## Geometry of TVS's

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# Chapter 1 Introduction

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### Chapter 2

## Prerequisite Knowledge

## 2.1 Weak Topologies And Dual Spaces Of Seminormed Spaces

#### 2.1.1 Basics

Definition 2.1.1 (Bijection).

**Definition 2.1.2** (Topological Space).  $(Z, \mathcal{T}_Z)$ 

**Definition 2.1.3** (Homeomorphism).

#### 2.1.2 Relations

**Definition 2.1.4** ( Relation ). Let  $X \neq \emptyset$  be a set. We say that R is a Relation on X if  $R \subset X \times X$ . If  $(a,b) \in R$ , then we may write aRb.

**Definition 2.1.5** ( **Reflexive** ). Let  $X \neq \emptyset$  be a set. Let R be a **Relation** on X. We say that R is **Reflexive** with respect to X if, or equivalently we say that R posseses **Reflexivity** with respect to X if  $\{(a,a)|a \in X\} \subset R$ . When X is understood, we may simply say that R is **Reflexive** or that R possesses **Reflexivity** .

**Definition 2.1.6** (Transitive). Let  $X \neq \emptyset$  be a set. Let R be a Relation on X. We say that R is Transitive, or equivalently we say that R posseses Transitivity if whenever  $(a,b) \in R$  and  $(b,c) \in R$ , we also have  $(a,c) \in R$ .

**Definition 2.1.7** ( **Preorder** ). Let  $X \neq \emptyset$  be a set. Let R be a **Relation** on X. If R is **Reflexive** and **Transitive** then we call R a **Preorder** on X, or we equivalently call R a **Preordering** of X and we call (X,R) a **Preordered Set** .

**Definition 2.1.8** (Comparable). Let (X,R) be a Preordered Set. We say that  $x,y \in X$  are Comparable and that they possess Comparability if xRy or yRx.

**Definition 2.1.9** (Symmetric). Let  $X \neq \emptyset$  be a set. Let R be a Relation on X. We say that R is Symmetric, or equivalently we say that R posseses Symmetry if whenever aRb, we also have bRa.

**Definition 2.1.10** (Anti-Symmetric). Let  $X \neq \emptyset$  be a set. Let R be a Relation on X. We say that R is Anti-Symmetric, or equivalently we say that R posseses Anti-Symmetry if whenever aRb and bRa, we must have a = b.

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**Definition 2.1.11** (Maximal Element). Let  $X \neq \emptyset$  be a set. Let R be an Relation on X. Let  $Y \subset X$ . Let  $a \in Y$ . We say that a is a Maximal Element of Y, or equivalently we say that a is a Maximum of Y if for every  $b \in Y$ , we have  $b \leq a$ . The Plural of Maximum is a Maxima, and we represent the set of Maxima of Y with respect to the relation R with Maxima(Y), or if R is understood, we represent the set of Maxima of Y with Maxima(Y).

**Proposition 2.1.12** (Maximal Element unique if R is Anti-Symmetric). Let  $X \neq \emptyset$  be a set. Let R be an Anti-Symmetric Relation on X. Let  $Y \subset X$ . Let a and b be each be a Maximal Element of Y. Then a = b.

*Proof.* Since  $a \in \mathbf{Maxima}(Y)$ ,  $b \le a$ . Since  $b \in \mathbf{Maxima}(Y)$ ,  $a \le b$ . By **Anti-Symmetry**, b = a.

**Definition 2.1.13** (Minimal Element). Let  $X \neq \emptyset$  be a set. Let R be an Relation on X. Let  $Y \subset X$ . Let  $a \in Y$ . We say that a is a Minimal Element of Y, or equivalently we say that a is a Minimum of Y if for every  $b \in Y$ , we have  $a \leq b$ . The Plural of Minimum is Minima, and we represent the set of Minima of Y with respect to the relation R with Minima<sub>R</sub>(Y), or if R is understood, we represent the set of Minima of Y with Minima(Y).

**Proposition 2.1.14** (Minimal Element unique if R is Anti-Symmetric). Let  $X \neq \emptyset$  be a set. Let R be an Anti-Symmetric Relation on X. Let  $Y \subset X$ . Let a and b be each be a Minimal Element of Y. Then a = b.

*Proof.* Since  $a \in \mathbf{Minima}(Y)$ ,  $a \leq b$ . Since  $b \in \mathbf{Minima}(Y)$ ,  $b \leq a$ . By **Anti-Symmetry**, b = a.

**Definition 2.1.15** ( **Upper Bound** ). Let  $X \neq \emptyset$  be a set. Let R be a **Relation** on X. Let  $Y \subset X$ . Let  $a \in X$ . We say that a is an **Upper Bound** for Y if for every  $x \in Y$ , we have xRa. If a is an **Upper Bound** then we also say that the set Y is **Bounded From Above** by a. We denote the set of **Upper Bounds** of Y with respect to the relation R with **UpperBound**R(Y). When R is understood, we denote this set with **UpperBound**(Y).

**Definition 2.1.16** ( Lower Bound ). Let  $X \neq \emptyset$  be a set. Let R be a Relation on X. Let  $Y \subset X$ . Let  $a \in X$ . We say that a is an Lower Bound for Y if for every  $x \in Y$ , we have aRx. If a is an Lower Bound then we also say that the set Y is Bounded From Below by a. We denote the set of Lower Bounds of Y with respect to the relation R with LowerBound(Y). When R is understood, we denote this set with LowerBound(Y).

**Definition 2.1.17** ( Least Upper Bound ). Let  $X \neq \emptyset$  be a set. Let R be a Relation on X. Let  $Y \subset X$ . Let  $a \in X$ . We say that a is a Least Upper Bound of Y if  $a \in \mathbf{Minima}(\mathbf{UpperBound}(Y))$ . We denote the set of Least Upper Bounds for Y with LUB(Y). If  $b \in \mathbf{LUB}(Y)$ , then we also call b a Supremum of Y. The Plural of Supremum is Suprema . If LUB(Y) = {c}, then we write  $c = \mathbf{Sup}(Y)$ .

**Definition 2.1.18** ( Greatest Lower Bound ). Let  $X \neq \emptyset$  be a set. Let R be a Relation on X. Let  $Y \subset X$ . Let  $a \in X$ . We say that a is a Greatest Lower Bound of Y if  $a \in \mathbf{Maxima}(\mathbf{LowerBound}(Y))$ . We denote the set of Greatest Lower Bounds for Y with  $\mathbf{GLB}(Y)$ . If  $b \in \mathbf{GLB}(Y)$ , then we also call b a Infimum of Y. The Plural of Infimum is Infima . If  $\mathbf{GLB}(Y) = \{c\}$ , then we write  $c = \mathbf{Inf}(Y)$ .

**Definition 2.1.19** (Equivalence Relation). Let  $X \neq \emptyset$  be a set. Let  $\cong$  be a Preorder on X. We say that  $\cong$  is an Equivalence Relation on X if it is Symmetric.

**Definition 2.1.20** ( **Partial Order** ). Let  $X \neq \emptyset$  be a set. Let  $\leq$  be a **Preorder** on X. We say that  $\leq$  is a **Partial Order** on X and we say that  $\leq$  is a **Partial Ordering** of X if  $\leq$  is **Anti-Symmetric**. Let  $\leq$  is a **Partial Order** on X, the we refer to the pair  $(X, \leq)$  as a **Partially Ordered Set**.

**Definition 2.1.21** ( **Total Order** ). Let (X,R) be a **Partially Ordered Set** in which every pair of elements is **Comparable** . Then we call R a **Total Order** on X and we call (X,R) a **Totally Ordered Set** .

**Definition 2.1.22** ( **Direction** ). Let  $X \neq \emptyset$  be a set. Let  $\leq$  be a **Preorder** on X. If every pair of elements in X has an **Upper Bound** with respect to  $\leq$ , then we call  $\leq$  is a **Direction** on X, , we call  $\leq$  is a **Directing** of X, and we call  $(X, \leq)$  is a **Directed Set**.

#### 2.1.3 Neighborhood Filter Of A Point

**Definition 2.1.23** (Neighborhood Filter). Let  $(Z, \mathcal{T}_Z)$  be a Topological Space. Let  $x \in Z$ . We denote with  $\mathcal{U}_{\mathcal{T}_Z}(x)$  the collection of all elements of  $\mathcal{T}_Z$  containing x. We call  $\mathcal{U}_{\mathcal{T}_Z}(x)$  a Neighborhood Filter of  $\mathcal{T}_Z$  at x.

**Definition 2.1.24** (Relation of Equal Neighborhood Filters ). Let  $(Z, \mathcal{T}_Z)$  be a Topological Space. Define the relation  $\cong \subset Z \times Z$  by setting, for  $x, y \in Z$ ,

$$x \cong y \iff \mathcal{U}_{\mathcal{T}_Z}(x) = \mathcal{U}_{\mathcal{T}_Z}(y)$$
 (2.1)

We call  $\cong$  the Relation Of Equal Neighborhood Filters on  $(Z, \mathcal{T}_Z)$ 

Proposition 2.1.25 (Relation Of Equal Neighborhood Filters on ). The Relation Of Equal Neighborhood Filters on  $\cong$  on a Topological Space  $(Z, \mathcal{T}_Z)$  forms an Equivalence Relation on Z.

*Proof.* Let  $x \in (Z, \mathcal{T}_Z)$ . Then  $\mathcal{U}_{\mathcal{T}_Z}(x) = \mathcal{U}_{\mathcal{T}_Z}(x)$ , so  $x \cong x$ . Thus  $\cong$  is Reflexive.

Let  $x, y \in (Z, \mathcal{T}_Z)$ . Suppose  $x \cong y$ . Then  $\mathcal{U}_{\mathcal{T}_Z}(x) = \mathcal{U}_{\mathcal{T}_Z}(y)$ , so trivially  $\mathcal{U}_{\mathcal{T}_Z}(y) = \mathcal{U}_{\mathcal{T}_Z}(x)$ , and thus  $y \cong x$ . Hence,  $\cong$  is **Symmetric** 

Let  $x, y, z \in (Z, \mathcal{T}_Z)$ . Let  $x \cong y$  and  $y \cong z$ . Then,  $\mathcal{U}_{\mathcal{T}_Z}(x) = \mathcal{U}_{\mathcal{T}_Z}(y) = \mathcal{U}_{\mathcal{T}_Z}(z)$  so that  $x \cong z$ . Thus  $\cong$  is **Transitive** 

Since  $\cong$  is Reflexive , Symmetric , and Transitive , it is an Equivalence Relation

#### 2.1.4 Equivalence Relations, Quotient Sets, and Quotient Maps

**Definition 2.1.26** (Equivalence Class). Let  $X \neq \emptyset$ . Let  $\cong$  be an **Equivalence Relation** defined on X. Let  $x \in X$ . We define the set  $[x]_{\cong}$  by

$$[x]_{\cong} = \{ y \in X | y \cong x \} \tag{2.2}$$

We call  $[x]_{\cong}$  the **Equivalence Class** of x in  $(X, \cong)$ .

**Proposition 2.1.27** (Equivalence Classes Partition). Let  $X \neq \emptyset$ . Let  $\cong$  be an Equivalence Relation defined on X. Let  $x, y \in X$ . The following statements are equivalent.

- 1.  $[x]_{\cong} \cap [y]_{\cong} \neq \emptyset$
- $2. x \cong y$
- 3.  $[x]_{\cong} = [y]_{\cong}$
- $4. \ [x]_{\cong} \subset [y]_{\cong}$
- $5. \ [y]_{\cong} \subset [x]_{\cong}$

Proof That  $1 \implies 2$ . Suppose  $M := [x]_{\cong} \cap [y]_{\cong} \neq \emptyset$ . Then there exists  $z \in M$ . Then  $z \cong x$ , so by **Symmetry**,  $x \cong z$ . But by **Transitivity**, pair with  $z \cong y$ , we conclude  $x \cong y$ .  $\square$ 

Proof That  $2 \implies 4$ . Let  $x \cong y$  and let  $z \in [x]_{\cong}$ . Then  $z \cong x \cong y$ , so  $z \cong y$  and  $z \in [y]_{\cong}$ . Since z was arbitrary, we're done.

*Proof That*  $2 \implies 5$ . Let  $x \cong y$ . By **Symmetry**,  $y \cong x$ , so by  $(2 \implies 4)$ , we are done.  $\square$ 

Proof That  $2 \implies 3$ . Since  $2 \implies 4$  and  $2 \implies 5$  and 5 and 4 together imply 3, we have this.

Proof That  $5 \implies 1$ . Let  $[y]_{\cong} \subset [x]_{\cong}$ . Then  $y \in [y]_{\cong} = [y]_{\cong} \cap [x]_{\cong}$ . Hence 1 holds.  $\square$ 

**Definition 2.1.28** (Quotient Set). Let  $X \neq \emptyset$ . Let  $\cong$  be an **Equivalence Relation** defined on X. We define the set  $X/\cong$  by

$$X/\cong = \{[x]_{\cong} : x \in X\} \tag{2.3}$$

We call  $X/\cong$  the **Quotient Set** of X under the relation  $\cong$ .

Remark 2.1.29 ( Quotient Set forms a Partition ). 2.1.27, paired with the fact that  $x \in [x]_{\cong}$ , implies that  $X/\cong$  is a Partition of X.

**Definition 2.1.30** (Quotient Map). Let  $X \neq \emptyset$ . Let  $\cong$  be an **Equivalence Relation** on X. Let  $X / \cong$  be the **Quotient Set** of X with respect to the relation  $\cong$ . Define  $T: X \to X / \cong$  by setting, for each  $x \in X$ ,

$$T(x) = [x] \tag{2.4}$$

We call T the **Quotient Map** of X under  $\cong$ .

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**Proposition 2.1.31** ( Quotient Map is Surjective ). Let  $X \neq \emptyset$ . Let  $\cong$  be an Equivalence Relation on X. Let  $T: X \to X/\cong$  be the Quotient Map of X under the Relation  $\cong$ . Then T is a Surjection .

*Proof.* Let  $K \in \mathbb{Z}/\cong$ . Then for some  $x \in \mathbb{Z}$ , K = [x]. Then T(x) = K. Since K was arbitrary, we are done.

#### 2.1.5 Quotient Space Topology

**Definition 2.1.32** (Quotient Space Topology). Let  $(Z, \mathcal{T}_Z)$  be a topological space. Let  $\cong$  be the **Relation Of Equal Neighborhood Filters** on  $(Z, \mathcal{T}_Z)$ . Let T be the **Quotient Map** of Z under the relation  $\cong$ . Define  $\mathcal{T}_{Z/\cong}$  by

$$\mathcal{T}_{Z/\cong} = \left\{ \bigcup_{x \in U} \{ T(x) \} \in 2^{Z/\cong} | U \in \mathcal{T}_Z \right\}$$
 (2.5)

By 2.1.33,  $\mathcal{T}_{Z/\cong}$  is a topology on  $Z/\cong$ . We call  $\mathcal{T}_{Z/\cong}$  the **Quotient Topology** and we call  $(Z/\cong, \mathcal{T}_{Z/\cong})$  the **Quotient Topological Space** of  $(Z, \mathcal{T}_Z)$ .

Proposition 2.1.33 (Quotient Space Topology). Let  $(Z, \mathcal{T}_Z)$  be a Topological Space with Quotient Topological Space  $(Z/\cong, \mathcal{T}_{Z/\cong})$  and Quotient Map T.

Then the following are true.

- 1.  $\mathcal{T}_{Z/\cong}$  is a **Topology** on  $Z/\cong$ .
- 2.  $T:(Z,\mathcal{T}_Z)\to (Z/\cong,\mathcal{T}_{Z/\cong})$  is **Continuous**.
- 3. If U is Set-Open (Set-Closed) in  $(Z, \mathcal{T}_Z)$  then T(U) and  $T(Z \setminus U)$  Partition  $Z/\cong$ .
- 4. If U is **Set-Open** in  $(Z, \mathcal{T}_Z)$ , then  $T^{-1}(T(U)) = U$ .
- 5. If K is **Set-Closed** in  $(Z, \mathcal{T}_Z)$ , then  $T^{-1}T(K) = K$ .
- 6.  $T:(Z,\mathcal{T}_Z) \to (Z/\cong,\mathcal{T}_{Z/\cong})$  is Map-Open .

- 7.  $T:(Z,\mathcal{T}_Z) \to (Z/\cong,\mathcal{T}_{Z/\cong})$  is **Map-Closed** .
- 8.  $(Z, \mathcal{T}_Z)$  is a **Compact** space if and only if  $(Z/\cong, \mathcal{T}_{Z/\cong})$  is a **Compact** space.
- 9. If  $\mathcal{B}$  is a **Basis** for  $\mathcal{T}_z$ , then  $\{T(U)|U\in\mathcal{B}\}$  is a **Basis** for  $\mathcal{T}_{Z/\cong}$ .
- 10. If T is **Injective**, then it is a **Homeomorphism**.

Proof of 1. Since  $\emptyset \in \mathcal{T}_Z$ , we have

$$\emptyset = \bigcup_{x \in \emptyset} \{Tx\} \in \mathcal{T}_{Z/\cong}$$
 (2.6)

Since  $Z \in \mathcal{T}_Z$ , and by 2.1.29,

$$Z/\cong=\bigcup_{x\in Z}\{[x]\}=\bigcup_{x\in Z}\{T(x)\}\in\mathcal{T}_{Z/\cong}$$
(2.7)

Let  $\{U_{\alpha} | \alpha \in A\} \subset \mathcal{T}_{Z/\cong}$ . For each  $\alpha \in A$ , there exists  $B_{\alpha} \in \mathcal{T}_Z$  such that we have

$$U_{\alpha} = \bigcup_{x \in B_{\alpha}} \{Tx\} \tag{2.8}$$

Since  $\bigcup_{\alpha \in A} B_{\alpha} \in \mathcal{T}_Z$ , we have

$$\bigcup_{\alpha \in A} U_{\alpha} = \bigcup_{\alpha \in A} \bigcup_{x \in U_{\alpha}} \{T(x)\} = \bigcup_{x \in \bigcup_{\alpha \in A} B_{\alpha}} \{T(x)\} \in \mathcal{T}_{Z/\cong}$$
(2.9)

Let  $\{U_i\}_{i=1}^n \subset \mathcal{T}_{Z/\cong}$ . For each  $i \in \{1, ..., n\}$ , there exists  $B_i \in \mathcal{T}_Z$  such that

$$U_i = \bigcup_{x \in B_i} \{T(x)\}$$
 (2.10)

Suppose

$$[x_0] \in \bigcap_{i=1}^n \bigcup_{x \in B_i} \{T(x)\}$$
 (2.11)

Then for each  $i \in \{1, ..., n\}$ , there is a  $y_i \in B_i$  such that  $y_i \cong x_0$ . Since each  $B_i$  is **Set-Open**, the definition of  $\cong$  implies that  $x_0 \in B_i$  for every i. Hence,

$$x_0 \in \bigcap_{i=1}^n B_i \tag{2.12}$$

Implying

$$[x_0] \in \bigcup_{\substack{x \in \bigcap \\ i=1}}^n B_i$$
 (2.13)

Hence,

$$\bigcap_{i=1}^{n} \bigcup_{x \in B_i} \{T(x)\} \subset \bigcup_{\substack{x \in \bigcap_{i=1}^{n} B_i}} \{[x]\}$$

$$(2.14)$$

Furthermore, since the reverse inclusion is obvious, and since  $\bigcap_{i=1}^n B_i \in \mathcal{T}_Z$ , we have

$$\bigcap_{i=1}^{n} U_{i} = \bigcap_{i=1}^{n} \bigcup_{x \in B_{i}} \{T(x)\} = \bigcup_{\substack{x \in \bigcap_{i=1}^{n} B_{i}}} \{T(x)\} \in \mathcal{T}_{Z/\cong}$$
(2.15)

Proof of 2. Let  $V \in \mathcal{T}_{Z/\cong}$ . Let  $x_0 \in T^{-1}(V)$ . Then  $[x_0] \in V$ . By definition, there is a  $U \in \mathcal{T}_Z$  such that

$$T(U) \subset \bigcup_{x \in U} \{T(x)\} = V \tag{2.16}$$

Hence there is a  $y_0 \in U$  such that

$$[x_0] \in T(y_0) = \{[y_0]\} \tag{2.17}$$

Therefore,  $x \cong y$ . Definition of the **Relation Of Equal Neighborhood Filters** on i mplies  $\mathcal{U}(x_0) = \mathcal{U}(y_0)$ . Hence,  $x_0 \in U \subset T^{-1}(V)$ .

Proof of 3. Let K be closed in  $(Z, \mathcal{T}_Z)$ . Then each point  $x_0$  in  $Z \setminus K$  has some  $U_{x_0} \in \mathcal{U}_{\mathcal{T}_Z}(x_0)$  which is **Disjoint** from K. Hence  $y_0 \not\cong x_0$  for any  $y_0 \in K$ ,  $x_0 \in Z \setminus K$ . Hence T(K) is **Disjoint** from  $T(Z \setminus K)$ . This fact, paired with 2.1.4, implies  $T(Z \setminus K)$  and T(K) is a **Partition** of  $Z/\cong$ .

Proof of 4. Let  $U \in \mathcal{T}_Z$ . The nontrivial direction to prove is  $T^{-1}(T(U)) \subset U$ . Let  $y \in T^{-1}(T(U))$ . Then  $[y] = Ty \in T(U)$ . Hence, [y] = T(x) = [x] for some  $x \in U$ . Since  $y \cong x$  and  $x \in U \in \mathcal{U}_{\mathcal{T}_Z}(x)$ , we have  $U \in \mathcal{U}_{\mathcal{T}_Z}(y)$ . Hence  $y \in U$ . Since y was arbitrary,  $T^{-1}(T(U)) \subset U$ , and equality is obvious because the other direction of inclusion is trivial.  $\square$ 

*Proof of 5.* Let K be **Set-Closed** in  $(Z, \mathcal{T}_Z)$ . Part 3 Of this result implies  $Z/\cong$  is partitioned by T(K) and  $T(Z \setminus K)$ .

By part 4 of this proposition,

$$T^{-1}(T(K)) = T^{-1}(T(Z) \setminus T(Z \setminus K))$$

$$= T^{-1}(Z/ \cong \setminus T(Z \setminus K))$$

$$= T^{-1}(Z/ \cong) \setminus T^{-1}(T(Z \setminus K))$$

$$= Z \setminus (Z \setminus K)$$

$$= K$$

Proof of 6. Let  $U \in \mathcal{T}_Z$ . Then by definition of the Quotient Topology

$$TU = \bigcup_{x \in U} \{T(x)\} \in \mathcal{T}_{Z/\cong}$$
 (2.18)

Proof of 7. Let K be **Set-Closed** in  $(Z, \mathcal{T}_Z)$ . Then  $Z \setminus K \in \mathcal{T}_Z$ . By Parts 3 and five of this proposition, we know  $T(K) = Z/\cong \backslash T(Z \setminus K)$  and also that  $T(Z \setminus K) \in \mathcal{T}_{Z/\cong}$ . Hence T(K) is closed in  $(Z/\cong, \mathcal{T}_{Z/\cong})$ .

Proof of 8. Let  $(Z, \mathcal{T}_Z)$  be **Compact**. Let  $\{U_{\alpha}\}_{{\alpha} \in A}$  be an open covering of  $(Z/\cong, \mathcal{T}_{Z/\cong})$ . Then  $\{T^{-1}(U_{\alpha}) | {\alpha} \in A\}$  is an open covering of  $(Z, \mathcal{T}_Z)$ . **Compactness** of  $(Z, \mathcal{T}_Z)$  guarantees the existence of a finite subcovering  $\{T^{-1}(U_{\alpha_i}) | i \in \{1, ..., n\}\}$ . Hence  $\{U_{\alpha_i} | i \in \{1, ..., n\}\}$  is an **Open Cover** of  $(Z/\cong, \mathcal{T}_{Z/\cong})$ . And the **Compactness** of  $(Z/\cong, \mathcal{T}_{Z/\cong})$  is verified.

Now, suppose  $(Z/\cong, \mathcal{T}_{Z/\cong})$  is **Compact**. Let  $\{V_{\beta}|\beta \in B\}$  be an **Open Cover** of  $(Z, \mathcal{T}_Z)$ . Since T is an **Map-Open** mapping,  $\{T(V_{\beta})|\beta \in B\}$  is an **Open Cover** of  $(Z/\cong, \mathcal{T}_{Z/\cong})$  which by **Compactness** has a **Finite SubCover**  $\{T(V_{\beta_i})|i \in \{1, ..., n\}\}$ . By part 4 of 2.1.33,  $\{V_{\beta_i}|i \in \{1, ..., n\}\} = \{T^{-1}(T(V_{\beta_i}))|i \in \{1, ..., n\}\}$  is then an **Open SubCover** of  $(Z, \mathcal{T}_Z)$ .

Proof of 9. Let  $\mathcal{B}$  be a basis for  $\mathcal{T}_z$  and let  $V \in \mathcal{T}_{Z/\cong}$ . Then  $T^{-1}(Z) \in \mathcal{T}_Z$ , and so there is a subcollection  $\{U_\alpha\}_{\alpha \in A} \subset \mathcal{B}$  such that  $T^{-1}(V) = \bigcup_{\alpha \in A} U_\alpha$ . Hence,

$$V = T(T^{-1}(V))$$

$$= T\left(\bigcup_{\alpha \in A} U_{\alpha}\right)$$

$$= \bigcup_{\alpha \in A} T(U_{\alpha})$$

*Proof of 10.* If T is **Injective**, then since it is **Continuous** Part 2 of this result, open by part 6 of this result, and **Surjective** by 2.1.4, it is a **Bicontinuous Bijection**, that is, a **Homeomorphism**.

#### 2.1.6 Weak Topologies

**Definition 2.1.34** ( Weak Topology ). Let X be a set. For each  $\alpha \in A$ , let  $(Y_{\alpha}, T_{\alpha})$  be a Topological Space, and let  $\phi_{\alpha} : X \to (Y_{\alpha}, T_{\alpha})$ . Let  $\mathcal{T}$  be the Coarsest possible Topology on X such that for each  $\alpha \in A$ ,  $\phi_{\alpha} : (X, \mathcal{T}) \to (Y, \mathcal{T}_{\alpha})$  is Continuous. We call  $\mathcal{T}$  the Weak Topology on X induced by  $\{\phi_{\alpha}\}_{\alpha \in A}$ 

#### 2.1.7 Pseudometrics

**Definition 2.1.35** (Triangle Inequality). Let X and Y be sets. We say that a map  $f: X \times X \to Y$  is a **Symmetric Map** for each  $x_0, x_1 \in X$ ,  $f(x_0, x_1) = f(x_1, x_0)$ .

**Definition 2.1.36** (Symmetric Map). Let X be a set and  $(Y, +, \leq)$  be a totally ordered magma. We say that a map  $f: X \times X \to Y$  satisfies the **Triangle Inequality** if for each  $x_0, x_1, x_3 \in X$ , we have

$$f(x_0, x_2) \le f(x_0, x_1) + f(x_1, x_2)$$

**Definition 2.1.37** (Pseudometric). Let  $X \neq \emptyset$ . Let  $d: X \times X \to [0, \infty)$  be a **Symmetric** Map that satisfies the **Triangle Inequality** and further satisfies, for each  $x \in X$ ,

$$d(x,x) = 0 (2.19)$$

Under these conditions we call d a **Pseudometric** on X and we call (X, d) a **Pseudometric Space**.

**Definition 2.1.38** (Metric). Let (X, d) be a **Pseudometric Space**. If d has the property that for  $x, y \in X$ , if  $x \neq y$ , then

$$d(x,y) \neq 0$$

Then we call d a Metric on X and we call (X, d) a Metric Space

**Definition 2.1.39** (Pseudometric Cauchy Sequence). Let (X,d) be a **Pseudometric Space** . We say that a sequence  $\{x_i\}_{i\in\mathbb{N}}$  is a **Pseudometric Cauchy Sequence** if, for each  $\epsilon > 0$ , there exists  $N \in \mathbb{N}$  such that for each pair  $m, n \in \mathbb{N}$  such that m > N and n > N, we have

$$d(x_m, x_n) < \epsilon \tag{2.20}$$

**Definition 2.1.40** (Pseudometric Convergence). Let (X,d) be a **Pseudometric Space**. Let  $\{x_i\}_{i\in\mathbb{N}}$  be a sequence in (X,d). Let  $x_0\in X$ . We say that  $\{x_i\}_{i\in\mathbb{N}}$  exhibits **Pseudometric-Convergence** to  $x_0$  in d, or we say that  $\{x_i\}_{i\in\mathbb{N}}$  **Pseudometric-Converges** to  $x_0$  in d, or we say that  $\{x_i\}_{i\in\mathbb{N}}$  is **Pseudometrically-Convergent** to  $x_0\in d$  if, for every  $\epsilon>0$ , there is an  $N\in\mathbb{N}$  such that for every n>N, we have

$$d(x_0, x_n) < \epsilon \tag{2.21}$$

Proposition 2.1.41 (Convergent Implies Cauchy). Let (X, d) be a Pseudometric Space . Let  $\{x_i\}_{i\in\mathbb{N}}$  be a Pseudometrically-Convergent sequence. Then  $\{x_i\}_{i\in\mathbb{N}}$  is a Pseudometric Cauchy Sequence .

*Proof.* Since  $\{x_i\}$  converges, let  $x_i \to x$ . Let  $\epsilon > 0$ . Then there exists  $N \in \mathbb{N}$  such that for n > N, we have  $d(x_i, x) < \frac{\epsilon}{2}$ . For this N, if m, n > N, then we have

$$d(x_m, x_n) \le d(x_m, x) + d(x, x_n) < \epsilon \tag{2.22}$$

and so the sequence is a Pseudometric Cauchy Sequence, as advertised.

**Definition 2.1.42** (Uniformly Cauchy). Let  $(X_{\alpha}, d_{\alpha})$  be a **Pseudometric Space** for  $\alpha \in A$  where A is some indexing set. For each  $\alpha \in A$ , let  $\phi_{\alpha} := \{x_i^{\alpha}\}_{i \in \mathbb{N}} \subset X_{\alpha}$  be a sequence. We say that the collection  $\{\phi_{\alpha}\}_{\alpha \in A}$  is **Uniformly Cauchy** if for each  $\epsilon > 0$ , there exists an  $N \in \mathbb{N}$  such that for each pair  $m, n \in N$  such that m > N and n > N, and for each  $\alpha \in A$ , we have

$$d_{\alpha}\left(x_{n}^{\alpha}, x_{m}^{\alpha}\right) < \epsilon \tag{2.23}$$

**Definition 2.1.43** (Uniform Convergence). Let  $(X_{\alpha}, d_{\alpha})$  be a **Pseudometric Space** for  $\alpha \in A$  where A is some indexing set. For each  $\alpha \in A$ , let  $\phi_{\alpha} := \{x_i^{\alpha}\}_{i \in \mathbb{N}} \subset X_{\alpha}$  be a sequence. We say that the collection  $\{\phi_{\alpha}\}_{\alpha \in A}$  is **Uniformly Convergent** to  $\{x_{\alpha}\}_{\alpha \in A} \in \prod_{\alpha \in A} X_{\alpha}$  if for each  $\epsilon > 0$ , there is an  $N \in \mathbb{N}$  such that for each n > N, and for every  $\alpha \in A$ , we have

$$d_{\alpha}(x_i^{\alpha}, x_{\alpha}) < \epsilon \tag{2.24}$$

In this scenario, we may equivalently say that  $\{\phi_{\alpha}\}$  demonstrates Uniform Convergence to  $\{x_{\alpha}\}_{{\alpha}\in A}$  or that it Converges Uniformly.

When we mention **Uniform Convergence** without reference to what the convergence is to, we are merely claiming the existence of such a limit.

**Proposition 2.1.44** (Uniform Cauchy and Pointwise Convergence implies Uniform Convergence). Let  $(X_{\alpha}, d_{\alpha})$  be a **Pseudometric Space** for  $\alpha \in A$  where A is some indexing set. For each  $\alpha \in A$ , let  $\phi_{\alpha} := \{x_i^{\alpha}\}_{i \in \mathbb{N}} \subset X_{\alpha}$  be a sequence. Suppose the collection  $\{\phi_{\alpha}\}_{\alpha \in A}$  is **Uniformly Cauchy** and that each  $\phi_{\alpha}$  is **Pseudometrically-Convergent**, say  $x_i^{\alpha} \to x_{\alpha}$ . Then  $\{\phi_{\alpha}\}_{\alpha \in A}$  is **Uniformly Convergent** to  $\{x_{\alpha}\}_{\alpha \in A}$ .

