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1 Intro & Rings

1.1 Motivation

Here's what the course is about.

Definition (Radical): An expression involving only $+, -, *, /, \sqrt[n]{\cdot}$.

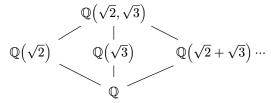
After a linear transformation, all cubics can be reduced to $x^3 + px = q$, and there is a formula for solutions to the above. Quartics can also be reduced to a cubic and solved.

The quintic was attempted by Euler, Bezout, Lagrange, etc without success. In 1799, Ruffini gave a 516-page proof on the insolubility of the quintic that was almost right. In 1824, Abel filled in the gap in Ruffini's proof.

It turns out the question given a quintic, is it solvable by a radical? is very hard. Instead, we would like to consider: suppose a radical solution exists. How does the associated quintic look like?.

The main steps of Galois theory are to:

1. Link a root α of a quintic to $\mathbb{Q}(\alpha)$, the smallest field containing α . It has more structure to be played with. Currently, our knowledge of $\mathbb{Q}(\alpha)$ is lacking. For instance, we don't know how many intermediate fields there are between $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ and \mathbb{Q} .



We can list infinitely many of these intermediate fields, but how many are actually distinct?

2. To ameliorate the situation, we link the field $\mathbb{Q}(\alpha)$ to a group. Precisely, we associate the field extension $\mathbb{Q}(\alpha)/\mathbb{Q}$ to the group

$$\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha)) = \left\{ \varphi : \mathbb{Q}(\alpha) \to \mathbb{Q}(\alpha) : \varphi|_{\mathbb{Q}} = \operatorname{id}_{\mathbb{Q}} \right\}$$

i.e. the set of automorphisms that fix the smaller field. It can be shown that if α is "good" then $\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$ is finite. Moreover, there is a bijection between the intermediate fields of $\mathbb{Q}(\alpha)/\mathbb{Q}$ and the subgroups of $\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$. Galois theory is the interplay between fields and groups.

1.2 Review of ring theory

Rings in this course are by and large commutative and unital.

Definition (Integral Domain, Field): A ring R where for all $a, b \in R$ m $ab = 0 \Longrightarrow a = 0$ or b = 0 is an **integral domain**. A **field** is a ring R such that $R^* = R \setminus \{0\}$.

Proposition 1.1 (Subrings of fields): Every subring of a field F, including F itself, is an integral domain.

Definition (Ideal): A subset I of a commutative ring such that $0 \in I$, and for $a, b \in I$ and any $r \in R$, $a - b \in I$ and $ra \in I$.

Remark: If $1 \in I$ is an ideal, then I = R, since any $r \in R$ satisfies $r1 = r \in I$, so $R \subseteq I$.

The only ideals of a field F are $\{0\}$ and F, since if $a \in I$ with $a \neq 0$, then $aa^{-1} = 1 \in I$, so I = F.

Recall that using the division algorithm in \mathbb{Z} , we can prove all ideals of \mathbb{Z} are principal ideals.

Remark: The smallest field containing \mathbb{Z} is \mathbb{Q} .

Definition (F[x]): Define $F[x] = \{a_0 + ... + a_m x^m : a_i \in F\}$.

- If $a_m = 1$, we say f is **monic**.
- If $a_m \neq 0$, the **degree** of f is $\deg(f) = m$. By convention, $\deg(0) = -\infty$.
- For $f, g \in F[x]$, $\deg(fg) = \deg(f) + \deg(g)$.

Notes about F[x]:

- F[x] is an integral domain.
- The units of F[x] are $F^* = F \setminus \{0\}$, i.e. the unital constant polynomials.
- The division algorithm works. For f, g with $f \neq 0$, we can write g(x) = q(x)f(x) + r(x) with $\deg(r) < \deg(f)$ (here the $-\infty$ convention is handy).
- Using the DA, we can prove all ideals of F[x] are principal. Moreover, if we impose that generators f(x) are monic, then generators are unique.

Remark: The smallest field containing F[x] is the set of rational functions

$$F(x) \coloneqq \left\{ \frac{f(x)}{g(x)} : f, g \in F[x] \text{ and } g \neq 0 \right\}$$

Recall when I is an ideal of R, that the additive quotient group R/I is a ring with multiplication (r + I)(s + I) = rs + I, and the unit of R/I is 1 + I.

Theorem 1.2 (First Isomorphism Theorem): Let $\varphi : R \to S$ be a ring homomorphism. Then $\operatorname{Ker}(\varphi)$ is an ideal of R and $R/\operatorname{Ker}(\varphi) \cong \operatorname{Im}(\varphi)$.

Example: Let F be a field, S a ring, and $\varphi: F \to S$ be a ring homomorphism. Then either φ is injective or the zero map, since $\text{Ker}(\varphi)$ is an ideal of F, hence either $\{0\}$ or F.

Definition (Prime, maximal): Let R be a commutative ring. An ideal $P \neq R$ is a **prime** ideal if whenever $rs \in P$, then $r \in P$ or $s \in P$.

An ideal $M \neq R$ of R is **maximal** if whenever A is an ideal such that $M \subseteq A \subseteq R$, then A = M or A = R.

Theorem 1.3 (Quotients of maximal/prime ideals): Let $I \neq R$ be an ideal of a commutative ring R. Then

- I is maximal iff R/I is a field.
- I is prime iff R/I is an integral domain.

Proof:

• Suppose I is maximal. Note $I \neq R \iff R/I$ is a commutative ring with 1. We show the non-zero elements in R/I have inverses. Let $a \in R$ with $a \notin I$, so $a + I \neq 0 + I$. Since $a \notin I$, we have $I \subsetneq \langle a \rangle + I = \langle I \cup \{a\} \rangle = R$ by maximality, so $\langle a \rangle + I$ contains 1. Notice

$$\langle a \rangle + I = \{ar + m : m \in I, r \in R\}$$

so say 1 = ar + m where $r \in R, m \in I$. Then,

$$(a+I)(r+I) = ar + I = (ar + m) + I = 1 + I$$

since $m \in I$, giving an inverse.

Conversely if R/I is a field, since $1+I\neq 0+I$ we have $1\notin I$ so $I\neq R$. Let A be an ideal with $I\subseteq A\subseteq R$ and suppose $A\neq I$. Choose $a\in A-I$ so $a+I\neq 0+I$. Then since R/I is a field, a+I has an inverse, say b+M. Then (a+I)(b+I)=ab+I=1+I. Then $1-ab\in I\subseteq A$. Since $a\in A$ we have $ab\in A$, so $1\in A\Longrightarrow A=R$. Thus I is maximal.

• Since $I \neq R$, R/I is a commutative ring with 1. For $a, b \in R$,

$$(a+P)(b+P) = ab + P.$$

and $a+P=0+P \iff a \in P$. So $(a+P)(b+P)=0+P \iff ab \in P$. The result is immediate.

Fields are integral domains.

 \bigcirc

Corollary 1.4: Every maximal ideal is prime.

2 Domains

2.1 Irreducibles and primes

Definition (Divides): Let R be an integral domain and $a, b \in R$. We say a divides b, denoted $a \mid b$, if ca = b for some $c \in R$.

Notice in \mathbb{Z} that if $n \mid m$ and $m \mid n$, then $n = \pm m$ so $\langle n \rangle = \langle m \rangle$.

Proposition 2.1 (Divisibility characterization): Let R be an integral domain. For $a, b \in R$, TFAE:

- (1) $a \mid b$ and $b \mid a$
- (2) a = ub for some unit $u \in R$
- (3) $\langle a \rangle = \langle b \rangle$

Proof:

 $(1 \Longrightarrow 2)$ Suppose there are $u, v \in R$ so b = ua and a = vb. If a = 0, then b = 0 so a = 1b. Otherwise, $a = vb = v(ua) = (vu)a \Longrightarrow a(1 - vu) = 0$.

Since R is an integral domain and $a \neq 0$, $1 - vu = 0 \iff vu = 1$. Thus v is a unit.

 $(2 \Longrightarrow 3)$ Say a = ub. Then $a \in \langle b \rangle$, so $\langle a \rangle \subseteq \langle b \rangle$. Since u is a unit and $b = u^{-1}a$, $\langle b \rangle \subseteq \langle a \rangle$.

 $(3 \Longrightarrow 1)$ If $\langle a \rangle = \langle b \rangle$, then $a \in \langle a \rangle = \langle b \rangle$, so a = tb for some $t \in R$, giving $b \mid a$. Similarly, $a \mid b$.

Definition (Associated): Let R be an integral domain. For $a, b \in R$, we say a is associated to b, denoted $a \sim b$, if $a \mid b$ and $b \mid a$.

 \Diamond

Often this is most useful with a=ub for a unit u. From the previous proposition, we can show \sim is an equivalence relation on R.

- $a = 1a \Longrightarrow a \sim a$
- $a \sim b \Longrightarrow a = ub \Longrightarrow b = u^{-1}a = b \sim a$
- $a \sim b$ and $b \sim c$ gives a = ub and b = vc so a = uvc where uv is a unit with inverse $v^{-1}u^{-1}$, so $a \sim c$.

Example: We claim $a \sim a', b \sim b' \Longrightarrow ab \sim a'b'$ and $a \mid b \Longleftrightarrow a' \mid b'$.

