



COLLEGE OF ARTS AND SCIENCES

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DEPARTMENT OF PHYSICS AND ASTRONOMY

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## Quantum Mechanics 2

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CH. 6 SCATTERING THEORY LECTURE NOTES

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Scattering Theory1.) Lippman-Schwinger Equation

The Hamiltonian of the scattering problem can be written as:

$$\hat{H} = \hat{H}_0 + V$$

where  $\hat{H}_0 = P^2/2m$ . For elastic processes, the energy is conserved. This way, we are looking at solutions of the Schrödinger equation for Hamiltonian (4) that have the energy of a free particle.

If  $|\psi\rangle$  is an eigenstate of the free Hamiltonian,

$$\hat{H}_0 |\psi\rangle = E |\psi\rangle \quad (5)$$

We need to solve:

$$(\hat{H}_0 + V) |\psi\rangle = E |\psi\rangle$$

Where both  $\hat{H}_0$  and  $\hat{H}_0 + V$  have a continuous spectrum we are looking for solutions of (6) that have the same eigenvalues of (5), such that  $|\psi\rangle \rightarrow |\psi\rangle$  when  $V \rightarrow 0$ .

Ansatz (I)

Let's suppose that

$$|\psi\rangle = \frac{1}{E - \hat{H}_0} V |\psi\rangle + |\varphi\rangle \quad (I)$$

It's clear that

$$(E - \hat{H}_0) |\psi\rangle = V |\psi\rangle + (E - \hat{H}_0) |\varphi\rangle$$

then (I) satisfies the Schrödinger equation. However, (I) is still ill defined because of  $\frac{1}{E - \hat{H}_0}$  term, which is singular.

The method of projecting out states, in static perturbation theory does not work in a continuous spectrum. The regularization is done by introducing a small complex part to the energy.

$$|\psi\rangle = |\psi\rangle + \frac{1}{E - \hat{H}_0} V |\psi^{(z)}\rangle \quad (II)$$

where the co-ordinate representation is

$$\langle \vec{x} | \psi^{(z)} \rangle = \langle \vec{x} | \varphi \rangle + \int \langle \vec{x} | \frac{1}{E - \hat{H}_0 \pm i\epsilon_0} | \vec{x}' \rangle \langle \vec{x}' | V | \psi^{(z)} \rangle \quad (\text{III})$$

We can then go on to say

$$\langle \vec{x} | \varphi \rangle = \frac{e^{i\vec{k} \cdot \vec{x}}}{(2\pi)^{3/2}}$$

In momentum space we have

$$\langle \vec{p} | \psi^{(z)} \rangle = \langle \vec{p} | \varphi \rangle + \frac{1}{E - \hbar^2 p^2 / 2m \pm i\epsilon_0} \langle \vec{p} | V | \psi^{(z)} \rangle$$

#### 4-4-22

#### Previous class

The Lipmann-Schwinger Equation is

$$\langle \vec{x} | \hat{\tau}^\pm \rangle = \langle \vec{x} | \varphi \rangle + \int d^3 \vec{x}' \langle \vec{x} | \frac{1}{E - \hat{H}_0 \pm i\epsilon_0} | \vec{x}' \rangle \times \langle \vec{x}' | \hat{V} | \hat{\tau}^\pm \rangle \quad (*)$$

We then go on to define : Greens Function (Kernel of Integral Eq. (1))

$$G_\pm(\vec{x}, \vec{x}') = \frac{\hbar^2}{2m} \langle \vec{x} | \frac{1}{E - \hat{H}_0 \pm i\epsilon_0} | \vec{x}' \rangle$$

Writing this in momentum space we have

$$G_\pm(\vec{x}, \vec{x}') = \frac{\hbar^2}{2m} \int d^3 p' d^3 p'' \langle \vec{x} | \vec{p}' \rangle \langle \vec{p}' | \frac{1}{E - p'^2 \hbar^2 / 2m \pm i\epsilon_0} | \vec{p}'' \rangle \langle \vec{p}'' | \vec{x}' \rangle$$

Since

$$\langle \vec{p}' | \frac{1}{E - p'^2 \hbar^2 / 2m \pm i\epsilon_0} | \vec{p}'' \rangle = \frac{\delta^3(\vec{p}' - \vec{p}'')}{E - p'^2 \hbar^2 / 2m \pm i\epsilon_0}$$

We now have our Green Function change to

$$\begin{aligned} G_\pm(\vec{x}, \vec{x}') &= \frac{\hbar^2}{2m} \int d^3 \vec{p}' d^3 \vec{p}'' \frac{e^{i\vec{p}' \cdot \vec{x}'}}{(2\pi)^{3/2}} \frac{e^{-i\vec{p}'' \cdot \vec{x}''}}{(2\pi)^{3/2}} \times \frac{\delta^3(\vec{p}' - \vec{p}'')}{E - p'^2 \hbar^2 / 2m \pm i\epsilon_0} \\ &= \frac{\hbar^2}{2m} \int \frac{d^3 \vec{p}'}{(2\pi)^3} \frac{e^{i\vec{p}' \cdot (\vec{x} - \vec{x}')}}{E - p'^2 \hbar^2 / 2m \pm i\epsilon_0} \end{aligned}$$

