

# Market USA

## SWIFT Usage Guide

### Settlement Formatting Specialities

**Formats are compliant with SWIFT Standard release 2016**

Classification	Generally accessible
Date	27 September 2017
Version	1.2
Status	Released
Document ID	USA_Swift

# Table of Contents

<b>1.</b>	<b>General .....</b>	<b>5</b>
1.1	Field 94/95 Format Options.....	5
1.2	Legend.....	5
1.3	Additional Information about the pattern .....	6
1.4	Status .....	6
<b>2.</b>	<b>Domestic DTC .....</b>	<b>7</b>
2.1	MT540 Receive free (RFP).....	7
2.2	MT541 Receive against payment (RVP) .....	8
2.3	MT542 Deliver free (DFP) .....	9
2.4	MT543 Deliver against payment (DVP).....	10
<b>3.</b>	<b>Domestic via Clearstream Lux .....</b>	<b>11</b>
3.1	MT540 Receive free (RFP).....	11
3.2	MT541 Receive against payment (RVP) .....	12
3.3	MT542 Deliver free (DFP) .....	13
3.4	MT543 Deliver against payment (DVP).....	14
<b>4.</b>	<b>Domestic via Euroclear .....</b>	<b>15</b>
4.1	MT540 Receive free (RFP).....	15
4.2	MT541 Receive against payment (RVP) .....	16
4.3	MT542 Deliver free (DFP) .....	17
4.4	MT543 Deliver against payment (DVP).....	18
<b>5.</b>	<b>CITI In-house.....</b>	<b>19</b>
5.1	MT540 Receive free (RFP).....	19
5.2	MT541 Receive against payment (RVP) .....	20
5.3	MT542 Deliver free (DFP) .....	21
5.4	MT543 Deliver against payment (DVP).....	22

<b>6.</b>	<b>UBS In-house .....</b>	<b>23</b>
6.1	MT540 Receive free (RFP).....	23
6.2	MT541 Receive against payment (RVP) .....	24
6.3	MT542 Deliver free (DFP) .....	25
6.4	MT543 Deliver against payment (DVP).....	26
<b>7.</b>	<b>SIX SIS In-house.....</b>	<b>27</b>
7.1	MT540 Receive free (RFP).....	27
7.2	MT541 Receive against payment (RVP) .....	28
7.3	MT542 Deliver free (DFP) .....	29
7.4	MT543 Deliver against payment (DVP).....	30
<b>8.</b>	<b>Fedwire for Treasury bonds and notes.....</b>	<b>31</b>
8.1	MT540 Receive free (RFP).....	31
8.2	MT541 Receive against payment (RVP) .....	32
8.3	MT542 Deliver free (DFP) .....	33
8.4	MT543 Deliver against payment (DVP).....	34
<b>9.</b>	<b>Fedwire for Treasury bills .....</b>	<b>35</b>
9.1	MT540 Receive free (RFP).....	35
9.2	MT541 Receive against payment (RVP) .....	36
9.3	MT542 Deliver free (DFP) .....	37
9.4	MT543 Deliver against payment (DVP).....	38
<b>10.</b>	<b>Fedwire via Clearstream Lux .....</b>	<b>39</b>
10.1	MT540 Receive free (RFP).....	39
10.2	MT541 Receive against payment (RVP) .....	40
10.3	MT542 Deliver free (DFP) .....	41
10.4	MT543 Deliver against payment (DVP).....	42
<b>11.</b>	<b>Fedwire via Euroclear .....</b>	<b>43</b>
11.1	MT540 Receive free (RFP).....	43
11.2	MT541 Receive against payment (RVP) .....	44
11.3	MT542 Deliver free (DFP) .....	45

