

University of Michigan

# 18 Join Algorithms



Database Management Systems  
EECS 484  
Fall 2024



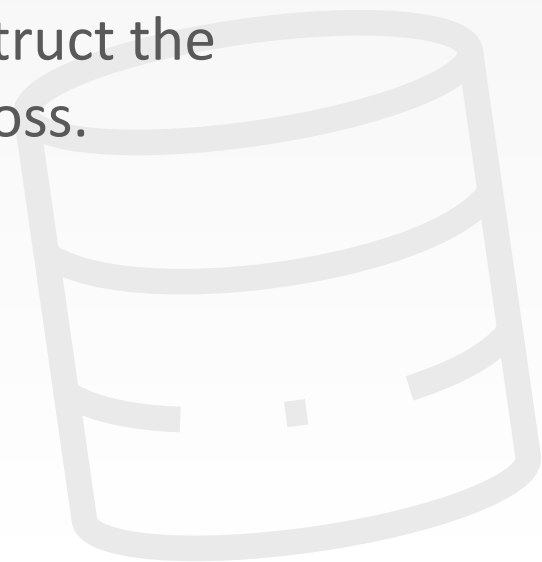
Lin Ma  
Computer Science and  
Engineering Division

## WHY DO WE NEED TO JOIN?

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We normalize tables in a relational database to avoid unnecessary repetition of information.

We then use the **join operator** to reconstruct the original tuples without any information loss.



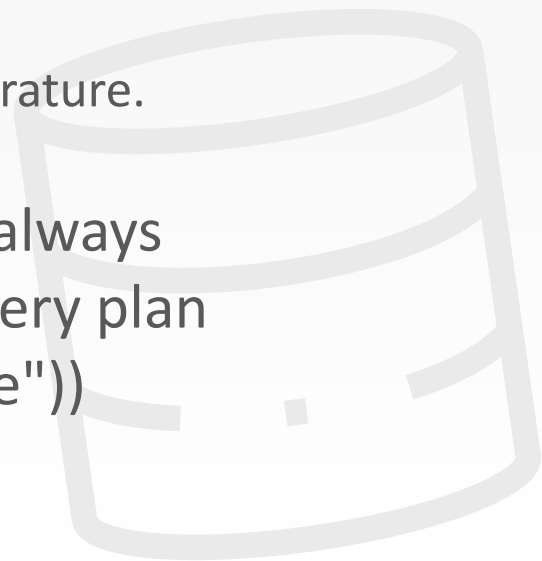
# JOIN ALGORITHMS

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We will focus on performing binary joins (two tables) using **inner equijoin** algorithms.

- These algorithms can be tweaked to support other joins.
- Multi-way joins exist primarily in research literature.

In general, we want the smaller table to always be the left table ("outer table") in the query plan (compared to the right table ("inner table"))



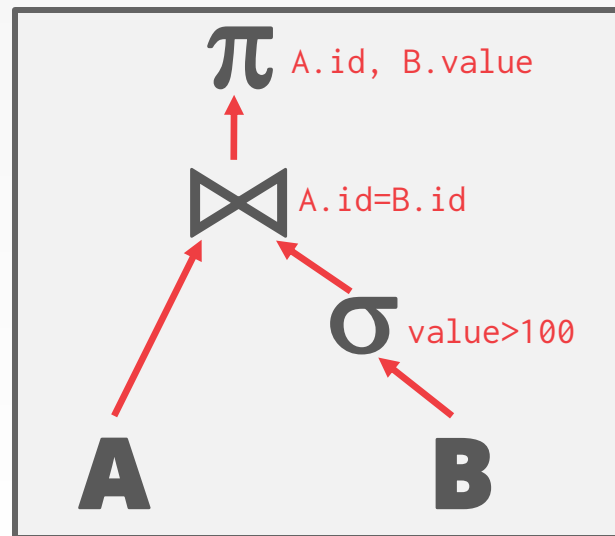
# QUERY PLAN

The operators are arranged in a tree.

Data flows from the leaves of the tree up towards the root.

The output of the root node is the result of the query.

```
SELECT A.id, B.value  
FROM A, B  
WHERE A.id = B.id  
AND B.value > 100
```



# JOIN OPERATORS

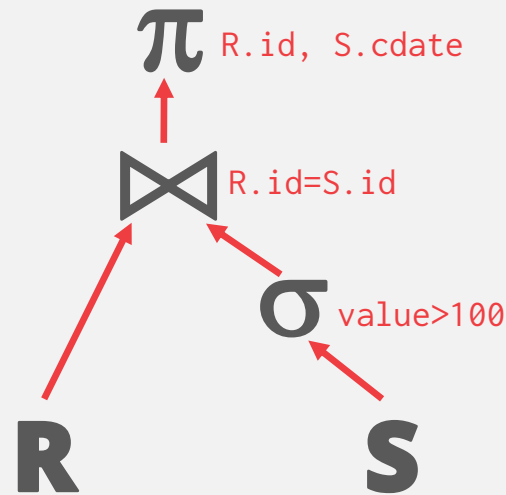
## Decision #1: Output

→ What data does the join operator emit to its parent operator in the query plan tree?

## Decision #2: Cost Analysis Criteria

→ How do we determine whether one join algorithm is better than another?

```
SELECT R.id, S.cdate  
FROM R JOIN S  
ON R.id = S.id  
WHERE S.value > 100
```



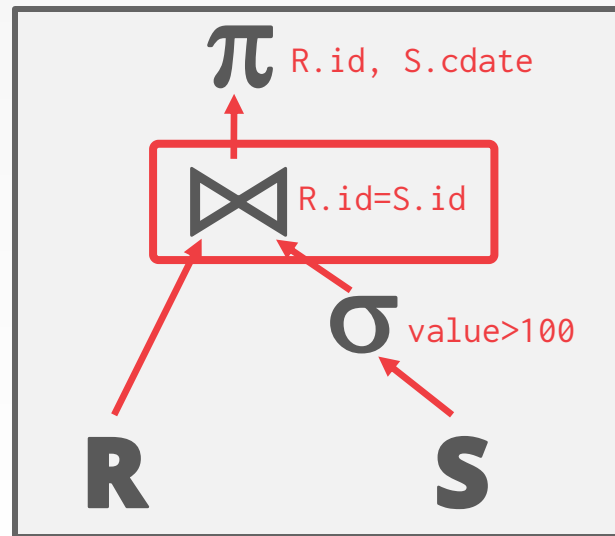
## OPERATOR OUTPUT

For tuple  $r \in R$  and tuple  $s \in S$  that match on join attributes, concatenate  $r$  and  $s$  together into a new tuple.

Output contents can vary:

- Depends on processing model
- Depends on storage model
- Depends on data requirements in query

```
SELECT R.id, S.cdate  
FROM R JOIN S  
ON R.id = S.id  
WHERE S.value > 100
```



# OPERATOR OUTPUT: DATA

## Early Materialization:

→ Copy the values for the attributes in outer and inner tuples into a new output tuple.

```
SELECT R.id, S.cdate  
FROM R JOIN S  
ON R.id = S.id  
WHERE S.value > 100
```



# OPERATOR OUTPUT: DATA

## Early Materialization:

→ Copy the values for the attributes in outer and inner tuples into a new output tuple.

```
SELECT R.id, S.cdate  
FROM R JOIN S  
ON R.id = S.id  
WHERE S.value > 100
```

R(id,name)

id	name
123	abc

S(id,value,cdatetime)

id	value	cdatetime
123	1000	11/21/2024
123	2000	11/21/2024



# OPERATOR OUTPUT: DATA

## Early Materialization:

→ Copy the values for the attributes in outer and inner tuples into a new output tuple.

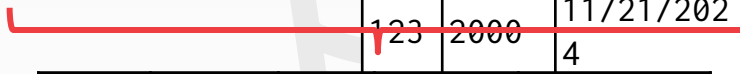
```
SELECT R.id, S.cdate
FROM R JOIN S
ON R.id = S.id
WHERE S.value > 100
```

**R(id,name)**      **S(id,value,cdatetime)**

id	name
123	abc



id	value	cdatetime
123	1000	11/21/2024
123	2000	11/21/2024



R.id	R.name	S.id	S.value	S.cdate
123	abc	123	1000	11/21/2024
123	abc	123	2000	11/21/2024

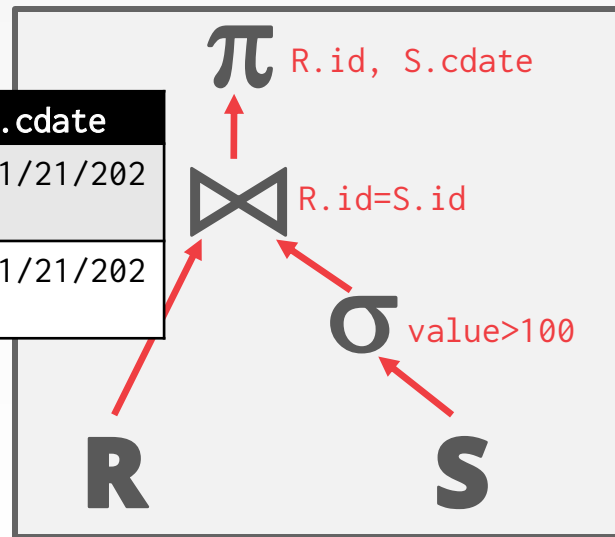
# OPERATOR OUTPUT: DATA

## Early Materialization:

→ Copy the values for the attributes in outer and inner tuples into a new output tuple.

R.id	R.name	S.id	S.value	S.cdate
123	abc	123	1000	11/21/2024
123	abc	123	2000	11/21/2024

```
SELECT R.id, S.cdate  
FROM R JOIN S  
ON R.id = S.id  
WHERE S.value > 100
```



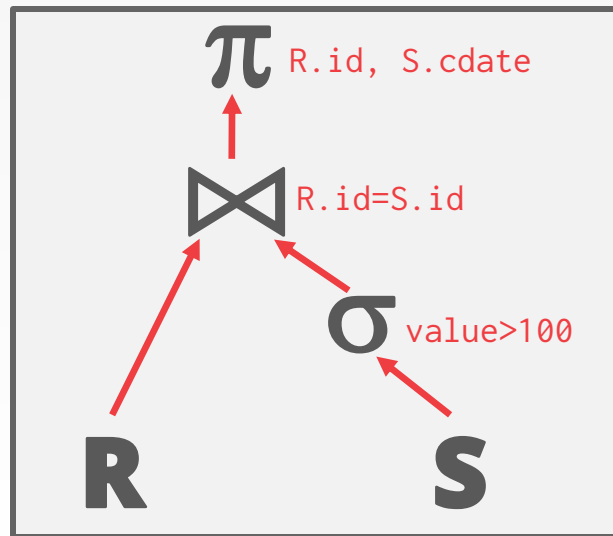
## OPERATOR OUTPUT: DATA

### Early Materialization:

→ Copy the values for the attributes in outer and inner tuples into a new output tuple.

