

$$\|H(s)\|_{\mathcal{Q}_0} = (1-s) \begin{pmatrix} 0 & & & \\ 0 & 1 & & \\ & 0 & \ddots & \\ & & \ddots & 0 \\ 0 & -s & \cdots & 0 \end{pmatrix} + s \begin{pmatrix} \frac{1}{2} - \frac{1}{2}s & 0 & & \\ -\frac{1}{2} & 1 & & \\ & 0 & \ddots & \\ & & \ddots & -\frac{1}{2} \\ 0 & & & \frac{1}{2} \end{pmatrix}$$

Bound for  $s < \frac{1}{3}$

Gershgorin circle theorem Let  $A$  be any matrix with entries  $a_{ij}$ .

Consider the disk  $D_i$  (for  $1 \leq i \leq n$ ) in the complex plane

defined as  $D_i = \{ z \mid |z - a_{ii}| \leq \sum_{j \neq i} |a_{ij}| \}$ . Then the eigenvalues of  $A$  are contained in  $\cup_i D_i$  and any connected component of  $\cup_i D_i$  contains as many eigenvalues of  $A$  as the number of disks in that component.

here we show  
disk 1 is violated



for  $w$  with a line

TO PROVE:

$$D_1 = \{ z \mid |z - c_{11}| \leq r_1 \}$$

- $i=1$ 
 $\rightarrow a_{11} = \frac{1}{2}s < \frac{1}{6}$  for  $s < \frac{1}{3}$

$$\rightarrow \sum_{j \neq 1} |a_{1j}| = \frac{1}{2}s < \frac{1}{6}$$



- $i=L+1$

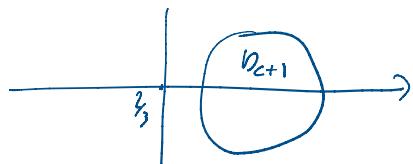
$$\rightarrow a_{(L+1)(L+1)} = 1 - \frac{1}{2}s > \frac{5}{6}$$

$$\rightarrow \sum_{j \neq L+1} |a_{(L+1)j}| = \frac{s}{2} < \frac{1}{6}$$

(over in RMP paper? eq. (5b))

$$D_{L+1} = \{ z \mid |z - c_{L+1}| \leq r_1 \}$$

$\downarrow$   
 $\geq \frac{5}{6}$        $\downarrow$   
 $\leq \frac{1}{6}$



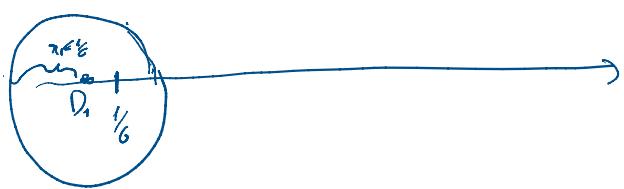
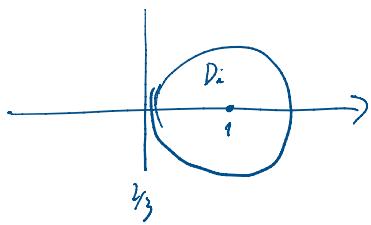
- $i \neq 1, L+1$

$$\rightarrow a_{ii} = 1$$

$$\rightarrow \sum_{j \neq i} |a_{ij}| = s < \frac{1}{3}$$



$$\rightarrow \sum_{j \neq i} |a_{ij}| = > < \frac{1}{3}$$



Conclusion: for  $s < \frac{1}{3}$  gap  $\geq \frac{1}{3} \Rightarrow$  separation between  $D_i$  and other disks.

