# Input Switched Affine Recurrent Networks: An RNN Architecture Designed for Interpretability

Jakob N. Foerster\* <sup>1</sup>, Justin Gilmer\* <sup>1</sup>, Jascha Sohl-Dickstein <sup>1</sup>, Jan Chorowski <sup>1</sup>, David Sussillo <sup>1</sup>

<sup>1</sup>Google Brain

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Presenter: Arshdeep Sekhon

#### Motivation

- Interpreting Neural Networks
- 2 Crucial in many applications: self driving cars, medical diagnosis, power grid control, etc.

#### Related Work

- Post Hoc Analysis: After training a network, try and analyze it.
  - + High Accuracy
  - Hard to interpret

For example, break down LSTM model errors into classes

- Oesign interpretability into the architecture
  - + Better understanding
  - accuracy suffers

For example, decision trees, logistic regression, etc.

#### Input Switched Affine Networks: ISAN

#### Vanilla RNN

$$\mathbf{h}_{t+1} = \sigma(\mathbf{U}\mathbf{x}_t + \mathbf{W}\mathbf{h}_t + \mathbf{b}) \tag{1}$$

$$\boldsymbol{I}_t = \sigma(\boldsymbol{W}_{ro}\boldsymbol{h_t} + \boldsymbol{b}_{ro}) \tag{2}$$

#### **ISAN**

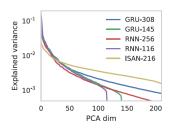
$$\boldsymbol{h}_{t+1} = \boldsymbol{W}_{x_t} \boldsymbol{h}_t + \boldsymbol{b}_{x_t} \tag{3}$$

$$I_t = W_{ro}h_t + b_{ro} \tag{4}$$

## ISAN: Accuracy Comparison

Parameter count	8e4	3.2e5	1.28e6
RNN	1.88	1.69	1.59
IRNN	1.89	1.71	1.58
GRU	1.83	1.66	1.59
LSTM	1.85	1.68	1.59
ISAN	1.92	1.71	1.58

Figure: Accuracy

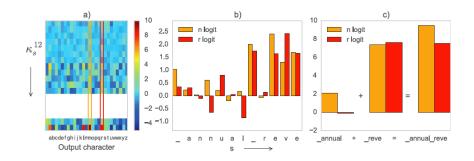


$$\boldsymbol{h}_{t+1} = \boldsymbol{W}_{x_t} \boldsymbol{h}_t + \boldsymbol{b}_{x_t} \tag{5}$$

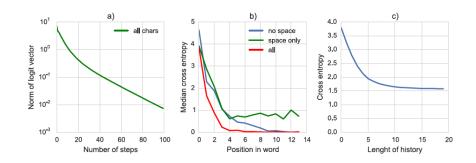
$$\mathbf{h}_t = \sum_{s=0}^t \left( \prod_{s'=s+1}^t \mathbf{W}_{\mathbf{x}_{s'}} \right) \mathbf{b}_{\mathbf{x}_s},$$

$$\begin{aligned} \mathbf{l}_t &= \mathbf{b}_{ro} + \sum_{s=0}^t \kappa_s^t \\ \kappa_s^t &= \mathbf{W}_{ro} \left( \prod_{s'=s+1}^t \mathbf{W}_{\mathbf{x}_{s'}} \right) \mathbf{b}_{\mathbf{x}_s}, \end{aligned}$$

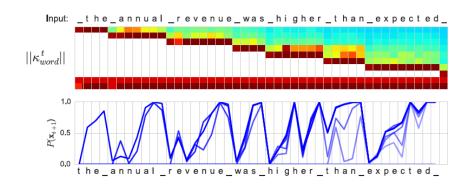
## Linearity of $\kappa$



#### ISAN: information timescales of network



#### Characters to Words



#### Change of Basis

- ① Divide the hidden space into a subspace  $P_{\parallel}^{ro}$  spanned by the rows of the readout matrix  $W_{ro}$  and its orthogonal complement  $P_{\parallel}^{ro}$
- Thus, 27 dimensions for readout and (216-27) for computational subspace.

