IQ-TREE version 2.0: Tutorials and Manual Phylogenomic software by maximum likelihood

http://www.iqtree.org

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Preface

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Chapter 1

Introduction

1.1 Why IQ-TREE?

Thanks to the recent advent of next-generation sequencing techniques, the amount of phylogenomic/transcriptomic data have been rapidly accumulated. This extremely facilitates resolving many "deep phylogenetic" questions in the tree of life. At the same time it poses major computational challenges to analyze such big data, where most phylogenetic software cannot handle. Moreover, there is a need to develop more complex probabilistic models to adequately capture realistic aspects of genomic sequence evolution.

This trends motivated us to develop the IQ-TREE software with a strong emphasis on phylogenomic inference. Our goals are:

- Accuracy: Proposing novel computational methods that perform better than existing approaches.
- **Speed**: Allowing fast analysis on big data sets and utilizing high performance computing platforms.
- **Flexibility**: Facilitating the inclusion of new (phylogenomic) models and sequence data types.
- Versatility: Implementing a broad range of commonly-used maximum likelihood analyses.

IQ-TREE has been developed since 2011 and freely available at http://www.iqtree.org/ as open-source software under the GNU-GPL license version 2. It is actively maintained by the core development team (see below) and a number of collabrators.

The name IQ-TREE comes from the fact that it is the successor of IQPNNI and TREE-PUZZLE software.

1.2 Key features

- Efficient search algorithm: Fast and effective stochastic algorithm to reconstruct phylogenetic trees by maximum likelihood. IQ-TREE compares favorably to RAxML and PhyML in terms of likelihood while requiring similar amount of computing time (Nguyen et al., 2015).
- Ultrafast bootstrap: An ultrafast bootstrap approximation (UFBoot) to assess branch supports. UFBoot is 10 to 40 times faster than RAxML rapid bootstrap and obtains less biased support values (Minh et al., 2013; Hoang et al., 2018).
- Ultrafast model selection: An ultrafast and automatic model selection (ModelFinder) which is 10 to 100 times faster than jModelTest and ProtTest. ModelFinder also finds best-fit partitioning scheme like PartitionFinder.
- Big Data Analysis: Supporting huge datasets with thousands of sequences or millions of alignment sites via checkpointing, safe numerical and low memory mode. Multicore CPUs and parallel MPI system are utilized to speedup analysis.
- Phylogenetic testing: Several fast branch tests like SH-aLRT and aBayes test (Anisimova et al., 2011) and tree topology tests like the approximately unbiased (AU) test (Shimodaira, 2002).

The strength of IQ-TREE is the availability of a wide variety of phylogenetic models:

- Common models: All common substitution models for DNA, protein, codon, binary and morphological data with rate heterogeneity among sites and ascertainment bias correction for e.g. SNP data.
- Partition models: Allowing individual models for different genomic loci (e.g. genes or codon positions), mixed data types, mixed rate heterogeneity types, linked or unlinked branch lengths between partitions.
- Mixture models: fully customizable mixture models and empirical protein mixture models and.
- Polymorphism-aware models: Accounting for *incomplete lineage sorting* to infer species tree from genome-wide population data (Schrempf et al., 2016).

1.3 Free web server

For a quick start you can also try the IQ-TREE web server, which performs online computation using a dedicated computing cluster. It is very easy to use with as few as just 3 clicks! Try it out at

http://iqtree.cibiv.univie.ac.at

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1.4 User support

Please refer to the user documentation and frequently asked questions. If you have further questions, feedback, feature requests, and bug reports, please sign up the following Google group (if not done yet) and post a topic to the

https://groups.google.com/d/forum/iqtree

The average response time is two working days.

1.5 Documentation

IQ-TREE has an extensive documentation with several tutorials and manual:

- Getting started guide: recommended for users who just downloaded IQ-TREE.
- Web Server Tutorial: A quick starting guide for the IQ-TREE Web Server.
- Beginner's tutorial: recommended for users starting to use IQ-TREE.
- Advanced tutorial: recommended for more experienced users who want to explore more features of IQ-TREE.
- Command Reference: Comprehensive documentation of command-line options available in IQ-TREE.
- Substitution Models: All common substitution models and usages.
- Complex Models: Complex models such as partition and mixture models.
- Polymorphism Aware Models: Polymorphism-aware phylogenetic Models (PoMo) related documentation.
- Compilation guide: for advanced users who wants to compile IQ-TREE from source code.
- Frequently asked questions (FAQ): recommended to have a look before you post a question in the IQ-TREE group.

1.6 How to cite IQ-TREE?

To maintain IQ-TREE, support users and secure fundings, it is important for us that you cite the following papers, whenever the corresponding features were applied for your analysis.

- Example 1: We obtained branch supports with the ultrafast bootstrap (Hoang et al., 2018) implemented in the IQ-TREE software (Nguyen et al., 2015).
- Example 2: We inferred the maximum-likelihood tree using the edge-linked partition model in IQ-TREE (Chernomor et al., 2016; Nguyen et al., 2015).

If you performed the tests of symmetry, please cite:

• S. Naser-Khdour, B.Q. Minh, W. Zhang, E.A. Stone, R. Lanfear (2019) The prevalence and pmpact of model violations in phylogenetic analysis, *Genome Biol. Evol.*, in press. https://doi.org/10.1093/gbe/evz193

If you used the polymorphism-aware models please cite:

• D. Schrempf, B.Q. Minh, A. von Haeseler, and C. Kosiol (2019) Polymorphism-aware species trees with advanced mutation models, bootstrap, and rate heterogeneity. *Mol. Biol. Evol.*, 36:1294-1301. https://doi.org/10.1093/molbev/msz043

If you used the heterotachy model (GHOST) please cite:

• S.M. Crotty, B.Q. Minh, N.G. Bean, B.R. Holland, J. Tuke, L.S. Jermiin, A. von Haeseler (2019) GHOST: Recovering historical signal from heterotachously-evolved sequence alignments. *Syst. Biol.*, in press. https://doi.org/10.1093/sysbio/syz051

If you performed the ultrafast bootstrap (UFBoot) please cite:

• D.T. Hoang, O. Chernomor, A. von Haeseler, B.Q. Minh, and L.S. Vinh (2018) UFBoot2: Improving the ultrafast bootstrap approximation. *Mol. Biol. Evol.*, 35:518–522. https://doi.org/10.1093/molbev/msx281

If you used posterior mean site frequency model please cite:

• H.C. Wang, B.Q. Minh, S. Susko and A.J. Roger (2018) Modeling site heterogeneity with posterior mean site frequency profiles accelerates accurate phylogenomic estimation. Syst. Biol., 67:216-235. https://doi.org/10.1093/sysbio/syx068

If you used ModelFinder please cite:

• S. Kalyaanamoorthy, B.Q. Minh, T.K.F. Wong, A. von Haeseler, and L.S. Jermiin (2017) ModelFinder: Fast Model Selection for Accurate Phylogenetic Estimates, *Nature Methods*, 14:587–589. https://doi.org/10.1038/nmeth. 4285

If you performed tree reconstruction please cite:

• L.-T. Nguyen, H.A. Schmidt, A. von Haeseler, and B.Q. Minh (2015) IQ-TREE: A fast and effective stochastic algorithm for estimating maximum likelihood phylogenies. *Mol. Biol. Evol.*, 32:268-274. https://doi.org/10.1093/molbev/msu300

If you used partition models e.g., for phylogenomic analysis please cite:

• O. Chernomor, A. von Haeseler, and B.Q. Minh (2016) Terrace aware data structure for phylogenomic inference from supermatrices. Syst. Biol., 65:997-1008. https://doi.org/10.1093/sysbio/syw037

If you used the IQ-TREE web server please cite:

• J. Trifinopoulos, L.-T. Nguyen, A. von Haeseler, and B.Q. Minh (2016) W-IQ-TREE: a fast online phylogenetic tool for maximum likelihood analysis. Nucleic Acids Res., 44 (W1):W232-W235. https://doi.org/10.1093/nar/gkw256

1.7 Development team

IQ-TREE is actively developed by:

Bui Quang Minh, Team leader, Designs and implements software core, tree search, ultrafast bootstrap, model selection.

Robert Lanfear, Co-leader, Model selection.

Olga Chernomor, *Developer*, Implements partition models.

Heiko A. Schmidt, Developer, Integrates TREE-PUZZLE features.

Dominik Schrempf, *Developer*, Implements polymorphism-aware models (PoMo).

Michael Woodhams, Developer, Implements Lie Markov models.

Diep Thi Hoang, *Developer*, Improves ultrafast bootstrap.

Arndt von Haeseler, Advisor.

Past members:

Lam Tung Nguyen, Developer, Implemented tree search algorithm.

Jana Trifinopoulos, Developer, Implemented web service.

1.8 Credits and acknowledgements

Some parts of the code were taken from the following packages/libraries: Phylogenetic likelihood library, TREE-PUZZLE, BIONJ, Nexus Class Library, Eigen library, SPRNG library, Zlib library, gzstream library, vectorclass library, GNU scientific library.

IQ-TREE was funded by the Austrian Science Fund (grant no. I760-B17 from 2012-2015 and I 2508-B29 from 2016-2017), the University of Vienna (Initiativkolleg I059-N from 2012-2015), the Australian National University (2018-onwards), Chan-Zuckerberg Initiative (2020).

Chapter 2

Getting started

Recommended for users who just downloaded IQ-TREE the first time.

2.1 IQ-TREE web server

The quickest is to try out the IQ-TREE web server, where you only need to upload an alignment, choose the options and start the analysis. There is a web server tutorial here.

If you want to use the command-line version, follow the instructions below.

2.2 Installation

For reasons of performance, IQ-TREE is a command-line program, i.e., IQ-TREE needs to be run from a terminal/console (command prompt under Windows).

2.2.1 Packages and bundles

Ready made IQ-TREE packages are available for the following distributions/repositories (command to install iqtree):

- Debian Linux: sudo apt-get install iqtree
- Arch Linux (AUR)
- Anaconda: conda install -c bioconda iqtree
- Homebrew: brew install brewsci/bio/iqtree
- FreeBSD: pkg install iqtree

2.2.2 Manual download

IQ-TREE for Windows, MacOSX and Linux can be downloaded here.

- Extract the .zip (Windows, MacOSX) or .tar.gz (Linux) file to create a directory iqtree-X.Y.Z-OS, where X.Y.Z is the version number and OS is the operating system (Windows, MacOSX or Linux).
- You will find the executable in the bin sub-folder. Copy all files in bin folder to your system search path such that you can run IQ-TREE by entering iqtree from the Terminal.

Now you need to open a Terminal (or Console) to run IQ-TREE. See below the guide for Windows users and Mac OS X users.

2.3 For Windows users

Since IQ-TREE is a command-line program, clicking on iqtree.exe will not work. You have to open a Command Prompt for all analyses:

- 1. Click on "Start" menu (below left corner of Windows screen).
- 2. Type in "cmd" and press "Enter". It will open the Command Prompt window (see Figure below).
- 3. Go into IQ-TREE folder you just extracted by entering e.g. (assuming you downloaded version 1.5.0):

```
cd Downloads\iqtree-1.5.0-Windows
```

(assuming that IQ-TREE was downloaded into Downloads folder).

4. Now you can try an example run by entering:

```
bin\iqtree -s example.phy
```

(example.phy is the example PHYLIP alignment file also extracted in that folder).

5. After a few seconds, IQ-TREE finishes and you may see something like this:

Congratulations; -) You have finished the first IQ-TREE analysis.

2.4 For Mac OS X users

1. Open the "Terminal", e.g., by clicking on the Spotlight icon (top-right corner), typing "terminal" and press "Enter".

```
Command Prompt
    timate model paramete
Initial log-likeliho
timal log-likelihood:
                           es: A: 0.355
imization took
ND: -23117.034
                                                        C: 0.228
                                                                                               Т: 0.225
    tal number of
       l number of iterations: 191
time used for tree search: 2.188 sec (Ø
-clock time used for tree search: 2.578
1 CPU time used: 2.203 sec (Øh:0m:2s)
                             iterations: 101
                                                                                                                                                         Ε
                                                                                  sec (0h:0m:2s)
          CPU time used: 2.203 sec (0h:0m:2s)
wall-clock time used: 3.125 sec (0h:0m:3s)
Analysis results written to:
IQ-TREE report:
Maximum-likelihood tree:
                                                              example.phy.iqtree
example.phy.treefile
example.phy.mldist
example.phy.log
Date and Time: Mon Oct 24 12:57:42 2016
C:\Users\minh\Downloads\igtree-1.5.0-Windows>
```

Figure 2.1: Windows command prompt

2. Go into IQ-TREE folder by entering (assuming you downloaded version 1.5.0):

```
cd Downloads/iqtree-1.5.0-MacOSX
```

(assuming that IQ-TREE was downloaded into Downloads folder).

3. Now you can try an example run by entering

```
bin/iqtree -s example.phy
```

(example.phy is the example PHYLIP alignment file also extracted in that folder).

4. After a few seconds, IQ-TREE finishes and you may see something like this:

Congratulations ;-) You have finished the first IQ-TREE analysis.

2.5 Minimal command-line examples

A few typically analyses are listed in the following. Note that it is assumed that iqtree executable was already copied into system search path. If not, please replace iqtree with actual path to executable.

• Infer maximum-likelihood tree from a sequence alignment (example.phy) with the best-fit model automatically selected by ModelFinder:

```
igtree-1.5.0-MacOSX — -bash — 80×24
                      ~/Downloads/iqtree-1.5.0-MacOSX — -bash
                                                                                 =
Estimate model parameters (epsilon = 0.010)
1. Initial log-likelihood: -23117.037
Optimal log-likelihood: -23117.034
Rate parameters: A-C: 1.000 A-G: 2.440 A-T: 1.000 C-G: 1.000 C-T: 2.440 G-
T: 1.000
Base frequencies: A: 0.355 C: 0.228 G: 0.192 T: 0.225
Parameters optimization took 1 rounds (0.005 sec)
BEST SCORE FOUND : -23117.034
Total tree length: 2.805
Total number of iterations: 101
CPU time used for tree search: 2.394 sec (0h:0m:2s)
Wall-clock time used for tree search: 2.414 sec (0h:0m:2s)
Total CPU time used: 2.445 sec (0h:0m:2s)
Total wall-clock time used: 2.468 sec (0h:0m:2s)
Analysis results written to:
  IQ-TREE report:
                                 example.phy.iqtree
  Maximum-likelihood tree:
                                 example.phy.treefile
  Likelihood distances:
                                 example.phy.mldist
  Screen log file:
                                 example.phy.log
Date and Time: Mon Oct 24 12:59:31 2016
12:59:31-minh@pythagoras:~/Downloads/iqtree-1.5.0-MacOSX$
```

Figure 2.2: Mac terminal

```
iqtree -s example.phy
```

• Infer maximum-likelihood tree using GTR+I+G model:

```
iqtree -s example.phy -m GTR+I+G
```

• Perform ModelFinder without subsequent tree inference:

```
iqtree -s example.phy -m MF
```

• Combine ModelFinder, tree search, SH-aLRT test and ultrafast bootstrap with 1000 replicates:

```
iqtree -s example.phy -B 1000 -alrt 1000 # for version 1.x, change -B to -bb
```

• Perform edge-linked proportional partition model (example.nex):

```
iqtree -s example.phy -p example.nex # for version 1.x change -p to -spp
```

• Find best partition scheme by possibly merging partitions:

```
iqtree -s example.phy -p example.nex -m MF+MERGE
```

• Find best partition scheme followed by tree inference and ultrafast bootstrap:

```
iqtree -s example.phy -p example.nex -m MFP+MERGE -B 1000 # for version 1.x change -B to -bb
```

• Use 4 CPU cores to speed up computation:

```
iqtree -s example.phy -T 4
# for version 1.x change -T to -nt
```

• Determine the best number of cores to use under GTR+R4 model:

```
iqtree -s example.phy -m GTR+R4 -T AUTO # for version 1.x change -T to -nt
```

• Show all available options:

```
iqtree -h
```

2.6 Where to go from here?

Please continue with the **Beginner's tutorial** for further usages.

Chapter 3

Web server tutorial

A quick starting guide for the IQ-TREE Web Server.

This tutorial explains briefly how to use the IQ-TREE web server for fast online phylogenetic inference, accessible at iqtree.cibiv.univie.ac.at.

There are three tabs: Tree Inference, Model Selection and Analysis Results.

3.1 Tree Inference

Tree Inference provides the most frequently used features of IQ-TREE and allows users to carry out phylogenetic analysis on a multiple sequence alignment (MSA). In the most basic case, no more than an MSA file is required to submit the job. Without further input, IQ-TREE will run with the default parameters and auto-detect the sequence type as well as the best-fitting substitution model. Additionally, Ultrafast Bootstrap (Hoang et al., 2018) and the SH-aLRT branch test (Guindon et al., 2010) will be performed.

You can either try out the web server with an example alignment by ticking the corresponding box or upload your own alignment file. By clicking on 'Browse' a dialog will open where you can select your MSA; the file formats Phylip, Fasta, Nexus, Clustal and MSF are supported.

After that you can submit the job. If you provide an email address, a notification will be sent to you once the job is finished. In case you don't specify an email address, you will receive a link in the next step; you can bookmark this link to retrieve your results after the job is finished.

IQ-TREE web server: fas	at and accurate phylogenetic trees under maximum likelihood	
Server load: 9%	Nguyen LT, Schmidt HA, von Haeseler A, Minh BQ (2015) Mol. Biol. Evol., 32:268-274 Minh BQ, Nguyen MAT, and von Haeseler A (2013) Mol. Biol. Evol. 30:1188-1195	
Tree Inference Model Sele		
_ Input Data		
Alignment file :	Browse Show example >	
Use example alignment:	Yes Phylip, Fasta, Nexus, Clustal or MSF format	
Sequence type:	Auto-detect	
Partition file:	This field is optional. Browse Show example >	
Partition type:	Edge-linked	
	© Edge-unlinked	
Substitution Model O	ptions	
Substitution model:	Auto ?	
FreeRate heterogeneity:	Yes [+R]	
Rate heterogeneity:	Gamma [+G] Invar. sites [+I]	
#rate categories:	4 💠	
State frequency:	Empirical AA model ML-optimized (from data) (from matrix)	
	○ Codon F1x4 ○ Codon F3x4	
Ascertainment bias correction:	Yes [+ASC]	
Branch Support Anal	ysis	
Bootstrap analysis:	None Ultrafast Standard #replicates: 1000	
Create .ufboot file:	Yes (write bootstrap trees to .ufboot file)	
Maximum iterations::	1000 🗘	
Minimum correlation	0.99 🗘	
coefficient:		
Single branch tests:	?	
SH-aLRT branch test:	No Yes #replicates: 1000	
Approximate Bayes test:	Yes	
 IQ-TREE Search Par	ameters —	
Perturbation strength:	0.5	
Stopping rule:	100 💠	
Email (optional, to retrieve results):	SUBMIT JOB	
— ▼ Tree Topology Eva	luation and Tests	
Please visit the IO-TREE ho	mepage for more information or if you want to download the main software!	

Figure 3.1: Tree Inference Tab

3.2 Model Selection

IQ-TREE supports a wide range of substitution models for DNA, protein, codon, binary and morphological alignments. In case you do not know which model is appropriate for your data, IQ-TREE can automatically determine the best-fit model for your alignment. Use the Model Selection tab if you only want to find the best-fit model without doing tree reconstruction.



Figure 3.2: Model Selection Tab

Like with Tree Inference, the only obligatory input is a multiple sequence alignment. You can either upload your own **alignment file** or use the **example alignment** to try out the web server and then **submit the job**.

3.3 Analysis Results

In the tab Analysis Results you can monitor your jobs. With our example file, a run will only take a few seconds, depending on the server load. For your own alignments

the CPU time limit is 24 hours. If you provided an email address when submitting the job, you will get an email once it is finished.



Figure 3.3: Analysis Results

Once a job is finished, you can select it by checking the corresponding box and then **download the selected jobs** as a zip file. This zip file will contain the results of your run, including the **Run Log** and the **Full Result** which are also accessible in the webserver.

Suffix	Explanation
.iqtree	Full result of the run, this is the main report file

Suffix	Explanation
.log .treefile	Run log Maximum likelihood tree in NEWICK format, can be visualized with
.svg	treeviewer programs Graphical tree representation in SVG format, done with ete view
.pdf	Graphical tree representation in PDF format, done with ete view
.contree	Consensus tree with assigned branch supports where branch lengths are optimized on the original alignment; printed if Ultrafast
.ckp.gz	Bootstrap is selected Checkpoint file; included if a job was stopped because of RAM/CPU limits

NOTE: Jobs which require more than 24 hours or 1GB RAM will be stopped. In such a case, you can download the stopped job and resume the run from the last checkpoint on your local PC as described here.

Chapter 4

Beginner's tutorial

This tutorial gives a beginner's guide.

Please first download and install the binary for your platform. For the next steps, the folder containing your iqtree executable should be added to your PATH environment variable so that IQ-TREE can be invoked by simply entering iqtree at the command-line. Alternatively, you can also copy iqtree binary into your system search.

