

# Modular Forms

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1. It is equivalent to  $[\Gamma_\infty : \Gamma_\infty^+] \leq 2$ . Let

$$L_1 := \begin{pmatrix} 1 & \mathbb{Z} \\ 0 & 1 \end{pmatrix}, L_2 = \left\{ \pm \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \middle| t \in \mathbb{Z} \right\} = L_1 \cup (-1) \cdot L_1,$$

then both  $L_1$  and  $L_2$  are subgroups of  $\mathrm{SL}_2(\mathbb{Z})$ , and thus

$$[\Gamma_\infty : \Gamma_\infty^+] = [\Gamma \cap L_2 : \Gamma \cap L_1] \leq [L_2 : L_1] = 2.$$

2. Let  $N > 2$ . Then  $-1 \not\equiv 1 \pmod{N}$ , so  $\Gamma_1(N) \cap (-1) \cdot L_1 = \emptyset$  and thus  $\Gamma_1(N)_\infty = \Gamma_1(N)_\infty^+$ . Since  $-1 \in \Gamma_0(N)_\infty$  and  $-1 \notin \Gamma_0(N)_\infty^+$ , we know  $[\Gamma_0(N)_\infty : \Gamma_0(N)_\infty^+] \neq 1$ , so it equals 2.
3. If  $[\Gamma_\infty : \Gamma_\infty^+] = 2$ , then there exists a  $t \in \mathbb{Z}$  s.t.

$$g := \begin{pmatrix} -1 & t \\ 0 & -1 \end{pmatrix} \in \Gamma.$$

Let  $f \in M_k(\Gamma)$ , then

$$f(z) = f|_k g(z) = (-1)^{-k} f(z - t) = -f(z - t).$$

If  $f = \sum_{n \geq 0} a_n q_N^n$  is the Fourier expansion of  $f$  at infinity, then

$$\sum_{n \geq 0} a_n e^{\frac{2\pi i n}{N} z} = - \sum_{n \geq 0} a_n e^{-\frac{2\pi i n t}{N}} e^{\frac{2\pi i n}{N} z}.$$

Comparing the terms gives

$$f(\infty) = a_0 = 0.$$

4. Let

$$\mathbb{Z}_{\mathrm{prim}}^2 := \{(c, d) \in \mathbb{Z}^2 \setminus \{(0, 0)\} \mid \gcd(c, d) = 1\}$$

Take  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ . Since  $\det g = ad - bc = 1$ , the integers  $c$  and  $d$  are coprime. Then because

$$\begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} g = \begin{pmatrix} a + tc & b + td \\ c & d \end{pmatrix},$$

the map  $\Gamma_\infty^+ \backslash \Gamma \rightarrow \mathbb{Z}_{\mathrm{prim}}^2$  is well-defined.

If  $g' = \begin{pmatrix} a' & b' \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$ , then  $a'd - b'c = 1$ , so

$$(a' - a)d = (b' - b)c.$$

Since  $c, d$  are coprime, we have  $c \mid (a' - a)$  and  $d \mid (b' - b)$ . Hence,

$$t' := \frac{a' - a}{c} = \frac{b' - b}{d} \in \mathbb{Z}.$$

If  $g' \in \Gamma$ , then

$$\begin{pmatrix} 1 & t' \\ 0 & 1 \end{pmatrix} = g' g^{-1} \in \Gamma_\infty^+,$$

i.e.,  $g' \in \Gamma_\infty^+ g$ . So the map  $\Gamma_\infty^+ \backslash \Gamma \rightarrow \mathbb{Z}_{\mathrm{prim}}^2$  is injective.

