



CS 228 : Logic in Computer Science

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Check Satisfiability

Let $\psi(z) = \exists x [Q_a(x) \wedge \forall y [(y \leq x \wedge Q_b(y)) \rightarrow (z < x \wedge y < z \wedge Q_c(z))]]$
over the signature τ having the relational symbols $<$, Q_a , Q_b , Q_c and
unary function S . Does $\psi(z)$ evaluate to true under some word
structure?

No, because when $S(y,x)$ holds there is no position z in bw them for
"c".

Check Satisfiability

Let $\zeta = P(0) \wedge \forall x(P(x) \rightarrow P(S(x))) \wedge \exists x \neg P(x)$ over a signature τ containing the constant 0, unary function S and unary relation P .
Is ζ satisfiable?

Normal Forms in FOL

Recap : Satisfaction

We define the relation $\mathcal{A} \models_{\alpha} \varphi$ (read as φ is true in \mathcal{A} under the assignment α) inductively:

- ▶ $\mathcal{A} \not\models_{\alpha} \perp$
- ▶ $\mathcal{A} \models_{\alpha} t_1 = t_2$ iff $\alpha(t_1) = \alpha(t_2)$
- ▶ $\mathcal{A} \models_{\alpha} R(t_1, \dots, t_k)$ iff $(\alpha(t_1), \dots, \alpha(t_k)) \in R^{\mathcal{A}}$
- ▶ $\mathcal{A} \models_{\alpha} (\varphi \rightarrow \psi)$ iff $\mathcal{A} \not\models_{\alpha} \varphi$ or $\mathcal{A} \models_{\alpha} \psi$
- ▶ $\mathcal{A} \models_{\alpha} (\forall x)\varphi$ iff for every $a \in u(\mathcal{A})$, $\mathcal{A} \models_{\alpha[x \mapsto a]} \varphi$
- ▶ $\mathcal{A} \models_{\alpha} (\exists x)\varphi$ iff there is some $a \in u(\mathcal{A})$, $\mathcal{A} \models_{\alpha[x \mapsto a]} \varphi$

Last two cases, α has no effect on the value of x . Thus, assignments matter **only** to **free variables**.

Equivalences

See it carefully that the initial negation is over quantifiers, and according to which try to interpret the formula.

Let F, G be arbitrary FOL formulae.

$$1. \neg \forall x F \equiv \exists x \neg F$$

$$2. \neg \exists x F \equiv \forall x \neg F$$

saying, there do not exists any x such that, $F(x)$ holds, which is equivalent to for all x , $f(x)$ do not holds.

$$\begin{aligned} \mathcal{A} \models_{\alpha} \neg \forall x F &\text{ iff } \mathcal{A} \not\models_{\alpha} \forall x F && \text{Under some assignment alpha of structure A, F does not holds} \\ &\text{iff } \mathcal{A} \not\models_{\alpha[x \mapsto a]} F \text{ for some } a \in U^{\mathcal{A}} \\ &\text{iff } \mathcal{A} \models_{\alpha[x \mapsto a]} \neg F \text{ for some } a \in U^{\mathcal{A}} \\ &\text{iff } \mathcal{A} \models_{\alpha} \exists x \neg F \end{aligned}$$

Renaming is done for bound variables and
Substitution is done for free variables.

Equivalences

Even if it occurs with bound, the quantifier that bounds should be same.

If x does not occur free in G then

1. $(\forall x F \wedge G) \equiv \forall x (F \wedge G)$
2. $(\forall x F \vee G) \equiv \forall x (F \vee G)$
3. $(\exists x F \wedge G) \equiv \exists x (F \wedge G)$
4. $(\exists x F \vee G) \equiv \exists x (F \vee G)$

$\mathcal{A} \models_{\alpha} \forall x F \wedge G$ iff $\mathcal{A} \models_{\alpha} \forall x F$ and $\mathcal{A} \models_{\alpha} G$
iff for all $a \in U^{\mathcal{A}}$, $\mathcal{A} \models_{\alpha[x \mapsto a]} F$ and $\mathcal{A} \models_{\alpha} G$
iff for all $a \in U^{\mathcal{A}}$, $\mathcal{A} \models_{\alpha[x \mapsto a]} F$ and $\mathcal{A} \models_{\alpha[x \mapsto a]} G$
iff for all $a \in U^{\mathcal{A}}$, $\mathcal{A} \models_{\alpha[x \mapsto a]} (F \wedge G)$
iff $\mathcal{A} \models \forall x (F \wedge G)$

Here alpha is the original assignment function and if we write $\alpha[x \mapsto a]$, by that we mean we are putting all the other assignments as it is but checking the $x=a$ in the formula.

