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# Machine learning models for synthesizing actionable care decisions on lower extremity wounds

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#### Abstract

Lower extremity chronic wounds affect 4.5 million Americans annually. Due to inadequate access to wound experts in underserved areas, many patients receive non-uniform, non-standard wound care, resulting in increased costs and lower quality of life. We explored machine learning classifiers to generate actionable wound care decisions about four chronic wound types (diabetic foot, pressure, venous, and arterial ulcers). These decisions (target classes) were: (1) Continue current treatment, (2) Request non-urgent change in treatment from a wound specialist, (3) Refer patient to a wound specialist. We compare classification methods (single classifiers, bagged & boosted ensembles, and a deep learning network) to investigate (1) whether visual wound features are sufficient for generating a decision and (2) whether adding unstructured text from wound experts increases classifier accuracy. Using 205 wound images, the Gradient Boosted Machine (XGBoost) outperformed other methods when using both visual and textual wound features, achieving 81% accuracy. Using only visual features decreased the accuracy to 76%, achieved by a Support Vector Machine classifier. We conclude that machine learning classifiers can generate accurate wound care decisions on lower extremity chronic wounds, an important step toward objective, standardized wound care. Higher decision-making accuracy was achieved by leveraging clinical comments from wound experts.

#### Keywords

Classification; Chronic wounds; Lower extremity ulcers; Machine learning

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Declaration of interests

☑ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## 1. Introduction

Chronic lower extremity wounds ("ulcers") affect 4.5 million individuals in the United States annually [1]. These wounds are expensive to treat (\$7,439 to \$70,000 per wound) with a total annual cost of \$25 billion in the U.S. [2]. Chronic wounds have become more widespread due to an aging population [3] and the rise of comorbidities, e.g., diabetes and cardiovascular disease, that can cause ulcers [3]. Patients with chronic wounds experience reduced mobility, chronic pain, prolonged hospital stays, missed work days [2, 4], and negative psycho-social effects [4]. Chronic wounds precede 85% of amputations and may even lead to death [5].

Accurate and timely diagnoses can reduce the cost of ulcers [6], but that assumes access to wound specialists [3]. Even if initially assessed by a wound specialist, follow-up analysis and treatment is often completed by non-experts, that is, the majority of domestic wound assessments are conducted by registered nurses who may lack wound expertise [7–9]. The result is inconsistent diagnosis and treatment decisions and poor wound care [4], potentially resulting in a non-healing chronic wound with a financial consequence ranging from \$10,000 to \$15,000 per ulcer [3]. Existing decision support systems are limited to rubrics or questionnaires that have to be filled out manually to generate decisions. Wound care decisions generated autonomously by an ML classifier could provide the necessary support aid for non-expert care providers, ultimately improving care decision consistency and reducing costs. To the best of our knowledge, our work is the first to research and create a machine/deep learning approach to autonomously generate actionable wound care decisions from wound images and assessment notes.

This research investigated using machine learning (ML) to provide such decision support by classifying wounds as one of three decisions: (1) Continue current treatment, (2) Request non-urgent change in treatment from a wound specialist, (3) Refer patient to a wound specialist. We also studied (1) whether clinically-validated important visual wound features are sufficient for generating a decision and (2) whether adding unstructured text from wound experts increased classifier accuracy. Automated decision support via ML classifiers would enable patients to receive standardized wound care from non-expert care providers.

# 2. Background

Our prior research focused on objective analysis of chronic wounds using visual features [10–14]. Building on this work, we focus on developing a wound Clinical Decision Support System (CDSS), a "smart wound specialist", that is embedded in a smartphone application. Currently, wound care provided by non-experts may be limited to relying on manual wound assessment tools and paper rubrics for grading wounds. This CDSS aims to surmount the limitations of manual wound assessment by using machine learning and deep learning methods to autonomously recommend actionable chronic wound care decisions.

#### 2.1. Wound Assessment Tools

The most relevant recent review [15] of current wound assessment tools (WAT) focuses on manual WATs and rubrics, which are clearly different from our machine learning approach to autonomously generate actionable wound care decisions. This review of manual WATs states that there exists no single WAT that completely satisfies a nurse's needs in wound care management. The study [15] suggested that in the absence of wound experts, a good WAT is the one tool that can help guide non-expert clinicians towards making informed wound care decisions. The most common WATs that are available to non-experts are Bates-Jensen Wound Assessment Tool [16], Leg Ulcer Measurement Tool [17], Pressure Ulcer Scale for Healing [18], Braden scale [16], and Photographic Wound Assessment Tool [19]. Although these tools grade and score wounds, they do not recommend actionable wound care steps. Non-experts who use such manual WATs still require additional support and more intuitive wound care guidelines to arrive at wound care decisions [15]. The additional support from an expert can be provided through remote consultation (telemedicine), official wound care guidelines or CDSS tools. However, telecare is difficult due to experts' time constraints and wound care guidelines often require expertise to interpret. Thus, our current study seeks to close this gap by experimenting with ML algorithms as the basis for wound decisions in a CDSS tool that provides digital, autonomous, actionable wound care decisions to support non-experts.

For initial assessment of our wound images and to generate ground truth labels for our supervised machine learning and deep learning methods, we graded wound images using an image-based WAT [19, 20], the most comprehensive of which is the Photographic Wound Assessment Tool (PWAT) [19]. Similar to other available tools, PWAT is a rubric for initial wound assessment, mainly describing the characteristics of a wound, but does not recommend a care decision. It is a validated, state-of-the-art wound assessment questionnaire designed to provide a consistent and quantitative method to represent visual wound attributes evaluated from a wound photograph (see Appendix A) [19]. PWAT has moderate to excellent reliability with an Intra-class Correlation Coefficient (ICC) of 0.71 for interrater reliability and 0.89 when comparing bedside assessment to photographic assessment using PWAT. Due to its ability to assess wound features from an image, and its reliability, we used PWAT to quantitatively grade wound characteristics, the results of which were subsequently used as one of many inputs for the ML methods that then autonomously generated wound care decisions.

