

## Section 5.2

### The Characteristic Equation

# The Invertible Matrix Theorem

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Let  $A$  be a square  $n \times n$  matrix, and let  $T: \mathbf{R}^n \rightarrow \mathbf{R}^n$  be the linear transformation  $T(x) = Ax$ . The following statements are equivalent.

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1.  $A$  is invertible.
2.  $T$  is invertible.
3.  $A$  is row equivalent to  $I_n$ .
4.  $A$  has  $n$  pivots.
5.  $Ax = 0$  has only the trivial solution.
6. The columns of  $A$  are linearly independent.
7.  $T$  is one-to-one.
8.  $Ax = b$  is consistent for all  $b$  in  $\mathbf{R}^n$ .
9. The columns of  $A$  span  $\mathbf{R}^n$ .
10.  $T$  is onto.
11.  $A$  has a left inverse (there exists  $B$  such that  $BA = I_n$ ).
12.  $A$  has a right inverse (there exists  $B$  such that  $AB = I_n$ ).
13.  $A^T$  is invertible.
14. The columns of  $A$  form a basis for  $\mathbf{R}^n$ .
15.  $\text{Col } A = \mathbf{R}^n$ .
16.  $\dim \text{Col } A = n$ .
17.  $\text{rank } A = n$ .
18.  $\text{Nul } A = \{0\}$ .
19.  $\dim \text{Nul } A = 0$ .

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19. The determinant of  $A$  is *not* equal to zero.
20. The number 0 is *not* an eigenvalue of  $A$ .

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### Important

The eigenvalues of  $A$  are the roots of the characteristic polynomial  $f(\lambda) = \det(A - \lambda I)$ .

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## Example

Question: What are the eigenvalues of

$$A = \begin{pmatrix} 5 & 2 \\ 2 & 1 \end{pmatrix}?$$



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### Shortcut

The characteristic polynomial of a  $2 \times 2$  matrix  $A$  is

$$f(\lambda) = \lambda^2 - \text{Tr}(A)\lambda + \det(A).$$

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## Example

**Question:** What are the eigenvalues of the rabbit population matrix

$$A = \begin{pmatrix} 0 & 6 & 8 \\ \frac{1}{2} & 0 & 0 \\ 0 & \frac{1}{2} & 0 \end{pmatrix}?$$



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# The Characteristic Polynomial

Poll

**Fact:** If  $A$  is an  $n \times n$  matrix, the characteristic polynomial

$$f(\lambda) = \det(A - \lambda I)$$

turns out to be a polynomial of degree  $n$ , and its roots are the eigenvalues of  $A$ :

$$f(\lambda) = (-1)^n \lambda^n + a_{n-1} \lambda^{n-1} + a_{n-2} \lambda^{n-2} + \cdots + a_1 \lambda + a_0.$$

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If you count the eigenvalues of  $A$ , with their algebraic multiplicities, you will get:

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What does this mean?

$A$  acts on the standard coordinates of  $x$  in the same way that  $B$  acts on the  $\mathcal{B}$ -coordinates of  $x$ :  $B[x]_{\mathcal{B}} = [Ax]_{\mathcal{B}}$ .

# Similarity

## Example

$$A = \begin{pmatrix} 1 & 2 \\ -1 & 4 \end{pmatrix} \quad B = \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix} \quad C = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \quad \implies \quad A = CBC^{-1}.$$

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What does  $B$  do geometrically? It scales the  $x$ -direction by 2 and the  $y$ -direction by 3.

So  $A$  does to the standard coordinates what  $B$  does to the  $\mathcal{B}$ -coordinates, where

$$\mathcal{B} = \left\{ \begin{pmatrix} 2 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix} \right\}.$$



