

Binary Search and Variants

- Applicable whenever it is possible to reduce the search space by **half** using one query
- Search space size **N**
number of queries = **$O(\log N)$**
- Ubiquitous in algorithm design. Almost every complex enough algorithm will have binary search somewhere.

Classic example

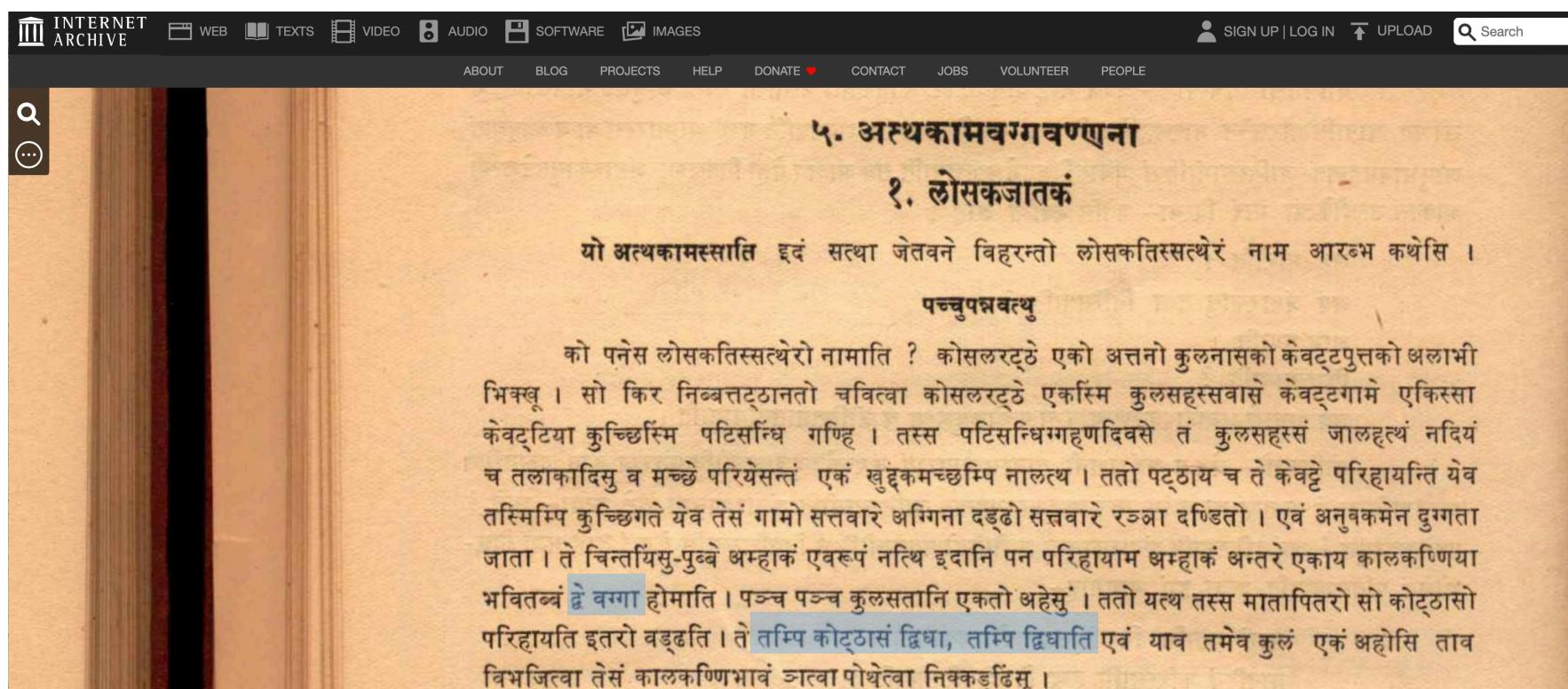
- Given a sorted array A of integers,
find the location of a target number x
(or say that it is not present)

Pseudocode:

```
Initialize start ← 0, end ← n;  
Locate(x, start, end){  
    if (end < start) return not found;  
    mid ← (start+end)/2;  
    if (A[mid] = x) return mid;  
    if (A[mid] < x) return Locate(x, mid+1, end);  
    if (A[mid] > x) return Locate(x, start, mid);  
}
```

History

- Binary search was first mentioned by John Mauchly (1946)
 - The art of computer programming, vol 3, p 422
- Mention in Buddhist Jataka Tales



Other examples

- Looking for a word in the dictionary
- Finding a scene in a movie
- Debugging a linear piece of code
- Cooking
- Science/Engineering: Finding the right value of any resource
 - length of youtube ads
 - pricing of a service
- Twenty questions

Egg drop problem

- In a building with n floors,
find the highest floor from where egg can be dropped without breaking.
- $O(\log n)$ egg drops are sufficient.
- Binary search for the answer.
- Drop an egg from floor x
 - if the egg breaks, answer is less than x
 - if the egg doesn't break, the answer is at least x
- Using the standard binary search idea, start with $x = n/2$.
If egg breaks, then go to $x = n/4$ and it doesn't then go to $x = 3n/4$.
And so on

Egg drop: unknown range

- In a building with *unknown number* of floors, find the highest floor h from where egg can be dropped without breaking.
- $O(\log h)$ egg drops sufficient?
- Exponential search: Try floors, 1, 2, 4, ..., till the egg breaks.
- The egg will break at floor 2^{k+1} , where $2^k \leq h < 2^{k+1}$
- Then binary search in the range $[2^k, 2^{k+1}]$
- Total number of egg drops $\leq k+1+k = 2k+1 \leq 2 \log h + 1$.
- Is there a better way?

Lower bound

- Search space size: N
Are $\log N$ queries necessary?
- Yes. When each query is a yes/no type, then the search space gets divided into two parts with each query (some solutions correspond to yes and others to no).
- One of the parts will be at least $N/2$.
- In worst case, with each query, we get the larger of the two parts.
- To reduce the search space size to 1, we need $\log N$ queries

Lower bound

- Search space size: N
Are $\log N$ queries necessary? Another argument.
- Suppose you make k queries.
- There are 2^k possible outcomes.
- If $2^k < N$, then two different elements must lead to same outcomes for all queries.
- We won't be able to say which of the two is correct.

Lower bound

- Search space size: N
Are $\log N$ queries necessary?
- Another argument based on information theory.
- Each yes/no query gives us 1 bit of information.
- The final answer is a number between 1 and N , and thus, requires $\log N$ bits of information.
- Hence, $\log N$ queries are necessary.
- Ignore this argument if it is hard to digest.

Exercise: subarray sum

- Given an array with n positive integers,
and a number S ,
find the minimum length subarray whose sum is at least S ?
- Subarray is a contiguous subset, i.e.,
 $A[i], A[i+1], A[i+2], \dots, A[j-1], A[j]$
- $[10, 12, 4, 9, 3, 7, 14, 8, 2, 11, 6]$

$$S = 27$$

- Can you design an $O(n \log n)$ algorithm?

Exercise: subarray sum

$O(n^3)$ algorithm:

```
for ( $l \leftarrow 1$  to  $n$ ) { // looping over all possible lengths  
    for ( $j \leftarrow 0$  to  $n-l-1$ ) { // looping over all possible starting points  
        T  $\leftarrow$  sum( $A[j], A[j+1], \dots, A[j+l-1]$ )  
        if  $T \geq S$   
            then return  $l$  and the subarray  $(j, j+l-1)$ ;  
    }  
}
```

- Two for loops one inside the other, each making at most n iterations.
- Sum function is another for loop with at most n iterations.

Exercise: subarray sum

$O(n^2)$ algorithm:

```
for ( $l \leftarrow 1$  to  $n$ ) { // looping over all possible lengths
```

```
     $T \leftarrow$  sum of first  $l$  numbers.
```

```
    for ( $j \leftarrow 0$  to  $n-l-1$ ) { // looping over all possible starting points
```

```
        if  $T \geq S$  then return  $l$  and the subarray  $(j, j+l-1)$ ;
```

```
         $T \leftarrow T - A[j] + A[l+j]$ ;
```

```
}
```

```
}
```

- Two for loops one inside the other. Each makes at most n iterations. Hence, $O(n^2)$ time.

Exercise: subarray sum

$O(n \log n)$ algorithm. Approach 1:

Binary search for the minimum length l .

For the current value of l :

- Check if there is a subarray of length l with sum at least S .
- This check can be done in $O(n)$ time. See the inner loop on previous slide.
- If YES then try a smaller value of l
- If NO then try a larger value of l

Exercise: subarray sum

- $O(n \log n)$ algorithm. Approach 2 (suggested by students):
- First compute all the prefix sums and store in an array.
 $O(n)$ time.
- ```
prefix_sum[0] ← A[0];
for (i ← 1 to n-1)
 prefix_sum[i] = prefix_sum[i-1]+A[i];
```
- Any subarray sum from  $i$  to  $j$  can now be computed in  $O(1)$  time as  $\text{prefix\_sum}[j] - \text{prefix\_sum}[i-1]$ .
- Now, for each choice of starting point, do a binary search for the minimum end point such that the subarray sum is at least  $S$ .
  - If sum of a subarray  $< S$ , then choose a larger end point, otherwise smaller.

# Exercises

- Given two sorted arrays of size  $n$ , find the median of the union of the two arrays.  
 $O(\log n)$  time?
- Given a convex function  $f(x)$  oracle, find an integer  $x$  which minimizes  $f(x)$ .
- Land redistribution:  
given list of landholdings  $a_1, a_2, \dots, a_n$ ,  
given a floor value  $f$ ,  
find the right ceiling value  $c$

# Division algorithm

- As we find the next digit of the quotient, the search space of the quotient goes down by a factor of 10.
- This could be called a denary search.
- For binary representation of numbers, the division algorithm will be a binary search.

