MODULE *SemiSync*

EXTENDS *TLC*, *Integers*, *Sequences*, *FiniteSets*

CONSTANTS *Replica*, *nil*

VARIABLES
next_req,
db, *db_leader*, *db_epoch*, *db_leader_epoch*,
db_replicas, *db_replicated*,
zk_leader, *zk_epoch*, *zk_leader_epoch*,
zk_replicas, *zk_status*, *zk_deleted*, *zk_num_change*,
zk_num_remove,
ctl_pc, *ctl_epoch*, *ctl_leader*, *ctl_replicas*, *ctl_offset*,
client_log

$zk\_vars \triangleq \langle zk\_leader, zk\_epoch, zk\_leader\_epoch,$
$\quad zk\_replicas, zk\_status, zk\_deleted, zk\_num\_change, zk\_num\_remove\rangle$
$db\_vars \triangleq \langle db, db\_leader, db\_epoch, db\_leader\_epoch, db\_replicas, db\_replicated\rangle$
$ctl\_vars \triangleq \langle ctl\_pc, ctl\_epoch, ctl\_leader, ctl\_replicas, ctl\_offset\rangle$
$global\_vars \triangleq \langle next\_req, client\_log\rangle$

$vars \triangleq \langle global\_vars, db\_vars, zk\_vars, ctl\_vars\rangle$

$LogEntry \triangleq 41 \,..\, 50$
$EpochNumber \triangleq 11 \,..\, 30$

$NullLogEntry \triangleq LogEntry \cup \{nil\}$
$NullReplica \triangleq Replica \cup \{nil\}$

$max\_req \triangleq 40 + 2$
$max\_change\_leader \triangleq 2$
$max\_remove\_replica \triangleq 1$

$replicationFactor(n) \triangleq (n + 2) \div 2$

$quorumOfSet(S) \triangleq$
$\quad \{Q \in \text{SUBSET } S : Cardinality(Q) = replicationFactor(Cardinality(S))\}$

$quorumOf(r) \triangleq quorumOfSet(db\_replicas[r])$

Map Functions

$Range(f) \triangleq \{f[x] : x \in \text{DOMAIN } f\}$

$mapPut(f, k, v) \triangleq \text{LET } newDomain \triangleq (\text{DOMAIN } f) \cup \{k\} \text{IN}$
$\quad \wedge f' = [x \in newDomain \mapsto \text{IF } x = k \text{ THEN } v \text{ ELSE } f[x]]$

$mapExist(f,\ k) \triangleq k \in \text{DOMAIN } f$

$mapDelete(f,\ k) \triangleq \text{LET } newDomain \triangleq (\text{DOMAIN } f) \setminus \{k\} \text{IN}$
$\quad \land f' = [x \in newDomain \mapsto f[x]]$

$MapOf(f,\ K,\ V) \triangleq$
$\quad \land \text{DOMAIN } f \subseteq K$
$\quad \land Range(f) \subseteq V$

$TypeOK \triangleq$
$\quad \land \quad next\_req \in LogEntry \cup \{40\}$

$\quad \land \quad zk\_leader \in NullReplica$
$\quad \land \quad zk\_epoch \in EpochNumber$
$\quad \land \quad zk\_leader\_epoch \in EpochNumber$
$\quad \land \quad zk\_replicas \subseteq Replica$
$\quad \land \quad zk\_status \in \{\text{“Normal”}, \text{“WaitReplicate”}, \text{“FindNewLeader”}\}$
$\quad \land \quad MapOf(zk\_deleted, Replica, 0 \,..\, 10)$
$\quad \land \quad zk\_num\_change \in 0 \,..\, 20$
$\quad \land \quad zk\_num\_remove \in 0 \,..\, 20$

$\quad \land \quad zk\_leader \neq nil \Rightarrow (zk\_leader \in zk\_replicas)$

$\quad \land \quad db \in [Replica \to Seq(LogEntry)]$
$\quad \land \quad db\_leader \in [Replica \to NullReplica]$
$\quad \land \quad db\_epoch \in [Replica \to EpochNumber]$
$\quad \land \quad db\_leader\_epoch \in [Replica \to EpochNumber]$
$\quad \land \quad db\_replicas \in [Replica \to \text{SUBSET } Replica]$
$\quad \land \quad db\_replicated \in [Replica \to [Replica \to 0 \,..\, 10]]$

