CodelT

Lesson 5 Objectives:

To gain an understanding of:

- 1. What the substring search / match problem is
- 2. How famous algorithms like **Knuth Morris Pratt & Boyer-Moore** are used to solve this problem

Substring Search

Substring Search

Given a text and a substring, find all instances of the substring in the text



Substring Search

Given a **text** and a **substring**, find all instances of the substring in the text

Example

text: "BANANA"

substring: "ANA"

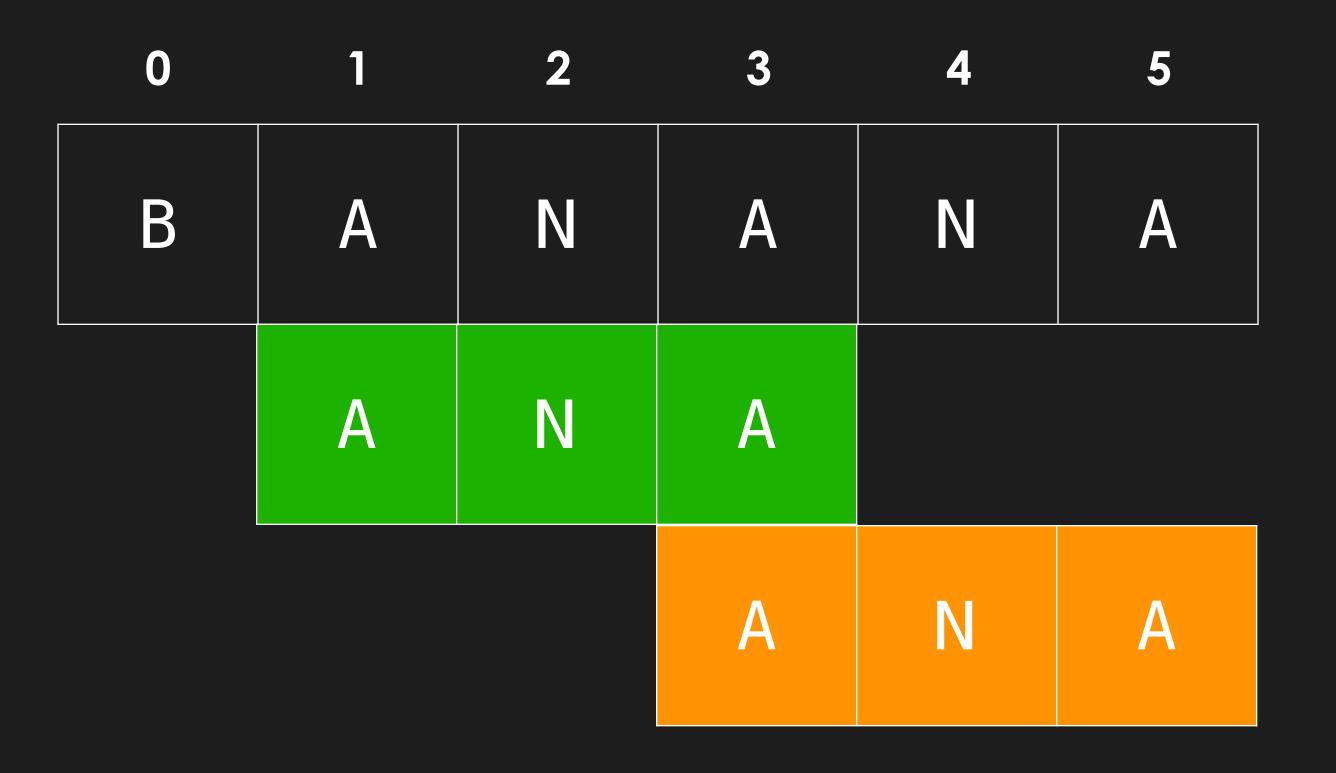


 0
 1
 2
 3
 4
 5

 B
 A
 N
 A
 N
 A

0	1	2	3	4	5
В	A	N	A	N	A
	A	N	A		

0	1	2	3	4	5
В	A	N	A	N	A
	A	N	A		
			A	N	A



Result: Index 1 & 3

Among the many usages of substring search (Text Editor Search, etc.), gene sequence pattern finding is a good example to illustrate the usefulness of such an algorithm



Imagine if we had a **gene sequence** and we wanted to find all instances of a particular **pattern of chromosomes** in that sequence:

sequence: "TTTGTTTAGGGTACCATCAGGA"

substring: "GTAC"



Imagine if we had a **gene sequence** and we wanted to find all instances of a particular **pattern of chromosomes** in that sequence:

sequence: "TTTGTTTAGGGTACCATCAGGA"

substring: "GTAC"

It is important to have not just an algorithm which solves this problem, but an efficient one, because gene sequences can be extremely long!



Algorithm 0: Brute Force Approach

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Imagine we have a text with N chars and a substring with K chars



- 1. Iterate through each character of the text
- 2. For each character, check if: text[i : i + k] == substring



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- 2. For each character, check if: text[i : i + k] == substring

substring: "ADAG"

Α	D	C	Α	Α	D	Α	G	Ι	Е	Α	D	Α	F	Н

- 1. Iterate through each character of the text
- 2. For each character, check if: text[i : i + k] == substring

```
substring: "ADAG"
```

Ĭ

Α	D	C	Α	Α	D	Α	G	Ι	Ε	Α	D	Α	F	Н

- 1. Iterate through each character of the text
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```
substring: "ADAG"
```

ADCAADAGIEAADAFH

A D A G

- 1. Iterate through each character of the text
- 2. For each character, check if: text[i : i + k] == substring

```
i
ADCAAADAGI

ADCAAADAGI

AGIEADAFH
```

- 1. Iterate through each character of the text
- 2. For each character, check if: text[i : i + k] == substring

```
i
ADCAAADAGIE ADAGIE ADAFH
```

- 1. Iterate through each character of the text
- 2. For each character, check if: text[i : i + k] == substring

```
substring: "ADAG"

i

A D C A A D A G I E A D A F H

A D A G
```

- 1. Iterate through each character of the text
- 2. For each character, check if: text[i : i + k] == substring

```
substring: "ADAG"

A D C A A D A G I E A D A F H

A D A G
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```
substring: "ADAG"

i

A D C A A D A G I E A D A F H

A D A G
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substring: "ADAG"

A D C A A D A G I E A D A F H

A D A G
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substring: "ADAG"

i



A D A G

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substring: "ADAG"

i

A D C A A D A G I E A D A F H

A D A G

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substring: "ADAG"

i

A D C A A D A G I E A D A F H

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A D C A A D A G I E A D A F H

A D A G
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substring: "ADAG"

i



A D A G

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- 2. For each character, check if: text[i : i + k] == substring

substring: "ADAG"

i

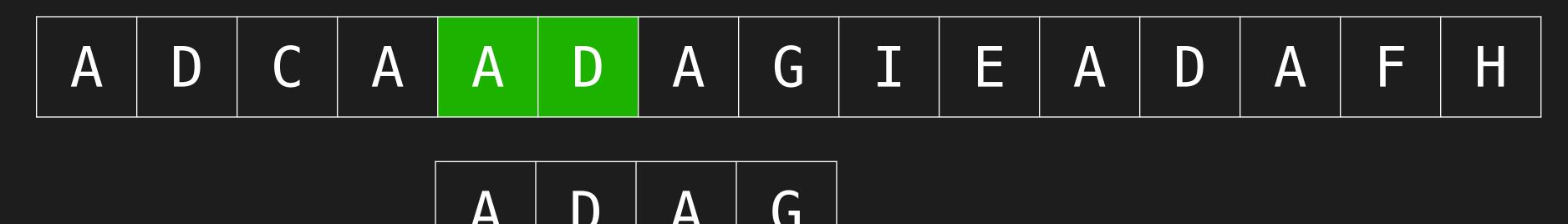


A D A G

- 1. Iterate through each character of the text
- 2. For each character, check if: text[i : i + k] == substring

substring: "ADAG"

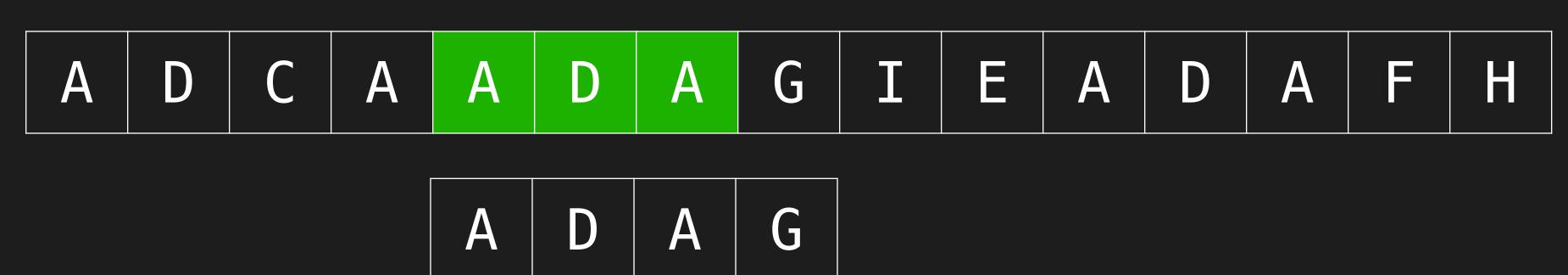
i



- 1. Iterate through each character of the text
- 2. For each character, check if: text[i : i + k] == substring

substring: "ADAG"

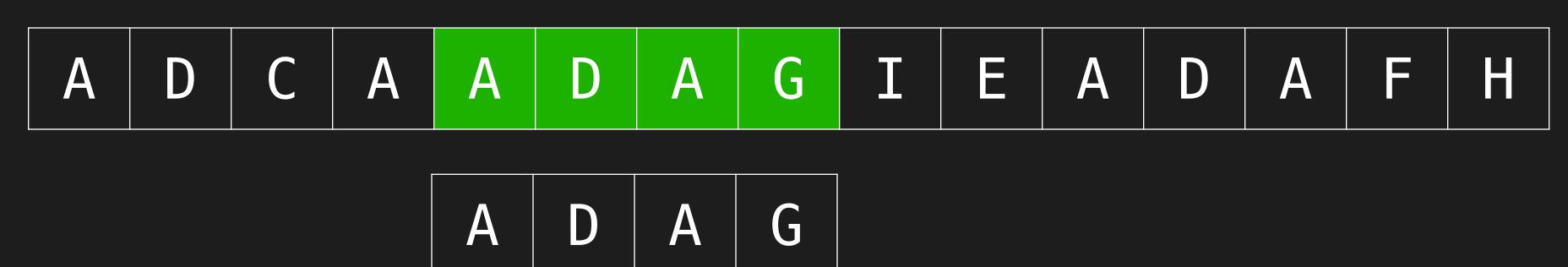
İ



- 1. Iterate through each character of the text
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substring: "ADAG"

İ



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- 2. For each character, check if: text[i : i + k] == substring

substring: "ADAG"

Ĭ



A D A G

substring found at i!



Implementation of Brute Force Approach

Brute Force

```
def BruteForceSubstringSearch(text, substring):
    N = len(text)
    M = len(substring)
    for i in range(N - M + 1):
        match = True
        for j in range(len(substring)):
            if text[i + j] != substring[j]:
                match = False
        if match:
            print("substring found at index {}".format(i))
```



Best case: Every letter in text is different from substring except last k letters



Best case: Every letter in text is different from substring except last k letters

substring: "AAAA"

В	В	В	В	В	В	В	В	В	В	В	Α	Α	Α	Α

Best case: Every letter in text is different from substring except last k letters

substring: "AAAA"

В	В	В	В	В	В	В	В	В	В	В	Α	Α	Α	Α
Α	Α	A	Α	Α	Α	Α	Α	A	Α	A	Α	Α	Α	Α

One pass through the text: O(N) time!

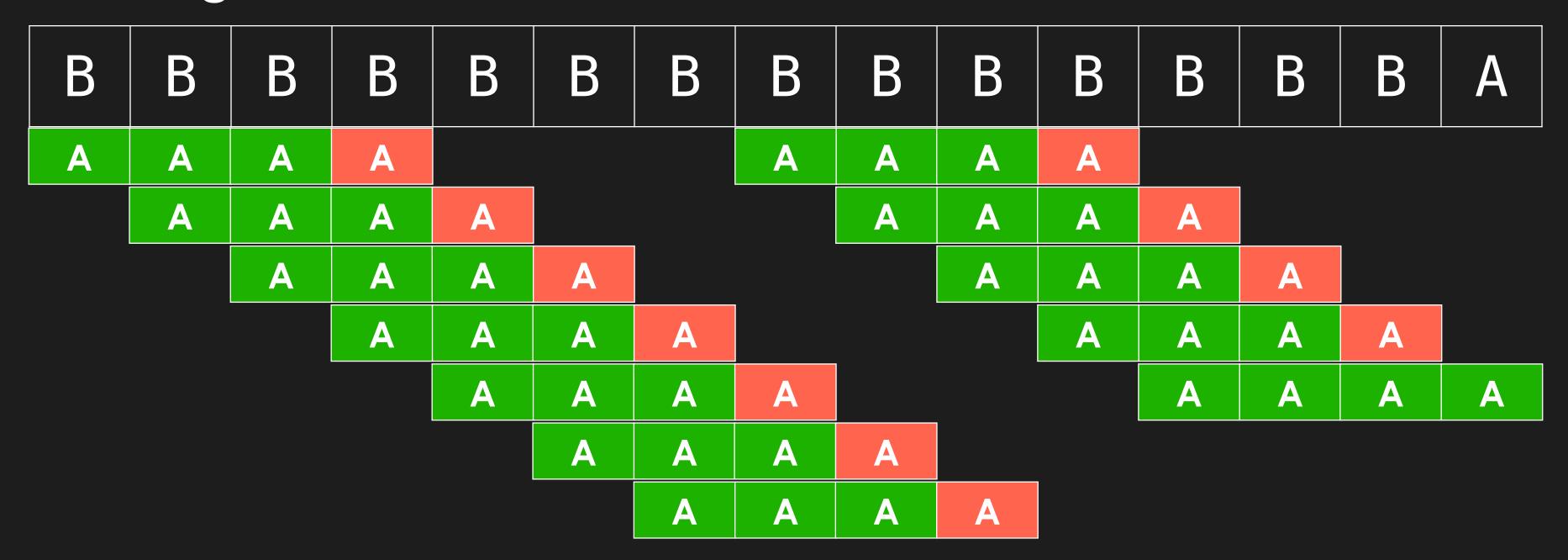


Worst case:

substring: "BBBA"

B B B B B B B B B B B A A A

substring: "BBBA"



For each char in text, we check against substring (K - 1 times)
Time complexity: O(NK)



Can we achieve substring search in linear time?

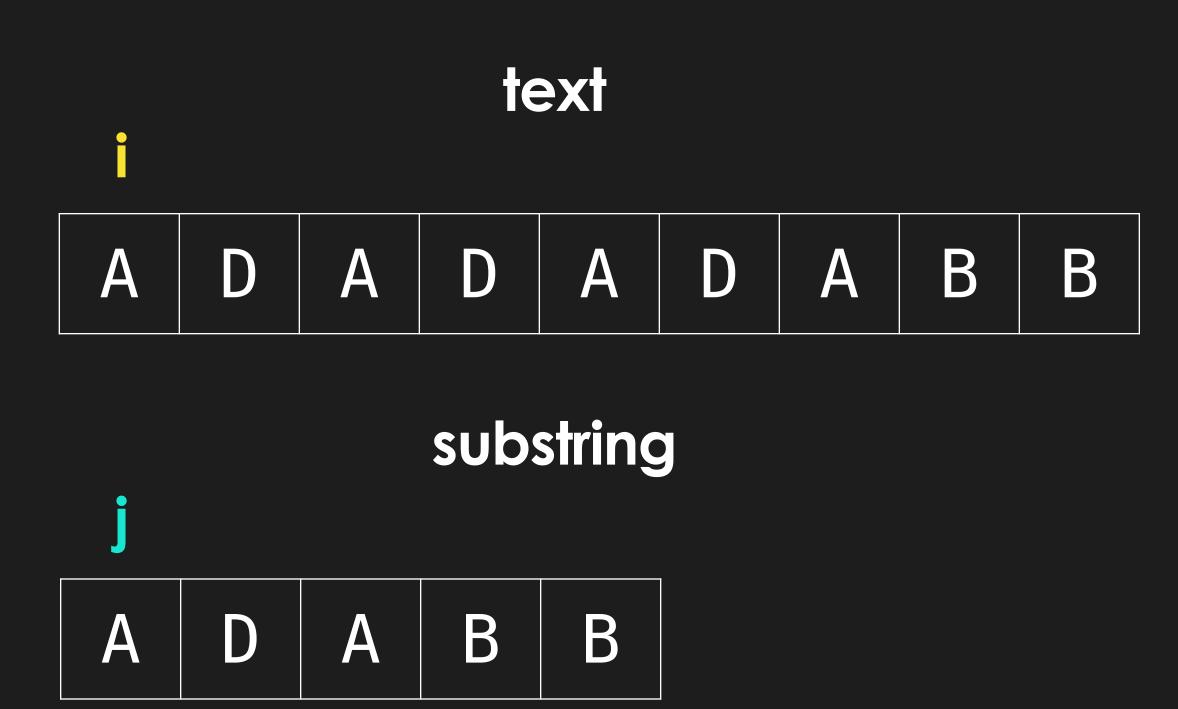


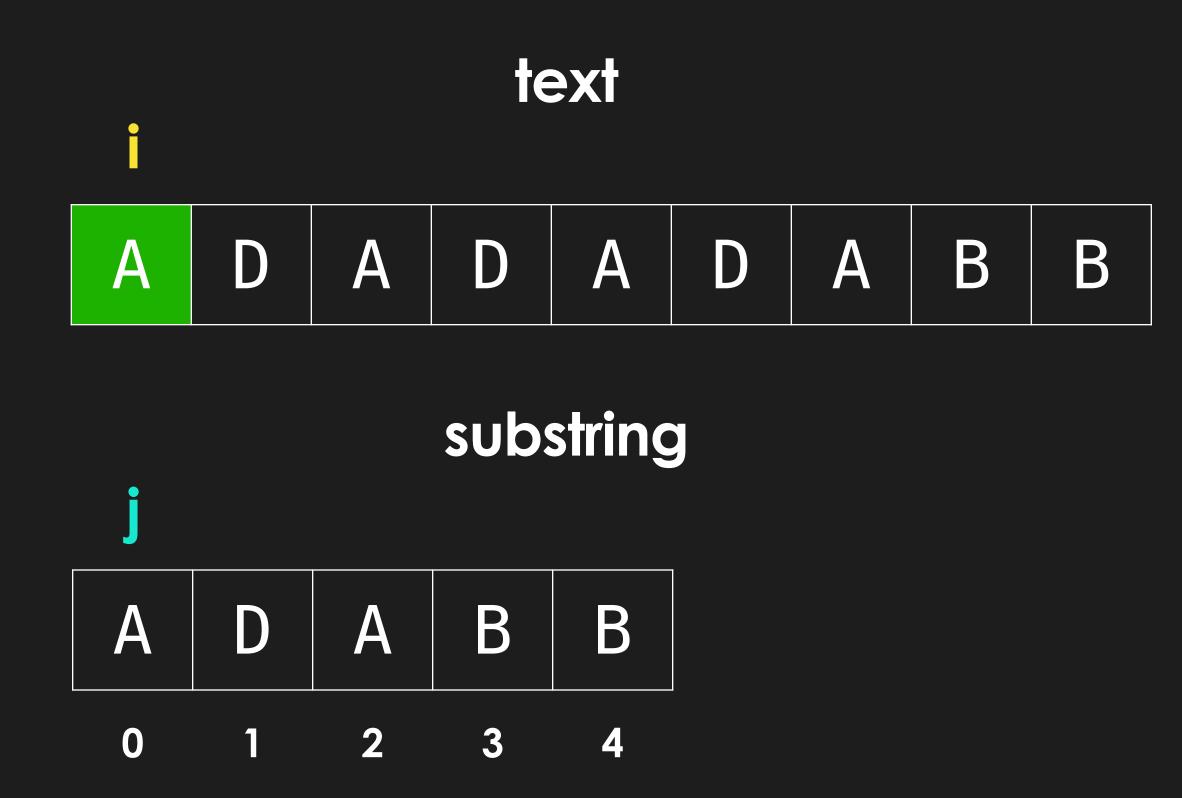
Knuth Morris Pratt Algorithm

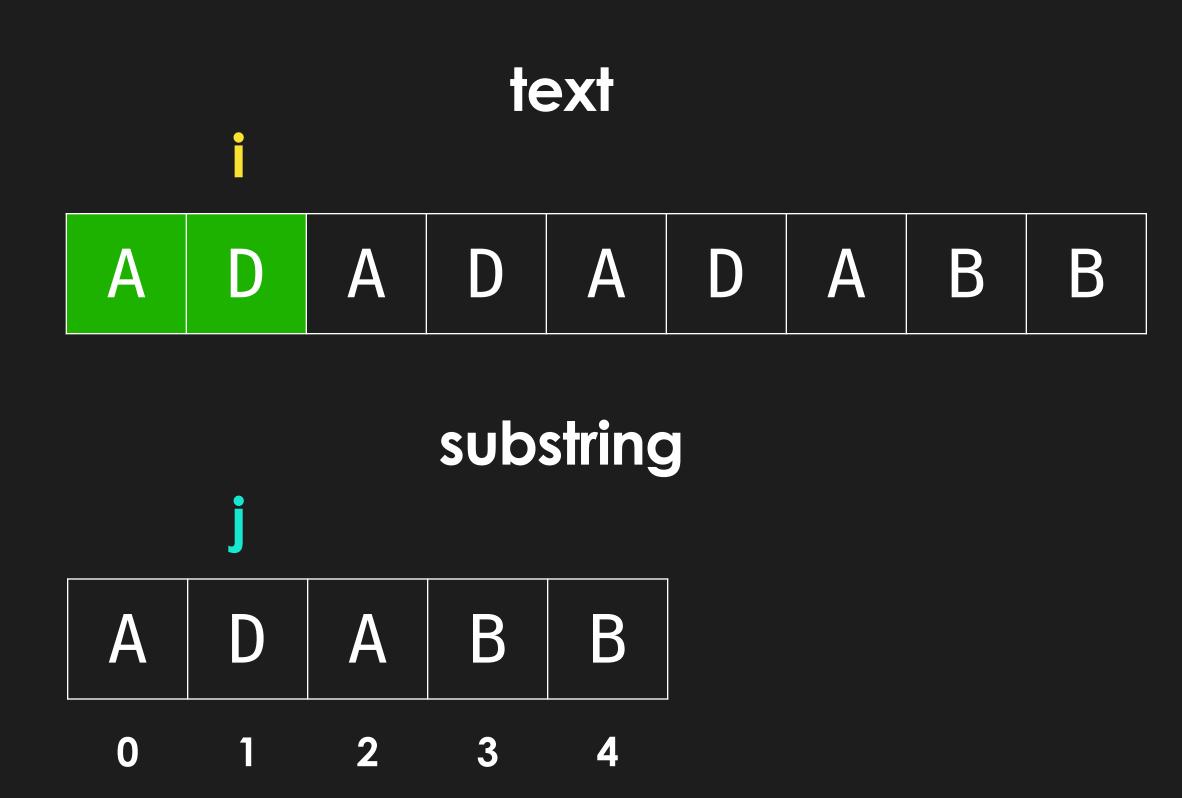
Knuth Morris Pratt's algorithm allows us to perform substring search in linear time (according to length of text)

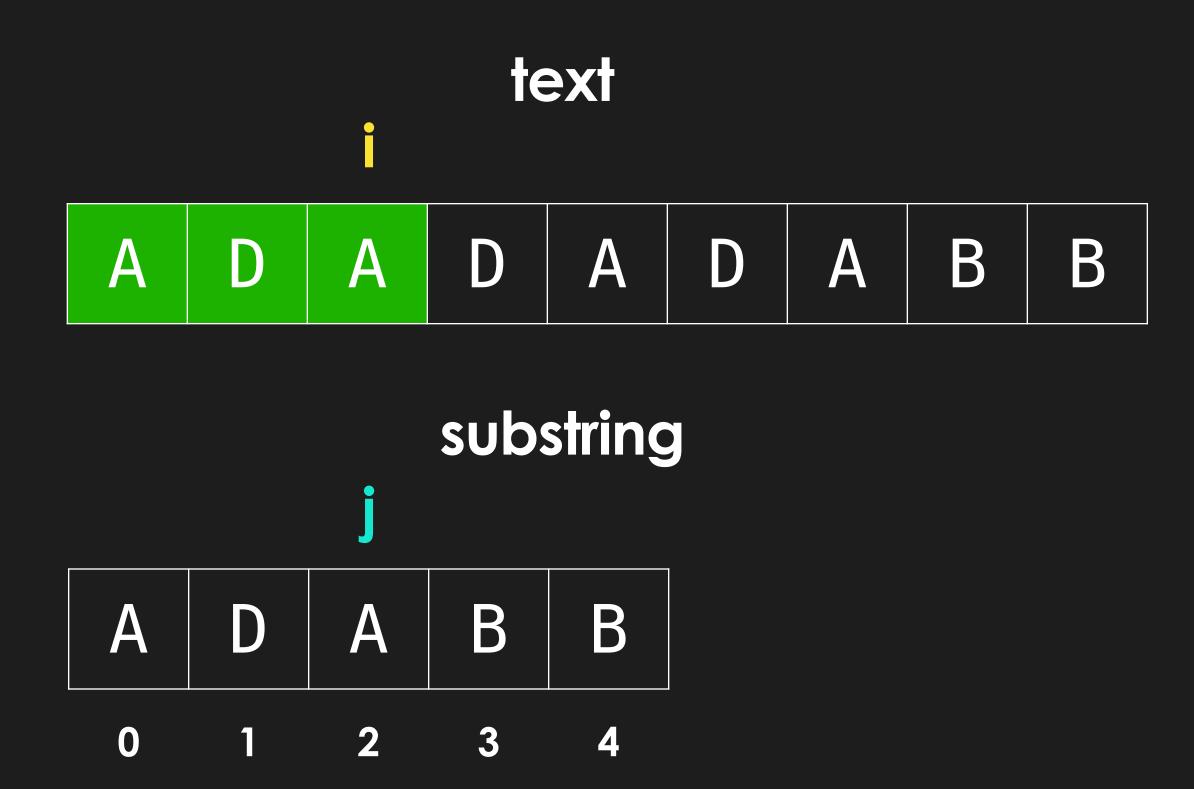
On a high level, what KMP does is that every time we encounter a mismatch as we iterate through the **text**, we are aware of how much of the **existing suffix** belongs to the substring pattern