PWAT scores eight attributes of wounds, (1) size, (2) depth, (3,4) type and amount of necrotic tissue, (5,6) type and amount of granulation tissue, (7) wound edges and (8) periulcer skin viability [19]. Each aspect is scored on a scale from zero to four (using aspect-specific severity rubrics), yielding eight PWAT sub-scores. The overall wound assessment is a total of the sub-scores, ranging from 0 to 32, with 32 indicating severe problems, and 0 indicating no wound issues. A decreasing PWAT score over time indicates wound healing.

## 2.2. Machine/Deep Learning Classification

Machine learning (ML) methods are increasingly applied to clinical issues. Using ML ensembles, Eom et al. [21] detected cardiovascular disease from protein expression levels in

blood samples. They found that bagged ensembles were more accurate than single classifiers, such as Decision Trees (DTs), Support Vector Machines (SVMs), Multi-layer Perceptron Artificial Neural Networks (MLP ANNs) and Bayesian networks. WeAidU, a CDSS that classified images, also found ensembles more accurate than single classifier types for the task of diagnosing myocardial infarction and heart ischemia [22]. Brown and Marotta [23] found a gradient boosting ensemble model most accurate in classifying Magnetic Resonance Imaging (MRI). Consequently, in addition to single classifiers, we explored ensembles for classifying wounds.

Deep learning approaches have also been applied to biomedical data. Gao et al. [24] used a Hierarchical Attention Network (HAN) consisting of two layers of bidirectional LSTMs/GRUs to identify one of 12 possible International Classification of Diseases (ICD) codes, as well as determine a histological grade classification for cancer pathology reports. Bidirectional LSTMs/GRUs allow retention of past and present contextual information, which is particularly relevant for text data. HANs are used for document classification because its hierarchies reflect the breakdown of a document into sentences, then words. Gao et al. [24] found the HAN more accurate than SVM, Random Forest, extreme gradient boosting, RNN, and CNN for classifying pathology reports. Baumel et al. [25] also found a HAN was more accurate (86% accuracy) than SVMs, Continuous Bag of Words (CBOW), and CNNs for classifying 10,000 patient reports with ICD codes. Due to success in prior work with similar medical data, we also implemented a HAN.

# 2.3. Text Mining Medical Data

To extract information from the unstructured text from wound experts, we explored Natural Language Processing (NLP) and text mining techniques used for Electronic Health Records (EHR). Prior work has combined clinical knowledge with ML to improve classification accuracy. Zhang et al. [26] leveraged clinical knowledge and ML methods (logistic regression) to produce groupings of medical order sets.

A popular approach in prior NLP work involves tokenizing input text and identifying token frequency. Zheng et al. [27] identified cases of Diabetes Mellitus (DM) by mapping tokens to DM risk factors, and indicating presence with a binary encoding. Castro et al. [28] identified polycystic ovarian syndrome by outputting the frequency of tokens. Another approach used to transform free text is to use word embeddings. These were used by Gao et al. [24] and are considered a powerful tool to represent contextual and semantic meaning. We explored both techniques described to extract meaning from textual EHR data.

# 3. Methodology

#### 3.1. Use Scenario

To illustrate the envisioned functionality of our CDSS, and, thus, our ML classifiers, we present a use scenario. We assume a visiting nurse or a nursing home nurse is following up with a chronic wound patient who has previously seen a wound specialist and has a current treatment plan. The nurse is well-qualified but not a wound expert. The treatments the nurse can provide are limited due to lack of wound-specific training or lack of medical resources

in remote settings (see Appendix B). Making these assumptions of situation and minimal wound expertise allows our CDSS to be used by any nurse in typical visiting nurse settings. However, we acknowledge that there are many possible scenarios. Thus, to provide a rigorous grounding for our ML algorithms' evaluation, we experiment under two specific clinical decision making scenarios:

- 1. ML actionable support is provided with no expert involvement (i.e., there is limited input from a wound expert).
- 2. ML actionable support also utilizes as input EHR clinical assessment notes (i.e., free text comments from an expert available as EHR data).

We address scenario 1 by assuming that the only data available is the visible wound. The nurse would capture an image of the wound with our CDSS smartphone app and be prompted to enter some wound information such as wound location, appearance, and other clinical characterizations that could be easily assessed visually by a nurse. These clinically-validated important visual wound features would be the only input to the ML classifier in scenario 1.

In scenario 2, the wound features are still available to the nurse but we also include our wound care experts' clinical assessment notes that serve the same purpose and contain similar information as EHR notes. These notes simulate a scenario in which an expert has previously conducted a remote consultation or assessment that has been documented in the EHR.

Thus, the final aspect of the use scenarios is the type of actionable care decision that will be autonomously generated by the CDSS smartphone app (i.e., by the ML classifiers embedded in the app). With the aid of wound care experts, we established three actionable wound care decisions our wound CDSS will recommend, see Table 1. These decisions align with the abilities and roles of various care providers within the healthcare system, ensuring standardized wound care, while saving time and money, reducing unnecessary travel and the usage of wound specialists.

For each actionable care decision (target classes), there are typical chronic wound conditions which are shown in Table 1 with example wound images, as collected from the wound experts on our research team. Additional examples of wound decisions and the classification rationale are in Appendix C.

## 3.2. Wound Images and Ground Truth for the ML Study

The 205 wound images used in this study were selected from 2,064 wound images. 1,695 images are from a corpus of IRB-approved UMMS patient data, with another 369 publicly available from the Internet (WPI IRB 18–0148). To ensure image quality, images were excluded if they were too dark or light, the full wound was not in view, or the image was a duplicate of another image (see Appendix D). High quality images were integral to ensuring that experts could accurately view images and assess the wound to provide ground truth.

