# Text Mining

Summer term 2024

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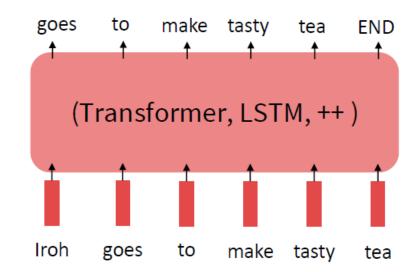


## Pretraining/Finetuning Paradigm

Pretraining can improve NLP applications by serving as parameter initialization.

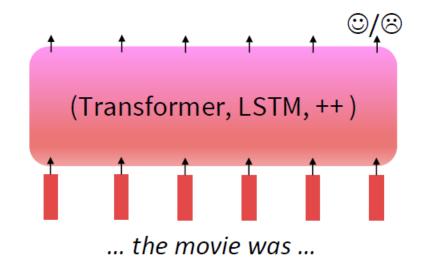
Step 1: Pretrain (on language modeling)

Lots of text; learn general things!

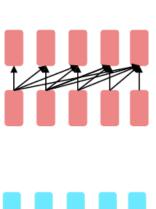


Step 2: Finetune (on your task)

Not many labels; adapt to the task!

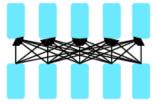


## Model pretraining



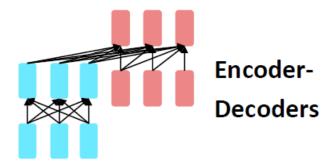
**Decoders** 

• Language models!



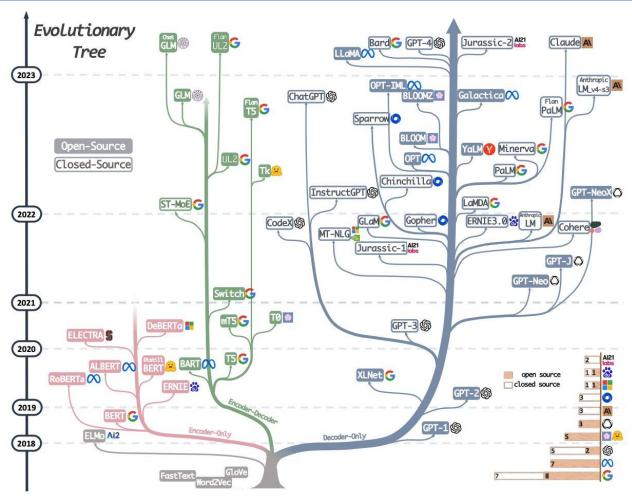
**Encoders** 

Gets bidirectional context can condition on future!



Fuse the good parts of both encoder and decoder

### LLM zoo



# Further advancements

#### Advancements

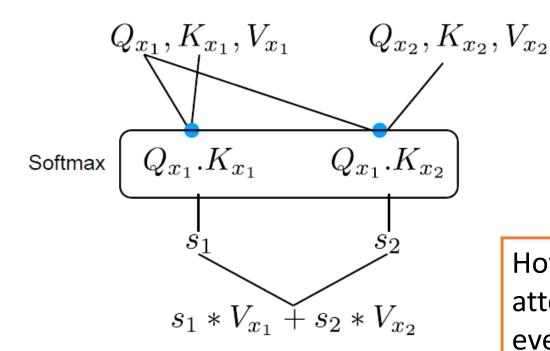
- Several advancements have been proposed to further improve performance and efficiency
- Encoder models
  - Sparse attention
- Decoder models
  - KV-cache
  - Multi-query, Group-Query and Latent query attention
  - Mixture of experts\*

<sup>\*</sup> Could also be deployed in encoder models

### Sparse attention: Encoder Models

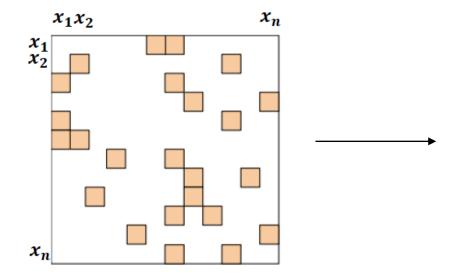
- BERT model can only process a sequence of length 512
- The self attention computation requires  $O(n^2)$  inner product operations n is the length of the sequence
- Hence increasing the length of the sequence would lead to almost infeasible computational overhead
- Processing longer sequences is often required in many downstream tasks
- Models like BigBird are capable of processing longer sequences
- Instead of self attention, they deploy "sparse" attention

