

# Chapter 1

## Set Theory

### 1.1 Ordinal

**Proposition 1.1.1** *If  $\alpha$  is an ordinal, then  $\alpha \notin \alpha$ .*

*Proof.*  $\alpha$  is an ordinal if it is a transitive set and is well-ordered with respect to  $\in$ . Assume that  $\alpha \in \alpha$  holds, then  $\alpha$  is an element of itself. This lead to the contradiction  $\alpha < \alpha$ , which violate the property of tot-ordering that states  $\alpha \leq \alpha \wedge \alpha \leq \alpha \Rightarrow \alpha = \alpha$ .  $\square$

**Corollary 1.1.2** *If  $\alpha$  is an ordinal,  $\alpha + 1 := \alpha \cup \{\alpha\}$  is actually  $\alpha \sqcup \{\alpha\}$ .*

**Lemma 1.1.3** *(This lemma suggests that **On** possesses a total-ordering.)*

1.  $\alpha$  is ordinal and  $\beta \in \alpha$ , then  $\beta$  is an ordinal.
2. For any ordinals  $\alpha, \beta$ , if  $\alpha \subsetneq \beta$ ,  $\alpha \in \beta$ .
3. For any ordinals  $\alpha, \beta$ ,  $\beta \subset \alpha \vee \alpha \subset \beta$ .

*Proof.* (2): Let  $\gamma$  be the minimal element of  $(\beta \setminus \alpha, \leq)$ . It is clear that  $\gamma \in \beta \setminus \alpha$ . We assert that  $\alpha = \{x \in \beta : x < \gamma\}$ , where the latter is nothing more than  $\gamma$ . Here is the proof: Firstly, suppose  $y \in \{x \in \beta : x < \gamma\} = \gamma$ . If  $y \notin \alpha$ , then  $y < \gamma \wedge y \in \beta \setminus \alpha$ , thus making  $y$  the minimal element and contradicts our assumption. Therefore we established that  $\gamma \subset \alpha$ . Secondly, notice that  $\gamma, \alpha$  are elements that comply with the well-ordering of  $\beta$ , and  $\gamma \notin \alpha$ , thus  $\gamma \geq \alpha$ . We only consider the case of  $\alpha < \gamma$ : due to the transitivity,  $\forall x \in \alpha, x \in \alpha \wedge \alpha \in \gamma \Rightarrow x \in \alpha \subset \gamma \Rightarrow x \in \gamma$ , therefore  $\alpha \subset \gamma$ .

(3): Let  $\gamma = \alpha \cap \beta$ , we assert that either  $\gamma = \alpha$  or  $\gamma = \beta$  must be true since  $\gamma \in \alpha \wedge \gamma \in \beta \Rightarrow \gamma \in \gamma$ .  $\square$

**Corollary 1.1.4** *Suppose  $C$  is a class of ordinals, then  $\bigcap C$  is an ordinal, and  $\bigcap C \in C$ . (This corollary implies that every class of ordinals has a minimal element, and therefore **On** is well-ordered.)*

*Proof.* (step1) Firstly, we prove that  $\bigcap C \in C$  is an ordinal. Let  $\gamma$  be  $\bigcap C$ . According to the Axiom schema of separation,  $\bigcap C$  is a set. Choosing a  $c_0 \in C$ , then we have:

$$\begin{aligned} \text{for any arbitrary } x \in \gamma &\Leftrightarrow x \in c \ (\forall c \in C) \\ &\Rightarrow \gamma \subset c_0 \\ &\Rightarrow \gamma \text{ is well ordered} \end{aligned}$$

As for the transitivity, the proof is as follows:

$$\begin{aligned} \text{for any arbitrary } x \in \gamma &\Leftrightarrow x \in c \ (\forall c \in C) \\ &\Rightarrow x \subset c \ (\forall c \in C) \\ &\Rightarrow x \subset \gamma \end{aligned}$$

(step2) Next, we prove that  $\bigcap C \in C$ . Assume that  $\gamma \notin C$ ,  $\forall x \in \gamma \Rightarrow x \in c \ (\forall x \in C)$ , thus  $\gamma \subset c \ \forall c \in C$ , we also have  $\gamma \neq c \ (\forall c \in C)$  because  $\gamma \notin C$ . Combine these two conclusions clause (2) of 1.1.3, we get  $\gamma \in c \ (\forall c \in C)$ . This implies  $\gamma \in \bigcap C = \gamma$ , which is impossible.  $\square$

**Corollary 1.1.5**  $\alpha \sqcup \{\alpha\} = \inf\{\beta : \beta > \alpha\} := \bigcap\{\beta : \beta > \alpha\}$ .

*Proof.*

$$\begin{aligned} \forall x \in \alpha \sqcup \{\alpha\} &\Rightarrow x \in \alpha \vee x = \alpha \\ &\Rightarrow (\forall \beta > \alpha \Rightarrow \beta > x) \\ &\Rightarrow x \in \bigcap\{\beta : \beta > \alpha\} \end{aligned} \quad \square$$

To prove the reverse containment, pursuant to lemma 1.1.3 we have  $\forall x \in \mathbf{On} \wedge x \notin \alpha \sqcup \{\alpha\} \Rightarrow a \in x \Rightarrow x \in \{\beta : \beta > \alpha\}$ . We now verify that  $x \notin \bigcap\{\beta : \beta > \alpha\}$ . Let  $\gamma$  be  $\bigcap\{\beta : \beta > \alpha\}$ , and assume that  $x \in \gamma$ . If  $x = \gamma$ , it makes  $x = \gamma \in \gamma$ . If  $x \neq \gamma$ , as discussed in 1.1.4, let  $\gamma$  be the minimal element of set  $\{\beta : \beta > \alpha\}$ . Suppose  $x$  is an element belongs to the same class but distinct from  $\gamma$ . The only possibility is that  $\gamma \in x$ , which leads to the contradiction.

**Corollary 1.1.6**  $S$  is a set of ordinals, then  $\sup S := \bigcup S$  is also an ordinal.

*Proof.* In accordance with the Axiom schema of replacement,  $\bigcup S$  is indeed a set.

We now prove that  $\bigcup S$  is well-ordered. For any arbitrary  $x_1, x_2 \in \bigcup S$ , there exists  $\alpha_1, \alpha_2 \in S$ , so that  $x_1 \in \alpha_1, x_2 \in \alpha_2$ .  $\alpha_1, \alpha_2$  are two ordinals that satisfy the ordering of  $\mathbf{On}$ , so  $x_1, x_2$  must belong to at least one of these two ordinals. Therefore  $x_1, x_2$  are comparable under the ordering of ordinals. Thus,  $\bigcup S$  is tot-ordered. Suppose  $P \subset \bigcup S \wedge P \neq \emptyset$ , then  $P = \bigcup_{\alpha \in S} (\alpha \cap P)$ . There must exists an  $\alpha$  such that  $\alpha \cap P \neq \emptyset$ . Let  $m$  be the minimal element of it. We assert that  $m$  is the minimal element of  $P$ , if not, suppose  $\min(P) = m_0$ , then  $m_0 < m < \alpha \cap P$ , which implies  $m_0$  is a smaller element than  $m$  in  $\alpha \cap P$ .

Next we prove that  $\bigcup S$  is transitive. For any  $x \in \bigcup S$ , there exists  $\alpha \in S$  such that  $x \in \alpha$ , thus  $x \subset \alpha$ . Moreover, it's easy to verify that  $x \subset \bigcup S$ .  $\square$

**Proposition 1.1.7**  $\alpha$  is not a successor if and only if  $\forall x \in \alpha \Rightarrow x + 1 \in \alpha$ .

## 1.2 Transfinite Recursion

In terms of what I've been learned, Transfinite Induction is a well-established principle utilized to address problems of this nature, provided the following conditions are met:

- the ordinal 0 satisfies property  $P$ ;
- if  $\alpha < \theta$  (or **On**) satisfies  $P$ , then  $\alpha + 1$  also satisfies  $P$ ;
- if  $\alpha$  is a limit ordinal, and for all  $\beta < \alpha$ ,  $\beta$  satisfies  $P$ , then  $\alpha$  satisfies  $P$ ;

Under the conditions, it can be concluded that the property  $P$  holds for all ordinals that belong to  $\theta$  (or **On**).

