Axiomatic Semantics

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Axiomatic Semantics

- 1. Language for making assertions about programs
- 2. Rules for establishing, i.e. proving the assertions

Typical kinds of assertions:

- This program terminates.
- During execution if var z has value 0, then x equals y
- All array accesses are within array bounds

Some typical languages of assertions:

- First-order logic
- Other logics (e.g., temporal logic)

Axiomatic Semantics

History: Program Verification

- Turing 1949: Checking a large routine
- Floyd 1967: Assigning meaning to programs
- Hoare 1971: An 'axiomatic basis for computer programming'
- Program Verifiers (70's 80's)
- PREfix: Symbolic Execution for bug-hunting (WinXP)
- Software Validation tools

Foundation for Software Verification

- Deductive Verifiers: ESCJava, Spec#, Verifast, Y0, ...
- Model Checkers: SLAM, BLAST,...
- Test Generators: DART, CUTE, EXE,...

Hoare Triples

Partial correctness assertion: {A} c {B}
 If A holds in state σ and exists σ' s.t. <c, σ > ↓σ'
 then B holds in σ'

- Total correctness assertion: [A] c [B]
 If A holds in state σ
 then there exists σ' s.t. <c, σ > ↓σ' and B holds in σ'
- [A] is called precondition, [B] is called postcondition
- Example: $\{y=x\}z := x; z := z+1 \{y < z\}$

The Assertion Language

Arith Exprs + First-order Predicate logic

```
A::= true | false

| e_1 = e_2 | e_1 , e_2

| \neg A | A_1 && A_2 | A_1 | A_2 | A_1 => A_2

| \text{exists x.A} | \text{forall x.A}
```

IMP boolean expressions are assertions

Semantics of Assertions

• Judgment $\sigma \mid = A$ means assertion holds in given state

```
\sigma = true
                                    always
                                    iff \langle e_1, \sigma \rangle \Downarrow n_1, \langle e_2, \sigma \rangle \Downarrow n_2 and n_1 = n_2
\sigma = e_1 = e_2
                                   iff \langle e_1, \sigma \rangle \Downarrow n_1, \langle e_2, \sigma \rangle \Downarrow n_2 and n_1 \langle = n_2 \rangle
\sigma = e_1 <= e_2
\sigma = A_1 \&\& A_2
                                   iff \sigma \mid = A_1 and \sigma \mid = A_2
\sigma \mid = A_1 \mid \mid A_2 \mid
                                   iff \sigma \mid = A_1 or \sigma \mid = A_2
\sigma \mid = A_1 = > A_2 iff \sigma \mid = A_1 implies \sigma \mid = A_2
\sigma \mid = \{ exists x.A \text{ iff for some n in Z. } \sigma[x := n] \mid = A \}
\sigma = | forall x. A | iff for all n in Z. <math>\sigma[x := n] | = A
```

Semantics of Assertions

Formal definition of partial correctness assertion:

```
|= { A } c { B }

iff

forall \sigma in \Sigma. \sigma |= A

implies [forall \sigma' in \Sigma. \langle c, \sigma \rangle \Downarrow \sigma' implies \sigma' |= B]
```

Semantics of Assertions

Total correctness assertion:

```
|= [A] c [B]

iff
|= {A} c {B}

and
forall σ in Σ.

σ |= A implies [exists σ' in Σ. <c,σ> ↓ σ]
```

Deriving Assertions

• Formal $= \{A\} \subset \{B\}$ hard to use

Defined in terms of the op-semantics

Next, symbolic technique (logic)

for deriving valid triples |- {A} c {B}

Derivation Rules for Hoare Triples

 Write |- {A} c {B} when we can derive the triple using derivation rules

• One rule per command

• Plus, the rule of consequence:

$$A' => A$$
 $|-\{A\} c \{B\}$ $B => B'$
 $|-\{A'\} c \{B'\}$

Deriv. Rules for Hoare Logic |- {A} c {B}

Rules for each language construct

And the rule of consequence...

