# QMC Summer School 2025

# Introduction to Quantum Monte Carlo

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https://github.com/QMCPACK/qmc\_summer\_school\_2025

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## **Outline**

The electronic structure problem

Variational solutions and Variational Monte Carlo

Wavefunction optimization

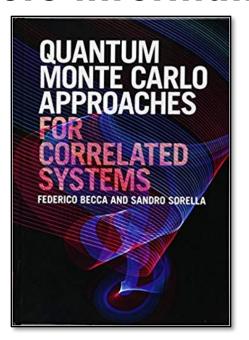
Diffusion Monte Carlo

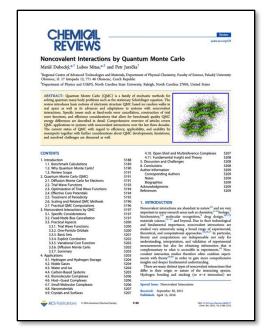
**Practicalities** 

Next session: Pseudopotentials, Workflows and Statistical Analysis

## Where to find more information







Classic, Easy-to-read Review: W. Foulkes et al, Rev. Mod. Phys. **73** 33 (2001) [no new methods] M. Dubecky et al. Chem Rev. **116** 5188 (2016) [focus on non-covalent interactions] QMCPACK: P. R. C. Kent et al. J. Chem. Phys. **152** 174105 (2020) and other QMC papers in special issue, J. Kim et al. JPCM **30** 195901 (2018). Code reproducibility: arXiv:2501.12950

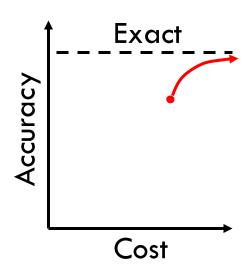
## The Electronic Structure Problem

We seek accurate and reliable *ab initio* solutions of the Schrödinger equation for models of real materials and molecules. We need high accuracy methods where any approximations can be made smaller and the methods can be applied to general systems: molecules, insulators, metals, surfaces, interfaces, and nanostructures...



"The underlying physical laws necessary for the mathematic theory of a large part of physics and the whole of chemistry are thus completely known, and the difficulty is only that the exact application of these laws leads to equations much too complicated to be soluble. It therefore becomes desirable that approximate practical methods of applying quantum mechanics should be developed..."

Paul Dirac, Proc. Roy. Soc. (1929)



## Solving the Schrödinger Equation

Ideally, we would be able to exactly and cheaply solve the zero temperature Schrödinger equation for general molecular and solid-state systems. We could then obtain their general properties without invoking unknown approximations.

$$\hat{H}\Psi = E_0\Psi$$

$$\hat{H} = -\frac{1}{2} \sum_{i}^{N_e} \nabla_i^2 - \sum_{i}^{N_e} \sum_{\alpha}^{N_{\alpha}} \frac{Z_{\alpha}}{|\mathbf{r}_i - \mathbf{d}_{\alpha}|} + \sum_{i}^{N_e} \sum_{j < i}^{N_e} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

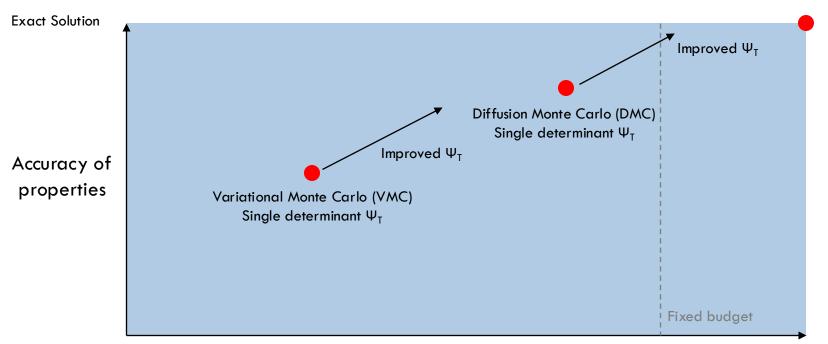
$$\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots \mathbf{r}_{N_e}) = -\Psi(\mathbf{r}_2, \mathbf{r}_1, \dots \mathbf{r}_{N_e})$$

Key difficulty: The Fermionic wavefunction must be antisymmetric w.r.t. exchange of any two electrons.

Troyer & Wiese PRL 2005 showed finding exact Fermionic QMC solution to be "non-deterministic polynomial-time hard" — we can't expect to find a polynomial scaling or non-combinatorial scaling solution that is also exact.

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## Perspective on QMC Methods



Full configurationinteraction infinite basis set limit. Exponential

Computational Cost

We seek improvable ("pay more, get more") methods combining accuracy, efficiency, applicability.