Say a = ua' and b = vb', u, v units. Then ab = uva'b' by commutativity of the ring, so $ab \sim a'b'$.

Now suppose $a \mid b$. Then b = ca for some $c \in R$, so vb' = b = ca = cua', giving $v^{-1}cua' = b'$, so $a' \mid b'$. The converse is identical.

Example: Let $R = \mathbb{Z}\left[\sqrt{3}\right] = \left\{m + n\sqrt{3} : m, n \in \mathbb{Z}\right\}$. This is an integral domain, where $\left(2 + \sqrt{3}\right)\left(2 - \sqrt{3}\right) = 1$, so $2 + \sqrt{3}$ is a unit in R. Since $3 + 2\sqrt{3} = \left(2 + \sqrt{3}\right)\sqrt{3}$, we have $3 + 2\sqrt{3} \sim \sqrt{3}$.

Definition (Irreducible): Let R be an integral domain. We say $p \in R$ is **irreducible** if $p \neq 0$ and for all $b, c \in R$, if p = bc then one of b, c is a unit.

Proposition 2.2 (Characterizations of irreducibility): Let R be an integral domain and $p \in R, p \neq 0$ with p not a unit. TFAE:

- (1) p is irreducible.
- (2) if $d \mid p$, then $d \sim 1$ or $d \sim p$.
- (3) if $p \sim ab$, then $p \sim a$ or $p \sim b$.
- (4) If p = ab, then $p \sim a$ or $p \sim b$.
- Proof:

- $(1 \Longrightarrow 2)$ If p = ad then one of a, d is a unit. If a is a unit then $p \sim d$. If d is a unit, $d \sim 1$.
- $(2 \Longrightarrow 3)$ If $p \sim ab$, then $b \mid p$. Then $b \sim 1$ or $b \sim p$. If the latter, we're done, if $b \sim 1$, then $a \sim p$.
- $(3\Longrightarrow 4)$ If p=ab, then p=1ab so $p\sim ab$.
- $(4 \Longrightarrow 1)$ Say p = ab. If $p \sim a$ then a = up for a unit u, Since R is commutative, p = ab = upb = pub so 1 = ub since R is an integral domain. Thus b is a unit. Similarly, $p \sim b$ gives a is a unit.

Definition (Prime): Let R be an integral domain and $p \in R$. We say p is **prime** if $p \neq 0$ is not a unit, and if $p \mid ab \in R$ then $p \mid a$ or $p \mid b$.

Remark: If $p \sim q$, then p is prime iff q is prime. Indeed, say p is prime and suppose $q \mid ab \in R$. Then dq = ab for some $d \in R$. Say p = uq for a unit $u \in R$, so $ab = du^{-1}p$ so $p \mid ab$, so $p \mid a$ or $p \mid b$. If $p \mid a$ then cp = a = cuq so $q \mid a$. Similarly $p \mid b \Longrightarrow q \mid b$. The converse is identical.

By induction we can also show if p is prime and $p \mid a_1 \cdots a_n$ then $p \mid a_i$ for some i.

Proposition 2.3 (Primes are irreducible): Let R be an integral domain, $p \in R$. If p is prime, then p is irreducible.

Proof: Say $p = ab \in R$, and wlog $p \mid a$. Write $a = dp, d \in R$, so by commutativity p = dpb = pdb so as $p \neq 0$, we have db = 1. Thus b is a unit, so p is irreducible.

Example: The converse is false. Consider $R = \mathbb{Z}\left[\sqrt{-5}\right]$, where we know $p = 1 + \sqrt{-5}$ is irreducible. Note

$$(1+\sqrt{-5})(1-\sqrt{-5}) = 6 = 2 \cdot 3$$

so $p \mid 2 \cdot 3$ but neither of 2 or 3. Indeed, if $p \mid 2$ then qp = 2 for some q, then $N(2) = N(q)N(p) \iff 4 = N(q)6$ but there are no integer solutions to this. The same argument works for 3.

Recall that for a prime $p \in \mathbb{Z}$, $\pm 1 \cdot \pm p$ are the only factorizations of p, so p is irreducible. Also, we can prove Euclid's lemma, showing p is prime. The same things hold for F[x] when F is a field. We want to know the additional property of \mathbb{Z} or F[x] that gives us irreducible implying prime.

2.2 Ascending chains

Definition (ACCP): An integral domain R is said to satisfy the **ascending chain conditions on principal ideals** (ACCP) if for any chain

$$0 \subseteq \langle a_1 \rangle \subseteq \langle a_2 \rangle \subseteq \dots$$

of principal ideals in R, there is $n \in \mathbb{N}$ so

$$\langle a_n \rangle = \langle a_{n+1} \rangle = \dots$$

That is, the chain stabilizes eventually.

 $Example: \mathbb{Z}$ satisfies ACCP.

Given a chain, we see $a_2 \mid a_1$ and $a_3 \mid a_2$, and so on. Thus taking absolute values gives

$$|a_1| \ge |a_2| \ge \dots$$

Since each $|a_n| \ge 0 \in \mathbb{Z}$, we get $|a_n| = |a_{n+1}| = \dots$ for some n, so $a_{n+1} = \pm a_i$ for all $i \ge n$. Thus the chain stabilizes, so \mathbb{Z} satisfies ACCP.

Notice this proof using the well-ordering principle on \mathbb{N} , and so does the proof of unique factorization over \mathbb{Z} (MATH135).

Theorem 2.4 (Product of irreducibles): Let R be an integral domain satisfying ACCP. If $a \in R$ is not zero and not a unit, then a is a product of irreducibles.

Proof: Suppose bwoc a is not a product of irreducibles. Say $a=x_1a_1$ where wlog a_1 is not a product of irreducibles, and a is not irreducible so $a \nsim x_1, a_1$. Inductively, construct $a_n = x_{n+1}a_{n+1}$ so $a_n \nsim a_{n+1}$ and a_{n+1} is not a product of irreducibles. Then

$$\langle a \rangle \subsetneq \langle a_1 \rangle \subsetneq \dots$$

 \Diamond

which violates ACCP, where the ideal containments are proper since $a_n \nsim a_{n+1}$.

Theorem 2.5 (R[x] ACCP): If R is an integral domain satisfying ACCP, so is R[x].

Proof: Suppose bwoc there is a chain

$$\{0\} \subsetneq \langle f_1 \rangle \subseteq \langle f_2 \rangle \subsetneq \dots \in R[x].$$

Since $f_{i+1} \mid f_i$, let a_i be the leading coefficient of each f_i to get $a_{i+1} \mid a_i$ for all i. Thus

$$\{0\} \subsetneq \langle a_1 \rangle \subseteq \langle a_2 \rangle \subseteq \dots$$

Since R has ACCP, there is $n \in N$ so $\langle a_n \rangle = \langle a_{n+1} \rangle = \dots$ For $m \geq n$, we have $f_m = gf_{m+1}$ for some $g(x) \in R[x]$, say g has leading coefficient b. Then $a_m = ba_{m+1}$, so b must be a unit and $\langle a_m \rangle = \langle a_{m+1} \rangle$. Now, if g = b is a constant polynomial, then

$$\langle f_m \rangle = \langle f_{m+1} \rangle,$$

a contradiction, so $\deg(g) \ge 1$. Thus $\deg(f_m) > \deg(f_{m+1})$ for all $m \ge n$, but this is also a contradiction as $\deg(f_i) \ge 0$.

Example: Since \mathbb{Z} satisfies ACCP, so does $\mathbb{Z}[x]$.

Example: Consider $R = \{n + xf : n \in \mathbb{Z}, f \in \mathbb{Q}[x]\}$, i.e. the set of polynomials in $\mathbb{Q}[x]$ with integer constant term. R is an integral domain, but consider

$$\langle x \rangle = \{x(n+xf)\}, \quad \langle \frac{1}{2}x \rangle = \left\{\frac{1}{2}x(n+xf)\right\}$$

and so on. This gives

$$\langle x \rangle \subsetneq \langle \frac{1}{2} x \rangle \subsetneq \langle \frac{1}{2^2} x \rangle \subsetneq \dots$$

Thus R is an integral domain that does not satisfy ACCP.

2.3 Unique factorization domains

Definition (UFD): An integral domain R is called a UFD if it satisfies:

- If $a \neq 0 \in R$ is not a unit, then a is a product of irreducibles
- If $p_1p_2...p_n \sim q_1q_2...q_s$ where p_i,q_j are irreducible, then r=s and after possible relabelling, $p_i \sim q_i$ for all i=1,...,r.

Example: \mathbb{Z} and F[x] are UFDs, and a field F is also a UFD.

Proposition 2.6 (Irreducible implies prime): Let R be a UFD and $p \in R$. If p is irreducible, then p is prime.

Proof: Let $p \in R$ be irreducible. If $p \mid ab \in R$, write ab = pd for $d \in R$. Since R is a UFD, we can factor a, b, d into irreducible elements:

$$\begin{split} a &= q_1...q_k \\ b &= s_1...s_\ell \\ d &= r_1...r_m \end{split}$$

We allow k, ℓ, m to be 0 in case a, b, d are units. Now since pd = ab,

$$pr_1...r_m = q_1...q_k s_1...s_\ell.$$

Since p is irreducible and R is a UFD, $m+1=k+\ell$ and $p\sim q_i$ or $p\sim s_j$ for some i or j. Thus $p\mid a$ or $p\mid b$.

