# Solutions of Introduction to Algorithms: A Creative Approach

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# Contents

1	$Ma^{1}$	thematical Induction	1
	1.1	Counting Regions in the Plane	1
	1.2	Euler's Formula	4
	1.3	Gray Codes	2
		1.3.1 Implementing $\lfloor \log_2 n \rfloor$ and $\lceil \log_2 n \rceil$	
	1.4	Website Questions	(
		1.4.1 SRM 784 - Division II, Level One: Scissors	(
	1.5	Exercises	7
<b>2</b>	$\mathbf{Alg}$	orithms Involving Sequences and Sets	19
	2.1	String Matching	19

iv CONTENTS

## Chapter 1

# Mathematical Induction

#### 1.1 Counting Regions in the Plane

A set of lines in the plane is said to be in **general position** if no two lines are parallel and no three lines intersect at a common point.

**Guess:** Adding one more line to n-1 lines in general position in the plane increases the number of regions by n. In other words T(n) = T(n-1) + n.

The base cases is trivial

- T(0) = 1
- T(1) = T(0) + 1 = 2
- T(2) = T(1) + 2 = 2 + 2 = 4
- T(3) = T(2) + 3 = 4 + 3 = 7

So we assume T(n) is correct, now we want to prove T(n+1) is also correct. Let's remove line  $n^{th}$ . According to induction hypothesis Adding line  $(n+1)^{th}$  add n new regions. If we add line  $n^{th}$  again, it intersect with line  $(n+1)^{th}$  at exactly one point p. This point is located in region R.

In the absence of line  $n^{th}$ , line  $(n+1)^{th}$  adds only one new region when it passes R. But in presence of line  $n^{th}$ , it adds 2 new regions when it passes R. For other regions line  $(n+1)^{th}$  adds n-1 new regions with or without the presence of line  $n^{th}$ . So line  $(n+1)^{th}$  adds n-1+2=n+1 new regions when  $n^{th}$  is presented.

So instead of proving the number of regions by adding a new line, we proved how many new regions are added when we have line  $(n+1)^{th}$ . So It's easy to prove the number of regions. Starting with one line we have  $2+2+3+4+\cdots+n=1+1+2+\cdots+n=1+1+2+\cdots+n=1+\frac{n\times(n+1)}{2}$ .

#### 1.2 Euler's Formula

Consider a connected planar map with V vertices, E edges and F faces. A face is an enclosed region. The outside region is counted as one face. So for example, a square has four vertices, four edges and two faces.

**Theorem** The number of vertices (V), edges (E), and faces (F) in an arbitrary connected planar map are related by the formula V + F = E + 2.

**Proof** It's clear the formula doesn't hold if the planar map is not connected. So we cannot just simply remove an edge. So the base case should be a tree.

**Theorem** In a tree with V vertices, the number of edges E is E = V - 1.

**Proof** The base case is trivial. Suppose it's true for all trees with V vertices. Now consider a tree with V+1 vertices. There should be at least one vertex connected to only one edge. If we don't have such a vertex, then we can start from an arbitrary vertex v and try to visit other vertices. Since each vertex has at least 2 edges, we can easily enter and exit other vertices and visit edges at most once. Since the number of vertices are limited, then we should revisit a vertex. It implies a cycle which is a contradiction. So we have at least one vertex that is connected to only one edge. If we remove that vertex and that edge, the tree is still connected so we can use hypothesis so E = V - 1. So by adding one vertex and one edge, the formula is also correct for V+1 vertices.

So the base case is tree. A tree only has one face. So we have V+1=V-1+2.

Now consider a planar map which is not tree. In other words, it has at least one cycle. If we remove one edge from that cycle, It's still connected. By removing that edge, the inner face will be combined with outer face. So the number of faces also reduced by 1. So the formula is correct. The textbook choose faces as induction parameter but it actually remove an edge. So I don't see any different between choosing edge or face as induction parameter.

### 1.3 Gray Codes

Gray codes are strings of 0s and 1s in such a way that two neighbours only differ in one digit. For example 100 and 101. If the last string respect the condition with the first one, the code is closed; otherwise it's open. For example 00, 01, 11 and 10 is closed but 00, 01, 11 is open.

**Hypothesis:** There exists gray codes of length  $\lceil \log_2 k \rceil$  for all values k < n. If k is even, then the code is closed; if k is odd, then the code is open.

**Proof:** There are two scenarios:

- If n = 2m We use the hypothesis and assume  $s_1, s_2, \ldots, s_m$  are gray codes. It can be open or closed. We can create gray codes of size n which is closed like  $0s_1, 0s_2, \ldots, 0s_m, 1s_m, \ldots, 1s_2, 1s_1$ .

Based on the hypothesis the length of  $s_i$   $(1 \le i \le m)$  is  $\lceil \log_2 m \rceil$ . So the length of  $0s_i$  is  $\lceil \log_2 m \rceil + 1$ :

$$\lceil \log_2 m \rceil + 1 = \lceil \log_2 \frac{n}{2} + 1 \rceil$$
$$= \lceil \log_2 n - \log_2 2 + 1 \rceil$$
$$= \lceil \log_2 n \rceil$$

- If n=2m+1 We use the hypothesis and assume  $s_1, s_2, \ldots, s_m, s_{m+1}$  are gray codes. It can be opne or closed. We can create gray codes of size n which is open like  $0s_1, 0s_2, \ldots, 0s_m, 0s_{m+1}, 1s_{m+1}, 1s_m, \ldots, 1s_2$ . Based on the hypothesis the length of  $s_i$   $(1 \le i \le m+1)$  is  $\lceil \log_2(m+1) \rceil$ . So the length of  $0s_i$  is  $\lceil \log_2(m+1) \rceil + 1$ :

$$\lceil \log_2(m+1) \rceil + 1 = \lceil \log_2(\frac{n-1}{2} + 1) + 1 \rceil$$
$$= \lceil \log_2(\frac{n+1}{2}) + 1 \rceil$$
$$= \lceil \log_2(n+1) - \log_2 2 + 1 \rceil$$
$$= \lceil \log_2(n+1) \rceil$$

Since n is odd we have  $\lceil \log_2(n) \rceil = \lceil \log_2(n+1) \rceil$ .