Note: Ceperley & Alder PRL 1980 homogeneous electron gas using release node QMC is formally exact but impractically exponentially scaling

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Variational solutions and Variational Monte Carlo

Wavefunction optimization

Diffusion Monte Carlo

**Practicalities** 

## Variational Wavefunction Approach

From variational principle, we know the energy of any guessed trial wavefunction  $\geq$  ground state energy  $E_0$ .

$$E_T = \frac{\int \Psi_T^* \hat{H} \Psi_T}{\int \Psi_T^* \Psi_T} \ge E_0$$

We will need accurate  $\Psi_{\text{T}}$  and methods to further improve them

We could do this numerically, but conventional numerical integration scales with the power of the dimensionality  $\sim a^{3N_e}$  - not practical for more than a few electrons. We can avoid the "curse of dimensionality" via Monte Carlo integration:

$$E_T = \int \left(\frac{|\Psi_T|^2}{\int |\Psi_T|^2}\right) \left(\frac{\hat{H}\Psi_T}{\Psi_T}\right) \ge E_0$$

Local energy E<sub>L</sub>

Treat  $|\Psi_T|^2$  as a probability density function (normalized, continuous, non-negative) and use to importance sample the local energy using the Metropolis algorithm.

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# Sampling $|\Psi_T|^2$ with Metropolis Monte Carlo

Use the classic Metropolis algorithm (JCP 1953): we always move to more likely locations, sometimes move to less likely locations.

For every step:

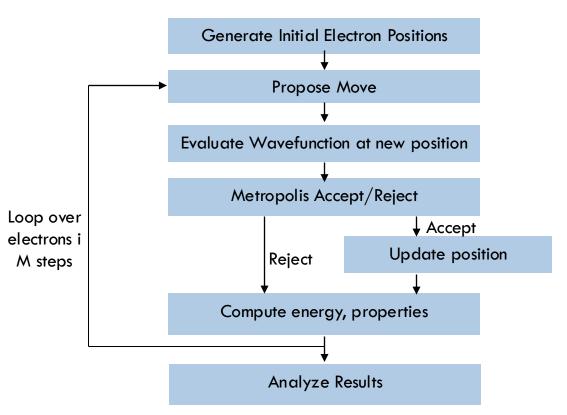
For every electron i:

Propose moving  $\mathbf{r}_i$  to  $\mathbf{r}'_i$  via probability  $T(\mathbf{r}'_i \leftarrow \mathbf{r}_i)$ 

Accept with probability 
$$A(\mathbf{r}_i^{'}\leftarrow\mathbf{r}_i)=\mathrm{Min}\left(1,\frac{T(\mathbf{r}_i\leftarrow\mathbf{r}_i^{'})|\Psi_T(\mathbf{r}_i^{'})|^2}{T(\mathbf{r}_i^{'}\leftarrow\mathbf{r}_i)|\Psi_T(\mathbf{r}_i)|^2}\right)$$

In variational Monte Carlo, typically use normally-distributed proposed moves, width proportional to an input "timestep". With typical  $\tau$ , acceptance rate might be ~50%. Acceptance rate only affects efficiency. QMC Summer School 2025

# Variational Monte Carlo Algorithm



#### Key measured properties

$$E_{\rm VMC} pprox rac{1}{M} \sum_{m=1}^{M} E_L(\mathbf{R}_m)$$

 $\mathbf{R}_{m}$ =set of electron positions  $\{\mathbf{r}_{1}...\mathbf{r}_{N}\}$ 

$$\frac{\sigma^2}{M} \approx \frac{1}{M(M-1)} \sum_{m=1}^{M} \left( E_L(\mathbf{R}_m) - \left( \frac{1}{M} \sum_{m=1}^{M} E_L(\mathbf{R}_m) \right) \right)^2$$

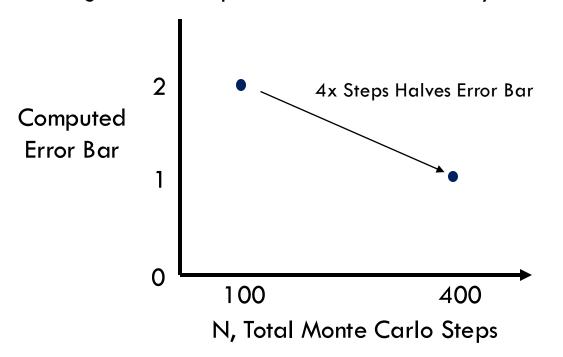
Variance  $\sigma^2$ : measure of width of energy distribution.

We report 
$$E_{VMC} \pm \sigma/\sqrt{M}$$
  
Energy and uncertainty in its value.  
Assumes the M samples are statistically independent.

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## Monte Carlo Convergence

Monte Carlo error bar converges as M<sup>-0.5</sup>, M=number of Monte Carlo samples (or steps, time). We can use this to project costs and verify statistical analysis. Statistical convergence is independent of dimensionality.