## Change of basis

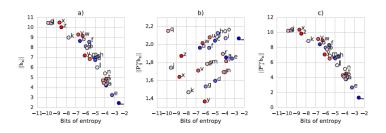


Figure: Information content related to the computation subspace.

# Change of basis

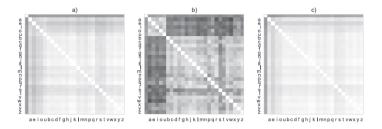


Figure: Correlation in  ${m b}_{\!\scriptscriptstyle X}.$  High correlation between vowels and consonants explained by  ${m P}_{\scriptscriptstyle \parallel}^{ro}$ 

# Parantheses Counting Task

- The Task: Count the number of opened parens [,(
- 2 Input: One hot encoded vector
- Target Output: nesting level at previous timestep
- output: two-hot encoded 0-5 count (12 dimensional 2-hot encoded vector)

#### Paranthesis Counting

Using an augmented matrix and an augmented vector, it is possible to represent both the translation and the linear map using a single matrix multiplication:

ISAN:

$$h_{t+1} = Wh_t + b$$

$$W' = \begin{bmatrix} W & b \\ 0^T & 1 \end{bmatrix}$$

$$h'_t = \begin{bmatrix} h_t \\ 1 \end{bmatrix}$$

$$h'_{t+1} = W'h_t'$$
(6)

## Paranthesis Counting: Change of Bases

- ① Divide the hidden space into a subspace  $P_{\parallel}^{ro}$  and its orthogonal complement  $P_{\parallel}^{ro}$
- Learn bases by linear regression to encourage augmented matrices and hidden states to be sparse

# Paranthesis Counting: Change of Bases

$$\mathbf{W}_x' = \begin{bmatrix} \mathbf{W}_x^{rr} \ \mathbf{W}_x^{rc} \ \mathbf{b}_x^r \\ \mathbf{W}_x^{cr} \ \mathbf{W}_x^{cc} \ \mathbf{b}_x^c \\ \mathbf{0}^T \ \mathbf{0}^T \ 1 \end{bmatrix} \quad \mathbf{h}_t' = \begin{bmatrix} \mathbf{h}_t^r \\ \mathbf{h}_t^c \\ 1 \end{bmatrix}$$

and the update equation can be written as

$$\mathbf{h}_{t+1}' = \mathbf{W}_x' \mathbf{h}_t' = \begin{bmatrix} \mathbf{W}_x^{rr} \mathbf{h}_t^r + \mathbf{W}_x^{rc} \mathbf{h}_t^c + \mathbf{b}_x^r \\ \mathbf{W}_x^{cr} \mathbf{h}_t^r + \mathbf{W}_x^{cc} \mathbf{h}_t^c + \mathbf{b}_x^c \\ 1 \end{bmatrix}.$$

Equations after subspace decomposition

# Paranthesis Counting: Interpretation

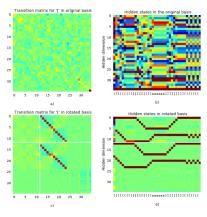


Figure: Dynamics of ISAN for '['

- lacktriangledown leftmost 12 columns  $oldsymbol{W}^{rr}_{ar{ar{iglta}}}$   $oldsymbol{W}^{cr}_{ar{iglta}}$  are zero
- ②  $h_t^r$  has no influence on  $\dot{h}_{t+1}$



#### Paranthesis Counting: Interpretation

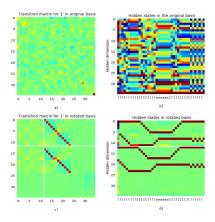


Figure: Dynamics of ISAN for '['

**1**  $\boldsymbol{W}_{l}^{rc}$  is identity;  $h_{t}^{r} = h_{t-1}^{c}$ 

#### Paranthesis Counting: Interpretation

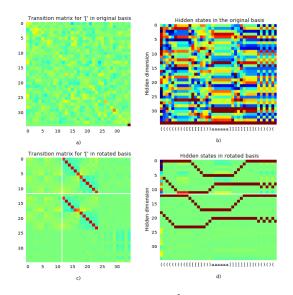


Figure: Dynamics of ISAN for '[': Delay Line Dynamics