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# Surprisal of a **quantum** state: Dynamics, compact representation and coherence effects



November 19 2020



VISTA Seminars

## Why surprisal?

$$\hat{I} = -\ln \hat{\rho}$$

- Effective computational method\*
- High degree of the data compaction
- Novel insights

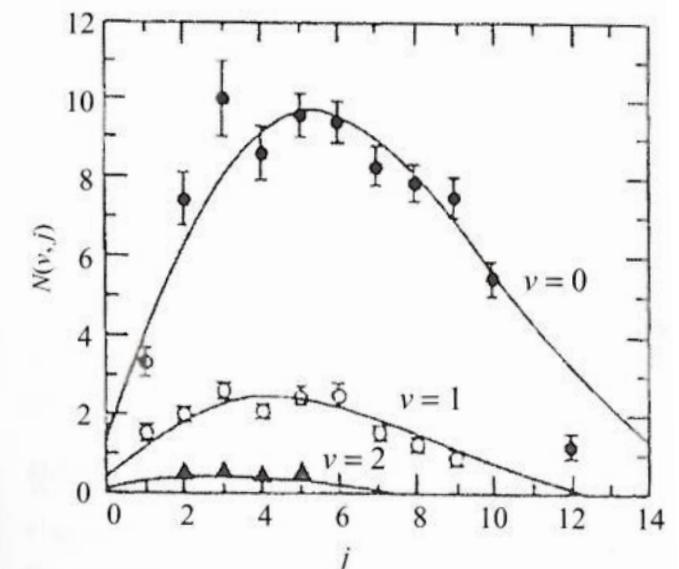
\*Extensively applied in different fields:

-> to analyse the energy disposal in reaction dynamics

R. B. Bernstein and R. D. Levine, "Entropy and Chemical Change. I. Characterization of Product (and Reactant) Energy Distributions in Reactive Molecular Collisions: Information and Entropy Deficiency," J. Chem. Phys. **57**, 434-449 (1972)

-> in system biology to characterize dominant behavior patterns

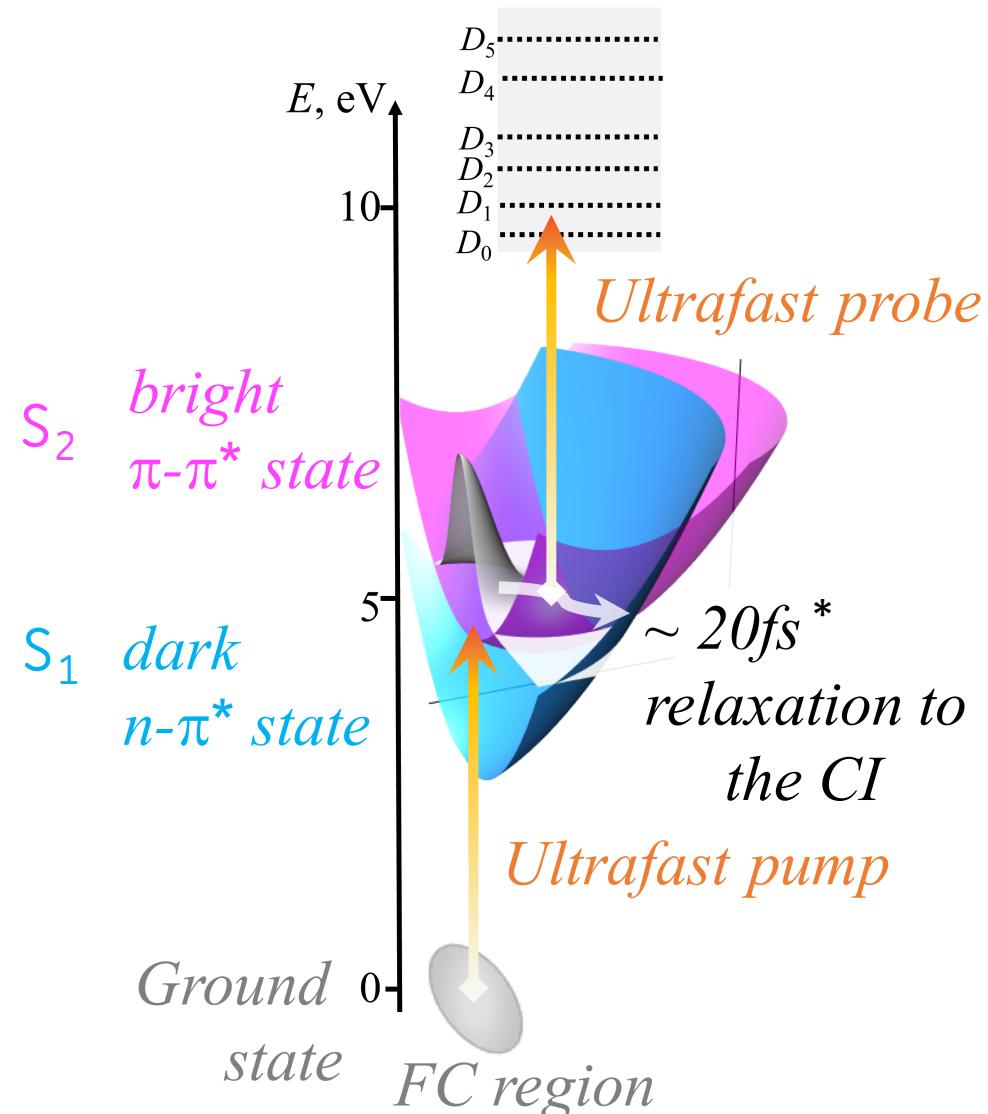
Zadran S et al. Surprisal analysis characterizes the free energy time course of cancer cells undergoing epithelial-to-mesenchymal transition. PNAS, 111:13235-13240 (2014)