To solve this integral we use

$$E = \hbar^2 k^2 / 2m$$

Our Green's Function becomes

$$G_{\pm}(\vec{x}, \vec{x}') = \frac{\hbar^2}{2m} \frac{1}{(2\pi)^3} \int d^3q \frac{e^{i\vec{q} \cdot (\vec{x} - \vec{x}')}}{k^2 - q^2 \pm i0_+} \frac{2m}{\hbar^2}$$

$$= \frac{1}{(2\pi)^3} \int_0^\infty dq q^2 \int_0^{2\pi} d\theta_q \int_0^{2\pi} \sin(\theta_q) d\phi_q \cdot \exp(iq|\vec{x} - \vec{x}'| \cos(\theta_q))$$

We then make a change of variables

$$M_q \equiv \cos(\theta_q), \quad dM_q = -\sin(\theta_q) d\theta_q$$

We then have

$$G_{\pm}(\vec{x}, \vec{x}') = \frac{1}{(2\pi)^2} \int_0^\infty dq q^2 \int_{-1}^1 dM_q \frac{\exp(iq|\vec{x} - \vec{x}'| M_q)}{k^2 - q^2 \pm i0_+}$$

$$= \frac{1}{(2\pi)^2} \int_0^\infty dq \frac{q^2}{k^2 - q^2 \pm i0_+} \frac{e^{iq|\vec{x} - \vec{x}'|} - e^{-iq|\vec{x} - \vec{x}'|}}{iq|\vec{x} - \vec{x}'|}$$

$$= \frac{1}{2(2\pi)^2} \frac{1}{|\vec{x} - \vec{x}'|} \int_{-\infty}^{+\infty} dq \frac{q}{k^2 - q^2 \pm i0_+} \left\{ e^{iq|\vec{x} - \vec{x}'|} - e^{-iq|\vec{x} - \vec{x}'|} \right\}$$

We then define some poles to be

$$\bar{q}^2 = k^2 \pm i0_+ \Rightarrow \bar{q} = \pm \sqrt{k^2 \pm i0_+} = \pm (k \pm i0_+)$$

$G_{\pm}$  has two poles, at

$$\bar{q} = \begin{cases} +k + i0_+ \equiv k_+ \\ -k - i0_+ \equiv -k_+ \end{cases}$$

$G_-$  has two poles, at

$$\bar{q} = \begin{cases} +k - i0_+ \equiv k_+ \\ -k + i0_+ \equiv -k_+ \end{cases}$$

We then have a Green's Function that says

$$G_+(\vec{x}, \vec{x}') = -\frac{1}{8\pi^2} \frac{1}{i|\vec{x} - \vec{x}'|} \times \left\{ \int_{-\infty}^{+\infty} dq \frac{qe^{iq|\vec{x} - \vec{x}'|}}{q^2 - k_+^2} - \int_{-\infty}^{+\infty} dq \frac{qe^{-iq|\vec{x} - \vec{x}'|}}{q^2 - k_+^2} \right\}$$

$$= -\frac{2\pi i}{8\pi^2 i |\vec{x} - \vec{x}'|} \times \left\{ \text{Res}_{k_+ + i0_+} \left( \frac{qe^{iq|\vec{x} - \vec{x}'|}}{q^2 - k_+^2} \right) + \text{Res}_{-k_+ - i0_+} \left( \frac{qe^{-iq|\vec{x} - \vec{x}'|}}{q^2 - k_+^2} \right) \right\}$$

$$= -\frac{2\pi i}{8\pi^2 i |\vec{x} - \vec{x}'|} \left\{ \frac{ke^{ik|\vec{x} - \vec{x}'|}}{2k} - \frac{(-k)e^{-ik|\vec{x} - \vec{x}'|}}{2k} \right\} = -\frac{e^{ik|\vec{x} - \vec{x}'|}}{4\pi |\vec{x} - \vec{x}'|}$$

We can then go on to show that

$$G_{\pm}(\vec{x}, \vec{x}') = \frac{1}{4\pi|\vec{x}-\vec{x}'|} e^{\pm ik|\vec{x}-\vec{x}'|} \quad (2)$$

which is the Green's Function of the Helmholtz equation

$$(\nabla^2 + k^2) G_{\pm}(\vec{x}, \vec{x}') = \delta^{(3)}(\vec{x} - \vec{x}')$$

We can then rewrite the integral in eq (1) as

$$\langle \hat{x} | \gamma^{(\pm)} \rangle = \langle \hat{x} | \psi \rangle - \frac{2m}{\hbar^3} \int d^3 \hat{x}' \frac{e^{\pm ik|\vec{x}-\vec{x}'|}}{4\pi|\vec{x}-\vec{x}'|} \times \langle \hat{x}' | V | \gamma^{(\pm)} \rangle$$

where the first term is the incident wave and the second the scattered wave.