- Computing attention scores are exactly same as self-attention
- Computing attention of  $x_1$  with respect to  $x_2$



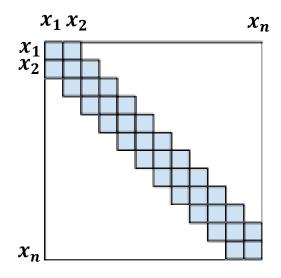
However, we won't compute attention of a token with respect to every other token

#### Random attention



- White space implies absence of attention
- Randomly attend to r tokens in the sequence (here r=2)

#### Window attention

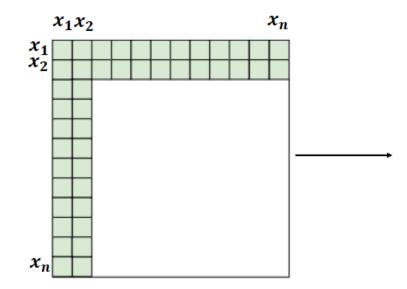


- White space implies absence of attention
- Attend to the local neighbors through sliding window (here w=3)

• 
$$i + \left\lfloor \frac{w}{2} \right\rfloor$$
,  $i - \left\lfloor \frac{w}{2} \right\rfloor$ 

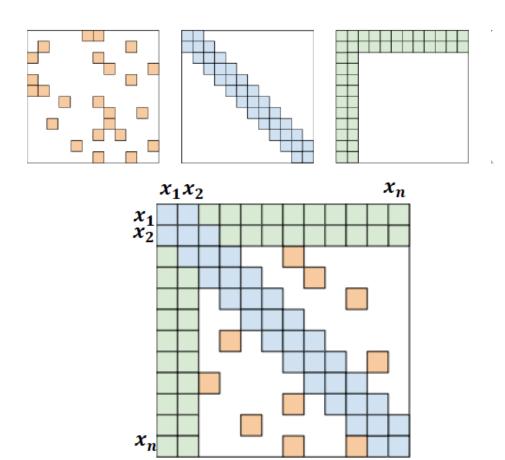
- NLP tasks display "locality of reference"
- Self attention models in NLP tasks indicate that neighboring inner products are extremely important

#### Global attention



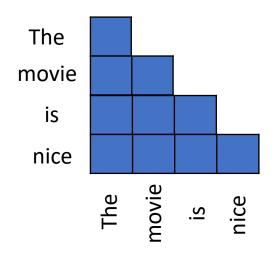
- White space implies absence of attention
- Select a few tokens as global tokens (g=2)
- Tokens that attend to all tokens in the sequence and to whom all tokens attend to
- The global tokens can be from existing tokens or extra added tokens

Putting it all together

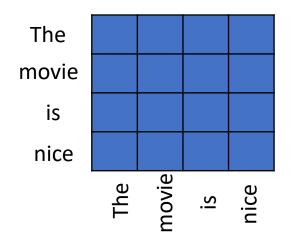


### Masked Attention

 Decoder-only models deploy masked attention i.e., each token attends only to itself and previous tokens



Masked attention

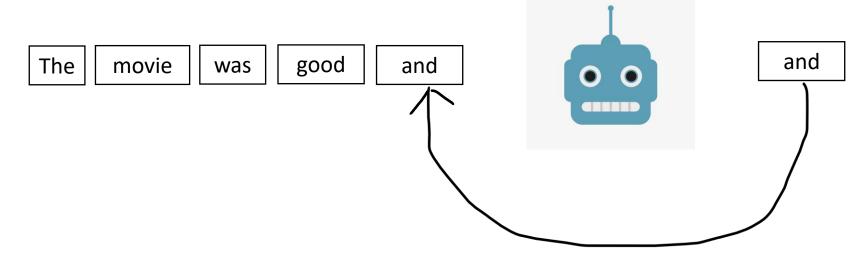


Self-attention

You can further speedup computation of mask attention

• Recall: For decoder-only models, we have an input text (also referred to as prompt) and the model generates one token at a time