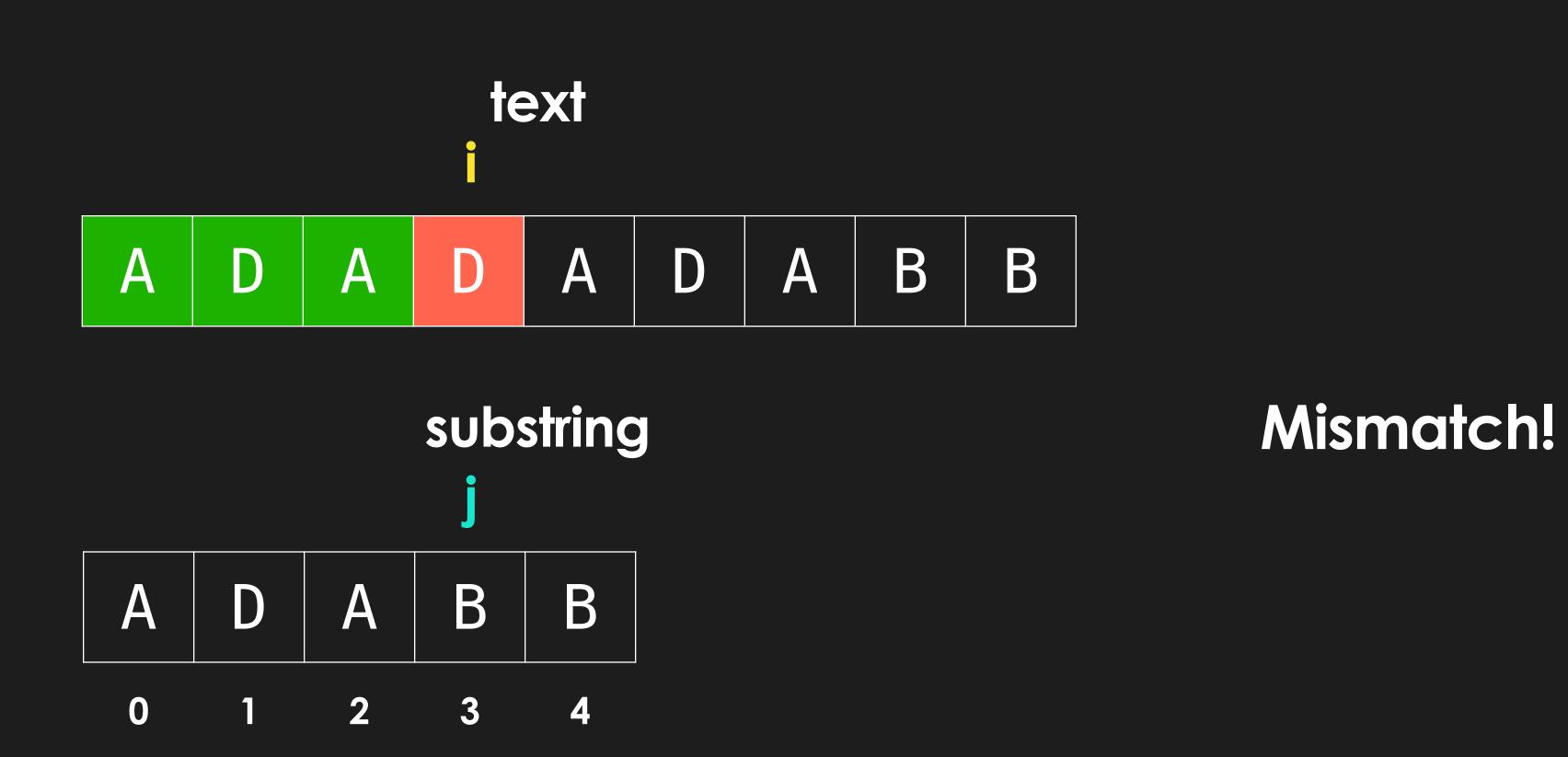




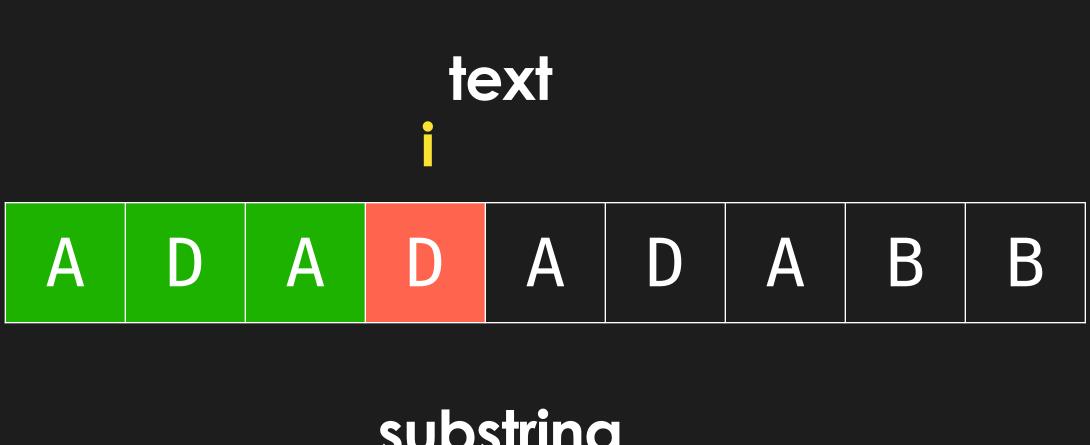












Substring

J

A

D

A

B

B

O

1

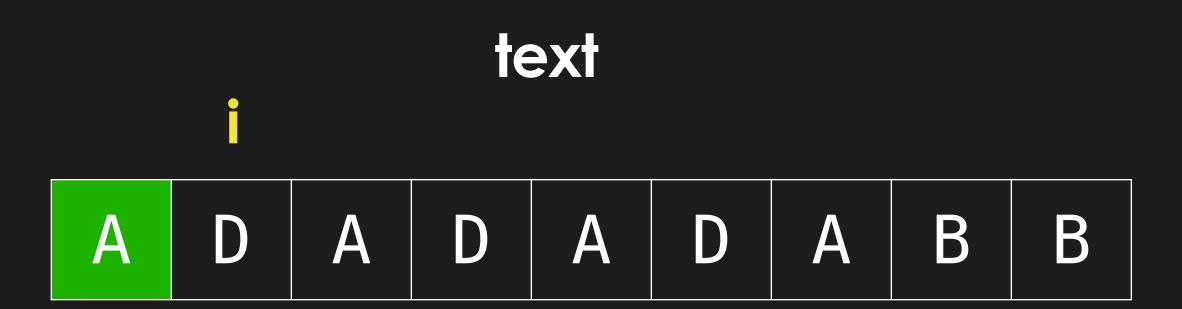
2

3

4

Using brute force, we may do the following



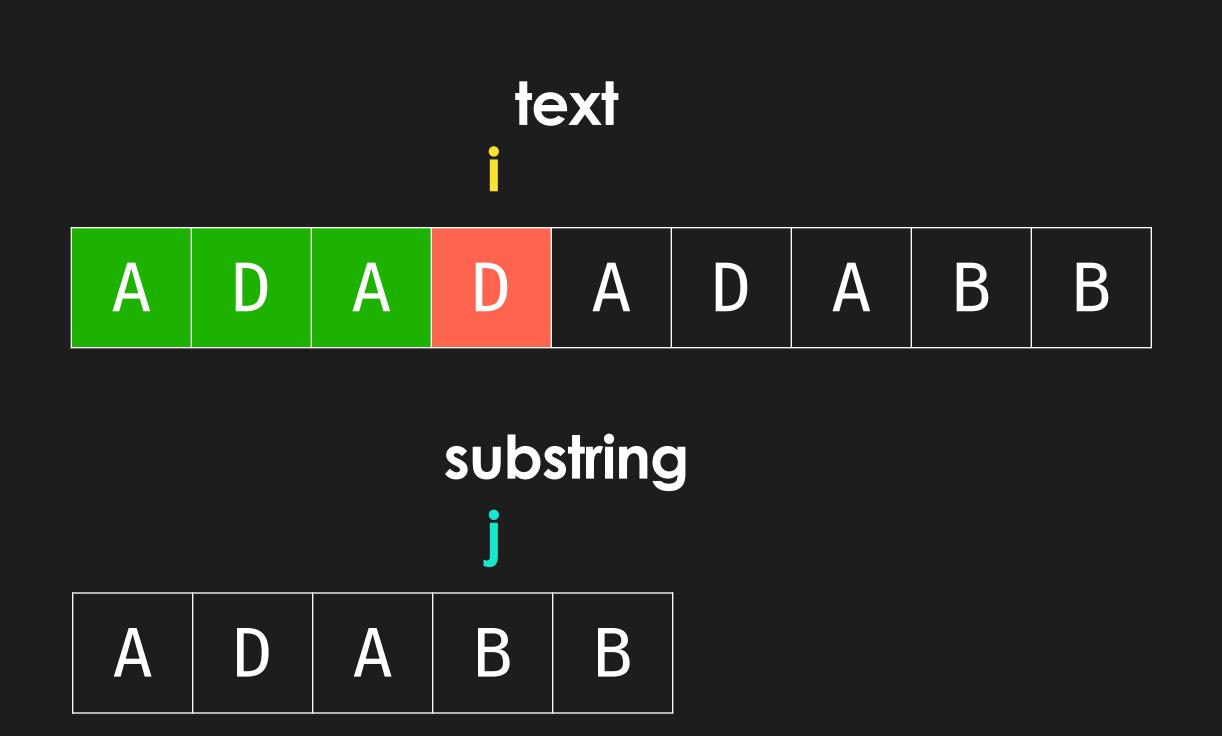


substring

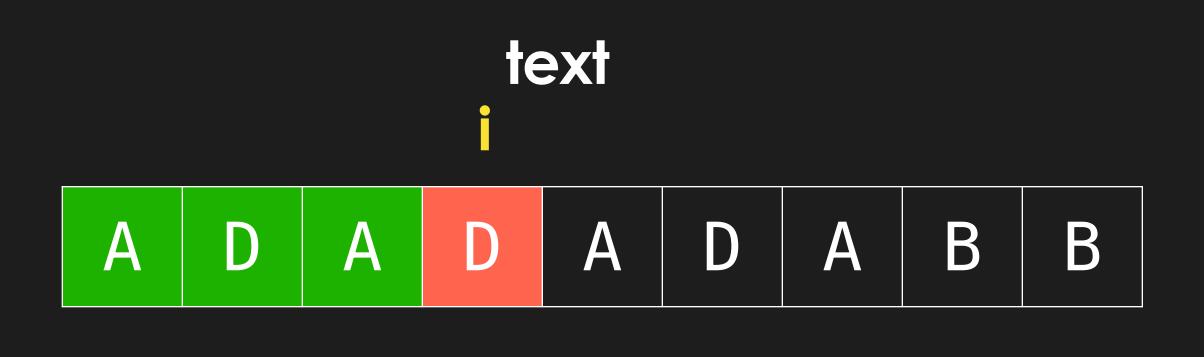
j A D A B B 0 1 2 3 4 Using brute force, we may do the following

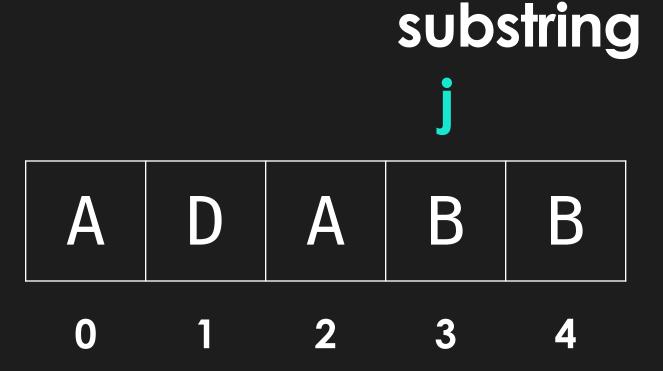


0



However, what KMP discovered is that when we have iterated up till text[i], we are aware of all characters from [0:i-1]

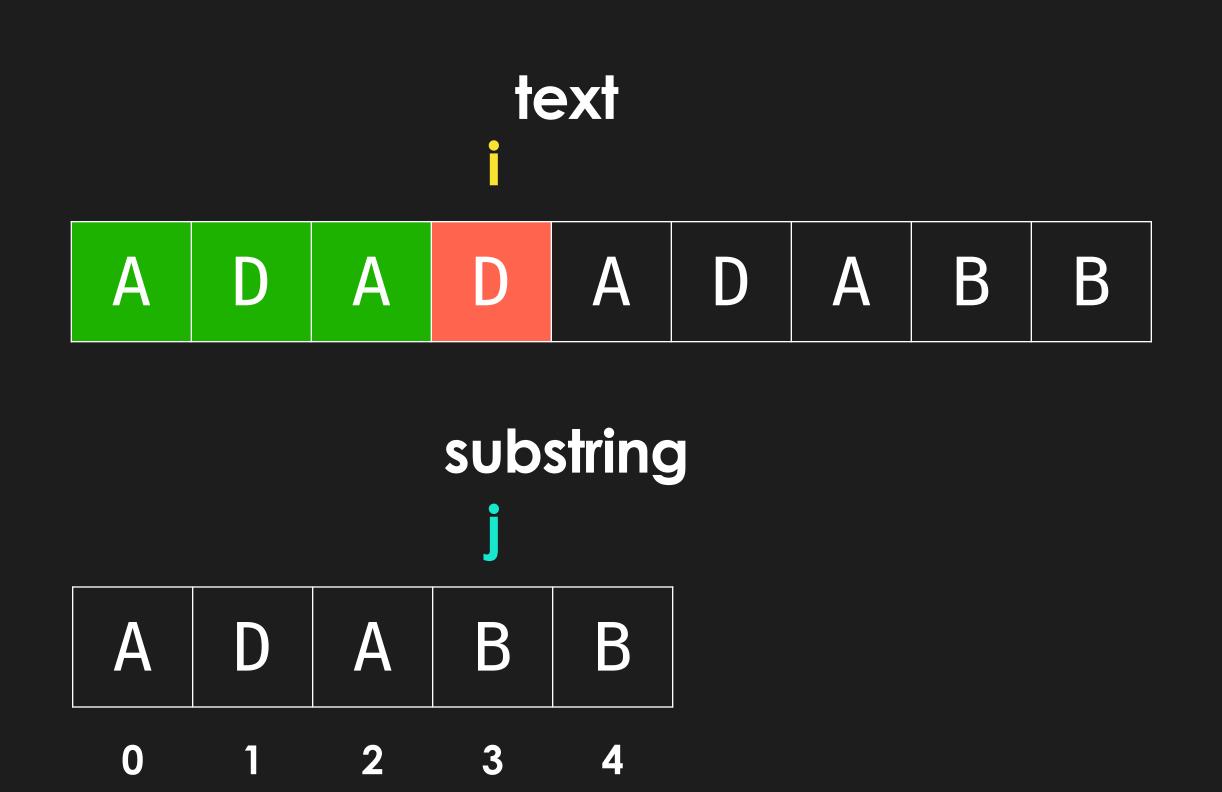




However, what KMP discovered is that when we have iterate up till text[i], we are aware of all characters from [0:i-1]

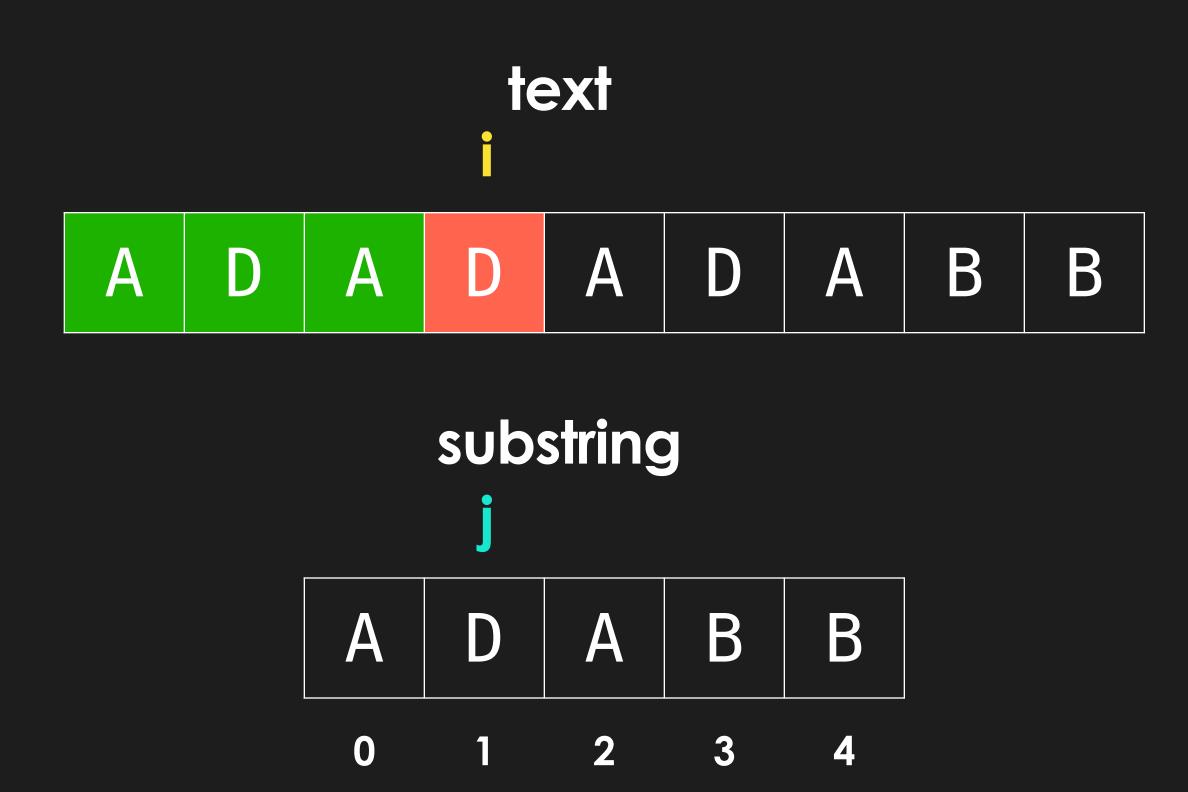
As such, all we need to do is find the longest suffix so far which matches a prefix of our substring





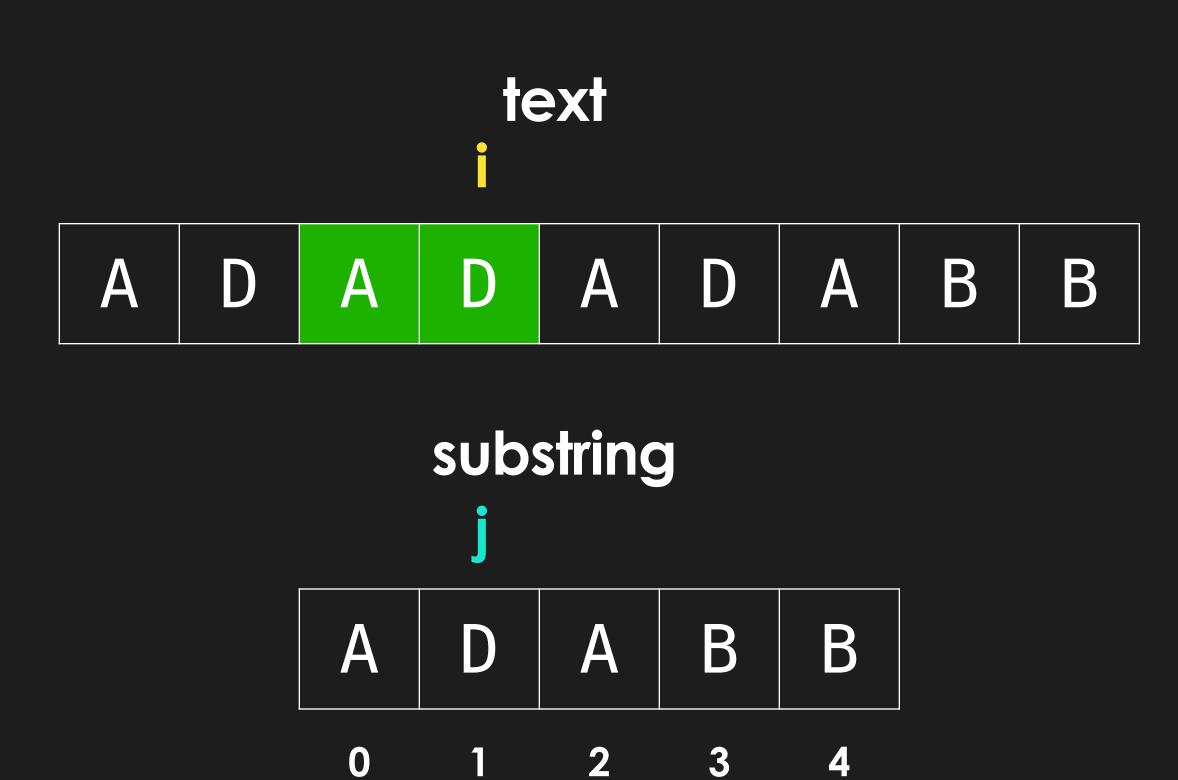
Of all existing **suffixes** in the chars we have iterated over, our longest substring prefix match is "AD"



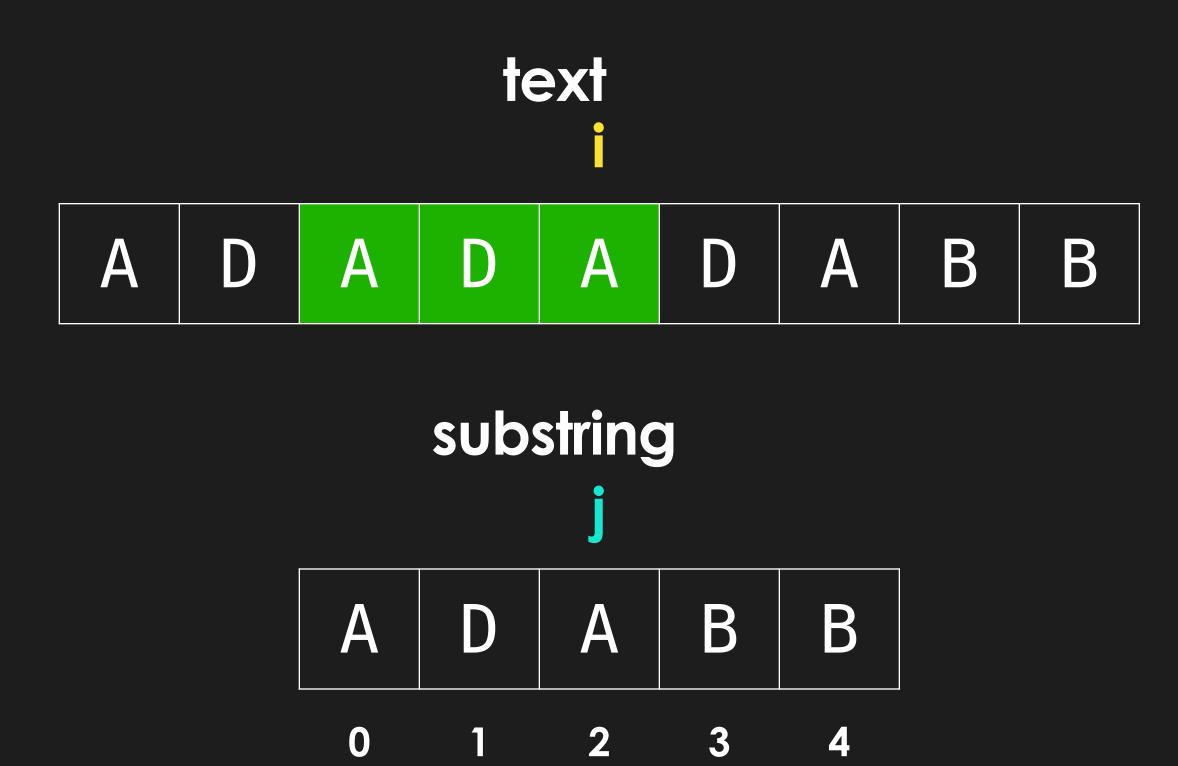


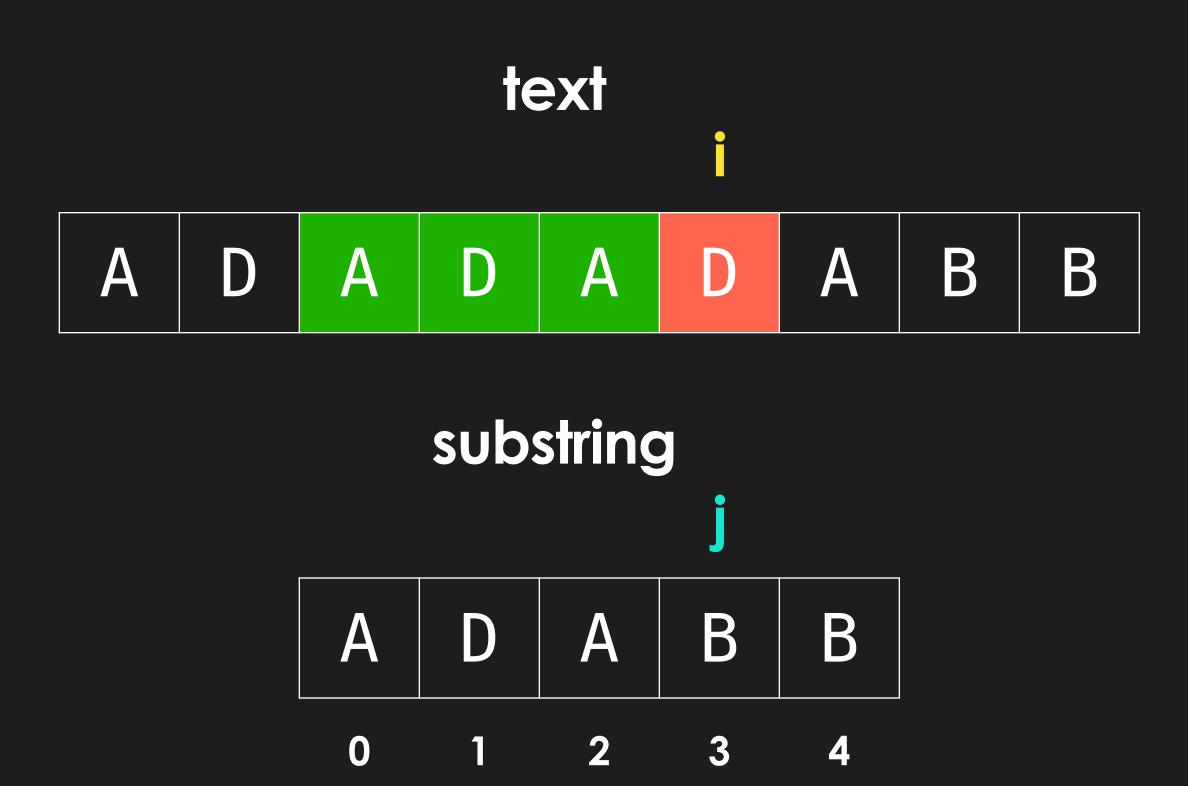
Of all existing **suffixes** in the chars we have iterated over, our longest substring prefix match is "AD"



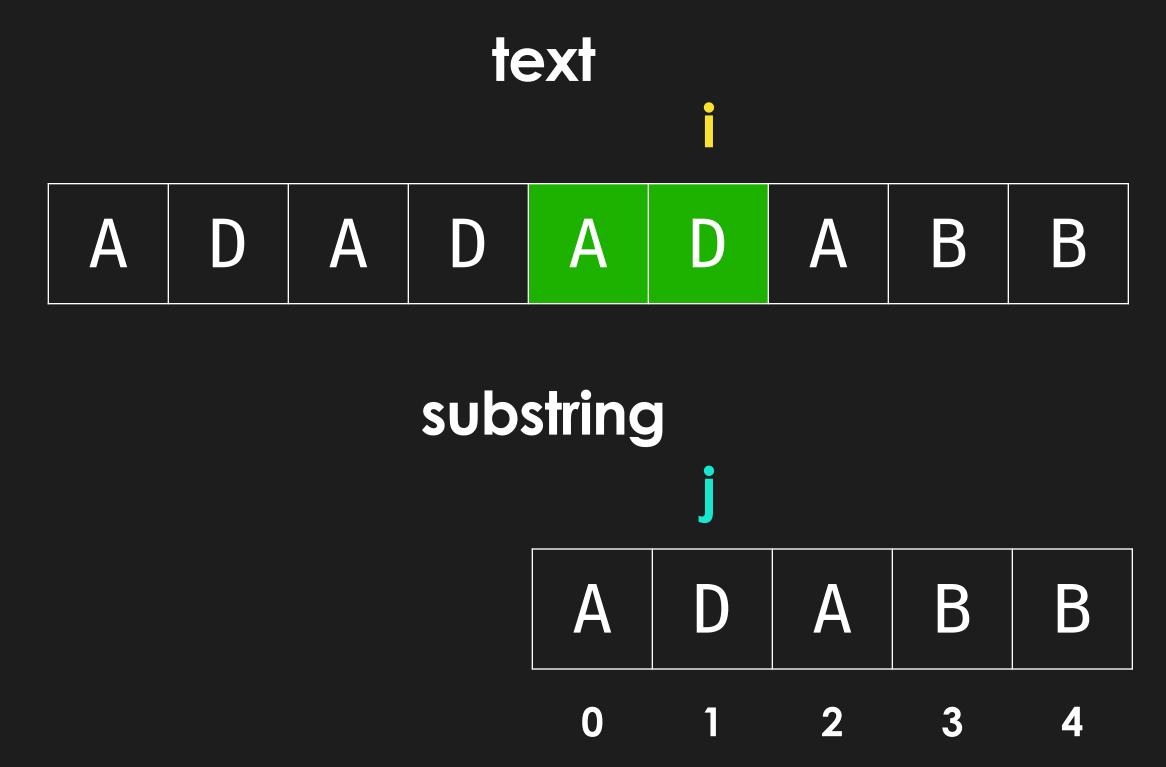


Now we can simply continue to increment i, knowing that the first two chars of the substring have already been matched

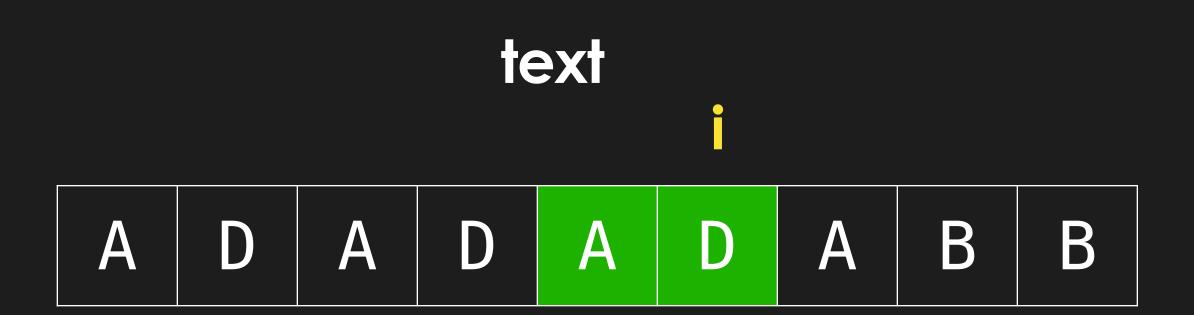




Once again, of all existing suffixes, our closest match to the pattern is "AD"



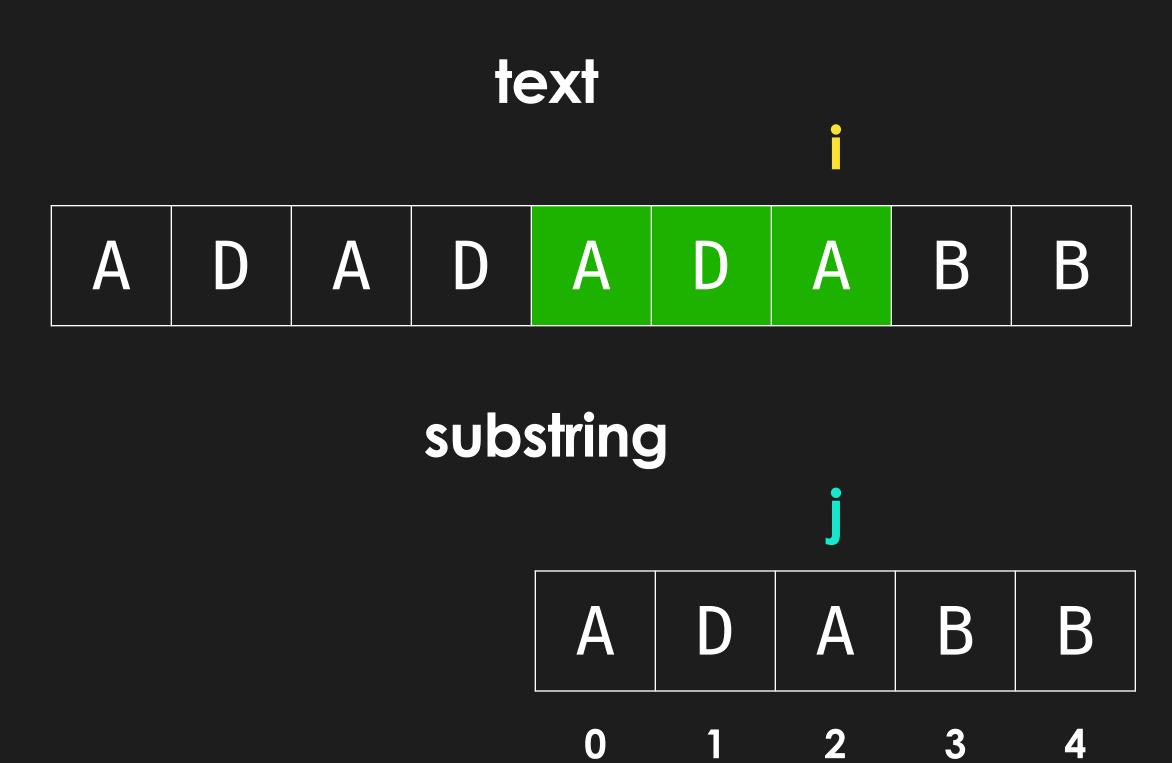
Once again, of all existing suffixes, our longest substring prefix match is "AD"



substring J A D A B B O 1 2 3 4

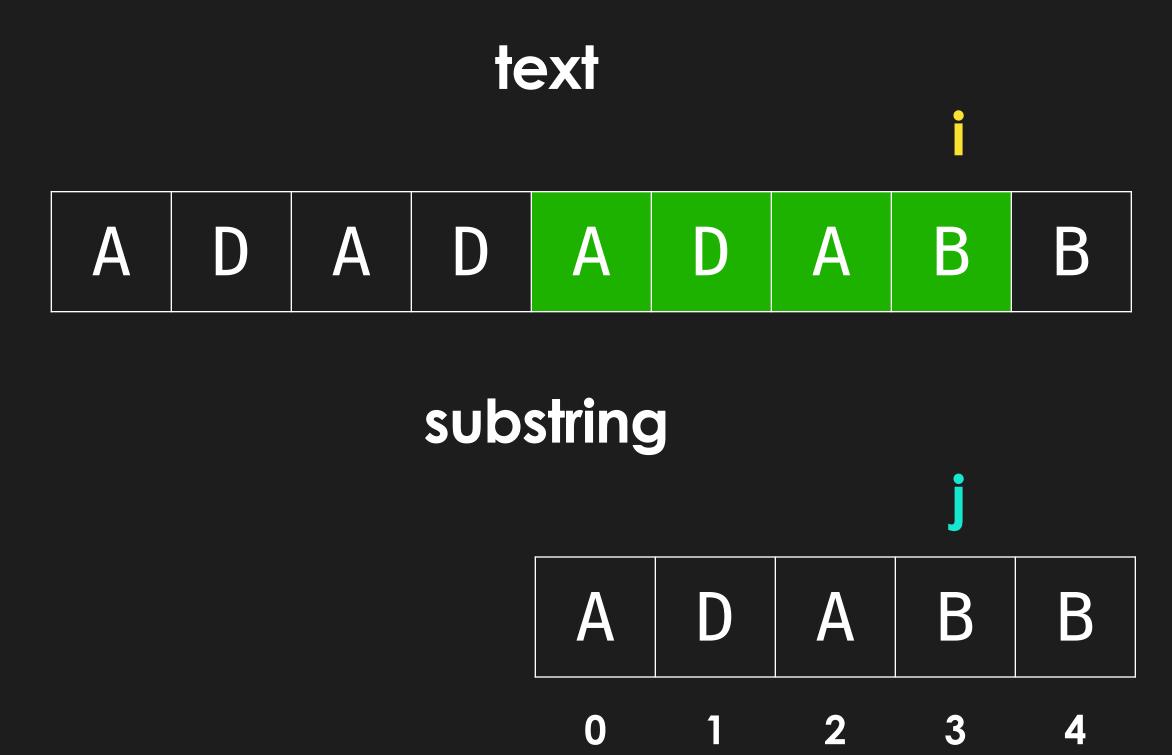
We start pattern matching from index 2 of the substring





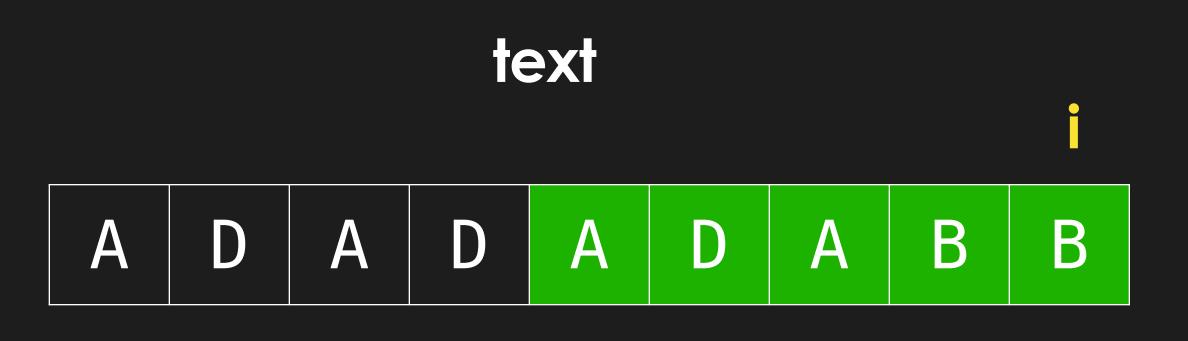
We start pattern matching from index 2 of the substring





We start pattern matching from index 2 of the substring





0

substring

A D A B B

2

3

We have found a substring!

