

AJ-Note1

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The era of humanity has arrived.

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Chapter 1

Set Theory

1.1 Ordinal

Proposition 1.1.1 *If α is an ordinal, then $\alpha \notin \alpha$.*

Proof. α is an ordinal if it is a transitive set and is well-ordered with respect to \in . Assume that $\alpha \in \alpha$ holds, then α is an element of itself. This lead to the contradiction $\alpha < \alpha$, which violate the property of tot-ordering that states $\alpha \leq \alpha \wedge \alpha \leq \alpha \Rightarrow \alpha = \alpha$. \square

Corollary 1.1.2 *If α is an ordinal, $\alpha + 1 := \alpha \cup \{\alpha\}$ is actually $\alpha \sqcup \{\alpha\}$.*

Lemma 1.1.3 *(This lemma suggests that **On** possesses a total-ordering.)*

1. α is ordinal and $\beta \in \alpha$, then β is an ordinal.
2. For any ordinals α, β , if $\alpha \subsetneq \beta$, $\alpha \in \beta$.
3. For any ordinals α, β , $\beta \subset \alpha \vee \alpha \subset \beta$.

Proof. (2): Let γ be the minimal element of $(\beta \setminus \alpha, \leq)$. It is clear that $\gamma \in \beta \setminus \alpha$. We assert that $\alpha = \{x \in \beta : x < \gamma\}$, where the latter is nothing more than γ . Here is the proof: Firstly, suppose $y \in \{x \in \beta : x < \gamma\} = \gamma$. If $y \notin \alpha$, then $y < \gamma \wedge y \in \beta \setminus \alpha$, thus making y the minimal element and contradicts our assumption. Therefore we established that $\gamma \subset \alpha$. Secondly, notice that γ, α are elements that comply with the well-ordering of β , and $\gamma \notin \alpha$, thus $\gamma \geq \alpha$. We only consider the case of $\alpha < \gamma$: due to the transitivity, $\forall x \in \alpha, x \in \alpha \wedge \alpha \in \gamma \Rightarrow x \in \alpha \subset \gamma \Rightarrow x \in \gamma$, therefore $\alpha \subset \gamma$.

(3): Let $\gamma = \alpha \cap \beta$, we assert that either $\gamma = \alpha$ or $\gamma = \beta$ must be true since $\gamma \in \alpha \wedge \gamma \in \beta \Rightarrow \gamma \in \gamma$. \square

Corollary 1.1.4 *Suppose C is a class of ordinals, then $\bigcap C$ is an ordinal, and $\bigcap C \in C$. (This corollary implies that every class of ordinals has a minimal element, and therefore **On** is well-ordered.)*

Proof. (step1) Firstly, we prove that $\bigcap C \in C$ is an ordinal. Let γ be $\bigcap C$. According to the Axiom schema of separation, $\bigcap C$ is a set. Choosing a $c_0 \in C$, then we have:

$$\begin{aligned} \text{for any arbitrary } x \in \gamma &\Leftrightarrow x \in c \ (\forall c \in C) \\ &\Rightarrow \gamma \subset c_0 \\ &\Rightarrow \gamma \text{ is well ordered} \end{aligned}$$

As for the transitivity, the proof is as follows:

$$\begin{aligned} \text{for any arbitrary } x \in \gamma &\Leftrightarrow x \in c \ (\forall c \in C) \\ &\Rightarrow x \subset c \ (\forall c \in C) \\ &\Rightarrow x \subset \gamma \end{aligned}$$

(step2) Next, we prove that $\bigcap C \in C$. Assume that $\gamma \notin C$, $\forall x \in \gamma \Rightarrow x \in c \ (\forall x \in C)$, thus $\gamma \subset c \ \forall c \in C$, we also have $\gamma \neq c \ (\forall c \in C)$ because $\gamma \notin C$. Combine these two conclusions clause (2) of 1.1.3, we get $\gamma \in c \ (\forall c \in C)$. This implies $\gamma \in \bigcap C = \gamma$, which is impossible. \square

Corollary 1.1.5 $\alpha \sqcup \{\alpha\} = \inf\{\beta : \beta > \alpha\} := \bigcap\{\beta : \beta > \alpha\}$.

Proof.

$$\begin{aligned} \forall x \in \alpha \sqcup \{\alpha\} &\Rightarrow x \in \alpha \vee x = \alpha \\ &\Rightarrow (\forall \beta > \alpha \Rightarrow \beta > x) \\ &\Rightarrow x \in \bigcap\{\beta : \beta > \alpha\} \end{aligned} \quad \square$$

To prove the reverse containment, pursuant to lemma 1.1.3 we have $\forall x \in \mathbf{On} \wedge x \notin \alpha \sqcup \{\alpha\} \Rightarrow a \in x \Rightarrow x \in \{\beta : \beta > \alpha\}$. We now verify that $x \notin \bigcap\{\beta : \beta > \alpha\}$. Let γ be $\bigcap\{\beta : \beta > \alpha\}$, and assume that $x \in \gamma$. If $x = \gamma$, it makes $x = \gamma \in \gamma$. If $x \neq \gamma$, as discussed in 1.1.4, let γ be the minimal element of set $\{\beta : \beta > \alpha\}$. Suppose x is an element belongs to the same class but distinct from γ . The only possibility is that $\gamma \in x$, which leads to the contradiction.

Corollary 1.1.6 S is a set of ordinals, then $\sup S := \bigcup S$ is also an ordinal.

Proof. In accordance with the Axiom schema of replacement, $\bigcup S$ is indeed a set.

We now prove that $\bigcup S$ is well-ordered. For any arbitrary $x_1, x_2 \in \bigcup S$, there exists $\alpha_1, \alpha_2 \in S$, so that $x_1 \in \alpha_1, x_2 \in \alpha_2$. α_1, α_2 are two ordinals that satisfy the ordering of \mathbf{On} , so x_1, x_2 must belong to at least one of these two ordinals. Therefore x_1, x_2 are comparable under the ordering of ordinals. Thus, $\bigcup S$ is tot-ordered. Suppose $P \subset \bigcup S \wedge P \neq \emptyset$, then $P = \bigcup_{\alpha \in S} (\alpha \cap P)$. There must exists an α such that $\alpha \cap P \neq \emptyset$. Let m be the minimal element of it. We assert that m is the minimal element of P , if not, suppose $\min(P) = m_0$, then $m_0 < m < \alpha \cap P$, which implies m_0 is a smaller element than m in $\alpha \cap P$.

Next we prove that $\bigcup S$ is transitive. For any $x \in \bigcup S$, there exists $\alpha \in S$ such that $x \in \alpha$, thus $x \subset \alpha$. Moreover, it's easy to verify that $x \subset \bigcup S$. \square

Proposition 1.1.7 α is not a successor if and only if $\forall x \in \alpha \Rightarrow x + 1 \in \alpha$.

1.2 Transfinite Recursion

In terms of what I've been learned, Transfinite Induction is a well-established principle utilized to address problems of this nature, provided the following conditions are met:

- the ordinal 0 satisfies property P ;
- if $\alpha < \theta$ (or **On**) satisfies P , then $\alpha + 1$ also satisfies P ;
- if α is a limit ordinal, and for all $\beta < \alpha$, β satisfies P , then α satisfies P ;

Under the conditions, it can be concluded that the property P holds for all ordinals that belong to θ (or **On**).

This closely resembles the usual Induction, with the latter being a specific instance within the broader framework of Transfinite Induction. Specifically, when θ is set to be the smallest limit ordinal ω , the third condition mentioned earlier becomes redundant, and Transfinite Induction reduces to standard Induction. However, Transfinite Induction offers a more comprehensive perspective, enabling us to extend our reasoning to broader contexts. For instance, it will be used to demonstrate that two functions agree on **On**, assuming they satisfy certain prescribed properties, where standard Induction is inadequate. Furthermore, Transfinite Induction finds its application in the proof of Transfinite Recursion.

Theorem 1.2.1 (Transfinite Induction) *Suppose C is a class of ordinals, and the following conditions are true.*

1. $0 \in C$.
2. $\alpha \in C \Rightarrow \alpha + 1 \in C$.
3. Suppose α is a limit ordinal, and $(\forall \beta < \alpha \Rightarrow \beta \in C) \Rightarrow \alpha \in C$.

Then $C = \mathbf{On}$. This assertion remains valid when considering only ordinals less than a given ordinal θ

Proof. We only consider the case on a given ordinal θ . Suppose $C \neq \theta$, and let $\gamma = \min(\theta \setminus C)$. We have $\gamma \notin C$ and $\gamma \neq 0$, and the remainder of proof can be divided into several cases.

case 1. γ is a successor, so $\exists \beta \in \theta (\gamma = \beta + 1)$.

case 1a. $\beta \in C$, by definition we have $\gamma = \beta + 1 \in C$.

case 1b. $\beta \notin C$, then $\gamma < \beta < \gamma$.

case 2. γ is a limit ordinal.

case 2a. $\forall \beta < \gamma (\beta \in C)$, by definition we have $\gamma \in C$.

case 2b. $\exists \beta < \gamma \wedge \beta \notin C$, then $\gamma < \beta < \gamma$.

□

To define a function whose domain is the ordinal θ , a formal approach can be outlined as follows. Initially, we assign $a(0)$ to be an element a_0 in \mathbf{V} . Subsequently, for any ordinal α satisfying $0 < \alpha < \theta$, we determine $a(\alpha)$ by rely on the previously established values $\{a(x)\}_{x < \alpha}$, which can be expressed as $a(\alpha) = G(\{a(x)\}_{x < \alpha})$, where G is a function mapping from \mathbf{V} to \mathbf{V} .

