

# Notes on CFT

## 1 Review: Galois theory

### 1.1 Field Extensions

Let  $L/K$  be an algebraic extension. It is called:

- ◊ **normal**, if every polynomial  $f \in K[T]$  with a root in  $L$  splits in  $L$ ,  $\iff L$  is the splitting field of a bunch of polynomials over  $K$ ;
- ◊ **separable**, if for every element in  $L$ , its minimal polynomial over  $K$  has no multiple roots in its splitting field,  $\iff \gcd(f, f') = 1$ ;
- ◊ **Galois**, if it is normal and separable, i.e.,  $L$  is the splitting field of a bunch of *separable* polynomials over  $K$ . We put  $\text{Gal}(L/K) := \text{Aut}_K(L)$ .

*Remark.* 1. For a finite *normal* extension  $L/K$ ,  $|\text{Aut}_K(L)| \leq [L : K]$ , where the equality holds  $\iff L/K$  is separable, i.e. Galois. This is because a  $K$ -automorphism of  $L = K[T]/(f)$  just permutes the roots of  $f$ .

2. Normality is NOT transitive. As an example, take  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}) \subset \mathbb{Q}(\sqrt[4]{2})$ .

### 1.2 Galois theory

Now let  $L/K$  be a Galois extension. Equip  $\text{Gal}(L/K)$  with the following **Krull topology**:  $\forall \sigma \in \text{Gal}(L/K)$ , a basis of nbhd around  $\sigma$  is given by

$$\sigma \text{Gal}(L/F), \quad \text{where } L/F/K, \ F/K < \infty \text{ \& Galois.}$$

- Two elements  $\sigma, \tau \in \text{Gal}(L/K)$  are “close” to each other, if  $\sigma|_F = \tau|_F$  for sufficiently large finite Galois subextensions  $F/K$ .
- Both multiplication and inverse on  $\text{Gal}(L/K)$  are continuous for Krull topology.
- The Krull topology is profinite for  $L/K$  infinite, whence

$$\text{Gal}(L/K) \simeq \varprojlim_{F/K < \infty \text{ \& Galois}} \text{Gal}(F/K).$$

When  $L/K < \infty$ , this is the discrete topology.

- If there is a tower

$$K \subset L_1 \subset L_2 \subset \cdots \subset L,$$

where all  $L_n/K$ 's are Galois, and

$$L = \bigcup_n L_n,$$

then

$$\text{Gal}(L/K) = \varprojlim_n \text{Gal}(L_n/K).$$

Galois theory says that the intermediate fields of  $L/K$  corresponds to the closed subgroups of  $\text{Gal}(L/K)$  bijectively and  $\text{Gal}(L/K)$ -equivariantly.

- : For an intermediate field  $F$ , it gives  $\text{Gal}(L/F) \subset \text{Gal}(L/K)$ . Note that  $L/F$  is Galois, but  $F/K$  is NOT always Galois. The Galois group acts on  $\{\text{intermediate field of } L/K\}$  via  $(\sigma, F) \mapsto \sigma F = \sigma(F)$ .
- ←: For a closed subgroup  $H < G$ , it fixes a subfield  $L^H \subset L$ . The Galois group acts on  $\{H : H < \text{Gal}(L/K)\}$  by conjugation, i.e.,  $(\sigma, H) \mapsto \sigma H \sigma^{-1}$ .

In particular,

- ◇ *Galois* extensions correspond to *normal closed* subgroups, and
- ◇ *finite* extensions correspond to *open* subgroups.

### Base change

**Proposition 1.1.** Let  $L/K$  be Galois. If  $M/K$  is any extension, and both  $L$  and  $M$  are subextensions of  $\Omega/K$ , then  $LM/M$  is Galois, and

$$\begin{aligned} \text{Gal}(LM/M) &\xrightarrow{\sim} \text{Gal}(L/L \cap M) \\ \sigma &\mapsto \sigma|_L. \end{aligned}$$

As a corollary, if  $L, L'$  are Galois subextensions of  $\Omega/K$ , then  $LL'/K$  is also Galois, and

$$\begin{aligned} \text{Gal}(LL'/K) &\hookrightarrow \text{Gal}(L/K) \times \text{Gal}(L'/K) \\ \sigma &\mapsto (\sigma|_L, \sigma|_{L'}); \end{aligned}$$

this embedding is an isomorphism if  $L \cap L' = K$ .