The Problem: How do we know what the longest prefix suffix match is?

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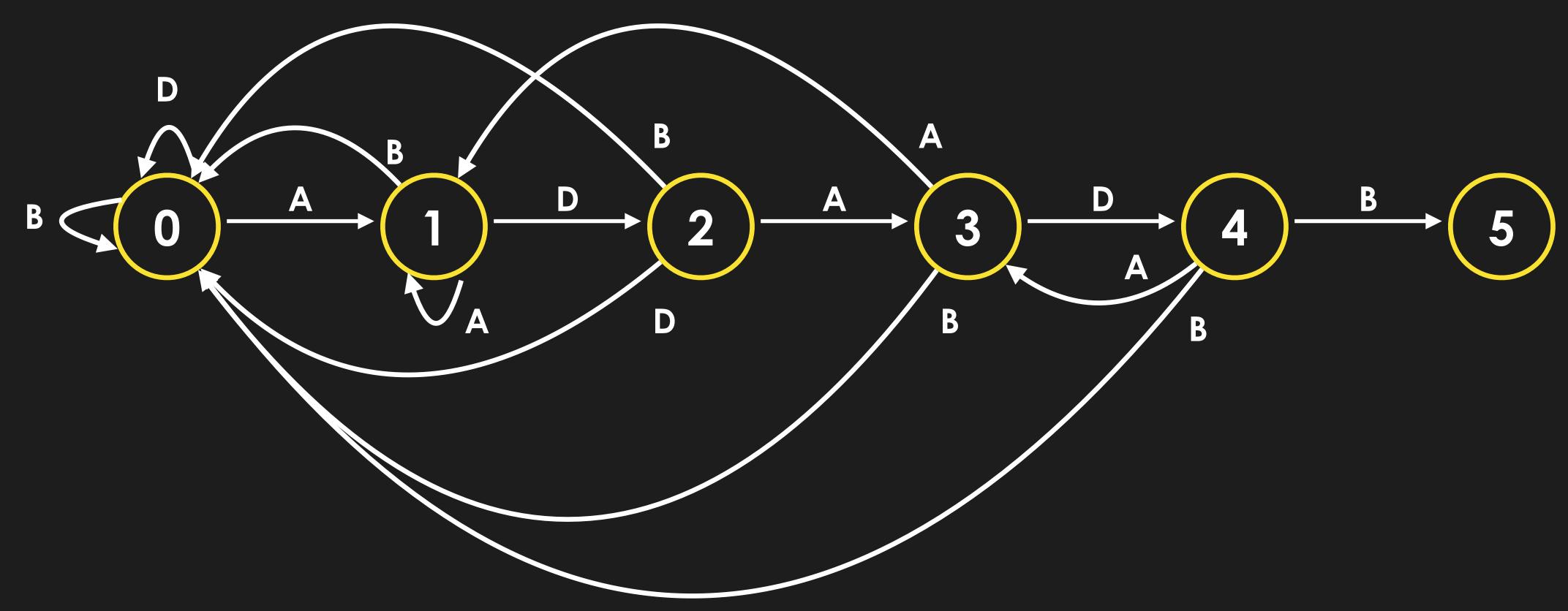
By using a deterministic finite automaton (DFA)!



What is and how does a DFA work?

What is and how does a DFA work?

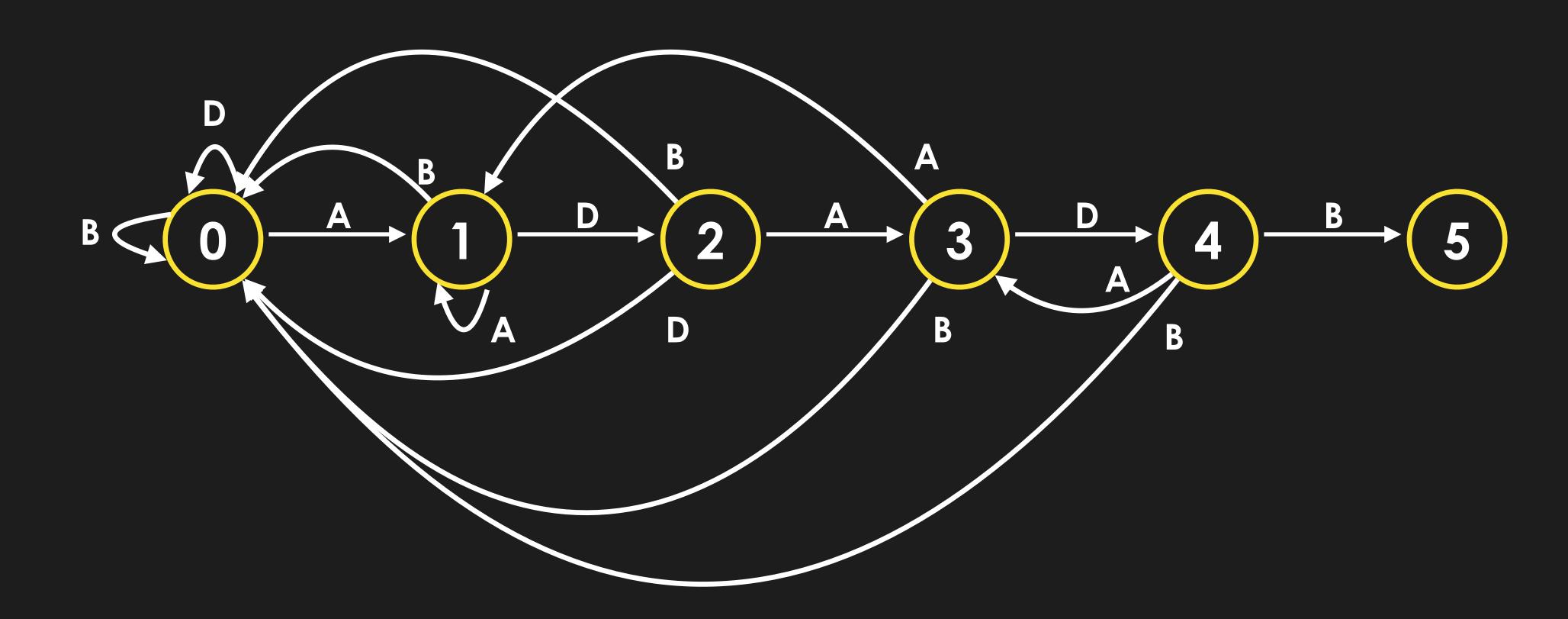
A DFA is a series of states, and a set of instructions on how to move between states

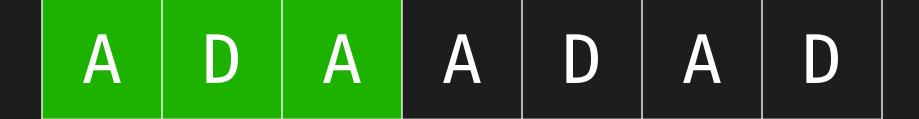


Α	D	Α	Α	D	Α	D

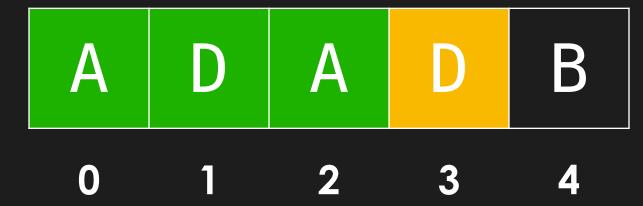
Example 1

substring



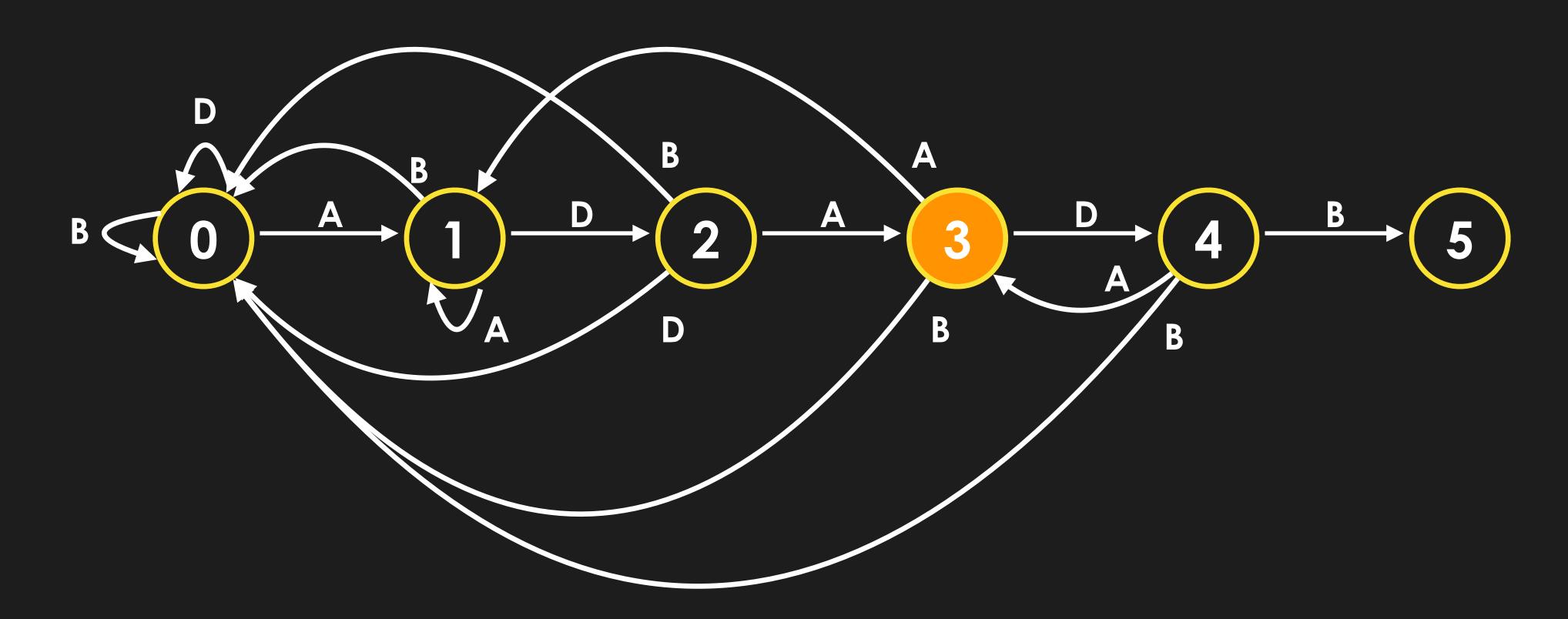


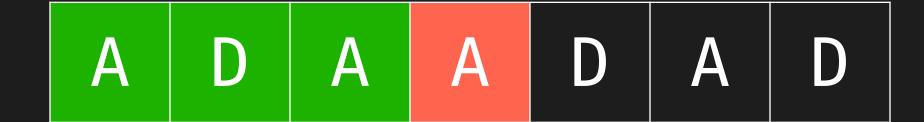
substring



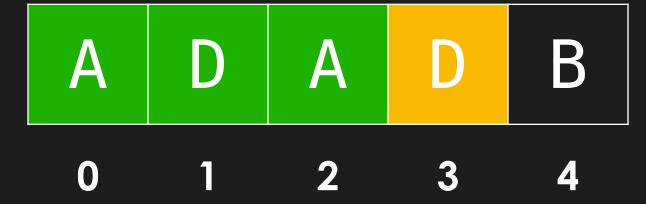
Example

Let's say we are at **state 3** (matched 3 chars)



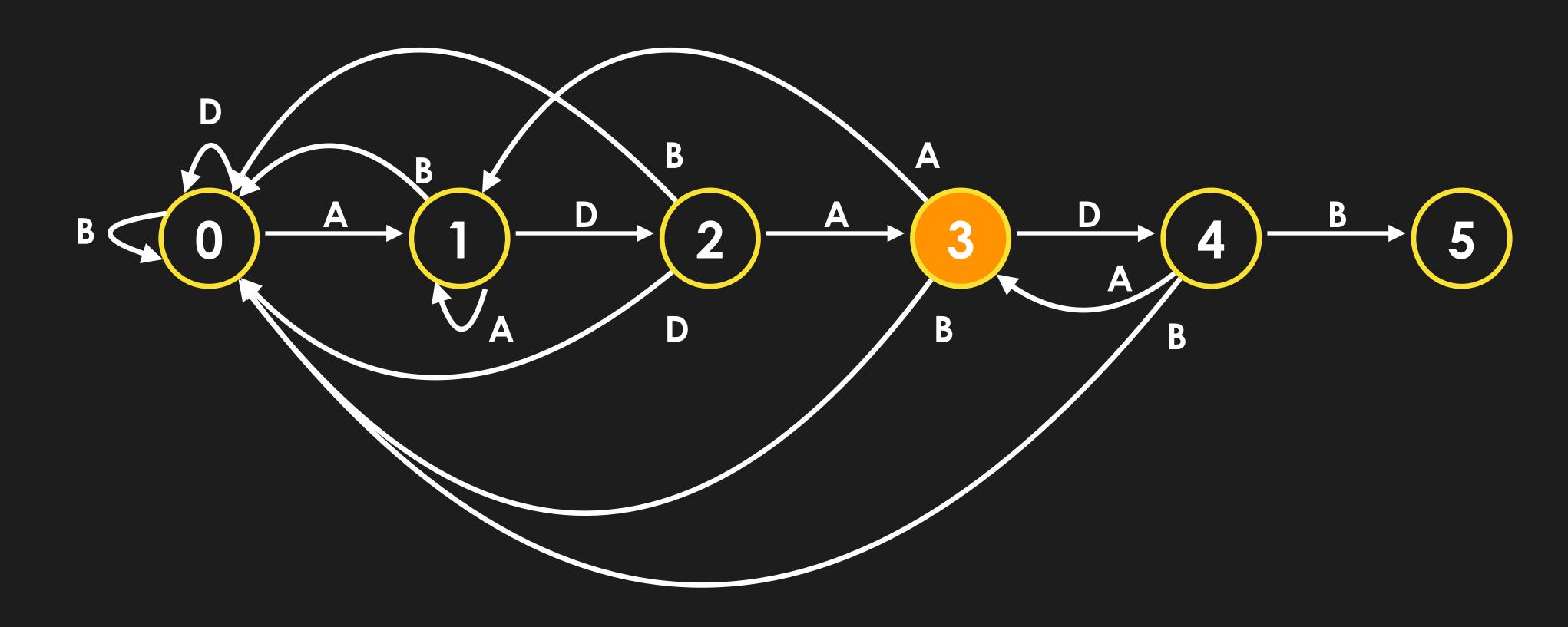


substring

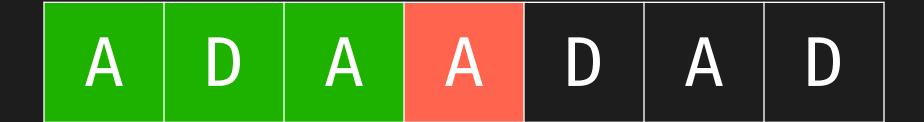


Example

Our next char is 'A'. Regardless of whether it is a match or mismatch, we simply follow the DFA





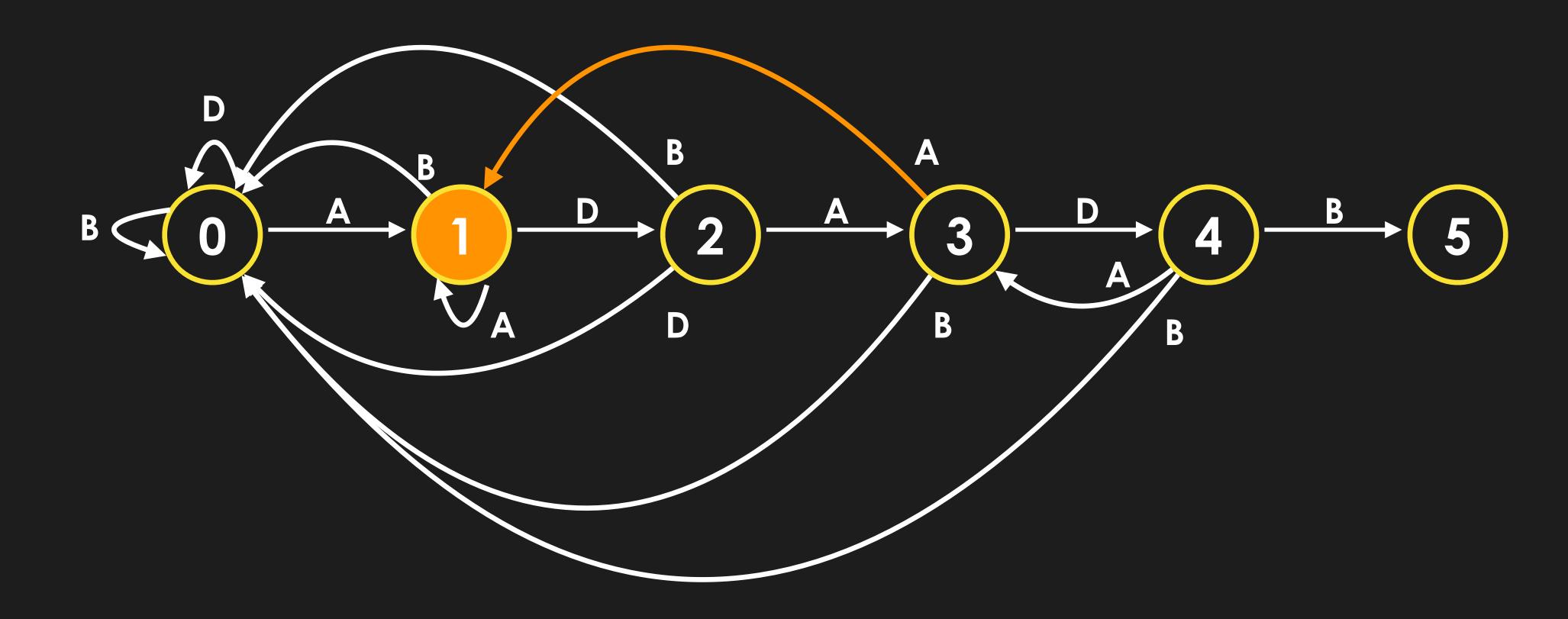


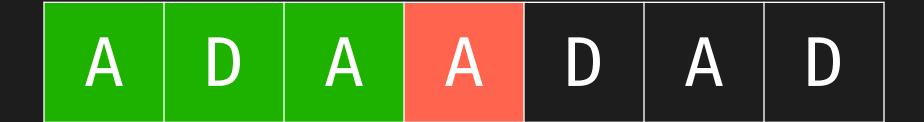
substring



Example

Our next char is 'A'. Regardless of whether it is a match or mismatch, we simply follow the DFA



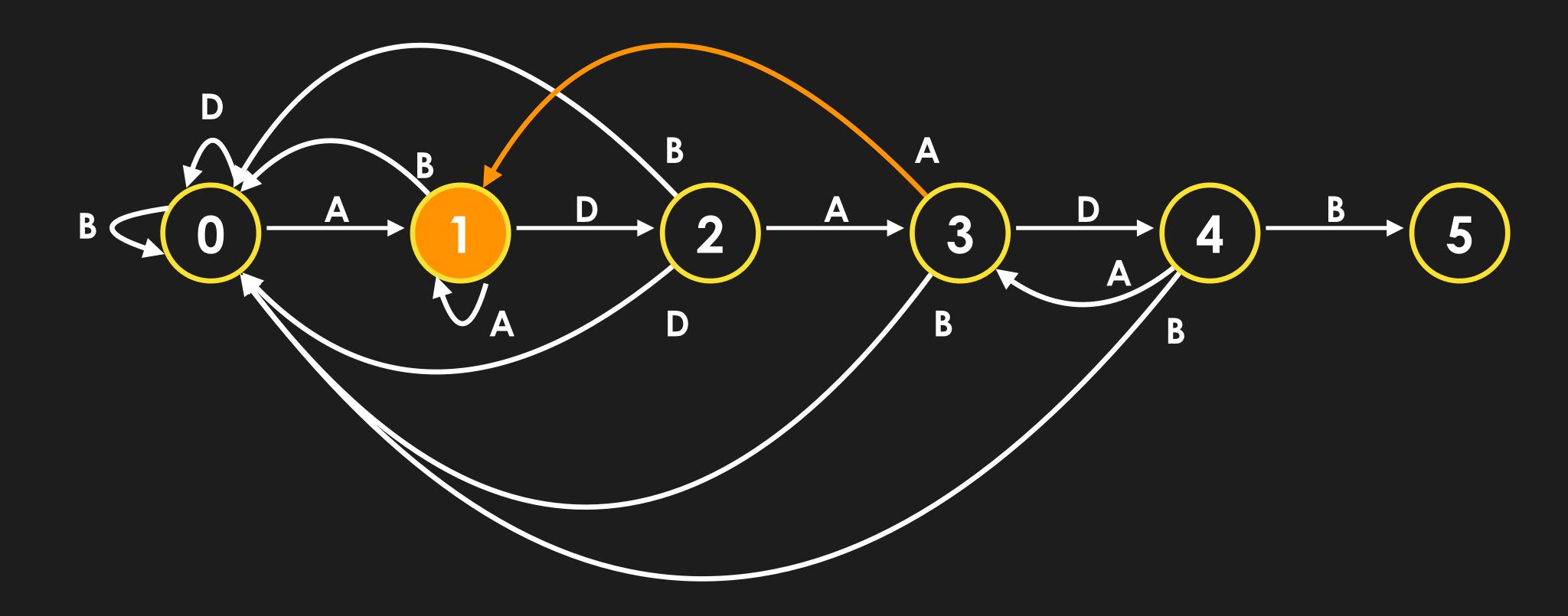


substring

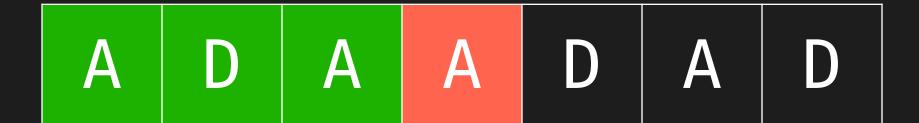


Example

Notice how the path that the DFA led us to is the longest prefix that is a suffix of the chars we have encountered





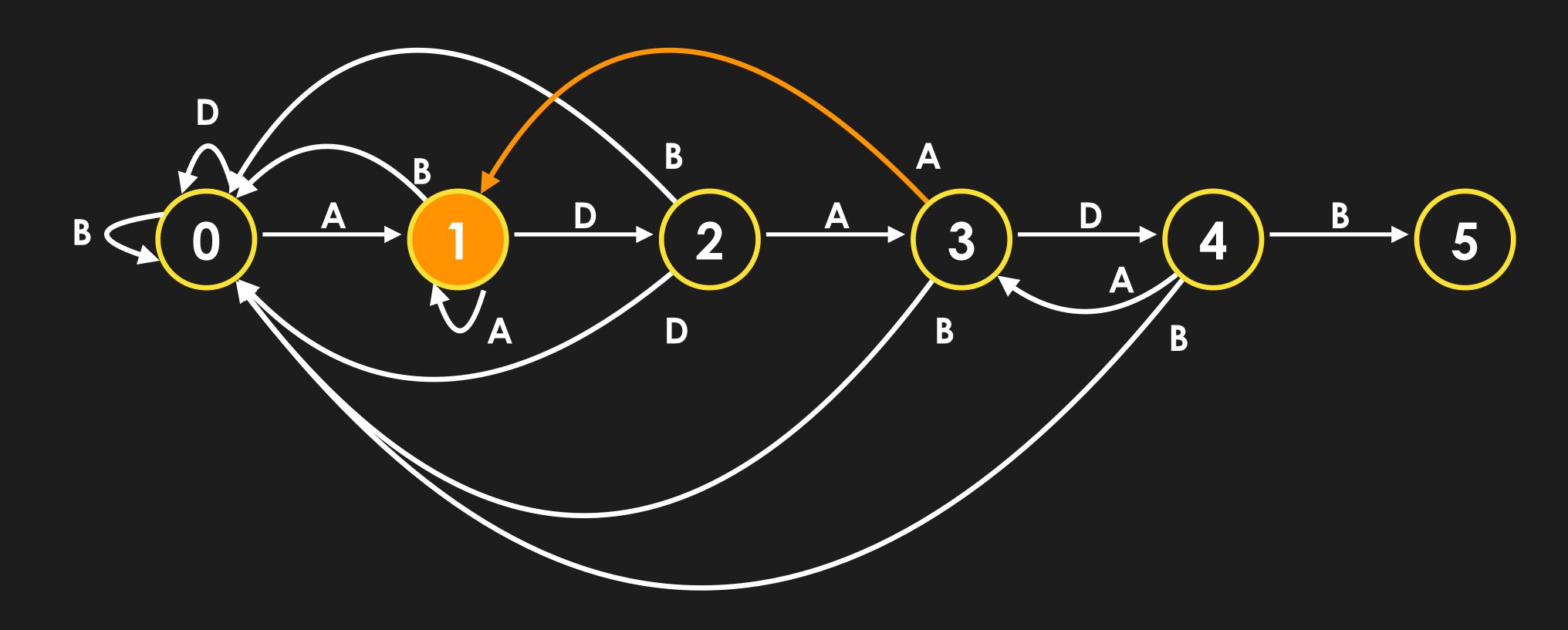


substring

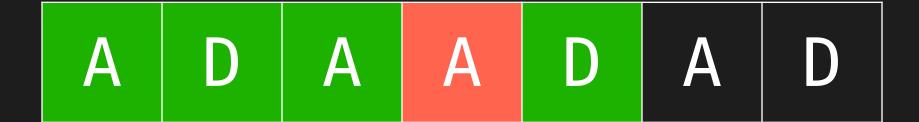


Example

Now we continue checking subsequent chars in text, moving state accordingly





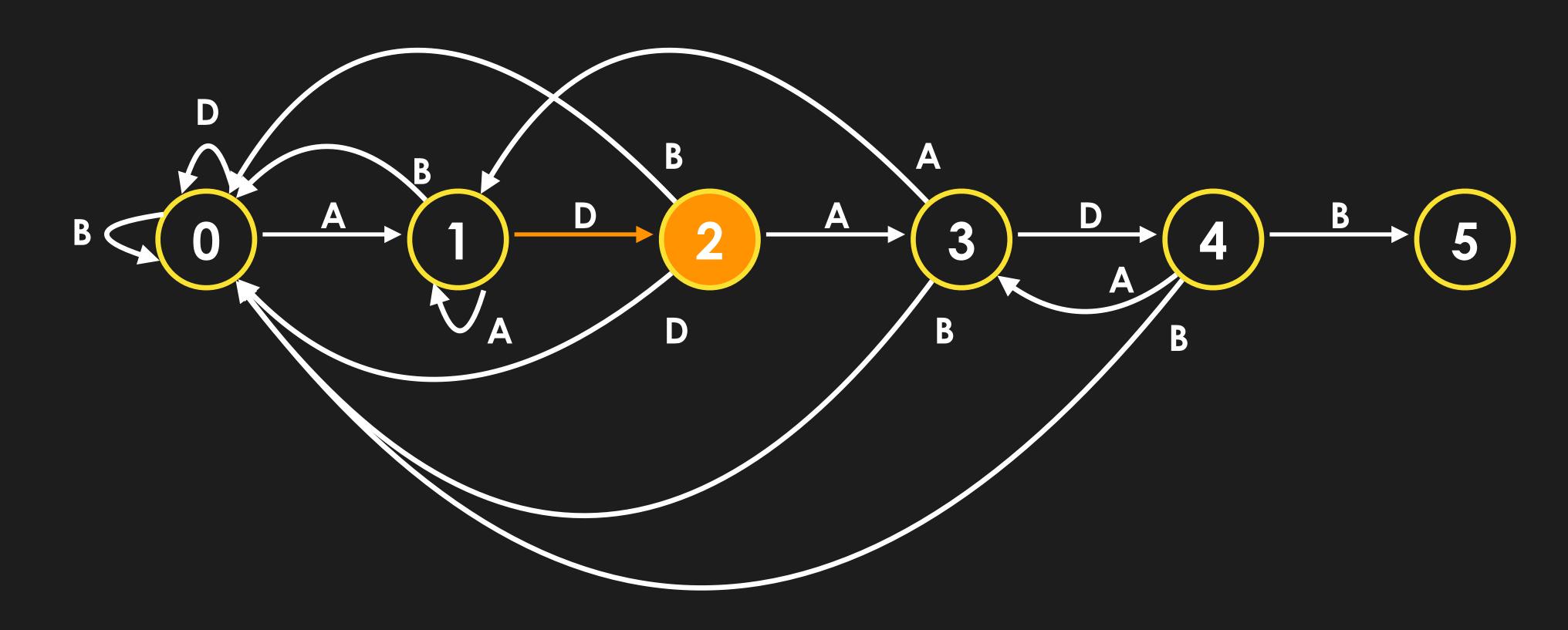


substring

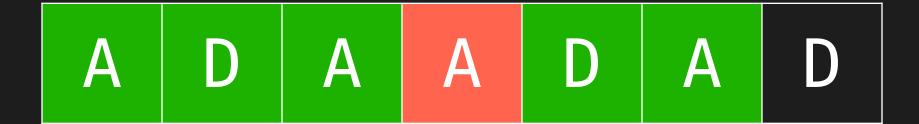


Example

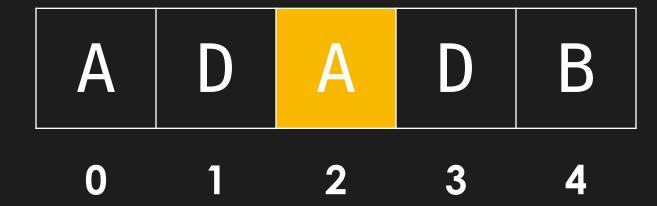
Now we continue checking subsequent chars in text, moving state accordingly





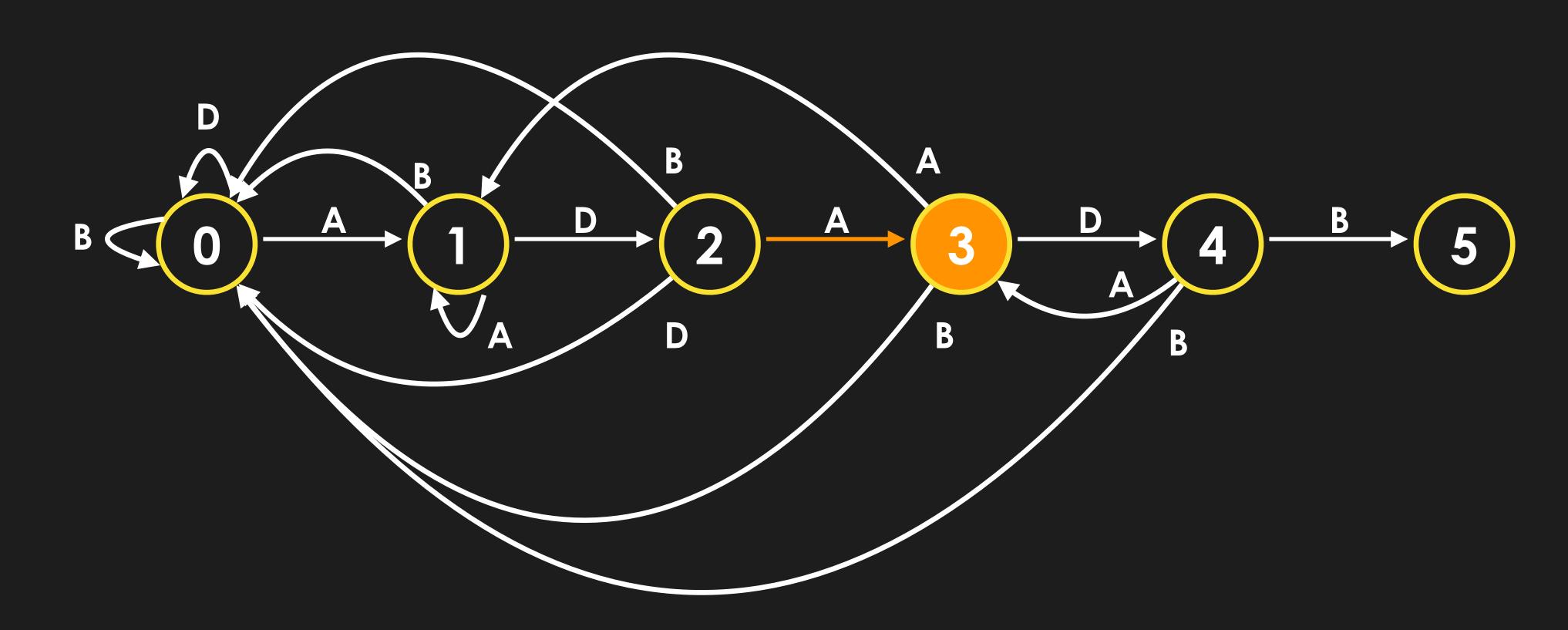


substring



Example

Now we continue checking subsequent chars in text, moving state accordingly





How do we represent a DFA? 2D List!

