

Floyd-Hoare Logic

Ranjit Jhala
UC San Diego



Axiomatic Semantics

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1. Language for making **assertions** about programs

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2. **Rules** for establishing, i.e. proving the assertions

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- **First-order logic**

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Some typical **languages** of assertions:

- **First-order logic**
- Other logics (e.g., temporal logic)

TODAY'S PLAN

1. **Define** a small language
2. **Define** a logic for verifying assertions

IMP: An Imperative Language

syntax and operational
semantics

IMP Syntactic Entities

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- Int integer literals **n**

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- `Int` integer literals `n`
- `Bool` booleans `{ true, false }`

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- Aexp arithmetic expressions **e**
- Bexp boolean expressions **b**
- Comm commands **c**

Abstract Syntax: Arith Expressions (Aexp)

| | | |
|---------|---------------|--------------------------------|
| $e ::=$ | n | for $n \in \text{Int}$ |
| | $ x$ | for $x \in \text{Loc}$ |
| | $ e_1 + e_2$ | for $e_1, e_2 \in \text{Aexp}$ |
| | $ e_1 - e_2$ | for $e_1, e_2 \in \text{Aexp}$ |
| | $ e_1 * e_2$ | for $e_1, e_2 \in \text{Aexp}$ |

Note:

- Variables are **not declared**
- All variables have **integer** type
- There are **no side-effects**

Abstract Syntax: Bool Expressions (Bexp)

true ::= **true**
 | **false**
 | **e**₁ = **e**₂ for **e**₁, **e**₂ ∈ Aexp
 | **e**₁ < **e**₂ for **e**₁, **e**₂ ∈ Aexp
 | **! b** for **b** ∈ Bexp
 | **b**₁ || **b**₂ for **e**₁, **e**₂ ∈ Bexp
 | **b**₁ & **b**₂ for **e**₁, **e**₂ ∈ Bexp

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$c ::= \text{skip}$

| $x := e$

for $x \in L$ & $e \in \text{Aexp}$

| $c_1 ; c_2$

for $c_1, c_2 \in \text{Comm}$

| $\text{if } b \text{ then } c_1 \text{ else } c_2$

for $b \in \text{Bexp}$ & $c_1, c_2 \in \text{Comm}$

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- Typing rules embedded in syntax definition
 - Other checks may not be context-free
 - need to be specified separately (e.g., variables are declared)

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- Typing rules embedded in syntax definition
 - Other checks may not be context-free
 - need to be specified separately (e.g., variables are declared)
- Commands contain all the side-effects in the language

Semantics of IMP : States

- Meaning of IMP expressions depends on the values of variables
- A state σ is a function from Loc to Int
 - Value of variables at a given moment
 - Set of all states is $\Sigma = \text{Loc} \rightarrow \text{Int}$

Operational Semantics of IMP

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- Ternary **relation** on **expression**, a **state**, and a **value**:

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- Only if there is a unique derivation ...

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$$\frac{}{\langle n, \sigma \rangle \Downarrow n}$$

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$$\frac{\langle \mathbf{e}_1, \sigma \rangle \Downarrow n_1 \quad \langle \mathbf{e}_2, \sigma \rangle \Downarrow n_2}{\langle \mathbf{e}_1 + \mathbf{e}_2, \sigma \rangle \Downarrow n_1 + n_2}$$

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$$\frac{\langle b, \sigma \rangle \Downarrow p}{\langle \text{:}b, \sigma \rangle \Downarrow \text{:}p}$$

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$$\sigma[\mathbf{x} := n](\mathbf{y}) = \sigma(\mathbf{y})$$

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- **First-order logic**
- Other logics (e.g., temporal logic)

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History : Program Verification

- Turing 1949: Checking a large routine
- Floyd 1967: Assigning meaning to programs
- Hoare 1971: An "axiomatic basis for computer programming"
- Program Verifiers (70's - 80's)
- PRefix: Symbolic Execution for bug-hunting (WinXP)
- Software Validation tools

Foundation for Software Verification

- Deductive Verifiers: ESCJava, Spec#, Verifast, Y0, ...
- Model Checkers: SLAM, BLAST,...
- Test Generators: DART, CUTE, EXE,...

Hoare Triples

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- Partial correctness assertion: $\{A\} \text{ c } \{B\}$
*If A holds in state σ and exists σ' s.t. $\langle \text{c}, \sigma \rangle \Downarrow \sigma'$
then B holds in σ'*

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- Total correctness assertion: $[A] \text{ c } [B]$
*If A holds in state σ
then there exists σ' s.t. $\langle \text{c}, \sigma \rangle \Downarrow \sigma'$ and B holds in σ'*
- $[A]$ is called precondition, $[B]$ is called postcondition

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- $[A]$ is called precondition, $[B]$ is called postcondition
- Example: $\{y=x\} \text{ z } := \text{ x; z } := \text{ z}+1 \{y < \text{ z } \}$

The Assertion Language

- Arith Exprs + First-order Predicate logic

$A ::= \text{true} \mid \text{false}$

$\mid e_1 = e_2 \mid e_1, e_2$

$\mid \neg A \mid A_1 \ \&\& \ A_2 \mid A_1 \ \|\ A_2 \mid A_1 \Rightarrow A_2$

$\mid \backslash \text{exists } x.A \mid \backslash \text{forall } x.A$

- IMP boolean expressions are assertions

Semantics of Assertions

- Judgment $\sigma \models A$ means assertion holds in given state

| | |
|---|--|
| $\sigma \models \text{true}$ | always |
| $\sigma \models e_1 = e_2$ | iff $\langle e_1, \sigma \rangle \Downarrow n_1$, $\langle e_2, \sigma \rangle \Downarrow n_2$ and $n_1 = n_2$ |
| $\sigma \models e_1 \leq e_2$ | iff $\langle e_1, \sigma \rangle \Downarrow n_1$, $\langle e_2, \sigma \rangle \Downarrow n_2$ and $n_1 \leq n_2$ |
| $\sigma \models A_1 \ \&\& \ A_2$ | iff $\sigma \models A_1$ and $\sigma \models A_2$ |
| $\sigma \models A_1 \ \ A_2$ | iff $\sigma \models A_1$ or $\sigma \models A_2$ |
| $\sigma \models A_1 \Rightarrow A_2$ | iff $\sigma \models A_1$ implies $\sigma \models A_2$ |
| $\sigma \models \backslash \text{exists } x. A$ | iff for <i>some</i> n in Z . $\sigma[x := n] \models A$ |
| $\sigma \models \backslash \text{forall } x. A$ | iff for <i>all</i> n in Z . $\sigma[x := n] \models A$ |

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Formal definition of **partial** correctness assertion:

$\models \{A\} c \{B\}$

iff

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forall σ in Σ . $\sigma \models A$

implies [forall σ' in Σ . $\langle c, \sigma \rangle \Downarrow \sigma'$ implies $\sigma' \models B$]

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Derivation Rules for Hoare Triples

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Deriv. Rules for Hoare Logic $\vdash \{A\} c \{B\}$

Rules for each language construct

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And the rule of consequence...

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Prove $\vdash \{ \text{true} \} x := e \{ x = e \}$

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$$\frac{\text{true} \Rightarrow e = e \quad \frac{x \text{ does not appear in } e}{\vdash \{e = e\} x := e \{x = e\}}}{\vdash \{\text{true}\} x := e \{x = e\}}$$

Example: Conditional

Prove: $\{\text{true}\} \text{ if } y \leq 0 \text{ then } x := 1 \text{ else } x := y \{\text{x} > 0\}$

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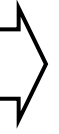
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- **Prove** $\vdash \{x \leq 0\} \text{ while } x \leq 5 \text{ do } x := x + 1 \{x = 6\}$
- Use the rule for while with invariant $x \leq 6$:

$$\frac{\frac{x \leq 6 \ \& \ x \leq 5 \Rightarrow x + 1 \leq 6 \quad \vdash \{x + 1 \leq 6\} \text{ } x := x + 1 \{x \leq 6\}}{\vdash \{x \leq 6 \ \& \ x \leq 5\} \text{ } x := x + 1 \{x \leq 6\}}}{\vdash \{x \leq 6\} \text{ while } x \leq 5 \text{ do } x := x + 1 \{x \leq 6 \ \& \ x > 5\}}$$

- Finish off with consequence rule:

$$\frac{x \leq 0 \Rightarrow x \leq 6 \quad \vdash \{x \leq 6\} \text{ } \mathbf{w} \{x \leq 6 \ \& \ x > 5\} \quad x \leq 6 \ \& \ x > 5 \Rightarrow x = 6}{\vdash \{x \leq 0\} \text{ } \mathbf{w} \{x = 6\}}$$

Soundness of Axiomatic Semantics

Formal Statement of Soundness:

If $\vdash \{A\} \text{ c } \{B\}$ then $\models \{A\} \text{ c } \{B\}$

Equivalently

If $H:: \vdash \{A\} \text{ c } \{B\}$ then

forall σ if $\sigma \models A$ and $D:: \langle \text{c}, \sigma \rangle \Downarrow \sigma'$ then $\sigma' \models B$

Proof:

Simultaneous induction on structure of D and H

Algorithmic Verification

Algorithmic Verification

Hoare rules mostly **syntax directed**, but:

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Algorithmic Verification

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1. When to apply the rule of **consequence** ?

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2. What **invariant** to use for while ?

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3. How to **prove implications** (conseq. rule)?

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Hint:

Algorithmic Verification

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Hint:

(3) involves ... SMT

Algorithmic Verification

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Hint:

(3) involves ... SMT

(2) **invariants** are the hardest problem

Algorithmic Verification

Hoare rules mostly **syntax directed**, but:

1. When to apply the rule of **consequence** ?
2. What **invariant** to use for while ?
3. How to **prove implications** (conseq. rule)?

Hint:

- (3) involves ... SMT
- (2) **invariants** are the hardest problem
- (1) lets see how to deal with ...

Making Floyd-Hoare Algorithmic: Predicate Transformers

Technique: Weakest Preconditions

Technique: Weakest Preconditions

After what preconditions does postcond. $x > 0$ hold?

Technique: Weakest Preconditions

$\vdash \{ y > 10 \} \mathbf{x} := \mathbf{y} \{ \mathbf{x} > 0 \}$

After what preconditions does postcond. $\mathbf{x} > 0$ hold?

Technique: Weakest Preconditions

$\vdash \{ y > 10 \} \mathbf{x} := \mathbf{y} \{ \mathbf{x} > 0 \}$

$\vdash \{ y > 100 \} \mathbf{x} := \mathbf{y} \{ \mathbf{x} > 0 \}$

After what preconditions does postcond. $\mathbf{x} > 0$ hold?

Technique: Weakest Preconditions

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$\vdash \{ y > 100 \} \mathbf{x} := \mathbf{y} \{ \mathbf{x} > 0 \}$

$\vdash \{ \mathbf{x} = 2 \ \& \ \mathbf{y} = 5 \} \mathbf{x} := \mathbf{y} \{ \mathbf{x} > 0 \}$

After what preconditions does postcond. $\mathbf{x} > 0$ hold?

Technique: Weakest Preconditions

$\vdash \{y > 10\} \mathbf{x} := \mathbf{y} \{x > 0\}$

$\vdash \{y > 100\} \mathbf{x} := \mathbf{y} \{x > 0\}$

$\vdash \{x=2 \ \& \ y=5\} \mathbf{x} := \mathbf{y} \{x > 0\}$

After what preconditions does postcond. $x > 0$ hold?

$WP(\mathbf{c}, \mathbf{B})$: weakest predicate s.t. $\{WP(\mathbf{c}, \mathbf{B})\} \mathbf{c} \{B\}$

- For any A we have $\{A\} \mathbf{c} \{B\}$ iff $A \Rightarrow WP(\mathbf{c}, B)$

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How to **verify** $\vdash \{A\} \mathbf{c} \{B\}$?

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How to verify $\vdash \{A\} \mathbf{c} \{B\}$?

1. Compute: $WP(\mathbf{c}, B)$
2. Prove: $A \Rightarrow WP(\mathbf{c}, B)$

Weakest Preconditions

Weakest Preconditions

Define $\text{wp}(c, B)$ using Hoare rules

Weakest Preconditions

Define $\text{wp}(c, B)$ using Hoare rules

$$\frac{\vdash \{A\} c_1 \{B\} \quad \vdash \{B\} c_2}{\vdash \{A\} c_1 ; c_2 \{C\}}$$

Weakest Preconditions

Define $wp(c, B)$ using Hoare rules

$wp(c_1; c_2, B)$

$$\frac{\vdash \{A\} c_1 \{B\} \quad \vdash \{B\} c_2}{\vdash \{A\} c_1; c_2 \{C\}}$$

Weakest Preconditions

Define $wp(c, B)$ using Hoare rules

$$\begin{aligned} wp(c_1; c_2, B) \\ = wp(c_1, wp(c_2, B)) \end{aligned}$$

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$$\frac{}{\vdash \{[e/x]A\} x := e \{A\}}$$

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$$wp(x := e, B)$$

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Weakest Preconditions

Define $\text{wp}(c, B)$ using Hoare rules

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$$\begin{aligned}\text{wp}(x := e, B) \\ = [e/x]B\end{aligned}$$

$$\frac{}{\vdash \{[e/x]A\} x := e \{A\}}$$

$$\text{wp}(\text{if } e \text{ then } c_1 \text{ else } c_2, B)$$

Weakest Preconditions

Define $\text{wp}(c, B)$ using Hoare rules

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$$\frac{}{\vdash \{[e/x]A\} x := e \{A\}}$$

$$\begin{aligned}\text{wp}(\text{if } e \text{ then } c_1 \text{ else } c_2, B) \\ = e \Rightarrow \text{wp}(c_1, B) \ \&\& \ !e \Rightarrow \text{wp}(c_2, B)\end{aligned}$$

$$\frac{\vdash \{A \& b\} c_1 \{B\} \quad \vdash \{A \& !b\} c_2 \{B\}}{\vdash \{A\} \text{if } b \text{ then } c_1 \text{ else } c_2 \{B\}}$$

Weakest Preconditions for Loops

Weakest Preconditions for Loops

Start from the equivalence

`while b do c =`

`if b then (c; while b do c) else skip`

Let $W = \text{wp}(\text{while } b \text{ do } c, B)$

It must be that: $W = [b \Rightarrow \text{wp}(c, W) \ \& \ !b \Rightarrow B]$

But this is a recursive equation! How to compute?!

- We'll return to finding loop WPs later ...

Technique: Strongest Postconditions

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What postcond. is guaranteed after prec. $y > 100$?

Technique: Strongest Postconditions

$\vdash \{ y > 100 \} \mathbf{x} := \mathbf{y} \{ \mathbf{x} > 10 \}$

What postcond. is guaranteed after prec. $y > 100$?

Technique: Strongest Postconditions

$\vdash \{ y > 100 \} \mathbf{x} := \mathbf{y} \{ x > 10 \}$

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What postcond. is guaranteed after prec. $y > 100$?

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- For any \mathbf{B} we have $\{\mathbf{A}\} \mathbf{c} \{\mathbf{B}\}$ iff $SP(\mathbf{c}, \mathbf{A}) \Rightarrow \mathbf{B}$

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How to verify $\{\mathbf{A}\} \mathbf{c} \{\mathbf{B}\}$?

1. Compute: $SP(\mathbf{c}, \mathbf{A})$

Technique: Strongest Postconditions

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$$sp(\text{if } e \text{ then } c_1 \text{ else } c_2, A) =$$

Strongest Postconditions

Define $sp(c, B)$ following Hoare rules

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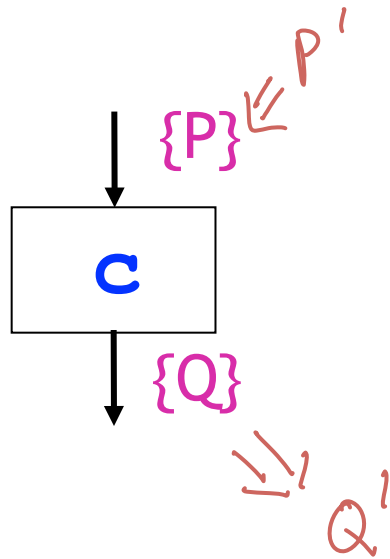
$$sp(\text{if } e \text{ then } c_1 \text{ else } c_2, A) = \frac{\vdash \{A \& b\} c_1 \{B\} \quad \vdash \{A \& !b\} c_2 \{B\}}{\vdash \{A\} \text{if } b \text{ then } c_1 \text{ else } c_2 \{B\}}$$

$$sp(c_1, A \& e) \parallel sp(c_2, A \& !e)$$

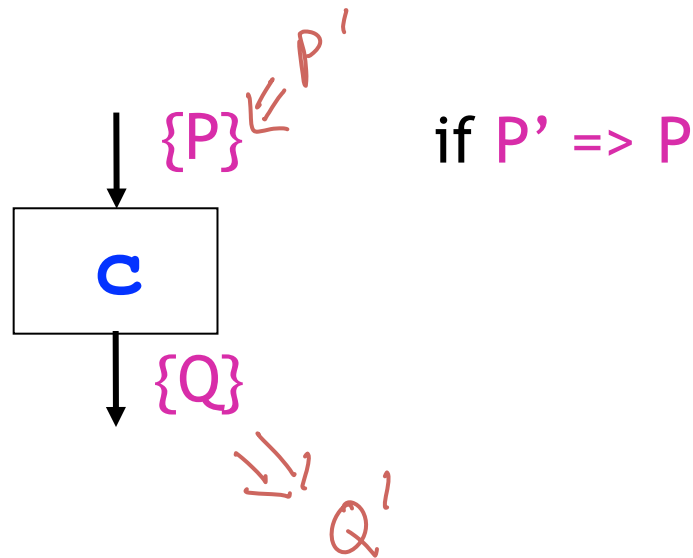
Axiomatic Semantics on Flow Graphs

Floyd's Original Formulation

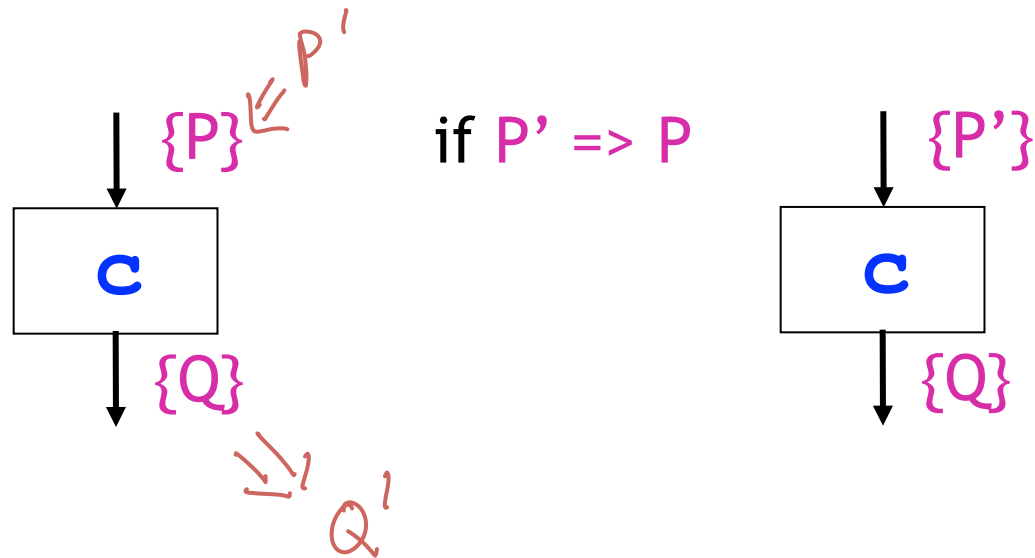
Axiomatic Semantics over Flow Graphs



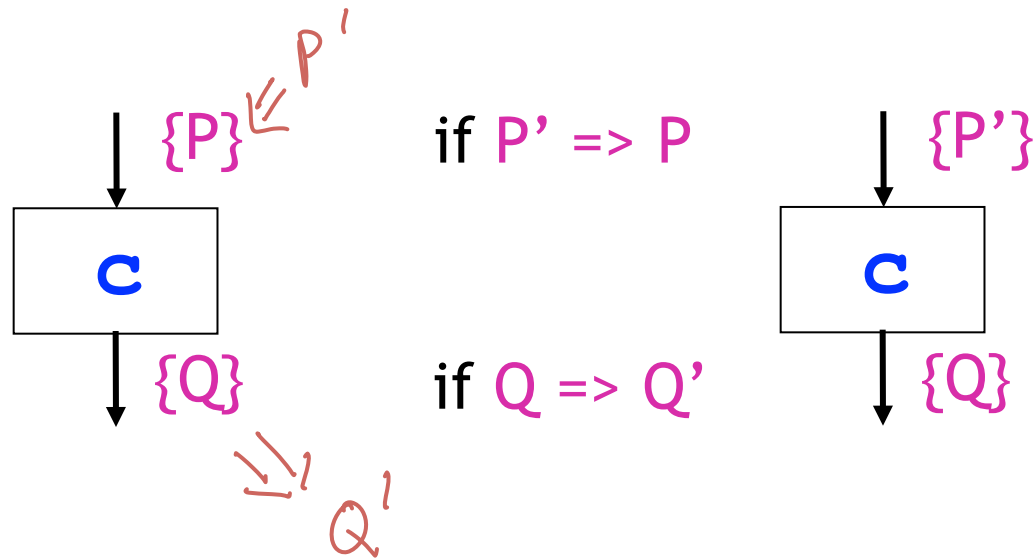
Axiomatic Semantics over Flow Graphs



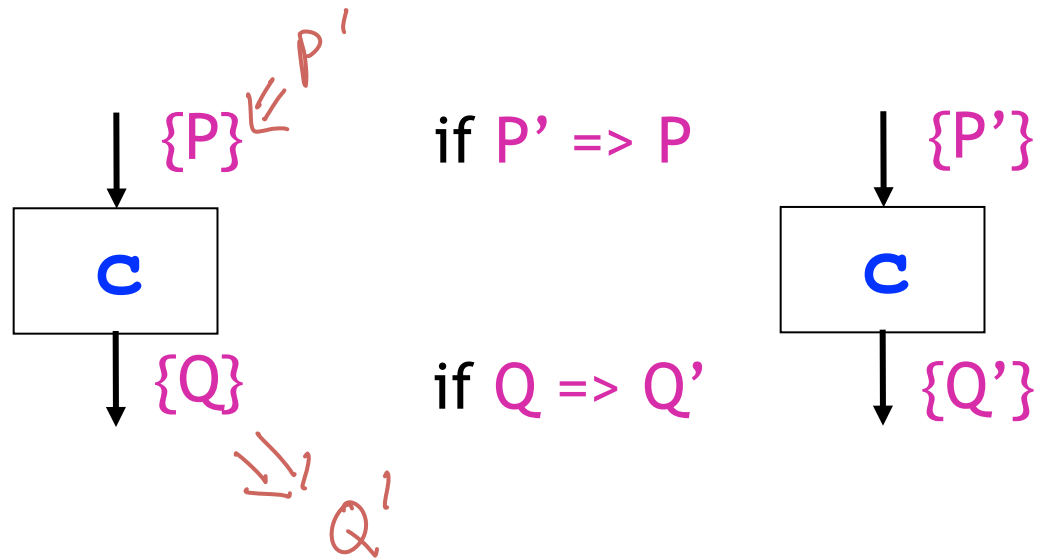
Axiomatic Semantics over Flow Graphs



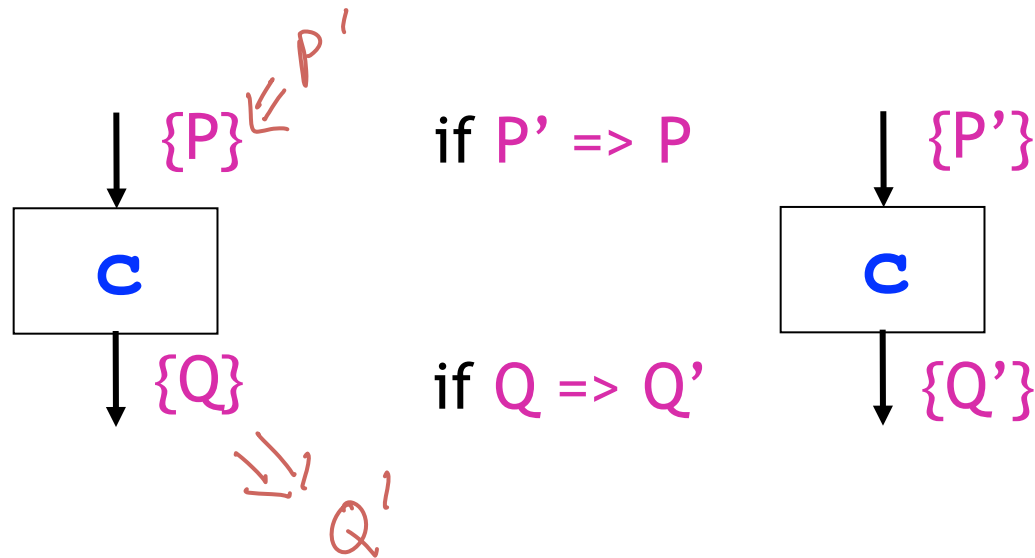
Axiomatic Semantics over Flow Graphs



Axiomatic Semantics over Flow Graphs



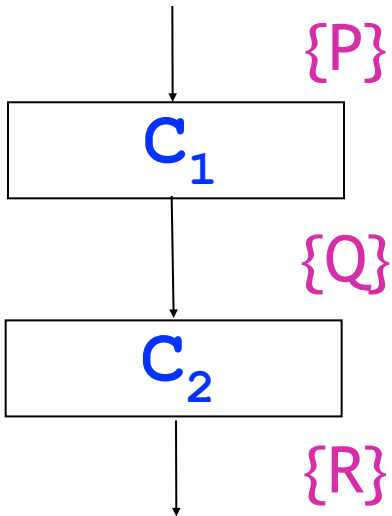
Axiomatic Semantics over Flow Graphs



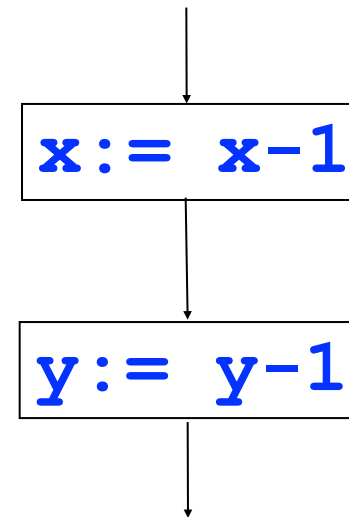
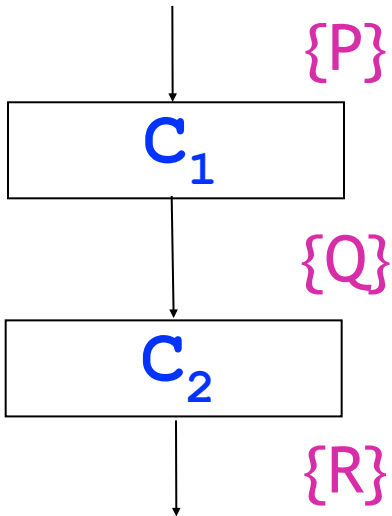
Relaxing Specifications via Consequence

Will revisit later as **subtyping**

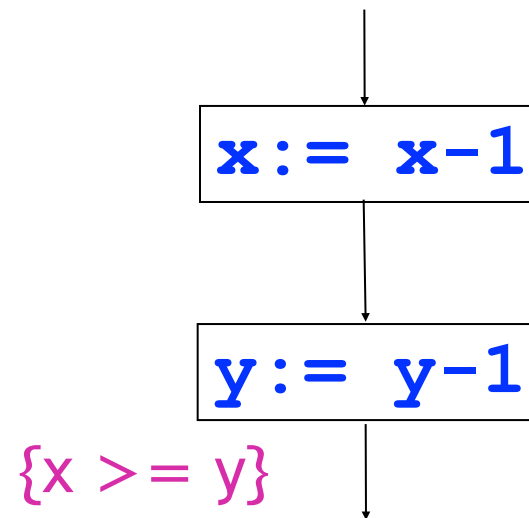
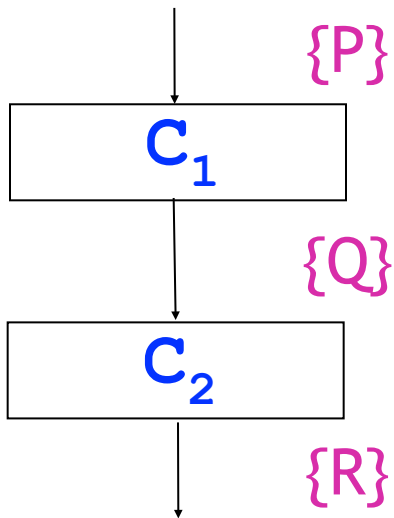
Sequential Composition



Sequential Composition



Sequential Composition



Sequential Composition



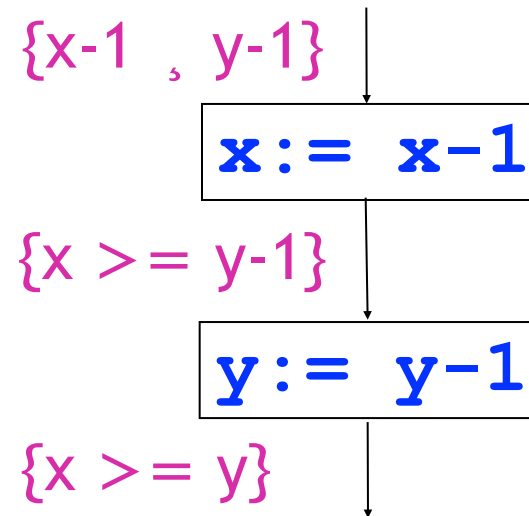
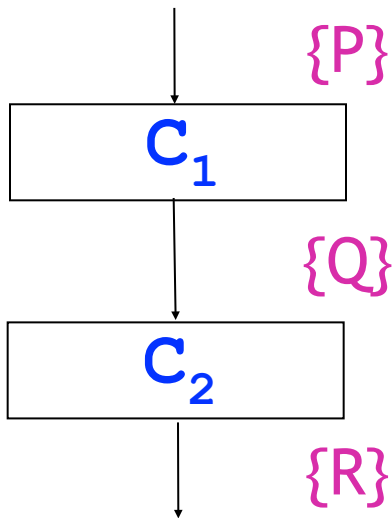
Backwards using weakest preconditions

Sequential Composition



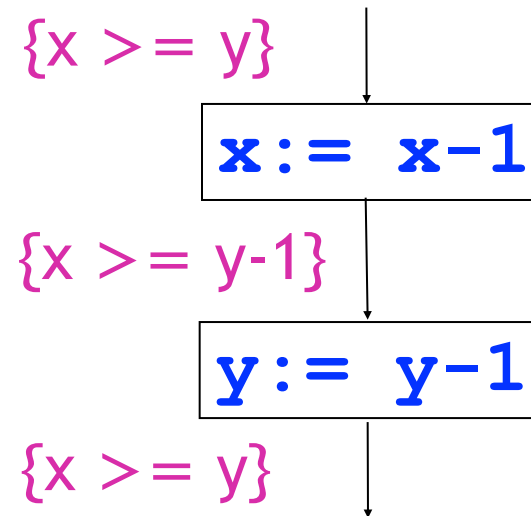
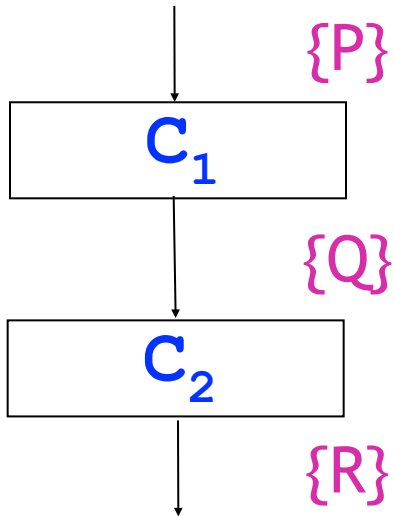
Backwards using weakest preconditions

