

Spatiotemporal Dynamics, Nowcasting and Forecasting of COVID-19 in the US

Lily Wang

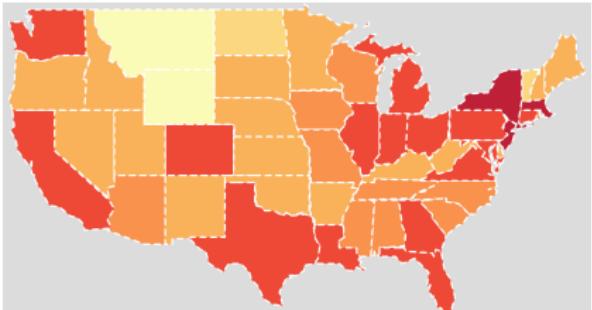
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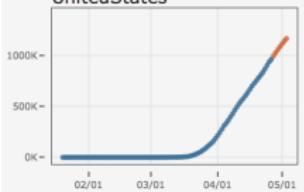
May 1, 2020

Background Introduction

Until April 29, 2020		
State	Infection	Death
United States	1009258	52945
New York	295137	17638
New Jersey	113856	6442
Massachusetts	58302	3153
Illinois	48102	2132
California	46570	1884
Pennsylvania	45323	2092
Michigan	39234	3566
Florida	32838	1170
Louisiana	27286	1758
Texas	26865	738
Connecticut	26312	2089
Georgia	23607	1022
Maryland	20113	929



Reported Confirmed Cases:
United States



Reported Fatal Cases:
United States



- 12-31-2019: WHO says mysterious pneumonia sickening dozens in China
- 01-11-2020: China reports 1st novel coronavirus death
- 01-21-2020: 1st confirmed case in the United States
- 01-23-2020: China imposes strict lockdown in Wuhan
- 01-30-2020: WHO declares global health emergency
- 02-05-2020: Diamond Princess cruise ship quarantined
- 02-26-2020: 1st case of suspected local transmission in United States
- 03-03-2020: CDC lifts restrictions for virus testing
- 03-13-2020: Trump declares national emergency
- 03-15-2020: CDC warns against large gatherings
- 03-17-2020: Coronavirus now present in all 50 states
- 03-17-2020: Northern Californians ordered to "shelter in place"
- 03-20-2020: New York City declared US outbreak epicenter
- 03-26-2020: United States leads the world in COVID-19 cases
- 04-02-2020: Global cases hit 1 million

- **Goal 1.** Develop a dynamic epidemic modeling framework to study the spatial-temporal pattern of the spread of COVID-19.
- **Goal 2.** Investigate how factors contribute to the spread of COVID-19.
- **Goal 3.** Estimate and forecast the spatial-temporal pattern of the spread of the virus in the US up to the county level.
- **Goal 4.** Provide a user-friendly tool to visualize, track and predict the infected and death cases of COVID-19 in the US.

A Summary of Our Research and Products

Mathematical Modeling



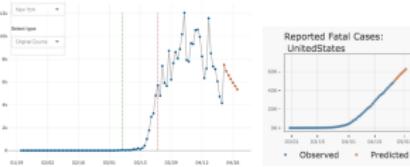
Statistical Modeling



- Confirmed cases
- Death cases
- Recovered cases
- ...

- Control Policies
- Demographic
- Socioeconomic
- ...

Forecasting



Data Products

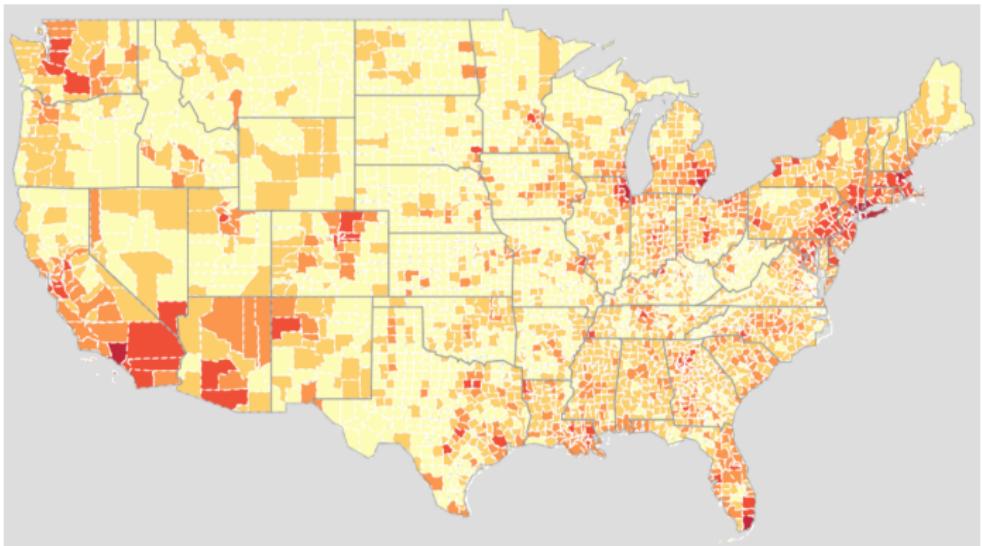
COVID-19 US Dashboard



Mobile Apps



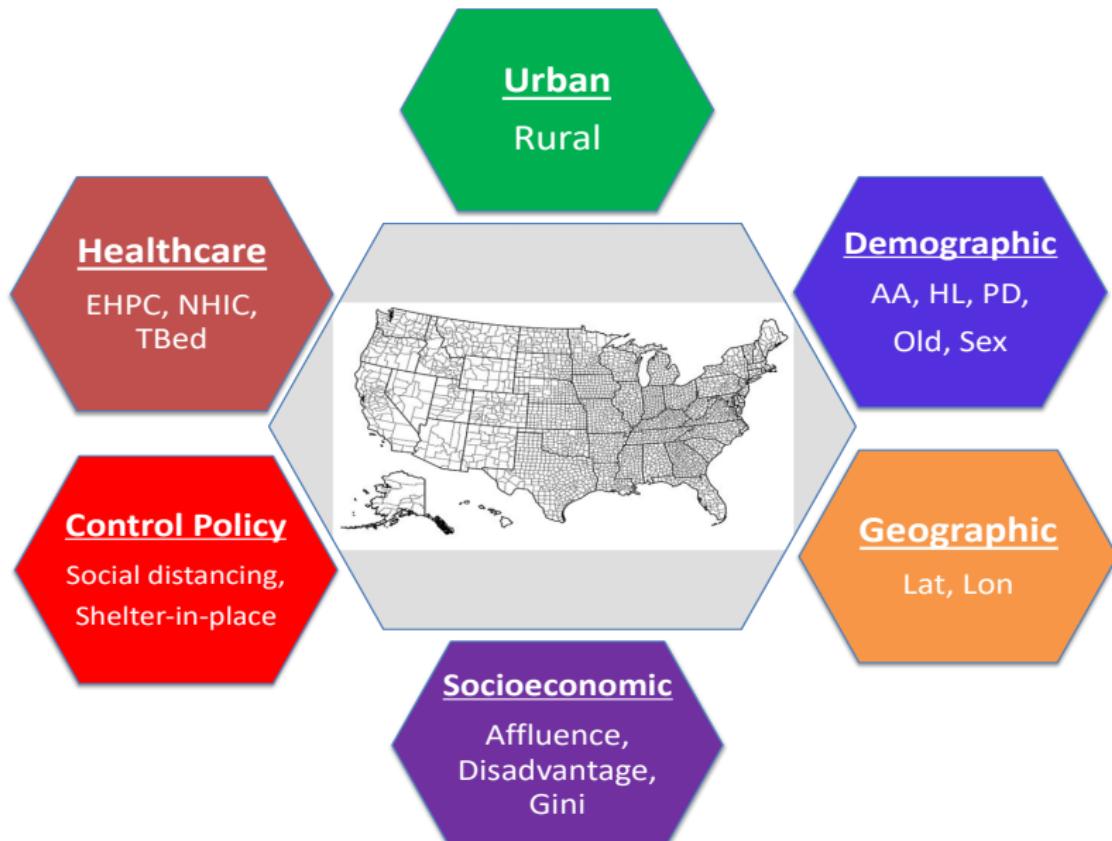
County-level Epidemic Data ¹



- 48 mainland U.S. states and the District of Columbia;
- 3,104 counties in total;
- Reported cases: infection, death, recovery.