The **col number** tells us which **state** we are currently in The **row number** indicates which character our current iteration of the text is The **element** at **dfa[col][row]** tells us the next state

	0	1	2	3	4	5
A	1	1	3	1	3	O
D	0	2	0	4	0	O
В	0	0	0	0	5	O



Demo of KMP using DFA

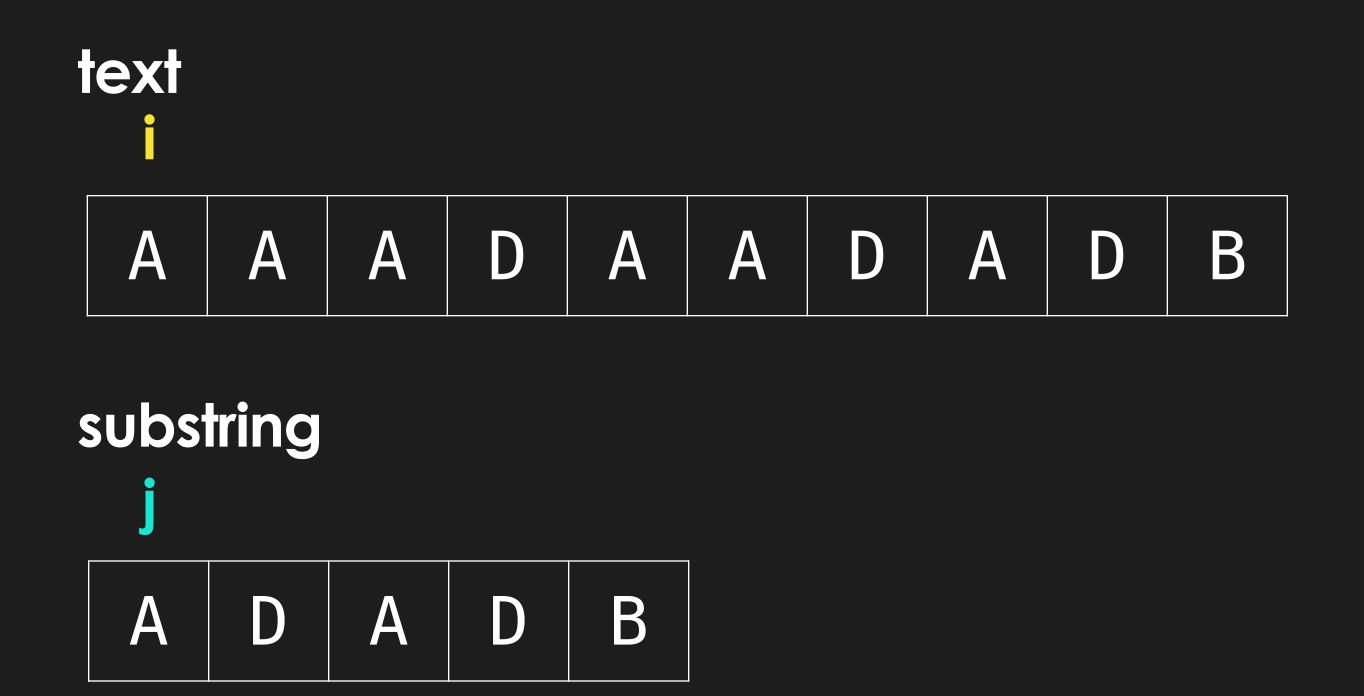


0

2

3

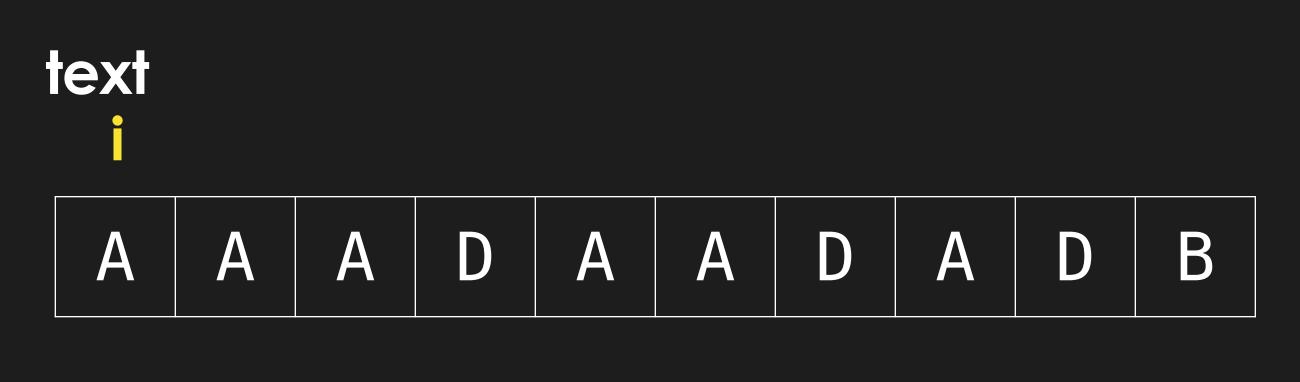
4



	0	1	2	3	4	5
A	1	1	3	1	3	O
D	O	2	O	4	O	O
В	O	O	0	0	5	O

dfa

j indicates which state we are currently in



substring

j

Α	D	Α	D	В
		2		

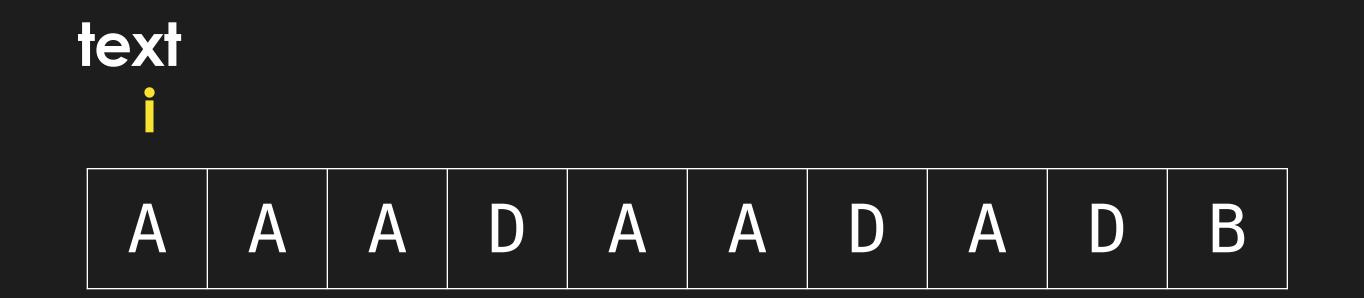
dfa

	0	1	2	3	4	5
A	1	1	3	1	3	0
D	0	2	0	4	0	0
В	0	O	O	0	5	0



j indicates which state we are currently in

indicates which char of the text we are at



substring

j

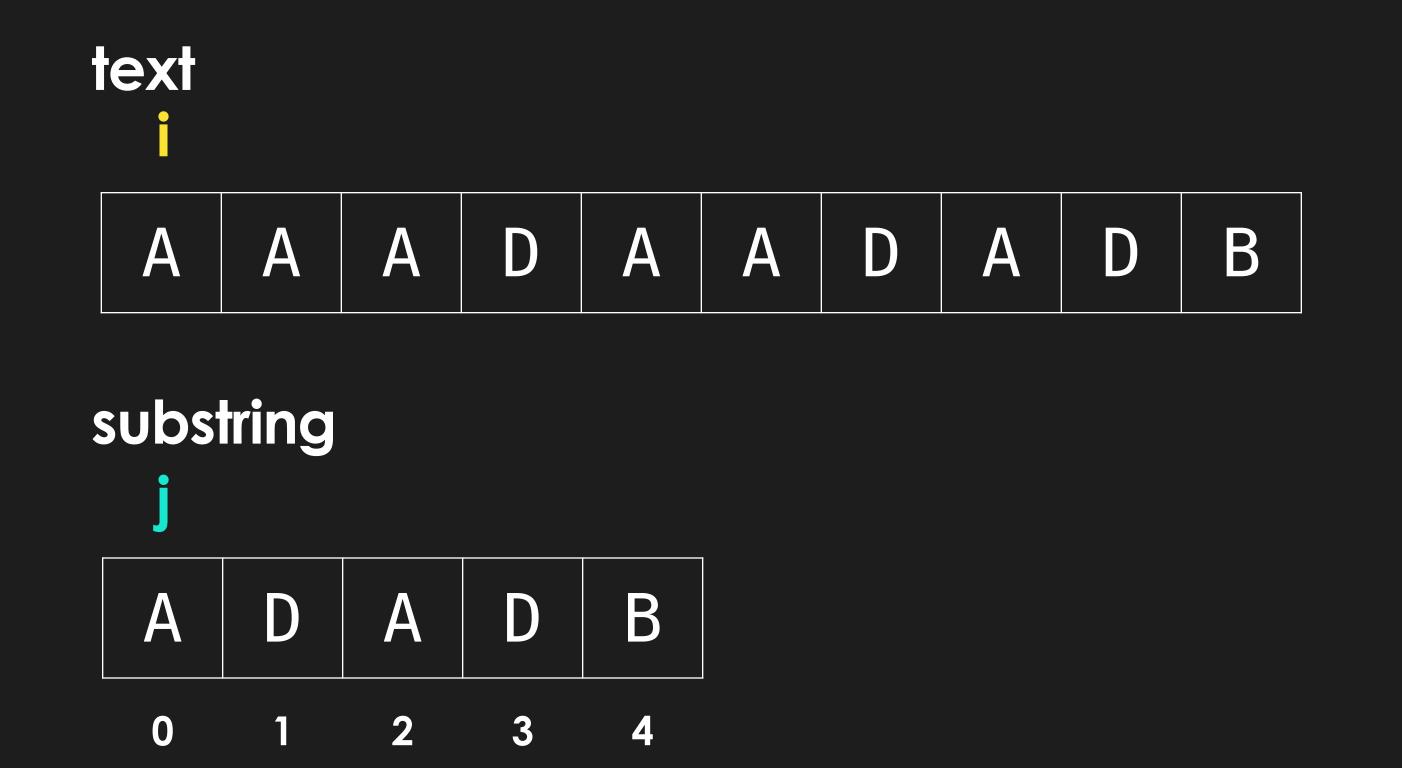
Α	D	Α	D	В
0	1	2	3	4

	0	1	2	3	4	5
A	1	1	3	1	3	0
D	0	2	O	4	O	0
В	0	O	O	0	5	0

dfa

indicates which state we are currently in

indicates which char of the text we are at



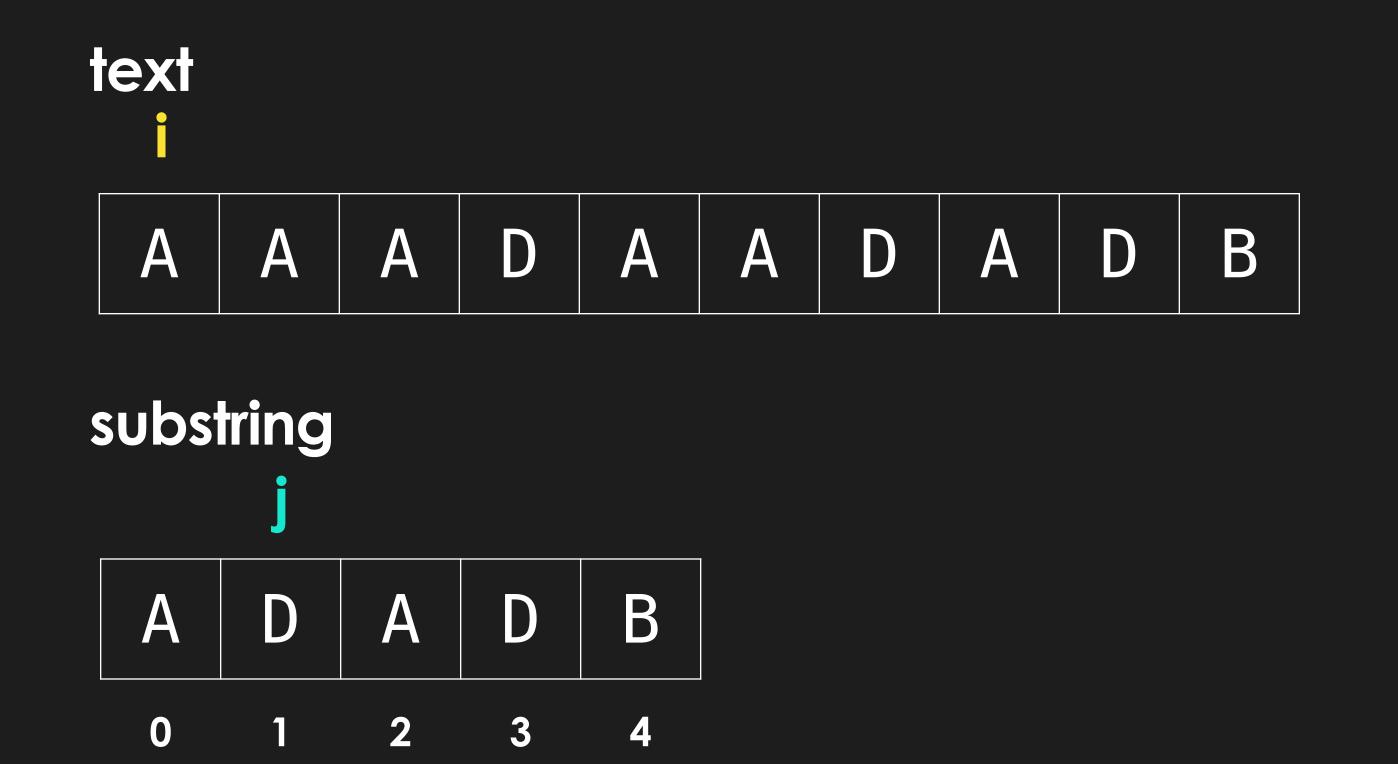
	0	1	2	3	4	5
A	1	1		1	3	0
D	O	2	0	4	0	O
В	O	0	0	0	5	O

dfa



indicates which state we are currently in

indicates which char of the text we are at



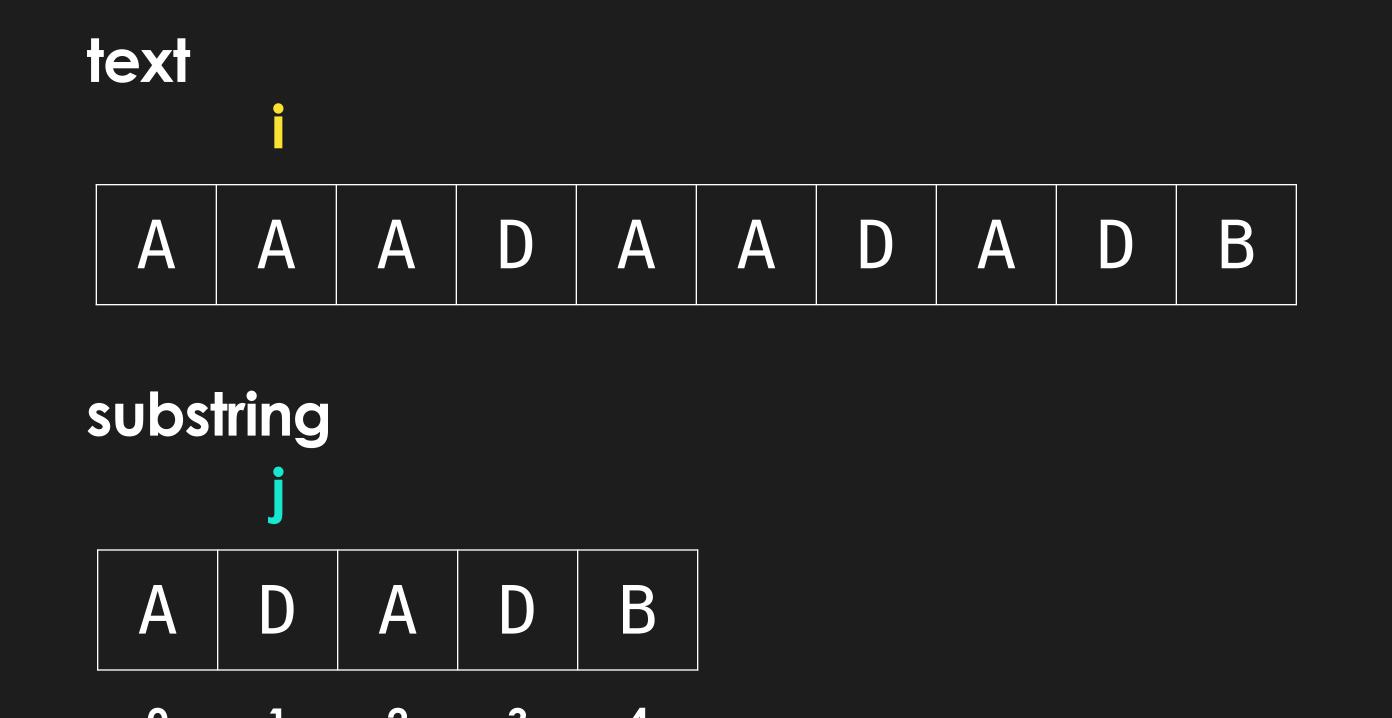
	0	1	2	3	4	5
A	1	1	3	1	3	O
D	O	2	O	4	O	O
В	O	0	0	0	5	O

dfa



indicates which state we are currently in

indicates which char of the text we are at



	0	1	2	3	4	5
A	1	1	3	1	3	O
D	O	2	O	4	0	O
В	O	0	O	Ο	5	O

dfa



0

indicates which state we are currently in

indicates which char of the text we are at

text A A A D A D B substring j A D B

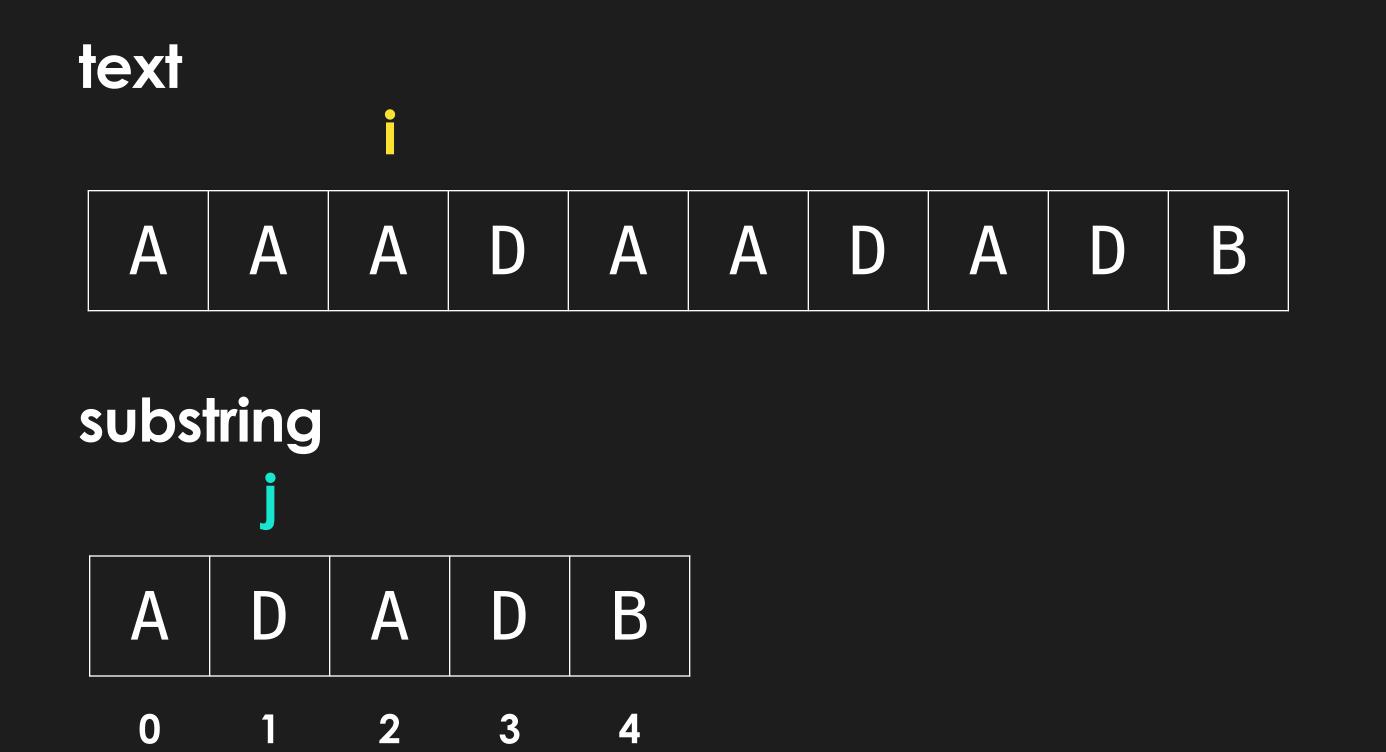
Here, we have come across our first **mismatch**. Notice how we stay at state 1. This is because our DFA knows that "A" is the longest **suffix** match of a substring prefix!





indicates which state we are currently in

indicates which char of the text we are at



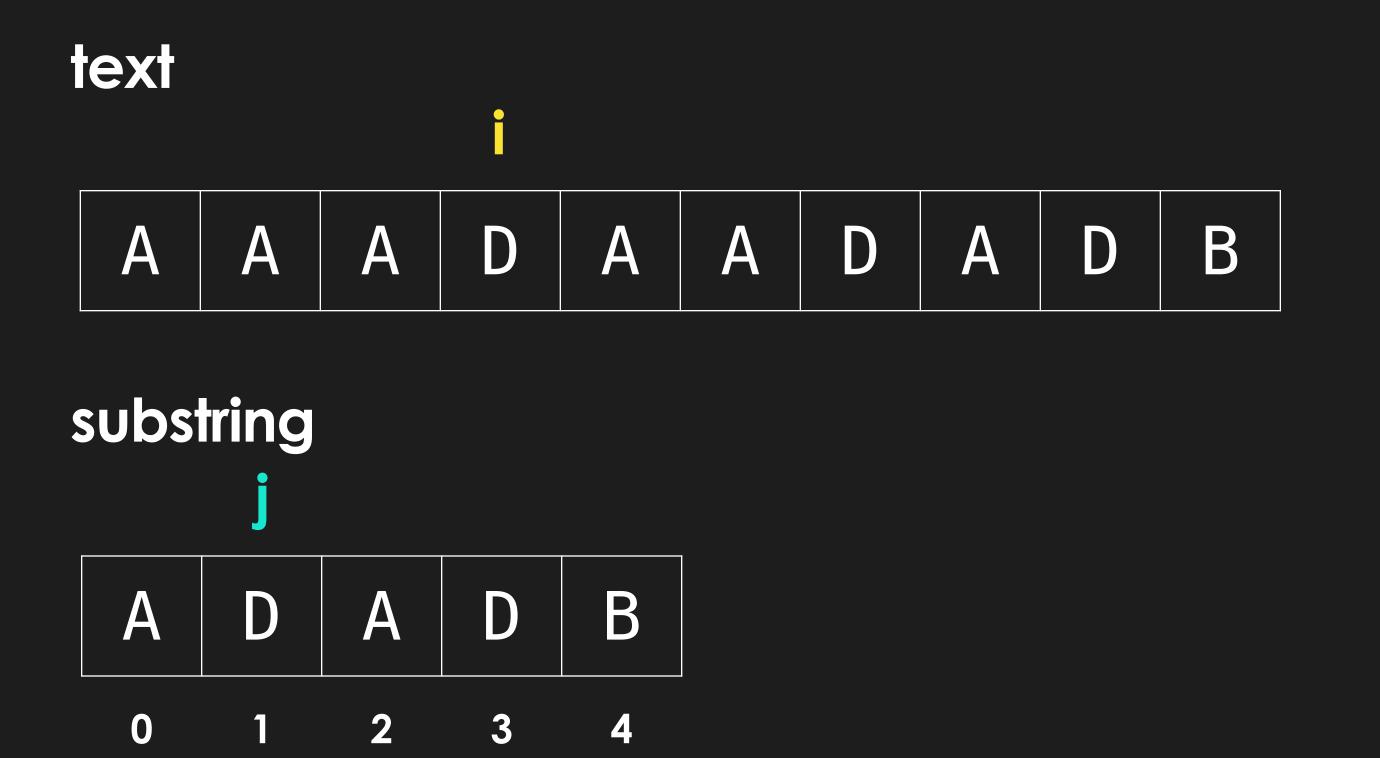
	0	1	2	3	4	5
A	1	1	3	1	3	0
D	O	2	0	4	0	0
В	O	0	0	0	5	0

dfa



indicates which state we are currently in

indicates which char of the text we are at



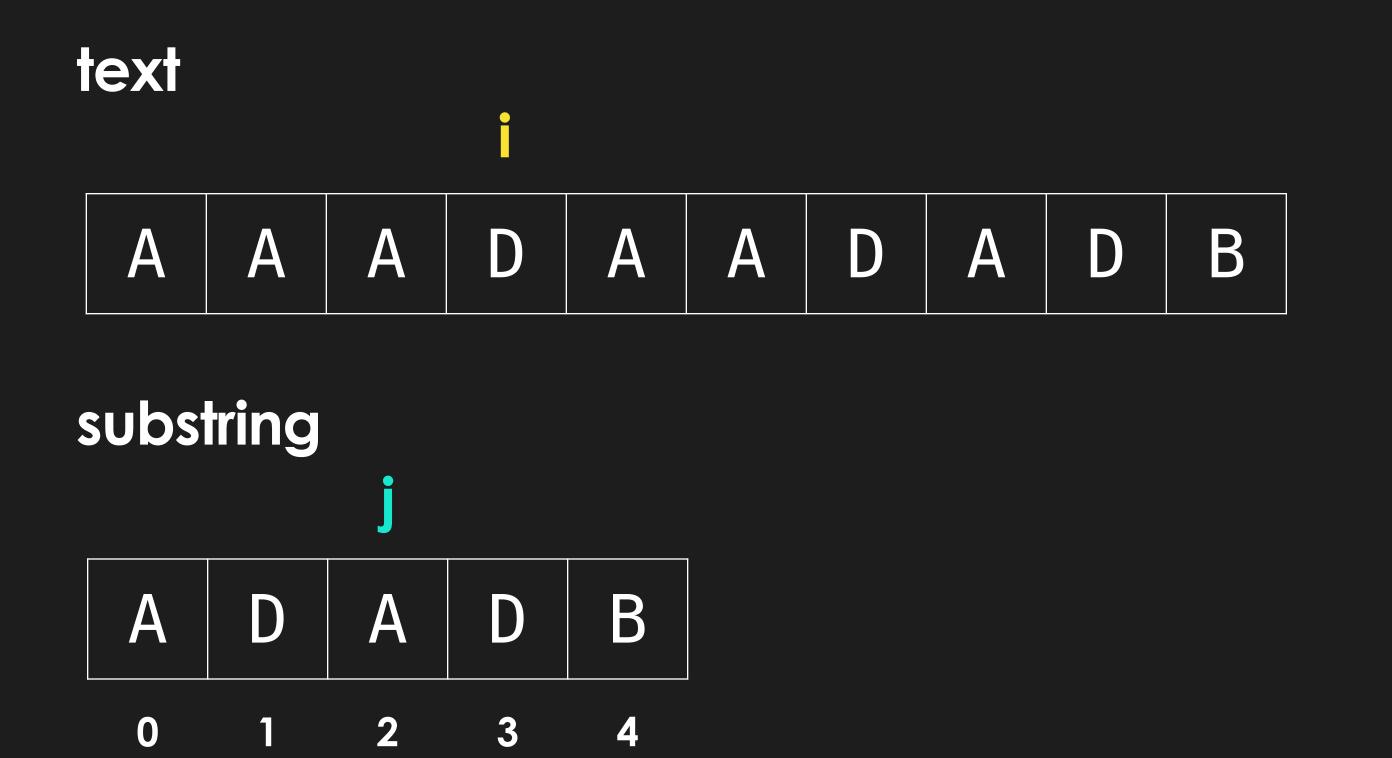
	0	1	2	3	4	5
A	1	1	3	1	3	O
D	O	2	0	4	0	0
В	0	0	0	0	5	0