Proof. Let  $\epsilon > 0$ . Then, since  $\{\phi_{\alpha}\}_{{\alpha} \in A}$  is **Uniformly Cauchy**, there is an  $N \in \mathbb{N}$  such that for m, n > N, we have  $d_{\alpha}(x_{n}^{\alpha}, x_{m}^{\alpha}) < \frac{\epsilon}{2}$ . Also, since each  $\phi_{\alpha}$  converges to  $x_{\alpha}$ , there are  $N_{\alpha} \in \mathbb{N}$ . such that for any  $n_{\alpha} > N_{\alpha}$ , we have  $d_{\alpha}(x_{n_{\alpha}}^{\alpha}, x_{\alpha}) < \frac{\epsilon}{2}$ . Define  $M_{\alpha} = max(N+1, N_{\alpha}+1)$  for  $\alpha \in A$ . Let n > N. Then, for any  $\alpha \in A$ , we have.

$$d_{\alpha}(x_{n}^{\alpha}, x_{\alpha}) \leq d_{\alpha}(x_{n}^{\alpha}, x_{M_{\alpha}}^{\alpha}) + d_{\alpha}(x_{M_{\alpha}}, x_{\alpha})$$
$$< \frac{\epsilon}{2} + \frac{\epsilon}{2}$$
$$= \epsilon$$

completing the proof.

**Definition 2.1.45** (Pseudometric Complete). We say that a **Pseudometric Space** (X, d) is **Pseudometric-Complete** if each **Pseudometric Cauchy Sequence** sequence in (X, d) **Pseudometric-Converges** to a limit in X.

In the case that d is a **Metric**, then being **Pseudometric-Complete** is equivalent to beging **Complete** in the classical sense, so we will commonly refer to a **Pseudometric Space** which is **Pseudometric-Complete** as simply being **Complete**.

**Definition 2.1.46** (Pseudometric Ball). Let (X, d) be a **Pseudometric Space**. For each  $x_0 \in X$  and each  $\epsilon > 0$ , we define the following.

- 1.  $B_d(x_0, \epsilon) := \{ y \in X | d(x_0, y) < \epsilon \}$  denotes the **Open Ball** about  $x_0$  with radius  $\epsilon$ .
- 2.  $\overline{B_d}(x_0, \epsilon) := \{ y \in X | d(x_0, y) \le \epsilon \}$  denotes the Closed Ball about  $x_0$  with radius  $\epsilon$ .

**Definition 2.1.47** (Pseudometric Topology). Let (X, d) be a **Pseudometric Space**, and let  $\mathcal{B}$  be the set of **Open Ball** 's in (X, d). By 2.1.7,  $\mathcal{B}$  is the basis for a unique topology  $\mathcal{T}_d$  on X. We call  $\mathcal{T}_d$  the **Pseudometric Topology** induced by d on X.

**Proposition 2.1.48** (Pseudometric Topology). Let (X, d) by **Pseudometric Space** and let  $\mathcal{B}$  be the set of **Open Ball** 's in (X, d). The following are true.

- 1. There exists a unique topology  $\mathcal{T}_d$  on X which  $\mathcal{B}$  is a basis of. That is, the **Pseudo-metric Topology**  $\mathcal{T}_d$  is well defined.
- 2. The **Pseudometric Topology** is first countable. That is, each of its points permits a countable neighborhood basis.

Proof of 1. Uniqueness is guaranteed by closure under arbitrary unions of a topology. For existense, it is sufficient to show that the collection of arbitrary unions of elements of  $\mathcal{B}$  is closed under finite intersections. Suppose that for  $1 \leq i \leq n$ , we have  $\{U_{\alpha_i} | \alpha_i \in A_i\} \subset \mathcal{B}$  and consider the set

$$U = \bigcap_{i=1}^{n} \bigcup_{\alpha_i \in A_i} U_{\alpha_i} \tag{2.25}$$

Let  $x_0 \in U$ . For each  $i \in \{1, ..., n\}$ , there exists  $\alpha_i \in A_i$  such that

$$x_0 \in U_{\alpha_i} = B_d(x_i; \epsilon_i) \tag{2.26}$$

For each  $i \in \{1, ..., n\}$ , define  $\delta_i = d(x_0, x_i)$ . Then  $0 < \delta_i < \epsilon_i$ . Then, for each  $i \in \{1, ..., n\}$ ,

$$B_d(x_0; \epsilon_i - \delta_i) \subset U_{\alpha_i} \subset \bigcup_{\alpha_i \in A_i} U_{\alpha_i}$$
 (2.27)

Define

$$\delta_{x_0} = \min_{i=1}^n \left( \epsilon_i - \delta_i \right) \tag{2.28}$$

Then  $x_0 \in B(x_0; \delta_{x_0}) \subset U$ . If  $U = \{x_\alpha | \alpha \in A\}$ , then the arbitrary nature of  $x_0$  above means we can repeat this construction, writing

$$U \subset \bigcup_{\alpha \in A} B(x_{\alpha}; \delta_{x_{\alpha}}) \subset \bigcup_{\alpha \in A} U = U$$
 (2.29)

Hence,  $U \in B$  and the proof is complete.

Proof of 2. Let  $x_0 \in X$ . I claim that

$$\mathcal{B}_{x_0} := \left\{ B_d \left( x_0; \frac{1}{n} \right) | n \in \mathbb{N} \right\} \tag{2.30}$$

is a neighborhood basis for  $(X, \mathcal{T}_d)$  at  $x_0$ . Let  $U \in \mathcal{U}_{\mathcal{T}_d}(x)$ . Since  $\mathcal{B}$  is a basis for  $\mathcal{T}_d$ , for some  $y_0 \in X$  and  $\epsilon > 0$ ,  $x_0 \in B_d(y_0; \epsilon) \subset U$ . Let  $\delta = d(x_0, y_0)$ . Then  $\epsilon - \delta > 0$ . Define

$$n = \left\lceil \frac{1}{\epsilon - \delta} \right\rceil \tag{2.31}$$

Then we have

$$B_d\left(x_0; \frac{1}{n}\right) \subset B_d(x_0 : \epsilon - \delta) \subset B(y_0; \epsilon) \subset U$$
 (2.32)

**Definition 2.1.49** (Relation Of Zero Distance). Let (X, d) be a **Pseudometric Space**. Define the relation  $\cong_d$  on  $X \times X$  by setting, for  $x, y \in X$ ,

$$x \cong_d y \iff d(x,y) = 0 \tag{2.33}$$

We call  $\cong_d$  the **Relation Of Zero Distance** on (X, d).

**Proposition 2.1.50** (Relation Of Zero Distance is the Relation Of Equal Neighborhood Filters). Let (X, d) be a **Pseudometric Space**. Let  $\cong_{\mathcal{T}_d}$  be the **Relation Of Equal Neighborhood Filters** on  $(X, \mathcal{T}_d)$ . Let  $\cong_d$  be the **Relation Of Zero Distance** on (X, d). Then  $\cong_{\mathcal{T}_d} = \cong_d$ .

Proof. Let  $x, y \in X$  and suppose  $x_0 \cong_d y_0$ . Let  $U \in \mathcal{U}_{\mathcal{T}_d}(x_0)$ . Then for some  $\epsilon > 0$ ,  $x_0 \in B(x_0; \epsilon) \subset U$ . Since  $x_0 \cong_d y_0$ ,  $d(x_0, y_0) = 0$ , so  $y_0 \in B(x_0; \epsilon) \subset U$ . Hence  $U \in \mathcal{U}_{\mathcal{T}_d}(y_0)$ . The arbitrary nature of  $U \in \mathcal{U}_{\mathcal{T}_d}(x_0)$  implies

$$\mathcal{U}_{\mathcal{T}_d}(x_0) \subset \mathcal{U}_{\mathcal{T}_d}(y_0) \tag{2.34}$$

A reverse construction would just as easily show the reverse inclusion, so we conclude that  $x_0 \cong_{\mathcal{T}_d} y_0$ . Now suppose  $x_0 \cong_{\mathcal{T}_d} y$ . Then or each  $n \in \mathbb{N}$ ,

$$y_0 \in B_d\left(x_0; \frac{1}{n}\right) \tag{2.35}$$

Hence  $d(x_0, y_0) < \frac{1}{n}$  for each natural n, therefore  $d(x_0, y_0) = 0$  and  $x_0 \cong_d y_0$ .

**Definition 2.1.51** (Metric Space Induced By Pseudometric). Let (X, d) be a **Pseudometric Space**, and let  $\cong$  be the **Relation Of Zero Distance**, which by 2.1.50 is also the **Relation Of Equal Neighborhood Filters** on  $(X, \mathcal{T}_d)$ . Define  $\tilde{d}: X/\cong \to [0, \infty)$  by

$$\tilde{d}([x],[y]) = d(x,y)$$
 (2.36)

By 2.1.52, d is well defined and is in fact a metric on  $X/\cong$ , so we call d the **Metric Induced** By The Pseudometric d on X, or we call it the Pseudometric Induced Metric of (X, d).

**Proposition 2.1.52** (Metric Space Induced By Pseudometric Space). Let (X, d) be a **Pseudometric Space**,  $\cong$  the **Relation Of Zero Distance** on (X, d) and  $\tilde{d}$  be defined as in 2.1.51. Let  $(X/\cong, \mathcal{T}_{X/\cong})$  be the **Quotient Topological Space** with **Quotient Map** T, and let  $(X/\cong, \mathcal{T}_{\tilde{d}})$  be the topological space induced by the metric space  $(X/\cong, \tilde{d})$ . The following are true.

- 1.  $\tilde{d}$  is in fact well defined, and is a metric on  $X/\cong$ , justifying calling it the **Metric Induced By The Pseudometric** d.
- 2.  $\mathcal{T}_{X/\cong} = \mathcal{T}_{\tilde{d}}$
- 3. T is an isometric surjection (X, d) to  $(X/\cong, \tilde{d})$
- 4.  $(X/\cong,\tilde{d})$  is complete if and only if (X,d) is **Pseudometric-Complete**.

Proof of 01. First we show that  $\tilde{d}$  is well defined as a mapping, that is, that if  $x_0, y_0 \in X$  and  $x_1 \cong x_0$  and  $y_1 \cong y_0$ , then we should have

$$\tilde{d}([x_0], [y_0]) = \tilde{d}([x_1], [y_1])$$
 (2.37)

This is easy, as

$$d(x_0, y_0) \le d(x_0, x_1) + d(x_1, y_1) + d(y_1, y_0)$$

$$= d(x_1, y_1)$$

$$\le d(x_1, x_0) + d(x_0, y_0) + d(y_0, y_1)$$

$$= d(x_0, y_0)$$

Nonnegativity falls directly from the nonnegativity of d. Proving that  $\tilde{d}$  is a **Symmetric** Map is equally trivial

$$\tilde{d}([x],[y]) = d(x,y) = d(y,x) = \tilde{d}([y],[x])$$

Proving that  $\tilde{d}$  satisfies the **Triangle Inequality** is similarly simple, letting  $x_0, y_0, z_0 \in X$ , we have

$$\tilde{d}([x_0], [z_0]) = d(x_0, z_0)$$
  
 $\leq d(x_0, y_0) + d(y_0, z_0)$   
 $= \tilde{d}([x_0], [y_0]) + \tilde{d}([y_0], [z_0])$ 

All that remains is to show positivity on nonequal arguments. Let  $x_0, y_0 \in X$  such that  $[x_0] \neq [y_0]$ . Then  $x_0 \not\cong y_0$ . Hence

$$\tilde{d}([x_0], [y_0]) = d(x_0, y_0) \neq 0$$

Proof of 02. By 2.1.33, part 9,  $\mathcal{B}_{\cong} := \{T(B_d(x;\epsilon))|x \in X, \epsilon > 0\}$  is a basis for  $\mathcal{T}_{X/\cong}$ . By definition,  $\mathcal{B}_{\tilde{d}} := \{B_{\tilde{d}}([x];\epsilon)|x \in X, \epsilon > 0\}$  is a basis for  $\mathcal{T}_{\tilde{x}}$ .

I claim that for each  $x \in X$  and  $\epsilon > 0$ ,

$$T(B_d(x;\epsilon)) = B_{\tilde{d}}([x];\epsilon) \tag{2.38}$$

To see this, suppose  $\tilde{y} \in T(B_d(x;\epsilon))$ . Then  $\tilde{y} = T(y)$  for some  $y \in B_d(x;\epsilon)$ . Hence

$$\begin{split} \tilde{d}(\tilde{y},[x]) &= \tilde{d}(T(y),[x]) \\ &= \tilde{d}([y]\,,[x]) \\ &= d(y,x) \\ &< \epsilon \end{split}$$

Hence  $\tilde{y} \in B_d([x]; \epsilon)$ , and so

$$T(B_d(x;\epsilon)) \subset B_{\tilde{d}}([x];\epsilon)$$
 (2.39)

Suppose  $[y] \in B_{\tilde{d}}([x]; \epsilon)$ . Then  $d(x, y) = \tilde{d}()[x], [y]) < \epsilon$ , so  $y \in B_d(x; \epsilon)$ . Hence  $[y] = T(y) \in T(B_d(x; \epsilon))$ , so the reverse inclusion also holds, and so the above claim holds. This, paired with the fact that

$$\{[x]|x \in X\} = X/\cong \tag{2.40}$$

finishes the result.

*Proof of 03.* Falls directly from the definition T(x) = [x], hence

$$d(x,y) = \tilde{d}([x],[y]) = \tilde{d}(T(x),T(y))$$
(2.41)

T is surjective by 2.1.4.

Proof of 04. Let (X,d) be Pseudometric-Complete . Let  $\{[x_i]\}_{i\in\mathbb{N}}\subset (X/\cong,\tilde{d})$  be a Pseudometric Cauchy Sequence . Let  $\epsilon>0$ . Then there exists  $N\in\mathbb{N}$  such that for m,n>N, we have

$$d(x_m, x_n) = \tilde{d}(Tx_m, Ty_m) = \tilde{d}([x_m], [x_n]) < \epsilon$$
(2.42)

So the sequence  $\{x_i\}_{i\in\mathbb{N}}\subset (X,d)$  is **Pseudometric Cauchy Sequence**. Since (X,d) is **Pseudometric-Complete**, this sequence has a limit, say  $x_i\to x\in (X,d)$ . But, we have  $[x_i]=Tx_i\to Tx=[x]$ , so  $\{[x_i]\}$  is convergent, and since that sequence was arbitrary,  $(X/\cong,\tilde{d})$  is **Pseudometric-Complete**.

Let  $(X/\cong,d)$  be **Pseudometric-Complete**. Let  $\{x_i\}\subset X$  be a **Pseudometric Cauchy Sequence**. Let  $\epsilon>0$ . Then there exist  $N\in\mathbb{N}$  such that for m,n>N, we have

$$\tilde{d}\left([x_m], [x_n]\right) = \tilde{d}(Tx_m, Tx_n) = d(x_m, x_n) < \epsilon \tag{2.43}$$

so that  $\{[x_i]\}_{i\in\mathbb{N}}$  is also a **Pseudometric Cauchy Sequence**. Since  $(X/\cong,\tilde{d})$  is **Pseudometric-Complete**, this sequence has a limit, say  $[x_i] \to y \in X/\cong$ . Since T is surjective, for some  $x \in X$ ,  $Tx \in y$ , and so

$$d(x, x_i) = \tilde{d}(Tx, Tx_i) = \tilde{d}(y, [x_i]) \to 0$$
 (2.44)

meaning  $x_i \to x$  and we are done.

Remark 2.1.53 (Mettic Space Correspondence). Note that in the case of a metric space, the condition of being Pseudometric-Complete is equivalent to the condition being Complete, and A sequence is a Pseudometric Cauchy Sequence if and only if it is a cauchy sequence.

**Definition 2.1.54** ((Pseudo)Metrizable). Let  $(X, \mathcal{T})$  be a topological space.

- 1. We say that  $(X, \mathcal{T})$  (Or  $\mathcal{T}$  or X which it wouldn't cause confusion) is **Pseudometrizable** if there exists a pseudometric d on X such that  $\mathcal{T}$  is the **Pseudometric Topology** on (X, d).
- 2. We say that  $(X, \mathcal{T})$  (Or  $\mathcal{T}$  or X when it wouldn't cause confusion) is **Metrizable** if there exists a metric d on X such that  $\mathcal{T}$  is the metric topology on (X, d).

Proposition 2.1.55 (Pseudometrizable Prequotient). Let  $(X, \mathcal{T}_X)$  be a topological space with Relation Of Equal Neighborhood Filters on  $\cong$ , and with Quotient Topological Space  $(X/\cong, \mathcal{T}_{X/\cong})$  and Quotient Map T. Let  $(X/\cong, \mathcal{T}_{X/\cong})$  be Pseudometrizable with Pseudometric  $\tilde{d}$ .

The following hold.

1.  $(X, \mathcal{T}_X)$  is **Pseudometrizable** with a pseudometric  $d: X \times X \to [0, \infty)$  defined by

$$d(x,y) = \tilde{d}([x],[y]).$$

- 2.  $\tilde{d}$  is a Metric  $(X/\cong, \mathcal{T}_{X/\cong})$ , and so this space is **Metrizable**.
- 3. If T is injective, then d as defined above is a metric on X, so that  $(X, \mathcal{T}_X)$  is **Metrizable**

*Proof Of One.* First, observe that

$$d(x,y) = \tilde{d}([x],[y]) \in [0,\infty)$$

so that d is well defined.

Also,

$$d(x, y) = \tilde{d}([x], [y]) = \tilde{d}([y], [x]) = d(y, x)$$

, so d is a **Symmetric Map** .

Also,

$$d(x, z) = \tilde{d}([x], [z])$$

$$\leq \tilde{d}([x], [y]) + \tilde{d}([y], [z])$$

$$= d(x, y) + d(y, z)$$

so d satisfies the **Triangle Inequality**. Also,

$$d(x,x) = \tilde{d}([x],[x]) = 0 (2.45)$$

and so d is a **Pseudometric** on X.

Let  $\mathcal{T}_d$  denote the **Pseudometric Topology** on (X, d). What remains to show is that  $\mathcal{T}_X = \mathcal{T}_d$ .

Since d(x,y) = d([x],[y]) = d(Tx,Ty), T is an isometry.

Let  $x \in U \in \mathcal{T}_X$ . Then  $[x] \in T(U) \in \mathcal{T}_{X/\cong}$ . Hence, there is an  $\epsilon > 0$  such that  $B_{\tilde{d}}([x], \epsilon) \subset T(U)$ . By 2.1.33, part 4,  $T^{-1}(B_{\tilde{d}}([x], \epsilon) \subset T^{-1}(T(U)) = U$ , where by part 6 of that same result,  $T^{-1}(B_{\tilde{d}}([x], \epsilon) \in \mathcal{T}_X$ . Since T is an isometry  $B_d(x, \epsilon) = T^{-1}(B_{\tilde{d}}([x], \epsilon) \subset U$ . Thus we have found an open ball contained in U containing an arbitrary point of U. Hence,  $\mathcal{T}_X \subset \mathcal{T}_d$ . As part of the preceding argument we also showed that an arbitrary d-**Open Ball** was in  $\mathcal{T}_X$ , so  $\mathcal{T}_d \subset \mathcal{T}_X$ , and so equality holds and we're done.

Proof of Two. Let  $x, y \in X$  wtih  $[x] \neq [y]$ . Then  $x \not\cong y$ . By 2.1.50,  $x \not\cong_d y$ . Hence  $\tilde{d}([x], [y]) = d(x, y) > 0$ .

Proof of Three. Let T be injective, and suppose  $x, y \in X$  with  $x \neq y$ . Then  $[x] = Tx \neq Ty = [y]$ , so by part 2 of this result,  $d(x, y) = \tilde{d}([x], [y]) > 0$ .

#### 2.1.8 Algebraic Structures

**Definition 2.1.56** (Algebraic Declarations Placeholder).

**Definition 2.1.57** (Operation, Unary Operation, Binary Operation). Let  $X \neq \emptyset$  be a set with cardinality  $(A) = n \in \mathbb{N}$ . We call a mapping

$$T: \prod_{\alpha \in A} X \to X$$

an n-ary Operation on X. If n = 1 then we call T a Unary Operation on X. If n = 2, then we call T a Binary Operation on X. If T is a Binary Operation on X, we sometimes use the notation

$$xTy = T(x, y)$$

**Definition 2.1.58** (Magma). Let X be a set and  $T: X \times X \to X$  be a Binary Operation on X. We call the pair (X,T) a Magma. When it is clear what operation is being referred to, we may simply refer to X as the Magma.

**Definition 2.1.59** (Magma Homomorphism). Let  $(X, \oplus_X)$  and  $(Y, \oplus_Y)$  be Magmas. Let  $T: X \to Y$  satisfy, for each  $x_1, x_2 \in X$ .

$$T(x_1 \oplus_X x_2) = T(x_1) \oplus_Y T(x_2)$$

Then we call T a Magma Homomorphism . We represent the collection of Magma Homomorphisms from  $(X, \oplus_X)$  to  $(Y, \oplus_Y)$  with  $H_{\text{Magma}}$   $((X, \oplus_X), (Y, \oplus_Y))$ , or, when  $\oplus_X$  and  $\oplus_Y$  are clear,  $H_{\text{Magma}}$  (X, Y). A Magma Homomorphism is called Additive and possesses the property Additivity .

**Definition 2.1.60** ( Left Identity Element , Right Identity Element ). Let (X, L) and (X, R) be Magmas . Let  $l, r \in X$  such that for every  $x \in X$  we have

$$lLx = x$$
$$xRr = x$$

In such a scenario, we say that l is a **Left Identity Element** of (X, L), and we say that r is a **Right Identity Element** of (X, R).

**Definition 2.1.61** ( **Identity Element** ). Let  $(X, \oplus)$  be a **Magma** . Let  $e \in X$  be both a **Left Identity Element** and a **Right Identity Element** of  $\oplus$ . Then, we say that e is an **Identity Element** of  $(X, \oplus)$ .

**Definition 2.1.62** (Unital Magma). Let  $(X, \oplus)$  be a Magma. Let e be an Identity Element of  $(X, \oplus)$ . Then we call  $(X, \oplus, e)$  a Unital Magma. If it is unambiguous what operation is being referred to, as in the case of Magmas, we may simply say let X be a Unital Magma, or potentially Let (X, e) be a Unital Magma.

**Definition 2.1.63** (Unital Magma Homomorphism). Let  $(X, \oplus_X, e_X)$  and  $(Y, \oplus_Y, e_Y)$  be Unital Magmas and  $T: X \to Y$  be a Magma Homomorphism such that  $T(e_X) = e_Y$ . Then we call T a Unital Magma Homomorphism. We represent the set of Unital Magma Homomorphisms between X and Y with  $H_{\text{UMagma}}(X,Y)$ . Obviously,  $H_{\text{UMagma}}(X,Y) \subset H_{\text{Magma}}(X,Y)$ .

**Definition 2.1.64** ( Left Inverse , Right Inverse ). Let  $(X, \oplus, e)$  be a Unital Magma . Let  $l, r \in X$  such that

$$l \oplus r = e \tag{2.46}$$

In this scenario, we say that l is a **Left Inverse** of r in  $(X, \oplus, e)$  and we say that r is a **Right Inverse** of l in  $(x, \oplus, e)$ . Furthermore, we say that r is **Left Invertible** in  $(X, \oplus, e)$  and that l is **Right Invertible** in  $(X, \oplus, e)$ 

**Definition 2.1.65** (Inverse). Let  $(X, \oplus, e)$  be a Unital Magma. Let  $x, y \in X$  such that x is a Left Inverse of y and x is a Right Inverse of y. Then, we say that x is an Inverse of y in  $(X, \oplus, e)$  and we say y an Invertible element of  $(X, \oplus, e)$ .

**Definition 2.1.66** ( **Associative** ). Let T be a **Binary Operation** on a set X. We say that T is **Associative** and we say that T posses **Associativity** if for each  $x, y, z \in X$ , we have

$$T\left(x,T\left(y,z\right)\right)=T\left(T\left(x,y\right),z\right)$$

**Definition 2.1.67** (Semigroup). Let  $(X, \oplus)$  be a Magma . Let  $\oplus$  be Associative . Then we say that  $(X, \oplus)$  is a Semigroup.

**Definition 2.1.68** ( Monoid ). Let  $(X, \oplus, e)$  be a Unital Magma and let  $(X, \oplus)$  be a Semigroup . Then we call  $(X, \oplus, e)$  a Monoid .

**Definition 2.1.69** (Group). Let  $(X, \oplus, e)$  be a Monoid such that each  $x \in X$  is an Invertible. Then we call  $(X, \oplus, e)$  a Group.

#### 2.1.9 Vector Spaces

**Definition 2.1.70** (Scalar Homogeneous). Let V be a Vector Space over a Field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . We say that a map  $p: V \to V$  is Scalar Homogeneous, if, for each  $\alpha \in \mathbb{F}$  and each  $x \in V$ , we have

$$p(\alpha x) = \alpha p(x) \tag{2.47}$$

Under these circumstances, we may instead say that the operator p possesses **Scalar Homogeneity** .

**Definition 2.1.71** (Scalar Homogeneous). Let V be a Vector Space over a Field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . We say that a map  $p: V \to V$  is Absolutely Scalar Homogeneous, if, for each  $\alpha \in \mathbb{F}$  and each  $x \in V$ , we have

$$p(\alpha x) = |\alpha| \, p(x) \tag{2.48}$$

Under these circumstances, we may instead say that the operator p posesses **Absolute** Scalar Homogeneity.

Remark 2.1.72 (Scalar Homogeneous or Absolutely Scalar Homogeneous operator at 0 is 0). If V is a Vector Space over a Field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ , then for each  $x \in V$ , 0x = 0. Hence, if p is a Absolutely Scalar Homogeneous operator on v, then for any  $x \in V$ 

$$p(0) = p(0x) = |0|p(x) = 0p(x) = 0$$
(2.49)

If instead p is **Scalar Homogeneous** operator on V, then we have

$$p(0) = p(0x) = 0p(x) = 0 (2.50)$$

that is, in either case, p(0)=0.

**Definition 2.1.73** (Subadditive). Let G be a Magma and H be a Totally ordered Magma. Represent the operation of G and H both with +. We call a mapping  $p: G \to H$  Subadditive if, for every  $x, y \in G$ , we have

$$p(x+y) \le p(x) + p(y) \tag{2.51}$$

Under these circumstances, we may also say that the operator p possesses **Subadditivity**.

**Definition 2.1.74** (Linear). Let V, U be Vector Spaces over a Field  $\mathbb{F}$ . We say that  $T:V\to U$  is Linear or that T possesses Linearity if T is both Additive and Scalar Homogeneous

**Definition 2.1.75** (Space of Linear Operators). Let U, V be Vector Spaces over the same Field  $\mathbb{F}$ . We denote with L(U, V) the set of Linear operators  $T: U \to V$ . We refer to L(U, V) as the Space of Linear Operators from U to V. We endow L(U, V) with the operations of pointwise addition and pointwise scalar multiplication, which the reader can verify makes L(U, V) into a Vector Space.

**Definition 2.1.76** (Balanced). Let V be a Vector Space over a Field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . Let  $S \subset V$ . We call S a Balanced Set and we say that S is Balanced if for each  $\alpha \in \mathbb{F}$  with  $|\alpha| \leq 1$  we have  $\alpha S \subset S$ .

**Definition 2.1.77** ( **Absorbing** ). Let V be a **Vector Space** over a **Field**  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . Let  $A, B \subset V$ . We say that A **Absorbs** B if there exists a c > 0 such that for every  $d \in \mathbb{F}$  with |d| > c we have  $B \subset dA$ . In such a Scenario, A is also said to **Absorb** B, and we say that B is **Absorbed** by A. If A **Absorbs** every Singleton in V, then we call A an **Absorbing Set** or we say that A is **Absorbing**.

**Definition 2.1.78** (Translation Operator). Let V be a Vector Space over a Field  $\mathbb{F}$ . Let  $\alpha \in \mathbb{F}$ . We define  $T_{\alpha}: V \to V$  by setting, for each  $x \in V$ ,

$$T_{\alpha}(x) = \alpha + x \tag{2.52}$$

We call  $T_{\alpha}$  the **Translation Operator** 

Proposition 2.1.79 (Translation Operator ). Let V be a Vector Space over a Field  $\mathbb{F}$ . The following are true:

1. If  $\alpha, \beta \in \mathbb{F}$ , then  $T_{\alpha} \circ T_{\beta} = T_{\alpha+\beta}$ .

Proof of 01. Let  $v \in V$ . Then

$$T_{\alpha} \circ T_{\beta}v = T_{\alpha} (\beta + v)$$

$$= \alpha + (\beta + v)$$

$$= (\alpha + \beta) + v$$

$$= T_{\alpha+\beta} + v$$

**Definition 2.1.80** (Scaling Operator). Let V be a Vector Space over a Field  $\mathbb{F}$ . Let  $\alpha \in \mathbb{F}$ . We define  $M_{\alpha}: V \to V$  by setting, for each  $x \in V$ ,

$$M_{\alpha}(x) = \alpha x \tag{2.53}$$

We call  $M_{\alpha}$  the Scaling Operator

**Proposition 2.1.81** (Scaling Operator). Let V be a Vector Space over a Field  $\mathbb{F}$ . The following are true:

1. If  $\alpha, \beta \in \mathbb{F}$ , then  $M_{\alpha} \circ M_{\beta} = M_{\alpha * \beta}$ .

Proof of 01. Let  $v \in V$ . Then

$$M_{\alpha} \circ M_{\beta}v = M_{\alpha} (\beta * v)$$

$$= \alpha * (\beta * v)$$

$$= (\alpha * \beta) * v$$

$$= M_{\alpha * \beta}v$$

**Definition 2.1.82** (Interval). Let V be a Vector Space over a Field  $\mathbb{F}$ . Let  $x, y \in V$ . We define the following sets:

$$[x,y] = \{tx + (1-t)y | t \in [0,1]\}$$
$$[x,y) = \{tx + (1-t)y | t \in [0,1)\}$$
$$(x,y] = \{tx + (1-t)y | t \in (0,1]\}$$
$$(x,y) = \{tx + (1-t)y | t \in (0,1)\}$$

We refer to any of these sets as Intervals in V. Even in the absence of a topological structure, we use the following language:

- 1. [x, y] is called a **Closed Interval**.
- 2. (x,y) is called an **Open Interval** .
- 3. (x,y] and [x,y] are called **Half-Open Intervals** or **Half-Closed Intervals**.

**Definition 2.1.83** ( Convex ). Let V be a Vector Space over a Field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . Let  $K \subset \mathbb{F}$ . We say that K is Convex if for every pair  $x, y \in K$ , we have  $[x, y] \subset K$ .

#### 2.1.10 Topological Groups

**Definition 2.1.84** (Topological Group '). Let (G, +, e) be a Group . Let  $g_{-1}: G \to G$  be defined by g(x) = -x. Let  $\mathcal{T}$  be a Topology on G such that  $+: G \times G \to G$  is Continuous and  $g_{-1}$  is Continuous . In this scenario, we call  $(G, \mathcal{T})$  a Topological Group '.

Definition 2.1.85 (Local Basis). Let  $(G, \mathcal{T})$  be a Topological Group 'with Identity Element e. We call a Neighborhood Basis of  $\mathcal{T}$  about e a LocalBasis for  $(G, \mathcal{T})$ .

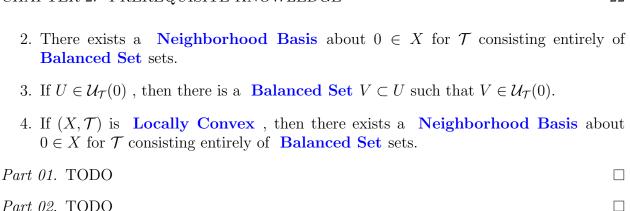
#### 2.1.11 Topological Vector Spaces

**Definition 2.1.86** (Topological Vector Space ). Let  $(V, +, \cdot, 0)$  be a Vector Space over a Field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . Let  $\mathcal{T}$  be a Topology on V such that (V, +, 0) is a Topological Group 'and  $\cdot : \mathbb{F} \times V \to V$  is Continuous . Then we call  $(V, \mathcal{T})$  a Topological Vector Space .

Definition 2.1.87 (Locally Convex). We say that a Topological Vector Space  $(X, \mathcal{T})$  is Locally Convex if  $(X, \mathcal{T})$  has a Local Basis consisting only of Convex sets. A Locally Convex space is said to posess Local Convexity.

Proposition 2.1.88 (Existence of Balanced Neighborhood Basis of 0 in a Topological Vector Space ). Let  $(X, \mathcal{T})$  be a Topological Vector Space over a Field  $\mathbb{F}$ . The following are True.