# Finding square root

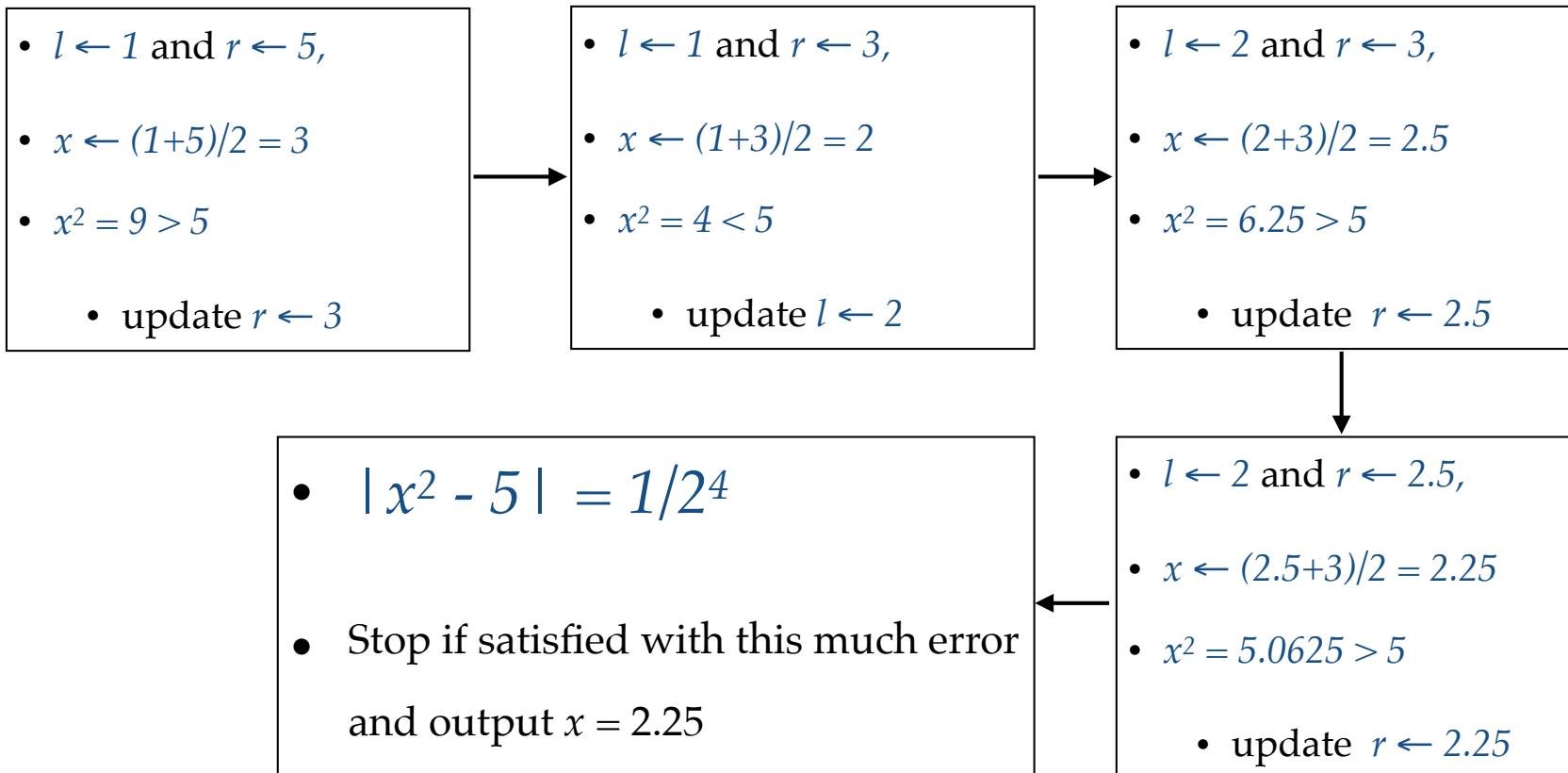
- Given an integer  $a$ , find  $\sqrt{a}$
- Starting with  $l \leftarrow 1$  and  $r \leftarrow a$ ,
  - i.e., starting range is  $[1, a]$
  - $x \leftarrow (l+r)/2$       // Guess for  $\sqrt{a}$ ,
  - If  $x^2 > a$ , then the answer is less than  $x$ .
    - update  $r \leftarrow x$
  - Else the answer is at least  $x$ .
    - update  $l \leftarrow x$
- Repeat till we get  $x^2 = a$  (or till we get desired precision e.g.,  $|x^2 - a| < 1/2^{10}$ )
- **Additional exercise:** find a division like algorithm.

# Finding square root

- Given an integer  $a$ , find  $\sqrt{a}$  up to  $l$  digits after decimal.
- Can we compute it in  $O(l)$  iterations?
- Yes. See example on next slide.

# Finding square root

- Computing  $\sqrt{5}$ .



# More applications

- Finding inverse of an increasing function  $f(x)$ ?
- Finding root of an odd-degree polynomial?
- Finding the smallest prime dividing  $N$  ?
- Is sorting a kind of binary search?  
 $O(n \log n)$  comparisons necessary?

# Inverse of an increasing function

- Finding inverse of an increasing function?
- For any given  $x$ , we have a method to compute  $f(x)$ .  
For a given  $y$ , we want to compute  $f^{-1}(y)$ .
- Make a guess  $x$  and compare it  $f(x)$  with  $y$
- If  $f(x) < y$  then the answer is larger than  $x$
- Else the answer is at least  $x$ .

# Root of a polynomial

- Finding a root of polynomial  $f(x)$  with odd degree?
- Always maintain two points  $a$  and  $b$  such that  $f(a) > 0$  and  $f(b) < 0$ .
- To find the starting points one can do exponential search.
- Check whether  $f((a+b)/2) > 0$ .
- If yes, then there is a root between  $(a+b)/2$  and  $b$ .
- Else, there is a root between  $(a+b)/2$  and  $a$ .

# Smallest dividing prime

- Finding the smallest prime dividing  $N$  ?
- No.
- We can make a guess  $x$ . If  $x$  does not divide  $N$ , then we cannot say anything about where should be the smallest prime dividing  $N$ .

# Sorting as binary search

- Is sorting a kind of binary search?  
 $n \log n$  comparisons necessary?
- Yes.
- When we have not made any comparisons, then any of the  $n!$  rearrangements is a possible answer.
- So the search space size is  $n!.$
- Each comparison will reduce the search space size by only 1/2 (in worst case).
- Hence,  $\log (n!) \geq n \log n - n \log e$  comparisons are necessary.

# Analyzing algorithms

- Comparison between various candidate algorithms
- Why not implement and test?
  - too many algorithms
  - depends on input size, how inputs are chosen
- Will count the number of basic operations like addition, comparison etc.
- And see how this number grows as a function of the input size. This measure is independent of the choice of the machine.

# $O(\cdot)$ notation

- For input size  $n$ , running time  $f(n)$
- We say  $f(n)$  is  $O(T(n))$   
if for all large enough  $n$  and some constant  $c$ ,  
$$f(n) \leq c \cdot T(n)$$

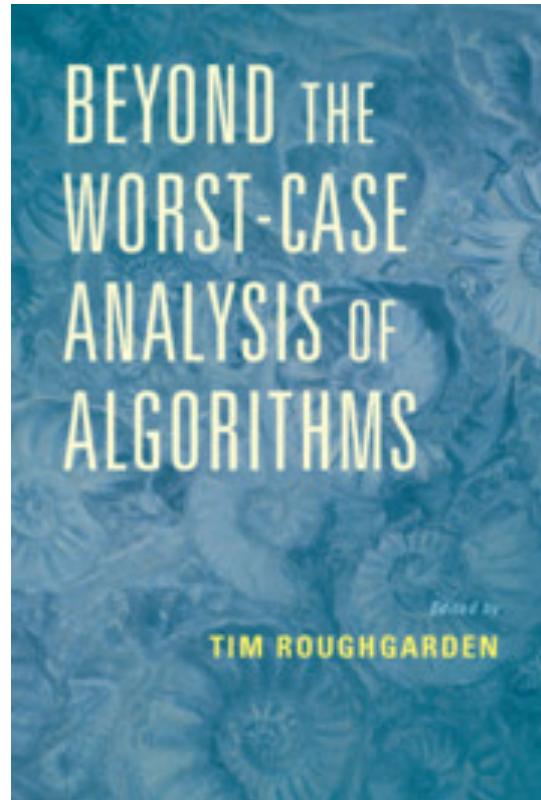
# $O(\cdot)$ notation

- Why do we ignore constant factors?
- Because it's not always possible to find the precise constant factor. Various basic operations do not take the same amount of time.
- Is  $O(n)$  always better than  $O(n \log n)$ ?
  - For large enough inputs, yes. But, depending on the hidden constant factors, it's possible that  $O(n \log n)$  algorithm is faster on reasonable size inputs.

# Worst case analysis

- **Worst case bound:** running time guarantee for all possible inputs of a size.
- There could be algorithms which are slow on a few pathological instances, but otherwise quite fast.
- Why not analyze only for “real world inputs”?
- It’s not clear how to model “real world inputs”.
- For many algorithms, we are able to give worst case bounds, so why not do it.

# Worst case analysis



Out of scope of this course

# Describing algorithms

- Find the maximum sum subarray of length  $k$

```
s = 0;
for (i=0, i < k, i++) s=s+A[i];

m = s;
for (i=0, i < n-k, i++){
 s = s - A[i] + A[k+i];
 if (s > m) m = s;
}
return m;
```

Compute the sum of first  $k$  numbers. We will go over all length  $k$  subarrays from left to right. In an iteration, update the sum by subtracting the first number of the current array and adding the number following the last one. If the sum is larger than the maximum seen so far, we update the maximum.

```
s ← sum of first k numbers;
for ($i \leftarrow 0$ to $n-k-1$) {
 s ← s - A[i] + A[k+i];
 m ← max(m, s);
}
return m;
```

Precise, but hard to understand. Error prone.

Not precise. Open to multiple interpretations.

Somewhere in the middle.

# Describing algorithms

- Two sorted (increasing) arrays  $A$  and  $B$  of length  $n$ .  
Count pairs  $(a,b)$  such that  $a \in A$  and  $b \in B$   
and  $a < b$

```
j=0; count = 0;
for (i=0, i < n, i++){
 while (A[i] >= B[j]) j++;
 count=count + n - j;
}
return count;
```

```
j ← 0; count ← 0;
for (i ← 0 to n-1){
 keep increasing j until we get B[j] > A[i];
 count ← count + n - j;
}
return count;
```

# Describing algorithms

- Two sorted (increasing) arrays  $A$  and  $B$  of length  $n$ .  
Count pairs  $(a,b)$  such that  $a \in A$  and  $b \in B$   
and  $a < b$

```
j=0; count = 0;
for (i=0, i < n, i++){
 while (A[i] >= B[j]) j++;
 count=count + n - j;
}
return count;
```

```
j ← 0; count ← 0;
for (i ← 0 to n-1){
 Find the first index j such that $B[j] > A[i]$;
 count ← count + n - j;
}
return count;
```

# $O(\cdot)$ notation

- True or False?
- $2n+3$  is  $O(n^2)$ 
  - True
- $1^2 + 2^2 + \dots + (n-1)^2 + n^2$  is  $O(n^2)$ 
  - False (it is  $\Theta(n^3)$ )
- $1 + 1/2 + 1/3 + \dots + 1/n$  is  $O(?)$ 
  - $O(\log n)$
- $n^n$  is  $O(2^n)$ 
  - False

# $O(\cdot)$ notation

- True or False?
- $2^{3n}$  is  $O(2^n)$ 
  - False
- $(n+1)^3$  is  $O(n^3)$ 
  - True
- $(n + \sqrt{n})^2$  is  $O(n^2)$ 
  - True
- $\log(n^3)$  is  $O(\log n)$ 
  - True

# Principles of algorithm design

# First principle: reducing to a subproblem

- Subproblem: same problem on a smaller input
- Assume that you have already built the solution for the subproblem and using that try to build the solution for the original problem.
- Subproblem will be solved using the same strategy.
- Implementation: recursive or iterative

# First principle: reducing to a subproblem

- Example 1: finding minimum value in an array.
- Suppose we have already found minimum among first  $n-1$  numbers, say  $\min_{n-1}$
- $\min_n = \text{minimum}(\min_{n-1}, A[n])$
- Iterative implementation:  
Go over the array from  $1$  to  $n$  and maintain a variable  $\min$
- Invariant: after seeing  $i$  numbers,  $\min$  will be the minimum among first  $i$  numbers.
- $\min_i = \text{minimum}(\min_{i-1}, A[i])$