Subsequent operators in the query plan never need to go back to the base tables to get more data.

```
SELECT R.id, S.cdate  
FROM R JOIN S  
ON R.id = S.id  
WHERE S.value > 100
```



# OPERATOR OUTPUT: RECORD IDS

## Late Materialization:

→ Only copy the joins keys along with the Record IDs of the matching tuples.

```
SELECT R.id, S.cdate  
FROM R JOIN S  
ON R.id = S.id  
WHERE S.value > 100
```

R(id,name)

id	name
123	abc

S(id,value,cdate)

id	value	cdate
123	1000	11/21/2024
123	2000	11/21/2024

# OPERATOR OUTPUT: RECORD IDS

## Late Materialization:

→ Only copy the joins keys along with the Record IDs of the matching tuples.

```
SELECT R.id, S.cdate
FROM R JOIN S
ON R.id = S.id
WHERE S.value > 100
```

**R(id,name)**      **S(id,value,cdatetime)**

id	name		id	value	cdatetime
123	abc	⋈	123	1000	11/21/2024
			123	2000	11/21/2024

R.id	R.RID	S.id	S.RID
123	R.###	123	S.###
123	R.###	123	S.###

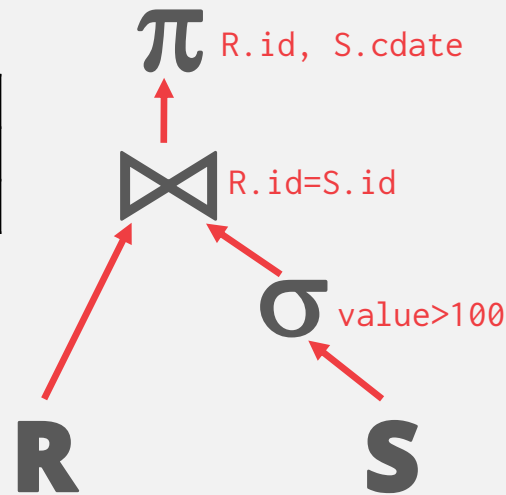
# OPERATOR OUTPUT: RECORD IDS

## Late Materialization:

→ Only copy the joins keys along with the Record IDs of the matching tuples.

R.id	R.RID	S.id	S.RID
123	R.###	123	S.###
123	R.###	123	S.###

```
SELECT R.id, S.cdate  
FROM R JOIN S  
ON R.id = S.id  
WHERE S.value > 100
```



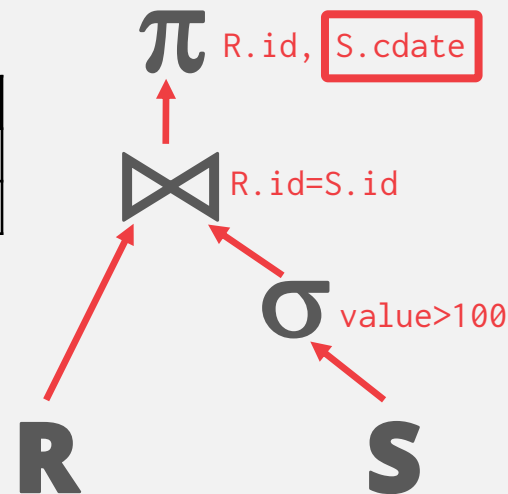
# OPERATOR OUTPUT: RECORD IDS

## Late Materialization:

→ Only copy the joins keys along with the Record IDs of the matching tuples.

R.id	R.RID	S.id	S.RID
123	R.###	123	S.###
123	R.###	123	S.###

```
SELECT R.id, S.cdate  
FROM R JOIN S  
ON R.id = S.id  
WHERE S.value > 100
```



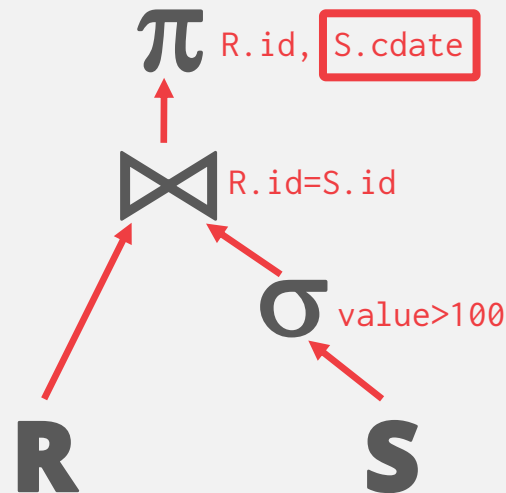
## OPERATOR OUTPUT: RECORD IDS

### Late Materialization:

→ Only copy the joins keys along with the Record IDs of the matching tuples.

Ideal for column stores because the DBMS does not copy data that is not needed for the query.

```
SELECT R.id, S.cdate  
FROM R JOIN S  
ON R.id = S.id  
WHERE S.value > 100
```





# COST ANALYSIS CRITERIA

Assume:

- ***M*** pages in table ***R***, ***m*** tuples in ***R***
- ***N*** pages in table ***S***, ***n*** tuples in ***S***

```
SELECT R.id, S.cdate  
FROM R JOIN S  
      ON R.id = S.id  
WHERE S.value > 100
```

**Cost Metric: # of IOs to compute join**

We will ignore output costs since that depends on the data and we cannot compute that yet.



## JOIN VS CROSS-PRODUCT

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$R \bowtie S$  is the most common operation and thus must be carefully optimized.

$R \times S$  followed by a selection is inefficient because the cross-product is large.

There are many algorithms for reducing join cost, but no algorithm works well in all scenarios.



# JOIN ALGORITHMS

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## Nested Loop Join

- Simple / Stupid
- Block
- Index

## Sort-Merge Join

## Hash Join



# NESTED LOOP JOIN

```
foreach tuple r ∈ R:  
  foreach tuple s ∈ S:  
    emit, if r and s match
```

**R(id,name)**

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024



# NESTED LOOP JOIN

```
foreach tuple  $r \in R$ :  $\leftarrow$  Outer  
  foreach tuple  $s \in S$ :  $\leftarrow$  Inner  
    emit, if  $r$  and  $s$  match
```

**R(id,name)**

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

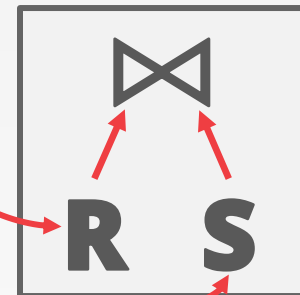
**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024



# NESTED LOOP JOIN

```
foreach tuple  $r \in R$ : Outer  
  foreach tuple  $s \in S$ : Inner  
    emit, if  $r$  and  $s$  match
```



**R(id,name)**

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
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400	Raekwon

**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024

# STUPID NESTED LOOP JOIN

Why is this algorithm stupid?

→ For every tuple in **R**, it scans **S** once

**R(id,name)**

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
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100	9999	11/21/2024



# STUPID NESTED LOOP JOIN

Why is this algorithm stupid?

→ For every tuple in **R**, it scans **S** once

**Cost:  $M + (m \cdot N)$**

**$M$**  pages  
 **$m$**  tuples

**R(id,name)**

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024

**$N$**  pages  
 **$n$**  tuples



# STUPID NESTED LOOP JOIN

---

Example database:

→ **Table R**:  $M = 1000$ ,  $m = 100,000$

→ **Table S**:  $N = 500$ ,  $n = 40,000$



# STUPID NESTED LOOP JOIN

---

Example database:

→ **Table R**:  $M = 1000$ ,  $m = 100,000$

→ **Table S**:  $N = 500$ ,  $n = 40,000$

Cost Analysis:

→  $M + (m \cdot N) = 1000 + (100000 \cdot 500) = 50,001,000$  IOs

→ At 0.1 ms/IO, Total time  $\approx$  1.3 hours



# STUPID NESTED LOOP JOIN

---

Example database:

→ **Table R**:  $M = 1000$ ,  $m = 100,000$

→ **Table S**:  $N = 500$ ,  $n = 40,000$

Cost Analysis:

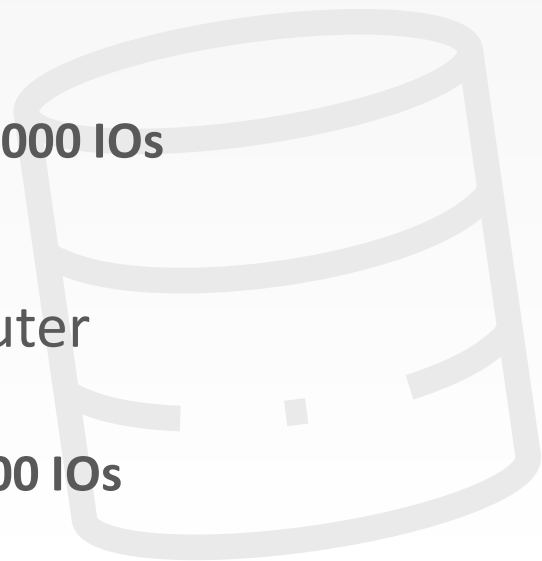
→  $M + (m \cdot N) = 1000 + (100000 \cdot 500) = 50,001,000$  IOs

→ At 0.1 ms/IO, Total time  $\approx$  1.3 hours

What if smaller table (**S**) is used as the outer table?

→  $N + (n \cdot M) = 500 + (40000 \cdot 1000) = 40,000,500$  IOs

→ At 0.1 ms/IO, Total time  $\approx$  1.1 hours



## STUPID NESTED LOOP JOIN

Example database:

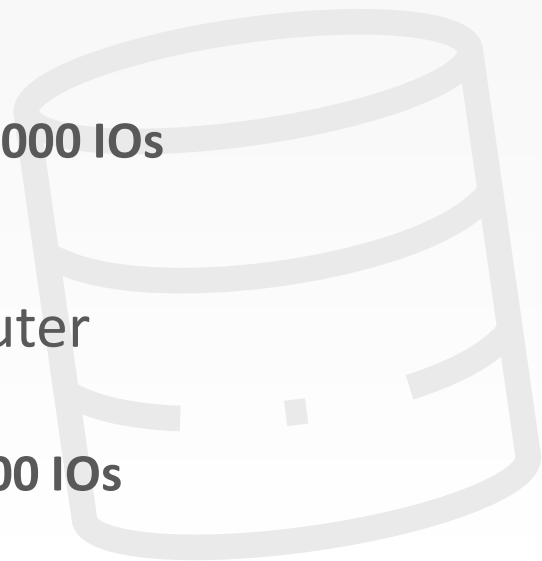
- Table **R**:  $M = 1000$ ,  $m = 100,000$
  - Table **S**:  $N = 500$ ,  $n = 40,000$
- 4 KB pages → 6 MB**

Cost Analysis:

- $M + (m \cdot N) = 1000 + (100000 \cdot 500) = 50,001,000$  IOs
- At 0.1 ms/IO, Total time  $\approx$  1.3 hours

What if smaller table (**S**) is used as the outer table?