11.4	MT543 Deliver against payment (DVP) .....	46
<b>12.</b>	<b>DRS .....</b>	<b>47</b>
12.1	MT540 Receive free (RFP).....	47
12.2	MT542 Deliver free (DFP) .....	48
<b>13.</b>	<b>DWAC.....</b>	<b>49</b>
13.1	MT540 Receive free (RFP).....	49
13.2	MT542 Deliver free (DFP) .....	50
<b>14.</b>	<b>ACAT Frozen Letter.....</b>	<b>51</b>
14.1	MT540 Receive free (RFP).....	51
14.2	MT542 Deliver free (DFP) .....	52
<b>15.</b>	<b>Northbound-Flips.....</b>	<b>53</b>
15.1	MT542 Deliver free (DFP) .....	53
<b>16.</b>	<b>Southbound-Flips.....</b>	<b>54</b>
16.1	MT540 Receive free (RFP).....	54
<b>17.</b>	<b>Physical Deliveries .....</b>	<b>55</b>
<b>18.</b>	<b>Disclaimer .....</b>	<b>56</b>

# 1. General

## 1.1 Field 94/95 Format Options

Field 94/95	Format Options
Option A	(Qualifier)/(Account number)
Option B	(Qualifier)/(Data Source Scheme)/(Code)
Option C	(Qualifier)/(Country Code)
Option E	(Qualifier)/(Narrative)
Option F	(Qualifier)/(Place of Safekeeping)/(BIC)
Option P	(Qualifier)/(BIC, Bank Identifier Code)
Option Q	(Qualifier)/(Name and address)
Option R	(Qualifier)/(DSS, Data Source Scheme)/(Proprietary Code)

## 1.2 Legend

Abbreviations	Explanation
BIC	ISO Bank Identifier Code
BUYR	Buyer
DEAG	Delivery agent
ID	Participant Identification
PSET	Place of Settlement
REAG	Receiving agent
SAFE	Place of Safekeeping or Safekeeping Account
SELL	Seller
TEXT	Free text format structure

### 1.3 Additional Information about the pattern

Fields entry	Explanation
<b>Black &amp; Bold</b>	Content non-variable
<b>Blue &amp; Bold</b>	variable

### 1.4 Status

Abbreviations	Explanation
C	Conditional
M	Mandatory Field
O	Optional

## 2. Domestic DTC

### 2.1 MT540 Receive free (RFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/ID	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 5 digit participant ID</li> </ul>
Seller	:95P::SELL//BIC or :95R::SELL/DTCYID/ID or :95Q::SELL//Text	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> <li>Identifying the seller by use of the 5 digit participant ID (repeat DEAG)</li> <li>Identifying the seller by use of text format</li> </ul>
Safekeeping Account	:97A::SAFE/Number and/or name	O	<ul style="list-style-type: none"> <li>Identifying the account number and/or name of the instructing party (as required from the party mentioned under 95R DEAG)</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 2.2 MT541 Receive against payment (RVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/ID	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 5 digit participant ID</li> </ul>
Seller	:95P::SELL//BIC or :95R::SELL/DTCYID/ID or :95Q::SELL//Text	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> <li>Identifying the seller by use of the 5 digit participant ID (repeat DEAG)</li> <li>Identifying the seller by use of text format</li> </ul>
Safekeeping Account	:97A::SAFE/Number and/or name	O	<ul style="list-style-type: none"> <li>Identifying the account number and/or name of the instructing party (as required from the party mentioned under 95R DEAG)</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>



## 2.3 MT542 Deliver free (DFP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/ID	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 5 digit participant ID</li> </ul>
Buyer	:95P::BUYR//BIC or :95R::BUYR/DTCYID/ID or :95Q::BUYR//Text	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> <li>Identifying the buyer by use of the 5 digit participant ID (repeat REAG)</li> <li>Identifying the buyer by use of text format</li> </ul>
Safekeeping Account	:97A::SAFE/Number and/or name	M	<ul style="list-style-type: none"> <li>Identifying the account number and/or name of the beneficiary (as required from the party mentioned under 95R REAG)</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 2.4 MT543 Deliver against payment (DVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/ID	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 5 digit participant ID</li> </ul>
Buyer	:95P::BUYR//BIC or :95R::BUYR/DTCYID/ID or :95Q::BUYR//Text	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> <li>Identifying the buyer by use of the 5 digit participant ID (repeat REAG)</li> <li>Identifying the buyer by use of text format</li> </ul>
Safekeeping Account	:97A::SAFE/Number and/or name	O	<ul style="list-style-type: none"> <li>Identifying the account number and/or name of the beneficiary (as required from the party mentioned under 95R REAG)</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