Standard error of mean  $\propto \frac{1}{\sqrt{M}}$ 

Error bar should halve if we run 4x longer. Reducing by 10x will cost 100x in run time. Thoughtful planning essential.

## **Trial Wavefunctions**

Start with the best available mean-field or correlated wavefunction, improve via Jastrow factor and (re)optimization of coefficients/parameters  $\{p\}$ . Jastrow factor improves accuracy over HF determinant or CI expansion alone.

$$\Psi_T = (D_0 + \sum_{ab} c_a^b D_a^b + \sum_{abcd} c_{ab}^{cd} D_{ab}^{cd} + \cdots) \exp(J(r_{ij}, r_{il}, r_{ijl}, \dots))$$

Starting point for solids: Single determinant  $D_0$  of orbitals from DFT (HSE06, PBE0, DFT+U, SCAN, PBE). Single determinant has required Fermionic symmetries.

<u>Starting point for molecules</u>: Single determinant  $D_0$  of orbitals from DFT (HSE06, PBE0, DFT+U, SCAN, PBE) or HF.

<u>Advanced molecules</u>: Multideterminant expansion from CISD, CASSCF,... optimize expansion coefficients c. (Full CI is already a convergent wavefunction representation)

<u>State of the art</u>: Full reoptimization of orbitals in determinants and multideterminant expansion coefficients.

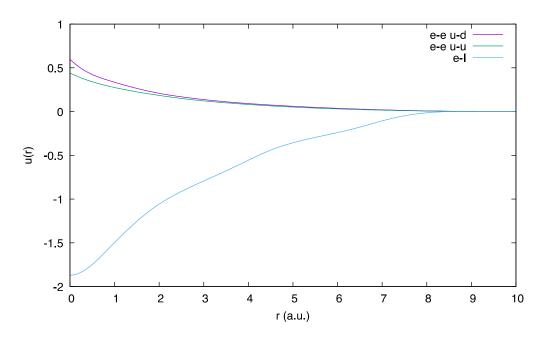
Parameterized **Jastrow factor**. **Build in physics & electron correlations directly**. e.g. wavefunction cusps, shape near electron coalescence. *Greatly* improves trial wavefunction. Does not change nodes. 1, 2, 3-body terms with some cutoff.

$$\begin{split} J(\{\mathbf{r}_i\}, \{\mathbf{r}_I\}) &= \sum_{i=1}^{N-1} \sum_{j=i+1}^{N} u(r_{ij}) + \sum_{I=1}^{N_{\mathrm{ions}}} \sum_{i=1}^{N} \chi_I(r_{iI}) \\ &+ \sum_{I=1}^{N_{\mathrm{ions}}} \sum_{i=1}^{N-1} \sum_{j=i+1}^{N} f_I(r_{iI}, r_{jI}, r_{ij}) + \sum_{i=1}^{N-1} \sum_{j=i+1}^{N} p(\mathbf{r}_{ij}) \\ &+ \sum_{i=1}^{N} q(\mathbf{r}_i) \,, \qquad \text{Drummond et al. PRB 70 235119 (2004)} \end{split}$$

## **Example Jastrow Factors**

The standard efficient choice in QMCPACK is to represent the two-body (electronelectron) and one-body (electron-ion) terms via numerical splines, interpolated through a chosen number of points. The values of the splines at these points are optimized.

e-e part is always spin-polarized to account for different cusp conditions.



Jastrow components for all-electron  $C_{24}$ 

In this example 10 points were used for each component (30 parameters) along with a 10 a.u. cutoff

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# Stochastic Wavefunction Optimization

Given a parameterized trial wavefunction  $\Psi_T(\mathbf{p},\mathbf{R})$  we need to find the optimal parameters  $\mathbf{p}$  that give the "best" wavefunction

Properties to exploit:

$$E_T = \frac{\int \Psi_T^* H \Psi_T}{\int \Psi_T^* \Psi_T} \ge E_0$$

$$\frac{\sigma^2}{M} \approx \frac{1}{M(M-1)} \sum_{m=1}^{M} \left( E_L(\mathbf{R}_m) - \left( \frac{1}{M} \sum_{m=1}^{M} E_L(\mathbf{R}_m) \right) \right)^2$$

$$\sigma^2 \ge 0$$

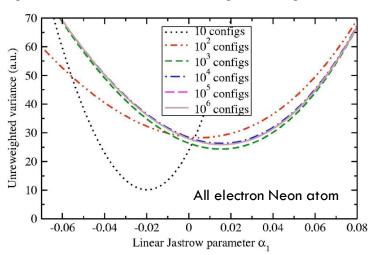
Via the variational principle lower energies are better, & when  $\Psi_T = \Psi_0$  we obtain the ground state energy  $E_T = E_0$ . The variance  $\sigma^2$  also decreases and is **zero** for **exact eigenstates**. Gives a measure of wavefunction quality without knowing the exact ground state energy.

