

# Robust Attribution Regularization

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<https://qdata.github.io/deep2Read/>

# Motivation

- Deep Learning is treated as black box, which is too much to understand or interpret
- Robust attribution plays important fundamental role for humans in classification tasks, but only recently, draw attentions to ML area
- Lack of attention makes DL vulnerable to adversarial examples:
  - Brittle predictions: model robustness
  - Brittle attributions: Explanation robustness

# Proposed Solution

- Add robust attribution regularization term in training
- RAR aims to regularize the training so the resulting model will have robust attributions that are not substantially changed under minimal input perturbations.

# Preliminary Concept

- Attribution:

Compare the DNN output  $F(x)$  to what its output would have been if the input feature were  $x_i$  were not active (replace by some information-less baseline value  $b_i$ )

Formula :

$$A_i^F(x; b) = F(x) - F(x[x_i = b_i])$$

# Preliminary Concept

## - Axiom of Attribution:

- Completeness or Additivity: Sum of feature attribution equals to  $F(x)$
- Sensitivity: For non-zero feature and  $F(x) \neq 0$ , attribution of that feature is not zero
- Implementation Variance: When two neural network compute the same mathematical function, regardless how differently they are implemented, the attributions for all features should be the same

# Preliminary Concept

## - Axiom of Attribution Cont.:

- Linearity: compose two NN,  $H = aF + bG$ , indicates attributions are the weighted sum
- Symmetry-Preserving: For any input  $x$  where the values for two symmetric features (interchange them does not change the function mathematically) are the same, the attributions should be the same.

Symmetric features Ex:  $F(x) = \min(1, x_1 + x_2)$

# Related Work

- Based on proof from economic side knowledge(Friedman, Eric J et al.):  
Path Integrated Gradient method to calculate attribution satisfies all axioms except last one.

Path function:  $x=g(\alpha)$ . Infinite number of possible paths available

The attribution of the feature at dimension i can be calculated as:

$$A_i^{F,\Pi}(x) = \int_0^1 \partial_i F(g(\alpha)) \frac{\partial g_i(\alpha)}{\partial \alpha} d\alpha.$$

- Paper by Sundararajan et. al states that attribution using the Integrated Gradient along the **straight line** from the origin to  $x$  is the unique Path Method that also satisfies the last axiom.

uniformly scaling:  $g_i(\alpha) = \alpha x_i$ , so the derivative term equals  $x_i$  and the function simplifies to:

$$A_i^F(x) = x_i \int_0^1 \partial_i F(\alpha x) d\alpha$$

- The paper uses the general formulation

# Claim / Target Task

- Using IG method to quantify attributions
- Robust Attribution Regularization:

$$\underset{\theta}{\text{minimize}} \quad \mathbb{E}_{(\mathbf{x}, y) \sim P} [\rho(\mathbf{x}, y; \theta)]$$

$$\text{where } \rho(\mathbf{x}, y; \theta) = \ell(\mathbf{x}, y; \theta) + \lambda \max_{\mathbf{x}' \in N(\mathbf{x}, \varepsilon)} s(\text{IG}_{\mathbf{h}}^{\ell_y}(\mathbf{x}, \mathbf{x}'; r))$$

- $P$  : data distribution
- $\theta$ : Model parameter set
- $\lambda$  : regularization parameter
- $\mathbf{x}$ : input
- $\mathbf{x}'$ : perturbed input
- IG: Give the attribution of features respect to the changes of loss value (apply to intermediate layer  $\mathbf{h}$ )
- $s$ : size function

# Formula Insight

- RAR gives principled generalizations of objective designed for robust predictions in both uncertainty set model and distributional robustness model
- Uncertainty set model:
  - (Madry et al)  $\lambda = 1$  and size function is Sum() and  $L^\infty$ -Norm bounded perturbation  
 $\rho(\mathbf{x}, \mathbf{y}; \theta) = \max_{\mathbf{x}' \in N(\mathbf{x}, \varepsilon)} \ell(\mathbf{x}', \mathbf{y}; \theta).$
  - Input gradient regularization       $\rho(\mathbf{x}, \mathbf{y}; \theta) = \ell(\mathbf{x}, \mathbf{y}; \theta) + \lambda \|\nabla_{\mathbf{x}} \ell(\mathbf{x}, \mathbf{y}; \theta)\|_q^q.$
  - Regularization by attribution of the loss output:  
$$\rho(\mathbf{x}, \mathbf{y}; \theta) = \ell(\mathbf{x}, \mathbf{y}; \theta) + \max_{\mathbf{x}' \in N(\mathbf{x}, \varepsilon)} \{ |\ell_y(\mathbf{x}') - \ell_y(\mathbf{x})| \}$$
- Distributional Robustness Model
  - Wasserstein prediction robustness