Sequential Composition



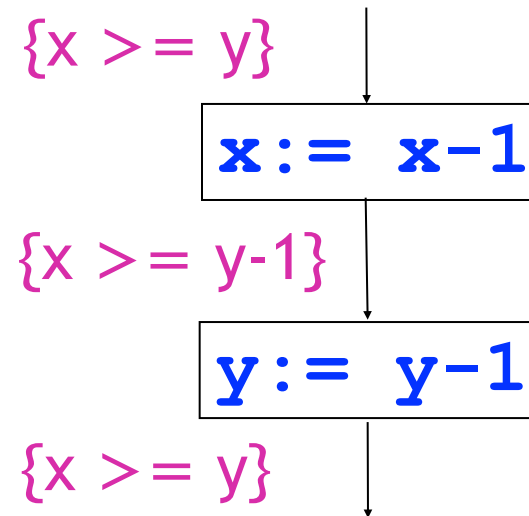
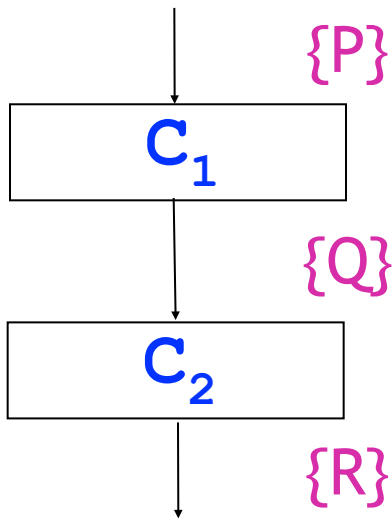
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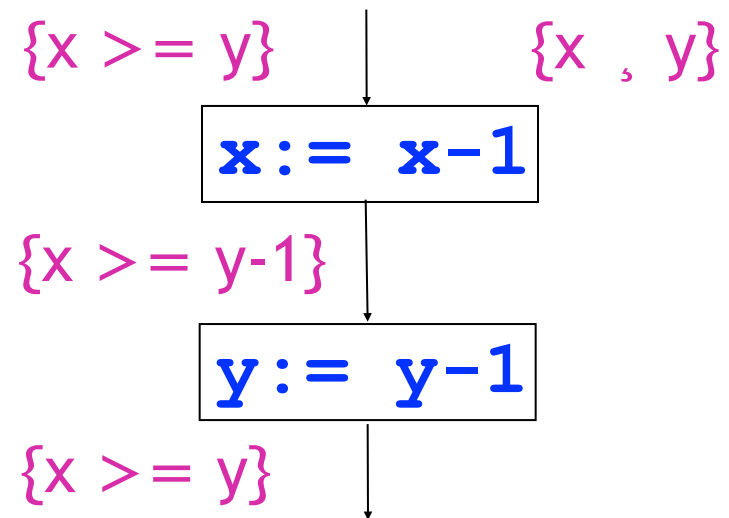
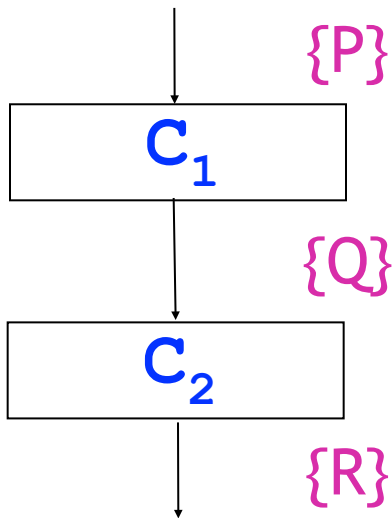
Sequential Composition



Backwards using weakest preconditions

Forwards using strongest postconditions

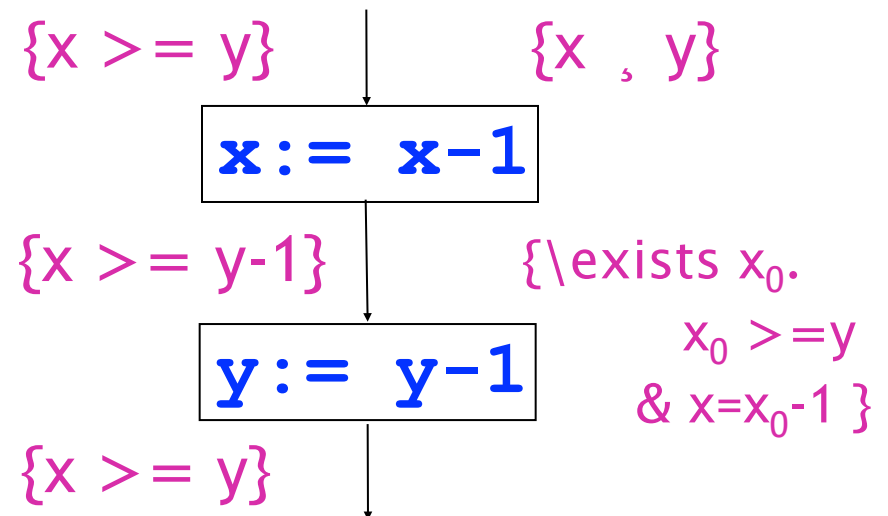
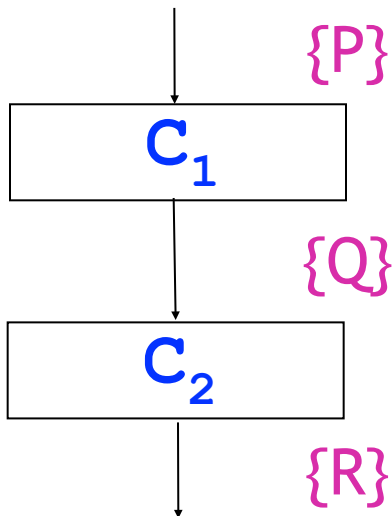
Sequential Composition



Backwards using weakest preconditions

Forwards using strongest postconditions

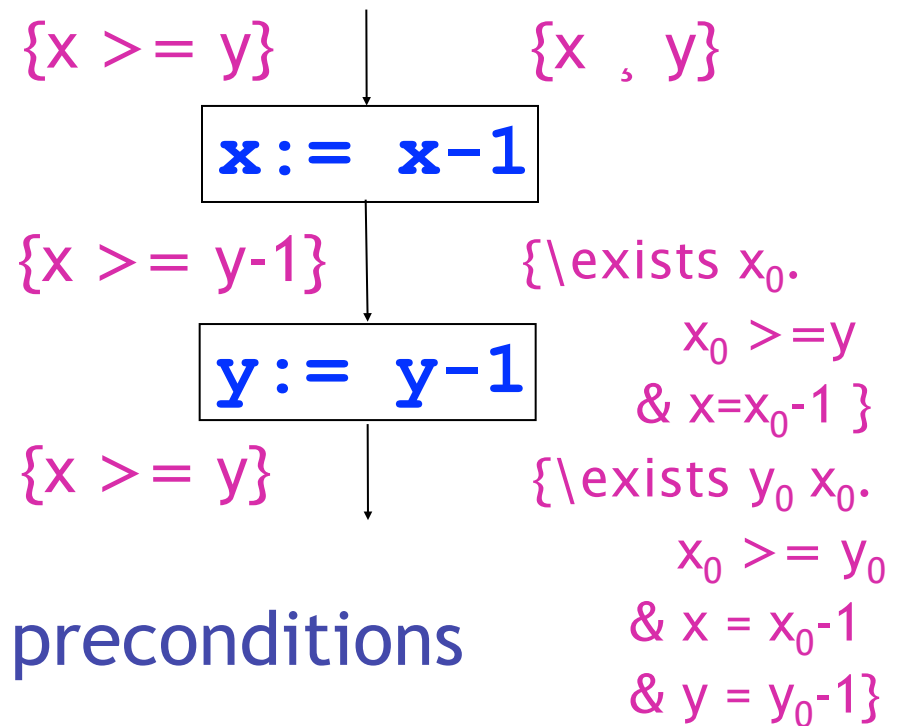
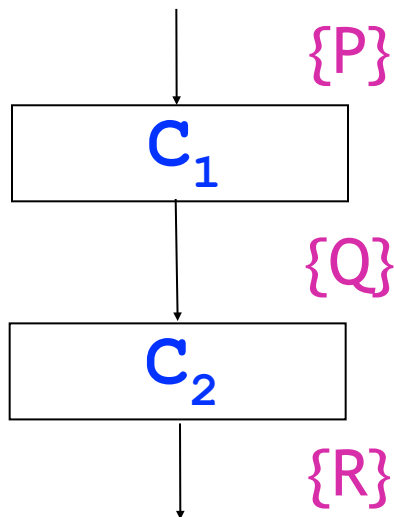
Sequential Composition



Backwards using weakest preconditions

Forwards using strongest postconditions

Sequential Composition



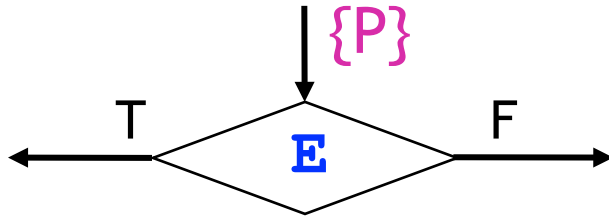
Backwards using weakest preconditions

Forwards using strongest postconditions

Conditionals

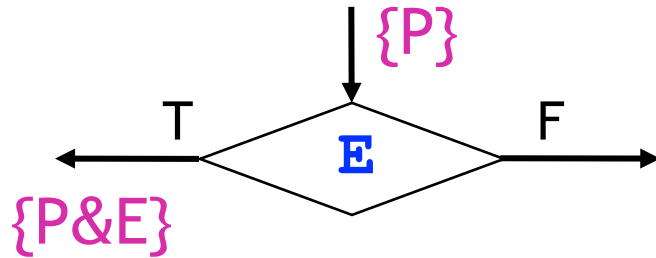
Forwards

Conditionals



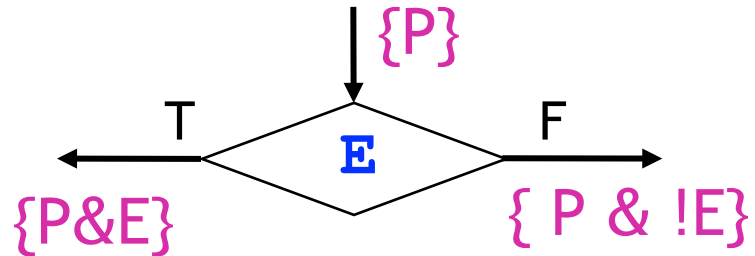
Forwards

Conditionals



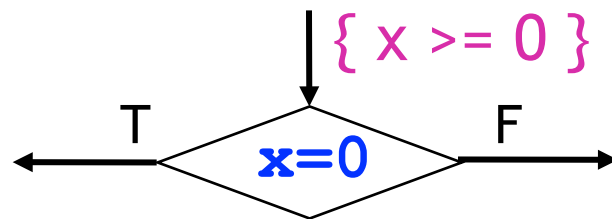
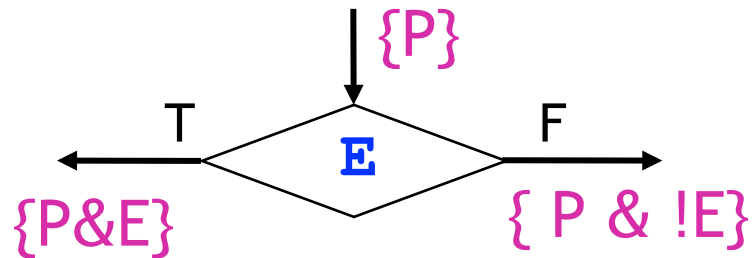
Forwards

Conditionals



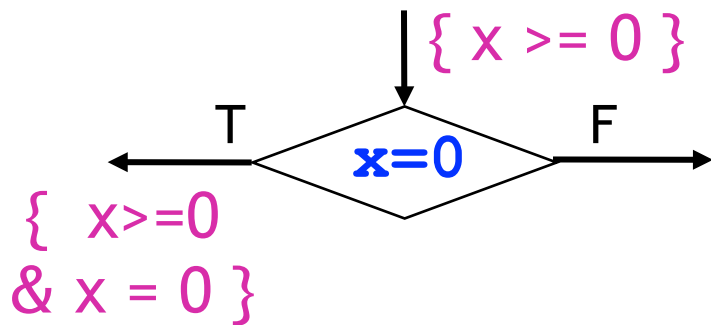
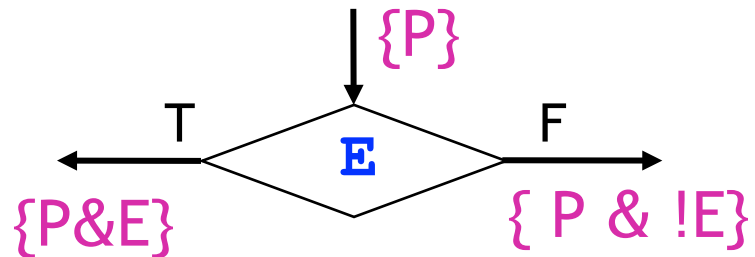
Forwards

Conditionals



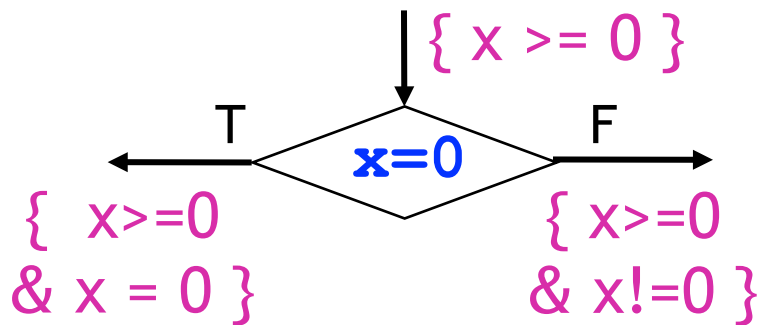
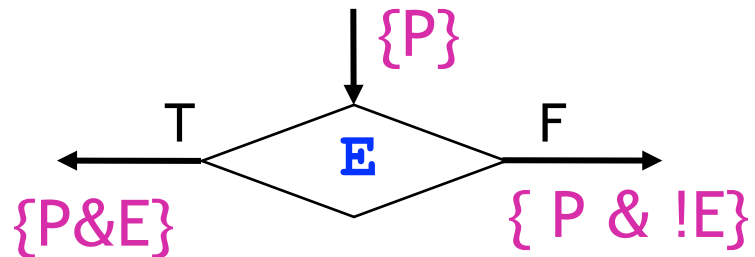
Forwards

Conditionals



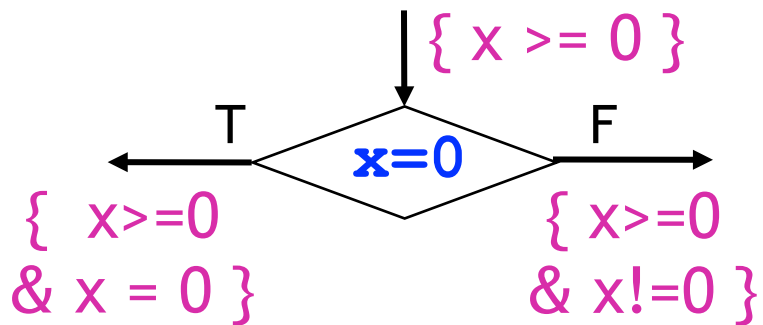
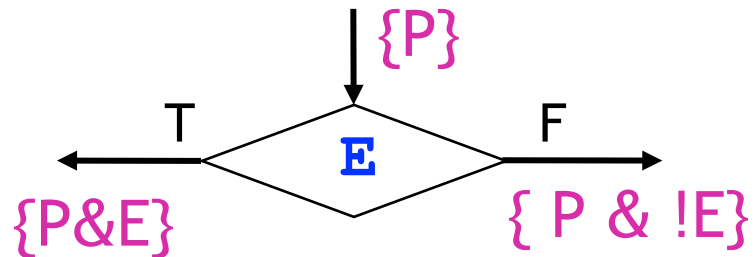
Forwards

Conditionals



Forwards

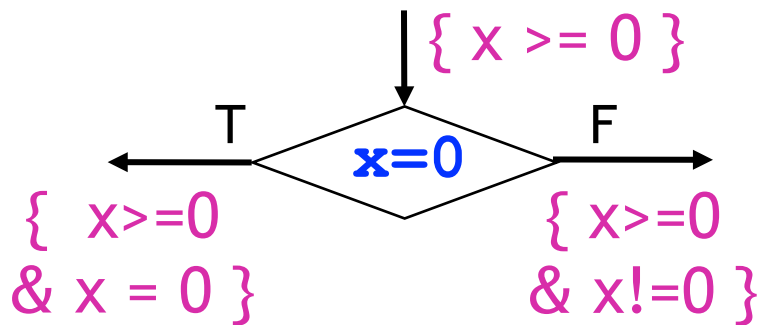
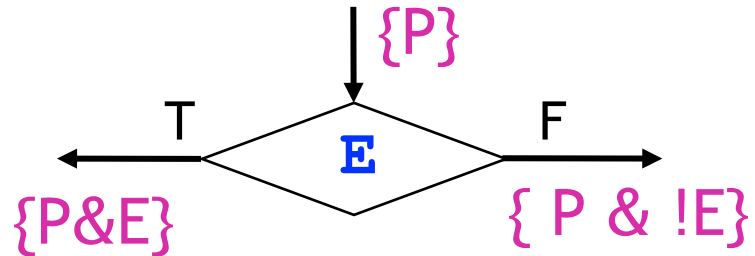
Conditionals



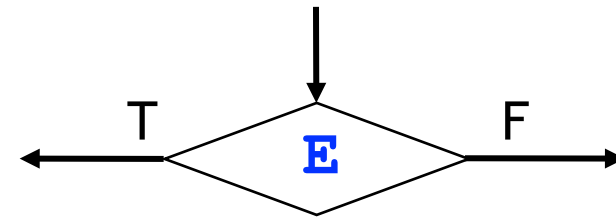
Forwards

Backwards

Conditionals

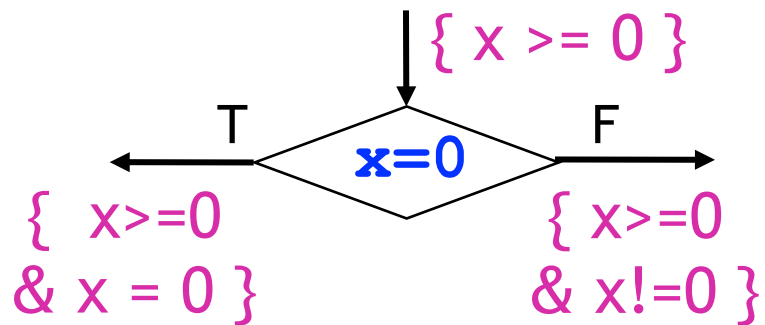
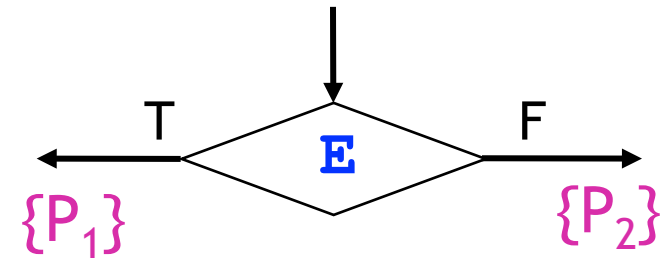
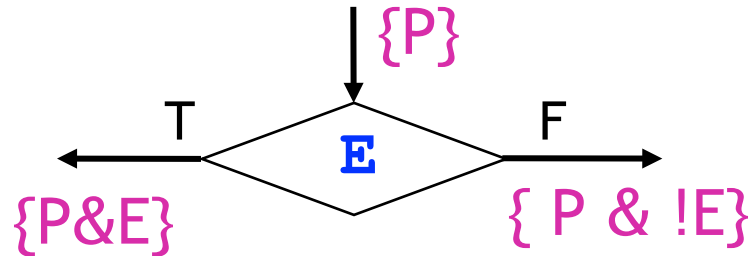


Forwards



Backwards

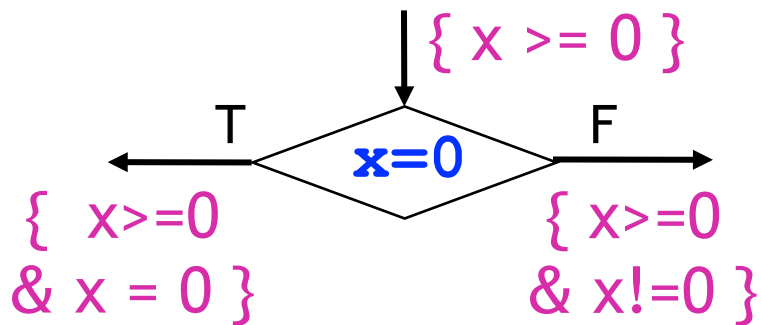
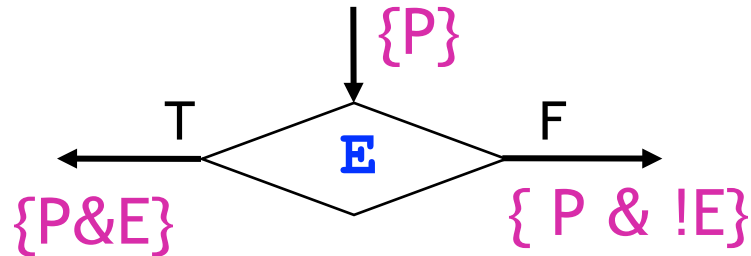
Conditionals



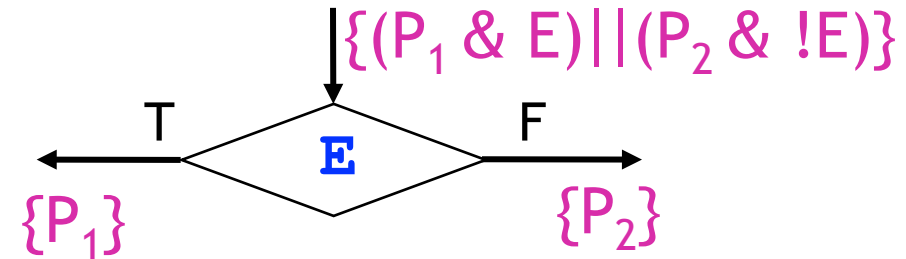
Forwards

Backwards

Conditionals

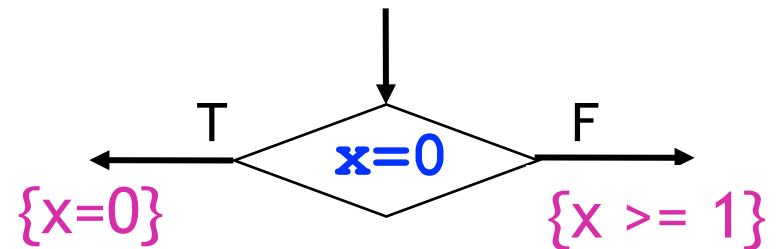
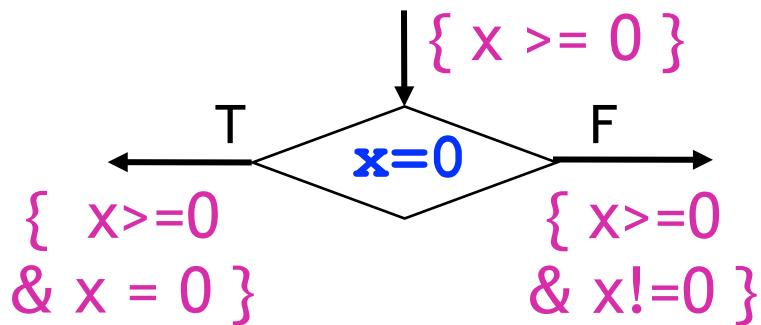
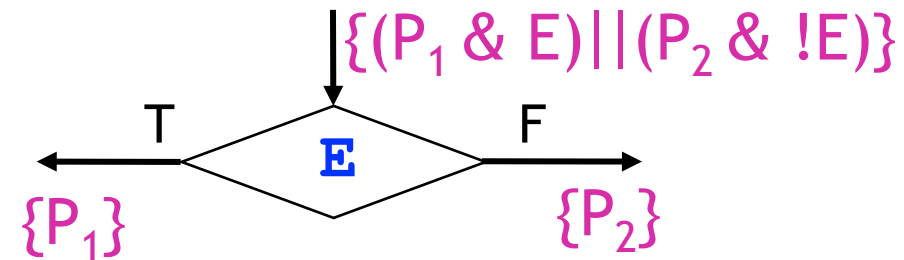
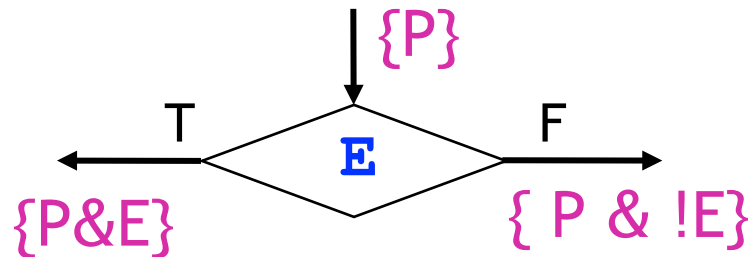


Forwards



Backwards

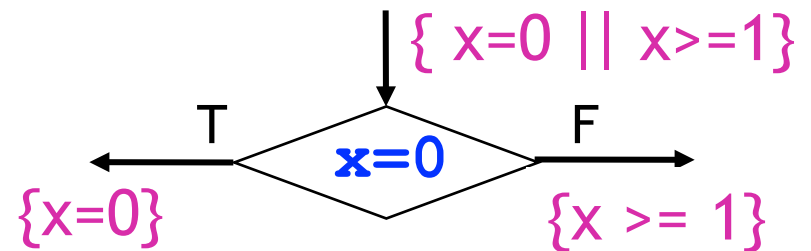
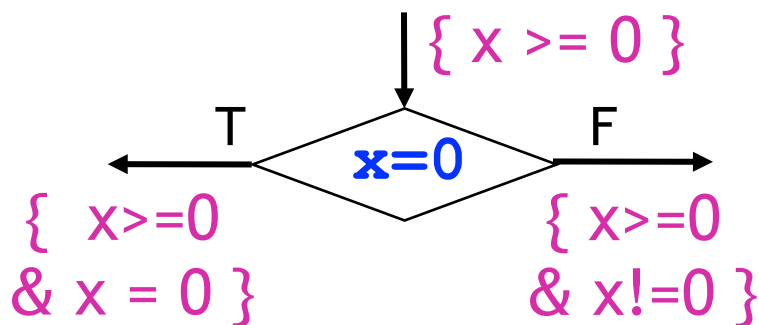
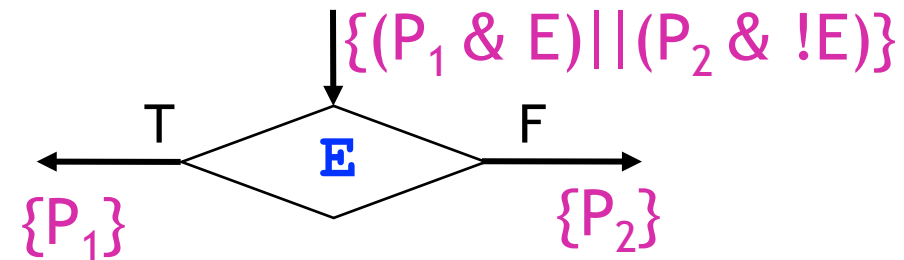
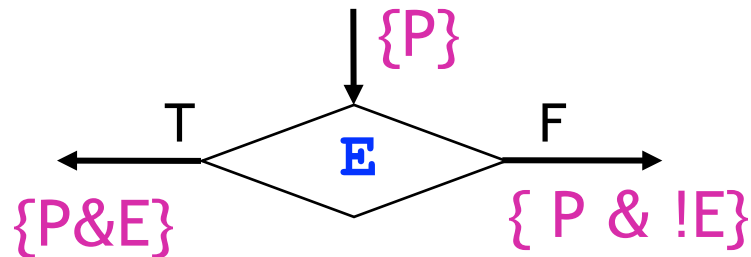
Conditionals



Forwards

Backwards

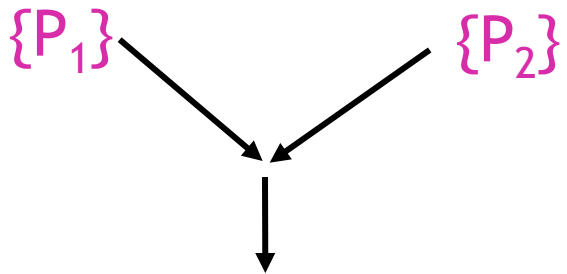
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Forwards

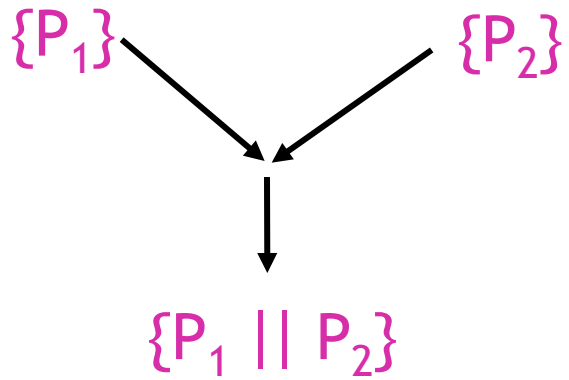
Backwards

Joins



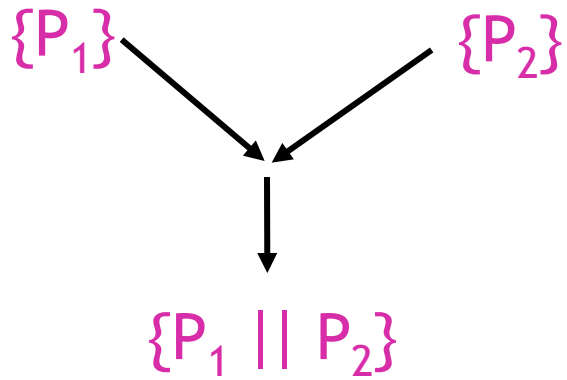
Forwards

Joins



Forwards

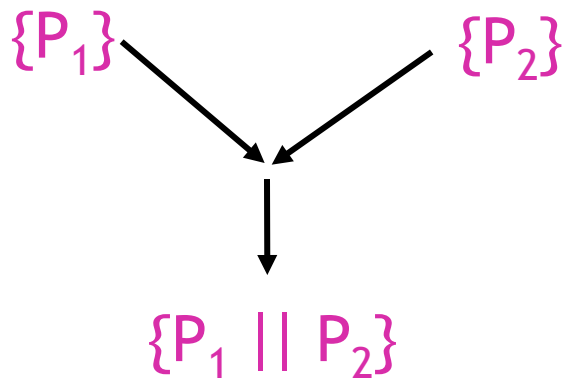
Joins



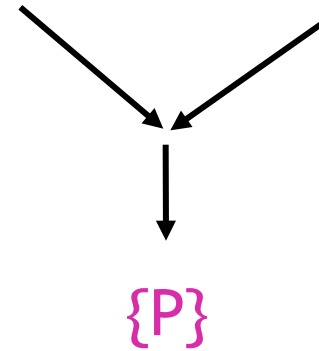
Forwards

Backwards

Joins

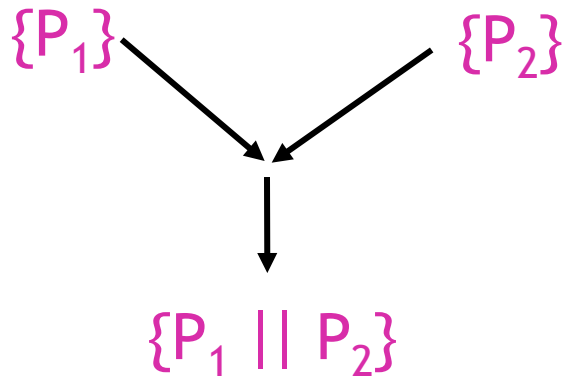


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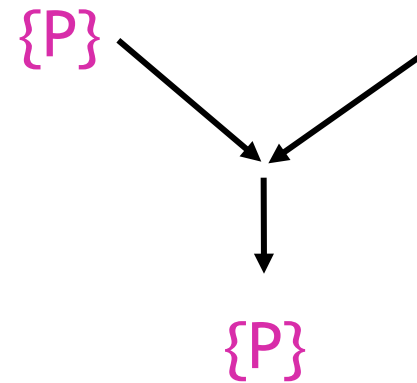