TIP: For quick overview of all supported options in IQ-TREE, run the command iqtree -h.

4.1 Input data

IQ-TREE takes as input a *multiple sequence alignment* and will reconstruct an evolutionary tree that is best explained by the input data. If you have raw (unaligned) sequences, you need to first run an alignment program like MAFFT or ClustalW to align the sequences, before feeding them into IQ-TREE.

The input alignment can be in various common formats. For example the PHYLIP format which may look like:

7 28		
Frog	AAATTTGGTCCTGTGATTCAGCAGTGAT	
Turtle	CTTCCACACCCCAGGACTCAGCAGTGAT	
Bird	CTACCACACCCCAGGACTCAGCAGTAAT	
Human	CTACCACACCCCAGGAAACAGCAGTGAT	
Cow	CTACCACACCCCAGGAAACAGCAGTGAC	
Whale	CTACCACGCCCAGGACACAGCAGTGAT	

Mouse CTACCACACCCCAGGACTCAGCAGTGAT

This tiny alignment contains 7 DNA sequences from several animals with the sequence length of 28 nucleotides. IQ-TREE also supports other file formats such as FASTA, NEXUS, CLUSTALW. The FASTA file for the above example may look like this:

>Frog
AAATTTGGTCCTGTGATTCAGCAGTGAT
>Turtle
CTTCCACACCCCAGGACTCAGCAGTGAT
>Bird
CTACCACACCCCAGGACTCAGCAGTAAT
>Human
CTACCACACCCCAGGAAACAGCAGTGAT
>Cow
CTACCACACCCCAGGAAACAGCAGTGAC
>Whale
CTACCACGCCCCAGGACACAGCAGTGAT
>Mouse
CTACCACACCCCAGGACTCAGCAGTGAT

TIP: From version 2 you can input a directory of alignment files. IQ-TREE 2 will load and concatenate all alignments within the directory, eliminating the need for users to manually perform this step.

4.2 First running example

From the download there is an example alignment called example.phy in PHYLIP format. This example contains parts of the mitochondrial DNA sequences of several animals (Source: Phylogenetic Handbook).

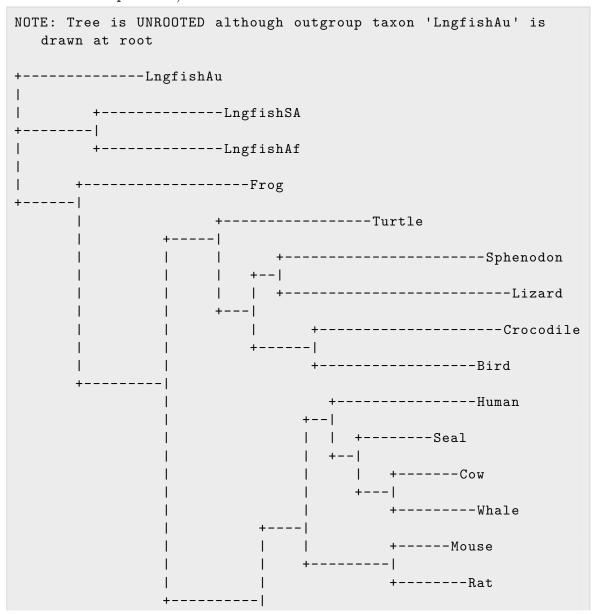
You can now start to reconstruct a maximum-likelihood tree from this alignment by entering (assuming that you are now in the same folder with example.phy):

```
iqtree -s example.phy
```

-s is the option to specify the name of the alignment file that is always required by IQ-TREE to work. At the end of the run IQ-TREE will write several output files including:

- example.phy.iqtree: the main report file that is self-readable. You should look at this file to see the computational results. It also contains a textual representation of the final tree (see below).
- example.phy.treefile: the ML tree in NEWICK format, which can be visualized by any supported tree viewer programs like FigTree or iTOL.
- example.phy.log: log file of the entire run (also printed on the screen). To report bugs, please send this log file and the original alignment file to the authors.

For this example data the resulting maximum-likelihood tree may look like this (extracted from .iqtree file):



```
| +-----Platypus
+---|
+----Opossum
```

This makes sense as the mammals (Human to Opossum) form a clade, whereas the reptiles (Turtle to Crocodile) and Bird form a separate sister clade. Here the tree is drawn at the *outgroup* Lungfish which is more accient than other species in this example. However, please note that IQ-TREE always produces an **unrooted tree** as it knows nothing about this biological background; IQ-TREE simply draws the tree this way as LngfishAu is the first sequence occurring in the alignment.

During the example run above, IQ-TREE periodically wrote to disk a checkpoint file example.phy.ckp.gz (gzip-compressed to save space). This checkpoint file is used to resume an interrupted run, which is handy if you have a very large data sets or time limit on a cluster system. If the run did not finish, invoking IQ-TREE again with the very same command line will recover the analysis from the last stopped point, thus saving all computation time done before.

If the run successfully completed, running again will issue an error message:

```
ERROR: Checkpoint (example.phy.ckp.gz) indicates that a previous run successfully finished
Use `-redo` option if you really want to redo the analysis and overwrite all output files.
```

This prevents lost of data if you accidentally re-run IQ-TREE. However, if you really want to re-run the analysis and overwrite all previous output files, use -redo option:

```
iqtree -s example.phy -redo
```

Finally, the default prefix of all output files is the alignment file name. You can change the prefix with:

```
iqtree -s example.phy --prefix myprefix
# for version 1.x change --prefix to -pre
```

This prevents output files being overwritten when you perform multiple analyses on the same alignment within the same folder.

4.3 Choosing the right substitution model

NOTE: If you use model selection please cite the following paper:

S. Kalyaanamoorthy, B.Q. Minh, T.K.F. Wong, A. von Haeseler, and L.S. Jermiin (2017) ModelFinder: fast model selection for accurate phylogenetic estimates. *Nat. Methods*, 14:587–589. DOI: 10.1038/nmeth.4285

IQ-TREE supports a wide range of substitution models for DNA, protein, codon, binary and morphological alignments. If you do not know which model is appropriate for your data, you can use ModelFinder to determine the best-fit model:

```
iqtree -s example.phy -m MFP
# change -m MFP to -m TEST to resemble jModelTest/ProtTest
```

-m is the option to specify the model name to use during the analysis. The special MFP key word stands for *ModelFinder Plus*, which tells IQ-TREE to perform ModelFinder and the remaining analysis using the selected model. ModelFinder computes the log-likelihoods of an initial parsimony tree for many different models and the *Akaike information criterion* (AIC), corrected Akaike information criterion (AICc), and the Bayesian information criterion (BIC). Then ModelFinder chooses the model that minimizes the BIC score (you can also change to AIC or AICc by adding the option -AIC or -AICc, respectively).

TIP: Starting with version 1.5.4, -m MFP is the default behavior. Thus, this run is equivalent to iqtree -s example.phy.

Here, IQ-TREE will write an additional file:

• example.phy.model: log-likelihoods for all models tested. It serves as a checkpoint file to recover an interrupted model selection.

If you now look at example.phy.iqtree you will see that IQ-TREE selected TIM2+I+G4 as the best-fit model for this example data. Thus, for additional analyses you do not have to perform the model test again and can use the selected model:

```
iqtree -s example.phy -m TIM2+I+G
```

Sometimes you only want to find the best-fit model without doing tree reconstruction, then run:

```
iqtree -s example.phy -m MF
# change -m MF to -m TESTONLY to resemble jModelTest/ProtTest
```

By default, the maximum number of categories is limitted to 10 due to computational reasons. If your sequence alignment is long enough, then you can increase this upper limit with the cmax option:

```
iqtree -s example.phy -m MF -cmax 15
```

will test +R2 to +R15 instead of at most +R10.

To reduce computational burden, one can use the option -mset to restrict the testing procedure to a subset of base models instead of testing the entire set of all available models. For example, -mset WAG,LG will test only models like WAG+... or LG+.... Another useful option in this respect is -msub for AA data sets. With -msub nuclear only general AA models are included, whereas with -msub viral only AA models for viruses are included.

If you have enough computational resource, you can perform a thorough and more accurate analysis that invokes a full tree search for each model considered via the -mtree option:

```
iqtree -s example.phy -m MF -mtree
```

4.4 Using codon models

IQ-TREE supports a number of codon models. You need to input a protein-coding DNA alignment and specify codon data by option -st CODON (Otherwise, IQ-TREE applies DNA model because it detects that your alignment has DNA sequences):

```
iqtree -s coding_gene.phy -st CODON
```

If your alignment length is not divisible by 3, IQ-TREE will stop with an error message. IQ-TREE will group sites 1,2,3 into codon site 1; sites 4,5,6 to codon site 2; etc. Moreover, any codon, which has at least one gap/unknown/ambiguous nucleotide, will be treated as unknown codon character.

Note that the above command assumes the standard genetic code. If your sequences follow 'The Invertebrate Mitochondrial Code' (see the full list of supported genetic code here), then run:

```
iqtree -s coding_gene.phy -st CODON5
```

4.5 Binary, morphological and SNP data

Binary alignments contain sequences with characters 0 and 1, which can be in any common formats supported by IQ-TREE, for example, in PHYLIP format:

```
4 6

S1 010101

S2 110011

S3 0--100

S4 10--10
```

Morphological alignments have an extended character alphabet of 0-9 and A-Z (for states 10-31). For example (PHYLIP format):

```
4 10

S1 0123401234

S2 03---20432

S3 3202-04--0

S4 4230120340
```

IQ-TREE will automatically determine the sequence type and the alphabet size. To run IQ-TREE on such alignments:

```
iqtree -s morphology.phy
```

or

```
iqtree -s morphology.phy -st MORPH
```

IQ-TREE implements to two morphological ML models: MK and ORDERED. Morphological data typically do not have constant (uninformative) sites. In such cases, you should apply ascertainment bias correction model by e.g.:

```
iqtree -s morphology.phy -st MORPH -m MK+ASC
```

You can again select the best-fit binary/morphological model:

```
iqtree -s morphology.phy -st MORPH
```

For SNP data (DNA) that typically do not contain constant sites, you can explicitly tell the model to include ascertainment bias correction:

```
iqtree -s SNP_data.phy -m GTR+ASC
```

You can explicitly tell model testing to only include +ASC model with:

```
iqtree -s SNP_data.phy -m MFP+ASC
```

4.6 Assessing branch supports with ultrafast bootstrap approximation

To overcome the computational burden required by the nonparametric bootstrap, IQ-TREE introduces an ultrafast bootstrap approximation (UFBoot) (Minh et al., 2013; Hoang et al., 2018) that is orders of magnitude faster than the standard procedure and provides relatively unbiased branch support values. Citation for UFBoot:

```
D.T. Hoang, O. Chernomor, A. von Haeseler, B.Q. Minh, and L.S. Vinh (2018) UFBoot2: Improving the ultrafast bootstrap approximation. Mol. Biol. Evol., 35:518–522. https://doi.org/10.1093/molbev/msx281
```

To run UFBoot:

```
iqtree -s example.phy -m TIM2+I+G -B 1000 # for version 1.x change -B to -bb
```

-B specifies the number of bootstrap replicates where 1000 is the minimum number recommended. The section MAXIMUM LIKELIHOOD TREE in example.phy.iqtree shows a textual representation of the maximum likelihood tree with branch support values in percentage. The NEWICK format of the tree is printed to the file example.phy. treefile. In addition, IQ-TREE writes the following files:

- example.phy.contree: the consensus tree with assigned branch supports where branch lengths are optimized on the original alignment.
- example.phy.splits.nex: support values in percentage for all splits (bipartitions), computed as the occurrence frequencies in the bootstrap trees. This file can be viewed with the program SplitsTree to explore the conflicting signals in the data. So it is more informative than consensus tree, e.g. you can see how highly supported the second best conflicting split is, which had no chance to enter the consensus tree.
- example.phy.splits (if using -wsplits option): This file contains the same information as example.phy.splits.nex but in star-dot format.

NOTE: UFBoot support values have a different interpretation to the standard bootstrap. Refer to FAQ: UFBoot support values interpretation for more information.

4.7 Reducing impact of severe model violations with UFBoot

Starting with IQ-TREE version 1.6 we provide a new option -bnni to reduce the risk of overestimating branch supports with UFBoot due to severe model violations. With this option UFBoot will further optimize each bootstrap tree using a hill-climbing nearest neighbor interchange (NNI) search based directly on the corresponding bootstrap alignment.

Thus, if severe model violations are present in the data set at hand, users are advised to append -bnni to the regular UFBoot command:

```
iqtree -s example.phy -m TIM2+I+G -B 1000 -bnni # for version 1.x change -B to -bb
```

4.8 Assessing branch supports with standard nonparametric bootstrap

The standard nonparametric bootstrap is invoked by the -b option:

```
iqtree -s example.phy -m TIM2+I+G -b 100
```

-b specifies the number of bootstrap replicates where 100 is the minimum recommended number. The output files are similar to those produced by the UFBoot procedure.

4.9 Assessing branch supports with single branch tests

IQ-TREE provides an implementation of the SH-like approximate likelihood ratio test (Guindon et al., 2010). To perform this test, run:

```
iqtree -s example.phy -m TIM2+I+G -alrt 1000
```

-alrt specifies the number of bootstrap replicates for SH-aLRT where 1000 is the minimum number recommended.

IQ-TREE also supports other tests such as the aBayes test (Anisimova et al., 2011) and the local bootstrap test (Adachi and Hasegawa, 1996b). See single branch tests for more details.

You can also perform both SH-aLRT and the ultrafast bootstrap within one single run:

```
iqtree -s example.phy -m TIM2+I+G -alrt 1000 -B 1000 # for version 1.x change -B to -bb
```

The branches of the resulting .treefile will be assigned with both SH-aLRT and UFBoot support values, which are readable by any tree viewer program like FigTree, Dendroscope or ETE. You can also look at the textual tree figure in .iqtree file:

```
NOTE: Tree is UNROOTED although outgroup taxon 'LngfishAu' is
  drawn at root
Numbers in parentheses are SH-aLRT support (%) / ultrafast
  bootstrap support (%)
----LngfishAu
     +-----LngfishSA
+----| (100/100)
     +----LngfishAf
     +----Frog
+----| (99.8/100)
     Т
     +--| (85/72)
       Crocodile
                     +---| (96.5/97)
                          +----Bird
                 +--| (39/51)
                   +----Sphenodon
             +----| (98.2/99)
                 +-----Lizard
     +----| (100/100)
                           +----Human
                          +--| (92.3/93)
                           | +----Seal
```

From this figure, the branching patterns within reptiles are poorly supported (e.g. Sphenodon with SH-aLRT: 39%, UFBoot: 51% and Turtle with SH-aLRT: 85%, UFBoot: 72%) as well as the phylogenetic position of Seal within mammals (SH-aLRT: 68.3%, UFBoot: 75%). Other branches appear to be well supported.

4.10 Utilizing multi-core CPUs

IQ-TREE can utilize multiple CPU cores to speed up the analysis. A complement option -T (or -nt for version 1.x) allows specifying the number of CPU cores to use. For example:

```
iqtree -s example.phy -m TIM2+I+G -T 2
# for version 1.x change -T to -nt
```

Here, IQ-TREE will use 2 CPU cores to perform the analysis.

Note that the parallel efficiency is only good for long alignments. A good practice is to use -T AUTO to determine the best number of cores:

```
iqtree -s example.phy -m TIM2+I+G -T AUTO # for version 1.x change -T to -nt
```

Then while running IQ-TREE may print something like this on to the screen:

```
Threads: 3 / Time: 3.381 sec / Speedup: 2.367 / Efficiency: 79% / LogL: -22217

Threads: 4 / Time: 4.385 sec / Speedup: 1.825 / Efficiency: 46% / LogL: -22217

BEST NUMBER OF THREADS: 3
```

Therefore, I would only use 3 cores for this example data. For later analysis with your same data set, you can stick to the determined number.

Depending on the compute system it might be required to set an upper limit of CPU cores that can automatically be assigned. Use the -ntmax option to do so. For instance

```
iqtree -s example.phy -m TIM2+I+G -T AUTO -ntmax 8 # for version 1.x change -T to -nt
```

does the same as above, but only allows to use up to 8 CPU cores. By default all cores of the current machine would be used as maximum.

4.11 Where to go from here?

Once confident enough you can go on with a **more advanced tutorial**, which covers topics like phylogenomic (multi-gene) analyses using partition models or mixture models.

Chapter 5

Advanced tutorial

Recommended for experienced users to explore more features.

To get started, please read the Beginner's Tutorial first if not done so yet.

5.1 Partitioned analysis for multi-gene alignments

If you used partition model in a publication please cite:

O. Chernomor, A. von Haeseler, and B.Q. Minh (2016) Terrace aware data structure for phylogenomic inference from supermatrices. *Syst. Biol.*, 65:997-1008. https://doi.org/10.1093/sysbio/syw037

In the partition model, you can specify a substitution model for each gene/character set. IQ-TREE will then estimate the model parameters separately for every partition. Moreover, IQ-TREE provides edge-linked or edge-unlinked branch lengths between partitions:

- -q partition_file: all partitions share the same set of branch lengths (like -q option of RAxML).
- -p partition_file (-spp in version 1.x): like above but allowing each partition to have its own evolution rate.
- -Q partition_file (-sp in version 1.x): each partition has its own set of branch lengths (like combination of -q and -M options in RAxML) to account for, e.g. heterotachy (Lopez et al., 2002).

NOTE: -p is recommended for typical analysis. -q is unrealistic and -Q is very parameter-rich. One can also perform all three analyses and compare e.g. the BIC scores to determine the best-fit partition model.

IQ-TREE supports RAxML-style and NEXUS partition input file. The RAxML-style partition file may look like:

```
DNA, part1 = 1-100
DNA, part2 = 101-384
```

If your partition file is called example.partitions, the partition analysis can be run with:

```
iqtree -s example.phy -p example.partitions -m GTR+I+G # for version 1.x change -p to -spp
```

Note that using RAxML-style partition file, all partitions will use the same rate heterogeneity model given in -m option (+I+G in this example). If you want to specify, say, +G for the first partition and +I+G for the second partition, then you need to create the more flexible NEXUS partition file. This file contains a SETS block with CharSet and CharPartition commands to specify individual genes and the partition, respectively. For example:

```
#nexus
begin sets;
    charset part1 = 1-100;
    charset part2 = 101-384;
    charpartition mine = HKY+G:part1, GTR+I+G:part2;
end;
```

If your NEXUS file is called example.nex, then you can use the option -p to input the file as following:

```
iqtree -s example.phy -p example.nex # for version 1.x change -p to -spp
```

Here, IQ-TREE partitions the alignment example.phy into 2 sub-alignments named part1 and part2 containing sites (columns) 1-100 and 101-384, respectively. Moreover, IQ-TREE applies the subtitution models HKY+G and GTR+I+G to part1 and part2, respectively. Substitution model parameters and trees with branch lengths can be found in the result file example.nex.iqtree.

Moreover, the CharSet command allows to specify non-consecutive sites with e.g.:

```
charset part1 = 1-100 200-384;
```

That means, part1 contains sites 1-100 and 200-384 of the alignment. Another example is:

```
charset part1 = 1-100\3;
```

for extracting sites 1,4,7,...,100 from the alignment. This is useful for getting codon positions from the protein-coding alignment.

5.2 Partitioned analysis with mixed data

IQ-TREE also allows combining sub-alignments from different alignment files, which is helpful if you want to combine mixed data (e.g. DNA and protein) in a single analysis. Here is an example for mixing DNA, protein and codon models:

Here, part1 and part2 contain sub-alignments from alignment file dna.phy, whereas part3 and part4 are loaded from alignment file prot.phy and part5 from codon.phy. The: is needed to separate the alignment file name and site specification. Note that, for convenience * in part5 specification means that part5 corresponds to the entire alignment codon.phy.

TIP: For part5 the CODON keyword is specified so that IQ-TREE will apply a codon model. Moreover, this implicitly assumes the standard genetic code. If you want to use another genetic code, append CODON with the code ID described here

Because the alignment file names are now specified in this NEXUS file, you can omit the -s option:

```
iqtree -p example.nex
# for version 1.x change -p to -spp
```

Note that aln.phy and prot.phy does not need to contain the same set of sequences. For instance, if some sequence occurs in aln.phy but not in prot.phy, IQ-TREE will treat the corresponding parts of sequence in prot.phy as missing data. For your convenience IQ-TREE writes the concatenated alignment into the file example.nex. conaln.

