

# A generalization of the entropy power inequality to bosonic quantum systems

G. De Palma<sup>1,2</sup>, A. Mari<sup>1</sup> and V. Giovannetti<sup>1\*</sup>

**In most communication schemes, information is transmitted via travelling modes of electromagnetic radiation. These modes are unavoidably subject to environmental noise along any physical transmission medium, and the quality of the communication channel strongly depends on the minimum noise achievable at the output. For classical signals, such noise can be rigorously quantified in terms of the associated Shannon entropy and it is subject to a fundamental lower bound called the entropy power inequality. However, electromagnetic fields are quantum mechanical systems, so the quantum nature of the information carrier cannot be neglected—especially in low-intensity signals—and many important results derived within classical information theory require non-trivial extensions to the quantum regime. Here, we prove one possible generalization of the entropy power inequality to quantum bosonic systems. The impact of this inequality in quantum information theory is potentially large and some relevant implications are considered in this work.**

In standard communication schemes, even if based on digital encoding, the signals that are physically transmitted are intrinsically analogical in the sense that they can assume a continuous set of values. For example, the usual paradigm is the transmission of information via amplitude and phase modulation of an electromagnetic field. In general, a continuous signal with  $k$  components can be modelled by a random variable  $X$  with values in  $\mathbb{R}^k$  associated with a probability measure  $d\mu(x) = p(x)d^kx$  on  $\mathbb{R}^k$ . For example, a single mode of electromagnetic radiation is determined by a complex amplitude and therefore can be classically described by a random variable  $X$  with  $k=2$  real components. The Shannon differential entropy<sup>1,2</sup> of a general random variable  $X$  is defined as

$$H(X) = - \int_{\mathbb{R}^k} p(x) \ln p(x) d^kx, \quad x \in \mathbb{R}^k \quad (1)$$

and plays a fundamental role in information theory. Indeed depending on the context,  $H(X)$  quantifies the noise affecting the signal or, alternatively, the amount of information potentially encoded in variable  $X$ .

Now, assume mixing two random variables  $A$  and  $B$  to obtain the new variable  $C = \sqrt{\lambda}A + \sqrt{1-\lambda}B$ , with  $\lambda \in [0,1]$  (Fig. 1). This is exactly the situation for two optical signals being physically mixed in a beamsplitter of transmissivity  $\lambda$ . What can be said about the entropy of output variable  $C$ ? It can be shown that, if inputs  $A$  and  $B$  are independent, the following entropy power inequality (EPI) holds<sup>3,4</sup>:

$$e^{2H(C)/k} \geq \lambda e^{2H(A)/k} + (1-\lambda)e^{2H(B)/k} \quad (2)$$

stating that for fixed  $H(A)$  and  $H(B)$  the output entropy  $H(C)$  is minimized, taking  $A$  and  $B$  to be Gaussian with proportional covariance matrices. This is basically a lower bound on  $H(C)$  and the term ‘entropy power’ is motivated by the fact that if  $p(x)$  is a product of  $k$  equal isotropic Gaussians, one obtains  $\frac{1}{2\pi e} e^{2H(X)/k} = \sigma^2$ , where  $\sigma^2$  is the variance of each Gaussian, which is usually identified with the energy or power of the signal<sup>1</sup>. In the context of (classical) probability theory, several equivalent reformulations<sup>2</sup> and generalizations<sup>5–7</sup> of equation (2) have been proposed, the proofs of which have

recently renewed interest in the field. In fact, these inequalities play a fundamental role in classical information theory by providing computable bounds for the information capacities of various models of noisy channels<sup>1,8,9</sup>.

The need for a quantum version of the EPI has arisen because of attempts to solve some fundamental problems in quantum communication theory. In particular, the EPI has come into play with the realization that a suitable generalization to the quantum setting, called the ‘entropy photon number inequality’ (EPnI)<sup>10,11</sup>, would directly imply the solution of several optimization problems, including determination of the classical capacity of Gaussian channels and of the capacity region of the bosonic broadcast channel<sup>12,13</sup>. To date, the EPnI remains unproved and, although the classical capacity has been computed recently<sup>14,15</sup> by proving the bosonic minimum output entropy conjecture<sup>16</sup>, the exact capacity region of the broadcast channel remains undetermined. In 2012 another quantum generalization of the EPI was proposed, the ‘quantum entropy power inequality’ (qEPI)<sup>17,18</sup>, as well as its proof, which was valid only for the 50:50 beamsplitter corresponding to the case  $\lambda = 1/2$ . The contribution of the present Article is to show the validity of this inequality for any beamsplitter and to extend it also to the quantum amplifier.

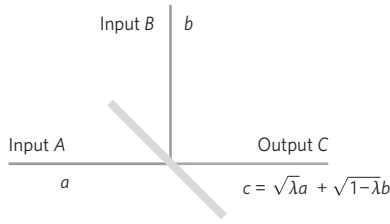
The qEPI proved in this work, while directly giving tight bounds on several entropic quantities, also constitutes a potentially powerful tool for use in quantum information theory, in the same spirit in which the classical EPI was instrumental in deriving important classical results like a bound to the capacity of non-Gaussian channels<sup>1</sup>, the convergence of the central limit theorem<sup>19</sup>, the secrecy capacity of the Gaussian wire-tap channel<sup>9</sup>, the capacity region of broadcast channels<sup>8</sup> and so on. In this work we consider some of the direct consequences of the qEPI and hope to stimulate research into other important implications in the field.

## The qEPI and its proof

To define the quantum mechanical analogue of equation (2) we follow the line of reasoning of refs 10, 11, 17 and 18, in which the classical random variable  $X$  is replaced by a collection of independent bosonic modes. Specifically, consider  $n$  optical modes described by  $a_1, a_2, \dots, a_n$  annihilation operators obeying the

<sup>1</sup>NEST, Scuola Normale Superiore and Istituto Nanoscienze-CNR, I-56127 Pisa, Italy, <sup>2</sup>INFN, Largo B. Pontecorvo, 3, 56127, Pisa, Italy.