5. Let  $G = G_{k,\Gamma,\infty}$ . For all  $g \in \Gamma$  and  $z \in \mathcal{H}$ ,

$$\begin{aligned} (G|_k g)(z) &= j(g, z)^{-k} G(gz) \\ &= \sum_{h \in \Gamma_\infty^+ \setminus \Gamma} j(g, z)^{-k} j(h, gz)^{-k} \\ &= \sum_{h \in \Gamma_\infty^+ \setminus \Gamma} j(hg, z)^{-k} = G(z). \end{aligned}$$

6. Let  $G = G_{k,\Gamma,\infty}$ . If  $[\Gamma_\infty : \Gamma_\infty^+] = 2$ , then we can write  $\Gamma_\infty = \Gamma_\infty^+ \sqcup \Gamma_\infty^+ \gamma$  with

$$\gamma = \begin{pmatrix} -1 & t \\ & -1 \end{pmatrix}$$

for some  $t \in \mathbb{Z}$ . Hence

$$\Gamma = \bigsqcup_h \Gamma_\infty h = \bigsqcup_h (\Gamma_\infty^+ h \sqcup \Gamma_\infty^+ \gamma h),$$

and

$$\begin{aligned} G(z) &= \sum_{g \in \Gamma_\infty^+ \setminus \Gamma} j(g, z)^{-k} \\ &= \sum_{h \in \Gamma_\infty \setminus \Gamma} (j(h, z)^{-k} + j(\gamma h, z)^{-k}) \\ &= \sum_{h \in \Gamma_\infty \setminus \Gamma} (1 + j(\gamma, hz)^{-k}) j(h, z)^{-k}. \end{aligned}$$

Since  $j(\gamma, \tau) = -1$  for all  $\tau \in \mathcal{H}$ , we get  $G(z) = 0$  for all  $z \in \mathcal{H}$  once  $k$  were odd.

7. For  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , as  $z \rightarrow i\infty$ ,  $j(g, z) \rightarrow \infty$  if  $c \neq 0$  and  $j(g, z) = d = \pm 1$  if  $c = 0$ . If  $g \in \Gamma$ , then  $c = 0$  if and only if  $g \in \Gamma_\infty$ . Hence

$$\begin{aligned} \lim_{z \rightarrow i\infty} G_{k,\Gamma,\infty}(z) &= \sum_{g \in \Gamma_\infty^+ \setminus \Gamma} \lim_{z \rightarrow i\infty} j(g, z)^{-k} = \sum_{g \in \Gamma_\infty^+ \setminus \Gamma_\infty} \lim_{z \rightarrow i\infty} j(g, z)^{-k} \\ &= \begin{cases} 1, & [\Gamma_\infty : \Gamma_\infty^+] = 1, \\ 0, & [\Gamma_\infty : \Gamma_\infty^+] = 2 \text{ and } k \text{ is odd;} \\ 2, & [\Gamma_\infty : \Gamma_\infty^+] = 2 \text{ and } k \text{ is even.} \end{cases} \end{aligned}$$

So  $G_{k,\Gamma,\infty}$  is bounded at infinity.

8. We have

$$G_{k,\Gamma,\infty}|_k g(z) = \sum_{h \in \Gamma_\infty^+ \setminus \Gamma} j(hg, z)^{-k}.$$

As we see in **7.**,  $\lim_{z \rightarrow i\infty} j(hg, z)^{-k} = 0$  if and only if the matrix  $hg$  has nonzero bottom-left term. For each  $h \in \Gamma$ , since  $hg\infty \in c = \Gamma \cdot g\infty$  and the cusp  $c \neq \infty$ , we know that  $hg\infty \neq \infty$ . Therefore  $hg$  has nonzero bottom-left term, and thus

$$G_{k,\Gamma,\infty}|_k g(\infty) = \sum_{h \in \Gamma_\infty^+ \setminus \Gamma} \lim_{z \rightarrow i\infty} j(hg, z)^{-k} = 0.$$

9. This follows from **5.** ( $G_{k,\gamma,\infty}$  is a weak modular form of weight  $k$ ), **7.** ( $G_{k,\gamma,\infty}$  is bounded at infinity) and **8.** ( $G_{k,\gamma,\infty}$  is bounded at all the cusps different from infinity).

10. To begin with, we note that:

**Lemma 1.** If  $f \in M_k(\Gamma)$  and  $g \in \mathrm{SL}_2(\mathbb{Z})$ , then  $f|_k g \in M_k(g^{-1}\Gamma g)$ .

*Proof.* • For all  $\gamma \in \Gamma$ ,  $(f|_k g)|_k(g^{-1}\gamma g) = f|_k(\gamma g) = (f|_k \gamma)|_k g = f|_k g$ .