After exclusion of poor quality or duplicate images, we generated a random subset to use for ML experiments by sampling images from four chronic wound types (diabetic foot,

pressure, venous, and arterial ulcers) with varied wound characteristics [4]. Surgical wounds that began as an ulcer were included as a fifth type since their treatment is similar to that of the other four wound types. Image examination by our wound experts was a time-consuming process, so we limited our sample to 205 images.

Two wound experts, a plastic surgeon (Expert 1) and a dually credentialed podiatric surgeon/vascular Nurse Practitioner (DPM/NP) (Expert 2), provided ground truth by indicating their treatment decision when shown each wound. Ground truth refers to which wound decision (1, 2 or 3) a wound expert assigned to each wound. The ML classification model attempts to learn these decisions during the model training process.

During wound decision labeling sessions, the wound experts viewed printed wound images, assigned a decision and explained why. To reduce bias, questions were limited to clarifications or requests for further explanation. Each session was video recorded and transcribed. The wound expert explanations were used to emulate EHR text content, which became text inputs for our classifiers.

The two experts provided the same decision for 57% of the wounds (117 images), see the confusion matrix in Fig. 1. Extreme disagreement (Decision 1 and 3 selected) occurred for 11% of the wounds. One wound expert explained that decision disagreement is common due to the different treatment philosophies. For example, for a large but clean wound, one expert may recommend a skin graft to help the wound close more quickly whereas another expert may let it heal on its own.

We also generated a third set of decision labels from evidence-based clinical guidelines, which provided objective decisions, independent of our two experts. Fig. 2 shows the agreement between clinical guideline decisions and the two wound experts.

To establish ground truth when the wound experts disagreed on decisions, we investigated four policies to assign a final decision ( $dec_{final}$ ) based on our three decision results ( $dec_{exp1}$  = Expert 1's,  $dec_{exp2}$  = Expert 2's,  $dec_{clinical}$  = decision from clinical guidelines rules). Each decision assigned is given a corresponding numerical value of 1, 2, or 3 (see Table 1).

**Policy 1, Cautious Decision:** Select the more cautious decision assigned by the two wound experts (equation 1). Specifically, decision 3 is more cautious than decision 2, which is more cautious than decision 1. Decision 3 is the most cautious because the patient would eventually see a wound specialist in person. Equation 1 uses the max function so that the highest numerical value (1, 2, or 3) is assigned as the final decision.

$$dec_{final} = \max(dec_{exp1}, dec_{exp2})$$
 (1)

**Policy 2, Surgical Decision:** Select the decision assigned by the plastic surgeon (Expert 1) as more severe wounds were typically referred for surgical treatment (equation 2).

$$dec_{final} = dec_{exp1} \tag{2}$$

**Policy 3, Holistic Decision:** Select the decision assigned by the dually credentialed podiatric surgeon/vascular Nurse Practitioner (DPM/NP) (Expert 2) due to the DPM/NP's daily interaction with a wide variety of severity of wounds, and experience with limb salvage as a podiatric surgeon, and preventative treatments (equation 3).

$$dec_{final} = dec_{exp2} \tag{3}$$

**Policy 4, Majority Decision:** Select the majority decision among three decisions (Expert 1, Expert 2, clinical guidelines). In cases when there is a 3-way tie (e.g., each of the three decisions were assigned and, thus, there are three distinct numerical values), there is no majority decision so the most cautious decision is selected (equation 4). For example, if both experts assign a value of 2 (i.e., they chose decision 2 for a wound), but the clinical guidelines recommend a value of 3 (i.e., decision 3), then the majority decision is assigned which would be 2. In another example, there may be three different decisions assigned (e.g., a 1, 2, and 3). In this case, since there is no consensus, we assign the most cautious decision which is the max value. Thus, the final decision assigned in a 3-way tie would be decision 3.

$$set_{dec} = \left\{ dec_{exp1}, dec_{exp2}, dec_{clinical} \right\} \tag{4}$$

The decision disagreement policies resulted in some class imbalance. Policy 2 had the most balance among classes, followed by Policy 3, then Policy 4, and finally Policy 1. We hypothesize that Policy 4 may be the best representation of the truth since it accounts for both experts' opinions as well as clinical guidelines. Additionally, it prioritizes consensus among these three decision-makers, but in cases of disagreement, the final decision assigned is the most cautious. In this way, Policy 4 balances decision-maker consensus with caution for wound cases that may be more difficult to determine a decision.

## 3.3. Visual Feature Extraction

In envisioned use scenario 1 in which there is no expert involvement, a nurse would rely on the wound features that are visually discernible in-person. In this ideal use case, the smartphone wound app (our CDSS) would automatically extract visual features (via image analysis) and the nurse would provide supplemental information such as odor. Thus, the wound app would have a set of visual features as input to the ML classifiers that would generate an actionable decision. These visual features are based on clinically-validated important wound attributes as specified by the PWAT wound grading rubric (See Appendix A). In our experiments, we manually extracted the visual features and scored each wound to generate these PWAT sub-scores used as input to the ML classifiers. However, longer term, we are researching and developing deep learning methods to automatically analyze the wound image and extract these clinically-validated visual features (PWAT sub-scores) as well as wound type (diabetic foot, venous, arterial, pressure, or surgical wounds). Wounds

could be labelled with one or more wound types (mixed wounds). PWAT sub-scores and total score were calculated as the average of three independent investigator scores. Table 2 show a comprehensive overview of the visual features that we used in our experiments and the values accepted.

#### 3.4. Feature Extraction from Expert Comments/Notes

Comments collected from experts simulated observations a clinician might record in the EHR. For each wound, experts' comments were merged and split into sentences. Text cleaning, labelling of negated terms, tokenization, stop word removal and stemming were performed, examples of which are in Table 3.

The Term Frequency Inverse Document Frequency (TF-IDF) approach [26–29] was used to vectorize each comment, generating text features. Similar to bag-of-words, TF-IDF weights how frequently a term occurs in the entire corpus. Data was scaled to unit variance so that each feature had a mean value of zero, ensuring unit independence. Lastly, Principal Components Analysis (PCA) was used for dimensionality reduction to potentially improve classification accuracy.