# First principle: reducing to a subproblem

- $\min_i = \text{minimum}(\min_{i-1}, A[i])$

- Iterative implementation

```
 $\min \leftarrow A[1];$
for ($i \leftarrow 2$ to n)
 $\min \leftarrow \text{minimum}(\min, A[i])$
```

- Recursive implementation

$f(A, i)$ :

if  $i=1$  return  $A[1]$ ;

else return  $\text{minimum}(f(A, i-1), A[i])$ ;

Compute  $f(A, n)$ ;

# Maximum subarray sum

- Given an integer array with positive/negative numbers.  
Find the subarray with maximum possible sum.
- 1, 2, -5, 4, -6, 8, 7, -3, 2, 10, 3, -7, 4, 2
- $O(n^2)$  algorithm
- For every choice of starting point,  
go over all choices of end points and maintain the sum  
between starting and end points.
- Maintain the *max\_sum* value by comparing with the current  
sum

# Maximum subarray sum

$O(n^2)$  algorithm:

```
max_sum ← 0;
for (start ← 1 to n){
 curr_sum ← 0;
 for (end ← start to n){
 curr_sum ← curr_sum + A[end]; // update the current sum
 max_sum ← maximum(curr_sum, max_sum);
 }
}
```

# Maximum subarray sum

$O(n^2)$  algorithm:

- Let  $\max\_sum$  store the maximum subarray sum seen so far. Initialize as 0.
- Go over all choices of starting point  $start$  (from 1 to  $n$ ). For every choice of  $start$ :
  - Let  $curr\_sum$  maintain the sum of the current subarray. Initialize as 0.
  - Go over all choices of ending point  $end$  (from  $start$  to  $n$ ). For every choice of  $end$ :
    - Update the  $curr\_sum$  by adding  $A[end]$  to it.
    - Compare  $\max\_sum$  with  $curr\_sum$ .
    - If  $curr\_sum$  is larger then update  $\max\_sum$  with the value of  $curr\_sum$ .

# Max subarray sum: subproblem

- Suppose we have already found the maximum subarray sum for  $A[1\dots n-1]$ , say  $\max\_sum_{n-1}$
  - How do we compute  $\max\_sum_n$
  - Two kinds of subarrays of  $A$ :
    1. subarrays of  $A[1\dots n-1]$
    2. subarrays of  $A$  which end at  $n$
  - $\max\_sum_n = \text{Maximum}(\max\_sum_{n-1},$

$$\left. \begin{array}{l} \text{Sum}(1 \dots n), \\ \text{Sum}(2 \dots n), \\ \vdots \\ \text{Sum}(n \dots n), \end{array} \right\} O(n)$$

$$T(n) = T(n-1) + O(n) \quad \Rightarrow T(n) = O(n^2)$$

# Max subarray sum: subproblem

- Improvement ?
- Observation:

- $\text{Sum}(1 \dots n) = \text{Sum}(1 \dots n-1) + A[n],$
- $\text{Sum}(2 \dots n) = \text{Sum}(2 \dots n-1) + A[n],$   
⋮
- $\text{Sum}(n-1 \dots n) = \text{Sum}(n-1 \dots n-1) + A[n],$

$$\text{Maximum} \begin{pmatrix} \text{Sum}(1 \dots n), \\ \text{Sum}(2 \dots n), \\ \vdots \\ \text{Sum}(n-1 \dots n) \end{pmatrix} = \text{Maximum} \begin{pmatrix} \text{Sum}(1 \dots n-1), \\ \text{Sum}(2 \dots n-1), \\ \vdots \\ \text{Sum}(n-1 \dots n-1) \end{pmatrix} + A[n]$$

- We have converted it to another problem on first  $n-1$  numbers

# Max subarray sum: subproblem

- Improvement ?
- Observation:

- $\text{Sum}(1 \dots n) = \text{Sum}(1 \dots n-1) + A[n],$
- $\text{Sum}(2 \dots n) = \text{Sum}(2 \dots n-1) + A[n],$   
⋮
- $\text{Sum}(n-1 \dots n) = \text{Sum}(n-1 \dots n-1) + A[n],$

$$\text{Maximum} \left( \begin{array}{l} \text{Sum}(1 \dots n), \\ \text{Sum}(2 \dots n), \\ \vdots \\ \text{Sum}(n-1 \dots n) \end{array} \right) = \text{Maximum} \left( \begin{array}{l} \text{Sum}(1 \dots n-1), \\ \text{Sum}(2 \dots n-1), \\ \vdots \\ \text{Sum}(n-1 \dots n-1) \end{array} \right) + A[n]$$

- We have converted it to another problem on first  $n-1$  numbers

Push it to the  
subproblem

*max\_suffix\_sum<sub>n-1</sub>*

# Asking subproblem to do more

- Subproblem:  $\max\_sum_{n-1}$  and  $\max\_suffix\_sum_{n-1}$
- $\max\_suffix\_sum_{n-1}$  is defined as  
 $\text{Maximum}(\text{Sum}(1 \dots n-1), \text{Sum}(2 \dots n-1), \dots \text{Sum}(n-1 \dots n-1))$

- $\max\_sum_n = \text{Maximum} \left( \begin{array}{l} \max\_sum_{n-1}, \\ \text{Sum}(1 \dots n-1) + A[n], \\ \text{Sum}(2 \dots n-1) + A[n], \\ \vdots \\ \text{Sum}(n-1 \dots n-1) + A[n], \\ A[n] \end{array} \right)$
- $= \text{Maximum} \left( \begin{array}{l} \max\_sum_{n-1}, \\ \max\_suffix\_sum_{n-1} + A[n], \\ A[n] \end{array} \right)$

# Asking subproblem to do more

- Subproblem:  $\max\_sum_{n-1}$  and  $\max\_suffix\_sum_{n-1}$

- $\max\_sum_n = \text{Maximum} \left( \begin{array}{l} \max\_sum_{n-1}, \\ \max\_suffix\_sum_{n-1} + A[n], \\ A[n] \end{array} \right)$

- We are asking the subproblem to compute  $\max\_suffix\_sum$  for size  $n-1$
- So, we also need to compute  $\max\_suffix\_sum$  for size

- $\max\_suffix\_sum_n = \text{Maximum} \left( \begin{array}{l} \max\_suffix\_sum_{n-1} + A[n], \\ A[n] \end{array} \right)$

$$T(n) = T(n-1) + O(1) \Rightarrow T(n) = O(n)$$

# $O(n)$ algorithm

- Go over  $i$  from 1 to  $n$
- For each  $i$ ,
  - Maintain  $\text{max\_sum}$  — maximum subarray sum seen in  $A[1\dots i]$
  - Maintain  $\text{max\_suffix\_sum}$  — maximum suffix sum seen in  $A[1\dots i]$
  - Update the two variables as mentioned earlier

# Maximum subarray sum

$O(n)$  algorithm:

$max\_sum \leftarrow 0; max\_suffix\_sum \leftarrow 0;$

for ( $i \leftarrow 1$  to  $n$ ) {

$max\_sum \leftarrow \text{maximum}( max\_sum,$   
 $max\_suffix\_sum+A[i],$   
 $A[i] );$

$max\_suffix\_sum \leftarrow \text{maximum}(max\_suffix\_sum+A[i], A[i]);$

}

# Alternate implementation

```
max_sum ← 0; max_suffix_sum ← 0;
for (i ← 1 to n){
 max_suffix_sum ← maximum(A[i], max_suffix_sum +A[i]);
 max_sum ← maximum(max_suffix_sum, max_sum);
}
```

- Here we are updating the two variables in a different order.

# Reducing to a subproblem

- When solving a problem recursively / inductively, it is sometimes useful to solve a more general problem
- Stronger induction hypothesis

# Exercises

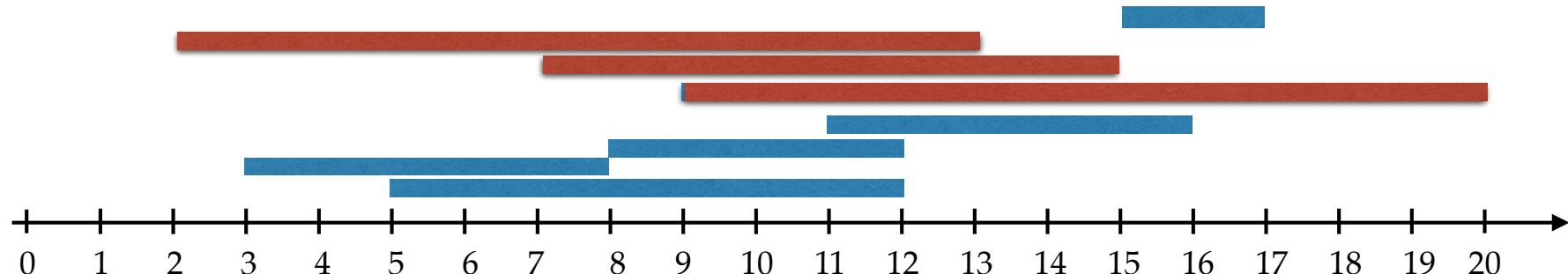
- Given share prices for  $n$  days  
 $p_1, p_2, \dots, p_n$
- You have to buy it on one of the days and sell it on a later day.
- Maximum profit possible in  $O(n)$ ?
- $\max_{\{j > i\}} (p_j - p_i)$

# Exercises

- There is a party with  $n$  people, among them there is 1 celebrity.
- A **celebrity** is someone who is known to everyone, but she does not know anyone.
- you ask the any person  $i$  if they know person  $j$ .
- Can you do find the celebrity in  $O(n)$  queries?

