

# Distributed Joint Power and Rate Control for NOMA/OFDMA in 5G and Beyond

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**Abstract**—In this paper, we study the problem of minimizing the uplink aggregate transmit power subject to the users' minimum data rate and peak power constraint on each sub-channel for multi-cell wireless networks. To address this problem, a distributed sub-optimal joint power and rate control algorithm called JPRC is proposed, which is applicable to both non-orthogonal frequency-division multiple access (NOMA) and orthogonal frequency-division multiple access (OFDMA) schemes. Employing JPRC, each user updates its transmit power using only local information. Simulation results illustrate that the JPRC algorithm can reach a performance close to that obtained by the optimal solution via exhaustive search, with the NOMA scheme achieving a 59% improvement on the aggregate transmit power over the OFDMA counterpart. It is also shown that the JPRC algorithm can outperform existing distributed power control algorithms.

**Index Terms**—Aggregate transmit power, beyond 5G, OFDMA, NOMA, distributed power control algorithm.

## I. INTRODUCTION

Orthogonal multiple access (OMA) techniques such as orthogonal frequency-division multiple access (OFDMA) have been the standard employed in previous generation networks. In OFDMA, the frequency spectrum is divided into multiple orthogonal sub-channels, each of which is allocated to at most one user at each cell. One of the advantages of OFDMA is that the intra-cell interference can be effectively eliminated [1]. However, performing an orthogonal allocation of sub-channels results in inefficient usage of frequency resources. On the other hand, non-orthogonal frequency-division multiple access (NOMA) allows multiple users within a cell to operate using the same frequency spectrum. Such a feature makes NOMA a promising technique to improve the frequency efficiency and fulfill the requirements of beyond-5G systems, such as low latency and massive connectivity. In NOMA, the signals of different users are decoded sequentially following a given order through successive interference cancellation (SIC). Specifically, each user's symbol is decoded by treating only higher-order users' messages as interference, whereas the interference from lower-order users is removed by SIC [2]. Compared to the OFDMA scheme, in NOMA, intra-cell and inter-cell interference management is an important issue.

Power control is an efficient solution to assist uplink interference management in both NOMA and OFDMA schemes.

Moreover, since mobile and internet of things (IoT) users are battery-powered, the employment of power control strategies has been essential to minimize transmit power in wireless communication networks. To improve energy efficiency, power control solutions minimize the users' transmit power so that its minimum data rate is met at the lowest possible energy costs.

The existing power control methods for interference management can be classified into (1) power control for NOMA cellular networks [3]–[10] and (2) power control for OFDMA cellular networks [11]–[17]. Specifically, in [3]–[7], power control methods for NOMA single-cell networks are proposed. The authors in [3] and [4] have proposed the power control methods to maximize the aggregate data rate. In [5], a power control method for maximizing the aggregate data rate in the cognitive IoT is presented. Authors in [6] have proposed a power control algorithm to maximize the weighted sum rate for a single-cell network. A centralized power control method is proposed in [7] to minimize the aggregate transmit power in the uplink. Furthermore, the power control for the downlink of NOMA multi-cell networks is proposed in [8]–[10]. Authors in [8]–[9] have also proposed centralized power control methods to minimize the aggregate transmit power, whereas in [10] a distributed power control algorithm is proposed to minimize the aggregate transmit power.

Furthermore, in [11] for an OFDMA single-cell network, optimal power control algorithms are proposed to solve a class of aggregate transmit power minimization problems via the water-filling method. Also, the authors in [12] have investigated aggregate transmit power minimization and aggregate data rate maximization problems for a single-cell network. The authors in [13] have investigated the problem of maximizing the aggregate rate subject to power constraint for a single-cell network. To address the formulated problem, the water-filling method was employed. In [14], a power control method has been proposed to maximize the aggregate data rate in single-cell networks. In [15]–[16], centralized power control algorithms have been proposed for minimizing the aggregate transmit power of OFDMA multi-cell networks.

In most existing works [3]–[9] and [11]–[16], centralized power control algorithms have been proposed for interference management in multi-cell networks. However, distributed approaches, due to requiring users' local information and lower signaling overhead, are preferred to centralized ones.

