# **University of Marburg**

**Faculty of Mathematics and Computer Science** 



**Bachelor Thesis** 

# Modelling of Coalgebra in Lean

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Work-Group Formal Methods

## Erklärung

Ich, Qais Hamarneh (Informatikstudent an der Philipps-Universität Marburg, Matrikelnummer 2773350), versichere an Eides statt, dass ich die vorliegende Bachelorarbeit selbstständig verfasst und keine anderen als die angegebenen Quellen und Hilfsmittel verwendet habe. Die hier vorliegende Bachelorarbeit wurde weder in ihrer jetzigen noch in einer ähnlichen Form einer Prüfungskommission vorgelegt.

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Marburg, September 23, 2019

Qais Hamarneh

#### **Abstract**

In this thesis, we explore the Lean theorem prover. We present the predefined formalization of category theory in Lean and extend it to include the definitions of certain limits and colimits and their instances in the category of sets. We build on this to formalize the basic definitions and theorems of universal coalgebra.

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# 1. Introduction

#### 1.1. Motivation

Checking the correctness of mathematical proofs is a rigorous, exhausting and often thankless job. Many proofs take years to examine and even then they might not be fully verified, as was the case with Thomas Hales' proof of the Kepler conjecture [1]. This makes mathematical verification a perfect job for a computer, which raises the demand for automated proof assistants and theorem provers.

The principles for theorem provers date back to the early foundations of logic in classic Greece. However, the logic used in most proof assistants today is built on Bertrand Russell's type theory, the work of David Hilbert on formal logic, Alonzo Church's Lambda calculus and the connection between them through the Curry-Howard-Correspondence (or propositions as types) [2].

In this work, we explore how category theory is formalized in the Lean theorem prover and add to it, in order to build a base for formalizing the basic definitions and theorem of universal coalgebra.

Lean is a relatively modern theorem prover [3] and is still an ongoing project. Nevertheless, it is already being used to formalize many fields of mathematics.

Universal coalgebra is the category theoretical dual structure of universal algebra. It serves as the theory of state based systems. This makes it of great importance for computer science.

#### 1.2. Work structure

In chapter 2, we introduce the Lean theorem prover and its logical foundation with a short introduction to its syntax and programming paradigms.

Afterwards in chapter 3, we take a look at the basics of category theory and how they are modeled in Lean. Each concept is introduced first mathematically<sup>1</sup>, based heavily on the work of Professor H. Peter Gumm in [4] and then its formalization in Lean. The Lean code in this chapter can be devided into two parts. The first comes from the category theory library in Lean-mathlib <sup>2</sup>. The second part, we developed to allow us to facilitate the modeling of coalgebra.

In chapter 4, we build on concepts introduced in chapter 3, to explore the basics of coalgebra. We follow the same structure as in 3, first a mathematical definition, then its modeling.

<sup>&</sup>lt;sup>1</sup>Snippets of the proofs will only be presented in text. The full code can be found in the appendix.

<sup>&</sup>lt;sup>2</sup> Lean-mathlib is developed by the lean community and it covers many branches of mathematics. It also introduces many new tactics, of which I made use in this work. github.com/leanprover-community/mathlib

1. Introduction 1.3. Related Work

The mathematical definitions in this chapter are based on [4]. The code, however, was developed from scratch.

#### 1.3. Related Work

As mentioned above, the mathematical part of this work is based on the work Professor H. Peter Gumm in [4] and the formalization is built on Lean-mathlib developed by Lean's open source community.

Other notable work is the ongoing project to formalize universal algebra in Lean lead by Prof. William DeMeo [5]. The idea of this project was the inspiration to explore Lean as theorem prover and start this work.

Many other fields of mathematics and logic are being formalized in Lean today including among others homo algebra, number theory, analysis, topology and set theory.

# 2. Background

In this chapter we will introduce the basics of the logical foundations and syntax of Lean. It is mainly based on Lean's online tutorial [3], unless specified otherwise.

#### 2.1. Lean Theorem Prover

Lean is an open source theorem prover. It was launched by Leonardo de Moura at Microsoft Research Center in 2013 and is being developed at Microsoft Research and Carnegie Mellon University. It has a small trusted kernel based on dependent type theory or more precisely [3]:

"A version of dependent type theory called *Calculus of Constructions* with a countable hierarchy of non-cumulative universes and inductive types."

We are going to explore those ideas and how they appear in Lean:

## 2.2. Simple Type Theory

In simple type theory, every expression has a type. We start with one or more "small types" also called the type of individuals [6]. This type corresponds to Type 0 in Lean; we will explain the number 0 later on. We can then build new types from existing ones. If  $\alpha$  and  $\beta$  are types, then the type of all functions type from  $\alpha$  to  $\beta$  is  $\alpha \to \beta$  (Lean syntax allows the use of unicode symbols and numeric subscript, for example  $\lambda \varphi \psi \circ \mathbb{A}_1 \mathbb{A}_2$ ) and their product type is  $\alpha \times \beta$ .

Lean uses Church's simply typed lambda calculus with all three constructions:

```
variables (n : N) (f : N \rightarrow N \rightarrow N) -- typed variable def somefunction : N \rightarrow N := \lambda m: N , -- function abstraction f n m -- function application -- application associate left
```

# 2.3. Dependent Type Theory

Dependent type theory extends type theory by treating types as first-class citizens. This means that each type is an object and thus in turn has a type. Types like natural numbers

 $\mathbb{N}$ , integers  $\mathbb{Z}$  and bool are objects of the type of small types  $\mathbb{T}ype\ 0$  (or in short  $\mathbb{T}ype$ ). The type  $\mathbb{T}ype\ 0$  is an element of the type  $\mathbb{T}ype\ 1$ , which is an element of type  $\mathbb{T}ype\ 2$  and so on in an infinite hierarchy.

Types that contain other types are called *universes* and the natural number defining their position in the hierarchical order is called *universe level*.

Each universe is closed under function and product types. Another word to define a universe is Sort. However, Sort u is an element of the universe Type u, which means Sort u+1 is the same universe as Type u. We will see the importance of the two keywords, when we discuss propositions.

We can declare types of any universe:

```
universe u
variables (A B : Type u)
variable (S : Sort)

#check A -- returns Type (u+1)

#check S -- returns Type or Type 0

-- #check is used to get the type of an expression.
```

In dependent type theory, as the name suggests, types can be *depend* on parameters. This feature can be illustrated in two main examples: Pi-types and Sigma-types:

**Pi-types** are generalizations of the function type in simple type theory. Given (A: Type) and a function (B: A  $\rightarrow$  Type), we can think of B as a product of an A-indexed family of types, we can construct the Pi-type ( $\Pi$  a : A, B a) or mathematically:

$$\Pi_{a:A} B_a = \{ f: A \to \bigcup_{a:A} B_a \mid f(a) \in B_a \} \subseteq \left( \bigcup_{a:A} B_a \right)^A$$

This obviously includes the type of functions  $A \to B$  if we consider B a constant function (independent from A) or ( $\Pi$  a :  $\alpha$ , B):

$$\Pi_{a:A} B = \{f: A \rightarrow B \mid f(a) \in B\} = A \rightarrow B$$

**Sigma-types** are generalizations of the product type in simple type theory. Given (A: Type) and a function (B: A  $\rightarrow$  Type), we can think of B as a sum of an A-indexed family of types, we can construct the Sigma-type ( $\Sigma$  a : A, B a) or mathematically:

$$\Sigma_{a:A} B_a = \{(a, b) \mid a \in A \ b \in B_a\} \subseteq A \times \bigcup_{a:A} B_a$$

This in turn contains the product type  $A \times B$  if we consider B a constant function (independent from A) or ( $\Sigma$  a : A, B):

$$\Sigma_{a:A} \ B = \{(a, b) \mid a \in A \ b \in B\} = A \times B$$

## 2.4. Propositions as Types

Lean's type checker depends on a central idea in simple type theory. A proof in type theory is nothing else than a program of lambda calculus. This is an embodiment of the Curry-Howard-isomorphism, which connects the following concepts [2]:

```
\begin{array}{ccc} propositions & \Leftrightarrow & types \\ proofs & \Leftrightarrow & programs \\ normalization of proofs & \Leftrightarrow & evaluations or programs \end{array}
```

Accordingly, we read (a :  $\alpha$ ) for any type  $\alpha$  as "a is a proof of  $\alpha$ ". To make use of this, Lean considers any logical proposition as a type. This type is either empty or has one element, which means all proofs of a given theorem are "definitionally equivalent". The universe of all propositions is called Prop, which is a syntactic sugar for Sort 0, the bottom of the universes hierarchy. Here we can see the reason for using two keywords to declare a universe. Take the following example:

Disregarding the word class and instance for now, this says that we can define an add function on any type  $\alpha$ . However, it does not work for propositions, because a proposition p is an element of Prop or Sort 0, which does not match any Type u for any natural number u.

The universe Prop is, just like all universes, closed under the function type and the product type.

The function type  $(f : p \rightarrow q)$  corresponds to logical implication. Given a proof (an element) of a proposition (a type) (h : p), the application of f to h yields f h : q, a proof of q.

The product type, on the other hand, corresponds to logical conjunction.

Given  $h_1:p$  and  $h_2:q$ , then  $\langle h_1,h_2\rangle:p\wedge q.$  The logical disjunction is defined as an inductive type:

The negation is simply  $\neg p := p \rightarrow false$ , where false is defined as an empty inductive type and true as an inductive type with one element.

# 2.5. Constructing a Proof in Lean

Lean allows two ways to construct a proof. The first way is functional, where the user provides an element of the required type "directly" using predefined functions and assumptions:

The only difference between a definition and a lemma (or a theorem) is that the type checker (in Lean it is called elaborator) would not unfold a lemma (or a theorem), because proofs are definitionally equivalent.

The second way is imperative using the so-called "tactic mode", where we can use different tactics to change the "goal", in order to make it easier to construct or to make Lean prove on its own.

```
theorem and to p {p q : Prop} (h : p \land q) : p := begin cases h with h_1 h_2, -- cases is a form of -- pattern matching exact h_1 end
```

The curly brackets {} indicate implicit parameters, that can be inferred from other parameters or the context. We can provide implicit parameter by writing @ before the name of the function.

Tactic mode can be accessed using begin ... end or the keyword by for one tactic and by {...} for a series of tactics. We can use tactics in place of any expression. We will introduce some other tactics as they come along.

# 3. Introduction to Category Theory and its Lean-Formalization

The mathematical definitions and theorems in this chapter are based on the chapter "Basics of Category Theory" in [4].

# 3.1. Categories

A category  $\mathbb C$  consists of a class  $\mathbb O$  of objects and a class  $\mathbb M$  of morphisms (sometimes called arrows or maps) between those objects. For each pair of objects (A,B) there is a class of morphisms Hom(A,B). For each morphism  $f \in Hom(A,B)$  we call A the domain and B the codomain of f, and we write  $f:A \to B$ . The morphisms of a category must satisfy the following conditions:

- 1. For each object A there exists a morphism  $id_A$ , which starts and ends in A, and
- 2. morphisms  $f: A \to B$  and  $g: B \to C$  can be composed to a new morphism  $g \circ f: A \to C$  respecting the following conditions:
  - a) Identity:  $f \circ id_A = f = id_B \circ f$
  - b) Associativity: If  $f: A \to B$ ,  $g: B \to C$  and  $h: C \to D$ , then  $(h \circ g) \circ f = h \circ (g \circ f)$ .

Categories are modeled in Lean's mathlib, using *type classes*. A *type class* represents a family of types, that share a common feature. Elements of a type class, which are declared as an **instance** (or within square bracket) are stored in something called *instances table* and are used by the *elaborator* implicitly, when fields of the *type class* are called. This allows us, for example, to define a morphism  $f: X \longrightarrow Y$  and the *elaborator* implicitly infers the category based on X and Y.

The class has\_hom comprises objects, that can be combined with an operator hom. Objects and morphisms do not have to reside in the same universe level, thus has\_hom must reside in a universe, that contains both types. The type of objects is an element of Type u (to avoid having a proposition as the class of objects see 2.4) and the type of morphisms is an element Sort v, which is in turn an element of Type v, then the class has\_hom must reside in max u v:

```
universes v u
class has_hom (obj : Type u) : Type (max u v) :=
    (hom : obj → obj → Sort v)
```

The class category\_struct, which extends has\_hom, has conditions 1 and 2:

The long arrows — are infix notation for morphisms. And lastly, the class category, which extends category\_struct, includes the conditions 2a and 2b:

```
class category (obj : Type u)
extends category_struct.{v} obj : Type (max u v) :=
   (id_comp' : ∀ {X Y : obj} (f : hom X Y), 1 X ≫ f = f . obviously)
   (comp_id' : ∀ {X Y : obj} (f : hom X Y), f ≫ 1 Y = f . obviously)
   (assoc' : ∀ {W X Y Z : obj} (
        f : hom W X) (g : hom X Y) (h : hom Y Z),
            (f ≫ g) ≫ h = f ≫ (g ≫ h) . obviously)
```

The composition notation  $f \gg g$  used here is read g after f. However, to avoid confusion with mathematical notation, we replace it with a local notation  $\odot$ , with which the previous example is written  $g \odot f$ .

 $\mathbb{1}$  is the id-morphism notation, with a small syntactical difference to the id function. In id the type is an implicit parameter, where in  $\mathbb{1}$  it is an explicit one. Thus, in category set (see 3.2):

```
id = 1 A = Qid A
```

**Remark.** An important concept presented in the definition of category is the use of the tactic obviously. This allows the user to define a category by just providing the fields from the upper classes has\_hom and category\_struct and Lean would attempt to automatically prove the conditions, marked with . obviously.

#### 3.1.1. Special Morphisms

While there are many kinds of special morphisms, we focus on two, that will be used often in this work:

#### Monos and Epis

A morphism  $f: A \rightarrow B$  is called

• left-cancellable or mono, if:

$$\forall g \ h : C \rightarrow A. \ f \circ g = f \circ h \Rightarrow g = h$$

• right-cancellable or epi, if:

$$\forall g \ h : B \rightarrow C. \ g \circ f = h \circ f \Rightarrow g = h$$

**Remark.** Monomorphisms are drawn with tailed arrows  $\rightarrow$ , while epimorphisms with two headed arrows  $\rightarrow$ .

Monos and epis are also modeled in Lean as *type classes*. However, since the composition notation is reversed in the modeling category theory, with respect to » monos become right-cancellable and epis left-cancellable.

To minimize the confusion around this point we use an abbreviation<sup>1</sup>:

```
abbreviation left_cancel {C : Type v} [category C] {A B C : C} (f : A \longrightarrow B) [mo : mono f] {g h : C \longrightarrow A} : (f \odot g = f \odot h) \longrightarrow g = h := assume m, (cancel_mono f).1 m abbreviation right_cancel {C : Type v} [category C] {A B C : C} (f : A \longrightarrow B) [ep: epi f] {g h : B \longrightarrow C} : (g \odot f = h \odot f) \longrightarrow g = h := assume e, (cancel_epi f).1 e
```

Here we see an example of a *type class*. Defining the *type class instance* [category C] allows us to use the morphism notation between elements of C and it tells Lean that these morphisms satisfy the conditions of a category. Later when we use this abbreviation, the *elaborator* looks for a stored instance of this category and use it automatically.

<sup>&</sup>lt;sup>1</sup>A syntactic sugar equivalent to lemma and theorem, mostly used to refer to another lemma in a different way.

#### 3.1.2. Functors

Functors relate different categories in a similar way, as maps relates different sets. However, a functor must satisfy these conditions:

Let *F* be a functor between **C** and **D**, then:

- 1. for each object A in  $\mathbf{C}$ , there is a unique object F(A) in  $\mathbf{D}$
- 2. to each **C**-morphism  $f: A \to B$ , there is a unique **D**-morphism  $Ff: F(A) \to F(B)$ , such that:

```
a) Fid_A = id_{F(A)}
b) F(f \circ g) = Ff \circ Fg for all g: C \to A
```

Functors, along with these conditions are modeled as structures in a very straightforward way:

The notation for functors is:

```
infixr ' ⇒ ':26 := functor
```

The letter 'r' at the end of infixr stands for right associative and :26 indicates the precedence.

# 3.2. The Category of Sets

The category *Set* has as objects all sets and as morphisms all maps between sets. However, in Lean, sets correspond to types, and category *Set* becomes the category types, which is modeled like this:

```
instance types : large_category (Sort u) :=  \{ \text{ hom } := \lambda \text{ a b, } (a \rightarrow b), \\ \text{id } := \lambda \text{ a, id,} \\ \text{comp } := \lambda \_\_\_ \text{ f g, g o f } \\ \text{--- system to fill in automatically.}
```

A large category, as explained in *mathlib* documentation, is a category, whose objects reside in one universe level higher than the universe level of the morphisms, which allows for categories like the category *Set* and the category of groups, etc.

**Remark.** Notice that we do not need to provide the fields of the class category, because they were marked with "obviously" (see 3.1) and in this case, they are simple enough for the tactic obviously to prove.

**Lemma 3.2.1.** In the category Set, a morphism is mono iff (if and only if) it is injective and it is epi iff it is surjective. This lemma is proven in Lean's mathlib:

```
lemma mono_iff_injective \{X \ Y : Type \ u\} \ (f : X \longrightarrow Y) :
           mono f \leftrightarrow function.injective f := ... -- omitted
lemma epi_iff_surjective \{X Y : Type u\} (f : X \longrightarrow Y) :
           epi \ f \leftrightarrow function.surjective \ f := ...
```

For the next two chapters, let us define the following variables:

```
variables \{F : Type u \Rightarrow Type u\}
      -- Endofunctor on the category of types (sets)
         \{A B : Type u\}
```

An interesting property of set-endofunctors is presented in the following lemma:

**Lemma 3.2.2.** Let F be a set-endofunctor,  $X \neq \emptyset$  and  $f: X \rightarrow Y$  an injective map, then so is Ff.

*Proof.* This is the first proof, we look at in Lean and it introduces some interesting tactics to facilitate the proof:

- We can define an object of a structure using (among other ways) curly brackets with named parameters.
- let x := t is used to make x a syntactical abbreviation of the t to x, i.e. every occurrence of x in v would be replaced by t. On the other hand, have x : T := t would hide its t and only holds its type T.
- calc is a tactic that allows the user to write the proof as a series of mathematical equations with the proof of each step written after a colon.
- rfl is short for the equation reflexive property eq.refl \_.

```
lemma mono_preserving_functor [inhabited A]
          (f : A \longrightarrow B) (inj : injective f) : mono (F.map f) :=
{ right_cancellation :=
    begin
      assume (Z:Type u) (Fg Fh : Z \longrightarrow F.obj A)
             (w : (F.map f) \circ Fg = (F.map f) \circ Fh),
      let inv : B --- A := inv_fun f,
      have id_inv : inv o f = id := funext (left_inverse_inv_fun inj),
```

```
calc
      Fg = (0id (F.obj A)) \circ Fg
                                                    : rfl
       \dots = (1 (F.obj A)) \circ Fg
                                                    : rfl
       \dots = (F.map (1 A)) \circ Fg
                                                     : by rw functor.map_id'
       ... = (F.map (@id A)) o Fg
                                                    : rfl
       \dots = (F.map (inv \circ f)) \circ Fg
                                                    : by rw id_inv
       \dots = (F.map (inv \odot f)) \circ Fg
                                                   : rfl
       \dots = ((F.map inv) \odot (F.map f)) \circ Fg : by rw functor.map_comp
       \dots = (F.map inv) \circ ((F.map f) \circ Fg)
                                                    : rfl
       \dots = (F.map inv) \circ ((F.map f) \odot Fg) : rfl
       \dots = (F.map inv) \circ ((F.map f) \odot Fh) : by rw w
       \dots = (F.map inv) \circ ((F.map f) \circ Fh) : rfl
       \dots = ((F.map inv) \circ (F.map f)) \circ Fh
                                                    : rfl
       \dots = ((F.map inv) \odot (F.map f)) \circ Fh : rfl
       \dots = (F.map (inv \odot f)) \circ Fh
                                                   : by rw functor.map_comp
       \dots = (F.map (inv \circ f)) \circ Fh
                                                     : rfl
       \dots = (F.map (@id A)) \circ Fh
                                                    : by rw id_inv
       \dots = (F.map (1 A)) \circ Fh
                                                     : rfl
       \dots = (1 (F.obj A)) \circ Fh
                                                    : by rw functor.map_id'
       \dots = (\text{@id } (F.obj A)) \circ Fh
                                                     : rfl
       \dots = Fh
                                                     : rfl
   end
}
```

# 3.3. Diagram Lemmas

Before discussing the diagram lemmas, we need a few definitions. For  $f: A \to B$  we define:

• the image of  $S \subseteq A$  under f as

$$f[S] := \{ f(a) | a \in S \}$$

which is predefined in Lean as:

```
\begin{array}{lll} \operatorname{def} \ \operatorname{image} \ \{\alpha \ : \ \operatorname{Type} \ \mathtt{u}\} \ \{\beta \ : \ \operatorname{Type} \ \mathtt{v}\} \ (\mathtt{f} \ : \ \alpha \ \rightarrow \ \beta) \ (\mathtt{s} \ : \ \mathtt{set} \ \alpha) \\ & : \ \mathtt{set} \ \beta \ := \ \{\mathtt{b} \ | \ \exists \ \mathtt{a}, \ \mathtt{a} \in \ \mathtt{s} \ \land \ \mathtt{f} \ \mathtt{a} = \mathtt{b}\} \end{array}
```

• the *kernel* of *f*:

$$ker f := \{(a_1, a_2) \in A \times A | f(a_1) = f(a_2)\}$$

The curried form of this relation:

• A map can be defined by its graph:

$$G(f) := \{(a, f(a)) | a \in A\} = \{(a, b) | a \in A \land b \in B \land \exists ! a. \ f(a) = b\}$$

```
noncomputable def graph_to_map (G : A \rightarrow B \rightarrow Prop) (h : \forall a : A , \exists! b : B, G a b) : A \rightarrow B := \lambda a , some (h a)
```

This method is marked noncomputable, because it depends on the axiom of choice some, which is not always computable.

**Lemma 3.3.1** (Diagram-Lemma). 1. Let  $f: A \rightarrow B$  be a surjective map and  $g: A \rightarrow C$  arbitrary. There is a unique map  $h: B \rightarrow C$  with  $h \circ f = g$ , iff  $Ker nf \subseteq Ker ng$ .

$$A \xrightarrow{f} B$$

$$g \qquad \exists ! h$$

$$C$$

2. Let  $f: A \rightarrow B$  be an injective map and  $g: C \rightarrow A$  an arbitrary map. Then there is a unique map  $h: C \rightarrow B$  with  $f \circ h = g$ , iff image  $g \subseteq i$  mage f.



*Proof.* The proof is done in two parts:

- 1. When *f* is surjective: The proof is fairly long. We will present a snippet of the code here and the rest can be found in Lean-Code "Diagram Lemma" in the appendix. The new concepts that appear in the proof are:
  - cases ex, we have already seen an example of the cases tactic (see 2.5). However, here it uses the axiom of choice, as explained in the comment.
  - The infix notation  $\triangleright$  stands for eq.subst.

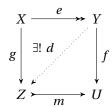
```
lemma diagram_surjective (f : A \longrightarrow B) (g : A \longrightarrow C)
                          (sur: surjective f)
        : (\exists ! h : B \longrightarrow C , h \circ f = g) \leftrightarrow (sub\_kern f g)
    := iff.intro -- splits the proof into two directions.
    begin
        assume ex,
        cases ex with h spec,
        -- h : B \longrightarrow C
        -- spec : h \circ f = g \wedge \forall h_1 , h_1 \circ f = g \rightarrow h_1 = h,
        \texttt{exact spec.1} \; \rhd \; \texttt{kern\_comp f h}
    end
    begin
        let h : B \rightarrow C := \lambda b : B, g (surj_inv sur b),
        have s4 : \forall a , h (f a) = g a := ...,
        have s6 : \forall h<sub>2</sub> :B \rightarrow C , h<sub>2</sub> \circ f = g \rightarrow h<sub>2</sub> = h := ...,
        exact exists_unique.intro h (funext s4) s6,
    end
```

#### 2. When f is injective:

```
lemma diagram_injective (f: B \longrightarrow A) (g: C \longrightarrow A) 
	(inj : injective f) 
	: (\exists! h : C \longrightarrow B , f \circ h = g) \leftrightarrow (range g \subseteq range f) 
	:= iff.intro 
	begin 
	tidy -- The \rightarrow direction is relatively easy 
	-- Lean can prove it alone using the tactic "tidy". 
end 
begin 
	assume im, 
	show \exists h : C \rightarrow B , f \circ h = g \land \forall h<sub>1</sub> , f \circ h<sub>1</sub> = g \rightarrow h<sub>1</sub> = h, 
	-- defining the graph 
	let G : C \rightarrow B \rightarrow Prop := \lambda c b , g c = f b, 
	... 
	have G2 : \forall c : C , \exists! b : B, G c b := ... 
	let h : C \longrightarrow B := graph_to_map G G2, 
	... end
```

# 3.4. Orthogonality

A very important structure in category theory is given by so-called E-M-squares. These are commutative squares (see diagram 3.4), where  $f \circ e = m \circ g$ , typically with a diagonal d, as shown in this diagram:



**Remark.** A diagram is called commutative if all possible compositions, with the same domain and codomain, are equal.

Based on this structure, we can build a few observations, presented in the following lemmas:

**Lemma 3.4.1.** *Given a category* **C** *and the E-M-Square, if:* 

- 1. e is epi and the upper triangle commutes or
- 2. m is mono and the lower triangle commutes,

then d makes both triangles commute and d is unique.