For example, there exists a function from \mathbf{On} to a nonempty set that is constructed in the proof of Zermelo's Theorem. Given a non-empty set S , it follows that $P(S) \setminus \{\emptyset\}$ is also non-empty. According to the Axiom of Choice, we have

$$\prod_{A \in P(S) \setminus \{\emptyset\}} A \neq \emptyset$$

which implies the existence of function

$$\begin{aligned} g : P(S) \setminus \{\emptyset\} &\rightarrow S \\ A &\mapsto x \text{ (an element belongs to } A) \end{aligned}$$

Next, we specify an arbitrary $a_0 \in S$, and choose distinct elements $\Omega_0, \Omega_1 \notin S$ with $\Omega_0 \neq \Omega_1$. We define G as follows

$$G(X) = \begin{cases} a_0 & X = \emptyset \\ g(S \setminus X) & X = \{a_x\}_{x < \alpha} \subsetneq S \ (\alpha \in \mathbf{On}) \\ \Omega_0 & X = S \vee X = S \sqcup \{\Omega_0\} \\ \Omega_1 & \text{else} \end{cases}$$

Finally, we recursively define the function a by

$$a(\alpha) = G(\{a(x)\}_{x < \alpha})$$

Seems like we've defined a function from $\mathbf{On} \rightarrow S \sqcup \{\Omega_0\}$. However, in my opinion, our endeavors so far has not been adequate, because we have merely assigned an initial value to a and provided a procedure for updating its subsequent values.

Now back to the start. Does the function a exist (and even unique) given sole knowledge of its initial value $a(0)$ and the function G that prescribe its updates? This inquiry directs us toward the principle of Transfinite Recursion, which addresses precisely such questions regarding the construction of functions over the ordinals.

Theorem 1.2.2 (Transfinite Recursion) *For any ordinal θ , there exists a unique θ -sequence a such that for all ordinals $\alpha < \theta$, we have $a(\alpha) = G(a|_\alpha)$. In particular, there exists a unique function $a : \mathbf{On} \rightarrow \mathbf{V}$ so that for any ordinal α , $a(\alpha) = G(a|_\alpha)$.*

Proof. We consider the case involving a given ordinal θ , and initially demonstrate the uniqueness of the θ -sequence. Suppose both θ -sequence a and a' satisfy the recursive definitions

$$a(\alpha) = G(\{a(x)\}_{x < \alpha}), \quad a'(\alpha) = G(\{a'(x)\}_{x < \alpha})$$

To prove uniqueness, we invoke the Transfinite Induction. We define a class C of ordinals as $C = \{\alpha < \theta : a(\alpha) = a'(\alpha)\}$. We then verify that C satisfies the three conditions of Transfinite Induction. Firstly, notice that $a(0) = G(0) = a'(0)$ since any

function constrained on an empty set is \emptyset . This implies that $0 \in C$. Secondly, suppose that for all $x < \alpha$, we have $x \in C$, i.e., $a(x) = a'(x)$ for all $x < \alpha$. Whether α is a successor or a limit ordinal, the equality $\{a(x)\}_{x < \alpha} = \{a'(x)\}_{x < \alpha}$ holds. Consequently

$$a(\alpha) = G(\{a(x)\}_{x < \alpha}) = G(\{a'(x)\}_{x < \alpha}) = a'(\alpha)$$

Thus the Inductive step is satisfied for both successor and limit ordinals. Finally, by the Transfinite Induction, we conclude that $C = \theta$.

Next, we establish the existence of a by adopting a methodology analogous to the proof of uniqueness. We define C as the class of ordinals satisfying the condition:

$$C = \{\xi < \theta : \text{the } \xi\text{-sequence } a[\xi] \text{ exists}\}$$

Evidently, $a[0]$ exists and is trivially set to be 0, thus $0 \in C$. Assume that $0 < \beta < \theta$ and that for all $\xi < \beta$, the function $a[\xi]$ exists. We proceed to demonstrate the existence of $a[\beta]$.

We assert that if $\zeta < \eta$, and $a[\zeta]$, $a[\eta]$ exists, then $a[\eta]|_\zeta = a[\zeta]$. The basis for this assertion is

$$\begin{aligned} a[\zeta]|_\eta(x) &= G(\{a[\zeta]|_\eta(t)\}_{t < x}) \\ a[\eta](x) &= G(\{a[\eta](t)\}_{t < x}) \end{aligned}$$

By the uniqueness mentioned above, we conclude that this assertion is true.

Subsequently, we let $a[\beta](\xi) := G(a[\xi])$ ($\forall \xi < \beta$), and it gives that $\forall x < \beta$:

1. $a[\beta]|_x = \{G(a[t])\}_{t < x} = \{G(a[x]|_t)\}_{t < x} = \{a[x](t)\}_{t < x}$.
2. $a[\beta](x) = G(a[x]) := G(\{a[x](t)\}_{t < x})$.
3. $a[\beta](x) = G(a[\beta]|_x)$. □

Hence we conclude that $\beta \in C$. By the Transfinite Induction, it follows that $C = \theta$.

1.3 Cardinality

Proposition 1.3.1 *If $|A| \geq \aleph_0$, then $\aleph_0|A| = |A|$.*

Proof. Let set \mathcal{F} be

$$\mathcal{F} = \{f \in S^{\mathbb{Z}_{\geq 0} \times S} : S \subset A \wedge f \text{ is bijection}\}$$

Notice that when $S = \mathbb{Z}_{\geq 0}$, there exists a bijection $\mathbb{Z}_{\geq 0}^2 \rightarrow \mathbb{Z}_{\geq 0}$, thus $\mathcal{F} \neq \emptyset$. Define a relation on \mathcal{F} such that $f \preccurlyeq g \Leftrightarrow \Gamma_f \subset \Gamma_g$, which can be easily verified to be a partial ordering on \mathcal{F} .

We claim that every chain in \mathcal{F} has an upper bound. The proof proceeds as follows. Let $\{f_t\}_{t \in T}$ be a chain contained in \mathcal{F} . Define $U = \bigcup_{t \in T} \Gamma_{f_t}$. It can be verified that U is a graph and corresponds to a bijection in \mathcal{F} , which we denote as f_0 .

By Zorn's Lemma, \mathcal{F} has a maximal element, denoted as $h : \mathbb{Z}_{\geq 0} \times \tilde{S} \rightarrow \tilde{S}$. If $\tilde{S} \subsetneq A$, then we choose an element $\gamma \in A \setminus \tilde{S}$, and $s \in \tilde{S}$. We define a bijection $h' : \mathbb{Z}_{\geq 0} \times \tilde{S} \sqcup \{\gamma\} \rightarrow \tilde{S} \sqcup \{\gamma\}$ as follows:

$$h'(n, a) = \begin{cases} \gamma & (n = 0 \wedge a = \gamma) \\ h(s, 2n + 1) & (n > 0 \wedge a = \gamma) \\ h(s, 2n + 2) & (a = s) \\ h(a, n) & \text{else} \end{cases}$$

Since $\Gamma_{h'}$ is larger than Γ_h , which contradicts the assumption that h is maximal element in \mathcal{F} , we conclude that $\tilde{S} = A$. \square

1.4 Mapping

Proposition 1.4.1 *The following propositions are equivalent:*

- 1 1.1 $f : X \rightarrow Y$ is injection.
- 1.2 f has the left inverse g that satisfies $gf = \text{id}_X$.
- 1.3 f has the left cancellation law, namely $g_1 f = g_2 f \Rightarrow g_1 = g_2$ for $g_i : Y \rightarrow Z$.
- 2 2.1 $f : X \rightarrow Y$ is surjection.
- 2.2 f has the right inverse g that satisfies $fg = \text{id}_Y$.
- 2.3 f has the right cancellation law, namely $fg_1 = fg_2 \Rightarrow g_1 = g_2$ for $g_i : Z \rightarrow X$.

Proof. see 李文威 2024 proposition 2.2.6. \square

Theorem 1.4.2 (X, \sim) is a set X endowed with an equivalence relation \sim . $f : X \rightarrow Y$ is a mapping satisfies $x_1 \sim x_2 \Rightarrow f(x_1) = f(x_2)$. There exists unique mapping $\bar{f} : X/\sim \rightarrow Y$ that makes the following diagram commute:

$$\begin{array}{ccc} X & \xrightarrow{\pi} & X/\sim \\ f \downarrow & \swarrow \bar{f} & \\ Y & & \end{array}$$

Theorem 1.4.3 Continuing with the conditions of previous theorem, if $x_1 \sim x_2 \Leftrightarrow f(x_1) = f(x_2)$, there exists unique bijection \bar{f} such that:

$$\begin{array}{ccc} X & \xrightarrow{\pi} & X/\sim \\ f \downarrow & \swarrow \bar{f} & \\ \text{Im}(f) & & \end{array}$$

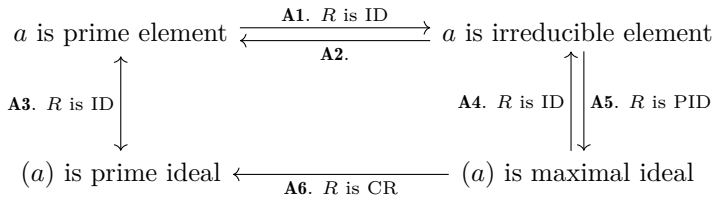
Chapter 2

Ring and Field

2.1 Zoom Table

Abbreviated specification:

- **ID**: Integral domain.
- **CR**: Commutative ring.
- **PID**: Principle ideal domain.
- **UFD**: Unique factorization domain.
- **EID**: Euclidean integral domain.



