

# *Compilers*

# *Design and Implementation*

## Introduction to Optimization

## Control-Flow Analysis

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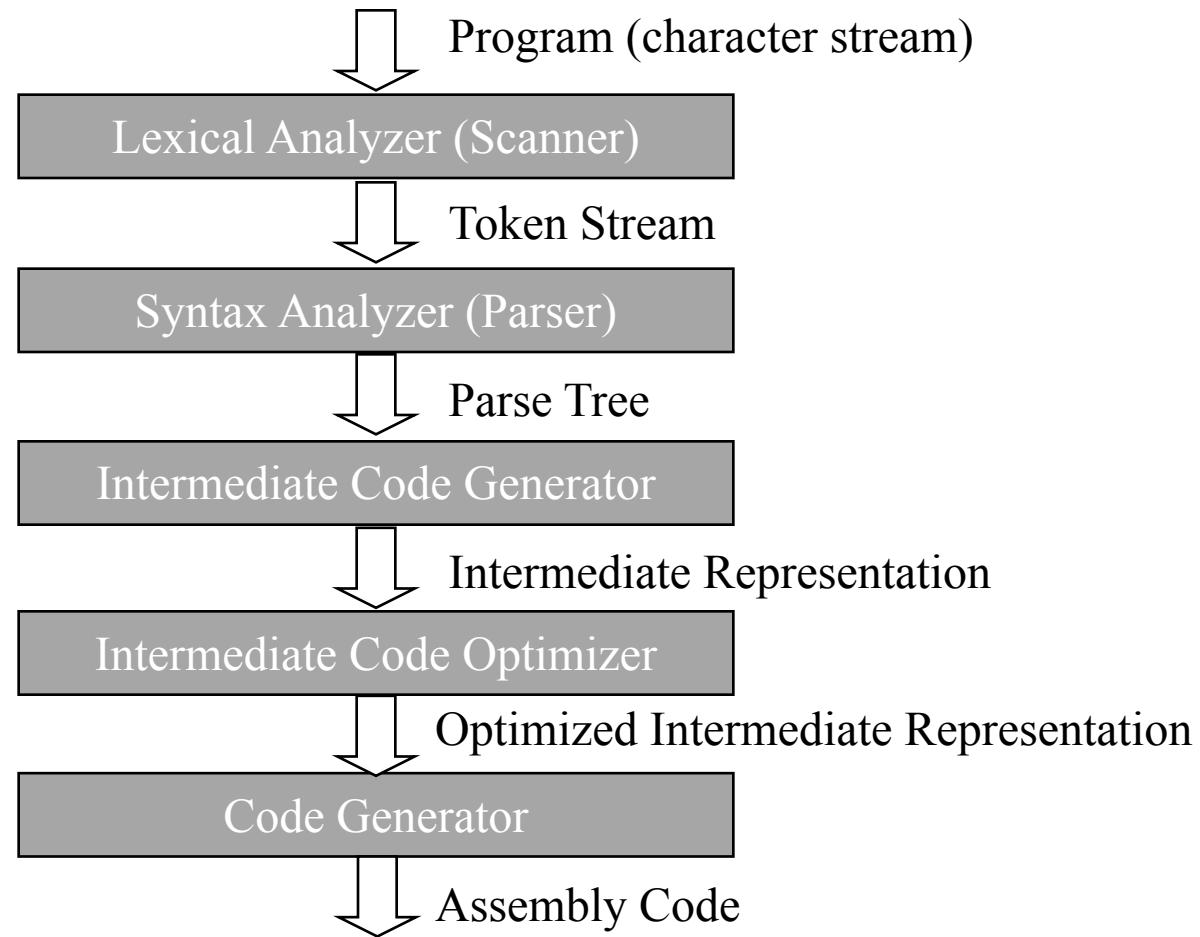
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# Outline

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- Overview of Optimizations
- Control-Flow Analysis
- Dominators
- Back Edges and Natural Loops
- Dominance Frontier and SSA-Form

# Anatomy of a Compiler



# Example

---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}
```

# Example in Assembly

```
test:  
    subu $fp, 16  
    sw zero, 0($fp)           # x = 0  
    sw zero, 4($fp)           # y = 0  
    sw zero, 8($fp)           # i = 0  
  
lab1:  
    mul $t0, $a0, 4            # a*4  
    div $t1, $t0, $a1          # a*4/b  
    lw $t2, 8($fp)             # i  
    mul $t3, $t1, $t2          # a*4/b*i  
    lw $t4, 8($fp)             # i  
    addui $t4, $t4, 1           # i+1  
    lw $t5, 8($fp)             # i  
    addui $t5, $t5, 1           # i+1  
    mul $t6, $t4, $t5          # (i+1)*(i+1)  
    addu $t7, $t3, $t6          # a*4/b*i + (i+1)*(i+1)  
    lw $t8, 0($fp)              # x  
    add $t8, $t7, $t8            # x = x + a*4/b*i + (i+1)*(i+1)  
    sw $t8, 0($fp)  
    ...
```

# Example in Assembly

---

```
...  
lw    $t0, 4($fp)          # y  
mul $t1, $t0, a1          # b*y  
lw    $t2, 0($fp)          # x  
add $t2, $t2, $t1          # x = x + b*y  
sw    $t2, 0($fp)  
  
lw    $t0, 8($fp)          # i  
addui $t0, $t0, 1          # i+1  
sw    $t0, 8($fp)  
ble   $t0, $a3, lab1  
  
lw    $v0, 0($fp)  
addu $fp, 16  
b    $ra
```

# Let's Optimize...

---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}
```

# Constant Propagation

---

```
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# Algebraic Simplification

---

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    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x;
    }
    return x;
}
```

# Copy Propagation

---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x;
    }
    return x;
}
```

# Copy Propagation

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```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);

    }
    return x;
}
```

# Common Sub-Expression Elimination (CSE)

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y;
    x = 0;
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        x = x + (4*a/b)*i + (i+1)*(i+1);
    }
    return x;
}
```

# Common Sub-Expression Elimination (CSE)

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y, t;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + (4*a/b)*i + t * t;
    }
    return x;
}
```

# Dead Code Elimination

---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y, t;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + (4*a/b)*i + t * t;

    }
    return x;
}
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}
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    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + (4*a/b)*i + t * t;

    }
    return x;
}
```

# Loop Invariant Removal

---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, t;
    x = 0;

    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + (4*a/b)*i + t * t;

    }
    return x;
}
```

# Loop Invariant Removal

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```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, t;
    x = 0;

    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + (4*a/b) *i + t * t;

    }
    return x;
}
```

# Loop Invariant Removal

---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, t, u;
    x = 0;
    u = (4*a/b);
    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + u *i + t * t;

    }
    return x;
}
```

# Strength Reduction

---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, t, u;
    x = 0;
    u = (4*a/b);
    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + u*i + t * t;

    }
    return x;
}
```

# Strength Reduction

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int sumcalc(int a, int b, int N)
{
    int i;
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    x = 0;
    u = (4*a/b);
    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + u*i + t * t;
    }
    return x;
}
```

$u^0, v=0,$   
 $u^1, v=v+u,$   
 $u^2, v=v+u,$   
 $u^3, v=v+u,$   
 $u^4, v=v+u,$   
...   ...

# Strength Reduction

---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, t, u, v;
    x = 0;
    u = (4*a/b);
    v = 0;
    for(i = 0; i <= N; i++) {
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        x = x + u*i + t*t;
        v = v + u;
    }
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int sumcalc(int a, int b, int N)
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    int i;
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    x = 0;
    u = (4*a/b);
    v = 0;
    for(i = 0; i <= N; i++) {
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        x = x + v + t*t;
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    }
    return x;
}
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# Strength Reduction

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```
int sumcalc(int a, int b, int N)
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    int i;
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    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + v + t*t;
        v = v + u;
    }
    return x;
}
```

# Strength Reduction

---

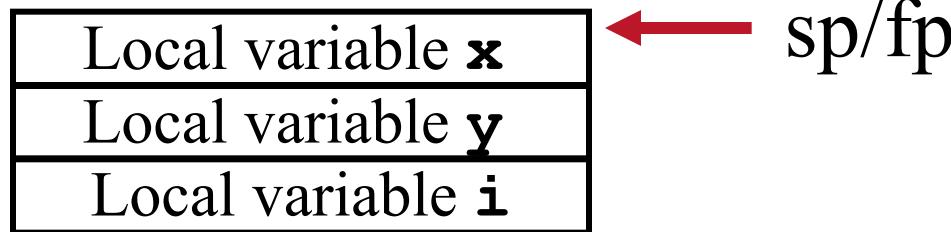
```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, t, u, v;
    x = 0;
    u = (4*a/b);
    v = 0;
    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + v + t*t;
        v = v + u;
    }
    return x;
}
```

# Strength Reduction

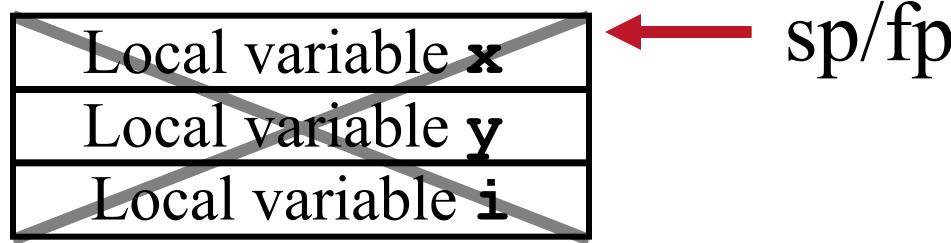
---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, t, u, v;
    x = 0;
    u = (a<<2)/b;
    v = 0;
    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + v + t*t;
        v = v + u;
    }
    return x;
}
```

# Register Allocation



# Register Allocation



```
$t9 = x
$t8 = t
$t7 = u
$t6 = v
$t5 = i
```

# Optimized Example

---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, t, u, v;
    x = 0;
    u = ((a<<2)/b);
    v = 0;
    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + v + t*t;
        v = v + u;
    }
    return x;
}
```

# Optimized Example in Assembly

```
test:  
    subu $fp, 16  
    add  $t9, zero, zero          # x = 0  
    sll  $t0, $a0, 2             # a<<2  
    div  $t7, $t0, $a1           # u = (a<<2) / b  
    add  $t6, zero, zero         # v = 0  
    add  $t5, zero, zero         # i = 0  
  
lab1:                      # for(i=0; i<N; i++)  
    addui$t8, $t5, 1            # t = i+1  
    mul   $t0, $t8, $t8          # t*t  
    addu $t1, $t0, $t6           # v + t*t  
    addu $t9, $t9, $t1           # x = x + v + t*t  
  
    addu $6, $6, $7              # v = v + u  
  
    addui$t5, $t5, 1            # i = i+1  
    ble   $t5, $a3, lab1  
  
    addu $v0, $t9, zero  
    addu $fp, 16  
    b     $ra
```

# Optimized Example in Assembly

## Unoptimized Code

```

test:
    subu    $fp, 16
    sw      zero, 0($fp)
    sw      zero, 4($fp)
    sw      zero, 8($fp)
lab1:
    mul     $t0, $a0, 4
    div     $t1, $t0, $a1
    lw      $t2, 8($fp)
    mul     $t3, $t1, $t2
    lw      $t4, 8($fp)
    addui   $t4, $t4, 1
    lw      $t5, 8($fp)
    addui   $t5, $t5, 1
    mul     $t6, $t4, $t5
    addu   $t7, $t3, $t6
    lw      $t8, 0($fp)
    add     $t8, $t7, $t8
    sw      $t8, 0($fp)
    lw      $t0, 4($fp)
    mul     $t1, $t0, $a1
    lw      $t2, 0($fp)
    add     $t2, $t2, $t1
    sw      $t2, 0($fp)
    lw      $t0, 8($fp)
    addui   $t0, $t0, 1
    sw      $t0, 8($fp)
    ble    $t0, $a3, lab1
    lw      $v0, 0($fp)
    addu   $fp, 16
    b      $ra

```

$$\begin{aligned}
& 4*ld/st + 2*add/sub + br + \\
& N*(9*ld/st + 6*add/sub + 4* mul + div + br) \\
& = 7 + N*21
\end{aligned}$$

## Optimized Code

```

test:
    subu    $fp, 16
    add    $t9, zero, zero
    sll     $t0, $a0, 2
    div     $t7, $t0, $a1
    add    $t6, zero, zero
    add    $t5, zero, zero
lab1:
    addui   $t8, $t5, 1
    mul     $t0, $t8, $t8
    addu   $t1, $t0, $t6
    addu   $t9, $t9, $t1
    addu   $t6, $t6, $t7
    addui   $t5, $t5, 1
    ble    $t5, $a3, lab1
    addu   $v0, $t9, zero
    addu   $fp, 16
    b      $ra

```

$$\begin{aligned}
& 6*add/sub + shift + div + br + \\
& N*(5*add/sub + mul + br) \\
& = 9 + N*7
\end{aligned}$$

# Outline

---

- Overview of Optimizations
- Control-Flow Analysis
- Dominators
- Back Edges and Natural Loops

# Constant Propagation

---

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
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    }
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}
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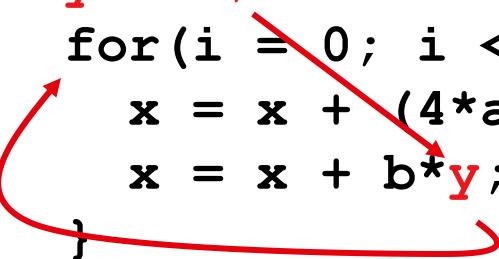
# Implementing Constant Propagation

---

- Find an RHS expression that is a Constant
- Replace the use of the LHS variable with the RHS Constant given that:
  - All paths to the use(s) of LHS variable pass through the assignment to the LHS with the constant
  - There are no intervening definition of the RHS variable
- Need to know the “Control-Flow” of the program

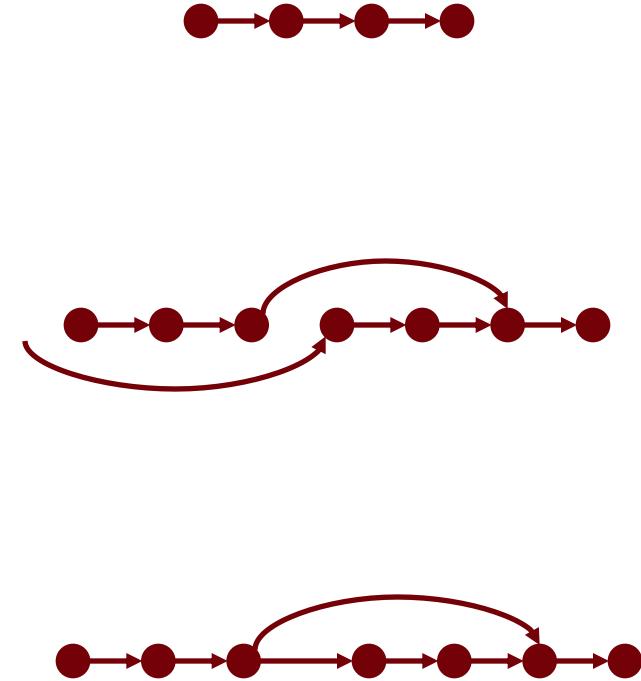
# Implementing Constant Propagation

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int sumcalc(int a, int b, int N)
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    int i;
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    x = 0;
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    for(i = 0; i <= N; i++) {
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        x = x + b*y;
    }
    return x;
}
```



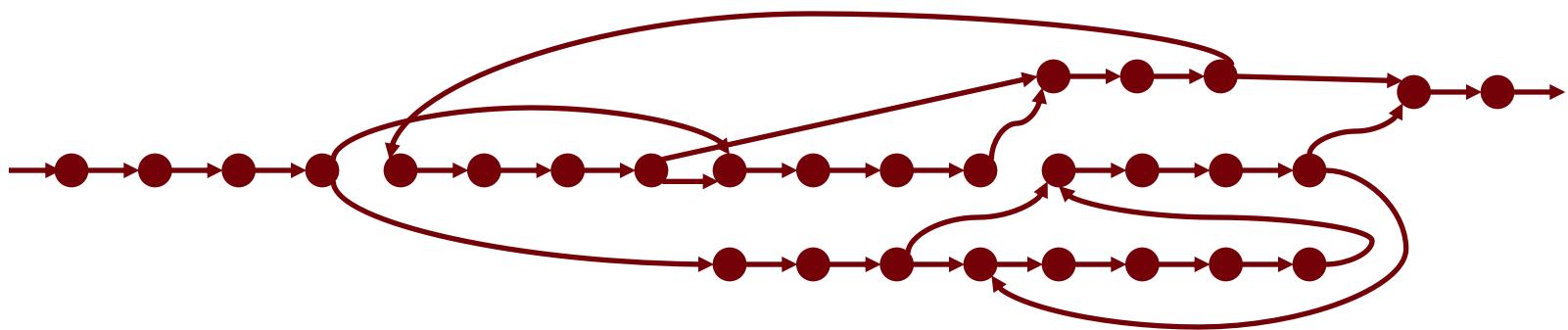
# Representing Program Control Flow

- Most instructions
  - execute the next instruction
  - straight line control-flow
- Jump instructions
  - execute from different location
  - jump in control-flow
- Branch instructions
  - execute either the next instruction or from a different location
  - fork in the control-flow



# Representing Control Flow

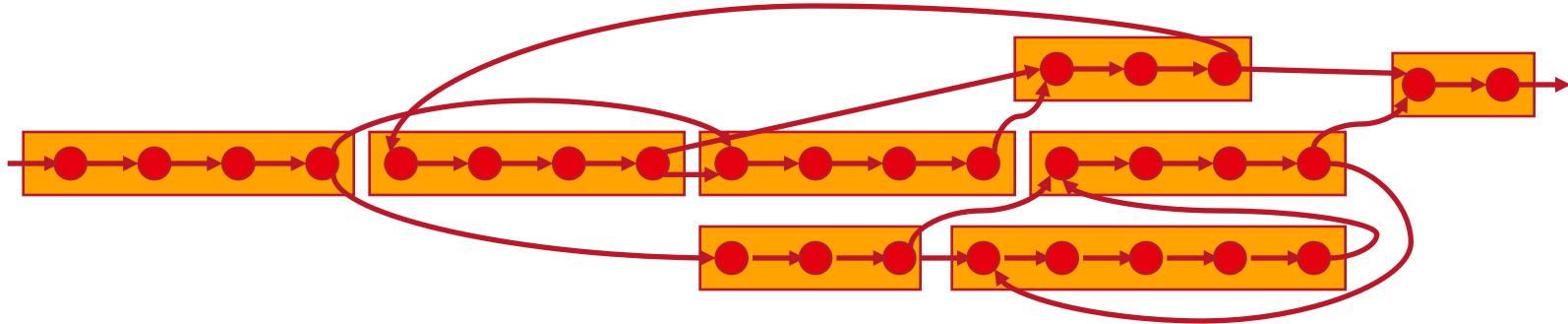
- Forms a Graph



- A Very Large Graph
- Observations:
  - lots of straight-line connections
  - simplify the graph by grouping some instructions

# Representing Control Flow

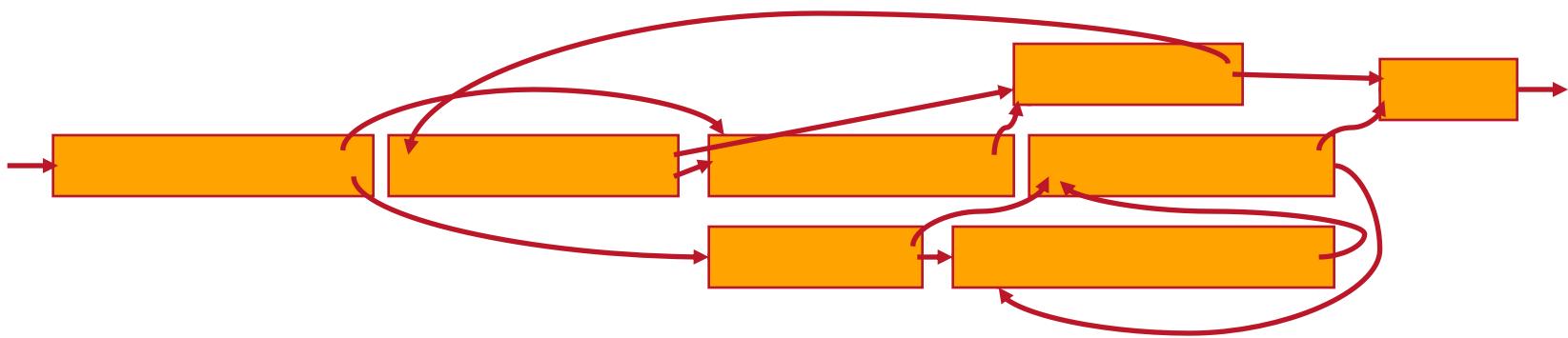
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# Representing Control Flow

- Forms a Graph



- A Very Large Graph
- Observations:
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# Basic Blocks

---

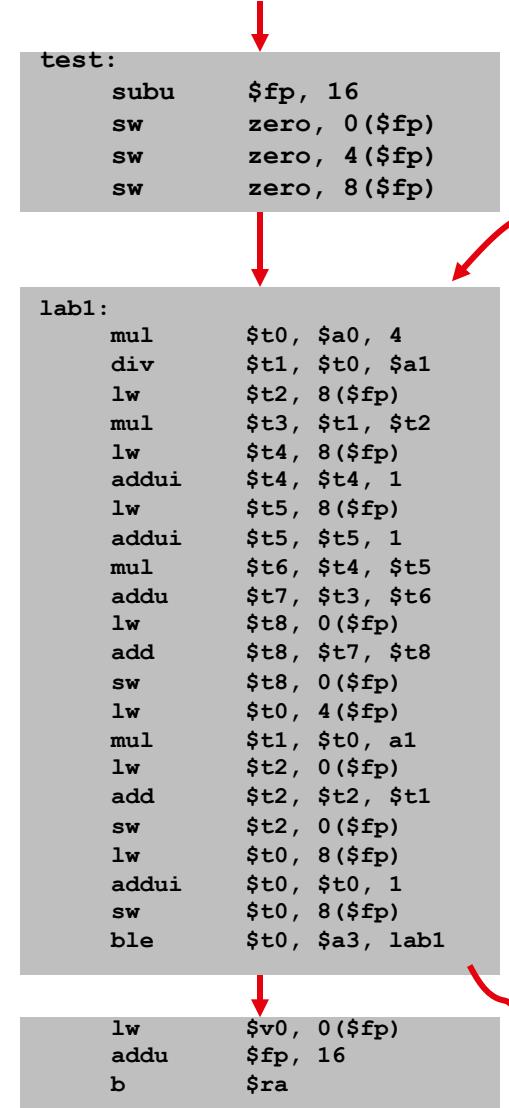
- **Def:** A *Maximal Sequence of Instructions* such that:
  1. Only the first instruction can be reached from outside the basic block
  2. All the instructions are executed consecutively *iff* the first instruction is executed
    - No branch or jump instructions in the basic block
    - Except the last instruction
    - No labels within the basic block
    - Except before the first instruction

# Basic Blocks: Algorithm

---

- Input: Sequence of Three-Address Instructions
- Output: A list of Basic Blocks
- Algorithm:
  - Determine the set of *leader instructions* - the head of each basic block - using the following:
    - The first statement of the program is a *leader*
    - Any statement that is the target of a goto (either conditional or not) is a *leader instructions*
    - Any statement that immediately follows a goto or unconditional goto statement is a *leader instruction*
  - For each *leader instruction*, its basic block consists of the *leader instruction* and all the statements up to but not including the next *leader instruction* or the end of the program.