*Proof.* We start with the upper triangle, that is to show:

$$epi\ e \land d \circ e = g \implies m \circ d = f \land \forall d_1: Y \rightarrow Z. \ d_1 \circ e = g \implies d_1 = d$$

```
lemma commutative_triangles_epi {C : Type v} [category C]  \{X \ Y \ Z \ U \ : \ C\}   (e : X \longrightarrow Y) \ (f : Y \longrightarrow U)   (g : X \longrightarrow Z) \ (m : Z \longrightarrow U)   (h : f \circledcirc e = m \circledcirc g) \ (d : Y \longrightarrow Z) \ [epi \ e] \ (g_ed : g = d \circledcirc e)   : f = m \circledcirc d \ \land \ \forall \ d_1 : Y \longrightarrow Z \ , \ g = d_1 \circledcirc e \longrightarrow d_1 = d \ := \ldots
```

The other direction is quite similar:

$$mono\ m \land m \circ d = f \implies d \circ e = g \land \forall d_1 : Y \rightarrow Z. \ m \circ d_1 = f \implies d_1 = d$$

```
lemma commutative_triangles_mono {C : Type v} [category C]  \{X \ Y \ Z \ U \ : \ C\}  (e : X \longrightarrow Y) (f : Y \longrightarrow U) (g : X \longrightarrow Z) (m : Z \longrightarrow U) (h : f \odot e = m \odot g) (d: Y \longrightarrow Z) [mono m] (f_md: f = m \odot d) : g = d \odot e \land \forall \ d_1 : Y \longrightarrow Z, f = m \odot d_1 \rightarrow d_1 = d := \ldots
```

The following lemma, with some restrictions, can be applied to all categories. We prove it, however, only for the category *Set*, using the fact, that monomorphisms are the same as injective maps and epimorphisms are the same as surjective maps in the category *Set*.

**Lemma 3.4.2.** In the category Set, given an E-M-square, where e is epi and m is mono, there exists a unique diagonal d, which makes the triangles commute.

*Proof.* The proof is mathematically simple using the diagram lemmas. However it was relatively long in Lean (see A.1.2).

```
lemma E_M_square {X Y Z U : Type u}
      (e : X \longrightarrow Y) (ep : epi e)
      (f : Y \longrightarrow U) (g : X \longrightarrow Z)
      (m : Z \longrightarrow U) (mo : mono m)
      (h : f \odot e = m \odot g) :
      \exists ! d : Y \longrightarrow Z, (g = d \odot e \land f = m \odot d) :=
begin
   have diagram_sur : _ :=
      diagram_surjective e g ((epi_iff_surjective e).1 ep),
   have diagram_inj : _ :=
      diagram_injective m f ((mono_iff_injective m).1 mo),
   have range_f_m : range f \subseteq range m :=
      calc range f = range (f \ointimes e) : eq_range_if_surjective e f sur
             \dots = range (m \odot g) : by rw h
             ... ⊆ range m : range_comp_subset_range g m,
             -- See A.1.2 for definition of eq_range_if_surjective.
   . . .
   have kern_e_g : sub_kern e g :=
      sub_kern_if_injective e f g m h inj,
             -- See A.1.2 for definition of sub_kern_if_injective.
end
```

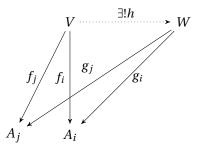
#### 3.5. Limits and Colimits

Limits and Colimits are key concepts of category theory. The two concepts can be summarized as dual to each other[7].

**Remark.** A structure in category theory is called dual of another structure if they can be obtained from each other by reversing the arrows and the order of compositions.

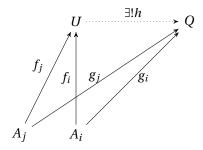
In a category  $\mathbb{C}$ , a **limit** over a family of objects  $(A_i)_{i\in I}$ , with the morphisms between them  $d_{ij}:A_i\to A_j$ , is an object V in  $\mathbb{C}$  with morphisms  $(f_i:V\to A_i)_{i\in I}$ , such that  $d_{ij}\circ f_i=f_j$  for all  $i,j\in I$  and for any object W (competitor) in  $\mathbb{C}$  with morphisms  $(g_i:W\to A_i)_{i\in I}$ , where  $d_{ij}\circ g_i=g_j$  for all  $i,j\in I$ , there exists a unique morphism  $h:W\to V$ , where:

$$\forall i \in I. \ g_i \circ h = f_i$$



A **colimit** over a family of objects  $(A_i)_{i \in I}$  and the morphisms between them is an object U in  $\mathbb C$  with morphisms  $(f_i:A_i \to U)_{i \in I}$ , such that  $f_j \circ d_{ij} = f_i$  for all  $i,j \in I$  and for each object Q (competitor) in  $\mathbb C$  with morphisms  $(g_i:A_i \to Q)_{i \in I}$ , where  $g_j \circ d_{ij} = g_i$  for all  $i,j \in I$ , there exists a unique morphism  $h:U \to Q$ , where:

$$\forall i \in I$$
.  $h \circ f_i = g_i$ 

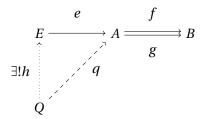


#### **Special Limits**

#### 3.5.1. Equalizer

**Definition 1** (Equalizer). Let  $(fg: A \to B)$  be two parallel<sup>2</sup> morphisms. A morphism  $e: E \to A$  is an equalizer of f and g, if:

- $f \circ e = g \circ e$  and
- For each object Q in  $\mathbb C$  with morphism  $q:Q\to A$ , such that  $f\circ q=g\circ q$ , there exists a unique morphism  $h:Q\to E$  with  $q=e\circ h$ .



The modelling of equalizers over two parallel morphisms is straight forward.

```
def is_equalizer {\mathbb{C} : Type u} [category \mathbb{C}]
{A B E : \mathbb{C}} (f g : A \longrightarrow B)
(e : E \longrightarrow A) : Prop :=
f \odot e = g \odot e \wedge

\Pi {Q : \mathbb{C}} (q : Q \longrightarrow A),
f \odot q = g \odot q \longrightarrow \exists! h : Q \longrightarrow E, q = e \odot h
```

**Lemma 3.5.1.** The equalizer in the category Set is a subset E of A, which equalizes the two maps, i.e.

$$E := \{a \in A \mid f(a) = g(a)\}$$

along with its inclusion in A.

*Proof.* To begin, we need to define the equalizer set and the inclusion:

```
def eqaulizer_set : set A := \lambda a, f a = g a def inclusion {A : Type u} (S : set A) : S \rightarrow A := \lambda s , s notation S ' \hookrightarrow ' A := @inclusion A S
```

The proof from this point is very simple:

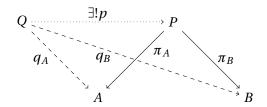
Notice that we can use the angle brackets  $\langle \rangle$  instead of and.intro. We can do that, because and is defined as a structure and we can define object of a structure using angle brackets with ordered parameters.

<sup>&</sup>lt;sup>2</sup>Morphisms with the same domain and codomain

```
lemma eqaulizer_set_is_equalizer :
    is_equalizer f g (eqaulizer_set f g \hookrightarrow A) :=
    let E := eqaulizer_set f g in
    let e := E \hookrightarrow A in
         -- These brackets replace 'and.intro'
         have elements : \forall a, (f \circ e) a = (g \circ e) a :=
                   \lambda a, a.property,
         funext elements
         begin
              intros Q q fq_gq,
              let h : Q \rightarrow E := \lambda b, \langle q b, s1 b \rangle,
              -- to show \exists ! h : Q \longrightarrow E, q = e \odot h
              use h, -- use h as example of existence
              split,
                            -- split the existence condition and
                             -- the uniqueness condition
              exact ...,
              intros h<sub>1</sub> spec_h<sub>1</sub>,
              tidy, -- simplifies the goal to h_1 x = h x for x \in \mathcal{Q}
         end
    \rangle
```

#### 3.5.2. Product

**Definition 2.** Let A and B be objects in  $\mathbb{C}$ . An object P together with morphisms  $\pi_A : P \to A$  and  $\pi_B : P \to B$  is called product of A and B, if for each other object Q with morphisms  $q_A : Q \to A$  and  $q_B : Q \to B$  there exists a unique morphism  $p : Q \to P$ , such that  $q_A = \pi_A \circ p$  and  $q_B = \pi_B \circ p$ 



The modeling of the product is also almost word for word:

```
def is_product {X : Type v} [category X] 

(A B : X) 

{P : X} (\pi_1 : P \longrightarrow A) (\pi_2 : P \longrightarrow B): Prop := 

\Pi {Q : X} (q_1 : Q \longrightarrow A) (q_2 : Q \longrightarrow B), 

\exists ! p : Q \longrightarrow P, q_1 = \pi_1 \odot p \land q_2 = \pi_2 \odot p
```

**Lemma 3.5.2.** *The product in the category Set is the cartesian product:* 

```
A \times B = \{(a, b) \mid a \in A \ and \ b \in B\}
```

along with the projection maps  $\pi_A$  and  $\pi_B$ 

*Proof.* Lean can do most of the proof by itself except for constructing the unique map  $p: Q \to P$ . However, once provided with the correct morphism the equality and the uniqueness can be proven automatically by the tactic tidy.

```
lemma cartesian_product_is_product : 
  is_product A B prod.fst prod.snd := 
  begin 
    intros Q q_1 q_2, 
    let p: Q \rightarrow (A \times B) := \lambda k, \langle q_1 k, q_2 k \rangle, 
    use p, 
    tidy, 
  end
```

In this context, we can introduce the concept of *jointly mono*.

**Definition 3** (jointly mono). *A family of morphisms*  $(m_i : P \to A_i)_{i \in I}$  *is called jointly mono, if:* 

```
\forall Q. \ \forall f,g:Q \rightarrow P. \ (\forall i \in I.m_i \circ f = m_i \circ g) \Rightarrow f = g
```

The following lemma can be proven in any category and indeed we did that. The proof can be found in the A.1.4. However, proving it just for category *Set*, presents an interesting challenge in Lean, which is **structural equality**.

**Lemma 3.5.3.** The projections from the Cartesian product to the components are jointly mono.

*Proof.* Mathematically, the proof is very obvious. However, proving the equality of two objects of a given structure in Lean required some search and the use of tactics. The tactic ext1 (among other use cases) splits the goal of equality between two structures into separate goals for each of the structures's components:

```
lemma jointly_mono_set {Q : Type u} {f g: Q \rightarrow (A \times B)}
         (h1 : prod.fst \circ f = prod.fst \circ g)
         (h2 : prod.snd \circ f = prod.snd \circ g):
        f = g :=
    have elements : \forall q : Q , f q = g q :=
        assume q,
        have s1 : prod.fst (f q) = prod.fst (g q) :=
            have s11 : (prod.fst \circ f) q = (prod.fst \circ g) q := by rw h1,
            s11,
        have s2 : prod.snd (f q) = prod.snd (g q) :=
            have s21 : (prod.snd \circ f) q = (prod.snd \circ g) q := by rw h2,
            s21,
        by {
             ext1,
             exact s1, exact s2
         },
    funext elements
```

**Remark.** Another point worth mentioning here is the need for the extra step (as in \$11 and \$21), which we will discuss later in chapter 5.

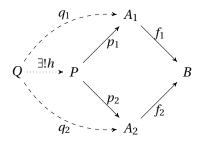
#### 3.5.3. Pullback

**Definition 4.** Let  $f_1: A_1 \to B$  and  $f_2: A_2 \to B$  be a sink<sup>3</sup>. An object P together with the morphisms  $p_1: P \to A_1$  and  $p_2: P \to A_2$  is called pullback of the  $f_1$  and  $f_2$ , if

- $f_1 \circ p_1 = f_2 \circ p_2$  and
- for each object Q with source<sup>4</sup>  $q_1: Q \to A_1$  and  $q_2: Q \to A_2$ , such that:

$$f_1 \circ q_1 = f_2 \circ q_2$$

there exists a unique morphism  $h: Q \to P$  with  $p_1 \circ h = q_1$  and  $p_2 \circ h = q_2$ .



 $<sup>^3</sup>$ A sink is a family of morphisms with the same codomain.

<sup>&</sup>lt;sup>4</sup>A source is a family of morphisms with the same domain.

```
def is_pullback {X : Type v} [category X]  \{A_1 \ A_2 \ B : X\}  (f : A_1 \longrightarrow B) (g : A_2 \longrightarrow B)  \{P : X\} \ (p_1 : P \longrightarrow A_1) \ (p_2 : P \longrightarrow A_2) : Prop :=  f \circledcirc p_1 = g \circledcirc p_2 \land  II {Q : X} (q_1 : Q \longrightarrow A_1) (q_2 : Q \longrightarrow A_2),  f \circledcirc q_1 = g \circledcirc q_2 \rightarrow  \exists ! \ h : Q \longrightarrow P, \ q_1 = p_1 \circledcirc h \land q_2 = p_2 \circledcirc h
```

The pullback can be constructed in two steps. First, taking the product  $(A_1 \times A_2)$  and then taking the equalizer of the morphisms  $(f_i \circ \pi_i : (A_1 \times A_2) \to B)$ . We can prove this last statement in the following lemma.

**Lemma 3.5.4.** Let  $A_1$ ,  $A_2$  and B be objects in  $\mathbb C$  along with morphisms  $f_1:A_1\to B$  and  $f_2:A_2\to B$ , if both the product  $A_1\times A_2$  and the equalizer of  $(f_1\circ\pi_1)$  and  $(f_2\circ\pi_2)$  exist, then so does the pullback of  $f_1$  and  $f_2$  and it is the same as the aforementioned equalizer.

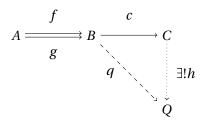
```
lemma equalizer_product_is_pullback
     {X : Type u} [category X]
     \{A_1 \ A_2 \ B \ P \ E : X\} \ (f : A_1 \longrightarrow B) \ (g : A_2 \longrightarrow B)
     (\pi_1 : P \longrightarrow A_1) (\pi_2 : P \longrightarrow A_2) (pr : is\_product A_1 A_2 \pi_1 \pi_2)
     (e : E \longrightarrow P) (eqauliz : is_equalizer (f \odot \pi_1) (g \odot \pi_2) e) :
       is_pullback f g (\pi_1 \odot e) (\pi_2 \odot e)
     :=
     tidy
      end
     begin
          intros Q q_1 q_2 fq_1\_gq_2,
          let p : Q \longrightarrow P := some (pr Q q_1 q_2),
          . . .
          have eq_comp : f \odot \pi_1 \odot p = g \odot \pi_2 \odot p :=
               calc f \odot \pi_1 \odot p = f \odot (\pi_1 \odot p) : by tidy
                    -- No associativity is defined for ⊙
                                          = g \odot (\pi_2 \odot p) : by rw spec_p.2
                                          = g \odot \pi_2 \odot p : by tidy,
     end >
```

#### **Special Colimits**

#### 3.5.4. Coequalizer

**Definition 5** (Coequalizer). Let  $f, g: A \to B$  be parallel morphisms. A morphism  $c: B \to C$  is called coequalizer of the f and g, if

- $c \circ f = c \circ g$  and
- for each object Q with morphism  $q: B \to Q$ , where  $q \circ f = q \circ g$ , there exists a unique morphism  $h: C \to Q$ , such that  $q = h \circ c$ .



```
def is_coequalizer {X : Type u} [category X] 

{A B C : X} (f g : A \longrightarrow B) (c : B \longrightarrow C) : Prop := c \odot f = c \odot g \land 

\Pi (Q : X) (q : B \longrightarrow Q), 

q \odot f = q \odot g \rightarrow 

\exists! h : C \longrightarrow Q, h \odot c = q
```

To construct the coequalizer of  $f_i: A \to B$  in category Set, we define the relation  $\Theta$  as the smallest equivalence relation on B, generated from the relation:

```
R := \{(f(a), g(a)) | a \in A\} = \{(b_1, b_2) | b_1, b_2 \in B \text{ and } \exists a \in A. f(a) = b_1 \land g(a) = b_2\}
```

The projection map  $\pi_{\Theta}: B \to B/\Theta$  with  $\pi_{\Theta}(b) = [b]_{\Theta}$  is the coequalizer of the  $f_i$ . Constructing this, takes a few steps in Lean:

1. First, defining the relation *R*:

```
\texttt{def}\ \texttt{R}\ :\ \texttt{B}\ \to\ \texttt{B}\ \to\ \texttt{Prop}\ :=\ \pmb{\lambda}\ \texttt{b}_1\ \texttt{b}_2 , \exists\ \texttt{a} , \texttt{f}\ \texttt{a}\ =\ \texttt{b}_1\ \land\ \texttt{g}\ \texttt{a}\ =\ \texttt{b}_2
```

2. Then, we can define the equivalence relation  $\Theta$  generated from R:

```
\mathsf{def}\ \Theta\ :\ \mathsf{B}\ \to\ \mathsf{B}\ \to\ \mathsf{Prop}\ :=\ \mathsf{eqv\_gen}\ (\mathsf{R}\ \mathsf{f}\ \mathsf{g})
```

eqv\_gen is a predefined relation, defined inductively to generate the smallest equivalence relation based on a given relation.

3. Next, we define a *setoid*, which is a set (type) equipped with an equivalence relation.

```
def \Theta_setoid : setoid B := eqv_gen.setoid (R f g)
```

4. Having this *setoid* allows us to define the quotient set  $B \setminus \Theta$  and the coequalizer map  $\pi_{\Theta} : B \to B \setminus \Theta$ 

```
definition theta := quotient (\Theta_{\tt setoid} \ f \ g) definition coequalizer : B \to (theta f g) := \lambda b, [b]
```

*Proof.* • The first part of the proof is made simple by the predefined functions on quotients and on equivalence relations in Lean such as quot.sound, which asserts that:

$$\forall a, b. \ a R b \Rightarrow \llbracket a \rrbracket = \llbracket b \rrbracket$$

• The second part uses the diagram lemmas 3.3.1. However, proving that the kernel of the coequalizer  $\pi_{\Theta}$  is a subset of the kernel of any competitor q requires tactics to work with *inductive types* in Lean. Therefore, we split the proof into two separate lemmas to concentrate on this very important structure in the second lemma.

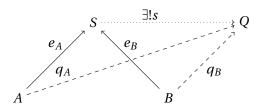
```
lemma quot_is_coequalizer
    : is_coequalizer f g (coequalizer f g) :=
      have elements : \forall a : A,
          ((coequalizer f g) \circ f) a = ((coequalizer f g) \circ g) a :=
        assume a,
        . . . ,
        have \Theta fg : \Theta fg (fa) (ga) :=
                   eqv_gen.rel (f a) (g a) Rfg,
        quot.sound \Thetafg,
      funext elements
      begin
        intros Q q qfg,
        let co := (coequalizer f g),
        have sub_ker : sub_kern co q := coequalizer_kern f g Q q qfg,
        exact (diagram_surjective co q
               (quot_is_surjective f g)).elim_right sub_ker
      end
    \rangle
```

The function rec\_on is defined automatically inside the namespace of every inductive type in Lean. We can use apply inductive\_type.rec\_on to split the goal into separate goals for each inductive case.

```
lemma coequalizer_kern :
    \Pi (Q : Type u) (q : B \rightarrow Q),
    q \circ f = q \circ g \rightarrow sub\_kern (coequalizer f g) q :=
    begin
         intros Q q qfg,
         let co := (coequalizer f g),
         let ker := kern co,
         let ker_q := kern q,
         intros b_1 b_2 kb1b2,
         have quotb1b2 : [b_1] = [b_2] := kb1b2,
         let \Thetab1b2 : eqv_gen (R f g) b<sub>1</sub> b<sub>2</sub> :=
              Qquotient.exact B (\Theta_setoid f g)
              b_1 b_2
              quotb1b2,
         apply eqv_gen.rec_on Θb1b2,
         -- relation:
         exact ...,
         -- reflexive:
         exact (\lambda x, rfl),
         -- symmetric:
         exact (\lambda \times y - (h : q \times q y), eq.symm h),
         -- transitive:
         exact (\lambda x y z _ _ (h_1 : q x = q y) (h_2 : q y = q z),
                        eq.trans h_1 h_2),
    end
```

#### 3.5.5. Sum

**Definition 6** (Sum). Let A and B be objects in C. An object S together with morphisms  $e_A$ :  $A \rightarrow S$  and  $e_B : B \rightarrow S$  is called sum of the A and B ( $e_A$  and  $e_B$  are also called the canonical injections), if for each other object Q with morphisms  $q_A : A \rightarrow Q$  and  $q_B : B \rightarrow Q$  there exists a unique morphism  $s : S \rightarrow Q$ , so that  $q_A = s \circ e_A$  and  $q_B = s \circ e_B$ .



We start by showing, that the canonical injections are *jointly epi*, the dual concept of jointly mono, which is to say:

$$\forall Q. \ \forall f,g:S \rightarrow Q. \ (f \circ e_A = g \circ e_A \land f \circ e_B = g \circ e_B) \Rightarrow f = g$$

**Lemma 3.5.5.** If an object S along with  $e_A : A \to S$  and  $e_B : B \to S$  is a sum of A and B, then  $e_A$  and  $e_B$  are jointly epi.

*Proof.* The proof is rather obvious. However, we use it here, to show a very useful tactic rw. We use rw here to change the goal to simplify the proof:

```
lemma jointly_epi_cat {X : Type v} [category X]
      \{ \texttt{A} \; \texttt{B} \; \texttt{S} \; \texttt{Q} \; : \; \texttt{X} \} \; \; (\texttt{e}_1 \; : \; \texttt{A} \; \longrightarrow \; \texttt{S}) \; \; (\texttt{e}_2 \; : \; \texttt{B} \; \longrightarrow \; \texttt{S})
      (is_sm : is_sum S e_1 e_2) (f g: S \longrightarrow Q)
            (h1 : f \odot e_1 = g \odot e_1)
            (h2 : f \odot e_2 = g \odot e_2)
      : f = g :=
      begin
         exact ...
            have g_s : g = s := ...,
            -- The goal here is f = g
            rw g_s,
            -- Here the goal becomes f = s
            -- where s is the unique morphism obtained
            -- from the sum to its competitor.
            exact ...
      end
```

**Lemma 3.5.6.** The disjoint union of the sets  $A_1$  and  $A_2$ , i.e.:

$$A_1 \uplus A_2 = \{(1, a) | a \in A_1\} \cup \{(2, a) | a \in A_2\}$$

along with maps  $e_1: A_1 \to S$  and  $e_2: A_2 \to S$  defined as  $e_i: a \mapsto (i, a)$  is the sum of  $A_1$  and  $A_2$  in the category Set.

*Proof.* The disjoint union is defined inductively in lean:

The  $\{\}$  notation in front of both <code>inl</code> and <code>inr</code> indicates that the type arguments are implicit and Lean would attempt to infer it from the context. If it is not able to, we would have to write <code>@inl</code>  $\alpha$   $\beta$ , for instance. This would allow us to introduce another way of dealing with *inductive types*, this time we show how to construct a map whose domain is an *inductive type*.