This closely resembles the usual Induction, with the latter being a specific instance within the broader framework of Transfinite Induction. Specifically, when  $\theta$  is set to be the smallest limit ordinal  $\omega$ , the third condition mentioned earlier becomes redundant, and Transfinite Induction reduces to standard Induction. However, Transfinite Induction offers a more comprehensive perspective, enabling us to extend our reasoning to broader contexts. For instance, it will be used to demonstrate that two functions agree on **On**, assuming they satisfy certain prescribed properties, where standard Induction is inadequate. Furthermore, Transfinite Induction finds its application in the proof of Transfinite Recursion.

**Theorem 1.2.1 (Transfinite Induction)** *Suppose  $C$  is a class of ordinals, and the following conditions are true.*

1.  $0 \in C$ .
2.  $\alpha \in C \Rightarrow \alpha + 1 \in C$ .
3. Suppose  $\alpha$  is a limit ordinal, and  $(\forall \beta < \alpha \Rightarrow \beta \in C) \Rightarrow \alpha \in C$ .

*Then  $C = \mathbf{On}$ . This assertion remains valid when considering only ordinals less than a given ordinal  $\theta$*

*Proof.* We only consider the case on a given ordinal  $\theta$ . Suppose  $C \neq \theta$ , and let  $\gamma = \min(\theta \setminus C)$ . We have  $\gamma \notin C$  and  $\gamma \neq 0$ , and the remainder of proof can be divided into several cases.

case 1.  $\gamma$  is a successor, so  $\exists \beta \in \theta (\gamma = \beta + 1)$ .

case 1a.  $\beta \in C$ , by definition we have  $\gamma = \beta + 1 \in C$ .

case 1b.  $\beta \notin C$ , then  $\gamma < \beta < \gamma$ .

case 2.  $\gamma$  is a limit ordinal.

case 2a.  $\forall \beta < \gamma (\beta \in C)$ , by definition we have  $\gamma \in C$ .

case 2b.  $\exists \beta < \gamma \wedge \beta \notin C$ , then  $\gamma < \beta < \gamma$ .

□

To define a function whose domain is the ordinal  $\theta$ , a formal approach can be outlined as follows. Initially, we assign  $a(0)$  to be an element  $a_0$  in  $\mathbf{V}$ . Subsequently, for any ordinal  $\alpha$  satisfying  $0 < \alpha < \theta$ , we determine  $a(\alpha)$  by rely on the previously established values  $\{a(x)\}_{x < \alpha}$ , which can be expressed as  $a(\alpha) = G(\{a(x)\}_{x < \alpha})$ , where  $G$  is a function mapping from  $\mathbf{V}$  to  $\mathbf{V}$ .

For example, there exists a function from  $\mathbf{On}$  to a nonempty set that is constructed in the proof of Zermelo's Theorem. Given a non-empty set  $S$ , it follows that  $P(S) \setminus \{\emptyset\}$  is also non-empty. According to the Axiom of Choice, we have

$$\prod_{A \in P(S) \setminus \{\emptyset\}} A \neq \emptyset$$

which implies the existence of function

$$\begin{aligned} g : P(S) \setminus \{\emptyset\} &\rightarrow S \\ A &\mapsto x \text{ (an element belongs to } A) \end{aligned}$$

Next, we specify an arbitrary  $a_0 \in S$ , and choose distinct elements  $\Omega_0, \Omega_1 \notin S$  with  $\Omega_0 \neq \Omega_1$ . We define  $G$  as follows

$$G(X) = \begin{cases} a_0 & X = \emptyset \\ g(S \setminus X) & X = \{a_x\}_{x < \alpha} \subsetneq S \ (\alpha \in \mathbf{On}) \\ \Omega_0 & X = S \vee X = S \sqcup \{\Omega_0\} \\ \Omega_1 & \text{else} \end{cases}$$

Finally, we recursively define the function  $a$  by

$$a(\alpha) = G(\{a(x)\}_{x < \alpha})$$

Seems like we've defined a function from  $\mathbf{On} \rightarrow S \sqcup \{\Omega_0\}$ . However, in my opinion, our endeavors so far has not been adequate, because we have merely assigned an initial value to  $a$  and provided a procedure for updating its subsequent values.

Now back to the start. Does the function  $a$  exist (and even unique) given sole knowledge of its initial value  $a(0)$  and the function  $G$  that prescribe its updates? This inquiry directs us toward the principle of Transfinite Recursion, which addresses precisely such questions regarding the construction of functions over the ordinals.

**Theorem 1.2.2 (Transfinite Recursion)** *For any ordinal  $\theta$ , there exists a unique  $\theta$ -sequence  $a$  such that for all ordinals  $\alpha < \theta$ , we have  $a(\alpha) = G(a|_\alpha)$ . In particular, there exists a unique function  $a : \mathbf{On} \rightarrow \mathbf{V}$  so that for any ordinal  $\alpha$ ,  $a(\alpha) = G(a|_\alpha)$ .*

*Proof.* We consider the case involving a given ordinal  $\theta$ , and initially demonstrate the uniqueness of the  $\theta$ -sequence. Suppose both  $\theta$ -sequence  $a$  and  $a'$  satisfy the recursive definitions

$$a(\alpha) = G(\{a(x)\}_{x < \alpha}), \quad a'(\alpha) = G(\{a'(x)\}_{x < \alpha})$$

To prove uniqueness, we invoke the Transfinite Induction. We define a class  $C$  of ordinals as  $C = \{\alpha < \theta : a(\alpha) = a'(\alpha)\}$ . We then verify that  $C$  satisfies the three conditions of Transfinite Induction. Firstly, notice that  $a(0) = G(0) = a'(0)$  since any

function constrained on an empty set is  $\emptyset$ . This implies that  $0 \in C$ . Secondly, suppose that for all  $x < \alpha$ , we have  $x \in C$ , i.e.,  $a(x) = a'(x)$  for all  $x < \alpha$ . Whether  $\alpha$  is a successor or a limit ordinal, the equality  $\{a(x)\}_{x < \alpha} = \{a'(x)\}_{x < \alpha}$  holds. Consequently

$$a(\alpha) = G(\{a(x)\}_{x < \alpha}) = G(\{a'(x)\}_{x < \alpha}) = a'(\alpha)$$

Thus the Inductive step is satisfied for both successor and limit ordinals. Finally, by the Transfinite Induction, we conclude that  $C = \theta$ .

Next, we establish the existence of  $a$  by adopting a methodology analogous to the proof of uniqueness. We define  $C$  as the class of ordinals satisfying the condition:

$$C = \{\xi < \theta : \text{the } \xi\text{-sequence } a[\xi] \text{ exists}\}$$

Evidently,  $a[0]$  exists and is trivially set to be 0, thus  $0 \in C$ . Assume that  $0 < \beta < \theta$  and that for all  $\xi < \beta$ , the function  $a[\xi]$  exists. We proceed to demonstrate the existence of  $a[\beta]$ .

We assert that if  $\zeta < \eta$ , and  $a[\zeta]$ ,  $a[\eta]$  exists, then  $a[\eta]|_\zeta = a[\zeta]$ . The basis for this assertion is

$$\begin{aligned} a[\zeta]|_\eta(x) &= G(\{a[\zeta]|_\eta(t)\}_{t < x}) \\ a[\eta](x) &= G(\{a[\eta](t)\}_{t < x}) \end{aligned}$$

By the uniqueness mentioned above, we conclude that this assertion is true.

Subsequently, we let  $a[\beta](\xi) := G(a[\xi])$  ( $\forall \xi < \beta$ ), and it gives that  $\forall x < \beta$ :

1.  $a[\beta]|_x = \{G(a[t])\}_{t < x} = \{G(a[x]|_t)\}_{t < x} = \{a[x](t)\}_{t < x}$ .
2.  $a[\beta](x) = G(a[x]) := G(\{a[x](t)\}_{t < x})$ .
3.  $a[\beta](x) = G(a[\beta]|_x)$ . □

Hence we conclude that  $\beta \in C$ . By the Transfinite Induction, it follows that  $C = \theta$ .

