Counting Irreducible Representations of General Linear Groups and Unitary Groups

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Outline

 Introduce the counting method developed by Dan Barbasch, Jia-Jun Ma, Binyong Sun, and Chen-Bo Zhu in their paper: Special unipotent representations of real classical groups: Counting and reduction. J. Eur. Math. Soc. (2025).

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- Show its application in counting the irreducible representations of general linear groups and unitary groups.

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- $\operatorname{Rep}(G)$ is the category of Casselman-Wallach representations of G, with the Grothendieck group $\mathcal{K}(G)$;
- $\operatorname{Irr}(G)$ is the set of isomorphism classes of irreducible objects in $\operatorname{Rep}(G)$, $\operatorname{Irr}_{\lambda}(G)$ is the subset with infinitesimal character $\lambda \in {}^{a}\mathfrak{h}^{*}$.

Goals

• The set Irr(G) admits a partition according to infinitesimal characters:

$$\operatorname{Irr}(G) = \bigsqcup_{\lambda \in W \setminus {}^{a}\mathfrak{h}^{*}} \operatorname{Irr}_{\lambda}(G).$$

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• Further, using the notion of the complex associated variety (also called the annihilator variety, which is always the closure of a unique nilpotent orbit by results of Borho-Brylinski and Joseph), the set ${\rm Irr}_{\lambda}(G)$ admits a finer partition:

$$\operatorname{Irr}_{\lambda}(\mathit{G}) = \bigsqcup_{\mathcal{O} \in \operatorname{G}(\mathbb{C}) \setminus \operatorname{Nil}(\mathfrak{g})} \operatorname{Irr}_{\lambda}(\mathit{G}; \mathcal{O}).$$

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Goal: Describe the size of each set $\operatorname{Irr}_{\lambda}(G; \mathcal{O})$ in terms of some combinatorial data.

Counting Formula

Theorem (Barbasch, Ma, Sun, Zhu)

$$\sharp (\operatorname{Irr}_{\nu}(\mathit{G}; \mathcal{O})) \leq \sum_{\sigma \in \operatorname{Irr}(\mathit{W}(\Lambda); \mathcal{O})} [1_{\mathit{W}_{\nu}} : \sigma] \cdot [\sigma : \operatorname{Coh}_{\Lambda}(\mathcal{K}(\mathit{G}))],$$

where $1_{W_{\nu}}$ denotes the trivial representation of the stabilizer W_{ν} of ν in W. The equality holds if the Coxeter group $W(\Lambda)$ has no simple factor of type F_4 , E_6 , E_7 , or E_8 , and G is linear or isomorphic to a real metaplectic group.

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In their paper, they use this formula to count the number of special unipotent representations to construct them via theta correspondence.

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- Lusztig also defined an equivalence relation on Irr(W), each equivalence class is called a double cell, Irr^{sp}(W) ↔ {double cells}.

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- Lusztig also defined an equivalence relation on Irr(W), each equivalence class is called a double cell, Irr^{sp}(W) ↔ {double cells}.
- There is a *j*-induction (also called the truncated induction) operation, $j_{W(\Lambda)}^W$: {special representations $W(\Lambda)$ } \rightarrow {Springer representations of W}.

Definition

For a nilpotent orbit $\mathcal{O} \in Nil(\mathfrak{g})$.

• $\operatorname{Irr}^{\operatorname{sp}}(W(\Lambda); \mathcal{O}) = \{ \sigma \in \operatorname{Irr}(W(\Lambda)) \mid \sigma \text{ is special}, j_{W(\Lambda)}^{W}(\sigma) = \sigma_{\mathcal{O}} \};$

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 $Irr(W(\Lambda); \mathcal{O})$ is a union of several double cells.

Coherent continuation representations

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Coherent family

Let $\Lambda = \nu + Q_{\iota} \subseteq {}^{a}\mathfrak{h}^{*}$, a Λ -coherent family is a map

$$\Phi: \Lambda \to \mathcal{K}(G)$$
,

such that:

- for any $\nu \in \Lambda$, $\Phi(\nu) \in \mathcal{K}_{\nu}(G)$;
- for any $F \in \mathcal{R}_{hol}(G(\mathbb{C}))$ and $\nu \in \Lambda$, $F \cdot (\Phi(\nu)) = \sum_{\mu \in \Delta(F)} \Phi(\nu + \mu)$ (where $\Delta(F)$ is the set of weights of F counted multiplicity).