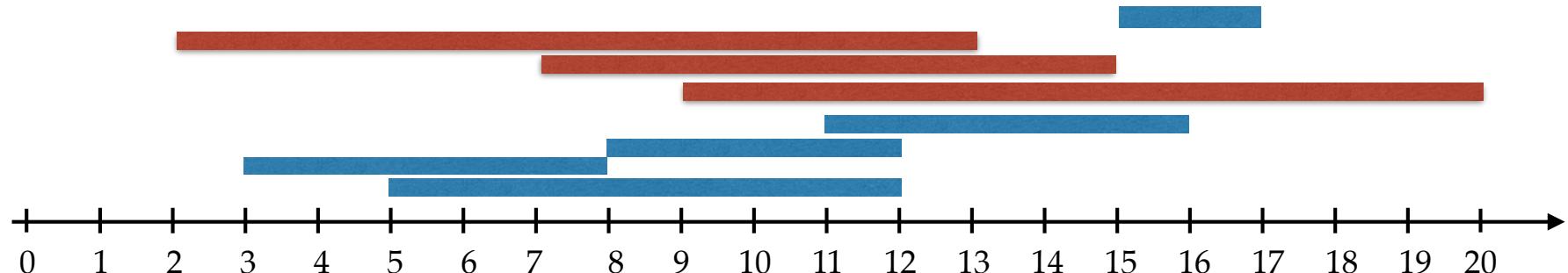
# Interval containment

- Given a set of intervals  
count the number of intervals which are not contained in any other interval.
- (5, 12), (3, 8), (8, 12), (11, 16), (9, 20), (15, 17), (7, 15), (2, 13)
- (9, 20), (7, 15), (2, 13)



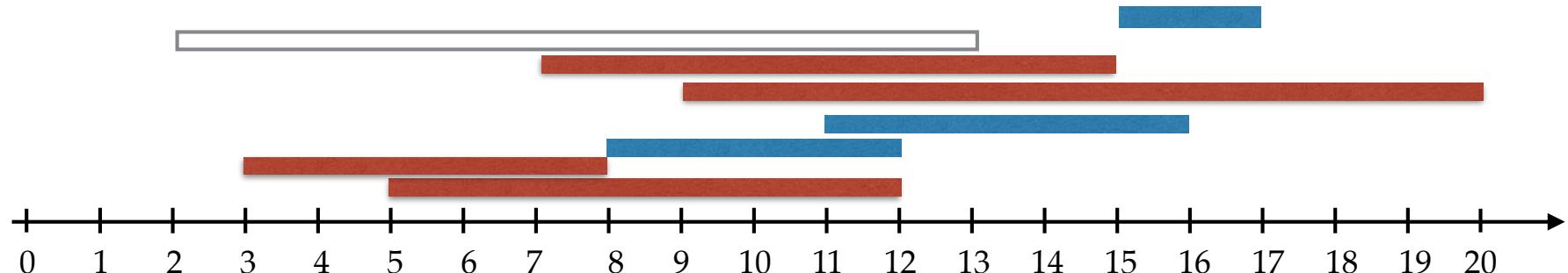
# Interval containment

- Given a set of intervals  
count the number of intervals which are not contained in any other interval.
- Naive solution: for every interval, check every other interval
- $O(n^2)$



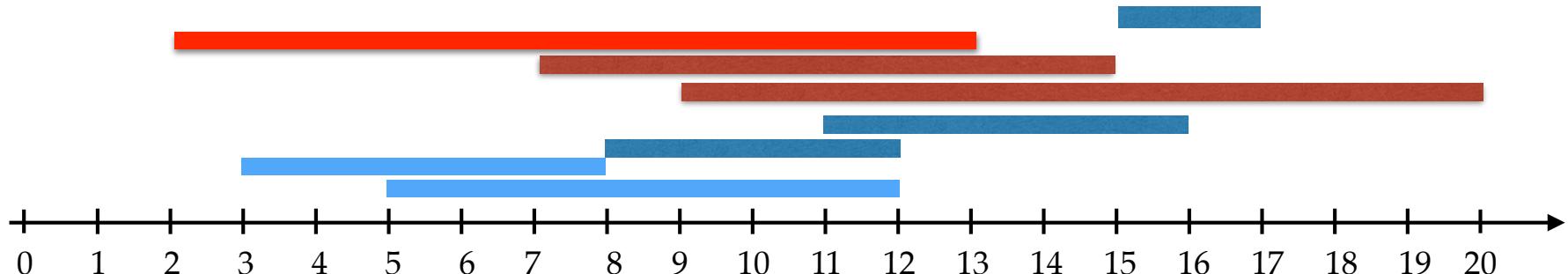
# Subproblem

- Suppose we have a solution for first  $n-1$  intervals.
- $(5, 12), (3, 8), (8, 12), (11, 16), (9, 20), (15, 17), (7, 15), (2, 13)$
- $(3,8), (5, 12), (9, 20), (7, 15)$



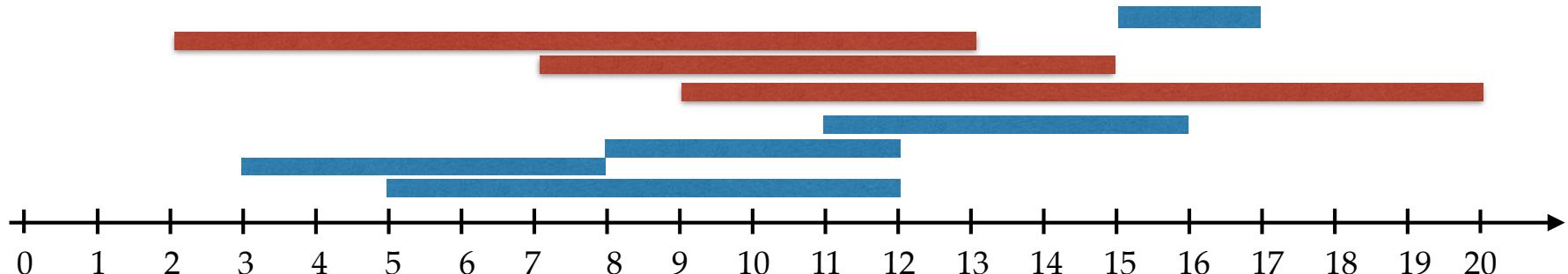
# Interval containment

- When we introduce the  $n$ th interval  
need to check if it is contained in any other interval  
or if it contains other intervals. Seems to take  $O(n)$  time.
- $(5, 12), (3, 8), (8, 12), (11, 16), (9, 20), (15, 17), (7, 15), (2, 13)$
- $\cancel{(3,8)}, \cancel{(5,12)}, \cancel{(9,20)}, \cancel{(7,15)}, \cancel{(2,13)}$



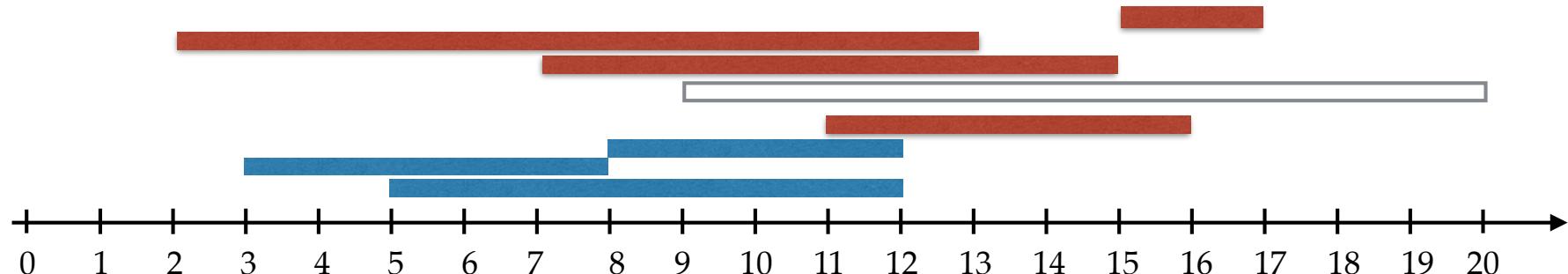
# Reordering input

- Can we reorder the intervals so that the work at the last step reduces?
- Two possible orders: increasing start time, increasing finish time
  - $(2, 13), (3, 8), (5, 12), (7, 15), (8, 12), (9, 20), (11, 16), (15, 17),$
  - $(3, 8), (5, 12), (8, 12), (2, 13), (7, 15), (11, 16), (15, 17), (9, 20),$



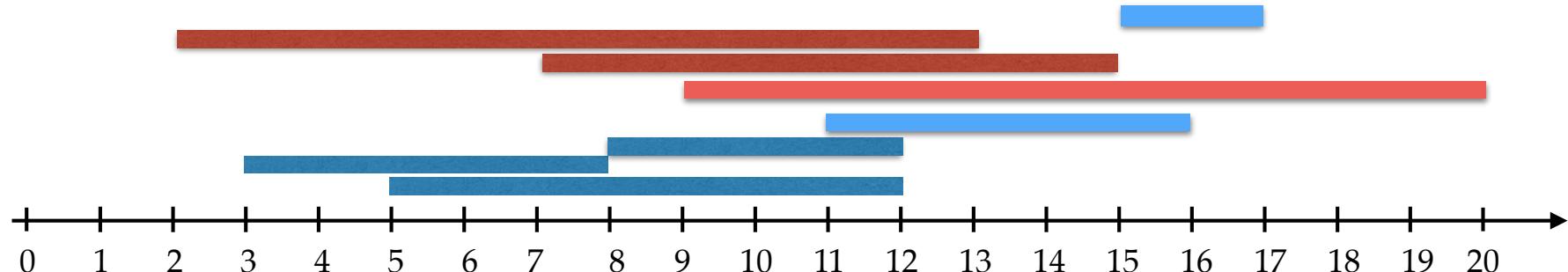
# Increasing finish time

- Can we reorder the intervals so that the work at the last step reduces?
- Consider increasing finish time
  - $(3, 8), (5, 12), (8, 12), (2, 13), (7, 15), (11, 16), (15, 17), (9, 20),$
  - $(2, 13), (7, 15), (11, 16), (15, 17),$



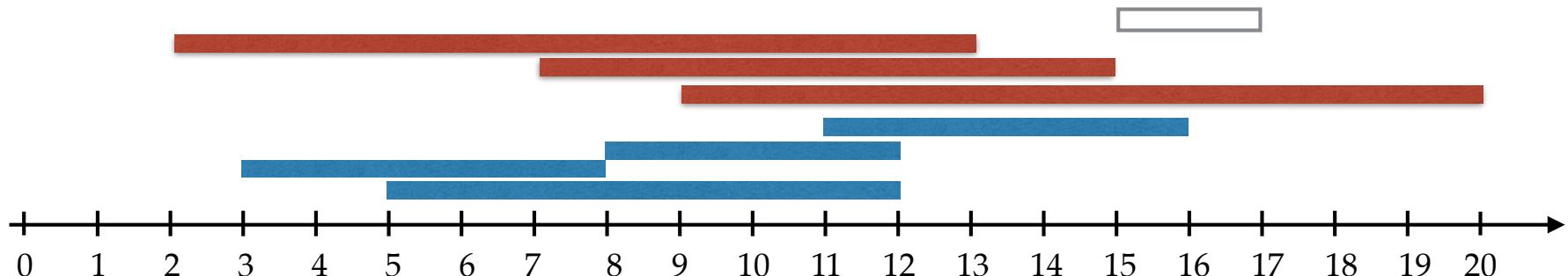
# Increasing finish time

- Can we reorder the intervals so that the work at the last step reduces?
- Consider increasing finish time. Same  $O(n)$ .
  - $(3, 8), (5, 12), (8, 12), (2, 13), (7, 15), (11, 16), (15, 17), (9, 20),$
  - $(2, 13), (7, 15), \cancel{(11, 16)}, \cancel{(15, 17)}, (9, 20),$



# Increasing start time

- Consider increasing start time
  - $(2, 13), (3, 8), (5, 12), (7, 15), (8, 12), (9, 20), (11, 16), (15, 17)$ ,
  - The last interval cannot contain any other
  - Need to check whether the last interval is contained in any other
  - Same as whether any previous interval finishes after the last one.
  - Takes  $O(n)$  time?



