

Title of Research:

**Method and its Composition for encapsulation,
stabilization, and delivery of siRNA in Anionic polymeric
nanoplex: An *In vitro*- *In vivo* Assessment**

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- *NATURE: Scientific Reports*, 2019,9,16047 (IF: 4.43)
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Research Curiosity

siRNA delivery system (also known as Transfecting reagents) have a huge market (1000 million US\$; expected to rise to 1500 million US\$ by 2025). Among siRNA delivery systems currently available in market, Lipofectamine 2000™ is one of the most widely adopted (58% market-share). Even though it is a widely used delivery system, it does not completely fulfil the requirement of an ideal transfecting reagent due to its high cost, cytotoxicity (*in vitro* & *in vivo*), endosomal degradation as well as low *in vivo* translational capability. This creates a big immediate need for the development of a new viable alternative.

This work by Dr. Tekade reports the development of a cost-effective as well as a simple mix-and-deliver type transfecting reagent to offer a viable *in vivo* applicable alternative to marketed Lipofectamine 2000™ to fill the gap in existing transfection technology (**Patent: # 201921019898; Date:20/05/2019**)

Abstract

small interfering RNA (siRNA) are synthetic RNA duplex designed to specifically knockdown the abnormal gene to treat a disease at cellular and molecular levels. In spite of their high potency, specificity, and therapeutic potential, the full-fledged utility of siRNA is predominantly limited to *in vitro* set-up. Till date, Onpatro is the only USFDA approved siRNA therapeutics available in the clinic. The lack of a reliable *in vivo* siRNA delivery carrier remains a foremost obstacle towards the clinical translation of siRNA therapeutics.

To address the obstacles associated with siRNA delivery, we developed and tested a unique, simple and clinically translatable approach (@dendrimer-templating of polymeric system) involving a USFDA approved biopolymer (albumin) for *in vitro* as well as *in vivo* delivery of siRNA. The developed approach is simple in application, and shown capability to enhance the serum stability, avoids *in vivo* RNase-degradation and mediates cytosolic delivery of siRNA following the endosomal escape process. The successful *in vitro* and *in vivo* delivery of siRNA, as well as targeted gene knockdown potential, was demonstrated by HDAC4 inhibition in *vitro* diabetic nephropathy (DN) podocyte model as well as in *vivo* DN C57BL/6 mice model.

The developed approach has been tested using HDAC4-siRNA as a model therapeutics, while the application can also be extended to other gene therapeutics including microRNA (miRNA), plasmids oligonucleotides, etc.

Introduction

Ribonucleic acid interference (RNAi) refers to a post-transcriptional gene silencing tool to neutralize or silence the pathological protein via activating RNA-induced silencing complex (RISC), endogenously. Gene allows clinicians to treat a disease by administering RNAi therapeutics (such as small interfering RNA (siRNA) or micro RNA (miRNA)) into a patient's instead of using drugs or surgical interventions¹. However, the degradation of administered siRNA by circulatory RNase, their short half-life ($t_{1/2}$) as well as rapid renal clearance are some of the prime challenges that complicate the clinical translation of siRNA therapeutics^{2,3}. Further, the endo-lysosomal trapping of delivered siRNA is yet another key issue that results in the enzymatic-degradation of delivered siRNA leading to null-effect. Ideally, the siRNA delivery vector must find their way to escape from the endosomes/lysosomes compartment after entering inside the target cell to efficiently release the loaded siRNA in the cytosolic compartment⁴. Failure to overcome this barrier would significantly weaken or even totally eliminate the therapeutic effect of siRNA.

Initially, the viral vectors have been employed to deliver siRNA into the cell. However, the stimulation of the immune system through the activation of viral pathogens was found to be the foremost hurdle⁵⁻⁷. Tremendous efforts were made to develop a non-viral and clinically translatable approach for the intracellular transfection of siRNA⁸. In this context, Lipofectamine is the most widely employed siRNA transfection materials, but its application is primarily limited to *in vitro* set-up⁹. Some modified versions of lipofectamine have been developed, however, none of the existing modalities represents an ideal carrier to facilitate clinical translation of siRNA therapeutics. Invivofectamine and in vivo-jet-PEI are some of

the potential siRNA carriers, but the applications of these agents are primarily restricted for the delivery of siRNA to the liver¹⁰.

Some of the novel approaches for delivery of siRNA/miRNA including smarticles for miR-34a delivery *in vivo*¹¹, ligand-mediated N-acetyl glucosamine-siRNA (GalNAc) delivery to liver¹², folate gated miRNA for breast and lung cancer¹³, other lipidic nanoparticles¹⁴ as a siRNA delivery tactics are currently under preclinical and clinical trials. Recently, the USFDA has approved the lipidic nanoparticle for the delivery of siRNA (Patisiran: Onpattro; by Alnylam Pharmaceuticals) to treat polyneuropathy in patients with hereditary transthyretin-mediated (hATTR) amyloidosis^{15,16}. It has been largely advocated that polymeric vectors could offer numerous advantages over the viral vector, for instance, eliminating the off-target effect, prolonged extracellular or serum stability, non-immunogenicity, and easy formulation steps to name the few¹⁷. Quality-by-design (QbD) driven synthesis of cationic polymeric nano vector (CPNVs), incorporation of the fitting level of crosslinking agents, pH redox-responsive units, osmo-responsive agents, etc. are some of the typical strategies to improve siRNA encapsulation, stabilization and delivery capabilities of polymeric vectors⁸. In an attempt to promote the escape of polymeric vectors from endosomes/lysosomes, moieties that elevate intra-osmotic pressure in endosomes/lysosomes were extensively employed to eventually burst these compartments. However, the premature separation of siRNA from the polymeric vectors in the body fluids, and subsequent degradation by endogenous serum nucleases still stand as the unaddressed challenges¹⁸.

Several cell-penetrating peptides (CPPs) were developed to increase the extent of cytosolic delivery of siRNAs and other genetic materials¹⁹. However, despite three decades of research, the fundamental basis for CPP activity remains elusive and non-conclusive. The biomedical application and clinical translation of most of the siRNA delivery devices have been impeded to a great extent due to its poor containment property as well as associated toxicities²⁰. To facilitate the intracellular disassembly and facilitate cytosolic release of loaded siRNA, the stimuli-sensitive linkages with the capability to get readily cleaved in response to intracellular acidity or redox conditions were also coupled with PNVs²¹. However, the delivery capability of these hybrid vectors is largely compromised by complex physiological and biological barriers, which restrict their applications in a clinical setting²².

Albumin, a natural polymer offers an optimal platform for the development of the drug as well as siRNA delivery vehicles pertain to the countless advantages including abundantly available protein, easy modification, easy purification, non-immunogenic, biodegradable,

inertness, encapsulation of hydrophilic payload in the hydrophobic corona and low cost²³. An albumin-based platform for the delivery of anticancer drug Paclitaxel has already made its way to the market in the form of a USFDA approved product (Abraxane; Celgene Corporation, USA)²⁴. Literature suggests that albumin-based nanoparticle selectively binds podocytes of renal bowman's capsule via FcRn receptor to regulate proteinuria²⁵. This makes albumin an advantageous biopolymer for the development of siRNA delivery vector. However, the inherent architectural configuration of albumin is not adequate to guarantee a complete escape of the nanovector from the endo-lysosomal compartment as well as protect the loaded siRNA therapeutics from the harsh endo-lysosomal environment. Further, the anionic carboxylic side chain of albumin imparts limitation towards the encapsulation of anionic siRNA molecules (due to the existence of anionic phosphate side chain in siRNA architect)^{26,27}.

Histone deacetylases (HDACs) have been implicated in Podocyte dysfunction to mediate the onset and development of diabetic nephropathy (DN)^{28,29}. The development of HDAC4-specific gene inhibitors may provide an efficacious therapeutic tool for DN. Hence, in this study, we aim to deliver HDAC4 siRNA using the innovative siRNA delivery tool developed by us as a proof of concept. We evaluate and report its *in vitro* and *in vivo* HDAC4 gene silencing capability using podocytes as well as in DN mouse model. The core goal of this study was to develop and test the siRNA delivery vehicle that can offer *in vivo* stabilization, overcome endosomal degradation, and can mediate intracellular siRNA delivery (**Fig. 1A**). For proof of concept; we employed HDAC4-siRNA in the treatment of DN, however, the reported technology can be extended to other gene therapeutics viz miRNA, plasmid, etc. to name the few.

Results

Screening of Dendrimer/siRNA (*d*:siR) complex. The binding efficiency of siRNA with the polycationic dendrimer template was investigated by determining the band binding efficiency and band intensity of the siRNA (**Fig. 1B-C**). The results infer that at a *surface to charge ratio* (*n/p*) of 1 and 0.5, the binding of siRNA with dendrimer template was $94.78 \pm 1.07\%$ and $90.50 \pm 0.93\%$, respectively. The binding of siRNA with dendrimer template was well complemented by the absence of migration of free siRNA in the gel electrode compared to other *n/p* ratios. On the other hand, upon reducing the *n/p* ratio to 0.25 or 0.125, the binding efficiency significantly reduced to $30.25 \pm 2.85\%$ ($p < 0.001$) and $18.33 \pm 19.26\%$ ($p < 0.001$), respectively. The ineffective siRNA binding at *n/p* ratio below 0.5 can be seen by the emergence of band intensities corresponding to free/uncomplexed siRNA. This suggests that a specific *d*:siR composition and *n/p* ratio (*d*:siR: *n/p* ratio 0.5) is required to form an effective *d*:siR complex **Fig.1B-C**.

Net Surface zeta potential of *d*:siR complex. The surface zeta potential of *d*:siR complex formed at various *n/p* ratio was determined (**Fig. 1D**). The free dendrimer bears a net positive surface zeta potential of $+24.04 \pm 3.52$ mV, which upon complexation with siRNA reduced to $+20.06 \pm 2.00$ mV, $+16.76 \pm 1.37$ mV, $+13.24 \pm 2.91$ mV, and $+11.57 \pm 1.45$ mV, respectively of *d*:siR formed using *n/p* ratio of 1, 0.5, 0.25, and 0.125, respectively.

**Signed details of the excellence in research work
for which the Sun Pharma Research Award is claimed, including references and illustrations**

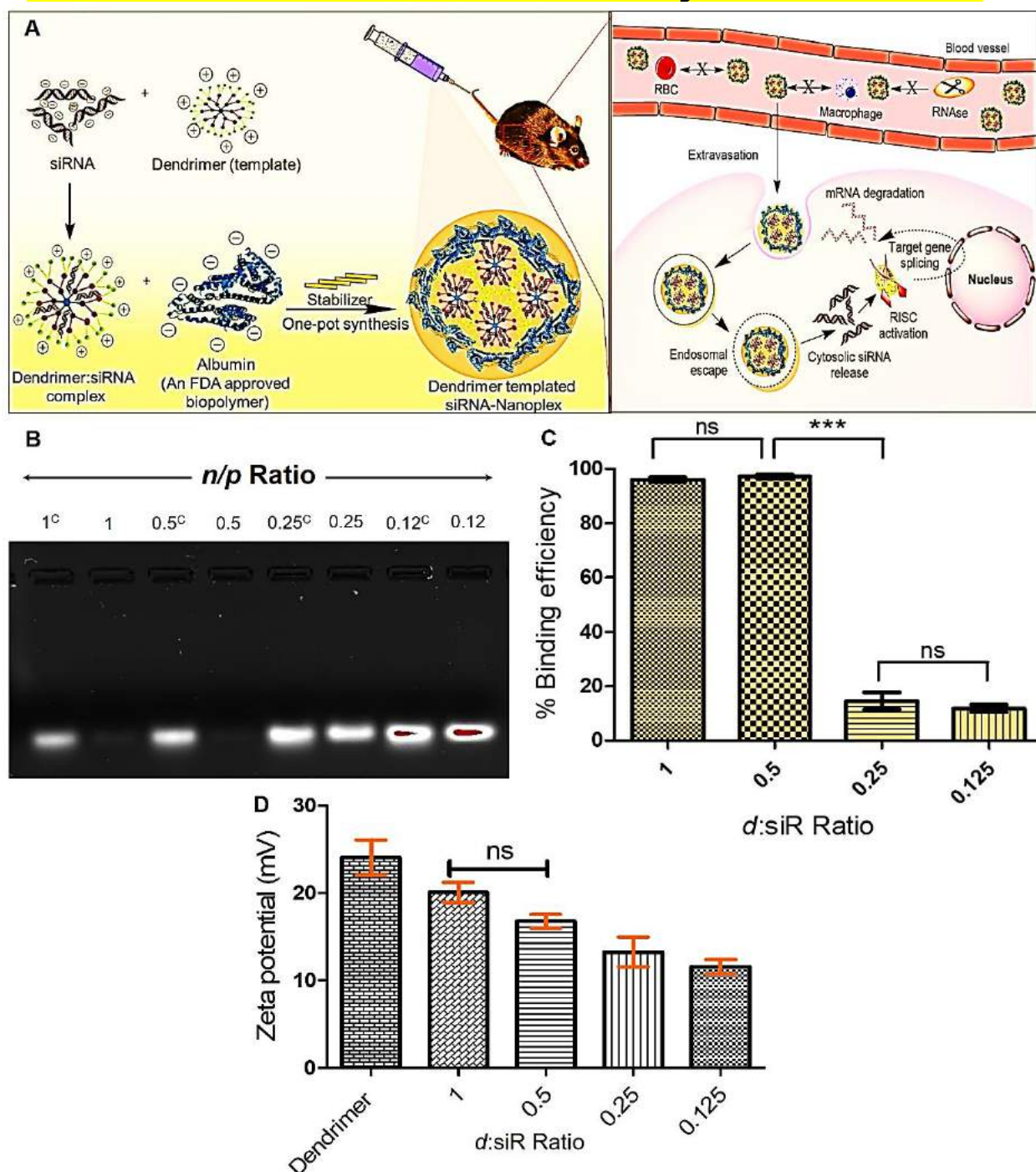


Figure 1. (A) Scheme showing encapsulation, stabilization, and delivery of siRNA in Anionic polymeric nanoplex. The developed siRNA-nanoplex imparts serum stability, avoids *in vivo* RNase degradation and mediates its cytosolic delivery following the endosomal escape. The endosomal escape leads to a selective siRNA release of loaded siRNA in the cytosolic region, and this phenomenon was facilitated by strategic incorporation of the dendrimer in the architectural configuration of siRNA-nanoplex. (B) Gel electrophoresis for the selection of $d:siR$ complexation condition, Here, Lane 1 (1^C): positive control having siRNA in equivalent amount as in $d:siR$ complex prepared at 1 n/p ratio; Lane 2: $d:siR$ complex prepared at 1 n/p ratio; Lane 3 (0.5^C): positive control having siRNA in equivalent amount as in $d:siR$ complex prepared at 0.5 n/p ratio; Lane 4: $d:siR$ complex prepared at 0.5 n/p ratio; Lane 5 (0.25^C): positive control having siRNA in equivalent amount as in $d:siR$ complex prepared at 0.25 n/p ratio; Lane 6: $d:siR$ complex prepared at 0.25 n/p ratio; Lane 7 (0.12^C): positive control having siRNA in equivalent

amount as in *d*:siR complex prepared at 0.12 *n/p* ratio; Lane 8: *d*:siR complex prepared at 0.12 *n/p* ratio (C) Binding efficiency of *d*:siR complex, (D) surface zeta potential of *d*:siR formed using *n/p* ratio of 1, 0.5, 0.25, and 0.125. Results are represented as mean±S.D. (*n*=3).

Quality-by-Design (QbD) driven synthesis and characterization of siRNA Nanoplex. The purity of albumin was assessed via SDS-PAGE (**Figure S9**), BCA assay ($\geq 96\%$; **Figure S10**), and MALDI-TOF/MS (**Figure S11**) for the evaluation of any other component from the fraction V. The synthesis process design and process parameters were optimized using the Box-Banken QbD approach to produce siRNA loaded Nanoplex (siANp) and dendrimer templated siRNA nanoplex (DTsiANp) (Target particle size: ≤ 70 nm). The blank nanoplex counterparts including ANp and DTANp were also produced following the same protocol for comparison (*refer supporting information*). The dynamic light scattering (DLS), scanning electron microscopy (SEM), transmission electron microscopy (TEM), and atomic force microscopy (AFM) was performed to characterize the properties of siRNA Nanoplex for hydrodynamic particle size, polydispersity index (PDI), surface zeta potential (ζ , mV), and surface topography morphology. DLS suggested siANp and DTsiANp be of nanometric size with a hydrodynamic particle size of 66.93 ± 2.90 nm (ζ , -26.8 ± 0.89 mV; PDI: 0.210 ± 0.011) and 64.51 ± 0.83 nm (ζ , -16.1 ± 1.06 mV; PDI: 0.187 ± 0.06), respectively (**Fig. 2A**). The representative TEM, SEM and AFM images of DTsiANp showed the nanoplexes to be nanometric, rounded-oval with smooth surface topography (**Fig. 2B-D; Figure S16**). The AFM analysis suggested nanoplex to be aggregates of spherical particles of ~ 30 nm in diameter. It may be noted that the nanoplex size observed by AFM for ANp, DTANp, siANp, and DTsiANp found to be smaller than the ones recorded via DLS technique. This is probably due to the shrinkage of the nanoparticles during the drying process employed during the sample preparation for AFM imaging analysis (**Figure S17**). These outcomes are in agreement with reported literature on the size of albumin nanoparticles³⁰.

After characterization of nanoplex, the assays were also done to confirm the presence of albumin and dendrimer inside the nanoplex. It was found that albumin remained intact after nanoplex preparation and forms the major component of the nanoplex system ($\sim 95\%$; **Figure S10** and **Figure S12**). The presence of dendrimeric template in the nanoplex was confirmed via TNBSA assay. It observed that number of the primary amino group got significantly enhanced in DTsiANp ($22.54 \pm 1.67\%$; $p < 0.05$) and DTANp ($23.11 \pm 1.08\%$; $p < 0.05$) after the incorporation of the dendrimeric template as compared to the siANp and ANp (**Figure S13**). The incorporation of dendrimeric template in nanoplex was further confirmed by zeta potential analysis (**Figure S14**).

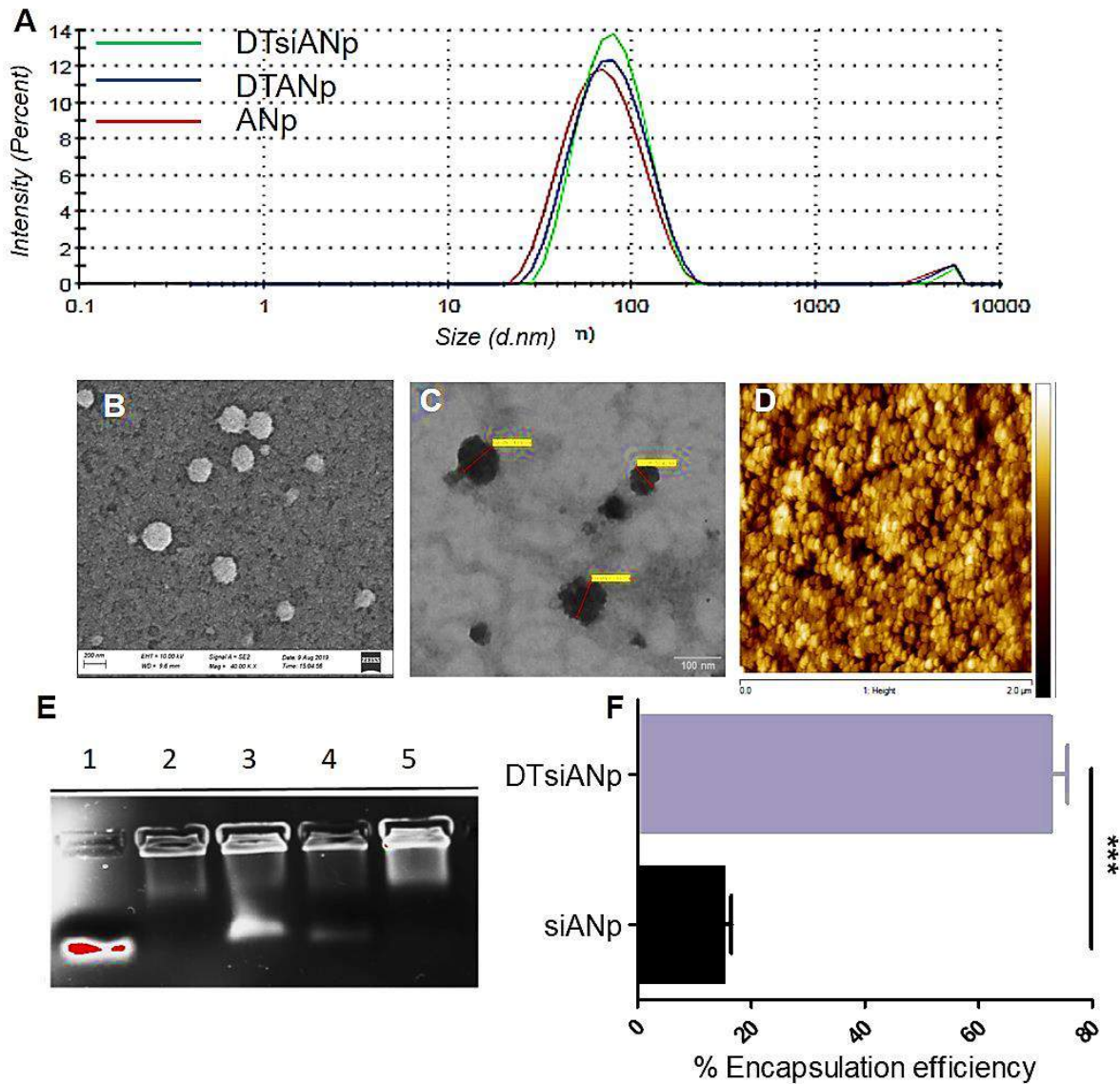


Figure 2. (A) Hydrodynamic particle size distribution of ANp, DTANp, and DTsiANp using DLS, (B) SEM image of DTsiANp (C) TEM of DTsiANp, (D) AFM of DTsiANp (E) Gel electrophoresis, Lane 1: naked siRNA, Lane 2: siANp after centrifugation, Lane 3: siANP after centrifugation (supernatant), Lane 4: DTsiANp after centrifugation (supernatant), Lane: 5 DTsiANp after centrifugation, (F) encapsulation efficiency of siRNA in siANp and DTsiANp determined using Ribogreen assay (** $p < 0.0001$). Results are represented as mean \pm SD ($n=3$).

The siRNA encapsulation efficiency of Nanoplex. The encapsulation efficiency of siRNA nanoplex was determined using gel retardation assay and re-verified by Ribogreen assay. The quantitation of gel showed the presence of approximately 18.51 ± 4.07 % free siRNA following gel electrophoresis of DTsiANp, as against to almost 89.21 ± 7.05 % free siRNA as observed (**Fig. 2E**) in case of siANp ($p < 0.05$). This encapsulation of siRNA in nanoplex was also assessed by Ribogreen assay, and the outcomes were analogous to the results obtained by gel retardation assay. The siRNA encapsulation efficiency in DTsiANp and siANp was found to be 72.62 ± 3.01 % and 14.90 ± 1.53 %, respectively (**Fig. 2F**). The result infers that the siRNA

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encapsulation efficiency in albumin nanoplex gets significantly enhanced while adopting the dendrimer templated approach. Herein, the siRNA encapsulation efficiency enhanced by 3.87 ± 1.04 fold ($p < 0.0001$) as compared siANp. Further, the actual encapsulation of siRNA in nanoplex was confirmed using RNase protection assay. This assay was also performed to verify that the siRNA exists inside the nanoplex and is not the mere precipitate of siRNA and the biopolymer. The outcome of this investigation confirmed that the siRNA exists inside the nanoplex as encapsulated form rather than simple precipitation of siRNA (**Figure S15**).