Example: \mathbb{Z} is a UFD, where we know a prime satisfies Euclid's lemma. A similar statement holds for F[x].

Example: Consider $R = \mathbb{Z}\left[\sqrt{-5}\right]$ and $p = 1 + \sqrt{-5}$. We have seen that p is irreducible but not prime, so R is not a UFD. Claim: R satisfies ACCP. Say

$$\{0\} \subseteq \langle a_1 \rangle \subseteq \langle a_2 \rangle \subseteq \dots$$

Then $a_{i+1} \mid a_i$ for all i, and as the norm is non-negative, and multiplicative,

$$N(a_{i+1}) \le N(a_i).$$

Therefore,

$$N(a_1) \ge N(a_2) \ge \dots,$$

but each $N(a_n) \geq 0$, so we must have $N(a_n) = N(a_{n+1}) = \dots$ for some $n \in \mathbb{N}$.

The takeaway here is UFD implies ACCP, but ACCP does not imply UFD. We would like to know exactly how much stronger a UFD is than an integral domain with ACCP.

Definition (GCD): Let R be an integral domain and $a, b \in R$. We say $d \in R$ is a **greatest common divisor** of a and b, denoted gcd(a, b) if:

- $d \mid a, b$.
- If $e \in R$ with $e \mid a, b$ then $e \mid d$.

Remark: One can show if R is a UFD and a, b are non-zero and $p_1, ..., p_k$ are non-associated primes dividing a, b, say

$$a \sim p_1^{\alpha_1} \cdots p_k^{\alpha_k}$$
 $b \sim p_1^{\beta_1} \cdots p_k^{\beta_k}$

Then $\gcd(a,b) = p_1^{\min\{\alpha_1,\beta_1\}} \cdots p_k^{\min\{\alpha_k,\beta_k\}}.$

Furthermore, if R is a UFD and $d, a_1, ..., a_m \in R$, we have

$$gcd(da_1, ..., da_m) = d gcd(a_1, ..., a_m).$$

Theorem 2.7 (UFD characterization): Let R be an integral domain. TFAE:

- (1) R is a UFD
- (2) R satisfies ACCP and gcd(a, b) exists for all $a, b \neq 0 \in R$
- (3) R satisfies ACCP and every irreducible element is prime.

Proof:

 $(1 \Longrightarrow 2)$ By the previous remark, gcd(a,b) exists for all $a,b \ne 0$. Also, suppose

$$\{0\} \subsetneq \langle a_1 \rangle \subsetneq \langle a_2 \rangle \subsetneq \dots$$

Since $\langle a_1 \rangle \neq \{0\}$ and a_1 is not a unit, we can write $a \sim p_1^{k_1} \cdots p_r^{k_r}$ where p_i are non-associated primes and $k_i \in \mathbb{N}$. Since $a_i \mid a_1$ for all i we have

$$a_i \sim p_1^{d_{i,1}} \cdots p_r^{d_{i,r}}$$

for $0 \le d_{i,j} \le k_j$ $(1 \le j \le r)$. Thus there are only finitely many non-associated choices for a_i , and so there exist $m \ne n$ with $a_m \sim a_n \Longrightarrow \langle a_m \rangle = \langle a_n \rangle$, a contradiction. Hence R satisfies ACCP.

- $(2\Longrightarrow 3)$ Let r be irreducible and suppose $p\mid ab\in R$. Then let $d=\gcd(a,p)$. Since $d\mid p$ which is irreducible, $d\sim 1$ or $d\sim p$. If $d\sim p$ then $d\mid a\Longrightarrow p\mid a$. Otherwise, $d\sim 1$ so $1\sim\gcd(a,p)\Longrightarrow b\sim\gcd(ab,pb)$, where $p\mid ab$ and $p\mid pb$, so $p\mid b$.
- $(3 \Longrightarrow 1)$ R satisfies ACCP, so for $a \neq 0 \in R$ not a unit, a is a product of irreducibles, so it suffices to prove such factorizations are unique. Suppose we have

$$p_1 \cdots p_r \sim q_1 \dots q_s$$

where each p_i, q_j is irreducible. Since p_1 is prime by assumption, we have $p_1 \mid q_j$ for some j, say wlog $p_1 \mid q_1$. Thus $p_1 \sim q_1$. Since $p_1 \sim q_1$ we can divide out and repeat inductively to get $p_1 \cdots p_r \sim q_1 \dots q_s$ has r = s and $p_i \sim q_i$ $(1 \le i \le r)$. Thus the factorization is unique.

 \Diamond

2.4 Principal ideal domains

Definition (PID): An integral domain R is a **principal ideal domain** (PID) if every ideal in R is principal (singly-generated).

 $Example: \mathbb{Z}$ and F[x] are PIDs, as are fields. Note that although all ideals in \mathbb{Z}_n are principal, \mathbb{Z}_n is not an integral domain, so is not a PID.

Proposition 2.8: Let R be a PID and $a_1,...,a_n \neq 0$. Then $d \sim \gcd(a_1,...,a_n)$ exists, and there exist $r_1,...,r_n \in R$ so that

$$gcd(a_1, ..., a_n) \sim r_1 a_1 + ... + r_n a_n$$
.

Proof: Let $A = \langle a_1, ..., a_n \rangle = \{r_1 a_1 + ... + r_n a_n : r_i \in R\}$ so A is an ideal, hence principal i.e. there is $d \in R$ so $A = \langle d \rangle$. In particular,

$$d=r_1a_1+\ldots+r_na_n$$

 $\begin{array}{l} \text{for some } r_i \in R \text{ as } d \in A. \text{ We claim } d \sim \gcd(a_1,...,a_n). \text{ For each } i \in [n], \, a_i \in \langle d \rangle \text{ so } a_i = qd \text{ for some } q, \\ \text{hence } d \mid a_i. \text{ Also, if } r \mid a_i \text{ for all } i, \text{ then } r \mid (r_1a_1+...+r_na_n) \Longleftrightarrow r \mid d, \text{ so } d \sim \gcd(a_1,...,a_n) \sim r_1a_1 + ... + r_na_n \text{ by definition.} \end{array}$

Theorem 2.9 (PIDs are UFDs): Every PID is a UFD.

Proof: If R is a PID, by Theorem 2.7 and Proposition 2.8 it suffices to show R satisfies ACCP. Suppose

$$\{0\} \subsetneq \langle a_1 \rangle \subseteq \langle a_2 \rangle \subseteq \dots$$

Let $A = \bigcup_{i \in \mathbb{N}} \langle a_i \rangle$, which is an ideal, so $\langle a \rangle = A$ for some $a \in R$. Then as $a \in A$, there is $n \in \mathbb{N}$ so $a \in \langle a_n \rangle$. Thus $a \in \langle a_m \rangle$ for all $m \geq n$, so $\langle a \rangle \subseteq \langle a_m \rangle \subseteq \langle a \rangle \Longrightarrow \langle a \rangle = \langle a_m \rangle$, so the chain stabilizes. Thus R satisfies ACCP, so is a UFD.

Example: We claim $\mathbb{Z}[x]$ is not a PID. Consider

$$A := \{2n + xf(x) : n \in \mathbb{Z}, f(x) \in \mathbb{Z}[x]\}$$

i.e. those polynomials with even constant term. Suppose $A = \langle g(x) \rangle$ for some $g(x) \in \mathbb{Z}[x]$. Since $2 \in A$, $g(x) \mid 2$, so $g(x) \sim 1$ or $g(x) \sim 2$. In the former case, $1 \in A \Longrightarrow A = \mathbb{Z}[x]$ is a contradiction, and in the latter case, $A = \{2f(x) : f(x) \in \mathbb{Z}[x]\}$ which is also a contradiction, since e.g. $x \in A$. Therefore there exist ideals that are not principal.

Theorem 2.10: Let R be a PID. If $0 \neq p \in R$ is not a unit, TFAE:

- (1) p is prime
- (2) $R/\langle p \rangle$ is a field (iff $\langle p \rangle$ is a maximal ideal)
- (3) $R/\langle p \rangle$ is an integral domain (iff $\langle p \rangle$ is a prime ideal)

Proof:

 $(1 \Longrightarrow 2)$ Let p be prime and let $0 + \langle p \rangle \neq a + \langle p \rangle \in R/\langle p \rangle$ for some $a \in R$ such that $p \nmid a$. We wish to show $(a + \langle p \rangle)^{-1}$ exists. Consider the ideal

$$A = \langle a, p \rangle = \{ ra + sp : r, s \in R \}.$$

Since R is a PID, $A = \langle d \rangle$ for some $d \in R$. Since $p \in A$ we have $d \mid p$, but as p is prime hence irreducible, $d \sim 1$ or $d \sim p$. Notice if $d \sim p$ then $\langle p \rangle = \langle d \rangle = A$ where $a \in A$, so then $p \mid a$, a contradiction.

Thus we have $d \sim 1$, so $A = \langle d \rangle = \langle 1 \rangle = R$. Hence 1 = ba + cp for some $b, c \in R$, giving

$$(a + \langle p \rangle)(b + \langle p \rangle) = ab + \langle p \rangle$$
$$= (1 - cp) + \langle p \rangle$$
$$= 1 + \langle p \rangle.$$

Therefore $(a + \langle p \rangle)^{-1}$ exists, so $R/\langle p \rangle$ is a field.

