

# Bottom-Up Parsing, Part III

# Announcements

- Written Assignment 2 out, due next Wednesday, July 18<sup>th</sup> at 5:00PM.
  - Explore the theory behind these parsing algorithms.
  - **Start early;** this problem set is longer and more involved than the previous one.



# LR Conflicts

- A **shift/reduce conflict** is an error where a shift/reduce parser cannot tell whether to shift a token or perform a reduction.
  - Often happens when two productions overlap.
- A **reduce/reduce conflict** is an error where a shift/reduce parser cannot tell which of many reductions to perform.
  - Often the result of ambiguous grammars.
- A grammar whose handle-finding automaton contains a shift/reduce conflict or a reduce/reduce conflict is not LR(0).

# What Conflicts Mean

- Recall: our automaton was constructed by looking for viable prefixes.
- Each accepting state represents a point where the handle might occur.
- A **shift/reduce** conflict is a state where the handle might occur, but we might actually need to keep searching.
- A **reduce/reduce** conflict is a state where we know we have found the handle, but can't tell which reduction to apply.

# Why LR(0) is Weak

- LR(0) only accepts languages where the handle can be found with no **right context**.
- Our shift/reduce parser only looks to the left of the handle, not to the right.
- How do we exploit the tokens after a possible handle to determine what to do?

# A Powerful Parser: **LR(1)**

- Bottom-up predictive parsing with
  - **L**: Left-to-right scan
  - **R**: Rightmost derivation
  - (**1**): One token lookahead
- *Substantially* more powerful than the other methods we've covered so far (more on that later).
- Tries to more intelligently find handles by using a lookahead token at each step.

# LR(1) Parsing: The Intuition

**S** → **E**

**E** → **T**

**E** → **E** + **T**

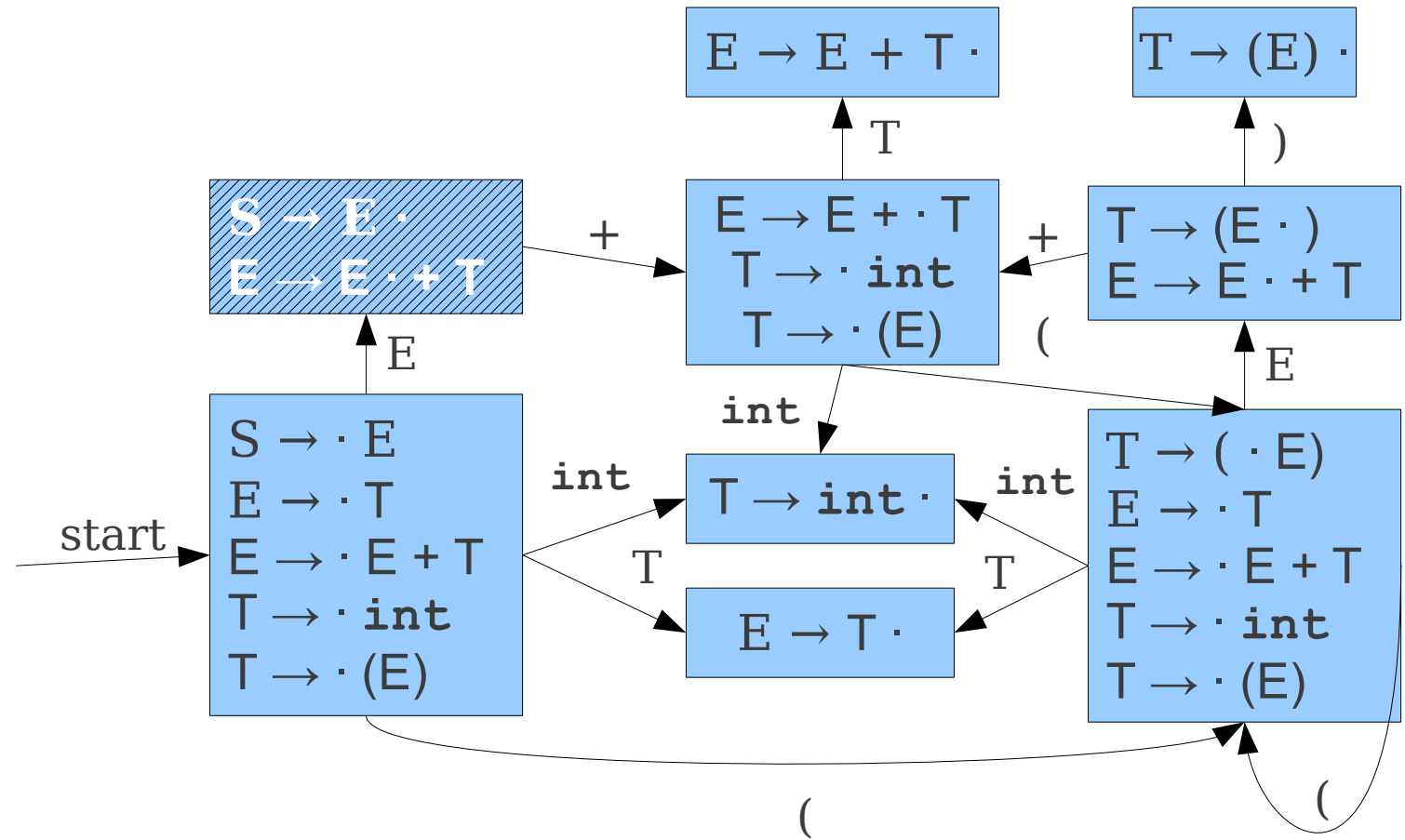
**T** → **int**

**T** → (**E**)



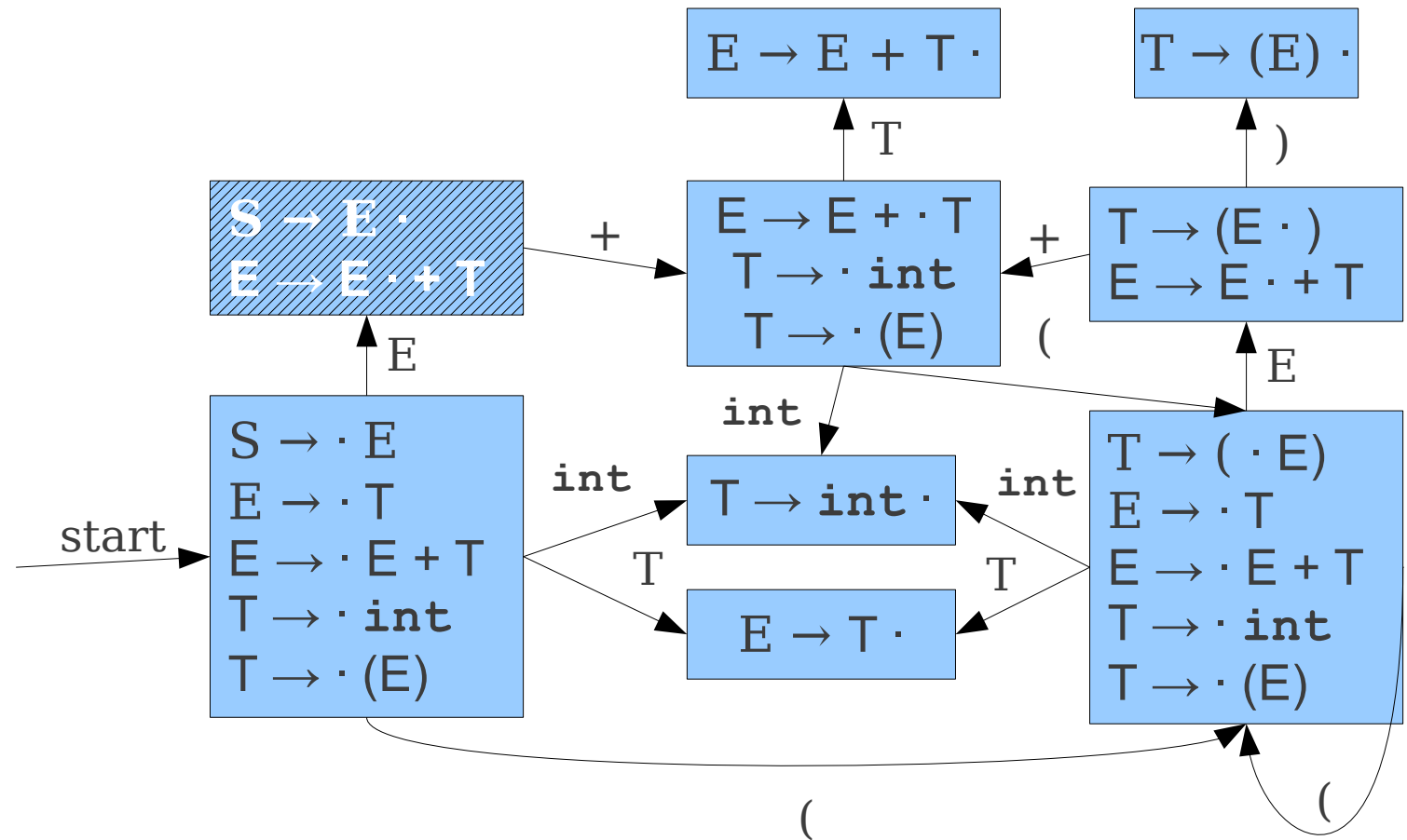
# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



# LR(1) Parsing: The Intuition

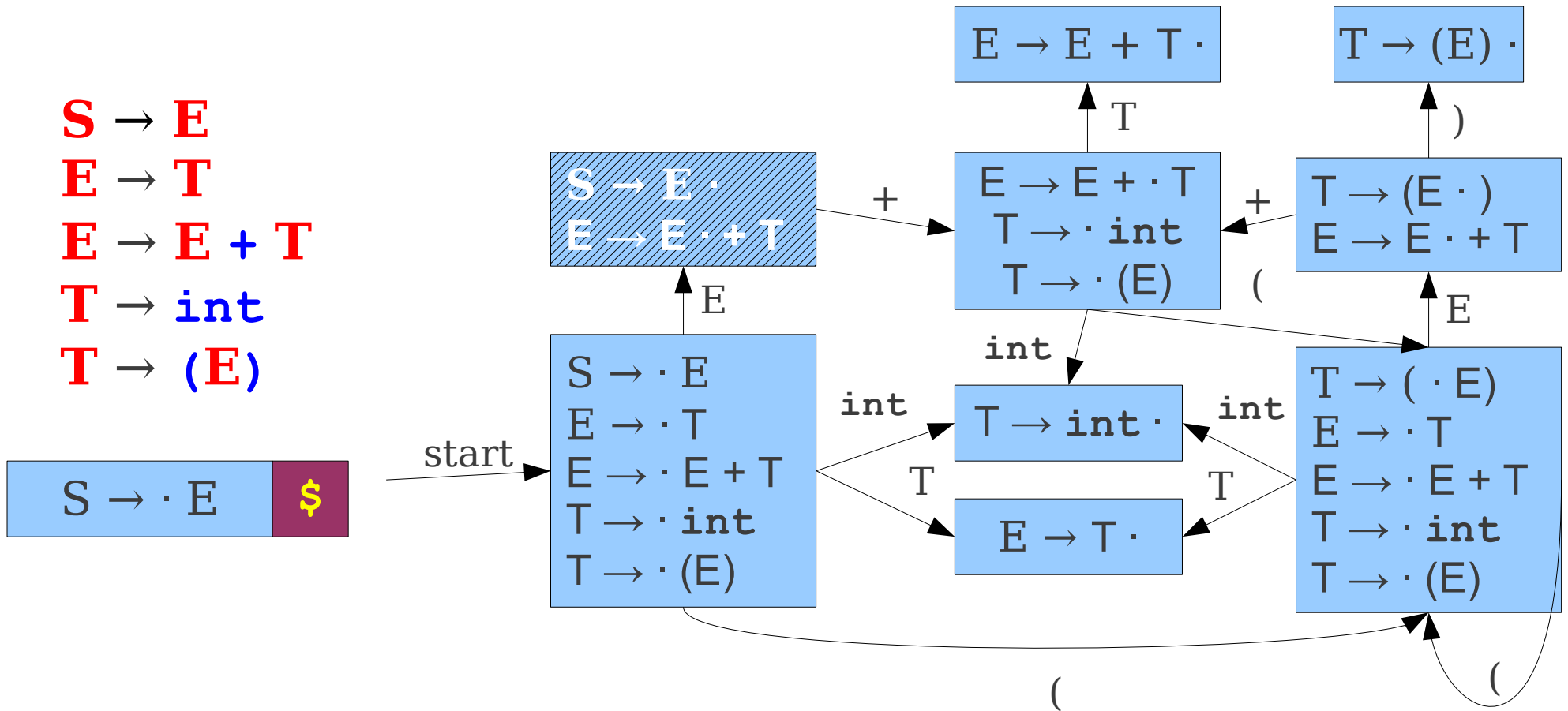
$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$



int	+	(	int	+	int	+	int	)	\$
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# LR(1) Parsing: The Intuition

**S**  $\rightarrow$  **E**  
**E**  $\rightarrow$  **T**  
**E**  $\rightarrow$  **E + T**  
**T**  $\rightarrow$  int  
**T**  $\rightarrow$  (**E**)

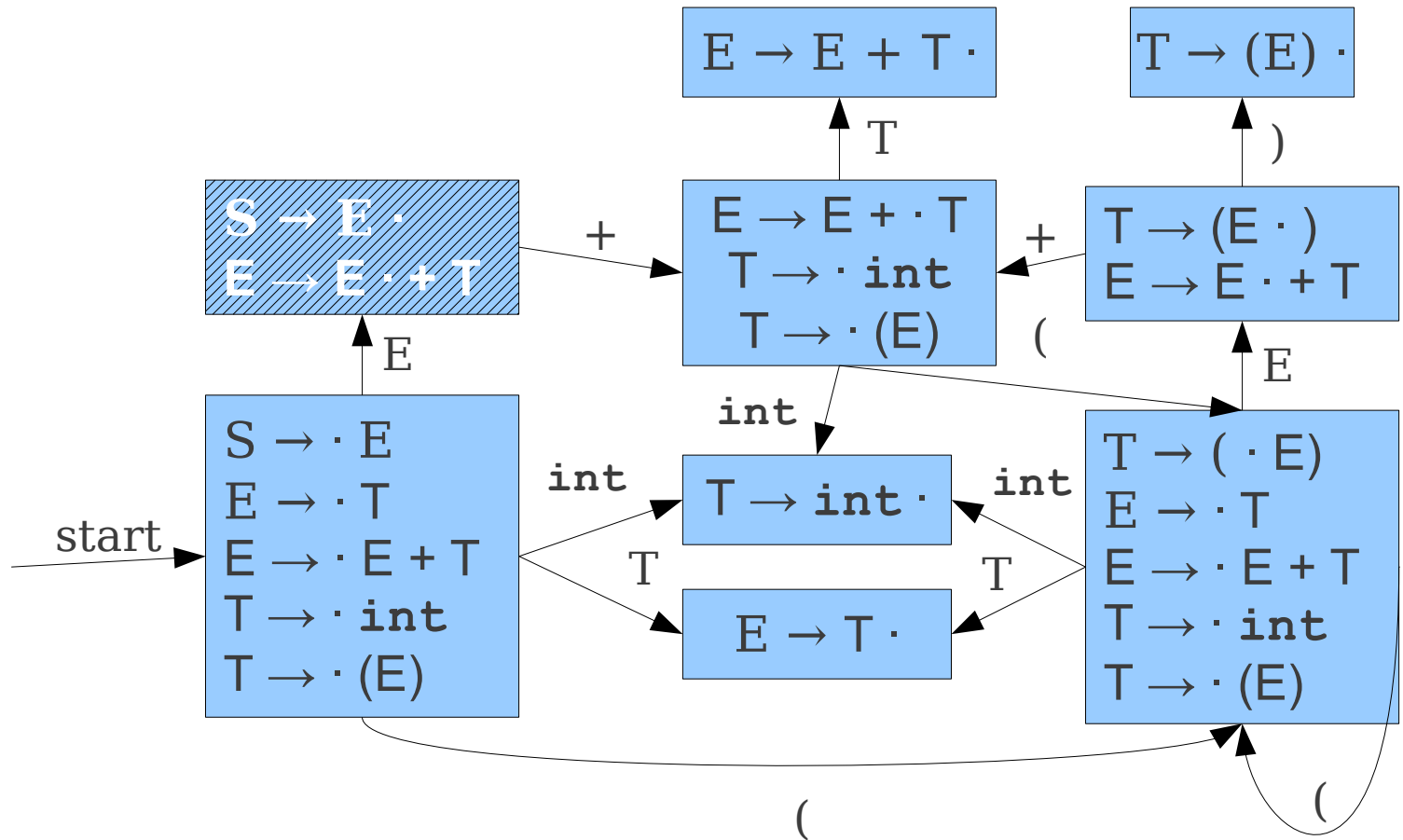


int	+	(	int	+	int	+	int	)	\$
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# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$

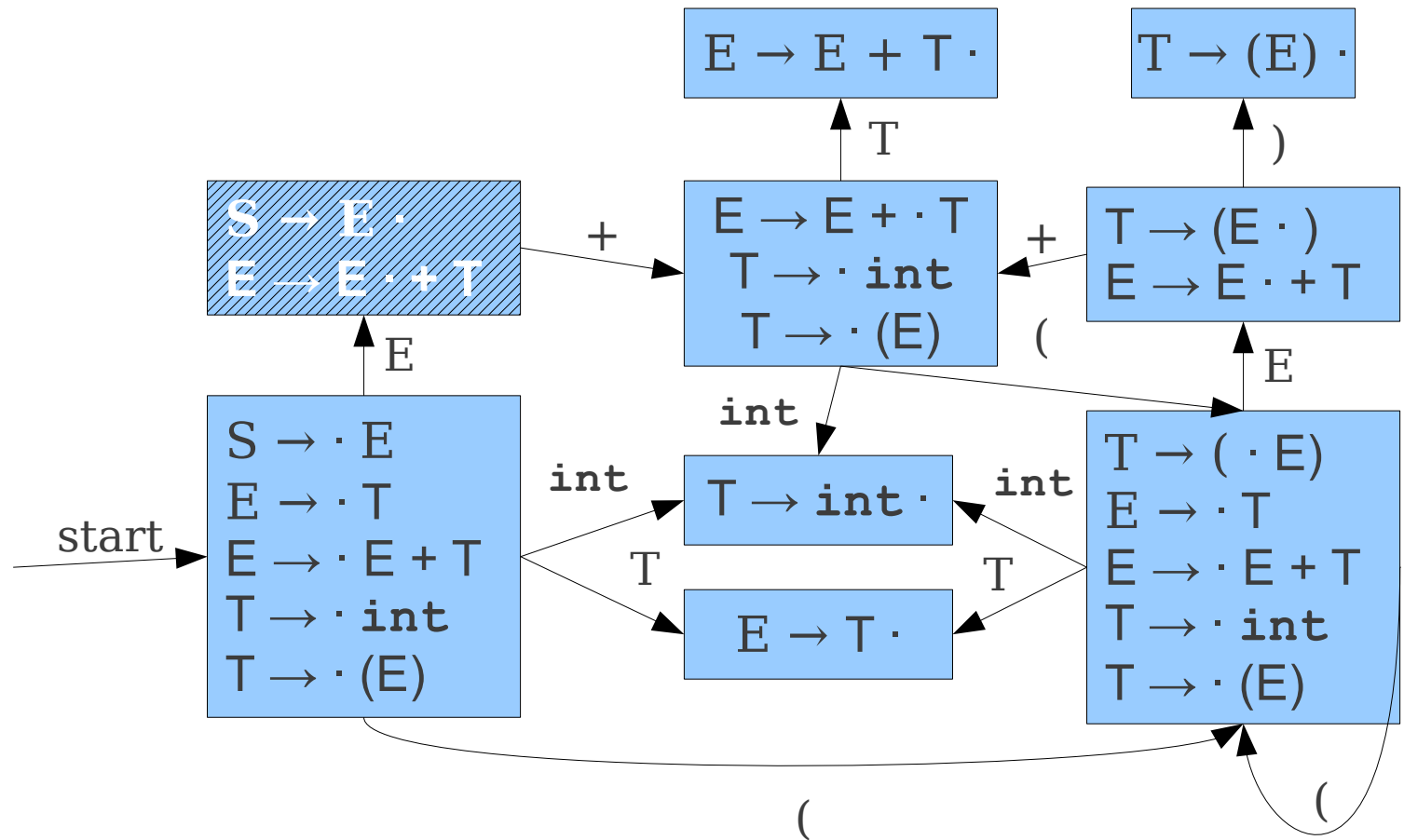


int	+	(	int	+	int	+	int	)	\$
-----	---	---	-----	---	-----	---	-----	---	----

# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$
$E \rightarrow \cdot T$	+

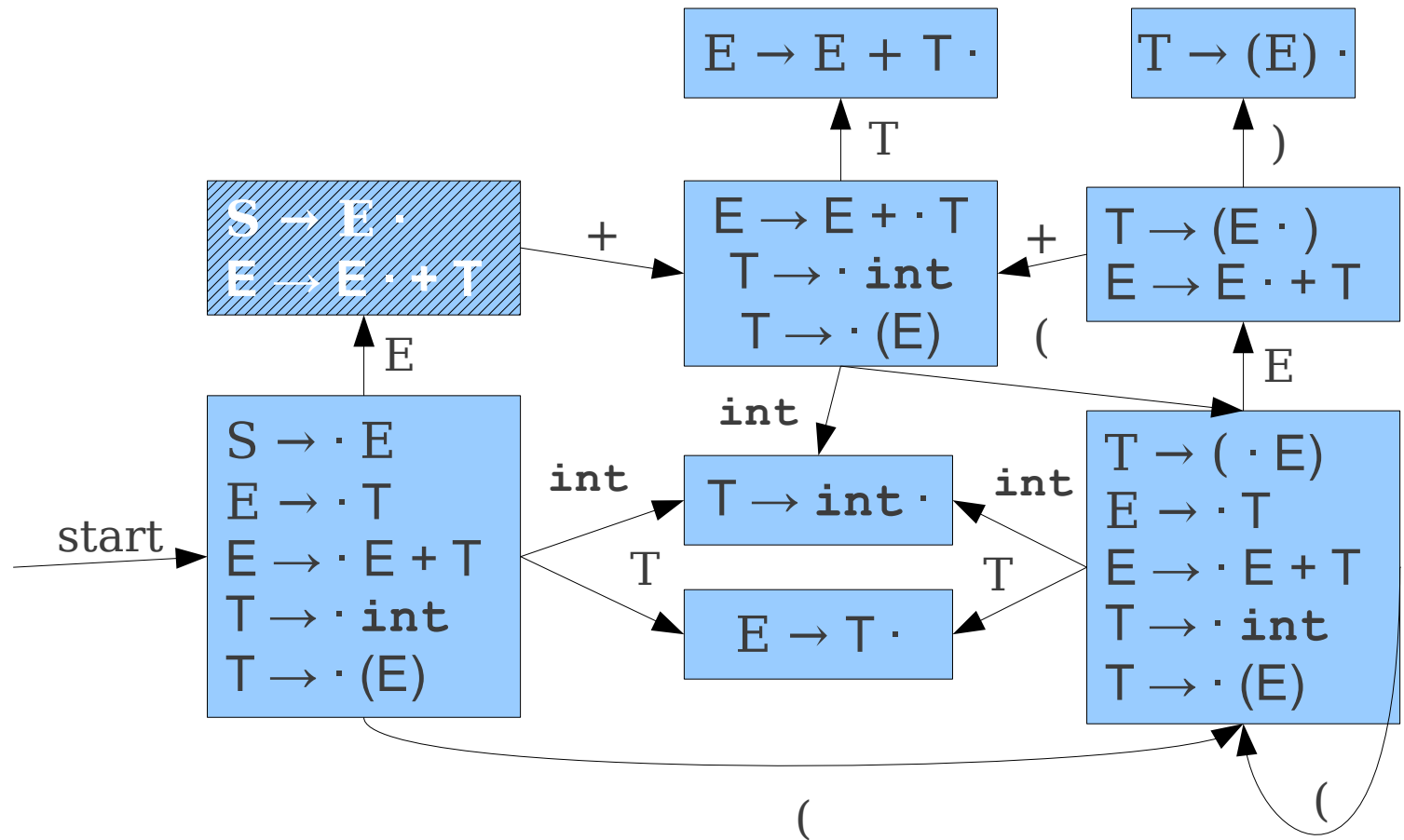


int	+	(	int	+	int	+	int	)	\$
-----	---	---	-----	---	-----	---	-----	---	----

# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$
$E \rightarrow \cdot T$	+
$T \rightarrow \cdot \text{int}$	+

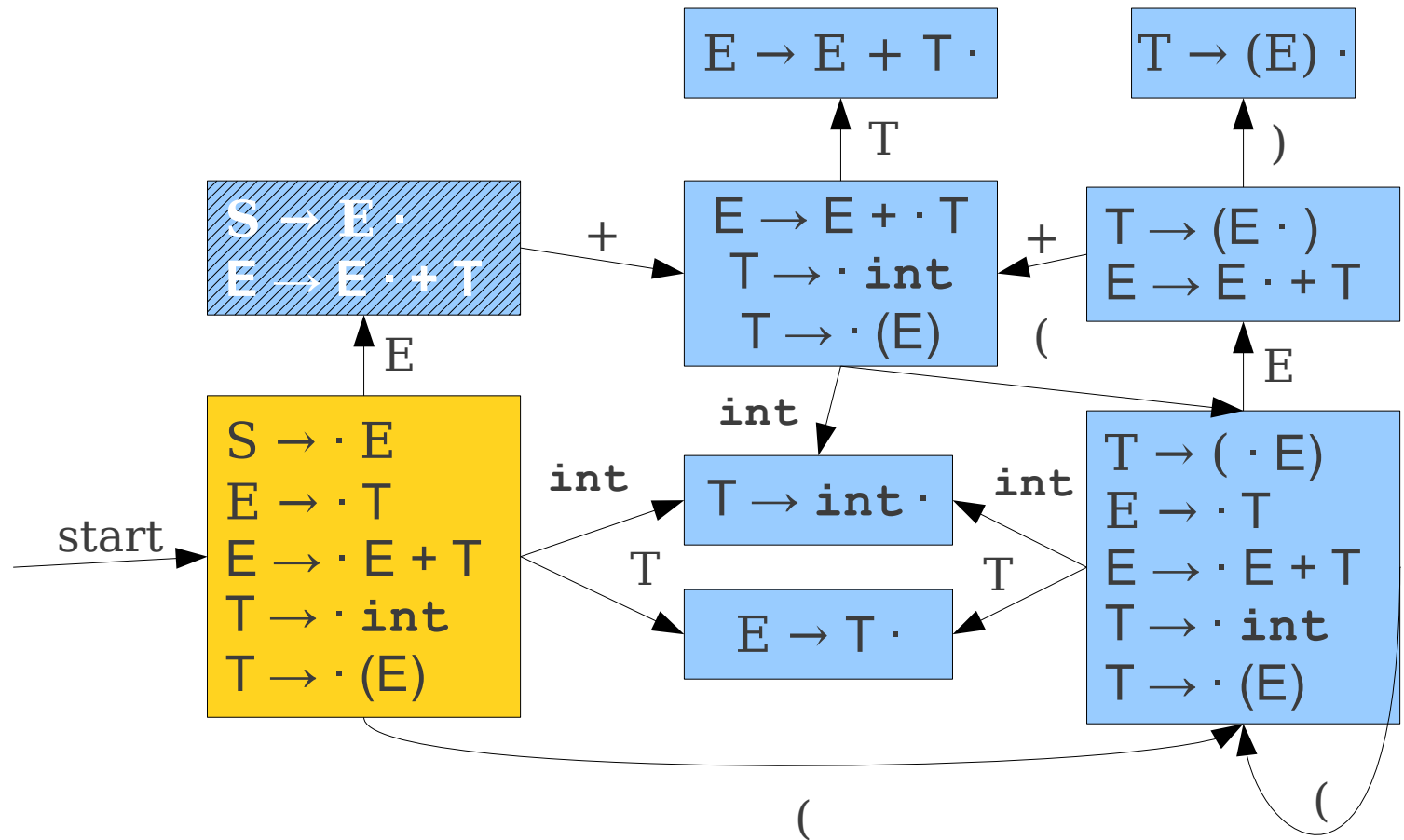


int + ( int + int + int ) \$

# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$
$E \rightarrow \cdot T$	+
$T \rightarrow \cdot \text{int}$	+

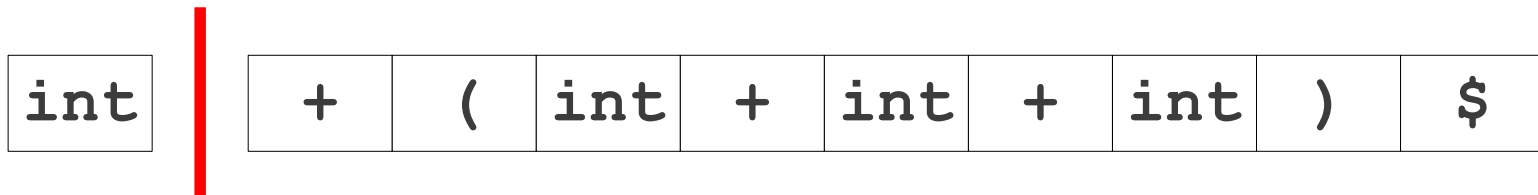
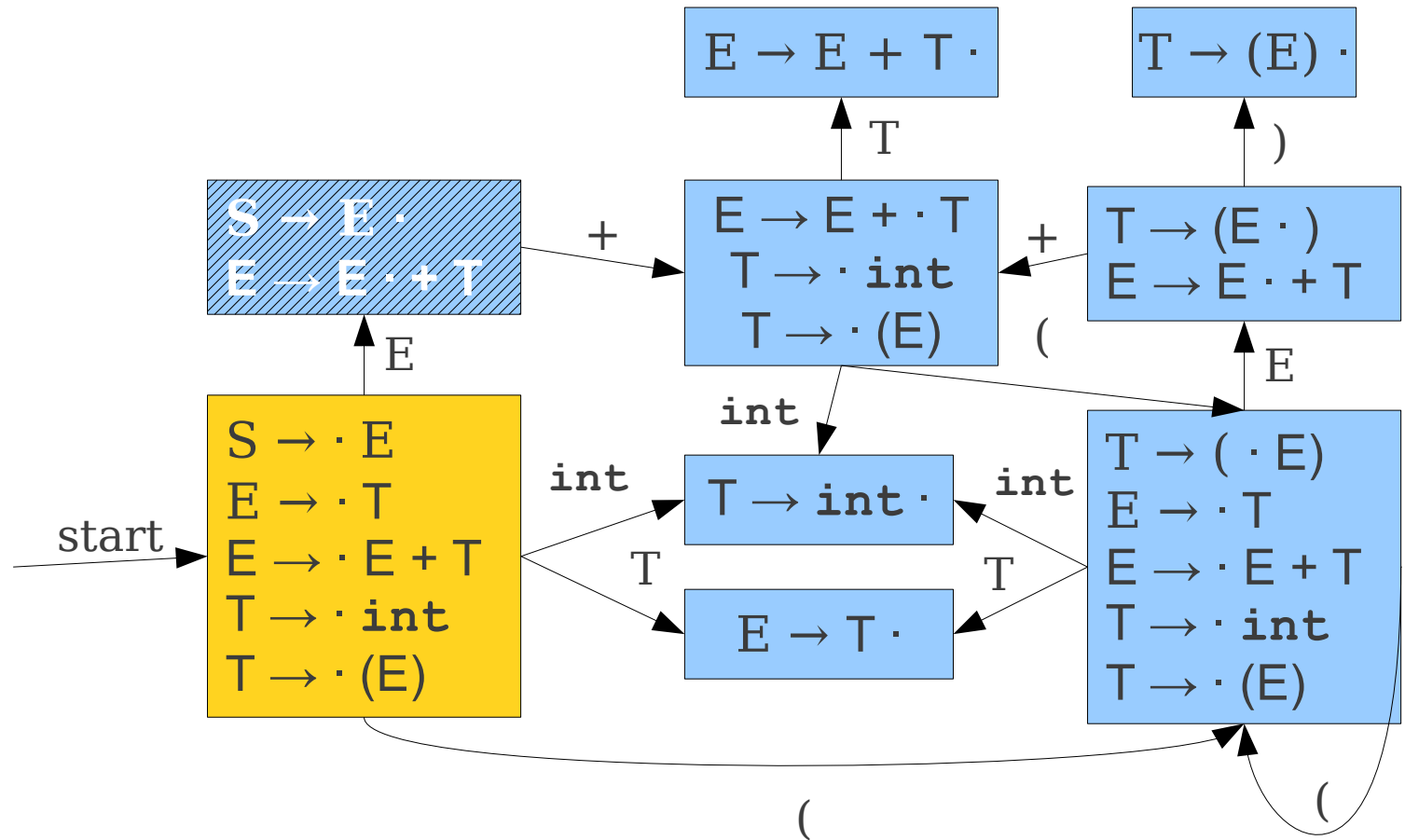


int	+	(	int	+	int	+	int	)	\$
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# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
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 $T \rightarrow (E)$

$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$
$E \rightarrow \cdot T$	+
$T \rightarrow \cdot \text{int}$	+

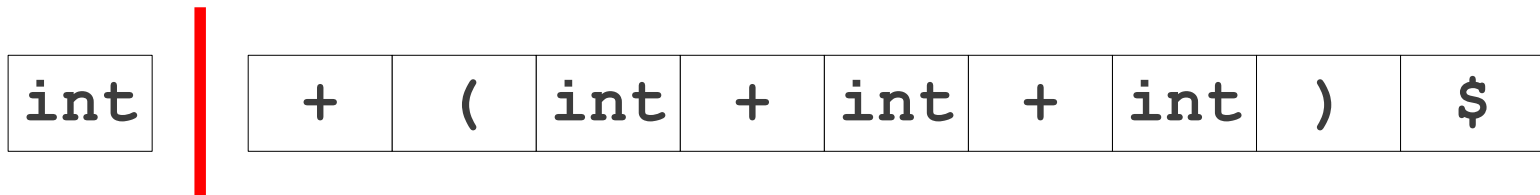
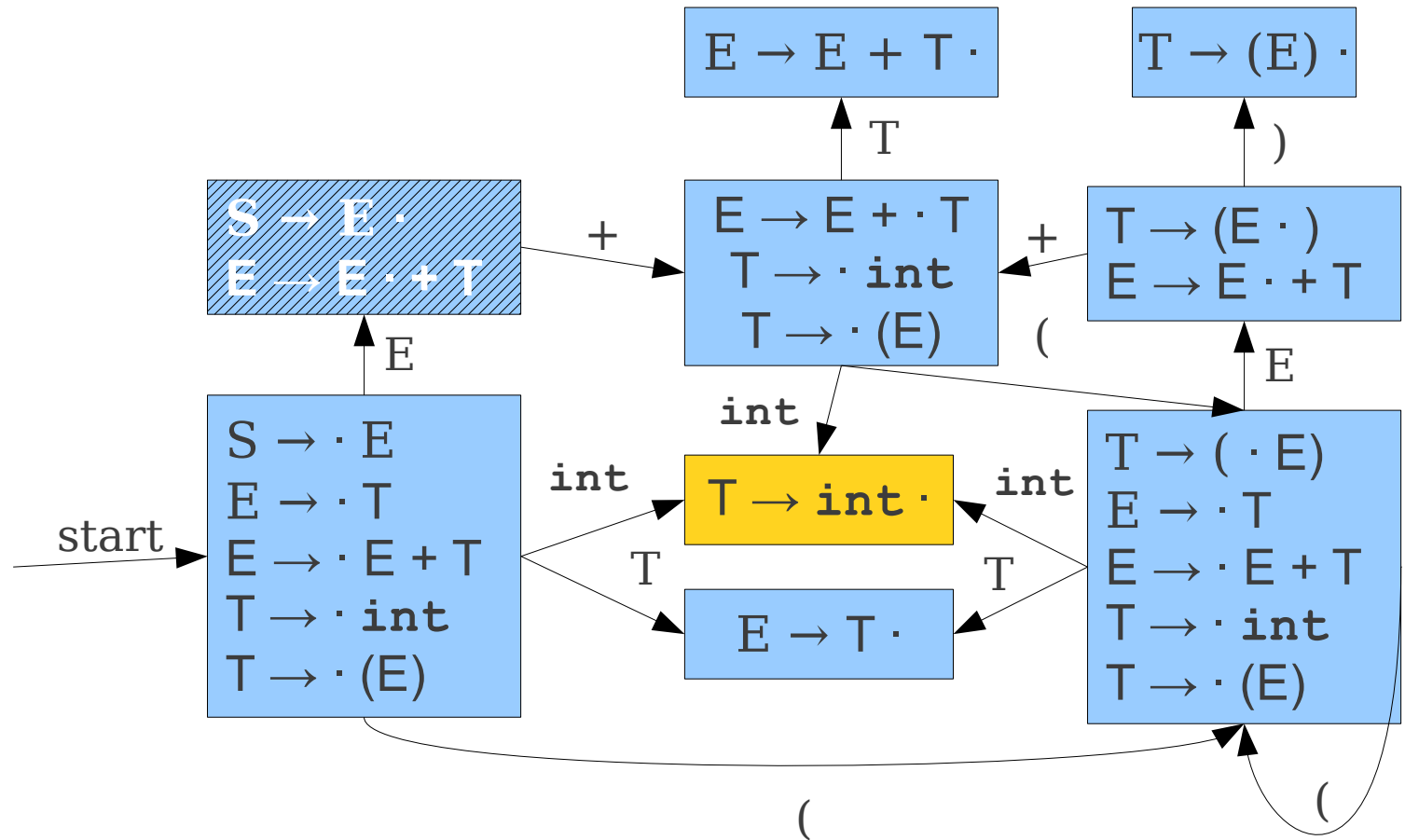




# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

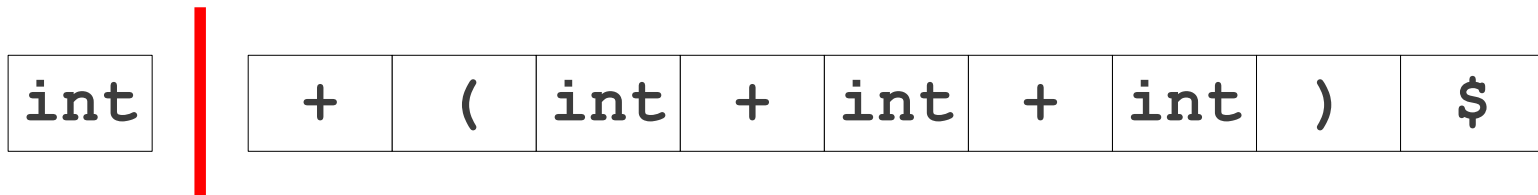
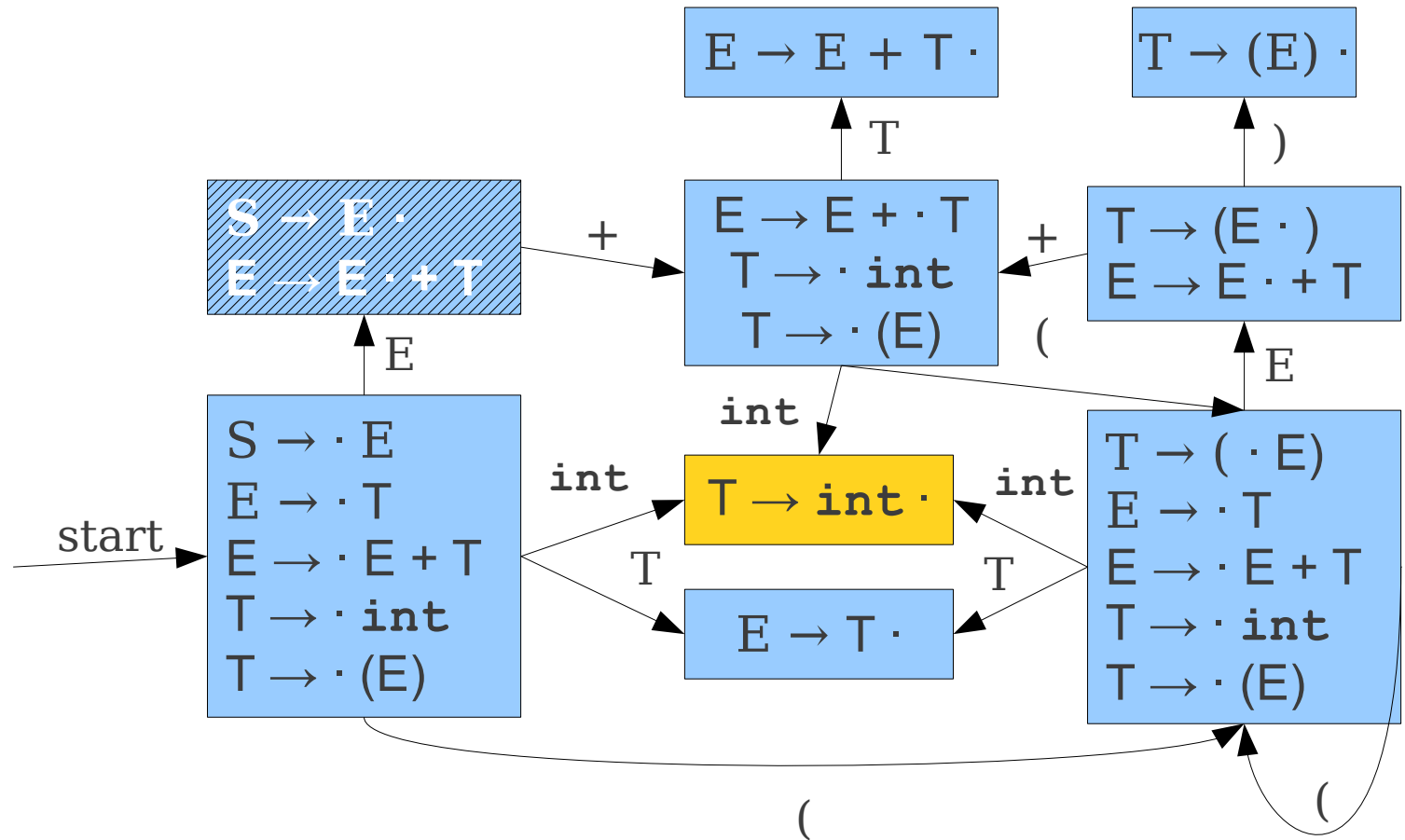
$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$
$E \rightarrow \cdot T$	+
$T \rightarrow \cdot \text{int}$	+



# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

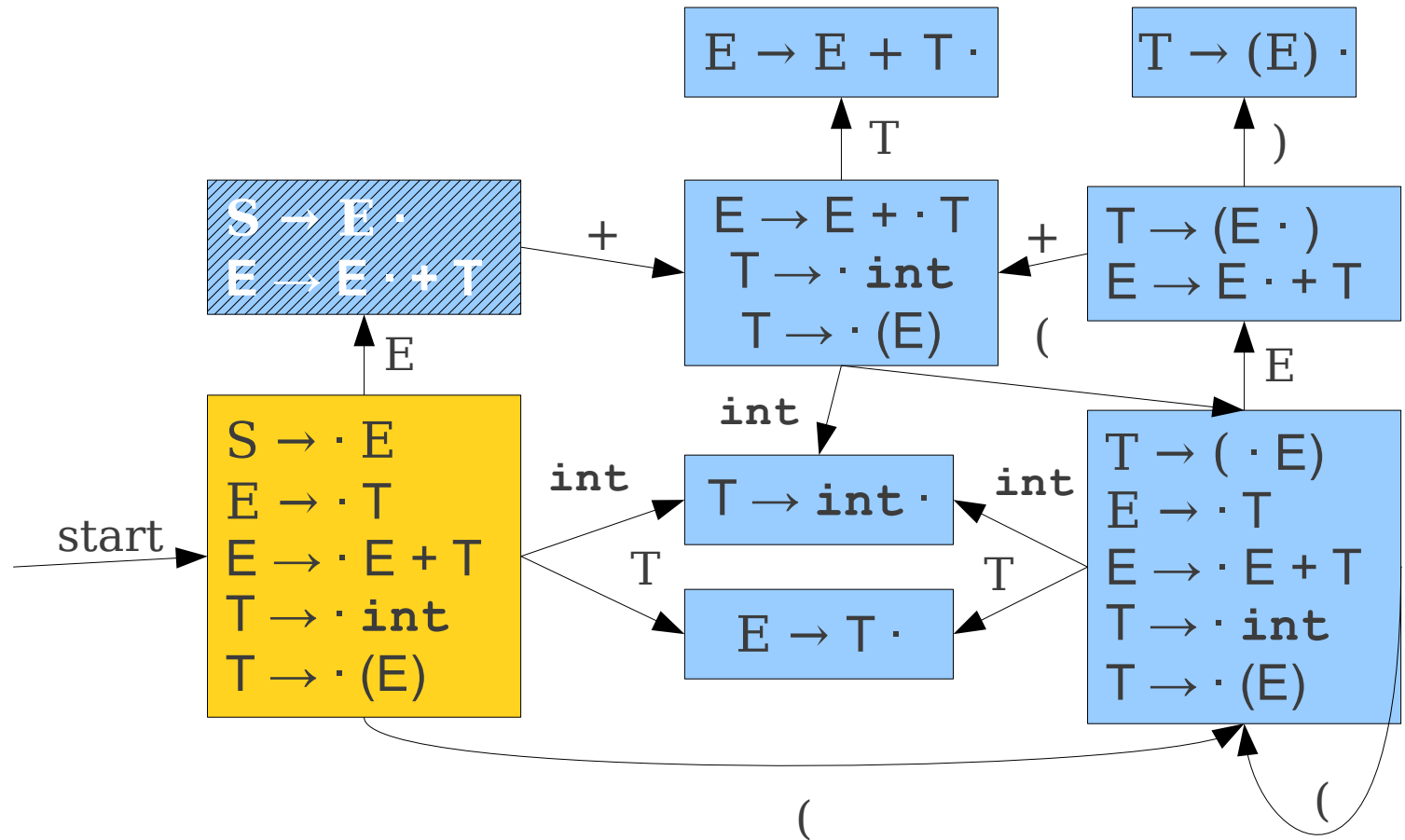
$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$
$E \rightarrow \cdot T$	+
$T \rightarrow \text{int} \cdot$	+



# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$
$E \rightarrow \cdot T$	+

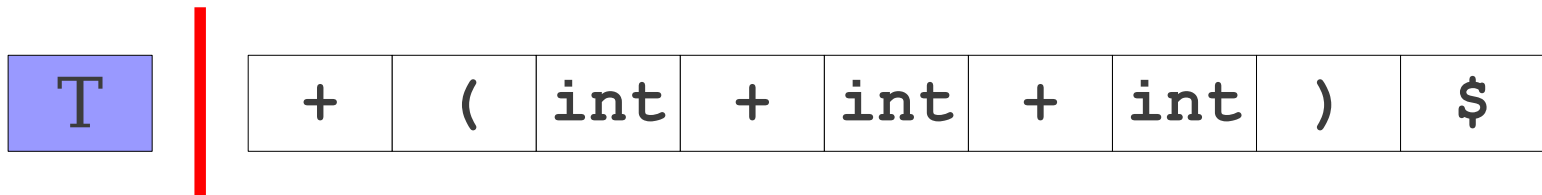
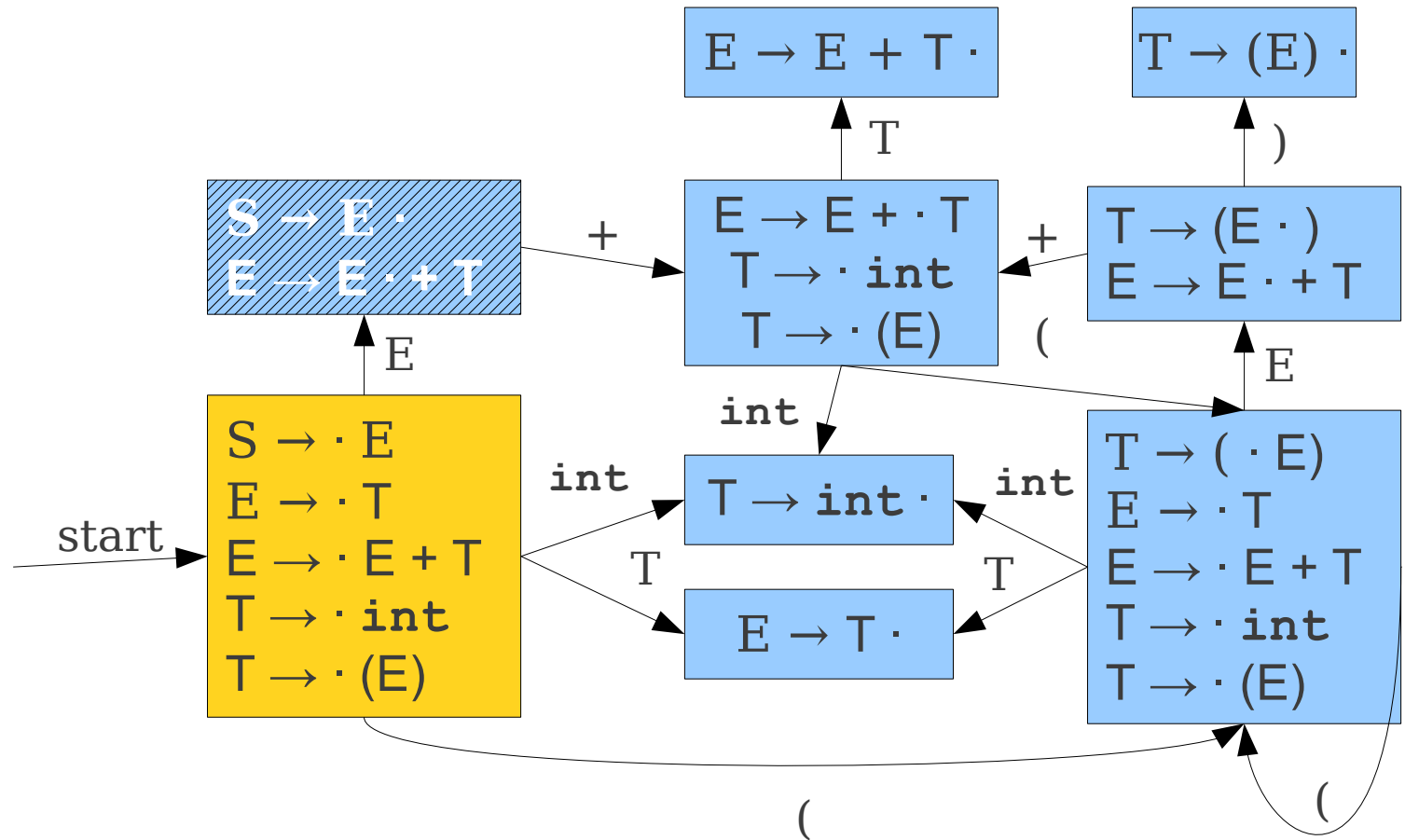


+ ( int + int + int ) \$

# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

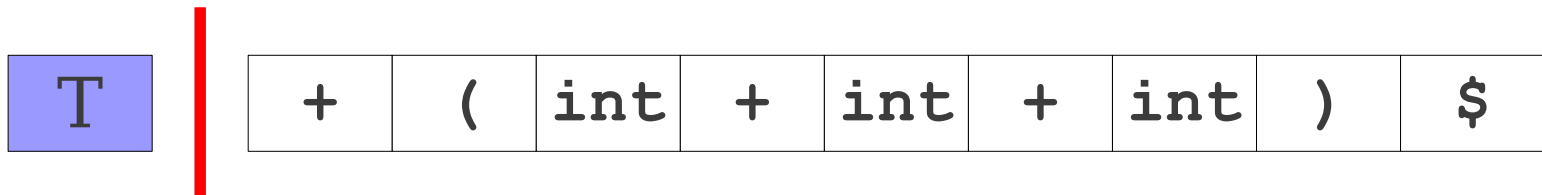
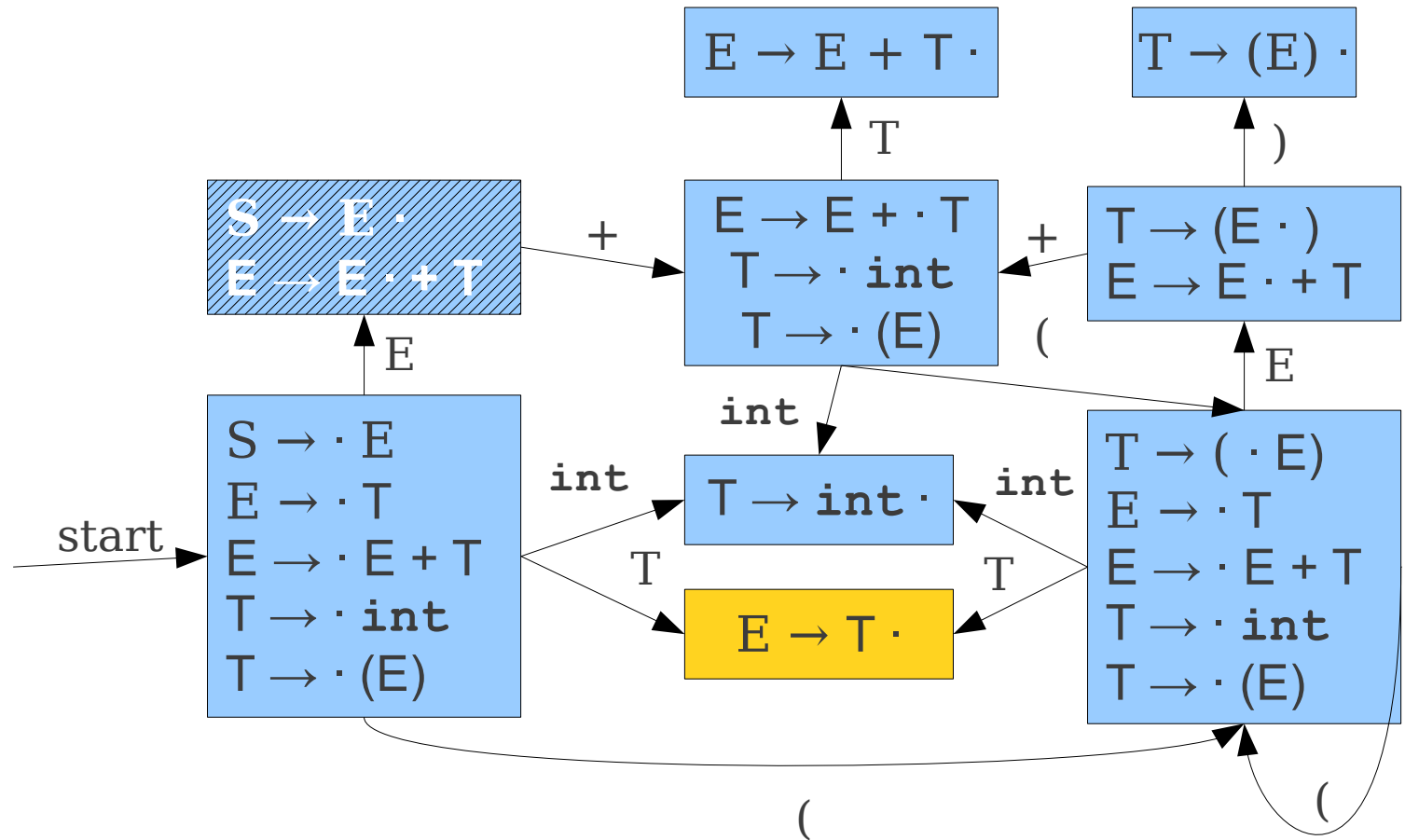
$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$
$E \rightarrow \cdot T$	+



# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

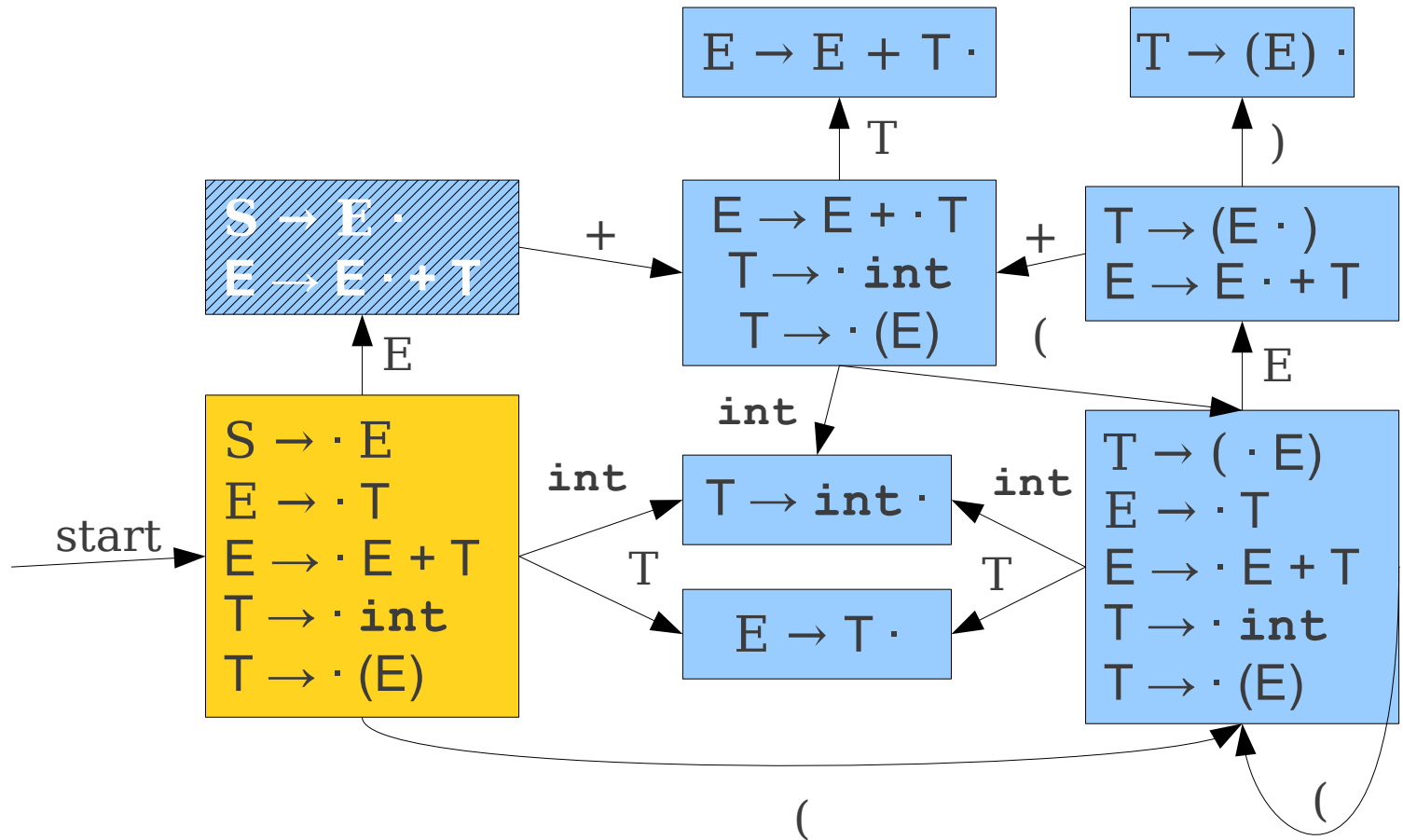
$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$
$E \rightarrow T \cdot$	+



# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$

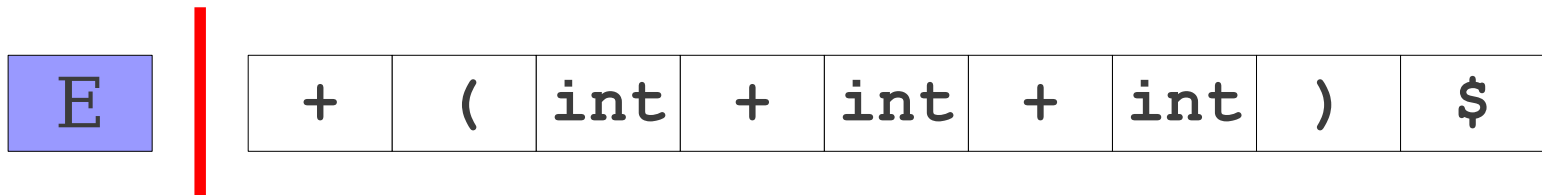
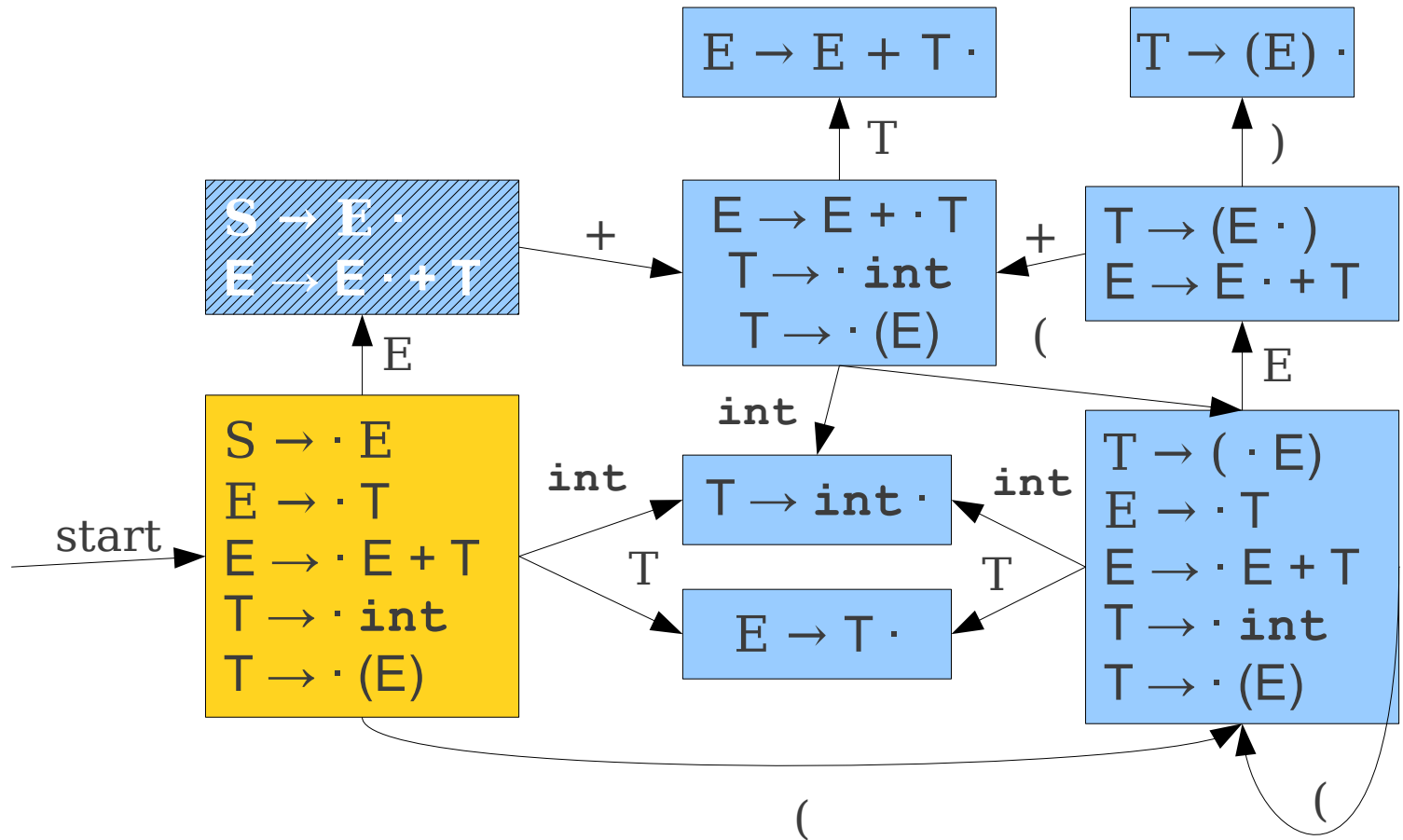


+	(	int	+	int	+	int	)	\$
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# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
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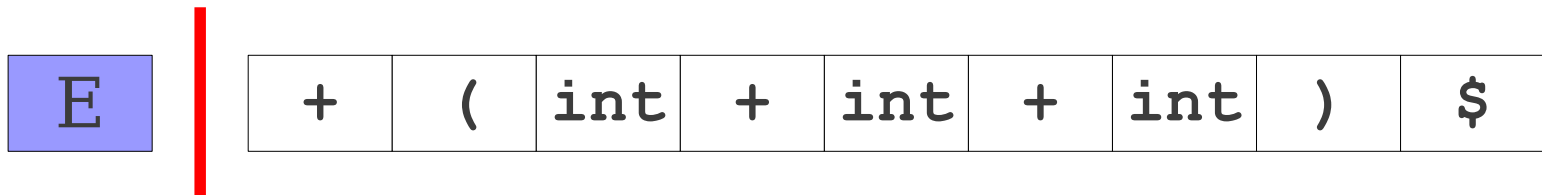
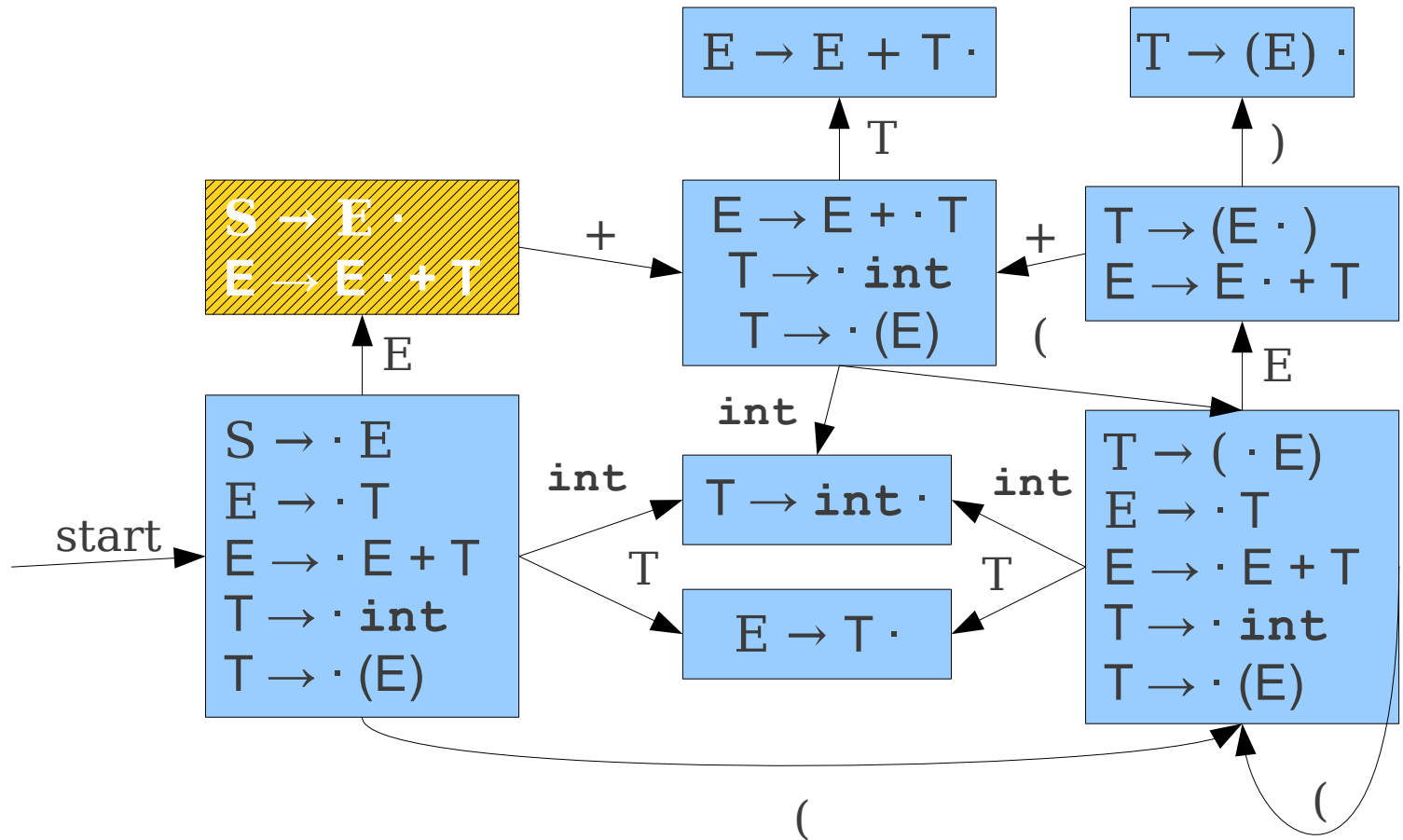
$S \rightarrow \cdot E$	\$
$E \rightarrow \cdot E + T$	\$



# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

$S \rightarrow \cdot E$	\$
$E \rightarrow E \cdot + T$	\$

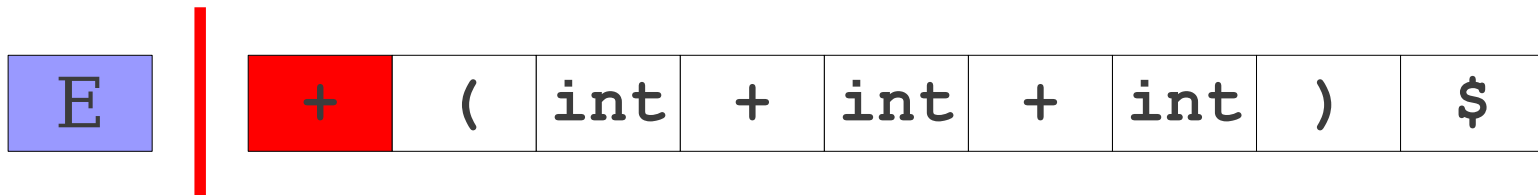
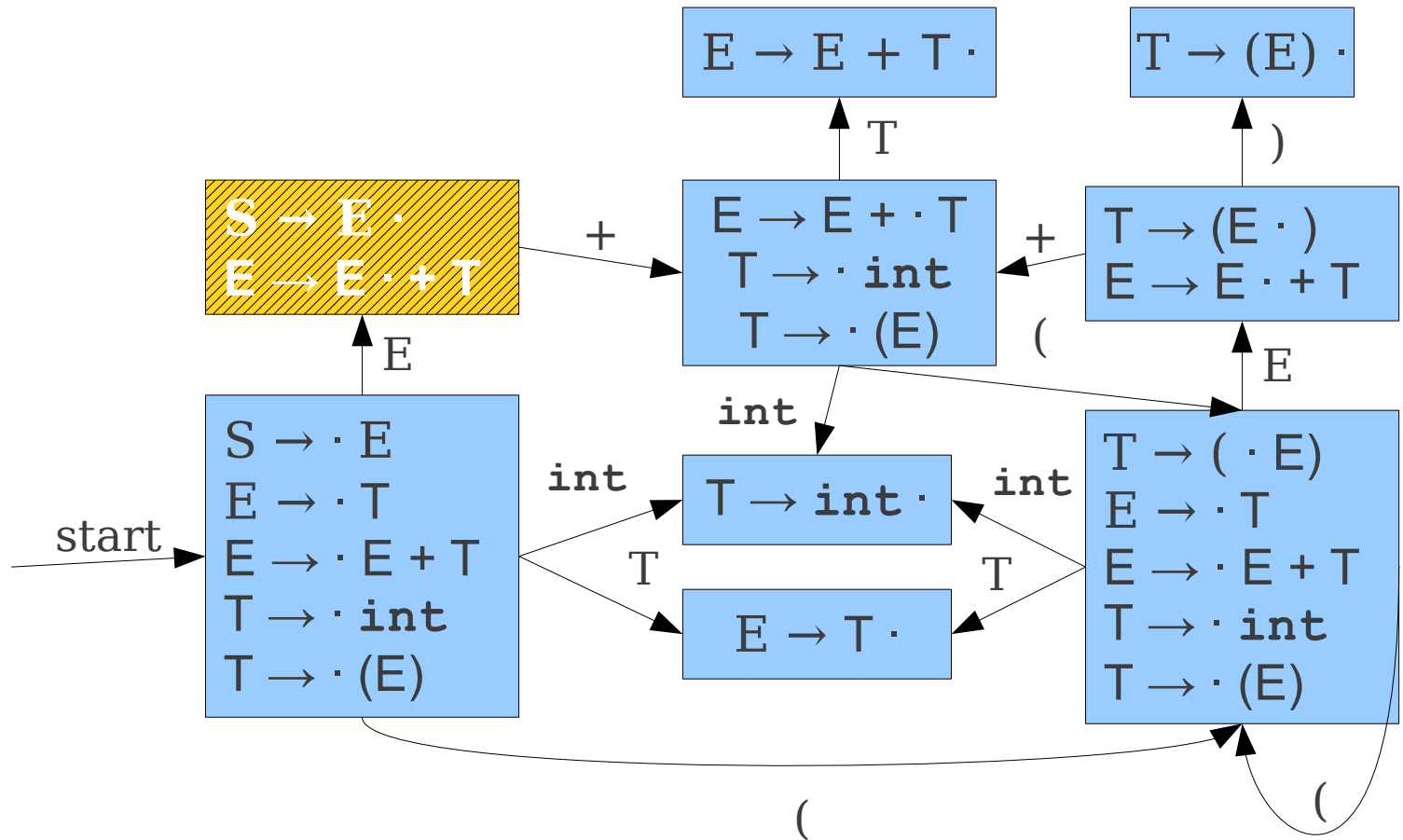




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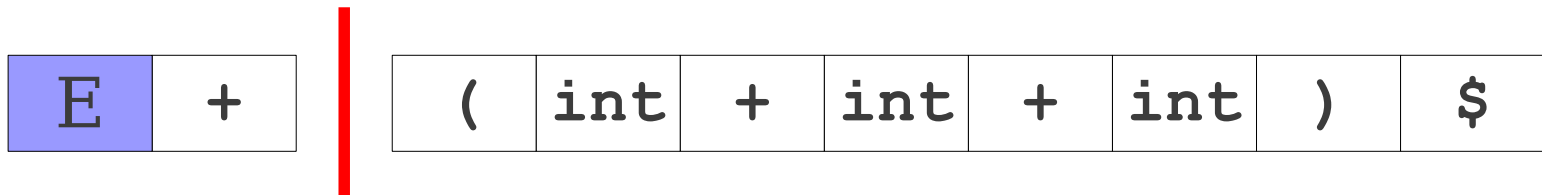
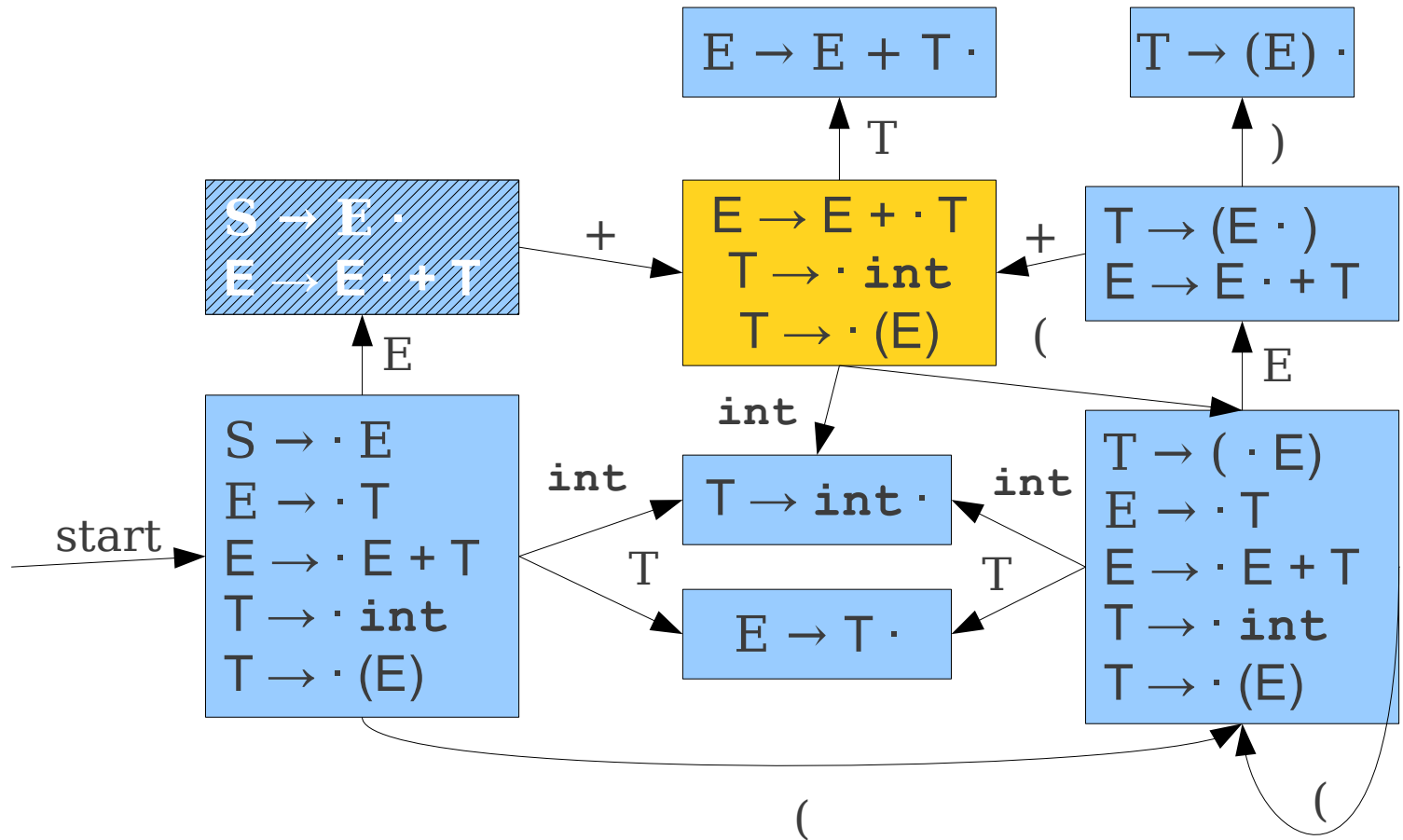
$S \rightarrow \cdot E$	\$
$E \rightarrow E \cdot + T$	\$



# LR(1) Parsing: The Intuition

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

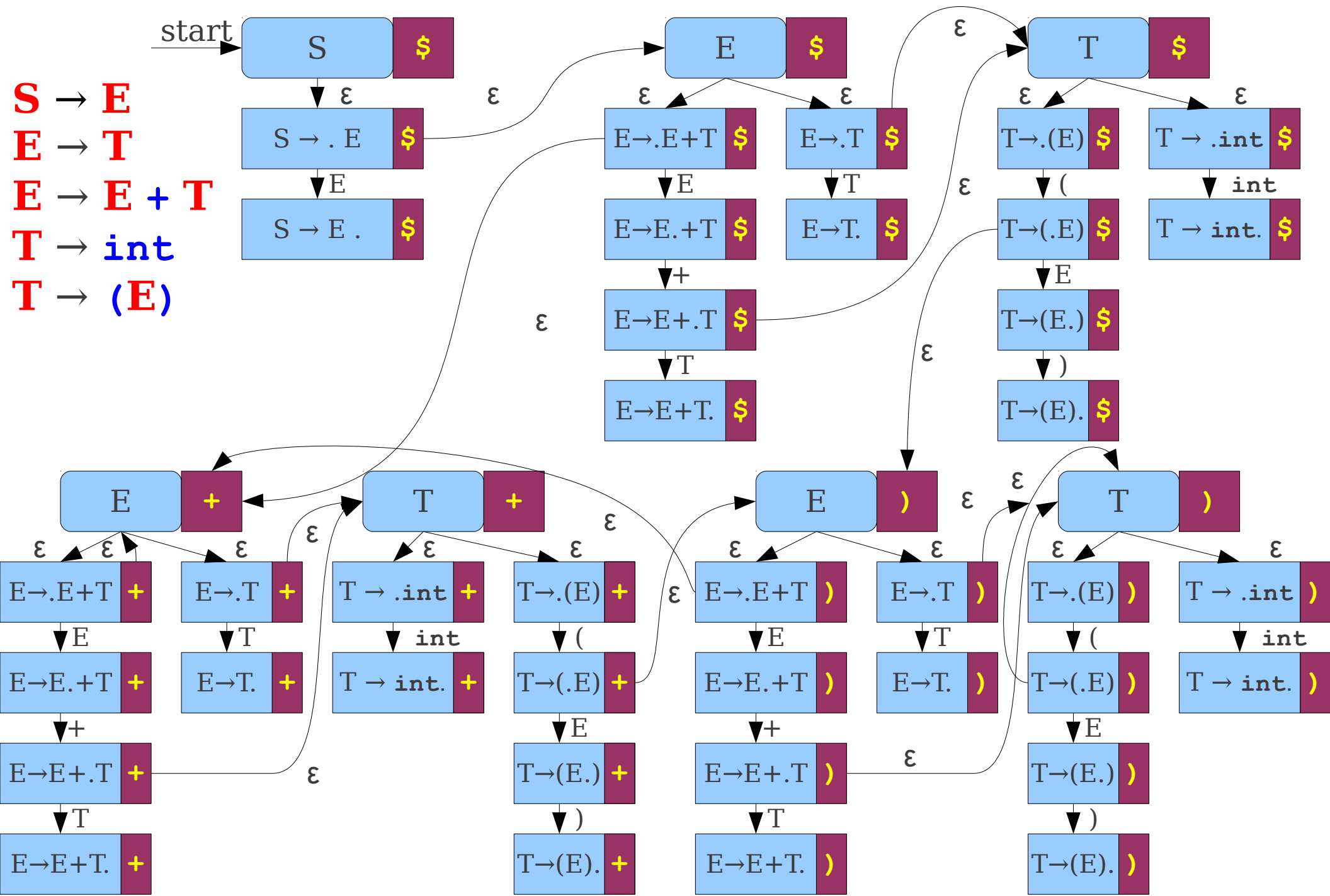
$S \rightarrow \cdot E$	\$
$E \rightarrow E \cdot + T$	\$



# The Intuition behind LR(1)

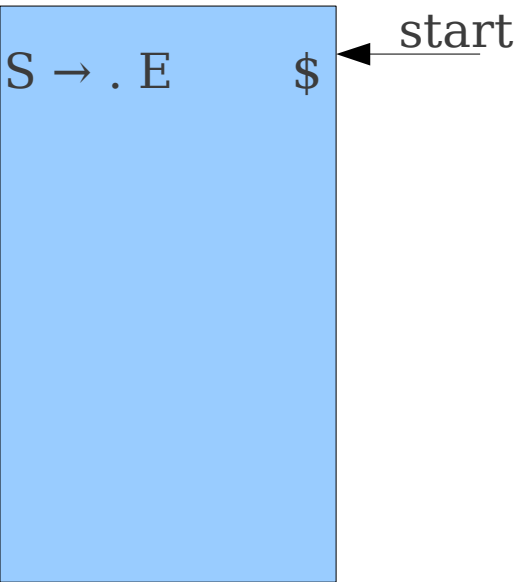
- Guess which series of productions we are reversing.
- Use this information to maintain information about what lookahead to expect.
- When deciding whether to shift or reduce, use lookahead to disambiguate.