# Basic Blocks: Example



# Control Flow Graph (CFG)

---

- Control-Flow Graph  $G = \langle N, E \rangle$
- Nodes( $N$ ): Basic Blocks
- Edges( $E$ ):  $(x,y) \in E$  iff first instruction in the Basic Block  $y$  follows the last instruction in the basic block  $x$ 
  - First instruction in  $y$  is the target of branch or jump instruction (last instruction) in the basic block  $x$
  - first instruction of  $y$  is next after the last instruction of  $x$  in memory and the last instruction of  $x$  is not a jump instruction

# Control Flow Graph (CFG)

---

- Block with the first instruction of the procedure is the entry node (block with the procedure label)
- The blocks with the return instruction are exit nodes.
  - Can make a single exit node by adding a special node

# Why Control-Flow Analysis ?

---

- Uncover Flow Structure:
  - Loops
  - Convergence and Divergence of Paths
- Loops are Important to Optimize
  - Programs spend a lot of times in loops and recursive cycles
  - Many special optimizations can be done on loops
- Programmers organize code using structured control-flow (if-then-else, for-loops *etc*)
  - Optimizer can exploit this
  - *but* need to discover them first

# Challenges in Control-Flow Analysis

- Unstructured Control Flow
  - Use of goto's by the programmer
  - Only way to build certain control structures
- Obscured Control Flow
  - Method Invocations
  - Procedure Variables
  - Higher-Order Functions
  - Jump Tables

```
L1: x = 0
    if (y > 0) goto L3
L2: if (y < 0) goto L1
L3: y = y + z
    goto L2
```

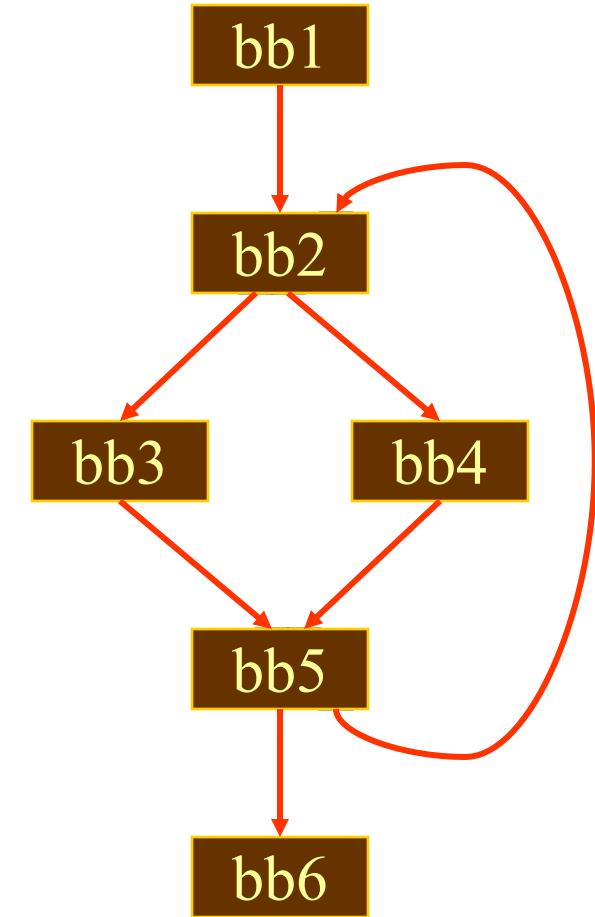
**Myobject->run()**

# Building CFGs

---

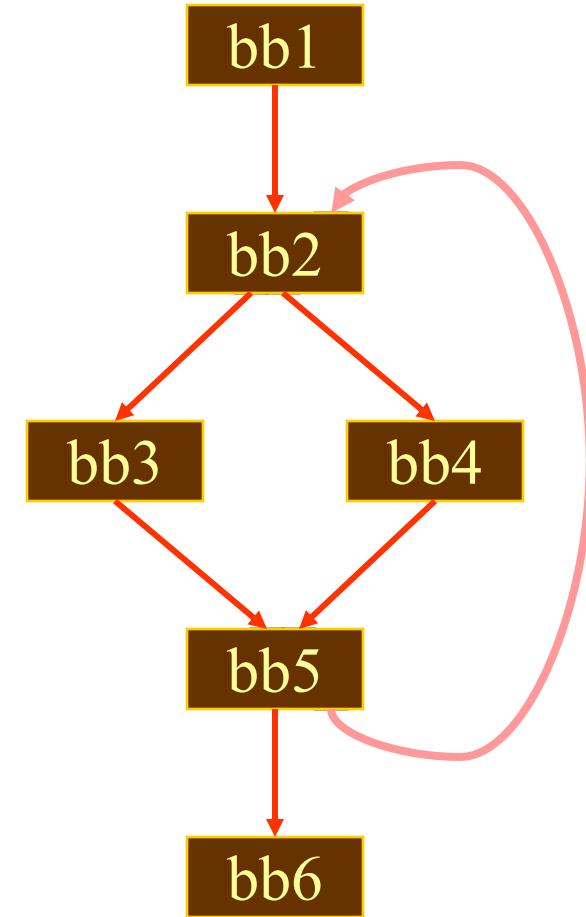
- Simple:
  - Programs are written in structured control flow
  - Has simple CFG patterns
- Not so!
  - *Gotos* can create different control-flow patterns than what is given by the structured control-flow
  - Need to perform analyses to identify true control-flow patterns

# Identifying Recursive Structures Loops



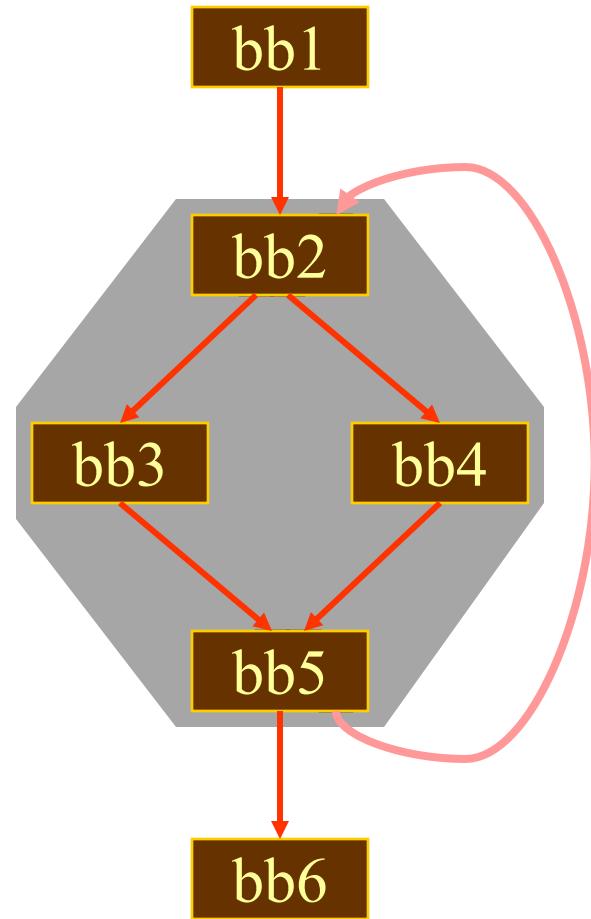
# Identifying Recursive Structures Loops

- Identify Back Edges



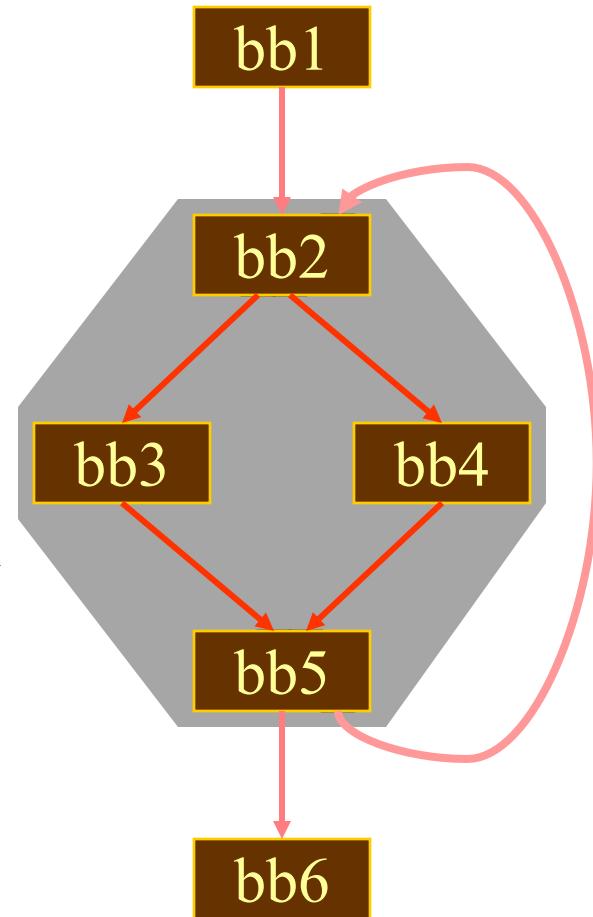
# Identifying Recursive Structures Loops

- Identify **Back** Edges
- Find the nodes and edges in the loop given by the Back Edge



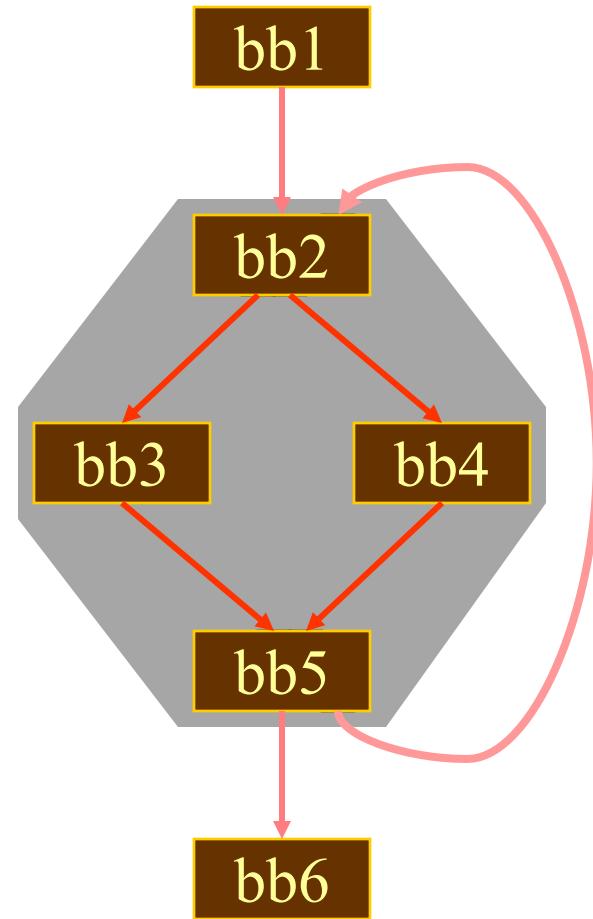
# Identifying Recursive Structures Loops

- Identify **Back Edges**
- Find the nodes and edges in the loop given by the Back Edge
- Other than the Back Edge
  - Incoming edges only to the basic block with the back edge head
  - one outgoing edge from the basic block with the tail of the back edge



# Identifying Recursive Structures Loops

- Identify **Back Edges**
- Find the nodes and edges in the loop given by the Back Edge
- Other than the Back Edge
  - Incoming edges only to the basic block with the back edge head
  - one outgoing edge from the basic block with the tail of the back edge
- How do I find the Back Edges?



# Outline

---

- Overview of Optimizations
- Control-Flow Analysis
- Dominators
- Back Edges and Natural Loops

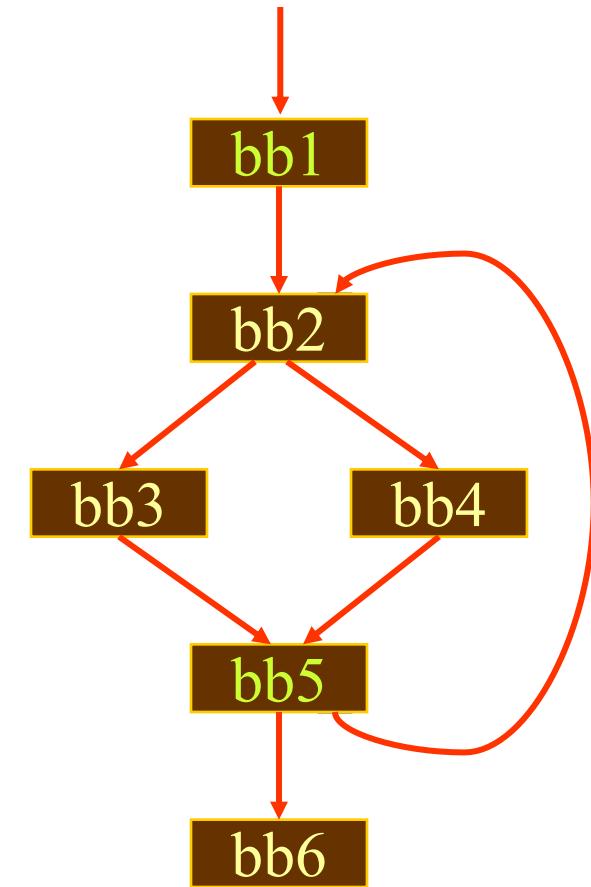
# Dominators

---

- Node x **Dominates** node y ( $x \text{ dom } y$ ) if every possible execution path from entry to node y includes node x

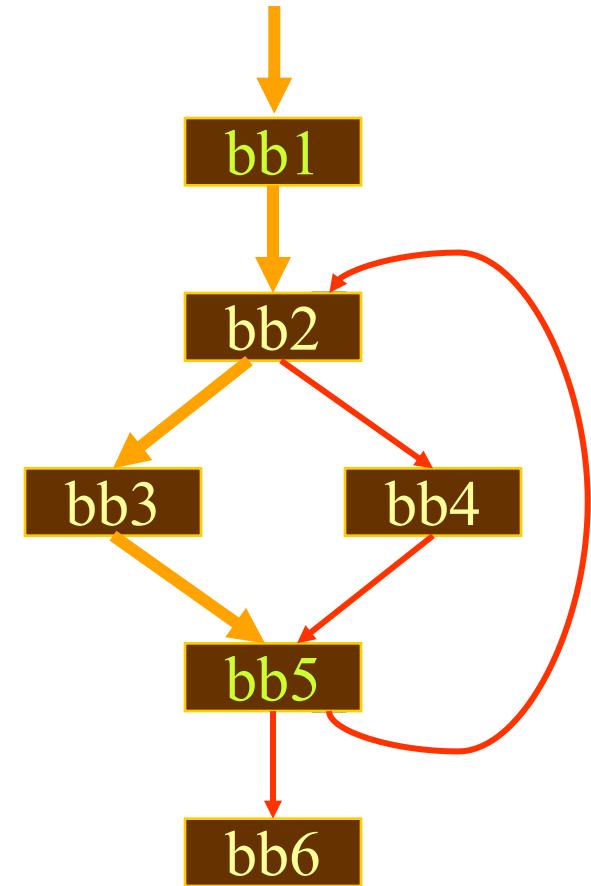
# Dominators

- Does bb1 dom bb5?



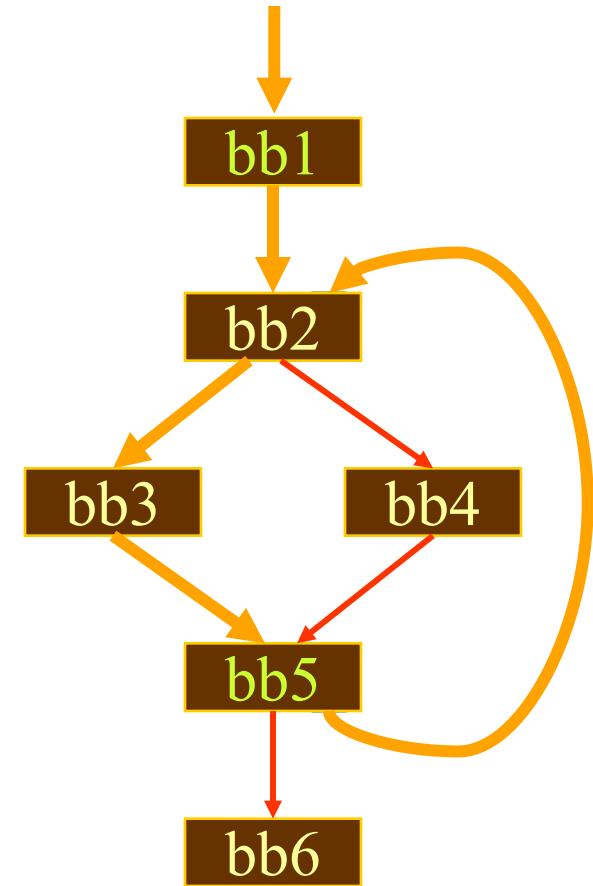
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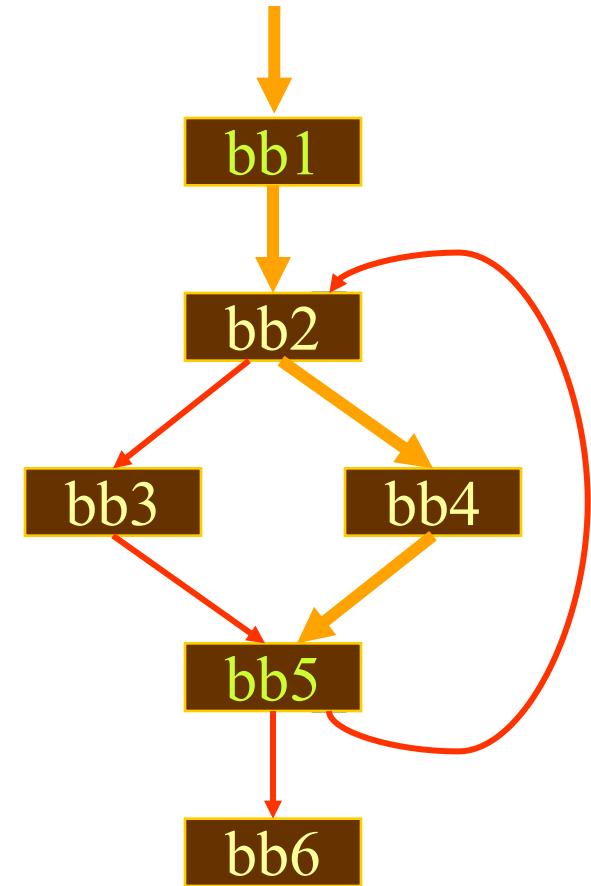
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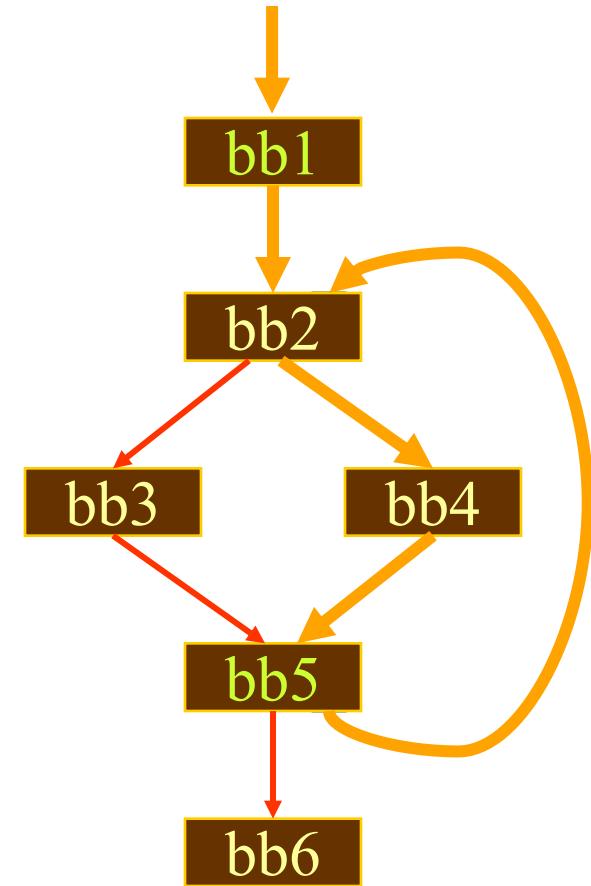
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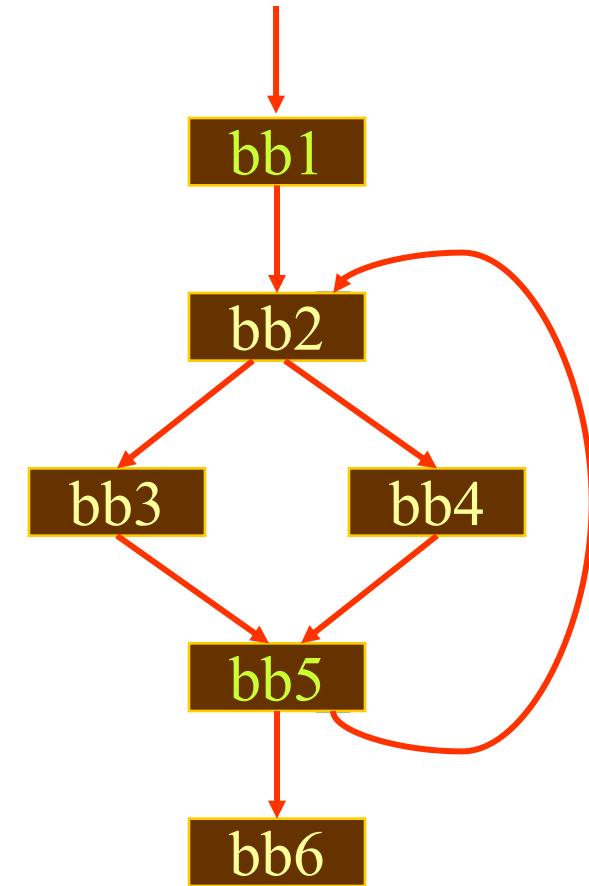
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- Does bb1 dom bb5?



