

# Galois Deformations

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## 1 Review of Category Theory and Homological Algebra

All the set-theoretic issues are ignored for now.

### 1.1 Representability

Let  $\mathfrak{C}$  be a category. We define the functors<sup>1</sup>

$$\begin{aligned} h^{\mathfrak{C}} : \mathfrak{C}^{\text{op}} &\longrightarrow [\mathfrak{C}, \mathbf{Set}], & \text{ev}^{\mathfrak{C}} : [\mathfrak{C}, \mathbf{Set}] \times \mathfrak{C} &\longrightarrow \mathbf{Set} \\ S &\longmapsto \text{Hom}_{\mathfrak{C}}(S, \cdot) & (F, S) &\longmapsto F(S). \end{aligned}$$

**Theorem 1** (Yoneda). There is an isomorphism

$$\text{Hom}_{[\mathfrak{C}, \mathbf{Set}]^{\text{op}}}(-, h^{\mathfrak{C}}(-)) \simeq \text{ev}^{\mathfrak{C}}$$

as functors  $[\mathfrak{C}, \mathbf{Set}] \times \mathfrak{C} \rightarrow \mathbf{Set}$  given by

$$\begin{aligned} \text{Hom}_{[\mathfrak{C}, \mathbf{Set}]^{\text{op}}}(F, h^{\mathfrak{C}}(S)) &\longrightarrow F(S) \\ \left(F \xleftarrow{\phi} \text{Hom}_{\mathfrak{C}}(S, -)\right) &\longmapsto \phi_S(\text{id}_S) \end{aligned}$$

for all  $F : \mathfrak{C} \rightarrow \mathbf{Set}$  and  $S \in \mathfrak{C}$ , and the functor  $h^{\mathfrak{C}} : \mathfrak{C}^{\text{op}} \rightarrow [\mathfrak{C}, \mathbf{Set}]$  is fully faithful.

We say that a functor  $F : \mathfrak{C} \rightarrow \mathbf{Set}$  is **representable**, if there is  $X \in \mathfrak{C}$  along with an isomorphism

$$\phi : \text{Hom}_{\mathfrak{C}}(X, -) \simeq F$$

as functors. Note that the functor  $\phi$  is determined<sup>2</sup> by the universal element  $u := \phi_X(\text{id}_X) \in F(X)$ , from which every thing in  $F(T)$  is pushed forward, i.e. for any morphism  $f : X \rightarrow T$  in  $\mathfrak{C}$ , the unique corresponding element in  $F(T)$  is  $\phi_T(f) = F(f)(\phi_X(\text{id}_X)) = F(f)(u)$ .

### 1.2 The Ext Functors

Let  $\mathfrak{A}$  be an abelian category with enough projective and injective objects. We have

$$\text{Ext}_{\mathfrak{A}}^i(X, Y) := \text{R}^i \text{Hom}_{\mathfrak{A}}(X, -)(Y) \simeq \text{R}^i \text{Hom}_{\mathfrak{A}}(-, Y)(X)$$

for  $X, Y \in \mathfrak{A}$ ,  $i \geq 0$ .

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<sup>1</sup>There is also the version for  $h_{\mathfrak{C}} : \mathfrak{C} \rightarrow [\mathfrak{C}^{\text{op}}, \mathbf{Set}]$  and  $\text{ev}_{\mathfrak{C}} : [\mathfrak{C}^{\text{op}}, \mathbf{Set}] \times \mathfrak{C} \rightarrow \mathbf{Set}$ .

<sup>2</sup>This does *not* mean that we can decode  $\phi$  from  $u$  without knowing  $\phi$  a priori?

We will focus on  $\text{Ext}^1$ . An **extension of  $A$  by  $B$** <sup>3</sup> is a short exact sequence

$$\xi : 0 \rightarrow B \rightarrow X \rightarrow A \rightarrow 0.$$

(I may denote  $\xi$  by  $X$  if there is no confusion.) An isomorphism of two extensions  $X$  and  $X'$  of  $A$  by  $B$  is a commutative diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & B & \longrightarrow & X & \longrightarrow & A & \longrightarrow & 0 \\ & & \parallel & & \downarrow \simeq & & \parallel & & \\ 0 & \longrightarrow & B & \longrightarrow & X' & \longrightarrow & A & \longrightarrow & 0 \end{array}$$

An extension of  $A$  by  $B$  that is isomorphic to

$$0 \rightarrow B \hookrightarrow A \oplus B \rightarrow A \rightarrow 0$$

is said to be split.

