

## Algorithm

- Any well-defined computational procedure that takes some value, or set of values, as *input* and produces some value, or set of values, as *output*.

We use algorithms as a tool for solving a well-defined **computational problem**.

Example: the sorting problem.

- Input: Array  $A[1 \dots n]$  of numbers.
- Output: Sorted array  $A$ . That is, the elements should be ordered such that

$$\underline{A[1] \leq A[2] \leq \dots \leq A[n]}.$$

Steps taken when designing and analyzing algorithms:

1. Define the computational problem to be solved.
  - What is the input and output of the problem?
2. Describe the algorithm.
  - Description of algorithm in English followed by pseudocode.
3. Proof of correctness.
  - Convince the reader of correctness.
  - Algorithm must be return the desired output for any input. Showing that the algorithm works for some specific example is **not** a proof of correctness of the algorithm.
4. Proof of runtime.
  - “Stopwatch” runtime depends heavily on things independent of the algorithm (e.g. hardware). We instead measure runtime in terms of the number of steps the algorithm takes.
  - Often interested in the **worst-case** runtime and space used (i.e. memory).
5. Proof of space (sometimes).
  - Prove bounds on the amount of memory the algorithm will need to produce the output.

We call a fixed input for a computational problem an **instance** of the problem.

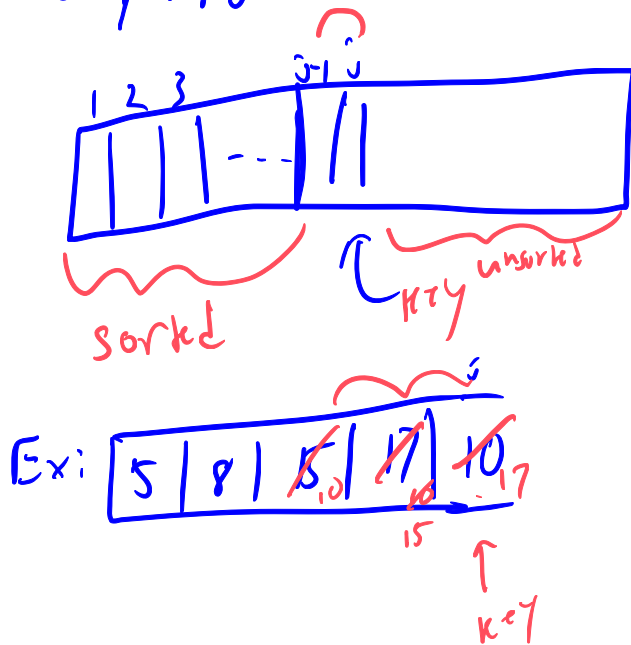
- For example, the input [6, 2, 0, 1, 5, 2] is an instance of the sorting problem.

We say that an algorithm is **correct** if the algorithm terminates with the correct output for every instance of the problem.

We say that a correct algorithm **solves** the given computational problem.

## Example: Insertion Sort: Incremental Algorithm

Maintain a sorted portion at the beginning of the array and insert one number at a time into the sorted portion.



We find the correct spot for "key" in sorted portion. This increases the size of the sorted portion by 1.

# Pseudo - Code :

InsertionSort( $A[1 \dots n]$ )

{

1.

for ( $j = 2; j \leq n; j++$ )

{

2.

key =  $A[j]$

3.

// Insert key into sorted order  $A[1 \dots j-1]$

4.

$i = j - 1$

5.

while ( $i > 0$  AND  $A[i] > \text{key}$ )

{

6.

$A[i+1] = A[i]$

7.

$i--$

}

8.

$A[i+1] = \text{key}$

} // End for

}

Steps

# of times executed

$C_1$

$n$

$C_2$

$n-1$

$O$

$C_4$

$n-1$

$C_5$

$\sum_{j=2}^n t_j$

$C_6$

$\sum_{j=2}^n (t_j - 1)$

$C_7$

$\sum_{j=2}^n (t_j - 1)$

$C_8$

$n-1$

## Proof of Correctness via Loop Invariant

**Invariant:** At the beginning of iteration  $j$  of the for loop,  $A[1..j-1]$  consists of the numbers originally in  $A[1..j-1]$  but sorted in non-decreasing order.

**Base Case:**  $j=2$ .  $A[1..j-1] = A[1]$ . One element is trivially sorted.

**Inductive Step:** Assume  $A[1..j-1]$  satisfies the invariant, and we will show it is true for  $A[1..j]$  after one iteration of the for loop.

- while loop shifts all elements larger than key one position to the right (so they are still sorted). After inserting key  $A[1..j]$  satisfies the invariant.

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Algorithm terminates when  $j = n+1$ . Therefore we have

$A[1..j-1] = A[1..n]$  satisfies the invariant.

Thus the algorithm is correct.

# Running Time

- Assume line  $i$  takes  $C_i$  time, where  $C_i$  is a constant (i.e.,  $C_i$  is independent of the input)

- Count as a function of  $n$ ,

↖ running time on input of size  $n$

$$T(n) = C_1 n + (C_2 + C_4 + C_8)(n-1) + C_5 \sum_{j=2}^n t_j + (C_6 + C_7) \sum_{j=2}^n (t_j - 1)$$

Best Case:  $t_j = 1 \quad \forall j$

$$\begin{aligned} T(n) &= C_1 n + (C_2 + C_4 + C_5 + C_8)(n-1) \\ &= C_1 n + C_2 n + C_4 n + C_5 n + C_8 n - C_2 - C_4 - C_5 - C_8 \\ &= (C_1 + C_2 + C_4 + C_5 + C_8) n + (-C_2 - C_4 - C_5 - C_8) \\ &= \underset{\substack{\uparrow \\ \text{constant}}}{a} n + \underset{\substack{\uparrow \\ \text{constant}}}{b} \end{aligned}$$

linear function.

$$(n)' \rightarrow (2n)'$$

$$n \rightarrow 2n$$

Worst Case:  $t_j = j \quad \forall j$

$$\begin{aligned} \sum_{j=2}^n t_j &= \sum_{j=2}^n j = 2 + 3 + 4 + 5 + \dots + n = \frac{(n+1)n}{2} - 1 \\ &= \frac{n^2 + n}{2} - 1 \\ &= \frac{1}{2} n^2 + \frac{1}{2} n - 1 \end{aligned}$$

$(n)' \rightarrow (2n)'$   
 $n^2 \rightarrow 4n^2$

↑  
Quadratic