Input Switched Affine Recurrent Networks: An RNN Architecture Designed for Interpretability

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Motivation

- Interpreting Neural Networks
- ② Crucial in many applications: self driving cars, medical diagnosis, power grid control, etc.

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 - + High Accuracy
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 For example, break down LSTM model errors into classes
 - + High Accuracy
 - Hard to interpret
- ② Design interpretability into the architecture For example, decision trees, logistic regression, etc.
 - + Better understanding
 - accuracy suffers

Input Switched Affine Networks: ISAN

Vanilla RNN

$$\boldsymbol{h}_{t+1} = \sigma(\boldsymbol{U}\boldsymbol{x}_t + \boldsymbol{W}\boldsymbol{h}_t + \boldsymbol{b}) \tag{1}$$

$$I_t = \sigma(W_{ro}h_t + b_{ro}) \tag{2}$$

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ISAN

$$\boldsymbol{h}_t = \boldsymbol{W}_{x_t} \boldsymbol{h}_{t-1} + \boldsymbol{b}_{x_t} \tag{3}$$

$$I_t = W_{ro}h_t + b_{ro} \tag{4}$$

ISAN: Accuracy Comparison

Parameter count	8e4	3.2e5	1.28e6
RNN	1.88	1.69	1.59
IRNN	1.89	1.71	1.58
GRU	1.83	1.66	1.59
LSTM	1.85	1.68	1.59
ISAN	1.92	1.71	1.58

Figure: *

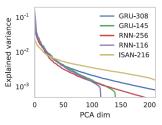
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ISAN

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$$\boldsymbol{h}_t = \boldsymbol{W}_{x_t} \boldsymbol{h}_{t-1} + \boldsymbol{b}_{x_t} \tag{5}$$

$$I_t = W_{ro}h_t + b_{ro} \tag{6}$$

ISAN

$$\boldsymbol{h}_{t} = \sum_{s=0}^{t} \left(\prod_{s'=s+1}^{t} \boldsymbol{W}_{x'_{s}} \right) \boldsymbol{b}_{x_{s}}$$
 (7)

ISAN

ISAN

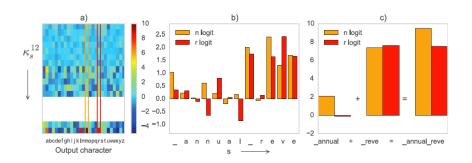
$$\kappa_s^t = \boldsymbol{W}_{ro} \Big(\prod_{s'=s+1}^t \boldsymbol{W}_{x'_s} \Big) \boldsymbol{b}_{x_s}$$
(8)

$$\boldsymbol{I}_{t} = \boldsymbol{b}_{ro} + \sum_{s=0}^{t} \kappa_{s}^{t}$$
 (9)

Linearity of κ

Consider string: "_annual_revenue"
How does "_annual" affect output after "_rev"?

$$\boldsymbol{I}_{t} = \boldsymbol{b}_{ro} + \sum_{s=0}^{t'} \boldsymbol{\kappa}_{s}^{t} + \sum_{s=t'}^{t} \boldsymbol{\kappa}_{s}^{t}$$
 (10)



ISAN: information timescales of network

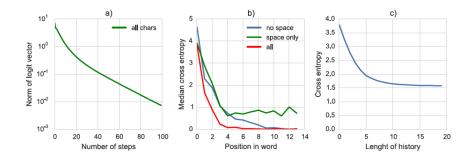


Figure: *

- A κ_s^t averaged for all characters as a function of t-s
- B Importance of "_" character in decoding
- C Cross entropy as a function of number of characters considered for prediction

Characters to Words

we can aggregate all of the κ_s^t belonging to a given word and visualize them as a single contribution to the prediction of the letters in the next word

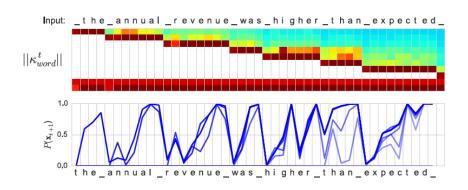


Figure: *

- ① Divide the hidden space into a subspace P_{\parallel}^{ro} spanned by the rows of the readout matrix W_{ro} and its orthogonal complement P_{\parallel}^{ro}
- Thus, 27 dimensions for readout and (216-27) for computational subspace.

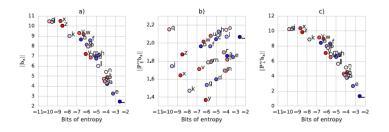


Figure: *

Information content related to the computation subspace.