- $N + (n \cdot M) = 500 + (40000 \cdot 1000) = 40,000,500$  IOs
- At 0.1 ms/IO, Total time  $\approx$  1.1 hours



# BLOCK NESTED LOOP JOIN

```

foreach block  $B_R \in R$ :
  foreach block  $B_S \in S$ :
    foreach tuple  $r \in B_R$ :
      foreach tuple  $s \in B_S$ :
        emit, if  $r$  and  $s$  match
  
```

$R(id, name)$

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

$M$  pages  
 $m$  tuples

$S(id, value, cdate)$

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024

$N$  pages  
 $n$  tuples

# BLOCK NESTED LOOP JOIN

This algorithm performs fewer disk accesses.

→ For every block in **R**, it scans **S** once.

**Cost:  $M + (M \cdot N)$**

**$M$**  pages  
 **$m$**  tuples

**R(id,name)**

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024

**$N$**  pages  
 **$n$**  tuples

# BLOCK NESTED LOOP JOIN

The smaller table should be the outer table.

We determine size based on the number of pages, not the number of tuples.

***M*** pages  
***m*** tuples

**R(id,name)**

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024

***N*** pages  
***n*** tuples

# BLOCK NESTED LOOP JOIN

---

Example database:

→ **Table R**:  $M = 1000$ ,  $m = 100,000$

→ **Table S**:  $N = 500$ ,  $n = 40,000$

Cost Analysis:

→  $M + (M \cdot N) = 1000 + (1000 \cdot 500) = 501,000$  IOs

→ At 0.1 ms/IO, Total time  $\approx 50$  seconds





# BLOCK NESTED LOOP JOIN

What if we have **B** buffers available?

- Use **B-2** buffers for scanning the outer table.
- Use one buffer for the inner table, one buffer for storing output.

**M** pages  
**m** tuples

**R(id,name)**

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024

**N** pages  
**n** tuples

# BLOCK NESTED LOOP JOIN

```

foreach  $B - 2$  blocks  $b_R \in R$ :
  foreach block  $b_S \in S$ :
    foreach tuple  $r \in B - 2$  blocks:
      foreach tuple  $s \in b_S$ :
        emit, if  $r$  and  $s$  match
  
```

$R(id, name)$

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

$M$  pages  
 $m$  tuples

$S(id, value, cdate)$

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024

$N$  pages  
 $n$  tuples

## BLOCK NESTED LOOP JOIN

---

This algorithm uses  **$B-2$**  buffers for scanning  **$R$** .

**Cost:  $M + (\lceil M / (B-2) \rceil \cdot N)$**



## BLOCK NESTED LOOP JOIN

---

This algorithm uses  **$B-2$**  buffers for scanning  **$R$** .

**Cost:  $M + (\lceil M / (B-2) \rceil \cdot N)$**

What if the outer relation completely fits in memory ( **$B \geq M+2$** )?



## BLOCK NESTED LOOP JOIN

---

This algorithm uses  **$B-2$**  buffers for scanning  **$R$** .

**Cost:  $M + (\lceil M / (B-2) \rceil \cdot N)$**

What if the outer relation completely fits in memory ( **$B \geq M+2$** )?

→ **Cost:  $M + N = 1000 + 500 = 1500$  IOs**

→ At 0.1ms/IO, Total time  $\approx 0.15$  seconds



# NESTED LOOP JOIN

---

Why is the basic nested loop join so bad?

→ For each tuple in the outer table, we must do a sequential scan to check for a match in the inner table.



# NESTED LOOP JOIN

---

Why is the basic nested loop join so bad?

→ For each tuple in the outer table, we must do a sequential scan to check for a match in the inner table.

We can avoid sequential scans by using an index to find inner table matches.

→ Use an existing index for the join.



# INDEX NESTED LOOP JOIN

```
foreach tuple  $r \in R$ :
  foreach tuple  $s \in \text{Index}(r_i = s_j)$ :
    emit, if  $r$  and  $s$  match
```

$M$  pages  
 $m$  tuples

$R(\text{id}, \text{name})$

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

$S(\text{id}, \text{value}, \text{cdate})$

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024

$\text{Index}(S.\text{id})$

$N$  pages  
 $n$  tuples



# INDEX NESTED LOOP JOIN

Assume the cost of each index probe is some constant **C** per tuple.

Cost:  $M + (m \cdot C)$

**M** pages  
**m** tuples

R(id,name)

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

S(id,value,cdate)

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024

Index(S.id)

**N** pages  
**n** tuples

# NESTED LOOP JOIN: SUMMARY

---

## Key Takeaways

- Pick the smaller table as the outer table.
- Buffer as much of the outer table in memory as possible.
- Loop over the inner table (or use an index).

## Algorithms

- Simple / Stupid
- Block
- Index



# SORT-MERGE JOIN

---

## Phase #1: Sort

- Sort both tables on the join key(s).
- We can use the external merge sort algorithm that we talked about last class.

## Phase #2: Merge

- Step through the two sorted tables with cursors and emit matching tuples.
- May need to backtrack depending on the join type.



# SORT-MERGE JOIN

```
sort R, S on join keys
cursorR ← Rsorted, cursorS ← Ssorted
while cursorR and cursorS:
    if cursorR > cursorS:
        increment cursorS
    if cursorR < cursorS:
        increment cursorR
    elif cursorR and cursorS match:
        emit
        increment cursorS
```



# SORT-MERGE JOIN

**R(id,name)**

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
200	GZA
400	Raekwon

**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024
200	8888	11/21/2024

```
SELECT R.id, S.cdate  
FROM R JOIN S  
      ON R.id = S.id  
WHERE S.value > 100
```



# SORT-MERGE JOIN

**R(id,name)**

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
200	GZA
400	Raekwon

  
**Sort!**

**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
500	7777	11/21/2024
400	6666	11/21/2024
100	9999	11/21/2024
200	8888	11/21/2024

  
**Sort!**

```
SELECT R.id, S.cdate  
FROM R JOIN S  
      ON R.id = S.id  
WHERE S.value > 100
```



# SORT-MERGE JOIN

R(id,name)

id	name
100	Andy
200	GZA
200	GZA
300	ODB
400	Raekwon
500	RZA
600	MethodMan
700	Ghostface

↑  
**Sort!**

S(id,value,cdate)

id	value	cdate
100	2222	11/21/2024
100	9999	11/21/2024
200	8888	11/21/2024
400	6666	11/21/2024
500	7777	11/21/2024

↑  
**Sort!**

```
SELECT R.id, S.cdate
FROM R JOIN S
      ON R.id = S.id
WHERE S.value > 100
```



# SORT-MERGE JOIN

**R(id,name)**

id	name
100	Andy
200	GZA
200	GZA
300	ODB
400	Raekwon
500	RZA
600	MethodMan
700	Ghostface

**S(id,value,cdate)**

id	value	cdate
100	2222	11/21/2024
100	9999	11/21/2024
200	8888	11/21/2024
400	6666	11/21/2024
500	7777	11/21/2024

```
SELECT R.id, S.cdate  
FROM R JOIN S  
      ON R.id = S.id  
WHERE S.value > 100
```





# SORT-MERGE JOIN

**R(id,name)**



id	name
100	Andy
200	GZA
200	GZA
300	ODB
400	Raekwon
500	RZA
600	MethodMan
700	Ghostface

**S(id,value,cdate)**




id	value	cdate
100	2222	11/21/2024
100	9999	11/21/2024
200	8888	11/21/2024
400	6666	11/21/2024
500	7777	11/21/2024

```
SELECT R.id, S.cdate  
FROM R JOIN S  
      ON R.id = S.id  
WHERE S.value > 100
```




# SORT-MERGE JOIN

R(id,name)



id	name
100	Andy
200	GZA
200	GZA
300	ODB
400	Raekwon
500	RZA
600	MethodMan
700	Ghostface

S(id,value,cdate)




id	value	cdate
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R(id,name)



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400	Raekwon
500	RZA
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700	Ghostface

S(id,value,cdate)



id	value	cdate
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SELECT R.id, S.cdate
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```

Output Buffer

R.id	R.name	S.id	S.value	S.cdate
100	Andy	100	2222	11/21/2024


# SORT-MERGE JOIN

R(id,name)



id	name
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Output Buffer

R.id	R.name	S.id	S.value	S.cdate
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R(id,name)



id	name
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Output Buffer

R.id	R.name	S.id	S.value	S.cdate
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100	Andy	100	9999	11/21/2024


# SORT-MERGE JOIN

**R(id,name)**



id	name
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R.id	R.name	S.id	S.value	S.cdate
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# SORT-MERGE JOIN

**R(id,name)**



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R.id	R.name	S.id	S.value	S.cdate
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# SORT-MERGE JOIN

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200	GZA	200	8888	11/21/2024




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# SORT-MERGE JOIN

**R(id,name)**

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# SORT-MERGE JOIN

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200	GZA	200	8888	11/21/2024
400	Raekwon	200	6666	11/21/2024
500	RZA	500	7777	11/21/2024

## SORT-MERGE JOIN

---

Sort Cost (**R**):  $2M \cdot (1 + \lceil \log_{B-1} [M / B] \rceil)$

Sort Cost (**S**):  $2N \cdot (1 + \lceil \log_{B-1} [N / B] \rceil)$

Merge Cost:  $(M + N)$

**Total Cost: Sort + Merge**



# SORT-MERGE JOIN

---

Example database:

→ **Table R**:  $M = 1000$ ,  $m = 100,000$

→ **Table S**:  $N = 500$ ,  $n = 40,000$

With  $B=100$  buffer pages, both **R** and **S** can be sorted in two passes:

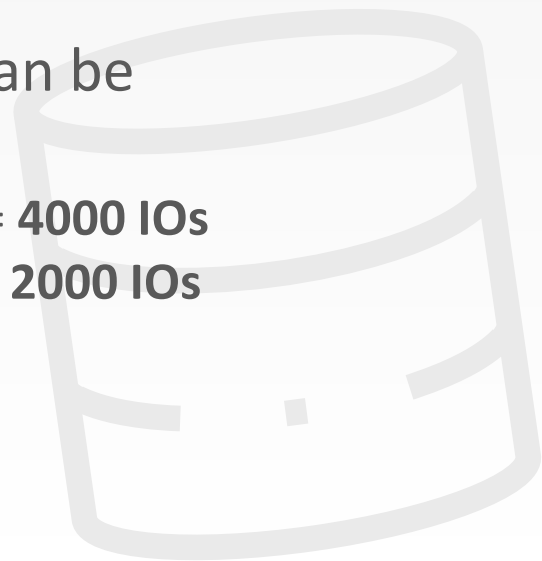
→ Sort Cost (**R**) =  $2000 \cdot (1 + \lceil \log_{99} 1000 / 100 \rceil) = 4000$  IOs

→ Sort Cost (**S**) =  $1000 \cdot (1 + \lceil \log_{99} 500 / 100 \rceil) = 2000$  IOs

→ Merge Cost =  $(1000 + 500) = 1500$  IOs

→ Total Cost =  $4000 + 2000 + 1500 = 7500$  IOs

→ At 0.1 ms/IO, Total time  $\approx 0.75$  seconds



## SORT-MERGE JOIN

---

The worst case for the merging phase is when the join attribute of all the tuples in both relations contains the same value.

