

# Physics 5393

## Solutions to Exam I

### 1. Probability interpretation and the completeness relation.

- (a) Starting with a properly normalized state vector  $|\alpha\rangle$  and a set of properly normalized eigenkets  $\{|a_i\rangle\}$ , expand the state vector in the eigenkets and prove that the sum of the magnitude squared of the expansion coefficients is equal to one

$$|\alpha\rangle = \sum_i c_i |a_i\rangle \Rightarrow \sum_i |c_i|^2 = 1.$$

*Make sure that you give an explicit form of the expansion coefficients  $c_i$ .*

Expand the state ket  $|\alpha\rangle$  in the eigenkets  $|a_i\rangle$  then apply the completeness relation and identify the coefficients

$$|\alpha\rangle = \sum_i |a_i\rangle \langle a_i | \alpha \rangle \Rightarrow c_i = \langle a_i | \alpha \rangle.$$

To show that the sum of the magnitude squared of the coefficients is one apply the dual of the state ket on the sum above

$$\langle \alpha | \alpha \rangle = 1 = \sum_i \langle \alpha | a_i \rangle \langle a_i | \alpha \rangle = \sum_i |\langle \alpha | a_i \rangle|^2,$$

where the duality relation  $\langle a_i | \alpha \rangle = \langle \alpha | a_i \rangle^*$  is used.

- (b) Starting from the definition of the expectation value  $\langle \alpha | \tilde{\mathbf{A}} | \alpha \rangle$ , show that this is the average value of the observable  $\tilde{\mathbf{A}}$  when the system is in the state  $|\alpha\rangle$ . *Be sure to explain your result.*  
Again, apply the completeness relation except this time apply it twice in order to isolate the three terms in the inner product

$$\langle \alpha | \tilde{\mathbf{A}} | \alpha \rangle = \sum_{i,j} \langle \alpha | a_i \rangle \langle a_i | \tilde{\mathbf{A}} | a_j \rangle \langle a_j | \alpha \rangle = \sum_i a_i |c_i|^2,$$

where the result of part (a) is used to identify the  $c_i$  and the orthogonality of the eigenkets is also used

$$\langle a_i | \tilde{\mathbf{A}} | a_j \rangle = a_j \langle a_i | a_j \rangle = a_j \delta_{ij}.$$

The expectation value is therefore the sum of the eigenvalues of  $\tilde{\mathbf{A}}$  each multiplied by the probability that it occurs, which is the mathematical definition of an average value.

2. Consider a Stern-Gerlach experiment with the magnetic field along the  $z$ -axis and the direction of the incident spin  $1/2$  particles perpendicular to the field. This is followed by a second Stern-Gerlach experiment with the field along the  $\eta$ -axis and again the incident particles are perpendicular to the direction of the field. *Probability interpretation and change of basis.*

- (a) Measurements show that if the first Stern-Gerlach experiment selects particles with only spin up  $|+\rangle \equiv |S_z; +\rangle$  then the probability that the second Stern-Gerlach experiment selects particles with spin in the direction of the field is  $2/3$ , where the associated state is defined as  $|S_\eta; +\rangle$ . Using this information and your knowledge of quantum mechanics, deduce the eigenstates  $|S_\eta; \pm\rangle$  in the  $|\pm\rangle \equiv |S_z; \pm\rangle$  basis. *Be sure to justify all steps needed to arrive at your solution.*  
Using the probabilistic interpretation of quantum mechanics, the probability to be in the  $|S_\eta; -\rangle$  state is  $1/3$ . Hence, the states  $|\pm\rangle$  in the  $|S_\eta; \pm\rangle$  basis are

$$\begin{aligned} |+\rangle &= \sqrt{\frac{2}{3}} |S_\eta; +\rangle + \sqrt{\frac{1}{3}} |S_\eta; -\rangle \\ |-\rangle &= \sqrt{\frac{1}{3}} |S_\eta; +\rangle - \sqrt{\frac{2}{3}} |S_\eta; -\rangle, \end{aligned}$$

where the orthogonality of the two states is used to arrive at the lower equation. In addition, the coefficients have been selected as real, but in general a phase can exist between the two. Inverting the equations, we arrive at the requested result

$$\begin{aligned} |S_\eta, +\rangle &= \sqrt{\frac{2}{3}} |+\rangle + \sqrt{\frac{1}{3}} |-\rangle \\ |S_\eta, -\rangle &= \sqrt{\frac{1}{3}} |+\rangle - \sqrt{\frac{2}{3}} |-\rangle. \end{aligned}$$