Experimental HD state distributions measured for H+D<sub>2</sub> collision

# Ultrafast non-radiative relaxation

Dynamics in excited electronic states of pyrazine

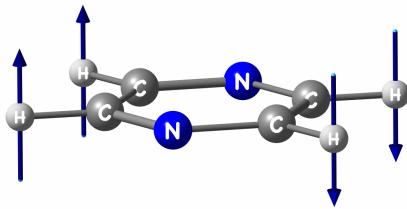


\*Real-time resolved pump-probe  
photoelectron spectroscopy experiment:

Y.-I. Suzuki et al, J Chem Phys, 2010, 132, 174302

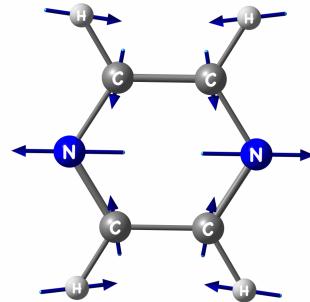
# Ultrafast non-radiative relaxation

Dynamics in excited electronic states of pyrazine



Coupling mode  $Q_1^*$

-> Diabatic coupling between  $S_2$  and  $S_1$ :  $\kappa Q_1$



Tuning mode  $Q_2$

-> Nuclear motion towards CI

MCTDH: 24-modes diabatic picture for the dynamics\*\*

\* R. Schneider, W. Domcke, Chem Phys Lett, 1988, 150, 235

\*\* I. Burghardt et al, Phys. Scr. 2006, 73, C42

# Non-adiabatic quantum dynamics on multiple electronic states

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## Challenge

Can we have a **compact** representation  
for the **quantum treatment** of the dynamics?



### 'Quantum' features

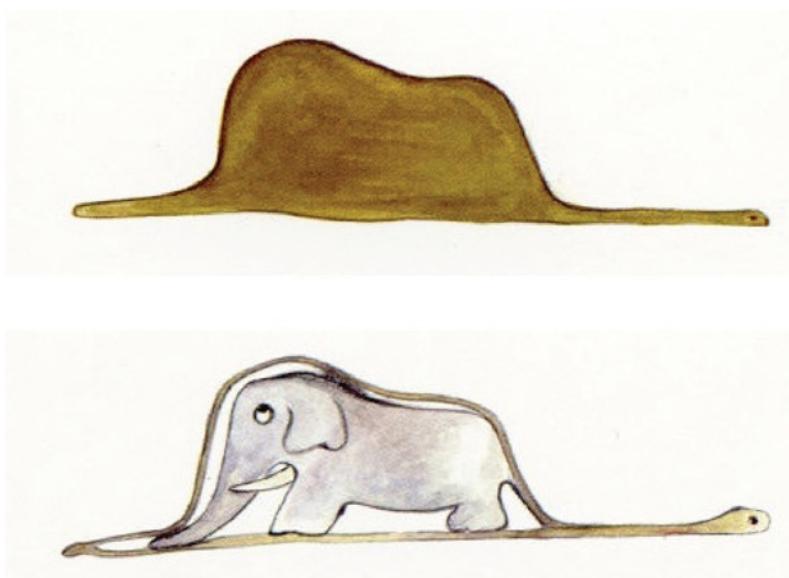
- Branching of the wave packets in configurational space
    - Coherence effects on the vibrational and/or electronic dynamics
      - Electronic energy redistribution
- for the coherent dynamics on multiple electronic states

Let us try to address the problem  
from a fresh perspective!