Given an extension  $\xi : 0 \rightarrow B \rightarrow X \rightarrow A \rightarrow 0$  of  $A$  by  $B$ , the cohomological functors  $\text{Ext}^*(A, -)$  induces the exact sequence

$$\text{Hom}(A, X) \rightarrow \text{Hom}(A, A) \xrightarrow{\partial_\xi} \text{Ext}^1(A, B).$$

Let's look at the class  $\Theta(\xi) := \partial_\xi(\text{id}_A) \in \text{Ext}^1(A, B) = 0$ . If  $\Theta(\xi) = 0$ , then there is a section  $f : A \rightarrow X$  of  $X \rightarrow A$  in  $\xi$ , i.e.  $\xi$  is split. This means that  $\Theta(\xi) \in \text{Ext}^1(A, B)$  is the *obstruction* for  $\xi$  to be split.

**Theorem 2.** Let  $R$  be a (possibly non-commutative) ring. For left  $R$ -modules  $A$  and  $B$ , there is a natural bijection

$$\left\{ \begin{array}{l} \text{isomorphism classes of} \\ \text{extensions of } A \text{ by } B \end{array} \right\} \xleftarrow{1:1} \text{Ext}_R^1(A, B)$$

given by  $\Theta : \xi \mapsto \partial_\xi(\text{id}_A)$ .

**Example 1.1.** Let  $k$  be a topological ring (field if necessary),  $G$  be a topological group,  $V$  be a continuous  $k[G]$ -module that is free of  $k$ -rank  $d$ . Then there is a canonical isomorphism

$$\text{Ext}_{k[G]}^1(V, V) \simeq H^1(G, \text{ad } V).$$

(There should be a constructive proof, but I failed...)

We propose another proof in the next subsection.

### 1.3 Universal $\delta$ -Functors

We concentrate on cohomological things.

**Definition 1.** A (covariant) **cohomological  $\delta$ -functor** is a collection of additive functors

$$\{T^n : \mathfrak{A} \rightarrow \mathfrak{B}\}_{n \geq 0}$$

indexed by non-negative integers, which induces *functorially* a long exact sequences in  $\mathfrak{B}$  from a short exact sequence in  $\mathfrak{A}$ . More precisely, for each exact sequence

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0 \quad \text{in } \mathfrak{A},$$

there are fixed morphisms

$$\delta^n : T^n(C) \rightarrow T^{n+1}(A) \quad \text{in } \mathfrak{B}, \quad n \geq 0,$$

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<sup>3</sup>In a category where these operations make sense.

s.t.

$$0 \rightarrow T^0(A) \rightarrow T^0(B) \rightarrow T^0(C) \xrightarrow{\delta^0} T^1(A) \rightarrow \dots$$

is exact<sup>4</sup>; moreover, a morphism of short exact sequences in  $\mathfrak{A}$  induces a morphism of long exact sequences in  $\mathfrak{B}$ .

For instance, taking cohomology for chain complexes

$$H^* : \mathbf{Ch}_{\geq 0}(\mathfrak{A}) \rightarrow \mathfrak{A}$$

or taking right-derivation of a left-exact functor are cohomological  $\delta$ -functors.

**Definition 2.** The cohomological  $\delta$ -functors from  $\mathfrak{A}$  to  $\mathfrak{B}$  form a category, where morphisms are the natural transformations commuting with the  $\delta^n$ 's. A **universal cohomological  $\delta$ -functor** is a  $\delta$ -functor  $T = (T^n)$ , such that for any  $\delta$ -functor  $S = (S^n)$  and a morphism  $f^0 : T \rightarrow S$ , there is a unique morphism  $f : T \rightarrow S$  extending  $f^0$ .

So a universal  $\delta$ -functor is like an initial object among  $\delta$ -functors but it is “weaker”.

**Theorem 3.** If  $F : \mathfrak{A} \rightarrow \mathfrak{B}$  is a left-exact additive functor, then (if  $\mathfrak{A}$  has enough injectives) the right derivations  $R^*F : \mathfrak{A} \rightarrow \mathfrak{B}$  form a universal  $\delta$ -functor.