## 2 Extensions of Local Fields

### 2.1 Simple Extensions of DVRs

Let  $A$  be a local ring with  $(\mathfrak{m}, k)$ ,  $f \in A[X]$  a monic polynomial of  $\deg n$ . We consider the extension

$$A \rightarrow B_f := A[X]/f.$$

Let  $\bar{f}$  be the image of  $f$  in  $k[X] \simeq A[X]/\mathfrak{m}$  with decomposition

$$\bar{f} = \prod_i \bar{g}_i^{e_i}, \quad g_i \in A[X], \quad \bar{g}_i \in k[X] \text{ irreducible.}$$

and

$$\bar{B}_f := B_f/\mathfrak{m}B_f \simeq A[X]/(\mathfrak{m}, f) \simeq k[X]/(\bar{f}).$$

**Lemma 2.1.**  $\mathfrak{m}_i := (\mathfrak{m}, g_i \bmod f) \subset B_f$  are all the distinct maximal ideals of  $B_f$ .

*Proof.* Denote  $\pi : B_f \rightarrow \bar{B}_f$ . We have  $B_f/\mathfrak{m}_i \simeq \bar{B}_f/(\bar{g}_i)$ , so  $\mathfrak{m}_i$ 's are maximal. Note that  $\mathfrak{m}_i = \pi^{-1}(\bar{g}_i)$ .

Take  $\mathfrak{n} \in \text{MaxSpec } B_f$ . If  $\mathfrak{n} \supset \mathfrak{m}$ , then  $\mathfrak{n} = \pi^{-1}\pi\mathfrak{n}$ , and goes to a maximal ideal in  $\bar{B}_f$  (because  $\bar{B}_f/\pi\mathfrak{n} \simeq B_f/\mathfrak{n}$ ), so  $\mathfrak{n} = \pi^{-1}(\bar{g}_i) = \mathfrak{m}_i$ .

So assume that  $\mathfrak{m} \not\subset \mathfrak{n}$ , then  $\mathfrak{n} + \mathfrak{m}B_f = B_f$ .<sup>1</sup> Therefore

$$\frac{B_f}{\mathfrak{n}} = \frac{\mathfrak{n} + \mathfrak{m}B_f}{\mathfrak{n}} \simeq \frac{\mathfrak{m}B_f}{\mathfrak{n}}.$$

Since  $A$  is local and  $B_f$  is a f.g.  $A$ -mod, by Nakayama's lemma, we see  $\mathfrak{n} = B_f$ . Contradiction. □

Now take  $A$  to be a DVR with  $\mathfrak{m} = (\varpi)$  and  $K = \text{Frac } A$ . Put  $L := K[X]/(f)$ . We give two cases where  $B_f$  is a DVR.

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<sup>1</sup>In this case  $\mathfrak{n}/(\mathfrak{n} \cap \mathfrak{m}) \simeq \bar{B}_f$  as  $B_f$ -module, and thus  $\pi^{-1}\pi\mathfrak{n} = B_f$ .

### Unramified case

Let  $\bar{f} \in k[X]$  be irreducible. Then  $B_f$  is a DVR with maximal ideal  $\mathfrak{m}B_f$ .

**Corollary 2.1.**  $f \in A[X]$  is also irreducible, so  $L$  is a field. Moreover,  $B_f$  is the integral closure of  $A$  in  $L$ , and  $L/K$  is unramified if  $\bar{f}$  is separable.

