

# MAT301: Extra Topics

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## Contents

<b>1</b>	<b>Free Abelian Groups</b>	<b>1</b>
1.1	Proposition 1	2
1.2	Proposition 2: Homomorphisms and Bases	3
1.3	Proposition 3	3
1.4	Invertible Element of $\text{Mat}_{n \times n}(\mathbb{Z})$	3
1.5	Proposition 4	4
1.6	Proposition 5	4
1.7	Second Reduction Theorem	4
1.8	Theorem: Smith Normal Form	5
1.9	Integral Elementary Row Operations	5
1.10	Smith Normal Form Algorithm	6
1.11	Torsion Subgroup	6
1.12	Proposition 6	6
1.13	Proposition 7	7

## Free Abelian Groups

### Definition Set of $\mathbb{Z}$ linear combinations of elements of $S$

Let  $(A, +)$  be an abelian group. Note that if  $S \subseteq A$ , then

$$\langle S \rangle = \left\{ \sum_{i=1}^m k_i a_i : m \in \mathbb{Z}_{\geq 0}, a_i \in S, k_i \in \mathbb{Z} \right\}$$

where the right hand side can be denoted as  $\text{span}_{\mathbb{Z}}(S)$ , which is the set of all  $\mathbb{Z}$  linear combinations of elements of  $S$ .

Since empty sets are trivial, we have

$$\text{span}_{\mathbb{Z}}(\emptyset) = \{0\} \quad (1)$$

### Definition Linear Independence, Span, Basis

Let  $S \subseteq A$ .

1.  $S$  is linearly independent (over  $\mathbb{Z}$ ) if for any  $m \in \mathbb{Z}_{>0}$ ,  $a_1, \dots, a_m \in S$ , and  $k_1, \dots, k_m \in \mathbb{Z}$ ,

$$\sum_{i=1}^m k_i a_i = 0 \implies a_1 = \dots = a_m = 0,$$

or equivalently if every element of  $A$  can be written as a  $\mathbb{Z}$ -linear combination of elements of  $S$  in at most one way.

2.  $S$  spans  $A$  (over  $\mathbb{Z}$ ) if  $A = \text{span}_{\mathbb{Z}}(S)$ , or equivalently every element of  $A$  can be written as a  $\mathbb{Z}$ -linear combination of elements of  $A$  in at least one way.
3.  $S$  is a basis (or  $\mathbb{Z}$ -basis) of  $A$  if  $S$  is linearly independent and spans  $A$ , or equivalently if every element of  $A$  can be written as a  $\mathbb{Z}$ -linear combination of elements of  $S$  in exactly one way.

■ **Example 1:**  $e_1, \dots, e_m$  is a basis of  $\mathbb{Z}^m$ .

### Definition Free Abelian Group

A free abelian group is an abelian group that has a basis.

A free abelian group of finite rank is an abelian group that has a finite basis.

■ **Example 2:**  $\mathbb{Z}^m$  is a free abelian group of finite rank for all  $m \in \mathbb{Z}_{\geq 0}$ . Note that  $\mathbb{Z}^0 = \{0\}$ .

■ **Example 3:** If  $\{v_1, \dots, v_m\} \subseteq \mathbb{R}^n$  is linearly independent over  $\mathbb{R}$  and  $A$  is the subgroup of  $\mathbb{R}^n$  generated by  $\{v_1, \dots, v_n\}$ , i.e.  $A = \langle v_1, \dots, v_n \rangle = \text{span}_{\mathbb{Z}}(\{v_1, \dots, v_n\})$ , then  $\{v_1, \dots, v_n\}$  is a  $\mathbb{Z}$ -basis of  $A$ , so  $A$  is a free abelian group of finite rank.