Note that we cannot find another list that is closed. Because we need to find a list that stars with  $0s_1$  and ends with  $1s_1$ . We generate  $s_{i+1}$  by flipping exactly one bit of  $s_i$ . So  $0s_1$  bits are flipped in total m times to generate  $0s_{m+1}$ . Then we put  $1s_{m+1}$  to the second list. We need to flip  $1s_{m+1}$  bits m-1 times to generate the rest of the list. Therefore  $s_1$  bits are flipped m+m-1=2m-1 which is an odd number. So it's impossible the list starts with  $0s_1$  and ends with  $1s_1$ .

The implementation in C++:

```
string str;
void grayCodes(size_t index, size_t listLength)
{
   if (listLength == 1)
   {
      cout << str << endl;
      return;
   }
   const auto m = listLength / 2;
   if ((listLength % 2 ) == 0)
      grayCodes(index + 1, m);
   else
      grayCodes(index + 1, m + 1);
   str[index] = (str[index] == '0' ? '1' : '0');
   grayCodes(index + 1, m);</pre>
```

Note that this is not the exact implementation of the proof. For example for n=6 we have:

```
\begin{array}{c} 0 \ 00 \rightarrow 0s_{1} \\ 0 \ 01 \rightarrow 1s_{2} \\ 0 \ 11 \rightarrow 1s_{3} \\ \vdots \\ 1 \ 11 \rightarrow 1s_{3} \\ 1 \ 10 \rightarrow 1s'_{2} \\ 1 \ 00 \rightarrow 1s_{1} \end{array}
```

How this implementation always generate closed gray codes when n is even? Suppose n=2m. The generated code should have the following structure to consider it as closed:

```
0s_1
0s_2
\vdots
0s_m
1s'_{m-1}
\vdots
1s'_2
1s_1
```

Suppose  $s = (b_{k-1} \dots b_1 b_0)_2$  represents a code. Based on definition  $k = \lceil \log_2 m \rceil$ . For generating  $s_1$  to  $s_m$  we flip s digits m-1 times. We define  $c_i$  as the number

of times bit ith is flipped. It's obvious that:

$$m - 1 = \sum_{i=0}^{k-1} c_i$$

For the second half of the list which starts with  $1s_m$  we use the same pattern to flip bits exactly m-1 times. So in total we have:

$$2 \times (m-1) = \sum_{i=0}^{k-1} 2 \times c_i$$

So the *i*th bit is flipped  $2 \times c_i$  which is an even number. In other words if we flip the *i*th bit of  $s_0$ ,  $c_i$  times for all  $0 \le i \le k-1$  we get  $s_m$ . If we flip the *i*th bit of  $s_m$ ,  $c_i$  times for all  $0 \le i \le k-1$  we get  $s_0$ . So the list starts with  $0s_1$  and ends with  $1s_1$ .

#### **1.3.1** Implementing $\lfloor \log_2 n \rfloor$ and $\lceil \log_2 n \rceil$

Note that calculating  $\lceil \log_2 n \rceil$  can be tricky. According to definition we have:

$$\lceil \log_2 n \rceil = r \implies 2^{r-1} < n \le 2^r$$
$$|\log_2 n| = r \implies 2^r < n < 2^{r+1}$$

So for  $\lceil \log_2 n \rceil$  we are looking for the maximum  $2^i$  which is smaller than n. When we find it the answer is i+1. In each iteration as long as  $2^i < n$ , we can assume the result is at least i+1:

```
int ceilLogarithm(int n)
{
   int r = 0;
   for (int m = 1; m < n; m *= 2)
        ++r;
   return r;
}</pre>
```

For calculating  $\lfloor \log_2 n \rfloor$  we are looking for the minimum  $2^i$  which is bigger than n. When we find it the answer is i-1. In each iteration as long as  $2^i \leq n$ , we can assume the result is at least i:

```
int floorLogarithm(int n)
{
   int r = 0;
   for (int m = 2; m <= n; m *= 2)
     ++r;
   return r;
}</pre>
```

#### 1.4 Website Questions

#### 1.4.1 SRM 784 - Division II, Level One: Scissors

You are in charge of N other people. You have a pair of scissors. But none of your N helpers do.

You purchased N pairs of scissors which are wrapped in plastic. Getting scissors out of the plastic wrap requires having another pair of scissors (that's not in plastic) and it takes 10 seconds. Assume that everything other than opening the packages happens instantly.

Calculate and return the shortest amount of time (in seconds) in which it is possible to release all the scissors from their plastic wraps.

For more information visit this website.

**Solution** There are two methods which are similar to each other. Note that how using different approaches to break down the problem can make it easier to understand.

**Method 1** Suppose that we have k knives:

**Hypothesis:** We know the solution for n < N and  $1 \le k \le N + 1$ 

**Proof:** Note that we must prove that it also correct for n = N and  $1 \le k \le N+1$ . So if we have N unwrapped packages and k knives  $(1 \le k \le N+1)$ , we can unwrap at most k packages. After it we have at most k+k knives.

$$T(n,k) = \begin{cases} T(n-k,2k) + 10 & k \le n \land n \ne 0 \\ 0 & n = 0 \\ T(0,k+n) + 10 & k > n \land n \ne 0 \end{cases}$$

The solution is T(N,1). Because at the beginning we only have one unwrapped knife.