dfa



j indicates which state we are currently in

indicates which char of the text we are at



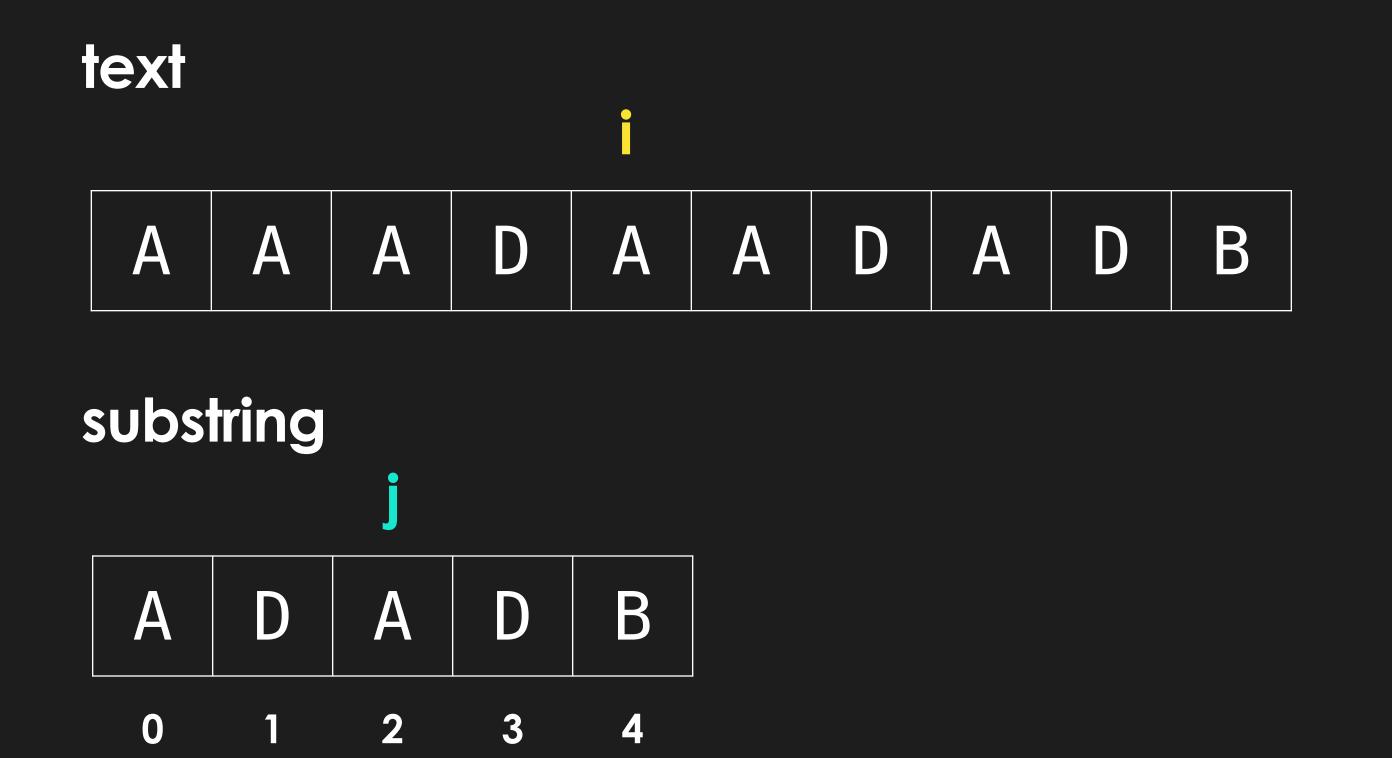
	0	1	2	3	4	5
A	1	1	3	1	3	O
D	O	2	0	4	0	O
В	O	0	0	0	5	O

dfa



indicates which state we are currently in

indicates which char of the text we are at



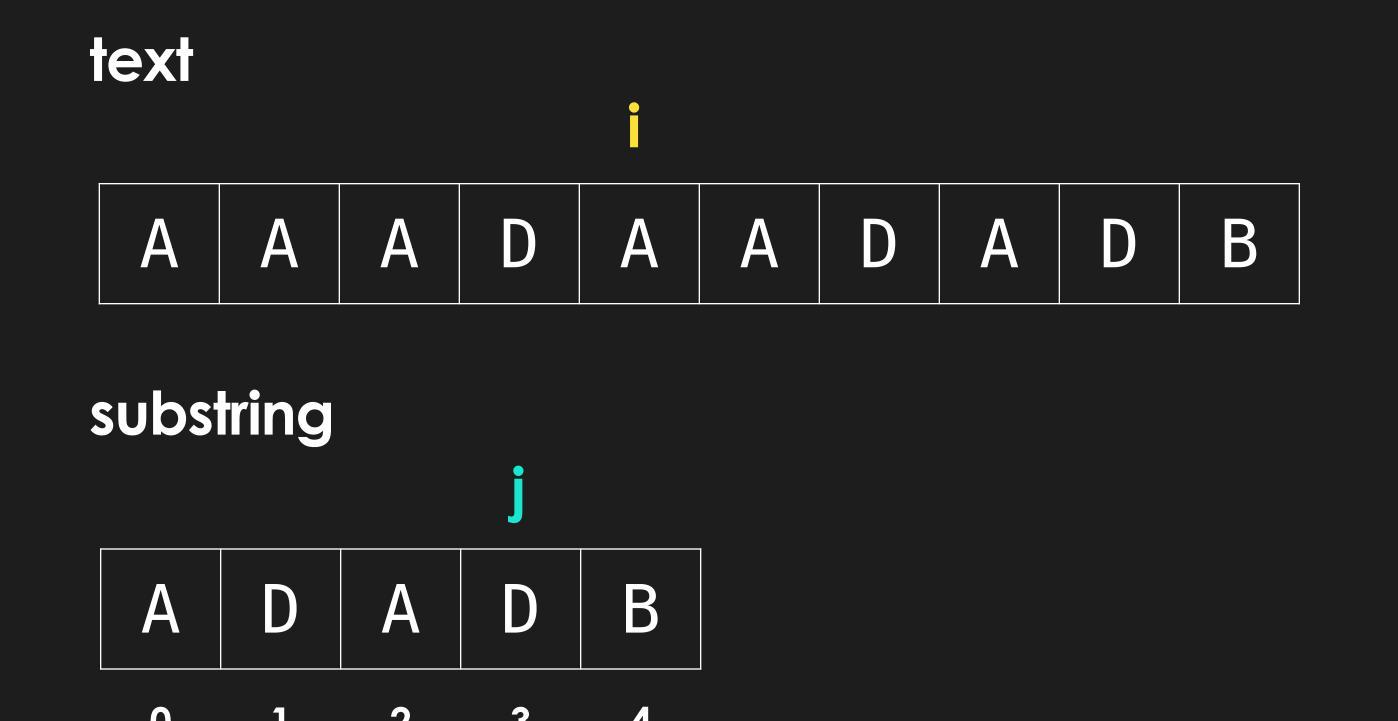
	0	1	2	3	4	5
A	1	1	3	1	3	O
D	O	2	0	4	O	O
В	O	O	0	0	5	O

dfa



indicates which state we are currently in

indicates which char of the text we are at



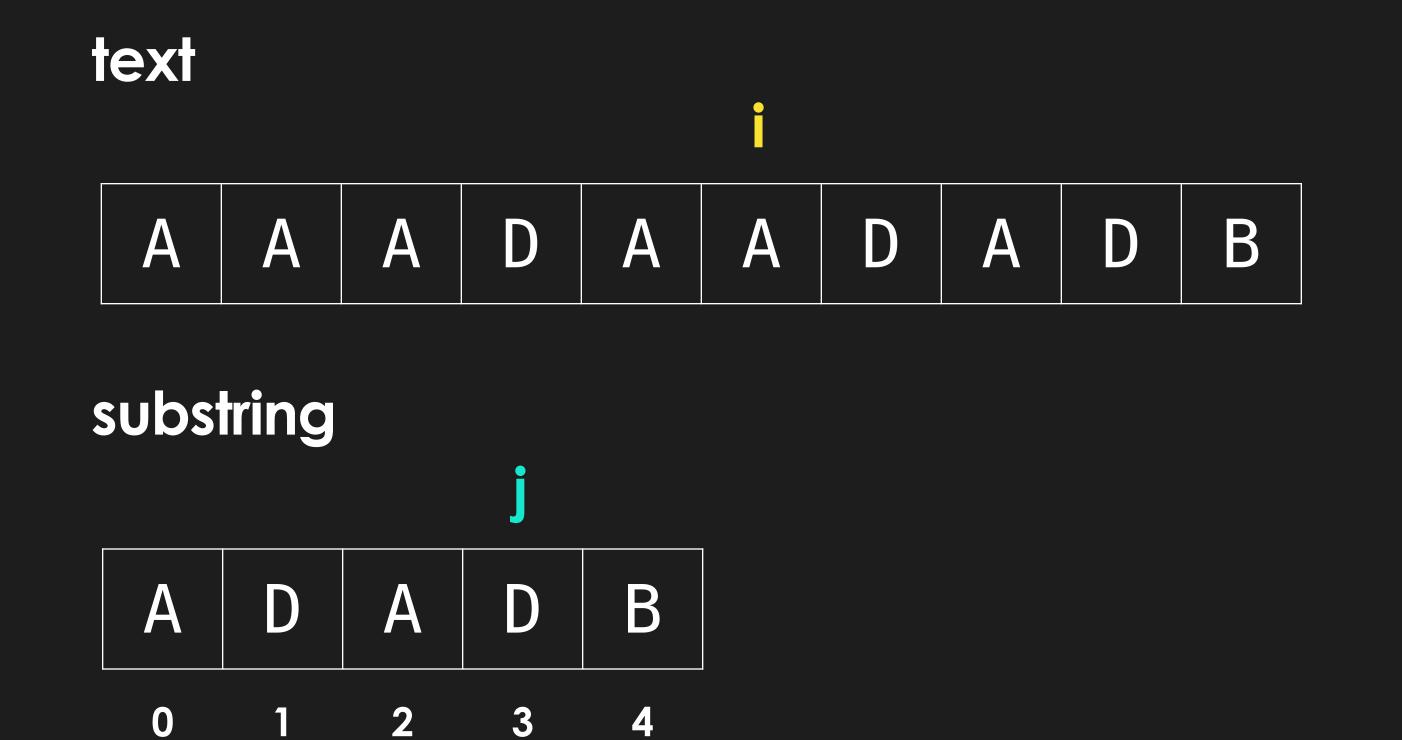
	0	1	2	3	4	5	
A	1	1	3	1	3	O	
D	0	2	O	4	0	O	
В	0	0	O	0	5	O	

dfa



indicates which state we are currently in

indicates which char of the text we are at



	0	1	2	3	4	5
A	1	1	3	1	3	O
D	0	2	0	4	0	O
В	0	0	0	0	5	O

dfa



indicates which state we are currently in

indicates which char of the text we are at



	0	1	2	3	4	5
A	1	1	3	1	3	O
D	0	2	O	4	Ο	O
В	0	0	O	0	5	0

dfa



A

D

indicates which state we are currently in

indicates which char of the text we are at

text A A A D A A D B substring

	0	1	2	3	4	5
A	1	1	3	1	3	O
D	O	2	O	4	Ο	O
В	O	0	0	0	5	O

dfa

A

D

j indicates which state we are currently in

indicates which char of the text we are at

text A A A D A D B substring j

	0	1	2	3	4	5
A	1	1	3	1	3	0
D	O	2	O	4	0	0
В	O	0	O	0	5	0

dfa



j indicates which state we are currently in

indicates which char of the text we are at

text A A A D A D B substring A D A D B

	0	1	2	3	4	5
A	1	1	3	1	3	0
D	0	2	0	4	0	O
В	O	0	0	0	5	O

dfa

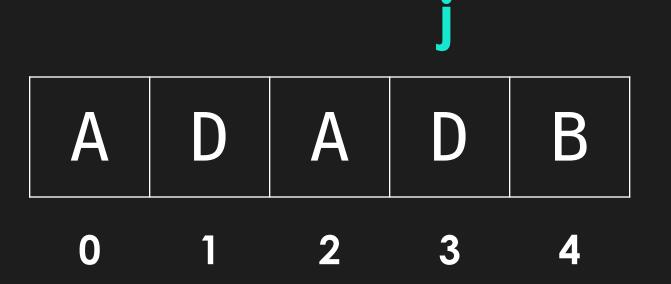


indicates which state we are currently in

indicates which char of the text we are at

text A A A D A A D B

substring



	0	1	2	3	4	5
A	1	1	3	1	3	O
D	0	2	O	4	0	O
В	Ο	0	O	0	5	O

dfa



indicates which state we are currently in

indicates which char of the text we are at

text A A A D A A D B substring

	0	1	2	3	4	5
A	1	1	3	1	3	O
D	O	2	O	4	0	O
В	O	O	O	0	5	O

dfa

A D A D B

0 1 2 3 4



j indicates which state we are currently in

indicates which char of the text we are at

text A A A D A A D B

substring



	0	1	2	3	4	5
A	1	1	3	1	3	O
D	0	2	0	4	0	0
В	0	0	0	0	5	0

dfa

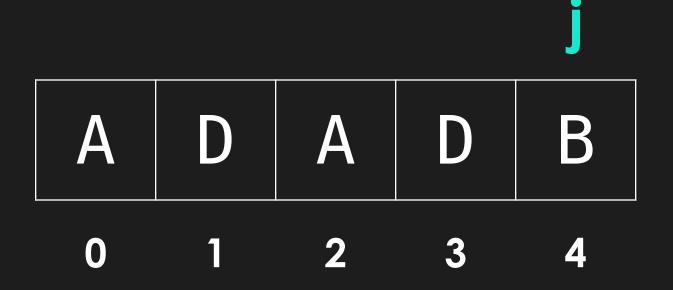


j indicates which state we are currently in

indicates which char of the text we are at

text A A A D A A D B

substring



	0	1	2	3	4	5
A	1	1	3	1	3	O
D	0	2	O	4	0	0
В	0	O	O	0	5	O

dfa



indicates which state we are currently in

indicates which char of the text we are at

text

A A A D A D B

substring

A D A D B

0 1 2 3 4

When j has reached the final state (length of substring), then we know that it has been matched!

	ara

	0	1	2	3	4	5
A	1	1	3	1	3	0
D	Ο	2	0	4	0	0
В	O	0	O	O	5	0



Pointers about KMP

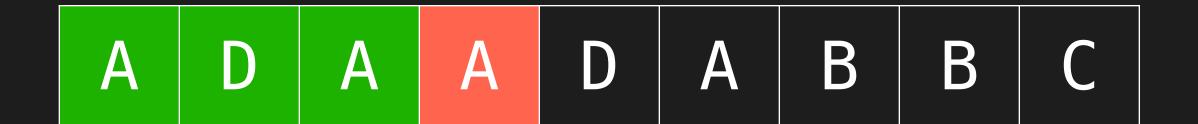
We only ever make one pass over the original text

A DFA is only dependent on the **substring** and is independent of the **text**





Imagine we have the following mismatch:

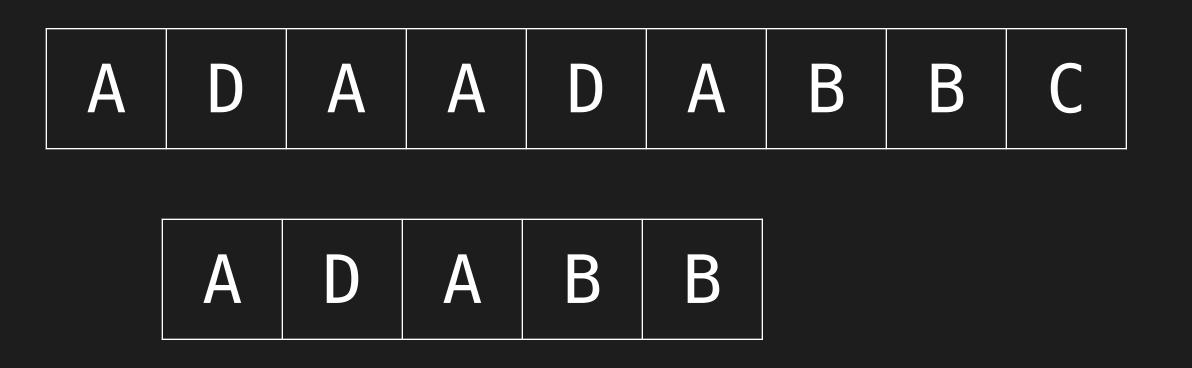


Α	D	Α	В	В

	0	1	2	3	4	5
4	1	1	3	1	1	O
	O	2	O	2	O	O
3	O	0	O	4	5	0



Imagine we have the following mismatch:

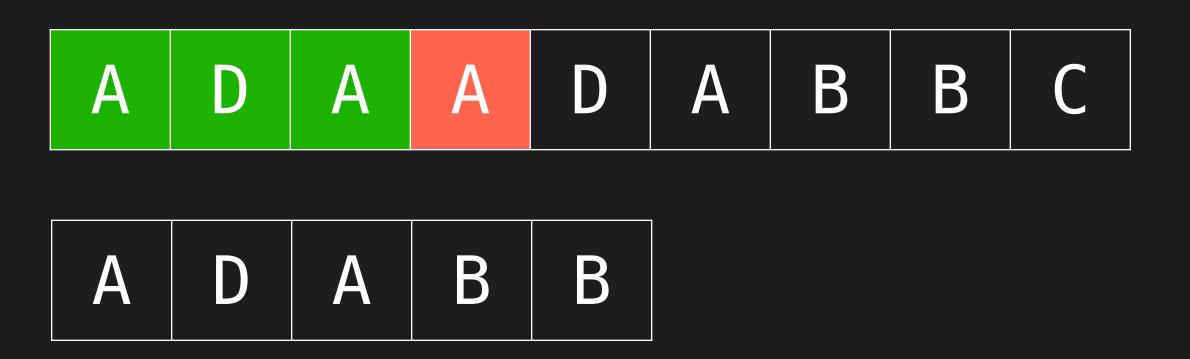


	0	1	2	3	4	5
A	1	1	3	1	1	O
D	O	2	0	2	0	O
В	0	0	0	4	5	0

At this point, we don't have to reset the entire check from the next index



Imagine we have the following mismatch:

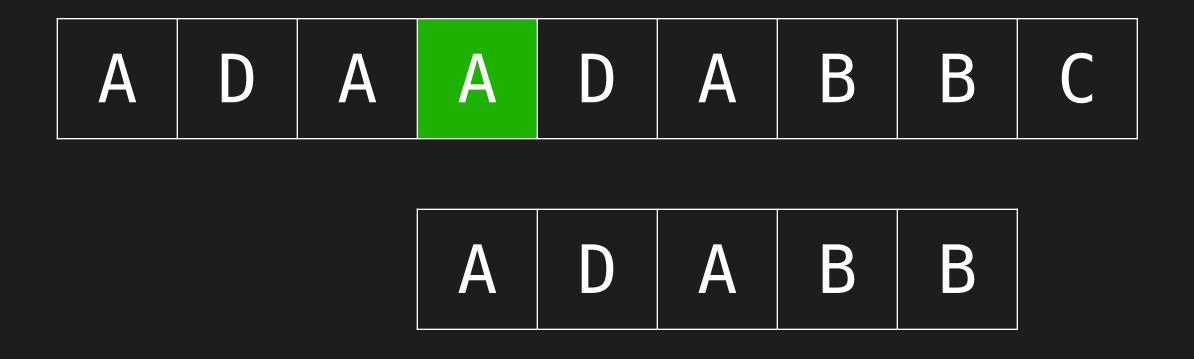


	0	1	2	3	4	5
A	1	1	3	1	1	O
D	O	2	0	2	O	O
В	O	0	0	4	5	O

Instead, based on our **DFA**, it knows that if we are at **state 3** and the mismatch is at **char D**, then we know that "**A**" (index 2 & 3) is the **longest matching suffix**, and so all we need to do is continue pattern matching from there



Imagine we have the following mismatch:



	0	1	2	3	4	5
A	1	1	3	1	1	O
D	O	2	0	2	0	O
В	O	O	O	4	5	O

Instead, based on our **DFA**, it knows that if we are at **state 3** and the mismatch is at **char D**, then we know that "**A**" (index 2 & 3) is the **longest matching suffix**, and so all we need to do is continue pattern matching from there



Knuth Morris Pratt Algorithm

Step 1: Build a **Deterministic Finite Automaton (DFA)** which guides the movement of our **iteration**

Step 2: Make one iteration over the text, keeping track of current **state** during each iteration



How to build a DFA?

How to build a DFA?

While it is possible to build a 2D list DFA for **KMP**, the process is tedious and complex

Instead, we are going to build a compact version, called a "longest proper prefix which is also suffix" table (LPS Table)

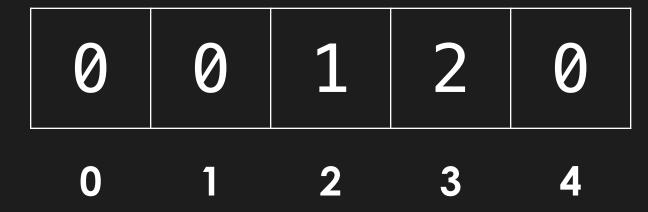
It is important to note that the mechanisms of this table are there to support what a DFA does



substring

Α	D	Α	D	В
		2		

LPS



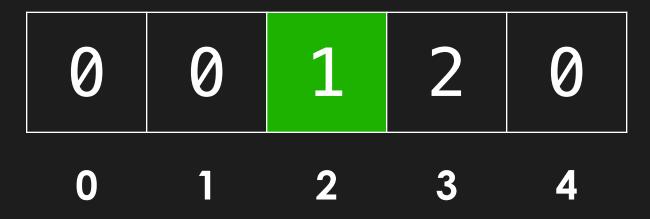
An LPS tells us the longest prefix which is also a suffix



substring



LPS

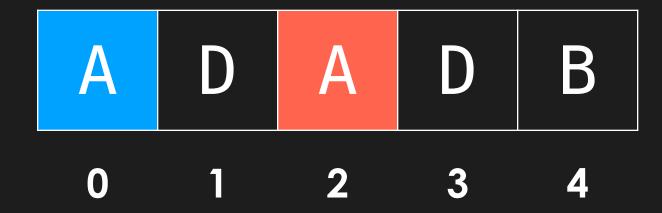


An LPS tells us the longest prefix which is also a suffix

Take for example lps[2]:

- substring[0:1] is the longest prefix that is also a suffix substring[2:3]
- "A" == "A"

substring



LPS



An LPS tells us the longest prefix which is also a suffix

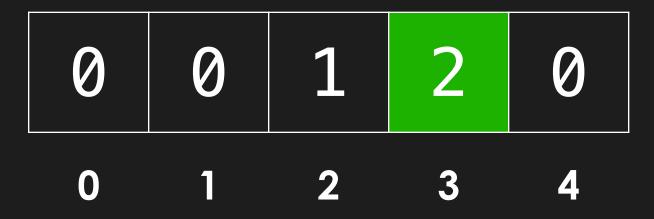
Take for example lps[2]:

- substring[0:1] is the longest prefix that is also a suffix substring[2:3]
- "A" == "A"

substring



LPS



An LPS tells us the longest prefix which is also a suffix

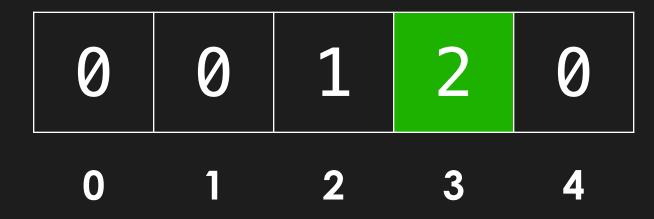
Looking at another example lps[3]:

- substring[0:2] is the longest prefix that is also a suffix substring[2:4]
- "AD" == "AD"

substring



LPS



An LPS tells us the longest prefix which is also a suffix

Looking at another example lps[3]:

- substring[0:2] is the longest prefix that is also a suffix substring[2:4]
- "AD" == "AD"

By knowing what is the longest prefix that is also a suffix, we can easily tell where to move j as we iterate through the text

text

İ

A D A C A D A D A D A D B	A	D	Α	C	Α	D	Α	D	Α	D	Α	D	В
---------------------------	---	---	---	---	---	---	---	---	---	---	---	---	---

LPS

substring

j



text

Α	D	Α	C	Α	D	Α	D	Α	D	Α	D	В

LPS

substring

j



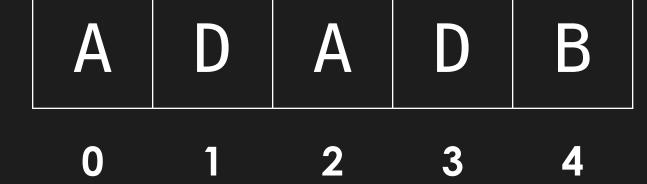
text

Α	D	Α	C	Α	D	Α	D	Α	D	A	D	В

LPS

substring

J



text

Α	D	Α	C	Α	D	Α	D	Α	D	Α	D	В

LPS

substring

A D A D B

O 1 2 3 4

text

substring

Α	D	Α	C	Α	D	Α	D	Α	D	Α	D	В

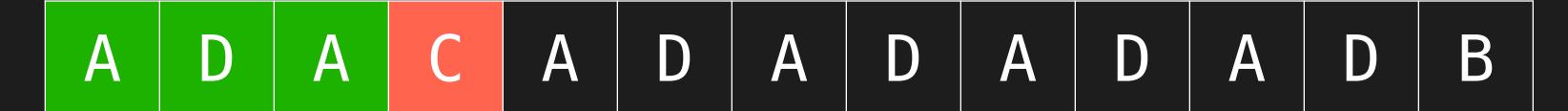
LPS

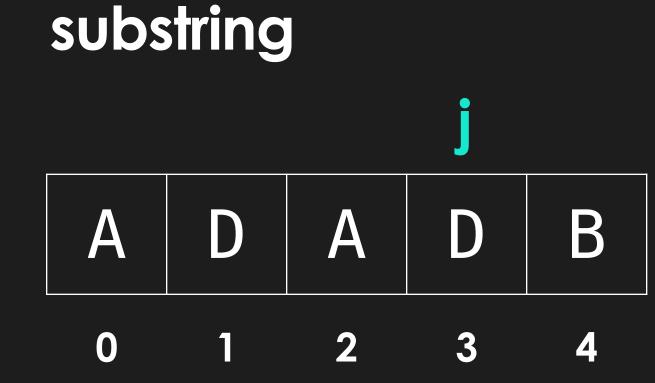
 A
 D
 A
 D
 B

 0
 1
 2
 3
 4

Mismatch encounter, so we move j to lps[j - 1] (the longest prefix possible)

text







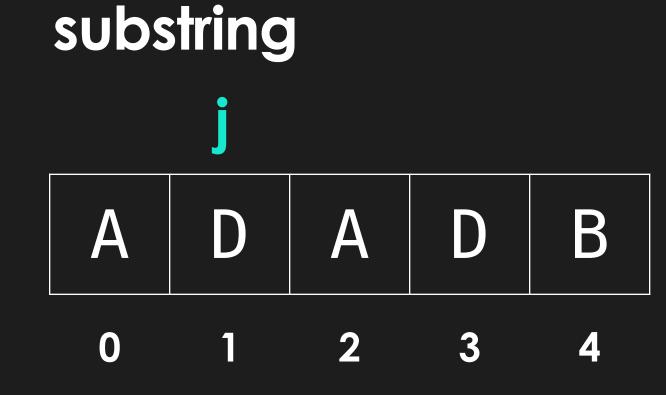
Mismatch encounter, so we move j to lps[j - 1] (the longest prefix possible)

text

İ

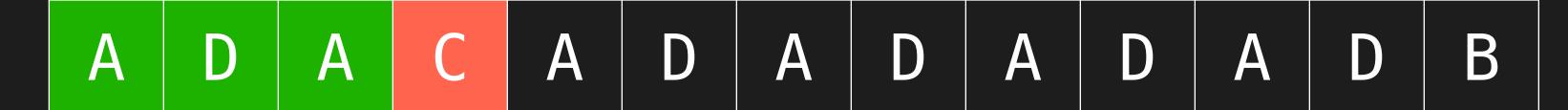
Α	D	Α	C	Α	D	Α	D	Α	D	Α	D	В

LPS



Once again, we have a mismatch so we move j to **lps[j - 1]**. This is an example of how the lps simply **only** tracks the longest previous prefix **possible**

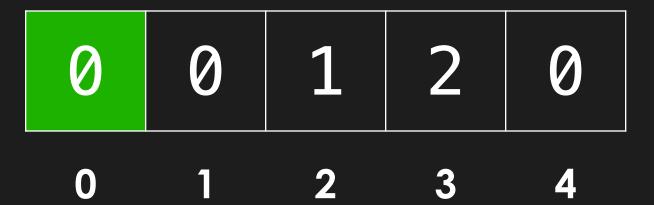
text



LPS

substring

J
A
D
A
D
0
1
2
3



Once again, we have a mismatch so we move j to **lps[j - 1]**. This is an example of how the lps simply **only** tracks the longest previous prefix **possible**

text

i

A D A C A D A D A D B

LPS

substring

j

A D A D B

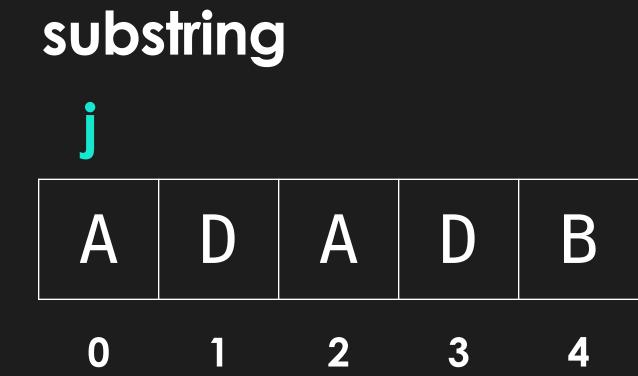
0 1 2 3 4

text

İ

Α	D	Α	C	Α	D	Α	D	Α	D	Α	D	В
---	---	---	---	---	---	---	---	---	---	---	---	---

LPS



text

i

Α	D	Α	C	A	D	Α	D	Α	D	Α	D	В

LPS

substring

A D A D B

O 1 2 3 4

text

A D A C A D A D A D A D B

substring

 A
 D
 A
 D
 B

 0
 1
 2
 3
 4



text

A D A C A D A D A D A D B

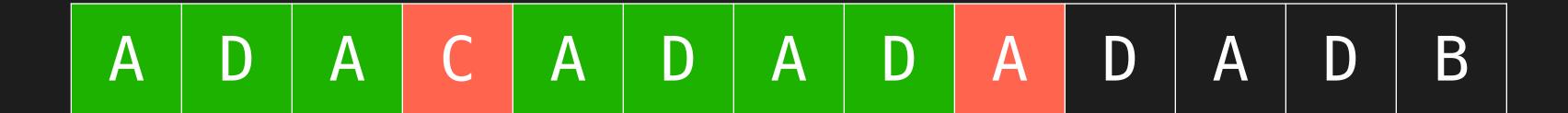
substring

A D A D B

0 1 2 3 4

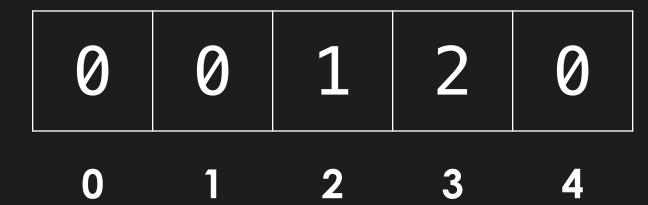


text



substring





text

A D A C A D A D A D A D B

substring

A D A D B

0 1 2 3 4



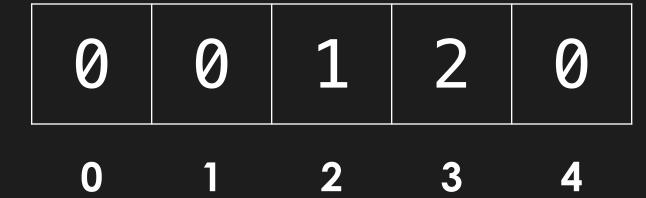
text

A D A C A D A D A D A D B

substring

 A
 D
 A
 D
 B

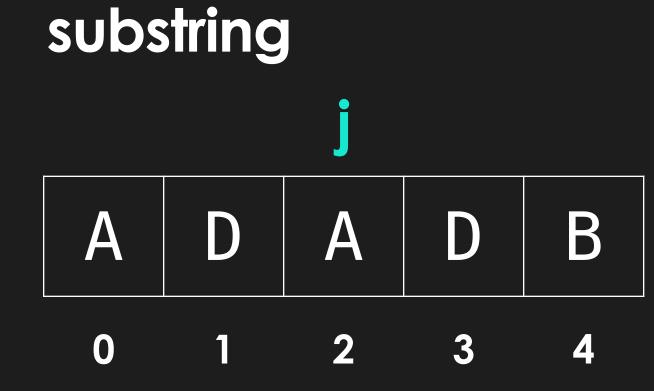
 0
 1
 2
 3
 4

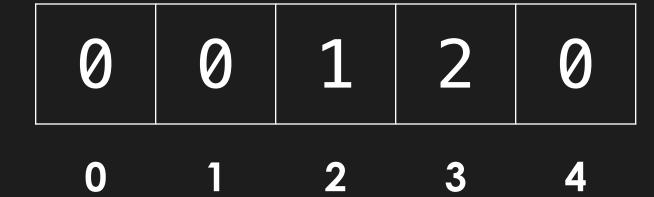


Our prefix matches, so we can move on!

