# Complexity and Advanced Algorithms – Assignment 4

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#### Doubly logarithmic tree 1

We follow the defintion of a doubly logarithmic tree from JaJa. Let  $n = 2^{2^k}$ . A doubly logarithmic tree with n leaves is one where **each node** at the ith level has  $2^{2^{k-i-1}}$  children for  $0 \le i \le k-1$ .

Each node at the penultimate level k is defined to have 2 children. For example, if k = 2,  $n = 2^{2^2} = 2^4 = 16$ , and the number of children at each level will be:

$$i = 0 \mapsto 2^{2^{2^{-0-1}}} = 2^{2^1} = 2^2 = 4$$
$$i = 1 \mapsto 2^{2^{2^{-1-1}}} = 2^{2^0} = 2^1 = 2$$
$$i = 2 = k \mapsto 2$$

#### 1.1 **Depth of** $O(\log \log n)$

By definition, the tree has k levels. Since,  $n = 2^{2^k}$ ,  $k = \log(\log n)$ .

# Number of nodes at level i is $2^{2^k-2^{k-i}}$

Let us denote number of nodes at level i as nodes(i). First, notice that:

$$nodes(i) = nodes(i-1) \times (number of children at level i-1)$$

by definition of us having a tree structure.

*Proof.* We prove the given equality by induction on i, the level of the tree.

### 1.2.1 i = 0

When i = 0, we have 1 node. From the formula,  $nodes(0) = 2^{2^k - 2^{k-i}} =$  $2^{2^k - 2^{k - 0}} = 2^{0} = 1$ 

### 1.2.2 i = k + 1

We assume that  $nodes(i) = 2^{2^k - 2^{k-i}}$ .

From the recurrence written above,

$$nodes(i+1) = nodes(i) \times (\text{number of children at level } i)$$

$$= nodes(i) \times (\text{number of children at level } i)$$

$$= nodes(i) \times 2^{2^{k-i-1}}$$

$$= 2^{2^k - 2^{k-i}} \times 2^{2^{k-i-1}}$$

$$= 2^{2^k - 2^{k-i} + 2^{k-i-1}}$$

$$= 2^{2^k - 2 \cdot 2^{k-i-1} + 2^{k-i-1}}$$

$$= 2^{2^k - 2^{k-i-1}}$$

$$= 2^{2^k - 2^{k-i-1}}$$

$$= 2^{2^k - 2^{k-i-1}}$$

Hence, nodes(i+1) is consistent with the definition, and is therefore proved.

# 2 Problem 2

- Target: Time:  $O(\log n)$ . Ops O(n).
- A solves problem. Time:  $O(\log n)$ . Ops:  $O(n \log n)$ . A exceeds target in target Ops.
- B reduces size by a constant factor (say  $\frac{1}{2}$ ). Time:  $O(\log n / \log \log n)$ . Ops: O(n). C exceeds target in target Time.

# 2.1 Analysis

Notice that we cannot directly solve the problem by using A, since A takes  $O(n \log n)$  operations.

The only other option available is to repeat B till the problem size becomes small enough that we can run A.

Assume we repeat B for k rounds. This will bring the problem size from n to n' = n/k. If we wish for this reduced problem to be solved by A, then this takes  $O(n' \log n')$  operations. For our target operations constraint, we require that:

$$\begin{split} &O(n'\log n') = O(n)\\ &O(n/k\log n/k) = O(n)\\ &\text{This means that } \frac{\log n/k}{k} = O(1). \text{ Solving this:}\\ &\frac{\log n/k}{k} = O(1)\\ &\frac{\log n}{k} - \frac{\log k}{k} = O(1) \end{split}$$

The only solution for this is  $k = \log n$ .

Howveer, if  $k = \log n$ , then to repeat problem B for k rounds, we require  $k \cdot O(n) = n \log n$  operations!

So, it appears to be unsolvable using the above mentioned strategy, to get precisely the time bounds requested.