\*e-mail: v.giovannetti@sns.it



**Figure 1 | Graphical representation of coherent mixing of two inputs A and B.** For the quantum mechanical analogue the two input signals correspond to electromagnetic modes, which are coherently mixed at a beamsplitter of transmissivity  $\lambda$ . The entropy of the output signal is lower bounded by a function of the input entropies via the quantum entropy power inequality defined in equation (5).

bosonic commutation rules  $[a_i, a_j^\dagger] = \delta_{ij}$  (refs 20, 21). This system represents the quantum analogue of the classical random variable  $A$ . We observe that, because the phase space of each mode is two-dimensional, the total number of phase space variables is  $2n$  and this should be identified with the number  $k$  appearing in the classical EPI (equation (2)). A similar collection of bosonic modes  $b_1, b_2, \dots, b_n$  will play the role of system  $B$ . The natural way of mixing the two signals is via a beamsplitter of transmissivity  $\lambda$  (ref. 22), which in the quantum optics formalism is represented by the unitary operation

$$U = e^{\arctan \sqrt{\frac{1-\lambda}{\lambda}} \sum_j (a_j^\dagger b_j - a_j b_j^\dagger)}$$

This produces  $n$  output modes with bosonic operators

$$c_j = \sqrt{\lambda} a_j + \sqrt{1-\lambda} b_j, \quad j = 1, 2, \dots, n \quad (3)$$

In the Schrödinger picture the above transformation corresponds to a quantum channel<sup>23</sup> mapping the input state  $\rho_{AB}$  to the output state

$$\rho_C = \mathcal{E}(\rho_{AB}) = \text{Tr}_B[\mathcal{U} \rho_{AB} \mathcal{U}^\dagger] \quad (4)$$

where the partial trace  $\text{Tr}_B$  stems from the fact that we discard one of the two output ports of the beamsplitter. We consider the case of independent inputs  $A, B$  with a factorized density matrix  $\rho_{AB} = \rho_A \otimes \rho_B$ . The qEPI then reads

$$e^{S(\rho_C)/n} \geq \lambda e^{S(\rho_A)/n} + (1-\lambda) e^{S(\rho_B)/n} \quad (5)$$

where the classical Shannon entropy has been replaced by the quantum von Neumann entropy  $S(\rho) = -\text{Tr}[\rho \ln \rho]$ . Unlike the classical case, the qEPI is not saturated by Gaussian states with proportional covariance matrices, unless they have the same entropy. The qEPI in inequality (5) was conjectured in ref. 18, where it was shown to hold only for the special case of  $\lambda = 1/2$ . In this work we prove that inequality (5) is indeed valid for every  $\lambda$ . Moreover we extend the qEPI to the case in which the two input states are mixed via a quantum amplifier, that is, when the unitary  $U$  is replaced by the two-mode squeezing<sup>22</sup> operation

$$U' = e^{\text{arctanh} \sqrt{\frac{\kappa-1}{\kappa}} \sum_j (a_j^\dagger b_j^\dagger - a_j b_j)}$$

with  $\kappa \in [1, \infty]$ . In this case, modes  $a_j$  are amplified and modes  $b_j$  are phase-conjugated. In the Heisenberg picture we obtain

$$c_j = \sqrt{\kappa} a_j + \sqrt{\kappa-1} b_j^\dagger, \quad j = 1, 2, \dots, n \quad (6)$$

and the amplifier version of the qEPI becomes

$$e^{S(\rho_C)/n} \geq \kappa e^{S(\rho_A)/n} + (\kappa-1) e^{S(\rho_B)/n} \quad (7)$$

In the remainder of this article we will prove the validity of both inequalities (5) and (7) and show some of their direct implications.

## Properties of quantum Fisher information

Almost all classical proofs<sup>6</sup> of the EPI are based on two properties of the Fisher information: the ‘Fisher information inequality’ (or ‘Stam inequality’<sup>4,24</sup>) and the ‘de Bruijn identity’<sup>3</sup>. Here, we follow the approach of ref. 17 to generalize such properties to quantum systems. Given a smooth family of states  $\theta \rightarrow \rho^{(\theta)}$  the associated quantum Fisher information can be defined in terms of the relative entropy:

$$J(\rho^{(\theta)}; \theta) \Big|_{\theta=0} \equiv \frac{d^2}{d\theta^2} S(\rho^{(0)} \| \rho^{(\theta)}) \Big|_{\theta=0} \quad (8)$$

where  $S(\rho_1 \| \rho_2) \equiv \text{Tr}[\rho_1 (\ln \rho_1 - \ln \rho_2)]$ . Because the relative entropy is non-negative and vanishes for  $\theta = 0$ , we necessarily have  $J(\rho^{(\theta)}; \theta) \geq 0$  and from the definition it is clear that  $J(\rho^{(\theta)}; \theta) = c^2 J(\rho^{(0)}; \theta)$ . Moreover, from the data processing inequality for the relative entropy its counterpart for the quantum Fisher information follows: for every quantum channel  $\mathcal{E}$ , one has  $J(\mathcal{E}(\rho^{(\theta)}); \theta) \leq J(\rho^{(\theta)}; \theta)$ <sup>17</sup>. For our purposes, the relevant cases are when  $\theta$  is associated with translations along the phase space axes, that is,  $\rho^{(q, P_j)} = e^{iqP_j} \rho e^{-iqP_j}$  and  $\rho^{(p, Q_j)} = e^{-ipQ_j} \rho e^{ipQ_j}$ , where, as usual,  $Q_j \equiv (a_j + a_j^\dagger)/\sqrt{2}$  and  $P_j \equiv i(a_j^\dagger - a_j)/\sqrt{2}$ . In this situation one can generalize important results from classical information theory. In particular, if two input states are mixed via a beamsplitter or via a quantum amplifier as described in equation (4), one can derive from the data processing inequality the quantum version of the Stam inequality:

$$\frac{1}{J_C} \geq \frac{\lambda}{J_A} + \frac{\lambda_B}{J_B} \quad (9)$$

where  $J = \sum_j J(\rho^{(p, Q_j)}; p) + J(\rho^{(q, P_j)}; q)$  and

$$\lambda_A \equiv \lambda \quad \lambda_B \equiv 1 - \lambda \text{ (beamsplitter)} \quad (10)$$

$$\lambda_A \equiv \kappa \quad \lambda_B \equiv \kappa - 1 \text{ (amplifier)} \quad (11)$$

The proof of inequality (9) for the beamsplitter in the special case  $\lambda = 1/2$  is given in ref. 17. The key point of this Article is the generalization of this proof to any beamsplitter and amplifier, which is crucial for the derivation of qEPIs (5) and (7). In ref. 17, inequality (9) is derived from the inequality

$$w_C^2 J_C \leq w_A^2 J_A + w_B^2 J_B \quad \forall w_A, w_B \in \mathbb{R} \quad (12)$$

$$w_C = \sqrt{\lambda_A} w_A + \sqrt{\lambda_B} w_B \quad (13)$$

proven for any beamsplitter (see Methods for the proof for the amplifier case). Our main idea is to choose  $w_A$  and  $w_B$  in order to obtain from (12) the strongest possible inequality. For this purpose, we can rewrite  $w_C^2$  as

$$\begin{aligned} w_C^2 &= \left( \sqrt{\frac{\lambda_A}{J_A}} w_A \sqrt{J_A} + \sqrt{\frac{\lambda_B}{J_B}} w_B \sqrt{J_B} \right)^2 \\ &\leq \left( \frac{\lambda_A}{J_A} + \frac{\lambda_B}{J_B} \right) (w_A^2 J_A + w_B^2 J_B) \end{aligned} \quad (14)$$

where we have used the Cauchy–Schwarz inequality. Equality holds if

$$w_A = k \frac{\sqrt{\lambda_A}}{J_A}, \quad w_B = k \frac{\sqrt{\lambda_B}}{J_B}, \quad k \in \mathbb{R} \quad (15)$$

and with this choice expression (12) becomes, exactly, the generalized Stam inequality (9).