### 3. Domestic via Clearstream Lux

#### 3.1 MT540 Receive free (RFP)

##### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

##### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 5 digit participant ID</li> </ul>
Seller	:95P::SELL//CITIUS33XXX or :95R::SELL/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//089154 CSCNumber	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the 5 digit participant ID (repeat DEAG)</li> <li>Identifying the account number of CBL with Citi and 5 digit CBL account no</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

### 3.2 MT541 Receive against payment (RVP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 5 digit participant ID</li> </ul>
Seller	:95P::SELL//CITIUS33XXX or :95R::SELL/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//089154 CSCNumber	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the 5 digit participant ID (repeat DEAG)</li> <li>Identifying the account number of CBL with Citi and 5 digit CBL account no</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

### 3.3 MT542 Deliver free (DFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 5 digit participant ID</li> </ul>
Buyer	:95P::BUYR//CITIUS33XXX or :95R::BUYR/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//089154 CSCNumber	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the 5 digit participant ID (repeat DEAG)</li> <li>Identifying the account number of CBL with Citi and 5 digit CBL account no</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

### 3.4 MT543 Deliver against payment (DVP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 5 digit participant ID</li> </ul>
Buyer	:95P::BUYR//CITIUS33XXX or :95R::BUYR/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//089154 CSCNumber	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the 5 digit participant ID (repeat DEAG)</li> <li>Identifying the account number of CBL with Citi and 5 digit CBL account no</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 4. Domestic via Euroclear

### 4.1 MT540 Receive free (RFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/01970	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 5 digit participant ID</li> </ul>
Seller	:95P::SELL//CHASUS33XXX or MGTCBEBEXX or :95R::SELL/DTCYID/01970	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> <li>Identifying the seller by use of the 5 digit participant ID (repeat DEAG)</li> </ul>
Safekeeping Account	:97A::SAFE//G21691 EURONumber	M	<ul style="list-style-type: none"> <li>Identifying the account number of ECL with JPM and 5 digit ECL account no</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 4.2 MT541 Receive against payment (RVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/01970	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 5 digit participant ID</li> </ul>
Seller	:95P::SELL//CHASUS33XXX or MGTCBEBEXX or :95R::SELL/DTCYID/01970	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> <li>Identifying the seller by use of the 5 digit participant ID (repeat DEAG)</li> </ul>
Safekeeping Account	:97A::SAFE//G21691 EURONumber	M	<ul style="list-style-type: none"> <li>Identifying the account number of ECL with JPM and 5 digit ECL account no</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>



### 4.3 MT542 Deliver free (DFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/01970	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 5 digit participant ID</li> </ul>
Buyer	:95P::BUYR//CHASUS33XXX or MGTCBEBEXX or :95R::BUYR/DTCYID/01970	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> <li>Identifying the buyer by use of the 5 digit participant ID (repeat REAG)</li> </ul>
Safekeeping Account	:97A::SAFE//G21691 EURONumber	M	<ul style="list-style-type: none"> <li>Identifying the account number of ECL with JPM and 5 digit ECL account no</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

#### 4.4 MT543 Deliver against payment (DVP)

##### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

##### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/01970	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 5 digit participant ID</li> </ul>
Buyer	:95P::BUYR//CHASUS33XXX or MGTCBEBEXX or :95R::BUYR/DTCYID/01970	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> <li>Identifying the buyer by use of the 5 digit participant ID (repeat REAG)</li> </ul>
Safekeeping Account	:97A::SAFE//G21691 EURONumber	M	<ul style="list-style-type: none"> <li>Identifying the account number of ECL with JPM and 5 digit ECL account no</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 5. CITI In-house

