

Exam 1

NAME: *Solution*

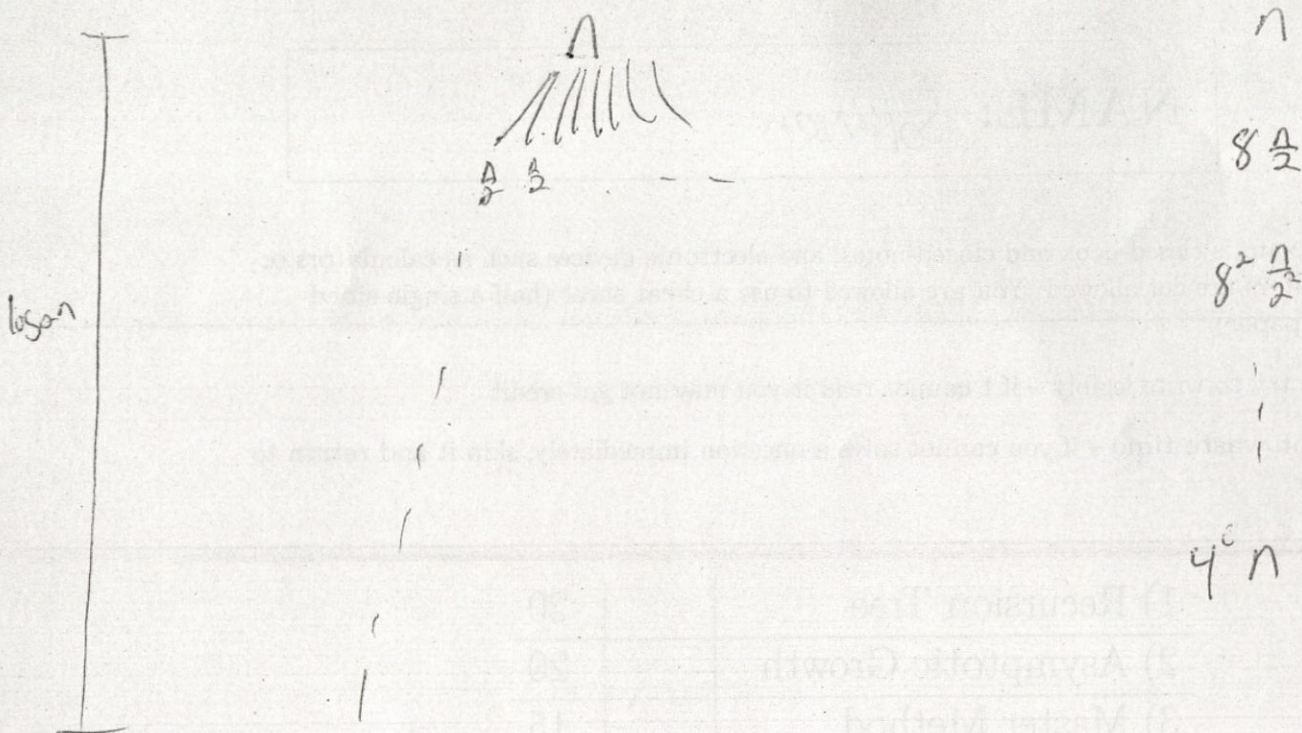
- This exam is closed-book and closed-notes, and electronic devices such as calculators or computers are not allowed. You are allowed to use a cheat sheet (half a single-sided letter paper).
- Please try to write legibly – if I cannot read it you may not get credit.
- Do not waste time – if you cannot solve a question immediately, skip it and return to it later.

1) Recursion Tree	20
2) Asymptotic Growth	20
3) Master Method	15
4) Randomized Analysis	15
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# 1 Recursion Tree (20 Points)

Let  $T(n) = 8T(n/2) + n$  with  $T(1) = 1$ . Use a recursion tree to generate a guess of what  $T(n)$  solves to.



$$\begin{aligned}
 \sum_{i=0}^{\log n} 4^i n &= n \sum_{i=0}^{\log n} 4^i = n \left[ \frac{4^{\log n + 1} - 1}{4 - 1} \right] \\
 &= n \left[ \frac{(2^2)^{\log n + 1} - 1}{3} \right] \\
 &= n \left[ \frac{(2^{\log n + 1})^2 - 1}{3} \right] \\
 &= n \left[ \frac{(2n)^2 - 1}{3} \right] \\
 &\approx O(n^3)
 \end{aligned}$$



## 2 Asymptotic Growth (20 Points)

- 6 1. Show that  $3n^3 + 3n^2 - 6n - 6 \in \Theta(n^3)$ .

$$3n^3 + 3n^2 - 6n - 6 < 3n^3 + 3n^2 < 6n^3, \quad C=6, n_0=1$$

$$n^3 < n^3 + (n^3 - 6n - 6) < 3n^3 + 3n^2 - 6n - 6, \quad C=1, n_0=3$$

$\bigcup_{n=3}$

- 14 2. Let  $T(n) = 4T(n/2) + n^2$  with  $T(1) = 1$ . Use big-Oh induction to prove that  $T(n) \in O(n^2 \log n)$ . Prove the inductive step (substitution method) as well as the base case.

Assume  $T(k) \leq C k^2 \log k$  for all  $k < n$ .

$$\begin{aligned} T(n) &= 4T(n/2) + n^2 \leq 4C \left(\frac{n}{2}\right)^2 \log\left(\frac{n}{2}\right) + n^2 \\ &= 4C \frac{n^2}{4} (\log n - \log 2) + n^2 \\ &= C n^2 \log n - C n^2 + n^2 \\ &\leq C n^2 \log n \quad \text{when } C \geq 1. \end{aligned}$$

Base case:  $n=2$

$$T(2) = 4T(1) + 4 = 4 + 4 = 8$$

$$C 2^2 \log 2 = 4C$$

$$8 < 4C \quad \text{if } C > 2.$$



### 3 Master Method (15 Points)

Solve the following recurrences using the master theorem. Justify your answers shortly (i.e. specify  $\epsilon$  and check the regularity condition if necessary).

