativity theorem

*Proof of 16.1.* Let  $D$  be a real division algebra and let  $n = \dim D$ . If  $n = 1$ , then  $D \simeq \mathbb{R}$ . If  $n = 2$ , the subspace  $V$  of Lemma 16.3 is non-zero and thus there exists  $i \in D$  such that  $i^2 = -1$ . Hence  $D \simeq \mathbb{C}$ . Lemma 16.4 implies that  $n \neq 3$ . If  $n = 4$ , then  $D \simeq \mathbb{H}$ . Suppose that  $n > 4$ . By Lemma 16.4 there exist  $i, j, k \in D$  such that  $\{1, i, j, k\}$  is linearly independent and that the formulas of (11.1) hold. Let

$$V = \{x \in D : x^2 \in \mathbb{R}, x^2 \leq 0\}.$$

By Lemma 16.3,  $\dim V = n - 1$ . Thus there exists  $x \in V \setminus \langle i, j, k \rangle$ . Let

$$e = x + \frac{i \circ x}{2}i + \frac{j \circ x}{2}j + \frac{k \circ x}{2}k \in V \setminus \{0\}.$$

A direct calculation shows that  $i \circ e = j \circ e = k \circ e = 0$ . Then

$$ek = e(ij) = (ei)j = -(ie)j = -i(ej) = i(je) = (ij)e = ke,$$

a contradiction. □

## §17. Jacobson's commutativity theorem

**Exercise 17.1.** A ring  $R$  is **boolean** if  $x^2 = x$  for all  $x \in R$ . Prove that boolean rings are commutative.

To prove this fact, note that  $1 = (-1)^2 = -1$ . This means that  $R$  has characteristic two. Let  $x, y \in R$ . Since  $x + y = (x + y)^2 = x^2 + xy + yx + y^2$ , it follows that  $0 = xy + yx$  and hence  $xy = yx$ .

**Definition 17.2.** A ring  $R$  is **reduced** if  $x^2 = 0$  implies  $x = 0$ .

For example, boolean rings and domains are reduced. Moreover, the ring  $\mathbb{Z}^n$  with point-wise multiplication is reduced (and has zero divisors).

**Exercise 17.3.** Prove that idempotents of reduced rings are central.

The previous exercise is used to solve the following problem.

**Exercise 17.4.** Let  $R$  be a ring such that  $x^3 = x$  for all  $x \in R$ . Prove that  $R$  is commutative.

This exercise is harder. Even harder is the following exercise:

**Exercise 17.5.** Let  $R$  be a ring such that  $x^4 = x$  for all  $x \in R$ . Prove that  $R$  is commutative.

Other exercises about reduced rings.

xca:reduced

**Exercise 17.6.** Prove that a ring is reduced if and only if it has no non-zero nilpotent elements.

a:domain<=>prime+reduced

**Exercise 17.7.** A ring is a domain if and only if it is both prime and reduced.

xca:reduced=>semiprime

**Exercise 17.8.** Reduced rings are semiprime.

In this lecture we will use structure theorems to prove the following amazing (and quite useless) beautiful result:

thm:commutativity

**Theorem 17.9 (Jacobson).** *Let  $R$  be a ring such that for each  $x \in R$  there exists  $n(x) \geq 2$  such that  $x^{n(x)} = x$ . Then  $R$  is commutative.*

We shall need the following lemma.

lem:k\_finito

**Lemma 17.10.** *Let  $K$  be a finite field of characteristic  $p > 0$ . There exists  $n \in \mathbb{Z}_{>0}$  such that  $|K| = p^n$  and  $x^{p^n} = x$  for all  $x \in K$ . Moreover, if  $K \setminus \{0\} = \{x_1, \dots, x_{p^n-1}\}$ , then  $X^{p^n} - X = (X - x_1) \cdots (X - x_{p^n-1})X$ .*

*Proof.* The field  $K$  is a  $\mathbb{Z}/p$ -vector space. If  $\dim \mathbb{Z}/p K = n$ , then  $|K| = p^n$ . In particular,  $K \setminus \{0\}$  is an abelian group of order  $p^n - 1$  and hence, by Lagrange's theorem,  $x^{p^n-1} = 1$  for all  $x \in K \setminus \{0\}$ . Thus  $x^{p^n} = x$  for all  $x \in K$  and hence every  $x \in K$  is a root of the polynomial  $X^{p^n} - X$  of degree  $p^n$ .  $\square$

Let  $R$  be a ring. For each  $r \in R$  the map  $\text{ad } r: R \rightarrow R, x \mapsto rx - xr$ , is a derivation. By induction one proves that

$$(\text{ad } r)^n(x) = \sum_{k=0}^n (-1)^k \binom{n}{k} r^{n-k} x r^k \quad (11.2) \quad \text{eq:Leibniz}$$

for all  $x \in R$  and  $n \in \mathbb{Z}_{>0}$ . If  $p$  is a prime number,  $p$  divides  $\binom{p}{k}$  for all  $k \in \{1, \dots, p-1\}$ . This fact is needed to solve the following exercise:

**Exercise 17.11.** Let  $p$  be a prime number and  $R$  be a ring of characteristic  $p$ . Prove that  $(\text{ad } r)^{p^n} = \text{ad } r^{p^n}$ .