1. If  $U \in \mathcal{U}_{\mathcal{T}}(0)$ , then there is a **Balanced Set**  $V \subset U$  such that  $V \in \mathcal{U}_{\mathcal{T}}(0)$ .



Part 02. TODO

Part 03. TODO

Part 04. TODO

Proposition 2.1.89 (Existence of Balanced Neighborhood Basis of 0 in a Topological Vector Space ). Let  $(X, \mathcal{T})$  be a Topological Vector Space over a Field  $\mathbb{F}$ . The following are True.

- 1. If  $U \in \mathcal{U}_{\mathcal{T}}(0)$ , then there is a **Balanced Set**  $V \subset U$  such that  $V \in \mathcal{U}_{\mathcal{T}}(0)$ .
- 2. There exists a Neighborhood Basis about  $0 \in X$  for  $\mathcal{T}$  consisting entirely of Balanced Set sets.
- 3. If  $U \in \mathcal{U}_{\mathcal{T}}(0)$ , then there is a **Balanced Set**  $V \subset U$  such that  $V \in \mathcal{U}_{\mathcal{T}}(0)$ .
- 4. If  $(X, \mathcal{T})$  is **Locally Convex**, then there exists a **Neighborhood Basis** about  $0 \in X$  for  $\mathcal{T}$  consisting entirely of **Balanced Set** sets.

Part 01. TODO	
Part 02. TODO	
Part 03. TODO	
Part 04. TODO	

**Definition 2.1.90** (TVS Bounded Set). Let  $(V, \mathcal{T})$  be a Topological Vector Space. Let  $A \subset V$ . We say that A is TVS-Bounded with respect to  $\mathcal{T}$ , or when confusion is unlikely we simply say that A is TVS-Bounded if for every  $U \in \mathcal{U}_{\mathcal{T}}(0)$ , there exists an  $\alpha \in \mathbb{F}$ ,  $\alpha > 0$ , such that  $A \subset \alpha U$ .

**Definition 2.1.91** (Bounded Linear Operator ). Let  $(V_i, \mathcal{T}_i)$  be a Topological Vector Space over  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$  for  $i \in \{0, 1\}$ . We say that a Linear operator  $T : (V_1, \mathcal{T}_1) \to (V_2, \mathcal{T}_2)$  is a Bounded Linear Operator if for each  $U \in V_1$  with U TVS-Bounded with respect to  $\mathcal{T}_0$ , TU is TVS-Bounded with respect to  $\mathcal{T}_1$ .

**Definition 2.1.92** (Space Of Continuous Linear Operators). Let  $(U, \mathcal{T}_U)$  and  $(V, \mathcal{T}_V)$  each be a Topological Vector Space over the same Field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . Let L(U, V) denote the Space of Linear Operators from U to V. We denote with  $CL((U, \mathcal{T}_U), (V, \mathcal{T}_V))$  the subset of L(U, V) consisting only of the Continuous operators. When  $\mathcal{T}_U$  and  $\mathcal{T}_V$  are understood, we may denote  $CL((U, \mathcal{T}_U), (V, \mathcal{T}_V)) = CL(U, V)$ 

Remark 2.1.93 (Space Of Continuous Linear Operators is a Vector Subspace ). Let  $(U, \mathcal{T}_U)$  and  $(V, \mathcal{T}_V)$  each be a Topological Vector Space over the same Field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . Let L(U, V) denote the Space of Linear Operators from U to V. Let CL(U, V) denote the Space Of Continuous Linear Operators from U to V. Then CL(U, V) is a Vector Subspace of L(U, V).

**Definition 2.1.94** (Topology of Uniform Convergence). Let X be a set and  $(Y, \mathcal{T}_Y)$  be a Topological Vector Space. Let  $\mathcal{U}_{\mathcal{T}_Y}(0)$  denote the Neighborhood Filter of  $0 \in (Y, \mathcal{T}_Y)$ . Suppose  $\mathcal{F}$  is a Vector Subspace of the set of functions  $T: X \to Y$ . Suppose  $\mathcal{G} \subset 2^X$  such that  $(\mathcal{G}, \subset)$  is a Directed Set. For each  $x \subset X$  and  $y \subset Y$ , and define  $M(x,y) = \{f \in \mathcal{F} | f(x) \subset y\}$  Now we define  $\mathcal{T}(\mathcal{F}, \mathcal{T}_Y, \mathcal{G}) = \{f + M(x,y) | x \in \mathcal{G} \land y \in \mathcal{U}_{\mathcal{T}_Y}(0) \land f \in \mathcal{F}\}$ . We call  $\mathcal{T}(\mathcal{F}, \mathcal{T}_Y, \mathcal{G})$  the Topology of Uniform Convergence of  $\mathcal{F}$  on  $\mathcal{G}$  with respect to  $\mathcal{T}_Y$ . When  $\mathcal{F}$ ,  $\mathcal{T}_Y$  or  $\mathcal{G}$  are understood they may be omitted from the reference. By 2.1.95,  $\mathcal{T}$  is a Topology on  $\mathcal{F}$ .

Proposition 2.1.95 (Topology of Uniform Convergence).

#### 2.1.12 Seminormed Spaces

**Definition 2.1.96** (Seminorm). Let V be a vector space over a field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . We say that  $a \ map \ ||\cdot|| : V \to [0, \infty)$  is a **Seminorm** on V if it is both **Subadditive** and **Absolutely Scalar Homogeneous**. In this case, we refer to  $(V, ||\cdot||)$  as a **Seminormed Space**. We say that  $||\cdot||$  is **Non-Degenerate** if there is at least one  $v \in V$  with ||v|| > 0. We say that  $||\cdot||$  is **Degenerate** if it is not **Non-Degenerate**. We may also refer to the **Seminormed Space**  $(V, ||\cdot||)$  as being **Degenerate** or **Non-Degenerate**.

**Definition 2.1.97** (Norm). Let  $(V, ||\cdot||)$  be a **Seminormed Space**. If the following implication is true for  $x \in V$ , then we refer to  $||\cdot||$  as a **Norm** on V, and we call  $(V, ||\cdot||)$  a **Normed Space**.

$$x \neq 0 \implies ||x|| \neq 0 \tag{2.54}$$

**Proposition 2.1.98** (Subadditive Operator On a Group Induces a Metric). Let (G, +, e) be a group and let  $(H, +, \leq)$  be a totally ordered magma. Let  $p: G \to H$  be **Subadditive**. define  $d: G \times G \to H$  by setting, for each  $x, y \in G$ ,

$$d(x,y) = p(x + (-y))$$
(2.55)

Then d satisfies the triangle inequality.

*Proof.* let  $x, y, z \in G$ . Then

$$d(x,z) = p(x + (-z))$$

$$= p(x + e + (-z))$$

$$= p(x + (-y) + y + (-z))$$

$$\leq p(x + (-y)) + p(y + (-z))$$

$$= d(x,y) + d(y,z)$$

completing the proof.

**Definition 2.1.99** (Seminorm Topology). Let  $(X, ||\cdot||)$  be a **Seminormed Space** define  $d_{||\cdot||}: V \times V \to [0, \infty)$  by setting, for  $x, y \in X$ ,

$$d_{\|\cdot\|}(x,y) = \|x - y\| \tag{2.56}$$

Observe the following:

- 1. 2.1.9 guarantees that  $d_{||\cdot||}(x,x) = 0$  for  $x \in X$ .
- 2. 2.1.98 guarantees that d satisfies the **Triangle Inequality**.
- 3. d is a **Symmetric Map**, as we have

$$d(x,y)_{||\cdot||} = ||x-y|| = |-1| ||x-y|| = ||y-x|| = d(y,x)$$
(2.57)

Hence,  $d_{||\cdot||}$  is a **Pseudometric** on X, which we call the **Pseudometric induced by** the **Seminorm** on X. We refer to  $(X, d_{||\cdot||})$  as the **Pseudometric Space induced by** the **Seminormed Space**  $(X, ||\cdot||)$ . We refer to the **Pseudometric Topology** induced by  $d_{||\cdot||}$  as the **Seminorm Topology** induced by  $||\cdot||$ , and unless otherwise specified, when we reference  $(X, ||\cdot||)$ , we consider it to be endowed with this topology.

**Definition 2.1.100** (Seminorm Kernel). Let  $(V, ||\cdot||)$  be a **Seminormed Space**. Define the set  $\mathcal{K}^{\text{ernel}}_{(V,||\cdot||)}$  by

$$\mathcal{K}_{(B,||\cdot||)}^{ernel} = \{ x \in V | ||x|| = 0 \}$$
 (2.58)

We call this set the **Seminorm Kernel** of the space  $\mathcal{K}^{\text{ernel}}_{(V,||\cdot||)}$ . When confusion is unlikely, we may denote this set with  $\mathcal{K}^{\text{ernel}}$ ,  $\mathcal{K}^{\text{ernel}}_{V}$ , or even  $\mathcal{K}^{\text{ernel}}_{||\cdot||}$ , or we may just refer to it as the **Seminorm Kernel**, the **Seminorm Kernel** of V, or the **Seminorm Kernel** of  $||\cdot||$ .

**Proposition 2.1.101** (Seminorm Kernel is a vector Subspace). Let  $(X, ||\cdot||)$  be a **Seminormed Space** over a field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$  with corresponding **Seminorm Kernel**  $\mathcal{K}^{\text{ernel}}$ . Then the following are true.

- 1.  $\mathcal{K}^{\text{ernel}}$  is a vector subspace of X.
- 2.  $\mathcal{K}^{\text{ernel}}$  is closed in the **Seminorm Topology** on X.

3.  $\mathcal{K}^{\text{ernel}} = X$  if and only if X is **Degenerate**.

Proof of One. Subadditivity implies that, if  $x, y \in \mathcal{K}^{ernel}$ , then  $||x + y|| \le ||x|| + ||y|| = 0$ . By Scalar Homogeneity, if  $x \in \mathcal{K}^{ernel}$  and  $\alpha \in \mathbb{F}$ ,  $||\alpha x|| = |\alpha| \, ||x|| = 0$  so  $\mathcal{K}^{ernel}$  is in fact a vector subspace of X.

Proof of Two. If  $x \in X \setminus \mathcal{K}^{\text{ernel}}$  then  $||x|| = \alpha > 0$  for some positive  $\alpha$ . Hence  $B(x; \alpha/2)$  is an open set containing x disjoint from  $\mathcal{K}^{\text{ernel}}$ . We can then write  $X \setminus \mathcal{K}^{\text{ernel}}$  as the union of all such open sets to see that  $\mathcal{K}^{\text{ernel}}$  is closed.

*Proof of Three.* Direct application of the definitions of the **Seminorm Kernel** and **Degenerate Seminorm**.  $\Box$ 

**Definition 2.1.102** (Quotient Space Mod Kernel). Let  $(X, ||\cdot||)$  be a **Seminormed Space** over a field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . with **Seminorm Kernel**  $\mathcal{K}^{\text{ernel}}$ . By 2.1.101, part 1,  $\mathcal{K}^{\text{ernel}}$  is a vector subspace of X's algebraic structure, and so if we define  $\cong_{\mathcal{K}^{\text{ernel}}} \subset X \times X$  by setting, for  $x, y \in X$ 

$$x \cong_{\mathcal{K}^{\text{ernel}}} y \iff x - y \in \mathcal{K}^{\text{ernel}}$$
 (2.59)

Then one recognizes  $\cong_{\mathcal{K}^{\text{ernel}}}$  as **Equivalence MOD-** $\mathcal{K}^{\text{ernel}}$  as would be commonly spoken of in Module or Vector Space theory. From this, alot of nice properties fall out. We list them here, without proof just to nail down notation. For proof, see any undergraduate algebra text.

- 1. If  $x \cong_{\mathcal{K}^{\text{ernel}}} y$ , then we say that x and y are **Equivalent MOD-** $\mathcal{K}^{\text{ernel}}$ .
- 2. For  $x \in X$ , we denote the **Equivalence Class**  $[x]_{\cong_{\mathcal{K}^{\text{ernel}}}}$  with  $[x]_{\mathcal{K}^{\text{ernel}}}$  or with  $x + \mathcal{K}^{\text{ernel}}$ , or when confusion is unlikely, simply [x].
- 3. We denote  $X/\cong_{\mathcal{K}^{\text{ernel}}}$  with  $X/\mathcal{K}^{\text{ernel}}$ .
- 4. If we define  $\oplus: X/\mathcal{K}^{\text{ernel}} \times X/\mathcal{K}^{\text{ernel}} \to X/\mathcal{K}^{\text{ernel}}$  by setting, for  $x, y \in X$ ,  $[x]_{\mathcal{K}^{\text{ernel}}} \oplus [y]_{\mathcal{K}^{\text{ernel}}} = [x+y]_{\mathcal{K}^{\text{ernel}}}$ , then  $\oplus$  is well defined and endows  $X/\mathcal{K}^{\text{ernel}}$  with a group structure.
- 5. If we further define  $\odot: \mathbb{F} \times X/\mathcal{K}^{\text{ernel}} \to X/\mathcal{K}^{\text{ernel}}$  by  $\alpha[x]_{\mathcal{K}^{\text{ernel}}} = [\alpha x]_{\mathcal{K}^{\text{ernel}}}$ , then  $(X/\mathcal{K}^{\text{ernel}}, \oplus, \odot, [0]_{\mathcal{K}^{\text{ernel}}})$  is a Vector space over  $\mathbb{F}$ .
- 6. Unless otherwise specified, when referring to the set  $X/\mathcal{K}^{\text{ernel}}$ , we endow it with the above vector space structure, and we call this space the **Seminorm Kernel** Quotient Vector Space of the seminormed space  $(X, ||\cdot||)$ .

**Proposition 2.1.103** (Equivalence Mod Kernel is Pseudometric Equivalence). Let  $(X, ||\cdot||)$  be a seminromed space. with **Seminorm Kernel**  $\mathcal{K}^{\text{ernel}}$ . Let d denote the **Pseudometric** induced by the **Seminorm**. Let  $\cong_d$  denote the **Relation Of Zero Distance** with respect to d.

Then  $\cong_{\mathcal{K}^{\text{ernel}}} = \cong_d$ .

*Proof.* Let  $x, y \in X$  and let  $x \cong_{\mathcal{K}^{\text{ernel}}} y$ . Then, since  $x - y \in \mathcal{K}^{\text{ernel}}$ , Then d(x, y) := ||x - y|| = 0, so  $x \cong_d y$ . Hence  $\cong_{\mathcal{K}^{\text{ernel}}} \subset \cong_d$ 

Now let  $x, y \in X$  with  $x \cong_d y$ . Then ||x - y|| = d(x, y) = 0, so  $x - y \in \mathcal{K}^{\text{ernel}}$ , and therefore  $x \cong_{\mathcal{K}^{\text{ernel}}} y$ . Hence,  $\cong_d \subset \cong_{\mathcal{K}^{\text{ernel}}}$ .

Since inclusion goes both directions,  $\cong_{\mathcal{K}^{\text{ernel}}} = \cong_d$ .

Definition 2.1.104 (Quotient Norm Space). Let  $(X, ||\cdot||)$  be a Seminormed Space with Pseudometric induced by the Seminorm d, Seminorm Kernel  $\mathcal{K}^{\text{ernel}}$ , and Seminorm Kernel Quotient Vector Space  $X/\mathcal{K}^{\text{ernel}}$ . Let  $\tilde{d}: X/\mathcal{K}^{\text{ernel}} \times X/\mathcal{K}^{\text{ernel}} \to [0, \infty)$  be the Metric Induced By The Pseudometric .

Define  $||\cdot||_{\mathcal{K}^{\mathrm{ernel}}}: X/\mathcal{K}^{\mathrm{ernel}} \to [0, \infty)$  by setting, for  $x \in X$ ,

$$||[x]||_{\mathcal{K}^{\text{ernel}}} = \tilde{d}([x], [0])$$
 (2.60)

By 2.1.105,  $(X/\mathcal{K}^{\text{ernel}}, ||\cdot||_{\mathcal{K}^{\text{ernel}}})$  is a normed space which we call the **Quotient Normed Space** of  $(X, ||\cdot||)$ , and we call  $||\cdot||_{\mathcal{K}^{\text{ernel}}}$  the **Quotient Norm**. Whenever we refer to  $X/\mathcal{K}^{\text{ernel}}$ , unless otherwise specified, we endow it with this norm and the topology generated by this norm. Furthermore, whenever we consider  $X/\mathcal{K}^{\text{ernel}}$ , unless otherwise specified, we consider it as possesing the topology generated by the norm  $||\cdot||_{\mathcal{K}^{\text{ernel}}}$ .

Proposition 2.1.105 (Quotient Normed Space). Let  $(X, ||\cdot||)$  be a Seminormed Space with Pseudometric induced by the Seminorm d, Seminorm Kernel  $\mathcal{K}^{\text{ernel}}$ , and Seminorm Kernel Quotient Vector Space  $X/\mathcal{K}^{\text{ernel}}$ . Let  $\tilde{d}: X/\mathcal{K}^{\text{ernel}} \times X/\mathcal{K}^{\text{ernel}} \to [0, \infty)$  be the Metric Induced By The Pseudometric . Let  $T: X \to X/\mathcal{K}^{\text{ernel}}$  denote the Quotient Map of X into  $X/\mathcal{K}^{\text{ernel}}$  (Recalling that the Relation Of Equal Neighborhood Filters on e quals the Relation Of Zero Distance equals the relation of Equivalence MOD- $\mathcal{K}^{\text{ernel}}$ ), so they would all produce the same quotient map) Let  $||\cdot||_{\mathcal{K}^{\text{ernel}}}$  denote the Quotient Norm .

The following are true.

- 1.  $||\cdot||_{\mathcal{K}^{\text{ernel}}}$  is a norm on  $X/\mathcal{K}^{\text{ernel}}$ .
- 2.  $\tilde{d}$  is the **Pseudometric induced by the Seminorm**  $||\cdot||_{\mathcal{K}^{\text{ernel}}}$ , and thus they produce the same topology.
- 3. T has all of the properties described in 2.1.33.
- 4. T is Linear.
- 5. T is Surjective.
- 6. T is an isometry.
- 7. T is injective if and only if  $||\cdot||$  is a norm.

Proof of 1. First, note that  $Range(||\cdot||_{\mathcal{K}^{ernel}}) \subset Range(\tilde{d}) \subset [0,\infty)$ , so that  $||\cdot||_{\mathcal{K}^{ernel}}$  has the correct domain and codomain. For **Subadditivity**, let  $[x], [y] \in X/\mathcal{K}^{ernel}$ . Then

$$\begin{split} ||[x] + [y]||_{\mathcal{K}^{\text{ernel}}} &= ||[x + y]||_{\mathcal{K}^{\text{ernel}}} \\ &= \tilde{d} \left( [x + y], [0] \right) \\ &= d(x + y, 0) \\ &= ||x + y|| \\ &\leq ||x|| + ||y|| \\ &= d(x, 0) + d(y, 0) \\ &= \tilde{d} \left( [x], [0] \right) + \tilde{d} \left( [y], [0] \right) \\ &= ||[x]||_{\mathcal{K}^{\text{ernel}}} + ||[y]||_{\mathcal{K}^{\text{ernel}}} \end{split}$$

For Absolute Scalar Homogeneity, let  $\alpha \in \mathbb{F}$  and  $[x] \in X/\mathcal{K}^{\text{ernel}}$ . Then,

$$\begin{aligned} ||[\alpha x]||_{\mathcal{K}^{\text{ernel}}} &= \tilde{d}\left([\alpha x], [0]\right) \\ &= d(\alpha x, 0) \\ &= ||\alpha x|| \\ &= |\alpha| \, ||x|| \\ &= |\alpha| \, ||[x]||_{\mathcal{K}^{\text{ernel}}} \end{aligned}$$

Finally, suppose  $[x] \neq 0$ . Then, since the additive identity of  $X/\mathcal{K}^{\text{ernel}}$  is  $\mathcal{K}^{\text{ernel}}$ ,  $x \notin \mathcal{K}^{\text{ernel}}$ . Hence  $||[x]||_{\mathcal{K}^{\text{ernel}}} = \tilde{d}([x], 0) = d(x, 0) = ||x|| > 0$ .

Proof of 2. Let D denote the **Pseudometric induced by the Seminorm**  $||\cdot||_{\mathcal{K}^{\text{ernel}}}$ . Then, for  $[x], [y] \in X/\mathcal{K}^{\text{ernel}}$ ,

$$\begin{split} \tilde{d}([x],[y]) &= d(x,y) \\ &= ||x-y|| \\ &= ||x-y-0|| \\ &= d(x-y,0) \\ &= \tilde{d}([x-y],0) \\ &= ||[x-y]||_{\mathcal{K}^{\text{ernel}}} \\ &= ||[x] - [y]||_{\mathcal{K}^{\text{ernel}}} \end{split}$$

Since these two **Pseudometric** 's are equal, they produce the same topology. Furthermore, by applying 2.1.52, we see that the topology generated by  $||\cdot||_{\mathcal{K}^{\text{ernel}}}$  is also the **Quotient Topology** on  $X/\mathcal{K}^{\text{ernel}}$ .

*Proof of 3.* T is the topological **Quotient Map** and the norm topology is the **Quotient Topology**, so the assumptions of 2.1.33 are satisfied.

*Proof of 4.* Let  $x, y \in X$  and  $\alpha \in \mathbb{F}$ . Then

$$T(\alpha x + y) = [\alpha x + y]$$

$$= (\alpha x + y) + \mathcal{K}^{\text{ernel}}$$

$$= \alpha (x + \mathcal{K}^{\text{ernel}}) + (y + \mathcal{K}^{\text{ernel}})$$

$$= \alpha [x] + [y]$$

$$= \alpha T(x) + T(y)$$

*Proof of 5.* Direct consequence of 2.1.4

*Proof of 6.* Consequence of part 2 of this result combined with  $\Box$ 

Proof of 7. If 
$$||\cdot||$$
 is a **Norm**, then  $\mathcal{K}^{\text{ernel}} = 0$ , so  $Tx = Ty \implies T(x - y) = 0 \implies x - y \in \mathcal{K}^{\text{ernel}} \implies x - y = 0 \implies x = y$ .

**Remark 2.1.106** (Quotient Normed Space). If  $(X, ||\cdot||_X)$  is a **Normed Space** then by parts 4, 5, 6, and 7 of 2.1.105,  $T: X \to \mathcal{K}_X^{\text{ernel}}$  is an isomorphism of **Normed Spaces** whose definition is literally

$$Tx = \{x\} \tag{2.61}$$

For this reason, as an admitted abuse of notation, later in this document, I may not distinguish between the quotient  $X/\mathcal{K}_{\mathbf{X}}^{\text{ernel}}$  and the space X if X is a **Normed Space**, and similarly, I may not distinguish between  $x \in X$  and  $\{x\} \in X/\mathcal{K}_{\mathbf{X}}^{\text{ernel}}$ .

Proposition 2.1.107. Let  $(X, ||\cdot||)$  be a Seminormed Space with Quotient Normed Space  $(X/\mathcal{K}^{\text{ernel}}, ||\cdot||_{\mathcal{K}^{\text{ernel}}})$ .

Then X is **Pseudometric-Complete** if and only if  $X/\mathcal{K}^{\text{ernel}}$  is complete.

*Proof.* Let X be **Pseudometric-Complete**. Let  $\{[x_i]\}_{i\in\mathbb{N}}\subset X/\mathcal{K}^{\mathrm{ernel}}$  be a **Pseudometric Cauchy Sequence**. Let  $\epsilon>0$ . Then there is an  $N\in\mathbb{N}$  such that for m,n>N we have

$$||[x_m - x_n]||_{\mathcal{K}^{\text{ernel}}} < \epsilon \tag{2.62}$$

For this N, we have

$$||x_m - x_n|| = ||[x_m - x_n]||_{\mathcal{K}^{\text{ernel}}} < \epsilon$$

$$(2.63)$$

so that  $\{x_i\}_{i\in\mathbb{N}}$  is a **Pseudometric Cauchy Sequence**. Since X is **Pseudometric-Complete**, there is a  $x\in X$  such that  $||x_i-x||\to 0$ , but since T is an isometry,

$$||[x] - [x_i]|| = ||[x_i - x]||_{\mathcal{K}^{\text{ernel}}} \to 0$$
 (2.64)

and so  $[x_i] \to [x]$ . so that  $X/\mathcal{K}^{\text{ernel}}$  is complete.

Now suppose instead that  $X/\mathcal{K}^{\text{ernel}}$  is complete and suppose  $\{x_i\}_{i\in\mathbb{N}}$  is a **Pseudometric Cauchy Sequence** in X. Since  $||[x_i-x_j]||_{\mathcal{K}^{\text{ernel}}}=||x_i-x_j||$ ,  $\{[x_i]\}_{i\in\mathbb{N}}$  is a **Pseudometric Cauchy Sequence** in  $X/\mathcal{K}^{\text{ernel}}$ , which therefore has a limit  $y\in X/\mathcal{K}^{\text{ernel}}$ . Since T is surjective, y=[x] for some  $x\in X$ , and it is easy to see that  $x_i\to x$  so that X is **Pseudometric-Complete**.

**Definition 2.1.108** (Space of Continuous Linear Operators From a Seminormed Space into a Normed Space). Let  $(X, ||\cdot||_X)$  be a **Non-Degenerate Seminormed Space**. Let  $(Y, ||\cdot||_Y)$  be a **Seminormed Space**. We denote with  $BL((X, ||\cdot||_X), (Y, ||\cdot||_Y))$  the collection of **Continuous Linear** operators  $T: (X, ||\cdot||_X) \to (Y, ||\cdot||_Y)$ . When the topologies on X and Y are understood, we denote this set with BL(X,Y). We refer to BL(X,Y) as the **Space of Bounded Linear Operators** from  $(X, ||\cdot||_X)$  to  $(Y, ||\cdot||_Y)$ , or when  $||\cdot||_X$  and  $||\cdot||_Y$  are understood, from X to Y.

We endow BL(X,Y) with the algebraic operations of pointwise scalar multiplication and pointwise addition, making BL(X,Y) a vector space.

We define  $||\cdot||: BL(X,Y) \to [0,\infty)$  by defining, for  $T \in BL(X,Y)$ 

$$||T|| = \sup_{\|x\|_X \neq 0} \frac{||Tx||_Y}{||x||_X} \tag{2.65}$$

As will be proven in 2.1.109,  $||\cdot||$  is a **Seminorm** on BL(X,Y), which we refer to as the **Operator Seminorm** on BL(X,Y). induced by the **Seminorm**  $||\cdot||_X$  on X and the **Seminorm**  $||\cdot||_Y$  on Y.

In the case that  $||\cdot||_Y$  is a **Norm**, rather than just a **Seminorm**, by 2.1.109,  $||\cdot||$  is a **Norm** on BL(X,Y), which we instead call the **Operator Norm**.

**Proposition 2.1.109** (Space of Bounded Linear Operators On Seminormed Spaces). Let  $(X, ||\cdot||_X)$  be a **Seminormed Space**. Let  $(Y, ||\cdot||_Y)$  be a **Seminormed Space**. Let BL(X, Y) denote the **Space of Bounded Linear Operators** from X to Y. Let  $||\cdot||$  denote the **Operator Seminorm**.

The following are true.

- 1.  $||\cdot||$  is in fact a well-defined **Seminorm** on BL(X,Y).
- 2. If  $||\cdot||_{V}$  is a **Norm**, then so is  $||\cdot||$ .
- 3. If  $T \in BL(X,Y)$  and  $\alpha \in (0,\infty)$ , then  $||T|| = \sup_{||x||_X = \alpha} \frac{||Tx||_Y}{||x||_X}$ .
- $4. \text{ If } T \in BL(X,Y) \text{ and } \alpha \in (0,\infty), \text{ , then } ||T|| = \sup_{0 < ||x||_X \le \alpha} \frac{||Tx||_Y}{||x||_X} = \sup_{0 < ||x||_X < \alpha} \frac{||Tx||_Y}{||x||_X}.$
- 5. If  $T \in BL(X, Y)$  and  $x \in X$ , then  $||Tx||_Y \le ||T|| \, ||x||_X$ .
- 6.  $S: X \to Y$  is linear,  $S(\mathcal{K}_{\mathbf{X}}^{\mathrm{ernel}}) \subset \mathcal{K}_{\mathbf{Y}}^{\mathrm{ernel}}$ , and  $\sup_{||x||_X \neq 0} \frac{||Sx||_Y}{||x||_X} < \infty$ , if and only if  $S \in BL(X,Y)$ .
- 7. A sequence  $\{T_i\}_{i\in\mathbb{N}}$  is a **Pseudometric Cauchy Sequence** if and only if there exists an  $\alpha > 0$  such that the collection of sequences  $\{\{T_ix\}_{i\in\mathbb{N}}|x\in B_X(0;\alpha)\}$  is **Uniformly Cauchy** if and only if for every  $\beta > 0$ , the collection of sequences  $\{\{T_ix\}_{i\in\mathbb{N}}|x\in B_X(0;\beta)\}$  is is **Uniformly Cauchy**
- 8. If  $T_i \to T$  with respect to  $||\cdot||$ , then  $T_i x \to T x$  with respect to  $||\cdot||_Y$  for each  $x \in X$

- 9. A sequence  $\{T_i\}_{i\in\mathbb{N}}\subset BL(X,Y)$  converges with respect to  $||\cdot||$  if and only if it is a **Pseudometric Cauchy Sequence** and for each  $x_{\alpha}$  in some Hamel basis  $\{x_{\alpha}\}_{\alpha\in A}\subset X$ , the sequence  $\{T_ix_{\alpha}\}_{\alpha\in A}$  converges with respect to  $||\cdot||_Y$ .
- 10. Let X be Non-Degenerate. Then BL(X,Y) is complete if Y is complete.
- 11. If BL(X,Y) is Non-Degenerate then Y is Non-Degenerate.
- 12. If  $S: X \to Y$  is linear, then  $S \in BL(X,Y)$  if and only if there exists a constant  $c \in (0,\infty)$  such that for every  $x \in X$ .  $||Tx|| \le c \, ||x||$ .
- 13. If  $T \in BL(X,Y)$ , and  $A = \{c \in (0,\infty) | ||Tx|| \le c ||x|| (\forall x \in X)\}$ , then  $||T|| = \inf(A)$ .

Proof of 1. Since X is **Non-Degenerate**, there exists an  $x \in X$  with  $||x||_X \neq 0$ , so for each  $T \in BL(X,Y)$ , the set that the supremum is being taken over is nonempty. Also, it is clear that  $Range(||\cdot||) \subset [0,\infty)$ ,

For **Subadditivity**, let  $T_i \in BL(X,Y)$  for  $i \in \{0,1\}$ . and  $x \in X$  with ||x|| > 0. Then, since  $||\cdot||_Y$  is **Subadditive**,

$$\frac{||(T_0 + T_1)x||_Y}{||x||_Y} \le \frac{||T_0x||_Y}{||x||_Y} + \frac{||T_1x||_Y}{||x||_Y}$$

Since this is true for each x with  $||x||_X \neq 0$ , taking the supremum of each side yields

$$\sup_{\|x\|_{X} \neq 0} \left( \frac{\|(T_{0} + T_{1})x\|_{Y}}{\|x\|_{X}} \right) \leq \sup_{\|x\|_{X} \neq 0} \left( \frac{\|T_{0}x\|_{Y}}{\|x\|_{X}} + \frac{\|T_{1}x\|_{Y}}{\|x\|_{X}} \right)$$

$$\leq \sup_{\|x\|_{X} \neq 0} \left( \frac{\|T_{0}x\|_{Y}}{\|x\|_{X}} \right) + \sup_{\|x\|_{X} \neq 0} \left( \frac{\|T_{1}x\|_{Y}}{\|x\|_{X}} \right)$$

Hence,  $||T_0 + T_1|| \le ||T_0|| + ||T_1||$  so that  $||\cdot||$  is **Subadditive**. For **Absolute Scalar Homogeneity**, let  $T \in BL(X,Y)$ ,  $\alpha \in \mathbb{F}$ , and  $x \in X$  with  $||x||_X \ne 0$ . Then

$$\frac{\left|\left|(\alpha T)x\right|\right|_{Y}}{\left|\left|x\right|\right|_{X}} = \frac{\left|\left|\alpha (Tx)\right|\right|_{Y}}{\left|\left|x\right|\right|_{X}} = \left|\alpha\right| \frac{\left|\left|Tx\right|\right|_{Y}}{\left|\left|x\right|\right|_{X}}$$

Hence taking the supremum finishes the proof.

