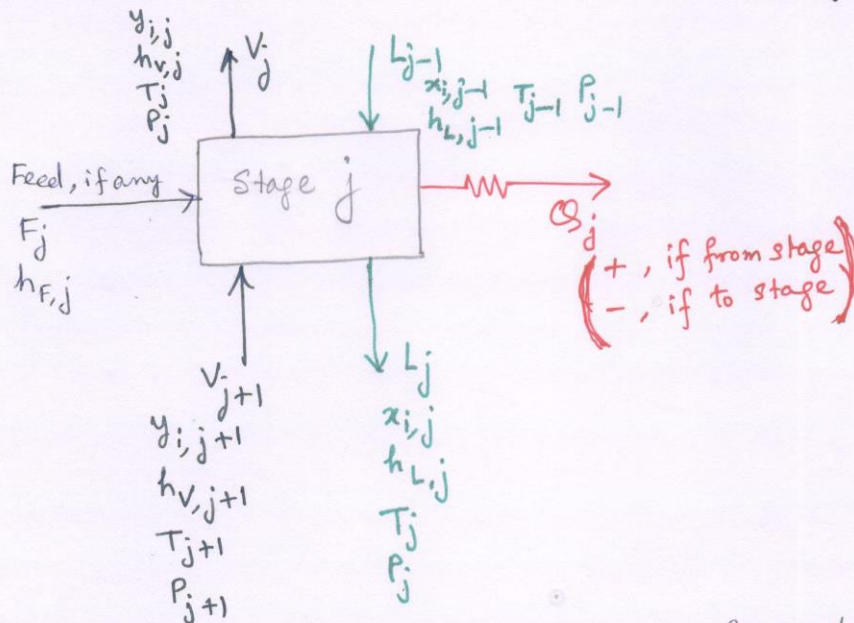


# Sum-Rates method for absorption and stripping

①



M-type Equations - Material balance for each component  
(C equations for each stage)

For  $i^{th}$  component,  $j^{th}$  stage

$$M_{ij} = L_{j-1} x_{i,j-1} + V_{j+1} y_{i,j+1} + F_j z_{i,j} - (L_j + V_j) x_{i,j} - (V_j + L_j) y_{i,j} = 0$$

*Annotations: 'No feed added to j<sup>th</sup> stage' points to  $F_j z_{i,j}$ . 'No reflux' points to  $(V_j + L_j) y_{i,j}$ .*

E-type Equations - Phase equilibrium relation for each component  
(C equations for each stage)

$$E_{ij} = y_{i,j} - K_{i,j} x_{i,j} = 0$$

S-type Equation - Mole fraction summations (One for each stage, each phase)

$$(S_y)_j = \sum_{i=1}^C y_{i,j} - 1.0 = 0$$

$$(S_x)_j = \sum_{i=1}^C x_{i,j} - 1.0 = 0$$

H-type Equation: Energy balance, one for each stage

$$H_j = L_{j-1} h_{L,j-1} + V_{j+1} h_{v,j+1} + F_j h_{F,j} - L_j h_{L,j} - V_j h_{v,j} - Q_j = 0$$

*Annotation: '0' points to  $F_j h_{F,j}$ .*

Here,  $h$  represents enthalpy per unit mole for the stream, identified in the subscript.

Solution of heat balance equation for temperature

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$$H_j = L_{j-1} h_{L,j-1} + V_{j+1} h_{V,j+1} - L_j h_{L,j} - V_j h_{V,j} - Q_j = 0$$

Enthalpy correlations are available as (Hougen & Watson, Chemical Process Principles) for mixtures

$$h_{V,j} = \sum_{i=1}^C y_{i,j} (A_i + B_i T_j + C_i T_j^2)$$

$$h_{L,j} = \sum_{i=1}^C x_{i,j} (a_i + b_i T_j + c_i T_j^2)$$

For individual components  
i = 1, 2, ..., C

A, B, C, a, b, c  
are tabulated.

$$\Rightarrow \frac{\partial h_{V,j}}{\partial T_j} = \sum_{i=1}^C y_{i,j} (B_i + 2C_i T_j)$$

$$\frac{\partial h_{L,j}}{\partial T_j} = \sum_{i=1}^C x_{i,j} (b_i + 2c_i T_j)$$

Since  $h_{V,j}$  and  $h_{L,j}$  are function of  $T_j$  only, and  $H_j$  contains  $h_{L,j-1}$ ,  $h_{V,j+1}$ ,  $h_{L,j}$ , and  $h_{V,j}$  terms only if  $L$ ,  $V$ , and  $Q$  for each stage are known a priori.