# LR(1) Automata

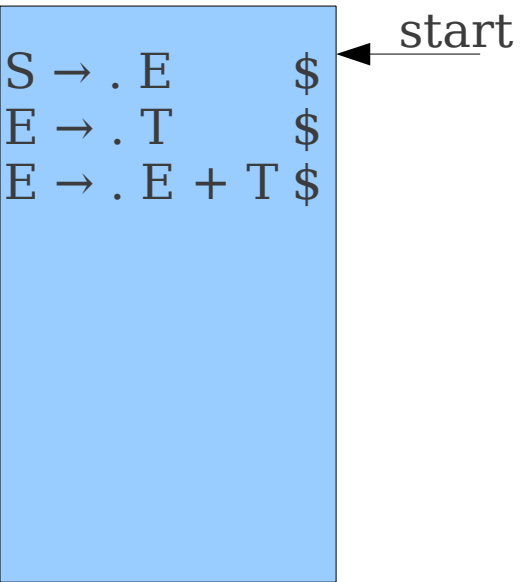


# Deterministic LR(1) Automata


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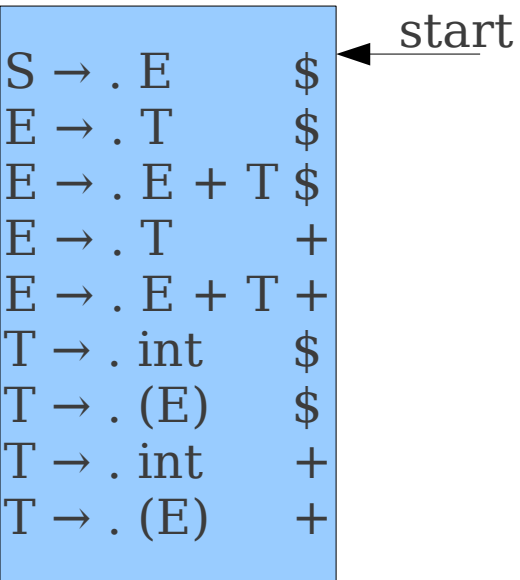
$S \rightarrow . E$	$\$$	
$E \rightarrow . T$	$\$$	
$E \rightarrow . E + T$	$\$$	
$E \rightarrow . T$	$+$	
$E \rightarrow . E + T$	$+$	




# Deterministic LR(1) Automata

$S \rightarrow . E$	\$	
$E \rightarrow . T$	\$	
$E \rightarrow . E + T$	\$	
$E \rightarrow . T$	+	
$E \rightarrow . E + T$	+	
$T \rightarrow . \text{int}$	\$	
$T \rightarrow . (E)$	\$	

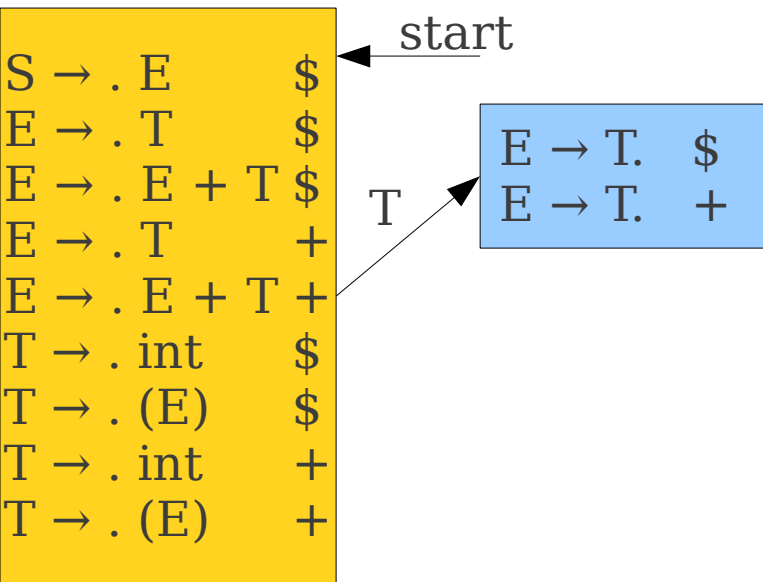
# Deterministic LR(1) Automata

$S \rightarrow . E$	\$	 <u>start</u>
$E \rightarrow . T$	\$	
$E \rightarrow . E + T$	\$	
$E \rightarrow . T$	+	
$E \rightarrow . E + T$	+	
$T \rightarrow . \text{int}$	\$	
$T \rightarrow . (E)$	\$	
$T \rightarrow . \text{int}$	+	
$T \rightarrow . (E)$	+	
$T \rightarrow . (E)$	+	

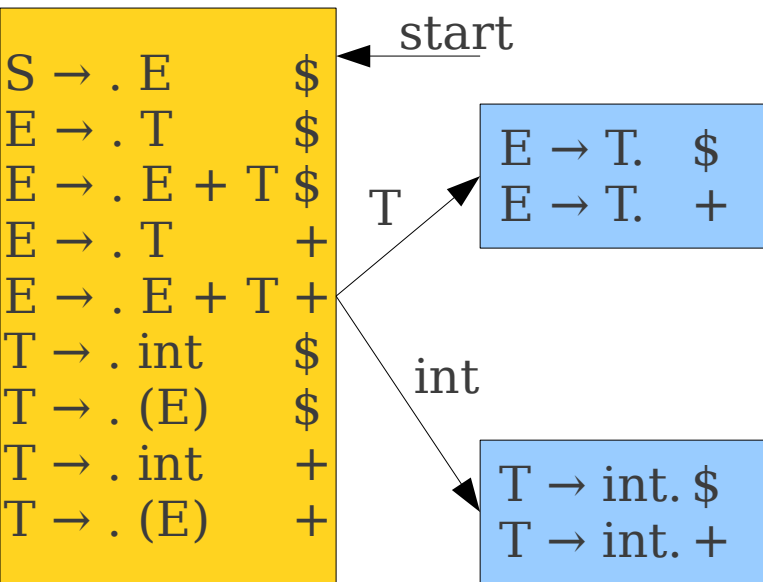
# Deterministic LR(1) Automata

$S \rightarrow . E$	\$	
$E \rightarrow . T$	\$	
$E \rightarrow . E + T$	\$	
$E \rightarrow . T$	+	
$E \rightarrow . E + T$	+	
$T \rightarrow . \text{int}$	\$	
$T \rightarrow . (E)$	\$	
$T \rightarrow . \text{int}$	+	
$T \rightarrow . (E)$	+	

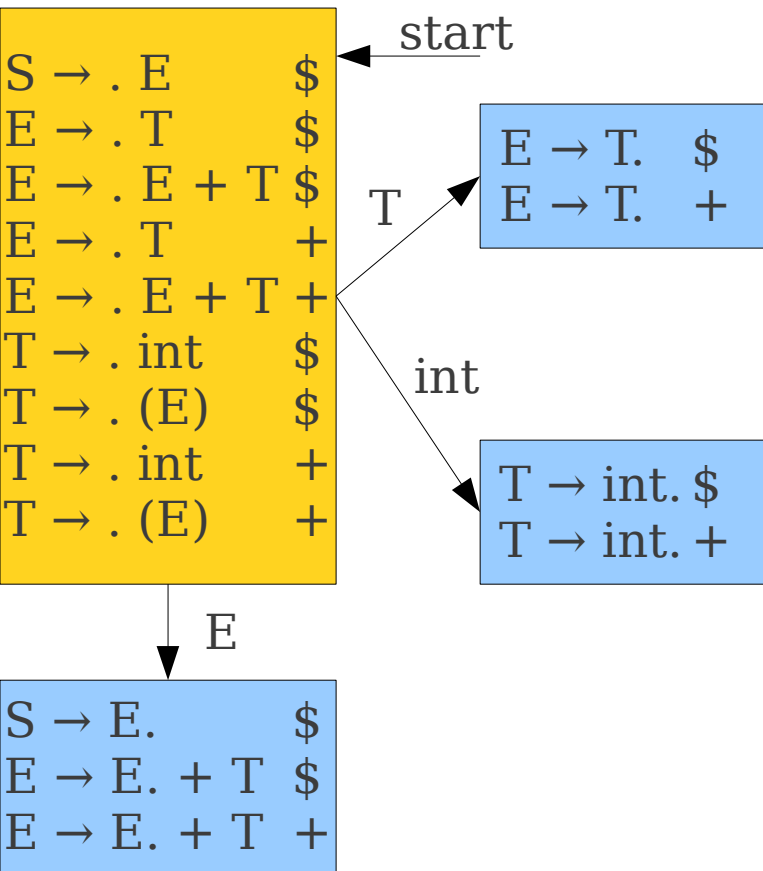
# Deterministic LR(1) Automata



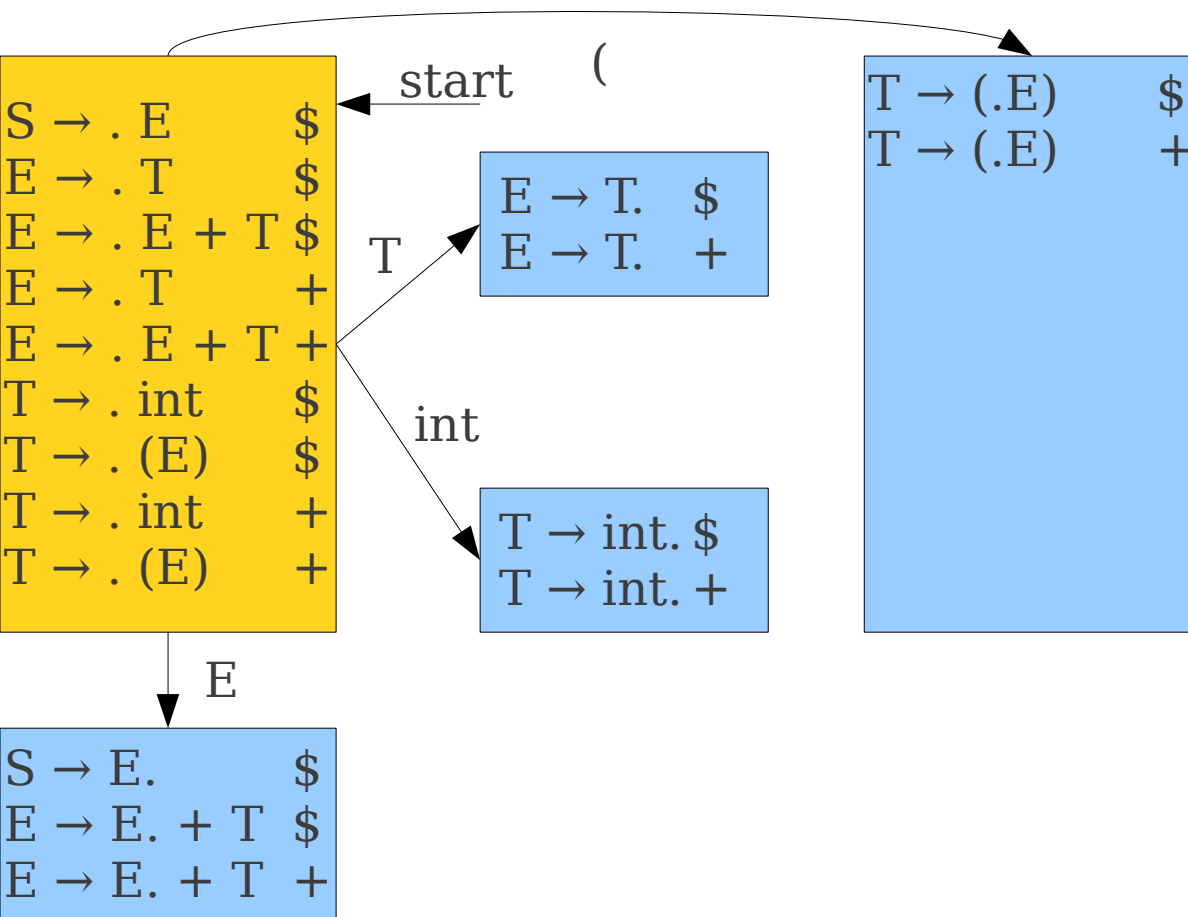
# Deterministic LR(1) Automata



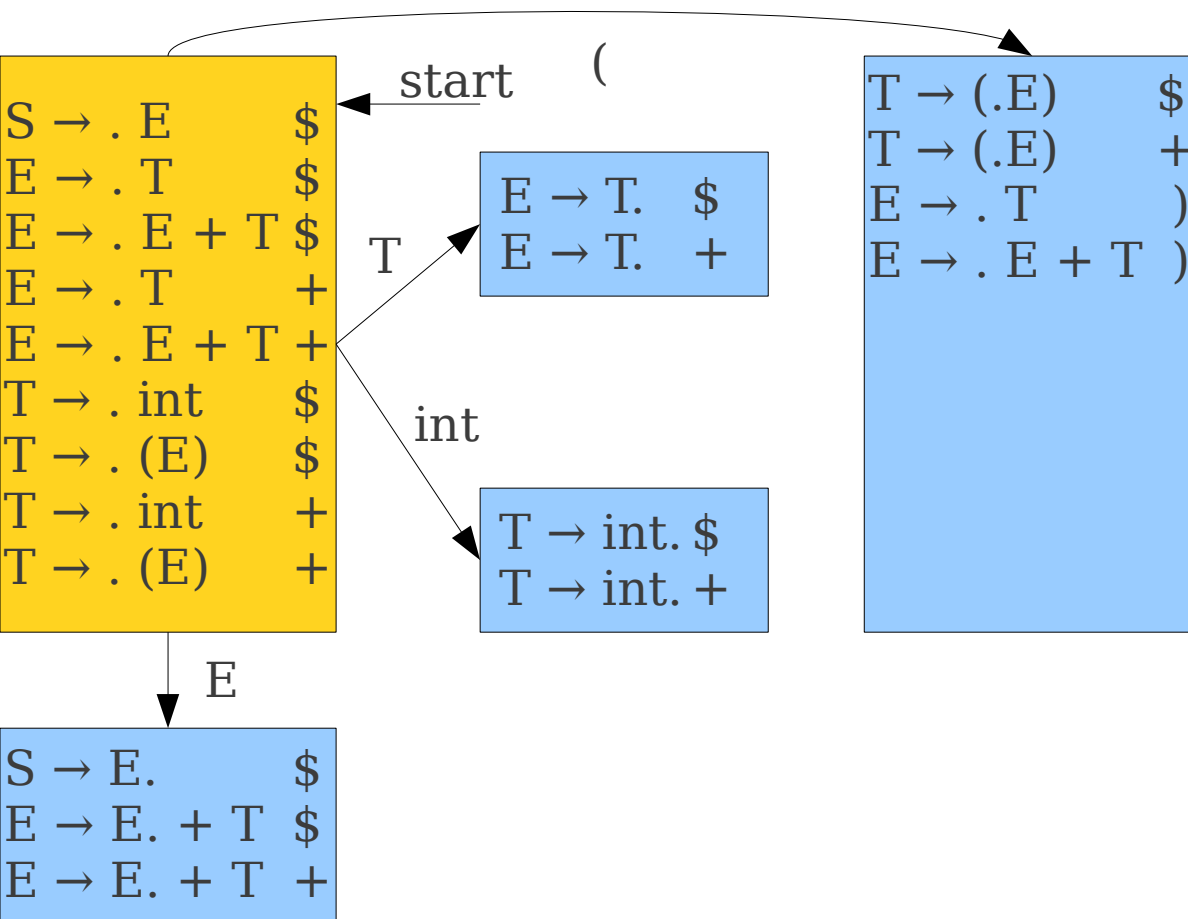
# Deterministic LR(1) Automata



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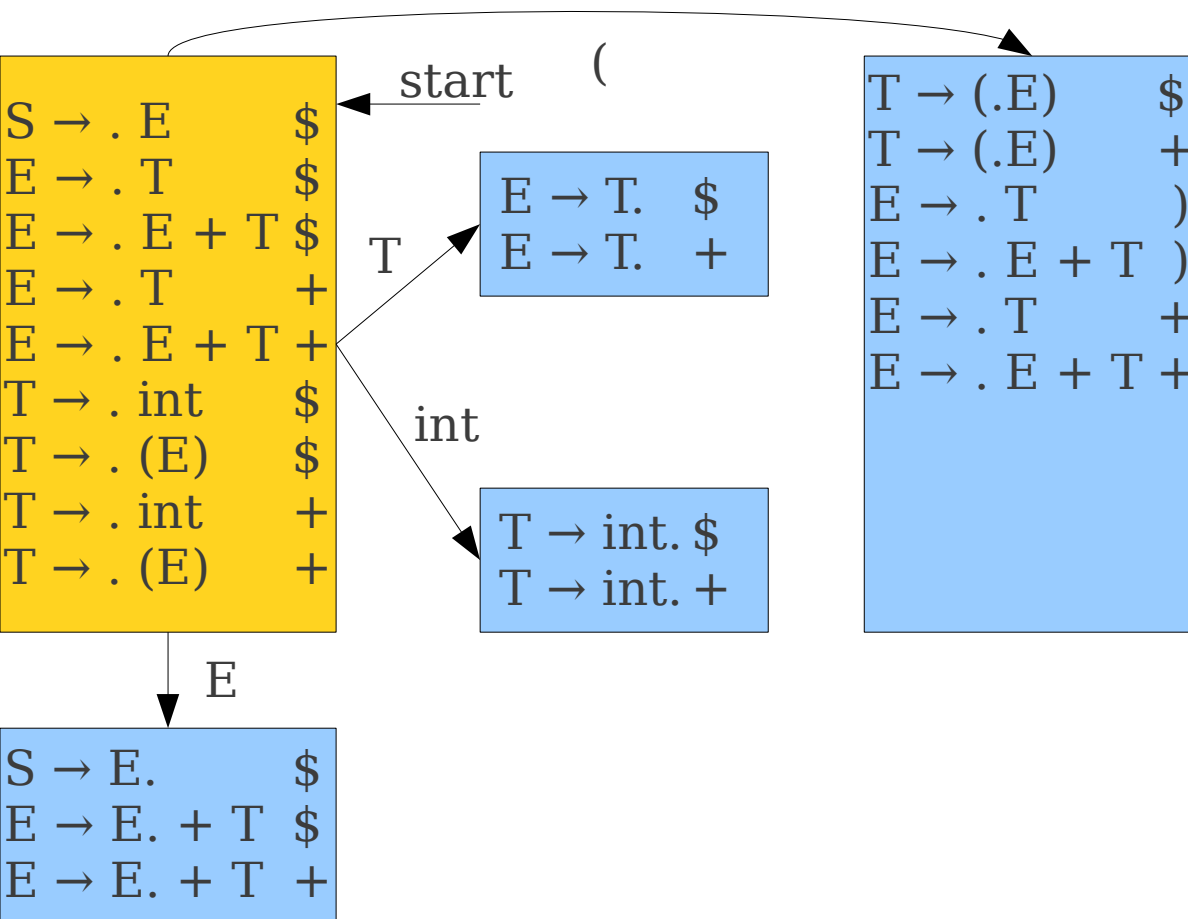


# Deterministic LR(1) Automata

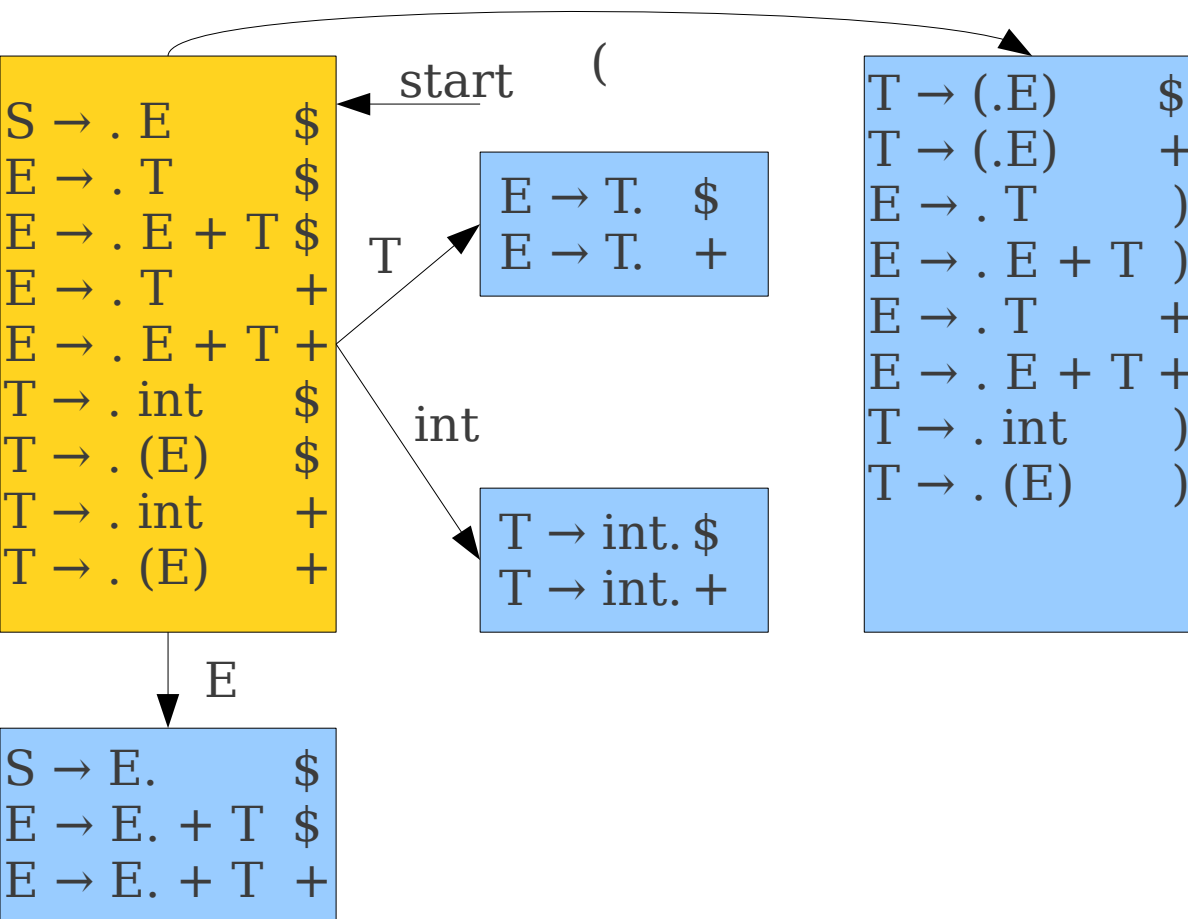




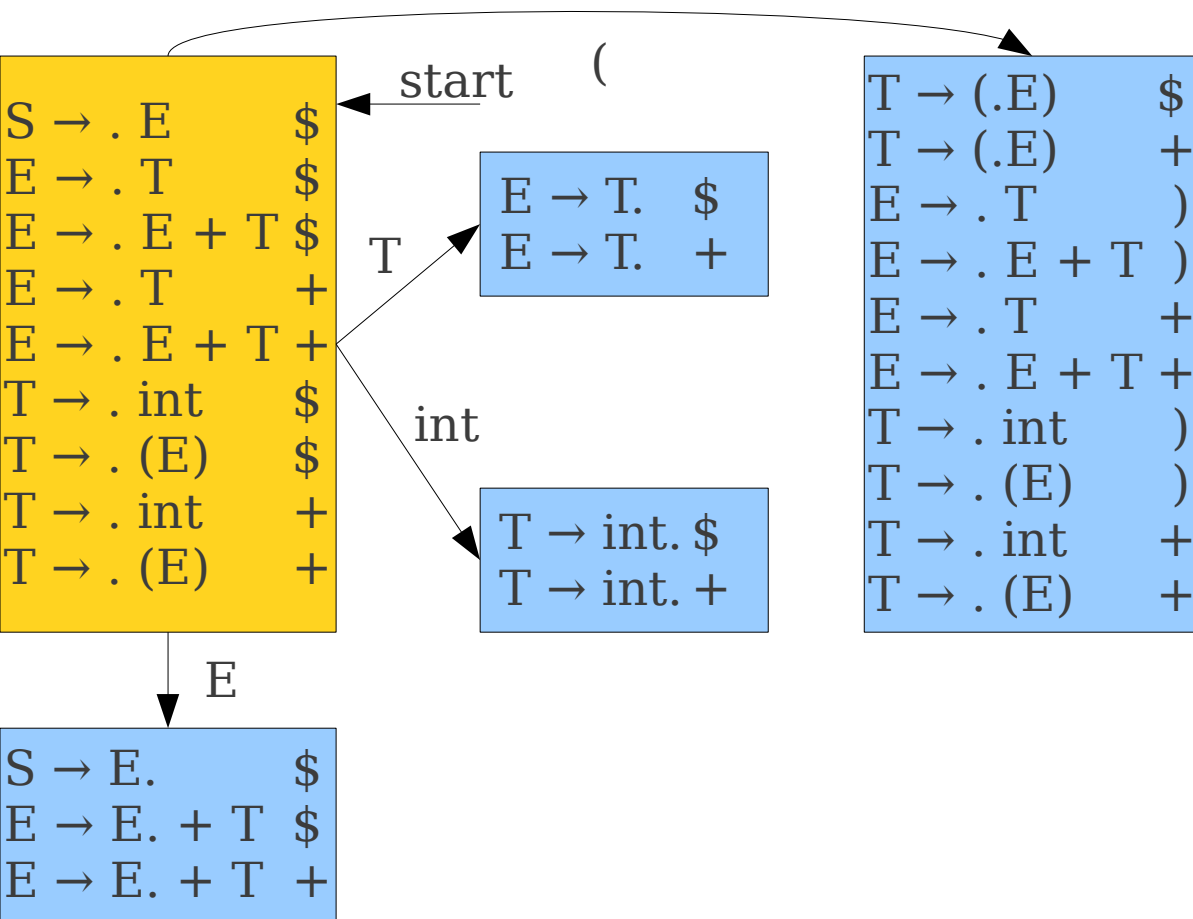
# Deterministic LR(1) Automata



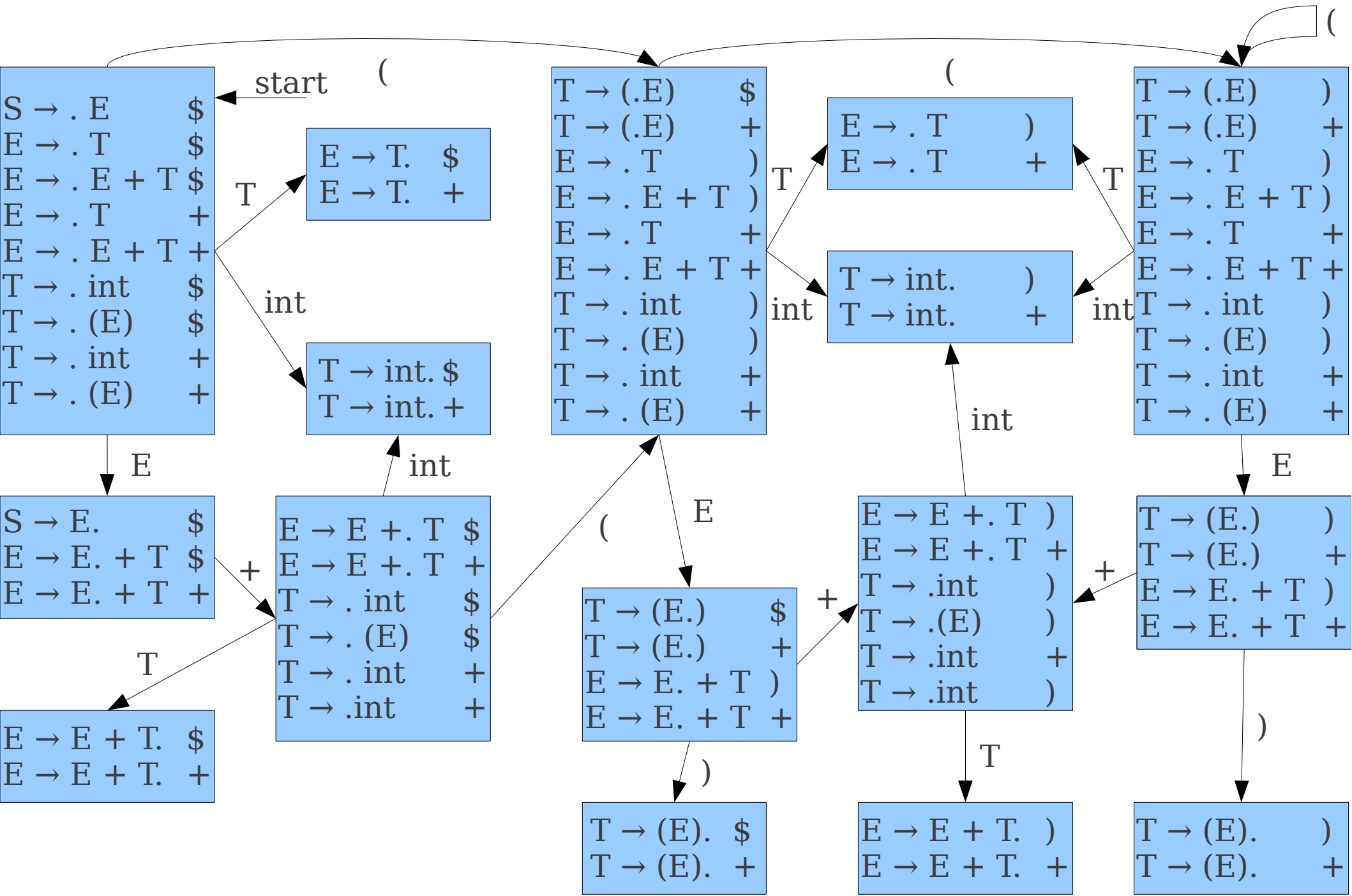
# Deterministic LR(1) Automata



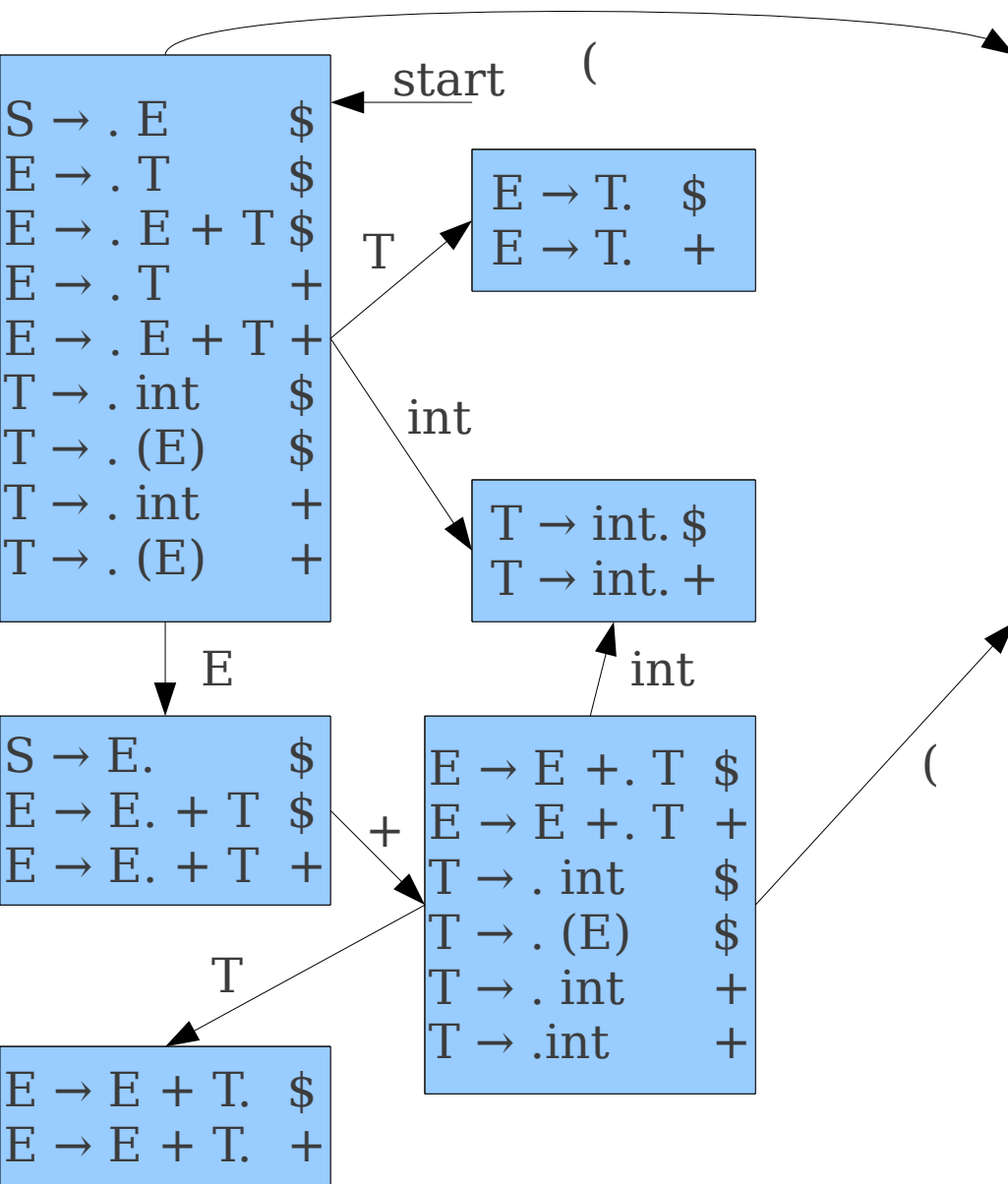
# Deterministic LR(1) Automata



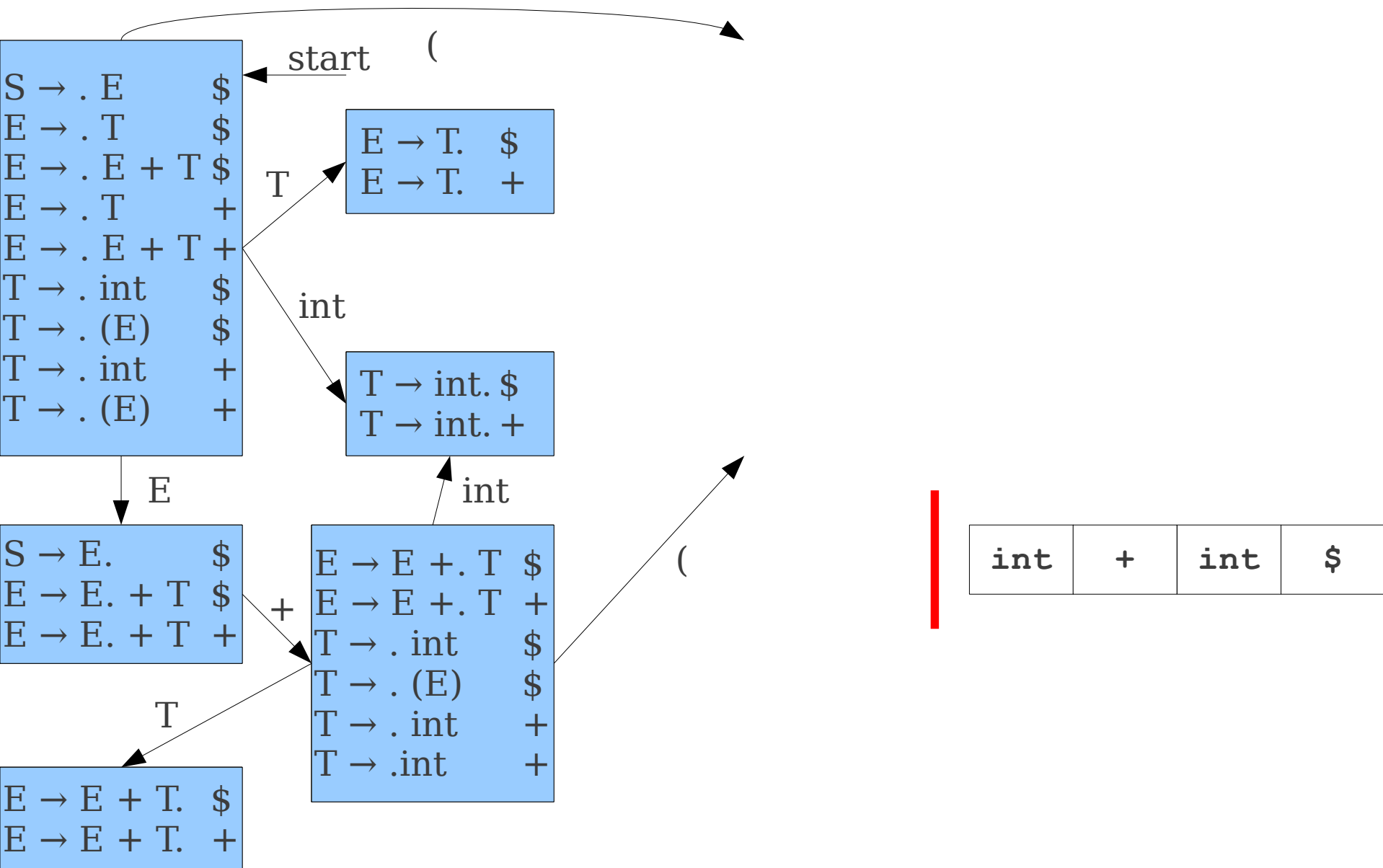
# Deterministic LR(1) Automata



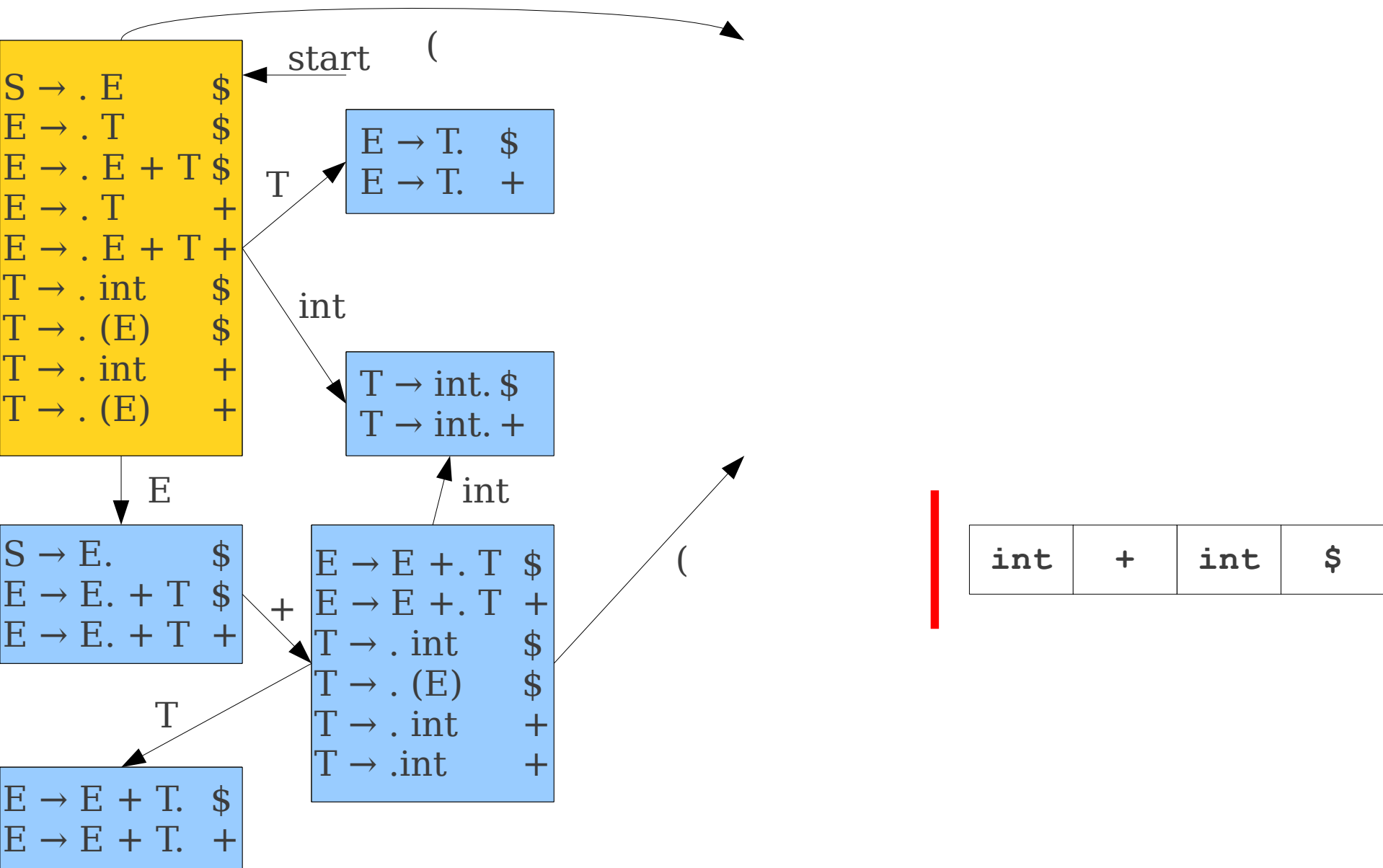
# Deterministic LR(1) Automata



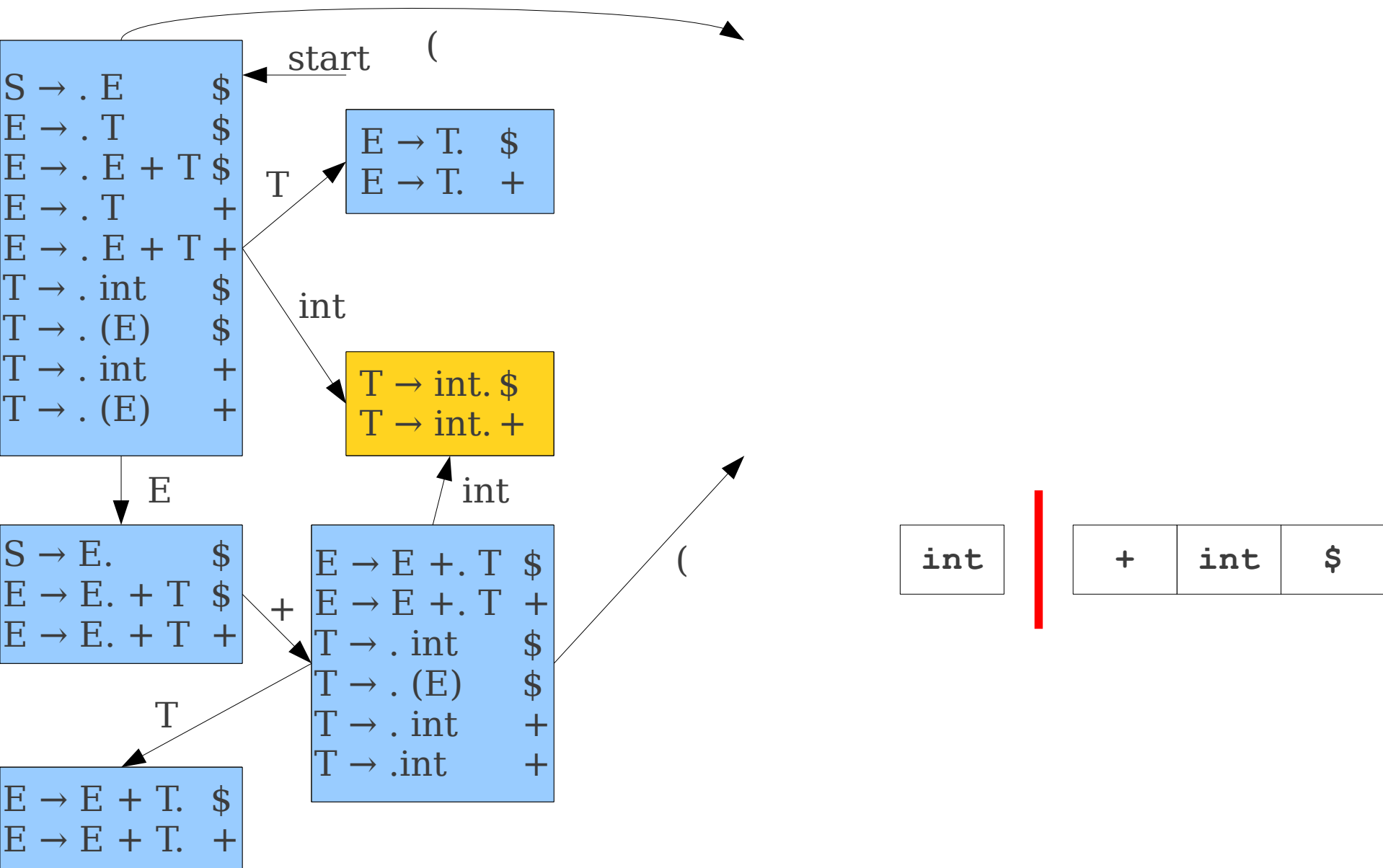
# Deterministic LR(1) Automata



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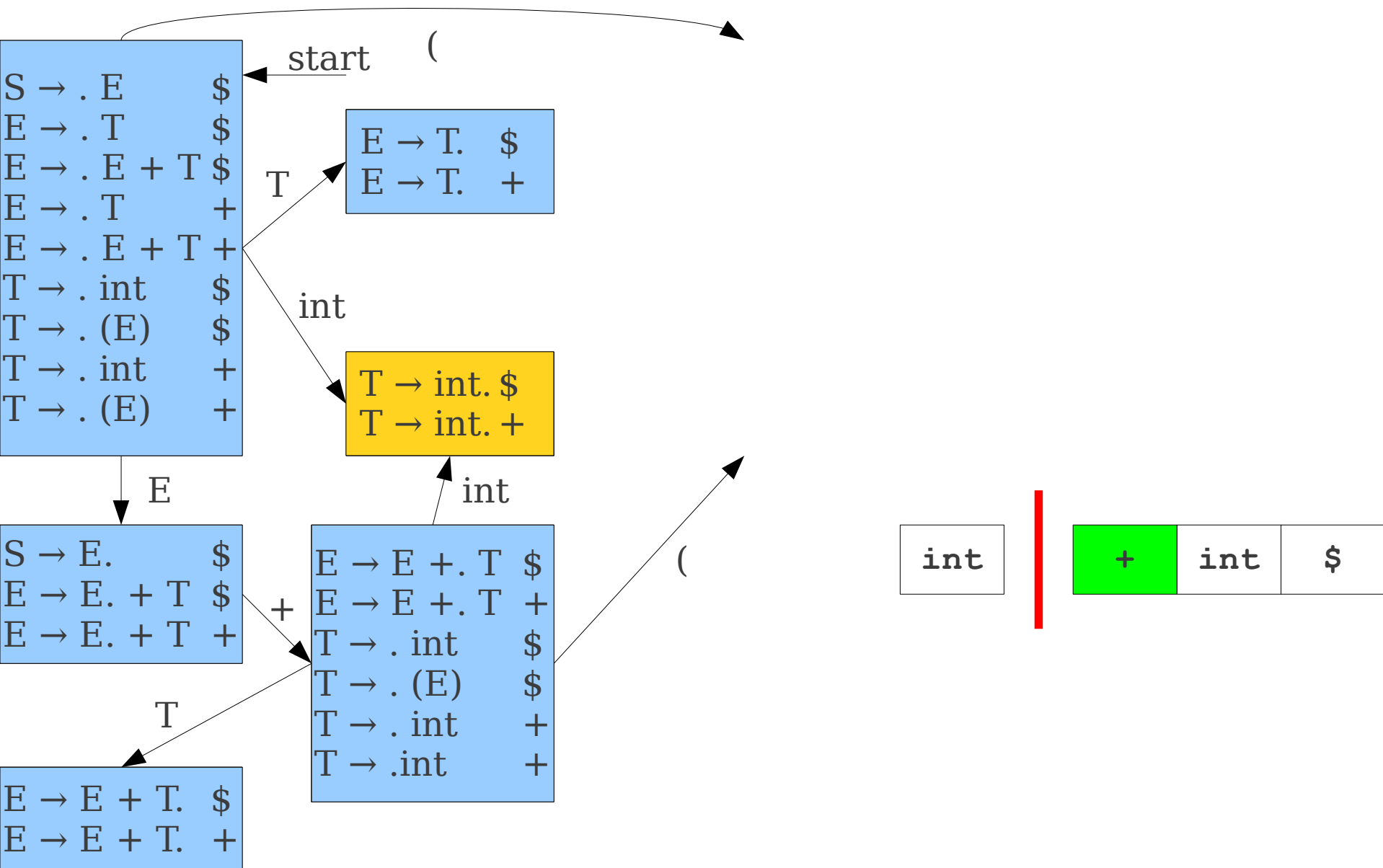


# Deterministic LR(1) Automata

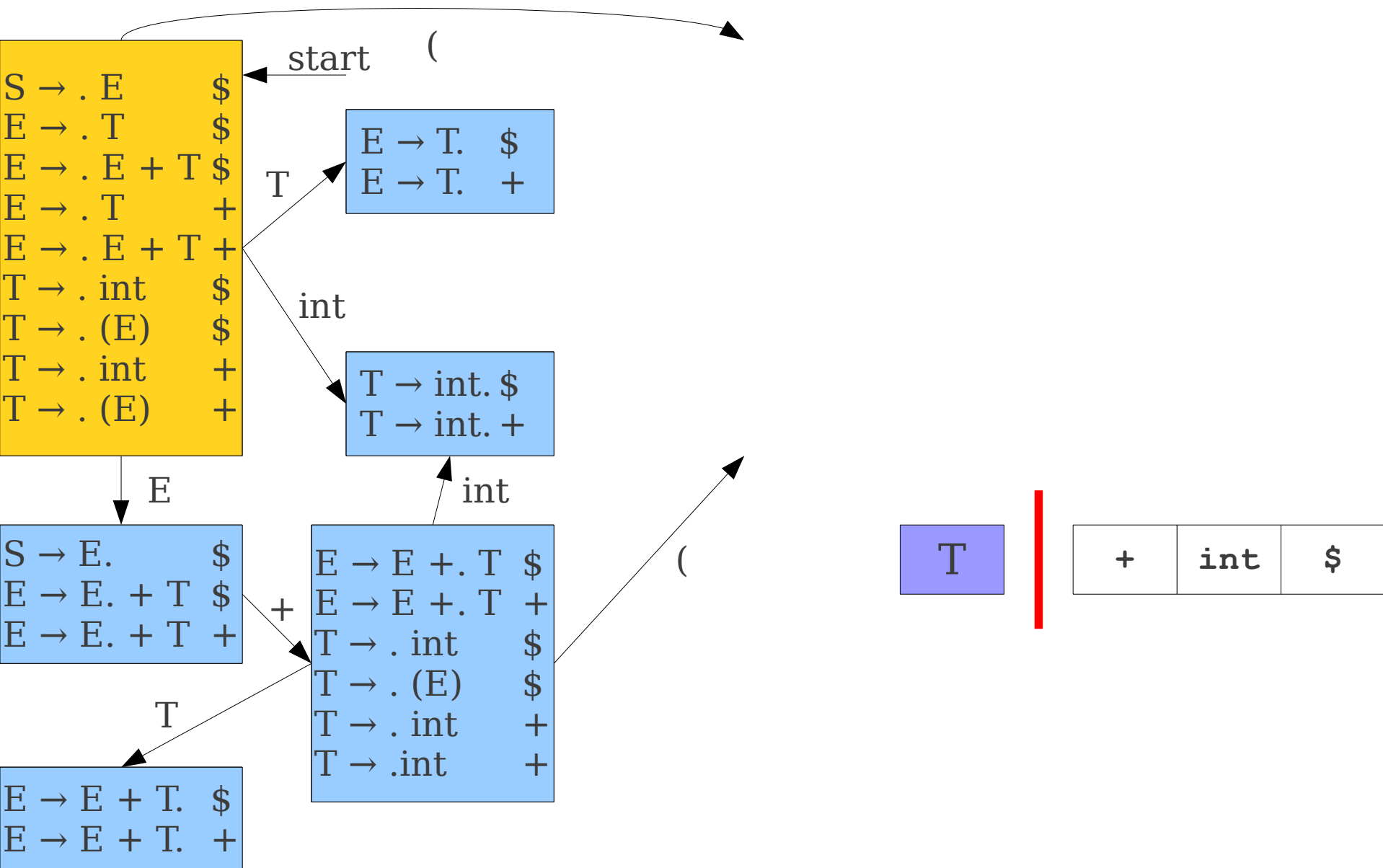




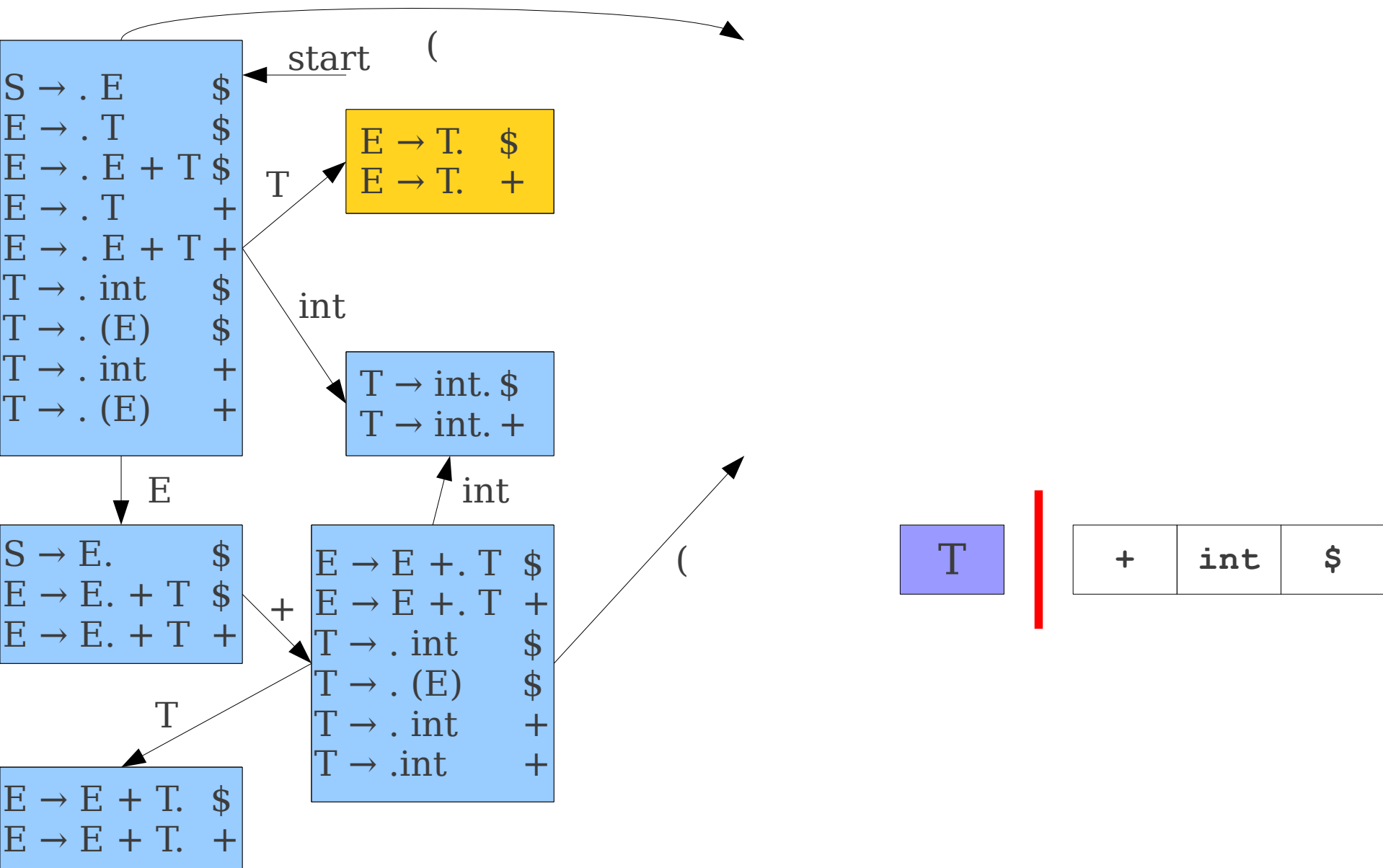
# Deterministic LR(1) Automata



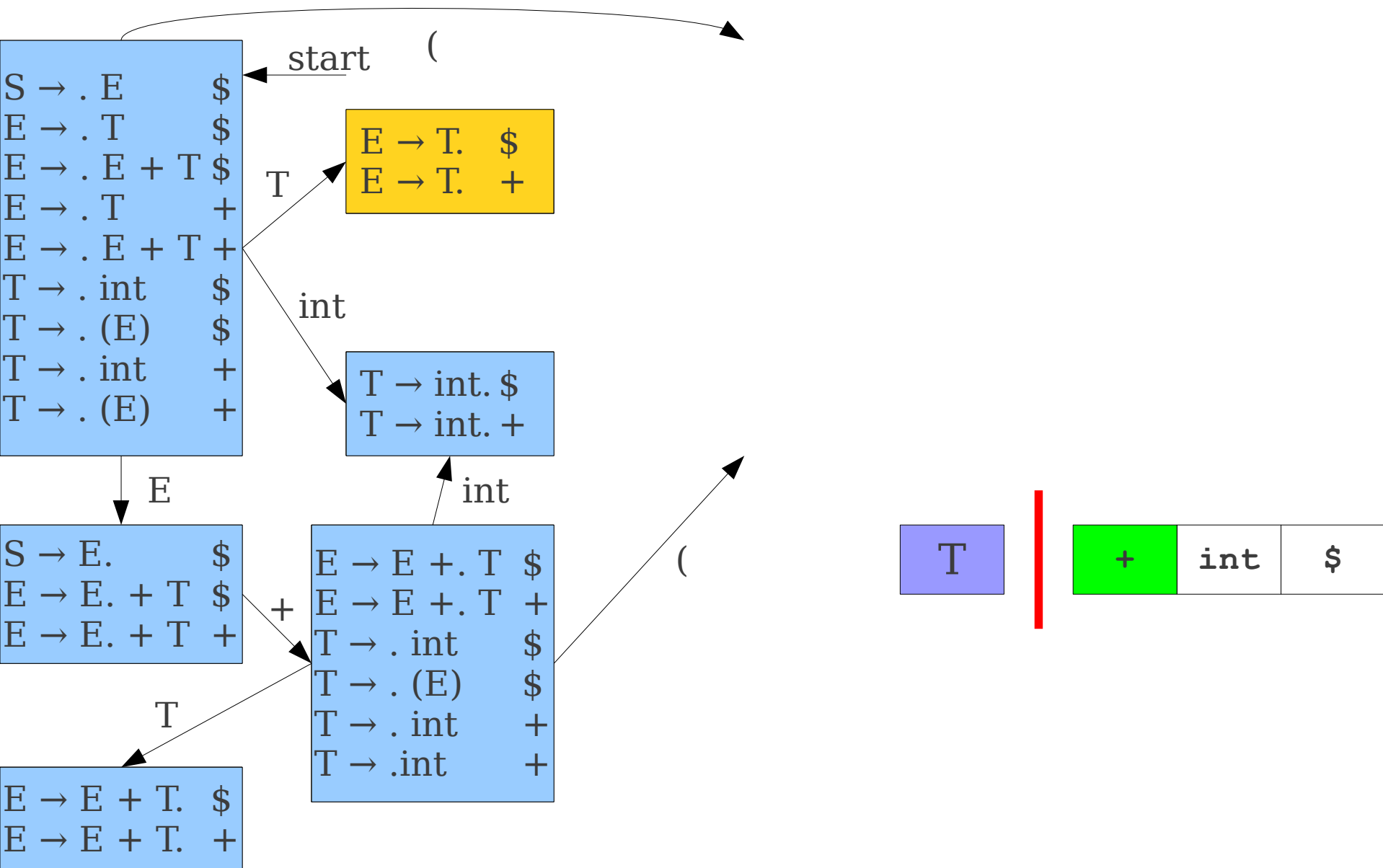
# Deterministic LR(1) Automata



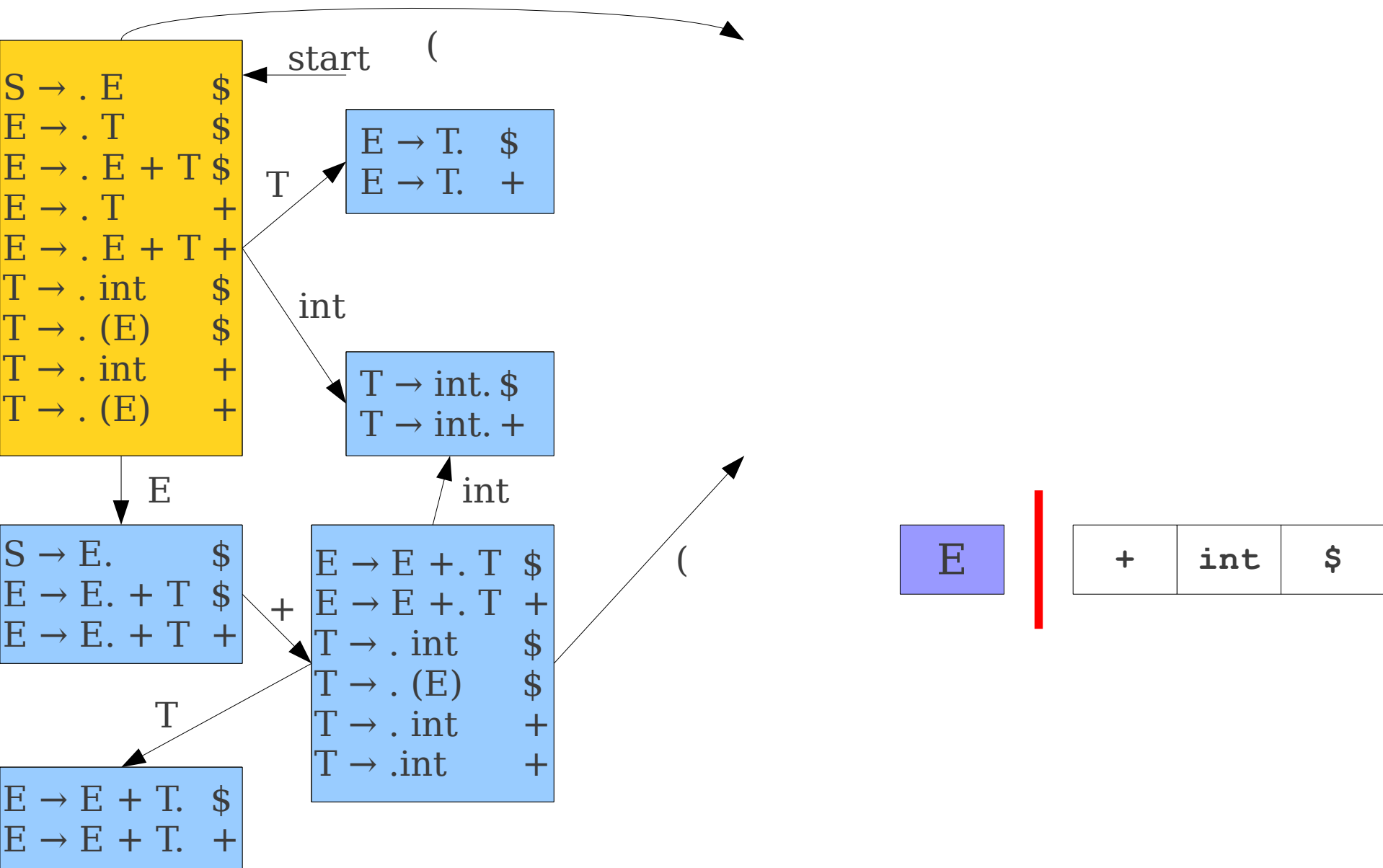
# Deterministic LR(1) Automata



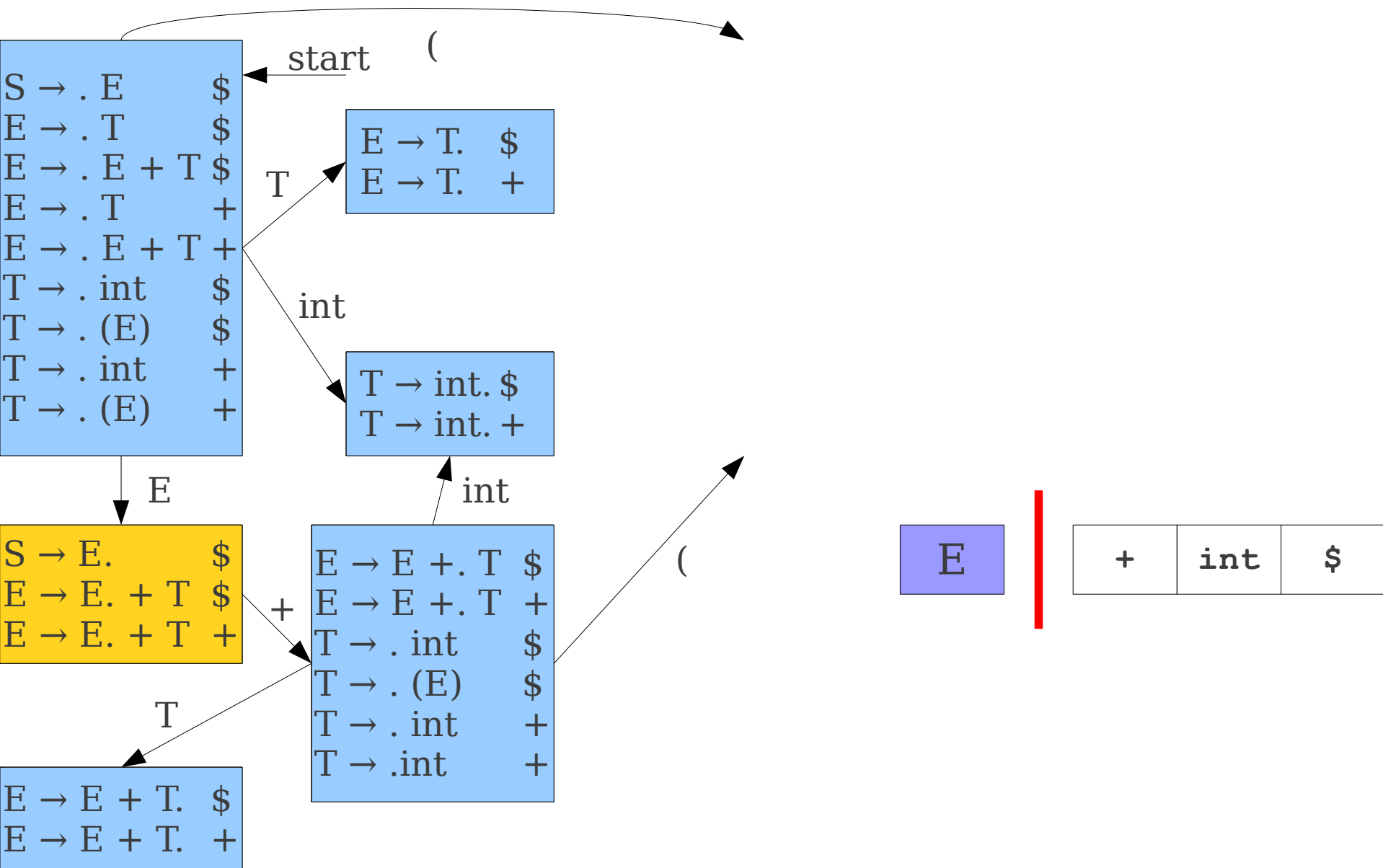
# Deterministic LR(1) Automata



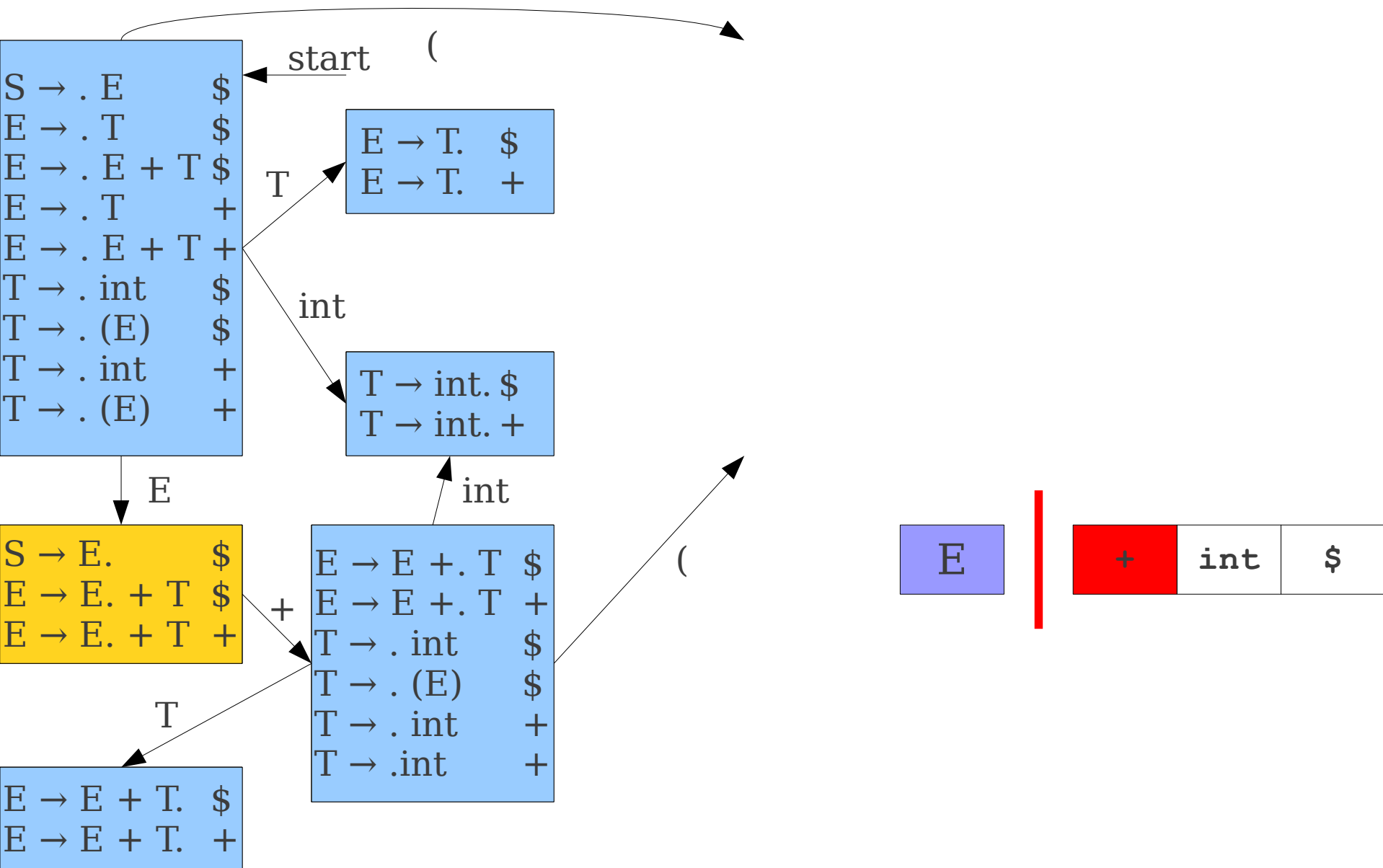
# Deterministic LR(1) Automata



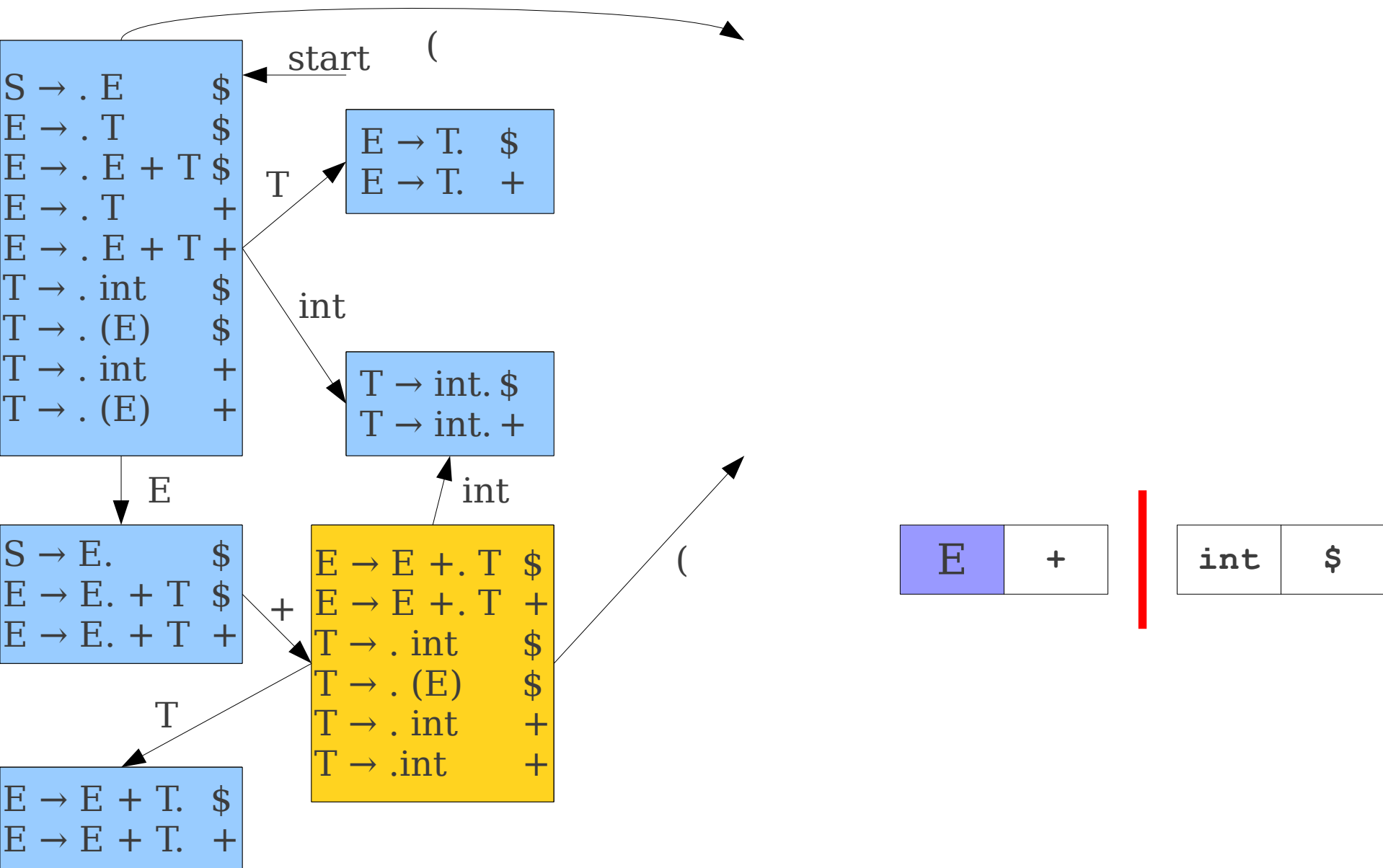
# Deterministic LR(1) Automata



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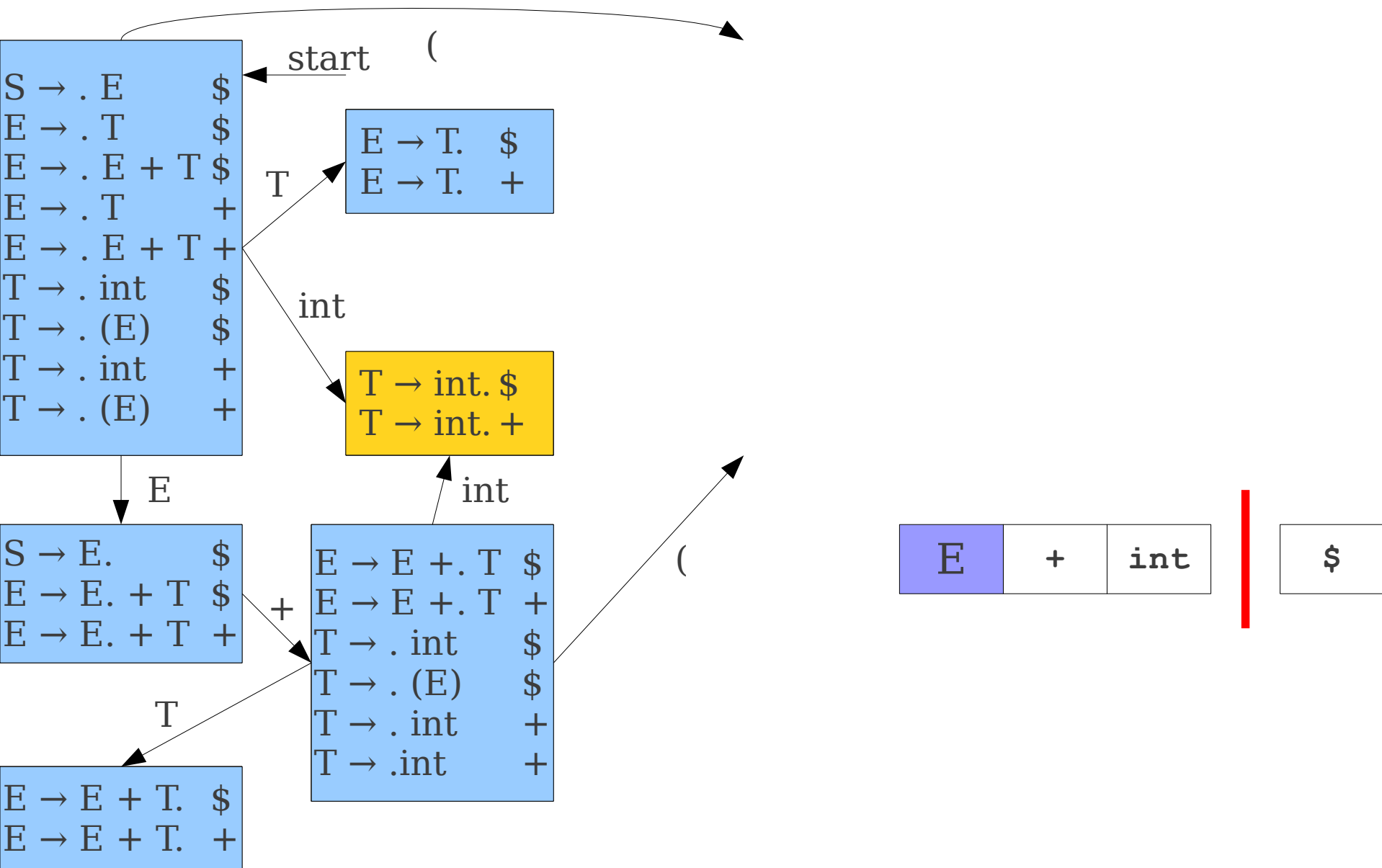