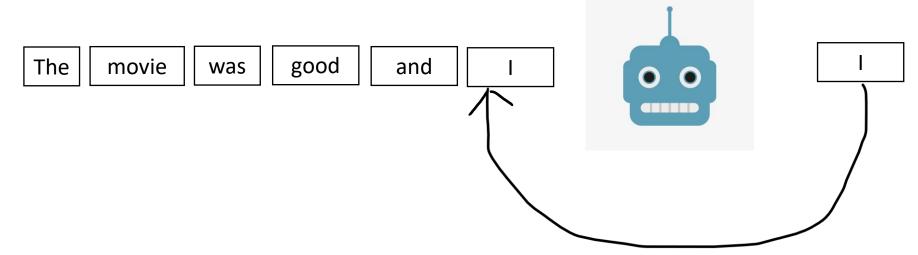
conditioning on the input



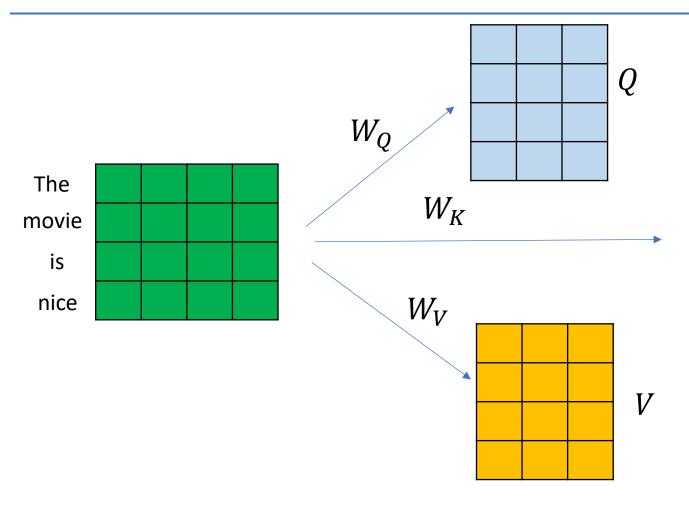
You can further speedup computation of mask attention

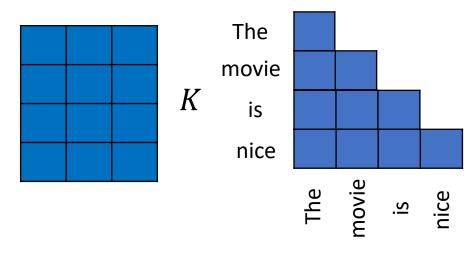
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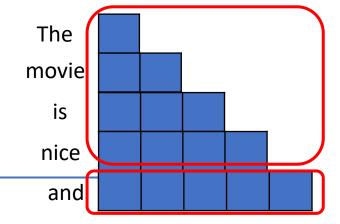


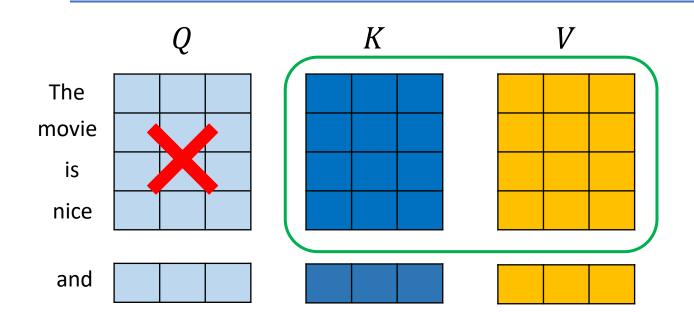
$$softmax\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$





- Attention computed for all the tokens in the input
- Next token "and" is generated





 For the next token prediction, we need to compute attention as –

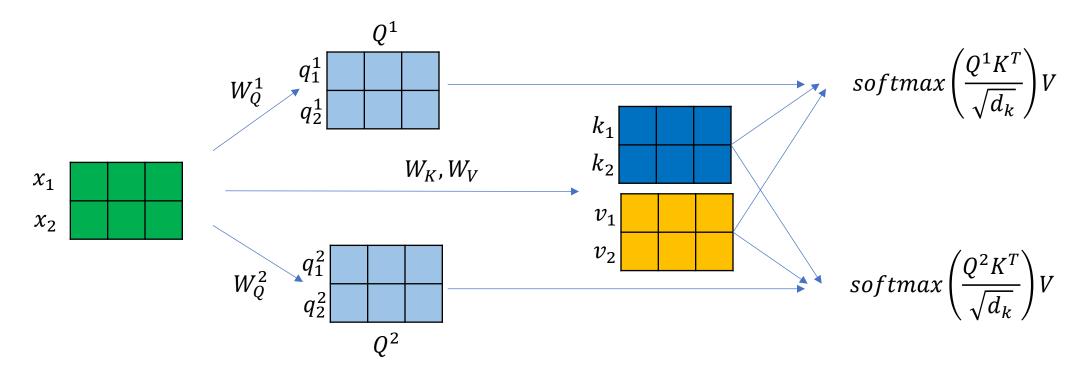
$$softmax \left( \frac{q_{\text{and}}K^T}{\sqrt{d_k}} \right) V$$