### 5.1 MT540 Receive free (RFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 5 digit participant ID</li> </ul>
Seller	:95P::SELL//BIC or :95R::SELL/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> <li>Identifying the seller by use of the 5 digit participant ID (repeat DEAG)</li> </ul>
Safekeeping Account	:97A::SAFE/Number	M	<ul style="list-style-type: none"> <li>Identifying the 7 digit account number of the instructing party with Citi</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 5.2 MT541 Receive against payment (RVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 5 digit participant ID</li> </ul>
Seller	:95P::SELL//BIC or :95R::SELL/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> <li>Identifying the seller by use of the 5 digit participant ID (repeat DEAG)</li> </ul>
Safekeeping Account	:97A::SAFE/Number	M	<ul style="list-style-type: none"> <li>Identifying the 7 digit account number of the instructing party with Citi</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

### 5.3 MT542 Deliver free (DFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 5 digit participant ID</li> </ul>
Buyer	:95P::BUYR//BIC or :95R::BUYR/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> <li>Identifying the buyer by use of the 5 digit participant ID (repeat REAG)</li> </ul>
Safekeeping Account	:97A::SAFE/Number	M	<ul style="list-style-type: none"> <li>Identifying the 7 digit account number of the beneficiary with Citi</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 5.4 MT543 Deliver against payment (DVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 5 digit participant ID</li> </ul>
Buyer	:95P::BUYR//BIC or :95R::BUYR/DTCYID/00908	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> <li>Identifying the buyer by use of the 5 digit participant ID (repeat REAG)</li> </ul>
Safekeeping Account	:97A::SAFE/Number	M	<ul style="list-style-type: none"> <li>Identifying the 7 digit account number of the beneficiary with Citi</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 6. UBS In-house

### 6.1 MT540 Receive free (RFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/SCOM/CH100025 or :95P::DEAG//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 8 digit participant ID</li> </ul>
Seller	:95P::SELL//BIC	O	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the BIC (8 or 11 digits)</li> </ul>
Place of Settlement	:95P::PSET//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> <li>Identifying the place of settlement</li> </ul>

## 6.2 MT541 Receive against payment (RVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/SCOM/CH100025 or :95P::DEAG//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 8 digit participant ID</li> </ul>
Seller	:95P::SELL/BIC	O	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the BIC (8 or 11 digits)</li> </ul>
Place of Settlement	:95P::PSET//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> <li>Identifying the place of settlement</li> </ul>



### 6.3 MT542 Deliver free (DFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/SCOM/CH100025 or :95P::REAG//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 8 digit participant ID</li> </ul>
Buyer	:95P::BUYR//BIC or :95Q::BUYR//Text	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the BIC (8 or 11 digits)</li> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//Number	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of text format</li> <li>Identifying the account number of the beneficiary with UBS</li> </ul>
Place of Settlement	:95P::PSET//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 6.4 MT543 Deliver against payment (DVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/SCOM/CH100025 or :95P::REAG//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 8 digit participant ID</li> </ul>
Buyer	:95P::BUYR//BIC	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the BIC (8 or 11 digits)</li> </ul>
Place of Settlement	:95P::PSET//UBSWCHZH80A	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> <li>Identifying the place of settlement</li> </ul>

## 7. SIX SIS In-house

### 7.1 MT540 Receive free (RFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/SCOM/ID	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 8 digit participant ID</li> </ul>
Seller	:95P::SELL/BIC	O	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> </ul>
Place of Settlement	:95P::PSET//INSECHZZXXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 7.2 MT541 Receive against payment (RVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/SCOM/ID	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 8 digit participant ID</li> </ul>
Seller	:95P::SELL/BIC	O	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> </ul>
Place of Settlement	:95P::PSET//INSECHZZXXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

### 7.3 MT542 Deliver free (DFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/SCOM/ID	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 8 digit participant ID</li> </ul>
Buyer	:95P::BUYR//BIC or :95Q::BUYR//Text	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> </ul>
Place of Settlement	:95P::PSET//INSECHZZXXX	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of text format</li> <li>Identifying the place of settlement</li> </ul>