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## Variance Minimization

Use a Newton-like optimizer to minimize the variance of a set of configurations from VMC by optimizing  $\mathbf{p}$ . Obtain  $E(\mathbf{p}')$  by reweighting probabilities for new  $\Psi_T(\mathbf{p}')$  to obtain correct statistics and recalculate energies efficiently. Repeat multiple times with fresh VMC configurations to allow for large changes.





"Efficient scheme for optimizing linear Jastrow factors" Drummond & Needs PRB **72** 085124 (2005)

Even for Ne atom, needed >1000 configurations in optimization

In practice, variance minimization doesn't give greatest accuracy for complex trial wavefunctions, but remains a good way to get an initial trial for use in other algorithms. Many other methods historically proposed, e.g. different numerical optimization methods, via finding optimized potential for single particle orbitals, maximizing overlap with DMC configurations...

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# Linear Method / "Energy Minimization"

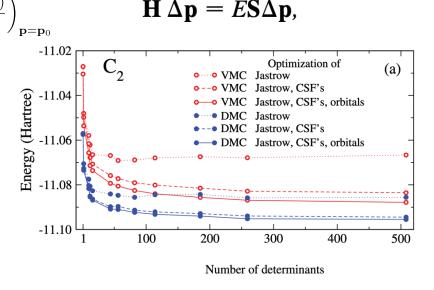
In 2007, Umrigar and coworkers showed how to make practical VMC energy optimization for **non-linear and linear parameters** via a linear-order expansion of the wavefunction & a **stabilized diagonalization** of subsequent Schrodinger-like equation. Now the standard method and capable of optimizing all parameters in trial wavefunctions. Snags: Can be brittle; higher memory use.

$$\Psi_{lin}(\mathbf{p}, \mathbf{R}) = \Psi_{0}(\mathbf{p_{0}}, \mathbf{R}) + \sum_{i=1}^{1 \text{Vopt}} \Delta p_{i} \left(\frac{\partial \Psi(\mathbf{p}, \mathbf{R})}{\partial p_{i}}\right)_{1}$$

$$C_{2}$$

$$\frac{\partial \Psi(\mathbf{p}, \mathbf{R})}{\partial p_{i}}$$

FIG. 1 (color online). Convergence of the VMC total energy of the  $C_2$  molecule when simultaneously optimizing 24 Jastrow, 73 CSF and 174 orbital parameters for a truncated CAS(8,14) wave function. The number of MC configurations range from 10 000 for the first iteration to 400 000 for the last iterations.



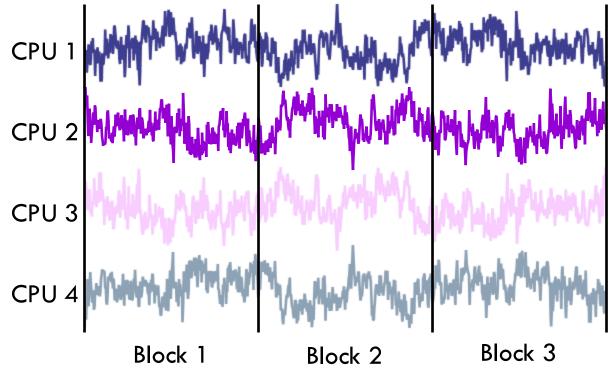
"Alleviation of the Fermion sign problem by optimization of many-body wavefunctions"

C. J. Umrigar el al. PRL **98** 110201 (2007)

## Mapping VMC to computers

Simply put one independent "walker"/Markov chain on each CPU core

Subdivide run in "blocks" and write out summary statistical results every block for convenience.



## VMC workflow

Generate determinant part of Trial Wavefunction Choose appropriate Jastrow function **Optimize Complete Trial** Wavefunction Production VMC Calculation

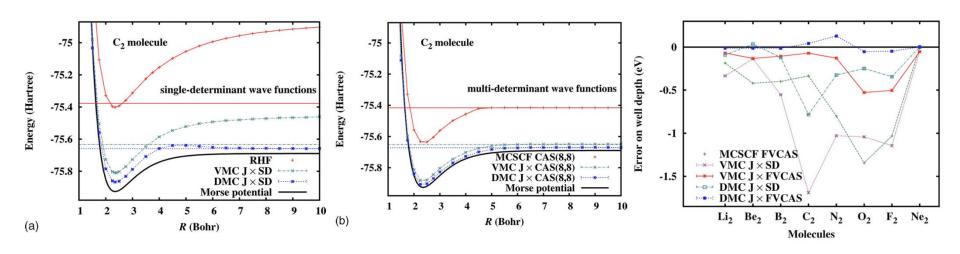
Large basis set DFT, HF, CASSCF, CI calculation using standard code. Standard recipe: single determinant