## 3.5. Visual Classification Tasks and Experimental Datasets

Our two classification tasks generated four datasets:

- 1. Visual Classification Task: Classify a wound as one of three actionable decisions using visual features as input.
  - VIS (Visual): Dataset was generated by processing only visual features

     8 PWAT sub-scores, 1 PWAT total score, and 5 wound types (14 features total).
- **2. Visual and Text Classification Task:** Classify a wound as one of three actionable decisions using visual features and textual EHR features as input.
  - B (Basic): Dataset was generated by processing text using TF-IDF then combining with visual features (638 features resulting).
  - NEG (Negated terms marked): Dataset was generated by processing text using TF-IDF and labeling of negated tokens (capturing some token context), then combining with visual features (722 features resulting).
  - PCA (Principal Components Analysis): Dataset was generated by processing text using TF-IDF then combining with visual features but transformed using PCA (159 features resulting), representing the original sparse text data more succinctly.

#### 3.6. Machine Learning Classification

The SMOTE (Synthetic Minority Over-sampling Technique) [28] was applied to balance the dataset, reducing bias. Single classifiers, specifically Decision Tree (DT), Support Vector Machine (SVM), and Multi-layer Perceptron (MLP), were investigated [21, 22]. We also implemented bagged (bootstrap aggregated) DT and SVM classifiers by training multiple

single classifiers on separate training sets (generated through oversampling and bootstrapping), and then aggregating predictions [30]. Aggregation occurred using two voting methods adapted from [21]: 1) Majority voting (most frequent class was predicted), and 2) Weighted majority voting (classifiers weighted in proportion to accuracy on training set).

We also explored (1) a Random Forest classifier, with automatic feature importance ranking and selection, to handle the sparsity of the text features and (2) gradient boosted trees, using extreme gradient boosting (XGBoost), which iteratively creates multiple weak learners to learn the error from the previous learner thus improving learning performance.

We transformed text input into word embeddings, numerical one-dimensional vectors that capture semantic meaning. We used Word2Vec, a neural network model that predicts the next word (context) given the current word. Given our small text corpus, we used pre-trained word embeddings from the Google News corpus containing 3 million words and trained on ~100 billion words.

To capture greater semantic meaning and context from textual features (i.e., the simulated EHR data) with the word embeddings as input, we evaluated a Hierarchical Attention Network (HAN) model that contained two layers of bidirectional LSTMs (Long Short Term memory, bi-LSTM) or bidirectional GRUs (Gated Recurrent Units, bi-GRU) [24]. With two hierarchies in the HAN, the word embeddings were fed to the lower hierarchy, then weighted with an attention mechanism to create a sentence embedding. This was then weighted with another attention mechanism to create a document embedding. Finally, the document embedding was concatenated with the visual features and fed into a Dense network layer to produce the final decision. We experimented with different hyperparameters, such as using bidirectional cells (bi-LSTM or bi-GRU), the number of nodes in each layer, the number of nodes in the hidden attention layer, and dropout rate.

All single and ensemble classifiers were trained using nested 10-fold Cross Validation (CV) to find optimal hyperparameters and to determine generalizability of classifiers. We used sequential-model based optimization, comparing two types of surrogate functions: Gaussian process and gradient boosted regression trees. We experimented with the hyperparameter values in Table 4 (see Appendix E for further explanation).

#### 4. Results

Our results below are averages of five iterations of 10-fold cross validation. Each classifier's performance was evaluated for each of the four decision policies using weighted F-score (Equations 5–7), which was weighted using the number of true instances of each class.

$$precision = \frac{T_{pos}}{T_{pos} + F_{neg}} \tag{5}$$

$$recall = \frac{T_{pos}}{T_{pos} + F_{pos}} \tag{6}$$

$$F_1 = 2 * \frac{precision * recall}{precision + recall}$$
 (7)

The baseline classification method was a majority classifier, OneR (or One Rule), which predicts the most frequently occurring class label across the dataset as its output. OneR demonstrated that our classifiers performed better than random guessing. For our data, OneR predicted Decision 3 as its output.

#### 4.1. Visual Classification Task

As illustrated in Table 5, all classifiers performed better than the baseline classifier (random guessing) using the VIS dataset as input. Across all policies, the DT ensemble (with weighted majority voting) had the worst performance and the single SVM had the best performance. The ensembles outperformed the single classifiers only in Policy 2. Ensembles are designed to generate a stronger prediction by utilizing single classifiers that learn differently. However, with such a small feature set, the single classifiers compiling the ensemble may have been highly correlated (i.e., producing very similar predictions) and, thus, less useful in determining the final prediction. We hypothesized that SVM would perform well on such a small dataset given its ability to handle misclassified instances, or the support vectors.

#### 4.2. Visual and Text Classification Task

As illustrated in Table 6, the ensembles generally performed better than single classifiers on the datasets including both visual and textual features. For Policy 1 and 2, Random Forest performed best while Policy 3 and 4 had XGBoost as the optimal classifier. This is probably due to the sparse textual feature matrix, since feature and instance selection (inherent to the classifier) is useful when there are many more features than instances. XGBoost had the best performance achieved across all experiments for Policy 4.

We also analyzed performance between the B dataset (combination of textual and visual features with no further preprocessing aside from scaling to unit variance), the NEG dataset (negated terms in text were marked), and the PCA dataset (Principal Components Analysis applied). The NEG dataset produced the best performance for all but Policy 3, which had the highest performance with the PCA dataset. Preprocessing the text by marking negation was an important step in distinguishing wound experts' comments. The Visual and Text Classification Task produced better performance compared to the visual classification task (see Appendix F for details), which answers one of the research questions.