# Algorithm

- Maintain the highest finish time among interval seen so far
- Go over all intervals in increasing order of start times.
- For an interval  $(s_i, f_i)$ :
  - if  $f_i > \text{largest\_finish\_time}$  then
    - `number_of_maximal_intervals ++ ;`
    - $\text{largest\_finish\_time} \leftarrow f_i$
  - $O(n \log n) \text{ time}$

# Questions

- Does this implementation work if some intervals have same start times or same finish times?
- If not, how would you change the implementation?
- Can you also design an algorithm which works with increasing order of finish times?
- Is it possible to get an algorithm with  $O(n)$  time?
- Or can you show a lower bound of  $\Omega(n \log n)$ ?

# Ideas so far

- Reducing to a subproblem
- **Stronger induction hypothesis:** Sometimes may need to solve more than what is asked for
- Reordering the input can be helpful.

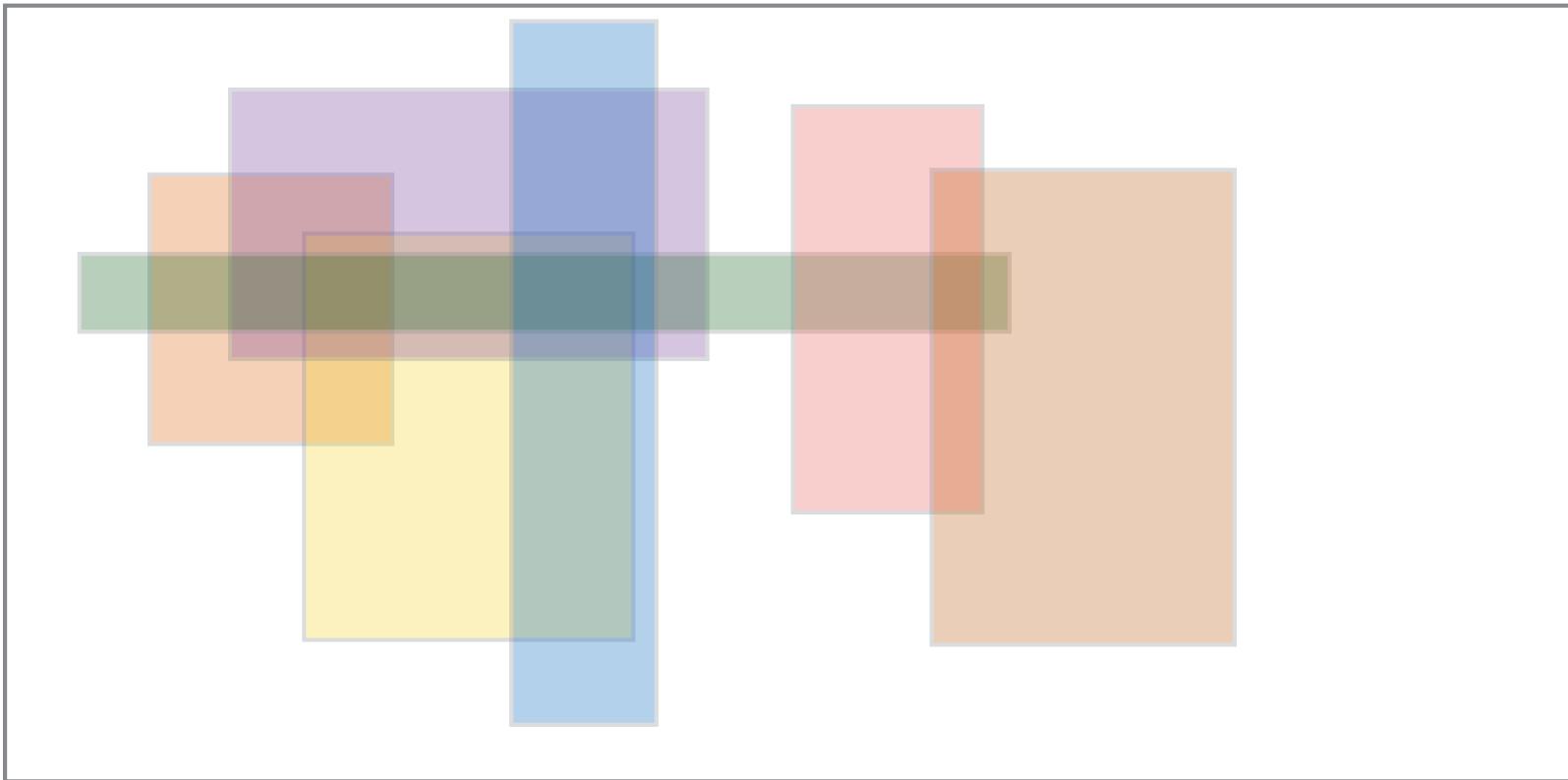
# Other problems on intervals

- Given  $n$  intervals, find the total length of their union.
- Given  $n$  intervals, find the number of intervals which do not overlap with any other interval.
- Given  $n$  intervals, find the maximum number of mutually intersecting intervals.

# Divide and Conquer

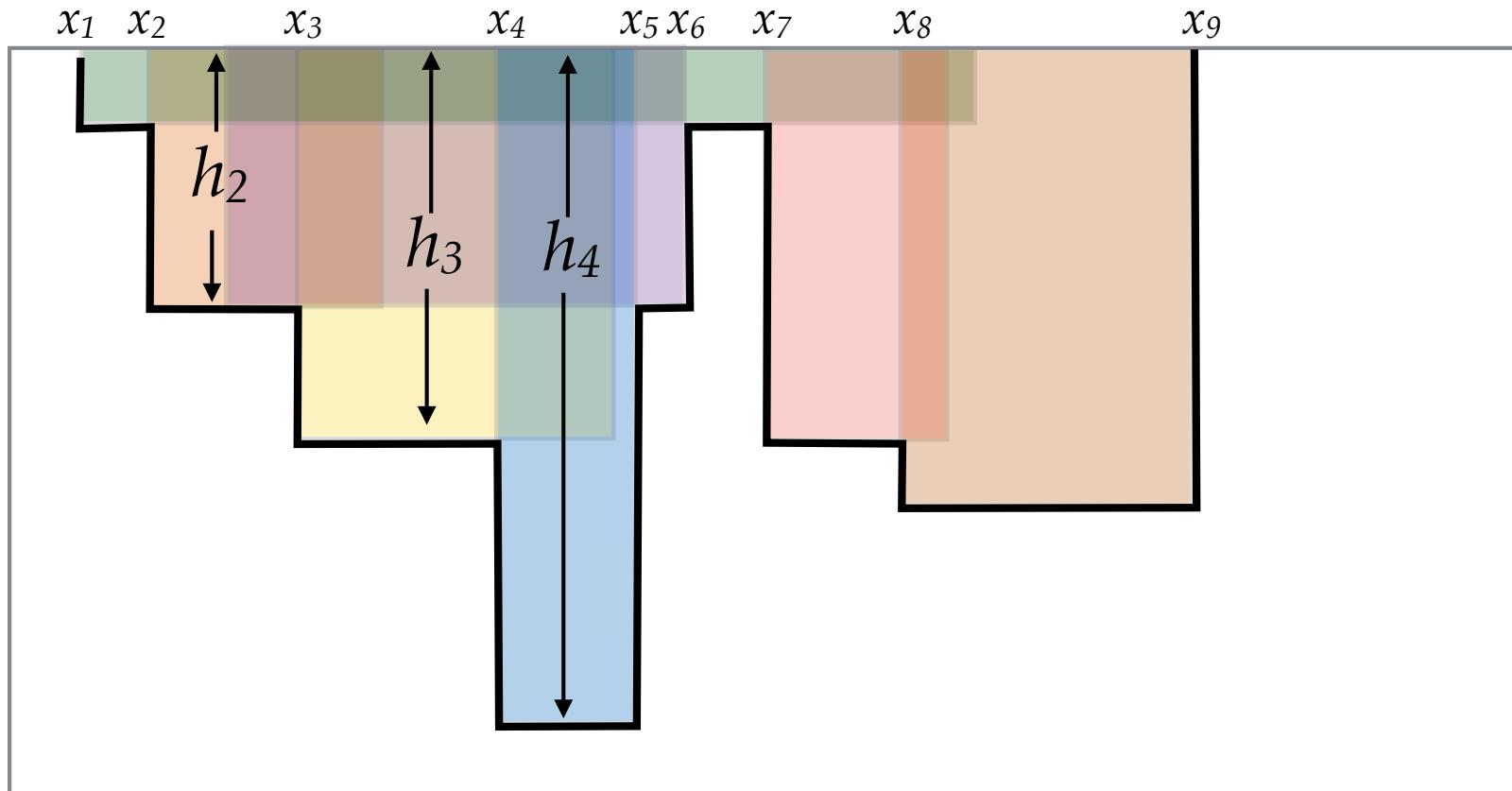
- Classic example: merge sort
- Divide the problem into two (or more) subproblems of size  $n/2$
- Combine the solutions of the subproblems and build a solution for the original problem
- $T(n) = a T(n/2) + f(n)$
- Divide and conquer might give a running time improvement e.g., from  $O(n^2)$  to  $O(n \log n)$ .