Free and Bound Variables

Key idea in logic/PL: scoping & substitution

 Assertions are equivalent up to renaming of bound variables (a.k.a. alpha-renaming)

Examples:

```
\forall x.x = x \text{ is the same as } \forall y.y = y
```

Rename bound x with y

```
\forall x. \ \forall y.x = y \text{ is the same as } \forall z. \ \forall x.z = x
```

- Rename bound x with z and y with x

Substitution

- [e'/x] e is substituting e' for x in e
 - Also written as e[e'/x]
 - Note: only substitute the free occurrences
- Alpha-rename bound variables to avoid conflicts
 - To subst. [e'/x] in $\forall y.x = y$ rename y if it occurs in e'
 - Result of alpha-renaming: ∀z. e' = z
- We say that substitution avoids variable capture

```
[x/z] \forall x.z = x \text{ is } ?
```

- $\forall x.x = x$ Wrong
- $\forall y.x = y$ Correct

Example: Assignment

Assume x does not appear in e

Prove
$$|-\{\text{true}\}| \times := e \{ x = e \}$$

Note
$$[e/x](x = e) = e = [e/x]e = e = e$$

Use assignment rule ... then conseq. rule

x does not appear in e

true => e = e
$$-\{e = e\} x := e \{x = e\}$$

$$- \{ true \} x := e \{ x = e \}$$

Example: Conditional

```
Prove: \{\text{true}\}\ \text{if}\ \mathbf{y} < = 0\ \text{then}\ \mathbf{x} := 1\ \text{else}\ \mathbf{x} := \mathbf{y}\ \{x > 0\}

true & y < = 0 = > 1 > 0\ | -\{1 > 0\}\ \mathbf{x} := 1\ \{x > 0\}

true & y > 0 = > y > 0\ | -\{y > 0\}\ \mathbf{x} := \mathbf{y}\{x > 0\}

| -\{\text{true}\ \text{if}\ \mathbf{y} < = 0\ \text{then}\ \mathbf{x} := 1\ \text{else}\ \mathbf{x} := \mathbf{y}\ \{x > 0\}

| -\{\text{true}\}\ \text{if}\ \mathbf{y} < = 0\ \text{then}\ \mathbf{x} := 1\ \text{else}\ \mathbf{x} := \mathbf{y}\ \{x > 0\}
```

- Rule for if-then-else
- Rule for assignment + consequence



Example: Loop

- Prove $|-\{x<=0\}$ while x<=5 do x:=x+1 $\{x=6\}$
- Use the rule for while with invariant x <= 6:

$$x <= 6 \& x <= 5 => x+1 <= 6$$
 $|-\{x+1 <= 6\} \times := x+1 \{x <= 6\}$ $|-\{x <= 6 \& x <= 5\} \times := x+1 \{x <= 6\}$ $|-\{x <= 6\} \text{ while } x <= 5 \text{ do } x := x+1 \{x <= 6 \& x >5\}$

Finish off with consequence rule:

$$x <= 0 => x <= 6$$
 $|-\{x <= 6\} \mathbf{W} \{x <= 6 \& x > 5\}$ $x <= 6 \& x > 5 => x = 6$

$$-\{x<=0\}$$
 W $\{x=6\}$

Soundness of Axiomatic Semantics

Formal Statement of Soundness:

```
If |-\{A\} \in \{B\} then |=\{A\} \in \{B\}
```

Equivalently

```
If H:: |-\{A\} \in \{B\} then
forall \sigma if \sigma |= A and D::< c, \sigma > \emptyset \sigma' then \sigma' |= B
```

Proof:

Simultaneous induction on structure of D and H

Algorithmic Verification

Hoare rules mostly syntax directed, but:

- 1. When to apply the rule of consequence?
- 2. What invariant to use for while?
- 3. How to prove implications (conseq. rule)?

Hint:

- (3) involves ... SMT
- (2) invariants are the hardest problem
- (1) lets see how to deal with ...

Making Floyd-Hoare Algorithmic: Predicate Transformers

Technique: Weakest Preconditions

```
|-\{y>10\} x := y \{x>0\}

|-\{y>100\} x := y \{x>0\}

|-\{x=2 \& y=5\} x := y \{x>0\}
```

After what preconditions does postcond. x>0 hold?

```
WP(c,B): weakest predicate s.t. \{WP(c,B)\} c \{B\}
```

• For any A we have $\{A\} \subset \{B\}$ iff A => WP(C, B)

How to **verify** $|-\{A\} \subset \{B\}$?