 $(2 \Longrightarrow 3)$ Every field is an integral domain.

 $(3 \Longrightarrow 1)$ Suppose $p \mid ab \in R$. Then

$$(a + \langle p \rangle)(b + \langle p \rangle) = ab + \langle p \rangle = 0 + \langle p \rangle$$

because $p \mid ab \Longrightarrow ab \in \langle p \rangle$. Since $R/\langle p \rangle$ is an integral domain, one of $a + \langle p \rangle, b + \langle p \rangle$ is $0 + \langle p \rangle$, so one of $a, b \in \langle p \rangle$ i.e. $p \mid a$ or $p \mid b$, so p is prime.

 \Diamond

Remark: The proofs for $(2) \Longrightarrow (3)$ and $(3) \Longrightarrow (1)$ work for integral domains, only $(1) \Longrightarrow (2)$ leverages that R is a PID.

Note: We have the following relations between algebraic structures:

$$Field \subseteq PID \subseteq UFD \subseteq ACCP \subseteq ID \subseteq Comm Ring \subseteq Ring$$

$$\mathbb{Q}$$
 \mathbb{Z} $\mathbb{Z}[x]$ $\mathbb{Z}\left[\sqrt{-5}\right]$ A \mathbb{Z}_n $M_n(\mathbb{R}).$

where $A = \{n + xf : n \in \mathbb{Z}, f \in \mathbb{Q}[x]\}.$

We don't yet know if the PID \subseteq UFD containment is proper, but we will show $\mathbb{Z}[x]$ is a UFD eventually.

Remark: Theorem 2.10 fails for UFDs. Consider $\langle x \rangle \in \mathbb{Z}[x]$, then $\mathbb{Z}[x]/\langle x \rangle \cong \mathbb{Z}$ which is an integral domain but not a field, i.e. $\langle x \rangle$ is a prime ideal but not a maximal ideal.

In a PID, non-zero proper ideals are prime iff they are maximal. In general, only maximal implies prime.

In a UFD, non-zero non-units are prime iff they are irreducible. In general, only prime implies irreducible.

2.5 Polynomials

Consider 2x + 4, which is irreducible in $\mathbb{Q}[x]$, but factors as 2(x + 4) in $\mathbb{Z}[x]$ where 2 is not a unit, so it is reducible in $\mathbb{Z}[x]$. This motivates the following definition:

Definition (Content, primitive): If R is a UFD and $0 \neq f(x) \in R[x]$, a greatest common divisor of all coefficients of f is called a **content** of f, denoted c(f). If $c(f) \sim 1$, we say f is a **primitive** polynomial.

Example: In $\mathbb{Z}[x]$, $c(6+10x^2+15x^3) \sim \gcd(6,10,15) \sim 1$ so this is primitive. However, $c(6+9x^2+15x^3) \sim \gcd(6,9,15) \sim 3$, so this is not primitive.

Lemma 2.11: Let R be a UFD and $0 \neq f(x) \in \mathbb{R}[x]$.

- f(x) can be written as $f(x) = c(f)f_1(x)$ for some primitive $f_1(x) \in \mathbb{R}[x]$
- if $0 \neq b \in R$, then $c(bf) \sim bc(f)$.

Proof: Let $f(x) = a_m x^m + ... + a_0$. Let $c(f) \sim \gcd(a_m, ..., a_0)$ and write $a_i = c(f)b_i$ for all i, so $f(x) = c(f)f_1(x)$, where $f_1(x) = b_m x^m + ... + b_0$.

We show f_1 is primitive. Indeed,

$$c(f) \sim \gcd(a_m, ..., a_0) \sim \gcd(c(f)b_m, ..., c(f)b_0) \sim c(f)\gcd(b_m, ..., b_0).$$

Hence $1 \sim \gcd(b_m,...,b_0) \iff c(f_1) \sim 1$, so f_1 is primitive. Furthermore, the coefficients of bf for $b \neq 0$ are $ba_m,...,ba_0$, so

$$c(bf) \sim \gcd(ba_m, ..., ba_0) \sim b \gcd(a_m, ..., a_0) \sim bc(f)$$
.

Thus $c(bf) \sim bc(f)$.

Lemma 2.12: Let R be a UFD and $\ell(x) \in R[x]$ be irreducible with $\deg(\ell) \geq 1$. Then $c(\ell) \sim 1$.

Proof: Write $\ell(x) = c(\ell)\ell_1(x)$ with ℓ_1 primitive and $\deg(\ell_1) = \deg(\ell) = 1$. Since ℓ is irreducible one of $c(\ell), \ell_1$ must be a unit but clearly ℓ_1 cannot be, so $c(\ell) \sim 1$.

Theorem 2.13 (Gauss' Lemma): Let R be a UFD. If $f, g \neq 0 \in R[x]$ then $c(fg) \sim c(f)c(g)$. In particular, the product of primitive polynomials is again primitive.

Proof: Let $f = c(f)f_1$ and $g = c(g)g_1$ with f_1, g_1 primitive. Then

$$c(fg) \sim c(c(f)f_1c(g)g_1) \sim c(f)c(g)c(f_1g_1).$$

It suffices then to prove a product of primitives is primitive. Suppose bwoc f, g are primitive but fg is not. Write

$$\begin{split} f(x) &= a_0 + \ldots + a_m x^m \\ g(x) &= b_0 + \ldots + b_n x^n. \end{split}$$

Since R is a UFD, there is a prime p dividing each coefficient of fg. Since f, g are primitive, there is some k, s so $p \nmid a_k, b_s$. Let k and s be the minimum such values. Then

- $p \nmid a_k$ but $p \mid a_i$ for i = 0, ..., k-1
- $p \nmid b_s$ but $p \mid b_j$ for j = 0, ..., s 1

Now the coefficient c_{k+s} of x^{k+s} in fg is

$$\begin{split} c_{k+s} &= \sum_{i+j=k+s} a_i b_j \\ &= a_0 b_{k+s} + \ldots + a_{k-1} b_{s+1} + a_k b_s + a_{k+1} b_{s-1} + \ldots + a_{k+s} b_0. \end{split}$$

By the above, p divides every term on the left of $a_k b_s$ and every term on the right of it. However, it does not divide $a_k b_s$, hence cannot divide the sum, i.e. $p \nmid c_{k+s}$, a contradiction. Thus fg is primitive.

Theorem 2.14: Let R be a UFD whose field of fractions F is

$$F = \left\{ \frac{a}{b} : a, b \in R, b \neq 0 \right\}.$$

Regard R as a subring of F. If $\ell(x) \in R[x]$ is irreducible in R[x], then $\ell(x)$ is irreducible in F[x].

Proof: Let $\ell(x) \in R[x]$ be irreducible. Suppose $\ell(x) = g(x)h(x) \in F[x]$. If a, b are the products of the denominators of the coefficients of g(x) and h(x), then $g_1(x) = ag(x) \in R[x]$ and $h_1(x) = bh(x) \in R[x]$. Notice that $ab\ell(x) = g_1(x)h_1(x)$ is a factorization in R[x]. Since $\ell(x)$ is irreducible, $c(\ell) \sim 1$. Also, by Gauss' lemma, we have

$$ab \sim abc(\ell) \sim c(ab\ell) \sim c(g_1h_1) \sim c(g_1)c(h_1).$$
 (*)

Now, write $g_1(x)=c(g_1)g_2(x)$ and $h_1(x)=c(h_1)h_2(x)$ where $g_2(x),h_2(x)$ are primitive in R[x]. Then $ab\ell(x)=g_1(x)h_1(x)=c(g_1)c(h_1)g_2(x)h_2(x).$

By (\star) we have $\ell(x) \sim g_2(x)h_2(x)$ in R[x]. Since $\ell(x)$ is irreducible, it follows that $h_2(x) \sim 1$ or $g_2(x) \sim 1$.

If $g_2(x) \sim 1$, then $ag(x) = g_1(x) = c(g_1)g_2(x)$. Thus $g(x) = a^{-1}c(g_1)g_2(x)$ with $g_2(x) \sim 1$ is a unit in F[x]. Similarly if $h_2(x) \sim 1$, we can show h(x) is a unit in F[x]. Thus $\ell(x) = g(x)h(x)$ in F[x] implies that either g(x) or h(x) is a unit in F[x], so $\ell(x)$ is irreducible in F[x].

Recall the converse is false: 2x + 4 is irreducible in $\mathbb{Q}[x]$ but reducible in $\mathbb{Z}[x]$. What's notable about this example is the content of 2x + 4 is not a unit. One might wonder if this is the only such restriction preventing an iff statement. Indeed it is.

Proposition 2.15: Let F be a UFD whose field of fractions is F. Let $f(x) \in R[x]$ with $deg(x) \ge 1$. TFAE:

- (1) f(x) is irreducible in R[x].
- (2) f(x) is primitive and irreducible in F[x].

Proof:

 $(1 \Longrightarrow 2)$ Follows from Lemma 2.12 and Theorem 2.14.