# Algebraic approach in chemical dynamics

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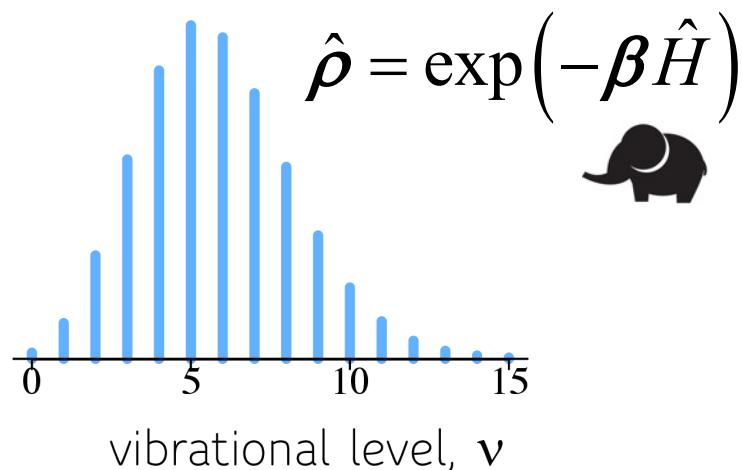
The state of the system, the density matrix:

$$\hat{\rho} = \exp\left(-\sum_k \lambda_k \hat{A}_k\right)$$

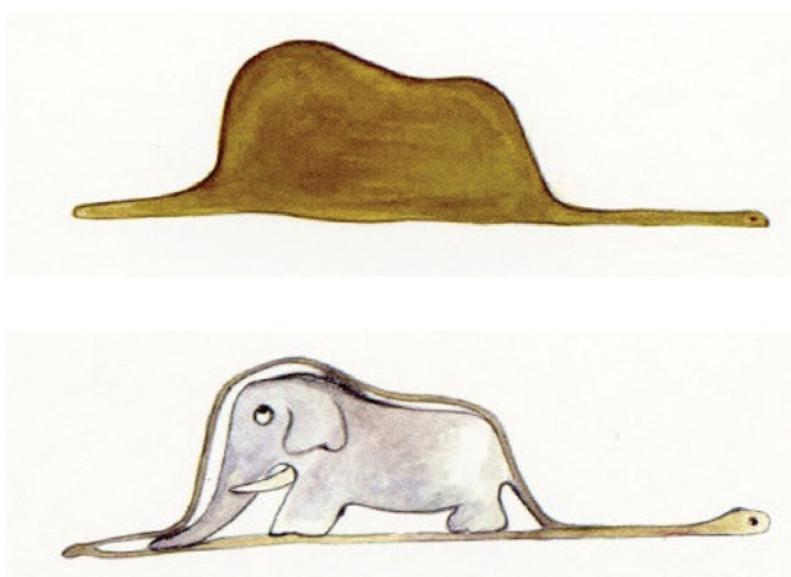
$$\begin{aligned}\hat{A}_k : & \hat{R}, \hat{P}, \hat{H}, \dots \\ \hat{a}, \hat{a}^\dagger, \hat{a}^\dagger \hat{a}, \dots &\end{aligned}$$

- constraints\*

\*More examples of different algebras:  
*Dynamical symmetry*, C.E. Wulfman (2010)



# Algebraic approach in chemical dynamics



The state of the system, the density matrix:

$$\hat{\rho} = \exp\left(-\sum_k \lambda_k \hat{A}_k\right)$$

$$\begin{aligned}\hat{A}_k : & \hat{R}, \hat{P}, \hat{H}, \dots \\ & \hat{a}, \hat{a}^\dagger, \hat{a}^\dagger \hat{a}, \dots\end{aligned}$$

- constraints\*

$$\hat{\rho}(t=0) = \exp\left(-\sum_k \lambda_k(t=0) \hat{A}_k\right)$$

$$\hat{U}(t) = \exp\left\{-\frac{i}{\hbar} \hat{H} t\right\}$$

$$\hat{\rho}(t) = \hat{U}(t) \hat{\rho}(t=0) \hat{U}^\dagger(t)$$

$$= \exp\left[-\sum_k \lambda_k(t=0) \left\{ \hat{U}(t) \hat{A}_k \hat{U}^\dagger(t) \right\}\right]$$

Time evolution of  
the **density** via the  
evolution of the  
**constraints**

# Density and its surprisal

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$$\hat{\rho} = \exp\left(-\sum_k \lambda_k \hat{A}_k\right)$$

$$\hat{I} = -\ln \hat{\rho}$$

Surprisal

$$\hat{I} = \sum_k \lambda_k \hat{A}_k$$



$$\hat{I}(t=0) = \sum_k \lambda_k(t=0) \hat{A}_k$$

When time evolution of the constraints is closed:

$$i\hbar \frac{d\hat{I}}{dt} = [\hat{H}, \hat{I}]$$

$$[\hat{H}, \hat{I}] = \sum_k \lambda_k [\hat{H}, \hat{A}_k]$$

$$[\hat{H}, \hat{A}_k] = \sum_s g_{ks} \hat{A}_s$$

$$\hat{I}(t) = \sum_k \lambda_k(t) \hat{A}_k$$

Information about  
the time evolution  
is compressed to  
just a vector  $\lambda(t)$

# Surprisal of a quantum state in the general case

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In a given finite basis representation:

$$I_{nm}(t=0) = \langle \varphi_n | \hat{I}(t=0) | \varphi_m \rangle = \sum_k \lambda_k(t=0) A_{nm}^k$$

Equation of motion for the surprisal:

$$i\hbar \frac{d\mathbf{I}}{dt} = (\mathbf{H} \cdot \mathbf{I} - \mathbf{I} \cdot \mathbf{H})$$