Proof of 2. Let  $T \neq 0 \in BL(X,Y)$ . Then for some  $x \in X$ ,  $Tx \neq 0$ . Then Tx has a neighborhood U disjoint from  $0_Y$ , Hence  $x \in T^{-1}(U)$  but not  $0_X \in T^{-1}(U)$ , since  $T0_X = 0_Y$ . Since U is a neighborhood of x disjoint from 0, there is an  $\epsilon > 0$  such that  $0_X \subset \mathbb{C}U \subset \overline{B_X}(x;\epsilon)$ , and therefore  $||x||_X > \epsilon$ . Since  $||x||_X > 0$ , it is ranged over in the supremum defining ||T||, and so

$$0 < \frac{||Tx||_Y}{||x||_X} \le \sup_{\|x\|_Y \ne 0} \frac{||Tx||_X}{||x||_X} = ||T|| \tag{2.66}$$

Proof of 3. Let  $\alpha \in (0, \infty)$  Let  $T \in BL(X, Y)$ . Then, there is a sequence  $\{x_i\} \subset X$  with each  $||x_i||_X \neq 0$  such that

$$\frac{||Tx_i||_Y}{||x_i||_X} \to ||T|| \tag{2.67}$$

For each  $i \in \mathbb{N}$ , define  $y_i = \alpha x_i / ||x_i||_X$ , then each  $||y_i|| = \alpha$ , and by **Absolute Scalar Homogeneity** of T, we have

$$\frac{||Ty_i||_Y}{||y_i||_X} = \frac{||Tx_i||_Y}{||x_i||_X} \to ||T|| \tag{2.68}$$

, completing the proof.

Proof of 4. If we define, for  $T \in BL(X,Y)$ ,  $f(T) = \sup_{0 < norm x_X \le \alpha} \frac{||Tx||_Y}{||x||_X}$ , then since  $||\cdot||^{-1} ((0,\alpha)) \subset ||\cdot||^{-1} ((0,\infty))$ , we have  $f(T) \le ||T||$  and since  $||\cdot||^{-1} (\{\alpha\}) \subset ||\cdot||^{-1} ((0,\alpha))$ , we have  $||T|| \le f(T)$ . proving the first equality. The second is found by applying the same argument to  $\alpha/2$  and realizing that  $(0,\alpha/2] \subset (0,\alpha)$ .

Proof of 5. Let  $T \in BL(X,Y)$  and  $x \in X$ . If  $||Tx||_Y \neq 0$ , then  $B_Y(Tx, \frac{||Tx||_Y}{2})$  is a neighborhood of Tx disjoint from 0. Continuity of T impliese x then has a neighborhood disjoint from  $0 \in T^{-1}(0)$ , implying that  $||x||_X \neq 0$ .

Hence if  $||x||_X = 0$ , then we know  $||Tx||_Y = 0$ , so that the relation

$$||Tx||_{Y} \le ||T|| \, ||x||_{X} \tag{2.69}$$

If  $||x||_X \neq 0$ , then by definition of supremum,

$$\frac{||Tx||_Y}{||x||_X} \le ||T||$$

so that  $||Tx||_{Y} \leq ||T|| \, ||x||_{X}$ .

Proof of 6. I assume the first 3 conditions and show that  $S \in BL(X,Y)$ . It is necessary and sufficient to show that S is continuous. Let  $F = \sup_{||x||_X \neq 0} \frac{||Sx||_Y}{||x||_X}$ . If F = 0, then  $S(X) \subset \mathbb{R}$ 

 $\mathcal{K}_{Y}^{\text{ernel}}$ . Every neighborhood of every point in  $\mathcal{K}_{Y}^{\text{ernel}}$  contains  $\mathcal{K}_{Y}^{\text{ernel}}$ , so in that case continuity holds. Suppose  $F \neq 0$ . By translation invariance of the topology, it is sufficient to consider neighborhoods of  $0_Y \in Y$ . Let  $\epsilon > 0$ . Define  $V = B_X\left(0; \frac{\epsilon}{F}\right)$ . Let  $x_0 \in V$ . If  $||x_0||_X = 0$ , then  $S(x_0) \in S(\mathcal{K}_X^{\text{ernel}}) \subset \mathcal{K}_Y^{\text{ernel}} \subset B_Y(0; \epsilon)$ . If  $||x_0||_X \neq 0$ , then  $||Sx||_Y \leq F ||x||_X < \epsilon$ , so  $s(x_0) \in B_Y(0; \epsilon)$ . Hence  $S\left(B_X\left(0; \frac{\epsilon}{F}\right)\right) \subset B_Y(0; \epsilon)$ . so S is continuous, and this direction fo the proof is complete.

Suppose conversely that  $S \in BL(X,Y)$ . Then S is **Linear** by definition, and the supremum expression is finite by part 1 of this result. Since S is **Linear**,  $S0_X = 0_Y$ . Since S is **Continuous**,

$$S(\mathcal{K}_{\mathbf{X}}^{\text{ernel}}) = S\left(\overline{\{0_X\}}\right)$$

$$\subset \overline{S(\{0_X\})}$$

$$= \overline{\{0_Y\}}$$

$$= \mathcal{K}_{\mathbf{Y}}^{\text{ernel}}$$

Proof of 7.  $(3 \implies 2)$  is trivial, as is  $(2 \implies 3)$ .

I now prove  $(1 \implies 3)$ . Let  $\{T_i\}_{i\in\mathbb{N}}$  be a **Pseudometric Cauchy Sequence**. Let  $\beta > 0$ . Let  $\epsilon > 0$ . Then there exists  $N \in \mathbb{N}$  such that for m, n > N,

$$||T_n - T_m|| < \frac{\epsilon}{\beta}$$

Let  $x \in B_X(0;\beta)$ . Then

$$||T_m x - T_n x||_Y = ||(T_m - T_n)x||_Y$$
  
 $\leq ||T_m - T_n|| ||x||_X$   
 $\leq \epsilon$ 

Since  $x \in B_X(0; \beta)$  was arbitrary,  $\{\{T_i x\}_{i \in \mathbb{N}} | x \in B_X(0; \beta)\}$  is Uniformly Cauchy.

I now prove  $(3 \implies 1)$ . Let  $\epsilon > 0$ . Then there is an  $N \in \mathbb{N}$  such that for m, n > N, for each  $x \in B_X(0;2)$ ,

$$||T_m x - T_n x|| < \epsilon$$

In particular, if ||x|| = 1, then

$$\frac{||(T_m - T_n)x||_Y}{||x||_Y} = ||(T_m - T_n)x||_Y < \epsilon \tag{2.70}$$

Hence, by taking the supremum over such x and applying part 3 of this result,  $||T_m - T_n|| < 1$  $\epsilon$ .

Proof of 8. Let  $T_i \to T$ . Let  $x \in X$ . If  $x \in \mathcal{K}_X^{\text{ernel}}$ , then  $T_i(x) \in \mathcal{K}_Y^{\text{ernel}}$  for  $i \in \mathbb{N}$  and  $T_x \in \mathcal{K}_Y^{\text{ernel}}$ , so convergence is obvious. Suppose  $||x||_X > 0$ . Let  $\epsilon > 0$ . Then there exists  $N \in \mathbb{N}$  such that for n > N,  $||T_n - T|| < \frac{\epsilon}{||x||_N}$ . For such n,

$$||T_i x - Tx||_Y \le ||T_i - T|| \, ||x||_X < \epsilon$$

Proof of 9. ( $\Longrightarrow$ ) Suppose  $T_i \to T$ . Then, by 2.1.41,  $\{T_i\}_{i\in\mathbb{N}}$  is a Pseudometric Cauchy **Sequence**. An application of part 8 of this result implies the pointwise convergence on a hamel basis.

 $(\longleftarrow)$  Let  $\{x_{\alpha}\}_{{\alpha}\in A}$  be a Hamel basis for X. Let  $T_ix_{\alpha}\to y_{\alpha}$  for  $\alpha\in A$ . Define  $T:X\to Y$ by setting, for  $x \in X$ , for any  $\{\alpha_i\}_{i=1}^n \subset A$   $\{\beta_i\}_{i=1}^n \subset \mathbb{F}$ ,

$$T\left(\sum_{i=1}^{n} \beta_i x_{\alpha_i}\right) = \sum_{i=1}^{n} \beta_i y_{\alpha_i}$$
(2.71)

The uniqueness of a hamel basis representation implies that T is well defined. It is clear also that T is linear, and that  $T\left(\mathcal{K}_{X}^{ernel}\right) \subset \mathcal{K}_{Y}^{ernel}$ .

Let  $x \in X$ . Then we can find a unique representation,  $x = \sum_{j=1}^{n} \beta_j x_{\alpha_j}$  where  $x_{\alpha_j} \in A$  and  $\beta_j \in \mathbb{F}$  for every j. For each  $j \in \{1, ..., n\}$ , there is an  $N_j$  such that if  $n_j > N_j$ , the

$$\left| \left| T_{n_j} x_{\alpha_j} - y_{\alpha_j} \right| \right| < \frac{\epsilon}{n(|\beta_j| + 1)} \tag{2.72}$$

Let  $N = max\{N_j\}_{j=1}^n$ . Let m > N. Then, we have

$$||T_m x - Tx|| = \left\| \left| T_m \left( \sum_{j=1}^n \beta_j x_{\alpha_j} \right) - T \left( \sum_{j=1}^n \beta_j x_{\alpha_j} \right) \right\| \right|$$

$$= \left\| \left| \sum_{j=1}^n \beta_j \left( T_m x_{\alpha_j} - T x_{\alpha_j} \right) \right\| \right|$$

$$= \sum_{j=1}^n |\beta_j| \left| \left| T_m x_{\alpha_j} - T x_{\alpha_j} \right| \right|$$

$$< \epsilon$$

Since m > N was arbitrary,  $T_i x \to T x$  for  $x \in X$ .

Since  $T_i x \to T x$  for  $x \in B_X(0;2)$ , and since part 7 of this result, paried with the assumption that  $\{T_i\}_{i\in\mathbb{N}}$  is a **Pseudometric Cauchy Sequence**,  $\{\{T_i x\}_{i\in\mathbb{N}}\}_{x\in B_X(0;2)}$  is **Uniformly Convergent** to  $\{Tx\}_{x\in B_X(0;2)}$ .

Let  $\epsilon > 0$ . By **Uniform Convergence**, there is an  $N \in \mathbb{N}$  such that for n > N,  $x \in B_X(0; 2)$ , we have

$$||T_n x - Tx|| < \epsilon \tag{2.73}$$

In particular, if ||x|| = 1,

$$\frac{||(T_n - T)x||_Y}{||x||_X} < \epsilon \tag{2.74}$$

Implying first through part 6 of this result that  $T \in BL(X,Y)$ , and second that Hence  $T_i \to T$  with respect to  $||\cdot||$ .

Proof of 10. Let Y be Pseudometric Complete. and let X be Non-Degenerate. Let  $\{T_{\alpha}\}_{\alpha\in A}\subset BL(X,Y)$  be a Pseudometric Cauchy Sequence. Let  $\{x_{\alpha}\}_{\alpha\in A}$  be a Hamel basis for X. Let  $\alpha\in A$ . If  $x_{\alpha}\in \mathcal{K}_{\mathbf{X}}^{\mathrm{ernel}}$ , then  $T_{i}x_{\alpha}\in \mathcal{K}_{\mathbf{Y}}^{\mathrm{ernel}}$  for  $i\in\mathbb{N}$ , and so  $T_{i}x_{\alpha}\to 0$ . Otherwise,  $||x_{\alpha}||_{X}>0$ , so for  $\epsilon>0$ , there exists  $N\in\mathbb{N}$  such that for m,n>N, we have  $||T_{m}-T_{n}||<\frac{\epsilon}{||x_{\alpha}||}$ . For such m and n,

$$\frac{||T_m x_\alpha - T_n x_\alpha||_Y}{||x_\alpha||_X} = \frac{||(T_m - T_n) x_\alpha||_Y}{||x_\alpha||_X}$$

$$\leq ||T_n - T_m||$$

$$< \frac{\epsilon}{||x_\alpha||}$$

By multiplyging by  $||x_{\alpha}||_X$ , we see that  $\{T_ix_{\alpha}\}_{i\in\mathbb{N}}$  is a **Pseudometric Cauchy Sequence**. Since Y is **Complete**, these sequences converge, say  $T_ix_{\alpha} \to y_{\alpha}$ . This allows us apply part 9 of this result to claim that  $\{T_i\}_{i\in\mathbb{N}}$  converges in  $||\cdot||$ .

Proof of 11. If BL(X,Y) is nondegenerate, then for some  $T \in BL(X,Y)$ , for some  $x \in X$ ,  $||Tx||_Y \neq 0$ .

Proof of 12. Let  $S \in BL(X,Y)$ . Let c = ||S|| + 1. Then, if  $||x|| \neq 0$ , we have

$$\frac{||Sx||}{||x||} \le \sup_{||x|| \ne 0} \frac{||Sx||}{||x||} = ||S|| = c \tag{2.75}$$

so multiplying by ||x|| gives  $||Sx|| \le c ||x||$ . If ||x|| = 0, then  $x \in \mathcal{K}_{\mathbf{X}}^{\text{ernel}}$ , so by part 6 of this result,  $Sx \in \mathcal{K}_{\mathbf{X}}^{\text{ernel}}$ , so

$$||Sx|| = 0 = c0 = c \, ||Sx|| \tag{2.76}$$

finishing this direction of the proof.

Suppose instead, now, that there was a constant  $c \in (0,1)$  such that for each  $x \in X$ , we had  $||Sx|| \le c ||x||$ . Then, if ||x|| = 0, we must have  $||Sx|| \le c ||x|| = 0$ , and so  $S(\mathcal{K}_{\mathbf{Y}}^{\mathrm{ernel}}) \subset \mathcal{K}_{\mathbf{Y}}^{\mathrm{ernel}}$ . Furthermore, if  $||x|| \ne 0$ , then we can divide by ||x|| to get

$$\frac{||Sx||}{||x||} \le c \tag{2.77}$$

Taking the supremum over all x with  $||x|| \neq 0$  gives us the desired result.

Proof of 13. By part 5 of this result, ||T|| is one possible value for c, so  $||T|| \in A$ . That is,  $\inf(A) \leq ||T||$ . Furthermore, if  $\epsilon > 0$ , then there is an  $x \in X$  with

$$\frac{||Tx||}{||x||} > ||T|| - \epsilon \tag{2.78}$$

implying  $||Tx|| > (||T|| - \epsilon) ||x||$  so that  $||T|| - \epsilon \notin A$ . Hence  $||T|| - \epsilon < inf(A)$ . Since this is true for every positive epsilon, ||T|| < inf(A).

Remark 2.1.110 (Converses). The converses to parts 10 and 11 of 2.1.109 are true, but their proof relies upon the Hahn Banach theorem for Seminormed spaces, and so their official claim is delayed until later in the narrative.

**Definition 2.1.111** (Codomain Quotient Operator). Let X and Y be **Seminormed Spaces** . Define  $\mathcal{Q}_Y : BL(X,Y) \to BL(X,Y/\mathcal{K}_Y^{ernel})$  by setting, for each  $x \in X$ ,

$$Q_Y Tx = [Tx]$$

Let  $T \in BL(X,Y)$ . We call  $\mathcal{Q}_Y$  the Codomain Quotient Map of X and Y and we call  $\mathcal{Q}_YT$  the Codomain Quotient Operator of T.

Proposition 2.1.112 (Codomain Quotient Operator). Let X and Y be Seminormed Spaces with Codomain Quotient Map  $Q_Y$ . The following are true.

- 1.  $Q_Y$  is a well defined continuous linear surjective isometry.
- 2. If Y is a Normed Space, then  $Q_Y$  is invertible with a continuous inverse.

Proof Of 1. Since  $Tx \in Y$  for any  $x \in X$ ,  $[Tx]_Y$  is defined for any  $x \in X$ . Furthermore, if  $q_y : Y \to Y/\mathcal{K}^{\text{ernel}}$  is the **Quotient Map** of Y under **Equivalence MOD-** $\mathcal{K}^{\text{ernel}}$ , then  $\mathcal{Q}_Y T = q_y \circ T$ . By 2.1.105,  $q_y \in BL(Y, Y/\mathcal{K}^{\text{ernel}})$ . Hence,  $\mathcal{Q}_Y T = q_y \circ T \in BL(X, Y/\mathcal{K}^{\text{ernel}})$ . Hence  $\mathcal{Q}_Y$  is well defined.

For linearity, let  $\alpha \in \mathbb{F}$  and  $S, T \in BL(X, Y)$ . Let  $x \in X$ . Then,

$$Q_Y (\alpha T + S) x = [(\alpha T + S) x]_Y$$

$$= [\alpha T x + S x]_Y$$

$$= [\alpha T x]_Y + [S x]_Y$$

$$= \alpha [T x]_Y + [S x_Y]$$

$$= \alpha Q_Y T x + Q_Y S x$$

$$= (\alpha Q_Y T + Q_Y S) x$$

For being an isometry, let  $T \in BL(X,Y)$  and let  $x \in X$ . Then, since  $||[Tx]_Y||_{Y/\mathcal{K}^{ernel}} = ||Tx||_Y$ ,

$$\frac{||QTx||_{Y/\mathcal{K}^{\text{ernel}}}}{||x||_X} = \frac{||[Tx]_Y||_{Y/\mathcal{K}^{\text{ernel}}}}{||x||_X}$$
$$= \frac{||Tx||_Y}{||x||_X}$$

and thus taking the norm over x with  $||x||_X \neq 0$  will yield the same result. Hence  $||T|| = ||Q_Y T||$ .

For surjectivity, let  $\tilde{T} \in BL(X, Y/\mathcal{K}_{Y}^{ernel})$ . Let  $\{x_{\alpha}\}_{{\alpha}\in A}$  be a hamel basis for X. For each  ${\alpha}\in A$ , let  $y_{\alpha}\in \tilde{T}x_{\alpha}$ . Define  $T:X\to Y$  by

$$T\left(\sum_{i=1}^{n} \beta_{\alpha_i} x_{\alpha_i}\right) = \sum_{i=1}^{n} \beta_{\alpha_i} y_{\alpha_i}$$
(2.79)

T is obviously linear and has the property  $[Tx] = \tilde{T}x$ . and since  $\tilde{T} \in BL(X,Y/\mathcal{K}^{\text{ernel}})$ ,  $\tilde{T}\mathcal{K}_{X}^{\text{ernel}} \subset \mathcal{K}_{Y}^{\text{ernel}} \subset \mathcal{K}_{Y}^{\text{ernel}}$ . Furthermore, if  $x \in X$  with  $||x||_{X} \neq 0$ , then

$$\frac{\left|\left|Tx\right|\right|_{Y}}{\left|\left|x\right|\right|_{X}} = \frac{\left|\left|\left[Tx\right]_{Y}\right|\right|_{Y/\mathcal{K}^{\text{ernel}}}}{\left|\left|x\right|\right|_{X}}$$
$$= \frac{\left|\left|\tilde{T}x\right|\right|_{Y/\mathcal{K}^{\text{ernel}}}}{\left|\left|x\right|\right|_{X}}$$

Therefore T is bounded. Hence  $T \in BL(X,Y)$ , and  $\mathcal{Q}_Y T = \tilde{T}$ . Thus we have surjectivity, and are done.

Proof Of 2. If Y is a Normed Space, a linear isometric homeomorphism by 2.1.105. In particular, in this case,  $q_y$  is injective, meaning that if  $T, S \in BL(X, Y)$  where  $T \neq S$ , then  $Tx_0 \neq Sx_0$  for some  $x_0 \in X$ . For this  $x_0, q_yTx_0 \neq q_ySx_0$ , so  $Q_YT \neq Q_YS$ . Therefore  $Q_Y$  is injective, and therefore a bijection. The inverse of an isometry is also an isometry and therefore continuous, finishing this proof.

**Definition 2.1.113** (Quotient Operator). Let X, Y be **Seminormed Spaces** with **Seminorm Kernels**  $\mathcal{K}_{X}^{\text{ernel}}$ ,  $\mathcal{K}_{Y}^{\text{ernel}}$ . Define  $Q: BL(X,Y) \to BL(X/\mathcal{K}_{X}^{\text{ernel}}, Y/\mathcal{K}_{Y}^{\text{ernel}})$  by setting, for  $T \in BL(X,Y)$ , for  $x \in X$ ,

$$QT\left[x\right]_{X} = \left[Tx\right]_{Y} \tag{2.80}$$

We call Q the **Operator Quotient Map** of X and Y and we call QT the **Quotient Operator** of T.

Proposition 2.1.114 (Quotient Operator). Let X, Y be Seminormed Spaces with Seminorm Kernels  $\mathcal{K}_X^{\text{ernel}}$ ,  $\mathcal{K}_Y^{\text{ernel}}$  and Operator Quotient Map Q. Then Q is a well-defined linear surjective isometry.

*Proof.* We first show that Q is well defined. Let  $T \in BL(X,Y)$  and let  $x_0, x_1 \in X$  such that  $[x_0] = [x_1]$ . Then  $||x_0 - x_1||_X = 0$ , so since T is continuous,  $||Tx_0 - Tx_1||_Y = 0$ . Hence  $Tx_0 \cong Tx_1$ , so  $[Tx_0] = [Tx_1]$ .

For linearity, let  $\alpha \in \mathbb{F}$ , and let  $T, S \in BL(X, Y)$ . Let  $x \in X$ . Then

$$\begin{split} Q\left(\alpha T+S\right)[x]_X &= \left[\left(\alpha T+S\right)x\right]_Y \\ &= \alpha \left[Tx\right]_Y + \left[Sx\right]_Y \\ &= \alpha QT[x]_X + QS[x]_X \\ &= \left(\alpha QT + QS\right)[x]_X \end{split}$$

Since  $x \in X$  was arbitrary, Q is linear.

As for being an isometry, let  $T \in BL(X,Y)$  and let  $x \in X$ . Since ||[x]|| = ||x|| and ||Tx|| = ||[Tx]||, we have

$$\frac{\left|\left|QT\left[x\right]_{X/\mathcal{K}_{\mathbf{X}}^{\text{ernel}}}\right|\right|_{Y/\mathcal{K}_{\mathbf{Y}}^{\text{ernel}}}}{\left|\left|\left[x\right]\right|\right|_{X/\mathcal{K}_{\mathbf{X}}^{\text{ernel}}}} = \frac{\left|\left|\left[Tx\right]\right|\right|_{Y/\mathcal{K}_{\mathbf{Y}}^{\text{ernel}}}}{\left|\left|\left[X\right]\right|\right|_{X/\mathcal{K}_{\mathbf{X}}^{\text{ernel}}}}$$
$$= \frac{\left|\left|Tx\right|\right|_{Y}}{\left|\left|x\right|\right|_{X}}$$

and so taking the supremum over  $||x|| \neq 0$  gives us that this is an isometry.

For surjectivity, let  $\tilde{T} \in BL(X/\mathcal{K}_X^{\text{ernel}}, Y/\mathcal{K}_Y^{\text{ernel}})$ . Let  $\{x_{\alpha}\}_{{\alpha}\in A}$  be a Hamel basis for X. For each  ${\alpha}\in A$ , let  $y_{\alpha}\in \tilde{T}[x_{\alpha}]_X$ . Now define

$$T\sum_{i=1}^{n} \beta_i x_{\alpha_i} = \sum_{i=1}^{n} \beta_i y_{\alpha_i}$$
(2.81)

Then  $T: X \to Y$  is obviously linear, and  $Tx \in \tilde{T}[x]_X$  for  $x \in X$ . Hence,

$$\frac{\left||Tx|\right|_{Y}}{\left||x|\right|_{X}} = \frac{\left|\left|\tilde{T}[x]_{X}\right|\right|_{Y/\mathcal{K}_{Y}^{\text{ernel}}}}{\left|\left|[x]_{X}\right|\right|_{X/\mathcal{K}_{Y}^{\text{ernel}}}}$$
(2.82)

so T is bounded, and hence  $T \in BL(X,Y)$ , but that also implies that by definition,  $QT = \tilde{T}$ , so we have proven surjectivity.

**Definition 2.1.115** (Canonical Isomorphism Of The Quotient Space Of Continuous Linear Operators). Let X, Y be **Seminormed Spaces** with **Seminorm Kernels**  $\mathcal{K}_X^{\text{ernel}}$ ,  $\mathcal{K}_Y^{\text{ernel}}$ . Let  $\mathcal{K}^{\text{ernel}}$  denote the **Seminorm Kernel** of BL(X,Y). Let Q denote the **Operator Quotient Map** of X and Y. Define  $\Theta_{(X,Y)}: BL(X,Y)/\mathcal{K}^{\text{ernel}} \to BL(X/\mathcal{K}_X^{\text{ernel}}, Y/\mathcal{K}_Y^{\text{ernel}})$  by setting, for each  $T \in BL(X,Y)$ .

$$\Theta_{(X,Y)}([T]) = QT \tag{2.83}$$

We call  $\Theta_{(X,Y)}$  the Canonical Isomorphism Of The Quotient Space Of Continuous Linear Operators from X to Y. When X and Y are understood, we may denote the Canonical Isomorphism Of The Quotient Space Of Continuous Linear Operators simply with  $\Theta$ . By 2.1.116,  $\Theta_{(X,Y)}$  is an isomorphism of Normed Spaces. That is,  $\Theta$  is Linear, Bijective, Bicontinuous, and an isometry.

**Proposition 2.1.116** (Canonical Isomorphism Of The Quotient Space Of Continuous Linear Operators). Let X, Y be **Seminormed Spaces**. Let  $\Theta$  denote the **Canonical Isomorphism Of The Quotient Space Of Continuous Linear Operators** from X to Y. Then  $\Theta$  is a bijective, bicontinuous, linear, isometry.

*Proof.* By 2.1.105, part 1,  $Y/\mathcal{K}_{Y}^{ernel}$  is a **Normed Space**, Hence by 2.1.109, part 2,  $BL(X/\mathcal{K}_{X}^{ernel}, Y/\mathcal{K}_{Y}^{ernel})$  is a **Normed Space**. Similarly, by 2.1.105, part 1,  $BL(X,Y)/\mathcal{K}^{ernel}$  is a normed space. Hence, it is sufficient to show that  $\Theta$  is a well-defined surjective linear isometry.

For well definedness, let  $T, S \in BL(X, Y)$  with [T] = [S]. Then, ||T - S|| = 0, so if  $x \in X$ , ||Tx - Sx|| = 0. Hence  $Tx \cong Sx$  and since x was arbitrary, QT = QS.

Let q denote the **Quotient Map**  $q: BL(X,Y) \to BL(X,Y)/\mathcal{K}^{ernel}$ . By parts 4, 5, and 6 of 2.1.105, q is a linear surjective isometry. Also, by definition,  $\Theta \circ q = Q$ . Since Q is surjective,  $\Theta$  is surjective. Since Q is an isometry, and q is a surjective isometry, Theta is an isometry. Since Q is linear, and since q is surjective and linear,  $\Theta$  is linear.

**Definition 2.1.117** (Seminorm Topological Dual Space). Let  $(X, ||\cdot||)$  be a **Seminormed Space** over a field  $\mathbb{F}$ . We denote with  $X^*$  **Normed Space**  $BL(X, \mathbb{F})$ , and we call  $X^*$  the **Topological Dual Space** of X. If  $x^* \in X^*$ , then we may denote, for  $x \in X$ ,  $x^*(x)$  with  $\langle x, x^* \rangle$ .

Since  $\mathbb{F}$  is a **Normed Space**, by 2.1.109, part 02,  $X^*$  is as well.

Since  $X^*$  is a normed space

Also,  $q: \mathbb{F} \to \mathbb{F}/\mathcal{K}_{\mathbb{F}}^{\mathrm{ernel}}$  is a linear bijective isometry by 2.1.105, so if  $Q: X^* \to BL(X/\mathcal{K}_{\mathbb{X}}^{\mathrm{ernel}}, \mathbb{F}/\mathcal{K}_{\mathbb{F}}^{\mathrm{ernel}})$  is the **Operator Quotient Map** and if  $\Theta: BL(X,\mathbb{F})/\mathcal{K}^{\mathrm{ernel}} \to BL(X/\mathcal{K}_{\mathbb{X}}^{\mathrm{ernel}}, \mathbb{F}/\mathcal{K}_{\mathbb{F}}^{\mathrm{ernel}})$  is the **Canonical Isomorphism Of The Quotient Space Of Continuous Linear Operators**, then we have

$$\Theta = Q \circ q^{-1} \tag{2.84}$$

**Definition 2.1.118** (Dual Space). Let  $(X, ||\cdot||)$  (be a **Seminormed Space**. We call  $BL(X, \mathbb{F})$  the **Topological Dual Space** of  $(X, ||\cdot||)$ , and we denote  $BL(X, \mathbb{F})$  with the symbol  $X^*$ . If  $x^* \in X^*$ , then we use the notational convention of writing, for  $x \in X$ .

$$\langle x, x^* \rangle := x^*(x) \tag{2.85}$$

It would also be correct to refer to the **Topological Dual Space** of  $(X, ||\cdot||)$  as the  $1^{st}$  **Topological Dual Space** of X

Remark 2.1.119 (Topological Dual Space is a Normed Space). Let X be a Seminormed Space. Then, using 2.1.109, since  $\mathbb{F}$  is a Normed Space, so is  $X^*$ .

Theorem 2.1.120 (Topological Dual Space Isomorphism). Let X be a Seminormed Space . Define  $\Omega: X^* \to \left(X/\mathcal{K}_X^{\text{ernel}}\right)^*$  Spa by setting, for  $x^* \in X$ , and for  $x \in X$ ,

$$\langle x, x^* \rangle = \langle [x], \Omega x^* \rangle$$
 (2.86)

Then  $\Omega$  is a Linear, Bijective, Isometric, Bicontinuous operator. That is,  $X^*$  and  $(X/\mathcal{K}_X^{\text{ernel}})^*$  are isomorphic, and that isomorphism is explicitly given by  $\Omega$ .

*Proof.* Consider the following

$$BL(X, \mathbb{F}) \xrightarrow{q} BL(X, \mathbb{F})/\mathcal{K}_{\mathrm{BL}(X/\mathbb{F})}^{\mathrm{ernel}} \xrightarrow{\Theta} \mathrm{BL}(X/\mathcal{K}_{\mathrm{X}}^{\mathrm{ernel}}, \mathbb{F}/\mathcal{K}_{\mathbb{F}}^{\mathrm{ernel}}) \xrightarrow{\mathcal{Q}_{\mathbb{F}}^{-1}} \mathrm{BL}(X/\mathcal{K}_{\mathrm{X}}^{\mathrm{ernel}}, \mathbb{F})$$
 (2.87)

where q is the **Quotient Map**, which is an linear bijective bicontinuous isometry in this case by parts 4, 5, 6, and 7 of of 2.1.105,  $\Theta$  is the **Canonical Isomorphism Of The Quotient Space Of Continuous Linear Operators**, which is a linear bijective bicontinuous isometry by 2.1.116 and  $Q_{\mathbb{F}}$  is the **Codomain Quotient Map**. which is in this case a linear, bijective, bicontinuous isometry by 2.1.112

Since  $\Omega = \mathcal{Q}_{\mathbb{F}}^{-1} \circ \Theta \circ q$ , and since each of the described properties are preserved under composition,  $\Omega$  is also a linear bijective bicontinuous isometry.

Remark 2.1.121 (Topological Dual Space is a Normed Space). Let X be a Seminormed Space. Since  $X/\mathcal{K}_X^{\text{ernel}}$  is a Normed Space, so is  $(X/\mathcal{K}_X^{\text{ernel}})^*$ . By 2.1.120, we have a linear, bijective isometry between  $X^*$  and  $(X/\mathcal{K}_X^{\text{ernel}})^*$ . Hence  $X^*$  is a Normed Space.

**Theorem 2.1.122** (Hahn Banach Theorem For Seminormed Spaces). Let  $(X, ||\cdot||)$  be a **Seminormed Space**, let  $x_i \in X$  for  $i \in \{0,1\}$  such that  $||x_0 - x_1||_X \neq 0$ , and let  $X^*$  denote X's **Topological Dual Space**. The following are true.