#### 4.3. Hierarchical Attention Network

Based on results from hyperparameter optimization, we used an architecture of 117 bi-LSTM cells with an attention context of 178 nodes, 49 dense nodes to process the concatenated document embedding and visual features, with a dropout rate of 0.5. During

the optimization process, bi-LSTM was chosen more often than a bi-GRU cell. In order to ensure that the model generalized, dropout of 0.5 was used.

We hypothesized that using pre-trained word embeddings would aid in leveraging semantic information found from the free text and enhance the performance of the HAN model. We took advantage of SMOTE to mitigate the small sample size and balance our augmented dataset. Using SMOTE we generated training sets with 336, 279, 231 and 324 samples for Policies 1 through 4, respectively, with an equal testing set of 41 data samples for all the policies. As shown in Table 6 above, our HAN model achieved an average F-score of 0.601 across all four policies compared to the baseline (F-score = 0.445). The highest F-score obtained was 0.657 for Policy 4. This was expected as we hypothesized in section 3.2 that Policy 4 represents the truth best since it integrates both experts' opinions and clinical guidelines. Compared to the prior study [24] that utilized HAN for only clinical text classification to predict the primary site of cancer given the content of the 942 cancer pathology reports, our HAN model produced an overall average F-score of 0.601 across all policies whereas the other study achieved an average F-score of 0.594. However, for their histological grade classification task (predict the histological grade of a cancer given the cancer pathology report) their model achieved a higher average F-score of 0.822. This suggests that in addition to the number of training samples the complexity of the classification task and the content and length of the textual features may also affect the performance of HAN model. We argue that higher performance for the classification of complex chronic wound decisions can be achieved using our HAN with sufficient training data (comments and visual features), which will be investigated in future work using this technique with additional training data.

#### 4.4. Feature Importance

Feature analysis for the best performing classifiers, Random Forest and XGBoost, revealed the most important words for each Policy, separated by Decision class. Random Forest and XGBoost demonstrated that across classifiers and policies, visual features had greater importance in determining a decision (Fig. 3). While the total PWAT score was the most important feature, particular textual features played a large role, such as "offload" and "clean". Extrapolating from medical knowledge, the term "offload" can indicate a Decision 2 (particularly for a diabetic foot ulcer or a pressure ulcer) and "clean" may indicate a healing wound (i.e., a Decision 1). This suggests that while visual features are most important, textual features derived from expert comments are able to improve the accuracy of decisions.

#### 4.5. Error Analysis

We examined the confusion matrices from Policy 4 from our top ensemble models. Both Random Forest and XGBoost had very similar confusion matrices regarding decision predictions. The success, as compared to other ensemble methods, can be attributed to inherent feature and instance selection.

Both Random Forest and XGBoost misclassified a wound image with a total PWAT score of 15, with scores of 3 or higher for the four sub-scores relating to necrotic and granulation

tissue. Despite unknown depth and presence of necrosis, Decision 1 was assigned by wound expert and clinical guidelines. This suggests that additional visual features may need to be provided (such as specific wound location and specific wound size) as well as other similar cases to learn from. Additionally, having access to wound healing progression over time may provide essential information; if wound size reduces significantly compared to a previous wound measurement then it indicates that the wound is healing [31].

#### 4.6. Decision Disagreement Resolution Policy Analysis

Policy 4 produced the best performance (average weighted F-score) across all policies and datasets, followed by Policy 1, Policy 3 and Policy 2. Additionally, the best performance for each classification task was with Policy 4 (0.766 for the Visual Classification Task with a Support Vector Machine classifier, and 0.810 for the Visual and Text Classification Task with an XGBoost classifier). This suggests that adding the generation of ground truth decisions using clinical guidelines (Policy 4) mitigated the subjective opinions of the wound experts (Policies 1–3).

#### 5. Discussion

## 5.1. Findings

The Visual Classification Task demonstrated that it is possible to achieve reasonable accuracy (weighted F-score=0.766) with a SVM classifier. Additional visual features, such as specific wound location that is important for wound care decisions, could be added in future work to improve the accuracy of classifying wounds solely based on an image.

Including textual EHR features in addition to visual features generally increased the accuracy of wound decision classifiers. Classification of wounds with visual and textual features achieved up to 81% accuracy with an XGBoost classifier, about 6% higher than the performance achieved with only visual features. Feature importance analysis also revealed that visual and textual features were both important. Marking negated terms during preprocessing improved performance. Comparing performance on the three experimental datasets which included textual features, the majority (6/8) of best performances (for each policy) were from training on the dataset with negated terms marked.

## 5.2. Machine Learning Classification

Evaluation of all machine learning classifiers across decision policies and datasets demonstrated the following outcomes:

- SVM had the best overall performance (highest F-score) when using only visual features as input.
- Ensemble classifiers outperformed single classifiers (based on weighted F-score) when using visual and textual input.
- XGBoost had the highest accuracy for all combinations of models, experimental datasets and decision policies.

The HAN did not perform as well as the ensemble classifiers (and single classifiers in some cases), probably due to the complex content of medical notes and lack of comments from wound experts for some wound images, as well as the generally small size of the dataset.

## 5.3. Decision Disagreement Resolution Policy Discussion

Establishing ground truth was an important and challenging step. Policy 4 performed best (weighted F-score) across all classifier models and classification tasks. This could be attributed to the addition of labels generated from evidence-based clinical guidelines, demonstrating that objective measures from clinical guidelines improves the accuracy of decision-making. In the future, ground truth should be generated not only on wound experts' opinions but also the most current evidence-based clinical guidelines.

#### 6. Conclusion

This research demonstrated that actionable wound care decisions could be classified given a combination of visual and text features with 81% accuracy. The envisioned smartphone wound assessment system has the potential to be used as a CDSS to aid a registered nurse in deciding what treatment a chronic wound requires, thereby standardizing wound care.