$\quad \land \quad ctl\_pc \in \{$
$\qquad \text{“Init”}, \text{“CtlReadLeaderLog”}, \text{“CtlReadReplicaLog”},$
$\qquad \text{“CtlFindNewLeader”}\}$
$\quad \land \quad ctl\_epoch \in EpochNumber$
$\quad \land \quad ctl\_leader \in NullReplica$
$\quad \land \quad ctl\_replicas \subseteq Replica$
$\quad \land \quad ctl\_offset \in [Replica \to 0 \,..\, 10 \cup \{-1\}]$

$\quad \land \quad client\_log \in Seq(LogEntry)$

$Init \triangleq$
$\quad \land next\_req = 40$

$\quad \land zk\_leader \in Replica$
$\quad \land zk\_epoch = 11$
$\quad \land zk\_leader\_epoch = zk\_epoch$
$\quad \land zk\_replicas = \{zk\_leader\}$

$\land\ zk\_status = \text{“Normal”}$
$\land\ zk\_deleted = \langle\rangle$
$\land\ zk\_num\_change = 0$
$\land\ zk\_num\_remove = 0$

$\land\ db = [r \in Replica \mapsto \langle\rangle]$
$\land\ db\_leader = [r \in Replica \mapsto zk\_leader]$
$\land\ db\_epoch = [r \in Replica \mapsto zk\_epoch]$
$\land\ db\_leader\_epoch = [r \in Replica \mapsto zk\_epoch]$
$\land\ db\_replicas = [r \in Replica \mapsto zk\_replicas]$
$\land\ db\_replicated = [r \in Replica \mapsto [r2 \in Replica \mapsto 0]]$

$\land\ ctl\_pc = \text{“Init”}$
$\land\ ctl\_epoch = zk\_epoch$
$\land\ ctl\_leader = zk\_leader$
$\land\ ctl\_replicas = zk\_replicas$
$\land\ ctl\_offset = [r \in Replica \mapsto 0]$

$\land\ client\_log = \langle\rangle$

$AppendLog(r) \triangleq$
$\land\ next\_req < max\_req$
$\land\ db\_leader[r] = r$
$\land\ next\_req' = next\_req + 1$
$\land\ db' = [db \text{ EXCEPT } ![r] = Append(@, next\_req')]$
$\land\ db\_replicated' = [db\_replicated \text{ EXCEPT } ![r][r] = Len(db'[r])]$
$\land$ UNCHANGED $\langle db\_leader, db\_epoch, db\_leader\_epoch, db\_replicas\rangle$
$\land$ UNCHANGED $client\_log$
$\land$ UNCHANGED zk_vars
$\land$ UNCHANGED ctl_vars

$AppendClientLog(r) \triangleq$
$\land\ db\_leader[r] = r$
$\land$ LET $n \triangleq Len(client\_log)$ IN
$\quad\land\ n < Len(db[r])$
$\quad\land\ \exists\, Q \in quorumOf(r) :$
$\qquad \forall\, r2 \in Q : db\_replicated[r][r2] > n$
$\quad\land\ client\_log' = Append(client\_log, db[r][n+1])$
$\land$ UNCHANGED $next\_req$
$\land$ UNCHANGED db_vars
$\land$ UNCHANGED zk_vars
$\land$ UNCHANGED ctl_vars

$ZkAddReplica(r) \triangleq$
$\land\ \neg(r \in zk\_replicas)$

$\land \neg(r \in \text{DOMAIN } zk\_deleted)$
$\land zk\_status = \text{“Normal”} \lor zk\_status = \text{“WaitReplicate”}$
$\land zk\_replicas' = zk\_replicas \cup \{r\}$
$\land zk\_epoch' = zk\_epoch + 1$
$\land zk\_status' = \text{“WaitReplicate”}$
$\land \text{UNCHANGED } \langle zk\_leader,\ zk\_leader\_epoch,\ zk\_deleted,\ zk\_num\_change\rangle$
$\land \text{UNCHANGED } zk\_num\_remove$
$\land \text{UNCHANGED } global\_vars$
$\land \text{UNCHANGED } db\_vars$
$\land \text{UNCHANGED } ctl\_vars$

$ZkRemoveReplica(r) \triangleq$
$\land zk\_num\_remove < max\_remove\_replica$
$\land zk\_num\_remove' = zk\_num\_remove + 1$