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In this regard, in [10], a distributed power control algorithm was proposed to minimize the aggregate transmit power in the downlink of a NOMA multi-cell wireless network. Furthermore, in [17], distributed power control algorithms were proposed for OFDMA wireless networks. The authors in [17] have proposed a distributed power control method to minimize the aggregate transmit power and offload energy consumption. However, the system model in [17] considered a multi-cell network, where the inter-cell interference was defined as a constant threshold. Distributed power control algorithms for code-division multiple access (CDMA) cellular networks have also been proposed. For instance, the target-SINR-tracking power control (TPC) algorithm was proposed in [18] to minimize the aggregate transmit power subject to users' minimum data rate.

In this paper, we study the uplink power and rate control problem for both NOMA and OFDMA multi-cell networks. To this end, we formally state the joint power and rate control problem to minimize the aggregate transmit power subject to the users' required data rates and peak power constraints on each sub-channel. To address this problem, we propose a distributed sub-optimal algorithm.

Specifically, our main contributions are summarized as:

- Inspired by distributed power control methods for CDMA networks and water-filling method for single-cell OFDMA networks, we propose a distributed joint power and rate control algorithm to address the problem of minimizing the aggregate transmit power subject to users' minimum data rate and peak power constraint on each sub-channel (so-called JPRC). The JPRC algorithm is applicable to both NOMA and OFDMA schemes.
- Simulation results illustrate that the JPRC algorithm achieves a performance near to that of the optimal solution obtained by the exhaustive search. The simulation results also show that our JPRC algorithm outperforms the existing centralized and distributed power control algorithms. Moreover, it is demonstrated that aggregate transmit power for the NOMA scheme obtains an improvement of 59% if compared with the OFDMA scheme.

The rest of this paper is organized as follows. In Section II, the system model is proposed. The optimization problem is formally stated in Section III. Section IV introduces our proposed distributed joint power and rate control algorithm. Finally, simulation results and conclusion are presented in Section V and Section VI respectively.

## II. SYSTEM MODEL

We consider the uplink of a multi-cell network consisting of  $B$  base stations (BSs) and  $U$  users denoted by  $\mathcal{B} = \{1, 2, \dots, B\}$  and  $\mathcal{U} = \{1, \dots, U\}$ , respectively. The available bandwidth is divided into  $C$  sub-channels, represented by the set  $\mathcal{C} = \{1, 2, \dots, C\}$ . The BS serving user  $i$  is denoted by  $b_i$  and the set of users served by the BS  $m \in \mathcal{B}$  is denoted by  $\mathcal{U}_m$ . Let  $p_i^k$  denote the transmit power of user  $i \in \mathcal{U}$  on sub-channel  $k \in \mathcal{C}$ , the matrix  $\mathbf{P} = [p_i^k]_{U \times C}$  stands for power allocation of all users over  $C$  sub-channels.  $h_{m,i}^k$  and  $N_m$  are

the path-gain between user  $i$  and BS  $m$  and noise power at BS  $m$ , respectively.

The set of interfering users in NOMA and OFDMA schemes is obtained as follows.

- **NOMA scheme:** In the NOMA scheme, we consider that at each BS  $m$ , users with better path-gains are decoded first. Hence, using the SIC technique on each sub-channel  $k$ , each user  $i$  assigned to BS  $m$  can successfully remove the interference from users in the same cell whose path-gain is less than  $h_{m,i}^k$ , i.e., the interference of all users  $j \in \mathcal{U}_m$ , if  $h_{m,j}^k < h_{m,i}^k$  can be canceled successfully [19]. Therefore, in each sub-channel  $k$ , user  $i$  experiences intra-cell interference only from users whose path-gain is greater than user  $i$ 's and inter-cell interference from other cells' users occupying the same sub-channel [19]. We define  $\mathcal{Q}_{m,i}^k$  as the set of users who cause interference on user  $i$  i.e.,  $\mathcal{Q}_{m,i}^k = \{\forall j \in \mathcal{U}_m \mid h_{m,j}^k > h_{m,i}^k, a_j^k = 1\} \cup \{\forall j \notin \mathcal{U}_m \mid a_j^k = 1\}$  in which  $a_j^k$  denotes the allocation of sub-channel  $k$  to user  $j$ . If  $a_j^k = 1$ , sub-channel  $k$  is allocated to user  $j$ ; otherwise,  $a_j^k = 0$ .
- **OFDMA scheme:** In the OFDMA scheme, each user  $i$  experiences interference from users within other cells that transmit on sub-channel  $k$ . So, the set of interfering users for user  $i$  is defined as  $\mathcal{Q}_{m,i}^k = \{\forall j \notin \mathcal{U}_m \mid a_j^k = 1\}$ .