text

Α	D	Α	C	Α	D	Α	D	Α	D	Α	D	В
						/ 		/ \				





text

A D A C A D A D A D B

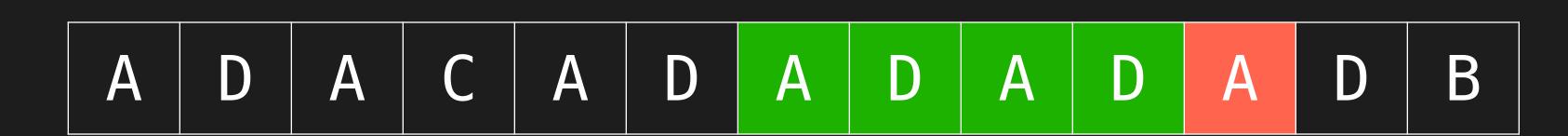
substring

A D A D B

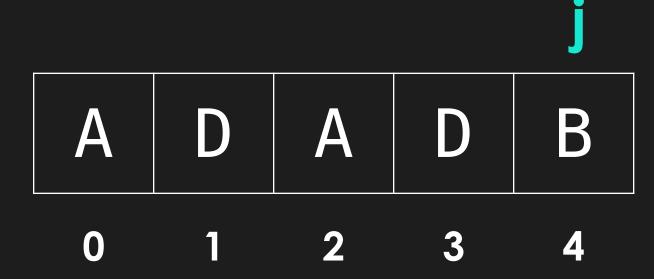
0 1 2 3 4

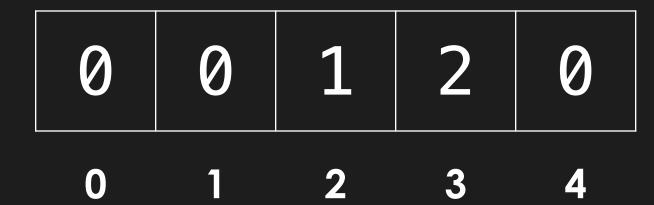


text

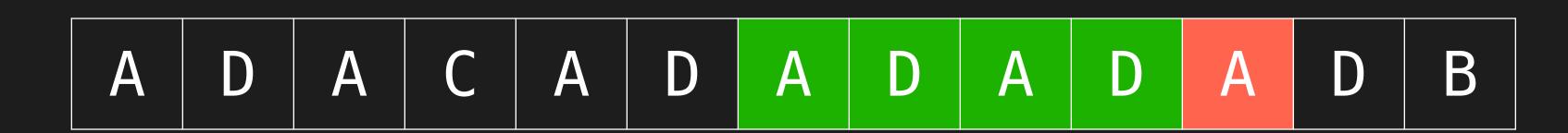


substring

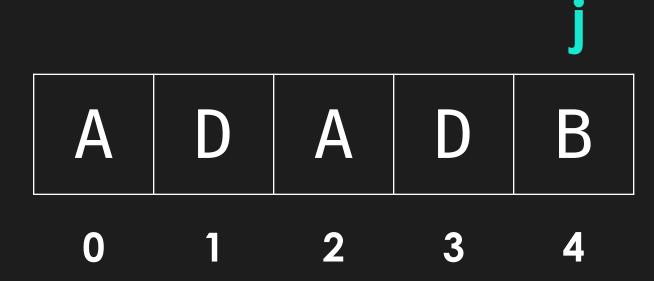




text

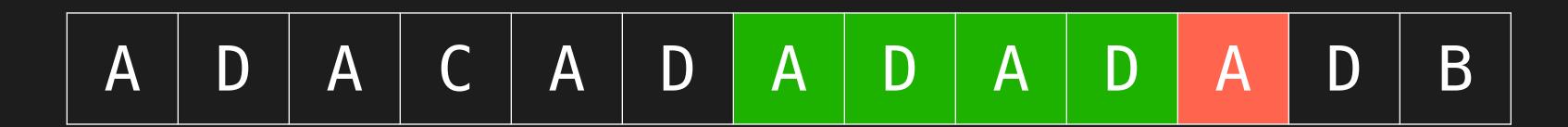


substring





text



substring

A D A D B

0 1 2 3 4



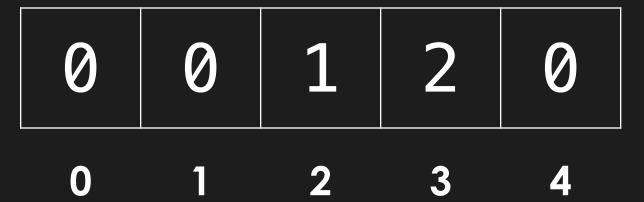
text

A D A C A D A D A D B

substring

A D A D B

0 1 2 3 4



text

A D A C A D A D A D B

substring

A D A D B

0 1 2 3 4





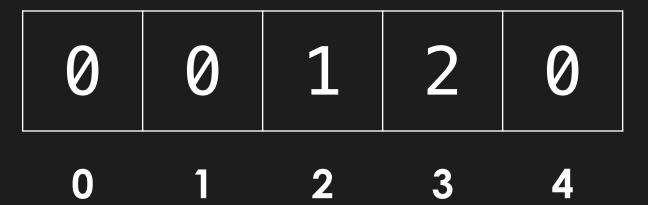
text

A D A C A D A D A D B

substring

A D A D B

0 1 2 3 4



Algorithm terminates when j increments past the length of the substring!

text

A D A C A D A D A D B

LPS

substring

 0
 1
 2
 0

 0
 1
 2
 3
 4

A D A D B

0 1 2 3 4

j



substring

 0
 0
 0
 0
 0
 0
 0

 0
 1
 2
 3
 4
 5
 6
 7

- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:
 - j = lps[j-1]
- 2. If substring[j] == substring[i]:
 - lps[i] = j + 1

i

i

Α	D	Α	D	Α	Α	D	Α
0							

substring

 0
 0
 0
 0
 0
 0
 0

 0
 1
 2
 3
 4
 5
 6
 7

- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:
 - j = lps[j-1]
- 2. If substring[j] == substring[i]:
 - lps[i] = j + 1

i

Α	D	Α	D	Α	Α	D	Α
0							

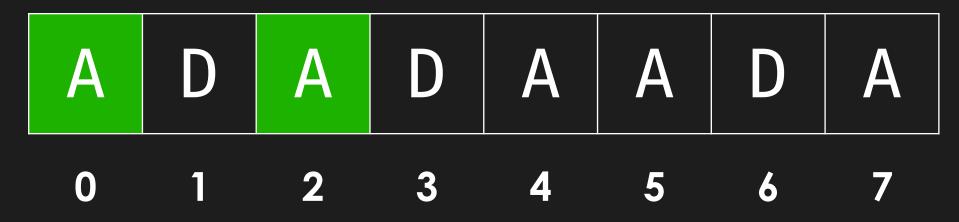
substring

 0
 0
 0
 0
 0
 0
 0

 0
 1
 2
 3
 4
 5
 6
 7

- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:
 - j = lps[j-1]
- 2. If substring[j] == substring[i]:
 - lps[i] = j + 1

i



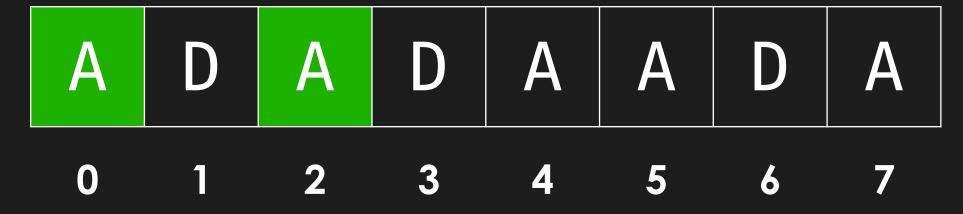
substring

 0
 0
 0
 0
 0
 0
 0

 0
 1
 2
 3
 4
 5
 6
 7

- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:
 - j = lps[j-1]
- 2. If substring[j] == substring[i]:
 - lps[i] = j + 1

j



substring

 0
 0
 0
 0
 0
 0
 0

 0
 1
 2
 3
 4
 5
 6
 7

- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:

•
$$j = lps[j-1]$$

- 2. If substring[j] == substring[i]:
 - lps[i] = j + 1

j

0

0

0



0

3

0

0

substring

0

0

- A. Initialise length M array of 0s
 - B. Set j to be 0
 - C. Increment through substring with i:
 - 1. While j > 0 and substring[j] != substring[i]:
 - j = lps[j-1]
 - 2. If substring[j] == substring[i]:
 - lps[i] = j + 1

j

Ĭ



substring

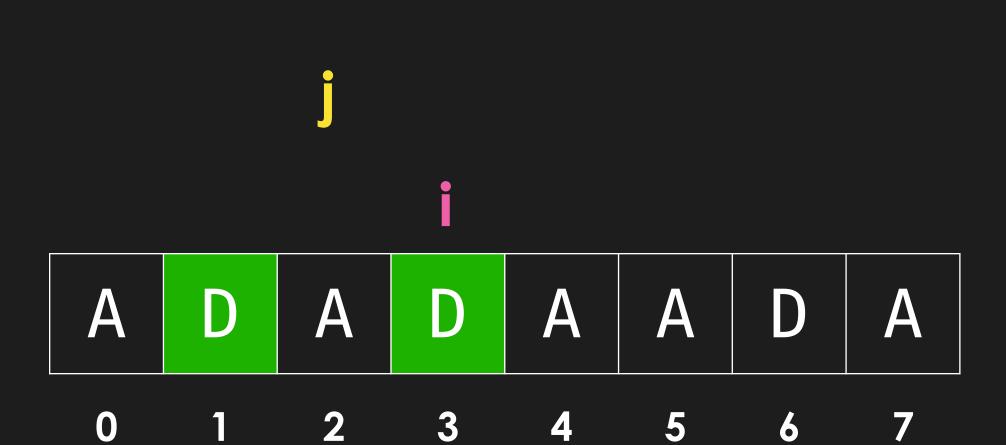
 0
 1
 0
 0
 0
 0
 0

 0
 1
 2
 3
 4
 5
 6
 7

- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:

•
$$j = lps[j-1]$$

- 2. If substring[j] == substring[i]:
 - lps[i] = j + 1



substring

 0
 1
 2
 0
 0
 0
 0

 0
 1
 2
 3
 4
 5
 6
 7

- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:

•
$$j = lps[j-1]$$

2. If substring[j] == substring[i]:

•
$$lps[i] = j + 1$$

i

Α	D	Α	D	Α	Α	D	A
0							

substring

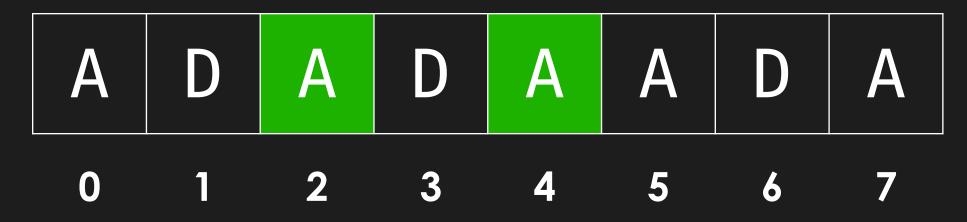
 0
 1
 2
 0
 0
 0
 0

 0
 1
 2
 3
 4
 5
 6
 7

- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:
 - j = lps[j-1]
- 2. If substring[j] == substring[i]:
 - lps[i] = j + 1

j

i



substring

 0
 1
 2
 0
 0
 0
 0

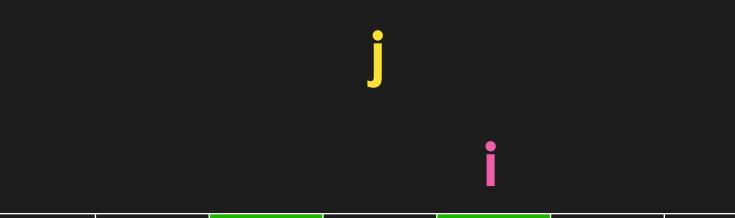
 0
 1
 2
 3
 4
 5
 6
 7

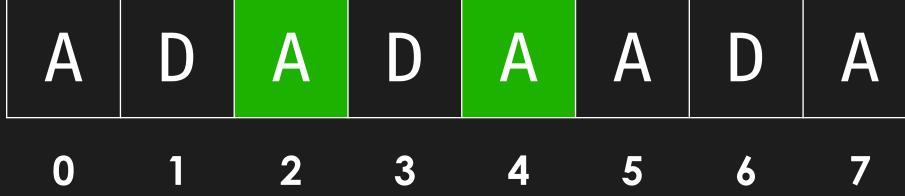
- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:

•
$$j = lps[j-1]$$

2. If substring[j] == substring[i]:

•
$$lps[i] = j + 1$$





- A. Initialise length M array of 0s substring
 - B. Set j to be 0
 - C. Increment through substring with i:
 - While j > 0 and substring[j] != substring[i]:

•
$$j = lps[j-1]$$

If substring[j] == substring[i]:

•
$$lps[i] = j + 1$$

j

i

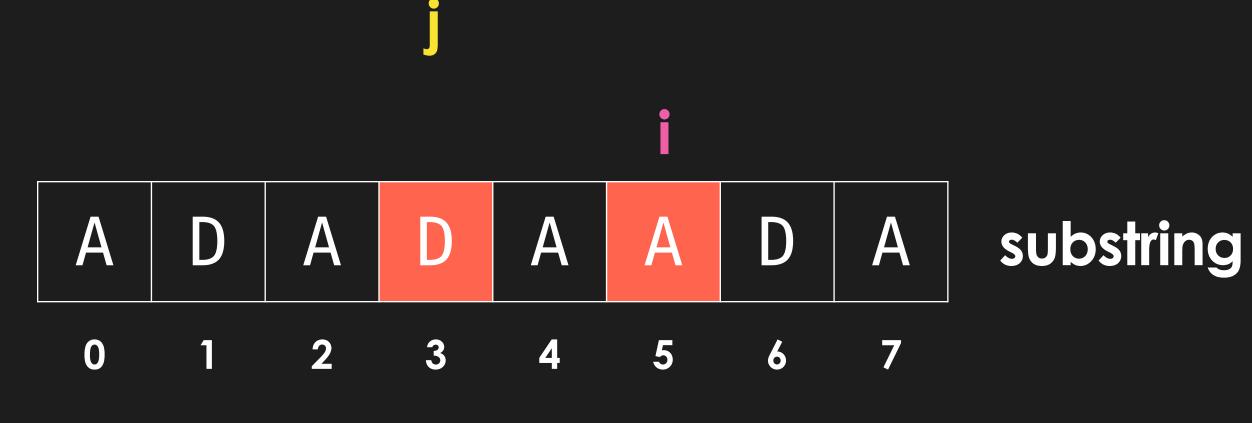
Α	D	Α	D	Α	Α	D	Α
0							

substring

 0
 1
 2
 3
 0
 0
 0

 0
 1
 2
 3
 4
 5
 6
 7

- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:
 - j = lps[j-1]
- 2. If substring[j] == substring[i]:
 - lps[i] = j + 1



- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:

•
$$j = lps[j-1]$$

2. If substring[j] == substring[i]:

•
$$lps[i] = j + 1$$

j



substring



- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:

•
$$j = lps[j-1]$$

2. If substring[j] == substring[i]:

•
$$lps[i] = j + 1$$





- A. Initialise length M array of 0s
- B. Set j to be 0

substring

- C. Increment through substring with i:
- While j > 0 and substring[j] != substring[i]:

•
$$j = lps[j-1]$$

If substring[j] == substring[i]:

•
$$lps[i] = j + 1$$

j



substring



- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:

•
$$j = lps[j-1]$$

2. If substring[j] == substring[i]:

•
$$lps[i] = j + 1$$

i

 A
 D
 A
 D
 A

 0
 1
 2
 3
 4
 5
 6
 7

substring



- A. Initialise length M array of 0s
- B. Set j to be 0
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:
 - j = lps[j-1]
- 2. If substring[j] == substring[i]:
 - lps[i] = j + 1

j





- A. Initialise length M array of 0s
- B. Set j to be 0

substring

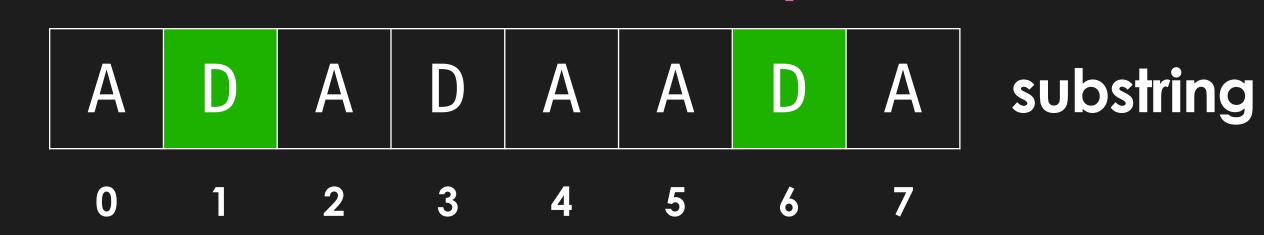
- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:

•
$$j = lps[j-1]$$

2. If substring[j] == substring[i]:

•
$$lps[i] = j + 1$$

j



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j

 A
 D
 A
 D
 A
 A
 D
 A

 0
 1
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substring



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- A. Initialise length M array of 0s substring
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 - C. Increment through substring with i:
 - While j > 0 and substring[j] != substring[i]:

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$$j = lps[j-1]$$

If substring[j] == substring[i]:

•
$$lps[i] = j + 1$$



substring



- A. Initialise length M array of 0s
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- A. Initialise length M array of 0s
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- C. Increment through substring with i:
- 1. While j > 0 and substring[j] != substring[i]:
 - j = lps[j-1]
- 2. If substring[j] == substring[i]:
 - lps[i] = j + 1

Note: In some implementations, memo might be stored like so, where everything is shifted forwards by one



Implementation of Knuth Morris Pratt

BuildLPS

```
def buildLPS(substring):
    lps = [0] * len(substring)
    j = 0
    for i in range(1, len(substring)):
        while j > 0 and substring[i] != substring[j]:
            j = lps[j - 1]
        if substring[j] == substring[i]:
            j += 1
            lps[i] = j
    return lps
```



KMP

```
def KnuthMorrisPratt(text, substring):
    lps = buildLPS(substring)
    i = 0
    j = 0
    for i in range(len(text)):
        while (j > 0 and text[i] != substring[j]):
            j = lps[j - 1]
        if text[i] == substring[j]:
            j += 1
        if j == len(substring):
            print("Found match at index {}".format(i - len(substring) + 1))
            j = lps[j - 1]
```

Analysis of KMP

Time complexity of DFA

1. The time complexity of constructing a substring DFA:

R (number of unique chars in substring) * K (length of substring)

2. The time complexity of searching for a substring within a text using the DFA takes:

N (length of text)



Time complexity of LPS

1. The time complexity of **constructing** a substring LPS:

K (length of substring)

2. The time complexity of searching for a substring within a text using the DFA takes:

N (length of text)

Although the LPS table is easier to construct than the DFA, it does create some overhead when iterating over the string because the lps table doesn't provide a **guaranteed match when shifting j**



Time complexity of KMP

- 1. Overall, the time complexity of KMP is O(K + N)
- 2. It is important to note that computing the LPS / DFA only needs to be done once for a substring, and can be used repeatedly for any text

Difference between DFA and LPS

- Using the DFA, we are given a guaranteed match after state transition, whereas, for LPS, we are directed to the longest possible prefix given that mismatch, and may make up to K transitions
- 2. In the DFA, we consider **current state, and current char**. For LPS, we only consider **match or mismatch**.
- 4. LPS is a more popular implementation of the KMP algorithm

Lab Session 1

Lab Session 1

- In this lab session, you will be implementing kmp.py
- Your task is to implement Knuth Morris Pratt algorithm, using a longest prefix suffix (LPS) table
- You should implement the buildLPS & KnuthMorrisPratt functions
- buildLPS takes in a substring and returns a length M, where M is len(substring), array
 representing the lps table for that substring
- KnuthMorrisPratt takes in a text & substring, and returns a list of all indices marking the start of a substring match in the text
- To test, run `python utils/kmp_test.py`



Solution: buildLPS

```
def buildLPS(substring):
    lps = [0] * len(substring)
    j = 0
    for i in range(1, len(substring)):
        while j > 0 and substring[i] != substring[j]:
            j = lps[j - 1]
        if substring[j] == substring[i]:
            j += 1
            lps[i] = j
    return lps
```



Solution: KnuthMorrisPratt

```
def KnuthMorrisPratt(text: str, substring: str):
    lps = buildLPS(substring)
    j = 0
    res = []
    for i in range(len(text)):
        while (j > 0 and text[i] != substring[j]):
           j = lps[j - 1]
        if text[i] == substring[j]:
           j += 1
        if j == len(substring):
            res.append(i - len(substring) + 1)
            j = lps[j - 1]
    return res
```



Can we do better?

Can we do better?

- Brute-Force: O(N * M)
- KMP: O(N)
- Boyer-Moore: ?

What is Boyer-Moore?

KMP vs Boyer-Moore





While KMP matches chars forwards, and remembers the **longest prefix**, such that you only ever **iterate once through the text**







Boyer Moore starts with comparisons backwards!







Boyer Moore starts with comparisons backwards!







When there's a mismatch, you simply move the substring forward based on two heuristics: **Bad Char Heuristic / Good Suffix Heuristic**







When there's a mismatch, you simply move the substring forward based on two heuristics: **Bad Char Heuristic / Good Suffix Heuristic**

In this lesson, we will only cover the bad char heuristic



Boyer-Moore Algorithm: Bad Char Heuristic

	A D A C N A D A D B A B C D C	A B text
--	-------------------------------	----------

D D C A B substring



- i indicates where the matching begins (we always match right to left in Boyer-Moore)

B

- j indicates how far we've matched

A D A C N A D A D B A B C D D

j
D D C A B



- indicates **where the matching begins** (we always match right to left in Boyer-Moore)
- j indicates how far we've matched

Mismatch case 1: Mismatch is not in pattern

- Shift pattern M (length of substring) chars forward

 A
 D
 A
 C
 N
 A
 D
 A
 D
 B
 A
 B
 C
 D
 D
 C
 A
 B



- i indicates **where the matching begins** (we always match right to left in Boyer-Moore)
- j indicates how far we've matched

Mismatch case 1: Mismatch is not in pattern

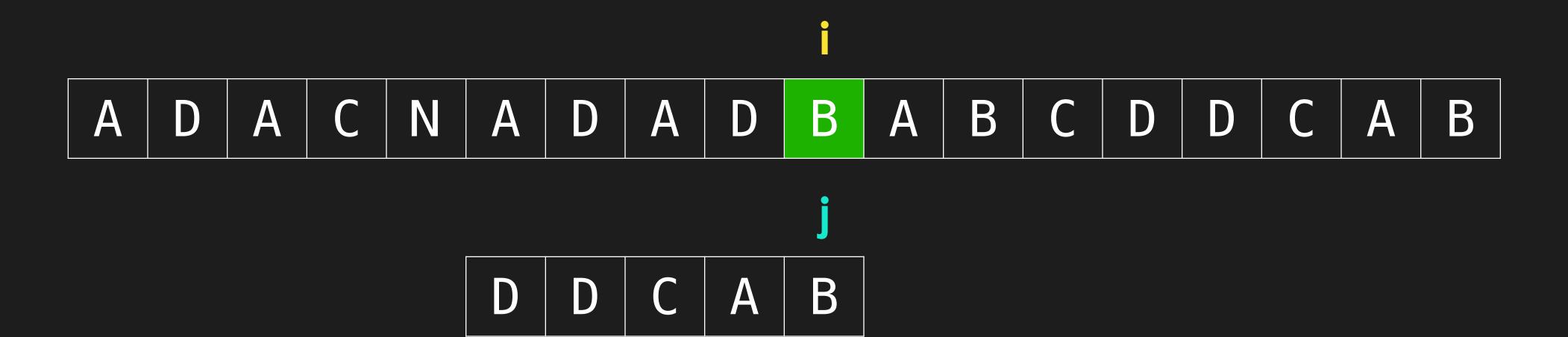
- Shift pattern M (length of substring) chars forward

 A
 D
 A
 C
 N
 A
 D
 A
 D
 B
 A
 B
 C
 D
 D
 C
 A
 B

D D C A B



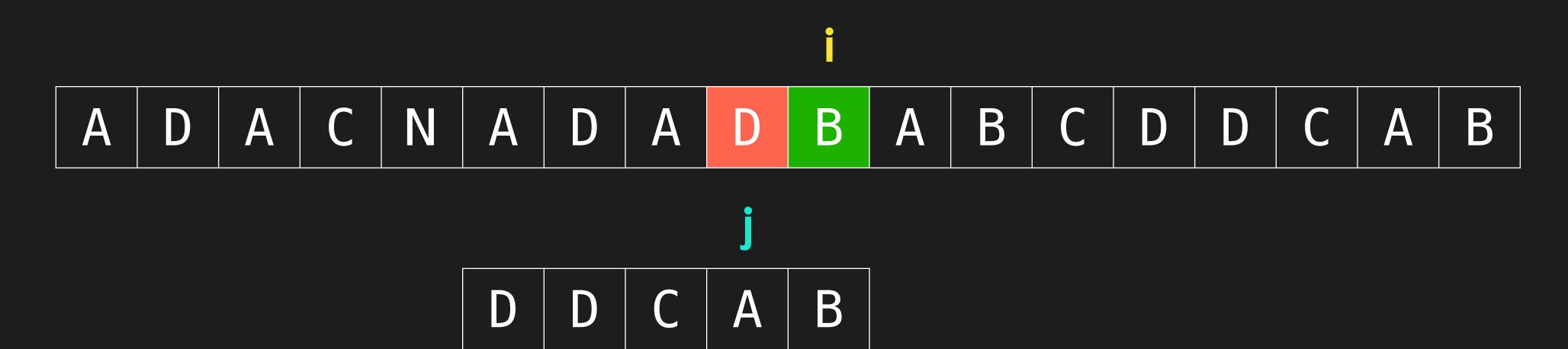
- i indicates where the matching begins (we always match right to left in Boyer-Moore)
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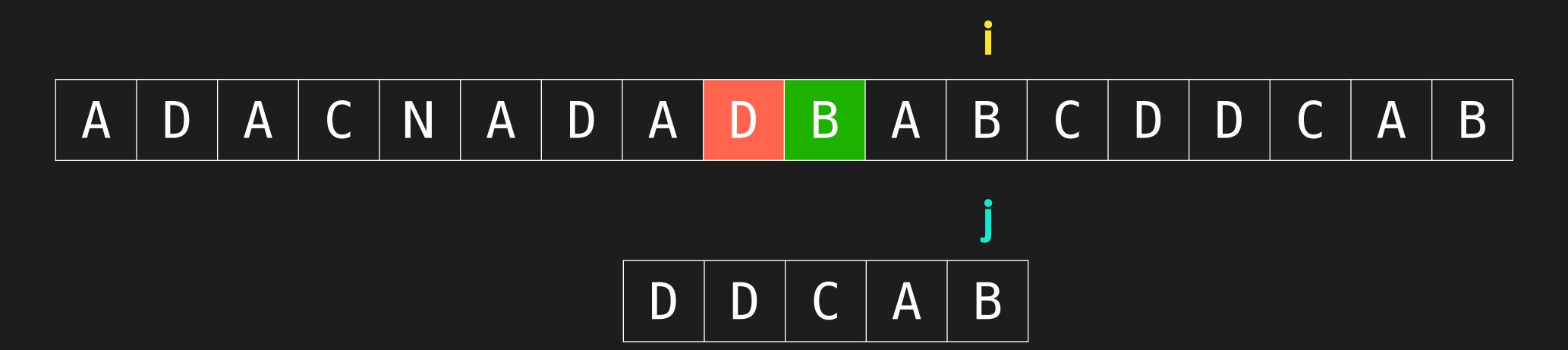
Mismatch case 2a: Mismatch is in pattern, move substring over to last instance





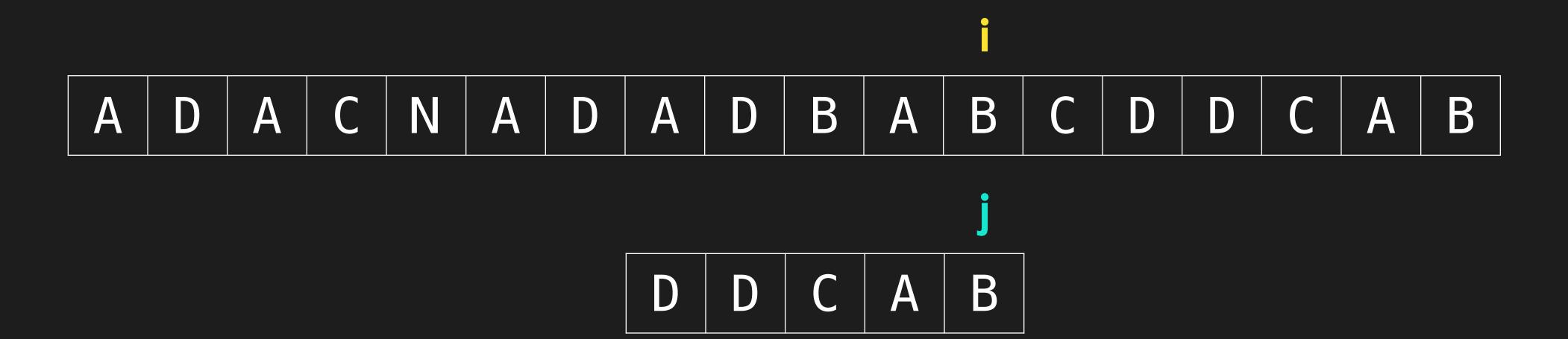
- i indicates **where the matching begins** (we always match right to left in Boyer-Moore)
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Mismatch case 2a: Mismatch is in pattern, move substring over to last instance