Another important and useful property is the quantum analogue of the de Bruijn identity, which relates the Fisher information to the entropy flow under additive Gaussian noise:

$$J \equiv \sum_j J(\rho(t)^{(q,P_j)}; q) + J(\rho(t)^{(p,Q_j)}; p) = 4 \frac{d}{dt} S(\rho(t)) \quad (16)$$

where  $\rho(t) = e^{\mathcal{L}t} \rho(0)$  and

$$\mathcal{L}(\rho) \equiv -\frac{1}{4} \sum_{j=1}^n ([Q_j, [Q_j, \rho]] + [P_j, [P_j, \rho]]) \quad (17)$$

The proof, repeated in the Methods, simply follows from the definition of ensembles  $\rho^{(p,Q_j)}$  and  $\rho^{(q,P_j)}$  (ref. 17).

### Proof of qEPI

The argument is similar to the one used in the derivation of the classical EPI. This technique, which is based on the addition of white Gaussian noise into the system, was extended to the quantum domain in ref. 17 to prove the qEPI for the special case of  $\lambda = 1/2$ . Here we use the properties (9) and (16) of the quantum Fisher information and show that the qEPI is valid for all  $\lambda \in [0,1]$  (beam splitter) and all  $\kappa \geq 1$  (amplifier).

The key idea borrowed from the classical proof is to notice that, for highly entropic thermal states, inequalities (5) and (7) are almost saturated. If we then evolve the inputs, adding classical Gaussian noise, (5) and (7) will asymptotically hold in the infinite time limit and we just need to prove that the added noise has not improved the inequalities. This can be achieved in the quantum setting by the application of the Gaussian additive noise channel

$$\rho(t) \equiv e^{\mathcal{L}t} \rho \quad (18)$$

where the Liouvillian operator  $\mathcal{L}$  is the one defined in equation (17). We need an asymptotic estimate for the entropy of  $\rho(t)$  as  $t \rightarrow \infty$ . Intuitively, one can guess that for large times the memory of the input state is washed out and that the leading contribution to the entropy comes from the Gaussian noise alone. Indeed, it can be shown (see Methods) that, for every input state  $\rho(0)$ ,

$$e^{S(\rho(t))/n} = \frac{et}{2} + \mathcal{O}(1) \quad (19)$$

We then consider as input states the evolved  $\rho_A(t_A)$  and  $\rho_B(t_B)$ , where we still have the freedom to let  $A$  and  $B$  evolve with different speeds by suitably choosing the dependence of their times  $t_A(t)$  and  $t_B(t)$  on a common time  $t$ , with the conditions

$$t_A(0) = t_B(0) = 0 \quad (20)$$

$$t_A, t_B \rightarrow \infty \quad \text{for} \quad t \rightarrow \infty \quad (21)$$

From the composition laws of Gaussian channels, it follows that evolving  $\rho_A$  and  $\rho_B$  by times  $t_A$  and  $t_B$  before the application of the beamsplitter (or of the amplifier) produces at the output the state  $\rho_C$  evolved by a time

$$t_C = \lambda_A t_A + \lambda_B t_B \quad (22)$$

The corresponding time-dependent version of qEPIs (5) and (7) can be rearranged in the form

$$1 \geq \frac{\lambda_A e^{S[\rho_A(t_A)]/n} + \lambda_B e^{S[\rho_B(t_B)]/n}}{e^{S[\rho_C(t_C)]/n}} \quad (23)$$

If we plug in the asymptotic behaviour of equation (19), we see that the inequality is saturated for  $t \rightarrow \infty$ . The qEPI that we need to prove is simply expression (23) for  $t = 0$ , and this can be achieved if we are able to show that the right-hand side of expression (23) is monotonically increasing in time, that is,

$$\frac{d}{dt} \frac{\lambda_A e^{\frac{S_A}{n}} + \lambda_B e^{\frac{S_B}{n}}}{e^{\frac{S_C}{n}}} \geq 0 \quad (24)$$

where, for simplicity,

$$S_X = S[\rho_X(t_X)] \quad \text{for } X = A, B, C \quad (25)$$

From the quantum de Bruijn identity (16), the positivity of the derivative in inequality (24) can be expressed as

$$\lambda_A e^{\frac{S_A}{n}} J_A \dot{t}_A + \lambda_B e^{\frac{S_B}{n}} J_B \dot{t}_B \geq \left( \lambda_A e^{\frac{S_A}{n}} + \lambda_B e^{\frac{S_B}{n}} \right) J_C \dot{t}_C \quad (26)$$

Now we make use of the freedom that we have in choosing the functions  $t_A(t)$ ,  $t_B(t)$  and we impose them to satisfy the differential equation

$$\dot{t}_X = e^{S(t_X)/n}, \quad X = A, B \quad (27)$$

with initial condition

$$t_X(0) = 0 \quad (28)$$

Because the entropy is non-negative,  $\dot{t}_X \geq 1$  and conditions (21) are satisfied. From equation (22) we have  $\dot{t}_C = \lambda_A \dot{t}_A + \lambda_B \dot{t}_B$ , and so condition (26) reduces to

$$\left( \lambda_A e^{\frac{S_A}{n}} + \lambda_B e^{\frac{S_B}{n}} \right)^2 J_C \leq \lambda_A e^{\frac{2S_A}{n}} J_A + \lambda_B e^{\frac{2S_B}{n}} J_B \quad (29)$$

At this point our quantum version of Stam inequality (9) comes into play, providing a useful upper bound to  $J_C$ ,

$$J_C \leq \frac{J_A J_B}{\lambda_A J_B + \lambda_B J_A} \quad (30)$$

By plugging it into inequality (29) and rearranging the terms we obtain

$$\frac{\lambda_A \lambda_B (J_A e^{S_A/n} - J_B e^{S_B/n})^2}{\lambda_A J_B + \lambda_B J_A} \geq 0 \quad (31)$$

which is trivially satisfied because of the non-negativity of the Fisher information. This concludes the proof of both inequalities (5) and (7) and we can now focus on some of their direct implications.

