

**Instruction:** You must show all your work clearly for credit. Partial credit will only be given to meaningful answers.

1. Use the definition of big-O to prove that  $n^4 - 8n^3 + 16n^2 - 3n + 560 \neq O(n^3)$ .

**Proof:** If true, we must have constants  $k$  and  $n_0$  such that  $n^4 - 8n^3 + 16n^2 - 3n + 560 \leq n^3$ ,

$\forall n \geq n_0$ . Hence,  $\frac{n^4 - 8n^3 + 16n^2 - 3n + 560}{n^3} \leq k$ . As  $n \rightarrow \infty$ , we have  $\infty \leq k = \text{constant}$ . A

contradiction is reached, implying that  $n^4 - 8n^3 + 16n^2 - 3n + 560 \neq O(n^3)$ .

2. For any two functions  $f(n)$  and  $g(n)$ , by definition,  $f(n) = o(g(n))$  iff  $f(n) = O(g(n))$  and  $f(n) \neq \Theta(g(n))$ . Use the definition of little-o to prove or disprove that

$$n^4 - 8n^3 + 16n^2 - 3n + 560 = o(n^5).$$

**Proof:** (i) Prove that  $n^4 - 8n^3 + 16n^2 - 3n + 560 = O(n^5)$ .

$$n^4 - 8n^3 + 16n^2 - 3n + 560$$

$$\leq n^4 + 16n^4 + 560n^4, \forall n \geq 1$$

$$\leq 577n^4, \forall n \geq 1$$

$$\leq 577n^5, \forall n \geq 1.$$

Hence,  $n^4 - 8n^3 + 16n^2 - 3n + 560 = O(n^5)$ .

(ii) Prove that  $n^4 - 8n^3 + 16n^2 - 3n + 560 \neq \Theta(n^5)$ .

If true, we must have  $n^4 - 8n^3 + 16n^2 - 3n + 560 = \Omega(n^5)$ , implying that there exist constants  $k$  and  $n_0$  such that  $n^4 - 8n^3 + 16n^2 - 3n + 560 \geq kn^5, \forall n \geq n_0$ .

Hence,  $\frac{n^4 - 8n^3 + 16n^2 - 3n + 560}{n^5} \geq k, \forall n \geq n_0$ .

As  $n \rightarrow \infty$ , we have  $0 \geq k > 0$ , which is a contradiction.

Hence,  $n^4 - 8n^3 + 16n^2 - 3n + 560 \neq \Theta(n^5)$ .

Conclusion:  $n^4 - 8n^3 + 16n^2 - 3n + 560 = o(n^5)$ .

3. Let  $f(n)$  and  $g(n)$  be any two positive functions. Prove or disprove the statement that if  $f(n) = O(g(n))$ , then  $2^{f(n)} = O(2^{g(n)})$ .

**Solution:**

If  $f(n) = O(g(n))$ , then  $2^{f(n)} = O(2^{g(n)})$  may or may not be true.

Take  $f(n) = 2n, g(n) = n$ .

$$2^{2n} = 4^n \neq O(2^n).$$

4. By assuming that all basic operations require the same constant cost  $K$ , compute the cost of the resource function  $R_w(n)$  in closed-form for the following program segment using the simplified approach as discussed in class. *Remark:* You must first set up a summation equation for  $R_w(n)$  and then evaluate the sum(s) clearly for credit.

```

x = 210;
y = 560;
for i = 1 to n*n do
    for j = i to n do
        y = x * y / 660 + 388;
    endfor;
endfor;

```

**Wrong Solution:**

$$\begin{aligned}
 T(n) &= \sum_{i=1}^{n^2} \sum_{j=i}^n K \\
 &= K \sum_{i=1}^{n^2} (n - i + 1) \\
 &= K \left[ n^3 - \frac{n^2(n^2 + 1)}{2} + n^2 \right] \\
 &< 0. \text{ (Q: What is wrong?)}
 \end{aligned}$$