A the norm of the learnt b_x is strongly correlated to the log-probability of the unigram x in the training data.

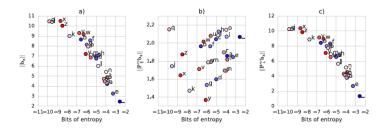


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- A the norm of the learnt b_x is strongly correlated to the log-probability of the unigram x in the training data.
- B this correlation is not related to reading out the next-step prediction

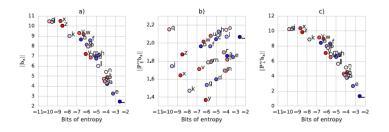
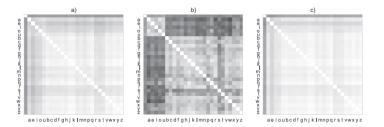


Figure: *

Information content related to the computation subspace.

- A the norm of the learnt b_x is strongly correlated to the log-probability of the unigram x in the training data.
- B this correlation is not related to reading out the next-step prediction
- C This implies a connection between information or surprise and distance in the computational subspace of state space.



- A Cosine distance/ correlation in original space
- B Cosine distance/ correlation in readout space or P_{\parallel}^{ro} two blocks of high correlations between the vowels and consonants respectively, while b_{-} is uncorrelated to either
- C Cosine distance/ correlation in readout space or ${m P}_{\perp}$

Parantheses Counting Task

- The Task: Count the number of opened parens [,(
- 2 Input: One hot encoded vector
- Target Output: nesting level at previous timestep
- output: two-hot encoded 0-5 count (12 dimensional 2-hot encoded vector)

Paranthesis Counting

Using an augmented matrix and an augmented vector, it is possible to represent both the translation and the linear map using a single matrix multiplication:

ISAN:

$$\boldsymbol{h}_{t+1} = \boldsymbol{W}\boldsymbol{h}_t + \boldsymbol{b} \tag{11}$$

$$\boldsymbol{h}_{t+1}^{'} = \boldsymbol{W}^{'}\boldsymbol{h}_{t}^{'} \tag{12}$$

Paranthesis Counting: Change of Bases

- ① Divide the hidden space into a subspace $m{P}_{\parallel}^{ro}$ and its orthogonal complement $m{P}_{\perp}^{ro}$
- 2 Learn bases by linear regression to encourage augmented matrices and hidden states to be sparse

Paranthesis Counting: Change of Bases

$$\mathbf{W}_x' = \begin{bmatrix} \mathbf{W}_x^{rr} \ \mathbf{W}_x^{rc} \ \mathbf{b}_x^r \\ \mathbf{W}_x^{cr} \ \mathbf{W}_x^{cc} \ \mathbf{b}_x^c \\ \mathbf{0}^T \ \mathbf{0}^T \ 1 \end{bmatrix} \quad \mathbf{h}_t' = \begin{bmatrix} \mathbf{h}_t^r \\ \mathbf{h}_t^c \\ 1 \end{bmatrix}$$

and the update equation can be written as

$$\mathbf{h}_{t+1}' = \mathbf{W}_x' \mathbf{h}_t' = \begin{bmatrix} \mathbf{W}_x^{rr} \mathbf{h}_t^r + \mathbf{W}_x^{rc} \mathbf{h}_t^c + \mathbf{b}_x^r \\ \mathbf{W}_x^{cr} \mathbf{h}_t^r + \mathbf{W}_x^{cc} \mathbf{h}_t^c + \mathbf{b}_x^c \\ 1 \end{bmatrix}.$$

Figure: Equations after subspace decomposition

Paranthesis Counting: Interpretation

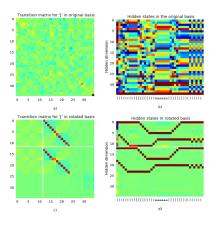


Figure: Dynamics of ISAN for '['

- lacktriangledown leftmost 12 columns $oldsymbol{W}^{rr}_{[}$ $oldsymbol{W}^{cr}_{[}$ are zero
- 2 h_t^r has no influence on \mathbf{h}_{t+1}



Paranthesis Counting: Interpretation

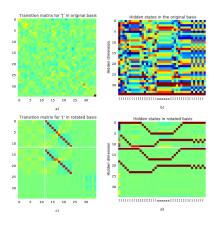


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1 $\boldsymbol{W}_{\text{l}}^{\textit{rc}}$ is identity; $h_{t}^{\textit{r}} = h_{t-1}^{\textit{c}}$

Paranthesis Counting: Interpretation