*Proof.*  $L = K[X]/f \simeq (A[X]/f) \otimes_A K = B_f \otimes_A K$ . As  $B_f$  is a domain,  $L$  is a field and  $L = \text{Frac } B_f$ . Since  $A$  is integrally closed,  $B_f$  is also integrally closed, so  $B_f$  is the integral closure of  $A$  in  $L$ .  $\square$

### Totally ramified case

Let  $f \in A[X]$  be an **Eisenstein polynomial**, i.e.,

$$f = X^n + a_{n-1}X^{n-1} + \cdots + a_0, \quad a_i \in \mathfrak{m}, \quad a_0 \notin \mathfrak{m}^2.$$

**Proposition 2.1.**  $B_f$  is a DVR, with maximal ideal generated by the image of  $X$  and residue field  $k$ .

*Proof.* Let  $x$  be the image of  $X$  in  $B_f$ . We have  $\bar{f} = X^n$ , so  $B_f$  is a local ring with maximal ideal  $(\mathfrak{m}, x)$ . Because  $a_0 \in \mathfrak{m} \setminus \mathfrak{m}^2$ ,  $a_0$  must uniformise  $\mathfrak{m} \subset A$ , and

$$-a_0 \bmod f = x^n + \cdots + (a_1 \bmod f)x,$$

Therefore  $(\mathfrak{m}, x) = (x)$ .  $\square$

Similar to Corollary 2.1,  $f$  is irreducible and  $L$  is a field with  $B_f$  the integral closure of  $A$  in  $L$ .

## 2.2 Unramified Extensions of Local Fields

Let  $K$  be a CDVF<sup>2</sup>. We assume further that both  $K$  and its residue field  $k = \mathcal{O}_K/\mathfrak{m}$  are perfect.

The slogan is that unramified extensions are just extensions of residue fields. Using Hensel's lemma, an extension  $k(a)/k$  can be lifted to a unique extension  $K(\alpha)/K$  over  $K$  with

$$\text{Gal}(K(\alpha)/K) \simeq \text{Gal}(k(a)/k).$$

Moreover, given an extension  $L/K$ , there is a maximal unramified subextension  $K_0$  in  $L$  containing every unramified extensions.

Now we assume  $k$  to be finite. Then adjoining roots of unities with order coprime to  $p = \text{char } k$  gives all finite unramified extensions of  $K$ .

**Example 1.** Let  $K/\mathbb{Q}_p < \infty$  and  $k = \mathbb{F}_q$ . Then the unique extension of  $k$  of degree  $n$  is the splitting field of  $X^{q^n} - X$  over  $k$ , which equals  $k(\mu_{q^n-1})$  once we fix an algebraic closure of  $k$ . So the unramified extension  $K_n/K$  of degree  $n$  is the splitting field of  $X^{q^n} - X$  over  $K$ , i.e.,

$$K_n = K(\mu_{q^n-1}).$$

The Galois group  $\text{Gal}(K_n/K)$  is generated by  $\text{Frob}_K$ , which is determined by

$$\text{Frob}_K \beta \equiv \beta^q \bmod \varpi, \quad \forall \beta \in \mathcal{O}_{K_n}$$

for any uniformiser  $\varpi$  (simultaneously of  $K$  and  $K_n$ ).

What if we adjoin  $\zeta_m$  to  $K$  where  $m$  is an arbitrary integer prime to  $p$ ? The answer is that  $K(\mu_m)$  is unramified of degree the smallest positive integer  $f$  s.t.  $m \mid p^f - 1$ , by the following Lemma 2.2 on finite fields.

**Lemma 2.2.** Let  $\zeta_n$  be a primitive  $n$ -th root of unity over  $\mathbb{F}_q$  with  $q, n$  coprime. Then  $[\mathbb{F}_q(\zeta_n) : \mathbb{F}_q]$  is the smallest integer  $f > 0$  s.t.  $n \mid q^f - 1$ .

<sup>2</sup>CDVF stands for complete discrete valuation field.

*Proof.* Because  $\text{char } \mathbb{F}_q \nmid n$ , the primitive root  $\zeta_n$  exists and  $\mathbb{F}_q(\zeta_n)$  is the splitting field of  $X^n - 1$  over  $\mathbb{F}_q$ . The degree  $f = [\mathbb{F}_q(\zeta_n) : \mathbb{F}_q]$  is the order of  $\text{Frob}_q$  on  $\mathbb{F}_q(\zeta_n)$ , i.e.,  $f$  is the smallest integer s.t.

$$\text{Frob}_q^f(\zeta_n) = \zeta_n^{q^f} = \zeta_n.$$

The definition of primitive root of unity says that

$$\zeta_n^{q^f - 1} = 1 \iff n \mid q^f - 1. \quad \square$$

## 2.3 Ramification Groups

Let  $K$  be a CDVF with perfect residue field  $k$ ,  $L/K < \infty$  Galois. We will study the Galois group

$$G := \text{Gal}(L/K)$$

by giving filtrations on it.