*Another proof of Example 1.1.* Let  $k$  be a field. We show that both  $H^*(G, V^\vee \otimes_k (-))$  and  $\text{Ext}_G^*(V, -)$  are universal  $\delta$ -functors. Then since they agree at  $i = 0$ , they must agree everywhere.

The functors  $\text{Ext}_G^*(V, -)$  are derived from  $\text{Hom}_G(V, -)$ , so they are universal. For  $H^*(G, V^\vee \otimes_k (-))$ , since  $V^\vee \otimes_k (-)$  is exact, we have<sup>5</sup>

$$H^*(G, V^\vee \otimes_k (-)) = R^* \text{Hom}_G(k, -) \circ (V^\vee \otimes_k (-)) = R^*(\text{Hom}_G(k, -) \circ (V^\vee \otimes_k (-))),$$

which is also a derived functor. □

## 2 Deformation of Representations of Profinite Groups

### 2.1 The category of complete Noetherian algebras

Let  $L/\mathbb{Q}_p$  be a finite extension with residue field  $\mathbb{F}$ , so that its ring of integers  $\mathcal{O} := \mathcal{O}_L$  contains the ring of Witt vectors  $W(\mathbb{F})$  of  $\mathbb{F}$ . We consider the category  $\widehat{\mathfrak{A}\mathfrak{r}}_{\mathcal{O}}$  of complete Noetherian local  $\mathcal{O}$ -algebras with residue field  $\mathbb{F}$ .

For  $A \in \widehat{\mathfrak{A}\mathfrak{r}}_{\mathcal{O}}$ , we call

$$t_A^\vee := \mathfrak{m}_A / (\mathfrak{m}_A^2 + \mathfrak{m}_{\mathcal{O}} A)$$

the **Zariski (or relative) cotangent space of  $A$  over  $\mathcal{O}$** , and

$$t_A := \text{Hom}_{\mathbb{F}}(\mathfrak{m}_A / (\mathfrak{m}_A^2 + \mathfrak{m}_{\mathcal{O}}), \mathbb{F})$$

the **relative tangent space of  $A$  over  $\mathcal{O}$** .

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<sup>4</sup>In particular,  $T^0$  is left-exact.

<sup>5</sup>I've never learnt this but I accept this for now.

**Proposition 2.1.** For any homomorphism  $\mathcal{O} \rightarrow A$  of local rings (no need for completeness) with the same residue field  $\mathbb{F}$ , there is a perfect pairing

$$\mathfrak{m}_A/(\mathfrak{m}_A^2 + \mathfrak{m}_{\mathcal{O}}A) \times \text{Der}_{\mathcal{O}}(A, \mathbb{F}) \rightarrow \mathbb{F}$$

of  $k$ -vector spaces, where<sup>6</sup>

$$\text{Der}_{\mathcal{O}}(A, \mathbb{F}) = \{\delta \in \text{Hom}_{\mathcal{O}}(A, \mathbb{F}) \mid \delta(ab) = \bar{a}\delta(b) + \bar{b}\delta(a), \forall a, b \in A\}$$

is the ring of  $\mathcal{O}$ -linear derivations from  $A$  to  $k$ . In particular,

$$\text{Hom}_{\mathbb{F}}(\mathfrak{m}_A/(\mathfrak{m}_A^2 + \mathfrak{m}_{\mathcal{O}}A), \mathbb{F}) \simeq \text{Der}_{\mathcal{O}}(A, \mathbb{F}).$$

Before proving, note that  $A = \text{im}(\mathcal{O} \rightarrow A) + \mathfrak{m}_A$ , and  $A$  is generated by  $\text{im}(\mathcal{O} \rightarrow A)$  and  $\mathfrak{m}_A$  as an  $\mathcal{O}$ -module, because  $A/\mathfrak{m}_A = \mathcal{O}/\mathfrak{m}_{\mathcal{O}}$ .

*Proof.* Consider the natural pairing  $\mathfrak{m}_A \times \text{Der}_{\mathcal{O}}(A, \mathbb{F}) \rightarrow \mathbb{F}$  given by evaluation.

*Right kernel.* If  $\delta(a) = 0$  for all  $a \in \mathfrak{m}_A$ , then  $\delta \in \text{Der}_{\mathcal{O}}(\mathbb{F}, \mathbb{F}) = 0$ .