• For all  $h \in \mathrm{SL}_2(\mathbb{Z})$ ,  $(f|_k g)|_k h = f|_k(gh)$  is bounded at infinity.

Hence  $f|_k g \in M_k(g^{-1}\Gamma g)$ . □

For simplicity, we use the following notation.

**Definition 1.** For every  $f \in M_k(\Gamma)$  and  $g \in \mathrm{SL}_2(\mathbb{Z})$ , define

$$f(g\infty) := (f|_k g)(\infty) = \lim_{z \rightarrow i\infty} f|_k g(z).$$

We can verify some basic properties.

**Lemma 2.** Let  $f \in M_k(\Gamma)$  and  $g, h \in \mathrm{SL}_2(\mathbb{Z})$ .

(a)  $(f|_k g)(h\infty) = f(gh\infty)$ .

(b) If  $g\infty$  and  $h\infty$  represent the same cusp of  $\Gamma$ , then  $f(g\infty)$  and  $f(h\infty)$  only differ by a sign, which is independent of  $f$ . In particular, if  $\{g_1\infty, \dots, g_r\infty\}$  is a set of representatives of the cusps of  $\Gamma$ , then  $f \in S_k(\Gamma)$  if and only if  $f(g_1\infty) = \dots = f(g_r\infty) = 0$ .

*Proof.* Property (a) is straightforward. For (b), suppose that  $g\infty = \gamma h\infty$  for some  $\gamma \in \Gamma$ . Then  $g^{-1}\gamma h \in \mathrm{SL}_2(\mathbb{Z})_\infty$ , so there is a  $t \in \mathbb{Z}$  s.t.

$$T := g^{-1}\gamma h = \begin{pmatrix} \pm 1 & t \\ & \pm 1 \end{pmatrix}.$$

Now

$$\begin{aligned} (f|_k h)(z) &= (f|_k(\gamma^{-1}gT))(z) = ((f|_k g)|_k T)(z) \\ &= (\pm 1)^{-k} (f|_k g)(z \pm t). \end{aligned}$$

So  $f(g\infty) = \pm f(h\infty)$ , and the sign is determined by  $g$  and  $h$ . □

Now let  $\{g_1\infty, \dots, g_r\infty\}$  be fixed representatives of all the different cusps of  $\Gamma$ , where  $g_1, \dots, g_r \in \mathrm{SL}_2(\mathbb{Z})$ . For each  $i \in \{1, \dots, r\}$ , the function  $G_{k, g_i^{-1}\Gamma g_i, \infty} \in M_k(g_i^{-1}\Gamma g_i)$ , so

$$G_i := G_{k, g_i^{-1}\Gamma g_i, \infty}|_k g_i^{-1} \in M_k(\Gamma).$$

If  $j \neq i$ , then the cusp represented by  $g_i^{-1}g_j\infty$  is not infinity, and thus

$$G_i(g_j\infty) = \left( G_{k, g_i^{-1}\Gamma g_i, \infty}|_k(g_i^{-1}g_j) \right)(\infty) = 0 \quad (1)$$

by 8.

Now take  $f \in M_k(\Gamma)$ . We claim that

$$f_0 := f - \sum_{\substack{1 \leq i \leq r \\ G_i(g_i\infty) \neq 0}} \frac{f(g_i\infty)}{G_i(g_i\infty)} G_i \in S_k(\Gamma), \quad (2)$$

and thereby proving that  $S_k(\Gamma)$  together with  $G_1, \dots, G_r$  generates  $M_k(\Gamma)$ . By ??, it suffices to show for  $1 \leq i \leq r$ ,

$$f_0(g_i\infty) = f(g_i\infty) - \sum_{\substack{1 \leq j \leq r \\ G_j(g_j\infty) \neq 0}} \frac{f(g_j\infty)}{G_j(g_j\infty)} G_j(g_i\infty) = 0.$$