## 1.3 Cardinality

**Proposition 1.3.1** *If  $|A| \geq \aleph_0$ , then  $\aleph_0|A| = |A|$ .*

*Proof.* Let set  $\mathcal{F}$  be

$$\mathcal{F} = \{f \in S^{\mathbb{Z}_{\geq 0} \times S} : S \subset A \wedge f \text{ is bijection}\}$$

Notice that when  $S = \mathbb{Z}_{\geq 0}$ , there exists a bijection  $\mathbb{Z}_{\geq 0}^2 \rightarrow \mathbb{Z}_{\geq 0}$ , thus  $\mathcal{F} \neq \emptyset$ . Define a relation on  $\mathcal{F}$  such that  $f \preccurlyeq g \Leftrightarrow \Gamma_f \subset \Gamma_g$ , which can be easily verified to be a partial ordering on  $\mathcal{F}$ .

We claim that every chain in  $\mathcal{F}$  has an upper bound. The proof proceeds as follows. Let  $\{f_t\}_{t \in T}$  be a chain contained in  $\mathcal{F}$ . Define  $U = \bigcup_{t \in T} \Gamma_{f_t}$ . It can be verified that  $U$  is a graph and corresponds to a bijection in  $\mathcal{F}$ , which we denote as  $f_0$ .

By Zorn's Lemma,  $\mathcal{F}$  has a maximal element, denoted as  $h : \mathbb{Z}_{\geq 0} \times \tilde{S} \rightarrow \tilde{S}$ . If  $\tilde{S} \subsetneq A$ , then we choose an element  $\gamma \in A \setminus \tilde{S}$ , and  $s \in \tilde{S}$ . We define a bijection  $h' : \mathbb{Z}_{\geq 0} \times \tilde{S} \sqcup \{\gamma\} \rightarrow \tilde{S} \sqcup \{\gamma\}$  as follows:

$$h'(n, a) = \begin{cases} \gamma & (n = 0 \wedge a = \gamma) \\ h(s, 2n + 1) & (n > 0 \wedge a = \gamma) \\ h(s, 2n + 2) & (a = s) \\ h(a, n) & \text{else} \end{cases}$$

Since  $\Gamma_{h'}$  is larger than  $\Gamma_h$ , which contradicts the assumption that  $h$  is maximal element in  $\mathcal{F}$ , we conclude that  $\tilde{S} = A$ .  $\square$

## 1.4 Mapping

**Proposition 1.4.1** *The following propositions are equivalent:*

- 1 1.1  $f : X \rightarrow Y$  is injection.
- 1.2  $f$  has the left inverse  $g$  that satisfies  $gf = \text{id}_X$ .
- 1.3  $f$  has the left cancellation law, namely  $g_1 f = g_2 f \Rightarrow g_1 = g_2$  for  $g_i : Y \rightarrow Z$ .
- 2 2.1  $f : X \rightarrow Y$  is surjection.
- 2.2  $f$  has the right inverse  $g$  that satisfies  $fg = \text{id}_Y$ .
- 2.3  $f$  has the right cancellation law, namely  $fg_1 = fg_2 \Rightarrow g_1 = g_2$  for  $g_i : Z \rightarrow X$ .

*Proof.* see 李文威 2024 proposition 2.2.6.  $\square$

**Theorem 1.4.2**  $(X, \sim)$  is a set  $X$  with an equivalence relation  $\sim$ .  $f : X \rightarrow Y$  is a mapping satisfies  $x_1 \sim x_2 \Rightarrow f(x_1) = f(x_2)$ . There exists unique  $\bar{f} : X/\sim \rightarrow Y$  that makes the following diagram commute:

$$\begin{array}{ccc} X & \xrightarrow{\pi} & X/\sim \\ f \downarrow & \swarrow \bar{f} & \\ Y & & \end{array}$$

**Theorem 1.4.3** Continuing with the conditions of previous theorem, if  $x_1 \sim x_2 \Leftrightarrow f(x_1) = f(x_2)$ , there exists unique bijection  $\bar{f}$  such that:

$$\begin{array}{ccc} X & \xrightarrow{\pi} & X/\sim \\ f \downarrow & \swarrow \bar{f} & \\ \text{Im}(f) & & \end{array}$$

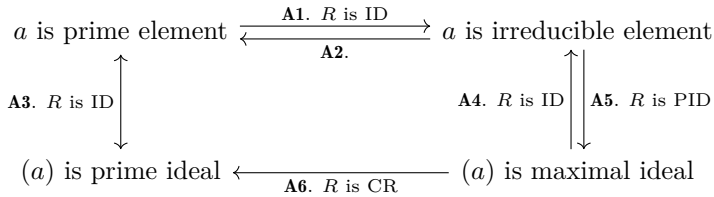
# Chapter 2

## Ring and Field

### 2.1 Zoom Table

Abbreviated specification:

- **ID**: Integral domain.
- **CR**: Commutative ring.
- **PID**: Principle ideal domain.
- **UFD**: Unique factorization domain.
- **EID**: Euclidean integral domain.



Other conclusions:

- B1.**  $R \text{ is EID} \Rightarrow R \text{ is PID.}$
- B2.**  $R \text{ is PID} \Rightarrow R \text{ is UFD.}$
- B3.** (i)  $R \text{ is ID}$ , (ii) every proper factor chain in  $R$  is finite, (iii) every irreducible element is prime element  $\Rightarrow R \text{ is UFD.}$
- B4.** (i)  $R \text{ is ID}$ , (ii) every  $r \in R$  can be denoted as a multiplication of irreducible elements, (iii) every irreducible element is prime element  $\Leftrightarrow R \text{ is UFD.}$
- B5.**  $R \text{ is UFD} \Rightarrow \exists \gcd(a, b).$

### 2.1.1 Proofs

- A1.** Let  $p$  be a prime element, suppose  $a|p$ , then  $p|ab$ . If  $p|a$ , we have  $p \sim a$ . On the other hand, if  $p \nmid a$ , then  $p = hpa$ . Due to there is no zero divisor in ID, we conclude that  $ha = 1$ , which implies  $a \sim 1$ .
- A2. case1.**  $R$  is ID, and every two elements in  $R$  have the greatest common divisor. The proof proceeds as follows: Let  $p$  be the irreducible element in  $R$ , and  $p|bc$ . Then  $\gcd(p, b)$  is either the invertible element of  $R$  or the equivalent element of  $b$ . If  $\gcd(p, b) \sim 1$ , then  $\gcd(cp, cb) \sim c$  (丘维声 2015 p.146.). We have  $p|\gcd(cp, cb) \wedge \gcd(cp, cb)|c$ , thus  $p|c$ . If  $\gcd(p, b) \sim p$ , we immediatly get  $p|b$ .
- case2.**  $R$  is PID. (The proof can be performed as the process that  $R$  is PID  $\Rightarrow R$  is UFD  $\Rightarrow \exists \gcd(a, b)$ . The following we provide another way of solution, see 李文威 2024 Lemma 6.2.9). Suppose  $p$  is a prime element and  $p|ab$ , there exists  $f$  such that  $\langle p, a \rangle = (f)$ . Therefore  $(a) \subset (f)$  and  $(p) \subset (f)$  hold, which is equivalent to  $f|a$  and  $f|p$ . If  $f \sim 1$ , then  $\langle a, p \rangle = R$ , which implies there exists  $u, v$  such that  $ua + vp = 1$ . Thus we have  $uab + vpb = b$ , hence  $p|uab + vpb = b$ . If  $f \sim p$ , we immediatly get  $p|a$ .
- A3.**  $a$  is a prime  $\Leftrightarrow a \neq 0 \wedge a \notin R^\times \wedge (a|bc \Rightarrow a|b \vee a|c) \Leftrightarrow (a) \neq (0) \wedge (a) \neq R \wedge (bc \in (a) \rightarrow b \in (a) \vee c \in (a))$ .
- A4.** By **A6**, **A3**, and **A1**.
- A5.** Suppose  $(a) \subset I \subset R$ . By prescribed condition that  $R$  is PID, so we have  $I = (b)$ . Thus either  $b \sim 1$  or  $b \sim a$  holds.
- A6.**  $(a)$  is maximal ideal  $\Leftrightarrow R/(a)$  is a field  $\Rightarrow R/(a)$  is an ID  $\Leftrightarrow (a)$  is a prime element.
- B1.** EZ.
- B2. step1.**  $R$  is PID, then every ascending chain of ideals in  $R$  stops. To be specific, suppose  $(I_n)_{n \geq 0}$  is a series of ideals, which satisfies  $I_1 \subset I_2 \subset \dots$ . There must exist  $n \in \mathbb{Z}_{\geq 0}$  such that  $I_n = I_{n+1} = \dots$ . The proof is as follows: Let  $I = \bigcup_{n \geq 0} I_n$ , it follows that  $I$  is an ideal, thus  $I = (h)$ . It can be verified that  $\exists n \in \mathbb{Z}_{\geq 0}$  that  $h \in I_n$ , and therefore  $I \subset I_n$ .
- step2.** If  $R$  satisfies the ascending chain condition that every ascending chain of ideals in  $R$  stops, then  $\forall r \in R^*$  can be denoted as a multiplication of irreducible elements. If  $r \in R^\times$ , we agree that  $r$  is a multiplication of 0 irreducible element. If  $r \notin R^\times$ , we let  $r_0 = r$  and assume that  $r$  has no irreducible factorization. It follows that  $r$  is not irreducible, or  $r = r$  is a irreducible factorization. Thus we have  $r_0 = r_1 s_1$  where  $r_1, s_1 \approx r_0$ , which implies that  $(r_0) \subsetneq (r_1)$  and  $(r_0) \subsetneq (s_1)$ . By the assumption that  $r$  has no irreducible factorization, we conclude that  $r_1$  or  $s_1$  remains the same property. Suppose  $r_1$  has no irreducible factorization, and continue the process. Finally we end up with a strictly ascending chain of ideals  $(r_0) \subsetneq (r_1) \subsetneq \dots$ , which contradicts the discussion in step1.