Commonly just the Jastrow part,
increasingly determinant and
orbital coefficients as well

1<sup>st</sup> application: optimize timestep, try different Jastrow functions

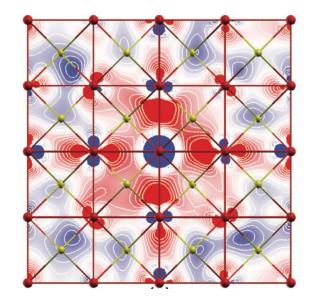
## **Example VMC Calculations**

First row atoms and diatomic molecules from Toulouse & Umrigar JCP 128 174101 (2008)

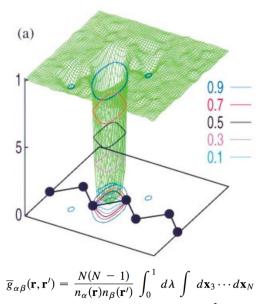


## Example VMC Calculations (advanced!)

Exploiting flexibility to integrate over trial wavefunction or input novel pairing trial wavefunction



Pairing function in FeSe Superconductors Up to 10K independent parameters, 352 electrons Casula & Sorella PRB **88** 155125 (2013)



$$\overline{g}_{\alpha\beta}(\mathbf{r}, \mathbf{r}') = \frac{N(N-1)}{n_{\alpha}(\mathbf{r})n_{\beta}(\mathbf{r}')} \int_{0}^{1} d\lambda \int d\mathbf{x}_{3} \cdots d\mathbf{x}_{N} \\
\times |\Psi_{\lambda}(\mathbf{r}\alpha, \mathbf{r}'\beta, \mathbf{x}_{3}, \dots, \mathbf{x}_{N})|^{2}, \tag{4}$$

g(r,r') in bulk silicon. R. Q. Hood et al. PRL **78** 3350 (1997)

## **Key features of VMC**

#### Advantages

#### Explicit form of trial wavefunction

- Can use any trial wavefunction we can imagine, motivated by physics/chemistry, not ease of integration.
- Can directly compute any observable.
- Simple Monte Carlo no timestep error or other discretization introduced.
- Nominally cubic scaling with system size.

#### **Disadvantages**

#### Explicit form of trial wavefunction

- Limited to forms of trial wavefunction we can imagine - high accuracy difficult for solids, correlated physics, may not have consistent errors.
- Potentially many parameters to optimize reliably. Not yet automated/"push button".

All QMC methods: Need to perform finite size scaling even for bulk materials in periodic calculations.

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## Questions?

## **Break**

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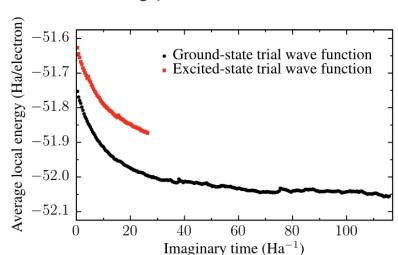
Diffusion Monte Carlo

**Practicalities** 

## **Diffusion Quantum Monte Carlo**

Projector Quantum Monte Carlo methods, such as DMC, are based on the time-dependent evolution of wavefunctions and the imaginary time Schrodinger equation. At long  $\tau$  excited states drop out. Gives a **systematic improvement** over an approximate input trial wavefunction.

$$\frac{\partial |\psi\rangle}{\partial \tau} = -\hat{H}|\psi\rangle \qquad |\psi(\delta\tau)\rangle = \sum_{i=0}^{\infty} c_i e^{-\epsilon_i \delta \tau} |\phi_i\rangle$$



2D HEG, 610 electrons R. J. Needs et al. JCP **152** 154106 (2020)

## **Diffusion Quantum Monte Carlo**

Derivation is lengthy! Notably, in the importance sampled version we solve for

$$f(\mathbf{R},t) = \Phi(\mathbf{R},t)\Psi_T(\mathbf{R})$$

Not  $|\Phi|^2$  !!!

$$f(\mathbf{R}, t) = \left\langle \sum_{\alpha}^{N_{\text{walkers}}} w_{\alpha} \delta(\mathbf{R} - \mathbf{R}_{\alpha}) \right\rangle$$

Ensemble of walkers

$$-\frac{\partial f(\mathbf{R},t)}{\partial t} = -\frac{1}{2}\nabla^2 f(\mathbf{R},t) + \nabla \cdot \left[\nabla \ln|\psi_T(\mathbf{R})| f(\mathbf{R},t)\right] + \left[\frac{\hat{H}\psi_T(\mathbf{R})}{\psi_T(\mathbf{R})} - E_{\mathrm{ref}}\right] f(\mathbf{R},t) \qquad \text{diffuse \& renormalize}$$

Maps well to an existing VMC code with variable population of walkers. Due to Trotter discretization, accuracy is  $O(\tau^2)$ . Typical acceptance >99%.