■ **Example 4:** If  $\{G_i\}_{i \in I}$  is a set of groups, then

$$\prod'_{i \in I} G_i := \left\{ (g_i)_{i \in I} \in \prod_{i \in I} G_i \mid g_i = e_{G_i} \text{ for all but finitely many } i \in I \right\}$$

is a subgroup of the direct product  $\prod_{i \in I} G_i$ . If the  $G_i$  are abelian, then we denote  $\prod'_{i \in I} G_i$  by  $\bigoplus_{i \in I} G_i$ , and call it the direct sum of  $\{G_i\}_{i \in I}$ .

If  $I$  is infinite and  $G_i = \mathbb{Z}$  for all  $i \in I$ , then  $\bigoplus_{i \in I} G_i = \bigoplus_{i \in I} \mathbb{Z}$  is a free abelian group that is not of finite rank (it has an infinite basis but no finite basis).

Note that free abelian groups are like vector spaces over  $\mathbb{Z}$ .

### Corollary

For all  $m, n \in \mathbb{Z}_{>0}$ , we have  $\mathbb{Z}^m \cong \mathbb{Z}^n$  if and only if  $m = n$ .

### Proposition 1

Let  $A$  be a finitely generated group. Then  $A$  is of finite rank if and only if  $A$  is a finite group.

**Proof:** The “only if” direction is immediate. Suppose  $A$  is a finite group and let  $\{g_1, \dots, g_m\}$  be a generating set of  $A$ . Let  $\{a_i\}_{i \in I}$  be a basis of  $A$ . There is a finite subset  $\{a_{i_1}, \dots, a_{i_n}\}$  of  $\{a_i\}_{i \in I}$  such that

$$\{g_1, \dots, g_m\} \subseteq \text{span}_{\mathbb{Z}}(\{a_{i_1}, \dots, a_{i_n}\}). \quad (2)$$

Then

$$A = \text{span}_{\mathbb{Z}}(\{g_1, \dots, g_m\}) \subseteq \text{span}_{\mathbb{Z}}(\{a_{i_1}, \dots, a_{i_n}\}) \subseteq A, \quad (3)$$

so  $\text{span}_{\mathbb{Z}}(\{a_{i_1}, \dots, a_{i_n}\}) = A$ . Since  $\{a_{i_1}, \dots, a_{i_n}\} \subseteq \{a_i\}_{i \in I}$  and  $\{a_i\}_{i \in I}$  is linearly independent, it follows that  $\{a_{i_1}, \dots, a_{i_n}\}$  is linearly independent. Therefore,  $\{a_{i_1}, \dots, a_{i_n}\}$  is a basis of  $A$ , so  $A$  is of finite rank. ■

**Warning!** Here are a few misconceptions. Take  $\mathbb{Z}$  for example. Then:

- $\{2, 3\}$  is a minimal spanning subset of  $\mathbb{Z}$ , but it is not a basis as it is linearly dependent.
- $\{2, 3\}$  spans  $\mathbb{Z}$ , but does not contain a basis of  $\mathbb{Z}$ .
- $\{2\}$  is a maximal linearly independent subset of  $\mathbb{Z}$ , but it is not a basis because its span is  $2\mathbb{Z} \subsetneq \mathbb{Z}$ .
- $\{2\}$  is linearly independent, but it is not contained in a basis of  $\mathbb{Z}$ .

## ■ Proposition 2: Homomorphisms and Bases

Let  $A$  be a free abelian group and let  $\{a_i\}_{i \in I}$  be a basis of  $A$ .

Let  $B$  be an abelian group and let  $\{b_i\}_{i \in I}$  be a family of elements of  $B$ .

Then there exists a unique homomorphism  $\phi : A \rightarrow B$  such that  $\phi(a_i) = b_i$  for all  $i \in I$ . It is surjective if and only if  $\{b_i\}_{i \in I}$  spans  $B$ , it is injective if and only if  $\{b_i\}_{i \in I}$  is linearly independent, and it is an isomorphism iff  $\{b_i\}_{i \in I}$  is a basis of  $B$ .