*Left kernel.* Both  $\mathfrak{m}_A$  and  $\mathfrak{m}_{\mathcal{O}}$  acts by 0 on  $\mathbb{F}$ , so any  $\mathcal{O}$ -linear derivation  $\delta : A \rightarrow \mathbb{F}$  (T.B.C.) □

**Lemma 2.1.** A morphism  $A \rightarrow B$  in  $\widehat{\mathfrak{A}\mathfrak{r}_{\mathcal{O}}}$  is surjective if and only if the induced map  $t_A^* \rightarrow t_B^*$  is surjective.

*Proof.* For any morphism  $A \rightarrow B$  in  $\widehat{\mathfrak{A}\mathfrak{r}_{\mathcal{O}}}$ , we have the commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathfrak{m}_{\mathcal{O}}A/(\mathfrak{m}_{\mathcal{O}}A \cap \mathfrak{m}_A^2) & \longrightarrow & \mathfrak{m}_A/\mathfrak{m}_A^2 & \longrightarrow & \mathfrak{m}_A/(\mathfrak{m}_A^2 + \mathfrak{m}_{\mathcal{O}}A) \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathfrak{m}_{\mathcal{O}}B/(\mathfrak{m}_{\mathcal{O}}B \cap \mathfrak{m}_B^2) & \longrightarrow & \mathfrak{m}_B/\mathfrak{m}_B^2 & \longrightarrow & \mathfrak{m}_B/(\mathfrak{m}_B^2 + \mathfrak{m}_{\mathcal{O}}B) \longrightarrow 0 \end{array}$$

where the rows are exact. The left column is surjective, because by the comments above,

(some commutative algebra...) □

## 2.2 Deformation functors

Let  $G$  be a profinite group,  $\mathbb{F}$  be a finite field of characteristic  $p$ ,  $V$  an  $\mathbb{F}[G]$ -module of  $\mathbb{F}$ -dimension  $d$  with  $G$  acting continuously<sup>7</sup>. We fix a  $\mathbb{F}$ -basis  $\beta_{\mathbb{F}}$  of  $V$ , via which  $V$  is identified with a continuous representation  $\bar{\rho} : G \rightarrow \text{GL}_d(\mathbb{F})$ .

Take  $A \in \widehat{\mathfrak{A}\mathfrak{r}_{\mathcal{O}}}$ . A **deformation** of  $V$  to  $A$  is a pair  $(V_A, \iota_A)$ , where

- $V_A$  is an  $A[G]$ -module that is free of finite rank over  $A$ , and
- $\iota_A : V_A \otimes_A \mathbb{F} \simeq V$  is an isomorphism of  $\mathbb{F}[G]$ -modules.

A **framed deformation** of  $(V, \beta)$  is a triple  $(V, \iota_A, \beta_A)$ , where

- $(V, \iota_A)$  is a deformation of  $V$  to  $A$ ,

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<sup>6</sup>For  $a \in A$ , denote by  $\bar{a} \in k$  the residue class.

<sup>7</sup>This means that the map

$$G \times V \rightarrow V \quad (g, v) \mapsto gv$$

is continuous; or equivalently,  $G \rightarrow \text{GL}(V)$  is continuous.

- $\beta_A$  is a basis of  $V_A$  over  $A$  that reduces to  $\beta_F$  via  $\iota_A$ .

Define  $D_V(A)$  (resp.  $D_V^\square(A)$ ) to the set of isomorphism classes of deformations (resp. framed deformations) of  $V$  to  $A$ .

*Remark.* If we view  $(V, \beta)$  as the representation  $\bar{\rho} : G \rightarrow \mathrm{GL}_d(V)$ , then a framed deformation  $(V_A, \iota_A, \beta_A)$  is a representation  $\rho_A : G \rightarrow \mathrm{GL}_d(A)$  lifting  $\bar{\rho}$ , namely  $G \xrightarrow{\rho_A} \mathrm{GL}_d(A) \rightarrow \mathrm{GL}_d(\mathbb{F})$  is exactly  $\bar{\rho}$ , and two framed deformations are isomorphic if they are the same representation  $G \rightarrow \mathrm{GL}_d(A)$ . Forgetting the basis, we see that two deformations are isomorphic if they are, as representations, conjugate by some element in  $\ker(\mathrm{GL}_d(A) \rightarrow \mathrm{GL}_d(\mathbb{F}))$ .