Serum stability of siRNA Nanoplex. The outcome of this investigation suggested that in presence of serum, there was an insignificant change in the particle size, PDI and zeta potential of siANp and DTsiANp even after 24 hr (**Fig 3A-C**; $p > 0.05$). It may be noted that naked siRNA exposed to the serum is highly prone to undergo degradation by serum RNase enzyme (**Fig. 4A-F**). Hence, the capability of siANp and DTsiANp to protect siRNA against serum RNase enzyme was investigated by gel electrophoresis assay. Here, at zero time point (serum untreated), the siRNA bands were remained intact and considered as starting control for succeeding time points. It was found that in the presence of serum, naked siRNA (**Fig. 4D**) degrades completely in less than 1 hr incubation time. While in the case of siANp, the siRNA was degraded and was not able to remain stable in the presence of serum due to surface-bound siRNA on siANp (**Fig. 4E**). On the other hand, DTsiANp (**Fig. 4F**), showed retention of siRNA band in the presence of serum till 24 hr. The percentage siRNA stability in the presence of serum was further confirmed through percentage siRNA band intensity with reference to siRNA band intensity of serum untreated group (**Figure S8**). It was found that the siRNA remains stable in DTsiANp, which can be ascribed to the presence of dendrimeric template in this formulation. Because of the dendrimeric template, siRNA does not easily come in contact with the serum and hence remain stable until a prolonged period of time (> 24 hr).

Endo/Lysosomal Escape tendency of developed siRNA Nanoplex. The pH sensitivity of nanoplexes and their ability to undergo physicochemical and morphological amendments inside the endo-lysosomal compartment was assessed under pH 5.5, pH 4.5 and pH 7.4. Here, pH-dependent protonation behavior of siANp (conventional plain albumin-based nanoplex) compared to DTsiANp (nanoplex that contain dendrimer) was evaluated. A significant change in the hydrodynamic particle size, PDI and surface charge was noted ($p < 0.05$) when the pH of the incubation milieu was changed from physiological pH to endosomal (acidic) environment. An insignificant change in the particle size and zeta potential of siANp (particle size: 0.084-fold change; ζ : 2.65 ± 1.98 % change) and DTsiANp (particle size: 0.062-fold change; ζ :

1.2±1.32 % change ($p>0.05$)) was observed following their incubation in physiological pH 7.4 inferring their stability under physiological condition (**Figure S4; Fig. 3D-E**).

The incubation of siANp under pH 7.4, 5.5 and 4.5 did not elicit any change in its effective particle size. However, after incubation of DTsiANp under acidic environment (pH 5.5; early endosomal pH) and under pH 4.5 (late endosomal pH), a significant enhancement in their particle size was observed. Here, the particle size of DTsiANp increased by 41.98±2.47 % (under pH 5.5; $p<0.001$) and 74.14±0.41 % (under pH 4.5; $p<0.001$) (**Figure S4**).

In addition, surface zeta potential also affected significantly upon a change in pH (**Fig. 3D-E**; $p<0.05$). In case of, plain albumin, the surface zeta potential was not significantly increased in endosomal pH 5.5 (17.92±2.06 %; $p>0.05$) and in pH 4.5 (15.55±1.92 %; $p>0.05$) over pH 7.4 (15.11±0.93 %; $p>0.05$). Whereas, in case of the plain dendrimer, the zeta potential was enhanced significantly by 24.05±1.01 % (pH 5.5; $p<0.05$) and 41.41±1.67 % (pH 4.5; $p<0.05$) as compared to pH 7.4 (11.77±1.72 %) (**Figure S18**). DTsiANp surface charge was enhanced to 19.36±1.15 % (pH 5.5; $p<0.05$) and 56.31±1.84 % (pH 4.5; $p<0.01$) compared to pH 7.4 (-14.9±1.26 mV). Suggestively, the results infer the pH-responsive morphological changes in the architect of DTsiANp owing to the protonation of free primary amines of dendrimeric template in acidic pH environment.

The Endo/lysosomal escape effect of nanoplex was also evaluated by means of lyso-tracker red dye. Results (**Figure S19**) suggested that DTsiANp treated cells were showed significant yellow fluorescence due to co-localization of green (FAM-siRNA) and red fluorescence (endosome selective lyso-tracker red) after 8 hr. Initially, at 6 hr there was very less red fluorescence was observed in comparison to 8 hr and suggesting endosomal uptake of DTsiANp. At 12 hr, reduction in the red fluorescence and parallel enhanced green fluorescence was appeared in the cytosolic region, which infers the endosomal escape ability of DTsiANp. Whereas, siANp was not exhibiting any fluorescence even at 12 hr.

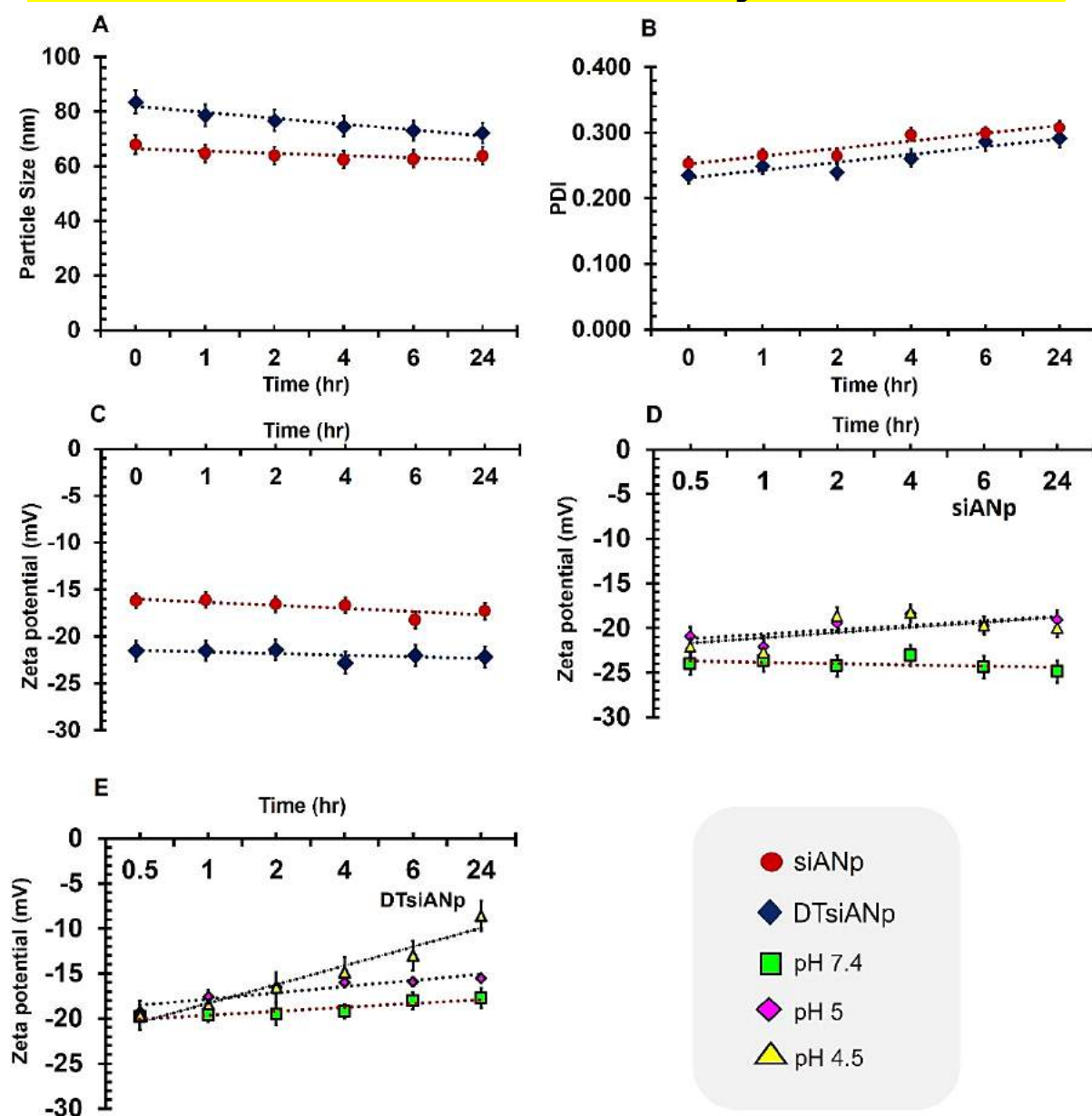


Figure 3. Illustration of serum stability of siANp and DTsiANp in terms of (A) hydrodynamic particle size (B) PDI (C) surface zeta potential. pH responsiveness of nanoplexes in terms of surface zeta potential (D) siANp, (b) DTsiANp at pH 7.4, 5.5 and 4.5. Results are represented as mean \pm S.D. ($n=3$).

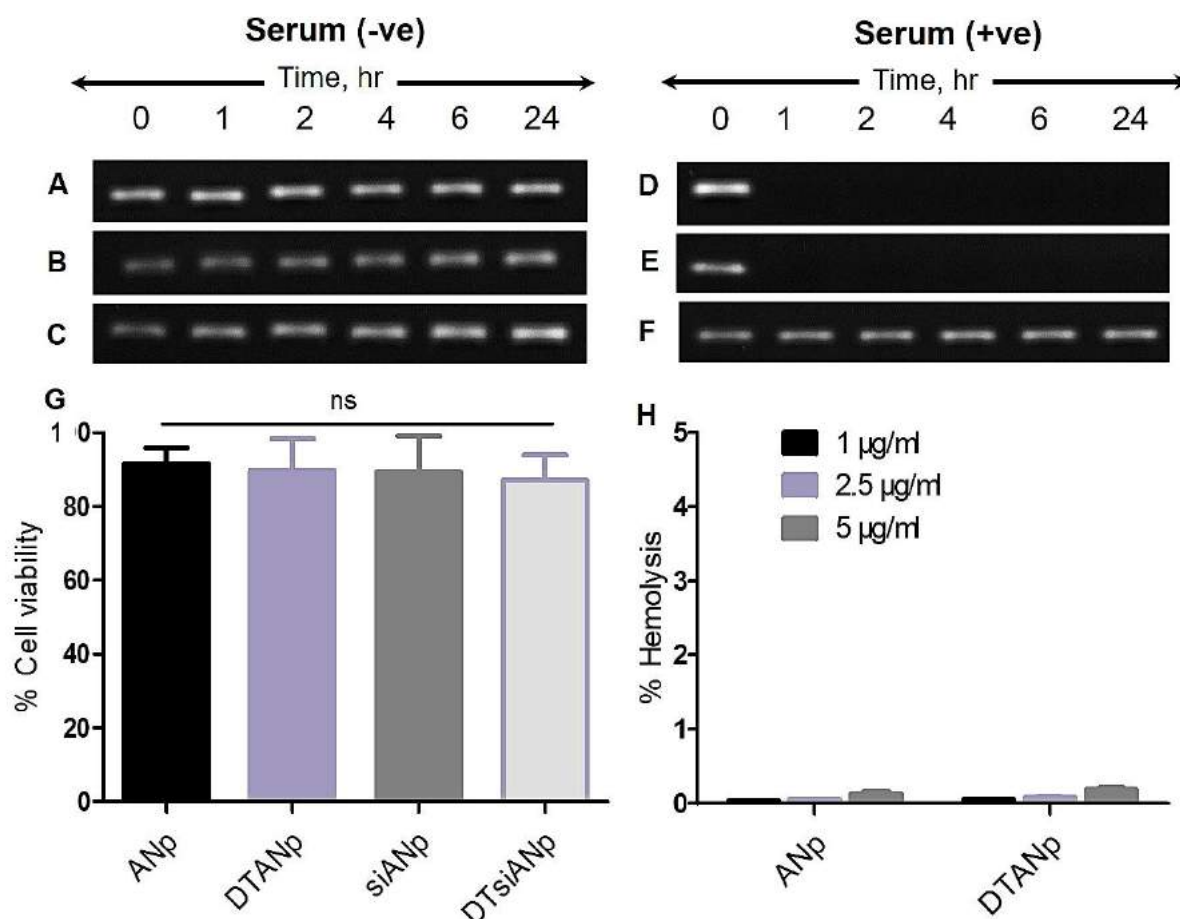


Figure 4. Stability profile of siRNA in absence of serum as evaluated by gel electrophoresis (A) naked siRNA, (B) siANp, (C) DTsiANp. Stability profile of siRNA in presence of serum as evaluated by gel electrophoresis (D) naked siRNA, (E) siANp, (F) DTsiANp. (G) MTT assay for percentage cell viability of ANp, DSAN, siANp, and DTsiANp in HG (30 mM) exposed podocytes cells (H) Hemo-compatibility assay for ANp and DTANp. Results are represented as mean±S.D. ($n=3$).

Biocompatibility of Nanoplex. MTT assay was employed to evaluate the biocompatibility of developed nanoplexes viz: ANp, DTANp, siANp, and DTsiANp in normal as well as in high glucose (30 mM) HG-treated podocytes DN model. The results inferred that all prepared nanoplexes were biocompatible with approximately 100% cellular viability of podocytes (Fig. 4G).

Hemocompatibility assay. As illustrated in Fig. 4H, all the prepared nanoplexes were found to be highly hemocompatible. The ANp and DTANp showed less than 0.2% hemolysis ($p>0.05$) when tested under all possible clinically applicable concentrations (1 µg/ml, 2.5 µg/ml and 5 µg/ml) inferring endogenous albumin-based ANp and DTANp to be safe and biocompatible with the blood compartment.

Cellular uptake of siRNA nanoplex in HG-treated podocytes DN model. The cellular uptake of naked siRNA, siANp, and DTsiANp was performed in HG-treated podocytes DN model³¹ and investigated by confocal laser scanning microscopy (CLSM). Here, HG treated podocytes cells showing morphological changes such as cellular membrane blebbing, reduction in cellular volume and rounding of the cell³². The results (**Fig. 5A**) suggested that DTsiANp gets internalized inside the cell in 12 hr as observed by the higher FAM-siRNA associated green fluorescence inside the cell as compared to naked siRNA and siANp. The cellular uptake of DTsiANp was found to be 4.45-fold and 1.63-fold higher mean fluorescence in podocytes cells as compared to naked siRNA and siANp (**Fig. 5B**).

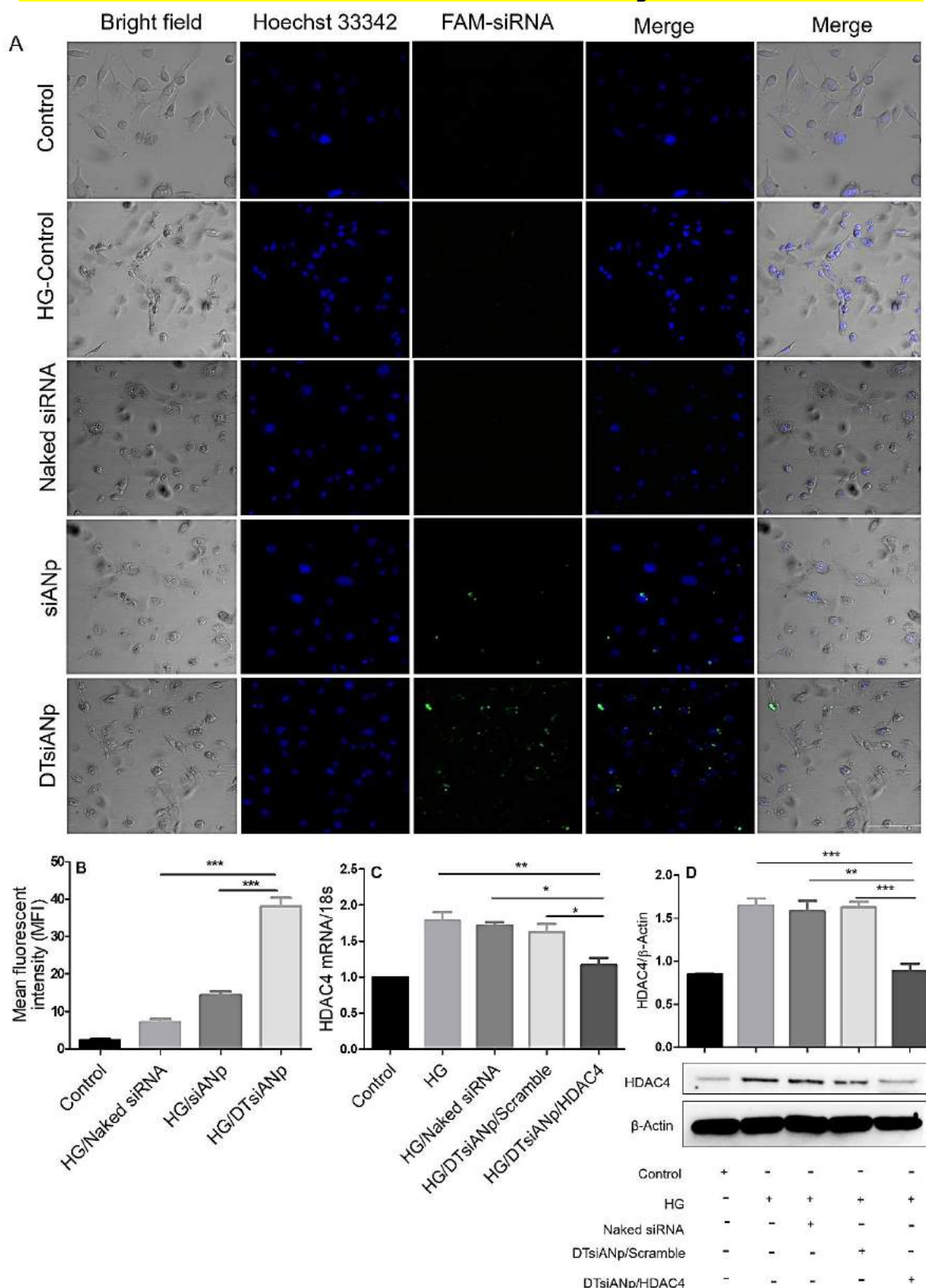


Figure 5. (A) Confocal microscopic image of FAM-siRNA cellular uptake in HG (30 mM) treated podocyte DN model following treatment of naked siRNA, siANp and DTsiANp. (B) Mean fluorescence intensity of FAM-siRNA (C) HDAC4 silencing efficiency by qRT-PCR in HG-treated podocytes, $*p < 0.05$ vs. DTsiANp/Scramble, $*p < 0.05$ vs. Naked siRNA, $**p < 0.01$ vs. HG treated podocytes (D) western blot analysis for HDAC4 protein expression in HG-treated podocytes. HG-treated cells were taken as a positive control. Western blot analysis was

done using ImageJ (NIH, Bethesda, MD). Results are represented as mean \pm SD, ($n=3$). * indicates $p<0.05$. Scale bar: 50 μ m; Blue fluorescence: Hoechst 33342 (Bisbenzimidazole), green fluorescence: FAM-siRNA (6-carboxyfluorescein).

***In vitro* gene silencing efficiency of siRNA nanoplex in HG-treated podocytes DN model.**

In HG condition, HDAC4 gene primarily contributes to podocytes injury in DN³³ and hence, HDAC4 gene was selected as a target for testing the siRNA delivery system as proposed in this investigation. qRT-PCR was performed to evaluate the expression level of HDAC4 gene at a transcriptional level to recognize the molecular mechanism of its therapeutic effect. The HDAC4 gene was found to be significantly overexpressed in HG treated podocytes, which is in agreement with existing reports³³. The HG treated podocytes were then treated with naked siRNA, DTsiANp/HDAC4, and DTsiANp/scramble for 24 hr. Naked siRNA treated podocytes showed an insignificant suppression of HDAC4 expression (3.5 ± 0.93 %, $p>0.05$). Whereas, DTsiANp/HDAC4 treated cells showed 28.39 ± 1.37 % ($p<0.05$) repression of HDAC4 mRNA expression compared to DTsiANp/Scramble. A 32.11 ± 1.87 % ($p<0.05$) and 34.51 ± 1.87 % ($p<0.01$), respective downregulation of HDAC4 mRNA was noted following the treatment of DTsiANp/HDAC4 as compared to naked siRNA and HG treated podocytes (**Fig. 5C**). This suggests efficient silencing of dysregulated HDAC4 in HG treated podocytes cells by DTsiANp. Further, the effect of gene silencing on HDAC4 protein was also evaluated by western blot analysis.

Evaluation of HDAC4 protein expression in siRNA nanoplex treated HG-podocytes DN model.

HDAC4 is one of the centrally acting biomolecules involved in the functioning of podocytes. In HG condition, overexpression of HDAC4 induces the inflammation, apoptosis, and autophagy in podocytes³³. In line with the reported literature, we also observed an enhanced HDAC4 protein expression in HG treated podocytes³³. The treatment of naked siRNA to HG treated podocytes cells did not exhibit significant downregulation (4.02 ± 0.90 %; $p>0.05$) in the relative HDAC4 protein level. In agreement with the qRT-PCR outcomes, relative HDAC4 protein expression was found to be significantly suppressed following the treatment of DTsiANp/HDAC4 by 44.24 ± 2.10 % ($p<0.01$) and 45.56 ± 3.61 % ($p<0.001$) as compared to the naked siRNA and DTsiANp/scramble, respectively (**Fig. 5D**). Further, DTsiANp/HDAC4 successfully repressed the relative HDAC4 protein level (46.27 ± 3.96 %; $p<0.001$) in HG treated podocytes model.

Effect of siRNA nanoplex treatment on metabolic parameters in C57BL/6 DN mice model.

As shown in **Fig. 6A-C**, the glucose level, urine volume, and urinary albumin excretion ratio (UAER; representative of severity of proteinuria) was found to be markedly increased in

DN induced mice group ($p<0.05$) as compared to control (healthy mice) group. The four-week treatment of DTsiANp/HDAC4 in DN mice group did not reduced the urine volume and glucose concentration significantly as compared to the DN mice group treated with the naked siRNA and DTsiANp/Scramble (**Fig. 6B**). Further, it was observed that the naked siRNA was not significant in urine volume and glucose concentration as compared to vs. DTsiANp/Scramble ($p>0.05$). The UAER was found to be extremely low in the healthy control group (0.113 ± 0.02), which severely got amplified in DN diseased mice (1.80 ± 0.56 ; in 4 weeks; $p<0.001$). In case of DTsiANp/scramble and naked siRNA treated group, UAER was found to be 1.72 ± 0.29 ($p>0.05$) and 1.65 ± 0.20 ($p>0.05$), respectively which was found similar as shown in DN mice group. However, upon treating the DN induced mice with DTsiANp/HDAC4, significant repression was observed in the UAER at 4 weeks as compared to DN disease group (1.07 ± 0.30 ; $p<0.01$ vs DN mice, $p<0.005$ vs. DTsiANp/Scramble, $p<0.05$ vs. naked siRNA; **Fig. 6C**).