$$\underset{\theta}{\text{minimize}} \quad \left\{ \underset{P}{\mathbb{E}}[\ell(P; \theta)] + \lambda \sup_{Q; M \in \prod(P, Q)} \left\{ \underset{M=(Z, Z')}{\mathbb{E}} [d_{\text{IG}}(Z, Z') - \gamma c(Z, Z')] \right\} \right\} \quad 9$$

# Formula Insight Cont.

- for 1-layer neural networks, RAR naturally degenerates to max-margin training.

# Implementation

- IG-NORM: Size function is L1-Norm

$$\underset{\theta}{\text{minimize}} \quad \mathbb{E}_{(\mathbf{x}, y) \sim P} \left[ \ell(\mathbf{x}, y; \theta) + \lambda \max_{\mathbf{x}' \in N(\mathbf{x}, \varepsilon)} \| \text{IG}^{\ell_y}(\mathbf{x}, \mathbf{x}') \|_1 \right]$$

- IG-SUM-NORM:  $s(\cdot) = \text{sum}(\cdot) + \beta^* \text{L1-Norm}(\cdot)$

$$\underset{\theta}{\text{minimize}} \quad \mathbb{E}_{(\mathbf{x}, y) \sim P} \left[ \max_{\mathbf{x}' \in N(\mathbf{x}, \varepsilon)} \left\{ \ell(\mathbf{x}', y; \theta) + \beta \| \text{IG}^{\ell_y}(\mathbf{x}, \mathbf{x}') \|_1 \right\} \right]$$

- SGD Training
- Attack: PDG attack

# Data Summary

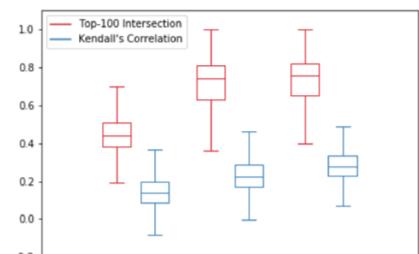
- MNIST, Fashion-MNIST, GTSRB, Flower
- Evaluation: Accuracy+Kendall's tau rank order correlation+Top-k intersection

# Experimental Results

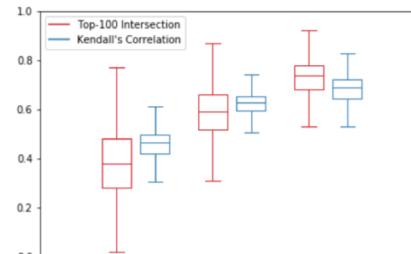
Dataset	Approach	Nat Acc.	Adv Acc.	TopK Inter.	Rank Corr.
MNIST	NATURAL	99.17%	0.00%	46.61%	0.1758
	Madry et al.	98.40%	92.47%	62.56%	0.2422
	IG-NORM	98.74%	81.43%	71.36%	0.2841
	IG-SUM-NORM	98.34%	88.17%	<b>72.45%</b>	<b>0.3111</b>
Fashion-MNIST	NATURAL	90.86%	0.01%	39.01%	0.4610
	Madry et al.	85.73%	73.01%	46.12%	0.6251
	IG-NORM	85.13%	65.95%	59.22%	0.6171
	IG-SUM-NORM	85.44%	70.26%	<b>72.08%</b>	<b>0.6747</b>
GTSRB	NATURAL	98.57%	21.05%	54.16%	0.6790
	Madry et al.	97.59%	83.24%	68.85%	0.7520
	IG-NORM	97.02%	75.24%	<b>74.81%</b>	0.7555
	IG-SUM-NORM	95.68%	77.12%	74.04%	<b>0.7684</b>
Flower	NATURAL	86.76%	0.00%	8.12%	0.4978
	Madry et al.	83.82%	41.91%	55.87%	0.7784
	IG-NORM	85.29%	24.26%	64.68%	0.7591
	IG-SUM-NORM	82.35%	47.06%	<b>66.33%</b>	<b>0.7974</b>