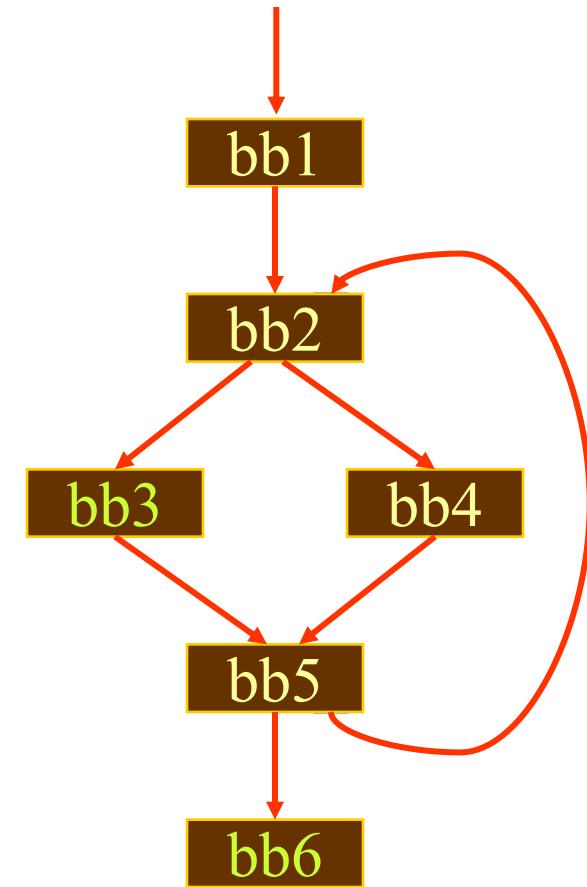
# Dominators

- Does bb1 dom bb5? *Yes!*



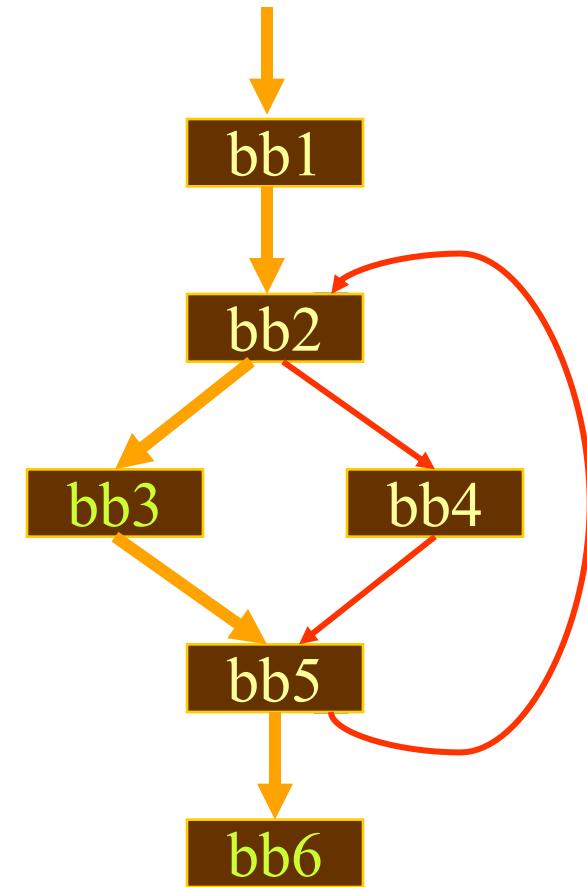
# Dominators

- Does bb1 dom bb5? *Yes!*
- Does bb3 dom bb6?



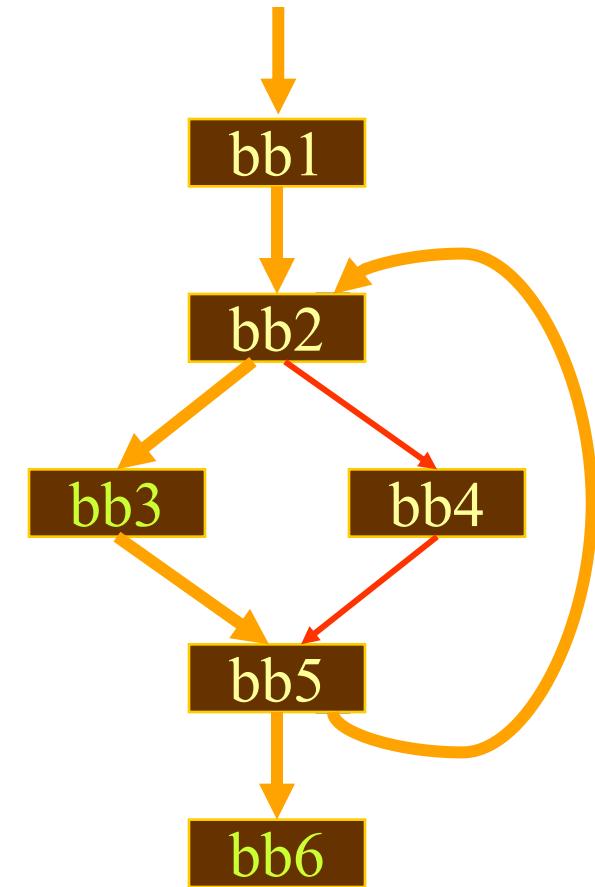
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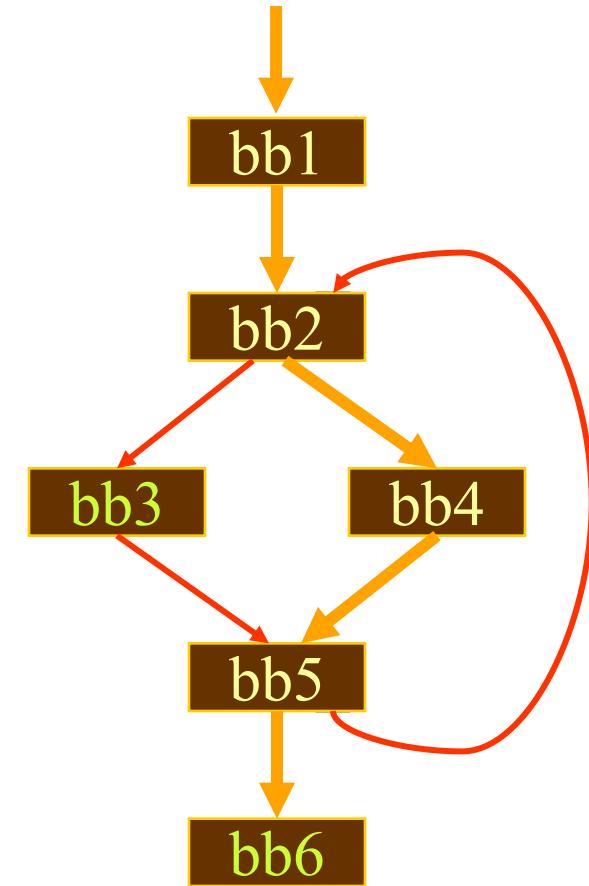
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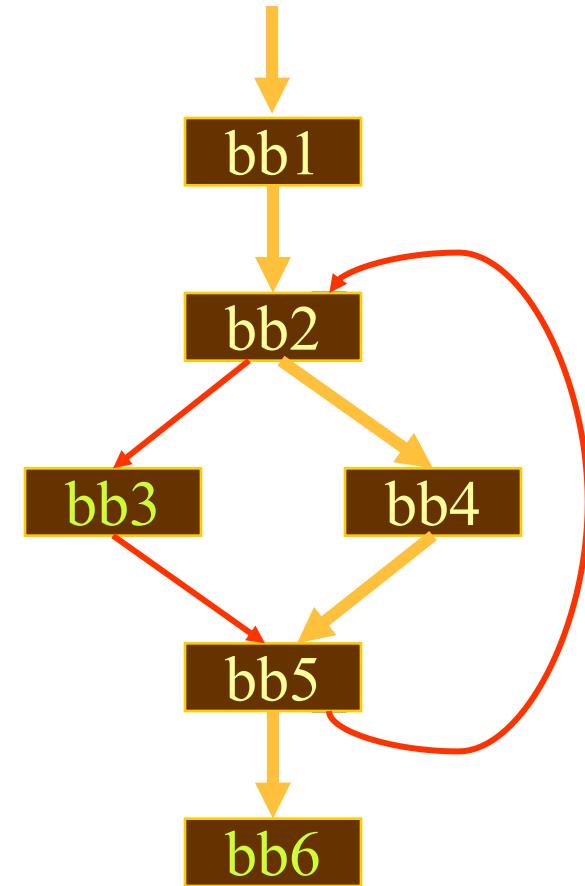
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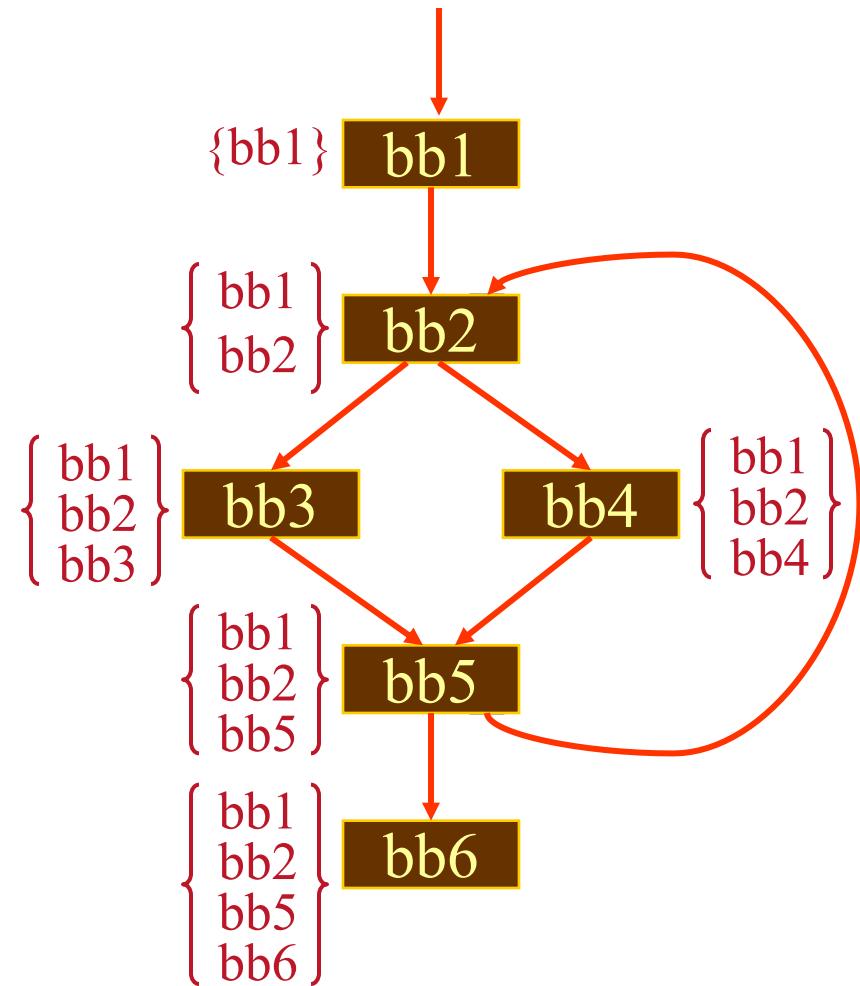


# Dominators

- Does bb1 dom bb5? ***Yes!***
- Does bb3 dom bb6? ***No!***

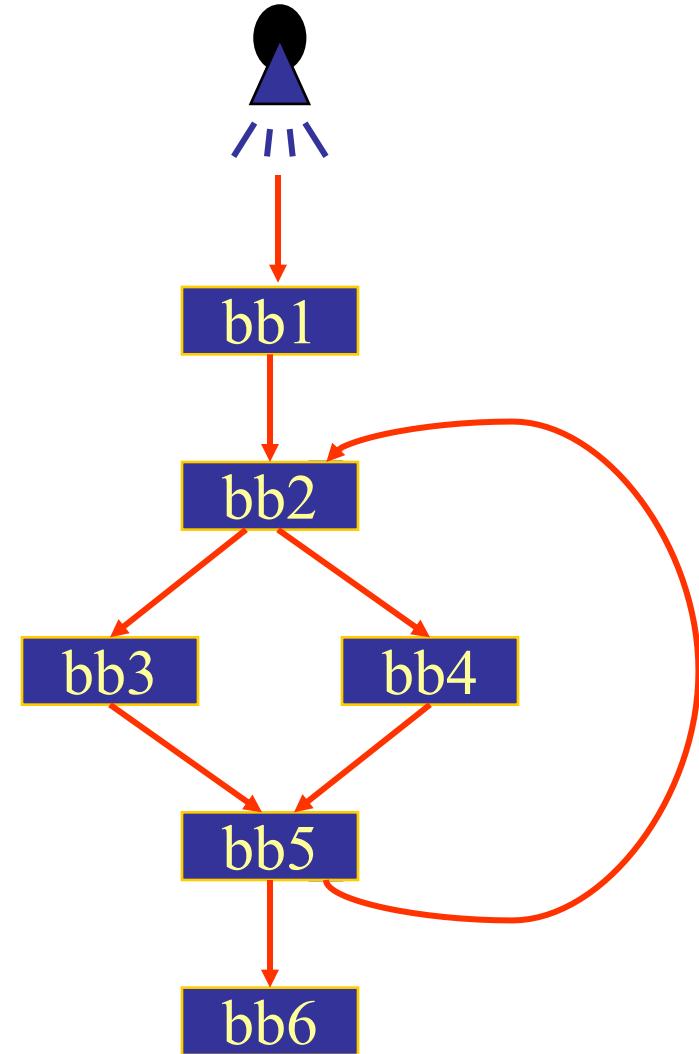


# Dominators



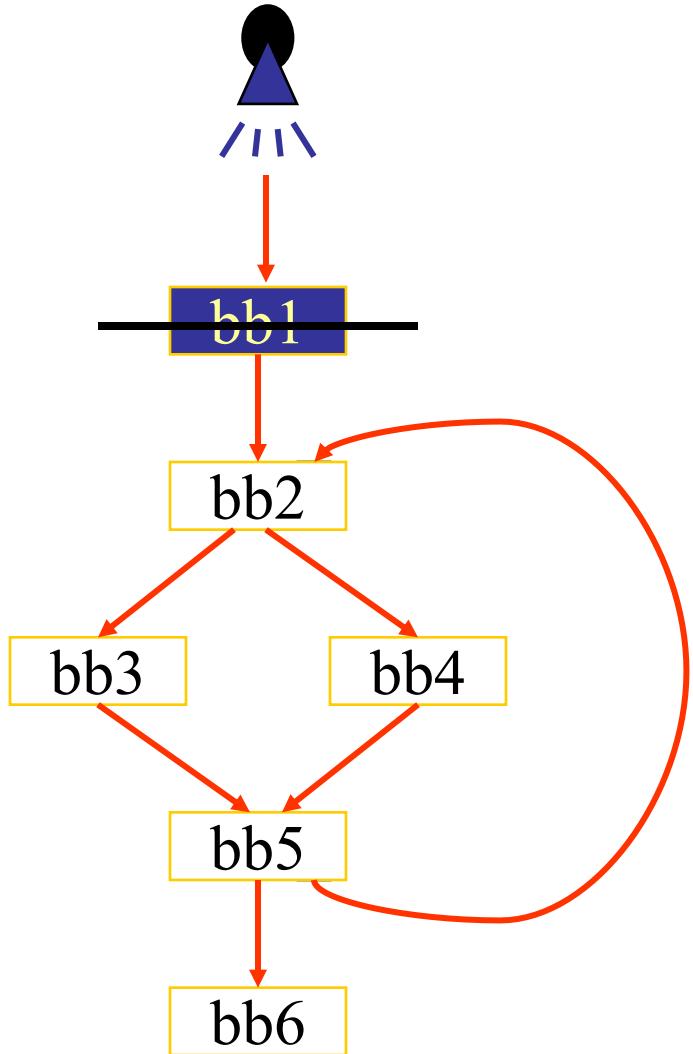
# Dominators - Intuition

- Imagine a source of light at the start node and that edges are optical fibers
- To find which nodes are dominated by a given node **a**, place an **opaque barrier** at **a** and observe which nodes become dark.



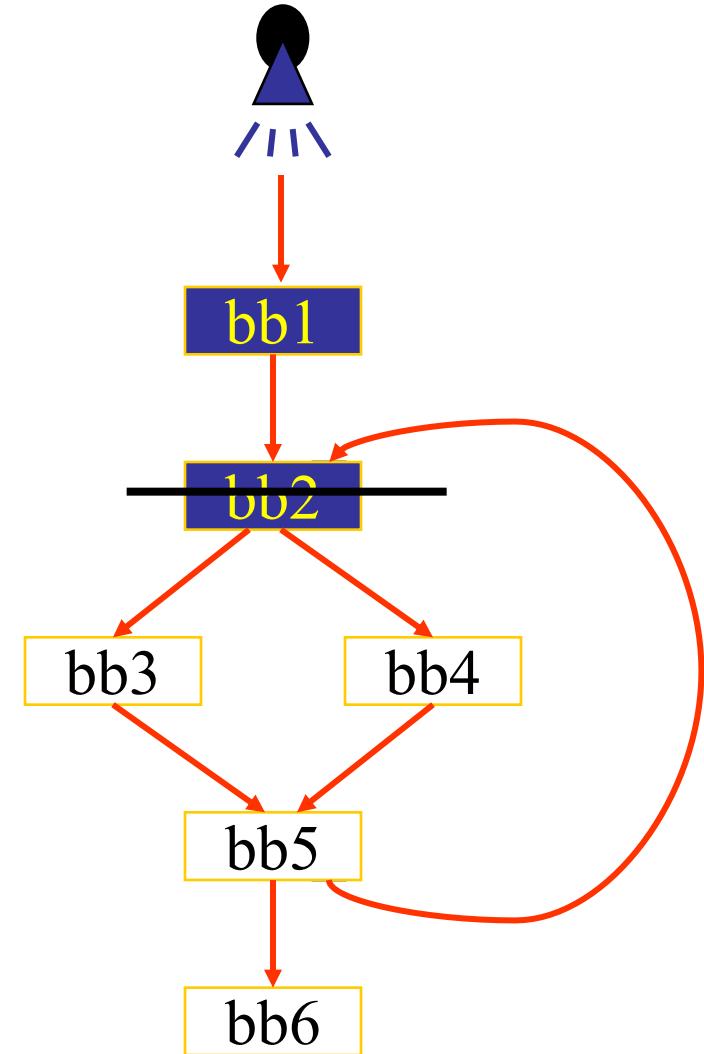
# Dominators - Intuition

- Clearly, bb1 dominates all nodes in the graph



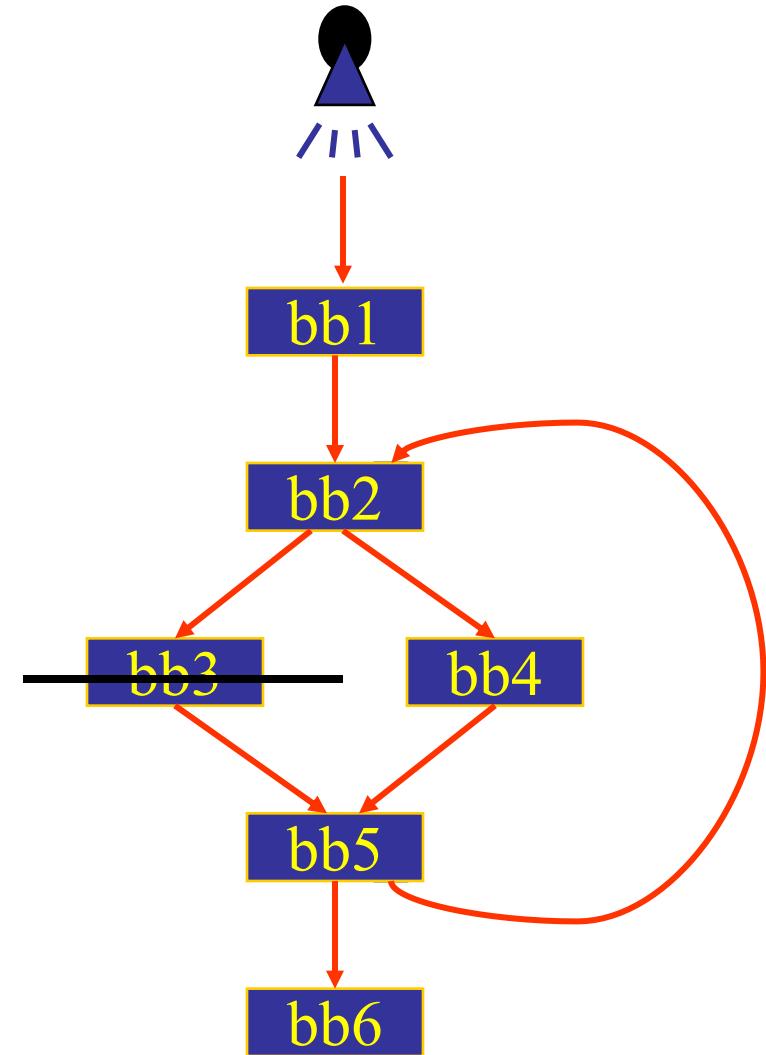
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- Clearly, bb1 dominates all nodes in the graph
- Block bb2 dominates bb3, bb4, bb5 and bb6