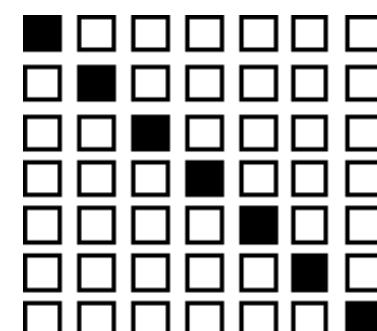
Compact representation  
via dominant constraints:

$$\hat{I}(t) \approx \sum_k \lambda_k(t) \hat{A}_k$$

Straightforward transformation between them via the spectral decomposition:

$$\hat{I} = -\ln \hat{\rho} = \sum_{s=0}^N \mu_s |s\rangle\langle s|$$

$$\hat{\rho} = \sum_{s=0}^N \exp(-\mu_s) |s\rangle\langle s|$$



# Dominant constraints in the vibrational basis

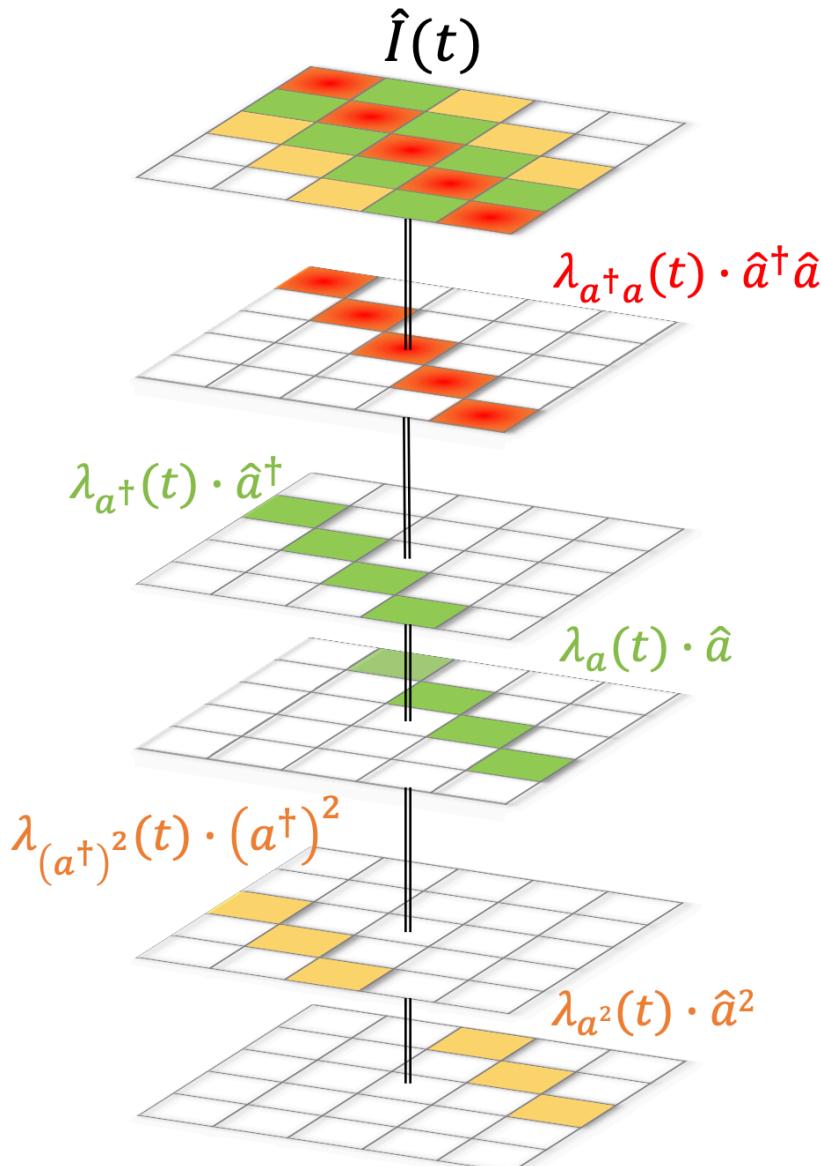
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$$i\hbar \frac{d\mathbf{I}}{dt} = (\mathbf{H} \cdot \mathbf{I} - \mathbf{I} \cdot \mathbf{H})$$