## 7.4 MT543 Deliver against payment (DVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/SCOM/ID	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 8 digit participant ID</li> </ul>
Buyer	:95P::BUYR//BIC	O	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> </ul>
Place of Settlement	:95P::PSET//INSECHZZXXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 8. Fedwire for Treasury bonds and notes

### 8.1 MT540 Receive free (RFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG//USFW/ID	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//Text	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Seller	:95P::SELL//BIC or :95Q::SELL//Text	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits).</li> </ul>
Safekeeping Account	:97A::SAFE//Number and/or name	O	<ul style="list-style-type: none"> <li>Identifying the seller by use of the name</li> <li>Identifying the account number and/or name of the instructing party (as required from the party mentioned under 95R DEAG)</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 8.2 MT541 Receive against payment (RVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/USFW/ID	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//Text	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Seller	:95P::SELL//BIC or :95Q::SELL//Text	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits).</li> </ul>
Safekeeping Account	:97A::SAFE//Number and/or name	O	<ul style="list-style-type: none"> <li>Identifying the seller by use of the name</li> <li>Identifying the account number and/or name of the instructing party (as required from the party mentioned under 95R DEAG)</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>



### 8.3 MT542 Deliver free (DFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/USFW/ID	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//Text	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Buyer	:95P::BUYR/BIC or :95Q::BUYR//Text	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//Number and/or name	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the name</li> <li>Identifying the account number and/or name of the beneficiary (as required from the party mentioned under 95R REAG)</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 8.4 MT543 Deliver against payment (DVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/USFW/ID	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//Text	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Buyer	:95P::BUYR/BIC or :95Q::BUYR//Text	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//Number and/or name	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the name</li> <li>Identifying the account number and/or name of the beneficiary (as required from the party mentioned under 95R REAG)</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 9. Fedwire for Treasury bills

### 9.1 MT540 Receive free (RFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CHASUS33XXX or :94B::SAFE//SHHE/6500	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/USFW/ID	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//Text	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Seller	:95P::SELL//BIC or :95Q::SELL//Text	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//Number and/or name	O	<ul style="list-style-type: none"> <li>Identifying the seller by use of the name</li> <li>Identifying the account number and/or name of the instructing party (as required from the party mentioned under 95R DEAG)</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 9.2 MT541 Receive against payment (RVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CHASUS33XXX or :94B::SAFE//SHHE/6500	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/USFW/ID	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//Text	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Seller	:95P::SELL//BIC or :95Q::SELL//Text	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//Number and/or name	O	<ul style="list-style-type: none"> <li>Identifying the seller by use of the name</li> <li>Identifying the account number and/or name of the instructing party (as required from the party mentioned under 95R DEAG)</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

### 9.3 MT542 Deliver free (DFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CHASUS33XXX or :94B::SAFE//SHHE/6500	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/USFW/ID	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//Text	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Buyer	:95P::BUYR/BIC or :95Q::BUYR//Text	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//Number and/or name	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the name</li> <li>Identifying the account number and/or name of the beneficiary (as required from the party mentioned under 95R REAG)</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 9.4 MT543 Deliver against payment (DVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CHASUS33XXX or :94B::SAFE//SHHE/6500	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/USFW/ID	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//Text	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Buyer	:95P::BUYR/BIC or :95Q::BUYR//Text	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//Number and/or name	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the name</li> <li>Identifying the account number and/or name of the beneficiary (as required from the party mentioned under 95R REAG)</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 10. Fedwire via Clearstream Lux

### 10.1 MT540 Receive free (RFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/USFW/021000089	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//CUST	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Seller	:95R::SELL/DTCYID/00908 or :95P::SELL//CITIUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the 5 digit participant ID</li> </ul>
Safekeeping Account	:97A::SAFE//089154 CSCNumber	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits).</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the account number of CBL with Citi and 5 digit CBL account no</li> <li>Identifying the place of settlement</li> </ul>

## 10.2 MT541 Receive against payment (RVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/USFW/021000089	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//CUST	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Seller	:95R::SELL/DTCYID/00908 or :95P::SELL//CITIUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the 5 digit participant ID</li> </ul>
Safekeeping Account	:97A::SAFE//089154 CSCNumber	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits).</li> <li>Identifying the account number of CBL with Citi and 5 digit CBL account no</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>