Method 2 Unlike the previous one, the hypothesis only has one parameter.

**Hypothesis:** We know the solution for n < N pairs of wrapped scissors.

**Proof:** Suppose n = N. For an optimal solution we want to use as many pairs of scissors as possible to unwrap the remaining ones. Suppose T(n) is the minimum time required to unwrap n pairs of scissors. Of course after we do that we have n+1 pairs of scissors (remember you have a pair of unwrapped scissors). For edge cases we consider two possible scenarios:

1. n = N = 2k+1 We use the hypothesis and find the answer for the first k wrapped pairs of scissors (T(k)). Then using those k ones plus the first one we can unwrapped the remaining k+1 ones:

$$T(2k+1) = T(k) + 10$$

2. n = N = 2k We use the hypothesis and unwrapped the first k pairs of scissors. Besides those k pairs of scissors, we have another one which is unwrapped from the beginning but we only have k pairs of wrapped scissors. We don't use one of those k+1 ones:

$$T(2k) = T(k) + 10$$

Note that the following equation is not always correct:

$$T(2k) = T(k-1) + 10 \times 2$$

Because by unwrapping the first k-1 ones, we have k pairs of unwrapped scissors and k+1 wrapped ones. On the other hand,  $T(k) = T(k-1) \vee T(k) = T(k-1) + 10$ . So T(2k) = T(k) + 10 finds the optimal solution.

We can squeeze both equations into one:

$$T(n) = \begin{cases} T(\lfloor \frac{n}{2} \rfloor) + 10 & n > 0 \\ 0 & n = 0 \end{cases}$$

#### 1.5 Exercises

**Exercise 1** For n = 1 it's trivial. We assume it's true for n. Now we want to extend it to n + 1:

$$\begin{array}{c|ccccc} x^{n+1} - y^{n+1} & x - y \\ \hline x^{n+1} - x^n y & x^n \\ \hline x^n y - y^{n+1} & \end{array}$$

We use hypothesis. Assume that  $\frac{x^n - y^n}{x - y} = z$  So we can write:

$$\frac{x^{n+1} - y^{n+1}}{x - y} = \frac{x^n(x - y) + x^n y - y^{n+1}}{x - y}$$
$$= \frac{x^n(x - y) + y(x^n - y^n)}{x - y}$$
$$= \frac{x^n(x - y)}{x - y} + \frac{y(x^n - y^n)}{x - y}$$
$$= x^n + yz$$

**Exercise 7** For n = 1 The answer is trivial. Assume that it's correct for 2n. We want to prove that it also corrects for 2n + 2. Assume that the name of the set is S. Based on the problem definition |S| = n + 2. We want to prove there exists pair (a, b) in which  $a \mod b = 0$ . There are three cases:

- 1. All members in S are less than or equal to 2n. We can use induction hypothesis to find a and b.
- 2. Either 2n+1 or 2n+2 is in S. Then we need to choose n+1 numbers from 1 to 2n. Based on hypothesis we can find a and b.
- 3. Both 2n + 1 and 2n + 2 are in S. There are two cases:
  - (a)  $n+1 \in S$ : We can choose a=2n+2 and b=n+1 and we are done.
  - (b)  $n+1 \notin S$ : We define a new set:

$$S' = \{ s \in S \mid s \neq 2n + 1 \land s \neq 2n + 2 \} \cup \{ n + 1 \}$$

Since all members of S' are less than or equal to 2n and |S'| = n + 1, based on hypothesis there should be a and b in S'. It's obvious that  $b \leq \frac{2n}{2} = n$ . If a = n + 1, then  $2n + 2 \mod b = 0$  so we are done. If  $a \neq n+1$  we are also done.

**Exercise 14** We assume  $a_1 = 1, a_2 = 2, a_3 = 3, a_4 = 4, a_5 = 5, a_6 = 10, a_7 = 10$  $20, \ldots$  For n = 1 it's trivial. We assume it's correct for k < n. Suppose S(n)has the answer. There should be at least one  $a_i$  in which  $n-a_i < a_i$  so we are sure  $a_i \notin S(n-a_i)$ :

$$S(n) = \begin{cases} S(n - a_i) \cup \{a_i\} & \lfloor \frac{n}{2} \rfloor < a_i < n \\ \{a_i\} & n = a_i \end{cases}$$

For n < 5 this  $a_i$  exists. Since  $a_{i+1} = 2a_i$  for  $i \ge 5$ , there is at least one  $a_i$  in that range.

**Exercise 15** The example in problem statement is wrong: 81 = 54 + 24 + 3. Anyway, we cannot use the proof of exercise 14. Because we assume there exists at least one  $\left|\frac{n}{2}\right| < a_i < n \lor a_i = n$  which doesn't exist for n = 48. On the other hand it's impossible to find an answer for n = 49.