$\Rightarrow H_j$  will be a function of  $T_{j-1}$ ,  $T_j$ , and  $T_{j+1}$  only.  
That is  $H_j$  will depend on Temperature values of  $j^{\text{th}}$  stage, one stage below  $j^{\text{th}}$  stage, and one stage above  $j^{\text{th}}$  stage.

$$\Rightarrow H_j(T_{j-1}, T_j, T_{j+1}) = 0$$

By Taylor Series expansion, and ignoring terms beyond first order

$$H_j \left[ (T_{j-1} + \Delta T_{j-1}), (T_j + \Delta T_j), (T_{j+1} + \Delta T_{j+1}) \right] = H_j(T_{j-1}, T_j, T_{j+1}) + \left( \frac{\partial H_j}{\partial T_{j-1}} \right) \Delta T_{j-1} + \left( \frac{\partial H_j}{\partial T_j} \right) \Delta T_j + \left( \frac{\partial H_j}{\partial T_{j+1}} \right) \Delta T_{j+1}$$



(3)

A Newton-Raphson scheme can be implemented by setting the left-hand side of above equation i.e.,  $H_j[(T_{j-1} + \Delta T_{j-1}), (T_j + \Delta T_j), (T_{j+1} + \Delta T_{j+1})]$  to zero, and look for solution of  $\Delta T_{j-1}$ ,  $\Delta T_j$ , and  $\Delta T_{j+1}$  based on initial guess of  $T_{j-1}$ ,  $T_j$ , and  $T_{j+1}$ .

This is further noted that

$$\frac{\partial H_j}{\partial T_{j-1}} = L_{j-1} \frac{\partial h_{L,j-1}}{\partial T_{j-1}} = L_{j-1} \sum_{i=1}^C x_{i,j-1} (b_i + 2c_i T_{j-1}) = f(T_{j-1})$$

All other terms e.g.,  $h_{v,j+1}$ ,  $h_{L,j}$ ,  $h_{v,j}$  do not depend on  $T_{j-1}$

and similarly,

$$\frac{\partial H_j}{\partial T_j} = -L_j \frac{\partial h_{L,j}}{\partial T_j} - V_j \frac{\partial h_{v,j}}{\partial T_j} = f(T_j)$$

$$\frac{\partial H_j}{\partial T_{j+1}} = V_{j+1} \frac{\partial h_{v,j+1}}{\partial T_{j+1}} = f(T_{j+1})$$

therefore, the iteration scheme can be set as follows

$$\left( \frac{\partial H_j}{\partial T_{j-1}} \right)^{(r)} \Delta T_{j-1}^{(r)} + \left( \frac{\partial H_j}{\partial T_j} \right)^{(r)} \Delta T_j^{(r)} + \left( \frac{\partial H_j}{\partial T_{j+1}} \right)^{(r)} \Delta T_{j+1}^{(r)} = -H_j^{(r)}$$

$$\text{where } \Delta T_j^{(r)} = T_j^{(r+1)} - T_j^{(r)}$$

Since every stage has one such relation, for N-stages, there will be N number of equations.

$$\begin{bmatrix} \frac{\partial H_1}{\partial T_{j-1}} & \frac{\partial H_1}{\partial T_j} & \frac{\partial H_1}{\partial T_{j+1}} \\ \vdots & \vdots & \vdots \\ \frac{\partial H_j}{\partial T_{j-1}} & \frac{\partial H_j}{\partial T_j} & \frac{\partial H_j}{\partial T_{j+1}} \\ \vdots & \vdots & \vdots \\ \frac{\partial H_{N-1}}{\partial T_{j-1}} & \frac{\partial H_{N-1}}{\partial T_j} & \frac{\partial H_{N-1}}{\partial T_{j+1}} \end{bmatrix} \begin{bmatrix} \Delta T_1 \\ \Delta T_2 \\ \vdots \\ \Delta T_{j-1} \\ \Delta T_j \\ \Delta T_{j+1} \\ \vdots \\ \Delta T_{N-1} \\ \Delta T_N \end{bmatrix}^{(r)} = \begin{bmatrix} -H_1 \\ -H_2 \\ \vdots \\ -H_j \\ \vdots \\ -H_{N-1} \\ -H_N \end{bmatrix}^{(r)}$$

(4)

Solution of tri-diagonal matrix equation by Thomas Algorithm

$$\begin{bmatrix} B_1 & C_1 & 0 & \dots & 0 & \dots \\ A_2 & B_2 & C_2 & 0 & \dots & \\ 0 & A_3 & B_3 & C_3 & 0 & \dots \\ 0 & 0 & A_4 & B_4 & C_4 & 0 \dots \\ & & & A_{N-1} & B_{N-1} & C_{N-1} \\ & & & 0 & A_N & B_N \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_{N-1} \\ x_N \end{bmatrix} = \begin{bmatrix} D_1 \\ D_2 \\ \vdots \\ D_{N-1} \\ D_N \end{bmatrix}$$

Essentially Gaussian Elimination without operating on 'zeros'.