### Asymptotic Behavior

$\langle \hat{x} | \gamma^{(\pm)} \rangle = \gamma^{(\pm)}(\vec{x})$  is a superposition of the incoming wave plus a term that results from the scattering. At long distances we want to show that the second term behaves as a spherical wave,

$$\frac{e^{\pm ikn}}{r}$$

When the scattering potential is local,

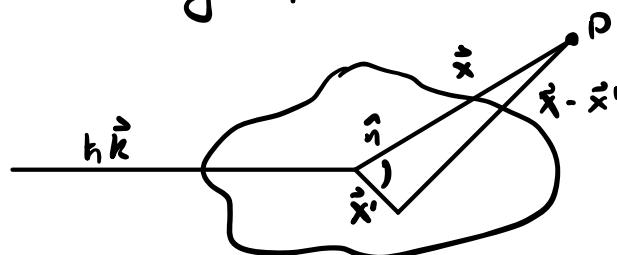
$$\langle \hat{x} | \hat{V} | \hat{x}' \rangle = V(\vec{x}) \delta(\vec{x} - \vec{x}')$$

$$\Rightarrow \langle \hat{x}' | \hat{V} | \gamma^{(\pm)} \rangle = \int d^3 \hat{x}'' \langle \hat{x} | \hat{V} | \hat{x}'' \rangle \langle \hat{x}'' | \gamma^{(\pm)} \rangle = V(\vec{x}') \langle \hat{x}' | \gamma^{(\pm)} \rangle$$

and therefore,

$$\langle \hat{x} | \gamma^{(\pm)} \rangle = \langle \hat{x} | \psi \rangle - \frac{2m}{\hbar^3} \times \int d^3 \hat{x}' \frac{e^{\pm ik|\vec{x}-\vec{x}'|}}{4\pi|\vec{x}-\vec{x}'|} \times V(\vec{x}') \langle \hat{x}' | \gamma^{(\pm)} \rangle \quad (3)$$

The typical geometry of a scattering experiment is



$$\alpha = \Delta(\vec{x}, \vec{x}') , |\vec{x}'| \ll |\vec{x}| , |\vec{x}| \equiv \hat{n} , |\vec{x}'| \equiv \hat{n}'$$

We can then go on to say

$$|\vec{x} - \vec{x}'| = n \sqrt{1 + (n'/n)^2 - 2n'/n \cos(\alpha)} \approx n (1 - n'/n \cos(\alpha)) \\ = n - n' \cos(\alpha) = n - \hat{n} \cdot \vec{x}'$$

where  $\hat{n} = \frac{\vec{x}}{|\vec{x}|} = \frac{\vec{x}}{n}$ .

Definition:  $\vec{k}' \equiv K \hat{n}$

we then have

$$e^{\pm iK|\vec{x} - \vec{x}'|} \approx e^{\pm ikn} e^{\mp i\hat{n} \cdot \vec{x}'} \quad (4)$$

For large  $n$ . Replacing (4) into (3) we have,

$$\langle \vec{x} | \gamma^\pm \rangle = \langle \vec{x} | \vec{k} \rangle - \frac{\partial m}{h^2} \frac{e^{\pm ikn}}{4\pi n} \int d^3 \vec{x}' \frac{V(\vec{x}')}{1 - \frac{\hat{n} \cdot \vec{x}'}{n}} e^{\mp i\vec{k}' \cdot \vec{x}'} \langle \vec{x}' | \gamma^\pm \rangle$$

Then in leading order for  $1/n$ ,

$$\begin{aligned} \langle \vec{x} | \gamma^\pm \rangle &\xrightarrow{n \rightarrow \infty} \langle \vec{x} | \vec{k} \rangle - \frac{\partial m}{h^2} \frac{e^{\pm ikn}}{4\pi n} \times \int d^3 \vec{x}' V(\vec{x}') e^{\mp i\vec{k}' \cdot \vec{x}'} \langle \vec{x}' | \gamma^\pm \rangle \\ &= \frac{1}{(2\pi)^{3/2}} \left\{ e^{i\vec{k} \cdot \vec{x}} + \frac{e^{\pm ikn}}{n} f^\pm(\vec{k}, \vec{k}') \right\} \end{aligned}$$

where

$$f^\pm(\vec{k}, \vec{k}') = -(2\pi)^{3/2} \frac{\partial m}{4\pi n h^2} \times \int d^3 \vec{x}' e^{\mp i\vec{k}' \cdot \vec{x}'} \langle \vec{x}' | \gamma^\pm \rangle V(\vec{x}') \langle \pm \vec{k}' | \vec{x}' \rangle (2\pi)^{3/2}$$

Hence,

$$f^\pm(\vec{k}, \vec{k}') = -2\pi^2 \cdot \frac{\partial m}{h^2} \langle \pm \vec{k}' | V | \gamma^\pm \rangle \quad (4)$$

which is referred to as the scattering amplitude.

4-b-22

The Differential Cross Section:

If the flux of incoming particles is  $N$  and  $dN_s$  the number of scattered particles per unit time in the solid angle  $d\Omega$  at polar co-ordinates  $(\theta, \phi)$ , then  $dN_s$  is proportional to  $N$  and

$$dN_s = \sigma(\theta, \phi) N d\Omega$$

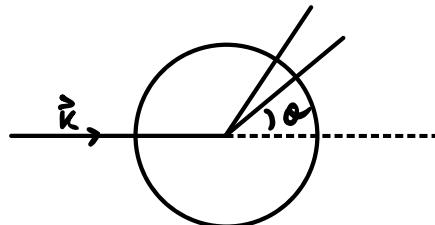
Where  $\sigma(\theta, \varphi)$  is the differential scattering cross section. The total number of scattered particles is:

$$N_s = \int dN_s = \int_{4\pi} \sigma(\theta, \varphi) N d\Omega$$

Where

$$\sigma_t = \int_{4\pi} \sigma(\theta, \varphi) d\Omega$$

is the total scattering cross section. Diagrammatically this looks like



Calling

$$V(n) = \frac{e^{ikn}}{n} f(k, k')$$

the scattered wave, the flux of scattered particles per unit time can be calculated from the current,

$$\vec{J}_s = \frac{-i\hbar}{2m} [V^* \vec{\nabla} V - V \vec{\nabla} V^*] \quad (1)$$