- (b) From the information in part (a), derive the matrix elements for the unitary operator that transforms the eigenstates from the  $\tilde{\mathbf{S}}_z$  basis to the  $\tilde{\mathbf{S}}_\eta$  basis. *Make sure that you confirm that the operator is indeed unitary.*

The transformation between basis set is given in general by

$$\sum_i |b_i\rangle \langle a_i| \Rightarrow |S_\eta, +\rangle \langle +| + |S_\eta, -\rangle \langle -|.$$

Using the result of part (a), the  $|S_\eta; \pm\rangle$  can be expressed in the  $|\pm\rangle$  basis and the matrix elements extracted. Start by expanding in a complete set

$$\begin{aligned} |S_\eta, +\rangle \langle +| + |S_\eta, -\rangle \langle -| &= \left[ |+\rangle \langle +| S_\eta, +\rangle \langle +| \right] + \left[ |-\rangle \langle -| S_\eta, +\rangle \langle +| \right] \\ &\quad + \left[ |+\rangle \langle +| S_\eta, -\rangle \langle -| \right] + \left[ |-\rangle \langle -| S_\eta, -\rangle \langle -| \right]. \end{aligned}$$

Comparing with part (a), the matrix elements are

$$\tilde{\mathbf{U}} \doteq \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} \\ \sqrt{\frac{1}{3}} & -\sqrt{\frac{2}{3}} \end{pmatrix},$$

which can easily be shown to be unitary.

- (c) From the information in part (a), derive the matrix elements for the operator  $\tilde{\mathbf{S}}_\eta$  in the  $\tilde{\mathbf{S}}_z$  basis set. *Confirm that the operator is Hermitian..*

The matrix representation is derived by expanding in a complete set

$$\tilde{\mathbf{S}}_\eta = \sum_{i,j} |S_z; i\rangle \langle S_z; i| \tilde{\mathbf{S}}_\eta |S_z; j\rangle \langle S_z; j|,$$

where the sum is over the  $+$  and  $-$  states and the matrix elements are the coefficients  $\langle S_z; i | \tilde{\mathbf{S}}_\eta | S_z; j \rangle$

$$\tilde{\mathbf{S}}_\eta \doteq \frac{\hbar}{6} \begin{pmatrix} 1 & 2\sqrt{2} \\ 2\sqrt{2} & -1 \end{pmatrix},$$

using the results of part (a) and  $\tilde{\mathbf{S}}_\eta |S_\eta; \pm\rangle = \pm \frac{1}{2} |S_\eta; \pm\rangle$

3. Suppose that a linear operator  $\tilde{\mathbf{A}}$ , though not Hermitian, satisfies the condition that it commutes with its Hermitian adjoint. Furthermore, assume that the eigenvalues of  $\tilde{\mathbf{A}}$  are non-degenerate. The items listed below state what I am looking for not the order that they should be calculated. This problem will be graded on the complete solution not on the individual parts.

*Understanding of the duality relations and the associative multiplication axiom.*

- (a) What is the relation between the eigenstates of  $\tilde{\mathbf{A}}$  and  $\tilde{\mathbf{A}}^\dagger$ .

The eigenvalue equation of  $\tilde{\mathbf{A}}$  is given by

$$\tilde{\mathbf{A}} |a_i\rangle = a_i |a_i\rangle$$

where  $a_i$  and  $|a_i\rangle$  are the eigenvalues and eigenkets, respectively. The commutation relation imposes the following condition on the combined operator  $\tilde{\mathbf{A}}\tilde{\mathbf{A}}^\dagger$

$$[\tilde{\mathbf{A}}^\dagger, \tilde{\mathbf{A}}] = 0 \Rightarrow \tilde{\mathbf{A}}\tilde{\mathbf{A}}^\dagger = \tilde{\mathbf{A}}^\dagger\tilde{\mathbf{A}} = (\tilde{\mathbf{A}}\tilde{\mathbf{A}}^\dagger)^\dagger.$$

Hence the combined operator is Hermitian. Since the combined operator is Hermitian

$$\tilde{\mathbf{A}}^\dagger\tilde{\mathbf{A}} |a_i\rangle = a_i\tilde{\mathbf{A}}^\dagger |a_i\rangle = \tilde{\mathbf{A}}\tilde{\mathbf{A}}^\dagger |a_i\rangle.$$

From the condition given above the ket  $\tilde{\mathbf{A}}^\dagger |a_i\rangle$  is an eigenket of  $\tilde{\mathbf{A}}$ . Hence  $|a_i\rangle$  must also be an eigenket of  $\tilde{\mathbf{A}}^\dagger$ .