Let  $A$  be a free abelian group of finite rank  $n$ . For any basis  $\alpha = \{a_1, \dots, a_n\}$  of  $A$  there exists a unique isomorphism:

$$\theta_\alpha : A \rightarrow \mathbb{Z}^n \quad (4)$$

such that  $\theta(a_i) = e_i$  for all  $i = 1, \dots, n$ . Note that:

- $\theta_\alpha^{-1}(k_1, \dots, k_n) = \sum_{i=1}^n k_i a_i$
- For all  $a \in A$ , let us write  $[a]_\alpha = \theta_\alpha(a) \in \mathbb{Z}^n$ .

## ■ Proposition 3

Let  $A, B$  be free abelian groups of finite ranks  $n$  and  $m$ , respectively. Let  $\alpha = \{a_1, \dots, a_n\}$  be a basis of  $A$  and  $\beta = \{b_1, \dots, b_m\}$  be a basis of  $B$ . For all homomorphisms  $\phi : A \rightarrow B$  there exists a unique matrix

$$[T]_\beta^\alpha \in \text{Mat}_{m \times n}(\mathbb{Z}) \quad (5)$$

such that for all  $a \in A$  we have

$$[Ta]_\beta = [T]_\beta^\alpha [a]_\alpha. \quad (6)$$

Let  $C$  be a free abelian group of finite rank  $p$  and let  $\gamma = \{c_1, \dots, c_p\}$  be a basis of  $C$ . If  $T : A \rightarrow B$  and  $S : B \rightarrow C$  are homomorphisms, then

$$[S \circ T]_\gamma^\alpha = [S]_\gamma^\beta [T]_\beta^\alpha \quad (7)$$

**Proof:** Let  $T : A \rightarrow B$  be a homomorphism. Define

$$[T]_\beta^\alpha = [[Ta_1]_\beta \cdots [Ta_n]_\beta]. \quad (8)$$

The rest is straightforward. ■

## ■ Corollary

A homomorphism  $T : A \rightarrow B$  is an isomorphism if and only if there exists  $N \in \text{Mat}_{n \times m}(\mathbb{Z})$  such that

$$[T]_\beta^\alpha n = I_m \text{ and } N[T]_\beta^\alpha = I_n \quad (9)$$

in which case  $m = n$ .

## ■ Invertible Element of $\text{Mat}_{n \times n}(\mathbb{Z})$

**Definition Invertible Element of  $\text{Mat}_{n \times n}(\mathbb{Z})$**

Let  $n$  be a positive integer and  $M \in \text{Mat}_{n \times n}(\mathbb{Z})$ . We say that  $M$  is an invertible element of  $\text{Mat}_{n \times n}(\mathbb{Z})$  if there exists  $N \in \text{Mat}_{n \times n}(\mathbb{Z})$  such that

$$MN = I_n = NM, \quad (10)$$

in which case  $N$  is unique, denoted by  $M^{-1}$ , and called the *inverse of  $M$* . We denote the subset of invertible elements of  $\text{Mat}_{n \times n}(\mathbb{Z})$  by  $\text{GL}_n(\mathbb{Z})$ .

Note that  $M \in \text{Mat}_{n \times n}(\mathbb{Z})$  is invertible if and only if it is invertible in  $\text{Mat}_{n \times n}(\mathbb{Q})$  and  $M^{-1} \in \text{Mat}_{n \times n}(\mathbb{Z})$ .

#### ■ Proposition 4

$$\mathrm{GL}_n(\mathbb{Z}) = \{M \in \mathrm{Mat}_{n \times n}(\mathbb{Z}) \mid \det(M) \in \{\pm 1\}\} \quad (11)$$

**Proof:** If  $M \in \mathrm{GL}_n(\mathbb{Z})$ , then

$$\det(M) \det(M^{-1}) = \det(I_n) = 1 \quad (12)$$

Since  $\det(M), \det(M^{-1}) \in \mathbb{Z}$ , it follows that  $\det(M) = \det(M^{-1}) = \pm 1$ .