In Spherical co-ordinates

$$\vec{\nabla} \equiv \frac{\partial}{\partial n} \hat{n} + \frac{1}{n} \frac{\partial}{\partial \sigma} \hat{\sigma} + \frac{1}{n \sin(\sigma)} \frac{\partial}{\partial \varphi} \hat{\varphi}$$

In Leading order in  $1/n$ ,

$$\vec{J}_s \xrightarrow{n \rightarrow \infty} \frac{\hbar k}{m} \frac{|f(\theta, \varphi)|^2}{n^2} \hat{n} + O(1/n^3)$$

Since  $d\Omega = dA/n^2$  therefore

$$dN_s = \vec{J}_s \cdot d\vec{\lambda} = \frac{\hbar k}{m} \frac{|f(\theta, \varphi)|^2}{n^2} n^2 d\Omega = \frac{\hbar k}{m} |f(\theta, \varphi)|^2 d\Omega$$

The flux on incoming waves per unit time can be calculated with

$$\tau = e^{ikz}$$

From (1),

$$|\vec{J}_s| = \frac{\tau k}{m}$$

We then have

$$\frac{dN_s}{n} = \sigma(\sigma, \varphi) d\Omega = \frac{|\vec{J}_s|^2 n^2 d\Omega}{|\vec{J}_s|} = |f(\sigma, \varphi)|^2 d\Omega$$

We can then further say

$$\Rightarrow \sigma(\sigma, \varphi) = |f(\sigma, \varphi)|^2 = |f(\vec{k}, \vec{k}')|^2$$

### First Born Approximation

Expanding the Lippman-Schwinger equation to first order in the potential, we need to compute the scattering amplitude by iteration.

Replacing

$$\langle \vec{x}' | \gamma^\pm \rangle = \langle \vec{x}' | \varphi \rangle = \frac{e^{i\vec{k} \cdot \vec{x}'}}{(2\pi)^{3/2}}$$

We get in First order

$$\begin{aligned} f^{(1)}(\vec{k}, \vec{k}') &= - (2\pi)^{3/2} \frac{\partial m}{4\pi \hbar^2} \int d\vec{x}' V(\vec{x}') \cdot e^{-i\vec{k}' \cdot \vec{x}'} \cdot \frac{e^{i\vec{k} \cdot \vec{x}'}}{(2\pi)^{3/2}} \\ &= - \frac{1}{4\pi} \frac{\partial m}{\hbar^2} \int d^3 \vec{x}' e^{i(\vec{k} - \vec{k}') \cdot \vec{x}'} V(\vec{x}') \end{aligned}$$

which is the Fourier Transform of  $V(\vec{x})$  times constants. For a spherically symmetric potential,

$$V(\vec{x}') = V(n) , \quad n = |\vec{x}'|$$

$$\Rightarrow (\vec{k} - \vec{k}') \cdot \vec{x}' = n |\vec{k} - \vec{k}'| \cos(\alpha)$$

We then have

$$\begin{aligned} f^{(1)}(\vec{k}, \vec{k}') &= - \frac{1}{4\pi} \cdot \frac{\partial m}{\hbar^2} \int_0^\infty dn n^2 \int_0^{2\pi} d\varphi \times V(n) \int_0^\pi \frac{d\alpha \sin(\alpha)}{dn} e^{i(\vec{k} - \vec{k}') n \cos(\alpha)} \\ &= - \frac{1}{2} \frac{\partial m}{\hbar^2} \int_0^\infty dn n^2 V(n) \times \frac{1}{in |\vec{k} - \vec{k}'|} \left( e^{i(\vec{k} - \vec{k}') n} - e^{-i(\vec{k} - \vec{k}') n} \right) \\ &= \frac{-\partial m}{\hbar^2 |\vec{k} - \vec{k}'|} \int_0^\infty dn n V(n) \sin((\vec{k} - \vec{k}') n) \end{aligned}$$

we then finally have

$$F^{(1)}(\vec{k}, \vec{k}') = -\frac{\partial m}{\hbar^2 |\vec{k} - \vec{k}'|} \int_0^\infty dn n V(n) \sin(|\vec{k} - \vec{k}'|n) \quad (2)$$

### Example : Yukawa Potential

The potential is

$$V(n) = V_0 \frac{e^{-rn}}{n}$$

where  $1/r$  is the range of the potential, with

$$V(n) \rightarrow 0 \text{ for } n \gg \frac{1}{r}$$

which yields

$$F^{(1)}(\vec{k}, \vec{k}') = -\frac{\partial m}{\hbar^2} \frac{V_0}{|\vec{k} - \vec{k}'|} \int_0^\infty \underbrace{\frac{e^{-rn}}{n} \sin(|\vec{k} - \vec{k}'|n) \times n dn}_{I}$$