## 3 Lubin-Tate Theory

### 3.1 Formal Groups

In this section, a formal group means a commutative formal group law of dimension one. If  $f \in A[[T]]$  and  $g \in A[[X_1, \dots, X_n]]$ , then

$$\begin{aligned} f \circ g &:= f(g(X_1, \dots, X_n)), \\ g \circ f &:= g(f(X_1), \dots, f(X_n)). \end{aligned}$$

**Lemma 3.1.** Let  $f = \sum_{i \geq 1} a_i T^i \in A[[T]]$ . Then

$$\exists g \in A[[T]] \text{ s.t. } f \circ g = g \circ f = T \iff a_1 \in A^\times.$$

*Proof.* Use  $A[[T]] = \varprojlim A[T]/T^n$ . For details, see the proof of Lemma 3.2.  $\square$

### 3.2 Lubin-Tate formal groups

From now on, we write  $A := \mathcal{O}_K$ .

Choose a uniformiser  $\varpi$  of  $K$ . Define

$$\mathcal{F}_\varpi := \left\{ f \in \mathcal{O}_K[[T]] \mid \begin{array}{ll} f(T) \equiv \varpi T & \text{mod } T^2 \\ f(T) \equiv T^q & \text{mod } \varpi \end{array} \right\}.$$

For example,  $f(T) = T^q + \varpi T \in \mathcal{F}_\varpi$ . The following lemma is a fundamental property of  $\mathcal{F}_\varpi$ .

**Lemma 3.2.** Let  $f, g \in \mathcal{F}_\varpi$ ,  $\Phi_1$  be a linear form<sup>3</sup> over  $\mathcal{O}_K$ . Then there is a **unique**  $\Phi \in \mathcal{O}_K[[X_1, \dots, X_n]]$ , s.t.

$$\begin{cases} \Phi \equiv \Phi_1 \text{ mod } (X_1, \dots, X_n)^2, \\ f(\Phi(X_1, \dots, X_n)) = \Phi(g(X_1), \dots, g(X_n)). \end{cases}$$

*Proof.* We use a standard method. Finding  $\Phi$  is equivalent to finding  $\Phi_r \in A[X_1, \dots, X_n]$  s.t.

$$\begin{cases} \Phi_{r+1} \equiv \Phi_r & \text{mod } (\deg \geq r+1), \\ f(\Phi_r) \equiv \Phi_r(g(X_1), \dots, g(X_n)) & \text{mod } (\deg \geq r+1). \end{cases}$$

The second condition is guaranteed because  $X \mapsto h(X)$  is  $X$ -adic continuous for any power series  $h$ .

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<sup>3</sup>A **linear form** is a homogeneous polynomial of degree 1.

Suppose we have found  $\Phi_r$ . We look for  $\Phi_{r+1}$  of the form  $\Phi_{r+1} = \Phi_r + Q$ , where  $Q$  is homogeneous of degree  $r + 1$ , s.t.

$$f(\Phi_{r+1}) \equiv \Phi_{r+1}(g(X_1), \dots, g(X_n)) \pmod{\deg \geq r + 2}.$$

The LHS is

$$f(\Phi_r) + f(Q) \equiv f(\Phi_r) + \varpi Q \pmod{\deg \geq r + 2},$$

while the RHS is

$$\Phi_r \circ g + Q(\varpi X_1, \dots, \varpi X_n) \equiv \Phi_r \circ g + \varpi^{r+1} Q,$$

so if such a  $Q \in A[X_1, \dots]$  exists, it must satisfy

$$\varpi(\varpi^r - 1)Q \equiv f \circ \Phi_r - \Phi_r \circ g \pmod{\deg \geq r + 2}$$

and thus being unique. This procedure also shows that all  $\Phi_r$ 's are unique if we require  $\Phi_{r+1} - \Phi_r$  to be homogeneous.

