

Algorithm Design and Analysis

Assignment 1

Deadline: March 23, 2025

1. (24 points) Asymptotic notations.

(a) (24 points) In each of the following situations, indicate whether $f = O(g)$, or $f = \Omega(g)$, or both (in which case $f = \Theta(g)$). Justify your answer.

1. $f(n) = (n+1)!$ and $g(n) = n!$

$f = \Omega(g)$ because $\frac{f(n)}{g(n)} = (n+1)$ is unbounded.

2. $f(n) = 2^{n+1}$ and $g(n) = 2^n$

$f = \Theta(g)$ because $\frac{f(n)}{g(n)} = 2$ is a constant.

3. $f(n) = 2^n$ and $g(n) = 3^n$

$f = O(g)$ because $\frac{f(n)}{g(n)} = (\frac{2}{3})^n$ converges to 0.

4. $f(n) = n^{1/2}$ and $g(n) = 5^{\log_2 n}$

$f = O(g)$ because $\log_2 5 > 1/2$, so that $\frac{f(n)}{g(n)} = \frac{n^{1/2}}{n^{\log_2 5}}$ converges to 0.

5. $f(n) = 100n + \log n$ and $g(n) = n + (\log n)^2$

$f = \Theta(g)$ because $\frac{f(n)}{g(n)} = 100$ is a constant.

6. $f(n) = (\log n)^{\log n}$ and $g(n) = n/\log n$

$f = \Omega(g)$ because $\frac{f(n)}{g(n)} = (n+1)$ is unbounded.

7. $f(n) = (\log n)^{\log n}$ and $g(n) = 2^{(\log_2 n)^2}$

$f = O(g)$ because $\frac{f(n)}{g(n)} = \frac{(\log n)^{\log n}}{2^{(\log_2 n)^2}}$ converges to 0.

8. $f(n) = \sum_{i=1}^n i^k$ and $g(n) = n^{k+1}$

- if $k > -1$, $f = \Theta(g)$ because $\frac{f(n)}{g(n)} = \frac{\sum_{i=1}^n i^k}{n^{k+1}}$ converges to $\frac{1}{k+1}$.
- if $k \leq -1$, $f = O(g)$ because $\frac{f(n)}{g(n)} = \frac{\sum_{i=1}^n i^k}{n^{k+1}}$ is unbounded.

(b) (Not for credit, just for fun: 0 points) Suppose $f : \mathbb{Z}^+ \rightarrow \mathbb{R}^+$ and $g : \mathbb{Z}^+ \rightarrow \mathbb{R}^+$ are increasing functions. Is it always true that we have either $f(n) = O(g(n))$ or $f(n) = \Omega(g(n))$?

I think the answer is no. Suppose $f(n) = 2^n$, while $n=2k$ and $f(n) = 2^{n-1} + 1$, while $n=2k+1$; $g(n) = 2^{n-1} + 1$, while $n=2k$ and $g(n) = 2^n$, while $n=2k+1$. Apparently, $f(n) = O(g(n))$, $n=2k$, while $f(n) = \Omega(g(n))$, $n=2k+1$, which means we have neither at \mathbb{Z}^+ .

2. (25 points) Given an array $A[1 \cdots n]$ of integers, a pair of indices (i, j) is an *inversion* if $i < j$ and $A[i] > A[j]$. Design an algorithm that counts the number of inversions in $O(n \log n)$ time.

Suppose p is the number of inversions.

Devide the array A into two halves (let's say M, N with length m, n) with indices (i, j) .

For i from 0 to $m-1$, j from 0 to $n-1$, repeat the following steps:

1: Record $\min(M_i, N_j)$ in A'

2: If $M_i < N_j$, then $p = p + j$ and $i = i + 1$; If $M_i > N_j$, then $j = j + 1$ (a bit counterintuitive but practical)

3: if $i > m$, break; or if $j > n$, then $p = p + (m - i) * j$ and break.

4: Record the rest to A' .

Apparently, $T(n) = 2T(n/2) + O(n)$, which means $T(n) = O(n \log n)$.