### 10.3 MT542 Deliver free (DFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG//USFW/021000089	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//CUST	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Buyer	:95R::BUYR/DTCYID/00908 or :95P::BUYR//CITIUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the 5 digit participant ID</li> </ul>
Safekeeping Account	:97A::SAFE//089154 CSCNumber	M	<ul style="list-style-type: none"> <li>Identifying the account number of CBL with Citi and 5 digit CBL account no</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 10.4 MT543 Deliver against payment (DVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG//USFW/021000089	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//CUST	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//CUST and not SAFE//JPMCHASE/CUST)</li> </ul>
Buyer	:95R::BUYR/DTCYID/00908 or :95P::BUYR//CITIUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the 5 digit participant ID</li> </ul>
Safekeeping Account	:97A::SAFE//089154 CSCNumber	M	<ul style="list-style-type: none"> <li>Identifying the account number of CBL with Citi and 5 digit CBL account no</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 11. Fedwire via Euroclear

### 11.1 MT540 Receive free (RFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/USFW/021000021	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//EURCLR	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//EURCLR and not SAFE//JPMCHASE/EURCLR)</li> </ul>
Seller	:95P::SELL//CHASUS33XXX or MGTCEBEXXX	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the 5 digit participant ID</li> </ul>
Safekeeping Account	:97A::SAFE//G21691 EURONumber	M	<ul style="list-style-type: none"> <li>Identifying the account number of ECL with JPM and 5 digit ECL account no</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 11.2 MT541 Receive against payment (RVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/USFW/021000021	M	<ul style="list-style-type: none"> <li>Identifying the delivering agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//EURCLR	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//EURCLR and not SAFE//JPMCHASE/EURCLR)</li> </ul>
Seller	:95P::SELL//CHASUS33XXX or MGTCBEBEXXX	M	<ul style="list-style-type: none"> <li>Identifying the seller by use of the BIC (8 or 11 digits)</li> </ul>
Safekeeping Account	:97A::SAFE//G21691 EURONumber	M	<ul style="list-style-type: none"> <li>Identifying the account number of ECL with JPM and 5 digit ECL account no</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

### 11.3 MT542 Deliver free (DFP)

#### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/USFW/021000021	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//EURCLR	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//EURCLR and not SAFE//JPMCHASE/EURCLR)</li> </ul>
Buyer	:95P::BUYR//CHASUS33XXX or MGTCEBEXXX	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits).</li> </ul>
Safekeeping Account	:97A::SAFE//G21691 EURONumber	M	<ul style="list-style-type: none"> <li>Identifying the account number of ECL with JPM and 5 digit ECL account no</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 11.4 MT543 Deliver against payment (DVP)

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX or :94B::SAFE//SHHE/5000	O	<ul style="list-style-type: none"> <li>Identifying the local custodian (market) of UBS by use of the BIC (please use only this BIC).</li> </ul> <b>Annotation:</b> The 4 digit number after the Qualifier SHHE is an UBS internal depository code for Omnibus-account.

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/USFW/021000021	M	<ul style="list-style-type: none"> <li>Identifying the receiving agent by use of the 9 digit participant ID (ABA)</li> </ul>
Safekeeping Account	:97A::SAFE//EURCLR	M	<ul style="list-style-type: none"> <li>Identifying only the Fedwire account name and not the entire Fedwire address ( example: SAFE//EURCLR and not SAFE//JPMCHASE/EURCLR)</li> </ul>
Buyer	:95P::BUYR//CHASUS33XXX or MGTCEBEXXX	M	<ul style="list-style-type: none"> <li>Identifying the buyer by use of the BIC (8 or 11 digits).</li> </ul>
Safekeeping Account	:97A::SAFE//G21691 EURONumber	M	<ul style="list-style-type: none"> <li>Identifying the account number of ECL with JPM and 5 digit ECL account no</li> </ul>
Place of Settlement	:95P::PSET//FRNYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 12. DRS