See W. M. C. Foulkes RMP 2001, R. J. Needs JCP 2020 (etc.)

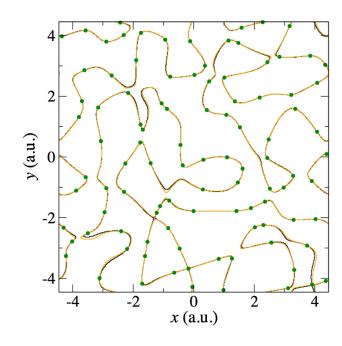
## **Fixed Node Approximation**

To ensure a Fermionic solution we impose that

$$\Phi(\mathbf{R}, t)\Psi_T(\mathbf{R}) \ge 0$$

i.e. we reject moves that would cause the wavefunction to change sign/cross nodes. If not done we would obtain a bosonic solution. This introduces a variational error in the energy.

The "tiling theorem" states that the exact ground state can only have one type of nodal pocket. Practically this means this means the same result is obtained independent of starting configurations.



Nodes of 2D HEG  $r_s$ =0.5 when moving 1 of 101 like spin electrons (green). Lopez Rios et al. PRE **74** 066701 (2006)

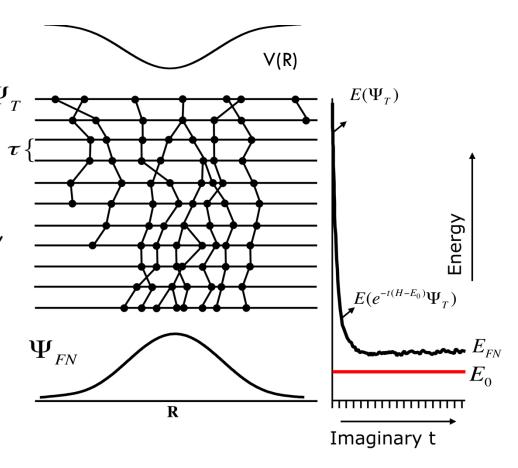
#### **DMC In Practice**

DMC algorithm maps onto a time varying population of walkers where walkers in energetically favorable locations multiply and walkers in unfavorable locations are removed. (Can use weights equivalently).

After initial projection to ground state, branching transform walk has greatest density where wavefunction probability is largest.

Nodes determine final energy, overall trial wavefunction influences efficiency and timestep error.

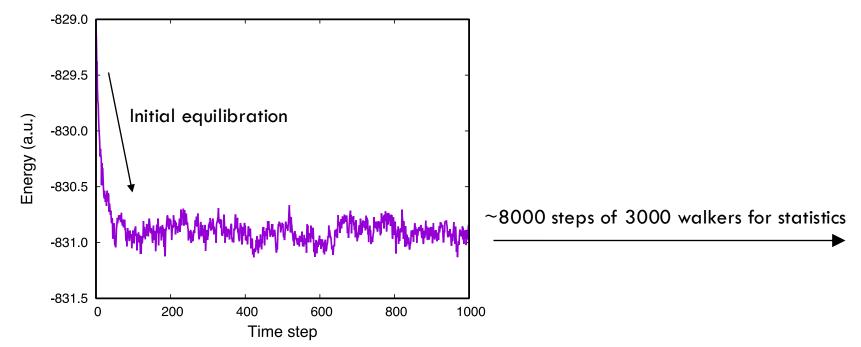
(Not shown) Reference energy is updated smoothly to keep population near target value.



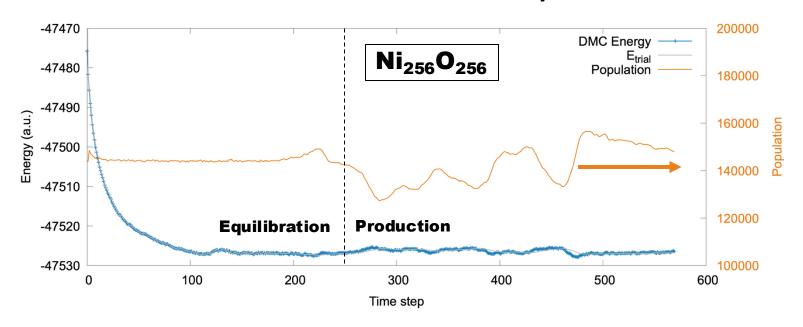
# Real-world DMC: 48 atoms, bulk VO<sub>2</sub>

Given an input trial wavefunction (and its nodal surface), time-step, and population size we can run DMC

Production run from Kylanpaa et al. PRM 1 065408 (2017). 200 electrons, 0.01 a.u. timestep, wavefunction from DFT+U (U varied to minimize DMC energy).