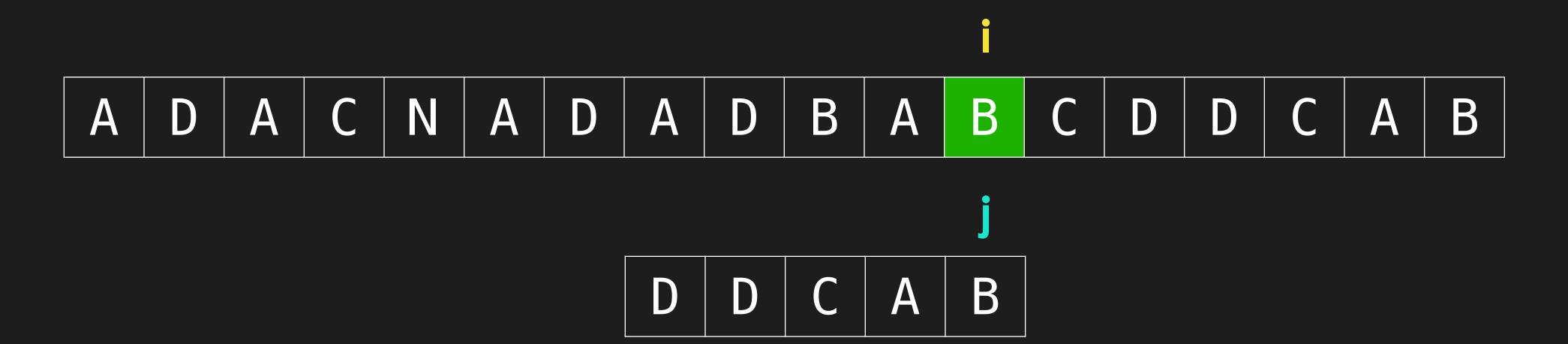


- i indicates where the matching begins (we always match right to left in Boyer-Moore)
- j indicates how far we've matched

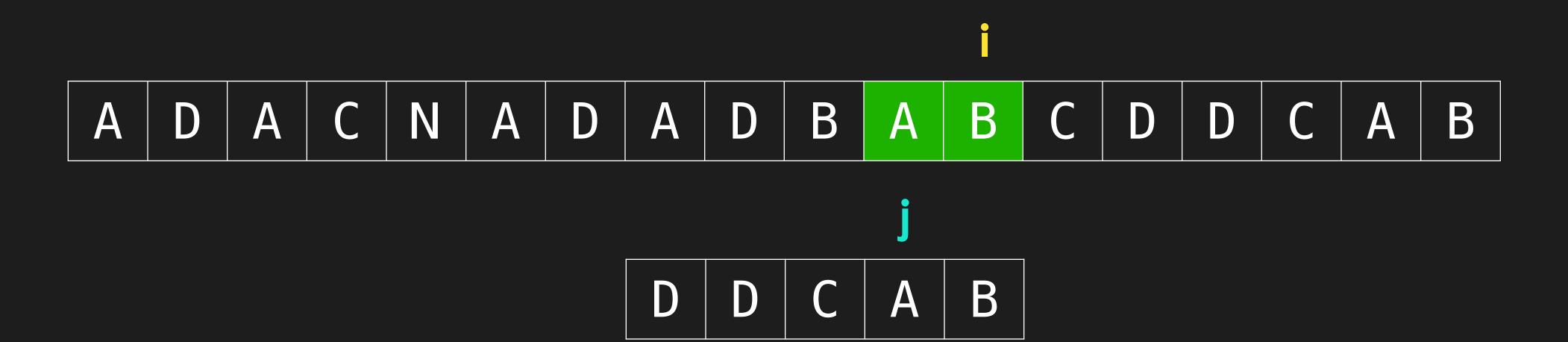




- i indicates where the matching begins (we always match right to left in Boyer-Moore)
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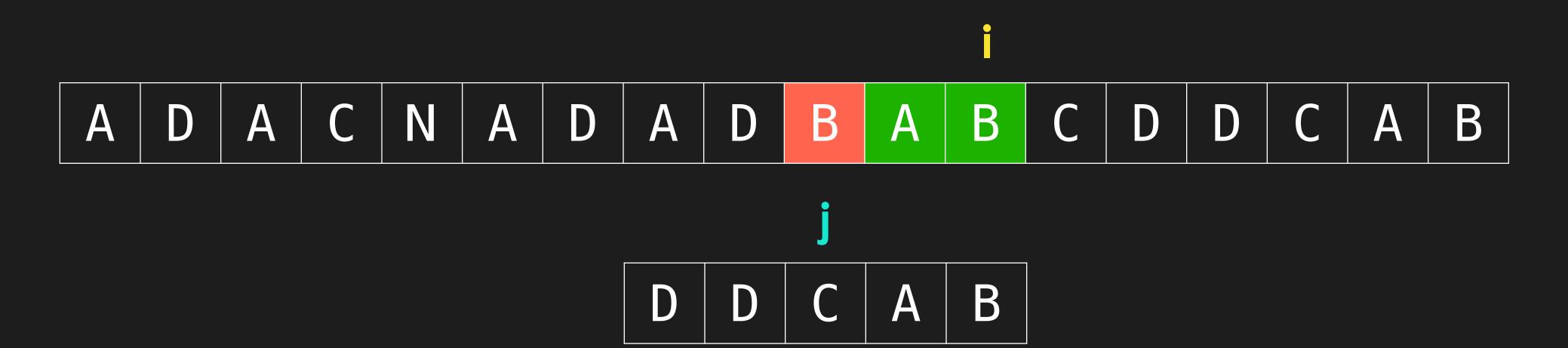
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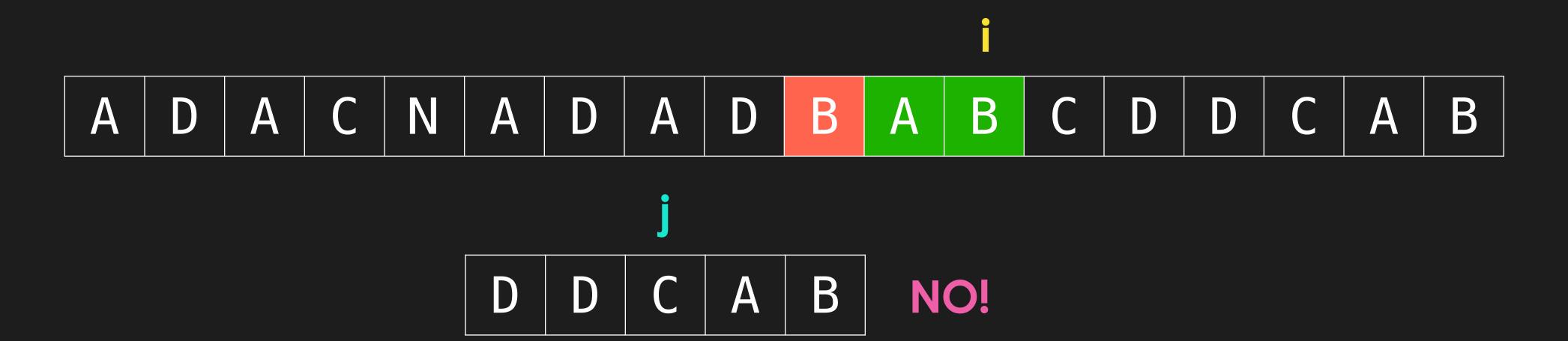
Mismatch case 2b: Mismatch is in pattern, but requires backtrack





- indicates where the matching begins (we always match right to left in Boyer-Moore)
- j indicates how far we've matched

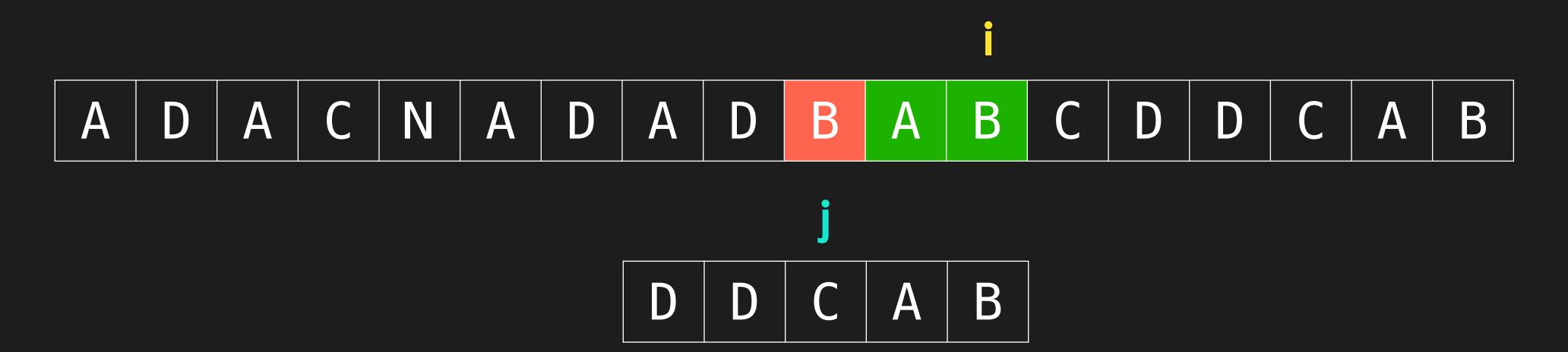
Mismatch case 2b: Mismatch is in pattern, but requires backtrack





- i indicates **where the matching begins** (we always match right to left in Boyer-Moore)
- j indicates how far we've matched

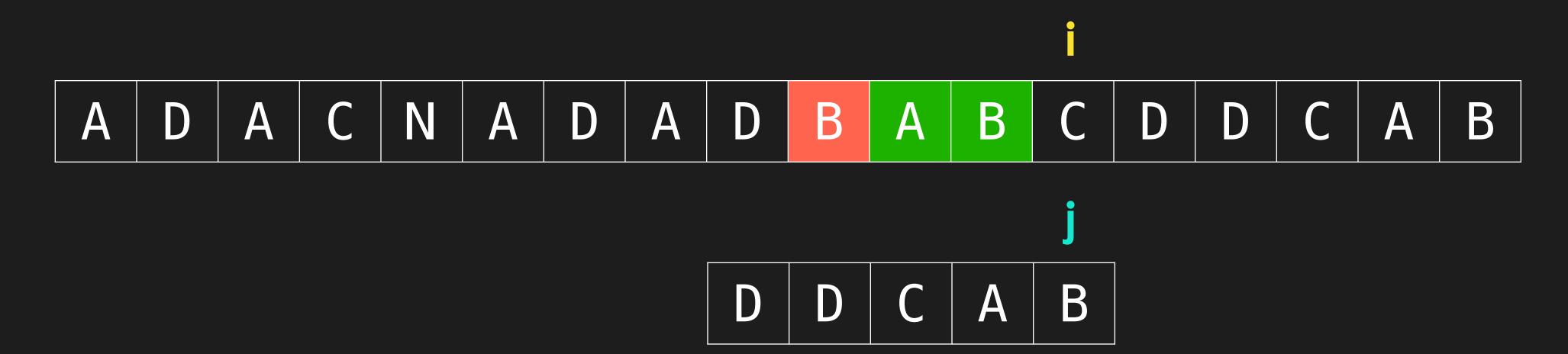
Mismatch case 2b: Mismatch is in pattern, but requires backtrack (simply move one step forward)





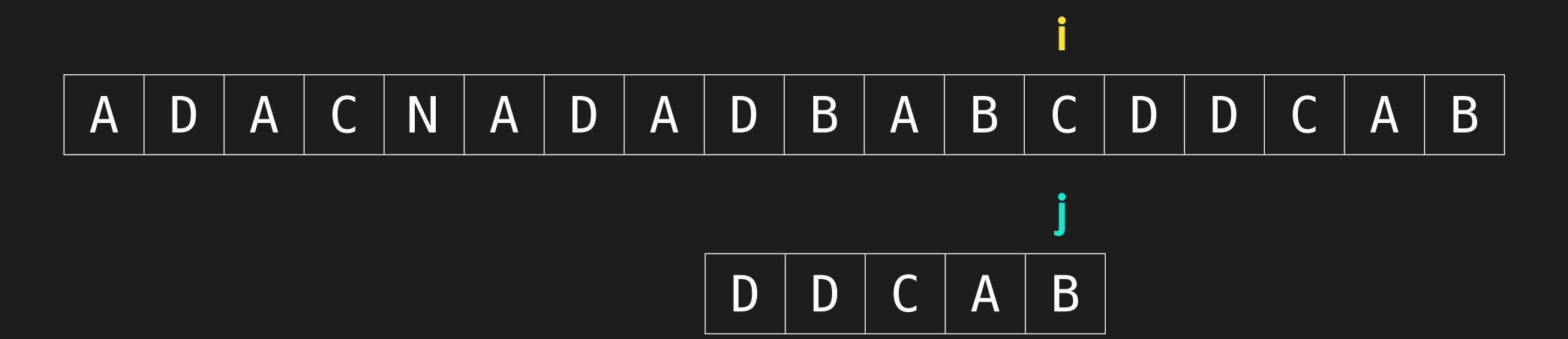
- i indicates **where the matching begins** (we always match right to left in Boyer-Moore)
- j indicates how far we've matched

Mismatch case 2b: Mismatch is in pattern, but requires backtrack (simply move one step forward)

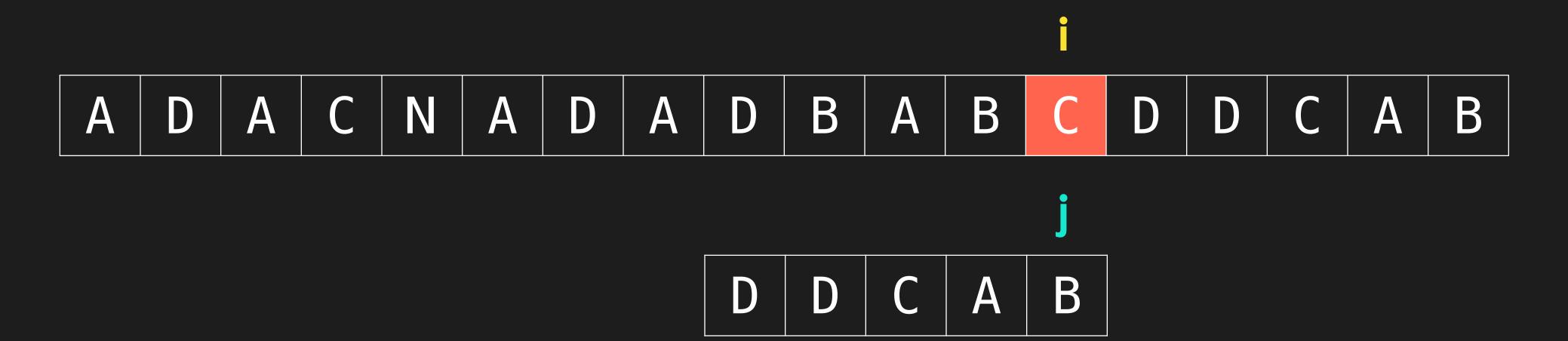




- i indicates where the matching begins (we always match right to left in Boyer-Moore)
- j indicates how far we've matched

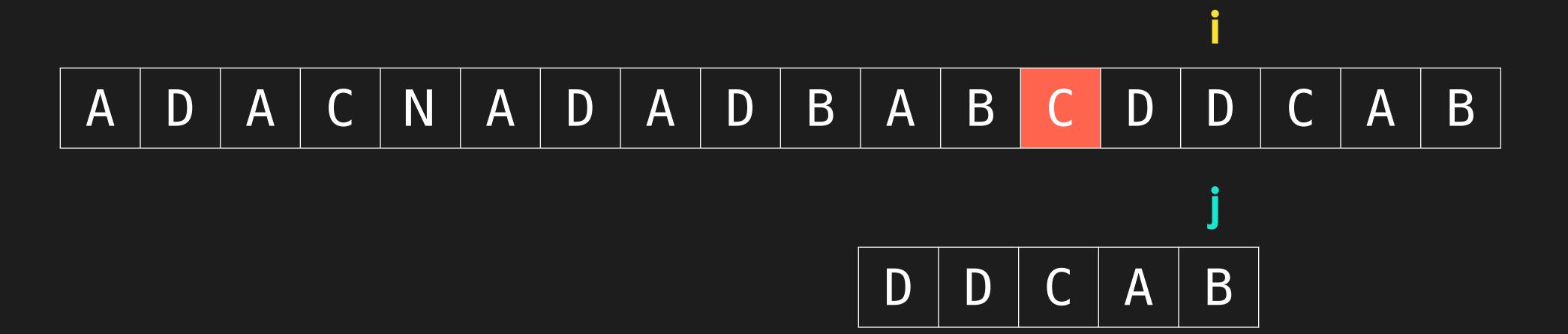


- i indicates where the matching begins (we always match right to left in Boyer-Moore)
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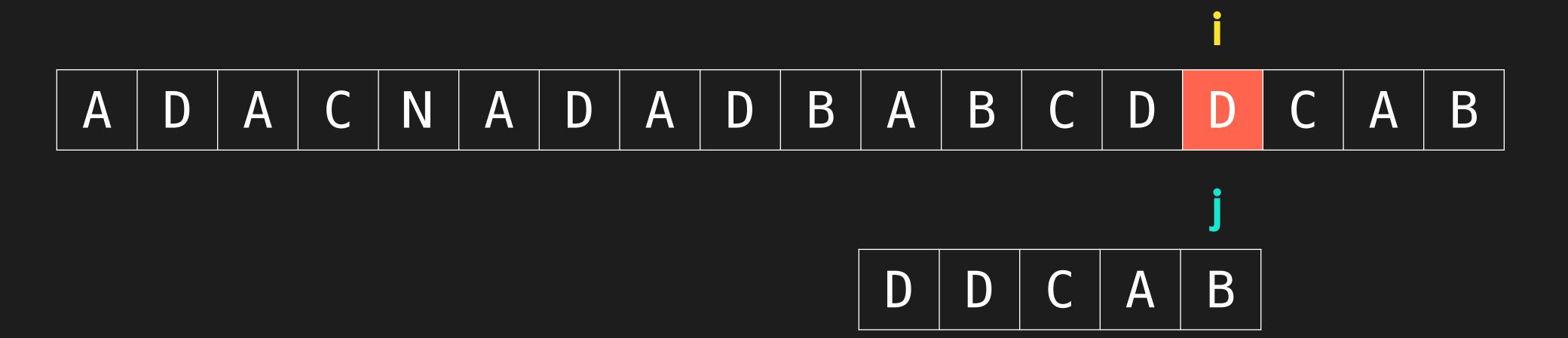




- i indicates where the matching begins (we always match right to left in Boyer-Moore)
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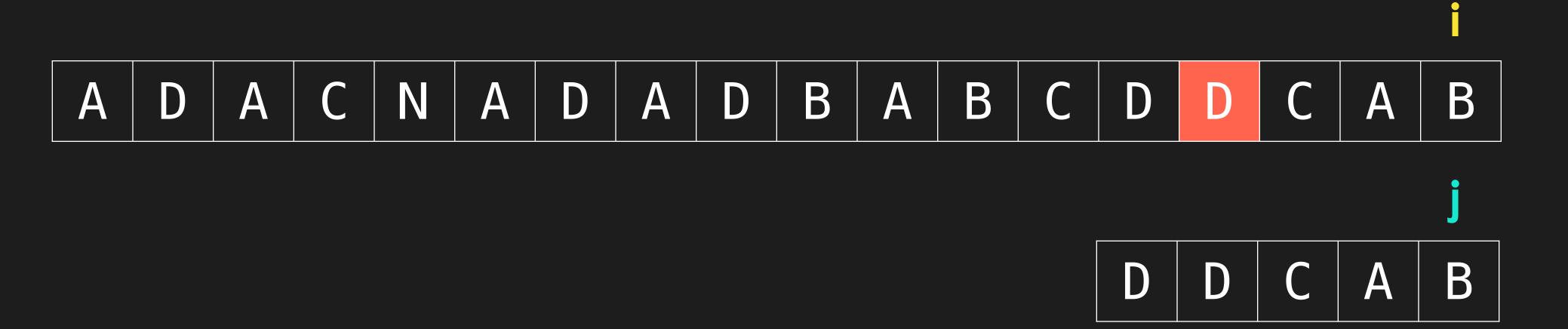


- i indicates where the matching begins (we always match right to left in Boyer-Moore)
- j indicates how far we've matched

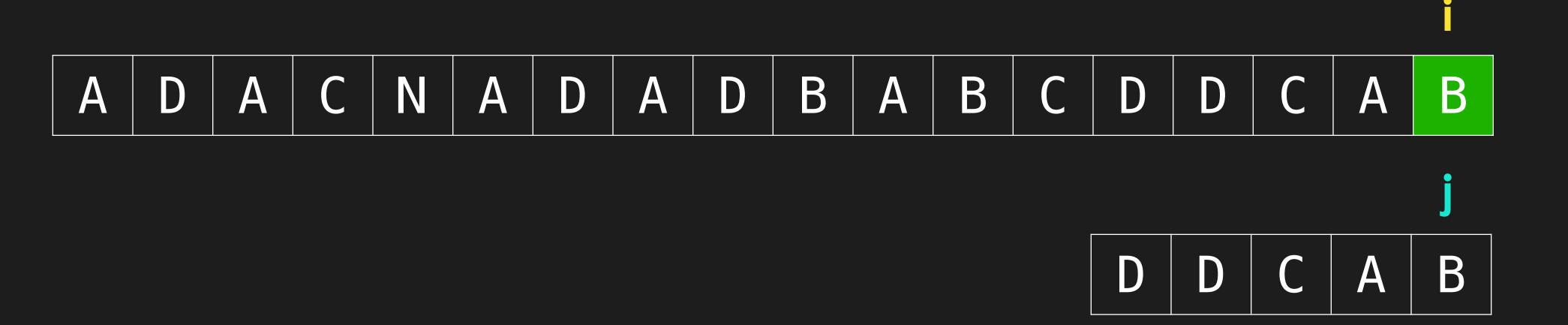




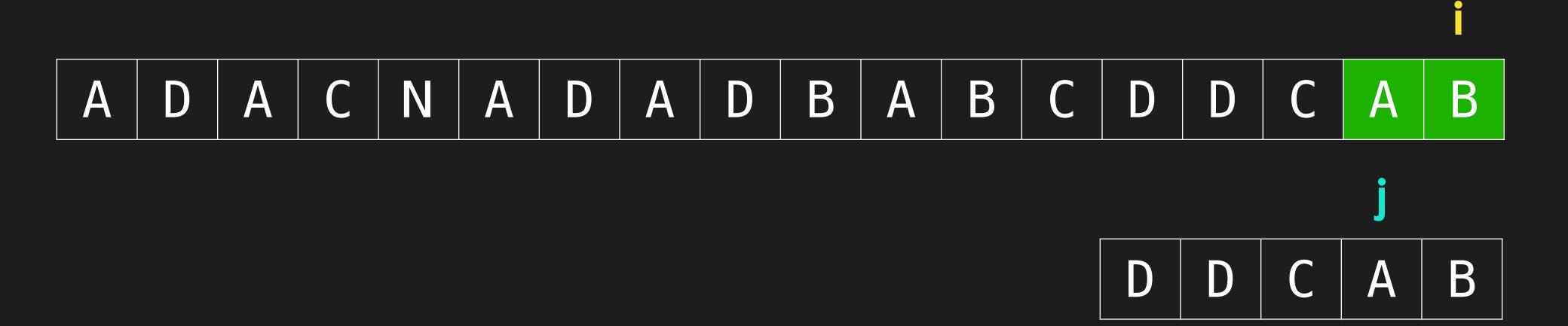
- i indicates where the matching begins (we always match right to left in Boyer-Moore)
- j indicates how far we've matched



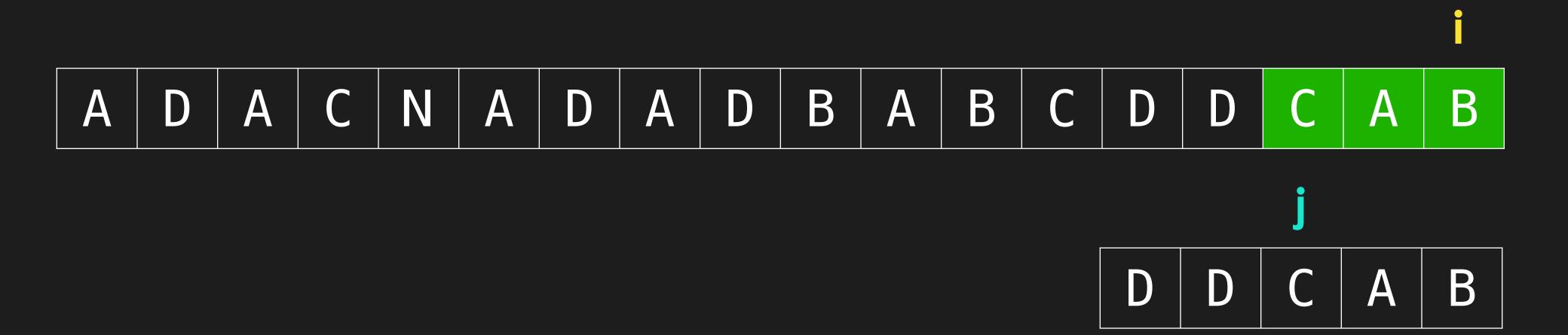
- i indicates where the matching begins (we always match right to left in Boyer-Moore)
- j indicates how far we've matched



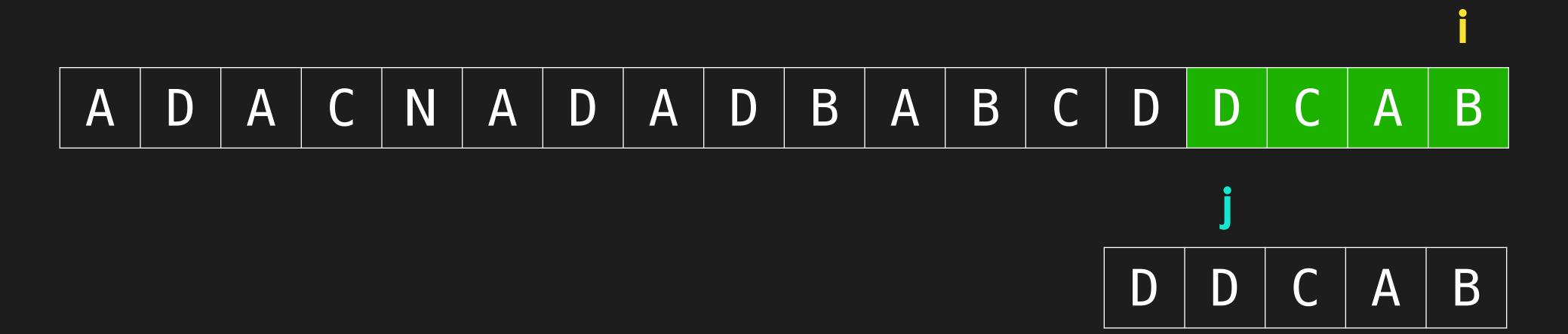
- i indicates where the matching begins (we always match right to left in Boyer-Moore)
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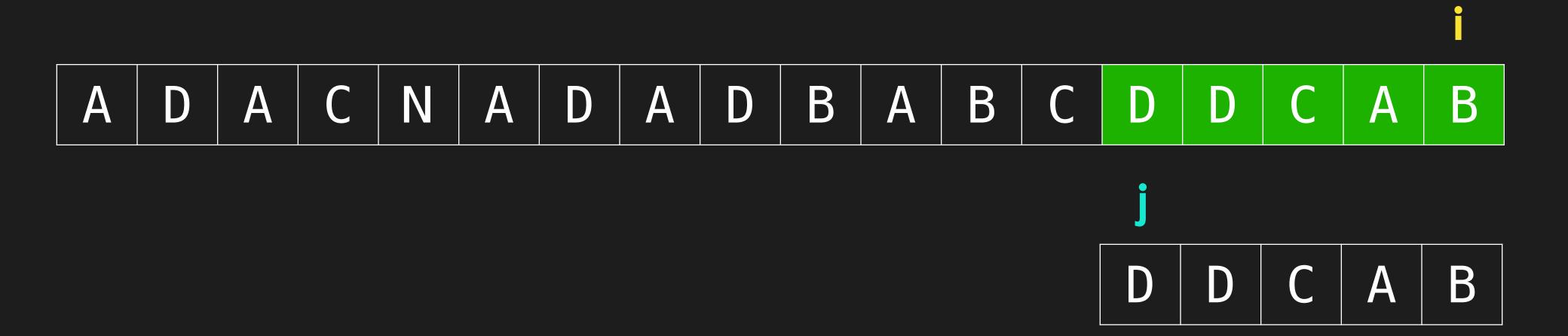
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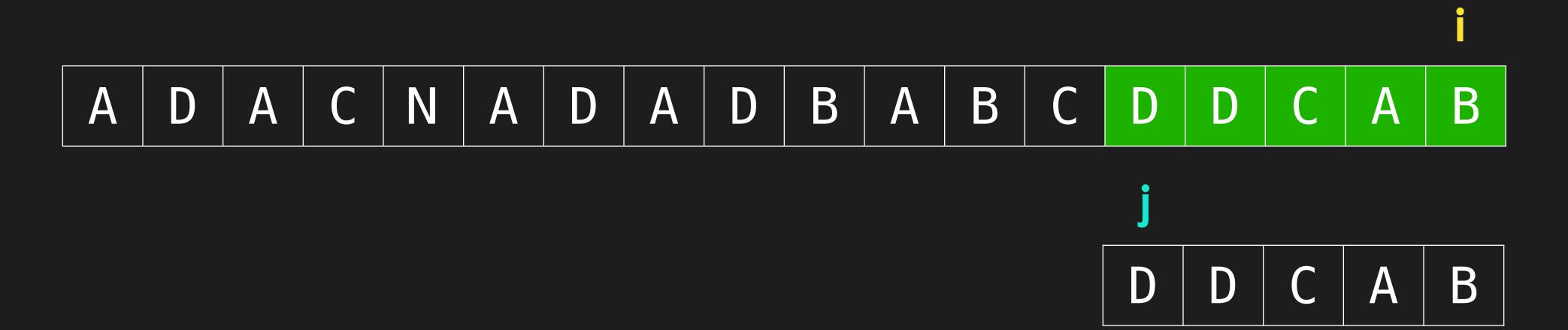


- i indicates where the matching begins (we always match right to left in Boyer-Moore)
- j indicates how far we've matched



- indicates where the matching begins (we always match right to left in Boyer-Moore)
- j indicates how far we've matched

When j is at 0 (start of substring), it means we have matched all chars and substring is found!