**Cost:  $(M \cdot N) + (\text{sort cost})$**





## WHEN IS SORT-MERGE JOIN USEFUL?

---

One or both tables are already sorted on join key.  
Output must be sorted on join key.



## HASH JOIN

---

If tuple  $\mathbf{r} \in \mathbf{R}$  and a tuple  $\mathbf{s} \in \mathbf{S}$  satisfy the join condition, then they have the same value for the join attributes.

If that value is hashed to some partition  $\mathbf{i}$ , the  $\mathbf{R}$  tuple must be in  $\mathbf{r}_i$  and the  $\mathbf{S}$  tuple in  $\mathbf{s}_i$ .

Therefore,  $\mathbf{R}$  tuples in  $\mathbf{r}_i$  need only to be compared with  $\mathbf{S}$  tuples in  $\mathbf{s}_i$ .



# BASIC HASH JOIN ALGORITHM

---

## Phase #1: Build

- Scan the outer relation and populate a hash table using the hash function  $h_1$  on the join attributes.

## Phase #2: Probe

- Scan the inner relation and use  $h_1$  on each tuple to jump to a location in the hash table and find a matching tuple.



# BASIC HASH JOIN ALGORITHM

```
build hash table  $HT_R$  for  $R$   
foreach tuple  $s \in S$   
  output, if  $h_1(s) \in HT_R$ 
```

$R(id, name)$

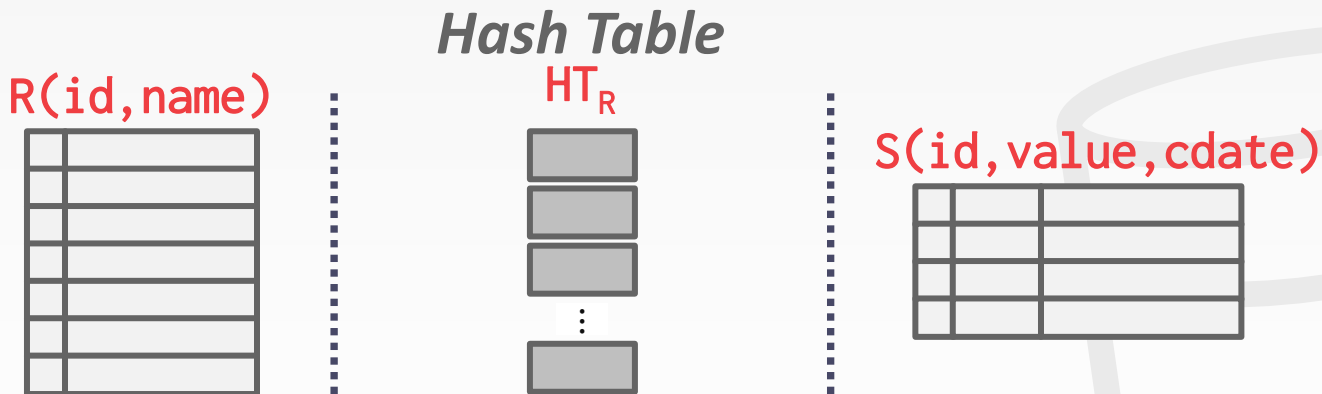

.....

$S(id, value, cdate)$


.....

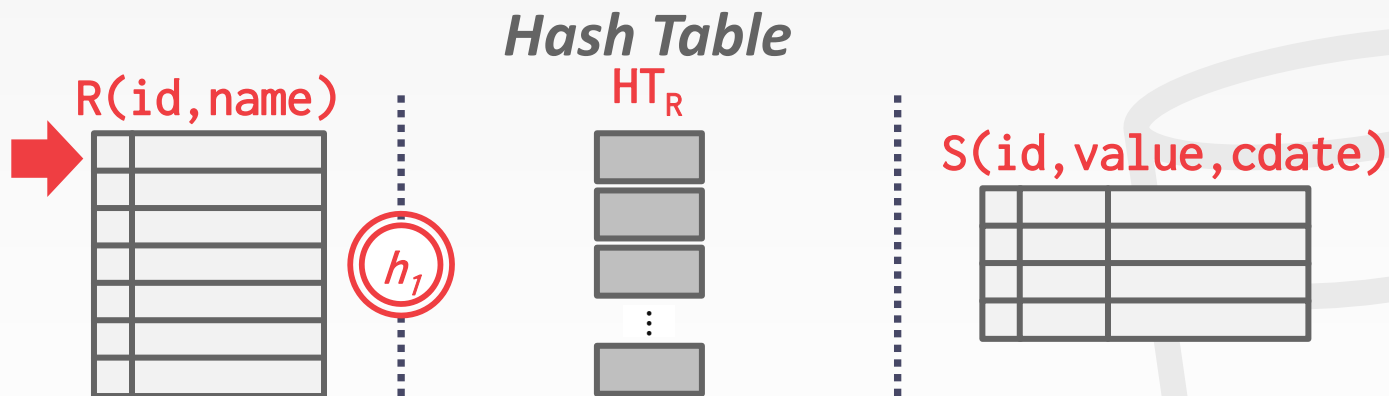
# BASIC HASH JOIN ALGORITHM

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build hash table  $HT_R$  for  $R$   
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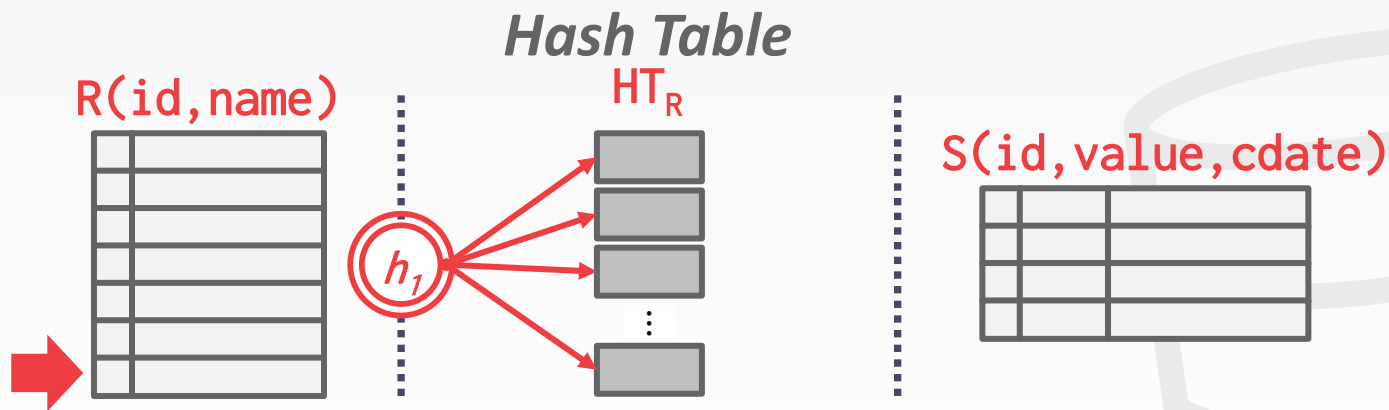
# BASIC HASH JOIN ALGORITHM

```
build hash table  $HT_R$  for  $R$   
foreach tuple  $s \in S$   
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```



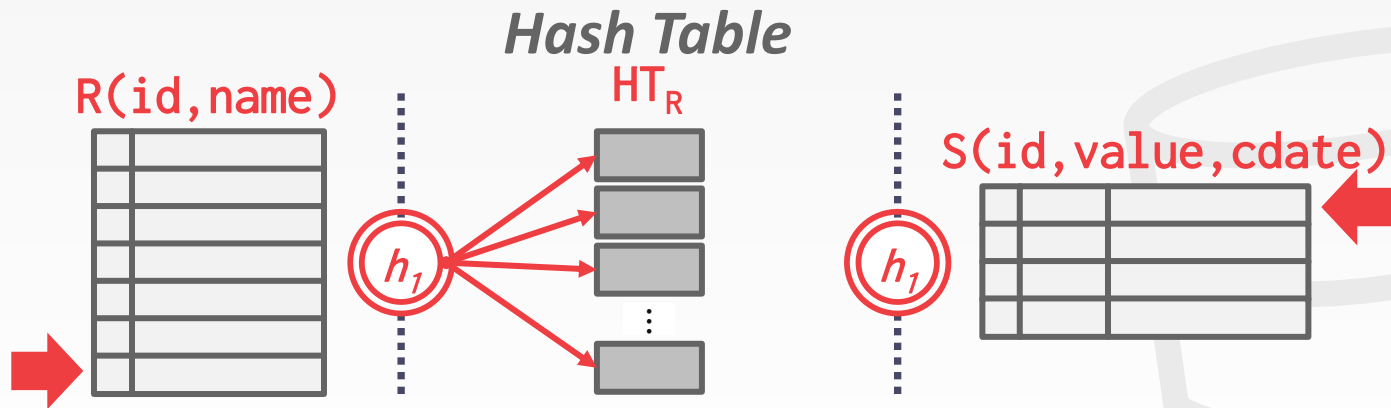
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# BASIC HASH JOIN ALGORITHM

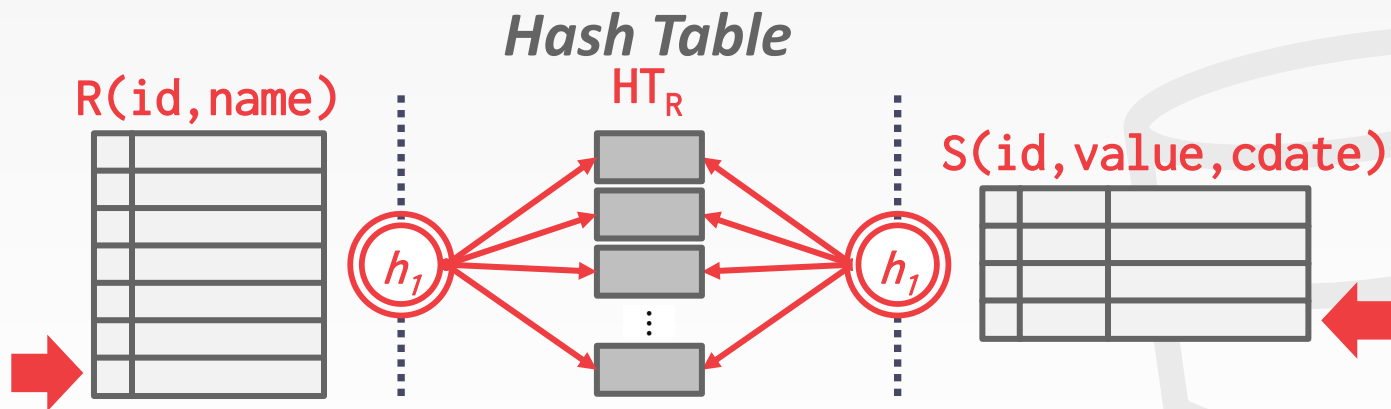
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foreach tuple  $s \in S$   
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# BASIC HASH JOIN ALGORITHM