Other conclusions:

B1. $R \text{ is EID} \Rightarrow R \text{ is PID.}$

B2. $R \text{ is PID} \Rightarrow R \text{ is UFD.}$

B3. (i) $R \text{ is ID}$, (ii) every proper factor chain in R is finite, (iii) every irreducible element is prime element $\Rightarrow R \text{ is UFD.}$

B4. (i) $R \text{ is ID}$, (ii) every $r \in R$ can be denoted as a multiplication of irreducible elements, (iii) every irreducible element is prime element $\Leftrightarrow R \text{ is UFD.}$

B5. $R \text{ is UFD} \Rightarrow \exists \gcd(a, b).$

Proof

A1. Let p be a prime element, suppose $a|p$, then $p|ab$. If $p|a$, we have $p \sim a$. On the other hand, if $p \nmid a$, then $p \nmid b$, then $p = hpa$. Due to there is no zero divisor in ID, we conclude that $ha = 1$, which implies $a \sim 1$.

A2. case1. R is ID, and every two elements in R have the greatest common divisor. The proof proceeds as follows: Let p be the irreducible element in R , and $p|bc$. Then $\gcd(p, b)$ is either the invertible element of R or the equivalent element of b . If $\gcd(p, b) \sim 1$, then $\gcd(cp, cb) \sim c$ (丘维声 2015 p.146.). We have $p|\gcd(cp, cb) \wedge \gcd(cp, cb)|c$, thus $p|c$. If $\gcd(p, b) \sim p$, we immediatly get $p|b$.

case2. R is PID. (The proof can be performed as the process that R is PID $\Rightarrow R$ is UFD $\Rightarrow \exists \gcd(a, b)$). The following we provide another way of solution, see 李文威 2024 Lemma 6.2.9). Suppose p is a prime element and $p|ab$, there exists f such that $\langle p, a \rangle = (f)$. Therefore $(a) \subset (f)$ and $(p) \subset (f)$ hold, which is equivalent to $f|a$ and $f|p$. If $f \sim 1$, then $\langle a, p \rangle = R$, which implies there exists u, v such that $ua + vp = 1$. Thus we have $uab + vpb = b$, hence $p|uab + vpb = b$. If $f \sim p$, we immediatly get $p|a$.

A3. a is a prime $\Leftrightarrow a \neq 0 \wedge a \notin R^\times \wedge (a|bc \Rightarrow a|b \vee a|c) \Leftrightarrow (a) \neq (0) \wedge (a) \neq R \wedge (bc \in (a) \rightarrow b \in (a) \vee c \in (a))$.

A4. By **A6**, **A3**, and **A1**.

A5. Suppose $(a) \subset I \subset R$. By prescribed condition that R is PID, so we have $I = (b)$. Thus either $b \sim 1$ or $b \sim a$ holds.

A6. (a) is maximal ideal $\Leftrightarrow R/(a)$ is a field $\Rightarrow R/(a)$ is an ID $\Leftrightarrow (a)$ is a prime element.

B1. EZ.

B2. step1. R is PID, then every ascending chain of ideals in R stops. To be specific, suppose $(I_n)_{n \geq 0}$ is a series of ideals, which satisfies $I_1 \subset I_2 \subset \dots$. There must exist $n \in \mathbb{Z}_{\geq 0}$ such that $I_n = I_{n+1} = \dots$. The proof is as follows: Let $I = \bigcup_{n \geq 0} I_n$, it follows that I is an ideal, thus $I = (h)$. It can be verified that $\exists n \in \mathbb{Z}_{\geq 0}$ that $h \in I_n$, and therefore $I \subset I_n$.

step2. If R satisfies the ascending chain condition that every ascending chain of ideals in R stops, then $\forall r \in R^*$ can be denoted as a multiplication of irreducible elements. If $r \in R^\times$, we agree that r is a multiplication of 0 irreducible element. If $r \notin R^\times$, we let $r_0 = r$ and assume that r has no irreducible factorization. It follows that r is not irreducible, or $r = r$ is a irreducible factorization. Thus we have $r_0 = r_1 s_1$ where $r_1, s_1 \approx r_0$, which implies that $(r_0) \subsetneq (r_1)$ and $(r_0) \subsetneq (s_1)$. By the assumption that r has no irreducible factorization, we conclude that r_1 or s_1 remains the same property. Suppose r_1 has no irreducible factorization, and continue the process. Finally we end up with a strictly ascending chain of ideals $(r_0) \subsetneq (r_1) \subsetneq \dots$, which contradicts the discussion in step1.

step3. By **A2**, we conclude that in PID every irreducible element is prime element. The uniqueness of decomposition can be easily verified by using Induction.

B3. Similar to **B2**.

B4. (\Rightarrow) is similar to **B2**. Next we prove the (\Leftarrow) direction (李文威 2024 Proposition 6.3.2). Suppose R is UFD, $p \in R$ is irreducible, and $p|ab$ where $a = q_1 \cdots q_m$, $b = r_1 \cdots r_n$. Therefore $\frac{ab}{p}$ can be decomposed as $s_1 \cdots s_t$. Thus $q_1 \cdots q_m r_1 \cdots r_n = ab = s_1 \cdots s_t p$. By the uniqueness of decomposition, it follows that $p \sim q_i \vee p \sim r_i$.

B5. Suppose $a = \prod_{i \geq 1} p_i^{n_i}$, $b = \prod_{i \geq 1} p_i^{m_i}$. Let $g_0 = \prod_{i \geq 1} p_i^{\min\{n_i, m_i\}}$. Recall the definition of gcd in PID that $\langle a_1, \dots, a_n \rangle = \gcd(a_1, \dots, a_n)R = gR$. It directs us toward the proof of $g_0 \sim g$. Pursuant to 李文威 2024 Proposition 2.7.3, we have $g_0|a \wedge g_0|b \Leftrightarrow (\forall x \in \langle a, b \rangle \Rightarrow g_0|x) \Leftrightarrow g_0|g$. To prove the reverse direction, notice that $g|a \wedge g|b$, which implies that $g = \prod_{i \geq 1} p_i^{t_i}$ and $t_i \leq \min\{n_i, m_i\}$. We conclude that $g|g_0$.

2.2 Some properties of ring

Several isomorphism theorems can copy the results of linear space, see Section 3.4.

Proposition 2.2.1 *For any ring R , there exists unique homomorphism $\sigma : \mathbb{Z} \rightarrow R$ that maps 1 into 1_R .*

Definition 2.2.2 *Continuing with the conditions above, $\text{Ker } \sigma \subset \mathbb{Z}$ is an ideal. By the propertie of PID, there exists unique number $\text{char } R \in \mathbb{Z} \wedge \text{char } R \geq 0$ such that:*

$$\text{Ker } \sigma = (\text{char } R)\mathbb{Z}$$

Proposition 2.2.3 *R_0 is a subring of R , then $\text{char}(R_0) = \text{char}(R)$.*

Proposition 2.2.4 *R is an ID, then:*

1. $\text{char}(R) = 0 \Rightarrow \mathbb{Q} \hookrightarrow R$.
2. $\text{char}(R) = p > 0 \Rightarrow \mathbb{F}_p \hookrightarrow R$.

Proof. (1): By Proposition 2.2.1, observe that $\mathbb{Z} \xrightarrow{\sigma} R$, and then apply Proposition 2.2.5.

(2): It holds that $\mathbb{Z}/\text{Ker } \sigma = \mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p \simeq \text{Im } \sigma = R$. □

Proposition 2.2.5 *Suppose R is ID, R' is CR. $\varphi : R \rightarrow R'$ is a ring homomorphism, which satisfies $\varphi(R^*) \subset (R')^\times$. Then there exists unique $\Phi : \text{Frac}(R) \rightarrow R'$ that maps $[f, g]$ to $\varphi(f)\varphi(g)^{-1}$, in other words, the following diagram commutes:*

$$\begin{array}{ccc} R & \xrightarrow{\varphi} & R' \\ \downarrow \iota & \nearrow \Phi & \\ \text{Frac}(R) & & \end{array}$$

Proof. Define mapping $\psi : \text{Ratio}(R) \rightarrow R'$ as follows: $(a, b) \mapsto \varphi(a)\varphi(b)^{-1}$. It can be verified that (i) ψ is well defined; (ii) ψ is ring homomorphism; (iii) $(a, b) \sim (c, d) \Leftrightarrow ad = bc \Rightarrow \psi(a, b) = \psi(c, d)$. Applying Theorem 1.4.2, there exists unique mapping $\Phi : \text{Ratio}(R)/\sim \rightarrow R'$ that maps $[a, b]$ to $\varphi(a)\varphi(b)^{-1}$. It straitfoward to verify it is a ring homomorphism. \square

Corollary 2.2.6 *The ID R embed into field F , where each element can be represented as $\tau(a)\tau(b)^{-1}$. There exists unique ring isomorphism $\Phi : \text{Frac}(R) \rightarrow F$ that maps $[a, b]$ to $\tau(a)\tau(b)^{-1}$, which is equivalent to the diagram:*

$$\begin{array}{ccc} R & \xrightarrow{\tau} & F \\ \downarrow \iota & \nearrow \Phi & \\ \text{Frac}(R) & & \end{array}$$

