Out: 4 / 28 / 2021

Due: 5 / 12 / 2021 (deadline: 11:55PM)

Extra credit: This project is for extra credit and is therefore optional. You can earn up to 5% extra credit which will be added to your overall percentage in the course.

Late submissions: Late submissions result in 10% deduction for each day. The assignment will no longer be accepted 3 days after the deadline.

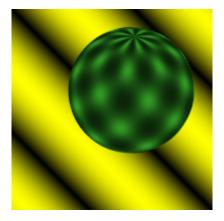
<u>Please read the instructions carefully.</u> Note: we will not be running code. Rather, we will check your code to make sure your implementation is your own, and it matches your results. <u>Your grade is primarily based on your written report.</u> This means going beyond just showing results. You should produce a standalone lab report, describing results in enough detail for someone else to follow. <u>Please submit a single PDF/HTML with all code included as an appendix.</u>

A) Optical Flow

In this project, you will implement the <u>Lucas-Kanade</u> and <u>Horn-Schunck</u> optical flow methods. Both methods are based on spatial and temporal derivatives, differing mainly in the optimization approach.

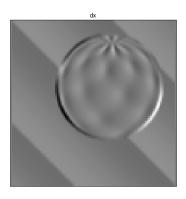
Implementation:

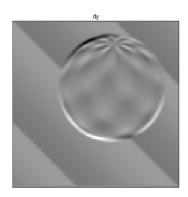
1. Assume two images with the same dimensions, e.g. im1 = sphere0.png and im2 = sphere1.png.



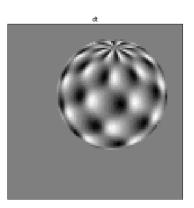


2. Compute spatial partial derivative images I_x and I_y for im1 using any method of your choice.





3. Compute temporal partial derivative I_t . This can be approximated by image difference im2 - im1.



Implement Lucas-Kanade

This method solves for optical flow by assuming constant flow within a neighborhood (patch), centered around the current pixel (i,j). Using this assumption, a linear system is constructed and solved to find $u_{i,j}$ and $v_{i,j}$. This means you must repeat this process for every pixel in the image.

Consider using a neighborhood size of 5x5. This results in the linear system shown below, with a matrix consisting of spatial partial derivatives and a vector of temporal partial derivatives at all points in the neighborhood p_i . Solve this system of equations via linear least squares to find $u_{i,j}$ and $v_{i,j}$.

Note, this is not the value of u and v for the entire neighborhood, only for the pixel (i,j). Repeat this process for every pixel in the image. You may ignore the boundary of the image where you cannot sample a full neighborhood.

Implement Horn-Schunck

This method solves for optical flow by imposing a smoothness penalty on the optical flow field, and uses gradient descent to iteratively estimate optical flow. The cost function to minimize is composed of two parts:

Smoothness on optical flow:

$$E_s(i,j) = \frac{1}{4} \left[(u_{i,j} - u_{i+1,j})^2 + (u_{i,j} - u_{i,j+1})^2 + (v_{i,j} - v_{i+1,j})^2 + (v_{i,j} - v_{i,j+1})^2 \right]$$

Brightness constancy:

$$E_d(i,j) = [I_x(i,j)u_{i,j} + I_y(i,j)v_{i,j} + I_t(i,j)]^2$$

The overall cost function:

$$E(\mathbf{u}, \mathbf{v}) = \sum_{i,j} \left[E_d(i,j) + \gamma E_s(i,j) \right]$$

Below is a pseudocode algorithm for Horn-Schunck optical flow:

```
# Initialize u and v to zeros
while not converged:

# Loop over all pixels
for i in rows:
    for j in cols:

# Compute ubar and vbar
    ubar = ...
    vbar = ...

# Update u and v
    u[i,j] = ubar - ...
    v[i,j] = vbar - ...
```