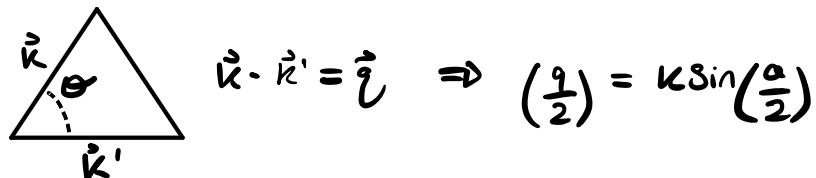
For I we then have

$$\begin{aligned} I &= \frac{1}{2i} \int_0^\infty dn \left[ e^{i|\vec{k} - \vec{k}'|n - rn} - e^{-i|\vec{k} - \vec{k}'|n - rn} \right] \\ &= \frac{1}{2} \left[ \frac{1}{|\vec{k} - \vec{k}'| + ir} + \frac{1}{|\vec{k} - \vec{k}'| - ir} \right] = \frac{|\vec{k} - \vec{k}'|}{|\vec{k} - \vec{k}'|^2 + r^2} \end{aligned}$$

This then implies

$$\Rightarrow F^{(1)}(\vec{k}, \vec{k}') = -\frac{\partial m V_0}{\hbar^2} \frac{1}{|\vec{k} - \vec{k}'|^2 + r^2}$$

We then define  $\vec{q} = \vec{k} - \vec{k}'$  with geometry



We can then say

$$q^2 = 4k^2 \sin^2(\alpha/2) = 2k^2(1 - \cos(\alpha))$$

We can then say

$$f^{(1)}(\vec{k}, \vec{k}') = -\frac{2m}{\hbar^2} V_0 \frac{1}{2k^2(1-\cos(\alpha)) + \eta^2}$$

Therefore the differential scattering cross section becomes

$$\sigma(\alpha, \varphi) = \left(\frac{2mV_0}{\hbar^2}\right)^2 \frac{1}{[2k^2(1-\cos(\alpha)) + \eta^2]^2} = \left(\frac{2mV_0}{\hbar^2}\right)^2 \frac{1}{[4k^2 \sin^2(\alpha/2) + \eta^2]^2}$$

In the limit where  $\eta \rightarrow 0$ , and

$$V_0 \rightarrow ZZ' e^2$$

the Yukawa potential becomes the Coulomb potential, where  $Z$  is the atomic number of the nucleus and  $Z'$  the atomic number of the incident particle.

The differential scattering cross section is

$$\sigma(\alpha, \varphi) = (2m)^2 \frac{(ZZ' e^2)^2}{\hbar^4} \frac{1}{16k^4 \sin^4(\alpha/2)}$$

which is the same as the Rutherford formula for classical scattering.

### Born Approximation In Higher Order

Definition: Scattering Matrix

$$T|\psi\rangle = V|\psi'\rangle$$

Multiplying the L.S equation by  $V$  we get

$$V|\psi'\rangle = V|\psi\rangle + V \frac{1}{E - \mathfrak{H}_0 + i\epsilon} V|\psi'\rangle'$$

$$\Rightarrow T = V + V \frac{1}{E - \mathfrak{H}_0 + i\epsilon} T \quad (3)$$

The Scattering amplitude can be written as

$$f(\vec{k}, \vec{k}') = -\frac{1}{4\pi} (2\pi)^3 \cdot \frac{2m}{\hbar^2} \frac{\langle \vec{k}' | T | \psi \rangle}{\langle \vec{k}' | T | \vec{k} \rangle}$$

We can then say

$$T = V + V \frac{1}{E - \mathfrak{H}_0 + i\epsilon} V + V \frac{1}{E - \mathfrak{H}_0 + i\epsilon} V \frac{1}{E - \mathfrak{H}_0 + i\epsilon} V$$

The Scattering amplitude can be equivalently expanded

$$f(\vec{r}, \vec{r}') = \sum_{m=1}^{\infty} f^{(m)}(\vec{r}, \vec{r}')$$

where  $m$  is the order of the expansion in the potential. we have

$$f^{(1)}(\vec{r}, \vec{r}') = -\frac{1}{4\pi} (\partial m)^3 \frac{\partial m}{\hbar^2} \langle \vec{r}' | v | \vec{r} \rangle$$

Where the above is the First Born Approximation. The Second order is

$$f^{(2)}(\vec{r}, \vec{r}') = -\frac{1}{4\pi} (\partial m)^3 \frac{\partial m}{\hbar^2} \times \langle \vec{r}' | v \frac{1}{E - \frac{1}{2\hbar\omega} + i\varepsilon} | \vec{r} \rangle.$$

In co-ordinate representation this is

$$\begin{aligned} f^{(2)}(\vec{r}, \vec{r}') &= -\frac{1}{4\pi} (\partial m)^3 \frac{\partial m}{\hbar^2} \int d^3\vec{x}' d^3\vec{x}'' \langle \vec{r}' | \vec{x}' \rangle v(\vec{x}') \langle \vec{x}' | \frac{1}{E - \frac{1}{2\hbar\omega} + i\varepsilon} | \vec{x}'' \rangle v(\vec{x}'') \langle \vec{x}'' | \vec{r} \rangle \\ &= -\frac{1}{4\pi} \frac{(\partial m)}{\hbar^2} \int d^3\vec{x}' d^3\vec{x}'' e^{-i\vec{k} \cdot \vec{x}'} v(\vec{x}') \left[ \frac{\partial m}{\hbar^2} G(\vec{x}', \vec{x}'') \right] v(\vec{x}'') e^{i\vec{k} \cdot \vec{x}''} \end{aligned}$$