$\land r \in zk\_replicas$
$\land r \neq zk\_leader$
$\land zk\_status = \text{“Normal”} \lor zk\_status = \text{“WaitReplicate”}$
$\land zk\_epoch' = zk\_epoch + 1$
$\land zk\_replicas' = zk\_replicas \setminus \{r\}$
$\land \text{IF } Cardinality(zk\_replicas') = 1$
  $\text{THEN } \land zk\_status' = \text{“Normal”}$
    $\land \text{UNCHANGED } zk\_deleted$
  $\text{ELSE } \land zk\_status' = \text{“WaitReplicate”}$
    $\land mapPut(zk\_deleted,\ r,\ Len(db[zk\_leader]))$
$\land \text{UNCHANGED } \langle zk\_leader,\ zk\_leader\_epoch\rangle$
$\land \text{UNCHANGED } zk\_num\_change$
$\land \text{UNCHANGED } db\_vars$
$\land \text{UNCHANGED } global\_vars$
$\land \text{UNCHANGED } ctl\_vars$

$ZkPrepareChangeLeader \triangleq$
$\land zk\_num\_change < max\_change\_leader$
$\land zk\_num\_change' = zk\_num\_change + 1$

$\land Cardinality(zk\_replicas) > 1$
$\land zk\_status = \text{“Normal”}$
$\land zk\_replicas' = zk\_replicas \setminus \{zk\_leader\}$
$\land \exists\, r2 \in zk\_replicas' :$
  $\land mapPut(zk\_deleted,\ zk\_leader,\ Len(db[r2]))$
$\land zk\_epoch' = zk\_epoch + 1$
$\land \text{IF } Cardinality(zk\_replicas') > 1$
  $\text{THEN } \land zk\_status' = \text{“FindNewLeader”}$
    $\land zk\_leader' = nil$
    $\land \text{UNCHANGED } \langle zk\_leader\_epoch\rangle$

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        ELSE  ∧ UNCHANGED zk_status
              ∧ zk_leader' ∈ zk_replicas'
              ∧ zk_leader_epoch' = zk_epoch'
    ∧ UNCHANGED zk_num_remove
    ∧ UNCHANGED db_vars
    ∧ UNCHANGED global_vars
    ∧ UNCHANGED ctl_vars

newReplicated ≜ [r ∈ Replica ↦ 0]

DBUpdateZKInfo(r) ≜
    ∧ db_epoch[r] < zk_epoch
    ∧ db_epoch' = [db_epoch EXCEPT ![r] = zk_epoch]
    ∧ db_leader_epoch' = [db_leader_epoch EXCEPT ![r] = zk_leader_epoch]
    ∧ db_leader' = [db_leader EXCEPT ![r] = zk_leader]
    ∧ db_replicas' = [db_replicas EXCEPT ![r] = zk_replicas]
    ∧ IF zk_leader_epoch = db_leader_epoch[r]
      THEN UNCHANGED db_replicated
      ELSE db_replicated' = [
        db_replicated EXCEPT ![r] = [newReplicated EXCEPT ![r] = Len(db[r])]]
    ∧ UNCHANGED db
    ∧ UNCHANGED zk_vars
    ∧ UNCHANGED global_vars
    ∧ UNCHANGED ctl_vars

DBReceveFromLeader(r) ≜ LET leader ≜ db_leader[r]IN
    ∧ leader ≠ r
    ∧ leader ≠ nil
    ∧ r ∈ db_replicas[r]
    ∧ r ∈ db_replicas[leader]
    ∧ db_leader_epoch[r] = db_leader_epoch[leader]
    ∧ Len(db[r]) < Len(db[leader])
    ∧ LET n ≜ Len(db[r])IN
      ∧ db' = [db EXCEPT ![r] = Append(@, db[leader][n + 1])]
    ∧ UNCHANGED ⟨db_leader, db_epoch, db_leader_epoch, db_replicas, db_replicated⟩
    ∧ UNCHANGED zk_vars
    ∧ UNCHANGED global_vars
    ∧ UNCHANGED ctl_vars

DBUpdateReplicated(r, r1) ≜
    ∧ db_leader[r] = r
    ∧ r1 ≠ r
    ∧ r1 ∈ db_replicas[r1]
    ∧ db_leader_epoch[r] = db_leader_epoch[r1]
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$\land\ db\_replicated[r][r1] < Len(db[r1])$
$\land\ db\_replicated' = [db\_replicated \text{ EXCEPT } ![r][r1] = Len(db[r1])]$
$\land \text{ UNCHANGED } \langle db,\ db\_epoch,\ db\_leader,\ db\_leader\_epoch,\ db\_replicas\rangle$
$\land \text{ UNCHANGED } global\_vars$
$\land \text{ UNCHANGED } zk\_vars$
$\land \text{ UNCHANGED } ctl\_vars$

$zkStatusToCtlPC \triangleq$
IF $zk\_status =$ "WaitReplicate"
THEN "CtlReadLeaderLog"
ELSE IF $zk\_status =$ "FindNewLeader"
THEN "CtlFindNewLeader"
ELSE "Init"