**step3.** By **A2.** we conclude that in PID every irreducible element is prime element. The uniqueness of decomposition can be easily verified by using Induction.

**B3.** Similar to **B2.**

**B4.**  $(\Rightarrow)$  is similar to **B2.** Next we prove the  $(\Leftarrow)$  direction (李文威 2024 Proposition 6.3.2). Suppose  $R$  is UFD,  $p \in R$  is irreducible, and  $p|ab$  where  $a = q_1 \cdots q_m$ ,  $b = r_1 \cdots r_n$ . Therefore  $\frac{ab}{p}$  can be decomposed as  $s_1 \cdots s_t$ . Thus  $q_1 \cdots q_m r_1 \cdots r_n = ab = s_1 \cdots s_t p$ . By the uniqueness of decomposition, it follows that  $p \sim q_i \vee p \sim r_i$ .

**B5.** Suppose  $a = \prod_{i \geq 1} p_i^{n_i}$ ,  $b = \prod_{i \geq 1} p_i^{m_i}$ . Let  $g_0 = \prod_{i \geq 1} p_i^{\min\{n_i, m_i\}}$ . Recall the definition of gcd in PID that  $\langle a_1, \dots, a_n \rangle = \gcd(a_1, \dots, a_n)R = gR$ . It directs us toward the proof of  $g_0 \sim g$ . Pursuant to 李文威 2024 Proposition 2.7.3, we have  $g_0|a \wedge g_0|b \Leftrightarrow (\forall x \in \langle a, b \rangle \Rightarrow g_0|x) \Leftrightarrow g_0|g$ . To prove the reverse direction, notice that  $g|a \wedge g|b$ , which implies that  $g = \prod_{i \geq 1} p_i^{t_i}$  and  $t_i \leq \min\{n_i, m_i\}$ . We conclude that  $g|g_0$ .



# Chapter 3

## Vector Space

### 3.1 Basis

$V$  is  $F$ -vector space,  $S \subset V$ :

1. The linear combination of  $S$  is  $\langle S \rangle := \{\sum_{\alpha \in S} k_{\alpha} \alpha\}$ .
2. The linear relationship can be viewed as a function  $k \in F^S$ . All linear relationships on set  $S$  can be denoted as  $\{k \in F^S : \sum_{\alpha \in S} k_{\alpha} \alpha = 0\}$ .

2.1 We say  $S$  is linearly independent iff  $\{k \in F^S : \sum_{\alpha \in S} k_{\alpha} \alpha = 0\} = \{\mathcal{O}\}$ .

3.  $S$  is the base of  $V$  iff (i)  $S$  is linearly independent; (ii)  $\langle S \rangle = V$ .

3.1  $\forall v \in V$  can be uniquely denoted as  $\sum_{\alpha \in S} k_{\alpha} \alpha$ .

**Proposition 3.1.1** *The following propositions are equivalent:*

1.  $S$  is basis.
2.  $S$  is maximal linearly independent set.
3.  $S$  is minimal generating set.

**Proposition 3.1.2** *The following propositions are equivalent:*

1.  $\{w_1, \dots, w_m\} \subset \langle v_1, \dots, v_n \rangle \wedge m > n \Rightarrow \{w_i\}_{i=1}^m$  is linearly dependent set.
2.  $\{w_1, \dots, w_m\} \subset \langle v_1, \dots, v_n \rangle \wedge \{w_1, \dots, w_m\}$  is linearly independent  $\Rightarrow m \leq n$ .

**Theorem 3.1.3** *The following propositions are true:*

1. Any  $F$ -vector space has basis.
2. Any basis of  $V$  has the same cardinality.
3.  $T : V \xrightarrow{\sim} W$  is an isomorphism, then  $B$  is the basis of  $V$  iff  $T(B)$  is the basis of  $W$ .

*Proof.* Zorn's Lemma and Axiom Choise are equivalent propositions. We use Zorn's Lemma in this proof directly.

(1) Let  $S$  be a linearly independent set ( $S = \emptyset$  is allowed). We define set  $P$  as

$$P = \{T \subset V : S \subset T \wedge T \text{ is linearly independent set}\}$$

$P$ , together with the  $\subset$ , forms a partially ordered set. Suppose  $T'$  is a chain contained in  $P$ , let  $T_0 = \bigcup_{t \in T'} t$ , it can be verified that  $T_0$  is linearly independent set. Thus we have established that every chain in  $P$  has an upper bound. Applying Zorn's Lemma we get  $P$  has a maximal element, which is indeed the basis of  $V$ .

(2) Suppose  $B, B'$  are two sets of basis for  $V$ . We first consider the case of  $|B| < \aleph_0$ . We denote  $B$  as  $\{\beta_1, \dots, \beta_n\}$ . Then  $|B'|$  must smaller than  $n$  since  $B' \subset \langle \beta_1, \dots, \beta_n \rangle$  and  $B'$  is linearly independent. If not, asusming that  $|B'| > n$ . There exists  $n + 1$  elements in  $B'$  that also belong to  $\langle \beta_1, \dots, \beta_n \rangle$ , which implies these elements are dependent, contradicting the facts that  $B'$  is linearly independent set. Using the same method, we obtain that  $|B| \leq |B'|$ .