Bound for  $s \geq \frac{1}{3}$

Consider  $G(s) = I - H_{\infty}(s) = \begin{pmatrix} 1 - \frac{1}{2}s & \frac{1}{2}s & 0 & \dots & - \\ \frac{1}{2}s & 0 & \frac{1}{2}s & \dots & 0 \\ 0 & - & 0 & \ddots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & 0 & \dots & \frac{1}{2}s \end{pmatrix}$

→ Matrix is Hermitian and has all non-negative entries

→  $G(s)^{L+1}$  has all positive entries for  $0 < s \leq 1$

Born's theorem: Let  $G$  be an Hermitian matrix with real non-negative entries. If  $\exists$  a finite  $K$  s.t. all entries of  $G^K$  are positive, then  $G$ 's largest eigenvalue is positive (and unique). The eigenvector corresponding to this eigenvalue has only strictly positive entries.

→  $G$ 's largest eigenvalue  $\mu > 0$

→ eigenvector  $\vec{\alpha} = (\alpha_1, \dots, \alpha_{n+1})$ , with  $\alpha_i > 0$ .

Consider stochastic matrix: blw largest & second largest  
∴  $I - G$  ...  
we want  $A$ 's smallest & next smallest.

reflecting  
w.r.t. the  
pos. eigenvalue  $P_{ij} = \frac{\alpha_j}{\mu \alpha_i} \quad G_{ij} \geq 0 \quad ; \quad \sum_i P_{ij} = \frac{1}{\mu \alpha_i} \sum_j G_{ij} \alpha_j = 1$

If  $(\alpha_1 v_1, \dots, \alpha_{n+1} v_{n+1})$  is a left eigenvector of  $P$  with

If  $(\alpha_1 v_1, \dots, \alpha_{L+1} v_{L+1})$  is a left eigenvector of  $P$  with eigenvalue  $\frac{\nu}{\mu}$

$$\sum_i \alpha_i v_i P_{ij} = \frac{\nu}{\mu} \alpha_j v_j \Leftrightarrow \sum_i \frac{\alpha_i v_i \alpha_j G_{ij}}{\mu \nu} = \frac{\nu}{\mu} \alpha_j v_j$$

$$\Leftrightarrow \sum_i v_i G_{ij} = \frac{\nu}{\mu} v_j$$

$\vec{v} = (v_1, \dots, v_{L+1})$  is a eigenvector of  $G$  with eigenvalue  $\frac{\nu}{\mu}$  OK...

and vice-versa.

$\Rightarrow$  Taking  $\vec{v} = (\alpha_1, \dots, \alpha_{L+1})$ ,

$$\vec{\pi} = \frac{1}{Z} (\alpha_1^2, \dots, \alpha_{L+1}^2), \quad Z = \sum_i \alpha_i^2 \quad \text{so } \frac{1}{Z} = 1$$

is a left eigenvector of  $P$  with the maximum eigenvalue 1. (✓)

$P \vec{\pi} = \vec{\pi} \rightarrow$  limiting distribution of  $P$

Def. Gap of  $P$ :  $\frac{\delta}{\mu} \Rightarrow$  2nd largest EV of  $P$  is  $1 - \frac{\delta}{\mu} = \frac{\mu - \delta}{\mu}$

$\Rightarrow$  Gap of  $G = \mu - \delta \Rightarrow$  Gap of  $H = I - G$

To compute gap of  $P$  we use the conductance bound (Sinclair, Jerrum 1987)

To define conductance of  $P$ ,  $\Phi(P)$ , let us define a non-empty set

$B \in \{1, 2, \dots, L+1\}$  satisfying

$$\sum_{i \in B} \pi_i \leq \frac{1}{2} \quad ; \quad \vec{\pi} = \frac{1}{Z} (\alpha_1^2, \dots, \alpha_{L+1}^2)$$

highest eigenvalue vector  
 $\vec{\pi}$  principal eigenvector of  $G$

Conductance  $\Phi(P)$ :

$$\Phi(P) = \min_{B \subseteq \{1, 2, \dots, L+1\}} \frac{F(B)}{\pi_B} \quad \text{where} \quad F(B) = \sum_{i \in B} \sum_{j \notin B} \pi_i P_{ij}$$

$$\varphi(P) = \min_B \frac{F(B)}{\Pi(B)} \quad \text{where} \quad F(B) = \sum_{i \in B} \sum_{j \notin B} T_{ij} \cdot \bar{x}_{ij}$$

$$\Pi(B) = \sum_{i \in B} T_{ii}$$

Conductance bound (Jain, Sinclair 1989)

$$\Delta(P) \geq \frac{1}{2} \varphi(P)^2$$

$\Downarrow$   
 $1 - \lambda_2$

To compute the conductance, we first show that  $\bar{\omega}$  is a monotone f.e.

