



**FACULTY  
OF MATHEMATICS  
AND PHYSICS**  
Charles University

**MASTER THESIS**

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**Pseudofinite structures**

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Study programme: Mathematics

Study branch: Mathematics for Information  
technologies

Prague 2022

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Dedication.

Title: Pseudofinite structures

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Abstract: Abstract.

Keywords: key words

# Contents

<b>Introduction</b>	<b>2</b>
<b>Preliminaries</b>	<b>3</b>
<b>1 Forcing with random variables and the limit</b>	<b>4</b>
1.1 Setup . . . . .	4
<b>2 The limit</b>	<b>5</b>
2.1 Basic examples . . . . .	5
2.1.1 $\mathcal{G}_k = \text{EDGE}_k$ . . . . .	5
2.1.2 Sparse $\mathcal{G}_k$ . . . . .	5
2.2 Isomorphism closed $\mathcal{G}_k$ . . . . .	8
<b>3 <math>F = F_{tree}</math></b>	<b>10</b>
3.1 Basic observations . . . . .	10
3.2 $\mathcal{G}_k = \text{ALL}_k$ . . . . .	11
<b>4 <math>F = F_{nbtree}</math></b>	<b>13</b>
4.1 $\mathcal{G}_k = \text{*PATH}_k$ . . . . .	13
<b>Conclusion</b>	<b>18</b>
<b>Bibliography</b>	<b>19</b>

# Introduction

# Preliminaries

# 1. Forcing with random variables and the limit

## 1.1 Setup

Our goal in this chapter is to provide a definition of a limit of a set an infinite set of finite graphs in which arbitrarily large graphs occur.

The following definition makes our requirements of such a class of graphs precise.

**Definition 1.1.1.** Let  $\{\mathcal{G}_k\}_{k>0}$  be a sequence of finite sets of finite graphs. We call it a **wide sequence** if the following hold.

- There is an increasing sequence of positive whole numbers  $\{g_k\}_{k>0}$  such that the underlying set of each  $G \in \mathcal{G}_k$  is  $\langle g_k \rangle$ .
- $\lim_{k \rightarrow \infty} |\mathcal{G}_k| = \infty$

The second condition guarantees that  $\mathcal{G}_n$  is an infinite set for  $n > \mathbb{N}$ . Almost all interesting classes of graphs form a wide sequence if we restrict the vertex-sets to  $\langle g_k \rangle$ .

**Example 1.1.2.** TODO: Add some examples!

**Theorem 1.1.3.**



## 2. The limit

### 2.1 Basic examples

#### 2.1.1 $\mathcal{G}_k = \text{EDGE}_k$

We consider the classes of graphs

$$\text{EDGE}_k := \{(k, E); |E| = 1\},$$

and we let  $\mathcal{G}_k = \text{EDGE}_k$  and  $F = F_{\text{tree}}$ .

Intuitively one should not be able to find the edge on a significant i.e. non-infinitesimal fraction of samples with a tree that is allowed to explore only an infinitesimal fraction of edges.

**Theorem 2.1.1.** We will prove that

$$\llbracket (\exists x)(\exists y)\Gamma(x, y) \rrbracket = \mathbf{0}.$$

*Proof.* Let  $T \in F_{\text{tree}}$  be a tree of depth  $n^{1/t}$ , for some  $t > \mathbb{N}$  that outputs a pair of numbers less than  $n$ .

Start from the root of  $T$  and always choose the path that corresponds to an edge not existing. At the end we obtain some answer, that gives us a set of at most  $2 \cdot n^{1/t} + 2$  vertices. Now we can find at least:

$$\binom{n - 2n^{1/t} - 2}{2} = \frac{(n - 2n^{1/t} - 2)(n - 2n^{1/t} - 3)}{2} \quad (2.1)$$

different  $\omega \in \Omega$  such that  $T(\omega)$  is not an edge in  $\omega$ .

The probability that any of those graphs is sampled is

$$\frac{\binom{n - 2n^{1/t} - 2}{2}}{|\mathcal{G}_k|} = \frac{(n - 2n^{1/t} - 2)(n - 2n^{1/t} - 3)}{n(n - 1)} \quad (2.2)$$

$$= \left(1 - \frac{2n^{1/t} - 2}{n}\right) \cdot \left(1 - \frac{2n^{1/t} - 3}{n - 1}\right) \quad (2.3)$$

$$\geq \left(1 - \frac{2n^{1/t} - 2}{n}\right)^2 \quad (2.4)$$

$$\geq 1 - \frac{4n^{1/t} - 4}{n}. \quad (2.5)$$

And one can clearly see that  $\text{st}(1 - \frac{4n^{1/t} - 4}{n}) = 1$ . This proves that the boolean value we are considering is  $\mathbf{0}$  since we can the two witnesses for  $x$  and  $y$  into a tree that could find an edge with depth  $n^{1/t}$  for some  $t > \mathbb{N}$ .  $\square$

#### 2.1.2 Sparse $\mathcal{G}_k$

One can see that in Theorem 2.1.1 the exact properties of graphs in  $\mathcal{G}_k$  do not play a crucial role. If  $\mathcal{G}_k$  consisted of all graphs on  $k$  vertices containing say

exactly one triangle, or any other fixed subgraph of constant size, and no other edges, we would still find that the non-existence is valid in the limit graph.