Let  $\zeta_i^k(\mathbf{P})$  be the effective interference of user  $i$  on sub-channel  $k$  which is obtained by

$$\zeta_i^k(\mathbf{P}) = \frac{\sum_{j \in \mathcal{Q}_{b_i,i}^k} p_j^k h_{b_i,j}^k + N_{b_i}}{h_{b_i,i}^k}, \quad \forall i \in \mathcal{U}, \forall k \in \mathcal{C}, \quad (1)$$

where  $\sum_{j \in \mathcal{Q}_{b_i,i}^k} p_j^k h_{b_i,j}^k$  is the interference on user  $i$ .

The received SINR at BS  $b_i$  due to the transmission of user  $i$  on sub-channel  $k$  is given by

$$\gamma_i^k(\mathbf{P}) = \frac{p_i^k}{\zeta_i^k(\mathbf{P})}, \quad \forall i \in \mathcal{U}, \forall k \in \mathcal{C}. \quad (2)$$

It is worth noting that the difference between SINR of user  $i$  in NOMA and SINR of user  $i$  in OFDMA holds in the set of interfering users on user  $i$ .

In both NOMA and OFDMA schemes, the achieved data rate of user  $i$  on sub-channel  $k$  is expressed as

$$R_i^k(\mathbf{P}) = \log_2 \left( 1 + \frac{p_i^k}{\zeta_i^k} \right), \quad \forall i \in \mathcal{U}, \forall k \in \mathcal{C}. \quad (3)$$

The total data rate of user  $i$  on all allocated sub-channels is obtained by  $R_i = \sum_{k \in \mathcal{C}_i} R_i^k$  where  $\mathcal{C}_i$  is the allocated sub-channels set to user  $i$ , i.e.,  $\mathcal{C}_i = \{k \in \mathcal{C} \mid a_i^k = 1\}$ .

## III. PROBLEM STATEMENT

Given a sub-channel allocation, the power and rate control problem to minimize the aggregate transmit power is formally stated as

$$\begin{aligned} \min_{\mathbf{P}} \quad & \sum_{i \in \mathcal{U}} \sum_{k \in \mathcal{C}_i} p_i^k \\ \text{s.t.} \quad & \text{C1: } \sum_{k \in \mathcal{C}_i} R_i^k \geq R_i^{\min}, \quad \forall i \in \mathcal{U}, \end{aligned}$$

$$C2: 0 \leq p_i^k \leq \bar{p}_i^k, \quad \forall i \in \mathcal{U}, \forall k \in \mathcal{C}_i, \quad (4)$$

where constraint C1 implies that the minimum data rate denoted by  $R_i^{\min}$  should be met by each user  $i$ . Constraint C2 corresponds to the constraint of peak power on each sub-channel i.e.,  $\bar{p}_i^k$ . Also, C2 implies that the transmit power on each sub-channel should be a non-negative value.

Problem (4) is defined in both NOMA and OFDMA schemes. The research works [7]–[10] investigated the aggregate transmit power minimization problem for NOMA scheme, while [11]–[12], [15]–[16], and [17] studied this problem for OFDMA scheme. Problem (4) is non-convex, thus there is no polynomial-time algorithm to solve it optimally. In what follows, we propose a sub-optimal algorithm to address this problem in a distributed manner.

#### IV. PROPOSED DISTRIBUTED JOINT POWER AND RATE CONTROL ALGORITHM

To address problem (4) in a distributed manner, there are some notable facts as follows.

- 1) In multi-cell networks, the existence of interference in SINR of user  $i$  i.e., (2) makes the data rate function in (3) non-convex. Thus, due to the non-convexity of constraint C1, problem (4) is non-convex. The optimal solution of problem (4) for multi-cell networks can be obtained via exhaustive search, which is not practical due to the high computational complexity.
- 2) For single-cell NOMA networks, due to the sharing of sub-channels at each cell, the set of interfering users is not empty, so problem (4) is still non-convex. However, for single-cell OFDMA networks, since the set of interfering users is empty, the data rate function in (3) becomes convex, which makes problem (4) convex.