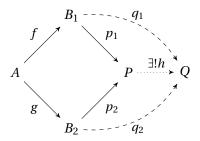
For that we use the tactic induction, which splits the goal into similar goals for each of the inductive case.

```
lemma disjoint_union_is_sum :
     is\_sum (A \oplus B)
                 inl
                 inr :=
     begin
            assume Q q_1 q_2,
           show \exists ! \ s : (A \oplus B) \longrightarrow Q, (q_1 = s \circ inl \land q_2 = s \circ inr), from
           \texttt{let} \ \mathtt{s} \ : \ (\mathtt{A} \ \oplus \ \mathtt{B}) \ \longrightarrow \ \mathtt{Q} \ :=
                 begin
                       intro ab, --ab \in (A \oplus B)
                       induction ab,
                       case inl :
                             begin
                                    exact q_1 ab
                             end,
                       case inr :
                             begin
                                    exact q_2 ab
                             end in
                 end,
            . . .
     end
```

#### 3.5.6. Pushout

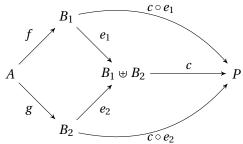
**Definition 7** (Pushout). Let  $f: A \to B_1$  and  $g: A \to B_2$  be a source. An object P together with morphisms  $p_1: B_1 \to P$  and  $p_2: B_2 \to P$  is called pushout of f and g, provided that:

- $p_1 \circ f = p_2 \circ g$ , and
- to each other object Q, with morphisms  $q_1: B_1 \to Q$  and  $q_2: B_2 \to Q$ , satisfying  $q_1 \circ f = q_2 \circ g$ , there exists a unique morphism  $h: P \to Q$  with  $h \circ p_1 = q_1$  and  $h \circ p_2 = q_2$ .



```
def is_pushout \{X : \text{Type } v\} [category X] \{A \ B_1 \ B_2 : X\} (f : A \longrightarrow B_1) (g : A \longrightarrow B_2) (P : X) (p<sub>1</sub> : B<sub>1</sub> \longrightarrow P) (p<sub>2</sub> : B<sub>2</sub> \longrightarrow P) : Prop := p<sub>1</sub> \odot f = p<sub>2</sub> \odot g \wedge II (Q : X) (q<sub>1</sub> : B<sub>1</sub> \longrightarrow Q) (q<sub>2</sub> : B<sub>2</sub> \longrightarrow Q), q<sub>1</sub> \odot f = q<sub>2</sub> \odot g \longrightarrow (\exists! h : P \longrightarrow Q, q<sub>1</sub> = h \odot p<sub>1</sub> \wedge q<sub>2</sub> = h \odot p<sub>2</sub>)
```

Pushouts are the dual structures of pullbacks, and thus they can be constructed in an opposite way to pullbacks, by taking the sum of the objects  $\Sigma_{i \in I} B_i$  and then the pushout object would be the coequalizer object of the morphisms  $(e_i \circ f_i)_{i \in I}$  along with the morphisms  $(c \circ e_i)_{i \in I}$ .



We can prove the previous statement very easily in Lean by defining the following lemma:

**Lemma 3.5.7.** Given a source  $(f_i : A \to B_i)_{i \in I}$ , if both the sum  $\Sigma_{i \in I} B_i$  and the coequalizer of the morphisms  $(e_i \circ f_i : \Sigma_{i \in I} B_i \to C)_{i \in I}$  exist, then the coequalizer object C along with the morphisms  $(c \circ e_i)_{i \in I}$  is the pushout of  $f_i$ .

*Proof.* The proof does not introduce any new strategies in Lean. It is entirely based on the proofs of sum and coequalizer:

```
lemma coequalizer_sum_is_pushout  \{X: \ Type \ v\} \ [category \ X]   \{A \ B_1 \ B_2: \ X\} \ (f: A \longrightarrow B_1) \ (g: A \longrightarrow B_2)   (S \ P: \ X) \ (s_1: B_1 \longrightarrow S) \ (s_2: B_2 \longrightarrow S)   (p: S \longrightarrow P)   (is\_sm: is\_sum \ S \ s_1 \ s_2)   (is\_coeq: is\_coequalizer \ (s_1 \odot f) \ (s_2 \odot g) \ p):   is\_pushout \ f \ g \ P \ (p \odot s_1) \ (p \odot s_2)   :=   begin   \dots  end
```

With that we have defined the basics of category theory needed for the understanding of coalgebra. There are obviously many more theories and lemmas, that one can prove in this context, but they go beyond the scope of this work.

# 4. Introduction to Coalgebra and its Lean-Formalization

## 4.1. State Based Systems

State based systems are systems, whose behavior depends on their internal state, which typically can not be observed. Universal coalgebra is the theory of state based systems [4]. Therefore, before defining coalgebra, we will introduce two examples of state based systems, deterministic and one nondeterministic. We will not go into details of these systems. We mainly want to show how they can be represented as coalgebras.

#### 4.1.1 Automata

**Definition 8.** An automaton can be defined over a set of symbols  $\Sigma$  with a set of output (data)  $\Gamma$  by a 4-tuple  $(S, \delta, s_0, \gamma)$ , where:

```
S is a set of states,

\delta: S \times \Sigma \to S is the transition function,

s_0 \in S is the initial state and

\gamma: S \to \Gamma output function
```

This is easily be modeled in Lean as a structure, except that  $\delta$  is in the curried form:

```
universes u_3 u_2 u_1

structure Automaton (Sigma : Type u_2) (\Gamma : Type u_3):

Type (max (u_1+1) u_2 u_3) :=

(State : Type u_1)

(\delta : State \rightarrow Sigma \rightarrow State)

(s_0 : State)

(\gamma : State \rightarrow \Gamma)
```

Notice that the universe level of this structure must be at least as high as the universes of Sigma and  $\Gamma$  and one level higher than State, so it would contain State as a field, hence Type (max (u<sub>1</sub>+1) u<sub>2</sub> u<sub>3</sub>).

We define a coercion from an Automaton to its state type, which allows for implicit conversions between an Automaton Sigma  $\Gamma$  and the type State:

```
instance Automaton_to_Type : has_coe_to_sort (Automaton Sigma \Gamma) := \langle \text{Type u}_1 , \lambda A , A.State\rangle
```

**Definition 9** (Homomorphism). Given two automata  $A = (S_A, \delta_A, a_0, \gamma_A)$  and  $B = (S_B, \delta_B, b_0, \gamma_B)$ , defined over  $\Sigma$  with output set  $\Gamma$ , a map  $\tau : S_A \to S_B$  is called a homomorphism if:

```
\forall a \in S_A : \gamma_A(a) = \gamma_B(\tau(a)) \land \forall e \in \Sigma : \tau(\delta_A(a,e)) = \delta_B(\tau(a),e)
```

```
def is_homomorphism {A B : Automaton Sigma \Gamma} (\tau : A \rightarrow B) : Prop := \forall a : A, A.\gamma a = B.\gamma (\tau a) \wedge \forall e : Sigma , \tau (A.\delta a e) = B.\delta (\tau a) e
```

#### Acceptors

Acceptors are automata, whose output set is the set  $2 = \{true, false\}$ . They play an important rule in computer science, where they are used to recognize words (tokens) of a programming language.

Given the alphabet  $\Sigma$ , words over  $\Sigma$  are elements of the set  $\Sigma^*$ , which is defined inductively:

```
\epsilon \in \Sigma^* and if e \in \Sigma and v \in \Sigma^* then e, v \in \Sigma^*
```

We can model that in Lean inductively:

We can use this definition to recognize words using an acceptor:

```
def \delta_{\rm Star} {A : Automaton Sigma \Gamma} (s : A):

word Sigma \rightarrow A

| \varepsilon := s

| (e·v) := A.\delta (\delta_{\rm Star} v) e

def accepted (A : Automaton Sigma Prop) (w : word Sigma) : Prop := A.\gamma (\delta_{\rm Star} A.s<sub>0</sub> w)
```

Notice in the definition of  $\delta$ \_Star how the explicit parameter (s : A) is fixed in the recursive call. This is a design choice in Lean. Only the parameters in the type of the function (after the colon) can change in recursive calls.

### 4.1.2 Kripke Structures

**Definition 10.** A Kripke-Structure can be defined over a set of properties  $\Phi$  as triple (S, T, v), where:

```
S is a set of states,

T \subseteq S \times S is the transition relation and

we normally write s_1 \rightarrow s_2 to express (s_1, s_2) \in T

v: S \rightarrow \mathscr{P}(\Phi) is a validity function

v(s) is the set of the properties p \in \Phi which hold in state s
```

To model this in Lean, we can think of the set T as a map between S and  $\mathcal{P}(S)$ . While the curried form of this relation is  $T: S \to S \to 2$ , we found the modelling with the power set easier to work with.

We also define a coercion from a Kripke-structure to state type, similar to the one in automata (see 4.1.1):

**Definition 11** (Homomorphism). *Given two Kripke-structures*  $A = (S_A, T_A, v_A)$  *and*  $B = (S_B, T_B, v_B)$ , *defined over*  $\Phi$ , a *map*  $\sigma : S_A \to S_B$  *is called a homomorphism if:* 

```
\forall a_1, a_2 \in S_A . \ a_1 \to a_2 \Rightarrow \sigma(a_1) \to \sigma(a_2) \land
\forall a \in S_A, \ b \in S_B . \ (\sigma(a)) \to b \Rightarrow \exists a' \in S_A . \ a \to a' \land \ \sigma(a') = b \land
\forall a \in S_A . \ v_A(a) = v_B(\sigma(a))
```

```
def is_homomorphism {A B : Kripke Properties} (\sigma : A \rightarrow B) : Prop := (\forall a_1 \ a_2 : A \ , \ a_2 \in A.T \ a_1 \rightarrow (\sigma \ a_2) \in B.T \ (\sigma \ a_1)) \land (\forall \ (a : A) \ (b : B) \ , \ b \in B.T \ (\sigma \ a) \rightarrow \exists \ a': A \ , \ a' \in A.T \ a \land \sigma \ a' = b) \land (\forall \ a : A \ , \ A.v \ a = B.v \ (\sigma \ a))
```

## 4.2. Coalgebra

## 4.2.1. Basic Definitions and the Category of Coalgebras

### Coalgebras

**Definition 12.** A Coalgebra  $\mathbb{A}$  of signature (a Set-endofunctor) F or an F-Coalgebra (in lean Coalgebra F) is a pair  $(A, \alpha)$  consisting of a set A, called the carrier of  $\mathbb{A}$  and a map  $\alpha : A \to FA$ , called the structure of  $\mathbb{A}$ .

$$A \xrightarrow{\alpha} F(A)$$

```
      structure
      Coalgebra (F : Type u \Rightarrow Type u) :=

      (carrier : Type u) (\alpha : carrier \longrightarrow F.obj carrier)
```

For the rest of this chapter, we define the following variables:

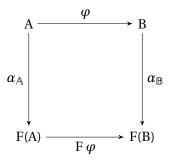
```
variables {F : Type u \Rightarrow Type u} {A B C: Coalgebra F}
```

### Homomorphism

A map  $\varphi$  between two F-coalgebras  $\mathbb{A}$  and  $\mathbb{B}$  is called homomorphism from  $\mathbb{A}$  to  $\mathbb{B}$  if:

$$\alpha_{\mathbb{B}} \circ \varphi = F\varphi \circ \alpha_{\mathbb{A}}$$

i.e. the following diagram commutes:



Based on this definition, we define the set of all coalgebra-homomorphism with domain  $\mathbb{A}$  and codomain  $\mathbb{B}$ , which would later help us define the category of coalgebras  $Set_F$ .

```
def homomorphism (A B : Coalgebra F) : set (A 
ightarrow B):= \lambda \varphi, is_coalgebra_homomorphism \varphi
```

**Remark.** The coercion between  $set\ X$  for some type  $\ X$  and  $subtype\ p$ , where  $\ p$  is the characteristic function of the set, is predefined in Lean. This allows us to define an element  $\ x$  of  $(S:\ set\ X)$  as a pair  $\ \langle x:\ X,\ h:\ x\in S\rangle$ . It also allows us to use sets as types.

Similar to automata and Kripke-structures, we can define a coercion from an F-coalgebra to its carrier and a coercion from the set homomorphism  $\mathbb{A} \ \mathbb{B}$  to the set of all maps  $\mathbb{A} \to \mathbb{R}$ .

#### Category $Set_F$

Now that we have to type (Coalgebra F) and the type (homomorphism  $\mathbb{A}$   $\mathbb{B}$ ), all we need to do is prove that the category Set identity morphism is a homomorphism and the composition of two homomorphisms is a homomorphism, in order to define the category of coalgebras (called  $Set_F$ ): Both proofs are quite simple and can be done using only the tactic calc. However, in the proof of composition, we notice, how definitions in Lean are hidden, unless we explicitly ask Lean to unfold them. This can be done in many different ways and here we see one of them:

```
lemma id_is_hom (A : Coalgebra F) : is_coalgebra_homomorphism (@id A) :=
   ...
```

```
lemma comp_is_hom (\varphi : \text{homomorphism } \mathbb{A} \ \mathbb{B}) (\psi : \text{homomorphism } \mathbb{B} \ \mathbb{C})
: \text{is_coalgebra_homomorphism } (\psi \circ \varphi) :=
have \text{ab} : \mathbb{B}.\alpha \circ \varphi = \text{F.map } \varphi \circ \mathbb{A}.\alpha := \varphi.\text{property,}
have \text{bc} : \mathbb{C}.\alpha \circ \psi = \text{F.map } \psi \circ \mathbb{B}.\alpha := \psi.\text{property,}
calc
(\mathbb{C}.\alpha \circ \psi) \circ \varphi = (\text{F.map } \psi) \circ \mathbb{B}.\alpha \circ \varphi : \text{by rw bc}
\dots = (\text{F.map } \psi) \circ (\text{F.map } \varphi) \circ \mathbb{A}.\alpha : \text{by rw ab}
\dots
```

Now we are ready to define the category of coalgebras:

```
instance coalgebra_category : category (Coalgebra F) :=  \{ \\  \text{hom } := \lambda \text{ A } \mathbb{B}, \text{ homomorphism A } \mathbb{B}, \\  \text{id } := \lambda \text{ A }, \text{ (Qid A, id_is_hom A),} \\  \text{comp } := \lambda \text{ A } \mathbb{B} \mathbb{C} \varphi \psi, \text{ } \psi \circ \varphi, \text{ comp_is_hom A } \mathbb{B} \mathbb{C} \varphi \psi \}  }
```

Just like in the category *Set*, Lean was able to prove the conditions id\_comp', comp\_id' and assoc' on its own (see 3.1).

## 4.2.2. Automata as Coalgebras

In this section, we will explore how an automaton can be seen as a coalgebra and how the homomorphisms between these coalgebras match the definition of automata homomorphisms.

Given the automaton  $A = (S, \delta, \gamma, s_0)$  over the alphabet  $\Sigma$  with output set  $\Gamma$ , we define the functions:

```
\delta': S \to S^{\Sigma} the curried form of \delta

\alpha: S \to \Gamma \times S^{\Sigma} s \mapsto (\gamma(s), \delta'(s))

which combines of \delta' and \gamma
```

We can consider  $\alpha$  a coalgebra structure by defining the signature (functor) F, such that:

$$F(S) = \Gamma \times S^{\Sigma}$$

$$\varphi : S_A \to S_B \mapsto F\varphi : \Gamma \times S_A^{\Sigma} \to \Gamma \times S_B^{\Sigma}$$

$$F\varphi((o_1, f)) = (o_1, \varphi \circ f)$$

```
universes u_1 u_2 u_3 variables {Sigma : Type u_2} {\Gamma : Type u_3} def F : Type u_1 \Rightarrow Type (max u_1 u_2 u_3) := { obj := \lambda S, \Gamma × (Sigma \rightarrow S), map := \lambda {\Lambda B} \varphi, \lambda \langled<sub>1</sub> , \delta\rangle , \langled<sub>1</sub> , \lambda e, \varphi (\delta e)\rangle, }
```

Notice that Lean can prove the functor conditions map\_id' and map\_comp' by itself in this case (see 3.1.2).

With that we can define the coalgebra  $(S, \alpha)$ :

```
def \alpha (A : Automaton Sigma \Gamma): A \to F.obj A := \lambda s, \langleA.\gamma s, A.\delta s\rangle
```

```
def Automata_Coalgebra (A : Automaton Sigma \Gamma): Coalgebra F := \langle \text{A.State} , \alpha A\rangle
```

We can also show the equivalence between the notation of automata homomorphism and the corresponding coalgebra homomorphism:

```
lemma Automata_Coalgebra_hom {A B : Automaton Sigma \Gamma} (\varphi : A \to B): is_homomorphism \varphi \leftrightarrow @is_coalgebra_homomorphism F (Automata_Coalgebra A) (Automata_Coalgebra B) \varphi := begin split, intro h, dsimp at *, ext1 s, dsimp at *, have hs := h s, ext1, ... end
```

- The first dsimp at \* unfolds the goal into its definition, while the second one simplifies the goal from the form  $(f \circ g)(x) = h(x)$  to f(g(x)) = h(x)
- We have already seen ext1 in 3.5.2. However, here the first one simplifies a goal of functional equality f = g into f(s) = g(s) for any s from the common domain, while the second one, as we have seen before, breaks the goal of equality between two structures into the equality between each of the structure parameters.

### 4.2.3. Kripke Structures as Coalgebras

In this section, we define a coalgebra based on a Kripke structure the same way we did with automata:

```
Given the Kripke-structure K = (S, T, \nu) over the set of properties \Phi, we define the functions: t: S \to \mathcal{P}(S) s \mapsto U, where s' \in U \Leftrightarrow (s, s') \in T \alpha: S \to \mathcal{P}(S) \times \mathcal{P}\Phi s \mapsto (t(s), \nu(s))
```

We can consider  $\alpha$  a coalgebra structure by defining the signature (functor) F, which maps a set S to  $\mathscr{P}(S) \times \mathscr{P}(\Phi)$  and a map  $\sigma : S_A \to S_B$ , to a map that maps  $(U, P) : \mathscr{P}(S) \times \mathscr{P}(\Phi)$  to a pair:

```
(\sigma[U], P)
```

```
obj := \lambda S, (set S) \times (set \varphi),
map := \lambda {A B} \varphi, \lambda (U , P) , (image \varphi U, P),
map_id' := ...,
map_comp' :=
begin
...
calc
F._match_1 (g \circ f) (S, P)
= (image (g \circ f) S, P) : rfl
... = (image g (image f S), P) : by rw [img_comp f g S]
... = F._match_1 g (F._match_1 f (S, P)) : rfl
end
}
```

In this case Lean was not able to prove the functor-conditions on its own. However, this proof even raises an issue with the tactic tidy, where it, instead of failing, generates a proof, that is rejected by Lean. We will discuss this issue in the next chapter.

Because of that, the proof was done without the use of tidy. However, the other strange issue, that appears in the proof is that <code>\_match\_1</code> is not part of the definition of functor. In fact it does not come up anywhere in the category theory library, yet it shows up as part of the goal, and we have to use it in the proof to denote <code>F.map</code>. So far we have not found an explanation for this.

Now we can define the coalgebra that represents Kripke structures  $(S, \alpha)$ :

```
\begin{array}{c} \operatorname{def} \ \alpha \ (\texttt{K} : \texttt{Kripke} \ \varphi) \colon \texttt{K.State} \ \to \ \texttt{F.obj} \ \texttt{K.State} \ := \\ \lambda \ \texttt{S}, \ \langle \texttt{K.T} \ \texttt{S}, \ \texttt{K.v} \ \texttt{S} \rangle \\ \\ \operatorname{def} \ \texttt{Kripke\_Coalgebra} \ (\texttt{K} : \ \texttt{Kripke} \ \varphi) \colon \texttt{Coalgebra} \ \texttt{F} \ := \\ \langle \texttt{K.State} \ , \ \alpha \ \texttt{K} \rangle \end{array}
```

Just like we did with automata, we show the equivalence between the Kripke structure homomorphism and the corresponding coalgebra homomorphism. However, the proof in Lean this time is much longer than the one in automata.

```
lemma Kripke_Coalgebra_hom \{K_1 \ K_2 : Kripke \ \varphi\} \ (\varphi : K_1 \to K_2) : is_homomorphism \varphi \ \varphi \leftrightarrow @is_coalgebra_homomorphism F (Kripke_Coalgebra K_1) (Kripke_Coalgebra K_2) \varphi := ...
```

Due to the difficult way, homomorphisms in Kripke structures are defined, this proof is long and hard to read. It does not serve as a good example to explain new concepts in Lean. However, all the functions and tactics, used in this proof, appear in other proofs.

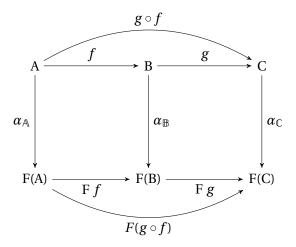
## 4.3. Diagram lemma

**Theorem 4.3.1.** *If a homomorphism is bijective, then its inverse is also a homomorphism.* 

*Proof.* The proof can be done in a simple calculation using the definition of *bijective* in Lean, which states that a bijective function has a left and right inverse:

```
theorem bij_inverse_of_hom_is_hom  (\varphi : \text{homomorphism } A \; \mathbb{B})   (\text{bij } : \text{bijective } \varphi) :  -- Lean allows us to add definitions inside the type. let inv : \mathbb{B} \to A := \text{some (bijective_iff_has_inverse.1 bij) in}  is_coalgebra_homomorphism inv := ...
```

Before proving the diagram lemma for coalgebras, we need to prove two helpful lemmas, defined using the following diagram:



**Lemma 4.3.2.** If  $g \circ f$  is a homomorphism and f is a surjective (or epi) homomorphism, then g is a homomorphism:

*Proof.* The proof can be done in a simple calculation then right canceling the epi function *f*:

```
lemma surj_to_hom (f: A.carrier \longrightarrow B.carrier) (g: B.carrier \longrightarrow C. carrier)

(hom_gf: is_coalgebra_homomorphism (g \circ f))

(hom_f: is_coalgebra_homomorphism f)

(ep: epi f): is_coalgebra_homomorphism g:=

have h1: (C.\alpha \circ g) \odot f = (F.map g \circ B.\alpha) \odot f:= ...,

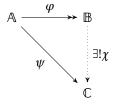
right_cancel f h1
```

**Lemma 4.3.3.** *If*  $g \circ f$  *is a homomorphism and* g *is a injective (or mono) homomorphism, then* f *is a homomorphism:* 

*Proof.* To prove this lemma, we need to consider two cases. First case is if the carrier of  $\mathbb{B}$  is not empty, here we can use the lemma in 3.2 to cancel F(g). Second case is if the carrier of  $\mathbb{B}$  is empty, then the carrier of  $\mathbb{A}$  must be empty and f is obviously a homomorphism. We prove that in the lemma <code>empty\_hom\_codom</code> (see appendix for proof A.2.3).

We split the proof in Lean using the tactic cases together with the function classical.em, where classical stands for classical logic and em for the law of the excluded middle. This tactic takes a proposition and splits the proof into the proposition and its negation.

**Lemma 4.3.4** (Coalgebraic Diagram Lemma). Let  $\varphi : \mathbb{A} \to \mathbb{B}$ ,  $\psi : \mathbb{A} \to \mathbb{C}$  be homomorphisms, and  $\varphi$  is surjective. Then there exists a unique homomorphism  $\chi : \mathbb{B} \to \mathbb{C}$  such that  $\chi \circ \varphi = \psi$ , iff  $\ker n \varphi \subseteq \ker n \psi$ .



*Proof.* The proof does not introduce any new ideas in Lean.

```
lemma coalgebra_diagram (\varphi : homomorphism \mathbb{A} \mathbb{B}) (\psi : homomorphism \mathbb{A} \mathbb{C}) (sur : surjective \varphi) : (\exists! \chi : homomorphism \mathbb{B} \mathbb{C} , \chi \circ \varphi = \psi) \leftrightarrow (sub_kern \varphi \psi) := ...
```

## 4.4. Subcoalgebra

**Definition 13** (Open subset). A subset  $S \subseteq \mathbb{A}$  is called open, if there exists some (structure) map  $\alpha : S \to F(S)$ , so that the inclusion from S to  $\mathbb{A}$ ,  $S \hookrightarrow \mathbb{A}$  is a homomorphismus.

The pair  $(S, \alpha)$  is then called a subcoalgebra of  $\mathbb{A}$ . We can formalize open subsets and subcoalgebras as follows:

```
def openset {A : Coalgebra F} (S : set A) : Prop := \exists \ \alpha : S \to F.obj \ S \ , \ @is_coalgebra_homomorphism F \ \langle S \ , \ \alpha \rangle \ A \ (S \hookrightarrow A) structure SubCoalgebra (S : set A) := (\alpha : S \to F.obj \ S) (h: @is_coalgebra_homomorphism F \langle S \ , \ \ \ \ \ \ A \ \ (S \ \hookrightarrow A))
```

**Lemma 4.4.1.** Each open subset S of  $\mathbb{A}$  carries a unique structure map  $\alpha: S \to F(S)$ , which makes  $(S, \alpha)$  a subcoalgebra of  $\mathbb{A}$ .

