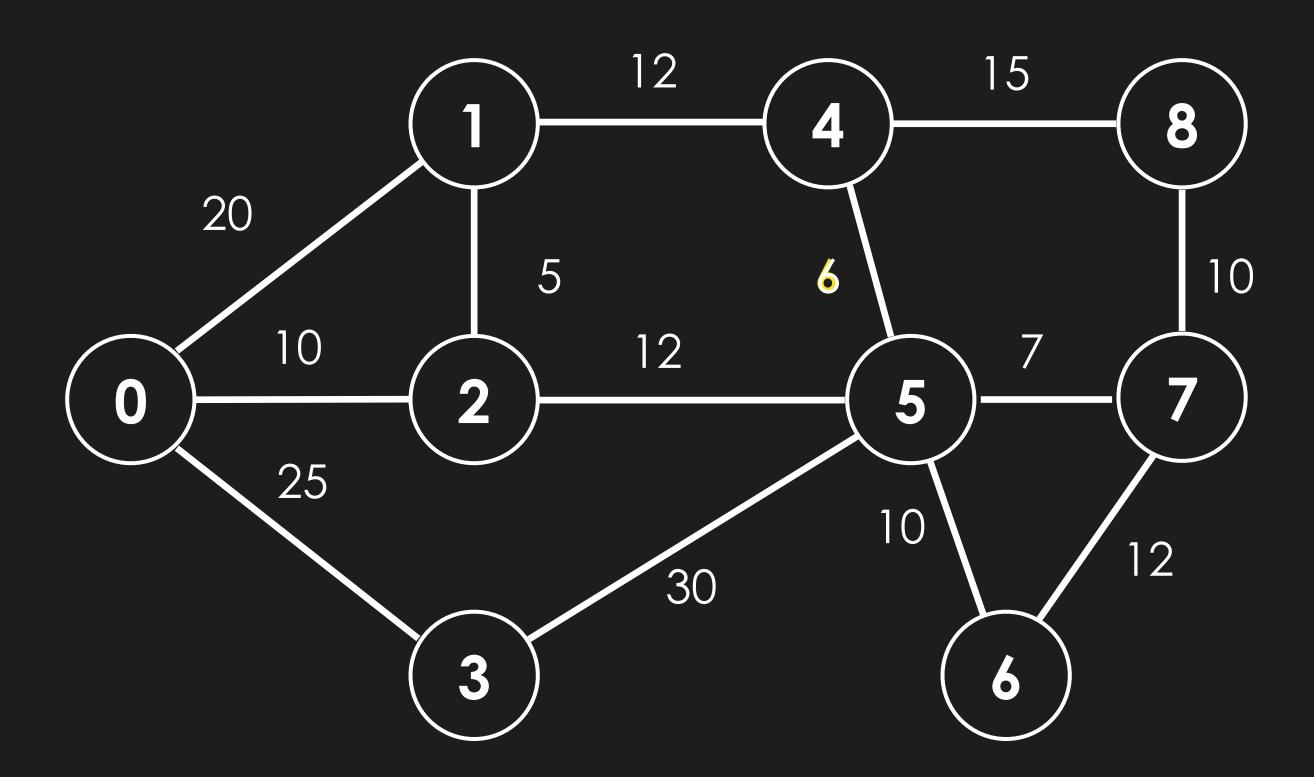
# CodelT

# Minimum Spanning Trees

A spanning tree is a subgraph which includes the minimum number of edges possible such that all vertices in the graph are connected



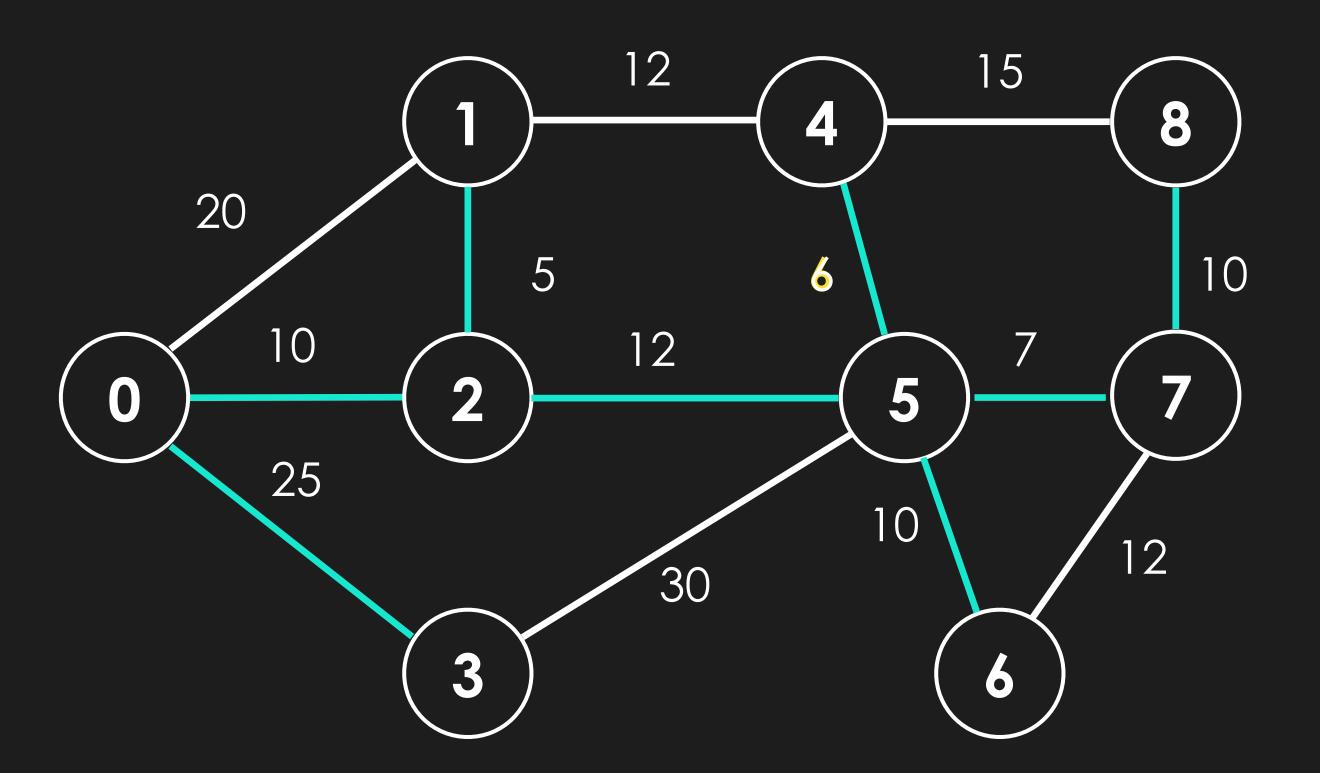
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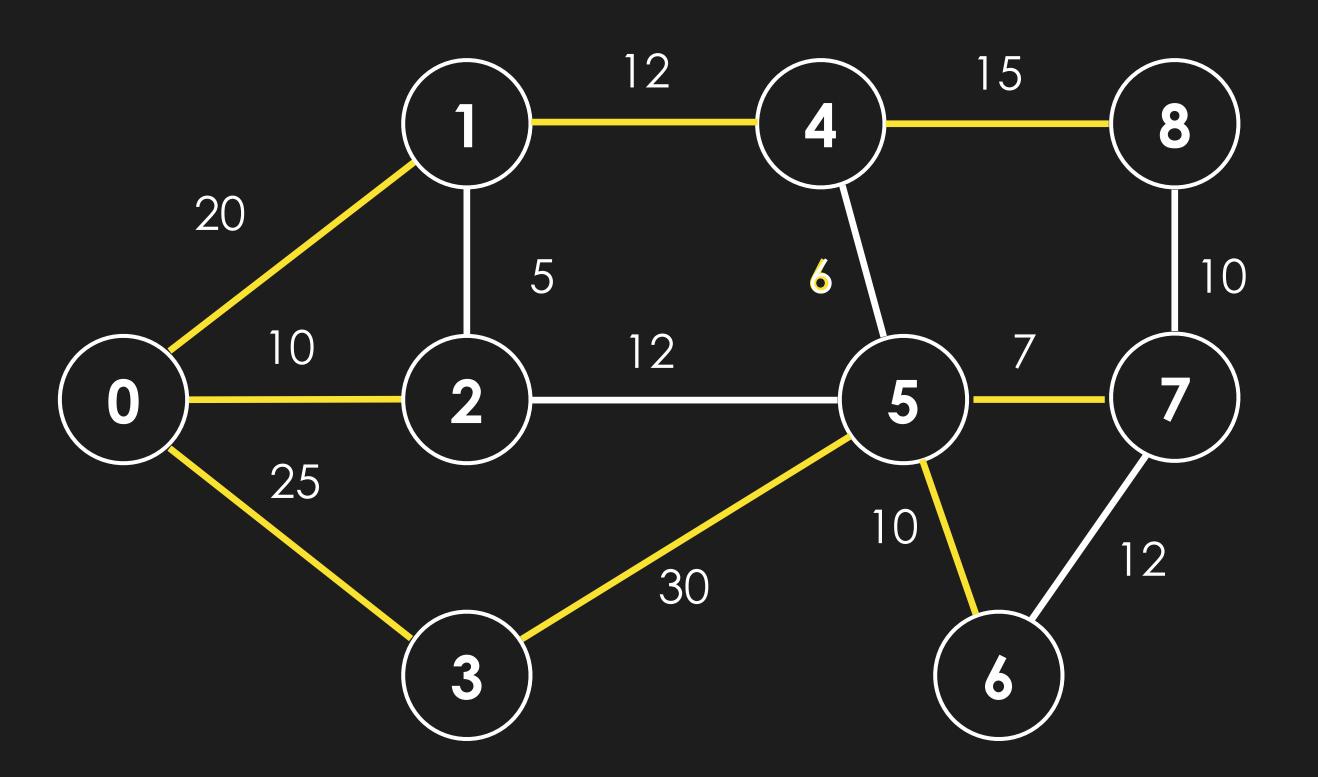
This is a spanning tree!





A spanning tree is a subgraph which includes the minimum number of edges possible such that all vertices in the graph are connected

This is also a spanning tree!





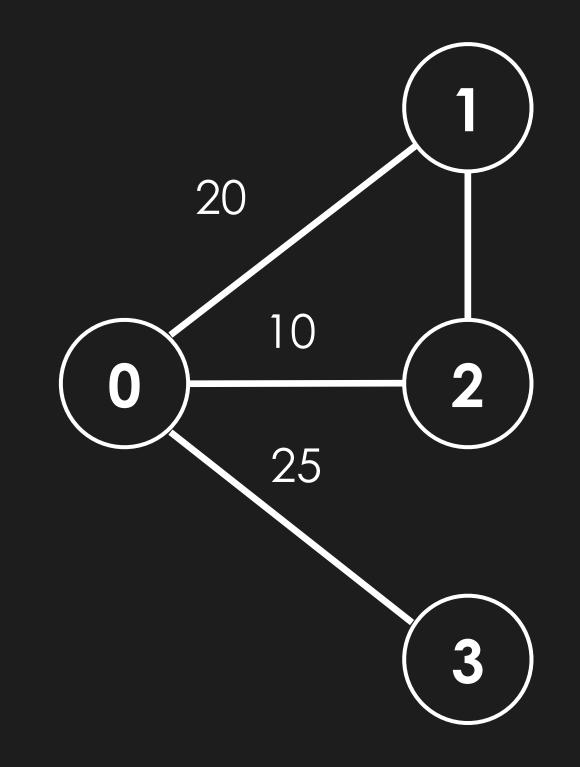
Spanning trees don't exist in graphs which are not **strongly connected** (every vertex has a path to every other vertex)

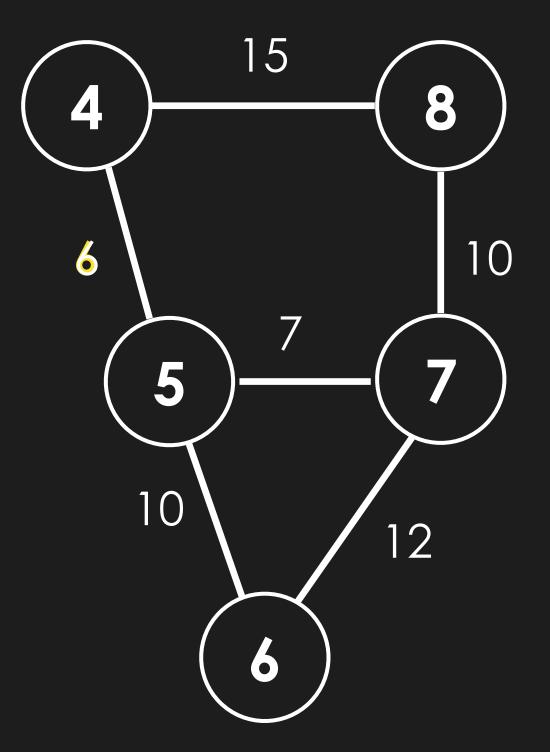
#### No edge from:

0, 1, 2, 3

to

4, 5, 6, 7, 8







Quiz: Why do you think spanning trees are useful?

### Minimum Spanning Trees

Minimum spanning trees are spanning trees which have the minimum sum of edge weights

A graph can have multiple minimum spanning trees

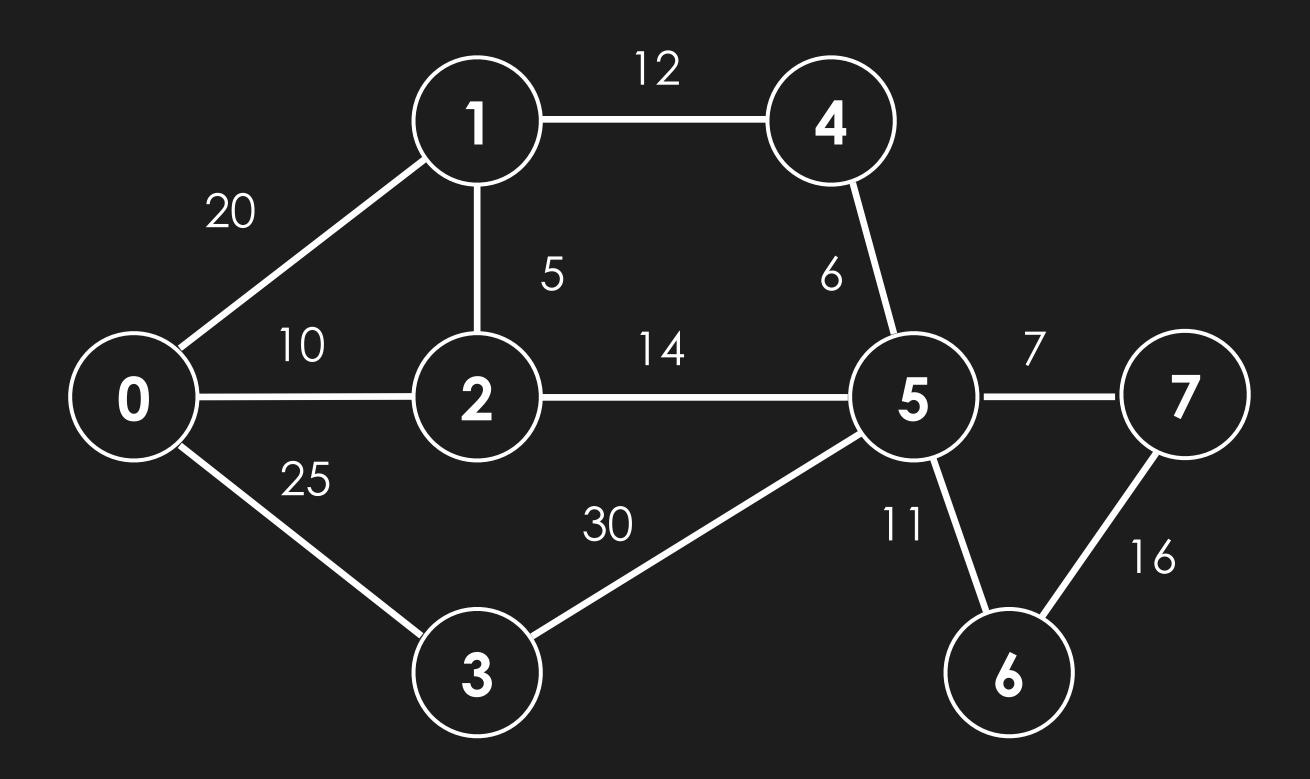


How can we find a minimum spanning tree (MST)?

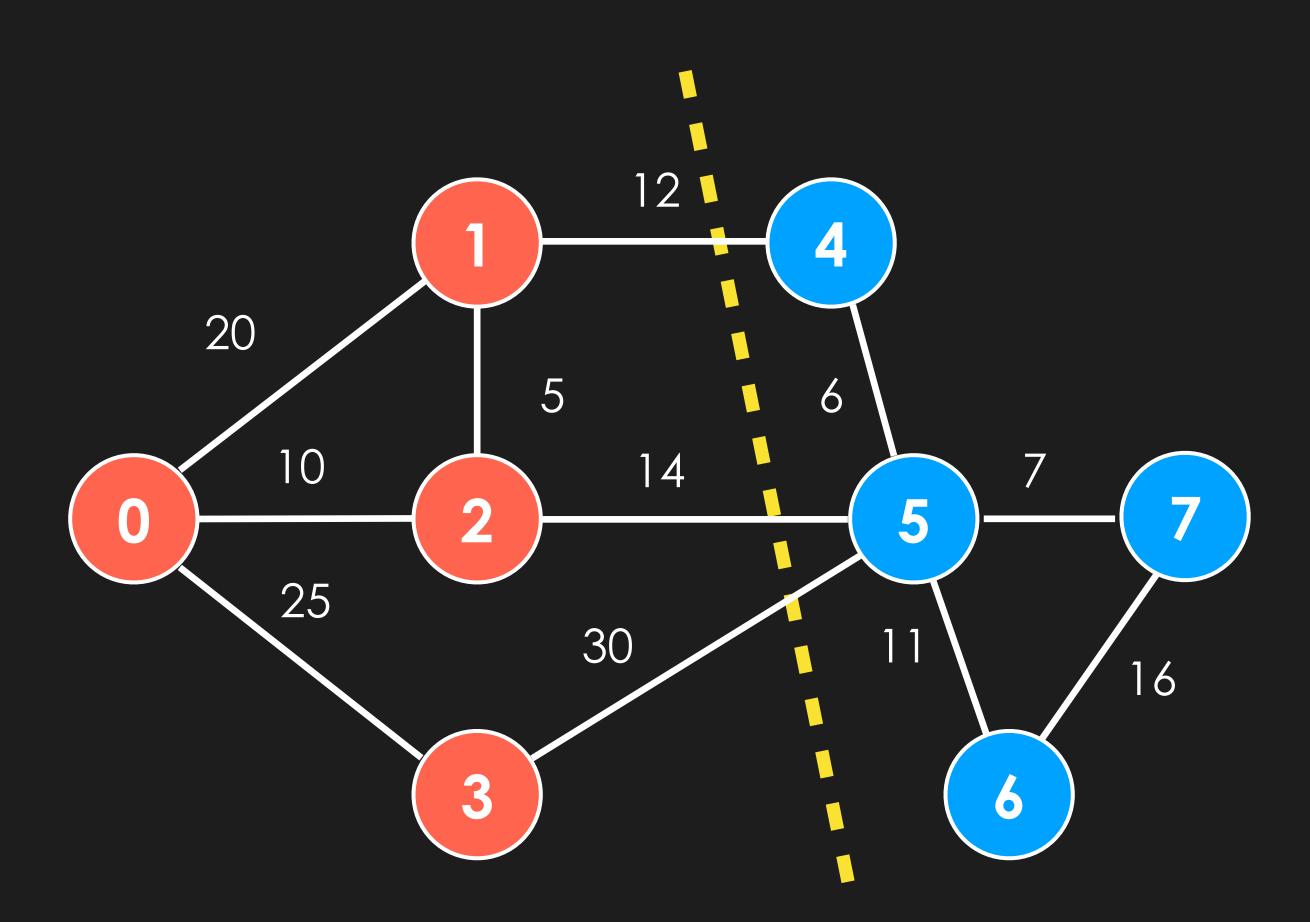


# MST Algorithm

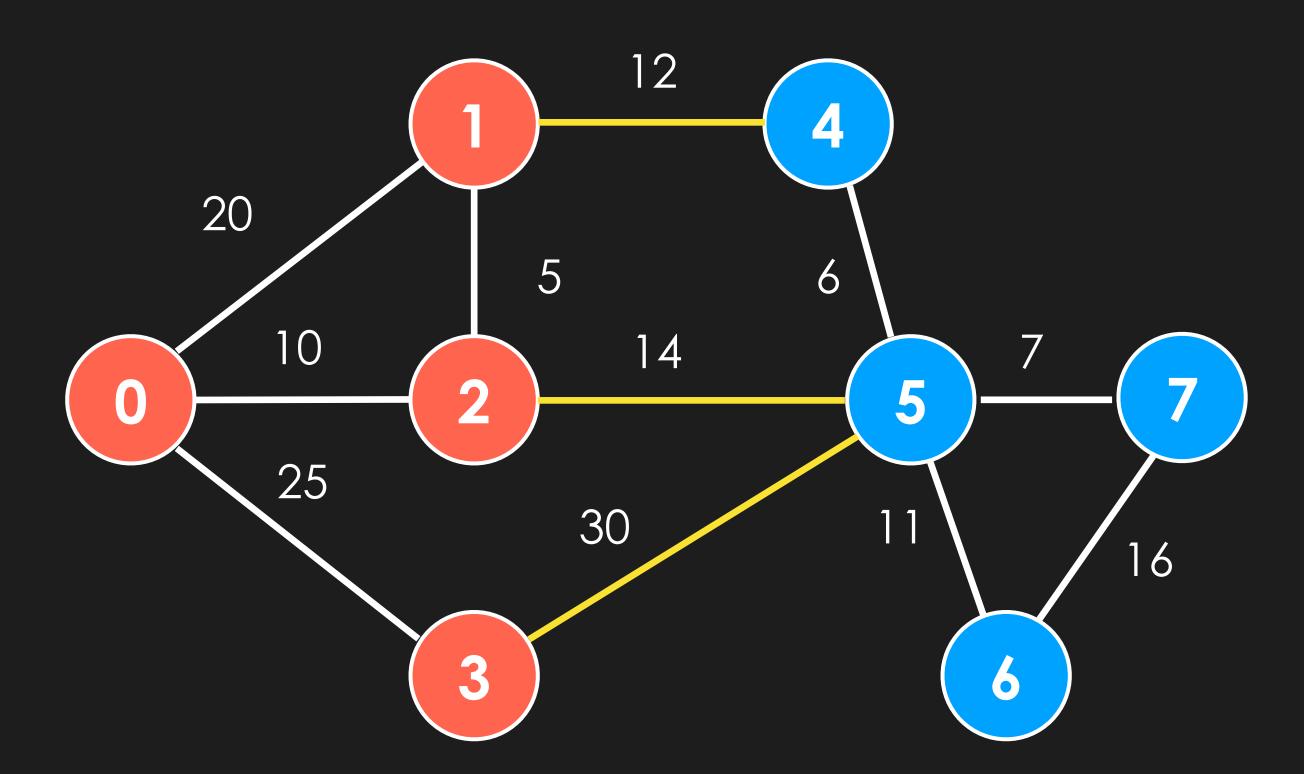
A. Cut: A cut in a graph is a partition of its vertices into 2 non empty sets



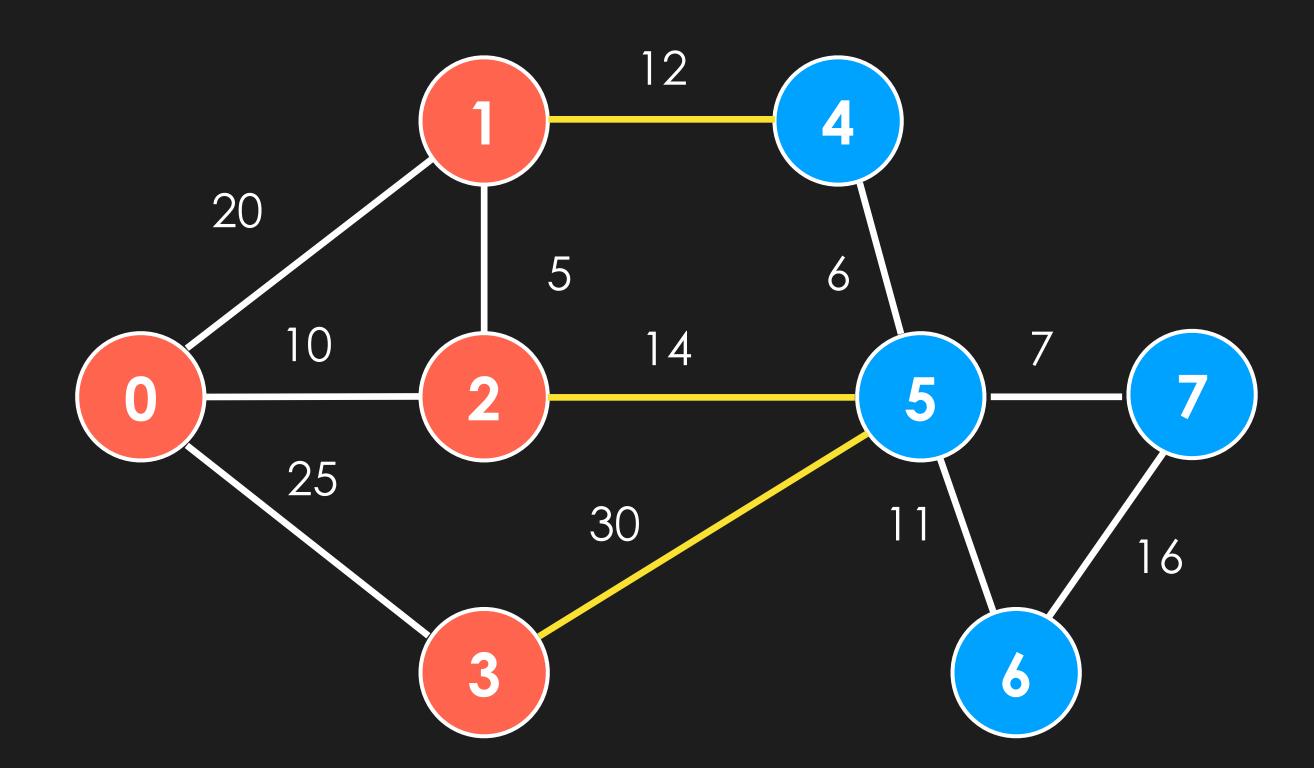
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- A. Cut: A cut in a graph is a partition of its vertices into 2 non empty sets
- B. For any cut, there are a set of edges (let's call them cut edges) that connect a vertex from set A to set B

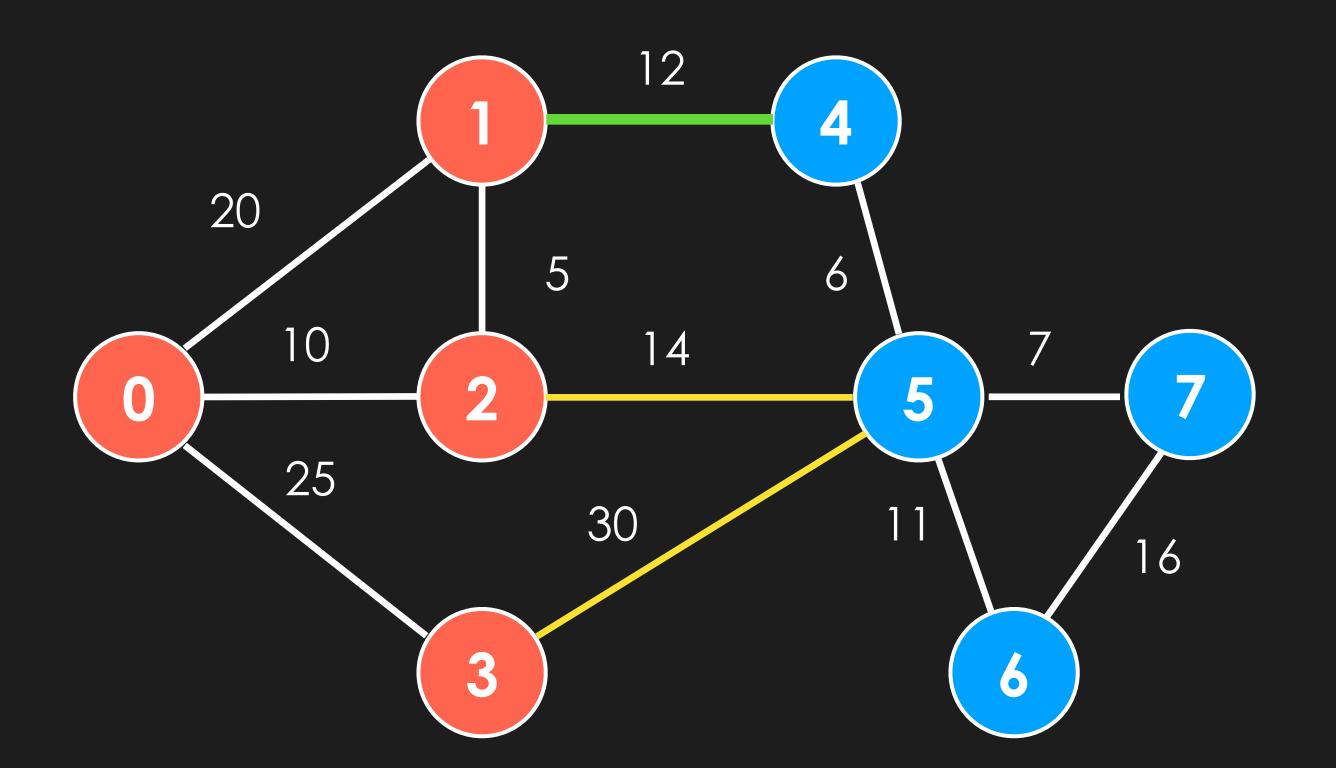


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- C. For a set of cut edges, the cut edge with the smallest weight belongs to the MST





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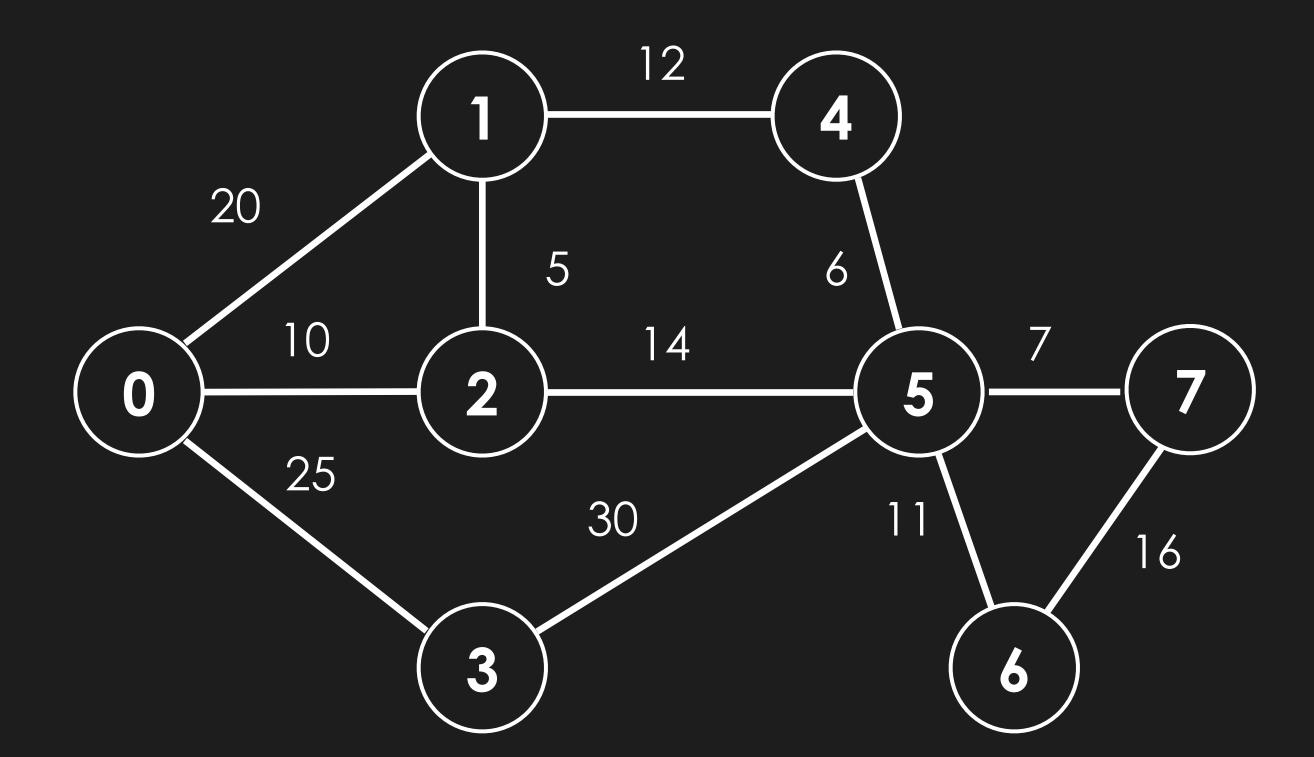


1 - 4 belongs to the MST!