Proposition 2.2.7 *R is ID, then*

$$\text{Frac}(R)(X) \simeq \text{Frac}(R[X])$$

Proof.

$$\begin{array}{ccccc} & & \tau & & \\ & \swarrow & & \searrow & \\ R[X] & \longrightarrow & \text{Frac}(R)[X] & \longrightarrow & \text{Frac}(R)(X) \\ \downarrow \iota & & \nearrow \Phi & & \\ \text{Frac}(R[X]) & & & & \end{array}$$

The noly thing that need to clarify is that every element in $\text{Frac}(R)(X)$ can be denoted as $\tau(a)\tau(b)^{-1}$ where $a, b \in R[X]$, and it is straitforward:

$$\frac{\sum_{i=0}^n \frac{a_i}{b_i} X^i}{\sum_{j=0}^m \frac{c_j}{d_j} X^j} = \frac{\prod_{j=0}^m d_j \sum_{i=0}^n a'_i X^i}{\prod_{i=0}^n b_i \sum_{j=0}^m c'_j X^j} \quad \square$$

2.3 Unique factorization domain

Definition 2.3.1 *R is UFD iff (i) each non-zero element in R can be decomposed into the multiplication of finite many irreducible elements; (ii) this decomposition is Unique in the sense of equivalence that $a \sim b \Leftrightarrow a \in bR^\times$.*

Corollary 2.3.2 *p is an irreducible element, then every element in pR^\times is irreducible.*

Define $P = \{p \in R : \text{irreducible element}\}$. The equivalence relationship was defined as $a \sim b \Leftrightarrow a \in bR^\times$. We hope to decompose P into the disjoint union of equivalence classes, and select a subset consisting of representative elements. To archieve this, the first step is choose an function $g \in \prod(P/\sim)$. Then $\text{Im } g$ is what we want.

Proposition 2.3.3 Suppose $\text{Im } g = \{p_a\}_{a \in A}$. R is UFD. Then $\forall a \in R^*$ can be decomposed as:

$$\bigsqcup_{\in R^\times} \prod_{a \in A} \bigsqcup_{\substack{f \mid n_a \\ n_a \neq 0}} p_a^{n_a}$$

Proof. By definition of UFD, we obtain that $a = q_1^{n_1} \cdots q_s^{n_s}$, where $q_i \in [p_i]_{\sim}$. Thus $a = e_1^{n_1} \cdots e_s^{n_s} p_1^{n_1} \cdots p_s^{n_s}$. \square

Suppose R is a ring, and $a_1, \dots, a_s \in R$. In principle, the greatest common divisor of a_1, \dots, a_s are define as an element d which satisfies the following properties: (i) d is a common factor; (ii) for any common factor l it holds that $l \mid d$. For other spacial rings, the gcd has different definitions. Below is an example in UFD:

Definition 2.3.4 $a_1, \dots, a_s \in R^*$, each of them can be decomposed to $e_i \prod_{a \in A} p_a^{n_{ai}}$. Then

$$\gcd(a_1, \dots, a_s) := \prod_{a \in A} p_a^{\min\{n_{a1}, \dots, n_{as}\}}$$

(This definition ensures it's output is 1 when the inputs are mutual prime.)

Using the newly definition of gcd, it can be verified that:

Proposition 2.3.5 If $a_1, \dots, a_s \in R^*$, then:

1. $\forall i, \gcd(a_1, \dots, a_s) \mid a_i$.
2. $\forall i (d \mid a_i) \Rightarrow d \mid \gcd(a_1, \dots, a_s)$.

Additionally, if $r \in R$ satisfies the following properties:

1. $\forall i, r \mid a_i$.
2. $\forall i (d \mid a_i) \Rightarrow d \mid r$.

then $r \in \gcd(a_1, \dots, a_s)R^\times$. In other words, the set that consisting of all gcd of a_1, \dots, a_s is $\gcd(a_1, \dots, a_s)R^\times$.

Corollary 2.3.6 Suppose $a = u \prod_{i=1}^s p_i^{n_i}, b = v \prod_{i=1}^s p_i^{m_i}$, then

$$a \mid b \Leftrightarrow \forall i (n_i \leq m_i)$$

Proof. Without losing generality, suppose for the contradiction that $n_1 > m_1$, and it leads to a contradiction:

$$\begin{aligned} a \mid b &\Leftrightarrow \exists h \in R (ah = b) \\ &\Rightarrow p_i^{m_1} (u h p_1^{n_1-m_1} p_2^{n_2} \cdots p_s^{n_s} - v p_2^{m_2} \cdots p_s^{m_s}) = 0 \\ &\Rightarrow u h p_1^{n_1-m_1} p_2^{n_2} \cdots p_s^{n_s} = v p_2^{m_2} \cdots p_s^{m_s} \\ &(\text{By the definition of UFD}) \Rightarrow n_1 = m_1 \end{aligned}$$

For the reverse direction, suppose $\forall i (n_i \leq m_i)$. It follows that:

$$u \prod_{i=1}^s p_i^{n_i} \cdot u^{-1} v \prod_{i=1}^s p_i^{m_1-n_i} = v \prod_{i=1}^s p_i^{m_i}$$

\square

Proposition 2.3.7 *R is UFD, then each irreducible element is prime element.*

All subsequent R , if not otherwise specified, is UFD.

Definition 2.3.8 $R[X]^* \ni f = a_n X^n + \cdots + a_0$, and d is one of the gcds of a_n, \cdots, a_0 , then:

$$f \text{ is primitive polynomial} \Leftrightarrow d \sim 1$$

Definition 2.3.9 $R[X]^* \ni f = a_n X^n + \cdots + a_0$, then:

$$c(f) := \gcd(a_n, \cdots, a_0)$$

Proposition 2.3.10 *For any $f \in R[X]^*$:*

1. $f = df_0$, where $d \in R^*$ and f_0 is primitive polynomial.
2. If $f = d_1 f_1 = d_2 f_2$, then $d_1 \sim d_2$ in R and $f_1 \sim f_2$ in $R[X]$.

Proof. (1)

$$f = c(f) \sum_{i=0}^n \frac{a_i}{c(f)} X^i \wedge \gcd\left(\frac{a_0}{c(f)}, \cdots, \frac{a_n}{c(f)}\right) = 1$$

(2) Suppose

$$a_1 \left(\sum_{i=0}^n c_i X^i \right) = a_2 \left(\sum_{i=0}^n d_i X^i \right)$$

where $\frac{a_2}{a_1} = \frac{p}{q} \wedge \gcd(p, q) = 1$, and both polynomials are primitive. It is equivalent to:

$$\begin{aligned} q \left(\sum_{i=0}^n c_i X^i \right) &= p \left(\sum_{i=0}^n d_i X^i \right) \\ \Rightarrow \forall i, qc_i &= pd_i \\ \Rightarrow \forall i, q|d_i \wedge p|c_i \\ \Rightarrow p &\sim 1 \wedge q \sim 1 \end{aligned}$$

□

Proposition 2.3.11 *R is UFD, and F is its fraction field, then for $f_1, f_2 \in R[X]^*$, it holds that:*

$$f_1 \sim f_2 \text{ on } R[X] \Leftrightarrow f_1 \sim f_2 \text{ on } F[X]$$

Proof. See 丘维声 2015 p.156. (\Rightarrow): Obvious. (\Leftarrow): similar to Proposition 2.3.10. □

Lemma 2.3.12 *$f_1, f_2 \in R[X]$ are primitive polynomials, then $f_1 f_2$ is primitive polynomial.*

Proof. See 李文威 2024 Lemma 6.9.4. □

Proposition 2.3.13 *Suppose $f \in R[X]$ where $\deg f > 0$ and is primitive polynomial. The following propositions are equivalent:*

1. f is reducible in $F[X]$.

2. $f = f_1 f_2$ in $R[X]$ where $\deg f_i > 0$ and each f_i is primitive polynomial.

subsequently, the following propositions are equivalent:

i. f is irreducible in $F[X]$.

ii. There does not exist $f_1, f_2 \in R[X]$ where $\deg f_i > 0$ and f_i is primitive polynomial, such that $f = f_1 f_2$.

Proof. (1) \Rightarrow (2): $f = g_1 g_2 \in F[X]$ where $g_i \in F[X]^\times$. Notice that $F[X]^\times = F^\times = F^*$ and $g_i \notin fF^*$, which implies that $0 < \deg g_i < \deg f$. Apply Proposition 2.3.10, we have $f = k_1 k_2 \underbrace{g'_1 g'_2}_{\text{pp in } R[X]}$. Then by Proposition 2.3.11, $f = e g'_1 g'_2 = g_1 g_2$.

(2) \Rightarrow (1): Trivial.

(i) \Leftrightarrow (ii): Inverse negative proposition of (1) \Leftrightarrow (2). \square

Corollary 2.3.14 *primitive polynomial $f \in R[X]$ and $\deg f > 0$, the following propositions are equivalent:*

1. f is irreducible in $R[X]$.

2. f is irreducible in $F[X]$.

Proof. (2) \Rightarrow (1): Suppose $f \in R[X]$ is reducible, so $f = f_1 f_2$ where $f_i \notin R[X]^\times \cup fR^\times \cup \{0_R\}$. Notice that if $f_1 \in R^* \setminus R^\times$ then f is not primitive polynomial. If $\deg f_1 = \deg f$, this implies that $\deg f_2 = 0$, which leads to a contradiction. In conclusion, $0 < \deg f_i < \deg f$. It follows that f is reducible in $F[X]$.

