

### MASTER THESIS

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### Pseudofinite structures

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# Introduction

### 1. $F = F_{tree}$

#### 1.1 Basic observations

#### Example 1. Let

 $\mathcal{G}_k = \{([k], E); E \text{ consists of exactly one } n/2\text{-clique}\},$ 

and  $F = F_{tree}$ .

We will prove that for every  $t > \mathbb{N}$ :

$$[\Gamma \text{ has an } n^{1/t}\text{-clique}]$$
 (1.1)

$$= [(\exists \Lambda)(\forall u)(\forall v)(((u, v \le n^{1/t}) \to \Gamma(\Lambda(u), \Lambda(v))) \land (\Lambda : [n^{1/t}] \hookrightarrow \mathcal{M}))] \quad (1.2)$$

$$= \bigvee_{n} \bigwedge_{v} \prod_{v} [(u \neq v < n^{1/t}) \to (\Gamma(\Lambda(u), \Lambda(v)) \land \Lambda(u) \neq \Lambda(v))]$$
 (1.3)

$$=1. (1.4)$$

For  $j \in [n^{1/t}]$  let  $\Lambda_j$  to be a tree of depth  $j \cdot (n^{1/t})^2$  which first tries to find an edge  $1 \leftrightarrow k$  for  $k \in [n^{1/t}]$  if it fails than it tries to find  $2 \leftrightarrow k$  and so on. Once it finds some edge (i, k), then it starts again but from i + 1 until it finds the first j elelements of  $\Delta_{\omega}$  and responds with the j-th element. Since j is always bounded by  $n^{1/t}$ ,  $\Lambda$  really sends F to F.

#### Example 2. Let

$$\mathcal{G}_k = \{([k], E); E \text{ consists of exactly one edge}\}$$

and  $F = F_{tree}$ .

We will prove that

$$[\![(\exists x)(\exists y)\Gamma(x,y)]\!] = \mathbf{0}.$$

Let T be any binary tree of depth  $n^{1/t}$ ,  $t > \mathbb{N}$ , whose leaves are labeled by unordered pairs of edges.

Start from the root of T and always choose the path that corresponds to an edge not existing. At the end we obtain some answer, that gives us a set of at most  $2 \cdot n^{1/t} + 2$  vertices. Now we can find at least:

$$\binom{n-2n^{1/t}-2}{2} = \frac{(n-2n^{1/t}-2)(n-2n^{1/t}-3)}{2}$$
 (1.5)

$$=: m$$
 (1.6)

different  $\omega \in \Omega$  such that  $T(\omega)$  is not an edge in  $\omega$ . The standard part of the ratio the number of these counterexamples to  $\operatorname{st}(\frac{m}{|\mathcal{G}_n|}) = 1$ .

This proves that the boolean value we are considering is  $\mathbf{0}$  since we can combine the two witnesses for x and y into a tree that could find an edge with depth  $n^{1/t}$  for some  $t > \mathbb{N}$ .

**Theorem 1.** Let  $\varphi = (\forall \overline{x})\varphi_0(\overline{x})$  be a universal  $\{E\}$ -sentence, such that

$$\lim_{k \to \infty} \Pr_{G \in \mathcal{G}_k}(G \models \varphi) = 1.$$

Then  $\varphi$  is valid in the pseudofinite limit.

*Proof.* From  $\aleph_1$ -saturation of  $\mathcal{M}$  and our assumption, we know that for each  $m \in \mathbb{N}$  there exists a  $k_0 \in \mathbb{N}$  such that

$$\mathcal{M} \models (\forall k > k_0) \left( \Pr_{G \in \mathcal{G}_k} (G \models \varphi) > 1 - 1/m \right).$$

Therefore, since  $n > \mathbb{N}$ , we have that  $\operatorname{st}(\operatorname{Pr}_{G \in \mathcal{G}_n}(G \models \varphi)) = 1$  and therefore  $\llbracket \varphi_0(\overline{\alpha}) \rrbracket = \mathbf{1}$  for each tuple  $\overline{\alpha}$  in F.

Therefore

$$\llbracket \varphi \rrbracket = \bigwedge_{\overline{\alpha}} \llbracket \varphi_0(\overline{\alpha}) \rrbracket$$

$$= \bigwedge_{\overline{\alpha}} \mathbf{1}$$
(1.8)

$$= \bigwedge_{\overline{\alpha}} \mathbf{1} \tag{1.8}$$

$$= 1. (1.9)$$

**Theorem 2.** Let  $F = F_{tree}$ . Let  $\varphi_0(x_0, \ldots, x_{l-1})$  be a q.f.  $\{E\}$ -formula. Let  $0 , consider subset <math>A \subseteq [g_k]^l$  such that for all  $\overline{a} \in A$ 

$$\Pr_{G \in \mathcal{G}_k}(G \models \varphi_0(\overline{a})) \ge p$$

and

 $\{\{G \models \varphi_0(\overline{a})\} \subseteq \mathcal{G}_k; \overline{a} \in A\}$  are mutually independent.

moreover let  $A_k$  be the set with the largest cardinality that has this property.