Now let  $|B| \geq \aleph_0$ , by the discussion above, we immediatly get  $|B'| \geq \aleph_0$  as well. For any  $\alpha \in B$ , there exists a finite set  $B'_\alpha$  that  $\alpha \in \langle B'_\alpha \rangle$ . Let  $A$  be  $\bigcup_{\alpha \in B} B'_\alpha$ . It can be verified that  $V = \langle A \rangle$ . We asser that  $A = B'$ . If not, there exists  $\alpha' \in B' \setminus A$ . Notice that  $\alpha' \in \langle A \rangle$ , thus we have  $\alpha' = \sum_{x \in A} k_x x$ , where the equition  $\alpha' - \sum_{x \in A} k_x x = 0$  is a nontrivial linear relationship on set  $B'$ , contradicts the property of independence. According to proposition 1.3.1, we get:

$$|B'| = \left| \bigcup_{\alpha \in B} B'_\alpha \right| \leq \left| \bigsqcup_{\alpha \in B} B'_\alpha \right| \leq |B \times \mathbb{Z}_{\geq 0}| = |B|$$

(3) ( $\Rightarrow$ ): It is easy to verify that  $T(S) \subset W$  is linearly independent and spans  $W$ . On the other hand,  $T^{-1}$  is also a isomophism, then proof of the reverse direction is clear.  $\square$

**Proposition 3.1.4**  $B_i$  is set of basis of  $V_i$ . Let  $\iota_i$  be the embedding mapping from  $V_i$  to  $\bigoplus_{i \in I}^{\text{Ext}} V_i$ . Then  $\bigsqcup_{i \in I} \iota_i(B_i)$  is the basis of  $\bigoplus_{i \in I}^{\text{Ext}} V_i$ .

**Proposition 3.1.5**  $V = \langle v_1, \dots, v_m \rangle$ , the following propositions are true.

1.  $V$  has basis.
2.  $\exists n \in \mathbb{Z}_{\geq 0}$  such that  $\dim V = n \leq m$ .
3. Any linearly independent set can be extended to basis.
4. Any spaning set can be reduced to basis.

## 3.2 Direct Sum

**Definition 3.2.1** Let  $(V_i)_{i \in I}$  be a series of  $F$ -vector space:

1.  $\prod_{i \in I} V_i := \{[f : I \rightarrow \bigcup_{i \in I} V_i] : f(i) \in V_i\}$ .

$$2. \bigoplus_{i \in I}^{\text{Ext}} V_i := \{(v_i)_{i \in I} \in \prod_{i \in I} V_i : \text{finite many } v_i \neq 0\}$$

If  $V_i$  is the subspace of  $V$ :

$$1. \sum_{i \in I} V_i := \{\sum_{i \in I} v_i \in V : v_i \in V_i \wedge \text{finite many } v_i \neq 0\}$$

We define  $\sigma$  as follows:

$$\begin{aligned} \sigma : \bigoplus_{i \in I}^{\text{Ext}} V_i &\rightarrow \sum_{i \in I} V_i \\ (v_i)_{i \in I} &\mapsto \sum_{i \in I} v_i \end{aligned}$$

It can be verified that  $\sigma$  is a well defined linear mapping, and is surjective. When  $\sigma$  is injective, the vector space in both sides are isomorphic, in which case we use  $\bigoplus_{i \in I} V_i$  to represent  $\sum_{i \in I} V_i$ . Additionally, the definition of external direct sum can be approached from two perspectives, as showed in the following formula:

$$\bigoplus_{i \in I}^{\text{Ext}} V_i = \bigoplus_{i \in I} \iota_i(V_i)$$

**Proposition 3.2.2** *The following propositions are equivalent:*

1.  $\sigma$  is injection.
2.  $V_i \cap \sum_{j \in I \setminus \{i\}} V_j = \{0\}$ .
3. Every  $v \in \sum_{i \in I} V_i$  can be uniquely decomposed into the form  $\sum_{i \in I} v_i$ .
4.  $0 \in \sum_{i \in I} V_i$  can be only decomposed into the form  $0 + \dots$
5. ( $V_i$  is finite dimensional sapce and  $I$  is finite set)  $\dim(\sum_{i \in I} V_i) = \sum_{i \in I} \dim V_i$ .

*Proof.*

$$\begin{aligned} \sigma \text{ is injection} &\Leftrightarrow \left( \sum_{i \in I} v_i = \sum_{i \in I} w_i \Rightarrow (v_i)_{i \in I} = (w_i)_{i \in I} \right) \\ &\Leftrightarrow \left( \sum_{i \in I} (v_i - w_i) = 0 \Rightarrow (v_i - w_i)_{i \in I} = 0 \right) \\ &\Leftrightarrow \left( \sum_{i \in I} a_i = 0 \Rightarrow (a_i)_{i \in I} = 0 \right) \end{aligned}$$

We can extract the equivalence of the 1st, 3rd and 4th propositions from the above formula. Next, we prove the equivalence of the 1st and 2nd propositions. Assuming that  $\sigma$  is injective, and that  $\gamma \in V_i \cap \sum_{j \in I \setminus \{i\}} V_j$ . It follows that  $\gamma - \sum_{j \in I \setminus \{i\}} v_j = 0$ , thus  $\gamma = 0$ . For re the reverse direction, suppose that  $\sum_{i \in I} v_i = 0$ , we want to demonstrate that  $v_i = 0$ . For any  $i$ , we have  $v_i + \sum_{j \in I \setminus \{i\}} v_j \in V_i \cap \sum_{j \in I \setminus \{i\}} V_j$ , which implies that  $v_i = 0$ .

In the case of  $V_i$  is finite dimensional and  $I$  is finite. We have

$$\begin{aligned}
 \sigma \text{ is injection} &\Leftrightarrow \bigoplus_{i \in I}^{\text{Ext}} V_i \simeq \sum_{i \in I} V_i \\
 &\Leftrightarrow \dim \left( \bigoplus_{i \in I}^{\text{Ext}} V_i \right) = \dim \left( \sum_{i \in I} V_i \right) \\
 &\Leftrightarrow \sum_{i \in I} \dim V_i = \dim \left( \sum_{i \in I} V_i \right) \quad \square
 \end{aligned}$$

When  $\sigma$  is isomorphism, we define the series of functions as follows:

- $\iota_i : V_i \hookrightarrow \bigoplus_{j \in I}^{\text{Ext}} V_j : v \mapsto (v_j)_{j \in I}$  where  $(v_i = v, v_j = 0)$ .
- $\tilde{\iota}_i : V_i \hookrightarrow \bigoplus_{j \in I} V_j : v \mapsto v$ .
- $p_i : \bigoplus_{j \in I}^{\text{Ext}} V_j \rightarrow V_i : (v_j)_{j \in I} \mapsto v_i$ .
- $\tilde{p}_i = p_i \sigma^{-1} : \bigoplus_{j \in I} V_j \rightarrow V_i$

And the diagram commutes:

$$\begin{array}{ccccc}
 & & \bigoplus_{j \in I}^{\text{Ext}} V_j & & \\
 & \nearrow \iota_i & \downarrow \sigma \sim & \nwarrow p_i & \\
 V_i & & & & V_i \\
 & \nwarrow \tilde{\iota}_i & & \nearrow \tilde{p}_i & \\
 & & \bigoplus_{j \in I} V_j & & 
 \end{array}$$

Henceforth, we'll uniformly use  $\iota_i$  (or  $p_i$ ) to represent either  $\iota_i$  or  $\tilde{\iota}_i$  ( $p_i$  or  $\tilde{p}_i$ ) in the commutative diagram above. Therefore, the function  $\iota_i$  (or  $p_i$ ) will possess two perspectives, and under the two perspectives, it will satisfy the following properties:

**Corollary 3.2.3**

1.  $p_i \iota_i = \text{id}_{V_i}$ .
2.  $p_j \iota_i = \mathcal{O}$  ( $i \neq j$ ).
3. If  $I$  is finite, then  $\sum_{i \in I} \iota_i p_i = \text{id}_{\bigoplus V_i}$

**Corollary 3.2.4**  $V$  is  $F$ -vector space.  $P_1, \dots, P_s \in \text{End}(V)$  which satisfies that

$$P_1 + \dots + P_s = \text{id}, \quad P_i P_j = \begin{cases} P_i & i = j \\ \mathcal{O} & i \neq j \end{cases}$$

then the following propositions are true:

$$1. V = \bigoplus_{1 \leq i \leq s} \text{Im } P_i.$$

$$2. P_i \text{ is the projection from } V \text{ to } \text{Im } P_i.$$

In particular, if  $P \in \text{End}(V)$  that satisfies  $P^2 = P$ ,  $V$  has direct sum decomposition as:

$$V = \text{Im } P_i \oplus \text{Im}(\text{id} - P_i)$$

When  $\sigma$  is isomorphism and the objects in both ends of the linear mapping have direct sum decompositions, the diagram under ‘inner perspective’ can be copied into ‘external perspective’. For instance, the following diagram demonstrates the copy of linear mapping  $T$ :

$$\begin{array}{ccc} \bigoplus_{j \in J} V_j & \xrightarrow{T} & \bigoplus_{i \in I} W_i \\ \sigma_1^{-1} \downarrow \sim & & \sim \downarrow \sigma_2^{-1} \\ \bigoplus_{j \in J}^{\text{Ext}} V_j & \xrightarrow{T'} & \bigoplus_{i \in I}^{\text{Ext}} W_i \end{array}$$

where

$$T' : (v_j)_{j \in J} \mapsto \left( \sum_{j \in J} p_i^W T \iota_j^V v_j \right)_{i \in I}.$$

Next, we get a closer look of the transformation of  $T$ .