# Deterministic LR(1) Automata

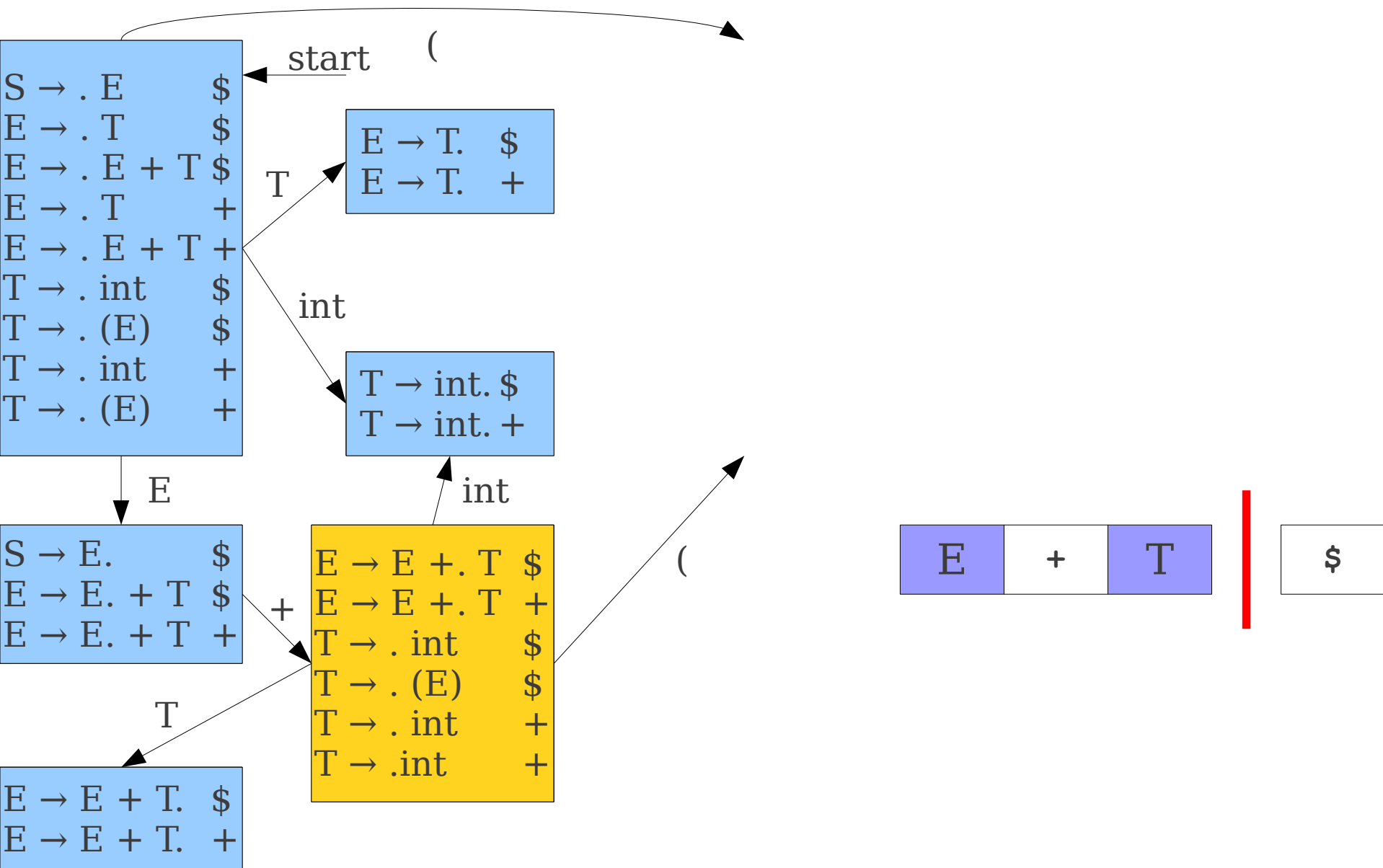




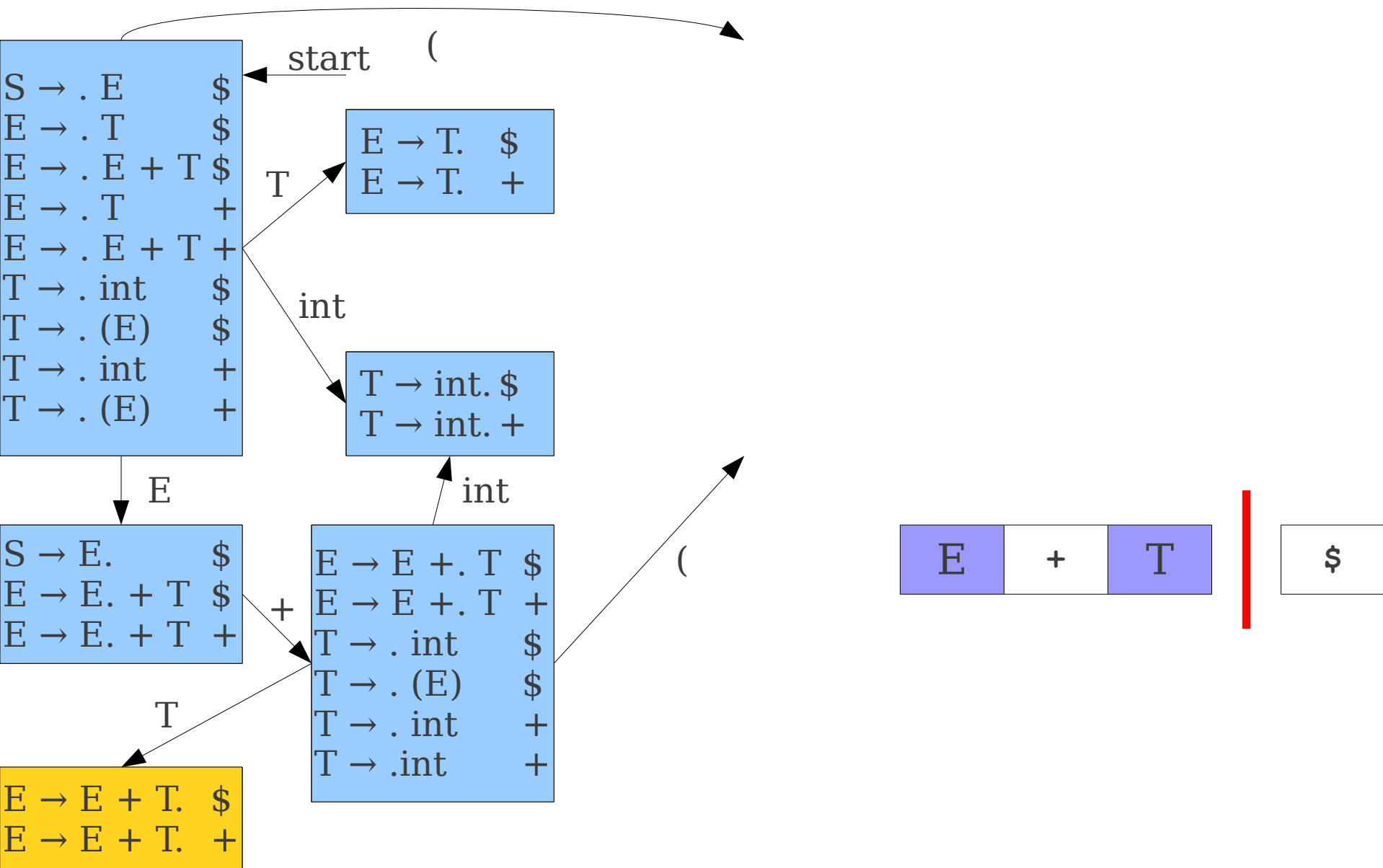
# Deterministic LR(1) Automata



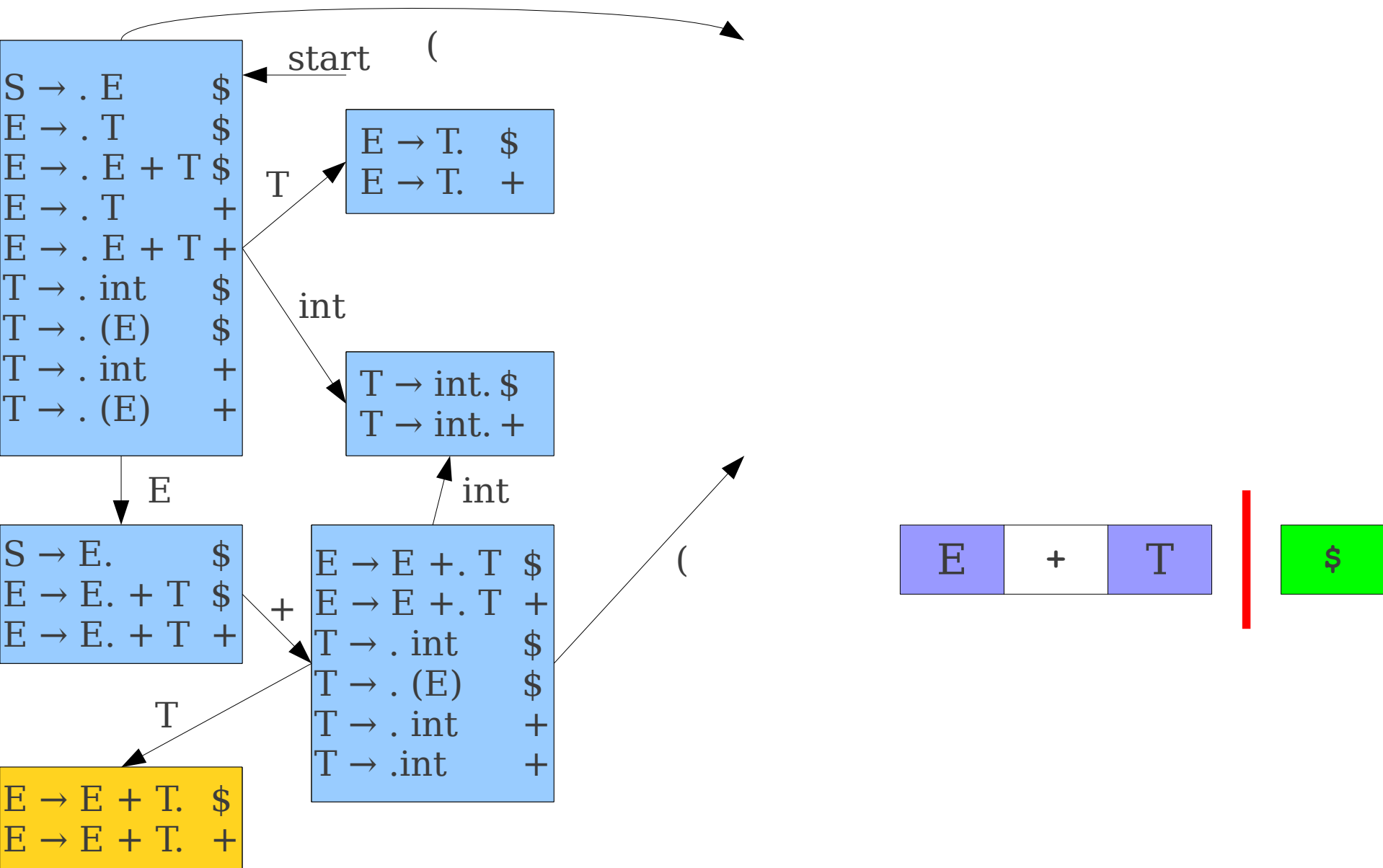
# Deterministic LR(1) Automata



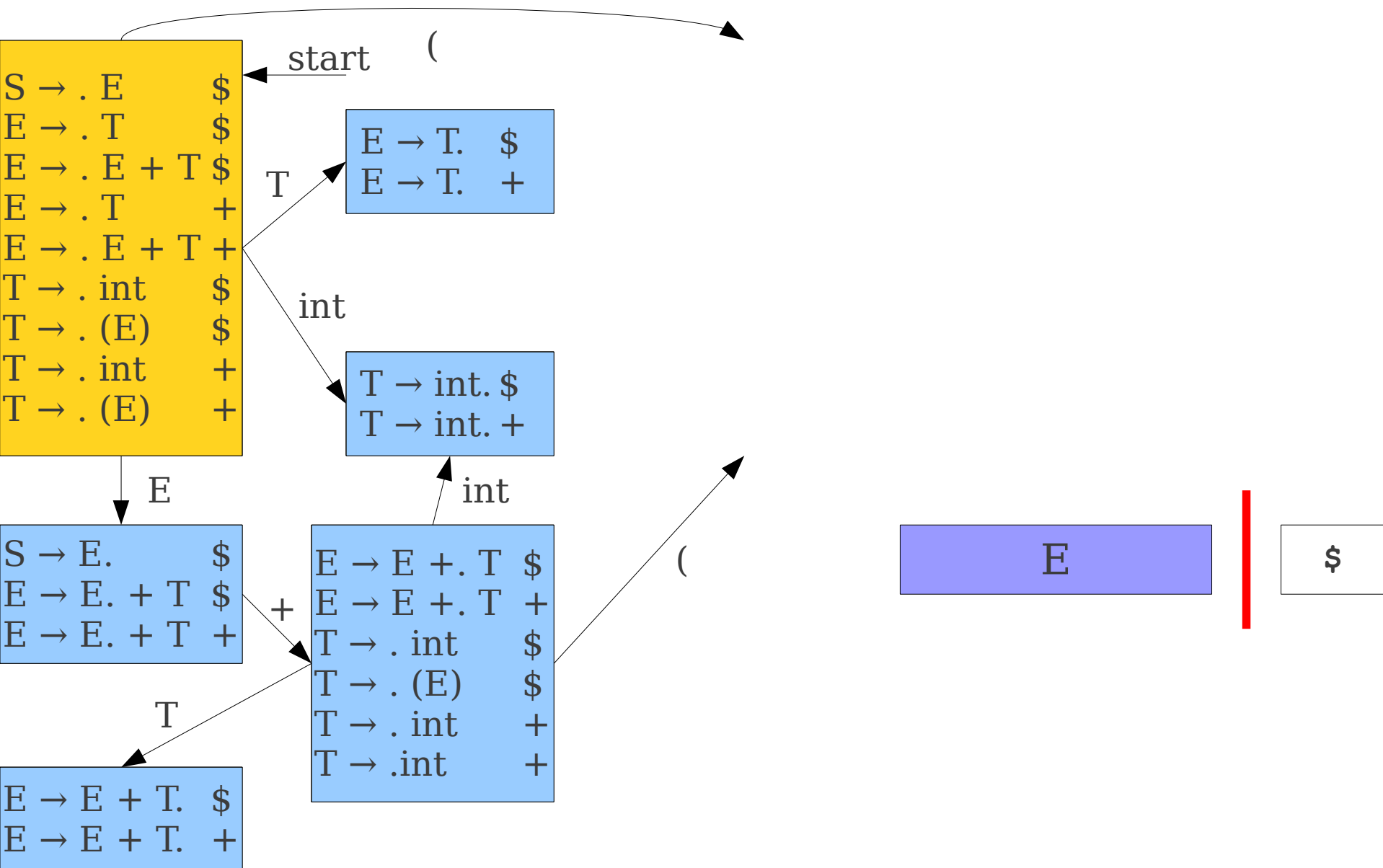
# Deterministic LR(1) Automata



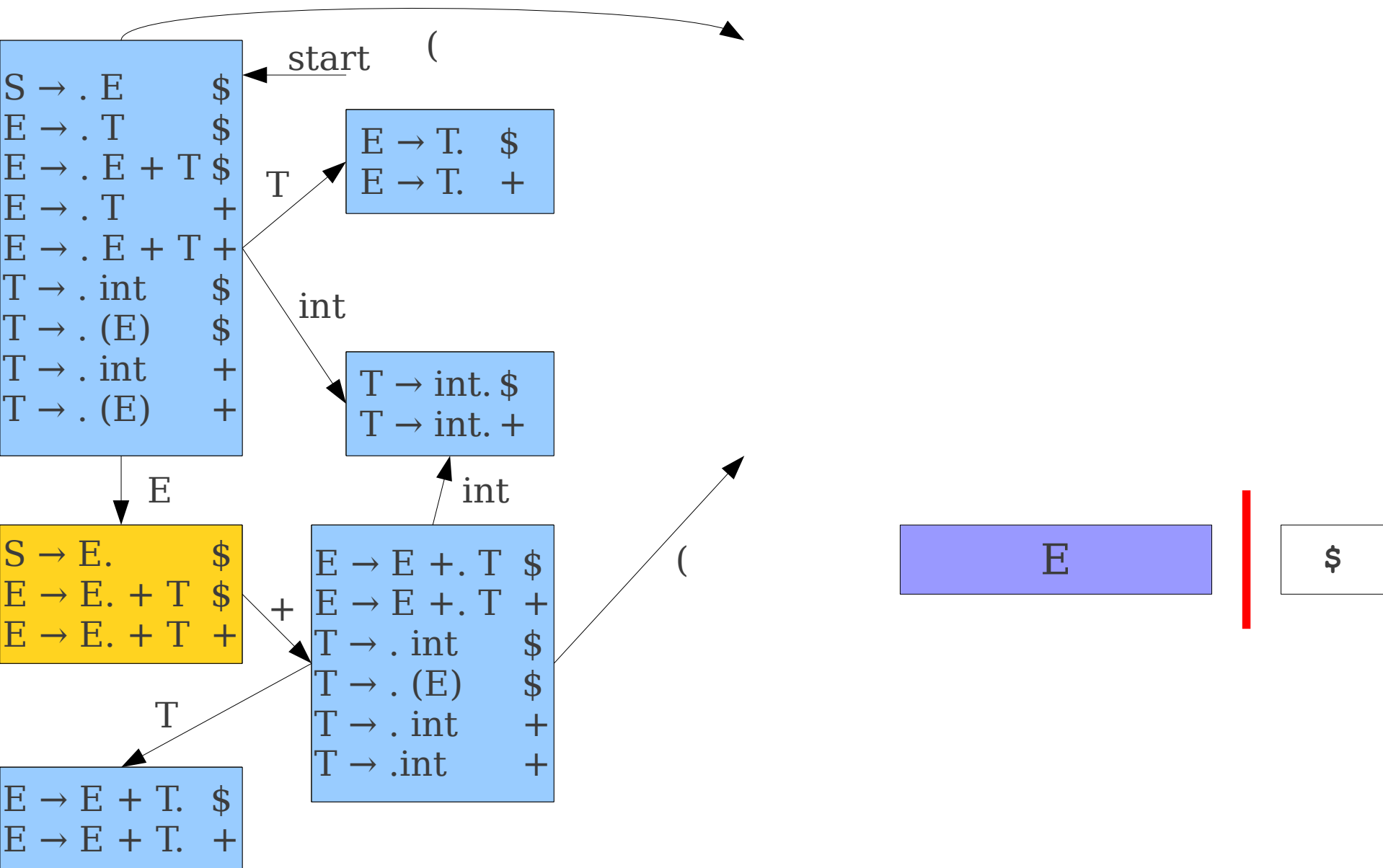
# Deterministic LR(1) Automata



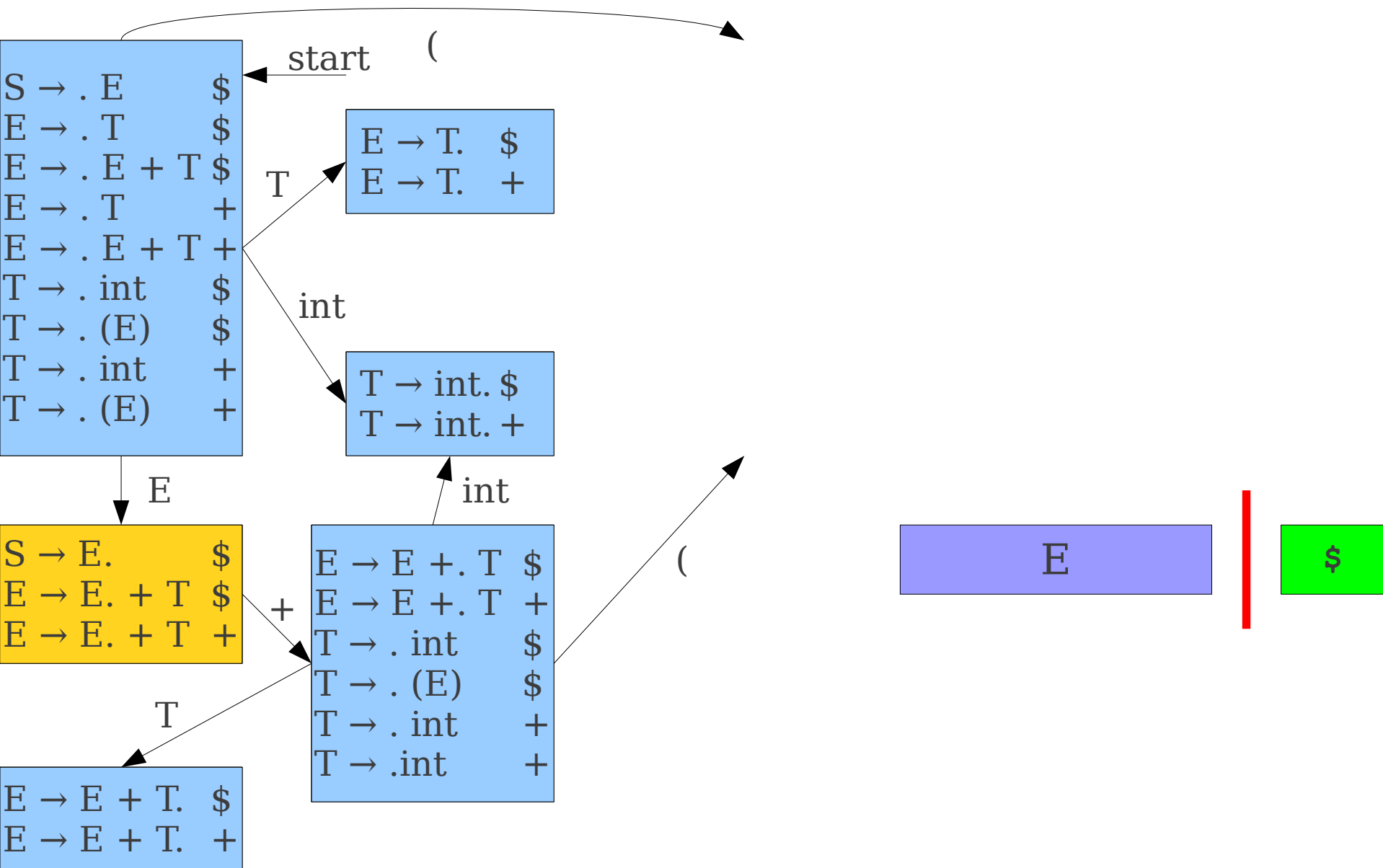
# Deterministic LR(1) Automata



# Deterministic LR(1) Automata



# Deterministic LR(1) Automata



# Representing LR(1) Automata

- As with LR(0), use **action** and **goto** tables.
- **goto** table defined as before; encodes transition table as map from (state, token) to states.
- **action** table maps pairs (state, lookahead) to actions.
- Commonly combined into a single **action/goto** table.



# Constructing LR(1) Parse Tables

- For each state  $X$ :
  - If there is a production  $A \rightarrow \omega \cdot [t]$ , set **action** $[X, t] = \text{reduce } A \rightarrow \omega$ .
  - If there is the special production  $S \rightarrow E \cdot [\$]$ , where  $S$  is the start symbol, set **action** $[X, t] = \text{accept}$ .
  - If there is a transition out of  $X$  on symbol  $t$ , set **action** $[X, t] = \text{shift}$ .
- Set all other actions to **error**.
- If any table entry contains two or more actions, the grammar is not LR(1).

**S** → **E** (1)  
**E** → **T** (2)  
**E** → **E + T** (3)  
**T** → **int** (4)  
**T** → **(E)** (5)

	int	(	)	+	\$	T	E
1	s5					s4	s2
2				s6	ACCEPT		
3				r3	r3		
4				r2	r2		
5				r5	r5		
6	s5	s7				s3	
7	s10	s14				s10	s8
8			s9	s12			
9				r5	r5		
10			r2	r2			
11			r4	r4			
12	s11					s13	
13			r3	r3			
14	s11		s14			s10	s15
15			s16	s12			
16			r5	r5			

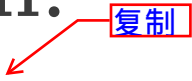
# The LR(1) Parsing Algorithm

- Begin with an empty stack and the input set to  $\omega\$,$  where  $\omega$  is the string to parse. Set **state** to the initial state.
- Repeat the following:
  - Let the next symbol of input be  $t$ .
  - If **action**[state,  $t$ ] is **shift**, then shift the input and set **state** = **goto**[state,  $t$ ].
  - If **action**[state,  $t$ ] is **reduce**  $A \rightarrow \omega$ :
    - Pop  $|\omega|$  symbols off the stack; replace them with  $A$ .
    - Let the state atop the stack be **top-state**.
    - Set **state** = **goto**[top-state,  $A$ ]
  - If **action**[state,  $t$ ] is **accept**, then the parse is done.
  - If **action**[state,  $t$ ] is **error**, report an error.

# The Power of LR(1)

- Any LR(0) grammar is LR(1).
- Any LL(1) grammar is LR(1).
- Any deterministic CFL (a CFL parseable by a *deterministic pushdown automaton*) has an LR(1) grammar.
- Any LL( $k$ ) *language* is LR(1), though individual LL( $k$ ) *grammars* might not be.
- Any LR( $k$ ) *language* is LR(1), though individual LR( $k$ ) *grammars* might not be.

# LR(1) Automata are **Huge**

- In a grammar with  $n$  terminals, could in theory be  $O(2^n)$  times as large as the LR(0) automaton.  

- Replicate each state with all  $O(2^n)$  possible lookaheads.
- LR(1) tables for practical programming languages can have hundreds of thousands or even *millions* of states.
- Consequently, LR(1) parsers are rarely used in practice.

Is there a way to get the power of LR(1) without the huge table size?

# Why is LR(1) so powerful?

- Intuitively, for two reasons:
- **Lookahead makes handle-finding easier.**
  - The LR(0) automaton says whether there could be a handle later on based on no right context.
  - The LR(1) automaton can predict whether it needs to reduce based on more information.
- **More states encode more information.**
  - LR(1) lookaheads are very good because there's a greater number of states to be in.
- **Goal:** Incorporate lookahead without increasing the number of states.

合并, 嵌入

# Revisiting Shift/Reduce Conflicts

- A shift/reduce conflict is a state that looks like this:

$$\mathbf{A} \rightarrow \omega \cdot$$

$$\mathbf{B} \rightarrow \alpha \cdot \beta$$

- In LR(0), this is simply not allowed.
- In LR(1), this can be avoided by using lookahead to determine whether to shift or reduce.
- Can we get some of the lookahead power of LR(1) without the huge tables?



# SLR(1)

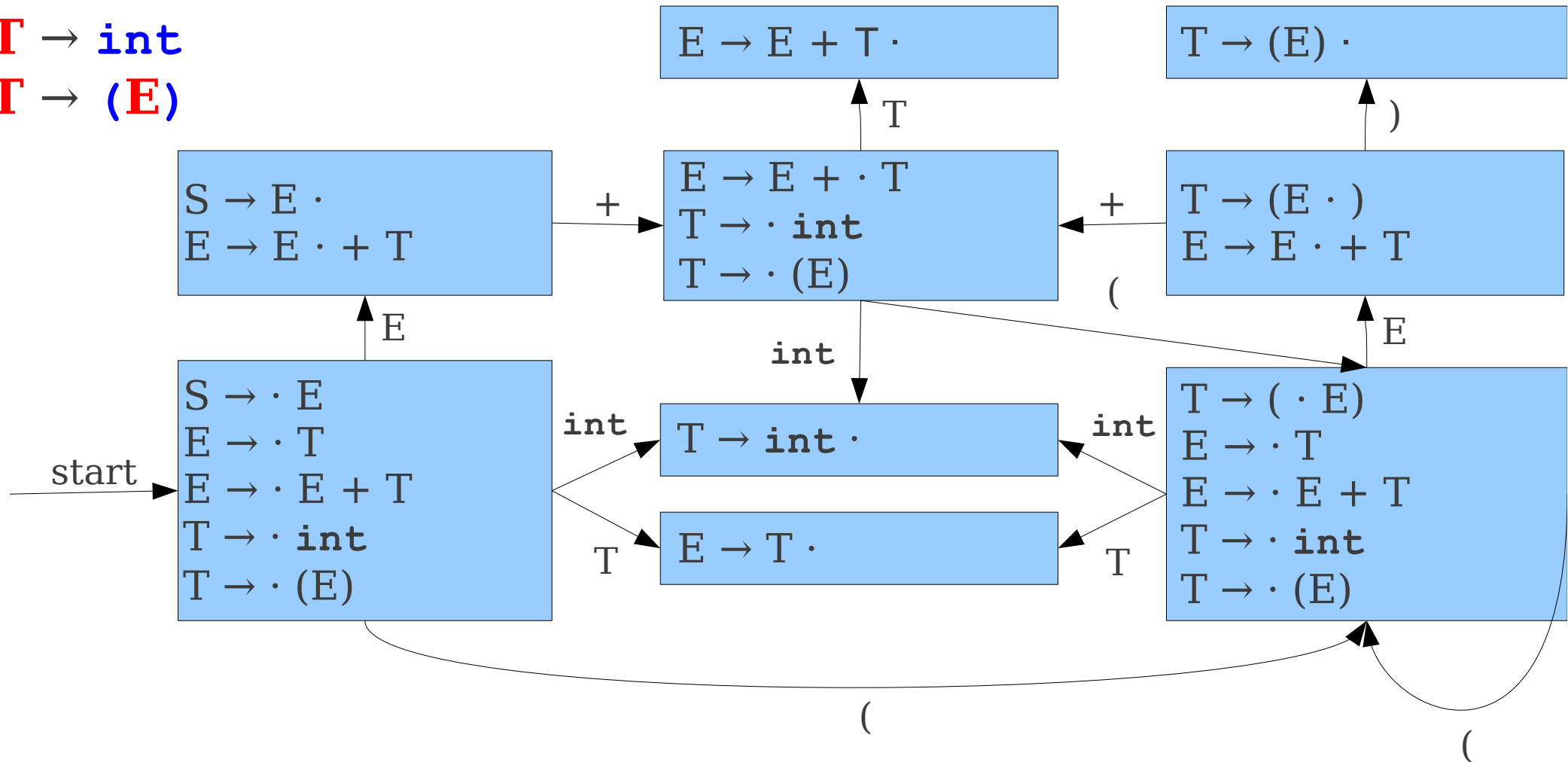
- **Simple LR(1)** 小小的改动
- Minor modification to LR(0) automaton that uses lookahead to avoid shift/reduce conflicts.
- Idea: Only reduce  $A \rightarrow \omega$  if the next token  $t$  is in FOLLOW( $A$ ).
- Automaton identical to LR(0) automaton; only change is when we choose to reduce.

**S**  $\rightarrow$  **E**  
**E**  $\rightarrow$  **T**  
**E**  $\rightarrow$  **E** + **T**  
**T**  $\rightarrow$  **int**  
**T**  $\rightarrow$  (**E**)

# SLR(1) Parsing

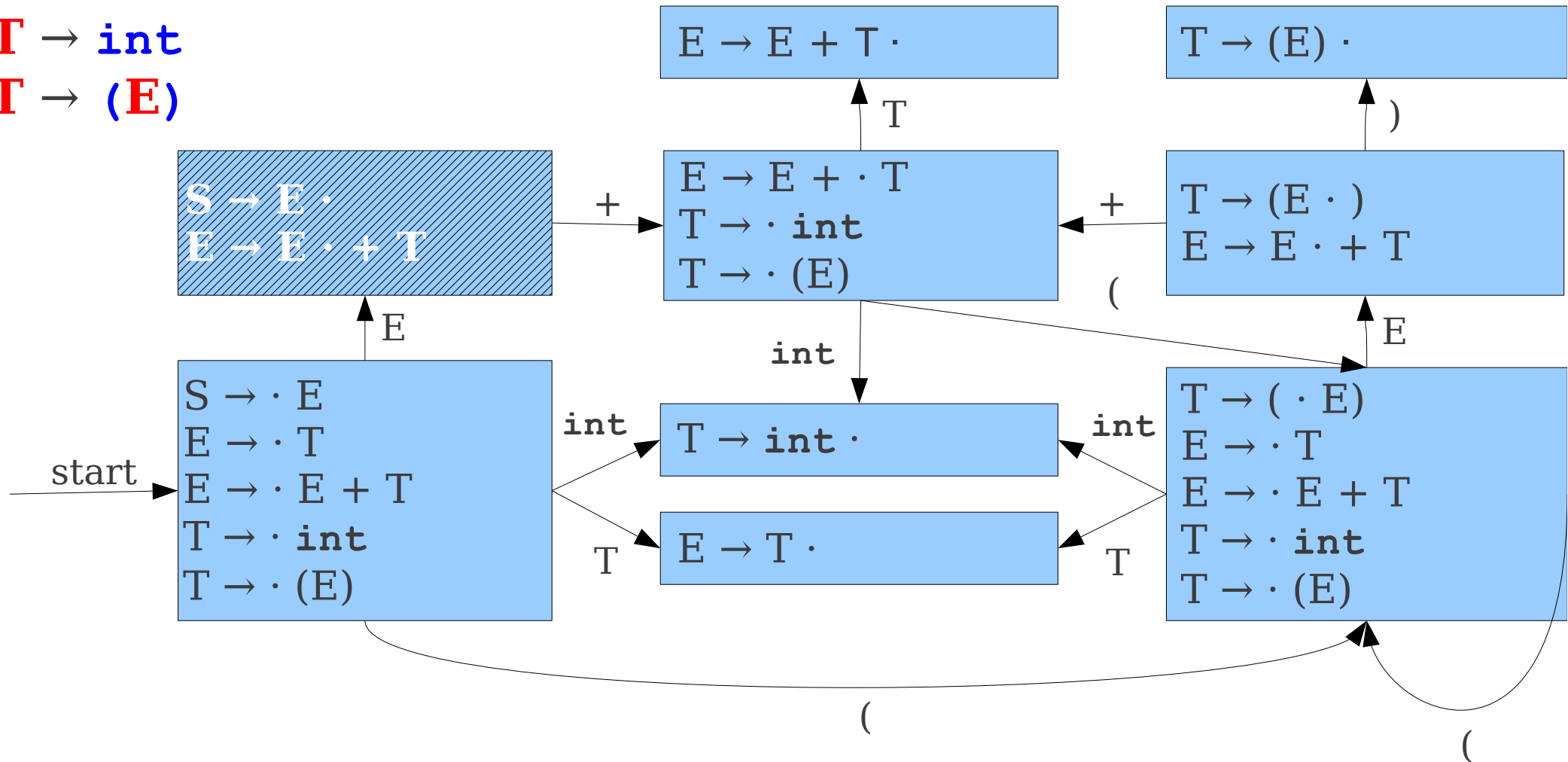
$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing



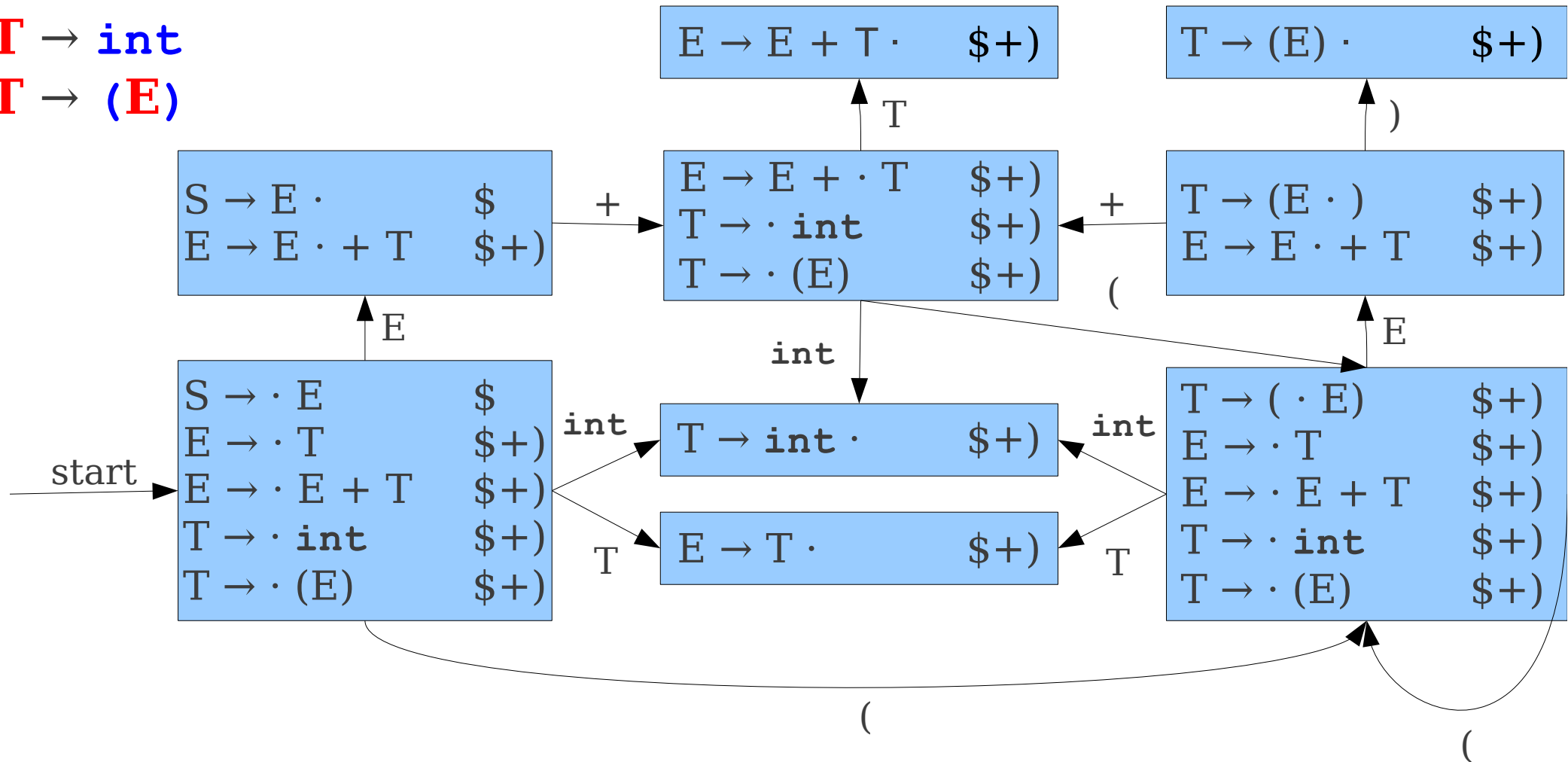
$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing



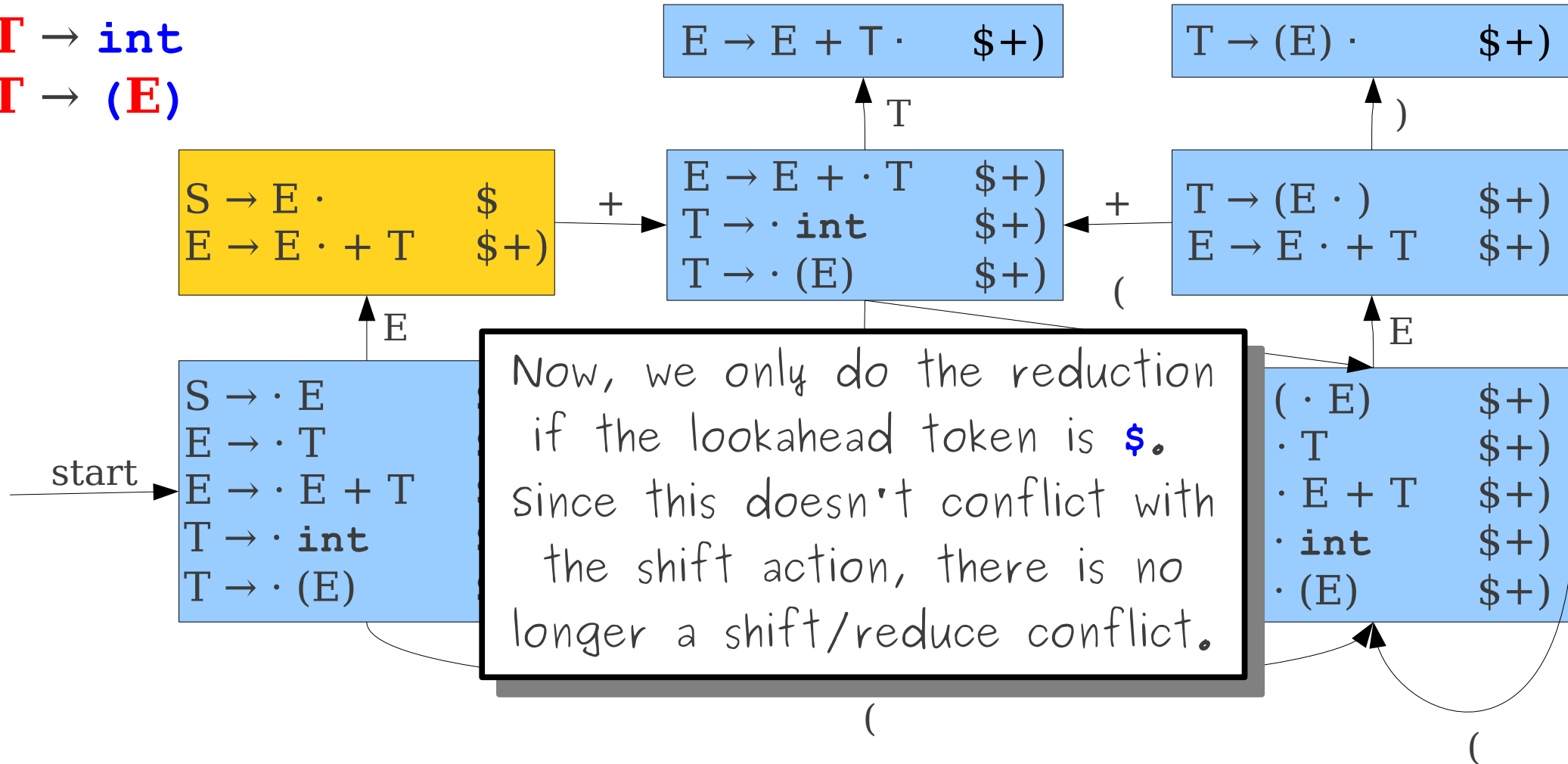
$S \rightarrow E$   
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# SLR(1) Parsing



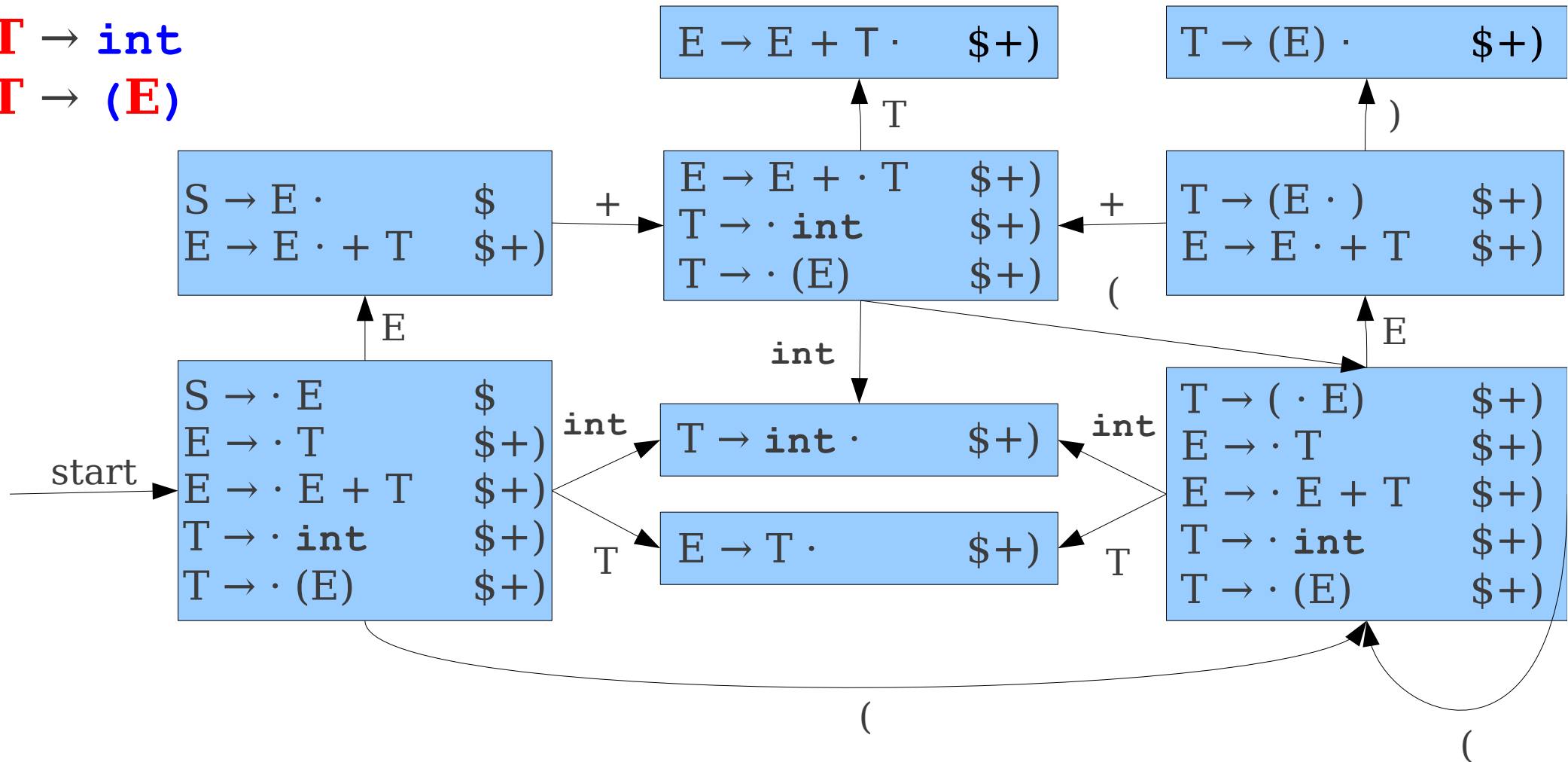
$S \rightarrow E$   
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# SLR(1) Parsing



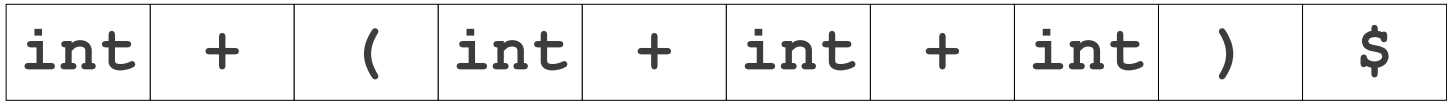
$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing



int	+	(	int	+	int	+	int	)	\$
-----	---	---	-----	---	-----	---	-----	---	----

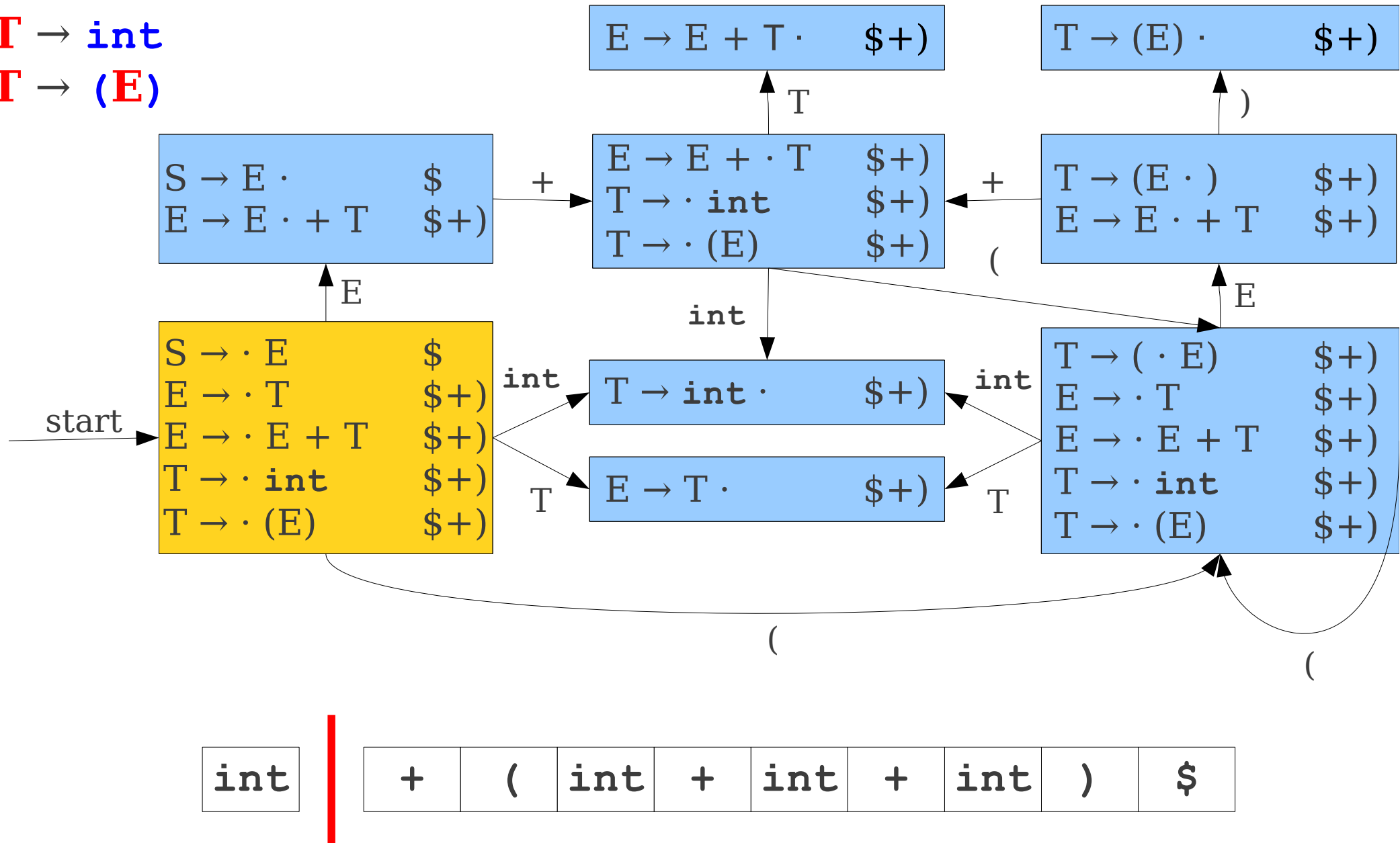
# SLR(1) Parsing





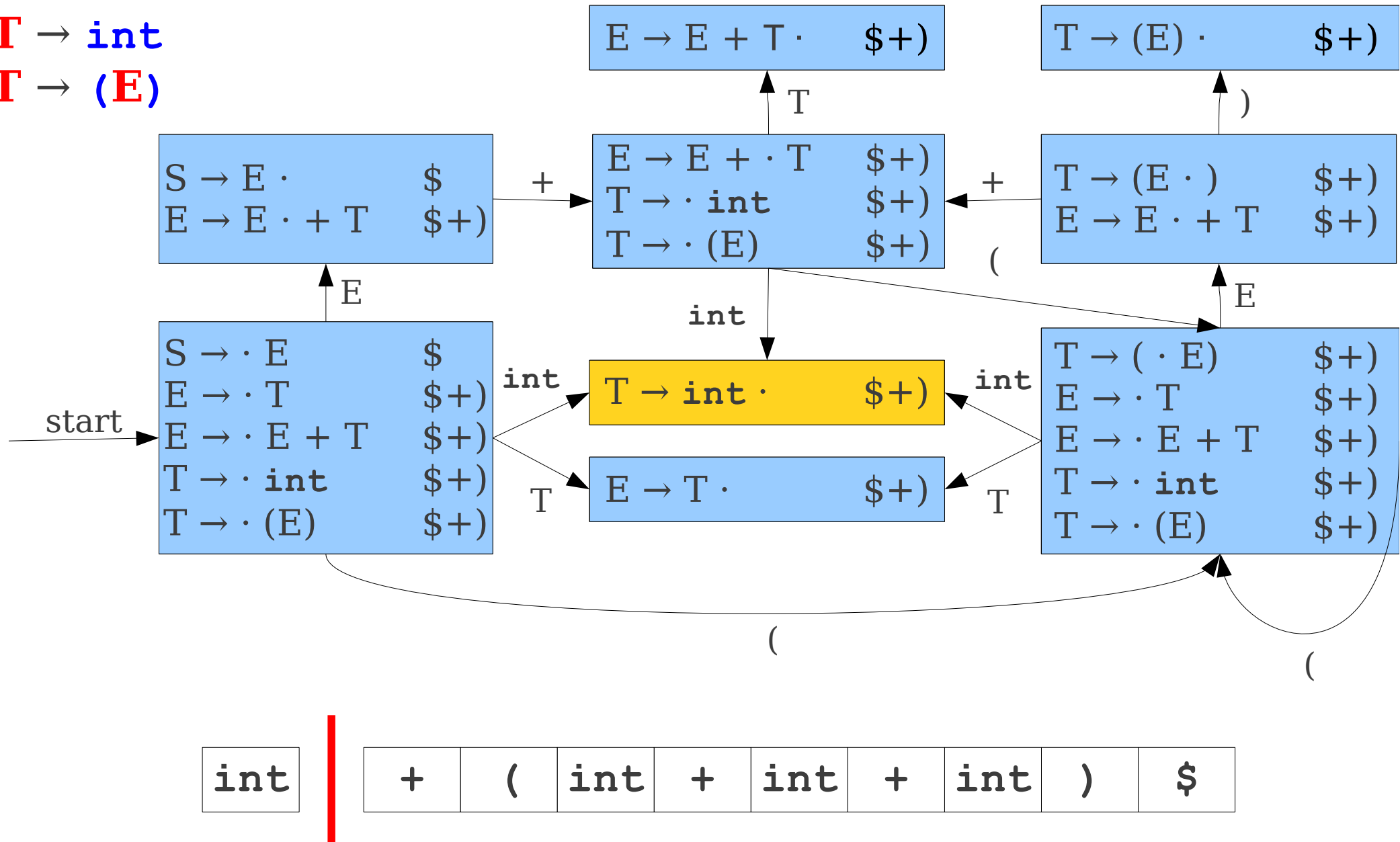
$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing



$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing

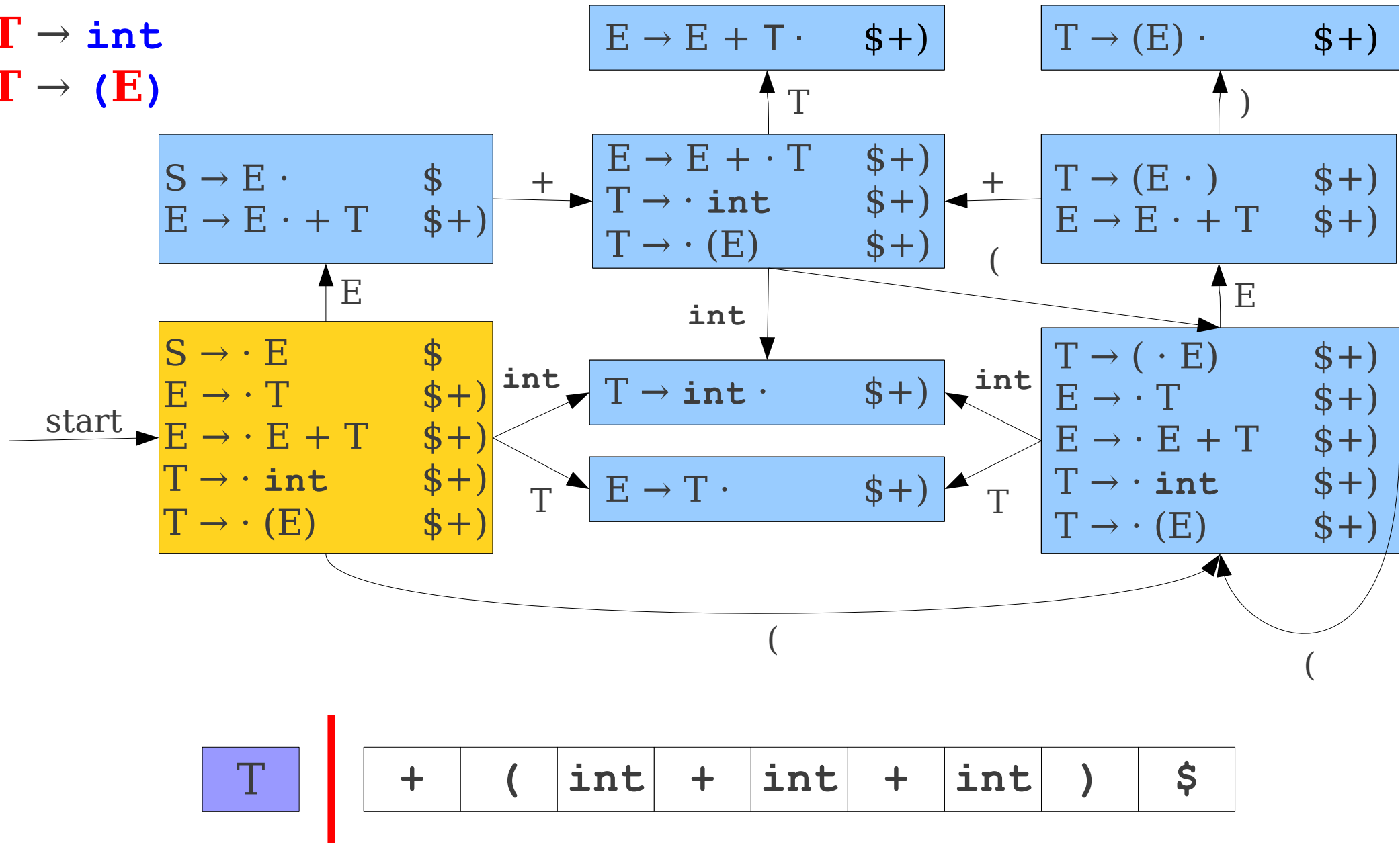


# SLR(1) Parsing



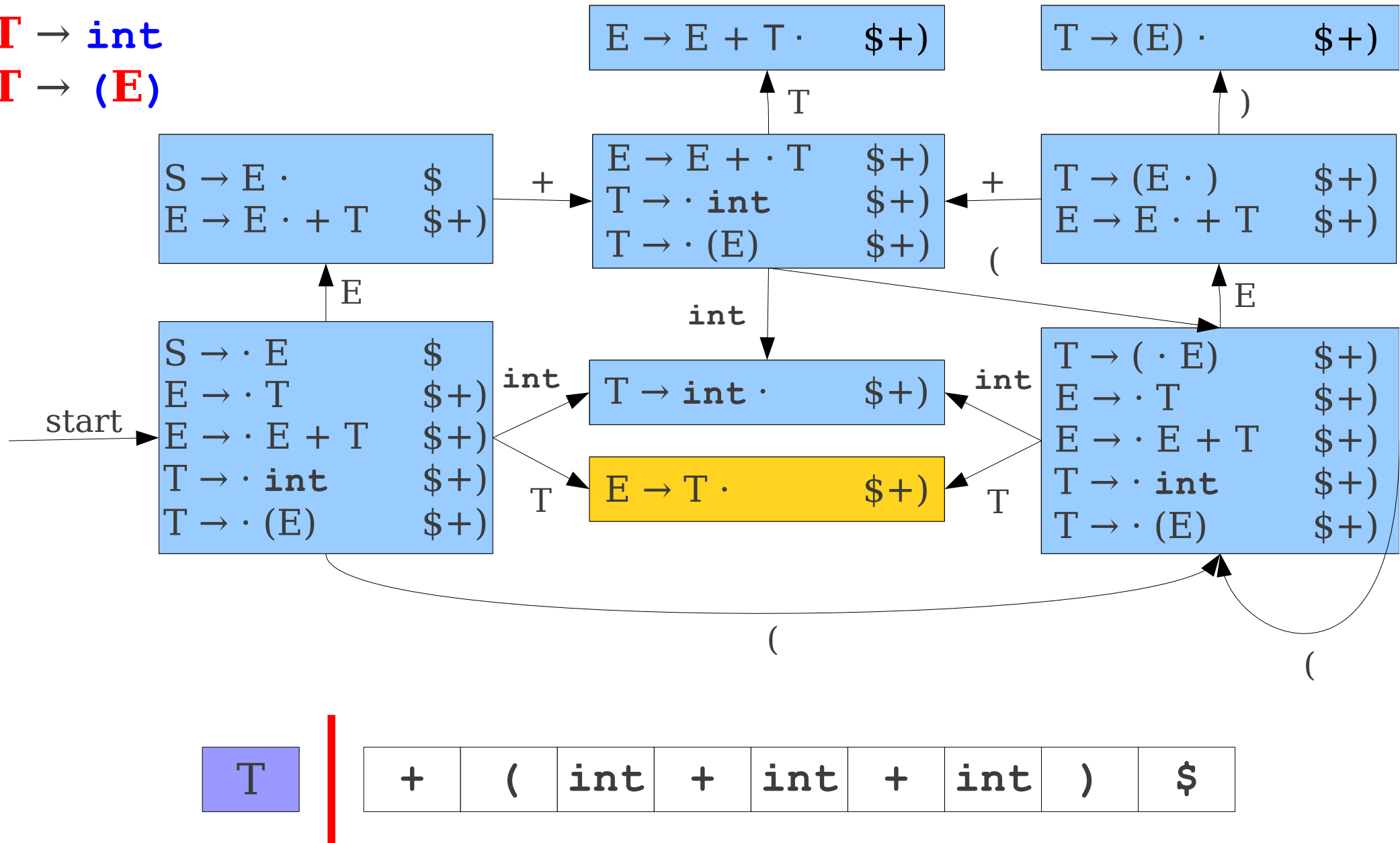
$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing



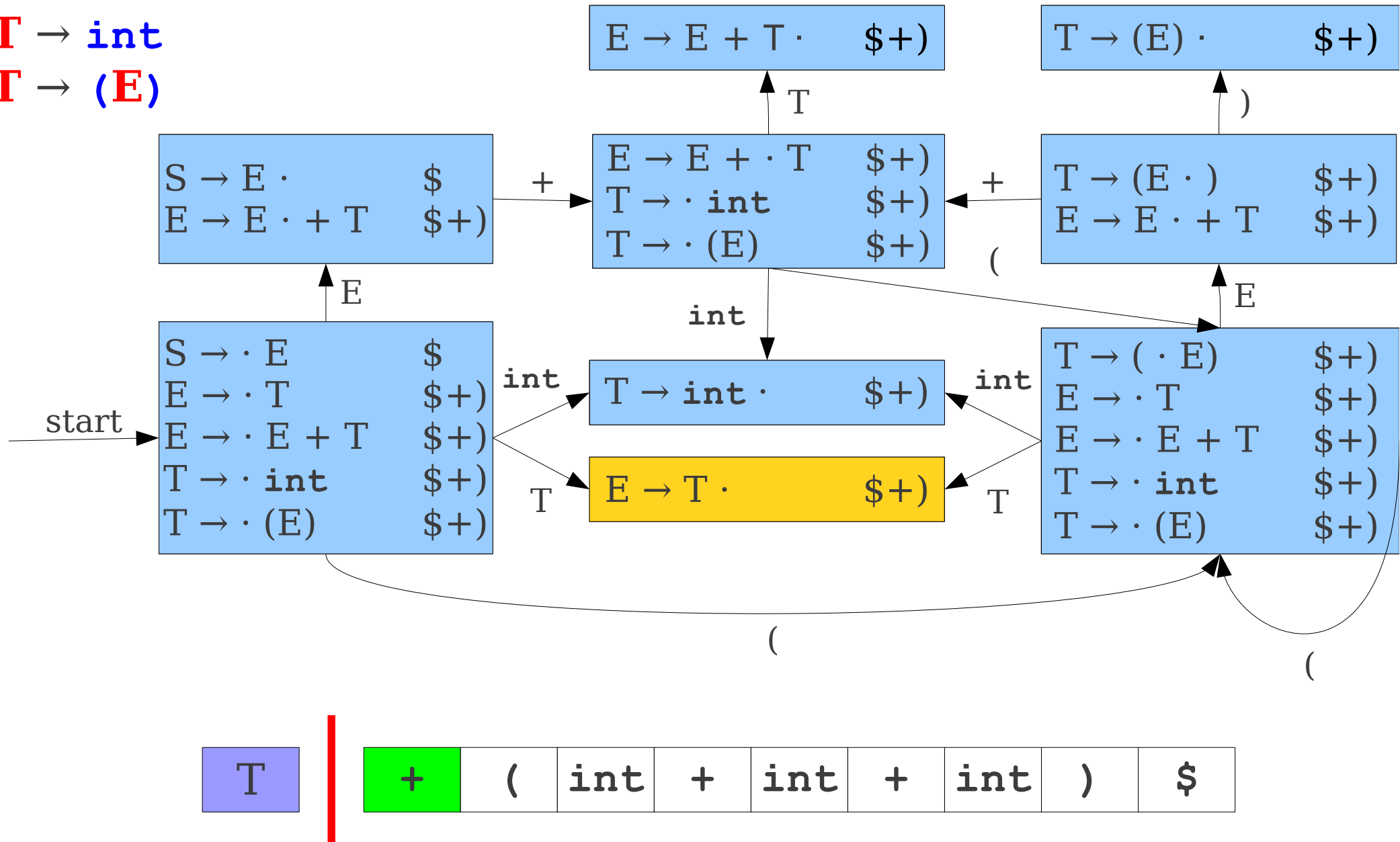
$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing

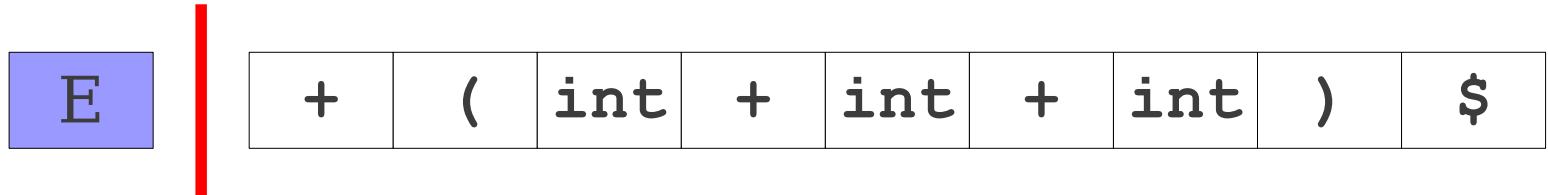


$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing

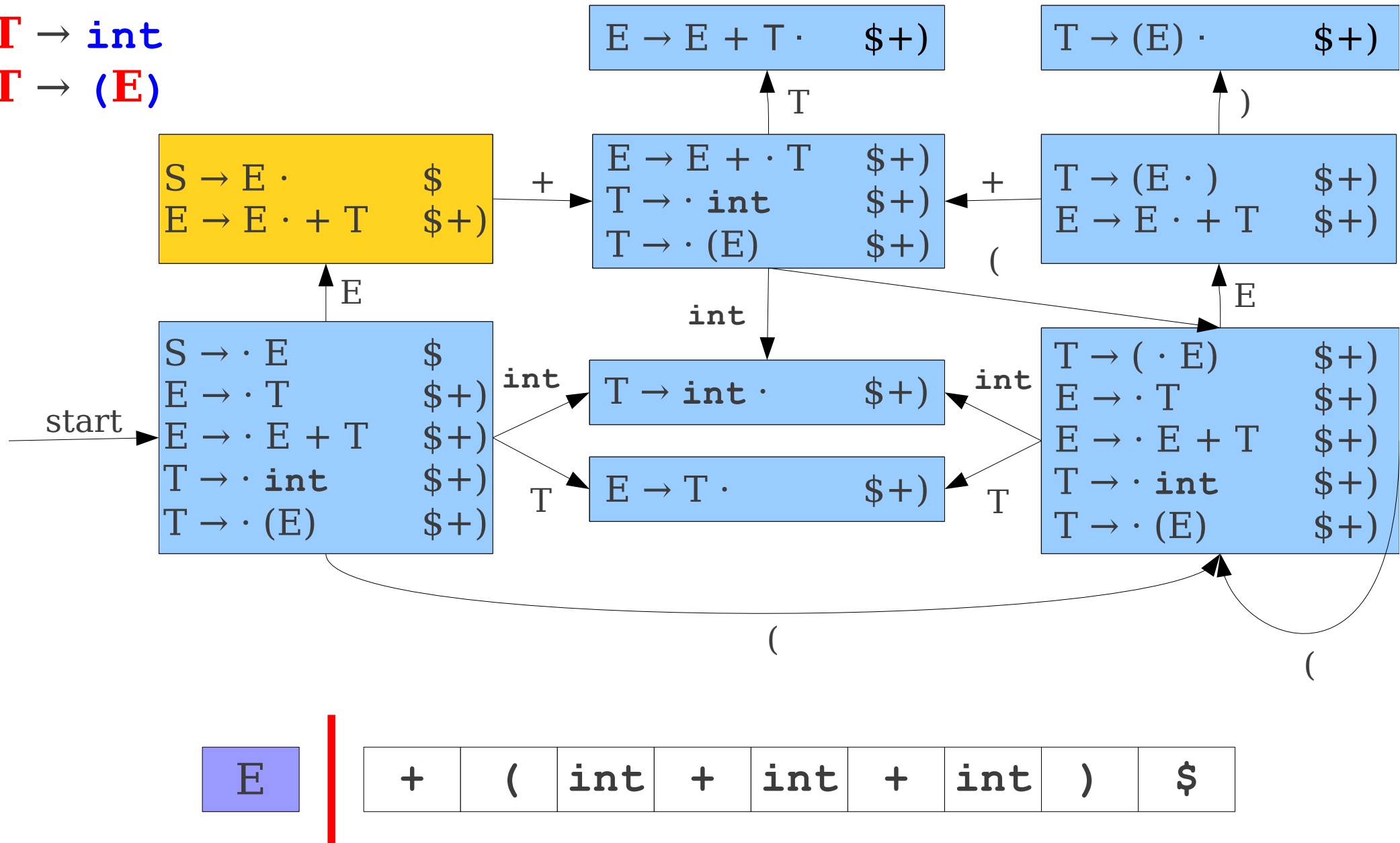


# SLR(1) Parsing



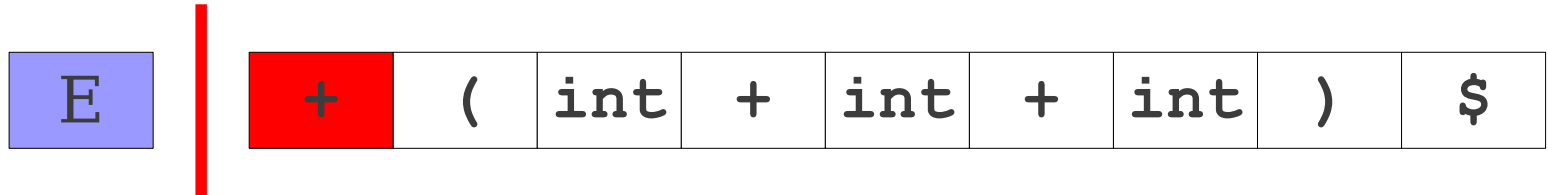
$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing



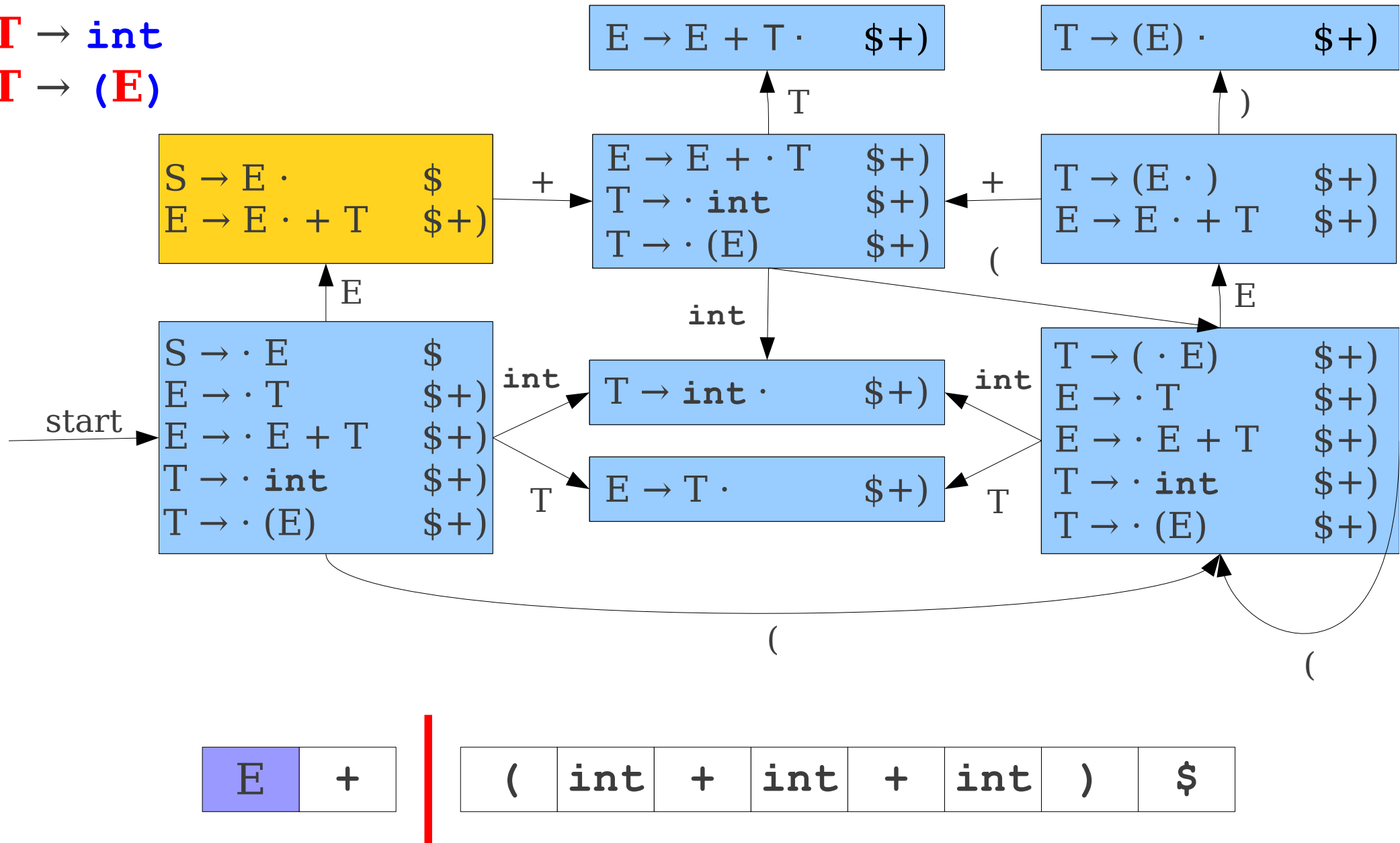


# SLR(1) Parsing

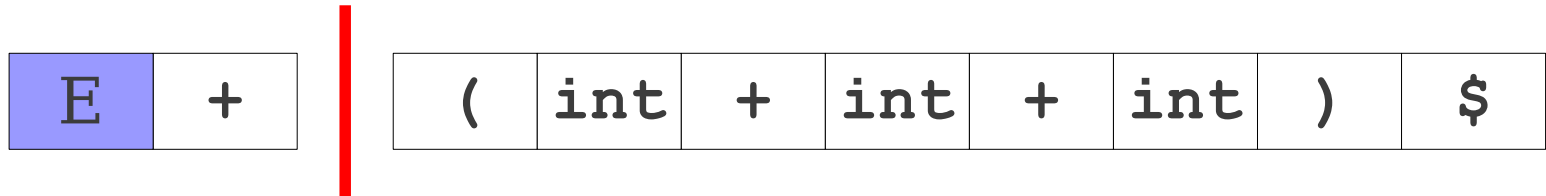


$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing

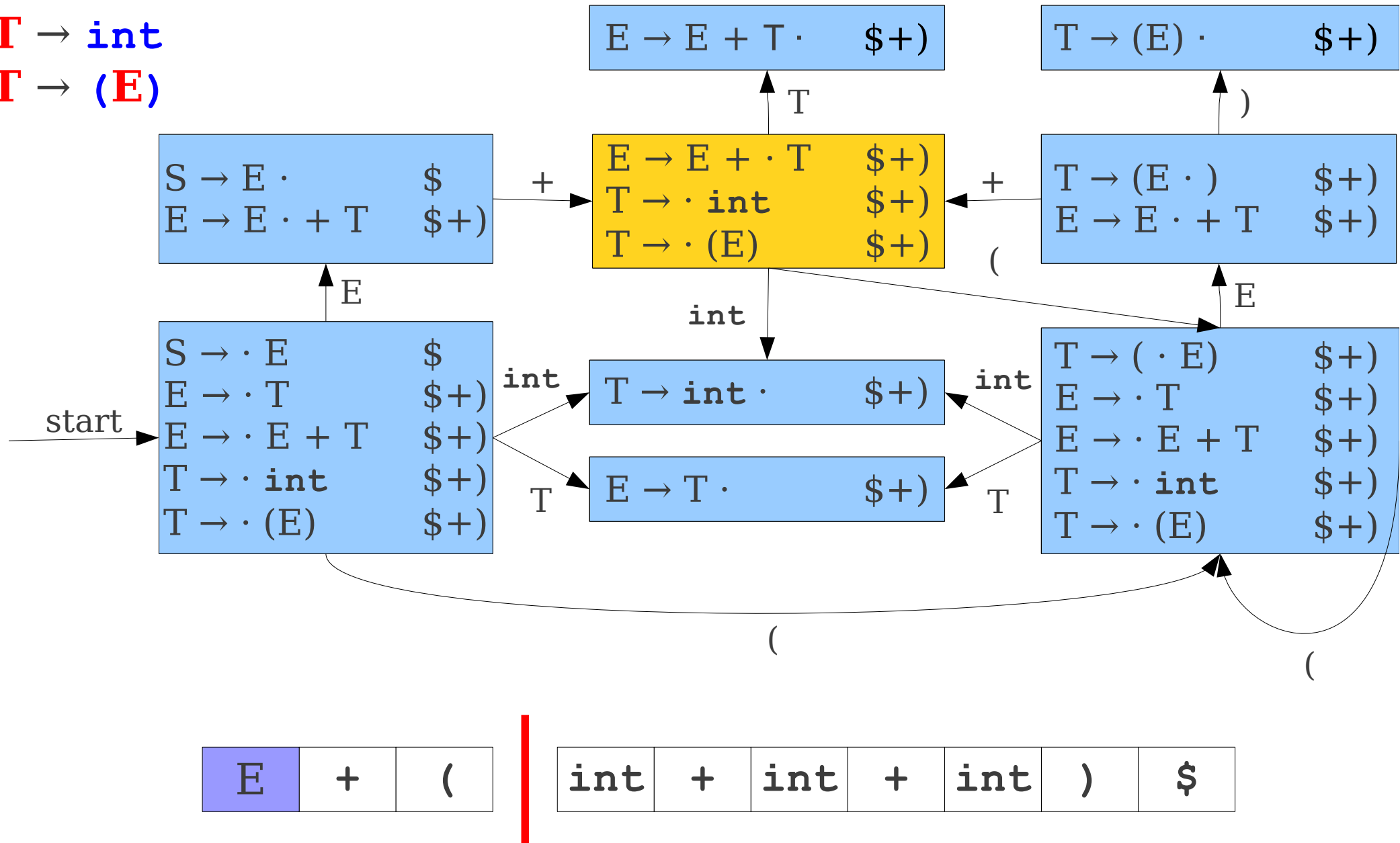


# SLR(1) Parsing



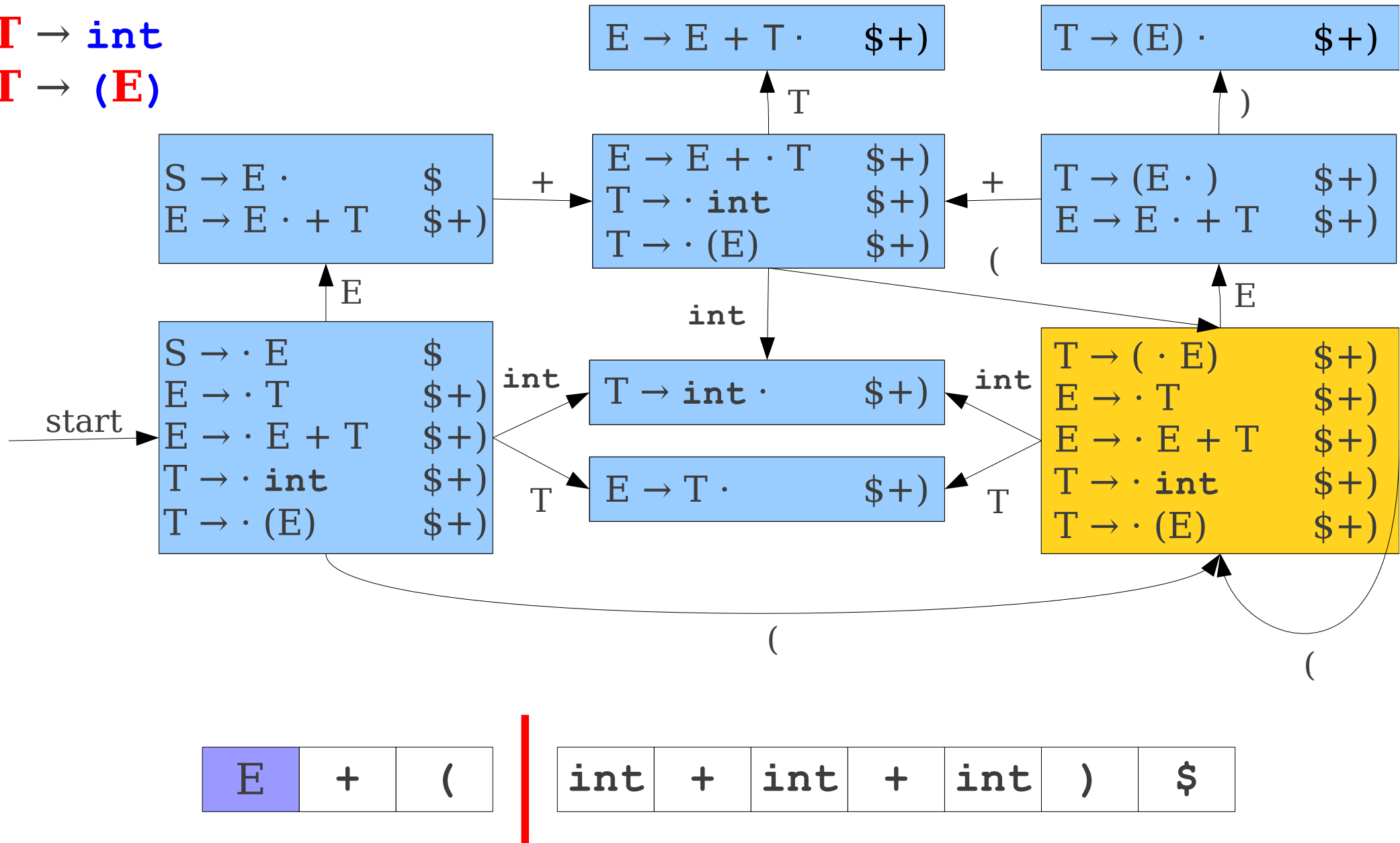
$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing



$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow E + T$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$

# SLR(1) Parsing



# Analysis of SLR(1)

- Exploits lookahead in a small space.
  - Small automaton – same number of states as in LR(0).
  - Works on many more grammars than LR(0)
- Too weak for most grammars: lose context from not having extra states.