$$B_1 x_1 + C_1 x_2 = D_1$$

$$\Rightarrow x_1 = \frac{D_1 - C_1 x_2}{B_1} = \left( \frac{D_1}{B_1} \right) - \left( \frac{C_1}{B_1} \right) x_2 = q_1 - p_1 x_2$$

$$A_2 x_1 + B_2 x_2 + C_2 x_3 = D_2$$

$$\Rightarrow x_2 = \frac{D_2 - A_2 q_1}{B_2 - A_2 p_1} - \left( \frac{C_2}{B_2 - A_2 p_1} \right) x_3$$

$$= q_2 - p_2 x_3$$

General Form

$$x_j = q_j - p_j x_{j+1}$$

$$\text{where } p_j = \frac{C_j}{B_j - A_j p_{j-1}}$$

$$q_j = \frac{D_j - A_j q_{j-1}}{B_j - A_j p_{j-1}}$$

$$\begin{bmatrix} 1 & p_1 & 0 & 0 & \dots \\ 0 & 1 & p_2 & 0 & \dots \\ 0 & 0 & 1 & p_3 & 0 \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \dots & 0 & 1 & p_{N-1} \\ 0 & 0 & \dots & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_{N-1} \\ x_N \end{bmatrix} = \begin{bmatrix} q_1 \\ q_2 \\ \vdots \\ q_{N-1} \\ q_N \end{bmatrix}$$

$$\Rightarrow x_N = q_N$$

And recursive back substitution from the bottom-most row

$$x_j = q_j - p_j x_{j+1}$$

$$x_{j-1} = q_{j-1} - p_{j-1} x_j$$



Thomas Algorithm can be employed to solve for  $\Delta T_j^{(r)}$  based on ~~initial~~ a set of  $H_j$  values, calculated at  $T_j^{(r)}$  and the Jacobian matrix evaluated at  $T_j^{(r)}$ . (5)

Based on  $\Delta T_j^{(r)}$ ,  $T_j^{(r+1)}$  can be computed as equal to  $T_j^{(r)} + \Delta T_j^{(r)}$ , and the  $H_j$  and Jacobian matrix can be evaluated at  $T_j^{(r+1)}$  to continue the iteration.

Use of ~~scalar~~ scalar attenuation factor  $\alpha$  to determine new guess of  $T_j$

$$T_j^{(r+1)} = T_j^{(r)} + \alpha \Delta T_j^{(r)}$$

Scalar attenuation is useful to avoid divergence when initial guesses are not reasonably close to the true value.

$\alpha$  can be obtained by minimizing  $\sum_{j=1}^N [H_j^{(r+1)}]^2$ , or can be

set to 1.0 when  $\sum_{j=1}^N [H_j^{(r+1)}]^2$  is below a threshold value.

An error criteria will decide when to stop the iteration, and consider  $T_j^{(r+1)}$  as the converged values.

Above analysis is based on the fact that  $L_j$  and  $V_j$  for each ~~stage~~ are known apriori. However, one needs to work with M-type, E-type, and S-type equations to simultaneously solve for  $L_j$  and  $V_j$  and  $x_{i,j}, y_{i,j}$

Material balance on the lower part of the train, (i.e., over stages 1, 2, ...,  $j-1, j$ ), the part that appears above the  $j^{\text{th}}$  stage in the schematic drawing, referred earlier

$$L_j = V_{j+1} + \left[ \sum_{m=1}^j (F_m) \right] - V_1 + L_0$$

This expression for  $L_j$  can be substituted in M-equ.

$$M_{ij} = \left[ V_j + \sum_{m=1}^{j-1} F_m - V_1 + L_0 \right] x_{i,j-1} + V_{j+1} y_{i,j+1} + F_j z_{ij} - \left[ V_{j+1} + \sum_{m=1}^j F_m - V_1 + L_0 \right] x_{i,j} - V_j y_{i,j} = 0$$

Replacing  $y_{i,j+1}$  with  $K_{i,j+1} x_{i,j+1}$   
and  $y_{i,j}$  with  $K_{i,j} x_{i,j}$

The M-egn. takes the following form

(6)

$$A_j x_{i,j-1} + B_j x_{i,j} + C_j x_{i,j+1} = D_j$$

where

$$A_j = V_j + \sum_{m=1}^{j-1} F_m - V_1 + L_0$$

for  $2 \leq j \leq N$

$$B_j = - \left[ V_{j+1} + \sum_{m=1}^j F_m - V_1 + L_0 + V_j K_{i,j} \right]$$

for  $1 \leq j \leq N$

$$C_j = V_{j+1} K_{i,j+1}$$

for  $1 \leq j \leq N-1$

$$D_j = - F_j z_{i,j}$$

$1 \leq j \leq N$

with  $x_{i,0} = 0$   
 $V_{N+1} = 0$

therefore, the above equations forms a tridiagonal matrix equation system that can be solved to obtain  $x_{i,j}$  for every component, and every stage  $j$ . of course the values of  $V_j$  and  $L_j$  have to be known apriori to solve for  $x_{i,j}$

An iterative solution is required ~~is~~ using tear variables, and ~~is~~ correcting based on "Sum rate" method.

The final algorithm utilizes two tear variables,  $T_j$  and  $V_j$ .