**Correct Solution:**

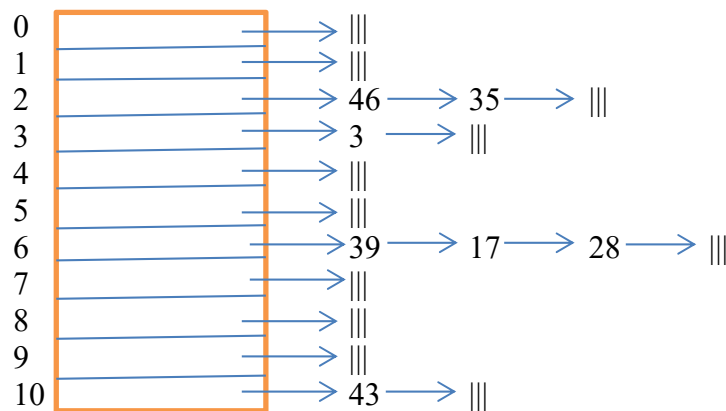
$$\begin{aligned}
 T(n) &= \left( \left( \sum_{i=1}^n + \sum_{i=n+1}^{n^2} \right) \sum_{j=i}^n \right) K \\
 &= \sum_{i=1}^n \sum_{j=i}^n K + \sum_{i=n+1}^{n^2} K \\
 &= K \sum_{i=1}^n (n - i + 1) + (n^2 - n)K \\
 &= K \left[ 2n^2 - \frac{n(n+1)}{2} \right] \\
 &= K \left( \frac{3n^2 - n}{2} \right) \\
 &= \Theta(n^2).
 \end{aligned}$$

5. Given a set of records with 7 keys  $S = \{35, 28, 43, 17, 39, 3, 46\}$ .
- By using the hash function  $h(x) = x \bmod m$  and chaining with singly linked list in constructing an open hash table  $H$  with  $m = 11$  buckets, insert the records in  $S$ , in the given order, into  $H$ . You must show your computations for locations and illustrate the final structure of your hash table  $H$  clearly for credit. Remark: Insertion must be done at the beginning of the list.
  - By using the hash function  $h(x) = x \bmod m$  and quadratic probing in constructing a closed hash table  $H$  with  $m = 11$  buckets, insert the records in  $S$ , in the given order, into  $H$ . You must show your computations for locations and illustrate the final structure of your hash table  $H$  clearly for credit.
  - Given two hash functions  $h(x) = x \bmod m$  and  $h^+(x) = p - x \bmod p$ . By using open addressing with  $f_i = i * h^+(x)$  and double hashing in constructing a closed hash table  $H$  with  $m = 11$  buckets and  $p = 5$ , insert the records in  $S$ , in the given order, into  $H$ . You must show your computations for locations and illustrate the final structure of your hash table  $H$  clearly for credit.

**Solution:**

- (a) Address computations:

$35 \% 11 = 2,$   
 $28 \% 11 = 6,$   
 $43 \% 11 = 10,$   
 $17 \% 11 = 6,$   
 $39 \% 11 = 6,$   
 $3 \% 11 = 3,$   
 $46 \% 11 = 2.$



Open hash table with chaining

(b) Address computations:

$$35 \% 11 = 2,$$

$$28 \% 11 = 6,$$

$$43 \% 11 = 10,$$

$$17 \% 11 = 6 \rightarrow 7,$$

$$39 \% 11 = 6 \rightarrow 7 \rightarrow 10 \rightarrow 15 \% 11 = 4,$$

$$3 \% 11 = 3,$$

$$46 \% 11 = 2 \rightarrow 3 \rightarrow 6 \rightarrow 11 \% 11 = 0.$$

0	<b>46</b>
1	
2	<b>35</b>
3	<b>3</b>
4	<b>39</b>
5	
6	<b>28</b>
7	<b>17</b>
8	
9	
10	<b>43</b>

