

Date: March 9, 2016

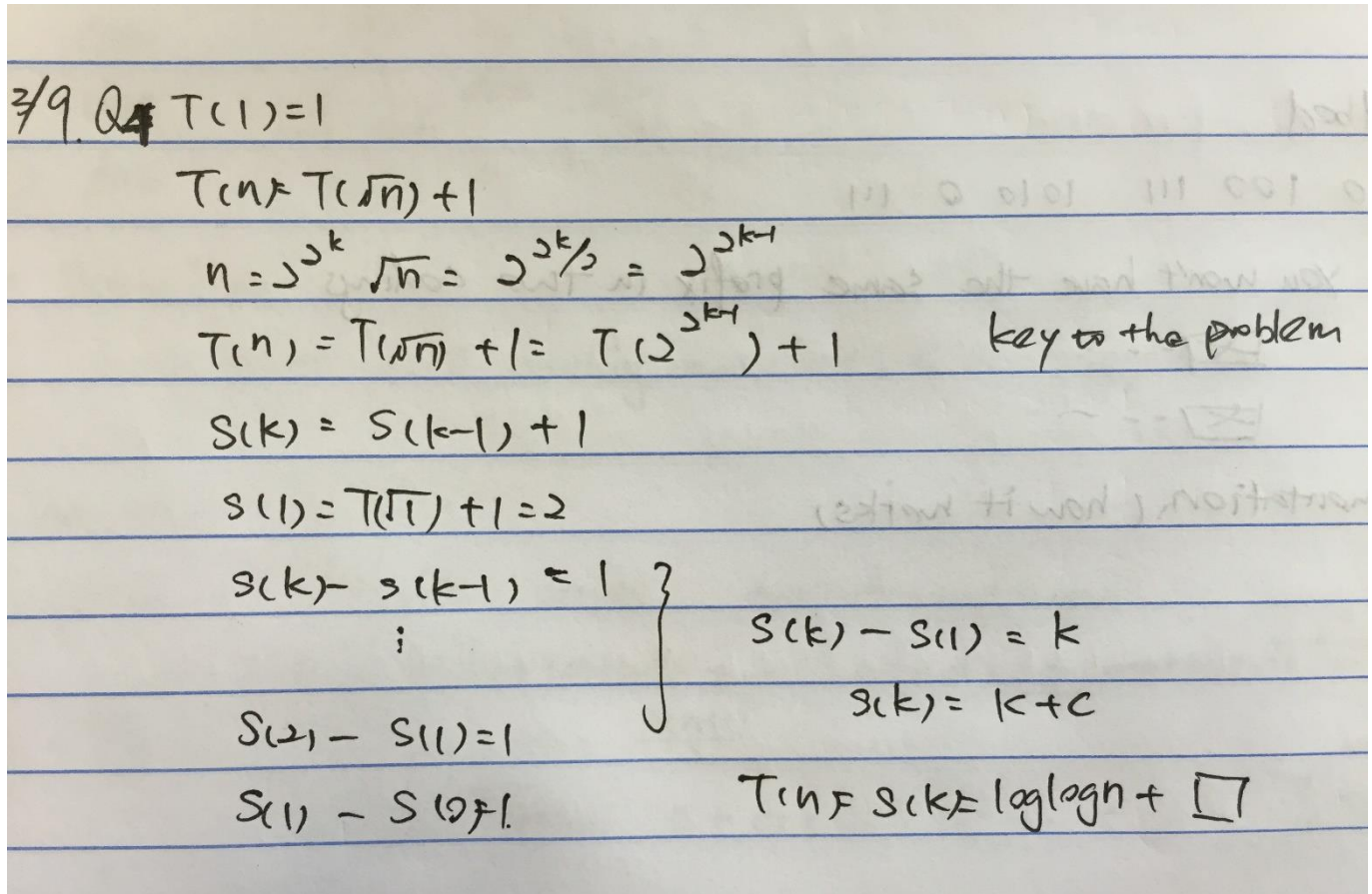
Instructor: Professor Lawrence Teitelman

## Analysis of Algorithms – CS 700/32

### Lecture#6 – March 9 2016

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#### Section 1: Homework



3/9 Q4  $T(1) = 1$

$$T(n) = T(\sqrt{n}) + 1$$
$$n = 2^{2^k} \quad \sqrt{n} = 2^{2^{k-1}} = 2^{2^{k-1}}$$
$$T(n) = T(\sqrt{n}) + 1 = T(2^{2^{k-1}}) + 1 \quad \text{key to the problem}$$
$$S(k) = S(k-1) + 1$$
$$S(1) = T(1) + 1 = 2$$
$$\left. \begin{array}{l} S(k) - S(k-1) = 1 \\ \vdots \\ S(2) - S(1) = 1 \end{array} \right\} \begin{array}{l} S(k) - S(1) = k \\ S(k) = k + c \end{array}$$
$$S(1) = S(0) + 1$$
$$T(n) = S(k) = \log \log n + \square$$

#### Section 2:

##### Huffman Coding

It is one of data compression, but the best compression.