$initCtlOffset \triangleq ctl\_offset' = [r \in Replica \mapsto -1]$

$CtlUpdateZKInfo \triangleq$
$\land\ ctl\_epoch < zk\_epoch$
$\land\ ctl\_epoch' = zk\_epoch$
$\land\ ctl\_leader' = zk\_leader$
$\land\ ctl\_pc' = zkStatusToCtlPC$
$\land\ ctl\_replicas' = zk\_replicas$
$\land\ initCtlOffset$
$\land \text{ UNCHANGED } global\_vars$
$\land \text{ UNCHANGED } db\_vars$
$\land \text{ UNCHANGED } zk\_vars$

$CtlReadLeaderLog \triangleq$
$\land\ ctl\_pc =$ "CtlReadLeaderLog"
$\land\ ctl\_epoch = db\_epoch[ctl\_leader]$
$\land\ ctl\_pc' =$ "CtlReadReplicaLog"
$\land\ ctl\_offset' = [ctl\_offset \text{ EXCEPT } ![ctl\_leader] = Len(db[ctl\_leader])]$
$\land \text{ UNCHANGED } \langle ctl\_epoch,\ ctl\_replicas,\ ctl\_leader\rangle$
$\land \text{ UNCHANGED } zk\_vars$
$\land \text{ UNCHANGED } global\_vars$
$\land \text{ UNCHANGED } db\_vars$

$CtlReadReplicaLog(r) \triangleq$
$\land\ ctl\_pc =$ "CtlReadReplicaLog"
$\land\ r \neq ctl\_leader$
$\land\ r \in ctl\_replicas$
$\land\ ctl\_offset[r] < Len(db[r])$
$\land\ ctl\_offset' = [ctl\_offset \text{ EXCEPT } ![r] = Len(db[r])]$

$\land$ UNCHANGED $\langle ctl\_pc,\ ctl\_replicas \rangle$
$\land$ UNCHANGED $\langle ctl\_epoch,\ ctl\_leader \rangle$
$\land$ UNCHANGED zk_vars
$\land$ UNCHANGED db_vars
$\land$ UNCHANGED $global\_vars$

$CtlSetZkNormal \triangleq$
$\land\ ctl\_pc =$ "CtlReadReplicaLog"
$\land\ ctl\_epoch = zk\_epoch$
$\land \exists\ Q \in quorumOfSet(ctl\_replicas) :$
$\quad \forall\ r \in Q : ctl\_offset[r] \geq ctl\_offset[ctl\_leader]$

$\land\ zk\_status' =$ "Normal"
$\land\ zk\_epoch' = zk\_epoch + 1$
$\land$ UNCHANGED $\langle zk\_leader\_epoch,\ zk\_leader,\ zk\_replicas,\ zk\_deleted \rangle$
$\land$ UNCHANGED $\langle zk\_num\_change,\ zk\_num\_remove \rangle$

$\land\ ctl\_pc' =$ "Init"
$\land\ ctl\_epoch' = zk\_epoch'$
$\land\ initCtlOffset$
$\land$ UNCHANGED $\langle ctl\_leader,\ ctl\_replicas \rangle$

$\land$ UNCHANGED db_vars
$\land$ UNCHANGED $global\_vars$

$CtlFindNewLeader(r) \triangleq$
$\land\ ctl\_pc =$ "CtlFindNewLeader"
$\land\ r \in ctl\_replicas$
$\land\ db\_epoch[r] = ctl\_epoch$
$\land\ ctl\_offset[r] < Len(db[r])$
$\land\ ctl\_offset' = [ctl\_offset$ EXCEPT $![r] = Len(db[r])]$
$\land$ UNCHANGED $\langle ctl\_epoch,\ ctl\_leader,\ ctl\_pc,\ ctl\_replicas \rangle$
$\land$ UNCHANGED zk_vars
$\land$ UNCHANGED $global\_vars$
$\land$ UNCHANGED db_vars

$CtlSetNewLeader(r) \triangleq$
$\land\ ctl\_pc =$ "CtlFindNewLeader"
$\land\ r \in ctl\_replicas$
$\land\ zk\_epoch = ctl\_epoch$
$\land \exists\ Q \in quorumOfSet(ctl\_replicas) :$
$\quad \land\ r \in Q$
$\quad \land \forall\ r1 \in Q :$
$\quad\quad \land\ ctl\_offset[r1] \geq 0$
$\quad\quad \land\ ctl\_offset[r] \geq ctl\_offset[r1]$