# Experimental Results

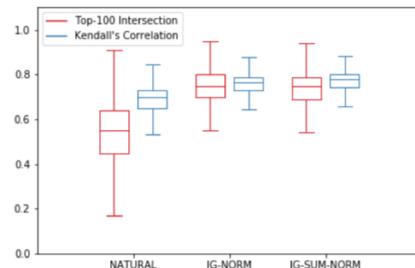
- Compared with naturally trained model, RAR only sacrifice small drops on testing accuracy. (Right thing to do, not learning spurious relationships)
- But gives robust predictions and robust attribution



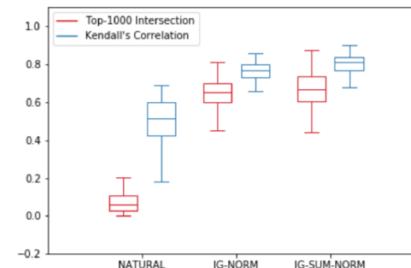
(a) MNIST



(b) Fashion-MNIST



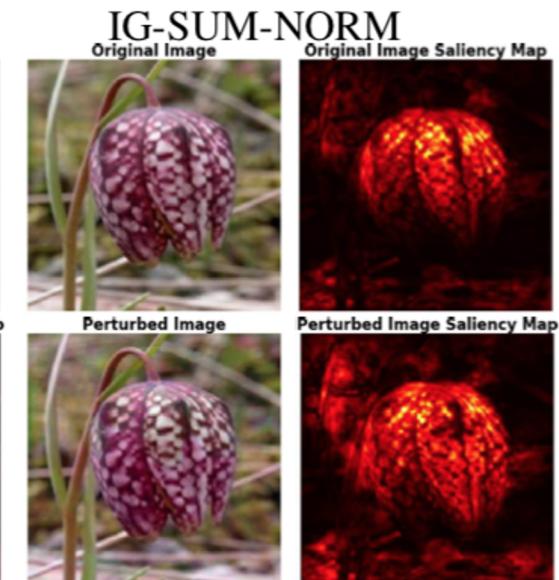
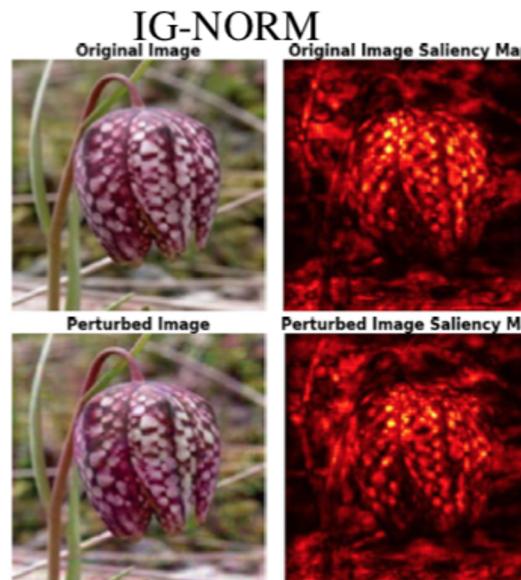
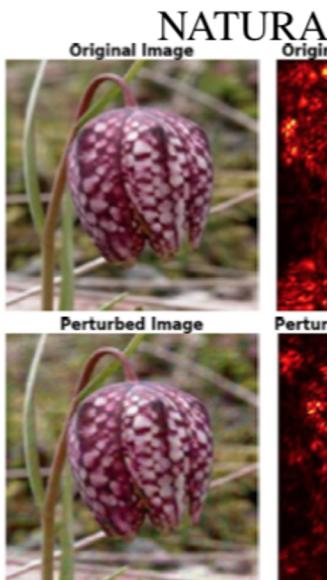
(c) GTSRB



(d) Flower

# Experimental Results

- Very Interesting: RAR leads to much human aligned attributions  
We can explicitly see the highlighted attributions are flower-shaped.



Top-1000 Intersection: 0.1%  
Kendall's Correlation: 0.2607

Top-1000 Intersection: 58.8%  
Kendall's Correlation: 0.6736

Top-1000 Intersection: 60.1%  
Kendall's Correlation: 0.6951

# Project Idea

Model to learn robust attributions and connect to explainable model.

Using robust attribution training as feature extractions and feed into looks like model