```
build hash table  $HT_R$  for  $R$   
foreach tuple  $s \in S$   
  output, if  $h_1(s) \in HT_R$ 
```



# HASH TABLE CONTENTS

---

**Key:** The attribute(s) that the query is joining the tables on.

**Value:** Varies per implementation.

→ Depends on what the operators above the join in the query plan expect as its input.



# HASH TABLE VALUES

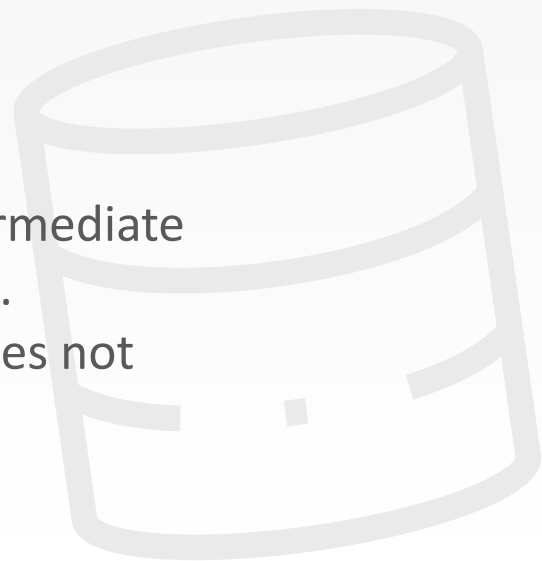
---

## Approach #1: Full Tuple

- Avoid having to retrieve the outer relation's tuple contents on a match.
- Takes up more space in memory.

## Approach #2: Tuple Identifier

- Could be to either the base tables or the intermediate output from child operators in the query plan.
- Ideal for column stores because the DBMS does not fetch data from disk that it does not need.
- Also better if join selectivity is low.



# HASH JOIN

---

What happens if we do not have enough memory to fit the entire hash table?

We do not want to let the buffer pool manager swap out the hash table pages at random.



# GRACE HASH JOIN

Hash join when tables do not fit in memory.

- **Build Phase:** Hash both tables on the join attribute into partitions.
- **Probe Phase:** Compares tuples in corresponding partitions for each table.

Named after the GRACE database machine from Japan in the 1980s.



GRACE  
*University of Tokyo*

IBM DB2 Analytics Accelerator - GSE Management Summit

## Choosing the best fit Key indicators



### IBM Netezza

- Performance and Price/performance leader
- Speed and ease of deployment and administration

### IBM Netezza standalone appliance

- Strategic requirement for standalone decision support system
- If primary data feeds are from distributed applications
- Deep analytics applications or in-database mining

### IBM DB2 Analytics Accelerator for z/OS

- Transparent acceleration of existing reporting workload on DB2

**Teradata IntelliFlex**  
100% Solid State Performance

Up to: **7.5x** Performance for Com  
Intensive Analytics

**4.5x** Performance for Data  
Warehouse Analytics

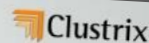
**3.5x** Data Capacity

**2.0x** Performance per k



Note: comparisons to the previous generation IntelliFlex platform are on a per cabinet basis. Workloads will see up to this amount of benefit.

## CLUSTRIX APPLIANCE



Clustrix Appliance 3 Node Cluster (CLX 4110 )

- 24 Intel Xeon CPU cores
- 144GB RAM
- 6GB NVRAM
- 1.35TB Intel SSD protected  
(2.7TB raw) data capacity

## Complete Family Of Database Machines For OLTP, Data Warehousing & Consolidated Workloads

### Oracle Exadata X2-2



- Quarter, Half, Full and Multi-Racks

### Oracle Exadata X2-8



- Full and Multi-Racks

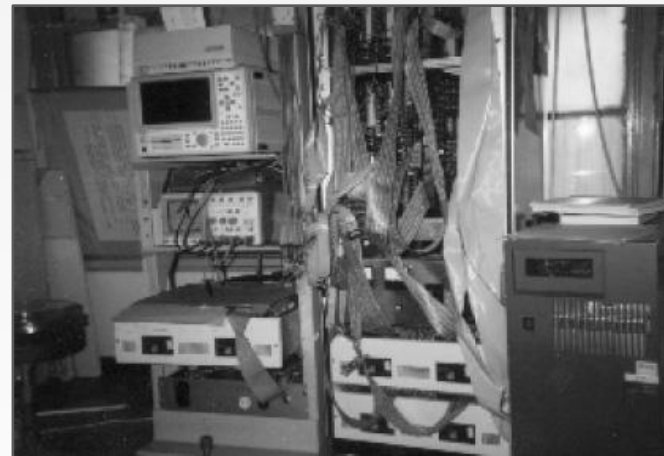
ORACLE

# GRACE HASH JOIN

Hash join when tables do not fit in memory.

- **Build Phase:** Hash both tables on the join attribute into partitions.
- **Probe Phase:** Compares tuples in corresponding partitions for each table.

Named after the GRACE database machine from Japan in the 1980s.



GRACE  
*University of Tokyo*

# GRACE HASH JOIN

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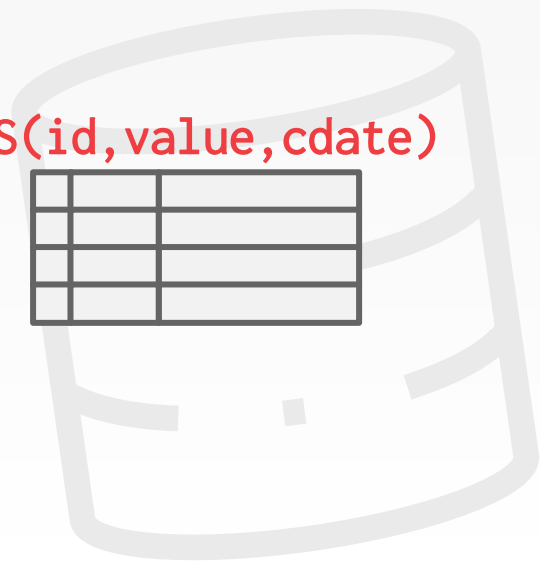
Hash **R** into  $(0, 1, \dots, max)$  buckets.

Hash **S** into the same # of buckets with the same hash function.

**R(id, name)**




**S(id, value, cdate)**



# GRACE HASH JOIN

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**HT<sub>R</sub>**


**S(id, value, cdate)**


# GRACE HASH JOIN

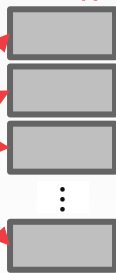
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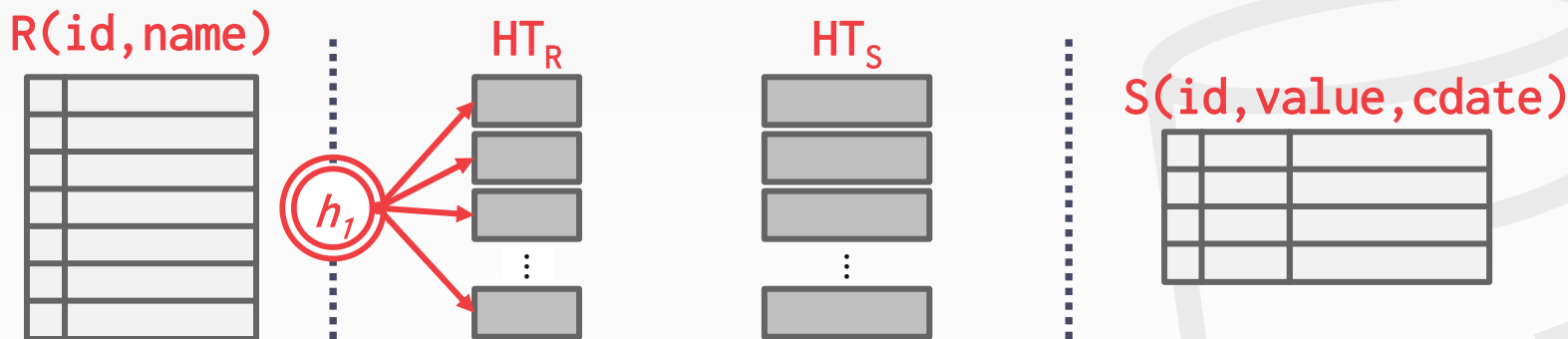


**S(id, value, cdate)**


# GRACE HASH JOIN

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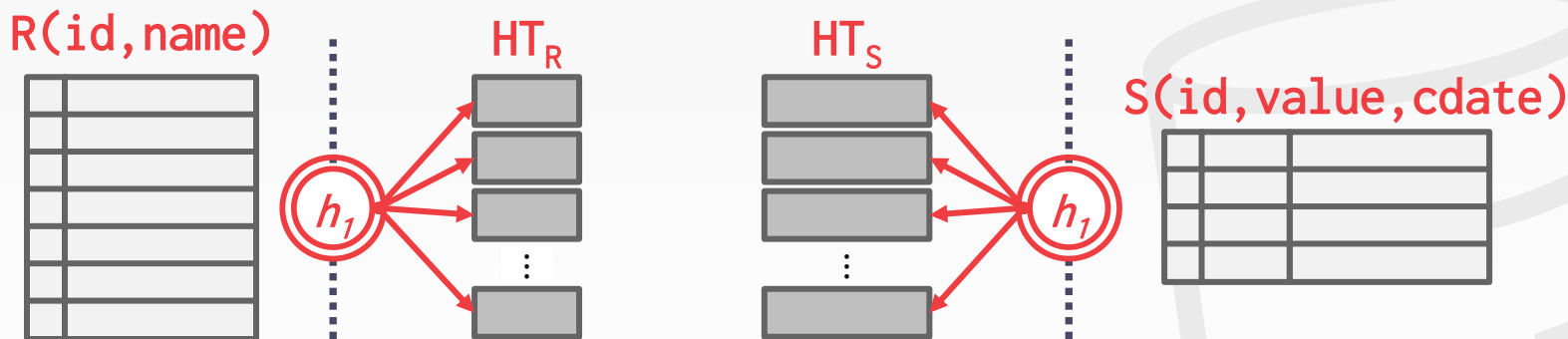
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# GRACE HASH JOIN

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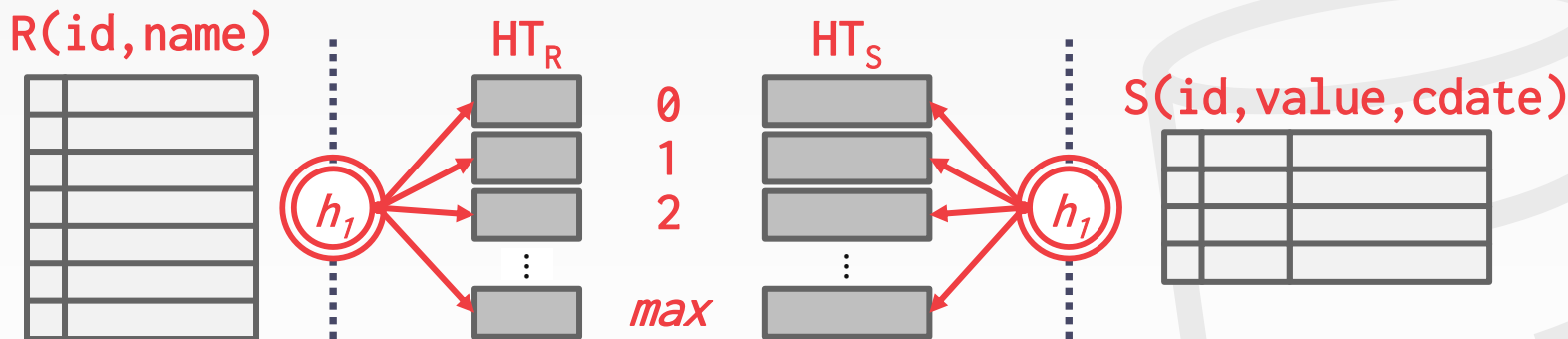
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# GRACE HASH JOIN

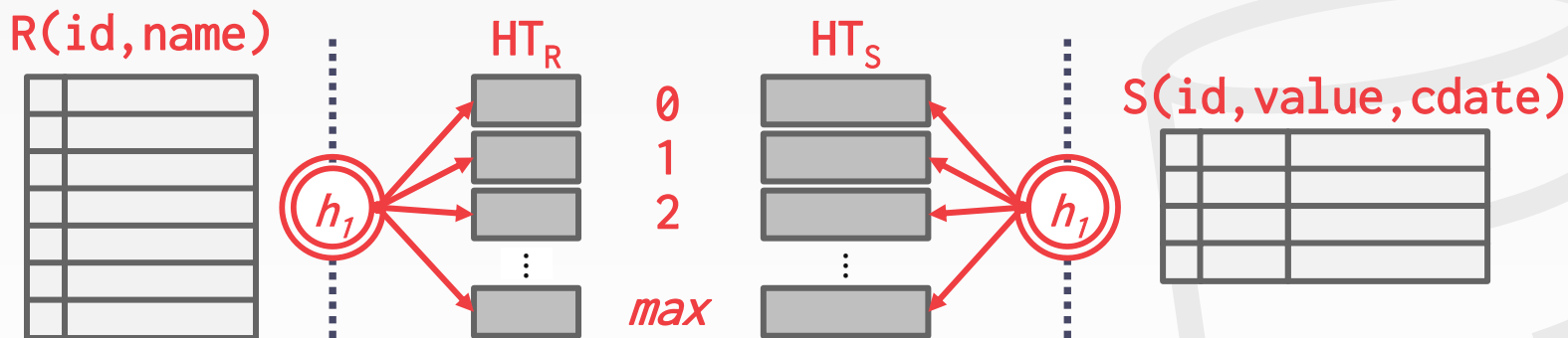
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# GRACE HASH JOIN

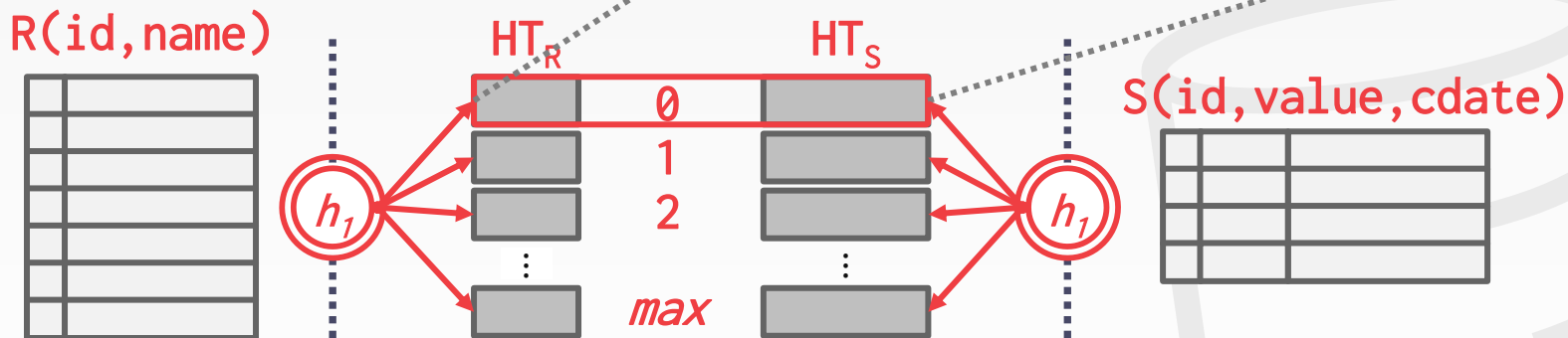
Perform nested loop join on each pair of matching buckets in the same level between **R** and **S**.



# GRACE HASH JOIN

Perform nested loop join on each pair of matching buckets in the same level between **R** and **S**.