By changing the hypothesis we can solve this problem. We add the dummy member  $a_0 = 0$  to the series so we have:

$$A = \{0, 1, 2, 3, 6, 12, 24, 54, 84, 114, \dots\}$$

We assume the answer is S(n,k) which is the unique list of  $a_i \in A$  in such a  $way S(n,k) = \{a_i \in A \mid a_i \neq 0 \land a_i \leq k\}$ 

$$S(n,k) = \begin{cases} S(n - a_i, a_{i-1}) \cup \{a_i\} & \max(\{a_i \in A \mid a_i \le k\}) \\ \emptyset & k = 0 \lor n = 0 \end{cases}$$

The final solution is S(n,n). Unlike exercise 14 solution, this one can handle a case like  $S(48, 24) = \{\underbrace{1, 2, 3, 6, 12}_{24}, 24\}$ 

**Exercise 17** For n=3 it's correct. We need to prove adding 1 line, increase the number of triangles by at least 1. Suppose it's true for n lines. Now consider line n+1. If we remove line n, we can use hypothesis so line n+1 create at least one triangle. Consider one of them and we call it T. If we add line n again there are two cases:

- 1. Line n does not cross triangle T. So line n+1 creates at least one triangle.
- 2. Line n crosses triangle T. Note that since lines are in general positions, when a line crosses a triangle, it intersects with exactly two edges of triangle. There are two cases
  - (a) Line n crosses one edge of triangle which line n+1 creates. So T is not a triangle anymore but it contains a smaller triangle T' which consists of lines n, n+1 and another line that creates T. We call it n'. Besides T, which is not a triangle anymore, line n+1 should create at least another triangle. If we remove n', Both T and T' lose one edge. Based on hypothesis line n+1 should create another triangle named T''. Since two lines in general positions intersect each other in exactly one point, line n' doesn't cross triangle T''. So we can safely add it again.
  - (b) Line n intersects line n+1 outside T. So n crosses two edges of T that n+1 creates none of them. T is not triangle anymore but it contains a smaller triangle T' which n and two other lines that create T also creates T'. We call them n' and n''. If we remove n' or n'', Both T and T' loses one edge. Based on hypothesis, line n+1 should have another triangle T'' that neither n' nor n'' cross it.

Exercise 23 This is Pick's theorem. Since base case is more complicated we prove it later! Suppose the theorem is true for polygon P and triangle T which only shares 1 edge with it. We want to prove it's also true for PT. In other words, since we can triangulate every simple polygon, we create PT just by adding T to P. Suppose P and T share C boundary points on that common edge (including two vertices). So we can calculate the boundary points of PT:

$$\begin{aligned} p_{pt} &= p_p + p_t - 2C + 2 \\ \Longrightarrow p_p + p_t &= p_{pt} + 2C - 2 \end{aligned}$$

We can also calculate its interior points:

$$\begin{aligned} q_{pt} &= q_p + q_t + C - 2 \\ \Longrightarrow q_p + q_t &= q_{pt} - C + 2 \end{aligned}$$

Now we can calculate the areas of PT:

$$\begin{split} A_{pt} &= A_p + A_t \\ &= \frac{p_p}{2} + q_p - 1 + \frac{p_t}{2} + q_t - 1 \\ &= \frac{p_p + p_t}{2} + q_p + q_t - 2 \\ &= \frac{p_{pt} + 2C - 2}{2} + q_{pt} - C + 2 - 2 \\ &= \frac{p_{pt}}{2} + q_{pt} - 1 \end{split}$$

So the formula is also correct for PT. Since for an arbitrary polygon, we remove a triangle that shares an edge with it in each step, the base case is a triangle. So we need to prove this theorem is true for all triangles. We prove it in multiple steps. First note the following facts:

- 1. we can break every rectangle into two right triangles. Those two triangles share exactly two edges with the rectangle.
- 2. We can break every rectangle into one triangle which is not necessary right and two right triangles.

First we need to prove it for rectangles. We assume the theorem is true for all rectangles  $n\times m$  in which  $n\le N$  and  $m\le M$ . We want to prove it also correct for  $N\times M+1$  and  $N+1\times M$ . The base case is a unit square which is trivial. Now consider rectangle  $R=N\times M+1$ . We can consider it as two rectangles  $R_1=N\times M$  and  $R_2=N\times 1$ . Based on hypothesis the formula is correct for  $T_1$  and  $T_2$ . Assume  $T_1$  and  $T_2$  has  $T_3$  boundary points in common.

$$\begin{aligned} p_r &= p_{r_1} + p_{r_2} - 2C + 2 \\ \Longrightarrow p_{r_1} + p_{r_2} &= p_r + 2C - 2 \\ q_r &= q_{r_1} + q_{r_2} + C - 2 \\ \Longrightarrow q_{r_1} + q_{r_2} &= q_r - C + 2 \end{aligned}$$

So we have

$$\begin{split} A_r &= A_{r_1} + A_{r_2} \\ &= \frac{p_{r_1} + p_{r_2}}{2} + q_{r_1} + r_{r_2} - 2 \\ &= \frac{p_r}{2} + q_r - 1 \end{split}$$

Similarly we can break  $N+1\times M$  into  $T_1=N\times M$  and  $T_2=1\times M$  and prove it.

Now we know it's true for all rectangles, we want to prove it for all right triangles that share exactly two edges with surrounding rectangle. As mentioned

before we can break every rectangle R into two right triangles  $T_1$  and  $T_2$ . Assume those two triangles have C common boundary points:

$$\begin{split} p_r &= p_{t_1} + p_{t_2} - 2C + 2 \\ q_r &= q_{t_1} + q_{t_2} + C - 2 \end{split}$$
 
$$A_{t_1} = A_{t_2} = \frac{A_r}{2} \\ &= \frac{\frac{p_r}{2} + q_r - 1}{2} \\ &= \frac{\frac{p_{t_1} + p_{t_2} - 2C + 2}{2} + q_{t_1} + q_{t_2} + C - 2 - 1}{2} \\ &= \frac{\frac{p_{t_1} + p_{t_2}}{2} + q_{t_1} + q_{t_2} - 2}{2} \\ &= \frac{\frac{p_{t_1} + p_{t_2}}{2} + q_{t_1} - 1 + \underbrace{\frac{p_{t_2}}{2} + q_{t_2} - 1}_{A_{t_2}}}_{2} \end{split}$$