If  $\lim_{k\to\infty} |A_k| = \infty$ , then  $[(\exists \overline{x})\varphi_0(\overline{x})] = 1$ .

*Proof.* Let  $\overline{x} = (x_0, \dots, x_{l-1})$ . Let  $T_{\overline{a}}$  be a tree of some standard depth d, that tests whether  $G \models \varphi_0(\overline{a})$ .

From  $\aleph_1$ -saturation of  $\mathcal{M}$  we have  $n' > \mathbb{N}$  many tuples  $\overline{a}_0, \ldots, \overline{a}_{n'-1} \in A_n$ , such that  $\Pr_{G \in \mathcal{G}_k}(G \models \varphi_0(\overline{a}_i)) \geq p$ , we can assume  $n' < n^{1/t_0}$  for some  $t_0 > \mathbb{N}$ .

For  $j \in [l]$  construct a tree  $T_j$  inductively as follows: Start with  $T_{\overline{a}_0}$ . Replace the label of every accepting leaf by  $(\overline{a}_0)_i$  and remove the label of every rejecting leaf. Call this tree  $T_i^0$ . Assume we have already constructed  $T_i^m$ . Construct  $T_i^{i+1}$  by appending  $T_{\overline{a}_{m+1}}$  to every undefined leaf, relabeling every satisfied leaf to  $(\overline{a}_{i+1})_j$  and removing labels from every rejecting leaf. We will define  $T_j$  as  $T_j^{n'}$ with undefined leafs labeled by 0. (This can be done, because all instances of induction are in Th(N).) Note that  $dp(T_i) = d \cdot n' < n^{1/t}$  for some t > N.

Call  $\overline{\alpha}$  the tuple computed by  $T_0, \ldots, T_{l-1}$ . We will prove that probability of  $\overline{\alpha}$  being a witness to  $\varphi_0(\overline{x})$  is 1. For each  $\overline{a}_i$  we have, that the probability of  $G \models \varphi_0(\overline{a}_i)$  is at least p. The mutual independence of  $\{G \models \varphi_0(\overline{a}_i); i \in [n']\}$  and the construction of  $T_j$  implies that  $T_j$  has a probability of  $(1-p)^{n'}$  of failing, which is obviously almost 0.

#### Example 3. Let

 $\mathcal{G}_k = \{([k], E); E \text{ has at least one edge, and can have a second one } 0E1\},$ 

and let 
$$F = F_{tree}$$
. Then  $\mu(\llbracket (\exists x)(\exists y)\Gamma(x,y)\rrbracket) = \frac{1}{2}$ .

*Proof.* Let  $T_0$  be a tree that always outputs 0 and  $T_1$  be a tree that always outputs 1. We can prove that  $\llbracket \Gamma(0,1) \rrbracket \geq \llbracket \Gamma(\alpha,\beta) \rrbracket$  for any  $\alpha,\beta$ .

#### Example 4. Let

 $\mathcal{G}_k = \{([k], E); E \text{ at least one edge, and may have exactly } k/2 \text{ more from start}\}$ and let  $F = F_{tree}$ . Then  $\mu([\![(\exists x)(\exists y)\Gamma(x,y)]\!]) = \frac{1}{2}$ .

**Definition 1.** We say that  $\{\mathcal{G}_k\}_{k=0}^{\infty}$  is **isomorphism closed**, if there is  $k_0$  such that for every  $k > k_0$  if we have that  $G_1 \in \mathcal{G}_k$ ,  $V_{G_2} = [g_k]$  and  $G_1 \cong G_2$  then  $G_2 \in \mathcal{G}_k$ .

We say that  $\{\mathcal{G}_k\}_{k=0}^{\infty}$  is **categorical** if there is  $k_0$  such that for every  $k > k_0$  if we have  $G_1, G_2 \in \mathcal{G}_k$  then  $G_1 \cong G_2$ . For a categorical sequence  $\{G_k\}_{k=0}^{\infty}$  we denote  $G_k$  the lexicographically minimal element of  $\mathcal{G}_k$ .