1.  $T(n) = 27T(n/3) + n^3$

$$a=27 \quad b=3 \quad n^{\log_b a} = n^3$$

$$f(n) \in \Theta(n^3)$$

Case 2 applies

$$\Theta(n^3 \log n)$$

2.  $T(n) = T(n/2) + n$

$$a=1 \quad b=2 \quad n^{\log_b a} = n^0 = 1$$

$$f(n) = n \quad n \in \Omega(n^{0+\epsilon}) \text{ for } \epsilon=1.$$

$$a \cdot f\left(\frac{n}{b}\right) = \frac{n}{2} = c \cdot n \text{ for } c=\frac{1}{2}.$$

Case 3 applies.  $\Theta(n)$

3.  $T(n) = 4T(n/2) + n \log n$

$$a=4 \quad b=2 \quad n^{\log_b a} = n^2$$

$$n \log n \in O(n^{2-\epsilon}) \text{ for } \epsilon=0.5$$

Case 1

$$\Theta(n^2)$$



#### 4 Randomized Analysis (15 Points)

Suppose someone offers to let you play a game. They will randomly (and independently) pick three numbers  $x_1, x_2, x_3$  in the range 1-30. If  $x_1$  is odd, you will be paid \$5 and if it is even you will pay \$2. If  $x_2$  is in the range 1-10 you will be paid \$3, and if it is any other value you will pay \$1. If  $x_3 = 1$  then you must pay \$20 (and will be paid nothing otherwise). What is the expected value of this game from your perspective?

$X_1$  = outcome for  $x_1$ .  $X_1$  will be 5 or -2

$X_2$  = outcome for  $x_2$ .  $X_2$  will be 3 or -1.

$X_3$  = outcome for  $x_3$ .  $X_3$  will be 0 or -20.

$$\begin{aligned} E[X_1] &= \Pr(X_1=5) \cdot 5 + \Pr(X_1=-2) \cdot -2 \\ &= \frac{5}{2} + -\frac{2}{2} = \frac{3}{2} \end{aligned}$$

$$\begin{aligned} E[X_2] &= \Pr(X_2=3) \cdot 3 + \Pr(X_2=-1) \cdot -1 \\ &= \frac{1}{3} \cdot 3 + \frac{2}{3} \cdot -1 = \frac{1}{3} \end{aligned}$$

$$\begin{aligned} E[X_3] &= \Pr(X_3=-20) \cdot -20 \\ &= \frac{-20}{30} = -\frac{2}{3} \end{aligned}$$

$$\frac{3}{2} + \frac{1}{3} - \frac{2}{3} = \frac{9}{6} + \frac{2}{6} - \frac{4}{6} = \frac{7}{6}$$



## 5 Divide and Conquer (30 Points)

Let  $A[1..n]$  be an array of  $n \geq 2$  integers which consist of the prices of some stock over the previous  $n$  days. Suppose we were allowed to purchase the stock on some day  $p$  and then later sell the stock on some day  $s$  (note here we must have  $s > p$  as we can only sell after we have purchased). We want to determine the the buy and sell days that maximize our profit  $A[s] - A[p]$ .

For example, if  $A = [8, 10, 3, 7, 1]$ , then the optimal solution is  $p = 3$  and  $s = 4$  as

$A[s] - A[p] = 4$  and any other option will result in a smaller profit. This problem can easily be solved in  $O(n^2)$  time by checking all possibilities with two nested for-loops. This problem is about designing a divide and conquer algorithm for this problem with running time  $o(n^2)$ .

1. Suppose we design a divide and conquer algorithm with running time  $T(n) = 2T(n/2) + f(n)$  by dividing the problem in half, recursively solve both halves, and then spend  $f(n)$  time "combining". Since we are trying for an overall running time of  $o(n^2)$ , what must be true of  $f(n)$  (i.e., how much time are we allowed to use on this combine step)?

$$n^{\log_2 2} = n^1. \quad f(n) \in o(n^1) \quad \text{then} \quad T(n) \in o(n^2).$$

2. Suppose  $A$  is an array of size  $n$  where  $n$  is an even number, and let  $B$  denote the first  $n/2$  elements of  $A$  and let  $C$  denote the last  $n/2$  elements of  $A$ . Suppose we know an optimal solution for  $B$  and  $C$  (we know the correct days to purchase and sell if both days must be made in their respective halves). Note that the optimal solution for  $A$  could be one of the optimal solutions for one of the halves. If it isn't, then what can we say about the structure of the optimal solution for  $A$ ? That is, what can we say about  $p$  and  $s$ ?

Then  $p$  is in  $B$  and  $s$  is in  $C$ .

Moreover,  $p$  is index of smallest element in  $B$   
and  $s$  is index of largest in  $C$ .



3. Given the optimal solutions for  $B$  and  $C$ , give a  $O(n)$  time algorithm that computes the optimal solution for  $A$ .

Let  $p_1, s_1$  be optimal for  $B$  and  $p_2, s_2$  be optimal for  $C$ .

Then let  $p_3$  be the index of min element in  $B$ , and let  $s_3$  be index of maximum element in  $C$ .

return  $\max\{A[s_1] - A[p_1], A[s_2] - A[p_2], A[s_3] - A[p_3]\}$ .