***In vivo* Evaluation of HDAC4 protein expression in siRNA nanoplex treated C57BL/6 DN mice model.** The HDAC4 protein expression was evaluated by western blot analysis following isolation of protein from the cortical region of kidneys from healthy control, streptozotocin-induced DN mice group and nanoplex treated groups (DTsiANp/HDAC4, DTsiANp/scramble, naked siRNA (**Fig. 6D-E**)). A significantly enhanced HDAC4 protein (1.0 ± 0.089 -fold) was observed in DN mice control group as compared to the healthy control group. The treatment of DN induced mice with naked HDAC4 siRNA leads to a slight downregulation of HDAC4 protein expression by 3.90 ± 0.95 % ($p>0.05$). The treatment of DTsiANp/Scramble to DN induced mice elicited no protective effect and showed a significant rise in relative HDAC4 protein level by 1.5 ± 0.12 -fold as compared to healthy control. The treatment of DTsiANp/HDAC4 in the DN mice led to a significant reduction in the relative expression of HDAC4 protein by 31.87 ± 1.13 % ($p<0.05$) compared to naked siRNA and 45.87 ± 2.01 % ($p<0.001$) compared to DTsiANp/scramble (**Fig 6E**).

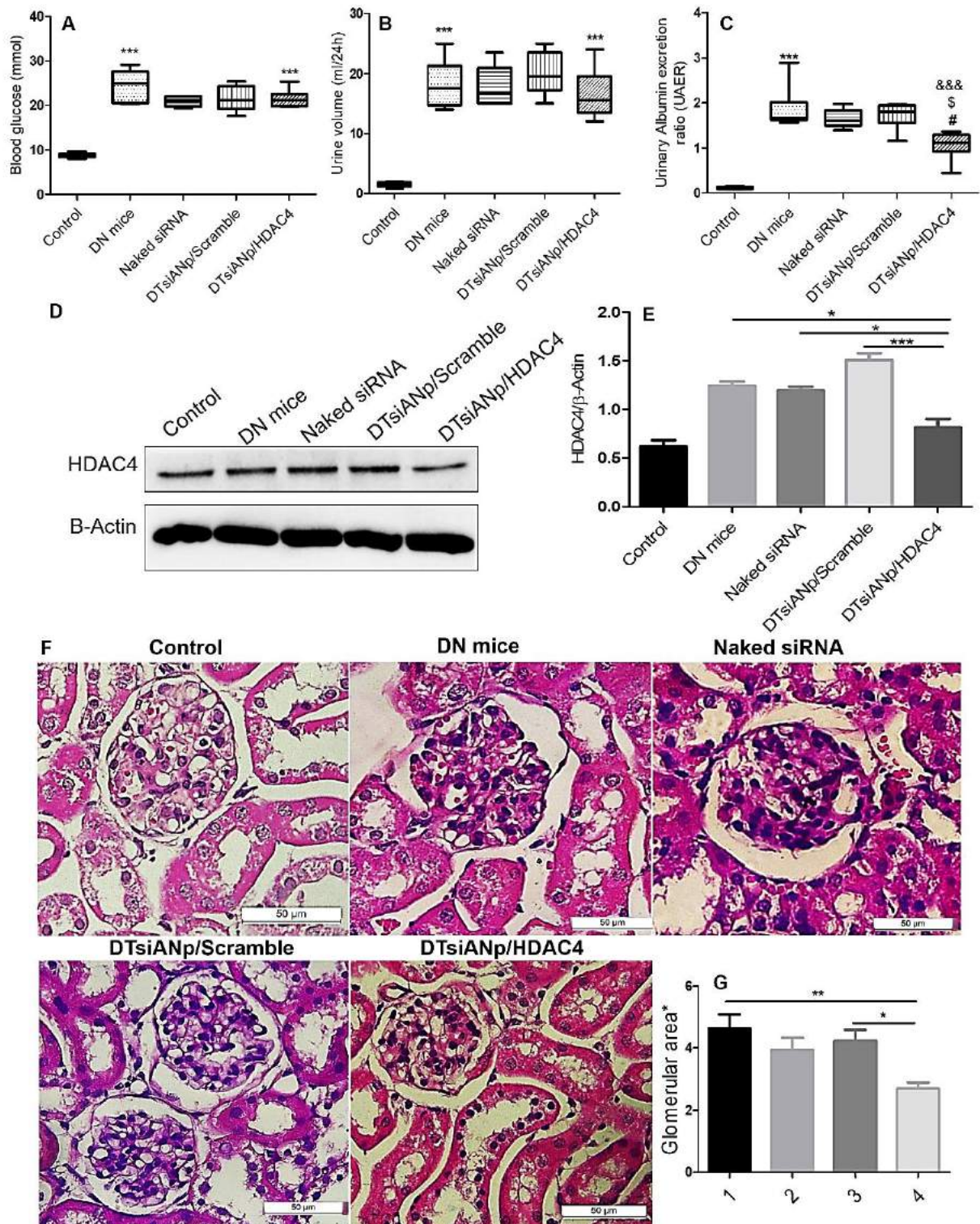


Figure 6. (A) Mice glucose level, (B) urine volume (C) Urinary albumin excretion ratio (UAER) in control, DN mice, and treated groups (naked siRNA, DTsiANp/Scramble and DTsiANp/HDAC4), # $p < 0.05$ vs. naked siRNA, \$ $p < 0.05$ vs. DTsiANp/Scramble, &&& $p < 0.001$ vs. DN mice, *** $p < 0.0001$; control vs. DN mice (D) HDAC4 protein expression in healthy control and DN diseased kidneys as detected by western blot analysis, (E) protein levels in kidneys of mice post-treatment. Relative quantification was normalized by β -actin expression * $p < 0.05$ versus DN mice, *** $p < 0.001$ vs. DN mice treated with DTsiANp/scramble, * $p < 0.05$ versus DN treated with naked siRNA (G) Histological studies of the kidney after 4-week treatment (F) glomerular area (*ratio healthy control group) 1; DN mice, 2; Naked siRNA, 3;

DTsiANp/Scramble, 4; DTsiANp/HDAC4. $**p<0.01$ vs. DN mice. Results are represented as mean \pm SEM of 5–8 mice/group.

Renal histopathological analysis in siRNA nanoplex treated C57BL/6 DN mice model. The renal histological changes in the kidney tissue sections of C57BL/6 DN mice stained with hematoxylin-eosin is shown in **Fig. 6F**. The figure revealed the presence of damaged glomerulus of kidneys of DN mice. Further, the kidney sections also suggested the existence of significant masses of accumulated mesangial matrix or hyper proliferated mesangial cell and glomerular sclerosis as compared to the control healthy mice group (**Fig. 6F-G**). It was confirmed through an increased glomerular area ratio by about 4.63 ± 1.35 ($p<0.001$) of DN over healthy control. Naked siRNA (3.93 ± 1.24 ratio; $p>0.05$) and DTsiANp/scramble (4.23 ± 1.10 ; $p>0.05$) treated mice showed an insignificant change in the glomerular area ratio compared to DN mice group. After 4-week treatment with DTsiANp/HDAC4 glomerular ratio was found 2.69 ± 0.61 ($p<0.001$ vs. DN mice and $p<0.05$ vs. DTsiANp/scramble). These morphological changes in terms of the mean ratio of glomerular area were reduced significantly after treatment of DTsiANp/HDAC4 by 36.49 ± 2.18 % ($p<0.05$) (**Fig. 6G**) over DTsiANp/scramble treated DN mice.

Discussion

With years of appreciable research data support, therapeutic potency, as well as a winning Noble Prize of Medicine (Award year 2006; Sir Craig Mello @ Sir Andrew Fire), has greatly enhanced the expectation with RNAi therapeutics¹. However, due to the lack of an apt siRNA delivery system, the science has greatly stagnated or progressing sluggishly in context to their movement from lab-to-clinic translation⁵. Numerous efforts have been made to come up with an apt siRNA delivery vector with superior delivery attributes, commercial viability, safety as well as high clinical translation capability¹⁷. There was some extent of success with viral vectors and lipidic transfecting reagents, however, either the safety concerns or the lack of *in vivo* fittingness discourages their clinical utilization. In this meadow, cationic polymeric systems were extensively utilized, however, the toxicity issues associated with them substantially impedes the further development^{34,35}.

Herein, we report a novel, simple and clinically translatable approach for the encapsulation, stabilization, as well as safe delivery of siRNA using a biocompatible and FDA-approved albumin biopolymer. It may be noted that the conventional albumin-based siRNA delivery vector was found insufficient, incapable of encapsulating as well as effective homing of siRNA payload in the cytosolic compartment. This can be ascribed to the mismatch in their physiochemical attributes, wherein both being negatively charged²⁷. At the same time, conventional albumin siRNA vector lacks the capability to avoid premature siRNA release in blood as well as mediate endo/lysosomal escape in the cellular compartment leading to its substantially reduced efficacy²². This scientific report presents a simple protocol based on the unpretentious and scientifically acclaimed phenomenon that can transform albumin to a resourceful biopolymer for siRNA delivery.

The siRNA effectively forms the complex with dendrimer template owing to the existence of opposite electrostatic charges on them⁸. Depending upon the associated n/p value, the $d:siR$ complex of varying net charge was formed ranging from $+24.04 \pm 3.52$ to $+11.57 \pm 1.45$ mV. Our overall goal was thus to develop a $d:siR$ complex of net positive charge and then develop a simple process to load siRNA inside an anionic albumin biopolymer. Hence, at first instance, our target was to develop $d:siR$ complex that stably complex siRNA and avoid its degradation. For this, we strategically prepared $d:siR$ at varying $d:siR$ n/p ration and found that at $d:siR$ n/p value of >0.5 complexation between siRNA and dendrimer was most firm and complete. During gel electrophoresis, the positively charged dye, ethidium bromide can bind with

negatively charged siRNA, if available freely and accessible to give proportionate band in Gel Doc instrument (Bio-Rad, USA).

It was found that the *d*:siR forms a stable and viable complex at *n/p* value of 1 and 0.5 as evinced by the inability of ethidium bromide to approach as well as bind siRNA (**Fig. 1B-C**). From this experiment, we selected *d*:siR *n/p* ratio of 0.5 considering the insignificant difference in the property and quality of resulted *d*:siR complex.

The resultant *d*:siR complex was encapsulated inside albumin biopolymer to form siRNA nanoplex (DTsiANp; 64.51 ± 0.83 nm; ζ , -16.1 ± 1.06 mV; PDI: 0.187 ± 0.06). A QbD approach was adapted to attain DTsiANp of average hydrodynamic particle size ≤ 70 nm. It may be noted that the glomerular fenestrations bear the effective pore size of 70 to 100 nm. A wide array of available literature suggests nanoplex of target size ≤ 70 nm does not get filtered from the renal pathway but gets passively localized inside the kidney glomerular fenestrations³⁶⁻³⁸. The formed DTsiANp showed an appreciable siRNA encapsulation efficiency of ~ 75 % as confirmed through gel retardation and Ribogreen assay as compared to the nanoplex formed without dendrimer template approach (~ 15 %; classical approach using plain albumin; **Fig. 2F**).

A prime challenge in *in vivo* administration of siRNA lies in their degradation by circulatory RNase enzymes³⁹. Hence, the prime requirement of an ideal nanoplex is to ameliorate the encapsulated siRNA as well as prevent its *in vivo* RNase degradation. To deduce this ability, a serum stability study profile of developing nanoplex was studied. It may be noted that the serum interaction of nanoplex comes with an adjusted alternation in the morphology, particle size as well as a surface charge of the nanocomplex. After incubating the developed nanoplexes with the serum, an insignificant change in the morphology, effective particle size, PDI as well as surface zeta potential was noticed (**Fig 3A-C**). This infers the inertness of the nanoplex towards the serum, which can be ascribed to the omnipresence of albumin skeleton in the nanoplex. Albumin is a natural biopolymer with its wide availability in the body as well as is known for its inertness³⁸.

The naked siRNA exposed to the serum was highly prone to undergo degradation by serum RNase enzyme due to the direct accessibility of siRNA with serum (**Fig. 4D**). Hence, the capability of developed nanoplexes to protect the encapsulated siRNA against serum RNase enzyme was investigated following its gel electrophoresis. Notably, it was found that the siANp lacks the ability to protect siRNA from serum RNase enzyme due to availability of siRNA in loosely bound form. This can be ascribed to the loose and open architect of siANP that makes it directly accessible to the serum RNase enzyme and siRNA was easily degraded within 1 hr

under serum condition (**Fig. 4E**). This also supports our original notion regarding the insufficiency of conventional albumin nanoplex in siRNA delivery, and our concept to bring innovation to albumin architect to make them siRNA delivery capable. The dendrimer templated encapsulation of siRNA in DTsiANp protects the encapsulated siRNA from serum RNase enzyme degradation. Due to the dendrimeric template, the formed DTsiANp gains an electrostatically stable architect as evinced by the stability study. The tight electrostatically stable architect of DTsiANp protects the encapsulated siRNA from coming in direct contact with the serum RNase enzyme and hence protect it from serum degradation (**Fig. 4F**). Therefore, it can be stated that DTsiANp is capable enough to hold and protect the encapsulated siRNA from serum endonucleases enzyme for more than 24 hr.

Another foremost challenge with siRNA lies in their degradation in the endo-lysosomal compartment. Hence, one of the overarching goals of this research to develop a nanoplex with the capability to escape from the acidic endo-lysosomal compartment. The pH-dependent stability of developed nanoplex was performed at pH 7.4 (to assess physiological stability), pH 5.5 (effect of early endosomal pH), and pH 4.5 (effect of late endosomal pH). An insignificant change in the particle size of developed DTsiANp was observed following their incubation in physiological pH 7.4 inferring their capability to remain stable under physiological stability. Upon incubation of DTsiANp at pH 5.5 and pH 4.5, a significant and progressive enhancement in their particle size was observed. The increase in particle size of DTsiANp is ascribed to the presence of dendrimer in the architect of nanoplex that elicits pH-responsive '*proton-sponge-effect*'. Our prior research infers the ability of dendrimer to undergo a pH-responsive change in the architecture of dendrimer⁴⁰.

The pH-responsive change in the particle size of DTsiANp was corroborated by an analogous change in the surface zeta potential ($p < 0.05$). In the case of DTsiANp, the surface charge was significantly enhanced to more than 50 % at pH 4.5 as compared to their original surface charge at pH 7.4 (**Fig. 3D-E**). The results conclude the pH-responsive behaviour of DTsiANp owing to the existence of free primary amines in dendrimeric template present in the nanoplex. The amine groups of dendrimers undergo protonation under acidic pH leading to enhancement in net surface positive charge. The protonation of the nanoplex assembly under the acidic environment of endosome generates the repulsive microenvironment in the architect of nanoplex. This repulsive microenvironment existing within the nanoplex leads to an increment in its hydrodynamic crevices volume with a marked increase in ionic concentration osmotically. The cumulative enhancement in size of DTsiANp leads to the swelling of the endosomal compartment ultimately leading to the rupture of the endosomal membrane to

mediate endosomal escape of DTsiANp. This event liberates the DTsiANp from the endosomal compartment before its degradation by lysozyme (phenomenon referred as endosomal escape). This outcome is in good agreement with our pH-responsive particle size analysis as discussed previously.

This effect was further confirmed by evaluating the pH-responsive change in the surface zeta potential of plain albumin and dendrimer (**Figure S18**). There was no significant effect of pH on the characteristic of siANp due to the absence of dendrimeric template in their architect. Further, it may be noted that the changes in the hydrodynamic particle size and zeta potential of DTsiANp as compared to siANp was not due to the siRNA but was predominantly due to the dendrimeric template. This is because the pH has no notable impact on siRNA and vice versa. The observed effect can be ascribed to the protonation behaviour of dendrimeric template present in the nanoplex⁴¹. Further, DTsiANp showed the localization in the endo/lysosomal compartment in 8 hr as confirmed by yellow fluorescence of co-localized FAM-siRNA and lyo-tracker dye (**Figure S19**). After 8 hr, the diffused red fluorescence suggests the escape of DTsiANp from endo/lysosomal compartment which is evidenced via green fluorescence of FAM-siRNA in the cytoplasmic compartment of the cell. The result ascribed that the existence of dendrimeric template in the DTsiANp provided the endosomal escape capability due to proton sponge effect at the endo/lysosomal pH. The prepared nanoplex were found biocompatible when tested on podocytes and as well as on RBCs inferring them to be safe for *in vivo* administration (**Fig. 4G-H**; $p > 0.05$). The siRNA bears negative charge and hence poses its interaction as well as permeation across the negatively charged plasma membrane^{5,8}. The cationized albumin nanoplex bear the capability to undergo endocytosis⁴¹. In agreement with this property, the cellular uptake of DTsiANp nanoplex shows 4.45-fold higher cellular uptake as compared to naked siRNA (**Fig. 5A**). Albumin binds to hormone, transferrin, fatty acid and other hydrophobic molecules in serum⁴². The commercial albumin employed for the preparation of nanoplex comes with ~96 % purity, containing fatty contaminants. It is envisaged that the lipidic fractions fatty acid contaminants present in albumin might be responsible for the cellular uptake of passive siANp (mean fluorescence intensity: 14.38 ± 2.89) via lipidic cell membrane fusion mechanism. Further, it is advocated that the effect of lipidic fractions fatty acid contaminants on membrane fusion of albumin and albumin-based architectures needs to be explored to reach a statistically meaningful conclusion.

Pathologically, in diabetic (HG) condition, the podocytes show hemodynamic and metabolite changes that result in glomerular lesions and proteinuria³¹. HDAC4 is majorly a central

molecule that governs the function regulation in podocytes and is also vital to maintain the integrity of podocytes³³. It was well reported that in HG condition, the overexpression of HDAC4 induces the inflammation, apoptosis, and autophagy in podocytes. Under HG state, HDAC4 gene primarily contributed to podocytes injury in DN³³. HG exposed podocytes treated with DTsiANp/HDAC4 showed significantly downregulated HDAC4 mRNA expression (27.47 ± 0.27 %; $p < 0.05$) (**Fig. 5C**). This effect can be ascribed to the efficient delivery of HDAC4 siRNA to the podocytes by DTsiANp as compared to DTsiANp/scramble and naked HDAC4 siRNA control. The effect of gene silencing on HDAC4 protein was further confirmed by western blot analysis.

In agreement with the qRT-PCR outcomes, the expression of HDAC4 protein was found to be significantly suppressed following the treatment of DTsiANp/HDAC4 nanoplex by more than 44.024 ± 2.10 % ($p < 0.01$), and 45.56 ± 3.61 % ($p < 0.001$) as compared to the naked siRNA and DTsiANp/Scramble treated group *in vitro* (**Fig 5D**; $p < 0.05$). The treatment of DTsiANp/HDAC4 in C57BL/6 DN mice model significantly lowered the levels of proteinuria (UAER: 1.07 ± 0.32) as compared to DTsiANp/scramble and naked siRNA. It is well reported that the knockdown the overexpressed HDAC4 could able to regulate HG-induced transcriptional activity or deacetylation of STAT1 in podocytes leads to suppress inflammation and apoptosis²⁹. The effective reduction in UAER with DTsiANp/ HDAC4 infers the superior therapeutic potential of the developed nanoplex (**Fig 6C**).

After the treatment of DTsiANp/ HDAC4, the proportion of damaged glomerulus significantly reduced in the kidneys of C57BL/6 DN mice as observed during the renal histopathological analysis **Fig 6F**. Upon treatment of DTsiANp/HDAC4, the glomerular area ratio was reduced by almost 0.32-fold as compared to DTsiANp/scramble treated group. As shown in **Fig 6G**, the mean glomerular area was reduced after 4-week treatment of HDAC4 siRNA nanoplex indicating the reduction in glomerular injury and ameliorate the podocytes in DN.

In conclusion, the study provides a novel and simple approach to encapsulate, stabilize and deliver loaded siRNA payload with high efficiency. The concept was established and tested using HDAC4 siRNA, however, the same approach can be extended to diverse gene therapeutics including micro RNA (miRNA), oligonucleotide, DNA, etc. A detailed investigation pertains to safety, scalability, and versatility is currently under exploration in our lab. It is expected that the knowledge reported in the work will significantly assist in research aiming at the clinical translation of siRNA therapeutics, which is one of the unmet dire need of a clinician and pharmaceutical industry working towards transforming siRNA as a therapeutic modality. Other innovative versions of this strategy including kidney directed nanoplex is also

under exploration and it is expected that in near future more research will come in this line to assist development of a more fitting and clinically translatable generally regarded as safe (GRAS) tag bearing nanoplex for the safe delivery of siRNA and other RNAi gene therapeutics.

Material and Methods

Materials. Bovine Serum Albumin-Fraction V was purchased from HiMedia Laboratories GmbH, Germany). Sodium phosphate, sodium chloride, and sodium acetate were purchased from Sigma Aldrich (St. Louis, USA). Scramble (Silencer) 5'-FAM labelled siRNA (sense: 5'-UUCUCCGAACGUGUCACGUGdTdT-3'; antisense: 5'-ACGUGACACGUUCGGAGAAAdTdT-3'), HDAC4 siRNA (sense: 5'-GGUGCUUAUGGAAAGGGAUTT-3'; antisense: 5'-AUCCCUUCCAUAAGCACCTT-3'), bicinchoninic acid (BCA) protein assay kit and MTT reagent (3-(4,5-Dimethylthiazol-2-yl)-2,5-Diphenyltetrazolium Bromide) was acquired from Thermo Fisher Scientific (Massachusetts, USA). DEPC treated RNase free water was used for further experimentation with siRNA and procured from Invitrogen (Thermo scientific, Massachusetts, USA). All other reagents and solvents were of analytical grade unless otherwise specified. Agarose and sodium chloride were obtained from Sigma Aldrich (Mumbai, India). RPMI 1640 media, fetal bovine serum (FBS), trypsin-EDTA, penicillin-streptomycin and 1x ITS (Insulin-Transferrin-Selenium) were obtained from Invitrogen and Gibco (Invitrogen, California, USA, Gibco, Life Technologies, Grand Island, USA). Opti-MEM media, Hoechst 33342 were obtained from Gibco, (Life Technologies, Grand Island, USA).

Cell culture: cell propagation and differentiation. An immortalized human podocyte cell line was gifted by Dr. Jeffrey Kopp, National Institute of Health (NIH), Maryland, USA, and the cells were cultured as described earlier^{43,44}. In brief, podocytes cells were cultured in RPMI 1640 media comprising 10 %v/v FBS, 1x ITS (Insulin-Transferrin-Selenium) and 100 IU/ml penicillin and 100 µg/ml streptomycin sulfate as antibiotics. And cells were grown at 33±0.5 °C under 5% CO₂ condition in Type 1 collagen-coated culture tissue culture flask (25 cm³ BD Falcon, Bedford, USA). After 70-80 % confluence, cells were shifted to 37±0.5 °C with 5% CO₂ for 10-14 days for differentiation. High glucose 20-40 mM (30 mM) condition stimulates the expression of HDAC4 in kidney podocytes³³. Therefore, *in-vitro* DN model i.e. high glucose model was generated on differentiated podocytes cells (6 well plates; 20 x10⁴ cells/well) using 30 mM glucose in RPMI 1640 with 1 %v/v FBS treated for 48 hr^{45,46}. Developed *in vitro* DN model of podocytes were used for different assays as mentioned in respective sections.