A more general case would be to consider a family of graphs in which there is an infinitesimally small chance that two independent uniformly random vertices have an edge between. However, this is not sufficient.

**Example 2.1.2.** Let  $\mathcal{G}_k$  consist of those graphs on the vertex-set  $k$  which contain the edge  $E(0, 1)$  and then has exactly one other edge.

As  $k$  increases, the number of edges get smaller than any standard positive fraction. But

$$\llbracket (\exists x)(\exists y)E(x, y) \rrbracket = \mathbf{1},$$

as witnessed by  $x$  being the constant 0 and  $y$  the constant 1 both of which are computable by a tree of depth 0.

One can see that having distinguished vertices can ruin the sparseness implying the non-existence of edges in the limit graph. We want to distinguish from this situation by considering the sequences  $\mathcal{G}_k$  satisfying the following natural definition.

**Definition 2.1.3.** We say that  $\{\mathcal{G}_k\}_{k=0}^\infty$  is **isomorphism closed**, if there is  $k_0$  such that for every  $k > k_0$  if we have that  $G_1 \in \mathcal{G}_k$ ,  $V_{G_2} = g_k$  and  $G_1 \cong G_2$  then  $G_2 \in \mathcal{G}_k$ .

**Theorem 2.1.4.** Let an isomorphism closed  $\mathcal{G}_k$  have the following property. There is a sequence  $\{b_k\}_k$  and for big enough  $k$ , a uniformly sampled 2-element  $\{u, v\} \subseteq g_k$  and every  $G \in \mathcal{G}_k$  we have

$$\Pr[E_G(u, v)] \leq b_k,$$

and some  $k_0$  such that  $\lim_{k \rightarrow \infty} k^{1/k_0} b_k = 0$ . Then

$$\llbracket (\exists x)(\exists y)\Gamma(x, y) \rrbracket = \mathbf{0}.$$

*Proof.* Let us define the number  $c_{u,v} := |\{G \in \mathcal{G}_k; E_G(u, v)\}|$ , which is the number of graphs  $G$  in  $\mathcal{G}_k$  satisfying  $E_G(u, v)$ . Of course  $c_{u,u} = 0$  for every  $u$ .

**Claim:** Let  $u \neq v, u' \neq v'$  be vertices, then  $c_{u,v} = c_{u',v'}$ .

*proof of claim:* Let  $\rho := (uu')(vv')$  be a permutation with cycles  $(uu')$  and  $(vv')$ . We can let  $\rho$  act on  $\mathcal{G}_k$  by sending  $G$  to a graph  $\rho(G)$  which renames the edges coordinate-wise.

The fact that  $\mathcal{G}_k$  is isomorphism closed implies that  $\rho$  restricts to a bijection:

$$\rho' : \{G \in \mathcal{G}_k; E_G(u, v), \neg E_G(u', v')\} \rightarrow \{G \in \mathcal{G}_k; E_G(u', v'), \neg E_G(u, v)\}$$

which proves the claim. □

Now we define a matrix with entries

$$a_{G, \{u, v\}} := \begin{cases} 1 & E_G(u, v) \\ 0 & \text{otherwise} \end{cases}$$

where the rows are indexed by one of  $|\mathcal{G}_k|$  many graphs in  $\mathcal{G}_k$  and the columns are indexed by the  $\frac{k(k-1)}{2}$  many 2-element sets of numbers in  $k$ . We take any distinct

vertices  $u, v$  and define  $p := \Pr_{G \in \mathcal{G}_k}[E_G(u, v)] = \frac{c_{u,v}}{|\mathcal{G}_k|}$ , by the claim the choice of  $u, v$  does not matter.

The assumption from the statement is equivalent to the equality

$$\sum_{\{u,v\}} a_{G,\{u,v\}} \leq \frac{k(k-1)}{2} b_k$$

for every  $G$ . We combine this with the claim and the definition of  $p$  to get

$$\frac{k(k-1)}{2} |\mathcal{G}_k| p = \sum_{\{u,v\}} \sum_{G \in \mathcal{G}_k} a_{G,\{u,v\}} \quad (2.6)$$

$$= \sum_{G \in \mathcal{G}_k} \sum_{\{u,v\}} a_{G,\{u,v\}} \quad (2.7)$$

$$\leq |\mathcal{G}_k| \frac{k(k-1)}{2} b_k \quad (2.8)$$

which implies

$$p \leq b_k.$$

Now let  $k := n$  and let  $T \in F_{tree}$  be a tree of depth  $n^{1/t}$  for some  $t > \mathbb{N}$ , where every leaf of  $T$  is labeled by some edge. Walk down the tree  $T$  from the root by answering negatively to every edge, this gives us a set  $E_T$  of all edges  $T$  inspected or outputed and  $|E_T| \leq n^{1/t} + 1$ .