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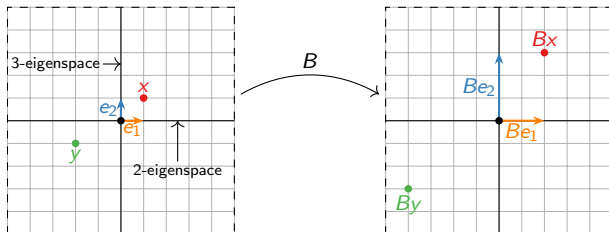
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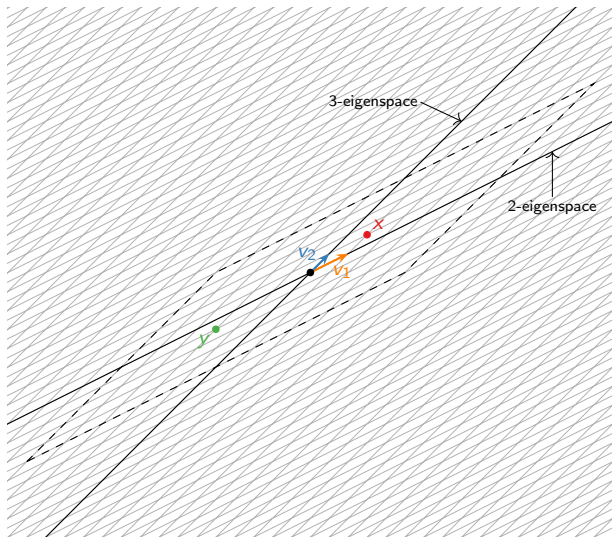
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$B$  acting on the usual coordinates



$A$  does to the usual coordinates what  $B$  does to the  $\mathcal{B}$ -coordinates



$$\left. \begin{aligned} v_1 &= \begin{pmatrix} 2 \\ 1 \end{pmatrix} \\ v_2 &= \begin{pmatrix} 1 \\ 1 \end{pmatrix} \end{aligned} \right\} \text{vectors in } \mathcal{B}$$

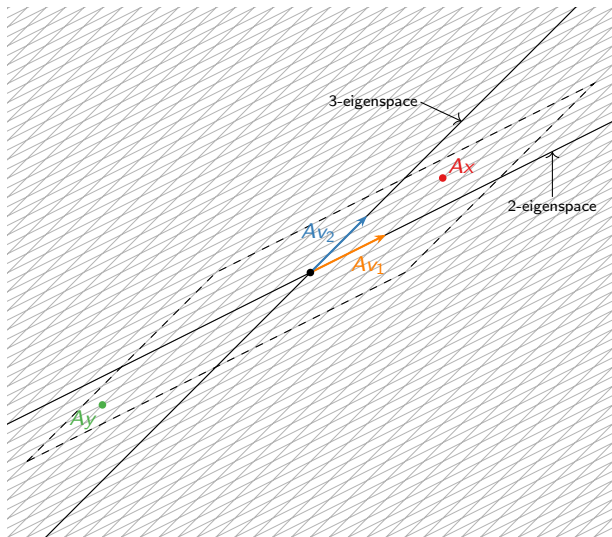
$$[x]_{\mathcal{B}} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$x =$$

$$[y]_{\mathcal{B}} = \begin{pmatrix} -2 \\ -1 \end{pmatrix}$$

$$y =$$

$A$  does to the usual coordinates what  $B$  does to the  $\mathcal{B}$ -coordinates



$$Av_1 =$$

$$Av_2 =$$

$$B[x]_{\mathcal{B}} = [Ax]_{\mathcal{B}}$$

$$Ax =$$

$$B[y]_{\mathcal{B}} = [Ay]_{\mathcal{B}}$$

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Check:

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**Fact:** If  $A$  and  $B$  are similar, then they have the same characteristic polynomial.

**Why?** Suppose  $A = CBC^{-1}$ .

**Consequence:** similar matrices have the same eigenvalues!

## Similar Matrices Have the Same Characteristic Polynomial

**Fact:** If  $A$  and  $B$  are similar, then they have the same characteristic polynomial.

**Why?** Suppose  $A = CBC^{-1}$ .

**Consequence:** similar matrices have the same eigenvalues!  
(But different eigenvectors in general.)

# Similarity

## Caveats

### Warning

1. Matrices with the same eigenvalues need not be similar.  
For instance,

$$\begin{pmatrix} 2 & 1 \\ 0 & 2 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$$

both only have the eigenvalue 2, but they are not similar.



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### Warning

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2. Similarity has nothing to do with row equivalence. For instance,

$$\begin{pmatrix} 2 & 1 \\ 0 & 2 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

are row equivalent, but they have different eigenvalues.