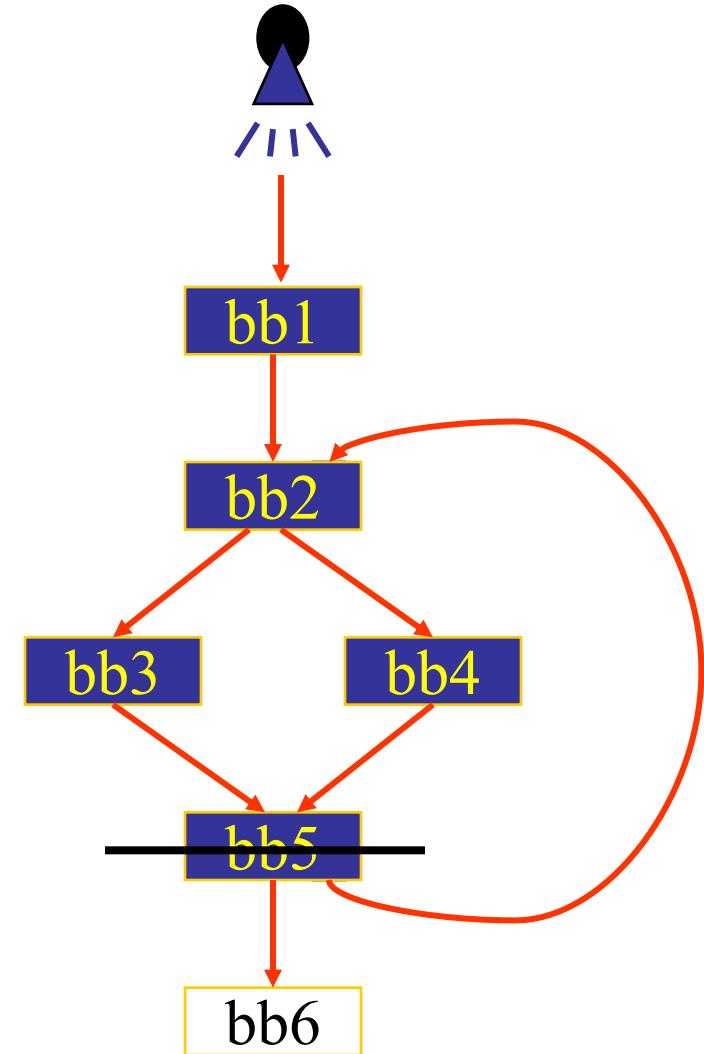
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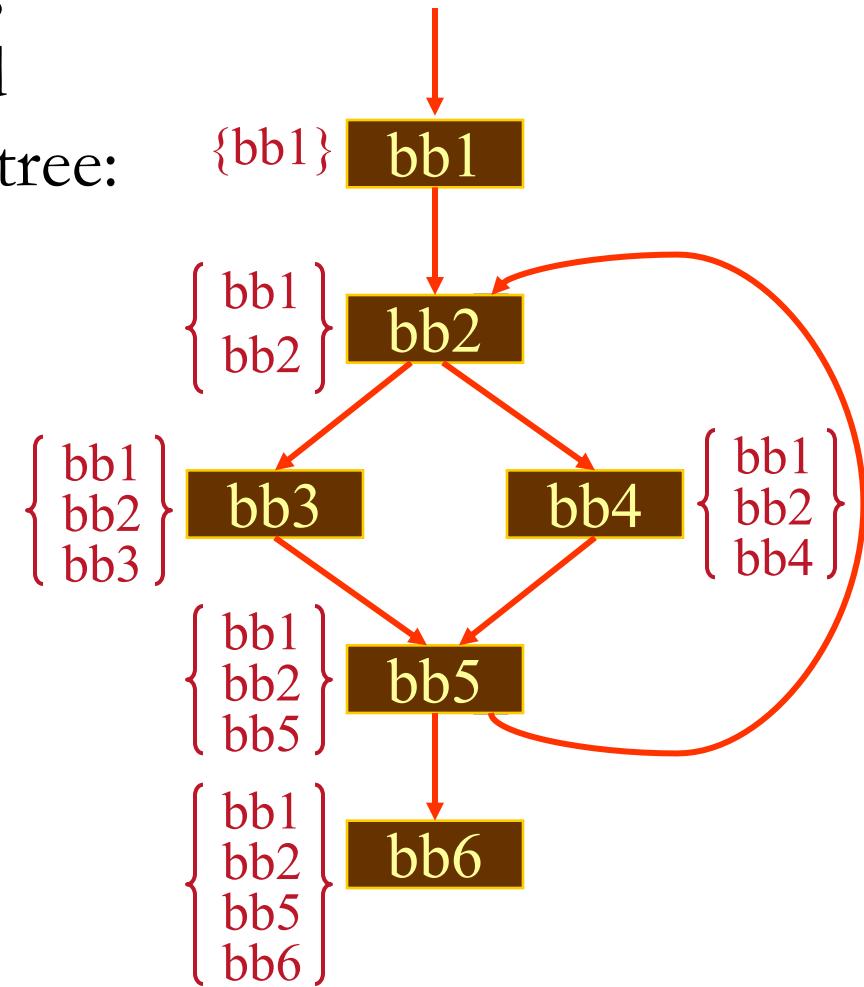
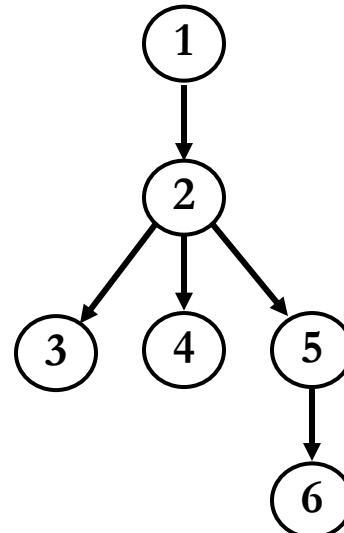
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- Clearly, bb1 dominates all nodes in the graph
- Block bb2 dominates bb3, bb4, bb5 and bb6
- Block bb3 (bb4) dominates no other block
- Block bb5 dominates bb6



# Dominators Tree

- Dominance Relationship, direct (or immediate) and indirect represented as a tree:



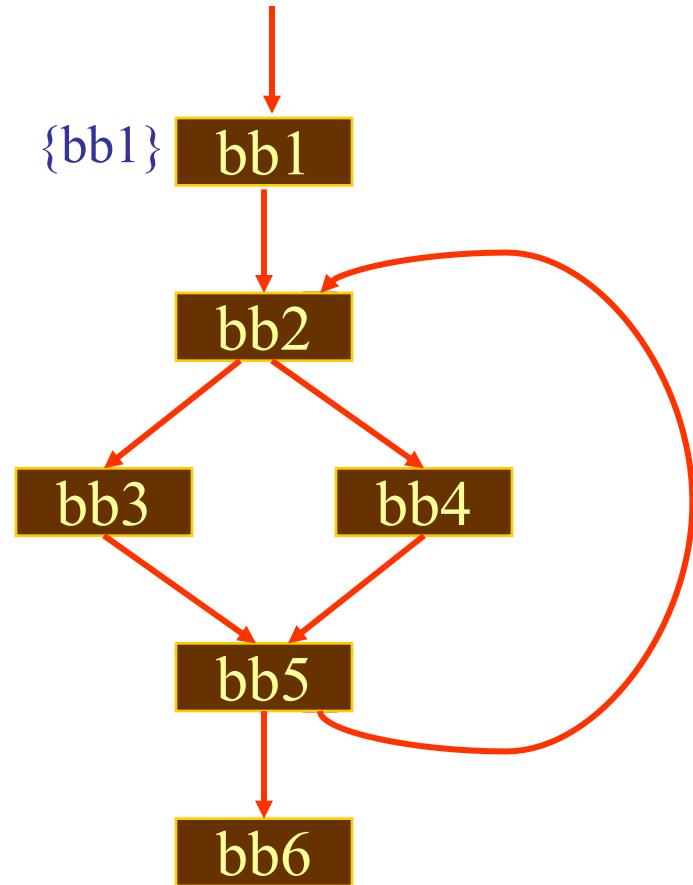
# Computing Dominators

---

- $a \text{ dom } b$  iff
  - $a = b$  or
  - $a$  is the **unique immediate predecessor** of  $b$  or
  - $a$  is a **dominator of all immediate predecessor** of  $b$
- Algorithm
  - Make dominator set of the entry node itself
  - Make dominator set of the remainder node to be all graph nodes
  - Visit the nodes in any order
  - Make dominator set of the current node intersection of the dominator sets of the predecessor nodes + the current node
  - Repeat until no change