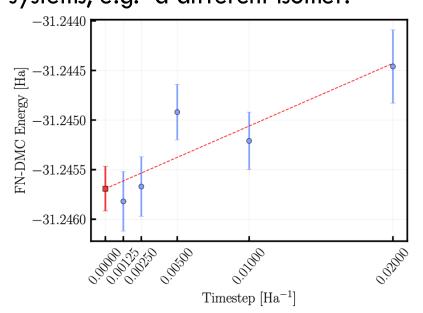
## Real-world DMC: 512 atoms, bulk NiO



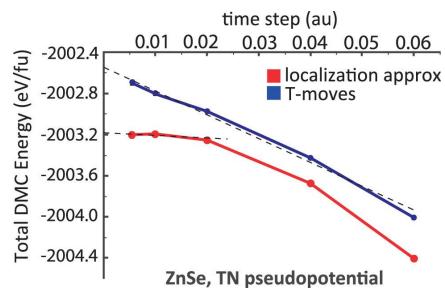
- Ni<sub>256</sub>O<sub>256</sub> supercell, 6144 total electrons. 0.01 a.u. timestep. Det+J1+J2 (only).
- 0.01eV/primitive cell final statistical error in ~1h using 9000 nodes/96% of machine.
- Run displays healthy & expected numerical behavior.
- Note: equilibration is a significant fraction of the run. Not optimized for throughput.

## **Time Step Errors**

Properties should be extrapolated to zero time step or a sufficiently small time step chosen that the errors are sufficiently small. Error behavior is transferable to similar systems, e.g. a different isomer.



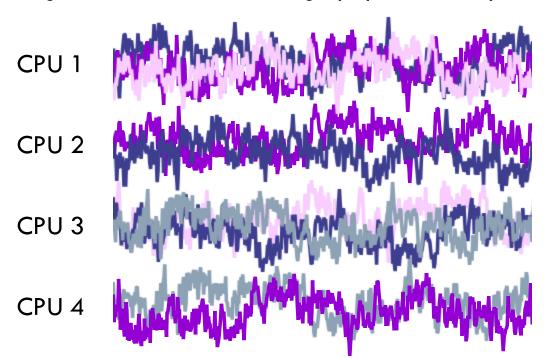
"Bulk silicon benchmarks and systematic control of biases". Annaberdiyev et al. PRB **103** 205206 (2021)



"Towards a systematic assessment of errors in DMC calculations of semiconductors: ZnSe and ZnO" Yu et al. JCP **143** 224707 (2015)

## Mapping DMC to computers

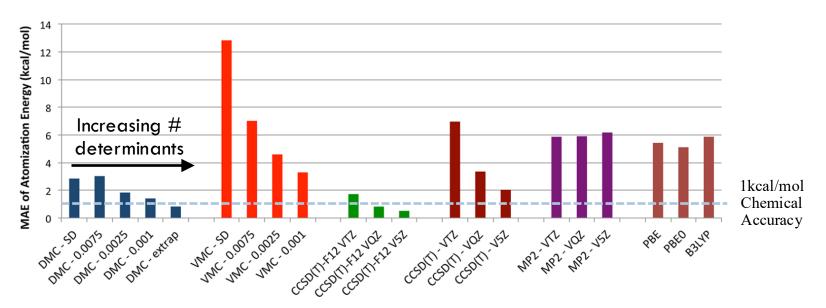
Put many "walkers"/Markov chains on each CPU core or GPU. Overall average energy needed for population control/normalization is updated ~every step. Write out average energies each step. Many implementations vary overall population of walkers, resulting in load imbalance. Large population helps minimize imbalance.



## **Example DMC & VMC for Molecular Calculations**

Chemical accuracy <1kcal/mol achieved via the "linear method" for wf optimization.

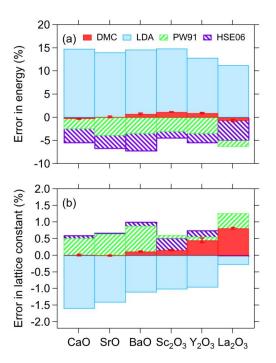
Trial wavefunctions use large multideterminant expansions. DMC better than VMC.



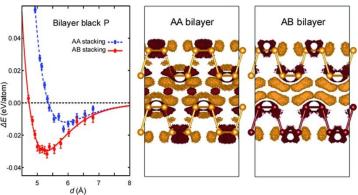
G1 test set. Morales et al. JCTC 8 2181 (2012)

## **Example DMC Calculations**

Single determinant DMC calculations for metal oxides. Santana et al. JCP (2016,2017)



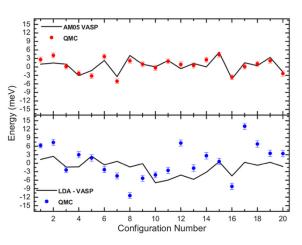
Nature of the interlayer interaction in few layer phospherene.
Shulenburger et al.
Nano Letters (2015)



Interaction energy and charge redistribution in a bilayer of black phosphorus

Kr liquid at high pressure: AM05 functional found to have best agreement with DMC.