Coherent continuation representation

Let $\mathrm{Coh}_{\Lambda}(\mathcal{K}(G))$ denote the complex vector space of all coherent families on Λ . It is a representation of $W(\Lambda)$ under the action

$$(w\cdot\Psi)(\nu)=\Psi(w^{-1}\nu),$$

for any $w \in W(\Lambda)$, $\Psi \in Coh_{\Lambda}(\mathcal{K}(G))$, $\nu \in \Lambda$.

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For any $\nu \in \Lambda$ we have the evaluation map

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Theorem (Schmid, Zuckerman)

ev is surjective for each $\nu \in \Lambda$, and bijective when ν is regular.

Theorem(Vogan's green book)

Suppose $\nu \in {}^a\mathfrak{h}^*$ dominant, $M \in \mathcal{K}_{\nu}(G)$ is an irreducible representation. Then there exist a unique coherent family $\overline{\Psi}$ characterised by the following properties:

- $\overline{\Psi}(\nu) = M$;
- If μ is dominant, then $\overline{\Psi}(\mu)$ is irreducible or zero.

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There is a basis $\mathcal{B} = \{\overline{\Psi_i}\}\$ of $\mathrm{Coh}_\Lambda(\mathcal{K}(G))$ such that for any regular dominant μ , $\overline{\Psi_i}(\mu)$ is an irreducible representation with infinitesimal character μ .

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We can also define basal subrepresentations, which are subrepresentations of $\mathrm{Coh}_{\Lambda}(\mathcal{K}(G))$ spanned by a subset of \mathcal{B} .

• For any subset \mathcal{S} of $\mathrm{Coh}_{\Lambda}(\mathcal{K}(G))$, denote by $\langle \mathcal{S} \rangle$ the minimal basal subrepresentation containing \mathcal{S} .

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Cell representations

Let $\mathcal C$ be a cell in $\mathcal B$ and put $\overline{\mathcal C}=\langle \mathcal C\rangle\cap \mathcal B$. Define the cell representation attached to $\mathcal C$ by

$$\mathrm{Coh}_{\Lambda}(\mathcal{K}(\mathit{G}))(\mathcal{C}) := \left\langle \overline{\mathcal{C}} \right\rangle / \left\langle \overline{\mathcal{C}} \backslash \mathcal{C} \right\rangle$$

- There is also a coherent continuation theory $(\operatorname{Coh}_{\Lambda}(\mathcal{K}(\mathfrak{g},\mathfrak{b})))$ for highest weight representations of complex reductive Lie algebra \mathfrak{g} , similarly there is a notion of two-side cells (Kazhdan-Lusztig calls), which is 1-1 correspondence with special representations in $\operatorname{Irr}(W(\Lambda))$.
- Every Harish-Chandra cell corresponds to a Kazhdan-Lusztig cell (via comparing their annihilator ideals); furthermore, we have a map $\{Harish-Chandra\ cells\} \to \operatorname{Irr}^{sp}(W(\Lambda)),\ \mathcal{C} \mapsto \sigma_{\mathcal{C}}.$
- In fact every representation in a Harish-Chandra cell $\mathcal C$ has the same complex associated variety $\overline{\mathcal O_{\mathcal C}}$, here $\mathcal O_{\mathcal C}$ is the nilpotent orbit corresponds to $j_{W(\Lambda)}^W \sigma_{\mathcal C}$.

Conjecture

The set $\{\sigma \in \operatorname{Irr}(W(\Lambda)) \mid \sigma \text{ occurs in } \operatorname{Coh}_{\Lambda}(\mathcal{K}(G))(\mathcal{C})\}$ is contained in the double cell containing the special representation $\sigma_{\mathcal{C}}$.

BMSZ's proof of the counting formula

BMSZ proved that this conjecture holds under some technical assumptions ($W(\Lambda)$) has no simple factor of type F_4 , E_6 , E_7 , or E_8 , and G is linear or isomorphic to a real metaplectic group).

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$$\begin{split} \sharp(\operatorname{Irr}_{\nu}(G)) &= \dim \mathcal{K}_{\nu}(G) \xrightarrow{\underline{Vogan}} \dim \operatorname{Coh}_{\Lambda}(\mathcal{K}(G))_{W_{\nu}} \\ &= [1_{W_{\nu}} : \operatorname{Coh}_{\Lambda}(\mathcal{K}(G))] \\ &= \sum_{\sigma \in \operatorname{Irr}(W(\Lambda))} [1_{W_{\nu}} : \sigma] \cdot [\sigma : \operatorname{Coh}_{\Lambda}(\mathcal{K}(G))], \end{split}$$