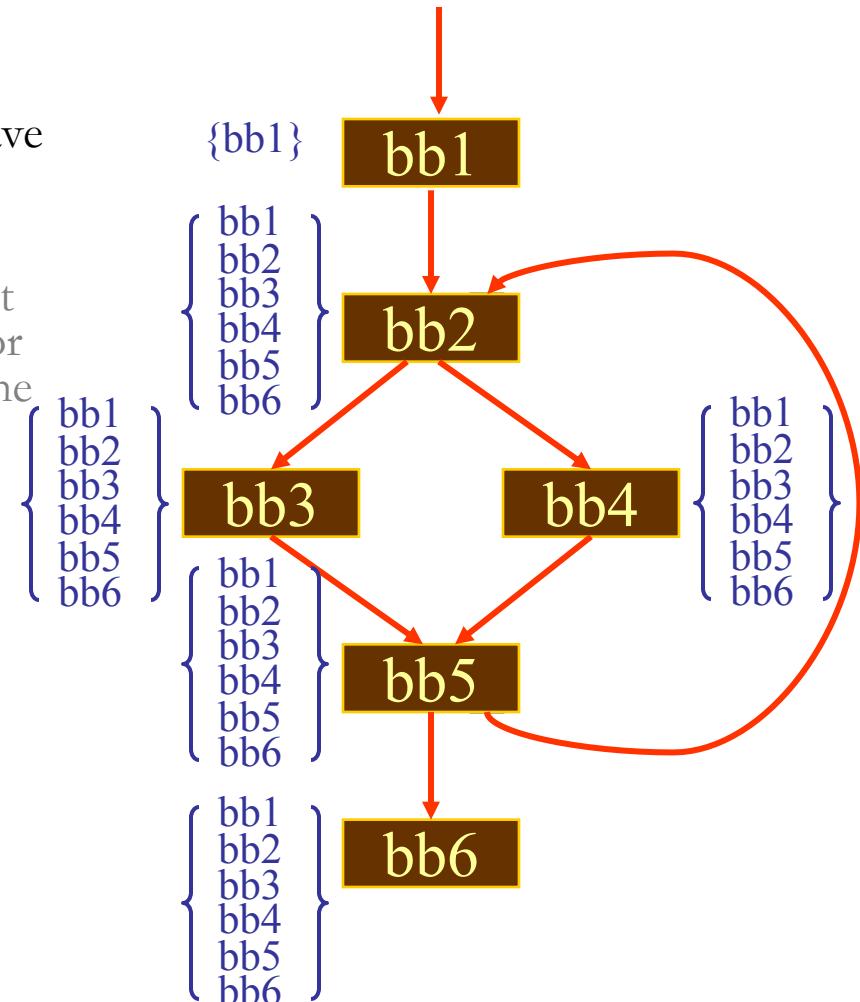
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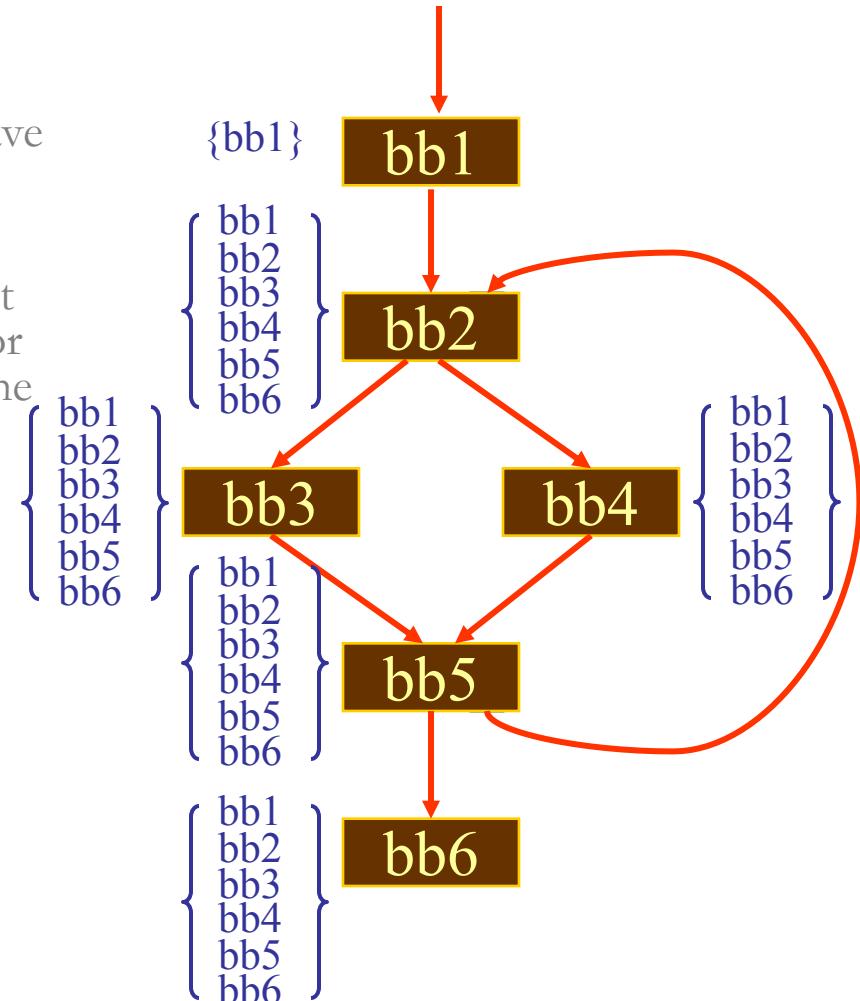
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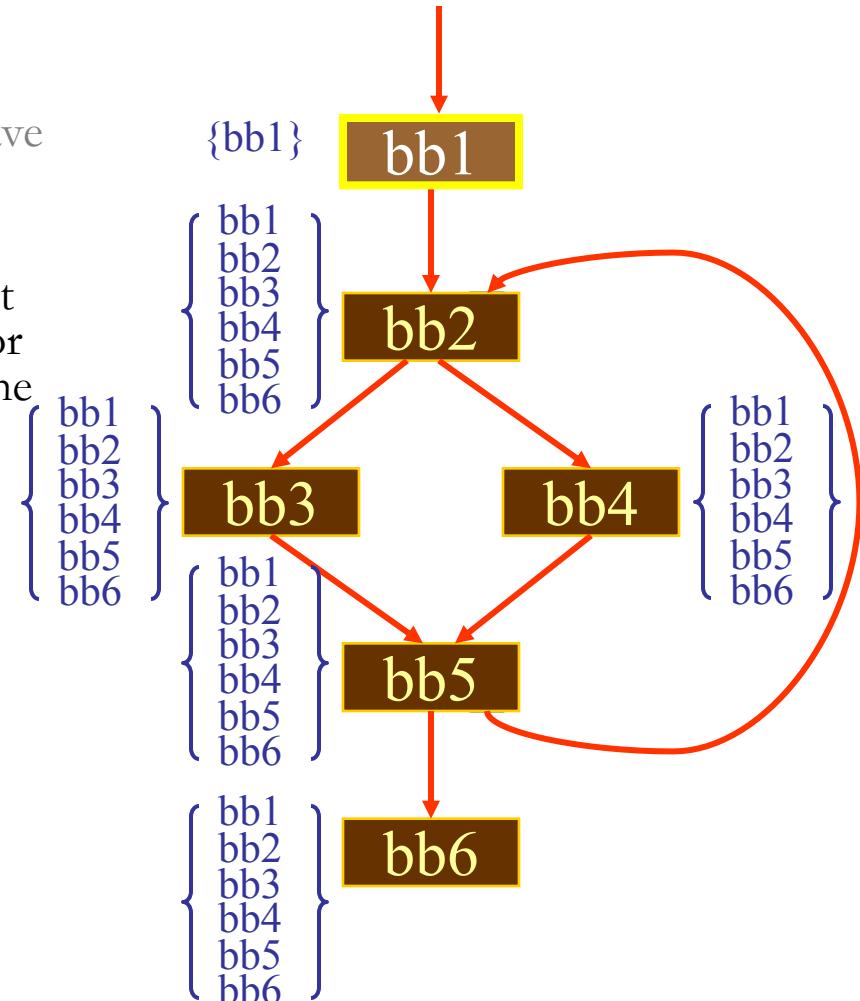
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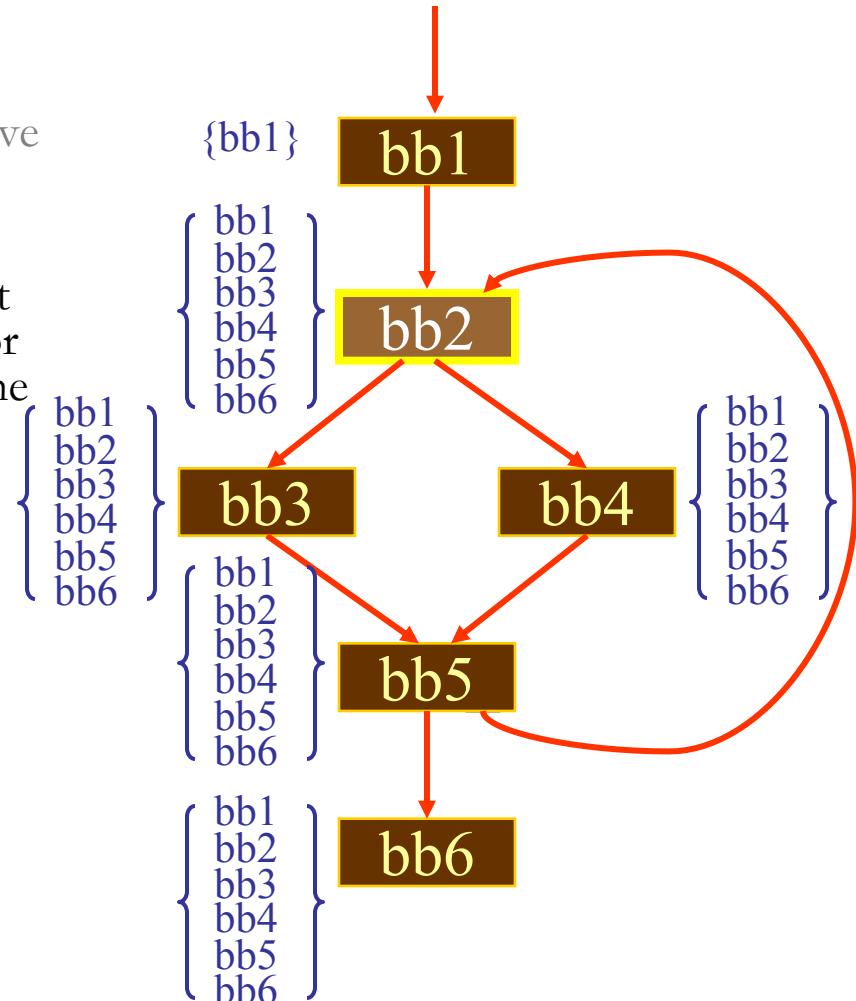
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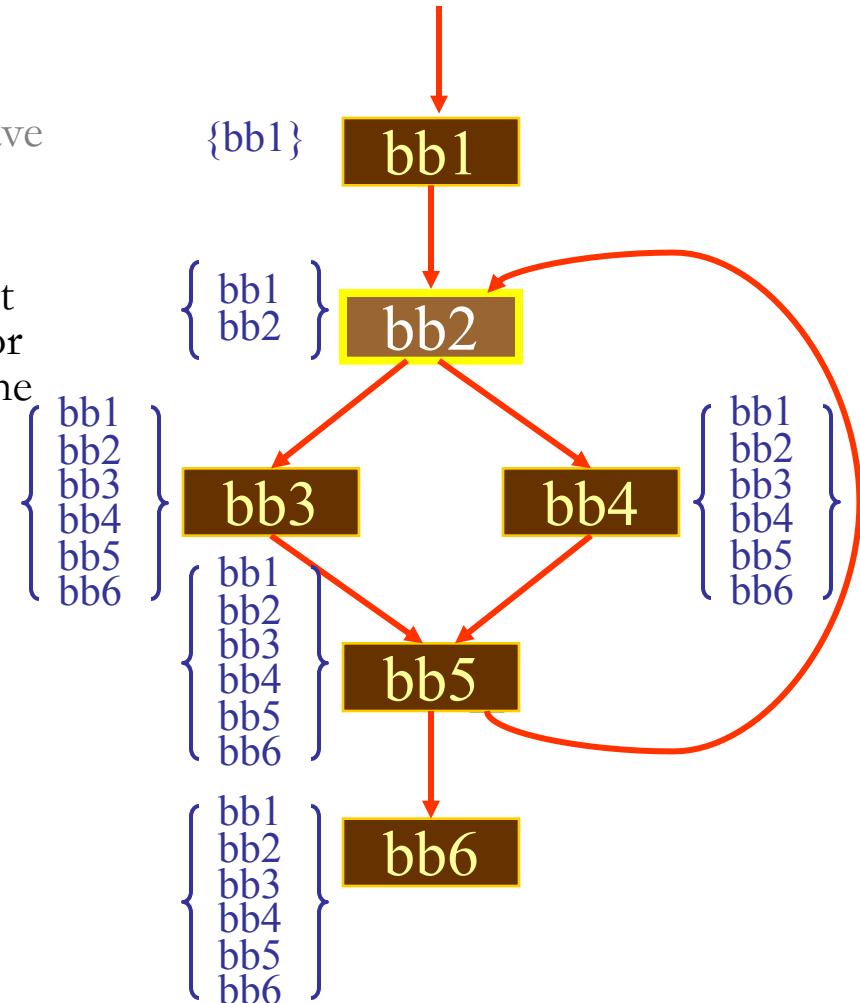
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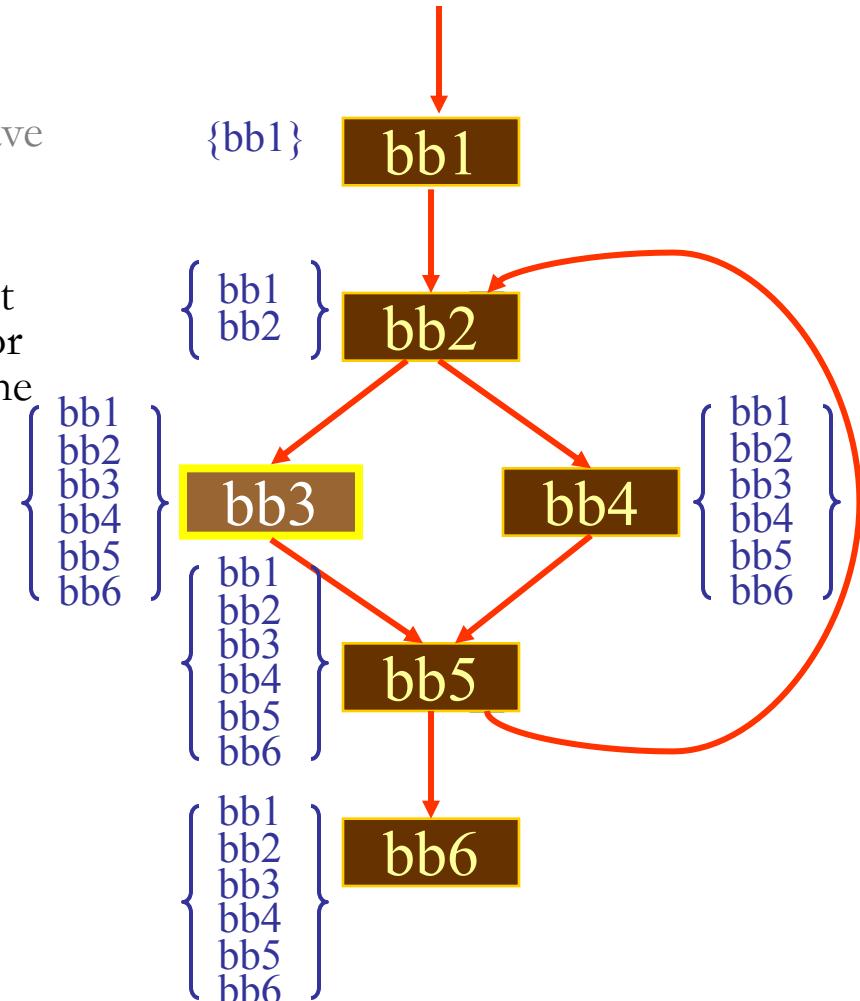
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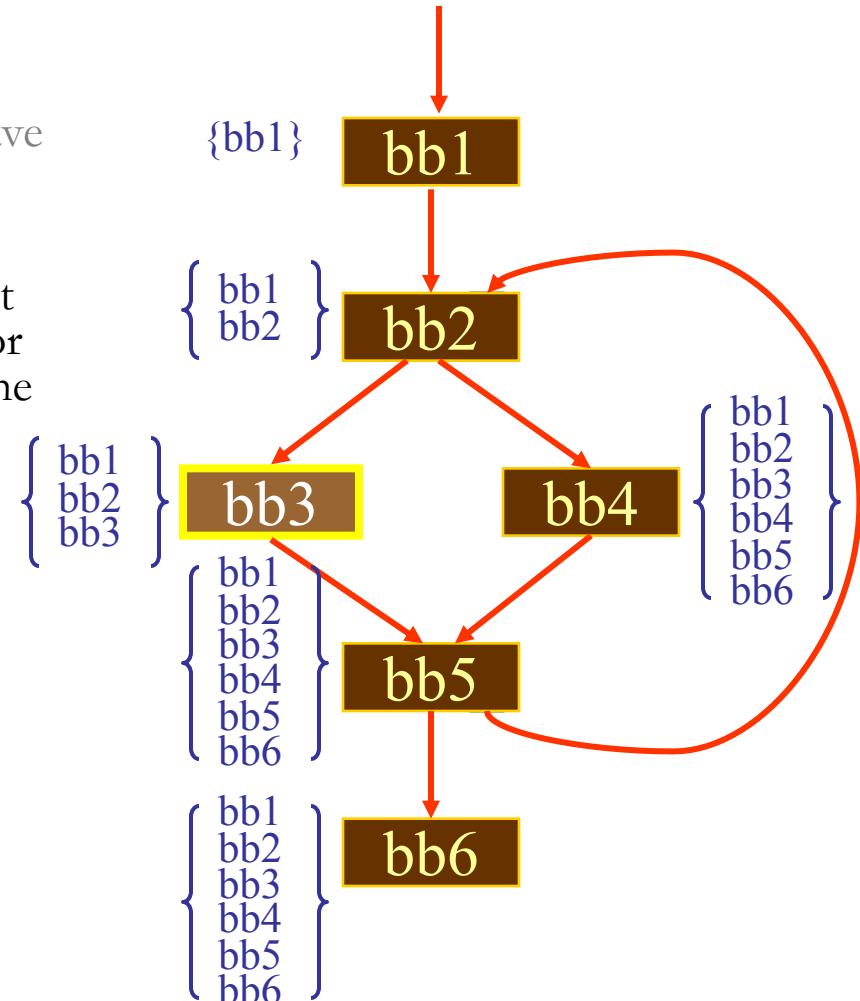
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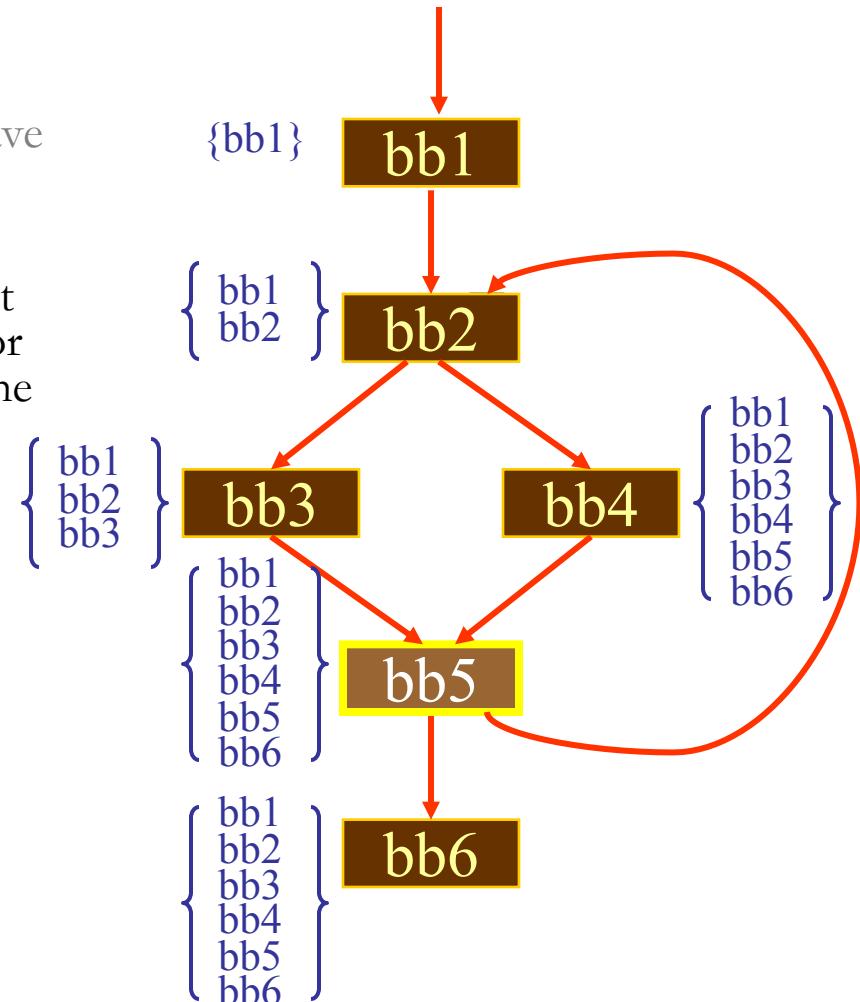
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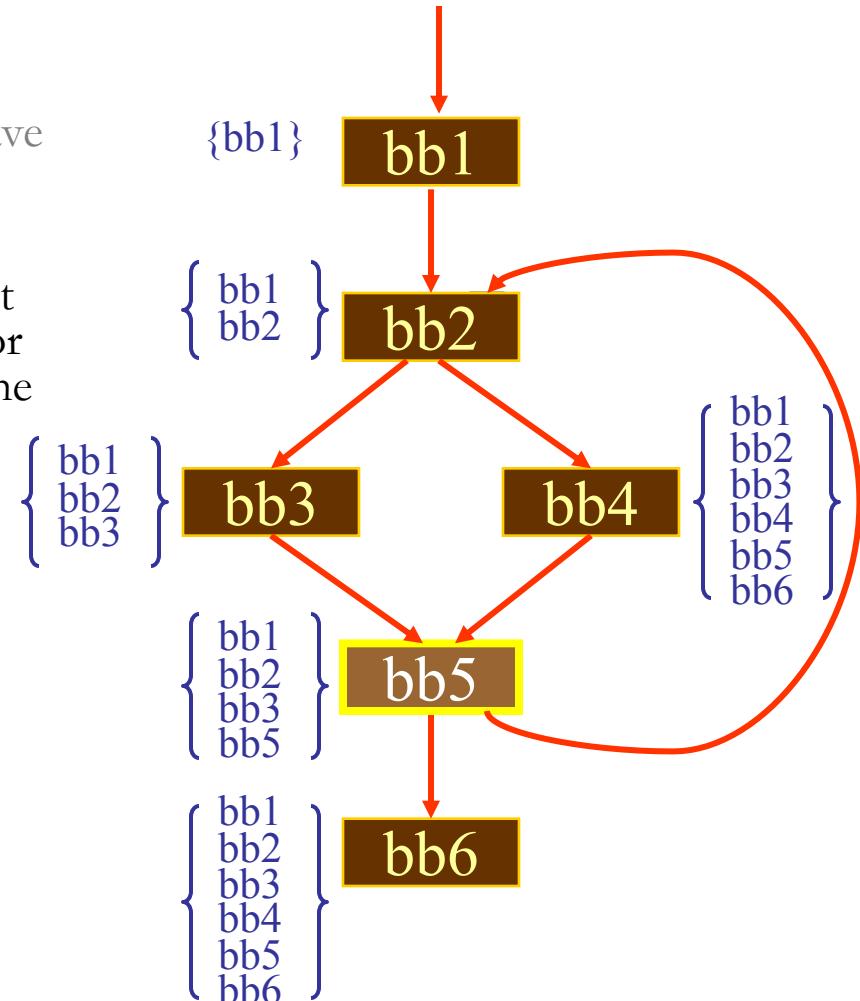
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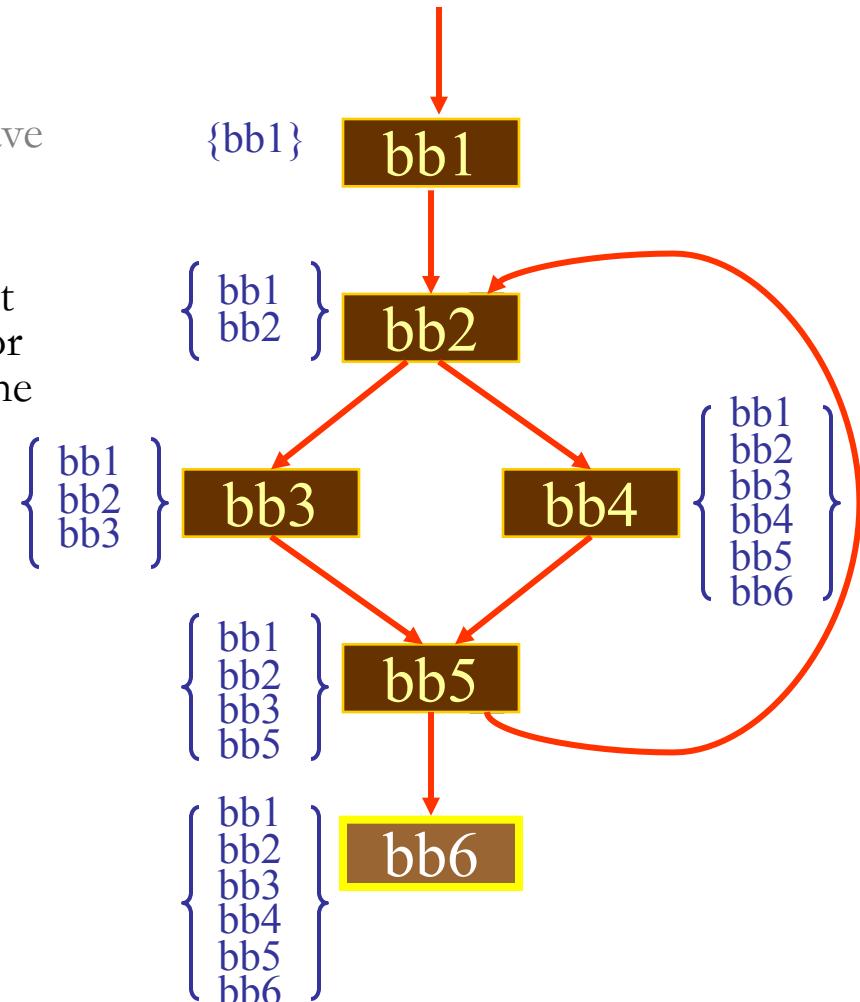
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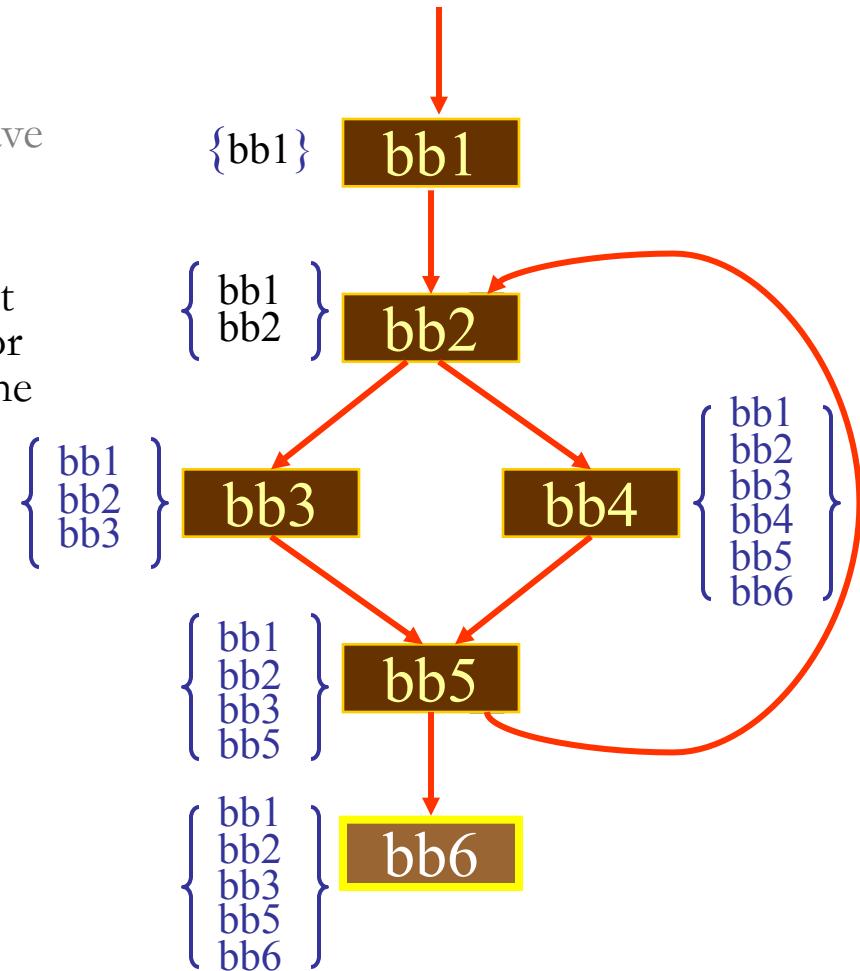
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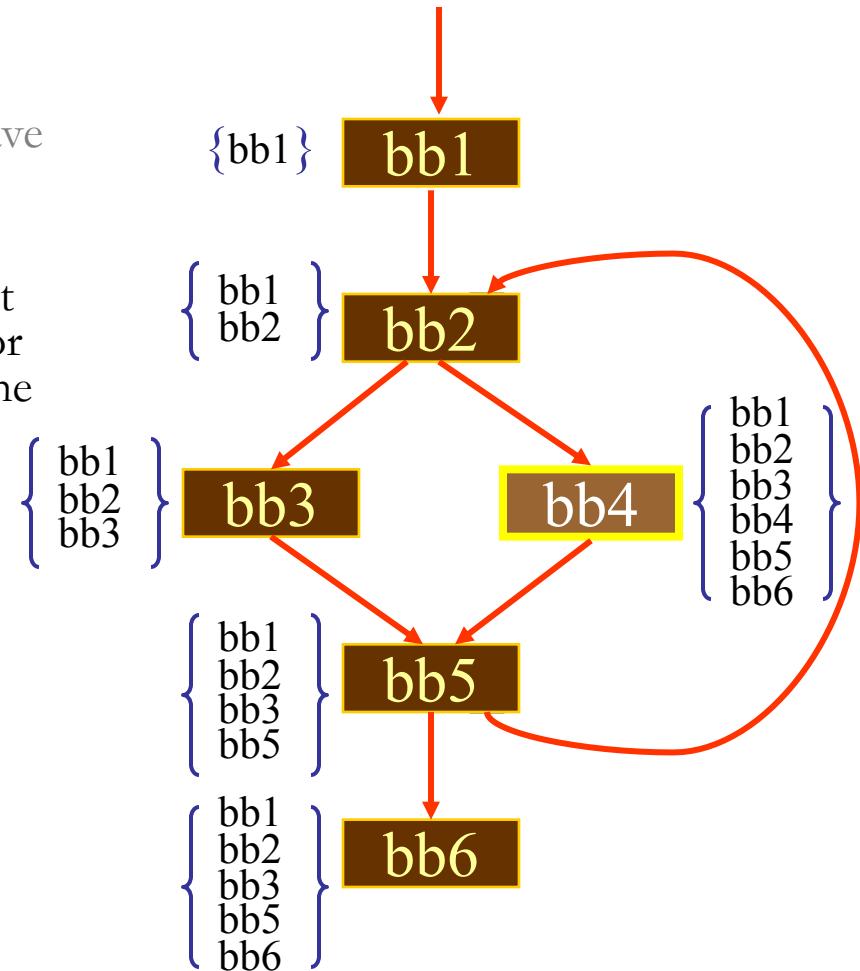
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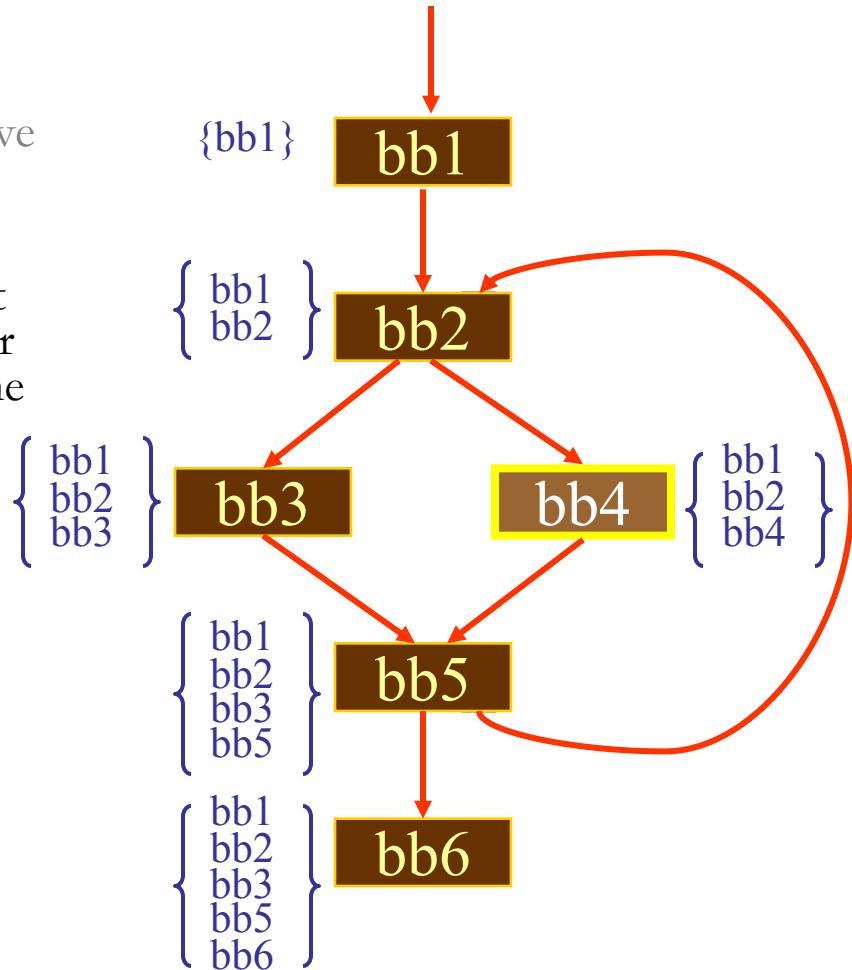
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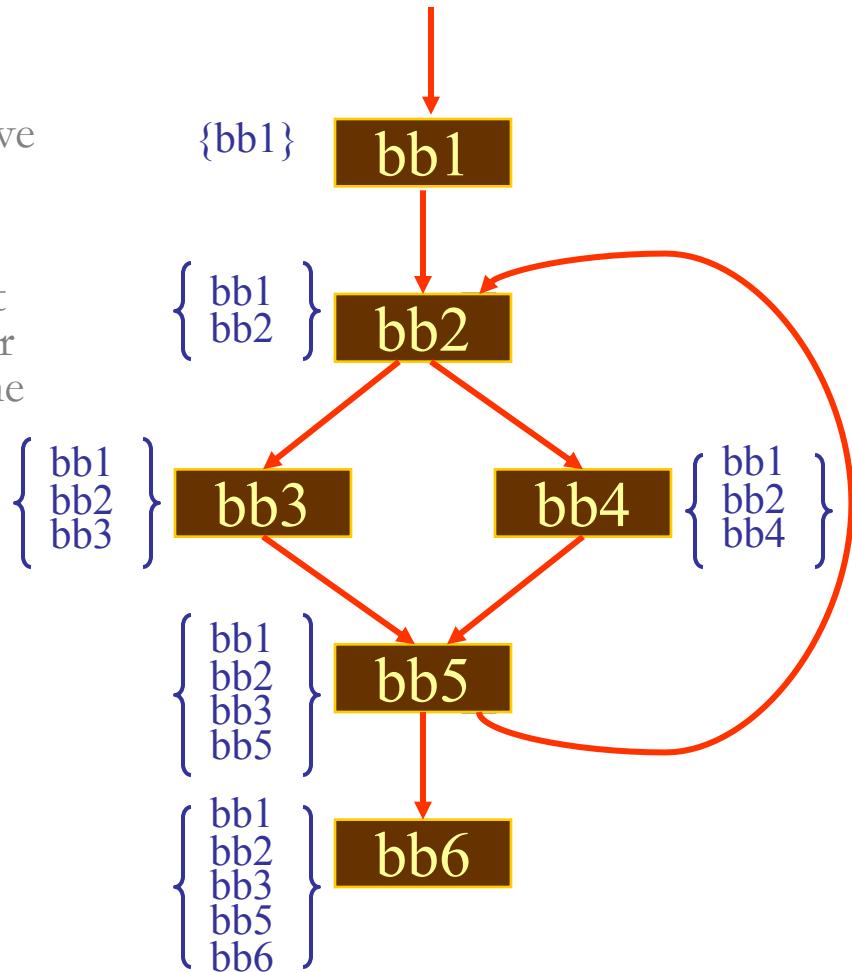
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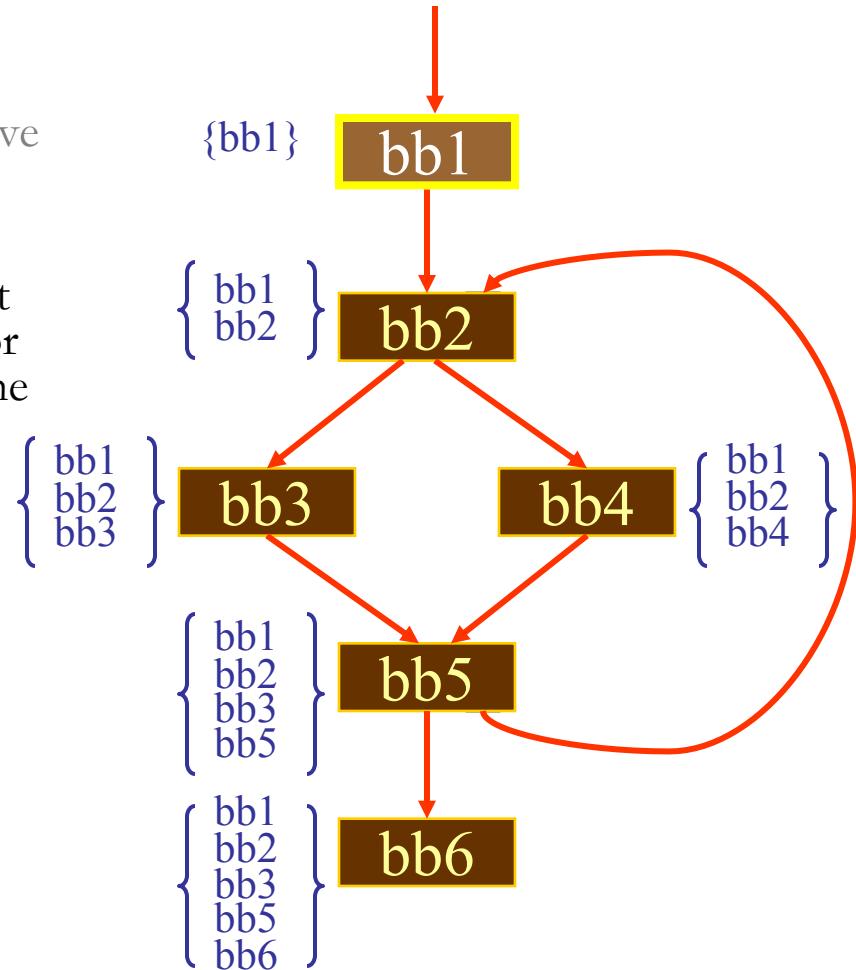