Screening of *d*:siR complex. Dendrimer/siRNA (*d*:siR) complex was prepared by self-assembly method through charged based electrostatic interaction using gentle vortexing⁴⁷. Here, the *d*:siR complexes with different molar ratio were 1:1, 1:2, 1:4 and 1:8 ratio (~ 1, 0.5, 0.25, and 0.125 *n/p* ratio; (*n*: cationic primary amine groups on polymer, *p*: anionic phosphate groups present on siRNA)). For that, siRNA (200 pmol, 10 μ M; 0.266-0.4 μ g/ μ L) was diluted in DEPC treated nuclease-free water and mixed with dendrimer at increasing molecular ratio. For ratios, siRNA and dendrimers were mixed and incubated at room temperature using frequent gentle vortexing (after every 15 min for the 30s), aid in complex formation. The *d*:siR complex confirmation was also done by gel retardation assay and for the surface charge using Malvern zeta sizer ZS-90 (Malvern Instruments, Worcestershire, UK) comprised with He-Ne laser (wavelength: 633 nm).

Gel retardation assay for determination of *d*:siR ratio. The gel retardation assay was performed to determine *d*:siR ratio and evaluate the progression of dendrimer-siRNA complex formation. The prepared ratios were mixed with 5 μ L of 6X loading dye and volume of the samples were adjusted by nuclease-free water. Accurately, 15 μ L total sample (260 ng/well siRNA) volume was load into 2 % w/v agarose gel comprising ethidium bromide (2 μ g/ml) for siRNA visualization. Gel electrophoresis was done in 1X TBE buffer at 80 V and run for 60 min. The retardation of siRNA inside the dendrimer was imaged using UV transilluminator (Bio-rad, USA)⁴⁸.

Quality-by-Design (QbD) driven optimization of nanoplex preparation methodology.

Albumin nanoplex were prepared using a modified protocol of our laboratory to attain nanoplex of target size (≤ 70 nm), which as per existing reports bear the capability to reach and localize in the podocytes foot process in DN complications⁴⁹. In brief, plain albumin nanoplex (ANp) were prepared via one-step desolvation technique^{29,31}. The utilized albumin purity was initially assessed for presence of any other component from the fraction V albumin using matrix-assisted laser desorption/ionization mass spectrophotometry (MALDI-TOF/MS) and sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) (*refer supplementary material*). To prepare ANp, a double quantity of ethanol was added dropwise (1 mL/min) in prepared albumin solution (4 % w/v; 1 mL) and left under the stirring condition for 2 hr at 1000 rpm (IKA Magnetic Stirrer (RT 5) Germany). Then, the free amino group of albumin nanoplex was cross-linked with genipin (1 % w/v) solution and solution was kept for stirring for a further 2 hr at 700 rpm. The nanoplex preparation was accomplished after purification by centrifugation method at 14,000 g for 25 min. The obtained pellet was resuspended in deionized water up to its original volume. To obtain desired particle size (≤ 70 nm) of ANp, Quality by

design approach was applied as discussed in the supplementary section (*refer supplementary material*).

According to the requirement of the experiment, siRNA (200 pmol; approximately 2.5–3.5 µg/µL in DEPC treated nuclease-free water) loaded inside ANp for siANp. Selected *d*:siR (~0.5 *n/p*) ratio was incubated with (4 %w/v; 1 mL) albumin for electrostatic interaction for 2 h at 1000 rpm at (37±0.5 °C) and the solution was desolvated using ethanol to obtain DTsiANp/HDAC4. Here, stoichiometric ratio for nanoplex preparation was 1000:0.066:1:: Albumin:siRNA:dendrimer. The physicochemical evaluation was done for particle size, surface zeta potential and polydispersity index (PDI) using Zetasizer Nano ZS 90 (Malvern Instruments, UK). Thereafter, nanoplex were lyophilized for stability concern using 3 %w/v trehalose⁵⁰. Further DEPC treated nuclease-free PBS (1X) was added to the nanoplex for making the final dose and was utilized for administration.

Characterization of siRNA nanoplex

Dynamic light scattering (DLS), SEM, TEM, and AFM. The prepared and optimized nanoplexs were determined for particle size, polydispersity index (PDI), and zeta potential with the help of Zetasizer (Nano-ZS90, Malvern Instruments, Worcestershire, UK). In brief, suspension of nanoplex was diluted using ultra-pure water and the sample was measured at a fixed angle (173° backscattering). By means of the electrophoretic analyzer, the zeta potential of the nanoplex was measured using Zetasizer (Nano-ZS90, Malvern Instruments, Worcestershire, UK). All the samples were measured at 25±2 °C and the measurements were done in triplicate for evaluation of the efficacy of the results.

Particle size and morphology of prepared nanoplex were determined after lyophilization by means of scanning electron microscopy (SEM) using a JSM-7001FA microscope (JEOL, Tokyo, Japan). Aqueous suspension of nanoplex was kept on a silicon wafer which adheres to a metal stub. Thereafter, mater wafer was dried under vacuum and enclosed with a 20-nm layer of gold. The stubs were observed at an emission of 5.0 kV with 9.5–10.5 mm of working distance ⁵¹. Further, the morphology of DTsiANp was performed through a transmission electron microscope (TEM; Philips, Tecnai 20, Holland; acceleration voltage: 200 kV; magnification: 40,000x). The size of the DTsiANp was measured using AnalySIS software (Soft Imaging Systems, Reutlingen, Germany). A drop of diluted nanoplex was kept on a carbon-coated copper grid. The sample was stained with 1 %v/v aqueous solution of phosphotungstic acid and set aside to absorb. After drying, the sample was focused and images were taken ⁵². Further, the atomic force microscopy (Probe: SCANASYST-AIR (Modulus

range: <20 MPa; $k \sim 0.4$ N/m nominal, tip radius <10 nm typical), AFM; Bruker Multimode 8, Bruker, USA) was also performed for DTsiANp⁵³. After characterization of nanoplex, the presence of intact albumin in prepared ANp, DTANp, siANp, and DTsiANp was evaluated by means of SDS-PAGE and BCA reagent assay kit. The dendrimeric template was further confirmed in the nanoplex by TNBSA assay, and the changes in surface charge via zeta potential are represented in supplementary file.

Determination of siRNA encapsulation efficiency of siRNA nanoplex by Gel retardation assay.

siRNA binding and encapsulation efficiency of *d*:siR ratio with siANp and DTsiANp were determined using agarose gel electrophoresis. Briefly, naked siRNA was taken as a control and the unbound siRNA from siANp and DTsiANp was separated by means of VivaSpin (MW cut-off: 50 kDa; GE Healthcare, Thermo Scientific, USA) at 16,000 g for 10 minutes at 4 °C. The supernatant was collected and the pellet was redispersed in DEPC treated nuclease-free water (Ambion, USA). Then, nanoplex suspension and supernatant (10 µL) were mixed with the 5 µL (6X) loading dye and made a final volume of 15 µL using DEPC treated nuclease-free water (Ambion, USA). The prepared composition was loaded on to 2 %w/v agarose gel and run through Tris-borate (TBE) (40 mM Tris-HCl, 1 %v/v acetic acid, 1 mM EDTA) buffer at 80 V. The electrophoretic mobility was analysed by ethidium bromide stained gel using an ultraviolet (UV) illuminator (GelDoc, Bio-Rad, USA) ². Further, the actual encapsulation of siRNA inside the nanoplex was confirmed using siRNA protection assay. The experimental protocol as stated in the supplementary material.

Determination of siRNA entrapment efficiency of siRNA nanoplex by Ribogreen assay.

Further, confirmation of encapsulation of siRNA was determined by the amount of extracted siRNA from the lyophilized DTsiANp and siANp as protocol reported by Cun et al. with slight modification⁵⁴. For the assay, accurately weighed (1.5 mg) lyophilized nanoplexes was solubilized in 150 µL of chloroform with 500 µL of TE buffer. To extract the siRNA from organic to aqueous phase, the mixture was mixed and rotated continuously at 25±2 °C for about 90 min. Then the mixture was centrifuged at 16,000 g for 25 min at 4°C to separate an aqueous and organic phase. And the residues of chloroform was removed via incubating the supernatant at 37±2 °C for 5 min. Then the supernatants were diluted with the TE buffer and concentration of the siRNA was measured by means of Ribogreen reagent (Thermo Scientific, USA) based on manufacturer's instruction via multimode plate reader (excitation wavelength: 485 nm and emission wavelength: 520 nm; Varioskan LUX Multimode, Thermo Fisher Scientific, Massachusetts, USA). Analysis of all samples was performed in triplicate. The encapsulation of siRNA was calculated using actual weight of siRNA (ng) in nanoplex upon the whole weight

of nanoplex (mg). As well as, the encapsulation of siRNA in nanoplex was also calculated via the given equation as following:

$$siRNA \text{ encapsulation} = \frac{\text{loading of siRNA}}{\text{theoretical loading of siRNA}} \dots\dots\dots \text{Eq.1}$$

Serum stability of siRNA nanoplex. siRNA was found unstable in serum due to the serum nucleases, therefore, after entrapment of *d*:siR ratio, the stability of siRNA in presence of serum was checked for both siANp and DTsiANp with reference to naked siRNA as stated protocol by Tarantula et al. with slight modification⁵⁵. For the assay, naked siRNA (260 ng /well siRNA; 10µM), 1.5 mg (equivalent to 260 ng/well siRNA) siANp and DTsiANp were taken for the investigation. Nanoplexs and naked siRNA were incubated with an equal volume (50 µL) of RPMI 1640 comprised 10 % v/v fetal bovine serum (FBS) at 37±0.5 °C. At defined time interval i.e. 0, 1, 2, 4, 6 and 24 hrs, aliquots were centrifuged at 21,000 g for 15 min at 4 °C. The supernatant was removed and pallet stored at -20 °C till gel electrophoresis was executed. After 24 hr of sample collection, the stability of siRNA was assessed by gel electrophoresis. For that, aliquots (10 µL) were mixed with the 5 µL (6X) loading dye and prepared composition was loaded on to 2 % w/v agarose gel and run through 1 X TBE (Tris-borate) (40 mM Tris-HCl, 1% v/v acetic acid, 1 mM EDTA) buffer at 80 V. The electrophoretic mobility was analyzed due to the ethidium bromide using an ultraviolet (UV) illuminator (GelDoc, Bio-Rad, USA).

Furthermore, the effect of serum on particle size, PDI and surface zeta potential was also evaluated for that siAN and DTsiANp were incubated with an equal volume of RPMI 1640 comprised 10 % v/v serum concentration at 37±0.5 °C. At predetermined time point 0, 1, 2, 4, 6 and 24 hr, particle size, PDI and surface zeta potential was measured using Zetasizer Nano ZS90 (Malvern Instruments, UK). All the experiments were performed in triplicate at 37±0.5 °C⁵¹.

Endo/Lysosomal Escape tendency of developed siRNA Nanoplex. The amino group presented on the terminal end of the dendrimer was sensitive to endosomal pH (acidic)⁴¹. Therefore, to understand the effect of environmental pH (physiological and endosomal pH) on the siANp and DTsiANp were treated with phosphate buffer saline (pH 7.4), sodium acetate buffer (pH 5; endosomal pH) and acetate buffer (pH 4.5; late endosomal pH) for assessment of stability. The protocol was followed the same as reported by our group earlier with slight amendments⁴¹. Optimized ANp and DTANp were incubated with the varied buffer for 0, 1, 2, 4, 6 and 24 hr. The particle size, PDI and zeta potential of the nanoplexs was checked at predetermined time points using Zetasizer Nano ZS90 (Malvern Instruments, UK) to evaluate the stability of the nanoplexs. The effect of microenvironmental pH on plain albumin and

dendrimer was also evaluated in terms of zeta potential at different time interval via Zetasizer Nano ZS90. All the experiments were performed in triplicate at 25 ± 2 °C. Further, endo/lysosomal escape activity of nanoplex was evaluated with help of lyso-tracker red dye on HG treated podocytes and protocol was as discussed in the supplementary section (*refer supplementary material*).

Cell viability assay to elucidate biocompatibility of developed siRNA nanoplex. Initially human kidney podocytes were for cell propagation in 25 cm^3 T-flask in RPMI 1640 complete medium (contained 10 % v/v FBS, 0.5 % v/v Insulin-Transferrin-Selenium (ITS) and 1 % w/v penicillin-streptomycin antibiotic mixture) at 33 ± 0.5 °C with 5 % CO_2 till 60-80 % confluence. After that, cells were shifted to 37 ± 0.5 °C with 5 % CO_2 for differentiation for 10-14 days. Fresh medium was provided to cells for three times a week^{56,57}. These differentiated cells were utilized for each experiment. After differentiation, human podocytes cells were seeded in 96 well plates (1×10^4 cells/well) and permit to grow overnight in complete RPMI 1640 medium at 37 ± 0.5 °C with 5 % CO_2 . Then, cells were treated with HG (30 mM) to induce *in vitro* DN model for 48 hr. After that, the equivalent amount of ANp, DTANp, siANp, and DTsiANp took for treatment (0.625 mg; 1 pmol siRNA/well and incubated for 24 hr. Afterward, MTT (3-(4,5-Dimethylthiazol-2-yl)-2,5-Diphenyltetrazolium Bromide) reagent was added to the cells (20 μL ; 5mg/ml) and incubated for further 4 hr at 37 ± 0.5 °C with 5 % CO_2 ⁵⁸. MTT solution was replaced with 100 μL DMSO to dissolve the formazan crystals. Untreated cells were taken as control with 100 % cell viability. The absorbance was measured at 575 nm using UV microplate reader (Multiscan GO, Thermo Fisher Scientific, Massachusetts, USA) at 37 ± 0.5 °C. The viability of cells was calculated as follows: ⁵⁹.

$$\text{Cell viability (\%)} = \frac{\text{Abs (sample)}}{\text{Abs (control)}} \times 100\% \dots \text{Eq2}$$

Cellular uptake of siRNA nanoplex in HG podocyte DN model. To evaluate the internalization, cellular uptake assay was performed on HG treated podocytes as protocol reported by Huang et al. with slight modification⁶⁰. Differentiated podocytes cells were seeded into 6-well plate (2×10^5 cells/well) with a glass coverslip. Cells were treated with high glucose (30mM; HG)³¹ for 48 hr in presence of serum compromised RPMI 1640 media (1 % v/v FBS)⁶¹ to generate *in vitro* DN model. Media was replaced with Opti-MEM comprised FAM-siRNA loaded DTsiANp (30 pmol of siRNA/well; 10 μM). After 12 hr cellular distribution of siRNA was observed using confocal microscopy (Excitation max: 494 nm; Emission max: 520nm, Leica Microsystems, Wetzlar, Germany)⁶⁰.

Gene silencing efficiency: Quantitative RT-PCR. Real-time PCR was executed to evaluate the HDAC4 silencing efficiency of HDAC4 loaded nanoplex. Differentiated podocytes cells were grown in 6 well plates (2×10^5 cells/ well) in RPMI 1640 complete medium till 60 % confluence achieved. Then, media was removed and incubated with HG (30 mM) comprised serum compromised RPMI 1640 media (1 %v/v FBS) for 48 hr ⁶¹. Afterward, media was replenished with Opti-MEM containing DTsiANp/ HDAC4 (~30pmol siRNA/well) and incubated for 24 hr. Here, DTsiANp/ Scramble selected as a negative control. After treatment, total RNA was extracted using RNeasy mini kit (Qiagen, Hilden, Germany) as stated by manufacturer's protocol and quantified using Nanodrop-2000 spectrophotometer (Thermo Fisher Scientific, Massachusetts, USA). The cDNA was prepared by iscript cDNA synthesis kit (Bio-Rad, California, USA). Then, HDAC4 gene expression was quantified with a 1:10 dilution of cDNA using the iScript SYBR green supermix (Bio-Rad, California, USA) through StepOne Real-time PCR, (Applied Biosystems, California, USA). PCR KiCqStart primers were utilized to amplify 18s (forward: 5'-GTAACCCGTTGAACCCCAT-3' and reverse: 5'-CCATCCAATCGGTAGTAGCG-3') and HDAC4 gene (forward: 5'-AGTGTGACCTCCTATAACCA-3' and reverse: 5'-GCTTTAGCCTGGACCGTAAT-3'). The HDAC4 expression level was analyzed via Ct values (cycle threshold). The experiment was performed in triplicate⁶².

HDAC4 expression by western blot analysis: *In vitro* and *in vivo*. To evaluate the expression of HDAC4 in podocytes cells and mouse model western blot was performed. After differentiation podocytes, cells were seeded in 6 well plates (2×10^5 cells/well) in RPMI 1640 complete medium and treated with HG (30 mM) in serum compromised media (RPMI 1640 media with 1 %v/v FBS) for 48 hr ³¹. DTsiANp/ HDAC4 (30 pmol/well siRNA; 6.5 mg nanoplex) and DTsiANp/ Scramble was treated for 48 hr. The protein was extracted and lysate resuspended in RIPA lysis buffer comprising 1 μ L of protease inhibitor cocktail. Protein concentration from podocytes cell and tissue was estimated using BCA reagent. Protein (25 μ g) was separated via SDS-PAGE (acrylamide gel 10 %) and transferred over PVDF membrane using RTA Trans Turbo kit (Bio-Rad, USA). Then, specific protein detection was done using incubation with primary antibody against (HDAC4 1:1000, Abcam, Cambridge, UK) and (β -actin 1:5000, Santacruz, USA) at 4 °C overnight. After completion of incubation with primary antibody, membrane washed with 0.1% TBST following that incubation with HRP-conjugated secondary antibody ((Goat anti-mouse IgG-HRP 1:20000, Santacruz and Goat anti-rabbit IgG-HRP 1:20000, Abcam) at room temperature for 2 hr. Detection of bands

were using chemiluminescence substrate (Bio-Rad, USA)). Furthermore, Band intensity was quantified by means of ImageJ software (NIH, Bethesda, MD).

For *in vivo* western blot analysis healthy mice kidney, STZ induced DN control mice and nanoplex treated kidney was homogenate using tissue lyser (Tissue Lyser LT, Qiagen, Germany) and centrifuged (12,000 g for 5 min) for remaining of tissue removal. The collected supernatant was utilized for protein extraction and protein content measurement using BCA reagent and western blots analysis was performed as mentioned procedure above.

Haemocompatibility assay. To evaluate the biocompatibility of nanoplex haemocompatibility assay was performed^{41,51}. Briefly, rat blood was taken in heparinized vials (5000 I.U./mL, Himedia, Mumbai, India) centrifuged at 1000g for 10min for RBCs pallet. The pellet was washed with the 0.9 %w/v normal saline (5X). A 2 %v/v RBC solution was prepared for experimentation. RBC solution was incubated with ANp, DTANp (1, 2.5 and 5 µg/ml concentrations of dendrimer), saline (0% hemolysis; negative control), and Triton X-100 (0.1 %v/v) (100 % hemolysis; positive control) for 2 hr at 37±0.5 °C to allow interaction. After 2h, the suspension was centrifuged at 1000 g for 10 min and the supernatant obtained in each group was analyzed for the hemoglobin content via absorbance at 540 nm using UV microplate reader (Varioskan LUX Multimode, Thermo Fisher Scientific, Massachusetts, USA). The percentage of hemolysis was determined by using the following equation:

$$\% \text{ Hemolysis} = 100 \times \frac{(\text{Abs.Sample} - \text{Abs.Negative Control})}{(\text{Abs.Positive Control} - \text{Abs.Negative Control})} \dots \dots \dots \text{Eq.3}$$

where Abs. Sample denoted for Absorbance of the sample, Absorbance of the negative control (0.9 %w/v saline), Absorbance of positive control (Triton-X).

Experimental Animal model and treatment. All animal studies were performed in accordance with guidelines and protocols with National Institute of pharmaceutical education and research (NIPER) Guidelines for Care and Use of Laboratory Animals. All procedures and protocols followed in this study were approved by Institutional Animal Ethics Committee (IAEC) at NIPER-Ahmedabad, Gujarat, India vide approval letter number: NIPER-A/IAEC/2017/034. C57BL/6 male mice (average body weight: 18-23 g) (Supplier: Zydus Research Centre, Ahmedabad) were injected with 50 mg/kg of streptozotocin (STZ) (0.1 M citrate buffer (pH 4.5) intraperitoneally on 5 consecutive days to circumvent acute toxicity of STZ. Control animal was received citrate buffer only. Confirmation of diabetes was done through tail vein blood glucose levels (fasting glucose > 12 mmol; Accu Chek-Active glucometer, Roche, USA). Mice were divided randomly into an experimental group (per group six mice). All mice were sacrificed at 4 weeks post-induction of naked HDAC4 siRNA,

DTsiANp-scramble, DTsiANp-HDAC4 to diabetes mice. The developed siRNA nanoplex was given to mouse via tail vein at 1 mg/kg dose for twice a week. After treatment, from the freshly harvested kidney glomerular portion was isolated from half kidney and homogenate the using tissue lyser (TissueLyser LT, Qiagen, Germany) then centrifuged (12,000 g for 5 min) to remove tissue remains. The supernatant was collected for protein extraction for Western blots analysis⁶³. Other half portion was fixed in formalin for histological evaluation of glomeruli. Later completion of treatment, urinary albumin concentrations were measured with the Albumin mouse ELISA kit (ab108792, Abcam, Cambridge, UK) and represented as urine albumin excretion ratio (UAER)⁶⁴.

Renal histopathological analysis. Isolated kidney tissue was taken for histological evaluation, fixed in 4% paraformaldehyde and embedded in paraffin. 5- μ m thick sections were processed for hematoxylin and eosin staining according to manufacturer's protocol. Morphological evaluation and semi-quantitative analysis of section were done for the glomerular area for glomerular injury using ImageJ software. The glomerular area was determined via ImageJ software using 15 random cortex region image per mouse under a low magnification field of vision (400X)⁶⁵.

Statistical analysis. Results are represented as mean \pm S.D or mean \pm SEM. Statistical differences among the groups were assessed by means of one-way analysis of variance (ANOVA) for *in vitro* and *in vivo* data analysis. Following that Bonferroni's post-test was applied to all pair of columns with control as well. $p < 0.05$ was considered significant. Statistical analysis was done through Graph Pad Prism (GraphPad software, SPSS, Chicago, IL, USA).

Authors contribution. Nidhi Raval (Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Writing – original draft); Hardi Jogi (Data curation, Formal analysis, Investigation, methodology); Piyush Gondaliya (Investigation, Methodology); Kiran Kalia (Resources, Methodology); Rakesh Kumar Tekade (Conceptualization, Project administration, Supervision, Resources, Formal analysis, Writing – original draft, Reviewing of draft).

Availability of materials and data. The author's consent to make materials, data and associated protocols promptly available to readers without undue qualifications in material transfer agreements.

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Financial Competing Interests. The authors report a conflict of interest. The work described in this manuscript has been filed for Indian patent at Indian Patent Office (IPO), Mumbai, India; Application No.: 201921019898; Date of Application: 18/05/2019.

Non-Financial Competing Interests. The paper reports the study on the immortalized human podocyte cell line, which was received as a free gift sample from Dr. Jeffrey Kopp, National Institute of Health (NIH), Maryland, the USA. The research is an output of Ph.D. research work of Ms. Nidhi Raval under the mentorship of Dr. Rakesh K. Tekade carried out at NIPER-Ahmedabad by the financial support from the Department of Pharmaceuticals, Ministry of Chemicals and Fertilizers, Government of India as a senior research fellow.