$$\hat{I}(t) \approx \sum_k \lambda_k(t) \hat{A}_k$$

$$\hat{A}_k : \hat{a}, \hat{a}^\dagger, \hat{a}^\dagger \hat{a}, \dots$$

Decomposition of the surprisal matrix

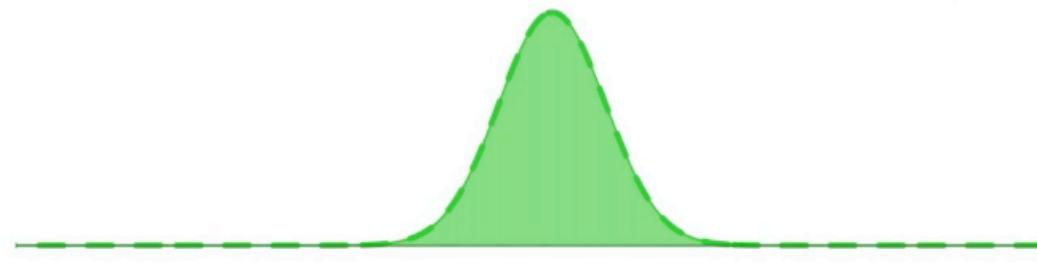


# Compact representation of the non-adiabatic transfer in pyrazine

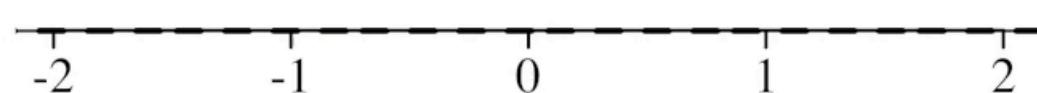
$$\left\{ \hat{\mathbb{I}}_N, \hat{a}, \hat{a}^\dagger, \hat{a}^\dagger \hat{a} \right\} \otimes \left\{ \hat{\mathbb{I}}_e, \hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z \right\}$$

Population in  $S_2$

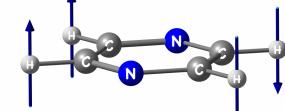
$t = 0$  fs



Population in  $S_1$



Coupling mode

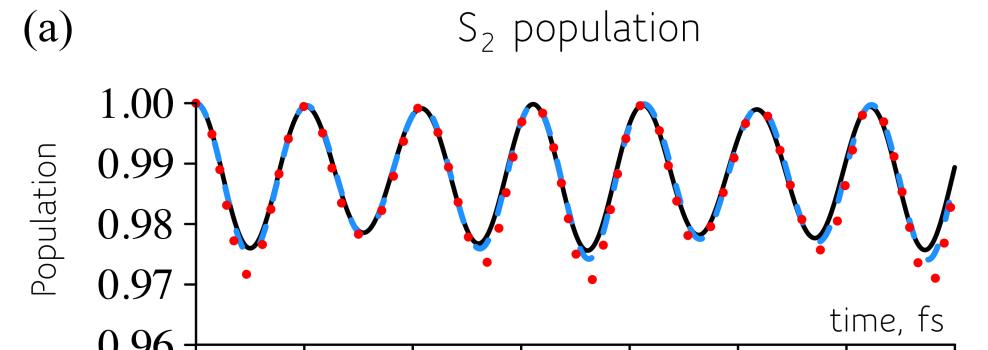


$$\hat{H} = \hat{H}_0 + \hat{V}$$

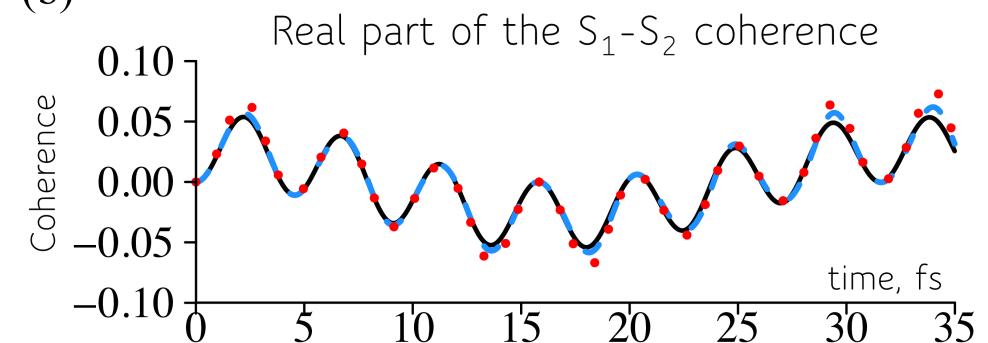
$$\hat{H}_0 = \hbar\omega \cdot \hat{\mathbb{I}}_e \otimes \left( \hat{a}^\dagger \hat{a} + \frac{1}{2} \right) + V_0 \cdot \hat{\sigma}_z \otimes \hat{\mathbb{I}}_N$$

$$\hat{V} = \hat{\sigma}_x \otimes \kappa \left( \hat{a} + \hat{a}^\dagger \right)$$

(a)



(b)