- (b) What can be said about the relation between the eigenvalues of  $\tilde{\mathbf{A}}$  and of  $\tilde{\mathbf{A}}^\dagger$ . *To simplify this problem, do not assume that the eigenvalues of  $\tilde{\mathbf{A}}$  and  $\tilde{\mathbf{A}}^\dagger$  are equal.*

To determine the relation between the eigenvalues, we start with the dual corresponds relation

$$\begin{aligned} \tilde{\mathbf{A}} |a_i\rangle = a_i |a_i\rangle &\Rightarrow \tilde{\mathbf{A}} |a_i\rangle \xleftrightarrow{\text{DC}} \langle a_i | \tilde{\mathbf{A}}^\dagger \text{ and } a_i |a_i\rangle \xleftrightarrow{\text{DC}} \langle a_i | a_i^* \\ \tilde{\mathbf{A}}^\dagger |a_i\rangle = b_i |a_i\rangle &\Rightarrow \tilde{\mathbf{A}}^\dagger |a_i\rangle \xleftrightarrow{\text{DC}} \langle a_i | \tilde{\mathbf{A}} \text{ and } b_i |a_i\rangle \xleftrightarrow{\text{DC}} \langle a_i | b_i^*. \end{aligned}$$

Next, use the commutation relation and the multiplicative associative axiom to relate the two sets of eigenvalues

$$\left. \begin{aligned} \langle a_i | (\tilde{\mathbf{A}}^\dagger \tilde{\mathbf{A}} |a_i\rangle) &= a_i b_i \\ (\langle a_i | \tilde{\mathbf{A}}^\dagger) (\tilde{\mathbf{A}} |a_i\rangle) &= a_i a_i^*. \end{aligned} \right\} \Rightarrow b_i = a_i^*$$

Now, the reverse order of the operators is calculated

$$\left. \begin{aligned} \langle a_i | (\tilde{\mathbf{A}} \tilde{\mathbf{A}}^\dagger |a_i\rangle) &= a_i b_i \\ (\langle a_i | \tilde{\mathbf{A}}) (\tilde{\mathbf{A}}^\dagger |a_i\rangle) &= b_i b_i^*. \end{aligned} \right\} \Rightarrow a = b^*.$$

Hence the two sets give consistent results with the eigenvalues of the two operators being complex conjugates of each other.

- (c) What can be said about the scalar product of two eigenstates of  $\tilde{\mathbf{A}}$  with unequal eigenvalues?

To determine the relation between different eigenstates, start with the duality relation then calculate the matrix elements of the combined operator in the basis set of  $\tilde{\mathbf{A}}$

$$\begin{aligned} \left. \begin{aligned} \tilde{\mathbf{A}}^\dagger \tilde{\mathbf{A}} |a_i\rangle &= |a_i|^2 |a_i\rangle \\ \langle a_j | \tilde{\mathbf{A}}^\dagger \tilde{\mathbf{A}} &= \langle a_j | |a_j|^2 \end{aligned} \right\} \\ \Rightarrow \left\{ \begin{aligned} \langle a_j | \tilde{\mathbf{A}}^\dagger \tilde{\mathbf{A}} |a_i\rangle &= |a_i|^2 \langle a_j | a_i\rangle \\ \langle a_j | \tilde{\mathbf{A}}^\dagger \tilde{\mathbf{A}} |a_i\rangle &= |a_j|^2 \langle a_j | a_i\rangle \end{aligned} \right\} \\ \Rightarrow (|a_i|^2 - |a_j|^2) \langle a_j | a_i\rangle &= 0. \end{aligned}$$

If  $i = j$  the equation is satisfied automatically. If  $i \neq j$  then  $\langle a_j | a_i\rangle = 0$  therefore the states are orthogonal.