It is an example of greedy algorithm (like **Fraction Knapsack** mentioned before)

**Greedy algorithms** is where you make locally optimal choice in global optimal choice.

(Uses small grained, or local minimal/maximal choices in attempt to result in a global minimum/maximum.)

At each step, the algorithm makes the near choice that appears to lead toward the goal in the long-term.)

If you want to represent a letter how much space need?

Old way  $\rightarrow$  ASCII 8 bits

But all things take 8 bits, can we do better than that?

Yes  $\rightarrow$  find relative frequency

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Example: in the text there are 100 characters, and only contains following letters

a: 10

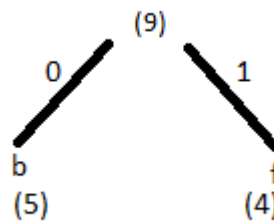
b: 5

c: 8 [take 2 smallest and combine them]→

d: 8

e: 25

f: 4



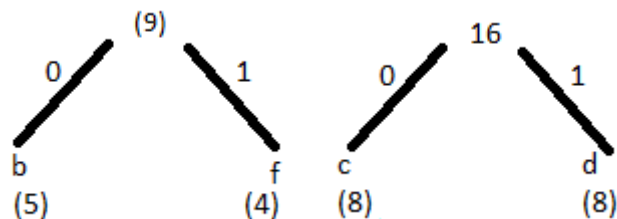
a:10

9 [continue to take 2 smallest]→

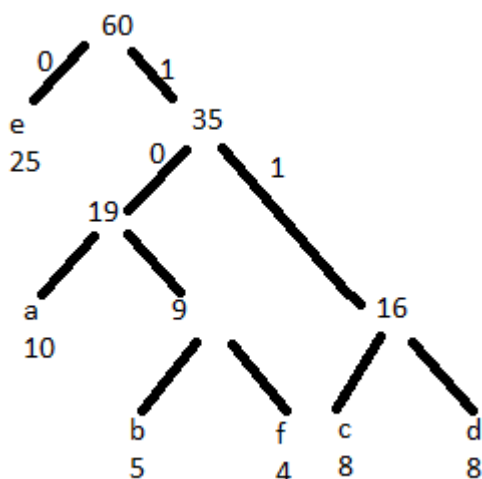
c:8

d:8

e:25



.....finally we got:



So, if we want to encode 'badbed', it is:

(The length of encoding would be the depth of the leaf)

b- 1010

a- 100

d- 111

b- 1010

e- 0

d- 111

Comparing to ASCII, which using fixed 8 bits to check, Huffman Tree do not implement fixed length. When you are out of leaf,

you are in the end of character. The beauty of Huffman coding is no 2 can have 2 different codings have the same prefix and 1 has something more. Thus, that allows you to decode compressed text. (About space, just take it as one of relative frequency)

**Most greedy part:** taking nest 2 smallest numbers on the list and combine them into sum and go into the bottom.

Q: How does it work (implement) in real coding?

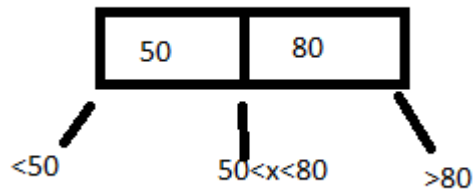
The data structure: **heap**. (Always gets the min on the top) [ $O(n \lg n)$ ]

## Binary Search Tree

- **2-3 Tree**

Hopcraft 1970  $O(\log n)$

A 2–3 tree is a tree data structure, where every node with children (internal node) has either two children (2-node) and one data element or three children (3-nodes) and two data elements.



- **AVL**

AV= Adelson- Velsky

L = Landis 1967

$\text{Abs}(\text{height of (leftSubTree)} - \text{height of (RightSubTree)}) \leq 1$

It keep tracking the node height

- **Red-Black**

Guibas Sedgewick 1978

Not perfect balanced, but balanced enough, not breaking  $(\lg n)$

- **B-tree**

Bayer McCreight 1972

A large amount of data

- **B+ tree**

Like B- tree, but has more children

- **Trie (Tree/Try)**

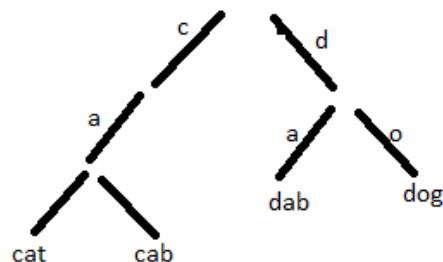
R.de la Brandais 1959

Also called prefix-tree

Example: cat cab dog dab

Time  $O(m)$

Maximum length of word



- **Splay Tree**

A splay tree is a self-adjusting binary search tree with the additional property that recently accessed elements are quick to access again. It performs basic operations such as insertion, look-up and removal in  $O(\log n)$  amortized time.