If  $M \in \mathrm{Mat}_{n \times n}(\mathbb{Z})$  and  $\det(M) = \pm 1$ , then the usual formula for  $M^{-1} \in \mathrm{Mat}_{n \times n}(\mathbb{Q})$  shows that  $M^{-1} \in \mathrm{Mat}_{n \times n}(\mathbb{Z})$ . Thus,  $M \in \mathrm{GL}_n(\mathbb{Z})$ . ■

#### ■ Proposition 5

For each free abelian group  $A$  of finite rank, every subgroup  $B$  of  $A$  is a free abelian group and

$$\mathrm{rank} B \leq \mathrm{rank} A \quad (13)$$

*Remarks:* One can drop the assumption that  $A$  is of finite rank.

**Proof:** We will proceed by induction on  $m = \mathrm{rank}(A)$ .

If  $m \geq 0$  and assume that for each abelian group of rank  $m$ , every subgroup of it is a free abelian group of rank at most  $m$ .

Let  $A$  be a free abelian group of rank  $m + 1$  and let  $B \leq A$ . We can choose a basis  $\alpha = \{a_1, \dots, a_{m+1}\}$  of  $A$  and define

$$A' = \mathrm{span}_{\mathbb{Z}}(\{a_1, \dots, a_m\}) \leq A \quad (14)$$

Then  $A'$  is a free abelian group of rank  $m$ . ■

#### ■ Second Reduction Theorem

Let  $A$  be a finitely generated abelian group,  $\phi : \mathbb{Z}^m \rightarrow A$  is a surjective homomorphism, and  $B = \ker \phi \leq \mathbb{Z}^m$ . Recall that it suffices to construct an isomorphism  $\mathbb{Z}^m \rightarrow \mathbb{Z}^m$  that maps  $B$  to

$$d_1\mathbb{Z} \times \cdots \times d_n\mathbb{Z} \times \{0\} \times \cdots \times \{0\} \leq \mathbb{Z}^m$$

for some positive integers  $d_1 \mid \cdots \mid d_n$ .

Since  $B \leq \mathbb{Z}^m$ , we now know that  $B$  is a free abelian group of rank  $n \leq m$ . Let  $r = m - n$ . It then suffices to prove the following theorem (too lazy to write proof, can be found in Lec 20):

Let  $C$  be a free abelian group of finite rank  $m$  and let  $B \leq C$ . Then  $B$  is a free abelian group of rank at most  $m$ . Let  $n = \mathrm{rank}(B) \leq m$ .

Then, there exists bases  $\beta = \{b_1, \dots, b_n\}$  of  $B$  and  $\gamma = \{c_1, \dots, c_m\}$  of  $C$  and positive integers  $d_1 \mid \cdots \mid d_n$  such that

$$b_i = d_i c_i \quad (15)$$

for all  $i = 1, \dots, n$ . Moreover,  $d_1, \dots, d_n$  are unique.

Indeed, suppose that this theorem holds and apply it to  $B = \ker \phi \leq \mathbb{Z}^n = C$ . The isomorphism

$$\mathbb{Z}^m = C \xrightarrow{[\cdot]_{\gamma}} \mathbb{Z}^m \quad (16)$$

maps  $b_i = d_i c_i$  to  $d_i e_i$  for all  $i = 1, \dots, n$ . Therefore, the isomorphism maps  $B$  to  $d_1\mathbb{Z} \times \cdots \times d_n\mathbb{Z} \times \{0\} \times \cdots \times \{0\}$ .

We will prove a more general theorem.

Let  $B$  and  $C$  be free abelian groups of finite ranks  $n$  and  $m$ , respectively. Let  $\Psi : B \rightarrow C$  be a homomorphism.

Then there exists bases  $\beta = \{b_1, \dots, b_n\}$  of  $B$  and  $\gamma = \{c_1, \dots, c_m\}$  of  $C$ , there exists a positive integer

$r \leq m, n$  and there exists positive integers  $d_1 | \cdots | d_r$  such that

$$\Psi(b_i) = \begin{cases} d_i c_i & 1 \leq i \leq r \\ 0 & r < i \leq n \end{cases} \quad (17)$$

or equivalently

$$[\Psi]_{\gamma}^{\beta} = \left[ \begin{array}{ccc|c} d_1 & & 0 & 0 \\ & \ddots & & \\ 0 & & d_r & 0 \\ \hline & 0 & & 0 \end{array} \right] \quad (18)$$

Moreover,  $r, d_1, \dots, d_r$  are unique.