- 1. Compute: WP(c,B)
- 2. Prove: A = > WP(c, B)

Weakest Preconditions

Define wp(c, B) using Hoare rules

```
|-\{A\} c_1 \{B\} |-\{B\} c_2
wp(c_1; c_2, B)
  = wp(c_1, wp(c_2, B))
                                            -\{A\} c_1; c_2\{C\}
Wp(x := e, B)
                                          |-\{[e/x]A\} x := e\{A\}
  = [e/x]B
Wp(if e then c_1 else c_2, B)
                                         |-\{A\&b\} c_1\{B\} |-\{A\&!b\} c_2\{B\}
  = e = wp(c_1, B) \&\& !e = wp(c_2, B) |- {A} if b then c_1 else c_2 {B}
```

Weakest Preconditions for Loops

Start from the equivalence

```
while b do c =
  if b then (c; while b do c) else skip
```

```
Let W = wp(while b do c, B)
It must be that: W = [b \Rightarrow wp(c, W) \& !b \Rightarrow B]
```

But this is a recursive equation! How to compute?!

We'll return to finding loop WPs later ...

Technique: Strongest Postconditions

```
|-\{y > 100\} \mathbf{x} := \mathbf{y} \{x > 10\}
|-\{y > 100\} \mathbf{x} := \mathbf{y} \{x > 20\}
|-\{y > 100\} \mathbf{x} := \mathbf{y} \{x > 100\}
```

What postcond. is guaranteed after prec. y>100?

```
SP(c,A): strongest predicate s.t. \{A\} c \{SP(c,A)\}
```

• For any B we have $\{A\} \subset \{B\} \text{ iff } SP(C,A) \Rightarrow B$

How to verify $\{A\}$ c $\{B\}$?

- 1. Compute: SP(c,A)
- 2. Prove: $SP(c,A) \Rightarrow B$

Strongest Postconditions

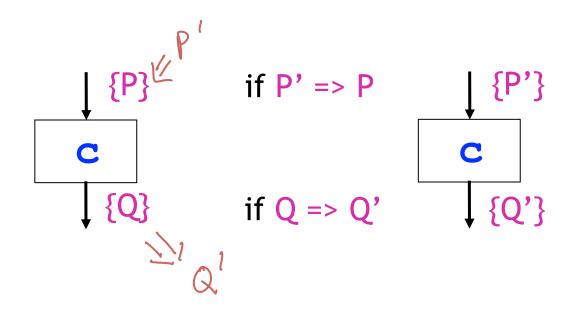
Define sp(c, B) following Hoare rules

$$sp(if e then c_1 else c_2, A) = |-\{A\&b\} c_1 \{B\} |-\{A\&!b\} c_2 \{B\}\}$$

$$sp(c_1, A\&e) || sp(c_2, A\&!e) |-\{A\} if b then c_1 else c_2 \{B\}\}$$

Axiomatic Semantics on Flow Graphs Floyd's Original Formulation

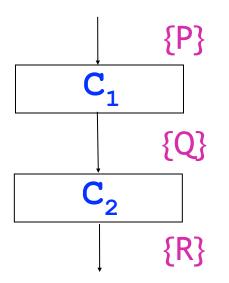
Axiomatic Semantics over Flow Graphs



Relaxing Specifications via Consequence

Will revisit later as subtyping

Sequential Composition



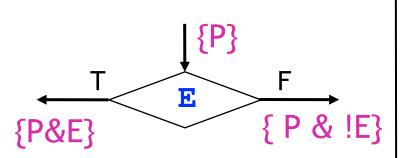
$$\{x >= y\}$$
 $\{x , y\}$
 $x := x-1$
 $\{x >= y-1\}$ $\{\text{\exists } x_0.$
 $y := y-1$ $\{x >= y\}$ $\{\text{\exists } y_0.$
 $\{x >= y\}$ $\{\text{\exists } y_0.$
 $\{x >= y\}$ $\{\text{\exists } y_0.$
 $\{x >= y\}$