Equivalences

Let F, G be arbitrary FOL formulae.

$$1. (\forall x F \wedge \forall x G) \equiv \forall x (F \wedge G)$$

$$2. (\exists x F \vee \exists x G) \equiv \exists x (F \vee G)$$

Order of quantifier doesn't matter.

$$1. \forall x \forall y F \equiv \forall y \forall x F$$

$$2. \exists x \exists y F \equiv \exists y \exists x F$$

Recap : Terms

Given a signature τ , the set of τ -terms are defined inductively as follows.

- ▶ Each variable is a term
- ▶ Each constant symbol is a term
- ▶ If t_1, \dots, t_k are terms and f is a k -ary function, then $f(t_1, \dots, t_k)$ is a term
- ▶ Ground Terms : Terms without variables. For instance $f(c_1, \dots, c_k)$ for constants c_1, \dots, c_k .

Translation Lemma

Translation Lemma

If t is a term and F is a formula such that no variable in t occurs bound in F , then $\mathcal{A} \models_{\alpha} F[t/x]$ iff $\mathcal{A} \models_{\alpha[x \mapsto \alpha(t)]} F$.

$F[t/x]$ denotes substituting t for x in F , where x is free in F

- ▶ What if t contains a variable bound in F ?
- ▶ Results in *Variable Capture*

Translation Lemma Proof : Optional

Proof by Induction on formulae.

- ▶ Base case. Atomic formulae $P(t_1, \dots, t_k)$.
- ▶ $\mathcal{A} \models_{\alpha} P(t_1, \dots, t_k)[t/x]$ iff $\mathcal{A} \models_{\alpha} P(t_1[t/x], \dots, t_k[t/x])$.
- ▶ Show that $\mathcal{A} \models_{\alpha[x \mapsto \alpha(t)]} P(t_1, \dots, t_k)$.
 - ▶ Base Cases within : $t_i = c$, $t_i = y$ for $y \neq x$, $t_i = x$ for each t_i .
 - ▶ Case $t_i = f(s_1, \dots, s_j)$ for a function f .
 - ▶ $f(s_1, \dots, s_j)[t/x] = f(s_1[t/x], \dots, s_j[t/x])$
- ▶ $\mathcal{A} \models_{\alpha} P(t_1[t/x], \dots, t_k[t/x])$ iff $(\alpha(t_1[t/x]), \dots, \alpha(t_k[t/x])) \in P^{\mathcal{A}}$
- ▶ iff $(\alpha([x \mapsto \alpha(t)](t_1)), \dots, \alpha([x \mapsto \alpha(t)](t_k))) \in P^{\mathcal{A}}$
- ▶ iff $\mathcal{A} \models_{\alpha[x \mapsto \alpha(t)]} P(t_1, \dots, t_k)$
- ▶ Cases for formulae with propositional connectives is routine.
- ▶ Case with quantifier, $\forall y F[t/x]$, $\exists y F[t/x]$ where $y \neq x$.

Renaming

$\int_0^\infty f(s)ds$ has the same value as $\int_0^\infty f(t)dt$

Renaming Lemma

Let $F = Qx[G]$ be a formula with $Q \in \{\exists, \forall\}$. Let y be a variable which does not appear in G . Then $\mathcal{A} \models_\alpha F$ iff $\mathcal{A} \models_\alpha Qy(G[y/x])$.

Assume $Q = \forall$.

$\mathcal{A} \models_\alpha \forall y G[y/x]$ iff $\mathcal{A} \models_{\alpha[y \mapsto a]} G[y/x]$ for all $a \in U^{\mathcal{A}}$

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