#### 4-11-22

#### Partial Waves Decomposition

Free particles have a well defined angular momentum, since the kinetic energy commutes with angular momentum operators, forming a set of compatible operators,

$$\{ \hat{H}_0, \hat{L}^2, \hat{L}_z \}$$

If we disregard the Spin, the basis that diagonalizes those operators is

$$|E, \ell, m\rangle$$

which describe spherical wave states. In this basis,

$$|\vec{k}\rangle = \sum_{\ell, m} \int dE |E, \ell, m\rangle \langle E, \ell, m|$$

where

$$\langle \vec{k} | E, \ell, m \rangle = \frac{1}{\sqrt{4\pi k^3}} S \left( \frac{\hbar^2 k^2}{2m} - E \right) Y_m^\ell(\vec{k}) \quad (1)$$

(see Sakurai). We can equivalently say

$$|\vec{x}\rangle = \sum_{\ell, m} dE |E, \ell, m\rangle \langle E, \ell, m|$$

We then finally have

$$\langle \hat{x} | E, l, m \rangle = \frac{i^l}{\hbar} \sqrt{\frac{2mk}{\pi}} j_l(kn) Y_m^l(\hat{n}) \quad (2)$$

where  $j_l(x)$  is a spherical Bessel function. Let us now assume that  $V \neq 0$ , where

$$V(\hat{x}) = V(n)$$

is a central potential. By symmetry, the scattering matrix  $\hat{T}$  commutes with  $L^2$  and  $L_z$ , since:

$$T = V + V \frac{1}{E - \hbar\omega + i\varepsilon} V + V \frac{1}{E - \hbar\omega + i\varepsilon} V \frac{1}{E - \hbar\omega + i\varepsilon} V + \dots$$

Hence, we expect that

$$\langle E', l', m' | T | E, l, m \rangle = T_l(E, E') S_{l, l'} S_{m, m'}$$

The scattering amplitude

$$f(\vec{k}, \vec{k}') = -\frac{1}{4\pi} \frac{\partial m}{\hbar^2} (\partial n)^3 \langle \vec{k}' | T | \vec{k} \rangle = -\frac{1}{4\pi} \frac{\partial m}{\hbar^2} (\partial n)^3 \sum_{ll'} \sum_{mm'} \int dE dE'$$

Evaluating we then have

$$\begin{aligned} &= \langle \vec{k}' | E', l', m' \rangle \langle E', l', m' | T | E, l, m \rangle \langle E, l, m | \vec{k} \rangle \\ &= -\frac{1}{4\pi} \frac{\partial m}{\hbar^2} (\partial n)^3 \sum_{ll'} \sum_{mm'} \int dE dE' \times \frac{\hbar^2}{m \sqrt{k k'}} S\left(\frac{\hbar^2 k^2 - E'}{\partial m}\right) T_l(E, E') \\ &\quad \times S_{l, l'} S_{m, m'} S\left(\frac{\hbar^2 k^2 - E}{\partial m}\right) Y_{m'}^{l'}(\hat{n}') \times Y_m^{l*}(\hat{n}) \\ &= -\frac{1}{4\pi} \frac{\partial m}{\hbar^2} (\partial n)^3 \sum_l \frac{\hbar^2}{m k} T_l(E, E') \Big|_{E=\frac{\hbar^2 k^2}{\partial m}} \times \sum_m Y_m^{l*}(\hat{n}') Y_m^l(\hat{n}) \\ &= -\frac{4\pi^2}{k} \sum_{l=0}^{\infty} \frac{2l+1}{4\pi} P_l(\hat{n}, \hat{n}') \times T_l(E, E') \Big|_{E=\frac{\hbar^2 k^2}{\partial m}} \end{aligned}$$

Definition:

$$\cos(\alpha) \equiv \hat{n} \cdot \hat{n}' \quad f_l(k) \equiv -\frac{\pi}{k} T_l(E, E') \Big|_{E=\frac{\hbar^2 k^2}{\partial m}}$$

Where  $f_l(k)$  is the partial wave amplitude.

Therefore,

$$f(\vec{n}, \vec{k}') = f(\cos(\omega)) = \sum_{l=0}^{\infty} (2l+1) f_l(k) P_l(\cos(\omega))$$

Physical Meaning of  $f_l(k)$

At long distances,

$$\langle \hat{x} | t^\pm \rangle \xrightarrow{n \rightarrow \infty} \frac{1}{(2\pi)^{3/2}} \left[ e^{ikz} + f(\cos(\omega)) \frac{e^{ikn}}{n} \right]$$

Expanding in partial waves

$$e^{ikz} = \sum_{l=0}^{\infty} (2l+1) i^l j_l(kn) P_l(\cos(\omega))$$

and

$$f(\cos(\omega)) \frac{e^{ikn}}{n} = \sum_{l=0}^{\infty} (2l+1) j_l(k) P_l(\cos(\omega)) \frac{e^{ikn}}{n}$$