Backwards

Joins

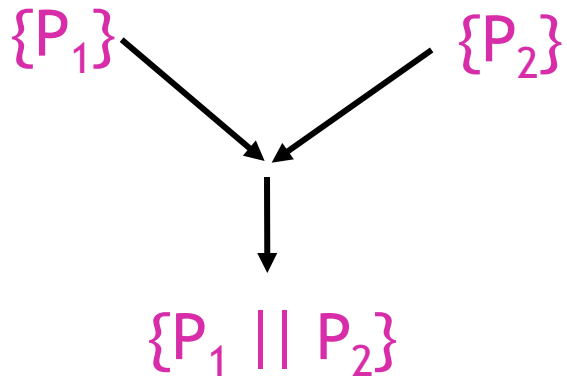


Forwards

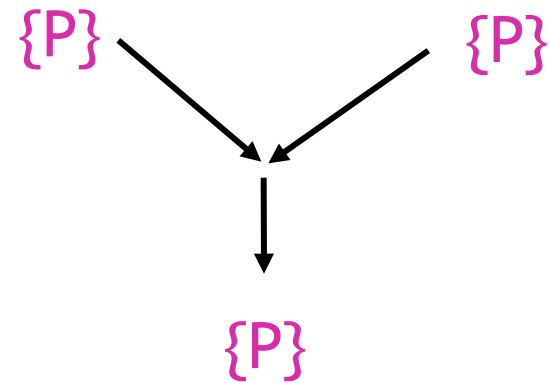


Backwards

Joins

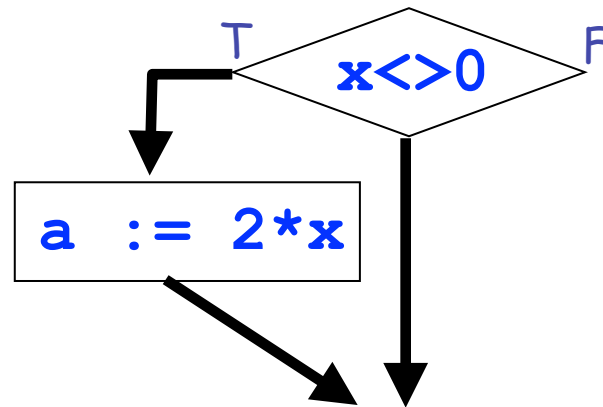


Forwards

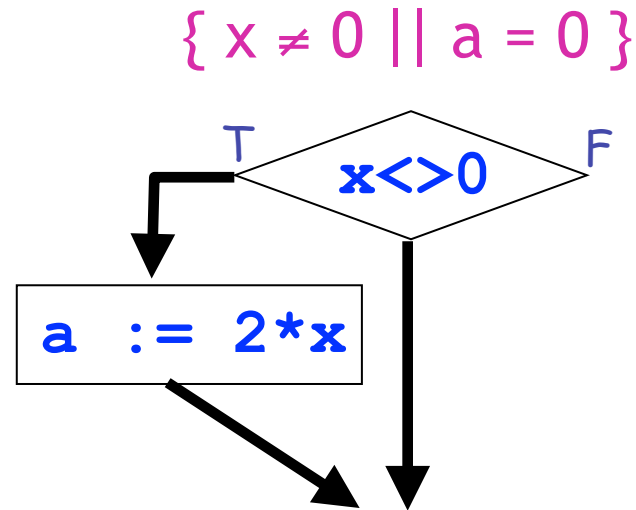


Backwards

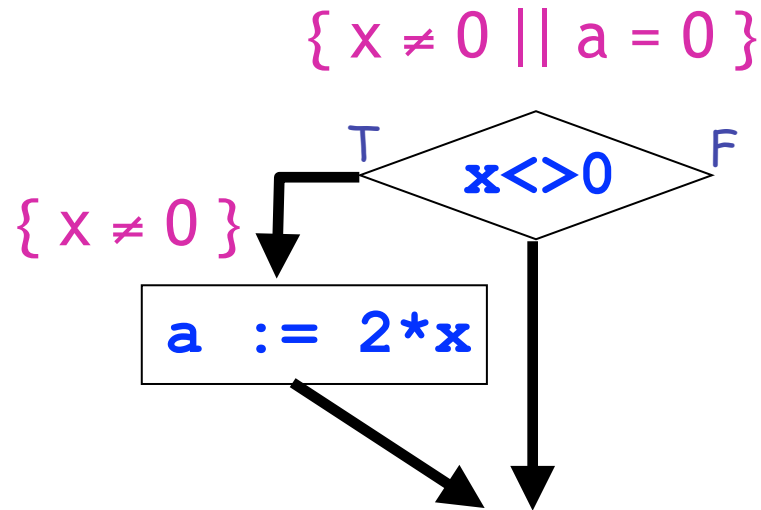
Conditional+Join: Forward



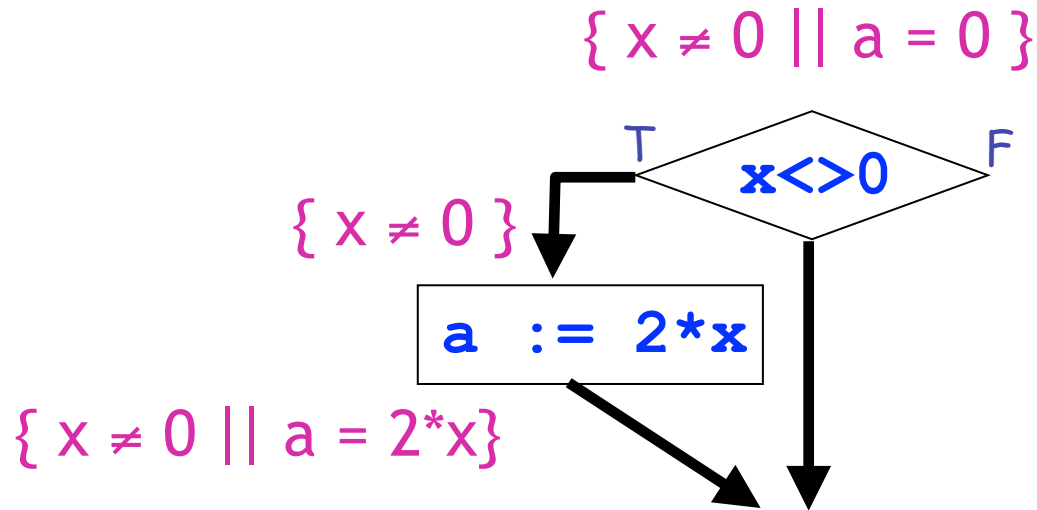
Conditional+Join: Forward



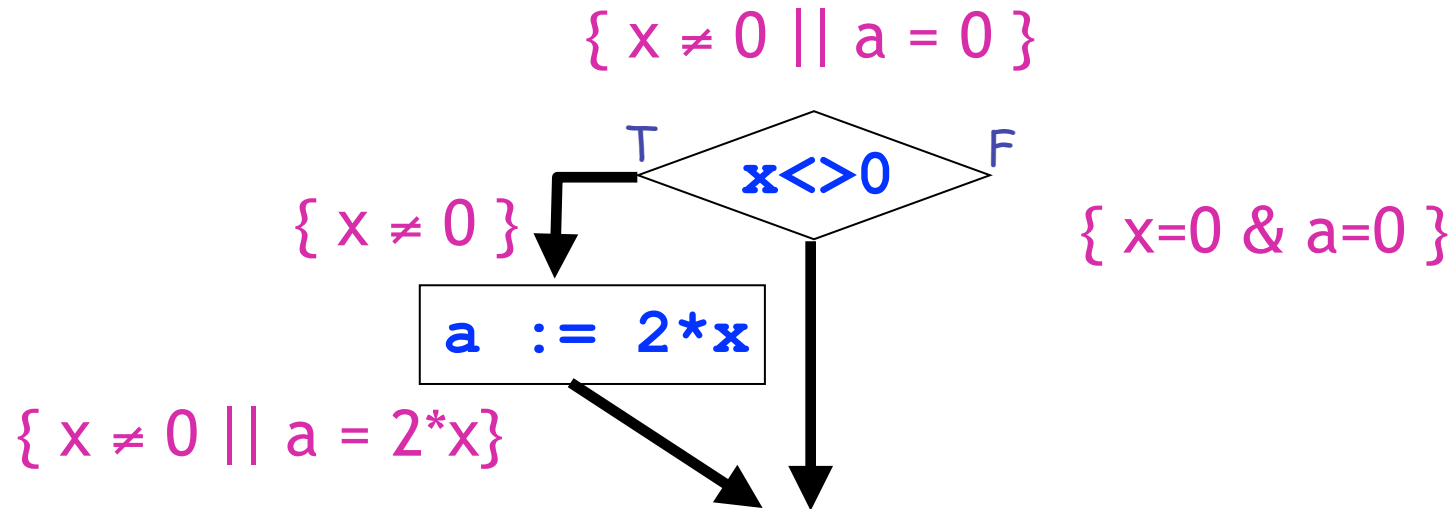
Conditional+Join: Forward



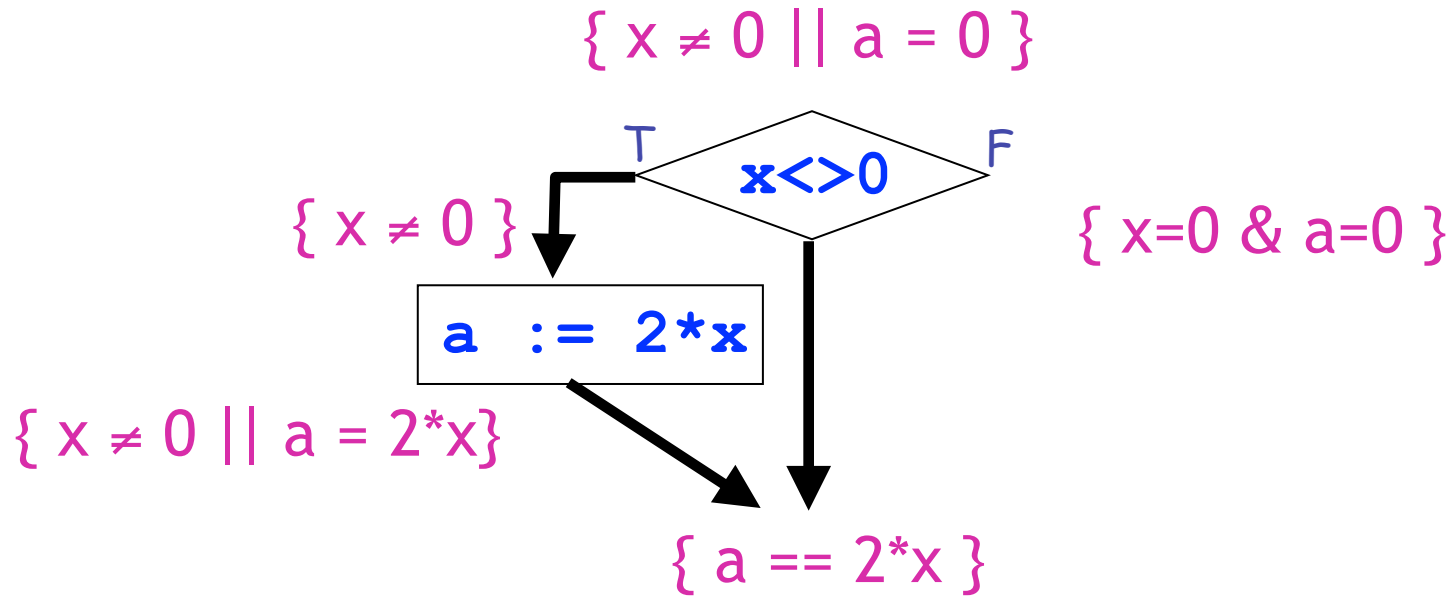
Conditional+Join: Forward



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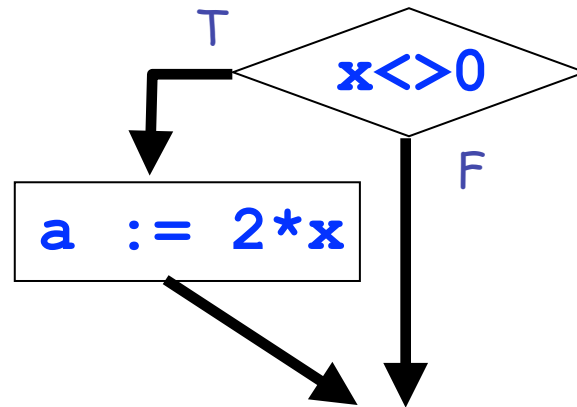


Conditional+Join: Forward

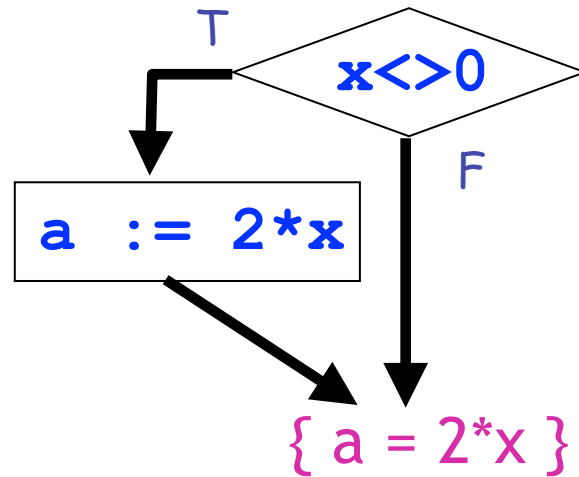


- Check the implications (simplifications)

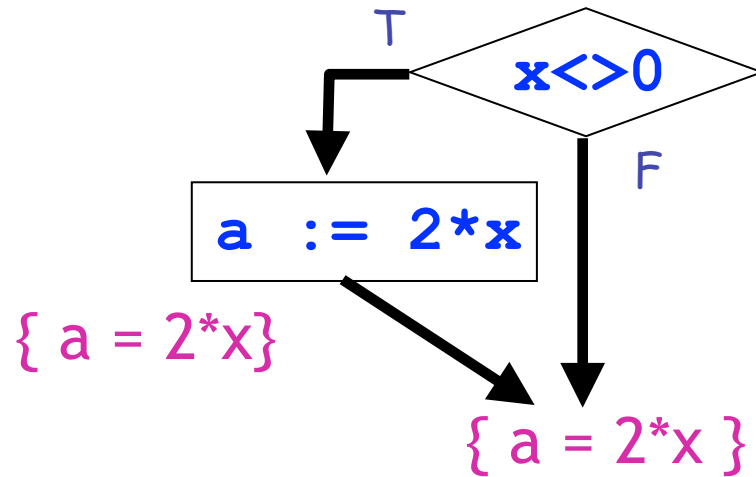
Conditionals+Joins: Backward



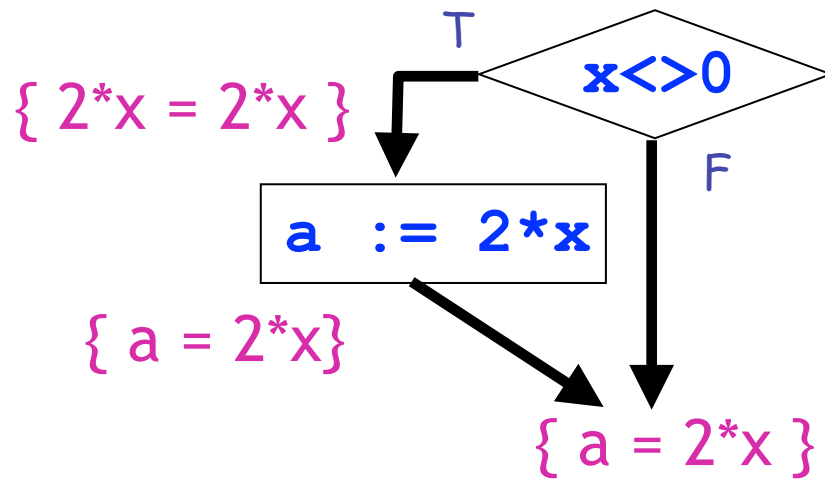
Conditionals+Joins: Backward



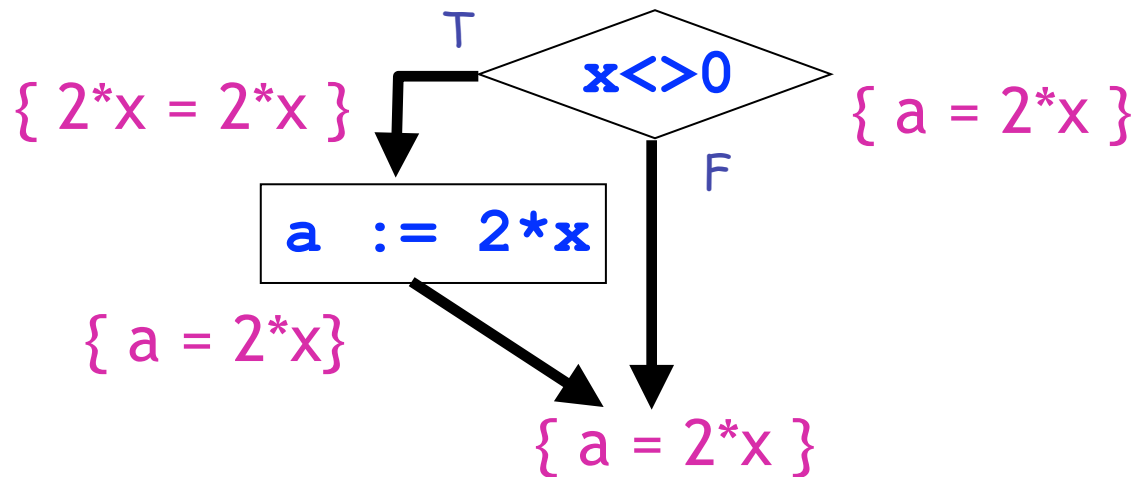
Conditionals+Joins: Backward



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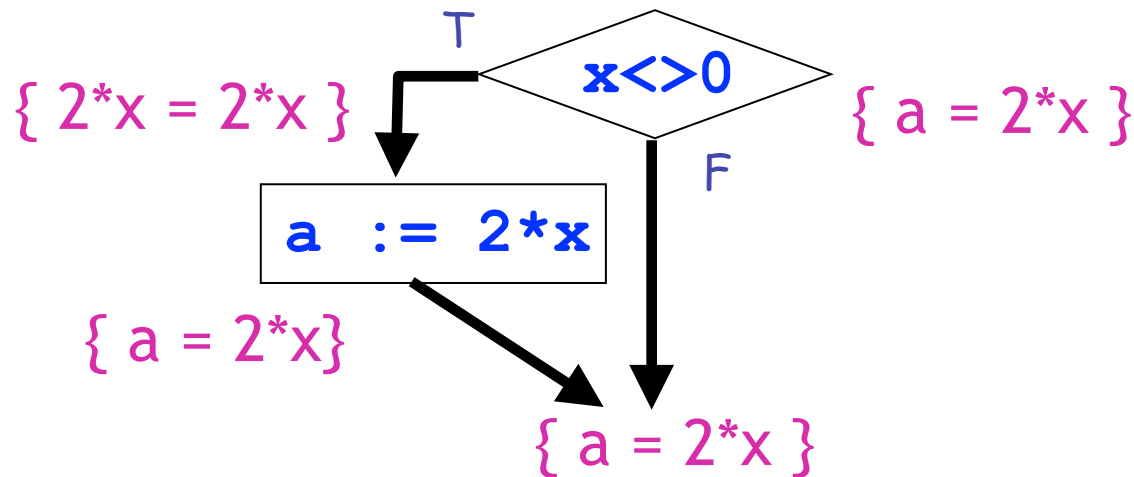


Conditionals+Joins: Backward



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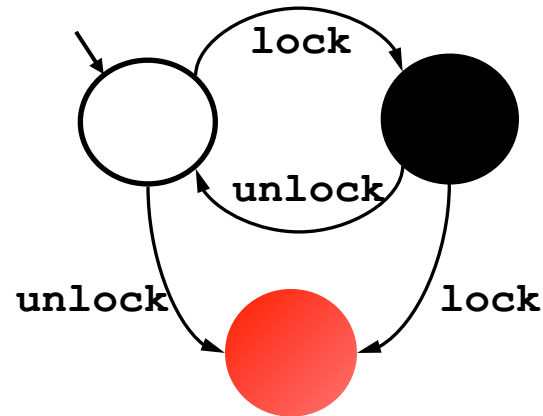
$\{ (x \neq 0 \ \& \ \text{true}) \ || \ (x = 0 \ \& \ a = 2*x) \}$



Forward or Backward ?

- Forward reasoning
 - Know the precondition
 - Want to know what postcond the code guarantees
- Backward reasoning
 - Know what we want to code to establish
 - Want to know under what preconditions this happens

Another Example: Double Locking



*“An attempt to re-acquire an acquired lock or release a released lock will cause a **deadlock**.”*

Calls to **lock** and **unlock** must **alternate**.

Locking Rules

Locking Rules

Boolean variable **locked** states if lock is held or not

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- $\{ \neg \text{locked} \ \& \ P[\text{true}/\text{locked}] \} \text{ lock } \{ P \}$

lock behaves as **assert (!locked) ; locked:=true**

Locking Rules

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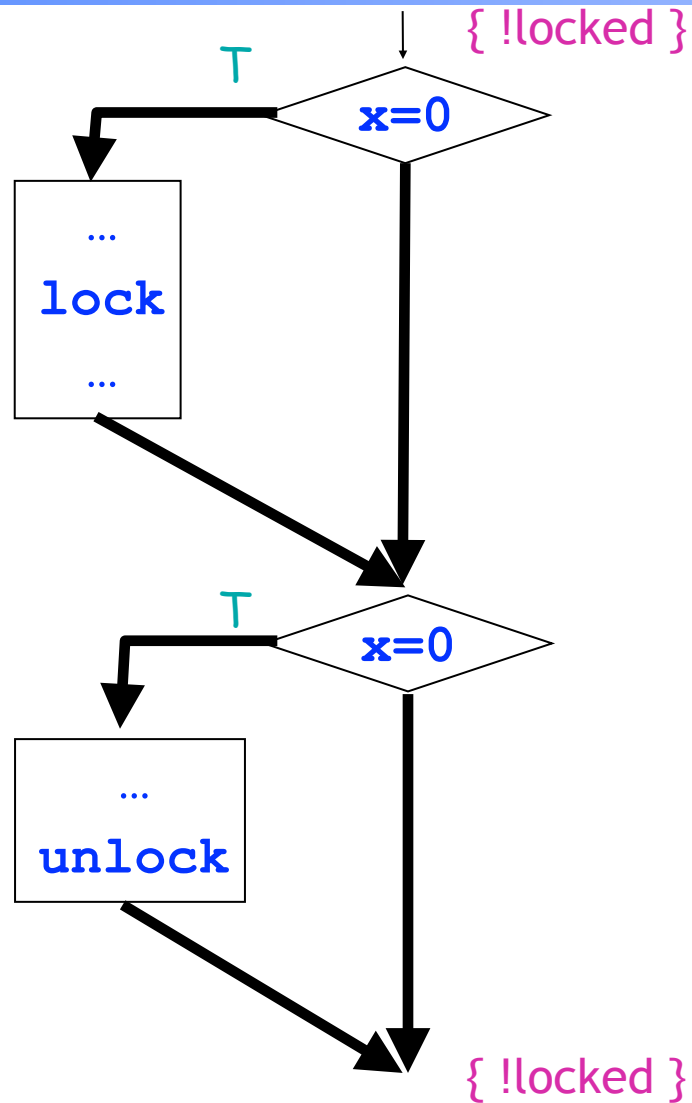
- $\{ \neg \text{locked} \ \& \ P[\text{true}/\text{locked}] \} \text{lock} \{ P \}$

lock behaves as **assert(!locked) ; locked:=true**

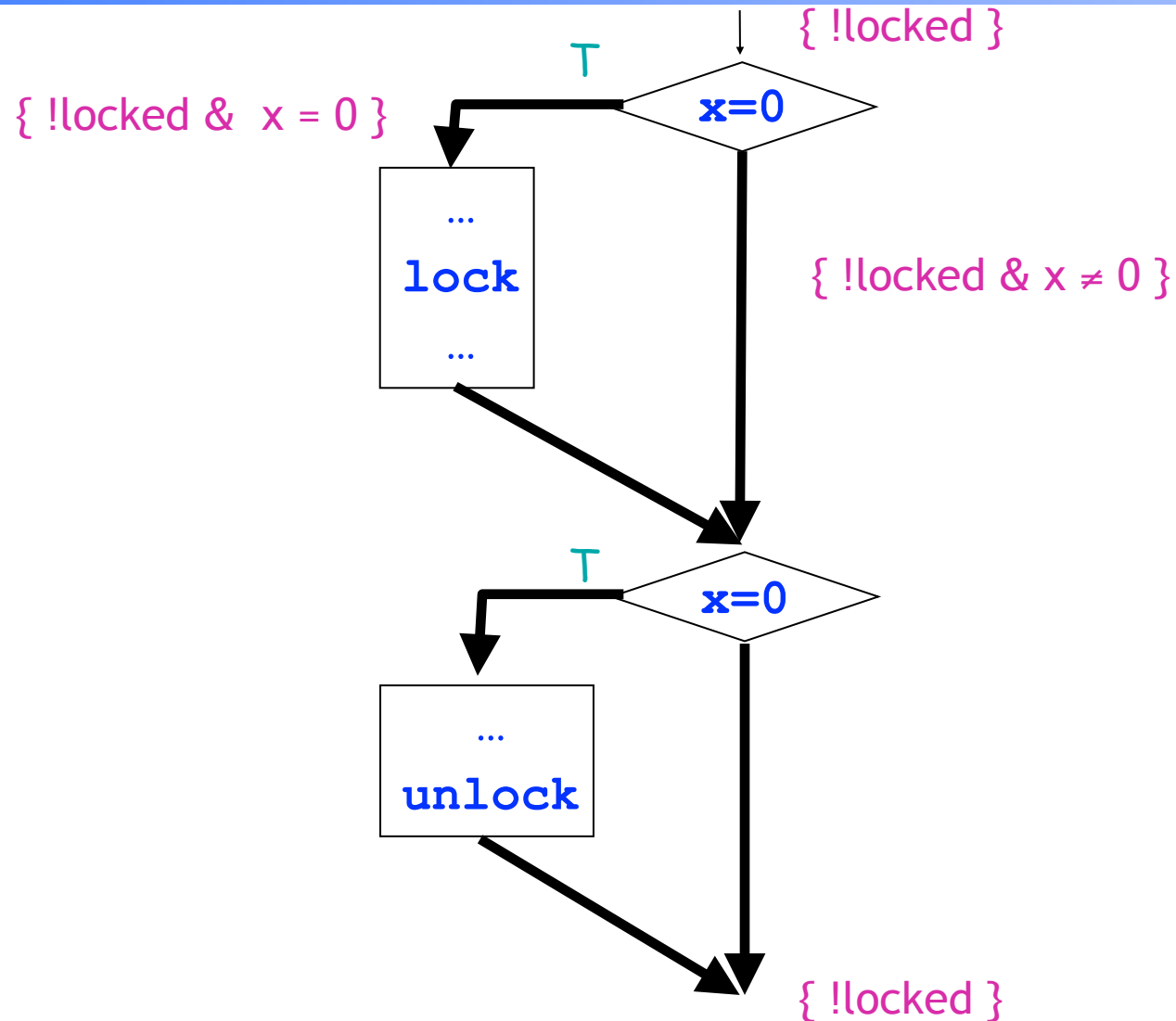
- $\{ \text{locked} \ \& \ P[\text{false}/\text{locked}] \} \text{unlock} \{ P \}$