 $(2\Longrightarrow 1)$ Suppose f(x) is primitive and irreducible in F[x] but reducible in R[x]. Then a nontrivial factorization of f(x) in R[x] must be of the form f(x)=dg(x) with $d\in R$ and $d\nsim 1$ (if both factors have degree ≥ 1 , then it would be a nontrivial factorization in F[x]). Since $d\mid f(x)$, $d\nsim 1$ divides each coefficient of f(x), contradicting the fact that f(x) is primitive. Thus f(x) is irreducible in R[x].

 \Diamond

Notice that primitive guarantees irreducibility in R[x] iff F[x]. Only the $R[x] \Longrightarrow F[x]$ direction holds for general polynomials.

Theorem 2.16: If R is a UFD, then so is R[x].

Let R be a UFD and $x_1, ..., x_n$ be n commutative variables and define the ring $R[x_1, ..., x_n]$ of polynomials in n variables inductively by

$$R[x_1,...,x_n] = (R[x_1,...,x_{n-1}])[x_n].$$

Corollary 2.17: If R is a UFD, then for all $n \in \mathbb{Z}^+$, $R[x_1, ..., x_n]$ is a UFD.

Since \mathbb{Z} is a UFD, $\mathbb{Z}[x]$ and $\mathbb{Z}[x_1,...,x_n]$ are UFDs. With this, we can say that PID \subsetneq UFD because $\mathbb{Z}[x]$ is a UFD but not a PID.

Theorem 2.18 (Eisenstein's criterion): Let R be a UFD with field of fractions F. Let $h(x) = c_n x^n + ... + c_1 x + c_0 \in R[x]$ with $n \ge 1$. Let $\ell \in R$ be irreducible. If:

- $\ell \nmid c_n$
- $\ell \mid c_i$ for all i = 0, ..., n-1
- $\ell^2 \nmid c_0$

Then h is irreducible in F[x].

Proof: By contradiction. If h(x) is reducible in F[x], by Gauss' lemma there are $r(x), s(x) \in R[x]$ of degree at least 1 so h(x) = s(x)r(x). Write

$$s(x) = a_0 + \dots + a_m x^m$$

 $r(x) = b_0 + \dots + b_k x^k$.

where $1 \le m, k < n$. Since h(x) = s(x)r(x) we have

$$c_0 = a_0 b_0, ..., c_{k+s} = \sum_{i+j=k+s} a_i b_j.$$

Consider the constant term. Since $\ell \mid c_0$, we have $\ell \mid a_0b_0$. Since ℓ is irreducible and R is a UFD, ℓ is prime, hence $\ell \mid a_0$ or $\ell \mid b_0$. Wlog, suppose $\ell \mid a_0$. Since $\ell \nmid c_0$, we have $\ell \nmid b_0$.

If we consider the coefficient of x, since $\ell \mid c_1$ we have $\ell \mid (a_0b_1 + a_1b_0)$ where $\ell \mid a_0$ but $\ell \nmid b_0$, hence $\ell \mid a_1b_0 \Longrightarrow \ell \mid a_1$.

By repeating the above argument, conditions on coefficients of h(x) imply that $\ell \mid a_i$ for all $1 \leq \ell \leq m-1$. However, $\ell \nmid a_m$ since $\ell \nmid c_m$. Consider the reudction $\overline{h}(x) = \overline{s}(x)\overline{r}(x)$ $\in (R/\langle \ell \rangle)[x]$. By the assumption on the coefficients of h, we have $\overline{h}(x) = \overline{c_n}x^n$. However, since $\overline{s}(x) = \overline{a_m}x^m$ and $\ell \nmid b_0$, $\overline{s}(x)\overline{r}(x)$ contains the term $\overline{a_mb_0}x^m$, which is a contradiction. Thus h(x) is irreducible in F[x]. \bigcirc

Example: Consider $2x^7 + 3x^4 + 6x^2 + 12$, where for p = 3 by Eisenstein's criterion this is irreducible in $\mathbb{Q}[x]$.

Example: Let p be prime and $\zeta_p = \exp\left(\frac{2\pi i}{p}\right)$ be a p^{th} root of unity. Now ζ_p is a root of the p^{th} cyclotomic polynomial

$$\begin{split} \Phi_p(x) &= \frac{x^p-1}{x-1} \\ &= x^{p-1} + x^{p-2} + \ldots + x + 1. \end{split}$$

Eisenstein's does not work directly here, but $\Phi_p(x+1)$ is irreducible iff $\Phi_p(x)$ is, so

$$\begin{split} \Phi_p(x+1) &= \frac{(x+1)^p - 1}{x} \\ &= x^{p-1} + \binom{p}{1} x^{p-2} + \binom{p}{2} x^{p-3} + \ldots + \binom{p}{p-2} x + \binom{p}{p-1} \in \mathbb{Z}[x]. \end{split}$$

Then $p\mid\binom{p}{i}$ for i=1,...,p-1, but $p\nmid 1$ and $p^2\nmid\binom{p}{p-1}=p$. Thus by Eisenstein's criterion $\Phi_p(x+1)$ is irreducible iff $\Phi_p(x)$ is irreducible in $\mathbb{Q}[x]$. Furthermore, observe $\Phi_p(x)$ is primitive, so by Proposition 2.15 it is irreducible in $\mathbb{Z}[x]$ as well.

3 Field Extensions

Definition (Field extension): If E is a field containing another field F, we say E is a **field extension** of F, denoted E/F.

Remark: E/F does not mean a quotient ring, as the only ideals are $\{0\}$ and E.

If E/F is a field extension, we can view E as a vector space over F with the obvious addition and scaling.

Definition (Degree): The dimension of E over F is called the **degree** of E over F, denoted [E:F]. If $[E:F] < \infty$ we say E/F is a finite extension, and otherwise it is an infinite extension.

Example: $[\mathbb{C} : \mathbb{R}] = 2$ is a finite extension.

Example: Let F be a field and let $F(x) = \left\{ \frac{f(x)}{g(x)} : f(x), g(x) \in F[x], g(x) \neq 0 \right\}$. Then [F(x) : F] is an infinite extension since $\{1, x, x^2, ...\}$ is linearly independent over F.

Theorem 3.1 (Intermediate field extensions): If E/K and K/F are finite field extensions then E/F is a finite field extension with

$$[E:F] = [E:K][K:F].$$

In particular, if K is an intermediate field of a finite extension F, then $[K:F] \mid [E:F]$.

Proof: Suppose [E:K]=m and [K:F]=n. Let $\{a_1,...,a_m\}$ and $\{b_1,...,b_n\}$ be bases for E/K and K/F respectively. It suffices to show $\{a_ib_i\}$ is a basis for E/F.

For $e \in E$ we have

$$e = \sum_{i=1}^{m} k_i a_i$$

for some $k_i \in K$, and for each k_i we have

$$k_i = \sum_{j=1}^n c_{i,j} b_j$$

with each $c_{i,j} \in F$. Hence

$$e = \sum_{i=1}^m \sum_{j=1}^n c_{i,j} b_j a_i \in \operatorname{Span}_F \big\{ a_i b_j \big\}.$$

Next, we have

$$\sum_{i=1}^m \sum_{j=1}^n c_{i,j} a_i b_j = 0$$

$$\Longrightarrow \sum_{i=1}^{m} a_i \sum_{j=1}^{n} c_{i,j} b_j = 0.$$

Since the a_i are LI in E/K with each sum term in K, by linearly independence of the a_i over K we have

$$\sum_{j=1}^{n} c_{i,j} b_j = 0$$

for each i. Then by the linear independence of the b_j over K/F, we have each $c_{i,j}=0$, so the $\left\{a_ib_j\right\}$ are LI.

Definition (Algebraic, transcendental): Let E/F be a field extension and $\alpha \in E$. We say α is algebraic over F if there is $f(x) \in F[x] \setminus \{0\}$ such that $f(\alpha) = 0$. Otherwise, α is transcendental over F.

Example: $q \in \mathbb{Q}$ and $\sqrt{2}$ are algebraic over \mathbb{Q} , but e and π are transcendental over \mathbb{Q} .

Example: Claim: $\alpha = \sqrt{2} + \sqrt{3}$ is algebraic over \mathbb{Q} .

$$(\alpha - \sqrt{2})^2 = 3$$
$$\alpha^2 - 1 = 2\sqrt{2}\alpha$$
$$\alpha^4 - 10\alpha^2 + 1 = 0$$

So α is a root of $x^4 - 10x^2 + 1 \in \mathbb{Q}[x]$, so is algebraic over \mathbb{Q}

<u>Notation</u>: Let E/F be a field extension and $\alpha \in E$. Then $F[\alpha]$ denotes the smallest subring of E containing F and α , and $F(\alpha)$ denotes the smallest subfield of E containing F and α . For $\alpha, \beta \in E$ we define $F[\alpha, \beta]$ and $F(\alpha, \beta)$ similarly.

Definition (Simple extension): If $E = F(\alpha)$ for some $\alpha \in E$, we say E is a **simple** extension of F.

We would like to know what $[F(\alpha):F]$ is.

Definition (F-homomorphism): Let R, R_1 be two rings containing a field F. A ring hom $\varphi : R \to R_1$ is called an F-homomorphism if $\varphi|_F = \mathrm{id}$.

Theorem 3.2: Let E/F be a field extension and $\alpha \in E$. If α is transcendental over F, then $F[\alpha] \cong F[x]$ and $F(\alpha) \cong F(x)$. In particular, $F[\alpha] \neq F(\alpha)$.