**Note:** The DTC/DRS limitation is set to 9'999'999 shares per transaction.

### 12.1 MT540 Receive free (RFP)

#### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//DRS request, participant account number, registration name and/or taxpayer ID	M	<ul style="list-style-type: none"> <li>Identifying the registered person by use of the code word DRS followed by the account number and the name of the same under which that person is known to the agent, and or the TIN (Taxpayer Identification Number ) or SSN (Social security number)</li> </ul>

#### Sequence C: Financial instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX	O	<ul style="list-style-type: none"> <li>Identifying the custodian by use of the BIC</li> </ul>

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/Number	M	<ul style="list-style-type: none"> <li>DSS of DTCC and local number of transfer agent. The local code must contain 5 characters. (DTCYID is equal to Sel ID)</li> </ul>
Seller	:95Q::SELL/Name and address	M	<ul style="list-style-type: none"> <li>Name and address of the instructing party with the transfer agent (seller in whose name DEAG is acting)</li> </ul>
Safekeeping account	:97A::SAFE/Name and/or number	C	<ul style="list-style-type: none"> <li>Identifying the instructing party's custody account number and/or name with the transfer agent</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 12.2 MT542 Deliver free (DFP)

### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//DRS Statement, registration name, address, TIN	M	<ul style="list-style-type: none"> <li>Identifying the registered person by use of the code word DRS followed by the account number and the name of the same under which that person is known to the agent, and or the TIN (Taxpayer Identification Number ) or SSN (Social security number)</li> </ul>

### Sequence C: Financial Instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX	O	<ul style="list-style-type: none"> <li>Identifying the custodian by use of the BIC</li> </ul>

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/03199	M	<ul style="list-style-type: none"> <li>DSS of DTCC and fictitious number for deliveries via DRS</li> </ul>
Buyer	:95R::BUYR/DTCYID/03199	M	<ul style="list-style-type: none"> <li>DSS of DTCC and fictitious number for deliveries via DRS</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>



## 13. DWAC

### 13.1 MT540 Receive free (RFP)

#### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//DWAC,account number and/or registration name	M	<ul style="list-style-type: none"> <li>Identifying the registered person by use of code word DWAC followed by account number and/or name under which that person is known to the agent</li> </ul>

#### Sequence C: Financial instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX	O	<ul style="list-style-type: none"> <li>Identifying the custodian by use of the BIC.</li> </ul>

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/03122	M	<ul style="list-style-type: none"> <li>DSS of DTCC and fictitious number for DWAC transactions</li> </ul>
Seller	:95R::SELL/DTCYID/03122	M	<ul style="list-style-type: none"> <li>DSS of DTCC and fictitious number for DWAC transactions</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 13.2 MT542 Deliver free (DFP)

### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//DWAC,account number and/or registration name	M	<ul style="list-style-type: none"> <li>Identifying the registered person by use of code word DWAC followed by account number and/or name under which that person is known to the agent</li> </ul>

### Sequence C: Financial instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX	O	<ul style="list-style-type: none"> <li>Identifying the custodian by use of the BIC.</li> </ul>

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/03122	M	<ul style="list-style-type: none"> <li>DSS of DTCC and fictitious number for DWAC transactions</li> </ul>
Buyer	:95R::BUYR/DTCYID/03122	M	<ul style="list-style-type: none"> <li>DSS of DTCC and fictitious number for DWAC transactions</li> </ul>
Place of Settlement	:95P::PSET/DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 14. ACAT Frozen Letter

### 14.1 MT540 Receive free (RFP)

#### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//NCBO Please receive with ACAT frozen letter. Contact at DTC xxxxx, name, telephone no., e-mail address	M	<ul style="list-style-type: none"> <li>Please provide complete contact details ( DTC number, name of contact person with phone number and e-mail address)</li> </ul>

#### Sequence C: Financial instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX	O	<ul style="list-style-type: none"> <li>Identifying the custodian by use of the BIC.</li> </ul>