**Lemma 1.** Given a single-cell OFDMA network, the optimal solution of problem (4) is obtained by the water-filling method proposed in [11]. Employing the water-filling method, for each user  $i$ , there are optimal values of  $k_i^*$  and  $S_i$  denoting the index of the last sub-channel with positive power and the last sub-channel on which user  $i$  transmits with peak power. Having  $k_i^*$  and  $S_i$ , the optimal transmit power of user  $i$  on each sub-channel  $k$  is obtained by

$$p_i^k = \begin{cases} \bar{p}_i^k, & 1 \leq k \leq S_i \\ \left( 2^{R_i^{\min}} \prod_{k=1}^{k_i^*} \frac{N_{b_i}}{h_{b_i,i}^k} \right) \frac{1}{k_i^*} - \frac{N_{b_i}}{h_{b_i,i}^k}, & S_i < k \leq k_i^*, \end{cases} \quad (5)$$

where it is assumed that the sequence of  $\{h_{b_i,i}^k/N_{b_i}\}_{k=1}^{|\mathcal{C}_i|}$  is sorted monotonically decreasing, i.e.,  $h_{b_i,i}^1/N_{b_i} > h_{b_i,i}^2/N_{b_i} > \dots > h_{b_i,i}^{|\mathcal{C}_i|}/N_{b_i}$ .

*Proof.* Lemma 1 is proved in [11].

- 3) To address problem (4) in multi-cell networks, the sub-optimal power control algorithms proposed in [7]–[10] and [15]–[16] are centralized approaches in which the global information is needed for calculating users'

appropriate transmit power. In current wireless networks and IoT, the centralized approaches are not practical because of the existence of a huge number of users. Thus, distributed algorithms to address problem (4) in which each user obtains its transmit power knowing local information is interesting. Inspired by the water-filling method for single-cell OFDMA networks and distributed power control methods for CDMA networks, we propose the distributed JPRC algorithm to address problem (4) for both NOMA and OFDMA schemes in multi-cell networks.

Let  $k_i^*$  and  $S_i$  denote the index of last sub-channels with positive power and the last index of sub-channel on which user transmits with peak power  $\bar{p}_i^k$ , respectively. To address problem (4) for multi-cell networks, obtaining  $k_i^*$  and  $S_i$  in a distributed manner is challenging. To obtain  $k_i^*$  and  $S_i$ , we propose JPRC algorithm which is explained next.

In problem (4), constraint C1 guarantees a minimum data rate,  $R_i^{\min}$  for each user  $i$ . In contrast to the CDMA networks, there is no one-to-one relation between  $R_i^{\min}$  and SINR on each sub-channel  $k$  in NOMA and OFDMA networks. To address problem (4) in a distributed manner, first, we divide  $R_i^{\min}$  between allocated sub-channels of user  $i$ ,  $\mathcal{C}_i$ . By doing so, the target data rate of user  $i$  on each sub-channel  $k \in \mathcal{C}_i$  denoted by  $\hat{R}_i^k$  is obtained. Inspired by water-filling method for single-cell OFDMA networks, we calculate  $\hat{R}_i^k$  as follows.

Substituting the transmit power obtained by (5) in data rate function (3), we have  $R_i^k = \log_2 \left( (2^{R_i^{\min}} \prod_{k=1}^{k_i^*} \zeta_i^k)^{1/k_i^*} / \zeta_i^k \right)$ , where in the initial step  $k_i^*$  is set to  $k_i^* = |\mathcal{C}_i|$ . Employing some mathematics, the data rate for user  $i$  on sub-channel  $k$  is obtained by

$$R_i^k = \log_2 \left( \frac{1}{\zeta_i^k} \right) + \frac{1}{k_i^*} \left( R_i^{\min} - \sum_{n=S_i+1}^{k_i^*} \log_2 \left( \frac{1}{\zeta_i^n} \right) \right). \quad (6)$$

Using (6), when the allocated sub-channel to user  $i$  is good (i.e., low effective interference is caused to the user), it can achieve a higher data rate; therefore, the data rate on that sub-channel increases and vice versa.