# The Limits of SLR(1)

**S**  $\rightarrow$  **E**  
**E**  $\rightarrow$  **L** = **R**  
**E**  $\rightarrow$  **R**  
**L**  $\rightarrow$  **id**  
**L**  $\rightarrow$  **\*****R**  
**R**  $\rightarrow$  **L**

# The Limits of SLR(1)

**S** → **E**  
**E** → **L** = **R**  
**E** → **R**  
**L** → **id**  
**L** → **\*****R**  
**R** → **L**

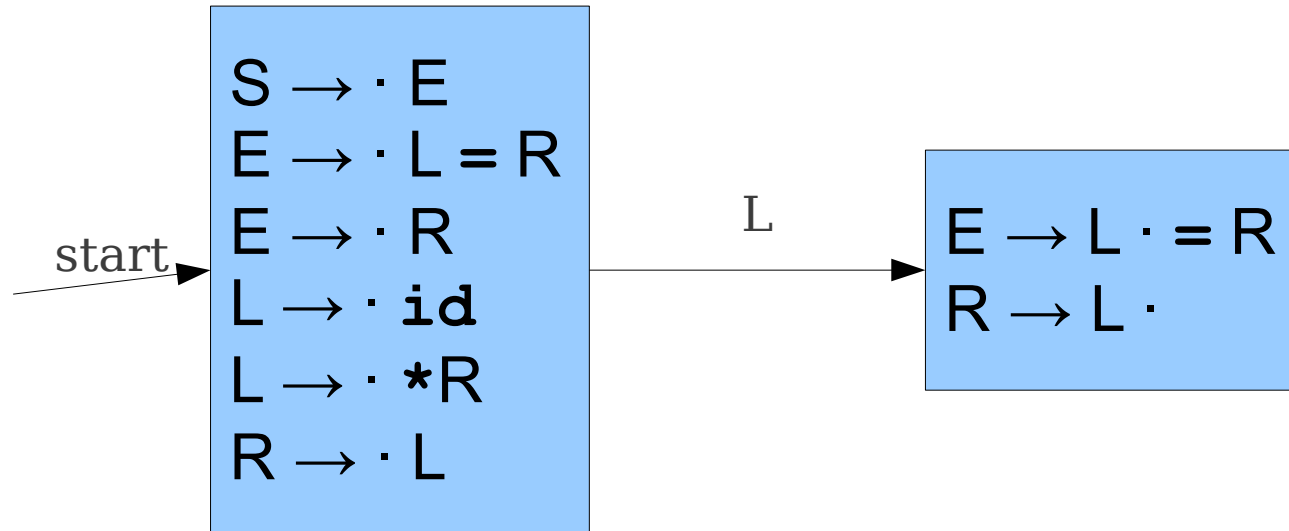
start →

**S** → · **E**  
**E** → · **L** = **R**  
**E** → · **R**  
**L** → · **id**  
**L** → · **\*****R**  
**R** → · **L**



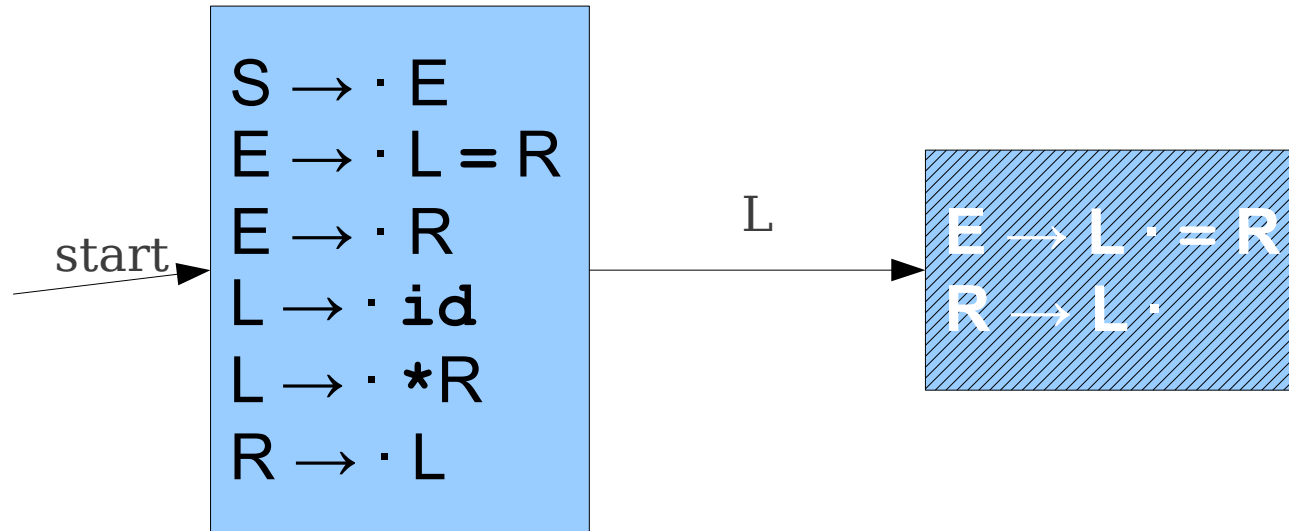
# The Limits of SLR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



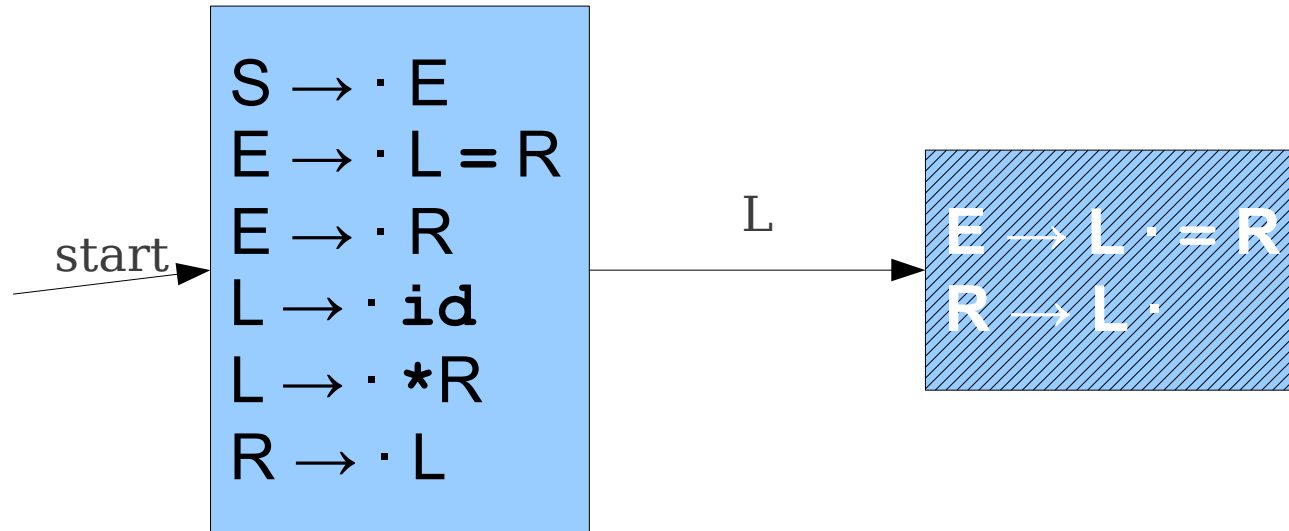
# The Limits of SLR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



# The Limits of SLR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$

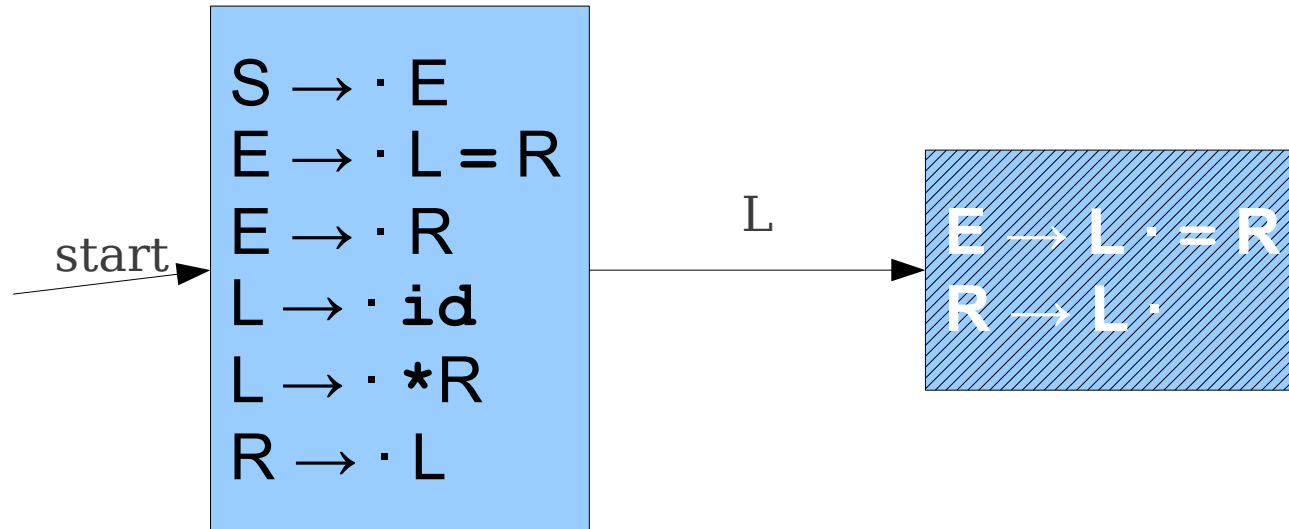


$E \rightarrow L \cdot = R$   
 $R \rightarrow L \cdot$

tells us to shift on seeing =  
tells us to reduce on FOLLOW(**R**).

# The Limits of SLR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$

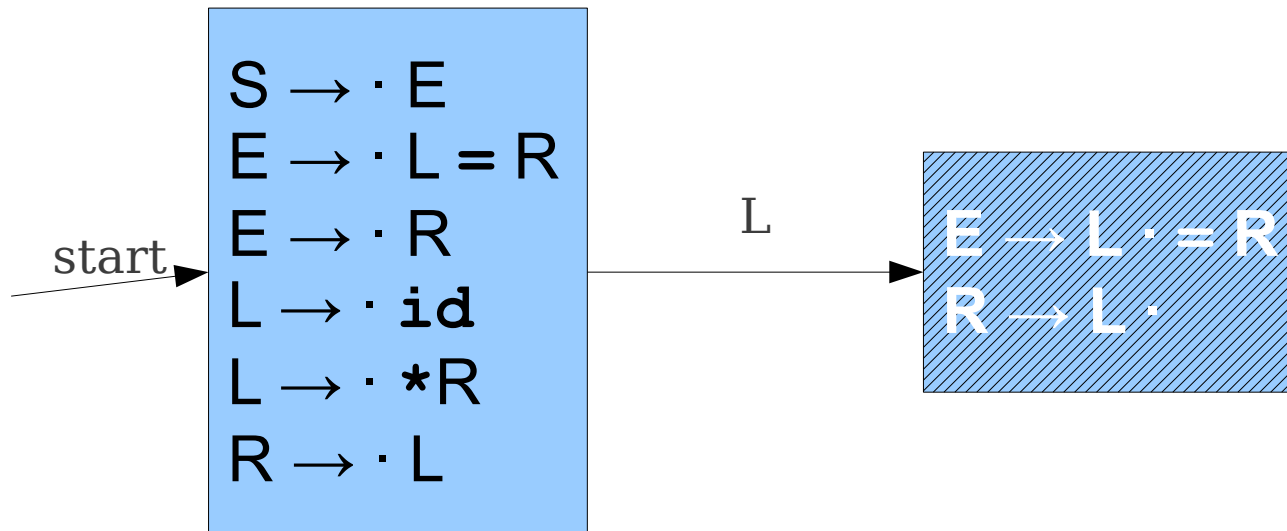


$E \rightarrow L \cdot = R$   
 $R \rightarrow L \cdot$

tells us to shift on seeing =  
tells us to reduce on FOLLOW(**R**).

# The Limits of SLR(1)

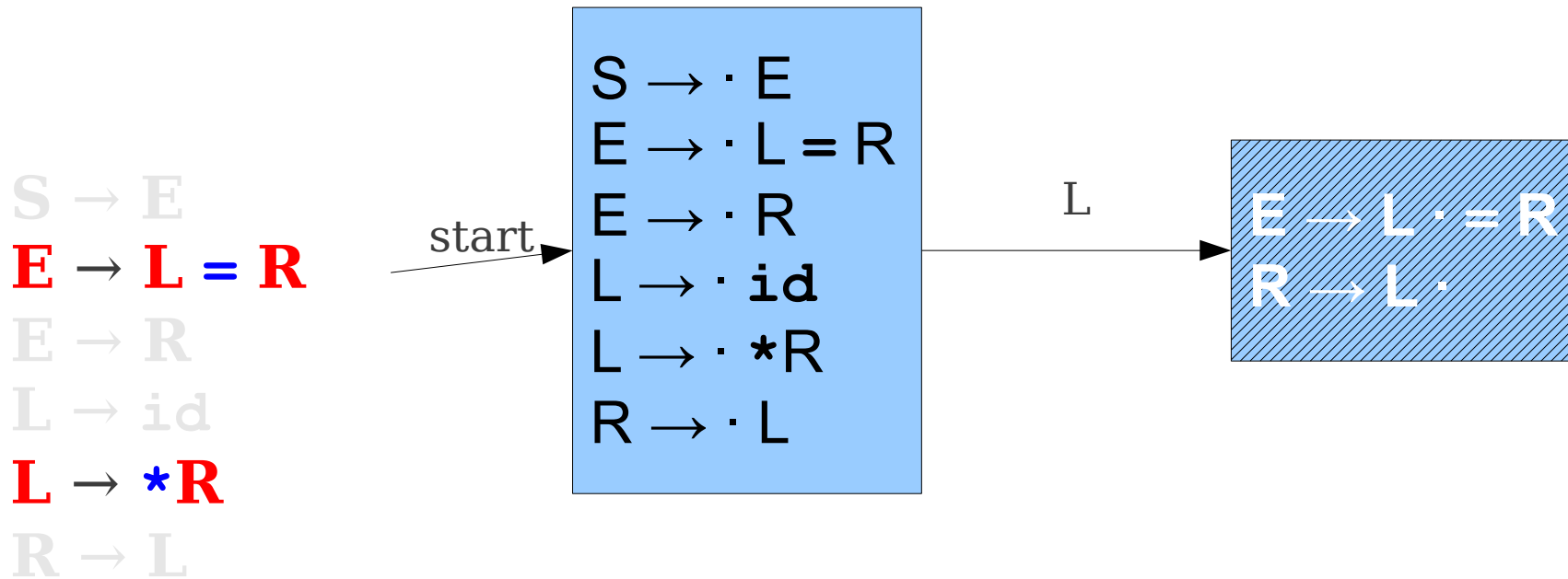
$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



$E \rightarrow L \cdot = R$  tells us to shift on seeing =  
 $R \rightarrow L \cdot$  tells us to reduce on FOLLOW(**R**).

$= \in \text{FOLLOW}(\mathbf{R})$ .

# The Limits of SLR(1)




$E \rightarrow L \cdot = R$  tells us to shift on seeing =  
 $R \rightarrow L \cdot$  tells us to reduce on FOLLOW(**R**).

= ∈ FOLLOW(**R**).

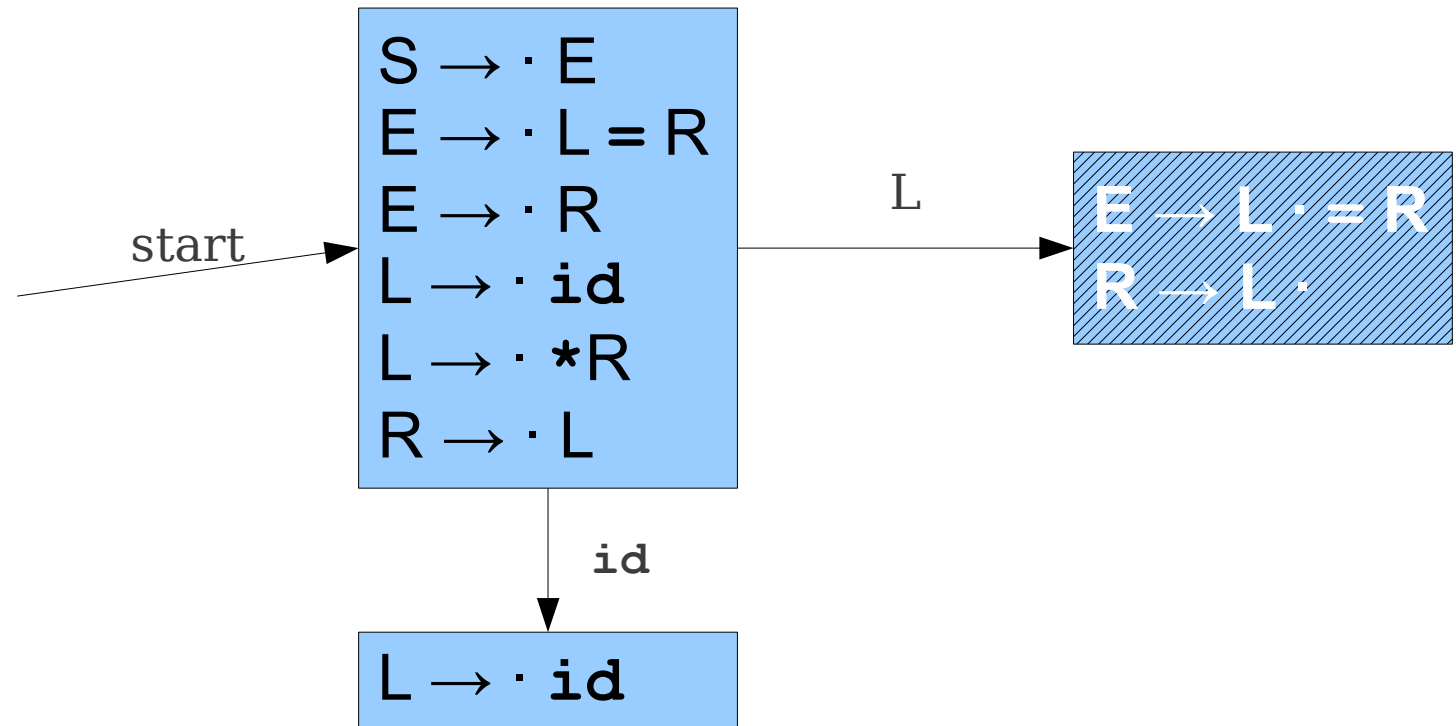
**We have a conflict!**

# Why is SLR(1) Weak?

- With LR(1), incredible contextual information.  惊人的
- Lookaheads at each state only possible after applying the productions that could get us there.
- With SLR(1), *minimal* context.
  - FOLLOW(**A**) means “what could follow **A** *somewhere* in the grammar?,” even if in a particular state **A** couldn't possibly have that symbol after it.

# A Lack of Context

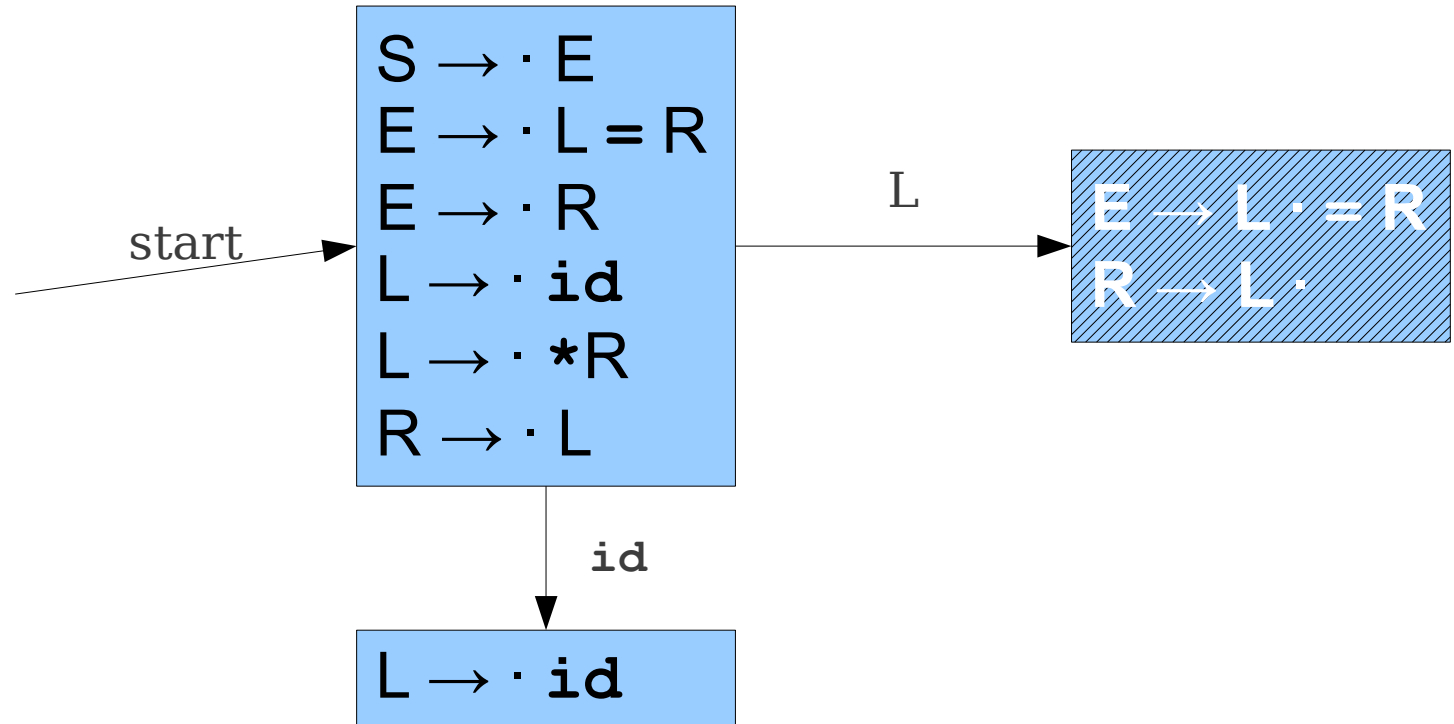
$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$





# A Lack of Context

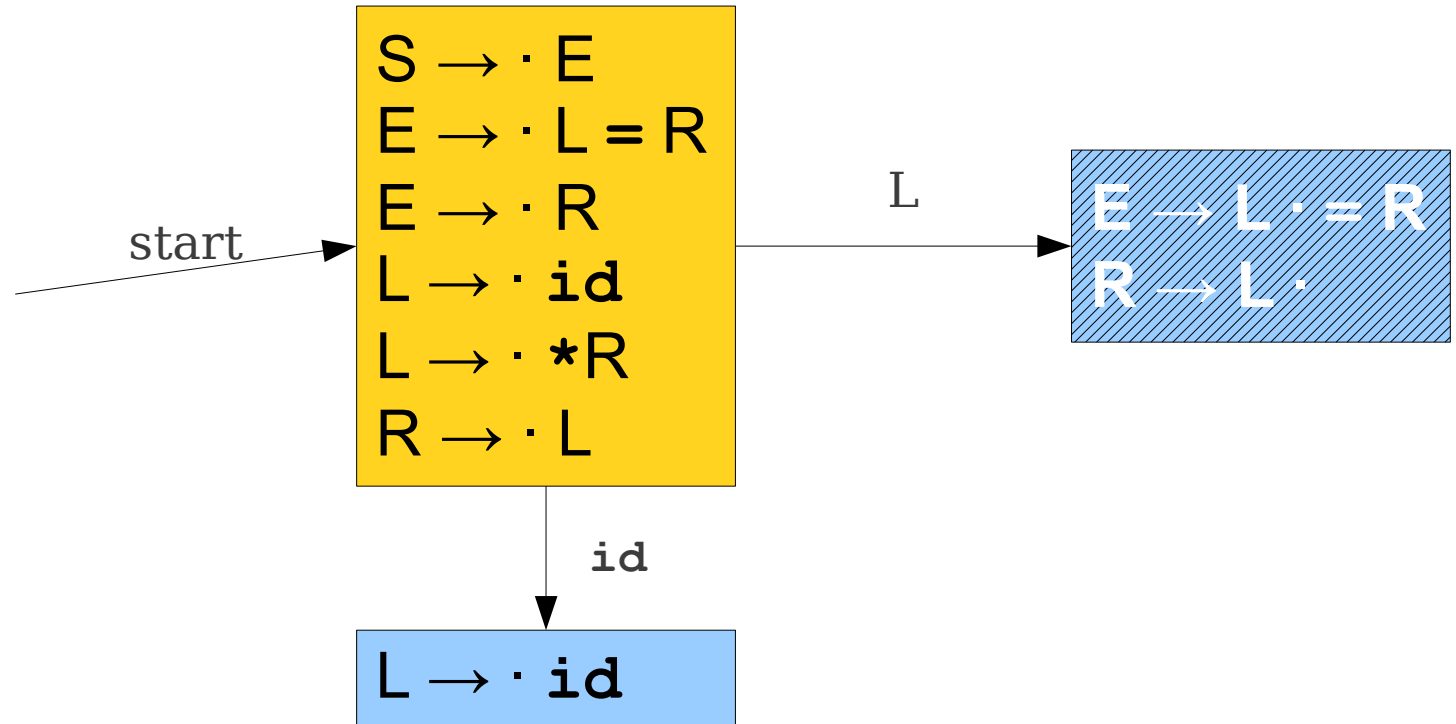
**S** → **E**  
**E** → **L** = **R**  
**E** → **R**  
**L** → **id**  
**L** → **\*****R**  
**R** → **L**



**id** **=** **\*** **id**

# A Lack of Context

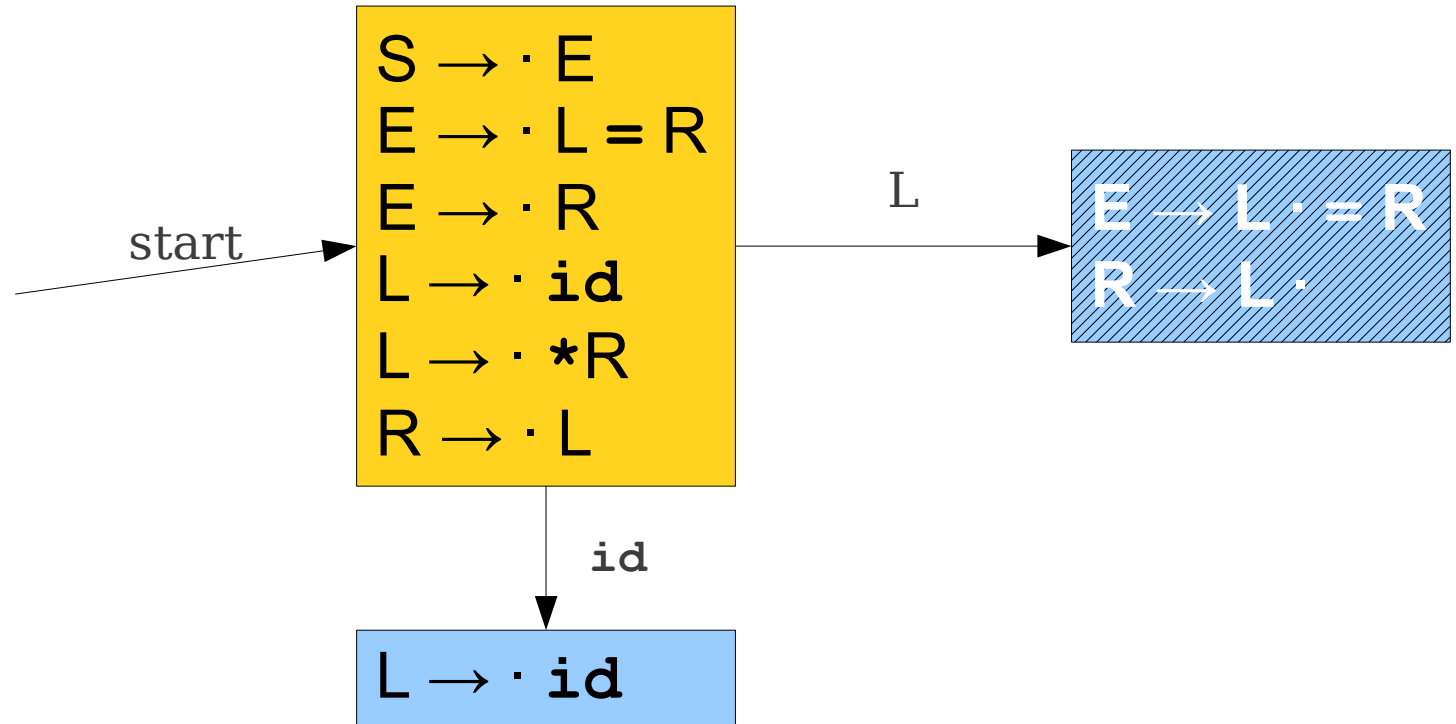
**S** → **E**  
**E** → **L = R**  
**E** → **R**  
**L** → **id**  
**L** → **\*R**  
**R** → **L**



**id** **=** **\*** **id**

# A Lack of Context

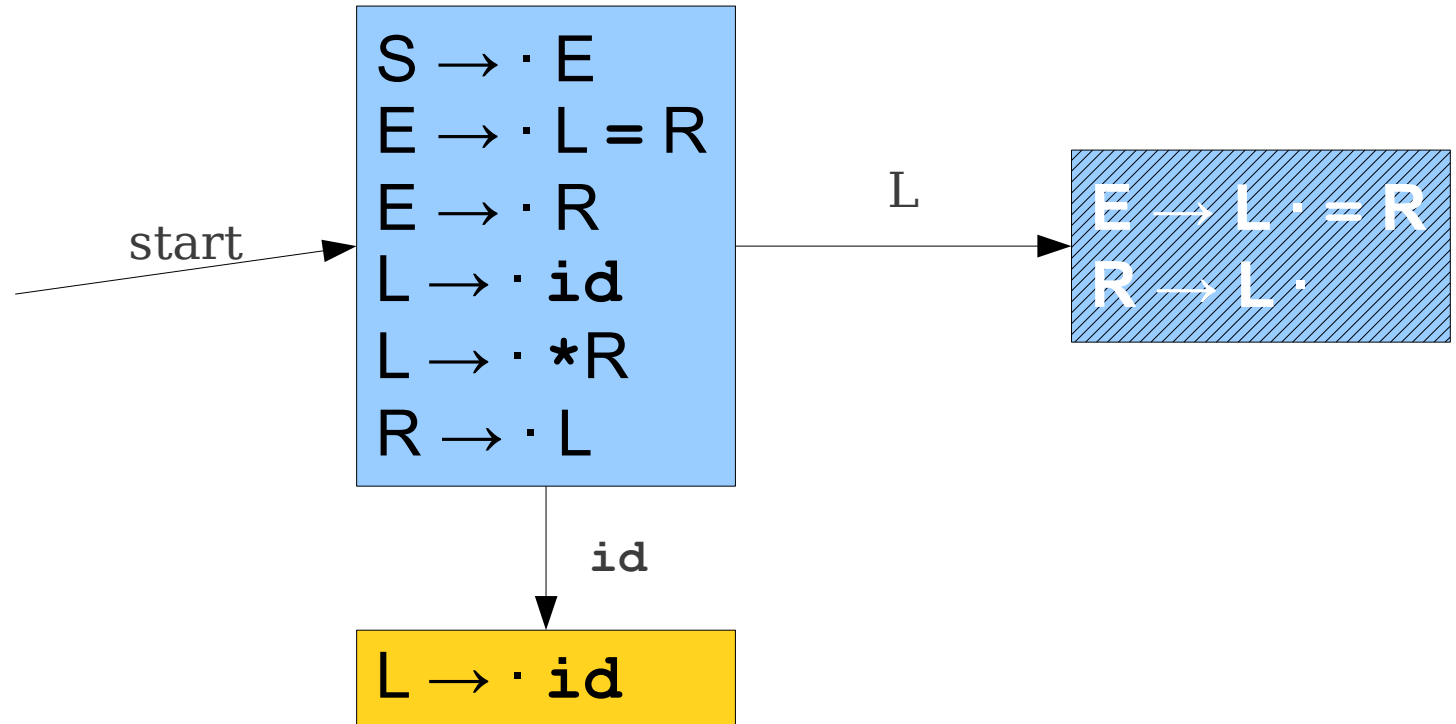
$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



id | = \* id

# A Lack of Context

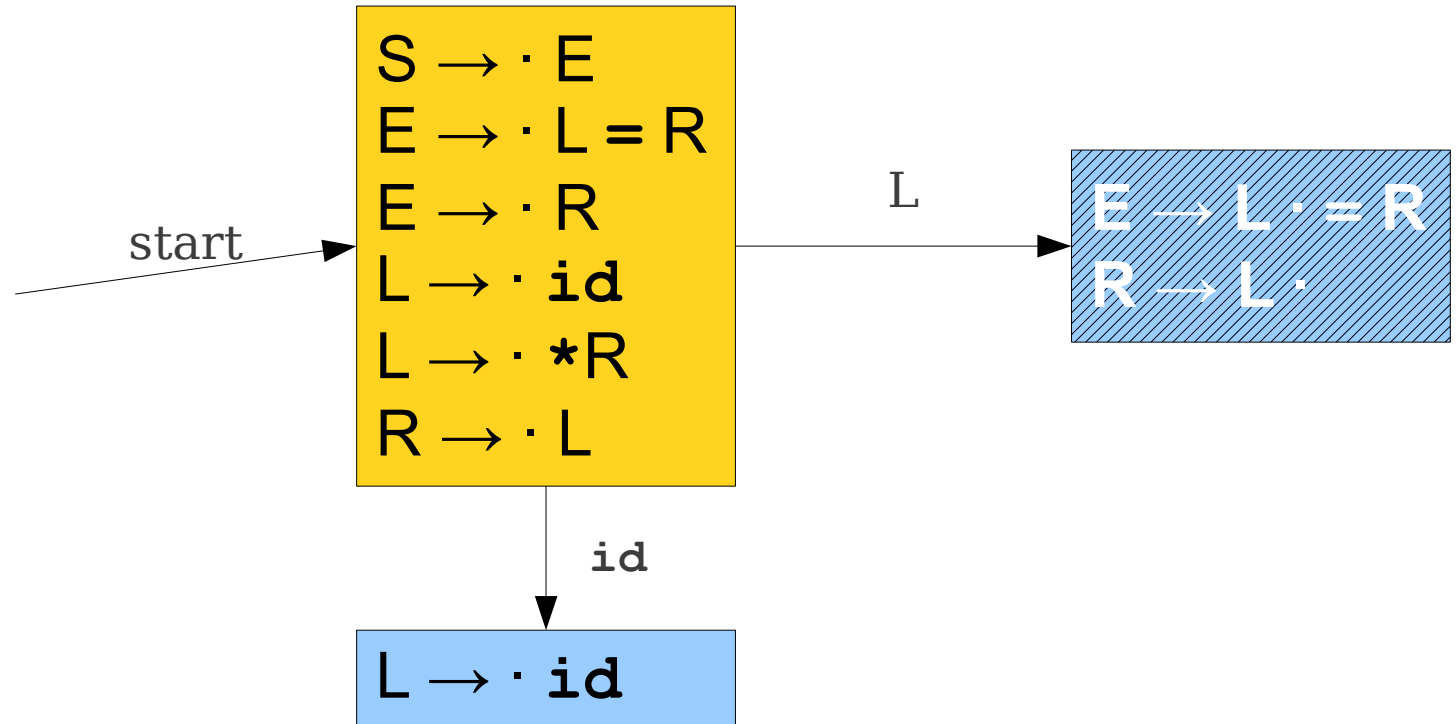
**S** → **E**  
**E** → **L** = **R**  
**E** → **R**  
**L** → **id**  
**L** → **\*****R**  
**R** → **L**



id | = \* id

# A Lack of Context

**S** → **E**  
**E** → **L** = **R**  
**E** → **R**  
**L** → **id**  
**L** → **\*****R**  
**R** → **L**

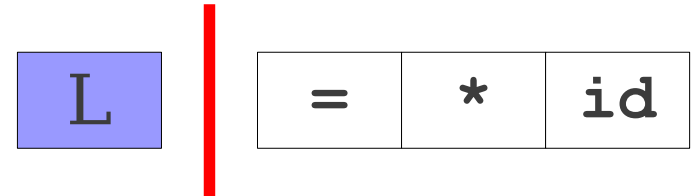
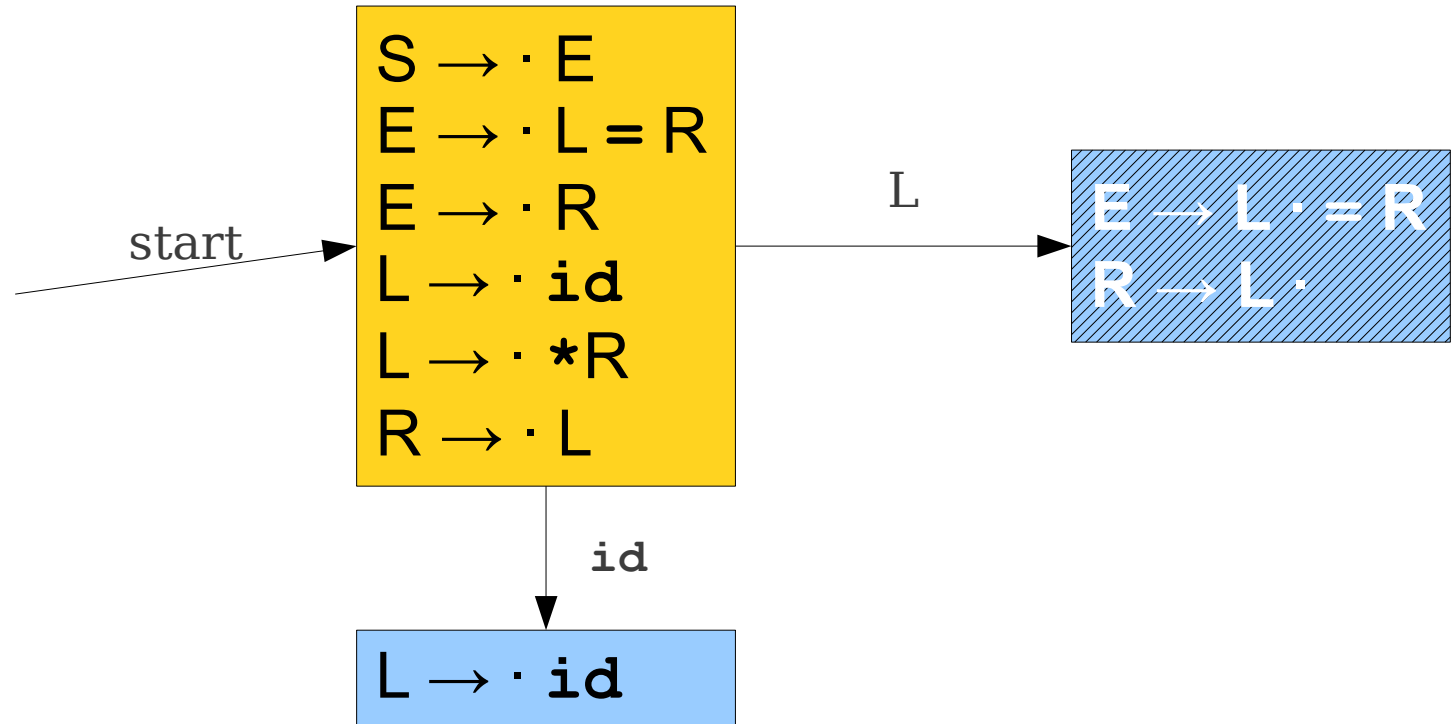


|

=	*	id
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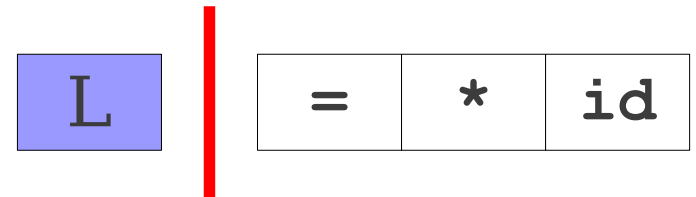
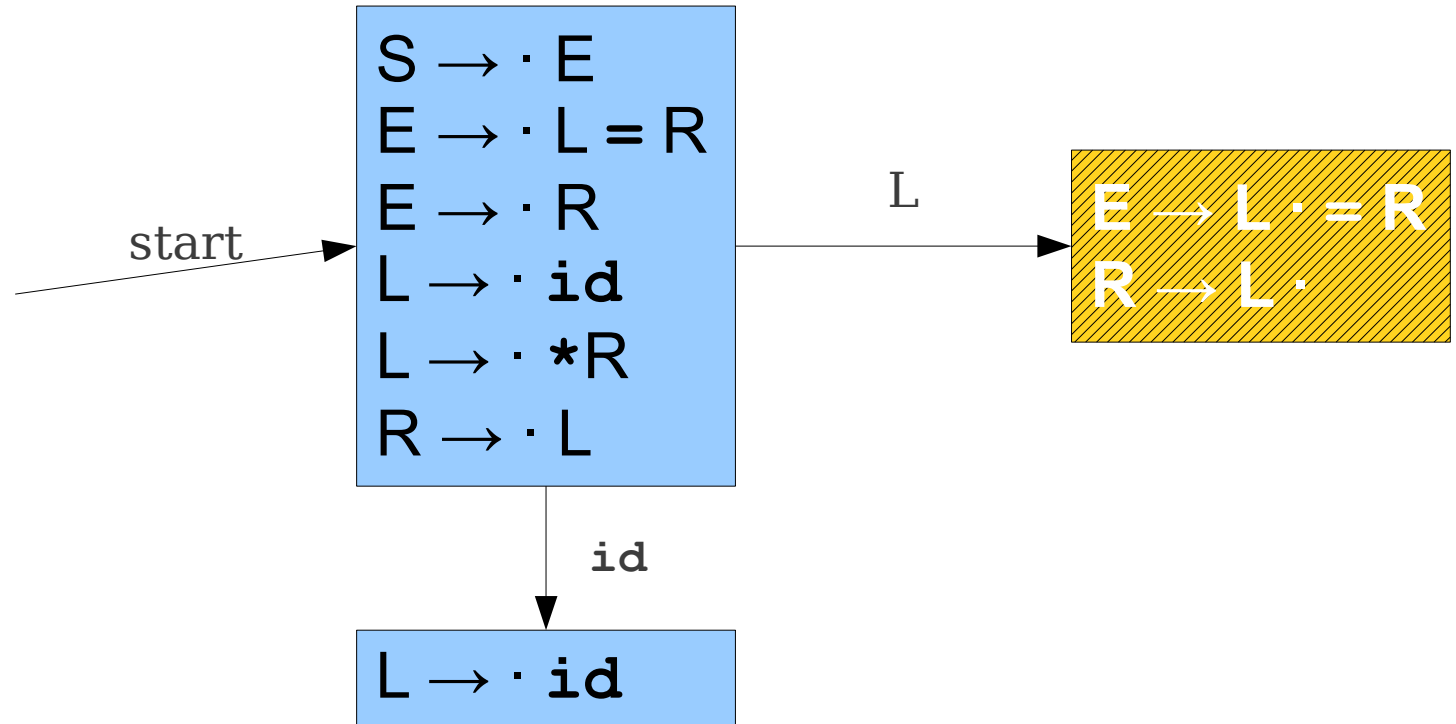
# A Lack of Context

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



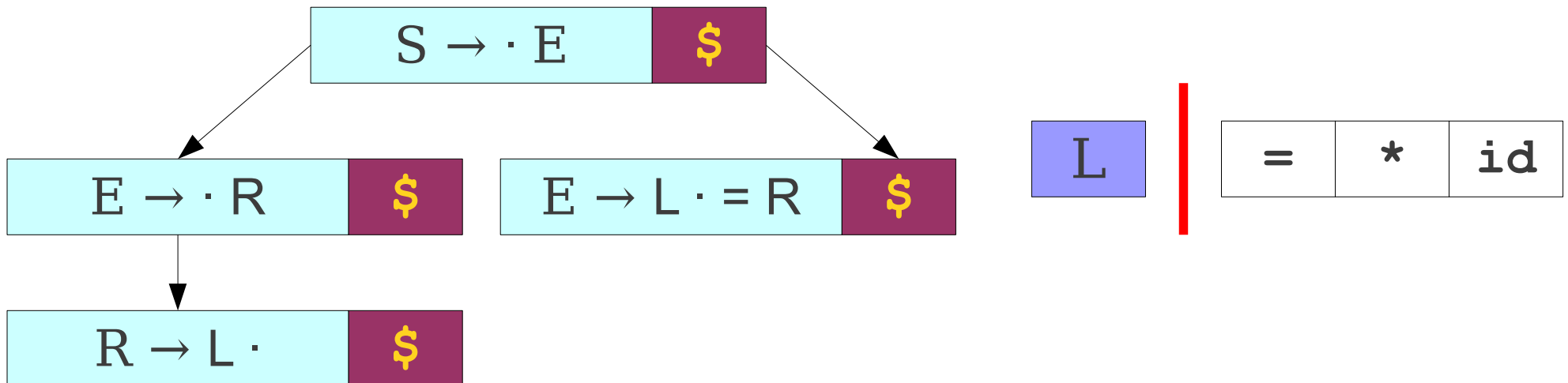
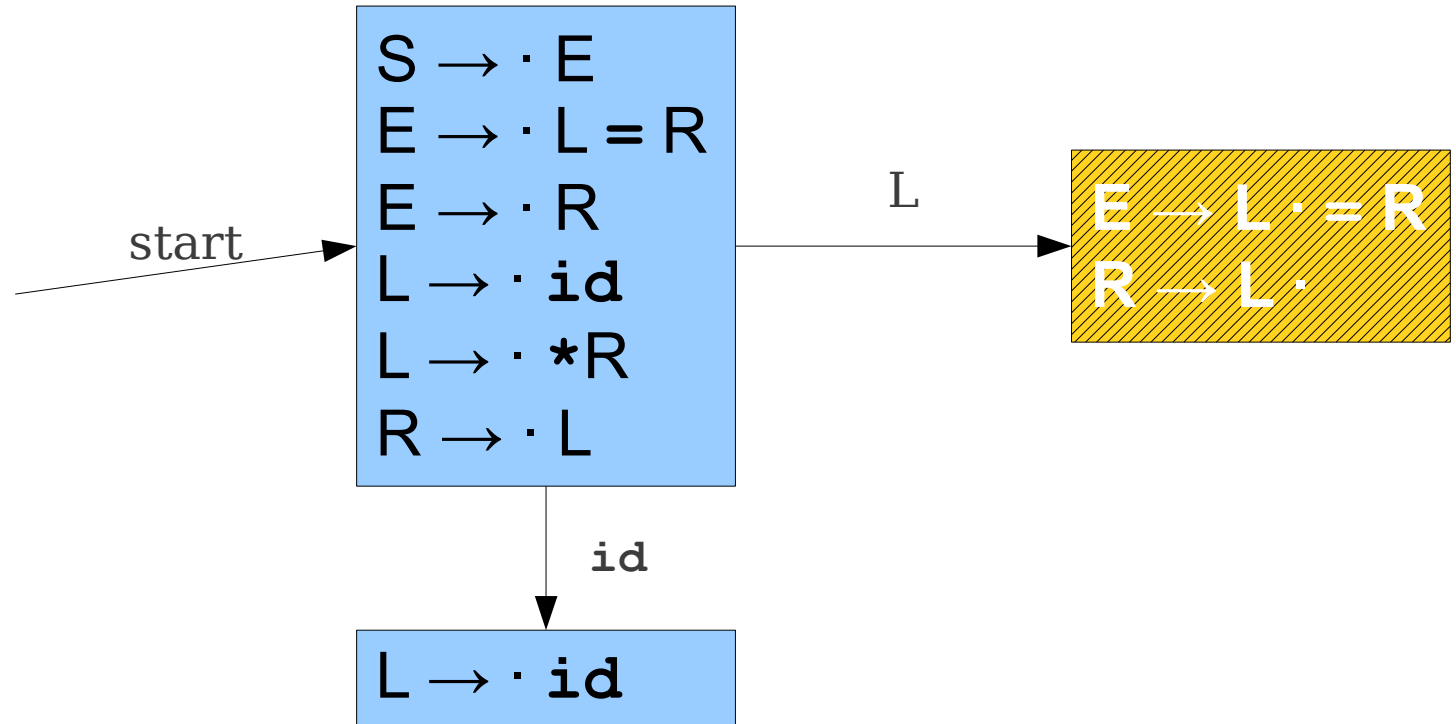
# A Lack of Context

**S** → **E**  
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# A Lack of Context

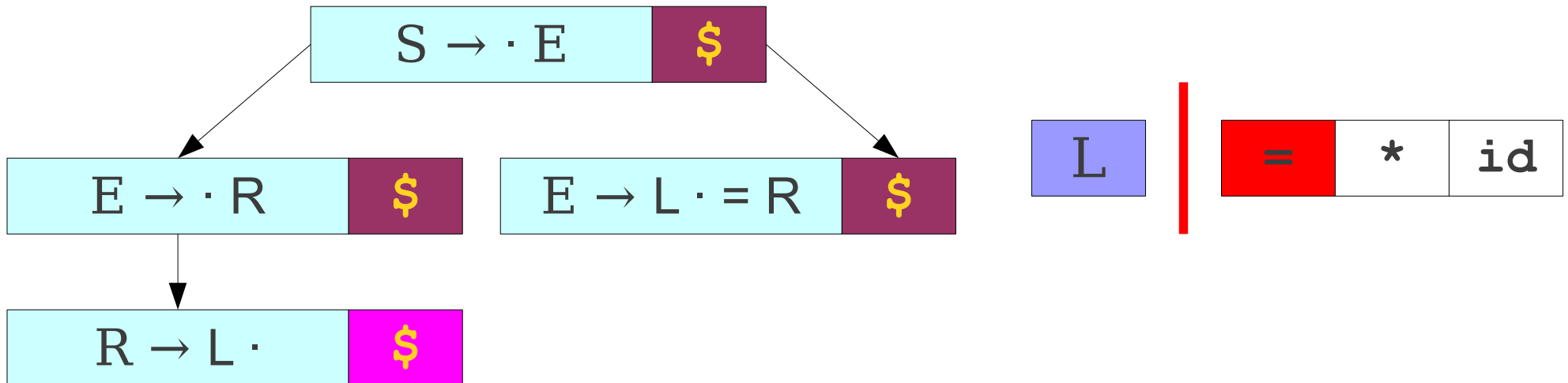
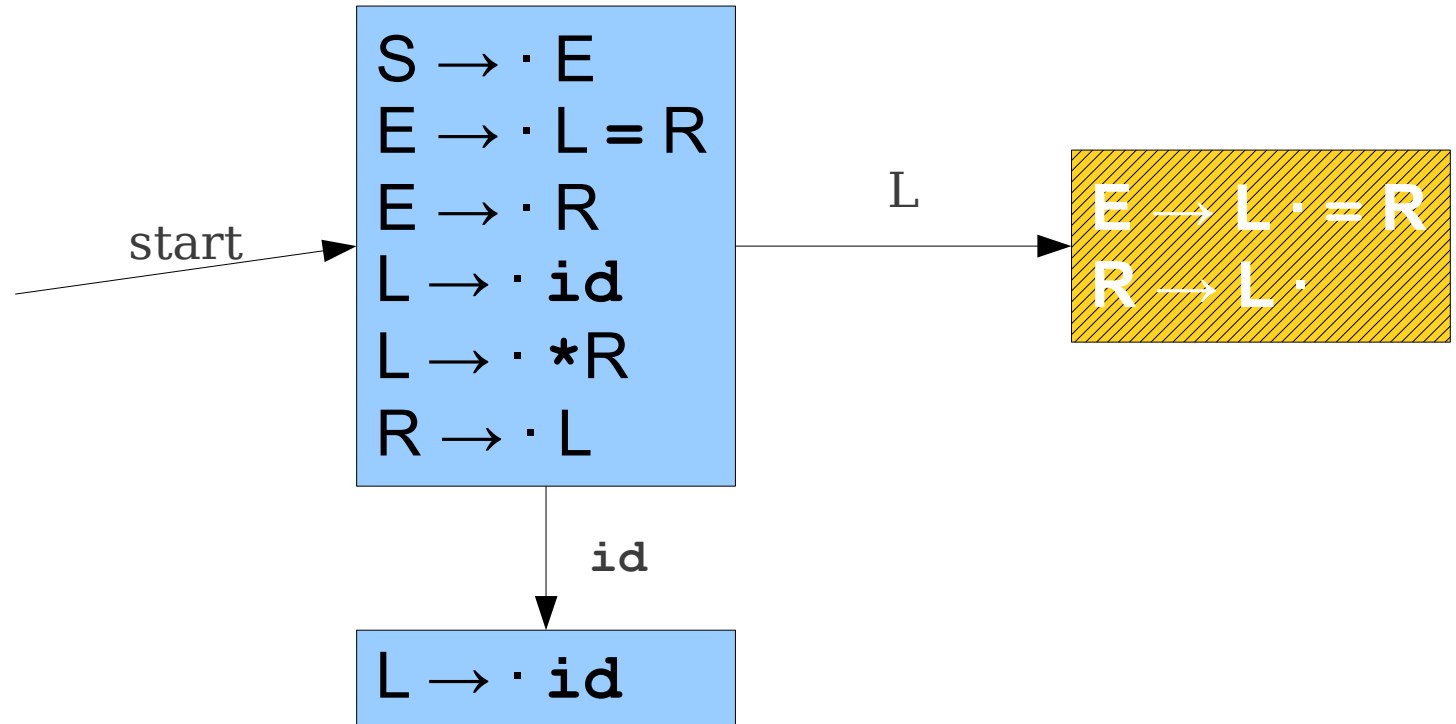
$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$





# A Lack of Context

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$

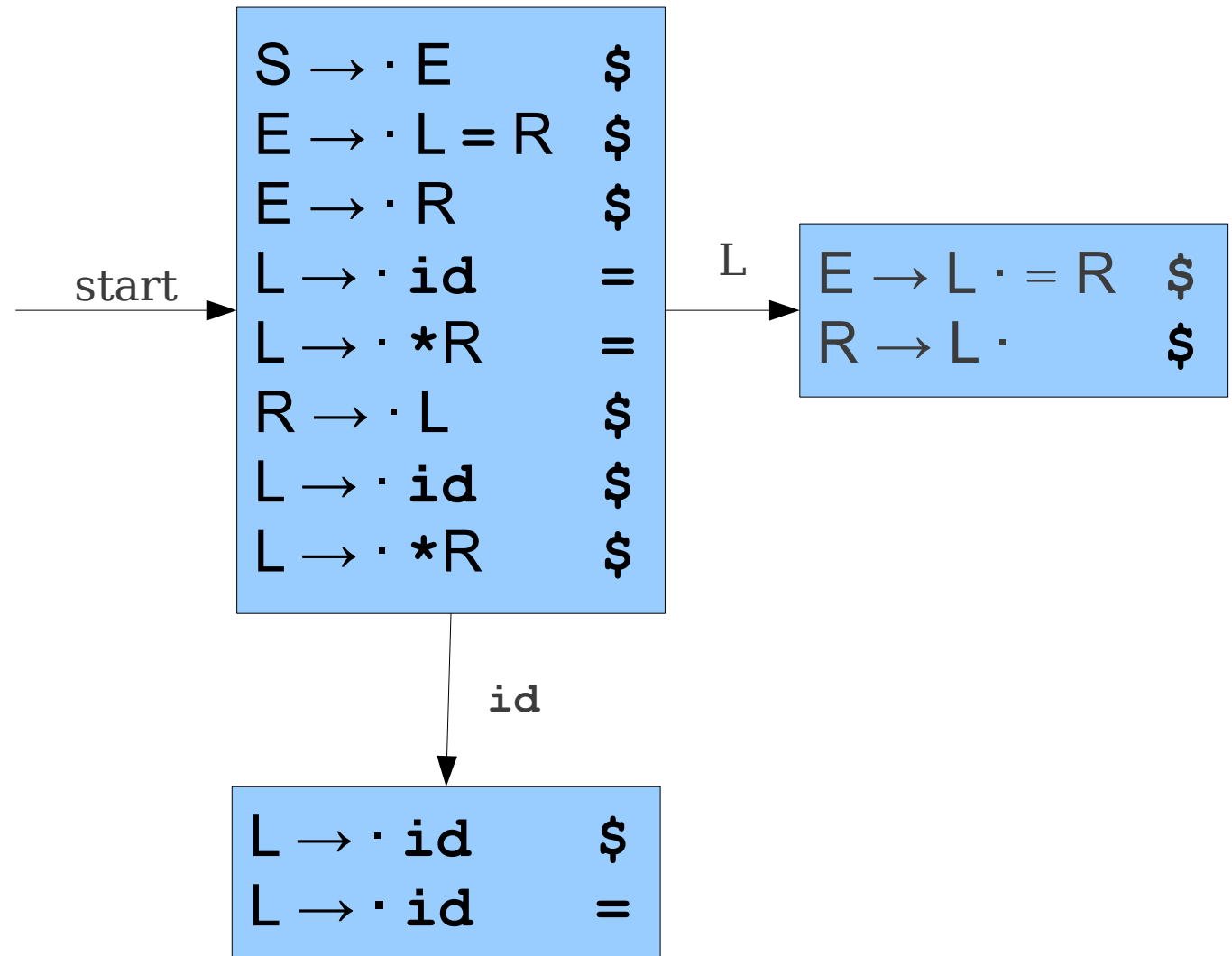


# For Reference: LR(1) States

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$

# For Reference: LR(1) States

**S** → **E**  
**E** → **L** = **R**  
**E** → **R**  
**L** → **id**  
**L** → **\*****R**  
**R** → **L**



# LR(1) and SLR(1)

- SLR(1) is weak because it has no contextual information.
- LR(1) is impractical because its contextual information makes the automaton too big.
- Can we retain the LR(1) automaton's contextual information without all its states?

# Review of LR(1)

- Each state in an LR(1) automaton is a combination of an LR(0) state and lookahead information.
- Two LR(1) items have the same **core** if they are identical except for lookahead.