unlock behaves as **assert(locked) ; locked:=false**

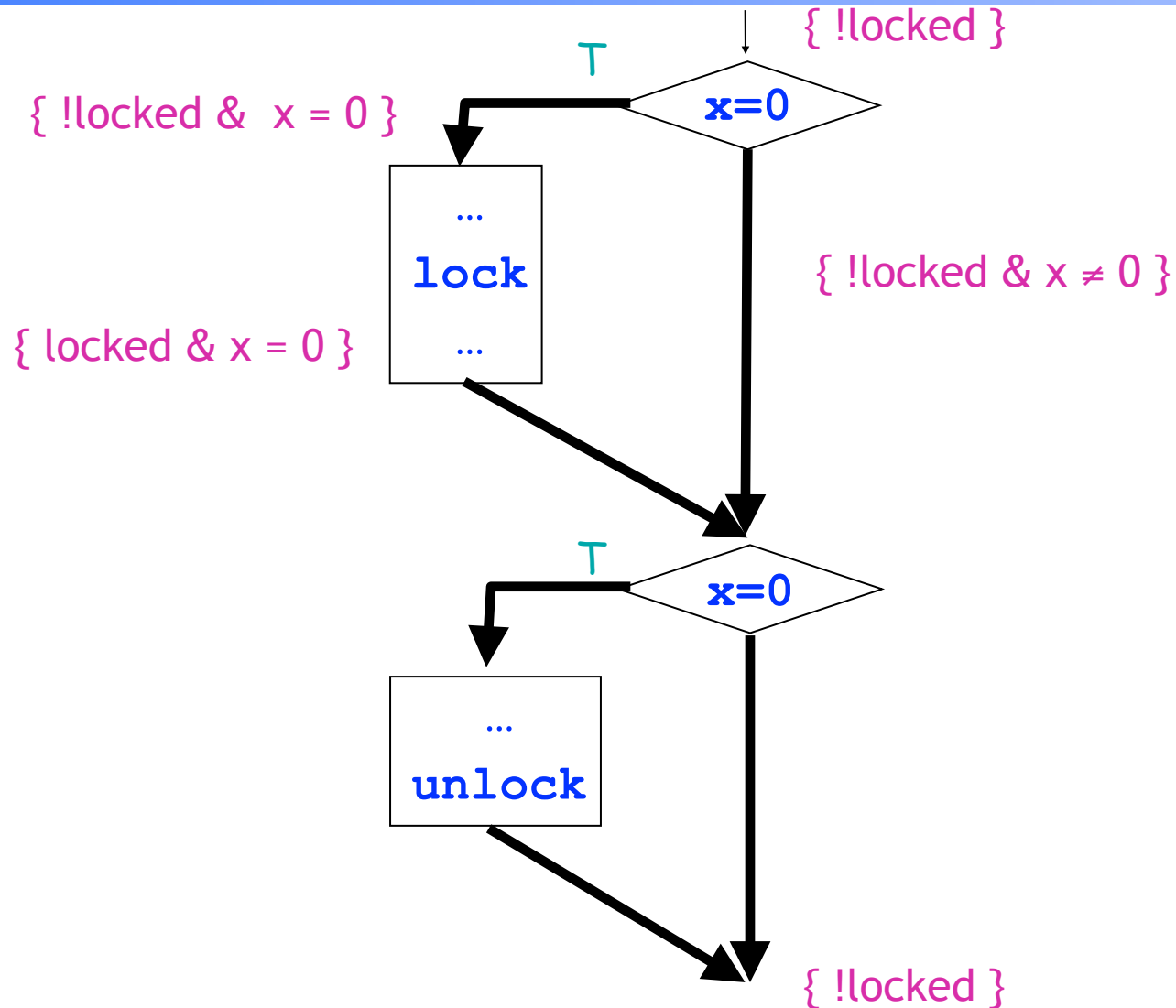
Locking Example



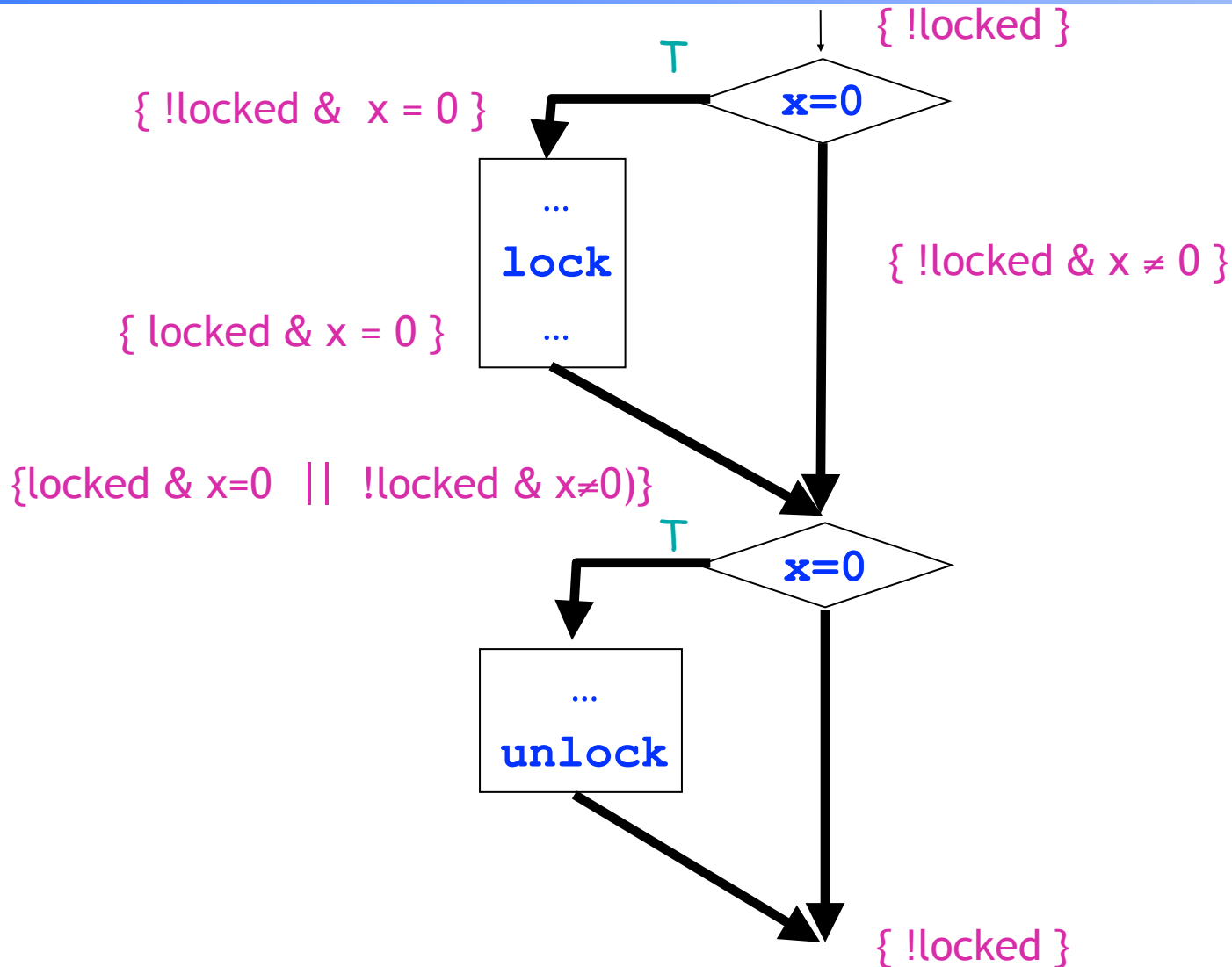
Locking Example



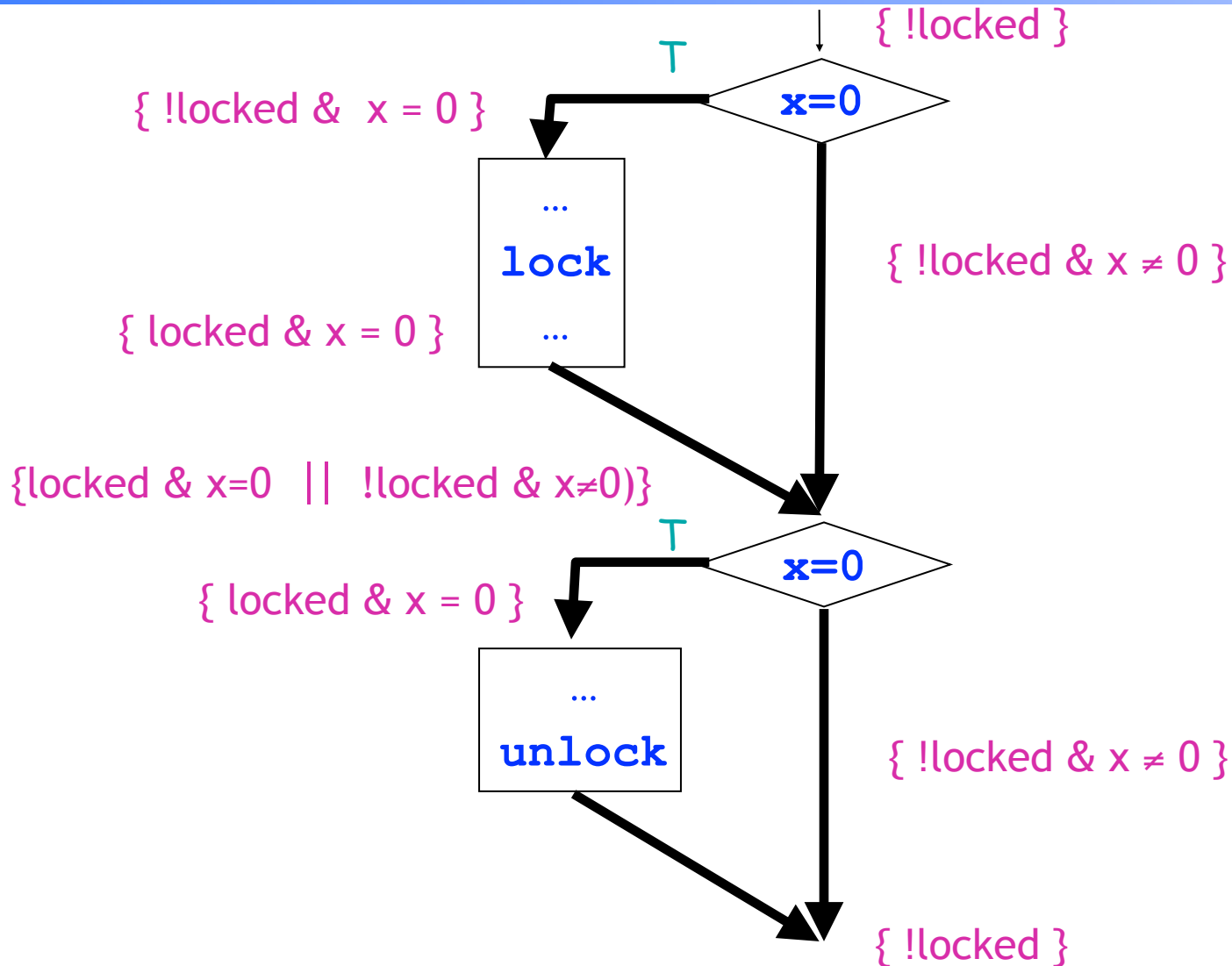
Locking Example



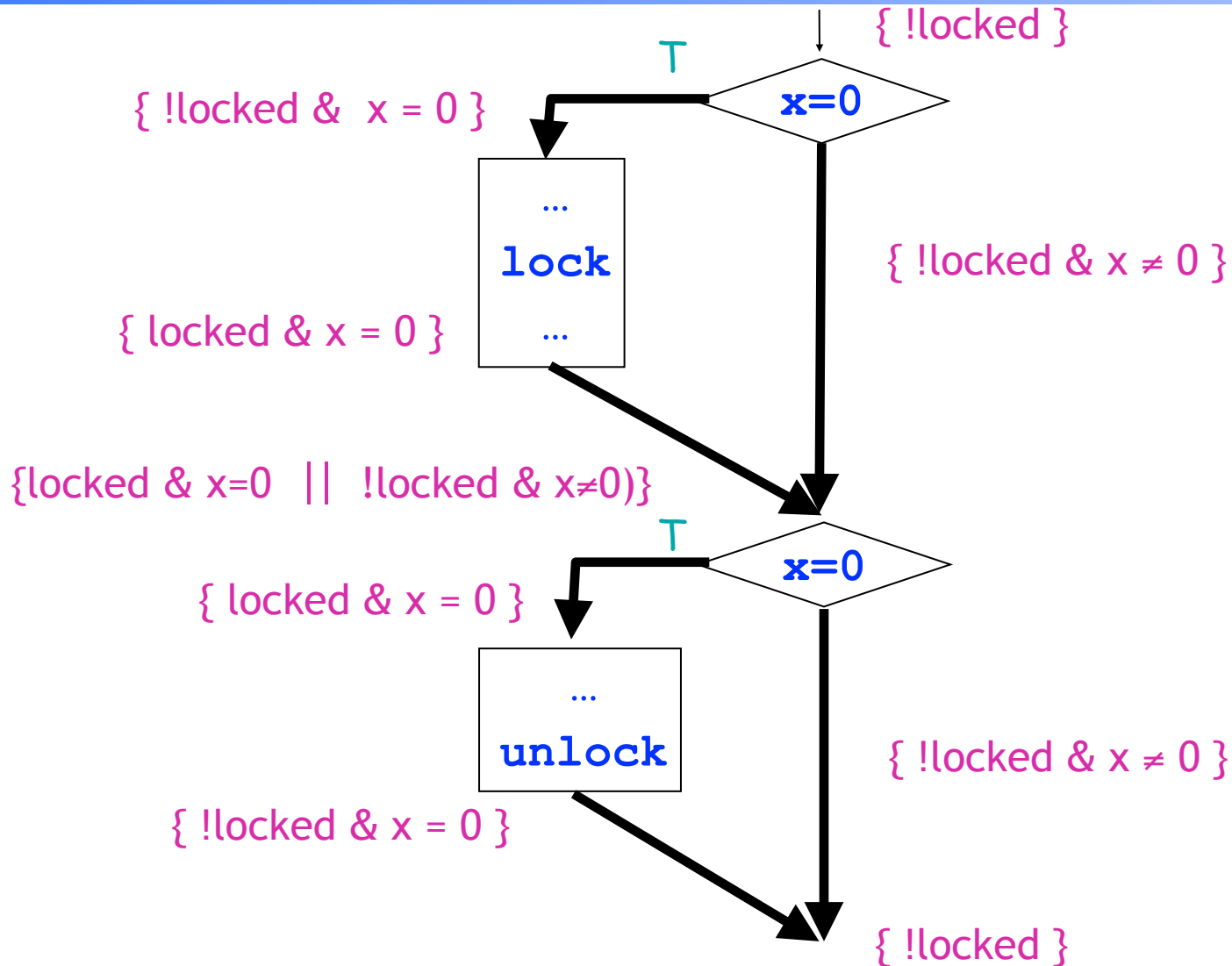
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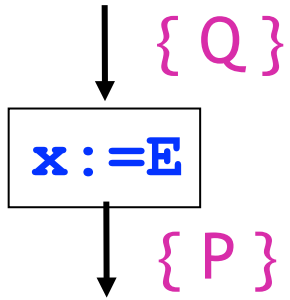
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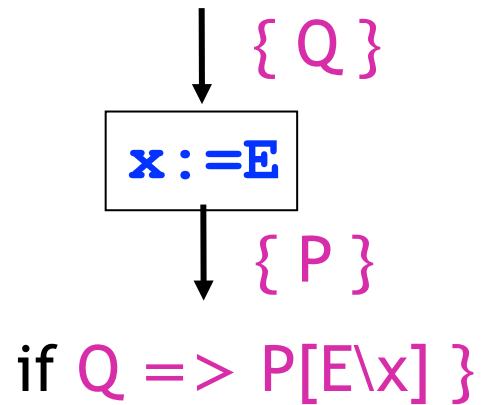
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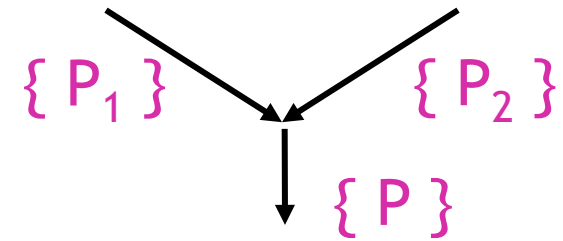
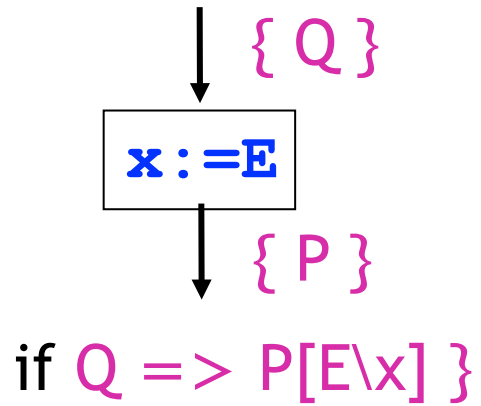
Review



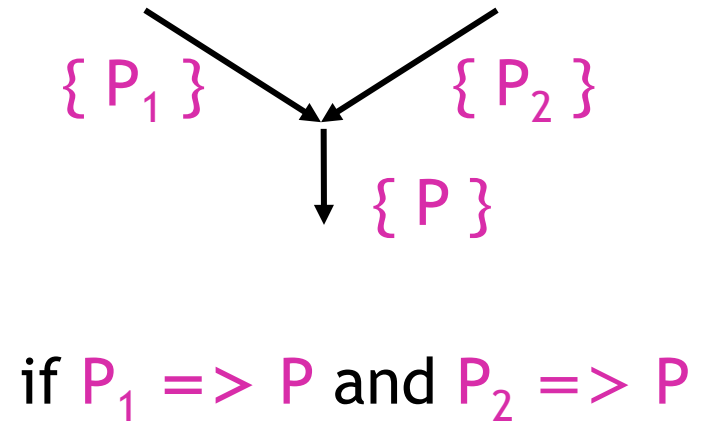
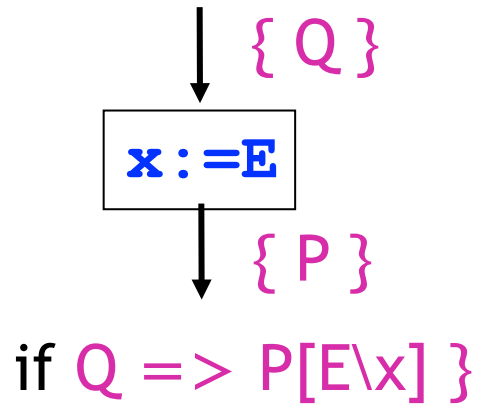
Review



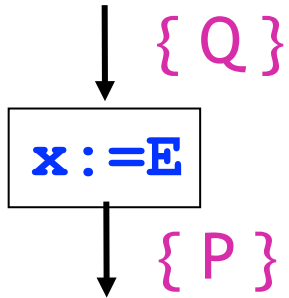
Review



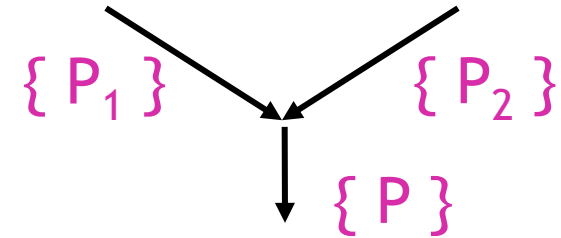
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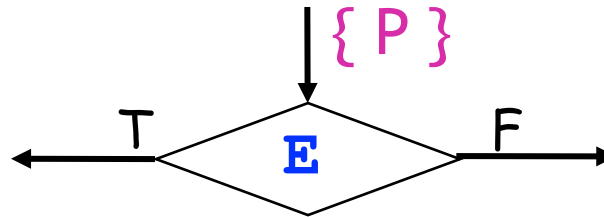
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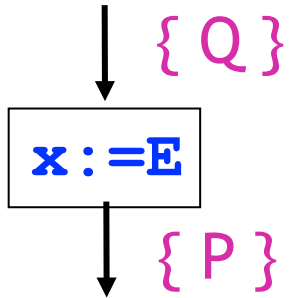
if $Q \Rightarrow P[E \setminus x]$



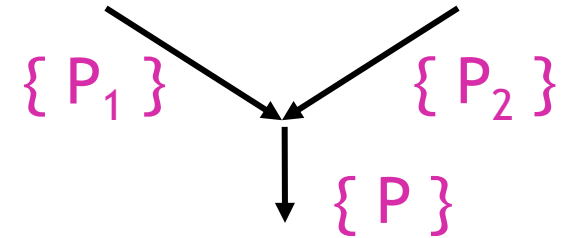
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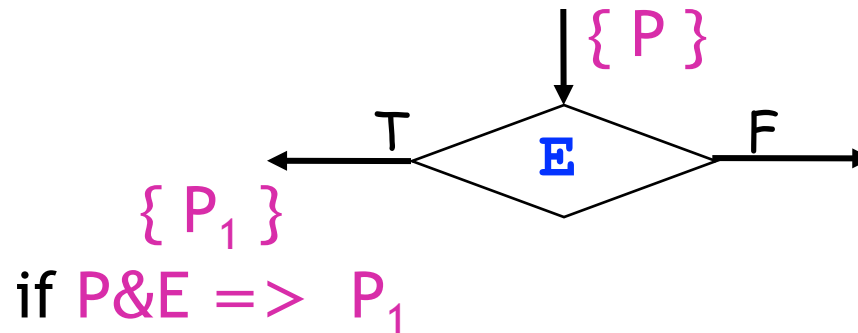
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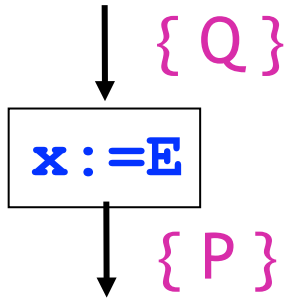
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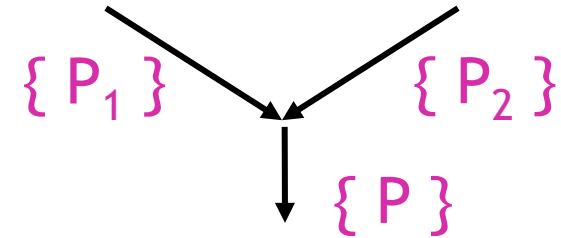
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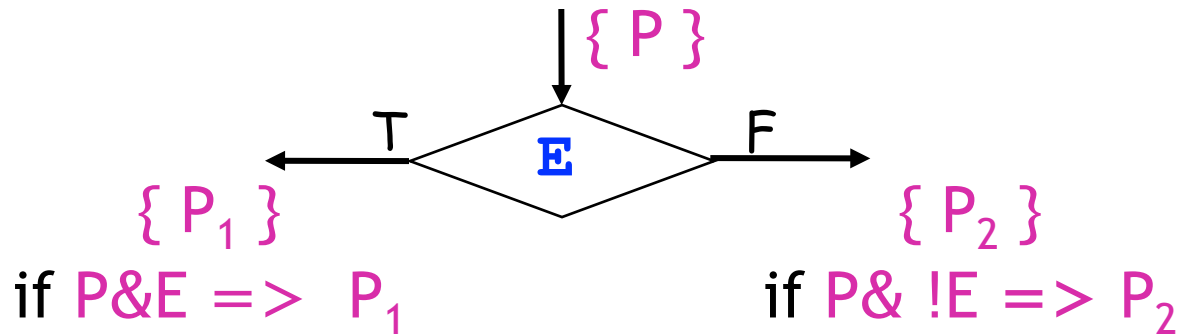
Review



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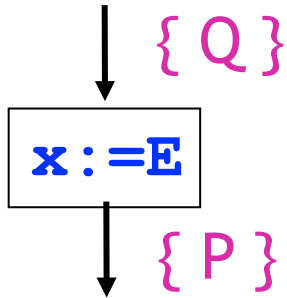
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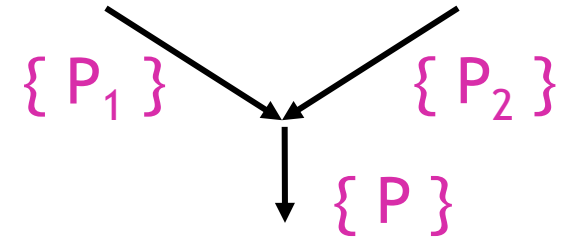
if $P \& E \Rightarrow P_1$

if $P \& !E \Rightarrow P_2$

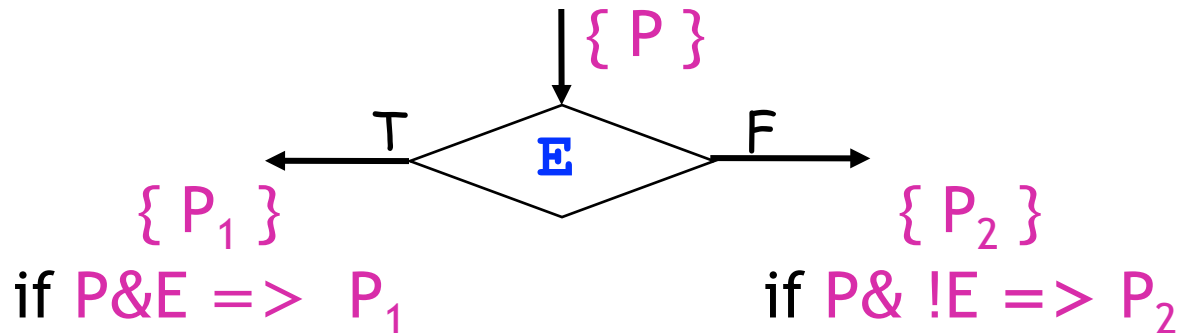
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if $Q \Rightarrow P[E \setminus x]$



if $P_1 \Rightarrow P$ and $P_2 \Rightarrow P$



if $P \& E \Rightarrow P_1$

if $P \& !E \Rightarrow P_2$

Implication is always in the direction of the control flow

What about real languages ?

- Loops
- Function calls
- Pointers

Reasoning about loops: Rules

Rewrite A with I : Loop Invariant

Rule of Consequence

Reasoning about loops: Rules

$$\frac{\vdash \{A \ \& \ b\} \ c \ \{A\}}{\vdash \{A\} \text{ while } b \text{ do } c \ \{A \ \& \ !b\}}$$

Rewrite A with I : Loop Invariant

Rule of Consequence

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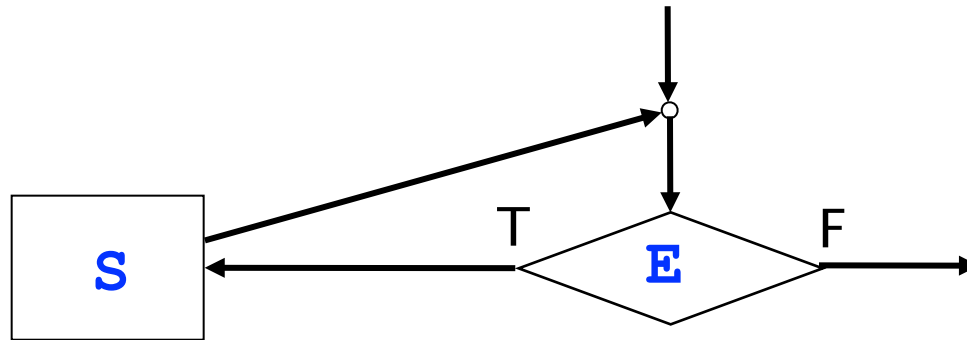
Rewrite A with I : Loop Invariant

$$\frac{P \Rightarrow I \quad \frac{|- \{I \ \& \ b\} \ c \ \{I\}}{|- \{I\} \ \text{while } b \ \text{do } c \ \{I \ \& \ !b\}} \quad I \ \& \ !b \Rightarrow Q}{|- \{P\} \ \text{while } b \ \text{do } c \ \{Q\}}$$

Rule of Consequence

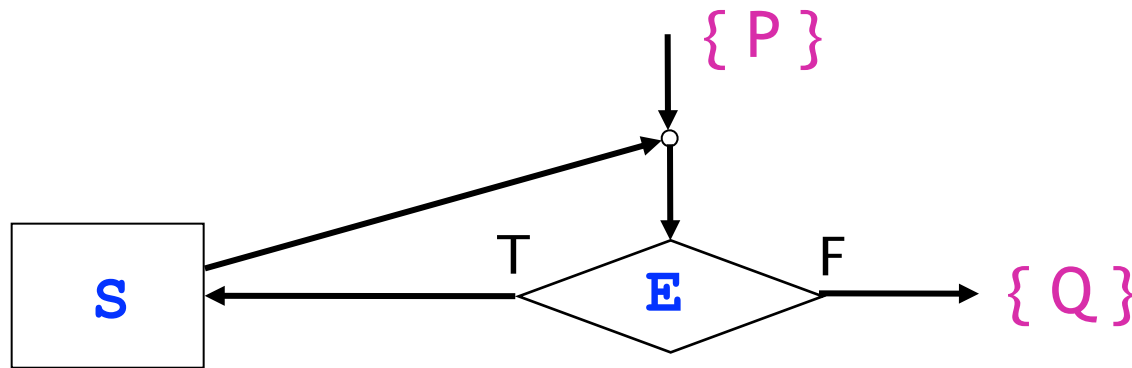
Reasoning about loops: Flow Graphs

- Loops can be handled using conditionals and joins
- Consider the **while b do S** statement



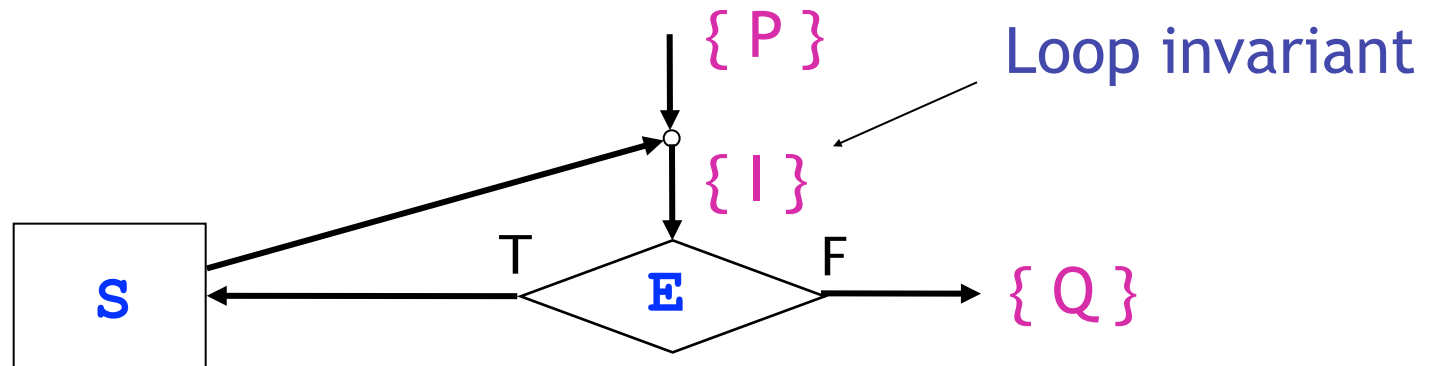
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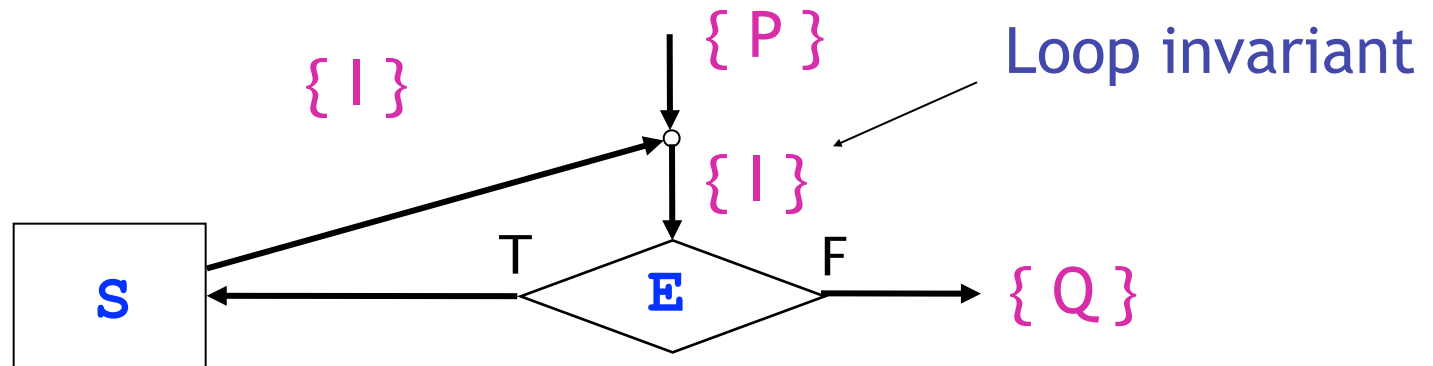
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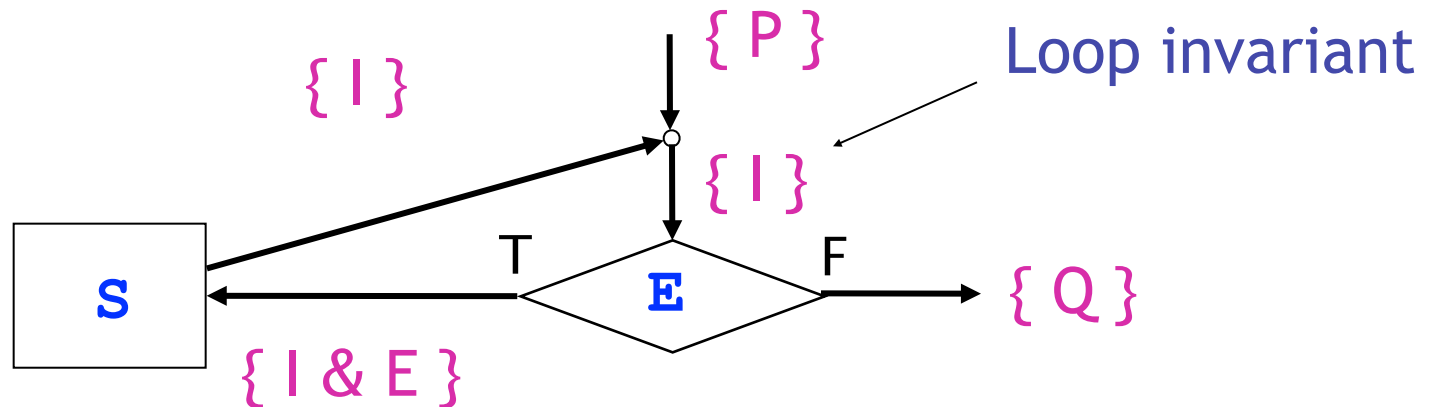
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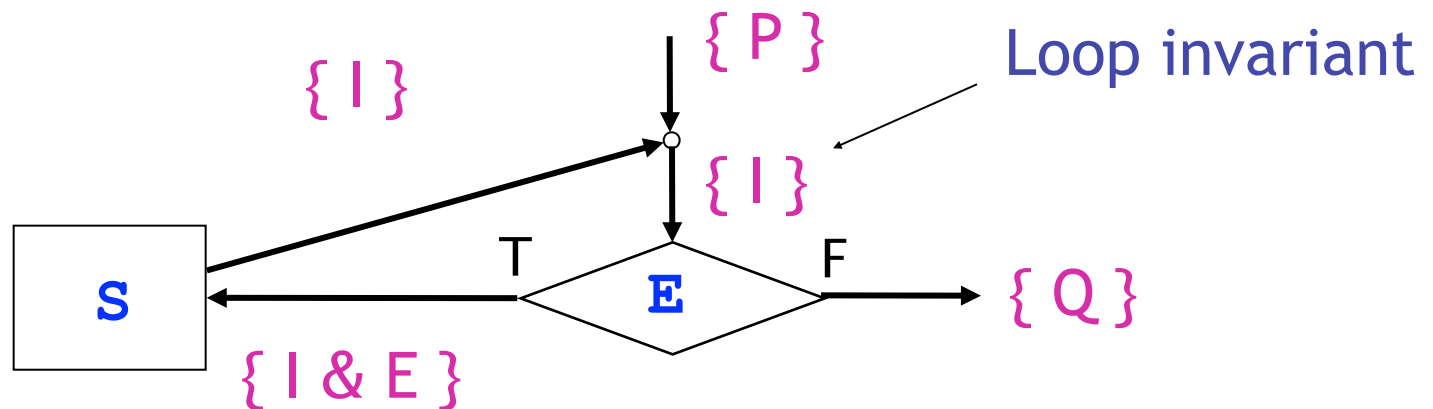
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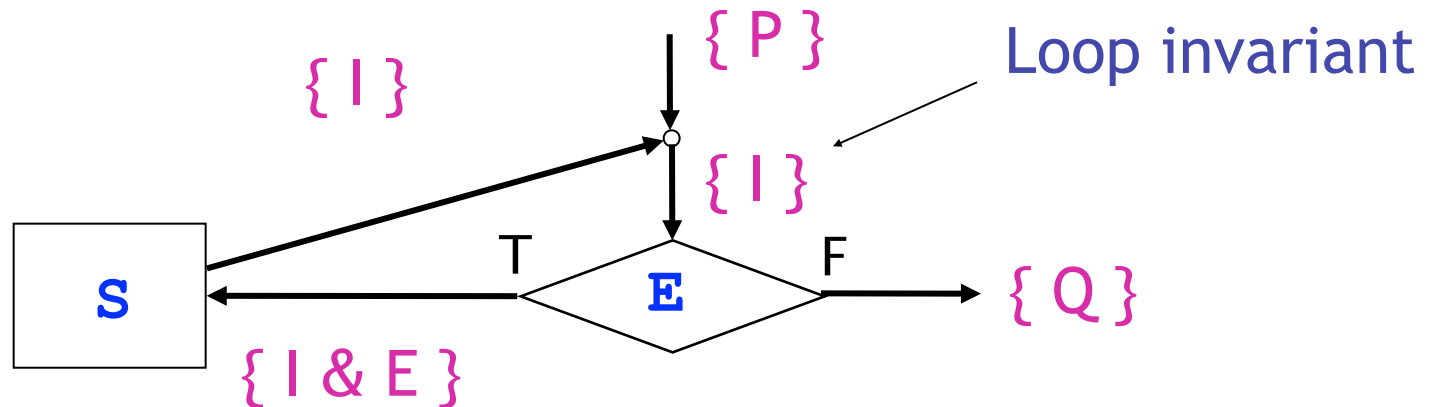
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if $P \Rightarrow I$ (loop invariant holds initially)