¹Health Department Websites, NYT, COVID-19 Data Repository by JHU CSSE, COVID Tracking Project.

County-level Features²



²U.S. Census Bureau and U.S. Department of Homeland Security.

MODELING

- The underlying disease transmission process is unobservable.
- There is a lot of **uncertainty** about what is observed.
- Contributions of the factors are unknown.
- The **dynamics** of the spread is highly nonlinear and complex.

FORECAST

- Can we provide an accurate **short-term** forecast?
- How far the virus will spread and how many lives it will claim?
- Can we project the **timing of the outbreak peak** and the number of health resources required at a peak?
- What is the **uncertainty** associated with the forecast?

Spatio-Temporal Epidemic Modeling (STEM)

SIR Models

Kermack & Mckendrick
(1927)

Bilinear Incidence Rates

Susceptible
(S)



Infection
(I)



Removed
(R)

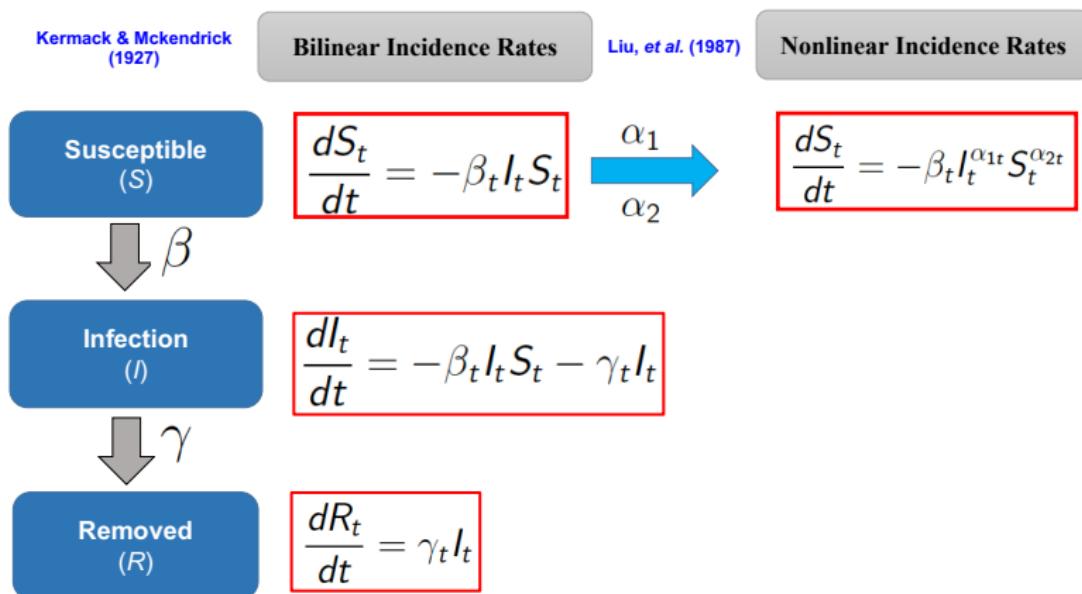
$$\frac{dS_t}{dt} = -\beta_t I_t S_t$$

$$\frac{dI_t}{dt} = -\beta_t I_t S_t - \gamma_t I_t$$

$$\frac{dR_t}{dt} = \gamma_t I_t$$

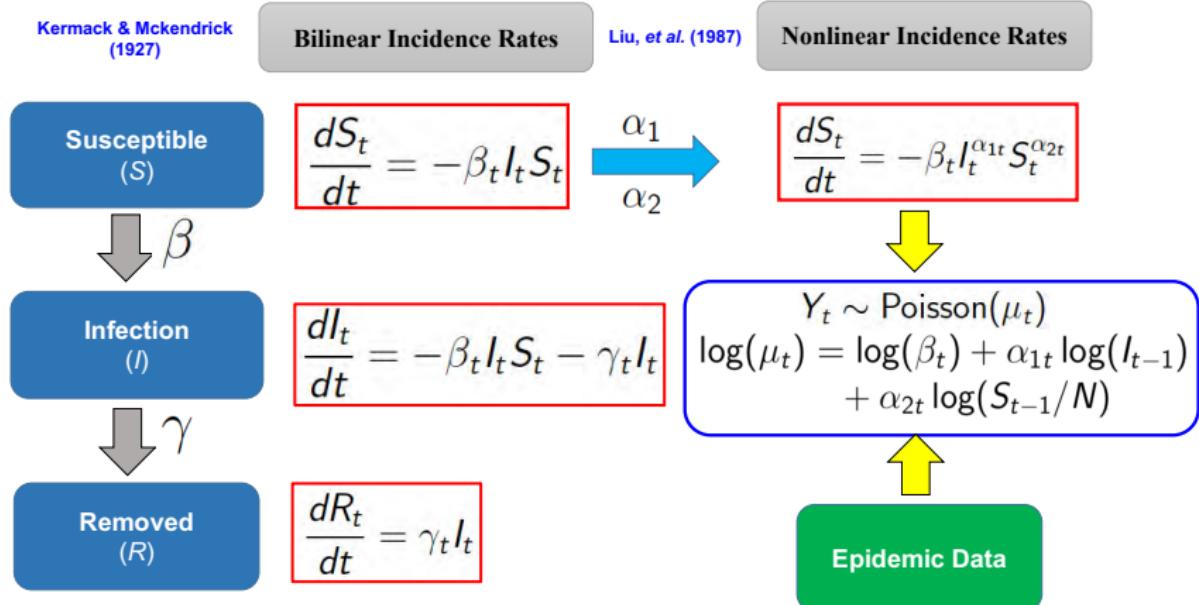
SIR/SEIR related papers for COVID-19: [Pan, et al. \(2020\)](#), [Sun, et al. \(2020\)](#), [Wang, et al. \(2020\)](#), [Zhang, et al. \(2010\)](#), and others.

SIR Models



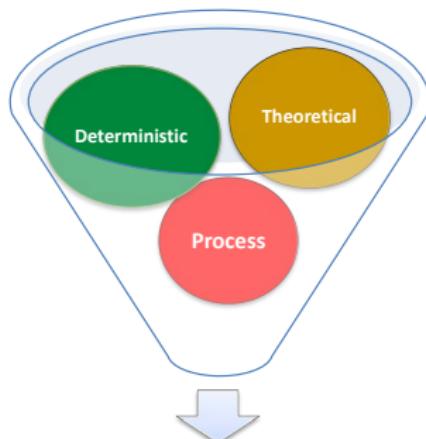
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SIR Models



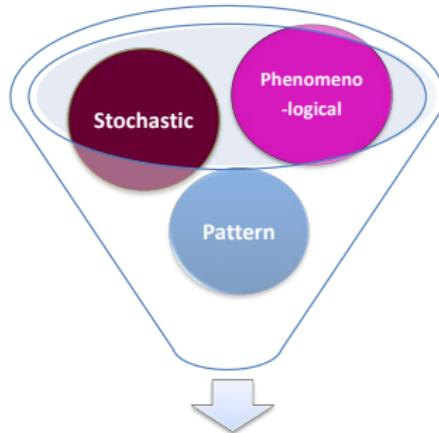
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Mathematical Models vs. Statistical Models