### Linear inequalities

One of the features of the qEPI is that it is a significantly strong bound. For example, from the concavity of the logarithm

we directly obtain from inequalities (5) and (7) the respective linear relations

$$S(\rho_C) \geq \lambda S(\rho_A) + (1 - \lambda)S(\rho_B) \quad (32)$$

$$S(\rho_C) \geq \frac{\kappa S(\rho_A) + (\kappa - 1)S(\rho_B)}{2\kappa - 1} + \ln(2\kappa - 1) \quad (33)$$

In the classical setting, the analogue of expression (32) is known to be formally equivalent to equation (2). For the quantum case, however, such correspondence is no longer valid and equations (32) and (33) appear to be weaker than (5) and (7), respectively. We recall also that equation (32) was originally conjectured in ref. 10 and proven by König and Smith in ref. 17 for all  $\lambda \in [0, 1]$ .

**Bound on the EPnI.** Equation (5) is not the only way of generalizing the classical inequality (2). Another possible generalization was proposed and conjectured in refs 10 and 11. The EPnI:

$$N(\rho_C) \stackrel{?}{\geq} \lambda N(\rho_A) + (1 - \lambda)N(\rho_B) \quad (34)$$

where  $g(N) = (N + 1)\ln(N + 1) - N\ln N$  is the entropy of a single-mode thermal state with mean photon number  $N$ , and  $N(\rho) = g^{-1}(S(\rho)/n)$  is the mean photon number per mode of an  $n$ -mode thermal state with the same entropy of  $\rho$ . The EPnI states that fixing the input entropies  $S_A$  and  $S_B$ , the output entropy  $S_C$  is at a minimum when the inputs are thermal. Because the qEPI of equation (5) is not saturated by thermal states (unless they have the same entropy), it is weaker than (and actually implied by) the EPnI in expression (34), so our proof of qEPI does not imply the EPnI, which still remains an open conjecture. However, as we will show, the validity of the qEPI imposes a very tight bound (of the order of 0.132) on the maximum allowed violation of the EPnI in (34).

The map  $e^{S(\rho)/n} \rightarrow N(\rho)$  from the entropy power to the entropy photon-number is the function  $f(x) \equiv g^{-1}(\ln(x))$  defined on the interval  $[1, \infty]$ . Unfortunately, it is convex, and we cannot obtain EPnI (34) from equation (5). Fortunately, however,  $f(x)$  is not too convex and is well approximated by a linear function. Indeed, it is easy to show that  $f(x) = -1/2 + x/e + \delta(x)$ , where  $0 \leq \delta(x) \leq \delta(1) = 1/2 - 1/e \approx 0.132$ . This directly implies that the entropy photon number inequality is valid up to such a small error,

$$N(\rho_C) - \lambda N(\rho_A) - (1 - \lambda)N(\rho_B) \geq 1/e - 1/2 \quad (35)$$

As a side remark regarding the EPnI, we conjecture that an inequality similar to (34) should also hold in the case in which the mixing channel is the quantum amplifier,

$$N(\rho_C) \stackrel{?}{\geq} \kappa N(\rho_A) + (\kappa - 1)(N(\rho_B) + 1) \quad (36)$$

but even in this case we do not have a proof.

### Generalized minimum output entropy conjecture

Recently, the so-called ‘minimum output entropy conjecture’ has been proved<sup>14,15,25</sup>. It claims (in the notation of this work) that when  $\rho_A$  is a Gaussian thermal state, the minimum output entropy  $S(\rho_C)$  is achieved when input  $\rho_B$  is vacuum. The dual problem<sup>10,11</sup> is to fix  $\rho_B = |0\rangle\langle 0|$  and to ask what is the minimum of  $S(\rho_C)$  with the constraint that the input entropy is fixed,  $S(\rho_A) = \bar{S} > 0$ . In refs 10 and 11 it was proved that the EPnI of expression (34) implies that the minimum is achieved by the Gaussian centred thermal state with entropy  $\bar{S}$ , corresponding to an output entropy of  $g(\lambda g^{-1}(\bar{S}))$ . Together with the EPnI, this generalized conjecture is still an open problem; however, we can use

our qEPI to obtain a tight lower bound on  $S(\rho_C)$ . The bound follows directly from expression (5) for  $S(\rho_B) = 0$  and can be expressed as

$$S(\rho_C) \geq \ln[\lambda e^{\bar{S}} + (1 - \lambda)] \quad (37)$$

The right-hand side of expression (37) is extremely close to the conjectured minimum  $g(\lambda g^{-1}(\bar{S}))$ . Indeed, the error between the two quantities  $\Delta(\bar{S}, \lambda) = g(\lambda g^{-1}(\bar{S})) - \ln[\lambda e^{\bar{S}} + (1 - \lambda)]$  is bounded by  $\sim 0.107$  and, moreover, it decays to zero in a large part of the parameter space  $(\bar{S}, \lambda)$  (Fig. 2). The plot in Fig. 2 also provides a useful hint about the small parameter region where a potential counterexample disproving the conjecture should be sought.

Our qEPI (5) and in particular inequality (37), are also useful for bounding the capacity region of the bosonic broadcast channel. As explicitly discussed in the Methods, this bound is very close to the optimal one<sup>12,13</sup>, which however relies on the still unproven conjecture<sup>10,11</sup> mentioned above.

### Discussion

Understanding the complex physics of continuous-variable quantum systems<sup>20</sup> is a fundamental challenge in modern science that is crucial for developing an information technology capable of taking full advantage of quantum effects<sup>21,26</sup>. This task now appears to be within our grasp as a result of a series of very recent works that have solved a collection of long-standing conjectures, specifically, the minimum output entropy and output majorization conjectures (proposed in ref. 16 and solved in refs 14 and 25, respectively), the optimal Gaussian ensemble and the additivity conjecture (proposed in ref. 27 and solved in ref. 14), the optimality of Gaussian decomposition in the calculation of entanglement of formation<sup>28</sup> and of Gaussian discord<sup>29,30</sup> for two-mode Gaussian states (both solved in ref. 15), and the proof of the strong converse of the classical capacity theorem<sup>31</sup>. Our work represents a fundamental further step in this direction by extending the proof of ref. 17 for the qEPI conjecture to include all beamsplitter transmissivities and by generalizing it to active bosonic transformations (for example, amplification processes).