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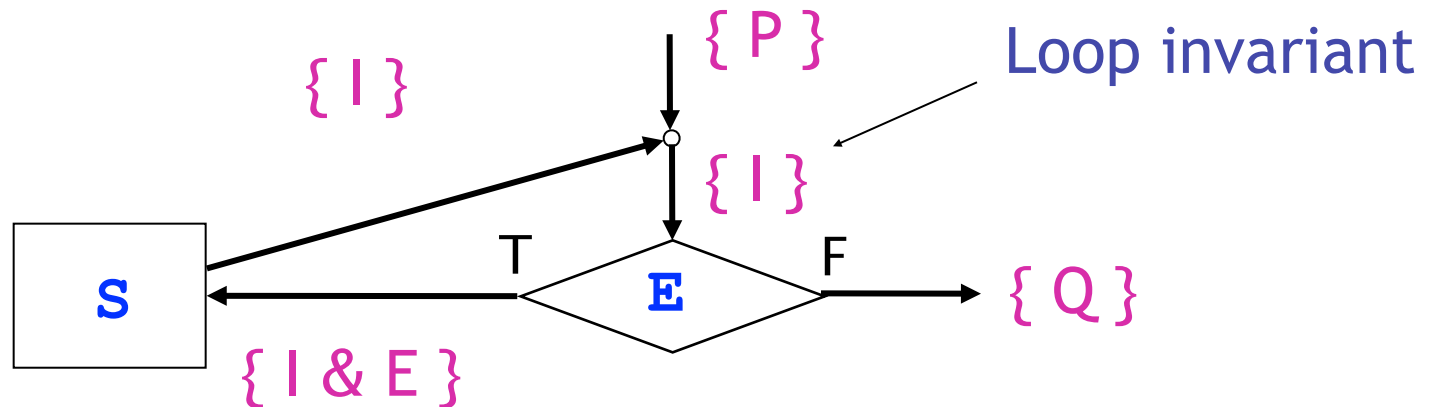
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if $P \Rightarrow I$ (loop invariant holds initially)
and $I \& !b \Rightarrow Q$ (loop establishes the postcondition)

Reasoning about loops: Flow Graphs

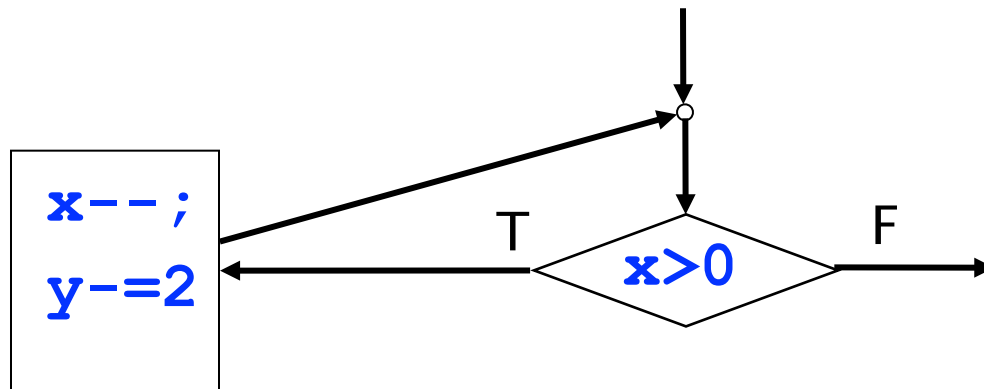
- Loops can be handled using conditionals and joins
- Consider the **while b do S** statement



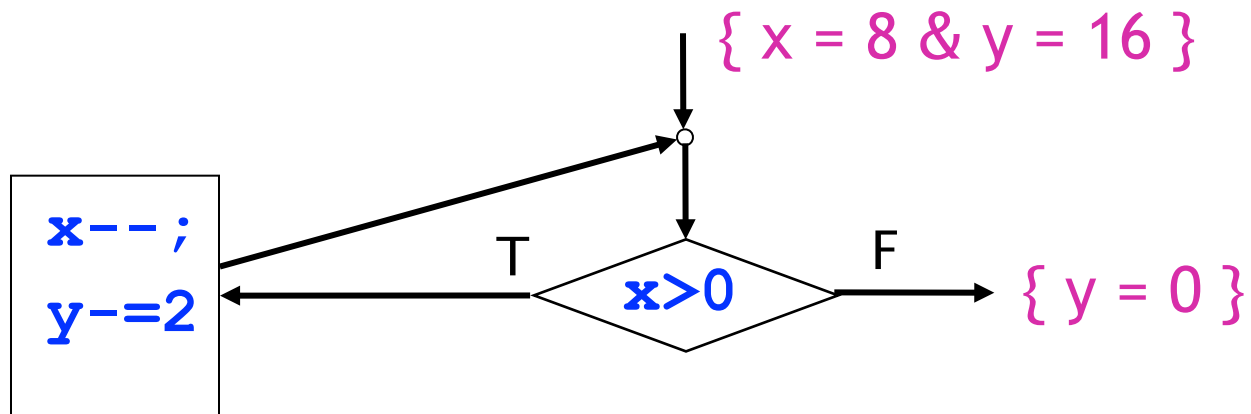
| | |
|---------------------------------|--------------------------------------|
| if $P \Rightarrow I$ | (loop invariant holds initially) |
| and $I \ \& \ !b \Rightarrow Q$ | (loop establishes the postcondition) |
| and $\{I \ \& \ b\} S \{I\}$ | (loop invariant is preserved) |

Loop Example

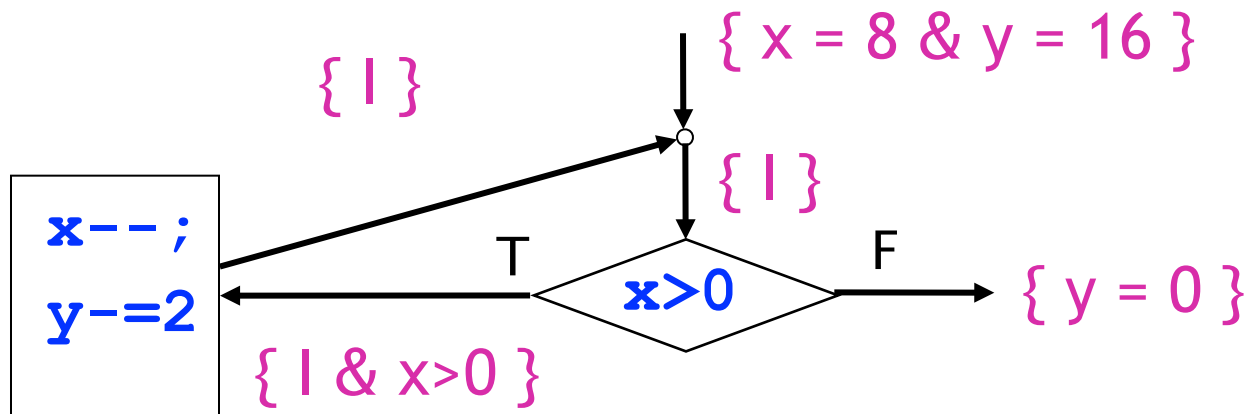
Loop Example



Loop Example



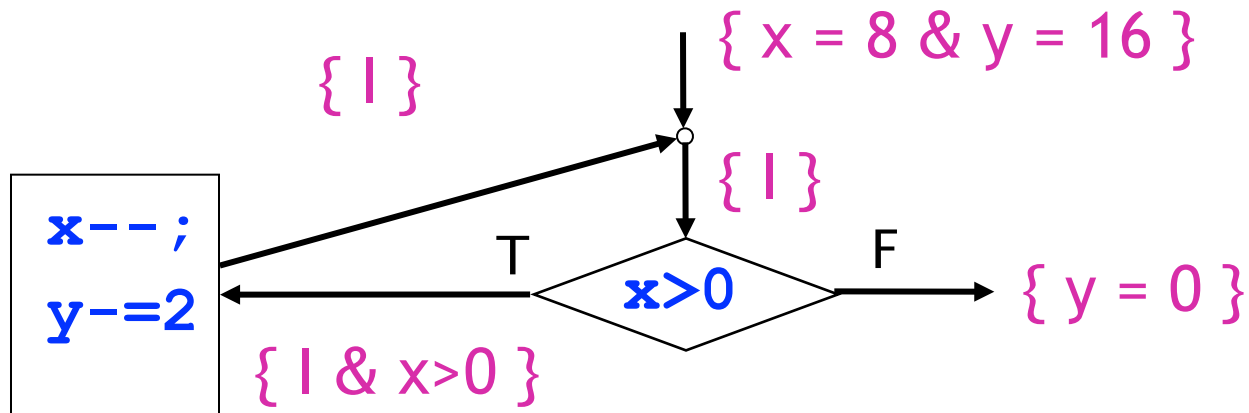
Loop Example



Loop Example

Verify:

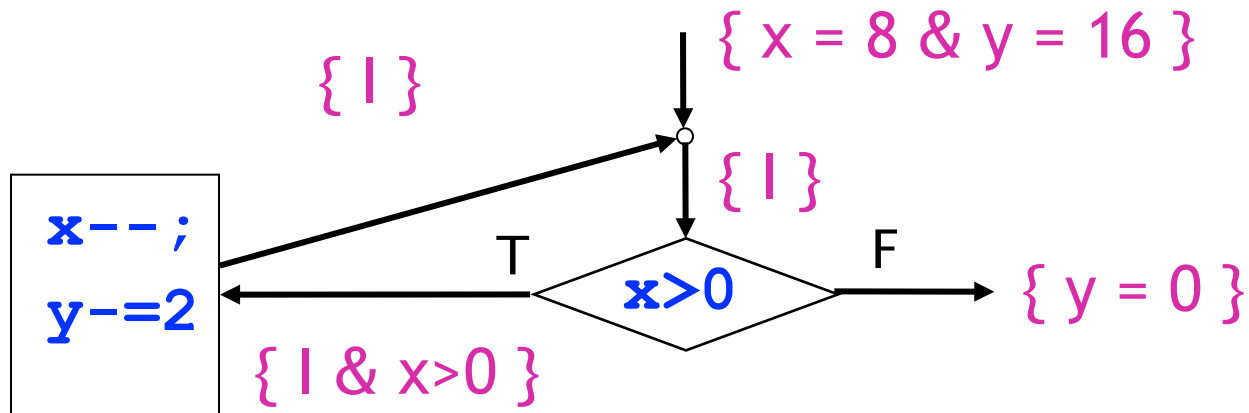
$\{x=8 \ \& \ y=16\}$ **while** $(x>0)$ $\{x--; \ y-=2;\}$ $\{y=0\}$



Loop Example

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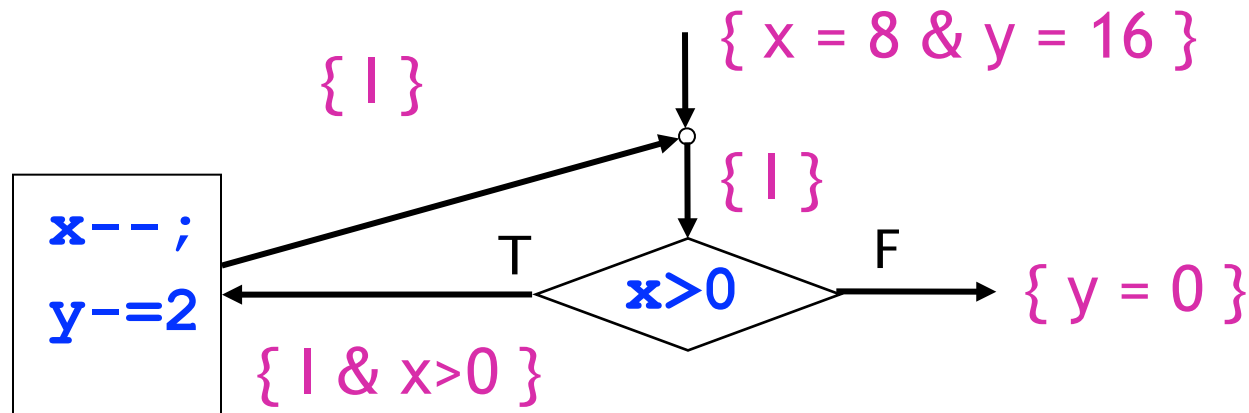
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Loop Example

Verify:

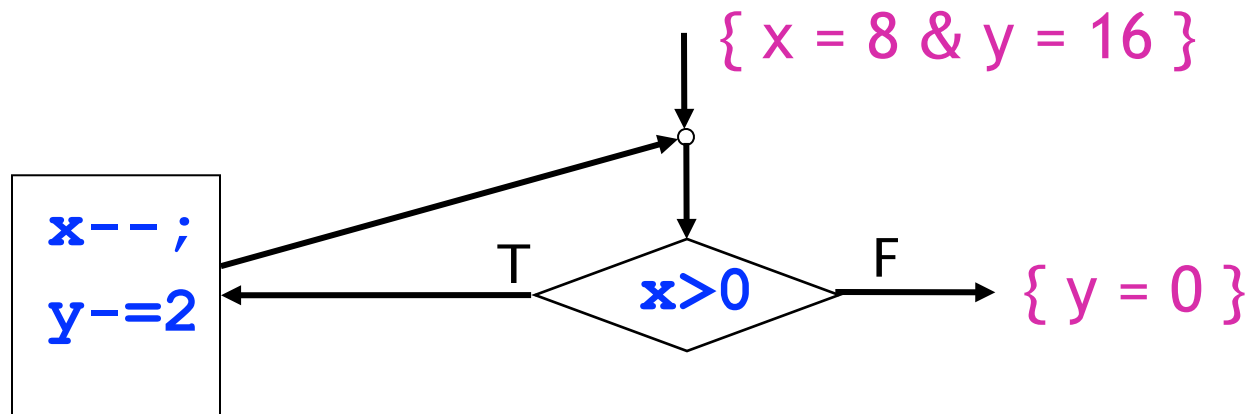
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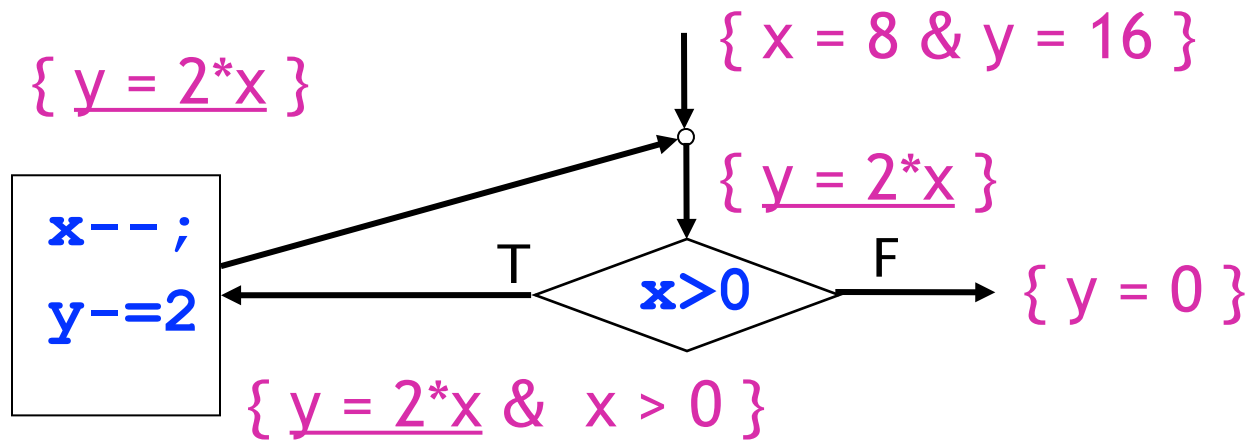
Find an appropriate invariant I

- Holds initially $x = 8 \ \& \ y = 16$
- Holds at end $y == 0$

Loop Example (II)

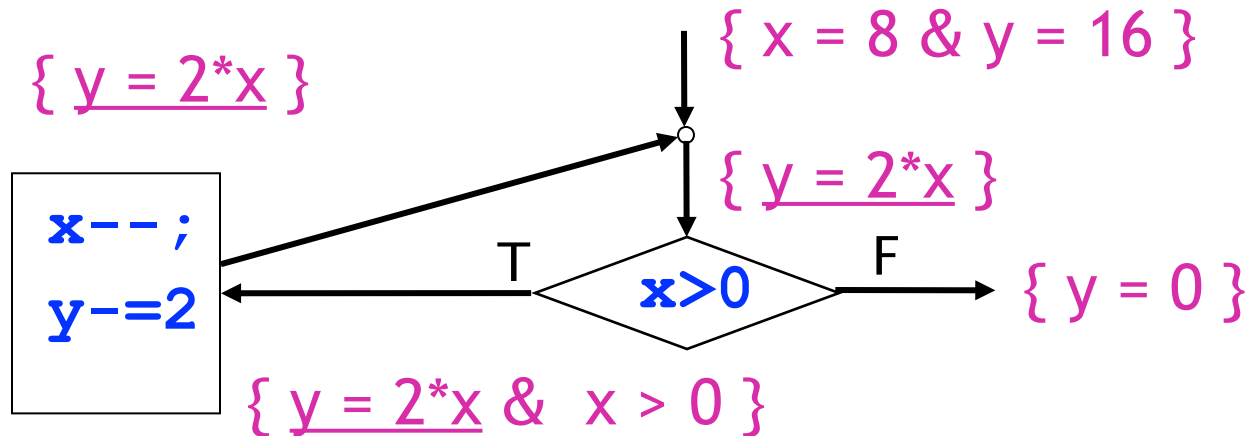


Loop Example (II)



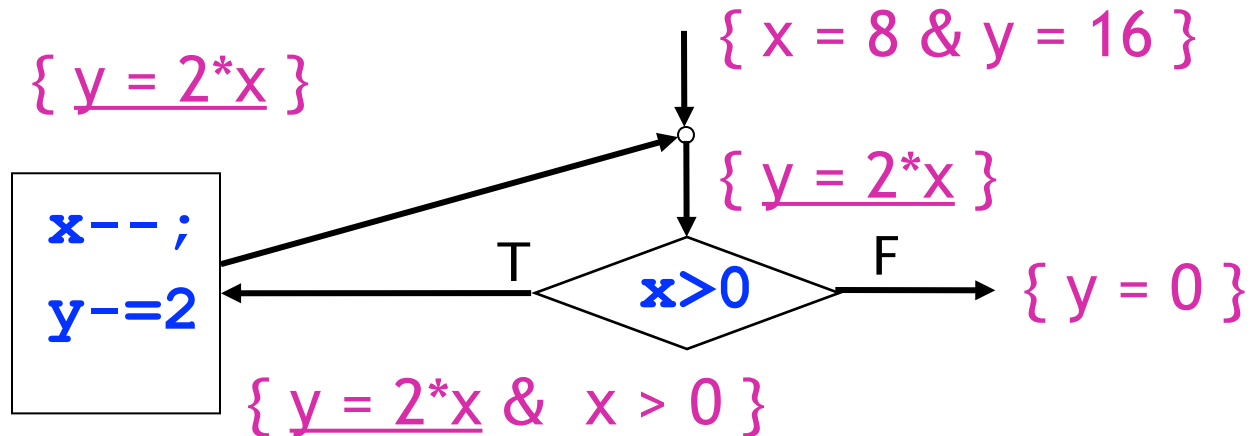
Loop Example (II)

Guess invariant $y = 2 * x$



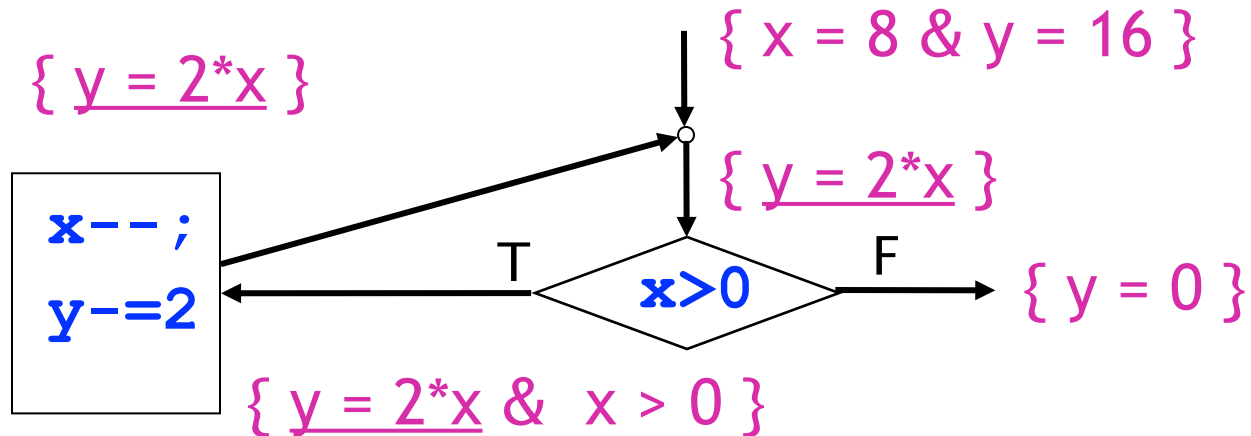
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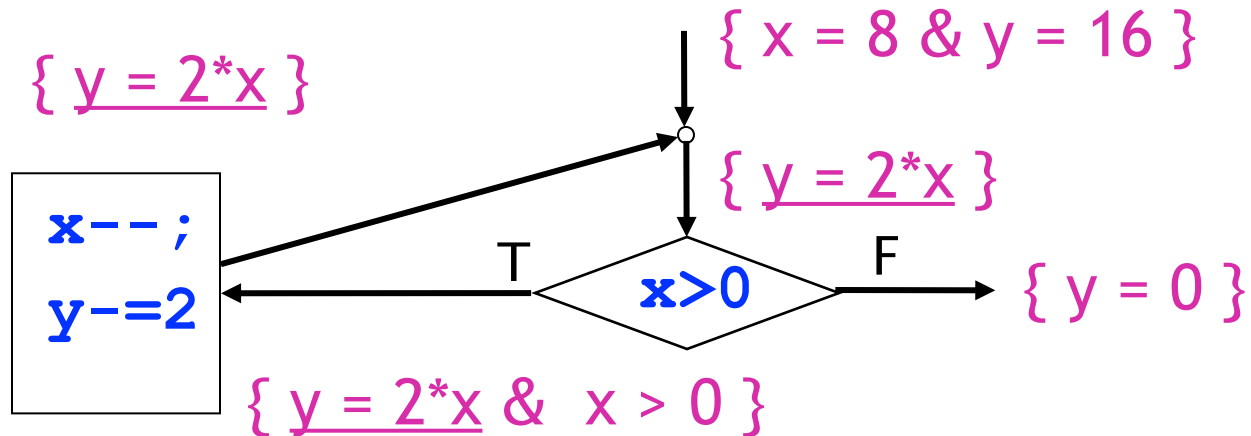
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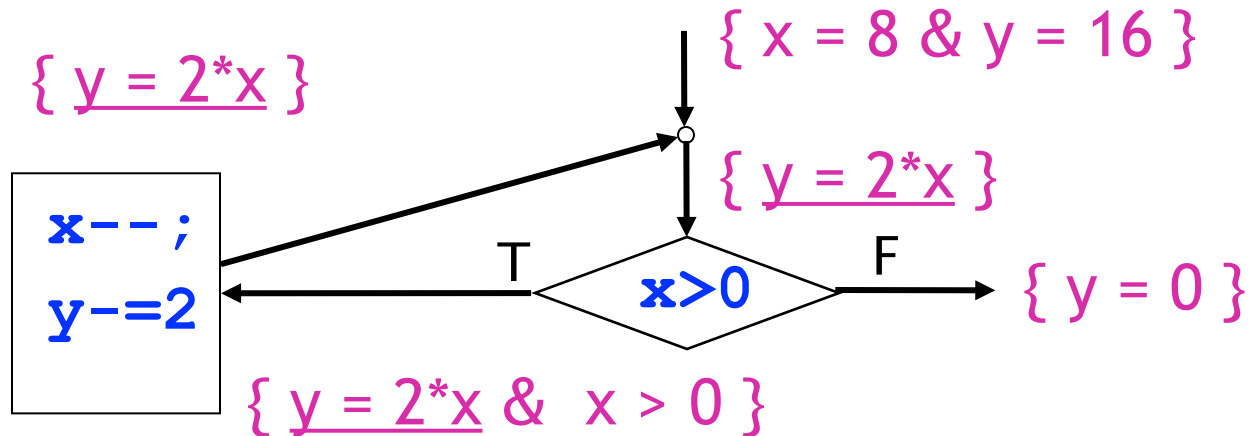
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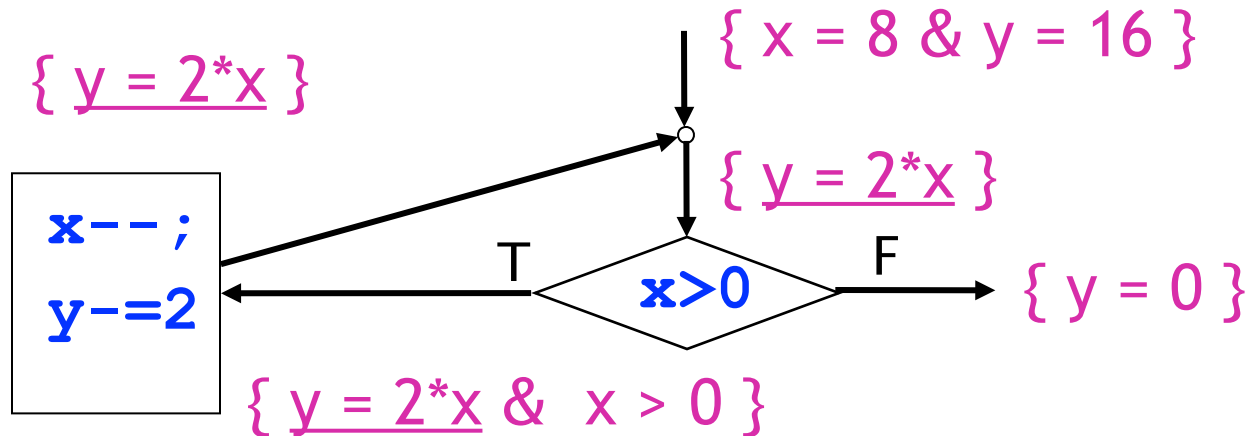
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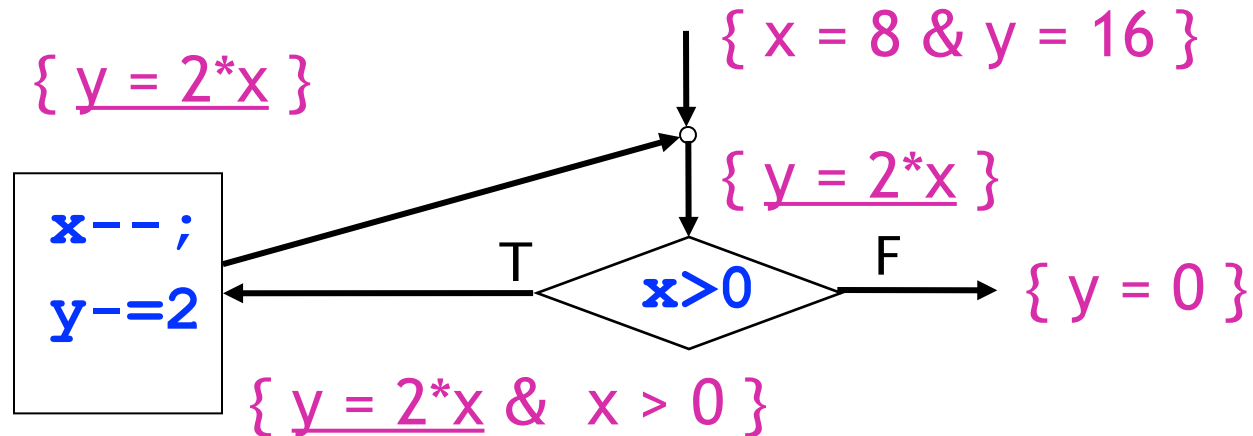
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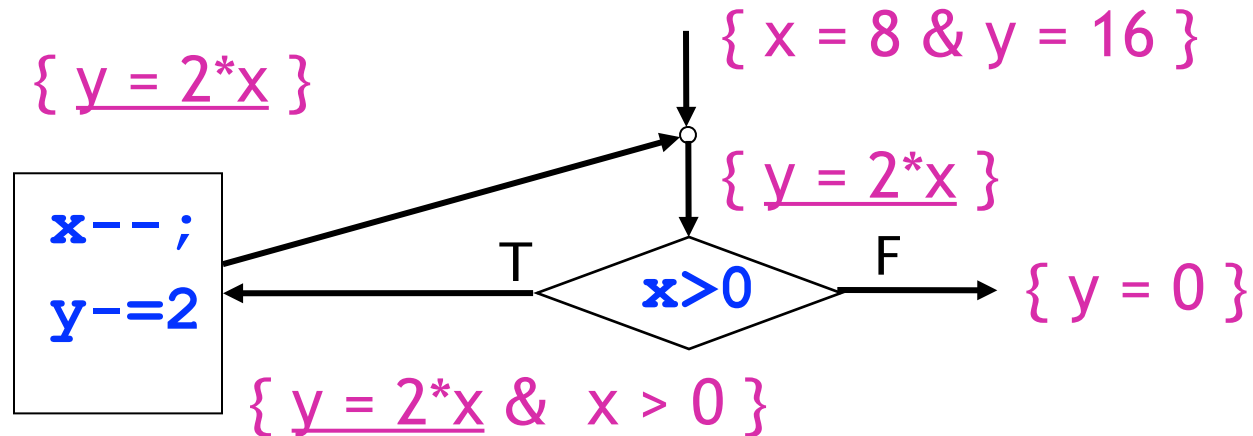
Guess invariant $y = 2*x$



Check :

Loop Example (II)