Mathematical Models

- ❖ Explicitly model nonlinearities in the process
- ❖ Represent the average behavior
- ❖ Focus is on model form, not parameter estimation for observed data

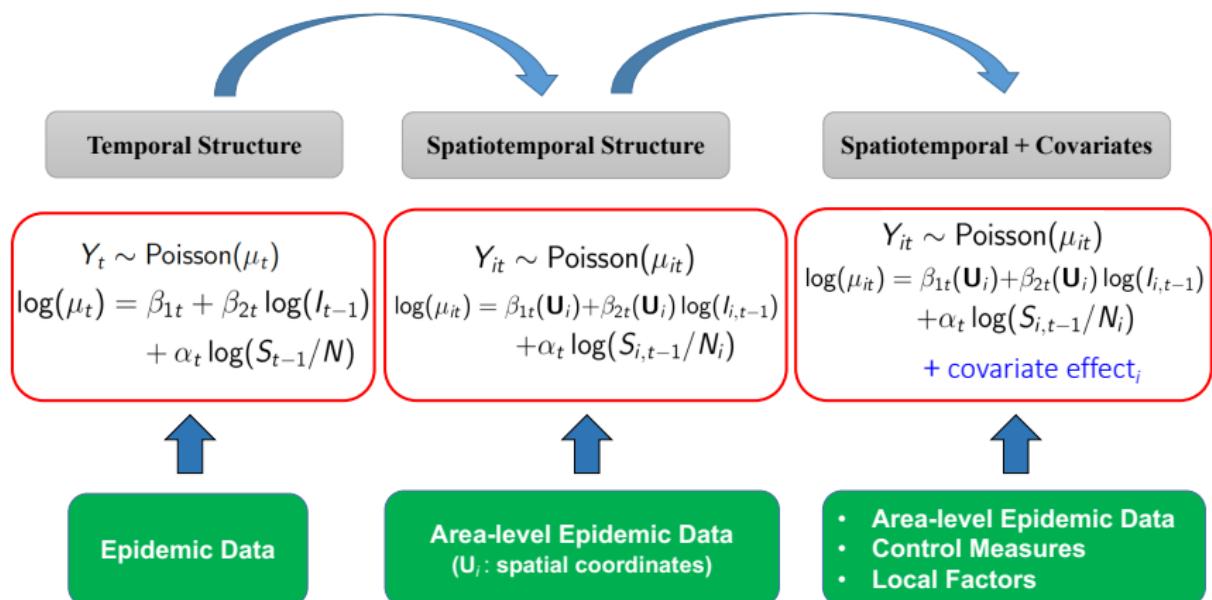


Statistical Models

- ❖ Data speak for themselves
- ❖ Provide a variety choices of errors
- ❖ Often describe the observed data
- ❖ Focus is on the pattern, little information about the mechanism

An Interface between Mathematical and Statistical Models

We investigate the disease dynamics by working at the **interface of theoretical models and empirical data** by combining the advantages of mathematical and statistical models.



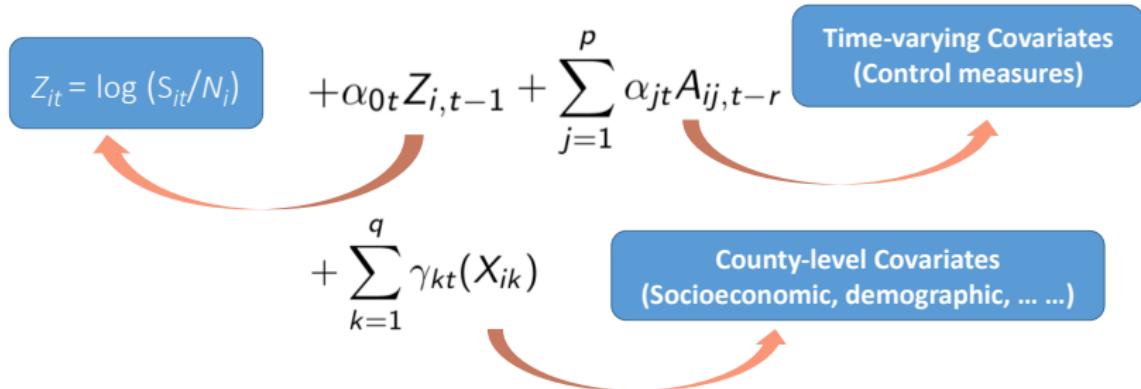
Spatio-Temporal Epidemic Modeling (STEM)

Suppose there are n counties. For the i th county on day t , we assume that the new increased infection cases:

$$Y_{it} | I_{i,t-1}, Z_{i,t-1}, \mathbf{A}_{i,t-r}, \mathbf{X}_i, \mathbf{U}_i \sim \text{Poisson}(\mu_{it}),$$



$$\log(\mu_{it}) = \beta_{0t}(\mathbf{U}_i) + \beta_{1t}(\mathbf{U}_i) \log(I_{i,t-1}) \cdot$$



with $E(\gamma_{kt}) = 0$, $k = 1, \dots, q$, for model identifiability.

STEM: Estimation

Moving Window Penalized Quasi-likelihood Method

For the current time t , and the estimation window $[t - t_0, t]$, we maximize the penalized quasi-likelihood:

$$\sum_{i=1}^n \sum_{s=t-t_0}^t L \left[g^{-1} \left\{ \beta_0(\mathbf{U}_i) + \beta_1(\mathbf{U}_i) \log(l_{i,s-1}) + \alpha_0 Z_{i,s-1} \right. \right. \\ \left. \left. + \sum_{j=1}^p \alpha_j A_{ij,s-r} + \sum_{k=1}^q \gamma_k(X_{ik}) \right\}, Y_{is} \right] - \frac{1}{2} \left\{ \lambda_0 \mathcal{E}(\beta_0) + \lambda_1 \mathcal{E}(\beta_1) \right\}, \quad (1)$$

where the energy functional is defined as:

$$\mathcal{E}(\beta) = \int_{\Omega} \left\{ (\nabla_{u_1}^2 \beta)^2 + 2(\nabla_{u_1} \nabla_{u_2} \beta)^2 + (\nabla_{u_2}^2 \beta)^2 \right\} du_1 du_2.$$

Moving Window Penalized Quasi-likelihood Method

Using spline basis expansion (Wang, et al., 2020³) with smoothness constraints $\mathbf{H}\boldsymbol{\theta}_\ell = \mathbf{0}$, $\ell = 0, 1$, ($\boldsymbol{\theta}_\ell = \mathbf{Q}_2 \boldsymbol{\theta}_\ell^*$), the penalized quasi-likelihood (1) can be changed to:

$$-\sum_{i=1}^n \sum_{s=t-t_0}^t L \left[g^{-1} \left\{ \mathbf{B}(\mathbf{U}_i)^\top \mathbf{Q}_2 (\boldsymbol{\theta}_0^* + \boldsymbol{\theta}_1^* \log(l_{i,s-1})) + \alpha_0 Z_{i,s-1} \right. \right. \\ \left. \left. + \sum_{j=1}^p \alpha_j A_{ij,s-r} + \sum_{k=1}^q \Phi_k^\top (X_{ik}) \xi_k \right\}, Y_{is} \right] + \frac{1}{2} \sum_{\ell=0}^1 \left\{ \lambda_\ell \boldsymbol{\theta}_\ell^{*\top} \mathbf{Q}_2^\top \mathbf{P} \mathbf{Q}_2 \boldsymbol{\theta}_\ell^* \right\}.$$