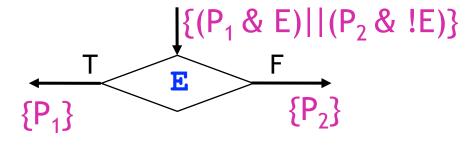
Backwards using weakest preconditions

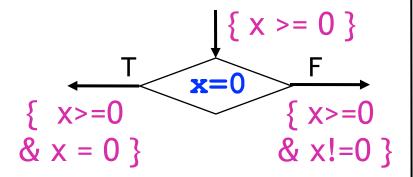
& $x = x_0-1$ & $y = y_0-1$

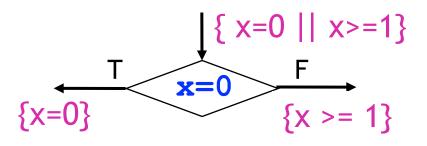
Forwards using strongest postconditions

Conditionals





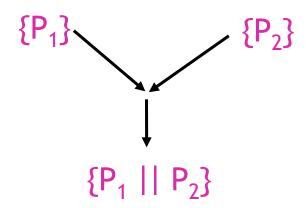


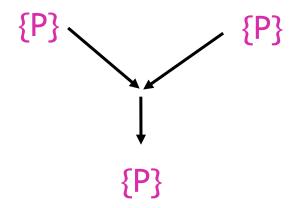


Forwards

Backwards

Joins

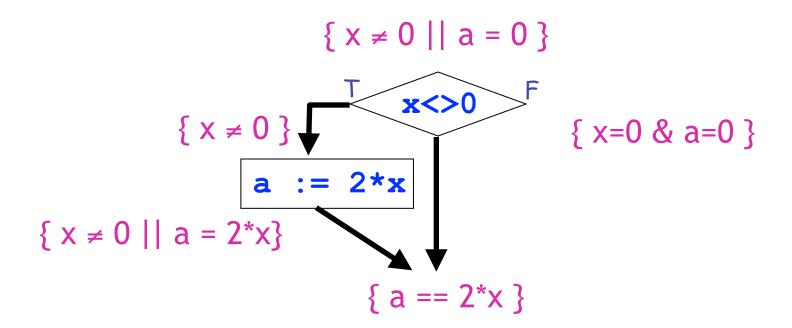




Forwards

Backwards

Conditional+Join: Forward



• Check the implications (simplifications)

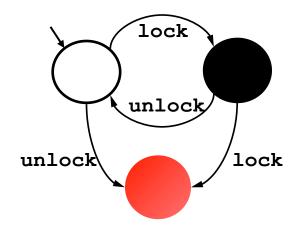
Conditionals+Joins: Backward

{ $(x \neq 0 \& true) | | (x = 0 \& a = 2*x) }$ { 2*x = 2*x} a := 2*x} { a = 2*x}

Forward or Backward?

- Forward reasoning
 - Know the precondition
 - Want to know what postcond the code guarantees
- Backward reasoning
 - Know what we want to code to establish
 - Want to know under what preconditions this happens

Another Example: Double Locking



"An attempt to re-acquire an acquired lock or release a released lock will cause a deadlock."

Calls to lock and unlock must alternate.