## 2.3 Representability

A profinite group  $G$  satisfies the **Mazur's finiteness condition**  $\Phi_p$ , if for every *open* subgroup  $G' \subset G$ , the  $\mathbb{F}_p$ -vector space  $\mathrm{Hom}_{\mathrm{gp}}(G', \mathbb{F}_p)$  of continuous group homomorphisms is finite.

**Theorem 4** (Mazur). Assume that  $G$  satisfies condition  $\Phi_p$ .

- (a)  $D_V^\square$  is representable by an  $R_V^\square \in \widehat{\mathfrak{A}\mathfrak{r}_{\mathcal{O}}}$ .
- (b) If Schur's lemma  $\mathrm{End}_{\mathbb{F}[G]}(V) = \mathbb{F}$  is true, then  $D_V$  is representable by an  $R_V \in \widehat{\mathfrak{A}\mathfrak{r}_{\mathcal{O}}}$ .

### 2.3.1 Construction of $R_V^\square$

We are looking for a universal representation  $\rho^\square : G \rightarrow \mathrm{GL}_d(R_V^\square)$  in the sense that for any lift  $\rho_A : G \rightarrow \mathrm{GL}_d(A)$  of  $\bar{\rho}$  with  $A \in \widehat{\mathfrak{A}\mathfrak{r}_{\mathcal{O}}}$ , there is a morphism  $R_V^\square \rightarrow A$  s.t.  $G \xrightarrow{\rho^\square} \mathrm{GL}_d(R_V^\square) \rightarrow \mathrm{GL}_d(A)$  equals  $\rho_A$ .

Suppose first that  $G$  is finite with presentation

$$G = \langle g_1, \dots, g_s \mid r_1(g_1, \dots, g_s) = \dots = r_t(g_1, \dots, g_s) \rangle.$$

Let

$$\mathcal{R} := \mathcal{O} \left[ \{X_{ij}^k\}_{1 \leq i, j \leq d}^{1 \leq k \leq s} \right] / \mathcal{I},$$

where  $\mathcal{I}$  is the ideal generated by all *entries* of the matrices

$$r_l(X^1, \dots, X^k) - \mathrm{id}, \quad X^k = (X_{ij}^k)_{i,j}, \quad 1 \leq k \leq s, \quad 1 \leq l \leq t.$$

Consider the kernel  $\mathcal{J}$  of the homomorphism

$$\mathcal{R} \rightarrow \mathbb{F} \quad X_{ij}^k \mapsto \text{the } (i, j)\text{-entry of } \bar{\rho}(g_k)$$

and define  $R_V^\square := \varprojlim_n \mathcal{R} / \mathcal{J}^n$  to be the  $\mathcal{J}$ -adic completion of  $\mathcal{R}$ . Define  $\rho^\square : G \rightarrow \mathrm{GL}_d(R_V^\square)$  by  $\rho^\square(g_k) := X^k$ .

- $R_V^\square$  is complete, and it is local (with residue field  $\mathbb{F}$ ) because  $\mathcal{J}$  is a maximal ideal.
- $\rho^\square$  is well-defined, because

$$\begin{array}{ccccc} & & \mathrm{GL}_d(R_V^\square) & \xrightarrow{\det} & R_V^\square \\ & \nearrow \rho^\square & \downarrow & & \downarrow \\ G & \xrightarrow{\bar{\rho}} & \mathrm{GL}_d(\mathbb{F}) & \xrightarrow{\det} & \mathbb{F} \end{array}$$

commutes, and  $R_V^\square$  is local.

- One checks that  $R_V^\square$  represents  $D_V^\square$  and  $\rho^\square$  is the **universal framed deformation** of  $\bar{\rho}$  (if  $R_V^\square$  is Noetherian).