Since

$$j_l(kn) \xrightarrow{ik \rightarrow \infty} \frac{e^{i(kn - l\pi/2)} - e^{-i(kn - l\pi/2)}}{2ikn}$$

and  $i^l = e^{il\pi/2}$ , then we have

$$e^{ikz} = \sum_{l=0}^{\infty} (2l+1) \left[ \frac{e^{ikn} - e^{-i(kn - l\pi)}}{2ikn} \right] \times P_l(\cos(\omega))$$

The complete function becomes :

$$\langle \hat{x} | t^\pm \rangle \xrightarrow{n \rightarrow \infty} \frac{1}{(2\pi)^{3/2}} \times \sum_{l=0}^{\infty} (2l+1) \frac{P_l(\cos(\omega))}{2ik} \times \left\{ [n + 2ik f_l(k)] \frac{e^{ikn}}{n} - \frac{e^{-i(kn - l\pi)}}{n} \right\}$$

When  $V=0$ , a plane wave can be seen as a superposition of an emerging Spherical Wave

$$\frac{e^{ikn}}{n}$$

and an incident Spherical wave  $-e^{-i(kn - l\pi)}$ , for each  $l$ . The presence of the scattering center just modifies the coefficient of the emergent wave,

$$1 \rightarrow 1 + 2ik \cdot f_l(k)$$

IF the probability is conserved

$$\vec{\nabla} \cdot \vec{j} = -\partial \vec{A} / \partial t = 0$$

Through Gauss' Theorem,

$$\int_V dV \vec{\nabla} \cdot \vec{j} = \int_{\partial V} \vec{j} \cdot d\vec{s} = 0$$

Therefore the flux of probability that enters the volume is the same that leaves. Hence, the co-efficient of  $\frac{e^{ikn}}{n}$  is the same in absolute value as the co-efficient of  $e^{-ikn}/n$ .

Defining:

$$S_\ell(k) \equiv 1 + 2ik \cdot f_\ell(k)$$

The potential only changes the phase of the emerging wave,

$$S_\ell(k) = e^{2i\beta_\ell}$$

Definition:  $\beta_\ell$  is the phase shift

We then have:

$$f_\ell(k) = \frac{S_\ell(k) - 1}{2ik} = \frac{e^{2i\beta_\ell} - 1}{2ik} = \frac{e^{i\beta_\ell}}{k} \frac{e^{i\beta_\ell} - e^{-i\beta_\ell}}{2i} = \frac{e^{i\beta_\ell}}{k} \sin(\beta_\ell) = \frac{1}{k \cot(\beta_\ell) - ik}$$

The amplitude of scattering is

$$f(\cos(\alpha)) = \sum_{\ell=0}^{\infty} (2\ell+1) \frac{e^{i\beta_\ell}}{k} \sin(\beta_\ell) P_\ell(\cos(\alpha))$$

where  $\beta_\ell \in \mathbb{R}$ . The unitarity of  $S_\ell(k)$  follows from rotational invariance of the potential and conservation of probability.

The differential cross section,

$$\sigma'(\alpha) = |f(\cos(\alpha))|^2$$

The total Scattering cross section is

$$\sigma'_T = \int_{4\pi} \sigma'(\alpha, \phi) d\Omega = \int |f(\cos(\alpha))|^2 d\Omega$$

$$= \frac{1}{k^2} \cdot 2\pi \int_{-1}^1 d(\cos(\alpha)) \sum_{\ell, \ell'} (2\ell+1)(2\ell'+1) e^{i\beta_\ell} e^{-i\beta_{\ell'}} \sin(\beta_\ell) \sin(\beta_{\ell'}) P_\ell(\cos(\alpha)) P_{\ell'}(\cos(\alpha))$$

From the orthogonality of Legendre Polynomials,

$$\int_{-1}^1 dx P_\ell(x) P_{\ell'}(x) = \frac{2\beta_{\ell, \ell'}}{2\ell+1}$$

We then finally have

$$\sigma_T = \frac{4\pi}{k^2} \sum_{l=0}^{\infty} (2l+1) \sin^2(\theta_l)$$

We can also compute:

$$\text{Im}(f(\cos(\alpha))) = \sum_{l=0}^{\infty} (2l+1) \frac{\sin(\theta_l)}{k} \text{Im}(e^{i\theta_l}) \times P_l(1)$$

Since  $P_l(1) = 1$

$$\text{Im}(f(\cos(\alpha)=1)) = \sum_{l=0}^{\infty} (2l+1) \frac{\sin^2(\theta_l)}{k} = \frac{k}{4\pi} \sigma_T$$

Finally we have

$$\text{Im}(f(\cos(\alpha)=1)) = \frac{k}{4\pi} \sigma_T$$

which is known as the optical theorem

#### 4-13-22

#### Scattering of Identical Particles

The Hamiltonian of two interacting particles is:

$$H = -\frac{\hbar^2}{2m_1} \vec{\nabla}_1^2 - \frac{\hbar^2}{2m_2} \vec{\nabla}_2^2 + V(|\vec{x}_1 - \vec{x}_2|)$$

Next we change the variables to the center of mass,

$$\vec{x} = \frac{m_1 \vec{x}_1 + m_2 \vec{x}_2}{m_1 + m_2}$$

and the relative co-ordinate

$$\vec{n} \equiv \vec{x}_1 - \vec{x}_2, \quad n \equiv |\vec{x}_1 - \vec{x}_2|$$

The transformation gives

$$H = \frac{-\hbar^2}{2(m_1 + m_2)} \vec{\nabla}_x^2 - \frac{\hbar^2}{2\mu} \vec{\nabla}_n^2 + V(n)$$

The first term gives the kinetic equation of the center of mass, whereas the relative kinetic energy, with

$$\mu = \frac{m_1 m_2}{m_1 + m_2}$$

the reduced mass.