Guess invariant $y = 2*x$

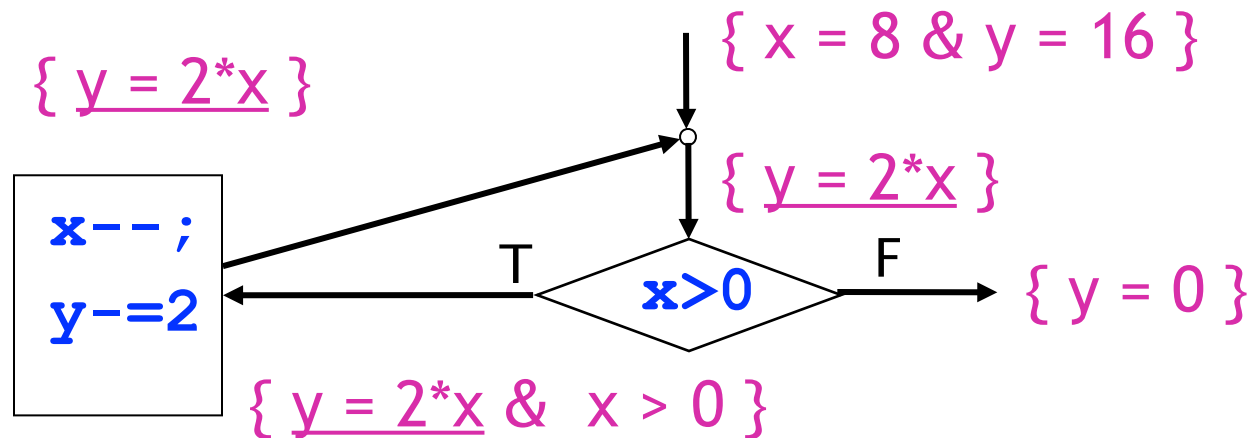


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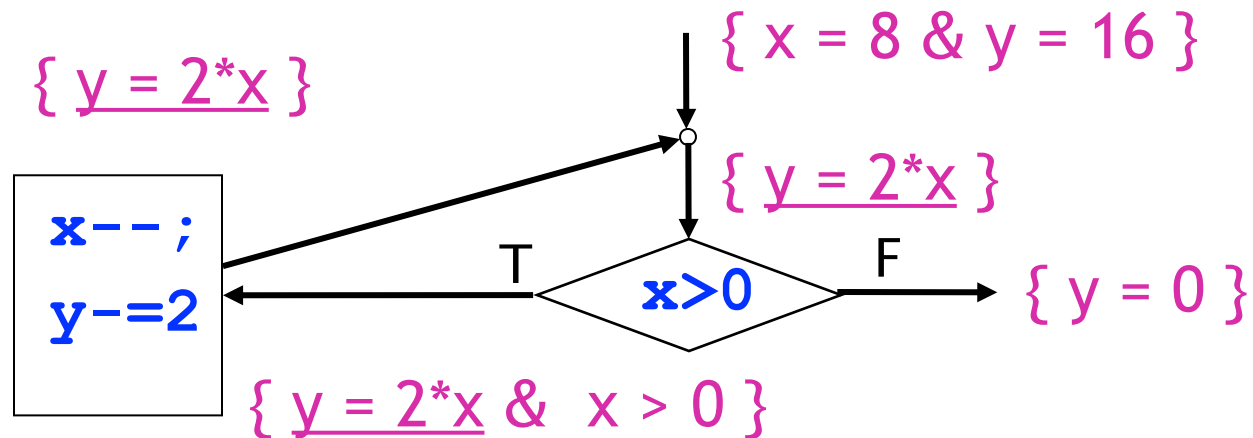


Check :

- Initial: $x = 8 \ \& \ y = 16 \Rightarrow y = 2*x$
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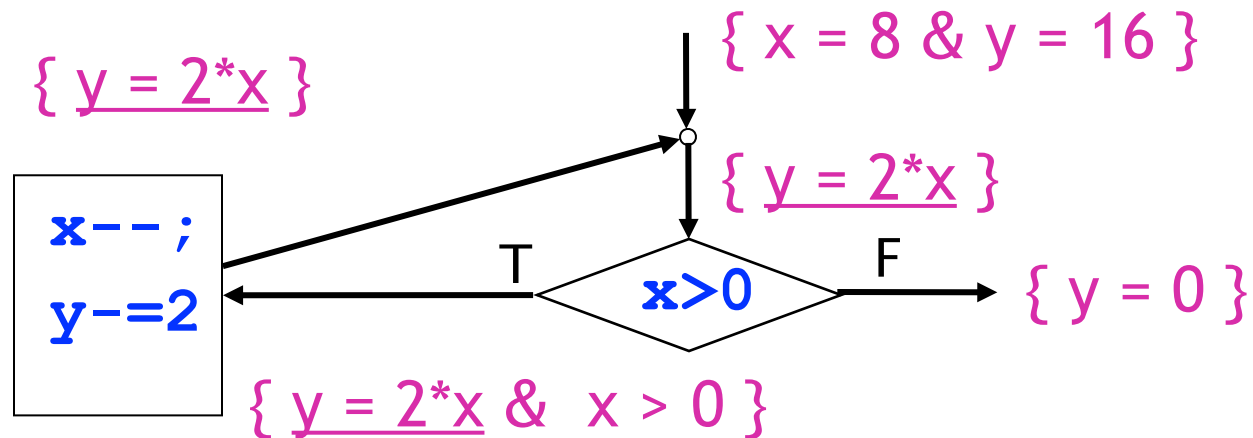


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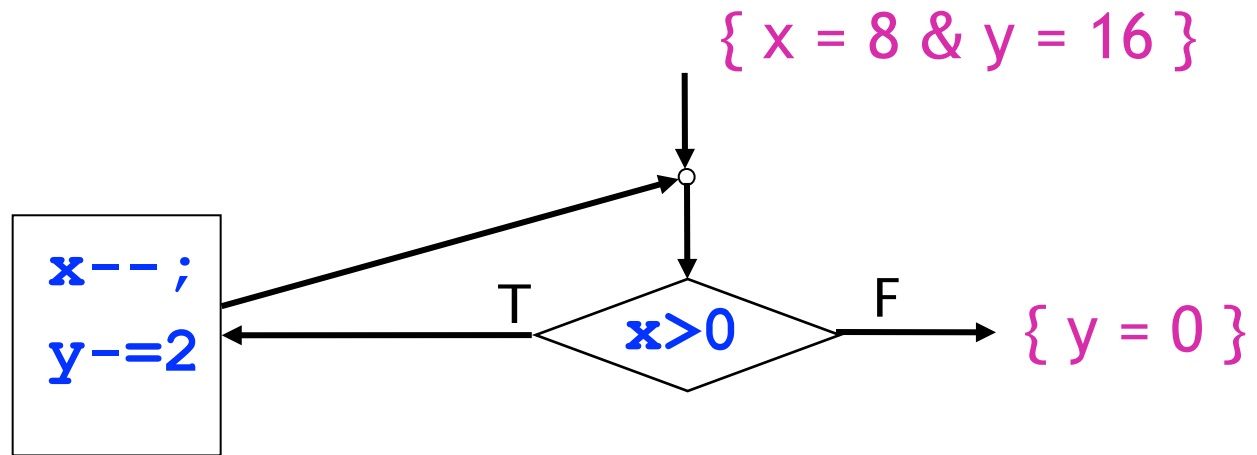
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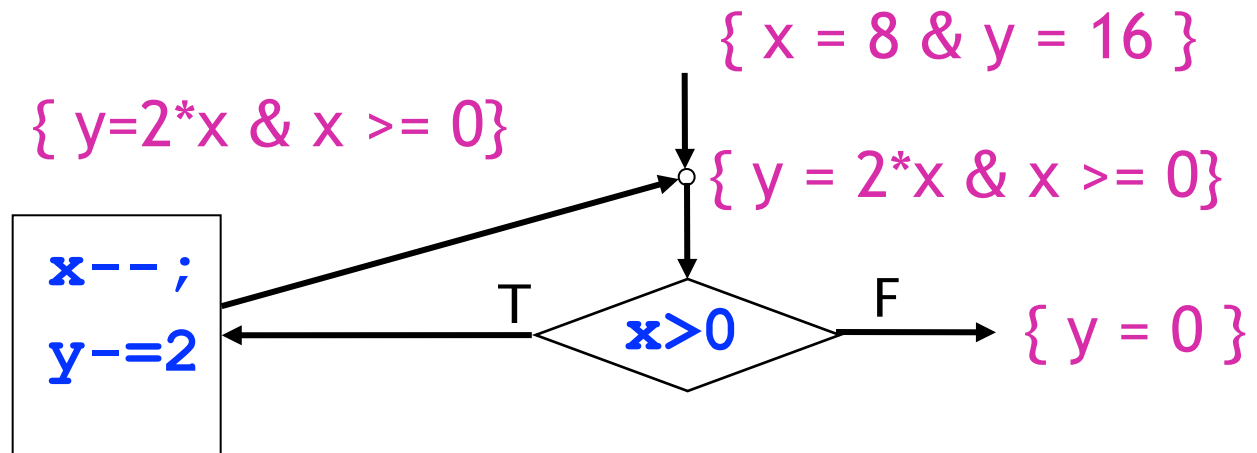
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Loop Example (III)

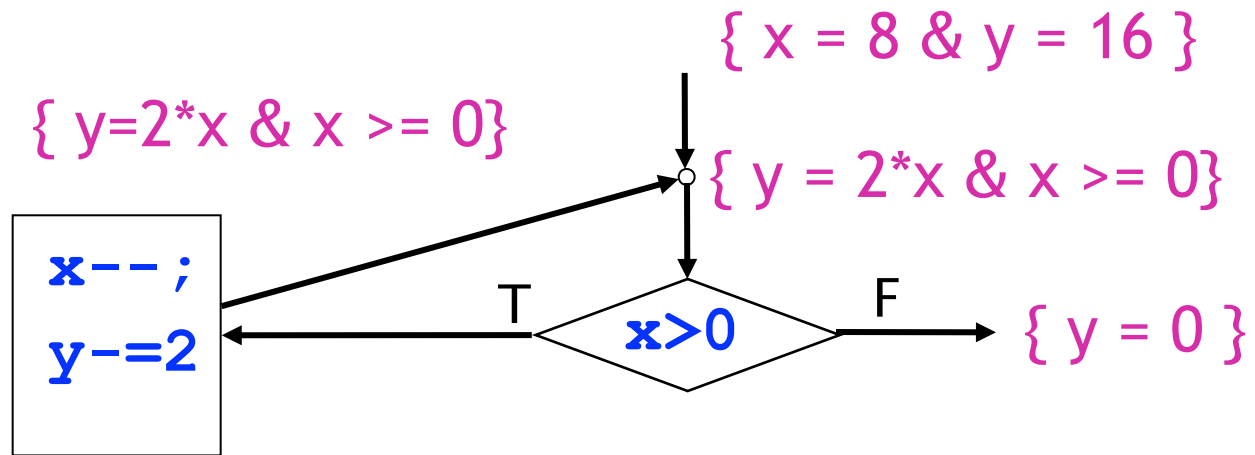


Loop Example (III)



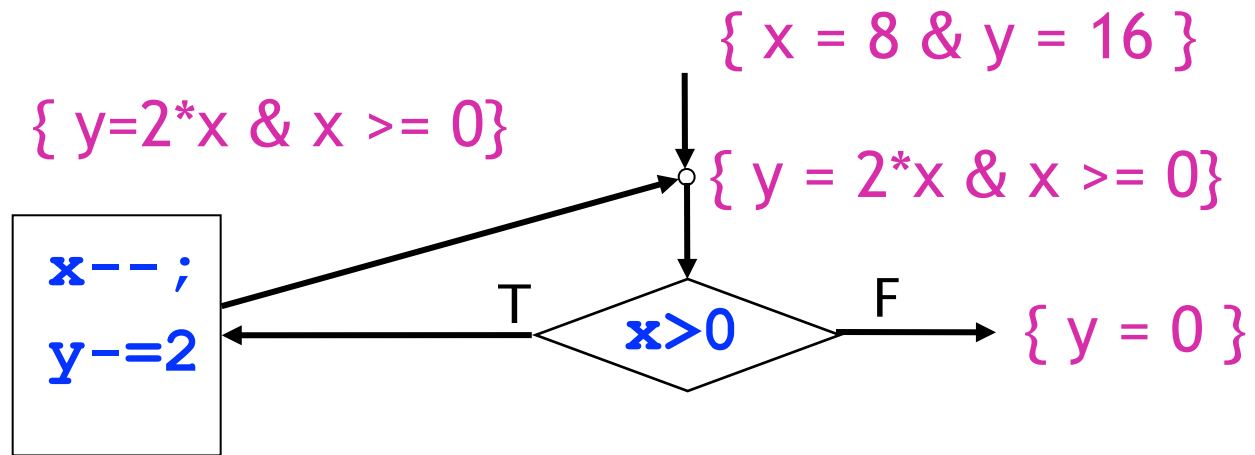
Loop Example (III)

Guess invariant $y = 2*x \ \& \ x \geq 0$



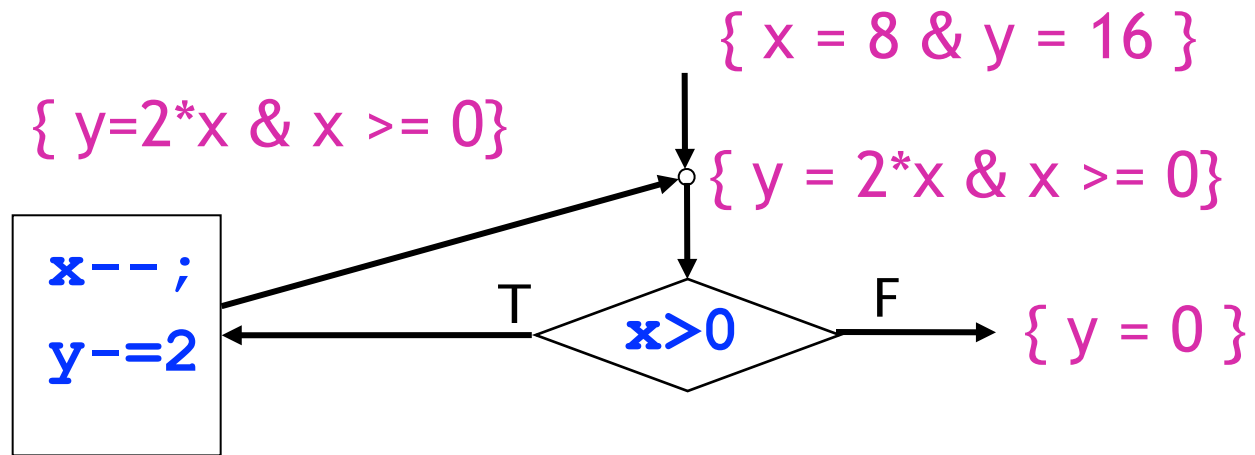
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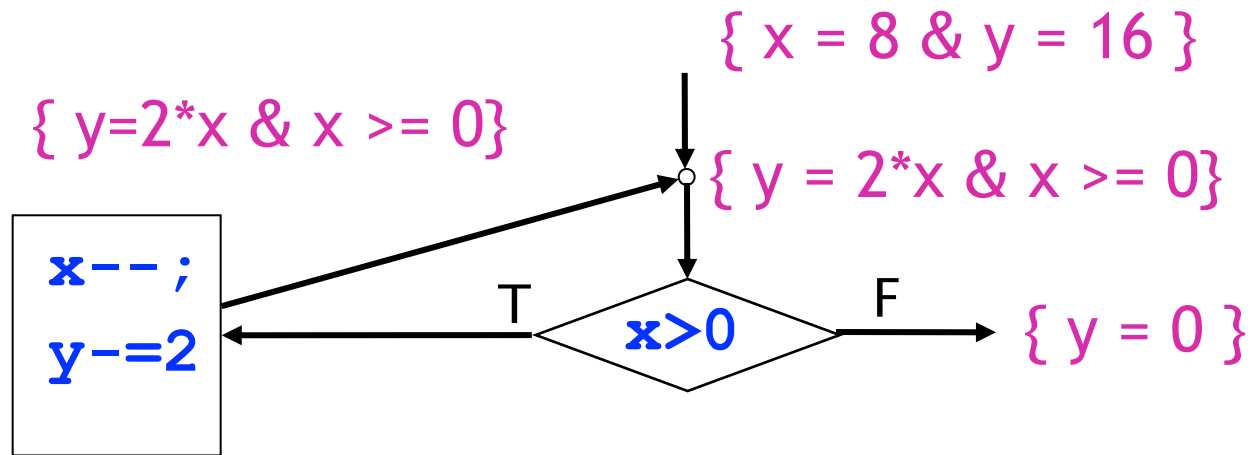
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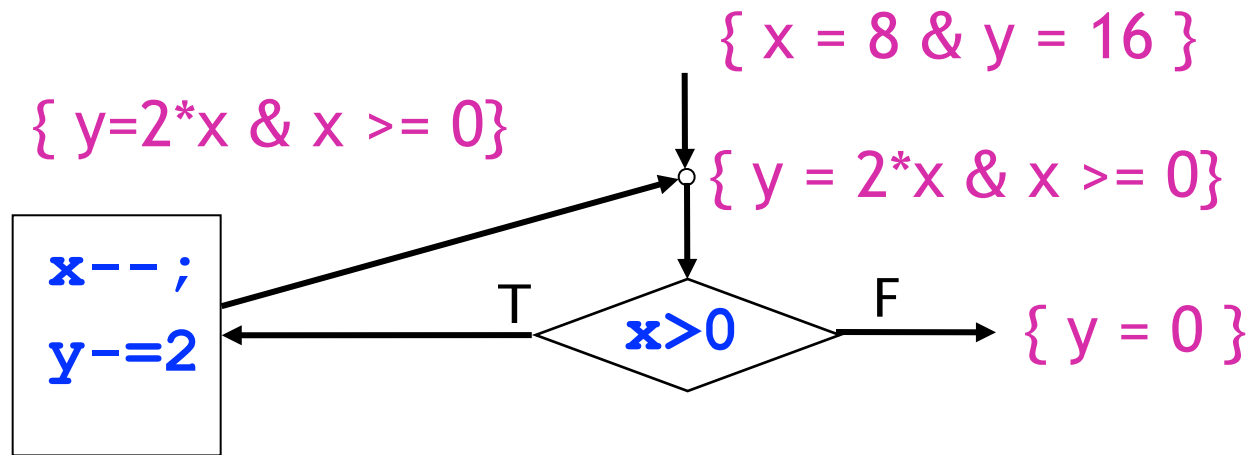
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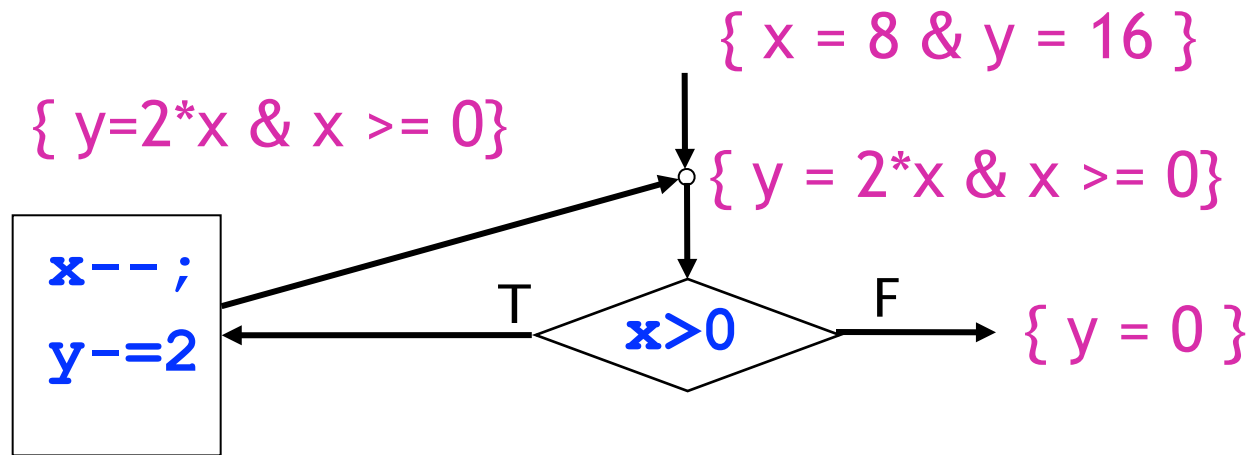
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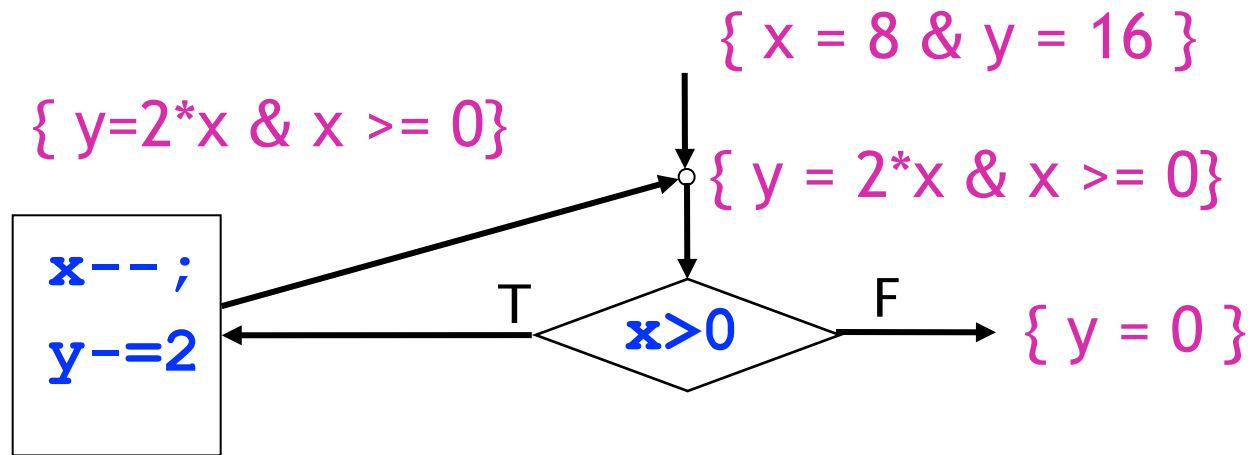
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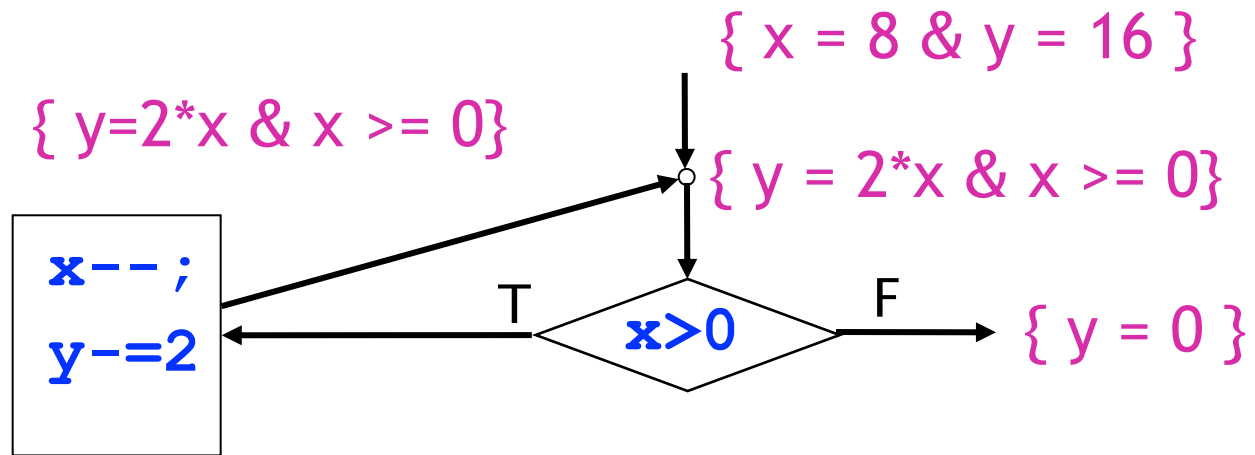


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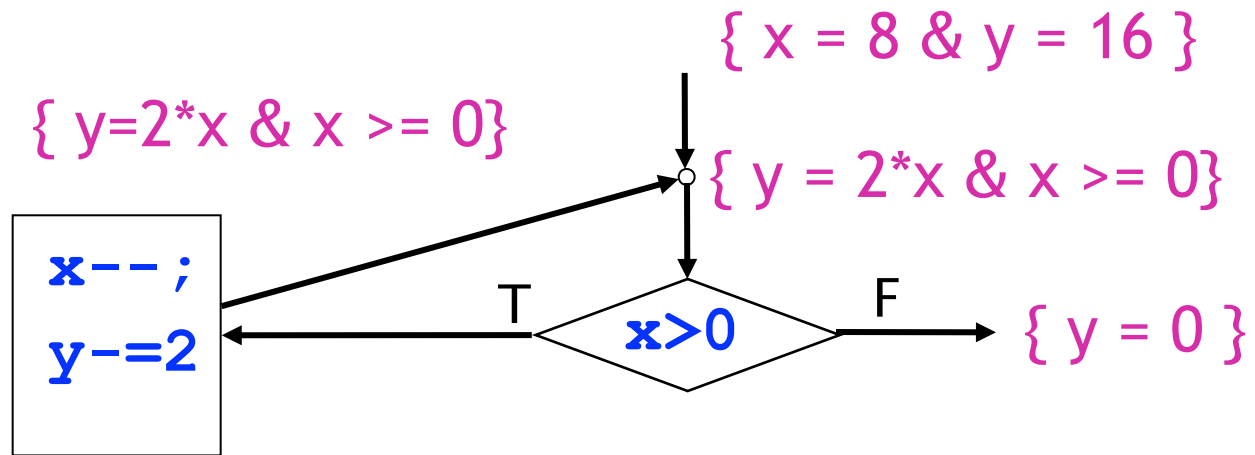


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Loops Discussion

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Note: Invariant depends on your proof goal!

Verification Example

```
    k = k + 1;  
  }  
  return r;  
}
```

Verification Example

```
    }  
    return r;  
}
```

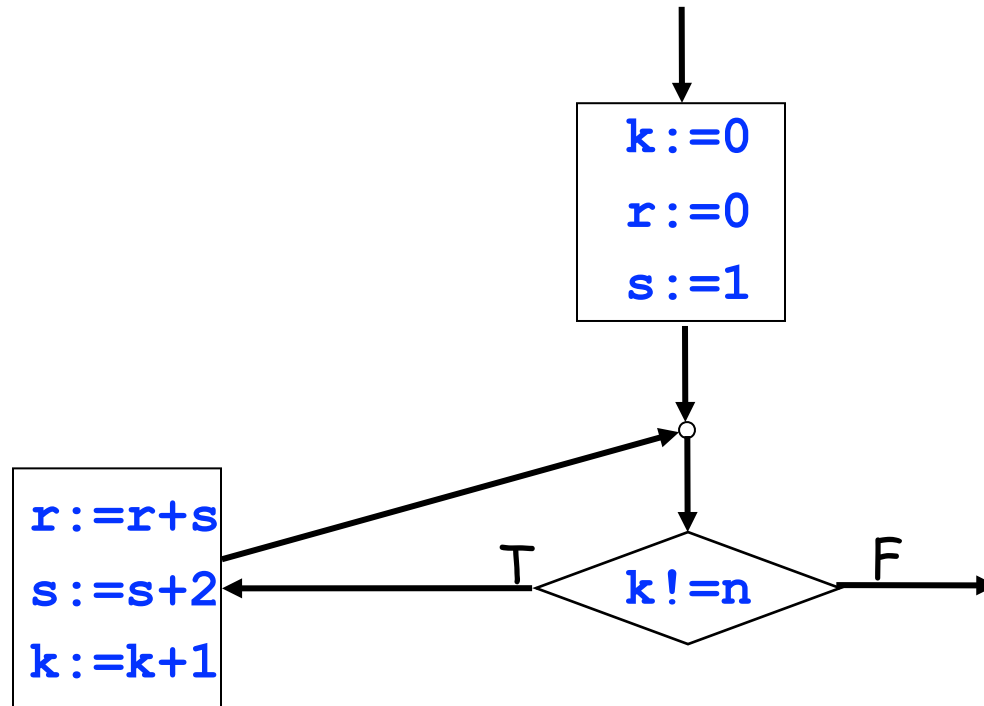
Verification Example

```
    return r;  
}
```