(1) \Rightarrow (2): Assuming that f is reducible in $F[X]$. Applying Proposition 2.3.13, it immediately follows that f is reducible in $R[X]$. \square

Corollary 2.3.15 *Irreducible element in $R[X]$ can be divided into two classes:*

- $\deg f = 0$: f is irreducible element in R .
- $\deg f > 0$: element that satisfies with the condition of Proposition 2.3.13 (ii).

$f \in R[X]$ can be denoted as:

$$f = p_1^{e_1} \cdots p_s^{e_s}$$

where each p_i is irreducible element of $R[X]$. It follows that:

$$f' = \sum_{i=1}^s e_i p_i^{e_i-1} p'_i \prod_{j \neq i} p_j^{e_j}$$

Further more:

$$\begin{aligned} p_i | f' &\Leftrightarrow p_i | e_i p_i^{e_i-1} p'_i \prod_{j \neq i} p_j^{e_j} \\ &\Leftrightarrow p_i | e_i p_i^{e_i-1} p'_i \text{ (This holds true when } e_i 1_R = 0 \vee p'_i = 0) \\ &\Leftrightarrow (p'_i = 0) \vee (p'_i \neq 0 \wedge e_i \geq 2) \end{aligned}$$

Thus we obtain that $p_i \nmid f' \Leftrightarrow p'_i \neq 0 \wedge e_i = 1$. Notice that

$$\gcd(f, f') = 1 \Leftrightarrow \begin{cases} f' \neq 0 \\ p'_i \nmid f' \end{cases}$$

Consequently, we have:

$$\gcd(f, f') = 1 \Leftrightarrow \begin{cases} f' \neq 0 \\ p'_i \neq 0 \wedge e_i = 1 \end{cases}$$

This indicates that when $p'_i \neq 0$, f has no repeated roots iff $\gcd(f, f') = 1$. In particular, if f split over R , $p'_i \neq 0$ naturally holds, then f has no repeated roots iff $\gcd(f, f') = 1$.

Chapter 3

Vector Space

3.1 Basis

V is F -vector space, $S \subset V$:

1. The linear combination of S is $\langle S \rangle := \{\sum_{\alpha \in S} k_{\alpha} \alpha\}$.
2. The linear relationship can be viewed as a function $k \in F^S$. All linear relationships on set S can be denoted as $\{k \in F^S : \sum_{\alpha \in S} k_{\alpha} \alpha = 0\}$.

2.1 We say S is linearly independent iff $\{k \in F^S : \sum_{\alpha \in S} k_{\alpha} \alpha = 0\} = \{\mathcal{O}\}$.

3. S is the base of V iff (i) S is linearly independent; (ii) $\langle S \rangle = V$.

3.1 $\forall v \in V$ can be uniquely denoted as $\sum_{\alpha \in S} k_{\alpha} \alpha$.

Proposition 3.1.1 *The following propositions are equivalent:*

1. S is basis.
2. S is maximal linearly independent set.
3. S is minimal generating set.

Proposition 3.1.2 *The following propositions are equivalent:*

1. $\{w_1, \dots, w_m\} \subset \langle v_1, \dots, v_n \rangle \wedge m > n \Rightarrow \{w_i\}_{i=1}^m$ is linearly dependent set.
2. $\{w_1, \dots, w_m\} \subset \langle v_1, \dots, v_n \rangle \wedge \{w_1, \dots, w_m\}$ is linearly independent $\Rightarrow m \leq n$.

Theorem 3.1.3 *The following propositions are true:*

1. Any F -vector space has basis.
2. Any basis of V has the same cardinality.
3. $T : V \xrightarrow{\sim} W$ is an isomorphism, then B is the basis of V iff $T(B)$ is the basis of W .

Proof. Zorn's Lemma and Axiom of Choice are equivalent propositions. We use Zorn's Lemma in this proof directly.

(1) Let S be a linearly independent set (it is permissible for $S = \emptyset$). Define set P as

$$P = \{T \subset V : S \subset T \wedge T \text{ is linearly independent set}\}$$

P , together with the subset relation \subset , forms a partially ordered set. Suppose T' is a chain contained in P , let $T_0 = \bigcup_{t \in T'} t$, it can be verified that T_0 is linearly independent set. Thus we have established that every chain in P has an upper bound. Applying Zorn's Lemma we get P contains an maximal element, which is precisely the basis of V .

(2) Suppose B, B' are two sets of basis for V . We first consider the case where $|B| < \aleph_0$ and denote $B = \{\beta_1, \dots, \beta_n\}$. Since $B' \subset \langle \beta_1, \dots, \beta_n \rangle$ and B' is linearly independent, $|B'|$ must smaller than n . To see this, suppose for contradiction that $|B'| > n$. Then there would exists $n+1$ elements in B' that also belong to $\langle \beta_1, \dots, \beta_n \rangle$, implying that these elements are linearly dependent. By a similar argument, we obtain $|B| \leq |B'|$.

Now let $|B| \geq \aleph_0$, by the discussion above, we immediatly get $|B'| \geq \aleph_0$ as well. For any $\alpha \in B$, there exists a finite set B'_α that $\alpha \in \langle B'_\alpha \rangle$. Define $A = \bigcup_{\alpha \in B} B'_\alpha$. It can be verified that $V = \langle A \rangle$. We asser that $A = B'$. If not, there exists $\alpha' \in B' \setminus A$. Notice that $\alpha' \in \langle A \rangle$, so we have $\alpha' = \sum_{x \in A} k_x x$, where the equation $\alpha' - \sum_{x \in A} k_x x = 0$ is a non-trivial linear relationship among the elements of B' , which contradicts the property of the independence of B' . According to proposition 1.3.1, we get:

$$|B'| = \left| \bigcup_{\alpha \in B} B'_\alpha \right| \leq \left| \bigsqcup_{\alpha \in B} B'_\alpha \right| \leq |B \times \mathbb{Z}_{\geq 0}| = |B|$$

(3) (\Rightarrow): It is straitforward to verify that $T(S) \subset W$ is linearly independent and spans W . Furthermore, T^{-1} is also a isomorphism, then proof of the reverse direction follows immediatly. \square

Proposition 3.1.4 B_i is set of basis of V_i . Let ι_i be the embedding mapping from V_i to $\bigoplus_{i \in I}^{\text{Ext}} V_i$. Then $\bigsqcup_{i \in I} \iota_i(B_i)$ is the basis of $\bigoplus_{i \in I}^{\text{Ext}} V_i$.

Proposition 3.1.5 $V = \langle v_1, \dots, v_m \rangle$, the following propositions are true.

1. V has basis.
2. $\exists n \in \mathbb{Z}_{\geq 0}$ such that $\dim V = n \leq m$.
3. Any linearly independent set can be extended to basis.
4. Any spanning set can be reduced to basis.

3.2 Direct Sum

Definition 3.2.1 Let $(V_i)_{i \in I}$ be a series of F -vector space:

1. $\prod_{i \in I} V_i := \{[f : I \rightarrow \bigcup_{i \in I} V_i] : f(i) \in V_i\}$.

$$2. \bigoplus_{i \in I}^{\text{Ext}} V_i := \{(v_i)_{i \in I} \in \prod_{i \in I} V_i : \text{finite many } v_i \neq 0\}$$

If V_i is the subspace of V :

$$1. \sum_{i \in I} V_i := \{\sum_{i \in I} v_i \in V : v_i \in V_i \wedge \text{finite many } v_i \neq 0\}$$

We define σ as follows:

$$\begin{aligned} \sigma : \bigoplus_{i \in I}^{\text{Ext}} V_i &\rightarrow \sum_{i \in I} V_i \\ (v_i)_{i \in I} &\mapsto \sum_{i \in I} v_i \end{aligned}$$

It can be verified that σ is a well defined linear mapping, and is surjective. When σ is injective, the vector space in both sides are isomorphic, in which case we use $\bigoplus_{i \in I} V_i$ to represent $\sum_{i \in I} V_i$. Additionally, the definition of external direct sum can be approached from two perspectives, as showed in the following formula:

$$\bigoplus_{i \in I}^{\text{Ext}} V_i = \bigoplus_{i \in I} \iota_i(V_i)$$

Proposition 3.2.2 *The following propositions are equivalent:*

1. σ is injection.
2. $V_i \cap \sum_{j \in I \setminus \{i\}} V_j = \{0\}$.
3. Every $v \in \sum_{i \in I} V_i$ can be uniquely decomposed into the form $\sum_{i \in I} v_i$.
4. $0 \in \sum_{i \in I} V_i$ can be only decomposed into the form $0 + \dots$
5. (V_i is finite dimensional sapce and I is finite set) $\dim(\sum_{i \in I} V_i) = \sum_{i \in I} \dim V_i$.