Now we are ready to prove Jacobson's commutativity theorem.

*Proof of Theorem 17.9.* We divide the proof in several steps and claims. We may assume that  $R$  is non-zero.

*Claim.*  $J(R) = \{0\}$ .

Let  $x \in J(R)$  and  $n = n(x)$ . Since  $-x^{n-1} \in J(R)$ , there exists  $y \in R$  such that  $-x^{n-1} \circ y = -x^{n-1} + y - x^{n-1}y = 0$ . Thus

$$-x^{n-1} + y = x^{n-1}y \implies -x + xy = x(-x^{n-1} + y) = x^n y = xy.$$

This implies that  $x = 0$ .

*Claim.* Without loss of generality we may assume that  $R$  is primitive.

Let  $\{P_i : i \in I\}$  be the collection of primitive ideals of  $R$ . The map  $R \rightarrow \prod_{i \in I} R/P_i$ ,  $r \mapsto (r + P_i)_{i \in I}$ , is an injective homomorphism, since its kernel is

$$\bigcap_{i \in I} P_i = J(R) = \{0\}.$$

Note that  $R$  is commutative if and only if each  $R/P_i$  is commutative. Moreover, each  $R/P_i$  satisfies the assumption, that is  $(x + P_i)^{n(x)} = x^{n(x)} + P_i = x + P_i$ , and is a primitive ring.

*Claim.*  $R$  is a division ring.

By Jacobson's density theorem, there exists a division ring  $D$  and a  $D$ -vector space  $V$  such that  $R$  is dense in  $V$ . We claim that  $\dim_D V = 1$ . If  $\dim_D V \geq 2$ , let  $\{v_1, v_2\} \subseteq V$  be a linearly independent set. Then there exists  $f \in R$  such that  $f(v_1) = v_2$  and  $f(v_2) = 0$ . This implies that  $f^k(v_1) = 0$  for all  $k \geq 2$  and  $f(v_1) \neq 0$ . This contradicts the fact that  $f^n = f$  for  $n = n(f)$ . Thus  $R \simeq D^{\text{op}}$ , a division ring.

*Claim.*  $R$  has positive characteristic.

Since  $R$  is a division ring,  $2 = 1 + 1 \in R$ . There exists  $n \geq 2$  such that  $2^n = 2$ . In particular,  $2(2^{n-1} - 1) = 0$ . This implies the claim.

*Claim.* Every non-zero subring of  $R$  is a division ring.

Let  $S \subseteq R$  is a non-zero subring of  $R$ . If  $x \in S \setminus \{0\}$ , then  $x^{n(x)} = x$ . In particular,  $x^{-1} = x^{n(x)-2} \in S$ .

*Claim.*  $R$  is commutative.

Let us assume that  $R$  is not commutative. Let  $x \in R \setminus Z(R)$ . Since  $R$  has positive characteristic, there exists  $m > 0$  such that  $mx = 0$ . Moreover, since  $R$  is a division ring and  $x^{n(x)} = x$ , it follows that  $x^{n(x)-1} = 1$ . These facts imply that the subring  $K$  of  $R$  generated by  $x$  is finite. By Wedderburn's theorem,  $K$  is a finite field. Thus  $|K| = p^k$  for some prime number  $p$  and some  $k > 0$  and

$$x^{p^k} = x.$$

Note that  $R$  is a  $K$ -vector space and  $\delta = \text{ad } x : R \rightarrow R$ ,  $y \mapsto xy - yx$ , is a  $K$ -linear map. Moreover, by the lemma,

$$\delta^{p^k} = (\text{ad } x)^{p^k} = \text{ad } (x^{p^k}) = \text{ad } x = \delta$$

and

$$\delta(\delta - x_1 \text{id}) \cdots (\delta - x_{p^{k-1}} \text{id}) = 0 \tag{11.3} \quad \boxed{\text{eq:delta}}$$

if  $K = \{0, x_1, \dots, x_{p^k-1}\}$ . Since  $x$  is not central,  $\delta$  is non-zero. So there exists  $y \in R$  such that  $\delta(y) \neq 0$ . Evaluating (11.3) in  $y$  and using that  $R$  is a division ring we obtain that

$$x_i y = \delta(y) = xy - yx$$

for some  $i$ . Let  $R_0$  be the subring of  $R$  generated by  $x$  and  $y$ . Since  $xy - yx = \delta(y) \neq 0$ , the ring  $R_0$  is a non-commutative division ring. Note that  $yx = (x - x_i)y \in Ky$ , as  $x \in K$  and  $x_i \in K$ . By induction one proves that  $yx^j \subseteq Ky$  for all  $j \geq 1$  and hence  $y^i K \subseteq Ky^i$  for all  $i \geq 1$ . This implies that

$$K + Ky + \dots + Ky^{n(y)-2} \subseteq R$$

is a subring. It follows that  $K + Ky + \dots + Ky^{n(y)-2} = R_0$ , as it is a subring of  $R$  included in  $R_0$  that contains  $x$  and  $y$ . Since  $R_0$  is a finite division ring, it is a field by Wedderburn's theorem, a contradiction since it is non-commutative.  $\square$

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