1. If  $Z \subset X$  is a subspace and  $z^* \in Z^*$ , then there is an extension  $x^*$  of  $z^*$ ,  $x^* \in X^*$  such that

$$||z^*||_{Z^*} = ||x^*||_{X^*} (2.88)$$

- 2. If  $x \in X$ , with  $||x|| \neq 0$ , then there exists an  $x^* \in X$  with  $||x^*|| = 1$  and  $\langle x, x^* \rangle = ||x||_X$ .
- 3. If  $x \in X$ , then

$$||x||_X = \sup_{0 \neq x^* \in X^*} \frac{\langle x, x^* \rangle}{||x^*||}$$
 (2.89)

4. If Y is a Non-Degenerate Seminormed Space, and if  $x_0 \in X$ , with  $||x_0|| \neq 0$ , then there exists an  $S \in BL(X,Y)$  with ||S|| = 1 and

$$||Sx_0|| = ||x_0|| \tag{2.90}$$

Proof of 01. For  $\alpha \in \{Z, X\}$ , let  $\Omega_{\alpha} : \alpha^* \to (\alpha/\mathcal{K}_{\alpha}^{\text{ernel}})^*$  denote the isomorphism defined in 2.1.120. Let q denote the quotient operator  $q: X \to X/\mathcal{K}^{\text{ernel}}$ . Define  $T: Z/\mathcal{K}_{Z}^{\text{ernel}} \to q(Z)$  by  $T([z]_{\cong_Z}) = [z]_{\cong_X}$ . Since Z is endowed with the subspace Topology, T is obviously a Linear Bijective Bicontinuous Isometry.

Define  $\Gamma_Z: (Z/\mathcal{K}_Z^{\text{ernel}})^* \to q(Z)^*$  by setting, for  $\phi^* \in (Z/\mathcal{K}_Z^{\text{ernel}})^*$ , for  $[z]_Z \in Z/\mathcal{K}_Z^{\text{ernel}}$ ,

$$\langle T[z]_Z, \Gamma_Z \phi^* \rangle = \langle [z]_Z, \phi^* \rangle$$
 (2.91)

Then  $\Gamma_Z$  is a Linear Bijective Isometry. Hence  $\Gamma_Z \circ \Omega_Z z^* \in q(Z)^*$  with  $||\Gamma_Z \circ \Omega_Z z^*||_{q(Z)^*} = ||z^*||_{Z^*}$ .

Thus we can apply the Hahn Banach theorem for Normed Spaces to claim the existence of  $x_q^* \in (X/\mathcal{K}_X^{\text{ernel}})^*$  where  $x_q^*$  is an extension of  $\Gamma_Z \circ \Omega_Z z^*$  and

$$||x_q^*||_{(X/\mathcal{K}_{\mathbf{Y}}^{\text{ernel}})^*} = ||\Gamma_Z \circ \Omega_Z z^*||_{(q(Z))^*} = ||z^*||_{Z^*}$$
 (2.92)

Finally, letting  $x^* = \Omega_X^{-1} x_q^*$ , we have  $x^* \in X^*$ ,  $||x^*||_{X^*} = ||x_q^*||_{(X/\mathcal{K}_X^{\text{ernel}})^*} = ||z^*||_{Z^*}$ , and if  $z \in Z$ , then

$$\begin{split} \langle z, x^* \rangle &= \left\langle [z]_X, x_q^* \right\rangle \\ &= \left\langle [z]_X, \Gamma_Z \circ \Omega_Z z^* \right\rangle \\ &= \left\langle [z]_Z, \Omega_Z z^* \right\rangle \\ &= \left\langle z, z^* \right\rangle \end{split}$$

Proof of 2. Let Z = span(x). Define  $z^* \in Z^*$  by  $\langle \alpha x, z^* \rangle = \alpha ||x||$ . Then  $||z^*|| = 1$ . Also, by part 1 of this result, it has an extension  $x^* \in X^*$  with  $||x^*|| = ||z^*|| = 1$  and  $\langle x, x^* \rangle = ||x||$ .

Proof of 3. If ||x|| = 0, then for every  $x^* \in X$ ,  $\langle x, x^* \rangle = 0$ . Hence

$$||x||_X = \sup_{0 \neq x^* \in X^*} \frac{\langle x, x^* \rangle}{||x^*||} = \sup_{x^* \in \partial B_{X^*}(0;1)} \frac{\langle x, x^* \rangle}{||x^*||} = 0$$
 (2.93)

Otherwise, let  $x^* \in X^*$  guaranteed to exist by part 2 which satisfies  $||x^*|| = 1$ ,  $\langle x, x^* \rangle = ||x||$ . Then

$$\begin{aligned} ||x|| &= \frac{\langle x, x^* \rangle}{||x^*||} \\ &\leq \sup_{x^* \in \partial B_{X^*}(0;1)} \frac{\langle x, x^* \rangle}{||x^*||} \\ &\leq \sup_{0 \neq x^* \in X^*} \frac{\langle x, x^* \rangle}{||x^*||} \end{aligned}$$

The other direction of the inequality falls directly from the definition of the norm on  $X^*$ , and is trivial, so we are done.

Proof of 4. By part 2 of this result, there exists  $x_0^* \in X^*$  with  $||x_0^*|| = 1$  and  $\langle x_0, x_0^* \rangle = ||x_0||$ . Since Y is **Non-Degenerate**, there exists  $y_0 \in Y$  with  $||y_0|| = 1$ . Define  $T : \mathbb{F} \to Y$  by  $T\alpha = \alpha y$ . Then ||T|| = ||y|| = 1. Define  $S : X \to Y$  by  $S = T \circ x_0^*$ . Then  $||S|| \le ||T|| ||x_0^*|| = 1$ , and  $||Sx_0|| = ||\langle x_0, x_0^* \rangle y|| = \langle x_0, x_0^* \rangle = ||x_0||$ . Hence  $||S|| \ge 1$  and therefore ||S|| = 1.

**Proposition 2.1.123** (Linear Operator Notation). When dealing with mappings of spaces of linear operators into spaces of other linear operators, or even functions in general, notation can get confusing, and presenting such things using ordinary notation without ambiguity can often require a plethora of parenthesis, which hamper readability of an argument.

For this reason, at points in this document, I sometimes express the image  $\beta(\alpha)$  using

$$\langle \alpha, \beta \rangle$$

Where  $\beta: X \to Y$  and  $\alpha \in X$ .

I combine this notation with usual function notation, particularly in cases similar to the following. For  $i \in \{0,1\}$ , let  $X_i, Y_i, Z_i$  be sets. For  $\alpha \in \{X,Y,Z\}$ , let  $F_\alpha$  be the set of maps  $f: \alpha_0 \to \alpha_1$ . If  $T: F_X \to F_Y$ ,  $y \in Y_0$ , and  $f \in F_X$ , then I would notate

$$\langle y, Tf \rangle$$

rather than Tf(y) or (T(f)(y))

**Definition 2.1.124** (Adjoint Operator). Let X, Y, and Z be Seminormed Spaces over a field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . Let  $T \in BL(X, Y)$ . We define the operator  $T_Z^{\times} : BL(Y, Z) \to BL(X, Z)$  by setting, for  $S \in BL(Y, Z)$  and  $x \in X$ ,

$$\langle x, \mathcal{T}_Z^{\times} S \rangle = \langle Tx, S \rangle$$
 (2.94)

or, equivalently,

$$\mathcal{T}_Z^{\times} S = S \circ T \tag{2.95}$$

We call  $T_Z^{\times}$  the **Adjoint Operator** of T relative to the space Z, we denote  $T_{\mathbb{F}}^{\times} = T^{\times}$ , and we refer to  $T^{\times}: Y^* \to X^*$  as simply the **Adjoint Operator** of T.

Proposition 2.1.125 (Adjoint Operator ). Let X, Y, and Z be Seminormed Spaces over a field  $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$ . Let  $T \in BL(X, Y)$ . Let  $T = T_Z^{\times}$  denote the Adjoint Operator of T relative to the space Z. Let  $Q_y$  denote the Quotient Map

The following are true.

- 1.  $\mathcal{T}$  is Linear.
- 2. If  $S \in BL(Y, Z)$ , then  $\mathcal{T}S \in BL(X, Z)$ . (That is, the **Adjoint Operator** is well defined as a concept).
- 3.  $\mathcal{T} \in BL(BL(Y,Z),BL(X,Z))$ .
- 4.  $||\mathcal{T}|| = ||T||$

- 5. If T is surjective, then  $\inf_{||x||=1} ||Tx|| \le \inf_{||S||_{BL(Y,Z)}=1} ||TS||$ . Also TODO: Weaken T surjectivity condition To Range(T) dense in Y.
- 6. If Range(T) is not dense in Y, then  $\inf_{||S||_{BL(Y,Z)}=1} ||\mathcal{T}S|| = 0$
- 7.  $\mathcal{T}$  is surjective ifff T is injective and has closed range in Y.

Proof of 01. Let  $S, R \in BL(Y, Z), \alpha \in \mathbb{F}$ , and  $x \in X$ . Then,

$$\langle x, \mathcal{T}(\alpha S + R) \rangle = \langle Tx, \alpha S + R \rangle$$

$$= \alpha \langle Tx, S \rangle + \langle Tx, R \rangle$$

$$= \alpha \langle x, \mathcal{T}S \rangle + \langle x, \mathcal{T}R \rangle$$

$$= \langle x, \alpha \mathcal{T}S \rangle + \mathcal{T}R \rangle$$

$$= \langle x, \alpha \mathcal{T}S \rangle + \mathcal{T}R \rangle$$

Since  $x \in X$  was arbitrary, linearity is verified.

Proof of 02. Let  $S \in BL(Y, Z)$ . Then,  $\mathcal{T}S = S \circ T$ . The composition of continuous operators is continuous, so  $\mathcal{T}S$  is continuous. The composition of linear operators is linear, so  $\mathcal{T}S$  is linear. This, paired with linearity, implies  $\mathcal{T}S \in BL(X, Z)$ .

Proof of 3. Let  $S \in BL(Y, Z)$ . Then, if  $x \in X$ 

$$||\langle x, \mathcal{T}S \rangle|| = ||\langle Tx, S \rangle|| \le ||S|| \, ||Tx|| \le ||S|| \, ||T|| \, ||x||$$
 (2.96)

Hence  $||\mathcal{T}S|| \leq ||S|| \, ||T||$  Since T is linear, and since S was arbitrary, by part 12 of 2.1.109,  $\mathcal{T} \in BL(BL(Y,Z),BL(X,Z))$ .

Proof of 4. For any  $S \in BL(Y, Z)$ ,  $\mathcal{T}S = S \circ T$ , so  $||\mathcal{T}S|| \leq ||S|| \, ||T||$ . Hence  $||\mathcal{T}|| \leq ||T||$ . Now let  $x_0 \in X$ . Then, by part 4 of 2.1.12, there exists  $S \in BL(Y, Z)$  with ||S|| = 1 and  $||STx_0|| = ||Tx_0||$ . Hence,

$$||Tx_0|| = ||STx_0||$$

$$= ||(S \circ T)x_0||$$

$$= ||(TS)x_0||$$

$$\leq ||T|| ||S|| ||x_0||$$

$$= ||T|| ||x_0||$$

Since  $x_0 \in X$  is arbitrary,  $||T|| \le ||T||$ . Since the inequality goes both ways, ||T|| = ||T||.

Proof of 05. Let  $\Gamma = \inf_{||x||=1} ||Tx||$ , and let  $S \in BL(Y, Z)$  with ||S|| = 1. Then,

$$\{x|\,||Tx|| \le \Gamma\} \subset B_X(0;1)$$

so

$$\sup_{||x|| \le 1} |\langle Tx, S \rangle| \ge \sup_{||Tx|| \le \Gamma} |\langle Tx, S \rangle|$$

Also, since T is surjective by assumption,

$$\sup_{||Tx|| \le \Gamma} |\langle Tx, S \rangle| = \sup_{||y|| \le \Gamma} |\langle y, S \rangle|$$

From these two we arrive at the inequality

$$||TS|| = \sup_{\|x\| \le 1} |\langle x, TS \rangle|$$

$$= \sup_{\|x\| \le 1} |\langle Tx, S \rangle|$$

$$\ge \sup_{\|Tx\| \le \Gamma} |\langle Tx, S \rangle|$$

$$= \sup_{\|Tx\| \le \Gamma} |\langle Tx, S \rangle|$$

$$= \sup_{\|y\| \le \Gamma} |\langle Tx, S \rangle|$$

$$= \prod_{\|x\| = 1} ||Tx||$$

Since  $S \in \partial B_{BL(Y,Z)}(0;1)$  was arbitrary, we conclude  $\inf_{||S||=1} ||\mathcal{T}S|| \ge \inf_{||x||=1} ||Tx||$ 

**Definition 2.1.126** (Higher Order Dual Spaces). Let X be a **Seminormed Space**. From 2.1.12 we know that the **Topological Dual Space** of X,  $X^*$ , is also called the  $1^{st}$  **Topological Dual Space** of X. Building on this, for  $n \in \{2, 3, 4, ..., \}$  we call the  $1^{st}$  **Topological Dual Space** of  $X^*$  the  $2^{nd}$  **Topological Dual Space** of X, we call the  $1^{st}$  **Topological Dual Space** of  $X^*$  the  $2^{nd}$  **Topological Dual Space** of X the  $3^{rd}$  **Topological Dual Space** of X, and in general the  $1^{st}$  **Topological Dual Space** of the  $(n)^{th}$  **Topological Dual Space** of X the  $(n+1)^{th}$  **Topological Dual Space** of X.

In general, we denote the  $(n)^{th}$  Topological Dual Space of X with  $X^{n*}$ , though when n is small, we may denote  $X^{**} = X^{2*}$ ,  $X^{***} = X^{3*}$ , et cetera.

**Definition 2.1.127** (Higher Order Dual Space Isomorphism). Let X be a **Seminormed Space** over a field  $\mathbb{F}$ . Let  $\Omega: X^* \to (X/\mathcal{K}_X^{\text{ernel}})^*$  be the Linear Bijective Isometry defined in 2.1.120. Define

$$\Omega_1 = \Omega$$

and also define, for  $2 \leq n \in \mathbb{N}$ ,  $\Omega_n : X^{n*} \to (X/\mathcal{K}_X^{\text{ernel}})^{n*}$  by

$$\Omega_n = \left(\Omega_{n-1}^{\times}\right)^{-1}$$

By it is clear that the adjoint of a Linear Bijective isometry of normed spaces is also a Linear Bijective isometry of normed spaces, and so each  $\Omega_n$  is as well.

**Definition 2.1.128** ( Canonical Embedding of X into  $X^{**}$ ). Let X be a Seminormed Space. Define  $c_X: X \to X^{**}$  by setting, for each  $x^* \in X^*$ , for each  $x \in X$ 

$$\langle x^*, c(x) \rangle = \langle x, x^* \rangle \tag{2.97}$$

We call  $c_X$  the **Canonical Embedding** of X into  $X^*$ . As normal, if X is understood, we may denote  $c_X = c$ . If c is Surjective, then we say that X is **Reflexive**.

Proposition 2.1.129 (Canonical Embedding). Let X be a Seminormed Space and let c denote its Canonical Embedding. The following are true.

- 1. c is well defined
- 2. c is Linear.
- 3. c is an isometry.
- 4. c is an injection if and only if X is a **Normed Space**.
- 5. If  $q: X \to X/\mathcal{K}^{\text{ernel}}$  is the **Quotient Map**,  $c_{X/\mathcal{K}^{\text{ernel}}}$  is the **Canonical Embedding** of  $(X/\mathcal{K}^{\text{ernel}})$  into  $(X/\mathcal{K}^{\text{ernel}})^{**}$  and  $\Omega_2: X^{**} \to (X/\mathcal{K}^{\text{ernel}})^{**}$  is the linear bijective isometry defined in 2.1.12, then  $c = \Omega_2^{-1} \circ c_{X/\mathcal{K}^{\text{ernel}}} \circ q$ . //TODO: COME BACK TO THIS AND PROVE IT ONCE THE ISOS ARE CLEARED UP
- 6.  $c_X$  is surjective if and only if  $c_{X/\mathcal{K}^{\text{ernel}}}$  is surjective.
- 7. X is Reflexive if and only if  $X/\mathcal{K}^{\text{ernel}}$  is Reflexive.

Proof of 1. For any  $x \in X$ , c(x) as a function is obviously well defined. Hence, I just need to show that, for any  $x \in X$ ,  $c(x) \in X^{**}$ . That is, I must show that c(x) is continuous and linear.

For linearity, if  $x^*, y^* \in X^*$  and  $\alpha \in \mathbb{F}$ , we have

$$\langle \alpha x^* + y^*, c(x) \rangle = \langle x, \alpha x^* + \alpha y^* \rangle$$

$$= \alpha \langle x, x^* \rangle, \langle y, y^* \rangle$$

$$= \alpha \langle x^*, c(x) \rangle + \langle y^*, c(x) \rangle$$

Thus linearity holds.

For continuity, let  $x \in X$  and let  $x^* \in X^*$ .

$$|\langle x^*, c(x) \rangle| = |\langle x, x^* \rangle|$$
  
 
$$\leq ||x|| \, ||x^*||$$

so that c(x) is bounded with  $||c(x)|| \le ||x||$ .

Proof of 2. Let  $\alpha \in \mathbb{F}$  and  $x, y \in X$ . Let  $x^* \in X$ . Then,

$$\langle x^*, c(\alpha x + y) \rangle = \langle \alpha x + y, x^* \rangle$$

$$= \alpha \langle x, x^* \rangle + \langle y, x^* \rangle$$

$$= \alpha \langle x^*, c(x) \rangle + \langle x^*, c(y) \rangle$$

, finishing the proof.

Proof of 3. Let  $x_0 \in X$  and  $x^* \in X^*$ . Then,

$$|\langle x^*, c(x_0)\rangle| = |\langle x_0, x^*\rangle|$$
  

$$\leq ||x_0|| ||x^*||$$

so that  $||c(x_0)|| \le ||(||x_0)|$ . For the other direction, by 2.1.12 part 2, there exists an  $x_0^* \in X^*$  satisfying  $||x_0^*|| = 1$  and  $\langle x_0, x_0^* \rangle = ||x||$ 

We see that  $\langle x_0^*, c(x_0) \rangle = \langle x_0, x_0^* \rangle = ||x|| = ||x_0|| \, ||x_0^*||$  so that  $||c(x_0)|| \ge ||x_0||$ . Since the inequality goes both ways,  $||x_0|| = ||c(x_0)||$ , and c is therefore an isometry.

*Proof of 4.* Let X be a **Normed Space**. Then  $X^*$  separates points in X. Let  $x \in X$  and  $y \in X$  with  $x \neq y$ . Since  $X^*$  separates points in X, there exists  $x^* \in X^*$  with  $\langle x^*, c(x) \rangle = \langle x, x^* \rangle \neq \langle y, x^* \rangle$ ,  $\langle x^*, c(y) \rangle$  so that  $c(x) \neq c(y)$ . Hence c is injective.

Now supose instead that c is injective and let  $x, y \in X$  with ||x - y|| = 0. We find that for any  $x^* \in X^*$ ,

$$|\langle x^*, c(x) - c(y) \rangle| = |\langle x^*, c(x - y) \rangle|$$

$$= |\langle x - y, x^* \rangle|$$

$$= \leq ||x^*|| ||x - y||$$

$$= 0$$

so that ||c(x) - c(y)|| = 0. Since  $X^{**}$  is a normed space, this implies c(x) = c(y), which through injectivity implies x = y. Hence we have the implication  $||x - y|| = 0 \implies x = y$ , so that X is a **NormedSpace**.

*Proof of 5.* Proceeding directly from the definition, we have

$$\begin{split} \left\langle x^*, \Omega_2^{-1} \circ c_{X/\mathcal{K}^{\text{ernel}}} \circ q(x) \right\rangle &= \left\langle x^*, \Omega^{\times} \circ c_{X/\mathcal{K}^{\text{ernel}}} \circ q(x) \right\rangle \\ &= \left\langle \Omega x^*, c_{X/\mathcal{K}^{\text{ernel}}} \circ q(x) \right\rangle \\ &= \left\langle q(x), \Omega x^* \right\rangle \\ &= \left\langle x, x^* \right\rangle \\ &= \left\langle x^*, c(x) \right\rangle \end{split}$$

So we are done.  $\Box$ 

Proof of 6. Since  $\Omega_2$  is a Bijection, by the prior part of this result, c is a surjection if and only if  $c_{X/\mathcal{K}^{\text{ernel}}} \circ q$  is a surjection where  $q: X \to X/\mathcal{K}^{\text{ernel}}$  is the **Quotient Map**. Since q is a surjection, c is a surjection if and only if  $c_{X/\mathcal{K}^{\text{ernel}}}$  is a surjection.

*Proof of 7.* This is a direct restatement of Part 06 of this result.  $\Box$ 

Definition 2.1.130 (Weak Topologies Relating To Seminormed and Normed Spaces). latex weat\*

Similar to in the context of a normed space, if X is a seminormed space, we define the weak topology on X to be the topology on X generated by  $X^*$ , and the  $weak^*$  topology on  $X^*$  to be the topology generated by c(X). Before moving on to the classical theory revamped, I present on more useful result about weak topologies of seminormed spaces.

**Proposition 2.1.131** (Weak Quotients). Let X be a seminormed space and  $\{Y_{\alpha}\}_{{\alpha}\in A}$  be a collection of topological spaces. For each  $\alpha\in A$  let  $\phi_{\alpha}:X\to Y_{\alpha}$  have the property that for every  $x,y\in X$ , for every  $\alpha\in A$ ,  $||x-y||=0 \implies \phi_{\alpha}(x)=\phi_{\alpha}(y)$ . For each  $\alpha\in A$ , define

 $\tilde{\phi}_{\alpha}: X/||\cdot||^{-1}\{0\} \to Y_{\alpha} \ by \ \tilde{\phi}_{\alpha}[x] = \phi_{\alpha}x. \ Let \ \mathcal{T}_{w} \ denote \ the \ weak \ topology \ on \ X \ induced \ by \ \{\phi_{\alpha}\}_{\alpha\in A}, \ and \ \mathcal{T}_{\tilde{w}} \ denote \ the \ weak \ topology \ on \ X/||\cdot||^{-1}\{0\} \ induced \ by \ \{\tilde{\phi}_{\alpha}\}_{\alpha\in A}. \ Then$ 

$$(X, \mathcal{T}_w)/||\cdot||^{-1} \{0\} = (X/||\cdot||^{-1} \{0\}, \mathcal{T}_{\tilde{w}})$$
(2.98)

Proof.

Finally, before we move on, recall that if X,Y are Topological vector spaces, we can topologize the set of continuous linear operators from X to Y, denoted BL(X,Y) by saying that  $\{T_{\alpha}\}_{{\alpha}\in A}\subset BL(X,Y)$  converges to  $T\in BL(X,Y)$  if there is a neighborhood U of 0 in X such that  $T_{\alpha}x\to Tx$  uniformly for  $x\in U$ .

#### 2.2 Classical Results With A Twist

By ?? and 2.1.131, many of the classical theorems relating a normed space and its duals still hold in the context of a seminormed space without too much alteration of the proofs. Since the author has not seen these results presented in this context, they are presented with proof below.

#### 2.2.1 Helly

In this subsection, we develop Helly's theorem in the context of a seminormed space, which will serve as valuable lemma throughout this document. Its location here is due to the fact that is a generalization of a lemma commonly used to prove the Goldstine Theorem.

**Theorem 2.2.1** (Helly's Theorem). Let  $(X, ||\cdot||)$  be a seminormed space M > 0,  $\{\alpha_i\}_{i=1}^n \subset \mathbb{R}$ , and  $\{x_i^*\}_{i=1}^n \subset X^*$ . Then the following are equivalent.

- 1. For every  $\epsilon > 0$ , there is an  $x_{\epsilon} \in X$  such that  $||x_{\epsilon}|| < M + \epsilon$  and  $\langle x, x_{i}^{*} \rangle = \alpha_{i}$  for  $1 \leq i \leq n$ .
- 2. For every  $\epsilon > 0$ , there is an  $x_{\epsilon} \in X$  such that  $||x_{\epsilon}|| \leq M$  and  $|\langle x_{\epsilon}, x_{i}^{*} \rangle \alpha_{i}| < \epsilon$  for  $1 \leq i \leq n$ .
- 3. For each  $\{\beta_i\}_{i=1}^n \subset \mathbb{C}$ ,

$$\left| \sum_{i=1}^{n} \beta_i \alpha_i \right| \le M \left| \left| \sum_{i=1}^{n} \beta_i x_i^* \right| \right| \tag{2.99}$$

*Proof.* (1  $\Longrightarrow$  2) Obvious since  $\{x_i^*\}_{i=1}^n \subset X^*$ .

*Proof.* (2  $\Longrightarrow$  3) Let  $\{\beta_i\}_{i=1}^n \subset \mathbb{C}$  and choose  $\epsilon > 0$ . Then

$$\left| \sum_{i=1}^{n} \beta_{i} \alpha_{i} \right| = \left| \sum_{i=1}^{n} \beta_{i} \left( \alpha_{i} - \langle x_{\epsilon}, x_{i}^{*} \rangle + \langle x_{\epsilon}, x_{i}^{*} \rangle \right) \right|$$

$$< \sum_{i=1}^{n} \epsilon \left| \beta_{i} \right| + \left| \left\langle x_{\epsilon}, \sum_{i=1}^{n} \beta_{i} x_{i}^{*} \right\rangle \right|$$

$$\leq \epsilon \sum_{i=1}^{n} \left| \beta_{i} \right| + M \left| \left| \sum_{i=1}^{n} \beta_{i} x_{i}^{*} \right| \right|$$

$$(2.100)$$

Since  $\epsilon$  was arbitrary, the desired inequality holds.

Proof. (3  $\Longrightarrow$  1) If each  $x_i^* = 0$ , then by 2.99, each  $\alpha_i = 0$ , so x = 0 works for any  $\epsilon$ . Otherwise, we without loss of generality reorder  $\{x_i^*\}_{i=1}^n$  so that  $\{x_i^*\}_{i=1}^m$  is linearly independent and  $span\{x_i\}_{i=1}^m = span\{x_i\}_{i=1}^n$ . Define  $S: X \to \mathbb{C}^m$  by  $Sx = [\langle x, x_1^* \rangle, \cdots, \langle x, x_m^* \rangle]$ , and recognize that linear independence of  $\{x_i\}_{i=1}^n$  guarantees that S is surjective, so there exists  $\tilde{x} \in S^{-1}\{[\alpha_1, \cdots, \alpha_m]\}$  and furthermore

$$S^{-1}\{[\alpha_1, \cdots, \alpha_m]\} = \tilde{x} + \bigcap_{i=1}^m kern(x_i^*) = \tilde{x} + \bigcap_{i=1}^n kern(x_i^*) := \tilde{x} + K$$
 (2.101)

If  $m < j \le n$ , then  $x_j^* \in span(x_i^*, \dots, x_n^*)$  so  $x_j = \sum_{i=1}^n \gamma_i x_i^*$ . Now we choose  $\beta_i = \gamma_i$  for  $1 \le i \le m$ ,  $\beta_j = -1$ , and other  $\beta_k' s = 0$ .

$$\left|\left\langle \tilde{x}, x_j^* \right\rangle - \alpha_j \right| = \left|\left\langle \tilde{x}, \sum_{i=1}^m \beta_i x_i^* \right\rangle - \alpha_j \right| = \left|\left(\sum_{i=1}^m \beta_i \left\langle \tilde{x}, x_i^* \right\rangle \right) + (-1)\alpha_j \right|$$
 (2.102)

$$= \left| \sum_{i=1}^{n} \beta_i \alpha_i \right| \tag{2.103}$$

$$\leq M \left\| \sum_{i=1}^{n} \beta_i x_i^* \right\| \tag{2.104}$$

$$= M \left\| \sum_{i=1}^{m} \gamma_i x_i^* + (-1)x_j^* \right\|$$
 (2.105)

Hence  $\langle x, x_i^* \rangle = \alpha_i$  for  $1 \leq i \leq n$  and any  $x \in \tilde{x} + K$ . By the Hahn Banach Theorem applied to the semi norm on X induced by the seminorm on the quotient space X/K, there is an  $x^* \in X^*$  such that  $\sup_{||x|| \neq 0} \frac{|\langle x, x^* \rangle|}{||x||} = 1$ ,  $\langle \tilde{x}, x^* \rangle = d(\tilde{x}, K)$ , and  $K \subset ker(x^*)$ . Since  $K \subset ker(x^*)$ ,  $x^* \in span\{x_i^*\}_{i=1}^n$ , say  $x^* = \sum_{i=1}^n \mu_i x_i^*$ . Hence,

$$d(\tilde{x}, K) = \langle \tilde{x}, x^* \rangle = \sum_{i=1}^n \mu_i \langle \tilde{x}, x_i^* \rangle$$

$$= \sum_{i=1}^n \mu_i \alpha_i$$

$$\leq M \left| \left| \sum_{i=1}^n \mu_i x_i^* \right| \right| = M$$
(2.106)

Thus, given  $\epsilon > 0$ , we find an  $z_{\epsilon} \in K$  such that  $||\tilde{x} - z_{\epsilon}|| < M + \epsilon$  and let  $x_{\epsilon} = \tilde{x} - z_{\epsilon}$ .

Corollary 2.2.2. Let X be a seminormed space,  $x^{**} \in X^{**}$ ,  $\{x_i^*\}_{i=1}^n \subset X^*$ , and  $\epsilon > 0$ . Then there exists the following.

1. An  $x_1 \in X$  such that  $||x_1|| \le ||x^{**}|| + \epsilon$  and for  $1 \le i \le n$ ,  $\langle x_1, x_i^* \rangle = \langle x_i^*, x^{**} \rangle$ .

2. An  $x_2 \in X$  such that  $||x_2|| \le ||x^{**}||$  and for  $1 \le i \le n$ ,  $|\langle x_1, x_i^* \rangle - \langle x_i^*, x^{**} \rangle| < \epsilon$ .

*Proof.* For  $1 \leq i \leq n$ , let  $\alpha_i = \langle x_i^*, x^{**} \rangle$ , and let  $\{\beta_i\}_{i=1}^n \subset \mathbb{C}$ . Then

$$\left| \sum_{i=1}^{n} \beta_i \alpha_i \right| = \left| \left\langle \sum_{i=1}^{n} \beta_i x_i^*, x^{**} \right\rangle \right| \le ||x^{**}|| \left| \left| \sum_{i=1}^{n} \beta_i x_i^* \right| \right|$$
 (2.107)

An application of 2.2.1 completes the proof.

#### 2.2.2 Goldstine

**Theorem 2.2.3** (Goldstine). Let X be a seminormed space,  $c: X \to X^{**}$  denote the canonical embedding, B denote the closed unit ball of X, and  $B_1$  denote the closed unit ball of  $X^{**}$ . Then c(B) is weak\* dense in  $B_1$ .

*Proof.* Note that the  $weak^*$  topology on  $X^{**}$  has as a basis sets of the form

$$U(x^{**}, \epsilon, \{x_i^*\}_{i=1}^n) := \{y^{**} \in X^{**} : (\forall 1 \le i \le n) (|\langle x_i^*, x^{**} - y^{**} \rangle| < \epsilon)$$
 (2.108)

where we range over all  $x^{**} \in X^{**}$ ,  $\epsilon > 0$ , and finite subsets  $\{x_i^*\}_{i=1}^n$  of  $X^*$ . Let  $x^{**} \in B_1$ ,  $\epsilon > 0$ , and  $\{x_i^*\}_{i=1}^n \subset X^*$ . Let  $\epsilon > 0$ . Then by 2.2.2, there exists an  $x \in B$  such that for  $1 \le i \le n$ ,  $|\langle x_i^*, x^{**} - c(x) \rangle| = |\langle x_i^*, x^{**} \rangle - \langle x, x_i^* \rangle| < \epsilon$ , from which the desired result follows.

#### 2.2.3 Banach Alaoglu

The following well known result concerning the  $weak^*$  compactness of the unit ball of a Banach space was first proven in the separable case by Banach, and then generalized in 1940 by Alaoglu [1] to Banach spaces. Generalizations of this result in a general TVS satisfying sufficient conditions have also been shown but the form presented here comes from [2], who drops the assumption of completeness for one direction of the implication.

**Theorem 2.2.4** (Banach-Alaoglu-Morales). Let X be a normed space and define  $B = \{x^* \in X^* : ||x^*|| \le 1\}$ . Then B is weak\* compact.