Now we just need to prove that the probability  $T$  find an edge is infinitesimally small. This is enough to prove the theorem, since the trees computing any two witnesses for  $x$  and  $y$  in the statement can be combined to construct  $T$  and if any tree  $T$  succeeds with only infinitesimally small probability, no random vertices can witness an edge on a set of non-zero measure.

We use the fact that  $p \leq b_n$  to derive

$$\Pr_{G \in \mathcal{G}_n} [T \text{ finds an edge}] \leq \sum_{\{u,v\} \in E_T} \Pr_{G \in \mathcal{G}_n} [E_G(u, v)] \quad (2.9)$$

$$= \sum_{\{u,v\} \in E_T} \frac{c_{u,v}}{|\mathcal{G}_n|} \quad (2.10)$$

$$\leq \sum_{\{u,v\} \in E_T} p \quad (2.11)$$

$$= (n^{1/t} + 1)p \quad (2.12)$$

$$\leq (n^{1/t} + 1)b_k \quad (2.13)$$

$$\leq n^{1/k_0} b_k \quad (2.14)$$

$$\approx 0, \quad (2.15)$$

which proves the theorem.  $\square$

The assumption  $\lim_{k \rightarrow \infty} k^{1/k_0} b_k = 0$  for some  $k_0$  may seem unintuitive at first. However, it precisely reflects what is “sparse” for the trees in  $T_{tree}$ . The following example shows that without the assumption the theorem fails.

**Example 2.1.5.** Let  $\mathcal{G}_k$  consist of all graphs on the vertex set  $\langle k \rangle$  with precisely  $\lceil \frac{k(k-1)}{2 \log k} \rceil$  edges.

Then we claim that

$$\llbracket (\exists x)(\exists y)\Gamma(x, y) \rrbracket = \mathbf{1}.$$

Let  $\alpha$  and  $\beta$  be vertices computed by the tree of the same shape which inspects a set of any  $n^{1/t}$  distinct edges for some  $t > \mathbb{N}$ . If it finds an edge we define  $\alpha$  and  $\beta$  in any way so they are the distinct vertices incidental with this edge. Otherwise we let  $\alpha(\omega) = \beta(\omega) = 0$ .

Let  $T$  be a tree of the same shape, that computes the pair  $\{\alpha, \beta\}$  then we can compute the probability where such a tree fails as the fraction of all graphs which have no edges that  $T$  inspects. Let  $m = \binom{n}{2}$ . We get

$$\Pr_{G \in \mathbb{G}_n} [T \text{ fails}] = \frac{\binom{m - n^{1/t}}{\lceil \frac{n(n-1)}{2 \log n} \rceil}}{\binom{m}{\lceil \frac{n(n-1)}{2 \log n} \rceil}} \quad (2.16)$$

$$= \frac{(m - n^{1/t})!}{\frac{\lceil \frac{n(n-1)}{2 \log n} \rceil! (m - \lceil \frac{n(n-1)}{2 \log n} \rceil - n^{1/t})!}{m!}} \quad (2.17)$$

$$= \frac{(m - n^{1/t})! (m - \lceil \frac{n(n-1)}{2 \log n} \rceil)!}{m! (m - \lceil \frac{n(n-1)}{2 \log n} \rceil - n^{1/t})!} \quad (2.18)$$

$$= \prod_{i=0}^{n^{1/t}-1} \frac{m - \lceil \frac{n(n-1)}{2 \log n} \rceil - i}{m - i} \quad (2.19)$$

$$= \left( 1 - \frac{\lceil \frac{n(n-1)}{2 \log n} \rceil}{\frac{n(n-1)}{2}} \right)^{n^{1/t}} \quad (2.20)$$

$$\leq \left( 1 - \frac{\lceil \frac{n(n-1)}{2 \log n} \rceil}{\frac{n(n-1)}{2}} \right)^{n^{1/t}} \quad (2.21)$$

$$\leq \left( 1 - \frac{1}{\log n} \right)^{n^{1/t}} \quad (2.22)$$

And for any standard  $k$  we have

$$\left( 1 - \frac{1}{\log n} \right)^{n^{1/t}} \leq \left( 1 - \frac{1}{\log n} \right)^{k \cdot \log n} \quad (2.23)$$

$$\leq (e^{-\frac{1}{\ln 2}})^k. \quad (2.24)$$

So  $\text{st}(\Pr_{G \in \mathbb{G}_n} [T \text{ fails}]) = 0$  and we get

$$\mu(\llbracket (\exists x)(\exists y)\Gamma(x, y) \rrbracket) \geq \mu(\llbracket \Gamma(\alpha, \beta) \rrbracket) \quad (2.25)$$

$$= \text{st}(1 - \Pr_{G \in \mathbb{G}_n} [T \text{ fails}]) \quad (2.26)$$

$$= 1. \quad (2.27)$$

## 2.2 Isomorphism closed $\mathcal{G}_k$

So far the measure of every truth value we encountered was either 0 or 1. Is there a sequence  $\mathcal{G}_k$  whose  $F_{tree}$ -limit and an  $\{E\}$ -sentence  $\varphi$  such that

$0 < \llbracket \varphi^\Gamma \rrbracket < 1$ ? As in the case of edge existence for a limit of sparse graphs, it is not hard to come up with an example if we allow distinguishing elements in  $\mathcal{G}_k$ .

