# CS 228 : Logic in Computer Science

Krishna. S

## Satisfaction, Validity

- ▶ Given a FO formula  $\varphi(x_1, ..., x_n)$  over a signature  $\tau$ , is it satisfiable/valid?
  - Satisfiable, if there exists a  $\tau$ -structure  $\mathcal{A}$  and an assignment  $\alpha$  for  $x_1, \ldots, x_n$  in  $u(\mathcal{A})$  such that  $\mathcal{A} \models_{\alpha} \varphi(x_1, \ldots, x_n)$

## Satisfaction, Validity

- ▶ Given a FO formula  $\varphi(x_1, ..., x_n)$  over a signature  $\tau$ , is it satisfiable/valid?
  - ► Satisfiable, if there exists a  $\tau$ -structure  $\mathcal{A}$  and an assignment  $\alpha$  for  $x_1, \ldots, x_n$  in  $u(\mathcal{A})$  such that  $\mathcal{A} \models_{\alpha} \varphi(x_1, \ldots, x_n)$
  - ▶ Valid, if for any  $\tau$ -structure  $\mathcal{A}$  and any assignment  $\alpha$  for  $x_1, \ldots, x_n$  in  $u(\mathcal{A}), \mathcal{A} \models_{\alpha} \varphi(x_1, \ldots, x_n)$
- ► Assume we fix the type of the structure A, say words (why words?)

### **FOL over Words**

- ▶ Given an FO sentence  $\varphi$  over words, is it satisfiable/valid?
- Satisfiable (Valid) iff some word (all words) satisfies φ

#### **FOL over Words**

- Given an FO sentence  $\varphi$  over words, is it satisfiable/valid?
- Satisfiable (Valid) iff some word (all words) satisfies φ
- There could be infinitely many words w satisfying φ
- ▶  $L(\varphi) = \{ \text{ words } w \mid w \models \varphi \}$  is called the language of  $\varphi$

### **FOL over Words**

- Given an FO sentence  $\varphi$  over words, is it satisfiable/valid?
- Satisfiable (Valid) iff some word (all words) satisfies φ
- ▶ There could be infinitely many words w satisfying  $\varphi$
- ▶  $L(\varphi) = \{ \text{ words } w \mid w \models \varphi \} \text{ is called the language of } \varphi$
- Given φ, write an algorithm to check L(φ) = Ø words which satisfies the FOL formula PHI are the language of the PHI.

### First-Order Logic over Words

- ▶ Signature for words : <, S and Q<sub>a</sub> for finitely many symbols a
- Given a FO formula over words, the signature is fixed

- ▶ Signature for words : <, S and Q<sub>a</sub> for finitely many symbols a
- Given a FO formula over words, the signature is fixed
- Expressiveness
  - Given a set of words or a language L, can you write a FO formula  $\varphi$  such that  $L(\varphi) = L$

- ▶ Signature for words : <, S and Q<sub>a</sub> for finitely many symbols a
- Given a FO formula over words, the signature is fixed
- Expressiveness
  - Given a set of words or a language L, can you write a FO formula  $\varphi$  such that  $L(\varphi) = L$
  - If you can, FO is expressive enough to capture your language/specification/property

- ▶ Signature for words : <, S and Q<sub>a</sub> for finitely many symbols a
- Given a FO formula over words, the signature is fixed
- Expressiveness
  - Given a set of words or a language L, can you write a FO formula  $\varphi$  such that  $L(\varphi) = L$
  - If you can, FO is expressive enough to capture your language/specification/property
  - If you cannot, show that FO cannot capture your property.
- Satisfiability

- ▶ Signature for words : <, S and Q<sub>a</sub> for finitely many symbols a
- Given a FO formula over words, the signature is fixed
- Expressiveness
  - Given a set of words or a language L, can you write a FO formula  $\varphi$  such that  $L(\varphi) = L$
  - If you can, FO is expressive enough to capture your language/specification/property
  - If you cannot, show that FO cannot capture your property.
- ▶ Satisfiability
  - ▶ Given a FO formula  $\varphi$  over words, is  $L(\varphi)$  non-empty? Satisfiable if it is non-empty.

### A Primer for Words

## **Alphabet**

An alphabet Σ is a finite set

```
\Sigma = \{a, b, ..., z\}
\Sigma = \{+, \alpha, 100, B\}
```

- Elements of Σ called letters or symbols
- ▶ A word or string over  $\Sigma$  is a finite sequence of symbols from  $\Sigma$
- ▶ If  $\Sigma = \{a, b\}$ , then abababa is a word of length 7
- ▶ The length of a word w is denoted |w|
- There is a unique word of length 0 denoted  $\epsilon$ , called the empty word
- $|\epsilon| = 0$