### Methods

**Proof of inequality (12).** In this section we will prove the inequality (12)

$$w_C^2 J_C \leq w_A^2 J_A + w_B^2 J_B \quad (38)$$

for the quantum amplifier. As the proof is analogous to the beamsplitter case, for clarity we present both.

We start by recalling some basic characteristics of these channels. Their  $n$  output modes have annihilation operators

$$c_i = \sqrt{\lambda_A} a_i + \sqrt{\lambda_B} b_i \quad i = 1, \dots, n \quad (\text{beamsplitter}) \quad (39)$$

$$c_i = \sqrt{\lambda_A} a_i + \sqrt{\lambda_B} b_i^\dagger \quad i = 1, \dots, n \quad (\text{amplifier}) \quad (40)$$

with  $\lambda_A, \lambda_B \geq 0$ , such that

$$\lambda_A + \lambda_B = 1 \quad (\text{beamsplitter}) \quad (41)$$

$$\lambda_A + \lambda_B = 1 \quad (\text{amplifier}) \quad (42)$$

Let  $T$  be the time reversal matrix acting on the phase space, which reverses the signs of quadratures  $P_i$  and satisfies

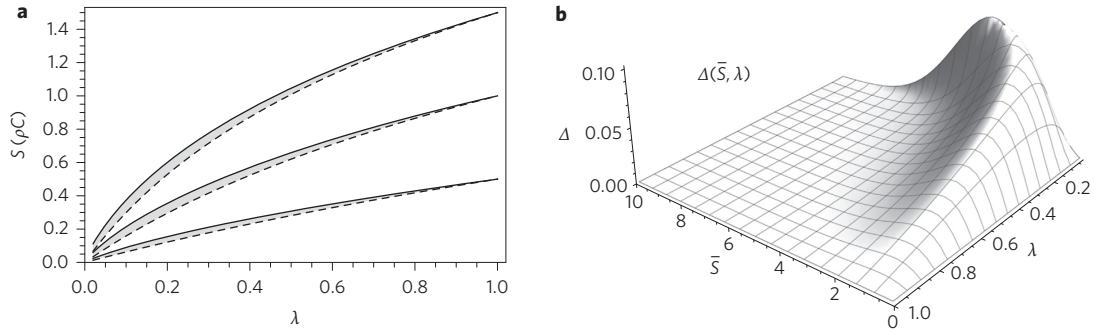
$$T = T^t = T^{-1} \quad (43)$$

Let  $\gamma_A$  and  $\gamma_B$  be the covariance matrices of the two inputs, then the output will have covariance matrix

$$\gamma_C = \lambda_A \gamma_A + \lambda_B \gamma_B \quad (\text{beamsplitter}) \quad (44)$$

$$\gamma_C = \lambda_A \gamma_A + \lambda_B T \gamma_B T \quad (\text{amplifier}) \quad (45)$$





**Figure 2 | Entropy power versus photon number inequality.** **a**, Plot of output entropies as a function of  $\lambda$  and for different input entropies  $\bar{S} = 0.5, 1, 1.5$ . Solid lines: entropy achievable with a Gaussian input state. Dashed lines: lower bound (37). The corresponding minimum output entropies are necessarily constrained within the shaded regions. Note that larger values of input entropies  $\bar{S}$  are not considered in this plot because the Gaussian ansatz and the bound become practically indistinguishable. **b**, Maximum allowed violation  $\Delta(\bar{S}, \lambda)$  of the generalized minimum output entropy conjecture. The two axes are the input entropy  $\bar{S}$  and the beamsplitter transmissivity  $\lambda$ . It is evident that a potential violation of the conjecture is necessarily localized in the parameter space.

For the displacement vectors we instead have

$$d_C = \sqrt{\lambda_A} d_A + \sqrt{\lambda_B} d_B \quad (\text{beamsplitter}) \quad (46)$$

$$d_C = \sqrt{\lambda_A} d_A + \sqrt{\lambda_B} T d_B \quad (\text{amplifier}) \quad (47)$$

**Compatibility with the Liouvillian.** We recall Lemma III.1 of ref. 17, where, for any  $t \geq 0$ , the completely positive trace preserving map (CPTPM)  $e^{t\mathcal{L}}$  is a Gaussian map acting on covariance matrices and displacement vectors by

$$\begin{aligned} \gamma &\mapsto \gamma' = \gamma + t \mathbb{1}_{2n} \\ d &\mapsto d' = d \end{aligned} \quad (48)$$

Then, if we choose as inputs the states  $\rho_A(t_A)$  and  $\rho_B(t_B)$  evolved with times  $t_A$  and  $t_B$ , the output will have covariance matrix and displacement vector

$$\gamma_C(t) = \lambda_A \gamma_A + \lambda_B \gamma_B + \lambda_A t_A \mathbb{1}_{2n} + \lambda_B t_B \mathbb{1}_{2n} = \gamma_C(0) + t_C \mathbb{1}_{2n} \quad (49)$$

$$d_C(t) = \sqrt{\lambda_A} d_A + \sqrt{\lambda_B} d_B = d_C(0) \quad (50)$$

in the case of the beamsplitter, and

$$\begin{aligned} \gamma_C(t) &= \lambda_A \gamma_A + \lambda_B T \gamma_B T + \lambda_A t_A \mathbb{1}_{2n} + \lambda_B t_B \mathbb{1}_{2n} \\ &= \gamma_C(0) + t_C \mathbb{1}_{2n} \end{aligned} \quad (51)$$

$$d_C(t) = \sqrt{\lambda_A} d_A + \sqrt{\lambda_B} T d_B = d_C(0) \quad (52)$$

in the case of the amplifier, where we have used  $T^2 = \mathbb{1}_{2n}$  and

$$t_C = \lambda_A t_A + \lambda_B t_B \quad (53)$$

Then, first evolving the inputs with times  $t_A, t_B$  and then applying the beamsplitter/amplifier is the same as first applying the beamsplitter/amplifier and then evolving the output with time  $t_C$  as in equation (53).