**Example 2.2.1.** Let

$$\mathcal{G}_k = \{(\langle k \rangle, E); E \text{ has exactly two edges, one of them being } \{0, 1\}\},$$

and let  $F = F_{tree}$ . Then  $\mu(\llbracket (\exists x)(\exists y)\Gamma(x, y) \rrbracket) = \frac{1}{2}$ .

*Proof.* Let  $T_0$  be a tree that always outputs 0 and  $T_1$  be a tree that always outputs 1. We can prove that  $\llbracket \Gamma(0, 1) \rrbracket \geq \llbracket \Gamma(\alpha, \beta) \rrbracket$  for any  $\alpha, \beta$ .  $\square$

For the case of isomorphism closed  $\mathcal{G}_k$  we prove that every  $\{E\}$ -sentence has truth value either **0** or **1**. We start with the existential case.

**Theorem 2.2.2.** Let  $\varphi(\bar{x})$  be an  $\{E\}$ -formula, and let  $\mathcal{G}_k$  be isomorphism closed then

$$\llbracket (\exists \bar{x})\varphi(\bar{x}) \rrbracket \in \{\mathbf{0}, \mathbf{1}\}.$$

*Proof.* Let  $\bar{T}$  be a tuple of trees computing  $\bar{\alpha}$  such that

$$p := \mu(\llbracket \varphi(\bar{\alpha}) \rrbracket) > \mathbf{0}.$$

We want to iterate  $\bar{T}$  to amplify the probability of success.  $\square$

**Example 2.2.3.** NOT TRUE! Consider all graphs with one edge, or one non-edge.  $\mu(\llbracket (\exists x)(\exists y)\Gamma(x, y) \rrbracket) = \frac{1}{2}$ .

### 3. $F = F_{tree}$

#### 3.1 Basic observations

**Example 3.1.1.** Let

$$\mathcal{G}_k = \{([k], E); E \text{ consists of exactly one } n/2\text{-clique}\},$$

and  $F = F_{tree}$ .

We will prove that for every  $t > \mathbb{N}$ :

$$\llbracket \Gamma \text{ has an } n^{1/t}\text{-clique} \rrbracket \quad (3.1)$$

$$= \llbracket (\exists \Lambda)(\forall u)(\forall v)((u, v \leq n^{1/t}) \rightarrow \Gamma(\Lambda(u), \Lambda(v))) \wedge (\Lambda : [n^{1/t}] \hookrightarrow \mathcal{M}) \rrbracket \quad (3.2)$$

$$= \bigvee_{\Lambda} \bigwedge_u \bigwedge_v \llbracket (u \neq v < n^{1/t}) \rightarrow (\Gamma(\Lambda(u), \Lambda(v)) \wedge \Lambda(u) \neq \Lambda(v)) \rrbracket \quad (3.3)$$

$$= \mathbf{1}. \quad (3.4)$$

For  $j \in [n^{1/t}]$  let  $\Lambda_j$  to be a tree of depth  $j \cdot (n^{1/t})^2$  which first tries to find an edge  $1 \leftrightarrow k$  for  $k \in [n^{1/t}]$  if it fails than it tries to find  $2 \leftrightarrow k$  and so on. Once it finds some edge  $(i, k)$ , then it starts again but from  $i + 1$  until it finds the first  $j$  elements of  $\Delta_\omega$  and responds with the  $j$ -th element. Since  $j$  is always bounded by  $n^{1/t}$ ,  $\Lambda$  really sends  $F$  to  $F$ .

**Example 3.1.2.** Let

$$\mathcal{G}_k = \{([k], E); E \text{ consists of exactly one edge}\}$$

and  $F = F_{tree}$ .

**Theorem 3.1.3.** Let  $\varphi = (\forall \bar{x})\varphi_0(\bar{x})$  be a universal  $\{E\}$ -sentence, such that

$$\lim_{k \rightarrow \infty} \Pr_{G \in \mathcal{G}_k} (G \models \varphi) = 1.$$

Then  $\varphi$  is valid in the b.v. structure.

*Proof.* From  $\aleph_1$ -saturation of  $\mathcal{M}$  and our assumption, we know that for each  $m \in \mathbb{N}$  there exists a  $k_0 \in \mathbb{N}$  such that

$$\mathcal{M} \models (\forall k > k_0) \left( \Pr_{G \in \mathcal{G}_k} (G \models \varphi) > 1 - 1/m \right).$$

Therefore, since  $n > \mathbb{N}$ , we have that  $\text{st}(\Pr_{G \in \mathcal{G}_n} (G \models \varphi)) = 1$  and therefore  $\llbracket \varphi_0(\bar{\alpha}) \rrbracket = \mathbf{1}$  for each tuple  $\bar{\alpha}$  in  $F$ .