We first prove the following proposition under the ‘external perspective’ that  $\iota_i$  is the embedding  $V_i \hookrightarrow \bigoplus_{j \in J}^{\text{Ext}} V_j$  and  $p_i$  is the corresponding projection.

**Proposition 3.2.5** *There exists isomorphism:*

$$\text{Hom}(\bigoplus_{j \in J}^{\text{Ext}} V_j, \prod_{i \in I} W_i) \xleftarrow{\sim} \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{Hom}(V_j, W_i)$$

$$T \longmapsto (T_{i,j})_{(i,j)} := (p_i^W T \iota_j^V)_{(i,j)}$$

$$\left[ f : (v_j)_{j \in J} \mapsto \left( \sum_{j \in J} T_{i,j} v_j \right)_{i \in I} \right] \longleftarrow (T_{i,j})_{(i,j)}$$

In particular, let  $V_j$  and  $W_i$  be subspaces of  $V, W$  respectively, and let  $I, J$  be finite sets. We obtain that

$$\text{Hom}(\bigoplus_{j \in J}^{\text{Ext}} V_j, \bigoplus_{i \in I}^{\text{Ext}} W_i) \xleftarrow{\sim} \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{Hom}(V_j, W_i)$$

As depicted in the previous commutative diagram, this isomorphism can be copied to ‘inner perspective’, namely:

$$\begin{array}{ccc} T & \in & \text{Hom}(\bigoplus_{j \in J}^{\text{Ext}} V_j, \bigoplus_{i \in I}^{\text{Ext}} W_i) \xrightarrow[\sim]{M} \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{Hom}(V_j, W_i) \\ \downarrow & & \downarrow \wr \nearrow \sim \\ \sigma_2 T \sigma_1^{-1} & \in & \text{Hom}(\bigoplus_{j \in J} V_j, \bigoplus_{i \in I} W_i) \end{array}$$

Furthermore, under the condition of compatible index set size, we can also define the “marix multiplication” of  $(S_{i,j})_{(i,j) \in I \times J}$  and  $(T_{j,k})_{(j,k) \in J \times K}$ , that is:

$$\begin{aligned} \odot : \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{Hom}(V_j, W_i) \times \bigoplus_{(j,k) \in J \times K}^{\text{Ext}} \text{Hom}(U_k, V_j) &\rightarrow \bigoplus_{(i,k) \in I \times K}^{\text{Ext}} \text{Hom}(U_k, W_i) \\ ((S_{i,j})_{(i,j) \in I \times J}, (T_{j,k})_{(j,k) \in J \times K}) &\mapsto \left( \sum_{j \in J} S_{i,j} T_{j,k} \right)_{(i,k) \in I \times K} \end{aligned}$$

**Proposition 3.2.6** *The isomorphism  $M$  preserves multiplication:*

$$M(\circ(S, T)) = \odot(M(S), M(T))$$

*Proof.*

$$\begin{aligned} (S \circ T)_{i,k} &= p_i^W S T \iota_k^U \\ &= p_i^W S \left( \sum_{j \in J} \iota_j^V p_j^V \right) T \iota_k^U \\ &= \sum_{j \in J} S_{i,j} T_{j,k} \end{aligned}$$

Finlly, we use the above isomorphism to derive the definition of block matrixs and their multiplication. Let the index sets,  $I, J$  be finite. The conditions are listed as follows:

- $V = \bigoplus_{1 \leq j \leq s} V_j$ ,  $W = \bigoplus_{1 \leq i \leq r} W_i$ .
- $V_j = \langle \underline{v}_j \rangle$  and  $\underline{v}_j = \{v_{j,1}, \dots, v_{j,n_j}\}$ .
- $W_i = \langle \underline{w}_i \rangle$  and  $\underline{w}_i = \{w_{i,1}, \dots, w_{i,m_i}\}$ .
- $n_1 + \dots + n_s = n$  and  $m_1 + \dots + m_r = m$ .
- $\underline{v}_1, \dots, \underline{v}_s$  arranged in order form a basis  $\underline{v}$  for  $V$ , and similarly for  $W$ , yielding a basis  $\underline{w}$ .

We define mapping  $\varphi$  as:

$$\begin{aligned} \bigoplus_{\substack{1 \leq i \leq r \\ 1 \leq j \leq s}} M_{m_i \times n_j}(F) &\xrightarrow{\varphi} M_{m \times n}(F) \\ (A_{i,j})_{\substack{1 \leq i \leq r \\ 1 \leq j \leq s}} &\longmapsto \begin{bmatrix} A_{1,1} & \cdots & A_{1,s} \\ \vdots & & \vdots \\ A_{r,1} & \cdots & A_{r,s} \end{bmatrix} \end{aligned}$$



**Theorem 3.2.7** *The following diagram commutes:*

$$\begin{array}{ccc}
 \text{Hom}(\bigoplus_{j \in J} V_j, \bigoplus_{i \in I} W_i) & \xrightarrow{\sim} & \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{Hom}(V_j, W_i) \\
 \mathcal{M}_{\underline{V}}^{\underline{W}} \downarrow \sim & & \sim \downarrow \mathcal{M}_{\underline{V}_j}^{\underline{W}_i} \\
 \text{M}_{m \times n}(F) & \xleftarrow[\sim]{\varphi} & \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{M}_{m_i \times n_j}(F)
 \end{array}$$

*Proof.*

$$\begin{aligned}
 T v_{j,\mu} &= \sum_{i=1}^r p_i^W \iota_i^W T \iota_j^V(v_{j,\mu}) \\
 &= \sum_{i=1}^r T_{i,j} v_{j,\mu} \\
 &= \left[ \sum_{\rho=1}^{m_1} (\mathcal{M}_{\underline{V}_j}^{\underline{W}_1}(T_{1,j}))_{\rho,\mu} w_{1,\rho} \right] + \cdots + \left[ \sum_{\rho=1}^{m_r} (\mathcal{M}_{\underline{V}_j}^{\underline{W}_r}(T_{r,j}))_{\rho,\mu} w_{r,\rho} \right]
 \end{aligned}$$

Notice that the following array is exactly the corresponding row of  $\mathcal{M}_{\underline{V}}^{\underline{W}}(T)$ :

$$\left[ (\mathcal{M}_{\underline{V}_j}^{\underline{W}_1}(T_{1,j}))_{1,\mu}, (\mathcal{M}_{\underline{V}_j}^{\underline{W}_1}(T_{1,j}))_{2,\mu}, \dots, (\mathcal{M}_{\underline{V}_j}^{\underline{W}_r}(T_{r,j}))_{m_r,\mu} \right]$$

Arranging them will form a matrix as:

$$\begin{bmatrix} \mathcal{M}_{\underline{V}_1}^{\underline{W}_1}(T_{1,1}) & \cdots & \mathcal{M}_{\underline{V}_s}^{\underline{W}_1}(T_{1,s}) \\ \vdots & & \vdots \\ \mathcal{M}_{\underline{V}_1}^{\underline{W}_r}(T_{r,1}) & \cdots & \mathcal{M}_{\underline{V}_s}^{\underline{W}_r}(T_{r,s}) \end{bmatrix}$$