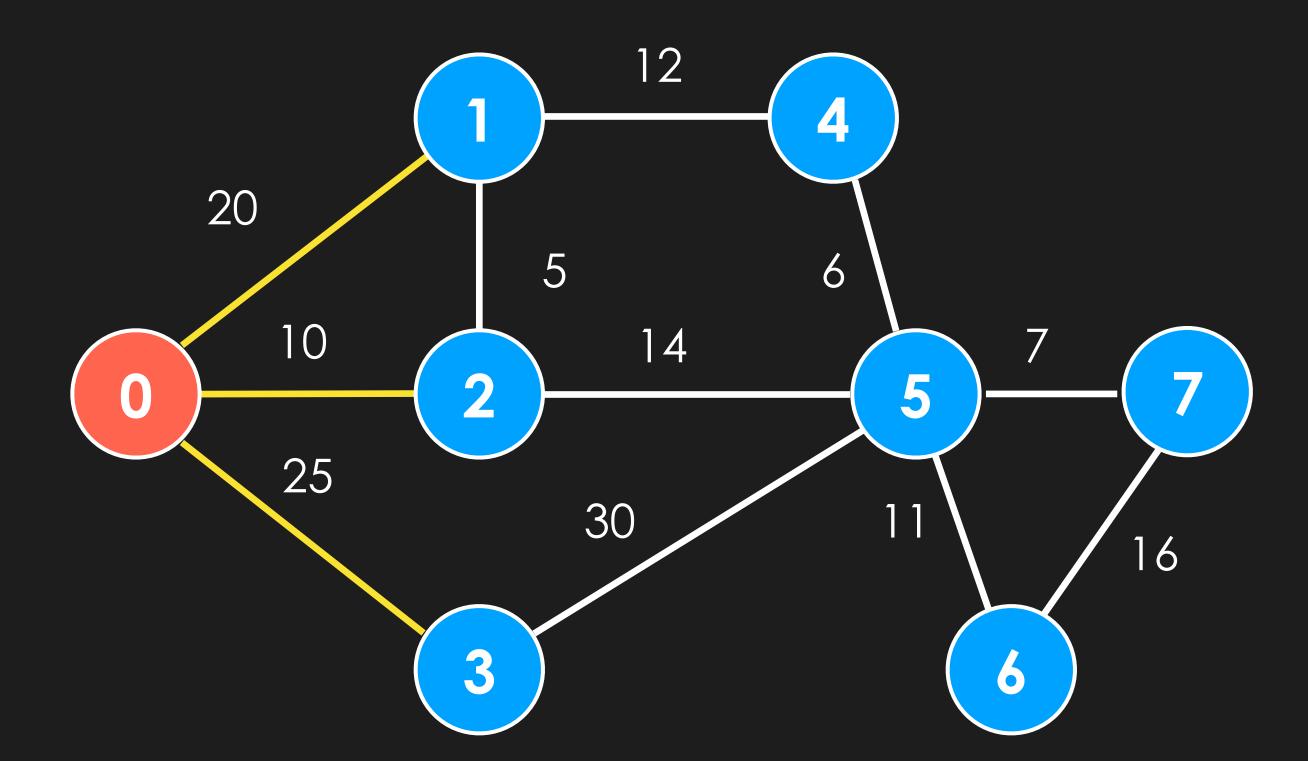


How can we use this idea to determine the MST?

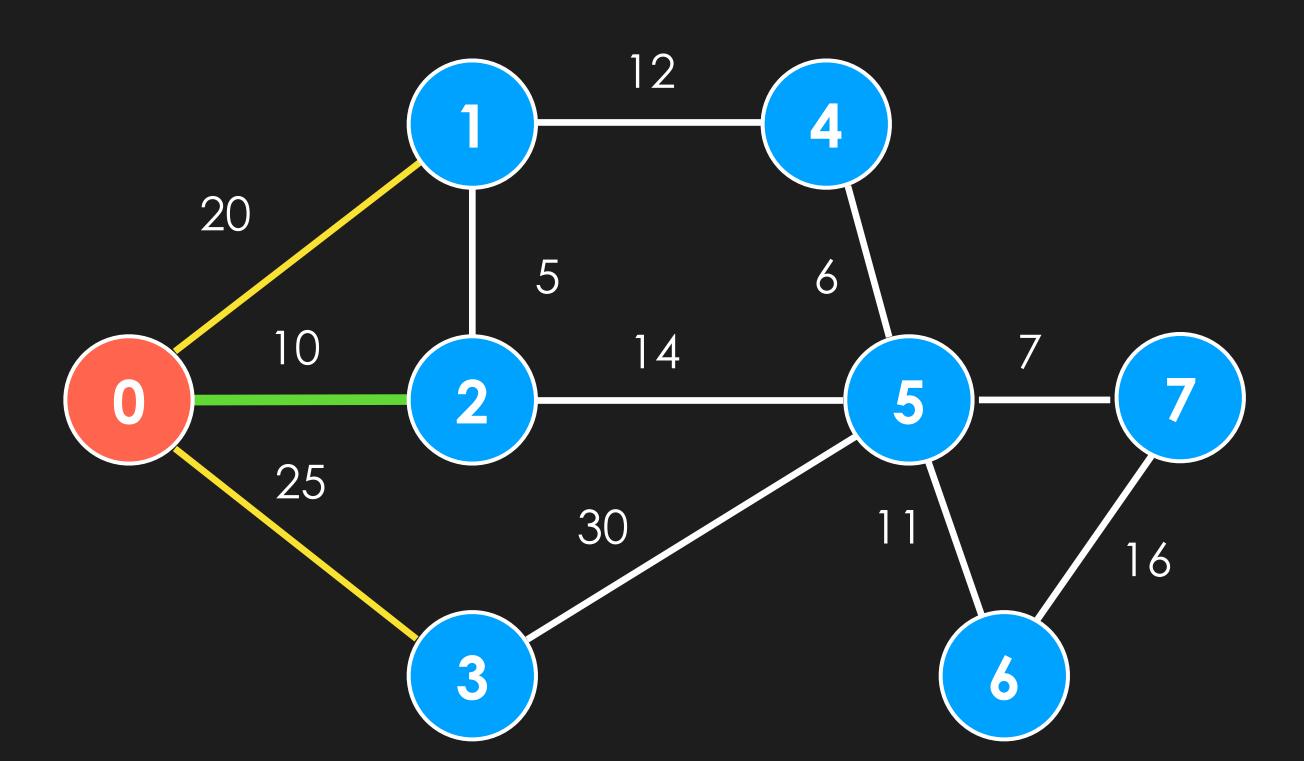
- 1. Find a **cut** which contains no **green edge** (green indicates edge has been added to MST)
- 2. Add the min weight cut edge to the MST (mark edge green)
- 3. Repeat until V 1 edges are added to the MST



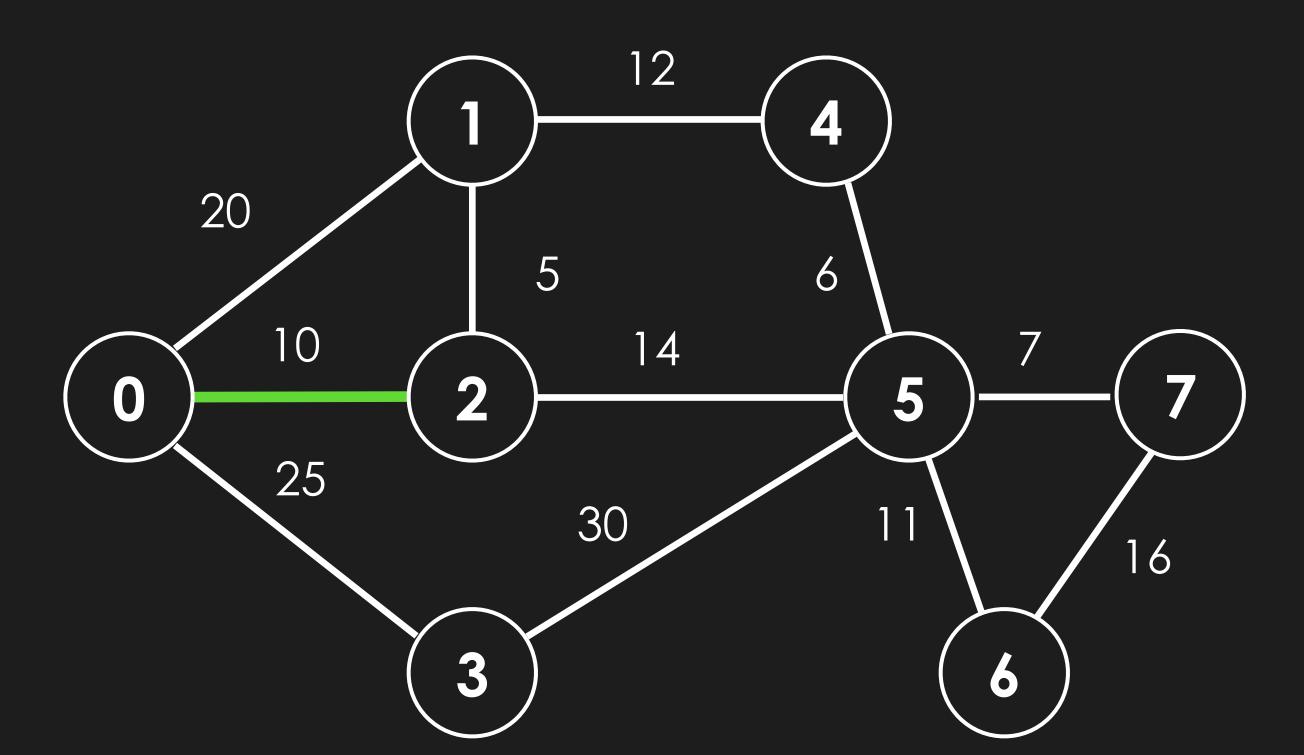
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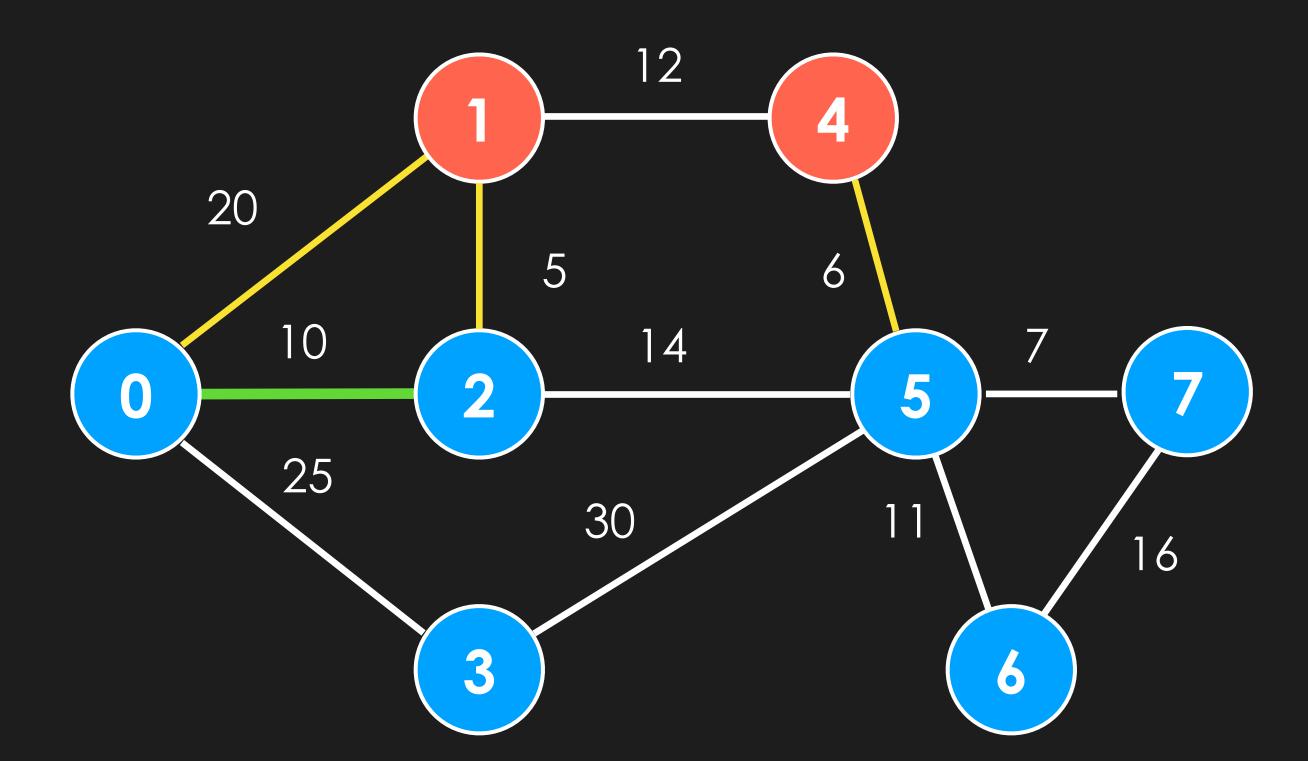
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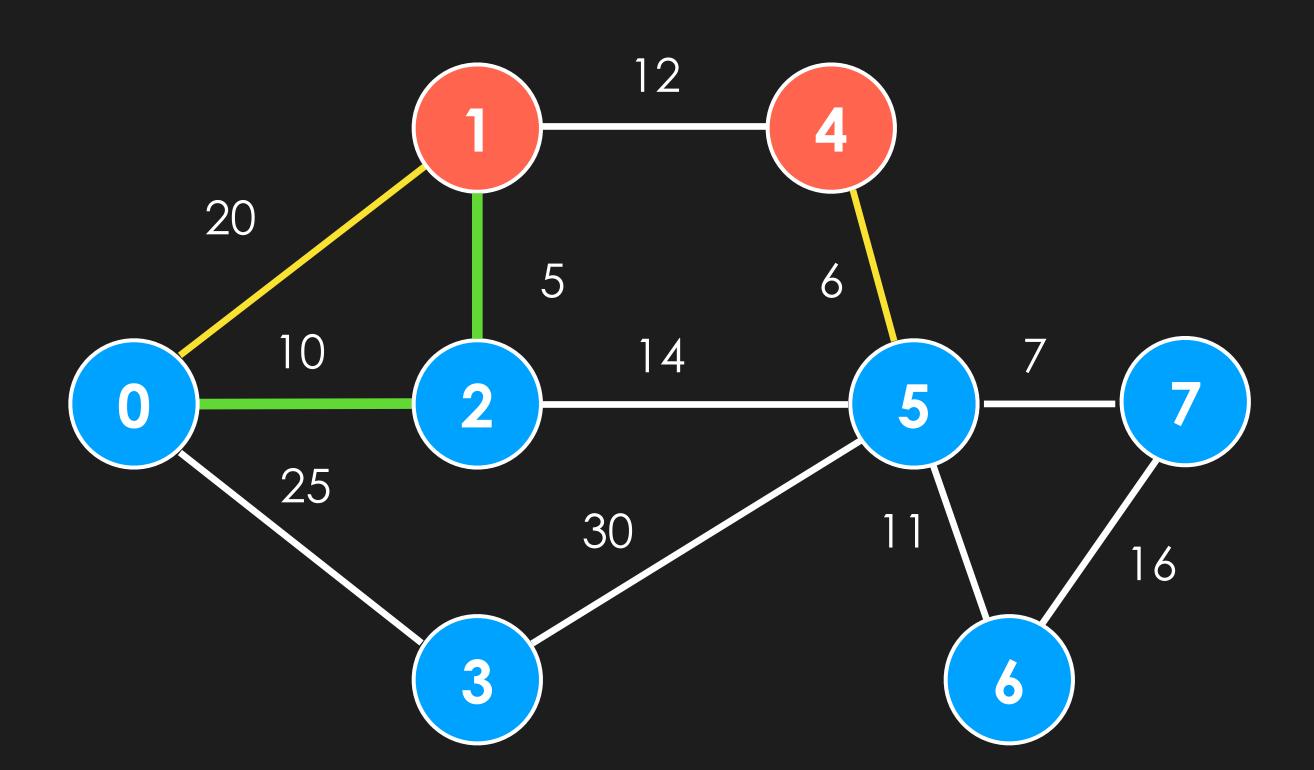
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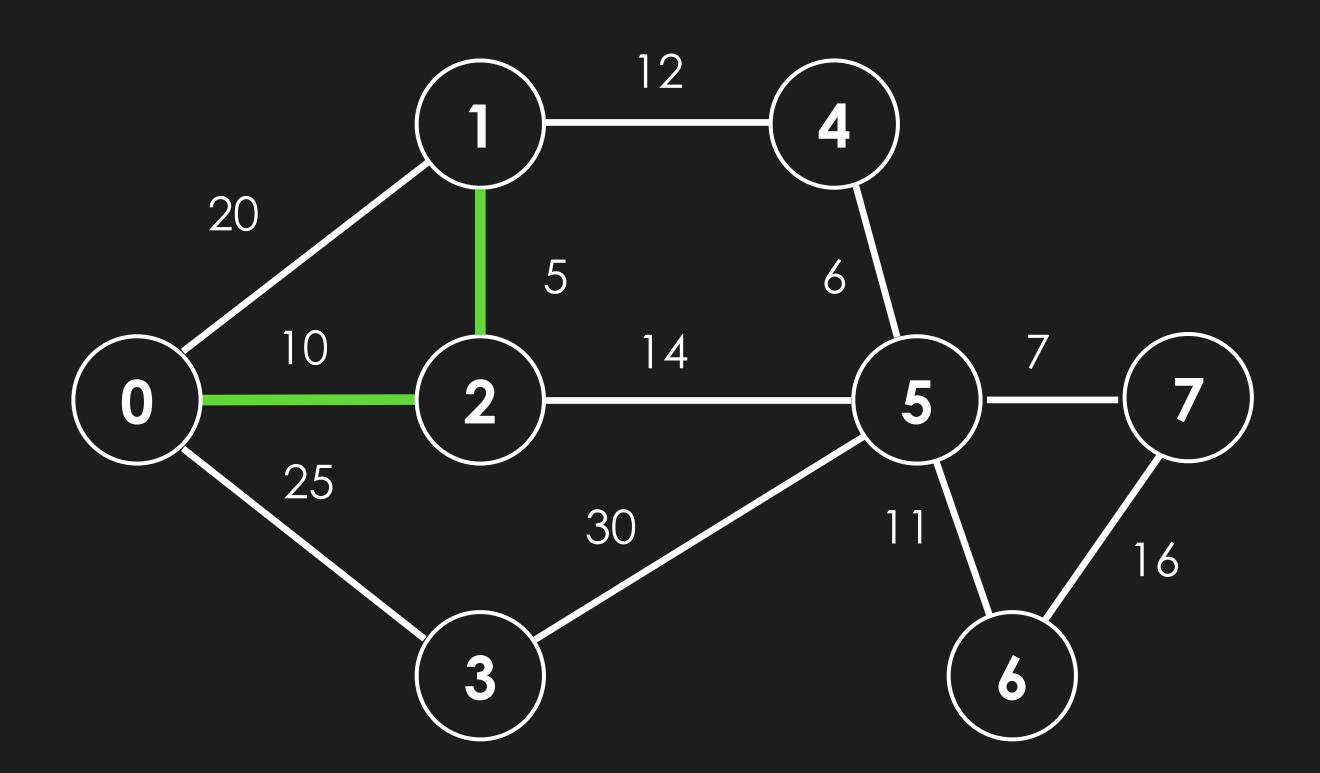


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0 - 2

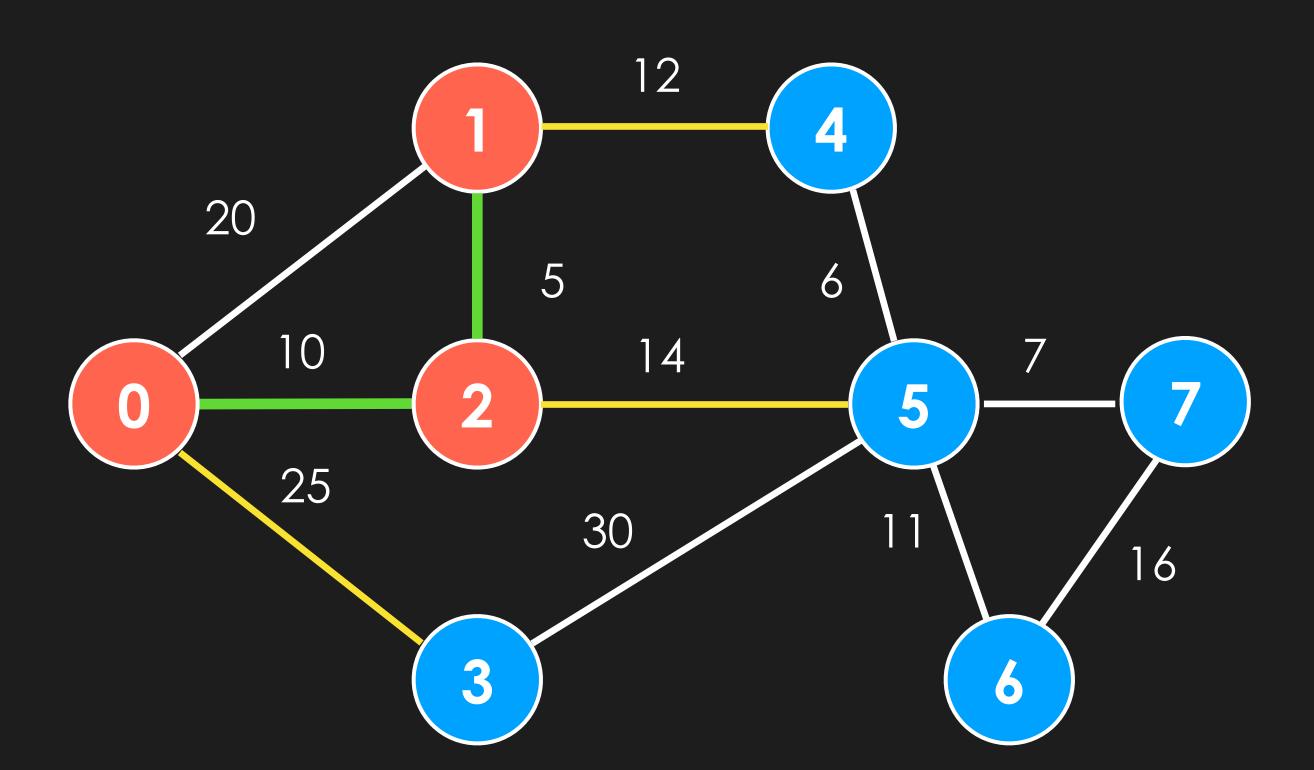
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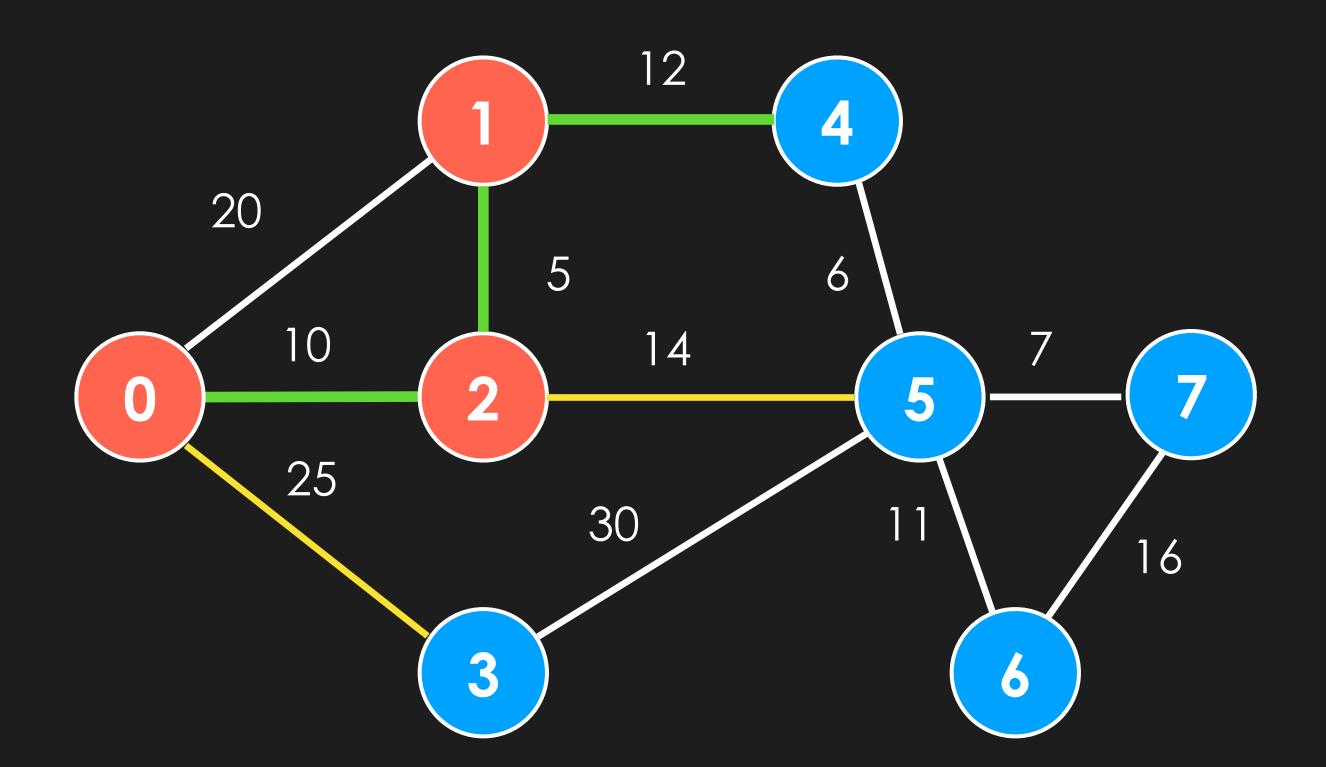


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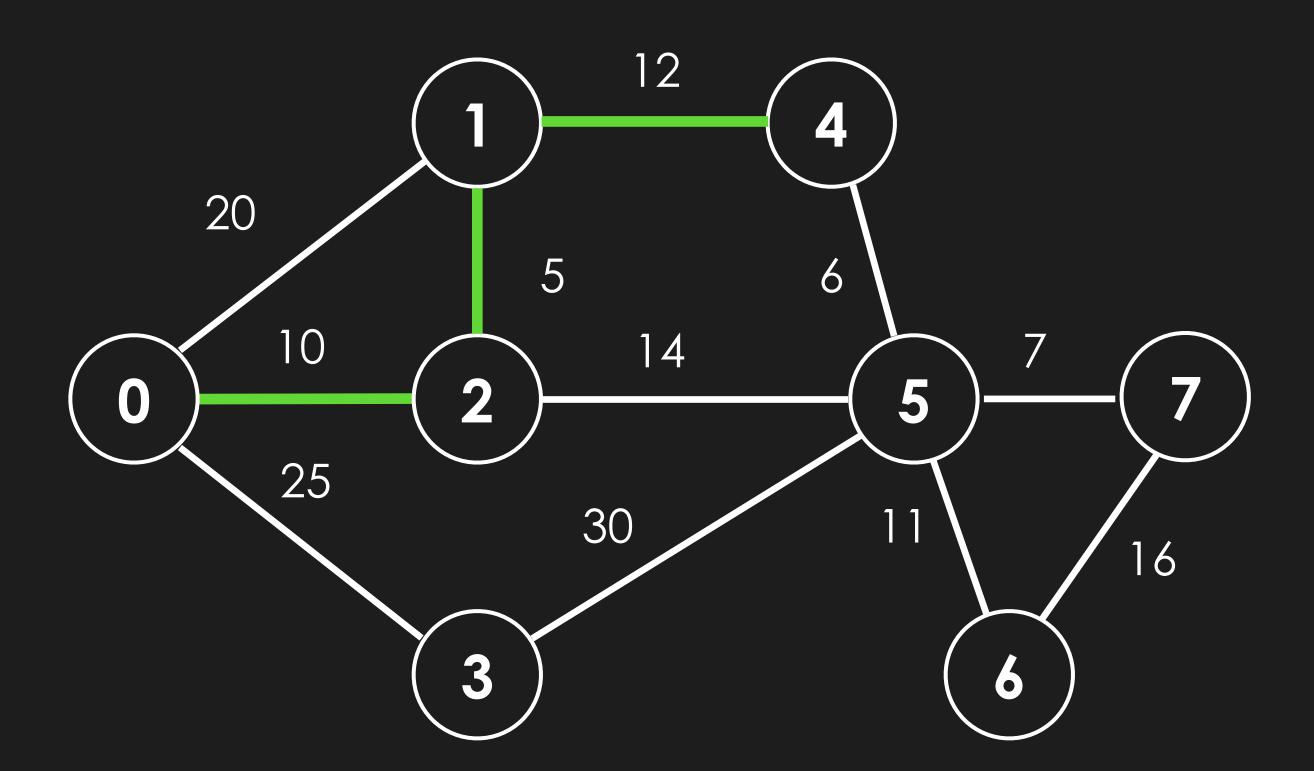
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0 - 2

1 - 2

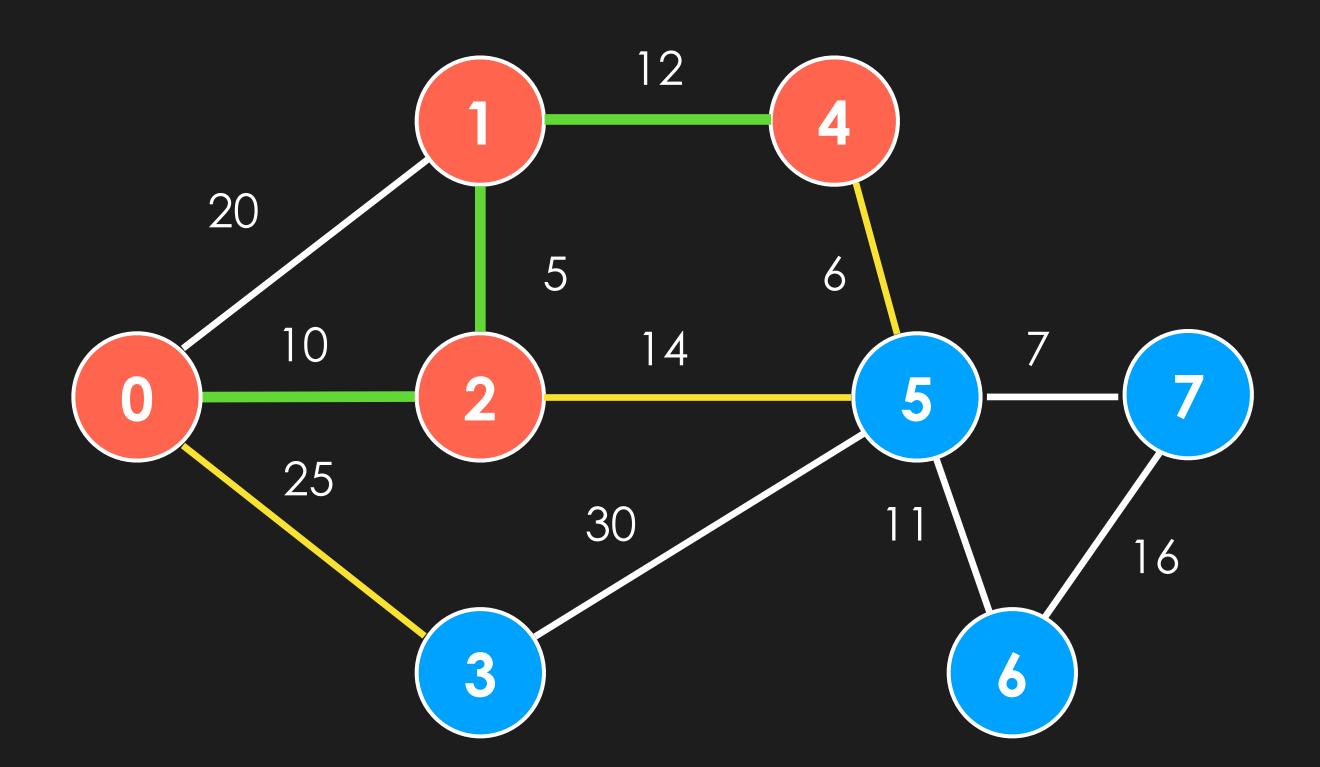
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0 - 2

1 - 2

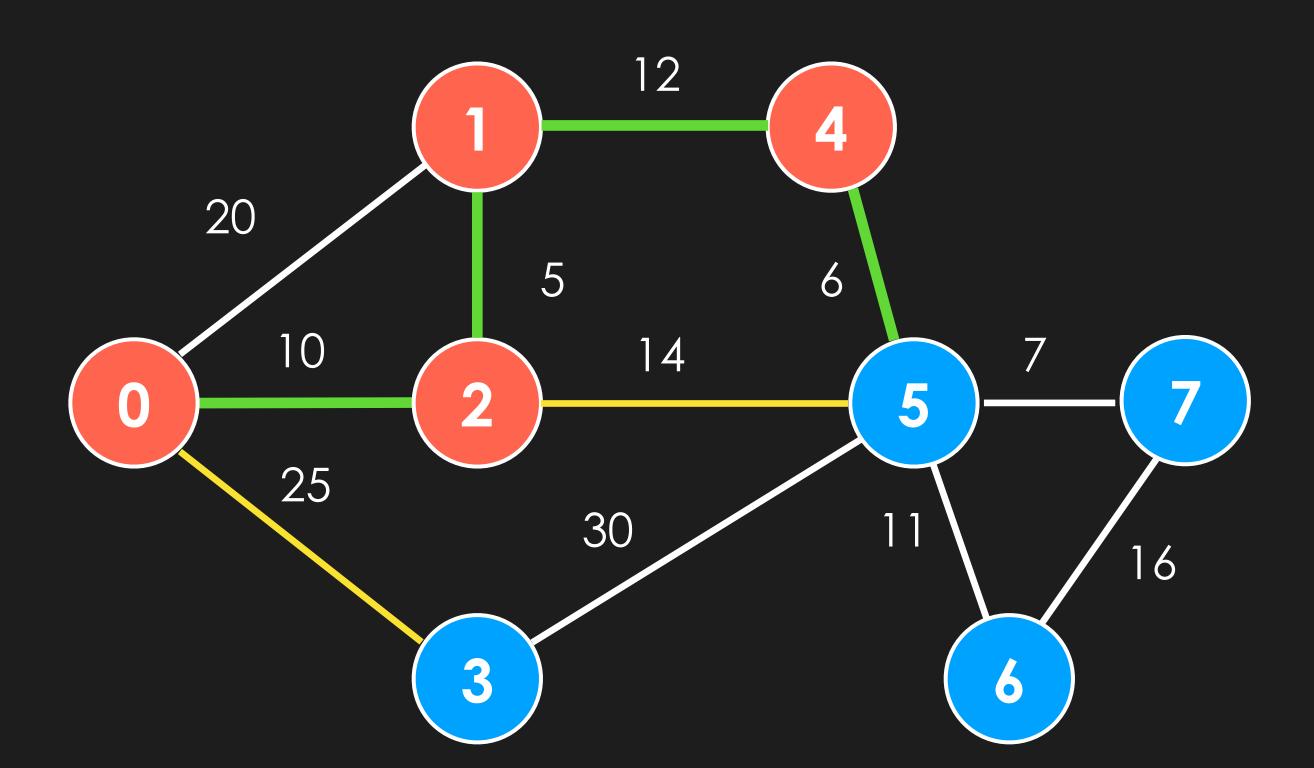
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1 - 2