Let  $\beta_0, \gamma_0$  be bases of  $B, C$ , respectively. The theorem is equivalent to the assertion that there exists matrices  $P \in \text{GL}_m(\mathbb{Z})$ ,  $Q \in \text{GL}_n(\mathbb{Z})$  such that

$$P[\Psi]_{\gamma_0}^{\beta_0}Q = \left[ \begin{array}{ccc|c} d_1 & & 0 & 0 \\ & \ddots & & \\ 0 & & d_r & 0 \\ \hline & 0 & & 0 \end{array} \right] \quad (19)$$

for some positive integers  $d_1 | \cdots | d_r$ , and  $r, d_1, \dots, d_r$  are unique. It turns out that slightly more is true.

### ■ Theorem: Smith Normal Form

Let  $M \in \text{Mat}_{m \times n}(\mathbb{Z})$ . There exist a sequence of integral elementary row and column operations that transform  $M$  to a matrix of the form

$$\left[ \begin{array}{ccc|c} d_1 & & 0 & 0 \\ & \ddots & & \\ 0 & & d_r & 0 \\ \hline & 0 & & 0 \end{array} \right], \quad (20)$$

where  $d_1 | \cdots | d_n$  are positive integers. Moreover,  $r = \text{rank}(M)$  and for all  $i = 1, \dots, r$ ,  $d_i = d_i(M)/d_{i-1}(M)$ . In particular,  $r, d_1, \dots, d_r$  are unique.

#### Definition $i^{\text{th}}$ determinant divisor of $M$

For  $i = 1, \dots, \min\{m, n\}$ , define

$$d_i(M) := \gcd\{\text{determinants of } i \times i \text{ minors of } M.\} \quad (21)$$

and define  $d_0(M) = 1$ . The number  $d_i(M)$  is called the  $i^{\text{th}}$  determinant divisor of  $M$ .

Note that if  $i < \text{rank}(M)$ , then  $d_i(M) > 0$ .

### ■ Integral Elementary Row Operations

There are three main operations:

- To interchange row  $i$  and row  $j$ , this is equivalent to multiplying on the left by  $P_{i,j}$ .
- To multiply row  $i$  by  $-1$ , we multiply on the left by  $D_i$ .
- To replace row  $i$  with row  $i$  plus  $k$  times row  $j$ , we multiply on the left by  $E_{ij}(k)$ .

Note that if we were to act on the columns instead, the elementary matrices should be multiplied on the right.

The integral elementary matrices  $P_{ij}, D_i, E_{ij}(k)$  generate the group  $\text{GL}_n(\mathbb{Z})$ .

## ■ Smith Normal Form Algorithm

If  $M = 0$ , we are done. Assume  $M \neq 0$ .

1. Let  $\delta(M) = \min\{|M_{ij}| : M_{ij} \neq 0\}$ . Choose  $M_{ij} \neq 0$  such that  $|M_{ij}| = \delta(M)$ .
2. If  $M_{ij}$  does not divide an entry in its row, say  $M_{i\ell}$ , and  $M_{i\ell} = gM_{ij} + r$  where  $q, r \in \mathbb{Z}$  and  $0 < r < |M_{ij}|$ , then replace  $\text{col}_\ell$  with  $\text{col}_\ell - q\text{col}_j$ :
3. This results in a matrix  $M'$  with  $M'_{i\ell} = r$  and  $\delta(M') \leq r < |M_{ij}| = \delta(M)$ . Let  $M$  denote  $M'$  now. Go to the previous step.
4. If  $M_{ij}$  does not divide an entry in its column, we do the same thing analogous to the previous step.
5. If  $M_{ij}$  divides every entry in its row and column, we can clear the other entries in row  $i$  and column  $j$  using  $M_{ij}$  (i.e. all the other entries are 0). Let  $M$  denote the resulting matrix.
6. If  $M_{ij}$  divides every entry in  $M$ , skip this step. Otherwise, choose  $M_{k\ell}$  such that  $M_{ij} \nmid M_{k\ell}$ . Then replace row  $i$  with  $\text{row}_i + \text{row}_j$ . Let  $M$  denote the new matrix. Go to the first step.
7.  $M_{ij}$  divides every entry of  $M$ . Swap row 1 and row  $i$  and swap column 1 and column  $j$ . If  $M_{ij} < 0$ , multiply row by  $-1$ .