- Previous query vectors are no longer required, we can discard them to save memory
- The key and value vectors remain unchanged during inference. We don't need to compute them every single time but can simply "cache" them

- Faster computation at the cost of more memory requirement
- But?
  - For longer sequence, it has to cache larger key and value matrices
  - Might lead to out-of-memory error for longer sequences
- How to deal with this?
- Could we decrease the memory requirement?

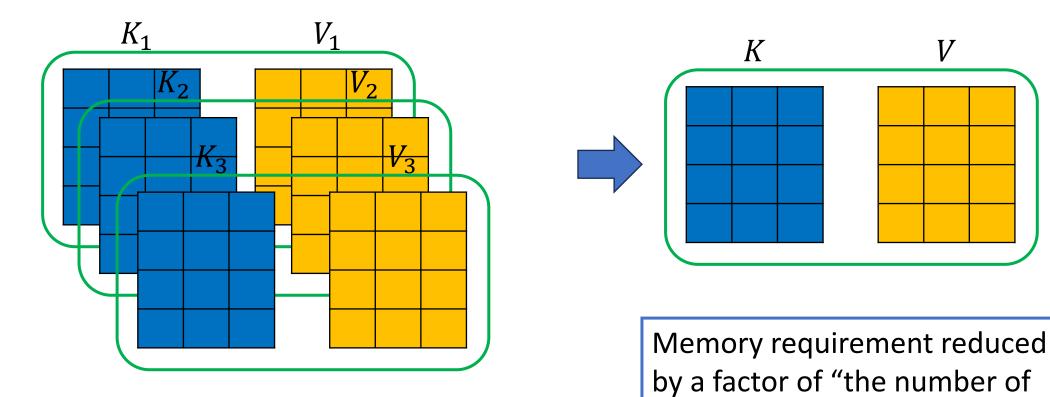
## Multi Query Attention

Share the key and value matrices across heads



## Multi Query Attention

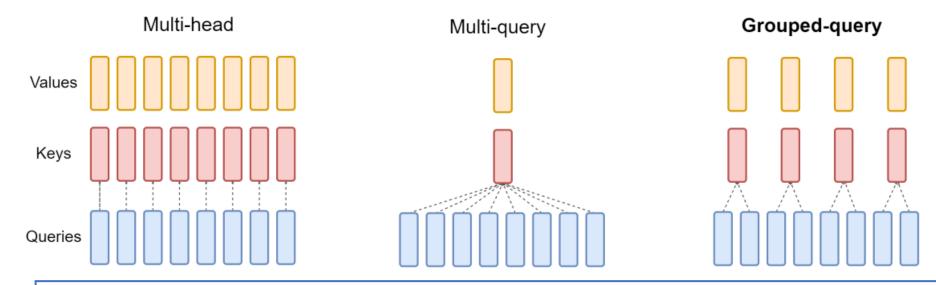
What about Caching?



heads"

## Grouped Query Attention

Between multi-head and multi-query

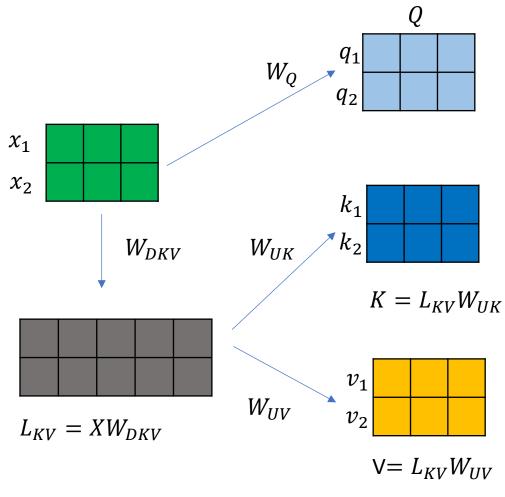


While more efficient, both multi and grouped query attention leads to degradation in performance

### Latent Attention

- Introduced in DeepSeek models
- Can we develop a memory efficient attention comptation mechanism without degrading performance?
- What if we store the key values in a lower dimensional space?