*Proof.* The proof will be divided into two parts based on whether *S* is empty or not:

```
lemma subcoalgebra_unique_structure (S : set A) (h : openset S) : \text{let } \alpha := \text{some h in} \\ \forall \ \sigma : \ S \to \text{F.obj S}, \\ \text{@is_coalgebra_homomorphism F } \langle S \ , \ \sigma \rangle \ A \ (S \hookrightarrow A) \to \sigma = \alpha := \\ \text{begin} \\ \dots \\ \text{have h2} : \ \forall \ (f_1 \ f_2 : S \to \text{F.obj S}), \ f_1 = f_2 := \\ \text{map_from_empty S } (\text{F.obj S}) \ (\text{nonempty_notexists emp}), \\ -- \ \textit{map_from_empty asserts that a map from an empty set is always unique.} \\ -- \ \textit{See A.2.4 for definition} \\ \dots \\ \text{end}
```

## 4.5. Homomorphic Image

Before introducing the concept of a homomorphic image, we need to prove the following lemma:

**Lemma 4.5.1.** Given a surjective homomorphism  $\varphi : \mathbb{A} \to \mathbb{B}$ , the coalgebra structure  $\alpha_{\mathbb{B}}$  can be determined using  $\varphi$ .

*Proof.* The proof is relatively simple. However, it shows an important point, when dealing with tactics for the axiom of choice. Given a term  $ex:\exists x:X$ , px, we can use the tactic cases ex with  $xp_x$ , to apply the axiom of choice on ex to get x:X and a proof  $p_x:px$ .

Another way of doing that is to use some and some\_spec:

```
let x : X := some (ex) in
have p_x : p x := some_spec (ex),
```

These two forms are, however, not equivalent. In the latter form, x satisfies any property that some ex satisfies, while in the cases tactic, x would only satisfy p x and otherwise Lean "forgets" how we got x. This limitation was significant in this proof:

With this proof, we can make the following definition:

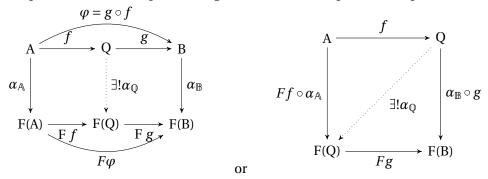
**Definition 14** (Homomorphic image). *If a surjective homomorphism*  $\varphi : \mathbb{A} \to \mathbb{B}$  *exists, then*  $\mathbb{B}$  *is called the homomorphic image of*  $\mathbb{A}$ .

```
def homomorphic_image (A \mathbb{B}: Coalgebra F) : Prop :=\exists \ \varphi : homomorphism A \mathbb{B}, surjective \varphi
```

To make use of this defintion, we need to prove one more theorem, **factorization**:

**Theorem 4.5.2.** Given a homomorphism  $\varphi : \mathbb{A} \to \mathbb{B}$  and two maps, a surjective map  $f : A \to Q$  and an injective map  $g : Q \to B$ , where A and B are carriers of A and B respectively and A is an arbitrary set, such that  $A = g \circ f$ , there exists a unique coalgebra structure  $A \circ Q : Q \to F(Q)$  that makes  $A = g \circ G$  and  $A = g \circ G$  are structure.

*Proof.* The proof can be easily constructed using E-M-Squares (see 3.4). However, it had to be done in two steps. First we prove the existence and uniqueness of the structure  $\alpha_{\mathbb{Q}}$ . Then, in a separate theorem, we prove that g is also a homomorphism in respect to that structure.



The proofs are fairly long, yet with no new ideas.

```
theorem factorization {Q : Type u}  (\varphi \colon \text{homomorphism } \mathbb{A} \ \mathbb{B}) \ (f \colon \mathbb{A}.\text{carrier} \longrightarrow \mathbb{Q}) \ (g \colon \mathbb{Q} \longrightarrow \mathbb{B}.\text{carrier})   (h \colon \varphi.\text{val} = g \circ f) \ (\text{sur: surjective } f) \ (\text{inj: injective } g) :   \exists ! \ \alpha \_ \mathbb{Q} : \mathbb{Q} \longrightarrow \text{F.obj } \mathbb{Q} \ ,   \text{@is\_coalgebra\_homomorphism } F \ \mathbb{A} \ \langle \mathbb{Q} \ , \ \alpha \_ \mathbb{Q} \rangle \ f := \dots
```

```
theorem factorization_hom {Q : Type u}  (\varphi \colon \text{homomorphism } A \ \mathbb{B}) \ (f \colon A.\text{carrier} \longrightarrow \mathbb{Q}) \ (g \colon \mathbb{Q} \longrightarrow \mathbb{B}.\text{carrier})   (h \colon \varphi.\text{val} = g \circ f) \ (\text{sur: surjective } f) \ (\text{inj: injective } g) :   \text{let } \alpha\_\mathbb{Q} := \text{some } (\text{factorization } \varphi \ f \ g \ h \ \text{sur inj) in}   \text{@is\_coalgebra\_homomorphism } F \ \langle \mathbb{Q} \ , \ \alpha\_\mathbb{Q} \rangle \ \mathbb{B} \ g := \dots
```

One way to factorize any function  $f: A \to B$  is by using its range as the middle set. The range of a function is defined as (the second definition is the one used in Lean):

$$f[A] := \{ f(a) | a \in A \} = \{ b | \exists a \in A. \ f(a) = b \}$$

This way we can factorize f to f', the image restriction of f, and  $\subseteq_{f[A]}^B$ , the inclusion of f[A] into B:

$$f': A \to f[A], \quad \forall a \in A. \quad f'(a) = f(a)$$
  

$$\subseteq_{f[A]}^{B}: f[A] \to B, \quad \forall b \in B. \quad \subseteq_{f[A]}^{B} (b) = b$$

Obviously f' is surjective and  $\subseteq_{f[A]}^B$  is injective.

Another notation for the inclusion  $\subseteq_A^B$  is  $A \hookrightarrow B$ , which is the notation, we use in Lean.

**Corollary 4.5.2.1.** *If*  $\varphi : \mathbb{A} \to \mathbb{B}$  *is a homomorphism, then the range of*  $\varphi$ *, is a homomorphic image of*  $\mathbb{A}$  *and a subcoalgebra of*  $\mathbb{B}$ .

*Proof.* We divide the proof into three parts. Firstly, we need to prove the existence of a coalgebra structure, which makes the image restriction (in Lean it is called  $range_factorization$ ) of f and the inclusion of the range of f homomorphisms:

```
lemma structure_existence (\varphi : homomorphism \mathbb{A} \mathbb{B}) [inhabited \mathbb{A}] 
 : \exists \ \alpha : (range \varphi) \to F.obj (range \varphi), 
 let \mathbb{R} : Coalgebra F :=\langle \text{range } \varphi \ , \ \alpha \rangle in 
 @is_coalgebra_homomorphism F \mathbb{A} \mathbb{R} (range_factorization \varphi) \wedge 
 @is_coalgebra_homomorphism F \mathbb{R} \mathbb{B} ((range \varphi) \hookrightarrow \mathbb{B}) := ...
```

At this point, it is trivial to show, that  $\varphi[A]$  is a homomorphic image of  $\mathbb{A}$  and subcoalgebra of  $\mathbb{B}$ , (see the code in A.2.5).

## 4.6. Colimits and Limits

We will start with colimits of the category  $Set_F$ , because they match their counterparts in the category Set, which is not the case, as we will discuss later, with limits.

In this section, we need to move back and forth between two categories, which means two instances of one type class, category. Lean is not able to interpret a morphism in category  $Set_F$  as a morphism in category  $Set_F$ . To allow this interpretation, we need to define this rather obvious lemma:

```
lemma eq_in_set {A : Type u} {S: set A} {a b: S}
: a.val = b.val ↔ a = b :=
   by {cases a, cases b, dsimp at *, simp at *}
```

The tactic cases a here deconstruct a into (a\_val, a\_property). The tactic dsimp at \* simplifies (a\_val, a\_property).val to a\_val.

**Remark.** The tactic dsimp is similar to simp, except it only uses definitional equalities.

#### 4.6.1. Coequalizer

We want to show, that the coequalizer in the category Set is also the coequalizer in the category  $Set_F$ . We achieve that in two steps:

**Lemma 4.6.1** (Proof of existence). *Given two parallel homomorphisms*  $\varphi, \psi : \mathbb{A} \to \mathbb{B}$ , there exists a unique coalgebra structure  $\alpha : B \setminus \Theta \to F(\mathbb{B} \setminus \Theta)$ , which makes the Set category coequalizer  $\pi_{\Theta} : \mathbb{B} \to \mathbb{B} \setminus \Theta$  a homomorphism

*Proof.* This proof is very simple, since everything was already shown in the category Set coequalizer. However, in order to use the <code>quot\_is\_coequalizer</code> lemma (see 3.5.4), we need to define the category Set morphisms  $\varphi_1$  and  $\psi_1$  explicitly, as shown:

```
theorem coequalizer_is_homomorphism : let \mathbb{B}_-\Theta := theta \varphi \psi in let \pi_-\Theta : \mathbb{B}.carrier \longrightarrow \mathbb{B}_-\Theta := coequalizer \varphi \psi in \exists ! \alpha : \mathbb{B}_-\Theta \longrightarrow (F.obj \mathbb{B}_-\Theta), @is_coalgebra_homomorphism F \mathbb{B} \langle \mathbb{B}_-\Theta , \alpha \rangle \pi_-\Theta := ... let \varphi_1 : \mathbb{A}.carrier \longrightarrow \mathbb{B}.carrier := \varphi.val, let \psi_1 : \mathbb{A}.carrier \longrightarrow \mathbb{B}.carrier := \psi.val, have \mathbb{A} : is_coequalizer \varphi_1 \psi_1 \pi_-\Theta := quot_is_coequalizer \varphi_1 \psi_1, ...
```

**Lemma 4.6.2** ( $Set_F$  coequalizer). Given two parallel homomorphisms  $\varphi, \psi : \mathbb{A} \to \mathbb{B}$ , the coalgebra  $\mathbb{C} := (\mathbb{B} \backslash \Theta, \alpha_{\mathbb{C}})$ , where  $\alpha_{\mathbb{C}}$  is the structure shown in last theorem, along with the homomorphism  $\pi_{\Theta} : \mathbb{B} \to \mathbb{C}$  is the coequalizer of  $\varphi$  and  $\psi$  in category  $Set_F$ .

*Proof.* In this proof, we need to use the lemma eq\_in\_set every time we change from one category to the other.

```
theorem set_coequalizer_is_coalgebra_coequalizer :
    let \mathbb{B}_-\Theta := theta \varphi \psi in
    let \pi_-\Theta : \mathbb{B}_-carrier \longrightarrow \mathbb{B}_-\Theta := coequalizer \varphi \psi in
    let \alpha : \mathbb{B}_-\Theta \longrightarrow \mathbb{F}_-obj (\mathbb{B}_-\Theta):=
        some (coequalizer_is_homomorphism \varphi \psi) in
    let h_-\pi := (some_spec (coequalizer_is_homomorphism \varphi \psi)).1 in
    let co_-\mathbb{B}_-\Theta : Coalgebra F := \langle \mathbb{B}_-\Theta, \alpha \rangle in
    let \pi_1 : \mathbb{B} \longrightarrow co_-\mathbb{B}_-\Theta := \langle \pi_-\Theta, h_-\pi \rangle in

is_coequalizer \varphi \psi \pi_1 :=
    ...

let \chi : co_-\mathbb{B}_-\Theta \longrightarrow \mathbb{Q} := ...
have spec : \chi \circ \pi_-\Theta = q := ...,
have h1 : (\chi \odot \pi_1) = q := eq_in_set.1 spec,
    ...,
have coeq3: \chi_1.val = \chi.val := ...,
exact eq_in_set.1 coeq3,
```

#### 4.6.2. Sum

We can define a sum of two F-coalgebras  $\mathbb{A}=(A,\alpha_{\mathbb{A}})$  and  $\mathbb{B}=(B,\alpha_{\mathbb{B}})$  using the disjoint union as a carrier. The coalgebra structure is defined using the canonical injections  $e_A:A\to A\uplus B$  and  $e_B:B\to A\uplus B$ :

$$\alpha_{\mathbb{A} \oplus \mathbb{B}} : A \oplus B \to F(A \oplus B)$$

$$\alpha_{\mathbb{A} \oplus \mathbb{B}} (1, a) := (F(e_A) \circ \alpha_{\mathbb{A}})(a)$$

$$\alpha_{\mathbb{A} \oplus \mathbb{B}} (2, b) := (F(e_B) \circ \alpha_{\mathbb{B}})(b)$$

In Lean syntax, this can be modeled using pattern matching:

With respect to this coalgebra, from here on represented as  $(A \boxplus B)$ , it is easy to show that the canonical injections are homomorphisms, in fact Lean can prove it by itself using the tactic tidy (see A.2.7).

Now we can show that this is in fact the sum in category  $Set_F$ :

**Lemma 4.6.3.** Given two F-coalgebras  $\mathbb{A}$  and  $\mathbb{B}$ , the coalgebra ( $\mathbb{A} \boxplus \mathbb{B}$ ) is the sum of  $\mathbb{A}$  and  $\mathbb{B}$  in the category Set - F.

*Proof.* The proof is about a hundred lines of code in Lean (see A.2.7). In part because we need to move back and forth between the categories and in part because the tactic induction forces the user to enter tactic mode in each case.

```
theorem set_sum_is_coalgebra_sum : let e_1: \mathbb{A} \to (\mathbb{A} \oplus \mathbb{B}) := inl \ in let e_2: \mathbb{B} \to (\mathbb{A} \oplus \mathbb{B}) := inr \ in let hom_e_1: \mathbb{A} \longrightarrow (\mathbb{A} \boxplus \mathbb{B}) := \langle e_1 \ , \ inl_is_homomorphism \ \mathbb{A} \ \mathbb{B} \rangle \ in let hom_e_2: \mathbb{B} \longrightarrow (\mathbb{A} \boxplus \mathbb{B}) := \langle e_2 \ , \ inr_is_homomorphism \ \mathbb{A} \ \mathbb{B} \rangle \ in is_sum (\mathbb{A} \boxplus \mathbb{B}) \ h_e_1 \ h_e_2 := \dots
```

This sum of coalgebras allows us to prove the next lemma:

**Lemma 4.6.4.** Given two subcoalgebras U and V of A, both their union  $U \cup V$  and their intersection  $U \cap V$  are subcoalgebras of A.

*Proof.* We do the proof in two separate theorems. Both, however, are long proofs.

```
(S_2 : SubCoalgebra U_2) : SubCoalgebra (U_1 \cup U_2) := ...
```

The first theorem considers  $\mathbb{A}$  with the inclusions  $i_1: U_1 \hookrightarrow A$  and  $i_2: U_2 \hookrightarrow A$  a competitor to the sum  $U_1 \boxplus U_2$  and therefore there exists a homomorphism  $\varphi: (U_1 \boxplus U_2) \to \mathbb{A}$ , that makes  $e_1 = \varphi \circ i_1$  and  $e_2 = \varphi \circ i_2$ .

The main part of the proof is to show that  $\varphi[U_1 \boxplus U_2] = U_1 \cup U_2$ . This part is relatively long, yet not so challenging and we tried to make it easy to read.

Then we can use the corollary 4.5.2.1 to show that  $U_1 \cup U_2$  is a subcoalgebra of A.

```
have all : \forall a : \mathbb{A} , a \in (range \varphi) \leftrightarrow a \in (U<sub>1</sub> \cup U<sub>2</sub>) := ... rw \leftarrow(eq_sets.1 all), exact range_is_subCoalgebra \varphi,
```

The second part of the proof is to show that the intersection of two subcoalgebras  $U \cap V$  is a subcoalgebra. If the intersection is empty, then the proof is obvious and we can show that in a lemma called <code>empty\_openset</code>, which, like the name suggests, proves that any empty subset is an open subset (see A.2.7 for the proof).

If the intersection is not empty, we need to define two functions  $p_w: U \to U \cap V$  and  $q_w: \mathbb{A} \to V$ , for some  $w \in U \cap V$ :

$$p_w(u) = \left\{ \begin{matrix} u & if \ u \in U \cap V \\ w & else \end{matrix} \right. \quad \text{and} \quad q_w(a) = \left\{ \begin{matrix} a & if \ a \in V \\ w & else \end{matrix} \right.$$

However, Lean only accepts this definition, using if p then  $t_1$  else  $t_2$ , if the proposition p is decidable. Therefore, we need to add the following instances of type class decidable to the theorem's parameters (assumptions).

There are many ways to construct proofs over functions that use if-statements in Lean. In this proof, we present two tactical approaches. The first one is automated using the tactic simp equipped with a list of assumptions to prove each case, for example:

```
have pwu_u : p_w u = (u.val , uI):=
    by simp [p_w , rfl, uI],
```

This works only if all cases are simple to prove. The second allows for harder proofs:

```
have qwu_u: q_w u.val = (\langle w.val , (w.property).2\rangle : V) :=
  begin
    simp[q_w],
    split_ifs,
    exact absurd (and.intro u.property h) uNI,
    exact rfl
end,
```

The rest of the proof consists of previously explained tactics.

```
theorem subcoalgebra_intersection_is_coalgebra  \{U\ V\ :\ set\ A\}   (S_1\ :\ SubCoalgebra\ U)   (S_2\ :\ SubCoalgebra\ V)   [\forall\ x\ :\ A\ ,\ decidable\ (x\ \in\ U\ \cap\ V)]   [\forall\ x\ :\ A\ ,\ decidable\ (x\ \in\ V)]   :\ openset\ (U\ \cap\ V)\ :=
```

## 4.6.3. Pushout

Last chapter we showed that the pushout can be constructed from the sum and coequalizer, if they exists, in any category (see 3.5.6). All what is left for us to show here is the following lemma:

**Lemma 4.6.5.** Given the homomorphisms  $\varphi : \mathbb{A} \to \mathbb{B}_1$  and  $\psi : \mathbb{A} \to \mathbb{B}_2$ , the pushout set that results from taking the sum of  $\mathbb{B}_1$  and  $\mathbb{B}_2$  then the coequalizer of  $(e_{\mathbb{B}_1} \circ \varphi)$  and  $(e_{\mathbb{B}_2} \circ \psi)$ , possesses a unique coalgebra structure that makes  $(\pi_{\Theta} \circ e_{\mathbb{B}_1})$  and  $(\pi_{\Theta} \circ e_{\mathbb{B}_2})$  homomorphisms.

*Proof.* The proof depends mainly on the proofs of coequalizer and sum in category  $Set_F$  and does not introduce any new Lean concepts.

```
theorem pushout_is_coalgebra : let S := \mathbb{B}_1 \boxplus \mathbb{B}_2 in let \mathbb{B}_{-\Theta} := \mathbb{C} theta \mathbb{A} S (inl \circ \varphi) (inr \circ \psi) in let \pi_{-\Theta} := \mathbb{C} coequalizer \mathbb{A} S (inl \circ \varphi) (inr \circ \psi) in \mathbb{B}_{-\Theta} : \mathbb{B}_{-\Theta} \to \mathbb{F}_{-\Theta}. let \mathbb{P} : Coalgebra \mathbb{F} := \langle \mathbb{B}_{-\Theta}, \alpha \rangle in
```

```
@is_coalgebra_homomorphism F \mathbb{B}_1 P (\pi_-\Theta \circ \text{inl}) \wedge @is_coalgebra_homomorphism F \mathbb{B}_2 P (\pi_-\Theta \circ \text{inr}) := ...
```

4.6.4. Equalizer

**Theorem 4.6.6.** Given homomorphisms  $\varphi, \psi : \mathbb{A} \to \mathbb{B}$ , their equalizer in the category  $Set_F$  exists and it is the largest coalgebra [E] contained in the equalizer E from the category Set.

*Proof.* To prove this, we first need to define the largest coalgebra inside a set:

Notice that we define P as an element of set S and not  $P \subseteq S$ . There is an important difference between the two definitions. In the first, P is a an element of set A and it is a subset of S, while in the second P is an element of set S and Lean sees no connection between it and A.

The reason for this choice comes in the following proof. Given a "competitor" coalgebra  $(Q,\alpha_Q)$ , we can obtain a unique map  $f:Q\to E$ , where E is the equalizer set from the category Set. We need to show that the image f[Q] is a subset of the largest coalgebra [E]. However, in Lean range f is an element of set E and not connected to A. This workaround makes the proof more complicated than it needs to be.

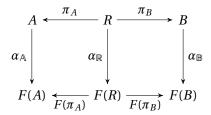
The inclusion map  $\sigma_1: [E] \hookrightarrow \mathbb{A}$  is defined as a composition of [E] inclusion in E and then E inclusion of  $\mathbb{A}$ . This composition loses all the properties, like injectivity, already proven about inclusions. This required us to prove each property again, which makes the proof immensely longer.

```
theorem largest_subcoalgebra_equalizer  \{ \texttt{C} : \texttt{set} \ (\texttt{equalizer\_set} \ \varphi \ \psi) \}   (\texttt{lar} : \texttt{is\_largest\_coalgebra} \ \texttt{C}) :   \texttt{let} \ \texttt{E} := \texttt{equalizer\_set} \ \varphi \ \psi \ \texttt{in}   \texttt{let} \ \texttt{e} : \texttt{E} \to \mathbb{A} := (\texttt{E} \hookrightarrow \mathbb{A}) \ \texttt{in}   \texttt{let} \ \texttt{C} : \texttt{Coalgebra} \ \texttt{F} := \langle \texttt{C} \ , \ \texttt{some} \ \texttt{lar.1} \rangle \ \ \texttt{in}   \texttt{let} \ \sigma : \mathbb{C} \longrightarrow \mathbb{A} := \langle (\texttt{e} \circ (\texttt{C} \hookrightarrow \texttt{E})) \ , \ \texttt{some\_spec} \ \texttt{lar.1} \rangle \ \ \texttt{in}
```

```
is_equalizer \varphi \psi \sigma := ...
```

4.7. Bisimulations

**Definition 15** (Bisimulation). A binary relation  $R \subseteq A \times B$ , where A is the carrier of carrier of  $\mathbb{A}$  and B is the carrier of  $\mathbb{B}$ , is called bisimulation if there exists a coalgebra structure  $\alpha_{\mathbb{R}} : R \to F(R)$ , which makes the projections  $\pi_A : R \to A$  and  $\pi_B : R \to B$  homomorphisms.



```
def is_bisimulation (R : set (A \times B)) : Prop := \exists \ \rho : R \to F.obj \ R,
let \mathbb{R} : Coalgebra \ F := \langle R \ , \ \rho \rangle in
let \pi_1 : \mathbb{R} \to \mathbb{A} := \lambda \ r, r.val.1 in
let \pi_2 : \mathbb{R} \to \mathbb{B} := \lambda \ r, r.val.2 in
is_coalgebra_homomorphism \pi_1 \ \land \ is\_coalgebra\_homomorphism \ \pi_2
```

We could connect the concept of coalgebra homomorphisms with the notion of bisimulations through the following theorem:

**Theorem 4.7.1.** A map  $f: A \to B$  is a homomorphism between  $\mathbb{A} = (A, \alpha_{\mathbb{A}})$  and  $\mathbb{B} = (B, \alpha_{\mathbb{B}})$ , iff its graph:

$$\{(a, f(a)) \mid a \in A\}$$

is a bisimulations between  $\mathbb{A}$  and  $\mathbb{B}$ .

*Proof.* The proofs at this point become harder to read, because we tend to use more and more tactics, which makes constructing the proofs quicker and simpler for the developer, yet harder for the reader.

```
theorem homomorphism_iff_bisimulation (f : \mathbb{A} \to \mathbb{B}):
   is_coalgebra_homomorphism f \leftrightarrow is_bisimulation (map_to_graph f)
   := ...
```

We first show the equality  $f = \pi_2 \circ \pi_1^{-1}$  (it is easy to show, that the projections are bijective). This easily shows that f is a homomorphism.

```
have elements : \forall a , (\pi_2 \circ \text{inv}) a = f a := ...
```

We then use  $\rho := F\pi_1^{-1} \circ \alpha_{\mathbb{A}} \circ \pi_1$  as a coalgebra structure.

```
\begin{array}{ll} \texttt{let} \ \rho \ := \ (\texttt{F.map inv}) \ \circ \ \texttt{A.}\alpha \ \circ \ \pi_1, \\ \texttt{use} \ \rho, \end{array}
```

Then with simple calculations, we can show that both projections are homomorphisms with respect to  $(G(f), \rho)$ .