Proof: Define $\psi : F(x) \to F(\alpha)$ as the unique F-hom mapping $x \mapsto \alpha$. Then for $f(x), g(x) \in F[x]$ with $g(x) \neq 0$,

$$\psi\left(\frac{f(x)}{g(x)}\right) = \frac{f(\alpha)}{g(\alpha)}.$$

Notice this is well-defined as α is transcendental, so $g(\alpha) \neq 0$. Now Ker ψ is an ideal of F(x), so ψ is injective as $x \notin \text{Ker}(\psi)$. Also, since F(x) is a field, $\text{Im}(\psi)$ contains a field generated by F and α , so $F(a) \subseteq \text{Im}(\psi)$. Thus $F(\alpha) = \text{Im}(\psi)$ and by the first isomorphism theorem, $F(x)/\text{Ker}(\psi) \cong F(x) \cong \text{Im}(\psi) = F(\alpha)$.

Theorem 3.3: Let E/F be a field extension with $\alpha \in E$. If α is algebraic over F, there is a unique monic irreducible $p(x) \in F[x]$, called the **minimal polynomial of** α **over** F, such that there is an F-isomorphism $\varphi : F[x]/\langle p(x) \rangle \to F[\alpha]$ with $\varphi(x) = \alpha$ from which we conclude $F[\alpha] = F(\alpha)$.

Remark: Since α is algebraic, the map in the proof of Theorem 3.2 is not well-defined.

Proof: Consider the unique F-homomorphism $\varphi : F[x] \to F[\alpha]$ sending $x \mapsto \alpha$. Since F[x] is a ring $Im(\varphi)$ is a ring containing F and α , so $F[\alpha] \subseteq Im(\varphi)$ gives $Im(\varphi) = F[\alpha]$.

Let $I = \text{Ker}(\varphi) = \{f(x) \in F[x] : f(\alpha) = 0\}$. Since α is algebraic, $I \neq \{0\}$, where I is an ideal of F[x]. Since $F[x]/I \cong \text{Im } \varphi = F[\alpha]$ is an integral domain, I is a prime ideal. As F[x] is a PID, there is a unique monic irreducible p(x) so that $I = \langle p(x) \rangle$. Since I is a prime ideal and therefore a maximal ideal, $F[x]/\langle p(x) \rangle$ is a field by Theorem 2.10.

Then, $F[x]/\langle p(x)\rangle \cong F[\alpha]$ is a field containing F and α , so $F(\alpha) \subseteq F[\alpha]$. The reverse containment is obvious, so $F[\alpha] = F(\alpha)$.

Remark: If p(x) is the minimal polynomial of α over F, we have $\langle p(x) \rangle = \{f(x) \in F[x] : f(\alpha) = 0\}$. In particular, if $f(x) \in F[x]$ satisfies $f(\alpha) = 0$, then $p(x) \mid f(x)$.

As a direct consequence of these theorems, we have the following result:

Theorem 3.4 (Degree of a simple extension): Let E/F be a field extension, $\alpha \in E$.

- (1) α is transcendental over F iff $[F(\alpha):F]$ is infinite.
- (2) α is algebraic over F iff $[F(\alpha):F]$ is finite. Moreover, if p(x) is the minimal polynomial of α over F, $[F(\alpha):F]=\deg(p)$ and $\{1,\alpha,\alpha^2,...,\alpha^{\deg(p)-1}\}$ is a basis for $F(\alpha)/F$.

Proof:

TODO: The backwards directions?

- (1) (\Longrightarrow) By Theorem 3.2 we have $F(x) \cong F(\alpha)$. In F(x), the elements $\{1, x, x^2, ...\}$ are linearly independent over F, so $[F(\alpha): F] = \infty$.
- (2) (\Longrightarrow) By Theorem 3.3, $F(\alpha) \cong F[x]/\langle p(x) \rangle$. Note that

$$F[x]/\langle p(x)\rangle = \{r(x) \in F[x] : \deg(r) < \deg(p)\}$$

 \bigcirc

 \Diamond

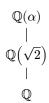
so $\{1, x, x^2, ..., x^{\deg(p)-1}\}\$ is a basis of $F[x]/\langle p(x)\rangle$.

Example: Let p be a prime and $\zeta_p = \exp\left(\frac{2\pi i}{p}\right)$ be a root of $\Phi_p(x) = \frac{x^p-1}{x-1} = x^{p-1} + ... + x + 1$. By Theorem 3.4, $\Phi_p(x)$ is the minimal polynomial of ζ_p . Thus $\left[\mathbb{Q}(\zeta_p):\mathbb{Q}\right] = p-1$.

Example: $\alpha = \sqrt{2} + \sqrt{3}$ is algebraic, as a root of $x^4 - 10x^2 + 1$. We would like to show that this is the minimal polynomial of α over \mathbb{Q} by showing $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 4$. Notice

$$(\alpha - \sqrt{2})^2 = \sqrt{3}^2 \Longrightarrow \sqrt{2} = \frac{\alpha^2 - 1}{2\alpha},$$

so $\sqrt{2} \in \mathbb{Q}(\alpha)$. We have the following diagram:



Since $\sqrt{2}$ is a root of x^2-2 , which is irreducible, we have $\left[\mathbb{Q}\left(\sqrt{2}\right):\mathbb{Q}\right]=2$. Also, $\sqrt{3}\notin\mathbb{Q}\left(\sqrt{2}\right)$, giving $\left[\mathbb{Q}(\alpha):\mathbb{Q}\left(\sqrt{2}\right)\right]\geq 2$. Since $\alpha\in\mathbb{Q}(\alpha)\setminus\mathbb{Q}\left(\sqrt{2}\right)$, it follows that $\left[\mathbb{Q}(\alpha):\mathbb{Q}\right]\geq 4$. However, α is a root of a degree 4 polynomial, so $\left[\mathbb{Q}(\alpha):\mathbb{Q}\right]\leq 4$, so we have equality, and thus x^4-10x^2+1 is the minimal polynomial of α over \mathbb{Q} .

It turns out that to understand finite field extensions, it suffices to understand simple ones.

Theorem 3.5: Let E/F be a field extension. If $[E:F]<\infty$, there exist $a_1,...,a_n\in E$ such that

$$F\subsetneq F(\alpha_1)\subsetneq ... \subsetneq F(\alpha_1,...,\alpha_n)=E.$$

Proof: By induction on [E:F]. If [E:F]=1, then E=F and we are done. Suppose [E:F]>1 and the statement holds for all field extensions E_1/F_1 with $[E_1:F_1]<[E:F]$. Let $\alpha_1\in E\setminus F$ so by Theorem 3.1 we have

$$[E:F] = [E:F(\alpha_1)][F(\alpha_1):F].$$

Since $[F(\alpha_1):F]>1$, we have $[E:F(\alpha_1)]<[E:F]$ so by the IH, there are $a_2,...,a_n\in E$ such that

$$F(\alpha_1) \subsetneq F(\alpha_1, \alpha_2) \subsetneq ... \subsetneq F(\alpha_1, \alpha_2, ..., \alpha_n) = E.$$

Therefore placing $F \subseteq F(\alpha_1)$ at the start of this chain gives the desired result.

Definition (Algebraic field extension): A field extension E/F is **algebraic** if every $\alpha \in E$ is algebraic over F. Otherwise, it is **transcendental**.

Theorem 3.6: Let E/F be a field extension. If $[E:F] < \infty$, then E/F is algebraic.

Proof: Suppose [E:F]=n. For $\alpha \in E$, the elements $\{1,\alpha,\alpha^2,...,\alpha^n\}$ are not linearly independent over F, so there exist $c_i \in F$ not all zero such that

$$\sum_{i=0}^{n} c_i \alpha^i = 0$$

 \bigcirc

i.e. that α is a root of $c_0 + ... + c_n x^n \in F[x]$, so α is algebraic over F.

Theorem 3.7 (Algebraic closure): Let E/F be a field extension. Define

$$L \coloneqq \{\alpha \in E : [F(\alpha) : F] < \infty\}.$$

Then L, called the algebraic closure of F in E, is an intermediate field of E/F.

Proof: Certainly $F \subseteq L$, so if $\alpha, \beta \in L$ we need to show $\alpha \pm \beta, \alpha\beta$, and $\frac{\alpha}{\beta}$ for $\beta \neq 0$ are all in L. By definition, $[F(\alpha):F], [F(\beta):F] < \infty$.

Consider the field $F(\alpha, \beta)$. Notice the minimal polynomial of α over F, say $p(x) \in F[x]$, is also an element of $F(\beta)[x]$ with $p(\alpha) = 0$. Therefore the minimal polynomial of α over $F(\beta)$ divides the minimal polynomial of α over F, so the former has at most the degree of the latter. It follows by Theorem 3.1 that

$$[F(\alpha,\beta):F(\beta)] \leq [F(\alpha):F]$$

$$[F(\alpha,\beta):F] = [F(\alpha,\beta):F(\beta)][F(\beta):F] \leq [F(\alpha):F][F(\beta)F] < \infty.$$

Now since $\alpha \pm \beta \in F(\alpha, \beta)$, we have $[F(\alpha + \beta) : F] \leq [F(\alpha, \beta) : F] < \infty$, so $\alpha \pm \beta \in L$. Similarly, we can show $\alpha\beta, \frac{\alpha}{\beta} \in L$, so L is a field.