**Lemma 1.** Let  $\{\mathcal{G}_k\}_{k=0}^{\infty}$  be categorical and isomorphism closed, then for large enough k

$$|\mathcal{G}_k| = \frac{g_k!}{|\operatorname{Aut}(G_k)|}.$$

*Proof.* Every  $\rho \in S_{g_k}$  defines an isomorphism  $\rho : G_k \to \rho(G_k)$ , where  $\rho(G_k)$  is a graph obtained from  $G_k$  by renaming every vertex v to  $\rho(v)$ .

Claim: For any  $\rho, \pi \in S_{g_k}$ :

$$\rho(G_k) = \pi(G_k) \iff \exists \tau \in \operatorname{Aut}(G_k) : \rho \circ \tau = \pi.$$

Proof of claim. " $\Rightarrow$ " Let  $\rho(G_k) = \pi(G_k)$ , therefore  $\tau := \rho^{-1} \circ \pi \in \operatorname{Aut}(G_k)$  and  $\rho \circ \tau = \rho \circ \rho^{-1} \circ \pi = \pi$ .

"\( \Lefta \)" Let 
$$\rho \circ \tau = \pi$$
. Then  $\pi(G_k) = \rho(\tau(G_k)) = \rho(G_k)$ .  $\square$ 

Notice that the  $\tau$  in the statement of the claim is uniquely determinted by  $\rho^{-1} \circ \pi$ . Therefore if we defined a quotient set  $S_{g_k}/\sim$  with  $\rho \sim \pi \iff \rho(G_k) = \pi(G_k)$  then  $|S_{g_k}/\sim| = \frac{g_k!}{|\operatorname{Aut}(G_k)|}$ .

The Lemma follows from noticing that if we start with  $\{G_k\}$  and then we build  $\mathcal{G}_k$  by finding isomorphic graphs on the vertex set  $[g_k]$  we can only do so by trying different permutation from  $S_{g_k}$  and these permutations find the same graph if and only if they are in the same  $\sim$ -class. Therefore there is a bijection between  $S_{g_k}/\sim$  and  $\mathcal{G}_k$ .

**Lemma 2** (Candidate for optimal search trees). Let  $\{\mathcal{G}_k\}_{k=0}^{\infty}$  be categorical and isomorphism closed, let  $\varphi(x_0,\ldots,x_{l-1})$  be an open  $\{E\}$ -formula, let  $\models \varphi(\overline{x}) \to \bigwedge_{i,j=0}^{l-1,l-1} x_i =^{b_{ij}} x_j$  for some  $b_{ij} \in \{0,1\}$ , let  $k_0 \geq 0$  and define  $\{q_k\}_{k=k_0}^{\infty}$  as follows

$$q_k := \frac{g_k!}{|\mathrm{Aut}(G_k)|} \cdot \frac{|\varphi(G_k)|}{|\bigcup_{G \in \mathcal{G}} \varphi(G_k)|}.$$

Then there is  $c \in \mathbb{N}$  and trees  $T_0, \ldots, T_{l-1}$  of depth  $n^{(r)} \cdot c$ , (with  $n^{(r)}$  being defined in the proof) such that for the  $\overline{\alpha}$  computed by  $\overline{T}$  we have  $[\![\varphi(\overline{\alpha})]\!] = \mathbf{1}$ .

*Proof.* We will use the identity from the statement to construct a search tree (iterated  $T_{\overline{a}}$ ) which almost always finds a witness to  $\varphi$ .

We will analyze the problem in the finite case for big enough k > 0. We should only check those tuples included in  $\bigcup_{G \in \mathcal{G}_k} \varphi(G)$ . For example, if we are trying to find an edge then we need not check the constant tuples (a, a). Moreover, to succeed we only need to check one specific tuple in each  $\varphi(G)$ ,  $G \in \mathcal{G}_k$ .

Consider the set  $S = \{(G, \overline{a}); G \in \mathcal{G}_k, G \models \varphi(\overline{a})\}$  and a projection to the second coordinate  $p_2: S \to \bigcup_{G \in \mathcal{G}_k} \varphi(G)$ . Since  $|S| = \frac{g_k!}{|\operatorname{Aut}(G)|} \cdot |\varphi(G_k)|$  we have that  $q_k$  is the average size of a  $p_2$  preimage of any  $\overline{a} \in \bigcup_{G \in \mathcal{G}_k} \varphi(G)$ .

Claim: For all  $\overline{a}, \overline{b} \in \bigcup_{G \in \mathcal{G}_k} \varphi(G)$  we have  $|p_2^{-1}[\overline{a}]| = |p_2^{-1}[\overline{b}]| = q_k$ .