Proof. Let  $\mathbb{F}$  denote X's field, and for  $x \in X$ , define  $D_x = \{y \in \mathbb{F} : |y| \leq ||x||\}$ . Then each  $D_x$  is Hausdorff and compact so by Tychonoff's theorem,  $D := \prod_{x \in X} D_x$  is compact and Hausdorff when endowed with the product topology. If  $T \in D$ , then  $T : X \to \mathbb{F}$  and  $|Tx| \leq ||x||$  for each  $x \in X$ , so  $D \cap X^* \subset B$ . It is also clear that  $B \subset D$ , so  $D \cap X^* = B$ . Let  $\{\gamma_\alpha\}_{\alpha \in A}$  be a net in B converging to  $\gamma \in D$  in D's product topology. Then, letting  $\pi_x$  denote the  $x^{th}$  projection, for each  $x \in X$ ,

$$\gamma_{\alpha}(x) = \pi_x(\gamma_{\alpha}) \to \pi_x(\gamma) = \gamma(x)$$
 (2.109)

If  $\alpha \in \mathbb{F}$  and  $x, y \in X$ , then

$$\langle \alpha x + y, \gamma_{\alpha} \rangle \to \langle \alpha x + y, \gamma \rangle$$
 (2.110)

and also

$$\langle \alpha x + y, \gamma_{\alpha} \rangle = \alpha \langle x, \gamma_{\alpha} \rangle + \langle y, \gamma_{\alpha} \rangle \to \alpha \langle x, \gamma \rangle + \langle y, \gamma \rangle$$
 (2.111)

which implies  $\gamma$  is linear since D is Hausdorff, and hence  $\gamma \in B$ . Thus B is closed in D. What remains to be shown is that the weak\* topology on B is the subspace topology on B induced by D's topology, since a Closed subset of a compact Hausdorff space is compact. For notation, denote with  $\mathcal{T}_D$  the subspace topology on B induced by D's topology, and denote with  $\mathcal{T}_w$  the subspace topology on B induced by the weak\* topology on X\*. To see that  $\mathcal{T}_w \subset \mathcal{T}_D$ , let  $\{\gamma_\alpha\}_{\alpha \in A} \subset B$  such that  $\gamma_\alpha \stackrel{\mathcal{T}_D}{\to} \gamma$ . For each  $x \in X$ , letting c be the canonical embedding,

$$\langle \gamma_{\alpha}, c(x) \rangle = \langle x, \gamma_{\alpha} \rangle = \pi_x(\gamma_{\alpha}) \to \pi_x(\gamma) = \langle x, \gamma \rangle = \langle \gamma, c(x) \rangle$$
 (2.112)

Hence  $\gamma_{\alpha} \xrightarrow{\mathcal{T}_{w}} \gamma$ , so  $\mathcal{T}_{w} \subset \mathcal{T}_{D}$ . To see that  $\mathcal{T}_{D} \subset \mathcal{T}_{w}$ , fix  $x \in X$  and let  $\{\gamma_{\alpha}\}_{\alpha \in A} \subset B$  such that  $\gamma_{\alpha} \xrightarrow{\mathcal{T}_{w}} \gamma$ . Then  $\pi_{x}(\gamma_{\alpha}) = \langle x, \gamma_{\alpha} \rangle \to \gamma(x) = \pi_{x}(\gamma)$ , so by definition of the product topology  $\gamma_{\alpha} \xrightarrow{\mathcal{T}_{D}} \gamma$ , implying  $\mathcal{T}_{D} \subset \mathcal{T}_{w}$ . Hence B is  $weak^{*}$  is compact.

**Corollary 2.2.5** (Banach Alaoglu Seminorm). Let X be a seminormed space and define  $B = \{x^* \in X^* : ||x^*|| \le 1\}$ . Then B is weak\* compact.

*Proof.* This is a consequence of the fact that the  $weak^*$  topology on  $X^*$  is identical to the  $weak^*$  topology on the dual space of  $X/\left|\left|\cdot\right|\right|^{-1}\{0\}$ .

This gives us the useable result

Corollary 2.2.6 (Banach-Alaoglu-Morales). Let X be a seminormed space and  $C \subset X^*$ 

- 1. If X is complete and C weak\* compact, then C is weak\* closed and bounded.
- 2. If C is weak\* closed and bounded, then C is weak\* compact.

*Proof.* (1) Since C is  $weak^*$  compact, it is  $weak^*$  closed since the  $weak^*$  topology is Hausdorff. Since  $c(x):(X,\mathcal{T}_{w^*})\to\mathbb{C}$  is continuous for each  $x\in X$ , for each  $x\in X$ , c(x)(C)= is compact and therefore bounded. Hence, for every  $x\in X$ ,  $\{|\langle x,c\rangle|:c\in C\}$  is bounded, so by the Banach Steinhaus, C is bounded.

*Proof.* (2) Since C is bounded, it is contained in some closed ball B which we know to be  $weak^*$  compact by 2.2.4. Since the  $weak^*$  topology on B is compact and Hausdorff and C is closed in this topology, it is compact in this topology. Since the subspace topology on C induced by the  $weak^*$  topology on  $X^*$  equals this topology, we are done.

#### 2.2.4 Eberlein-Smulian

The purpose of this section is to provide a characterization of weakly compact subsets of a complete seminormed space X, which will serve to increase the applicability of the results regarding weakly compactly generated spaces covered later in this document. The first main result of this section, 2.2.9, serves to show that even though weak topologies of Banach Spaces are not in general metrizable, an equivalence between weak compactness and sequential compactness exists. From this result  $(1 \implies 2)$  was first presented in the case of normed

spaces in [3], and then  $(2 \implies 1)$  was proven in the case of normed spaces in [4]. Several different proofs have been given in the years since, and the one present here is based on that present in [5], which is also followed in [6]. We begin with a few lemmas.

**Lemma 2.2.7** (Metrizable Weak). If X is a seminormed space and  $X^*$  contains a countable set that separates points mod  $K := ||\cdot||^{-1} \{0\}$ , then subspace topology induced by the weak topology on any weakly compact subset A of X is pseudometrizable.

*Proof.* As a consequence of 2.1.55 and 2.1.131, it is sufficient to let X be a normed space and  $\{x_i^*\}_{i\in\mathbb{N}}$  separate points in X. Let  $M=2\sup_{x\in A}||x||$ , and define d to be the metric on A defined by, for  $x,y\in A$ ,

$$d(x,y) = \sum_{k \in \mathbb{N}} \frac{|\langle x - y, x_k^* \rangle|}{||x_k^*|| \, 2^k}$$
 (2.113)

Let  $x \in A$ ,  $\epsilon > 0$  be arbitrary, and define

$$n = \left[2 + \log_2\left(\frac{M}{\epsilon}\right)\right] \qquad U = A \cap \bigcap_{k=1}^n \left\{y \in X : |\langle x - y, x_k^* \rangle| < \frac{||x_k^*|| \, 2^{k-1} \epsilon}{n}\right\} \quad (2.114)$$

The U is open in the subspace topology on A induced by X's weak topology. Furthermore, if  $y \in U$ , then

$$d(x,y) = \sum_{k \in \mathbb{N}} \frac{|\langle x - y, x_k^* \rangle|}{||x_k^*|| \, 2^k}$$

$$\leq \sum_{k=1}^n \frac{|\langle x - y, x_k^* \rangle|}{||x_k^*|| \, 2^k} + \sum_{k=n+1}^\infty \frac{2M}{2^k}$$

$$< \sum_{k=1}^n \frac{\epsilon}{2n} + \frac{M}{2^{n-1}} < \epsilon$$
(2.115)

So that  $U \subset B_d(x; \epsilon)$ . This implies  $Id : (A, \mathcal{T}_w) \to (A, \mathcal{T}_d)$  is continuous. Since a continuous injection from a compact space into a Hausdorff space is a homeomorphism, the subspace topology on A induced by the weak topology equals the topology on A induced by d, and so A's weak topology is metrizable.

**Lemma 2.2.8.** Let X be a seminormed space and  $Y \subset X^{**}$  be a finite dimensional vector subspace. Then there exists a finite set  $Z \subset \partial B_{X^*}(0;1)$  such that for each  $y^{**} \in Y$ ,

$$||y^{**}|| \le 2 \max_{z^* \in Z} |\langle z^*, y^{**} \rangle|$$
 (2.116)

*Proof.* Let  $S = \partial B_{X^{**}}(0;1) \cap Y$ . Then, since Y is finite dimensional, S is compact, and therefore permits a  $\frac{1}{4} - net \ \{s_i\}_{i=1}^n$ . Now let  $\{z_k^*\}_{k=1}^n \subset \partial B_{X^*}(0;1)$  such that for each k,  $\langle z_k^*, s_i \rangle > \frac{3}{4}$ . Let  $s \in S$  then there is a k such that  $||s - s_k|| < \frac{1}{4}$ . for this k, we have

$$\langle z_k^*, s \rangle = \langle z_k^*, s_k \rangle + \langle z_k^*, s - s_k \rangle \ge \frac{3}{4} - \frac{1}{4} = \frac{1}{2}$$
 (2.117)

**Theorem 2.2.9** (Eberlein-Smulian). Let X be a seminormed space and  $A \subset X$ . Then the following are equivalent.

- 1. A is weakly compact.
- 2. A is weakly sequentially compact.

<u>Proof.</u> (1 ⇒ 2) Let  $A \subset X$  be weakly compact, and let  $\{x_i\}_{i \in \mathbb{N}} \subset A$ . Define  $S = span\{x_i : i \in \mathbb{N}\}$ . Since S is closed and convex, it is weakly closed, and so  $A \cap S$  is weakly compact as well. By construction, S is separable, and so contains a countable dense set  $\{y_i\}_{i \in \mathbb{N}}$ . By Hahn-Banach, for each  $i \in \mathbb{N}$ , there exists  $y_i^* \in S^*$  such that  $\langle y_i, y_i^* \rangle = 1$ , and continuity of each  $y_i^*$  implies  $\{y_i^*\}_{i \in \mathbb{N}}$  separates points in S mod  $||\cdot||^{-1}\{0\}$ . Hence we can apply 2.2.7 to claim that the subspace topology on  $A \cap S$  induced by S's weak topology is metrizable, and therefore  $\{x_i\}_{i \in \mathbb{N}}$  has a sub-sequence  $\{x_{n_i}\}_{i \in \mathbb{N}}$  which is weakly S-convergent, and therefore weakly X-convergent since subspace topologies are no less fine than the topologies that induce them. Since  $A \subset X$  is weakly closed, this sequence converges within A, and so A is weakly sequentially compact.

Proof. (2  $\Longrightarrow$  1). Let  $A \subset X$  be weakly sequentially compact, let c denote the canonical embedding of X into  $X^{**}$ , and let  $x^{**}$  in the  $weak^*$  closure of c(A). Let  $x_1^1 \in X^*$  have norm 1. By assumption, there exists  $a_1^{**} \in c(A)$  such that  $|\langle x_1^*, x^{**} - a_1^{**} \rangle| < 1$ . By 2.2.8, there exists  $\{x_1^2, \dots, x_{n_2}^2\} \subset \partial B_{X^*}(0;1)$  such that for each  $y^{**} \in span\{x^*, x^{**} - a_1^{**}\}$ ,

$$||y^{**}|| \le 2 \max_{1 \le k \le n_2} |\langle x_k^2, y^{**} \rangle|$$
 (2.118)

Also, since  $x^{**}$  is in the weak\* closure of c(A), there exists  $a_2^{**} \in c(A) \cap U_2$  where

$$U_2 = \{ y^{**} \in X^{**} : (\forall 1 \le j \le 2) (\forall 1 \le k \le n_j) (\left| \left\langle x_k^j, x^{**} - y^{**} \right\rangle \right| < \frac{1}{2}) \}$$
 (2.119)

Continuing inductively, , we construct a sequence  $\{a_n^{**}\}_{n\in\mathbb{N}}\subset c(A)$  such that for each  $j\in\mathbb{N}$ ,  $\{x_k^j\}_{k=1}^{n_j}\subset\partial B_{X^*}(0;1)$  such that for every  $y^{**}\in span\left\{x^{**},x^{**}-a_1^{**},\cdots,x^{**}-a_{j-1}^{**}\right\}$ , we have

$$||y^{**}|| \le 2 \max_{1 \le k \le n_j} |\langle x_k^j, x^{**} - y^{**} \rangle|$$
 (2.120)

and  $a_j^{**} \in c(A) \cap U_j$  where  $U_j$  is the  $\{x_k^m\}_{1 \leq m \leq j, 1 \leq k \leq n_m}$  weak\* neighborhood about  $x^{**}$  of radius  $\frac{1}{j}$ . For each  $k \in \mathbb{N}$ , let  $a_k = c^{-1}(c(a_k))$ . Since A is sequentially weakly compact,  $\{a_k\}_{k \in \mathbb{N}}$  has a weak cluster point  $x \in A$ . Also,  $x \in \overline{span\{a_i\}_{i \in \mathbb{N}}}$  because this is a weakly closed set, implying  $c(x) \in \overline{span\{a_i^{**}\}_{i \in \mathbb{N}}}$ , which then implies  $c(x) \in \overline{span\{x^{**}, x^{**} - a_1^{**}, x^{**} - a_2^{**}, \cdots\}}$ . By continuity of the norm and each element of  $\{x_i^k\}_{k \in \mathbb{N}, 1 \leq i \leq n_k}$ , we conclude that for each element  $y^{**}$  of  $\overline{\{x^{**}, x^{**} - a_1^{**}, x^{**} - a_2^{**}, \cdots\}}$ ,

$$||y^{**}|| \le 2 \sup_{k \in \mathbb{N}, 1 \le i \le n_k} \left| \left\langle x_i^k, y^{**} \right\rangle \right| \tag{2.121}$$

This is useful, because for each  $k \in \mathbb{N}$ ,  $1 \le i \le n_k$ , we have, for large enough j,

$$\left| \left\langle x_i^k, x^{**} - c(x) \right\rangle \right| \le \left| \left\langle x_i^k, x^{**} - a_j^{**} \right\rangle \right| + \left| \left\langle a_j^{**} - c(x), x_i^k \right\rangle \right|$$

$$\le \frac{1}{j} + \left| \left\langle x_i^k, a_j - x \right\rangle \right|$$

$$(2.122)$$

which can be made arbitrarily small, and so  $\left|\left\langle x_i^k, x^{**} - c(x)\right\rangle\right| = 0$ , implying that

$$||x^{**} - c(x)|| \le 2 \sup_{k \in \mathbb{N}, 1 \le i \le n_k} |\langle x_i^k, x^{**} - x \rangle| = 0$$
 (2.123)

So  $x^{**} = c(x)$ , and therefore c(A) is  $weak^*$  closed. Since A is weakly-sequentially compact, c(A) is  $weak^*$  sequentially compact and therefore bounded by Banach Steinhaus. By 2.2.4, bounded  $weak^*$  closed sets are compact, and so c(A) is  $weak^*$  compact. Since the weak topology on  $A/||\cdot||^{-1}\{0\}$  is the same as the  $weak^*$  topology on c(A),  $A/||\cdot||^{-1}\{0\}$  is weakly compact. To see that A is weakly compact, apply ??.

#### 2.2.5 Bishop-Phelps

In this subsection, I develop a result due to [7] which will prove useful throughout this document. I begin by presenting the concept of a convex cone and a trio of lemmas which are commonly utilized in the proof of this result.

**Definition 2.2.10** (Convex Cone). Let X be a seminormed space over  $\mathbb{R}$ . If  $K \subset X$  is convex and closed under positive scalar multiples, then we call it a **convex cone**. If J is a convex cone in X,  $C \subset X$ ,  $x_0 \in C$ , and  $(J + x_0) \cap C = \{x_0\}$ , then we say that J **supports** C at  $x_0$ . If  $x^* \in \partial B_{X^*}(0;1)$  and  $\alpha > 0$  then we define

$$K(x^*, \alpha) := \{ x \in X : ||x|| \le \alpha \langle x, x^* \rangle \}$$
 (2.124)

**Remark 2.2.11.** Let X be a seminormed space,  $x^* \in \partial B_{X^*}(0;1)$ , and  $\alpha > 0$ . The following are true.

- 1.  $K(x^*, \alpha)$  is a closed convex cone.
- 2. If  $\alpha > 1$ ,  $Int(K(x^*, \alpha)) \neq \emptyset$ .

*Proof.* (1) If  $\{x_n\} \subset K(x^*, \alpha)$  converges, say  $x_n \to x$ , then continuity of  $x^*$  implies  $\langle x_n, x^* \rangle \to \langle x, x^* \rangle$ . Hence, given  $\epsilon > 0$ , there exists N > 0 such that for n > N we have  $max(|||x|| - ||x_n|||, |\langle x - x_n, x^* \rangle \to \epsilon$ , so that for all n > N,

$$||x|| \le ||x_n|| + \epsilon < \alpha \langle x_n, x^* \rangle + \epsilon < \alpha \langle x, x^* \rangle + (\alpha + 1)\epsilon$$
(2.125)

So  $x \in K(x^*, \alpha)$  closedness is verified. It is obvious that  $K(x^*, \alpha)$  is closed under positive scalar multiples, and for convexity, if  $x, y \in K(x^*, \alpha)$  and  $t \in [0, 1]$ , then

$$||tx + (1-t)y|| \le t ||x|| + (1-t)||y|| \le t\alpha \langle x, x^* \rangle + (1-t)\alpha \langle y, x^* \rangle = \alpha \langle tx + (1-t)y, x^* \rangle$$
(2.126)

Proof. (2) By definition of the norm on  $X^*$ , there is an  $x \in \overline{B_X(0;1)}$  such that  $2/\left(\alpha\left(1+\frac{1}{\alpha}\right)\right) < \langle x, x^* \rangle$ , implying by linearity that  $1/\alpha < \left\langle \frac{1+(1/\alpha)}{2}x, x^* \right\rangle$ . By continuity of  $x^*$  we find a neighborhood U of  $\left(1+\frac{1}{\alpha}\right)/2$  contained in  $B_X(0;1)$  such that for each  $y \in U$ ,  $1/\alpha < \langle y, x^* \rangle$ . This implies  $||y|| \le 1 < \alpha \langle y, x^* \rangle$ , so  $U \subset K(x^*, \alpha)$ .

**Lemma 2.2.12** (Bishop-Phelps Lemma). Let X be a complete seminormed space,  $x^*, y^* \in \partial B_{X^*}(0;1)$ ,  $C \subset X$  closed and convex,  $1 > \epsilon > 0$ , and  $k > 1 + \frac{2}{\epsilon}$ . The following are true.

- 1. If  $x^*$  is bounded on C, then for each  $z \in C$ , there is an  $x_0 \in X$  such that  $K(x^*, \epsilon)$  supports C at  $x_0$  and  $x_0 \in K(x^*, \epsilon) + z$ .
- 2. If  $|\langle x, y^* \rangle| \leq \frac{\epsilon}{2}$  for each  $x \in Kern(x^*) \cap \overline{B_X(0; 1)}$ , then

$$min(||x^* + y^*||, ||x^* - y^*||) \le \epsilon$$
 (2.127)

3. If  $y^*$  is nonnegative on  $K(x^*, k)$ , then  $||x^* - y^*|| \le \epsilon$ .

Proof. (1) Let  $x^*$  be bounded on C and define, for  $x, y \in X$ ,  $y \lesssim x$  if and only if  $x - y \in K(x^*, \epsilon)$ . Fix  $z \in C$ . Define  $Z = C \cap (K(x^*, \epsilon) + z)$ . Since C and  $K(x^*, \epsilon)$  are closed, so is Z. Let  $C = \{x_{\alpha}\}_{\alpha \in A}$  be a chain in where  $(A, \leq)$  is a totally ordered set and  $x_{\alpha} \lesssim x_{\beta} \iff \alpha \leq \beta$ . If  $x_{\alpha}, x_{\beta} \in C$ , where  $x_{\beta} \lesssim x_{\alpha}$ , then  $x_{\alpha} - x_{\beta} \in K(x^*, \epsilon)$ , so  $0 \leq ||x_{\alpha} - x_{\beta}|| \leq \epsilon \langle x_{\alpha} - x_{\beta}, x^* \rangle$ , implying  $\langle x_{\beta}, x^* \rangle \leq \langle x_{\alpha}, x^* \rangle$ . Thus we conclude  $\{\langle x_{\alpha}, x^* \rangle\}_{\alpha \in A}$  is a monotone bounded net in  $\mathbb{R}$  that is therefore Cauchy, which by the following inequality

$$||x_{\beta} - x_{\alpha}|| \le \epsilon \langle x_{\alpha} - x_{\beta}, x^* \rangle = \epsilon (\langle x_{\alpha}, x^* \rangle - \langle x_{\beta}, x^* \rangle) \to 0$$
 (2.128)

implies  $\mathcal{C}$  is a Cauchy net and therefore converges, say  $x_{\alpha} \to y_0 \in Z$ . Continuity of the norm and  $x^*$  imply together that  $y_0$  is an upper bound for  $\mathcal{C}$ . Since  $\mathcal{C}$  was an arbitrary chain in Z, Z has a maximal element  $x_0$ . By definition,  $x_0 \in Z := K(x^*, \epsilon) + z$ . Since  $x_0 \in Z \subset C$ ,  $x_0 \in C$ . Further, since  $0 \in K(x^*, \epsilon)$ ,  $x_0 \in K(x^*, \epsilon) \cap C$ . Let  $y \in (K(x^*, \epsilon) + x_0) \cap C$ . Then  $y - x_0 \in K(x^*, \epsilon)$  so that  $z \lesssim x_0 \lesssim y$ , meaning  $y \in Z$  and therefore  $y = x_0$  since  $x_0$  is maximal. Hence  $(K(x^*, \epsilon) + x_0) \cap C = \{x_0\}$ , so we are done.

*Proof.* (2) By assumption,  $||y^*|_{Kern(x^*)}|| \leq \frac{\epsilon}{2}$ , so by the Hahn-Banach theorem, we can find a  $\tilde{y^*} \in X^*$  extending  $y^*|_{Kern(x^*)}$  such that  $||\tilde{y^*}|| \leq \frac{\epsilon}{2}$ . Since  $y^* - \tilde{y^*} \neq 0$ ,  $codim(kern(x^*)) = 1$ , and  $kern(x^*) \subset kern(y^* - \tilde{y^*})$ , we conclude  $kern(y^* - \tilde{y^*}) = kern(x^*)$ . Hence, for some  $\alpha \in \mathbb{R}$ ,  $y^* - \tilde{y^*} = \alpha x^*$ . For this alpha, we have

$$|1 - |\alpha|| = |||y^*|| - ||\tilde{y^*} - y^*||| \le ||\tilde{y^*}|| \le \frac{\epsilon}{2}$$
 (2.129)

If  $\alpha \geq 0$ ,

$$||x^* - y^*|| = ||x^* - (\alpha x^* + \tilde{y^*})|| = ||(1 - \alpha) x^* - \tilde{y^*}|| \le |1 - \alpha| + ||\tilde{y^*}|| \le \epsilon$$
 (2.130)

If  $\alpha \leq 0$ , then

$$||x^* + y^*|| = ||x^* + (\alpha x^* + \tilde{y^*})|| = ||(1+\alpha)x^* + \tilde{y^*}|| \le |1+\alpha| + ||\tilde{y^*}|| \le \epsilon$$
 (2.131)

*Proof.* (3) Since  $||x^*|| = 1$ , there exists  $x \in \partial B_X(0;1)$  such that  $\langle x, x^* \rangle > \frac{1}{k} \left(1 + \frac{2}{\epsilon}\right)$ . If  $y \in Kern(x^*) \cap \overline{B_X(0;1)}$ , then

$$\left| \left| x \pm \frac{2}{\epsilon} y \right| \right| \le 1 + \frac{2}{\epsilon} < k \langle x, x^* \rangle = k \left\langle x \pm \frac{2}{\epsilon} y, x^* \right\rangle$$
 (2.132)

so  $x\pm\frac{2}{\epsilon}y\in K(x^*,k)$ , so by assumption  $\langle x\pm\frac{2}{\epsilon}y,y^*\rangle\geq 0$ . Since this occurs for both positive and negative,  $|\langle y,y^*\rangle|=\frac{\epsilon}{2}\left|\langle\frac{2}{\epsilon}y,y^*\rangle\right|\leq\frac{\epsilon}{2}\left\langle y^*,x\right\rangle\leq\frac{\epsilon}{2}||x||=\frac{\epsilon}{2}$ . Hence by part 2, either  $||x^*-y^*||\leq\epsilon$ , or  $||x^*+y^*||\leq\epsilon$ . Since  $||x^*||=1$ , there exists  $x\in\partial B_X(0;1)$  such that  $\frac{||x||}{k}\leq\max\left(\epsilon,\frac{1}{k}\right)<\langle x,x^*\rangle$ , so that  $x\in K(x^*,k)$ , implying  $\langle x,y^*\rangle\geq 0$ , and therefore  $\epsilon<\langle x_0,x^*+y^*\rangle\leq||x^*+y^*||$ . Hence we conclude  $||x^*-y^*||\leq\epsilon$ .

**Theorem 2.2.13** (Bishop-Phelps Theorem). Let X be a complete seminormed space,  $C \subset X$  be closed, bounded, and convex, and define  $M := \{ f \in X^* | (\exists x_0 \in C) (\langle x_0, f \rangle = \sup_{x \in C} \langle x, f \rangle) \}$ . Then  $\overline{M} = X^*$ 

Proof. Since M is a vector subspace independent of translations of C, we assume without loss of generality that  $0 \in C$  and that it is sufficient to show that M is dense in  $\partial B_{X^*}(0;1)$ . Let  $x^* \in \partial B_{X^*}(0;1)$ . Let  $\epsilon \in (0,1)$  and let  $1+\frac{2}{\epsilon} < k$ . by 2.2.11,  $K(x^*,k)$  is a closed convex cone with nonempty interior. Applying 2.2.12, part one, there is  $x_0 \in C$  with  $x_0 \in K(x^*,k)$  and  $(K(x^*,k)+x_0) \cap C = \{x_0\}$ . By Hahn Banach, there exists  $y^* \in \partial B_{X^*}(0;1)$  satisfying

$$\sup_{x \in C} \langle x, y^* \rangle = \langle x_0, y^* \rangle = \inf_{x \in K(x^*, k) + x_0} \langle x, y^* \rangle = \inf_{\tilde{x} \in K(x^*, k)} \langle \tilde{x}, y^* \rangle + \langle x_0, y^* \rangle$$
(2.133)

Hence  $y^*$  is positive on  $K(x^*, k)$ , so by 2.2.12 part 3,  $||x^* - y^*|| < \epsilon$ , so we are done since  $y^* \in M$  and  $x^*$  was arbitrary.

#### 2.3 Reflexivity

Recall that a seminormed space X is said to be **reflexive** if  $c(X) = X^{**}$ . Since  $X^{**}$  is always complete and c an isometry, any reflexive space is always complete. Due to the Banach-Alaoglu theorem, in reflexive space X, the closed unit ball of X is weakly compact. For this reason and others, reflexivity is a condition of interest to many mathematicians. We begin with a basic result.

**Lemma 2.3.1** (Reflexive Separable). Let X be a complete seminormed space. Then the following are equivalent.

- 1. X is reflexive.
- 2. The closed unit ball of X is weakly compact.
- 3. Each closed separable subspace of X is reflexive.
- 4. All collections of closed, bounded, convex sets in X have the finite intersection property
- 5. The closed unit ball of X is weakly sequentially compact

*Proof.*  $(1 \Longrightarrow 2)$  Let X be reflexive. By 2.2.9 it is sufficient to show that any sequence  $\{x_i\}_{i\in\mathbb{N}}\subset \overline{B_X(0;1)}$  has a weak cluster point  $x\in \overline{B_X(0;1)}$ . Since  $\overline{B_{X^{**}}(0;1)}$  is  $weak^*$  compact,

 $\{c(x_i)\}_{i\in\mathbb{N}}$  has a subsequence  $\{c(x_{n_i})\}_{i\in\mathbb{N}}$  such that  $c(x_{n_i})\stackrel{w^*}{\to} \tilde{x}\in \overline{B_{X^{**}}(0;1)}$ . Since X is reflexive, for some  $x\in \overline{B_X(0;1)}$ ,  $c(x)=\tilde{x}$ . Let  $x^*\in X^*$ . Then,

$$|\langle x_{n_i} - x, x^* \rangle| = |\langle x^*, c(x_{n_i}) - \tilde{x} \rangle| \to 0$$
(2.134)

as  $i \to \infty$ , and so  $x_{n_i} \stackrel{w}{\to} x$ , completing the proof.

Proof. (2  $\Longrightarrow$  3) Suppose the closed unit ball of X is weakly compact, and let  $x^{**} \in \overline{B_{X^{**}}(0;1)}$ . By 2.2.3, there is a sequence  $\{x_n\}_{n\in\mathbb{N}} \subset \overline{B_X(0;1)}$  such that  $c(x_n) \stackrel{w^*}{\to} x^{**}$ . By assumption, there is a subsequence  $\{x_{n_k}\}_{k\in\mathbb{N}}$  such that  $x_{n_k} \stackrel{w}{\to} x \in \overline{B_X(0;1)}$ . This implies  $c(x_{n_k}) \stackrel{w^*}{\to} c(x)$ , and so since the weak\* topology is Hausdorff,  $x^{**} = c(x) \in c\left(\overline{B_X(0;1)}\right)$ .  $\square$ 

*Proof.* (2  $\Longrightarrow$  3). Let X be reflexive and  $S \subset X$  be a closed separable vector subspace. Let  $\{x_i\}_{i\in\mathbb{N}} \subset \overline{B_X(0;1)}$ . By assumption this sequence has an X-weakly convergent subsequence,  $x_{n_k} \to x \in X$ . Since S is weakly closed,  $x \in S$ , and since the weak topology on X is finer than that on S,  $x_{n_k} \stackrel{S-w}{\to} x$ . By 2.2.9 and (2  $\Longrightarrow$  1, S is reflexive.

Proof. (3  $\Longrightarrow$  2) Let  $\{x_n\}_{n\in\mathbb{N}}\subset \overline{B_X(0;1)}$ . Then since  $S:=\overline{span\{x_i\}_{i\in\mathbb{N}}}$  is a closed separable subspace,  $\{x_n\}_{n\in\mathbb{N}}$  has an S-weakly convergent subsequence,  $x_{n_k}\overset{S-w}{\to}x\in S$ . If  $x^*\in X^*$ , then  $x^*|_S\in S^*$ , so that  $|\langle x-x_{n_k},x^*\rangle|\to 0$ , implying  $x_{n_k}\overset{w}{\to}x$ , an application of 2.2.9 finishes the proof.

*Proof.*  $(4 \iff 2)$  Obvious since closed convex sets are weakly closed.

Proof. 
$$(5 \iff 2)$$
 Apply 2.2.9.

#### 2.3.1 James

As an easy application of 2.2.4 and 2.2.9, for a reflexive space X, all  $x^* \in X^*$  attain their norm. The converse of this fact was, for a time, an open question of considerable interest. The converse of this result was, as is traditional in mathematics, proven in a piecemeal manner. The result was first tackled by James in [8] under the added assumption that every space Y isomorphic to X has the property that each  $y^* \in Y^*$  attains its norm and that X permits a Shauder basis. This result was rapidly improved by Klee in [9] who dropped the assumption of a Schauder basis, and then by James again in [10] who proved the result in the case of a separable space X. The question of the converse in a Banach space was finally answered to the affirmative in [11], building on the arguments in [10]. This paper included generalizations all the way to quasi-complete locally convex TVS's.

**Definition 2.3.2.** If X is a topological vector space and  $\{x_i^*\}_{i\in\mathbb{N}}\subset X^*$ , then  $CoLim\{x_i^*\}_{i\in\mathbb{N}}$  is the set of all  $x^*\in X^*$  such that for every  $x\in X$ ,

$$\liminf_{i \to \infty} \langle x, x_i^* \rangle \le \langle x, x^* \rangle \le \limsup_{i \to \infty} \langle x, x_i^* \rangle \tag{2.135}$$

**Remark 2.3.3** (CoLim Nonempty). Let X be a complete seminormed space and let  $\{x_i^*\}_{i\in\mathbb{N}}\subset X^*$  be bounded. Then  $CoLim\{x_i^*\}_{i\in\mathbb{N}}\neq\emptyset$ 

*Proof.* Since  $\{x_i^*\}_{i\in\mathbb{N}}$  is bounded, it has a subsequence with a  $weak^*$  limit  $x^*$  who must live in  $CoLim\{x_i^*\}_{i\in\mathbb{N}}$ .

**Lemma 2.3.4.** Let X be a complete seminormed space,  $\alpha \in (0,1)$ ,  $\{x_i^*\}_{i\in\mathbb{N}} \subset X^*$ , and  $\{\beta_i\}_{i\in\mathbb{N}} \subset (0,1)$  such that  $\sum_{i\in\mathbb{N}} \beta_i = 1$ . Then if (1) or (3) hold below, there are  $\{y_i^*\}_{i\in\mathbb{N}} \subset X^*$  and  $\gamma \geq \alpha$  such that (2) or (4) hold respectively.