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/03135	M	<ul style="list-style-type: none"> <li>DSS of DTCC and fictitious number for ACAT Frozen Letter transactions</li> </ul>
Seller	:95R::SELL/DTCYID/03135	M	<ul style="list-style-type: none"> <li>DSS of DTCC and fictitious number for ACAT Frozen Letter transactions (if counterparty is also Citibank DTC 908 please indicate Citibank account in SAFE)</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 14.2 MT542 Deliver free (DFP)

### Sequence B: Trade details

Field Name	:TAG::Field//Option/	Status	Notes
Narrative	:70E::SPRO//NCBO Please deliver with ACAT frozen letter. Contact at DTC xxxxx, name, telephone no., e-mail address	M	<ul style="list-style-type: none"> <li>Please provide complete contact details ( DTC number, name of contact person with phone number and e-mail address)</li> </ul>

### Sequence C: Financial instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX	O	<ul style="list-style-type: none"> <li>Identifying the custodian by use of the BIC.</li> </ul>

### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/03135	M	<ul style="list-style-type: none"> <li>DSS of DTCC and fictitious number for ACAT Frozen Letter transactions</li> </ul>
Buyer	:95R::BUYR/DTCYID/03135	M	<ul style="list-style-type: none"> <li>DSS of DTCC and fictitious number for ACAT Frozen Letter transactions (if counterparty is also Citibank DTC 908 please indicate Citibank account in SAFE)</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 15. Northbound-Flips

### 15.1 MT542 Deliver free (DFP)

#### Sequence C: Financial instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX	O	<ul style="list-style-type: none"> <li>Identifying the custodian by use of the BIC.</li> </ul>

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Receiving agent	:95R::REAG/DTCYID/5099	M	<ul style="list-style-type: none"> <li>DSS of DTCC and local number for Northbound-Flips</li> </ul>
Buyer	:95P::BUYR//BIC	M	<ul style="list-style-type: none"> <li>BIC code of buyer</li> </ul>
Safekeeping account	:97A::SAFE//CUID/Number	M	<ul style="list-style-type: none"> <li>Counterparty's four-digit CUID participant number followed by account number of final beneficiary at counterparty</li> <li>Example: :97A::SAFE//ABCD/0123456789</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 16. Southbound-Flips

### 16.1 MT540 Receive free (RFP)

#### Sequence C: Financial instrument/Account

Field Name	:TAG::Field//Option/	Status	Notes
Place of Safekeeping	:94F::SAFE//CUST/CITIUS33XXX	O	<ul style="list-style-type: none"> <li>Identifying the custodian by use of the BIC.</li> </ul>

#### Sequence E1: Settlement parties → SETPRTY

Field Name	:TAG::Field//Option/	Status	Notes
Delivering agent	:95R::DEAG/DTCYID/6000	M	<ul style="list-style-type: none"> <li>DSS of DTCC and local number for Southbound-Flips</li> </ul>
Seller	:95P::SELL/BIC	M	<ul style="list-style-type: none"> <li>BIC code of seller</li> </ul>
Safekeeping account	:97A::SAFE//CUID	M	<ul style="list-style-type: none"> <li>Counterparty's four-digit CUID participant number</li> </ul>
Place of Settlement	:95P::PSET//DTCYUS33XXX	M	<ul style="list-style-type: none"> <li>Identifying the place of settlement</li> </ul>

## 17. Physical Deliveries

Please find the formatting guidelines for US securities held in physical form in our [processing guide](#) available on the Custody Info Portal.

## 18. Disclaimer

The information contained in this document has been prepared based on publicly available information or information obtained from sources believed to be reliable. All information contained in this document is subject to change without notice. UBS offers no warranty and accepts no liability that the information provided is correct, complete and up to date. The information herein is not intended and shall not be interpreted as legal advice. Nothing in this document should be construed as a solicitation or offer or recommendation to acquire or dispose of any investment or to engage in any other transaction. Certain products and services are subject to legal restrictions and cannot be offered worldwide on an unrestricted basis.

© UBS 2017. The key symbol and UBS are among the registered and unregistered trademarks of UBS. All rights reserved.