In the general case of  $G$  being profinite, we write  $G = \varprojlim_i G/H_i$  with  $H_i \subset \ker \bar{\rho}$  open and normal in  $G$  and consider the universal lifts  $(R_i, \rho_i)$  of the representations  $G/H_i \rightarrow \mathbb{F}$  from  $\bar{\rho}$ . For  $G/H_i \rightarrow G/H_j$ , the universality of  $\rho_i$  provides the dotted arrow in the commutative diagram

$$\begin{array}{ccc} G/H_i & \xrightarrow{\rho_i} & \mathrm{GL}_d(R_i) \\ \downarrow & & \vdots \\ G/H_j & \xrightarrow{\rho_j} & \mathrm{GL}_d(R_j) \end{array}$$

Therefore we obtain  $(R_V^\square, \rho^\square) := \varprojlim_i (R_i, \rho_i)$ .

Note that this construction requires not the condition  $\Phi_p$ , which is needed to make  $R_V^\square$  Noetherian.

### 2.3.2 The tangent space

Let  $\mathbb{F}[\varepsilon] := \mathbb{F}[X]/(X^2)$ , which is called the ring of **dual numbers**. For a functor  $D : \widehat{\mathfrak{Art}}_{\mathcal{O}} \rightarrow \mathbf{Set}$  sending the terminal object  $\mathbb{F}$  to the terminal object  $D(\mathbb{F}) = \{\bullet\}$ , we call the set  $t_D := D(\mathbb{F}[\varepsilon])$  the **Zariski tangent space** of  $D$ . If there is a fixed bijection  $D(\mathbb{F}[\varepsilon] \oplus \mathbb{F}[\varepsilon]) \simeq D(\mathbb{F}[\varepsilon]) \times D(\mathbb{F}[\varepsilon])$ , we equip  $t_D$  with the  $\mathbb{F}$ -linear structure give by this bijection.

- Assume that  $D : \widehat{\mathfrak{Art}}_{\mathcal{O}} \rightarrow \mathbf{Set}$  is representable by  $R \in \widehat{\mathfrak{Art}}_{\mathcal{O}}$ . Then the tangent space

$$t_D \simeq \mathrm{Hom}_{\mathcal{O}}(R, \mathbb{F}[\varepsilon]) \simeq \mathrm{Hom}_{\mathbb{F}}(\mathfrak{m}_R/(\mathfrak{m}_R^2 + \mathfrak{m}_{\mathcal{O}}), \mathbb{F}[\varepsilon]) = t_R$$

is the Zariski or relative tangent space of  $R$  over  $\mathcal{O}$ . (what is the last isomorphism (if there is one...)?)

Define  $\mathrm{ad} V := \mathrm{End}_{\mathbb{F}}(V) \simeq V^\vee \otimes_{\mathbb{F}} V$  with the standard  $G$ -module structure  $\mathrm{ad} \bar{\rho} = \bar{\rho}^\vee \otimes \bar{\rho}$ .

**Lemma 2.2.** There are canonical isomorphisms<sup>8</sup>

$$D_V(\mathbb{F}[\varepsilon]) \simeq \mathrm{Ext}_{\mathbb{F}[G]}^1(V, V) \simeq H^1(G, \mathrm{ad} V).$$

*Proof.* (1) Given an extension

$$0 \longrightarrow V \xrightarrow{i} W \xrightarrow{\pi} V \longrightarrow 0$$

of  $\mathbb{F}[G]$ -modules, we define the  $\mathbb{F}[G]$ -linear action of  $\varepsilon$  on  $W$  by  $\varepsilon|_W := i \circ \pi$ , which endows  $W$  with an  $\mathbb{F}[\varepsilon][G]$ -module structure and an isomorphism

$$W \otimes_{\mathbb{F}[\varepsilon]} \mathbb{F} = W/\varepsilon W = W/i(V) \xrightarrow{\pi} V.$$

Conversely, for a deformation  $(W, \iota)$  of  $V$  to  $\mathbb{F}[\varepsilon]$ , we get an extension of  $V$  by itself

$$\begin{array}{ccccccc} 0 & \longrightarrow & V & \longrightarrow & W & \longrightarrow & V \longrightarrow 0 \\ & & \downarrow \iota & & \parallel & \searrow & \uparrow \iota \\ & & W \otimes_{\mathbb{F}[\varepsilon]} \mathbb{F} & \hookrightarrow & W \otimes_{\mathbb{F}[\varepsilon]} \mathbb{F}[\varepsilon] & \twoheadrightarrow & W/\varepsilon W \simeq W \otimes_{\mathbb{F}[\varepsilon]} \mathbb{F} \end{array}$$

as  $\mathbb{F}[G]$ -modules.<sup>9</sup> The first isomorphism is thereby established.