5.3 Choosing the right partitioning scheme

ModelFinder implements a greedy strategy (Lanfear et al., 2012) that starts with the full partition model and subsequentially merges two genes until the model fit does not increase any further:

```
iqtree -s example.phy -p example.nex -m MFP+MERGE
# for version 1.x change -p to -spp
```

Note that this command considers the FreeRate heterogeneity model (see model selection tutorial). If you want to resemble PartitionFinder by just considering the invariable site and Gamma rate heterogeneity (thus saving computation times), then run:

```
iqtree -s example.phy -p example.nex -m TESTMERGE
# for version 1.x change -p to -spp
```

After ModelFinder found the best partition, IQ-TREE will immediately start the tree reconstruction under the best-fit partition model. Sometimes you only want to find the best-fit partition model without doing tree reconstruction, then run:

```
iqtree -s example.phy -p example.nex -m MF+MERGE # for version 1.x change -p to -spp
```

To resemble PartitionFinder and save time:

```
iqtree -s example.phy -p example.nex -m TESTMERGEONLY # for version 1.x change -p to -spp
```

To reduce the computational burden IQ-TREE implements the *relaxed hierarchical clustering algorithm* (Lanfear et al., 2014), which is invoked via -rcluster option:

```
iqtree -s example.phy -p example.nex -m MF+MERGE -rcluster 10 # for\ version\ 1.x\ change\ -p\ to\ -spp
```

to only examine the top 10% partition merging schemes (similar to the --rcluster-percent 10 option in PartitionFinder).

5.4 Ultrafast bootstrapping with partition model

IQ-TREE can perform the ultrafast bootstrap with partition models by e.g.,

```
iqtree -s example.phy -p example.nex -B 1000 # for version 1.x change -p to -spp and -B to -bb
```

Here, IQ-TREE will resample the sites *within* partitions (i.e., the bootstrap replicates are generated per partition separately and then concatenated together). The same holds true if you do the standard nonparametric bootstrap.

IQ-TREE supports the partition-resampling strategy as suggested by (Nei et al., 2001):

```
iqtree -s example.phy -p example.nex -B 1000 --sampling GENE
# for version 1.x change -p to -spp and -B to -bb and --sampling
to -bsam
```

to resample partitions instead of sites. Moreover, IQ-TREE allows an even more complicated strategy: resampling partitions and then sites within resampled partitions (Gadagkar et al., 2005). This may help to reduce false positives (i.e. wrong branch receiving 100% support):

```
iqtree -s example.phy -p example.nex -B 1000 --sampling GENESITE # for version 1.x change -p to -spp and -B to -bb and --sampling to -bsam
```

5.5 Constrained tree search

IQ-TREE supports constrained tree search via -g option, so that the resulting tree must obey a constraint tree topology. The constraint tree can be multifurcating and need not to contain all species. To illustrate, let's return to the first running example, where we want to force Human grouping with Seal whereas Cow with Whale. If you use the following constraint tree (NEWICK format):

```
((Human, Seal), (Cow, Whale));
```

Save this to a file example.constr0 and run:

```
iqtree -s example.phy -m TIM2+I+G -g example.constr0 --prefix
  example.constr0
# for version 1.x change --prefix to -pre
```

(We use a prefix in order not to overwrite output files of the previous run). The resulting part of the tree extracted from example.constr0.iqtree looks exactly like a normal unconstrained tree search:

This is the correct behavior: although Human and Seal are not monophyletic, this tree indeed satisfies the constraint, because the induced subtree separates (Human,Seal) from (Cow,Whale). This comes from the fact that the tree is *unrooted*. If you want them to be sister groups, then you need to include *outgroup* taxa into the constraint tree. For example:

```
((Human, Seal), (Cow, Whale), Mouse);
```

Save this to example.constr1 and run:

```
iqtree -s example.phy -m TIM2+I+G -g example.constr1 --prefix
  example.constr1
# for version 1.x change --prefix to -pre
```

The resulting part of the tree is then:

```
+-----Human
+--|
| +----Seal
+--|
| | +----Cow
| +--|
```

```
| +-----Whale
+----|
| | +---Mouse
| +------|
| +-----Rat
```

which shows the desired effect.

NOTE: While this option helps to enforce the tree based on prior knowledge, it is advised to always perform tree topology tests to make sure that the resulting constrained tree is NOT significantly worse than an unconstrained tree! See tree topology tests and testing constrained tree below for a guide how to check this.

5.6 Tree topology tests

IQ-TREE can compute log-likelihoods of a set of trees passed via the -z option:

```
iqtree -s example.phy -z example.treels -m GTR+G
```

assuming that example.treels is an existing file containing a set of trees in NEWICK format. IQ-TREE first reconstructs an ML tree. Then, it will compute the log-likelihood of the trees in example.treels based on the estimated parameters done for the ML tree. example.phy.iqtree will have a section called USER TREES that lists the tree IDs and the corresponding log-likelihoods. The trees with optimized branch lengths can be found in example.phy.treels.trees If you only want to evaluate the trees without reconstructing the ML tree, you can run:

```
iqtree -s example.phy -z example.treels -n 0
```

Here, the number of search iterations is set to 0 (-n 0), such that model parameters are quickly estimated from an initial parsimony tree, which is normally accurate enough for our purpose. If you, however, prefer to estimate model parameters based on a tree (e.g. reconstructed previously), use -te <treefile> option.

IQ-TREE also supports several tree topology tests using the RELL approximation (Kishino et al., 1990). This includes bootstrap proportion (BP), Kishino-Hasegawa test (Kishino and Hasegawa, 1989), Shimodaira-Hasegawa test (Shimodaira and Hasegawa, 1999), expected likelihood weights (Strimmer and Rambaut, 2002):

```
iqtree -s example.phy -z example.treels -n 0 -zb 1000
```

Here, -zb specifies the number of RELL replicates, where 1000 is the recommended minimum number. The USER TREES section of example.phy.iqtree will list the results of BP, KH, SH, and ELW methods.

If you also want to perform the weighted KH and weighted SH tests, simply add -zw option:

```
iqtree -s example.phy -z example.treels -n 0 -zb 1000 -zw
```

Starting with version 1.4.0 IQ-TREE supports approximately unbiased (AU) test (Shimodaira, 2002) via -au option:

```
iqtree -s example.phy -z example.treels -n 0 -zb 1000 -zw -au
```

This will perform all above tests plus the AU test.

Finally, note that IQ-TREE will automatically detect duplicated tree topologies and omit them during the evaluation.

HINTS:

- The KH, SH and AU tests return p-values, thus a tree is rejected if its p-value < 0.05 (marked with a sign).
- bp-RELL and c-ELW return posterior weights which are not p-value. The weights sum up to 1 across the trees tested.
- The KH test (Kishino and Hasegawa, 1989) was designed to test 2 trees and thus has no correction for multiple testing. The SH test (Shimodaira and Hasegawa, 1999) fixes this problem.
- However, the SH test becomes too conservative (i.e., rejecting fewer trees than expected) when testing many trees. The AU test (Shimodaira, 2002) fixes this problem and is thus recommended as replacement for both KH and SH tests.

5.7 Testing constrained tree

We now illustrate an example to use the AU test (see above) to test trees from unconstrained versus constrained search, which is helpful to know if a constrained search is sensible or not. Thus:

1. Perform an unconstrained search:

```
iqtree -s example.phy -m TIM2+I+G --prefix example.unconstr # for version 1.x change --prefix to -pre
```

2. Perform a constrained search, where example.constr1 file contains: ((Human, Seal),(Cow,Whale),Mouse);:

```
iqtree -s example.phy -m TIM2+I+G -g example.constr1 --
    prefix example.constr1
# for version 1.x change --prefix to -pre
```

3. Perform another constrained search, where example.constr2 file contains ((Human,Cow,Whale),Seal,Mouse);:

```
iqtree -s example.phy -m TIM2+I+G -g example.constr2 --
    prefix example.constr2
# for version 1.x change --prefix to -pre
```

4. Perform the last constrained search, where example.constr3 file contains ((Human, Mouse), (Cow, Rat), Opossum);:

```
iqtree -s example.phy -m TIM2+I+G -g example.constr3 --
    prefix example.constr3
# for version 1.x change --prefix to -pre
```

5. Concatenate all trees into a file:

```
# for Linux or macOS
cat example.unconstr.treefile example.constr1.treefile
    example.constr2.treefile example.constr3.treefile >
    example.treels

# for Windows
type example.unconstr.treefile example.constr1.treefile
    example.constr2.treefile example.constr3.treefile >
    example.treels
```

6. Test the set of trees:

```
iqtree -s example.phy -m TIM2+I+G -z example.treels -n 0 - zb 1000 -au
```

Now look at the resulting .iqtree file:

```
USER TREES
-----
See example.phy.trees for trees with branch lengths.
```

```
Tree
          logL
                  deltaL bp-RELL
                                     p-KH
                                               p-SH
                                                       c-ELW
                                                                  p
   -AU
                   0.000 \quad 0.7110 + 0.7400 + 1.0000 + 0.6954 +
      -21152.617
     0.7939 +
                   4.185 \quad 0.2220 + 0.2600 + 0.5910 + 0.2288 +
      -21156.802
     0.3079 +
                   5.962 \quad 0.0670 + 0.1330 + 0.5130 + 0.0758 +
 3
      -21158.579
     0.1452 +
      -21339.596 186.980 0.0000 - 0.0000 - 0.0000 - 0.0000 -
     0.0000 -
deltaL : logL difference from the maximal logl in the set.
bp-RELL: bootstrap proportion using RELL method (Kishino et al.
   1990).
       : p-value of one sided Kishino-Hasegawa test (1989).
p-KH
        : p-value of Shimodaira-Hasegawa test (2000).
       : Expected Likelihood Weight (Strimmer & Rambaut 2002).
        : p-value of approximately unbiased (AU) test (Shimodaira
p-AU
   , 2002).
Plus signs denote the 95% confidence sets.
Minus signs denote significant exclusion.
All tests performed 1000 resamplings using the RELL method.
```

One sees that the AU test does not reject the first 3 trees (denoted by + sign below the p-AU column), whereas the last tree is significantly excluded (- sign). All other tests also agree with this. Therefore, groupings of (Human, Mouse) and (Cow, Rat) do not make sense. Whereas the phylogenetic position of Seal based on 3 first trees is still undecidable. This is in agreement with the SH-aLRT and ultrafast bootstrap supports done in the Tutorial.

5.8 Consensus construction and bootstrap value assignment

IQ-TREE can construct an extended majority-rule consensus tree from a set of trees written in NEWICK or NEXUS format (e.g., produced by MrBayes):

```
iqtree -con mytrees
```

To build a majority-rule consensus tree, simply set the minimum support threshold to 0.5:

```
iqtree -con mytrees -minsup 0.5
```

If you want to specify a burn-in (the number of beginning trees to ignore from the trees file), use -bi option:

```
iqtree -con mytrees -minsup 0.5 -bi 100
```

to skip the first 100 trees in the file.

IQ-TREE can also compute a consensus network and print it into a NEXUS file by:

```
iqtree -net mytrees
```

Finally, a useful feature is to read in an input tree and a set of trees, then IQ-TREE can assign the support value onto the input tree (number of times each branch in the input tree occurs in the set of trees). This option is useful if you want to compute the support values for an ML tree based on alternative topologies.

```
iqtree -sup input_tree set_of_trees
```

5.9 User-defined substitution models

Users can specify any DNA model using a 6-letter code that defines which rates should be equal. For example, 010010 corresponds to the HKY model and 012345 to the GTR model. In fact, IQ-TREE uses this specification internally to simplify the coding. The 6-letter code is specified via the -m option, e.g.:

```
iqtree -s example.phy -m 010010+G
```

Moreover, with the -m option one can input a file which contains the 6 rates (A-C, A-G, A-T, C-G, C-T, G-T) and 4 base frequencies (A, C, G, T). For example:

```
iqtree -s example.phy -m mymodel+G
```

where mymodel is a file containing the 10 entries described above, in the correct order. The entries can be separated by either empty space(s) or newline character. One can even specify the rates within -m option by e.g.:

```
iqtree -s example.phy -m 'TN\{2.0,3.0\}+G8\{0.5\}+I\{0.15\}'
```

That means, we use Tamura-Nei model with fixed transition-transversion rate ratio of 2.0 and purine/pyrimidine rate ratio of 3.0. Moreover, we use 8-category Gamma-distributed site rates with the shape parameter (alpha) equal to 0.5 and a proportion of invariable sites p-inv=0.15.

By default IQ-TREE computes empirical state frequencies from the alignment by counting, but one can also estimate the frequencies by maximum-likelihood with + Fo in the model name:

```
iqtree -s example.phy -m GTR+G+Fo
```

For amino-acid alignments, IQ-TREE use the empirical frequencies specified in the model. If you want frequencies as counted from the alignment, use +F, for example:

```
iqtree -s myprotein_alignment -m WAG+G+F
```

Note that all model specifications above can be used in the partition model NEXUS file.

5.10 Inferring site-specific rates

IQ-TREE allows to infer site-specific evolutionary rates if a site-rate heterogeneity model such as Gamma or FreeRate is the best model. Here, IQ-TREE will estimate model parameters and then apply an empirical Bayesian approach to assign site-rates as the mean over rate categories, weighted by the posterior probability of the site falling into each category. This approach is provided in IQ-TREE because such empirical Bayesian approach was shown to be most accurate (Mayrose et al., 2004). An example run:

```
iqtree -s example.phy --rate
# for version 1.x change --rate to -wsr
```

IQ-TREE will write an output file example.phy.rate that looks like:

Site	Rate	Categor	у	Categorized_rate
1	0.26625	2	0.24393	
2	0.99345	3	0.81124	
3	2.69275	4	2.91367	
4	0.25822	2	0.24393	
5	0.25822	2	0.24393	
6	0.42589	2	0.24393	
7	0.30194	2	0.24393	
8	0.72790	3	0.81124	

```
9 0.25822 2 0.24393
10 0.09177 1 0.03116
```

The 1st column is site index of the alignment (starting from 1), the 2nd column Rate shows the mean site-specific rate as explained above, and the 3rd and 4th columns show the category index and rate of the Gamma rate category with the highest probability for this site (1 for slow and 4 for fast rate).

The above run will perform a full tree search. To speed up you can use -n 0 to only use a parsimony tree for site rate estimates. Or if you have already inferred an ML tree, you can specify it to improve the rate estimate:

```
iqtree -s example.phy -t ml.treefile -n 0 --rate
# for version 1.x change --rate to -wsr
```

where -t is the option to input a fixed tree topology and ml.treefile is the ML tree reconstructed previously.

If you already know the best-fit model for the alignment, you can use specify it via -m option to omit model selection and hence speed it up:

```
iqtree -s example.phy -m GTR+R10 -n 0 --rate # for version 1.x change --rate to -wsr
```

Finally, IQ-TREE 2 allows to estimate rates by maximum likelihood via --mlrate option:

```
iqtree -s example.phy -n 0 --mlrate
```

This will print an output file example.phy.mlrate that looks like:

```
# Site-specific subtitution rates determined by maximum
   likelihood
# This file can be read in MS Excel or in R with command:
    tab=read.table('example.phy.mlrate',header=TRUE)
# Columns are tab-separated with following meaning:
    Site:
            Alignment site ID
#
    Rate:
            Site rate estimated by maximum likelihood
Site
        Rate
1
        2.51550
2
        12.89129
3
        34.31350
4
        2.44313
5
        2.44313
```

```
6 4.41889

7 2.69577

8 9.27503

9 2.44313

10 0.00001
```

5.11 Where to go from here?

See Command Reference for a complete list of all options available in IQ-TREE.

Chapter 6

Concordance Factor

Since IQ-TREE 2, we provide two measures for quantifying genealogical concordance in phylogenomic datasets: the gene concordance factor (gCF) and the site concordance factor (sCF). For every branch of a reference tree, gCF is defined as the percentage of "decisive" gene trees containing that branch. gCF is already in wide usage, but here we allow to calculate gCF while correctly accounting for variable taxon coverage among the gene trees. sCF is defined as the percentage of decisive alignment sites supporting a branch in the reference tree. sCF is a novel measure that is particularly useful when individual gene alignments are relatively uninformative, such that gene trees are uncertain. gCF and sCF complement classical measures of branch support (e.g. bootstrap) in phylogenetics by providing a full description of underlying disagreement among loci and sites.

If you use this feature please cite: Minh B.Q., Hahn M., Lanfear R. (2018) New methods to calculate concordance factors for phylogenomic datasets. https://doi.org/10.1101/487801

NOTE: For this feature please use IQ-TREE version 2!

See very nice tips on how to use and interpret concordance factors by Rob Lanfear.

6.1 Inferring species tree

First, you need to infer a reference tree (e.g. a species tree), on which the concordance factors will be annotated. The species tree can be reconstructed by a concatenation/-

supermatrix approach or a coalescent/reconciliation/supertree approach. Here, we will use the concatenation approach in IQ-TREE.

As an example, you can apply an edge-linked proportional partition model with ultrafast bootstrap (1000 replicates; for comparison with concordance factors):

```
iqtree -s ALN_FILE -p PARTITION_FILE --prefix concat -B 1000 -T AUTO
```

where ALN_FILE and PARTITION_FILE are your input files. -T AUTO is to detect the best number of CPU cores. Here we use a prefix concat, so that all output files (concat.*) do not interfere with analyses below. If --prefix is omitted, all output files will be PARTITION_FILE.*.

Moreover, IQ-TREE 2 provides a new convenient feature: if you have a directory with many (locus) alignments, you can specify this directory directly with -p option:

```
iqtree -p ALN_DIR --prefix concat -B 1000 -T AUTO
```

IQ-TREE detects if -p argument is a directory and automatically load all alignment files and concatenate them into a supermatrix for the partition analysis.

6.2 Inferring gene/locus trees

We now construct a set of gene/locus trees. One can manually do a for-loop, but IQ-TREE 2 provides a new convenient option -S to compute individual locus trees given a partition file or a directory:

```
iqtree -s ALN_FILE -S PARTITION_FILE --prefix loci -T AUTO
# or
iqtree -S ALN_DIR --prefix loci -T AUTO
```

In the second case, IQ-TREE automatically detects that ALN_DIR is a directory and will load all alignment files within the directory. So -S takes the same argument as -p except that it performs model selection (ModelFinder) and tree inference separately for each partition/alignment. The output files are similar to those from a partitioned analysis, except that loci.treefile now contains a set of trees.

6.3 Gene concordance factor (gCF)

Given the species tree concat.treefile and the set of locus trees loci.treefile computed above, you can calculate gCF for each branch of the species tree as the fraction

of decisive gene trees concordant with this branch:

```
iqtree -t concat.treefile --gcf loci.treefile --prefix concord
```

Note that -t accepts any reference tree (e.g., by coalescent/reconciliation approach) and --gcf accepts any set of trees (e.g. locus trees and bootstrap trees), which may contain a subset of taxa from the reference tree. IQ-Tree will write three files:

- concord.cf.tree: Newick tree with gCF assigned for each internal branch of the reference tree. If the reference tree already has some branch label (such as bootstrap support in this case), gCF will be appended to the existing label separated by a /.
- concord.cf.branch: Newick tree with internal branch IDs.
- concord.cf.stat: A tab-separated table with gCF and gDF (gene discordance factor) for every internal branch (rows of the table). The ID column can be linked with concord.cf.branch file. This file can be read in R to do some plot (see below).

If you omit --prefix, all output files will be written to concat.treefile.*.

6.4 Site concordance factor (sCF)

Given the species tree concat.treefile and the alignment, you can calculate sCF for each branch of the species tree as the fraction of decisive alignment sites supporting that branch:

```
iqtree -t concat.treefile -s ALN_FILE --scf 100 --prefix concord
-T 10
```

--scf specifies the number of quartets (randomly sampled around each internal branch) for computing sCF. We recommend at least 100 quartets for stable sCF values. Note that running this command several times may lead to slightly different sCF due to randomness. To make it reproducible, you need to use -seed option to provide a random number generator seed.

Instead of -s, you can alternatively provide a directory or a partition file. IQ-Tree then computes sCF for the concatenated alignment:

```
iqtree -t concat.treefile -p ALN_DIR --scf 100 --prefix concord - T 10
```

Finally, you can combine gCF and sCF within a single run:

```
iqtree -t concat.treefile --gcf loci.treefile -p ALN_DIR --scf
100 --prefix concord -T 10
```

Here, each branch of concord.cf.tree will be assigned (or appended) with gCF/sCF values and concord.cf.stat will be written with both gCF and sCF values.

6.5 Putting it all together

If you have separate alignments for each locus in a folder, then perform the following commands:

```
# infer a concatenation-based species tree with 1000 ultrafast
   bootstrap and an edge-linked partition model
iqtree -p ALN_DIR --prefix concat -B 1000 -T AUTO

# infer the locus trees
iqtree -S ALN_DIR --prefix loci -T AUTO

# compute concordance factors
iqtree -t concat.treefile --gcf loci.treefile -p ALN_DIR --scf
100 --prefix concord -T 10
```

If you have a single concatenated alignment with a partition file that defines loci:

```
# infer a concatenation-based species tree with 1000 ultrafast
   bootstrap and an edge-linked partition model
iqtree -s ALN_FILE -p PARTITION_FILE --prefix concat -B 1000 -T
   AUTO

# infer the locus trees
iqtree -s ALN_FILE -S PARTITION_FILE --prefix loci -T AUTO

# compute concordance factors
iqtree -t concat.treefile --gcf loci.treefile -s ALN_FILE --scf
   100 --prefix concord -T 10
```

Note that you can adjust -T 10 if you have fewer/larger CPU cores.