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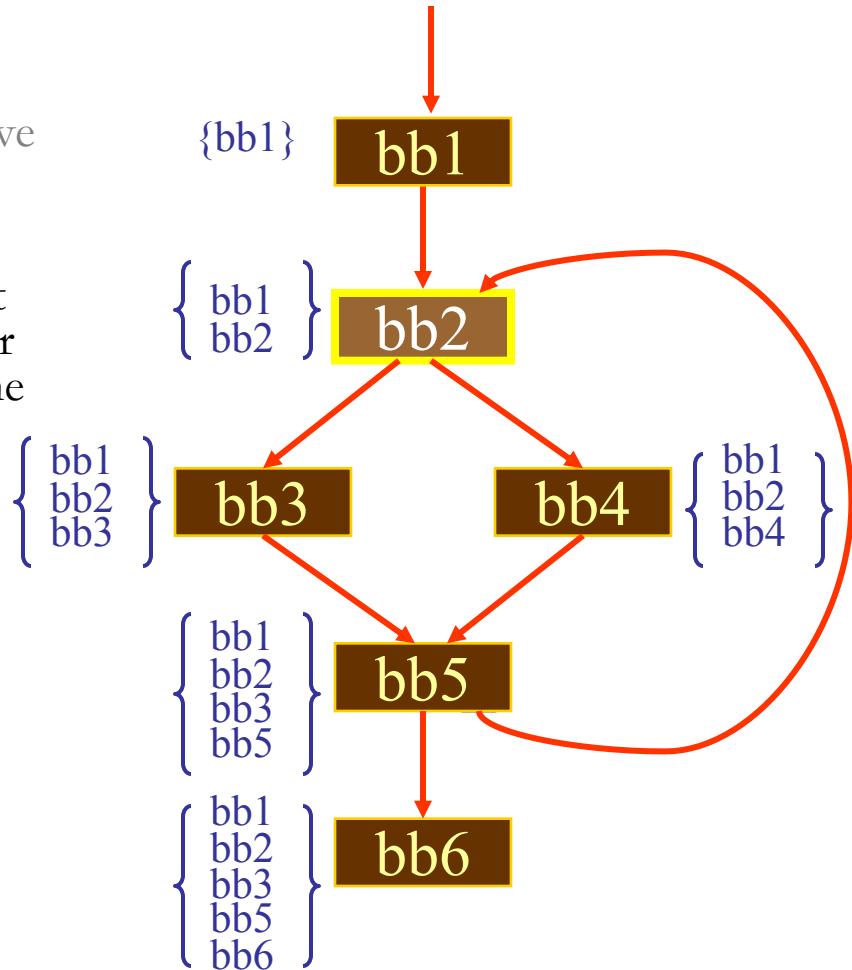
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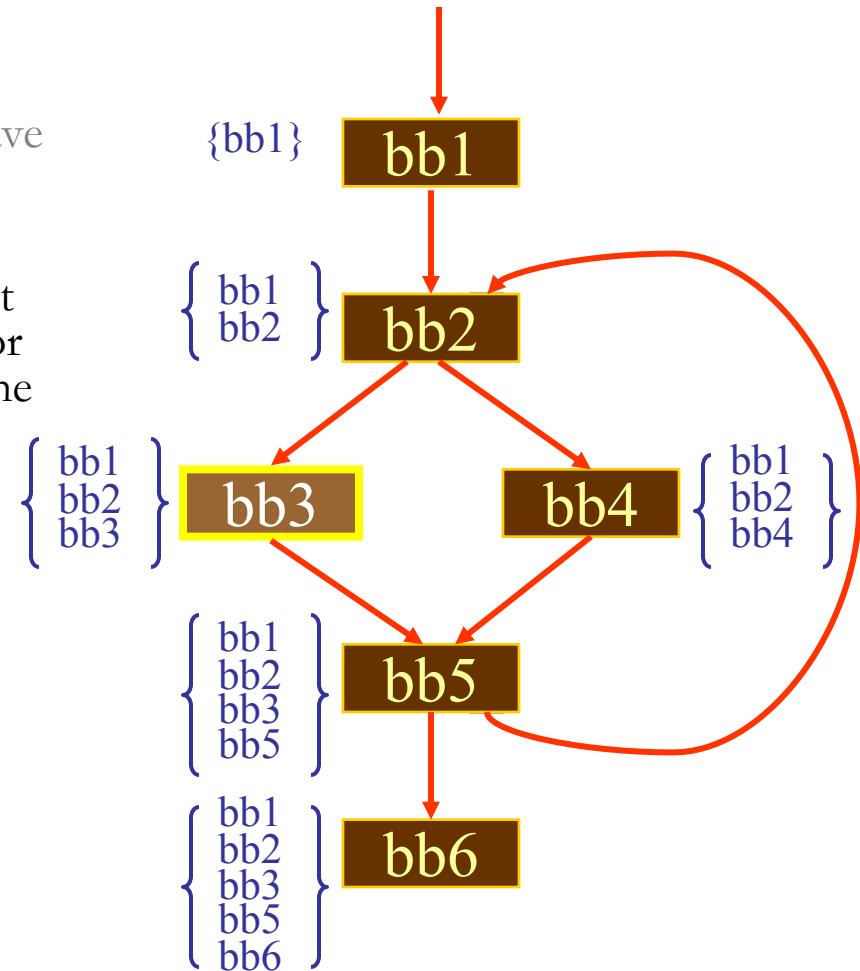
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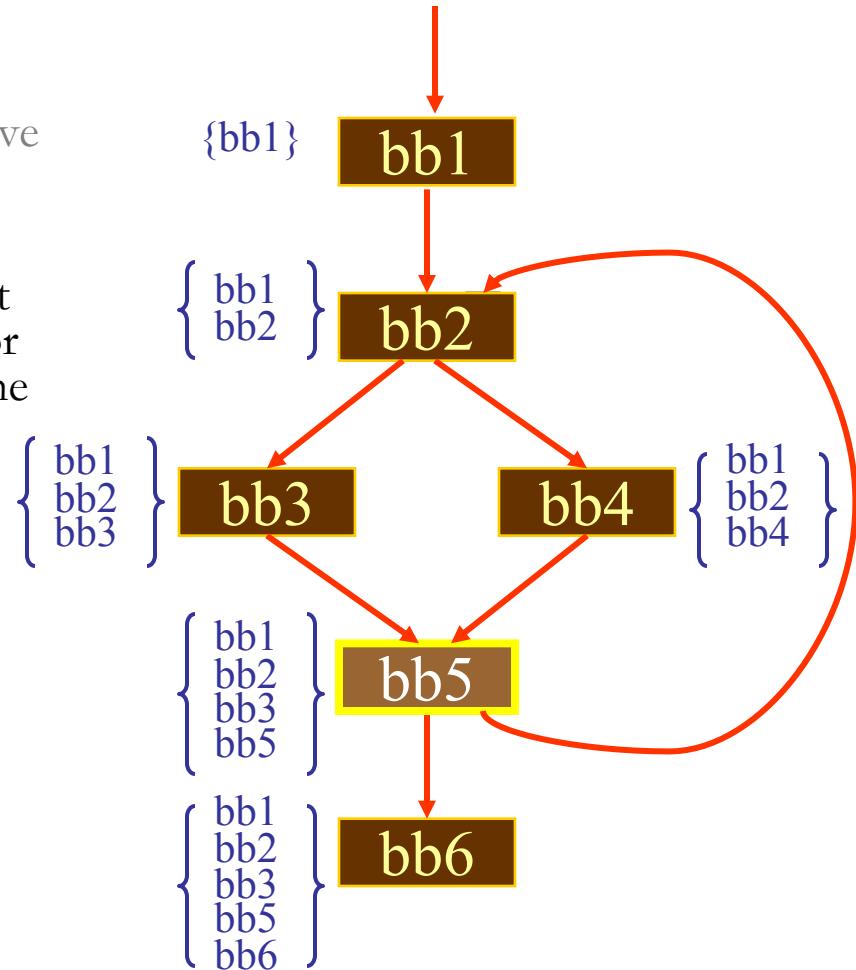
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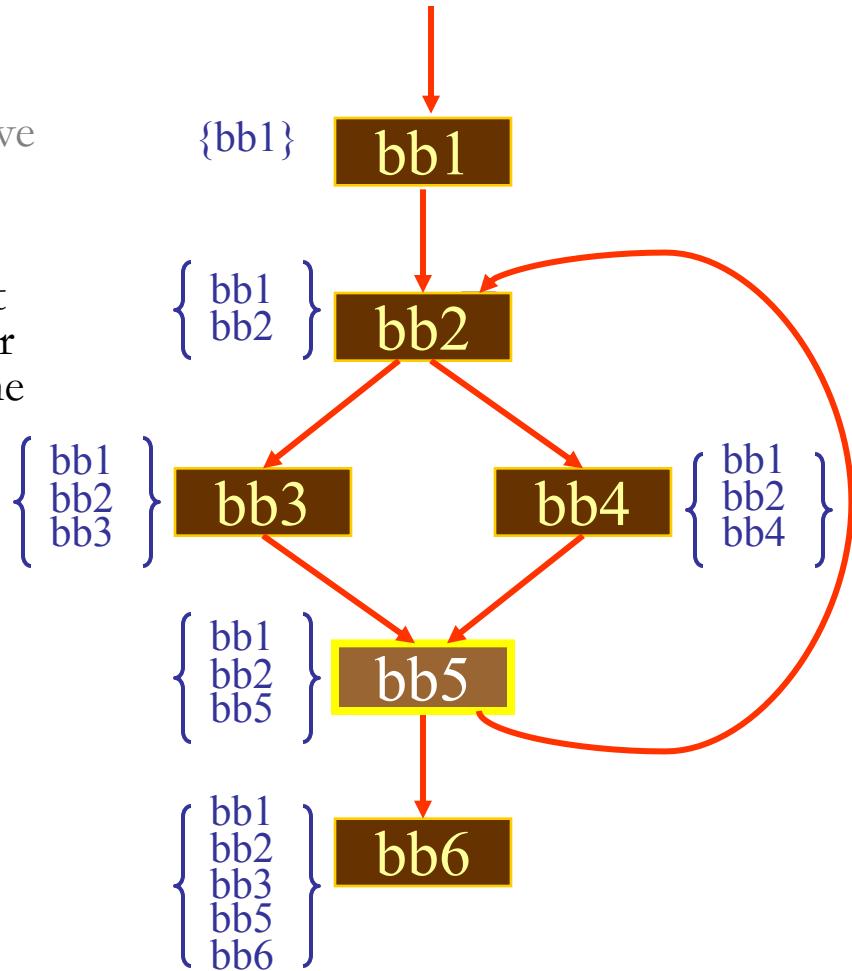
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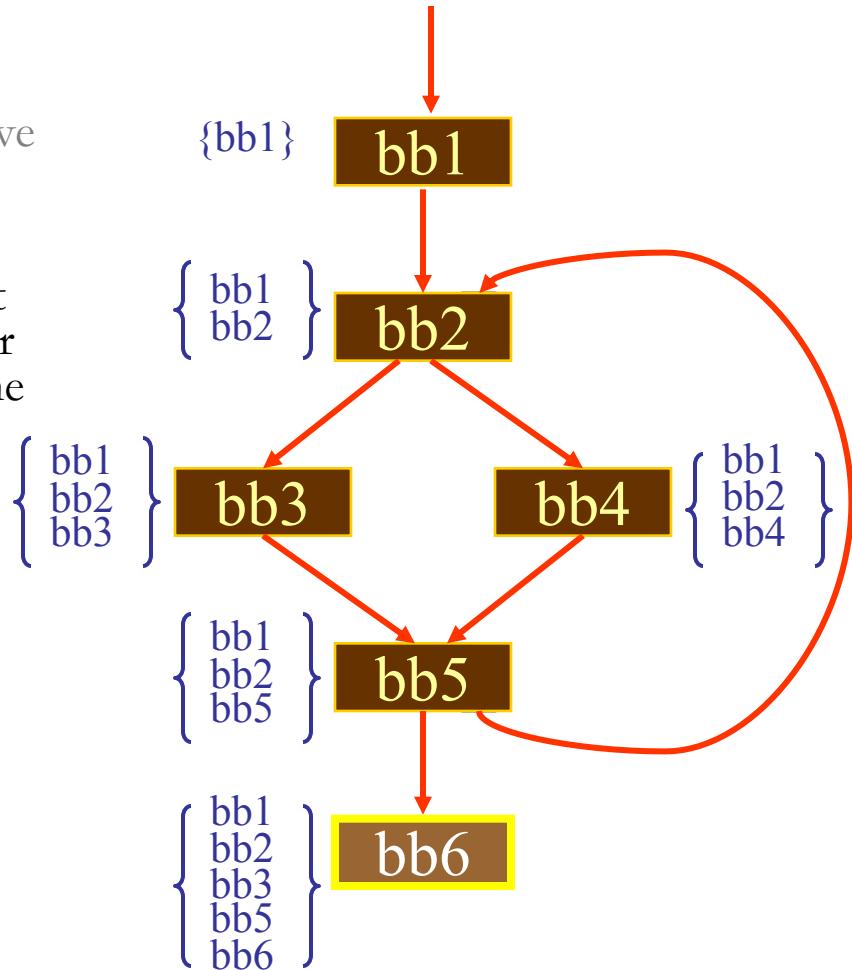
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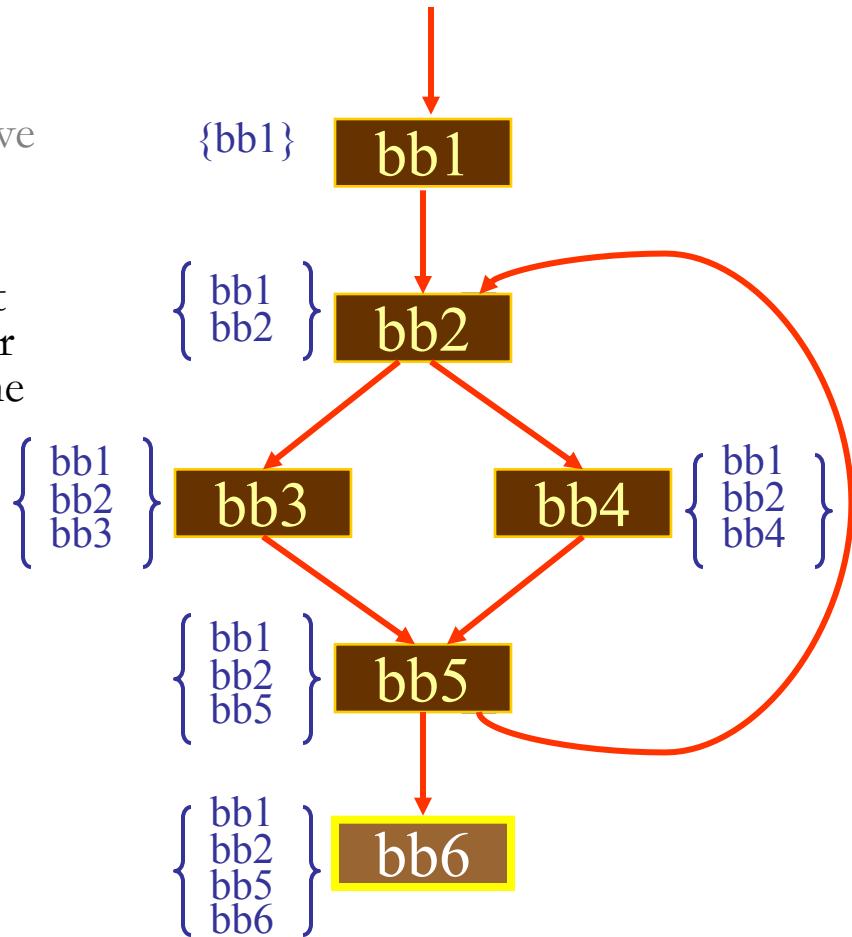
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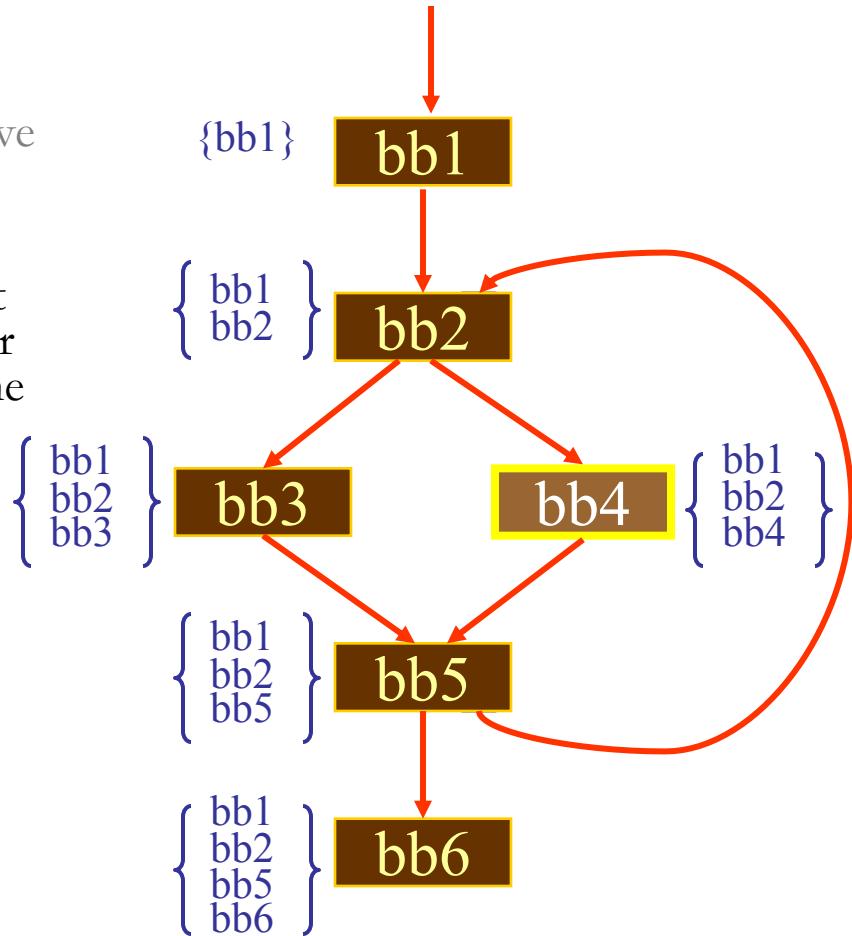
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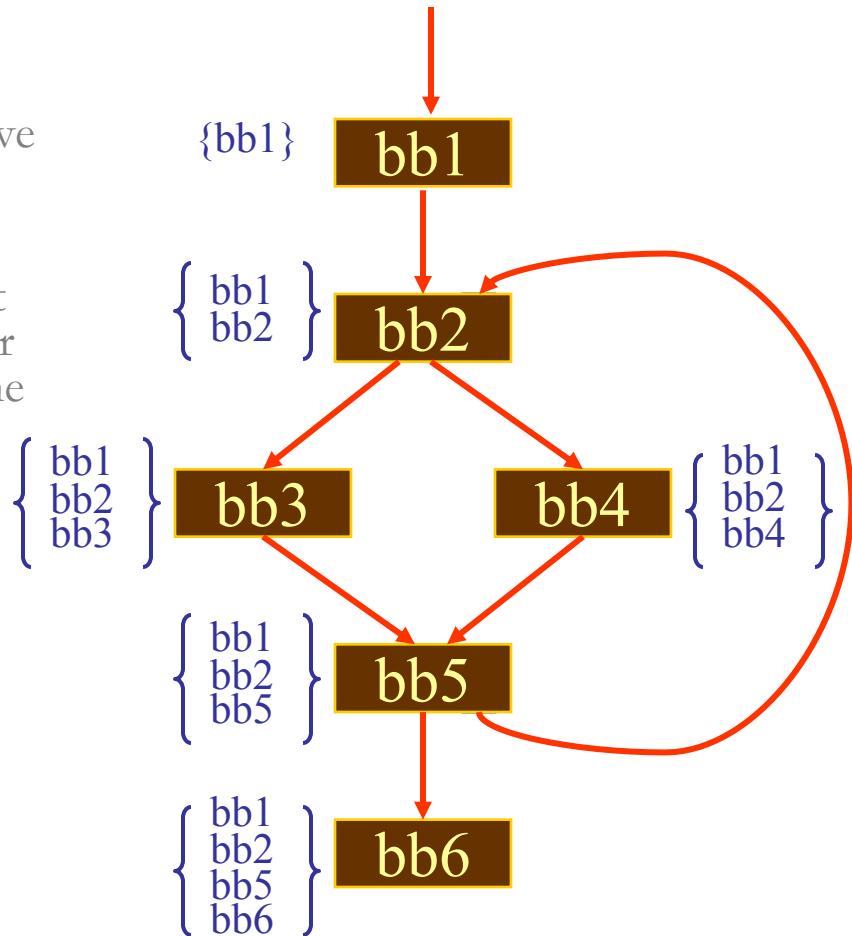
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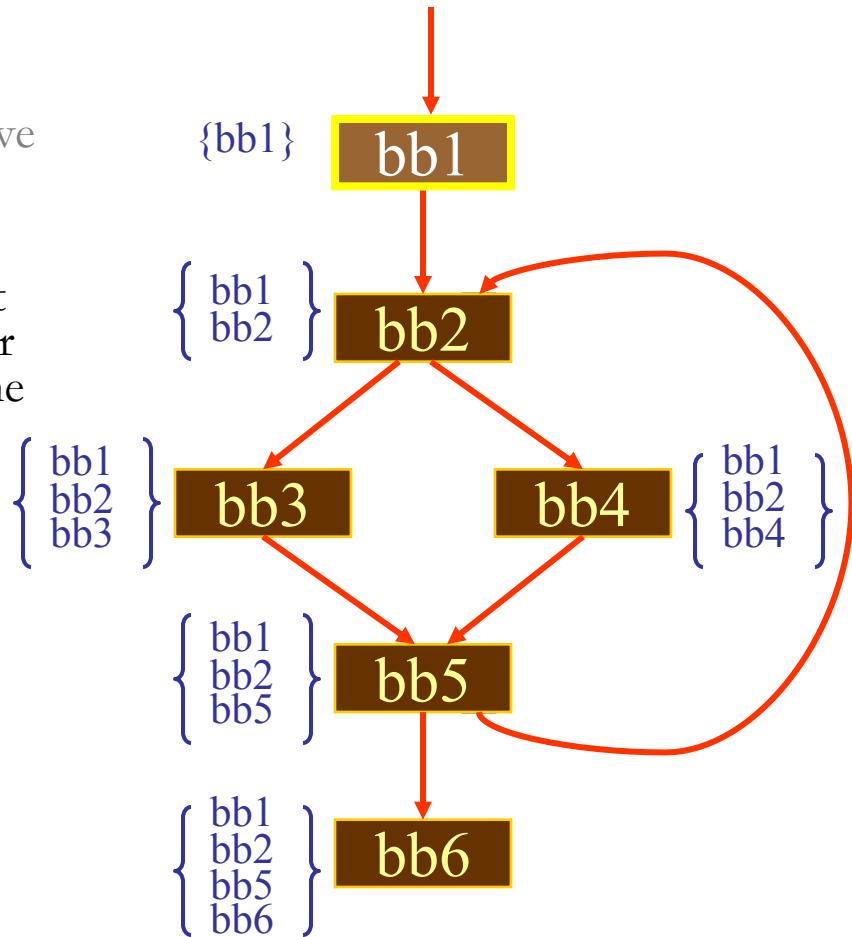
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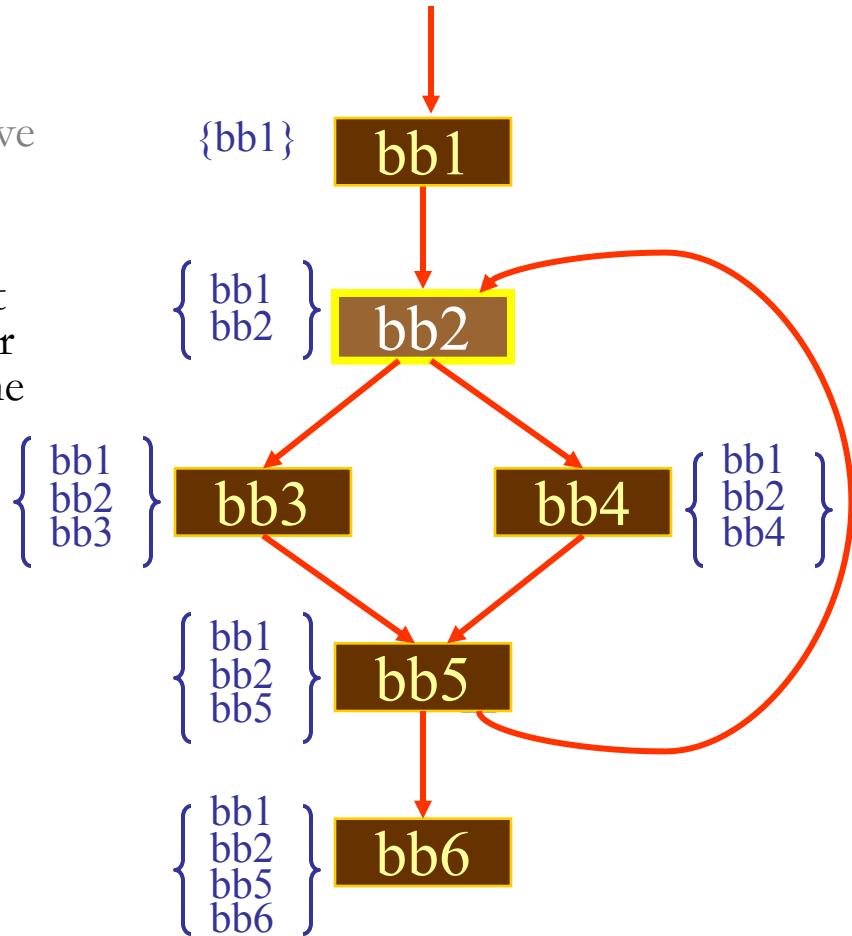
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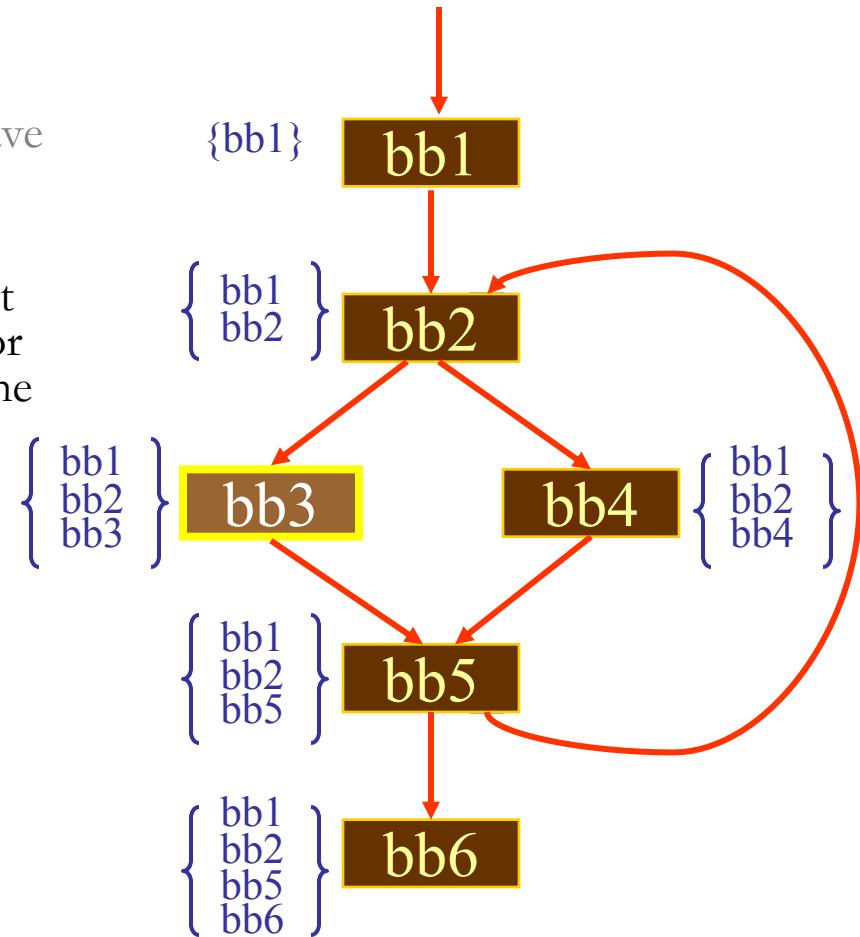
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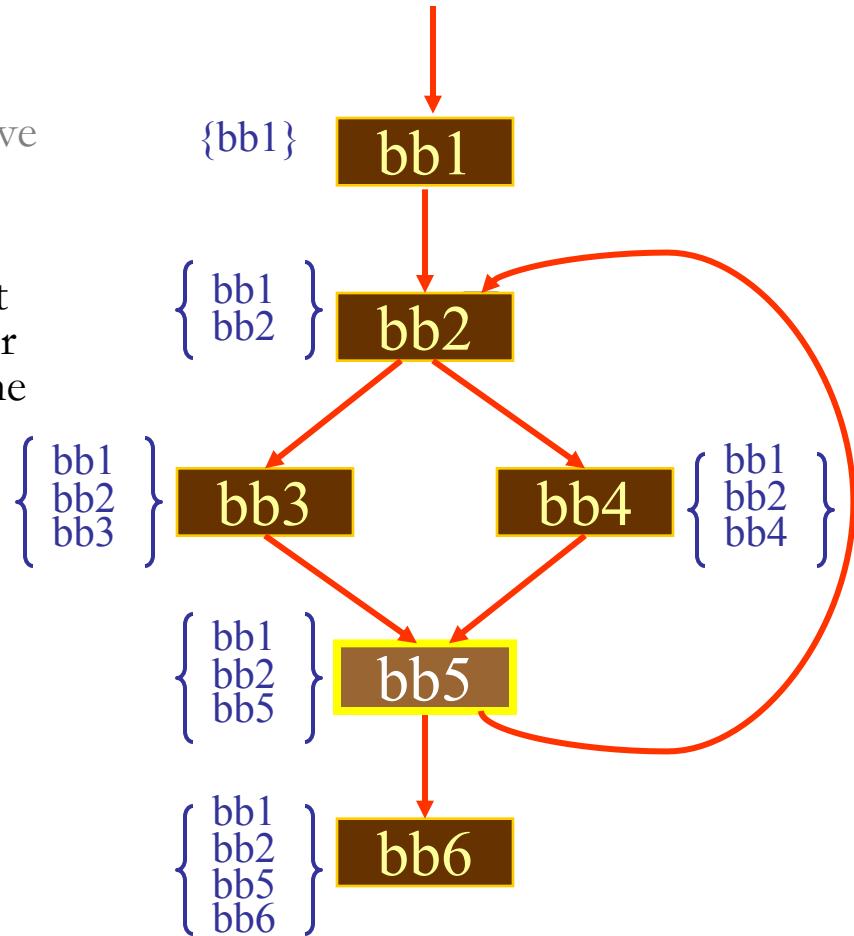
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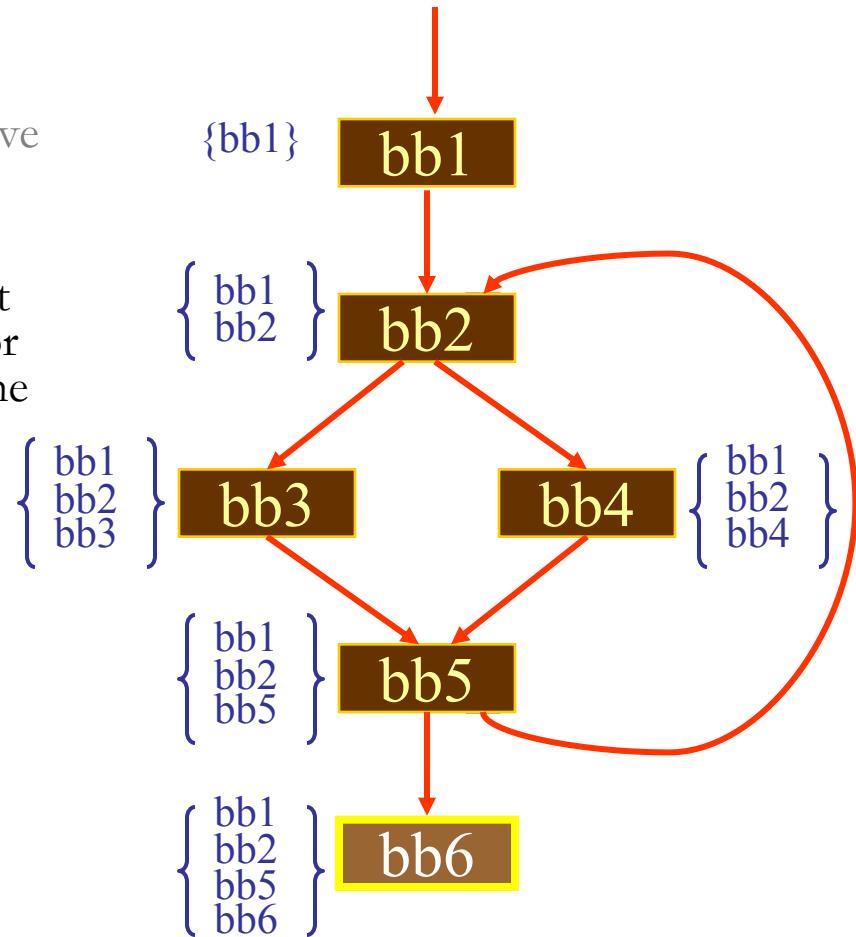
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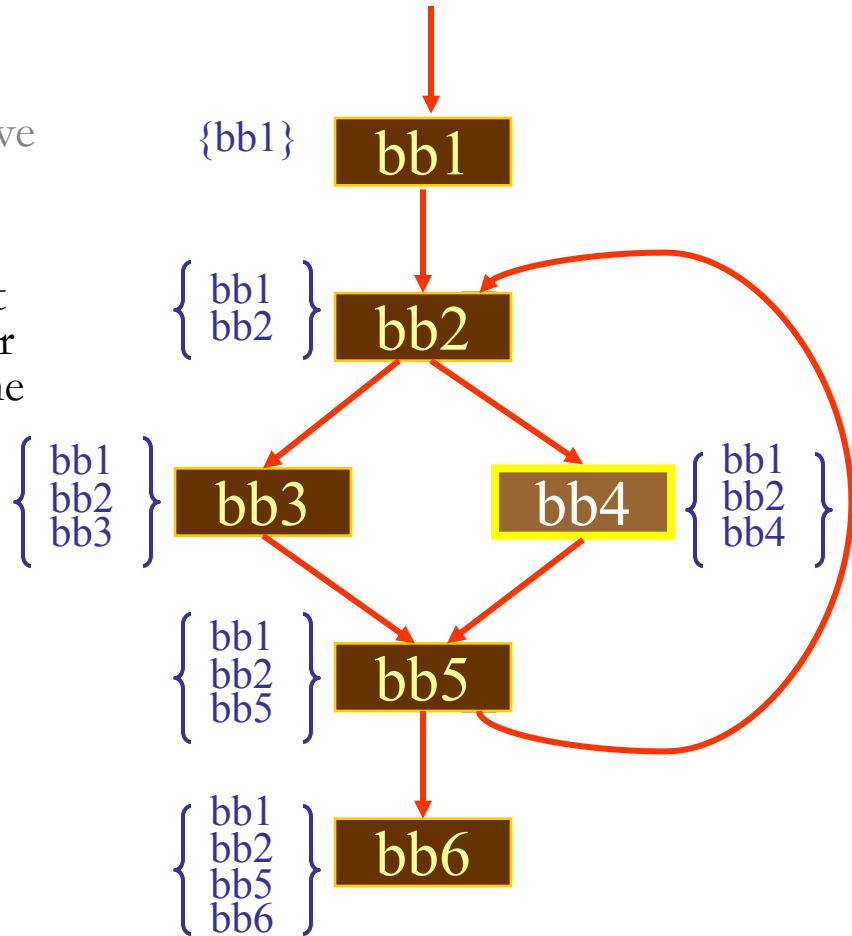
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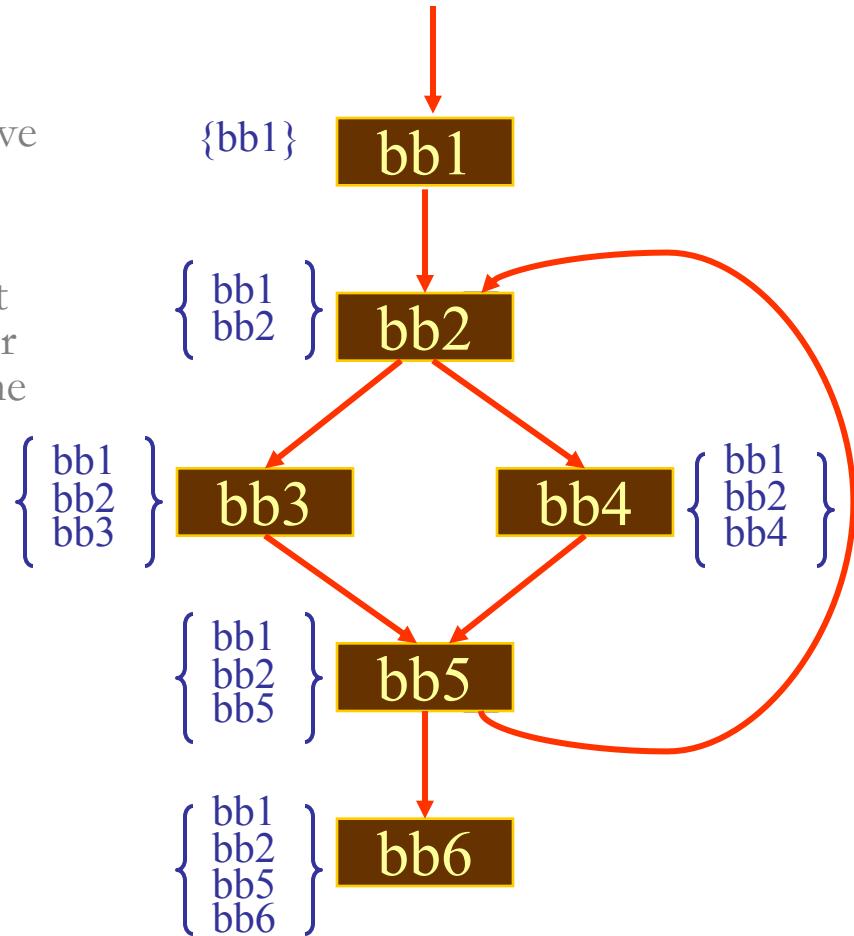
# Computing Dominators