**Theorem 4.7.2.** Given the coalgebras  $\mathbb{A}, \mathbb{B}$  and  $\mathbb{P}$  with the homomorphisms  $\varphi_{\mathbb{A}} : \mathbb{P} \to \mathbb{A}$  and  $\varphi_{\mathbb{B}} : \mathbb{P} \to \mathbb{B}$ , the relation:

```
(\varphi_{\mathbb{A}}, \varphi_{\mathbb{B}})[P] = \{(\varphi_{\mathbb{A}}(p), \varphi_{\mathbb{B}}(p)) \mid p \in P\} =
\{(a,b) \mid a \in A \land b \in B \land \exists p \in P. \ \varphi_{\mathbb{A}}(p) = a \land \varphi_{\mathbb{B}}(p) = b\}
```

is a bisimulation between  $\mathbb A$  and  $\mathbb B$  and every bisimulation between  $\mathbb A$  and  $\mathbb B$  is of the same shape.

*Proof.* The second statement matches the definition of bisimulations and is not worth writing as part of the proof.

The first statement is also relatively simple to prove with few calculations, if we define the coalgebra structure for the bisimulation as such:

```
\rho: (\varphi_{\mathbb{A}}, \varphi_{\mathbb{B}})[P] \to F((\varphi_{\mathbb{A}}, \varphi_{\mathbb{B}})[P]) := F\varphi \circ \alpha_{\mathbb{P}} \circ \varphi^{-1}
```

Where  $\varphi: P \to (\varphi_{\mathbb{A}}, \varphi_{\mathbb{B}})[P]$  is the surjective map  $\varphi(p) = (\varphi_{\mathbb{A}}(p), \varphi_{\mathbb{B}}(p))$ .

```
let \varphi : P.carrier \rightarrow R := \lambda p,

let \varphi_-p : \mathbb{A} \times \mathbb{B} := \langle \varphi_1 p, \varphi_2 p\rangle in

have \varphi_-p_R : \varphi_-p \in R := exists.intro p

\langle (\text{by simp : } \varphi_1 \text{ p = } \varphi_-\text{p.1}), (\text{by simp : } \varphi_2 \text{ p = } \varphi_-\text{p.2}) \rangle,

\langle \varphi_-p, \varphi_-p_R\rangle,

have sur : surjective \varphi := \lambda r, by tidy,

let \mu : R \rightarrow P := surj_inv sur,

let \rho : R \rightarrow F.obj R := (F.map \varphi) \circ P.\alpha \circ \mu,
```

## 5. Review of Lean

In this chapter, we discuss different aspects of the Lean theorem prover. This is a personal review and it focuses on the learning process and usability, assuming no previous experience with any automated proof assistants.

## **Syntax**

Lean's syntax is very elegant. The combination of unicode symbols with the ability to define flexible notation allows for precise and readable definitions, like the definition of coequalizer:

```
\boxed{\texttt{def coequalizer} : \texttt{B} \to \texttt{B}\_\theta \ \texttt{f} \ \texttt{g} := \lambda \ \texttt{b}, \ \llbracket \texttt{b} \rrbracket}
```

The syntax also allows for some flexibility. We can define an object of a structure in any of the follow ways:

```
def p1 (x : X) (y : Y) : X × Y := (x , y)
def p2 (x : X) (y : Y) : X × Y := {fst := x, snd := y}
def p3 (x : X) (y : Y) : X × Y := prod.mk x y
```

To introduce variables we have  $\lambda$  and assume and in tactic mode intro and intros. This flexibility, however, can also cause some confusion. For instance, inside tactic mode we can not deconstruct variables in the declaration:

```
def add1 : (\mathbb{N} \times \mathbb{N}) \to \mathbb{N} := assume \langle n , m \rangle, n + m def add_tactic : (\mathbb{N} \times \mathbb{N}) \to \mathbb{N} := begin intro nm, -- we can not use \langle n , m \rangle even with assume exact nm.1 + nm.2 end example (h0 : \exists x, p x) (h1 : \forall x, p x \to q x):
\exists x, q x := let \langle x, px \rangle := h0 in -- does not work in tactic mode \langle x, h1 \times px \rangle
```

We can also point to the use of the plural form variable and variables, intro and intros. These are simple examples of many inconsistencies, which makes writing code in Lean frustrating at times, especially for beginners.

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## Automation

We will discuss three tactics for automation, of which we make heavy use in the previous chapters.

We start with the rewrite tactic rw. While it is not exactly an automation tactic, it is supposed to make writing a proof more convenient and efficient according to [8]. It is certainly a useful tactic. However, it does not apply coersions and it does not unfold definitions, that Lean would otherwise automatically do, as the following example shows:

We can not use rw p directly, because rw does not apply reflexivity nor coercion, despite the fact that Lean accepts the proof as it is here and does the last step  $h \odot f = h \circ f$  by itself. Instances, similar to this example, can be found at many places in our code.

The tactic simp uses the tactic rw and many more identities defined in Lean. It is supposed to offer a powerful form of automation. However, here is a list of simple proofs, that the simplifier would fail to do:

Finally we look at the tactic tidy. This is probably the most powerful automation tactic. It is defined in the *mathlib* as opposed to rw and simp, which are defined in standard library. tidy is indeed very powerful and is able to prove all the previous examples. It applies a list of tactics repeatedly to the goal and recursively on new goals until none of them makes any progress.

However, in a few instances, tidy generates a proof, that is rejected by Lean. As we mentioned before, when defining the signature for the coalgebra of Kripke-structures (see 4.2.3). Using tidy in that proof gives the following error message:

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```
type mismatch at application
  has_mem.mem x
term
  x
has type
  X_1
but is expected to have type
  X
types contain aliased name(s): X
remark: the tactic 'dedup' can be used to rename aliases
```

Where all x,  $X_1$  and X are generated by tidy itself.

Using the automation tools is often trial and error. One has to develop a feeling for when it works and when it does not. However, it is clear that there is still a huge room for improvement.

## **Usability**

In this section we will talk about smaller issues one faces, when using Lean:

- Lean provides a messages windows, that appears next to the editor (when using VS code). This window is very useful to keep track of the current variables and assumptions and the current goal or goals. However, this window only appears in tactic mode. This makes writing complicated proofs very hard without entering tactic mode.
- Error messages in Lean are not beginner friendly and often they point to the wrong place of the code. However, that is not always the case. More experienced users can depend on the error messages to keep track of the stage of the proof.
- Lean's documentation is good for getting started and writing very simple proofs. After that, there is little support for advanced users. However, the community on leanprover. zulipchat.com/ and stackoverflow.com is active and very helpful, albeit rather small.
- In our experience, we found Lean's performance to be excellent. However, our files never exceeded 500 lines of code. The only performance issue we found is that Lean reevaluates the entire file every time one edits something. It would save significant amount of time if the evaluation was more scope-limited.

# 6. Conclusion and Future Work

In this thesis, we explored the Lean theorem prover and looked at the formalization of category theory in it. We then added to the existing library proofs of the diagram lemmas and a formalization of the E-M-squares as well as simple definitions of certain limits and colimits with proofs of their existence in the category *Set*.

Building on that, we formalized the definition of coalgebras, coalgebra homomorphisms and the category  $Set_F$ . Using these definitions, we defined the coalgebras representing automata and showed the equivalence between the definitions of homomorphisms between coalgebras and homomorphisms between automata; and then we did the same with Kripke-structures. We then used the definitions of limits and colimits we wrote in chapter 3 to define certain limits and colimits in the category  $Set_F$ . At the end of this chapter, we formalized the definition of bisimulations and proved basic theories about them.

Lean's clean and elegant syntax along with the small size of its trusted kernel of axioms makes it very appealing as a tool to verify mathematical arguments. However, the steep learning curve and the weaknesses in the automation tools, as we discussed in chapter 5, keeps it from becoming more mainstream among mathematicians.

The field of coalgebra contains many other areas, that were not covered here and could still be formalized in future work. Among these areas are terminal coalgebras and coalgebraic modal logic. Additionally, the proofs included in this work could be broken down to smaller and more usable lemmas and theories, in order to integrate this project into Lean's mathematical library. Furthermore, when *Lean 4* is released, there might be a need to update this and many other projects accordingly.

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# A. Lean Code

## A.1. Category Theory

## A.1.1. Diagram lemmas

```
lemma diagram_surjective (f : A \longrightarrow B) (g : A \longrightarrow C)
(sur: surjective f)
: (\exists ! h : B \longrightarrow C, h \circ f = g) \leftrightarrow (sub\_kern f g)
:= iff.intro
begin
    assume ex : \exists h , (h \circ f = g \wedge \forall h<sub>1</sub>, h<sub>1</sub> \circ f = g \rightarrow h<sub>1</sub> = h),
    show \forall a<sub>1</sub> a<sub>2</sub>, kern f a<sub>1</sub> a<sub>2</sub> \rightarrow kern g a<sub>1</sub> a<sub>2</sub>,
    let h := some ex,
   have h0 := some_spec ex,
    have h1 : h \circ f = g := and.left h0,
    have s1 : sub_kern f (h o f) := kern_comp f h,
    exact h1 ⊳ s1
                          -- short for eq.subst h1 s1
end
begin
    assume k : \forall a b, f a = f b \rightarrow g a = g b,
    show \exists h , (h \circ f = g \wedge \forall h<sub>1</sub>, h<sub>1</sub> \circ f = g \rightarrow h<sub>1</sub> = h),
   let h : B \rightarrow C := \lambda b : B, g (surj_inv sur b),
    have s1 : \forall a<sub>1</sub> a<sub>2</sub> , f a<sub>1</sub> = f a<sub>2</sub> \rightarrow g a<sub>1</sub> = g a<sub>2</sub> := k,
    have s2 : ∀ a , f (surj_inv sur (f a)) = f a :=
             assume a , surj_inv_eq sur (f a),
    have s3 : \forall a , g (surj_inv sur (f a)) = g a :=
             assume a , s1 (surj_inv sur (f a)) a (s2 a),
    have s4 : \forall a , h (f a) = g a :=
             assume a,
             show g (surj_inv sur (f a)) = g a,
            from s3 a,
    have s5: h \circ f = g := funext s4,
    have s6 : \forall h<sub>2</sub> :B \rightarrow C , h<sub>2</sub> \circ f = g \rightarrow h<sub>2</sub> = h :=
        begin
             assume h2 h2,
            have s61 : h_2 \circ f = h \circ f := by simp [s5, h2],
            haveI s62 : epi f := (epi_iff_surjective f).2 sur,
            have left_cancel := s62.left_cancellation,
```

```
have s63 : h_2 = h := left_cancel h_2 h s61, tidy end, have s7 : \exists (h_1 : B \rightarrow C), h_1 \circ f = g \land \forall h_2, h_2 \circ f = g \rightarrow h_2 = h_1 := exists.intro <math>h (and.intro (funext s4) s6), tidy end
```

```
lemma diagram_injective (f: B \longrightarrow A) (g: C \longrightarrow A)
                    (inj : injective f)
   : (\exists ! \ h \ : \ C \ \longrightarrow \ B \ , \ f \ \circ \ h \ = \ g) \ \leftrightarrow \ (\texttt{range} \ g \ \subseteq \ \texttt{range} \ f)
   := iff.intro
begin
   tidy
end
begin
   assume im,
   let G : C \rightarrow B \rightarrow Prop := \lambda c b , g c = f b,
   have G1 : \forall c : C , \exists b : B, G c b
   :=
   have G10 : \forall a : A , a \in range g \rightarrow
                    a \in range f := im,
        have G11 : \forall c : C , g c \in range f :=
            have G110 : g c \in range g := by tidy,
            G10 (g c) G110,
       have G12 : \forall c : C , \exists b : B , g c = f b :=
            \lambda c : C,
            have G110 : g c \in range f := G11 c,
            by tidy,
        G12,
   have G2 : \forall c : C , \exists! b : B, G c b :=
        have G20 : G c (some (G1 c)) := some_spec (G1 c),
        have G21 : f (some (G1 c)) = g c :=
            show f (some (G1 c)) = g c,
            from
            have G210 : _ := G c (some (G1 c)),
            by tidy,
        have G22 : \forall b<sub>1</sub> : B, G c b<sub>1</sub> \rightarrow
            b_1 = (some (G1 c)) :=
            \lambda b<sub>1</sub> : B, assume cbG : G c b<sub>1</sub>,
            show b_1 = (some (G1 c)), from
```

```
have G220: f b_1 = g c := by tidy,
                                    have G221 : f (some (G1 c)) = f b_1 := by rw [G21 , G220],
                                     eq.symm (inj G221), by tidy,
            \begin{tabular}{ll} \be
           have G3 : \forall c , (f \circ h) c = g c:=
                        assume c,
                        have G31 : h c = some (G2 c) := by tidy,
                       have G32 : _ := some_spec (G2 c),
                       have G33 : G c (some (G2 c)) := and.left G32,
                        by tidy,
           have G4 : f \circ h = g := funext G3,
           have G5 : \forall h_1 : C \longrightarrow B , f \circ h_1 = g \rightarrow h_1 = h :=
                        assume h_1 fh,
                       have G51 : f \circ h_1 = f \circ h := by rw [fh, G4],
                       have G511 : f \odot h_1 = f \odot h := by tidy,
                       have G52 : mono f := iff.elim_right (mono_iff_injective f) inj,
                       have G53 : _ := G52.right_cancellation,
                       G53 h<sub>1</sub> h G511,
           exact exists_unique.intro h G4 G5
end
```

### A.1.2. Orthogonality

```
lemma commutative_triangles_epi
     {C : Type v} [category C] {X Y Z U : C}
              (e : X \longrightarrow Y) (f : Y \longrightarrow U)
              (g : X \longrightarrow Z) (m : Z \longrightarrow U)
              (h : f \odot e = m \odot g) (d : Y \longrightarrow Z)
              [epi e] :
     (g = d \odot e) \rightarrow (\forall d_1 : Y \longrightarrow Z, g = d_1 \odot e \rightarrow d_1 = d)
                           \wedge f = m \odot d
           :=
     begin
           intros g_ed,
           split,
           intros d<sub>1</sub> g_ed<sub>1</sub>,
           exact eq.symm (right_cancel e (g_ed \triangleright g_ed<sub>1</sub>)),
           have ef_{edm} : f \odot e = m \odot d \odot e := by simp [g_{ed}, h],
           exact right_cancel e ef_edm
     end
```

```
lemma commutative_triangles_mono
               {C : Type v} [category C] {X Y Z U : C}
                   (\texttt{e} \; : \; \texttt{X} \; \longrightarrow \; \texttt{Y}) \; \; (\texttt{f} \; : \; \texttt{Y} \; \longrightarrow \; \texttt{U})
                   (g : X \longrightarrow Z) (m : Z \longrightarrow U)
                   (h : f \odot e = m \odot g) (d : Y \longrightarrow Z)
                   [mono m] :
       \texttt{f} = \texttt{m} \, \odot \, \texttt{d} \, \rightarrow \, (\forall \ \texttt{d}_1 \, : \, \texttt{Y} \, \longrightarrow \, \texttt{Z} , \texttt{f} = \texttt{m} \, \odot \, \texttt{d}_1 \, \rightarrow \, \texttt{d}_1 = \texttt{d})
                                \wedge g = d \odot e
               :=
       begin
              assume f_dm,
              split,
               assume d<sub>1</sub> f_dm<sub>1</sub>,
               exact eq.symm (left_cancel m (f_dm \triangleright f_dm<sub>1</sub>)),
              have edm_gm : m \odot d \odot e = m \odot g := f_dm > h,
              have edm_gm1: m \odot (d \odot e) = m \odot g := by tidy,
               exact eq.symm (left_cancel m edm_gm1)
       end
```

```
lemma E_M_square {X Y Z U : Type u}
            (e : X \longrightarrow Y) (ep : epi e)
            (f : Y \longrightarrow U) (g : X \longrightarrow Z)
            (m : Z \longrightarrow U) (mo : mono m)
            (h : f \odot e = m \odot g) :
            \exists ! \ d \ : \ Y \ \longrightarrow \ Z , (g = d \odot e \land
                               f = m \odot d :=
begin
    have sur : surjective e := (epi_iff_surjective e).1 ep,
    have inj : injective m := (mono_iff_injective m).1 mo,
    have range_f_m : range f \subseteq range m :=
         calc range f = range (f @ e) : eq_range_if_surjective e f sur
                   \dots = range (m \odot g) : by rw h
                   \ldots \subseteq \mathtt{range} \ \mathtt{m}
                                           : range_comp_subset_range g m,
    have kern_e_g : sub_kern e g :=
         sub_kern_if_injective e f g m h inj,
    cases ((diagram_injective m f inj).2 range_f_m) with d1 ex_uni1,
    cases ((diagram_surjective e g sur).2 kern_e_g) with d2 ex_uni2,
```

```
have ex1 : m \circ d_1 = f := ex\_uni1.1,
     have ex2 : d_2 \circ e = g := ex_uni2.1,
     have uni1 : \forall d : Y \longrightarrow Z, m \circ d = f \rightarrow d = d<sub>1</sub> := ex_uni1.2,
     have em_mono : _ := (commutative_triangles e f g m h d1),
     have h1 : (\forall (d<sub>11</sub> : Y \longrightarrow Z), f = m \odot d<sub>11</sub> \rightarrow d<sub>11</sub> = d<sub>1</sub>)
                               \wedge g = d<sub>1</sub> \odot e :=
           and.right em_mono \langle mo , eq.symm ex1 \rangle,
     have h2 : \forall (d<sub>11</sub> : Y \longrightarrow Z), f = m \odot d<sub>11</sub> \rightarrow d<sub>11</sub> = d<sub>1</sub> :=
           assume d_{11}, and left h1 d_{11},
     have em_epi :=
            (commutative_triangles e f g m h d_2).1 \langle ep , eq.symm ex2 \rangle,
     have h4 : \forall (d<sub>11</sub> : Y \longrightarrow Z), g = d<sub>11</sub> \odot e \rightarrow d<sub>11</sub> = d<sub>2</sub> :=
           assume d_{11}, and.left em_epi d_{11},
     have d11 : g = d_1 \odot e := and.right h1,
     have d22 : f = m \odot d_2 := and.right em_epi,
     have d1_d2 : d_2 = d_1 := and.left h1 d_2 d22,
     have d12 : f = m \odot d_1 := eq.subst d1_d2 d22,
     have h5 : \forall d<sub>x</sub> : Y \longrightarrow Z ,
                  (g = d_x \odot e \land f = m \odot d_x) \rightarrow d_x = d_1 :=
                  assume d_x ged_fdm,
                 uni1 d_x (eq.symm ged_fdm.2),
     exact exists_unique.intro d_1 \langle d11 , d12 \rangle h5
end
```

```
lemma sub_kern_if_injective {X Y Z U : Type u} (e : X \longrightarrow Y) (f : Y \longrightarrow U)
```

```
 (g: X \longrightarrow Z) \ (m: Z \longrightarrow U) \\ (h: e \gg f = g \gg m) \ (inj: injective m) : sub\_kern e g := \\ begin \\ assume \ x_1 \ x_2 \ xxe, \\ have \ h01 : e \ x_1 = e \ x_2 := xxe, \\ have \ h02 : m \ (g \ x_1) = m \ (g \ x_2) := \\ calc \ m \ (g \ x_1) = (g \gg m) \ x_1 : rfl \\ ... = (e \gg f) \ x_1 : by \ rw \ h \\ ... = f \ (e \ x_1) : rfl \\ ... = f \ (e \ x_2) : by \ rw \ h01 \\ ... = (e \gg f) \ x_2 : rfl \\ ... = (g \gg m) \ x_2 : by \ rw \ h, \\ exact \ inj \ h02 \\ end
```

# A.1.3. Equalizer

```
lemma eqaulizer_set_is_equalizer :
     is_equalizer f g (eqaulizer_set f g \hookrightarrow A) :=
    let E := eqaulizer_set f g in
    let e := E \hookrightarrow A in
     <
          have elements : \forall a, (f \circ e) a = (g \circ e) a :=
                    \lambda a, a.property,
          funext elements
          begin
               intros Q q fq_gq,
               have s0 : \forall b : Q , (f \odot q) b = (g \odot q) b :=
                                  assume b, by rw fq_gq,
               have s1 : \forall b : \mathbb{Q} , q b \in \mathbb{E} :=
                              assume b, s0 b,
               let h : Q \rightarrow E := \lambda b, \langle q b, s1 b \rangle,
               have q_eh : q = e \circ h := by tidy,
               use h,
               split,
               exact q_eh,
               intros h_1 spec_h_1,
               have inj : injective e := inj_inclusion A E,
               have ey_eh: e \circ h<sub>1</sub> = e \circ h := by rw [\leftarrow spec_h<sub>1</sub> , q_eh],
               have elements : \forall b, (e \circ h<sub>1</sub>) b = (e \circ h) b :=
                          assume a, by rw ey_eh,
```

```
dsimp at *,
     solve_by_elim
     end
)
```

#### A.1.4. Product

```
lemma jointly_mono
     {X : Type v} [category X]
     (A B P : X) \{Q: X\} (\pi_1 : P \longrightarrow A) (\pi_2 : P \longrightarrow B)
           (prod: is_product A B \pi_1 \pi_2)
           \{s \ s_1: \ Q \longrightarrow P\}
           (h1 : \pi_1 \odot s_1 = \pi_1 \odot s)
           (h2 : \pi_2 \odot s_1 = \pi_2 \odot s):
           s_1 = s :=
     begin
           have prod_Q := prod Q (\pi_1 \odot s_1) (\pi_2 \odot s_1),
           cases prod_Q with p spec_p,
           have spec_s1 : \pi_1 \odot s = \pi_1 \odot p :=
                h1 \triangleright spec_p.1.1,
           have spec_s2 : \pi_2 \odot s = \pi_2 \odot p :=
                h2 > spec_p.1.2,
           rw spec_p.2 s \langle h1, h2 \rangle,
           exact spec_p.2 s_1 \langle rfl, rfl \rangle,
     end
```

#### A.1.5. Pullback

```
lemma equalizer_product_is_pullback_cat  \{X : Type \ u\} \ [category \ X]   \{A_1 \ A_2 \ B \ P \ E : \ X\}   (f : A_1 \longrightarrow B) \ (g : A_2 \longrightarrow B)   (\pi_1 : P \longrightarrow A_1) \ (\pi_2 : P \longrightarrow A_2)
```

```
(pr : is_product A_1 A_2 \pi_1 \pi_2)
(e : E \longrightarrow P)
(eqauliz : is_equalizer (f \odot \pi_1) (g \odot \pi_2) e) :
is_pullback f g
            (\pi_1 \odot e)
             (\pi_2 \odot e)
tidy
   end
begin
            intros Q q_1 q_2 fq_1\_gq_2,
             \begin{tabular}{ll}  \begin
            have spec_p : q_1 = \pi_1 \odot p \land q_2 = \pi_2 \odot p :=
                          (some\_spec (pr Q q_1 q_2)).1,
            have eq_comp : f \odot \pi_1 \odot p = g \odot \pi_2 \odot p :=
                          calc f \odot \pi_1 \odot p = f \odot (\pi_1 \odot p) : by tidy
                                                                                      = f \odot q_1
                                                                                                                                               : by rw \leftarrow spec_p.1
                                                                                      = g \odot q_2 : fq_1\_gq_2
                                                                                        = g \odot (\pi_2 \odot p) : by rw spec_p.2
                                                                                       = g \odot \pi_2 \odot p : by tidy,
             let h : Q \longrightarrow E := some (eqauliz.2 p eq_comp),
            have spec_h : p = e \odot h :=
                           (some_spec (eqauliz.2 p eq_comp)).1,
             use h,
             have h0 : q_1 = \pi_1 \odot e \odot h \wedge q_2 = \pi_2 \odot e \odot h :=
                                      by simp [spec_h , spec_p.1]
                                      by simp [spec_h , spec_p.2]
                         ) ,
             split,
             exact h0,
             assume (y : Q \longrightarrow E)
                          (spec_y : q_1 = \pi_1 \odot e \odot y \land q_2 = \pi_2 \odot e \odot y),
             have s0 : \pi_1 \odot (e \odot h) = \pi_1 \odot (e \odot y) :=
                          calc \pi_1 \odot (e \odot h) = \pi_1 \odot e \odot h : by tidy
                                                                                                                                                     : eq.symm h0.1
                                                                                       = q_1
                                                                                       = \pi_1 \odot e \odot y : spec_y.1
                                       . . .
                                                                                    = \pi_1 \odot (e \odot y) : by tidy,
            have s1 : \pi_2 \odot (e \odot h) = \pi_2 \odot (e \odot y) :=
```

### A.1.6. Coequalizer