$T \rightarrow (\cdot E)$	$\$$
$E \rightarrow \cdot E + T$	)
$E \rightarrow \cdot T$	)
$T \rightarrow \cdot \text{int}$	)
$T \rightarrow \cdot (E)$	)

$T \rightarrow (\cdot E)$	)
$E \rightarrow \cdot E + T$	)
$E \rightarrow \cdot T$	)
$T \rightarrow \cdot \text{int}$	)
$T \rightarrow \cdot (E)$	)

# A Surprisingly Powerful Idea

- In an LR(1) automaton, we have multiple states with the same core but different lookahead.
- What if we merge all these states together?
- This is called **LALR(1)**
  - **Lookahead(1) LR(0)**

**S**  $\rightarrow$  **E** From LR(1) to LALR(1)

**E**  $\rightarrow$  **L** = **R**

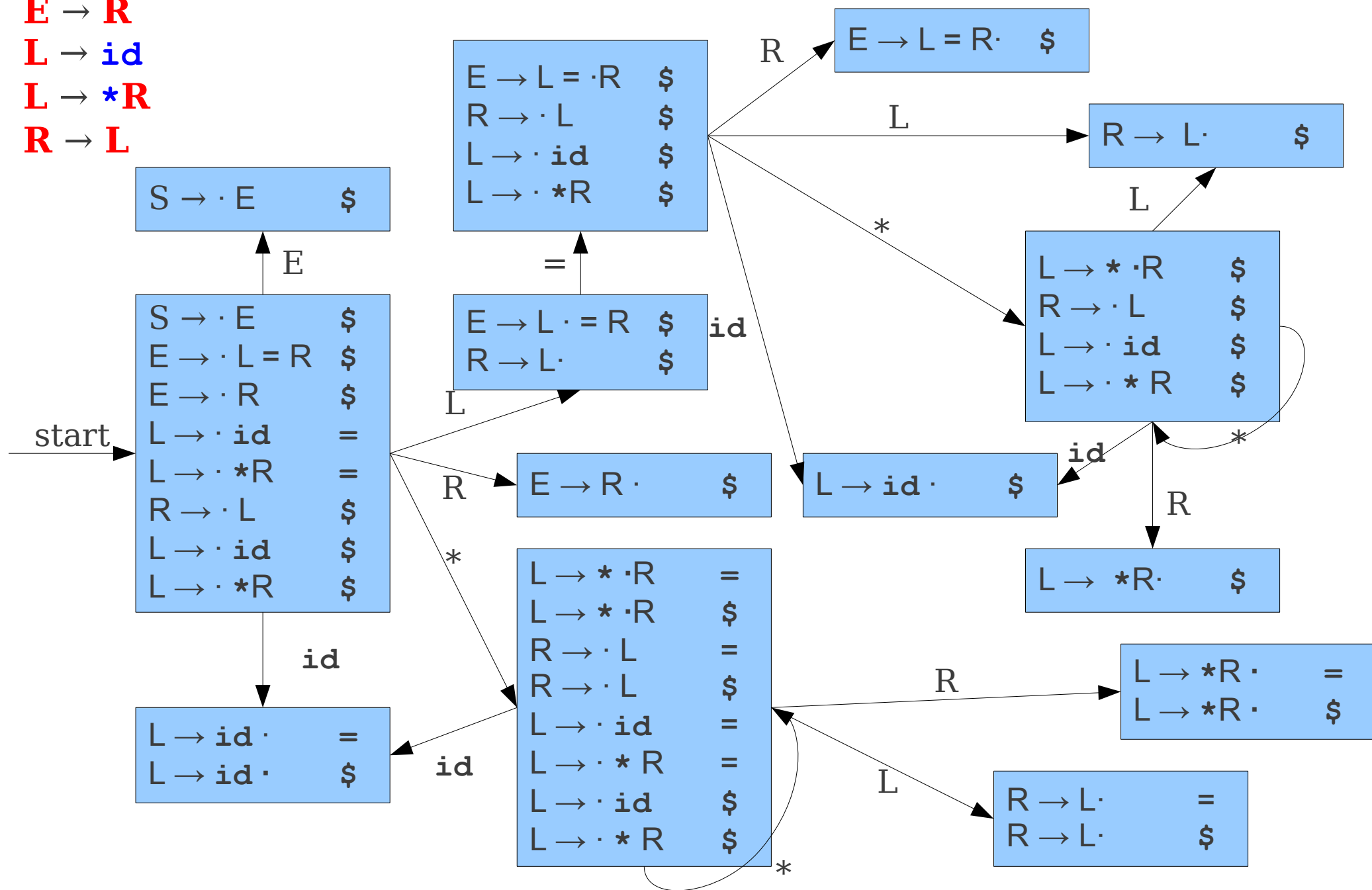
**E**  $\rightarrow$  **R**

**L**  $\rightarrow$  **id**

**L**  $\rightarrow$  **\*****R**

**R**  $\rightarrow$  **L**

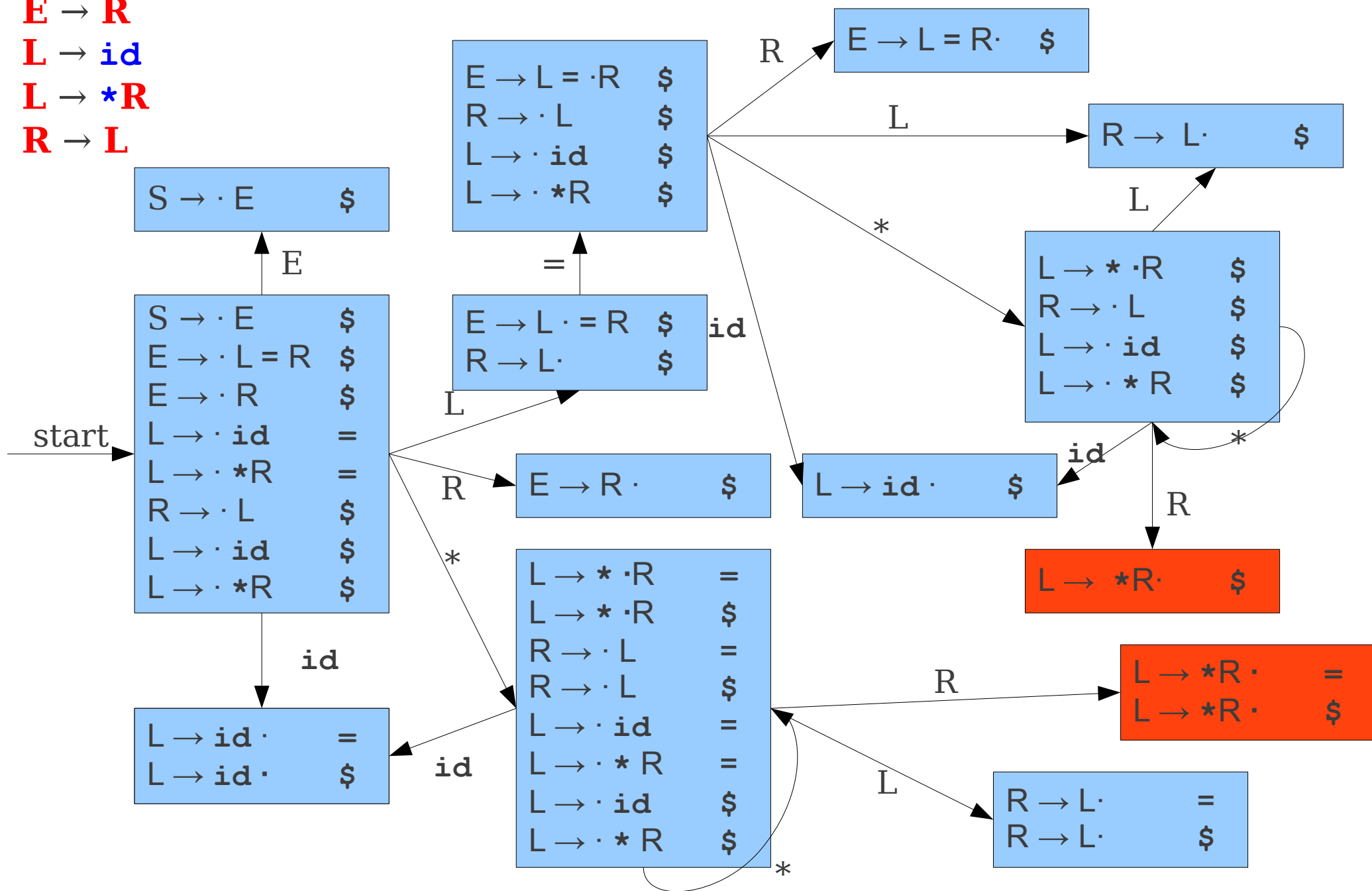
**S**  $\rightarrow$  **E**  
**E**  $\rightarrow$  **L** = **R** From LR(1) to LALR(1)





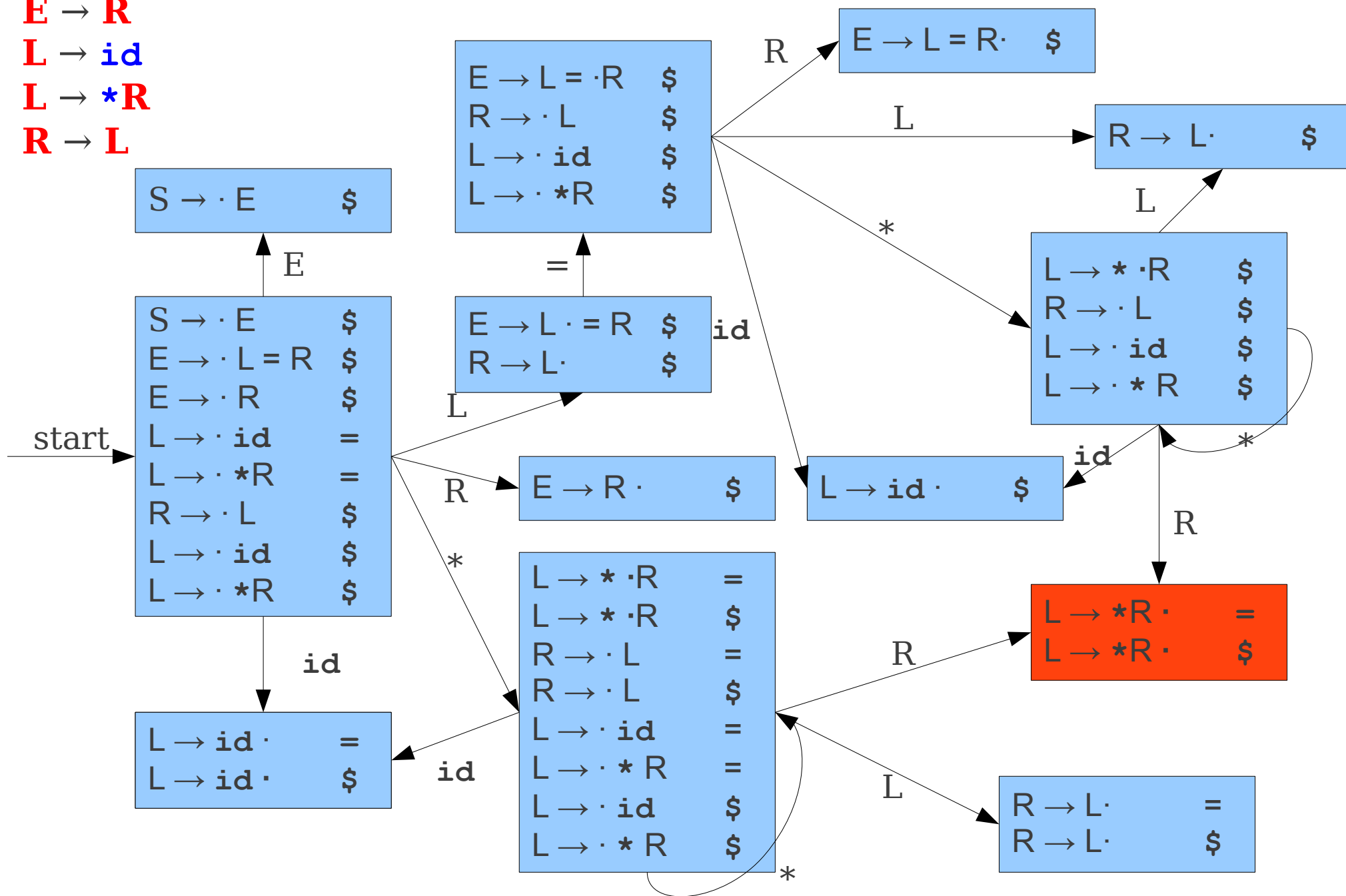
# From LR(1) to LALR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



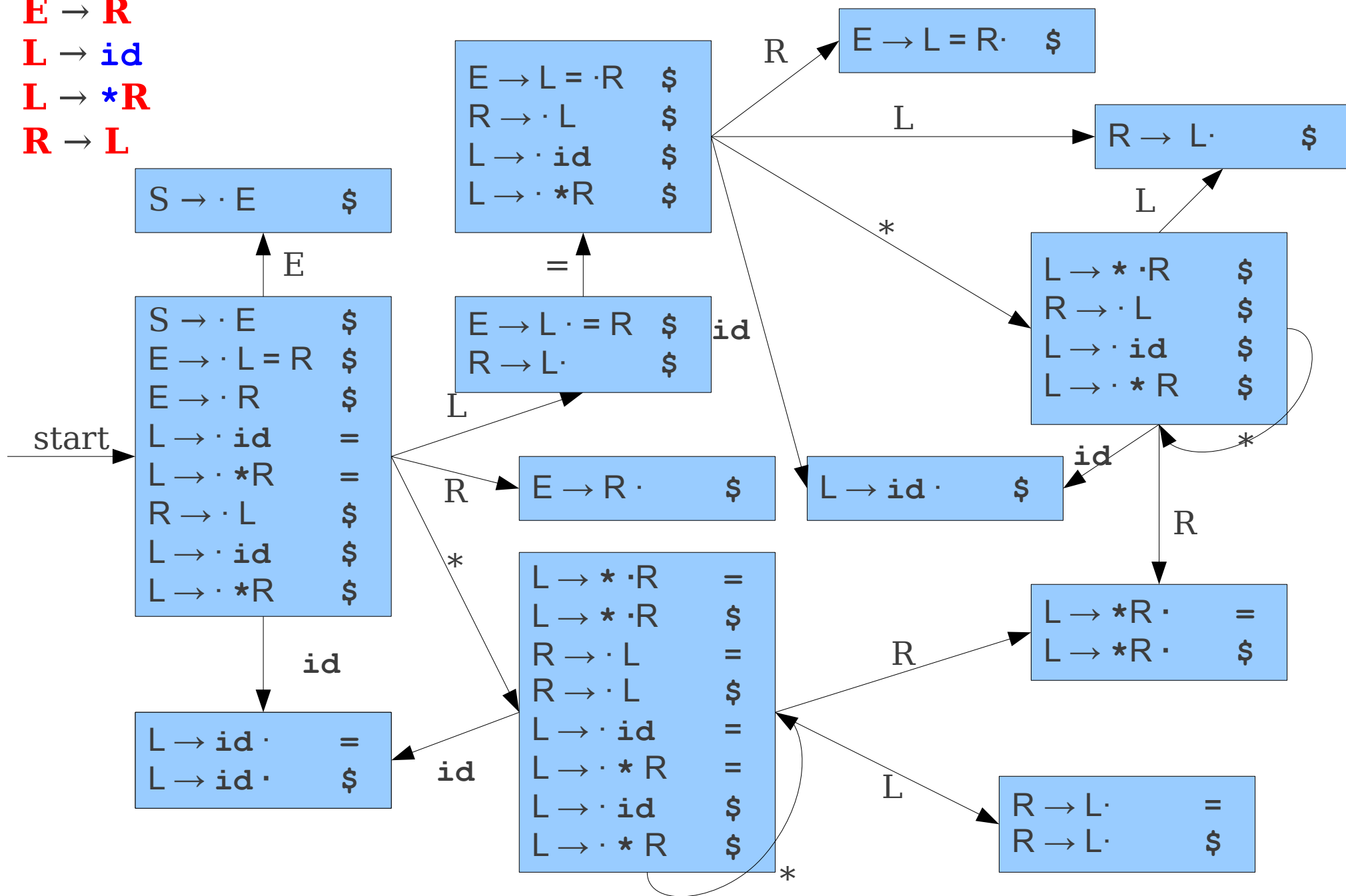
# From LR(1) to LALR(1)

$S \rightarrow E$   
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 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
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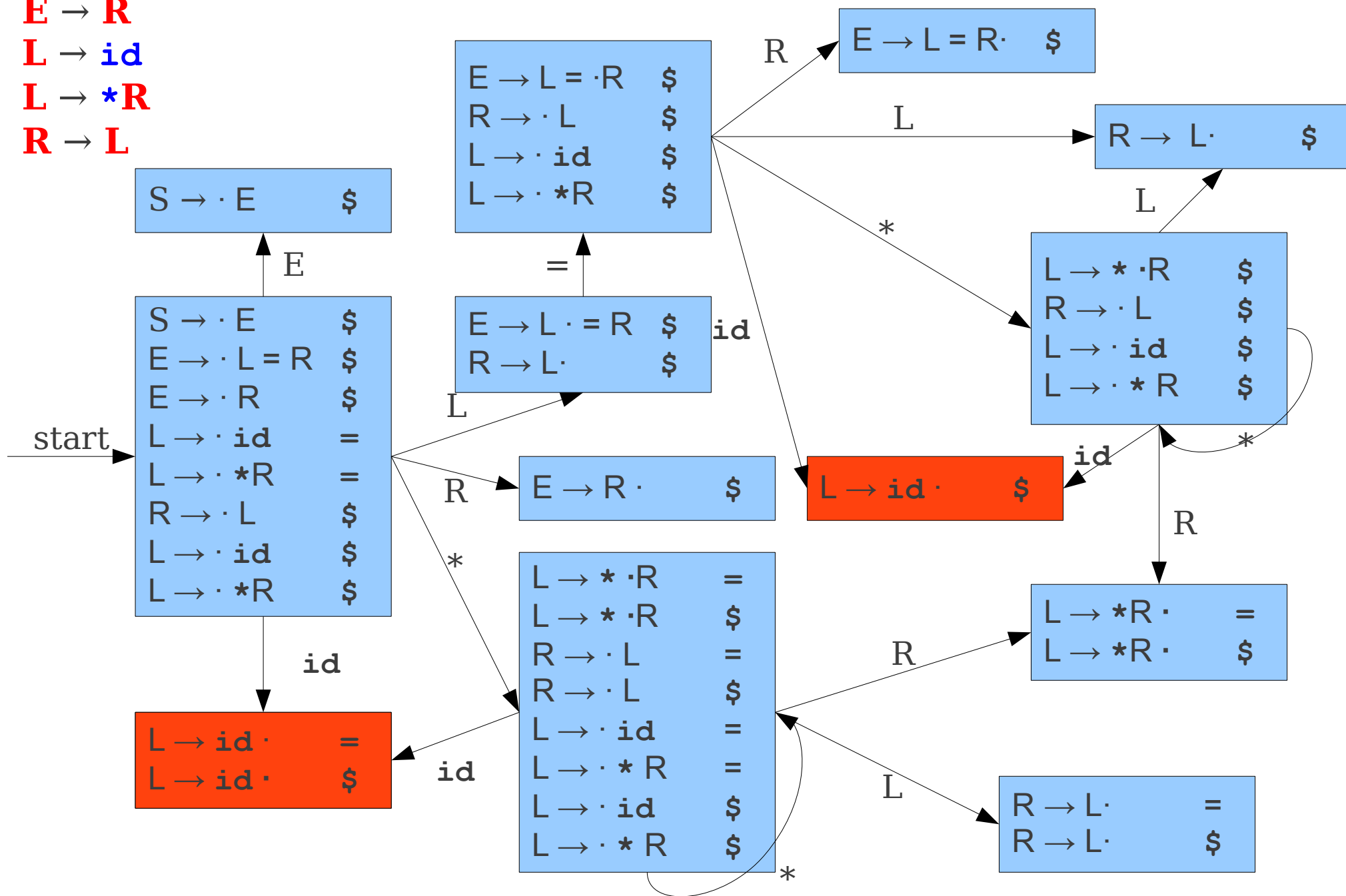
# From LR(1) to LALR(1)

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 $L \rightarrow id$   
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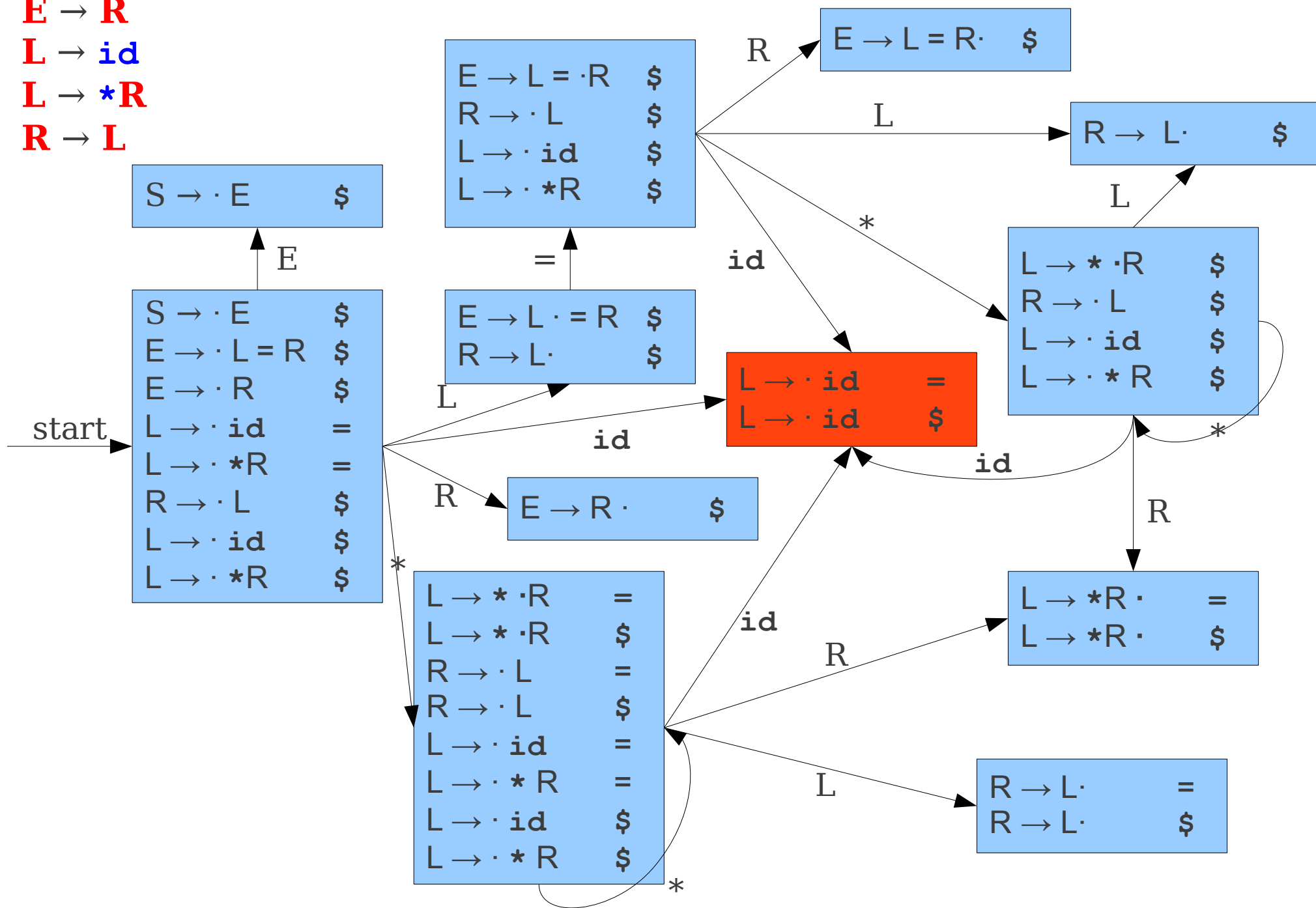
# From LR(1) to LALR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
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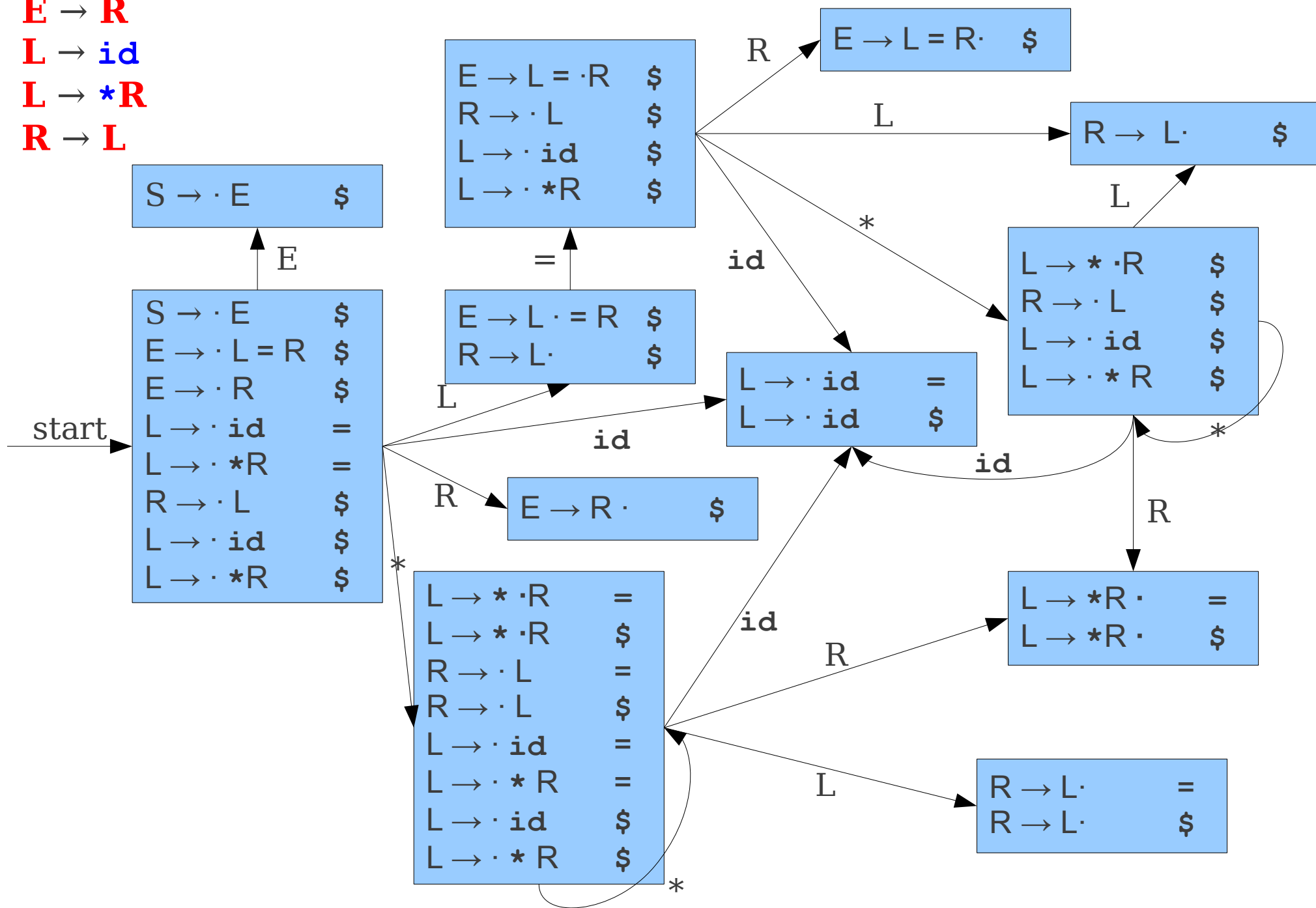
# From LR(1) to LALR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



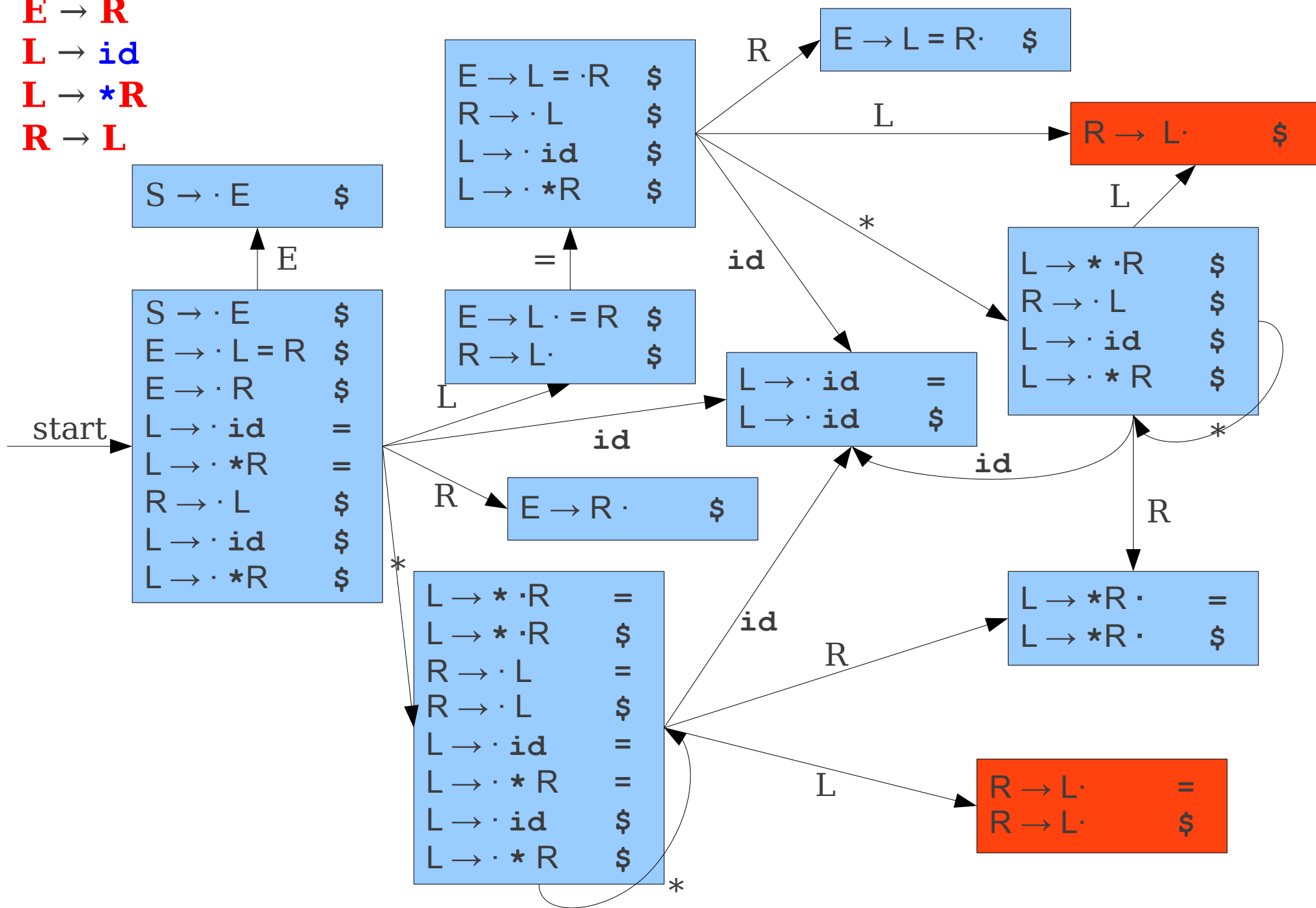
# From LR(1) to LALR(1)

$S \rightarrow E$   
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 $E \rightarrow R$   
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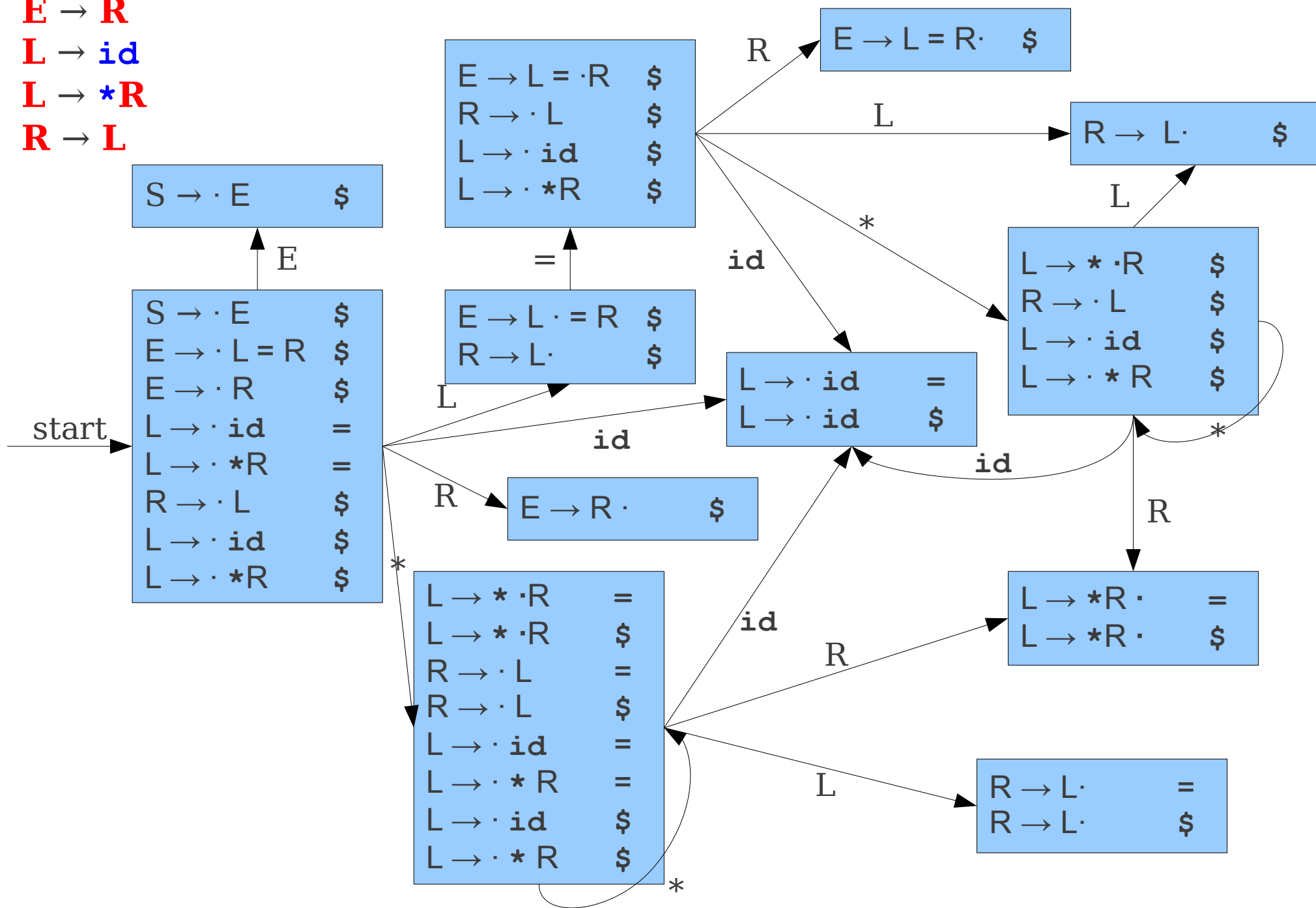
# From LR(1) to LALR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
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 $L \rightarrow id$   
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 $R \rightarrow L$



# From LR(1) to LALR(1)

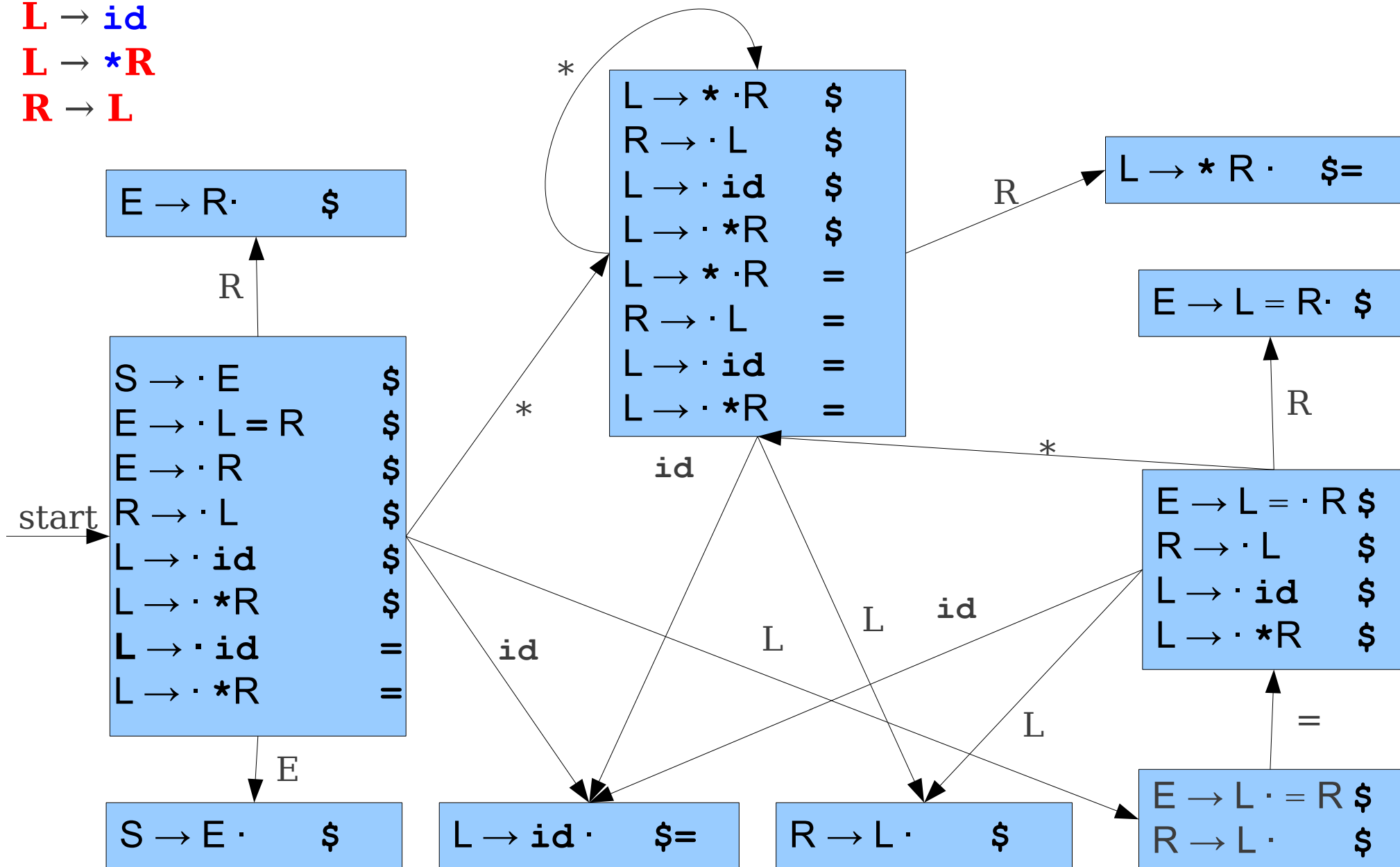
$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$





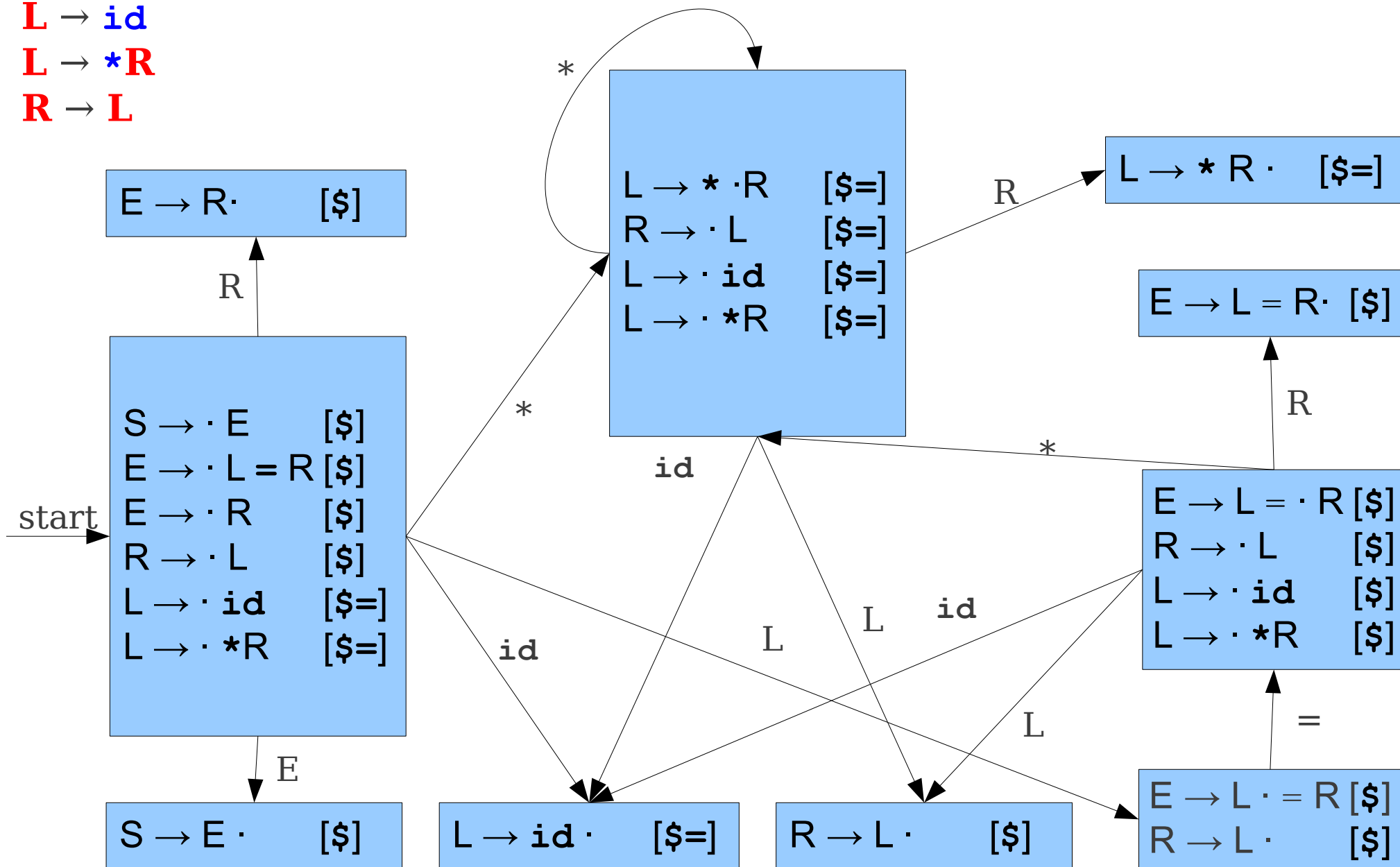
# From LR(1) to LALR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



# From LR(1) to LALR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



# From LR(1) to LALR(1)

**S** → **E**

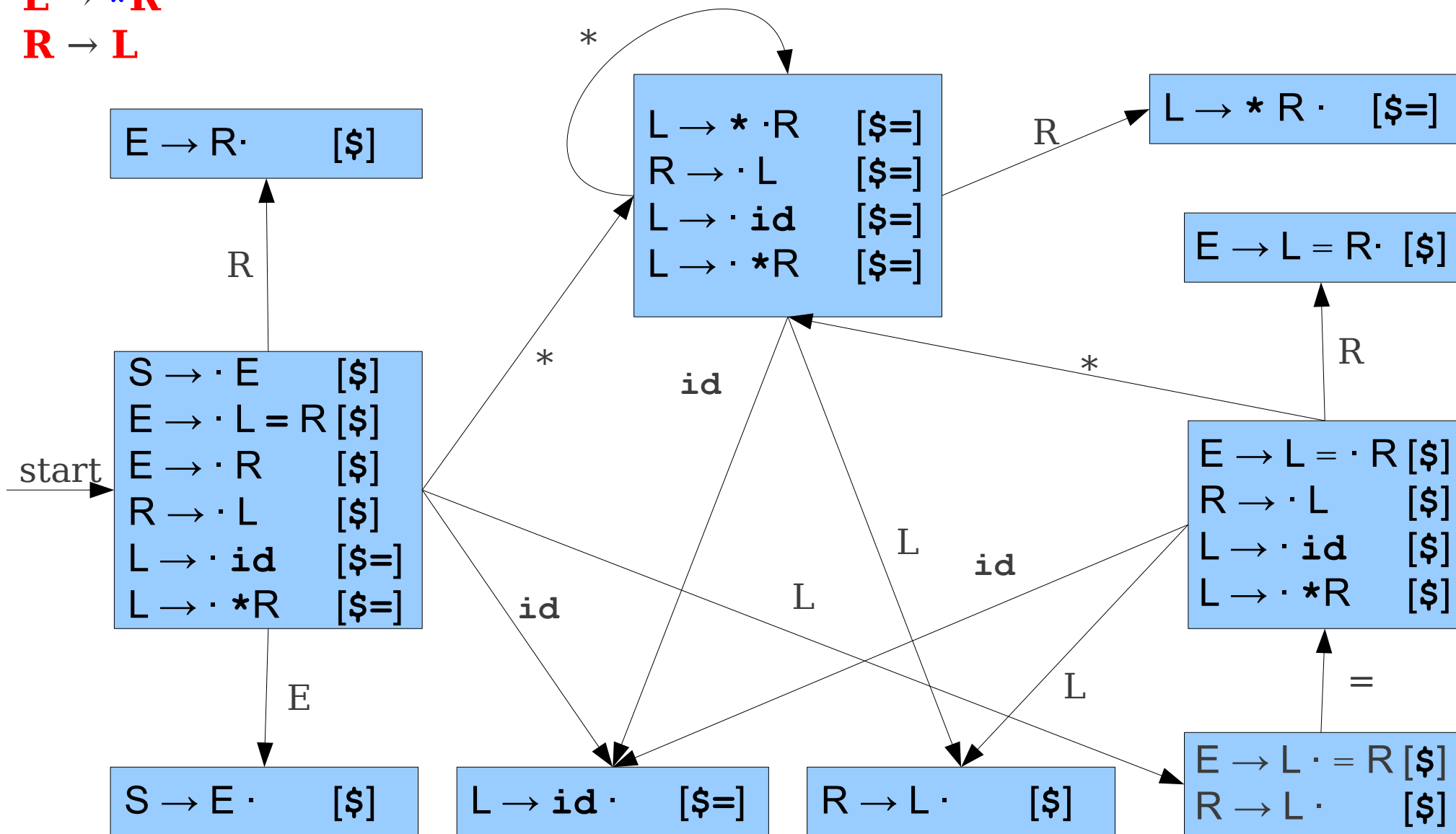
**E** → **L = R**

**E** → **R**

**L** → **id**

**L** → **\*R**

**R** → **L**



# Advantages of LALR(1)

- Maintains context.
  - Lookup sets based on the fine-grained LR(1) automaton.
  - Each state's lookup relevant only for that state.
- Keeps automaton small.
  - Resulting automaton has same size as LR(0) automaton.

# LALR(1) is Powerful

- Every LR(0) grammar is LALR(1).
- Every SLR(1) grammar is LALR(1)
- *Most* (but not all) LR(1) grammars are LALR(1).

# LALR(1) isn't LR(1)

- Merging LR(1) states cannot introduce a shift/reduce conflict.
- **Why?**
- Since the items have the same core, a shift/reduce conflict in a LALR(1) state would have to also exist in one of the LR(1) states it was merged from.
- Merging LR(1) states **can** introduce a reduce/reduce conflict.
- Often these conflicts appear without any good reason; this is one limitation of LALR(1).

# Constructing LALR(1) Automata

- It's not a good idea to build LALR(1) automata from LR(1) automata.
- **Why?**
- LR(1) automata are impractically large.
- Are there more efficient methods for LALR(1) automata construction?
- **Yes**; we'll see two.

# The “Lazy Merging” Technique

- Idea: Merge together LR(1) states as they're generated.
- Maintain a worklist of states to process; begin with the initial LR(1) state.
- When adding a new state, if it has the same core as an old state, update the old state and put it back in the worklist.

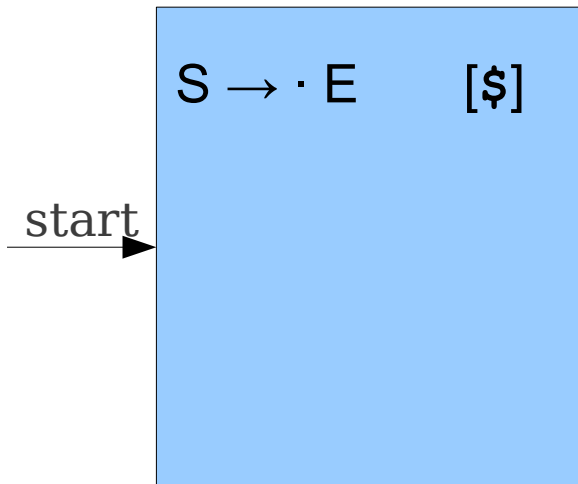


**S**  $\rightarrow$  **E**  
**E**  $\rightarrow$  **L** = **R**  
**E**  $\rightarrow$  **R**  
**L**  $\rightarrow$  **id**  
**L**  $\rightarrow$  \***R**  
**R**  $\rightarrow$  **L**

# LALR(1) Construction

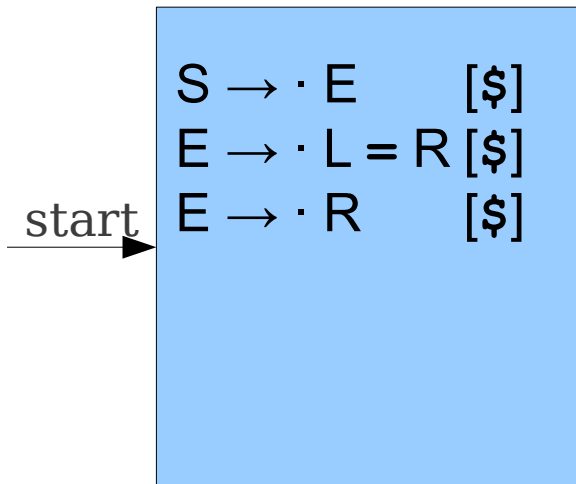
$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$

# LALR(1) Construction



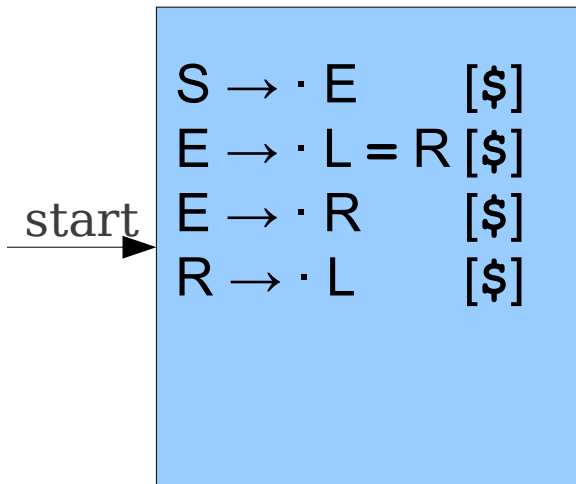
$S \rightarrow E$   
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# LALR(1) Construction



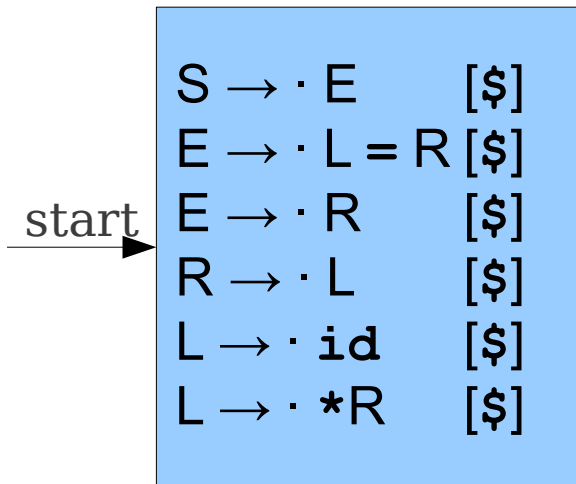
$S \rightarrow E$   
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# LALR(1) Construction



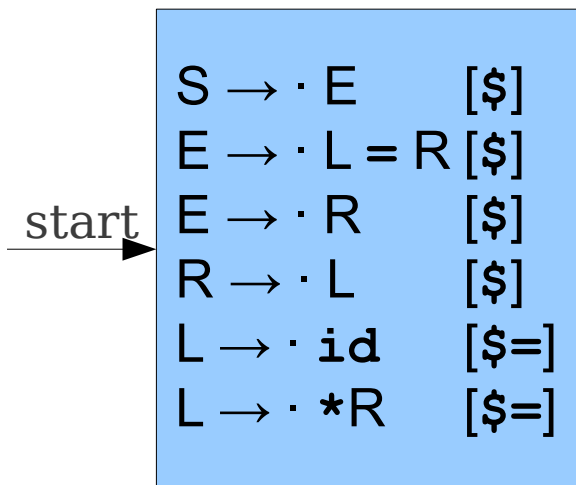
$S \rightarrow E$   
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# LALR(1) Construction



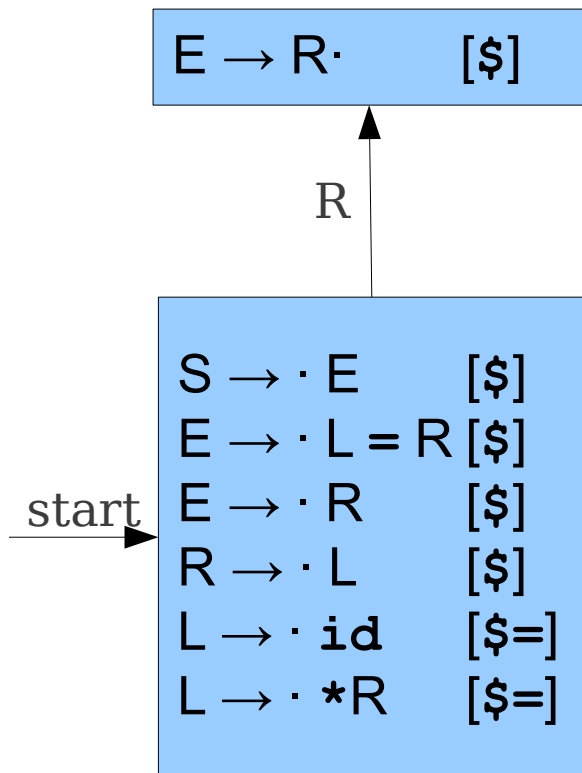
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# LALR(1) Construction



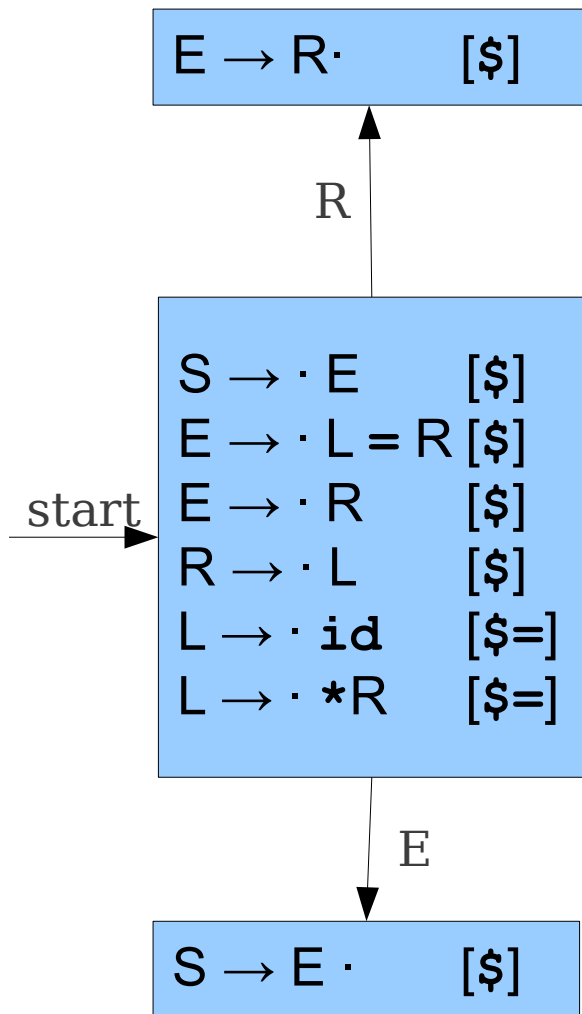
$S \rightarrow E$   
 $E \rightarrow L = R$   
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 $R \rightarrow L$

# LALR(1) Construction



$S \rightarrow E$   
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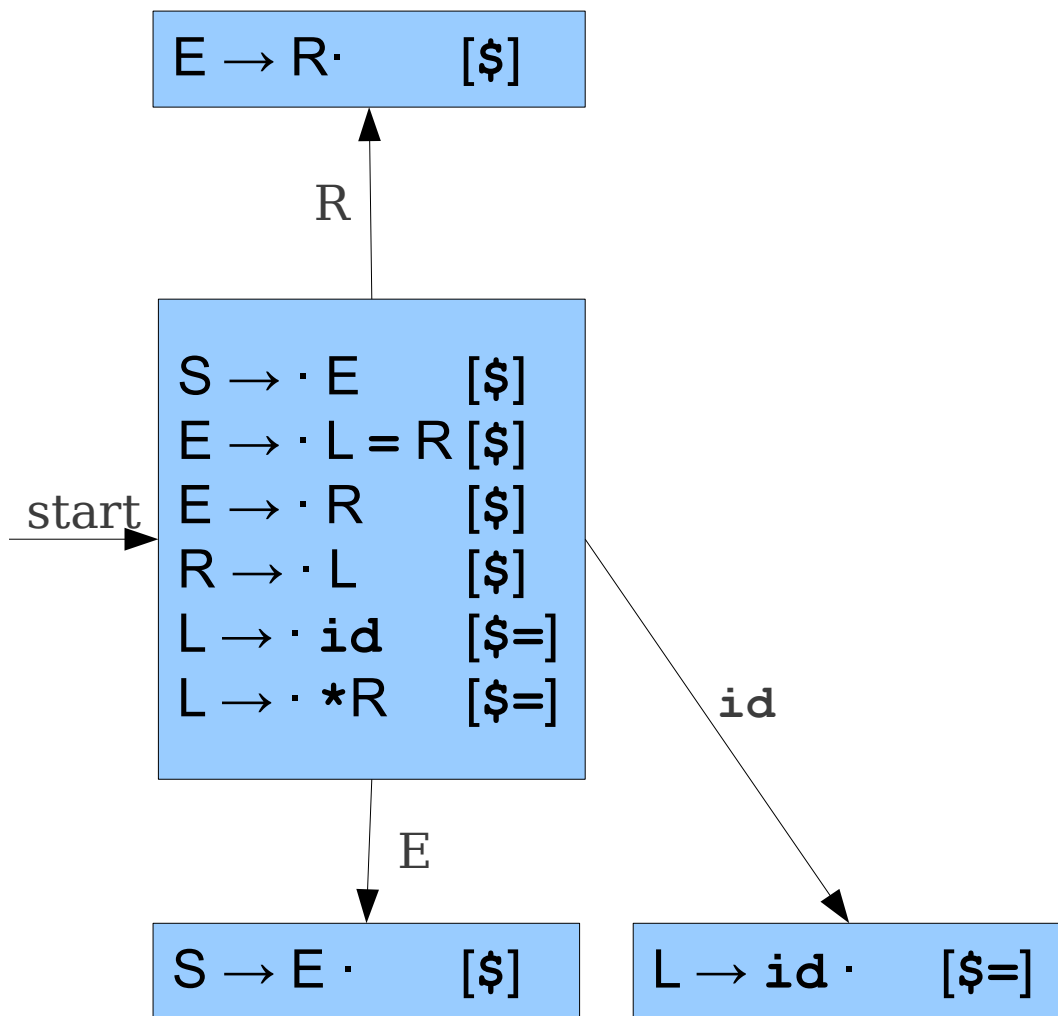
# LALR(1) Construction





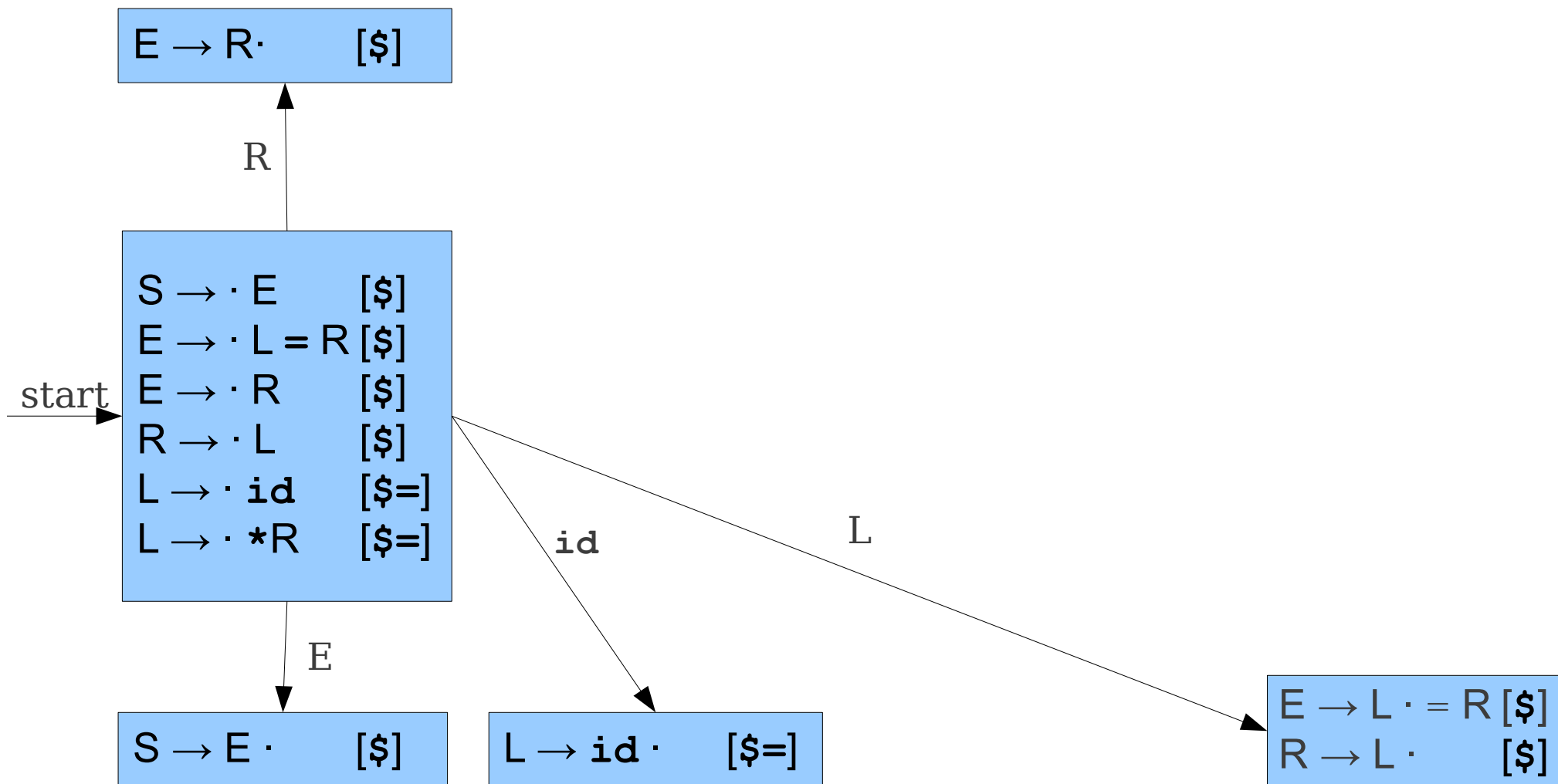
$S \rightarrow E$   
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# LALR(1) Construction



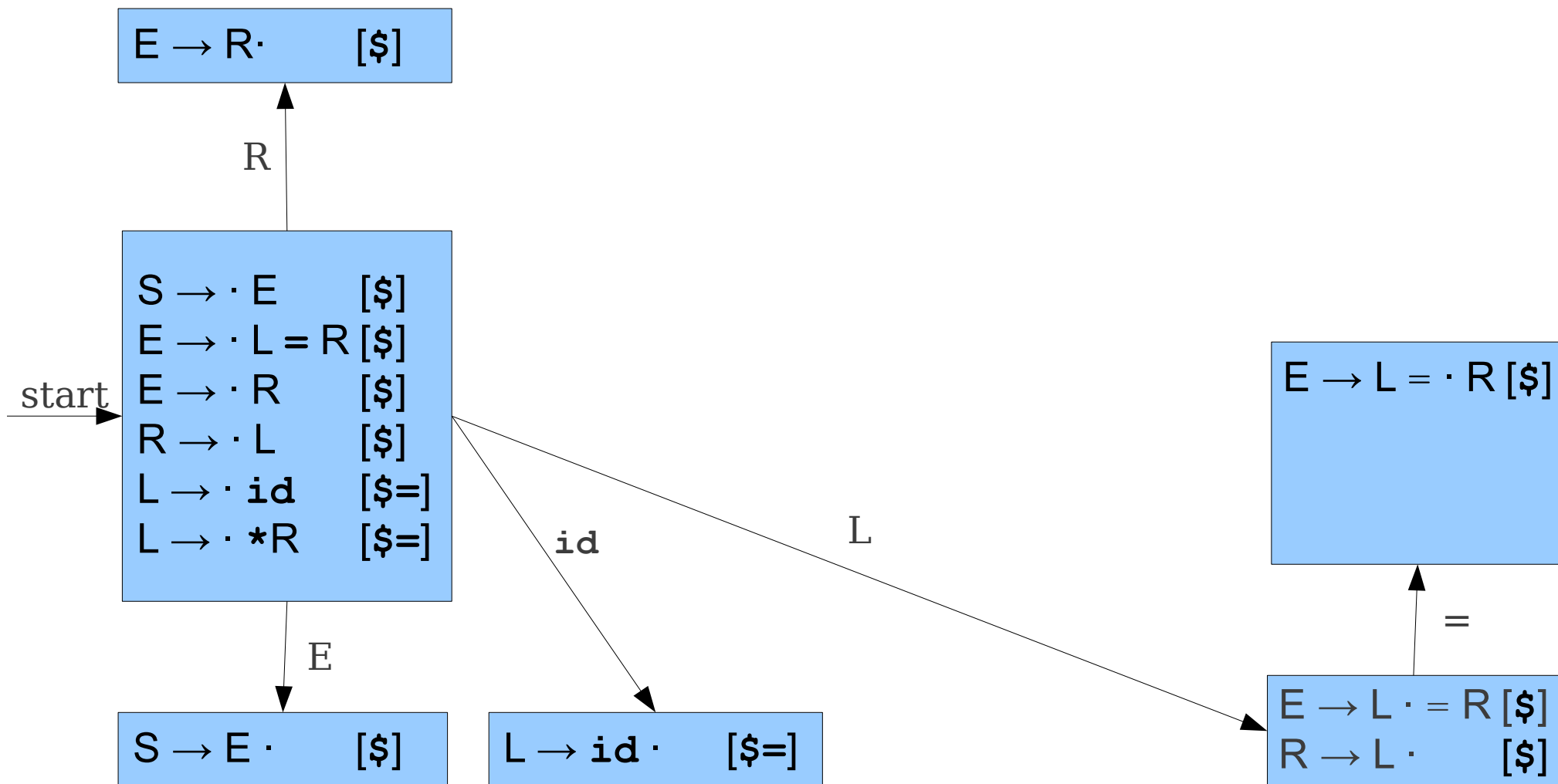
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# LALR(1) Construction



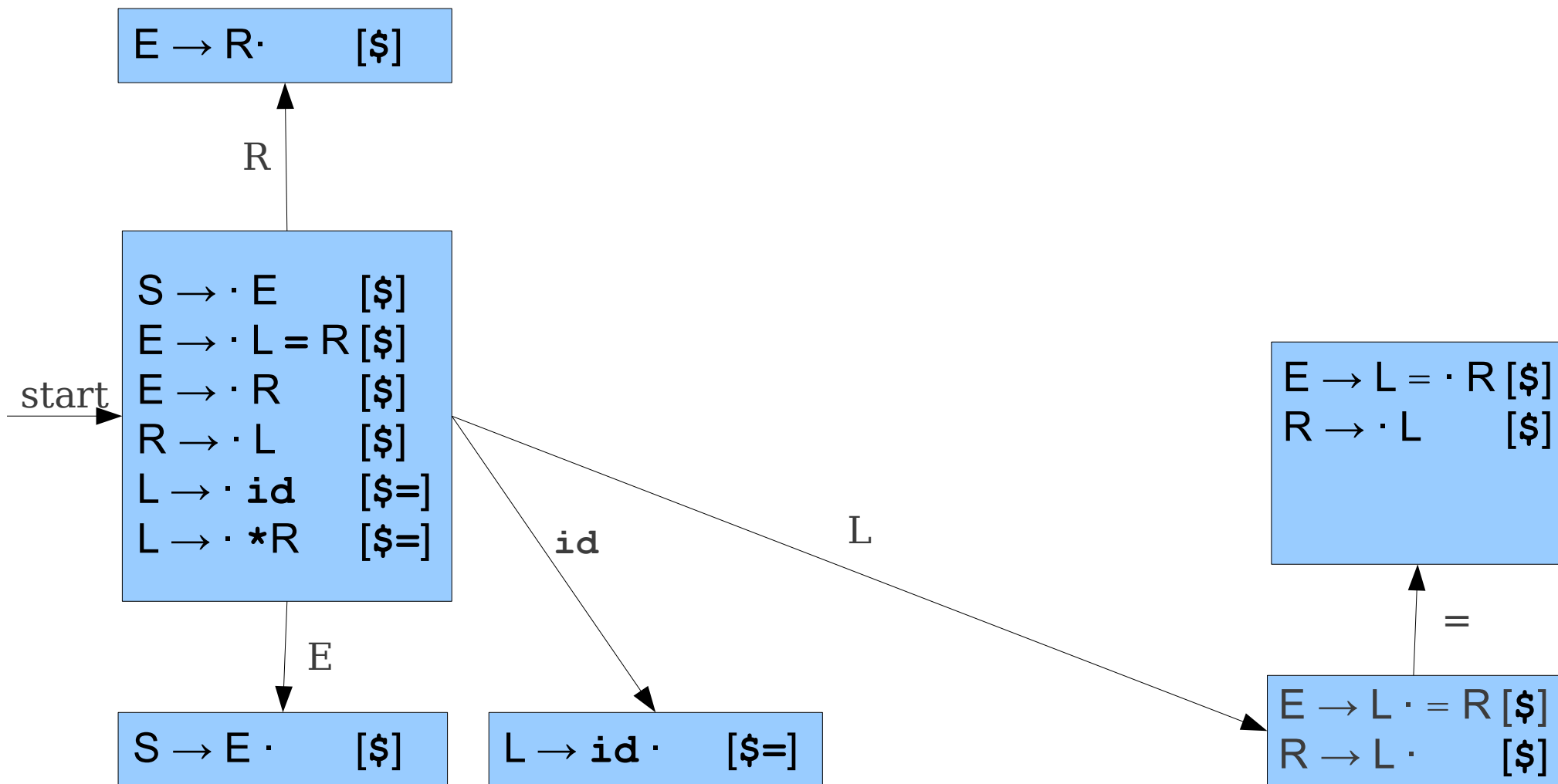
$S \rightarrow E$   
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# LALR(1) Construction



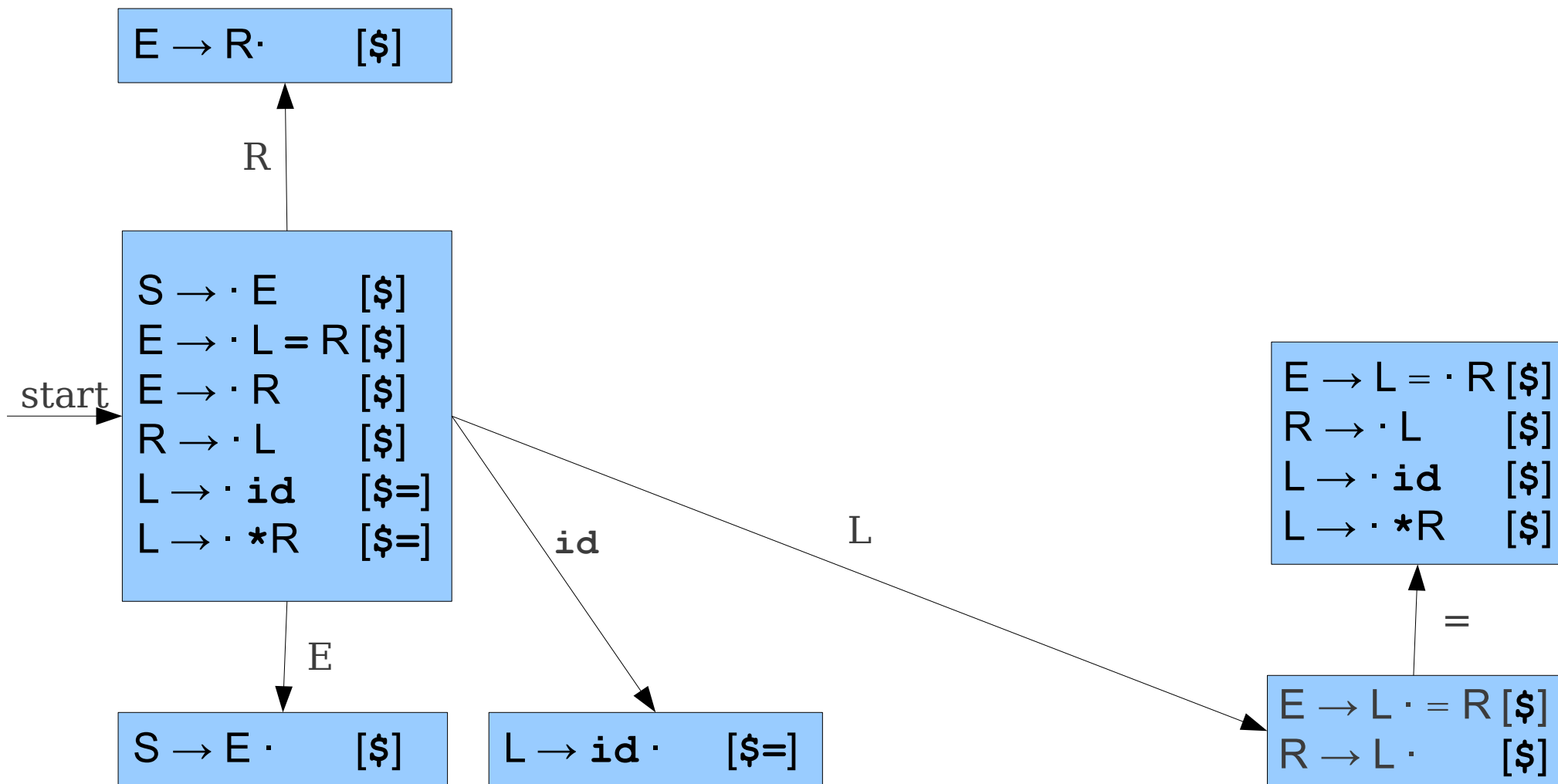
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# LALR(1) Construction



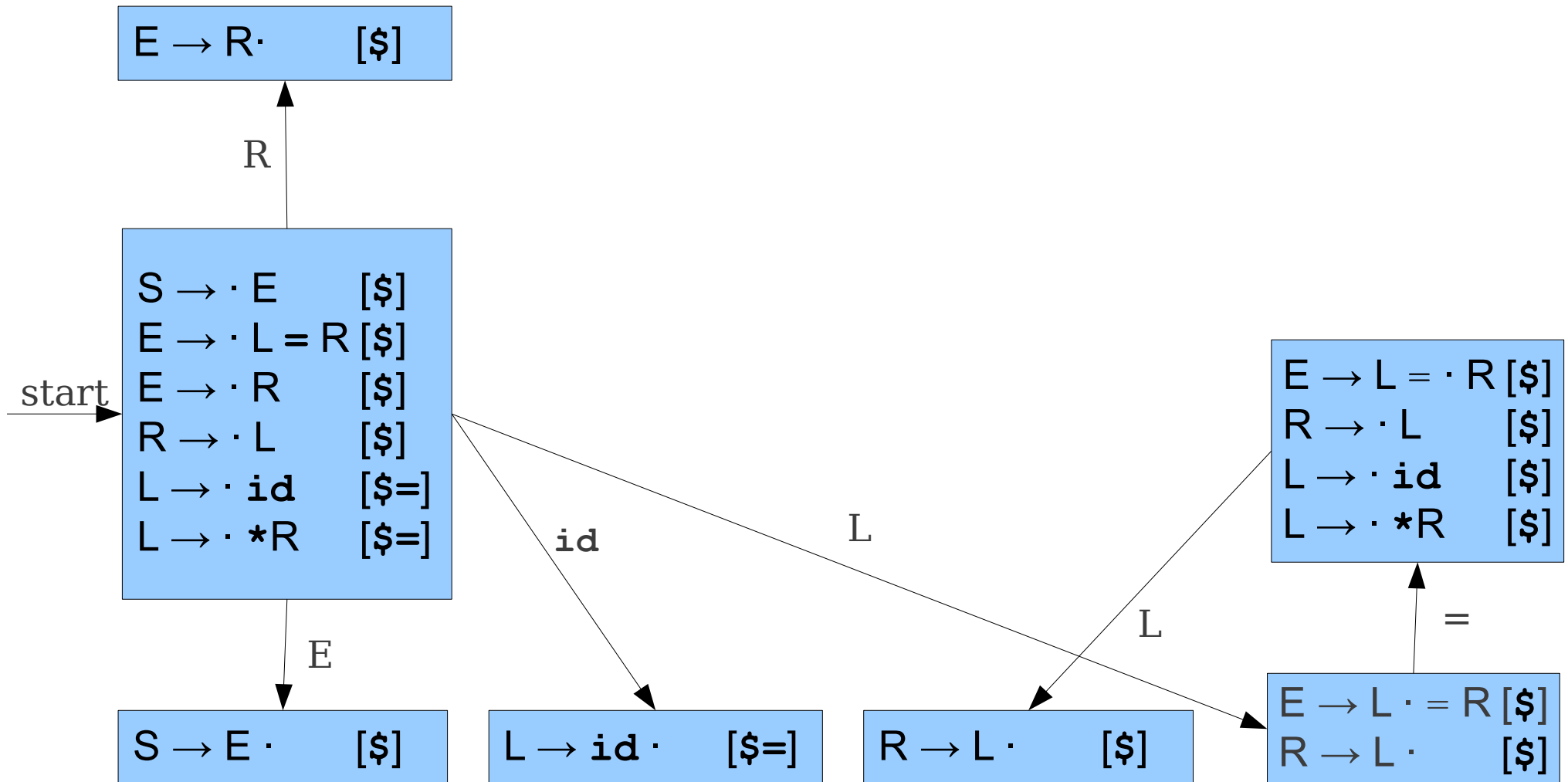
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# LALR(1) Construction



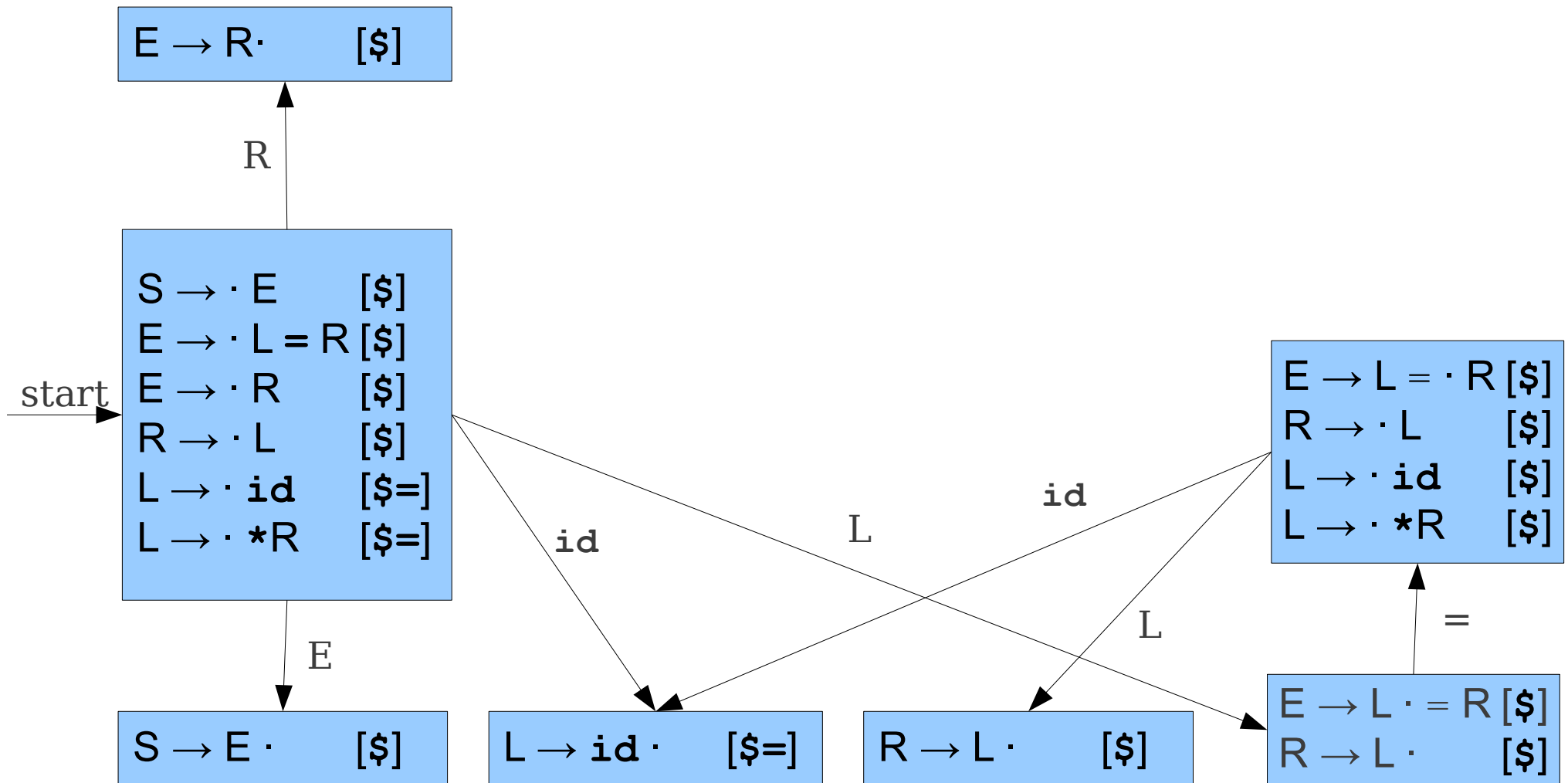
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# LALR(1) Construction



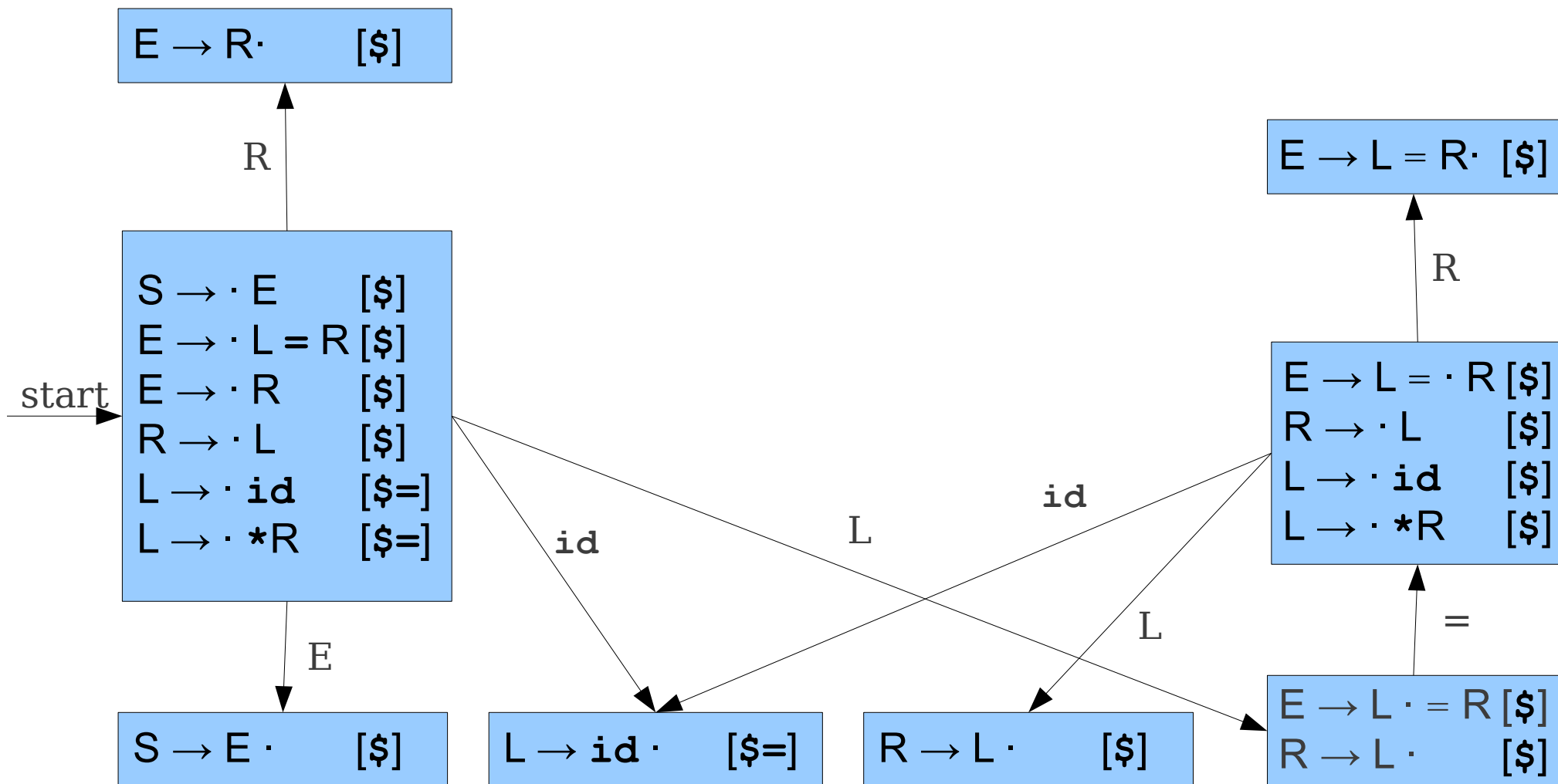
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# LALR(1) Construction



$S \rightarrow E$   
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 $L \rightarrow id$   
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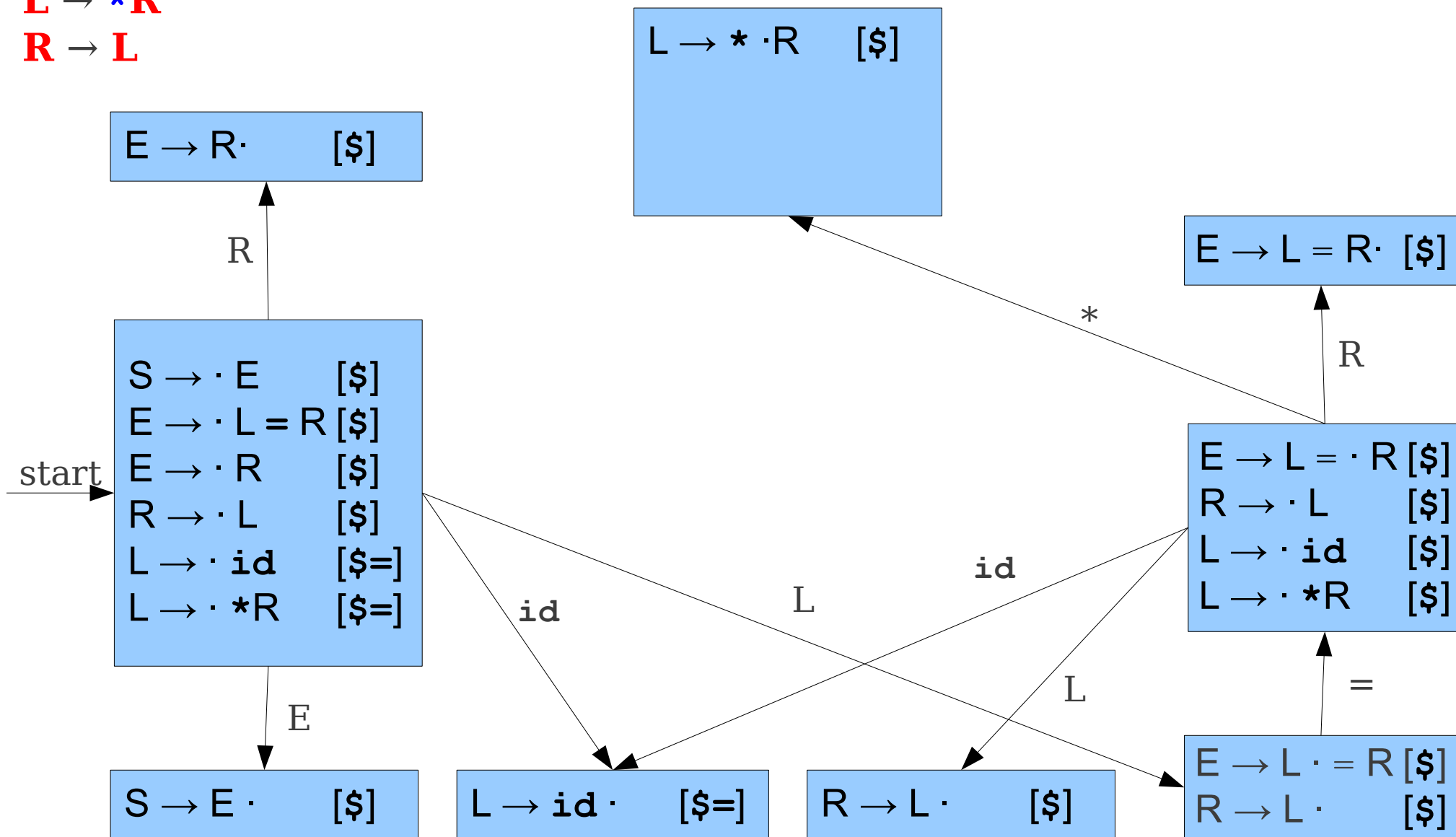
# LALR(1) Construction