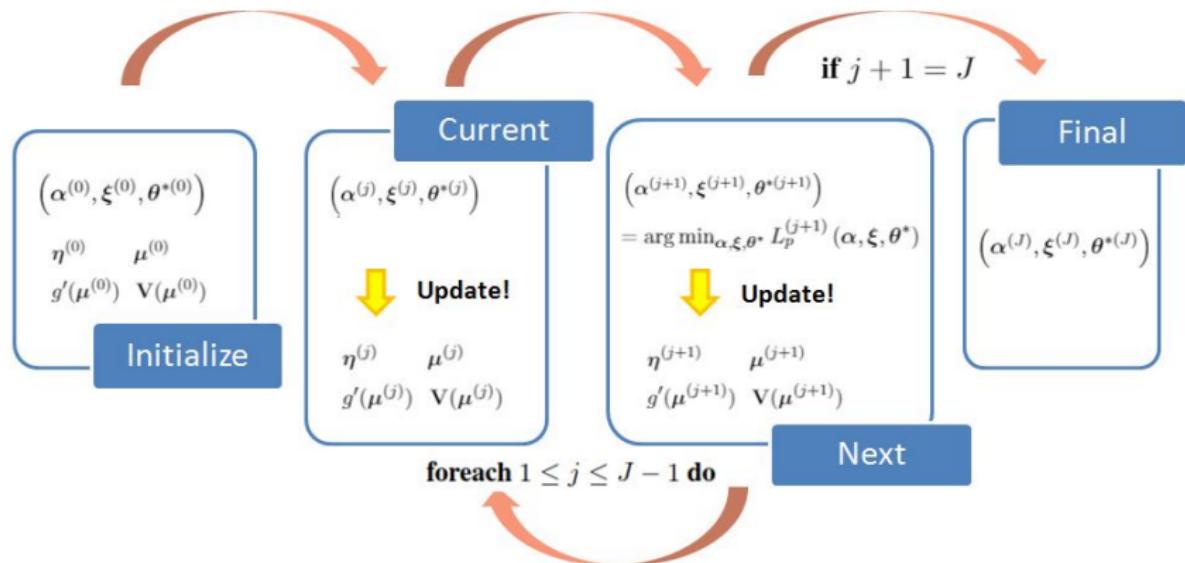
We obtain the estimators of α_j , $\beta_\ell(\cdot)$, and $\gamma_k(\cdot)$:

- $\hat{\alpha}_{jt}$, $j = 0, \dots, p$.
- $\hat{\beta}_{\ell t}(\mathbf{u}) = \mathbf{B}(\mathbf{u})^\top \mathbf{Q}_2 \hat{\boldsymbol{\theta}}_{\ell t}^*$, $\ell = 0, 1$,
- $\hat{\gamma}_{kt}(x_k) = \Phi_k(x_k)^\top \hat{\xi}_{kt}$, $k = 1, \dots, q$.

³ Check our R packages: [Triangulation](#) and [BPST](#) to generate splines over triangulation.
<https://github.com/funstatpackages>

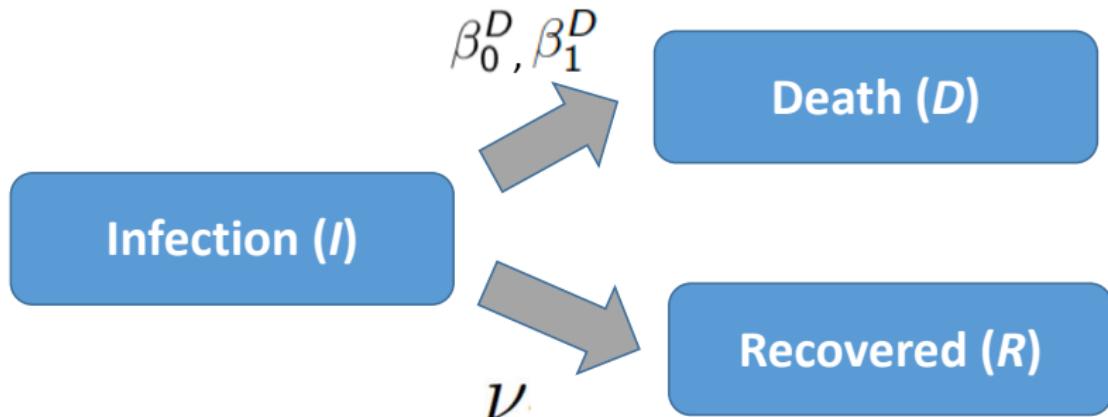
Spline Approximation and PIRLS Algorithm

- The optimization can be done via the penalized iteratively reweighted least squares (PIRLS):

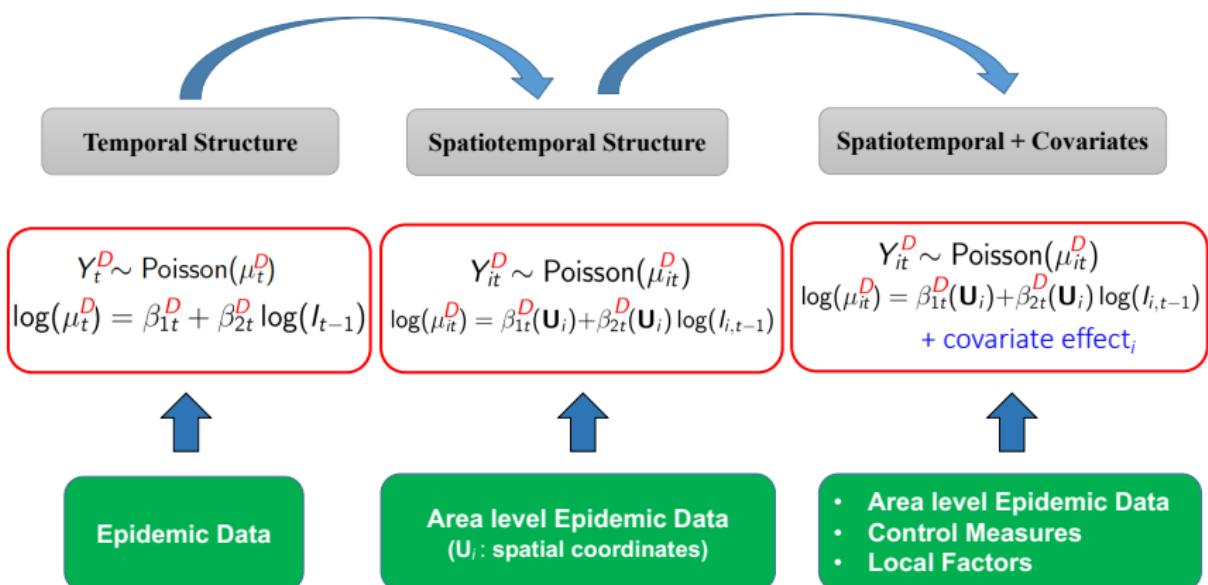


- Wood (2015), Yu et al. (2019) and Kim and Wang (2020).