Because  $\varpi^r - 1 \in A^\times$ , it suffices to show

$$f(\Phi_r) \equiv \Phi_r \circ g \pmod{\varpi},$$

which is clear. □

By Lemma 3.2, one may define the **Lubin-Tate formal groups**. They are exactly the formal group laws admitting an endomorphism

- that has derivative at the origin equal to a uniformiser of  $K$ , and
- reduces mod  $\mathfrak{m}$  to the Frobenius map  $T \mapsto T_q$ .

Moreover, these formal groups admit  $\mathcal{O}_K$ -actions and are isomorphic as formal  $\mathcal{O}_K$ -modules.

**Proposition 3.1.** For each  $f \in \mathcal{F}_\varpi$ , there is a unique formal group  $F_f$  over  $\mathcal{O}_K$  admitting  $f$  as an endomorphism.

*Proof.* Lemma 3.2 gives  $F_f \in A[[X, Y]]$  s.t.

$$\begin{cases} F_f = X + Y + \deg \geq 2, \\ f(F_f(X + Y)) = F_f(f(X), f(Y)). \end{cases}$$

The associativity is proved by showing that both  $G_1 = F_f(X, F_f(Y, Z))$  and  $G_2 = F_f(F_f(X, Y), Z)$  satisfies

$$\begin{cases} G = X + Y + Z + \deg \geq 2, \\ f(G) = G(f(X), f(Y), f(Z)). \end{cases}$$

This is a direct application of Lemma 3.2 and will be used many times. □

So Lubin-Tate formal groups exist. Now we investigate their homomorphisms.

**Proposition 3.2.** For each  $f, g \in \mathcal{F}_\varpi$  and  $a \in \mathcal{O}_K$ , there is a unique  $[a]_{g,f} \in \mathcal{O}_K[[T]]$  s.t.

$$\begin{cases} [a]_{g,f} = aT + \dots, \\ g \circ [a]_{g,f} = [a]_{g,f} \circ f, \end{cases}$$

and  $[a]_{g,f} \in \text{Hom}(F_f, F_g)$ , i.e.

$$F_g \circ [a]_{g,f} = [a]_{g,f} \circ F_f.$$

As a corollary of Lemma 3.1, each  $u \in A^\times$  gives an isomorphism  $[u]_{g,f} : F_f \xrightarrow{\sim} F_g$ , and there is a unique isomorphism  $F_f \simeq F_g$  of the form  $T + \dots$ . □

We write  $[a]_f := [a]_{f,f} \in \text{End } F_f$ . Note that

$$[\varpi]_f = f.$$

**Proposition 3.3.** For any  $a, b \in \mathcal{O}_K$ ,

$$[a + b]_{g,f} = [a]_{g,f} + [b]_{g,f},$$

and

$$[ab]_{h,f} = [a]_{h,g} \circ [b]_{g,f}.$$

In particular,  $\mathcal{O}_K \hookrightarrow \text{End } F_f$  as a ring by  $a \mapsto [a]_f$ , making  $F_f$  a formal  $\mathcal{O}_K$ -module. The canonical isomorphism  $[1]_{g,f}$  is an isomorphism of  $\mathcal{O}_K$ -modules.  $\square$

### 3.3 Construction of $K_\varpi$

Fix an algebraic closure  $K^{\text{alg}}$  of  $K$ . Each  $f \in \mathcal{F}_\varpi$  associates to  $\mathfrak{m}_{K^{\text{alg}}}$  an  $\mathcal{O}_K$ -module structure via

$$\alpha +_{F_f} \beta := F_f(\alpha, \beta)$$

and

$$a \cdot \alpha := [a]_f(\alpha)^4.$$

for  $|\alpha| < 1, |\beta| < 1$  and  $a \in \mathcal{O}_K$ . We denote this  $\mathcal{O}_K$ -module by  $\Lambda_f$ . If  $g \in \mathcal{F}_\pi$ , then the canonical isomorphism  $[1] : F_f \rightarrow F_g$  yields  $\Lambda_f \xrightarrow{\sim} \Lambda_g$ .