Chapter 7

Command reference

Commprehensive documentation of command-line options.

IQ-TREE is invoked from the command-line with e.g.:

```
iqtree -s <alignment> [OPTIONS]
```

assuming that IQ-TREE can be run by simply entering iqtree. If not, please change iqtree to the actual path of the executable or read the Quick start guide.

7.1 General options

General options are mainly intended for specifying input and output files:

Option	Usage and meaning
-h or -? -s	Print help usage. Specify input alignment file in PHYLIP, FASTA, NEXUS, CLUSTAL or MSF format.

Option	Usage and meaning
-st	Specify sequence type as either of DNA, AA, BIN, MORPH, CODON or NT2AA for DNA, amino-acid, binary, morphological, codon or DNA-to-AA-translated sequences. This is only necessary if
	IQ-TREE did not detect the sequence type correctly. Note that -st CODON is always necessary when using codon models (otherwise,
	IQ-TREE applies DNA models) and you also need to specify a genetic code like this if differed from the standard genetic code. -st NT2AA tells IQ-TREE to translate protein-coding DNA into AA
	sequences and then subsequent analysis will work on the AA sequences. You can also use a genetic code like -st NT2AA5 for the
-t	Invertebrate Mitochondrial Code (see genetic code table). Specify a file containing starting tree for tree search. The special option -t BIONJ starts tree search from BIONJ tree and -t RANDOM starts tree search from completely random tree. DEFAULT: 100 parsimony trees + BIONJ tree
-te	Like -t but fixing user tree. That means, no tree search is performed and IQ-TREE computes the log-likelihood of the fixed user tree.
-0	Specify an outgroup taxon name to root the tree. The output tree in .treefile will be rooted accordingly. <i>DEFAULT: first taxon in alignment</i>
-pre	Specify a prefix for all output files. DEFAULT: either alignment file name (-s) or partition file name (-q, -spp or -sp)
-nt	Specify the number of CPU cores for the multicore version. A special option -nt AUTO will tell IQ-TREE to automatically determine the best number of cores given the current data and computer.
-ntmax	Specify the maximal number of CPU cores -nt AUTO is allowed to allocate DEFAULT: #CPU cores on the current machine
-seed	Specify a random number seed to reproduce a previous run. This is normally used for debugging purposes. <i>DEFAULT: based on current machine clock</i>
-v	Turn on verbose mode for printing more messages to screen. This is normally used for debugging purposes. <i>DEFAULT: OFF</i>
-quiet	Silent mode, suppress printing to the screen. Note that .log file is still written.
-keep-	Keep identical sequences in the alignment. Bu default: IQ-TREE
ident	will remove them during the analysis and add them in the end.

Option	Usage and meaning
-safe	Turn on safe numerical mode to avoid numerical underflow for large data sets with many sequences (typically in the order of thousands). This mode is automatically turned on when having more than 2000
-mem	sequences. Specify maximal RAM usage, for example, -mem 64G to use at most 64 GB of RAM. By default, IQ-TREE will try to not to exceed the computer RAM size.

7.1.1 Example usages:

• Reconstruct a maximum-likelihood tree given a sequence alignment file example .phy:

```
iqtree -s example.phy
```

• Reconstruct a maximum-likelihood tree using at most 8 GB RAM and automatically detect the number of cores to use:

```
# For version <= 1.5.X
iqtree-omp -s example.phy -nt AUTO -mem 8G
# For version >= 1.6.0, change iqtree-omp to just iqtree
iqtree -s example.phy -nt AUTO -mem 8G
```

• Like above but write all output to myrun.* files:

```
# For version <= 1.5.X
iqtree-omp -s example.phy -nt AUTO -mem 8G -pre myrun
# For version <= 1.6.0
iqtree -s example.phy -nt AUTO -mem 8G -pre myrun</pre>
```

7.2 Checkpointing to resume stopped run

Starting with version 1.4.0 IQ-TREE supports checkpointing: If an IQ-TREE run was interrupted for some reason, rerunning it with the same command line and input data will automatically resume the analysis from the last stopped point. The previous log file will then be appended. If a run successfully finished, IQ-TREE will deny to rerun and issue an error message. A few options that control checkpointing behavior:

Option	Usage and meaning
-redo	Redo the entire analysis no matter if it was stopped or successful. WARNING: This option will overwrite all existing output files.
-cptime	Specify the minimum checkpoint time interval in seconds (default: 20s)

NOTE: IQ-TREE writes a checkpoint file with name suffix .ckp.gz in gzip format. Do not modify this file. If you delete this file, all checkpointing information will be lost!

7.3 Likelihood mapping analysis

Starting with version 1.4.0, IQ-TREE implements the likelihood mapping approach (Strimmer and von Haeseler, 1997) to assess the phylogenetic information of an input alignment. The detailed results will be printed to .iqtree report file. The likelihood mapping plots will be printed to .lmap.svg and .lmap.eps files.

Compared with the original implementation in TREE-PUZZLE, IQ-TREE is much faster and supports many more substitution models (including partition and mixture models).

Option	Usage and meaning
-lmap	Specify the number of quartets to be randomly drawn. If you specify -lmap ALL, all unique quartets will be drawn, instead.
-lmclust	Specify a NEXUS file containing taxon clusters (see below for example) for quartet mapping analysis.
-n 0	Skip subsequent tree search, useful when you only want to assess the phylogenetic information of the alignment.
-wql	Write quartet log-likelihoods into .lmap.quartetlh file (typically not needed).

TIP: The number of quartets specified via -lmap is recommended to be at least 25 times the number of sequences in the alignment, such that each sequence is covered ~100 times in the set of quartets drawn.

An example NEXUS cluster file (where A, B, C, etc. are sequence names):

```
#NEXUS
begin sets;
  taxset Cluster1 = A B C;
  taxset Cluster2 = D E;
  taxset Cluster3 = F G H I;
  taxset Cluster4 = J;
  taxset IGNORED = X;
end;
```

Here, Cluster1 to Cluster4 are four user-defined clusters of sequences. Note that users can give any names to the clusters instead of Cluster1, etc. If a cluster is named ignored or IGNORED the sequences included will be ignored in the likelihood mapping analysis.

If you provide a cluster file it has to contain between 1 and 4 clusters (plus an optional IGNORED or ignored cluster), which will tell IQ-TREE to perform an unclustered (default without a cluster file) or a clustered analysis with 2, 3 or 4 groups, respectively.

The names given to the clusters in the cluster file will be used to label the corners of the triangle diagrams.

7.3.1 Example usages:

• Perform solely likelihood mapping analysis (ignoring tree search) with 2000 quartets for an alignment example.phy with model being automatically selected:

```
iqtree -s example.phy -lmap 2000 -n 0 -m TEST
```

You can now view the likelihood mapping plot file example.phy.lmap.svg, which looks like this:

It shows phylogenetic information of the alignment example.phy. On the top: distribution of quartets depicted by dots on the likelihood mapping plot. On the left: the three areas show support for one of the different groupings like (a,b)-(c,d). On the right: quartets falling into the three corners are informative. Those in three rectangles are partly informative and those in the center are uninformative. A good data set should have high number of informative quartets and low number of uninformative quartets. The meanings can also be found in the LIKELIHOOD MAPPING STATISTICS section of the report file example.phy.iqtree:

```
LIKELIHOOD MAPPING STATISTICS
```

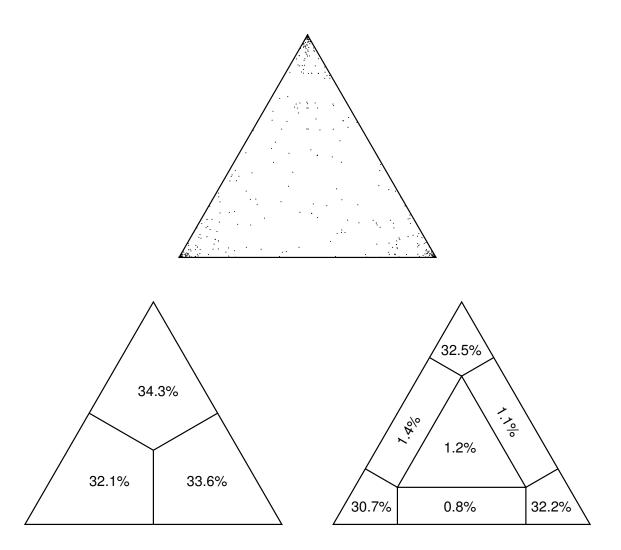
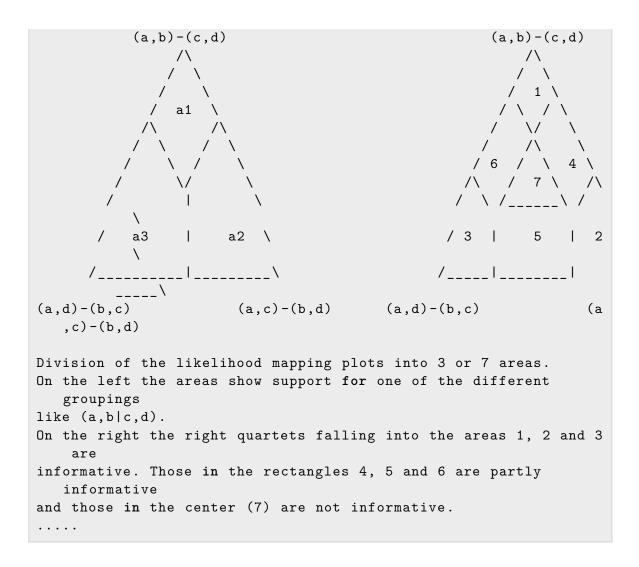


Figure 7.1: Likelihood mapping plot.



7.4 Automatic model selection

The default model (e.g., HKY+F for DNA, LG for protein data) may not fit well to the data. Therefore, IQ-TREE allows to automatically determine the best-fit model via a series of -m TEST... option:

Option	Usage and meaning
-m TESTONLY	Perform standard model selection like jModelTest (for DNA) and ProtTest (for protein). Moreover, IQ-TREE also works for codon, binary and morphogical data. If a partition file is specified, IQ-TREE will find the best-fit model for each partition.

Option	Usage and meaning
-m TEST	Like -m TESTONLY but immediately followed by tree reconstruction using the best-fit model found. So this performs both model selection and tree inference within a single run.
-m TESTNEWONLY or -m MF	Perform an extended model selection that additionally includes FreeRate model compared with -m TESTONLY. Recommended as replacement for -m TESTONLY. Note that LG4X is a FreeRate model, but by default is not included because it is also a protein mixture model. To include it, use -madd option (see table below).
-m TESTNEW or -m MFP	Like -m MF but immediately followed by tree reconstruction using the best-fit model found.

TIP: During model section, IQ-TREE will write a model checkpoint file (suffix .model in version $\leq 1.5.X$ or .model.gz in version $\geq 1.6.X$) that stores information of all models tested so far. Thus, if IQ-TREE is interrupted for whatever reason, restarting the run will load this file to reuse the computation.

IQ-TREE version 1.6 or later allows to additionally test Lie Markov DNA models by adding the following keyword to -m option:

Option	Usage and meaning
+LM +LMRY +LMWS +LMMK +LMSS	Additionally consider all Lie Markov models Additionally consider all Lie Markov models with RY symmetry Additionally consider all Lie Markov models with WS symmetry Additionally consider all Lie Markov models with MK symmetry Additionally consider all strand-symmetric Lie Markov models

When a partition file is specified then you can append MERGE keyword into -m option to find the best-fit partitioning scheme like PartitionFinder (Lanfear et al., 2012). More specifically,

Option	Usage and meaning
-m TESTMERGEONLY	Select best-fit partitioning scheme by possibly merging partitions to reduce over-parameterization and increase model fit. It implements the greedy algorithm of PartitionFinder.

Option	Usage and meaning
-m TESTMERGE	Like -m TESTMERGEONLY but immediately followed by tree reconstruction using the best partitioning scheme found.
-m	Like -m TESTMERGEONLY but additionally includes FreeRate
TESTNEWMERGEONLY	model.
or -m MF+MERGE	
-m TESTNEWMERGE	Like ${\tt -m}$ MF+MERGE but immediately followed by tree
or -m MFP+MERGE	reconstruction using the best partitioning scheme found.
-rcluster	Specify the percentage for the relaxed clustering algorithm
	(Lanfear et al., 2014) to speed up the computation instead of
	the default slow greedy algorithm. This is similar to
	rcluster-percent option of PartitionFinder. For example,
	with $-$ rcluster 10 only the top 10% partition schemes are
	considered to save computations.
-rclusterf	Similar to -rcluster but using the fast relaxed clustering
	algorithm (Lanfear et al., 2017) of PartitionFinder2.
	Introduced in version 1.6.
-rcluster-max	Specify the absolute maximum number of partition pairs in
	the paritition merging phase. Default: the larger of 1000 and
	10 times the number of partitions. This option is similar to
	rcluster-max option of PartitionFinder2.

WARNING: For versions <=1.5.X, all commands with -m ...MERGE... will always perform an edge-unlinked partition scheme finding even if -spp option is used. Only in the next phase of tree reconstruction, then an edge-linked partition model is used. However, for versions 1.6.X onwards, the edge-linked partition finding is performed by -spp option.

Several parameters can be set to e.g. reduce computations:

Option	Usage and meaning
-mset	Specify the name of a program (raxml, phyml or mrbayes) to restrict
	to only those models supported by the specified program.
	Alternatively, one can specify a comma-separated list of base models.
	For example, -mset WAG, LG, JTT will restrict model selection to WAG,
	LG, and JTT instead of all 18 AA models to save computations.
-msub	Specify either nuclear, mitochondrial, chloroplast or viral to
	restrict to those AA models designed for specified source.

Option	Usage and meaning
-mfreq	Specify a comma-separated list of frequency types for model selection. $DEFAULT$: -mfreq FU,F for protein models (FU = AA frequencies given by the protein matrix, F = empirical AA
	frequencies from the data), -mfreq ,F1x4,F3x4,F for codon models
-mrate	Specify a comma-separated list of rate heterogeneity types for model selection. DEFAULT: -mrate E,I,G,I+G for standard procedure,
	-mrate E, I, G, I+G, R for new selection procedure. (E means
	Equal/homogeneous rate model).
-cmin	Specify minimum number of categories for FreeRate model. DEFAULT: 2
-cmax	Specify maximum number of categories for FreeRate model. It is recommended to increase if alignment is long enough. DEFAULT: 10
-merit	Specify either AIC, AICc or BIC for the optimality criterion to apply for new procedure. DEFAULT: all three criteria are considered
-mtree	Turn on full tree search for each model considered, to obtain more accurate result. Only recommended if enough computational resources are available. <i>DEFAULT: fixed starting tree</i>
-mredo	Ignore model checkpoint file computed earlier. DEFAULT: model checkpoint file (if exists) is loaded to reuse previous computations
-madd	Specify a comma-separated list of mixture models to additionally consider for model selection. For example, -madd LG4M, LG4X to
	additionally include these two protein mixture models.
-mdef	Specify a NEXUS model file to define new models.

NOTE: Some of the above options require a comma-separated list, which should not contain any empty space!

7.4.1 Example usages:

• Select best-fit model for alignment data.phy based on Bayesian information criterion (BIC):

```
iqtree -s data.phy -m TESTONLY
```

• Select best-fit model for a protein alignment prot.phy using the new testing procedure and only consider WAG, LG and JTT matrix to save time:

```
iqtree -s prot.phy -m MF -mset WAG,LG,JTT
```

• Find the best partitioning scheme for alignment data.phy and partition file partition.nex with a relaxed clustering at 10% to save time:

```
iqtree -s data.phy -spp partition.nex -m TESTMERGEONLY -
rcluster 10
```

7.5 Specifying substitution models

-m is a powerful option to specify substitution models, state frequency and rate heterogeneity type. The general syntax is:

```
-m MODEL+FreqType+RateType
```

where MODEL is a model name, +FreqType (optional) is the frequency type and +RateType (optional) is the rate heterogeneity type.

The following MODELs are available:

DataType	Model names
DNA	JC/JC69, F81, K2P/K80, HKY/HKY85, TN/TrN/TN93, TNe, K3P/K81, K81u, TPM2, TPM2u, TPM3, TPM3u, TIM, TIMe, TIM2, TIM2e, TIM3, TIM3e, TVM, TVMe, SYM, GTR and 6-digit specification. See DNA models for more details.
Protein	BLOSUM62, cpREV, Dayhoff, DCMut, FLU, HIVb, HIVw, JTT, JTTDCMut, LG, mtART, mtMAM, mtREV, mtZOA, mtMet, mtVer, mtInv, Poisson, PMB, rtREV, VT, WAG.
Protein	Mixture models: C10,, C60 (CAT model) (Lartillot and Philippe, 2004), EX2, EX3, EHO, UL2, UL3, EX_EHO, LG4M, LG4X, CF4. See Protein models for more details.
Codon	MG, MGK, MG1KTS, MG1KTV, MG2K, GY, GY1KTS, GY1KTV, GY2K, ECMK07/KOSI07, ECMrest, ECMS05/SCHN05 and combined empirical-mechanistic models. See Codon models for more details.
Binary Morphology	JC2, GTR2. See Binary and morphological models for more details.

The following FreqTypes are supported:

FreqType	Meaning
+F	Empirical state frequency observed from the data.
+F0	State frequency optimized by maximum-likelihood from the data.
	Note that this is with letter-O and not digit-0.
+FQ	Equal state frequency.
+F1x4	See Codon frequencies.
+F3x4	See Codon frequencies.

Further options:

Option	Usage and meaning
-mwopt	Turn on optimizing weights of mixture models. Note that for models like LG+C20+F+G this mode is automatically turned on, but not for LG+C20+G.

7.5.1 Example usages:

• Infer an ML tree for a DNA alignment dna.phy under GTR+I+G model:

```
iqtree -s dna.phy -m GTR+I+G
```

• Infer an ML tree for a protein alignment prot.phy under LG+F+G model:

• Infer an ML tree for a protein alignment prot.phy under profile mixture model LG+C10+F+G:

```
iqtree -s prot.phy -m LG+C10+F+G
```

7.6 Rate heterogeneity

The following RateTypes are supported:

RateType	Meaning
+I	Allowing for a proportion of invariable sites.
+G	Discrete Gamma model (Yang, 1994) with default 4 rate categories.
	The number of categories can be changed with e.g. +G8.

RateType	Meaning
+I+G	Invariable site plus discrete Gamma model (Gu et al., 1995).
+R	FreeRate model (Yang, 1995; Soubrier et al., 2012) that generalizes +G
	by relaxing the assumption of Gamma-distributed rates. The number
	of categories can be specified with e.g. +R6. DEFAULT: 4 categories
+I+R	invariable site plus FreeRate model.

See Rate heterogeneity across sites for more details.

Further options:

Option	Usage and meaning
-a -gmedian	Specify the Gamma shape parameter (default: estimate) Perform the <i>median</i> approximation for Gamma rate heterogeneity instead of the default <i>mean</i> approximation (Yang, 1994)
-i opt-	Specify the proportion of invariable sites (default: estimate) Perform more thorough estimation for +I+G model parameters
gamma-inv -wsr	Write per-site rates to .rate file

Optionally, one can specify Ascertainment bias correction by appending +ASC to the model string. Advanced mixture models can also be specified via MIX{...} and FMIX {...} syntax. Option -mwopt can be used to turn on optimizing weights of mixture models.

7.7 Partition model options

Partition models are used for phylogenomic data with multiple genes. You first have to prepare a partition file in NEXUS or RAxML-style format. Then use the following options to input the partition file:

Option	Usage and meaning
-q	Specify partition file for edge-equal partition model. That means, all partitions share the same set of branch lengths (like -q option of RAxML).
-spp	Like -q but allowing partitions to have different evolutionary speeds (edge-proportional partition model).

Option	Usage and meaning
-sp	Specify partition file for edge-unlinked partition model. That means, each partition has its own set of branch lengths (like -M option of RAxML). This is the most parameter-rich partition model to accommodate <i>heterotachy</i> .

7.8 Site-specific frequency model options

The site-specific frequency model is used to substantially reduce the time and memory requirement compared with full profile mixture models C10 to C60. For full details see site-specific frequency model. To use this model you have to specify a profile mixture model with e.g. -m LG+C20+F+G together with a guide tree or a site frequency file:

Option	Usage and meaning
-ft -fs	Specify a guide tree (in Newick format) to infer site frequency profiles. Specify a site frequency file, e.g. the .sitefreq file obtained from -ft
-fmax	run. This will save memory used for the first phase of the analysis. Switch to posterior maximum mode for obtaining site-specific profiles. Default: posterior mean.