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# **Appendix**

# A.: Photographic Wound Assessment Tool

# Photographic Wound Assessment Tool PWAT – Revised

Item	Assessment									
1. Size	0 = wound is closed (skin inta	act) or nearly closed (<0.3cr	m <sup>2</sup> )							
	$1 = 0.5 - 2.0 \text{ cm}^2$									
	$2 = 2.0 - 10.0 \text{ cm}^2$									
	$3 = 10.0 - 20.0 \text{ cm}^2$									
	$4 > 20.0 \text{ cm}^2$									
2. Depth	0. wound is healed (skin intac	t) or nearly closed (<0.3cm	3							
	1. full thickness		*							
	2. unable to judge because ma	ajority of wound base is cov	vered by yellow/black eschar							
	3. full thickness involving und	derlying tissue layers								
	4. tendon, joint capsule, bone	e, visible/ present in wound	base							
3. Necrotic	= None visible or wound is closed (skin intact) or nearly closed (<0. 3cm <sup>2</sup> )									
Tissue	1 = majority of necrotic tissue is thin White/grey or yellow slough									
Type	2 = majority of necrotic tissue is thick, adherent white yellow slough or fibrin									
	3 - majority of necrotic tissue									
	4 = majority of necrotic tissue									
4. Total			intact) or nearly closed(0.3cm <sup>2</sup> )							
Amount of	1 = < 25% of wound bed cover									
Necrotic Tissue	2 = 25% to 50% of wound co	vered								
	3 = > 50% and $< 75%$ of wound covered									
	4 = 75% to 100% of wound c	overed								
5. Granulation	0 = Wound is closed (skin intact) or nearly closed (<0.3cm <sup>2</sup> )									
Tissue type	1 = majority (>50%) of granulation tissue is healthy looking (even bright red appearance)									
	2 = majority of granulation tissue is unhealthy (eg. pale, dull, dusky, hypergranulation)									
	3 = majority of granulation tissue is damaged, friable, degrading									
	4 = there is no granulation tis-	sue present in the base of th	e open wound (all necrotic)							
6. Total	0 = Wound is closed (skin int	act) or nearly closed (<0.3c	m <sup>2</sup> )							
Amount of	1 =75% to 100% of open wor	and is covered with granulat	tion tissue							
Granulation	2 = >50% and $<75%$ of open	wound is covered with gran	nulation tissue							
Tissue	3 = 25% to 50% of wound be	d is covered with granulation	on tissue							
	4 = <25% of wound bed is co	vered with granulation tissu	ie							
7. Edges	0 = Wound is closed (skin int	act) or nearly closed (<0.3c	m <sup>2</sup> )							
(directly	or edges are indistinct, dif	fuse, not clearly visible bed	cause of re-epithelialization							
touching and	1 = majority (>50%) of edges	are attached with an advan	cing border of epithelium							
within 0.5cm of	2 = majority of edges are atta	ched even with wound base	(not advancing)							
wound edge)	3 = majority of edges are una									
	4 = majority of edges are rolle	ed, thickened or fibrotic (do	not include callus formation)							
8. Periulcer	Number of factors affected	- callus	- edema							
Skin Viability	0 = None	- dermatitis	- excoriation							
(consider skin	1 = One only	- maceration	- skin tearing/irritation r/t							
visible in photo	2 = Two or Three	- desiccation or cracking	wound dressing or tape							
or within 10 cm	3 = Four or Five	- bright red, erythemic	- hypo/hyper pigmentation							
of wound edge)	4 = six or more	475,00	- other:							

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**Fig. A.1.**Rubric from the revised Photographic Wound Assessment Tool (PWAT)

# **B.: Assumptions about Care Provider Core Medical Competencies**

## Table B.1

Assumptions about Care Provider Core Medical Competencies

Care Provider	Core Medical Competencies
Registered Nurse	Standard wound care (dressing change) General lavage (i.e., cleaning of the wound surface and periwound area) Application of compression dressing Application of vacuum assisted closure (VAC) dressing Ability to administer oral antibiotic Aid for patient in reducing pressure on wound area (i.e., offloading or moving patient)
Wound Specialist	Debridement Surgery (for debridement, amputation, skin graft) Infection assessment, diagnosis and design of treatment plan Vascular evaluation

# **C.: Wound Decision Examples**

Table C.1

Wound Decision Examples (with an associated treatment and reason for classification)

Example Wound	Type of Treatment / Indicator	Reason for Classification					
	Continue applying a standard wound dressing	Clean wound (no necrotic tissue), not too moist or dry     Wound edges are blending with granulation tissue     Small enough wound size					
<b>Decision 2 Examples</b>							
Example Wound	Type of Treatment / Indicator	Reason for Classification					
	Offloading (foot put in a cast to reduce pressure)	Etiology: diabetic foot ulcer     Wound located over bony prominence					
	Compression	Etiology: venous ulcer					
	Change to vacuum assisted closure (VAC) or a moist occlusive dressing	Clean wound bed     Wound bed is dry or needs closure assistance					

	Change to a dry dressing	Moist wound bed with macerated islands of granulation tissue     Indicates healing with epithelium (new skin)
Abo	Antibiotic	Clean tissue but looks inflamed surrounding the wound
Decision 3 Examples		
Example Wound	Type of Treatment / Indicator Classification	Reason for
	Debridement	Almost no granulation tissue visible in the wound bed
	Ischemia	Toe turning black indicates critical limb ischemia
	Wet gangrene	Presence of wet gangrene indicates immediate attention
	Surgery (bone or tendon revision)	Tendon visible, which requires surgical revision
	Amputation	Toe looks ischemic and red indicates vascular issues
	Skin graft	Wound has clean, beefy, red granulation tissue     Size of wound is large     Complications could ensue later based on wound location over Achilles tendon if wound is not properly treated

# D.: Wound Exclusion Examples

Table D.1

Wound Exclusion Examples

Example Wound	Exclusion Criteria
	Poor lighting conditions (i.e., image was too dark or too light to see the wound clearly)
	Poor camera angle which prevented the full wound being in view
	Detail of wound image was limited due to the distance at which the image was captured (either the camera was positioned too close or too far away)
	Wound was covered (e.g., a bandage obstructed view of the wound)
	Duplicate images of the same wound captured at approximately the same time