*Proof of claim.* We will prove that for any  $\overline{a}, \overline{b} \in \bigcup_{G \in \mathcal{G}_k} \varphi(G)$  we have  $|p_2^{-1}[\overline{a}]| \leq$  $|p_2^{-1}[\overline{b}]|$ , by symmetry, they must be equal and also equal to  $q_k$  which is the average size of any singleton preimage.

Let  $p_2^{-1}[\overline{a}] = \{G_0, \dots, G_{s-1}\} \times \{\overline{a}\}$  and let  $\rho = (b_0 \ a_0) \dots (b_{l-1} \ a_{l-1})$ , this is a permutation from the condition on  $\varphi$ . Then

$$p_2^{-1}[\overline{b}] \supseteq \{\rho(G_0), \dots, \rho(G_{s-1})\} \times \{\rho(\overline{a}) = \overline{b}\}. \quad \square$$

Now consider the multiset  $M = (\bigcup_{G \in \mathcal{G}_k} \varphi(G), \text{count} : \overline{a} \mapsto |p_2^{-1}[\overline{a}]|)$ , we will construct the searching tree by plucking elements from this multiset in the following way.

Let  $M^{(0)} := M$ ,  $\mathcal{G}_k^{(0)} = \mathcal{G}_k$ . For  $i \geq 0$  and  $M^{(i)}$ ,  $\mathcal{G}_k^{(i)}$  built, take some  $\overline{a} \in M^{(i)}$  with maximal count $(\overline{a})$ , put  $\mathcal{G}_k^{(i+1)} = \mathcal{G}_k^{(i)} \setminus p_2^{-1}[\overline{a}]$  and form  $M^{(i+1)}$  by removing  $\overline{a}$ , and for every  $\overline{b} \in p_1[p_2^{-1}[\overline{a}]] \setminus {\overline{a}}$  setting  $\operatorname{count}_{M^{(i+1)}}(\overline{b}) = \max\{0, \operatorname{count}_{M^{(i)}}(\overline{b}) - \operatorname{count}_{M^{(i)}}(\overline{b}) = \max\{0, \operatorname{count}_{M^{(i)}}(\overline{b}) - \operatorname{count}_{M^{(i)}}(\overline{b}) = \max\{0, \operatorname{count}_{M^{(i)}}(\overline{b}) - \operatorname{count}_{M^{(i)}}(\overline{b}) = \operatorname{count}_{M^{(i)}}(\overline{b}$  $(\varphi(G_k))$ . We also add  $T_{\overline{a}}$  to the leaves of the tree we are constructing  $T_i$  and call it  $T_{i+1}$ .

For each  $i \geq 0$  we have that  $T_i$  finds a witness in  $G \in \mathcal{G}_k$  iff  $G \notin \mathcal{G}_k^{(i)}$ . So to calculate the probability of success of  $T_i$  we just need to find upper bounds on the cardinality of  $\mathcal{G}_k^{(i)}$ .

Define  $m_i := \max\{\operatorname{count}(\overline{a}); \overline{a} \in M^{(i)}\}$ . Let  $k^{(0)} \geq 0$  be the greatest number such that for all  $i < k^{(0)}$ :  $m_i = q_k$ .

Define a set  $M_m^{(i)} = \{\overline{a}; \operatorname{count}_{M_i}(\overline{a}) = m_i\}$ . We can see, that  $k^{(0)} \geq 1$  and  $M^{(0)} = \bigcup_{G \in \mathcal{G}_k} \varphi(G)$ . At each step  $i < k^{(0)}$  we construct  $T_{i+1}$  by searching for some  $\overline{a} \in M_m^{(i)}$ , this results in  $\left|\mathcal{G}_k^{(i+1)}\right| = |\mathcal{G}_k^i| - q_k$ . We also remove one instance of every  $\overline{b} \in p_1[p_2^{-1}(\overline{a})] \setminus \{\overline{a}\}$  from  $M^{(i)}$  to form  $M^{(i+1)}$ , this results in  $\left|M_m^{(i+1)}\right| \geq 1$  $\left| M_m^{(i)} \right| - 1 - q_k \cdot (|\varphi(G_k) - 1|).$ Therefore

$$k^{(0)} \ge \left| \frac{\left| M_m^{(0)} \right|}{q_k \cdot |\varphi(G_k)|} \right| \tag{1.10}$$

$$= \left| \frac{\left| \bigcup_{G \in \mathcal{G}_k} \varphi(G) \right|}{q_k \cdot |\varphi(G_k)|} \right|, \tag{1.11}$$

$$\left| \begin{array}{c} q_k \cdot |\varphi(G_k)| \end{array} \right|^{\gamma}$$
 and 
$$\left| \mathcal{G}_k^{(k^{(0)})} \right| = |\mathcal{G}_k| - k^{(0)} \cdot q_k = \frac{|\operatorname{Aut}(G_k)|}{g_k!} - \left\lfloor \frac{\left| \cup_{G \in \mathcal{G}_k} \varphi(G) \right|}{q_k \cdot |\varphi(G_k)|} \right\rfloor \cdot q_k \leq \frac{|\operatorname{Aut}(G_k)|}{g_k!} - \left\lfloor \frac{\left| \cup_{G \in \mathcal{G}_k} \varphi(G) \right|}{|\varphi(G_k)|} \right\rfloor.$$