□

When the index set sizes of  $I, J, K$  are compatible, we can easily obtain the multiplication of block matrices, that is:

$$\mathcal{M}((ST)_{i,k}) = \mathcal{M} \left( \sum_{j \in J} S_{i,j} T_{j,k} \right) = \sum_{j \in J} \mathcal{M}(S_{i,j}) \mathcal{M}(T_{j,k})$$

### 3.3 Linear Mapping

The mapping  $\mathcal{M}$  preserves multiplication, which is represented as:

$$\mathcal{M}(\circ(S, T)) = \cdot(\mathcal{M}(S), \mathcal{M}(T))$$

When  $V$  is a finite dimensional  $F$ -vector space, one of the perspectives of  $\mathcal{M}(Tv)$  is to view  $v$  as a linear mapping from  $F$  to  $V$ . To be specific, for any  $v \in V$  we can define an isomorphism as follows:

$$\begin{aligned}
 V &\xrightarrow{\sim} \text{Hom}(F, V) \\
 v &\longmapsto [f_v : 1 \mapsto v] \\
 f_v(1) &\longleftarrow f_v
 \end{aligned}$$

Thus  $\mathcal{M}(Tv) = \mathcal{M}(T)\mathcal{M}(v)$ , where  $\mathcal{M}(v)$  is exactly the coordinate of  $v$  under the basis that we choosen for  $V$ .

Any  $A \in M_{m \times n}(F)$  naturally induces a linear mapping  $F^n \rightarrow F^m$ , defined as:

$$F^n \longrightarrow F^m$$

$$(x_j)_{j=1}^n \longmapsto \left( \sum_{j=1}^n a_{i,j} x_j \right)_{i=1}^m$$

**Definition 3.3.1**  $T \in \text{Hom}(V, W)$ , then  $T$  is invertible iff  $\exists S \in \text{Hom}(W, V)$ , such that  $ST = \text{id}_V$  and  $TS = \text{id}_W$ .

**Proposition 3.3.2**  $T$  is invertible as a linear mapping iff  $T$  is linear and bijective.

**Proposition 3.3.3** There exists bijection that:

$$\{(v_i)_{i=1}^n : \text{ordered basis}\} \xleftrightarrow{1:1} \{\varphi \in \text{Hom}(F^n, V) : \text{isomophic}\}$$

$$(v_i)_{i=1}^n \longmapsto [\varphi : (x_i)_{i=1}^n \mapsto \sum_{i=1}^n x_i v_i]$$

$$(\varphi(e_i))_{i=1}^n \longleftarrow \varphi$$

As the isomorphism of finite dimentional space and its coordinate space,  $\varphi$  very commonly used.

**Proposition 3.3.4** Given finite dimentional  $F$ -vector scpace  $V, W$  and their basis  $\underline{v}, \underline{w}$ .  $T \in \text{Hom}(V, W)$ . Define  $\varphi_{\underline{v}}$  as the isomorphism discussed above. Then the diagram commutes:

$$\begin{array}{ccc} V & \xrightarrow{T} & W \\ \varphi_{\underline{v}} \uparrow \sim & & \sim \uparrow \varphi_{\underline{w}} \\ F^n & \xrightarrow{\mathcal{M}_{\underline{v}}^{\underline{w}}(T)} & F^m \end{array}$$

If  $T = \text{id}_V$  and  $W = V$ , we also use  $P_{\underline{v}_2}^{\underline{v}_1}$  to represent  $\mathcal{M}_{\underline{v}_2}^{\underline{v}_1}(T)$ . It can be verified that

$$P_{\underline{v}_2}^{\underline{v}_1} P_{\underline{v}_1}^{\underline{v}_2} = P_{\underline{v}_1}^{\underline{v}_2} P_{\underline{v}_2}^{\underline{v}_1} = I_n$$

$$P_{\underline{v}_2}^{\underline{v}_3} P_{\underline{v}_1}^{\underline{v}_2} = P_{\underline{v}_1}^{\underline{v}_3}$$

**Theorem 3.3.5** Given  $V, W$  and thier ordered basis  $\underline{v}_1, \underline{v}_2, \underline{w}_1, \underline{w}_2$ , the following diagram commutes:

$$\begin{array}{ccccc} F^n & & \xrightarrow{\mathcal{M}_{\underline{v}_2}^{\underline{w}_2}(T)} & & F^m \\ & \swarrow \varphi_{\underline{v}_2} & & \searrow \underline{v}_2 & \\ & & V \xrightarrow{T} W & & \\ & \swarrow \varphi_{\underline{v}_1} & & \searrow \underline{w}_1 & \\ F^n & & \xrightarrow{\mathcal{M}_{\underline{v}_1}^{\underline{w}_1}(T)} & & F^m \\ & \downarrow P_{\underline{v}_1}^{\underline{v}_2} & & \downarrow P_{\underline{w}_1}^{\underline{w}_2} & \end{array}$$

### 3.4 Quotient Space

**Theorem 3.4.1** Suppose  $U \subset V$  is a subspace,  $T \in \text{Hom}(V, W)$ . If  $U \subset \text{Ker } T$ , there exists unique  $\bar{T} \in \text{Hom}(V/U, W)$  which makes the diagram commute:

$$\begin{array}{ccc} V & \xrightarrow{\pi} & V/U \\ T \downarrow & \swarrow \bar{T} & \\ W & & \end{array}$$

Continuing with the above conditions. If  $\text{Ker } T = U$ , then  $\bar{T}$  is isomorphism that makes the diagram commute:

$$\begin{array}{ccc} V & \xrightarrow{\pi} & V/U \\ T \downarrow & \swarrow \bar{T} & \\ \text{Im } T & & \end{array}$$

*Proof.* using Theorem 1.4.2 and Theorem 1.4.3, and prove that  $\bar{T}$  is linear.  $\square$

**Theorem 3.4.2** Given  $V$  with a equivalence relation  $\sim$ , where  $V/\sim$  consists a  $F$ -vector space, and  $q : V \rightarrow V/\sim$  is its quotient mapping. Then  $v_1 \sim v_2 \Leftrightarrow v_1 - v_2 \in \text{Ker } q \Leftrightarrow v_1 \sim_{\text{Ker } q} v_2$ , and the  $\bar{q}$  derived from previous theorem is actually identity, makes the diagram commute:

$$\begin{array}{ccc} V & \xrightarrow{\pi} & V/\text{Ker } q \\ q \downarrow & \swarrow \bar{q} & \\ V/\sim & & \end{array}$$

**Theorem 3.4.3** Suppose  $U_1, U_2$  are subspaces of  $V_1, V_2$  respectively.  $T \in \text{Hom}(V_1, V_2)$  satisfies  $T(U_1) \subset U_2$ . Then there exists  $\bar{T} \in \text{Hom}(V_1/U_1, V_2/U_2)$ , makes the diagram commute:

$$\begin{array}{ccc} V_1 & \xrightarrow{T} & V_2 \\ \pi_1 \downarrow & & \downarrow \pi_2 \\ V_1/U_1 & \xrightarrow{\bar{T}} & V_2/U_2 \end{array}$$

Furthermore, the following diagram commutes if  $T_1(U_1) \subset U_2 \wedge T_2(U_2) \subset U_3$ :

$$\begin{array}{ccccc} V_1 & \xrightarrow{T_1} & V_2 & \xrightarrow{T_2} & V_3 \\ \pi_1 \downarrow & & \downarrow \pi_2 & & \downarrow \pi_3 \\ V_1/U_1 & \xrightarrow{\bar{T}_1} & V_2/U_2 & \xrightarrow{\bar{T}_2} & V_3/U_3 \end{array}$$

*Proof.* For the first case,  $\pi_2 T$  is a linear mapping. Notice that  $v \in \text{Ker } \pi_2 T \Leftrightarrow T v \in U_2$ , thus  $v \in U_1 \wedge T(U_1) \subset U_2 \Rightarrow v \in \text{Ker } \pi_2 T$ . We obtain that  $U_1 \subset \text{Ker } \pi_2 T$ , then applying theorem 3.4.1.  $\square$