# E.: Hyperparameter Optimization Process

SMBO (including Bayesian optimization) is typically viewed as more efficient than a grid search because the next set of hyperparameters are chosen based on approximating improvement from the previous set of hyperparameters. A grid search, as the name suggests, will experiment with all combinations of hyperparameters even if the search in a certain hyperparameter space is unnecessary. SMBO consists of the following components: an objective function (the weighted F-score we want to minimize as validation error), domain space (possible range of hyperparameter values), optimization algorithm (also known as the surrogate, or the specific method/model used to choose the next hyperparameter values), and

the results (results from hyperparameters and the objective function scores). The surrogate model is used because the objective function is very expensive to evaluate. We used two versions of SMBO, namely the sci-kit optimize package implementation of gp-minimize and gbrt-minimize. These offer two options for the surrogate: Gaussian process (gp-minimize) and gradient boosted regression trees (gbrt-minimize). Both were tested for each classifier, comparing the convergence (i.e., how many iterations it took to find an optimal score) and the final objective score to determine which was a better fit for this dataset and features.

We used nested 10-fold cross validation to accomplish this. For the neural network models, MLP and HAN, 10-fold cross-validation and 5-fold cross validation was used, respectively with an 80–10-10 train/validation/test split due to the length of time to accomplish hyperparameter tuning and cross-validation especially for HAN model. No hyperparameters were chosen based on evaluation on the test set (only a validation set).

#### Process:

- 1. Perform 10-fold cross validation split (i.e., 90/10 training/test split).
- 2. Conduct hyperparameter optimization on the training set by performing another 10-fold cross validation split (use both Gaussian process and gradient boosted regression trees) with 50 iterations and 10 random restarts.
- **3.** Save best configuration of hyperparameters (based on performance on inner loop test set).
- **4.** Train a classifier on the full training set with the hyperparameters from Step 3.

## F.: Classification Task Evaluation

We conducted confidence interval analysis between the results from the two classification tasks. We used the weighted F-score from the Visual Classification Task (Task 1) and the highest weighted F-score (from one of the three datasets, either B, NEG, or PCA) for the Visual and Text Classification Task (Task 2). We constructed a 95% confidence interval (with n=205,  $Z_n=1.96$ ) as shown in Equations 6–7 (the subscript indicates the number of the classification task).

If the confidence interval contains zero, this indicates that the difference in error could be zero, and thus, the models are not statistically significantly different. In Table S7 we present these results with bolded values indicating an interval containing zero. Notably, all intervals where models were not statistically significantly different can be found with DT classifiers (either the single classifier or weighted ensemble). This indicates that DT may perform better with the subset of visual features, which is much smaller than the sparse text matrix produced from adding textual EHR features.

$$d = error_2 - error_1 \tag{F.1}$$

$$d \pm Z_n \sqrt{\frac{error_2(1 - error_2)}{n} + \frac{error_1(1 - error_1)}{n}}$$
 (F.2)

Table F.1

#### Classification Task evaluation

Classifier	Policy 1	Policy 2	Policy 3	Policy 4						
Single Classifiers										
DT (-0.073, 0.113) (-0.117, 0.075) (-0.168, 0.024) (-0.150, 0.029)										
SVM	(-0.591, -0.424)	(-0.376, -0.190)	(-0.382, -0.198)	(-0.632, -0.470)						
MLP	(-0.546, -0.375)	(-0.344, -0.156)	(-0.307, -0.119)	(-0.562, -0.392)						
	-	Ensemble Classif	fiers							
DT <sup>m</sup>	(-0.454, -0.273)	(-0.370, -0.184)	(-0.390, -0.207)	(-0.571, -0.402)						
SVM <sup>m</sup>	(-0.584, -0.416)	(-0.396, -0.212)	(-0.356, -0.172)	(-0.605, -0.440)						
DTw	(-0.300, -0.110)	(-0.173, 0.020)	(-0.155, 0.037)	(-0.403, -0.219)						
SVM <sup>w</sup>	(-0.501, -0.326)	(-0.346, -0.160)	(-0.316, -0131)	(-0.565, -0.395)						

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**Fig. 1.** Confusion matrix between wound experts' decision labels



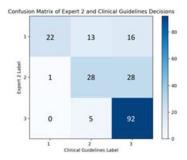
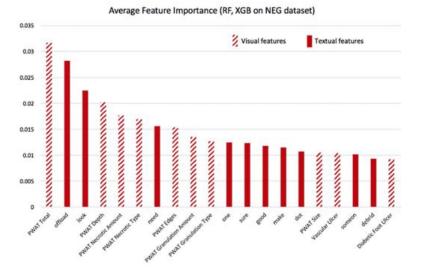


Fig. 2. Confusion matrices for clinical guidelines rules and wound experts.



**Fig. 3.** Average feature importance from Random Forest and XGBoost

**Table 1.**Treatment types, medical indicators, and wound examples categorized by decision

	Treatment / Medical Indicators	Wound Example				
Decision 1: Continue with current treatment.	No necrotic tissue (wound is clean) No debridement needed No spreading infection No bone or tendon visible No ischemia or had a prior vascular treatment Size of wound is small enough to not necessitate a skin graft Does not need offloading		For a small, uninfected wound, apply a gauze dressing.			
Decision 2: Request order for non-urgent change in treatment from wound specialist.	Change dressing type (if wound is too dry or too moist) VAC (vacuum assisted closure) (if wound is clean but needs closure or granulation assistance) Offloading (if in an area where pressure is an issue) Compression (if venous ulcer) Antibiotic (if signs of infection)		For a dry wound, apply a moist dressing.			
Decision 3: Refer patient to a wound specialist.	Debridement (if wound has necrotic tissue) Ascending ischemia (i.e., may indicate a need for revascularization) Wet gangrene Surgery if bone/tendon visible Amputation Skin graft (if wound is clean but of a large size)		For a wound needing surgical cleaning, recommend debridement.			