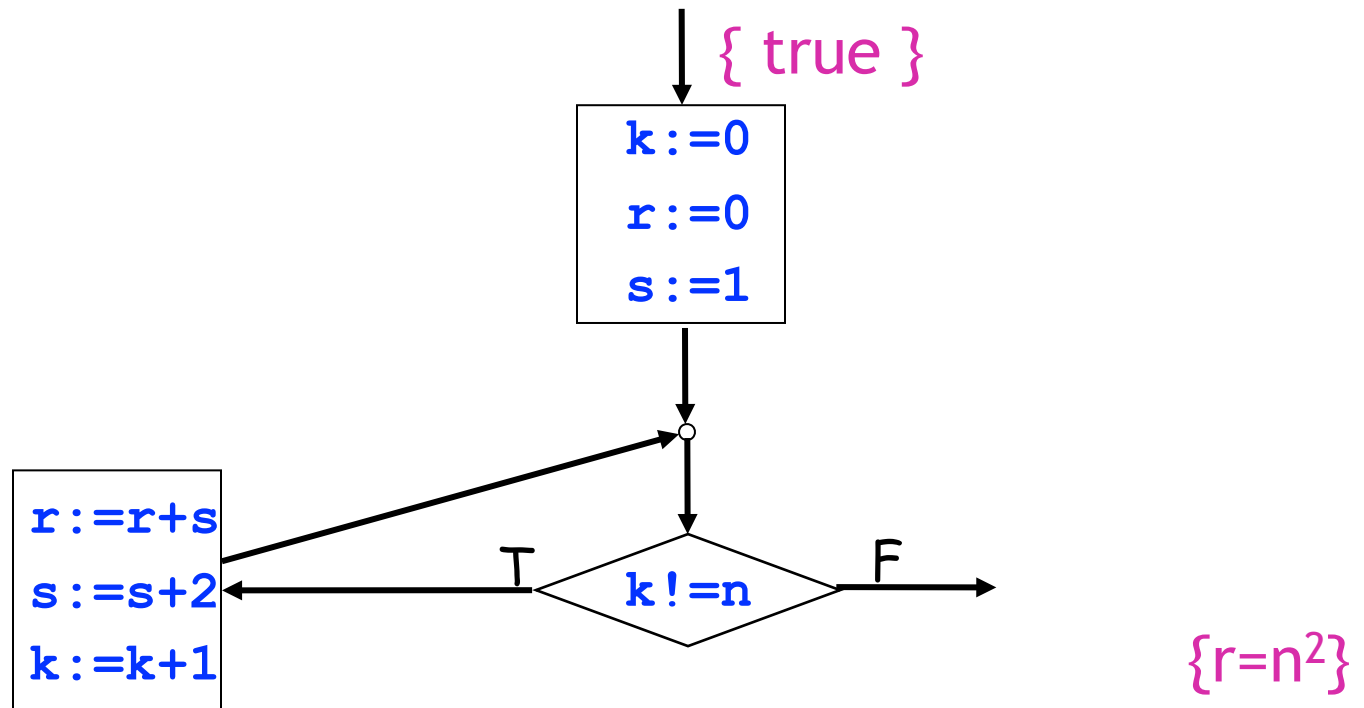
Verification Example

}

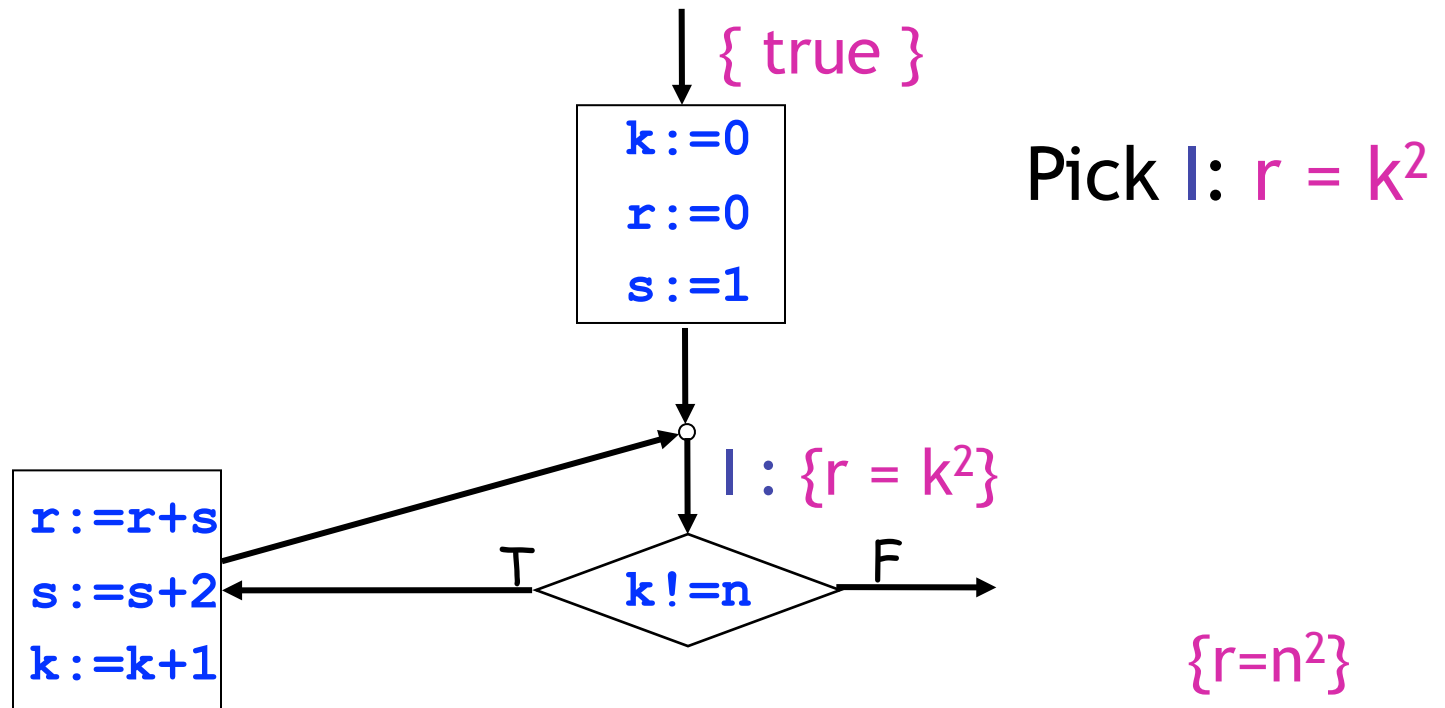
Verification Example



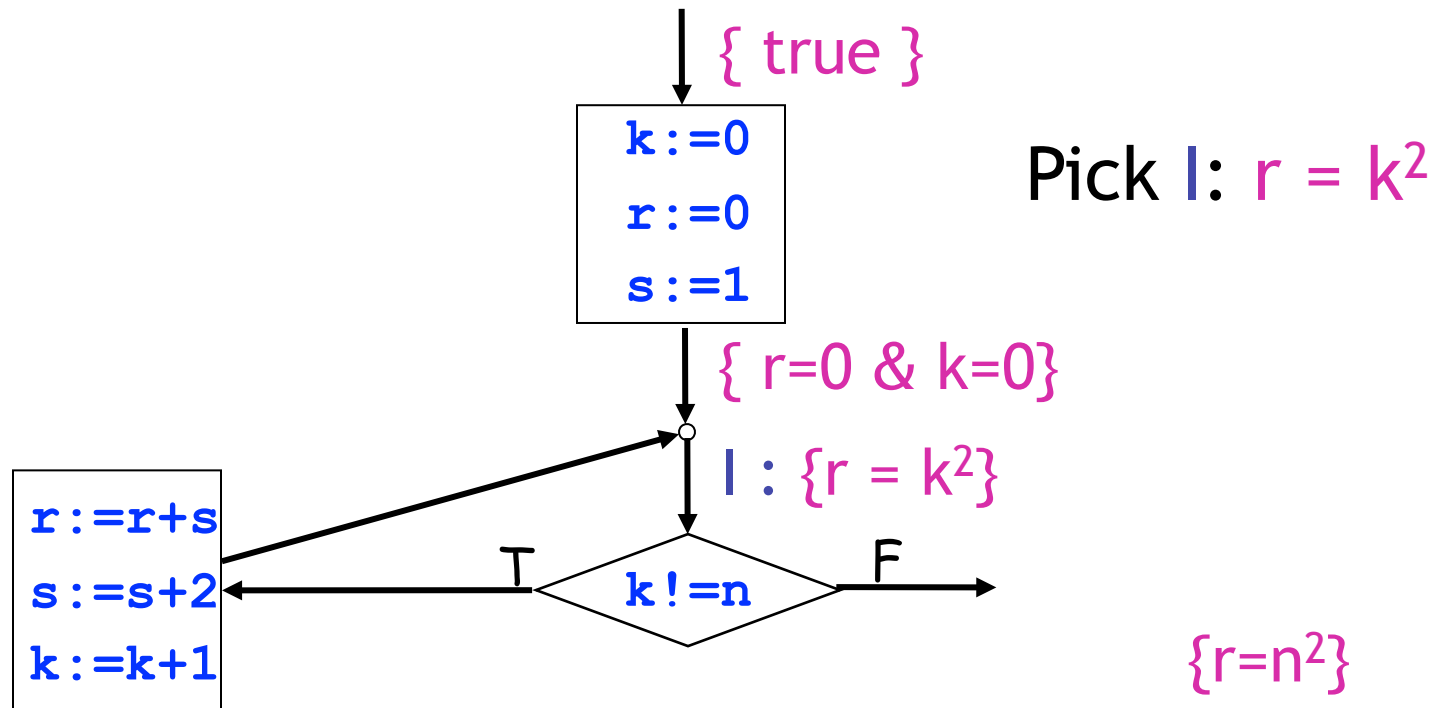
Verification Example



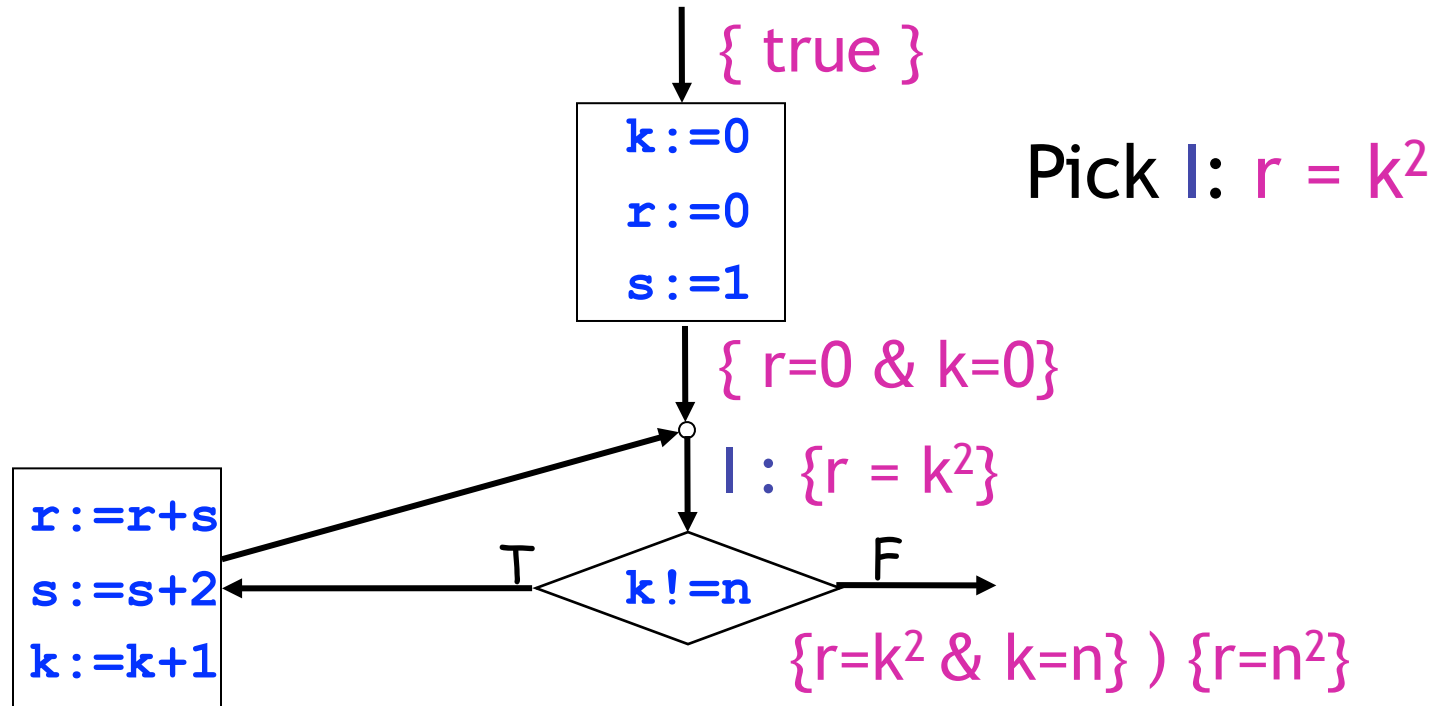
Verification Example



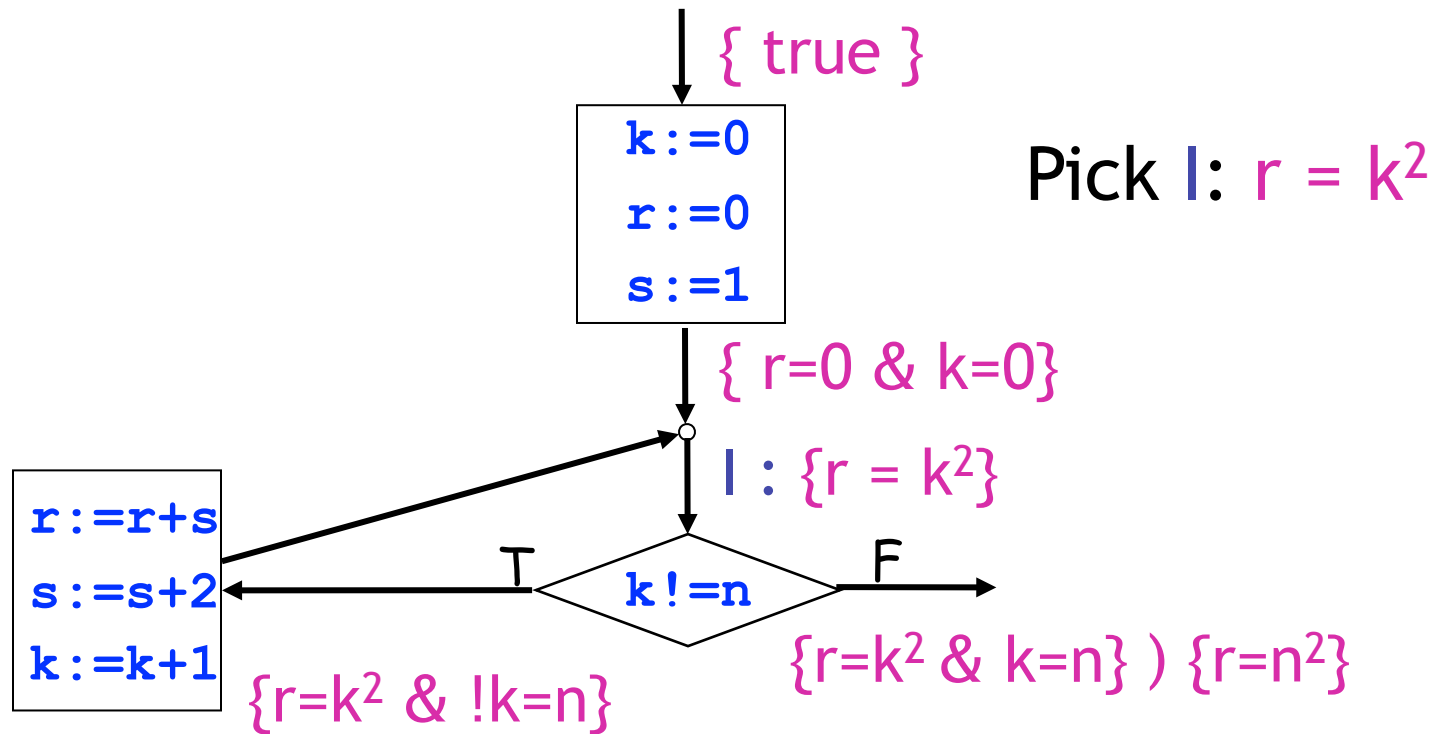
Verification Example



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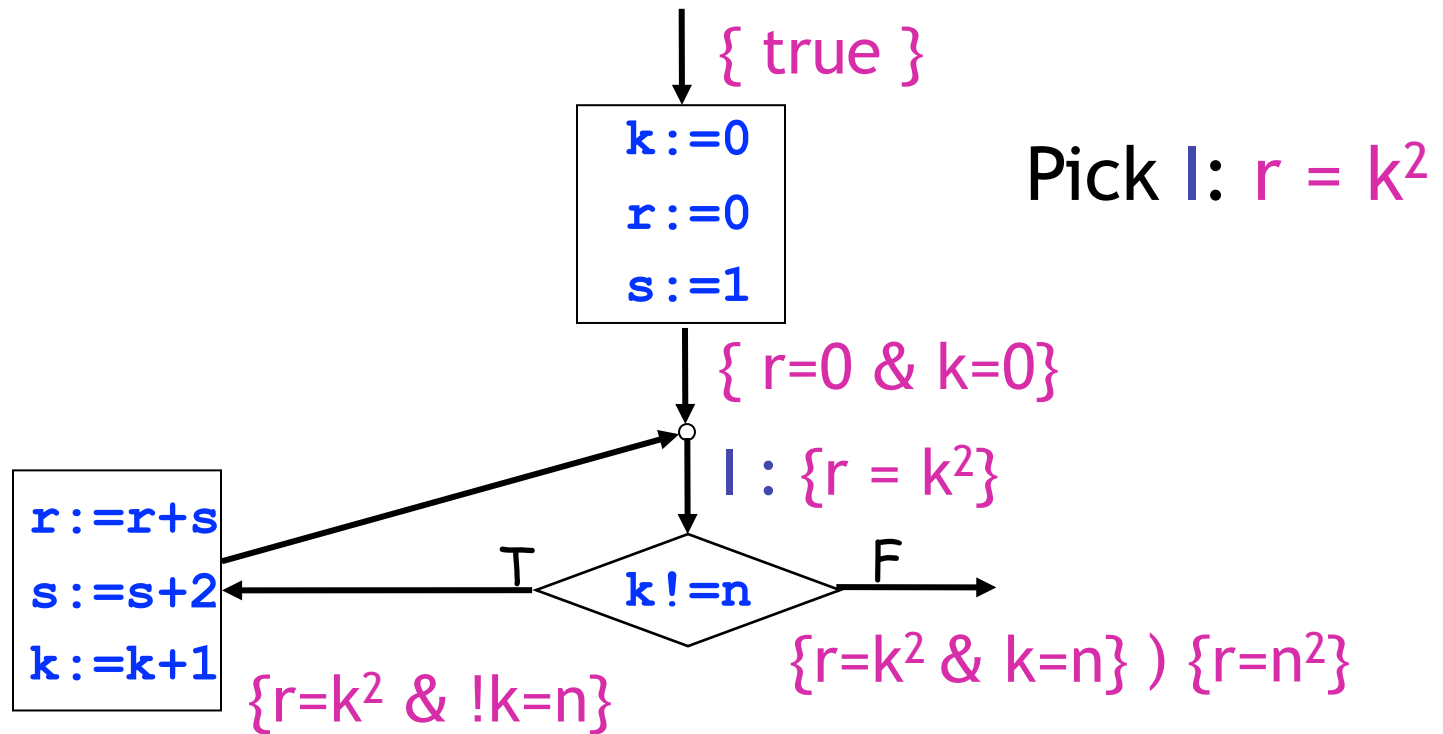


Verification Example



Need: $\{r=k^2 \ \& \ !k=n\} \mathbf{c} \{r=k^2\}$

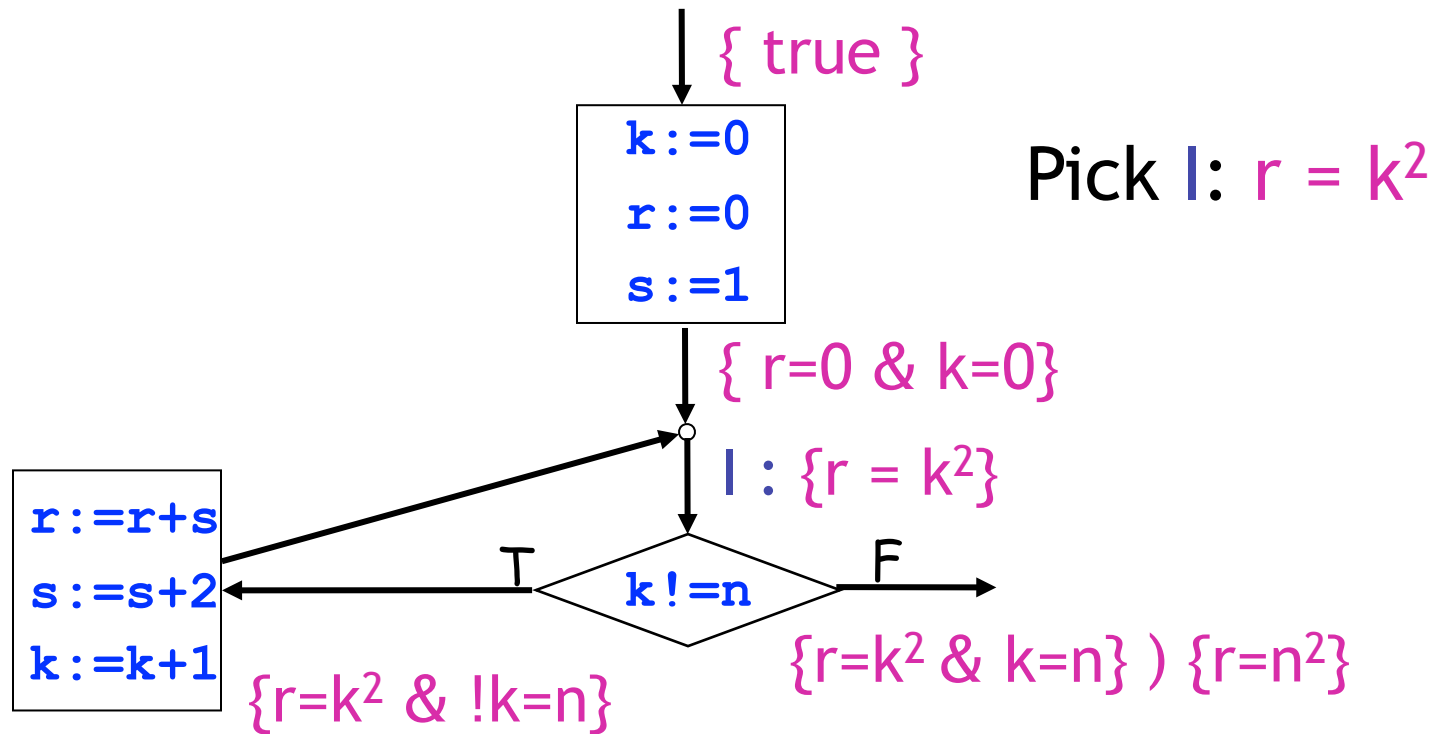
Verification Example



Need: $\{r=k^2 \ \& \ !k=n\} \text{ c } \{r=k^2\}$

i.e. $\{r=k^2 \ \& \ !k=n\} \Rightarrow \text{WP}(\text{c}, \{r=k^2\})$

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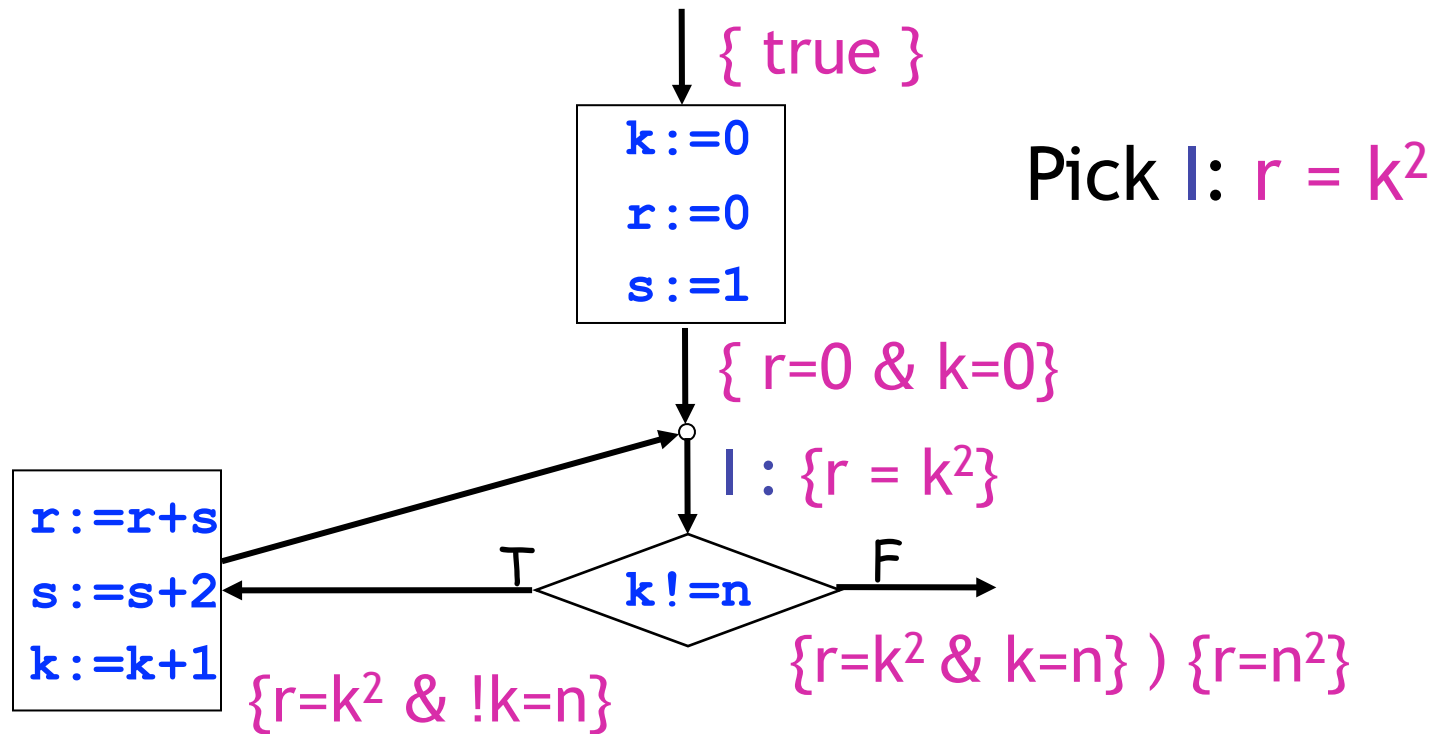


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Verification Example



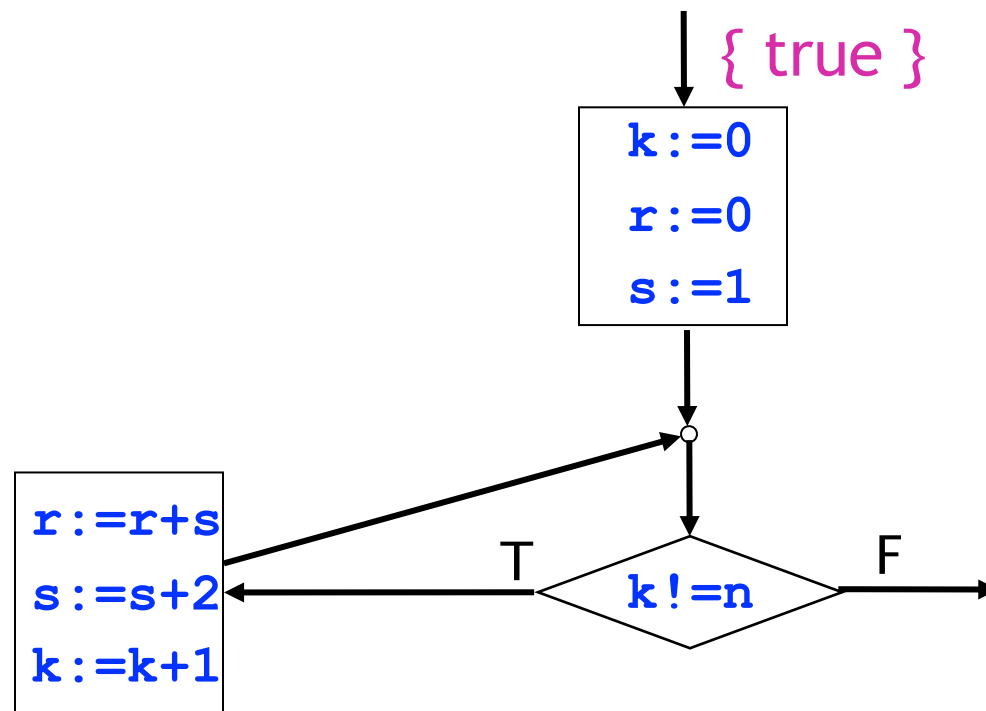
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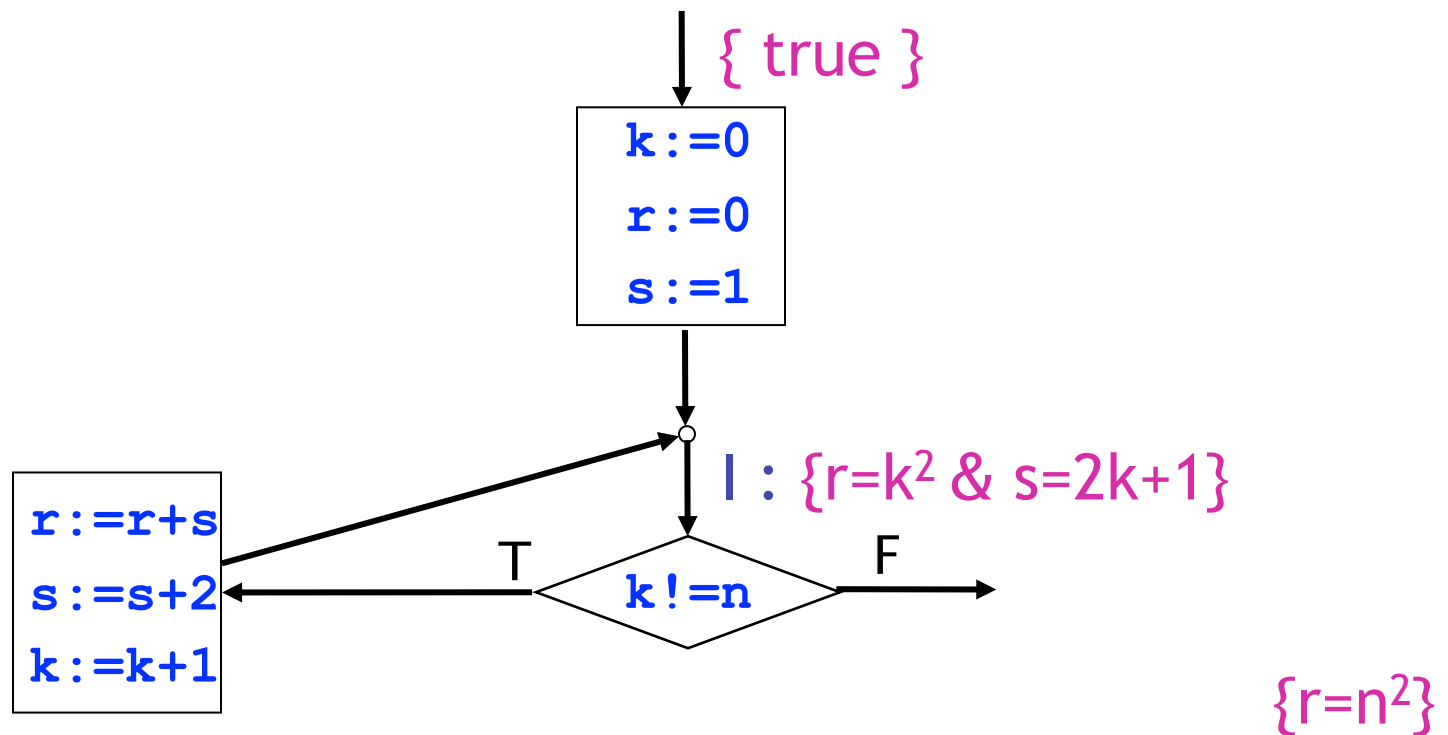
Invalid