Now we need to prove the theorem is correct for case 2 which have 3 triangles. As mentioned before we can break rectangle R into two right triangles  $T_1$  and  $T_2$  that shares two edges with R and triangle T which is not necessary right and shares 1 edge with T. Assume  $T_1$  and T have  $C_1$  common boundary points. Also assume  $T_2$  and T have  $C_2$  boundary points:

$$p_{r} = p_{t_{1}} + p_{t_{2}} + p_{t} - 2C_{1} - 2C_{2} + 2$$

$$\implies p_{r} - p_{t_{1}} - p_{t_{2}} = p_{t} - 2C_{1} - 2C_{2} + 2$$

$$q_{r} = q_{t_{1}} + q_{t_{2}} + q_{t} + C_{1} - 2 + C_{2} - 1$$

$$\implies q_{r} - q_{t_{1}} - q_{t_{2}} = q_{t} + C_{1} + C_{2} - 3$$

$$A_{t} = A_{r} - A_{t_{1}} - A_{t_{2}}$$

$$= \frac{p_{r}}{2} + q_{r} - 1 - \frac{p_{t_{1}}}{2} - q_{t_{1}} + 1 - \frac{p_{t_{2}}}{2} - q_{t_{2}} + 1$$

$$= \frac{p_{r} - p_{t_{1}} - p_{t_{2}}}{2} + q_{r} - q_{t_{1}} - q_{t_{2}} + 1$$

$$= \frac{p_{t} - 2C_{1} - 2C_{2} + 2}{2} + q_{t} + C_{1} + C_{2} - 3 + 1$$

$$= \frac{p_{t}}{2} + q_{t} - 1$$

**Exercise 24** Assume  $k = \lceil \log_2 n \rceil$ . For k we can only have n = 2. But for k-1, we can create n objects. Suppose n = 2m. We use gray code algorithm to create  $s_1, s_2, \ldots, s_m$ . It's obvious  $s_i$  has k-1 bits. We define  $s_i'$  as the complement of  $s_i$  (each bit is flipped). If  $s_i$  and  $s_{i+1}$  differ only in 1 bit, then  $s_i$  and  $s_{i+1}'$  differ in k-1 bits. Based on these facts we can extend it to n. We

assume m is even. On the first line you can see the first m codes and on the second line you can see the rest:

If m is odd:

Note that adding a new bit is necessary. To make sure we avoid duplication we must use  $1s'_i$  and not  $0s'_i$ . For an example consider n = 8.

We can extend this idea to find gray codes that differ in exactly k-i bits. We assume  $n=2^im$ . Then we find two set of gray codes  $T=\{t_1,t_2,\ldots,t_{2^i}\}$  and  $S=\{s_1,s_2,\ldots,s_m\}$ . We need to concatenate these two codes. Consider  $t_is_j$  and  $t_{i+1}s'_{j+1}$ .  $t_i$  and  $t_{i+1}$  differ only in 1 bit. Also  $s_j$  and  $s'_{j+1}$  differ in k-i-1 bits. So in total  $t_is_j$  and  $t_{i+1}s'_{j+1}$  differ in k-i-1+1=k-i bits. We assume m is even. In the first line you can see the first  $2^{i-1}m$  codes and in the second line you can see the rest:

If m is odd:

For finding the codes that differ in exactly k-i bits,  $1 \le i \le k-1$ . If i=k-1,  $n=2^{i-1}m$ . Since  $m=\left\lceil \frac{n}{2^i}\right\rceil$ ,  $m=\left\lceil \frac{n}{2^{k-1}}\right\rceil=2$  which is gray code algorithm itself

Also note that if  $n \mod 2^i \neq 0$ , then the last code is not  $t_1s'_1$ , so the codes are not closed. This is the general form of gray codes when n is odd. We can say, if n is a multiple of  $2^i$ , then we can find gray codes with exactly k-i different bits that is closed.

**Exercise 25** Suppose we have T = (V, E). If  $T_1 = (V_1, E_1)$  is a subtree of T, then  $V_1 \subseteq V \land E_1 \subseteq E$ .  $T_1$  should have all tree properties such as  $E_1 = V_1 - 1$  and there should be exactly one path between every  $u, v \in V_1$ . Suppose  $T_2$  is another subtree of T. We define  $T_1 \cap T_2 = (V_1 \cap V_2, E_1 \cap E_2)$  which we can call it T' = (V', E'). So based on this definition  $V' \subseteq V \land E' \subseteq E$ . We claim  $T_1 \cap T_2$  is also a subtree of T. For proving this assume that it's not a subtree of T. It means there are at least two vertices  $u, v \in V'$  that aren't reachable from each other. Those two vertices should be in  $T_1$  and  $T_2$ . Based on definition there should be exactly one path  $v_{i_1}, v_{i_2}, \ldots, v_{i_k}$  in which  $u = v_{i_1}$  and  $v = v_{i_k}$ . Since both  $T_1$  and  $T_2$  are subtrees of T, they should have vertices  $v_{i_1}, v_{i_2}, \ldots, v_{i_k}$  and edges  $(v_{i_i}, v_{i_{i+1}})$  for all  $1 \leq i \leq k-1$ . Therefore T' should also have those

vertices and edges which is contradiction. So  $T_1 \cap T_2$  is also a subtree of T. Note that  $T_1 \cup T_2$  is not necessary a tree of T.

Now we use induction to prove it. For k=2 it's trivial. Assume it's correct for  $\leq k$  subtrees. We want to prove it for k+1 subtrees. We can conclude from hypothesis:

$$\underbrace{T_1 \cap T_2 \cap \cdots \cap T_{k-1}}_{T'} \cap T_{k+1} = S \neq \emptyset$$

$$\underbrace{T_1 \cap T_2 \cap \cdots \cap T_{k-1}}_{T'} \cap T_k = S' \neq \emptyset$$

As we proved it before, S and S' are also subtrees of T. Since  $S \cap S' \neq \emptyset$  (both of them have at least one  $v \in T'$ ), we can use hypothesis for k = 2 for S and S'. So it also holds for k + 1.