How to determine last instance?

lastAt

A B

C

D



Iterate through substring and record index of last instance of each char



lastAt

4 -1

B -1

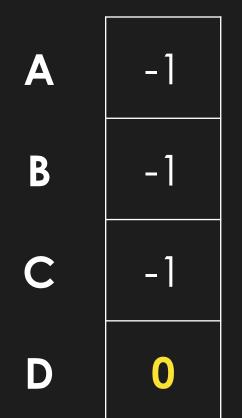
C -1

D -1

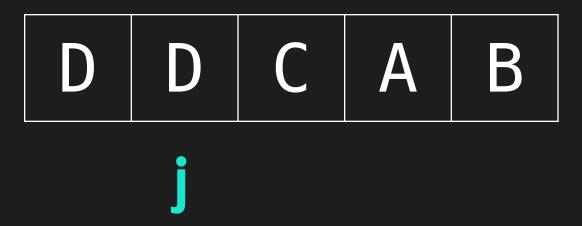
Iterate through substring and record index of last instance of each char



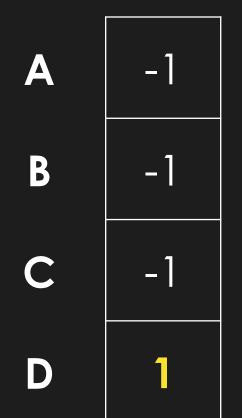
lastAt



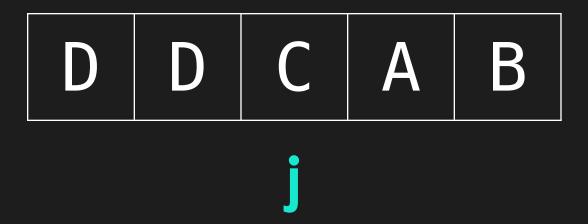
Iterate through substring and record index of last instance of each char



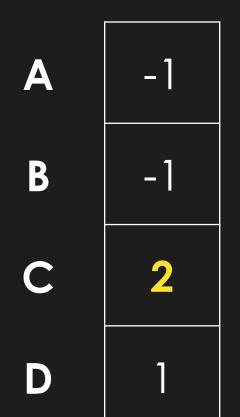
lastAt



Iterate through substring and record index of last instance of each char



lastAt



Creating a bad char heuristic table

Iterate through substring and record index of last instance of each char

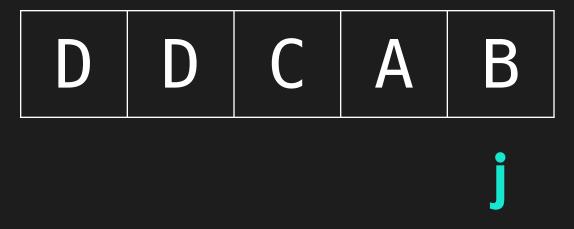


lastAt

A 3
B -1
C 2
D 1

Creating a bad char heuristic table

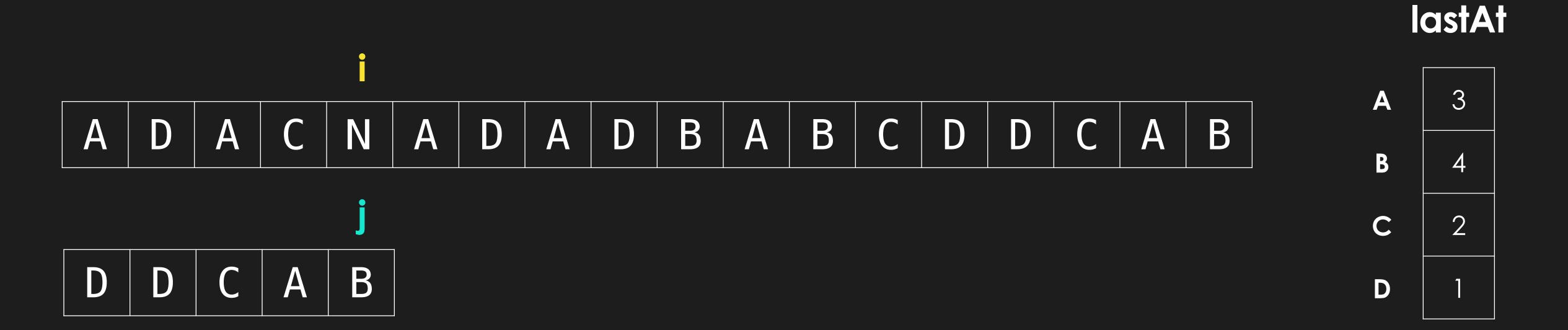
Iterate through substring and record index of last instance of each char

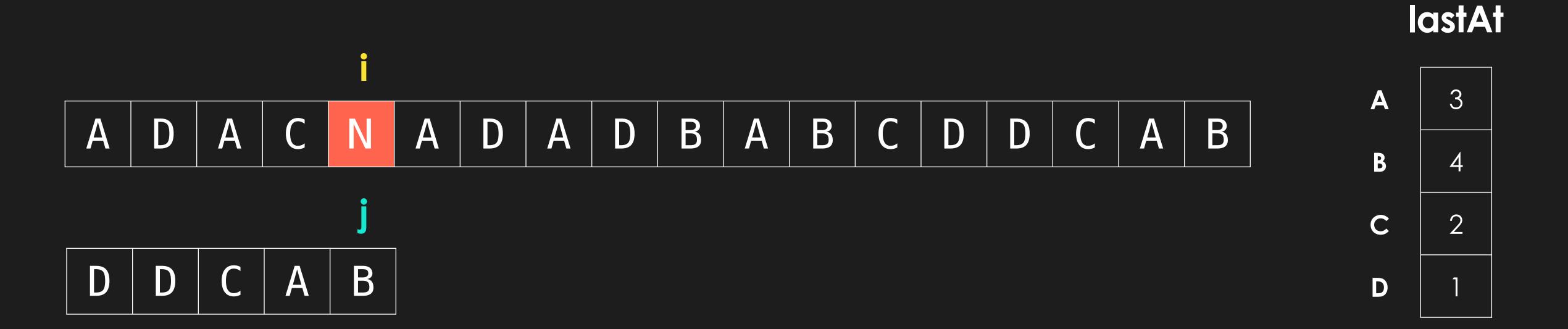


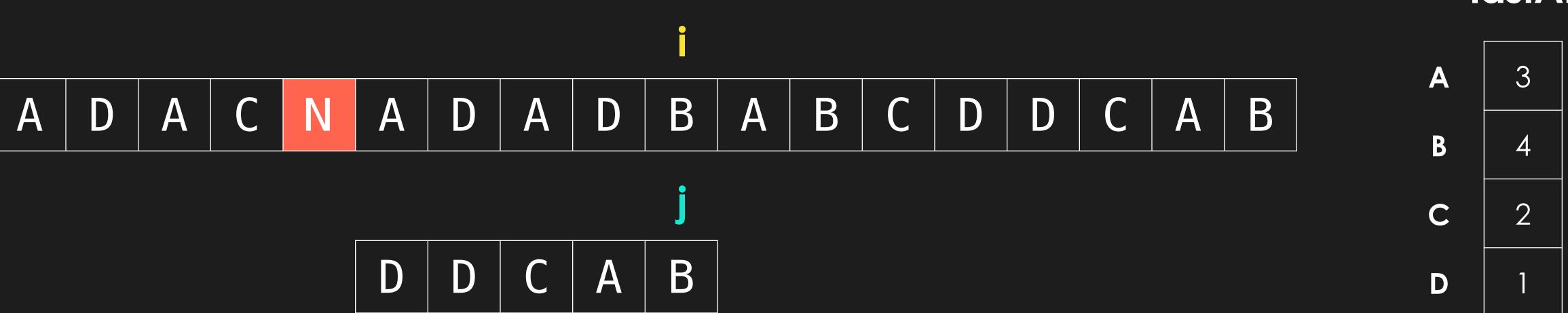
lastAt

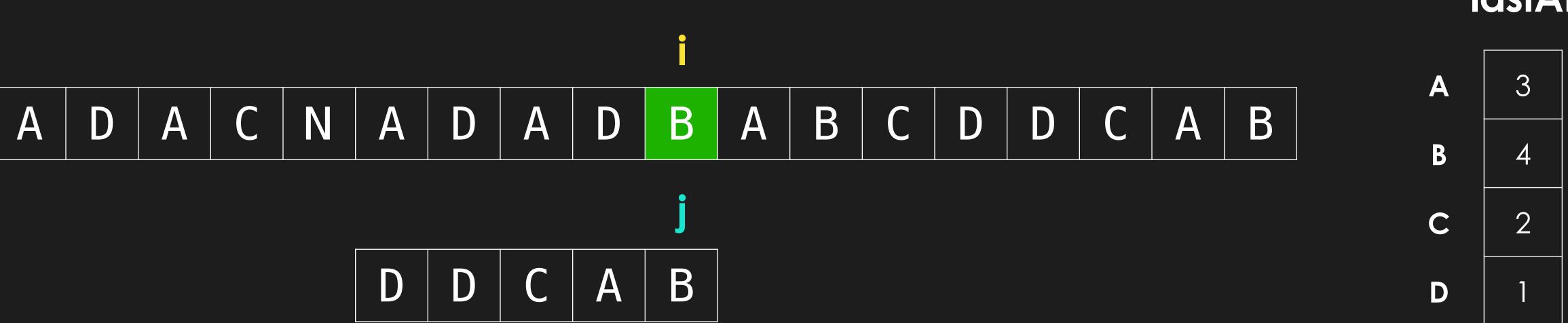
A 3B 4C 2D 1

Using the lastAt table

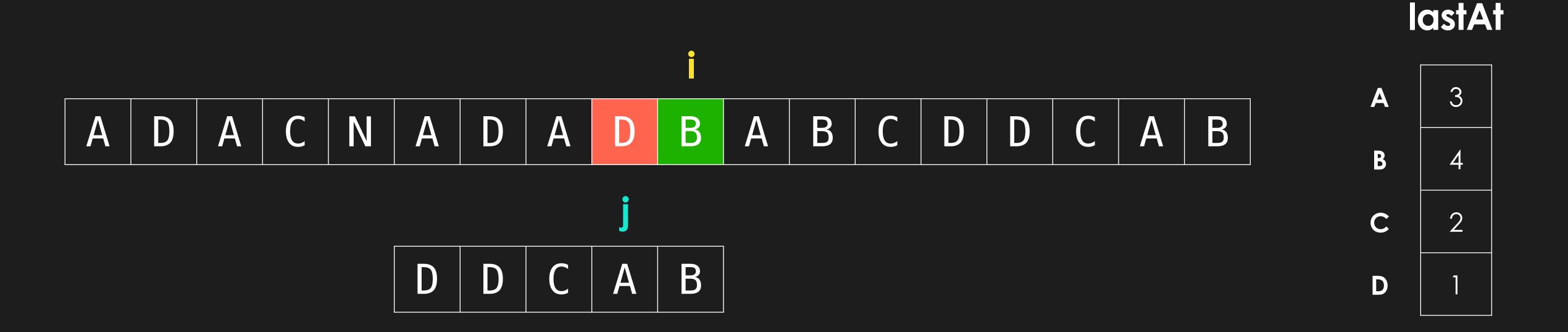






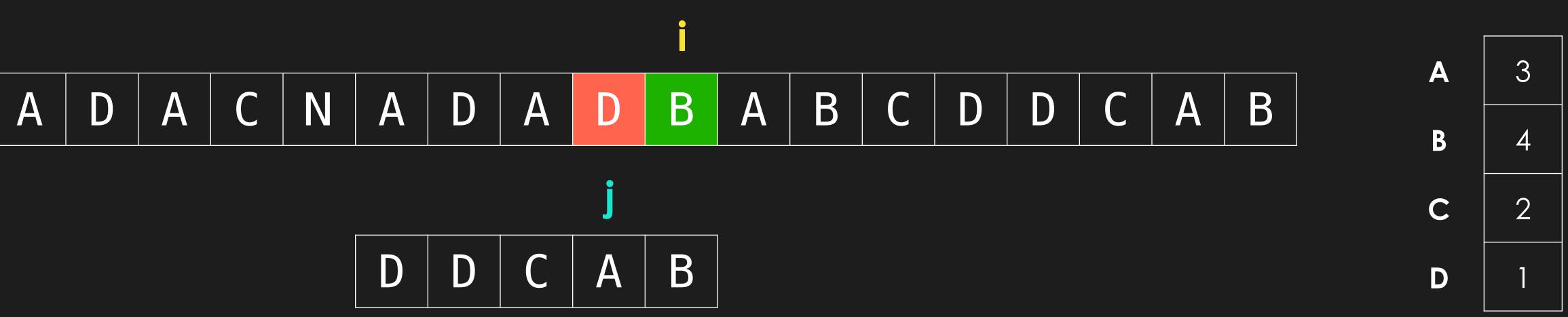






On mismatch, move i over by: j - lastAt[text[j]]

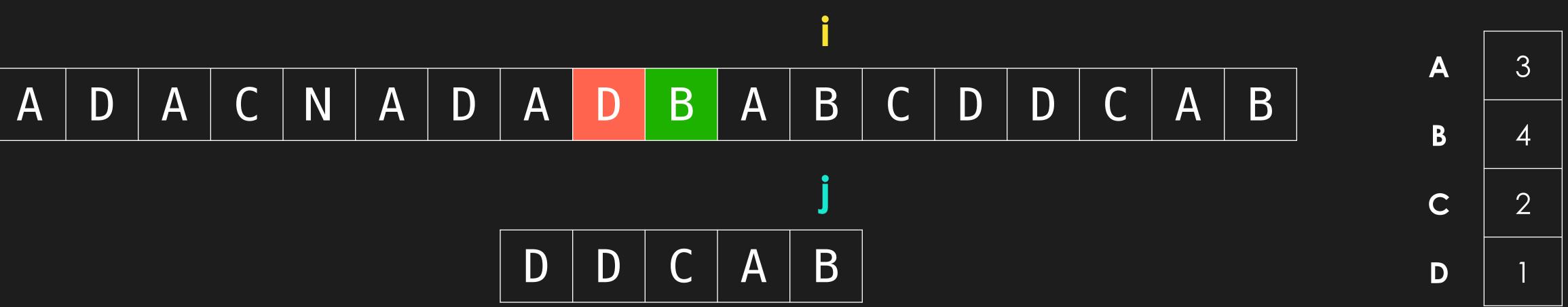




On mismatch, move i over by:

$$j - lastAt[text[j]] = 3 - 1 = 2$$



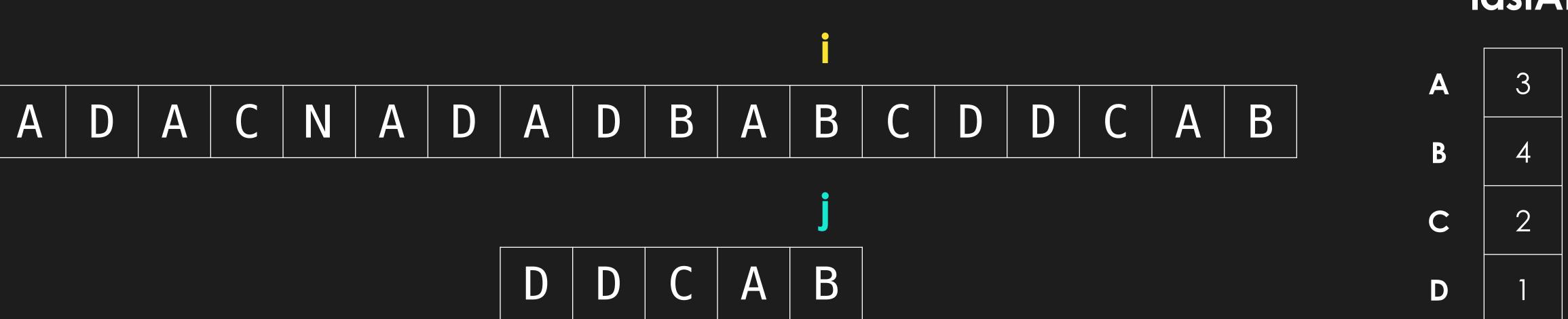


On mismatch, move i over by:

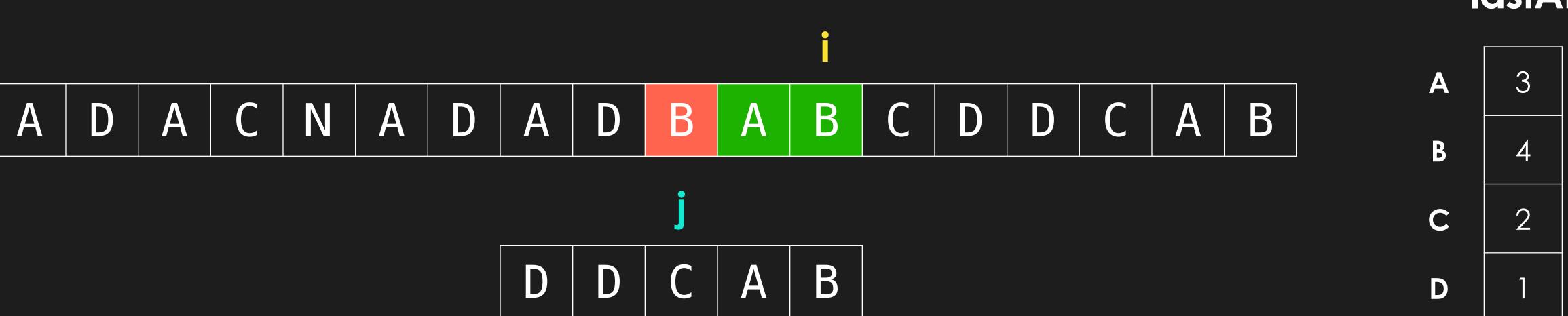
$$j - lastAt[text[j]] = 3 - 1 = 2$$

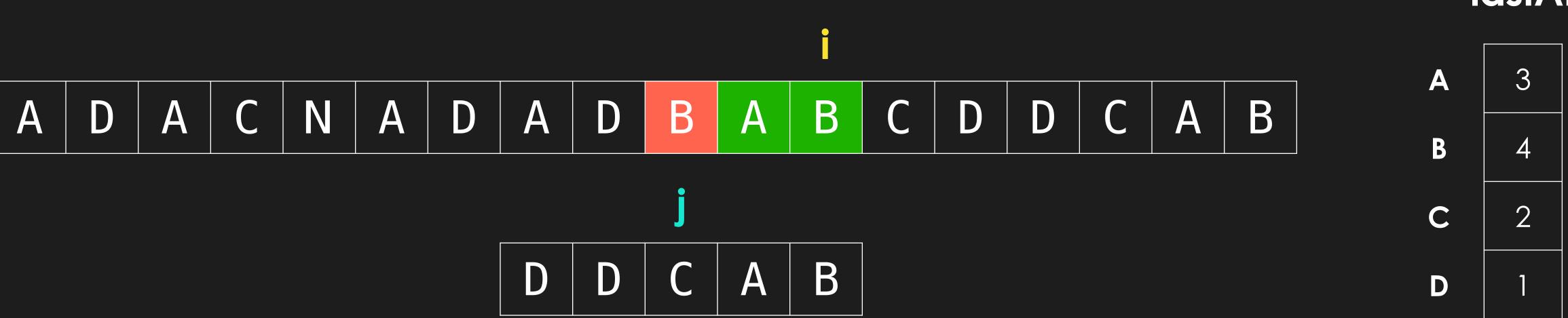






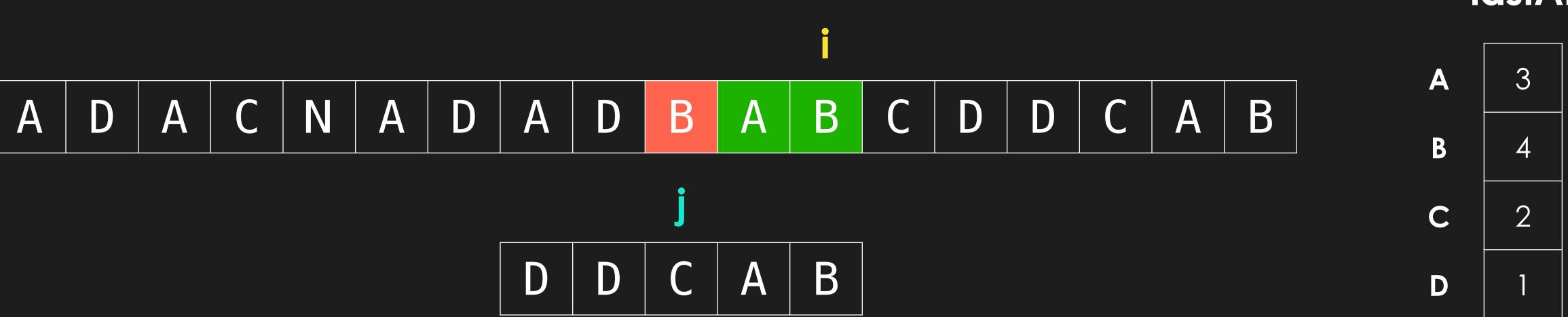






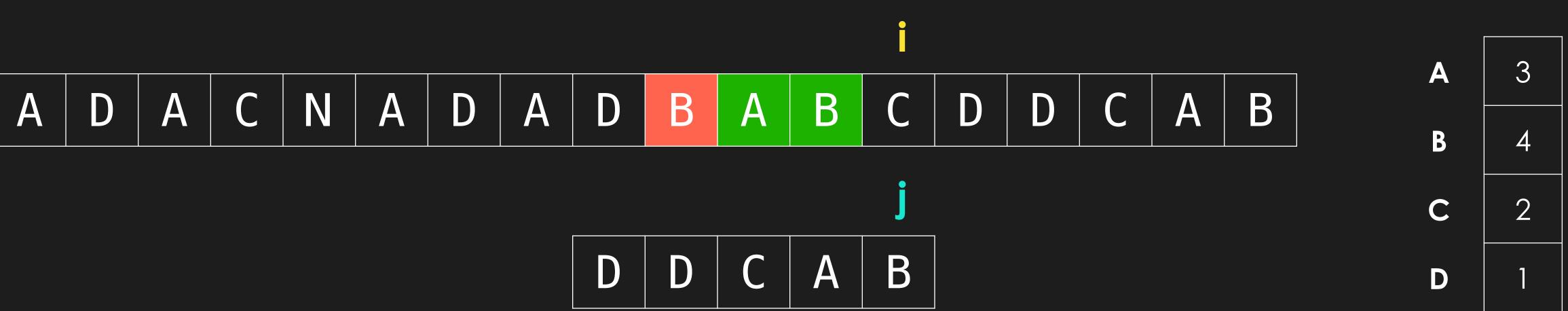
$$j - lastAt[text[j]] = 2 - 4 = -2$$



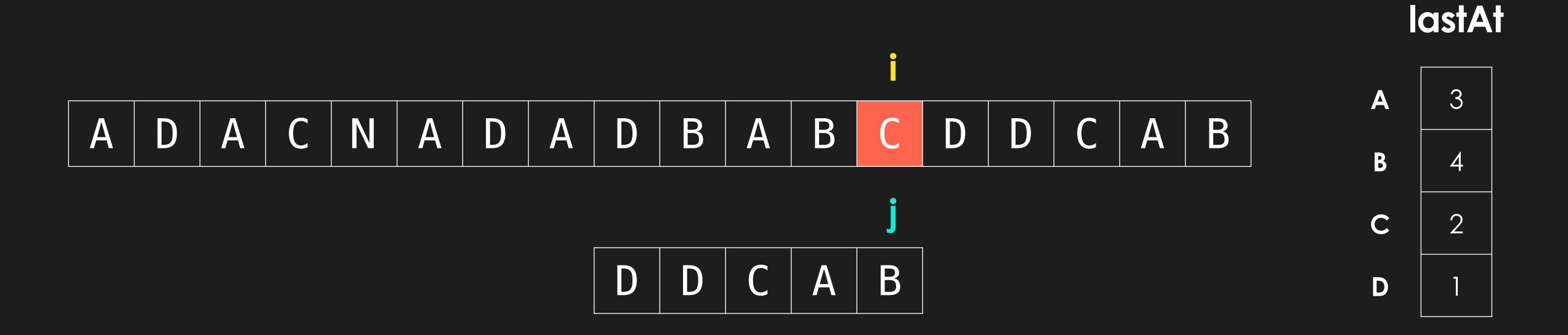


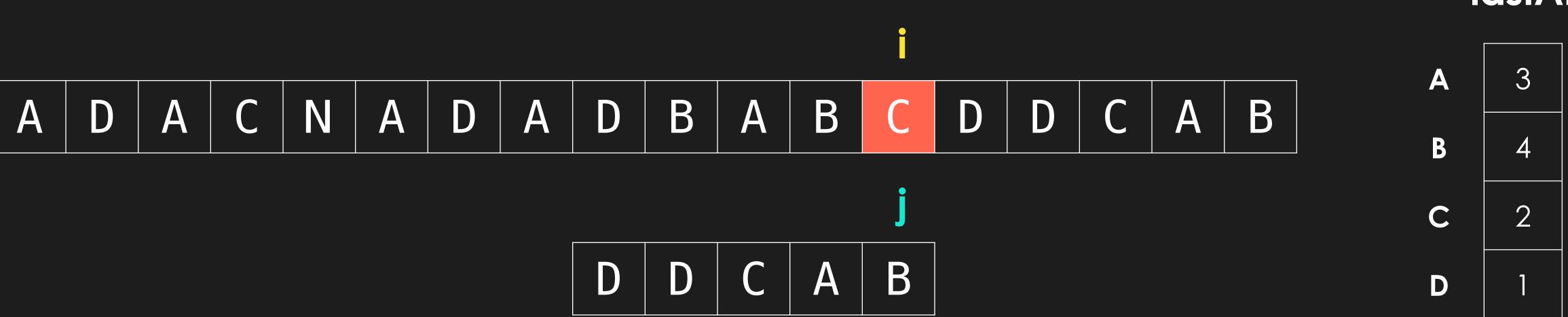
j - lastAt[text[j]] = 2 - 4 = -2If negative, move by 1 only



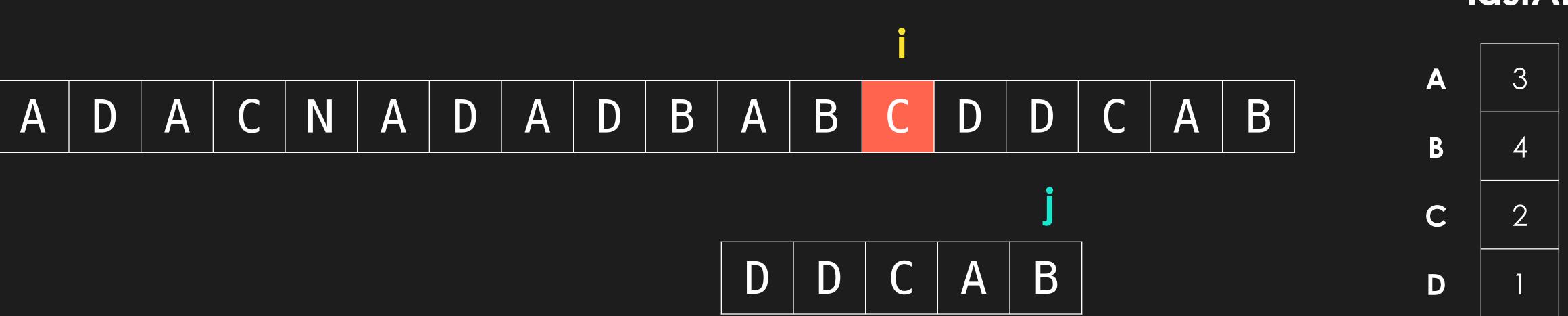


- lastAt[text[j]] = 2 - 4 = -2If negative, move by 1 only





$$j - lastAt[text[j]] = 4 - 2 = 2$$



$$j - lastAt[text[j]] = 4 - 2 = 2$$



Implementation of Boyer-Moore



badCharHeuristic

```
def badCharHeuristic(substring):
    lastAt = {}

    for i in range(len(substring)):
        lastAt[substring[i]] = i

    return lastAt
```



```
def BoyerMoore(string, substring):
    lastAt = badCharHeuristic(substring)
   M = len(substring)
   N = len(string)
    s = 0
   while (s <= N-M):
       j = M - 1
        while j >= 0 and string[s + j] == substring[j]:
            j -= 1
        if j < 0:
            print('pattern occured at index: {}'.format(s))
            s += 1
        else:
            s += max(1, j - lastAt[string[s + j]])
```

Analysis of Boyer-Moore Algorithm

Analysis of Boyer-Moore Algorithm

- On average, since we are matching from right to left, we are able to skip M
 characters at each check
- This means that **Boyer-Moore** has an average time complexity of **O (N/M)!**
- The longer the pattern, the faster Boyer-Moore becomes

Lab Session 2

Lab Session 2

- In this lab session, you will be implementing boyer.py
- Your task is to implement Boyer Moore algorithm, using the bad char heuristic
- You should implement the **badCharHeuristic** & **BoyerMoore** functions
- buildLPS takes in a substring and returns a dictionary, where dict[char] is equals to the last index at which the char is seen in the substring
- **BoyerMoore** takes in a text & substring, and returns a list of all indices marking the start of a substring match in the text
- To test, run `python utils/boyer_test.py`



Solution: badCharHeuristic

```
def badCharHeuristic(substring: str):
    lastAt = {}
    for i in range(len(substring)):
        lastAt[substring[i]] = i
    return lastAt
```



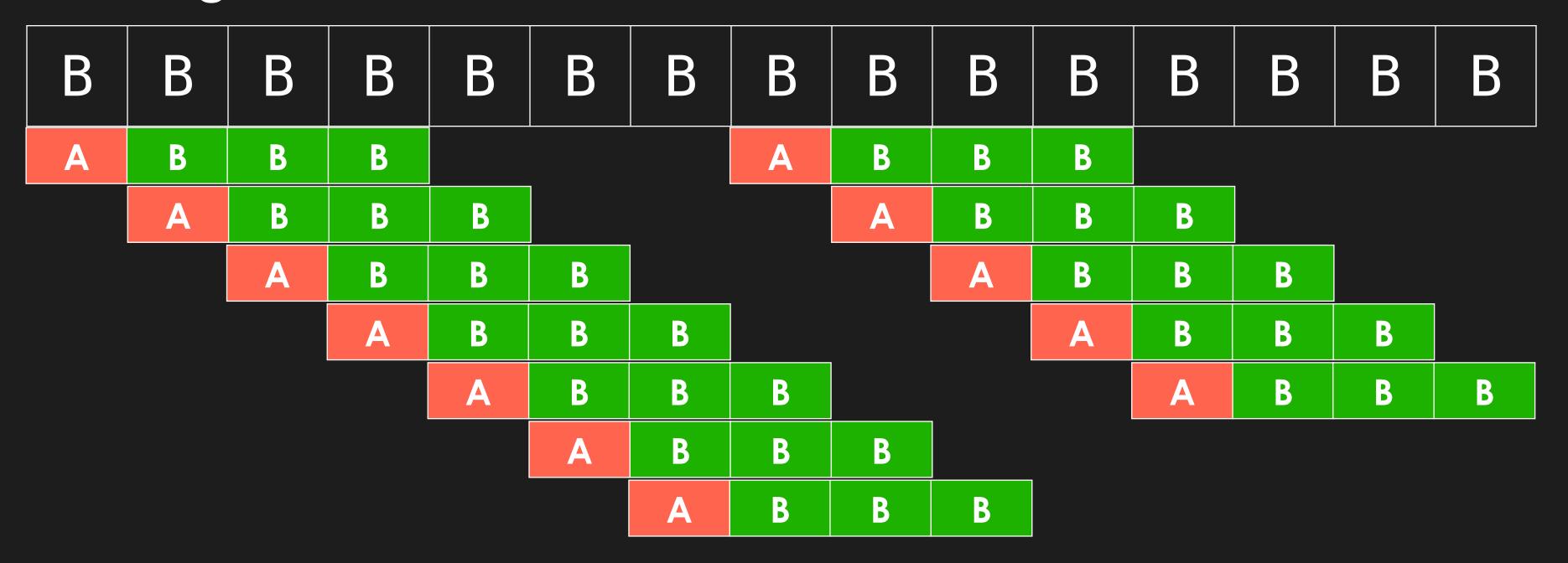
Solution: BoyerMoore

```
def BoyerMoore(text: str, substring: str):
    lastAt = badCharHeuristic(substring)
    M = len(substring)
    N = len(text)
    s = 0
    res = []
    while (s <= N-M):
        j = M - 1
        while j >= 0 and text[s + j] == substring[j]:
            j -= 1
        if j < 0:
            res_append(s)
            s += 1
        else:
            s += max(1, j - lastAt[text[s + j]]) if text[s + j] in lastAt else 1
    return res
```

Caveat!

Boyer-Moore Worst Case: O(N * M)!

substring: "ABBB"



We check M - 1 times for each char in the text, hence we get N * M complexity!



Caveat

In the worst case, Boyer-Moore has O (N * M) complexity, the same as the brute force approach

This can be reduced however, if we use a strategy similar to KMP, where we keep track of the longest proper suffix!



Summary

	Brute-Force	KMP	Boyer-Moore (bad char heuristic only)
Average Case	N	N	N/M
Worst Case	M*N	N	M*N

	Brute-Force	KMP	Boyer-Moore (bad char heuristic only)
Average Case	N	N	N/M
Worst Case	M*N	N	M*N

Typically: Boyer-Moore will provide the fastest substring search efficiency

Guarantee: KMP provides the best guarantee of substring search efficiency