### **Notations for Words**

- ▶ aaaaa denoted a<sup>5</sup>
- $\rightarrow a^0 = \epsilon$
- $a^{n+1} = a^n.a = a.a^n$
- ▶ The set of all words over  $\Sigma$  is denoted  $\Sigma^*$ 
  - $\{a,b\}^* = \{\epsilon, a, b, aa, ab, ba, bb, aaa, ...\}$
  - $\{a\}^* = \{\epsilon, a, aa, aaa, \dots\} = \{a^n \mid n \geqslant 0\}$
- ▶ By convention,  $\{\}^* = \{\epsilon\}$

### **Notations for Words**

- Σ is a finite set
- $\triangleright$   $\Sigma^*$  is the infinite set of all finite words over alphabet  $\Sigma$
- ▶ Each  $w \in \Sigma^*$  is a finite word
  - $\{a,b\} = \{b,a\}$  but  $ab \neq ba$
  - $\{ a, a, b \} = \{ a, b \}$  but  $aab \neq ab$
  - Ø is the set consisting of no words
  - $\{\epsilon\}$  is a set having the single word  $\epsilon$
  - $ightharpoonup \epsilon$  is a word

## **Operations on Words**

- Concatenation of words : x.y = xy
  - ► Concatenation is associative : x.(yz) = (xy).z
  - ▶ Concatenation not commutative in general  $x.y \neq y.x$
  - $\epsilon$  is the identity for concatenation  $\epsilon . x = x . \epsilon = x$
  - |x.y| = |x| + |y|
- x<sup>n</sup>: catenating word x n times
  - ightharpoonup (aab)<sup>5</sup> = aabaabaabaabaab
  - $(aab)^0 = \epsilon$
  - $(aab)^* = \{\epsilon, aab, aabaab, aabaabaab, ...\}$
  - $x^{n+1} = x^n x$

## **More Operations on Words**

▶ For  $a \in \Sigma$  and  $x \in \Sigma^*$ ,

 $|x|_a$  = number of times the symbol a occurs in the word x

- ▶  $|aabbaa|_a = 4$ ,  $|aabbaa|_b = 2$
- $|\epsilon|_a=0$
- ▶ Prefix of a word  $w \in \Sigma^*$  is an initial subword of w

$$Pref(w) = \{x \in \Sigma^* \mid \exists y \in \Sigma^*, w = x.y\}$$
 Take words from set which are prefixes.

- ▶  $Pref(aaba) = \{\epsilon, a, aa, aab, aaba\}$
- Proper prefixes = {a, aa, aab}
- $ightharpoonup \epsilon$ , aaba improper prefixes

## Operation on Sets

Given a finite alphabet  $\Sigma$ , denote by  $A, B, C, \ldots$  subsets of  $\Sigma^*$ 

- Subsets of Σ\* are called languages
- $\blacktriangleright A \cup B = \{x \in \Sigma^* \mid x \in A \text{ or } x \in B\}$

► 
$$A = a^*, B = \{b, bb\}, A \cup B = a^* \cup \{b, bb\}$$

- ▶  $A \cap B = \{x \in \Sigma^* \mid x \in A \text{ and } x \in B\}$ 
  - ullet  $A = (ab)^*, B = \{abab, \epsilon, bb\}, A \cap B = \{\epsilon, abab\}$
- $\overline{A} = \{x \in \Sigma^* \mid x \notin A\}$ 
  - ► For  $\Sigma = \{a\}$  and  $A = (aa)^*$ ,  $\overline{A} = \{a, a^3, a^5, \dots\}$  See the empty word is not here.
- ightharpoonup  $AB = \{xy \mid x \in A, y \in B\}$ 
  - $A = \{a, ba\}, B = \{\epsilon, aa, bb\}$
  - $AB = \{a, a^3, abb, ba, ba^3, babb\}$
  - $\triangleright$  BA = {a, ba, a<sup>3</sup>, aaba, bba, bbba}

# **Operation on Sets**

For a set  $A \subseteq \Sigma^*$ ,

- $A^0 = \{\epsilon\}$
- $A^{n+1} = A.A^n$ 
  - $\{a, ab\}^2 = \{a, ab\}.\{a, ab\} = \{aa, aab, aba, abab\}$
  - $\{a,b\}^n = \{x \in \{a,b\}^* \mid |x| = n\}$
- $A^* = A^0 \cup A \cup A^2 \cup \cdots = \bigcup_{i \ge 0} A^i$
- $A^+ = AA^* = A \cup A^2 \cup \cdots = \bigcup_{i>1} A^i$
- Union : Associative, commutative
- Concatenation : Associative, Non commutative
- $A \cup \emptyset = \emptyset \cup A = A$
- ▶  $\emptyset A = A\emptyset = \emptyset$  Shouldn't it be A.

## **Operation on Sets**

- Union, Intersection distribute over union
  - $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$
  - $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$
- Concatenation distributes over union
  - $A(\cup_{i\in I}B_i) = \cup_{i\in I}AB_i$
  - $(\cup_{i\in I}B_i)A = \cup_{i\in I}B_iA$
- Concatenation does not distribute over interesection
  - $A = \{a, ab\}, B = \{b\}, C = \{\epsilon\}$
  - ▶  $A(B \cap C) \neq AB \cap AC$

# FO for Languages

### Formalize in FO

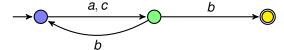
Write FO formulae  $\varphi_i$  such that  $L(\varphi_i) = L_i$  for i = 1, ..., 5.