**Exercise 27** For more information you can read this Wikipedia article. Suppose T(n) is the number of regions with n points on the circle. Let's try to solve the question for small values:

$$n T(n) 
0 1 = 20 
1 1 = 20 
2 2 = 21 
3 4 = 22 
4 8 = 23 
5 16 = 24 
6 31 = 25 - 1$$

As you can see  $T(n) \neq 2T(n-1)$  when n=6. So we don't know the difference between T(n) and T(n-1). Suppose we know the answer for T(n-1). Now consider point n. We need to add n-1 new segments. Consider segment i which connects point n to point i. Since no three segments intersect each other on one point, The number of new regions that it produce is the number of segments it intersects plus one. We know that on one side of segments i there are i-1 points and on the opposite side there are n-2-(i-1) points. Therefore segment i produce  $(i-1)\times(n-i-1)+1$  new regions. We know that (For more information read this Wikipedia article):

$$\sum_{i=1}^{n} i^2 = \frac{n(n+1)(2n+1)}{6}$$
$$= \frac{2n^3 + 3n^2 + n}{6}$$
$$= \frac{n^3}{3} + \frac{n^2}{2} + \frac{n}{6}$$

Also we know that  $\sum_{i=1}^{n} i = \frac{n(n+1)}{2}$ . So we have:

$$\begin{split} T(n) &= T(n-1) + \sum_{i=1}^{n-1} \left( (i-1) \times (n-i-1) + 1 \right) \\ &= T(n-1) + \sum_{i=1}^{n-1} \left( ni - i^2 - n + 2 \right) \\ &= T(n-1) + \sum_{i=1}^{n-1} \left( n(i-1) \right) - \sum_{i=1}^{n-1} i^2 + \sum_{i=1}^{n-1} 2 \\ &= T(n-1) + n \frac{(n-2)(n-1)}{2} - \frac{n(n-1)(2n-1)}{6} + 2(n-1) \\ &= T(n-1) + (n-1) \left( \frac{n(n-2)}{2} - \frac{n(2n-1)}{6} + 2 \right) \\ &= T(n-1) + (n-1) \frac{n^2 - 5n + 12}{6} \\ &= T(n-1) + \frac{n^3}{6} - n^2 + \frac{17n}{6} - 2 \end{split}$$

So the answer is  $T(n)=T(n-1)+\frac{n^3}{6}-n^2+\frac{17n}{6}-2$ . We can find an answer for this recursive function. Suppose  $D(n)=\frac{n^3}{6}-n^2+\frac{17n}{6}-2$ . D(0)=-2 but for  $n\geq 1$ , D(n) is correct. Remember that T(0)=1. Also according to this article we have:

$$\sum_{i=1}^{n} i^{3} = \left(\sum_{i=1}^{n} i\right)^{2}$$
$$= \left(\frac{n(n+1)}{2}\right)^{2}$$
$$= \frac{n^{4}}{4} + \frac{n^{3}}{3} + \frac{n^{2}}{2}$$

So we have:

$$T(n) = \sum_{i=1}^{n} \left(\frac{i^3}{6} - i^2 + \frac{17i}{6} - 2\right) + 1$$
$$= \frac{n}{24} (n^3 - 6n^2 + 23n - 18) + 1$$

**Exercise 30** Suppose it's true for a complete tree of height h. In other words  $S(h) = 2^{h+1} - h - 2$ . We want to prove that  $S(h+1) = 2^{h+2} - h - 3$ . Based on definition a complete tree of height h+1 consists of two complete trees of height h plus a new root. We define the height of node v as H(v) = h - d(v). We know that d(v) is the distance of v from the root of tree. When we add a new root to the tree we have H(v) = h + 1 - (d(v) + 1) = h - d(v). In other

words, its height doesn't change. So we have:

$$S(h+1) = 2S(h) + h + 1$$
$$= 2(2^{h+1} - h - 2) + h + 1$$
$$= 2^{h+2} - h - 3$$

Now let's define another problem. Let's find the number of nodes in a complete tree of height h. We called it V(h). We know that V(0) = 1. Based on definition we have:

$$\begin{split} V(h) &= 2V(h-1)+1\\ &= 2(2(V(h-2)+1))+1\\ &= \underbrace{2(2(2(V(h-3)+\underbrace{1}_{2^2})+\underbrace{1}_{2^1}))+1}_{2^2})+1\\ &= 2^h + \sum_{i=0}^{h-1} 2^i\\ &= 2^h + \frac{1\times 2^h - 1}{2-1}\\ &= 2^{h+1} - 1 \end{split}$$

**Exercise 31** We assume  $F(2k+1) = F(k)^2 + F(k+1)^2$  is correct for  $k \le n$ . We know that 2k+1 is an odd number. Let's find the formula for F(2k). We know that F(2k+1) = F(2k) + F(2k-1). So we have:

$$F(2k) = F(2k+1) - F(2k-1)$$

$$= F(k)^{2} + F(k+1)^{2} - (F(k-1)^{2} + F(k)^{2})$$

$$= F(k+1)^{2} - F(k-1)^{2}$$

So based on hypothesis for  $1 \le k \le n$  (we assume F(0) = 0):

$$F(2k) = F(k+1)^2 - F(k-1)^2$$
$$F(2k+1) = F(k)^2 + F(k+1)^2$$

For k = 1 it's trivial. Now we want to prove:

$$F(2n+2) = F(n+2)^2 - F(n)^2$$
$$F(2n+3) = F(n+1)^2 + F(n+2)^2$$

Let's start with F(2n+2). We know that  $F(n+2)^2 = (F(n+1) + F(n))^2$ . So  $F(n)^2 + F(n+1)^2 = F(n+2)^2 - 2F(n)F(n+1)$ :

$$\begin{split} F(2n+2) &= F(2n+1) + F(2n) \\ &= F(n)^2 + F(n+1)^2 + F(n+1)^2 - F(n-1)^2 \\ &= F(n+2)^2 - 2F(n)F(n+1) + F(n+1)^2 - F(n-1)^2 \\ &= F(n+2)^2 + \underbrace{F(n+1)}_{F(n)+F(n-1)} \left( -2F(n) + \underbrace{F(n+1)}_{F(n)+F(n-1)} \right) - F(n-1)^2 \\ &= F(n+2)^2 + (F(n-1) + F(n))(F(n-1) - F(n)) - F(n-1)^2 \\ &= F(n+2)^2 + F(n-1)^2 - F(n)^2 - F(n-1)^2 \\ &= F(n+2)^2 - F(n)^2 \end{split}$$

Now we can easily prove F(2n+3):

$$F(2n+3) = F(2n+2) + F(2n+1)$$

$$= F(n+2)^2 - F(n)^2 + F(n)^2 + F(n+1)^2$$

$$= F(n+1)^2 + F(n+2)^2$$

Note that based on this equation we can solve Fibonacci numbers in  $O(\log_2 n)$ :

```
unordered_map<int, uint64_t> calc;
uint64_t fibonacci(int n) //O(logn)
 if (n == 0 || n == 1)
   return n;
              //To avoid stack overflow when n = 2
   return 1;
 auto& res = calc[n];
 if (res != 0)
   return res;
 int k = n / 2;
 if ((n \% 2) == 0)
    auto res1 = fibonacci(k + 1);
   auto res2 = fibonacci(k - 1);
   res = res1 * res1 - res2 * res2;
 }
 else
   auto res1 = fibonacci(k);
    auto res2 = fibonacci(k + 1);
   res = res1 * res1 + res2 * res2;
 return res;
```

**Exercise 32** For simplicity  $z = n^2 - m(n+1) + 2n + m^2$ . We prove this in two steps:

1. We assume inequality is true for m and using that we prove it's correct for m+1. For the base case m=1 we have  $n^2-(n+1)+2n+1=n^2+n\le n^2+n$ . We assume  $z\le n^2+n$ . Now consider m+1:

$$n^{2} - (m+1)(n+1) + 2n + (m+1)^{2} \le n^{2} + n$$

$$\implies n^{2} - m(n+1) - n - 1 + 2n + m^{2} + 2m + 1 \le n^{2} + n$$

$$\implies z + 2m - n \le n^{2} + n$$

$$\implies 2m - n \le 0$$

$$\implies m \le \frac{n}{2}$$

$$= \lfloor \frac{n}{2} \rfloor$$

So the inequality is true for  $1 \le m \le \lfloor \frac{n}{2} \rfloor$ 

2. We assume inequality is true for m and using that we prove it's correct for m-1. For the base case m=n we have  $n^2-n(n+1)+2n+n^2=n^2-n^2-n+2n+n^2=n^2+n$ . We assume  $z\leq n^2+n$ . Now consider m-1:

$$n^{2} - (m-1)(n+1) + 2n + (m-1)^{2} \le n^{2} + n$$

$$\implies n^{2} - m(n+1) + n + 1 + 2n + m^{2} - 2m + 1 \le n^{2} + n$$

$$\implies z - 2m + n + 2 \le n^{2} + n$$

$$\implies -2m + n + 2 \le 0$$

$$\implies m \ge \lceil \frac{n+2}{2} \rceil$$

Note that n is an integer. To cover the edge case  $\frac{n}{2}$ :

- 1. n=2k: For the first induction  $m \leq \frac{2k}{2} = k$ . For the second induction  $m \geq \frac{2k+2}{2} = k+1$ . So we covered  $1 \leq m \leq n$ .
- 2. n=2k+1: For the first induction  $m \leq \frac{2k+1}{2} = k+\frac{1}{2} = k$ . For the second induction  $m \geq \frac{2k+3}{2} = \frac{2k+2+1}{2} = k+1+\frac{1}{2} = k+2$ . As you can see when n is odd, the inductions don't cover k+1. So we need to consider this special case. Based on first induction we know  $z=n^2-k(n+1)+2n+k^2 \leq n^2+n$ :

$$n^{2} - (k+1)(n+1) + 2n + (k+1)^{2} \le n^{2} + n$$

$$\Rightarrow n^{2} - k(n+1) - n - 1 + 2n + k^{2} + 2k + 1 \le n^{2} + n$$

$$\Rightarrow z + 2k - n \le n^{2} + n$$

$$\Rightarrow 2k - n \le 0$$

$$\Rightarrow k \le \frac{n}{2}$$

$$\Rightarrow k \le \frac{2k+1}{2}$$

$$\Rightarrow k \le k + \frac{1}{2}$$

**Exercise 33** For more information read this CodeForces article and this Wikipedia article.

We choose the number of vertices as induction parameters. If |V|=1 we don't have any edges so it's trivial. Suppose we know how to partition the edges of every undirected connected graph with less than V vertices, which doesn't have bridge edges, into ear decomposition. Now consider an arbitrary connected undirected graph G=(V,E). We choose an arbitrary vertex u. We know that every edge in this graph should be in a cycle; otherwise by removing that edge we disconnect the graph which means we have a bridge which is contradiction. We remove vertex u and all its edges. We may introduce bridges.