```
foreach tuple r ∈ bucketR,0:
  foreach tuple s ∈ bucketS,0:
    emit, if match(r, s)
```



# COST ANALYSIS

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How big of a table can we hash using this approach?

→  **$B-1$**  "spill partitions" in Phase #1

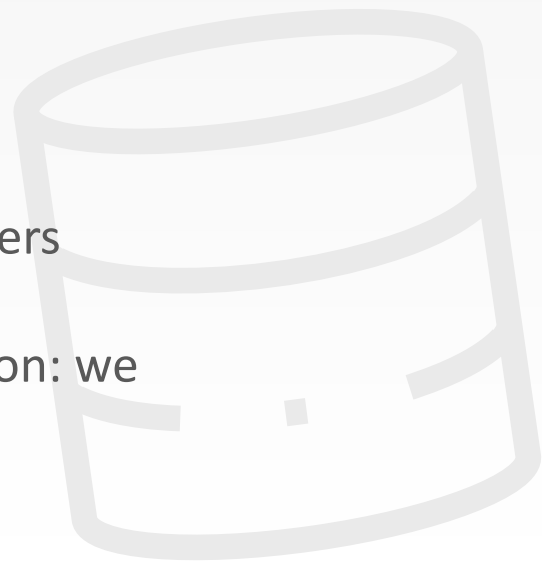
→ Each should be no more than  **$B-2$**  blocks big

Answer:  **$(B-1) \cdot (B-2)$**

→ A table of  **$N$**  pages needs roughly  **$\text{sqrt}(N)$**  buffers

→ Assumes hash distributes records evenly.

Use a "fudge factor"  **$f > 1$**  for uneven distribution: we need roughly  **$\text{sqrt}(f \cdot N)$**  buffers



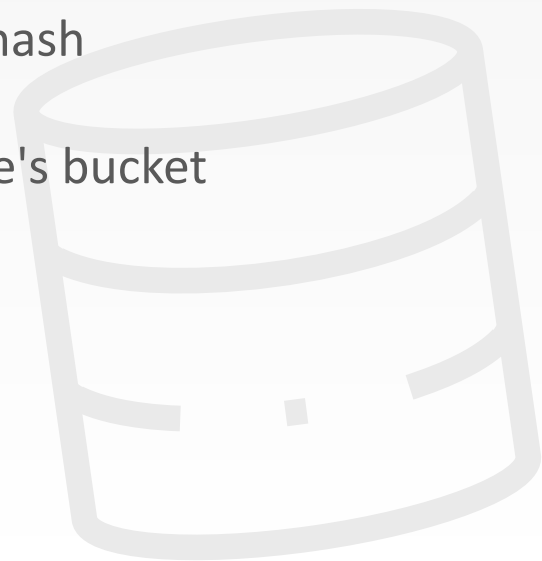


## GRACE HASH JOIN

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If the buckets do not fit in memory, then use **recursive partitioning** to split the tables into chunks that will fit.

- Build another hash table for **bucket<sub>R,i</sub>** using hash function  **$h_2$**  (with  **$h_2 \neq h_1$** ).
- Then probe it for each tuple of the other table's bucket at that level.



# RECURSIVE PARTITIONING

---

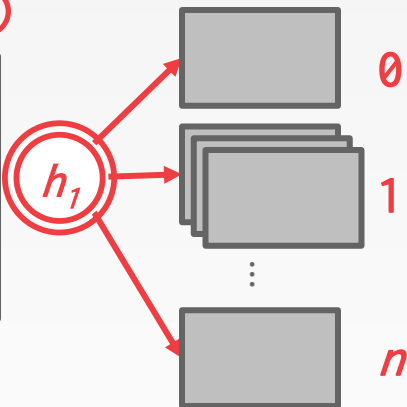
$R(id, name)$




# RECURSIVE PARTITIONING

$R(id, name)$

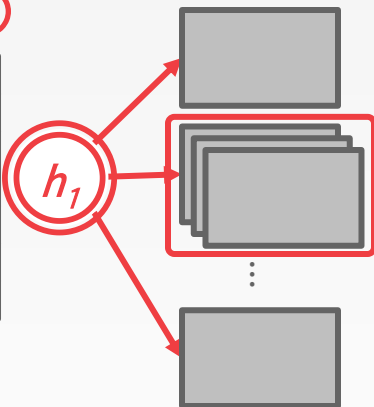
The diagram illustrates recursive partitioning. On the left is a table with 6 rows and 2 columns. A red circle labeled  $h_1$  is positioned to the right of the table. Three red arrows originate from this circle: one points to a single gray rectangle labeled  $0$ , another points to a stack of three gray rectangles labeled  $1$ , and a third points to a single gray rectangle labeled  $n$ . Vertical ellipsis dots are placed between the stack of rectangles and the rectangle labeled  $n$ .