Closed hash table with quadratic probing

(c) Address computations:

$$35 \% 11 = 2,$$

$$28 \% 11 = 6,$$

$$43 \% 11 = 10,$$

$$17 \% 11 = 6,$$

$$h^+(x) = p - (x \bmod p) = 5 - (17 \bmod 5) = 3,$$

$$h_1(x) = (h(x) + 1h^+(x)) \bmod 11 = (6 + 3) \bmod 11 = 9.$$

$$39 \% 11 = 6,$$

$$h^+(x) = p - (x \bmod p) = 5 - (39 \bmod 5) = 1,$$

$$h_1(x) = (h(x) + 1h^+(x)) \bmod 11 = (6 + 1) \bmod 11 = 7.$$

$$3 \% 11 = 3,$$

$$46 \% 11 = 2,$$

$$h^+(x) = p - (x \bmod p) = 5 - (46 \bmod 5) = 4,$$

$$h_1(x) = (h(x) + 1h^+(x)) \bmod 11 = (2 + 4) \bmod 11 = 6,$$

$$h_2(x) = (h(x) + 2h^+(x)) \bmod 11 = (2 + 8) \bmod 11 = 1.$$

0	
1	<b>46</b>
2	<b>35</b>
3	<b>3</b>
4	
5	
6	<b>28</b>
7	<b>39</b>
8	
9	<b>17</b>
10	<b>43</b>

Closed hash table with double hashing

6. If a set of 4090 records is being stored using a binary tree T with 4090 nodes (one record per node), answer the following questions with *integer solution* if possible.
- (a) What is the min height of T?
  - (b) What is the max height of T?
  - (c) What is the min number of leaves in T?
  - (d) What is the max number of leaves in T?
  - (e) If T is being implemented using the sequential array data structure, what is the size of an array A in order to store T?

**Solution:**

$$4090 = 2^{12} - 6.$$

- (a) What is the min height of T?

$$\lfloor \lg n \rfloor = 11$$

- (b) What is the max height of T?

$$n - 1 = 4089.$$

- (C) What is the min number of leaf in T?

1 (skew tree)

- (d) What is the max number of leaf in T?

Height  $h = 11$  implies tree with  $2^{11+1} - 1 = 4095$  nodes and  $2^{11} = 2048$  leaves.

Remove 5 leaves at level  $h$  and add back 2 new leaves at level  $h-1$ :

$$2048 - 5 + 2 = 2045 \text{ leaves.}$$

- (e) If T is being implemented using the sequential array implementation, what is the size of array A in order to store T?

$$2^{4089+1} - 1 = 2^{4090} - 1$$

7. Construct the (unique) binary tree corresponding to the given pair of tree traversals if possible.

**Remark:** You must show all your steps clearly as illustrated in class for credit. If no such a tree is possible, you must justify your answer.

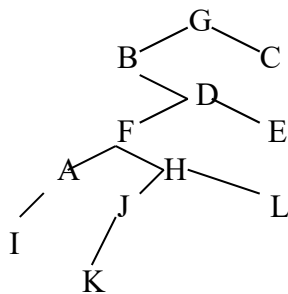
(a) Preorder: G B D F A I H J K L E C  
Inorder: B I A F K J H L D E G C

(b) Postorder: H I B C A K G E J D F  
Inorder: I H C B K G J E F D A

(c) Postorder: H G F B K L J I D A  
Inorder: F G H B A D K J L I

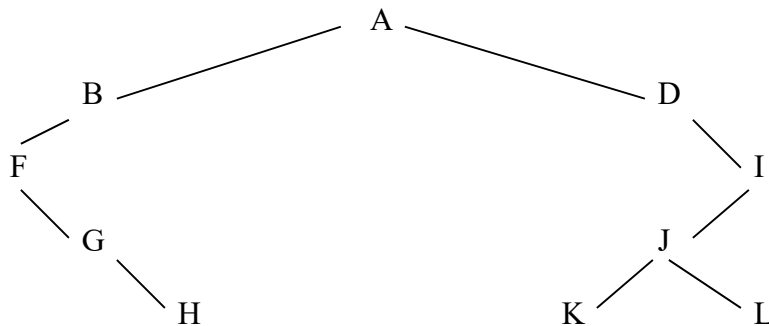
**Solution:**

(a)



(b) No tree.

(c)



8. Given a set S of 4 records with keys  $\{x_1, x_2, x_3, x_4\}$ ,  $x_1 < x_2 < x_3 < x_4$ . Construct all possible binary search trees (BST) that can be used to store S. Remark: You must illustrate all your BSTs clearly for credit.

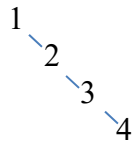
**Solution:**

Number of BST =  $C_{n+1} = \frac{1}{n+1} \binom{2n}{n}$ , which is the (n+1)th-term Catalan number.