With -fs option you can input a file containing your own site frequency profiles. The format of this file is that each line contains the site ID (starting from 1) and the state frequencies (20 for amino-acid) separated by white space. So it has as many lines as the number of sites in the alignment. The order of amino-acids is:

7.9 Tree search parameters

The new IQ-TREE search algorithm (Nguyen et al., 2015) has several parameters that can be changed with:

Option	Usage and meaning
-allnni	Turn on more thorough and slower NNI search. It means that IQ-TREE will consider all possible NNIs instead of only those in the
	vicinity of previously applied NNIs. DEFAULT: OFF

Option	Usage and meaning
-djc -fast	Avoid computing ML pairwise distances and BIONJ tree. Turn on the fast tree search mode, where IQ-TREE will just construct two starting trees: maximum parsimony and BIONJ, which are then optimized by nearest neighbor interchange (NNI). Introduced in version 1.6.
-g	Specify a topological constraint tree file in NEWICK format. The constraint tree can be a multifurcating tree and need not to include all taxa.
-ninit	Specify number of initial parsimony trees. <i>DEFAULT: 100</i> . Here the PLL library (Flouri et al., 2015) is used, which implements the randomized stepwise addition and parsimony subtree pruning and regafting (SPR).
-n	Specify number of iterations to stop. This option overrides -nstop criterion.
-ntop	Specify number of top initial parsimony trees to optimize with ML nearest neighbor interchange (NNI) search to initialize the candidate set. <i>DEFAULT: 20</i>
-nbest	Specify number of trees in the candidate set to maintain during ML tree search. <i>DEFAULT:</i> 5
-nstop	Specify number of unsuccessful iterations to stop. DEFAULT: 100
-pers	Specify perturbation strength (between 0 and 1) for randomized NNI. $DEFAULT:\ 0.5$
-sprrad	Specify SPR radius for the initial parsimony tree search. $DEFAULT$: 6

NOTE: While the default parameters were empirically determined to work well under our extensive benchmark (Nguyen et al., 2015), it might not hold true for all data sets. If in doubt that tree search is still stuck in local optima, one should repeat analysis with at least 10 IQ-TREE runs. Moreover, our experience showed that <code>-pers</code> and <code>-nstop</code> are the most relevant options to change in such case. For example, data sets with many short sequences should be analyzed with smaller perturbation strength (e.g. <code>-pers 0.2</code>) and larger number of stop iterations (e.g. <code>-nstop 500</code>).

7.9.1 Example usages:

• Infer an ML tree for an alignment data.phy with increased stopping iteration of 500 and reduced perturbation strength of 0.2:

```
iqtree -s data.phy -m TEST -nstop 500 -pers 0.2
```

• Infer an ML tree for an alignment data.phy obeying a topological constraint tree constraint.tree:

```
iqtree -s data.phy -m TEST -g constraint.tree
```

7.10 Ultrafast bootstrap parameters

The ultrafast bootstrap (UFBoot) approximation (Minh et al., 2013; Hoang et al., in press) has several parameters that can be changed with:

Option	Usage and meaning
-bb	Specify number of bootstrap replicates (>=1000).
-bcor	Specify minimum correlation coefficient for UFBoot convergence criterion. <i>DEFAULT: 0.99</i>
-beps	Specify a small epsilon to break tie in RELL evaluation for bootstrap trees. $DEFAULT: 0.5$
-bnni	Perform an additional step to further optimize UFBoot trees by
	nearest neighbor interchange (NNI) based directly on bootstrap
	alignments. This option is recommended in the presence of severe
	model violations. It increases computing time by 2-fold but reduces
	the risk of overestimating branch supports due to severe model
	violations. Introduced in IQ-TREE 1.6.
-bsam	Specify the resampling strategies for partitioned analysis. By default,
	IQ-TREE resamples alignment sites within partitions. With
	-bsam GENE IQ-TREE will resample partitions. With -bsam GENESITE
	IQ-TREE will resample partitions and then resample sites within
	resampled partitions (Gadagkar et al., 2005).
-nm	Specify maximum number of iterations to stop. DEFAULT: 1000
-nstep	Specify iteration interval checking for UFBoot convergence.
	DEFAULT: every 100 iterations
-wbt	Turn on writing bootstrap trees to .ufboot file. DEFAULT: OFF
-wbtl	Like -wbt but bootstrap trees written with branch lengths. DEFAULT: OFF

7.10.1 Example usages:

• Select best-fit model, infer an ML tree and perform ultrafast bootstrap with 1000 replicates:

```
iqtree -s data.phy -m TEST -bb 1000
```

• Reconstruct ML and perform ultrafast bootstrap (5000 replicates) under a partition model file partition.nex:

```
iqtree -s data.phy -spp partition.nex -m TEST -bb 5000
```

7.11 Nonparametric bootstrap

The slow standard nonparametric bootstrap (Felsenstein, 1985) can be run with:

Option	Usage and meaning			
-b	Specify number of bootstrap replicates (recommended >=100). This			
	will perform both bootstrap and analysis on original alignment and			
	provide a consensus tree.			
-bc	Like -b but omit analysis on original alignment.			
-bo	Like -b but only perform bootstrap analysis (no analysis on original			
	alignment and no consensus tree).			

7.12 Single branch tests

The following single branch tests are faster than all bootstrap analysis and recommended for extremely large data sets (e.g., >10,000 taxa):

Option	Usage and meaning		
-alrt	Specify number of replicates (>=1000) to perform SH-like approximate likelihood ratio test (SH-aLRT) (Guindon et al., 2010). If number of replicates is set to 0 (-alrt 0), then the parametric aLRT test (Anisimova and Gascuel 2006) is performed, instead of SH-aLRT.		
-abayes -1bp	Perform approximate Bayes test (Anisimova et al., 2011). Specify number of replicates (>=1000) to perform fast local bootstrap probability method (Adachi and Hasegawa, 1996b).		

TIP: One can combine all these tests (also including UFBoot -bb option) within a single IQ-TREE run. Each branch in the resulting tree will be assigned several support values separated by slash (/), where the order of support values is stated in the .iqtree report file.

7.12.1 Example usages:

• Infer an ML tree and perform SH-aLRT test as well as ultrafast bootstrap with 1000 replicates:

```
iqtree -s data.phy -m TEST -alrt 1000 -bb 1000
```

7.13 Ancestral sequence reconstruction

This feature is newly introduced in version 1.6. You can combine this feature with -te option to determine ancestral sequences along a user-defined tree (Otherwise, IQ-TREE computes ancestral sequences of the ML tree).

Option	Usage and meaning		
-asr	Write ancestral sequences (by empirical Bayesian method) for all		
	nodes of the tree to .state file.		
-asr-min	Specify the minimum threshold of posterior probability to determine		
	the best ancestral state. Default: observed state frequency from the		
	alignment.		
-te	Specify a user-defined tree to determine ancestral sequences along this		
	tree. You can assign each node of this tree with a node name, and		
	IQ-TREE will report the ancestral sequences of the corresponding		
	nodes. If nodes do not have names, IQ-TREE will automatically		
	assign node namdes as Node1, Node2, etc.		

7.13.1 Example usages:

```
iqtree -s example.phy -m JC+G -asr
```

The first few lines of the output file example.phy.state may look like this:

```
\begin{tabular}{ll} \# \ Ancestral \ state \ reconstruction \ for \ all \ nodes \ in \ example. phy. \\ treefile \end{tabular}
```

```
# This file can be read in MS Excel or in R with command:
    tab=read.\ table\ ('example.phy.state', header=TRUE)
# Columns are tab-separated with following meaning:
    Node:
          Node name in the tree
#
    Site: Alignment site ID
    State: Most likely state assignment
#
           Posterior probability for state X (empirical Bayesian
   method)
        Site
                State
Node
                         p_A
                                 p_C
                                         p_G
Node2
        1
                C
                         0.00004 0.99992 0.00002 0.00002
Node2
        2
                Α
                         0.92378 0.00578 0.00577 0.06468
Node2
        3
                Α
                         0.95469 0.02634 0.00675 0.01222
Node2
        4
                C
                         0.00002 0.99992 0.00002 0.00004
. . .
```

7.14 Tree topology tests

IQ-TREE provides a number of tests for significant topological differences between trees. The AU test implementation in IQ-TREE is much more efficient than the original CONSEL by supporting SSE, AVX and multicore parallelization. Moreover, it is more appropriate than CONSEL for partition analysis by bootstrap resampling sites within partitions, whereas CONSEL is not partition-aware.

NOTE: There is a discrepancy between IQ-TREE and CONSEL for the AU test: IQ-TREE implements the least-square estimate for p-values whereas CONSEL provides the maximum-likelihood estimate (MLE) for p-values. Hence, the AU p-values might be slightly different. We plan to implement MLE for AU p-values in IQ-TREE soon.

Option	Usage and meaning			
-z	Specify a file containing a set of trees. IQ-TREE will compute the			
	log-likelihoods of all trees.			
-zb	Specify the number of RELL (Kishino et al., 1990) replicates (>=1000)			
	to perform several tree topology tests for all trees passed via -z. The			
	tests include bootstrap proportion (BP), KH test (Kishino and			
	Hasegawa, 1989), SH test (Shimodaira and Hasegawa, 1999) and			
	expected likelihood weights (ELW) (Strimmer and Rambaut, 2002).			
-zw	Used together with -zb to additionally perform the weighted-KH and			
	weighted-SH tests.			

Option	Usage and meaning			
-au	Used together with -zb to additionally perform the approximately unbiased (AU) test (Shimodaira, 2002). Note that you have to specify			
	the number of replicates for the AU test via -zb.			
-n 0	Only estimate model parameters on an initial parsimony tree and ignore			
	a full tree search to save time.			
-te	Specify a fixed user tree to estimate model parameters. Thus it behaves like -n 0 but uses a user-defined tree instead of parsimony tree.			

7.14.1 Example usages:

• Given alignment data.phy, test a set of trees in data.trees using AU test with 10,000 replicates:

```
iqtree -s data.phy -m GTR+G -n 0 -z data.trees -zb 10000 - au
```

• Same above but for a partitioned data partition.nex and additionally performing weighted test:

```
iqtree -s data.phy -spp partition.nex -n 0 -z data.trees - zb 10000 -au -zw
```

7.15 Constructing consensus tree

IQ-TREE provides a fast implementation of consensus tree construction for post analysis:

Option	Usage and meaning			
-t	Specify a file containing a set of trees.			
-con	Compute consensus tree of the trees passed via -t. Resulting consensus tree is written to .contree file.			
-net	Compute consensus network of the trees passed via -t. Resulting consensus network is written to .nex file.			
-minsup	Specify a minimum threshold (between 0 and 1) to keep branches in the consensus treeminsup 0.5 means to compute majority-rule consensus tree. DEFAULT: 0 to compute extended majority-rule consensus.			

Option	Usage and meaning
-bi	Specify a burn-in, which is the number of beginning trees passed via
	-t to discard before consensus construction. This is useful e.g. when
	summarizing trees from MrBayes analysis.
-sup	Specify an input "target" tree file. That means, support values are
	first extracted from the trees passed via -t, and then mapped onto
	the target tree. Resulting tree with assigned support values is written
	to .suptree file. This option is useful to map and compare support
	values from different approaches onto a single tree.
-suptag	Specify name of a node in -sup target tree. The corresponding node
	of .suptree will then be assigned with IDs of trees where this node
	appears. Special option -suptag ALL will assign such IDs for all nodes
	of the target tree.
-scale	Set the scaling factor of support values for -sup option (default: 100,
	i.e. percentages)
-prec	Set the precision of support values for -sup option (default: 0)

7.16 Computing Robinson-Foulds distance

IQ-TREE provides a fast implementation of Robinson-Foulds (RF) distance computation between trees:

Option	Usage and meaning
-t -rf	Specify a file containing a set of trees. Specify a second set of trees. IQ-TREE computes all pairwise RF
-rf_all -rf_adj	distances between two tree sets passed via -t and -rf Compute all-to-all RF distances between all trees passed via -t Compute RF distances between adjacent trees passed via -t

7.16.1 Example usages:

• Compute the pairwise RF distances between 2 sets of trees:

```
iqtree -rf tree_set1 tree_set2
```

• Compute the all-to-all RF distances within a set of trees:

```
iqtree -rf_all tree_set
```

7.17 Generating random trees

IQ-TREE provides several random tree generation models:

Option	Usage and meaning			
-r	Specify number of taxa. IQ-TREE will create a random tree under			
Yule-Harding model with specified number of taxa				
-ru	Like -r, but a random tree is created under uniform model.			
-rcat	Like -r, but a random caterpillar tree is created.			
-rbal	Like -r, but a random balanced tree is created.			
-rcsg	Like -r, bur a random circular split network is created.			
-rlen	Specify three numbers: minimum, mean and maximum branch lengths			
	of the random tree. DEFAULT: -rlen 0.001 0.1 0.999			

7.17.1 Example usages:

• Generate a 100-taxon random tree into the file 100.tree under the Yule Harding model:

```
iqtree -r 100 100.tree
```

• Generate 100-taxon random tree with mean branch lengths of 0.2 and branch lengths are between 0.05 and 0.3:

```
iqtree -r 100 -rlen 0.05 0.2 0.3 100.tree
```

• Generate a random tree under uniform model:

```
iqtree -ru 100 100.tree
```

• Generate a random tree where taxon labels follow an alignment:

```
iqtree -s example.phy -r 17 example.random.tree
```

Note that, you still need to specify the -r option being equal to the number of taxa in the alignment.

7.18 Miscellaneous options

Option	Usage and meaning			
-alninfo	Print alignment site statistics to .alninfo file.			
-blfix	Fix branch lengths of tree passed via -t or -te. This is useful to			
	evaluate the log-likelihood of an input tree with fixed tolopogy and branch lengths. $DEFAULT: OFF$			
-blmin	Specify minimum branch length. Default: the smaller of 0.000001 and alignment length divided by 10.			
-blmax	Specify the maximum branch length. Default: 10			
-czb	Collapse near zero branches, so that the final tree may be			
	multifurcating. This is useful for bootstrapping in the presence of polytomy to reduce bootstrap supports of short branches.			
-me	Specify the log-likelihood epsilon for final model parameter estimation (Default: 0.01). With -fast option, the epsilon is raised to 0.05.			
-wpl	Write partition log-likelihoods to .partlh file. Only effective with partition model.			
-wspr	Write site posterior probabilities per rate category to .siteprob file.			
-wspm	Write site posterior probabilities per mixture class to .siteprob file.			
-wspmr	Write site posterior probabilities per mixture class and rate category to .siteprob file.			
-wsl	Write site log-likelihoods to .sitelh file in TREE-PUZZLE format.			
_	Such file can then be passed on to CONSEL for further tree tests.			
-wslr	Write site log-likelihoods per rate category to .sitelh file.			
-wslm	Write site log-likelihoods per mixture class to .sitelh file.			
-wslmr	Write site log-likelihoods per mixture class and rate category to .sitelh file.			
-wt	Turn on writing all locally optimal trees into .treels file.			
-fconst	Specify a list of comma-separated integer numbers. The number of entries should be equal to the number of states in the model (e.g. 4 for DNA and 20 for protein). IQ-TREE will then add a number of constant sites accordingly. For example, -fconst 10,20,15,40 will add 10 constant sites of all A, 20 constant sites of all C, 15 constant sites of all G and 40 constant sites of all T into the alignment.			

7.18.1 Example usages:

• Print alignment information about parsimony informative sites:

```
iqtree -s example.phy -m JC -n 0 -alninfo
```

The first few lines of the output file example.phy.alninfo may look like this:

```
# Alignment site statistics
# This file can be read in MS Excel or in R with command:
    tab=read.table('example.phy.alninfo',header=TRUE)
# Columns are tab-separated with following meaning:
             Site ID
    Site:
             Statistic\,,\ \textit{I=informative}\,,\ \textit{C=constant}\,,\ \textit{c=constant}\,+
#
    Stat:
   ambiguous,
#
              U=Uninformative but not constant, -=all-gaps
Site
         Stat
1
         U
2
         Ι
3
         Ι
4
         IJ
5
         U
```

• Print site log-likelihood and posterior probability for each Gamma rate category:

```
iqtree -s example.phy -m JC+G -wspr -wslr -n 0
```

The first few lines of the output file example.phy.siteprob (printed by -wspr option) may look like this:

Site	p1 p2	p3 p4		
1	0.180497	0.534405	0.281 0.004	109816
2	4.73239e-05	0.0373409	0.557705	0.404907
3	1.23186e-08	0.000426294	0.0672021	0.932372
4	0.180497	0.534405	0.281 0.004	109816
5	0.180497	0.534405	0.281 0.004	109816

where pX is the probability of the site falling into rate category X.

The first few lines of the output file example.phy.sitelh (printed by -wslr option) may look like this:

```
# Site likelihood per rate/mixture category
# This file can be read in MS Excel or in R with command:
# tab=read.table('example.phy.sitelh',header=TRUE,fill=TRUE)
# Columns are tab-separated with following meaning:
# Site: Alignment site ID
# LnL: Logarithm of site likelihood
# Thus, sum of LnL is equal to tree log-likelihood
# LnLW_k: Logarithm of (category-k site likelihood times category-k weight)
```

Thus, sum o	$f exp(LnLW_k)$ is	equal to exp(Ln	nL)
LnL LnLW_1	LnLW_2 LnLW_3	LnLW_4	
-7.0432 -8.7552	-7.6698 -8.3126	-12.5404	
-17.5900	-27.5485	-20.8776	-18.1739
-18.4941			
-20.3313	-38.5435	-28.0917	-23.0314
-20.4014			
-7.0432 -8.7552	-7.6698 -8.3126	-12.5404	
-7.0432 -8.7552	-7.6698 -8.3126	-12.5404	
	LnL LnLW_1 -7.0432 -8.7552 -17.5900 -18.4941 -20.3313 -20.4014 -7.0432 -8.7552	LnL LnLW_1 LnLW_2 LnLW_3 -7.0432 -8.7552 -7.6698 -8.3126 -17.5900 -27.5485 -18.4941 -20.3313 -38.5435 -20.4014 -7.0432 -8.7552 -7.6698 -8.3126	-7.0432 -8.7552 -7.6698 -8.3126 -12.5404 -17.5900 -27.5485 -20.8776 -18.4941 -38.5435 -28.0917

Chapter 8

Substitution models

All common substitution models and usages.

IQ-TREE supports a wide range of substitution models, including advanced partition and mixture models. This guide gives a detailed information of all available models.

TIP: If you do not know which model to use, simply run IQ-TREE with the standard model selection (-m TEST option) or the new ModelFinder (-m MFP). It automatically determines best-fit model for your data.

8.1 DNA models

8.1.1 Base substitution rates

IQ-TREE includes all common DNA models (ordered by complexity):

Model	df	Explanation	Code
JC or JC69	0	Equal substitution rates and equal base frequencies (Jukes and Cantor, 1969).	000000
F81	3	Equal rates but unequal base freq. (Felsenstein, 1981).	000000
K80 or K2P	1	Unequal transition/transversion rates and equal base freq. (Kimura, 1980).	010010
HKY or HKY85	4	Unequal transition/transversion rates and unequal base freq. (Hasegawa, Kishino and Yano, 1985).	010010
TN or TN93	5	Like HKY but unequal purine/pyrimidine rates (Tamura and Nei, 1993).	010020

Model	$\mathrm{d}\mathrm{f}$	Explanation	Code
TNe	2	Like TN but equal base freq.	010020
K81 or K3P	2	Three substitution types model and equal base freq.	012210
		(Kimura, 1981).	
K81u	5	Like K81 but unequal base freq.	012210
TPM2	2	AC=AT, AG=CT, CG=GT and equal base freq.	010212
TPM2u	5	Like TPM2 but unequal base freq.	010212
TPM3	2	AC=CG, AG=CT, AT=GT and equal base freq.	012012
TPM3u	5	Like TPM3 but unequal base freq.	012012
TIM	6	Transition model, AC=GT, AT=CG and unequal	012230
		base freq.	
TIMe	3	Like TIM but equal base freq.	012230
TIM2	6	AC=AT, CG=GT and unequal base freq.	010232
TIM2e	3	Like TIM2 but equal base freq.	010232
TIM3	6	AC=CG, AT=GT and unequal base freq.	012032
TIM3e	3	Like TIM3 but equal base freq.	012032
TVM	7	Transversion model, AG=CT and unequal base freq.	012314
TVMe	4	Like TVM but equal base freq.	012314
SYM	5	Symmetric model with unequal rates but equal base	012345
		freq. (Zharkikh, 1994).	
GTR	8	General time reversible model with unequal rates and	012345
		unequal base freq. (Tavare, 1986).	

The last column Code is a 6-digit code defining the equality constraints for 6 relative substitution rates: A-C, A-G, A-T, C-G, C-T and G-T. 010010 means that A-G rate is equal to C-T rate (corresponding to 1 in the code) and the remaining four substitution rates are equal (corresponding to 0 in the code). Thus, 010010 is equivalent to K80 or HKY model (depending on whether base frequencies are equal or not). 012345 is equivalent to GTR or SYM model as there is no restriction defined by such 6-digit code.

Moreover, IQ-TREE supports arbitrarily restricted DNA model via a 6-digit code, e.g. with option -m 012012+G.

NOTE: The digits in the codes do not necessarily have to have the same order as above. That means '101101' describes the same matrix as '010010'. The last rate, which corresponds to G-T, (and all rates with the same digit) is always set equal to 1.0 for convenience because the rates are relative.