# RECURSIVE PARTITIONING

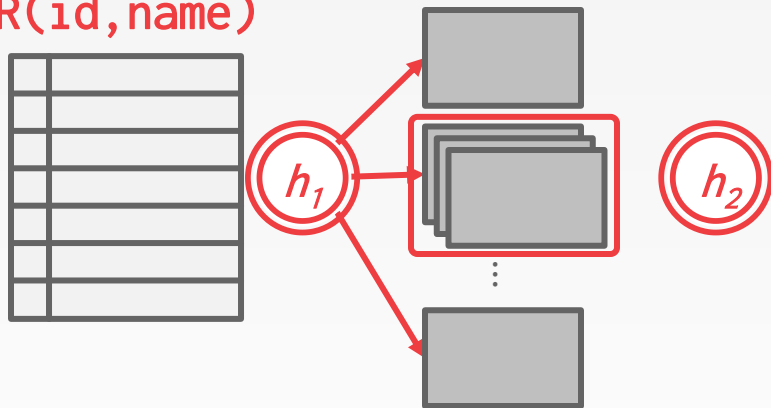
$R(id, name)$

The diagram illustrates recursive partitioning. On the left is a table with 6 rows and 2 columns. A red circle labeled  $h_1$  is positioned to the right of the table. Three red arrows originate from this circle: one points to a single gray rectangle above a stack of three gray rectangles, another points directly to the middle rectangle of the stack, and a third points to a single gray rectangle below the stack. The stack of rectangles is enclosed in a red rectangular box. Vertical ellipsis dots are placed below the stack, indicating further partitions.

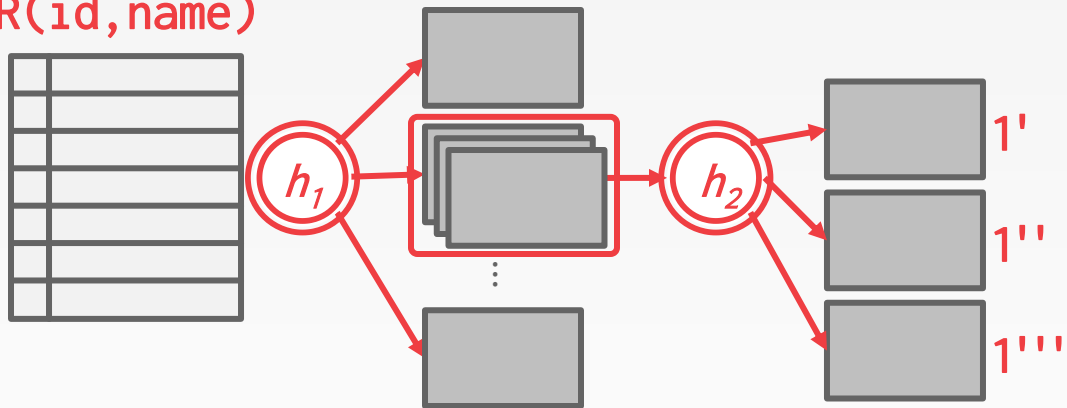
# RECURSIVE PARTITIONING

$R(id, name)$

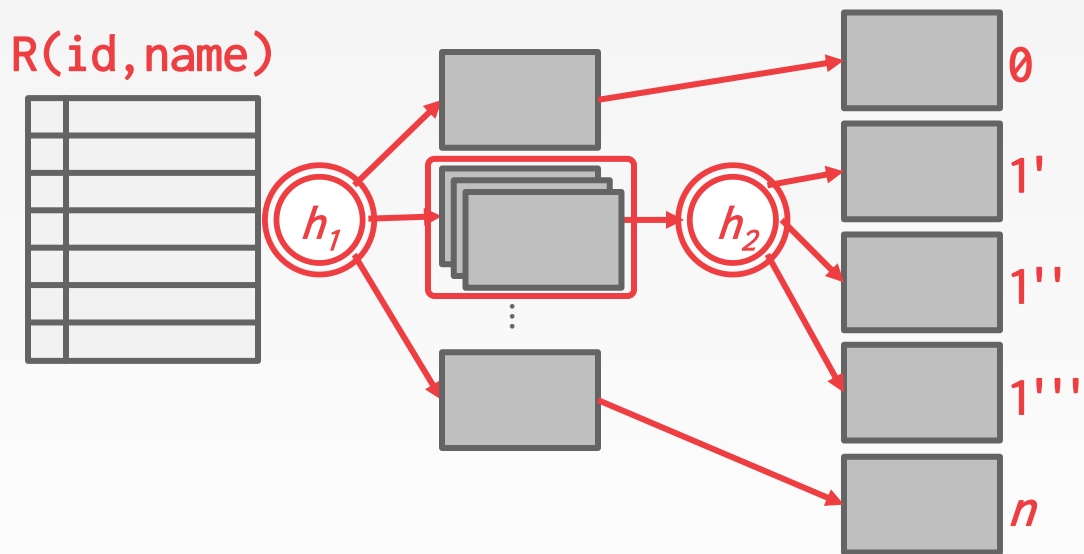


# RECURSIVE PARTITIONING

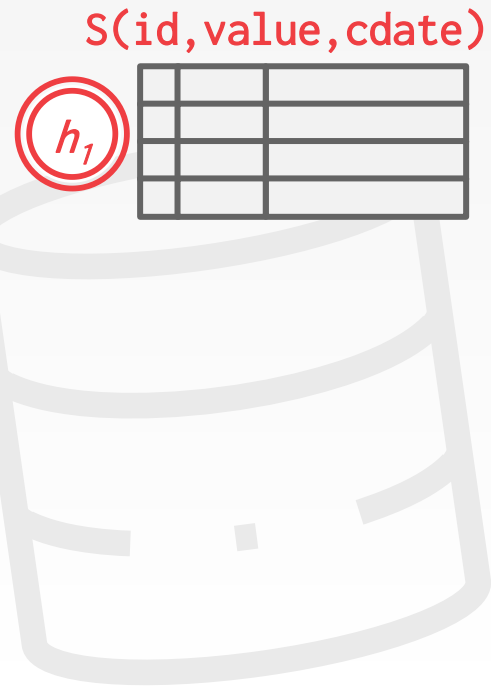
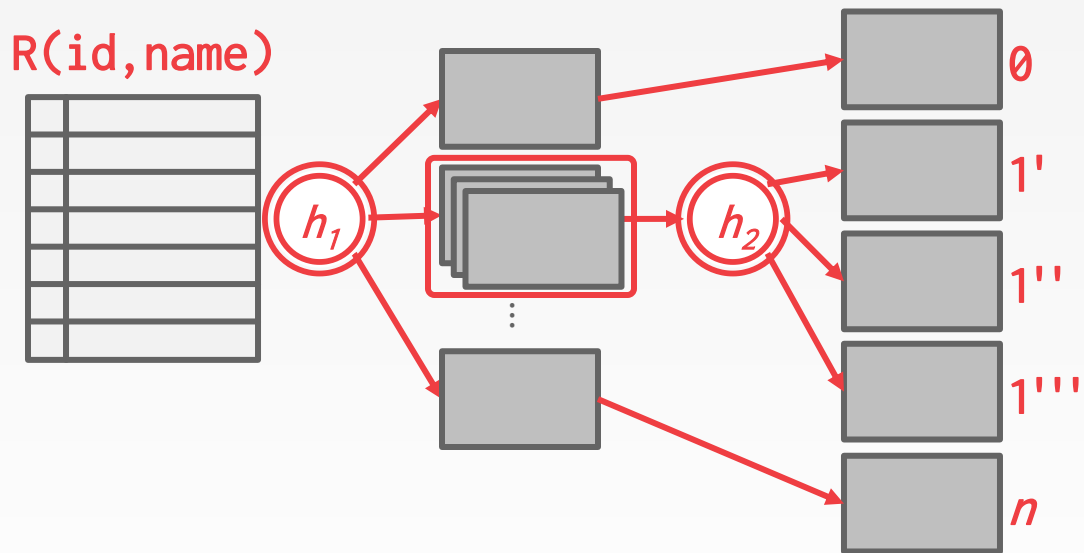
$R(id, name)$



# RECURSIVE PARTITIONING

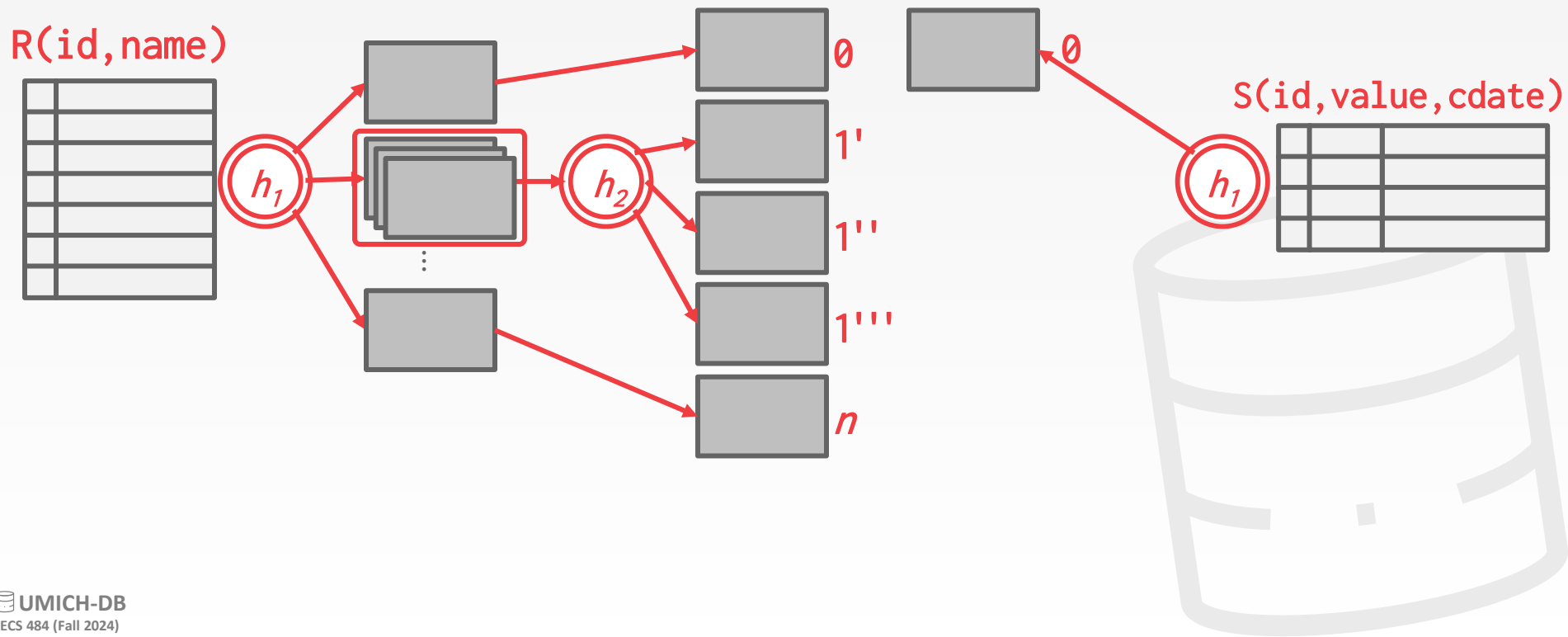


# RECURSIVE PARTITIONING

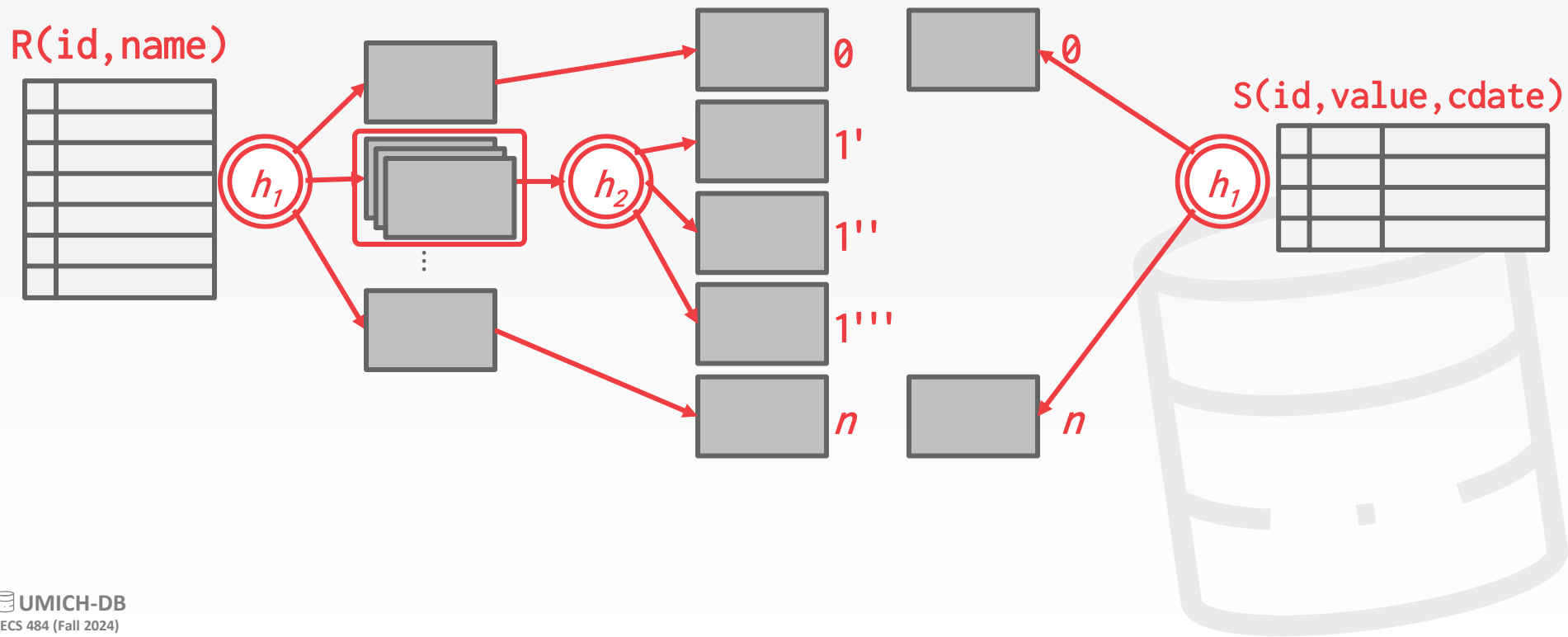




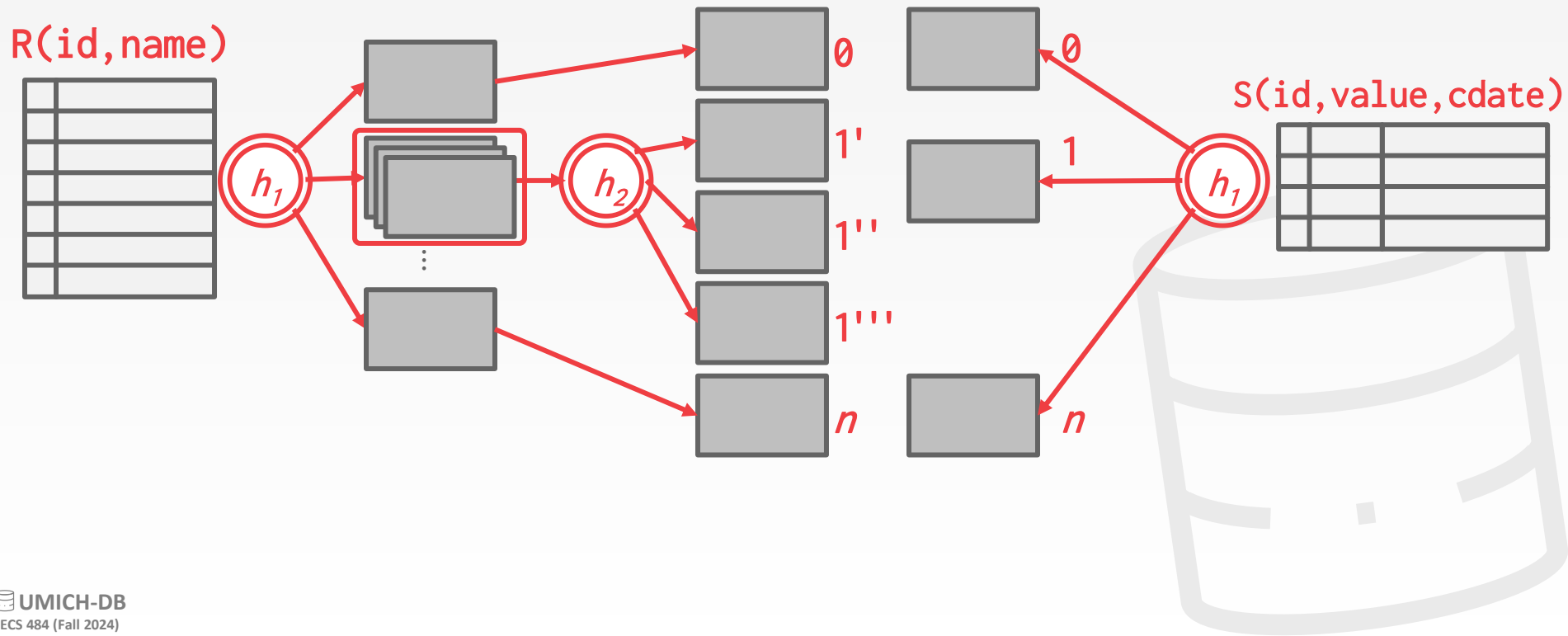
# RECURSIVE PARTITIONING



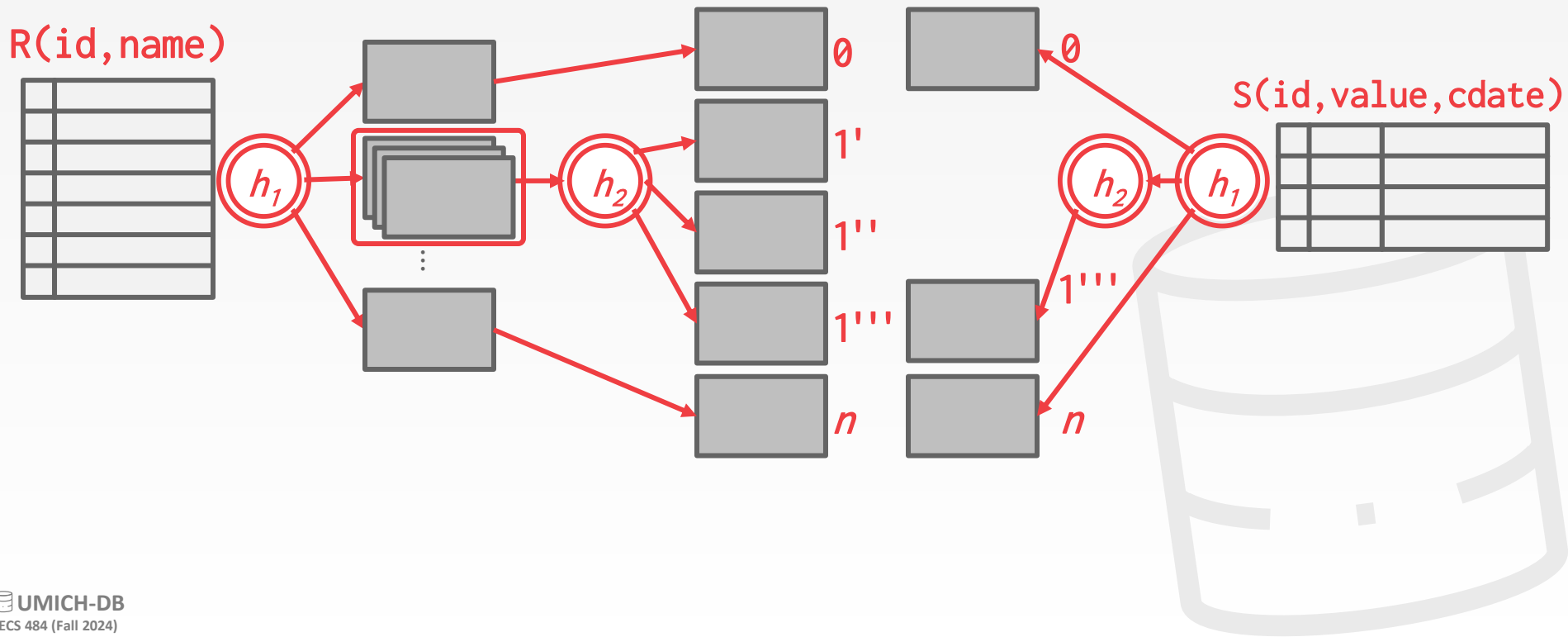
# RECURSIVE PARTITIONING



# RECURSIVE PARTITIONING



# RECURSIVE PARTITIONING



# GRACE HASH JOIN

---

Cost of hash join?

→ Assume that we have enough buffers.

→ Cost:  $3(M + N)$



# GRACE HASH JOIN

---

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**Partitioning Phase:**