# Area coverage



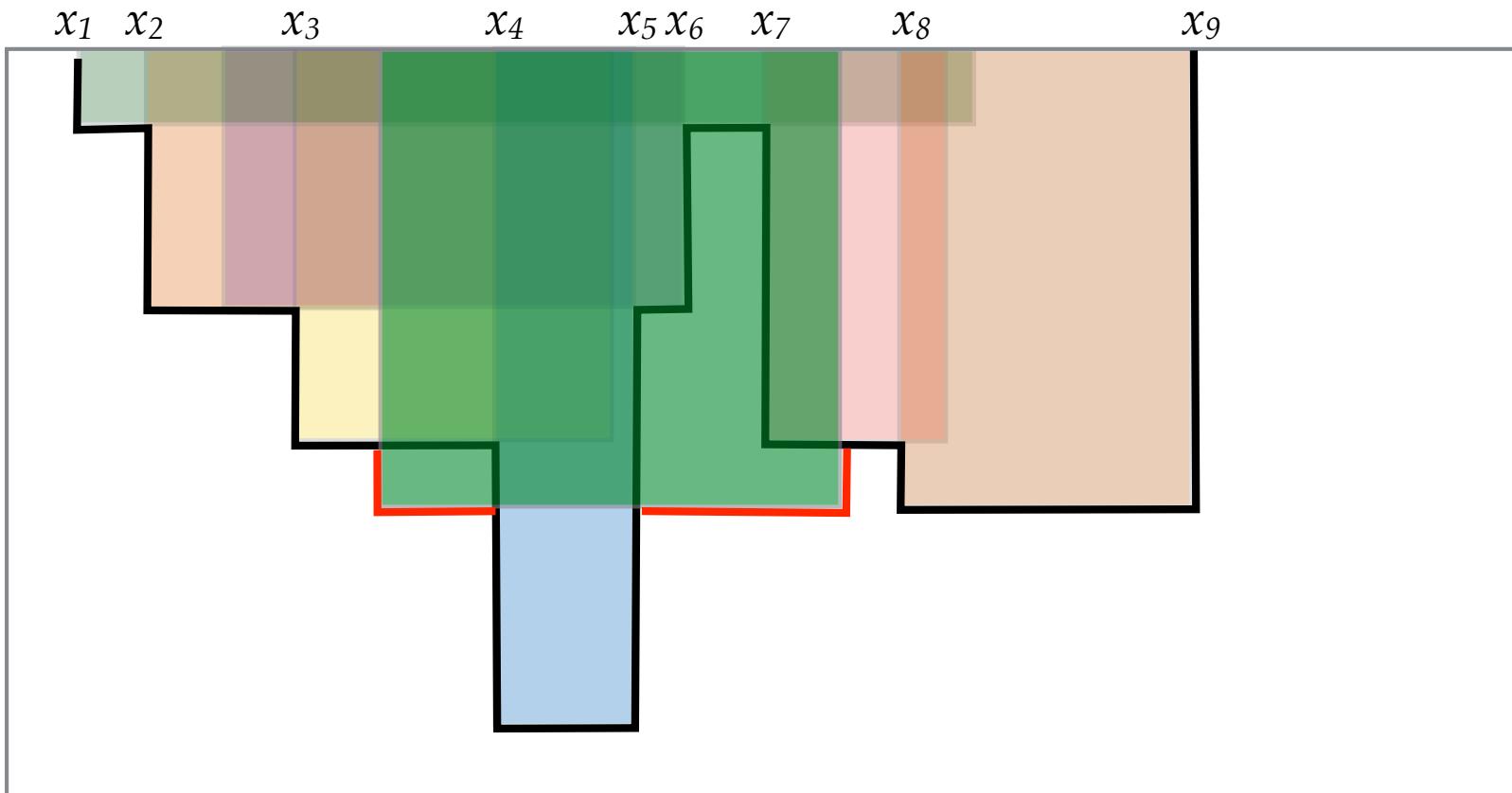
- Find the total area covered

# Simpler version



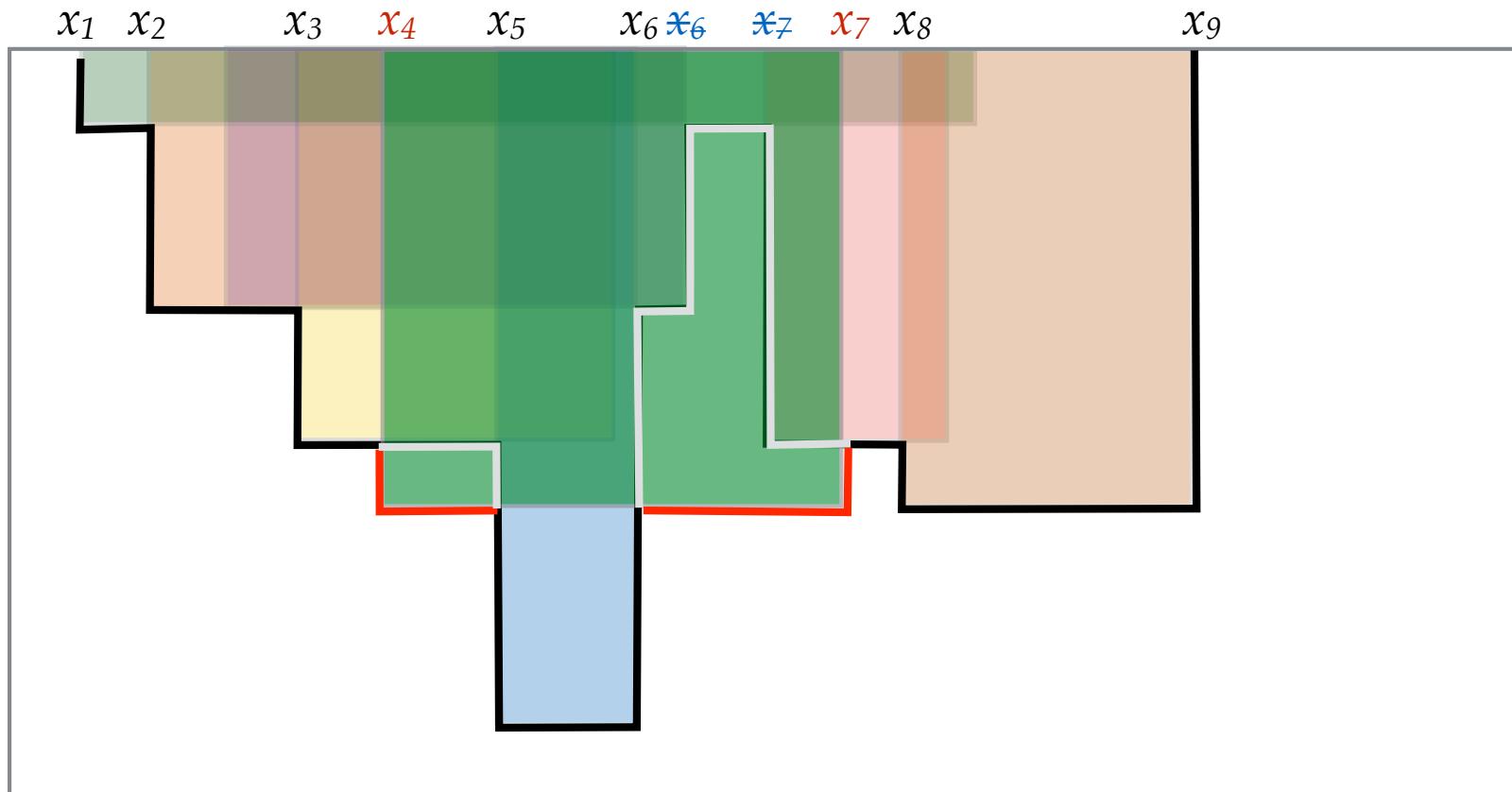
- Also known as skyline problem
- Input: For each rectangle  $(l_i, r_i, d_i)$ .
- Compute outline:  $(x_1, h_1), (x_2, h_2), (x_3, h_3), (x_4, h_4), (x_5, h_5), (x_6, h_6), (x_7, h_7), (x_8, h_8), (x_9, 0)$ ,

# $O(n^2)$ algorithm



- First solution: introduce rectangles one by one, and update the outline.
-

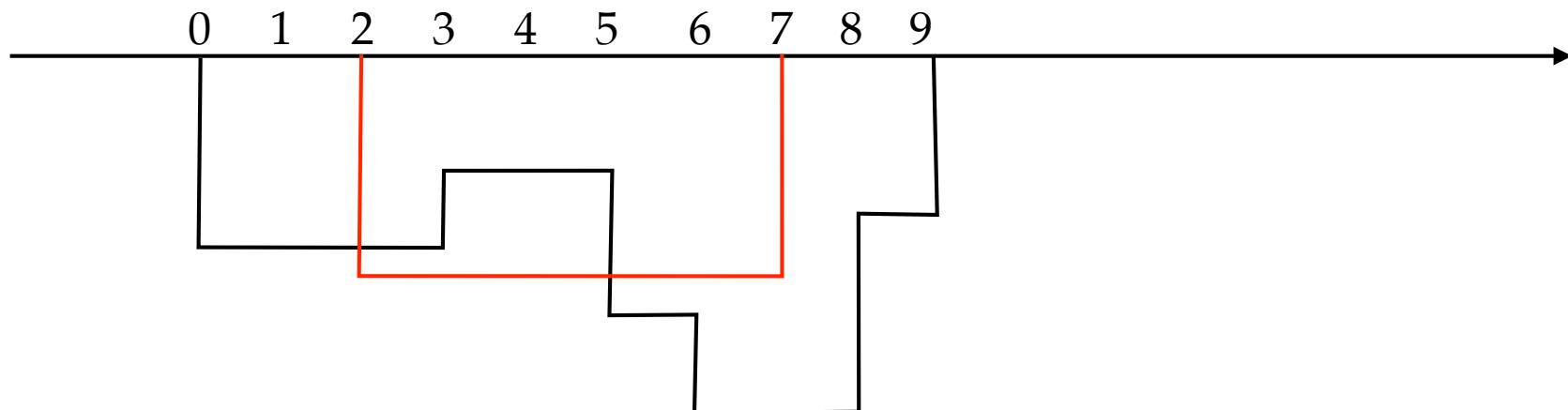
# $O(n^2)$ algorithm



- First solution: introduce rectangles one by one, and update the outline.
  - Time:  $O(n)$  per update.

# Update example

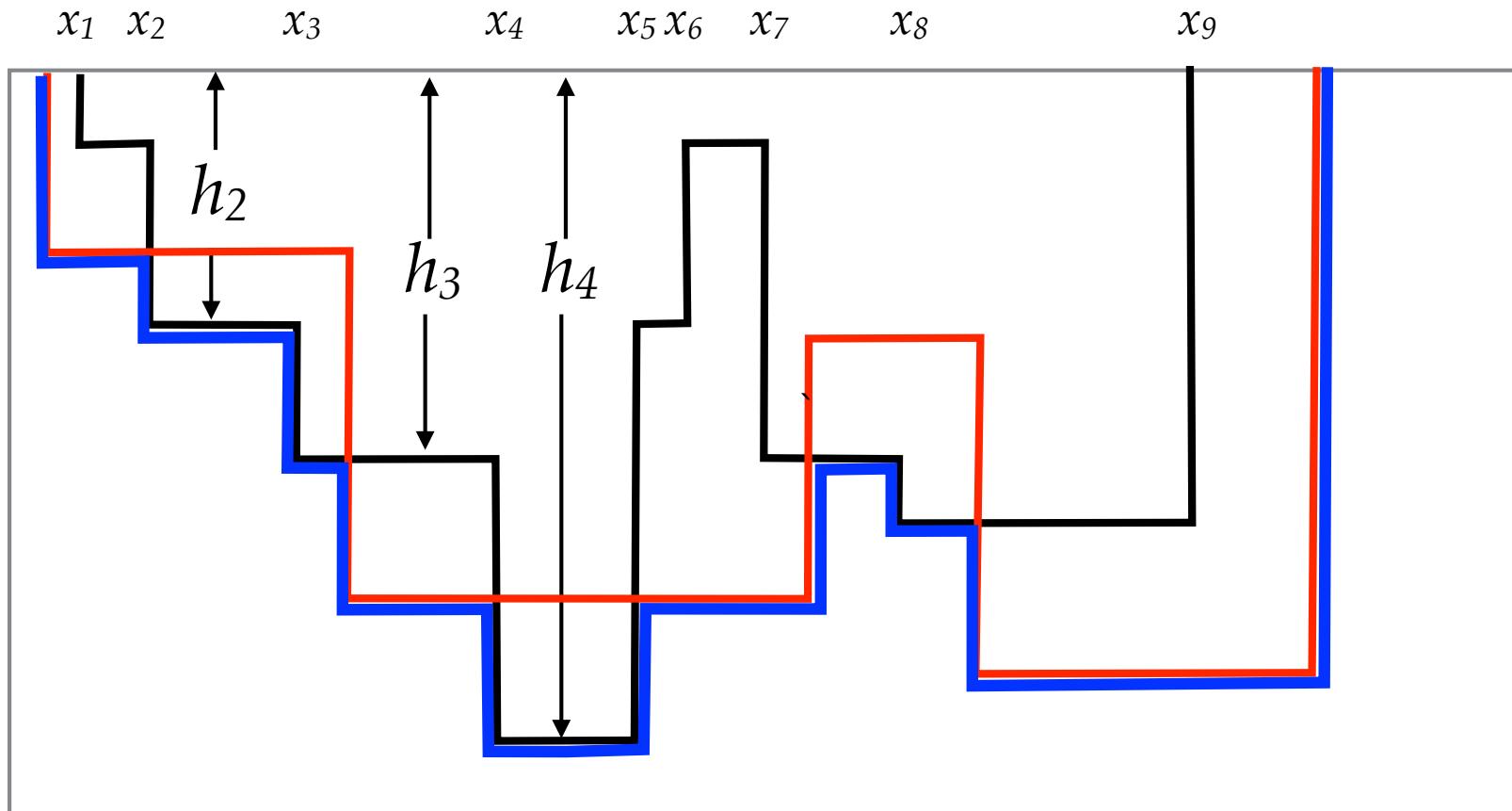
- Current outline:  $(0, 5), (3, 3), (5, 7), (6, 9), (8, 4), (9, 0)$
- Incoming rectangle:  $(2, 7, 6)$
- Updated outline:  $(0, 5), (\textcolor{red}{2, 6}), (\cancel{3, 3}), (5, 7), (6, 9), (8, 4), (9, 0)$
- Update can be done in  $O(n)$  time?