Bring what you'll searching for (close) to the root/top

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## Section 3: Review

In Order

Sequence

series - arithmetic series  ~~$a + (a+d) + (a+2d) + \dots + (a+nd)$~~

$$a + (a+d) + (a+2d) + \dots + (a+nd) = (n+1)a + \frac{n(n+1)}{2}d$$

$$1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

- geometric series  $a + ar + ar^2 + ar^3 + \dots + ar^n = a \left[ \frac{r^{n+1} - 1}{r - 1} \right]$

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$$1^2 + 2^2 + 3^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6}$$

$$1^3 + 2^3 + 3^3 + \dots + n^3 = \left[ \frac{n(n+1)}{2} \right]^2$$

$$an^4 + bn^3 + cn^2 + dn + e$$

**Proof by Induction**

base case

inductive hypothesis

inductive step  $P(k) \rightarrow P(k+1)$

**harmonic series**  $1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n}$

**Telescoping**

$$T(n) - T(n-1) = \frac{1}{n}$$

$$T(n-1) - T(n-2) = \frac{1}{n-1}$$

:

$$T(1) - T(0) = 1$$

$$\frac{T(n)}{T(n-1)} = \frac{n}{n-1}$$

**Domain Transformation**

$$T(n) = T\left(\frac{n}{2}\right) + \dots$$

$$n = 2^k \quad \frac{n}{2} = 2^{k-1}$$

$$S(k) = S(k-1) + \dots$$

**Range Transformation**

$$\frac{T(n)}{3^n} = \frac{3T(n-1)}{3^n} + \frac{1}{3^n}$$

$$R(n) = R(n-1) + \dots$$

$$R(n) = \frac{T(n)}{n}$$

$$\text{OR } R(n) = \frac{T(n)}{n+1}$$

$$R(n+1) = \frac{T(n+1)}{n+1}$$

$$R(n+1) = \frac{T(n+1)}{n+2}$$

**Expansion**

$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + 1 = (T\left(\frac{n}{4}\right) + 1) + 1 = \dots = T\left(\frac{n}{8}\right) + 3 = \dots = T\left(\frac{n}{10}\right) + 4 \\ &= T(1) + \log n \end{aligned}$$



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## linear Homogeneous Recurrence Equations

$$f(0) \Rightarrow f(1) = 1$$

$$f(n) = f(n-1) + f(n-2)$$

$$x^n = x^{n-1} + x^{n-2} \quad ax_1^n + bx_2^n$$

$$x^n = x + 1 \rightarrow \text{got 2 unique solutions}$$

$$3^n \approx 10^{n/2} = (\sqrt{10})^n \quad \text{estimation}$$
$$\approx 10^{\frac{n}{\log_{10} 3}} \quad \text{or} \quad 10^{n \log_{10} 3}$$

## data structures:

stack. (has limit access to the data)

Queue (enq, deq)

List

Tree  $\left\{ \begin{array}{l} \text{BST} \\ \text{Heap (Min/Max Heap)} \\ \text{(priorityQ)} \end{array} \right.$

Find minimums linear  $O(n)$  Binary  $O(\lg n)$

## Selection problem 3 ways

① pre-sorting  $\rightarrow$  find  $i^{\text{th}}$  smallest go to position  $i$ .

② b)  $i \lg n + O(n)$  } partial sort  
     $\uparrow$  build heap

② a) selection sort  $\rightarrow$  find  $i$  smallest

③ half quick sort. sorting both sides of pivot

~~high Avg~~ median of 3s.

Avg  $\rightarrow O(n)$

$O(n)$

Worst  $\rightarrow O(n^2)$

Sorting BubbleSort  $n^2$  MergeSort  $n \lg n$

Selection Sort.  $n^2$

Quick Sort  ~~$n^2 + O(n)$~~   $n \lg n$

Insertion Sort  $n^2 \rightarrow$  linear

Heap Sort  $n^2$  worst  
high

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Each outcome should be in 1 leaf. There are  $n^2$  outcomes cause there are  $n!$  leaves.

$n!$  leaves.

each outcome should be in 1 leaf.  $n^2$  outcomes

comparison-based is at least  $\boxed{n \lg n}$

Non-comparison-based Sort

Counting Sort  $\rightarrow$  small fixed-range data

Bucket Sort  $\rightarrow$  depends on # of buckets

Radix Sort  $\rightarrow$  <sup>digit</sup> could be base  $n$  in element

Master Theorem. 3 cases might be useful in test