By employing (6), the data rate on some sub-channels may be a negative value; to avoid this, data rate on that sub-channel  $k$  is set to zero (i.e.,  $R_i^k = 0$ , if  $R_i^k < 0$ ), then the value of  $k_i^*$  decrements by one (i.e.,  $k_i^* = k_i^* - 1$ ).

Furthermore, based on the peak power on each sub-channel  $k$ , the maximum achievable data rate of user  $i$  on each sub-channel  $k$  is expressed as

$$\bar{R}_i^k = \log_2 \left( 1 + \frac{\bar{p}_i^k}{\zeta_i^k} \right). \quad (7)$$

To guarantee peak power constraint C2 in problem (4), if on sub-channel  $k$ ,  $R_i^k > \bar{R}_i^k$ , then  $R_i^k$  is set to  $R_i^k = \bar{R}_i^k$  and the value of  $S_i$  initially setting to  $S_i = 0$  increments by one, i.e.,  $S_i = S_i + 1$ .

According to (6) and (7), the target data rate for user  $i \in \mathcal{U}$  on each sub-channel  $k$  is calculated by

$$\hat{R}_i^k = \begin{cases} \bar{R}_i^k, & 1 \leq k \leq S_i, \\ R_i^k, & S_i < k \leq k_i^*, \end{cases} \quad (8)$$

These steps recur iteratively until the data rate on each sub-channel  $k = \{1, 2, \dots, k_i^*\}$  be a non-negative value and the total data rate for user  $i$  on its allocated sub-channel equals to minimum data rate i.e.,  $\sum_{k=1}^{k_i^*} \hat{R}_i^k = R_i^{\min}$ .

Then, the value of target data rate for user  $i$  on each sub-channel  $k$  is mapped to target SINR on that sub-channel by

$$\hat{\gamma}_i^k = 2^{\hat{R}_i^k} - 1, \quad \forall i \in \mathcal{U}, \quad \forall k = 1, 2, \dots, k_i^*. \quad (9)$$

By obtaining the target SINR through (9), the optimal transmit power of user  $i$  on sub-channel  $k$  can be updated according to the TPC algorithm in a distributed manner as

$$p_i^k = \begin{cases} \bar{p}_i^k, & 1 \leq k \leq S_i, \\ \hat{\gamma}_i^k \zeta_i^k, & S_i < k \leq k_i^*. \end{cases} \quad (10)$$

Our proposed JPRC is summarized in Algorithm 1.

**Remark.** JPRC algorithm is distributed since each user updates its transmit power by knowing its uplink path-gain and total interference at the BS. Therefore, the users do not need to know other users' path-gains, minimum data rate, and peak-power on each sub-channel.

**Theorem 1.** The JPRC algorithm has at least one fixed-point for both NOMA and OFDMA schemes.

*Proof.* According to *Brouwer fixed-point theorem*, if  $\mathcal{X}$  be a nonempty, compact, and convex subset of  $\mathcal{R}^n$ , every continuous function  $f: \mathcal{X} \rightarrow \mathcal{X}$  mapping  $\mathcal{X}$  into itself has a fixed point [20]. As aforementioned, in JPRC,  $p_i^k \in [0, \bar{p}_i^k]$  ( $\forall i \in \mathcal{U}, \forall k \in \mathcal{C}_i$ ) where  $[0, \bar{p}_i^k]$  is a nonempty, compact, and convex set [21]. Furthermore, function (10) maps  $[0, \bar{p}_i^k]$  into itself. Now, we should prove that the function (10) is a continuous function. To this end, we prove that (6) is a continuous function. From [21], we have that  $\log_2(1/\zeta_i)$  is continuous on  $[0, \bar{p}_i^k]$ . Then, its exponential, i.e., (9), is a continuous function. Additionally, (1) is a continuous function as well. As a result, function (10) is a continuous function. Thus, our proposed JPRC algorithm has at least one fixed-point, which completes the proof.