Modeling the Number of Fatal Cases



Modeling the Number of Fatal Cases



Modeling the Number of Fatal Cases

- Suppose C_{it} , D_{it} , and R_{it} are the total confirmed cases, fatal cases and recovered cases, respectively.
- The number of active cases is: $I_{it} = C_{it} - D_{it} - R_{it}$.
- Let $Y_{it}^D = D_{it} - D_{i,t-1}$ be the new fatal cases on day t .
- Death Model:**

$$Y_{it}^D | \mathbf{X}_i, \mathbf{U}_i, I_{i,t-1}, \mathbf{A}_{i,t-r} \sim \text{Poisson}(\mu_{it}^D),$$

$$\log(\mu_{it}^D) = \beta_{0t}^D(\mathbf{U}_i) + \beta_{1t}^D \log(I_{i,t-1}) + \sum_{j=1}^p \alpha_{jt}^D A_{ij,t-r} + \sum_{k=1}^q \gamma_{kt}^D(X_{ik}).$$

Modeling the Number of Recovered Cases

- Limit of recovered data during the disease spread.
- **Q.** How do you use the information on the recovered cases?
 - A.** Compartmental models in epidemiology ([Anastassopoulou et al. 2020; Siettos and Russo 2013](#)).
- Suppose that ν_t is the recovery rate (estimate or prior medical studies).
- **Recovery Model:**

$$\Delta R_{is} = \nu_t I_{i,s-1} + \varepsilon_{is}, \quad s = t - t_0, \dots, t.$$

Zero-Inflated Models at the Early Stage of Outbreak

- Early in an epidemic, there are many counties with **zero daily new** infections (Y_{it}) and new deaths (Y_{it}^D).
- Assume the observed counts $Y_{it}^* = Y_{it}$ or Y_{it}^D contributes to a Zero-Inflated Poisson (**ZIP**) distribution as follows:

$$P(Y_{it}^* = y^* | I_{i,t-1}, Z_{i,t-1}, \mathbf{A}_{i,t-r}, \mathbf{X}_i, \mathbf{U}_i) = \begin{cases} 1 - p_{it}^*, & y^* = 0, \\ p_{it}^* \frac{(\mu_{it}^*)^{y^*}}{\{\exp(\mu_{it}^*) - 1\}^{y^*}!}, & y^* > 0. \end{cases}$$

- Also, $p_{it}^* = \text{logit}(\eta_{it}^*)$ with $\eta_{it}^* = a_1 + \{b + \exp(a_2)\} \log(\mu_{it}^*)$ and a_1, a_2 are unknown parameters; see [Wood et al. \(2016\)](#).

COVID-19: Estimation and Inference

STEM for infections:

$$\begin{aligned}\log(\mu_{it}) = & \beta_{0t}(\mathbf{U}_i) + \beta_{1t}(\mathbf{U}_i) \log(I_{i,t-1}) + \alpha_{0t} Z_{i,t-1} + \alpha_{2t} \text{Control}_{i,2,t-7} \\ & + \gamma_{1t}(\text{Gini}_i) + \gamma_{2t}(\text{Urban}_i) + \gamma_{3t}(\text{PD}_i) + \gamma_{4t}(\text{Affluence}_i) \\ & + \gamma_{5t}(\text{Disadvantage}_i) + \gamma_{6t}(\text{Tbed}_i) + \gamma_{7t}(\text{AA}_i) + \gamma_{8t}(\text{HL}_i) \\ & + \gamma_{9t}(\text{NHIC}_i) + \gamma_{10t}(\text{EHPC}_i) + \gamma_{11t}(\text{Sex}_i) + \gamma_{12t}(\text{Old}_i)\end{aligned}$$

STEM for deaths:

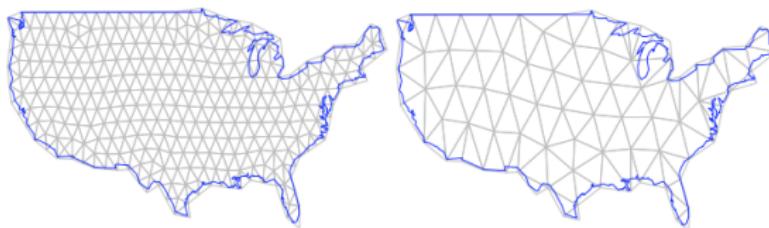
$$\begin{aligned}\log(\mu_{it}^D) = & \beta_{0t}^D(\mathbf{U}_i) + \beta_{1t}^D \log(I_{i,t-1}) + \alpha_{2t}^D \text{Control}_{i,2,t-7} \\ & + \gamma_{1t}^D \text{Gini}_i + \gamma_{2t}^D \text{Urban}_i + \gamma_{3t}^D \text{PD}_i + \gamma_{4t}^D \text{Affluence}_i \\ & + \gamma_{5t}^D \text{Disadvantage}_i + \gamma_{6t}^D \text{Tbed}_i + \gamma_{7t}^D \text{AA}_i + \gamma_{8t}^D \text{HL}_i \\ & + \gamma_{9t}^D \text{NHIC}_i + \gamma_{10t}^D \text{EHPC}_i + \gamma_{11t}^D \text{Sex}_i + \gamma_{12t}^D \text{Old}_i.\end{aligned}$$

Estimation and Inference Settings

- Date: 03/23/20 – 04/25/20.
- Estimation window length: 9 days.

Estimation and Inference Settings

- Date: 03/23/20 – 04/25/20.
- Estimation window length: 9 days.
- Univariate splines: cubic splines, 2 interior knots.
- Bivariate splines:
 - 522 triangles, 306 vertices;
 - 119 triangles, 87 vertices.



Estimation and Inference Settings

- Date: 03/23/20 – 04/25/20.
- Estimation window length: 9 days.
- Univariate splines: cubic splines, 2 interior knots.
- Bivariate splines:
 - 522 triangles, 306 vertices;
 - 119 triangles, 87 vertices.
- Estimation: coefficients, coefficient maps, and curves of covariates.
- Inference: simultaneous confidence band (SCB).

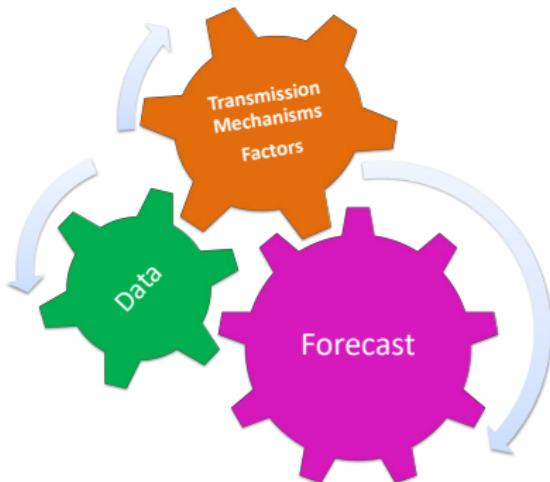
SCB: Population Density per Square Mile of Land Area

A Summary of County-level Factors

- Control Policy (“shelter-in-place”) is highly significant;
- Infections increase with Population Density;
- Infections increase with African American Ratio;
- Infections increase with Hispanic Latino Ratio;
- Infections are higher in Urban areas;
- Infections are lower when there are more healthy care investments (Hospital Beds).

STEM: Forecasting

Forecasting COVID-19: How Difficult Is It?