**Properties of quantum Fisher information.** To prove inequality (38), we will follow the proof of the beamsplitter version for  $\lambda = 1/2$  in ref. 17. First, given a smooth parametric family of states  $\theta \rightarrow \rho^{(\theta)}$ , one can define (see equation (69) in ref. 17) the associated quantum Fisher information with

$$J(\rho^{(\theta)}; \theta) \equiv \frac{d^2}{d\theta^2} S(\rho^{(0)} \| \rho^{(\theta)}) \Big|_{\theta=0} \quad (54)$$

It is linear in the parameter (Lemma IV.1 in ref. 17)

$$J(\rho^{(c\theta)}; \theta) = c^2 J(\rho^{(\theta)}; \theta) \quad (55)$$

and additive on product states (Lemma IV.3 in ref. 17)

$$J(\rho_A^{(\theta)} \otimes \rho_B^{(\theta)}; \theta) = J(\rho_A^{(\theta)}; \theta) + J(\rho_B^{(\theta)}; \theta) \quad (56)$$

It is also always non-negative (Lemma IV.2 in ref. 17):

$$J(\rho^{(\theta)}; \theta) \geq 0 \quad (57)$$

and vanishes for  $\theta = 0$ , where it has a minimum. Then the data processing inequality for the relative entropy

$$S(\mathcal{E}(\hat{\rho}) \| \mathcal{E}(\hat{\sigma})) \leq S(\hat{\rho} \| \hat{\sigma}) \quad (58)$$

implies that the quantum Fisher information is non-increasing under the application of any CPTP map  $\mathcal{E}$  (Theorem IV.4 in ref. 17):

$$J(\mathcal{E}(\rho^{(\theta)}); \theta) \leq J(\rho^{(\theta)}; \theta) \quad (59)$$

If the family is generated by conjugation with an exponential as in formula (76) of ref. 17,

$$\rho^{(\theta)} = e^{i\theta H} \rho^{(0)} e^{-i\theta H} \quad (60)$$

then (Lemma IV.5 in ref. 17)

$$\begin{aligned} J(\rho^{(\theta)}; \theta) &= \text{Tr}(\rho^{(0)} [H, [H, \ln \rho^{(0)}]]) \\ &= \text{Tr}([H, [H, \rho^{(0)}]] \ln \rho^{(0)}) \end{aligned} \quad (61)$$

For  $R \in \{Q_j, P_j\}$  we define the displacement operator in direction  $R$  as in ref. 17, formula (79):

$$D_R(\theta) = \begin{cases} e^{i\theta P_j} & \text{if } R = Q_j \\ e^{-i\theta Q_j} & \text{if } R = P_j \end{cases} \quad (62)$$

For state  $\rho$ , we consider the family of translated states

$$\rho^{(\theta, R)} = D_R(\theta) \rho D_R(\theta)^\dagger \quad (63)$$

and its Fisher information  $J(\rho^{(\theta, R)}; \theta)$ . We define quantity  $J(\rho)$  as the sum of the quantum Fisher information along all the phase space directions:

$$J(\rho) \equiv \sum_{k=1}^{2n} J(\rho^{(\theta, R_k)}; \theta) \quad (64)$$

Using expression (61) we obtain

$$J(\rho) = \sum_{i=1}^n \text{Tr}([P_i, [P_i, \rho^{(0)}]] + [Q_i, [Q_i, \rho^{(0)}]]) \ln \rho^{(0)} \quad (65)$$

and since

$$\frac{d}{dt} S(e^{t\mathcal{L}} \rho) \Big|_{t=0} = -\text{Tr}(\mathcal{L}(\rho) \ln \rho) \quad (66)$$

we finally get

$$\frac{dS(\rho(t))}{dt} = \frac{1}{4} J(\rho) \quad (67)$$

as in Theorem V.1 of ref. 17. The key point here is that if we define  $\tilde{J}$  with the time-inverted quadratures

$$\tilde{J}(\rho) \equiv \sum_{k=1}^{2n} J(\rho^{(\theta, TR_k)}; \theta) \quad (68)$$

the two definitions coincide:

$$\tilde{J}(\rho) = J(\rho) \quad (69)$$

because the  $P_j$  always appear quadratically in expression (65).

We now want to apply the data-processing inequality (59) to our beamsplitter/amplifier channel to obtain the quantum Fisher information inequality.

**Compatibility with translations.** Let  $\mathcal{E}$  be the channel associated with the beamsplitter/amplifier. Then

$$\mathcal{E}(\rho_A^{(w_A \theta, R)} \otimes \rho_B^{(w_B \theta, R)}) = \mathcal{E}(\rho_A \otimes \rho_B)^{(w_C \theta, R)} \quad (70)$$

for the beamsplitter and

$$\mathcal{E}(\rho_A^{(w_A \theta, R)} \otimes \rho_B^{(w_B \theta, TR)}) = \mathcal{E}(\rho_A \otimes \rho_B)^{(w_C \theta, R)} \quad (71)$$

for the amplifier, that is, translating the inputs by

$$d_A = w_A \theta d_R, d_B = w_B \theta d_R \text{ (beamsplitter)} \quad (72)$$

$$d_A = w_A \theta d_R, d_B = w_B \theta T d_R \text{ (amplifier)} \quad (73)$$

(notice the time reversal) and then applying the beamsplitter/amplifier is the same as applying the beamsplitter/amplifier and translating the output by  $w_C \theta d_R$ , where  $d_R$  is the phase space vector associated with operator  $R$  and

$$w_C = \sqrt{\lambda} w_A + \sqrt{1-\lambda} w_B \quad (74)$$

The proof follows straightforwardly by evaluating the displacement vectors with expressions (46) and (47). If we translate the inputs and then apply the beamsplitter we have

$$d_A(\theta) = d_A + w_A \theta d_R \quad (75)$$

$$d_B(\theta) = d_B + w_B \theta d_R \quad (76)$$

$$\begin{aligned} d_C(\theta) &= \sqrt{\lambda} d_A(\theta) + \sqrt{1-\lambda} d_B(\theta) \\ &= \sqrt{\lambda} d_A + \sqrt{1-\lambda} d_B + \sqrt{\lambda} w_A \theta d_R + \sqrt{1-\lambda} w_B \theta d_R = \\ &= d_C(0) + w_C \theta d_R \end{aligned} \quad (77)$$

which is what we would get translating the output by  $w_C \theta d_R$ . The same happens for the amplifier:

$$d_A(\theta) = d_A + w_A \theta d_R \quad (78)$$

$$d_B(\theta) = d_B + w_B \theta T d_R \quad (79)$$

$$\begin{aligned} d_C(\theta) &= \sqrt{\lambda} d_A(\theta) + \sqrt{1-\lambda} T d_B(\theta) \\ &= \sqrt{\lambda} d_A + \sqrt{1-\lambda} T d_B + \sqrt{\lambda} w_A \theta d_R + \sqrt{1-\lambda} w_B \theta T d_R \\ &= d_C(0) + w_C \theta d_R \end{aligned} \quad (80)$$