**Theorem 2.** In the single-channel scenario, the JPRC algorithm obtains the optimal solution for problem (4).

*Proof.* In the single-channel scenario in which each user at each cell can occupy a single sub-channel, equation (6) equals to  $R_i^k = R_i^{\min}$ . Then, the target SINR on that sub-channel  $k$  is obtained by  $\hat{\gamma}_i^k = 2^{R_i^{\min}} - 1$ . Therefore, using (10) the transmit power of each user  $i$  on sub-channel  $k$  is given by

$$p_i^k = \begin{cases} \bar{p}_i^k, & k \leq S_i, \\ (2^{R_i^{\min}} - 1) \zeta_i^k, & S_i < k \leq k_i^*. \end{cases} \quad (11)$$

Based on frameworks for power updating functions in [22], if a power updating function falls into the standard type-I framework, the power updating function has a unique fixed point to which converges. An power updating function  $f(\mathbf{P})$  falls into standard type-I if it satisfies the following two monotonicity and scalability conditions

- Monotonicity: if  $\mathbf{P}' \leq \mathbf{P}$ , then  $f(\mathbf{P}') \leq f(\mathbf{P})$ .
- Scalability: for all  $\alpha > 1$ ,  $f(\alpha \mathbf{P}) < \alpha f(\mathbf{P})$ .

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#### Algorithm 1: Our Proposed JPRC Algorithm

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1 Input:  $p_i^k(1) = 0, \hat{\gamma}_i^k(1) = 2^{\frac{R_i^{\min}}{|\mathcal{C}_i|}} - 1, \forall i \in \mathcal{U},$ 
    $\forall k \in \mathcal{C}_i, S_i(1) = 0, k_i^*(1) = |\mathcal{C}_i|,$ 
    $\text{MinRate}_i(1) = R_i^{\min}, t = 1$ 
2 repeat
3   for each  $i \in \mathcal{U}$  :
4     while  $\sum_{k=1}^{k_i^*} \hat{R}_i^k(t) < R_i^{\min}$  do
5       repeat
6         for each  $k = S_i(t) + 1, \dots, k_i^*(t)$  :
7           Obtain  $\zeta_i^k(t)$  by (1).
8           Sort the sequence of  $\{\frac{1}{\zeta_i^k(t)}\}_{k=1}^{k_i^*(t)}$ 
           in a descending order.
9           Obtain  $R_i^k(t)$  by (6).
10        end of for.
11        if  $R_i^k(t) < 0$  :
12           $R_i^k(t) = 0$  and  $k_i^*(t) = k_i^*(t) - 1$ .
13        end of if.
14      until
15         $R_i^k(t) \geq 0, \forall k = S_i(t) + 1, \dots, k_i^*(t).$ 
16      for each  $k = S_i(t) + 1, S_i(t) + 2, \dots, k_i^*(t)$ 
17        :
18        Obtain maximum data rate ( $\bar{R}_i^k(t)$ ) by
19        (7).
20        Obtain target data rate ( $\hat{R}_i^k(t)$ ) by (8).
21        if  $\hat{R}_i^k(t) \geq \bar{R}_i^k(t)$  :
22          Update  $\text{MinRate}_i(t+1)$  by
23           $\text{MinRate}_i(t) - \sum_{k=1}^{S_i(t)} \bar{R}_i^k(t)$  and
24           $S_i(t) = S_i(t) + 1$ .
25        end of if.
26      end of for.
27      Set  $k_i^*(t) = |\mathcal{C}_i|$  and go to step 4.
28    end of while.
29  end of for.
30  Obtain  $\hat{\gamma}_i^k(t)$  by (9).
31  Update transmit power,  $p_i^k(t)$  by (10).
32   $t \leftarrow t+1$ 
33 until convergence

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It can be easily proved that our power updating function in (11) satisfies the monotonicity and scalability conditions, so it is a standard type-I function, which completes the proof.

## V. SIMULATION RESULTS

To evaluate the performance of the JPRC algorithm, we consider a multi-cell network consisting of 4 cells with  $500\text{m} \times 500\text{m}$  coverage area in an area of  $1000\text{m} \times 1000\text{m}$  which 4 users are randomly located in each cell. Similar to [23], the uplink path-gain from each user  $i$  to each base station  $m$  is modeled by  $h_{m,i}^k = x(k)d_{m,i}^{-\alpha}$ , where  $d_{m,i}$  is the distance between BS  $m$  and user  $i$ ,  $x(k)$  is a random value that is generated by the Rayleigh distribution, and  $\alpha = 3$  is the path loss exponent. All the simulation parameters are