# Dominant constraints

Gelfand basis

Small initial shift

400

Dominant constraints  $\hat{I}(t) \approx \sum_k \lambda_k(t) \hat{A}_k$

16

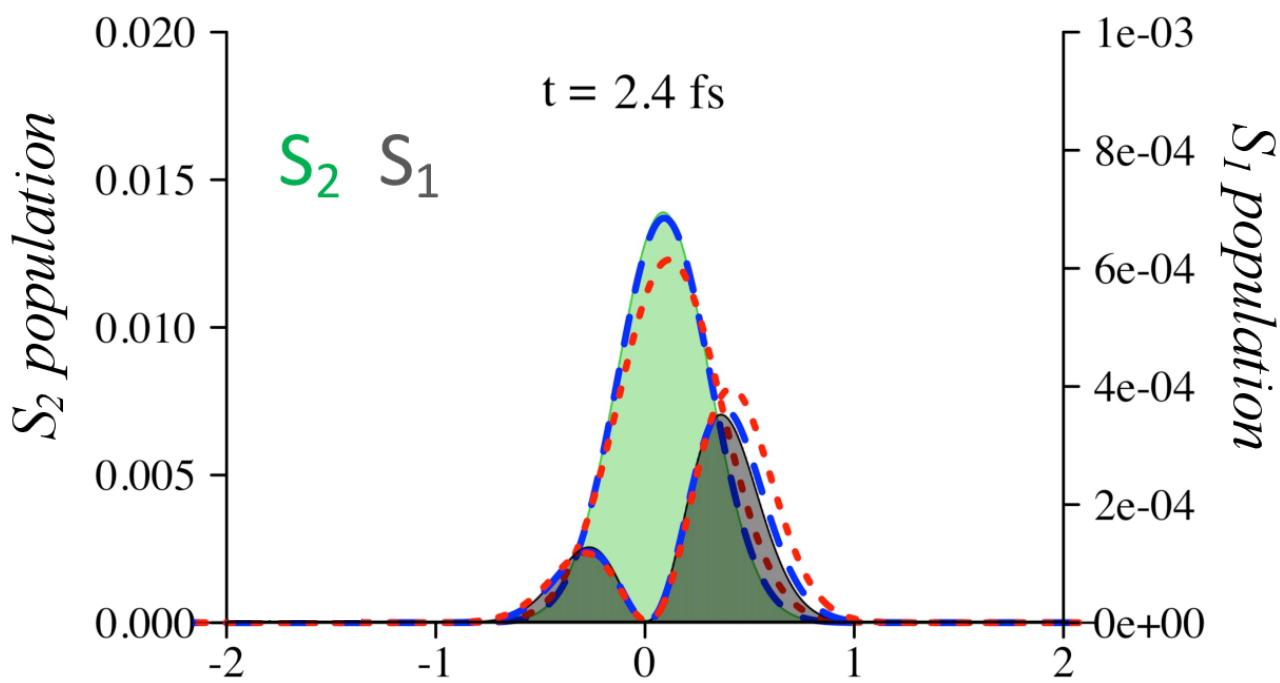
$$\left\{ \hat{\mathbb{I}}_N, \hat{a}, \hat{a}^\dagger, \hat{a}^\dagger \hat{a} \right\} \otimes \left\{ \hat{\mathbb{I}}_e, \hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z \right\}$$