$$\alpha_1 > \alpha_2 > \dots > \alpha_n.$$

$$\Pi_i = \frac{\alpha_i^2}{Z-\text{norm}}$$

$\Rightarrow \Pi_1 > \Pi_2 > \dots > \Pi_{L+1}$  if  $\vec{v}$  is a monotone  $G(s) \vec{v} = \vec{\omega}$  is also a monotone ( $G(s)$  preserves monotonicity)

$$\text{Proof: } w_1 = (1 - \frac{1}{2}s)v_1 + \frac{1}{2}s v_2$$

$$w_k = \frac{1}{2}s v_{k-1} + \frac{1}{2}s v_{k+1}$$

$$w_{L+1} = \frac{1}{2}s v_L + \frac{1}{2}s v_{L+1}$$

$$\text{Therefore: } w_1 - w_2 = (1-s)v_1 + \frac{1}{2}s(v_2 - v_3)$$

$$w_k - w_{k+1} = \frac{1}{2}s(v_1 - v_2 + v_3 - v_4), \quad 2 \leq k \leq L-1$$

$$w_L - w_{L+1} = \frac{1}{2}s(v_{L-1} - v_L)$$

which are all  $> 0$  since  $\vec{v}$  is a monotone.

To show  $\bar{\omega}$  is a monotone we will show that

$$\lim_{n \rightarrow \infty} \left( \frac{1}{\mu_n} G(s) \right)^k \vec{1} = e_1 \vec{x}$$

$\vec{1} = (1, 1, \dots, 1)$  is a monotone

and  $e_1 \vec{0}$

Proof: Let  $\mu_i$  and  $|v_i\rangle$  be the eigenvalues and eigenvectors of  $G(s)$

Since  $\{|v_i\rangle\}_i$  form a basis we can write

$$\vec{1} \cdot |v_i\rangle = (1, \dots, 1) \quad . \quad \text{Here } \mu_1 = \mu \text{ and } |v_1\rangle = \vec{x}$$

Since  $\pi \propto \mu$  give

$$\sum_i c_i(\nu_i) = (1, -\dots, 1). \quad \text{Here } \mu_1 = \mu \text{ and } |\nu_1| = \frac{1}{2}$$

Then

$$\left( \frac{1}{\mu_1} G(s) \right)^{\infty} \sum_i c_i(\nu_i) = \sum_i \left( \frac{\mu_i}{\mu_1} \right)^{\infty} c_i(\nu_i), \quad \text{with } \mu_i < \mu_1 \text{ from}\newline \text{Perron's theorem}$$

Hence

$$\lim_{n \rightarrow \infty} \left( \frac{1}{\mu_1} G(s) \right)^{\infty} \vec{\tau} = e_1 \vec{\alpha} = e_1 \vec{\omega}$$

$$e_1 = \vec{\tau} \cdot \vec{\omega} = \sum_i \omega_i > 0 \quad \text{since } \omega_i > 0$$

Hence  $\vec{\omega}$  is a monotone.

### Calculation of the conductance

1) First, let us consider the case where index  $1 \in B$ .