Table 2.

## Set of Visual Features

Wound Type				PWAT sub-scores							PWAT Total Score		
Diabetic foot	Venous	Arterial	Pressure	Surgical	Subscore 1	Subscore 2	Subscore 2	Subscore 4	Subscore 5	Subscore 6	Subscore 7	Subscore 8	Total score
0 or 1	0 or 1	0 or 1	0 or 1	0 or 1	0–4	0–4	0–4	0–4	0–4	0–4	0–4	0–4	0-32

Table 3.

# Text mining process with example comment

Text Mining Step	Example Comment
Original comment	"I don't see tendon. This is about 25% necrotic tissue. Thin, white, grey necrotic."
(Step 1) Mark negation	"I don't_NEG see_NEG tendon_NEG. This is about 25% necrotic tissue. Thin, white, grey necrotic."
(Step 2) Remove non-alphanumeric characters	"I don't_NEG see_NEG tendon_NEG This is about 25 necrotic tissue Thin white grey necrotic"
(Step 3) Lowercase	"i don't_NEG see_NEG tendon_NEG this is about 25 necrotic tissue thin white grey necrotic"
(Step 4) Tokenize	["i", "don't_NEG", "see_NEG", "tendon_NEG", "this", "is", "about", "25", "necrotic", "tissue", "thin", "white", "grey", "necrotic"]
(Step 5) Remove stop words	["see_NEG", "tendon_NEG", "25", "necrotic", "tissue", "thin", "white", "grey", "necrotic"]
(Step 6) Stemming	["see_NEG", "tendon_NEG", "25", "necrot", "tissu", "thin", "white", "grey", "necrot"]

# Table 4.

# Hyperparameters tuned

	Hyperparameter	Value
Decision Trees	Criterion	entropy, gini
	Max depth	5 – 20
	Min leaf samples	2 – 10
	Min samples split	2 – 10
SVM	Kernel	linear, polynomial, rbf
	С	0.001 – 1000
	Gamma	0.0001 – 100
MLP	Number of layers	3 – 7
	Number of neurons	50 – 500
	Dropout	0.0 - 1.0
	Activation	relu, selu
	Weight initialization	he_normal, random_uniform
Random Forest	Number of estimators	20 – 100
	Criterion	entropy, gini
	Max Depth	2 – 20
	Min leaf samples	2 – 10
	Min split samples	2 – 10
XGBoost	Number of estimators	20 – 100
	Eta (learn rate)	0.01 - 0.5
	Max delta step	1 – 10
	Min child weight	1 – 10
	Max depth	5 – 20
	Gamma	0.01 - 1.0
	Subsample	0.5 – 10
	Colsample by tree	0.5 – 1.0
	Lambda (L2 regularization)	1 – 5
HAN	Type of RNN unit	bi-LSTM, bi-GRU
	Number of RNN units in each layer	10 – 500
	Number of neurons in attention layer	50 – 300
	Number of neurons in dense layer	10 – 300
	Dropout	0.0, 0.5

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**Table 5.**Visual Classification Task results (grouped by decision policy)

Classifier	Policy 1	Policy 2	Policy 3	Policy 4	Average						
Baseline	0.548	0.409	0.304	0.517	0.445						
Single Classifiers											
<b>DT</b> 0.647 0.556 0.506 0.659 0.59											
SVM	0.743	0.612	0.584	0.766	0.676						
MLP	0.699	0.622	0.569	0.725	0.654						
	En	semble Cla	ssifiers								
DT <sup>m</sup>	0.652	0.631	0.581	0.714	0.645						
SVM <sup>m</sup>	0.720	0.626	0.552	0.736	0.659						
DTw	0.603	0.514	0.477	0.656	0.563						
SVM <sup>w</sup>	0.654	0.578	0.520	0.703	0.614						
Random Forest	0.750	0.673									
XGBoost	0.713	0.619	0.545	0.729	0.652						

Table 6.
Visual and Text Classification Task results (grouped by decision policy)

Classifier	Policy 1			Policy 2		Policy 3			Policy 4			
	В	NEG	PCA	В	NEG	PCA	В	NEG	PCA	В	NEG	PCA
Baseline		0.548			0.409			0.304			0.517	
					Single Cl	assifiers						
DT	0.627	0.606	0.605	0.560	0.576	0.497	0.578	0.574	0.577	0.710	0.719	0.628
SVM	0.758	0.764	0.752	0.660	0.671	0.634	0.706	0.688	0.683	0.782	0.785	0.770
MLP	0.761	0.754	0.729	0.628	0.616	0.590	0.644	0.636	0.632	0.735	0.753	0.737
				Er	semble (	Classifier	s					
DT <sup>m</sup>	0.703	0.712	0.707	0.642	0.646	0.618	0.660	0.687	0.717	0.761	0.772	0.716
SVM <sup>m</sup>	0.456	0.780	0.722	0.678	0.674	0.598	0.712	0.712	0.670	0.787	0.781	0.747
DTw	0.514	0.602	0.597	0.562	0.561	0.486	0.581	0.580	0.558	0.655	0.687	0.635
SVM <sup>w</sup>	0.760	0.756	0.724	0.662	0.675	0.601	0.703	0.697	0.675	0.775	0.777	0.740
Random Forest	0.778	0.781	0.769	0.689	0.717	0.645	0.706	0.706	0.675	0.797	0.802	0.782
XGBoost	0.743	0.732	0.768	0.671	0.659	0.648	0.705	0.702	0.727	0.805	0.810	0.764
		Dee	p Learni	ing Class	ifier (Ave	rage acr	oss polici	es = 0.60	1)			
HAN (SMOTE)		0.646			0.560			0.541			0.657	