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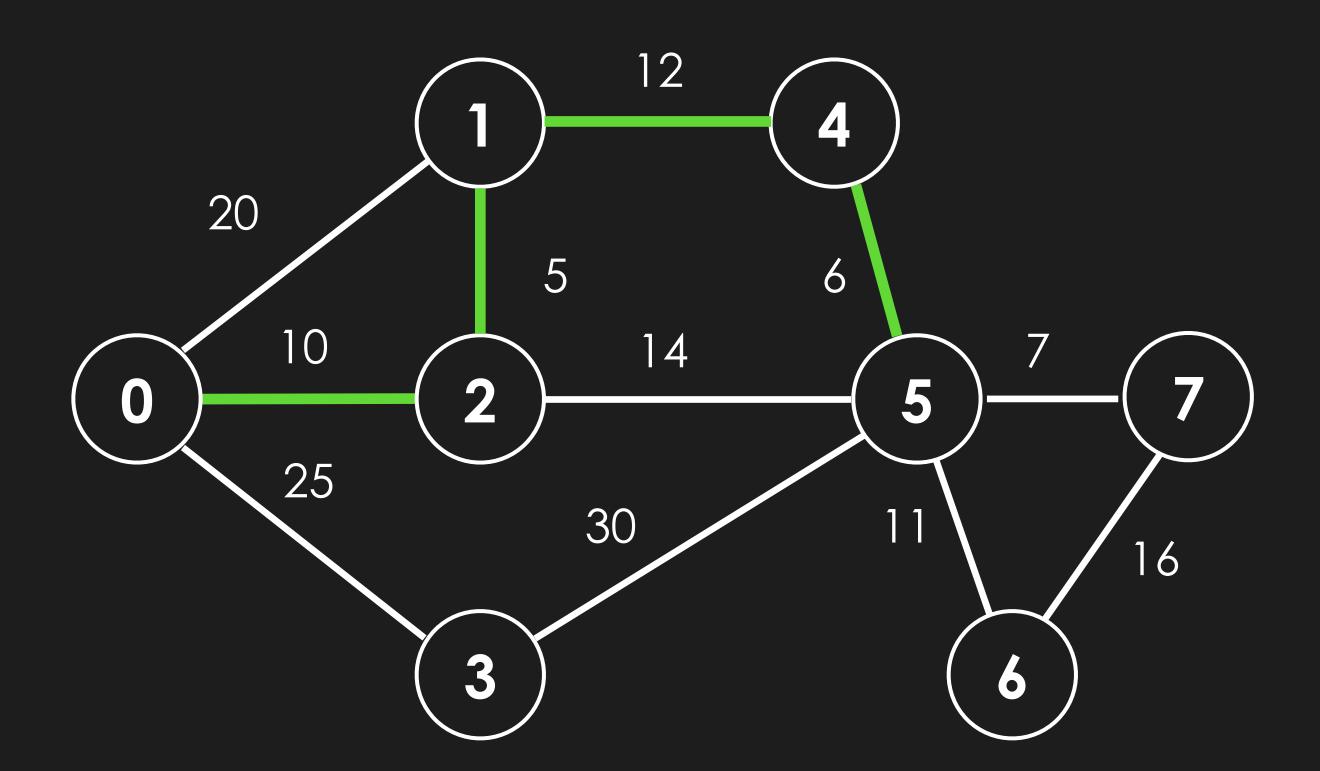


0 - 2

1 - 2

1 - 4

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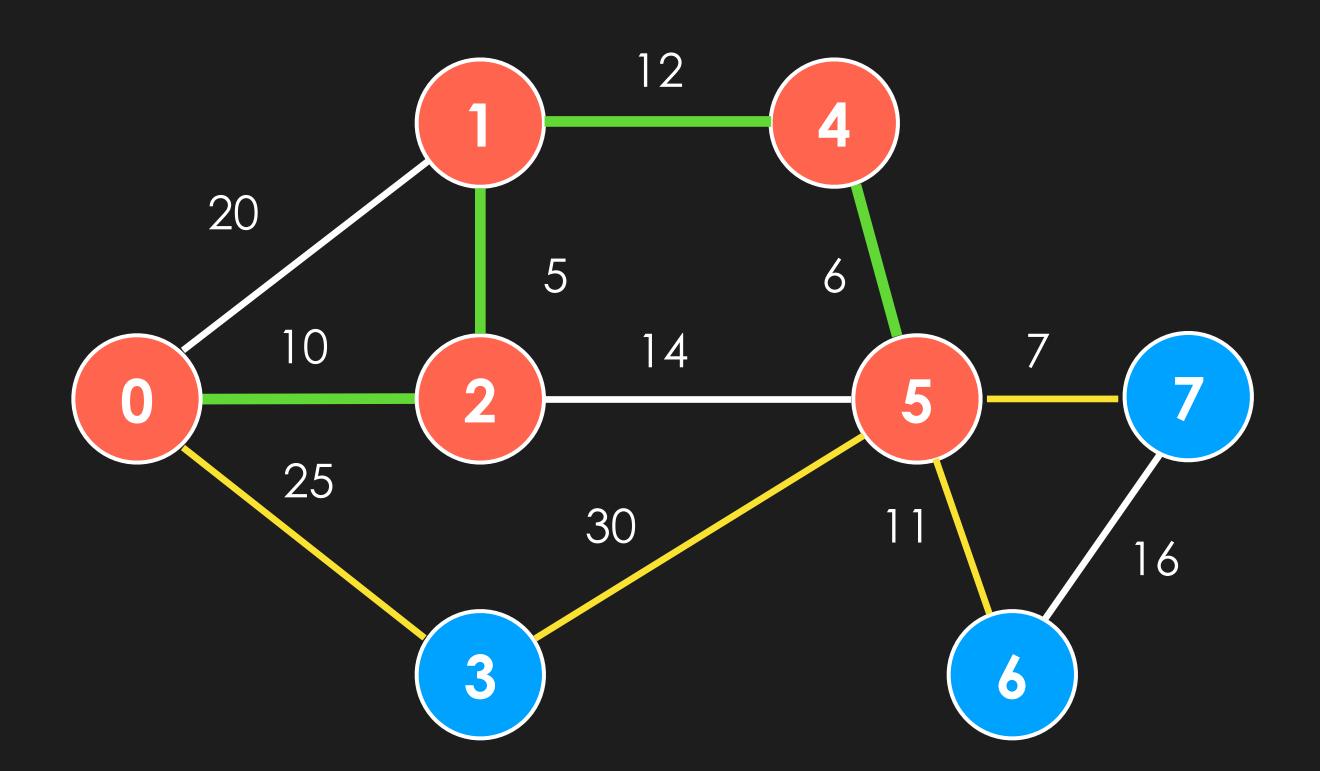


0 - 2

1 - 2

1 - 4

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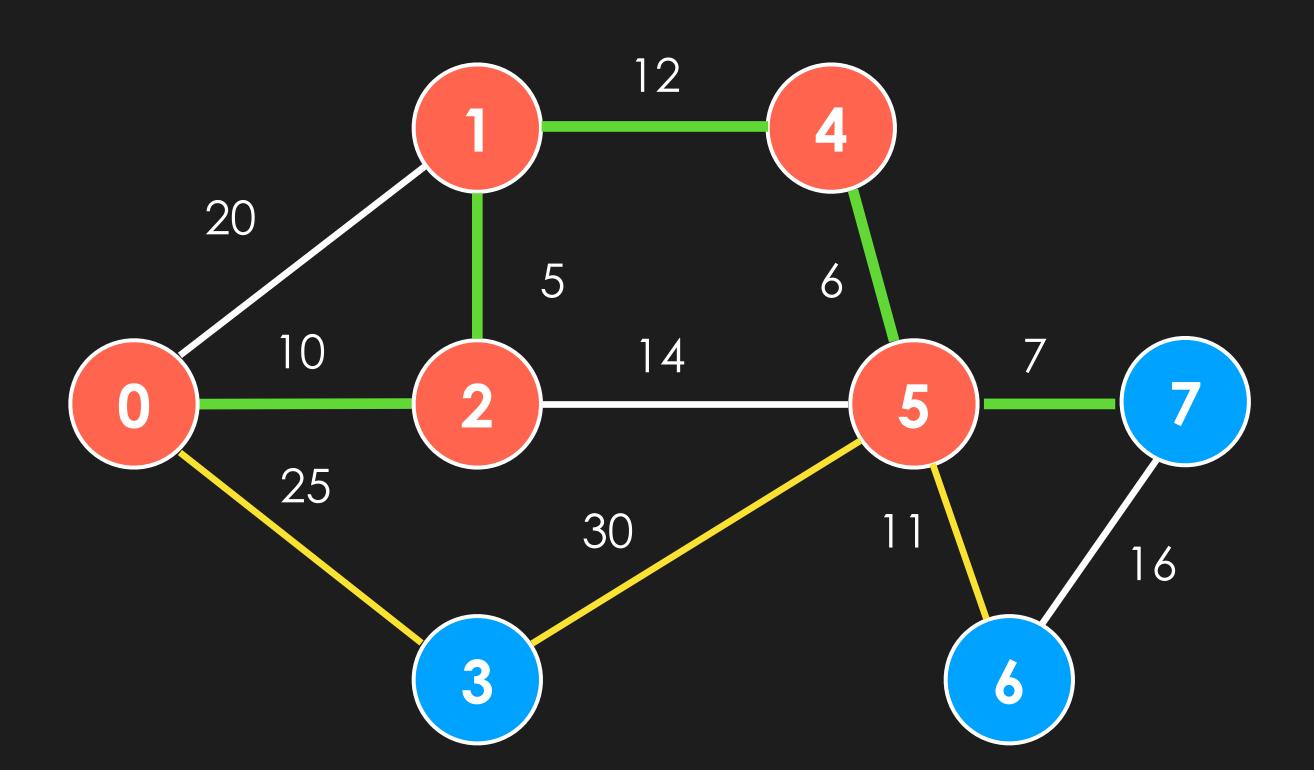


0 - 2

1 - 2

1 - 4

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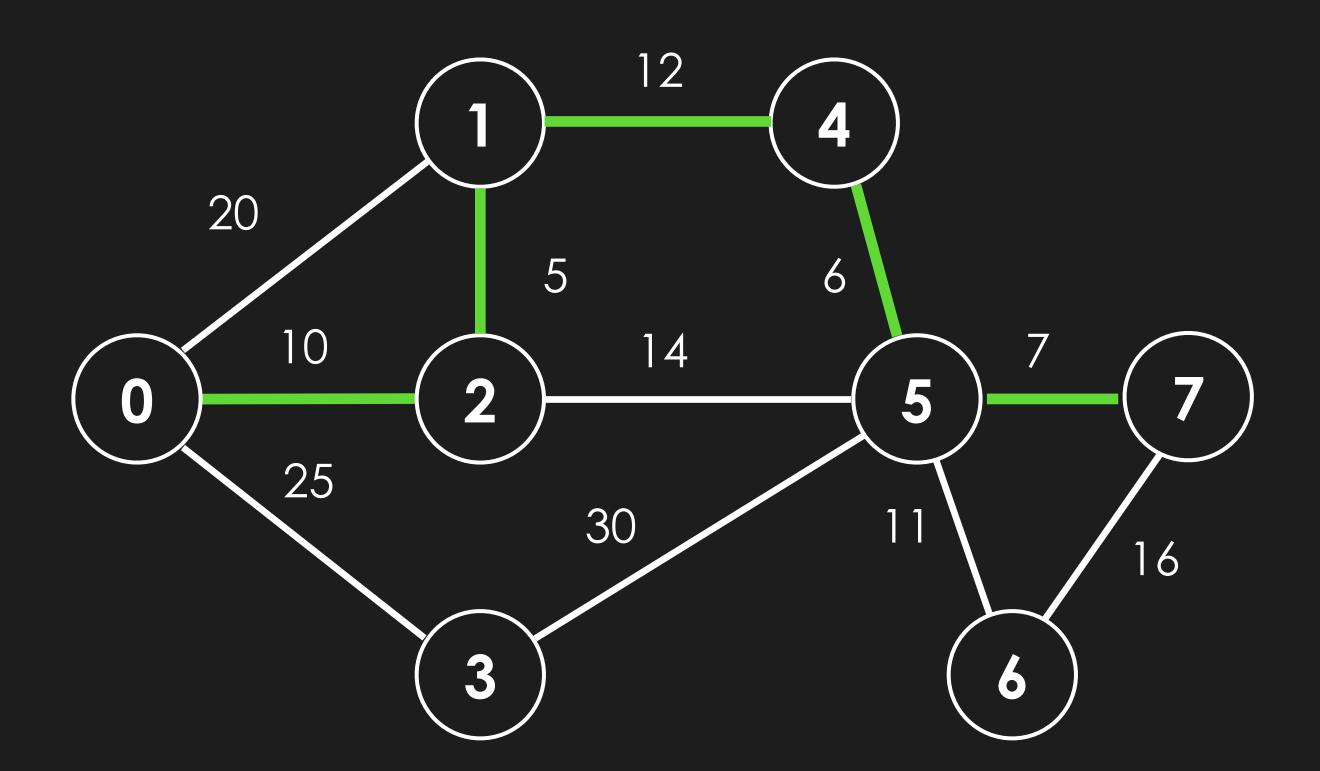
0 - 2

1 - 2

1 - 4

4 - 5

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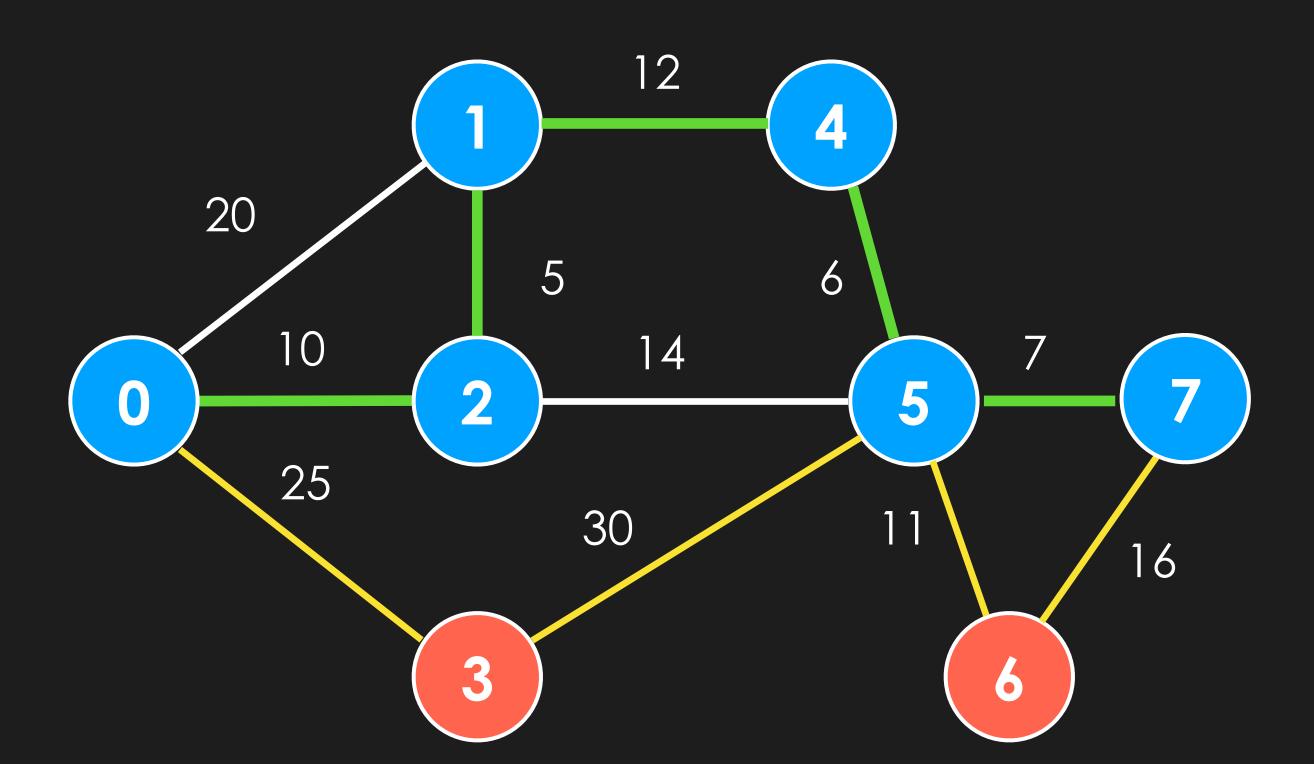
0 - 2

1 - 2

1 - 4

4 - 5

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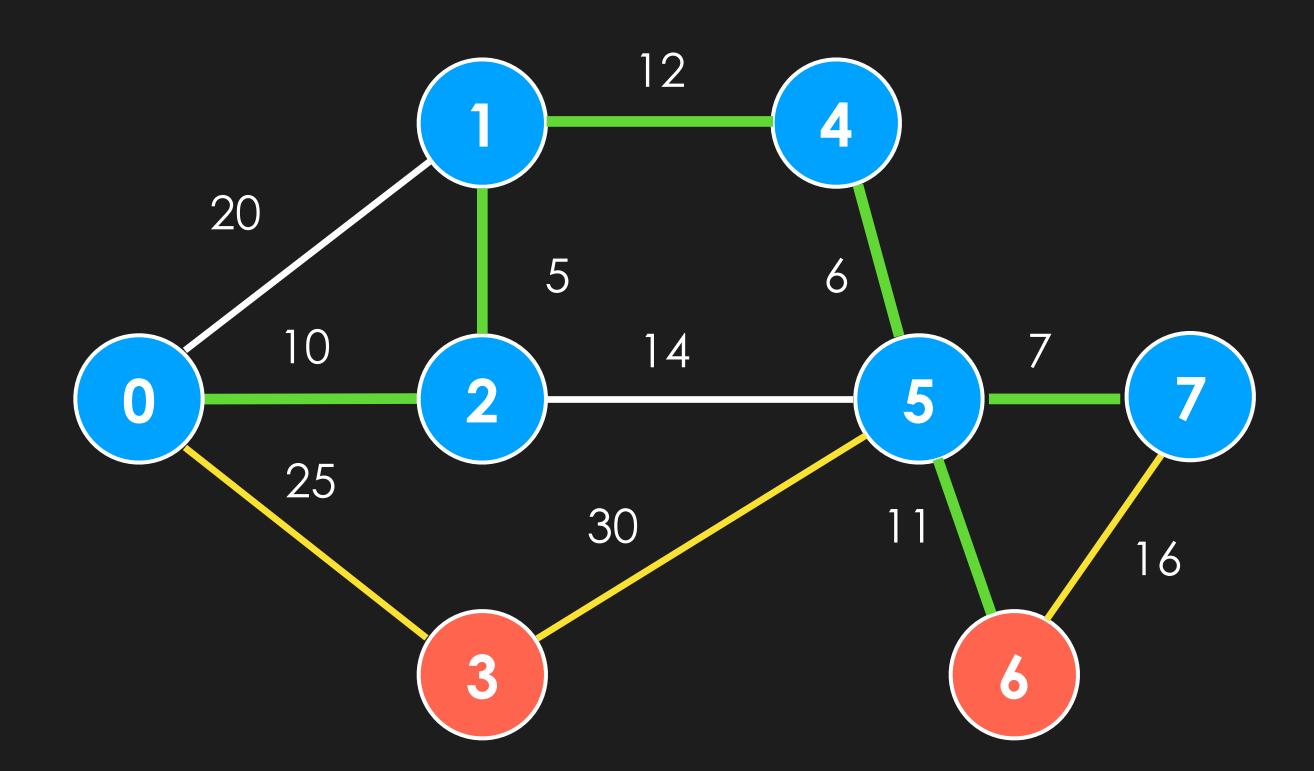
0 - 2

1 - 2

1 - 4

4 - 5

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0 - 2

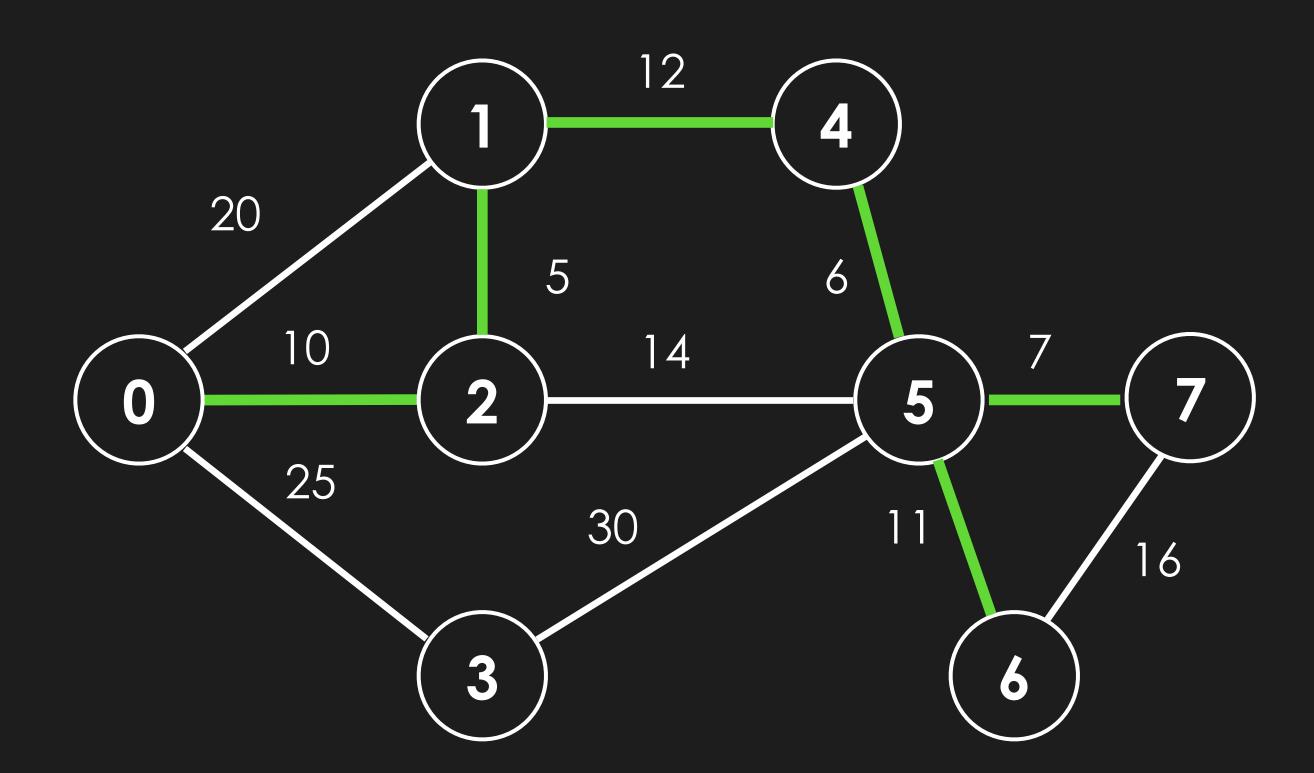
1 - 2

1 - 4

4 - 5

5 - 7

- 1. Find a **cut** which contains no **green edge** (green indicates edge has been added to MST)
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0 - 2

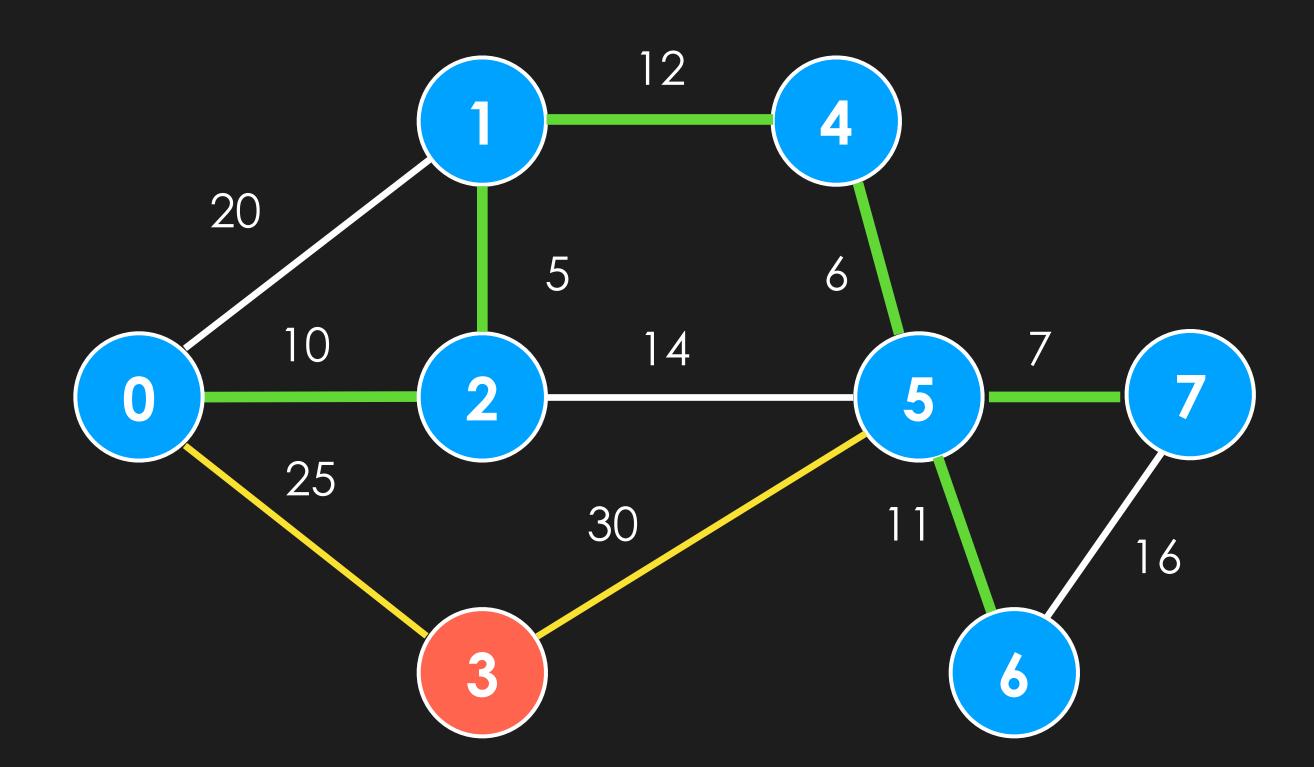
1 - 2

1 - 4

4 - 5

5 - 7

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### **MST**

0 - 2

1 - 2

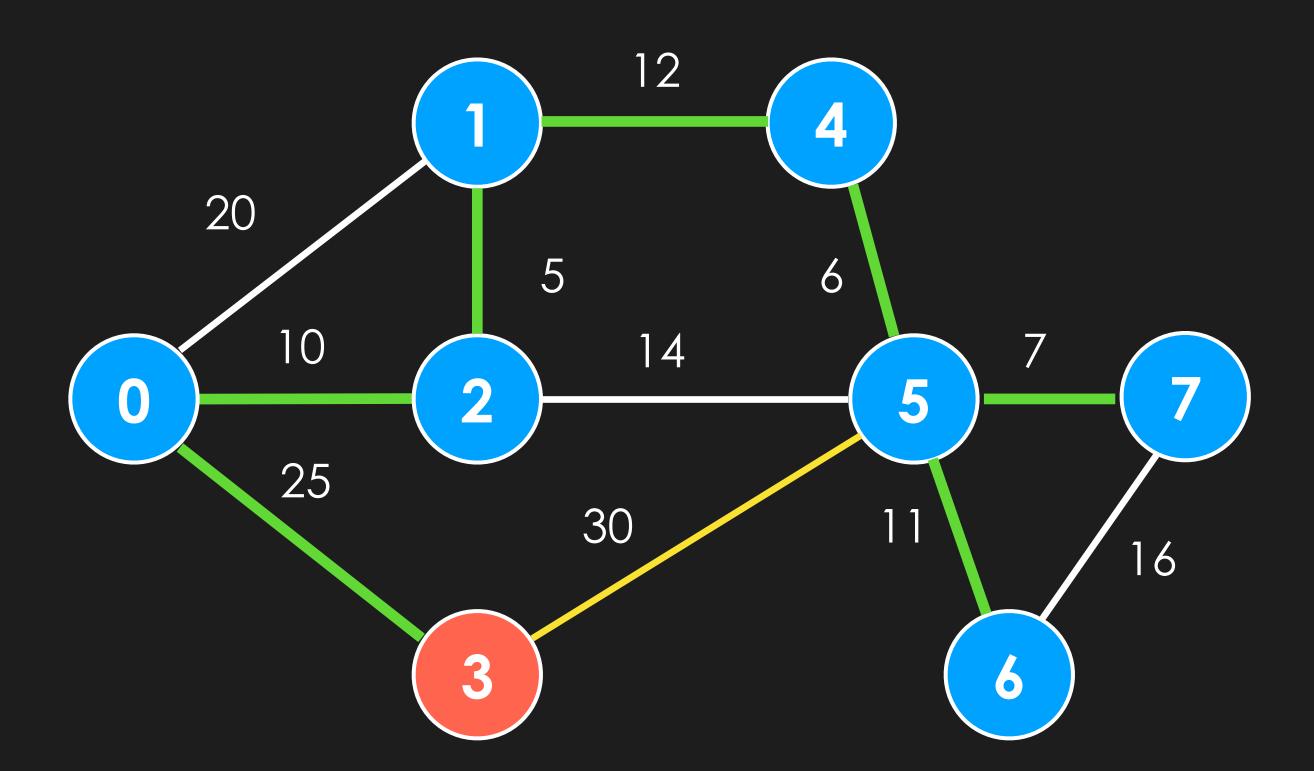
1 - 4

4 - 5

5 - 7

6 - 5

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### **MST**

0 - 2

1 - 2

1 - 4

4 - 5

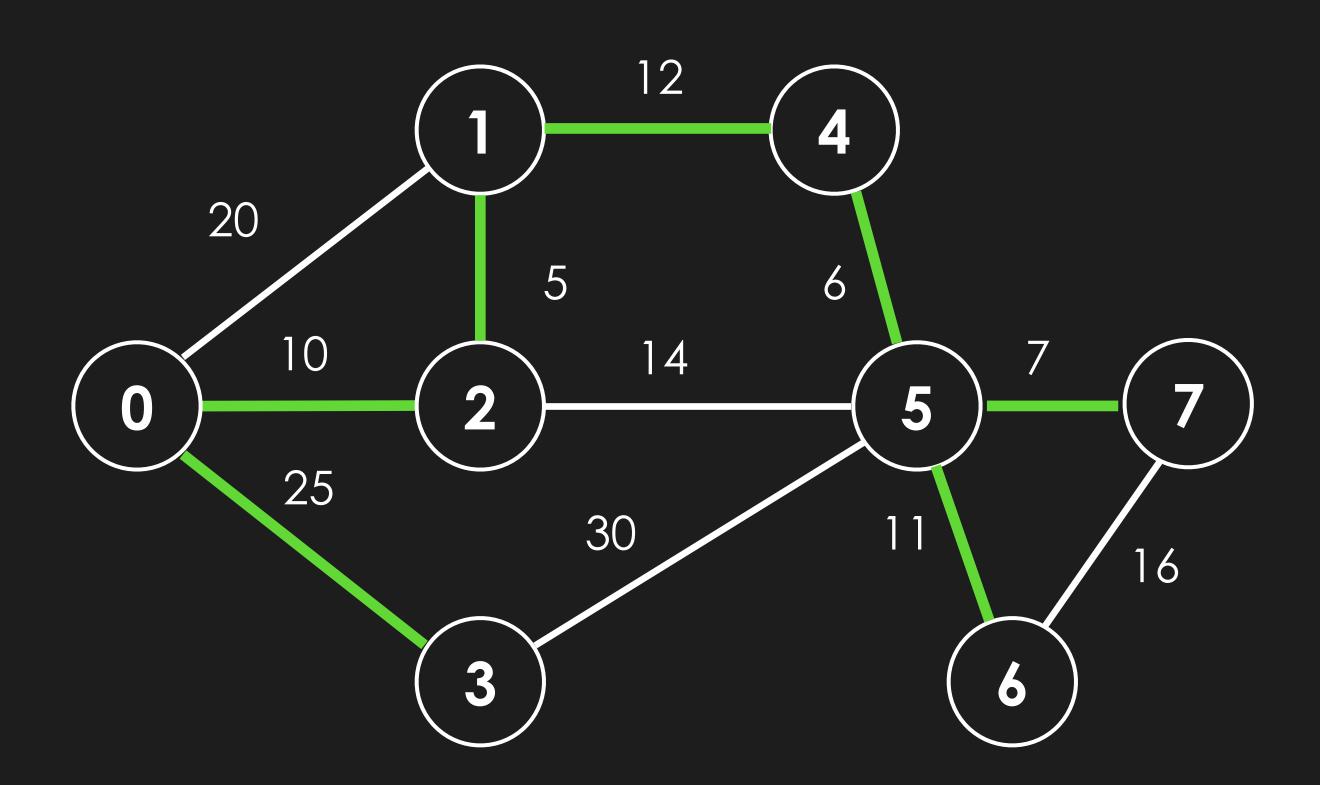
5 - 7

6 - 5

3 - 0

#### This is called a **greedy algorithm** because:

At each step, we are selecting the **best option** (min weight cut edge), aka **local optimum**, in hopes that it leads to the best overall solution (MST), aka **global optimum** 



#### **MST**

- 0 2
- 1 2
- 1 4
- 4 5
- 5 7
- 6 5
- 3 0

How do we implement this algorithm?