The  $\varpi^n$ -torsion part of  $\Lambda_f$  is denoted by  $\Lambda_{f,n}$ , i.e.,  $\Lambda_{f,n} := \Lambda_f[[\varpi]_f^n]$ . Because  $[\varpi]_f = f$ ,  $\Lambda_{f,n}$  is the  $\mathcal{O}_K$ -module consisting of the roots of  $f^{(n)} := f \circ \dots \circ f$ . If one takes  $f$  to be an Eisenstein polynomial, then all the roots of  $f^{(n)}$  lie in  $\mathfrak{m}_{K^{\text{alg}}}$ , so  $\Lambda_{f,n}$  is precisely the set of roots of  $f^{(n)}$  equipped with the  $\mathcal{O}_K$ -module structure from  $F_f$ .

**Lemma 3.3.** Let  $M$  an  $\mathcal{O}_K$ -module,  $M_n = M[\varpi^n]$ . If

- $M_1$  has  $q = [\mathcal{O}_K : \varpi]$  elements, and
- $\varpi : M \rightarrow M$  is surjective,

then  $M_n \simeq \mathcal{O}_K / \varpi^n$ .

*Proof.* Do induction on  $n$ . The structure theorem of f.g. modules over a PID shows that  $M_1$  having  $q$  elements implies that  $M_1 \simeq A/\varpi$ . Now assume it true for  $n - 1$ . Look at the sequence

$$0 \rightarrow M_1 \rightarrow M_n \xrightarrow{\varpi} M_{n-1} \rightarrow 0.$$

Surjectivity of  $\varpi$  implies the exactness of this sequence, and thus  $M_n$  has  $q^n$  elements. In addition,  $M_n$  must be cyclic, otherwise  $M_1 = M_n[\varpi^n]$  is not cyclic.  $\square$

**Proposition 3.4.** The  $\mathcal{O}_K$ -module  $\Lambda_{f,n}$  is isomorphic to  $\mathcal{O}_K / \varpi^n$ , and hence  $\text{End}(\Lambda_{f,n}) \simeq \mathcal{O}_K / \varpi^n$ .

*Proof.* It suffices to show for a chosen  $f$ , so let's take  $f = \varpi T + \dots + T^q$ , an Eisenstein polynomial. We use the above Lemma 3.3 by the following observations.

- All roots of an Eisenstein polynomial have valuation  $> 0$ .
- If  $|\alpha| < 1$ , then the Newton polygon of  $f(T) - \alpha$  shows that its roots have valuation  $> 0$ , and thus  $[\varpi] = f(T)$  is surjective on  $\Lambda_f$ .  $\square$

**Lemma 3.4.** Let  $L$  be a finite Galois extension of  $K$ . Then for every  $F \in \mathcal{O}_K[[X_1, \dots, X_n]]$ ,  $\alpha_1, \dots, \alpha_n \in \mathfrak{m}_L$  and  $\tau \in \text{Gal}(L/K)$ ,

$$\tau F(\alpha_1, \dots, \alpha_n) = F(\tau \alpha_1, \dots, \alpha_n).$$

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<sup>4</sup>These power serieses converges because they actually falls in a finite extension of  $K$ .

*Proof.* Note that  $\tau$  acts continuously on  $L$ , because the extension of valuation for local fields is unique. Therefore writing  $F = \lim_{m \rightarrow \infty} F_m$  gives the desired result.  $\square$

**Theorem 1.** Let  $K_{\varpi,n} := K(\Lambda_{f,n}) \subset K^{\text{alg}}$ . These fields are independent to the choice of  $f$ .

- (a)  $K_{\varpi,n}/K$  is totally ramified of degree  $q^{n-1}(q-1)$ .
- (b) The action of  $\mathcal{O}_K$  on  $\Lambda_{f,n}$  defines an isomorphism

$$(\mathcal{O}_K/\mathfrak{m}_K^n)^\times \simeq \text{Gal}(K_{\varpi,n}/K). \quad (1)$$

- (c) For all  $n$ ,  $\varpi$  is a norm from  $K_{\varpi,n}$ , i.e.,  $\exists \alpha_n \in K_{\varpi,n}$  with  $N_{K_{\varpi,n}/K}(\alpha_n) = \varpi$ .