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If users want to fix model parameters, append the model name with a curly bracket $\{$, followed by the comma-separated rate parameters, and a closing curly bracket $\}$. For example, GTR $\{1.0,2.0,1.5,3.7,2.8\}$ specifies 6 substitution rates A-C=1.0, A-G=2.0, A-T=1.5, C-G=3.7, C-T=2.8 and G-T=1.0.

Another example is for model TIM2 that has the 6-digit code 010232. Thus, TIM2 {4.39,5.30,12.1} means that A-C=A-T=4.39 (coded 1), A-G=5.30 (coded 2), C-T=12.1 (coded 3) and C-G=G-T=1.0 (coded 0). This is, in turn, equivalent to specifying GTR{4.39,5.30,4.39,1.0,12.1}.

8.1.2 Base frequencies

Users can specify three different kinds of base frequencies:

FreqType	Explanation
+F	Empirical base frequencies. This is the default if the model has unequal base freq.
+FQ +FO	Equal base frequencies. Optimized base frequencies by maximum-likelihood.

For example, GTR+FO optimizes base frequencies by ML whereas GTR+F (default) counts base frequencies directly from the alignment.

Finally, users can fix base frequencies with e.g. GTR+F{0.1,0.2,0.3,0.4} to fix the corresponding frequencies of A, C, G and T (must sum up to 1.0).

8.1.3 Lie Markov models

Starting with version 1.6, IQ-TREE supports a series of Lie Markov models (Woodhams et al., 2015), many of which are non-reversible models. Lie Markov models have a consistent property, which is lacking in other common models such as GTR. The following table shows the list of all Lie Markov models (the number before . in the name shows the number of parameters of the model):

Model	Rev?	Freq	Note
1.1	Yes	0	equiv. to JC
2.2b	Yes	0	equiv. to K2P
3.3a	Yes	0	equiv. to K3P
3.3b	No	0	
3.3c	Yes	0	equiv. to TNe

Model	Rev?	Freq	Note
3.4	Yes	1	
4.4a	Yes	3	equiv. to F81
4.4b	Yes	1	
4.5a	No	1	
4.5b	No	1	
5.6a	No	0	
5.6b	No	3	
5.7a	No	2	
5.7b	No	0	
5.7c	No	0	
5.11a	No	2	
5.11b	No	0	
5.11c	No	0	
5.16	No	1	
6.6	No	1	equiv. to STRSYM (strand symmetric model)
6.7a	No	3	F81+K3P
6.7b	No	3	
6.8a	No	3	
6.8b	No	1	
6.17a	No	1	
6.17b	No	1	
8.8	No	3	
8.10a	No	3	
8.10b	No	1	
8.16	No	3	
8.17	No	3	
8.18	No	3	
9.20a	No	2	
9.20b	No	0	Doubly stochastic
10.12	No	3	
10.34	No	3	
12.12	No	3	equiv. to UNREST (unrestricted model)

Column **Rev?** shows whether the model is reversible or not. Column **Freq** shows the number of free base frequencies. 0 means equal base frequency; 1 means f(A)=f(G) and f(C)=f(T); 2 means f(A)+f(G)=0.5=f(C)+f(T); 3 means unconstrained frequencies.

All Lie Markov models can have one of the following prefices:

Prefix	Meaning
RY	purine-pyrimidine pairing (default)
WS	weak-strong pairing
MK	aMino-Keto pairing

8.2 Protein models

8.2.1 Amino-acid exchange rate matrices

IQ-TREE supports all common empirical amino-acid exchange rate matrices (alphabetical order):

Model	Region	Explanation
Blosum62huclear		BLOcks SUbstitution Matrix (Henikoff and Henikoff, 1992).
		Note that BLOSUM62 is not recommended for phylogenetic
		analysis as it was designed mainly for sequence alignments.
cpREV	chloropla	asthloroplast matrix (Adachi et al., 2000).
Dayhoff	nuclear	General matrix (Dayhoff et al., 1978).
DCMut	nuclear	Revised Dayhoff matrix (Kosiol and Goldman, 2005).
FLU	viral	Influenza virus (Dang et al., 2010).
HIVb	viral	HIV between-patient matrix HIV-Bm (Nickle et al., 2007).
HIVw	viral	HIV within-patient matrix HIV-Wm (Nickle et al., 2007).
JTT	nuclear	General matrix (Jones et al., 1992).
JTTDC:	Muutclear	Revised JTT matrix (Kosiol and Goldman, 2005).
LG	nuclear	General matrix (Le and Gascuel, 2008).
mtART	mitochor	nd Mat lochondrial Arthropoda (Abascal et al., 2007).
mtMAN	I mitochor	nd Mat lochondrial Mammalia (Yang et al., 1998).
mtREV	mitochor	nd Matochondrial Vertebrate (Adachi and Hasegawa, 1996).
mtZOA	mitochor	nd Matlochondrial Metazoa (Animals) (Rota-Stabelli et al., 2009).
mtMet		nd Matlochondrial Metazoa (Vinh et al., 2017).
mtVer		nd Matochondrial Vertebrate (Vinh et al., 2017).
mtInv	mitochor	nd Matochondrial Inverterbrate (Vinh et al., 2017).
Poisson	none	Equal amino-acid exchange rates and frequencies.
PMB	nuclear	Probability Matrix from Blocks, revised BLOSUM matrix
		(Veerassamy et al., 2004).
rtREV	viral	Retrovirus (Dimmic et al., 2002).
VT	nuclear	General 'Variable Time' matrix (Mueller and Vingron, 2000).
WAG	nuclear	General matrix (Whelan and Goldman, 2001).

Model	Region	Explanation
GTR20	general	General time reversible models with 190 rate parameters. WARNING: Be careful when using this parameter-rich model as parameter estimates might not be stable, especially when not having enough phylogenetic information (e.g. not long enough alignments).

8.2.2 Protein mixture models

IQ-TREE also supports a series of protein mixture models:

Model	Explanation
C10 to	10, 20, 30, 40, 50, 60-profile mixture models (Le et al., 2008a) as
C60	variants of the CAT model (Lartillot and Philippe, 2004) for ML.
	Note that these models assume Poisson AA replacement and
	implicitly include a Gamma rate heterogeneity among sites.
EX2	Two-matrix model for exposed/buried AA sites (Le et al., 2008b).
EX3	Three-matrix model for highly exposed/intermediate/buried AA
	sites (Le et al., 2008b).
EHO	Three-matrix model for extended/helix/other sites (Le et al., 2008b).
UL2, UL3	Unsupervised-learning variants of EX2 and EX3, respectively.
EX_EHO	Six-matrix model combining EX2 and EHO (Le and Gascuel, 2010).
LG4M	Four-matrix model fused with Gamma rate heterogeneity (Le et al.,
	2012).
LG4X	Four-matrix model fused with FreeRate heterogeneity (Le et al.,
	2012).
CF4	Five-profile mixture model (Wang et al., 2008).

One can even combine a protein matrix with a profile mixture model like:

- LG+C20: Applying LG matrix instead of Poisson for all 20 classes of AA profiles and a Gamma rate heterogeneity.
- LG+C20+F: Applying LG matrix for 20 classes plus the 21th class of empirical AA profile (counted from the current data) and Gamma rate heterogeneity.
- JTT+CF4+G: Applying JTT matrix for all 5 classes of AA profiles and Gamma rate heteorogeneity.

Moreover, one can override the Gamma rate by FreeRate heterogeneity:

• LG+C20+R4: Like LG+C20 but replace Gamma by FreeRate heterogeneity.

8.2.3 User-defined empirical protein models

If the matrix name does not match any of the above listed models, IQ-TREE assumes that it is a file containing AA exchange rates and frequencies in PAML format. It contains the lower diagonal part of the matrix and 20 AA frequencies, e.g.:

```
0.425093
0.276818 0.751878
0.395144 0.123954 5.076149
2.489084 0.534551 0.528768 0.062556
0.969894 2.807908 1.695752 0.523386 0.084808
1.038545 0.363970 0.541712 5.243870 0.003499 4.128591
2.066040 0.390192 1.437645 0.844926 0.569265 0.267959 0.348847
0.358858 2.426601 4.509238 0.927114 0.640543 4.813505 0.423881
   0.311484
0.149830 0.126991 0.191503 0.010690 0.320627 0.072854 0.044265
   0.008705 0.108882
0.395337 0.301848 0.068427 0.015076 0.594007 0.582457 0.069673
   0.044261 0.366317 4.145067
0.536518 6.326067 2.145078 0.282959 0.013266 3.234294 1.807177
   0.296636 0.697264 0.159069 0.137500
1.124035 \quad 0.484133 \quad 0.371004 \quad 0.025548 \quad 0.893680 \quad 1.672569 \quad 0.173735
   0.139538 0.442472 4.273607 6.312358 0.656604
0.253701 0.052722 0.089525 0.017416 1.105251 0.035855 0.018811
   0.089586 0.682139 1.112727 2.592692 0.023918 1.798853
1.177651 0.332533 0.161787 0.394456 0.075382 0.624294 0.419409
   0.196961 0.508851 0.078281 0.249060 0.390322 0.099849 0.094464
4.727182 0.858151 4.008358 1.240275 2.784478 1.223828 0.611973
   1.739990 0.990012 0.064105 0.182287 0.748683 0.346960 0.361819
    1.338132
2.139501 0.578987 2.000679 0.425860 1.143480 1.080136 0.604545
   0.129836 0.584262 1.033739 0.302936 1.136863 2.020366 0.165001
    0.571468 6.472279
0.180717 0.593607 0.045376 0.029890 0.670128 0.236199 0.077852
   0.268491 0.597054 0.111660 0.619632 0.049906 0.696175 2.457121
    0.095131 0.248862 0.140825
0.218959 0.314440 0.612025 0.135107 1.165532 0.257336 0.120037
   0.054679 5.306834 0.232523 0.299648 0.131932 0.481306 7.803902
    0.089613 0.400547 0.245841 3.151815
2.547870 0.170887 0.083688 0.037967 1.959291 0.210332 0.245034
   0.076701 0.119013 10.649107 1.702745 0.185202 1.898718
   0.654683 0.296501 0.098369 2.188158 0.189510 0.249313
0.079066 \ 0.055941 \ 0.041977 \ 0.053052 \ 0.012937 \ 0.040767 \ 0.071586
```

```
0.057337 0.022355 0.062157 0.099081 0.064600 0.022951 0.042302 0.044040 0.061197 0.053287 0.012066 0.034155 0.069147
```

(This is an example of an LG matrix taken from PAML package). Note that the amino-acid order in this file is:

```
A R N D C Q E G H I L K M F P S
T W Y V

Ala Arg Asn Asp Cys Gln Glu Gly His Ile Leu Lys Met Phe Pro Ser
Thr Trp Tyr Val
```

8.2.4 Amino-acid frequencies

By default, AA frequencies are given by the model. Users can change this with:

FreqType	Explanation
+F +FO +FQ	empirical AA frequencies from the data. ML optimized AA frequencies from the data. Equal AA frequencies.

Users can also specify AA frequencies with, e.g.:

```
+F {0.079066,0.055941,0.041977,0.053052,0.012937,0.040767,0.071586,0.057337,0
```

(Example corresponds to the AA frequencies of the LG matrix).

8.3 Codon models

To apply a codon model one should use the option -st CODON to tell IQ-TREE that the alignment contains protein coding sequences (otherwise, IQ-TREE thinks that it contains DNA sequences and will apply DNA models). This implicitly applies the standard genetic code. You can change to an other genetic code by appending the appropriate ID to the CODON keyword:

Code	Genetic code meaning
CODON	11 The Standard Code (same as -st CODON)
CODON2 The Vertebrate Mitochondrial Code	

Code	Genetic code meaning
CODON	3 The Yeast Mitochondrial Code
CODON	4 The Mold, Protozoan, and Coelenterate Mitochondrial Code and the
	Mycoplasma/Spiroplasma Code
CODONS	5 The Invertebrate Mitochondrial Code
CODON	The Ciliate, Dasycladacean and Hexamita Nuclear Code
CODON9	The Echinoderm and Flatworm Mitochondrial Code
CODON	10The Euplotid Nuclear Code
CODON	11The Bacterial, Archaeal and Plant Plastid Code
CODON	12The Alternative Yeast Nuclear Code
CODON	13The Ascidian Mitochondrial Code
CODON	14The Alternative Flatworm Mitochondrial Code
CODON	16Chlorophycean Mitochondrial Code
CODON	21Trematode Mitochondrial Code
CODON	22Scenedesmus obliquus Mitochondrial Code
CODON	23Thraustochytrium Mitochondrial Code
CODON	24Pterobranchia Mitochondrial Code
CODON	25Candidate Division SR1 and Gracilibacteria Code

(The IDs follow the specification at http://www.ncbi.nlm.nih.gov/Taxonomy/Utils/wprintgc.cgi).

8.3.1 Codon substitution rates

IQ-TREE supports several codon models:

Model	Explanation
MG	Nonsynonymous/synonymous (dn/ds) rate ratio (Muse and Gaut, 1994).
MGK	Like MG with additional transition/transversion (ts/tv) rate ratio.
MG1KTS or MGKAP2	Like MG with a transition rate (Kosiol et al., 2007).
MG1KTV or MGKAP3	Like MG with a transversion rate (Kosiol et al., 2007).
MG2K or MGKAP4	Like MG with a transition rate and a transversion rate (Kosiol et al., 2007).
GY	Nonsynonymous/synonymous and transition/transversion rate ratios (Goldman and Yang, 1994).

Model	Explanation
GY1KTS or	Like GY with a transition rate (Kosiol et al., 2007).
GYKAP2	
GY1KTV or	Like GY with a transversion rate (Kosiol et al., 2007).
GYKAP3	
GY2K or	Like GY with a transition rate and a transversion rate (Kosiol et
GYKAP4	al., 2007).
ECMK07 or	Empirical codon model (Kosiol et al., 2007).
KOSI07	
ECMrest	Restricted version of ECMK07 that allows only one nucleotide exchange.
ECMS05 or SCHN05	Empirical codon model (Schneider et al., 2005).

The last three models (ECMK07, ECMrest or ECMS05) are called *empirical* codon models, whereas the others are called *mechanistic* codon models.

Moreover, IQ-TREE supports combined empirical-mechanistic codon models using an underscore separator (_). For example:

• ECMK07_GY2K: The combined ECMK07 and GY2K model, with the rate entries being multiplication of the two corresponding rate matrices.

Thus, there can be many such combinations.

Starting with version 1.5.6: If the model name does not match any of the above listed models, IQ-TREE assumes that it is a file containing lower diagonal part of non-stop codon exchange rate matrix, non-stop codon frequencies and a list of non-stop codons. The rest of the file will be ignored. Example files (ECMrest.dat and ECMunrest.dat) can be downloaded from the supplementary material (Kosiol et al., 2007).

NOTE: Branch lengths under codon models are interpreted as number of nucleotide substitutions per codon site. Thus, they are typically 3 times longer than under DNA models.

8.3.2 Codon frequencies

IQ-TREE supports the following codon frequencies:

FreqType	Explanation
+F	Empirical codon frequencies counted from the data.
$+\mathrm{FQ}$	Equal codon frequencies.
+F1X4	Unequal nucleotide frequencies but equal nt frequencies over three codon positions.
+F3X4	Unequal nucleotide frequencies and unequal nt frequencies over three codon positions.

If not specified, the default codon frequency will be +F3X4 for MG-type models, +F for GY-type models and given by the model for empirical codon models.

8.4 Binary and morphological models

The binary alignments should contain state 0 and 1, whereas for morphological data, the valid states are 0 to 9 and A to Z.

Model	Explanation
$\overline{ m JC2}$	Jukes-Cantor type model for binary data.
GTR2	General time reversible model for binary data.
MK	Jukes-Cantor type model for morphological data.
ORDERED	Allowing exchange of neighboring states only.

Except for GTR2 that has unequal state frequencies, all other models have equal state frequencies.

TIP: If morphological alignments do not contain constant sites (typically the case), then an ascertainment bias correction model (+ASC) should be applied to correct the branch lengths for the absence of constant sites.

8.5 Ascertainment bias correction

An ascertainment bias correction (+ASC) model (Lewis, 2001) should be applied if the alignment does not contain constant sites (such as morphological or SNPs data). For example:

• MK+ASC: For morphological data.

• GTR+ASC: For SNPs data.

+ASC will correct the likelihood conditioned on variable sites. Without +ASC, the branch lengths might be overestimated.

8.6 Rate heterogeneity across sites

IQ-TREE supports all common rate heterogeneity across sites models:

RateType	Explanation			
$\overline{+I}$	allowing for a proportion of invariable sites.			
+G	discrete Gamma model (Yang, 1994) with default 4 rate categories.			
	The number of categories can be changed with e.g. +G8.			
+I+G	invariable site plus discrete Gamma model (Gu et al., 1995).			
+R	FreeRate model (Yang, 1995; Soubrier et al., 2012) that generalizes			
	the +G model by relaxing the assumption of Gamma-distributed rates.			
	The number of categories can be specified with e.g. +R6 (default 4			
	categories if not specified). The FreeRate model typically fits data			
	better than the +G model and is recommended for analysis of large			
	data sets.			
+I+R	invariable site plus FreeRate model.			

TIP: The new ModelFinder (-m MFP option) tests the FreeRate model, whereas the standard procedure (-m TEST) does not.

Users can fix the parameters of the model. For example, +I{0.2} will fix the proportion of invariable sites (pinvar) to 0.2; +G{0.9} will fix the Gamma shape parameter (alpha) to 0.9; +I{0.2}+G{0.9} will fix both pinvar and alpha. To fix the FreeRate model parameters, use the syntax +Rk{w1,r1,...,wk,rk} (replacing k with the number of categories). Here, w1, ..., wk are the weights and r1, ..., rk the rates for each category.

NOTE: For the +G model IQ-TREE implements the *mean* approximation approach (Yang, 1994). The same is done in RAxML and PhyML. However, some programs like TREE-PUZZLE implement the *median* approximation approach, which makes the resulting log-likelihood not comparable. IQ-TREE can change to this approach via the -gmedian option.

Chapter 9

Complex models

Complex models such as partition and mixture models.

This document gives detailed descriptions of complex maximum-likelihood models available in IQ-TREE. It is assumed that you know the basic substitution models already.

9.1 Partition models

Partition models are intended for phylogenomic (e.g., multi-gene) alignments, which allow each partition to have its own substitution models and evolutionary rates. IQ-TREE supports three types of partition models:

- 1. Edge-equal partition model with equal branch lengths: All partitions share the same set of branch lengths.
- 2. Edge-proportional partition model with proportional branch lengths: Like above but each partition has its own partition specific rate, that rescales all its branch lengths. This model accommodates different evolutionary rates between partitions (e.g. between 1st, 2nd, and 3rd codon positions).
- 3. Edge-unlinked partition model: Each partition has its own set of branch lengths. This is the most parameter-rich partition model, that accounts for e.g., heterotachy (Lopez et al., 2002).

TIP: The edge-equal partition model is typically unrealistic as it does not account for different evolutionary speeds between partitions, whereas the edge-unlinked partition model can be overfitting if there are many short partitions. Therefore, the edge-proportional partition model is recommended for a typical analysis.

9.1.1 Partition file format

To apply partition models users must first prepare a partition file in RAxML-style or NEXUS format. The RAxML-style is defined by the RAxML software and may look like:

```
DNA, part1 = 1-100
DNA, part2 = 101-384
```

This means two DNA partitions of an alignment, where one groups alignment sites 1-100 into part1 and 101-384 into part2.

The NEXUS format is more complex but more powerful. For example, the above partition scheme may look like:

```
#nexus
begin sets;
    charset part1 = 1-100;
    charset part2 = 101-384;
    charpartition mine = HKY+G:part1, GTR+I+G:part2;
end;
```

The first line contains the keyword #nexus to indicate a NEXUS file. It has a sets block, which contains two character sets (charset command) named part1 and part2. Furthermore, with the charpartition command we set the model HKY+G for part1 and GTR+I+G for part2. This is not possible with the RAxML-style format (i.e., one cannot specify +G rate model for one partition and +I+G rate model for the other partition).

TIP: IQ-TREE fully supports mixed rate heterogeneity types types between partitions (see above example).

One can also specify non-consecutive sites of a partition, e.g. under RAxML-style format:

```
DNA, part1 = 1-100, 250-384
```

```
DNA, part2 = 101-249\3, 102-249\3
DNA, part3 = 103-249\3
```

or under NEXUS format:

```
#nexus
begin sets;
    charset part1 = 1-100 250-384;
    charset part2 = 101-249\3 102-249\3;
    charset part3 = 103-249\3;
end;
```

This means, part2 contains sites 101, 102, 104, 105, 107, ..., 246, 248, 249; whereas part3 contains sites 103, 106, ..., 247. This is useful to specify partitions corresponding to 1st, 2nd and 3rd codon positions.