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Supplementary Data

Method and its Composition for encapsulation, stabilization, and delivery of siRNA in Anionic polymeric nanoplex: *An In vitro- In vivo* Assessment

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Prakash

QbD-driven Synthesis and Optimization of nanoplex

Preliminary screening of process variables using Two-level Five-factor (2^5) full factorial design. The parameters for the synthesis of nanoplex was optimized through Design of experiment-driven (DoE) Quality by design approach (QbD) to achieve nanoplex with desired particle size and PDI. For this, first of all, the critically quality attributes (CQA) were selected through existing literature. This CQA was identified to be a concentration of albumin, the concentration of the desolvating agent, its type, rate of addition of desolvating agent, reaction time between the polymer and desolvating agent, etc. As per the lead from available literature that reports the *in vivo* biodistribution of albumin-based nanoplex (ANp), the hydrodynamic particle size, and PDI is the most significant CQA that significantly alters the biodistribution of nanoplex in vital body organs including kidney uptake and retention¹; and hence the same has been considered for the optimization of nanoplex

Screening of process variables was done by the statistical analysis at Two-level Five-factor (2^5), which was selected by means of preliminary wet-lab experiments by applying Two-level full factorial design. The formula generated by QbD Design-Expert Software (Stat-Ease, Version 7.0; Minneapolis, MN) concerning were prepared in triplicate and the outcomes feed into the software feed section. The screened process variable with their outcomes as stated in **Table S1**.

Supplementary Table S1: Screening of process variables using Two-level Five-factor (2^5) full factorial design

Batch No.	Factors					Results	
	Albumin (%w/v)	Ethanol volume (% v/v)	Agitation speed (rpm)	Ethanol concentration (% v/v)	Rate of addition (μ l/min)	Particle Size (nm)	PDI
A1	2	200	500	40	60	134.15 \pm 5.20	0.610 \pm 0.09
A2	6	200	500	40	20	246.3 \pm 20.60	0.595 \pm 0.23
A3	2	600	500	40	20	142.50 \pm 15.90	0.126 \pm 0.08
A4	6	600	500	40	60	250.66 \pm 23.68	0.606 \pm 0.11
A5	2	200	1000	40	20	301.42 \pm 19.30	0.630 \pm 0.18
A6	6	200	1000	40	60	477.23 \pm 18.80	0.672 \pm 0.08
A7	2	600	1000	40	60	400.3 \pm 20.20	0.620 \pm 0.10
A8	6	600	1000	40	20	340.17 \pm 17.50	0.551 \pm 0.070
A9	2	200	500	100	20	108.33 \pm 10.30	0.320 \pm 0.00
A10	6	200	500	100	60	86.75 \pm 2.60	0.455 \pm 0.06
A11	2	600	500	100	60	60.22 \pm 1.90	0.082 \pm 0.01
A12	6	600	500	100	20	150.00 \pm 6.40	0.480 \pm 0.01

Prepared

A13	2	200	1000	100	60	72.05 \pm 0.86	0.271 \pm 0.02
A14	6	200	1000	100	20	71.04 \pm 1.60	0.423 \pm 0.08
A15	2	600	1000	100	20	63.57 \pm 0.28	0.181 \pm 0.02
A16	6	600	1000	100	60	252.23 \pm 6.80	0.582 \pm 0.15

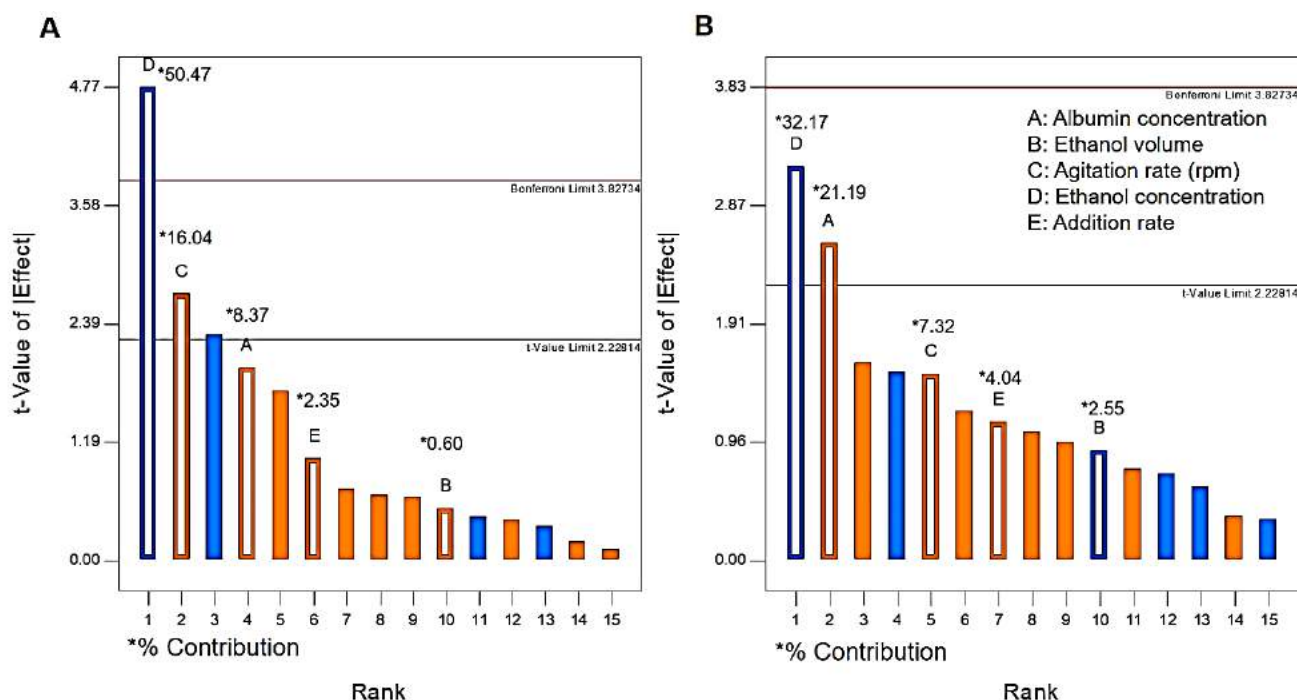
Results are represented as mean \pm S.D (n=3).

It was found that the contribution of albumin concentration, ethanol concentration and agitation speed towards the resultant particle size was found 8.37 %, 50.47 %, and 16.04 %, respectively as shown in **Fig. S1A**. The p -value for the two-level Five-factor (2^5) full factorial design model for particle size was 0.0046 (R^2 value: 0.8989), which indicated that the model was significant for process outcomes. Notably, the p -value for albumin concentration (0.0806), ethanol volume (0.6132) and addition rate (0.6132) was larger than 0.05 and hence was found non-significant to the applied model. Therefore, it suggested that albumin concentration, ethanol concentration, and agitation speed has maximum influence on the particle size of nanoplex.

In context to PDI, the contribution of albumin concentration, ethanol volume, and agitation speed (rpm) was found 21.19 %, 32.71 %, and 7.32 %, respectively (**Fig. S1B**). However, p -value and R^2 value for the model obtained by applying ANOVA were 0.0254 and 0.9607, respectively signifies that the applied model was significant to process outcomes. On another hand, the p -value for ethanol volume (0.3943), rate of addition (0.2888) and agitation speed (0.1623) were found to be greater than 0.05, which infers that it does not significantly affect on the PDI of the resultant nanoplex. Similar to particle size, in the case of PDI, albumin concentration, ethanol concentration and agitation speed have shown the maximum impact on PDI of nanoplex (**Fig. S1B**). Therefore, these process parameters need more optimization to attain the targeted size and PDI.

In summary, taking lead from the QbD-driven risk factor analysis using 2-level full factorial design, ethanol volume and rate of addition were kept constant, since they had no a significant impact on particle size and PDI of nanoplex. The ethanol volume and rate of addition were kept constant at 4 ml and 50 μ l/min, respectively. The albumin concentration (% w/v), ethanol concentration (% v/v) and agitation speed (rpm) were selected as CQA for in-depth three-factor at three-level (3^3) Box-Behnken design due to their direct and significant impact on the particle size and PDI of nanoplex. It may be noted that three factors at three-level Box-Behnken design have been selected for QbD optimization due to the selected number of factors.

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Supplementary Figure S1. Pareto chart showing percentage contribution of selected factors (A) Particle size (B) PDI.

QbD-driven Optimization of screened process parameters using Box-Behnken design (3³).

The selected process parameters (**Table S2**) were tested by applying Box-Behnken design to assess the ideal process conditions for attaining the nanoplex of the desired size. It may be noted that the particle size of nanoplex governs the kidney uptake and retention of albumin nanoplex ². As per the reported literature, the uniform albumin nanoparticle of size ≤ 70 nm selectively shows uptake in the kidney with preferential localization in the kidney podocytes ³. The outcome of this design confirms the agitation speed, ethanol concentration and albumin concentration of 100 rpm, 1000 %v/v and 4 %w/v, respectively produces the nanoplex of desired particle size 66.23 ± 1.29 (Target size: ≤ 70 nm size), and PDI 0.280 ± 0.31 (Target PDI: < 0.3).

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Supplementary Table S2: Resultant responses obtained from Box-Behnken design.

Batch	Variables in Box-Behnken design			Predicted Outcome		Experimental Outcome	
	Albumin concentration (% w/v)	Ethanol Concentration (%v/v)	Agitation speed (rpm)	Particle size (nm)	PDI	Particle size (nm)	PDI
B1	2	40	750	136.64	0.57	137.83 ±5.30	0.548 ±0.08
B2	6	40	750	146.28	0.65	154.17 ±23.95	0.637 ±0.21
B3	2	100	750	86.29	0.26	75.31 ±5.93	0.279 ±0.06
B4	6	100	750	103.74	0.27	103.56 ±6.22	0.290 ±0.00
B5	2	70	500	106.50	0.27	116.74 ±25.96	0.300 ±0.08
B6	6	70	500	129.21	0.42	129.56 ±22.98	0.439 ±0.25
B7	2	70	1000	76.98	0.43	76.63 ±7.19	0.411 ±0.11
B8	6	70	1000	81.34	0.36	71.10 ±1.32	0.335 ±0.04
B9	4	40	500	152.08	0.56	139.75 ±22.24	0.562 ±0.21
B10	4	100	500	77.86	0.31	79.61 ±1.64	0.265 ±0.07
B11	4	40	1000	85.61	0.70	83.87 ±17.56	0.742 ±0.35
B12	4	100	1000	66.94	0.27	66.23 ±1.29	0.280 ±0.31
B13	4	70	750	76.82	0.50	77.59 ±2.07	0.486 ±0.01
B14	4	70	750	76.82	0.50	77.75 ±0.92	0.445 ±0.02
B15	4	70	750	76.82	0.50	95.03 ±2.82	0.505 ±0.04
B16	4	70	750	76.82	0.50	71.64 ±3.21	0.547 ±0.06
B17	4	70	750	76.82	0.50	62.10 ±0.88	0.504 ±0.03

The experiments were performed on the basis of the runs given by the Design-Expert Software (Stat-Ease, Version 7.0; Minneapolis, MN). Red color coding represents nonacceptable experimental outcome, while green color coding represents acceptable experimental outcomes. Results are represented as mean±S.D (n=3).

Using Box-Behnken design, the polynomial equation for particle size was also obtained as follows to assess the influence of individual process parameters on the process outcome:

$$\text{Particle Size (Y}_1\text{)} = 76.82 + 6.77A - 23.22B - 19.35C + 1.95AB - 4.59AC + 13.89BC + 22.15A^2 + 19.27B^2 - 0.47C^2 \dots\dots\dots \text{Equation (S1)}$$

Here A; Albumin concentration (%w/v), B; ethanol concentration (%v/v) and C; agitation speed (rpm). AB, Interaction between albumin concentration and ethanol concentration; BC, Interaction between ethanol concentration and agitation speed; AC, Interaction between albumin concentration and agitation speed. From Equation S1, it can be concluded that ethanol concentration and agitation speed have a negative impact on the particle size of the nanoplex. This suggests that upon increasing the values of these variables, a net decrement in particle size of nanoplex is obtained. The concentration of albumin showed a positive impact on the particle size

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of nanoplex, suggesting that the decrease in the albumin concentration results in a smaller particle size of nanoplex. Furthermore, the interaction between AB and BC had positive interaction while albumin concentration (A) and agitation speed (C) attained negative interaction. Hence, Albumin concentration was found as one of the most critical parameters for attaining nanoplex of desired particle size.

The obtained polynomial equation for the estimation of PDI from the Box-Behnken design was;

$$\text{PDI (Y}_2\text{)} = 0.50 + 0.02A - 0.17B + 0.024C - 0.02AB - 0.054AC - 0.044BC - 0.073A^2 + 0.015B^2 - 0.053C^2 \dots\dots\dots \text{Equation S2}$$

The Equation (S2) suggests that variable B had a negative effect on PDI of the nanoplex. Thus, if increment in the concentration of ethanol smaller size would be attained due to the surface tension of the particles. On another hand, A and C showed a positive influence on the response. Therefore, it suggests that if the concentration of albumin and agitation speed remain optimum than lesser PDI would be attained due to even folding of the polymer. However, in terms of interaction, all other factors displayed negative interaction for PDI.

It may be noted that for a significant correlation among the applied Box-Behnken design model and CQA selected in the experiment, it is advocated that the *p*-value should be *p*<0.05 (nonsignificant difference) and *R*² must be ≈1 as per the QbD guideline^{4,5}. Hence, the *p*-value was determined for the applied Box-Behnken design, wherein, the values were found to be 0.0092 and 0.0004, respectively for the particle size and PDI of nanoplex. The *R*² for the set of the experiment was found to be 0.8989 and 0.9607, respectively for the particle size and PDI of nanoplex inferring significant correlation among the applied Box-Behnken design model and CQA selected in the experiment.

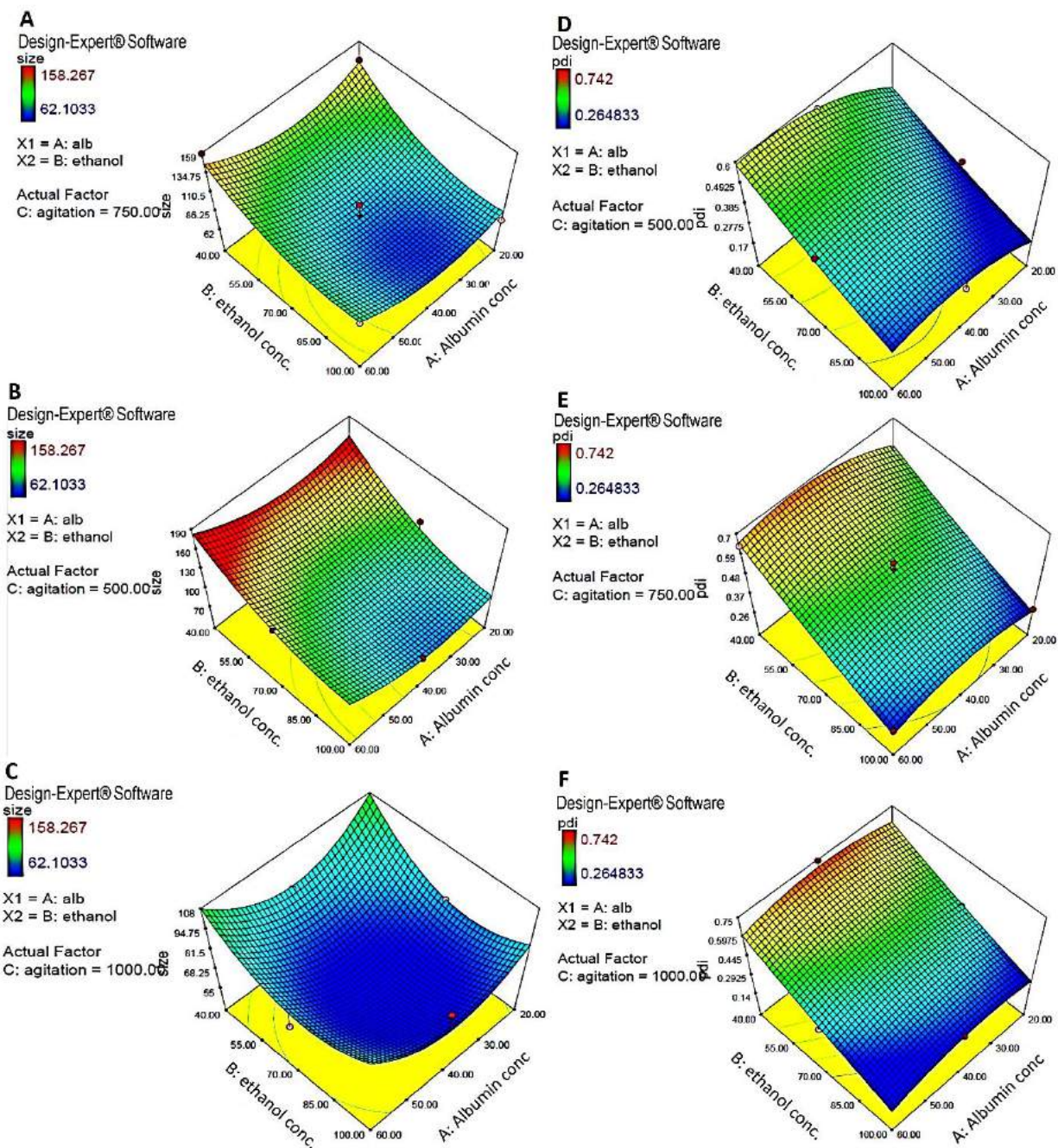
Further, a comprehensive evaluation of the inter-relationship among the process variables on the process responses, the 3D-surface response contour plots were obtained employing the Design-Expert Software (Stat-Ease, Version 7.0; Minneapolis, MN) concerning the particle size and PDI as the net endpoint of the process. **Fig. S2A** suggests that at lesser agitation speed (500 rpm) and optimum albumin concentration and at higher ethanol concentration led to smaller the PDI. But at intermediate agitation speed, the obtained desirable region (blue region) was very less compared to higher agitation speed (1000 rpm) (**Fig. S2B-C**). Therefore, intermediate albumin concentration

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and high concentration of ethanol, with higher agitation speed led to lesser the PDI as shown in interaction curves of the surface response plot (**Fig. S2C**).

Similarly, the 3D-surface response contour plots inferred that at lesser agitation speed (500 rpm) obtained desirability region was very less (blue region in **Fig. S2D**). Whereas, as shown in **Fig. S2E-F** higher as the agitation speed (750 and 1000 rpm) showed more the desirability area and led to lesser particle size for nanoplex. The highest desirable region was obtained at 1000 rpm agitation speed with optimum concentration of albumin (4 %w/v) and a high concentration of ethanol concentration (100 %v/v). This optimized process formula was further validated for reproducibility.

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Supplementary Figure S2. 3D surface plot of different agitation speed for particle size (A) contour plot at 500 rpm (B) contour plot at 750 rpm (C) contour plot at 1000 rpm. 3D surface plot of different agitation speed for PDI (D) contour plot at 500 rpm (E) contour plot at 750 rpm (F) contour plot at 1000 rpm.

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Validation of QbD Design and Model. The design space was generated with a targeted particle size of ≤ 70 nm and PDI value of < 0.3 by superimposing the responses obtained during the optimization as shown in **Fig. S3**. In the contour plots (**Fig S3**), the design space is represented in yellow color portions, while the grey segment represents the outlier background region. This means that any combination of experimental process parameters in yellow design space region theoretically produces the desired experimental outcome. While any point in the grey outlier background region does not produce the product fitted to criteria of the quality product⁶.

Suggestively, the design space with a higher probability of attaining product with desired product attribute (Desirability ≈ 1) has been obtained using the solution suggested in contour plots (Albumin concentration: 4% w/v; Ethanol concentration: 100 %v/v; Agitation speed: 1000 rpm) that is predicted to yield nanoplex of particle size 67.044 nm and 0.268 PDI (**Table S3** and **Fig. S3**).