Therefore

$$\llbracket \varphi \rrbracket = \bigwedge_{\bar{\alpha}} \llbracket \varphi_0(\bar{\alpha}) \rrbracket \quad (3.5)$$

$$= \bigwedge_{\bar{\alpha}} \mathbf{1} \quad (3.6)$$

$$= \mathbf{1}. \quad (3.7)$$

□

**Theorem 3.1.4.** Let  $F = F_{tree}$ . Let  $\varphi_0(x_0, \dots, x_{l-1})$  be a q.f.  $\{E\}$ -formula. Let  $0 < p \leq 1$ , consider subset  $A \subseteq [g_k]^l$  such that for all  $\bar{a} \in A$

$$\Pr_{G \in \mathcal{G}_k} (G \models \varphi_0(\bar{a})) \geq p$$

and

$$\{\{G \models \varphi_0(\bar{a})\} \subseteq \mathcal{G}_k; \bar{a} \in A\} \text{ are mutually independent.}$$

moreover let  $A_k$  be the set with the largest cardinality that has this property.

If  $\lim_{k \rightarrow \infty} |A_k| = \infty$ , then  $\llbracket (\exists \bar{x}) \varphi_0(\bar{x}) \rrbracket = \mathbf{1}$ .

*Proof.* Let  $\bar{x} = (x_0, \dots, x_{l-1})$ . Let  $T_{\bar{a}}$  be a tree of some standard depth  $d$ , that tests whether  $G \models \varphi_0(\bar{a})$ .

From  $\aleph_1$ -saturation of  $\mathcal{M}$  we have  $n' > \mathbb{N}$  many tuples  $\bar{a}_0, \dots, \bar{a}_{n'-1} \in A_n$ , such that  $\Pr_{G \in \mathcal{G}_k} (G \models \varphi_0(\bar{a}_i)) \geq p$ , we can assume  $n' < n^{1/t_0}$  for some  $t_0 > \mathbb{N}$ .

For  $j \in [l]$  construct a tree  $T_j$  inductively as follows: Start with  $T_{\bar{a}_0}$ . Replace the label of every accepting leaf by  $(\bar{a}_0)_j$  and remove the label of every rejecting leaf. Call this tree  $T_j^0$ . Assume we have already constructed  $T_j^m$ . Construct  $T_j^{i+1}$  by appending  $T_{\bar{a}_{m+1}}$  to every undefined leaf, relabeling every satisfied leaf to  $(\bar{a}_{i+1})_j$  and removing labels from every rejecting leaf. We will define  $T_j$  as  $T_j^{n'}$  with undefined leaves labeled by 0. (This can be done, because all instances of induction are in  $\text{Th}(\mathbb{N})$ .) Note that  $\text{dp}(T_j) = d \cdot n' < n^{1/t}$  for some  $t > \mathbb{N}$ .

Call  $\bar{\alpha}$  the tuple computed by  $T_0, \dots, T_{l-1}$ . We will prove that probability of  $\bar{\alpha}$  being a witness to  $\varphi_0(\bar{x})$  is 1. For each  $\bar{a}_i$  we have, that the probability of  $G \models \varphi_0(\bar{a}_i)$  is at least  $p$ . The mutual independence of  $\{G \models \varphi_0(\bar{a}_i); i \in [n']\}$  and the construction of  $T_j$  implies that  $T_j$  has a probability of  $(1-p)^{n'}$  of failing, which is obviously almost 0.  $\square$

**Example 3.1.5.** Let

$$\mathcal{G}_k = \{([k], E); E \text{ at least one edge, and may have exactly } k/2 \text{ more from start}\}$$

and let  $F = F_{tree}$ . Then  $\mu(\llbracket (\exists x)(\exists y)\Gamma(x, y) \rrbracket) = \frac{1}{2}$ .

## 3.2 $\mathcal{G}_k = \text{ALL}_k$

**Theorem 3.2.1** (Everything exists). Let  $\varphi(\bar{x}, \bar{y}) = \bigwedge_{i=0}^{m-1} \psi_i(\bar{x}, \bar{y}) \wedge \bigwedge_{i=0}^{l-1} \vartheta_i(\bar{y})$ , where  $\psi_i, \vartheta_i$  are literals and  $\psi_i$  are not of the form  $(y_i = y_j)^b$ ,  $E(y_i, y_j)^b$ ,  $x_i \neq x_i$ ,  $E(x_i, x_i)$ ,  $b \in \{0, 1\}$ .

Let  $\bar{\beta}$  be a tuple of vertices computed by  $F_{tree}$  of the same length as  $\bar{y}$ . Then  $\llbracket (\exists \bar{x}) \varphi^\Gamma(\bar{x}, \bar{\beta}) \rrbracket = \llbracket \bigwedge_{i=0}^{l-1} \vartheta_i(\bar{\beta}) \rrbracket$ , specifically if  $l = 0$  then  $(\exists \bar{x}) \phi_0(\bar{x}, \bar{\beta})$  is valid in the b.v. graph.

*Proof.* We will construct one tree  $T$  computing the whole tuple of witnesses  $\bar{\alpha}$ , such a construction can be straightforwardly split into a tuple of tree each computing the specific element.