## How do we implement this algorithm?

The problem comes in **finding a cut** in the graph. This is increasingly **problematic** as more edges in the MST are found (marked green)

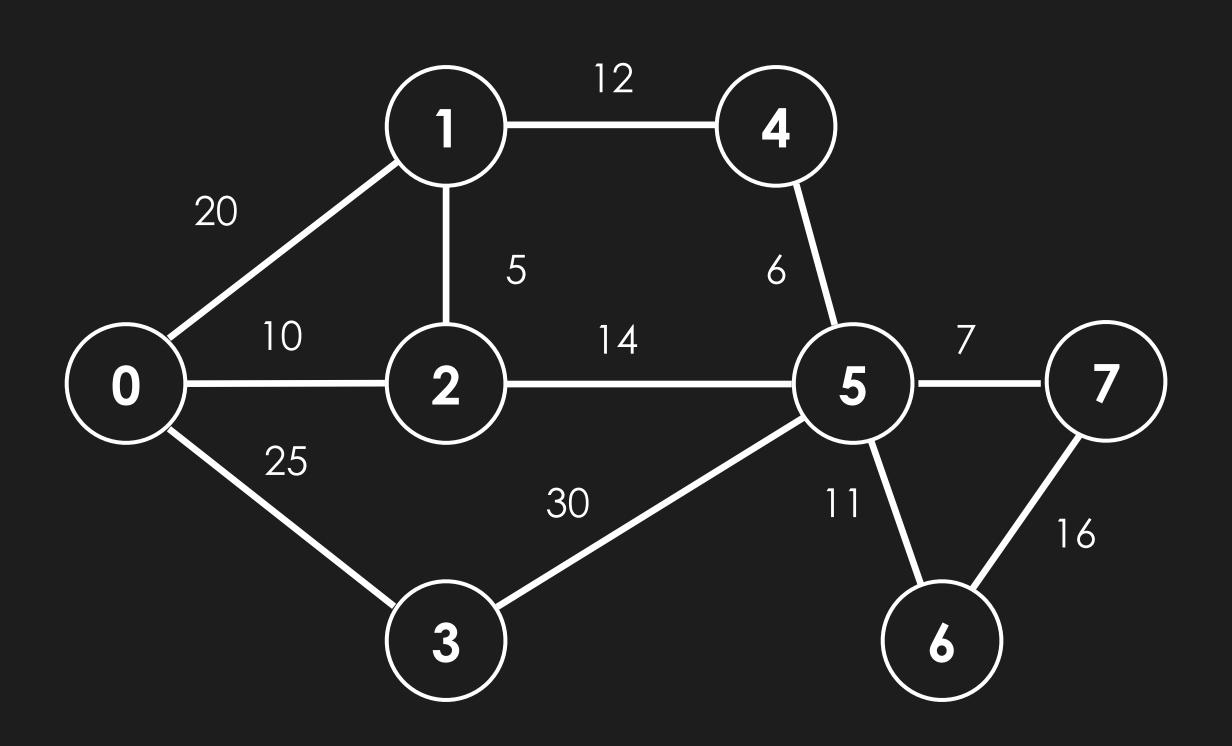
There are two classic algorithms that solve this problem:

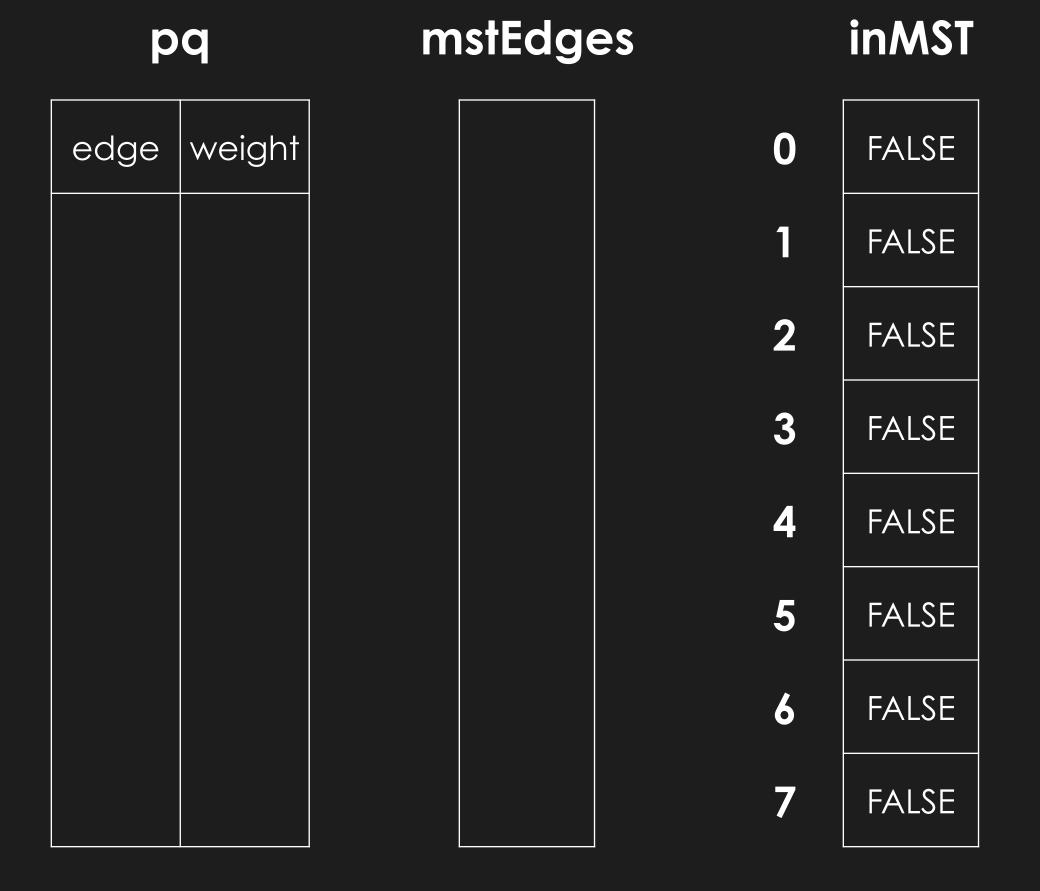
- 1. Prim's algorithm (To be learnt)
- 2. Kruskal's algorithm



# Prim's Algorithm

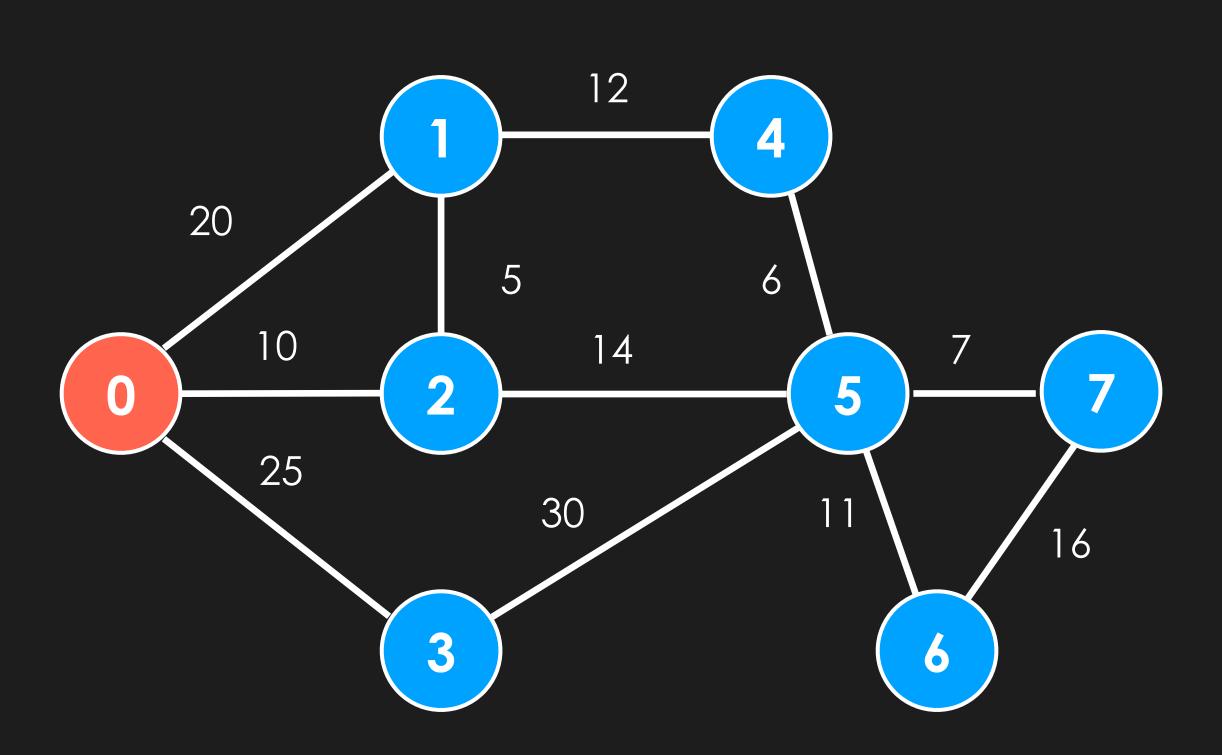
1. Start at **vertex 0** (or any random vertex), and add all adjacent edges to **pq** 

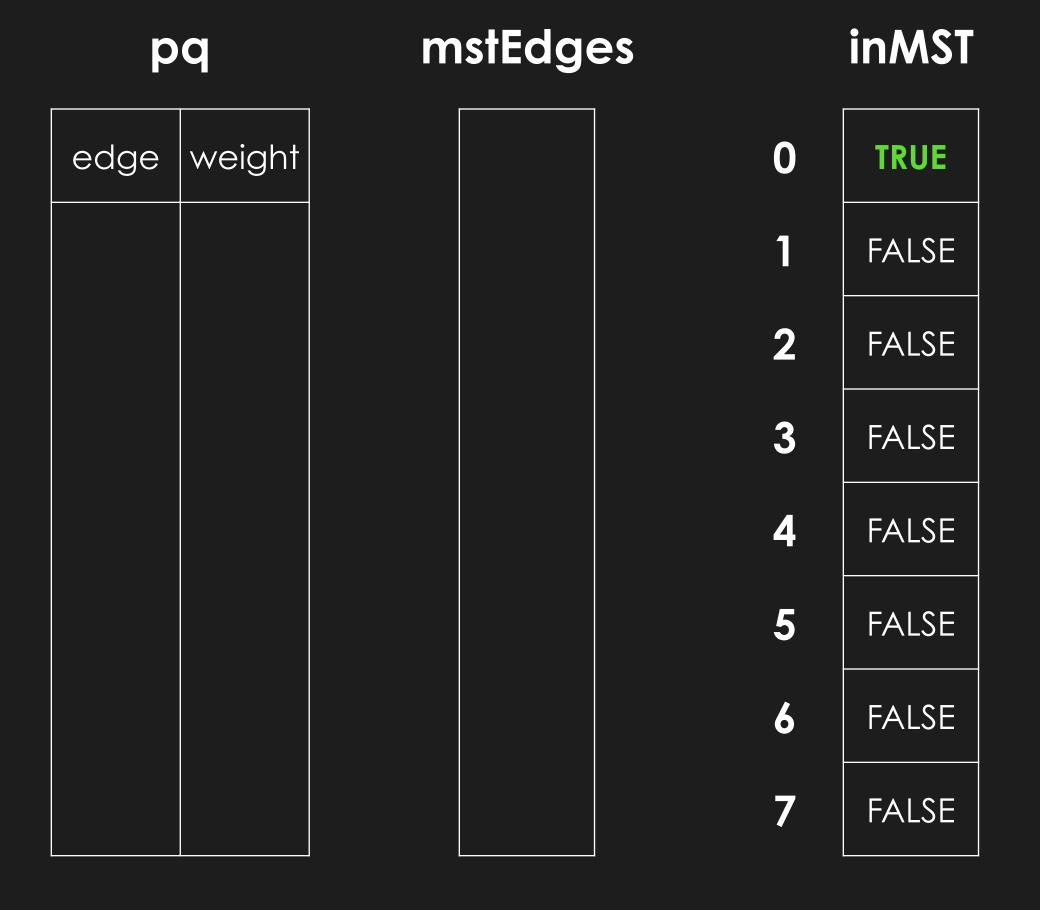






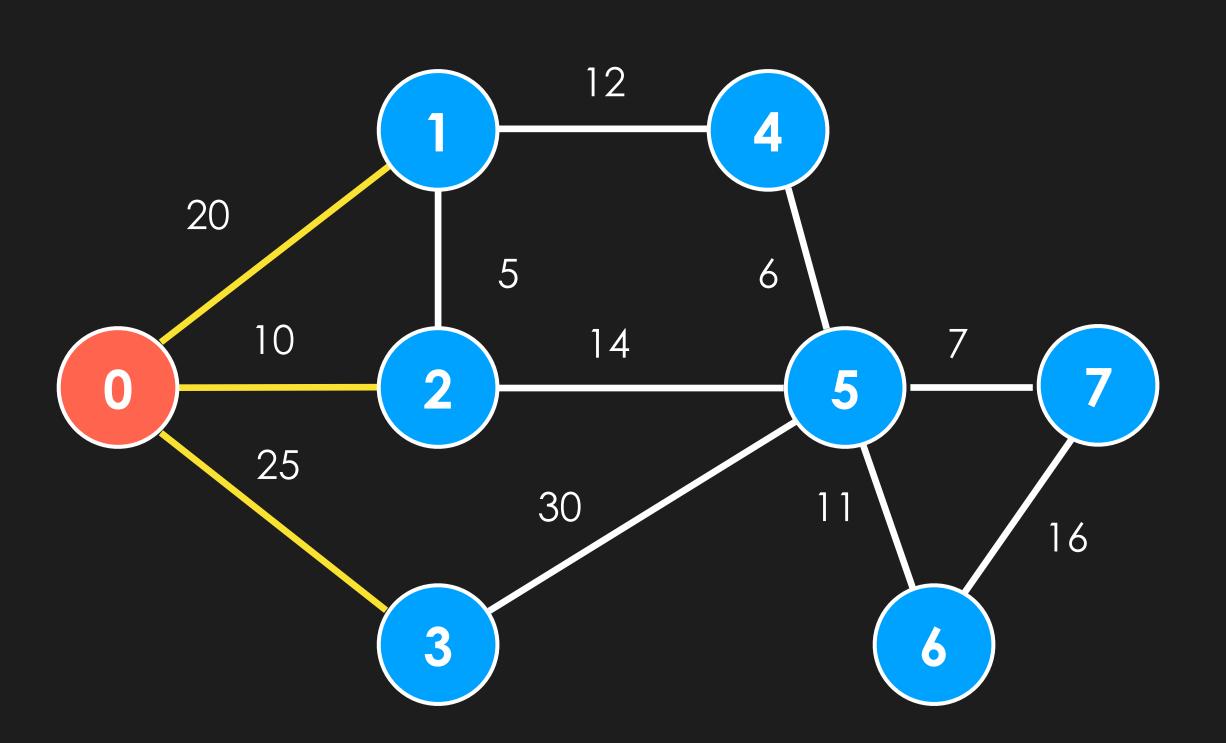
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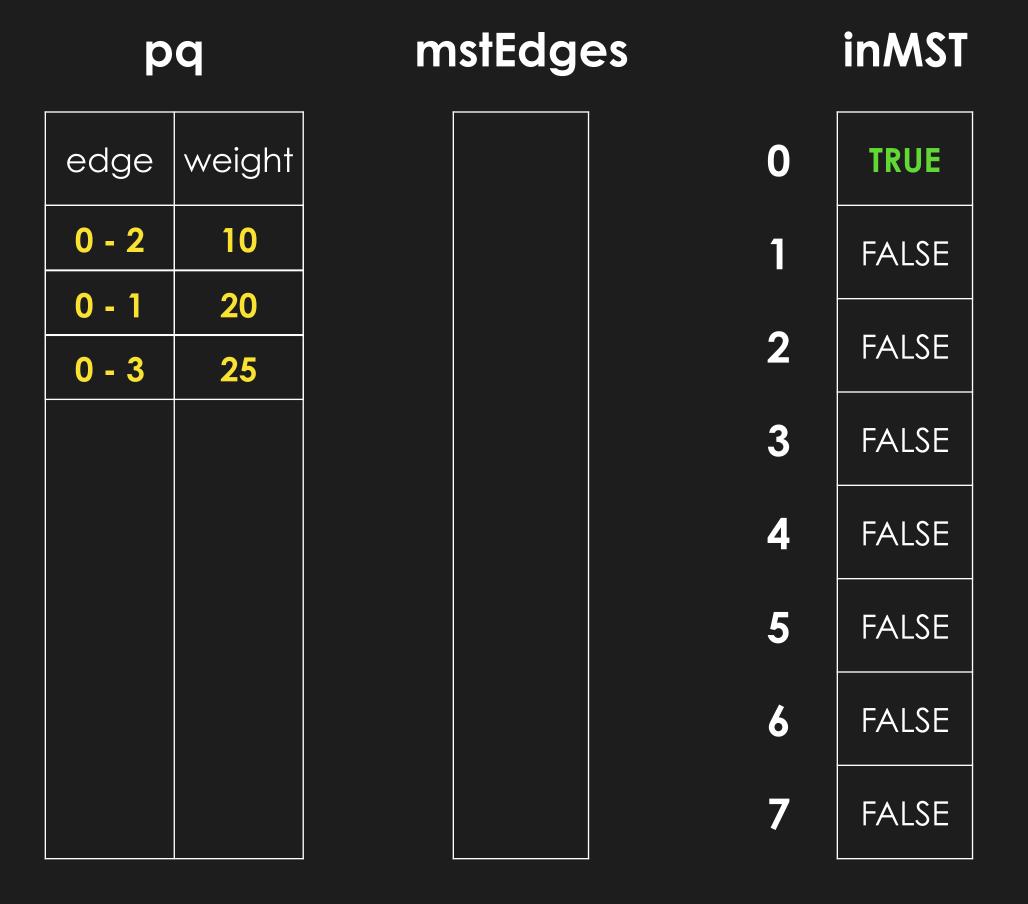




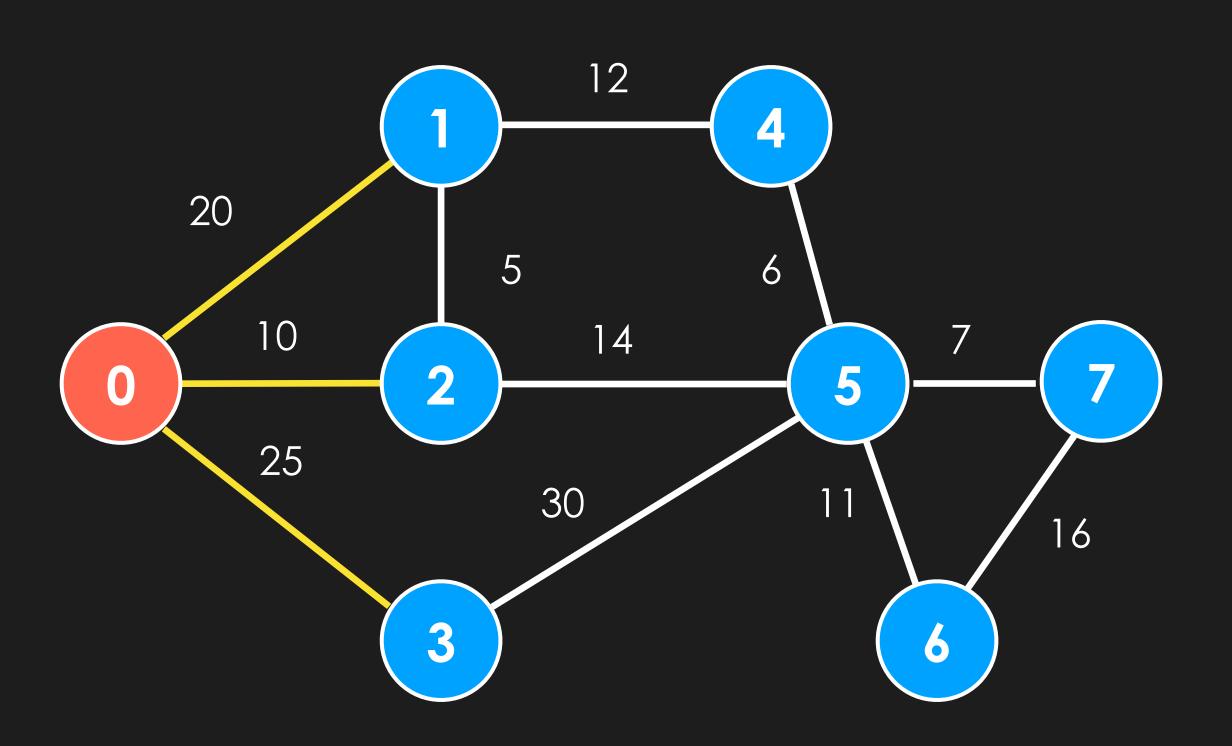


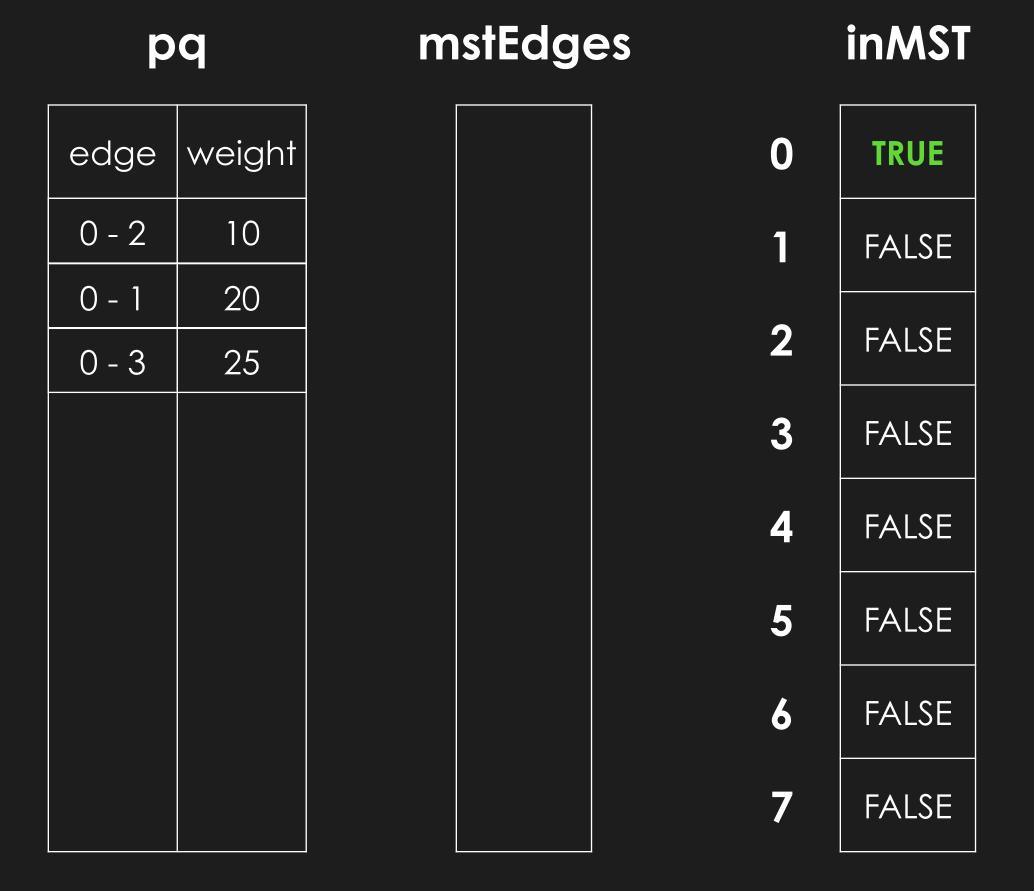
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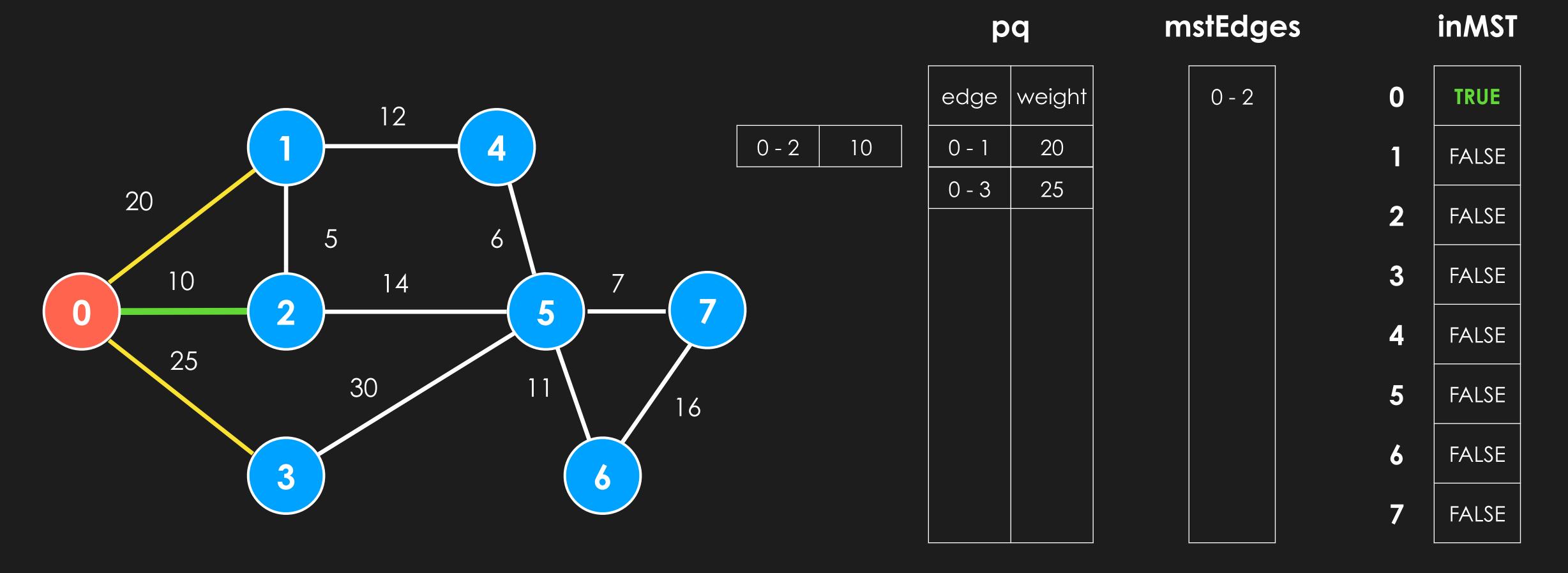
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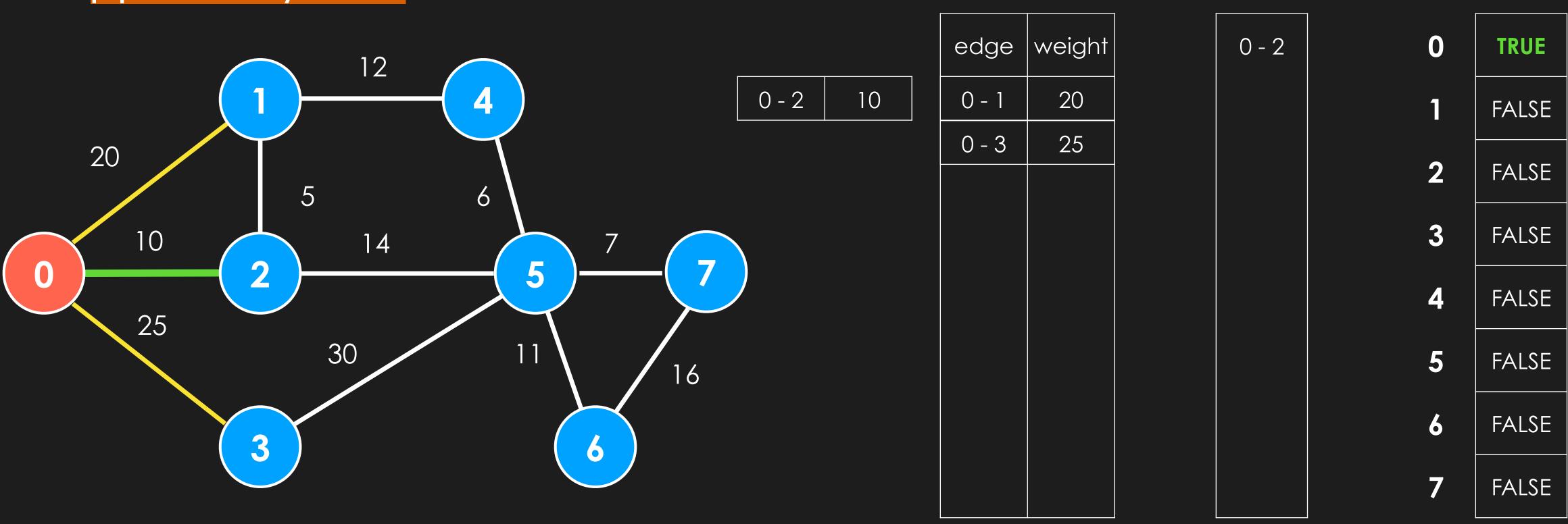