```
lemma coequalizer_kern :
     \Pi (Q : Type u) (q : B \rightarrow Q),
     q \circ f = q \circ g \rightarrow sub\_kern (coequalizer f g) q :=
     begin
          intros Q q qfg,
          let co := (coequalizer f g),
          let ker := kern co,
          let ker_q := kern q,
          have k1 : \forall b<sub>1</sub> b<sub>2</sub> , ker b<sub>1</sub> b<sub>2</sub> \rightarrow ker_q b<sub>1</sub> b<sub>2</sub> :=
                begin
                     assume b_1 b_2 kb1b2,
                     have quotb1b2 : [b_1] = [b_2] := kb1b2,
                     let \Thetab1b2 : eqv_gen (R f g) b<sub>1</sub> b<sub>2</sub> :=
                          @quotient.exact B (theta_setoid f g)
                          b_1 b_2
                          quotb1b2,
                     apply eqv_gen.rec_on \Thetab1b2,
                     exact (\lambda b<sub>1</sub> b<sub>2</sub> (h: \exists a : A , f a = b<sub>1</sub> \land g a = b<sub>2</sub>),
                          let a : A := some h in
                          calc q b_1 = (q \circ f) a : by simp [some_spec h]
                                   \dots = (q \circ g) a : by simp [qfg]
                                   \dots = q b<sub>2</sub>
                                                          : by simp [some_spec h]),
                     exact (\lambda x, rfl),
                     exact (\lambda x y _ (h : q x = q y), eq.symm h),
                     exact (\lambda x y z _ _ (h_1 : q x = q y) (h_2 : q y = q z),
                            eq.trans h_1 h_2),
                end,
          exact k1
     end
```

# A.1.7. Sum

```
lemma jointly_epi_cat {X : Type v} [category X]  \{A \ B \ S \ Q : X\} \ (e_1 : A \longrightarrow S) \ (e_2 : B \longrightarrow S)   (is\_sm : is\_sum \ S \ e_1 \ e_2)   (f \ g : S \longrightarrow Q)   (h1 : f \circledcirc e_1 = g \circledcirc e_1)   (h2 : f \circledcirc e_2 = g \circledcirc e_2)   : f = g :=   begin   have \ ex : \_ := is\_sm \ Q \ (f \circledcirc e_1) \ (f \circledcirc e_2),   cases \ ex \ with \ s \ spec\_s,   have \ g\_s : g = s := spec\_s.2 \ g \ (h1, h2),   rw \ g\_s,   exact \ spec\_s.2 \ f \ (rf1, rf1)   end
```

```
lemma jointly_epi \{A \ B \ Q : Type \ u\}
(s \ s_1: \ (A \oplus B) \to Q)
(h1 : s_1 \circ inl = s \circ inl)
(h2 : s_1 \circ inr = s \circ inr)
: s_1 = s :=
begin
have \ all_ab : \forall \ ab : \ (A \oplus B) \ , \ s_1 \ ab = s \ ab :=
begin
intro \ ab,
induction \ ab,
case \ inl :
```

```
lemma disjoint_union_is_sum :
     is_sum (A \oplus B) inl inr :=
     begin
                                     -- competitor with 2 morphisms
          intros Q q_1 q_2,
          show \exists ! \ s : (A \oplus B) \longrightarrow Q, (q_1 = s \circ inl \land q_2 = s \circ inr)
                     , from
          let s : (A \oplus B) \longrightarrow Q :=
            --defining the unique morphism s:sum \to \mathcal{Q} inductively
               begin
                     intro ab,
                     induction ab,
                     case inl :
                          begin
                               \hbox{\tt exact}\ q_1\ \hbox{\tt ab}
                          end,
                     case inr :
                          begin
                               exact q_2 ab
                          end,
               end in
          have commut_A : q_1 = s \circ inl := rfl,
          have commut_B : q_2 = s \circ inr := rfl,
          have unique : \forall s<sub>1</sub> ,
                                (q_1 = s_1 \circ inl \land q_2 = s_1 \circ inr) \rightarrow
                                   s_1 = s :=
               assume s_1 h_1,
                     jointly_epi s s_1
                          (by rw [\leftarrow h<sub>1</sub>.1 ,commut_A ])
```

```
(by\ rw\ [\leftarrow\ h_1.2\ ,commut\_B\ ]), exists\_unique.intro\ s \langle commut\_A\ ,\ commut\_B\rangle\ unique end
```

#### A.1.8. Pushout

```
lemma coequalizer_sum_is_pushout
      {X : Type v} [category X]
       \{ \texttt{A} \ \texttt{B}_1 \ \texttt{B}_2 \colon \, \texttt{X} \} \ (\texttt{f} \ \colon \, \texttt{A} \ \longrightarrow \ \texttt{B}_1) \ (\texttt{g} \ \colon \, \texttt{A} \ \longrightarrow \ \texttt{B}_2) 
      (S P : X) (s_1 : B_1 \longrightarrow S) (s_2 : B_2 \longrightarrow S)
      (p : S \longrightarrow P)
      (\texttt{is\_sm} \; : \; \texttt{is\_sum} \; \texttt{S} \; \texttt{s}_1 \; \texttt{s}_2)
      (is_coeq: is_coequalizer (s<sub>1</sub> \odot f) (s<sub>2</sub> \odot g) p):
      is_pushout f g P (p \odot s<sub>1</sub>) (p \odot s<sub>2</sub>)
      :=
      begin
            have comm : (p \odot s_1) \odot f = (p \odot s_2) \odot g :=
                   by tidy,
            split,
            exact comm,
            intros Q q<sub>1</sub> q<sub>2</sub> qfg,
            let s := some sm,
            have spec : q_1 = s \odot s_1 \land q_2 = s \odot s_2
                   := (some_spec sm).1,
            have sf\_sg : s \odot s_1 \odot f = s \odot s_2 \odot g :=
                   calc (s \odot s<sub>1</sub>) \odot f
                         = q_1 \odot f : by rw \leftarrow spec.1 ... = q_2 \odot g : qfg
                          \dots = s \odot s<sub>2</sub> \odot g : by rw spec.2,
            have coeq : \exists! (h : P \longrightarrow Q), h \odot p = s
              := is_coeq.2 Q s (by tidy),
            let h := some coeq,
            have h_{spec} : s = h \odot p := eq.symm (some_spec coeq).1,
            use h,
            split,
            split,
            by simp [h_spec , spec.1],
```

```
by simp [h_spec , spec.2], intros q spec_q, have h01 : q_1 = q \odot p \odot s_1 := by tidy, have h02 : q_2 = q \odot p \odot s_2 := by tidy, have q_2 = q \odot p \odot s_2 := by tidy, have q_3 = q \odot p = s := jointly_epi_cat s_1 s_2 is_sm (q \odot p) s (spec.1 <math>\triangleright (eq.symm h01)) (spec.2 \triangleright (eq.symm h02)), exact (some_spec coeq).2 q q_s end
```

# A.2. Coalgebra

```
lemma id_is_hom (A : Coalgebra F) : is_coalgebra_homomorphism (@id A) := show A.\alpha \circ id = (F.map\ id) \circ A.\alpha, from calc A.\alpha \circ id = (\mathbb{I}\ (F.obj\ A)) \circ A.\alpha : rfl ... = (F.map\ (\mathbb{I}\ A)) \circ A.\alpha : by rw \leftarrow functor.map_id' F A
```

```
lemma comp_is_hom (\varphi : homomorphism \mathbb{A} \mathbb{B}) (\psi : homomorphism \mathbb{B} \mathbb{C})

: is_coalgebra_homomorphism (\psi \circ \varphi) :=

have ab : \mathbb{B}.\alpha \circ \varphi = F.map \ \varphi \circ \mathbb{A}.\alpha := \varphi.property,

have bc : \mathbb{C}.\alpha \circ \psi = F.map \ \psi \circ \mathbb{B}.\alpha := \psi.property,

calc

(\mathbb{C}.\alpha \circ \psi) \circ \varphi = (F.map \ \psi) \circ \mathbb{B}.\alpha \circ \varphi : by rw bc

... = (F.map \ \psi) \circ (F.map \ \varphi) \circ \mathbb{A}.\alpha : by rw ab

... = ((F.map \ \psi) \circ (F.map \ \varphi)) \circ \mathbb{A}.\alpha : rfl

... = (F.map \ (\psi \odot \varphi)) \circ \mathbb{A}.\alpha : by rw

\leftarrow functor.map_comp
```

#### A.2.1. Automata as Coalgebras

```
lemma Automata_Coalgebra_hom {A B : Automaton Sigma \Gamma} (\varphi : A \to B): is_homomorphism \varphi \leftrightarrow @is_coalgebra_homomorphism F (Automata_Coalgebra A) (Automata_Coalgebra B) \varphi := let A := Automata_Coalgebra A in let B := Automata_Coalgebra B in
```

```
begin
     split,
     intro h,
     dsimp at *,
     ext1 s,
     dsimp at *,
     have hs := h s,
     ext1,
     have B_fst : (\mathbb{B}.\alpha (\varphi s)).fst = \mathbb{B}.\gamma (\varphi s) :=
          by tidy,
     have A_fst :
       (F.map \varphi ((Automata_Coalgebra A).\alpha s)).fst = B.\gamma (\varphi s) :=
           by tidy,
     simp [B_fst , A_fst],
     have A_snd : (\mathbb{B}.\alpha (\varphi s)).snd = ((F.map \varphi) (\mathbb{A}.\alpha s)).snd :=
           by tidy,
     exact A_snd,
     intro co_h,
     dsimp at *,
     intro s,
     have h_s : (\mathbb{B}.\alpha \circ \varphi) s =
               (F.map \varphi \circ A.\alpha) s := by rw co_h,
     split,
     tidy
end
```

# A.2.2. Kripke Structures as Coalgebras

```
variable {\varphi : Type v}
def F : Type u \Rightarrow Type (max v u) :=
{
    obj := \lambda S, (set S) \times (set \varphi),
    map := \lambda {A B} \varphi, \lambda (U , P) , (image \varphi U, P),
    map_id' :=
    begin
    intros X,
    dsimp at *,
    ext1,
    cases x with U P,
    dsimp at *,
    ext1,
    have im : image id U = U := by simp,
```

```
exact im,
             refl
         end ,
    map_comp' :=
        begin
             intros X Y Z f g,
             dsimp at *,
             ext1,
             cases x with S P,
             dsimp at *,
             calc
             F._{match_1} (g \circ f) (S, P)
                 = \langle image (g \circ f) S, P \rangle
                                                        : rfl
             ... = \langle image f S), P
                                                        : by rw
                                             img_comp f g S
             \dots = F._{match_1} g (F._{match_1} f (S, P)) : rfl
}
```

```
lemma Kripke_Coalgebra_hom \{K_1 \ K_2 : Kripke \ \varphi\} \ (\varphi : K_1 \rightarrow K_2):
      is_homomorphism \varphi \varphi \leftrightarrow
      @is_coalgebra_homomorphism F
              (Kripke_Coalgebra K<sub>1</sub>) (Kripke_Coalgebra K<sub>2</sub>)
      let \mathbb{K}_1 := Kripke_Coalgebra \mathbb{K}_1 in
       let \mathbb{K}_2 := Kripke_Coalgebra \mathbb{K}_2 in
      iff.intro
       assume \langle tr, b_a, pr \rangle,
       show \mathbb{K}_2 \cdot \alpha \circ \varphi = \mathbb{F} \cdot \text{map } \varphi \circ \mathbb{K}_1 \cdot \alpha,
      begin
             have im_elem : \forall s : K_2.State,
                           s \in \text{image } \varphi \text{ } (\texttt{K}_1.\texttt{T} \texttt{ x}) \leftrightarrow \texttt{s} \in \texttt{K}_2.\texttt{T} \text{ } (\varphi \texttt{ x}) :=
                     begin
                            intro s,
                           split,
                            intros im_{-}\varphi,
                           cases im_{\phi} with s12 specS12,
                           rw \leftarrow specS12.2,
                            exact tr x s12 specS12.1,
                           intro s_T2,
                           exact b_a x s s_T2,
                     end,
```

```
have im : image \varphi (K<sub>1</sub>.T x) = K<sub>2</sub>.T (\varphi x) :=
             eq_sets.1 im_elem,
      have F_{\phi}: F.map \phi (K_1.\alpha x) = \langle image \phi (K_1.T x), K_1.v x\rangle
                    := rfl,
      simp [F_{\phi}],
      have last : (\langle K_2.T \ (\varphi \ x) \ , \ K_2.v \ (\varphi \ x) \rangle :
              (set K_2.State) \times set \varphi) = (image \varphi (K_1.T x), K_1.v x\rangle :=
                    by simp [eq.symm im, eq.symm (pr x)],
      simp [eq.symm last],
      refl
end )
assume co_hom : \mathbb{K}_2.\alpha \circ \varphi = \text{F.map } \varphi \circ \mathbb{K}_1.\alpha,
show (\forall a_1 a_2 : K_1, a_2 \in K_1.T a_1 \rightarrow (\varphi a_2) \in K_2.T (\varphi a_1)) \land
        (\forall (a : K_1) (b : K_2) , b \in K_2.T (\varphi a) \rightarrow
                    \exists a': K_1 , a' \in K_1.T a \land \varphi a' = b) \land
        (\forall a : K_1, K_1.v a = K_2.v (\varphi a)),
begin
      split,
      intros a_1 a_2 a_2_a_1,
      have h3: (\mathbb{K}_2.\alpha \circ \varphi) a<sub>1</sub> = ((F.map \varphi) \circ \mathbb{K}_1.\alpha) a<sub>1</sub>
                             := by rw co_hom,
      have h5 : (\langle K_2.T \ (\varphi \ a_1) \ , \ K_2.v \ (\varphi \ a_1) \rangle :
              (set K_2.State) \times set \varphi) = \langle image \varphi (K_1.T a_1), K_1.v a_1 \rangle
                    := h3,
      have h6 : K_2.T (\varphi a_1) = image \varphi (K_1.T a_1) := by tidy,
      have h7 : \varphi a<sub>2</sub> \in image \varphi (K<sub>1</sub>.T a<sub>1</sub>) :=
             by {use a_2, simp [a_2_a_1]},
      rw h6,
      exact h7,
      split,
      intros a_1 b b_T_{\phi_a},
      have h3 : (\mathbb{K}_2.\alpha \circ \varphi) a<sub>1</sub> = ((\text{F.map }\varphi) \circ \mathbb{K}_1.\alpha) a<sub>1</sub>
                            := by rw co_hom,
      have h4 : \mathbb{K}_2 \cdot \alpha \ (\varphi \ a_1) = \text{F.map} \ \varphi \ (\mathbb{K}_1 \cdot \alpha \ a_1)
                             := h3,
      have h5 : (\langle K_2.T (\varphi a_1), K_2.v (\varphi a_1) \rangle :
              (set K_2.State) \times set \varphi) = \langle image \varphi (K_1.T a_1), K_1.v a_1 \rangle
                    = h4,
      have h6 : K_2.T (\varphi a<sub>1</sub>) = image \varphi (K_1.T a<sub>1</sub>) := by tidy,
```

```
have h7 : b \in image \varphi (K<sub>1</sub>.T a<sub>1</sub>) := h6 \triangleright b_T_\varphi_a, exact h7, intro a<sub>1</sub>, have h3 : (K<sub>2</sub>.\alpha \circ \varphi) a<sub>1</sub> = ((F.map \varphi) \circ K<sub>1</sub>.\alpha) a<sub>1</sub> := by rw co_hom, have h5 : ((K<sub>2</sub>.T (\varphi a<sub>1</sub>) , K<sub>2</sub>.v (\varphi a<sub>1</sub>)) : (set K<sub>2</sub>.State) \times set \varphi) = (image \varphi (K<sub>1</sub>.T a<sub>1</sub>), K<sub>1</sub>.v a<sub>1</sub>) := h3, have h6 : K<sub>2</sub>.v (\varphi a<sub>1</sub>) = K<sub>1</sub>.v a<sub>1</sub> := by tidy, exact eq.symm h6
```

```
lemma eq_sets {A : Type u} (S T : set A)
    : (\forall a : A , a \in S \leftrightarrow a \in T) \leftrightarrow
     S = T :=
    begin
         split,
         assume h,
         have h1 : \foralls : S , s.val \in T :=
             \lambda s, (h s.val).1 s.property,
         have h2 : \forall t : T , t.val \in S :=
             \lambda t, (h t.val).2 t.property,
         simp at *,
         ext1,
         split,
         intros s,
         exact h1 x s,
         intros t,
         exact h2 x t,
         intro h,
         intro a,
         induction h,
         refl
    end
```

#### A.2.3. Isomorphisms and diagram lemma

```
theorem bij_inverse_of_hom_is_hom  (\varphi : homomorphism \ \mathbb{A} \ \mathbb{B})  (bij : bijective \varphi) : let inv : \mathbb{B} \to \mathbb{A} := some (bijective_iff_has_inverse.1 bij) in is_coalgebra_homomorphism inv := begin
```

```
intro inv,
                 let hom : \mathbb{B} \cdot \alpha \circ \varphi = \mathbb{F} \cdot \text{map } \varphi \circ \mathbb{A} \cdot \alpha := \varphi \cdot \text{property},
                 have has_lr_inv :left_inverse inv \varphi \wedge right_inverse inv \varphi
                        := some_spec (bijective_iff_has_inverse.1 bij),
                 calc
                 A.\alpha \circ inv = id \circ A.\alpha \circ inv
                                                                                          : rfl
                 \dots = (1 \text{ (F.obj } A)) \circ A \cdot \alpha \circ \text{inv}
                                                                                         : rfl
                  \dots = (F.map (1 A)) \circ A.\alpha \circ inv
                                                                                         : by rw
                                            ← functor.map_id'
                  \dots = (F.map id) \circ A.\alpha \circ inv
                                                                                         : rfl
                  \dots = (F.map (inv \circ \varphi)) \circ A.\alpha \circ inv
                                                                                         : by rw
                                            id_of_left_inverse has_lr_inv.1
                  \dots = (F.map (inv \odot \varphi)) \circ A.\alpha \circ inv
                                                                                       : rfl
                  \dots = ((F.map inv) \odot (F.map \varphi)) \circ \mathbb{A} \cdot \alpha \circ inv : by rw
                                              ← functor.map_comp
                  \dots = (F.map inv) \circ ((F.map \varphi) \circ \mathbb{A}.\alpha) \circ inv : rfl
                  \dots = (F.map inv) \circ (B.\alpha \circ \varphi) \circ inv
                                                                                      : by rw hom
                  \dots = (F.map inv) \circ \mathbb{B}.\alpha \circ (\varphi \circ \text{inv})
                                                                                        : rfl
                  \dots = ((F.map inv) \circ \mathbb{B}.\alpha \circ id)
                                                                                       : by rw
                                            id_of_right_inverse has_lr_inv.2
                  \dots = (F.map inv) \circ \mathbb{B}.\alpha
                                                                                         : rfl
           end
lemma surj_to_hom
                  (f : A.carrier \longrightarrow B.carrier)
                  (g : \mathbb{B}.carrier \longrightarrow \mathbb{C}.carrier)
                  (hom_gf : is_coalgebra_homomorphism (g ∘ f))
                  (hom_f : is_coalgebra_homomorphism f)
                  (ep : epi f)
                        : is_coalgebra_homomorphism g :=
     have h1 : (\mathbb{C}.\alpha \circ g) \odot f = (F.map g \circ \mathbb{B}.\alpha) \odot f :=
     calc
```

 $\dots$  = (F.map g  $\odot$  F.map f)  $\circ$  A. $\alpha$  : by rw functor.map\_comp

: by tidy

: by rw [eq.symm hom\_f],

 $(\mathbb{C}.\alpha \circ g) \odot f = F.map (g \odot f) \circ A.\alpha : hom_gf$ 

 $\dots$  = F.map g  $\circ$  F.map f  $\circ$  A. $\alpha$ 

 $\dots$  = F.map g  $\circ$   $\mathbb{B}$ . $\alpha \circ$  f

right\_cancel f h1

```
(inj : injective g) : is_coalgebra_homomorphism f :=
begin
      cases classical.em (nonempty B) with n_em_B emp_B,
      have h1: (F.map g) \odot (F.map f) \odot \mathbb{A}.\alpha = F.map g \odot (\mathbb{B}.\alpha \odot f) :=
      calc
       ((F.map g) \odot (F.map f)) \circ A.\alpha
                 = (F.map (g \odot f)) \circ A.\alpha : by rw functor.map_comp
                = F.map (g \circ f) \circ A.\alpha : rfl
                = \mathbb{C} \cdot \alpha \circ g \circ f
                                                      : by rw [eq.symm hom_gf]
                = (F.map g \circ \mathbb{B}.\alpha) \circ f : by rw [eq.symm hom_g],
      \begin{array}{lll} \textbf{haveI} & \texttt{inh}\_\mathbb{B} & : & \texttt{inhabited} & \mathbb{B} & := & \texttt{\langle choice} & \texttt{n\_em}\_\mathbb{B} \rangle, \end{array}
       -- haveI is used to define an instance.
      haveI fg_mono : mono (F.map g) := mono_preserving_functor g inj,
      exact left_cancel (F.map g) (eq.symm h1),
      exact empty_hom_codom f emp_B
end
```

```
lemma empty_hom_codom (\varphi : A.carrier \longrightarrow B.carrier)

(em_B : \neg nonempty B):

is_coalgebra_homomorphism \varphi :=

begin

dsimp at *,

ext1,

have ex : \exists b : \exists , true := exists.intro (\varphi x) trivial,

exact absurd (nonempty_of_exists ex) em_B

end
```

```
-- The "kern \varphi \subseteq kern \ \psi" \rightarrow "exists a unique \chi" direction:
begin
     assume k,
      -- using the diagram lemma of Set-Category to
      -- prove the existance and uniqueness of such morphism
     have ex_uni : \exists \chi : \mathbb{B} \to \mathbb{C},
            (\chi \circ \varphi = \psi \land \forall \chi_1, \chi_1 \circ \varphi = \psi \rightarrow \chi_1 = \chi)
            := (diagram_surjective \varphi \psi sur).2 k,
     cases ex_uni with \chi spec,
      --\chi:\mathbb{B}\to\mathbb{C}
      -- spec : \chi \circ \varphi = \psi \land \forall \chi_1, \chi_1 \circ \varphi = \psi \rightarrow \chi_1 = \chi
     have hom_\chi_{\varphi} : is_coalgebra_homomorphism (\chi \circ \varphi) :=
            (eq.symm spec.left) \triangleright \psi.property,
     have hom_\chi : is_coalgebra_homomorphism \chi :=
            surj_to_hom \varphi \chi hom_\chi_\varphi \varphi.property
                         ((epi_iff_surjective \varphi).2 sur),
      have unique : \forall (\chi_1 : homomorphism \mathbb{B} \mathbb{C}),
                        \chi_1 \circ \varphi = \psi
                        \rightarrow \chi_1 = \langle \chi, hom_\chi \rangle := by tidy,
      exact exists_unique.intro \langle \chi , hom_\chi \rangle
                                              spec.left unique
end
```

#### A.2.4. Subcoalgebra

```
lemma subcoalgebra_unique_structure

(S : set A)
(h : openset S)
: let \alpha := some h in
\forall \sigma : S \rightarrow F.obj S,
\emptyset is_coalgebra_homomorphism F
\langle S , \sigma \rangle
A
(S \hookrightarrow A) \rightarrow
\sigma = \alpha
:=
begin
intros \alpha \sigma h0,
```

```
let coS : SubCoalgebra S := \langle \alpha \rangle, (some_spec h),
     cases classical.em (nonempty S) with nonemp emp,
     haveI inh : inhabited S := nonemptyInhabited nonemp,
     have hom : @is_coalgebra_homomorphism F
                                cos A (S \hookrightarrow A) := some\_spec h,
     have h2: (F.map (S \hookrightarrow A)) \circ \alpha = (F.map (S \hookrightarrow A)) \circ \sigma :=
                calc (F.map (S \hookrightarrow A)) \circ \alpha
                           = A.\alpha \circ (S \hookrightarrow A)
                                                               : eq.symm hom
                      ... = (F.map (S \hookrightarrow A)) \circ \sigma : h0,
     haveI h3 : mono (F.map (S \hookrightarrow A)) :=
           mono_preserving_functor (S \hookrightarrow A) (inj_inclusion A S),
     exact eq.symm (left_cancel (F.map (S \hookrightarrow A)) h2),
     have h2 : \forall (f<sub>1</sub> f<sub>2</sub> : S \rightarrow F.obj S), f<sub>1</sub> = f<sub>2</sub> :=
           map_from_empty S (F.obj S) (nonempty_notexists emp),
     exact h2 \sigma (some h)
end
```