First we concatenate all the trees used to compute  $\bar{\beta}$ . At each leave we can now proceed knowing the value of  $\bar{\beta}$  at the specific  $\omega \in \Omega$ . Now we just construct a tree as in Theorem 3.1.4 but searching only over edges not checked previously

and only to fulfill each  $\psi_i$ . Luckily we have so far searched only an infinitesimal part of the edges and since we assume  $\mathcal{G}_k = \text{ALL}_k$  both of the conditions of the theorem are satisfied, so by analogous argument, we have a tree that finds a witness all of the  $\psi_i(\bar{x}, \bar{\beta})$  with probability infinitesimally close to 1.

Therefore

$$\llbracket (\exists \bar{x}) \varphi^\Gamma(\bar{x}, \bar{\beta}) \rrbracket = \llbracket (\exists \bar{x}) \bigwedge_{i=0}^{m-1} \psi_i(\bar{x}, \bar{\beta}) \rrbracket \wedge \llbracket \bigwedge_{i=0}^{l-1} \vartheta_i(\bar{\beta}) \rrbracket \quad (3.8)$$

$$= \llbracket \bigwedge_{i=0}^{l-1} \vartheta_i(\bar{\beta}) \rrbracket. \quad (3.9)$$

□

**Corollary 3.2.2.** For each  $\varphi(\bar{x})$  that is not a tautology in the theory of graphs we have that  $\llbracket (\forall \bar{x}) \varphi^\Gamma(\bar{x}) \rrbracket = \mathbf{0}$ .

**Corollary 3.2.3.** For each  $\varphi(\bar{x}, \bar{y})$  that is not falsifiable by  $\bar{y}$  in the theory of graphs we have that  $\llbracket (\forall \bar{y}) (\exists \bar{x}) \varphi^\Gamma(\bar{x}) \rrbracket = \mathbf{1}$ .



## 4. $F = F_{nbtree}$

### 4.1 $\mathcal{G}_k = *PATH_k$

**Definition 4.1.1.** We define  $*PATH_k$  (the pointed paths on  $k$  vertices) as the set of all (undirected) graphs  $G$  on the vertex set  $[k]$ , where  $G$  is isomorphic to the path on  $n$  vertices and  $\deg_G(0) = 1$ .

**Definition 4.1.2.** After we fix  $n$ , we define  $F_{nbtree}$  as the set of all functions computed by some some labeled tree with the following shape:

- Each non-leaf node is labeled by some  $v \in [n]$ .
- For each  $\{u, v\} \subseteq [n]$  and a node  $N$  there is an outgoing edge from  $N$  labeled  $A$ .
- Each leaf is labeled by some  $m \in \mathcal{M}_n$ .
- The depth of the tree is at most  $n^{1/t}$  for some  $t > \mathbb{N}$ .

Computation of such a tree on a undirected graph  $G$  goes as follows. We interpret the non-leaf nodes as questions "what is the neighbour set of  $v$ ?" and the edges as answers from our graph  $G$ , and thus we follow a path determined by  $G$  until we find a vertex for which the answer is not an edge (in which case the computation returns 0) or until we find a leaf, in which case the computation returns the label of the leaf.

We now shift out focus to analysing the ability of trees from  $F_{nbtree}$  to find the non-zero degree 1 vertex in  $G \in *PATH_n$ . We say a tree  $T \in F_{nbtree}$  fails at a graph  $G$  if  $T(G)$  is not a non-zero vertex of degree one in  $G$ .

**Definition 4.1.3.** Let  $m \leq n$  and  $v \in [w]$  and  $U \subseteq [w]$  with  $|U| \leq 2$ , then we define

$$\mathcal{G}_m^{v?=U} := \{G \in \mathcal{G}_m; N_G(v) = U\},$$

where  $N_G$  is the neighbour-set function of  $G$ .

**Lemma 4.1.4.** There are bijections for all nonstandard  $m \leq n$  and distinct  $u, v, w \in [m] \setminus \{0\}$ :

$$\mathcal{G}_m^{v?=\{u,w\}} \cong \mathcal{G}_{m-2} \times [2] \tag{4.1}$$

$$\mathcal{G}_m^{v?=\{u,0\}} \cong \mathcal{G}_{m-2} \tag{4.2}$$

$$\mathcal{G}_m^{0?=\{u\}} \cong \mathcal{G}_{m-1}. \tag{4.3}$$

*Proof.* (sketch) For (4.1) we can just contract all of  $u, v, w$  into one vertex and relabel the rest of the graph, leaving the orientation as a one remaining bit of information. This is obviously reversible and a bijection.

For (4.2) we can do the same, but the orientation is given by 0.  $\square$

**Lemma 4.1.5.** Let  $T \in F_{nbtree}$ , with root labeled  $v \in [m] \setminus 0$ , we have for each  $T_{v?=\{u,w\}}$  a tree  $\tilde{T}_{v?=\{u,w\}}$  of the same depth, such that

$$P_m(T_{v?=\{u,w\}} \text{ fails} | v? = \{u, w\}) = P_{m-2}(\tilde{T}_{v?=\{u,w\}}). \quad (4.4)$$

For a tree  $T$  with the root labeled 0, we have a tree  $\tilde{T}_{v?=\{u,w\}}$  of the same depth, such that

$$P_m(T_{v?=\{u\}} \text{ fails} | v? = \{u\}) = P_{m-1}(\tilde{T}_{v?=\{u\}}). \quad (4.5)$$

*Proof.* (sketch) To construct the tree, we just replace all vertices in labels of  $T_{v?=\{u,w\}}$  by there renumbering from the bijection in (4.1).

(TODO: Elaborate) One can then check that the trees  $T_{v?=\{u,w\}}$  and  $\tilde{T}_{v?=\{u,w\}}$  are isomorphic in a sense that their computation of a graph  $G$  and  $\tilde{G}$  respectively,  $\tilde{G}$  being the corresponding  $(m-2)$ -vertex graph, agree with the structure of the path and that correctness of leaves is preserved under the renumbering. Essentially they emulate the same computation but on a smaller graph.  $\square$

**Lemma 4.1.6.** For all nonstandard  $t > \mathbb{N}$ ,  $m \geq n - 2n^{1/t}$  and  $k \in [n^{1/t} + 1]$  for all trees  $T \in F_{nbtree}$  of depth  $k$  we have

$$P_m(T \text{ fails}) \geq \prod_{i=0}^k \left(1 - \frac{2}{m - 2i - 2}\right).$$

*Proof.* We proceed by induction on  $k$ .

$k = 0$  : We have that the probability of success of a straight guess is at most  $\frac{1}{m-1}$ . Therefore

$$P(T \text{ fails}) \geq \left(1 - \frac{1}{m-1}\right) \geq \left(1 - \frac{2}{m-2}\right). \quad (4.6)$$

$(k-1) \Rightarrow k$  : First we assume that the root is labeled 0. Then we have

$$P(T \text{ fails}) = \sum_{u \in V \setminus \{0\}} P_{m-1}(0Eu) P_{m-1}(T_{0?=\{u\}} \text{ fails} | 0Eu) \quad (4.7)$$

$$\geq P_{m-1}(T_{0?=\{u\}} \text{ fails} | 0Eu) \quad (4.8)$$

$$= P_{m-1}(\tilde{T}_{0?=\{u\}} \text{ fails}) \quad (4.9)$$

$$\geq \prod_{i=0}^{k-1} \left(1 - \frac{2}{m - 2i - 2}\right) \quad (4.10)$$

$$\geq \prod_{i=0}^k \left(1 - \frac{2}{m - 2i - 2}\right). \quad (4.11)$$

Now we assume that the root is labeled  $v \neq 0$ . First we notice that

$$P_m(vE0) = \frac{1}{m-1} \quad (4.12)$$

$$P_m(N(V) = 1) = \frac{1}{m-1} \quad (4.13)$$

$$P_m(|N(V) \setminus \{0\}| = 2) = 1 - \frac{2}{m-1}, \quad (4.14)$$

the first two probabilities are obviously  $\frac{1}{m-1}$  because they correspond to  $v$  being positioned on one of the ends of the non-zero segment which has length  $m-1$ . The event in (4.14) is the complement of the union of the first two events, which have empty interseption, giving us that stated probability.

Then we have

$$P_m(T \text{ fails}) = P_m(vE0)P_m(T \text{ fails}|vE0) \quad (4.15)$$

$$+ P_m(|N(v) \setminus \{0\}| = 2)P_m(T \text{ fails}| |N(v) \setminus \{0\}| = 2) \quad (4.16)$$

$$+ P_m(|N(v)| = 1)P_m(vE0)P_m(T \text{ fails}| |N(v)| = 1) \quad (4.17)$$

$$\geq P_m(|N(v) \setminus \{0\}| = 2)P_m(T \text{ fails}| |N(v) \setminus \{0\}| = 2) \quad (4.18)$$

$$\geq (1 - \frac{2}{m-1}) \quad (4.19)$$

$$\cdot \sum_{\substack{u, w \in V \setminus \{0\} \\ u \neq w}} P_m(v? = \{u, w\})P_m(T_{v?=\{u, w\}} \text{ fails}|v? = \{u, w\}) \quad (4.20)$$

$$\geq (1 - \frac{2}{m-1})P_m(T_{v?=\{u_0, w_0\}} \text{ fails}|v? = \{u_0, w_0\}) \quad (4.21)$$

$$\geq (1 - \frac{2}{m-1})P_{m-2}(\tilde{T}_{v?=\{u_0, w_0\}} \text{ fails}) \quad (4.22)$$

$$\geq (1 - \frac{2}{m-1}) \prod_{i=0}^{k-1} (1 - \frac{2}{m-2i-4}) \quad (4.23)$$

$$\geq (1 - \frac{2}{m-2}) \prod_{i=1}^k (1 - \frac{2}{m-2i-2}) \quad (4.24)$$

$$\geq \prod_{i=0}^k (1 - \frac{2}{m-2i-2}). \quad (4.25)$$

where in (4.21) we choose  $u_0, w_0$  with the lowest value of

$$P_m(T_{v?=\{u_0, w_0\}}|v? = \{u_0, w_0\}),$$

the bound follows the fact that all  $P_m(v? = \{u, w\})$  are the same for distinct non-zero  $u, w$ . In (4.22) we use the lemma 4.1.5 and in (4.23) we use the induction hypothesis.  $\square$

**Corollary 4.1.7.** For a tree  $T \in F_{nbtree}$  we have that

$$P_n(T \text{ fails}) \approx 1.$$

*Proof.* Since  $T$  has depth at most  $n^{1/t}$  for some  $t > \mathbb{N}$  we by the previous lemma that

$$P_n(T \text{ fails}) \geq \prod_{i=0}^{n^{1/t}} \left(1 - \frac{2}{n-2i-2}\right) \quad (4.26)$$

$$\geq \left(1 - \frac{2n^{1/t}}{n-2n^{1/t}-2}\right) \quad (4.27)$$

$$\approx 1. \quad (4.28)$$

$\square$

Finally we can prove the following theorem.