Where the update equations are (for iteration k):

$$\bar{u}_{i,j} = \frac{1}{4} \left[u_{i+1,j} + u_{i-1,j} + u_{i,j+1} + u_{i,j-1} \right] \quad \bar{v}_{i,j} = \frac{1}{4} \left[v_{i+1,j} + v_{i-1,j} + v_{i,j+1} + v_{i,j-1} \right]$$

$$u_{i,j}^{k+1} = \bar{u}_{i,j}^k - \frac{I_x(i,j)(I_x(i,j)\bar{u}_{i,j}^k + I_y(i,j)\bar{v}_{i,j}^k + I_t(i,j))}{\gamma^2 + I_x(i,j)^2 + I_y(i,j)^2}$$

$$v_{i,j}^{k+1} = \bar{v}_{i,j}^k - \frac{I_y(i,j)(I_x(i,j)\bar{u}_{i,j}^k + I_y(i,j)\bar{v}_{i,j}^k + I_t(i,j))}{\gamma^2 + I_x(i,j)^2 + I_y(i,j)^2}$$

You may check for convergence by computing the overall cost and checking that it doesn't change much between iterations, or you may just run the procedure for a certain number of iterations until you are satisfied with the results. It may take many iterations.

Experiments:

Synthetic Sphere Images

- 1. Using sphere0.png and sphere1.png, explore the **Lucas-Kanade** method using a neighborhood size of 3, 5, 11, and 21.
 - For each neighborhood size, <u>plot optical flow as a vector field and the magnitude of the optical</u> flow field at every pixel.
 - Describe the impact of the neighborhood size. Do you conclude that it plays a large role in the estimation of the optical flow field?
- 2. Using sphere0.png and sphere1.png, explore the **Horn-Schunck** method using values of $\lambda = 100$, 10, 1, and 0.1.
 - For each value of λ, plot optical flow as a vector field **and** the magnitude of the optical flow field at every pixel.
 - Describe the impact of λ. Do you conclude that it plays a large role in the estimation of the optical flow field?

Real Traffic Images

- 3. Using traffic0.png and traffic1.png, get the best results you can using the Lucas-Kanade method.
 - Show the best result you were able to obtain along with any parameter values used. <u>Plot optical flow as a vector field **and** the magnitude of the optical flow field at every pixel</u>.
 - Discuss the process of obtaining good results, i.e. was it easy/difficult?
- 4. Using traffic0.png and traffic1.png, get the best results you can using the Horn-Schunck method.
 - Show the best result you were able to obtain along with any parameter values used. <u>Plot optical flow as a vector field **and** the magnitude of the optical flow field at every pixel</u>.
 - Discuss the process of obtaining good results, i.e. was it easy/difficult?

Summary

- **5.** Give your overall thoughts of the two methods:
 - Do each have certain strengths and weaknesses, or is there one method that you find to be superior?
 - Compare the methods in terms of difficulty in choosing parameters.
 - Compare the methods (qualitatively) in terms of run time.
 - Were both methods equally challenging to implement. Did you find one to be easier?

Helpful hints:

• Plotting vectors over an image

```
# Subsample the vector field to make it less dense
subsample = 6
sub_u = u[0:rows:subsample, 0:cols:subsample]
sub_v = v[0:rows:subsample, 0:cols:subsample]

xc = np.linspace(0, cols, sub_u.shape[1])
yc = np.linspace(0, rows, sub_u.shape[0])

# Locations of the vectors
xv, yv = np.meshgrid(xc, yc)

fig1 = plt.figure(figsize = (14,7))

plt.imshow(im1,cmap = 'gray')
plt.title('Optical Flow'), plt.xticks([]), plt.yticks([])

# Plot the vectors
plt.quiver(xv, yv, sub u, sub v, color='y')
```

Blurring before computing derivatives

You may find you get better (or worse) results if you blur before you compute derivatives.

• Downsampling input images

You may find you get better (or worse) results if you downsample the input images.

Solving Lucas-Kanade via linear least squares instead of exact solution

You saw from lecture that you can construct an exact linear system via A^TA and A^Tb. However, this matrix A^TA is problematic and will cause solver errors for many pixels in the image. The real solution involves heuristics about the eingenvalues of A^TA. Solving via linear least squares avoids having to deal with this issue.