Moreover, the NEXUS file allows each partition to come from a separate alignment file (not possible under RAxML-style format) with e.g.:

```
#nexus
begin sets;
    charset part1 = aln1.phy: 1-100\3 201-300;
    charset part2 = aln1.phy: 101-200;
    charset part3 = aln2.phy: *;
    charpartition mine = HKY:part1, GTR+G:part2, WAG+I+G:part3;
end;
```

Here, part1 and part2 correspond to sub-alignments of aln1.phy file and part3 is the entire alignment file aln2.phy. Note that aln2.phy is a protein alignment in this example.

```
TIP: IQ-TREE fully supports mixed data types between partitions.
```

If you want to specify codon model for a partition, use the CODON keyword (otherwise, the partition may be detected as DNA):

```
#nexus
begin sets;
    charset part1 = aln1.phy:CODON, 1-300;
    charset part2 = aln1.phy: 301-400;
    charset part3 = aln2.phy: *;
    charpartition mine = GY:part1, GTR+G:part2, WAG+I+G:part3;
end;
```

Note that this assumes part1 has standard genetic code. If not, append CODON with the right genetic code ID.

9.1.2 Partitioned analysis

Having prepared a partition file, one is ready to start a partitioned analysis with -q (edge-equal), -spp (edge-proportional) or -sp (edge-unlinked) option. See this tutorial for more details.

9.2 Mixture models

9.2.1 What is the difference between partition and mixture models?

Mixture models, like partition models, allow more than one substitution model along the sequences. However, while a partition model assigns each alignment site a given specific model, mixture models do not need this information. A mixture model will compute for each site its probability (or weight) of belonging to each of the mixture classes (also called categories or components). Since the site-to-class assignment is unknown, the site likelihood under mixture models is the weighted sum of site likelihoods per mixture class.

For example, the discrete Gamma rate heterogeneity is a simple mixture model type. It has several rate categories with equal weight. IQ-TREE also supports a number of predefined protein mixture models such as the profile mixture models C10 to C60 (The ML variants of Bayesian CAT models).

Here, we discuss several possibilities to define new mixture models in IQ-TREE.

9.2.2 Defining mixture models

To start with, the following command:

```
iqtree -s example.phy -m "MIX{JC,HKY}"
```

specifies a mixture model (via the MIX keyword in the model string) with two components. The components (1) JC model, and (2) HKY model, are given in curly brackets and separated with a comma. IQ-TREE will then estimate the parameters of both mixture components as well as their weights: the proportion of sites belonging to each component.

NOTE: Do not forget the double-quotes around model string! They prevent interpretation of the curly brackets by the command line shell, i.e., MIX{JC,HKY} would otherwise be interpreted as MIXJC MIXHKY.

Mixture models can be combined with rate heterogeneity, e.g.:

```
iqtree -s example.phy -m "MIX{JC,HKY}+G4"
```

Here, we specify two mixture components and four Gamma rate categories. Effectively, this means that there are eight mixture components. Each site has a probability belonging to either JC or HKY and to one of the four rate categories.

9.2.3 Profile mixture models

Sometimes one only wants to model the changes in nucleotide or amino-acid frequencies along the sequences while keeping the substitution rate matrix the same. This can be specified in IQ-TREE via FMIX{...} model syntax. For convenience the mixture components can be defined in a NEXUS file like this (example corresponds to the CF4 model of (Wang et al., 2008)):

```
#nexus
begin models;
    frequency Fclass1 = 0.02549352 0.01296012 0.005545202
       0.006005566 \ 0.01002193 \ 0.01112289 \ 0.008811948 \ 0.001796161
       0.004312188 0.2108274 0.2730413 0.01335451 0.07862202
       0.03859909 \ 0.005058205 \ 0.008209453 \ 0.03210019 \ 0.002668138
       0.01379098 0.2376598;
    frequency Fclass2 = 0.09596966 0.008786096 0.02805857
       0.01880183 0.005026264 0.006454635 0.01582725 0.7215719
       0.003379354 0.002257725 0.003013483 0.01343441 0.001511657
        0.002107865 0.006751404 0.04798539 0.01141559 0.000523736
        0.002188483 0.004934972;
    frequency Fclass3 = 0.01726065 0.005467988 0.01092937
       0.3627871 0.001046402 0.01984758 0.5149206 0.004145081
       0.002563289 0.002955213 0.005286931 0.01558693 0.002693098
        0.002075771 0.003006167 0.01263069 0.01082144 0.000253451
        0.001144787 0.004573568;
    frequency Fclass4 = 0.1263139 0.09564027 0.07050061
       0.03316681 0.02095119 0.05473468 0.02790523 0.009007538
       0.03441334 0.005855319 0.008061884 0.1078084 0.009019514
       0.05018693 0.07948 0.09447839 0.09258897 0.01390669
       0.05367769 0.01230413;
```

```
frequency CF4model = FMIX{empirical,Fclass1,Fclass2,Fclass3,
          Fclass4};
end;
```

NOTE: The amino-acid order in this file is: A R N D C Q E G H I L K M F P S T W Y V.

Here, the NEXUS file contains a models block to define new models. More explicitly, we define four AA profiles Fclass1 to Fclass4, each containing 20 AA frequencies. Then, the frequency mixture is defined with

```
FMIX{empirical,Fclass1,Fclass2,Fclass3,Fclass4}
```

This means, we have five components: the first corresponds to empirical AA frequencies to be inferred from the data and the remaining four components are specified in this NEXUS file. Please save this to a file, say, mymodels.nex. One can now start the analysis with:

```
iqtree -s some_protein.aln -mdef mymodels.nex -m JTT+CF4model+G
```

The -mdef option specifies the NEXUS file containing user-defined models. Here, the JTT matrix is applied for all alignment sites and one varies the AA profiles along the alignment. One can use the NEXUS syntax to define all other profile mixture models such as C10 to C60.

9.2.4 NEXUS model file

In fact, IQ-TREE uses this NEXUS model file internally to define all protein mixture models. In addition to defining state frequencies, one can specify the entire model with rate matrix and state frequencies together. For example, the LG4M model (Le et al., 2012) can be defined by:

```
model LG4M = MIX{LG4M1,LG4M2,LG4M3,LG4M4}*G4;
end;
```

Here, we first define the four matrices LG4M1, LG4M2, LG4M3 and LG4M4 in PAML format (see protein models). Then LG4M is defined as mixture model with these four components *fused* with Gamma rate heterogeneity (via *G4 syntax instead of +G4). This means that, in total, we have 4 mixture components instead of 16. The first component LG4M1 is rescaled by the rate of the lowest Gamma rate category. The fourth component LG4M4 corresponds to the highest rate.

Note that both frequency and model commands can be embedded into a single model file.

9.3 Site-specific frequency models

Starting with version 1.5.0, IQ-TREE provides a new posterior mean site frequency (PMSF) model as a rapid approximation to the time and memory consuming profile mixture models C10 to C60 (Le et al., 2008a; a variant of PhyloBayes' CAT model). The PMSF are the amino-acid profiles for each alignment site computed from an input mixture model and a guide tree. The PMSF model is much faster and requires much less RAM than C10 to C60 (see table below), regardless of the number of mixture classes. Our extensive simulations and empirical phylogenomic data analyses demonstrate that the PMSF models can effectively ameliorate long branch attraction artefacts.

If you use this model in a publication please cite:

H.C. Wang, B.Q. Minh, S. Susko and A.J. Roger (2018) Modeling site heterogeneity with posterior mean site frequency profiles accelerates accurate phylogenomic estimation. *Syst. Biol.*, 67:216-235. https://doi.org/10.1093/sysbio/syx068

Here is an example of computation time and RAM usage for an Obazoa data set (68 sequences, 43615 amino-acid sites) from Brown et al. (2013) using 16 CPU cores:

Models	CPU time	Wall-clock time	RAM usage
LG+F+G	43h:38m:23s	3h:37m:23s	1.8 GB
LG+C2O+F+G	584h:25m:29s	46h:39m:06s	38.8 GB
LG+C60+F+G	1502h:25m:31s	125h:15m:29s	$112.8~\mathrm{GB}$
LG+PMSF+G	73h:30m:37s	5h:7m:27s	$2.2~\mathrm{GB}$

9.3.1 Example usages

To use the PMSF model you have to provide a *guide tree*, which, for example, can be obtained by a quicker analysis under the simpler LG+F+G model. The guide tree can then be specified via -ft option, for example:

```
iqtree -s <alignment> -m LG+C2O+F+G -ft <guide_tree>
```

Here, IQ-TREE will perform two phases. In the first phase, IQ-TREE estimates mixture model parameters given the guide tree and then infers the site-specific frequency profile (printed to <code>.sitefreq</code> file). In the second phase, IQ-TREE will conduct typical analysis using the inferred frequency model instead of the mixture model to save RAM and running time. Note that without <code>-ft</code> option, IQ-TREE will conduct the analysis under the specified mixture model.

The PMSF model allows one, for the first time, to conduct nonparametric bootstrap under such complex models, for example (with 100 bootstrap replicates):

```
iqtree -s <alignment> -m LG+C20+F+G -ft <guide_tree> -b 100
```

Please note that the first phase still consumes as much RAM as the mixture model. To overcome this, you can perform the first phase in a high-memory server and the second phase in a normal PC as follows:

```
iqtree -s <alignment> -m LG+C2O+F+G -ft <guide_tree> -n O
```

This will stop the analysis after the first phase and also write a .sitefreq file. You can now copy this .sitefreq file to another low-memory machine and run with the same alignment:

```
iqtree -s <alignment> -m LG+C20+F+G -fs <file.sitefreq> -b 100
```

This will omit the first phase and thus need much less RAM.

Finally, note that for long (phylogenomic) alignments you can utilize the multicore IQ-TREE version to further save the computing times with, say, 24 cores by:

```
# For IQ-TREE version <= 1.5.X
iqtree-omp -nt 24 -s <alignment> -m LG+C2O+F+G -fs <file.sitefreq
>
# For IQ-TREE version >= 1.6.0
iqtree -nt 24 -s <alignment> -m LG+C2O+F+G -fs <file.sitefreq>
```

See also the list of relevant command line options.

9.4 Heterotachy models

Sequence data that have evolved under *heterotachy*, i.e., rate variation across sites and lineages (Lopez, Casane, and Philippe, 2002), are known to mislead phylogenetic inference (Kolaczkowski and Thornton, 2004). To address this issue we introduce the General Heterogeneous evolution On a Single Topology (GHOST) model. More specifically, GHOST is an *edge-unlinked mixture model* consisting of several site classes, each having a separate set of model parameters and edge lengths on the same tree topology. Thus, GHOST naturally accounts for heterotachous evolution. In contrast to an edge-unlinked partition model, the GHOST model does not require the *a priori* data partitioning, a possible source of model misspecification.

Extensive simulations show that the GHOST model can accurately recover the tree topology, branch lengths, substitution rate and base frequency parameters from heterotachously-evolved sequences. Moreover, we compare the GHOST model to the partition model and show that, owing to the minimization of model constraints, the GHOST model is able to offer unique biological insights when applied to empirical data.

If you use this model in a publication please cite:

S.M. Crotty, B.Q. Minh, N.G. Bean, B.R. Holland, J. Tuke, L.S. Jermiin and A. von Haeseler (2019) GHOST: Recovering historical signal from heterotachously-evolved sequence alignments. *Syst. Biol.*, in press. https://doi.org/10.1093/sysbio/syz051

9.4.1 Quick usages

Make sure that you have IQ-TREE version 1.6.0 or later. The GHOST model with k mixture classes is executed by adding +Hk to the model option (-m). For example if one wants to fit a GHOST model with 4 classes in conjunction with the GTR model of DNA evolution to sequences contained in data.fst, one would use the following command:

```
iqtree -s data.fst -m GTR+H4
```

By default the above command will link GTR parameters across all classes. If you want to unlink GTR parameters, so that IQ-TREE estimates them separately for each class, replace +H4 by *H4:

```
iqtree -s data.fst -m GTR*H4
```

Note that this infers one set of empirical base frequencies and apply those to all classes. If one wishes to infer separate base frequencies for each class then the +F0 option is required:

```
iqtree -s data.fst -m GTR+F0*H4
```

The -wspm option will generate a .siteprob output file. This contains the probability of each site belonging to each class:

```
iqtree -s data.fst -m GTR+F0*H4 -wspm
```

Chapter 10

Polymorphism-aware models

Use population data to improve inferences.

Polymorphism-aware phylogenetic Models (PoMo) improve phylogenetic inference using population data (site frequency data). Thereby they builds on top of DNA substitution models and naturally account for incomplete lineage sorting. In order to run PoMo, you need more sequences per species/population (ideally five or more chromosomes per species/population) so that information about the site frequency spectrum is available.

Currently this model is only available in the beta version 1.6. Please download it from here:

http://www.iqtree.org/#variant

TIP: For a quick overview of all PoMo related options in IQ-TREE, run the command iqtree -h and scroll to the heading POLYMORPHISM AWARE MODELS (PoMo).

If you use PoMo, please cite Schrempf et al., 2016:

Dominik Schrempf, Bui Quang Minh, Nicola De Maio, Arndt von Haeseler, and Carolin Kosiol (2016) Reversible polymorphism-aware phylogenetic models and their application to tree inference. J. Theor. Biol., 407, -362370. http://doi.org/10.1016/j.jtbi.2016.07.042.

10.1 Counts files

The input of PoMo is allele frequency data. Especially, when populations have many individuals it is preferable to count the number of bases at each position compared to providing data for each chromosome in a FASTA file. Thereby file size is decreased and parsed faster.

Counts files contain:

- One headerline that specifies the file as counts file and states the number of populations (leaves on the tree) as well as the number of sites (separated by white space).
- A second headerline with white space separated headings: CRHOM (chromosome), POS (position) and sequence names.
- Many lines with counts of A, C, G and T bases and their respective positions.

Comments:

• Lines before the first headerline starting with # are treated as comments.

Example:

COUNTSI	FILE	NPOP 5 Sheep	NSITES N BlackSheep	RedSheep	Wolf	RedWolf
1	1	_	0,0,1,0	0,0,1,0	0,0,5,0	
1	2	0,0,0,1	0,0,0,1	0,0,0,1	0,0,0,5	0,0,0,1
9	8373	0,0,0,1	1,0,0,0	0,1,0,0	0,1,4,0	0,0,1,0
Y	9999	0,0,0,1	0,1,0,0	0,1,0,0	0,5,0,0	0,0,1,0

The download also includes an example counts file called example.cf. This file is a subset of the great ape data that has been analyzed in one of our publications. It includes twelve great ape population with one to 23 inividuals each (two to 56 chromosomes).

10.1.1 Conversion scripts

If you do not want to create counts files with your own scripts, you can use the python script that we provide. For detailed instructions, please refer to the GitHub repository

of the counts file library cflib.

10.2 First running example

You can now start to reconstruct a maximum-likelihood tree from this alignment by entering (assuming that example.cf is in the same folder):

```
iqtree -s example.cf -m HKY+P
```

or, e.g.,

```
iqtree -nt 4 -s example.cf -m HKY+P
```

if you use the multicore (OMP) version. -s specifies of the alignment file and -m the model (HKY substitution model with polymorphisms; PoMo), similar to the standard IQ-TREE usage. At the end of the run IQ-TREE writes the same output files as in the standard version (see tutorial).

- example.cf.iqtree: the main report file that is self-readable. You should look at this file to see the computational results. It also contains a textual representation of the final tree.
- example.cf.treefile: the ML tree in NEWICK format, which can be visualized by any supported tree viewer programs like FigTree or iTOL.
- example.cf.log: log file of the entire run (also printed on the screen). To report bugs, please send this log file and the original alignment file to the authors.

The default prefix of all output files is the alignment file name. However, you can always change the prefix using the -pre option, e.g.:

```
iqtree -s example.cf -pre myprefix
```

This prevents output files to be overwritten when you perform multiple analyses on the same alignment within the same folder.

10.3 Substitution models

Different DNA substitution models can be selected with the -m option. E.g., to select the GTR model, run IQ-TREE with:

```
iqtree -s example.cf -m GTR+P
```

TIP: For a quick overview of all available models in IQ-TREE, run the command iqtree -h and scroll to the heading POLYMORPHISM AWARE MODELS (PoMo).

10.4 Virtual population size

PoMo describes the evolution of populations along a phylogeny by means of a virtual population of constant size N, which defaults to nine (for details, see Schrempf et al., 2016). This is a good and stable default value. If only very few chromosomes have been sequenced per population (e.g., two to four), N should be lowered to the average number of chromosomes per population. If enough data is available and calculations are not too time consuming, we advise to increase N up to a maximum of 19. You can choose odd values from three to 19 as well as 2 and 10. E.g., to set N to 19:

```
iqtree -s example.cf -m HKY+P+N19
```

10.5 Level of polymorphism

As of version 1.6, IQ-TREE with PoMo also allows fixation of the level of heterozygosity, which is also called Watterson's theta or 4Nu. When analyzing population data, the amount of polymorphism is inferred during maximization of the likelihood. However, in some situations it may be useful to set the level of polymorphism to the observed value in the data (empirical value):

```
iqtree -s example.cf -m HKY+P{EMP}
```

or to set the level of polymorphism by hand, e.g.,:

```
iqtree -s example.cf -m HKY+P{0.0025}
```

Together with the ability to set model parameters, the model can be fully specified, e.g.:

```
iqtree -s example.cf -m HKY{6.0}+P{0.0025}
```

This sets the transition to transversion ratio to a value of 6.0 and the level of polymorphism to a value of 0.0025. In this case, IQ-TREE only performs a tree search because the model is fully specified.

10.6 Sampling method

For advanced users. PoMo offers different methods to read in the data (Schrempf et al., 2016). Briefly, each population and site are treated as follows

1. Weighted binomial (default, +WB): assign the likelihood of each PoMo state to its probability of leading to the observed data, assuming it is **binomially** sampled. Example:

```
iqtree -s example.cf -m HKY+P+WB
```

2. Weighted hypergeometric (+WH): assign the likelihood of each PoMo state to its probability of leading to the observed data, assuming it is hypergeometrically sampled. Example:

```
iqtree -s example.cf -m HKY+P+WH
```

3. Sampled: randomly draw N samples with replacement from the given data. The N picked samples constitute a PoMo state which will be assigned a likelihood of 1. All other PoMo states have likelihood 0. Example:

```
iqtree -s example.cf -m HKY+P+S
```

We expect a slight overestimation of the heterozygosity for weighted binomial sampling. This is because monomorphic (fixed) states can be reached from polymorphic states during the sampling step, while polymorphic states cannot be reached from monomorphic states (sampling does not involve mutation). I.e., only when the level of heterozygosity at the leaves is overestimated, the sampling step leads to the correct amount of heterozygosity observed in the data.

If you wish to avoid this effect, use weighted hypergeometric sampling. However, we have observed that weighted binomial sampling is more stable.

10.7 State frequency type

Similar to standard models, the state frequency type can be selected with +F model string modifiers. The default is to set the state frequencies (i.e., the frequencies of the nucleotides A, C, G and T) to the observed values in the data (empirical value). To estimate the allele frequencies together with the rate parameters during maximization of the likelihood, use:

```
iqtree -s example.cf -m GTR+P+F0
```

10.8 Rate heterogeneity

Recently, PoMo allows inference with different rate categories. As of version 1.6, only discrete Gamma rate heterogeneity is supported. Please be aware, that for biological and mathematical reasons (we cannot simply scale the full transition matrix but have to separate the mutational component from genetic drift), the run time scales linearly with the number of rate categories. In the future, we plan to work on decreasing run time as well as implement more rate heterogeneity types. To use a discrete Gamma model with 4 rate categories, use:

```
iqtree -s example.cf -m HKY+P+G4
```

10.9 Bootstrap branch support

Bootstrapping works as expected with PoMo. The standard non-parametric bootstrap is invoked by the -b option, e.g., for 100 replicates:

```
iqtree -s example.cf -m HKY+P -b 100
```

To overcome the computational burden required by the non-parametric bootstrap, IQ-TREE introduces an ultra fast bootstrap approximation (UFBoot) that is orders of magnitude faster than the standard procedure and provides relatively unbiased branch support values. To run UFBoot, use the option -bb, e.g., for 1000 replicates:

```
iqtree -s example.cf -m HKY+P -bb 1000
```

For a detailed description, please refer to the bootstrap tutorial.

10.10 Interpretation of branch lengths

PoMo estimates the branch length in number of mutations and frequency shifts (drift) per site. The number of drift events compared to the number of mutations becomes higher if the virtual population size is increased. To get the branch length measured in number of substitutions per site which enables a comparison to the branch length estimated by standard DNA substitution models, it has to be divided by N^2. PoMo also outputs the total tree length measured in number of substitutions per site in example.cf.iqtree. An example of the relevant section:

NOTE: The branch lengths of PoMo measure mutations and frequency shifts.

To compare PoMo branch lengths to DNA substitution models use the tree length $\,$

measured in substitutions per site.

Total tree length (sum of branch lengths)

- measured in number of mutations and frequency shifts per site: 0.71200751
- measured in number of substitutions per site (divided by N^2): 0.00879022

Sum of internal branch lengths

- measured in mutations and frequency shifts per site: 0.01767814 (2.48285810% of tree length)
- measured in substitutions per site: 0.01767814 (2.48285810% of tree length)

Chapter 11

Compilation guide

For advanced users to compile IQ-TREE source code.