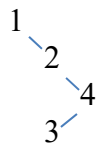
For  $n = 4$ ,  $C_5 = \frac{1}{5} \binom{8}{4} = 14$ .

**Distinct BST's for 4 records:**

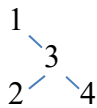
1:



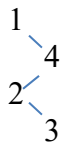
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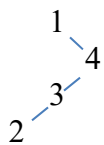
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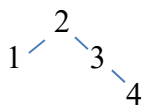
4:



5:

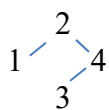


6:

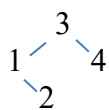




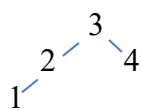
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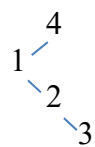
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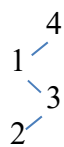
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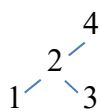
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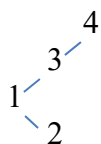
11:



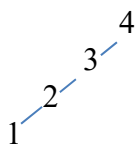
12:



13:



14:

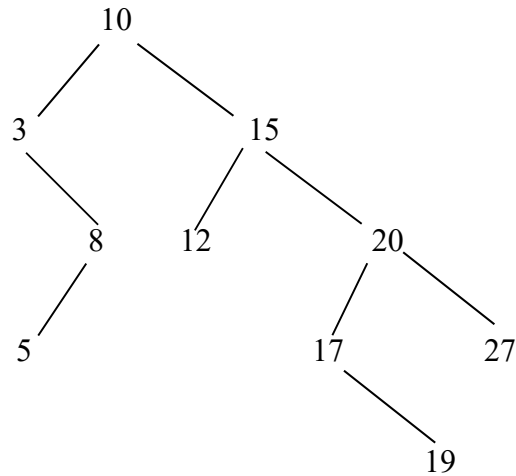


9. Given a set of 10 records with priorities  $S = \{10, 15, 3, 8, 20, 5, 17, 27, 19, 12\}$ .
- Construct a BST  $T$  for  $S$  by inserting the records, in the given order, into an initially empty binary search tree. When done, delete 10, and then 20 from the tree.
  - Construct a BST  $T$  for  $S$  by inserting the records, in the reverse given order, into an initially empty binary search tree. When done, delete 5, and then 19 from the tree.
- Remark:** You must show your BST after each insertion/deletion for credits. For deletion, you must use deleteMin operations as discussed in class.

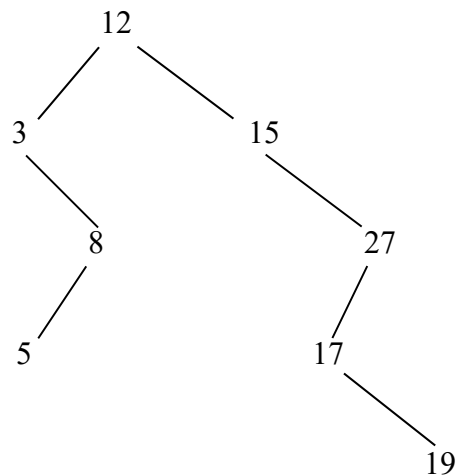
**Solution:**

(a)

After insertions:

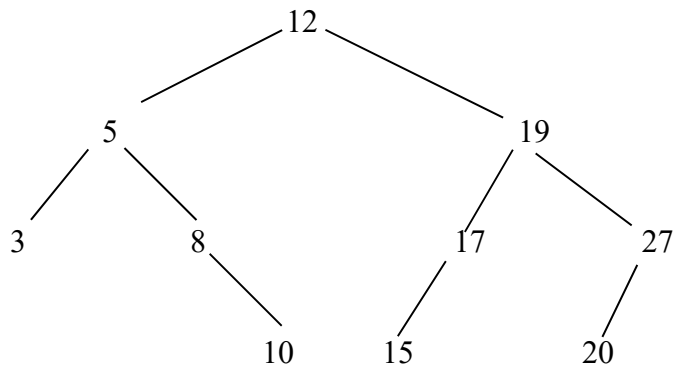


After deletions:



(b)

After insertions:



After deletions:

