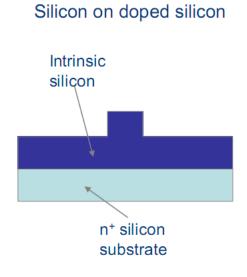
### Options for Silicon waveguides:



First reported by Soref & Lorenzo Electron. Lett., <u>21</u>, 1985. & *IEEE J. Quantum Elect.*, <u>QF-22</u>, 1986.

# Intrinsic silicon Al<sub>2</sub>O<sub>3</sub> substrate

Silicon on sapphire

First reported by Albares & Soref *Proc. SPIE*, <u>704</u>, 1987.

Intrinsic silicon

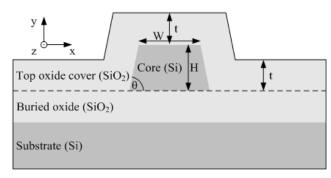
Buried Oxide (SiO<sub>2</sub>)

Silicon on Insulator (SOI)

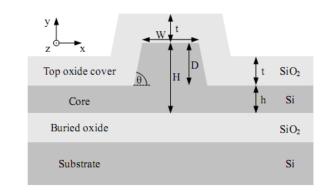
First reported by Soref & Lorenzo, OSA Integrated Guided Wave Optics '89, 1989.

Silicon substrate

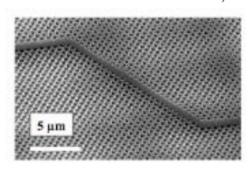
#### The Most Popular Waveguides:



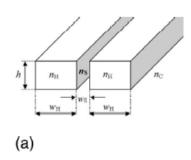
Strip waveguide (SM: 200×500 nm; 2-3 dB/cm loss)

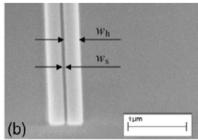


Rib/ridge waveguide (H=200-400 nm to several microns; 0.1 dB/cm loss)



Photonic crystal waveguide (L=100 µm; 3-4 dB/cm loss)

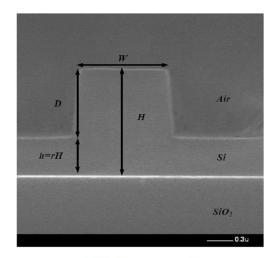




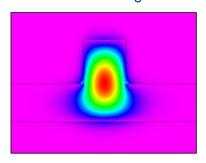
Slot waveguide (non-linear effects, sensors)

## **SOI Rib Waveguides:**

#### i- Large Rib WGs:

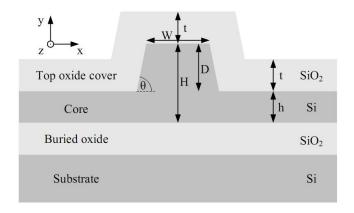


SOI rib waveguide



$$\frac{W}{H} \le 0.3 + \frac{r}{\sqrt{1 - r^2}}$$
 (for  $0.5 \le r < 1$ )

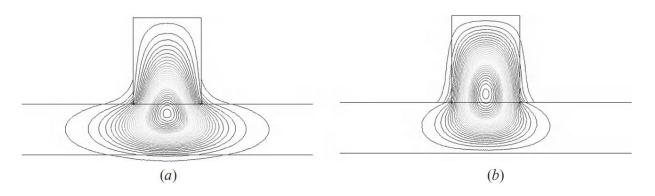
Soref's formula for single mode condition for large rib waveguides



Rib waveguide (H=400 nm to several microns; 0.1 dB/cm loss)

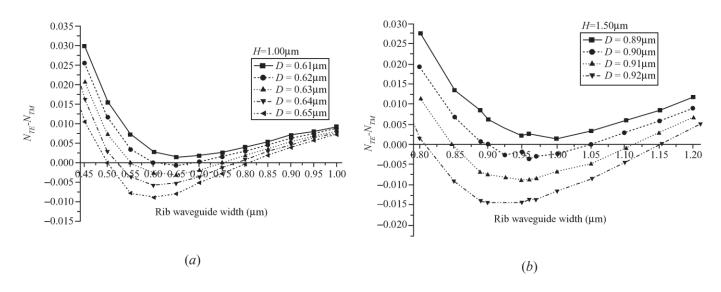
#### ii- Small Rib WGs

### Smaller WG → Stronger polarization dependent behavior

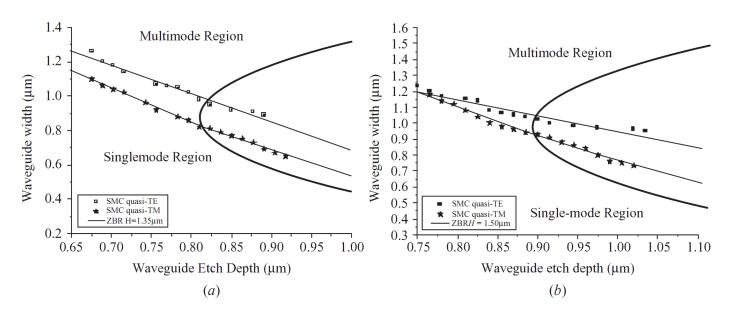


**Figure 2.7** (a) TE and (b) TM mode shapes for a rib waveguide in SOI with  $H = 1.35 \mu m$ ,  $D = 0.85 \mu m$ , and  $W = 0.70 \mu m$  [21]

# Characteristic curves extracted from simulation of specific Rib waveguide structures: (assuming $\lambda = 1550nm$ )



**Figure 2.8** Effective-index difference calculation between quasi-TE and quasi-TM polarized modes using the FEM, for waveguide heights of (a) 1.00  $\mu$ m; (b) 1.50  $\mu$ m [9]



**Figure 2.9** The single mode condition and the polarisation independence locus plotted on the same graph, for rib waveguides with height of: (a) 1.35  $\mu$ m; (b) 1.5  $\mu$ m [9]

# Sub-micron optical waveguides for silicon photonics formed via the Local Oxidation of Silicon (LOCOS):

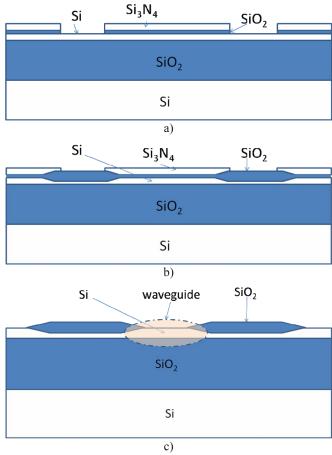


Fig: 1 LOCOS waveguides fabrication process: a) mask formation,b) oxidation through mask, c) mask removal to reveal waveguide

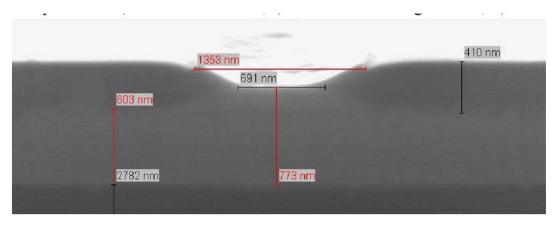
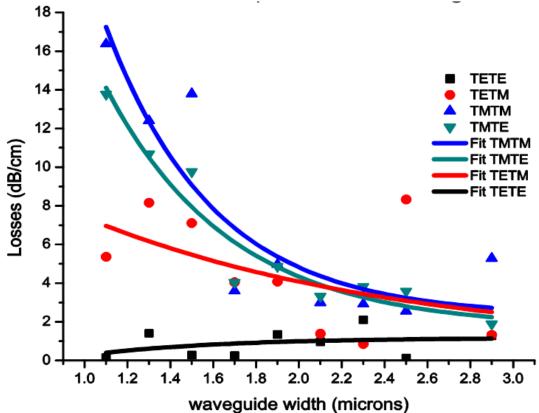


Fig: 2 SEM of the measured waveguides



waveguide width (microns)
Fig: 4 Polarisation dependent loss with waveguide width.

#### Low loss etchless silicon photonic waveguides:

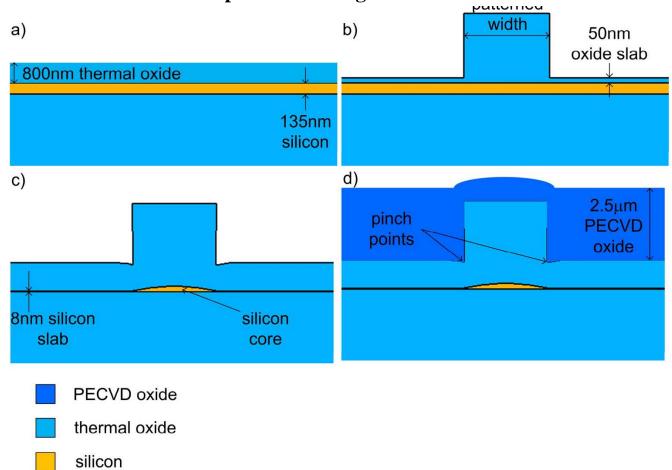


Fig. 1. Fabrication process for the etchless waveguides. a) 800 nm of thermal oxide are grown on an SOI wafer with a 3  $\mu$ m buried oxide. b) Waveguides are patterned with e-beam lithography and the oxide is etched. c) Waveguide core is defined using thermal oxidation. d) PECVD oxide is deposited as an overcladding.