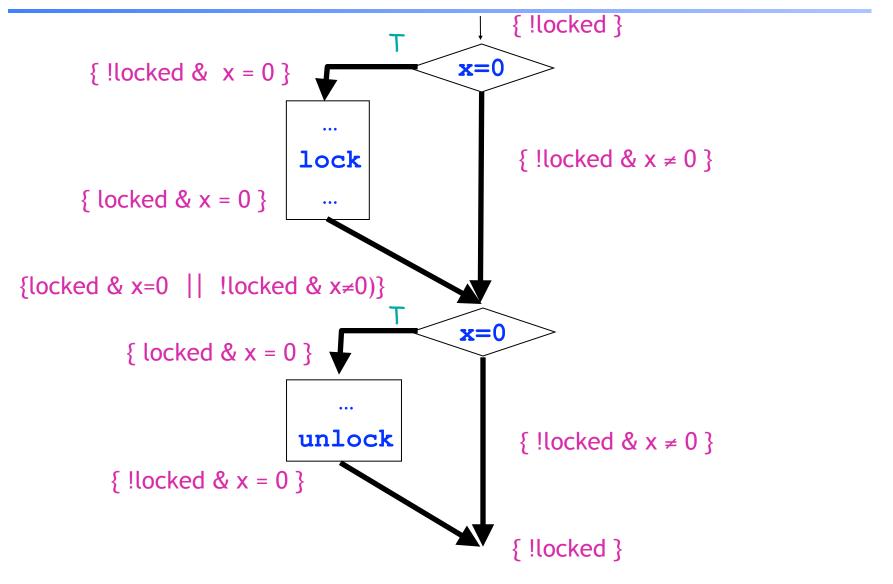
Locking Rules

Boolean variable locked states if lock is held or not

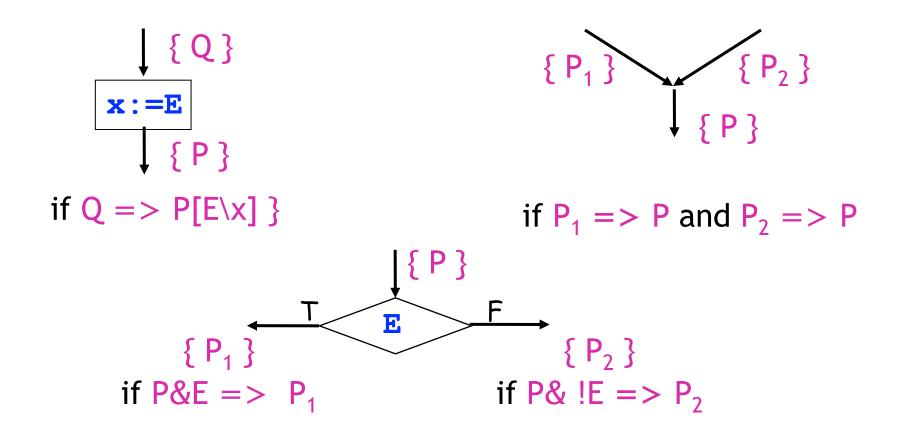
```
    {!locked & P[true/locked] } lock { P }
    lock behaves as assert(!locked); locked:=true
```

```
    { locked & P[false/locked] } unlock { P }
    unlock behaves as assert(locked); locked:=false
```

Locking Example



Review



Implication is always in the direction of the control flow

What about real languages?

- Loops
- Function calls
- Pointers

Reasoning about loops: Rules

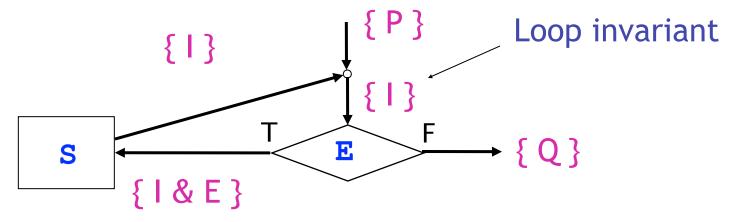
```
|- {A & b} c {A}
|- {A} while b do c {A & !b}
```

Rewrite A with I: Loop Invariant

Rule of Consequence

Reasoning about loops: Flow Graphs

- Loops can be handled using conditionals and joins
- Consider the while b do S statement

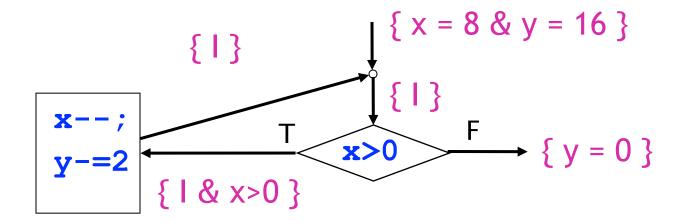


```
if P => I (loop invariant holds initially) and I \& !b => Q (loop establishes the postcondition) and \{I \& b\} S \{I\} (loop invariant is preserved)
```

Loop Example

Verify:

$$\{x=8 \& y=16\} \text{ while } (x>0) \{x--; y-=2;\} \{y=0\}$$

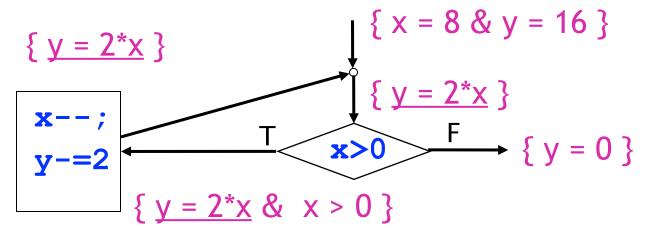


Find an appropriate invariant I

- Holds initially x = 8 & y = 16
- Holds at end y == 0

Loop Example (II)