Let  $K$  be the smallest index for which  $K \in B$  but  $K+1 \notin B$ .

$$F(B) = \sum_{i \in B} \sum_{j \notin B} \Pi_i P_{ij} \geq \Pi_K P_{K, K+1}$$

$$= \Pi_K \frac{\sqrt{\Pi_{K+1}}}{\mu \sqrt{\Pi_K}} [G(s)]_{K, K+1} = \frac{\sqrt{\Pi_K \Pi_{K+1}}}{\mu} [G(s)]_{K, K+1}$$

$$\geq \Pi_{K+1} [G(s)]_{K, K+1} = \Pi_{K+1} \frac{s}{2} \geq \frac{1}{6} \Pi_{K+1}$$

because  $\vec{\Pi}$  is a monotone so  $\Pi_K \geq \Pi_{K+1}$

$$s \geq \frac{1}{3}$$

$$\Rightarrow F(B = \{1, K, \dots\}) \geq \frac{\Pi_{K+1}}{6}$$

Now since by definition  $\underbrace{\Pi(B)}_{\sum_{i \in B} \Pi_i \leq \frac{1}{2}} \leq \frac{1}{2}$  then  $\Pi(\bar{B}) = \sum_{i \in \bar{B}} \Pi_i \geq \frac{1}{2}$  ( $x_1$ )  
complement of  $B$

By definition of  $K$ ,  $K+1$  is the largest index that belongs to  $\bar{B}$ .

$$\Pi(\bar{B}) = \sum \Pi_i \leq \Pi_{K+1} |\bar{B}| \leq \Pi_{K+1} L \quad (x_2)$$

By definition of  $\pi$ :

$$\pi(\bar{B}) = \sum_{i \in \bar{B}} \pi_i \leq \pi_{k+1} |B| \leq \pi_{k+1} L \quad (\text{A}_1)$$

$\pi$  is monotone

$$(\text{A}_1) + (\text{A}_2) \Rightarrow \pi_{k+1} \geq \frac{1}{2L}$$

Finally

$$\frac{F(B = \{1, k, \dots\})}{\pi(B)} \geq \frac{\pi_{k+1}}{6\pi(B)} \geq \frac{1}{12L\pi(B)} \geq \frac{1}{6L}$$

$\pi(B) \leq \frac{1}{2}$

2) Now, we consider the case  $1 \notin B$ .

Let  $\alpha$  be the smallest index s.t.  $\alpha \notin B$  but  $\alpha+1 \in B$ .

$$F(B) = \sum_{i \in B} \sum_{j \notin B} \pi_i p_{ij} \geq \pi_{\alpha+1} p_{\alpha+1, \alpha} \geq \frac{\pi_{\alpha+1}}{6}$$

$\zeta = \frac{5}{2}$

$$\pi(B) = \sum_{i \in B} \pi_i \leq L\pi_{\alpha+1}$$

$$\psi(B) = \frac{F(B)}{\pi(B)} \geq \frac{1}{6L}$$

$\Rightarrow$  conductance bound

$$\Delta(P) \geq \frac{1}{2} \left( \frac{1}{6L} \right)^2 ; \quad \Delta(G) = \mu \Delta(P) = (1-\lambda) \Delta(P)$$

ground state

$\Delta(H) = \Delta(H)$

$\lambda \rightarrow G \otimes H$

$\lambda \leq \langle \gamma(0) | H(s) | \gamma(0) \rangle \forall 1/4$

$\lambda \leq \langle \gamma(0) | H(s) | \gamma(0) \rangle = \frac{1}{2} \leq \frac{1}{2}$

$$\Rightarrow \Delta(H) \geq \frac{1}{4} \left( \frac{1}{6L} \right)^2$$

Gap bound in the entire Hilbert space:

$$H(s) = (1-s)H_{\text{init}} + sH_{\text{final}} = H_{\text{init}} + H_0 + (1-s)H_{\text{c-init}} + sH_{\text{c-final}}$$

where  $\underbrace{\dots}_{\text{at most } 1 \text{ bad clock states}}$

where

$$H_{\text{clock}} = \sum_{i=1}^{L-1} |0, l_{\text{even}}\rangle \langle 0, l_{\text{even}}| \rightarrow \text{gives an energy of at least } 1 \text{ to bad clock states}$$

(large gap)

$$H_{\text{c-init}} = |1\rangle\langle 1|_c$$

$$H_{\text{input}} = \sum_{i=1}^n |1\rangle\langle 1|_i \otimes |0\rangle\langle 0|_c$$

It is hence enough to consider the subspace of "good" clock states.