```
lemma map_from_empty (S : set A) (B : Type u) :  (\neg \exists s : S , true) \rightarrow \\ \forall f_1 f_2 : S \rightarrow B, f_1 = f_2 := \\ assume h f_1 f_2, \\ show f_1 = f_2, from \\ have h0 : \forall s : S , false := by tidy, \\ by tidy
```

## A.2.5. Homomorphic Image

```
lemma surj_hom_to_coStructure
(\varphi: homomorphism \land B) (sur: surjective \varphi):
let \chi: B \to F.obj B := \lambda b,
    let a:= some (sur b) in
    ((F.map \varphi) \circ \land A.\alpha) a in
B.\alpha = \chi :=
begin
    intro \chi,
    have elements: \forall b, B.\alpha b = \chi b :=
begin
    intro b,
    let a:= some (sur b),
    have a_b: \varphi a = b:= some\_spec (sur b),
```

```
have \chi_- b: \chi b = ((F.map \varphi) \circ A.\alpha) a := rfl,
have hom_- \varphi: B.\alpha \circ \varphi = (F.map \varphi) \circ A.\alpha := \varphi.property,
have h_- \varphi: \forall a, B.\alpha (\varphi a) = ((F.map \varphi) \circ A.\alpha) a :=
\lambda a,
have h1: (B.\alpha \circ \varphi) a = ((F.map \varphi) \circ A.\alpha) a :=
by rw hom_- \varphi,
h1,
have \alpha_- a: B.\alpha b = ((F.map \varphi) \circ A.\alpha) a :=
a_- b \rhd (h_- \varphi a),
rw \alpha_- a,
end,
exact funext elements
```

```
lemma empty_hom_dom (φ : A.carrier → B.carrier)
  (em_A : ¬ nonempty A):
  is_coalgebra_homomorphism φ :=
  begin
    dsimp at *,
    ext1,
    have ex : ∃ a : A , true := exists.intro x trivial,
    exact absurd (nonempty_of_exists ex) em_A
  end
```

```
theorem factorization {Q : Type u}
      (\varphi : homomorphism A \mathbb{B})
      (f : A.carrier \longrightarrow Q) (g : Q \longrightarrow B.carrier)
      (h : \varphi.val = g \circ f)
      (sur : surjective f)
      (inj : injective g) :
            (\exists ! \alpha_{Q} : Q \longrightarrow F.obj Q,
                  @is_coalgebra_homomorphism F \mathbb{A} \langle \mathbb{Q} , \alpha_- \mathbb{Q} \rangle f)
      :=
begin
      cases classical.em (nonempty A) with n_em_A emp_A,
      haveI inh : inhabited A := \langle choice n_em_A \rangle,
      let hom_\varphi := \varphi.property,
      /-
            Using the E-M-Square
                  \longrightarrow (f) \longrightarrow Q \longrightarrow (B. \alpha \circ g) \longrightarrow F(B),
            A \longrightarrow (F f \circ \alpha_A) \longrightarrow F(Q) \longrightarrow (F g) \longrightarrow F(B)
      -/
      have commute
            : (\mathbb{B}.\alpha \circ g) \circ f = (F.map g) \circ ((F.map f) \circ \mathbb{A}.\alpha) :=
```

```
calc \mathbb{B}.\alpha \circ g \circ f = \mathbb{B}.\alpha \circ \varphi.val
                                                                      : by simp [h
]
                         = (F.map \varphi.val) \circ \mathbb{A}.\alpha
                                                                     : \mathtt{hom}\_{oldsymbol{arphi}}
                         = (F.map (g \circ f)) \circ A.\alpha
                                                                    : by rw [h]
                         = (F.map (g \odot f)) \circ A.\alpha
                                                                      : rfl
                         = ((F.map g) \odot (F.map f)) \circ A.\alpha : by rw
functor.map_comp
                        = (F.map g) \circ (F.map f) \circ A.\alpha : by simp,
haveI inh_Q : inhabited Q := \langle f (default A) \rangle ,
haveI epi_f : epi f := (epi_iff_surjective f).2 sur,
haveI mono_Fg : mono (F.map g) := mono_preserving_functor g inj,
/-
     we get the existance and the uniqueness of d
     the diagonal of the square and the coalgebra structure
have em_square : _ := E_M_square
     f epi_f (\mathbb{B}.\alpha \circ g) ((F.map f) \circ \mathbb{A}.\alpha)
          (F.map g) mono_Fg commute,
cases em_square with d spec,
have homomorphism_f : d \odot f = (F.map f) \odot A.\alpha :=
     eq.symm spec.left.left,
have com_tri_epi := commutative_triangles_epi
     f (\mathbb{B}.\alpha \circ g) ((F.map f) \circ A.\alpha) (F.map g) commute d
       (eq.symm homomorphism_f),
have uni_f : \forall (\alpha_Q : Q \longrightarrow F.obj Q),
     @is_coalgebra_homomorphism F A \langle Q , \alpha_- Q \rangle f 
ightarrow
     \alpha_Q = d :=
     assume \alpha_{Q} hom_f,
     have com : \alpha_Q \odot f = F.map f \circ A.\alpha := hom_f,
     com_tri_epi.1 \alpha_Q (eq.symm com),
exact exists_unique.intro d
     homomorphism_f uni_f,
have A_Q: nonempty Q \rightarrow nonempty A :=
    \lambda n_Q, \( \text{some (sur (choice n_Q))} \),
\lambda n_Q, emp_A (A_Q n_Q),
```

```
have n_Q : \neg (nonempty Q) := em_Q, let \alpha_Q : Q \rightarrow F.obj Q := empty_map Q n_Q (F.obj Q), have hom_f : @is_coalgebra_homomorphism F \land \langleQ , \alpha_Q\rangle f := empty_hom_dom f emp_\landA, exact exists_unique.intro \alpha_Q hom_f (by tidy) end
```

```
theorem factorization_hom {Q : Type u}
     (\varphi : homomorphism A B)
     (f : A.carrier \longrightarrow Q) (g : Q \longrightarrow B.carrier)
     (h : \varphi.val = g \circ f)
     (sur : surjective f)
     (inj : injective g)
     [inhabited A] :
     let \alpha_{Q} := some (factorization \varphi f g h sur inj) in
          @is_coalgebra_homomorphism F \langle Q , \alpha_{Q} \rangle \mathbb{B} g :=
     begin
          intros \alpha_{-}Q,
          have hom_\varphi := \varphi.property,
          have commute : (\mathbb{B}.\alpha \circ g) \circ f = (F.map g) \circ ((F.map f) \circ \mathbb{A}.\alpha) :=
                calc \mathbb{B}.\alpha \circ \mathsf{g} \circ \mathsf{f}
                               = \mathbb{B}.\alpha \circ \varphi.val
                                                                               : by simp [h]
                           \dots = (F.map \varphi.val) \circ \mathbb{A}.\alpha
                                                                               : hom_{\phi}
                           ... = (F.map (g \circ f)) \circ A.\alpha
                                                                              : by rw [h]
                                                                               : rfl
                           ... = (F.map (g \odot f)) \circ A.\alpha
                           ... = ((F.map g) \odot (F.map f)) \circ A.\alpha : by rw
    functor.map_comp
                           ... = (F.map g) \circ (F.map f) \circ A.\alpha
                                                                             : by simp,
          haveI inh_Q : inhabited Q := \langle f \text{ (default } A) \rangle ,
          haveI epi_f : epi f := (epi_iff_surjective f).2 sur,
          haveI mono_Fg : mono (F.map g) := mono_preserving_functor g inj,
          have spec := some_spec (factorization \varphi f g h sur inj),
          have com_tri_epi : _ := commutative_triangles_epi
                f (\mathbb{B}.\alpha \circ g) ((F.map f) \circ \mathbb{A}.\alpha) (F.map g) commute \alpha_Q
                    (eq.symm spec.1),
          exact com_tri_epi.2
     end
```

```
lemma structure_existence (arphi : homomorphism \mathbb A \mathbb B)
```

```
[inhabited A]
      : \exists \alpha : (range \varphi) \rightarrow F.obj (range \varphi),
           let \mathbb R : Coalgebra \mathsf F :=\langle \mathsf{range} \ \varphi \ , \ \alpha \rangle in
           {\tt @is\_coalgebra\_homomorphism} \ {\tt F} \ {\tt A} \ {\tt \mathbb{R}}
                        (range_factorization \varphi) \wedge
           @is_coalgebra_homomorphism F \mathbb{R} \mathbb{B}
                       ((range \varphi) \hookrightarrow \mathbb{B}) :=
     begin
           haveI inh : inhabited (range \varphi) :=
                 inhabited.mk
                 \langle \varphi \text{ (default A), mem\_range\_self (default A)} \rangle,
           have ex : _ := Factorization
                                   (range_factorization \varphi)
                                   ((range \varphi) \hookrightarrow \mathbb{B})
                                   (decompose \varphi)
                                   ((epi_iff_surjective (range_factorization \varphi)).2
                                         surjective_onto_range)
                                   (inj_inclusion \mathbb{B} (range \varphi)),
           cases ex with \alpha hom,
           exact exists.intro \alpha hom.left
     end
def homomorphic_image_of_range
      (\varphi: \mathtt{homomorphism} \ \mathbb{A} \ \mathbb{B}) [inhabited \mathbb{A}]
            : \exists \alpha : (range \varphi) \to F.obj (range \varphi),
           homomorphic_image \mathbb{A} \langle \text{range } \varphi , \alpha \rangle :=
           begin
                 have ex : _ := structure_existence \varphi,
                 cases ex with \alpha hom,
                 let coalg : Coalgebra F:= \langle \text{range } \varphi , \alpha \rangle,
                 have h : homomorphic_image A coalg :=
                       have x : true := trivial,
                       exists.intro
                       \langle range\_factorization \varphi , hom.left \rangle
                       surjective_onto_range,
                 exact exists.intro \alpha h
           end
noncomputable lemma range_is_subCoalgebra (\varphi : homomorphism A \mathbb B)
      [inhabited A]
      : SubCoalgebra (range \varphi) :=
           have ex : \_ := structure_existence \varphi,
           let \alpha: (range \varphi) \rightarrow F.obj (range \varphi) := some ex in
```

```
\langle \alpha , (some_spec ex).right\rangle
```

# A.2.6. Coalgebra-Coequalizer

```
theorem coequalizer_is_homomorphism :
      let \mathbb{B}_{-}\Theta := theta \varphi \psi in
      let \pi_-\Theta : \mathbb{B}.carrier \longrightarrow \mathbb{B}_-\Theta := coequalizer \varphi \psi in
      \exists ! \ \alpha : \mathbb{B}_{-}\Theta \rightarrow (F.obj \mathbb{B}_{-}\Theta),
      @is_coalgebra_homomorphism F \mathbb{B} \langle \mathbb{B}\_\Theta , \alpha \rangle \pi\_\Theta
      :=
      begin
             intros \mathbb{B}_{-}\Theta \pi_{-}\Theta,
             have hom_f : \mathbb{B}.\alpha \circ \varphi = (F.map \varphi) \circ \mathbb{A}.\alpha := \varphi.property,
             have hom_g : \mathbb{B} \cdot \alpha \circ \psi = (F \cdot \text{map } \psi) \circ \mathbb{A} \cdot \alpha := \psi \cdot \text{property},
             let \varphi_1 : A.carrier \longrightarrow B.carrier := \varphi.val,
             let \psi_1: A.carrier \longrightarrow B.carrier := \psi.val,
             have h : is_coequalizer \varphi_1 \ \psi_1 \ \pi_-\Theta := quot_is_coequalizer \varphi \ \psi,
             have h2 : (F.map \pi_{-}\Theta) \circ \mathbb{B}. \alpha \circ \varphi = (F.map \pi_{-}\Theta) \circ \mathbb{B}. \alpha \circ \psi :=
                     calc (F.map \pi_{-}\Theta) \circ (B.\alpha \circ \varphi)
                                    = (F.map \pi_{\Theta}) \circ (F.map \varphi) \circ A.\alpha
                                                                                                             : by rw
                                                                                          hom_f
                              ... = ((F.map \pi_{\Theta}) \odot (F.map \varphi)) \circ A.\alpha
                                                                                                             : rfl
                              \dots = (F.map (\pi_{\Theta} \odot \varphi)) \circ A.\alpha
                                                                                                              : by rw
                                                                          functor.map_comp
                              ... = (F.map (\pi_{\Theta} \otimes \psi)) \circ A.\alpha
                                                                                                             : by tidy
                              ... = ((F.map \pi_{\Theta}) \odot (F.map \psi)) \circ A.\alpha
                                                                                                             : by rw
                                                                     ← functor.map_comp
                              ... = (F.map \pi_{\Theta}) \circ ((F.map \psi) \circ A.\alpha)
                                                                                                            : rfl
                              ... = (F.map \pi_{\Theta}) \circ (B.\alpha \circ \psi)
                                                                                                              : by rw
                                                                                      \leftarrow hom_g,
             have h3 : _ := h.2 (F.obj \mathbb{B}_{-}\Theta) ((F.map \pi_{-}\Theta) \circ \mathbb{B}.\alpha) h2,
             let \alpha : \mathbb{B}_{-}\Theta \to \text{F.obj } \mathbb{B}_{-}\Theta := some h3,
             use \alpha,
             exact some_spec h3
      end
```

```
theorem set_coequalizer_is_coalgebra_coequalizer :
      let \mathbb{B}_{-}\Theta := theta \varphi \psi in
      let \pi_{-}\Theta : \mathbb{B}.carrier \longrightarrow \mathbb{B}_{-}\Theta := coequalizer \varphi \psi in
      let \alpha : \mathbb{B}_{-}\Theta \to \text{F.obj } (\mathbb{B}_{-}\Theta) :=
              some (coequalizer_is_homomorphism \varphi \psi) in
      let h_{\pi} := (some\_spec (coequalizer\_is\_homomorphism \varphi \psi)).1 in
      let co_{\mathbb{B}}\Theta : Coalgebra F := \langle \mathbb{B}_{\Theta}, \alpha \rangle in
      let \pi_1 : \mathbb{B} \longrightarrow \text{co}_\mathbb{B}_\Theta := \langle \pi_\Theta, h_\pi \rangle in
      is_coequalizer
             \varphi \ \psi \ \pi_1 :=
      begin
             intros \mathbb{B}_{-}\Theta \pi_{-}\Theta \alpha h_{-}\pi \text{co}_{-}\mathbb{B}_{-}\Theta \pi_{1},
            let \varphi_1: A.carrier \longrightarrow B.carrier := \varphi.val,
            let \psi_1: A.carrier \longrightarrow B.carrier := \psi.val,
            split,
            have h : is_coequalizer \varphi_1 \ \psi_1 \ \pi_-\Theta := quot_is_coequalizer \varphi \ \psi,
            have h1 : _ := h.1,
            exact eq_in_set.1 h1,
            intros Q q h,
            have h_1: q.val \odot \varphi_1 = q.val \odot \psi_1:= eq_in_set.2 h,
            have com : \exists ! \ \chi : homomorphism \langle \mathbb{B}_{-}\Theta \ , \ \alpha \rangle \ \mathbb{Q} ,
                                         \chi \circ \pi_-\Theta = q.val :=
                   begin
                          have sub_ker : sub_kern \pi_{\Theta} q :=
                                   coequalizer_kern \varphi \psi \mathbb Q q h_1,
                          have hom_{\pi} : \underline{\ } :=
                              (some_spec (coequalizer_is_homomorphism \varphi \psi)).1,
                          have diag : _ := coalgebra_diagram
                                               (\langle \pi \_\Theta \text{, hom}\_\pi \rangle \colon \operatorname{homomorphism} \ \mathbb{B} \ \langle \mathbb{B}\_\Theta \text{ , } \alpha \rangle)
                                              q (quot_is_surjective \varphi \psi),
                          exact diag.2 sub_ker
                   end.
            let \chi : co_\mathbb{B}_{-}\Theta \longrightarrow \mathbb{Q} := some com,
             use \gamma,
             have spec : \chi \circ \pi_{-}\Theta = q := (some\_spec com).1,
            have h1 : (\chi \odot \pi_1) = q := eq_in_set.1 spec,
             split,
             exact h1,
             intros \chi_1 coeq,
```

### A.2.7. Coalgebra-Sum

```
lemma inl_is_homomorphism :
    @is_coalgebra_homomorphism F A (sum_of_coalgebras A B) inl
    := by {dsimp at *, refl}

lemma inr_is_homomorphism :
    @is_coalgebra_homomorphism F B (sum_of_coalgebras A B) inr
    := by tidy
```

```
theorem set_sum_is_coalgebra_sum :
     let e_1 : A \rightarrow (A \oplus B) := inl in
     let e_2 : \mathbb{B} \to (\mathbb{A} \oplus \mathbb{B}) := inr in
     let h_e_2 : \mathbb{B} \longrightarrow (\mathbb{A} \boxplus \mathbb{B}) := \langle e_2, inr_is\_homomorphism \mathbb{A} \mathbb{B} \rangle in
                  is\_sum (A \boxplus B) h\_e_1 h\_e_2
     begin
            intros e_1 e_2 h_-e_1 h_-e_2 \mathbb{Q} \varphi_1 \varphi_2,
            \texttt{let}\ \sigma\ :\ \mathbb{A}\ \oplus\ \mathbb{B}\ \longrightarrow\ \mathbb{Q}\ :=
                  some (disjoint_union_is_sum \mathbb{Q} \ \varphi_1 \ \varphi_2),
            let \gamma := \mathbb{Q} \cdot \alpha,
            let \alpha := (A \oplus B) \cdot \alpha,
            let hom_inl :
                  \alpha \circ \text{inl} = (F.\text{map inl}) \circ A.\alpha :=
                         inl_is_homomorphism A B,
            let hom_inr :
                  \alpha \circ \text{inr} = (F.\text{map inr}) \circ \mathbb{B}.\alpha
                         := inr_is_homomorphism A B,
```

```
let hom_{-}\varphi_{1} : \gamma \circ \varphi_{1}.val = (F.map \varphi_{1}.val) \circ A.\alpha := \varphi_{1}.property,
let hom_{-}\varphi_{2}: \gamma \circ \varphi_{2}.val = (F.map \varphi_{2}.val) \circ \mathbb{B}.\alpha := \varphi_{2}.property,
have h0 : _ :=
      some_spec (disjoint_union_is_sum \mathbb{Q} \varphi_1 \varphi_2),
have h1 : \varphi_1.val = \sigma \circ inl \wedge \varphi_2.val = \sigma \circ inr:=
      and.left h0,
have h2 : \gamma \circ \sigma \circ \text{inl} = (F.map \ \sigma) \circ \alpha \circ \text{inl} :=
        \gamma \circ (\sigma \circ \text{inl}) = \gamma \circ \varphi_1.\text{val}
                                                                                   : by rw
                                                                 h1.1
                           = (F.map \varphi_1.val) \circ A.\alpha
                                                                                   : by rw
                                                                 hom_{-}\varphi_{1}
                           = (F.map (\sigma \circ inl)) \circ A.\alpha
                                                                                   : by rw
                                                                 h1.1
                           = (F.map (\sigma \odot inl)) \circ A.\alpha
                                                                                   : by simp
                     = ((F.map \sigma) \odot (F.map inl)) \circ A.\alpha
                                                                                  : by rw
                                                    ← functor.map_comp
                            = (F.map \sigma) \circ (F.map inl) \circ A.\alpha : by simp
                           = (F.map \sigma) \circ \alpha \circ inl
                                                                                    : by rw
                                                             [hom_inl],
have h3 : \gamma \circ \sigma \circ inr = (F.map \ \sigma) \circ \alpha \circ inr :=
      calc \gamma \circ (\sigma \circ inr) = \gamma \circ \varphi_2.val
                                                                          : by rw h1.2
                     ... = (F.map \varphi_2.val) \circ \mathbb{B}.\alpha
                                                                         : by rw hom_\varphi_2
                     ... = (F.map (\sigma \circ inr)) \circ \mathbb{B}.\alpha : by rw h1.2
                     \dots = (F.map (\sigma \odot inr)) \circ \mathbb{B}.\alpha
                                                                           : rfl
                     \dots = ((F.map \ \sigma) \odot (F.map \ inr)) \circ \mathbb{B}.\alpha : by rw
                                                         ← functor.map_comp
                     \dots = (F.map \sigma) \circ (F.map inr) \circ \mathbb{B}.\alpha : by simp
                                   = (F.map \sigma) \circ \alpha \circ inr
                                                                            : by rw
                                                       hom_inr,
have h4 : \forall ab : \mathbb{A} \oplus \mathbb{B}, (\gamma \circ \sigma) ab = ((F.map \sigma) \circ \alpha) ab :=
                          begin
                                 intro ab,
                                induction ab,
                                case inl :
                                    {
                                              show (\gamma \circ \sigma \circ inl) ab =
                                                    ((F.map \sigma) \circ \alpha \circ inl) ab,
                                             by rw [h2]
                                       },
```

```
case inr :
                                       show (\gamma \circ \sigma \circ inr) ab =
                                             ((F.map \sigma) \circ \alpha \circ inr) ab,
                                       by rw [h3]
                                 },
                      end,
have h5 : @is_coalgebra_homomorphism F
           (A \boxplus B) Q \sigma
              := funext h4,
let ex_uni :
      \exists ! s , \varphi_1.val = s \circ inl \wedge \varphi_2.val = s \circ inr :=
     \texttt{disjoint\_union\_is\_sum} \ \mathbb{Q} \ \phi_1 \ \phi_2,
let ex :
      ∃ s ,
           (\varphi_1.\text{val} = \text{s} \circ \text{inl} \wedge \varphi_2.\text{val} = \text{s} \circ \text{inr})
           ∧ @is_coalgebra_homomorphism F
                 (A \boxplus B) Q s :=
                      exists.intro \sigma
                       (and.intro h1 h5),
let s := some ex,
have spec_s : (\varphi_1.val = s \circ inl \land \varphi_2.val = s \circ inr) \land
                 @is\_coalgebra\_homomorphism F (A \boxplus B) \mathbb{Q} s
      := some_spec ex,
use s,
exact spec_s.2,
split,
exact \( \( \text{eq_in_set.1 spec_s.1.1,} \)
     eq_in_set.1 spec_s.1.2>,
let s_1 := some ex\_uni,
have spec_s1 : _ := some_spec ex_uni,
have s_{1}s : s_{1} = s := eq.symm (spec_s_{1}.2 s spec_s.1),
intros s_2 spec_s_2,
have s_2 - s_1 : s_2 \cdot val = s_1 := spec_s_1 \cdot 2 s_2
     ⟨eq_in_set.2 spec_s2.1,
     eq_in_set.2 spec_s<sub>2</sub>.2\rangle,
have s_2s : s_2.val = s := by simp [s_1s, s_2s<sub>1</sub>],
```

```
\begin{array}{c} \texttt{exact eq\_in\_set.1 s}_{2} \texttt{s} \\ \\ \texttt{end} \end{array}
```

```
noncomputable theorem subcoalgebra_union_is_coalgebra
      \{U_1 \ U_2 : set \ A\}
      (S<sub>1</sub> : SubCoalgebra U<sub>1</sub>)
      (S<sub>2</sub> : SubCoalgebra U<sub>2</sub>)
      : SubCoalgebra (U_1 \cup U_2) :=
      begin
            let S : Coalgebra F := \langle U_1 , S_1.\alpha \rangle \boxplus \langle U_2 , S_2.\alpha \rangle,
            have ex :=
              set_sum_is_coalgebra_sum \langle \mathtt{U}_1 , \mathtt{S}_1.\alpha\rangle \langle \mathtt{U}_2 , \mathtt{S}_2.\alpha\rangle \mathbb{A}
                                \langle (U_1 \hookrightarrow A), S_1.h \rangle \langle (U_2 \hookrightarrow A), S_2.h \rangle
            let \varphi : S \longrightarrow A := some ex,
            have spec : (((U_1 \hookrightarrow A) = \varphi \circ inl \land (U_2 \hookrightarrow A) = \varphi \circ inr))
                   := \ eq_in_set.2 (some_spec ex).1.1,
                           eq_in_set.2 (some_spec ex).1.2 > ,
            have all : \forall a : \mathbb{A} , a \in (range \varphi) \leftrightarrow a \in (U_1 \cup U_2) :=
                   \lambda a,
                   iff.intro
                   begin
                         assume ar : a \in range \varphi,
                         cases (mem_range.1 ar) with s \phis_a,
                         induction s,
                         case inl :
                               begin
                                      have h01 : (\varphi \circ \text{inl}) \text{ s} \in U_1 :=
                                            spec.1 \triangleright s.property,
                                      have h02 : a \in U_1 := \varphi s_a > h01,
                                      by simp [h02],
                               end.
                         case inr :
                               begin
                                      have h01 : (\varphi \circ inr) s \in U_2 :=
                                            spec.2 > s.property,
                                      have h02 : a \in U<sub>2</sub> := (\varphis_a \triangleright h01),
                                      by simp [h02],
                               end,
                   end
```