# 2.2 Approximate Solution

```
def solve(P):
    n = size(P)

# Reduce problem size of log (log n) rounds
for _ in range(log(log(n))):
    P = B(P)

# Solve problem of size n' with A.
A(P)
```

We first repeat algorithm B for r rounds, where  $r \equiv \log \log n$ . This gives us  $O(\log n/\log \log n \times r) = O(\log n)$  time.

This uses operations  $O(n \log \log n) \approx O(n)$ . Here, we perform the approximation that  $\log \log n \approx O(1)$ , which strictly speaking is incorrect, but is practically correct.

Running B for r rounds reduces the problem size from n to  $n/2^r = n/2^{\log \log n} = n/\log n$ . Let n' be the reduced problem size, where  $n' = n/\log n$ .

Now, let's check that running A on a problem of size n' does not use too many ops (since this was the bottleneck with problem size n):

 $n' \log n' = (n/\log n) \log(n/\log n) = n/\log n (\log n - \log \log n) = n - n \log \log n/\log n < O(n).$ 

Hence, Problem A will finish in the stipulated time.

# 3 All nearest smallest values to merging arrays

We are given a solution of ANSV which runs in time O(t(n)) and W(n) work. We must use this to merge to arrays of size n/2 each.

Assume n=2k to make the analysis simpler. We must merge arrays of size n/2=k each.

We first assume that the two arrays A, B are disjoint. We will extend the analysis to the non-disjoint case later.

Define  $rank(x, A) = |\{y \mid y \in A, y < x\}|$ . That is, the rank of an element x in a set A is the number of elements less than x in A. Note that sort(A)[rank(x, A)] = x. That is, rank(x, A) is the index of x if A were sorted.

Let S be a sorted array of length n. Let us create a new array S' which is S with an element e appended to it (that is, S'[0..n-1] = S[0..n-1], S'[n] = e). now, notice that:

**Lemma 1.** Let S be a sorted array of length n and v be a value. Let S' = S + [v]. That is, S' is the array S with a new nth element of v.

ANSV(S', n)+1 = ANSV of the nth element of S' = sorted position of v in S

*Proof.* ANSV(S', n) = i means that S'[i] < S'[n], and  $\forall gt > i$ ,  $S[gt] \not < S'[n]$ , by definition.

However, since S is sorted,  $S[gt] > S[i] \forall gt > i$ , and  $S[less] < S[i] \forall less < i$ . Hence,

$$S[0] < S[i] \dots S[i] < k < S[i+1] \dots S[n-1]$$

Hence,  $rank(S,k) = |\{ix \in [0..n] \mid S[ix] < k\}| = |[0..i]| = i+1 = ANSV(S',n) + 1.$ 

So, merging A and B would be the same as finding  $rank(x,A\cup B)=rank(x,A)+rank(x,B)$ . It is to find rank(x,A) that we will need to exploit the sorted structure of the two arrays, and the ANSV function.

## 3.1 Algorithm 1

This is based on a modified algorithm that uses ANSV to find the rank of an element, instead of binary search.

```
# implemented for us
def ANSV(sorted_arr, index):
    """Time complexity of O(t(n))"""
    pass

def rank(elem, sorted_arr):
    """Find the rank of element elem in a *sorted* array sorted_arr.
        Time complexity of O(t(n))
    """
```

```
return ANSV(sorted_arr + [elem], length(sorted_arr)) + 1

def merge(A, B):
    """ Merges two arrays A, B of length n / 2.
        Time complexity of O(t(n))
    """

# Results stored in 'out' array of length 'n'

for 1 <= i <= n / 2 pardo:
    # the rank of B[i] in B is i
    # we can find the rank of B[i] in A by using rank()
    # Time: O(t(n))
    bi_rank = i + rank(B[i], A)

# Time: O(1)
    out[bi_rank] = B[i]

return out</pre>
```

# 3.1.1 Work & Time complxity

we use n processors and t(n) + O(1) = t(n) time complexity, since we make n parallel calls to rank.

This makes makes the work  $W = n \times t(n)$ .