# CS 105: Department Introductory Course on Discrete Structures

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Aug 24, 2023 Lecture 08 – Basic Mathematical Structures Countable and Uncountable Sets

## Countable and countably infinite sets

#### Definition

- Set C is called countably infinite, if there is a bijection from set C to  $\mathbb{N}$ .
- ▶ A set is **countable** if it is finite or countably infinite.

Examples: even numbers, number of horses,...

By previous corollary ( $\exists$  surj from any infinite set to  $\mathbb{N}$ )

Countably infinite sets are the "smallest" infinite sets.

# Some questions...

## Are the following sets countable?

That is, is there a bijection from these sets to  $\mathbb{N}$ ?

- 1. the set of all integers  $\mathbb{Z}$
- $2. \mathbb{N} \times \mathbb{N}$
- 3.  $\mathbb{N} \times \mathbb{N} \times \mathbb{N}$
- 4. the set of rationals  $\mathbb{Q}$
- 5. the set of all (finite and infinite) subsets of  $\mathbb{N}$
- 6. the set of all real numbers  $\mathbb{R}$

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To show these it suffices to show that

- $\triangleright$  there is an injection from these sets to  $\mathbb N$
- $\triangleright$  or there is a surjection from  $\mathbb{N}$  (or any countable set) to these sets.

Let  $A = \{a_0, \ldots, \}$  be a countably infinite set and B be a set. Then, is  $A \cup B$  countable, under the following conditions?

- 1.  $B = \{b_0\}$  is a singleton
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- ▶ Is this correct?

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- ► The set of (positive) rationals is countable.

Hint: Show that  $f(a,b) = \begin{cases} a/b \text{ if } b \neq 0 \\ 0 \text{ if } b = 0 \end{cases}$ , is a surjection. How does the result follow?

#### Countable sets and functions

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## Theorem (Cantor, 1891)

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- ▶ Proving existence just needs one to exhibit a function
- ▶ But how do we prove non-existence? Try contradiction.

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Proof by contradiction: Suppose there is such a bijection, say f. This would imply that each  $i \in \mathbb{N}$  maps to some set  $f(i) \subseteq \mathbb{N}$ .

			_	3	
f(0)	<b>√</b>	×	×	×	
f(1)	✓	×	$\checkmark$	$\checkmark$	
f(2)	×	×	×	×	
$ \begin{array}{c} f(0) \\ f(1) \\ f(2) \\ f(3) \end{array} $	×	$\checkmark$	×	$\checkmark$	

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- ▶ Consider the set  $S \subseteq \mathbb{N}$  obtained by switching the diagonal elements, i.e.,  $S = \{i \in \mathbb{N} \mid i \notin f(i)\}.$
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f(2)	×	×	* <	×	
f(3)	×	$\checkmark$	×	√×	

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	0				
f(0)	√×	×	×	×	
f(1)	<b>√</b> × ✓	* <	$\checkmark$	$\checkmark$	
f(2) $f(3)$	×	×	* <	×	
f(3)	×	$\checkmark$	X	√×	

- ▶ Consider the set  $S \subseteq \mathbb{N}$  obtained by switching the diagonal elements, i.e.,  $S = \{i \in \mathbb{N} \mid i \notin f(i)\}.$
- ightharpoonup As f is bij,  $\exists j \in \mathbb{N}, f(j) = S$ .
- $\triangleright$  S and f(j) differ at position j, for any j.
- ▶ Thus,  $S \neq f(j)$  for all  $j \in \mathbb{N}$ , which is a contradiction!

Does this proof look familiar??

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Figure: Cantor and Russell

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- ▶  $S = \{i \in \mathbb{N} \mid i \notin f(i)\}$  is like the one from Russell's paradox.
- ▶ If  $\exists j \in \mathbb{N}$  such that f(j) = S, then we have a contradiction.
  - ▶ If  $j \in S$ , then  $j \notin f(j) = S$ .
  - ▶ If  $j \notin S$ , then  $j \notin f(j)$ , which implies  $j \in S$ .

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## In fact, using diagonalization Cantor showed that...

- ► There cannot be a bijection between any set and its power set (i.e., its set of subsets).(H.W)
- ▶ So there is an infinite hierarchy of "larger" infinities...

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- ► There cannot be a bijection between any set and its power set (i.e., its set of subsets).(H.W)
- ▶ So there is an infinite hierarchy of "larger" infinities...
- ▶ There is no bijection from  $\mathbb{R}$  to  $\mathbb{N}$  (H.W). Moreover, there is a bijection from  $\mathbb{R}$  to set of subsets of  $\mathbb{N}$ .