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If S is a Zariski closed $G(\mathbb{C})$ -stable subset of $Nil(\mathfrak{g})$, then

$$\sharp(\operatorname{Irr}_{\nu,S}(G)) = \sum_{\sigma \in \operatorname{Irr}(W(\Lambda))} [1_{W_{\nu}} : \sigma] \cdot [\sigma : \operatorname{Coh}_{\Lambda,S}(\mathcal{K}(G))]$$

$$\xrightarrow{\text{Conjecture}} \sum_{\sigma \in \operatorname{Irr}_{S}(W(\Lambda))} [1_{W_{\nu}} : \sigma] \cdot [\sigma : \operatorname{Coh}_{\Lambda}(\mathcal{K}(G))].$$

Classical groups considered

Label ⋆	Classical Lie Group <i>G</i>	Complex Lie Group $\mathrm{G}(\mathbb{C})$
$\mathcal{A}^{\mathbb{R}}$	$\operatorname{GL}_n(\mathbb{R})$	$\mathrm{GL}_n(\mathbb{C})$
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A	$\mathrm{U}(p,q)$	$\operatorname{GL}_n(\mathbb{C})$ $(n=p+q)$

Identification:

$$\begin{split} {}^{a}\mathfrak{h}^{*} &= \begin{cases} \mathbb{C}^{n}, & \text{if } \star \in \{A^{\mathbb{R}}, A^{\mathbb{H}}, A\}; \\ \mathbb{C}^{n} \times \mathbb{C}^{n}, & \text{if } \star = A^{\mathbb{C}}. \end{cases} \\ Q_{\iota} &= \begin{cases} \mathbb{Z}^{n} \subseteq \mathbb{C}^{n} = {}^{a}\mathfrak{h}^{*}, & \text{if } \star \in \{A^{\mathbb{R}}, A^{\mathbb{H}}, A\}; \\ \mathbb{Z}^{n} \times \mathbb{Z}^{n} \subseteq \mathbb{C}^{n} \times \mathbb{C}^{n} = {}^{a}\mathfrak{h}^{*}, & \text{if } \star = A^{\mathbb{C}}, \end{cases} \end{split}$$

Painted Young Diagram (type $A^{\mathbb{R}}$)

A painting on a Young diagram ι of type $A^{\mathbb{R}}$ is a map (we place a symbol in each box)

$$\mathcal{P}: \mathrm{Box}(\iota) \to \{\bullet, c, d\}$$

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A painted Young diagram is a pair (ι, \mathcal{P}) consisting of a Young diagram ι and a painting \mathcal{P} on ι . Denote by $P_{\mathcal{A}^{\mathbb{R}}}(\iota)$ the set of paintings on ι of type $\mathcal{A}^{\mathbb{R}}$.

Example

The following represents a painted Young diagram.

•	•	С	d	
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Each of the following does not represent a painted Young diagram.

For a Young diagram ι , and a partition $[d_1, \cdots, d_k]$ of $|\iota|$. An assignment of type $[d_1, d_2, \cdots, d_N]$ on ι is a map

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An assigned Young diagram of type $[d_1, d_2, \cdots, d_N]$ is a pair (ι, \mathcal{Q}) consisting of a Young diagram ι and an assignment \mathcal{Q} of type $[d_1, d_2, \cdots, d_N]$ on ι . Denote by $A_{[d_1, d_2, \cdots, d_N]}(\iota)$ the set of all assignments on ι of type $[d_1, d_2, \cdots, d_N]$.

Counting result for $GL_n(\mathbb{R})$: The integral case

 $G = \mathrm{GL}_n(\mathbb{R})$ $(n \in \mathbb{N})$. \mathcal{O} is a nilpotent orbits in \mathfrak{g} , denote the corresponding Young diagram by $\iota(\mathcal{O})$.

If $\nu \in {}^a \mathfrak{h}^* = \mathbb{C}^n$ is integral, its coordinates can be permuted such that

$$\nu = (\underbrace{\lambda_1, \cdots, \lambda_1}_{d_1}, \underbrace{\lambda_2, \cdots, \lambda_2}_{d_2}, \cdots, \underbrace{\lambda_k, \cdots, \lambda_k}_{d_k}) \in \mathbb{C}^n,$$

where $[d_1, d_2, \cdots, d_k]$ is a partition of n, and the $\lambda_i \in \mathbb{C}$ satisfy the condition $\lambda_i - \lambda_i \in \mathbb{Z} \setminus \{0\}$ for any $i \neq j$.