- 1.  $\{x_i^*\}_{i\in\mathbb{N}}\subset \partial B_{X^*}(0;1)$  such that  $d(0,\overline{co}\{x_i^*\}_{i\in\mathbb{N}})\geq \alpha$ .
- 2.  $\gamma \leq 1$ , and for each  $i \in \mathbb{N}$ ,

$$y_i^* \in \overline{co}\{x_j^*\}_{j \ge i} \qquad \left\| \sum_{j \in \mathbb{N}} \beta_j y_j^* \right\| = \gamma \qquad \left\| \sum_{j=1}^i \beta_j y_j^* \right\| < \gamma \left( 1 - \alpha \left( \sum_{j=i+1}^\infty \beta_j \right) \right)$$
(2.136)

- 3.  $\{x_i^*\}_{i\in\mathbb{N}}\subset \overline{B_{X^*}(0;1)}$  such that  $d(\overline{co}\{x_i^*\}_{i\in\mathbb{N}}-CoLim\{x_i^*\}_{i\in\mathbb{N}},0)\geq \alpha$ .
- 4.  $\gamma \leq 2$ ,  $\{y_i^*\}_{i \in \mathbb{N}} \subset \overline{B_{X^*}(0;1)}$ , and for each  $i \in \mathbb{N}$ ,  $y^* \in CoLim\{y_i^*\}_{i \in \mathbb{N}}$ ,

$$\left\| \sum_{j \in \mathbb{N}} \beta_j \left( y_j^* - y^* \right) \right\| = \gamma \qquad \left\| \sum_{j=1}^i \beta_j \left( y_j^* - y^* \right) \right\| < \gamma \left( 1 - \alpha \left( \sum_{j=i+1}^\infty \beta_j \right) \right) \quad (2.137)$$

*Proof.* (1  $\Longrightarrow$  2) There exists a positive sequence  $\{\delta_i\}_{i\in\mathbb{N}}$  such that

$$\sum_{i \in \mathbb{N}} \frac{\beta_i \delta_i}{\left(\sum_{j=i+1}^{\infty} \beta_i\right) \left(\sum_{j=i}^{\infty} \beta_i\right)} < 1 - \alpha \tag{2.138}$$

Let  $\gamma_1 \in \mathbb{R}$  and choose  $y_1^* \in \overline{co}\{x_i^*\}_{i \in \mathbb{N}}$  such that  $\gamma_1 = d\left(0, \overline{co}\{x_i^*\}_{i \in \mathbb{N}}\right)$  and  $||y_1^*|| \leq \gamma_1 (1 + \delta_1)$ . From here, for each  $n \geq 1$ , define  $\gamma_{n+1} \in \mathbb{R}$  and choose  $y_{n+1}^* \in \overline{co}\{x_i^*\}_{i \geq n+1}$  such that

$$\gamma_{n+1} = \inf \left\{ \left\| \left( \sum_{i=1}^{n} \beta_i y_i^* \right) + \left( 1 - \sum_{i=1}^{n} \beta_i \right) y^* \right\| : y^* \in \overline{co} \{x_i^*\}_{i \ge n+1} \right\}$$
 (2.139)

and

$$\left\| \sum_{i=1}^{n} \beta_i y_i^* + \left( 1 - \sum_{i=1}^{n} \beta_i \right) y_{n+1}^* \right\| < \gamma_{n+1} \left( 1 + \delta_{n+1} \right)$$
 (2.140)

It is clear that since the set over which we are taking the infimum never gets larger, so  $\{\gamma_i\}_{i\in\mathbb{N}}$  is a nondecreasing sequence. Further, since  $\{x_i^*\}_{i\in\mathbb{N}}\subset \overline{B_{X^*}(0;1)}$  and  $\sum_{i\in\mathbb{N}}\beta_i=1$ , we have, for every i,

$$\alpha \le \gamma_i \nearrow \gamma = \left\| \sum_{k \in \mathbb{N}} \beta_k y_k^* \right\| \le 1 \tag{2.141}$$

So what is left to be shown is that for every  $i \in \mathbb{N}$ .

$$\left\| \sum_{j=1}^{i} \beta_j y_j^* \right\| < \gamma \left( 1 - \alpha \left( \sum_{j=i+1}^{\infty} \beta_j \right) \right)$$
 (2.142)

Let  $i \in \mathbb{N}$ . Then,

$$\left\| \sum_{j=1}^{i} \beta_{j}(y_{j}^{*} - y^{*}) \right\| = \left\| \left( \left( \sum_{j=i}^{\infty} \beta_{j} \right) \left( \sum_{j=1}^{i-1} \beta_{j} y_{j}^{*} \right) \right) + \left( \left( \sum_{j=i}^{\infty} \beta_{j} \right) (\beta_{i} y_{i}^{*}) \right) \right\|$$

$$= \left\| \left( \left( \frac{\lambda_{i} + \sum_{j=i+1}^{\infty} \beta_{j}}{\sum_{j=i}^{\infty} \beta_{j}} \right) \left( \sum_{j=1}^{i-1} \beta_{j} y_{j}^{*} \right) \right) + \left( \left( \frac{\beta_{i} \sum_{j=i}^{\infty} \beta_{j}}{\sum_{j=i}^{\infty} \beta_{j}} \right) (y_{i}^{*}) \right) \right\|$$

$$\leq \frac{\beta_{i}}{\sum_{j=i}^{\infty} \beta_{j}} \left\| \sum_{j=1}^{i-1} \beta_{j} y_{j}^{*} + \left( \sum_{j=i}^{\infty} \beta_{j} \right) y_{i}^{*} \right\| + \frac{\sum_{j=i+1}^{\infty} \beta_{j}}{\sum_{j=i}^{\infty} \beta_{j}} \left\| \sum_{j=1}^{i-1} \beta_{j} y_{j}^{*} \right\|$$

$$\leq \frac{\beta_{i}}{\sum_{j=i}^{\infty} \beta_{j}} \left\| \sum_{j=1}^{i=1} \beta_{j} y_{j}^{*} + \left( 1 - \sum_{j=1}^{i-1} \beta_{j} \right) y_{i}^{*} \right\| + \frac{\sum_{j=i+1}^{\infty} \beta_{j}}{\sum_{j=i}^{\infty} \beta_{j}} \left\| \sum_{j=1}^{i-1} \beta_{j} y_{j}^{*} \right\|$$

$$< \frac{\beta_{i}}{\sum_{j=i}^{\infty} \beta_{j}} (\gamma_{i}) (1 + \delta_{i}) + \frac{\sum_{j=i+1}^{\infty} \beta_{j}}{\sum_{j=i}^{\infty} \beta_{j}} \left\| \sum_{j=1}^{i-1} \beta_{j} y_{j}^{*} \right\|$$

$$= \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \left( \left( \frac{\beta_{i} \gamma_{i} (1 + \delta_{i})}{\left( \sum_{j=i}^{\infty} \beta_{j} \right) \left( \sum_{j=i+1}^{\infty} \beta_{j} \right)} + \left( \frac{1}{\sum_{j=i}^{\infty} \beta_{j}} \right) \left\| \sum_{j=1}^{i-1} \beta_{j} y_{j}^{*} \right\| \right)$$

$$(2.143)$$

Hence, for any  $i \in \mathbb{N}$ ,

$$\left\| \sum_{j=1}^{i} \beta_{j} y_{j}^{*} \right\| < \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \left( \left( \frac{\beta_{i} \gamma_{i} (1+\delta_{i})}{\left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \left( \sum_{j=i+1}^{\infty} \beta_{j} \right)} \right) + \left( \frac{1}{\sum_{j=i}^{\infty} \beta_{j}} \right) \left\| \sum_{j=1}^{i-1} \beta_{j} y_{j}^{*} \right\| \right)$$

$$< \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \sum_{j=1}^{i} \frac{\beta_{j} \gamma_{j} (1+\delta_{j})}{\left( \sum_{k=j}^{\infty} \beta_{k} \right) \left( \sum_{k=j+1}^{\infty} \beta_{k} \right)}$$

$$\leq \gamma \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \sum_{j=1}^{i} \frac{\beta_{j} (1+\delta_{j})}{\left( \sum_{k=j}^{\infty} \beta_{k} \right) \left( \sum_{k=j+1}^{\infty} \beta_{k} \right)}$$

$$\leq \gamma \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \left( \left( \sum_{j=1}^{i} \frac{\beta_{j}}{\left( \sum_{k=j}^{\infty} \beta_{k} \right) \left( \sum_{k=j+1}^{\infty} \beta_{k} \right)} + (1-\alpha) \right)$$

$$= \gamma \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \left( \left( \sum_{j=1}^{i} \left( \frac{1}{\sum_{k=j}^{\infty} \beta_{k}} - \frac{1}{\sum_{k=j+1}^{\infty} \beta_{k}} \right) \right) + (1-\alpha) \right)$$

$$= \gamma \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \left( \frac{1}{\sum_{j=i+1}^{\infty} \beta_{j}} - \frac{1}{\sum_{j=i+1}^{\infty} \beta_{j}} + 1 - \alpha \right)$$

$$= \gamma \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \left( \frac{1}{\sum_{j=i+1}^{\infty} \beta_{j}} - \alpha \right)$$

$$= \gamma \left( 1 - \alpha \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \right)$$

$$(2.144)$$

completing the proof.

*Proof.* (3  $\Longrightarrow$  4) There exists a positive sequence  $\{\delta_i\}_{i\in\mathbb{N}}$  such that

$$\sum_{i \in \mathbb{N}} \frac{\beta_i \delta_i}{\left(\sum_{j=i+1}^{\infty} \beta_i\right) \left(\sum_{j=i}^{\infty} \beta_i\right)} < 1 - \alpha \tag{2.145}$$

Define  $\{x_i^0\}_{i\in\mathbb{N}} = \{x_i^*\}_{i\in\mathbb{N}},$ 

$$\gamma_1 = \inf \left\{ \sup_{y^* \in CoLim\{\phi_i\}_{i \in \mathbb{N}}} \left\{ ||x^* - y^*|| \right\} : x^* \in \overline{co}\{x_i^*\}_{i \in \mathbb{N}}, \phi_k \in \overline{co}\{x_i^*\}_{i \ge k}, k \in \mathbb{N} \right\}$$
 (2.146)

and pick  $y_1^* \in \overline{co}\{x_i^*\}_{i \in \mathbb{N}}$ ,  $\{\phi_i^1\}_{i \in \mathbb{N}} \subset X^*$  such that  $\phi_k^1 \in \overline{co}\{x_i^*\}_{i \geq k}$  for every k and  $w' \in CoLim\{\phi_i^1\}_{i \in \mathbb{N}}$  such that

$$\gamma_1(1-\delta_1) < ||y_1^* - w|| < \gamma_1(1+\delta_1)$$
 (2.147)

So that there exists  $\tilde{x} \in \overline{B_X(0;1)}$  such that  $\gamma_1(1-\delta_1) < \langle \tilde{x}, y_1^* - w' \rangle$ , and since  $w' \in CoLim\{\phi_i^1\}_{i\in\mathbb{N}}$ , we extract a subsequence  $\{x_i^1\}_{i\in\mathbb{N}}$  of  $\{\phi_i^1\}_{i\in\mathbb{N}}$  so that for any  $w \in CoLim\{x_i^1\}_{i\in\mathbb{N}}$ , we have

$$\langle \tilde{x}, w \rangle = \lim_{i \to \infty} \langle \tilde{x}, x_i^1 \rangle = \liminf_{i \to \infty} \langle \tilde{x}, \phi_i^1 \rangle \le \langle \tilde{x}, w' \rangle$$
 (2.148)

And so, for any  $w \in CoLim\{x_i^1\}_{i \in \mathbb{N}}$ , we have

$$\gamma_1 \left( 1 - \delta_1 \right) < \langle \tilde{x}, y_1^* - w \rangle \tag{2.149}$$

Continuing inductively, for  $i \in \mathbb{N}$ , set

$$\gamma_{i+1} = \inf \left\{ \sup \left\{ \left\| \left( \sum_{j=1}^{i} \beta_j y_j^* \right) + \left( \left( \sum_{j=i+1}^{\infty} \beta_j \right) y^* \right) - w \right\| : w \in CoLim\{\phi_i\}_{i \in \mathbb{N}} \right\} \right\}$$
 (2.150)

Where the infimum is taken over all  $y^* \in \overline{co}\{x_j^i\}_{j \geq i+1}$  and all  $\{\phi_i\}_{i \in \mathbb{N}} \subset X^*$  such that  $\phi_k \in \overline{co}\{x_j^i\}_{j \geq k}$ . Next, pick  $y_{i+1}^* \in \overline{co}\{x_j^i\}_{j \geq i+1}$  and  $\{\phi_j^{i+1}\}_{j \in \mathbb{N}} \subset X^*$  such that for every k,  $\phi_k^{i+1} \in \overline{co}\{x_j^i\}_{j \geq k}$  and pick  $w' \in CoLim\{\phi_j^{i+1}\}_{j \in \mathbb{N}}$  such that

$$\gamma_{i+1}(1-\delta_{i=1}) < \left\| \sum_{j=1}^{i} \beta_j y_j^* + \left( \sum_{j=i+1}^{\infty} \beta_j \right) y_{i+1}^* - w' \right\| < \gamma_{i+1} (1+\delta_{i+1})$$
 (2.151)

Next, pick  $\tilde{x} \in \overline{B_X(0;1)}$  satisfying

$$\gamma_{i+1}(1 - \delta_{i+1}) < \left\langle \tilde{x}, \sum_{j=1}^{i} \beta_j y_j^* + \left( \left( \sum_{j=i+1}^{\infty} \beta_j \right) y_j^* \right) - w' \right\rangle$$
(2.152)

and apply the fact that since  $\liminf_{j\to\infty} \langle \tilde{x}, \phi_j^{i+1} \rangle \leq \langle \tilde{x}, w \rangle$ , we can find a subsequence  $\{x_j^{i+1}\}_{j\in\mathbb{N}}$  of  $\{\phi_j^{i+1}\}_{j\in\mathbb{N}}$  such that for every  $w \in CoLim\{x_j^{i+1}\}_{j\in\mathbb{N}}$  we have

$$\gamma_{i+1}(1-\delta_{i+1}) < \left\langle \tilde{x}, \sum_{j=1}^{i} \beta_j y_j^* + \left( \left( \sum_{j=i+1}^{\infty} \beta_j \right) y_j^* \right) - w \right\rangle$$
 (2.153)

completing our construction. Clearly,  $CoLim\{y_j^*\}_{j\in\mathbb{N}} \subset CoLim\{\phi_j^i\}_{j\in\mathbb{N}}$  for every  $i\in\mathbb{N}$ . Hence, for every  $w\in CoLim\{y_j^*\}_{j\in\mathbb{N}}$ , for ever  $i\in\mathbb{N}$ , we have

$$\gamma_i(1-\delta_i) < \left\| \sum_{j=1}^{i-1} \beta_j y_j^* + \left( \left( \sum_{j=i}^{\infty} \beta_j \right) y_i^* \right) - w \right\| < \gamma_i(1-\delta_i)$$
 (2.154)

Also, since  $\{y_j^*\}_{j\in\mathbb{N}}\subset \overline{co}\{x_j\}_{j\in\mathbb{N}}\subset \overline{B_{X^*}(0;1)}$ ,  $CoLim\{y_j^*\}_{j\in\mathbb{N}}\subset \overline{co}\{y_j^*\}_{j\in\mathbb{N}}\subset \overline{B_{X^*}(0;1)}$ . By definition,  $\gamma_1\geq \alpha$ , and  $\{\gamma_i\}_{i\in\mathbb{N}}$  is a nondecreasing sequence since it is defined by taking the infimum over a set which never gains new elements as i increases. Further,  $||w||\leq 1$  for  $w\in CoLim\{y_j^*\}_{j\in\mathbb{N}}$  implies that for every  $n,\ \gamma_n\leq 2$ , so by monotone convergence,  $\gamma_i\nearrow\gamma=\left|\left|\sum_{j\in\mathbb{N}}\beta_j\left(y_j-w\right)\right|\right|\leq 2$ . As for the final estimate, we have, for  $i\in\mathbb{N}$  and  $y^*\in CoLim\{y_j^*\}_{j\in\mathbb{N}}$ , we have

Let  $i \in \mathbb{N}$ . Then, Hence, for every  $i \in \mathbb{N}$ , we have

$$\left\| \sum_{j=1}^{i} \beta_{j}(y_{j}^{*} - y^{*}) \right\| < \left( \sum_{j=i+1}^{\infty} \beta_{j} \right)$$

$$* \left( \left( \frac{\beta_{i} \gamma_{i} (1 + \delta_{i})}{\left( \sum_{j=i}^{\infty} \beta_{j} \right) \left( \sum_{j=i+1}^{\infty} \beta_{j} \right)} \right) + \left( \frac{1}{\sum_{j=i}^{\infty} \beta_{j}} \right) \left\| \sum_{j=1}^{i-1} \beta_{j} (y_{j}^{*} - y^{*}) \right\| \right)$$

$$< \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \sum_{j=1}^{i} \frac{\beta_{j} \gamma_{j} (1 + \delta_{j})}{\left( \sum_{k=j}^{\infty} \beta_{k} \right) \left( \sum_{k=j+1}^{\infty} \beta_{k} \right)}$$

$$\leq \gamma \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \sum_{j=1}^{i} \frac{\beta_{j} (1 + \delta_{j})}{\left( \sum_{k=j}^{\infty} \beta_{k} \right) \left( \sum_{k=j+1}^{\infty} \beta_{k} \right)}$$

$$\leq \gamma \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \left( \left( \sum_{j=1}^{i} \frac{\beta_{j}}{\left( \sum_{k=j}^{\infty} \beta_{k} \right) \left( \sum_{k=j+1}^{\infty} \beta_{k} \right)} \right) + (1 - \alpha) \right)$$

$$= \gamma \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \left( \frac{1}{\sum_{j=i+1}^{\infty} \beta_{j}} - \frac{1}{\sum_{j=1}^{\infty} \beta_{j}} + 1 - \alpha \right)$$

$$= \gamma \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \left( \frac{1}{\sum_{j=i+1}^{\infty} \beta_{j}} - \alpha \right)$$

$$= \gamma \left( 1 - \alpha \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \right)$$

$$(2.155)$$

It is worth noting that in the following two theorems, the assumption of completeness is necessary, as demonstrated by [12].

**Theorem 2.3.5** (James Separable). If X is a separable complete seminormed space then the following are equivalent.

- 1. X is not reflexive.
- 2. For every  $\alpha \in (0,1)$  there is some sequence  $\{x_i^*\}_{i\in\mathbb{N}} \subset \overline{B_{X^*}(0;1)}$  satisfying  $d(0,\overline{co}\{x_i^*\}_{i\in\mathbb{N}}) \geq \alpha$  and  $x_i^* \stackrel{w^*}{\to} 0$ .
- 3. For every  $\alpha \in (0,1)$  and  $\{\beta_i\}_{i\in\mathbb{N}} \subset (0,1)$  satisfying  $\sum_{i\in\mathbb{N}} \beta_i = 1$ , there is a  $\gamma \in [0,1]$

and  $\{y_i^*\}_{i\in\mathbb{N}}\subset X^*$  such that  $y_i^*\stackrel{w^*}{\to} 0$  and for each  $i\in\mathbb{N}$ ,

$$\left\| \sum_{j \in \mathbb{N}} \beta_j y_j^* \right\| = \gamma \qquad \left\| \sum_{j=1}^i \beta_j y_j^* \right\| \le \gamma \left( 1 - \alpha \left( \sum_{j=i+1}^\infty \beta_j \right) \right)$$
 (2.156)

4. There exists  $x^* \in X^*$  not achieving its norm.

Proof.  $(1 \Longrightarrow 2)$  Let  $\alpha \in (0,1)$ . Since X is nonreflexive and c(X) is complete, by Riesz's lemma [13], there exists an  $x^{**} \in B_{X^{**}}(0;1)$  such that  $d(x^{**},c(X)) > \alpha$ . Since X is separable it has a countable dense set  $\{x_i\}_{i\in\mathbb{N}}$ . Fix  $i\in\mathbb{N}$ , let  $\alpha_1=\alpha_2=\cdots=\alpha_{i-1}=0$ ,  $\alpha_i=\alpha$ , and let  $\{\beta_j\}_{j=1}^i\subset\mathbb{C}$  where  $\beta_i\neq 0$  without loss of generality. Then, since c(X) is a subspace,

$$\left| \sum_{j=1}^{i} \beta_{j} \alpha_{j} \right| = \left| \beta_{i} \alpha_{i} \right| = \left| \beta_{i} \right| \alpha$$

$$\leq \frac{\left| \beta_{i} \right| \alpha}{d \left( x^{**}, c(X) \right)} \left| \left| x^{**} + \sum_{j=1}^{i-1} \frac{\beta_{j}}{\beta_{i}} c(x_{j}) \right|$$

$$= \frac{\alpha}{d \left( x^{**}, c(X) \right)} \left| \left| \beta_{i} x^{**} + \sum_{j=1}^{i-1} \beta_{j} c(x_{j}) \right| \right|$$

$$(2.157)$$

Since  $\alpha < d\left(x^{**}, c(X)\right)$ , for some  $\epsilon > 0$ ,  $\epsilon + \frac{\alpha}{d(x^{**}, c(X))} < 1$ , so by 2.2.1, since  $X^{**} = (X^{*})^{*}$ , there exists an  $x_{i}^{*} \in \overline{B_{X^{*}}(0; 1)}$  such that for  $1 \leq j \leq i - 1$  we have  $\langle x_{j}, x_{i}^{*} \rangle = \underline{\langle x_{i}^{*}, c(x_{j}) \rangle} = 0$  and  $\langle x_{i}^{*}, c(x_{i}) \rangle \geq \alpha$ . Using this method we construct a sequence  $\{x_{i}^{*}\}_{i \in \mathbb{N}} \subset \overline{B_{X^{*}}(0; 1)}$  such that for each  $1 \leq j \leq i - 1$ ,  $\langle x_{j}, x_{i}^{*} \rangle = 0$  and  $\langle x_{i}, x^{**} \rangle \geq \alpha$ . Without loss of generality, we let  $||x_{i}^{*}|| = 1$ . Density of  $\{x_{j}\}_{j \in \mathbb{N}}$  and the boundedness of  $\{x_{j}^{*}\}_{j \in \mathbb{N}}$  implies  $x_{j}^{*} \stackrel{w^{*}}{\to} 0$ . Furthermore, any convex combination of the  $(x_{i}^{*})'s$  satisfies

$$\alpha \le \left\langle \sum_{j=1}^{n} \lambda_j x_{k_j}^*, x^{**} \right\rangle \le ||x^{**}|| \left\| \sum_{j=1}^{n} \lambda_j x_{k_j}^* \right\| \le \left\| \sum_{j=1}^{n} \lambda_j x_{k_j}^* \right\|$$
(2.158)

so that  $d(0, \overline{co}\{x_i^*\}_{i \in \mathbb{N}}) \geq \alpha$ , completing the proof.

*Proof.* (2  $\Longrightarrow$  3). This is a direct application of 2.3.4 part (1  $\Longrightarrow$  2), paired with the fact that if for every i,  $y_i^* \in \overline{co}\{x_j^*\}_{j \ge i}$  and  $x_j^* \stackrel{w^*}{\to} x$ , then  $y_j^* \stackrel{w^*}{\to} x$ .

*Proof.* (3  $\Longrightarrow$  4). Let  $x^* = \sum_{i \in \mathbb{N}} \beta_i y_i^*$  and let  $x \in \overline{B_X(0;1)}$ . Since  $y_i^* \stackrel{w^*}{\to} 0$ , for some  $N \in \mathbb{N}$ ,

 $\langle x, y_i^* \rangle < \gamma \alpha$  for every  $j > \mathbb{N}$ . Then

$$|\langle x, x^* \rangle| \leq \left| \left\langle x, \sum_{j=1}^{N} \beta_j y_j^* \right\rangle \right| + \left| \left\langle x, \sum_{j=N+1}^{\infty} \beta_j y_j^* \right\rangle \right|$$

$$< \left| \left\langle x, \sum_{j=1}^{N} \beta_j y_j^* \right\rangle \right| + \alpha \gamma \sum_{j=N+1}^{\infty} \beta_j$$

$$\leq \left| \left| \sum_{j=1}^{N} \beta_j y_j^* \right| \right| + \alpha \gamma \sum_{j=N+1}^{\infty} \beta_j \leq \gamma \left( 1 - \alpha \sum_{j=N+1}^{\infty} \beta_j \right) + \gamma \alpha \sum_{j=N+1}^{\infty} \beta_j$$

$$= \gamma = \left| \left| \sum_{j \in \mathbb{N}} \beta_j y_j^* \right| \right| = ||x^*||$$

$$(2.159)$$

Since the inequality is strict and  $x \in \overline{B_X(0;1)}$  was arbitrary, we are done.

Proof. (4  $\Longrightarrow$  1). If X is reflexive and  $x^* \in X$ , then there is a sequence  $\{x_n\}_{n \in \mathbb{N}} \subset \overline{B_X(0;1)}$  such that  $\langle x_n, x^* \rangle \to ||x^*||$ . By 2.3.1,  $\{x_n\}_{n \in \mathbb{N}}$  has a subsequence  $x_{k_n} \stackrel{w}{\to} x \in \overline{B_X(0;1)}$ . This x satisfies  $\langle x, x^* \rangle = ||x^*||$ .

**Theorem 2.3.6** (James). If X is a complete seminormed space, then the following are equivalent.

- 1. X is non-reflexive.
- 2. For each  $\alpha \in (0,1)$ , there exists an  $\{x_i^*\}_{i\in\mathbb{N}} \subset \overline{B_{X^*}(0;1)}$  and a subspace  $Y \subset X$  such that  $d\left(\overline{co}\{x_i^*\}_{i\in\mathbb{N}} Y^{\perp}, 0\right) \geq \alpha$  and that  $\langle y, x_i^* \rangle \to 0$  for each  $y \in Y$ .
- 3. For every  $\alpha \in (0,1)$  and  $\{\beta_i\}_{i\in\mathbb{N}} \subset [0,\infty)$  such that  $\sum_{i\in\mathbb{N}} \beta_i = 1$ , there is a  $\gamma \in [0,2]$  and  $\{y_i^*\}_{i\in\mathbb{N}} \subset \overline{B_{X^*}(0;1)}$  such that for each  $y^* \in CoLim\{y_i^*\}_{i\in\mathbb{N}}$ , each  $i\in\mathbb{N}$ ,

$$\left\| \sum_{j \in \mathbb{N}} \beta_j \left( y_j^* - y^* \right) \right\| = \gamma \qquad \left\| \sum_{j=1}^i \beta_j \left( y_j^* - y_j \right) \right\| < \gamma \left( 1 - \alpha \left( \sum_{j=i+1}^\infty \beta_j \right) \right) \quad (2.160)$$

4. There exists  $x^* \in X^*$  which doesn't achieve its norm.

*Proof.* (1  $\Longrightarrow$  2) If X is non-reflexive, then X contains a non-reflexive closed separable subspace S. An application of 2.3.5 implies the existence of a sequence  $\{x_i\}_{i\in\mathbb{N}}\subset\overline{B_{S^*}(0;1)}$  such that

$$d_s\left(0,\overline{co}\{x_i\}_{i\in\mathbb{N}}\right) \ge \alpha \qquad x_i \stackrel{S-w^*}{\to} 0 \tag{2.161}$$

If  $y^{\perp} \in X^*$  such that  $Y \subset kern(y^*)$ , then letting for each  $i \in \mathbb{N}$   $x_i^*$  be a Hahn-Banach extension living in  $\overline{B_X^*(0;1)}$ , and let  $x^* \in \overline{co}\{x_i^*\}_{i \in \mathbb{N}}$ . Then  $x := x^*|_S \in \overline{co}\{x_i\}_{i \in \mathbb{N}}$ . If  $y \in Y$ , then  $\langle y, x_i^* \rangle = \langle y, x \rangle \to 0$ . If  $y^{\perp} \in Y^{\perp}$ , then

$$||x^* - y^{\perp}|| \ge ||x - (y^{\perp}|_S)||_S = ||x|| \ge \alpha$$
 (2.162)

so 
$$d\left(\overline{co}\left\{x_i^*\right\}_{i\in\mathbb{N}}-Y^\perp,0\right)\geq\alpha$$

*Proof.* (2  $\Longrightarrow$  3) Since  $CoLim\{x_i^*\}_{i\in\mathbb{N}}\subset Y^\perp$  from the previous part, this is an easy application of 2.3.4 (3  $\Longrightarrow$  4).

Proof. (3  $\Longrightarrow$  4) Define  $\eta = \frac{\alpha^2}{4}$ , and then let  $\lambda_1 \in [0, \infty)$  such that for every natural n,  $\lambda_{n+1} < \eta \lambda_n$  and  $\sum_{k \in \mathbb{N}} \lambda_k = 1$ . Let  $y^* \in CoLim\{y_i^*\}_{i \in \mathbb{N}}$  where  $\{y_i^*\}_{i \in \mathbb{N}}$  are as in part (3) of this theorem. Let  $x \in \overline{B_X(0; 1)}$ . Since  $y^* \in Colim\{y_i^*\}_{i \in \mathbb{N}}$ , and since  $\alpha \leq \gamma$ , there exists  $i \in \mathbb{N}$  such that

$$\langle x, y_{i+1}^* - y^* \rangle < \alpha^2 - 2\eta \le \alpha\gamma - 2\eta \tag{2.163}$$

For this x, we have

$$\left\langle x, \sum_{j \in \mathbb{N}} \beta_{j}(y_{j}^{*} - y^{*}) \right\rangle < \sum_{j=1}^{i} \beta_{j} \left\langle x, y_{j}^{*} - y^{*} \right\rangle + \beta_{i+1} \left(\alpha \gamma - 2\eta\right) + \sum_{j=i+2}^{\infty} \beta_{j} \left\langle x, y_{j}^{*} - y^{*} \right\rangle$$

$$\leq \left\| \left| \sum_{j=1}^{i} \beta_{j}(y_{j}^{*} - y^{*}) \right\| + \beta_{i+1} \left(\alpha \gamma - 2\eta\right) + 2 \sum_{j=i+2}^{\infty} \beta_{j}$$

$$\leq \gamma \left( 1 - \alpha \left( \sum_{j=i+1}^{\infty} \beta_{j} \right) \right) + \beta_{i+1} (\alpha \gamma - 2\eta) + 2 \sum_{j=i+2}^{\infty} \beta_{j}$$

$$= \gamma - \gamma \alpha \sum_{j=i+2}^{\infty} \beta_{j} - 2\eta \beta_{i+1} + 2 \sum_{j=i+1}^{\infty} \eta \beta_{j}$$

$$\leq \gamma - (\gamma \alpha - 2\eta) \sum_{j=i+1}^{\infty} \beta_{j} < \gamma = \left\| \sum_{j \in \mathbb{N}} \beta_{j} \left( y_{j}^{*} - y^{*} \right) \right\|$$

Since  $x \in \overline{B_X(0;1)}$  was arbitrary, we are done.

*Proof.* (4  $\Longrightarrow$  1) If X is reflexive and  $x^* \in X$ , then there is a sequence  $\{x_n\}_{n \in \mathbb{N}} \subset \overline{B_X(0;1)}$  such that  $\langle x_n, x^* \rangle \to ||x^*||$ . By 2.3.1,  $\{x_n\}_{n \in \mathbb{N}}$  has a subsequence  $x_{k_n} \stackrel{w}{\to} x \in \overline{B_X(0;1)}$ . This x satisfies  $\langle x, x^* \rangle = ||x^*||$ .

Corollary 2.3.7. Let X be a complete seminormed space. Then the following are equivalent.

- 1. X is reflexive.
- 2. Each element of  $X^*$  attains its norm.

*Proof.* Direct consequence of 2.3.6.

#### 2.3.2 Lindenstrauss On Nonseparable Reflexive Banach Spaces

If  $\Gamma \neq \emptyset$ , then  $c_0(\Gamma)$  denotes the space of mappings  $f: \Gamma \to \mathbb{C}$  such that for every  $\epsilon > 0$ ,  $card\{x \in \Gamma: |f(x)| > \epsilon\} \in \mathbb{N}$ .

## 2.4 Convexity Of Functions And Sets

**Definition 2.4.1** (Convex Functions). Let X be a vector space, Y a topological vector space,  $\mathcal{U}$  the set of neighborhoods of 0 in Y except Y itself,  $f: X \to (-\infty, \infty]$ , and  $g: Y \to (-\infty, \infty]$ . Let  $x, y \in X$ .