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A

Design-Expert® Software

size

158.267

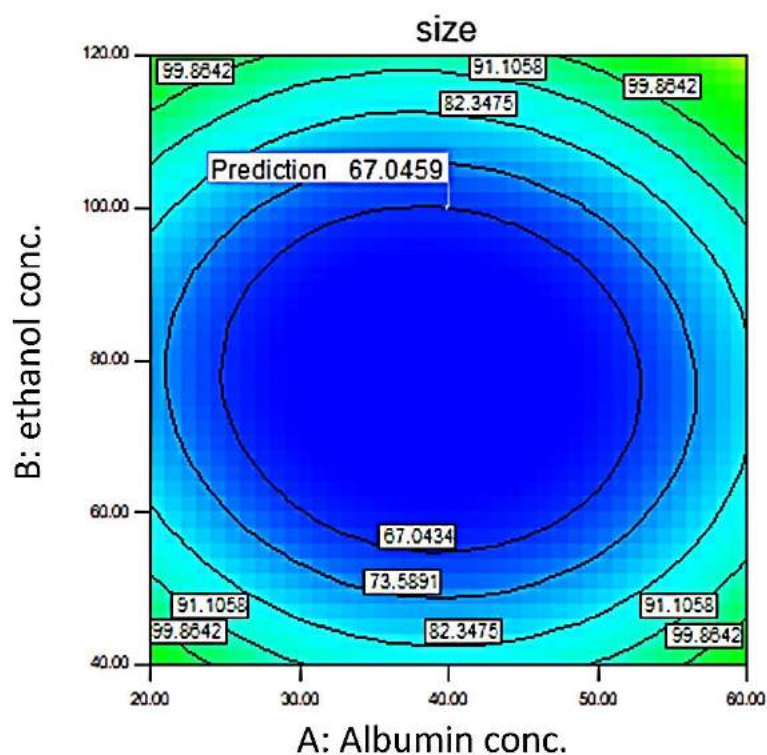
62.1033

X1 = A: alb

X2 = B: ethanol

Actual Factor

C: agitation = 995.93



B

Design-Expert® Software

Overlay Plot

size

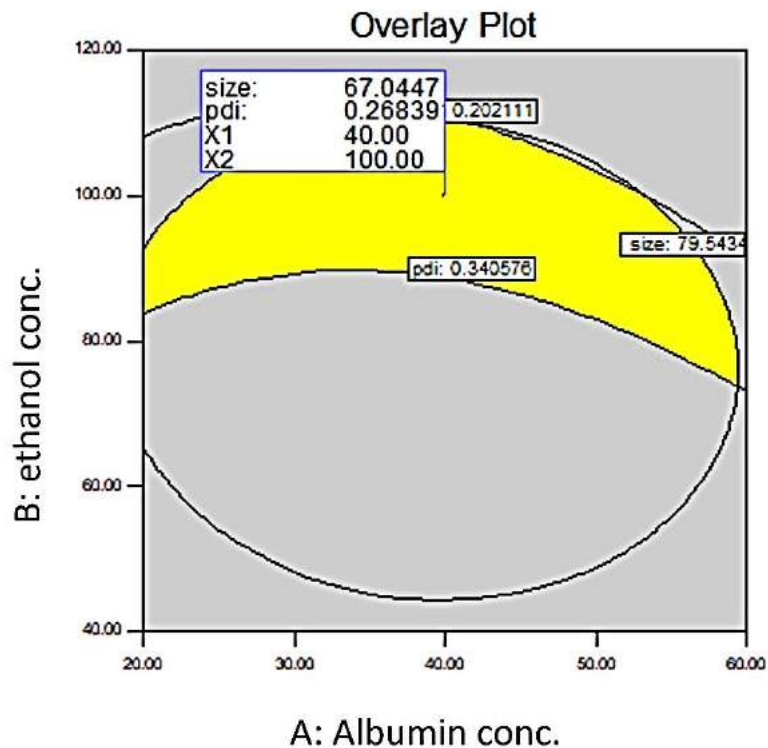
pdi

X1 = A: alb

X2 = B: ethanol

Actual Factor

C: agitation = 995.93



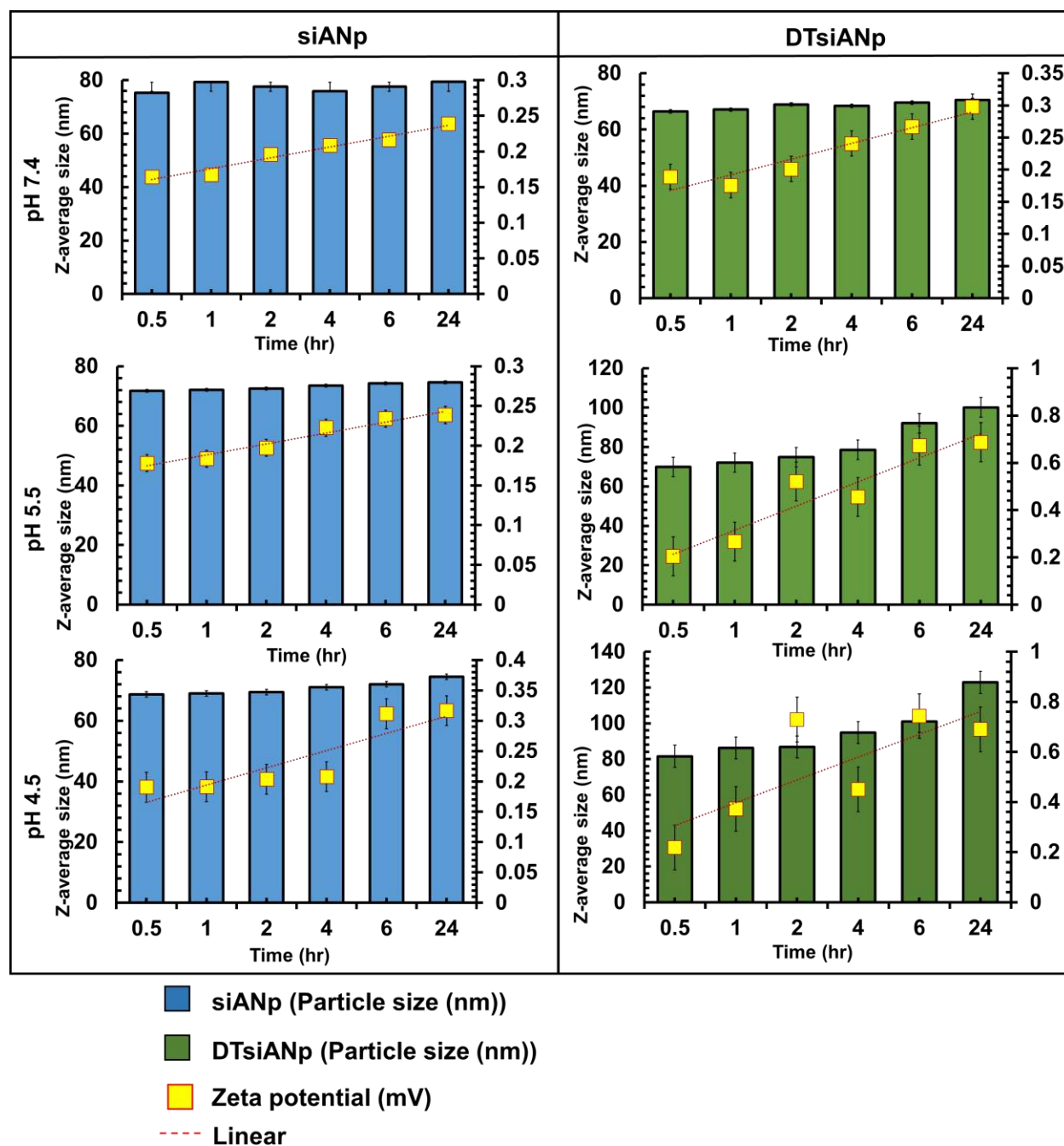
Supplementary Figure S3. (A) Contour plot with design space, and (B) Overlay plot for the synthesis of nanoplex with target product attributes

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Supplementary Table S3: The validation batch with predicted and observed response of nanoplex according to desirability factor.

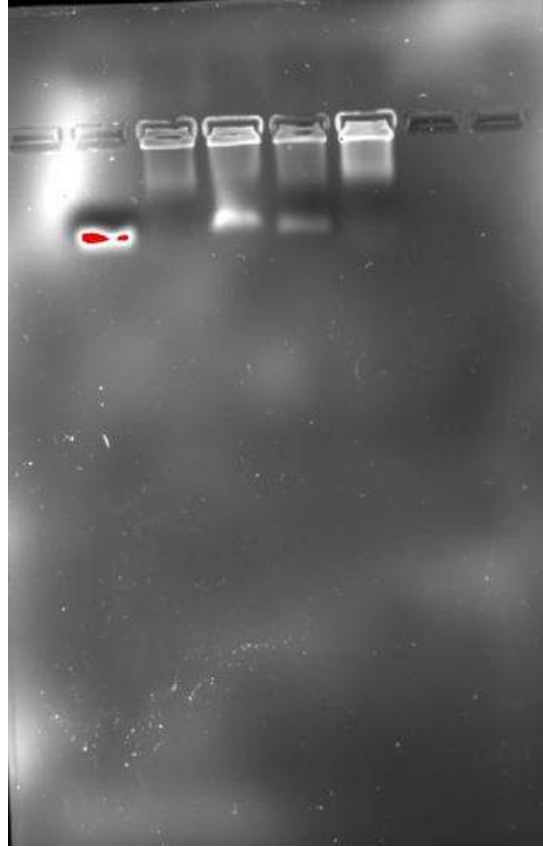
Response	Value predicted by QbD software	Practical value	Standard deviation	% Error
Particle size (nm)	67.044	66.23	5.29	0.27
PDI	0.268	0.289	0.031	7.83
Reaction condition: Albumin concentration: 4% w/v; Ethanol concentration: 100 %v/v; Agitation speed: 1000 rpm. Results are represented as means of at least ten individual experiments ($n=10$).				

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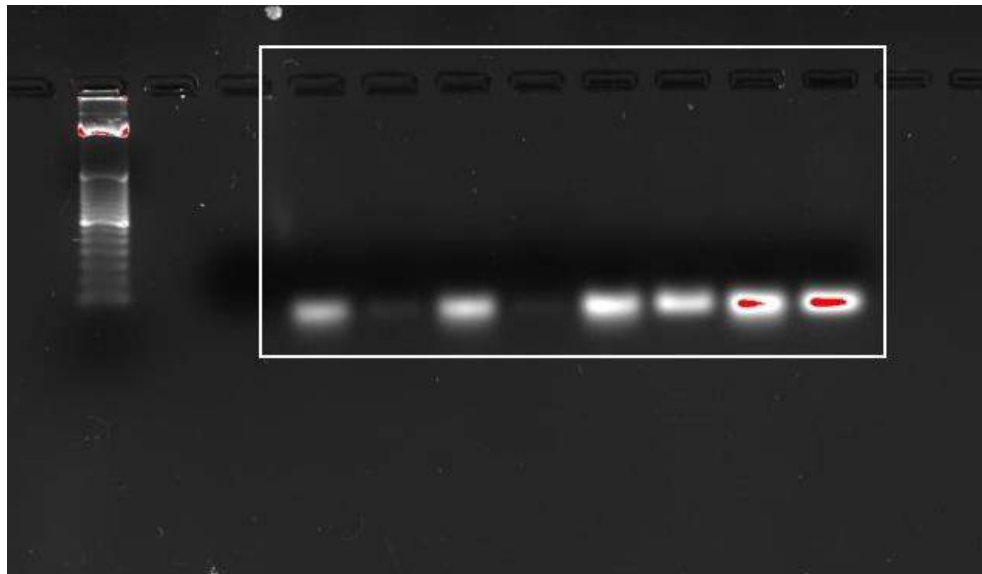


Supplementary Figure S4. The effect of pH 7.4, pH 5.5 and pH 4.5 on particle size at various time points. The nanoplex are found to be stable at various pH up to 24 hr. Results are represented as mean \pm S.D ($n=3$).

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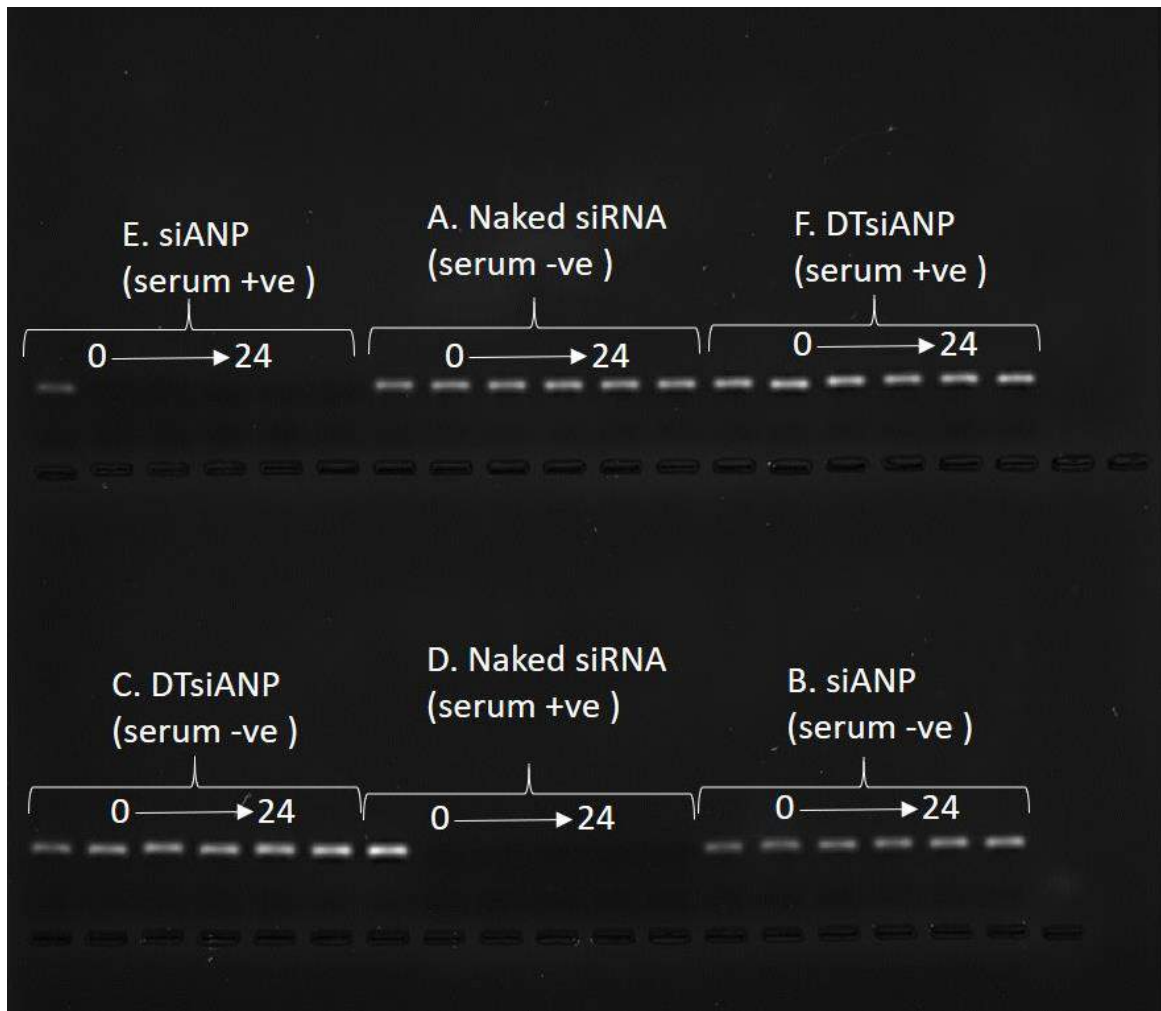
Supplementary Figure S5. Original Gel for Figure 2E of the main manuscript. Well-description (Left to right) Lane 1: Vacant; Lane 2: Lane 3: siANp after centrifugation; Lane 4: siANP after centrifugation (supernatant); Lane 5: DTsiANp after centrifugation (supernatant); Lane: 6 DTsiANp after centrifugation; Lane 7: Vacant; Lane 8: Vacant



Supplementary Figure S6. Representing Gel electrophoresis for the selection of *d*:siR complexation condition. The cropped portion of gel as shown in the inset has been presented in

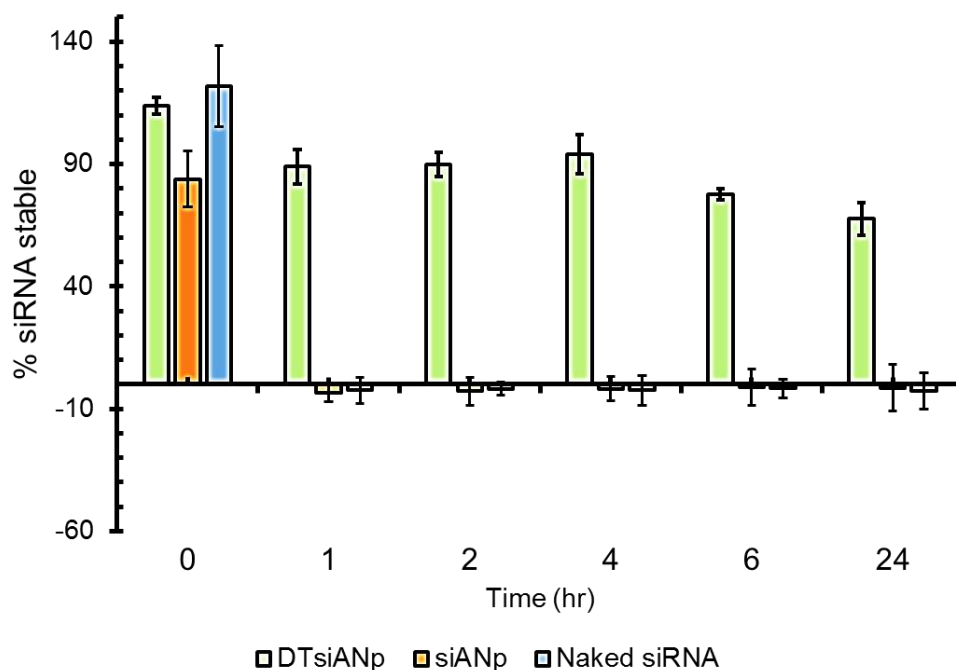
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the main manuscript as Figure 1B. Well description (Left to right) Lane 1: positive control having siRNA in equivalent amount as in *d*:siR complex prepared at 1 *n/p* ratio; Lane 2: *d*:siR complex prepared at 1 *n/p* ratio; Lane 3: positive control having siRNA in equivalent amount as in *d*:siR complex prepared at 0.5 *n/p* ratio; Lane 4: *d*:siR complex prepared at 0.5 *n/p* ratio; Lane 5: positive control having siRNA in equivalent amount as in *d*:siR complex prepared at 0.25 *n/p* ratio; Lane 6: *d*:siR complex prepared at 0.25 *n/p* ratio; Lane 7: positive control having siRNA in equivalent amount as in *d*:siR complex prepared at 0.12 *n/p* ratio; Lane 8: *d*:siR complex prepared at 0.12 *n/p* ratio.



Supplementary Figure S7. Stability profile of siRNA in absence of serum [Serum (-ve)] and in presence of serum [Serum (+ve)] as evaluated by gel electrophoresis (A) naked siRNA [Serum (-ve)], (B) siANp [Serum (-ve)], (C) DTsiANp [Serum (-ve)], (D) naked siRNA [Serum (+ve)] (E) siANp [Serum (+ve)], and (F) DTsiANp [Serum (+ve)]. The inset of this gel has been presented in Figure 4A-F of the main manuscript.

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Supplementary Figure S8. Percentage siRNA stability after treatment with the serum comprised media. The percentage stability was calculated from the serum treated group's siRNA band intensity with reference to siRNA band intensity of serum untreated group.

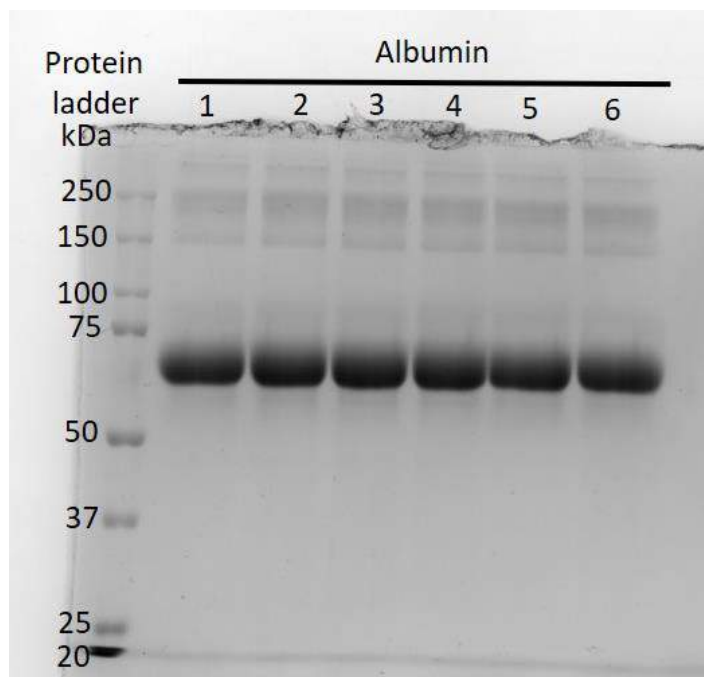
Assessment of albumin purity. The albumin was procured from the HiMedia Laboratories GmbH (Germany; Distributor: Mumbai, India). As suppliers report, the fraction V albumin was $\geq 96\%$ pure and does not contain any other component from the fraction V. The report also confirms that the used albumin was free from IgG, fatty acids and proteases. The same grade of albumin has been widely utilized as a standard for several ELISA assays as reported in datasheet of the manufacturer (<http://himedialabs.com/TD/TC194.pdf>)⁷.

Further, we have also assessed the purity of albumin through SDS-PAGE for the evaluation of components from the fraction V albumin. Results of SDS PAGE ($n=6$) confirms the absence of any impurity and the albumin sample was showing the albumin associated bands between 50–75 KDa. The representative image of the gel has been presented in the supplementary file and can be seen as **Supplementary Figure S9**. Our result from SDS-PAGE was in good agreement with the reported literature⁸⁻¹⁰. The purity of albumin was also analyzed by determining its net protein content through BCA assay kit method. The result obtained using the 1 mg/ml albumin solution showed the net albumin concentration of s 0.969 mg/ml, which confirmed the purity reported by

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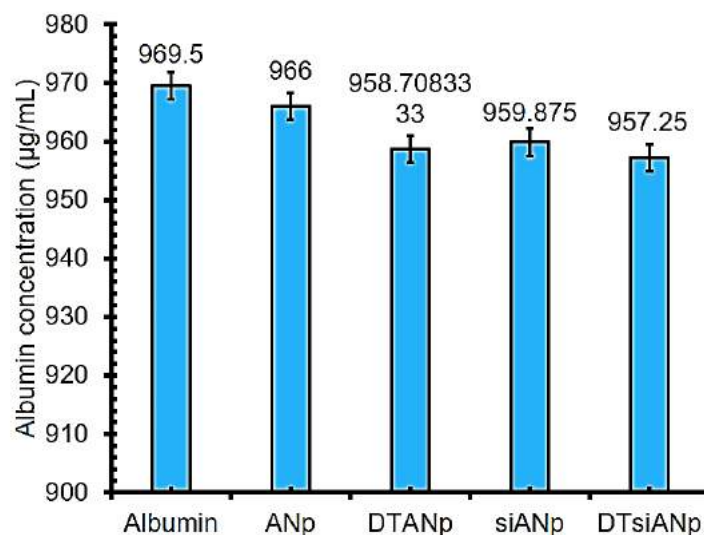
the manufacturer (supplementary file as **Figure S10**). These the experimental outcomes infer that the albumin used in this investigation was pure and was not containing any component from the fraction V albumin.

The proteomic analysis of Fraction V albumin was also performed using MALDI-TOF/MS (AB Sciex 5800 TOF/TOF MALDI; matrix: Sinapinic Acid (30% ACN); albumin (1 mg/100 uL (30% ACN); sample: matrix= 1:5). A peak was found with m/z 66,265.1484 Da (+1) corresponds to albumin and another peak at 33177.0430 Da (+2) and these two characteristic peaks for the albumin. The result also confirmed the albumin and purity of albumin as well as affirmed the absence of any other component of fraction V albumin. The result can be seen in the supplementary data as **Figure S11**. The data obtained in our investigation was in good agreement with the published literature¹¹

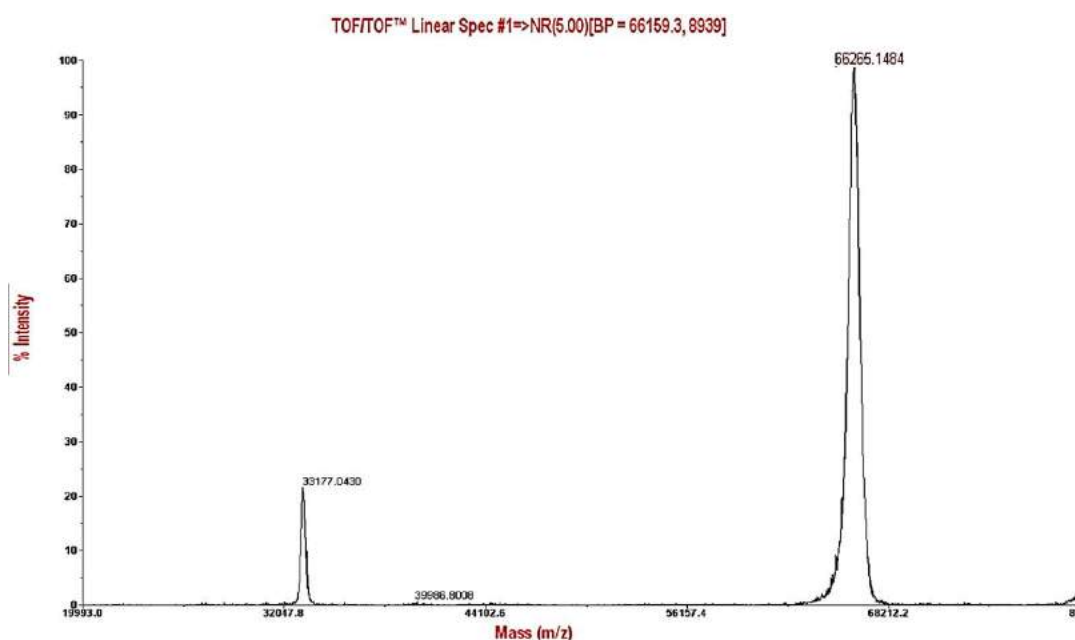


Supplementary Figure S9. SDS-PAGE performed on albumin (15 μ M). Protein ladder showing band for different molecular weight (kDa). Lane 1–6: albumin (n=6).