Definition (Algebraically closed): A field F is **algebraically closed** if for any algebraic extension E/F, we have E=F.

Example: By the fundamental theorem of algebra, $\mathbb C$ is algebraically closed. Moreover, $\mathbb C$ is the algebraic closure of $\mathbb R$ in $\mathbb C$.

Example: Let $\overline{\mathbb{Q}}$ be the algebraic closure of \mathbb{Q} in \mathbb{C} , i.e.

$$\overline{\mathbb{Q}} = \{ \alpha \in \mathbb{C} : \alpha \text{ is algebraic over } \mathbb{Q} \}.$$

For a prime p, since $\zeta_p \in \overline{\mathbb{Q}}$ as ζ_p is a root of its minimal polynomial $\Phi_p(x)$, we have

$$\left[\overline{\mathbb{Q}}:\mathbb{Q}\right] \geq \left[\mathbb{Q}\big(\zeta_p\big):\mathbb{Q}\right] = p-1.$$

As there are infinitely many primes, $\left[\overline{\mathbb{Q}}:\mathbb{Q}\right]=\infty$. In particular, this example shows that an algebraic extension need not be finite, i.e. the converse of Theorem 3.6 is false.

4 Splitting Fields

4.1 Existence

Definition (Splits over): Let E/F be a field extension. We say $f(x) \in F[x]$ splits over E if E contains all roots of f(x), i.e. f can be written as a product of linear factors in E[x].

Definition (Splitting field): Let \tilde{E}/F be a field extension, $f(x) \in F[x]$, and $F \subseteq E \subseteq \tilde{E}$. If

- f(x) splits over E and
- f(x) does not split over any proper subfield of E

we say that E is a splitting field of f(x) in \tilde{E} .

Theorem 4.1: Let $p(x) \in F[x]$ be irreducible. The quotient ring $F[x]/\langle p(x) \rangle$ is a field containing F and a root of p(x).

Proof: Since p(x) is irreducible, $I := \langle p(x) \rangle$ is a prime ideal. Since F[x] is a PID, I is maximal iff E := F[x]/I is a field. Consider the map

$$\varphi: F \to E$$
$$a \mapsto a + I.$$

Since F is a field and $\varphi \neq 0$, φ is injective. Thus by identifying F with $\varphi(F)$, we view F as a subfield of E. We claim $\alpha := x + I$ is a root of p(x). Write

$$\begin{split} p(x) &= a_0 + a_1 x + \ldots + a_n x^n \in F[x] \\ &= (a_0 + I) + (a_1 + I) x + \ldots + (a_n + I) x^n \in E[x]. \end{split}$$

We have

$$\begin{split} p(\alpha) &= a_0 + I + (a_1 + I)\alpha + \ldots + (a_n + I)\alpha^n \\ &= (a_0 + I) + (a_1 + I)(x + I) + \ldots + (a_n + I)(x + I)^n \\ &= (a_0 + a_1 x + \ldots + a_n x^n) + I \\ &= p(x) + I = 0 + I = I. \end{split}$$

Thus $\alpha = x + I \in E$ is a root of p(x).

Theorem 4.2 (Kronecker's theorem): Let $f(x) \in F[x]$. There exists a field E containing F such that f(x) splits over E.

Proof: By induction on $\deg(f)$ with any field. If $\deg(f) = 1$, we let E = F and are done. If $\deg(f) > 1$, write f(x) = p(x)h(x) with p(x) irreducible in F[x]. By Theorem 4.1, there is a field K with $F \subseteq K$ containing a root of p(x), say α . Thus

$$\begin{aligned} p(x) &= (x - \alpha) q(x) \\ \Longrightarrow f(x) &= (x - \alpha) q(x) h(x) \end{aligned}$$

where $q(x) \in K[x]$. Since $\deg(qh) < \deg(f)$, by induction there is a field E containing K over which q(x)h(x) splits. It follows that f(x) splits over E.

Theorem 4.3 (Splitting fields are finite extensions): Every $f(x) \in F[x]$ has a splitting field which is a finite extension of F.

Proof: For $f(x) \in F[x]$, by Theorem 4.2 there is a field extension E/F over which f(x) splits. Say $\alpha_1, ..., \alpha_n$ are the roots of f(x) in E. Consider $L := F(\alpha_1, ..., \alpha_n)$, which is the smallest subfield of E containing all roots of f(x), so f(x) does not split over any proper subfield of E. Thus E/F is a splitting field of E. In addition, since the α_i are all algebraic in E/E is finite. \Box

Example: Consider $x^3-2\in\mathbb{Q}[x]$. We know $x^3-2=\left(x-\sqrt[3]{2}\right)\left(x-\sqrt[3]{2}\zeta_3\right)\left(x-\sqrt[3]{2}\zeta_3^2\right)$ where $\zeta_3=\exp\left(\frac{2\pi i}{3}\right)$. Hence the splitting field of x^3-2 over \mathbb{Q} is

$$\mathbb{Q}\!\left(\sqrt[3]{2},\sqrt[3]{2}\zeta_3,\sqrt[3]{2}\zeta_3^2\right) = \mathbb{Q}\!\left(\sqrt[3]{2},\sqrt[3]{2}\zeta_3\right)\!.$$

4.2 Uniqueness

Question: If we have two field extensions E/F and E_1/F , what is the relation between the splitting field of f(x) in E and in E_1 ?

Definition (Homomorphism extension): Let $\varphi: R \to R_1$ be a ring homomorphism, and $\Phi: R[x] \to R_1[x]$ be the unique ring homomorphism satisfying $\Phi|_R = \varphi$ and $\Phi(x) = x$. We say Φ extends φ .

More generally, if $R \subseteq S$ and $R_1 \subseteq S_1$ are all rings and $\Phi : S \to S_1$ is a ring homomorphism with $\Phi|_R = \varphi$, we say Φ extends φ .

Theorem 4.4: Let $\varphi: F \to F_1$ be a field isomorphism and $f(x) \in F[x]$. Let $\Phi: F[x] \to F_1[x]$ extend φ . Let $f_1(x) = \Phi(f(x))$ and $E/F, E_1/F_1$ be splitting fields of f(x) and $f_1(x)$ respectively. Then there is an isomorphism $\psi: E \to E_1$ which extends φ .

Proof: By induction on [E:F]. If [E:F]=1, then f(x) is a product of linear factors in F[x], and so is $f_1(x)$ in $F_1[x]$. Thus E=F, $E_1=F_1$, so let $\psi=\varphi$ and we are done.

Suppose [E:F] > 1 and the statement holds for all \tilde{E}/\tilde{F} with $[\tilde{E}:\tilde{F}] < [E:F]$. Let $p(x) \in F[x]$ be an irreducible factor of f(x) with $\deg(p) \geq 2$. Such a p exists, as otherwise all the irreducible factors of f are degree 1, giving [E:F] = 1. Define $p_1(x) := \Phi(p(x))$.

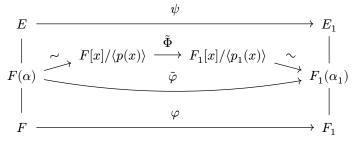
Let $\alpha \in E$ and $\alpha_1 \in E_1$ be roots of p(x) and $p_1(x)$ respectively. From Theorem 3.3, we have the F and F_1 -isomorphisms

$$\begin{split} F(\alpha) &\cong F[x]/\langle p(x)\rangle, \quad \alpha \mapsto x + \langle p(x)\rangle \\ F_1(\alpha_1) &\cong F_1[x]/\langle p_1(x)\rangle, \quad \alpha_1 \mapsto x + \langle p_1(x)\rangle. \end{split}$$

Consider the isomorphism $\Phi: F[x] \to F_1[x]$ extending φ . Since $p_1(x) = \Phi(p(x))$, there is a field isomorphism $\tilde{\Phi}$ given by

$$\tilde{\Phi}: F[x]/\langle p(x)\rangle \to F_1[x]/\langle p_1(x)\rangle$$
$$x + \langle p(x)\rangle \mapsto x + \langle p_1(x)\rangle$$

which extends φ . It follows from the commutative diagram below that there exists a field isomorphism $\tilde{\varphi}: F(\alpha) \to F_1(\alpha_1), \alpha \mapsto \alpha_1$ extending φ .



Notice since $\deg(p) \geq 2$, we have $[E:F(\alpha)] < [E:F]$. Since E (resp. E_1) is the splitting field of $f(x) \in F(\alpha)[x]$ (resp. $f_1(x) \in F_1(\alpha_1)[x]$) over $F(\alpha)$ (resp. $F_1(\alpha_1)$), by induction there is an isomorphism $\psi: E \to E_1$ which extends $\tilde{\varphi}$. Therefore ψ extends φ .

Corollary 4.5 (Uniqueness of splitting fields): Any two splitting fields of $f(x) \in F[x]$ over F are isomorphic, and so we can say the splitting field of f(x) over F.

Proof: Let $\varphi: F \to F$ be the identity map, and apply Theorem 4.4.

 \bigcirc

Theorem 4.6: Let F be a field, $f(x) \in F[x]$ with $\deg(f) = n \ge 1$. If E/F is the splitting field of f(x), then $[E:F] \mid n!$.