3. (25 points) Given an array of n integers x_1, x_2, \dots, x_n , there are queries of the following form: given an integer $1 \leq k \leq n$, you need to return the k -th smallest integers in the array. Obviously, if we use $O(n \log n)$ time to preprocess the array by sorting it, we can answer each query in $O(1)$ time. In the class, we see that each query can be answered in $O(n)$ time without any preprocessing (the Median-of-the-Medians algorithm). Now, design an $O(n)$ preprocessing algorithm so that you can answer each query in $O(k)$ time. Suppose f is the preprocessing function, defined as follows:

1: Use the Median-of-the-Medians algorithm to find the median, denoted as m .

2: Divide the array into three parts:

- **L:** those smaller than m ;
- **M:** those equal to m ;
- **R:** those larger than m .

As is mentioned above, step 2 takes $O(n)$ time.

Repeat: apply f on L till there is only one integer in L .

After the preprocess above, we can get an array of medians of L , which could be used as a mark in the searching step.

Searching:

- If $k < |L|$, in that L is an ordered array, $T = O(k)$;
- If $|L| < k < |L| + |M|$, clearly k is the answer;
- If $k > |L| + |M|$, in that $|R| < \frac{n}{2}$, $T = O(\frac{n}{2}) < O(k)$.

In conclusion, the searching step takes $O(k)$ time, which satisfies the conditions.

4. (26 points) You are given a 2D discrete topographical map $A[0, \dots, n-1; 0, \dots, m-1]$ representing a landscape. The number $A[i, j]$ represents the altitude at position (i, j) . If it rains over the landscape, the water will form pools at each position where $A[i, j]$ is less than each adjacent position, i.e., those $A[i', j']$ for which $|i - i'| + |j - j'| = 1$. (You can assume all altitudes are distinct and that there is a “wall” at the edge of the map. For example, water pools at $(0, 0)$ if $A[0, 0]$ is less than $A[0, 1]$ and $A[1, 0]$.)

- (a) Suppose $m = 1$, so A is a 1D array. Give a divide and conquer algorithm for finding *one* position where water pools. Write a recurrence for this algorithm. Analyze its running time.

Algorithm: Suppose $\text{mid} = n//2$, compare $A[1, \text{mid}-1]$, $A[1, \text{mid}]$ and $A[1, \text{mid}+1]$. Apparently, if $A[1, \text{mid}-1] > A[1, \text{mid}]$ and $A[1, \text{mid}+1] > A[1, \text{mid}]$, then $A[1, \text{mid}]$ is the pool.

Else, choose the smaller half and repeat the steps above.

Recurrence: $T(n) = T(n/2) + O(1)$.

Thus $T(n) = O(\log n)$.

- (b) Give another divide and conquer algorithm when $m = n$. Analyze its running time with a recurrence relation.

Because $m=n$, searching direction is still on a line, so actually (2) equals to (1).

Algorithm: Suppose $\text{mid} = n//2 = m//2$, compare $A[\text{mid}, \text{mid}]$, $A[\text{mid}+1, \text{mid}+1]$ and $A[\text{mid}-1, \text{mid}-1]$.

Apparently, if $A[\text{mid}, \text{mid}] < A[\text{mid}+1, \text{mid}+1]$ and $A[\text{mid}, \text{mid}] < A[\text{mid}-1, \text{mid}-1]$, then $A[\text{mid}, \text{mid}]$ is the pool.

Else, choose the smaller direction and repeat the steps above.

Recurrence: $T(n) = T(n/2) + O(1)$.

Thus $T(n) = O(\log n)$.

- (c) Generalize your algorithms from part (a) and (b) to work for any m and n . The running time should *smoothly* interpolate between the running times of (a) and (b).

Algorithm: Suppose $\text{mid}_m = m//2$, $\text{mid}_n = n//2$, compare $A[\text{mid}_m, \text{mid}_n]$ with $A[\text{mid}_m \pm 1, \text{mid}_n]$ and $A[\text{mid}_m, \text{mid}_n \pm 1]$.

If $A[\text{mid}_m, \text{mid}_n]$ is minimum, then it's the pool.

Else, choose the smallest direction, and repeat the steps above.

Recurrence: for each step, $T(m, n) = T(m/2, n) + O(n)$ or $T(m, n/2) + O(m)$.

Thus $T(m, n) = O(m+n)$.

5. How long does it take you to finish the assignment (including thinking and discussion)?
Give a score (1,2,3,4,5) to the difficulty. Do you have any collaborators? Please write down their names here.

About 7 hours.

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