Proof.

$$\begin{aligned} \sigma \text{ is injection} &\Leftrightarrow \left(\sum_{i \in I} v_i = \sum_{i \in I} w_i \Rightarrow (v_i)_{i \in I} = (w_i)_{i \in I} \right) \\ &\Leftrightarrow \left(\sum_{i \in I} (v_i - w_i) = 0 \Rightarrow (v_i - w_i)_{i \in I} = 0 \right) \\ &\Leftrightarrow \left(\sum_{i \in I} a_i = 0 \Rightarrow (a_i)_{i \in I} = 0 \right) \end{aligned}$$

We can extract the equivalence of the 1st, 3rd and 4th propositions from the above formula. Subsequently, we proceed to prove the equivalence between the 1st and 2nd propositions. Assuming that σ is injective, and that $\gamma \in V_i \cap \sum_{j \in I \setminus \{i\}} V_j$. It follows that $\gamma - \sum_{j \in I \setminus \{i\}} v_j = 0$, thereby concluding that $\gamma = 0$. For the converse, suppose that $\sum_{i \in I} v_i = 0$. Our goal is to show that $v_i = 0$. For any i , observe that $v_i + \sum_{j \in I \setminus \{i\}} v_j \in V_i \cap \sum_{j \in I \setminus \{i\}} V_j$, which implies that $v_i = 0$.

In the case of V_i is finite dimensional and I is finite. We have

$$\begin{aligned}
 \sigma \text{ is injection} &\Leftrightarrow \bigoplus_{i \in I}^{\text{Ext}} V_i \simeq \sum_{i \in I} V_i \\
 &\Leftrightarrow \dim \left(\bigoplus_{i \in I}^{\text{Ext}} V_i \right) = \dim \left(\sum_{i \in I} V_i \right) \\
 &\Leftrightarrow \sum_{i \in I} \dim V_i = \dim \left(\sum_{i \in I} V_i \right) \quad \square
 \end{aligned}$$

When σ is isomorphism, we define the series of functions as follows:

- $\iota_i : V_i \hookrightarrow \bigoplus_{j \in I}^{\text{Ext}} V_j : v \mapsto (v_j)_{j \in I}$ where $(v_i = v, v_j = 0)$.
- $\tilde{\iota}_i : V_i \hookrightarrow \bigoplus_{j \in I} V_j : v \mapsto v$.
- $p_i : \bigoplus_{j \in I}^{\text{Ext}} V_j \rightarrow V_i : (v_j)_{j \in I} \mapsto v_i$.
- $\tilde{p}_i = p_i \sigma^{-1} : \bigoplus_{j \in I} V_j \rightarrow V_i$

The diagram commutes:

$$\begin{array}{ccc}
 & \bigoplus_{j \in I}^{\text{Ext}} V_j & \\
 \iota_i \nearrow & \downarrow \sigma \sim & \searrow p_i \\
 V_i & & V_i \\
 \tilde{\iota}_i \searrow & & \nearrow \tilde{p}_i \\
 & \bigoplus_{j \in I} V_j &
 \end{array}$$

Henceforth, we'll uniformly use ι_i (or p_i) to represent either ι_i or $\tilde{\iota}_i$ (p_i or \tilde{p}_i) in the commutative diagram above. Therefore, the function ι_i (or p_i) will possess two perspectives, and under the two perspectives, it will satisfy the following properties:

Corollary 3.2.3

1. $p_i \iota_i = \text{id}_{V_i}$.
2. $p_j \iota_i = \mathcal{O}$ ($i \neq j$).
3. If I is finite, then $\sum_{i \in I} \iota_i p_i = \text{id}_{\bigoplus V_i}$

Corollary 3.2.4 V is F -vector space. $P_1, \dots, P_s \in \text{End}(V)$ which satisfies that

$$P_1 + \dots + P_s = \text{id}, \quad P_i P_j = \begin{cases} P_i & i = j \\ \mathcal{O} & i \neq j \end{cases}$$

then the following propositions are true:

$$1. V = \bigoplus_{1 \leq i \leq s} \text{Im } P_i.$$

$$2. P_i \text{ is the projection from } V \text{ to } \text{Im } P_i.$$

In particular, if $P \in \text{End}(V)$ that satisfies $P^2 = P$, V has direct sum decomposition as:

$$V = \text{Im } P_i \oplus \text{Im}(\text{id} - P_i)$$

When σ is isomorphism and the objects in both ends of the linear mapping have direct sum decompositions, the diagram under ‘inner perspective’ can be copied into ‘external perspective’. For instance, the following diagram demonstrates the copy of linear mapping T :

$$\begin{array}{ccc} \bigoplus_{j \in J} V_j & \xrightarrow{T} & \bigoplus_{i \in I} W_i \\ \sigma_1^{-1} \downarrow \sim & & \sim \downarrow \sigma_2^{-1} \\ \bigoplus_{j \in J}^{\text{Ext}} V_j & \xrightarrow{T'} & \bigoplus_{i \in I}^{\text{Ext}} W_i \end{array}$$

where

$$T' : (v_j)_{j \in J} \mapsto \left(\sum_{j \in J} p_i^W T_{lj}^V v_j \right)_{i \in I}.$$

Under the ‘external perspective’ that ι_i is the embedding $V_i \hookrightarrow \bigoplus_{j \in J}^{\text{Ext}} V_j$ and p_i is the corresponding projection, the following proposition is true:

Proposition 3.2.5 *There exists isomorphism:*

$$\text{Hom}(\bigoplus_{j \in J}^{\text{Ext}} V_j, \prod_{i \in I} W_i) \xleftarrow{\sim} \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{Hom}(V_j, W_i)$$

$$T \longmapsto (T_{i,j})_{(i,j)} := (p_i^W T_{lj}^V)_{(i,j)}$$

$$\left[f : (v_j)_{j \in J} \mapsto \left(\sum_{j \in J} T_{i,j} v_j \right)_{i \in I} \right] \longleftarrow (T_{i,j})_{(i,j)}$$

In particular, let V_j and W_i be subspaces of V, W respectively, and let I, J be finite sets. We obtain that

$$\text{Hom}(\bigoplus_{j \in J}^{\text{Ext}} V_j, \bigoplus_{i \in I}^{\text{Ext}} W_i) \xleftarrow{\sim} \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{Hom}(V_j, W_i)$$

As depicted in the previous commutative diagram, this isomorphism can be copied to ‘inner perspective’, namely:

$$\begin{array}{ccc} T & \in & \text{Hom}(\bigoplus_{j \in J}^{\text{Ext}} V_j, \bigoplus_{i \in I}^{\text{Ext}} W_i) \xrightarrow[\sim]{M} \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{Hom}(V_j, W_i) \\ \downarrow & & \downarrow \wr \nearrow \sim \\ \sigma_2 T \sigma_1^{-1} & \in & \text{Hom}(\bigoplus_{j \in J} V_j, \bigoplus_{i \in I} W_i) \end{array}$$

Furthermore, under the condition of compatible index set size, we can also define the “marix multiplication” of $(S_{i,j})_{(i,j) \in I \times J}$ and $(T_{j,k})_{(j,k) \in J \times K}$, that is:

$$\begin{aligned} \odot : \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{Hom}(V_j, W_i) \times \bigoplus_{(j,k) \in J \times K}^{\text{Ext}} \text{Hom}(U_k, V_j) &\rightarrow \bigoplus_{(i,k) \in I \times K}^{\text{Ext}} \text{Hom}(U_k, W_i) \\ ((S_{i,j})_{(i,j) \in I \times J}, (T_{j,k})_{(j,k) \in J \times K}) &\mapsto \left(\sum_{j \in J} S_{i,j} T_{j,k} \right)_{(i,k) \in I \times K} \end{aligned}$$

Proposition 3.2.6 *The isomorphism M preserves multiplication:*

$$M(\circ(S, T)) = \odot(M(S), M(T))$$

Proof.

$$\begin{aligned} (S \circ T)_{i,k} &= p_i^W S T \iota_k^U \\ &= p_i^W S \left(\sum_{j \in J} \iota_j^V p_j^V \right) T \iota_k^U \\ &= \sum_{j \in J} S_{i,j} T_{j,k} \end{aligned}$$

Finlly, we use the above isomorphism to derive the definition of block matrixs and their multiplication. Let the index sets, I, J be finite. The conditions are listed as follows:

- $V = \bigoplus_{1 \leq j \leq s} V_j$, $W = \bigoplus_{1 \leq i \leq r} W_i$.
- $V_j = \langle \underline{\mathbf{v}}_j \rangle$ and $\underline{\mathbf{v}}_j = \{v_{j,1}, \dots, v_{j,n_j}\}$.
- $W_i = \langle \underline{\mathbf{w}}_i \rangle$ and $\underline{\mathbf{w}}_i = \{w_{i,1}, \dots, w_{i,m_i}\}$.
- $n_1 + \dots + n_s = n$ and $m_1 + \dots + m_r = m$.
- $\underline{\mathbf{v}}_1, \dots, \underline{\mathbf{v}}_s$ arranged in order form a basis $\underline{\mathbf{v}}$ for V , and similarly for W , yielding a basis $\underline{\mathbf{w}}$.

Define mapping φ as:

$$\begin{aligned} \bigoplus_{\substack{1 \leq i \leq r \\ 1 \leq j \leq s}} M_{m_i \times n_j}(F) &\xrightarrow{\varphi} M_{m \times n}(F) \\ (A_{i,j})_{\substack{1 \leq i \leq r \\ 1 \leq j \leq s}} &\longmapsto \begin{bmatrix} A_{1,1} & \cdots & A_{1,s} \\ \vdots & & \vdots \\ A_{r,1} & \cdots & A_{r,s} \end{bmatrix} \end{aligned}$$

Theorem 3.2.7 *The following diagram commutes:*

$$\begin{array}{ccc}
 \text{Hom}(\bigoplus_{j \in J} V_j, \bigoplus_{i \in I} W_i) & \xrightarrow{\sim} & \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \text{Hom}(V_j, W_i) \\
 \mathcal{M}_{\underline{V}}^{\underline{W}} \downarrow \sim & & \sim \downarrow \mathcal{M}_{\underline{V}_j}^{\underline{W}_i} \\
 \mathbb{M}_{m \times n}(F) & \xleftarrow[\sim]{\varphi} & \bigoplus_{(i,j) \in I \times J}^{\text{Ext}} \mathbb{M}_{m_i \times n_j}(F)
 \end{array}$$

Proof.

$$\begin{aligned}
 T v_{j,\mu} &= \sum_{i=1}^r p_i^W \iota_i^W T \iota_j^V(v_{j,\mu}) \\
 &= \sum_{i=1}^r T_{i,j} v_{j,\mu} \\
 &= \left[\sum_{\rho=1}^{m_1} (\mathcal{M}_{\underline{V}_j}^{\underline{W}_1}(T_{1,j}))_{\rho,\mu} w_{1,\rho} \right] + \cdots + \left[\sum_{\rho=1}^{m_r} (\mathcal{M}_{\underline{V}_j}^{\underline{W}_r}(T_{r,j}))_{\rho,\mu} w_{r,\rho} \right]
 \end{aligned}$$

Notice that the following array is exactly the corresponding row of $\mathcal{M}_{\underline{V}}^{\underline{W}}(T)$:

$$\left[(\mathcal{M}_{\underline{V}_j}^{\underline{W}_1}(T_{1,j}))_{1,\mu}, (\mathcal{M}_{\underline{V}_j}^{\underline{W}_1}(T_{1,j}))_{2,\mu}, \dots, (\mathcal{M}_{\underline{V}_j}^{\underline{W}_r}(T_{r,j}))_{m_r,\mu} \right]$$

Arranging them will form a matrix as:

$$\begin{bmatrix} \mathcal{M}_{\underline{V}_1}^{\underline{W}_1}(T_{1,1}) & \cdots & \mathcal{M}_{\underline{V}_s}^{\underline{W}_1}(T_{1,s}) \\ \vdots & & \vdots \\ \mathcal{M}_{\underline{V}_1}^{\underline{W}_r}(T_{r,1}) & \cdots & \mathcal{M}_{\underline{V}_s}^{\underline{W}_r}(T_{r,s}) \end{bmatrix}$$

□

When the index set sizes of I, J, K are compatible, we can easily obtain the multiplication of block matrices, that is:

$$\mathcal{M}((ST)_{i,k}) = \mathcal{M} \left(\sum_{j \in J} S_{i,j} T_{j,k} \right) = \sum_{j \in J} \mathcal{M}(S_{i,j}) \mathcal{M}(T_{j,k})$$

3.3 Linear Mapping

The mapping \mathcal{M} preserves multiplication, which is represented as:

$$\mathcal{M}(\circ(S, T)) = \cdot(\mathcal{M}(S), \mathcal{M}(T))$$

The isomorphism of the vector space at both ends of a linear mapping makes a copy of the mapping, represented as the diagram:

$$\begin{array}{ccc}
 V_1 & \xrightarrow{T} & W_1 \\
 \wr \downarrow & & \downarrow \wr \\
 V_2 & \xrightarrow{T'} & W_2
 \end{array}$$

Here are some common cases:

1. V, W are two vector spaces, $T \in \text{Hom}(V, W)$. Under the isomorphism that $V \simeq \text{Hom}(F, V) : v \mapsto [k \mapsto k \cdot v]$ the following diagram commutes, where $T \circ$ maps f_v to $T \circ f_v$:

$$\begin{array}{ccc} V & \xrightarrow{T} & W \\ \wr \downarrow & & \downarrow \wr \\ \text{Hom}(F, V) & \xrightarrow{T \circ} & \text{Hom}(F, W) \end{array}$$

2. Continuing the above definitions, under the isomorphism between $\text{Hom}(F, V)$ and $M_{n \times 1}(F)$, the diagram commutes, where $A \cdot$ maps x to $A \cdot x$:

$$\begin{array}{ccc} V & \xrightarrow{T} & W \\ \wr \downarrow & & \downarrow \wr \\ M_{n \times 1}(F) & \xrightarrow{A \cdot} & M_{m \times 1}(F) \end{array}$$

3. Given basis \underline{v} and \underline{w} of V, W , let $\varphi_{\underline{v}}$ be the isomorphism of V and F^n . The diagram commutes, where A maps $(x_i)_{i=1}^n$ to $(\sum_{j=1}^n a_{i,j} x_j)_{i=1}^m$:

$$\begin{array}{ccc} V & \xrightarrow{T} & W \\ \wr \downarrow & & \downarrow \wr \\ F^n & \xrightarrow{A} & F^m \end{array}$$

Definition 3.3.1 $T \in \text{Hom}(V, W)$, then T is invertible iff $\exists S \in \text{Hom}(W, V)$, such that $ST = \text{id}_V \wedge TS = \text{id}_W$.

Proposition 3.3.2 T is invertible as a linear mapping iff T is linear and bijective.

Proposition 3.3.3 There exists bijection that:

$$\{(v_i)_{i=1}^n : \text{ordered basis}\} \xleftrightarrow{1:1} \{\varphi \in \text{Hom}(F^n, V) : \text{isomorphic}\}$$

$$(v_i)_{i=1}^n \longmapsto [\varphi : (x_i)_{i=1}^n \mapsto \sum_{i=1}^n x_i v_i]$$

$$(\varphi(e_i))_{i=1}^n \longleftarrow \varphi$$

As the isomorphism of finite dimensional space and its coordinate space, φ very commonly used.

Proposition 3.3.4 Given finite dimensional F -vector space V, W and their basis $\underline{v}, \underline{w}$. $T \in \text{Hom}(V, W)$. Define $\varphi_{\underline{v}}$ as the isomorphism discussed above. Then the diagram commutes:

$$\begin{array}{ccc} V & \xrightarrow{T} & W \\ \varphi_{\underline{v}} \uparrow & & \uparrow \varphi_{\underline{w}} \\ F^n & \xrightarrow{\mathcal{M}_{\underline{v}}^{\underline{w}}(T)} & F^m \end{array}$$

If $T = \text{id}_V$ and $W = V$, we also use $P_{\underline{v}_2}^{\underline{v}_1}$ to represent $\mathcal{M}_{\underline{v}_2}^{\underline{v}_1}(T)$. It can be verified that

$$\begin{aligned} P_{\underline{v}_2}^{\underline{v}_1} P_{\underline{v}_1}^{\underline{v}_2} &= P_{\underline{v}_1}^{\underline{v}_2} P_{\underline{v}_2}^{\underline{v}_1} = I_n \\ P_{\underline{v}_2}^{\underline{v}_3} P_{\underline{v}_1}^{\underline{v}_2} &= P_{\underline{v}_1}^{\underline{v}_3} \end{aligned}$$

Theorem 3.3.5 *Given V, W and thier ordered basis $\underline{v}_1, \underline{v}_2, \underline{w}_1, \underline{w}_2$, the following diagram commutes:*

$$\begin{array}{ccccc} F^n & \xrightarrow{\mathcal{M}_{\underline{v}_2}^{\underline{w}_2}(T)} & F^m & & \\ & \swarrow \varphi_{\underline{v}_2} & \nearrow \underline{v}_2 & & \\ & V & \xrightarrow{T} & W & \\ & \swarrow \varphi_{\underline{v}_1} & \searrow \underline{w}_1 & & \\ F^n & \xrightarrow{\mathcal{M}_{\underline{v}_1}^{\underline{w}_1}(T)} & F^m & & \end{array}$$

$P_{\underline{v}_1}^{\underline{v}_2}$ on the left vertical arrow, $P_{\underline{w}_1}^{\underline{w}_2}$ on the right vertical arrow.

3.4 Quotient Space

Theorem 3.4.1 *Suppose $U \subset V$ is a subspace, $T \in \text{Hom}(V, W)$. If $U \subset \text{Ker } T$, there exists unique $\bar{T} \in \text{Hom}(V/U, W)$ which makes the diagram commute:*

$$\begin{array}{ccc} V & \xrightarrow{\pi} & V/\sim_U \\ T \downarrow & \swarrow \bar{T} & \\ W & & \end{array}$$

Conctinuing with the above conditions. If $\text{Ker } T = U$, then \bar{T} is isomorphism that makes the diagram commute:

$$\begin{array}{ccc} V & \xrightarrow{\pi} & V/\sim_U \\ T \downarrow & \swarrow \bar{T} & \\ \text{Im } T & & \end{array}$$

Proof. using Theorem 1.4.2 and Theorem 1.4.3, and prove that \bar{T} is linear. □

Theorem 3.4.2 *Given a vector space V endowed with a equivalence relation \sim , where V/\sim forms a F -vector space, and $q : V \rightarrow V/\sim$ is its quotient mapping. Then $v_1 \sim v_2 \Leftrightarrow v_1 - v_2 \in \text{Ker } q \Leftrightarrow v_1 \sim_{\text{Ker } q} v_2$. The mapping \bar{q} derived form theorem 3.4.1 is actually identity, thereby ensuring the commutativity of the diagram below:*

$$\begin{array}{ccc} V & \xrightarrow{\pi} & V/\text{Ker } q \\ q \downarrow & \swarrow \bar{q} & \\ V/\sim & & \end{array}$$

Theorem 3.4.3 Suppose U_1, U_2 are subspaces of V_1, V_2 respectively. $T \in \text{Hom}(V_1, V_2)$ satisfies $T(U_1) \subset U_2$. Then there exists $\bar{T} \in \text{Hom}(V_1/U_1, V_2/U_2)$, makes the diagram commute:

$$\begin{array}{ccc} V_1 & \xrightarrow{T} & V_2 \\ \pi_1 \downarrow & & \downarrow \pi_2 \\ V_1/U_1 & \xrightarrow{\bar{T}} & V_2/U_2 \end{array}$$

Furthermore, the following diagram commutes if $T_1(U_1) \subset U_2 \wedge T_2(U_2) \subset U_3$:

$$\begin{array}{ccccc} V_1 & \xrightarrow{T_1} & V_2 & \xrightarrow{T_2} & V_3 \\ \pi_1 \downarrow & & \downarrow \pi_2 & & \downarrow \pi_3 \\ V_1/U_1 & \xrightarrow{\bar{T}_1} & V_2/U_2 & \xrightarrow{\bar{T}_2} & V_3/U_3 \end{array}$$

Proof. For the first case, $\pi_2 T$ is a linear mapping. Notice that $v \in \text{Ker } \pi_2 T \Leftrightarrow Tv \in U_2$, thus $v \in U_1 \wedge T(U_1) \subset U_2 \Rightarrow v \in \text{Ker } \pi_2 T$. Therefore $U_1 \subset \text{Ker } \pi_2 T$, so we could apply theorem 3.4.1. \square

Theorem 3.4.4 (1st Isomorphism Theorem) Suppose V_1, V_2 are subspace of V , there exists isomorphism:

$$\begin{aligned} V_1/(V_1 \cap V_2) &\xrightarrow{\sim} (V_1 + V_2)/V_2 \\ v_1 + (V_1 \cap V_2) &\longmapsto v_1 + V_2 \end{aligned}$$

Proof.

$$\begin{array}{ccccc} & & T & & \\ & \nearrow & & \searrow & \\ V_1 & \xleftarrow{\iota_1} & V_1 + V_2 & \xrightarrow{\pi_1} & (V_1 + V_2)/V_2 \\ \pi_2 \downarrow & & & & \uparrow \bar{T} \\ V_1/(V_1 \cap V_2) & & & & \end{array}$$

$\underbrace{V_1/(V_1 \cap V_2)}_{\text{Ker } T}$

Theorem 3.4.5 (2nd Isomorphism Theorem) Suppose $U \subset V$ is a subspace, then

1 There exists bijection:

$$\{W \subset V : \text{subspace containing } U\} \xleftarrow{1:1} \{\bar{W} \subset \bar{V} : \text{subspace}\}$$

$$W \longmapsto \pi(W)$$

$$\pi^{-1}(\bar{W}) \longleftarrow \bar{W}$$

$$2 \quad W_1 \subset W_2 \Leftrightarrow \overline{W}_1 \subset \overline{W}_2.$$

3 *There exists isomorphism:*

$$\begin{aligned} V/W &\xrightarrow{\sim} \overline{V}/\overline{W} \\ v + W &\longmapsto (v + U) + (V/W) \end{aligned}$$

Proof.

- 1.1 $\pi(W)$ is an element in prescribed set, and the mapping $W \mapsto \pi(W)$ is well defined.
- 1.2 $\pi^{-1}(\overline{W})$ is an element in prescribed set, and mapping $\overline{W} \mapsto \pi^{-1}(\overline{W})$ is well defined.
- 1.3 $W \mapsto \pi(W) \mapsto \pi^{-1}(\pi(W))$ is identity: Obviously $W \subset \pi^{-1}(\pi(W))$. For any $x \in \pi^{-1}(\pi(W))$, we have $\pi(x) \in \pi(W)$. Thus there exists $w \in W$ such that $x + U = w + U$. Hence $x - w \in U \subset W$.
- 1.4 $\overline{W} \mapsto \pi^{-1}(\overline{W}) \mapsto \pi(\pi^{-1}(\overline{W}))$ is identity: Obviously $\pi(\pi^{-1}(\overline{W})) \subset \overline{W}$. Assume $\bar{x} \in \overline{W}$, by the surjection of π , there exists $x \in V$ such that $\pi(x) = \bar{x}$, because of that $\overline{W} \subset \overline{V}$. It implies $x \in \pi^{-1}(\overline{W})$, thus $\bar{x} = \pi(x) \in \pi(\pi^{-1}(\overline{W}))$.
- 2.1 $W_1 \subset W_2 \Rightarrow \pi(W_1) \subset \pi(W_2)$.
- 2.2 $\overline{W}_1 \subset \overline{W}_2 \Rightarrow \pi^{-1}(\overline{W}_1) \subset \pi^{-1}(\overline{W}_2)$.
- 3

$$\begin{array}{ccccc} & & T & & \\ & \nearrow & & \searrow & \\ V & \xrightarrow{\pi_1} & V/U & \xrightarrow{\pi_2} & (V/U)/(W/U) \\ \downarrow \pi & & & \nearrow \bar{T} & \\ V/\underline{\text{Ker } T} & & & & \\ =V/W & & & & \end{array}$$

□

Theorem 3.4.6 Suppose $U \subset V$ is a subspace. S_0 is a set of basis of U , and \overline{S}_1 is a set of basis of V/U . Let $g \in \prod_{\bar{a} \in \overline{S}_1} \pi^{-1}(\bar{a})$, and $S_1 = g(\overline{S}_1)$. The following propositions are true:

1. S_1 is linearly independent set.
2. $\langle S_0 \rangle \cap \langle S_1 \rangle = \{0\}$.
3. $\langle S_0 \sqcup S_1 \rangle = \langle S_0 \rangle \oplus \langle S_1 \rangle = V$.
4. $\dim V = \dim U + \dim V/U$.

Corollary 3.4.7 *If $V = U \oplus W$, then $W \simeq V/U$.*

Proof. Verify that $\pi|_W : w \mapsto w + U$ is bijection directly. \square

Corollary 3.4.8 *For any subspace $U \subset V$, there exists W such that $V = U \oplus W$.*

Consider the diagram under the condition that $T(U_1) \subset U_2$:

$$\begin{array}{ccc} V_1 & \xrightarrow{T} & V_2 \\ \pi_1 \downarrow & & \downarrow \pi_2 \\ V_1/U_1 & \xrightarrow{\bar{T}} & V_2/U_2 \end{array}$$

The other assumptions are listed as follows:

1. $U_1 = \langle \underline{\mathbf{A}}_1 \rangle = \langle \alpha_1, \dots, \alpha_r \rangle$.
2. $V_1/U_1 = \langle \underline{\mathbf{A}}'_2 \rangle = \langle \alpha'_{r+1}, \dots, \alpha'_{r+n} \rangle$.
3. $U_2 = \langle \underline{\mathbf{B}}_1 \rangle = \langle \beta_1, \dots, \beta_s \rangle$.
4. $V_2/U_2 = \langle \underline{\mathbf{B}}'_2 \rangle = \langle \beta'_{s+1}, \dots, \beta'_{s+m} \rangle$.
5. Applying Theorem 3.4.6, we obtain the complement space of U_i and thier basis, namely $W_1 = \langle \underline{\mathbf{A}}_2 \rangle = \langle \alpha_{r+1}, \dots, \alpha_{r+n} \rangle$, $W_2 = \langle \underline{\mathbf{B}}_2 \rangle = \langle \beta_{s+1}, \dots, \beta_{s+m} \rangle$.

Under the decomposition of $V_1 = U_1 \oplus W_1$ and $V_2 = U_2 \oplus W_2$, $\text{Hom}(V_1, V_2)$ undergoes a decomposition, where each of its element T admits an equivalent form:

$$\begin{bmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{bmatrix}$$

Subsequently, we proceed to prove the following propositions:

1. $T_{21} = \mathcal{O}$.
2. $T_{11} = T|_{U_1}$.
3. $\mathcal{M}(T_{22}) = \mathcal{M}(\bar{T})$.

The 1st and 2nd proposition are easy to verify. Regarding the 3rd one, our initial conclusion is that the subsequent diagram commutes:

$$\begin{array}{ccc} V_1/U_1 & \xrightarrow{\bar{T}} & V_2/U_2 \\ \sigma_1 = \pi_1|_{W_1} \uparrow & & \uparrow \sigma_2 = \pi_2|_{W_2} \\ W_1 & \xrightarrow{\sigma_2^{-1} \bar{T} \sigma_1} & W_2 \end{array}$$

Suppose $w_1 \in W_1$, then we have

$$w_1 \xrightarrow{\sigma_1} w_1 + U_1 \xrightarrow{\bar{T}} Tw_1 + U_2 \xrightarrow{\sigma_2^{-1}} p_2^{V_2} Tw_1 = p_2^{V_2} T \iota_2^{V_1} w_1 = T_{22} w_1$$

Thus $\sigma_2^{-1}\overline{T}\sigma_1 = T_{22}$. The rest work we need to do is to demonstrate that $\mathcal{M}(\sigma_2^{-1}) = I_m$, $\mathcal{M}(\sigma_1) = I_n$ and $\mathcal{M}(T_{22}) = \mathcal{M}(\sigma_2^{-1})\mathcal{M}(\overline{T})\mathcal{M}(\sigma_1) = \mathcal{M}(\overline{T})$, which is straitforward to prove.

Consequently, the matix of T under the prescribed basis has the form

$$\begin{bmatrix} \mathcal{M}(T|_{U_1}) & * \\ 0 & \mathcal{M}(\overline{T}) \end{bmatrix}$$

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