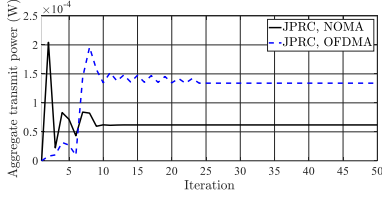


Fig. 1: Convergence of JPRC for NOMA and OFDMA schemes

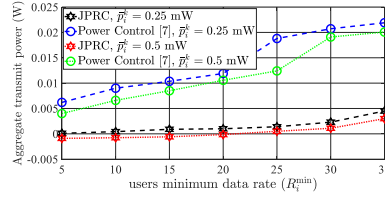


Fig. 2: Comparison of JPRC with algorithm proposed in [7] for NOMA scheme

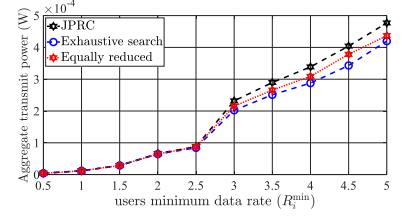


Fig. 3: Comparison of JPRC with the exhaustive search and equally reduced power control methods for NOMA scheme

stated here unless stated otherwise. The mask transmit power of users set to  $\bar{p}_i^k = 0.25\text{mW}$ . Users' minimum data rate is  $R_i^{\min} = 5\text{bps/Hz}$ . The noise power,  $N_m$  is set to  $10^{-14}\text{W}$ . Additionally, for the OFDMA scheme, a number of 100 sub-channels are equally allocated to users in each cell. Whereas in the NOMA scheme, the whole sub-channel set is allocated to each user.

In what follows, first, we show the convergence of JPRC; then, we evaluate the performance of our proposed JPRC algorithm for NOMA and OFDMA schemes. Finally, we compare the aggregate transmit power for the NOMA scheme with that of the OFDMA scheme. We average from 500 independent snapshots with different users' locations and different path-gains to generate each curve.

#### A. Convergence of JPRC

Fig. 1 illustrates the convergence of our proposed JPRC algorithm in terms of the aggregated transmit power versus the number of iterations for NOMA and OFDMA schemes. From Fig. 1, it can be observed that our proposed algorithm converges to one of its fixed-points after about 20 iterations. Besides, it can be seen that due to sharing sub-channels among all users, the aggregate transmit power obtained by the NOMA scheme is less than that of the OFDMA scheme.

#### B. Evaluation of the JPRC Algorithm for NOMA Scheme

In this sub-section, to evaluate the performance of JPRC in NOMA multi-cell networks, we compare it with the centralized power control algorithm proposed in [7], the optimal solution obtained by the exhaustive search, and the equally reduced power control method.

Fig. 2 compares the performance of JPRC algorithm with power control algorithm proposed in [7] when the users' minimum data rate increases from 5bps/Hz to 35bps/Hz. From Fig. 2, we can observe that when the minimum data rate increases, the aggregate transmit power increases. Likewise, it can be seen in Fig. 2, with increasing the peak power on each sub-channel, the aggregate transmit power is reduced. Moreover, the JPRC algorithm outperforms the centralized power control method in [7] since the high-SINR scenario is considered in [7] which is not a realistic assumption for beyond 5G.

In Fig. 3, we compare our proposed JPRC algorithm with the optimal solution obtained by exhaustive search and equally reduced power control methods. For the exhaustive search, we

quantize the transmit power on each sub-channel, considering a sufficiently small step size. In the exhaustive search method, all possible quantized power values are searched. In the equally reduced power control method, each user transmits equal power on its allocated sub-channels. In this method, using the exhaustive search, the users' transmit power on each sub-channel is reduced equally to satisfy their minimum data rate. To generate Fig. 3, we consider two cells with  $500 \times 500$  coverage area in which one user is randomly located. Also, to each user, 2 sub-channels are allocated, and the peak power on each sub-channel is set to  $0.5\mu\text{W}$ . From Fig. 3, it can be seen that JPRC obtains the same solution as the exhaustive search and the equally reduced power control methods when the users' minimum data rate decreases.