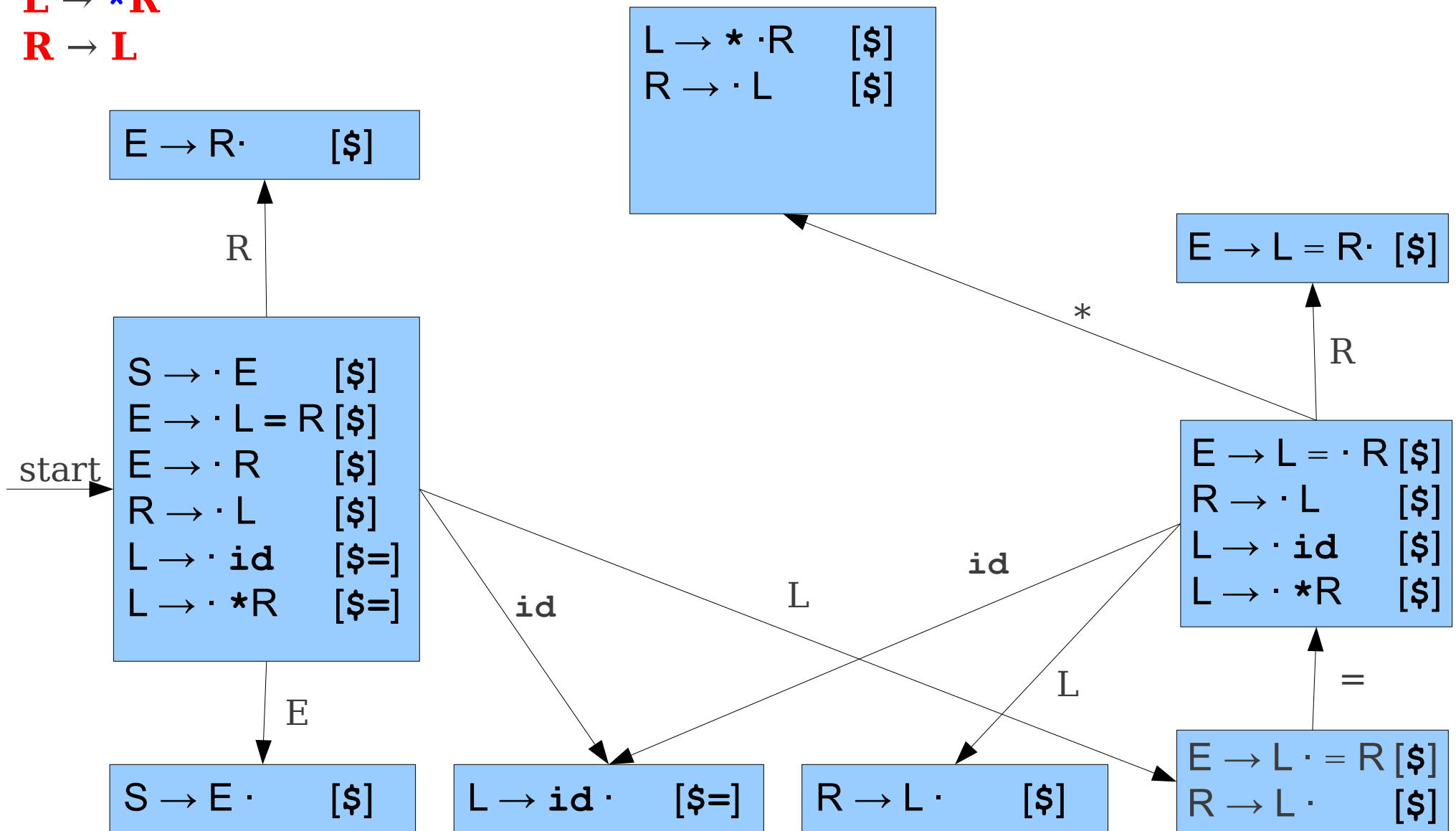
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# LALR(1) Construction



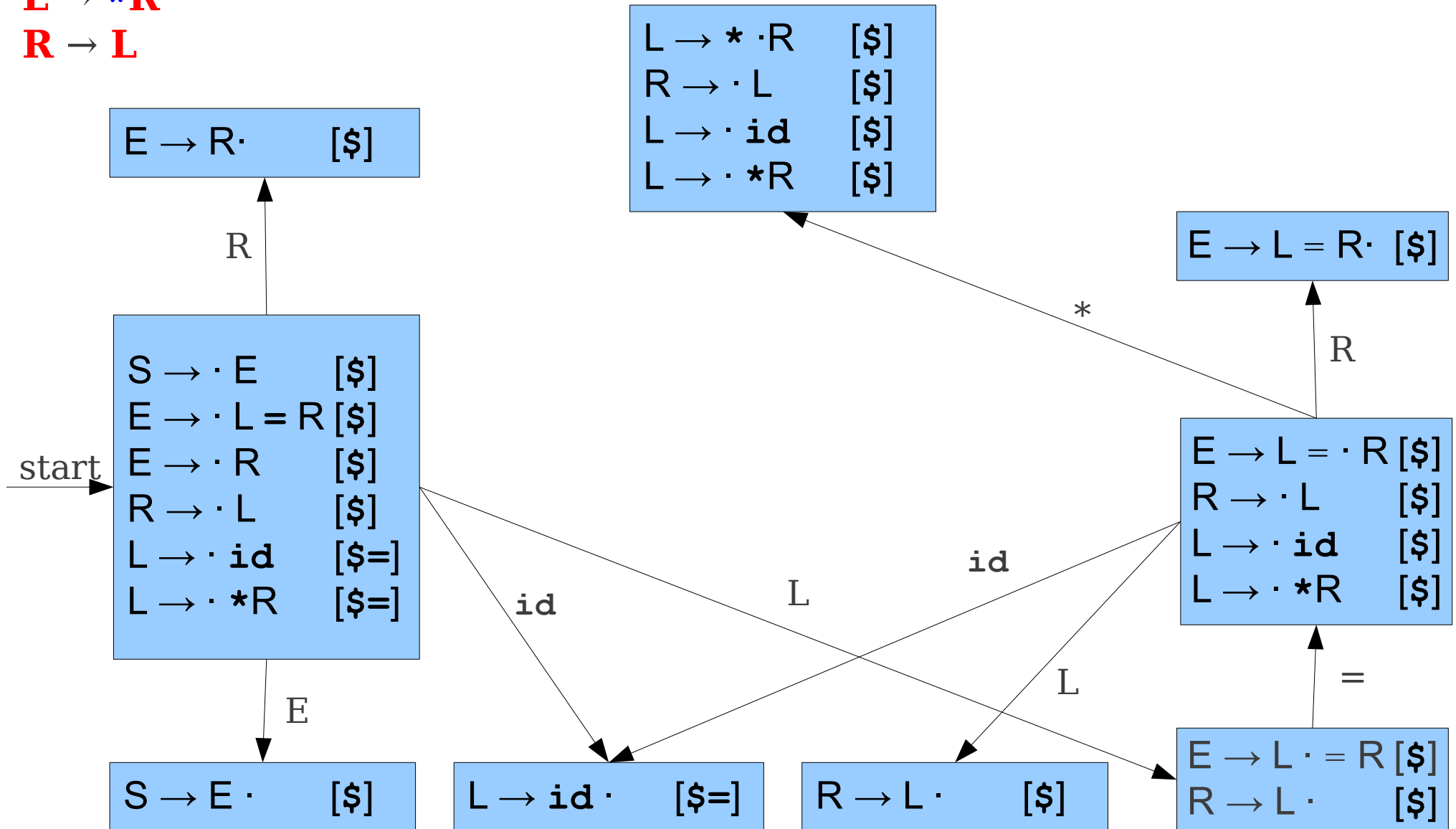
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# LALR(1) Construction



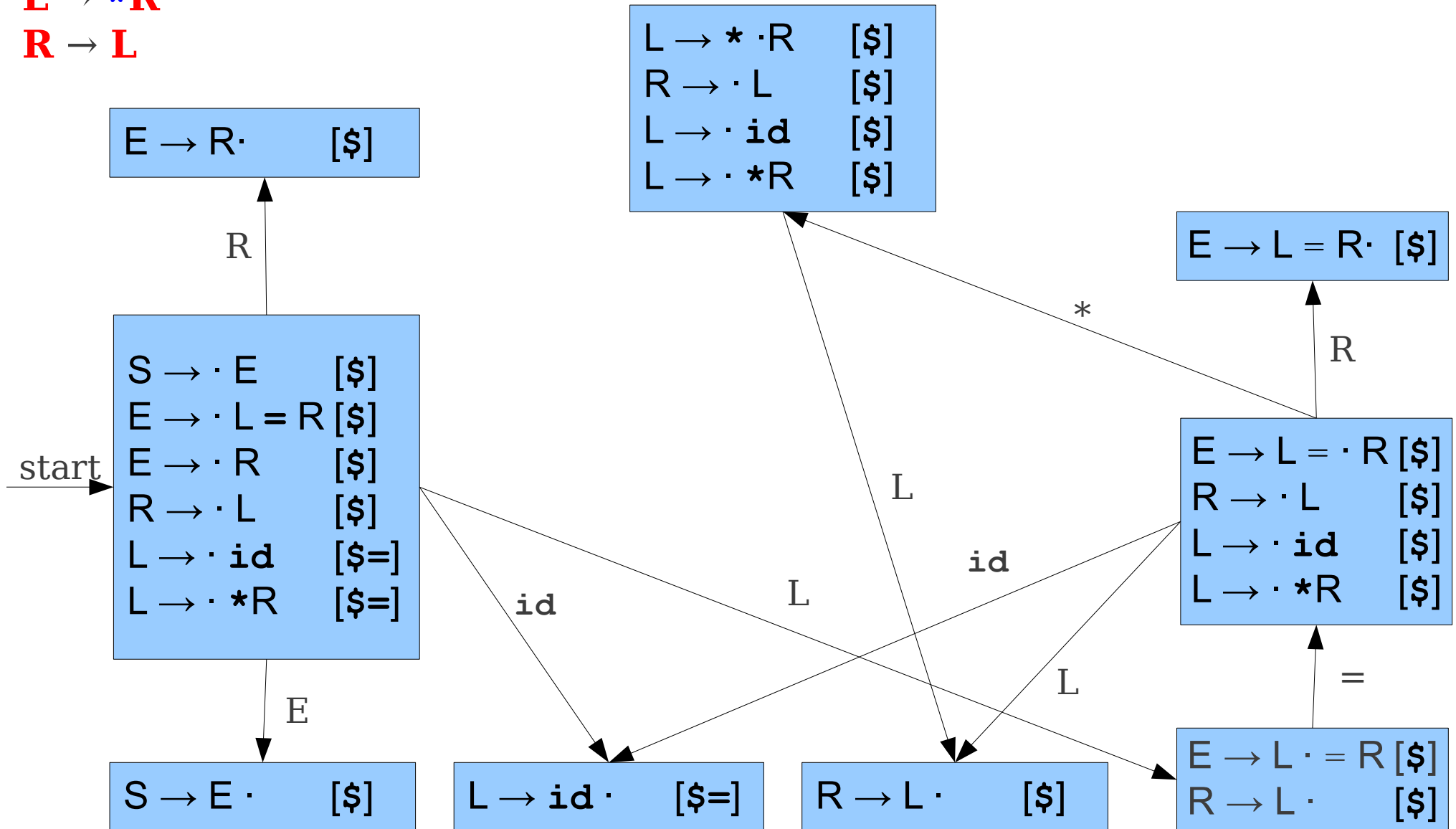
$S \rightarrow E$   
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# LALR(1) Construction



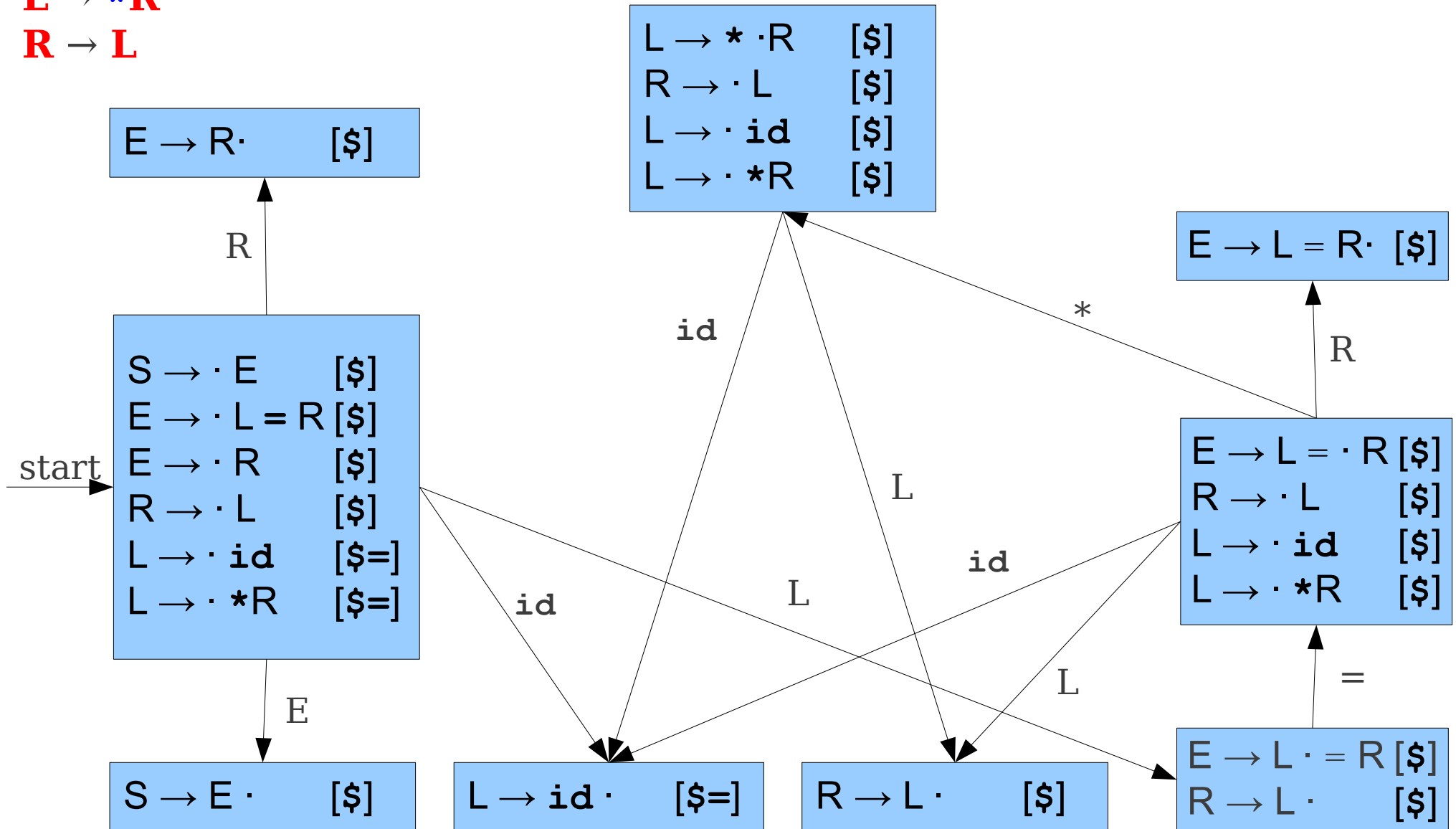
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# LALR(1) Construction



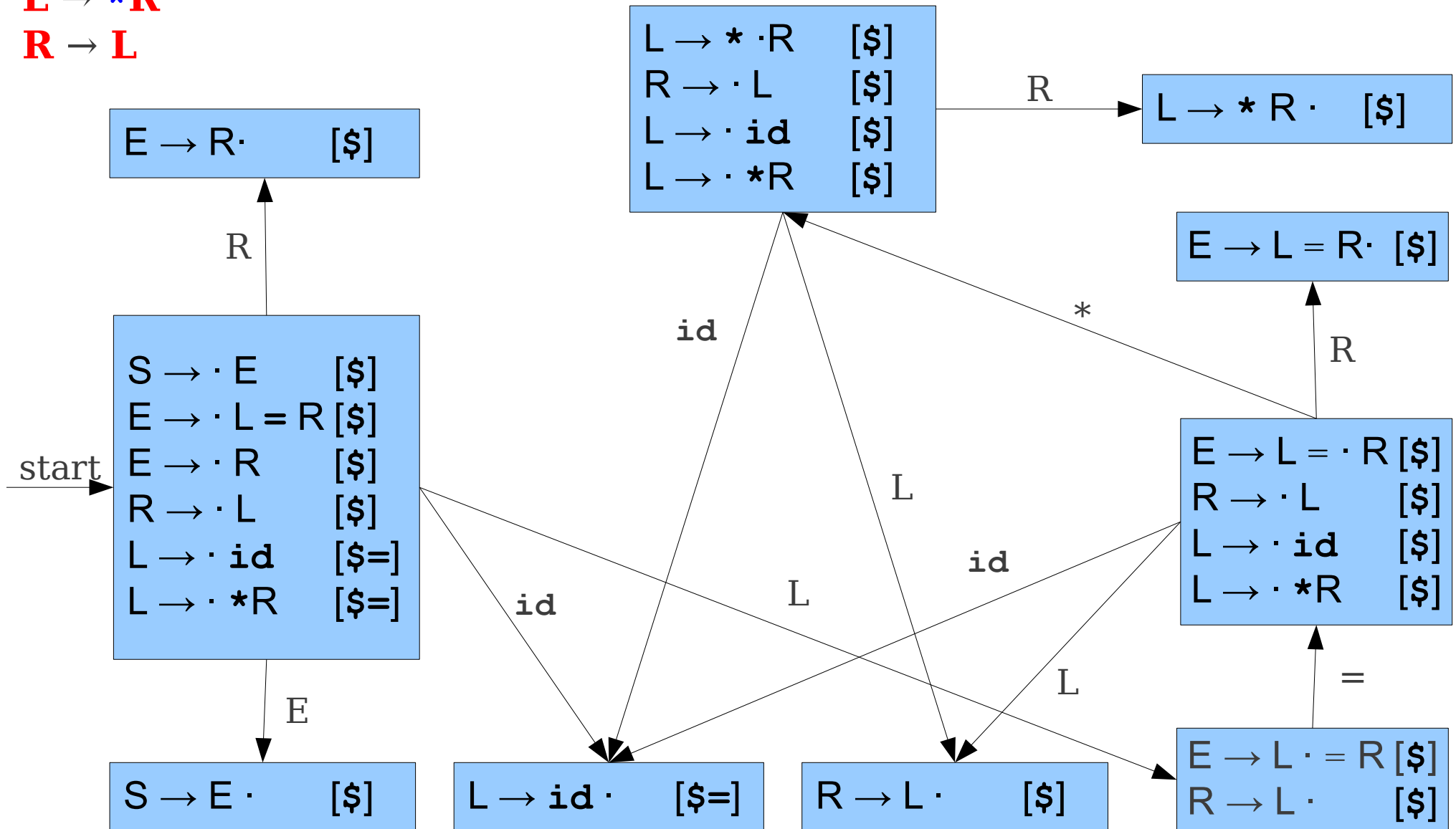
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# LALR(1) Construction



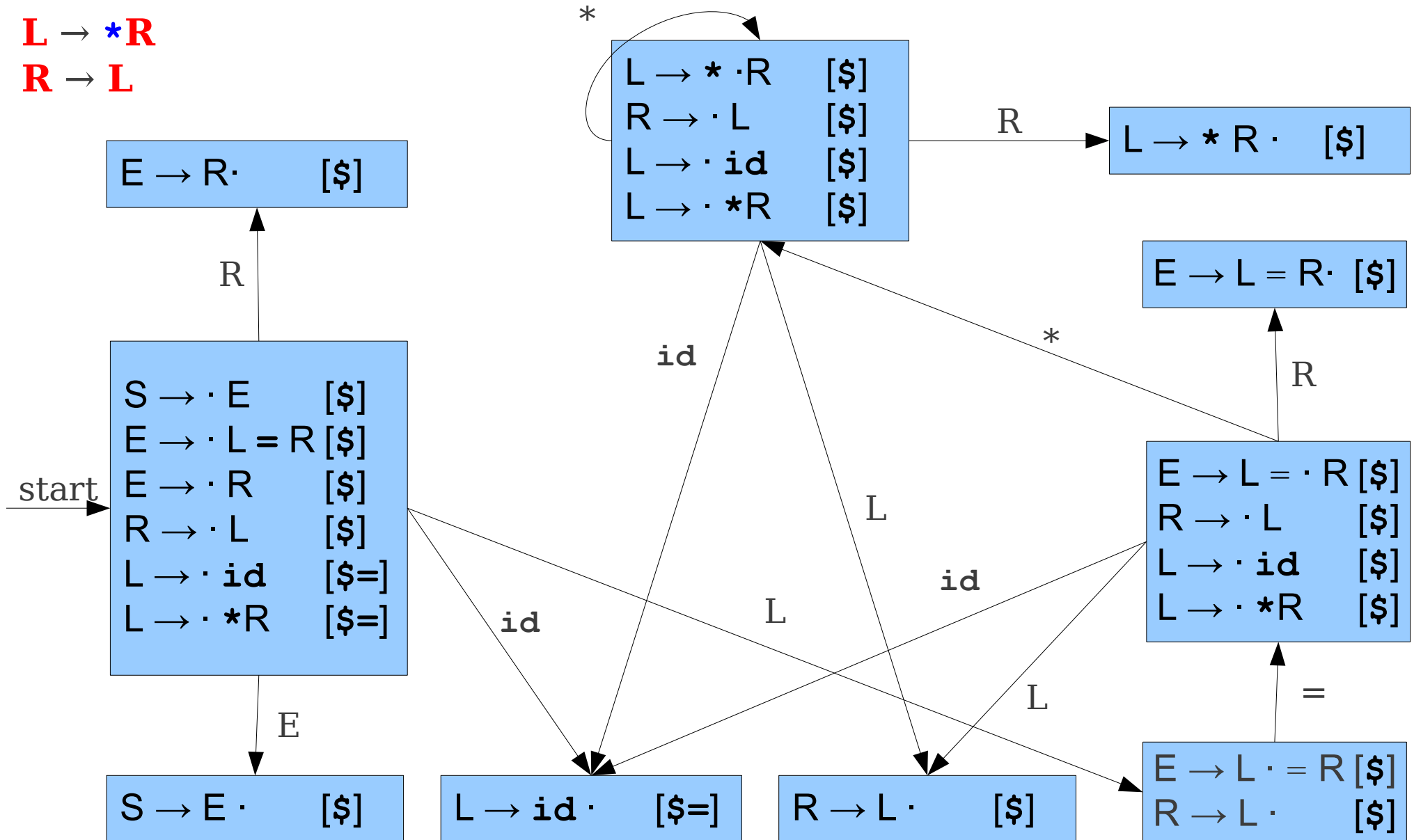
$S \rightarrow E$   
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# LALR(1) Construction



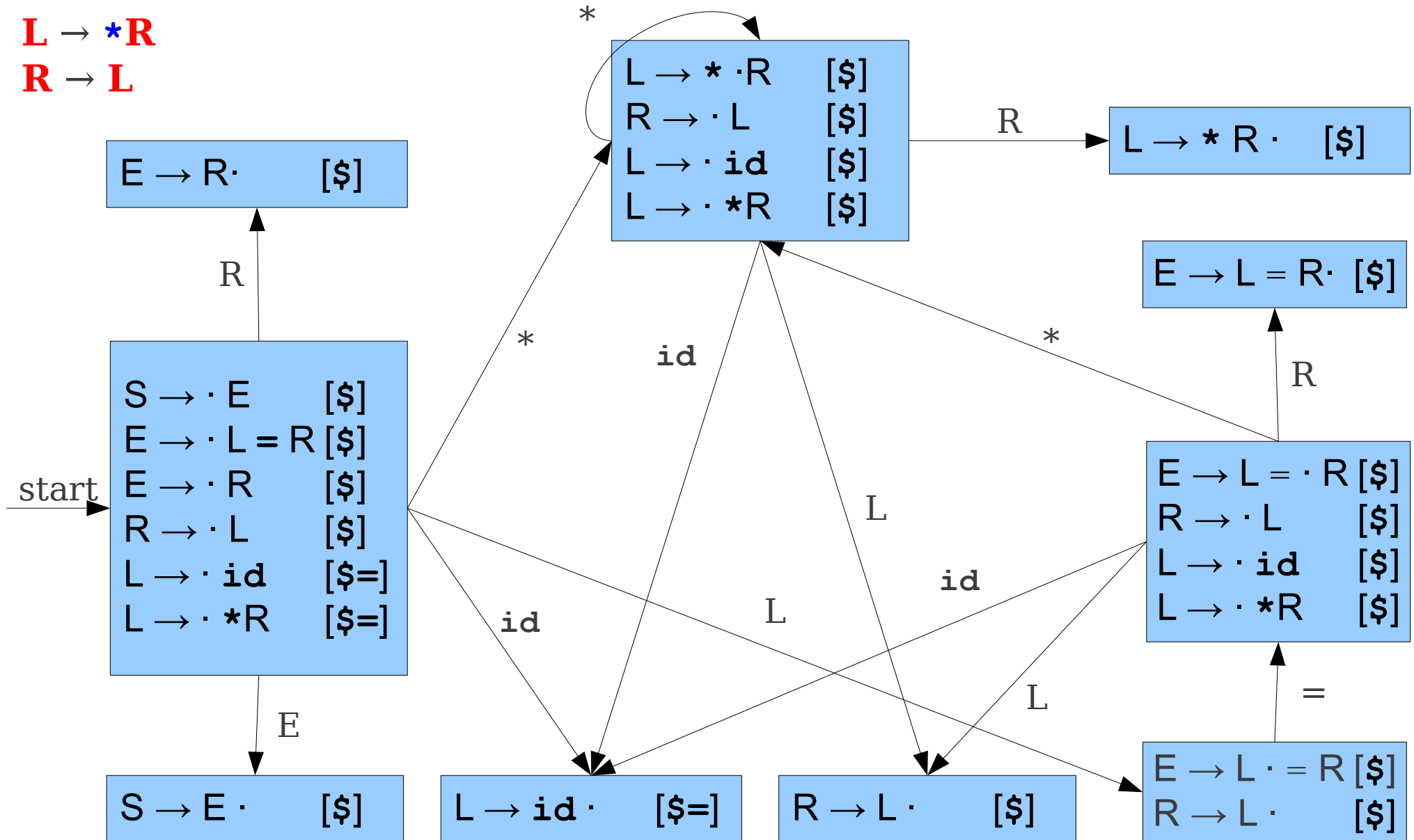
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# LALR(1) Construction



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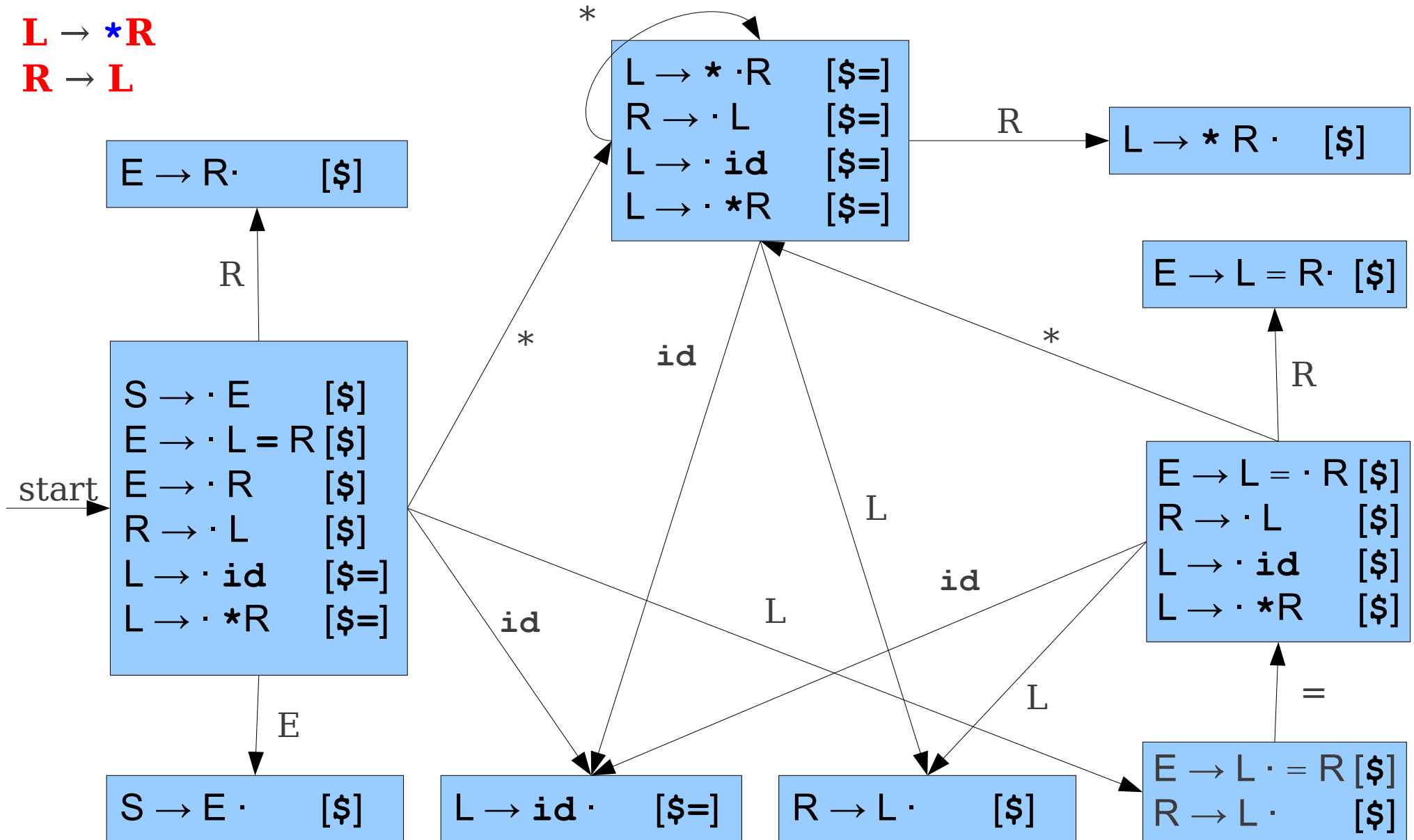
# LALR(1) Construction





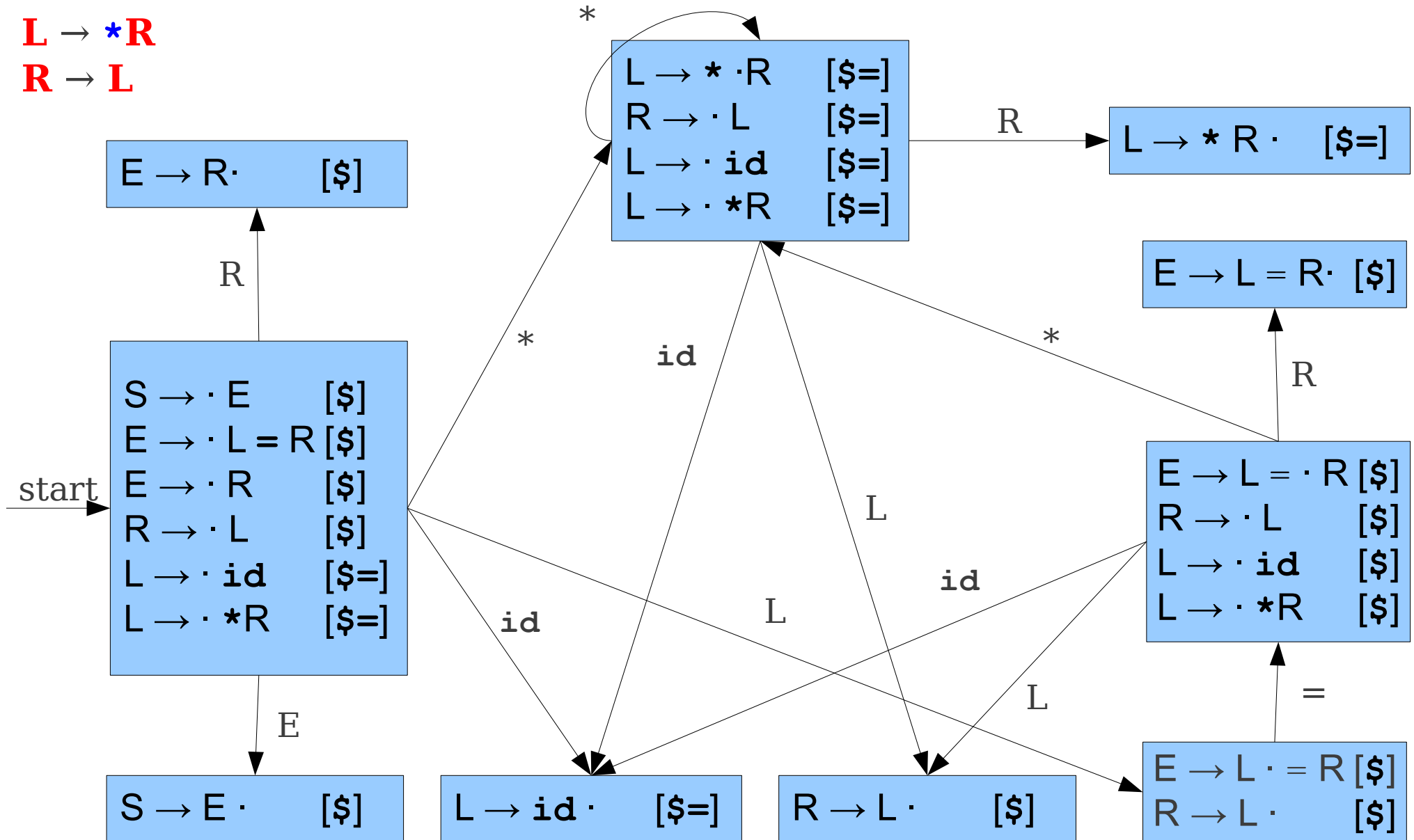
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# LALR(1) Construction



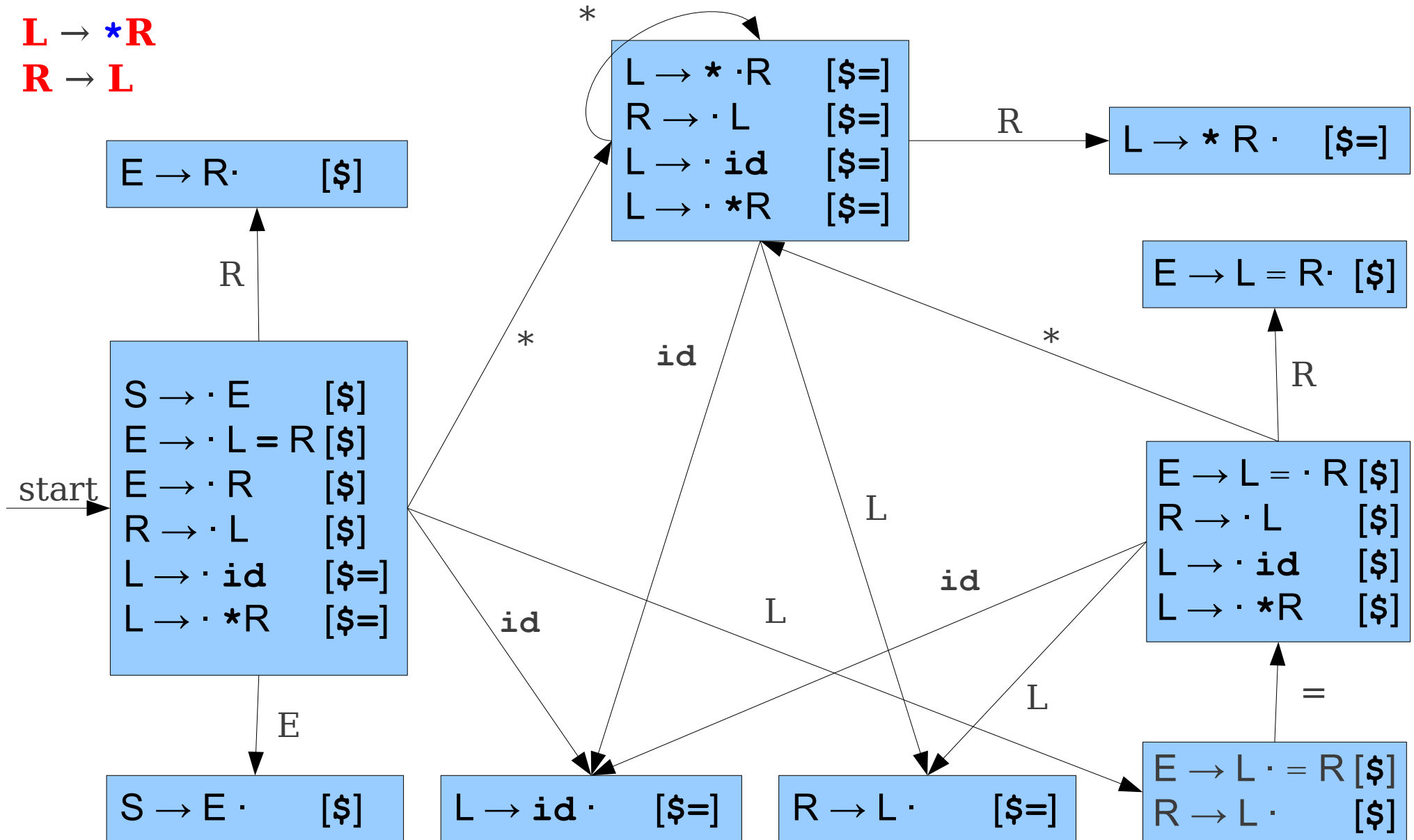
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# LALR(1) Construction



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 $L \rightarrow *R$   
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# LALR(1) Construction



# Analysis of our Algorithm

- Since we merge as we go, size of the partial automaton never exceeds size of overall automaton.
- However, this algorithm could be very slow in practice.
  - We might still have to generate all the LR(1) states, even if they immediately get merged.
- This can be very slow.

# SLR uses FOLLOW sets

- Recall: FOLLOW(**A**) is the set of terminals that can follow **A** in a derivation:

$$\text{FOLLOW}(\mathbf{A}) = \{ \mathbf{t} \mid \mathbf{S} \Rightarrow^* \alpha \mathbf{A} \mathbf{t} \omega \}$$

- SLR is LR(0), with reductions augmented using FOLLOW sets.
- This is too weak for two reasons:
  - It ignores context (what state we're in).
  - It ignores which reduction we're doing.

# LALR uses LA sets

- Given an LR(0) state  $q$  and a production  $\mathbf{A} \rightarrow \mathbf{y}$ , the **lookahead set**  $\text{LA}(q, \mathbf{A} \rightarrow \mathbf{y})$  is defined as

$$\text{LA}(q, \mathbf{A} \rightarrow \mathbf{y}) = \{ \mathbf{t} \mid \mathbf{S} \Rightarrow^* \alpha \mathbf{A} \mathbf{t} \omega \text{ and } \alpha \mathbf{y} \text{ reaches } q \}$$

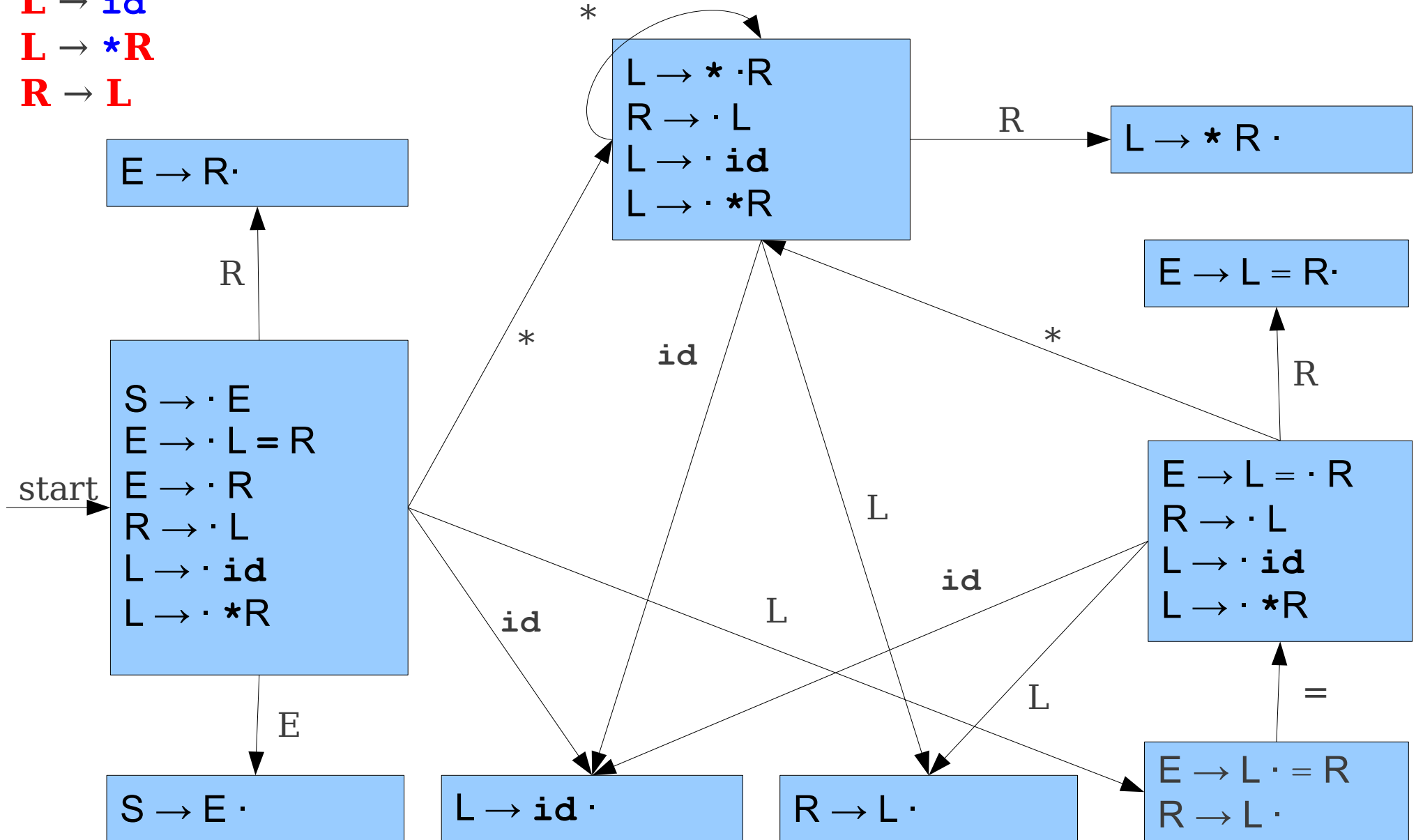
- Here, “ $\alpha \mathbf{y}$  reaches  $q$ ” means that the LR(0) automaton, when run on  $\alpha \mathbf{y}$ , reaches state  $q$ .
- Intuitively, if we're in some state  $q$  and are going to reduce  $\mathbf{A}$  to  $\mathbf{y}$ ,  $\text{LA}(q, \mathbf{A} \rightarrow \mathbf{y})$  is the set of terminals that could actually follow  $\mathbf{A}$  at this point, given that we're reducing  $\mathbf{A} \rightarrow \mathbf{y}$ .
- Much more precise than FOLLOW sets.

# LA and FOLLOW

- The **lookahead set**  $LA(q, A \rightarrow \gamma)$  is defined as
$$LA(q, A \rightarrow \gamma) = \{ t \mid S \Rightarrow^* \alpha A t \omega \text{ and } \alpha \gamma \text{ reaches } q \}$$
- The **follow set**  $FOLLOW(A)$  is defined as
$$FOLLOW(A) = \{ t \mid S \Rightarrow^* \alpha A t \omega \}$$
- Note that  $LA(q, A \rightarrow \gamma) \subseteq FOLLOW(A)$ ; that is, LA sets are “more precise” than FOLLOW sets.
- If we can compute LA from FOLLOW, we can construct a LALR(1) parser efficiently.

# An LR(0) Automaton

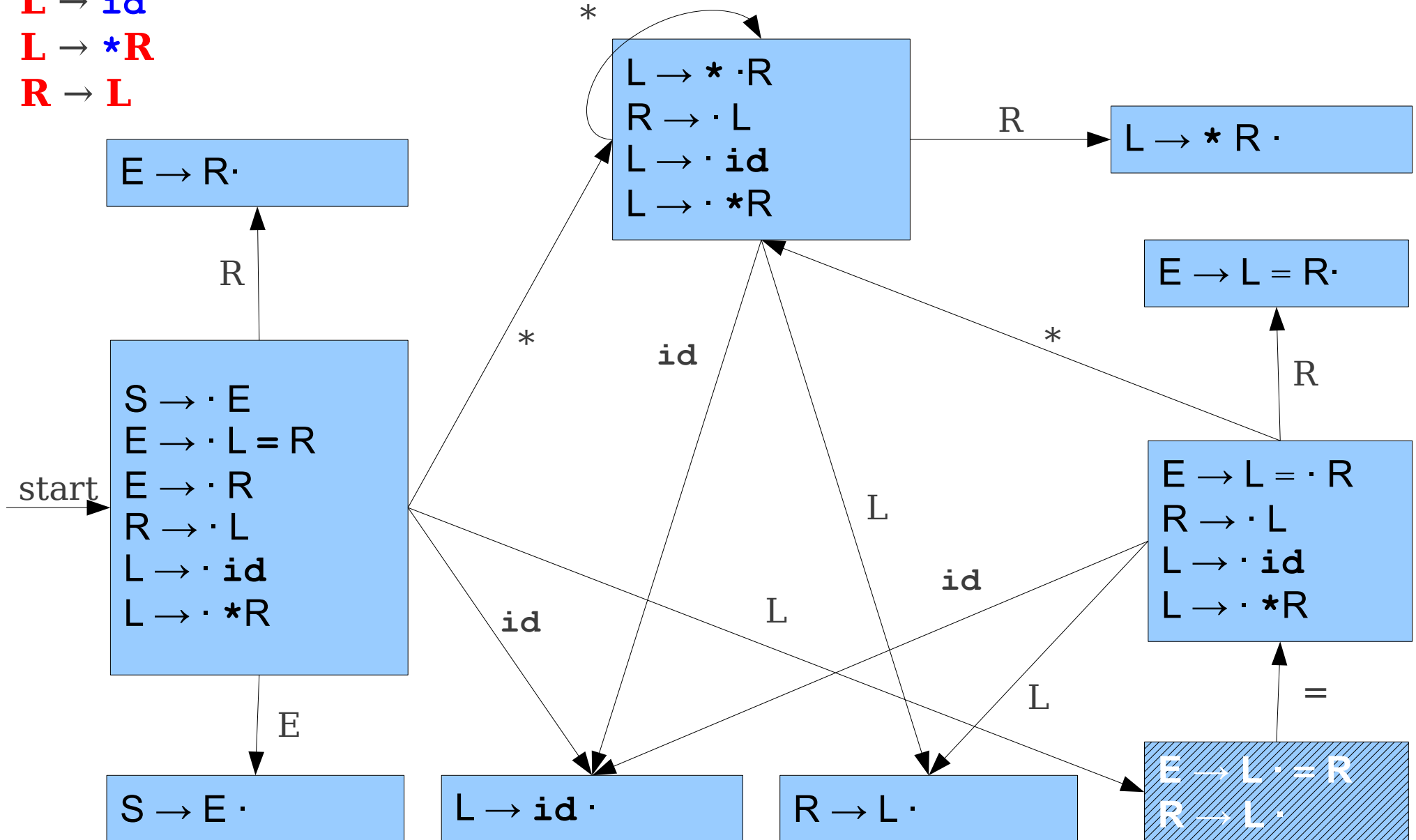
$S \rightarrow E$   
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# An LR(0) Automaton

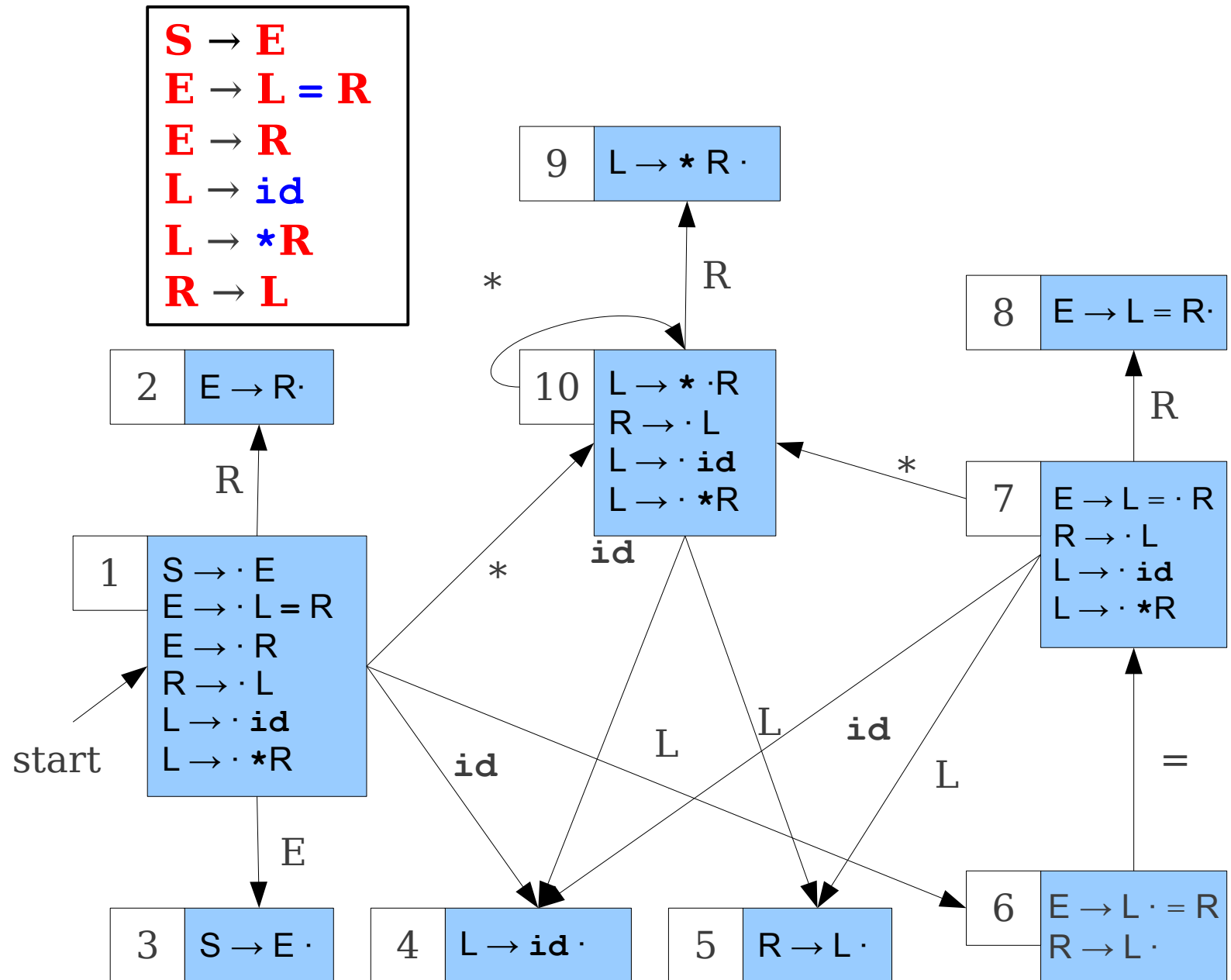
$S \rightarrow E$   
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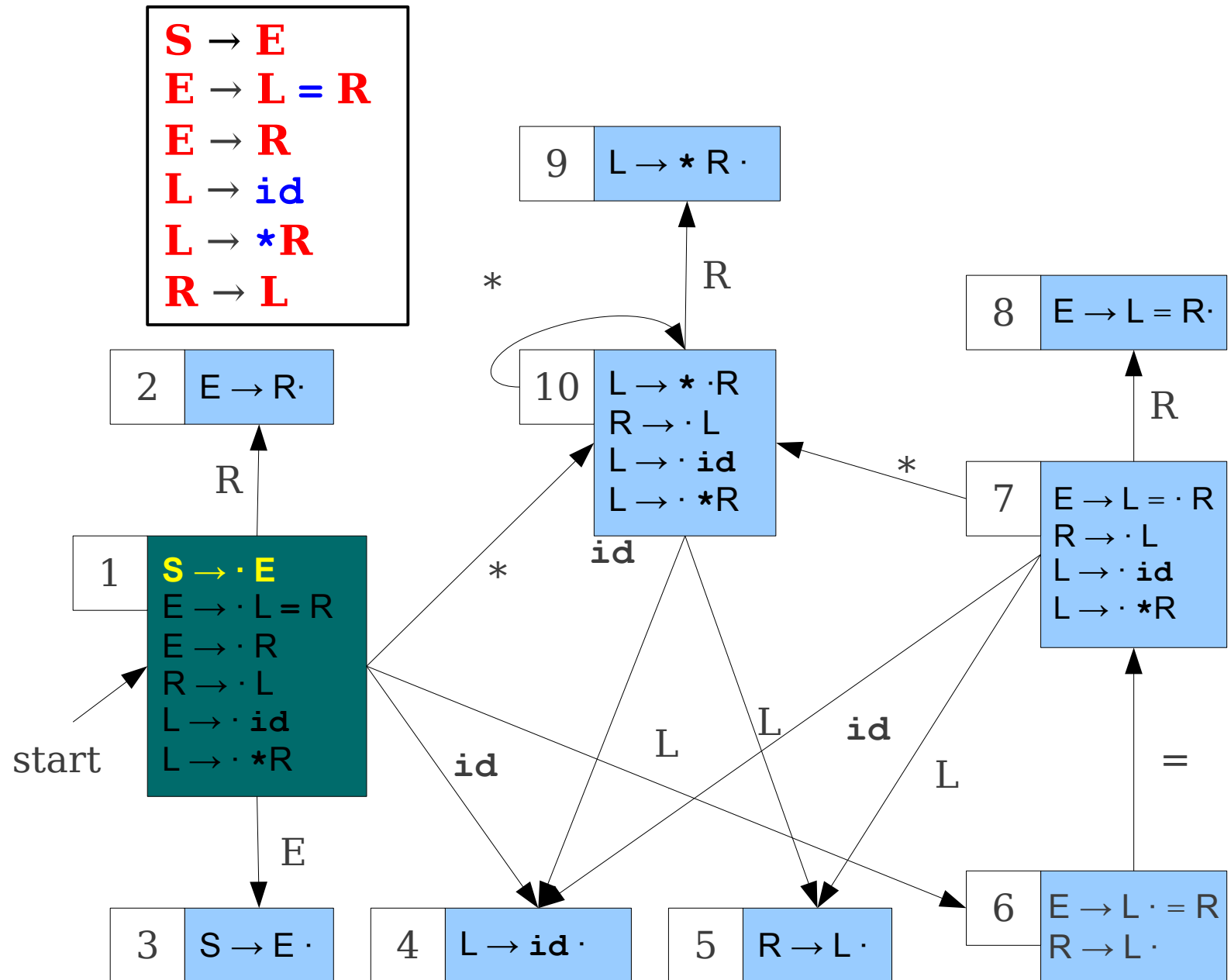
What if we used the LR(0) automaton  
to add context to the grammar?

Prepare for one of the most beautiful  
constructions of the quarter...