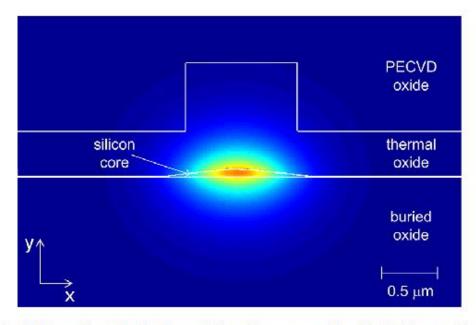


Fig. 2. TE mode profile for 1 µm wide etchless waveguide with cladding profile.



Fig. 3. Cross-section SEM image of an etchless waveguide.

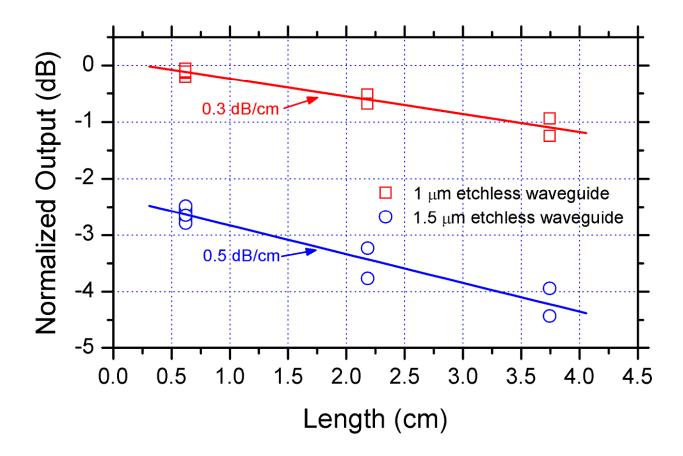


Fig. 5. Measurement results for etchless waveguides. We measured losses of  $0.3 \, dB/cm$  for a  $1 \, \mu m$  waveguide and  $0.5 \, dB/cm$  for a  $1.5 \, \mu m$  waveguide for the TE mode. Each marker denotes a measurement for a different waveguide on the same chip. The solid lines are the linear fit to the experimental data. The output is normalized relative to  $-16.1 \, dBm$ .

Low-loss silicon-on-insulator shallow-ridge TE and TM waveguides formed using thermal oxidation:

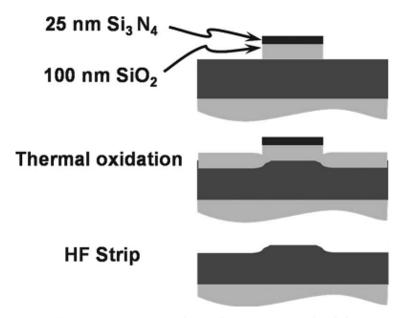


Fig. 4. Processing steps for ridge waveguide fabrication.

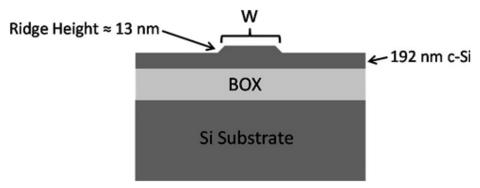


Fig. 3. Quasi-planar ridge SOI waveguide geometry. BOX thickness is  $2\,\mu\mathrm{m}$ .

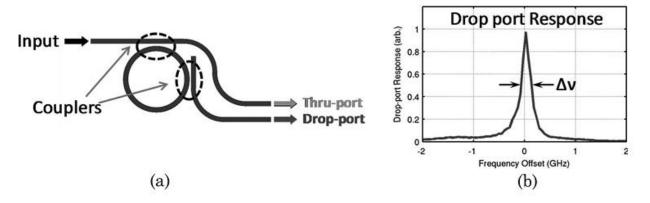


Fig. 6. (a) Ring resonator with add-drop ports and (b) an exemplary drop-port response.

#### **Ring resonator Measurements** 0.9 400 µm Ring, 1.44 µm Waveguide 8.0 Drop bort response (arb.) 0.7 0.8 0.0 0.3 0.0 0.3 TE Drop Port Response TM Drop Port response Q<sub>TE</sub>=1.6x106 - Δv<sub>FWHM,TE</sub>=124.37 MHz Q<sub>TM</sub>=6.8x10<sup>5</sup> Δν<sub>FWHM,TM</sub>=286.33 MHz 0.1 0 1537.17 1537.15 1537.16 1537.18 1537.19 1537.2

Fig. 7. (Color online) Drop-port responses for the TE and TM modes for a  $400\,\mu m$  radius ring resonator with "magic width" waveguide of  $1.44\,\mu m$ .

Wavelength (nm)