**Theorem 4.1.8.**

$$\llbracket (\exists v)(\exists u)(\forall w)((v \neq 0) \wedge (\Gamma(v, u)) \wedge (\Gamma(v, w) \rightarrow u = w)) \rrbracket = \mathbf{0}$$

*Proof.* Expanding the value of the formula in the statement we get

$$\bigvee_{\alpha} \bigvee_{\beta} \bigwedge_{\gamma} \llbracket (\alpha \neq 0) \wedge (\Gamma(\alpha, \beta)) \wedge (\Gamma(\alpha, \gamma) \rightarrow \beta = \gamma) \rrbracket,$$

to prove it evaluates to  $\mathbf{0}$  we need to find for every  $\alpha, \beta$  some  $\gamma$  such that

$$\llbracket (\alpha \neq 0) \wedge (\Gamma(\alpha, \beta)) \wedge (\Gamma(\alpha, \gamma) \rightarrow \beta = \gamma) \rrbracket = \mathbf{0}.$$

For any  $\alpha, \beta$  we define

$$\gamma(\omega) := \begin{cases} v & N(\alpha(\omega)) = \{v\} \\ w & w \in N(\alpha(\omega)) \setminus \{\beta(\omega)\}, \end{cases}$$

such a function can be computed by a tree in  $F_{nbtree}$  which we can construct by concatenation of trees computing  $\alpha$  and  $\beta$ .

Let  $T$  be the tree computing  $\alpha$ . Now we proceed by contradiction, let

$$\epsilon := \mu(\llbracket (\alpha \neq 0) \wedge (\Gamma(\alpha, \beta)) \wedge (\Gamma(\alpha, \gamma) \rightarrow \beta = \gamma) \rrbracket) > 0,$$

by definition this means that

$$\epsilon = \text{st}(P_n[(\alpha \neq 0) \wedge (\Gamma(\alpha, \beta)) \wedge (\Gamma(\alpha, \gamma) \rightarrow \beta = \gamma)]) > 0.$$

Expanding the value of the formula in the statement we get

$$\bigvee_{\alpha} \bigvee_{\beta} \bigwedge_{\gamma} \llbracket (\alpha \neq 0) \wedge (\Gamma(\alpha, \beta)) \wedge (\Gamma(\alpha, \gamma) \rightarrow \beta = \gamma) \rrbracket,$$

to prove it evaluates to  $\mathbf{0}$  we need to find for every  $\alpha, \beta$  some  $\gamma$  such that

$$\llbracket (\alpha \neq 0) \wedge (\Gamma(\alpha, \beta)) \wedge (\Gamma(\alpha, \gamma) \rightarrow \beta = \gamma) \rrbracket = \mathbf{0}.$$

For any  $\alpha, \beta$  we define

$$\gamma(\omega) := \begin{cases} v & N(\alpha(\omega)) = \{v\} \\ w & w \in N(\alpha(\omega)) \setminus \{\beta(\omega)\}, \end{cases}$$

such a function can be computed by a tree in  $F_{nbtree}$  which we can construct by concatenation of trees computing  $\alpha$  and  $\beta$ .

Let  $T$  be the tree computing  $\alpha$ . Now we proceed by contradiction, let

$$\epsilon := \mu(\llbracket (\alpha \neq 0) \wedge (\Gamma(\alpha, \beta)) \wedge (\Gamma(\alpha, \gamma) \rightarrow \beta = \gamma) \rrbracket) > 0,$$

by definition this means that

$$\epsilon = \text{st}(P_n[(\alpha \neq 0) \wedge (\Gamma(\alpha, \beta)) \wedge (\Gamma(\alpha, \gamma) \rightarrow \beta = \gamma)]) > 0.$$

But by definition of  $\gamma$  and Corollary 4.1.7 we have

$$\begin{aligned}
0 &< \epsilon \\
&= \text{st}(P_n[(\alpha \neq 0) \wedge (\Gamma(\alpha, \beta)) \wedge (\Gamma(\alpha, \gamma) \rightarrow \beta = \gamma)]) \\
&\leq \text{st}(P_n[(\alpha \neq 0) \wedge (\Gamma(\alpha, \beta)) \wedge |N(\alpha)| = 1]) \\
&\leq \text{st}(P_n[(\alpha \neq 0) \wedge |N(\alpha)| = 1]) \\
&= \text{st}(P_n[T \text{ does not fail}]) \\
&= 0.
\end{aligned}$$

A contradiction. □

# Conclusion

# Bibliography