# Divide and conquer approach

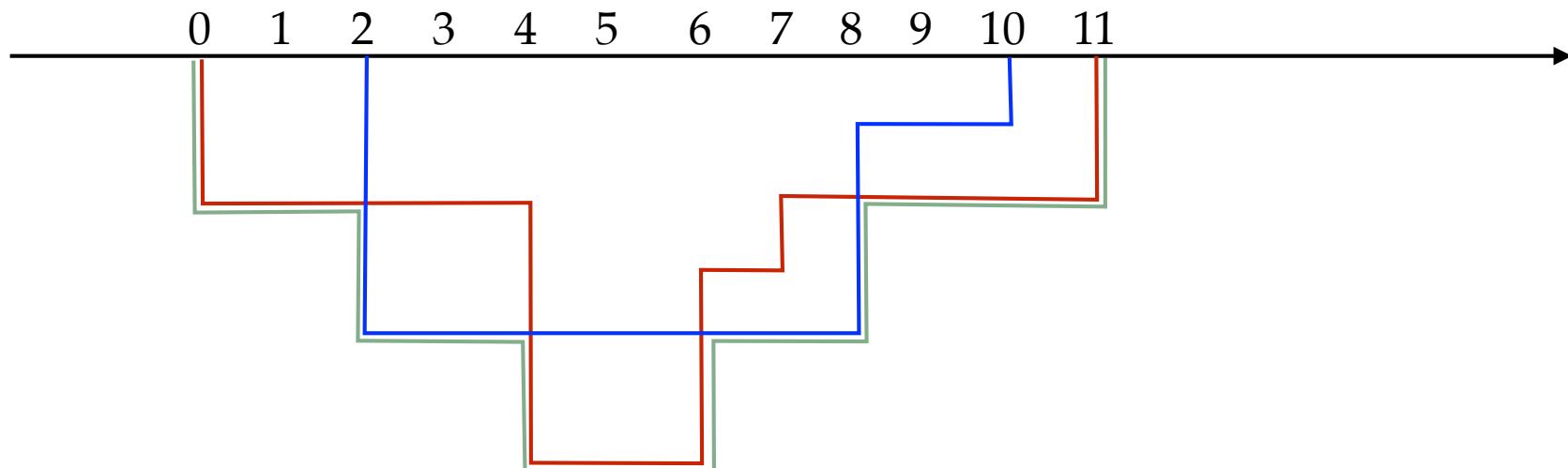
- Divide the set of rectangles into two parts with  $n/2$  rectangles each.
- Suppose we have computed the outline for each set of  $n/2$  rectangles.
- Outline 1:  $(x_1, h_1), (x_2, h_2), (x_3, h_3), \dots, (x_m, 0)$
- Outline 2:  $(a_1, p_1), (a_2, p_2), (a_3, p_3), \dots, (a_k, 0)$
- “merge” the two outlines to compute a new outline.

# Merge two outlines



# Merge two outlines

- Outline 1:  $(0, 2), (4, 6), (6, 3), (7, 2), (11, 0)$
- Outline 2:  $(2, 4), (8, 1), (10, 0)$
- Merged:  $(0, 2), (2, 4), (4, 6), (6, 4), (8, 2), (11, 0)$



# Merge two outlines

- Outline 1:  $(x_1, y_1), (x_2, y_2), (x_3, y_3), \dots, (x_m, 0)$
- Outline 2:  $(a_1, b_1), (a_2, b_2), (a_3, b_3), \dots, (a_k, 0)$
- Pointers for two queues  $i$  and  $j$ .
- Maintain the current height in each outline  
 $height\_1, height\_2$
- If  $x_i < a_j$  then
  - $height\_1 \leftarrow y_i$
  - Push  $(x_i, \max(height\_1, height\_2))$
  - $i \leftarrow i + 1$
- Else is similar
- Final clean up: remove consecutively repeating heights in the merged outline

# Alternative implementation

- Maintain the current height in each outline  
 $height\_1, height\_2$
- If  $x_i < a_j$  then
  - $height\_1 \leftarrow y_i$
  - $height\_to\_push \leftarrow \max (height\_1, height\_2)$
  - If ( $last\_pushed\_height \neq height\_to\_push$ )
    - Push ( $x_i, height\_to\_push$ )
    - $last\_pushed\_height \leftarrow height\_to\_push$
  - $i \leftarrow i + 1$
- Else is similar

# Other approaches

- Divide and conquer with respect to heights?
- Approaches without divide and conquer
- Reordering the input
  - Increasing order of left end points:  $O(n \log n)$  time implementation possible using a data structure like balanced binary tree.
  - Decreasing order of heights:  $O(n \log n)$  time implementation possible using a data structure like balanced binary tree or heap.
- $O(n \log n)$  necessary ?

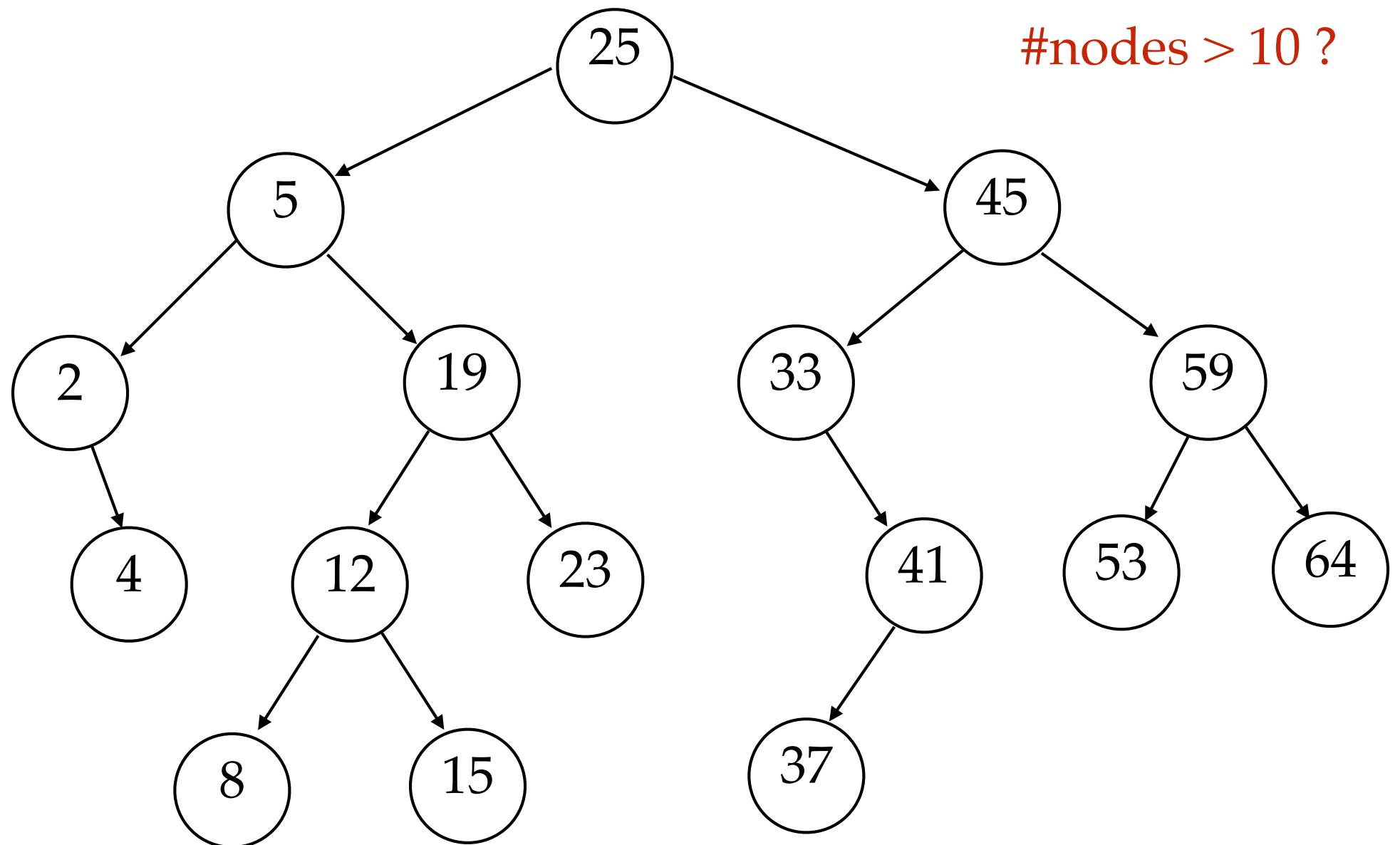
# Significant inversion

- Given an array  $A$  of integers
- a pair  $(i, j)$  is called a significant inversion if  $i < j$  and  $A[i] > 2A[j]$ .
- $O(n \log n)$  time algorithm to find the **number** of significant inversions.
- Divide and conquer will work (*Homework*).
- Alternate approach.

# Significant inversion

- a pair  $(i, j)$  is called a significant inversion if  $i < j$  and  $A[i] > 2A[j]$ .
- For any  $j$ , count how many are  $> 2A[j]$  among first  $j-1$  numbers.
- Easy to count if first  $j-1$  numbers are in a sorted array
- But to maintain sorted array we will need  $O(n)$  per insertion.
- What other data structure can be used?

# Binary search tree



#nodes > 10 ?