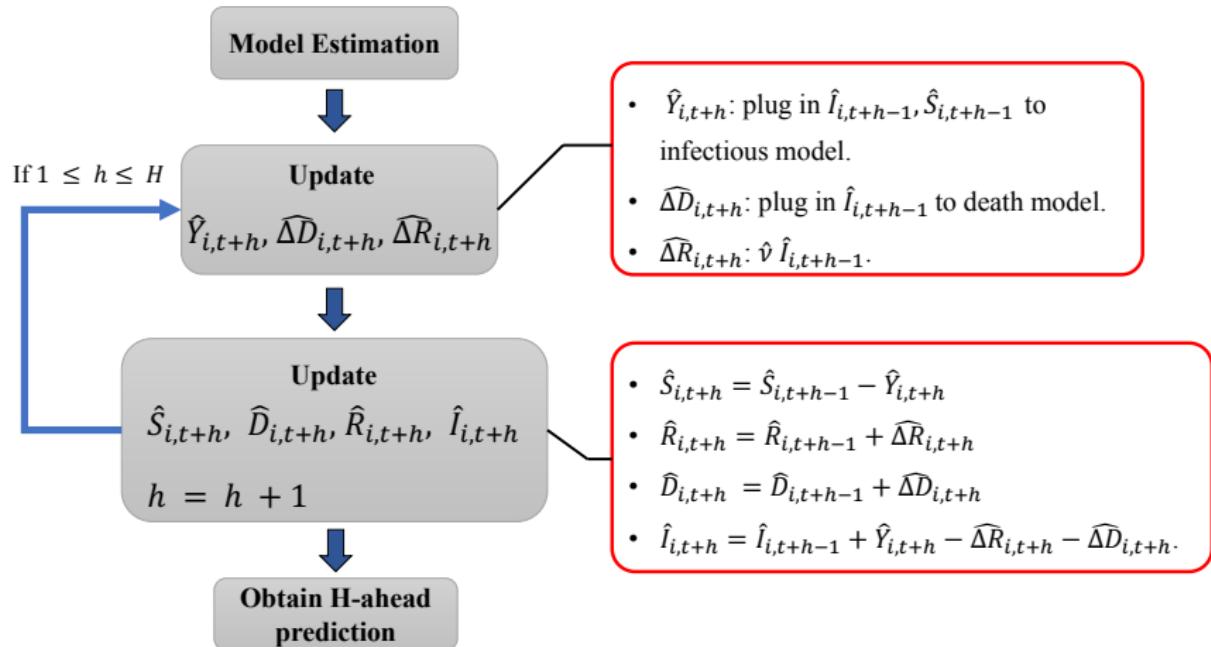
① Short-term Forecast

- Clear time series trend;
- Relatively easy, many existing methods are available;
- Less uncertainty about what is observed.

② Long-term Forecast

- A lot of uncertainty;
- Lack of good quality data;
- Forecasts might affect what we are trying to forecast.

STEM: h -step ahead Prediction



STEM: Projection Band



- Generate bootstrap sample on time points $1, 2, \dots, t$.
- Estimate model based on bootstrap sample: $\hat{\beta}^b, \hat{\alpha}^b, \hat{\gamma}^b$.
- Correct bias.

- Simulate a forecast path at time points $t + 1, t + 2, \dots, t + H$ based on $2\hat{\beta} - \hat{\beta}^b, 2\hat{\alpha} - \hat{\alpha}^b, 2\hat{\gamma} - \hat{\gamma}^b$.
- $\hat{Y}_{i,t+h}^b, \hat{\Delta D}_{i,t+h}^b, \hat{\Delta R}_{i,t+h}^b$ are generated from Poisson distribution.

- Repeat the bootstrap procedure for B times.
- Leave out αB the most extreme paths.
- Obtain $100(1 - \alpha)\%$ prediction band.

Forecast Comparisons

- **Linear:** $E(C_{it}|t) = \beta_{i0} + \beta_{i1}t$, $\text{Var}(C_{it}|t) = \sigma_i^2$, $i = 1, \dots, n$;

- **Exponential, Poisson:**

$$\log\{E(C_{it}|t)\} = \beta_{i0} + \beta_{i1}t, \text{Var}(C_{it}|t) = \exp(\beta_{i0} + \beta_{i1}t), i = 1, \dots, n;$$

- **Simple Epidemic Model (EM):**

$$\log(\mu_{it}) = \beta_0 + \beta_1 \log(I_{i,t-1}), \log(\mu_{it}^D) = \beta_0^D + \beta_1^D \log(I_{i,t-1})$$

Table: Average of root mean squared prediction errors (RMSPE_h) for the h-day ahead prediction, $h = 1, \dots, 7$, based on 03/23–04/18, 2020.

	Method	RMSPE ₁	RMSPE ₂	RMSPE ₃	RMSPE ₄	RMSPE ₅	RMSPE ₆	RMSPE ₇
Infection	Linear	40.332	56.581	74.074	94.038	117.661	143.440	167.763
	Exponential	>1000	>1000	>1000	>1000	>1000	>1000	>1000
	EM	41.323	69.217	97.766	130.247	166.116	199.284	236.642
	STEM	35.632	56.097	74.460	94.121	118.569	141.809	168.008
Death	Linear	6.899	9.917	13.297	16.944	21.272	25.393	29.586
	Exponential	>1000	>1000	>1000	>1000	>1000	>1000	>1000
	EM	3.799	7.322	10.405	13.617	17.221	20.304	23.282
	STEM	3.755	7.200	10.287	13.535	17.208	20.529	23.868

Comparisons: Infection Count

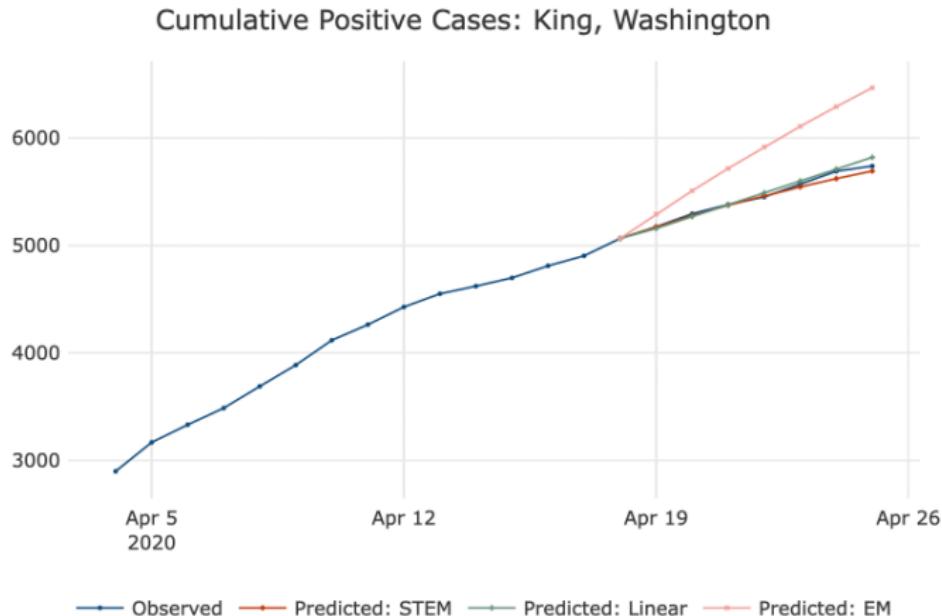


Figure: Comparison of 7-day ahead predictions using different methods.