By ??, this is true if

$$f(g_i \infty) \neq 0 \implies G_i(g_i \infty) \neq 0.$$

Since  $f|_k g_i \in M_k(g_i^{-1} \Gamma g_i)$ , then by **3.** and **7.**,

$$\begin{aligned} G_i(g_i \infty) &= \left( G_{k, g_i^{-1} \Gamma g_i, \infty} \right) (\infty) = 0 \iff k \text{ is odd and } [(g_i^{-1} \Gamma g_i)_\infty : (g_i^{-1} \Gamma g_i)_\infty^+] = 2 \\ &\implies f(g_i \infty) = (f|_k g_i)(\infty) = 0, \end{aligned}$$

which completes the proof.

11. Keep our notations in **10.** Consider the  $\mathbb{C}$ -linear map

$$\iota : M_k(\Gamma) \rightarrow \mathbb{C}^{|C_\Gamma|}$$

given by

$$f \mapsto (f(g_1 \infty), \dots, f(g_r \infty)). \quad (3)$$

From ??, we deduce that  $\ker \iota = S_k(\Gamma)$  and  $\text{im } \iota$  is generated by  $\iota(G_1), \dots, \iota(G_r)$  because  $M_k(\Gamma)$  is generated by  $S_k(\Gamma)$  and  $G_1, \dots, G_r$ .

If  $k$  is even, then  $G_i(g_i \infty) \neq 0$  for all  $i \in \{1, \dots, r\}$ , and thus  $\iota(G_i)$  is the vector in  $\mathbb{C}^{|C_\Gamma|}$  whose  $i$ -th element is nonzero and other elements are zero. Therefore,  $\iota(G_1), \dots, \iota(G_r)$  form a basis of  $\mathbb{C}^{|C_\Gamma|}$ . Hence  $\dim M_k(\Gamma) = \dim S_k(\Gamma) + |C_\Gamma|$ .

12. Keep the notations in **11.** When  $k$  is odd, the image of  $\iota$  is still generated by  $\iota(G_i)$ 's, but

$$\iota(G_i) \neq 0 \iff [(g_i^{-1} \Gamma g_i)_\infty : (g_i^{-1} \Gamma g_i)_\infty^+] = 1,$$

and those nonzero  $\iota(G_i)$ 's are linearly-independent. Therefore  $\dim(\text{im } \iota) = |C'_\Gamma|$ , and  $\dim M_k(\Gamma) = \dim S_k(\Gamma) + |C'_\Gamma|$ .

13. Since the series  $G_{k, \Gamma, \infty}$  is normally convergent on any  $X_{A, B}$ ,

$$\begin{aligned} \text{vol}(\Gamma \backslash \mathcal{H}) \langle f, G_{k, \Gamma, \infty} \rangle &= \int_{\Gamma \backslash \mathcal{H}} f(z) \sum_{g \in \Gamma_\infty^+ \backslash \Gamma} \overline{j(g, z)^{-k}} y^{k-2} dx dy \\ &= \sum_{g \in \Gamma_\infty^+ \backslash \Gamma} \int_{\Gamma \backslash \mathcal{H}} f(z) \overline{j(g, z)^{-k}} y^{k-2} dx dy, \end{aligned}$$

where we write  $z = x + iy$ . Take a fundamental domain  $D_\Gamma$  for  $\Gamma$ . For each  $g \in \Gamma$ , since the volume form

$$d\mu(z) := \frac{dx dy}{y^2}$$

is  $\text{SL}_2(\mathbb{R})$ -invariant, so under the change of variable  $z \mapsto g^{-1} \tau$ ,

$$\begin{aligned} \int_{\Gamma \backslash \mathcal{H}} f(z) \overline{j(g, z)^{-k}} y^{k-2} dx dy &= \int_{D_\Gamma} f(z) \overline{j(g, z)^{-k}} (\text{Im } z)^k d\mu(z) \\ &= \int_{g D_\Gamma} f(g^{-1} \tau) \overline{j(g, g^{-1} \tau)^{-k}} (\text{Im } g^{-1} \tau)^k d\mu(\tau) \\ &= \int_{g D_\Gamma} f(\tau) j(g^{-1}, \tau)^k \overline{j(g^{-1}, \tau)^k} |j(g^{-1}, \tau)|^{-2k} (\text{Im } \tau)^k d\mu(\tau) \\ &= \int_{g D_\Gamma} f(\tau) (\text{Im } \tau)^k d\mu(\tau), \end{aligned}$$