We look at the resulting matrix  $M'$  in the bottom right corner inside the larger matrix. Let  $M$  denote  $M'$ .

8. Repeat steps 1 to 6 until  $M'$  in the previous step is the empty matrix.

## ■ Torsion Subgroup

### Definition Torsion subgroup of $A$

Let  $A$  be an abelian group. For each  $n \in \mathbb{Z}_{>0}$ , we define the  $n$ -torsion subgroup of  $A$  to be

$$A[n] := \{a \in A : na = 0\} \quad (22)$$

we define the  $n$ -power torsion subgroup of  $A$  to be

$$A[n^\infty] := \{a \in A : n^k a = 0 \text{ for some } k \in \mathbb{Z}_{\geq 0}\} \quad (23)$$

and we define the torsion subgroup of  $A$  to be

$$\text{Tor}(A) := \{a \in A : ma = 0 \text{ for some } m \in \mathbb{Z}_{>0}\} = \bigcup_{n \in \mathbb{Z}_{>0}} A[n]. \quad (24)$$

## ■ Proposition 6

Let  $A$  be a finitely generated group. If  $A \cong \mathbb{Z}^r \times T$ , where  $r \in \mathbb{Z}_{\geq 0}$  and  $T$  is a finite abelian group, then  $T \cong \text{Tor}(A)$  and  $\mathbb{Z}^r \cong A/\text{Tor}(A)$ .

Consequently,  $T$  is unique up to isomorphism and  $r$  is unique.

**Proof:** First, note that if  $\phi : B \rightarrow C$  is an isomorphism between abelian groups, then  $\phi(\text{Tor}B) = \text{Tor}C$ , so  $\phi$  restricts to an isomorphism  $\phi : \text{Tor}B \rightarrow \text{Tor}C$ .

Let  $\phi : A \rightarrow \mathbb{Z}^r \times T$  be an isomorphism as in the proposition statement.

Since  $\text{Tor}(\mathbb{Z}^r \times T) = \{0, \dots, 0\} \times T \cong T$ , we have  $\text{Tor}(A) \cong \{0\} \times T \cong T$ .

Also since  $\phi(\text{Tor}A) = \{0\} \times T$ , the map

$$\begin{aligned} A/\text{Tor}A &\rightarrow \mathbb{Z}^r \times T/(\{0\} \times T) \\ a + \text{Tor}A &\mapsto \phi(a) + (\{0\} \times T) \end{aligned}$$

is a well defined isomorphism. Therefore,

$$\begin{aligned}
 A/\text{Tor}A &\cong \mathbb{Z}^r \times T/(\{0\} \times T) \\
 &\cong \mathbb{Z}^r / \{0\} \times T/T \\
 &\cong \mathbb{Z}^r \times \{0\} \\
 &\cong \mathbb{Z}^r
 \end{aligned}$$

■

### ■ Proposition 7

Let  $A$  be a finitely generated abelian group. If  $A \cong \mathbb{Z}^r \times P \times B$ , where  $r \in \mathbb{Z}_{\geq 0}$ ,  $P$  is a finite abelian group with  $|P| = p^k$  for some prime  $p$ , and  $B$  is a finite abelian group with  $p \nmid |B|$ , then

$$A[p^\infty] \cong P \tag{25}$$