### Latent Attention



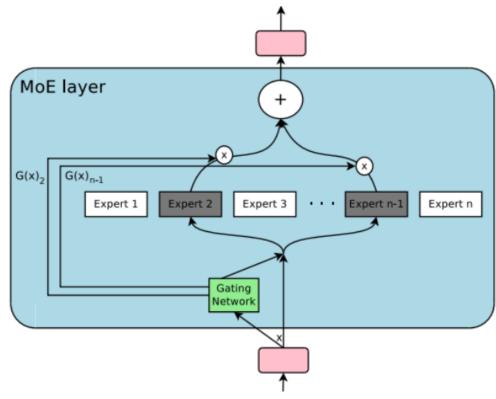
- The latent KV  $(L_{KV})$  is cached and shared across heads
- Additional parameters  $W_{DKV}$ ,  $W_{UK}$  and  $W_{UV}$  are introduced

### Mixture of Experts

 Feed forward module in the transformers are replaced by mixture of experts (MOE)

- Consists of several experts  $E_1, E_2, ..., E_n$
- Each expert is a neural network
- Given an input x, the output for each expert is given by  $E_i(x)$
- The Gating network *G*, determines which expert to choose

$$y = \sum_{i=1}^{n} G(x)_i E_i(x)$$



### Mixture of Experts

$$y = \sum_{i=1}^{n} G(x)_i E_i(x)$$

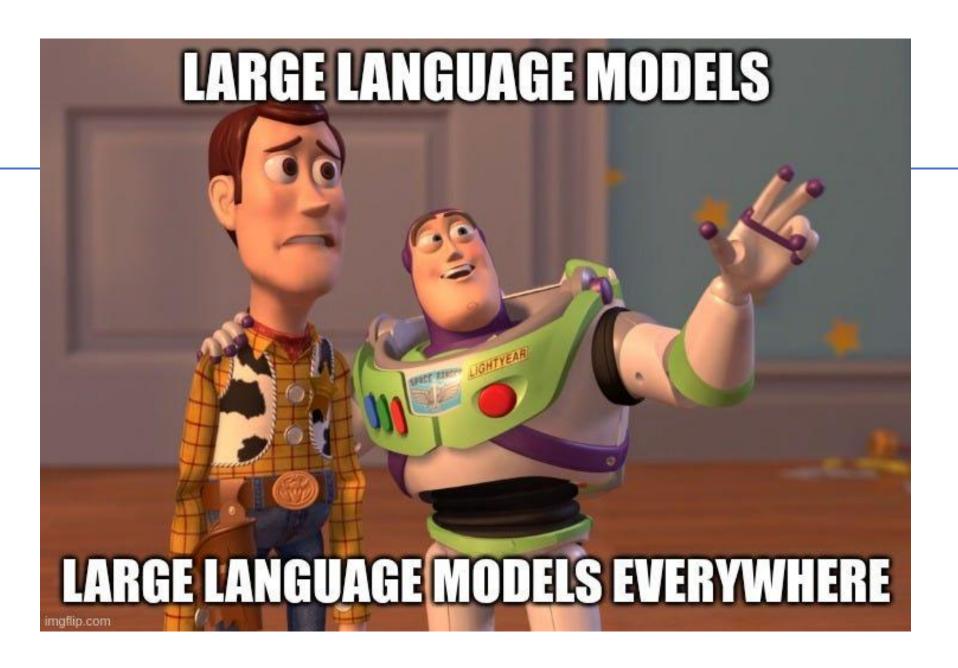
- If  $G(x)_i$  is 0, no need to compute the respective expert operations and save compute
- Traditionally, G is a simple network with a softmax

$$G(x) = \text{Softmax}(x W_g)$$

Other sparsity approaches have also been explored

### Mixture of Experts

- Although the parameter size increases, it is computationally much more efficient
- GLAM, language model from Google with 1.5 Trillion parameters deployed mixture of experts
  - 7x larger than GPT 3
  - 1/3 energy used during training
  - Required almost half computation during inference
  - Achieved better results across 29 NLP tasks