11.1 General requirements

- A C++ compiler such as GCC (version >= 4.8), Clang, MS Visual Studio and Intel C++ compiler.
- CMake version $\geq 2.8.10$.
- Eigen3 library (for IQ-TREE version >= 1.6). By default IQ-TREE will detect the path to the installed Eigen3 library. If this failed, you have to manually specify -DEIGEN3_INCLUDE_DIR=<installed_eigen3_dir> to the cmake command (see below).
- (Optional) If you want to compile the multicore version, make sure that the OpenMP library was installed. This should typically be the case with gcc under Linux.
- (Optional) Install git if you want to clone source code from IQ-TREE GitHub repository.

11.2 Downloading source code

Choose the source code (zip or tar.gz) of the IQ-TREE release you want to use from:

https://github.com/Cibiv/IQ-TREE/releases/

Alternatively, if you have git installed, you can also clone the source code from GitHub with:

```
git clone https://github.com/Cibiv/IQ-TREE.git
```

Please find below separate compilation guide for Linux, Mac OS X, and Windows as well as for 32-bit version or for MPI version.

11.3 Compiling under Linux

TIP: Ready made IQ-TREE packages are provided for Debian and Arch Linux (AUR).

- 1. Open a Terminal.
- 2. Change to the source code folder:

```
cd PATH_TO_EXTRACTED_SOURCE_CODE
```

3. Create a subfolder, say, build and go into this subfolder:

```
mkdir build cd build
```

4. Configure source code with CMake:

```
cmake ..
```

To build the multicore version please add -DIQTREE_FLAGS=omp to the cmake command:

```
cmake -DIQTREE_FLAGS=omp ..
```

If cmake failed with message about Eigen3 not found, then install Eigen3 library and run cmake again. If this still failed, you have to manually specify the downloaded directory of Eigen3 with:

```
cmake -DEIGEN3_INCLUDE_DIR=<eigen3_dir> ..
```

5. Compile source code with make:

```
make
```

You can speed up the compilation by specifying the number of CPU cores to use with make by e.g.:

```
make -j4
```

to use 4 cores instead of the default 1 core.

This creates an executable iqtree (iqtree-omp for older multicore versions $\leq 1.5.X$). It can be copied to your system search path so that IQ-TREE can be called from the Terminal simply with the command line iqtree.

TIP: The above guide typically compiles IQ-TREE with gcc. If you have Clang installed and want to compile with Clang, the compilation will be similar to Mac OS X like below.

11.4 Compiling under Mac OS X

TIP: A ready made IQ-TREE package is provided by * Homebrew by simply running brew install homebrew/science/iqtree.

- Make sure that Clang compiler is installed, which is typically the case if you installed Xcode and the associated command line tools.
- Find the path to the CMake executable, which is typically /Applications/CMake .app/Contents/bin/cmake. For later convenience, please create a symbolic link cmake to this cmake executable, so that cmake can be invoked from the Terminal by simply entering cmake.

The steps to compile IQ-TREE are similar to Linux (see above), except that you need to specify clang as compiler when configuring source code with CMake (step 4):

```
cmake -DCMAKE_C_COMPILER=clang -DCMAKE_CXX_COMPILER=clang++ ..
```

(please change cmake to absolute path like /Applications/CMake.app/Contents/bin/cmake).

To compile the multicore version, the default installed Clang unfortunately does not support OpenMP (which might change in the near future). However, the latest Clang 3.7 supports OpenMP, which can be downloaded from http://clang.llvm.org. After that you can run CMake with:

```
cmake -DIQTREE_FLAGS=omp -DCMAKE_C_COMPILER=clang-3.7 -
   DCMAKE_CXX_COMPILER=clang++-3.7 ..
```

(assuming that clang-3.7 and clang++-3.7 points to the installed Clang 3.7).

11.5 Compiling under Windows

- Please first install TDM-GCC (a GCC version for Windows) from http://tdm-gcc.tdragon.net.
- Then install Clang for Windows from http://clang.llvm.org.

WARNING: Although IQ-TREE can also be built with TDM-GCC, the executable does not run properly due to stack alignment issue and the libgomp library causes downgraded performance for the OpenMP version. Thus, it is recommended to compile IQ-TREE with Clang.

- 1. Open Command Prompt.
- 2. Change to the source code folder:

```
cd PATH_TO_EXTRACTED_SOURCE_CODE
```

Please note that Windows uses back-slash $(\)$ instead of slash $(\)$ as path name separator.

3. Create a subfolder, say, build and go into this subfolder:

```
mkdir build cd build
```

4. Configure source code with CMake:

```
cmake -G "MinGW Makefiles" -DCMAKE_C_COMPILER=clang -
   DCMAKE_CXX_COMPILER=clang++ -DCMAKE_C_FLAGS=--target=
   x86_64-pc-windows-gnu -DCMAKE_CXX_FLAGS=--target=x86_64-
   pc-windows-gnu -DCMAKE_MAKE_PROGRAM=mingw32-make ..
```

To build the multicore version please add -DIQTREE_FLAGS=omp to the cmake command. Note that the make program shipped with TDM-GCC is called mingw32-make, thus needed to specify like above. You can also copy mingw32-make to make to simplify this step.

5. Compile source code with:

```
mingw32-make
```

or

```
mingw32-make -j4
```

to use 4 cores for compilation instead of only 1.

11.6 Compiling 32-bit version

NOTE: Typically a 64-bit IQ-TREE version is built and recommended! The 32-bit version has several restriction like maximal RAM usage of 2GB and no AVX support, thus not suitable to analyze large data sets.

To compile the 32-bit version instead, simply add m32 into IQTREE_FLAGS of the cmake command:

```
cmake -DIQTREE_FLAGS=m32 ..
```

To build the 32-bit multicore version, run:

```
cmake -DIQTREE_FLAGS=omp-m32 ..
```

For Windows you need to change Clang target with:

```
cmake -G "MinGW Makefiles" -DCMAKE_C_FLAGS=--target=i686-pc-
windows-gnu -DCMAKE_CXX_FLAGS=--target=i686-pc-windows-gnu -
DCMAKE_MAKE_PROGRAM=mingw32-make ..
```

11.7 Compiling MPI version

Requirements:

- Download source code of IQ-TREE version 1.5.1 or later.
- Install an MPI library (e.g., OpenMPI) if not available in your system. For Mac OS X, the easiest is to install Homebrew package manager, and then install OpenMPI library from the command line with:

```
brew install openmpi
```

Then simply run CMake and make by:

```
cmake -DIQTREE_FLAGS=mpi ..
make -j4
```

IQ-TREE will automatically detect and setup the MPI paths and library. Alternatively, you can also use the MPI C/C++ compiler wrappers (typically named mpicc and mpicxx), for example:

```
cmake -DCMAKE_C_COMPILER=mpicc -DCMAKE_CXX_COMPILER=mpicxx ..
make -j4
```

The executable is named iqtree-mpi. One can then run mpirun to start the MPI version with e.g. 2 processes:

```
mpirun -np 2 iqtree-mpi -s alignment ...
```

If you want to compile the hybrid MPI/OpenMP version, simply run:

```
cmake -DIQTREE_FLAGS=omp-mpi ..
make -j4
```

The resulting executable is then named iqtree-mpi (iqtree-omp-mpi for IQ-TREE versions <= 1.5.X). This can be used to start an MPI run with e.g. 4 processes and 2 cores each (i.e., a total of 8 cores will be used):

```
# For IQ-TREE version <= 1.5.X
mpirun -np 4 iqtree-omp-mpi -nt 2 -s alignment ...
# For IQ-TREE version >= 1.6.0
mpirun -np 4 iqtree-mpi -nt 2 -s alignment ...
```

NOTE: Please be aware that OpenMP and OpenMPI are different! OpenMP is the standard to implement shared-memory multithreading program, that we use to provide the multicore IQ-TREE version. Whereas OpenMPI is a message passing interface (MPI) library for distributed memory parallel system, that is used to compile iqtree-mpi. Thus, one cannot run iqtree with mpirun!

11.8 Compiling Xeon Phi Knights Landing version

Starting with version 1.6, IQ-TREE supports Xeon Phi Knights Landing (AVX-512 instruction set). To build this version the following requirements must be met:

• A C++ compiler, which supports AVX-512 instruction set: GCC 5.1, Clang 3.7, or Intel compiler 14.0.

The compilation steps are the same except that you need to add -DIQTREE_FLAGS=KNL to the cmake command:

```
cmake -DIQTREE_FLAGS=KNL ..
make -j4
```

The compiled iqtree binary will automatically choose the proper computational kernel for the running computer. Thus, it works as normal and will speed up on Knights Landing CPUs. Run ./iqtree to make sure that the binary was compiled correctly:

```
IQ-TREE multicore Xeon Phi KNL version 1.6.beta for Linux 64-bit built May 7\ 2017
```

11.9 About precompiled binaries

To provide the pre-compiled IQ-TREE binaries at http://www.iqtree.org, we used Clang 3.9.0 for Windows and Clang 4.0 for Linux and macOS. We recommend to use Clang instead of GCC as Clang-compiled binaries run about 5-10% faster than GCC-compiled ones.

Linux binaries were statically compiled with Ubuntu 16.4 using libc++ library. The static-linked binaries will thus run on most Linux distributions. The CMake command is (assuming that clang-4 and clang++-4 point to the installed Clang):

```
# 64-bit version
cmake -DIQTREE_FLAGS=static-libcxx -DCMAKE_C_COMPILER=clang-4 -
    DCMAKE_CXX_COMPILER=clang++-4 <source_dir>

# 32-bit version
cmake -DIQTREE_FLAGS=static-m32 -DCMAKE_C_COMPILER=clang-4 -
    DCMAKE_CXX_COMPILER=clang++-4 <source_dir>
```

macOS binaries were compiled under macOS Sierra, but the binaries are backward compatible with Mac OS X 10.7 Lion:

```
cmake -DCMAKE_C_COMPILER=clang-4 -DCMAKE_CXX_COMPILER=clang++-4 <
    source_dir>
```

Windows binaries were statically compiled under Windows 7 using Clang 3.9.0 in combination with TDM-GCC 5.1.0, which provides the neccessary libraries for Clang.

```
# 64-bit version

cmake -G "MinGW Makefiles" -DIQTREE_FLAGS=static -

DCMAKE_C_COMPILER=clang -DCMAKE_CXX_COMPILER=clang++ -

DCMAKE_C_FLAGS=--target=x86_64-pc-windows-gnu -

DCMAKE_CXX_FLAGS=--target=x86_64-pc-windows-gnu -

DCMAKE_MAKE_PROGRAM=mingw32-make ..

#32-bit version

cmake -G "MinGW Makefiles" -DIQTREE_FLAGS=static -

DCMAKE_C_COMPILER=clang -DCMAKE_CXX_COMPILER=clang++ -

DCMAKE_C_FLAGS=--target=i686-pc-windows-gnu -DCMAKE_CXX_FLAGS
=--target=i686-pc-windows-gnu -DCMAKE_PROGRAM=mingw32-

make ..
```

Chapter 12

Frequently asked questions

For common questions and answers.

12.1 How do I get help?

If you have questions please follow the steps below:

- 1. Continue to read the FAQ below, which may answer your questions already.
- 2. If not, read the documentation http://www.iqtree.org/doc.
- 3. If you still could not find the answer, search the IQ-TREE Google group. There is a "Search for topics" box at the top of the Google group web page.
- 4. Finally, if no answer is found, post a question to the IQ-TREE group. The average response time is one to two working days.

For other feedback and feature request, please post a topic to the IQ-TREE Google group. We welcome all suggestions to further improve IQ-TREE! For feature request, please also explain why you think such a new feature would be useful or how can it help for your work.

12.2 How do I report bug?

For bug report, please send the following information to the IQ-TREE Google group:

- 1. A description of the behaviour, which you think might be unexpected or caused by a bug.
- 2. The first 10 lines and last 10 lines of the .log file.

3. (If possible) the assertion message printed on the screen, which may look like this:

```
iqtree: ....cpp:140: ...: Assertion '...' failed.
```

The development team will get back to you and may ask for the full .log file and input data files for debugging purpose, if necessary. In such case please only send your data files directly to the developers for confidential reason! Keep in mind that everyone can see all emails sent to the group!

12.3 How do I interpret ultrafast bootstrap (UF-Boot) support values?

The ultrafast bootstrap (UFBoot) feature (-bb option) was published in (Minh et al., 2013). One of the main conclusions is, that UFBoot support values are more unbiased: 95% support correspond roughly to a probability of 95% that a clade is true. So this has a different meaning than the normal bootstrap supports (where you start to believe in the clade if it has >80% BS support). For UFBoot, you should only start to believe in a clade if its support is >= 95%. Thus, the interpretations are different and you should not compare BS% with UFBoot% directly.

Moreover, it is recommended to also perform the SH-aLRT test (Guindon et al., 2010) by adding -alrt 1000 into the IQ-TREE command line. Each branch will then be assigned with SH-aLRT and UFBoot supports. One would typically start to rely on the clade if its SH-aLRT >= 80% and UFboot >= 95%.

12.4 How does IQ-TREE treat gap/missing/ambiguous characters?

Gaps (-) and missing characters (? or N for DNA alignments) are treated in the same way as unknown characters, which represent no information. The same treatment holds for many other ML software (e.g., RAxML, PhyML). More explicitly, for a site (column) of an alignment containing AC-AG-A (i.e. A for sequence 1, C for sequence 2, - for sequence 3, and so on), the site-likelihood of a tree T is equal to the site-likelihood of the subtree of T restricted to those sequences containing non-gap characters (ACAGA).

Ambiguous characters that represent more than one character are also supported: each represented character will have equal likelihood. For DNA the following ambiguous nucleotides are supported according to IUPAC nomenclature:

Nucleotide	Meaning
\overline{R}	A or G (purine)
Y	C or T (pyrimidine)
W	A or T (weak)
S	G or C (strong)
M	A or C (amino)
K	G or T (keto)
В	C, G or T (next letter after A)
Н	A, C or T (next letter after G)
D	A, G or T (next letter after C)
V	A, G or C (next letter after T)
?, -, ., ~, O,	A, G, C or T (unknown; all 4 nucleotides are equally likely)
N, X	

For protein the following ambiguous amino-acids are supported:

Amino-acid	Meaning
В	N or D
Z	Q or E
J	I or L
U	unknown AA (although it is the 21st AA)
?, -, ., \sim , * or X	unknown AA (all 20 AAs are equally likely)

12.5 Can I mix DNA and protein data in a partitioned analysis?

Yes! You can specify this via a NEXUS partition file. In fact, you can mix any data types supported in IQ-TREE, including also codon, binary and morphological data. To do so, each data type should be stored in a separate alignment file (see also Partitioned analysis with mixed data). As an example, assuming dna.phy is a DNA alignment and and prot.phy is a protein alignment. Then a partition file mixing two types of data can be specified as follows:

```
#nexus
begin sets;
    charset part1 = dna.phy: 1-100 201-300;
    charset part2 = dna.phy: 101-200;
    charset part3 = prot.phy: 1-150;
```

NOTE: The site count for each alignment should start from 1, and **not** continue from the last position of a previous alignment (e.g., see part3 and part4 declared above).

12.6 What is the interpretation of branch lengths when mixing codon and DNA data?

When mixing codon and DNA data in a partitioned analysis, the branch lengths are interpreted as the number of nucleotide substitutions per nucleotide site! This is different from having only codon data, where branch lengths are the number of nucleotide substitutions per codon site (thus typically 3 times longer than under DNA models).

Note that if you mix codon, DNA and protein data, the branch lengths are then the number of character substitutions per site, where character is either nucleotide or amino-acid.

12.7 What is the purpose of composition test?

At the beginning of each run, IQ-TREE performs a composition chi-square test for every sequence in the alignment. The purpose is to test for homogeneity of character composition (e.g., nucleotide for DNA, amino-acid for protein sequences). A sequence is denoted failed if its character composition significantly deviates from the average composition of the alignment.

More specifically, for each sequence, compute:

```
chi2 = \sum_{i=1}^k (0_i - E_i)^2 / E_i
```

where k is the size of the alphabet (e.g. 4 for DNA, 20 for amino acids) and the values 1 to k correspond uniquely to one of the characters. O_i is the character frequency in the sequence tested. E_i is the overall character frequency from the entire alignment.

Whether the character composition deviates significantly from the overall composition is done by testing the chi2 value using the chi2-distribution with k-1 degrees of freedom (df=3 for DNA or df=19 for amino acids). By and large it is a normal Chi^2 test.

This test should be regarded as an *explorative tool* which might help to nail down problems in a dataset. One would typically not remove failing sequences by default. But if the tree shows unexpected topology the test might point in direction of the origin of the problem.

Furthermore, please keep in mind, this test is performed at the very beginning, where IQ-TREE does not know anything about the models yet. That means:

- If you have partitioned (multi-gene) data, it might be more reasonable to test this separately for each partition in a partition analysis. Here, one might want to be able to decide whether some partitions should better be discarded if it is hard to find a composition representing the sequences in the partition. Or on the other hand if a sequence fails for many partitions and show very unexpected phylogenetic topologies, try without it.
- If you have (phylogenomic) protein data, you can also try several protein mixture models, which account for different amino-acid compositions along the sequences, for example, the C10 to C60 profile mixture models.
- Finally, it is recommended to always check the alignment (something one should always do anyway), especially if they have been collected and produced automatically.

12.8 What is the good number of CPU cores to use?

Starting with version 1.5.1, you can use option -nt AUTO to automatically determine the best number of threads for your current data and computer.

If you want to know more details: IQ-TREE can utilize multicore machines to speed up the analysis via -nt option. However, it does not mean that using more cores will always result in less running time: if your alignment is short, using too many cores may even slow down the analysis. This is because IQ-TREE parallelizes the likelihood computation along the alignment. Thus, the parallel efficiency is only increased with longer alignments.

If you want to restrict the number of CPU cores allocated by -nt AUTO use -ntmax to specify the maximal number of CPU cores allowed (DEFAULT: #CPU cores on the current machine).

12.9 How do I save time for standard bootstrap?

The standard bootstrap is rather slow and may take weeks/months for large data sets. One way to speed up is to use the multicore version. However, this only works well for long alignments (see What is the good number of CPU cores to use?). Another way is to use many machines or a computing cluster and split the computation among the machines. To illustrate, you want to perform 100 bootstrap replicates and have 5 PCs, each has 4 CPU cores. Then you can:

1. Perform 5 independent bootstrap runs (each with 20 replicates) on the 5 machines with 5 prefix outputs (such that output files are not overwritten). For example:

```
# For old IQ-TREE versions <= 1.5.X, change iqtree to
    iqtree-omp
iqtree -nt 4 -s input_alignment -bo 20 ... -pre boot1
iqtree -nt 4 -s input_alignment -bo 20 ... -pre boot2
iqtree -nt 4 -s input_alignment -bo 20 ... -pre boot3
iqtree -nt 4 -s input_alignment -bo 20 ... -pre boot4
iqtree -nt 4 -s input_alignment -bo 20 ... -pre boot5</pre>
```

Note that if you have access to a computing cluster, you may want to submit these jobs onto the cluster queue in parallel and with even more fined grained parallelization (e.g. one replicate per job).

2. Once all 5 runs finished, combine the 5 .boottrees file into one file (e.g. by cat command under Linux):

```
cat boot*.boottrees > alltrees
```

3. Construct a consensus tree from the combined bootstrap trees:

```
iqtree -con -t alltrees
```

The consensus tree is then written to .contree file.

4. You can also perform the analysis on the original alignment:

```
# For old IQ-TREE versions <= 1.5.X, change iqtree to
    iqtree-omp
iqtree -nt 4 -s input_alignment ...</pre>
```

and map the support values onto the obtained ML tree:

```
iqtree -sup input_alignment.treefile -t alltrees
```

The ML tree with assigned bootstrap supports is written to .suptree file.

12.10 Why does IQ-TREE complain about the use of +ASC model?

When using ascertainment bias correction (ASC) model, sometimes you may get an error message:

ERROR: Invaid use of +ASC because of ... invariant sites in the alignment or when performing model testing:

Skipped since +ASC is not applicable

This is because your alignment contains *invariant* sites (columns), which violate the mathematical condition of the model. The invariant sites can be:

- Constant sites: containing a single character state over all sequences. For example, all sequences show an A (Adenine) at a particular site in a DNA alignment.
- Partially constant sites: containing a single character, gap or unknown character. For example, at a particular site some sequences show a G (Guanine), some sequences have (gap) and the other have N.
- Ambiguously constant sites: For example, some sequences show a C (Cytosine), some show a Y (meaning C or T) and some show a (gap).

All these sites must be removed from the alignment before a +ASC model can be applied.

TIP: Starting with IQ-TREE version 1.5.0, an output alignment file with suffix .varsites is written in such cases, which contain only variable sites from the input alignment. The .varsites alignment can then be used with the +ASC model.