Large initial shift

1600

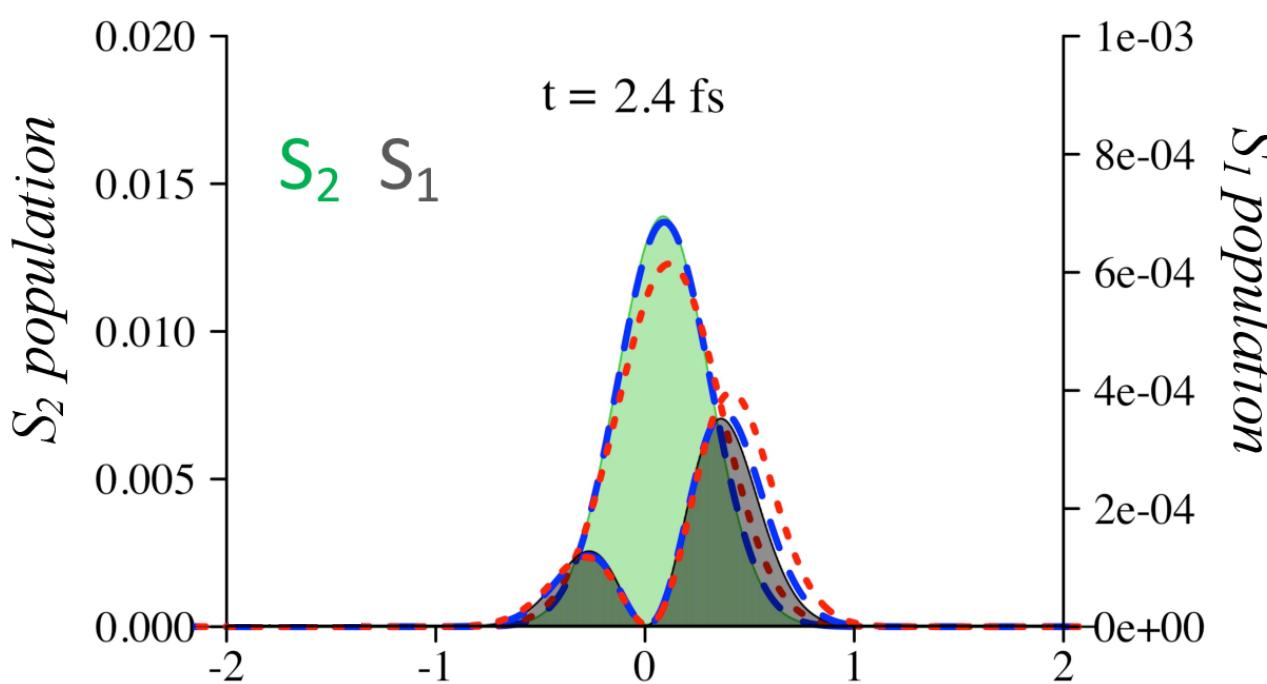
24

$$\left\{ \hat{\mathbb{I}}_N, \hat{a}, \hat{a}^\dagger, \hat{a}^2, (\hat{a}^\dagger)^2 \hat{a}^\dagger \hat{a} \right\} \otimes \left\{ \hat{\mathbb{I}}_e, \hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z \right\}$$



# Dominant constraints

	Gelfand basis	Dominant constraints
Small initial shift	400	$16 \quad \left\{ \hat{\mathbb{I}}_N, \hat{a}, \hat{a}^\dagger, \hat{a}^\dagger \hat{a} \right\} \otimes \left\{ \hat{\mathbb{I}}_e, \hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z \right\}$
Large initial shift	1600	$24 \quad \left\{ \hat{\mathbb{I}}_N, \hat{a}, \hat{a}^\dagger, \hat{a}^2, (\hat{a}^\dagger)^2 \hat{a}^\dagger \hat{a} \right\} \otimes \left\{ \hat{\mathbb{I}}_e, \hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z \right\}$



Constraints responsible for the bi-gaussian shape of the  $S_1$  wave packet

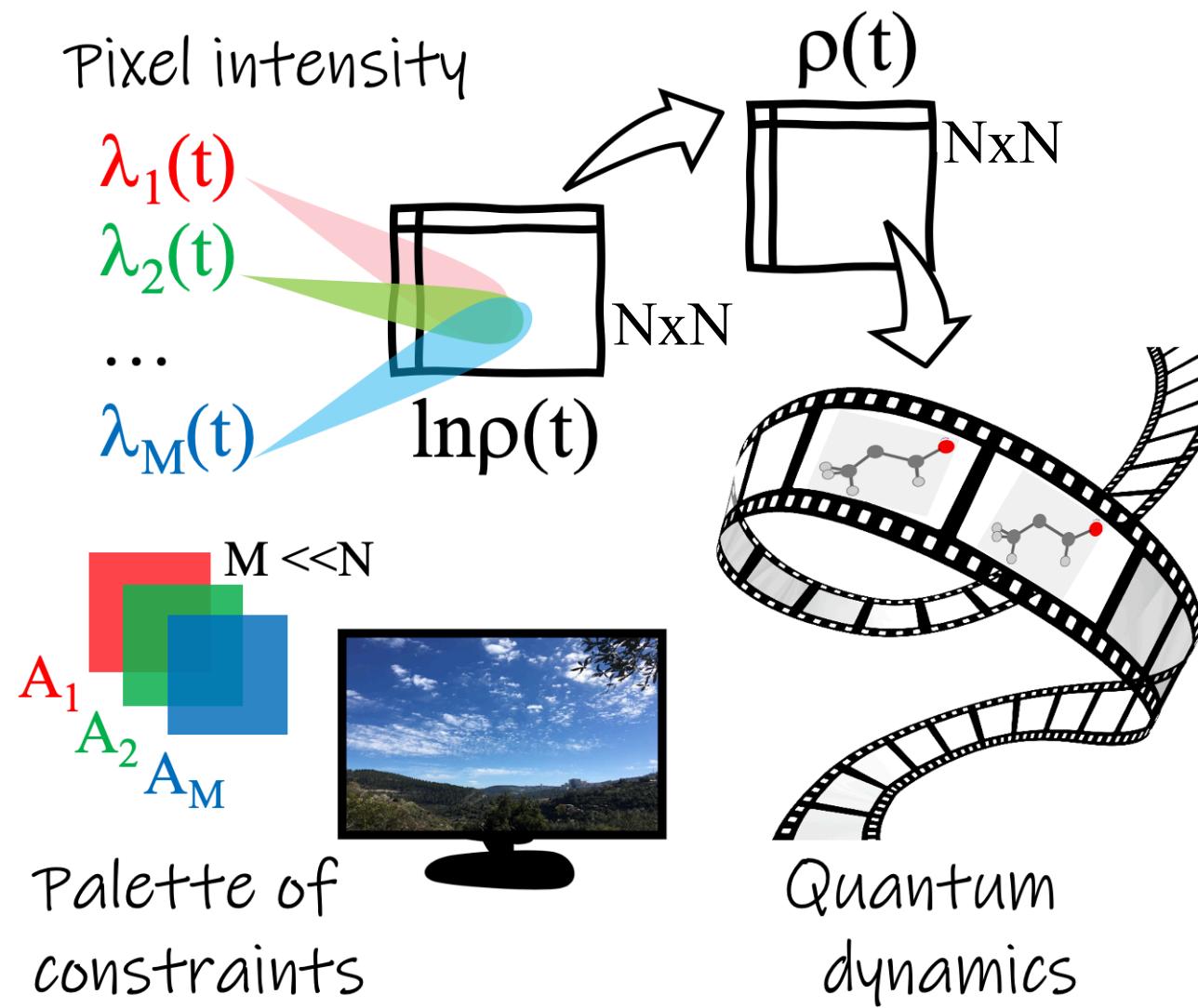
$|1\rangle \hat{a} \langle 2|, |2\rangle \hat{a} \langle 1|$