Verification Example

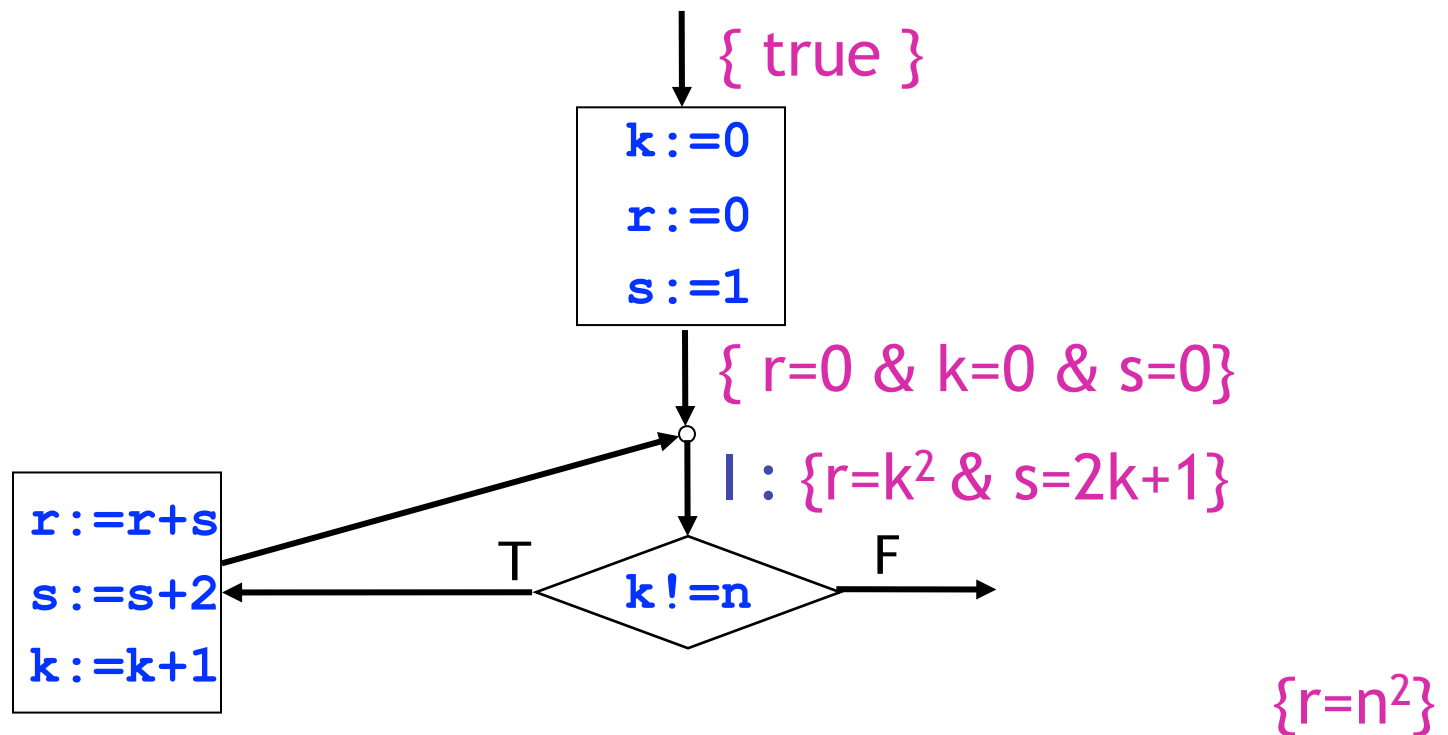


$\{r = n^2\}$

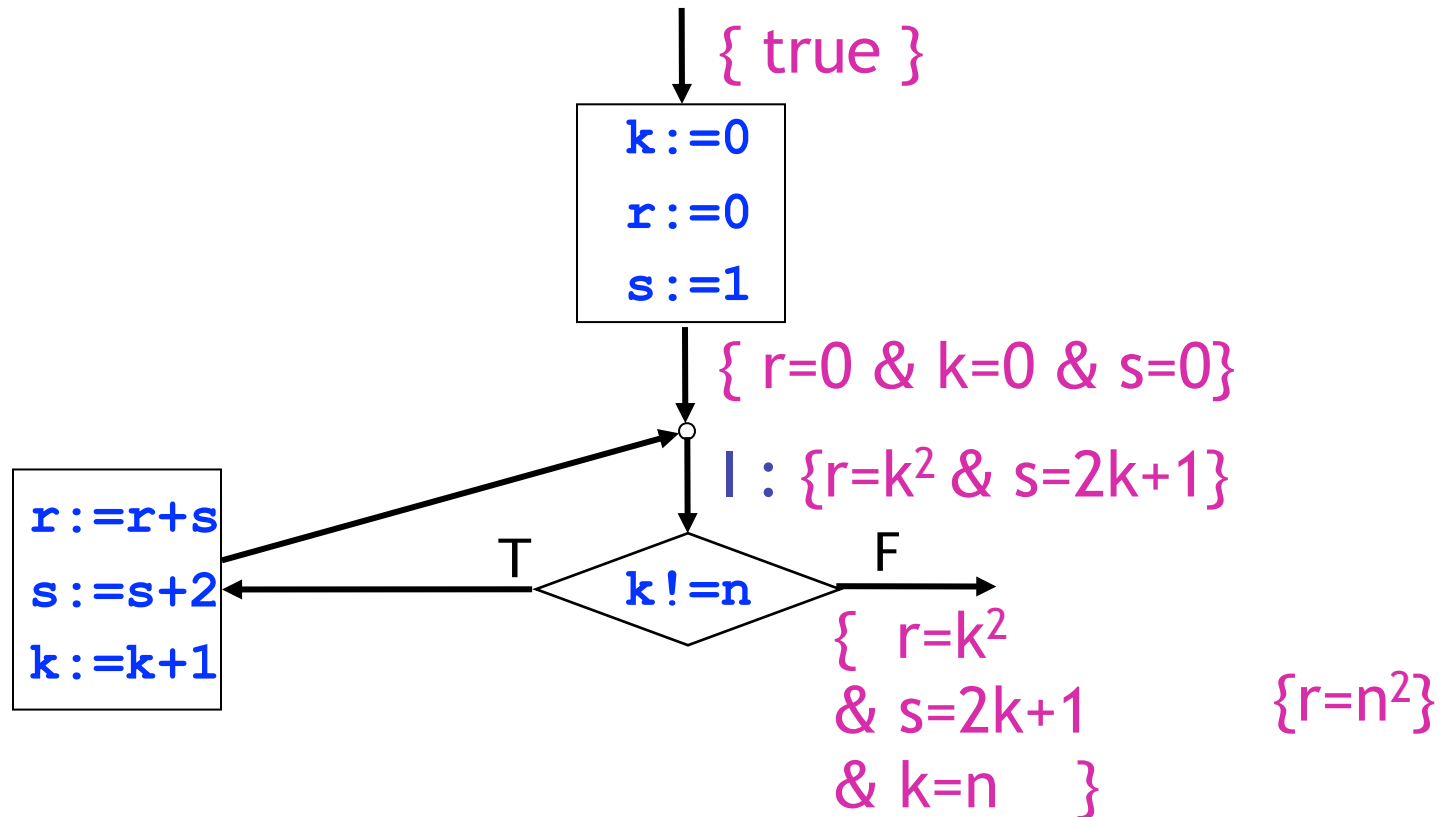
Verification Example



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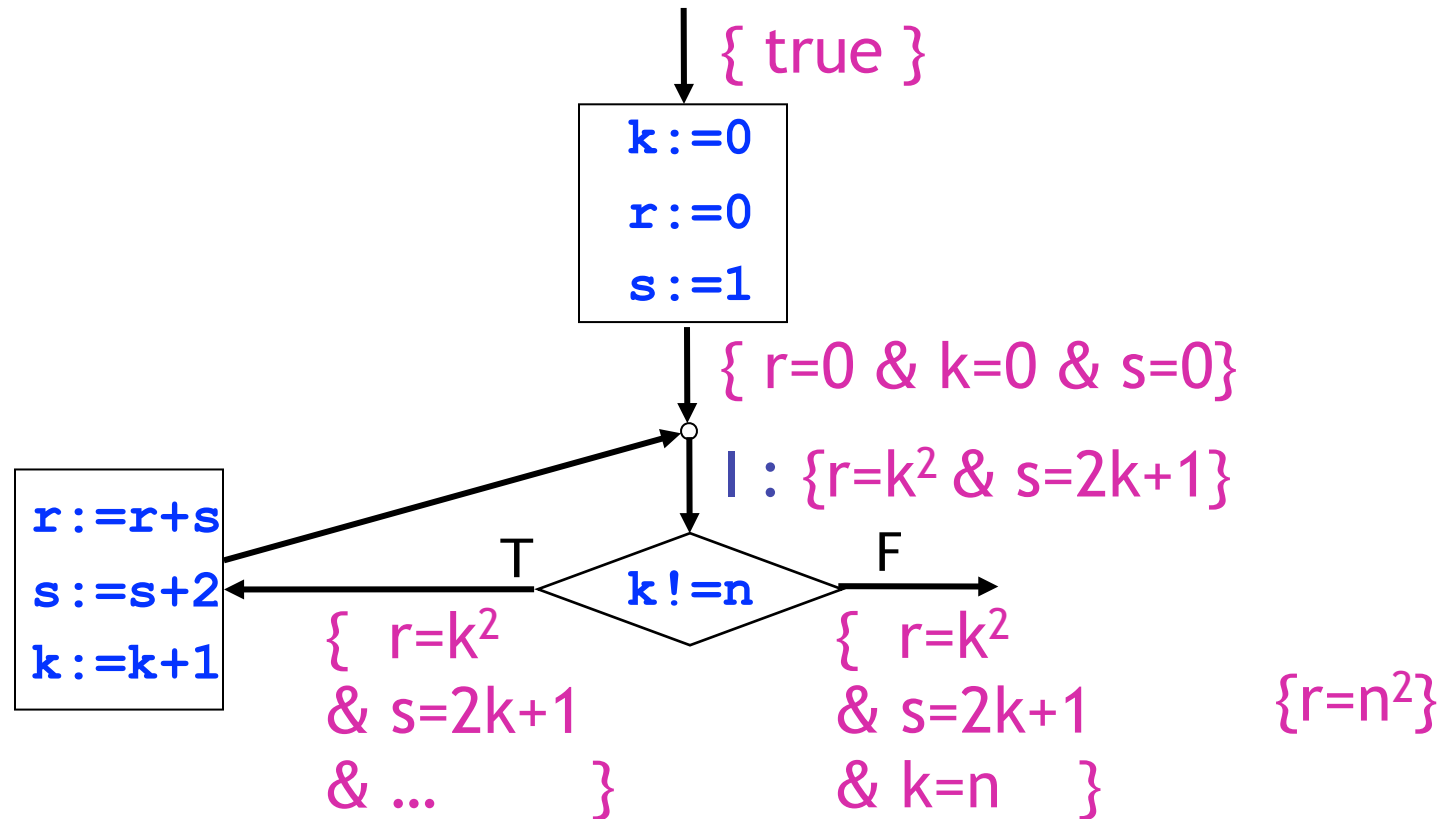


Verification Example



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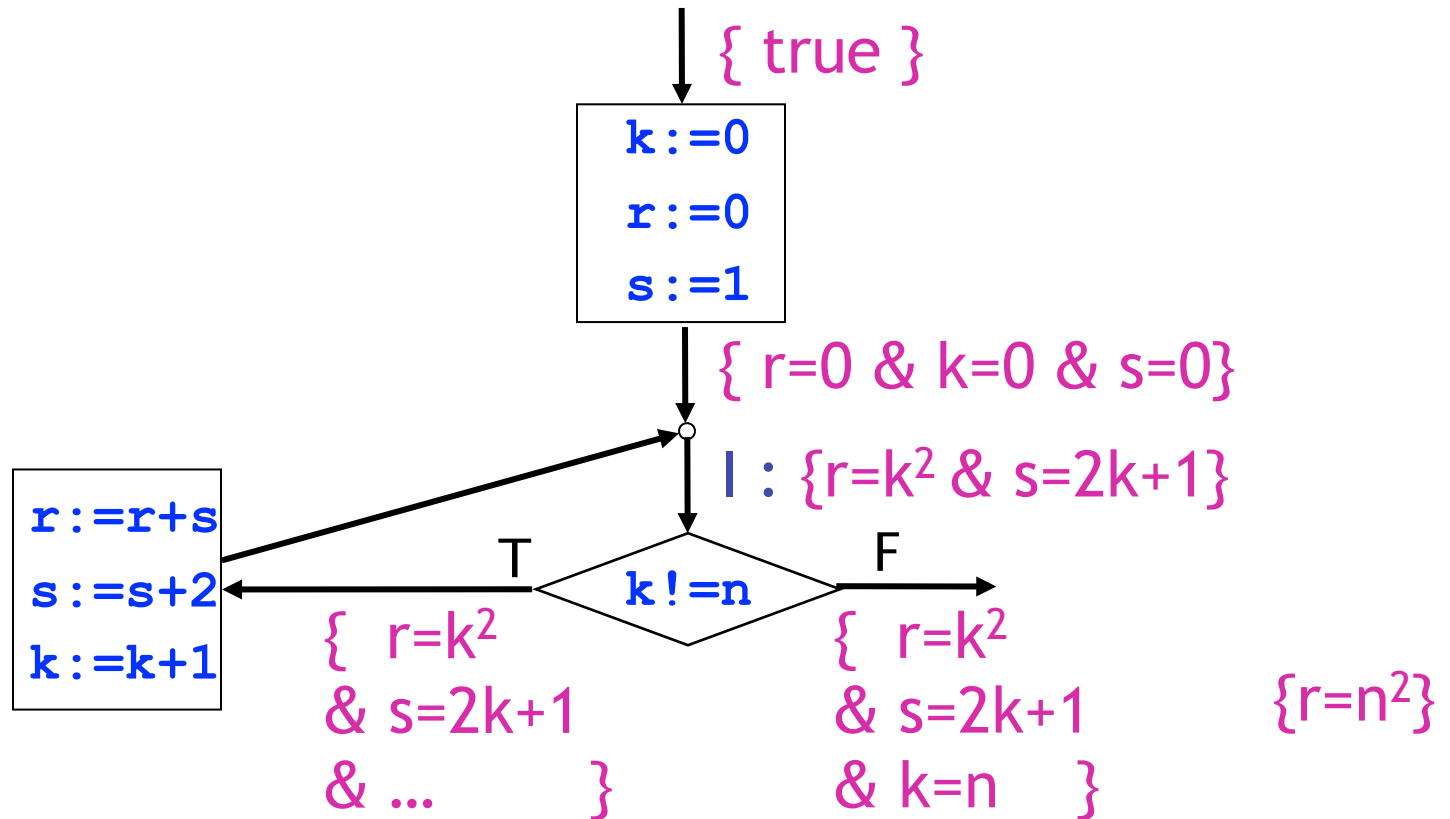
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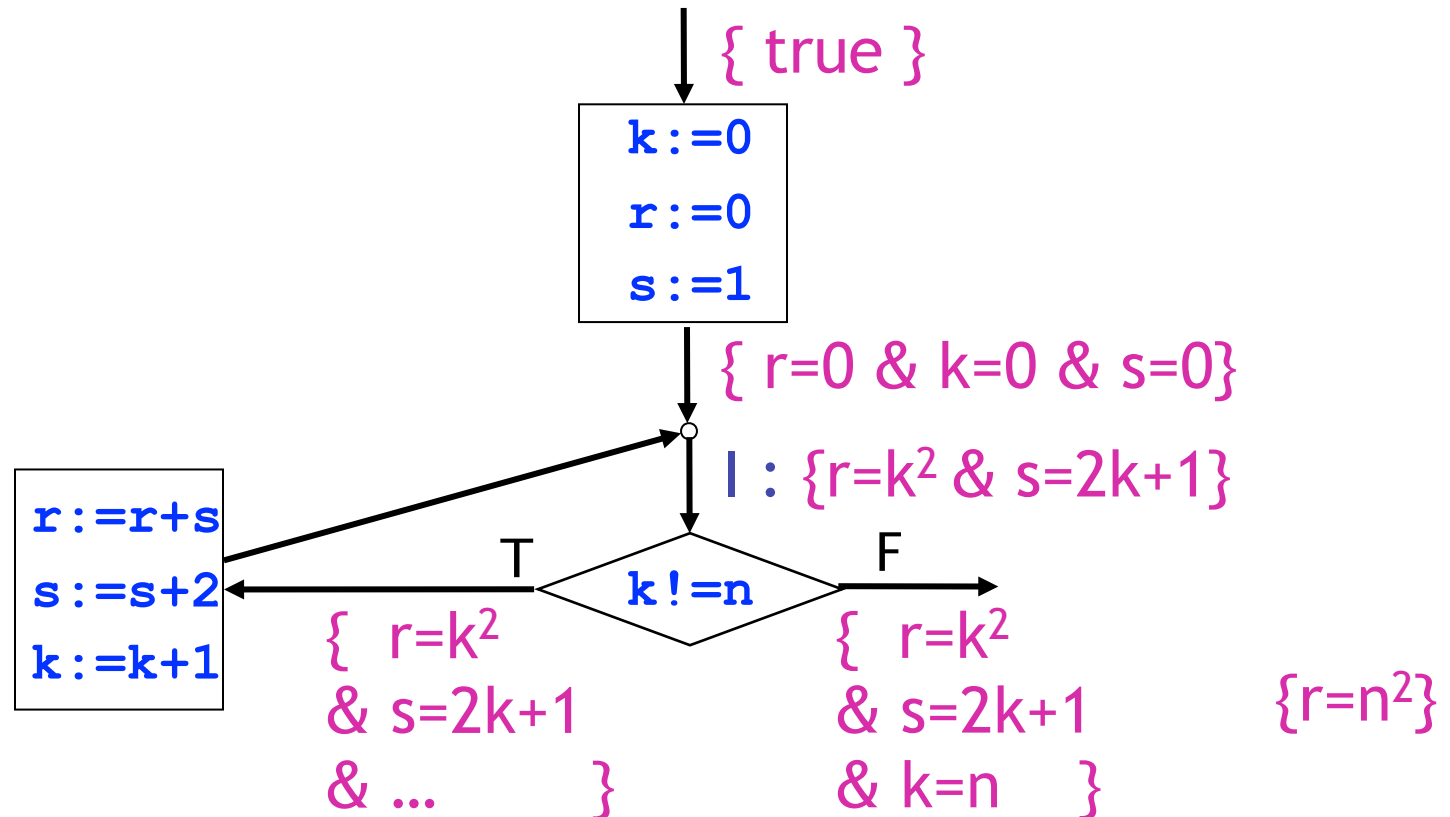


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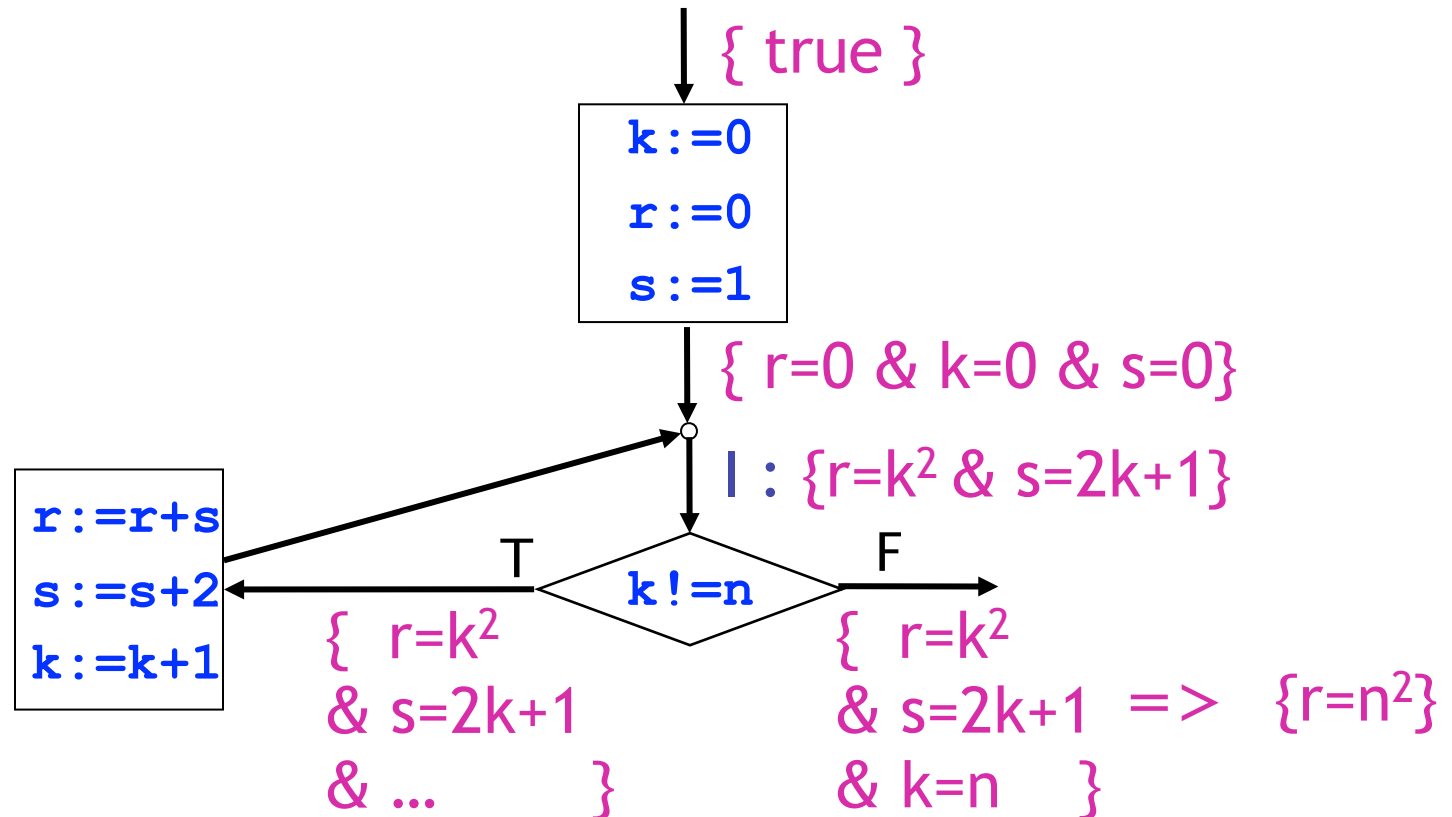


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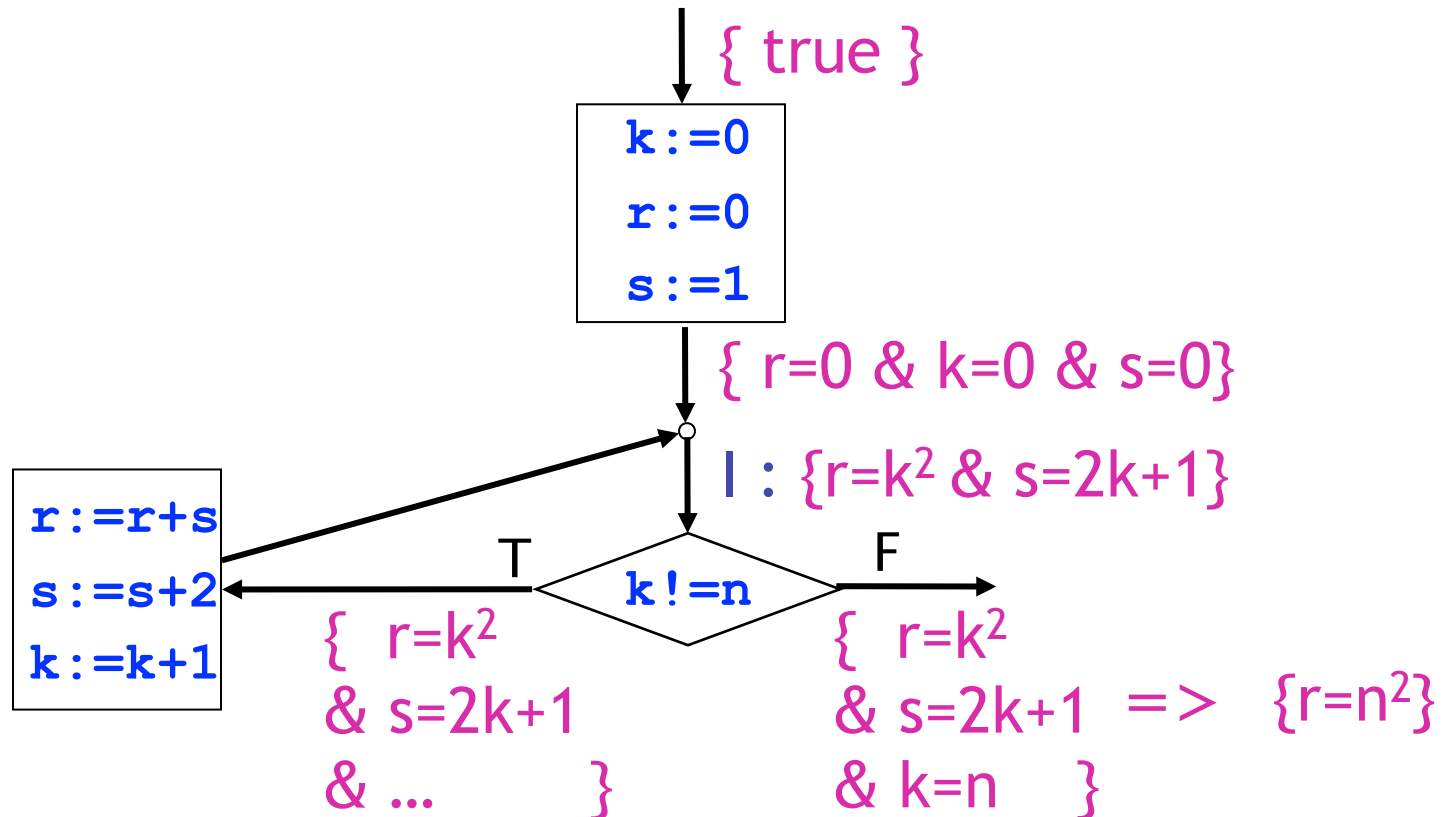


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i.e. $\{r=k^2 \ \& \ s=2k+1 \ ...\} \Rightarrow \{r+s=(k+1)^2 \ \& \ (s+2) = 2(k+1)+1\}$ **Valid**



What about real languages ?

- Loops
- Function calls
- Pointers

Functions are big instructions

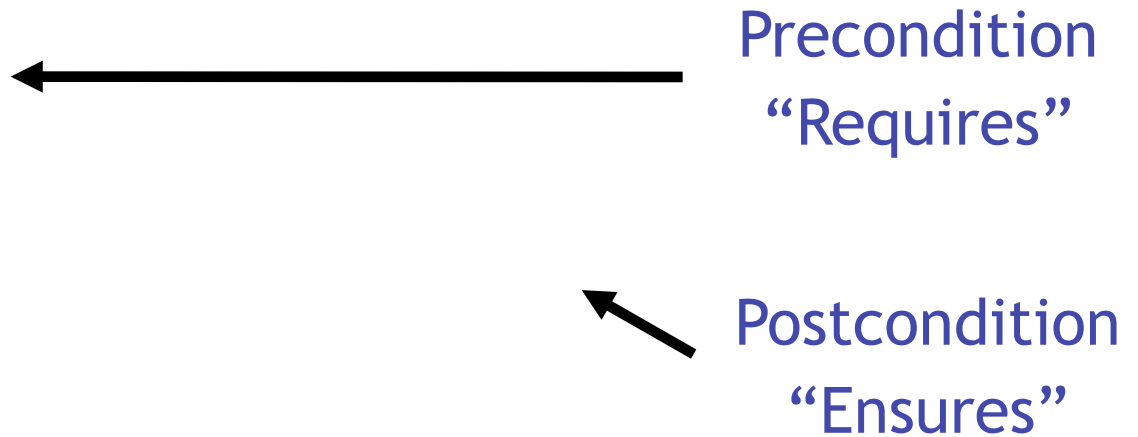
Functions are big instructions

Suppose we have verified `bsearch`

← Postcondition
“Ensures”

Functions are big instructions

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Functions are big instructions

Suppose we have verified `bsearch`

```
int bsearch(int a[], int p) {  
    { sorted(a) }
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Precondition
“Requires”



Postcondition
“Ensures”



Functions are big instructions

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Functions are big instructions

Suppose we have verified `bsearch`

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```

```
{ sorted(a) }
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```
...
```

```
{ r=-1 || (r>=0 & r < a.length & a[r]=p) }
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```
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```



Precondition
“Requires”

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...
```

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```

```
return r;
```



Postcondition
“Ensures”

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- Function spec = precondition + postcondition


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Precondition
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Postcondition
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- Function spec = precondition + postcondition
- Also called a contract

Function Calls

- Consider a call to function $y := f(e)$
 - return variable r
 - precondition Pre , postcondition $Post$
- Rule for function call:

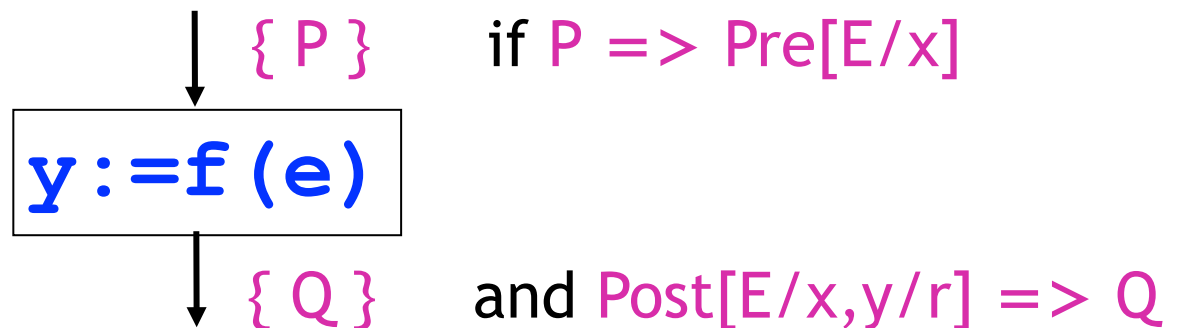
Function Calls

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$$\frac{\begin{array}{l} |- P \Rightarrow Pre[e/x] \quad |- \{Pre\} f \{Post\} \quad |- Post[e/x, y/r] \Rightarrow Q \end{array}}{|- \{P\} y := f(e) \{Q\}}$$

Function Calls

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 - return variable r
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Function Call: Example

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Function Call: Example

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Function Call: Example

Consider the call
`{sorted(arr) }`

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int bsearch(int a[],int p) {  
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Function Call: Example

Consider the call

{sorted(arr) }

y:=bsearch(arr, 5)

{y=-1 || arr[y]=5}

```
int bsearch(int a[],int p) {  
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    ...  
    { r=-1 || (r>=0 & r<a.length & a[r]=p)}  
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Function Call: Example

Consider the call

{sorted(arr) }

y:=bsearch(arr, 5)

{y=-1 || arr[y]=5}

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int bsearch(int a[],int p) {  
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Function Call: Example

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- sorted[array] \Rightarrow Pre[a := arr]
- Post[y/r, arr/a, 5/p] \Rightarrow (y=-1 || arr[y]=5)

What about real languages ?

- Loops
- Function calls
- Pointers

Assignment and Aliasing

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Does **assignment** rule work with **aliasing** ?

Assignment and Aliasing

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If ***x** and ***y** are aliased then:

Assignment and Aliasing

Does assignment rule work with aliasing ?

If $*x$ and $*y$ are aliased then:

$$\{x=y\} \ *x := 5 \ \{*x + *y = 10\}$$

Hoare Rules: Assignment and References

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- When is the following Hoare triple valid?

$$\{ A \} *x := 5 \{ *x + *y = 10 \}$$

Hoare Rules: Assignment and References

- When is the following Hoare triple valid?

$$\{ A \} \textcolor{blue}{*x} := \textcolor{blue}{5} \{ \textcolor{violet}{*x} + \textcolor{violet}{*y} = 10 \}$$

- A should be “ $\textcolor{violet}{*y} = 5$ or $\textcolor{violet}{x} = \textcolor{violet}{y}$ ”

- but Hoare rule for assignment gives:

$$\textcolor{violet}{[5/*x]}(\textcolor{violet}{*x} + \textcolor{violet}{*y} = 10)$$

$$= \textcolor{violet}{5} + \textcolor{violet}{*y} = 10$$

$$= \textcolor{violet}{*y} = 5$$

(uh oh! we lost one case! What happened?)

Hoare Rules: Assignment and References

Modeling writes with memory expressions

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Reason about memory expressions with McCarthy's rule

$$\text{sel}(\text{upd}(M, E_1, E_2), E_3) = \begin{cases} E_2 & \text{if } E_1 = E_3 \\ \text{sel}(M, E_3) & \text{if } E_1 \neq E_3 \end{cases}$$

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Assignment (**update**) changes the value of memory

$$\{B[\text{upd}(M, E_1, E_2)/M]\} * \mathbf{E_1 := E_2} \{B\}$$

Memory Aliasing

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Memory Aliasing

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$$\begin{aligned} A &= [\text{upd}(M, x, 5) / M] (*x + *y = 10) \\ &= [\text{upd}(M, x, 5) / M] (\text{sel}(M, x) + \text{sel}(M, y) = 10) \end{aligned}$$

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Program Verification Tools

- Semi-automated
 - You write some invariants and specifications
 - Tool **tries** to fill in the other invariants
 - And to **prove** all implications
 - Explains when implication is invalid:
counterexample for your specification
- **ESC/Java** is one of the best tools
- ... **Spec#, Verifast, VCC**

Algorithmic Program Verification

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...or how does ESC/Java work ?

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Q: How to algorithmically prove $\{P\} c \{Q\}$?

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Algorithmic Program Verification

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If no loops:

1. Compute: $WP(c, Q)$

Algorithmic Program Verification

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Algorithmic Program Verification

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Verification Condition

Algorithmic Program Verification

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Verification Condition

Proved By SMT Solver

VC Generation for Loops

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Suppose all loops annotated with Invariant

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VC Generation for Loops

Suppose all loops annotated with Invariant

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Compute VC:

$\text{SMTValid}(\text{VC}) \text{ implies } \vdash \{P\} c \{Q\}$

Q: Why not iff ?

1. Loop invariants may be bogus...
2. SMT solver may not handle logic...

VCGen

We will write a function

$\text{vcgen} :: \text{Pred} \rightarrow \text{Com} \rightarrow (\text{Pred}, [\text{Pred}])$

Suppose $(Q', L') = \text{VCG}(c, (Q, L;))$

Then VC for $\{P\} c \{Q\}$ is: $P \Rightarrow Q' \ \&\&_{\{f \text{ in } L'\}} f$

- L' : the set of conditions that must be true
 - From loops (init, preservation, final)
- Q' : “precondition” modulo invariants...

VCGen

```
-----  
verify      :: Pred -> Com -> Pred -> Bool  
-----
```

```
-- | The top level verifier, takes:  
--   in : pre `p`, command `c` and post `q`  
--   out: True iff {p} c {q} is a valid Hoare-Triple
```

```
verify      :: Pred -> Com -> Pred -> Bool  
verify p c q = all smtValid queries  
  where  
    (q', conds) = runState (vcgen q c) []  
    queries     = p `implies` q' : conds
```

VCGen

```
vcgen :: Pred -> Com -> VC Pred
```

```
vcgen (Skip) q  
  = return q
```

```
vcgen (Asgn x e) q  
  = return $ q `subst` (x, e)
```

```
vcgen (If b c1 c2) q  
  = do q1    <- vcgen q c1  
       q2    <- vcgen q c2  
       return $ (b `And` q1) `Or` (Not b `And` q2)
```

```
vcgen (While i b c) q  
  = do q'    <- vcgen i c  
       valid $ (i `And` Not b) `implies` q'  
       valid $ (i `And` b)      `implies` q  
       return $ i
```

ESC/Java

Semi-automated “Deductive Verification”

- You write the invariants
- ESC/Java:
 - VCGen
 - Simplify: SMT used to prove VC
- Explains when implication is invalid:
counterexample for your specification