Now we can apply data-processing inequality (59) to expressions (70) and (71). Using the additivity (56) and linearity (55) of the Fisher information, we obtain

$$w_C^2 J(\rho_C^{(\theta, R)}; \theta) \leq w_A^2 J(\rho_A^{(\theta, R)}; \theta) + w_B^2 J(\rho_B^{(\theta, R)}; \theta) \quad (81)$$

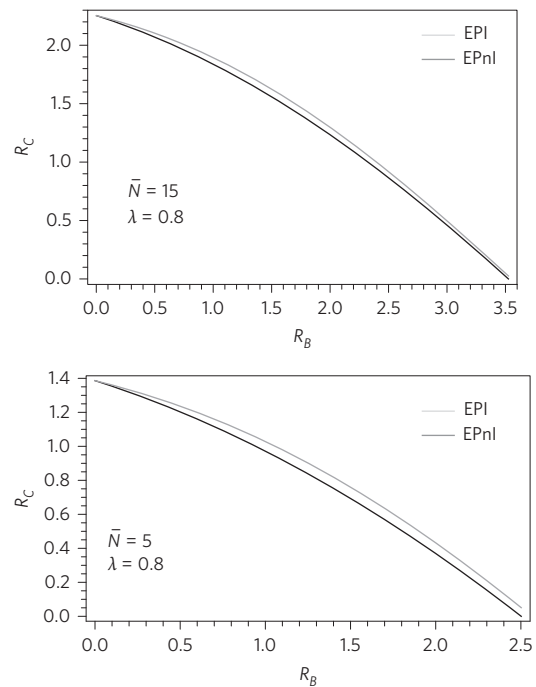
for the beamsplitter and

$$w_C^2 J(\rho_C^{(\theta, R)}; \theta) \leq w_A^2 J(\rho_A^{(\theta, R)}; \theta) + w_B^2 J(\rho_B^{(\theta, TR)}; \theta) \quad (82)$$

for the amplifier. These two results are identical, apart from the time reversal in  $B$  in the amplifier case. Finally, summing over the phase space direction we get in both cases the desired inequality

$$w_C^2 J_C \leq w_A^2 J_A + w_B^2 J_B \quad (83)$$

as we have proved in expression (69) that the time reversal does not affect the sum.



**Figure 3 | Capacity region (expressed in nats per channel uses) for a broadcasting channel<sup>12,13</sup>.** The sender is communicating simultaneously with two receivers ( $B$  and  $C$ ) via a single bosonic mode, which splits at a beamsplitter of transmissivity  $\lambda$  ( $B$  receiving the transmitted signals and  $C$  receiving the reflected one), under an input energy constraint that limits the mean photon number of the input messages to be smaller than  $\bar{N}$ . The region delimited by the black curve represents the achievable rates  $R_B$  and  $R_C$  that would apply if the (still unproven) EPnI conjecture (34) held. The grey curve is the bound one can derive via equation (37) from the EPI inequality (5) proven in this Article.

**Proof of asymptotic scaling (19).** In ref. 17, Corollary III-4, it is shown that

$$\exp\left(\frac{1}{n} S(e^{t\mathcal{L}} \hat{\rho})\right) \geq \frac{et}{2} + \mathcal{O}(1) \quad (84)$$

and here we prove the upper bound.

Let  $\hat{\rho}_G$  be the Gaussianized version of  $\hat{\rho}$ , that is, the Gaussian state with the same first and second moments. Because Gaussianization always increases entropy<sup>32</sup> and commutes with the Liouvillian  $\mathcal{L}^{17}$ ,  $S(e^{t\mathcal{L}} \hat{\rho}) \leq S(e^{t\mathcal{L}} \hat{\rho}_G)$ . The covariance matrix of  $e^{t\mathcal{L}} \hat{\rho}$  and  $e^{t\mathcal{L}} \hat{\rho}_G$  is (Lemma III.1, ref. 17)  $\sigma + t \mathbb{1}_{2n}$ , where  $\sigma$  is the one of  $\hat{\rho}$ . Let  $\lambda_0$  be the maximum eigenvalue of  $\sigma$ . Then  $\sigma + t \mathbb{1}_{2n} \leq (\lambda_0 + t) \mathbb{1}_{2n}$ ; that is, the Gaussian thermal state with covariance matrix  $(\lambda_0 + t) \mathbb{1}_{2n}$  can be obtained by adding (non-white) Gaussian noise to  $e^{t\mathcal{L}} \hat{\rho}_G$ . Because the additive noise channel is unital, it always increases the entropy, and

$$S(e^{t\mathcal{L}} \hat{\rho}_G) \leq n g\left(\frac{\lambda_0 + t - 1}{2}\right) \quad (85)$$

As

$$g\left(x - \frac{1}{2}\right) = \ln(ex) + \mathcal{O}\left(\frac{1}{x^2}\right); \text{ for } x \rightarrow \infty \quad (86)$$

putting it all together we get

$$\exp\left(\frac{1}{n} S(e^{t\mathcal{L}} \hat{\rho})\right) \leq \frac{et}{2} + \mathcal{O}(1) \quad (87)$$

**Capacity region of the bosonic broadcast channel.** In refs 12 and 13 it is proven that, trusting the minimum output entropy conjecture of refs 10 and 11 (which is a particular case of the still unproven EPnI), the capacity region for a lossless bosonic broadcast channel is parametrically described by inequalities

$$\begin{aligned} R_B &\leq g(\lambda \beta \bar{N}) \\ R_C &\leq g((1-\lambda) \bar{N}) - g((1-\lambda) \beta \bar{N}) \end{aligned} \quad (88)$$

with  $R_B$  and  $R_C$  representing the achievable communication rates the sender of the information can establish when signalling simultaneously to two independent receivers  $B$  and  $C$ , respectively, when coding his messages into a single bosonic mode which splits at a beamsplitter of transmissivity  $\lambda \geq \frac{1}{2}$  (the transmitted signals being routed to  $B$  and the reflected ones to  $C$ ; see refs 12 and 13 for details). In this expression,  $\beta \in [0, 1]$  represents the fraction of the sender's average photon number that is meant to convey information to  $B$ , with the remainder to be used to communicate information to  $C$ .  $\bar{N} \geq 0$  instead is the maximum average mean input photon number used in the communication per channel use. Our qEPI inequality (5) provides instead the weaker bound

$$R_B \leq g(\lambda\beta\bar{N})$$

$$R_C \leq g((1-\lambda)\bar{N}) - \ln \frac{(1-\lambda)e^{g(\lambda\beta\bar{N})} + 2\lambda - 1}{\lambda} \quad (89)$$

A comparison between equation (89) and the conjectured region (88) is shown in Fig. 3, where the discrepancy can be seen to be small.