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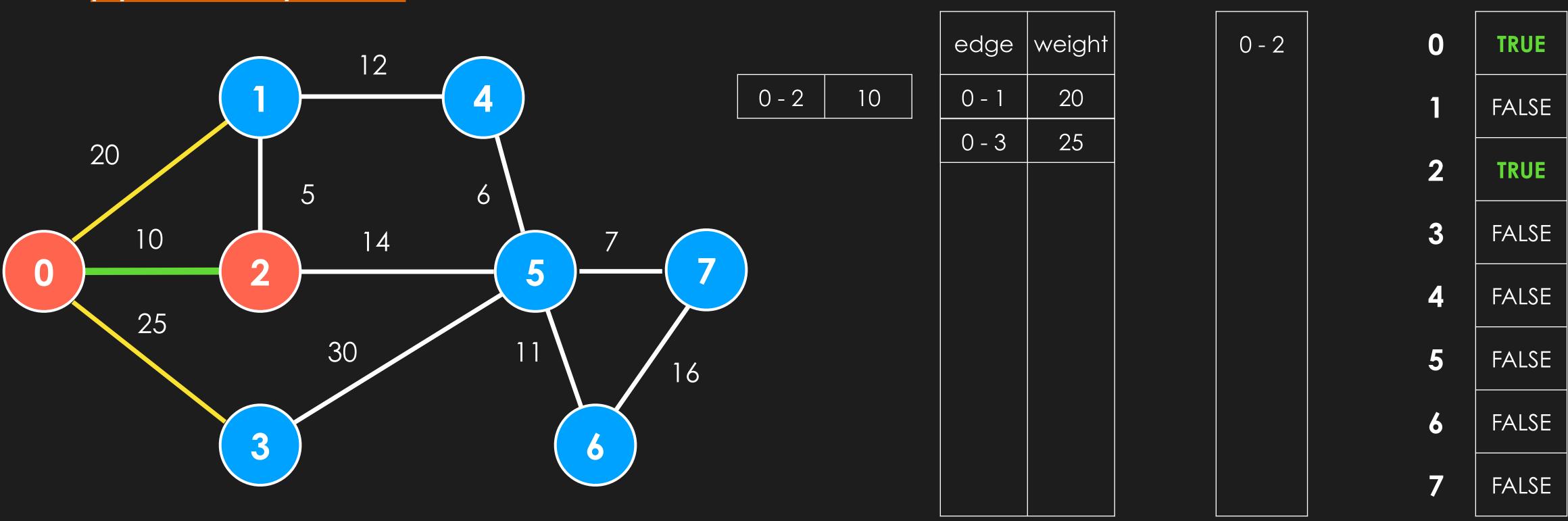
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- 3. For vertices in min edge, add to **inMST** and add adjacent edges to **pq if not already done so**





mstEdges

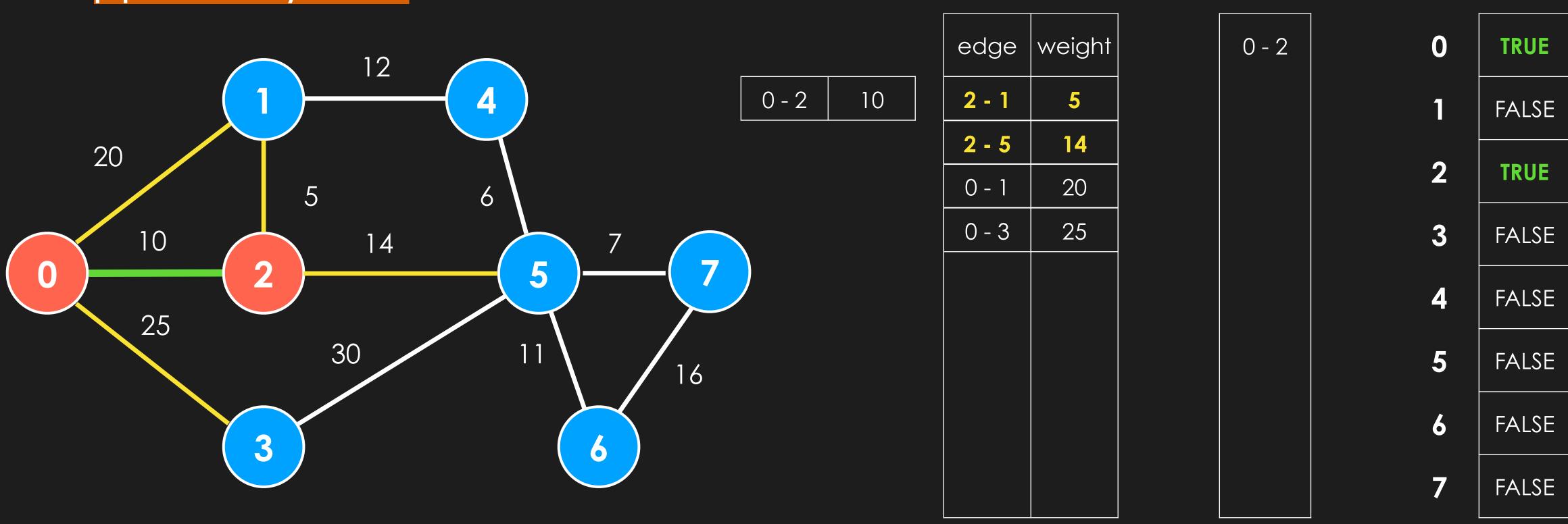
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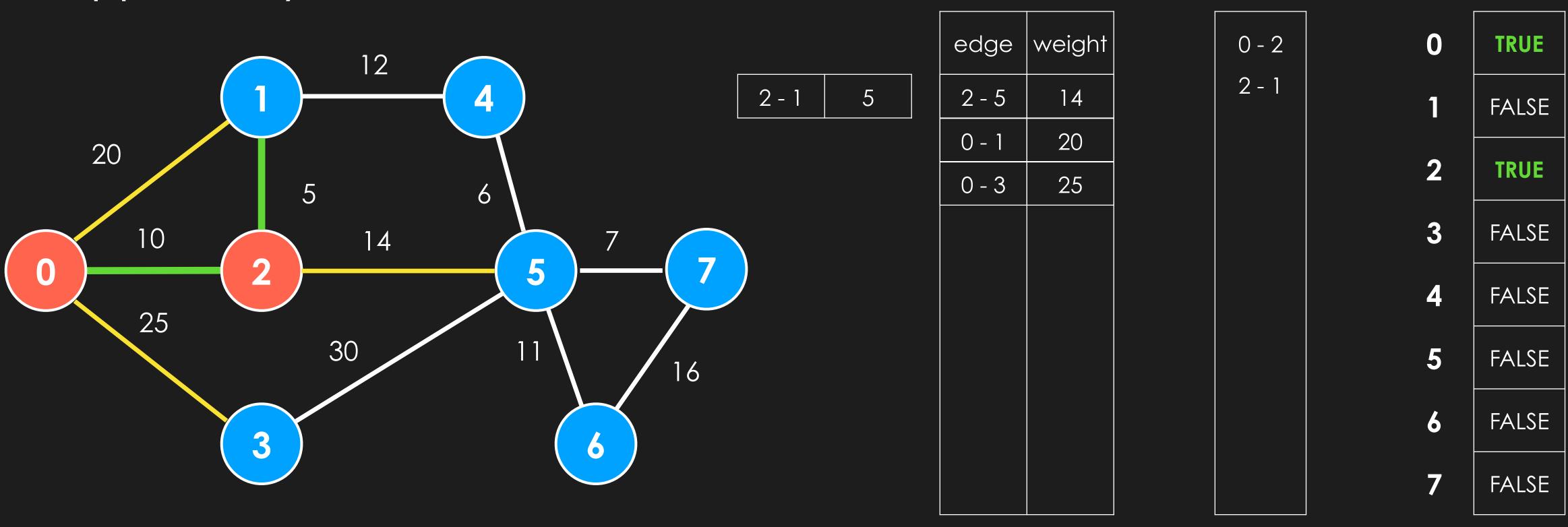
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mstEdges

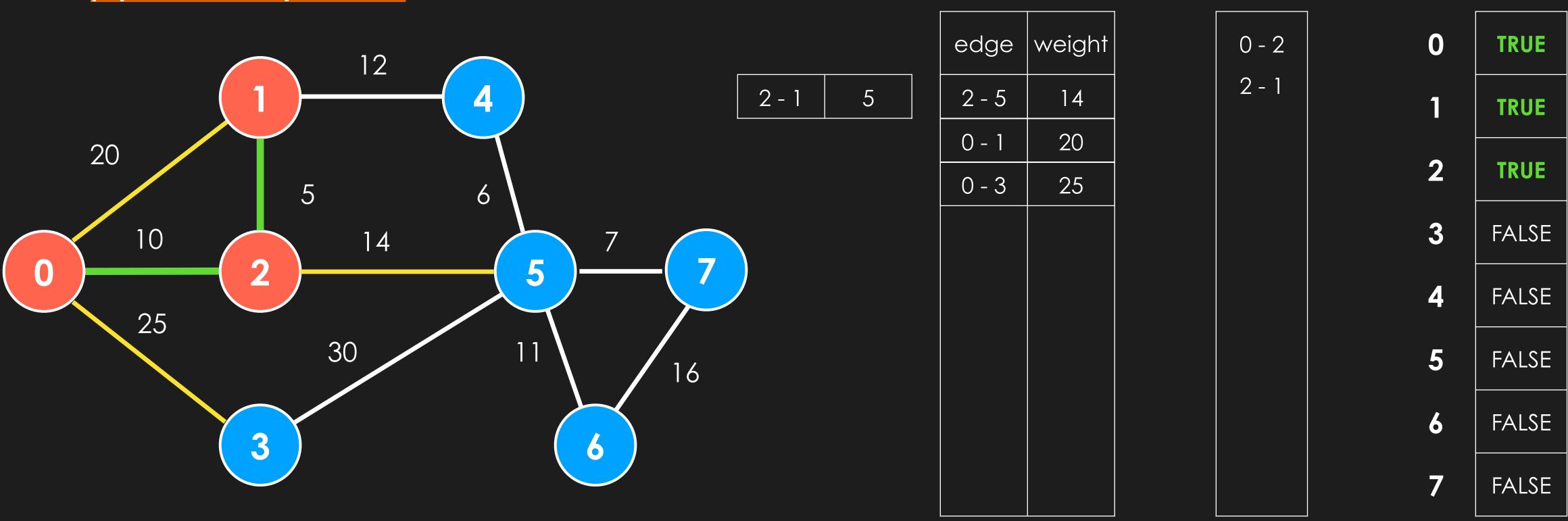
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mstEdges

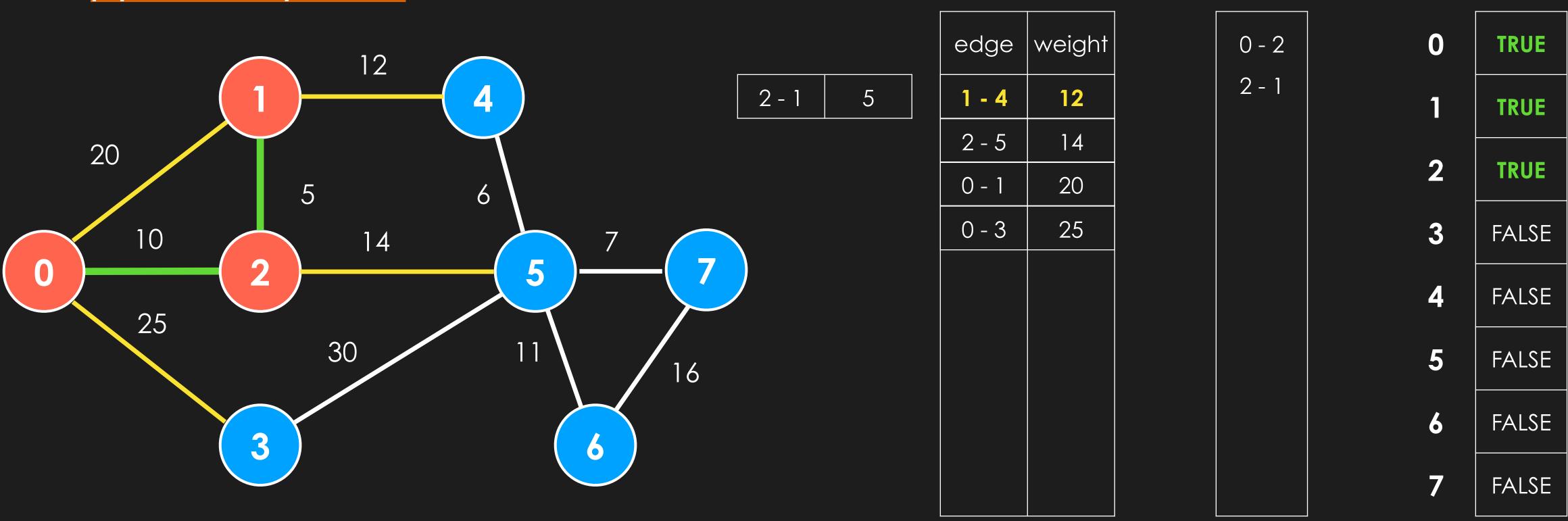
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mstEdges

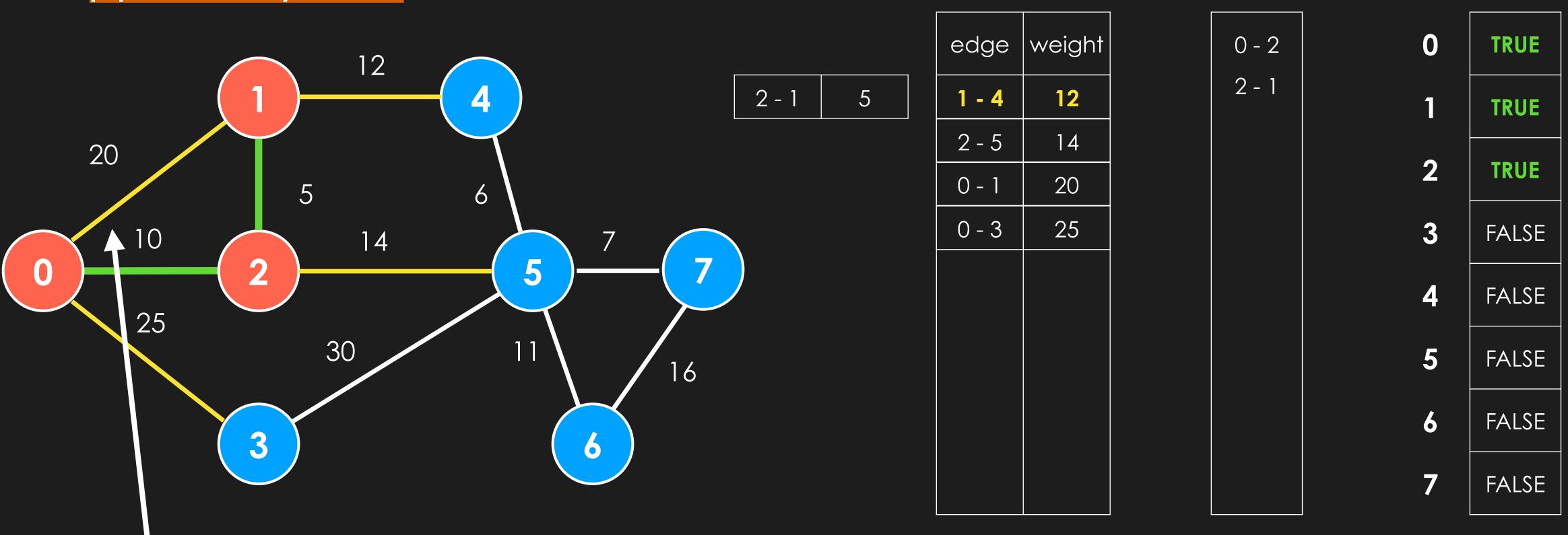
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mstEdges

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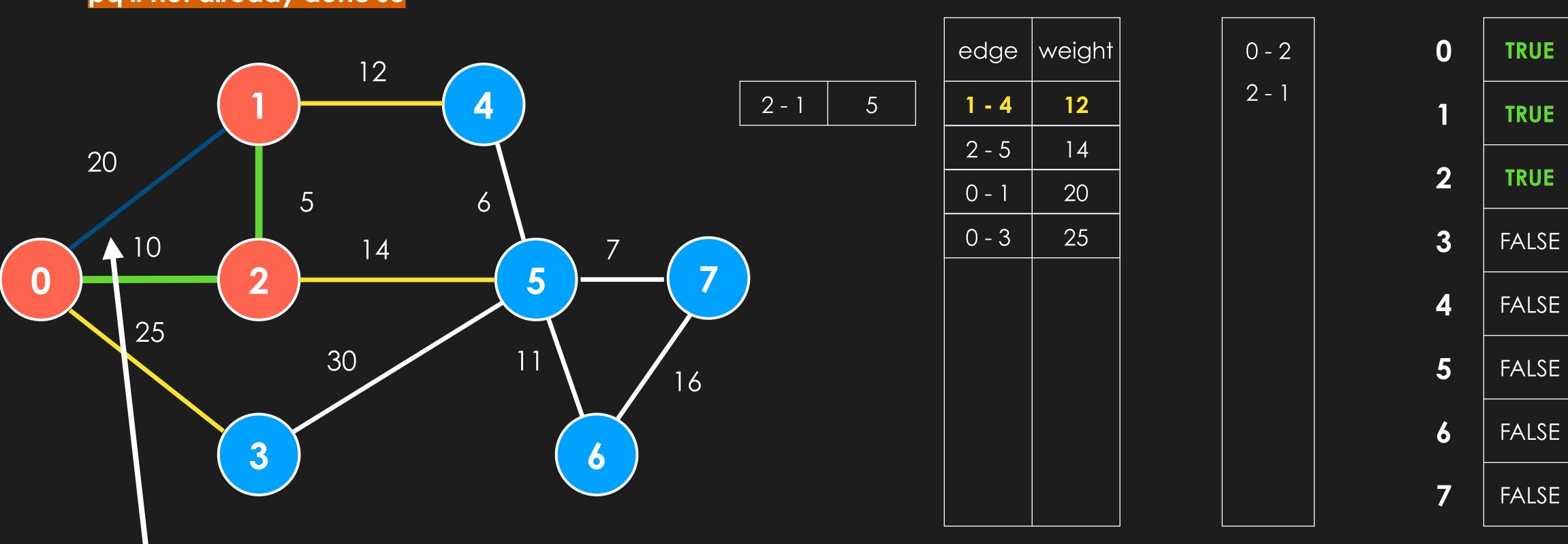


**Note:** As edges are added to the pq, some edges become **obsolete!** 

inMST

mstEdges

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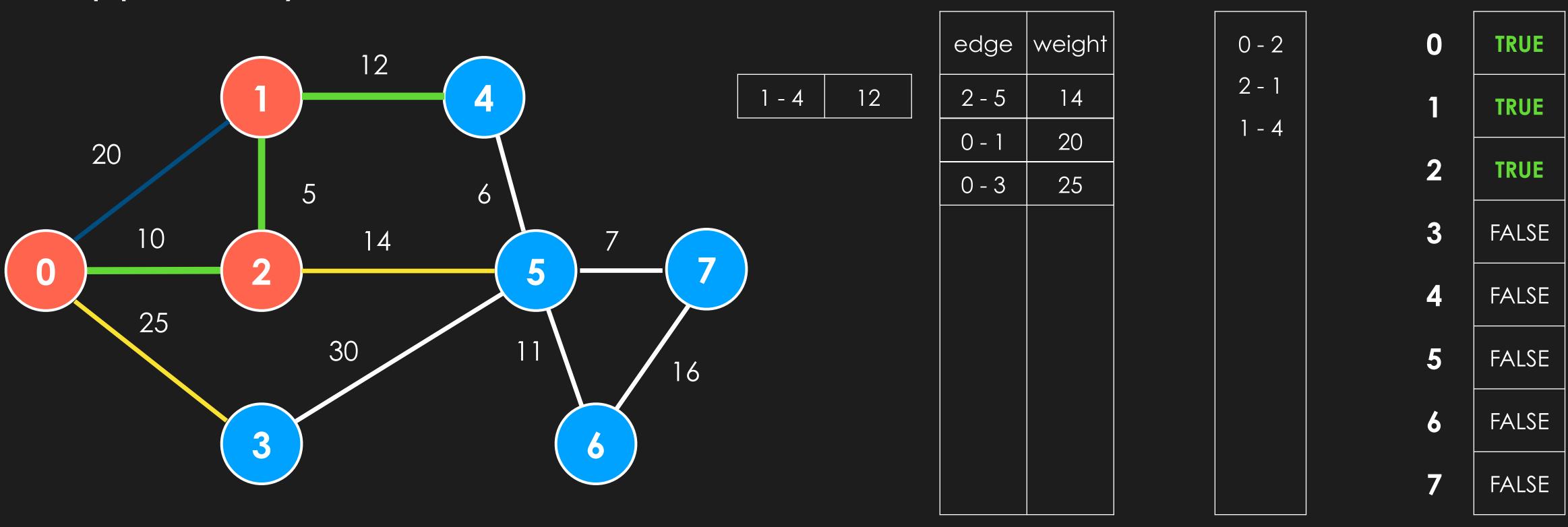
**Note:** As edges are added to the pq, some edges become **obsolete!**We have found a better edge **2 - 1** already! We will mark obsolete edges as **dark blue as they are no longer relevant to cuts** 



inMST

mstEdges

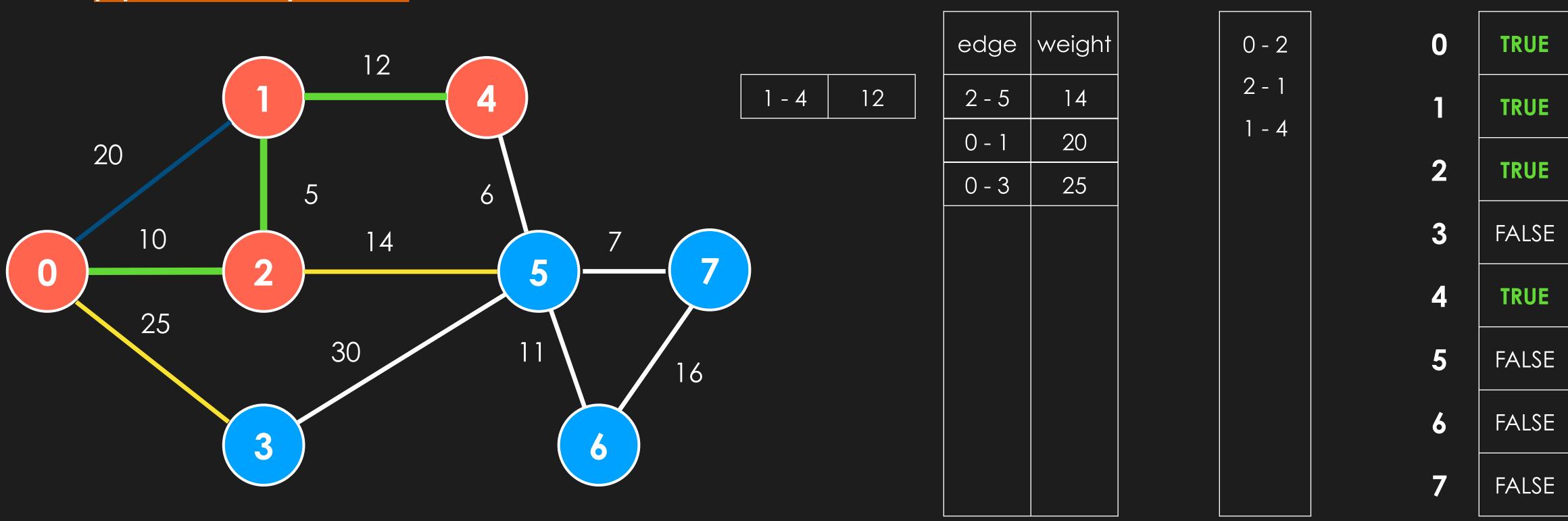
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mstEdges

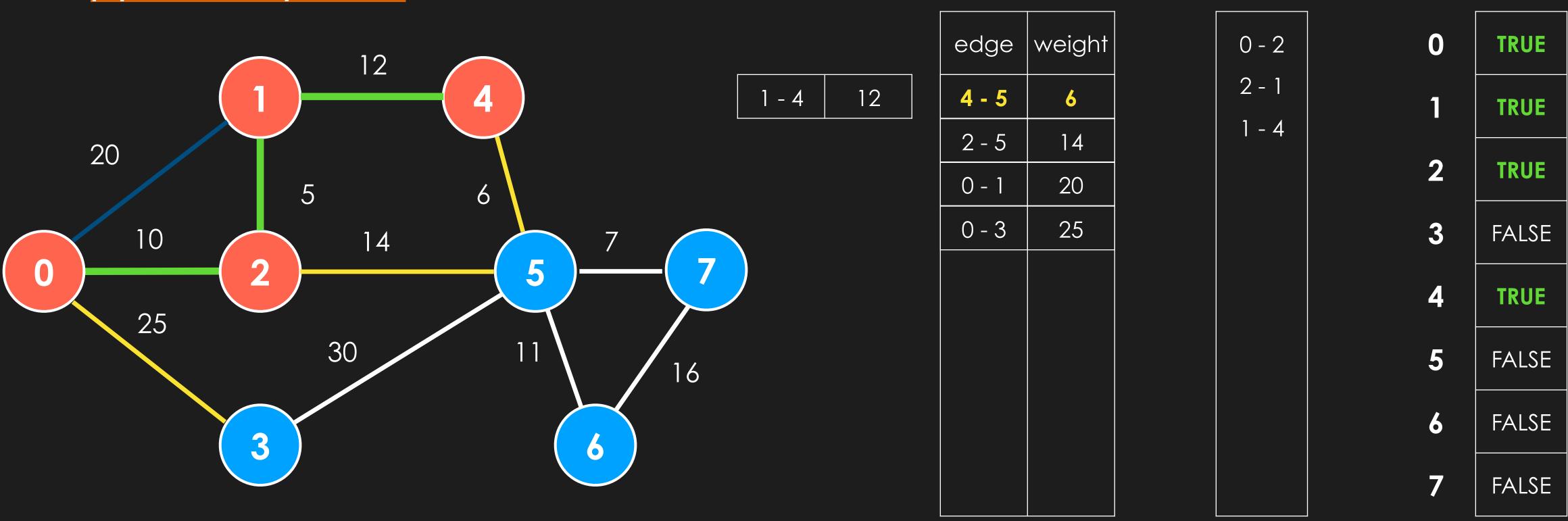
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mstEdges

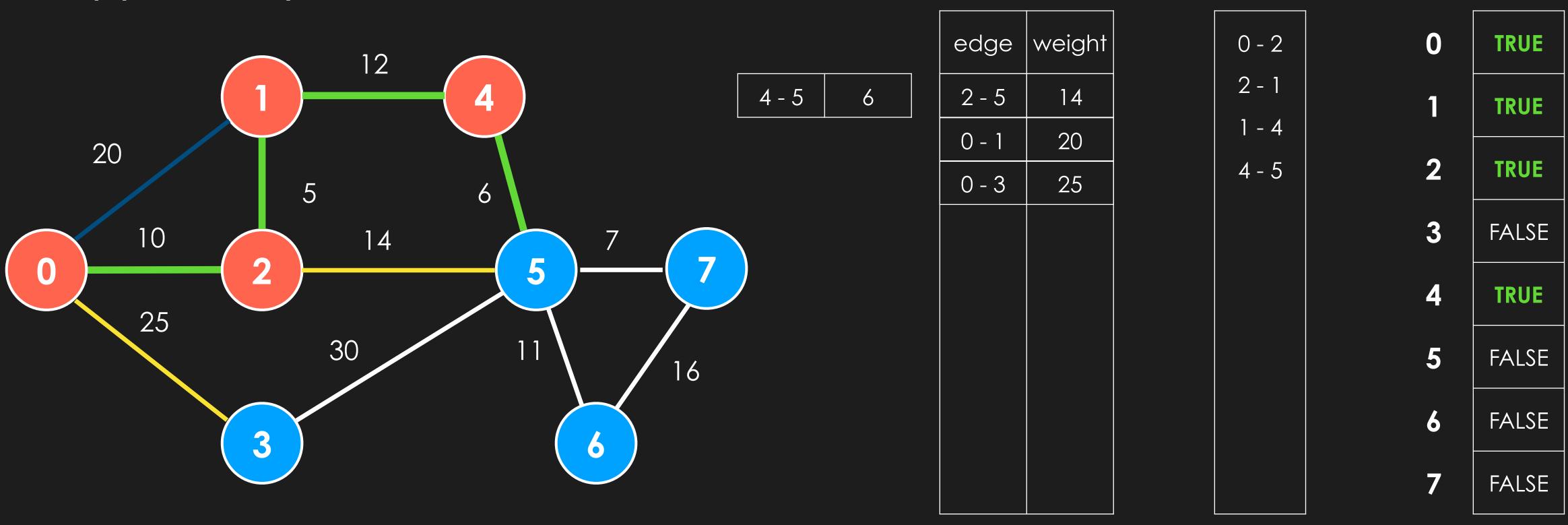
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mstEdges

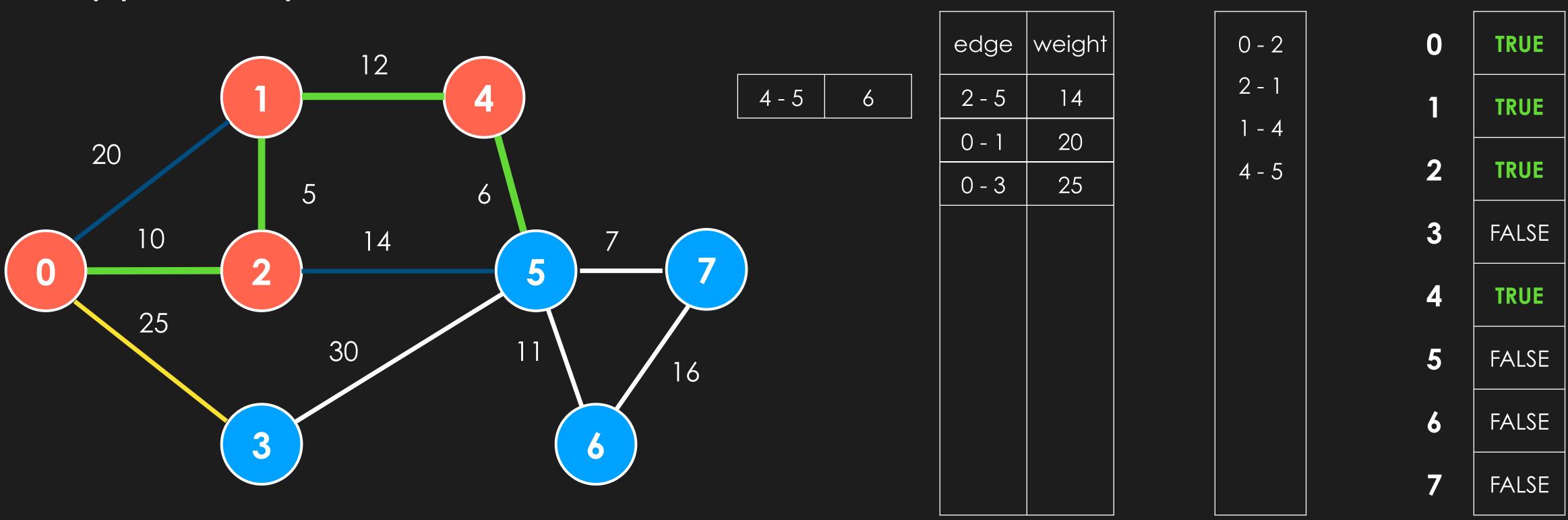
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mstEdges

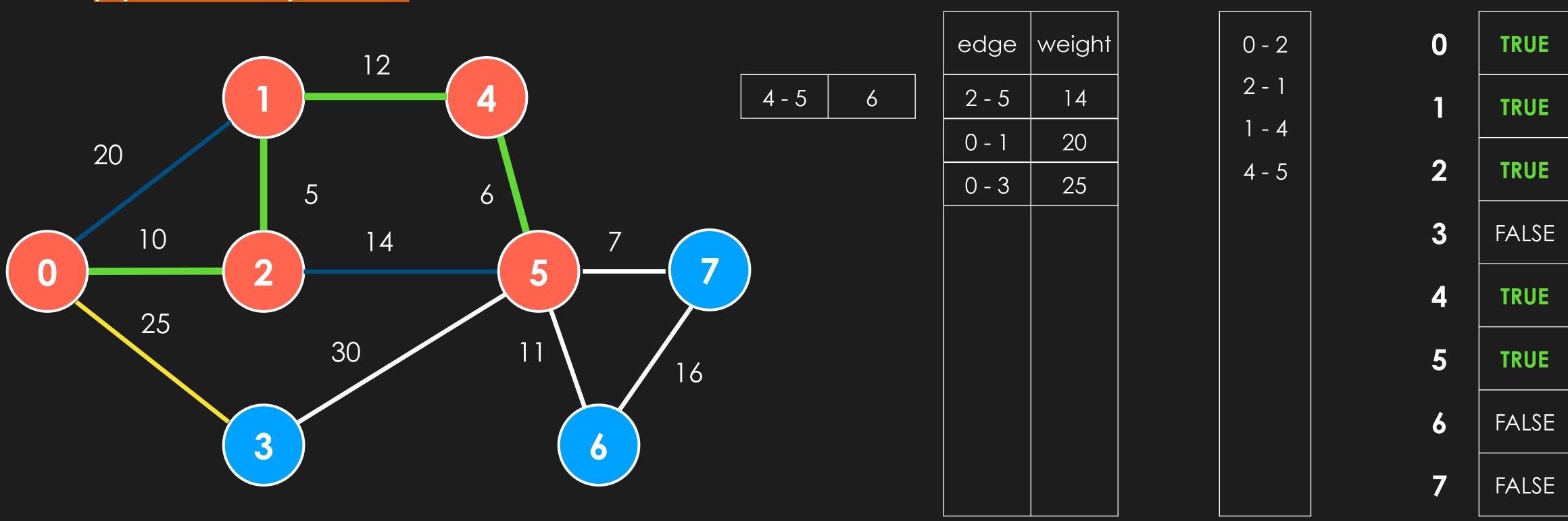
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mstEdges

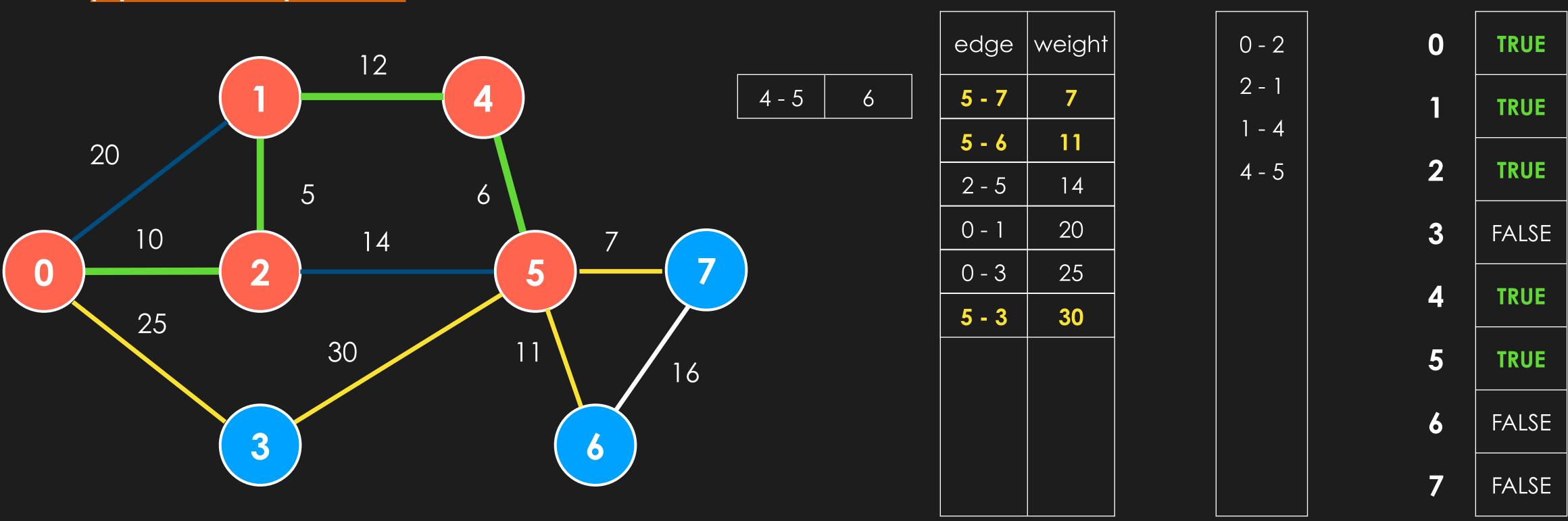
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mstEdges