- Algorithm
  - Make dominator set of the entry node has itself
  - Make dominator set of the rest have all the nodes
  - Visit the nodes in any order
  - Make dominator set of the current node intersection of the dominator sets of the predecessor nodes + the current node
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# Computing Dominators

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- What we just witnessed was an *iterative* data-flow analysis algorithm in action:
  - Initialize all the nodes to a given value
  - Visit nodes in some order
  - Calculate the node's value
  - Repeat until no value changes (fixed-point)
- Will talk about this in the coming lectures

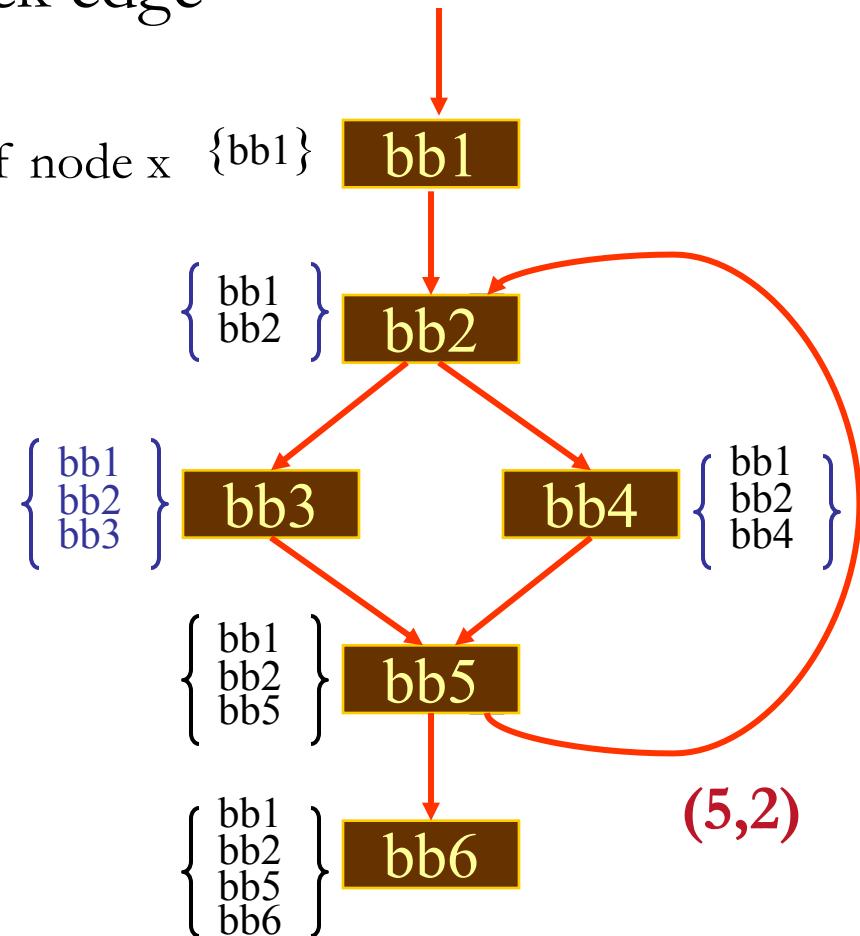
# What is a Back Edge?