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Supplementary Figure S10. Protein concentration determination of albumin via BCA assay kit for albumin, albumin nanoplex (ANp), siRNA loaded albumin nanoplex (siANp), dendrimer templated albumin nanoplex (DTANp), dendrimer templated and siRNA loaded albumin nanoplex (DTsiANp). Results are represented as mean±S.D (n=3).



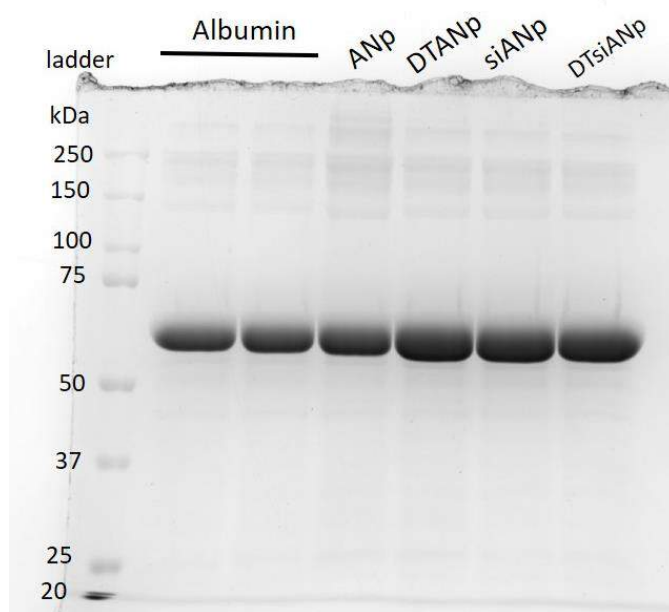
Component	Charge (n)	(M+nH) n+
Albumin fraction V	+1	66265.1484
	+2	33177.0430

Supplementary Figure S11. Representative spectrum for Albumin obtained using MALDI-TOF MS/MS. Table suggesting molecular mass peak of albumin. (AB Sciex 5800 TOF/TOF MALDI; matrix: Sinapinic Acid (30% ACN); albumin (1 mg/100 uL (30% ACN); sample:matrix= 1:5).

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Confirmation of albumin presence in nanoplex. The presence of albumin in ANp, DTANp, siANp, and DTsiANp was confirmed via SDS-PAGE with reference to plain albumin. Result confirmed that the albumin remained intact even after formulating albumin as nanoplexes (ANp, DTANp, siANp, and DTsiANp). The obtained bands of albumin from ANp, DTANp, siANp, and DTsiANp have remained at similar molecular weight with reference to plain albumin (75-55 kDa; **Supplementary Figure S12**) confirmed that albumin remained in the intact form even after the preparation of nanoplex.

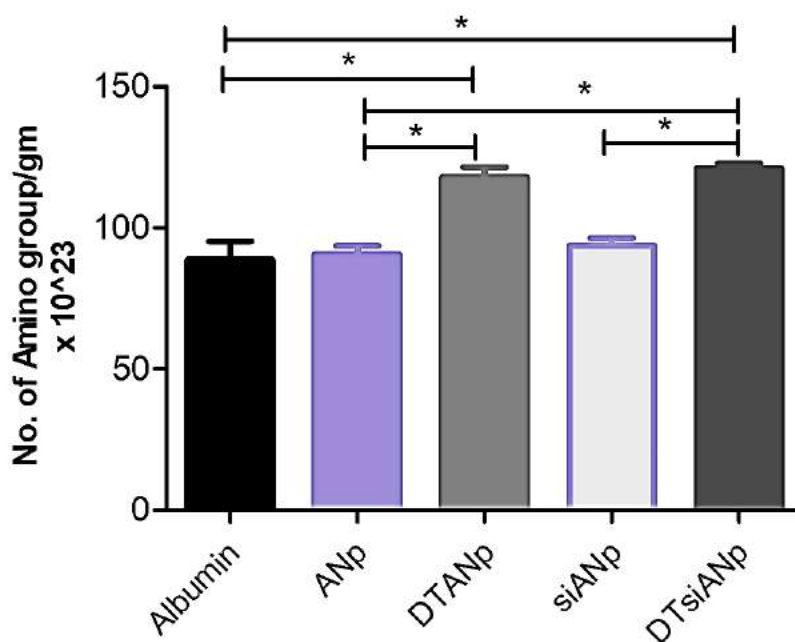
Further, the presence of albumin in ANp, DTANp, siANp, and DTsiANp was also confirmed via protein concentration determination via BCA reagent assay kit. The protein concentration obtained from 1 mg/mL nanoplex sample was found to be 0.966 ± 0.032 mg/ml (ANp), 0.958 ± 0.043 mg/ml (DTANp), 0.959 ± 0.012 mg/ml (siANp), and 0.957 ± 0.039 mg/ml (DTsiANp), respectively (**Supplementary Figure S10**). The data confirmed that the albumin composed of a major portion of nanoplexes as 96.6 ± 0.92 % (ANp), 95.8 ± 3.27 % (DTANp), 95.96 ± 1.23 % (siANp) and 95.76 ± 4.24 % (DTsiANp), respectively.



Supplementary Figure S12. SDS-PAGE performed on albumin (15 μ M) and albumin formulations viz. ANp, DTANp, siANp, and DTsiANp. Protein ladder showing band for different molecular weight (kDa). Lane 1-2: albumin, lane 3: ANp, Lane 4: DTANp, lane 5: siANp, lane 6: DTsiANp.

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Confirmation of dendrimeric template presence in nanoplex. The incorporation of dendrimer molecule in the nanoplex was confirmed via 2,4,6-Trinitrobenzene Sulfonic Acid (TNBSA) assay. This assay gives a quantitative analysis of the free primary amino group in a given sample. The outcome of this experiment suggested the presence of $88.905 \times 10^{23} \pm 9.01$ free primary amino group per gram of plain albumin sample. The nanoplex showed $90.66 \times 10^{23} \pm 4.24$ free primary amino group per gram of ANp, $93.87 \times 10^{23} \pm 3.70$ per gram of siANp. Whereas, the obtained no. of number of free primary amino group from DTANp and DTsiANp was found to be $117.92 \times 10^{23} \pm 5.09$ and $121.2 \times 10^{23} \pm 2.51$ per gram of nanoplex, respectively (**Supplementary Figure S13**). An enhancement of 23.11 ± 1.08 % and 22.54 ± 1.67 % in the proportion of the free primary amino group was observed in case of DTANp and DTsiANp. The increment in the proportion of primary amino group in the dendrimeric templated nanoplex can be ascribed to the presence of dendrimer in the DTANp and DTsiANp formulation.

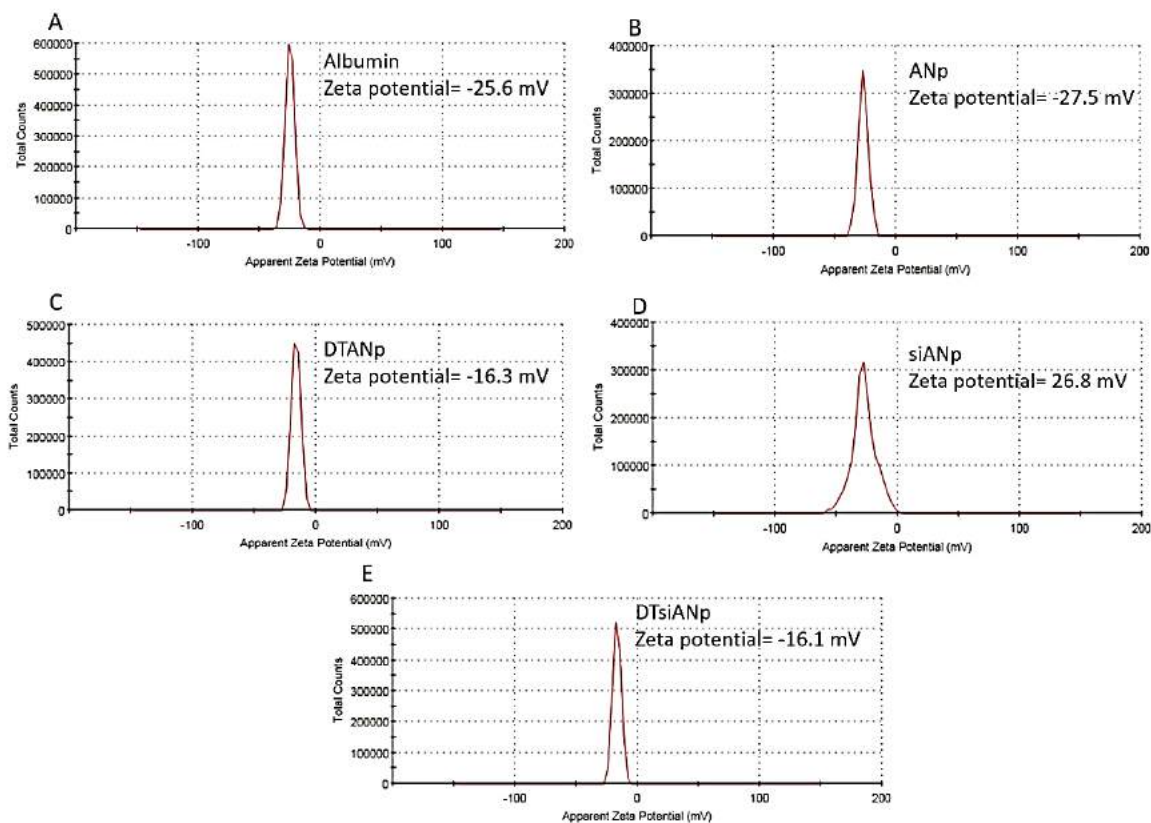


Supplementary Figure S13: 2,4,6-Trinitrobenzene Sulfonic Acid (TNBSA) assay for albumin, ANp, DTANp, siANp, and DTsiANp. * $p < 0.05$. Results are represented as mean \pm S.D (n=3).

Further, the presence of dendrimeric template in the nanoplex was also confirmed through surface charge analysis. The surface charge of the plain albumin, ANp and siANp was found to be -25.6 ± 0.95 mV, -27.5 ± 0.64 mV, and -26.8 ± 0.89 mV, respectively. After the incorporation of the dendrimeric template in the nanoplex, the surface zeta potential changed to -16.3 ± 1.27 mV and $-$

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16.1±1.06 mV for DTANp and DTsiANp, respectively. This 40.72±1.42 % and 39.92±1.16 %, respective increment in the surface charge of DTANp and DTsiANp compared to ANp and siANp suggested the incorporation of dendrimeric template in nanoplex. The **Supplementary Figure S14** data evidenced that the presence of albumin and dendrimer molecule in formulated nanoplex.

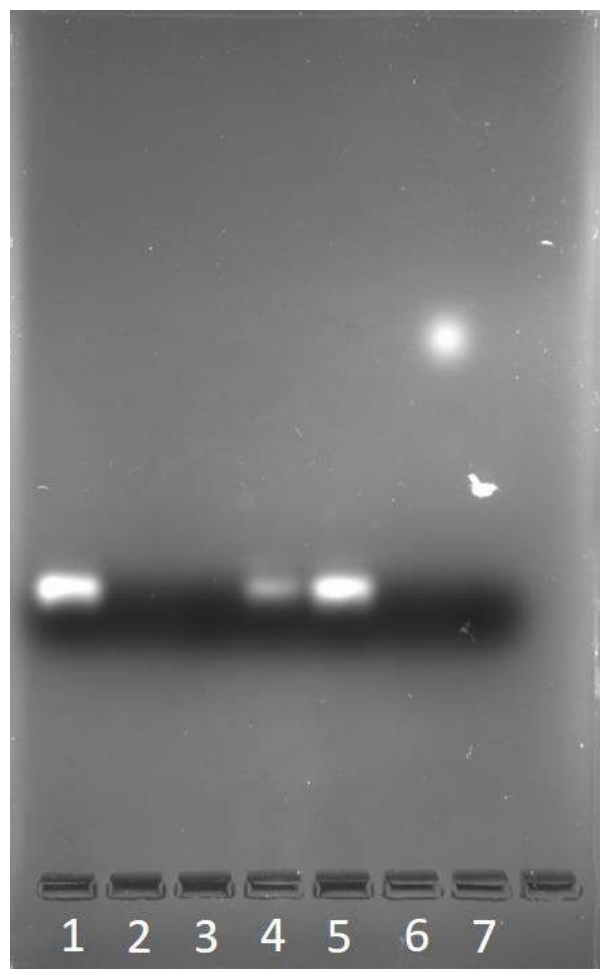


Supplementary Figure S14: Surface zeta potential of (A) albumin (B) ANp (C) DTANp (D) siANp (E) DTsiANp.

RNase protection assay. The results of this study suggested that the precipitated/loosely bound/surface adhered siRNA from siRNAp and DTsiANp gets degraded in presence of RNase enzyme which can be seen in lane:2 and lane:3, respectively. Here, lane:1 act as a control free siRNA of equal amount (150 ng siRNA/well). Whereas, the encapsulated siRNA gets released out after sonication and repeated vigorous pipetting was found to be intact that can be seen as lane:4 and lane:5, respectively (**Supplementary Figure S15**). It advocates that when intact pellet was treated with RNase enzyme, then only the loosely bound siRNA or surface adhered siRNA gets degraded. In this case, the band of siRNA does not appear. The emergence of the band after forceful liberation of siRNA (lane:4 and lane:5) infers that the major portion of siRNA is available

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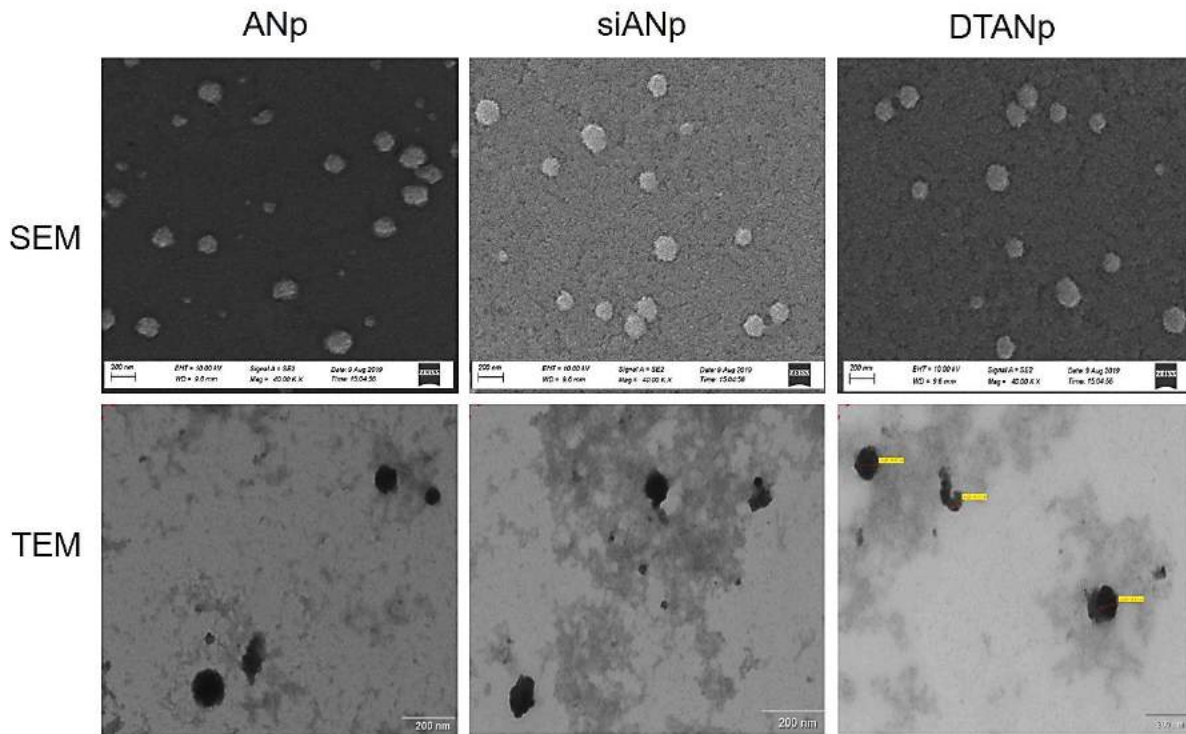
in the encapsulated form inside the nanoplex in a stable form. In case of the lane: 4, a faint band of siRNA was observed due to very less (~15% siRNA) entrapment of siRNA in siANp owing to the negative charge of albumin. Besides, lane: 6 and lane: 7 were not showing any siRNA band because in these cases the siRNA was simply precipitated with albumin and albumin-dendrimer solution, respectively. It may be noted that when the precipitated siRNA directly comes in contact with RNase enzyme, siRNA gets degraded easily (lane:6 and lane:7). Whereas encapsulated siRNA remains intact inside nanoplex carrier and protect siRNA from degradation (lane:4 and lane:5; also proved through serum stability study). This experiment confirms that the siRNA was actually loaded inside the nanoplex and are formed nanoplex was not having the physical co-precipitates of siRNA and albumin.



Supplementary Figure S15: Agarose gel electrophoresis data representing actual incorporation of siRNA in nanoplex. Lane 1: free siRNA, Lane 2: siANp (pellet+sonication)+RNase, lane 3: DTsiANp (pellet+sonication)+RNase, lane 4: siANp+RNase, lane 5: DTsiANp+RNase, lane 6:

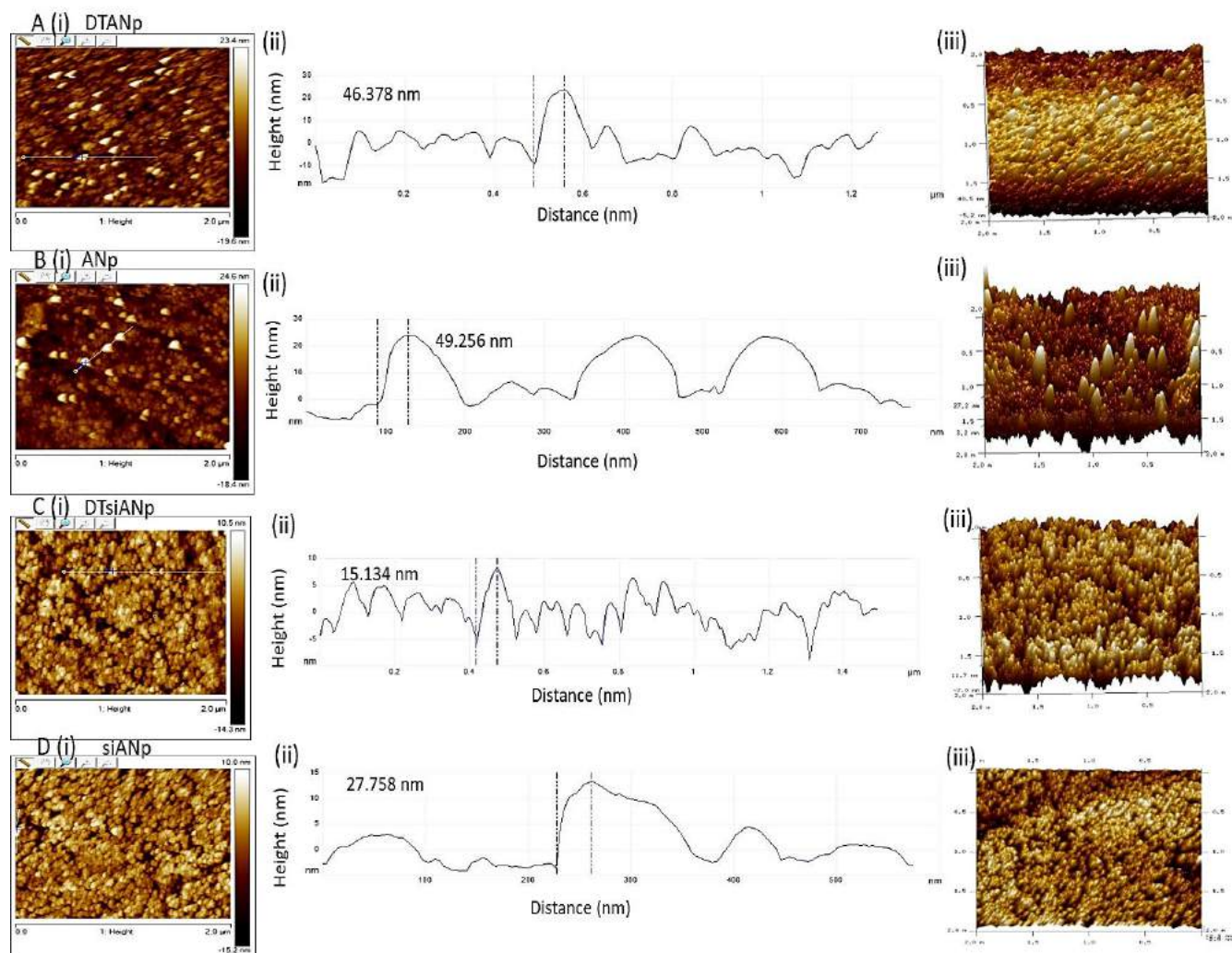
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Albumin+siRNA external precipitation (pellet)+RNase, lane 7: Albumin+dendrimer+siRNA external precipitation (pellet)+RNase.

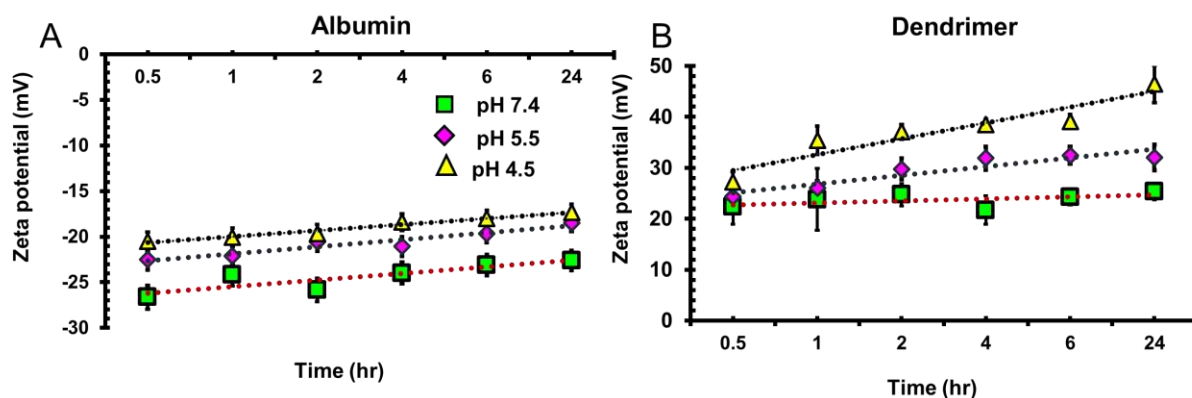


Supplementary Figure S16: Representative SEM images (A) ANp (B) DTANp (C) siANp, and representative TEM images (D) ANp (E) DTANp (F) siANp.