Guess invariant y = 2*x



Check:

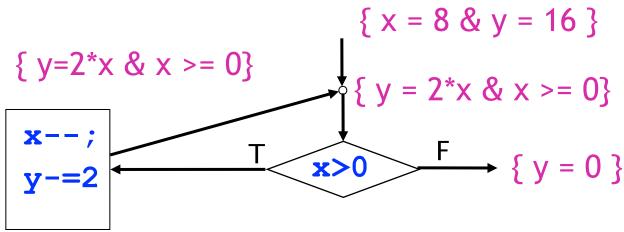
```
- Initial: x = 8 \& y = 16 => y = 2*x
```

- Preservation:
$$y = 2*x & x>0 => y-2 = 2*(x-1)$$

- Final:
$$y = 2*x & x < = 0 = > y = 0$$
 Invalid

Loop Example (III)

Guess invariant y = 2*x & x >= 0



Check

- Initial : x = 8 & y = 16 => y = 2*x & x >= 0
- Preserv: y = 2*x & x >= 0 & x>0 => y-2 = 2*(x-1) & x-1 >= 0
- Final: y = 2*x & x >= 0 & x <= 0 => y = 0

Loops Discussion

- Simple forward/backward propagation fails
- Require loop invariants
 - Hardest part of program verification
 - Guess the invariants (existing programs)
 - Write the invariants (new programs)

Note: Invariant depends on your proof goal!

Verification Example

```
int square(int n) {
  int k=0, r=0, s=1;
                                 { true }
  while(k != n) {
                              k := 0
    r = r + s;
                                               Pick I: r = k^2
    s = s + 2;
                               r := 0
    k = k + 1;
                               s := 1
                                  { r=0 & k=0}
  return r;
                                  I : \{r = k^2\}
}
       r:=r+s
                              k!=n
       s:=s+2
      k := k+1 {r=k^2 & !k=n}
                                     {r=k^2 \& k=n} ) {r=n^2}
```

```
Need: \{r=k^2 \& !k=n\} \ c \ \{r=k^2\}
i.e. \{r=k^2 \& !k=n\} => \ WP(c, \{r=k^2\})
i.e. \{r=k^2 \& !k=n\} => \{r+s=(k+1)^2\}
```

Invalid

Verification Example

```
Need: \{r=k^2 \& s=2k+1 \& ...\} c \{r=k^2 \& s=2k+1\}
i.e. \{r=k^2 \& s=2k+1 ...\} => WP(c, \{r=k^2 \& s=2k+1\})
                                                                Valid
i.e. \{r=k^2 \& s=2k+1 ...\} = \{r+s=(k+1)^2 \not E (s+2) = 2(k+1)+1\}
                                              { true }
                                         k := 0
                                          r := 0
                                          s := 1
                                              { r=0 & k=0 & s=0}
                                              I: \{r=k^2 \& s=2k+1\}
              r:=r+s
                                         k!=n
              s:=s+2
                            \{ r=k^2 \}
                                                   \{ r=k^2 \}
              k := k+1
                                                  \& s=2k+1 => \{r=n^2\}
                            & s=2k+1
                                                   & k=n
```

What about real languages?

- Loops
- Function calls
- Pointers

Functions are big instructions

Suppose we have verified bsearch

- Function spec = precondition + postcondition
- Also called a contract

Function Calls

- Consider a call to function y:= f(e)
 - return variable **r**
 - precondition Pre, postcondition Post

Rule for function call:

```
|-P| =   Pre[e/x] |-\{Pre\} f \{Post\} |-Post[e/x,y/r] =  Q
```

```
|-\{P\} y := f(e)\{Q\}
```

Function Calls

- Consider a call to function y:=f(e)
 - return variable r
 - precondition Pre, postcondition Post

Rule for function call:

Function Call: Example

Consider the call

```
{sorted(arr) }

y:=bsearch(arr,5)

{y=-1 || arr[y]=5}

if (y!=-1) {

{y!=-1 & (y=-1 || arr[y]=5})

{arr[y]=5}
```

```
int bsearch(int a[],int p) {
    { sorted(a) }
    ...
    { r=-1 || (r>=0 & r<a.length & a[r]=p)}
    return r;
}</pre>
```

- sorted[array] => Pre[a := arr]
- Post[y/r, arr/a, 5/p] => (y=-1 || arr[y]=5)

What about real languages?