**Theorem 3.4.4 (1st Isomorphism Theorem)** Suppose  $V_1, V_2$  are subspace of  $V$ , there exists isomorphism:

$$V_1/(V_1 \cap V_2) \xrightarrow{\sim} (V_1 + V_2)/V_2$$

$$v_1 + (V_1 \cap V_2) \longmapsto v_1 + V_2$$

*Proof.*

$$\begin{array}{ccccc}
 & & T & & \\
 & \nearrow & & \searrow & \\
 V_1 & \xrightarrow{\iota_1} & V_1 + V_2 & \xrightarrow{\pi_1} & (V_1 + V_2)/V_2 \\
 \pi_2 \downarrow & & & \nearrow T & \\
 V_1/(V_1 \cap V_2) & & & & \\
 \hline \text{Ker } T & & & & 
 \end{array}$$

**Theorem 3.4.5 (2nd Isomorphism Theorem)** Suppose  $U \subset V$  is a subspace, then

1 There exists bijection:

$$\{W \subset V : \text{subspace containing } U\} \xleftarrow{1:1} \{\overline{W} \subset \overline{V} : \text{subspace}\}$$

$$W \longmapsto \pi(W)$$

$$\pi^{-1}(\overline{W}) \longleftarrow \overline{W}$$

2  $W_1 \subset W_2 \Leftrightarrow \overline{W}_1 \subset \overline{W}_2$ .

3 There exists isomorphism:

$$V/W \xrightarrow{\sim} \overline{V}/\overline{W}$$

$$v + W \longmapsto (v + U) + (V/W)$$

*Proof.*

- 1.1  $\pi(W)$  is an element in prescribed set, and the mapping  $W \mapsto \pi(W)$  is well defined.
- 1.2  $\pi^{-1}(\overline{W})$  is an element in prescribed set, and mapping  $\overline{W} \mapsto \pi^{-1}(\overline{W})$  is well defined.
- 1.3  $W \mapsto \pi(W) \mapsto \pi^{-1}(\pi(W))$  is identity: Obviously  $W \subset \pi^{-1}(\pi(W))$ . For any  $x \in \pi^{-1}(\pi(W))$ , we have  $\pi(x) \in \pi(W)$ . Thus there exists  $w \in W$  such that  $x + U = w + U$ . Hence  $x - w \in U \subset W$ .

1.4  $\overline{W} \mapsto \pi^{-1}(\overline{W}) \mapsto \pi(\pi^{-1}(\overline{W}))$  is identity: Obviously  $\pi(\pi^{-1}(\overline{W})) \subset \overline{W}$ . Assume  $\bar{x} \in \overline{W}$ , by the surjection of  $\pi$ , there exists  $x \in V$  such that  $\pi(x) = \bar{x}$ , because  $\overline{W} \subset \overline{V}$ . It implies that  $x \in \pi^{-1}(\overline{W})$ , thus  $\bar{x} = \pi(x) \in \pi(\pi^{-1}(\overline{W}))$ .

2 2.1  $W_1 \subset W_2 \Rightarrow \pi(W_1) \subset \pi(W_2)$ .

2.2  $\overline{W}_1 \subset \overline{W}_2 \Rightarrow \pi^{-1}(\overline{W}_1) \subset \pi^{-1}(\overline{W}_2)$ .

3

$$\begin{array}{ccccc}
 & & T & & \\
 & \searrow & & \searrow & \\
 V & \xrightarrow{\pi_1} & V/U & \xrightarrow{\pi_2} & (V/U)/(W/U) \\
 \downarrow \pi & & & & \nearrow \overline{T} \\
 V/\underline{\text{Ker } T} & & & & \\
 = V/W & & & & 
 \end{array}$$

□

**Theorem 3.4.6** Suppose  $U \subset V$  is a subspace.  $S_0$  is a set of basis of  $U$ , and  $\overline{S}_1$  is a set of basis of  $V/U$ . Let  $g \in \prod_{\bar{a} \in \overline{S}_1} \pi^{-1}(\bar{a})$ , and  $S_1 = g(\overline{S}_1)$ . The following propositions are true:

1.  $S_1$  is linearly independent set.
2.  $\langle S_0 \rangle \cap \langle S_1 \rangle = \{0\}$ .
3.  $\langle S_0 \sqcup S_1 \rangle = \langle S_0 \rangle \oplus \langle S_1 \rangle = V$ .
4.  $\dim V = \dim U + \dim V/U$ .

**Corollary 3.4.7** If  $V = U \oplus W$ , then  $W \simeq V/U$ .

*Proof.* Verify that  $\pi|_W : w \mapsto w + U$  is bijection directly. □

**Corollary 3.4.8** For any subspace  $U \subset V$ , there exists  $W$  such that  $V = U \oplus W$ .

Consider the diagram under the condition that  $T(U_1) \subset U_2$ :

$$\begin{array}{ccc}
 V_1 & \xrightarrow{T} & V_2 \\
 \pi_1 \downarrow & & \downarrow \pi_2 \\
 V_1/U_1 & \xrightarrow{\overline{T}} & V_2/U_2
 \end{array}$$

The other assumptions are listed as follows:

1.  $U_1 = \langle \underline{a}_1 \rangle = \langle \alpha_1, \dots, \alpha_r \rangle$ .
2.  $V_1/U_1 = \langle \underline{a}'_2 \rangle = \langle \alpha'_{r+1}, \dots, \alpha'_{r+n} \rangle$ .
3.  $U_2 = \langle \underline{b}_1 \rangle = \langle \beta_1, \dots, \beta_s \rangle$ .

4.  $V_2/U_2 = \langle \underline{v}_2 \rangle = \langle \beta'_{s+1}, \dots, \beta'_{s+m} \rangle$ .
5. Applying Theorem 3.4.6, we obtain the complement space of  $U_i$  and thier basis, namely  $W_1 = \langle \underline{a}_2 \rangle = \langle \alpha_{r+1}, \dots, \alpha_{r+n} \rangle$ ,  $W_2 = \langle \underline{b}_2 \rangle = \langle \beta_{s+1}, \dots, \beta_{s+m} \rangle$ .

Under the decomposition of  $V_1 = U_1 \oplus W_1$  and  $V_2 = U_2 \oplus W_2$ ,  $\text{Hom}(V_1, V_2)$  is decomposed, where its element  $T$  has the equivalent form:

$$\begin{bmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{bmatrix}$$

We possess to prove that:

1.  $T_{21} = \mathcal{O}$ .
2.  $T_{11} = T|_{U_1}$ .
3.  $\mathcal{M}(T_{22}) = \mathcal{M}(\overline{T})$ .

The 1st and 2nd proposition are easy to verify. As for the 3rd one, notice that:

$$\begin{array}{ccc} V_1/U_1 & \xrightarrow{\overline{T}} & V_2/U_2 \\ \sigma_1 = \pi_1|_{W_1} \uparrow & & \uparrow \sigma_2 = \pi_2|_{W_2} \\ W_1 & \xrightarrow{\sigma_2^{-1}\overline{T}\sigma_1} & W_2 \end{array}$$

Suppose  $w_1 \in W_1$ , then we have

$$w_1 \xrightarrow{\sigma_1} w_1 + U_1 \xrightarrow{\overline{T}} Tw_1 + U_2 \xrightarrow{\sigma_2^{-1}} p_2^{V_2}Tw_1 = p_2^{V_2}T\iota_2^{V_1}w_1 = T_{22}w_1$$

Thus  $\sigma_2^{-1}\overline{T}\sigma_1 = T_{22}$ . The rest work we need to do is to demonstrate that  $\mathcal{M}(\sigma_2^{-1}) = I_m$ ,  $\mathcal{M}(\sigma_1) = I_n$  and  $\mathcal{M}(T_{22}) = \mathcal{M}(\sigma_2^{-1})\mathcal{M}(\overline{T})\mathcal{M}(\sigma_1) = \mathcal{M}(\overline{T})$ .

In conclusion, the matix of  $T$  under the prescribed basis has the form

$$\begin{bmatrix} \mathcal{M}(T|_{U_1}) & * \\ 0 & \mathcal{M}(\overline{T}) \end{bmatrix}$$