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Theorem

$$\sharp(\operatorname{Irr}_{\nu}(\operatorname{GL}_{n}(\mathbb{R});\mathcal{O}))=\sharp(\operatorname{P}_{\mathcal{A}^{\mathbb{R}}}(\iota(\mathcal{O})))\cdot\sharp(\operatorname{A}_{[d_{1},\cdots,d_{\nu}]}(\iota(\mathcal{O}))).$$

Sketch of the calculation

Coherent continuation representations can be explicitly computed via the Hecke algebra module structure described by Lusztig and Vogan.

$$\mathrm{Coh}_{\Lambda}(\mathcal{K}(\mathrm{GL}_n(\mathbb{R}))) = \bigoplus_{2r+i \leq n} \mathrm{Ind}_{\mathrm{W}_r \times \mathrm{S}_i \times \mathrm{S}_{n-2r-i}}^{\mathrm{S}_n} \epsilon \otimes 1 \otimes 1.$$

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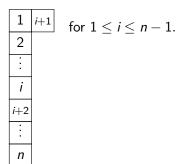
The computation makes essential use of Pieri's rule and Frobenius reciprocity.

$$\begin{split} \sharp(\operatorname{Irr}_{\nu}(\operatorname{GL}_{n}(\mathbb{R});\mathcal{O})) &= [1_{W_{\nu}} : \sigma_{\mathcal{O}}] \cdot [\sigma_{\mathcal{O}} : \operatorname{Coh}_{\Lambda}(\mathcal{K}(\operatorname{GL}_{n}(\mathbb{R})))] \\ &= [\sigma_{\mathcal{O}} : \operatorname{Ind}_{W_{\nu}}^{W} 1_{W_{\nu}}] \cdot [\sigma_{\mathcal{O}} : \operatorname{Coh}_{\Lambda}(\mathcal{K}(\operatorname{GL}_{n}(\mathbb{R})))] \\ &= \sharp \left(\operatorname{A}_{[d_{1}, \cdots, d_{k}]}(\iota(\mathcal{O})) \right) \cdot \sharp \left(\operatorname{P}_{A^{\mathbb{R}}}(\iota(\mathcal{O})) \right). \end{split}$$

Example: Minimal representations

Let $\nu \in {}^a \mathfrak{h}^*$ be a regular integral infinitesimal character, and let \mathcal{O}_{\min} denote the minimal nilpotent orbit.

There are n-1 assignments of type $[\underbrace{1,1,\ldots,1}_n]$ on it, given by



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1	i+1	for	1 <	i <	n —	1.
2					•	
÷						
i						
<i>i</i> +2						
:						
n						

Since there are 4 distinct paintings on $\iota_{\mathcal{O}}$, we obtain exactly 4(n-1) minimal representations with the fixed infinitesimal character ν .

Non-integral case

For an arbitrary $\nu \in {}^{a}\mathfrak{h}^{*}$, its coordinates can be permuted such that

$$u = (\lambda_1, \dots, \lambda_r) \in \mathbb{C}^n,$$

$$\lambda_i = (\underbrace{\lambda_{i,1}, \dots, \lambda_{i,1}}_{d_{i,1}}, \dots, \underbrace{\lambda_{i,k_i}, \dots, \lambda_{i,k_i}}_{d_{i,k_i}}) \in \mathbb{C}^{e_i} \quad (e_i \ge 1),$$

where each λ_i is integral but $(\lambda_i, \lambda_j) \in \mathbb{C}^{e_i + e_j}$ is not integral for any $i \neq j$, $[d_{i,1}, \cdots d_{i,k_i}]$ is a partition of e_i , and the condition $\lambda_{i,p} - \lambda_{i,q} \in \mathbb{Z} \setminus \{0\}$ holds for any $p \neq q$.

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Theorem

$$\sharp(\operatorname{Irr}_{\nu}(\mathcal{G};\mathcal{O})) = \sum_{\substack{(\iota_{1},\cdots,\iota_{r}) \in \operatorname{YD}_{e_{1}} \times \cdots \times \operatorname{YD}_{e_{r}} \\ \iota_{1} \stackrel{r}{\sqcup} \iota_{2} \cdots \stackrel{r}{\sqcup} \iota_{r} = \iota(\mathcal{O})}} \prod_{i=1}^{r} \sharp(\operatorname{Irr}_{\boldsymbol{\lambda}_{i}}(\operatorname{GL}_{e_{i}}(\mathbb{R});\mathcal{O}_{\iota_{i}}))$$