#### C. Evaluation of the JPRC Algorithm for OFDMA Scheme

In this sub-section, for the OFDMA scheme, we compare the performance of the JPRC algorithm with the distributed power control algorithm proposed in [17]. Fig. 4 illustrates the performance of our proposed JPRC algorithm compared to the distributed power control algorithm proposed in [17], when the users' minimum data rate increases from 5bps/Hz to 35bps/Hz by setting the peak power on each sub-channel  $k$  to  $\bar{p}_i^k = 0.25\text{mW}$  and  $\bar{p}_i^k = 0.5\text{mW}$ . We can observe from Fig. 4, due to transmitting with high power on good sub-channels, increasing the peak power results in decreasing the aggregate transmit power. Moreover, in Fig. 4, with increasing  $R_i^{\min}$ , since users should transmit with a higher power to reach their minimum data rate, the aggregate transmit power increases. Furthermore, the aggregate transmit power obtained by JPRC is lower than that of power control proposed in [17] in Fig. 4. The reason is that in [17], to convexify the power control problem, the interference at each sub-channel is set to a constant threshold. While, with power control, the interference in each sub-channel is different and may be lower than a predefined threshold.

#### D. Comparing Performance of NOMA With OFDMA

In this sub-section, we compare the aggregate transmit power of the JPRC algorithm for the NOMA scheme with that of the OFDMA scheme.

Considering a single-cell network with a central BS and 4 randomly located users, in Fig. 5, we compare the performance of our proposed JPRC algorithm for NOMA and OFDMA schemes with the optimal solution by the water-filling method

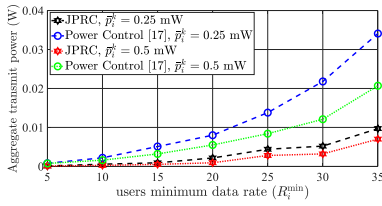


Fig. 4: Comparison of JPRC with distributed power control algorithm proposed in [17] for OFDMA scheme

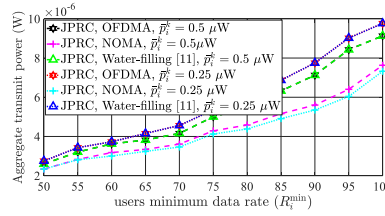


Fig. 5: Comparison of JPRC with the optimal solution in [11] for NOMA and OFDMA schemes in a single-cell network

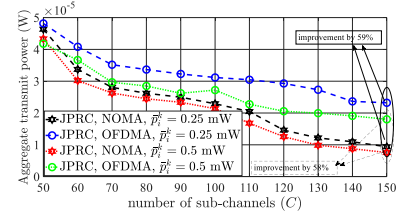


Fig. 6: Aggregate transmit power of JPRC for NOMA and OFDMA schemes in a multi-cell network

proposed in [11] for OFDMA scheme. In Fig. 5, we increase the user's minimum data rate from 50bps/Hz to 100bps/Hz setting number of sub-channels to 100. It can be observed from Fig. 5 with increasing  $R_i^{\min}$ , since the user has to transmit with higher transmit power to obtain its minimum data rate, the aggregate transmit power increases. Furthermore, from Fig. 5, we can observe that increasing the peak power on each sub-channel decreases the aggregated transmit power. Since when the peak power increases, the user can transmit with higher transmit power on good sub-channels. Besides, it can be seen that considering the OFDMA scheme, JPRC obtains the same solution as the water-filling method proposed in [11]. Moreover, JPRC for the NOMA scheme obtains a lower aggregate transmit power than the JPRC for the OFDMA scheme due to sharing the whole spectrum by all users.

Additionally, in Fig. 6, we compare the aggregate transmit power of JPRC in NOMA and OFDMA schemes for a multi-cell network. From Fig. 6, we can observe that since all users can share the whole spectrum in the NOMA scheme, the aggregate transmit power is reduced. Hence, it can be seen that the NOMA scheme improves the aggregate transmit power by 59% compared to the OFDMA.

## VI. CONCLUSION

In this paper, we proposed a distributed JPRC algorithm for NOMA/OFDMA wireless networks to address the aggregate transmit power minimization problems by considering peak power constraint on each sub-channel and minimum data rate for each user. Employing JPRC, which has at least one fixed-point, each user updates its transmit power, knowing local information. Simulation results illustrated that JPRC outperforms the existing power control algorithms and obtains a near solution to the optimal solutions. Besides, via simulation results, we showed that the NOMA scheme decreased the aggregate transmit power by 59% compared to the OFDMA scheme.

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