$|1\rangle \hat{a}^\dagger \langle 2|, |2\rangle \hat{a}^\dagger \langle 1|$



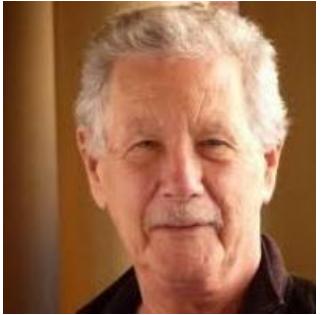
# Surprisal based quantum dynamics

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# Acknowledgements

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Hebrew University



Prof. S. Kais  
Purdue University

**COPAC**  
Coherent Optical PArallel Computing





Thank you for your attention!



# Dominant constraints in the vibrational basis

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Set of 16 time-independent constraints for the direct product Hilbert space of electronic and nuclear degrees of freedom

$\hat{\mathbb{I}}_N$	$\hat{a}$	$\hat{a}^\dagger$	$\hat{a}^\dagger \hat{a}$
$ 1\rangle\langle 1 $	$ 1\rangle\hat{\mathbb{I}}_N\langle 1 $	$ 1\rangle\hat{a}\langle 1 $	$ 1\rangle\hat{a}^\dagger\langle 1 $
$ 2\rangle\langle 2 $	$ 2\rangle\hat{\mathbb{I}}_N\langle 2 $	$ 2\rangle\hat{a}\langle 2 $	$ 2\rangle\hat{a}^\dagger\langle 2 $
$ 1\rangle\langle 2 $	$ 1\rangle\hat{\mathbb{I}}_N\langle 2 $	$ 1\rangle\hat{a}\langle 2 $	$ 1\rangle\hat{a}^\dagger\langle 2 $
$ 2\rangle\langle 1 $	$ 2\rangle\hat{\mathbb{I}}_N\langle 1 $	$ 2\rangle\hat{a}\langle 1 $	$ 2\rangle\hat{a}^\dagger\langle 1 $

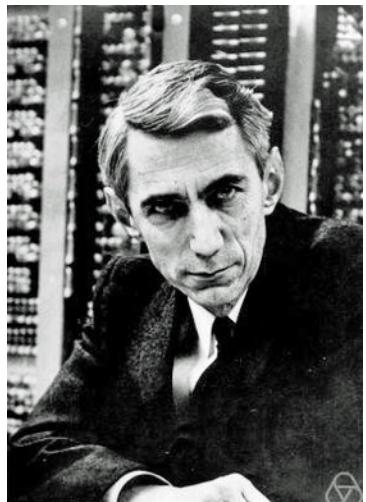
$$\hat{I}(t) \approx \sum_k \lambda_k(t) \hat{A}_k$$

# Surprisal analysis and maximal entropy formalism

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**Information Theory**



Claude Shannon

**Information Theory  
and  
Statistical  
Mechanics**



Edwin Jaynes

**Information Theory  
and  
Chemical  
Change**



Richard Bernstein & Raphael Levine

E.C. Kemble  
A. Katz  
E.H. Wichman  
J.L. Kinsey  
And many other..

# Density and its surprisal

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$$\hat{\rho} = \exp\left(-\sum_k \lambda_k \hat{A}_k\right)$$

$$\hat{I} = -\ln \hat{\rho}$$

Surprisal

$$\hat{I} = \sum_k \lambda_k \hat{A}_k$$



$$\hat{\rho}(t) = \hat{U}(t) \hat{\rho}(t=0) \hat{U}^\dagger(t)$$

$$\hat{\rho}^2(t) = \hat{\rho}(t) \cdot \hat{\rho}(t) = \hat{U}(t) \hat{\rho}(t=0) \hat{U}^\dagger(t) \cdot \hat{U}(t) \hat{\rho}(t=0) \hat{U}^\dagger(t) = \hat{U}(t) \hat{\rho}^2(t=0) \hat{U}^\dagger(t)$$

Evolution of the surprisal

$$\hat{I}(t) = -\ln \hat{\rho}(t) = \hat{U}(t) [-\ln \hat{\rho}(t)(t=0)] \hat{U}^\dagger(t)$$

$$i\hbar \frac{d\hat{I}(t)}{dt} = [\hat{H}, \hat{I}(t)]$$