- $\dim(H_{\text{comp.}} \otimes H_{\text{clock}}) = 2^{n(L+1)}$

Consider the invariant subspace

In this subspace,  $H_{\text{input}}$  acts as

$$H_{\text{input}}|_{\Delta_Y} = \begin{pmatrix} R(Y) & 0 & \cdots & 0 \\ 0 & 0 & \ddots & \vdots \\ \vdots & & \ddots & 0 \\ 0 & & & 0 \end{pmatrix}$$

$$\Delta_Y = \text{span}\{|\psi_Y(l)\rangle\}, \text{ with } |\psi_Y(0)\rangle = |Y\rangle|0\rangle_c$$

$$|\psi_Y(l)\rangle = V_L \dots V_1(Y)|l\rangle \quad \text{for } l=1, \dots, L$$

where  $R(Y)$  is the number of bits "1" contained in  $Y$ .

To show there is a gap in the full Hilbert space, we need to compute lower bound the GS energy of  $H(S)|_{\Delta_Y}$  when  $Y \neq 00\dots 0$

$$Fay \neq 0\dots 0$$

$$H(S)|_{\Delta_Y} = \underbrace{\begin{pmatrix} R(Y) & 0 & \cdots & 0 \\ 0 & 0 & \ddots & \vdots \\ \vdots & & \ddots & 0 \\ 0 & & & 0 \end{pmatrix}}_{\text{Grand states}} + (1-S) \underbrace{\begin{pmatrix} 0 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & -1 & \end{pmatrix}}_{\text{Grand state}} \otimes \underbrace{\begin{pmatrix} \frac{1}{2} & -\frac{1}{2} & 0 & \cdots & 0 \\ -\frac{1}{2} & \frac{1}{2} & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & \vdots \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \frac{1}{2} & -\frac{1}{2} \end{pmatrix}}_{\text{Grand state}}$$

$\sum_{l=1}^L \alpha_l |\psi_Y(l)\rangle$ , where

gap :  $\Delta\left(\frac{1}{2}\right)$

GS energy  $g_0(S)$

Geometrical Lemma :

$$\begin{array}{ll} H_1 & H_2 \\ \text{GS energy : } g_1 & g_2 \\ \text{gap : } A_1 & A_2 \end{array}$$

$$H = H_1 + H_2$$

$$g \geq g_1 + g_2 + \min(A_1, A_2)(1 - \cos\theta)$$

where  $\cos\theta$  is the max overlap between a GS of  $H_1$  with a GS of  $H_2$ .

between a GS of  $m^n$  -  
GS of  $H_2$ .

In our case  $g_1 = g(s)$

$$g_2 \sim 0 \\ \Delta_1 = O\left(\frac{1}{L^2}\right)$$

$$\Delta_2 \gg 1$$

$$\cos \theta = \max_{\{c_e\}} \left( \sum_{e=1}^L c_e e^{i \alpha_e} \right) = \sqrt{\sum_{i=1}^L |\alpha_i|^2} \max_{\{c_e\}} \left| \sum_{e=1}^L c_e e^{i \alpha_e} \right| = \sqrt{1 - |\alpha_0|^2} \geq \frac{1}{L+1} \text{ because } \alpha \text{ is monotone}$$

$$\leq \sqrt{1 - \frac{1}{L+1}} = \sqrt{\frac{L}{L+1}} \leq 1 - \frac{1}{2L}$$

From geometric lemma:

$$g_\gamma(s) \geq g_0(s) + \underbrace{(1 - \cos \theta)}_{\Omega\left(\frac{1}{L^2}\right)} \underbrace{\min(\Delta_1, \Delta_2)}_{\Omega\left(\frac{1}{L^2}\right)}$$

$$\geq g_0(s) + \Omega\left(\frac{1}{L^2}\right)$$

$\Rightarrow$  Comment: same technique used in Kitaev's QMA-completeness proof to show  
that for "NO" instances GS energy is  $\Omega\left(\frac{1}{L^2}\right)$ .