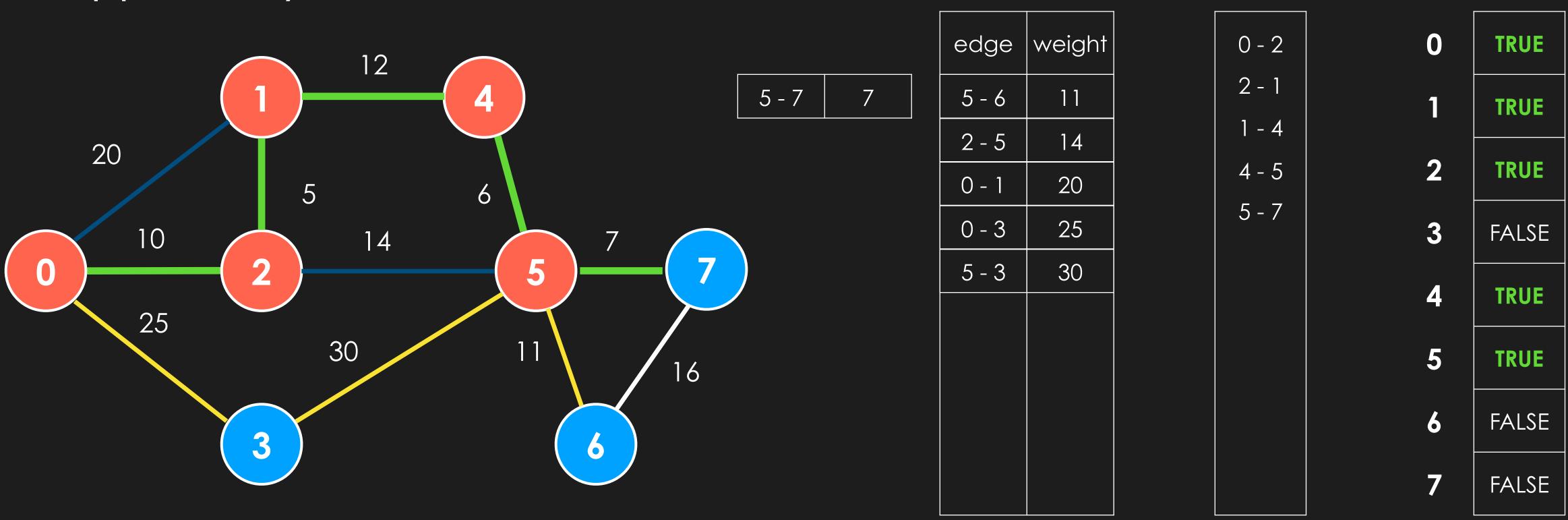
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- 3. For vertices in min edge, add to **inMST** and add adjacent edges to **pq if not already done so**





mstEdges

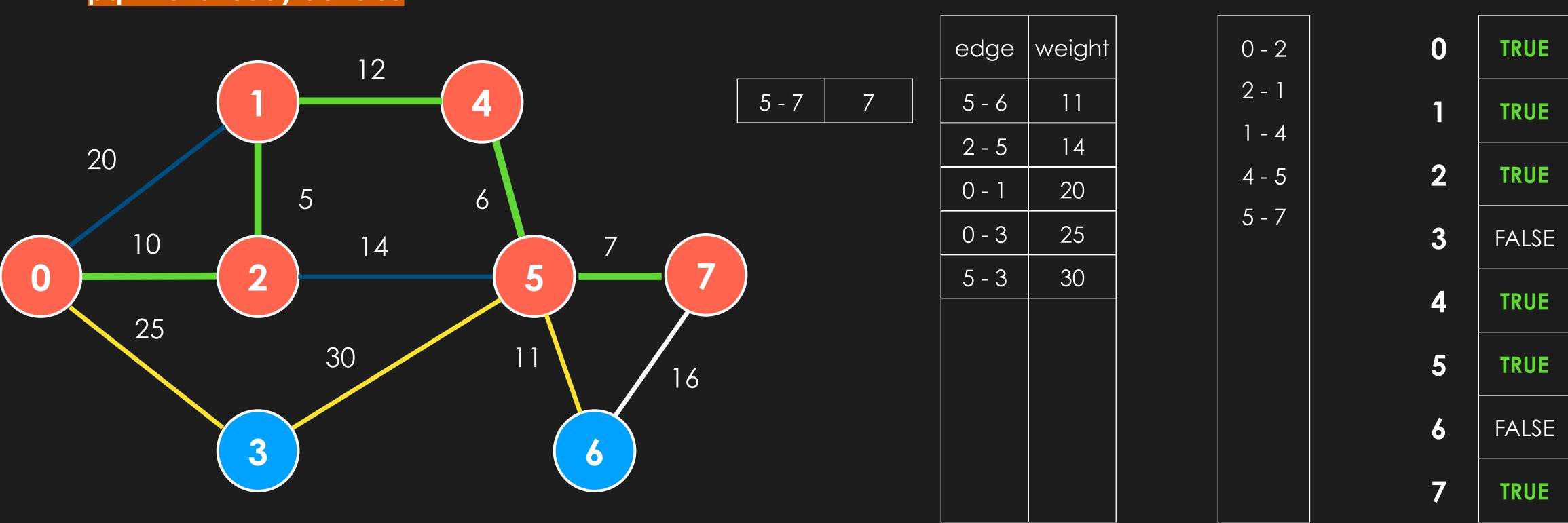
- 1. Start at **vertex 0** (or any random vertex), and add all adjacent edges to **pq**
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mstEdges

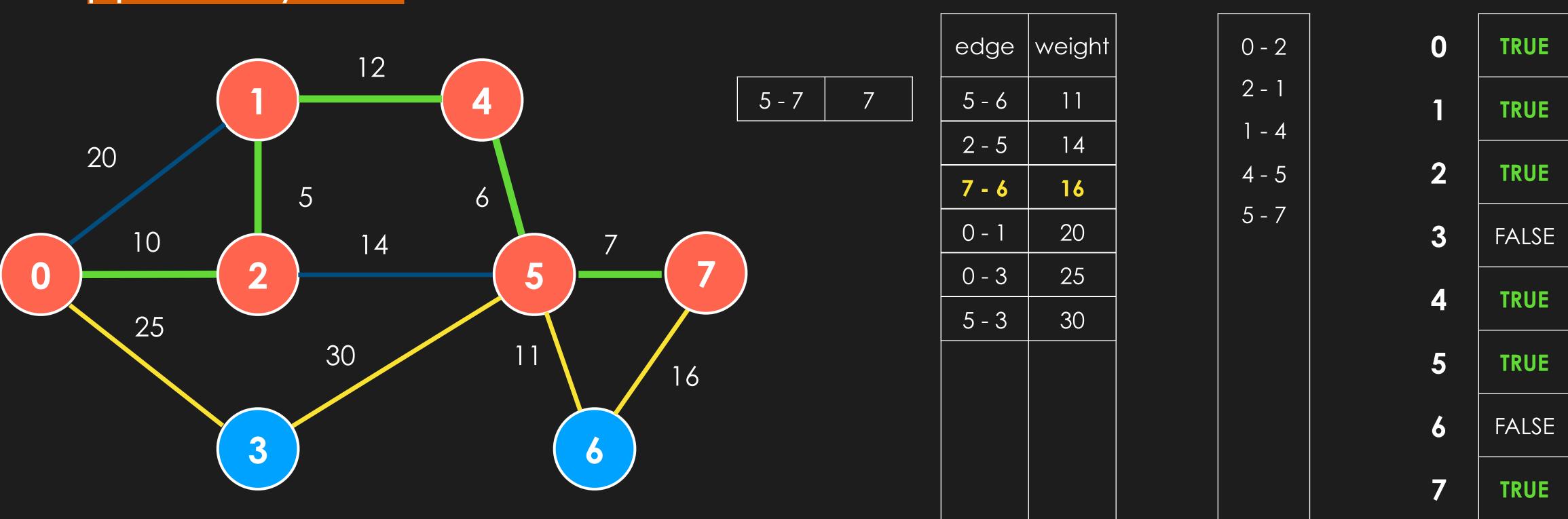
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mstEdges

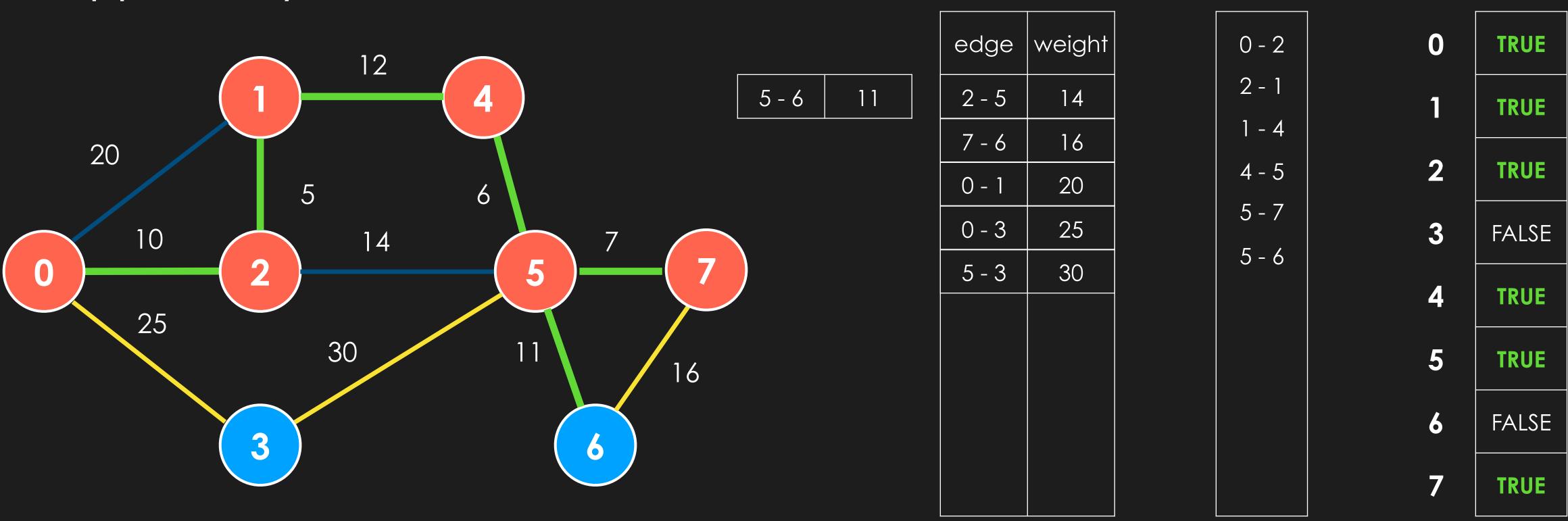
- 1. Start at **vertex 0** (or any random vertex), and add all adjacent edges to **pq**
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mstEdges

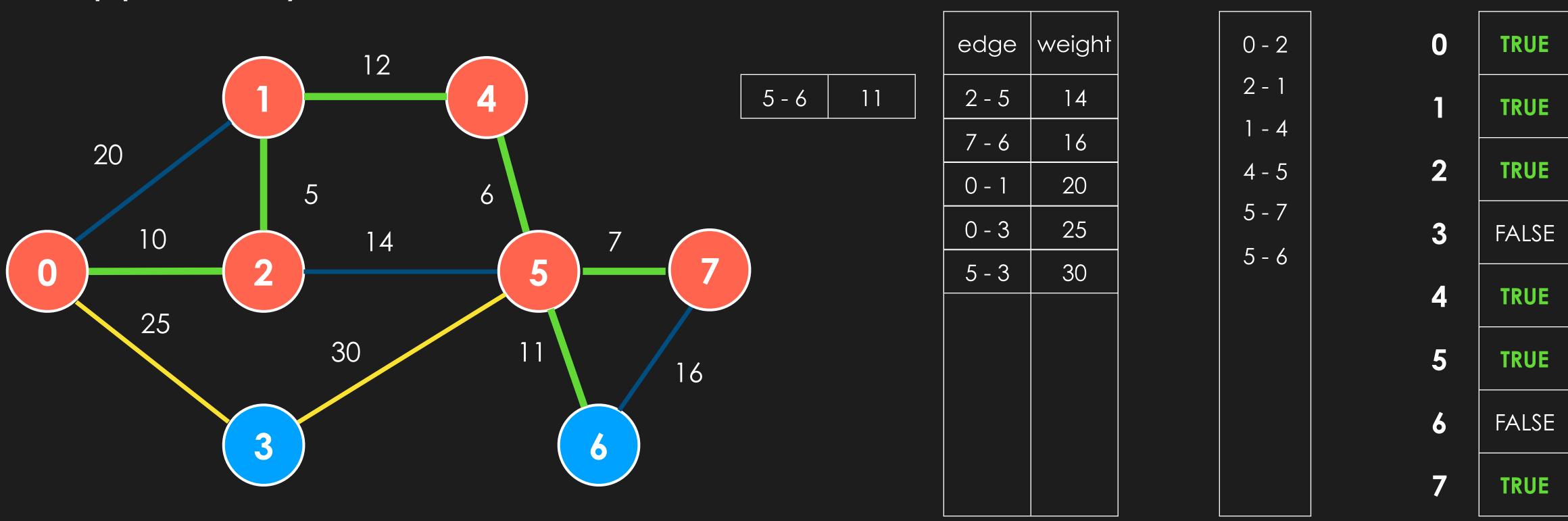
- 1. Start at **vertex 0** (or any random vertex), and add all adjacent edges to **pq**
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mstEdges

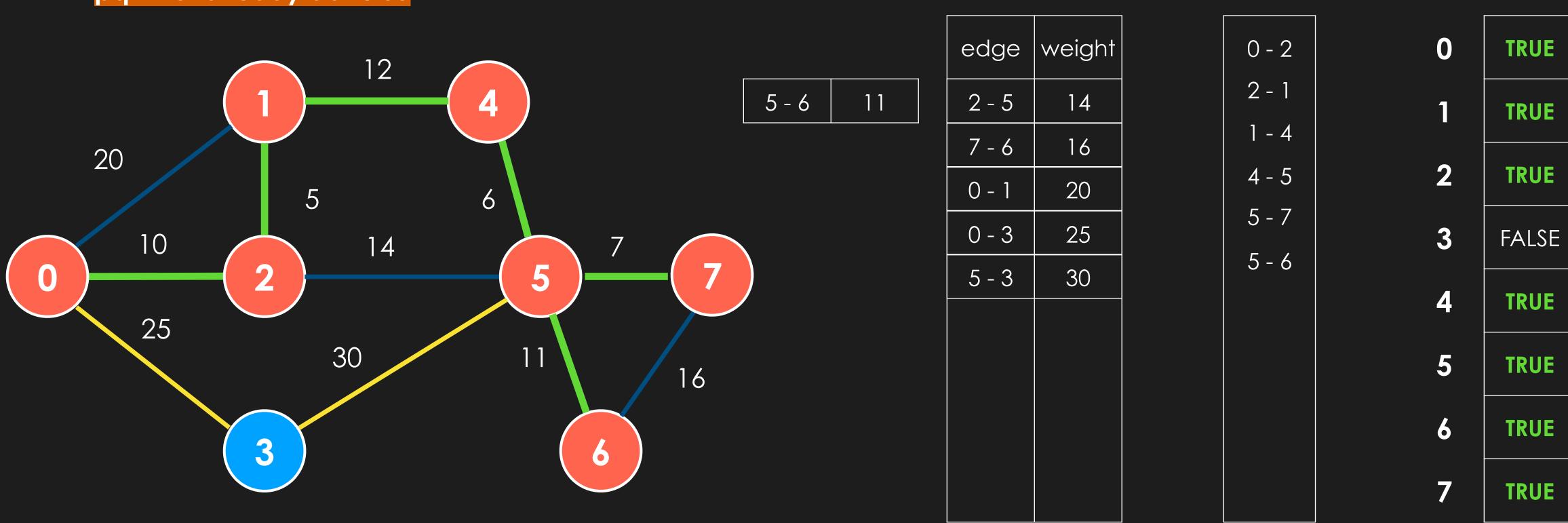
- 1. Start at **vertex 0** (or any random vertex), and add all adjacent edges to **pq**
- 2. Get min edge from pq and add to mstEdges if dest is not inMST (means edge has not yet been added)
- 3. For vertices in min edge, add to **inMST** and add adjacent edges to **pq if not already done so**





mstEdges

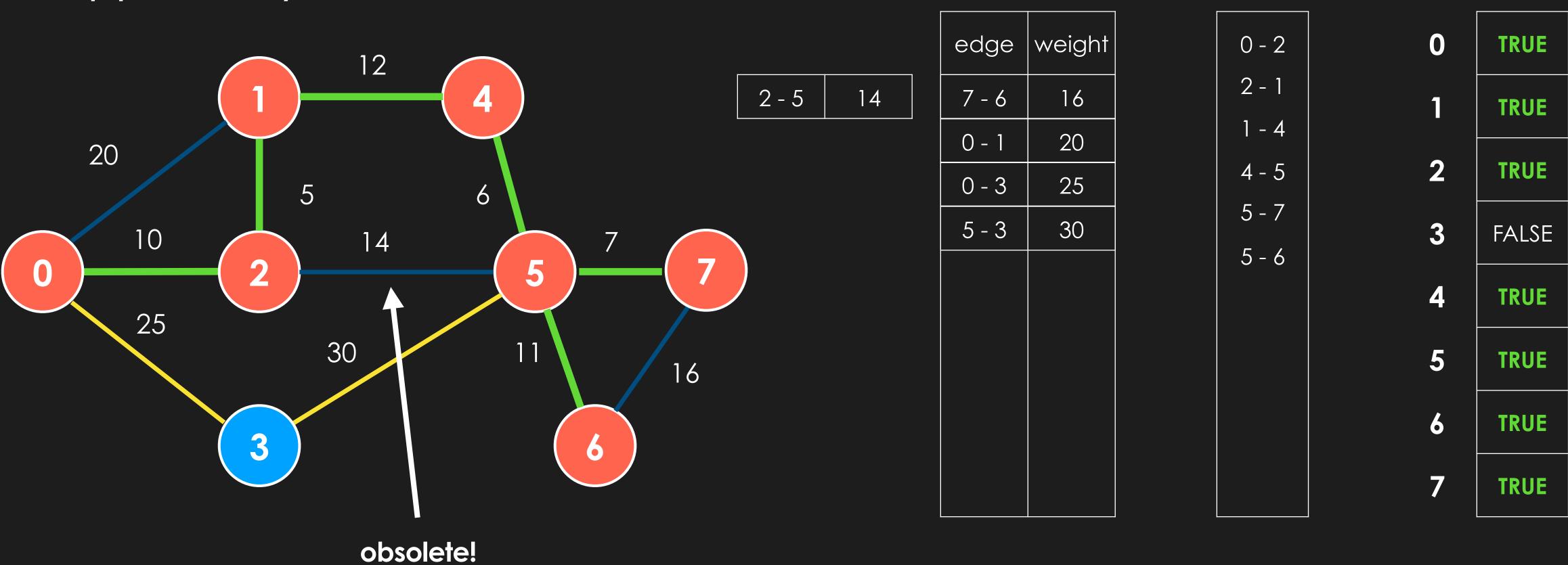
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- 3. For vertices in min edge, add to **inMST** and add adjacent edges to **pq if not already done so**





mstEdges

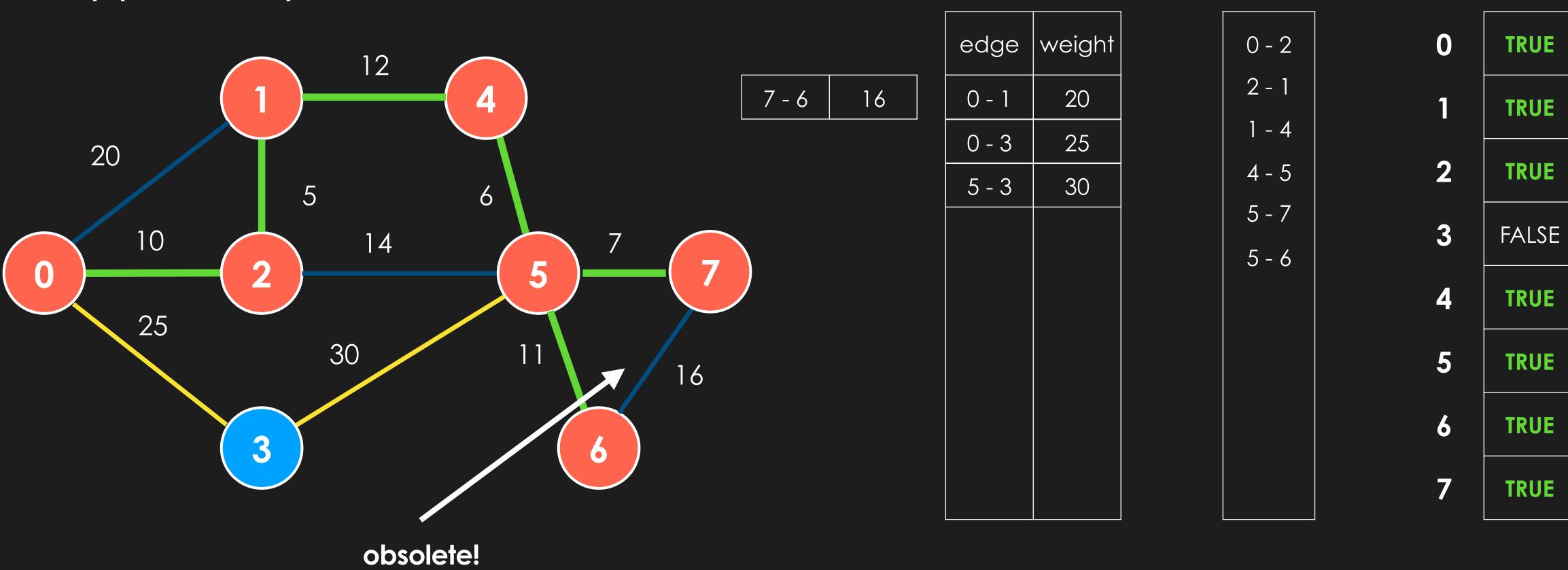
- 1. Start at **vertex 0** (or any random vertex), and add all adjacent edges to **pq**
- 2. Get min edge from pq and add to mstEdges if dest is not inMST (means edge has not yet been added)
- 3. For vertices in min edge, add to **inMST** and add adjacent edges to **pq if not already done so**





mstEdges

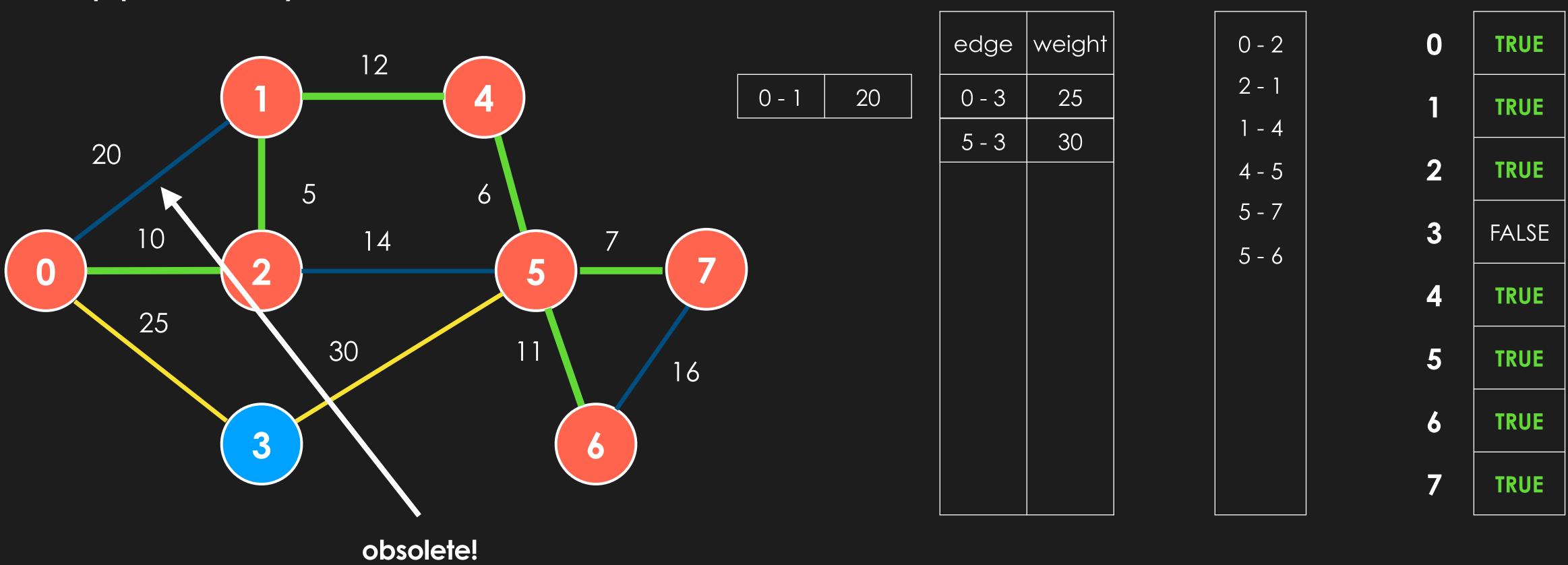
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mstEdges

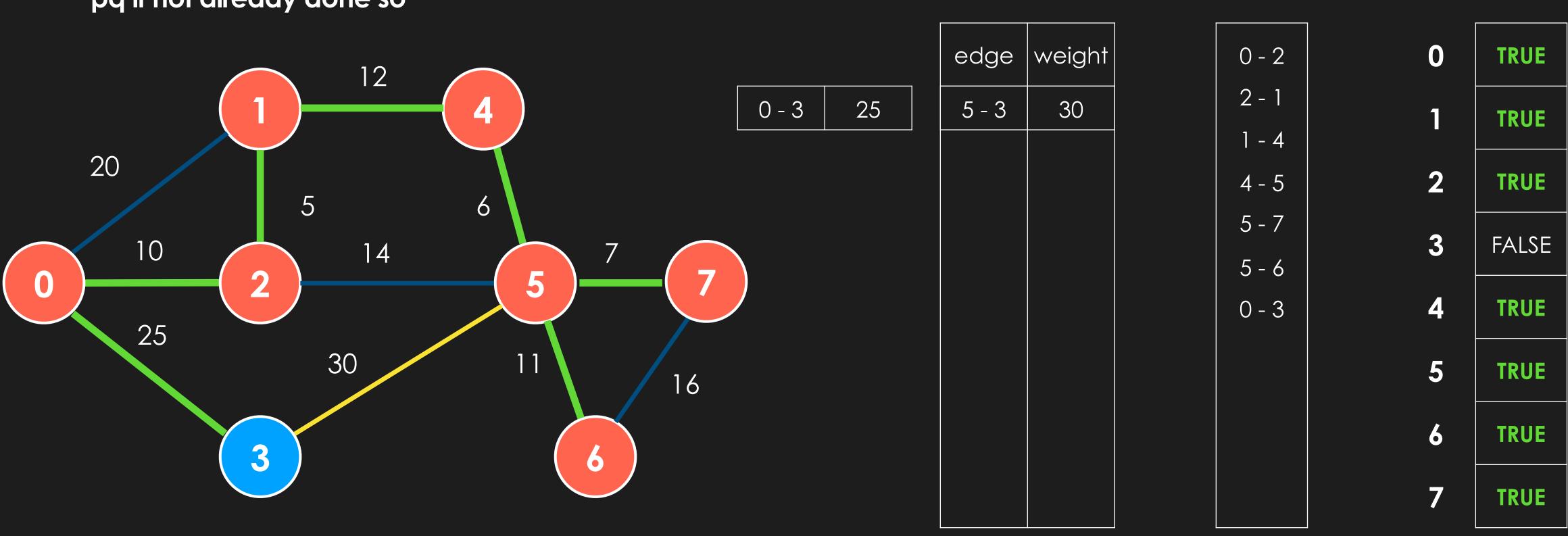
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mstEdges

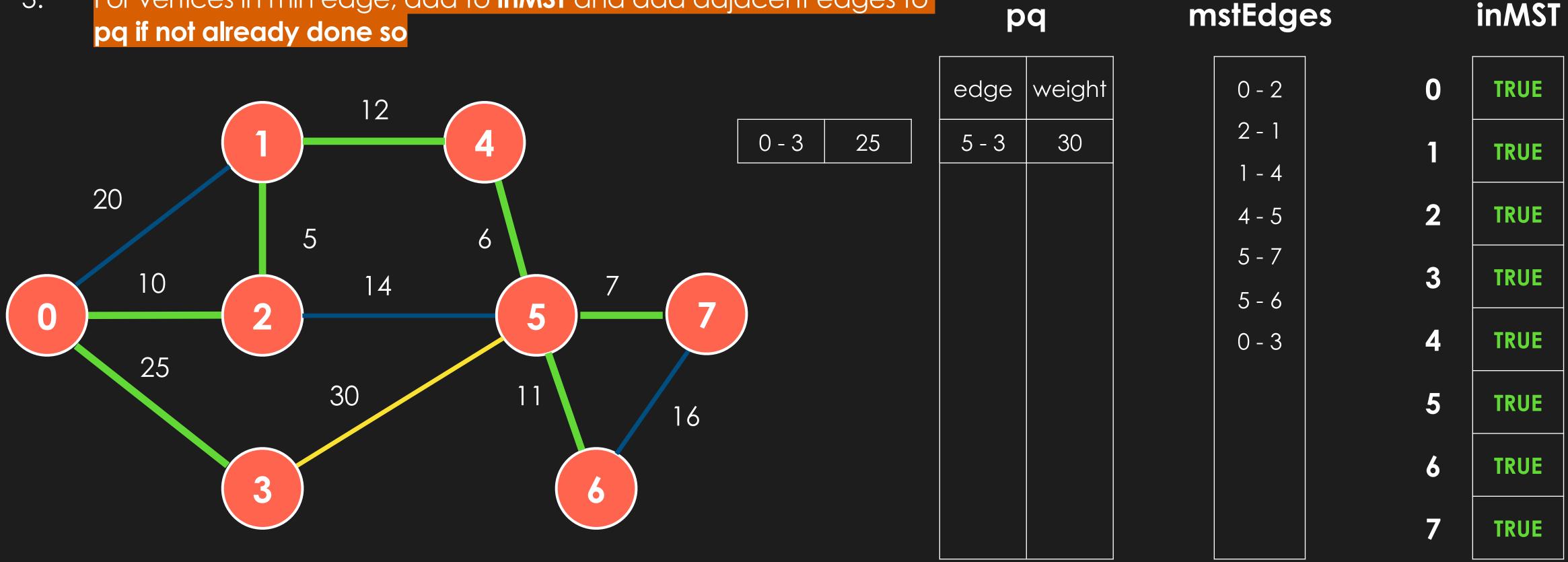
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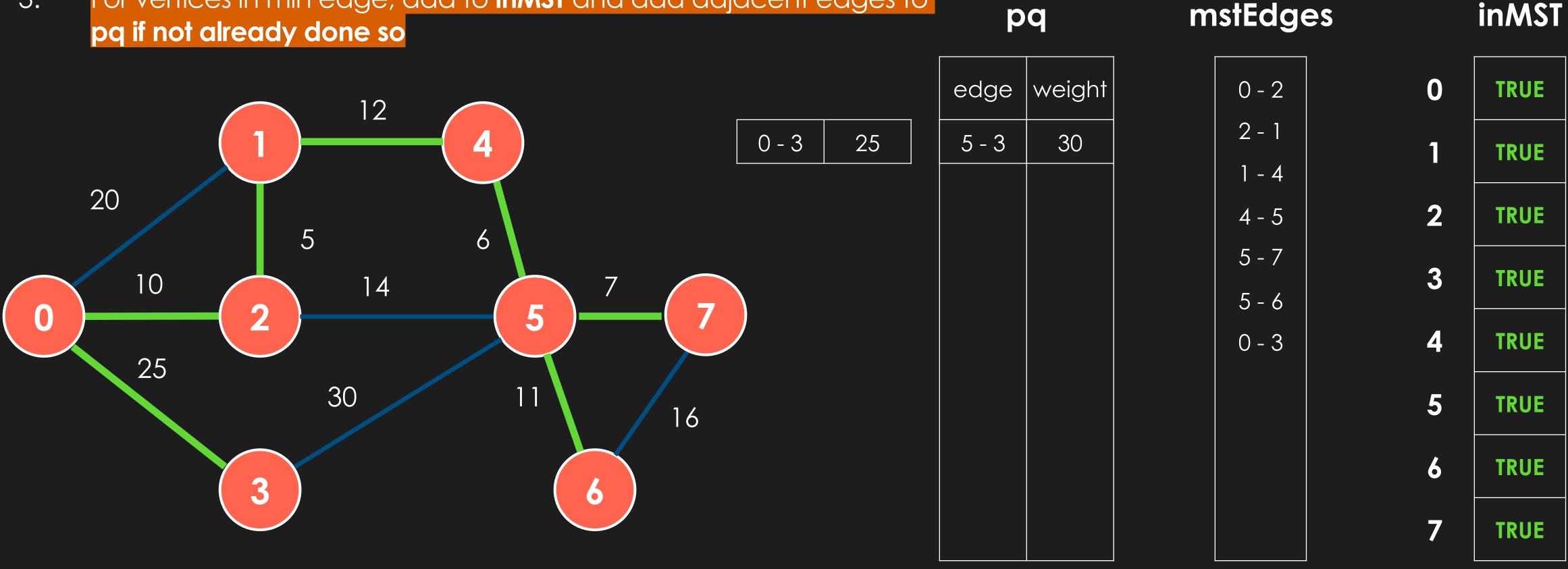
mstEdges