Comparisons: Death Count

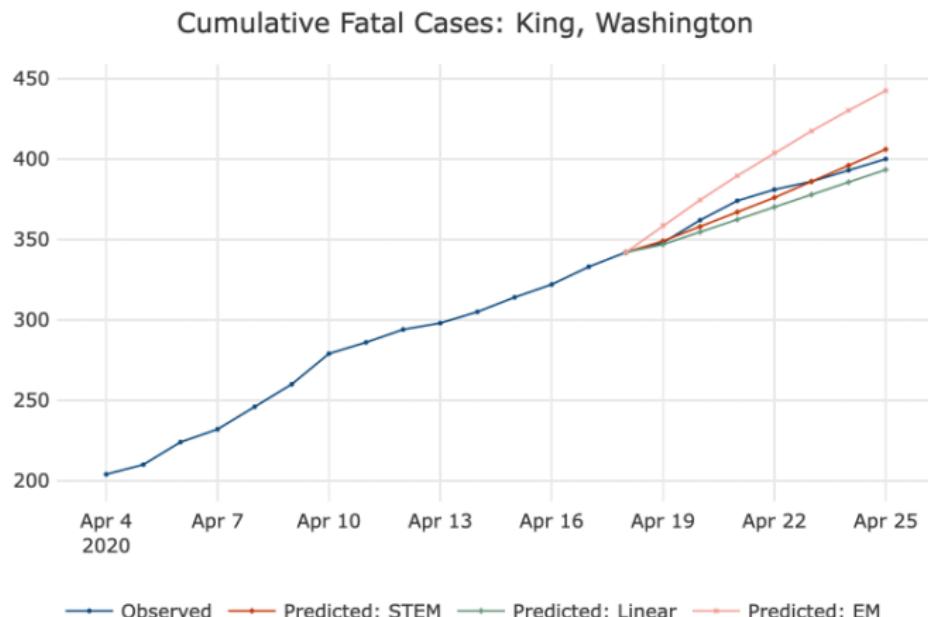
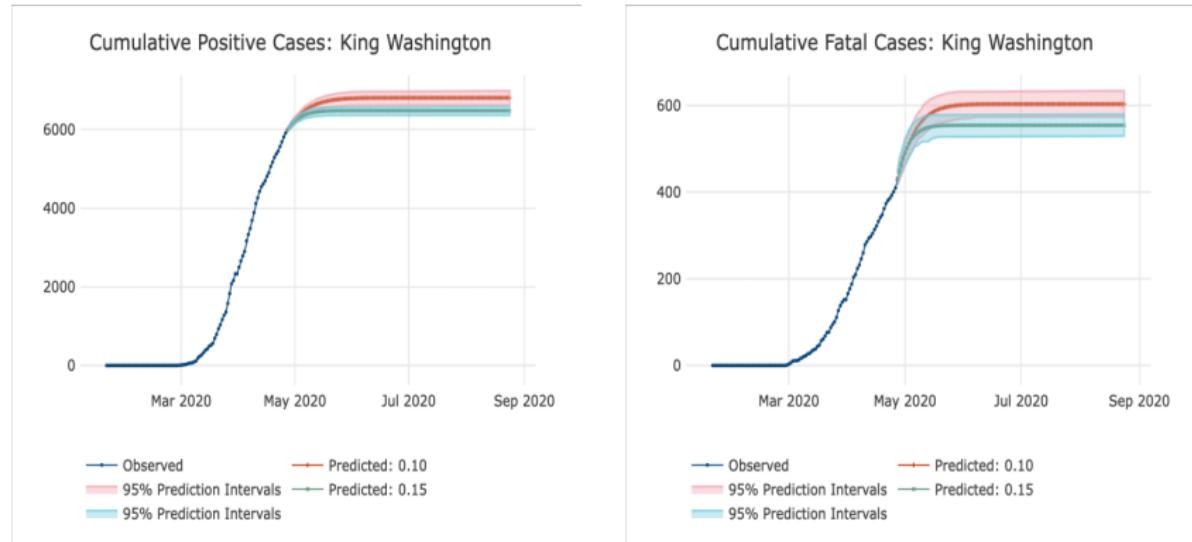


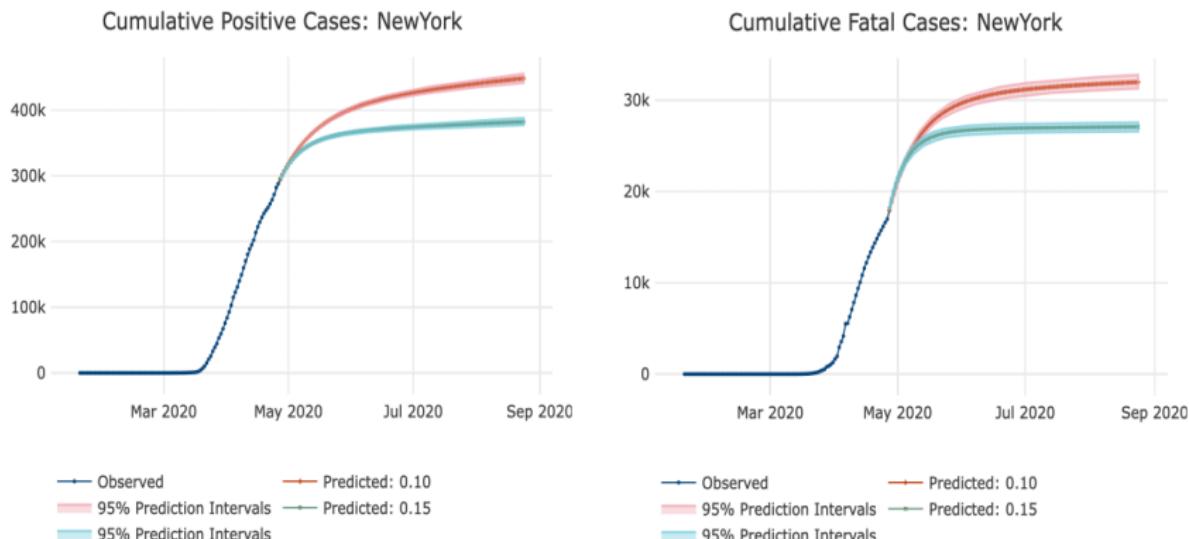
Figure: Comparison of 7-day ahead predictions using different methods.

Long-term Projection at the County Level



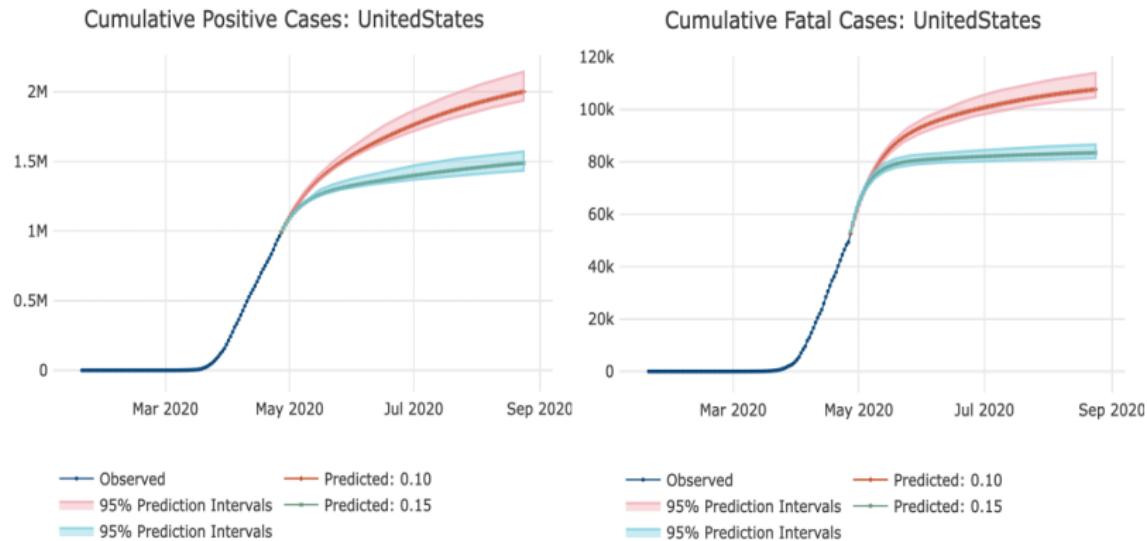
- We treat daily recovery rate as input parameters: 10% (red), 15% (green).
- As far as we know, we are the only one providing the [county-level projection](#).

Long-term Projection at the State Level



- We treat daily recovery rate as input parameters: 10% (red), 15% (green).
- Our projection bands are much narrower than those provided by **IHME**.