$\land\ zk\_epoch' = zk\_epoch + 1$
$\land\ zk\_leader' = r$
$\land\ zk\_leader\_epoch' = zk\_epoch'$

$\land\ ctl\_epoch' = zk\_epoch'$
$\land\ ctl\_leader' = zk\_leader'$
$\land\ initCtlOffset$

$\land\ zk\_status' =$ "WaitReplicate"
$\land\ ctl\_pc' =$ "CtlReadLeaderLog"

$\land$ UNCHANGED $ctl\_replicas$
$\land$ UNCHANGED $\langle zk\_replicas,\ zk\_deleted,\ zk\_num\_change,\ zk\_num\_remove\rangle$
$\land$ UNCHANGED db_vars
$\land$ UNCHANGED $global\_vars$

$subSeqMin(S,\ n) \triangleq$
IF $Len(S) < n$
THEN S
ELSE $SubSeq(S,\ 1,\ n)$

$TruncateDeletedDB(r) \triangleq$
$\land\ r \in$ DOMAIN $zk\_deleted$
$\land\ db\_epoch[r] = zk\_epoch$
$\land\ mapDelete(zk\_deleted,\ r)$
$\land\ zk\_epoch' = zk\_epoch + 1$
$\land\ db' = [db$ EXCEPT $![r] = subSeqMin(@,\ zk\_deleted[r])]$
$\land$ UNCHANGED $\langle db\_epoch,\ db\_leader,\ db\_leader\_epoch,\ db\_replicas,\ db\_replicated\rangle$
$\land$ UNCHANGED $\langle zk\_leader,\ zk\_leader\_epoch,\ zk\_replicas,\ zk\_status\rangle$
$\land$ UNCHANGED $\langle zk\_num\_change,\ zk\_num\_remove\rangle$
$\land$ UNCHANGED ctl_vars
$\land$ UNCHANGED $global\_vars$

$TerminateCond \triangleq$
$\land\ next\_req = max\_req$
$\land\ zk\_num\_change = max\_change\_leader$
$\land\ zk\_num\_remove = max\_remove\_replica$
$\land\ zk\_replicas = Replica$
$\land\ zk\_leader \neq nil$
$\land\ Len(client\_log) = Len(db[zk\_leader])$
$\land\ zk\_status =$ "Normal"

$Terminated \triangleq$
$\land\ TerminateCond$
$\land$ UNCHANGED $vars$

$Next \triangleq$
$\lor \exists\, r \in Replica :$
$\lor\ AppendLog(r)$
$\lor\ AppendClientLog(r)$
$\lor\ ZkAddReplica(r)$
$\lor\ ZkRemoveReplica(r)$
$\lor\ DBUpdateZKInfo(r)$
$\lor\ DBReceveFromLeader(r)$
$\lor \exists\, r1 \in Replica : DBUpdateReplicated(r,\ r1)$
$\lor\ CtlReadReplicaLog(r)$
$\lor\ CtlFindNewLeader(r)$
$\lor\ CtlSetNewLeader(r)$
$\lor\ TruncateDeletedDB(r)$
$\lor\ CtlUpdateZKInfo$
$\lor\ CtlReadLeaderLog$
$\lor\ CtlSetZkNormal$
$\lor\ ZkPrepareChangeLeader$
$\lor\ Terminated$

$Spec \triangleq\ Init \land \Box[Next]_{vars}$

$FairSpec \triangleq\ Spec \land \mathrm{WF}_{vars}(Next)$

$AlwaysFinish \triangleq\ \Diamond TerminateCond$

$CanRecvReqAfterFailed \triangleq$
$\land\ zk\_num\_change = max\_change\_leader$
$\land\ zk\_replicas = Replica$
$\land\ zk\_status =$ "Normal"
$\land\ next\_req = 40$
$\rightsquigarrow\ client\_log = \langle 41,\ 42\rangle$

$ConsistentWhenLeaderValid \triangleq$
$\land\ Len(db[zk\_leader]) \geq Len(client\_log)$
$\land\ client\_log = SubSeq(db[zk\_leader],\ 1,\ Len(client\_log))$

$Consistent \triangleq$
$\land\ zk\_leader \neq nil \Rightarrow ConsistentWhenLeaderValid$
$\land\ zk\_status =$ "Normal" $\Rightarrow zk\_leader \neq nil$
$\land \forall\, r \in \text{DOMAIN}\ zk\_deleted : \neg(r \in zk\_replicas)$
$\land\ TerminateCond \Rightarrow client\_log \neq \langle 41,\ 42\rangle$ \ * *Couter* Condition

$Perms \triangleq\ Permutations(Replica)$