- Start at vertex 0 (or any random vertex), and add all adjacent edges to pq
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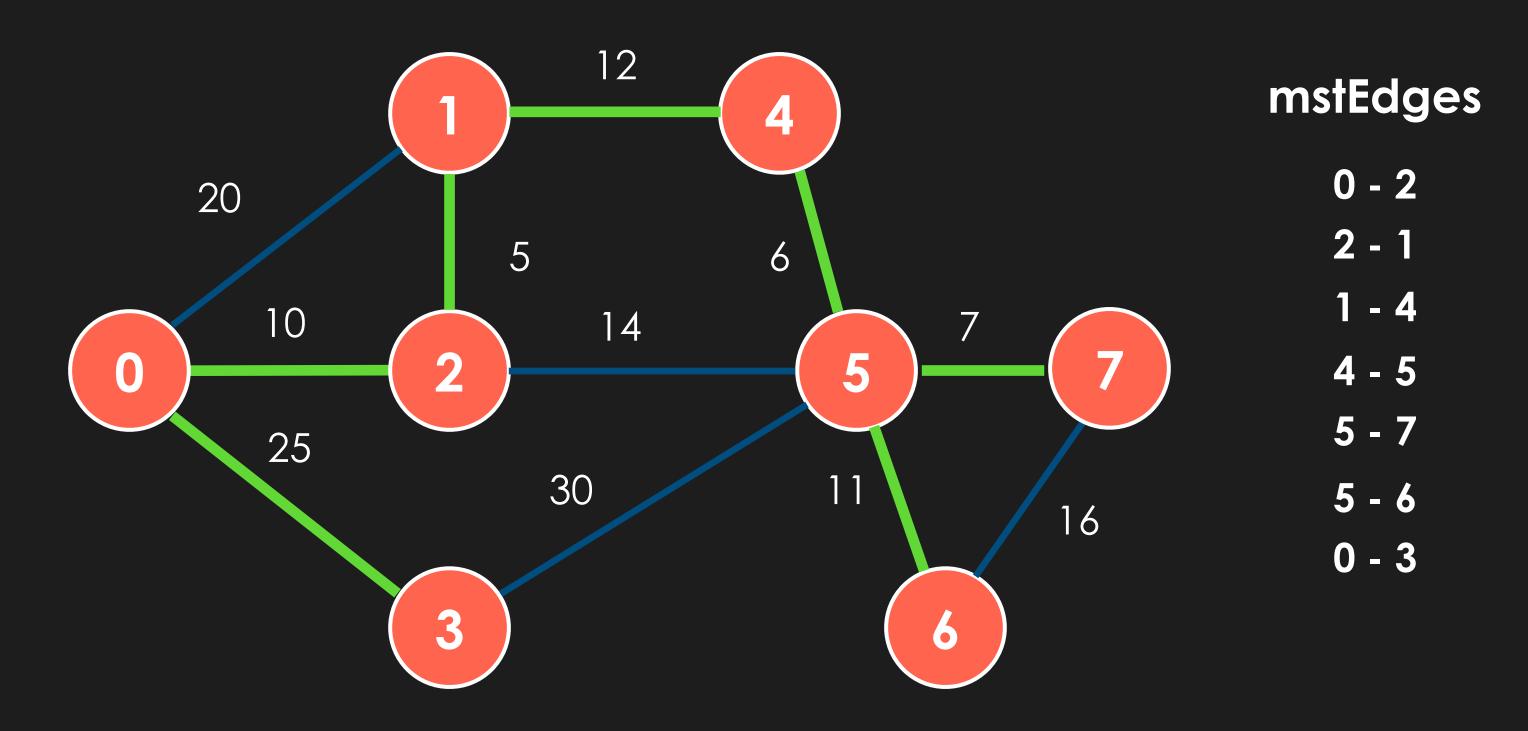


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- 3. For vertices in min edge, add to inMST and add adjacent edges to pq if not already done so





### Now we have our MST!



# Implementation of Prim's Algorithm

# LazyPrimMST

```
def LazyPrimMST(graph: WeightedGraph):
    V = len(graph.adjList)
    inMST = [False] * V
    mstEdges = []
    pq = MinHeap(V ** 2)
    for v in range(V):
        if not inMST[v]:
            prim(graph, v, pq, inMST, mstEdges)
    return edges
```



# LazyPrimMST

```
def LazyPrimMST(graph: WeightedGraph):
    V = len(graph.adjList)
    inMST = [False] * V
    mstEdges = []
                                         This step is performed under the assumption
                                         that the graph is not strongly connected
    pq = MinHeap(V ** 2)
                                         and therefore we are searching for Minimum
    for v in range(V):
                                         Spanning Forest
        if not inMST[v]:
             prim(graph, v, pq, inMST, mstEdges)
    return edges
```



# prim

```
def prim(graph, s, pq, inMST, mstEdges):
    addEdgesToPQ(graph, s, pq, inMST)
    while (pq.size != 0):
        edge = pq.getMin().key
        if inMST[edge.dest]:
            continue
        mstEdges.append(edge)
        addEdgesToPQ(graph, edge.dest, pq, inMST)
```



# addEdgesToPQ

```
def addEdgesToPQ(graph, s, pq, inMST):
    inMST[s] = True
    for edge in graph.adjList[s]:
        pq.insert(edge, edge.weight)
```



# Time complexity of Prim's Algorithm

## Time complexity of Prim's Algorithm

We perform getMin() from pq at most E times

We perform insert() into pq at most E times

Since our **pq** has at most E items, the time complexity for **getMin()** and **insert()** is **logE** 

Thus, the time complexity for computing our MST is ElogE



In fact, we can alter our algorithm to store vertices (similar to Dijkstra's algo) to achieve a time complexity of E logV.

Note: E logV is faster in graphs which are sparse (less edges)



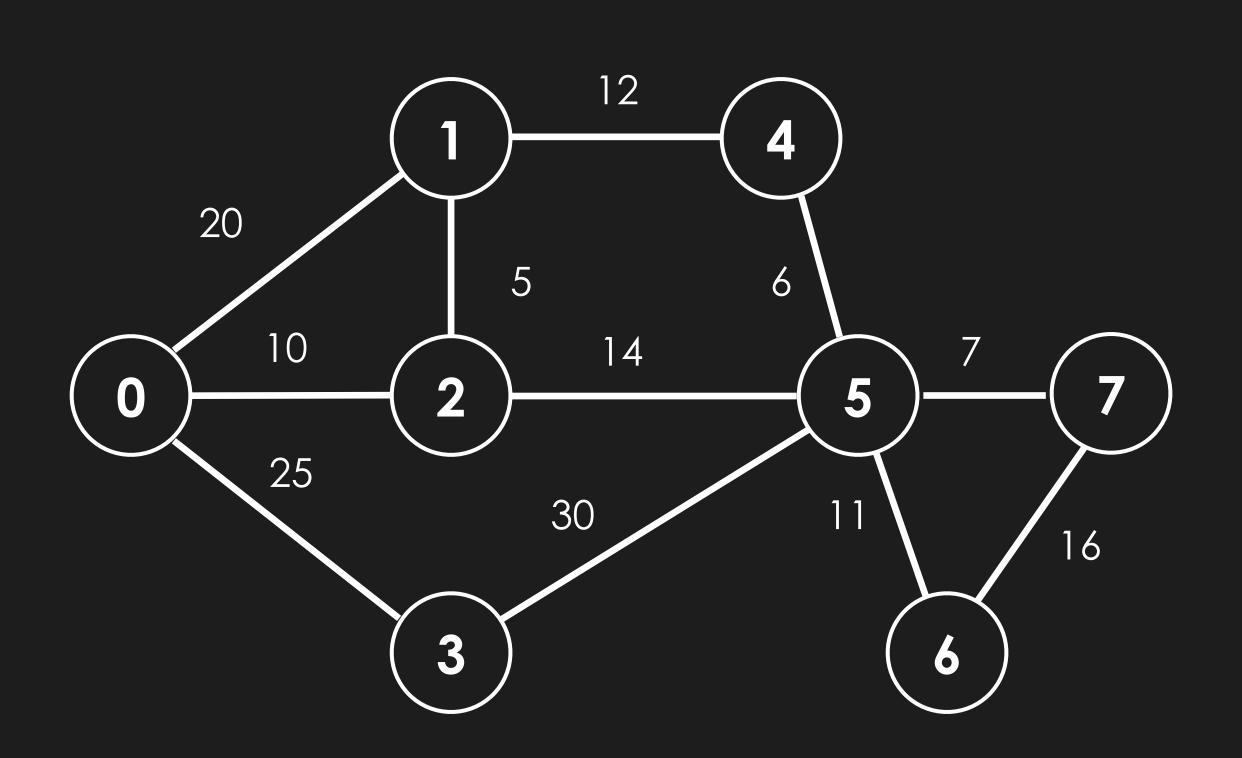
## Prim's algorithm in ElogV

If we use a **pq** with **decrease key** operation, then we can keep vertices as keys in our pq and compute decrease key every time we encounter a smaller edge to that vertice!

This way, our pq has at most V items, and thus insert / getMin will take at most logV



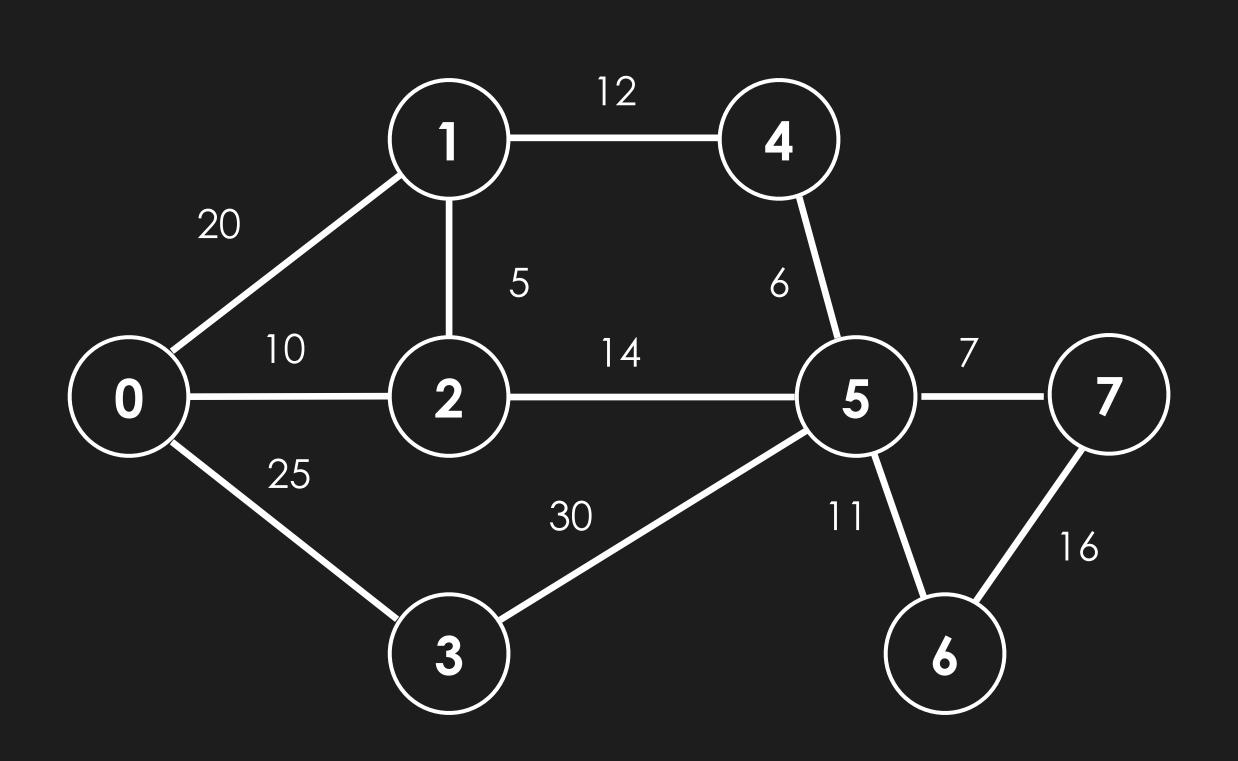
### 1. Set distTo vertex 0 as 0 and insert into pq



pq			distTo edgeTo			inMST	
vertex	distTo	0	None	0	-1	0	FALSE
		1	None	1	-1	1	FALSE
		2	None	2	-1	2	FALSE
		3	None	3	-1	3	FALSE
		4	None	4	-1	4	FALSE
		5	None	5	-1	5	FALSE
		6	None	6	-1	6	FALSE
		7	None	7	-1	7	FALSE



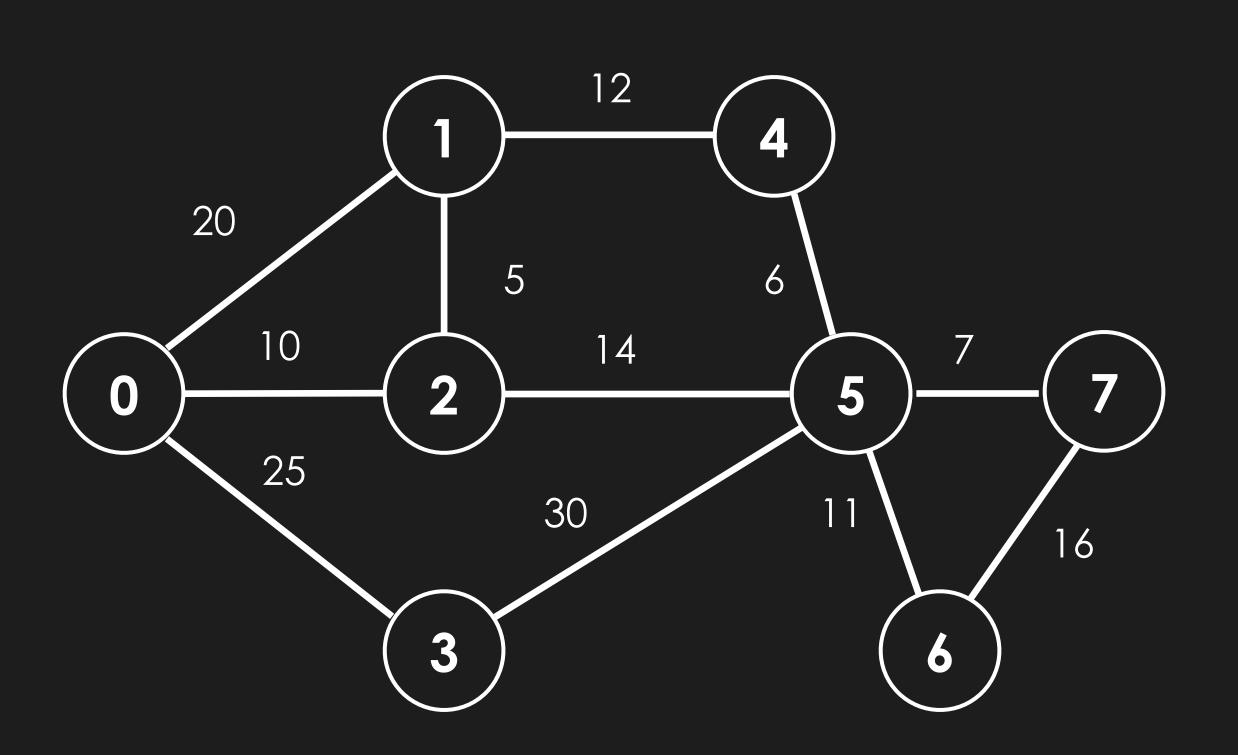
### 1. Set distTo vertex 0 as 0 and insert into pq



pq			distTo				inMST
vertex	distTo	0	0	0	-1	0	FALSE
0	0	1	None	1	-1	1	FALSE
		2	None	2	-1	2	FALSE
		3	None	3	-1	3	FALSE
		4	None	4	-1	4	FALSE
		5	None	5	-1	5	FALSE
		6	None	6	-1	6	FALSE
		7	None	7	-1	7	FALSE



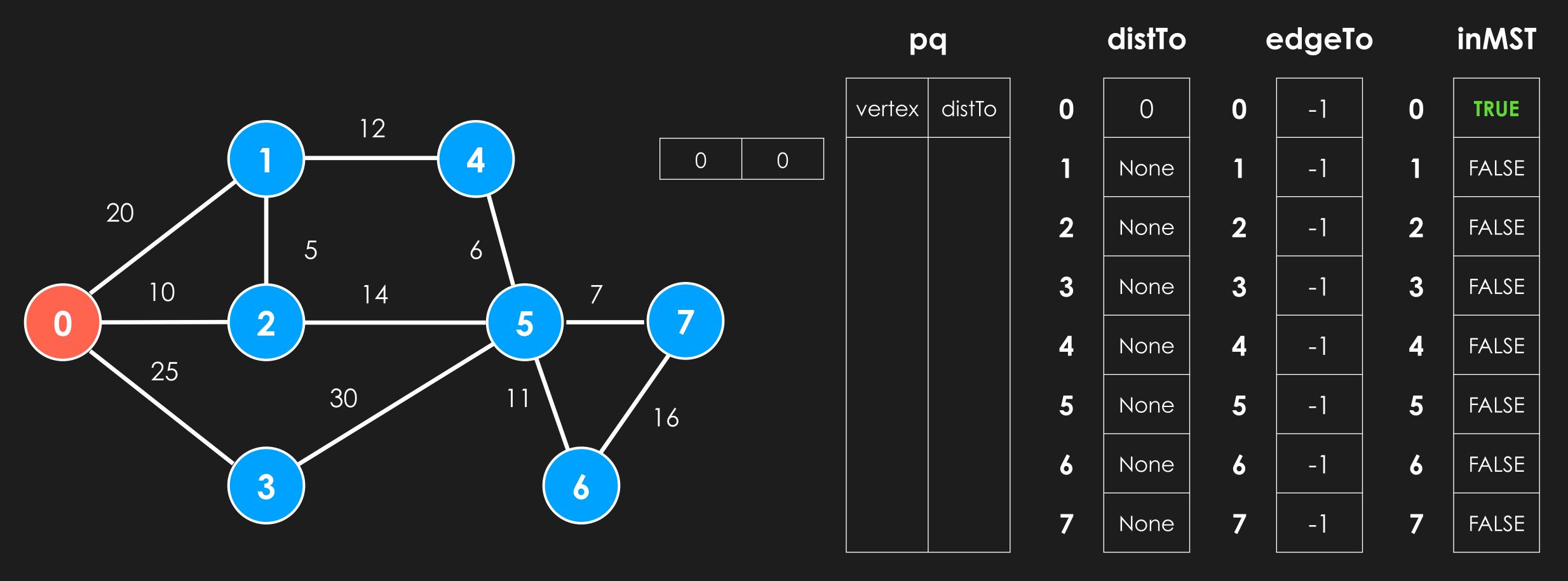
- 1. Set distTo vertex 0 as 0 and insert into pq
- 2. While **pq** is not empty, remove minimum, and add adjacent vertices
  - 1. if not **inMST**
  - 2. if edge weight < current distTo adjacent vertex



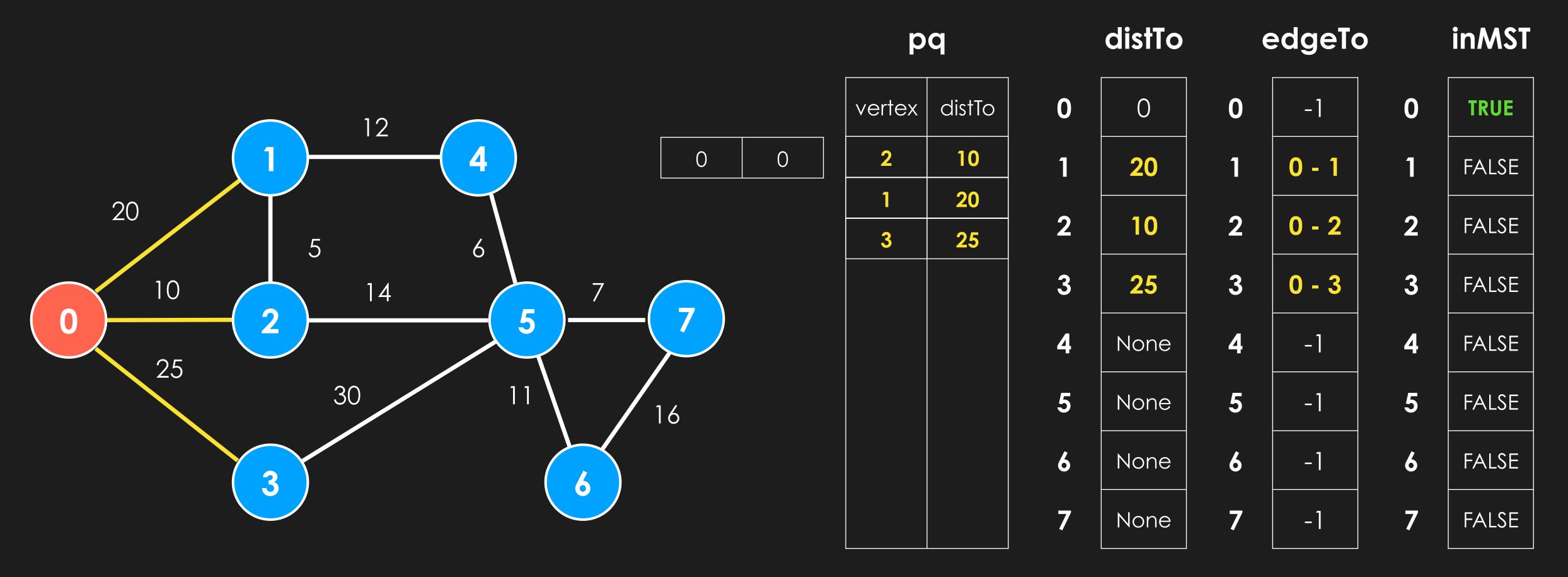
pq		distTo		edgeTo			inMST	
vertex	distTo	0	O	0	-1	0	FALSE	
0	0	1	None	1	-1	1	FALSE	
		2	None	2	-1	2	FALSE	
		3	None	3	-1	3	FALSE	
		4	None	4	-1	4	FALSE	
		5	None	5	-1	5	FALSE	
		6	None	6	-1	6	FALSE	
		7	None	7	-1	7	FALSE	



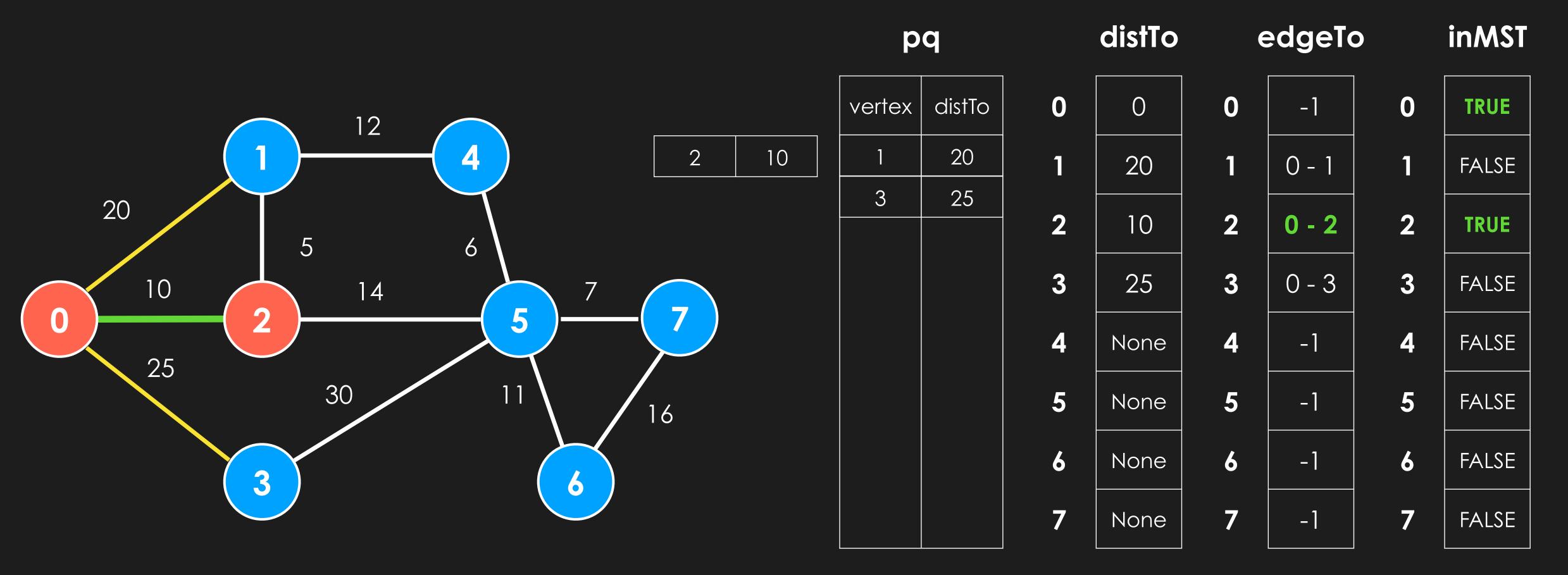
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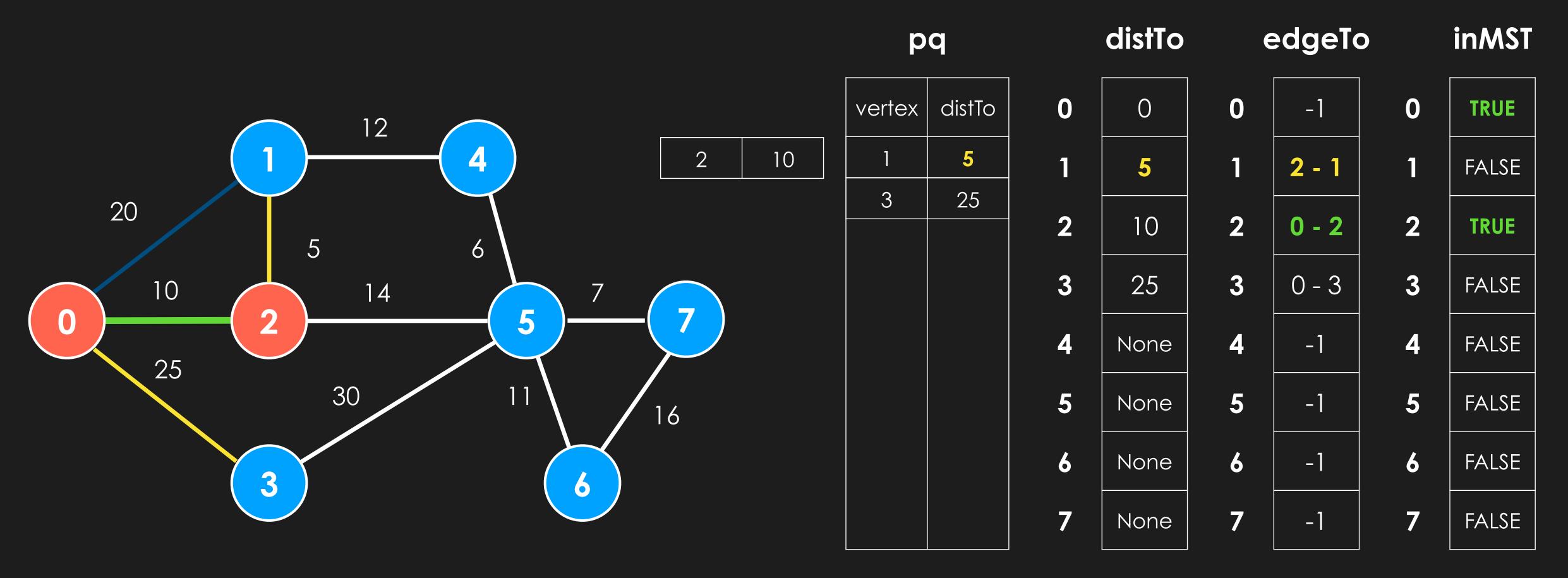
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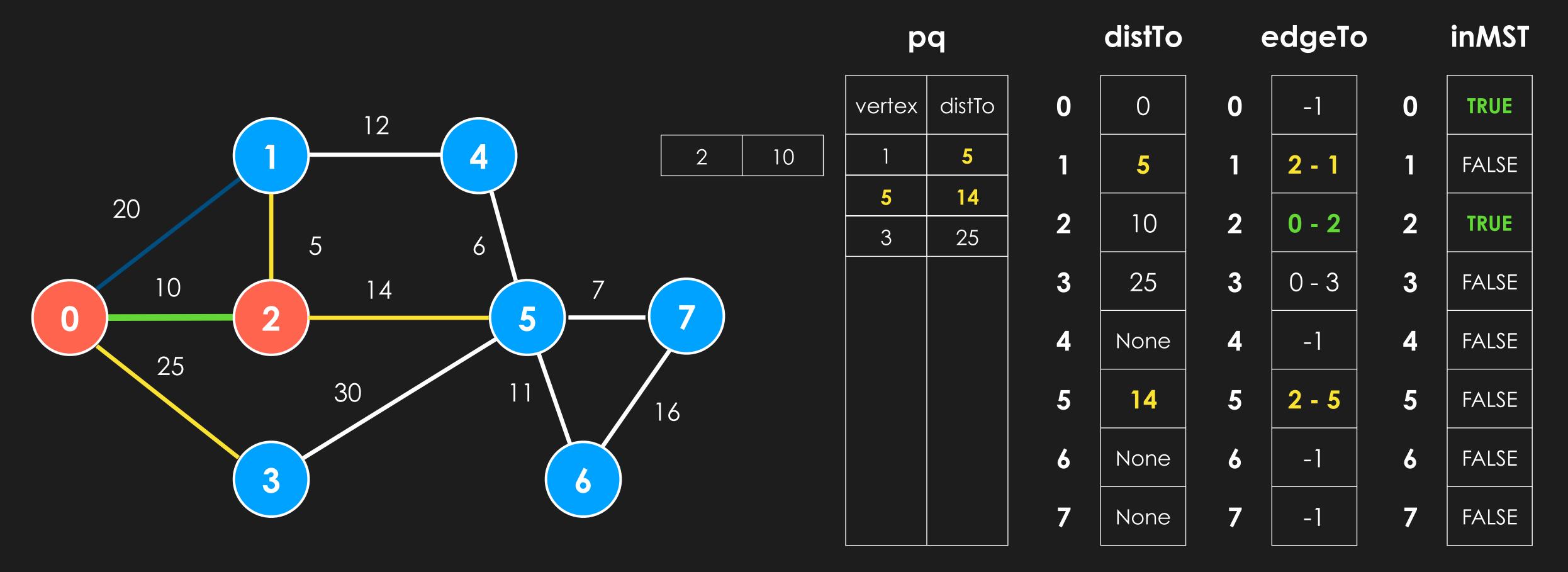


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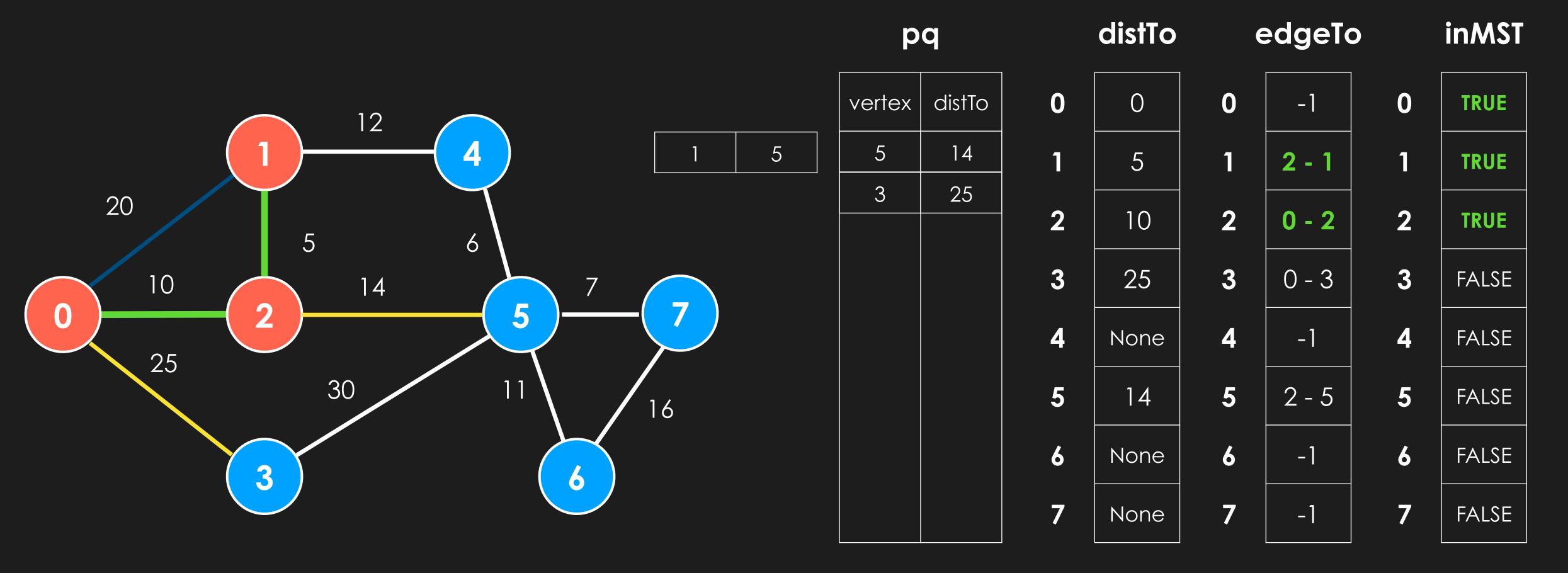