where we used  $1 = j(1, \tau) = j(g, g^{-1}\tau)j(g^{-1}, \tau)$ . Because  $\bigcup_{g \in \Gamma_\infty^+ \backslash \Gamma} gD_\Gamma$  is a fundamental domain for  $\Gamma_\infty^+$ ,

$$\begin{aligned} \langle f, G_{k, \Gamma, \infty} \rangle &= \frac{1}{\text{vol}(\Gamma \backslash \mathcal{H})} \sum_{g \in \Gamma_\infty^+ \backslash \Gamma} \int_{gD_\Gamma} f(\tau) (\text{Im } \tau)^k d\mu(\tau) \\ &= \frac{1}{\text{vol}(\Gamma \backslash \mathcal{H})} \int_{\Gamma_\infty^+ \backslash \mathcal{H}} f(z) y^{k-2} dx dy. \end{aligned}$$

The group  $\Gamma_\infty^+$  is a subgroup of  $\begin{pmatrix} 1 & \mathbb{Z} \\ & 1 \end{pmatrix}$ , so it is generated by  $\begin{pmatrix} 1 & t \\ & 1 \end{pmatrix}$  for some  $t \in \mathbb{Z}$ , and therefore  $\{z \in \mathcal{H} | 0 \leq \text{Re}(z) \leq t\}$  is a fundamental domain for  $\Gamma_\infty^+$ . So

$$\begin{aligned} \int_{\Gamma_\infty^+ \backslash \mathcal{H}} f(z) y^{k-2} dx dy &= \int_0^\infty y^{k-2} dy \int_0^N f(z) dx \\ &= \int_0^\infty y^{k-2} a_0 = 0, \end{aligned}$$

where  $a_0 = 0$  is the constant term of the  $q$ -expansion of  $f \in S_k(\Gamma)$ . Hence  $\langle f, G_{k, \Gamma, \infty} \rangle = 0$ .

14. The injective map

$$\text{SL}_2(\mathbb{Z})_\infty^+ \backslash \text{SL}_2(\mathbb{Z}) \rightarrow \mathbb{Z}_{\text{prim}}^2$$

is surjective, because for each  $(c, d) \in \mathbb{Z}_{\text{prim}}^2$ , we can find  $a, b \in \mathbb{Z}$  s.t.  $ac - bd = 1$ , which gives a matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$ . Therefore,

$$G_{k, \text{SL}_2(\mathbb{Z}), \infty} = \sum_{g \in \text{SL}_2(\mathbb{Z})_\infty^+ \backslash \text{SL}_2(\mathbb{Z})} j(g, z)^{-k} = \sum_{(c, d) \in \mathbb{Z}_{\text{prim}}^2} (cz + d)^{-k}.$$

Note that the map

$$\mathbb{Z}_{\text{prim}}^2 \times \mathbb{Z}_{\geq 1} \rightarrow \mathbb{Z}^2 \setminus \{0, 0\} \quad ((c, d), u) \mapsto (cu, du)$$

is bijective, whose inverse is given by  $(c, d) \mapsto ((c/\gcd(c, d), d/\gcd(c, d)), \gcd(c, d))$ . Hence, the Eisenstein series

$$\begin{aligned} G_k(z) &= \sum_{(c, d) \in \mathbb{Z}^2 \setminus \{0, 0\}} (cz + d)^{-k} = \sum_{n \geq 1} n^{-k} \sum_{(c, d) \in \mathbb{Z}_{\text{prim}}^2} (cz + d)^{-k} \\ &= \zeta(k) G_{k, \text{SL}_2(\mathbb{Z}), \infty}. \end{aligned}$$

So  $G_{k, \text{SL}_2(\mathbb{Z}), \infty} = 2E_k(z)$ .