However the right hand side of the last inequality is rarely  $\leq 0$ , so generally one has to continue with plucking even after  $k^{(0)}$ -many steps. We define  $k^{(j)}$  as the greatest number such that for all  $i < k^{(j)} : m_i \geq q_k - j$  and continue for  $k^{(r)}$  steps, where r is the smallest number such that

$$\left| \mathcal{G}_k^{k^{(r)}} \right| = \left| \mathcal{G}_k \right| - k^{(0)} \cdot q_k - \sum_{j=1}^r (k^{(j)} - k^{(j-1)}) \cdot (q_k - j)$$
 (1.12)

$$=0. (1.13)$$

However, this requires a general analysis of  $k^{(j)}$  and I haven't manage to compute that.

For 
$$k = n$$
 in  $\mathcal{M}$  we put  $n^{(r)} := k^{(r)}$ .

### 1.2 $\mathcal{G}_k = \mathbf{ALL}_k$

**Theorem 3** (Everything exists). Let  $\varphi(\overline{x}, \overline{y}) = \bigwedge_{i=0}^{m-1} \psi_i(\overline{x}, \overline{y}) \wedge \bigwedge_{i=0}^{l-1} \vartheta_i(\overline{y})$ , where  $\psi_i, \vartheta_i$  are literals and  $\psi_i$  are not of the form  $(y_i = y_j)^b$ ,  $E(y_i, y_j)^b$ ,  $x_i \neq x_i$ ,  $E(x_i, x_i), b \in \{0, 1\}$ .

Let  $\overline{\beta}$  be a tuple of vertices computed by  $F_{tree}$  of the same length as  $\overline{y}$ . Then  $[(\exists \overline{x})\varphi^{\Gamma}(\overline{x},\overline{\beta})] = [\![ \bigwedge_{i=0}^{l-1} \vartheta_i(\overline{\beta}) ]\!]$ , specifically if l=0 then  $(\exists \overline{x})\varphi_0(\overline{x},\overline{\beta})$  is valid in the b.v. graph.

*Proof.* We will construct one tree T computing the whole tuple of witnesses  $\overline{\alpha}$ , such a construction can be straightforwardly split into a tuple of tree each computing the specific element.

First we concatenate all the trees used to compute  $\overline{\beta}$ . At each leave we can now proceed knowing the value of  $\overline{\beta}$  at the specific  $\omega \in \Omega$ . Now we just construct a tree as in Theorem 2 but searching only over edges not checked previously and only to fulfill each  $\psi_i$ . Luckily we have so far searched only an infinitesimal part of the edges and since we assume  $\mathcal{G}_k = \mathrm{ALL}_k$  both of the conditions of the theorem are satisfied, so by analogous argument, we have a tree that finds a witness all of the  $\psi_i(\overline{x}, \overline{\beta})$  with probability infinitesimally close to 1.

Therefore

$$[\![(\exists \overline{x})\varphi^{\Gamma}(\overline{x},\overline{\beta})]\!] = [\![(\exists \overline{x}) \bigwedge_{i=0}^{m-1} \psi_i(\overline{x},\overline{\beta})]\!] \wedge [\![\bigwedge_{i=0}^{l-1} \vartheta_i(\overline{\beta})]\!]$$
(1.14)

$$= \left[ \bigwedge_{i=0}^{l-1} \vartheta_i(\overline{\beta}) \right]. \tag{1.15}$$

**Corollary 1.** For each  $\varphi(\overline{x})$  that is not a tautology in the theory of graphs we have that  $[(\forall \overline{x})\varphi^{\Gamma}(\overline{x})] = \mathbf{0}$ .

Corollary 2. For each  $\varphi(\overline{x}, \overline{y})$  that is not falsifiable by  $\overline{y}$  in the theory of graphs we have that  $\llbracket(\forall \overline{y})(\exists \overline{x})\varphi^{\Gamma}(\overline{x})\rrbracket = \mathbf{1}$ .

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# Conclusion

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### A. Attachments

### A.1 First Attachment