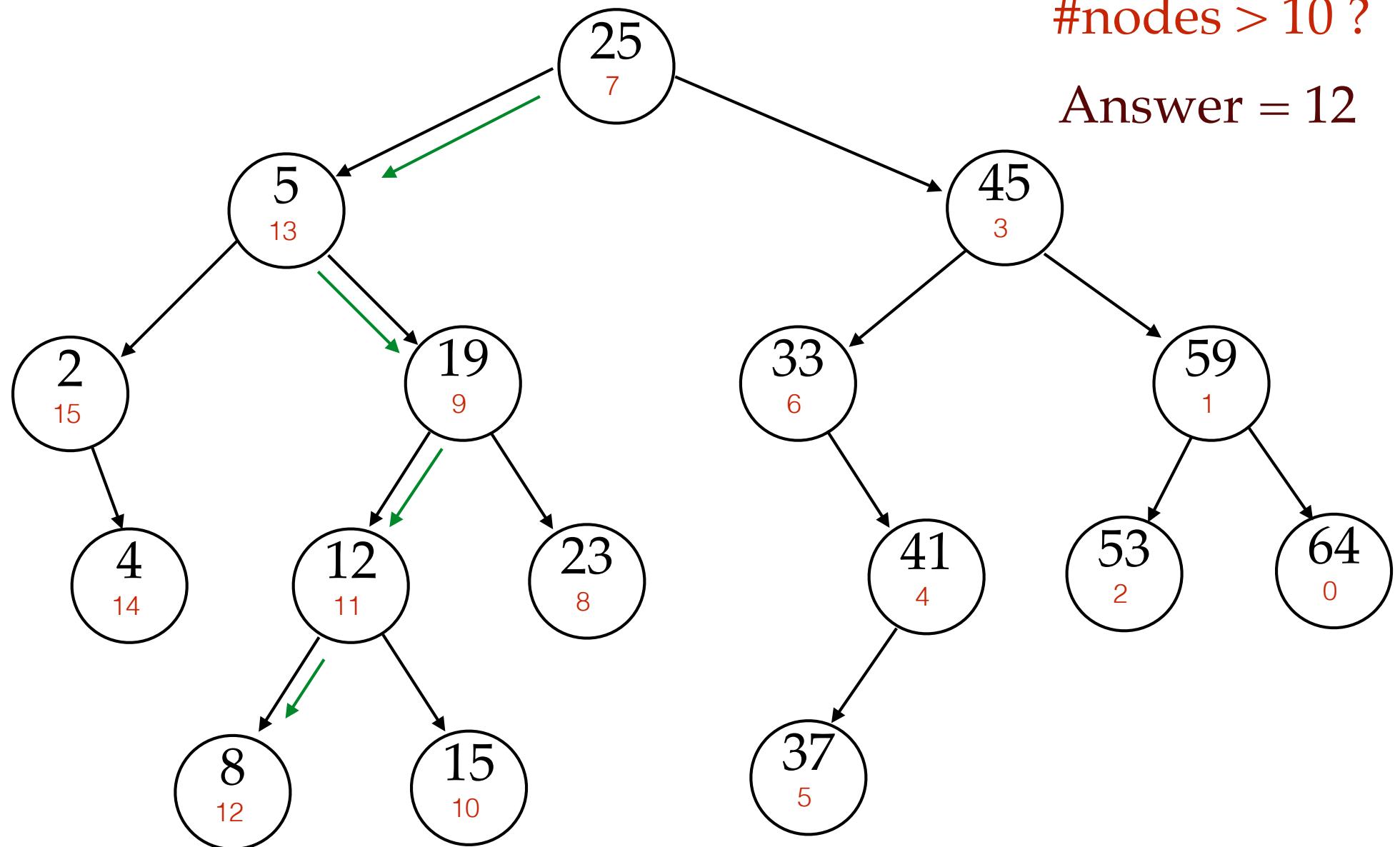
# Counting in BST

- Counting number of  $\textit{nodes} > x$  seems to take  $O(n)$  time in the standard BST.
- What if store for each node, the number of nodes greater than itself ?

Each node with number of nodes greater than itself

#nodes > 10 ?

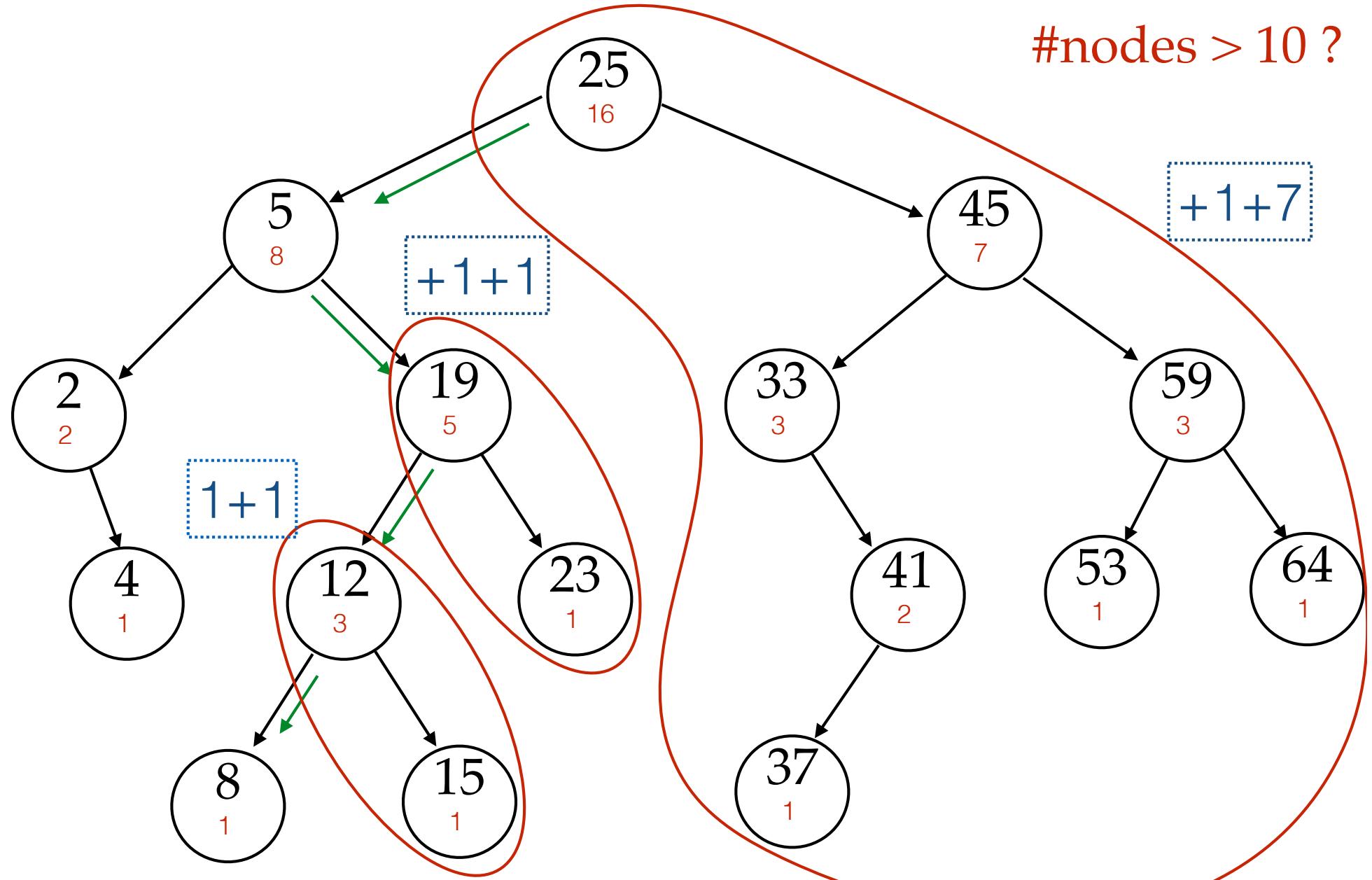
Answer = 12



# Counting in BST

- What if store for each node, the number of nodes greater than itself ?
- Too much time to update these numbers on insertion of a new element.
- Better idea?
- Store for each node  $x$ , the size of the subtree rooted at  $x$

# Each node with subtree size



# Counting in BST

- Store for each node  $x$ , the size of the subtree rooted at  $x$
- Can we maintain this information on insertion of a new element?
- Need to update the counts for all nodes on the path from the root to the new node.
- $O(\log n)$  for balanced binary tree.
- Can counts be maintained in the re-balance step?
- Can you do range count: #nodes in range  $l$  to  $r$
- Other data structures?

# Algorithm: Significant inversion

- Maintain a balanced BST. Initially empty.  
For each node  $x$ , we need to store size of the subtree rooted at  $x$ .
- $\text{no\_of\_pairs} \leftarrow 0;$
- For  $j \leftarrow 1$  to  $n$ 
  - Look for the right position  $p$  for  $2A[j]$  in the BST (no insertion).
  - For every node  $x$  on the path from  $p$  to the root:
    - If ( $x > 2A[j]$ ) then  
Add (1+ size of the subtree rooted at right child of  $x$ ) to  $\text{no\_of\_pairs}$
    - Insert  $A[j]$  in the BST and increase the size count for every node on the path from root to  $A[j]$  by 1

# Exponentiation

- Given  $a$  and  $n$ , compute  $a^n$ .
- $a \times a \times \cdots \times a \quad n \text{ times}$
- $n-1$  multiplications
- $a^{16} = (((a^2)^2)^2)^2$  only 4 multiplications
- $a^{11} = (((a^2)^2) \times a^2 \times a$  only 5 multiplications
- Repeated squaring technique

# Repeated Squaring

- $\text{Exp}(a, n)$  :
  - If  $n$  is even
    - return  $(\text{Exp}(a, n/2))^2$
  - If  $n$  is odd
    - return  $(\text{Exp}(a, (n-1)/2))^2 \times a$
  - If  $n$  is 1
    - return  $a$

Number of multiplications

$$T(n) \leq T(n/2) + 2$$

$$T(n) \leq 2 \log n$$

# Another implementation

- $\text{Exp}(a, n)$  :
  - If  $n$  is even
    - return (  $\text{Exp}(a^2, n/2)$  )
  - If  $n$  is odd
    - return (  $\text{Exp}(a^2, (n-1)/2)$  )  $\times a$
  - If  $n$  is 1
    - return  $a$

# Iterative implementation

- Input:  $a, n$

- Initialize

$a\_power\_n \leftarrow 1; \quad //$  this will be  $a^n$  at the end

$a\_two\_power \leftarrow a; \quad //$  this will be  $a^{2^i}$  after i iterations

- while ( $n > 0$ )

- If ( $n$  is odd) then  $a\_power\_n \leftarrow a\_two\_power \times a\_power\_n;$
- $a\_two\_power \leftarrow a\_two\_power \times a\_two\_power;$
- $n \leftarrow n/2 \quad //$ integer part after division by 2 //or right-shift by 1 bit

# Repeated squaring

- Does it give the minimum number of multiplications?
- What about  $a^{15}$ ?
  - can be done in 5 multiplications
- Given  $n$ , what the minimum number of multiplications required for  $a^n$ ? No easy answer.
- Can apply repeated squaring for other operations like Matrix powering.
- Apparently proposed by Pingala (200 BC ?).

# Fibonacci numbers

- $F(n) = F(n-1) + F(n-2)$
- Used by Pingala to count the number of patterns of short and long vowels.
- Here again we are repeating the same operation  $n$  times.
- Can repeated squaring be used?