# Augmenting the Grammar

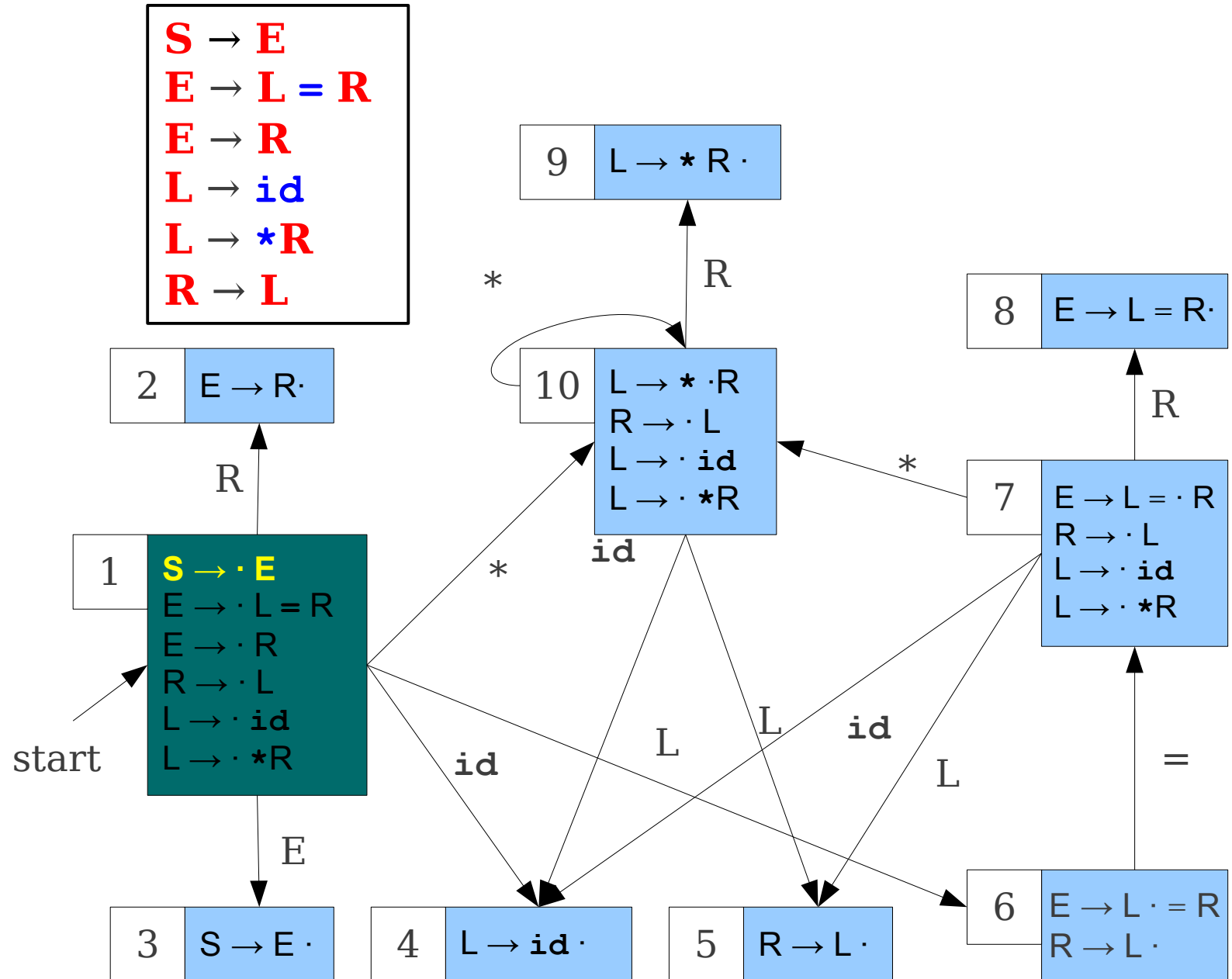


# Augmenting the Grammar



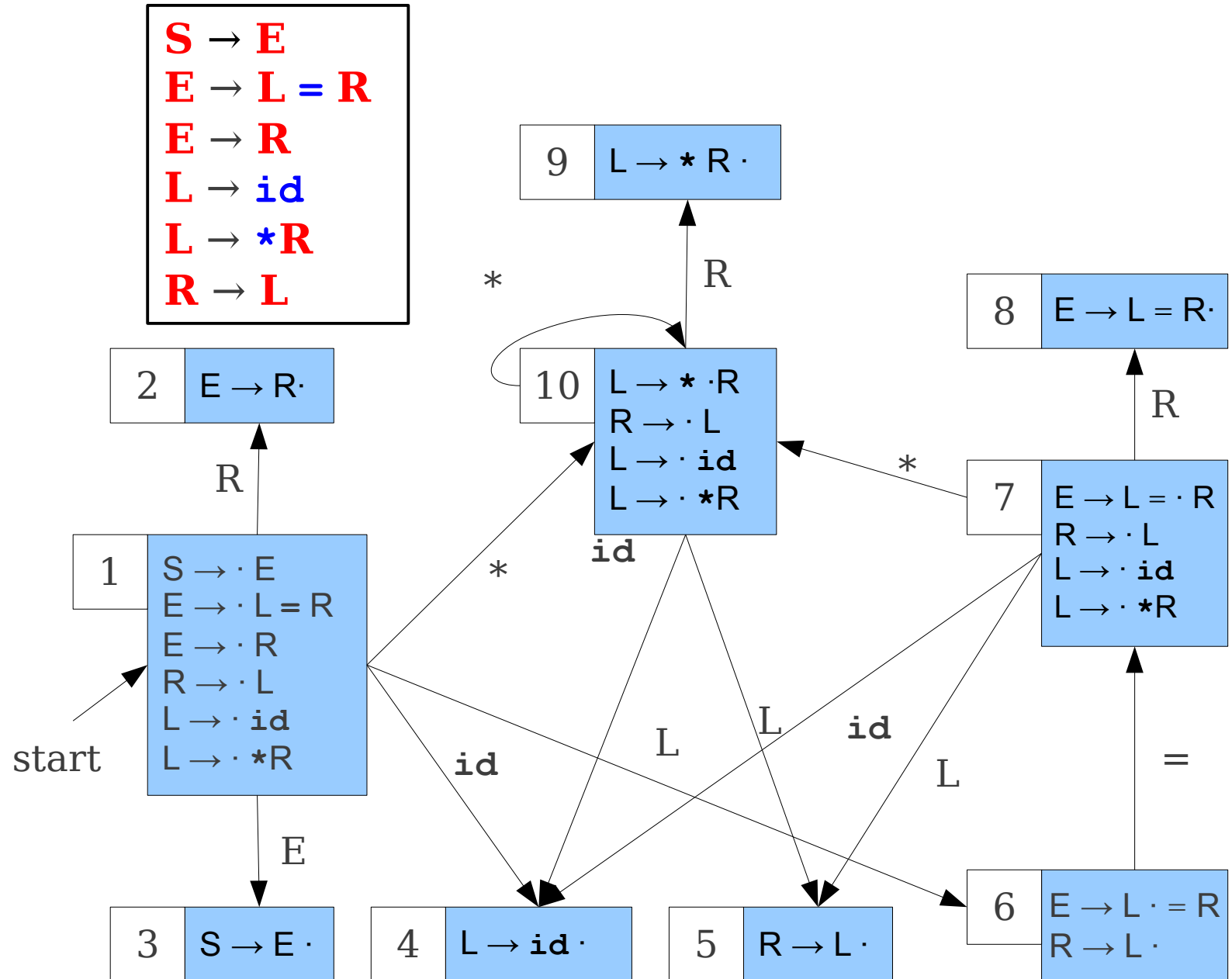
# Augmenting the Grammar

$$S_1 \rightarrow E_{1-3}$$



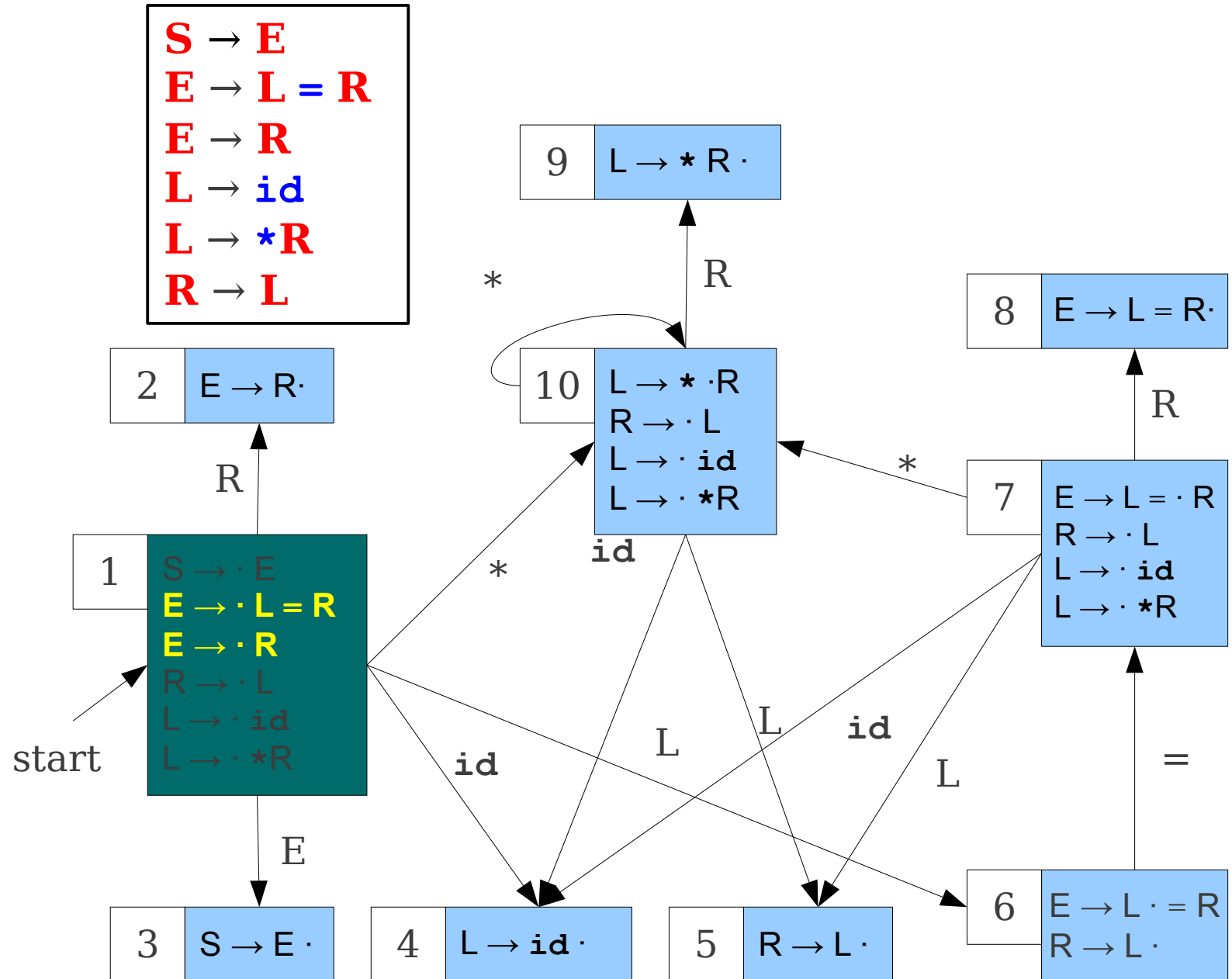
# Augmenting the Grammar

$$S_1 \rightarrow E_{1-3}$$



# Augmenting the Grammar

$S_1 \rightarrow E_{1-3}$





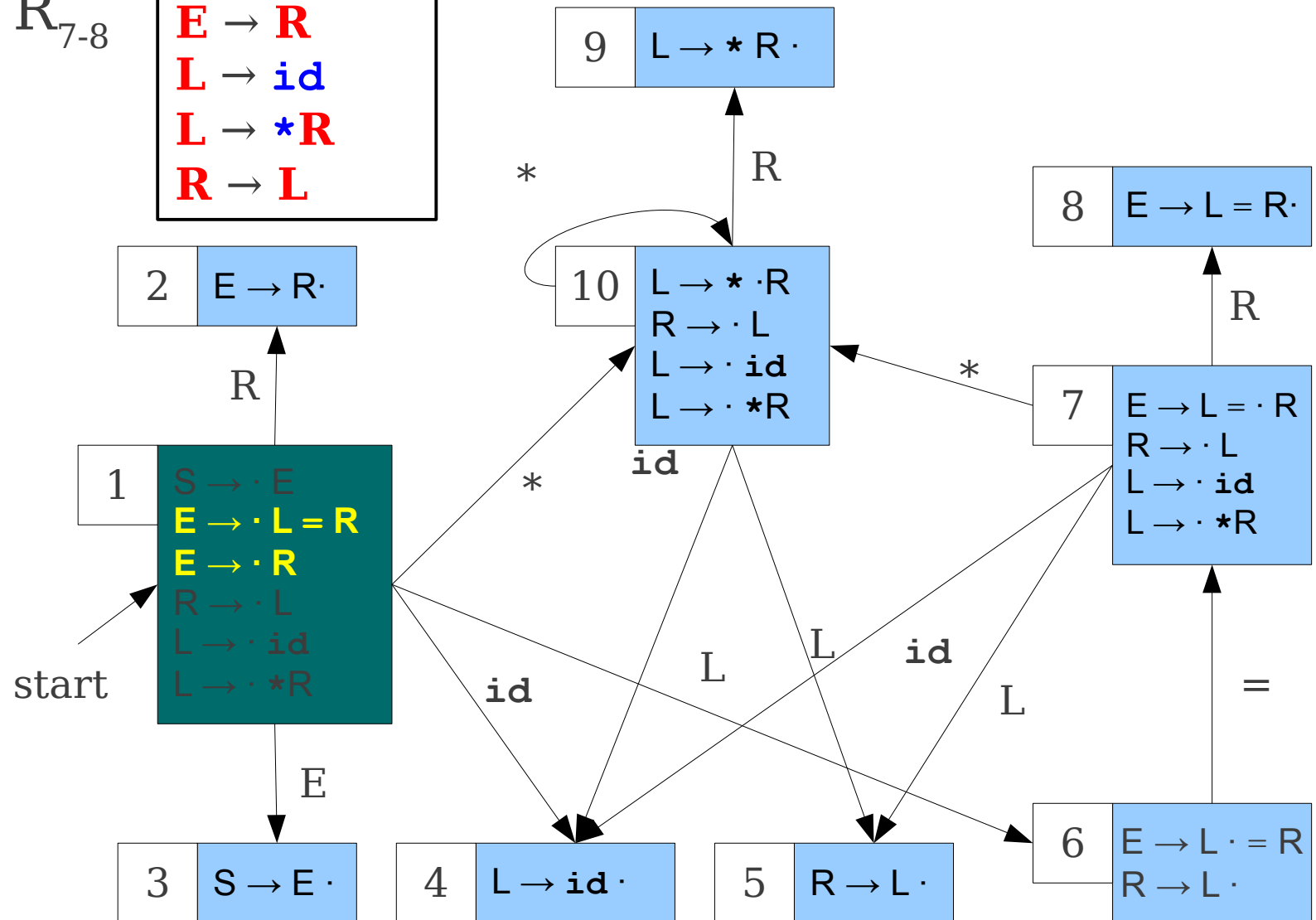
# Augmenting the Grammar

$$S_1 \rightarrow E_{1-3}$$

$$E_{1-3} \rightarrow L_{1-6} = R_{7-8}$$

$$E_{1-3} \rightarrow R_{1-2}$$

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



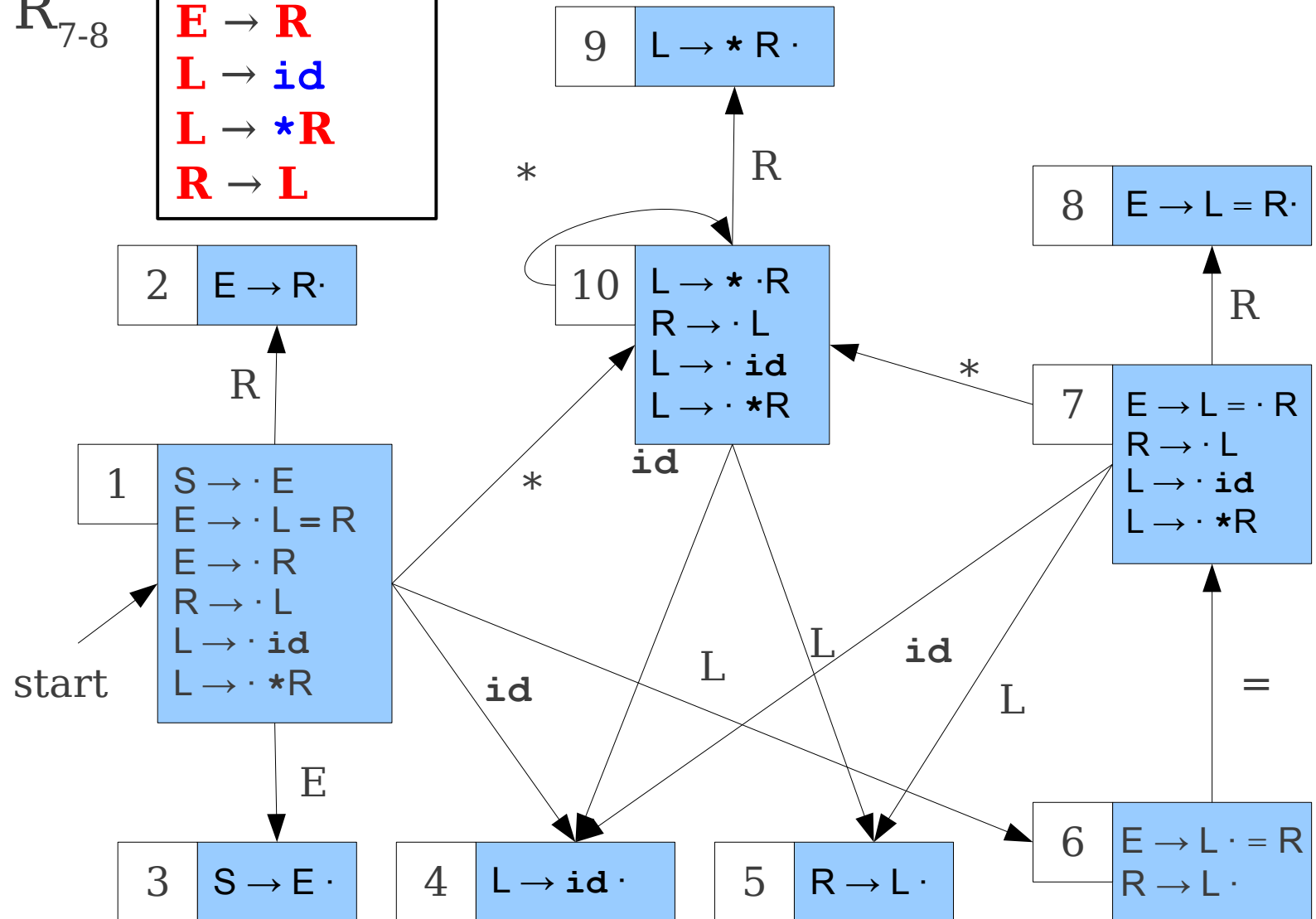
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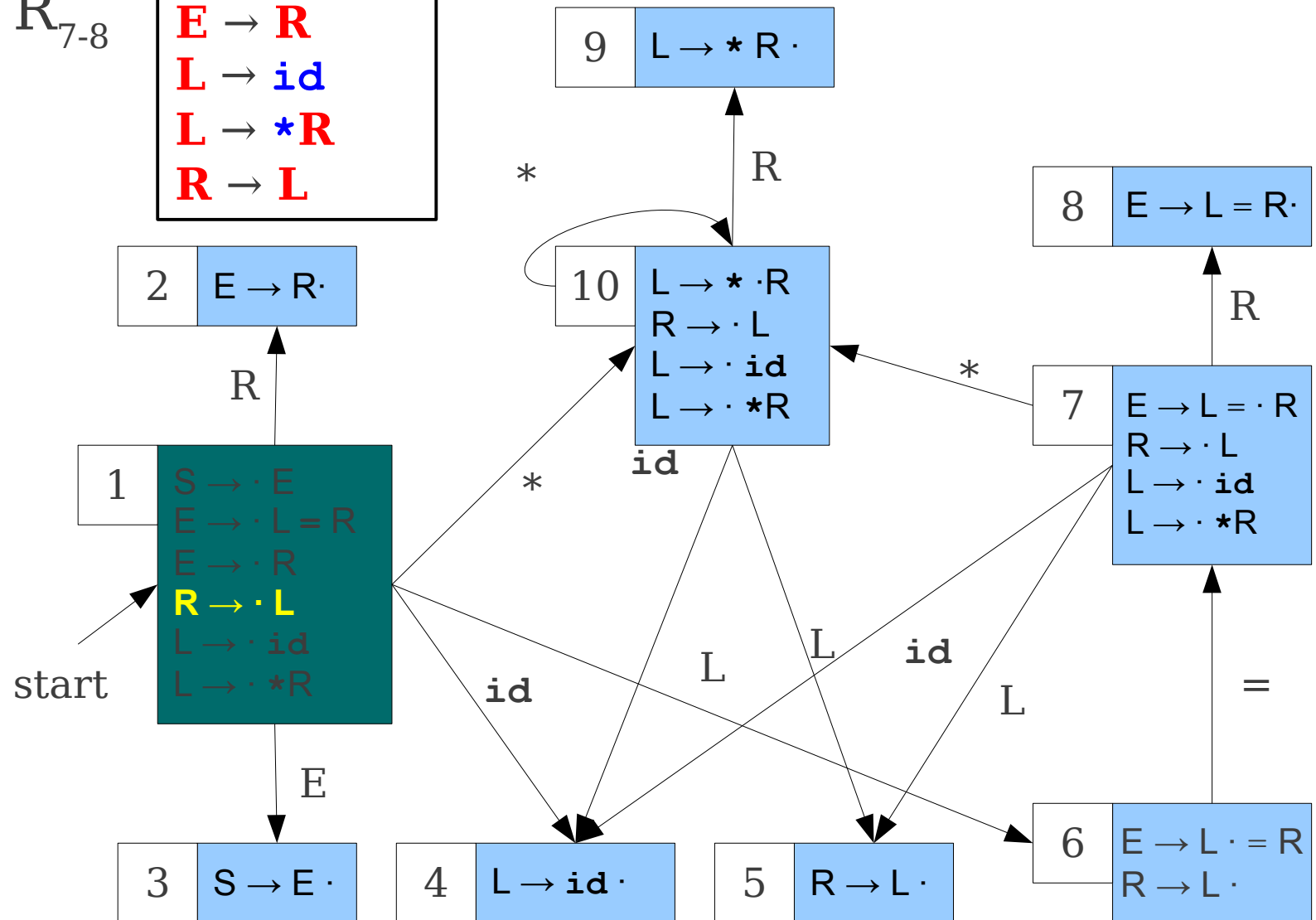
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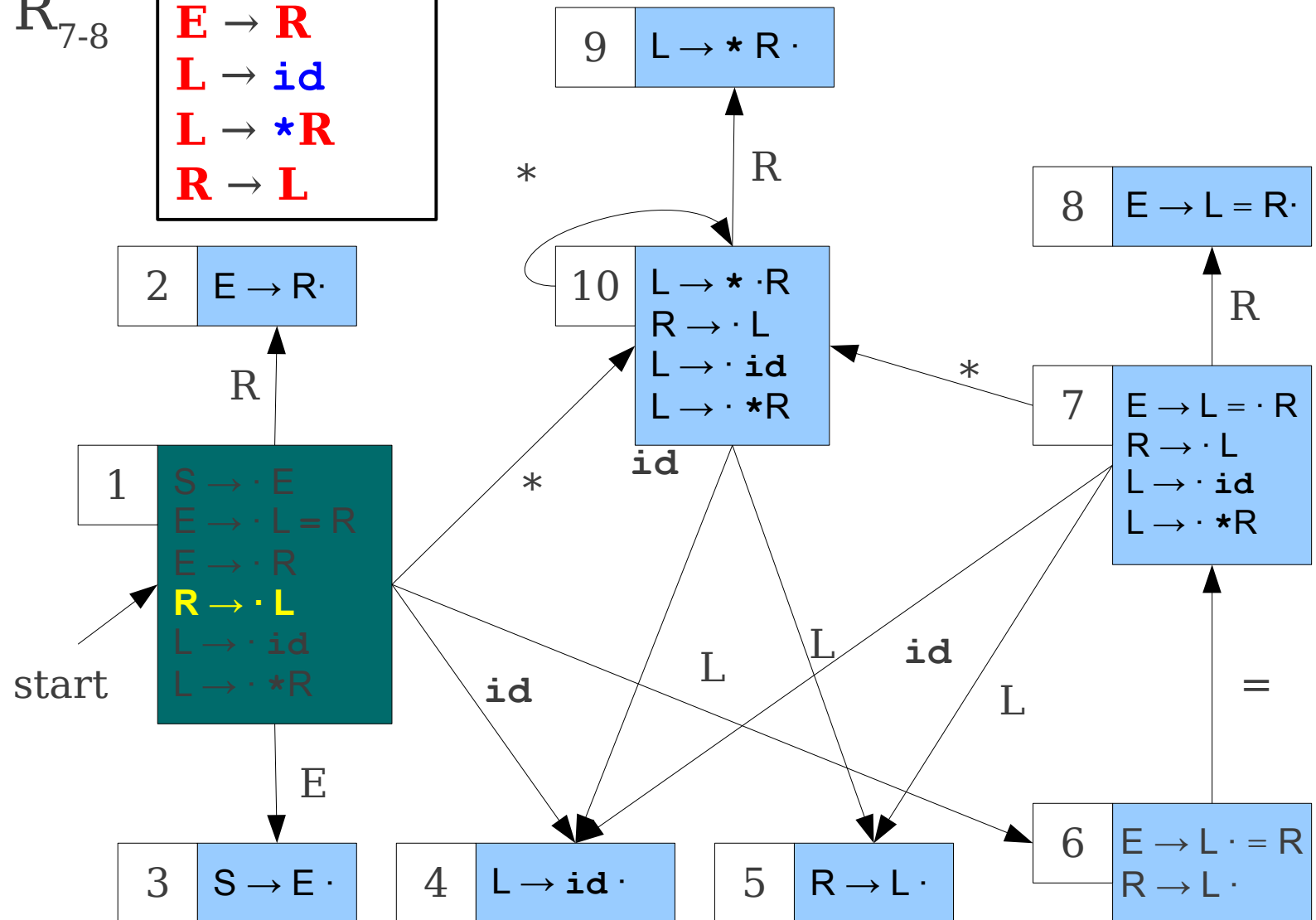
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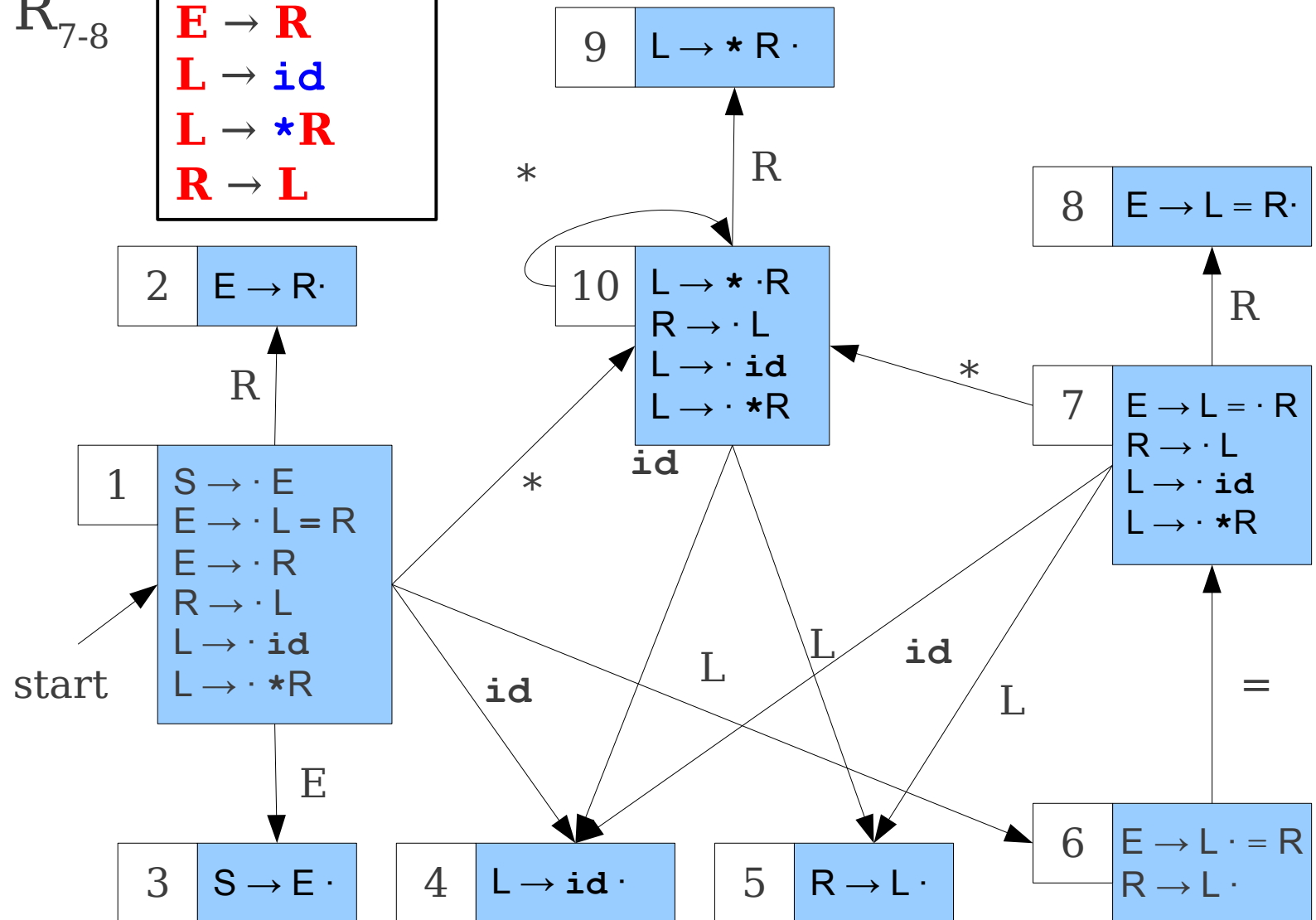
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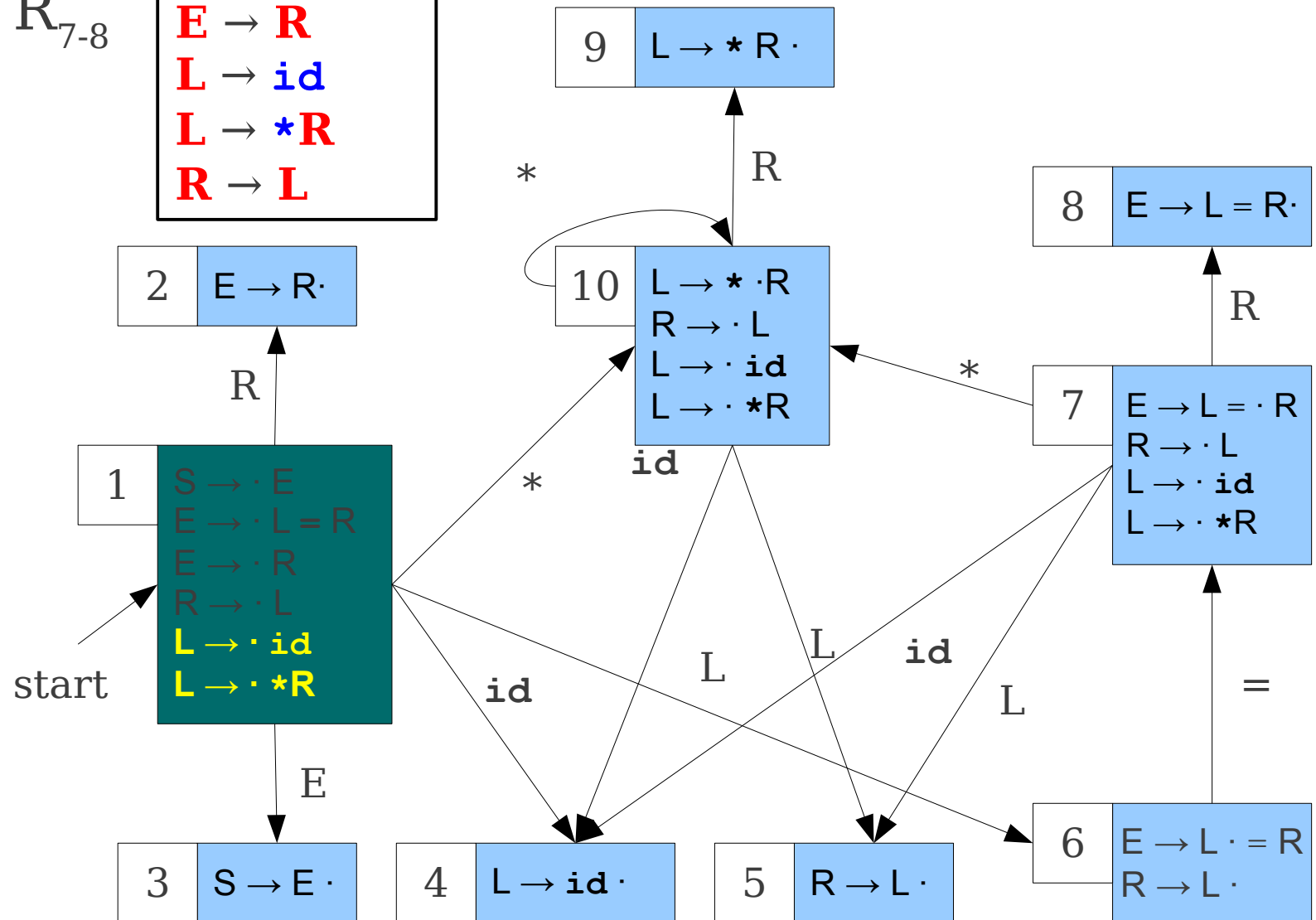
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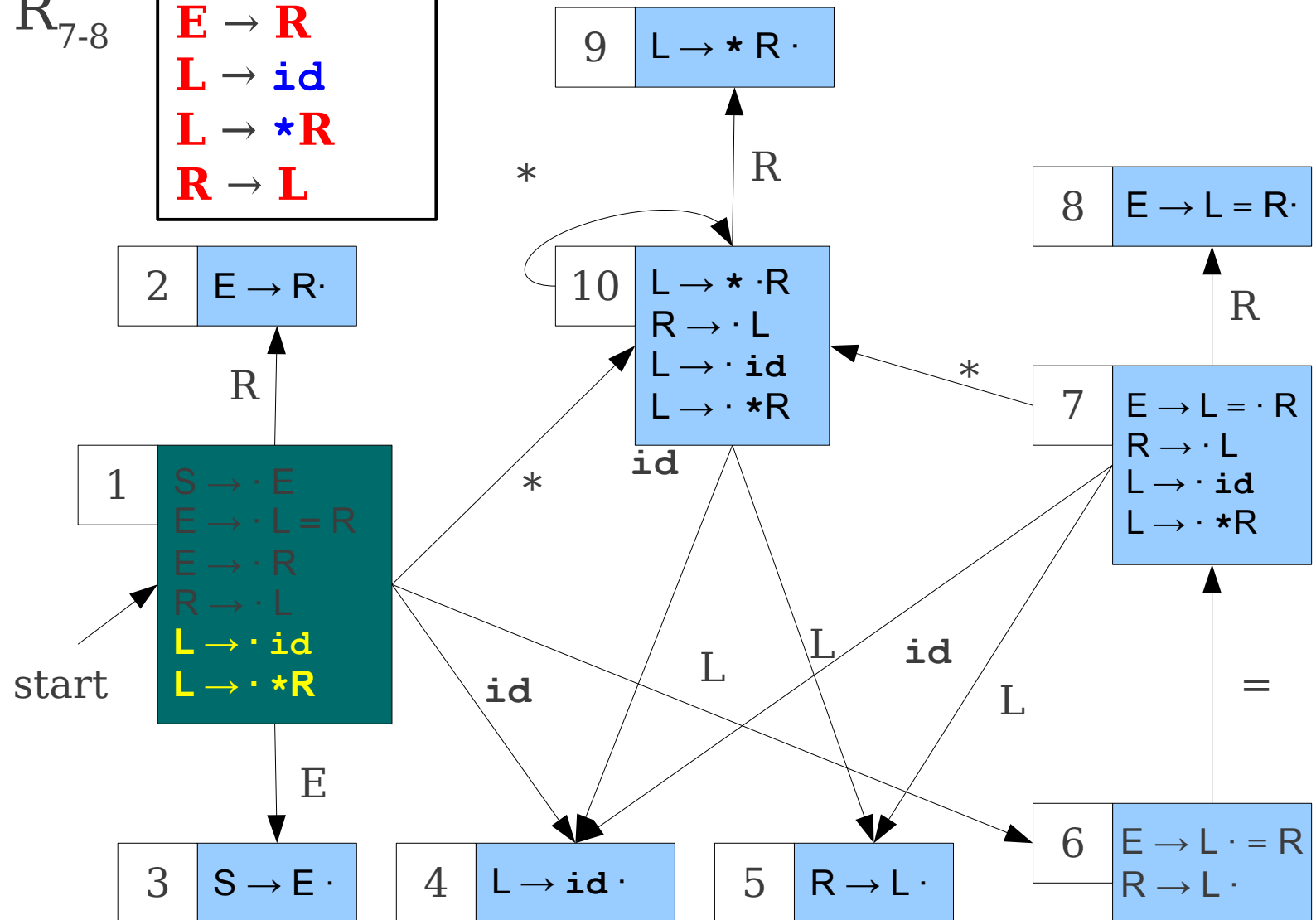
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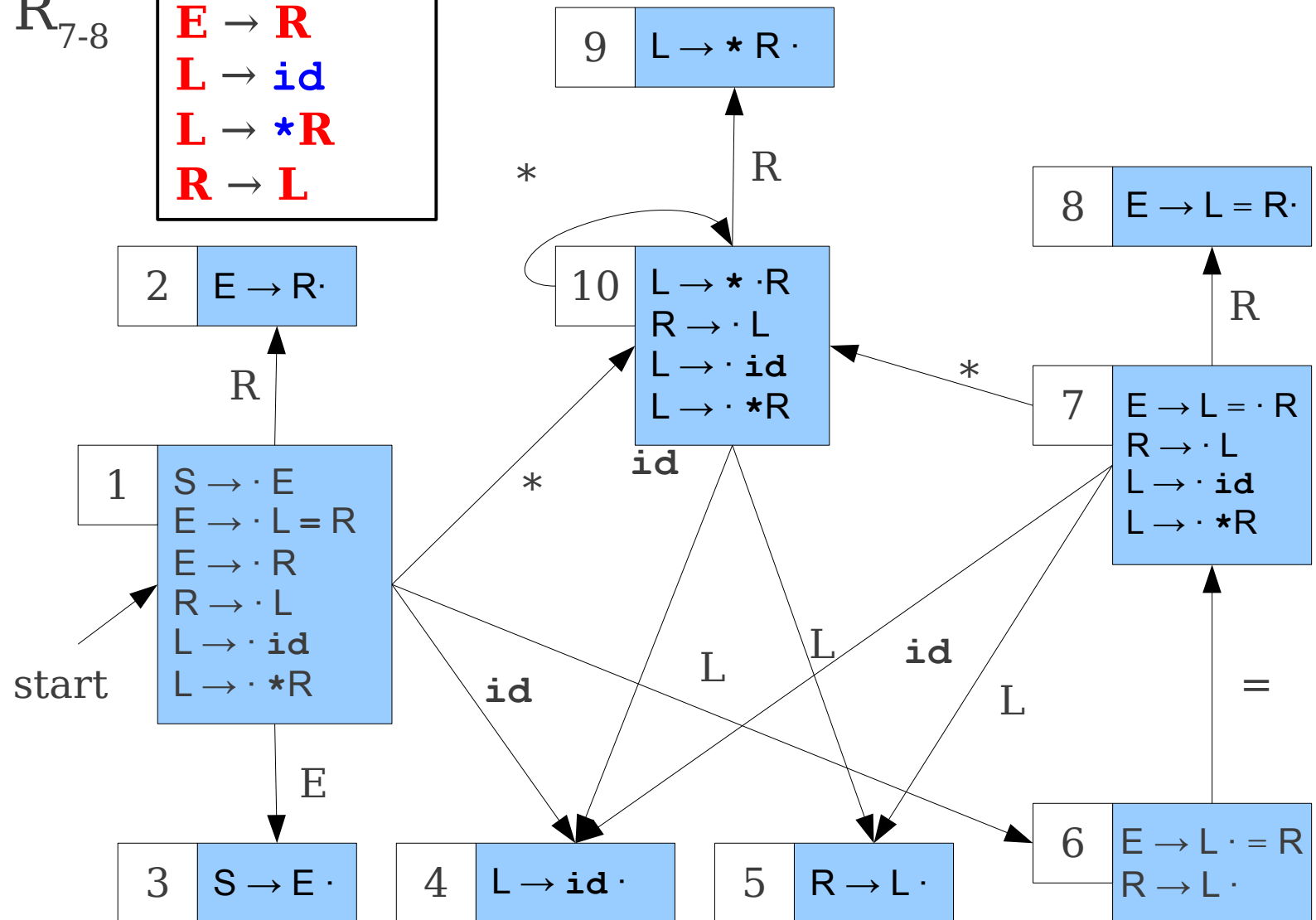
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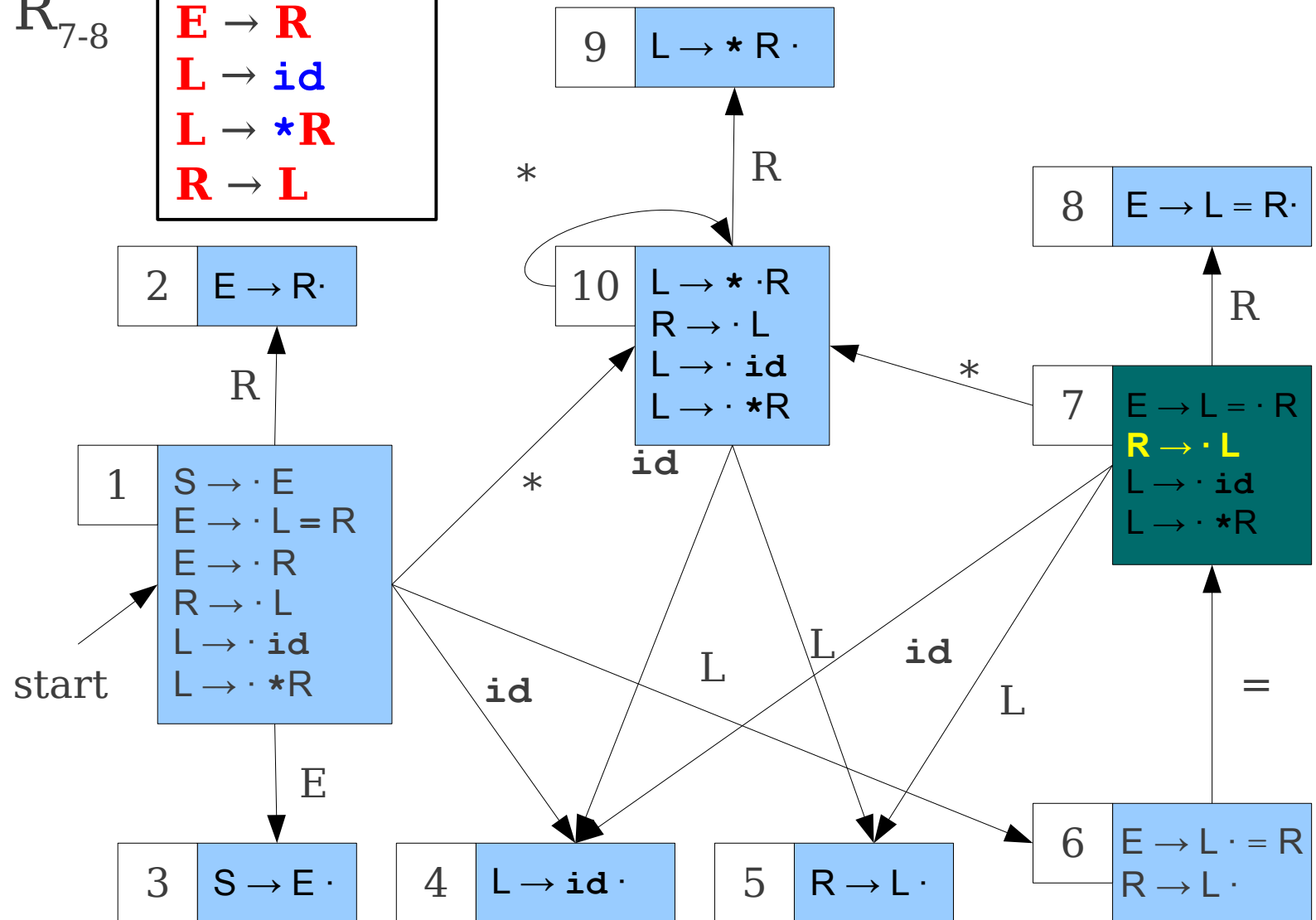




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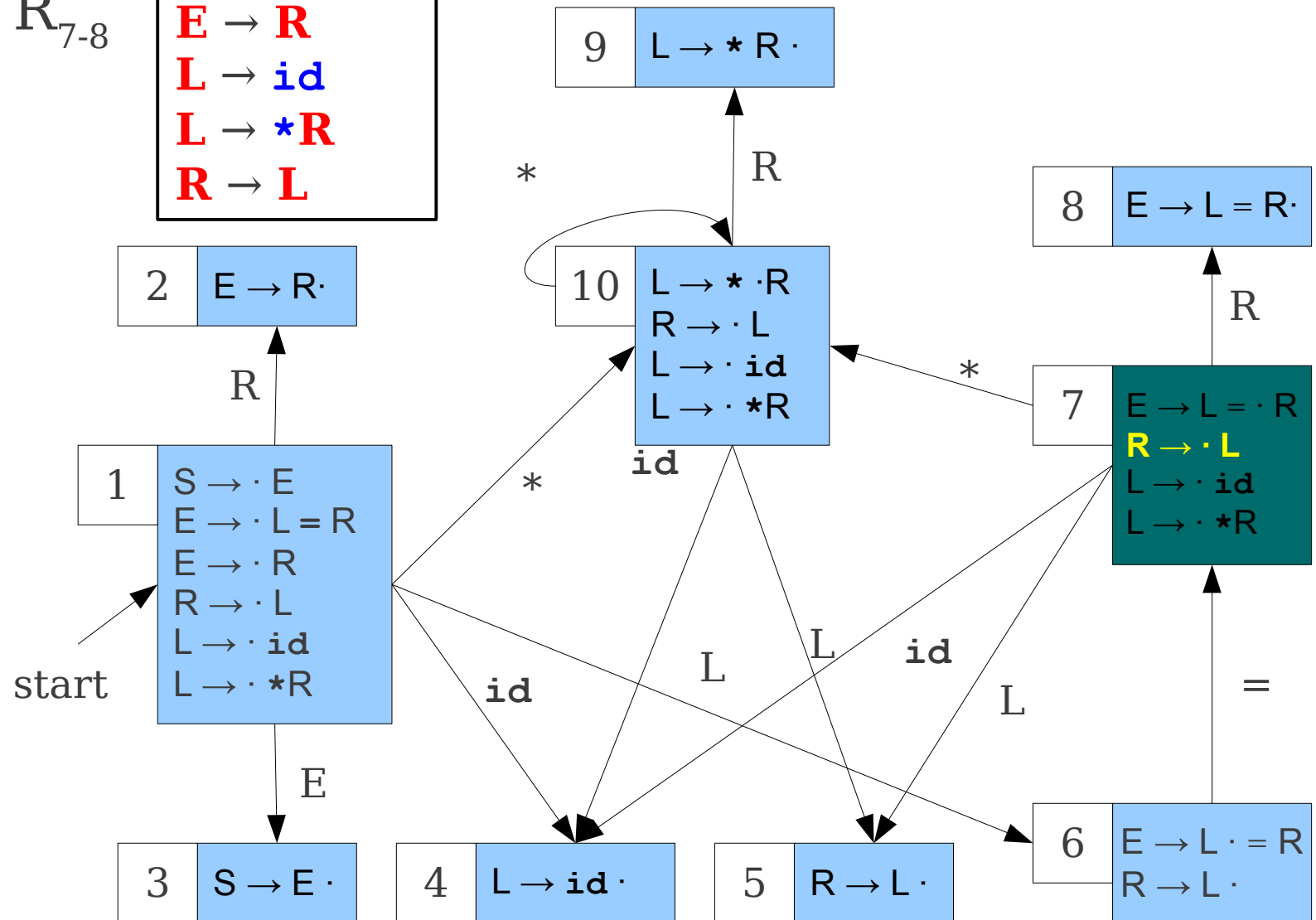
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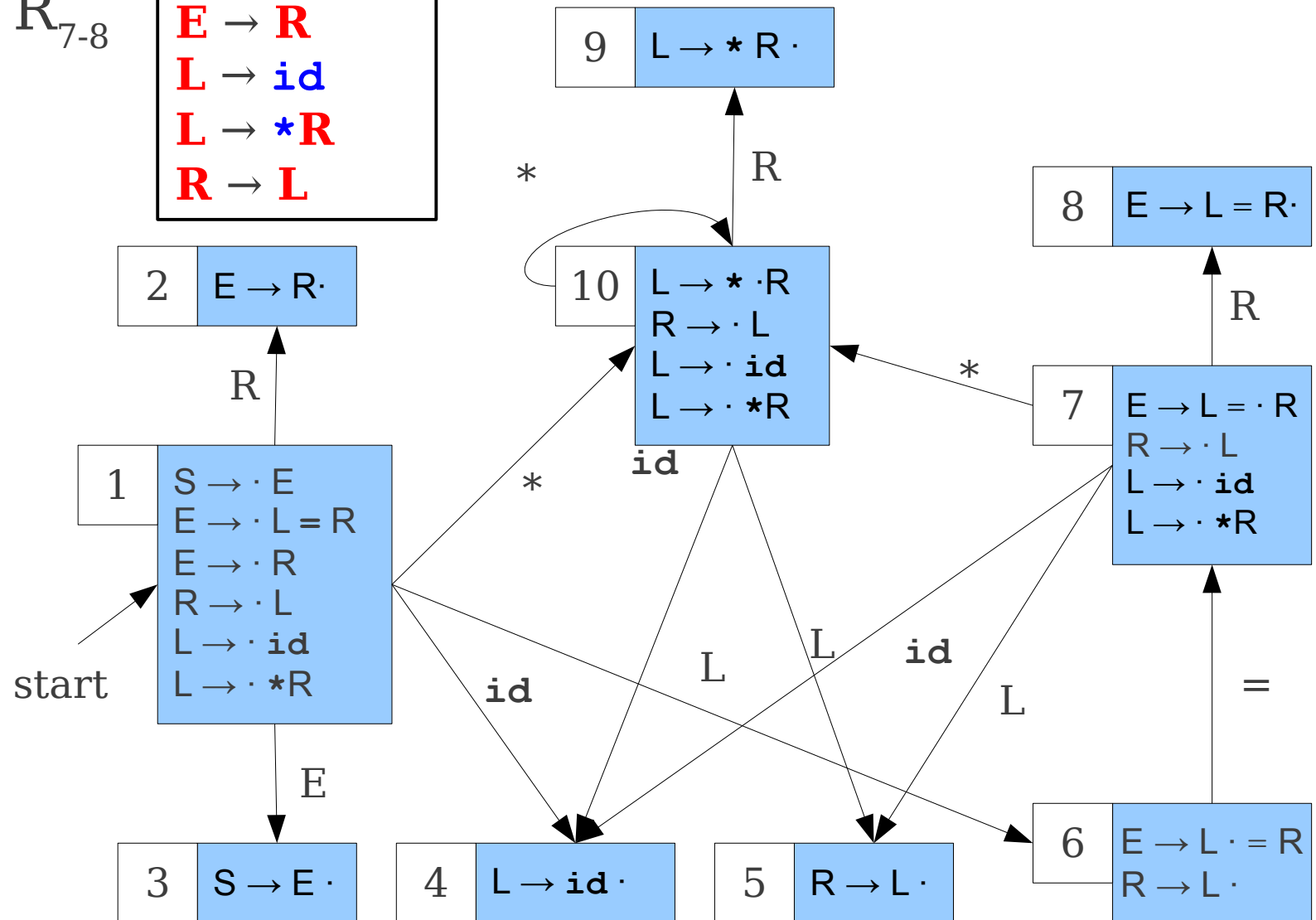
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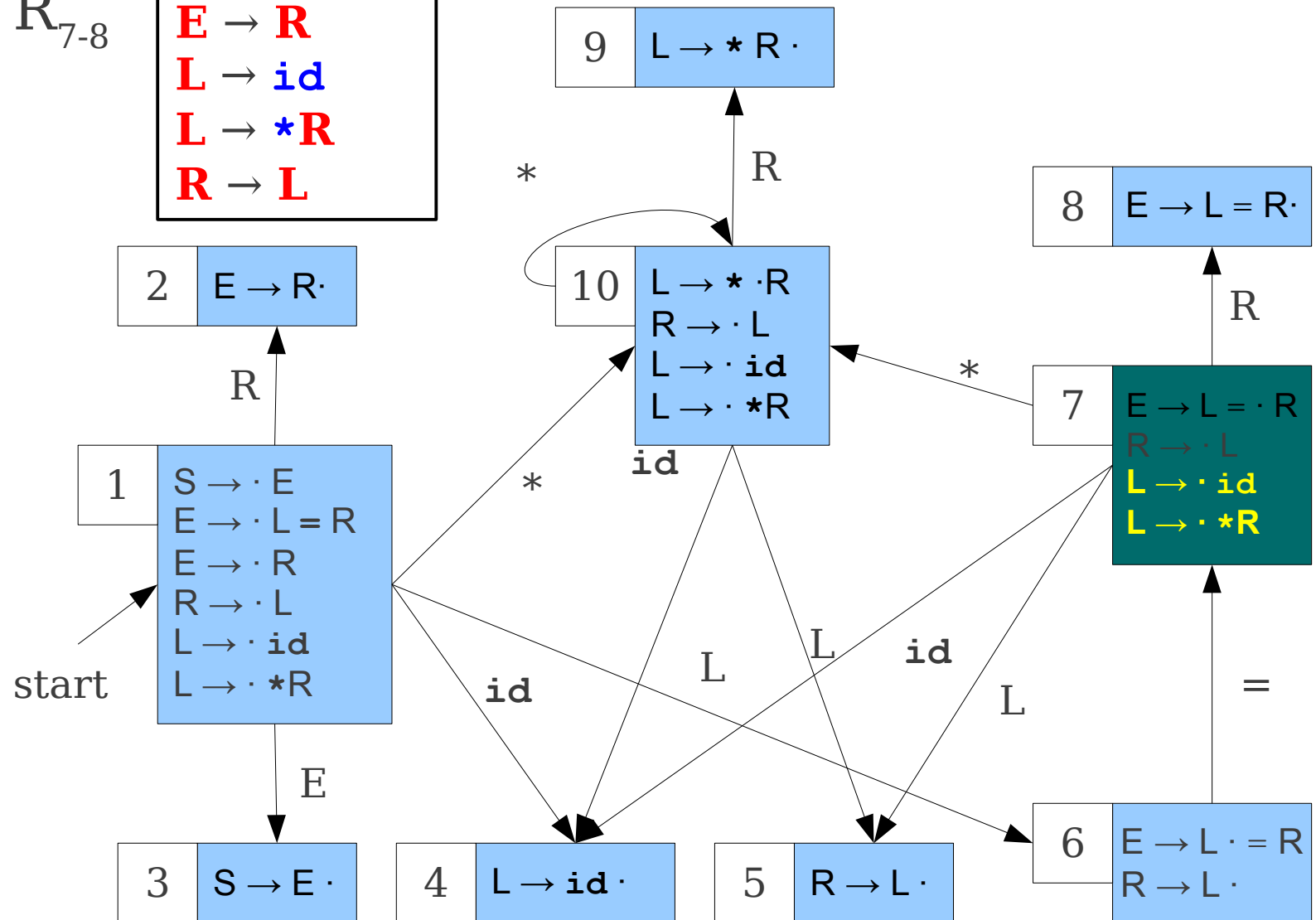
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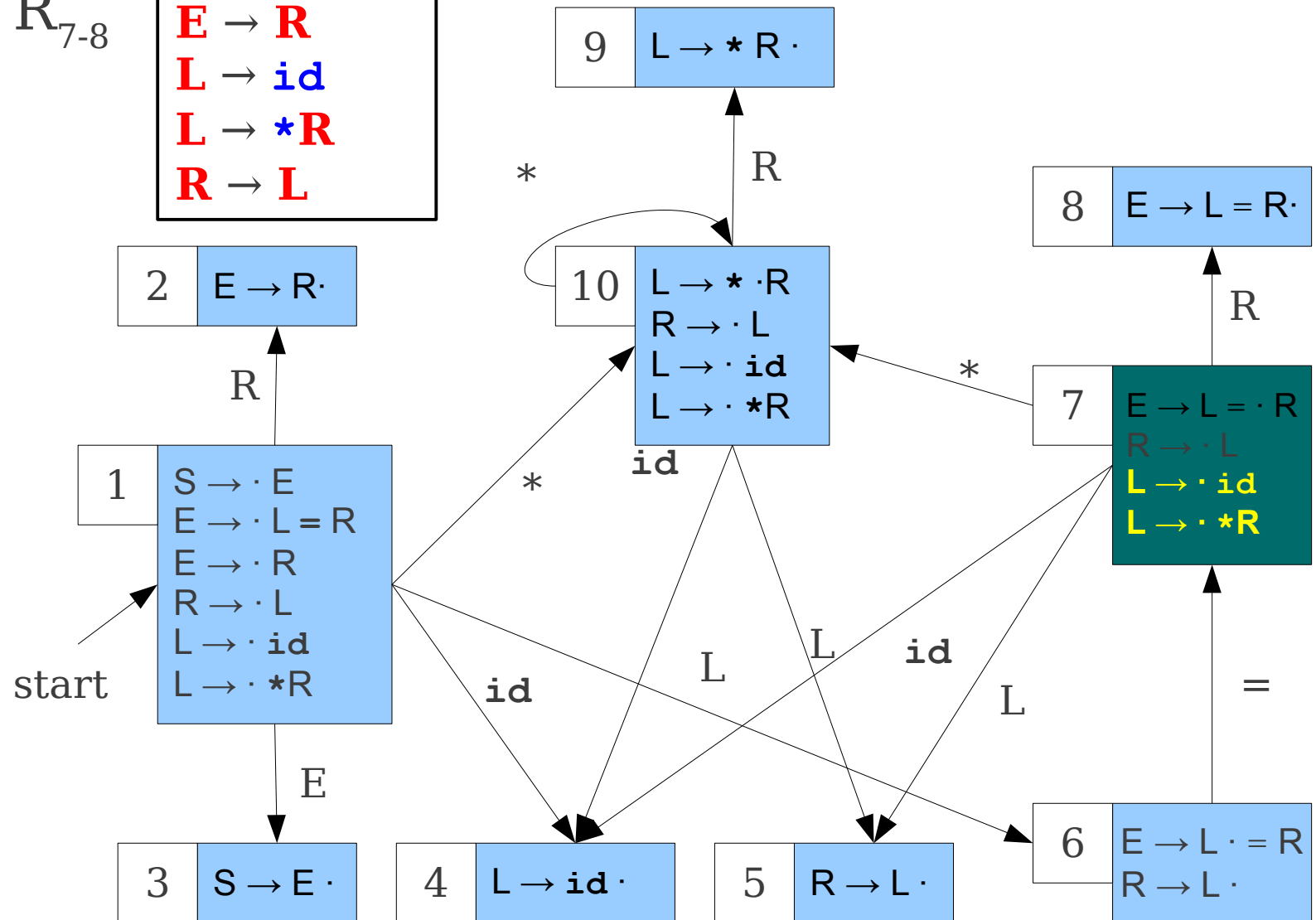
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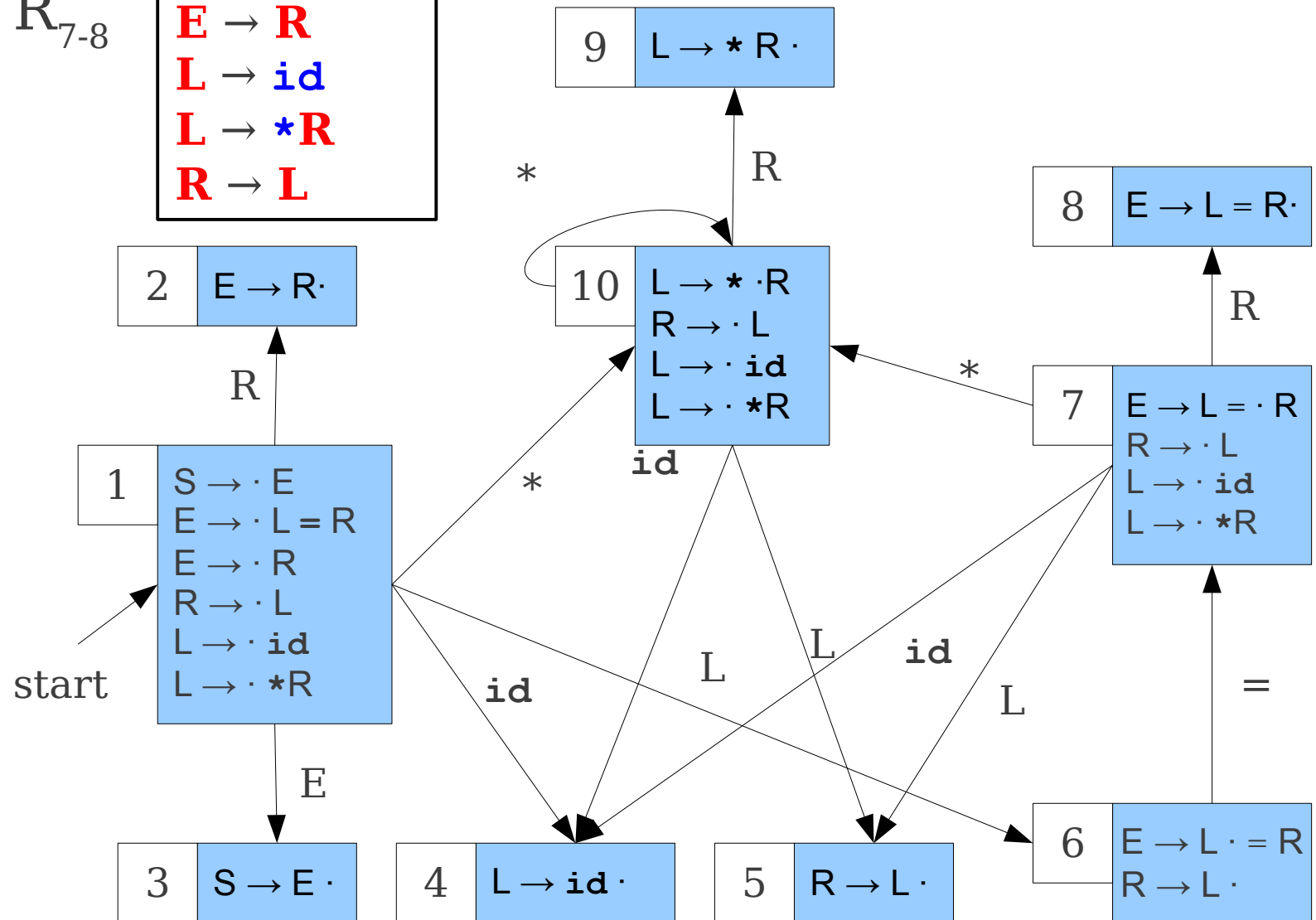
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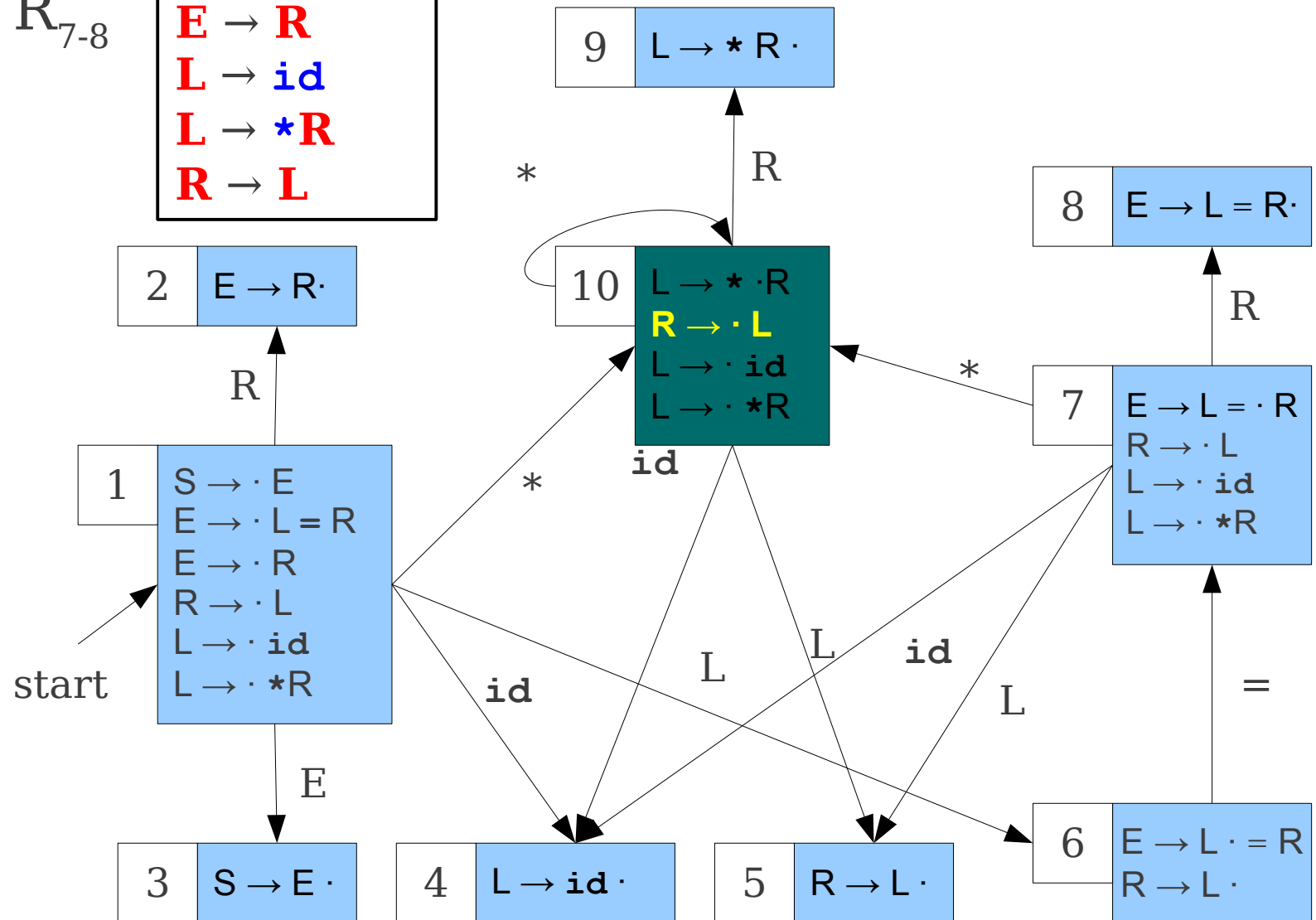
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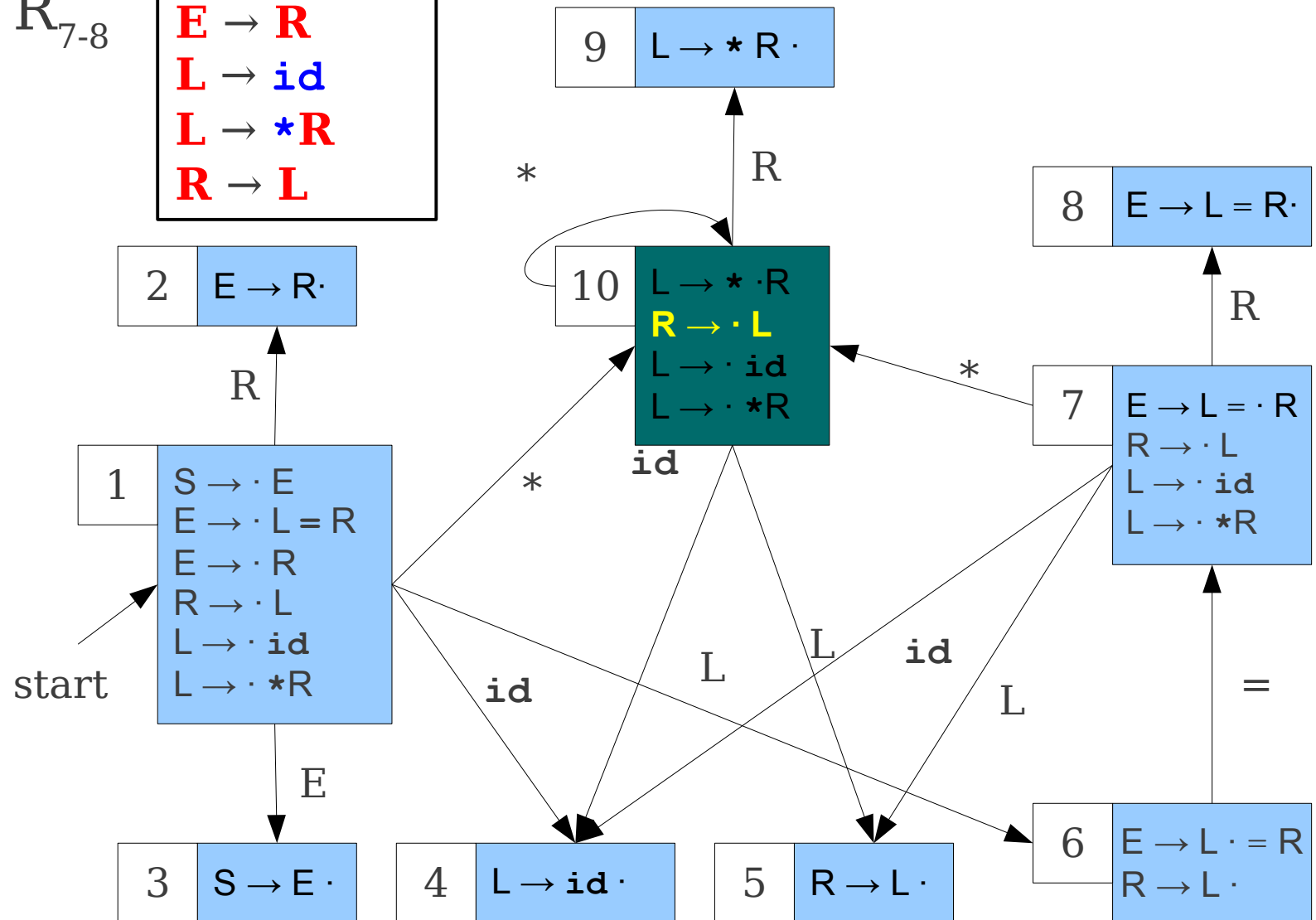
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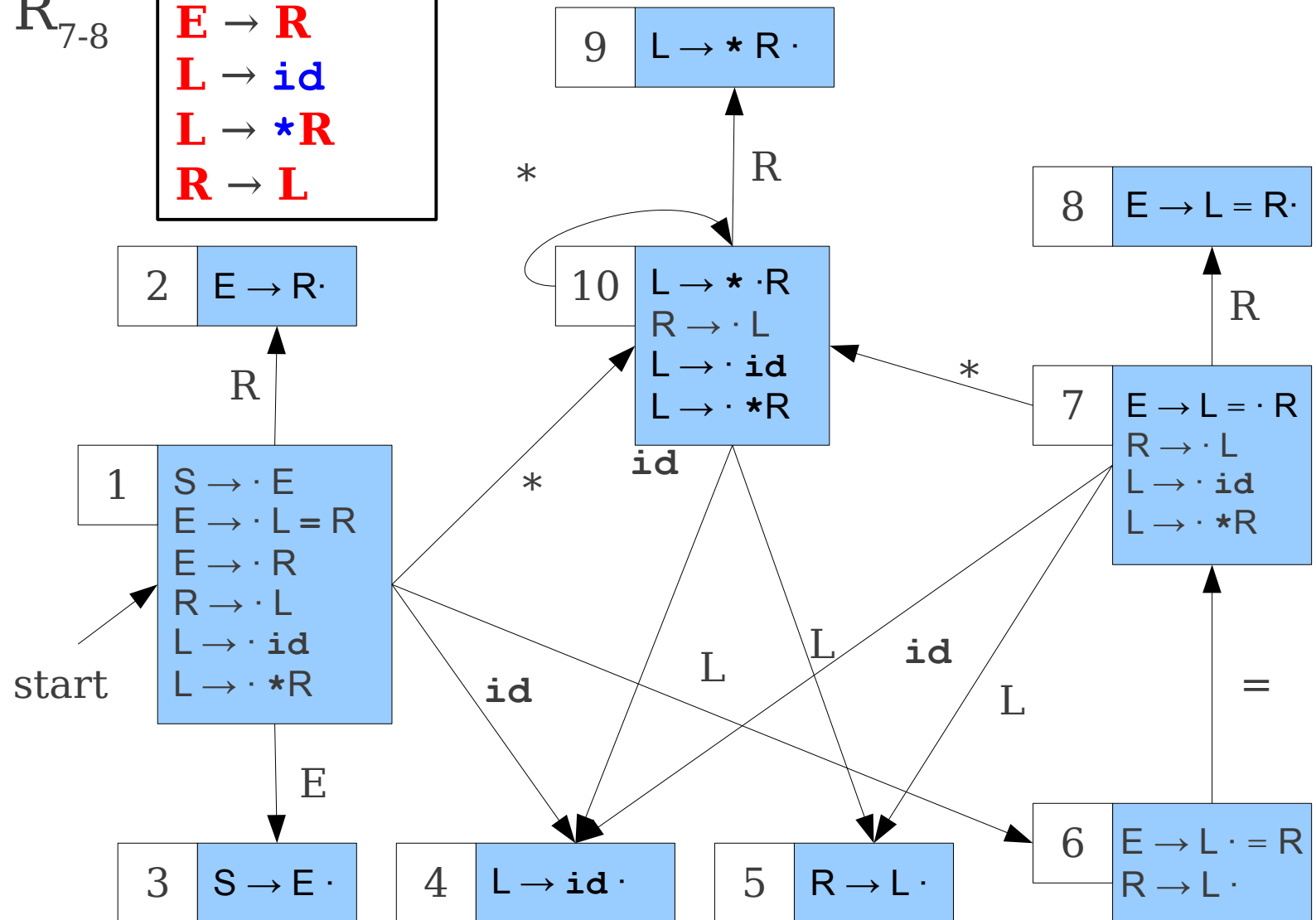




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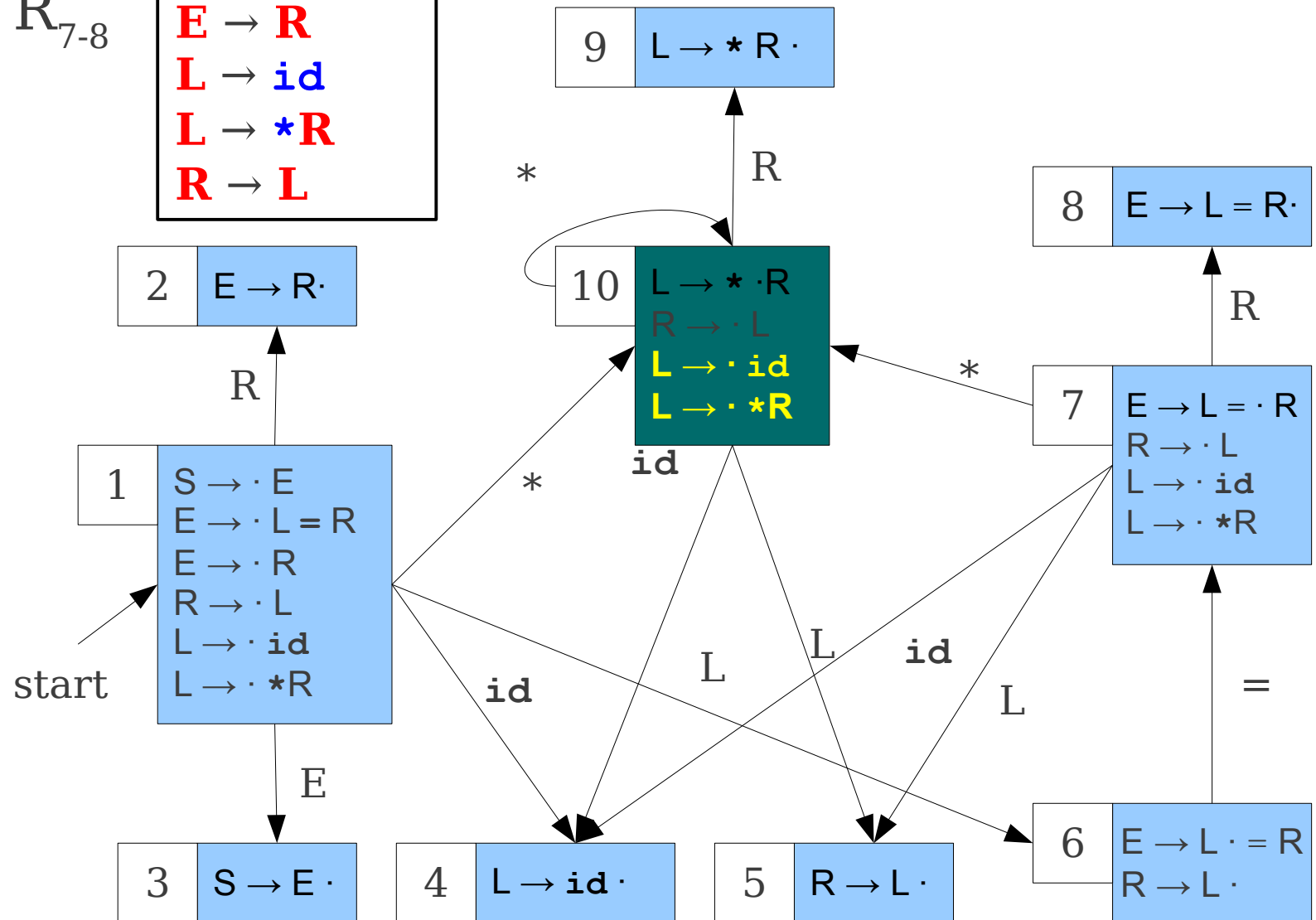
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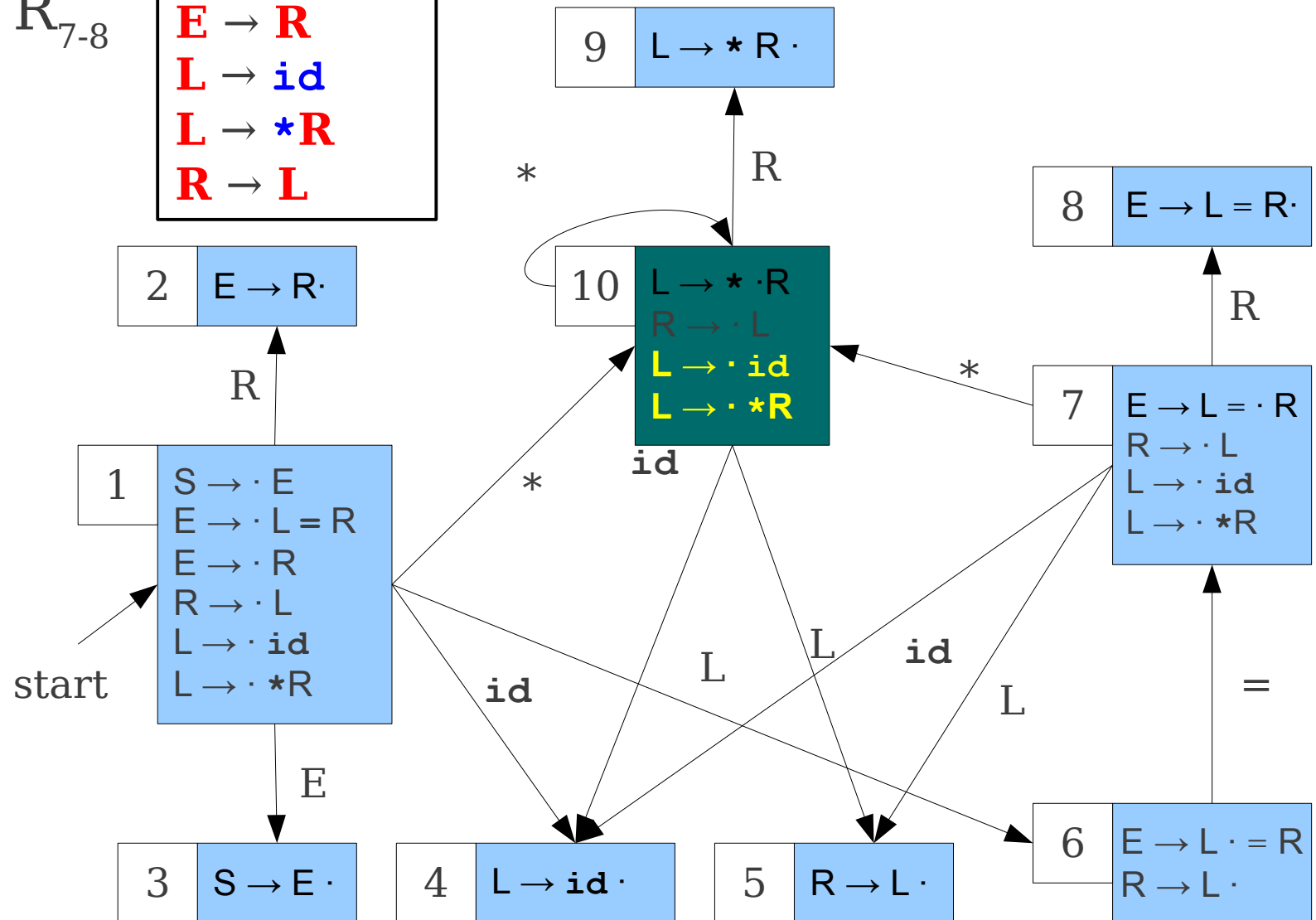
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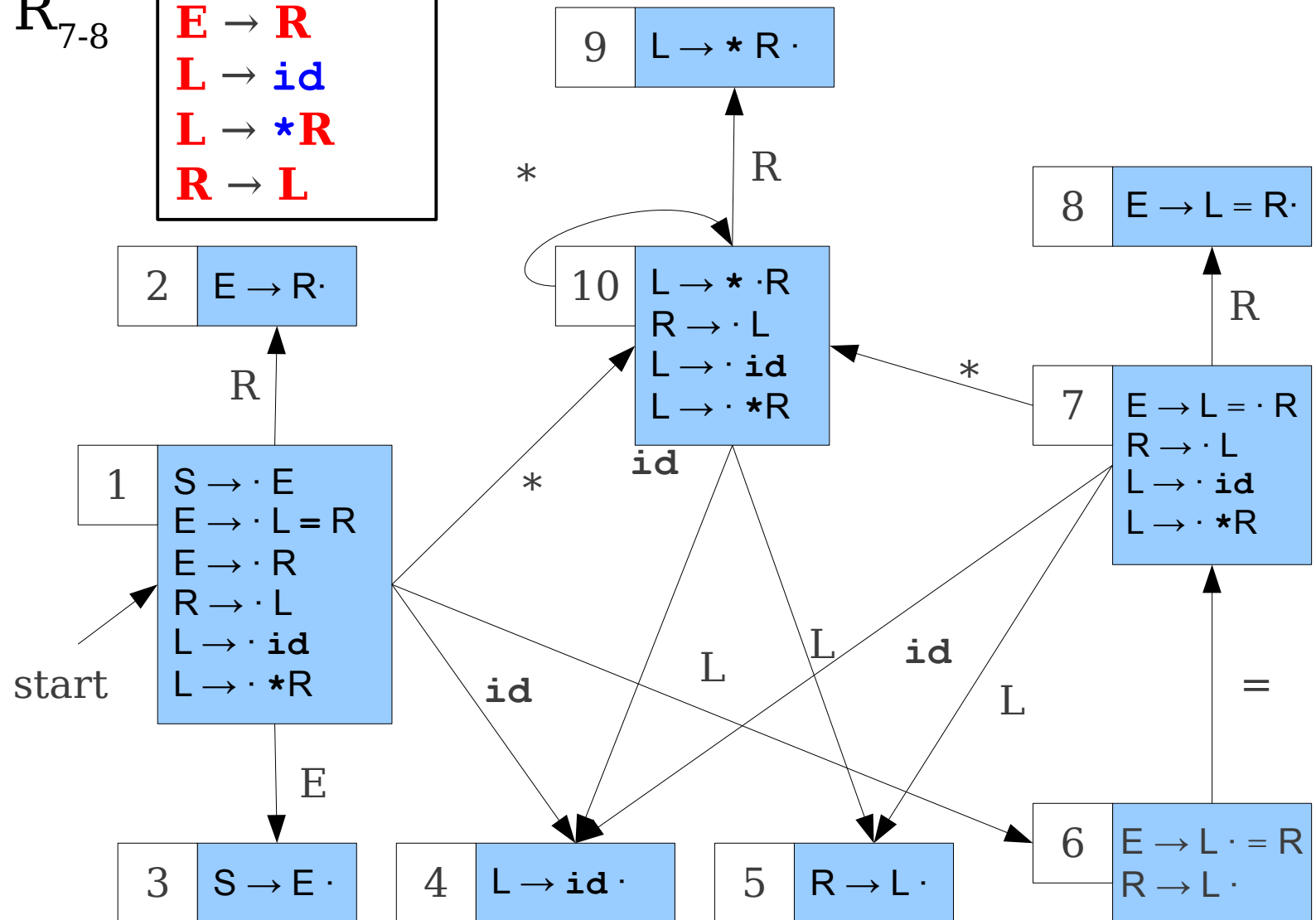
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# Constructing Augmented Grammars

- For each item  $\mathbf{A} \rightarrow \cdot \omega$  in some state  $q$ :
  - Trace out the path  $\omega$  takes through the LR(0) automaton starting at  $q$ .
  - Replace each nonterminal in  $\omega$  with a nonterminal annotated with the state transitioned to and from by the edge labeled with that nonterminal.
  - Replace  $\mathbf{A}$  with a nonterminal annotated with the start and end state of the transition on  $\mathbf{A}$  out of  $q$ .
- Result is a larger grammar with more precise productions.

# Why is this Grammar Useful?

- At a high-level, separates out the nonterminals based on their context.
- This makes the FOLLOW sets more precise for their nonterminals.
- In fact, the FOLLOW sets are surprisingly precise.

# Augmented FOLLOW Sets

$$S_1 \rightarrow E_{1-3}$$

$$E_{1-3} \rightarrow L_{1-6} = R_{7-8}$$

$$E_{1-3} \rightarrow R_{1-2}$$

$$R_{1-2} \rightarrow L_{1-6}$$

$$L_{1-6} \rightarrow \mathbf{id}$$

$$L_{1-6} \rightarrow * R_{10-9}$$

$$R_{7-8} \rightarrow L_{7-5}$$

$$L_{7-5} \rightarrow \mathbf{id}$$

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$$L_{1-6} \rightarrow * R_{10-9}$$

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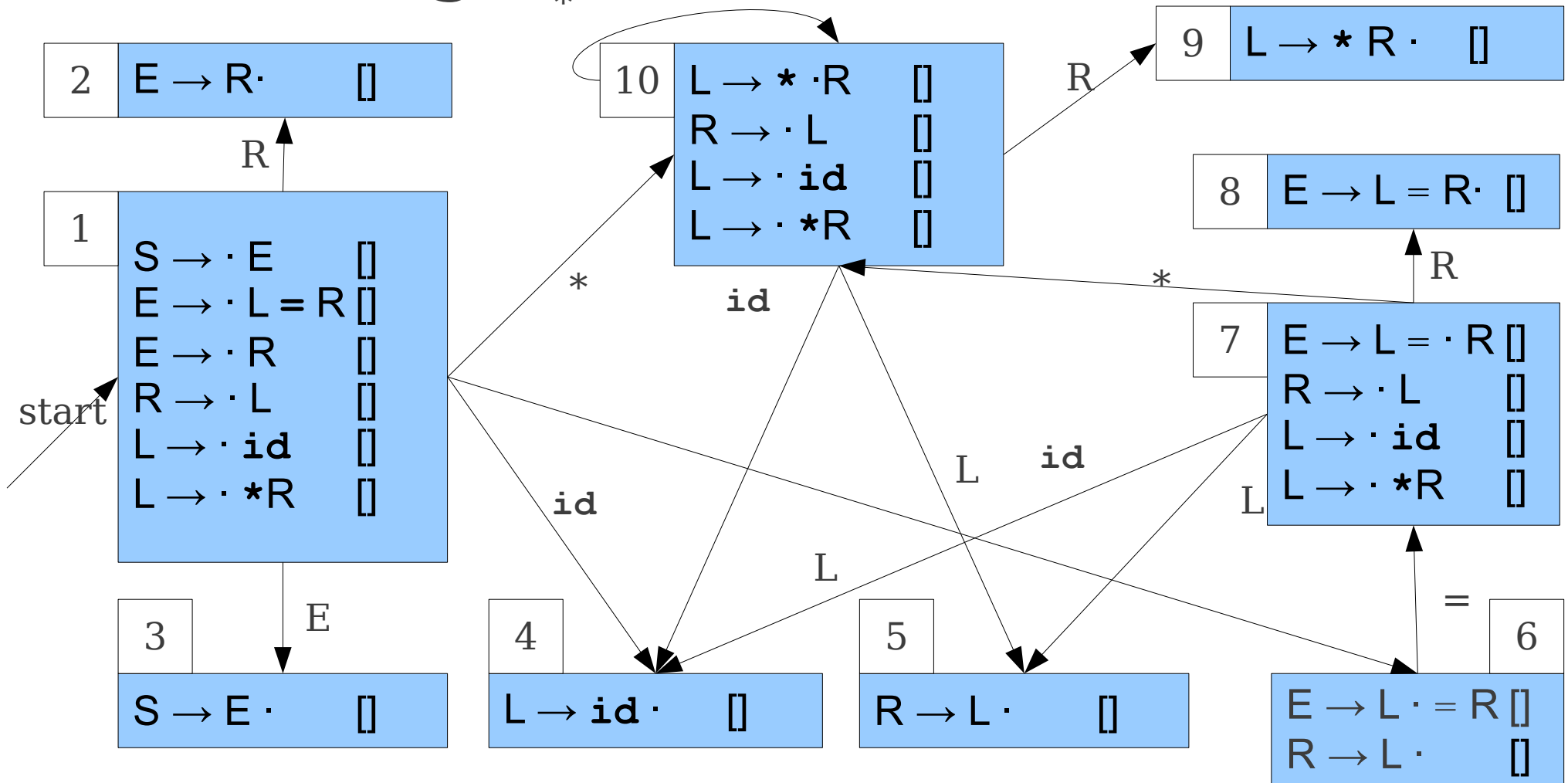
$$L_{10-5} \rightarrow \mathbf{id}$$

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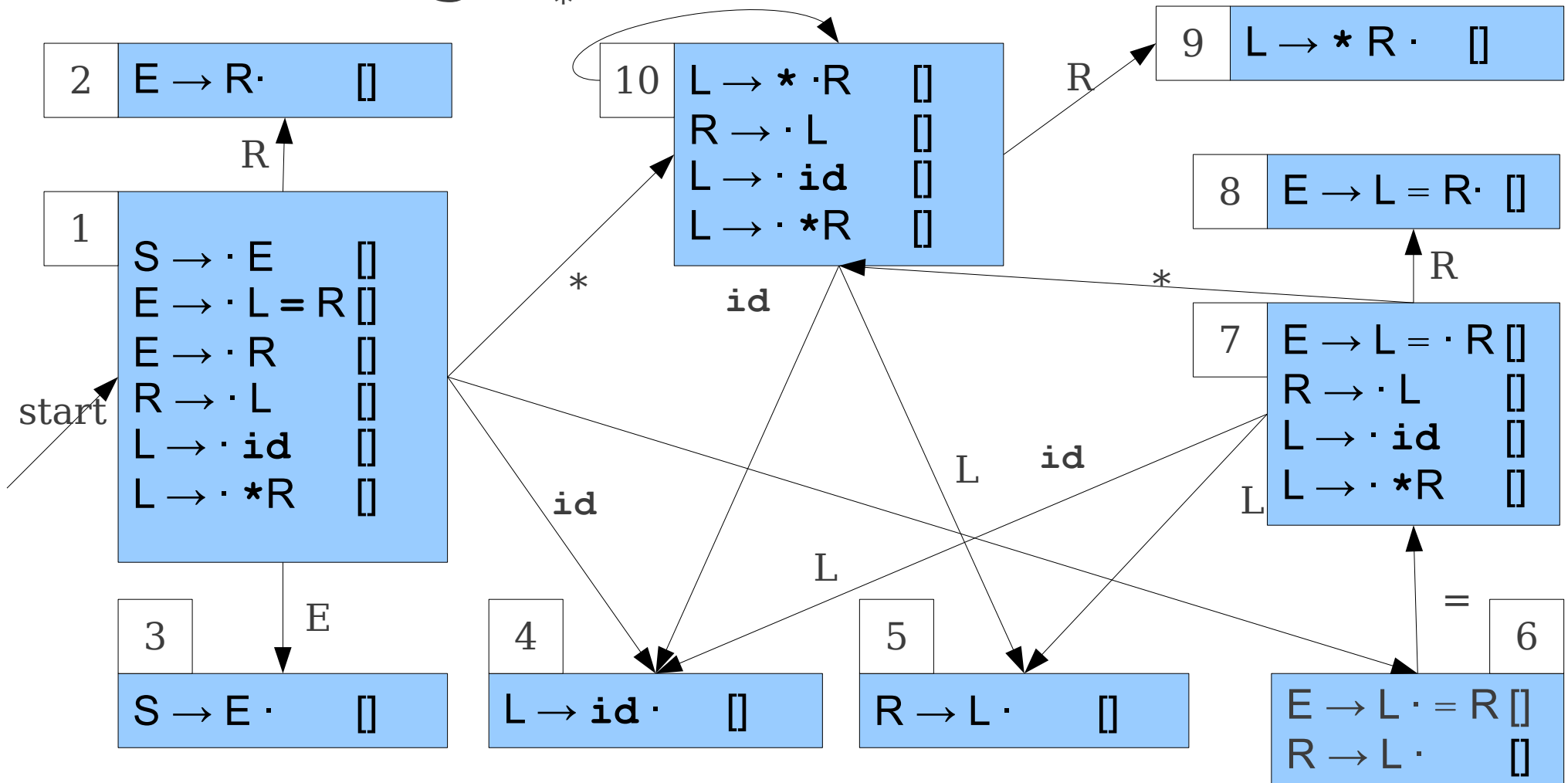
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=



# Using Our FOLLOW Sets

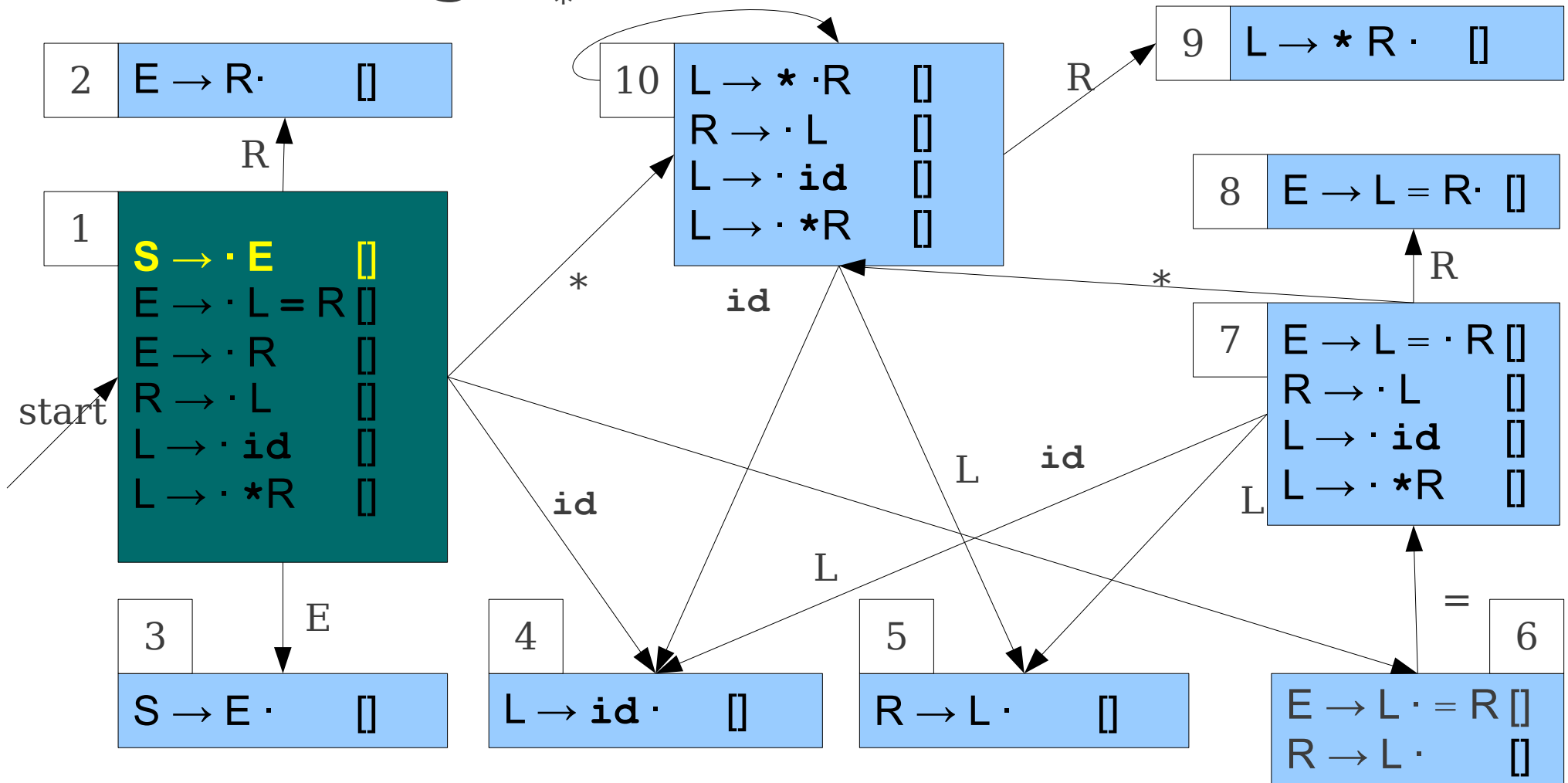


# Using Our FOLLOW Sets



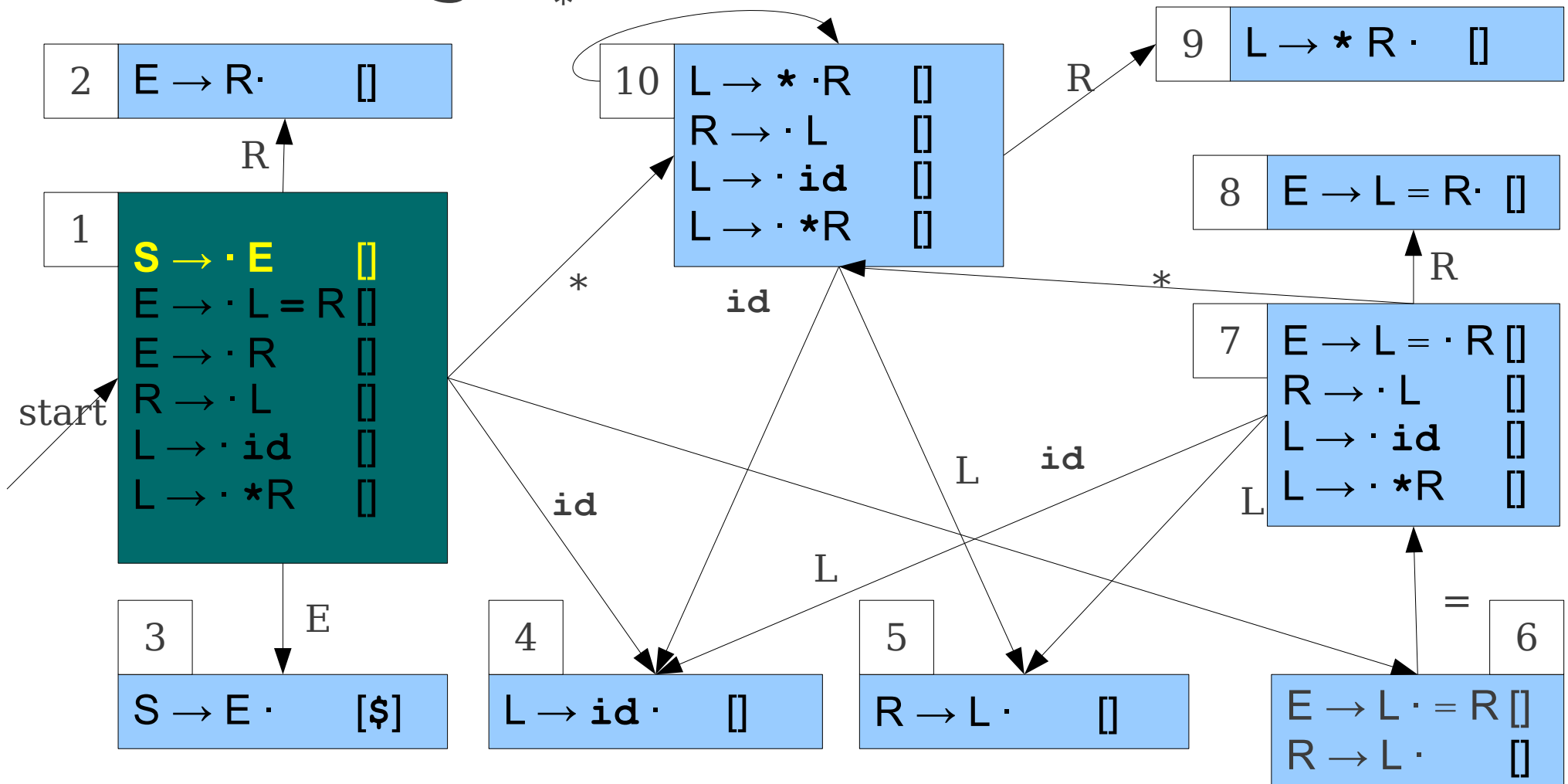
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
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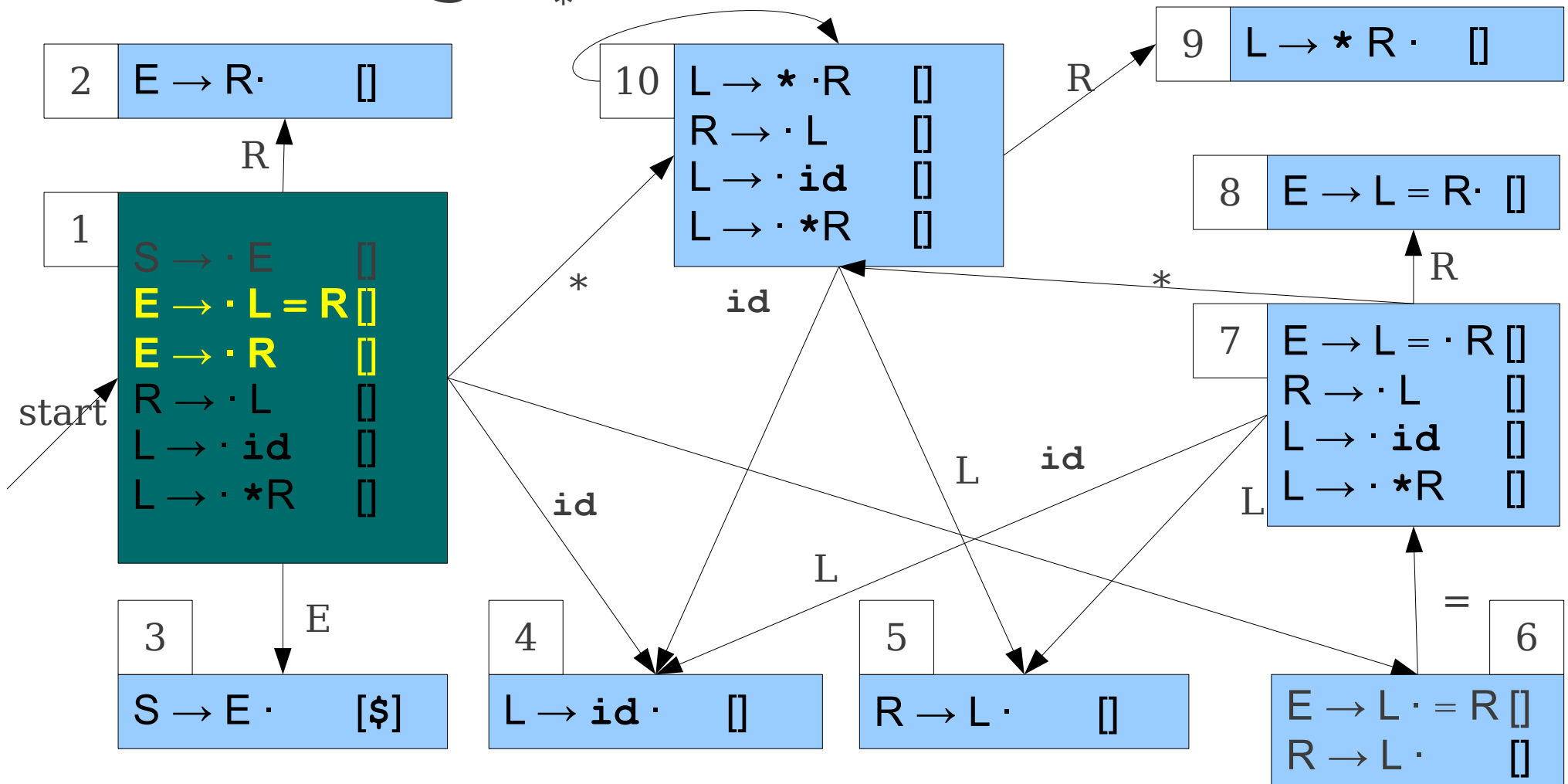
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
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# Using Our FOLLOW Sets