Mattsson et al. PRB (2014)



# **Key features of DMC**

Advantages	Disadvantages	Errors, Approximations
Gives very accurate and robust results, even with simple nodal surfaces/trial wavefunctions.  Improvable with better quality trial wavefunctions.  Easily takes advantages of supercomputers.	Unlike VMC, no explicit wavefunction obtained. Mixed estimator problem for noncommuting observables.  Significantly more expensive than VMC.  Small timestep needed for high Z elements.  Finite size scaling & cost in solid-state (Supercells).	"Fixed node" variational error in energy is key approximation.  Timestep error should be tested and converged.  Non-local pseudopotentials need special treatment.

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Wavefunction optimization

Diffusion Monte Carlo

**Practicalities** 

## Overall QMC workflow

Generate determinant part of Trial Wavefunction Choose appropriate Jastrow function **Optimize Complete Trial** Wavefunction **Production VMC** Calculation Production DMC Calculation

Large basis set DFT, HF, CASSCF,

CISD (etc.) calculation using
standard DFT or QC code.

Standard recipe: 1 determinant only

Commonly just the Jastrow part,
increasingly determinant and
orbital coefficients as well

1<sup>st</sup> time: choose VMC & DMC timesteps

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# We can (and should) test all choices influencing the statistics

- If in doubt: run longer. Obtain better statistics.
- Use more sampled configurations in optimization.
- Allow for more equilibration time.
- Perform independent runs and verify the results agree to within error bars.

Once we are familiar with the statistical properties of one system, we can expect the same from other similar systems. E.g. Varying the lattice constant of a solid. The statistical properties result from the combination of algorithms, physics, and specific system studies.

# Rough Guide to Monte Carlo Settings

Rough guidance for important for current science problems, methods and algorithms with typical wavefunctions:

#### **VMC**

Timestep  $\sim$ 0.5 a.u. (reasonable acceptance ratio,  $\sim$ 40-60%)

Warmup/Equilibration  $\sim 100$  steps (be generous, VMC is "cheap")

Resultant correlation period  $\sim$ 1-10 steps

Optimization samples > 10<sup>4</sup>

Blocks > 100 (ease of analysis)

#### **DMC**

Timestep 0.01 a.u. (accuracy, stability)

Warmup/Equilibration 200+ steps

Total walkers >> 10<sup>3</sup>, perhaps 10<sup>4</sup>. Factor parallel and GPU efficiency.

Resultant correlation period  $O(10^2)$  steps / 1 a.u.

# **Wavefunction Quality**

Rough guide given current science problems, methods and algorithms. Test these choices when you first run a particular class of molecule or material.

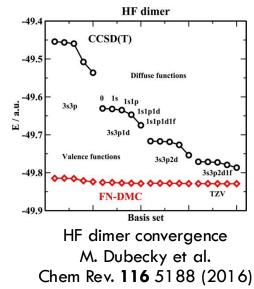
Single determinant starting point

#### Basis sets:

- Triple zeta Gaussians or better
- >>100Ry plane waves, particularly with ccECPs

#### Jastrow function:

- 1,2,3 body (1,2 body do most of the work)
- 30-100 total variational parameters assuming DMC is eventual goal



# Rough Guide to Accessible System Sizes

Extremely subjective – if a large error bar is useful,  $\sim$ any system size could be run. If very high statistical accuracies are needed, larger resources are needed. Calculations are formally N<sup>3</sup> scaling, statistics  $\sim$ N<sup>2-4</sup> depending on properties.

Electrons	Scale of Calculation
1-150	Powerful workstation/Single CPU node
150-500	Small Departmental Cluster
500+	Supercomputer

Assuming few 0.1eV error bars, calculations on first 30 elements H-Zn, pseudopotentials, calculations of energy only.

## Topics we did not cover

Statistics\* (e.g. correlation, intensive vs extensive properties)

Pseudopotentials\*

Periodic systems and finite size effects\*

Advanced wavefunction forms – multideterminant, backflow, geminal wavefunctions

Excited states

Observables – charge densities, density matrices, band inversion,... (we focused on energies here)

Workarounds for mixed-estimator problems in DMC

Other flavors of QMC (LRDMC, AFQMC, FCIQMC, neural network QMC [==VMC])

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\*Covered in later sessions. We have materials on many of the other topics from recent workshops and previous tutorials.

QMC Summer School 2025

## Summary

Variational and diffusion quantum Monte Carlo are complementary statistical approaches for solving the Schrodinger equation.

VMC is simplest and places all the emphasis on the choice of trial wavefunction.

DMC is more powerful & more accurate, but more expensive, and has additional limitations.

Next session: Pseudopotentials, Workflows and statistical analysis