- Loops
- Function calls
- Pointers

Assignment and Aliasing

Does assignment rule work with aliasing?

If *x and *y are aliased then:

```
{x=y} *x := 5 {*x + *y=10}
```

Hoare Rules: Assignment and References

When is the following Hoare triple valid?

$$\{A\} *x := 5 \{ *x + *y = 10 \}$$

- A should be "*y = 5 or x = y"
- but Hoare rule for assignment gives:

Hoare Rules: Assignment and References

Modeling writes with memory expressions

- Treat memory as a whole with memory variables (M)
- upd(M,E₁,E₂): update M at address E₁ with value E₂
- sel(M,E₁) : read M at address E₁

Reason about memory expressions with McCarthy's rule

$$sel(upd(M, E_1, E_2), E_3) = \begin{cases} E_2 & \text{if } E_1 = E_3 \\ sel(M, E_3) & \text{if } E_1 \neq E_3 \end{cases}$$

Assignment (update) changes the value of memory

$$\{B[upd(M, E_1, E_2)/M]\} *E_1 := E_2 \{B\}$$

Memory Aliasing

Consider again: {A} *x:=5 {*x+*y=10 }

```
A = [upd(M, x, 5)/M] (*x+*y=10)
  = [upd(M, x, 5)/M] (sel(M,x) + sel(M,y) = 10)
  = sel(upd(M, x, 5), x) + sel(upd(M, x, 5), y) = 10
  = 5 + sel(upd(M, x, 5), y) = 10
  = sel(upd(M, x, 5), y) = 5
  = (x = y \& 5 = 5) \mid | (x != y \& sel(M, y) = 5)
  = x = y | | *y = 5
```

Program Verification Tools

- Semi-automated
 - You write some invariants and specifications
 - Tool tries to fill in the other invariants
 - And to prove all implications
 - Explains when implication is invalid: counterexample for your specification

- ESC/Java is one of the best tools
- ... Spec#, Verifast, VCC

Algorithmic Program Verification

...or how does ESC/Java work?

Q: How to algorithmically prove {P} c {Q}? If no loops:

- 1. Compute: WP(c,Q)
- 2. Prove: P => WP(c,Q)

Verification Condition

Proved By SMT Solver

VC Generation for Loops

Suppose all loops annotated with Invariant while, b do c

Compute VC:

SMTValid(VC) implies |- {P} c {Q}

- Q: Why not iff?
- 1. Loop invariants may be bogus...
- 2. SMT solver may not handle logic...

VCGen

We will write a function

```
vcgen :: Pred -> Com -> (Pred, [Pred])
```

```
Suppose (Q',L') = VCG(c,(Q,L;))
Then VC for \{P\} c \{Q\} is: P=>Q' \&\&_{\{f in L'\}} f
```

- L': the set of conditions that must be true
 - From loops (init, preservation, final)
- Q': "precondition" modulo invariants...

VCGen

```
verify :: Pred -> Com -> Pred -> Bool
-- | The top level verifier, takes:
-- in : pre `p`, command `c` and post `q`
-- out: True iff {p} c {q} is a valid Hoare-Triple
verify
             :: Pred -> Com -> Pred -> Bool
verify p c q = all smtValid queries
 where
    (q', conds) = runState (vcgen q c) []
   queries = p `implies` q' : conds
```

VCGen

```
vcgen :: Pred -> Com -> VC Pred
vcgen (Skip) q
 = return q
vcgen (Asgn x e) q
  = return $ q `subst` (x, e)
vcgen (If b c1 c2) q
  = do q1 <- vcgen q c1</pre>
       q2 <- vcgen q c2
       return $ (b `And` q1) `Or` (Not b `And` q2)
vcgen (While i b c) q
  = do q' <- vcgen i c</pre>
       valid $ (i `And` Not b) `implies` q'
       valid $ (i `And` b) `implies` q
       return $ i
```

ESC/Java

Semi-automated "Deductive Verification"

You write the invariants

- ESC/Java:
 - VCGen
 - Simplify: SMT used to prove VC

 Explains when implication is invalid: counterexample for your specification