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- An edge  $(x, y) \in E$  is a back edge iff  $y \text{ dom } x$ 
  - is node  $y$  in the dominator set of node  $x$

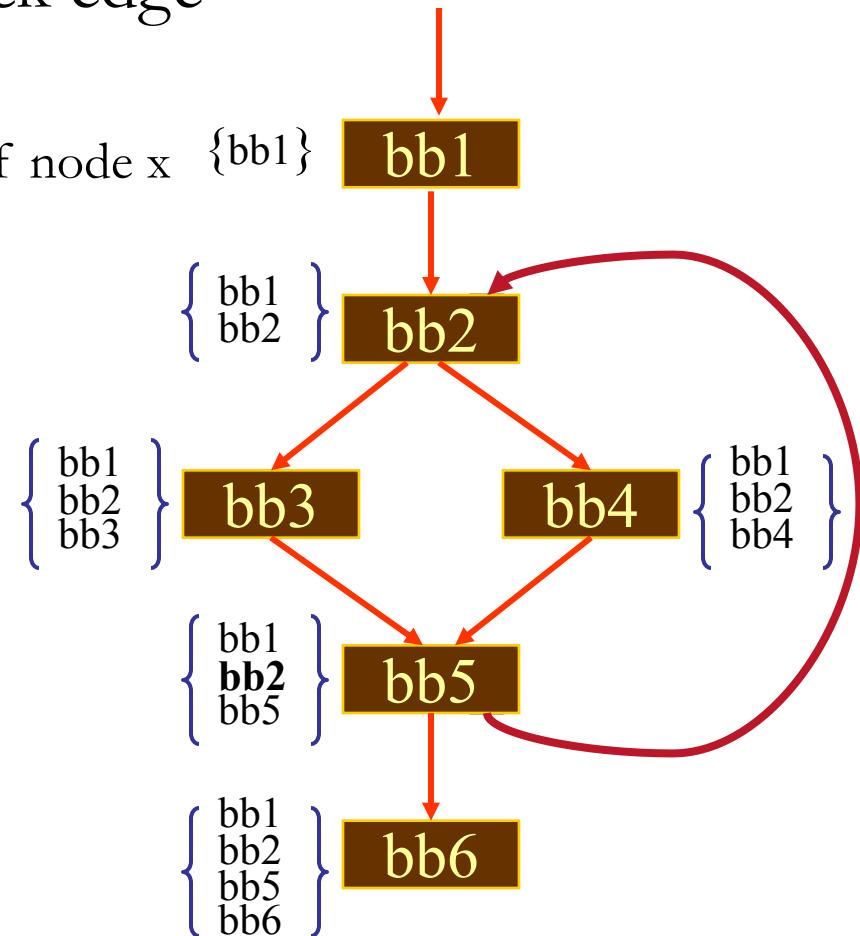
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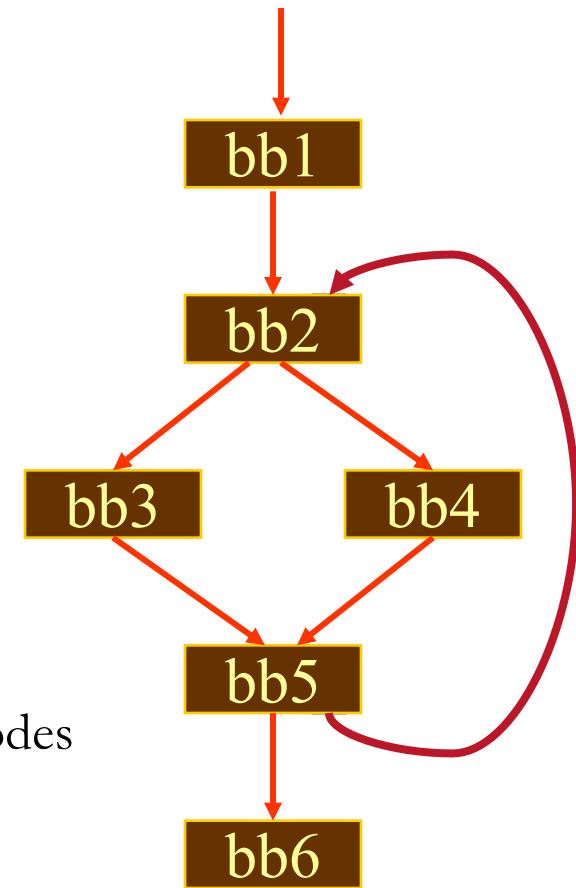
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# Natural Loop

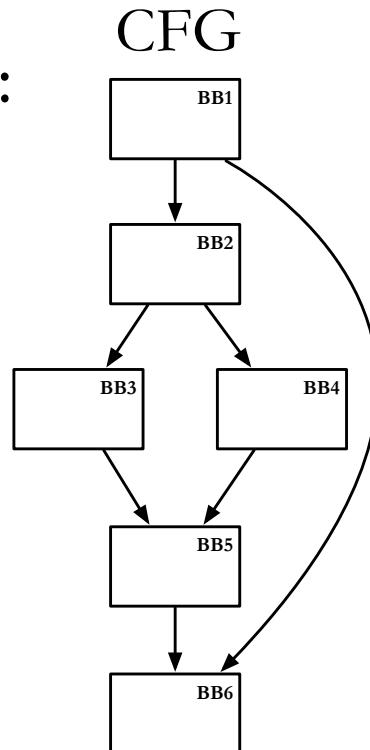
- In a CFG a Back Edge induces a Natural Loop
- Finding the Nodes of a Loop
  - Given Back Edge (s,d)
  - Traverse Backwards (against flow) from d
  - Until Reaching s
  - Collected nodes in CFG form Natural Loop
- In the Example: back edge is (5,2)
  - Natural Loop : { 2, 5, 3, 4 } why?
  - Trace Back Edge backward and collect all nodes
  - Until you reach head of the Back Edge



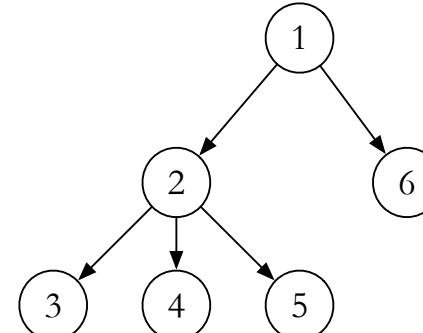
# Dominance Frontier

- Definition:
  - The Dominance Frontier of a basic block  $N$ ,  $DF(N)$ , is the set of all blocks that are immediate successors to blocks dominated by  $N$ , but which aren't themselves strictly dominated by  $N$

- Example:



Dominator Tree and Frontier



Node	Dominance Frontier
1	$\emptyset$
2	6
3	5
4	5
5	6
6	$\emptyset$

# Dominance Frontier

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- Definition:
  - The Dominance Frontier of a basic block  $N$ ,  $DF(N)$ , is the set of all blocks that are immediate successors to blocks dominated by  $N$ , but which aren't themselves strictly dominated by  $N$
- Importance?
  - Placement of  $\phi$ -function nodes in SSA form.

# Static Single Assignment Form

- The main idea:
  - Each Name Defined Exactly Once
  - Each Use (of a Name) refers to Exactly One Name
- Introduce  $\phi$ -functions to make it work

<b>Original</b>	<b>SSA-form</b>
<pre>x ← ... y ← ... while (x &lt; k)     x ← x + 1     y ← y + x</pre>	<pre>x<sub>0</sub> ← ... y<sub>0</sub> ← ... if (x<sub>0</sub> &gt;= k) goto next loop: x<sub>1</sub> ← φ(x<sub>0</sub>, x<sub>2</sub>)       y<sub>1</sub> ← φ(y<sub>0</sub>, y<sub>2</sub>)       x<sub>2</sub> ← x<sub>1</sub> + 1       y<sub>2</sub> ← y<sub>1</sub> + x<sub>2</sub>       if (x<sub>2</sub> &lt; k) goto loop next: ...</pre>

## Strengths of SSA-form

- Sharper analysis
- $\phi$  functions give hints about placement of transformed code

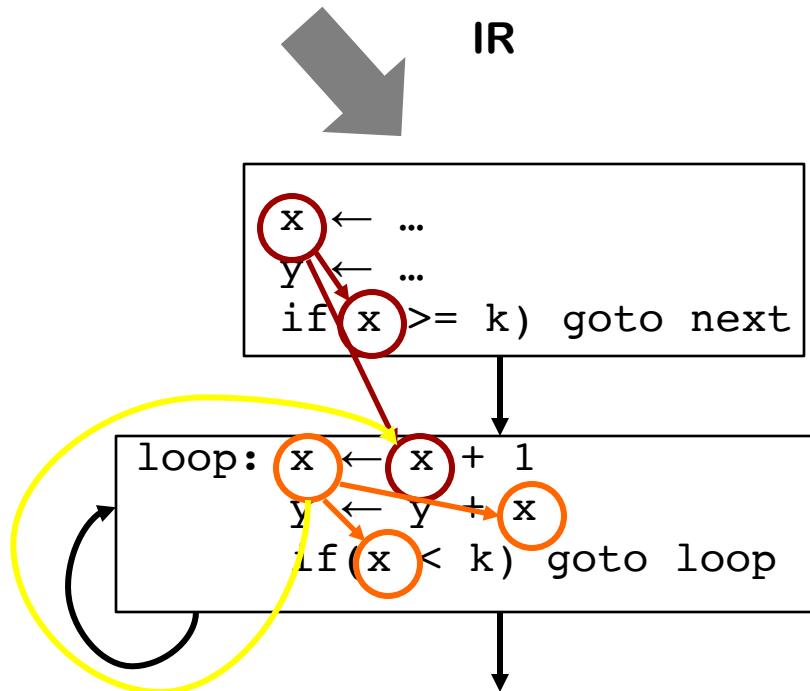
# Static Single Assignment Form

## Original

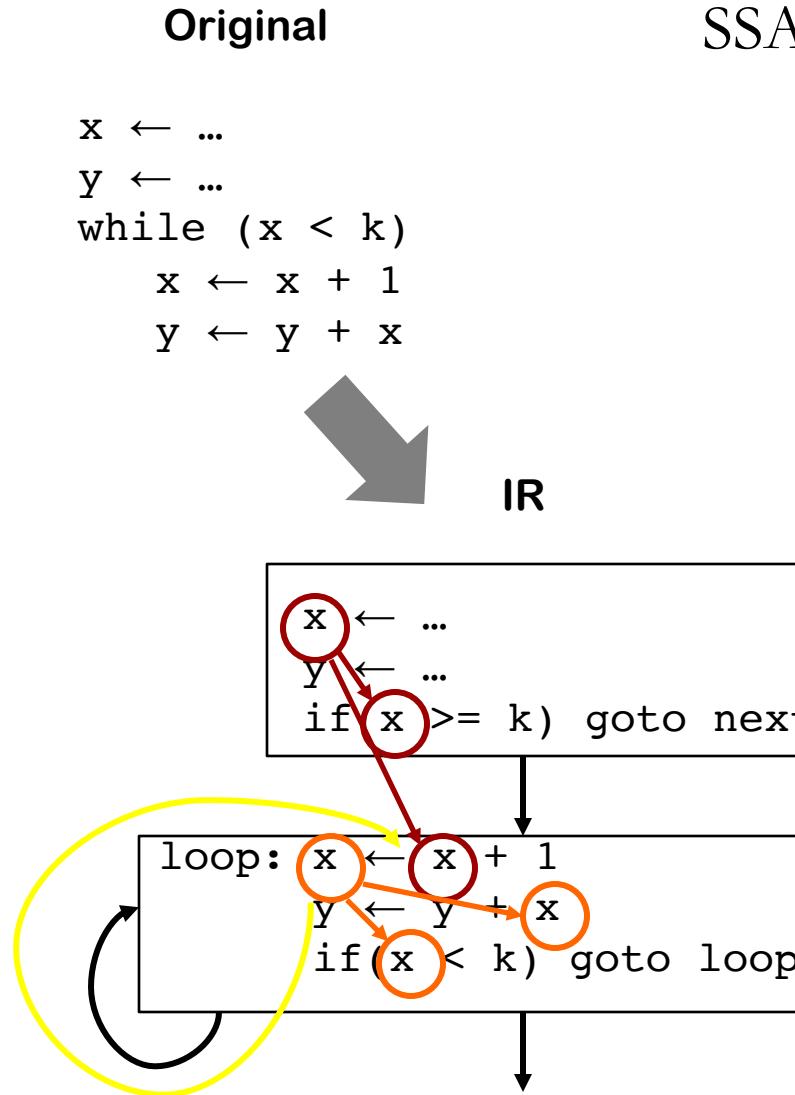
```
x ← ...
y ← ...
while (x < k)
    x ← x + 1
    y ← y + x
```

## SSA Form:

- Each Name Defined Exactly Once
- Each use refers to Exactly One Name

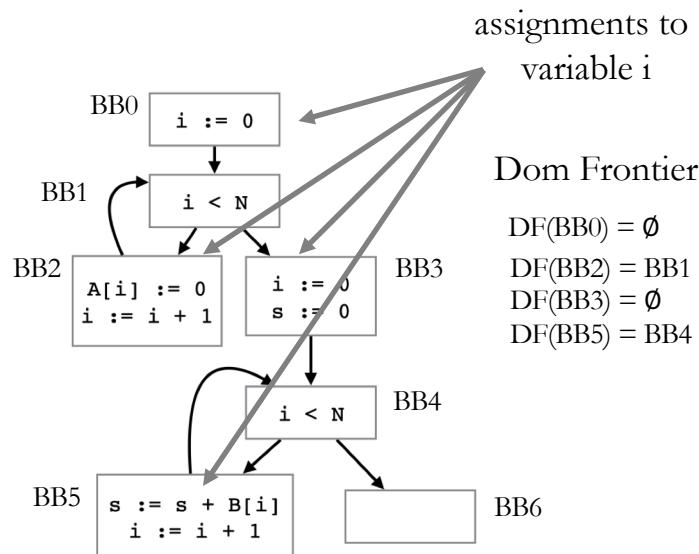


# Static Single Assignment Form



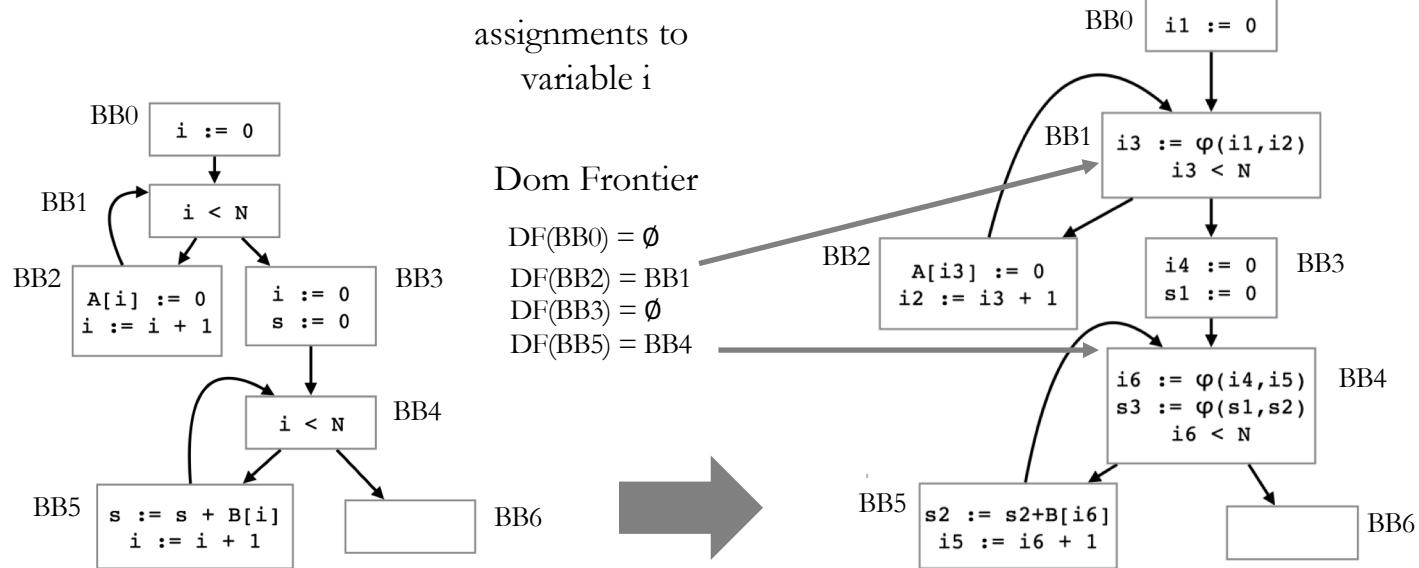
# Static Single Assignment Form

- $\phi$ -node Placement Algorithm (one variant)
  - Compute the dominance frontier of every CFG node
  - Use dominance frontier to place  $\phi$ -nodes
    - Whenever block n defines x, put a phi node for x in every block in the dominance frontier of n
  - Do renaming pass using dominator tree



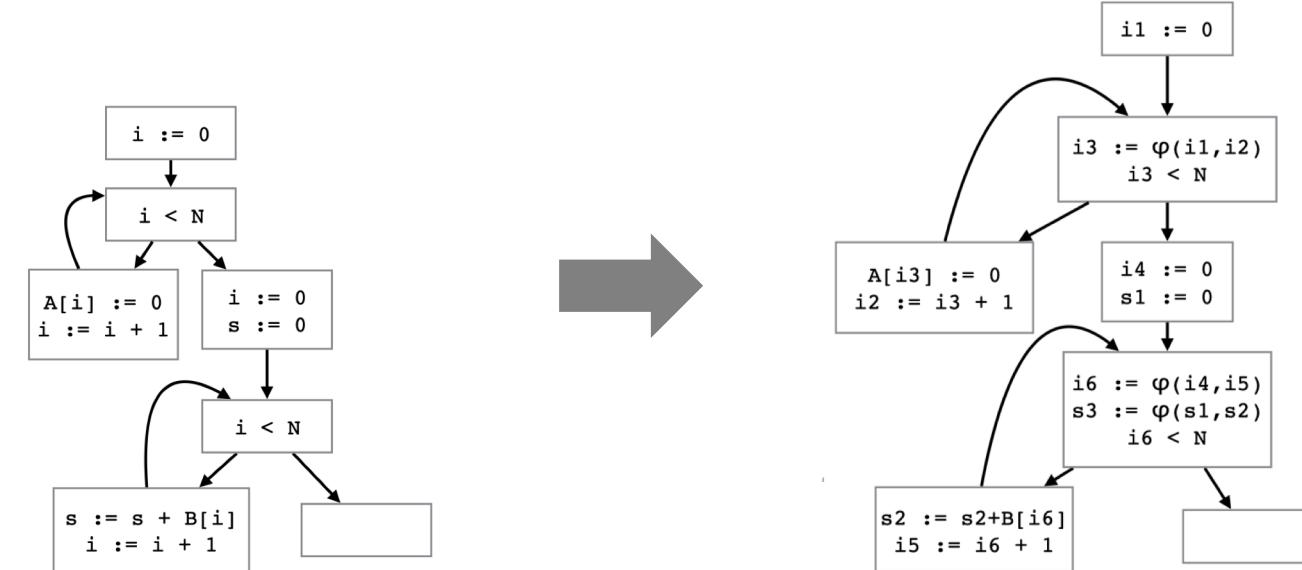
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# Summary

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- Overview of Optimizations
- Control-Flow Analysis
- Dominators
- Back Edges and Natural Loops
- Dominance Frontier & SSA-Form