- $ightharpoonup L_1$  = Words that have exactly one occurrence of the letter c
- ▶  $L_2$  = Words that begin with a and end with b
- ▶  $L_3$  = Words that have no two consecutive *a*'s
- ►  $L_4$  = Words in which any a is followed immediately by a b
- ▶  $L_5$  = Words in which whenever an a occurs, it is followed eventually by a b, and no c occurs in between the a and the b aabbabab,  $aabbcbccaab \in L_5$ ,  $aacaab \notin L_5$ .

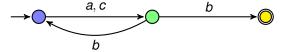
# Satisfiability of FO over Words

- ▶ Recall : Given an FO sentence  $\varphi$  over words, is  $L(\varphi) = \emptyset$ ?
- ► Algorithm?

▶ Given FO formula  $\varphi$  over an alphabet  $\Sigma$ , construct an edge labeled graph  $G_{\varphi}$ : a graph whose edges are labeled by  $\Sigma$ .

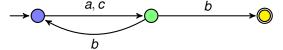


Given FO formula φ over an alphabet Σ, construct an edge labeled graph Gφ: a graph whose edges are labeled by Σ.



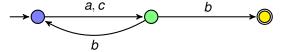
Each path in the graph gives rise to a word over  $\Sigma$ , obtained by reading off the labels on the edges

Given FO formula φ over an alphabet Σ, construct an edge labeled graph Gφ: a graph whose edges are labeled by Σ.



- **Each** path in the graph gives rise to a word over  $\Sigma$ , obtained by reading off the labels on the edges
- $G_{\omega}$  has some special kinds of vertices
  - ► There is a unique vertex called the start vertex (blue vertex)
  - ► There are some vertices called good vertices (yellow vertex)

Given FO formula φ over an alphabet Σ, construct an edge labeled graph Gφ: a graph whose edges are labeled by Σ.



- ▶ Each path in the graph gives rise to a word over  $\Sigma$ , obtained by reading off the labels on the edges
- $G_{\omega}$  has some special kinds of vertices
  - ► There is a unique vertex called the start vertex (blue vertex)
  - ► There are some vertices called good vertices (yellow vertex)
- ▶ Read off words on paths from the start vertex to any final vertex and call this set of words  $L(G_{\omega})$
- ▶ Ensure that  $G_{\omega}$  is constructed such that  $L(\varphi) = L(G_{\omega})$ .

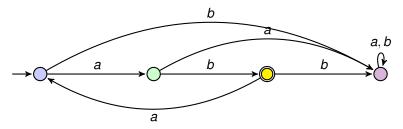
▶ Why does this help?

- ▶ Why does this help?
- We know how to check the existence of a path between 2 vertices in a graph easily

- Why does this help?
- We know how to check the existence of a path between 2 vertices in a graph easily
- If somehow we manage to construct  $G_{\varphi}$  correctly, then checking satisfiability of  $\varphi$  is same as checking the reachability of some good vertex from the start vertex of  $G_{\varphi}$ .

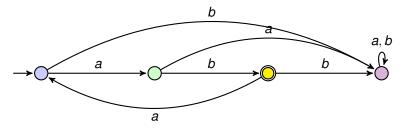
- Why does this help?
- We know how to check the existence of a path between 2 vertices in a graph easily
- If somehow we manage to construct  $G_{\varphi}$  correctly, then checking satisfiability of  $\varphi$  is same as checking the reachability of some good vertex from the start vertex of  $G_{\varphi}$ .
- ▶ How to construct  $G_{\omega}$ ?

### A First Machine A



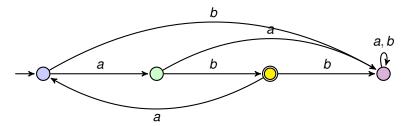
- Colored circles called states
- Arrows between circles called transitions
- ▶ Blue state called an initial state
- Doubly circled state called a final state

#### A First Machine A



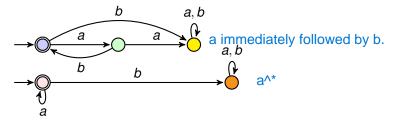
- ▶ A path from one state to another gives a word over  $\Sigma = \{a, b, c\}$
- The machine accepts words along paths from an initial state to a final state
- ➤ The set of words accepted by the machine is called the language accepted by the machine

### A First Machine A



- ▶ What is the language L accepted by this machine, L(A)?
- Write an FO formula  $\varphi$  such that  $L(\varphi) = L(A)$

### A Second and a Third Machine B, C



- ▶ What are L(B), L(C)?
- ▶ Give an FO formula  $\varphi$  such that  $L(\varphi) = L(B) \cup L(C)$