Proof: By induction on $\deg(f)$. If $\deg(f) = 1$, choose E = F and we have $[E : F] \mid 1!$. Suppose $\deg(f) > 1$ and the statement holds for all g(x) with $\deg(g) < \deg(f)$. Two cases:

Case 1. f(x) is irreducible in F[x]. Let $\alpha \in E$ be a root of f(x), and by Theorem 3.3

$$F(\alpha) \cong F[x]/\langle f(x) \rangle$$
 and $[F(\alpha):F] = \deg(f) = n$

since f is the minimal polynomial of α . Write $f(x) = (x - \alpha)g(x)$ with $g(x) \in F(\alpha)[x]$ and $\deg(g) \leq n - 1$. Since E is the splitting field of g(x) over $F(\alpha)$, by induction $[E : F(\alpha)] \mid (n - 1)!$ which gives

$$[E:F] = [E:F(\alpha)][F(\alpha):F] = n \cdot [E:F(\alpha)] \Longrightarrow [E:F] \mid n!.$$

Case 2. f(x) is reducible in F[x]. Write f(x) = g(x)h(x) with $g(x), h(x) \in F[x]$ and $\deg(g) = m, \deg(h) = k, m+k=n,$ and $1 \le m, k < n$. Let K be the splitting field of g(x) over F. Since $\deg(g) = m$, by induction $[K:F] \mid m!$. Since E is the splitting field of h(x) over K, by induction $[E:K] \mid k!$. Therefore $[E:F] \mid m!k!$ which is a factor of n! since $\binom{n}{m} = \frac{n!}{m!k!}$ is an integer.

Aside: E is the splitting field of h(x) over K because E is the splitting field of f(x) over F, so K contains the roots of f not present in h, so adjoining all the roots of h to K must produce E. This is true even if K already contains some (or all) of the roots of h.

5 More Field Theory

5.1 Prime fields

Definition (Prime field): The **prime field** of a field F is the intersection of all subfields of F.

Theorem 5.1: If F is a field, its prime field is isomorphic to either \mathbb{Q} or \mathbb{Z}_p for a prime p.

Definition (Character): Given a field F, if its prime field is isomorphic to \mathbb{Q} (resp. \mathbb{Z}_p), we say F has characteristic 0 (resp. p), denoted $\operatorname{ch}(F) = 0$ (resp. $\operatorname{ch}(F) = p$).

Remark: When ch(F) = p, for $a, b \in F$,

$$(a+b)^p = a^p + {p \choose 1}a^{p-1}b + \dots + {p \choose p-1}ab^{p-1} + {p \choose p}b^p$$

= $a^p + b^p$

since $p \mid \binom{p}{i}$ for each i = 1, ..., p - 1.

Proof of Theorem 5.1: Let F_1 be a subfield of F. Consider the map

$$\chi: \mathbb{Z} \to F_1$$
$$n \mapsto n \cdot 1$$

where $1 \in F_1 \subseteq F$. Let $I = \operatorname{Ker} \chi$. Since $\mathbb{Z}/I \cong \operatorname{Im} \chi$, a subring of F_1 , \mathbb{Z}/I is an integral domain. Thus I is a prime ideal.

- If $I = \langle 0 \rangle$, then $\mathbb{Z} \subseteq F_1$. Since F_1 is a field, $\mathbb{Q} = \operatorname{Frac}(\mathbb{Z}) \subseteq F_1$.
- If $I = \langle p \rangle$ for a prime $p, \mathbb{Z}_p \cong \mathbb{Z}/\langle p \rangle \cong \operatorname{Im} \chi \subseteq F_1$.

Proposition 5.2: Let F be a field with $\operatorname{ch}(F) = p$ and $n \in \mathbb{N}$. Then $\varphi : F \to F, u \mapsto u^{p^n}$ is an injective \mathbb{Z}_p homorphism of fields. If F is finite, then φ is an isomorphism.

Proof:

TODO:

 \bigcirc

 \Diamond

5.2 Formal derivatives and repeated roots

Definition (Formal derivative): If F is a field, the monomials $\{1, x, x^2, ...\}$ form an F-basis for F[x]. Define the linear operator

$$\begin{split} D: F[x] \to F[x] 1 &\mapsto 0 \\ x^i \mapsto i x^{i-1}, \forall i \in \mathbb{N}. \end{split}$$

Notice that D(f+g) = D(f) + D(g) and D(fg) = D(f)g + fD(g). We call D(f) =: f' the formal derivative of f.

Theorem 5.3: Let F be a field, $f(x) \in F[x]$.

- (1) If ch(F) = 0, then $f'(x) = 0 \iff f(x) = c$ for some $c \in F$.
- (2) If ch(F) = p, then $f'(x) = 0 \iff f(x) = g(x^p)$ for some $g(x) \in F[x]$.

Proof:

(1) (\Leftarrow) is clear. For (\Longrightarrow) , say $f(x) = a_0 + ... + a_n x^n$. Then

$$f'(x) = a_1 + 2a_2x + \dots + na_nx^{n-1} = 0$$

implies that each $ia_i = 0$ for all i = 1, ..., n. Since $\operatorname{ch}(F) = 0$ we have $i \neq 0$, and so each $a_i = 0$. Therefore $f(x) = a_0$.

(2) (\iff) Write $g(x) = b_0 + b_1 x + \dots + b_m x^m \in F[x]$. Then

$$\begin{split} f(x) &= g(x^p) = b_0 + b_1 x^p + \ldots + b_m x^{pm} \\ \Longrightarrow f'(x) &= b_1 p x^{p-1} + \ldots + b_m p m x^{pm-1}. \end{split}$$

Since ch(F) = p, we have p = 0 so f'(x) = 0.

$$(\Longrightarrow)$$
 For $f(x) = a_0 + ... + a_n x^n$,

$$f'(x) = a_1 + 2a_2x + \dots + na_nx^{n-1} = 0$$

implies $ia_i = 0$. Since ch(F) = p, $ia_i = 0$ gives $a_i = 0$ unless $p \mid i$. Thus

$$f(x) = a_0 + a_p x^p + a_{2p} x^{2p} + \ldots + a_{mp} x^{mp} = g(x^p)$$

where
$$g(x) = a_0 + a_p x + \ldots + a_{mp} x^m$$
.

Definition (Repeated root): Let E/F be a field extension, $f(x) \in F[x]$. We say $\alpha \in E$ is a **repeated** root of f(x) if $f(x) = (x - \alpha)^2 g(x)$ for some $g(x) \in E[x]$.

Theorem 5.4: Let E/F be a field extension, $f(x) \in F[x]$, $\alpha \in E$. Then α is a repeated root of f(x) iff $x - \alpha$ divides both f and f', i.e. $(x - \alpha) \mid \gcd(f, f')$.

Proof: (\Longrightarrow) Suppose $f(x) = (x - \alpha)^2 g(x)$. Then

$$f'(x) = 2(x - \alpha)g(x) + (x - \alpha)^2 g'(x)$$

= $(x - \alpha)[2g(x) + (x - \alpha)g'(x)],$

so $(x-\alpha) \mid f, f'$.

 (\Leftarrow) Suppose $(x-\alpha) \mid f, f'$. Write $f(x) = (x-\alpha)h(x)$ with $h(x) \in E[x]$. Then

$$f'(x) = h(x) + (x - \alpha)h'(x)$$

$$\implies h(\alpha) = f'(\alpha) - (\alpha - \alpha)h'(\alpha) = 0,$$

since $(x - \alpha) \mid f'$. So α is a root of h, giving $(x - \alpha) \mid h$, hence $f(x) = (x - \alpha)^2 g(x)$ for some $g(x) \in E[x]$.

Definition (Separable): Let F be a field, $f(x) \in F[x] \setminus \{0\}$. We say f(x) is **separable over** F if it has no repeated roots in any extension of F.

Example: f(x) = (x-4)(x-9) is separable in $\mathbb{Q}[x]$.

Corollary 5.5: Let F be a field and $f(x) \in F[x]$. f(x) is separable iff gcd(f, f') = 1.

Remark: The condition of repeated roots depends on the extension of F while gcd involves only F.

Proof: Note $gcd(f, f') \neq 1 \iff (x - \alpha) \mid gcd(f, f')$ for some α in some extension of F. By Theorem 5.4, the result follows.

Corollary 5.6: If ch(F) = 0, then every irreducible $r(x) \in F[x]$ is separable.

Proof: Let $r(x) \in F[x]$ be irreducible. Then

$$\gcd(r,r') = \begin{cases} 1 \text{ if } r' \neq 0 \\ r \text{ if } r' = 0 \end{cases}$$

If r'(x) = 0, then r(x) = c for $c \in F$, but $\deg(r) \ge 1$ as r is irreducible, so we must have $\gcd(r, r') = 1$ and the result follows by Corollary 5.5.

 $\begin{aligned} &Example: \Phi_p(x) = 1 + x + \ldots + x^{p-1} = \frac{x^p - 1}{x - 1} \text{ is irreducible, hence separable. Recall the roots of } \Phi_p(x) \text{ are } \\ &\zeta_p, \zeta_p^2, \ldots, \zeta_p^{p-1} \text{ which are all distinct.} \end{aligned}$