- Read+Write both tables
- $2(M+N)$  IOs



# GRACE HASH JOIN

---

Cost of hash join?

→ Assume that we have enough buffers.

→ Cost:  **$3(M + N)$**

**Partitioning Phase:**

→ Read+Write both tables

→  **$2(M+N)$**  IOs

**Probing Phase:**

→ Read both tables

→  **$M+N$**  IOs



# GRACE HASH JOIN

---

Example database:

→  $M = 1000$ ,  $m = 100,000$

→  $N = 500$ ,  $n = 40,000$

Cost Analysis:

→  $3 \cdot (M + N) = 3 \cdot (1000 + 500) = 4,500$  IOs

→ At 0.1 ms/IO, Total time  $\approx 0.45$  seconds





## OBSERVATION

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If the DBMS knows the size of the outer table, then it can use a static hash table.

→ Less computational overhead for build / probe operations.

If we do not know the size, then we must use a dynamic hash table or allow for overflow pages.



# JOIN ALGORITHMS: SUMMARY

Algorithm	IO Cost	Example
Simple Nested Loop Join	$M + (m \cdot N)$	1.3 hours
Block Nested Loop Join	$M + (M \cdot N)$	50 seconds
Block Nested Loop Join using $B$ Buffers	$M + (\lceil M / (B-2) \rceil \cdot N)$	0.15 seconds
Index Nested Loop Join	$M + (m \cdot C)$	Variable
Sort-Merge Join	$M + N + (\text{sort cost})$	0.75 seconds
Hash Join	$3(M + N)$	0.45 seconds

# CONCLUSION

---

Hashing is almost always better than sorting for operator execution.

## Caveats:

- Sorting is better on non-uniform data.
- Sorting is better when result needs to be sorted.

Good DBMSs use either (or both).



## NEXT CLASS

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Composing operators together to execute queries.