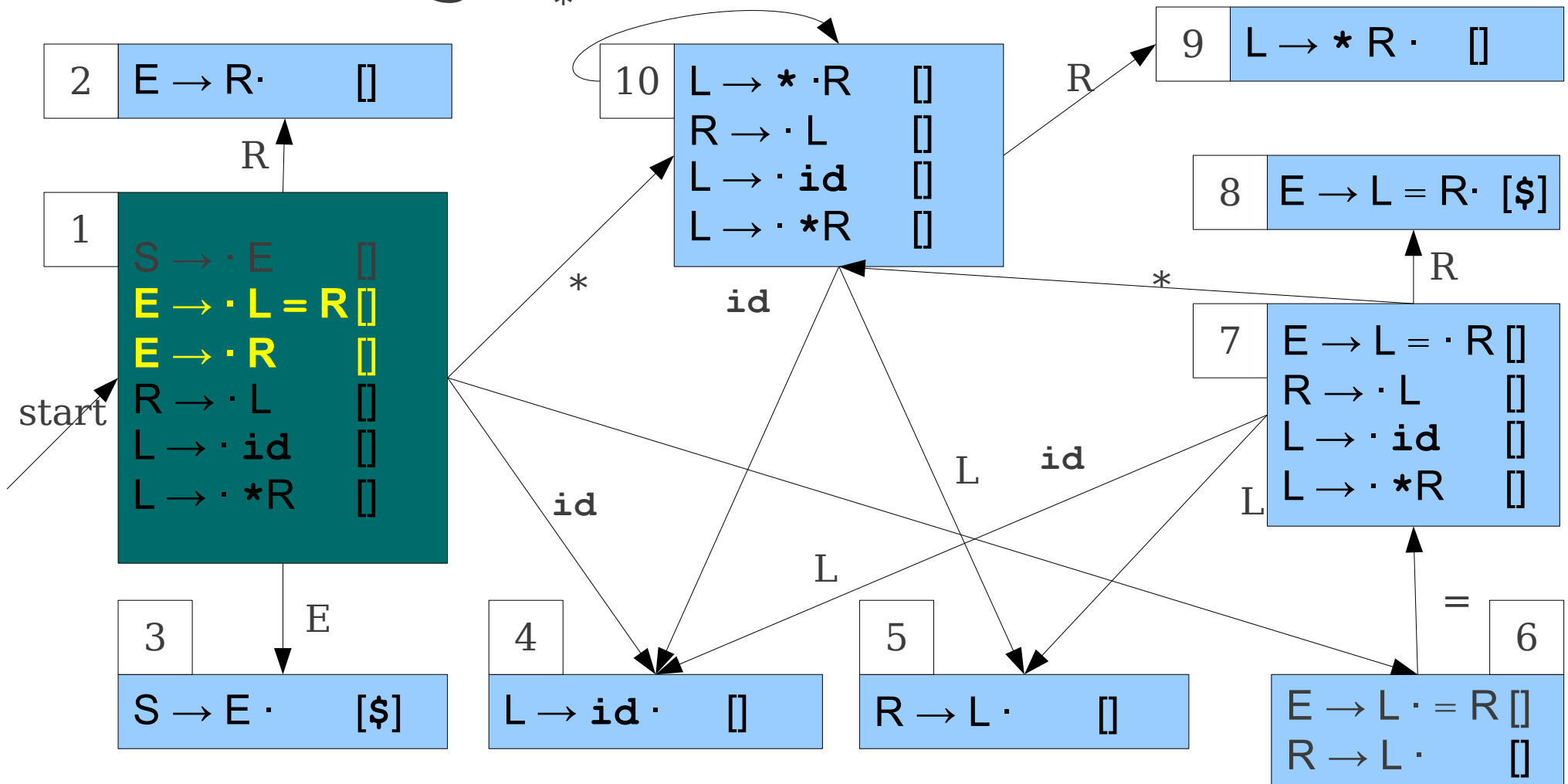
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
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# Using Our FOLLOW Sets



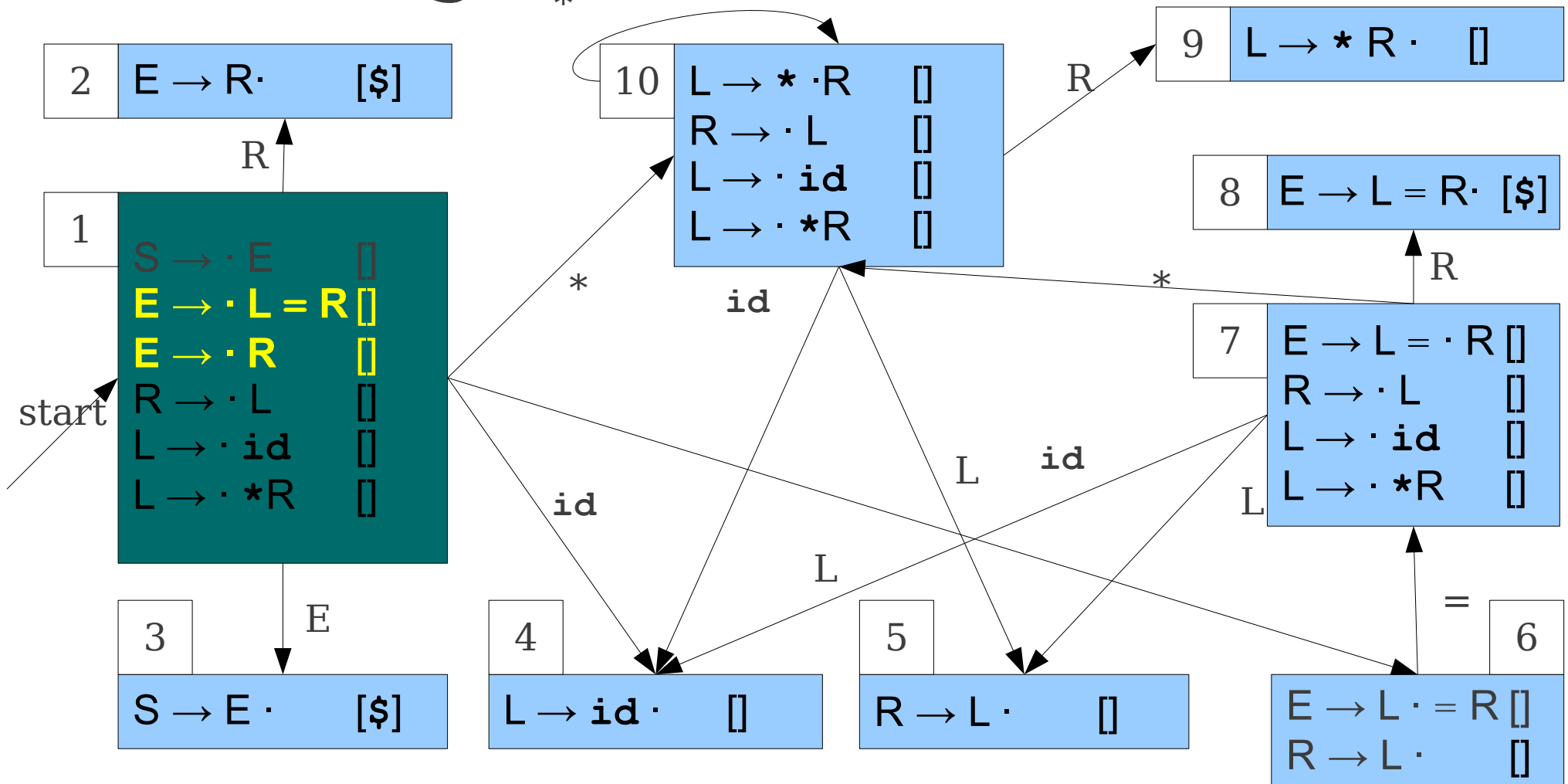
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# Using Our FOLLOW Sets



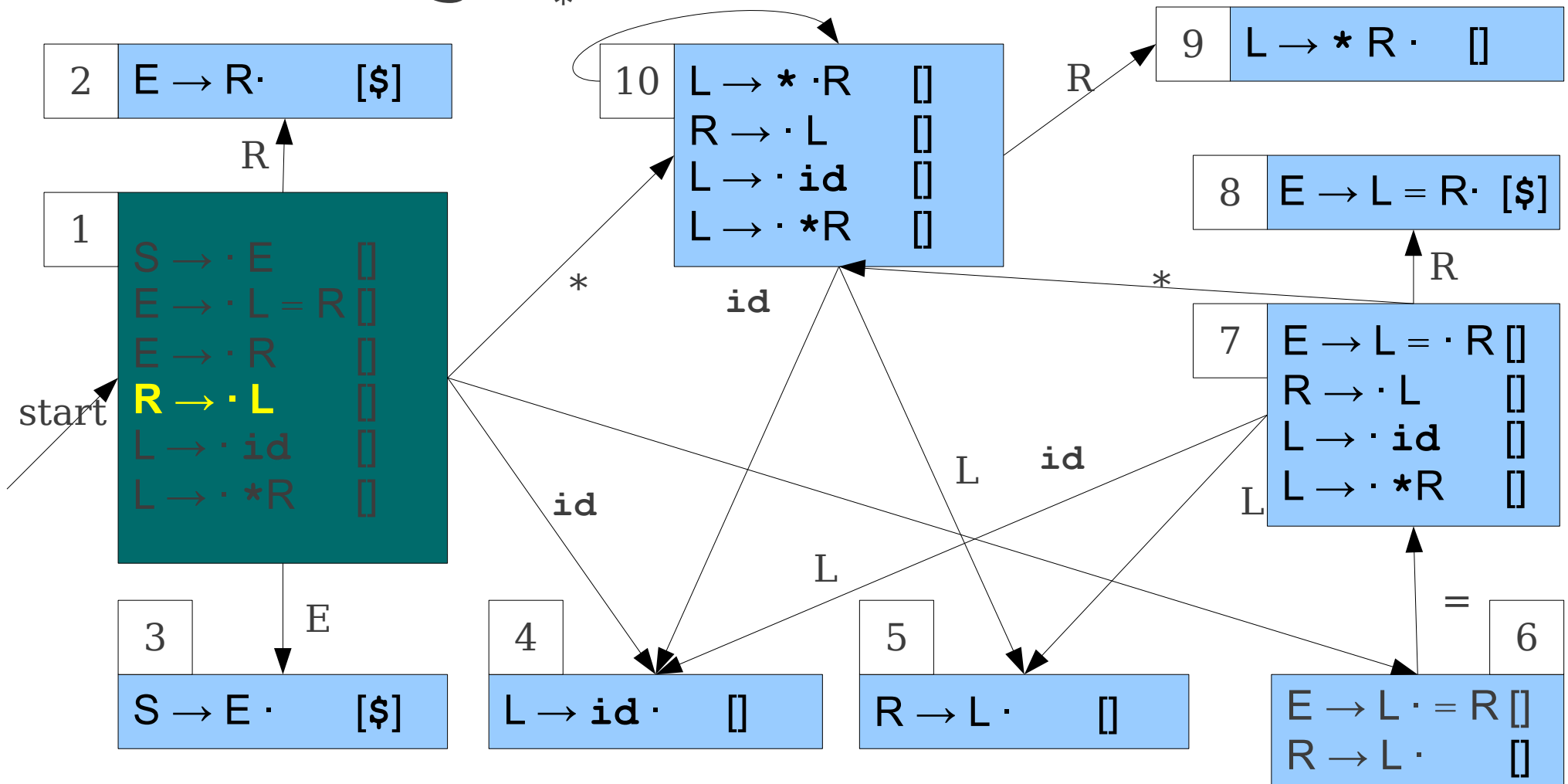
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
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# Using Our FOLLOW Sets



$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
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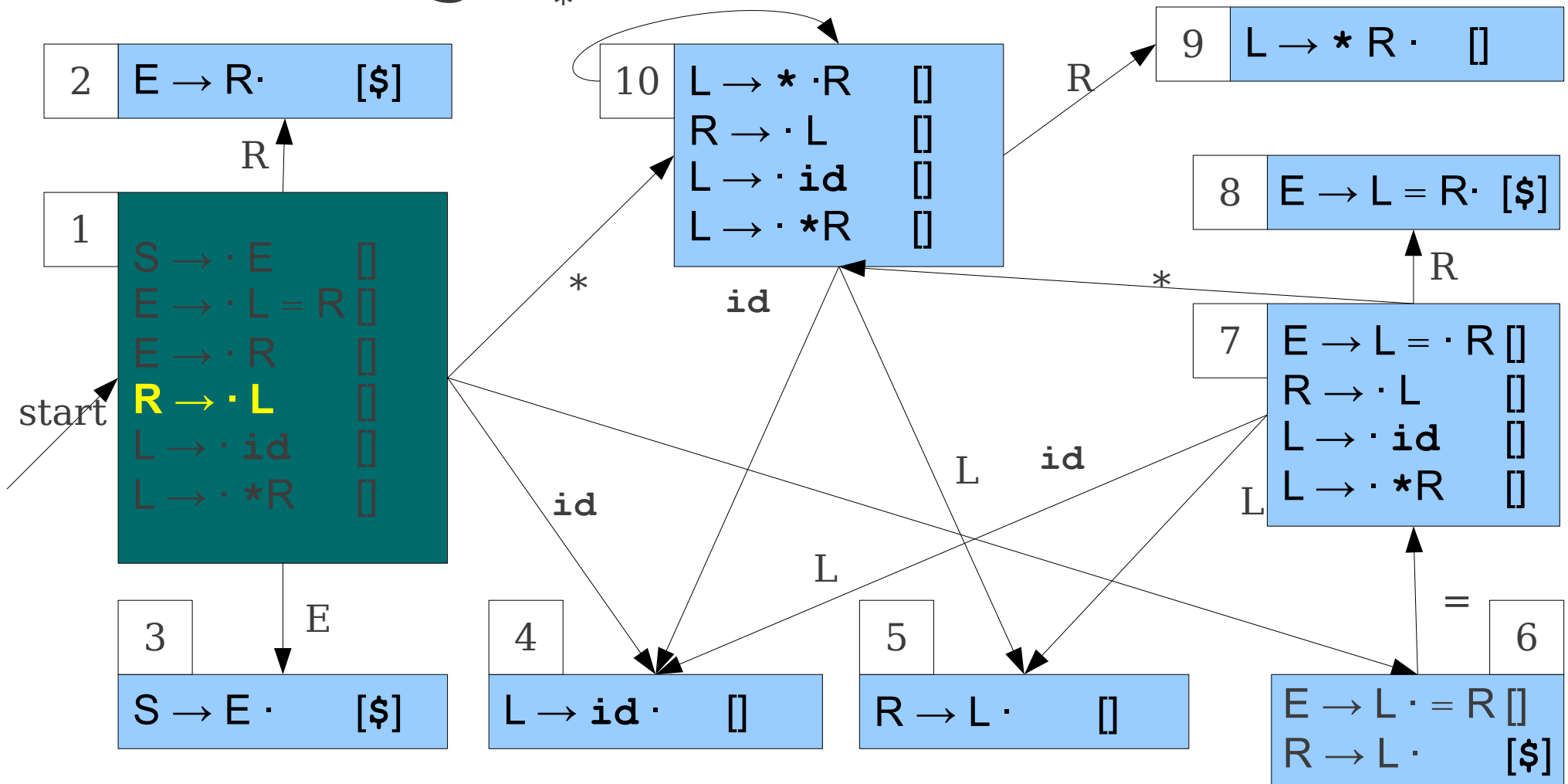
# Using Our FOLLOW Sets



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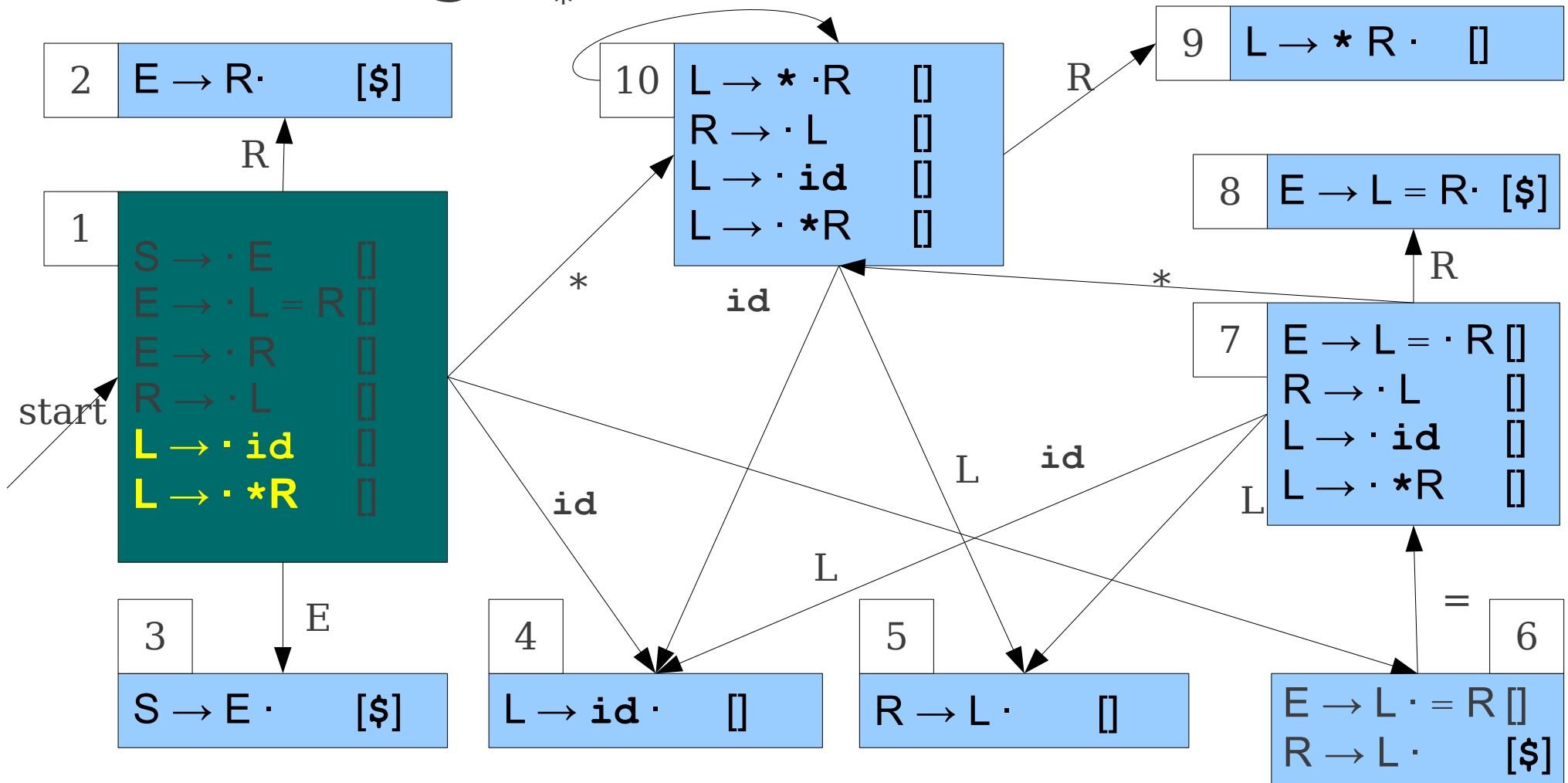


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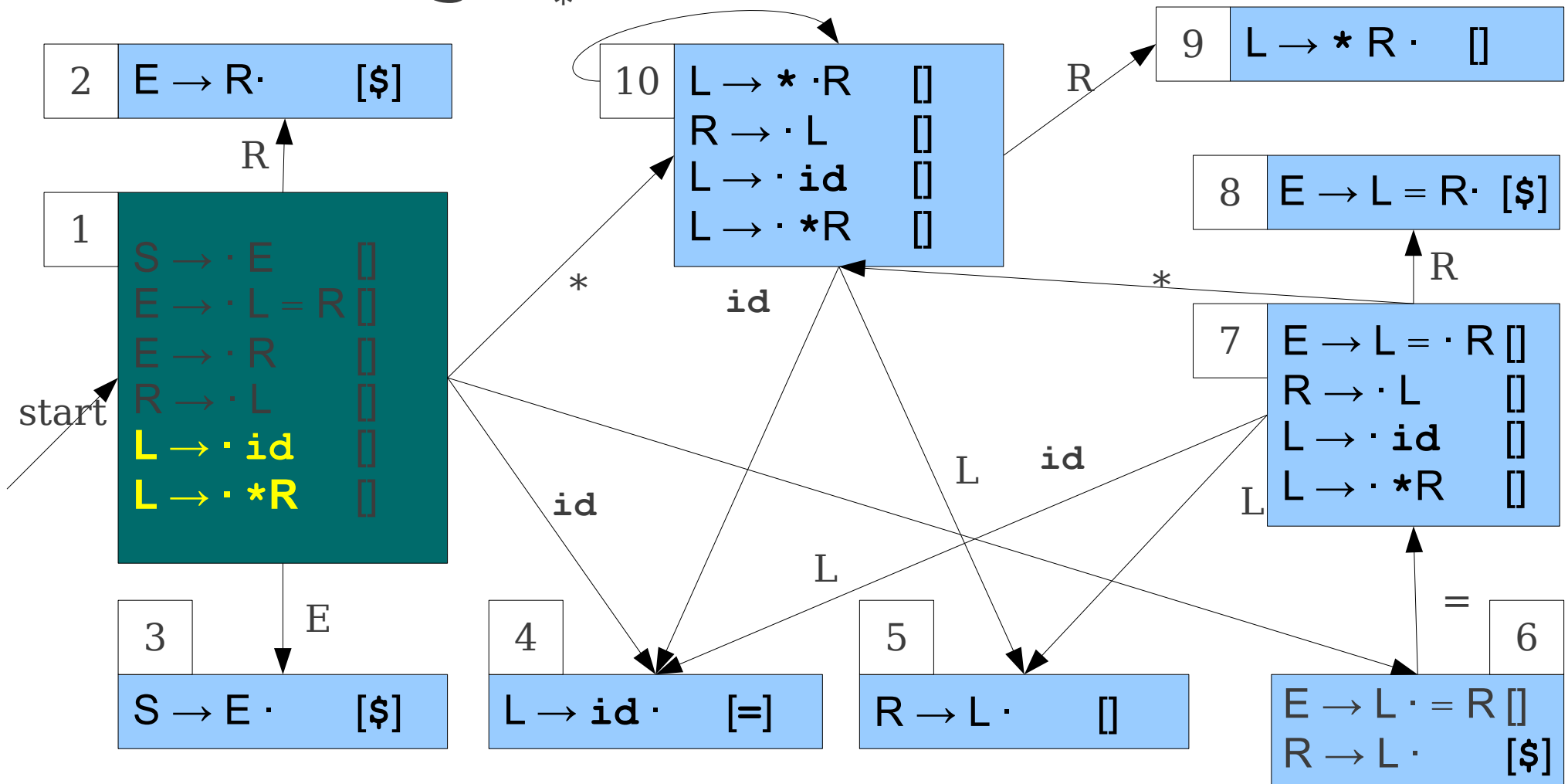
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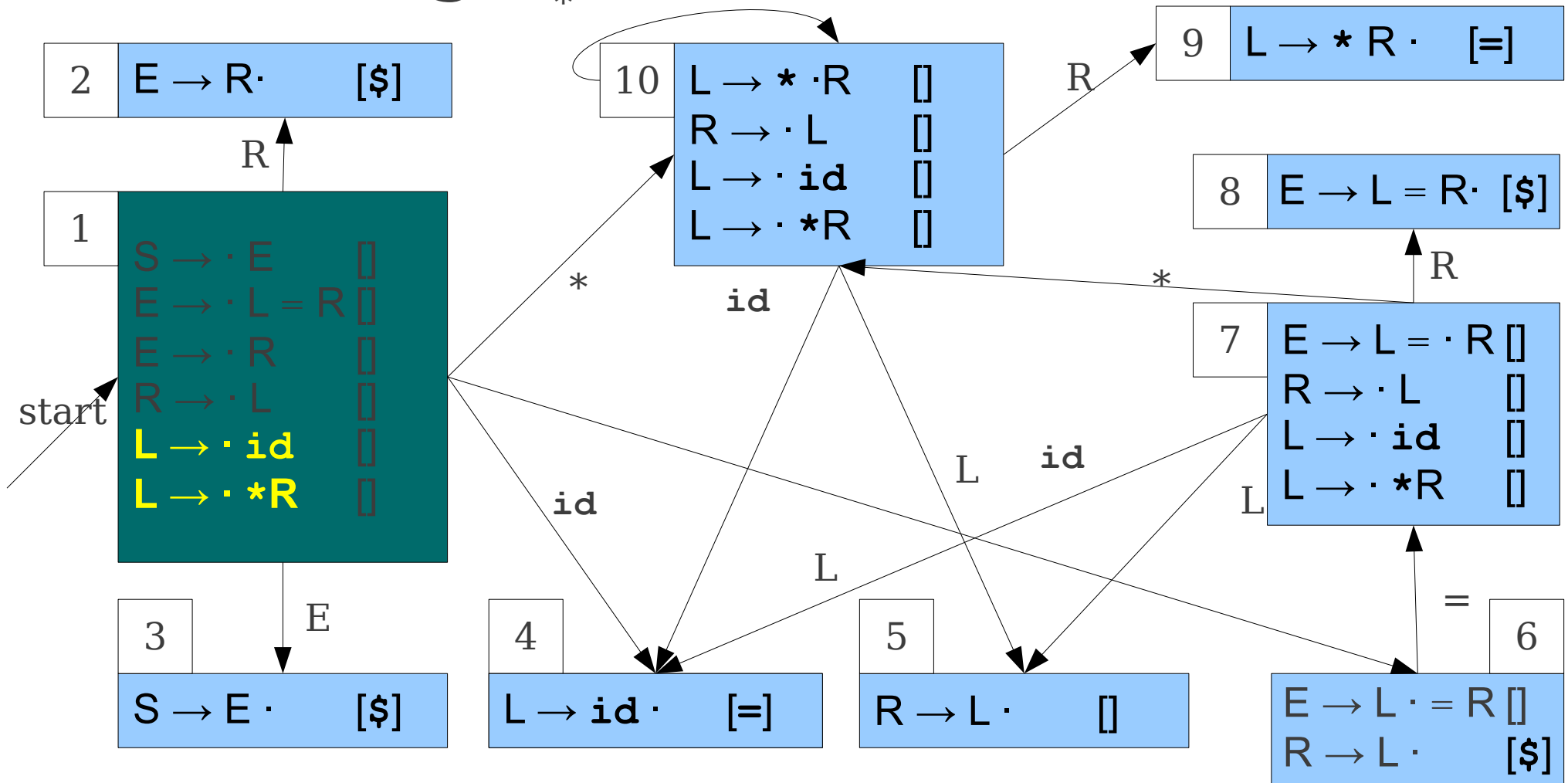
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\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



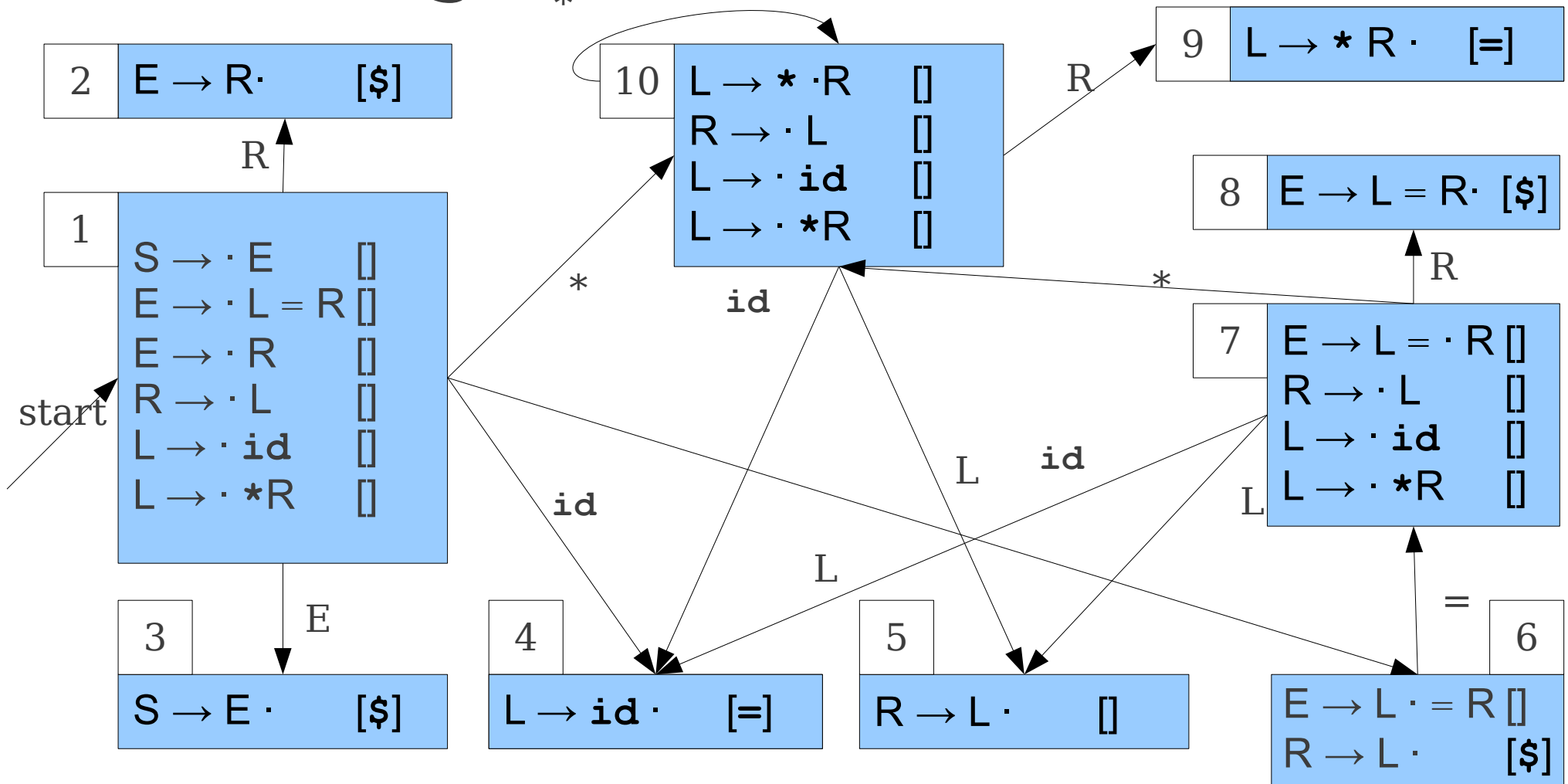
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



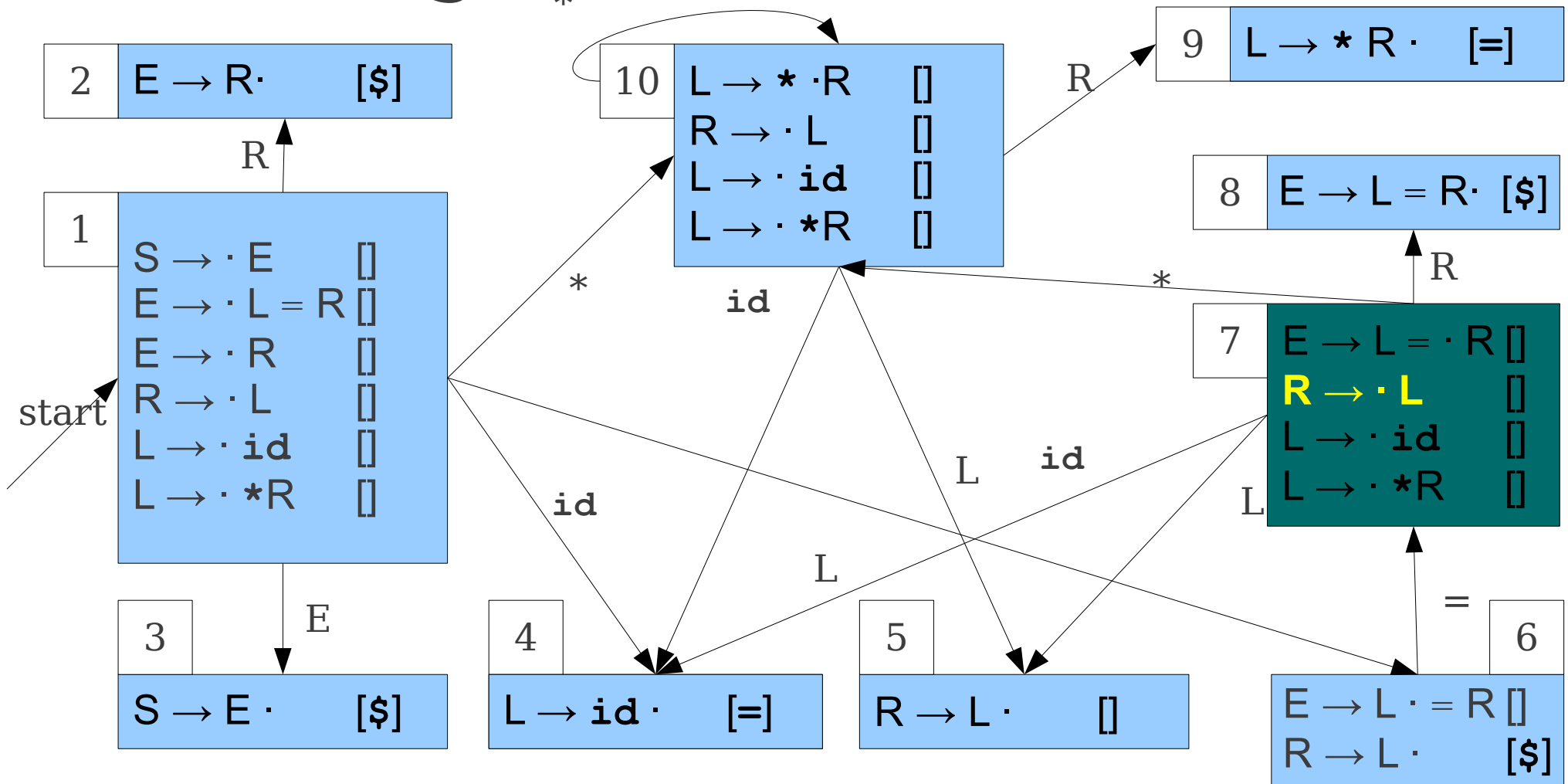
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

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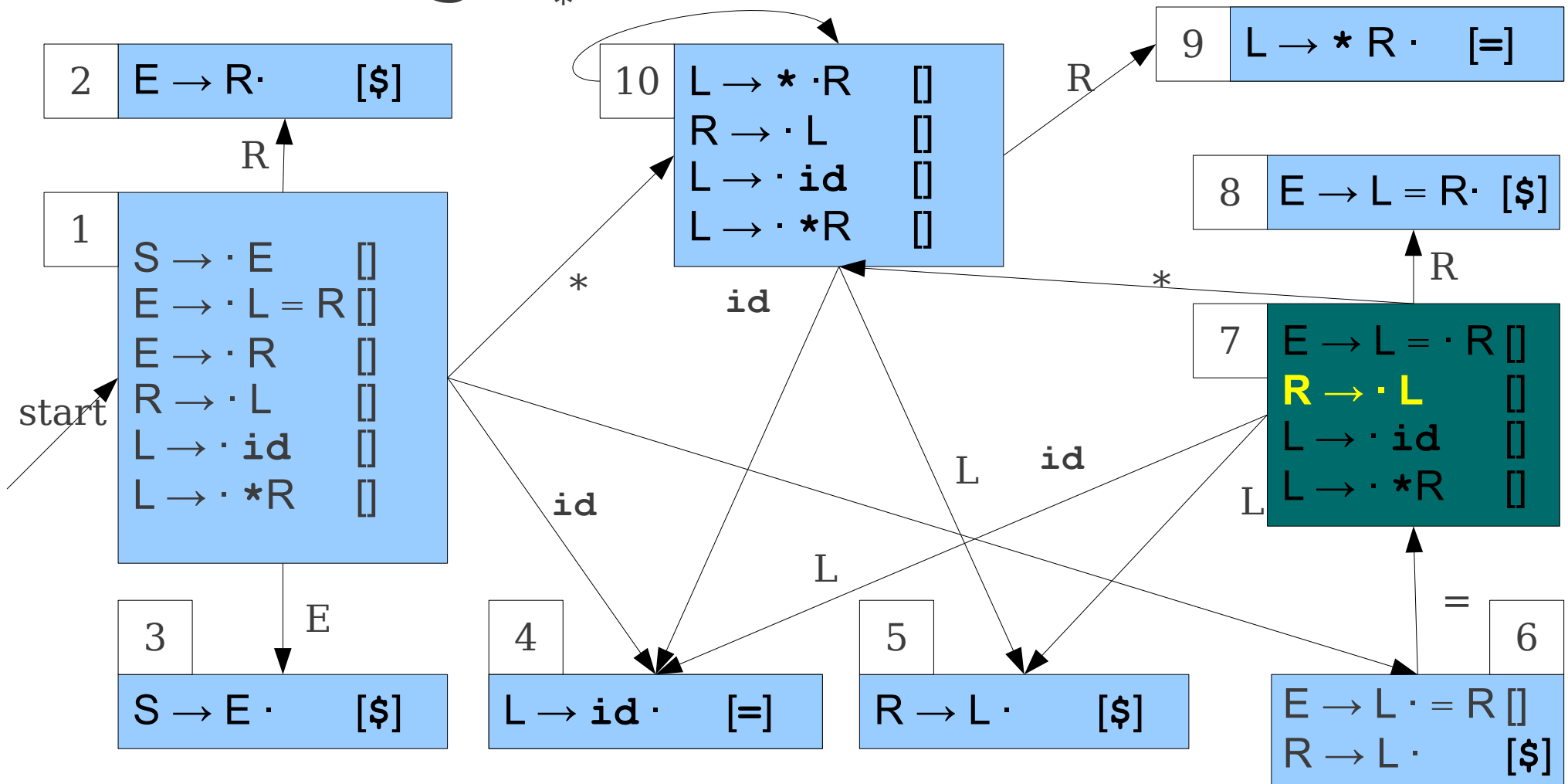
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



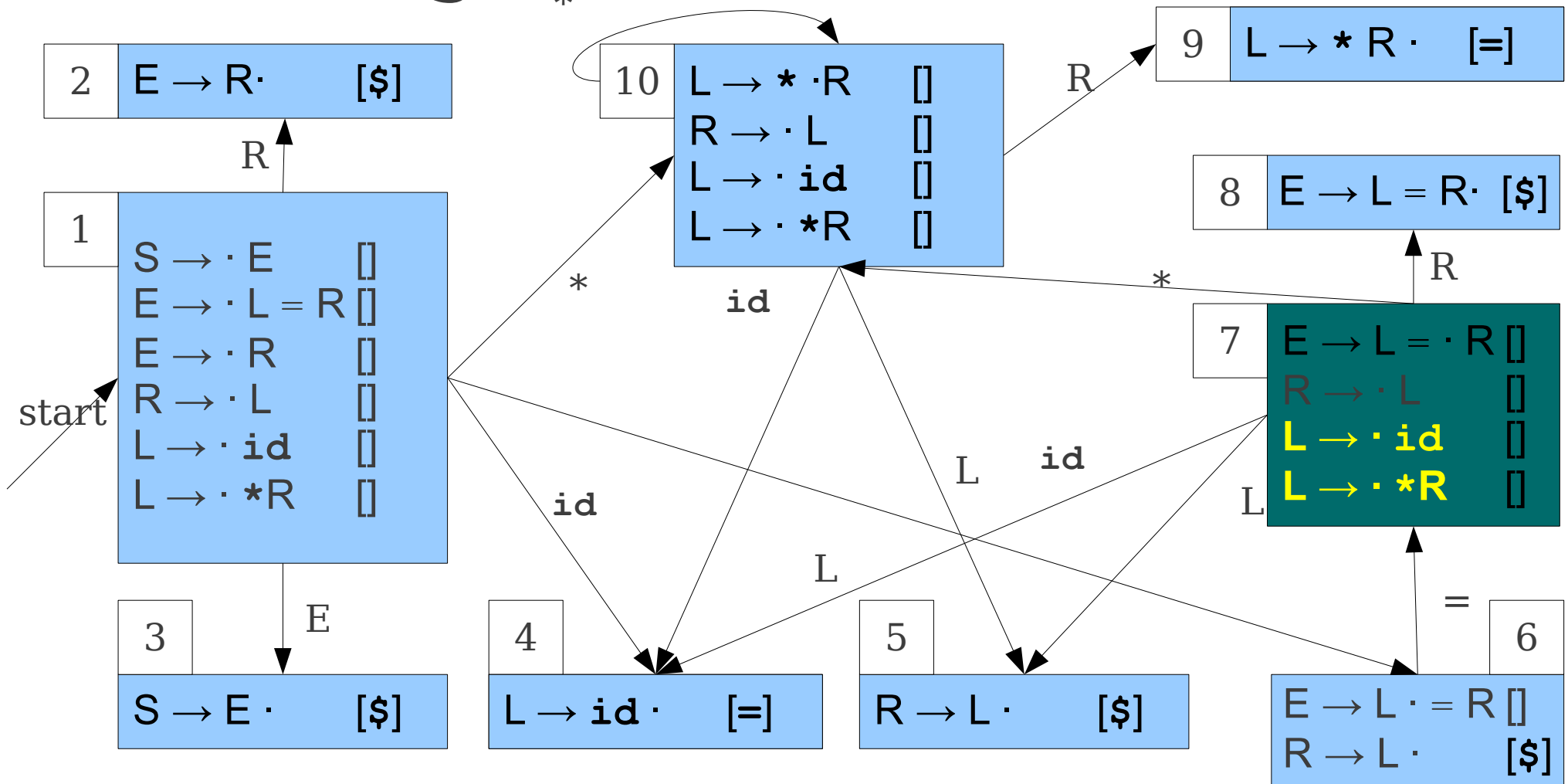
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

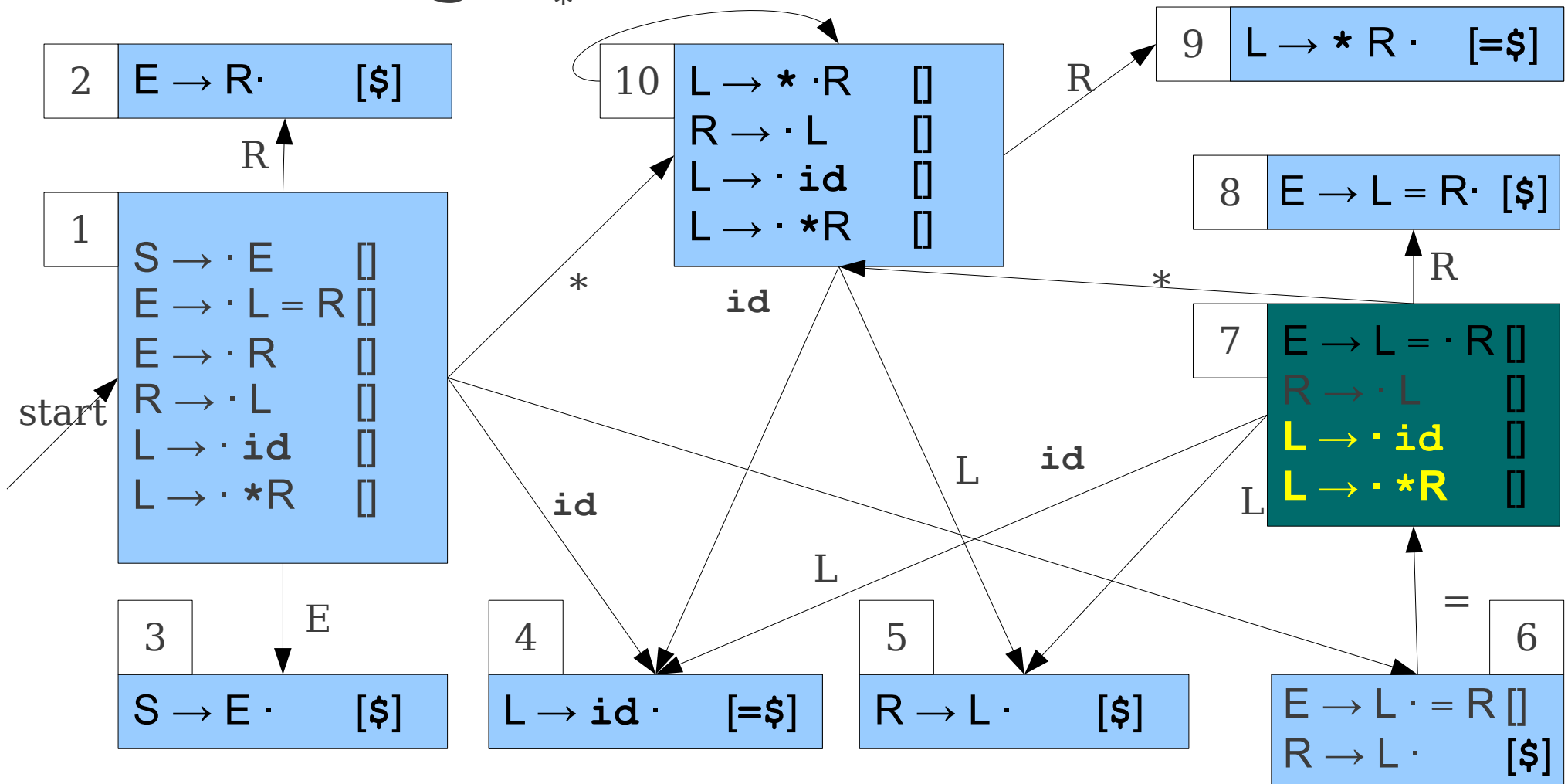
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$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

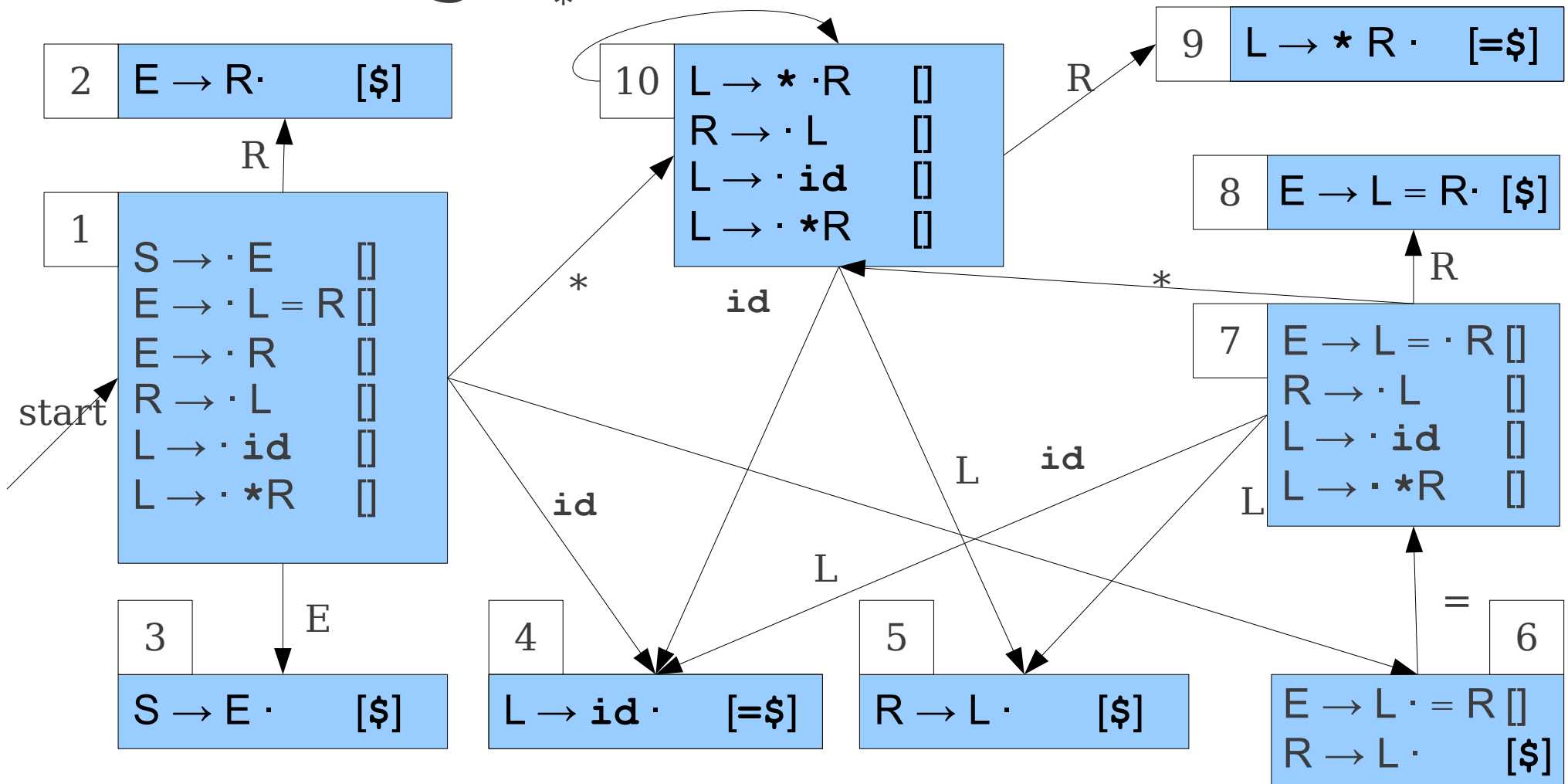


# Using Our FOLLOW Sets



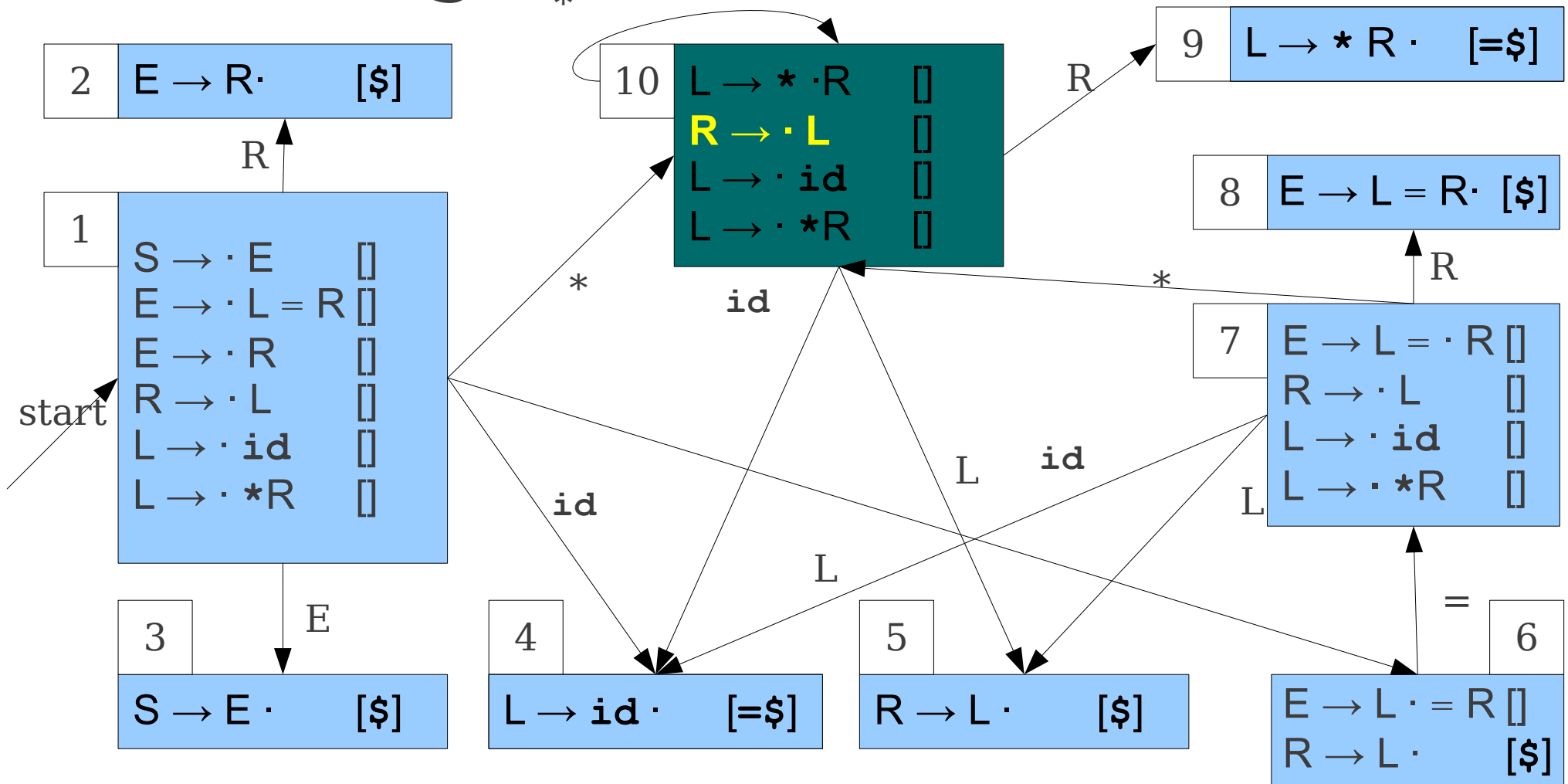
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



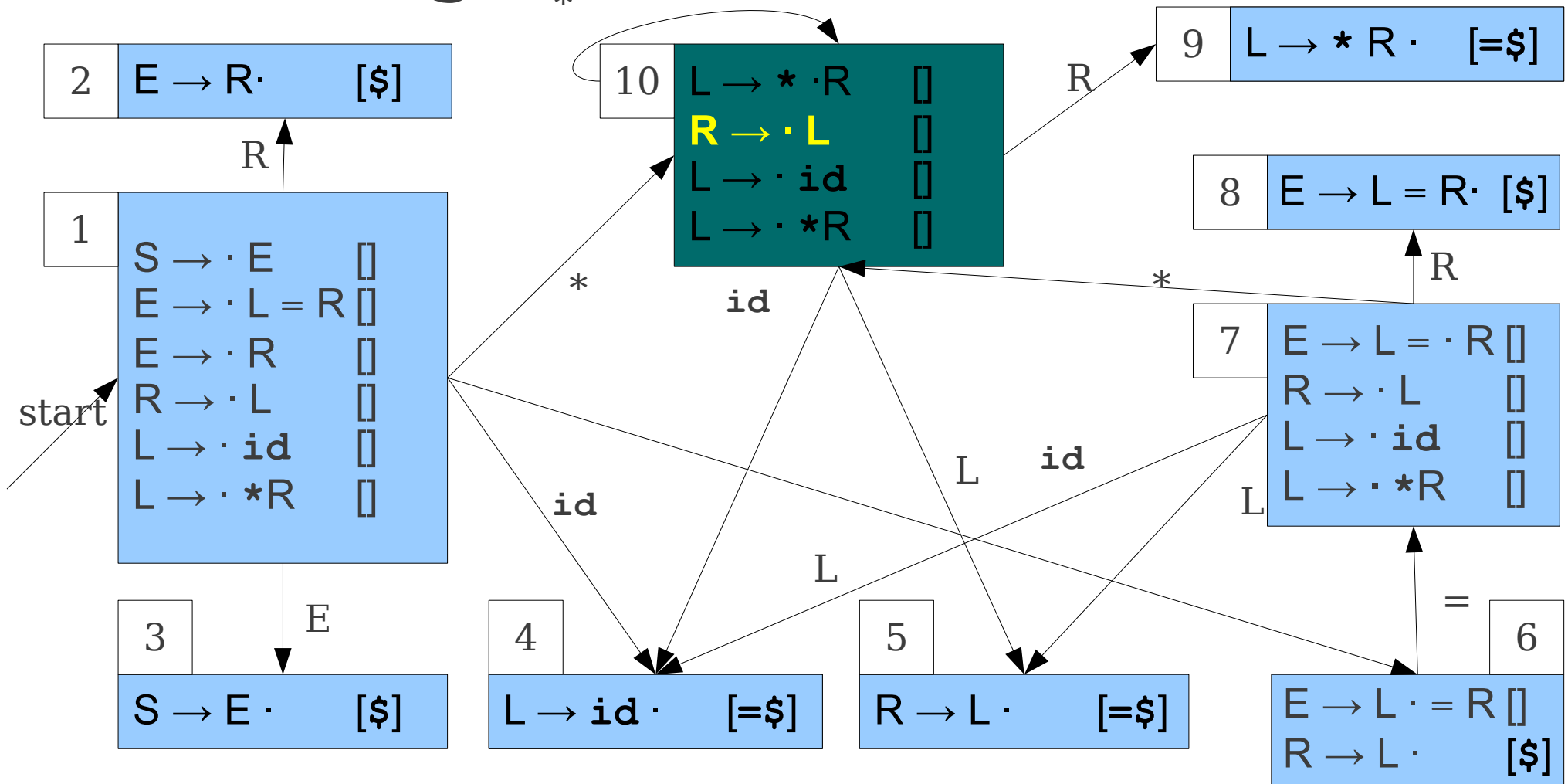
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



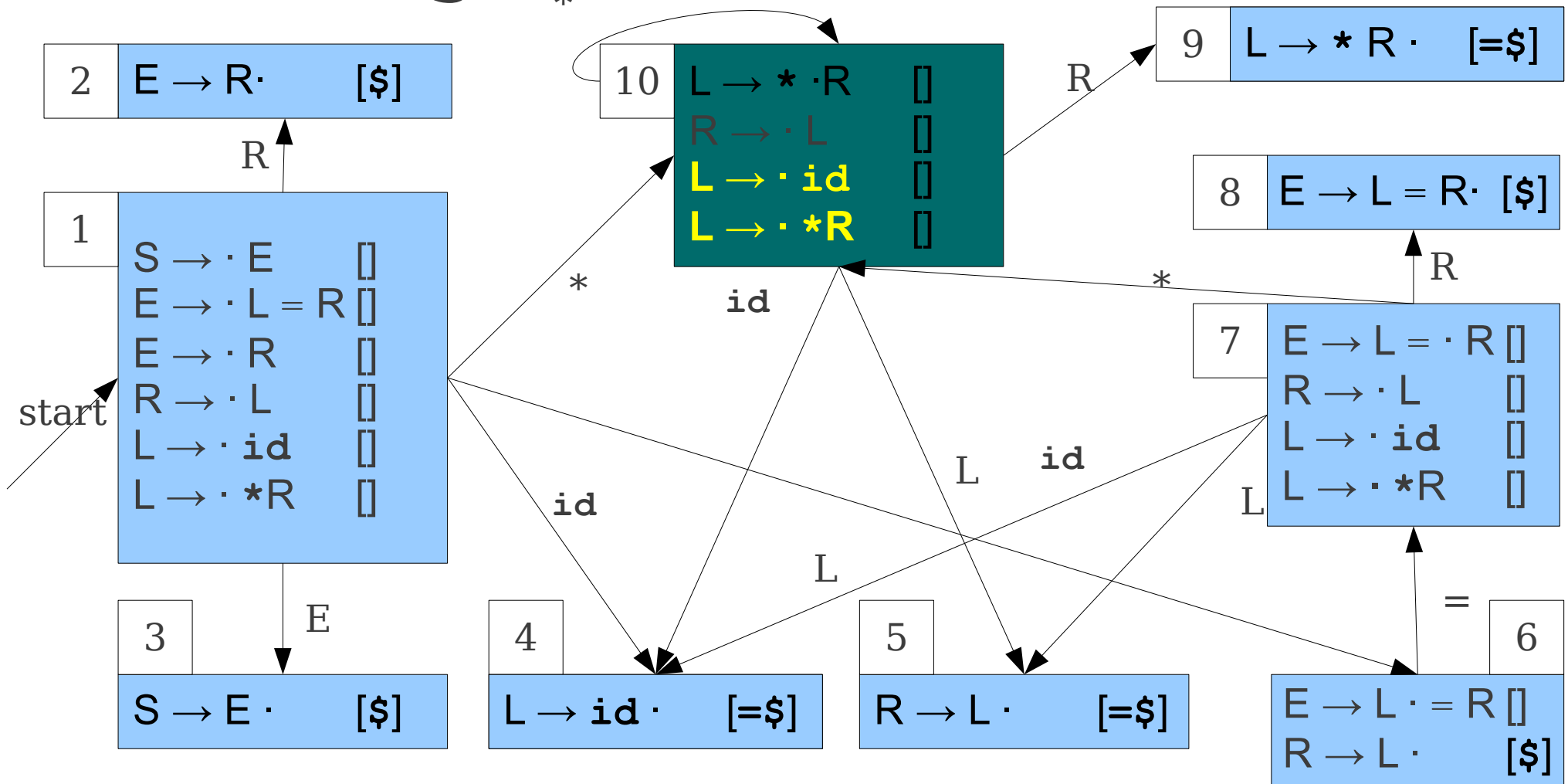
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



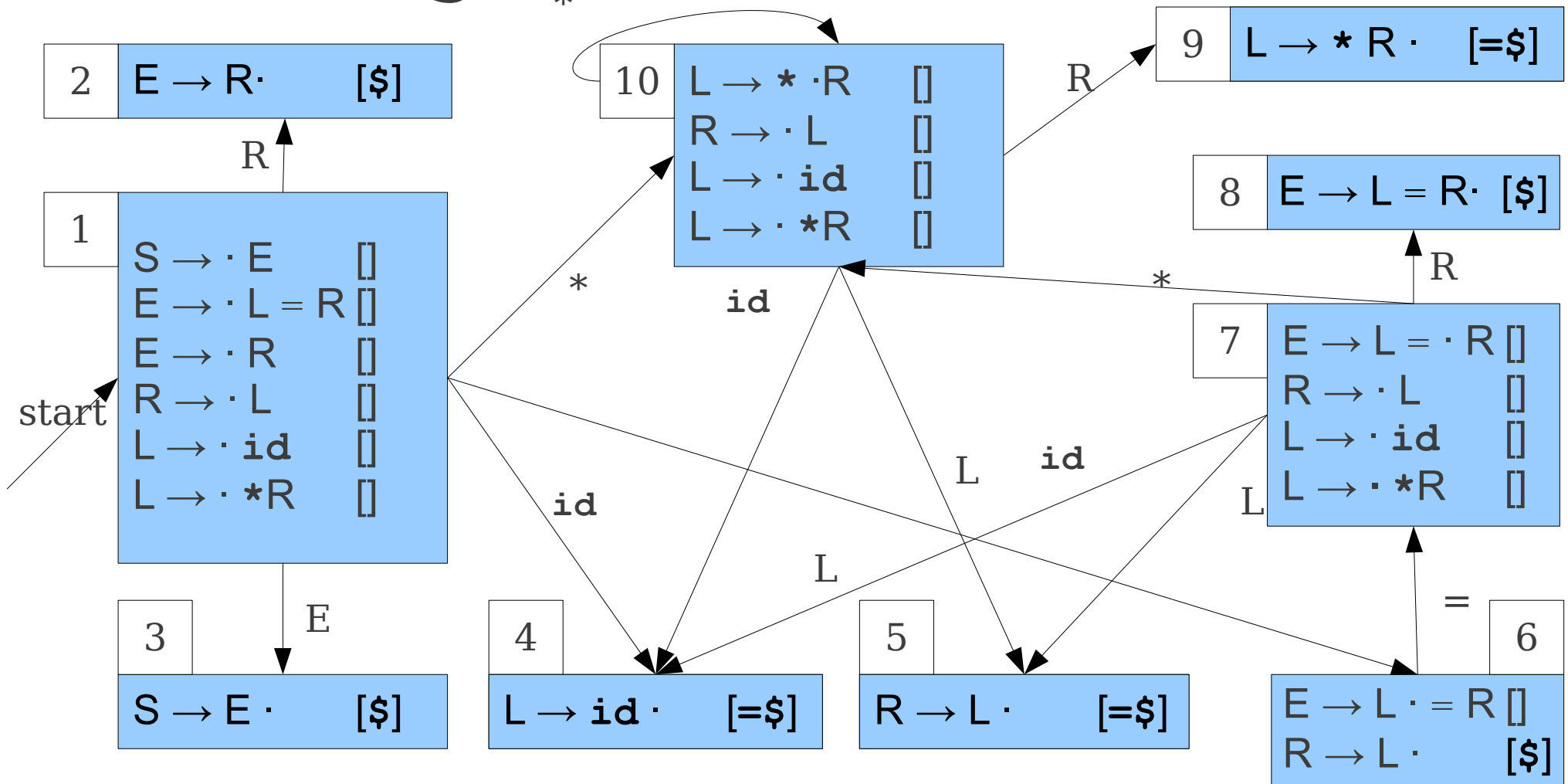
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Propagating Changes

- For each item  $\mathbf{A} \rightarrow \cdot \omega$  in a state  $q$ :
  - Let  $\mathbf{A}_{q \rightarrow r}$  be the nonterminal corresponding to  $\mathbf{A}$  following the transition out of  $q$  into some state  $r$ .
  - Trace through the automaton along the path labeled by  $\omega$ . This will lead to a state containing an item  $\mathbf{A} \rightarrow \omega \cdot$ .
  - Add to the lookahead of  $\mathbf{A} \rightarrow \omega \cdot$  the contents of  $\text{FOLLOW}(\mathbf{A}_{q \rightarrow r})$

# LALR(1)-by-SLR(1)

- Fast and simple construction of LALR(1) lookaheads:
- Construct the LR(0) automaton for the grammar.
  - Construct the augmented grammar by replacing nonterminals with new nonterminals based on the LR(0) transitions.
  - Compute the FOLLOW sets for these nonterminals.
  - Propagate changes through the LR(0) automaton.
- **Theorem** (*Bermudez and Logothetis*): This correctly computes LALR(1) lookaheads.



# Summary of LALR(1)

- Along with  $LL(k)$ , one of the most popular parsing algorithms in use today.
- Produced by the **bison** parser generator; rarely generated by hand.
- Can handle most, but not all, LR(1) languages.

# Practical Concerns


# Where Theory Meets Practice

- We've just covered six powerful parsing algorithms:
  - Leftmost DFS
  - LL(1)
  - LR(0)
  - SLR(1)
  - LALR(1)
  - LR(1)
- How do we make them work in practice?

# Two Practical Concerns

- **Ambiguity**

- Real grammars are often ambiguous.
- Programmers are *terrible* at eliminating it.
- How do you build a parser to try to combat it?



作战, 战斗

- **Error-handling**

- How do you report errors intelligently?
- How do you continue parsing after an error?

# Ambiguity and Predictive Parsing

- The predictive parsers we have seen so far (LL(1), LR(0), SLR(1), LALR(1), LR(1)) only work on unambiguous grammars.
  - Intuitively: if grammar is ambiguous, cannot uniquely guess which production/reduction to use.
  - Formally proving this is somewhat involved.
- Most grammars for programming languages, unless cleverly written, are ambiguous.
- How can we handle this?

# Parsing Ambiguous Grammars

- Consider this simple grammar for arithmetic expressions:

$S \rightarrow E$

$E \rightarrow E + E$

$E \rightarrow E * E$

$E \rightarrow \text{int}$

$E \rightarrow (E)$

- This grammar is ambiguous.
  - e.g. Two trees for  $\text{int} + \text{int} * \text{int}$
- What happens if we try parsing it?

# SLR(1) Parsing with Ambiguity

1
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

1.  $S \rightarrow E$
2.  $E \rightarrow E + E$
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5.  $E \rightarrow \text{int}$

$\text{FOLLOW}(S) = \{ \$ \}$

$\text{FOLLOW}(E) = \{ +, *, ), \$ \}$

2
$S \rightarrow E \cdot$
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$E \rightarrow (\cdot E)$
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$E \rightarrow \cdot (E)$

8
$E \rightarrow (E \cdot)$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

9
$E \rightarrow (E) \cdot$
10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1							
2							
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10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1							
2							
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	int	+	*	(	)	\$	E
1	s10						
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3							
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10							

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$E \rightarrow (E) \cdot$
10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1	s10			s7			
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	int	+	*	(	)	\$	E
1	s10			s7			s2
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	int	+	*	(	)	\$	E
1	s10			s7			s2
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3					
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4				
3							
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3							
4							
5							
6							
7							
8							
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10							

# SLR(1) Parsing with Ambiguity

1
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

1.  $S \rightarrow E$
2.  $E \rightarrow E + E$
3.  $E \rightarrow E * E$
4.  $E \rightarrow (E)$
5.  $E \rightarrow \text{int}$

$\text{FOLLOW}(S) = \{ \$ \}$

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2
$S \rightarrow E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

3
$E \rightarrow E + \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

4
$E \rightarrow E * \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

5
$E \rightarrow E + E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

6
$E \rightarrow E * E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

7
$E \rightarrow (\cdot E)$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

8
$E \rightarrow (E \cdot)$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

9
$E \rightarrow (E) \cdot$
10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3							
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10						
4							
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1	s10			s7			s2
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1	s10			s7			s2
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3	s10			s7			s5
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$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

8
$E \rightarrow (E \cdot)$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

9
$E \rightarrow (E) \cdot$
10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2				
6							
7							
8							
9							
10							

# SLR(1) Parsing with Ambiguity

1
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

1.  $S \rightarrow E$
2.  $E \rightarrow E + E$
3.  $E \rightarrow E * E$
4.  $E \rightarrow (E)$
5.  $E \rightarrow \text{int}$

$\text{FOLLOW}(S) = \{ \$ \}$

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2
$S \rightarrow E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

3
$E \rightarrow E + \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

4
$E \rightarrow E * \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

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$E \rightarrow E \cdot + E$
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2		
6							
7							
8							
9							
10							

# SLR(1) Parsing with Ambiguity

1
$S \rightarrow \cdot E$
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6							
7							
8							
9							
10							

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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6							
7							
8							
9							
10							

# SLR(1) Parsing with Ambiguity

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$S \rightarrow \cdot E$
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1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3					
7							
8							
9							
10							



# SLR(1) Parsing with Ambiguity

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$S \rightarrow \cdot E$
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1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3	s4				
7							
8							
9							
10							

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2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4				
7							
8							
9							
10							

# SLR(1) Parsing with Ambiguity

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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3				
7							
8							
9							
10							

# SLR(1) Parsing with Ambiguity

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$S \rightarrow \cdot E$
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3		
7							
8							
9							
10							

# SLR(1) Parsing with Ambiguity

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$S \rightarrow \cdot E$
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$E \rightarrow (E) \cdot$
10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7							
8							
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
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7							
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10						
8							
9							
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			
8							
9							
10							



# SLR(1) Parsing with Ambiguity

1
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

1.  $S \rightarrow E$
2.  $E \rightarrow E + E$
3.  $E \rightarrow E * E$
4.  $E \rightarrow (E)$
5.  $E \rightarrow \text{int}$

$\text{FOLLOW}(S) = \{ \$ \}$

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2
$S \rightarrow E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

3
$E \rightarrow E + \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

4
$E \rightarrow E * \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

5
$E \rightarrow E + E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

6
$E \rightarrow E * E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

7
$E \rightarrow (\cdot E)$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

8
$E \rightarrow (E \cdot)$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

9
$E \rightarrow (E) \cdot$
10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8							
9							
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4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8							
9							
10							

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4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3					
9							
10							

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6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3	s4				
9							
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6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3	s4		s9		
9							
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4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3	s4		s9		
9							
10							

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4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3	s4		s9		
9		r4					
10							

# SLR(1) Parsing with Ambiguity

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$S \rightarrow \cdot E$
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4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3	s4		s9		
9		r4	r4				
10							



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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
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9		r4	r4		r4	r4	
10							

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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3	s4		s9		
9		r4	r4		r4	r4	
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# Resolving Ambiguity

- Although the grammar is ambiguous, there is clearly one intended parse tree because of operator precedence.
- How can we use this precedence information to avoid LR conflicts?

# Precedence Declarations

- Tell the parser generator about the *associativity* and *precedence* of certain rules.
- Productions can be left-associative, right-associative, or nonassociative.
- Productions can have their priorities ranked against one another.

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1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3	s4		s9		
9		r4	r4		r4	r4	
10		r5	r5		r5	r5	

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6		r3	s4 r3		r3	r3	
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6		r3	s4 r3		r3	r3	
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# Resolving Conflicts with Precedence

- When choosing whether to reduce a rule containing  $\mathbf{t}$  or shift the terminal  $\mathbf{r}$ :
  - If  $\mathbf{t}$  has higher priority, **reduce**.
  - If  $\mathbf{r}$  has higher priority, **shift**.
  - If  $\mathbf{t}$  and  $\mathbf{r}$  have the same priority:
    - If  $\mathbf{t}$  is left-associative, **reduce**.
    - If  $\mathbf{t}$  is right-associative, **shift**.
    - If  $\mathbf{t}$  is non-associative, **error**.

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# Error Handling

- What should the parser do when it encounters an error?
- Could just say “syntax error,” but we'd like more detailed messages.
- How do we resume parsing after an error?

# Error Productions

- One idea: add productions to the grammar that identify common mistakes.
- For example:

$E \rightarrow E + E$

$E \rightarrow E * E$

$E \rightarrow \text{int}$

$E \rightarrow (E)$

$E \rightarrow E E$  (*error production*)

$E \rightarrow E +$  (*error production*)

$E \rightarrow E *$  (*error production*)

# Analysis of Error Productions

- Useful for diagnosing common programmer mistakes.
  - For example, using **implements** instead of **extends** in Java.
- Increases risk of parsing problems.
  - More likelihood for ambiguity.
  - More likelihood grammar won't be accepted by parser generator (i.e. not LALR(1))
- Forces parser generator to anticipate errors.



# Panic Mode

- Idea: Augment grammar by adding rules for resuming parsing when Bad Things happen.

- Example:

$E \rightarrow E + E$

$E \rightarrow E * E$

$E \rightarrow (E)$

$E \rightarrow \text{int}$

$E \rightarrow \underline{\text{error}} \text{ int}$

$E \rightarrow (\underline{\text{error}})$

- Tokens after errors are called **synchronizing tokens**.
- Technique employed by **bison** and many other parser generators.

# Using Panic Mode

- When parser encounters an error in a configuring set, search for a production containing an error term.
  - Repeatedly shift tokens onto the stack until the synchronizing token is found.
  - Reduce using the error rule.
- Resume parsing as normal.

# Next Time

- **The Limits of Parsing:**
  - Parsing ambiguous grammars, take II.
  - Parsing arbitrary CFGs: The Earley Parser
  - Parsing with missing tokens: Intersection Parsing
  - A Most Excellent Parser: The Earley-on-Intersection Algorithm