Key observation

There are natural isomorphism of $W(\Lambda)$ -representations:

$$\begin{array}{c} \operatorname{Coh}_{\Lambda_1}(\mathcal{K}(\operatorname{GL}_{e_1}(\mathbb{R}))) \otimes \cdots \otimes \operatorname{Coh}_{\Lambda_r}(\mathcal{K}(\operatorname{GL}_{e_r}(\mathbb{R}))) \to \operatorname{Coh}_{\Lambda}(\mathcal{K}(\operatorname{GL}_n(\mathbb{R}))) \\ \overline{\psi_1} \otimes \cdots \otimes \overline{\psi_r} \mapsto \overline{\psi} \end{array}$$

where $\overline{\Psi}(\mu) = \operatorname{Ind}_{P}^{\operatorname{GL}_{n}(\mathbb{R})} \overline{\Psi_{1}}(\mu) \otimes \cdots \otimes \overline{\Psi_{r}}(\mu)$ is still irreducible for regular dominant $\mu \in {}^{a}\mathfrak{h}^{*}$.

Painted Young diagram (type A)

A painting on a Young diagram ι of type A is a map (we place a symbol in each box)

$$\mathcal{P}: \mathrm{Box}(\iota) \to \{\bullet, s, r\}$$

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A painted Young diagram is a pair (ι, \mathcal{P}) consisting of a Young diagram ι and a painting \mathcal{P} on ι . Denote by $P_A(\iota)$ the set of paintings on ι of type A.

Let ι be a Young diagram and \mathcal{P} be a painting on ι of type A. Define the signature of \mathcal{P} to be the pair of non-negative integers

$$(p_{\mathcal{P}},q_{\mathcal{P}}):=\left(\frac{\sharp(\mathcal{P}^{-1}(\bullet))}{2}+\sharp(\mathcal{P}^{-1}(s)),\frac{\sharp(\mathcal{P}^{-1}(\bullet))}{2}+\sharp(\mathcal{P}^{-1}(r))\right),$$

for every $p,q\in\mathbb{N}$ such that $p+q=|\iota|$, we define

$$\mathrm{P}_{A}^{p,q}(\iota) := \{ \mathcal{P} \in \mathrm{P}_{A}(\iota) \, | \, (p_{\mathcal{P}}, q_{\mathcal{P}}) = (p, q) \}.$$

Counting result for U(p, q): The integral case

 $G = \mathrm{U}(p,q)$ $(p,q \in \mathbb{N})$. \mathcal{O} is a nilpotent orbit in \mathfrak{g} , denote the corresponding Young diagram by $\iota(\mathcal{O})$.

If $\nu \in {}^a\mathfrak{h}^* = \mathbb{C}^n$ (n=p+q) is integral, that is, the differences of its coordinates are integral, then its coordinates can be permuted such that

$$\nu = (\underbrace{\lambda_1, \cdots, \lambda_1}_{d_1}, \underbrace{\lambda_2, \cdots, \lambda_2}_{d_2}, \cdots, \underbrace{\lambda_k, \cdots, \lambda_k}_{d_k}) \in \mathbb{C}^n,$$

where $[d_1, d_2, \cdots, d_k]$ is a partition of n, and the $\lambda_i \in \mathbb{C}$ satisfy the condition $\lambda_i - \lambda_j \in \mathbb{Z} \setminus \{0\}$ for any $i \neq j$.

Theorem

If
$$\lambda_1 \in \frac{n-1}{2} + \mathbb{Z}$$
, then

$$\sharp(\operatorname{Irr}_{\nu}(\operatorname{U}(p,q);\mathcal{O}))=\sharp\left(\operatorname{P}_{A}^{p,q}(\iota(\mathcal{O}))\right)\cdot\sharp\left(\operatorname{A}_{[d_{1},\cdots,d_{k}]}(\iota(\mathcal{O}))\right).$$

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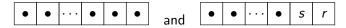
$$\sharp(\operatorname{Irr}_{\nu}(\operatorname{U}(p,q);\mathcal{O}))=\sharp\left(\operatorname{A}_{[d_{1},\cdots,d_{k}]}(\iota(\mathcal{O}))\right)\cdot\delta_{p,q}.$$

Otherwise,
$$\sharp(\operatorname{Irr}_{\nu}(\operatorname{U}(p,q);\mathcal{O}))=0.$$

Example: Generic representations

Let G = U(n, n), and let \mathcal{O}_{prin} denote the principal nilpotent orbit.

If the coordinates of ν are all half-integers, there is only one assignment on $\iota(\mathcal{O}_{\mathrm{prin}})$, and exactly two paintings:



Hence, there are exactly 2 generic representations with this infinitesimal character.

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If the coordinates of ν are all integers, then there is only one assignment. Therefore, there is only 1 generic representation with this infinitesimal character.

Thank you