Received 12 February 2014; accepted 25 September 2014;  
published online 2 November 2014

## References

- Shannon, C. E. A mathematical theory of communication. *Bell Syst. Tech. J.* **27**, 379–423 (1948).
- Dembo, A., Cover, T. & Thomas, J. Information theoretic inequalities. *IEEE Trans. Inf. Theory* **37**, 1501–1518 (1991).
- Stam, A. Some inequalities satisfied by the quantities of information of Fisher and Shannon. *Inform. Control* **2**, 101–112 (1959).
- Blachman, N. The convolution inequality for entropy powers. *IEEE Trans. Inf. Theory* **11**, 267–271 (1965).
- Verdú, S. & Guo, D. A simple proof of the entropy-power inequality. *IEEE Trans. Inf. Theory* **52**, 2165–2166 (2006).
- Rioul, O. Information theoretic proofs of entropy power inequalities. *IEEE Trans. Inf. Theory* **57**, 33–55 (2011).
- Guo, D., Shamai, S. & Verdú, S. Proof of entropy power inequalities via MMSE. In *Proceedings of Information Theory 2006, IEEE International Symposium on Information Theory* 1011–1015 (2006).
- Bergmans, P. A simple converse for broadcast channels with additive white Gaussian noise. *IEEE Trans. Inf. Theory* **20**, 279–280 (1974).
- Leung-Yan-Cheong, S. & Hellman, M. The Gaussian wire-tap channel. *IEEE Trans. Inf. Theory* **24**, 451–456 (1978).
- Guha, S., Erkmén, B. I. & Shapiro, J. H. The entropy photon-number inequality and its consequences. *Information Theory and Applications Workshop* 128–130 (2008).
- Guha, S., Shapiro, J. & Erkmén, B. Capacity of the bosonic wiretap channel and the entropy photon-number inequality. In *Proceedings of Information Theory 2008, IEEE International Symposium on Information Theory* 91–95 (2008).
- Guha, S. & Shapiro, J. Classical information capacity of the bosonic broadcast channel. In *Proceedings of Information Theory 2007, IEEE International Symposium on Information Theory* 1896–1900 (2007).
- Guha, S., Shapiro, J. H. & Erkmén, B. I. Classical capacity of bosonic broadcast communication and a minimum output entropy conjecture. *Phys. Rev. A* **76**, 032303 (2007).
- Giovannetti, V., Holevo, A. S. & García-Patrón, R. A solution of the Gaussian optimizer conjecture. Preprint at <http://lanl.arxiv.org/abs/1312.2251> (2013).
- Giovannetti, V., García-Patrón, R., Cerf, N. J. & Holevo, A. S. Ultimate communication capacity of quantum optical channels by solving the Gaussian minimum-entropy conjecture. Preprint at <http://lanl.arxiv.org/abs/1312.6225> (2013).
- Giovannetti, V., Guha, S., Lloyd, S., Maccone, L. & Shapiro, J. H. Minimum output entropy of bosonic channels: a conjecture. *Phys. Rev. A* **70**, 032315 (2004).
- König, R. & Smith, G. The entropy power inequality for quantum systems. *IEEE Trans. Inf. Theory* **60**, 1536–1548 (2014).
- König, R. & Smith, G. Limits on classical communication from quantum entropy power inequalities. *Nature Photon.* **7**, 142–146 (2013).
- Barron, A. R. Entropy and the central limit theorem. *Ann. Prob.* **14**, 336–342 (1986).
- Braunstein, S. L. & van Loock, P. Quantum information with continuous variables. *Rev. Mod. Phys.* **77**, 513–577 (2005).
- Weedbrook, C. et al. Gaussian quantum information. *Rev. Mod. Phys.* **84**, 621–669 (2012).
- Walls, D. F. & Milburn, G. J. *Quantum Optics* (Springer, 1994).
- Holevo, A. S. *Quantum Systems, Channels, Information: A Mathematical Introduction* (Walter de Gruyter, 2012).
- Kagan, A. & Yu, T. Some inequalities related to the Stam inequality. *Appl. Math.* **53**, 195–205 (2008).
- Mari, A., Giovannetti, V. & Holevo, A. S. Quantum state majorization at the output of bosonic Gaussian channels. *Nature Commun.* **5**, 3826 (2014).
- Caves, C. M. & Drummond, P. B. Quantum limits on bosonic communication rates. *Rev. Mod. Phys.* **66**, 481–537 (1994).
- Holevo, A. S. & Werner, R. F. Evaluating capacities of bosonic Gaussian channels. *Phys. Rev. A* **63**, 032312 (2001).
- Giedke, G., Wolf, M. M., Krüger, O., Werner, R. F. & Cirac, J. I. Entanglement of formation for symmetric Gaussian states. *Phys. Rev. Lett.* **91**, 107901 (2003).
- Modi, K., Brodutch, A., Cable, H., Paterek, T. & Vedral, V. The classical-quantum boundary for correlations: discord and related measures. *Rev. Mod. Phys.* **84**, 1655–1707 (2012).
- Pirandola, S., Cerf, N. J., Braunstein, S. L. & Lloyd, S. Optimality of Gaussian discord. Preprint at <http://lanl.arxiv.org/abs/1309.2215> (2013).
- Bardhan, B. R., García-Patrón, R., Wilde, M. M. & Winter, A. Strong converse for the classical capacity of optical quantum communication channels. Preprint at <http://lanl.arxiv.org/abs/1401.4161> (2014).
- Wolf, M. M., Giedke, G. & Cirac, J. I. Extremality of Gaussian quantum states. *Phys. Rev. Lett.* **96**, 080502 (2006).

## Acknowledgements

The authors thank L. Ambrosio, S. Di Marino and A.S. Holevo for comments and discussions. A.M. acknowledges support from the Progetto Giovani Ricercatori 2013 of Scuola Normale Superiore. This work is partially supported by the EU Collaborative Project TherMiQ (grant agreement 618074).

## Author contributions

All authors contributed equally to the research work and writing of the manuscript.

## Additional information

Supplementary information is available in the online version of the paper. Reprints and permissions information is available online at [www.nature.com/reprints](http://www.nature.com/reprints). Correspondence and requests for materials should be addressed to V.G.

## Competing financial interests

The authors declare no competing financial interests.