- 1. We call  $D(f) := f^{-1}(\mathbb{R})$  the effective domain of f.
- 2. If  $D(f) \neq \emptyset$ , then we call f **proper.**
- 3. We call  $Epi(f) := \{(x, t) \in X \times \mathbb{R} : f(x) \leq t\}$  the **Epigraph** of f.
- 4. We denote  $[x, y] = \{tx + (1 t)y : t \in [0, 1]\}.$
- 5. We denote  $(x, y) = \{tx + (1 t)y : t \in (0, 1)\}.$
- 6. We say that  $C \subset X$  is **convex** if  $[x,y] \subset C$  for each  $x,y \in C$ .
- 7. We say that  $C \subset X$  is **strictly convex** if for each  $x, y \in C$ , for each  $z_0 \in (x, y)$ , and for each  $z_1 \in X$ , there is a t > 0 such that  $[z_0, z_0 + tz_1] \subset C$ .
- 8. We say that f is **convex** if for each  $x, y \in X$ ,  $f\left(\frac{x+y}{2}\right) \leq \frac{f(x)+f(y)}{2}$ .
- 9. We say that f is **strictly convex** if for each  $x, y \in X$ ,  $f\left(\frac{x+y}{2}\right) < \frac{f(x)+f(y)}{2}$ .
- 10. If g is a convex function, then then we define the **modulus of local uniform convexity** of g,  $\tilde{\Delta}: \mathcal{U} \times Y \to \mathbb{R}$  by

$$\tilde{\Delta}(U,x) = \frac{1}{2} \inf_{y \in Y \setminus (x+U)} \left\{ f(x) + f(y) - 2f\left(\frac{x+y}{2}\right) \right\}$$
(2.165)

- 11. If g is a convex function, then we define the **modulus of uniform convexity** of g,  $\Delta : \mathcal{U} \to \mathbb{R}$  by  $\Delta(U) = \inf_{g(x)=1} \tilde{\Delta}(U, x)$ .
- 12. If g is a convex function, then we say that g is **locally uniformly convex at**  $x \in Y$  if for each  $U \in \mathcal{U}$ ,  $\tilde{\Delta}(U, x) > 0$ .
- 13. We say that g is **locally uniformly convex** if it is **locally uniformly convex** at each of its points.
- 14. We say that g is **uniformly convex** if for each  $U \in \mathcal{U}$ ,  $\Delta(U) > 0$ .
- 15. We say that g is **lower semi-continuous**, or LSC if it is continuous with respect to the topology on  $(-\infty, \infty]$  generated by sets of the form  $(-\infty, \alpha)$  where  $\alpha \in \mathbb{R}$ , along with  $(-\infty, \infty]$  itself.

**Remark 2.4.2** (Basis Independence). It is easy to see that a mapping is locally uniform convex at a point (locally uniformly convex) [uniformly convex] if we define  $\Delta$ ,  $\tilde{\Delta}$  in terms of a single neighborhood basis of Y at 0 instead of all neighborhoods of 0 in Y.

**Remark 2.4.3** (Strictly Convex Real Valued). If X is a vector space and  $f: X \to (-\infty, \infty]$  is strictly convex, and f is finite everywhere.

*Proof.* If  $f(x) = \infty$  where  $x \in X$  and f is strictly convex, then we must have  $\infty = f(x) < \frac{f(0) + f(2x)}{2}$ , a contradiction.

**Proposition 2.4.4.** Let X be a vector space and  $T: X \to (-\infty, \infty]$ . Then the following are equivalent.

- 1. T is (strictly) convex.
- 2. For each  $x_1 \neq x_2 \in X$  and  $\lambda \in (0,1)$ .

$$T(\lambda x_1 + (1 - \lambda)x_2)(<) \le \lambda T x_1 + (1 - \lambda)T x_2$$
 (2.166)

3. For each  $\{\lambda_i\}_{i=1}^n \subset (0,1)$  which sums to 1, for each  $\{x_i\}_{i=1}^n \subset X$ ,

$$T\left(\sum_{j=1}^{n} \lambda_j x_j\right)(<) \le \sum_{j=1}^{n} \lambda_j T x_j \tag{2.167}$$

4. If  $x_1 \neq x_3 \in X$  and  $x_2 \in (x_1, x_3)$ , say  $x_2 = \lambda x_1 + (1 - \lambda)x_3$  then

$$\frac{Tx_2 - Tx_1}{\lambda} (<) \le Tx_3 - Tx_1 (<) \le \frac{Tx_3 - Tx_2}{1 - \lambda}$$
 (2.168)

5. Epi(T) is (strictly) convex

Proof.  $(1 \implies 2)$ 

*Proof.* (2  $\Longrightarrow$  3) I utilize induction on n. Since f is assumed to be (strictly) convex, the proposition holds for n=1 and n=2. Let  $k \in \mathbb{N}$ . Suppose that for any  $(\psi_1, \dots, \psi_k) \in [0,1]^k$  such that  $\sum_{j=1}^k \psi_j = 1$ , and for each  $(x_1, \dots, x_k) \in X^k$ , we have

$$f\left(\sum_{j=1}^{k} \lambda_j x_j\right)(<) \le \sum_{j=1}^{k} \lambda_j f(x_j)$$
(2.169)

Let  $(\lambda_1, \dots, \lambda_{k+1}) \in [0, 1]^{k+1}$ . Let  $(x_1, \dots, x_{k+1}) \in X^{k+1}$ . Without loss of generality, we assume  $\lambda_{k+1} \neq 0$ . Then

$$\sum_{j=1}^{k} \frac{\lambda_j}{1 - \lambda_{k+1}} = 1 \qquad \left(\frac{\lambda_1}{1 - \lambda_{k+1}}, \dots, \frac{\lambda_k}{1 - \lambda_{k+1}}\right) \in [0, 1]^k$$
 (2.170)

Hence, because f is (strictly) convex,

$$f\left(\sum_{j=1}^{k+1} \lambda_{j} x_{j}\right) = f\left(\lambda_{k+1} x_{k+1} + (1 - \lambda_{k+1}) \sum_{j=1}^{k} \frac{\lambda_{j}}{1 - \lambda_{k+1}} x_{j}\right)$$

$$(<) \leq \lambda_{k+1} f(x_{k+1}) + (1 - \lambda_{k+1}) f\left(\sum_{j=1}^{k} \frac{\lambda_{j}}{1 - \lambda_{k+1}} x_{j}\right)$$

$$(<) \leq \sum_{j=1}^{k+1} \lambda_{j} f(x_{j})$$

$$(2.171)$$

Proof.  $(3 \implies 4)$ 

Proof. 
$$(4 \implies 1)$$
.

Proof. 
$$(2 \iff 5)$$

The epigraph of a function provides us with a nice characterization of lower semicontinuity.

**Proposition 2.4.5** (Convex Continuity). Let X be a locally convex space and  $f: X \to (-\infty, \infty]$ . The following conditions are equivalent.

- 1. f is LSC on X.
- 2. Epi(f) is closed in  $X \times \mathbb{R}$ .

Proof. Define  $F: X \times \mathbb{R} \to \tilde{\mathbb{R}}$  by  $F(x, \alpha) = f(x) - \alpha$ . Then f is (weakly) LSC on X if and only if F is (weakly) LSC on  $X \times \mathbb{R}$ . Suppose f is (weakly) LSC. Then F is (weakly) LSC, so  $F^{-1}((-\infty, 0]) = Epi(f)$  is (weakly) closed, so we're done. Suppose Epi(f) is (weakly) closed. Then  $F^{-1}((-\infty, 0])$  is (weakly) closed. Further, for any  $\beta \in \mathbb{R}$ ,  $F^{-1}((-\infty, \beta]) = F^{-1}((-\infty, 0]) - (0, \beta)$ , and so is also closed. Hence F is (weakly) LSC, and so f is too.  $\square$ 

In the case of a convex function, the above proposition allows us to equate weak and strong lower semicontinuity.

Corollary 2.4.6 (Weak To Strong Convex). Let X be locally convex Hausdorff space and  $f: X \to (-\infty, \infty]$  be convex. Then f is LSC if and only if it is weakly LSC.

*Proof.* Since Epi(f) is convex, it is closed if and only if it is weakly closed, allowing us to apply 2.4.5.

**Theorem 2.4.7** (Point Continuous). Let X be a locally convex space and  $f: X \to (-\infty, \infty]$  be convex and proper. Then f is bounded on some open set if and only if f is continuous on the interior of its domain.

*Proof.* (implies) Without loss of generality, we assume that f is bounded from above by M on a (weakly) open set  $\mathcal{U}$  which is symmetric and contains 0. Further, we can also suppose f(0) = 0. For each  $\epsilon \in (0, 1)$  and each  $x \in \epsilon \mathcal{U}$ , we have

$$f(x) = f\left(\epsilon \frac{x}{\epsilon} + (1 - \epsilon)0\right) \le \epsilon f\left(\frac{x}{\epsilon}\right) \le \epsilon M \tag{2.172}$$

and since  $0 = \frac{x}{1+\epsilon} + \left(1 - \frac{1}{1+\epsilon} \left(\frac{-x}{\epsilon}\right)\right)$ ,

$$0 = f(0) = f\left(\frac{x}{1+\epsilon} + \left(1 - \frac{1}{1+\epsilon}\right)\left(\frac{-x}{\epsilon}\right)\right) \le \frac{f(x)}{1+\epsilon} + \frac{\epsilon f\left(-\frac{x}{\epsilon}\right)}{1+\epsilon}$$
 (2.173)

so, since  $\frac{-x}{\epsilon} \in \mathcal{U}$ ,

$$-\epsilon M \le -\epsilon f\left(\frac{x}{\epsilon}\right) \le f(x)$$
 (2.174)

Hence,  $|f(x)| \leq \epsilon M$  for  $x \in \epsilon \mathcal{U}$ , and f is (weakly) continuous at 0. Hence, it is sufficient to show that for any y in the (weak) interior of D(f), there is a (weak) neighborhood of y on which f is bounded from above. To see this, let y in the (weak) interior of D(f). Since scalar multiplication is continuous, there is a  $\rho > 1$  such that  $\rho y \in D(f)$ . If  $\mathcal{U}_y = y + \left(1 - \frac{1}{\rho}\right)\mathcal{U}$ , then  $x \in \mathcal{U}_y$  can be written, for some  $z \in \mathcal{U}$ , as

$$x = y + \left(1 - \frac{1}{\rho}\right)z = \frac{1}{\rho}(\rho y) + \left(1 - \frac{1}{\rho}\right)z$$
 (2.175)

Since f is convex, D(f) is convex, and so  $x \in D(f)$ , implying  $\mathcal{U}_y \subset D(f)$ . Since f is a convex function, we also have that

$$f(x) \le \frac{1}{\rho} f(\rho y) + \left(1 - \frac{1}{\rho}\right) f(x) \le \frac{1}{\rho} f(\rho y) + \left(1 - \frac{1}{\rho}\right) M \tag{2.176}$$

so that f is bounded on  $\mathcal{U}_{y}$  and is therefore continuous at y.

*Proof.* (
$$\iff$$
) This is obvious.

**Corollary 2.4.8.** Let X be a locally convex, space and  $f: X \to (-\infty, \infty]$  be LSC at some point in its effective domain.

- 1. if f is convex, then it is continuous on the interior of D(f).
- 2. If f is strictly convex, then it is continuous on X.

#### 2.5 Differentiation And SubDifferentials

**Definition 2.5.1** (Types Of Differentiability). Let X be a topological vector space, Y a Hausdorff topological vector space,  $C \subset X$ ,  $f: C \to X$ , and  $x_0 \in C$ .

1. If  $y \in X$  such that  $x_0$  is an accumulation point of  $[x_0, x_0 + y] \cap C$ , then we say that the directional derivative in the direction of y exists at  $x_0$  and we write

$$\lim_{t \to 0^+} \frac{f(x_0 + ty) - f(x_0)}{t} = f'_+(x_0, y) \tag{2.177}$$

if the limit above actually exists.

- 2. If f is differentiable at  $x_0$  in the direction of -y, then we denote  $f'_-(x_0, y) := -f'_+(x, -y)$ .
- 3. If there exists  $f'(x_0) \in BL(X,Y)$  such that for every  $y \in X$ ,  $f'_+(x_0,y) = f'(x_0)y$  then we call  $f'(x_0)$  the **Gateaux derivative** of f at  $x_0$  and we call f **Gateaux differentiable**.
- 4. If f is Gateaux differentiable at  $x_0$  and  $\frac{f(x_0+ty)-f(x_0)}{t} \to f'(x_0)y$  uniformly for y in some neighborhood of 0 in X, then we say that f is **Frechet differentiable** at  $x_0$  and we call  $f'(x_0)$  the **Frechet derivative** of f at  $x_0$ .
- 5. If  $h: X \to (-\infty, \infty]$  and there is an  $x^* \in X^*$  such that

$$\langle y - x, x^* \rangle \le h(y) - h(x) \qquad (\forall y \in X)$$
 (2.178)

then we say that h is **subdifferentiable** at  $x_0$ , call  $x^*$  a **subgradient** of h at  $x_0$ , and denote the set of all subgradients of h at  $x_0$  with  $\partial h(x_0)$ . if D is the set on which h is subdifferentiable, then we call  $\partial h: D \to 2^{X^*}$  the **subdifferential** of h.

One useful feature of convex functions is how they interact with the various forms of differentiability.

**Proposition 2.5.2** (Gateaux). Let X be a topological vector space, Y a Hausdorff topological vector space,  $f: X \to Y$  Gateaux differentiable at  $x_0 \in X$ , and  $y \in X$ . The following are true.

- 1.  $f'_{+}(x_0, y) = f'_{+}(x_0, -y) = f'_{-}(x_0, y)$ .
- 2.  $\langle y, f'(x_0) \rangle = \frac{d}{dt} f(x_0 + ty)|_{t=0}$
- 3. If f is Gateaux differentiable on a neighborhood of  $x_0$  and  $f': X \to BL(X,Y)$  is Gateaux Differentiable at  $x_0$ , then  $f'': X \to BL(X,BL(X,Y))$  satisfies

$$\langle y, f''(x)y \rangle = \frac{d^2}{dt^2} f(x_0 + ty)|_{t=0}$$
 (2.179)

Proof. 
$$(1)$$

Proof. 
$$(2)$$

Proof. 
$$(3)$$

**Proposition 2.5.3** (Convex Differentiable). Let X be a seminormed space and  $f: X \to (-\infty, \infty]$  be convex. Then for each  $y \in X$  and each  $x_0$  in the interior of D(f), the directional derivative in the direction of y at  $x_0$  exists and  $f'_-(x,y) \leq f'_+(x,y)$ , and for any t > 0,

$$f'_{+}(x,y) \le \frac{f(x+ty) - f(x+ty)}{t} \le f'_{-}(x+ty,y)$$
 (2.180)

## 2.6 Normalized Duality Mapping

**Definition 2.6.1** (Normalized Duality Mapping). If X is a seminormed space, then we call  $J: X \to 2^{X^*}$  defined by

$$Jx = \left\{ x^* \in X^* : \langle x, x^* \rangle = ||x||^2 = ||x^*||^2 \right\}$$
 (2.181)

the normalized duality mapping of X.

**Proposition 2.6.2** (Normalized Duality Inequality). Let X be a seminormed space and J be X's normalized duality mapping. Then, if  $x, y \in X$  and  $||x + \lambda y|| \neq 0$  where  $\lambda > 0$ , and  $j_x \in Jx$ ,  $j_{x+\lambda y} \in J(x+\lambda y)$ , then

$$\frac{\langle y, j_x \rangle}{||x||} \le \frac{||x + \lambda y|| - ||x||}{\lambda} \le \frac{\langle y, j_{x + \lambda y} \rangle}{||x + \lambda y||} \tag{2.182}$$

Proof.

$$\frac{\langle y, j_x \rangle}{||x||} = \frac{\langle x + \lambda y, j_x \rangle - ||x||^2}{\lambda ||x||} \le \frac{|\langle x + \lambda y, j_x \rangle| - ||x||^2}{\lambda ||x||} \le \frac{||j_x|| ||x + \lambda y|| - ||x||^2}{\lambda ||x||}$$

$$= \frac{||x + \lambda y|| - ||x||}{\lambda}$$

$$= \frac{||x + \lambda y||}{||x + \lambda y||} \frac{||x + \lambda y|| - ||x||}{\lambda} \le \frac{||x + \lambda y||^2 - |\langle x, j_{x + \lambda y} \rangle|}{\lambda ||x + \lambda y||}$$

$$= \frac{\lambda \langle y, j_{x + \lambda y} \rangle + \langle x, j_{x + \lambda y} \rangle - |\langle x, j_{x + \lambda y} \rangle|}{\lambda ||x + \lambda y||}$$

$$\le \frac{\lambda \langle y, j_{x + \lambda y} \rangle}{\lambda ||x + \lambda y||} = \frac{\langle y, j_{x + \lambda y} \rangle}{||x + \lambda y||}$$
(2.183)

**Theorem 2.6.3** (Asplund). [14] Let X be a seminormed space and J be its normalized duality mapping. Then for any  $x \in X$ ,  $Jx = \partial (||x||^2)$ .

Proof. 
$$\Box$$

**Proposition 2.6.4.** Let X be a (Possibly Complete, maybe not) seminormed space and let J be it's normalized duality mapping. Then J is norm to weak\* upper semicontinuous on X.

Proof. 
$$\Box$$

## 2.7 Orthogonality

**Definition 2.7.1** (Orthogonality). Let X be a seminormed space and  $x, y \in X$ . We say that x is **orthogonal** to y and we write  $x \perp y$  if for each scalar  $\lambda$ , we have

$$||x|| \le ||x + \lambda y|| \tag{2.184}$$

**Proposition 2.7.2** (Orthogonality). Let X be a seminormed space,  $x, z \in X$ , and  $x^* \in X^*$ .

- 1.  $\langle x, x^* \rangle = ||x|| \, ||x^*||$  if and only if for each  $y \in kern(x^*)$ ,  $x \perp y$ .
- 2. x is orthogonal to each element of some hyperplane in X.
- 3. For some  $\alpha \neq 0$   $x \perp (\alpha x + z)$ .
- 4. The mapping  $T: \mathbb{F} \to \mathbb{R}$  defined by  $T\alpha = ||\alpha x + z||$  achieves its minimum, and if  $\lambda_0$  is a point at which it achieves this minimum, then  $(\lambda_0 x + y) \perp x$  for any  $\lambda_0$  which minimizes T. Furthermore, since T as defined earlier is a convex function, the set of  $\lambda$  for which  $(\lambda x + y) \perp x$  is a convex set.

## 2.8 Convexity Of A Space

**Definition 2.8.1** (Uniform Convexity, Weak Uniform Convexity, Local Uniform Convexity, Weak Local Uniform Convexity, Strict Convexity). Let  $(X, ||\cdot||)$  be a seminormed space.

- 1. We call the local modulus of uniform covexity of  $||\cdot||$ , denoted by the symbol  $\tilde{\Delta}$ , the local modulus of uniform convexity of X.
- 2. If  $\Delta$  is the modulus of uniform convexity of  $||\cdot||$ , then we call  $\Delta$  the **modulus of uniform convexity** of X.
- 3. We define  $\tilde{\Delta}_w:(0,\infty)\times\partial B_X(0;1)\to(0,\infty)$  by

$$\tilde{\Delta}_w(\epsilon, x) = \inf \{ 2 - \langle x + y, x^* \rangle : x^* \in \partial B_{X^*}(0; 1), y \in \partial B_X(0; 1), ||x - y|| \ge \epsilon \}$$
(2.185)

We call  $\tilde{\Delta_w}$  the modulus of weak local uniform convexity of X.

4. We define  $\Delta_w:(0,\infty)\to(0,\infty)$  by

$$\Delta_w(\epsilon) = \inf_{x \in \partial B_X(0;1)} \tilde{\Delta}_w(\epsilon, x)$$
 (2.186)

We call this the **modulus of weak uniform convexity** of X.

- 1. We say that X is **strictly convex** if for each  $x, y \in X$  such that  $||x y|| \neq 0$ , ||x + y|| < ||x|| + ||y||.
- 2. We say that X is **uniformly convex at** x if  $||\cdot||$  is uniformly convex at x.
- 3. We say that X is **locally uniformly convex** if  $||\cdot||$  is locally uniformly convex.
- 4. We say that X is **uniformly convex** if  $||\cdot||$  is uniformly convex.
- 5. We say that X is **weakly uniformly convex at**  $x \in \partial B_X(0;1)$  if for each  $\epsilon > 0$ ,  $\tilde{\Delta}_w(\epsilon, x) > 0$ .

- 6. We say that X is **locally weakly uniformly convex** if it is weakly uniformly convex at each point on the boundary of X's unit sphere.
- 7. We say that X is weakly uniformly convex if for each  $\epsilon > 0$ ,  $\Delta_w(\epsilon) > 0$ .

**Proposition 2.8.2** (Strictly Convex Spaces). Let X be a normed space. and let J be a duality mapping on X of weight  $\phi$ . Then the following are equivalent.

- 1. X is strictly convex.
- 2. If  $x, y \in X$  and ||x + y|| = ||x|| + ||y||, then for some  $\alpha \ge 0$ ,  $||x \alpha y|| = 0$ .
- 3. If ||x|| = ||y|| = 1 where  $0 \neq ||x y||$ , then ||x + y|| < 2.
- 4. If  $x, y, z \in X$  and ||x y|| = ||x z|| + ||z y||,  $||z z_0|| = 0$  for some  $z_0 \in [x, y]$ .
- 5. If  $x^* \in X^*$  and ||x|| = ||y|| = 1 such that  $\langle x, x^* \rangle = \langle y, y^* \rangle = \sup_{||z||=1} \langle z, x^* \rangle$ , then ||x y|| = 0.
- 6.  $||\cdot||^2$  is strictly convex.
- 7. I is strictly monotone. That is, if  $x, y \in X$ ,  $||x y|| \neq 0$ ,  $x^* \in Jx$ , and  $y^* \in Jy$ , then

$$\langle x - y, x^* - y^* \rangle > 0 \tag{2.187}$$

8. Orthogonality in X is left-unique. That is, for  $x, y \in X$ , there is a unique  $\alpha \in \mathbb{F}$  such that  $(\alpha x + y) \perp x$ .

**Proposition 2.8.3** (Locally Uniformly Convex Spaces). Let X be a Banach Space. Then the following are equivalent.

- 1. X is locally uniformly convex
- 2. For each  $\epsilon > 0$  and  $x \in X$  with ||x|| = 1, there is a  $\delta > 0$  such that if  $y \in X$  satisfies ||y|| = 1 and  $||x y|| \ge \epsilon$ , then  $||x + y|| \le 2(1 \delta)$ .
- 3. If  $x \in \partial B_X(0;1)$ ,  $\{x_n\}_{n \in \mathbb{N}} \subset \partial B_X(0;1)$ , and  $||x + x_n|| \to 2$ , then  $x_n \to x$ .
- 4.  $\frac{1}{2}||\cdot||^2$  is locally uniformly convex.

**Proposition 2.8.4** (Uniformly Convex Spaces). Let X be a Banach space. The following are equivalent.

- 1. X is uniformly Convex.
- 2. For each  $\epsilon > 0$ , there is a  $\delta > 0$  such that if  $x, y \in \overline{B_X(0; 1)}$  and  $||x y|| \ge \epsilon$ , then  $||x + y|| \le 2(1 \delta)$ .
- 3. If  $\{x_i\}_{i\in\mathbb{N}}, \{y_i\}_{i\in\mathbb{N}} \subset \overline{B_X(0;1)} \text{ and } ||x_n+y_n|| \to 2, \text{ then } x_n-y_n \to 0.$
- 4.  $\frac{1}{2} ||\cdot||^2$  is uniformly convex.

**Proposition 2.8.5** (Weakly Locally Uniformly Convex Spaces). Let X be a Banach space. The following conditions are equivalent.

- 1. X is weakly locally uniformly convex.
- 2. For each  $\epsilon > 0$ ,  $* \in \partial B_{X^*}(0;1)$ , and  $x \in \overline{B_X(0;1)}$  there is a  $\delta > 0$  such that if  $y \in \overline{B_X(0;1)}$  and  $\langle x y, x^* \rangle \geq \epsilon$ , then  $||x + y|| \leq 2(1 \delta)$ .
- 3. If  $x \in \overline{B_X(0;1)}$  and  $\{x_n\}_{n \in \mathbb{N}} \subset \overline{B_X(0;1)}$  such that  $||x+x_n|| \to 2$ , then  $x_n \stackrel{w}{\to} x$ .

**Proposition 2.8.6** (Weakly Uniformly Convex Spaces). Let X be a Banach space. The following conditions are equivalent.

- 1. X is weakly uniformly convex.
- 2. For each  $\epsilon > 0$  and  $x^* \in \overline{B_{X^*}(0;1)}$ , there is a  $\delta > 0$  such that if  $x, y \in \overline{B_X(0;1)}$  such that  $\langle x y, x^* \rangle \geq \epsilon$ , then  $||x + y|| \leq 2(1 \delta)$ .
- 3. If  $\{x_n\}_{n\in\mathbb{N}}, \{y_n\}_{n\in\mathbb{N}}\subset \overline{B_X(0;1)}$  and  $||x_n+y_n||\to 2$ , then  $x_n-y_n\stackrel{w}\to 0$ .

**Proposition 2.8.7** (Degrees Of Convexity). Let X be a seminormed space.

- 1. If X is uniformly convex, then X is weakly uniformly convex.
- 2. If X is uniformly convex, then X is locally uniformly convex.
- 3. if X is locally uniformly convex, then X is weakly locally uniformly convex.
- 4. if X is weakly locally uniformly convex, then X is strictly convex.

**Proposition 2.8.8** (Local Weak To Strong). If a seminormed space X is locally uniformly convex and  $\{x_n\} \subset X$  satisfies  $x_n \stackrel{w}{\to} x$  and  $||x_n|| \to ||x||$ , then  $x_n \to x$ .

**Theorem 2.8.9.** (Milman Pettis) A complete uniformly convex seminormed space X is reflexive.

#### 2.9 Smoothness Of A Space

Define Moduli Of Smoothness, remark on positiveness

**Definition 2.9.1.** Let X be a seminormed space and  $x_0 \in X$ .

1. We define the modulus of smoothness of X at  $x_0, \, \tilde{\rho} : [0, \infty) \times X \to \mathbb{R}$  by

$$\tilde{\rho}(\epsilon, x) = \frac{1}{2} \sup_{\|y\| < 1} (||x + \epsilon y|| + ||x - \epsilon y|| - 2||x||)$$
(2.188)

2. We define the **modulus of smoothness** of  $X, \rho : [0, \infty) \to \mathbb{R}$  by

$$\rho(\epsilon) = \sup_{||x|| \ge 1} \rho(\epsilon, x) \tag{2.189}$$

- 3. We say that X is **locally uniformly smooth** at  $x_0$  if  $\lim_{\epsilon \to 0} \frac{\tilde{\rho}(\epsilon, x_0)}{\epsilon} = 0$ .
- 4. We say that X is **locally uniformly smooth** if it is locally uniformly smooth at each of its points.
- 5. We say that X is **uniformly smooth** if  $\lim_{\epsilon \to 0} \frac{\rho(\epsilon)}{\epsilon} = 0$ .
- 6. We say that X is **smooth** at  $x_0$  if  $Jx_0$  is a singleton.
- 7. We say that X is **smooth** if it is smooth at each of its points.
- 8. 2.9.2 motivates defining X to be called **very smooth** at  $x_0$  if it is smooth and J is seminorm to weak continuous at  $x_0$ .
- 9. We say that X is **very smooth** if it is very smooth at each of its points.

**Proposition 2.9.2** (Smooth Characterization). Let X be a seminormed space,  $x_0 \in X$ , and J it's normalized duality mapping. The following are equivalent.

- 1. X is a smooth at  $x_0$ .
- 2. Every selection of J is norm to weak\* continuous at  $x_0$ .
- 3. There exists a selection of J which is norm to weak\* continuous at  $x_0$ .
- 4.  $||\cdot||$  is Gateaux Differentiable at  $x_0$ .
- 5. For every  $y \in X$ , there is a unique  $\alpha \in \mathbb{C}$  such that  $x \perp (\alpha x + y)$ .
- 6. For every  $y, z \in X$ , if  $x \perp y$  and  $x \perp z$ , then  $x \perp y + z$ .
- 7. (NEED TO DEFINE HYPERPLANE) There is a supporting hyperplane for  $B_X(0; ||x||)$  at x.

**Proposition 2.9.3** (Degrees Of Smoothness). Let X be a seminormed space.

- 1. If X is uniformly smooth, then it is locally uniformly smooth.
- 2. If X is locally uniformly smooth, then it is very smooth.
- 3. If X is very smooth, then it is smooth.

Proposition 2.9.4 (Very Smooth).

**Proposition 2.9.5** (Local Uniformly Smooth At A Point). Let X be a seminormed space,  $x_0 \in X$ , and J be X's normalized duality mapping. The following conditions are equivalent.

- 1. X is locally uniformly smooth at  $x_0$ .
- 2. J is continuous at  $x_0$ .
- 3.  $||\cdot||$  is Frechet differentiable at  $x_0$ .

Corollary 2.9.6 (Local Uniformly Smooth). Let X be a seminormed space and J be X's normalized duality mapping. The following conditions are equivalent.

- 1. X is locally uniformly smooth.
- 2. J is conitnuous.
- 3.  $||\cdot||$  is Frechet differentiable.

**Proposition 2.9.7** (Uniformly Smooth). Let X be a seminormed space and J be X's normalized duality mapping. The following are equivalent.

- 1. X is uniformly smooth.
- 2. I is uniformly continuous on bounded subsets of X.
- 3.  $||\cdot||$  is uniformly Frechet differentiable on bounded subsets of X.

# Chapter 3

# **Smoothness And Convexity**

## 3.1 Convexity And Smoothness Of A Space

**Proposition 3.1.1** (Smoothness and Strict Convexity). Let X be a seminormed space and J be X's normalized duality mapping.

- 1. If  $X^*$  is smooth, then X is strictly convex.
- 2. If  $X^*$  is strictly convex, then X is smooth.
- 3. If X is reflexive, then X is strictly convex if and only if  $X^*$  is smooth.
- 4. If X is reflexive, then X is smooth if and only if  $X^*$  is strictly convex.
- 5. If  $X^*$  is strictly convex, then J is single valued and norm to weak -\* continuous.
- 6. X is smooth and strictly convex if and only if J is single valued and strictly monotone.

**Proposition 3.1.2** (Lindenstraus Duality Formula).

**Proposition 3.1.3** (Very Smooth and Weak Local convexity).

**Proposition 3.1.4** (Local Uniform Smoothness and Local Uniform Convexity). Let X be a seminormed space and J be X's normalized duality mapping.

**Proposition 3.1.5** (Uniform Smoothness and Convexity). Let X be a (possibly complete) seminormed space and J be X's normalized duality mapping.

- 1. X is uniformly convex if and only if  $X^*$  is uniformly smooth.
- 2. X is uniformly smooth if and only if  $X^*$  is uniformly convex.
- 3.  $X^*$  is uniformly convex if and only if J is single valued and uniformly continuous on bounded subsets of X.

Corollary 3.1.6. Uniformly Smooth Banach Spaces are Reflexive

**Proposition 3.1.7** (Normalized Convergence). Let X be a smooth locally uniformly convex seminormed space with normalized duality mapping J. If  $\{x_n\}_{n\in\mathbb{N}}\subset X$ ,  $x\in X$ ,  $j_x\in Jx$ , and  $j_n\in Jx_n$  for each  $n\in\mathbb{N}$ , then

$$\langle x_n - x, j_n - j \rangle \to 0 \implies x_n \to x$$
 (3.1)

## 3.2 Convexity, Smoothness, and High Order Duals

If you start with a poorly behaved Space, then things can only get worse.

**Theorem 3.2.1.** If X is a seminormed space and  $X^*$  is very smooth, then X is reflexive.

Corollary 3.2.2. Let X be a seminormed space.

- 1. If  $X^*$ 's norm is Frechet differentiable, then X is reflexive.
- 2. If  $X^{**}$  is weakly locally uniformly convex, then X is reflexive.
- 3. If  $X^{***}$  is smooth, then X is reflexive.
- 4. If  $X^{****}$  is strictly convex, then X is reflexive.

# Chapter 4

# Renorming Theory (Including Results about WCG Spaces)

## 4.1 Representations Of Reflexive Spaces

(Lindenstrauss' Theorem Goes Here

# 4.2 Local Uniform Convexafiability Of Reflexive Spaces

-Trojanski's Theorem Goes Here

Chapter 5
Convexity And Fixed Point Theory

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