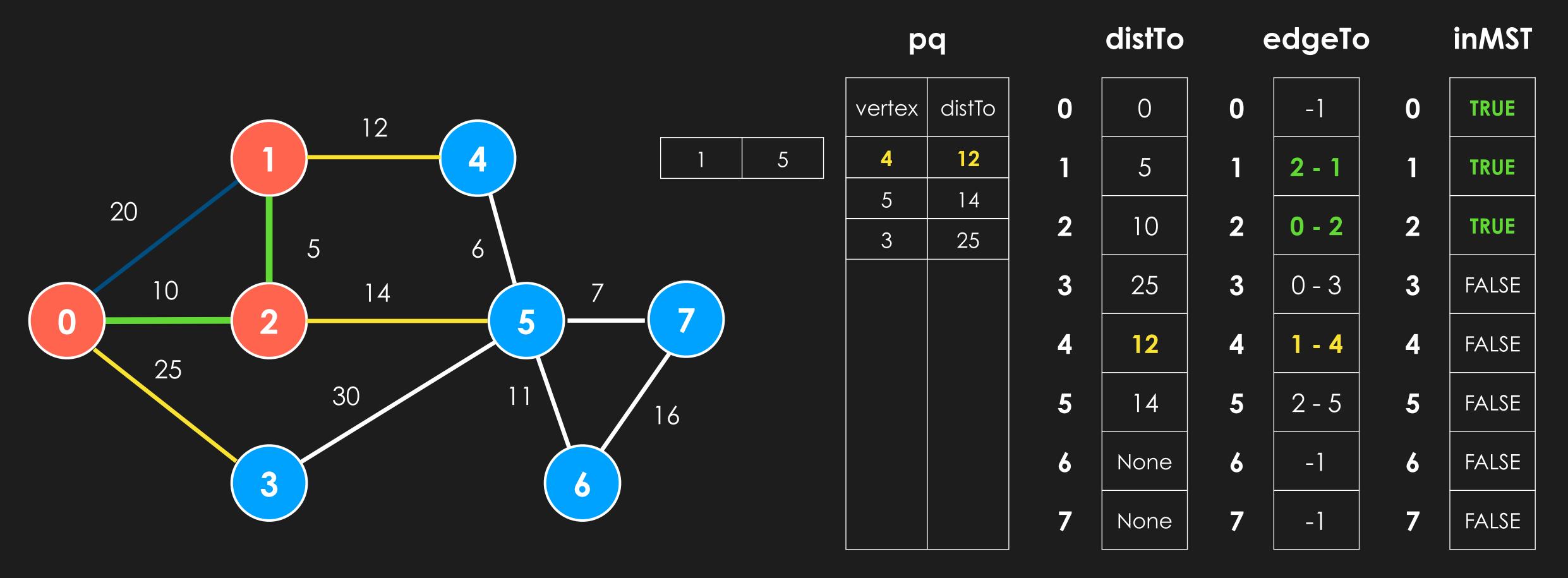
- 1. Set distTo vertex 0 as 0 and insert into pq
- 2. While **pq** is not empty, remove minimum, and add adjacent vertices
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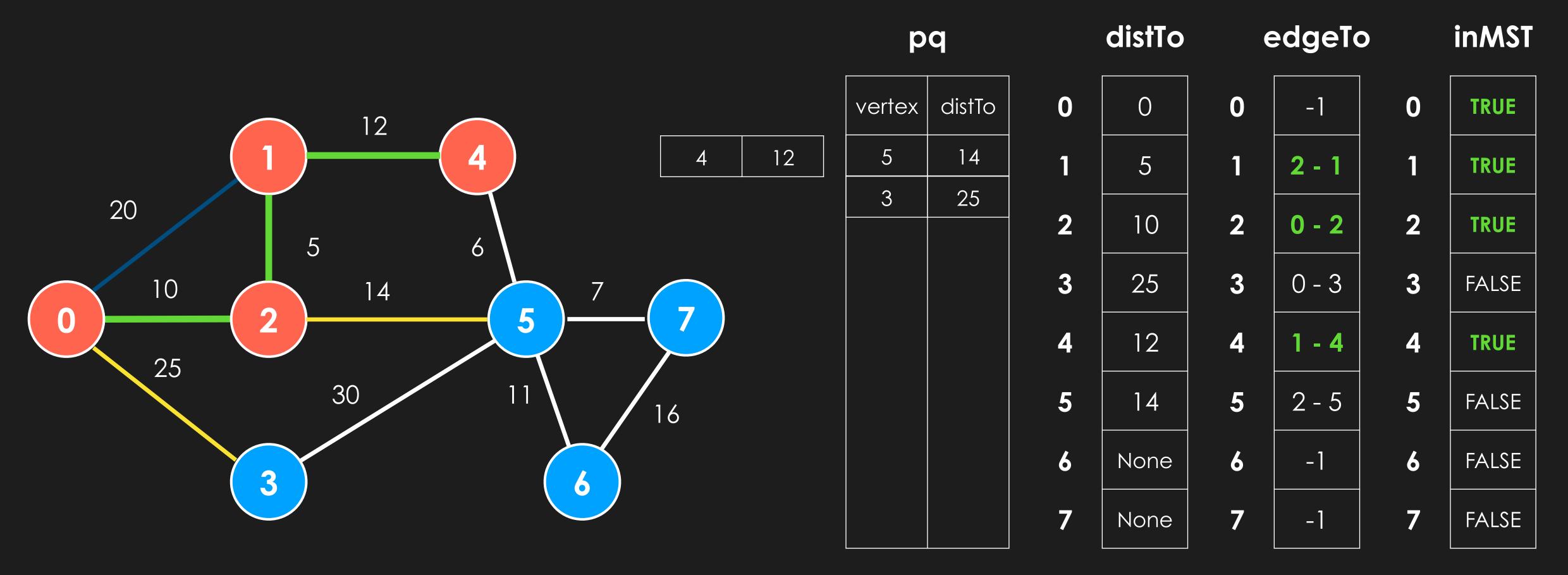


- 1. Set distTo vertex 0 as 0 and insert into pq
- 2. While **pq** is not empty, remove minimum, and add adjacent vertices
  - 1. if not **inMST**
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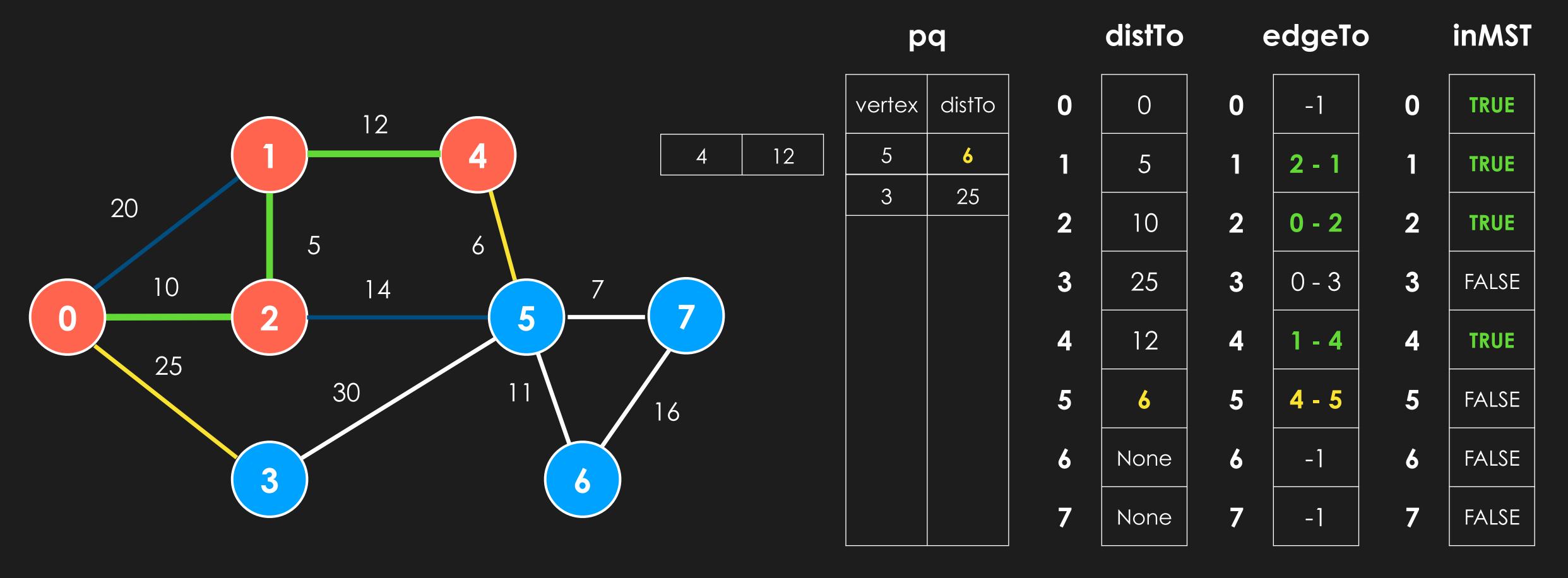




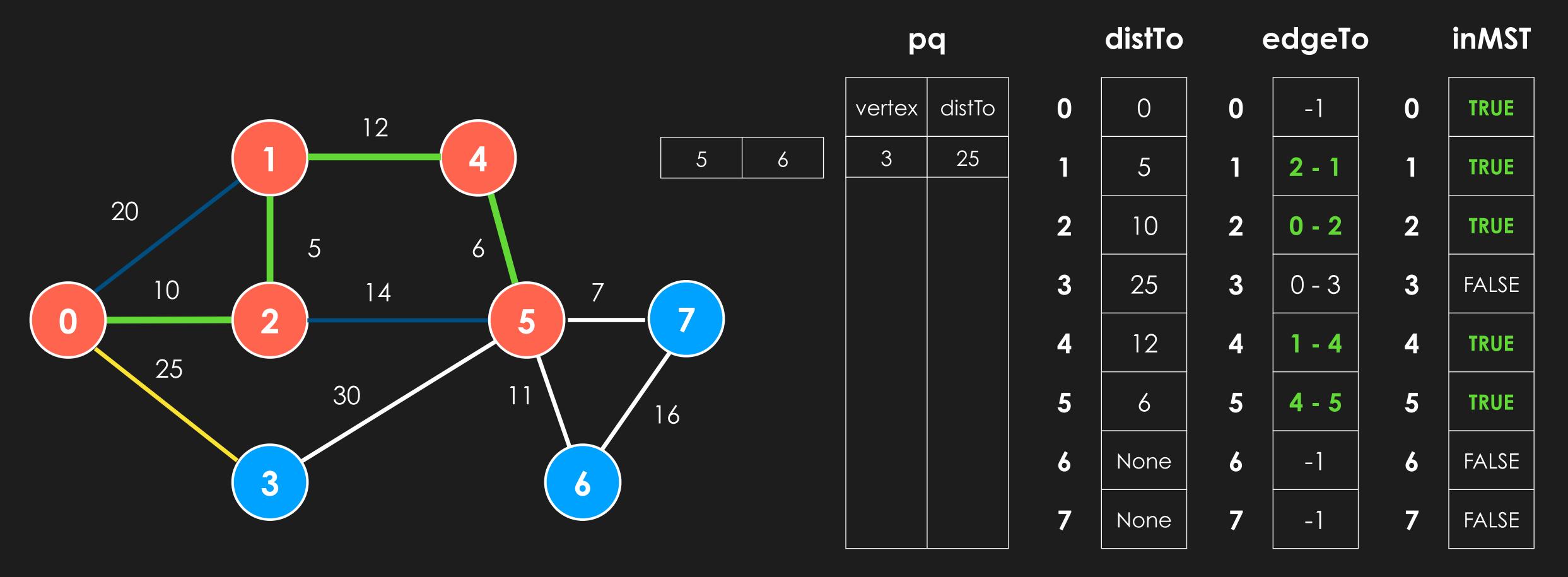
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- 2. While **pq** is not empty, remove minimum, and add adjacent vertices
  - 1. if not **inMST**
  - 2. if edge weight < current distTo adjacent vertex



- 1. Set distTo vertex 0 as 0 and insert into pq
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  - 1. if not **inMST**
  - 2. if edge weight < current distTo adjacent vertex

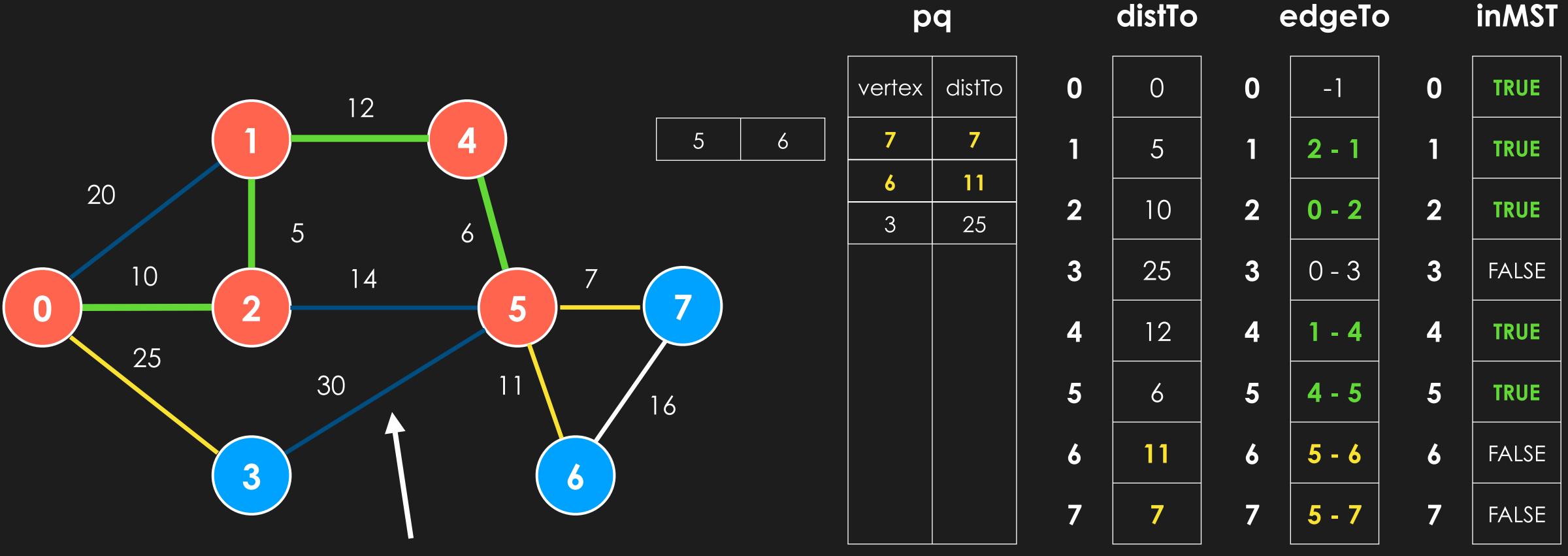


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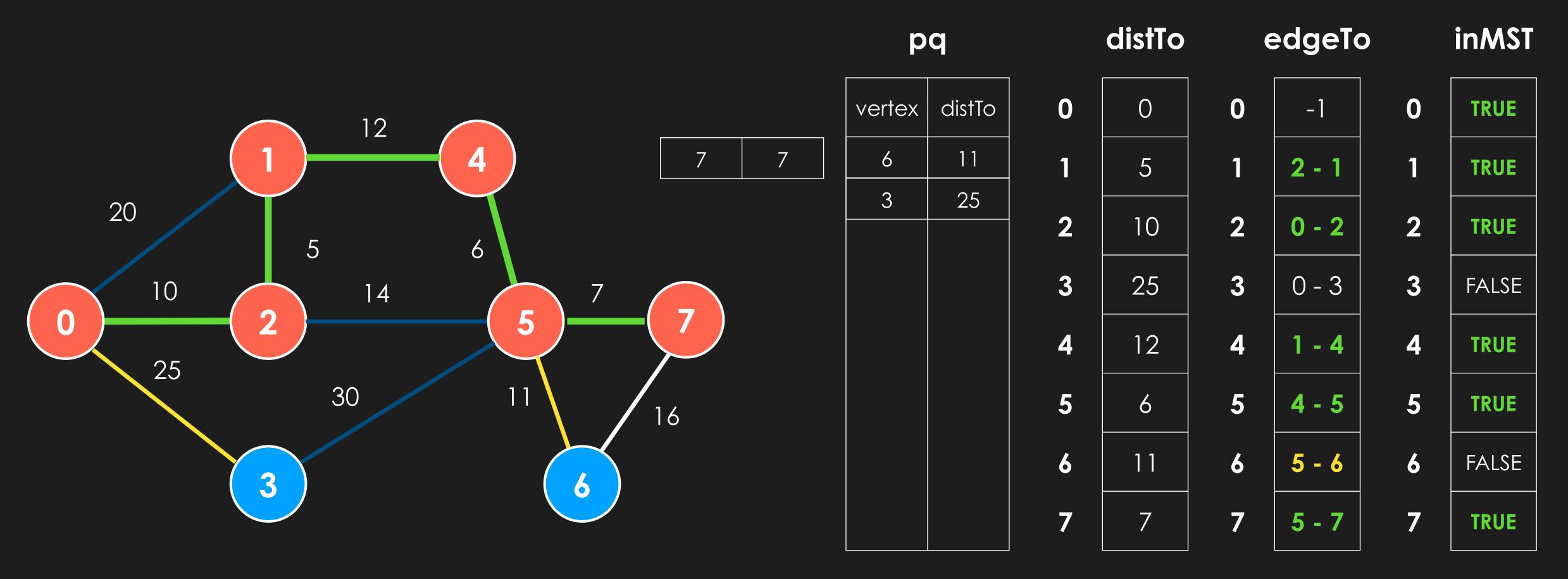


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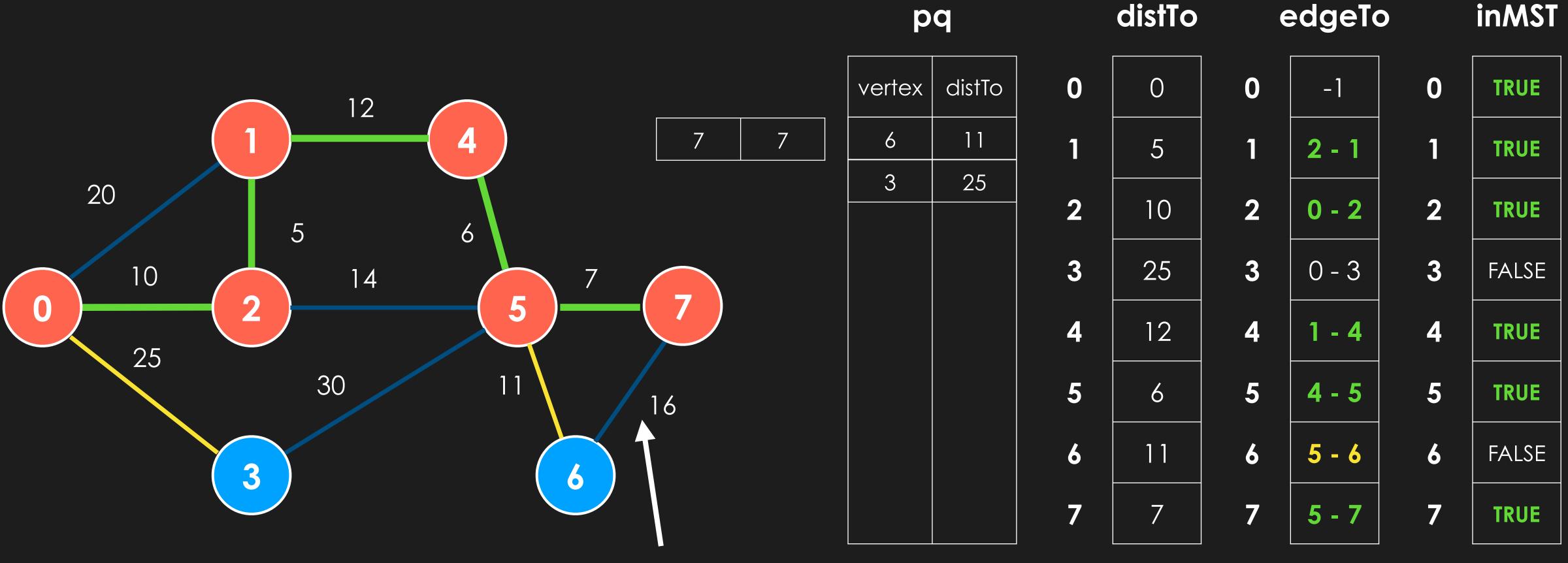


rejected because current distTo[3] < 30!

- 1. Set distTo vertex 0 as 0 and insert into pq
- 2. While **pq** is not empty, remove minimum, and add adjacent vertices
  - 1. if not **inMST**
  - 2. if edge weight < current distTo adjacent vertex

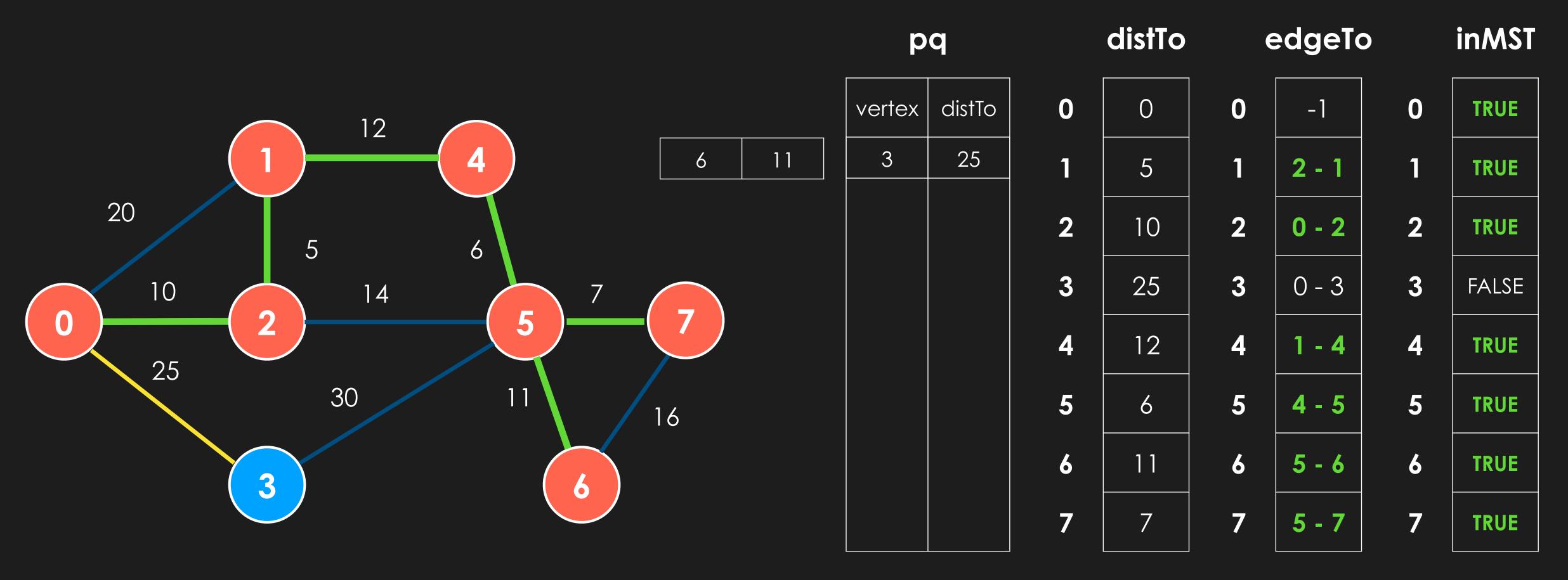


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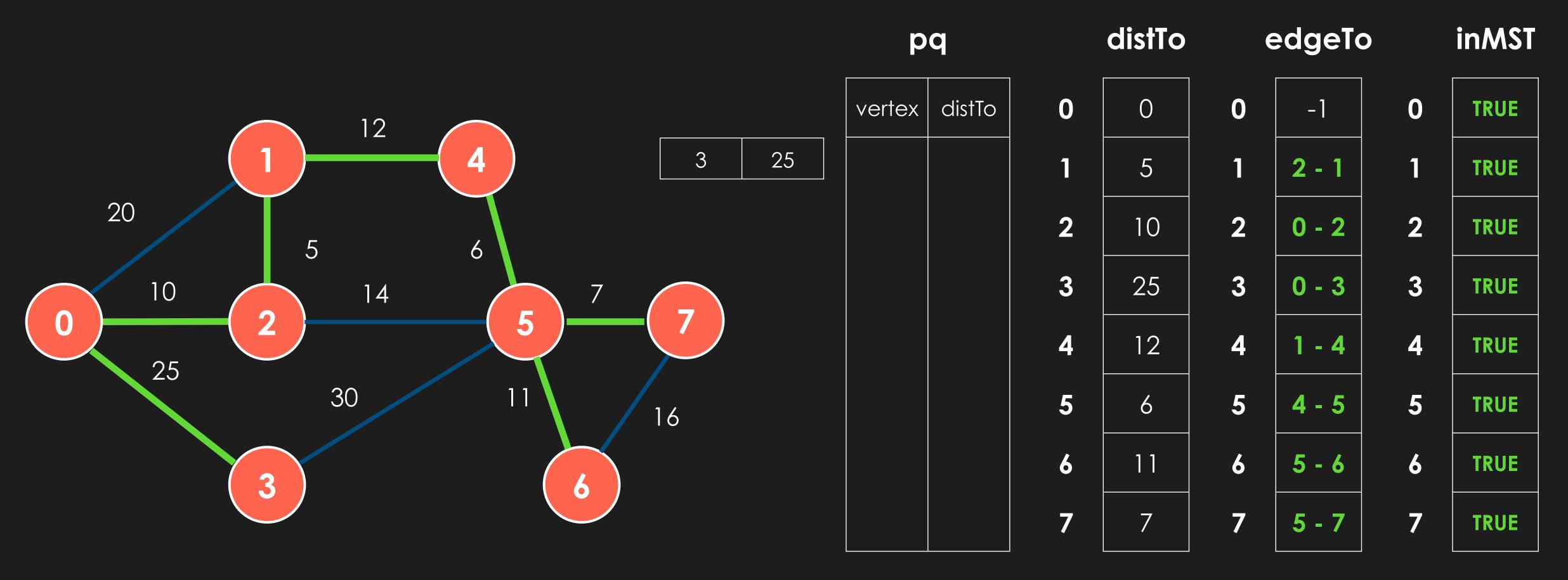


rejected because current distTo[6] < 16!

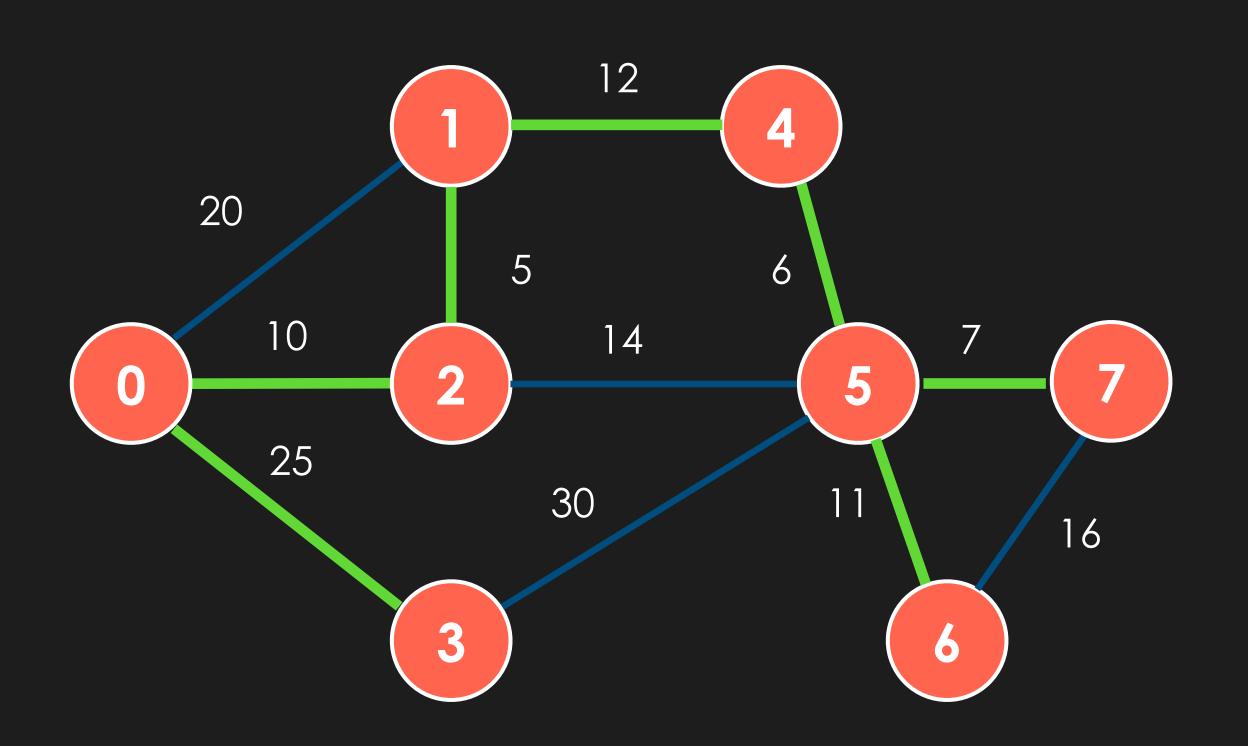
- 1. Set distTo vertex 0 as 0 and insert into pq
- 2. While **pq** is not empty, remove minimum, and add adjacent vertices
  - 1. if not **inMST**
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- 1. Set distTo vertex 0 as 0 and insert into pq
- 2. While **pq** is not empty, remove minimum, and add adjacent vertices
  - 1. if not **inMST**
  - 2. if edge weight < current distTo adjacent vertex



### Now we have our MST!



### edgeTo

0	-1
1	2 - 1
2	0 - 2
3	0 - 3
4	1 - 4
5	4 - 5
6	5 - 6
7	5 - 7

## PrimMST

```
def LazyPrimMST(graph: WeightedGraph):
    V = len(graph.adjList)
    edgeTo = [-1] * V
    distTo = [None] * V
    inMST = [False] * V
    pq = MinHeap(V)
    for v in range(V):
        if not inMST[v]:
            prim(graph, v, pq, distTo, edgeTo, inMST)
    return edgeTo
```



## prim

```
def prim(graph, s, pq: MinHeap, distTo, edgeTo, inMST):
    distTo[s] = 0
    pq.insert(s, distTo[s])
    while (pq.size != 0):
        v = pq.getMin().key
        inMST[v] = True
        for edge in graph.adjList[v]:
            if inMST[edge.dest]:
                continue
            if distTo[edge.dest] == None or edge.weight < distTo[edge.dest]:</pre>
                distTo[edge.dest] = edge.weight
                edgeTo[edge.dest] = edge
                if edge.dest in pq.positions:
                    pq.decreaseKey(edge.dest, edge.weight)
                else:
                    pq.insert(edge.dest, edge.weight)
```



## Alternatives to Prim's Algorithm

## Kruskal's Algorithm

- Kruskal's algorithm, on a high level, sorts all edges by weights, and then adds them one by one while verifying that an MST is maintained
- Prim's algorithm works well for dense graphs while Kruskal's algorithm works better for sparse graphs

# Applications of MST

## Applications of MST

Design of telecommunication networks

Cluster analysis



### Lab Session 2

- In this lab session, you will be implementing **prim.py**
- Your task is to implement Prim's MST algorithm for a Weighted Undirected Graph (you may assume that graphs being passed in only have a single MST)
- Your version of Prim can be lazy, in that you only need to achieve **ElogE time complexity**
- Your PrimMST function takes in a single argument: graph, which is a WeightedGraph object
- Your function should return a list of all MST edges (as WeightedEdge objects) in the MST
- The WeightedEdge & WeightedGraph class has been implemented for you, and functions as that shown in the lesson.
- The MinHeap class has been implemented for you, and contains methods as shown in lesson 2
- To test, run `python utils/dijkstra\_test.py`



## WeightedEdge & WeightedGraph

```
class WeightedEdge:
   def ___init___(self, src, dest, weight):
        self.src = src
        self.dest = dest
        self.weight = weight
   def __str__(self):
class WeightedGraph:
   def ___init___(self, V):
        self.adjList = [[] for i in range(V)]
   def addEdge(self, src, dest, weight):
    def printGraph(self):
```



## MinHeap

```
class HeapItem:
   def ___init___(self, key, value):
        self.key = key
        self.value = value
class MinHeap:
   def __init__(self, maxsize):
        self.maxsize = maxsize
        self.size = 0
        self.heap = [None] * (maxsize + 1)
        self.positions = {}
   def insert(self, newKey, newValue) -> None:
   def getMin(self) -> HeapItem:
```



## Solution

```
def LazyPrimMST(graph: WeightedGraph):
    V = len(graph.adjList)
    inMST = [False] * V
    pq = MinHeap(V ** 2)
    mstEdges = []

    for v in range(V):
        if not inMST[v]:
            prim(graph, v, pq, inMST, mstEdges)

    return mstEdges
```



### Solution

```
def prim(graph, s, pq: MinHeap, inMST, mstEdges):
    addEdgesToPQ(graph, s, pq, inMST)
   while (pq.size != 0):
        edge = pq.getMin().key
        if inMST[edge.dest]:
            continue
        mstEdges.append(edge)
        addEdgesToPQ(graph, edge.dest, pq, inMST)
def addEdgesToPQ(graph, s, pq, inMST):
    inMST[s] = True
    for edge in graph.adjList[s]:
        pq.insert(edge, edge.weight)
    return
```