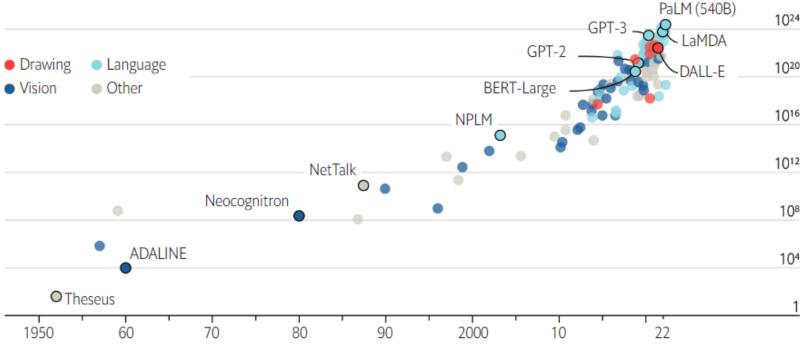


## Larger and larger models

#### The blessings of scale

Al training runs, estimated computing resources used

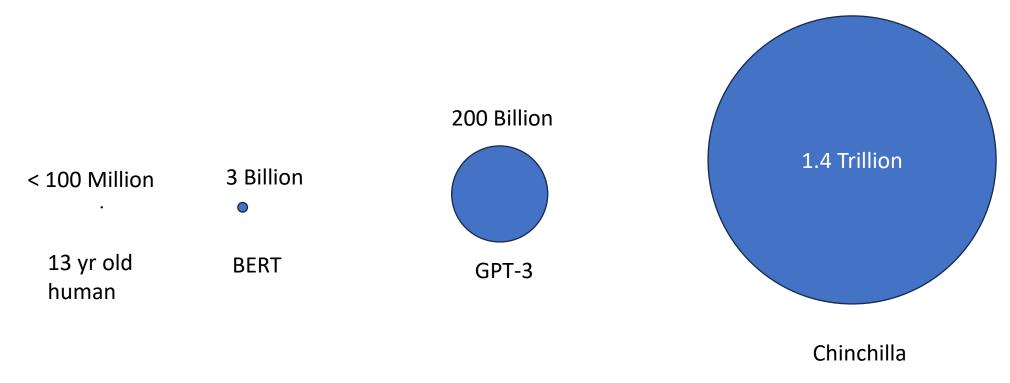
Floating-point operations, selected systems, by type, log scale



Sources: "Compute trends across three eras of machine learning", by J. Sevilla et al., arXiv, 2022; Our World in Data

### Trained on more and more data

Llama4 and DeepseekV3 models have been trained on ~14 Trillion tokens



### Language models as world models

Natural language -> code

```
addresses.rb
тs sentiments.ts
                                parse_expenses.py

write_sql.go

1 #!/usr/bin/env ts-node
3 import { fetch } from "fetch-h2";
5 // Determine whether the sentiment of text is positive
6 // Use a web service
7 async function isPositive(text: string): Promise<boolean> {
     const response = await fetch(`http://text-processing.com/api/sentiment/`, {
      method: "POST",
      body: `text=${text}`,
      headers: {
        "Content-Type": "application/x-www-form-urlencoded",
       },
     });
    const json = await response.json();
    return json.label === "pos";
17 1
    R Copilot
```

### Language models as world models

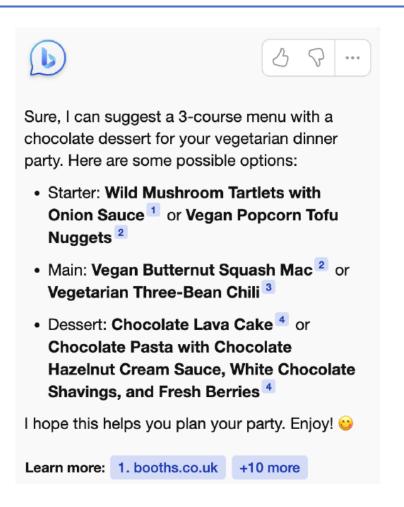
#### Medicine

Following endaerectomy on the right common carotid, a patient is found to be blind in the right eye. It is appears that a small thrombus embolized during surgery and lodged in the aery supplying the optic nerve. Which aery would be blocked?