*Proof.* Let  $f$  be a polynomial  $T^q + \dots + \varpi T$ .

Choose a nonzero root  $\varpi_1$  of  $f(T)$  and, inductively, a root  $\varpi_n$  of  $f(T) - \varpi_{n-1}$ . So  $\varpi_n \in \Lambda_{f,n}$ , and we obtain a tower of extensions

$$K_{\varpi,n} \supset K(\varpi_n) \xrightarrow{q} K(\varpi_{n-1}) \xrightarrow{q} \dots \xrightarrow{q} K(\varpi_1) \xrightarrow{q-1} K.$$

All the extensions with indicated degrees are given by Eisenstein polynomials, and thus Galois and totally ramified.

The field  $K_{\varpi,n} = K(\Lambda_{f,n})$  is the splitting field of  $f^{(n)}$  over  $K$ , hence  $\text{Gal}(K_{\varpi,n}/K)$  embeds into the permutation group of the set  $\Lambda_{f,n}$ . By Lemma 3.4, the action of  $\text{Gal}(K_{\varpi,n}/K)$  on  $\Lambda_n$  preserves its  $\mathcal{O}_K$ -action, so

$$\text{Gal}(K_{\varpi,n}/K) \hookrightarrow \text{Aut}(\Lambda_{f,n}) \simeq (\mathcal{O}_K/\varpi^n)^\times.$$

So  $[K_{\varpi,n} : K] \leq (q-1)q^{n-1}$ . Comparing the degree gives  $K_{\varpi,n} = K(\varpi_n)$ .

Now we prove (c). Let  $f^{[n]} := (f/T) \circ f \circ \dots \circ f$ . Then  $f^{[n]}$  is monic with degree  $q^{n-1}(q-1)$  and  $f^{[n]}(\varpi_n) = 0$ , and thus  $f^{[n]}$  is the minimal polynomial of  $\varpi_n$  over  $K$ . So we have

$$N_{K_{\varpi,n}/K}(\varpi_n) = (-1)^{q^{n-1}(q-1)}$$

by the following Lemma 3.5.  $\square$

**Lemma 3.5.** Let  $L/K$  be a finite extension in an algebraic closure  $K^{\text{alg}}$ , and  $\alpha \in L$  has minimal polynomial  $f$  over  $K$  of degree  $d$ . Suppose

$$f(X) = (X - \alpha_1) \cdots (X - \alpha_d) \in K^{\text{alg}}[X],$$

and let  $e = [L : K(\alpha)]$  then

$$N_{L/K}(\alpha) = \left( \prod_{i=1}^d \alpha_i \right)^e, \quad \text{Tr}_{L/K}(\alpha) = e \sum_{i=1}^d \alpha_i.$$

Moreover, if

$$f(X) = a_d X^d + a_{d-1} X^{d-1} + \dots + a_0,$$

then

$$N_{L/K}(\alpha) = (-1)^{de} a_0^e, \quad \text{Tr}_{L/K}(\alpha) = -e a_{d-1}.$$

*Remark.* This can be deduced from  $N_{L/K} = N_{L/K(\alpha)} \circ N_{K(\alpha)/K}$  and  $\text{Tr}_{L/K} = \text{Tr}_{L/K(\alpha)} \circ \text{Tr}_{K(\alpha)/K}$ .

Define

$$K_\varpi := \bigcup_n K_{\varpi,n}.$$

The isomorphisms in Theorem 1 (b) are

$$(\mathcal{O}_K/\varpi^n)^\times \rightarrow \text{Gal}(K_{\varpi,n}/K) \quad \bar{u} \mapsto (\Lambda_{f,n} \ni \alpha \mapsto [u]_f(\alpha)),$$

and clearly lift to an isomorphism

$$A^\times \simeq \text{Gal}(K_\varpi/K).$$

## The local Artin map

The **local Artin map** is a homomorphism

$$\phi_{\varpi} : K^{\times} \rightarrow \text{Gal}(K_{\varpi} K^{\text{nr}}/K) = \text{Gal}(K^{\text{nr}}/K) \times \text{Gal}(K_{\varpi}/K)$$

defined as follows. Let  $a = u\varpi^m \in K^{\times}$ , then

- $\phi_{\varpi}(a)|_{K^{\text{nr}}} := \text{Frob}^m$ ;
- $\phi_{\varpi}(a)(\lambda) := [u^{-1}]_f(\lambda), \forall \lambda \in \bigcup_n \Lambda_n$ .