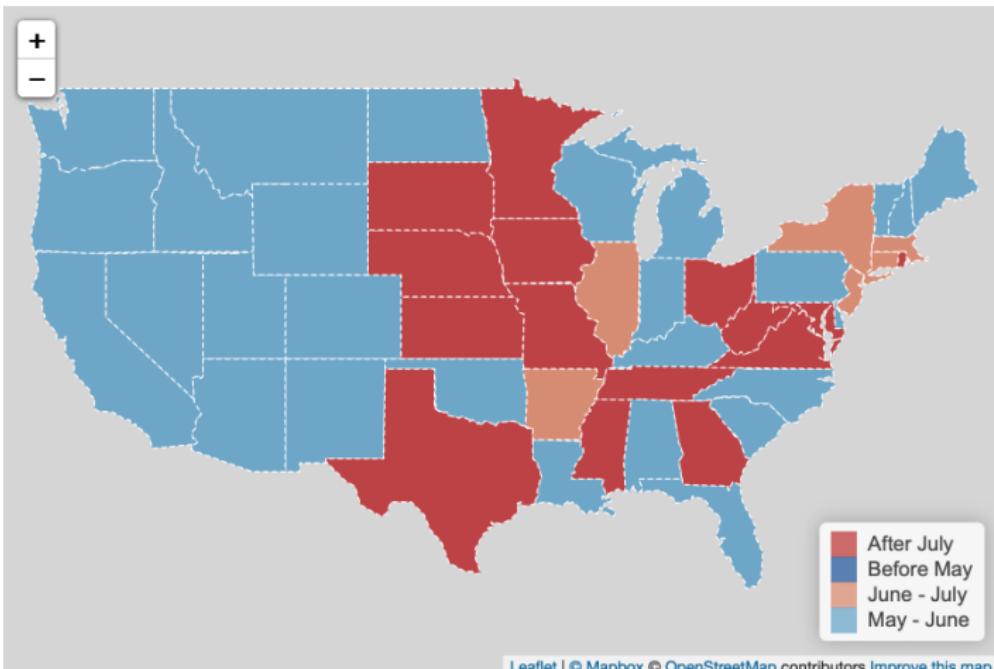
Long-term Projection for the U.S.



- We treat daily recovery rate as input parameters: 10% (red), 15% (green).
- Our projection bands are much narrower than those provided by **IHME**.

Long-term Projection

When will the COVID-19 end?

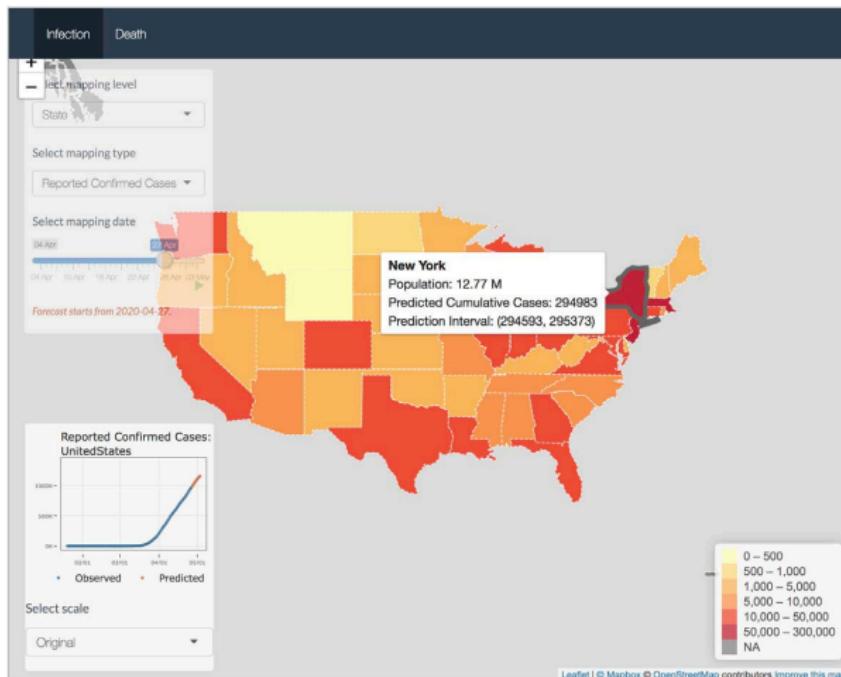


- Expected date when the fatal cases stop increasing at different states with recovery rate 10%. [Based on the data 04/18/20 – 04/26/20]

Data Products

COVID-19 Dashboard

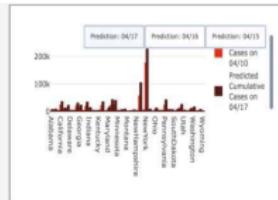
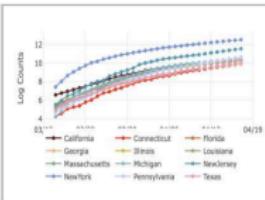
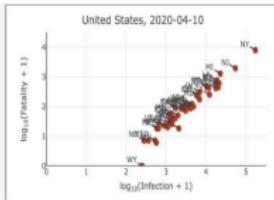
We provide a real-time **7-day forecast** of infected and death counts at both the county level & state level, and the corresponding risk analysis.
[\[https://covid19.stat.iastate.edu/\]](https://covid19.stat.iastate.edu/)



COVID-19 Dashboard – Insights

We provide some indepth statistical insights based on our analysis of COVID-19 infected/death count.

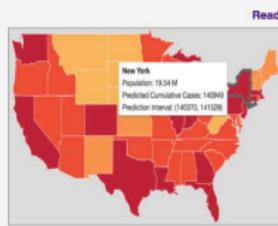
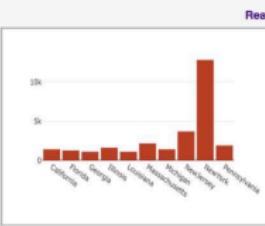
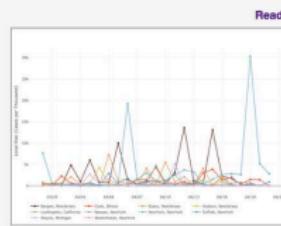
[<https://covid19.stat.iastate.edu/>]



Dynamic overview of COVID-19 spread

Growth rate of COVID-19

Spread of COVID-19 in a week



What are the top 10 counties with the highest risk

Read More

Top 10 states with the highest number of new cases

Read More

Understanding forecast uncertainty using prediction intervals

Read More



SCAN ME

Conclusions

- Bridge the gap between mathematical models and statistical analysis in the infectious disease study.
- Enhance the dynamics of the SIR mechanism by means of nonparametric spatiotemporal analysis.
- Investigate the spatial associations between the infection/death count, and area-level factors/characteristics across the US.
- Can be used as an important tool for understanding the dynamic of the disease spread, as well as to assess how this outbreak may unfold through time and space.
- Provide a very accurate short-term forecast, and can also be used for long-term prediction.

① Methodology

- Disease mapping: to illustrate high-risk areas, and help policy making and resource allocation.
- Extensions and applications:
 - epidemic models in which there are several types of areas with potentially different characteristics;
 - more complex models that include features such as latent periods or more realistic population structure.

② Data products

- Mobile App is underdevelopment: real-time forecast up to county level;
- Risk Analysis Apps for communities, schools, businesses and companies will be developed.

Our Products and Contact Information

- Details of our research can be found in the **arXiv paper**
<http://arxiv.org/abs/2004.14103>
- The **R package** of the proposed method can be downloaded from the Github Repository:
<https://github.com/covid19-dashboard-us/STEM>
- The **R shiny apps** demonstrating the proposed methods can be found from
<https://covid19.stat.iastate.edu/>
- Questions, comments, suggestions: please **email** me at
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To Our Healthcare Workers:

THANK YOU FOR PUTTING YOURSELF
IN THE WAY OF DANGER TO SAVE
OTHERS AND SAVE THE PUBLIC!

THANKS FOR BEING HEROES OF THIS
COUNTRY IN THE PANDEMIC!

WE ARE WITH YOU!

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