```
begin assume auu : a \in U_1 \lor a \in U_2, apply or.elim auu, assume au1: a \in U_1, have h01 : a = (\varphi \circ in1) \langle a , au1 \rangle := spec.1 \rhd rfl, exact exists.intro (inl \langle a , au1 \rangle) (eq.symm (h01)), assume au2: a \in U_2, have h01 : a = (\varphi \circ inr) \langle a , au2 \rangle := spec.2 \rhd rfl, exact exists.intro (inr \langle a , au2 \rangle) (eq.symm h01) end, rw \leftarrow (eq\_sets.1 \ all), exact range_is_subCoalgebra \varphi, end
```

```
lemma empty_openset {S: set A} (emp : \neg nonempty S): openset S := begin let \alpha : S \rightarrow F.obj S := empty_map S emp (F.obj S), use \alpha, exact empty_hom_dom (inclusion S) emp end
```

```
theorem subcoalgebra_intersection_is_coalgebra
    \{U\ V\ :\ \mathsf{set}\ \mathbb{A}\}
    (S<sub>1</sub> : SubCoalgebra U)
     (S<sub>2</sub> : SubCoalgebra V)
     [\forall x : A, decidable (x \in U \cap V)]
     [\forall x : A , decidable (x \in V)]
     : openset (U \cap V) :=
    begin
          let I : set A := U \cap V,
         cases classical.em (nonempty (I)) with n_emp emp,
         let w : I := choice n_emp ,
          let incV : I \rightarrow V := set.inclusion (by simp),
          let incU : I \rightarrow U := set.inclusion (by simp),
         let p_w : U \rightarrow U \cap V := \lambda u, if uUV : u.val \in U \cap V
                         then (u.val , uUV)
                         else \langle w.val , w.property \rangle,
```

```
let q_w : A \rightarrow V := \lambda a, if aV : a \in V
              then \langle a, aV \rangle
              else \langle w.val , and.right w.property \rangle,
have h1 : \forall u:U,
     (incV \circ p_w) u = (q_w \circ (U \hookrightarrow A)) u :=
     assume u,
     @by_cases (u.val ∈ I)
            ((incV \circ p_w) u = (q_w \circ (U \hookrightarrow A)) u)
          begin
              intro uI,
              have pwu_u : p_w u = \langle u.val, uI \rangle :=
                   by { simp [p_w , rfl, uI] },
              have qwu_u: (q_w) u.val =
                                  (\langle u.val, uI.2 \rangle : V) :=
                   by { simp [q_w, rfl, uI.2] },
              calc (incV ∘ p_w) u
                             = incV ⟨u.val , uI⟩ : by rw ←pwu_u
                        \dots = (\langle u.val, uI.2 \rangle : V) : rfl
                        \dots = q_w u.val
                                               : by rw ←qwu_u
         end
         begin
              intro uNI,
              have pwu_w : p_w u = w :=
               by { simp [p_w , uNI, rfl] },
              have qwu_u: q_w u.val =
                                  (\langle w.val, (w.property).2 \rangle : V)
                := begin
                        simp[q_w],
                        split_ifs,
                        exact absurd (and.intro u.property h) uNI,
                        exact rfl
                   end,
              calc incV (p_w u)
                                                                  : by rw
                                                        pwu_w
                        \dots = (\langle w.val, w.property.2 \rangle : V) : rfl
                        \dots = q_w u.val
                                                                : by rw
                                                        ←qwu_u
         end
have h11 : incV \circ p_w = q_w \circ (U \hookrightarrow A) :=
     funext h1,
```

```
have h22 : q_w \circ (V \hookrightarrow A) = id :=
          funext (by {dsimp at *, simp [q_w]}),
   let \gamma := (F.map p_w) \circ S_1.\alpha \circ incU,
   have hom_eq: (F.map (I \hookrightarrow A)) \circ \gamma = A.\alpha \circ (I \hookrightarrow A) :=
calc
(\texttt{F.map } ((\texttt{V} \hookrightarrow \texttt{A}) \ \odot \ \texttt{incV})) \ \circ \ (\texttt{F.map } \texttt{p\_w}) \ \circ \ \texttt{S}_1.\alpha \ \circ \ \texttt{incU}
    = ((F.map (V \hookrightarrow A)) \odot (F.map incV)) \circ (F.map p_w) \circ S_1.\alpha
                                                                   \circ incU
          : by rw functor.map_comp
... = (F.map (V \hookrightarrow A)) \circ ((F.map incV) \odot (F.map p_w)) \circ S<sub>1</sub>.\alpha
                                                                   o incU
          : rfl
... = (F.map (V \hookrightarrow A)) \circ (F.map (incV \odot p_w)) \circ S<sub>1</sub>. \alpha \circ incU
          : by rw ←functor.map_comp
\ldots = (F.map (V \hookrightarrow A)) \circ (F.map (incV \circ p_w)) \circ S_1.\alpha \circ incU
          : rfl
... = (F.map (V \hookrightarrow A)) \circ (F.map (q_w \circ (U \hookrightarrow A))) \circ S_1.\alpha \circ incU
          : by rw \leftarrowh11
... = (F.map (V \hookrightarrow A)) \circ (F.map (q_W \odot (U \hookrightarrow A))) \circ S_1.\alpha \circ incU
... = (F.map (V \hookrightarrow A)) \circ ((F.map q_w) \odot ((F.map (U \hookrightarrow A))))
                                                              \circ S<sub>1</sub>.\alpha \circ incU
          : by rw functor.map_comp
\dots = (F.map (V \hookrightarrow A)) \circ (F.map q_w) \circ ((F.map (U \hookrightarrow A)) \circ S_1.\alpha)
                                                              o incU
          : rfl
\dots = (F.map (V \hookrightarrow A)) \circ (F.map q_w) \circ A.\alpha \circ (U \hookrightarrow A) \circ incU
          : by rw eq.symm S_1.h
... = (F.map (V \hookrightarrow A)) \circ (F.map q_w) \circ (A.\alpha \circ (V \hookrightarrow A)) \circ incV
\dots \ = \ (\texttt{F.map} \ (\texttt{V} \ \hookrightarrow \ \texttt{A})) \ \circ \ (\texttt{F.map} \ q_{\texttt{w}}) \ \circ \ (\texttt{F.map} \ (\texttt{V} \ \hookrightarrow \ \texttt{A})) \ \circ \ \texttt{S}_2 \, . \, \alpha
                                                              \circ inc V
          : by rw \leftarrow (eq.symm S<sub>2</sub>.h)
... = (F.map (V \hookrightarrow A)) \circ ((F.map q_w) \odot (F.map (V \hookrightarrow A))) \circ S_2.\alpha
                                                              \circ inc V
          : rfl
... = (F.map (V \hookrightarrow A)) \circ (F.map (q_W \odot (V \hookrightarrow A))) \circ S_2.\alpha \circ incV
          : by rw ←functor.map_comp
\ldots = (F.map (V \hookrightarrow A)) \circ (F.map (q_W \circ (V \hookrightarrow A))) \circ S_2.\alpha \circ incV
... = (F.map (V \hookrightarrow A)) \circ (F.map id) \circ S_2.\alpha \circ incV : by rw h22
... = (F.map (V \hookrightarrow A)) \circ (F.map (1 V)) \circ S_2.\alpha \circ incV
```

#### A.2.8. Coalgebra-Pushout

```
theorem pushout_is_coalgebra :
      let S := \mathbb{B}_1 \boxplus \mathbb{B}_2 in
      let \mathbb{B}_{-}\Theta := Otheta A S (inl \circ \varphi) (inr \circ \psi) in
      let \pi_{-}\Theta := Occequalizer A S (inl \circ \varphi) (inr \circ \psi) in
      \exists ! \ \alpha : \mathbb{B}_{-}\Theta \to \mathsf{F.obj} \ \mathbb{B}_{-}\Theta,
      let P : Coalgebra F := \langle \mathbb{B}_{-}\Theta, \alpha \rangle in
      @is_coalgebra_homomorphism F \mathbb{B}_1 P (\pi_-\Theta \circ \text{inl}) \wedge
      @is_coalgebra_homomorphism F \mathbb{B}_2 P (\pi_-\Theta \circ \text{inr}) :=
      begin
            assume S \mathbb{B}_{-}\Theta \pi_{-}\Theta,
            have pol : \pi_-\Theta \circ \text{inl} \circ \varphi = \pi_-\Theta \circ \text{inr} \circ \psi
                              := (coequalizer_sum_is_pushout \varphi \psi).1,
            let p_1 : A \rightarrow S := (inl \circ \varphi),
            let p_2 : A \rightarrow S := (inr \circ \psi),
            have hom_p_1 : is_coalgebra_homomorphism p_1 :=
                   @comp_is_hom F A B_1 S \varphi \langle inl, inl_is_homomorphism B_1 B_2 \rangle,
            have hom_p_2 : is_coalgebra_homomorphism p_2 :=
                   @comp_is_hom F A B_2 S \psi (inr, inr_is_homomorphism B_1 B_2),
            have co : _ := coequalizer_is_homomorphism
                                      \langle p_1, hom_p_1 \rangle \langle p_2, hom_p_2 \rangle,
            let \alpha := some co,
            have hom_\pi : @is_coalgebra_homomorphism F S \langle \mathbb{B}\_\Theta , \alpha \rangle \pi\_\Theta
```

```
:= (some_spec co).1,
       let P : Coalgebra F := \langle \mathbb{B}_{-}\Theta, \alpha \rangle,
       have hom_\pi = inl : \alpha \circ (\pi_\Theta \circ inl) = (F.map (\pi_\Theta \circ inl)) \circ \mathbb{B}_1.\alpha
                               := @comp_is_hom F \mathbb{B}_1 S P
                                      \langle \text{inl}, \text{inl}_{-} \text{is}_{-} \text{homomorphism } \mathbb{B}_1 \mathbb{B}_2 \rangle
                                      \langle \pi_- \Theta, \text{hom}_- \pi \rangle,
       have hom_\pi_inr : \alpha \circ (\pi \Theta \circ inr) = (F.map (\pi \Theta \circ inr)) \circ \mathbb{B}_2.\alpha
                               := @comp_is_hom F \mathbb{B}_2 S P
                                      \langle inr, inr_is_homomorphism \mathbb{B}_1 \mathbb{B}_2 \rangle
                                      \langle \pi_- \Theta, \text{hom}_- \pi \rangle,
       use \alpha,
       split,
       exact \langle hom_{\pi_i} nl , hom_{\pi_i} nr \rangle,
       intros \alpha_1 hom,
       have hom1 : \alpha_1 \circ (\pi_-\Theta \circ in1) = (F.map (\pi_-\Theta \circ in1)) \circ \mathbb{B}_1.\alpha
                     := hom.1,
       have hom2 : \alpha_1 \circ (\pi_-\Theta \circ inr) = (F.map (\pi_-\Theta \circ inr)) \circ \mathbb{B}_2.\alpha
                     := hom.2,
       have \alpha_{-}\alpha_{1} : \alpha_{1} \circ \pi_{-}\Theta \circ \text{inl} = \alpha \circ \pi_{-}\Theta \circ \text{inl} :=
                      by simp [hom_\pi_inl, hom1],
       have \alpha_{-}\alpha_{1}r : \alpha_{1} \circ \pi_{-}\Theta \circ \text{inr} = \alpha \circ \pi_{-}\Theta \circ \text{inr} :=
                      by simp [hom_\pi_inr, hom2],
       have \alpha_{-}\alpha_{1}_{-}\pi : \alpha_{1} \circ \pi_{-}\Theta = \alpha \circ \pi_{-}\Theta :=
               jointly_epi (\alpha \circ \pi_-\Theta) (\alpha_1 \circ \pi_-\Theta) \alpha_-\alpha_1_1 \alpha_-\alpha_1_r,
       let mor_\pi : (\mathbb{B}_1 \oplus \mathbb{B}_2) \longrightarrow \mathbb{B}_-\Theta := \pi_-\Theta,
       haveI ep : epi mor_\pi :=
               (epi_iff_surjective mor_\pi).2
               (quot_is_surjective (inl \circ \varphi) (inr \circ \psi)),
       exact right_cancel mor_\pi \alpha_{-}\alpha_{1}_\pi,
end
```

### A.2.9. Coalgebra-Equalizer

```
theorem largest_subcoalgebra_equalizer
     {C : set (equalizer_set \varphi \psi)}
     (lar : is_largest_coalgebra C):
     let E := equalizer_set \varphi \psi in
     let e : E \rightarrow A := (E \hookrightarrow A) in
     let \mathbb{C} : Coalgebra F := \langle \mathbb{C} , \text{ some lar.1} \rangle in
     let \sigma:\mathbb{C}\longrightarrow\mathbb{A}:=\langle(\mathsf{e}\circ(\mathbb{C}\hookrightarrow\mathsf{E})) , some_spec lar.1\rangle in
     is_equalizer \varphi \ \psi \ \sigma :=
     begin
           intros E e \mathbb C \sigma,
           split,
           exact eq_in_set.1
                  (funext (\lambda c, ((C \hookrightarrow E) c).property)),
           intros Q q \varphi q_{-} \psi q,
           have is_eq := (eqaulizer_set_is_equalizer \varphi \psi).2 q
                              (eq_in_set.2 \varphiq_\psiq),
           let f : Q \rightarrow E := some is_eq,
           have eq_f := some_spec is_eq,
           have fact : q.val = e \circ f := eq_f.1,
           let f_1 : Q \rightarrow (range f) := range_factorization f,
           let e_1: range f \rightarrow A := e \circ (range f \hookrightarrow E),
           have inj_e_1 : injective e_1 :=
                 begin
                        intros a_1 a_2 k,
                        have inj_e : \forall r<sub>1</sub> r<sub>2</sub>, e r<sub>1</sub> = e r<sub>2</sub> \rightarrow r<sub>1</sub> = r<sub>2</sub> :=
                              inj_inclusion A E,
                        have ra : (range f \hookrightarrow E) a_1 = (range f \hookrightarrow E) a_2 :=
                              inj_e a_1 a_2 k,
                        have inj_r : \forall q_1 q_2,
                              (range f \hookrightarrow E) q_1 = (range f \hookrightarrow E) q_2 \rightarrow q_1 = q_2 :=
                                    inj_inclusion E (range f),
                        exact (inj_r) a<sub>1</sub> a<sub>2</sub> ra,
                 end,
```

```
have inj_{\sigma}: injective \sigma:=
      begin
            intros a_1 a_2 k,
            have inj_e : \forall r<sub>1</sub> r<sub>2</sub>, e r<sub>1</sub> = e r<sub>2</sub> \rightarrow r<sub>1</sub> = r<sub>2</sub> :=
                   inj_inclusion A E,
            have ra : (C \hookrightarrow E) a_1 = (C \hookrightarrow E) a_2 :=
                   inj_e a<sub>1</sub> a<sub>2</sub> k,
            have inj_r :
               \forall q<sub>1</sub> q<sub>2</sub>, (C \hookrightarrow E) q<sub>1</sub> = (C \hookrightarrow E) q<sub>2</sub> \rightarrow q<sub>1</sub> = q<sub>2</sub> :=
                   inj_inclusion E C,
            exact inj_r a<sub>1</sub> a<sub>2</sub> ra,
      end,
have ex := Factorization q f_1 e_1 fact
       ((epi_iff_surjective f<sub>1</sub>).2 surjective_onto_range) inj_e<sub>1</sub>,
let \alpha_{\mathbb{R}}: range f \to F.obj (range f) := some ex,
have Rf_C : range f \subseteq C :=
      lar.2 (range f) (exists.intro \alpha_{\mathbb{R}} (some_spec ex).1.2),
let fc : Q.carrier \rightarrow C.carrier
       := \lambda \ q_1 , \langle f \ q_1, Rf_C \ (f_1 \ q_1).property \rangle,
have f_fc : \forall q<sub>1</sub>, f q<sub>1</sub> = fc q<sub>1</sub> := \lambda q<sub>1</sub>, rfl,
have q_fc_\sigma_el : \forall q_1 , q.val q_1 = (\sigma \circ fc) q_1 :=
      \lambda q<sub>1</sub>,
      have s0 : (\sigma \circ fc) q_1 = (e \circ f) q_1 := rfl,
      by rw [fact, s0],
have q_fc_\sigma : q.val = \sigma \circ fc := funext q_fc_\sigma_el,
have hom_\sigma_fc : @is_coalgebra_homomorphism F Q A (\sigma \circ fc) :=
      q_fc_\sigma > q.property,
have hom_fc : @is_coalgebra_homomorphism F Q \mathbb C fc :=
      inj_to_hom fc \sigma hom_\sigma_fc \sigma.property inj_\sigma,
let h_fc : Q \longrightarrow \mathbb{C} := \langle fc, hom_fc \rangle,
use h_fc,
have s1 : q.val = (\sigma \odot h_fc).val := q_fc_\sigma,
```

#### A.2.10. Bisimulation

```
theorem homomorphism_iff_bisimulation (f : \mathbb{A} \to \mathbb{B}):
     is\_coalgebra\_homomorphism f \leftrightarrow is\_bisimulation (map\_to\_graph f)
     let R : set (A \times B) := map_to_graph f in
     let \pi_1 : R \rightarrow A := graph_fst R in
     let \pi_2 : \mathbb{R} \to \mathbb{B} := graph_snd \mathbb{R} in
     begin
           have bij : bijective \pi_1 := bij_map_to_graph_fst f,
          let inv : \mathbb{A} \to \mathbb{R} := invrs \pi_1 bij,
          have elements : \forall a , (\pi_2 \circ \text{inv}) a = f a :=
                begin
                      intro a,
                      have inv_a : inv a = \langle \langle a, f a \rangle , by tidy\rangle :=
                           bij.1 (by simp [invrs_id \pi_1 bij] : (\pi_1 \circ \text{inv}) a = a),
                      have \pi_2r : \pi_2 \langle\langle a, f a \rangle , by tidy\rangle = f a := rfl,
                      simp[inv_a, \pi_2_r]
                end,
```

```
have f_{\pi_2} inv : (\pi_2 \circ \text{inv}) = f := \text{funext elements},
      split,
      intro hom,
      let \rho := (F.map inv) \circ \mathbb{A}.\alpha \circ \pi_1,
      use \rho,
      split,
      functor.map_id' F A)
              \dots = (F.map (\pi_1 \odot inv)) \circ A.\alpha \circ \pi_1 : by simp [
              ... = ((F.map \pi_1) \odot (F.map inv)) \circ \mathbb{A} \cdot \alpha \circ \pi_1 : by rw functor
.map_comp,
       calc \mathbb{B}.\alpha \circ (\pi_2) = ((\mathbb{B}.\alpha) \circ f) \circ \pi_1
                                                                                  : by tidy
                   ... = ((F.map f) \circ A.\alpha) \circ \pi_1 : by rw
                                                            ← (eq.symm hom)
                              = (F.map (\pi_2 \circ inv)) \circ A.\alpha \circ \pi_1 : by rw
                                                           \leftarrow f_\pi_2_inv
                              = (F.map (\pi_2 \otimes inv)) \circ A.\alpha \circ \pi_1 : rfl
                               = ((F.map \pi_2) \odot (F.map inv)) \circ \mathbb{A}.\alpha \circ \pi_1:
                                            by by rw functor.map_comp,
      intro bis,
       let \rho := some bis,
      let \mathbb{R}: Coalgebra F := \langle \mathbb{R}, \rho \rangle,
      have hom_{\pi} := some_{spec} bis,
      let h_{\pi_1}: \mathbb{R} \longrightarrow \mathbb{A} := \langle \pi_1, hom_{\pi_1} \rangle,
      have hom_\pi_2_inv : is_coalgebra_homomorphism (\pi_2 \circ \text{inv}) :=
             \texttt{@comp\_is\_hom} \ \mathsf{F} \ \mathbb{A} \ \mathbb{R} \ \mathbb{B}
             \label{eq:continuous} $$ \langle \text{inv, bij\_inverse\_of\_hom\_is\_hom h}_{\pi_1} \text{ bij} \rangle \ \langle \pi_2 \text{ , hom}_{\pi_1} . 2 \rangle, $$
      rw \leftarrow f_{\pi_2}inv,
       exact hom_\pi_2_inv
 end
```

```
theorem shape_of_bisimulation : (\Pi \ (P : Coalgebra \ F) \ (\varphi_1 : P \longrightarrow \mathbb{A}) \ (\varphi_2 : P \longrightarrow \mathbb{B}), let R : set (\mathbb{A} \times \mathbb{B}) := \lambda \ ab : \mathbb{A} \times \mathbb{B} \ , \ \exists \ p \ , \varphi_1 \ p = ab.1 \ \land \ \varphi_2 \ p = ab.2 \ in
```

```
is_bisimulation R)
:=
begin
       intros P \varphi_1 \varphi_2 R,
       let \varphi : P.carrier \rightarrow R := \lambda p,
              let \varphi_p : \mathbb{A} \times \mathbb{B} := \langle \varphi_1 | \mathsf{p}, \varphi_2 | \mathsf{p} \rangle in
              have \varphi_pR : \varphi_p \in R := exists.intro p
                     \langle (by simp : \varphi_1 p = \varphi_p.1), (by simp : \varphi_2 p = \varphi_p.2) \rangle
              \langle \varphi_{p}, \varphi_{p}R \rangle,
       have sur : surjective \varphi := \lambda r, by tidy,
       let \mu : R \rightarrow P := surj_inv sur,
       let \rho : R \rightarrow F.obj R := (F.map \varphi) \circ P.\alpha \circ \mu,
       use \rho,
       let \pi_1 := graph_fst R,
       let \pi_2 := graph_snd R,
       let \hom_{-}\varphi_{1} : \mathbb{A}.\alpha \circ \varphi_{1} = (F.map \varphi_{1}) \circ P.\alpha := \varphi_{1}.property,
       let \hom_{\varphi_2} : \mathbb{B}.\alpha \circ \varphi_2 = (\text{F.map } \varphi_2) \circ \text{P.}\alpha := \varphi_2.\text{property},
       have inv_id : \varphi \circ \mu = @id R := funext (surj_inv_eq sur),
       have hom_\pi_1: (F.map \pi_1) \circ \rho = \mathbb{A}.\alpha \circ \pi_1 :=
              calc (F.map \pi_1) \circ \rho = ((F.map <math>\pi_1) \odot (F.map \varphi)) \circ P.\alpha \circ \mu:
rfl
                             \dots = (F.map (\pi_1 \odot \varphi)) \circ P.\alpha \circ \mu : by rw
                                                              functor.map_comp
                             \dots = ((F.map \varphi_1) \circ P.\alpha) \circ \mu : rfl
                             \ldots = \mathbb{A} \cdot \alpha \circ \varphi_1 \circ \mu
                                                                                  : by rw \leftarrowhom_\varphi_1
                             \ldots = \mathbb{A} \cdot \alpha \circ \pi_1 \circ \varphi \circ \mu
                                                                                        : rfl
                                                                     : by rw inv_id,
                             \ldots = \mathbb{A} \cdot \alpha \circ \pi_1 \circ id
       have hom_{\pi_2}: (F.map \pi_2) \circ \rho = \mathbb{B}.\alpha \circ \pi_2 :=
              calc (F.map \pi_2) \circ \rho = ((F.map <math>\pi_2) \odot (F.map \varphi)) \circ P.\alpha \circ \mu
: rfl
                             \dots = (F.map (\pi_2 \odot \varphi)) \circ P.\alpha \circ \mu : by rw
                                                                   functor.map_comp
                             \dots = ((F.map \varphi_2) \circ P.\alpha) \circ \mu : rfl
                             \dots = \mathbb{B} \cdot \alpha \circ \varphi_2 \circ \mu \qquad \qquad : \text{by rw} \leftarrow \text{hom}_{-}\varphi_2
                             \ldots = \mathbb{B} \cdot \alpha \circ \pi_2 \circ \varphi \circ \mu
                                                                                              : rfl
                             \dots = \mathbb{B} \cdot \alpha \circ \pi_2 \circ \mathsf{id}
                                                                                 : by rw inv_id,
       exact \langle (eq.symm hom_{\pi_1}), (eq.symm hom_{\pi_2}) \rangle
end
```

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