Central aery of the retina

### Language models as multitask assistants

I need to throw a dinner party for 6 people who are vegetarian. Can you suggest a 3-course menu with a chocolate dessert?

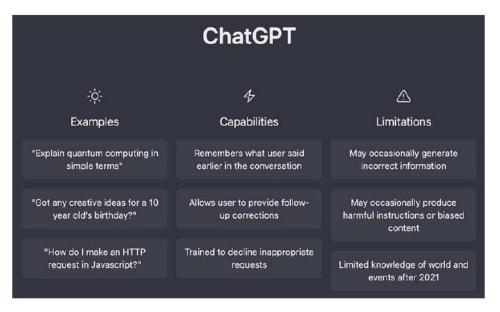


### Language models as multitask assistants

We started with –

The students opened their \_\_\_\_\_\_

And we have ended up -



### Language models to assistants

- Zero-shot and few-shot in-context learning (prompting)
- Instruction fine-tuning
- Reinforcement learning from human feedback (RLHF)

### Emergent abilities of LLMs

- Let's revisit the Generative Pretrained Transformer (GPT) models from OpenAl as an example:
- GPT (117M parameters)
- Transformer decoder with 12 layers.
- Trained on BooksCorpus: over 7000 unique books (4.6GB)
- Showed that language modeling at scale can be an effective pretraining technique for downstream tasks like natural language inference.



Decoders

### Emergent abilities of LLMs

- GPT 2 (1.5B parameters)
- Same architecture as GPT, just bigger (117M --> 1.5B)
- But trained on much more data: 4GB --> 40GB of internet text data
   WebText
- Scrape links posted on Reddit w/ at least 3 upvotes (rough proxy of human quality)

 One key emergent ability in GPT 2 is zero shot learning: the ability to do many tasks with no examples, and no gradient updates

• Specifying the right sequence prediction problem (e.g., question answering):

```
Passage: Tom Brady... Q: Where was Tom Brady born? A: ...
```

 One key emergent ability in GPT 2 is zero shot learning: the ability to do many tasks with no examples, and no gradient updates

```
The cat couldn't fit into the hat because it was too big.

Does it = the cat or the hat?
```

 GPT 2 beats SoTA on language modeling benchmarks with no task specific fine tuning

Context: "Why?" "I would have thought you'd find him rather dry," she said. "I don't know about that," said Gabriel. "He was a great craftsman," said Heather. "That he was," said Flannery.

Target sentence: "And Polish, to boot," said \_\_\_\_.

LAMBADA (language modeling w/ long discourse dependencies)

[Paperno et al., 2016]

	LAMBADA	LAMBADA	CBT-CN	CBT-NE	WikiText2
	(PPL)	(ACC)	(ACC)	(ACC)	(PPL)
SOTA	99.8	59.23	85.7	82.3	39.14
117M	35.13	45.99	87.65	83.4	29.41
345M	15.60	55.48	92.35	<b>87.1</b>	22.76
762M	10.87	60.12	93.45	88.0	19.93
1542M	8.63	63.24	93.30	89.05	18.34

- You can get interesting zero shot behavior if you're creative enough with how you specify your task!
- Summarization:

Prehistoric man sketched an incredible array of prehistoric beasts on the rough limestone walls of a cave in modern day France 36,000 years ago... **TL;DR**:



The original site in Vallon-Pont-D'arc in Southern France is a Unesco World Heritage site and is the oldest known and the best preserved cave decorated by man...

### Language models to assistants

- Zero-shot and few-shot in-context learning (prompting)
- Instruction fine-tuning
- Reinforcement learning from human feedback (RLHF)

### Reference and further reading

- CS224n: Chris Manning's course at Stanford (slides are adopted from here)
- https://arxiv.org/pdf/2007.14062.pdf (Big Bird)
- https://arxiv.org/pdf/1910.13461.pdf (BART)
- https://arxiv.org/pdf/1910.10683.pdf (T5)
- https://arxiv.org/pdf/2005.14165.pdf (GPT-3)
- <a href="https://arxiv.org/pdf/1911.02150">https://arxiv.org/pdf/1911.02150</a> (Multi-query attention)
- https://arxiv.org/pdf/2305.13245 (Grouped-query attention)