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Supplementary Figure S17: Representative images of AFM analysis for (A) DTANp, (B) ANp, (C) DTsiANp and (D) siANp; (i) 2D height images of nanoplex, (ii) respective sectional profile (iii) 3D height images of nanoplex. Scale bar represents 2 μm .



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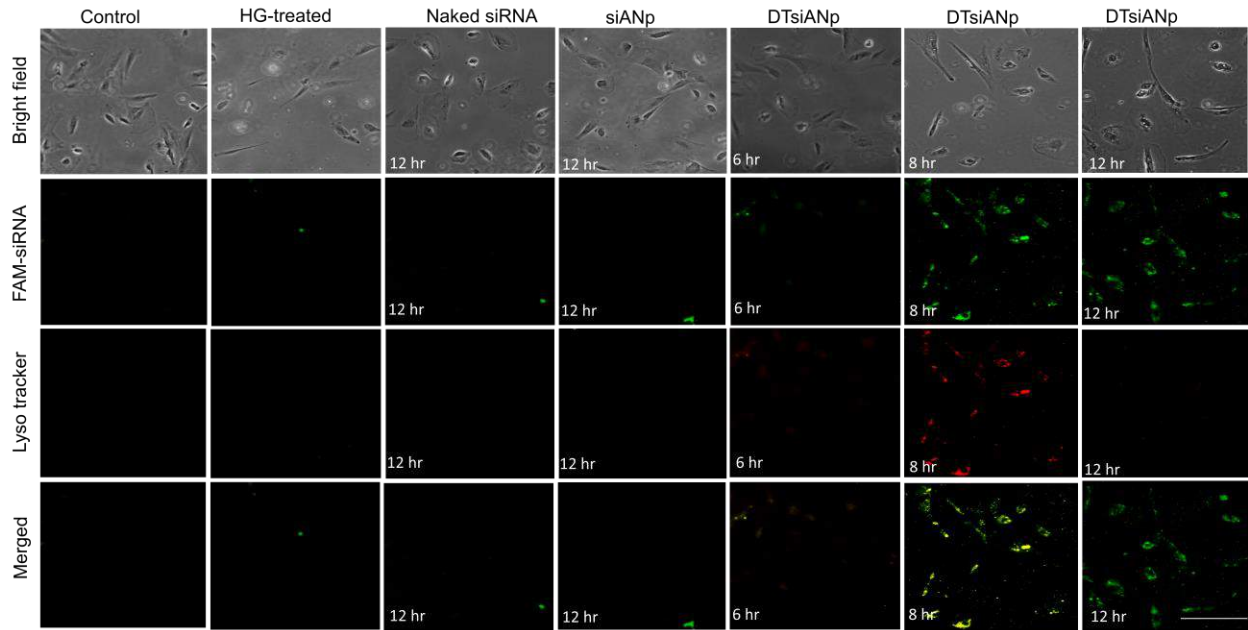
Supplementary Figure S18: pH responsiveness of (A) plain albumin and (B) dendrimer in terms of surface charge at pH 7.4, 5.5 and 4.5.

Endo/lysosomal escape assay. As observed in **Supplementary Figure S19**, after 12 hr, the siANp was not exhibiting any cytosol associated fluorescence. The fluorescence from siANp was primarily restricted to endosome inferring the lack of endosomal escape tendency in this nanoplex. Whereas, DTsiANp showed a significant co-localization of green fluorescence of FAM-siRNA and red fluorescence of endosome selective Lyso-Tracker dye at 8 hr. Initially at 6 hr, very less red fluorescence was observed compared to at 8 hr, which signifies the endosomal uptake of DTsiANp. Notably, at 12 hr time point, the red fluorescence shifted to the cytoplasmic compartment of the cell, and only the green fluorescence was observed in the endosome. This event clearly signifies the endosomal escape ability of DTsiANp (**Supplementary Figure S19**).

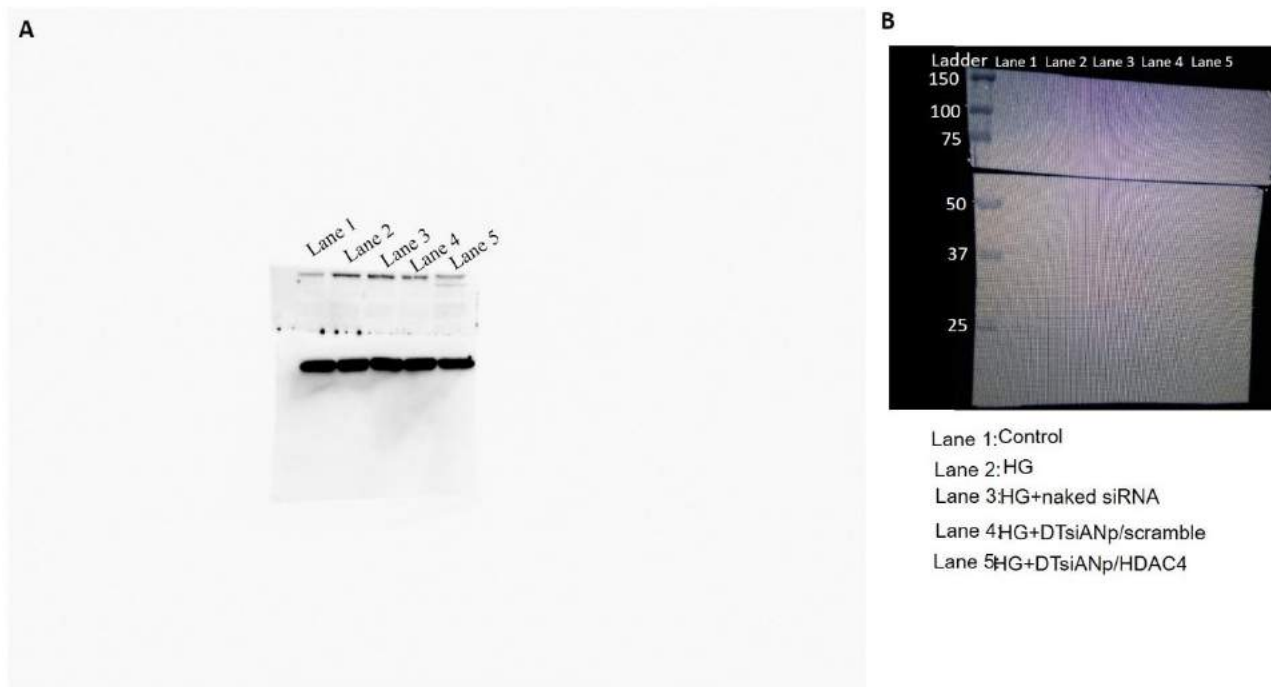
The endosomal escape tendency of DTsiANp can be ascribed the pH-responsive behavior of DTsiANp owing to the existence of free primary amines in dendrimeric template present in the nanoplex. It may be noted that the amine groups of dendrimers undergo protonation under acidic pH leading to enhancement in net surface positive charge. The protonation of the nanoplex assembly under the acidic environment of endosome generates the repulsive microenvironment in the architect of nanoplex. This repulsive microenvironment existing within the nanoplex leads to an increment in its hydrodynamic crevices volume with a marked increase in ionic concentration osmotically. The cumulative enhancement in size of DTsiANp leads to the swelling of the endosomal compartment ultimately leading to the rupture of the endosomal membrane to mediate endosomal escape of DTsiANp. This event liberates the DTsiANp from the endosomal compartment before its degradation by lysozyme (phenomenon referred as endosomal escape).

This effect was further confirmed via pH-responsive change in the surface zeta potential and particle size of nanoplex (**Supplementary Figure S19**). This pH-responsive and endosomal escape effect was primarily due to dendrimeric template of nanoplex not because of the loaded siRNA. It confirmed that pH has no notable impact on siRNA. The observed effect can be ascribed to the protonation behavior of dendrimeric template present in the nanoplex¹².

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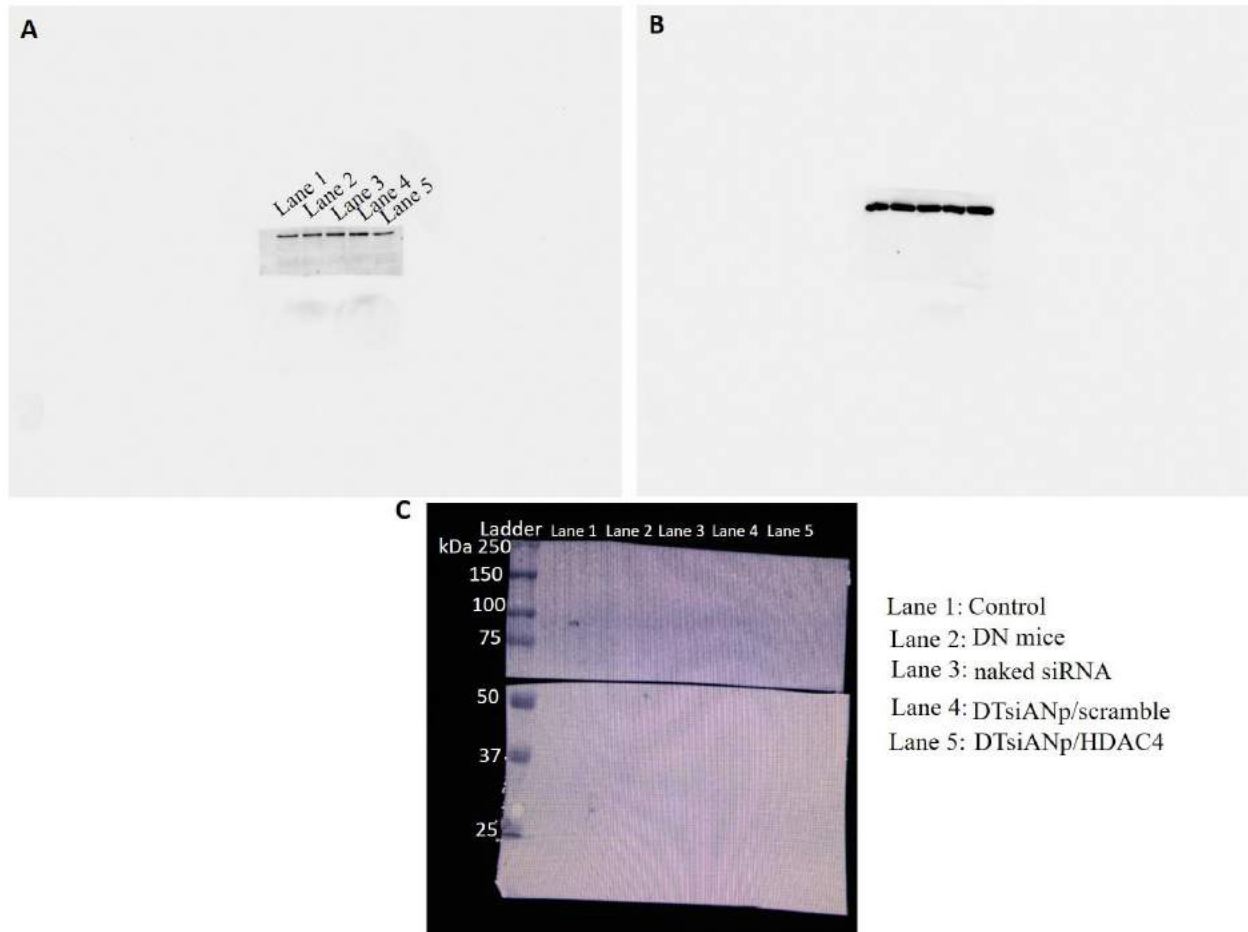
Supplementary Figure S19: Images representing endosomal escape activity. Green fluorescence was observed from FAM siRNA loaded in DTsiANp and red fluorescence was observed from endo/lysosome labeled with lyso-tracker. Co-localization of FMA-siRNA with lyso-tracker showed yellow fluorescence. Scale: 25 μ m.



Supplementary Figure S20. (A) Western blot analysis for HDAC4 protein and β -actin expression in HG-treated podocytes from the same blot and from the same membrane. HG-treated cells were taken as a positive control. (B) Representative blot image for the ladder indicating the molecular weight of protein and lane explanation; Lane1: Control; lane 2: HG; Lane 3: HG+naked siRNA;

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Lane 4: HG+DTsiANp/Scramble; Lane 5: HG+DTsiANp/HDAC4. The inset of this blot has been presented in Figure 5D of the main manuscript.



Supplementary Figure S21. (A) and (B) Western blot analysis for HDAC4 protein and β -actin expression in healthy control and DN diseased kidneys obtained from the same blot and from the same membrane (C) Representative blot image, ladder indicating molecular weight of protein and lane explanation; Lane1: Control; lane 2: DN mice; Lane 3: naked siRNA; Lane 4: DTsiANp/Scramble; Lane 5: DTsiANp/HDAC4. The inset of this blot has been presented in Figure 6D of the main manuscript

Methods

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Preliminary screening of process variables using Two-level Five-factor (2⁵) full factorial design. The product as well as process-related parameters that directly effects the net properties of nanoplex and its probable effect on critical quality attributes were the first identified¹³. Based on scientific understanding, for the therapeutic efficacy and targeting purpose given parameters are substantially contribute towards targeting as well as therapeutic efficacy. For the purpose of optimization, we applied Two-level factorial design for identification of main affecting factors from a large number which critically affects the formulation quality. Factors were estimated at two levels (five factors) as high level (+1) and low level (-1) and level of parameters were decided based on preliminary experiments and scientific literature data and. This high- and low-level independent variables were as shown in **Table S4** Design-Expert Software (Stat-Ease, Version 7.0; Minneapolis, MN) software was utilized which produce randomize design matrix of experiments which were performed in a random manner. Analysis of applied design by means of regression analysis, ANOVA and coefficients of quadratic equations were taken into consideration for particle size and PDI.

Supplementary Table S4: Factors and corresponding process parameter levels for two-level full factorial design

Factor	Unit	Process Parameter Level	
		Low	High
Albumin concentration	% w/v	2	6
Ethanol volume	% v/v	200	600
Agitation speed	rpm	500	1000
Ethanol concentration	% v/v	40	100
Rate of addition	μl/min	20	60

The mentioned factors which affect nanoplex preparation and were taken in their low and high levels were based on previously run experiments.

QbD-driven Optimization of screened process parameters using Box-Behnken design. After the process and product parameters using two-level full factorial design, Box-Behnken design was applied using Design-Expert Software (Stat-Ease, Version 7.0; Minneapolis, MN) for final optimization of selected process parameters. The response surface method was applied using three selected factors including albumin concentration, ethanol concentration, and agitation speed (Total

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17 runs). It may be noted that the low and higher level (five-factor) were directly taken from two-level full factorial design and mid-level were at middle-point of low and high levels as shown in **Table S5**.

The Box-Behnken design gave polynomial equations for determining the predictive interactions among the selected process parameters. With the help of design, a non-linear quadratic model was generated and the polynomial equation was obtained as follows:

$$Y = \beta_0 + \beta_1A + \beta_2B + \beta_3C + \beta_{12}AB + \beta_{13}AC + \beta_{23}BC + \beta_{11}A^2 + \beta_{22}B^2 + \beta_{33}C^2 \dots \dots \dots \text{Equation (S3)}$$

Whereas Y denoted for response, A, B, and C are the main affecting parameters, AB, AC and BC are interaction factors, A^2 , B^2 , and C^2 signifies quadratic effects of given factors, β_0 is constant arithmetic mean response, and $\beta_1, \beta_2, \beta_3, \beta_{12}, \beta_{13}, \beta_{23}, \beta_{11}, \beta_{22}, \beta_{33}$ are estimated coefficients for the respected factors. The independent variables for optimization were albumin concentration (A), ethanol concentration (B), and agitation speed (C) at their low, medium and high level as represented in **Table S5**. Based on various statistical parameter software was evaluated and provided 3D response surface plot. The *p*-value evaluates the significance of factors based on the responses. Moreover, ANOVA was also applied to evaluate the significance of model and factors. The interactions between the selected process parameters were also evaluated by analyzing the contour plots and reach conclusive remarks.

Supplementary Table S5: Box-Behnken design: Factors and their levels

Process parameters	Unit	Levels		
		High	Medium	Low
Albumin	% w/v	6	4	2
Ethanol concentration	% v/v	100	70	40
Agitation speed	rpm	1000	750	500

Three levels for three main factors were selected in Box-Behnken design and based on it experiments were carried out on the basis of the runs obtained by the software.

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Validation of QbD Design and Model. The optimized nanoplex was formulated by employing the experimental conditions as suggested in QbD Design (**Table S3**). The nanoplex was synthesized and evaluated based on the predicted and experimentally obtained responses. Further, the formulation was analyzed for its desired product attributes including targeted particle size and PDI. To ensure the desired product quality for evaluation of applied design space analyzed by validation of a model for the effect of every CPP on CQA.

Assessment of albumin purity. The purity of albumin used in the current investigation was checked via sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE), bicinchoninic acid assay (BCA assay) and MALDI-TOF/MS. The albumin was analyzed by SDS-PAGE for the presence of other components from the fraction V albumin. SDS-PAGE was carried out using stacking (5 %w/v) and resolving (10 %w/v) polyacrylamide gel. Albumin (15 μ M) (n=6) was loaded on the gel and allowed to run (80 V for 1.5 hr). After electrophoresis, the gel was stained using Coomassie brilliant blue and followed by destaining with a solution containing 1:1:8::v:v:v; methanol: glacial acetic acid: water. The gel was seen under Chemiluminescent Gel Doc system (Bio-Rad Laboratories, California, USA)¹⁴.

The BCA assay was performed to evaluate the concentration of albumin present in fraction V albumin. BCA assay was performed using the BCA protein assay kit (Pierce BCA Protein Assay Kit, Thermo Fischer Scientific, USA). Albumin (1 mg/ml) solution was prepared and mixed with the BCA working reagents (reagent A: B, 50:1). Here, protein sample preparation water was considered a blank solution. Protein samples were taken in a microplate well and incubated for 30 min at 37°C and absorbance was taken at 562 nm on a multimode plate reader (Varioskan LUX Multimode, Thermo Fisher Scientific, Massachusetts, USA). The concentration of albumin from nanoplex was calculated from the standard curve of albumin.

MALDI-TOF/MS was performed for proteomic analysis of the albumin. Using 5800 MALDI-TOF MS instrument (AB SCIEX, CA, USA). The albumin solution (1 mg/100 μ L) was mixed with an equivalent amount of the matrix used Sinapinic Acid in 30 %v/v acetonitrile and 0.1 %v/v trifluoroacetic acid (sample:matrix= 1:5). A 1 μ L sample was spotted on the target plate and evaporated under a mild stream of warm air. The mass spectra were developed in positive reflector mode with 25 kV accelerating voltage.

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Confirmation of albumin presence in nanoplex. The presence of albumin in ANp, DTANp, siANp, and DTsiANp was confirmed via SDS-PAGE analysis as protocol mentioned above on stacking (5 %w/v) and resolving (10 %w/v) polyacrylamide gel. Equal concentration of albumin, ANp, DTANp, siANp, and DTsiANp (15 μ M) was loaded on to the gel. Then, the gel was stained using Coomassie brilliant blue and followed by destained with a solution. The gel was visualized under Chemiluminescent Gel Doc system¹⁴.

Albumin presence in nanoplex (ANp, DTANp, siANp, and DTsiANp) was further confirmed via evaluating albumin concentration from the ANp, DTANp, siANp, and DTsiANp with reference to albumin using BCA reagent assay kit. A 1mg/mL of each nanoplex and albumin were dissolved in DEPC treated RNase free water. The samples were mixed with BCA reagent A and B as mentioned above, then after incubating, absorbance was measured.

Confirmation of dendrimeric template presence in nanoplex. The incorporation of dendrimeric template in nanoplex was assessed via 2.3.3 2,4,6- Trinitrobenzene sulfonic acid (TNBSA) assay¹⁵. Briefly, ANp, siANp, DTANp and DTsiANp (5-100 μ g/mL) were taken in 0.1 M sodium bicarbonate (pH 8.5) buffer. Then, the TNBSA (0.01 %w/v) solution was added and incubated at 37 \pm 0.5 $^{\circ}$ C for 2 h. Followed by 10 %w/v SDS and 1 N HCl was added to each sample to stop the reaction, and absorbance was measured at 335 nm in UV-visible spectrophotometer (Shimadzu, Kyoto, Japan) to determine the number of free amine groups. Number of albumin per gram was calculated with the help of the standard curve of glycine (2–20 μ g/mL).

The incorporated dendrimeric template in nanoplex was further confirmed via surface charge evaluation. The ANp, siANp, DTANp and DTsiANp were diluted (10 times) using ultra-pure water and surface zeta potential was measured using Zetasizer (Nano-ZS90, Malvern Instruments, Worcestershire, UK).

RNase protection assay. To verify incorporation of siRNA inside the nanoplex and verify that the resultant architect is not the mere coprecipitate of siRNA and albumin, we performed the agarose gel electrophoresis experimentation. First of all, the prepared siANp and DTsiANp were reconstituted in DEPC treated RNase free water and centrifuged (21,000 g for 15 min at 4 $^{\circ}$ C). The obtained pellet was collected was suspended in DEPC treated RNase free water and one portion of the pellet was treated with RNase enzyme (0.35 μ g/1 μ g siRNA) for 30 min. It may be noted that the co-precipitate of siRNA or loosely attached siRNA were available to react with RNase

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enzyme. In another control, the formed pellet was vigorously pipetted (10 min) and subsequently sonicated in an ultra sonicator for 30 min. This was done to mediate the breakage of nanoplex and release out the loaded siRNA from the nanoplex. Earlier prepared RNase treated pellet suspension and sonicated suspension of siANp and DTsiANp was loaded on agarose gel for evaluation of availability as well as stability of siRNA. On the other hand, as a reference, albumin siRNA (40mg: 200 pmol) and dendrimer-albumin-siRNA (40 mg albumin with selected *d*:siR ratio)) solution was incubated via stirring on a magnetic stirrer for 1 hr (1000 rpm) and siRNA was precipitated. The precipitated siRNA from albumin and albumin-dendrimer was also treated similarly with RNase enzyme (0.35µg/ 1 µg siRNA) for 30 min as mentioned for siANp and DTsiANp followed by loaded on the agarose gel.

Endo/lysosomal escape assay. The endo/lysosomal escape tendency of developed nanoplexes was performed on HG treated differentiated podocytes. Briefly, the podocytes were seeded on glass coverslips in 6 well culture plate (1×10^6 cells/well) and incubated for 24 h, then cells were treated with HG for 48 hr. After that, the cells were then treated with FAM-labeled siRNA (30 pmol; 3-4 µg/µL) loaded DTsiANp and siANp. After 6, 8 and 12 hr of incubation, podocytes were washed (3 times) with PBS (1X) and treated with LysoTracker dye (Thermo Fisher Scientific, Massachusetts, USA) for 30 min. Then, the podocytes cells were again washed with PBS (1X; 3 times) and fixed by Fluoroshield histology mounting medium (Sigma-Aldrich, Missouri, USA) on glass-slide (Borosil, Mumbai, India) and visualized using a Leica TCS SP5 AOBS Confocal microscopy system (Leica, Germany)¹⁶.

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