Consider bridge (x, y). Since in presence of u, (x, y) is not a bridge, it means there is a path  $x \to u \to y$  which doesn't have edge (x, y). Adding (x, y) to that path, makes it a cycle. Note that bridge (x, y) is only in one cycle. So removing (x, y) doesn't introduce new bridges. We call the set of all those edges that by u removal become bridges, D.

In summery we remove vertex u and all edges in D. Now we have connected components  $C_1, \ldots, C_n$ . Each of them doesn't have any bridges. We use the hypothesis to find ear decomposition for them. Now we add vertex u and its edges as well as those edges in D. Assume the degree of vertex u is d. So there are cycles  $c_1, \ldots, c_d$  that include u. All edges in D are in these cycles. Now consider  $c_i$ . If all edges of  $c_i$  are not in previous ears, We add  $c_i$  as a new ear otherwise we break  $c_i$  into one or more paths. Each of these paths have edges that aren't in previous ears and its endpoints belong to previous ears.

## Chapter 2

# Algorithms Involving Sequences and Sets

#### 2.1 String Matching

Suppose we have  $A = a_0 a_1 \dots a_{n-1}$  and  $B = b_0 b_1 \dots b_{m-1}$  are two strings. We assume  $m \leq n$ . We want to determine whether B is a substring of A. We define next[i] = j for all  $0 \leq i \leq m-1$  as the maximum index j such as the first j+1 characters should be equal to the last j+1 ones:

$$next[i] = \begin{cases} \max_{j=0}^{i-2} (j) \implies b_0 b_1 \dots b_j = b_{i-j-1} b_{i-j} \dots b_{i-1} & i \ge 2\\ -1 & i = 1 \lor j \text{ doesn't exist}\\ -2 & i = 0 \end{cases}$$

Note that the minimum index of suffix is x = i - j - 1 which is calculated by (i-1-x)+1 = (j-0)+1. The maximum index for prefix string  $(b_0 \dots b_j)$  can be i-2 and the minimum index for postfix string  $(b_{i-j-1} \dots b_{i-1})$  can be 1.

Finding prefix and postfix strings of B is like finding substring Q = B[1..m-2] in P = B[0..m-2]. So P represents prefix and Q represents suffix.

We use mathematical induction to calculate next[i]. The base case is i=1 which is trivial. We assume next[k] is true for all k < i. Now consider next[i]. Assume j = next[i-1]. So we have:

$$b_0 \dots b_j = b_{i-j-2} \dots b_{i-2}$$

There are two scenarios:

- 1.  $b_{j+1} = b_{i-1}$  In that case next[i] = next[i-1] + 1 = j+1 because  $b_0 \dots b_j b_{j+1} = b_{i-j-2} \dots b_{i-2} b_{i-1}$
- 2.  $b_{j+1} \neq b_{i-1}$  In that case we cannot extend next[i-1] by 1. Suppose k = next[j+1] which implies  $b_0 \dots b_k = b_{j-k} \dots b_j$ . According to above

equation in box  $b_j = b_{i-2}, b_{j-1} = b_{i-3},...$  So we can say  $b_0...b_k = b_{i-k-2}...b_{i-2}$ . This is like scenario 1. We need to compare  $b_{k+1}$  to  $b_{i-1}$  and if they are equal then next[i] = next[j+1] + 1 = k+1

The implementation of above algorithm:

```
vector<int> calculateNext(const string& B)
 vector<int> next(B.length());
 next[0] = -2;
 next[1] = -1;
 int i, j;
 for (i = 2; i < B.length(); ++i)</pre>
    j = next[i - 1] + 1;
    for (; j >= 0 && B[j] != B[i - 1]; j = next[j] + 1);
   next[i] = j;
 return next;
int findSubstring(const string& A, const string& B)
  const auto next = calculateNext(B);
  int i, j;
 for (i = 0, j = 0; i < A.length() && j < B.length();)
    if (A[i] == B[j])
      ++i, ++j;
    else if ((j = next[j] + 1) < 0)
      ++i, j = 0;
 if (j == B.length())
    return i - B.length();
  return -1;
}
```

Notice the edge cases. For example if A=ababc and B=abc. When i=2 and j=2, we know that  $A[2] \neq B[2]$  so we must use next[2]=-1 in the next iteration by comparing A[2] with B[next[2]+1]=B[0]. In other words we shouldn't increase i in this iteration. On the other hand, if A=abfoo and B=abc, when i=2 and j=2, in the next iteration we must compare A[2] with B[next[2]+1]=B[0]. Like previous example we shouldn't increase i in this iteration. But in the next iteration  $A[2] \neq B[0]$  and next[0]=-2. So we must increase i and set j=0.

Let's consider findSubstring. When A[i] = B[j], we increase both i and j so we do comparison for A[i] just once. If  $A[i] \neq B[j]$ , we must compare A[i] more than once. Doing j = next[j] + 1 can be repeated at most j times. So we can say we do comparison for A[i] at most j times. But B[0..j] causes we forward A, j times to reach A[i]. We can use amortized analysis and put cost 2 for going foward in A and put cost 0 for going backward in B. So the total running time of finSubstring is O(2n) = O(n).

Now consider calculateNext. When we want to calculate next[i]. We know

that j = next[i-1] + 1; if B[i-1] = B[j] we do comparison for B[i-1] only once otherwise the number of comparisons for B[i-1] is more than once and at most j times. If  $j \geq 0$  we can say there are j+1 indices less than i such as k that for calculating next[k] we went forward in B with cost 1. So we can assign cost 2 for going forward and cost 0 for going backward (when  $B[i-1] \neq B[j]$ ). Note that if we consume all j (j < 0), the indices greater than i don't use this j. So the running time is O(2m) = O(m).

For an application of this algorithm see TopCoder SRM 428, ThePalindrome. Basically we want to add the minimum number of characters to the end of a string to make it palindrome.