**Theorem 2.** Both  $K_{\varpi}$  and  $K^{\text{nr}}$  are independent of the choice of  $\varpi$ .

## 3.4 The Local Kronecker-Weber theorem

### 3.5 The Case of $\mathbb{Q}_p$

Let  $K = \mathbb{Q}_p$  and  $\varpi = p$ . Then  $f(T) := (1+T)^p - 1 \in \mathcal{F}_p$ . Note that  $f$  is an endomorphism of

$$\mathbb{G}_m(X, Y) = X + Y + XY,$$

so  $F_f = \mathbb{G}_m/\mathbb{Z}_p$ . Under the isomorphism

$$(\mathfrak{m}, +_{\mathbb{G}_m}) \simeq (1 + \mathfrak{m}, \cdot),$$

the endomorphism  $f : a \mapsto (1+a)^p - 1$  is converted to the Frobenius map  $a \mapsto a^p$ .

### The field $(\mathbb{Q}_p)_p$

For each  $r \geq 1$ , the  $p^r$ -torsion part of  $\Lambda_f$  is

$$\Lambda_{f,r} = \left\{ \alpha \in \mathbb{Q}_p^{\text{alg}} \mid (1+\alpha)^{p^r} = 1 \right\} \simeq \left\{ \zeta \in (\mathbb{Q}_p^{\text{alg}})^{\times} \mid \zeta^{p^r} = 1 \right\} = \mu_{p^r}.$$

The isomorphism is for  $\mathcal{O}_K$ -modules. So choose primitive  $p^r$ -th roots of unity  $\zeta_{p^r}$  s.t.  $\zeta_{p^r}^p = \zeta_{p^{r-1}}$ , then  $\varpi_r := \zeta_{p^r} - 1$  forms a sequence of compatible generators of  $\Lambda_{f,r}$ . Therefore

$$(\mathbb{Q}_p)_{p,r} = \mathbb{Q}_p(\varpi_r) = \mathbb{Q}_p(\mu_{p^r}),$$

and the “maximal totally ramified abelian extension”<sup>5</sup> of  $\mathbb{Q}_p$  is  $(\mathbb{Q}_p)_p = \mathbb{Q}_p(\mu_{p^\infty})$ .

### The local Artin map $\phi_p : \mathbb{Q}_p^{\times} \rightarrow \text{Gal}(\mathbb{Q}_p^{\text{ab}}/\mathbb{Q}_p)$

It suffices to look at every

$$\phi_p : \mathbb{Q}_p^{\times} \rightarrow \text{Gal}(\mathbb{Q}_p(\mu_n)/\mathbb{Q}_p).$$

- If  $n$  is prime to  $p$ , then  $\mathbb{Q}_p(\mu_n)/\mathbb{Q}_p$  is unramified of degree  $f$ , where  $f$  is the minimum natural number s.t.  $m \mid p^f - 1$ . The map  $\phi_p$  sends  $up^t$  to the  $t$ -th power of Frobenius- $p^f$  on  $\mathbb{Q}_p(\mu_n) = \mathbb{Q}_p(\mu_{p^f-1})$ , and  $\ker \phi_p = (p^f)^{\mathbb{Z}} \times \mathbb{Z}_p^{\times}$ .
- If  $n = p^r$ , then  $\mathbb{Q}_p(\mu_{p^r})/\mathbb{Q}_p$  is totally ramified. The map  $\phi_p$  sends  $up^t$  to the element sending a root of unity  $\zeta$  to  $\zeta^{\bar{u}^{-1}}$ , where  $\bar{u} \in \mathbb{Z}$  has the same residue modulo  $p^r$  as  $u$ . The kernel is  $p^{\mathbb{Z}} \times (1 + p^r \mathbb{Z}_p)$ .

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<sup>5</sup>Not sure if this terminology is correct ...?