<sup>8</sup>In  $\mathrm{Ext}^1$ , we consider *continuous* extension classes.

<sup>9</sup>The fact  $W \simeq V \oplus V$  as  $\mathbb{F}[G]$ -modules doesn't mean that the extension split.

(2) The second isomorphism is a general fact that we have extracted as Example 1.1.  $\square$

We use the abbreviation  $h^i(\cdots) := \dim_{\mathbb{F}} H^i(\cdots)$ .

**Lemma 2.3.** If  $G$  satisfies condition  $\Phi_p$ , then  $D_V(\mathbb{F}[\varepsilon])$  is a finite dimensional  $\mathbb{F}$ -vector space, and

$$\dim_{\mathbb{F}} D_V^{\square}(\mathbb{F}[\varepsilon]) = \dim_{\mathbb{F}} D_V(\mathbb{F}[\varepsilon]) + d^2 - h^0(G, \text{ad } V)$$

is also finite.

*Proof.* Let  $G' := \ker(G \rightarrow \text{GL}(V))$ . Since  $G$  acts continuously,  $G'$  is an open normal subgroup of  $G$ . Consider the inflation-restriction exact sequence

$$0 \rightarrow H^1(G/G', \text{ad } V) \rightarrow H^1(G, \text{ad } V) \rightarrow H^1(G', \text{ad } V)^{G/G'}.$$

The left term is obviously finite. For the right term,  $G'$  acts trivially, so<sup>10</sup>

$$H^1(G', \text{ad } V) = \text{Hom}_{\text{gp}}(G', \text{ad } V) \simeq \text{Hom}_{\text{gp}}(G', \mathbb{F}_p) \otimes_{\mathbb{F}_p} \text{ad } V$$

is finite by condition  $\Phi_p$ . Therefore  $\dim_{\mathbb{F}} D_V(\mathbb{F}[\varepsilon]) = h^1(G, \text{ad } V) < \infty$ .

(Do the equation later.)  $\square$

**Lemma 2.4.** Let  $A$  be a complete local  $\mathcal{O}$ -algebra with residue field  $\mathbb{F}$ . If  $\{\alpha_i\}_{i \in I} \subset \mathfrak{m}_A$  generates the relative cotangent space  $t_A^{\vee} = \mathfrak{m}_A/(\mathfrak{m}_A^2 + \mathfrak{m}_{\mathcal{O}})$  of  $A$  over  $\mathcal{O}$  as an  $\mathcal{O}$ -module, then the homomorphism

$$\mathcal{O}[[X_i \mid i \in I]] \rightarrow A \quad X_i \mapsto \alpha_i$$

is surjective.

*Proof.* Cannot use Lemma 2.1 because Noetherianity of  $A$  is the goal!  $\square$

**Corollary 2.1.** The ring  $R_V^{\square}$  is Noetherian if  $H^1(G, \text{ad } V)$  is  $\mathbb{F}$ -finite-dimensional.

*Proof.* Combine the lemmata above.  $\square$

This completes the proof of Theorem 4 (a).

### 2.3.3 Quotient by group action and the representability of $D_V$

Result is  $\text{Spf } R_V = \text{Spf } R_V^{\square} / \widehat{\text{PGL}_d}$ .

### 2.3.4 Presentation of the universal deformation ring $R_V$

## 3 Taylor-Wiles Patching

Keep the notations  $\mathcal{O} = \mathcal{O}_L$  for  $L/\mathbb{Q}_p$ , and let  $k = \mathcal{O}/\mathfrak{m}_{\mathcal{O}}$  and  $\varpi \in \mathcal{O}$  be a uniformizer.

Fix a continuous absolutely irreducible modular representation  $\rho : \text{Gal}_{\mathbb{Q}, \{p, \infty\}} \rightarrow \text{GL}_2(k)$  with determinant  $\bar{\varepsilon}^{-1}$ .

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<sup>10</sup>We have

$$\text{Hom}_{\text{gp}}(G, V) \simeq \text{Hom}_{\text{gp}}(G, k) \otimes_k V$$

for any group  $G$  and any *finite* dimensional vector space  $V$  over a field  $k$ .