Separating the wavefunctions

$$\psi(\vec{x}, \vec{n}) = \varphi(\vec{x}) \psi(\vec{n})$$

the second term satisfies the Schrödinger equation

$$-\frac{\hbar^2}{2m} \nabla^2 \psi(\vec{n}) + V(\vec{n}) \psi(\vec{n}) = E \psi(\vec{n})$$

Where  $E$  is the energy in the CM frame.

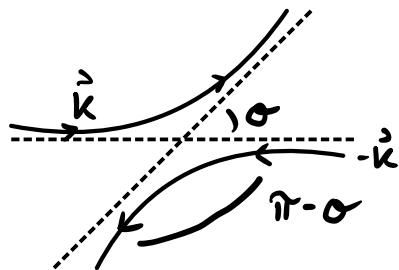
In the scattering problem, the wavefunction is of the form

$$\psi(\vec{n}) = \psi_i(\vec{n}) + \psi_s(\vec{n})$$

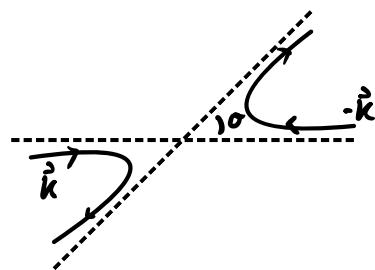
where  $\psi_i(\vec{n})$  is the incident wave and  $\psi_s(\vec{n})$  is the scattered one.

For identical particles, we have two equivalent processes:

Process 1



Process 2



For spinless particles the wavefunction is symmetric  $\Rightarrow$  the asymptotic solution is a solution in the form

$$e^{ik \cdot \vec{n}} + e^{-ik \cdot \vec{n}} + [f(\alpha) + f(\pi - \alpha)] \times \frac{e^{ikn}}{n}$$

where  $\vec{n} = \vec{x}_i - \vec{x}_o$ . The differential scattering cross section is

$$\sigma'(\alpha, \varphi) = |f(\alpha) + f(\pi - \alpha)|^2 = |f(\alpha)|^2 + |f(\pi - \alpha)|^2 + 2 \operatorname{Re}(f(\alpha) f^*(\pi - \alpha))$$

for  $\alpha = \pi/2$  we get constructive interference.  $\sigma'(\alpha = \pi/2) = 4|f(\alpha)|^2$

If the spatial part of the wave function is anti symmetric, we get:

$$e^{i\vec{k} \cdot \hat{n}} + e^{-i\vec{k} \cdot \hat{n}} + [f(\alpha) - f(\pi - \alpha)] \frac{e^{i\vec{k}\vec{n}}}{n}$$

For Spin- $\frac{1}{2}$  electrons, the triplet state is Symmetric in spin (Anti-Symmetric in Space), and the singlet is Anti-Symmetric in spin and Symmetric in space.

### Symmetric For Scattering Processes

Suppose the Hamiltonian

$$\mathcal{H} = \mathcal{H}_0 + V$$

(where  $V$  is the scattering potential) is invariant under a symmetry operation (unitary)

$$U\mathcal{H}_0 U^+ = \mathcal{H}_0, \quad UVU^+ = V$$

The Scattering matrix

$$T = V + VG_0V + VG_0VG_0V + \dots$$

is also invariant,

$$UTU^+ = T \quad \text{or} \quad U^+TU = T$$

Defining the kets

$$|\tilde{\vec{k}}\rangle \equiv U|\vec{k}\rangle, \quad |\tilde{\vec{k}'}\rangle \equiv U|\vec{k}'\rangle$$

we have

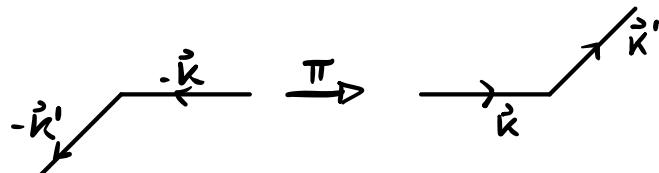
$$\langle \tilde{\vec{k}'} | T | \tilde{\vec{k}} \rangle = \langle \vec{k}' | UTU | \vec{k} \rangle = \langle \vec{k}' | T | \vec{k} \rangle$$

For a parity operator,  $\Pi$

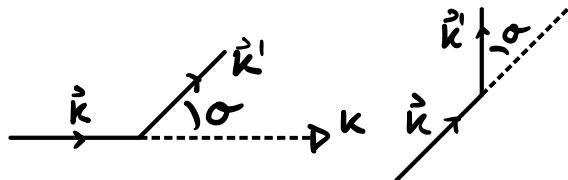
$$\Pi|\vec{k}\rangle = |- \vec{k}\rangle, \quad \Pi|\vec{k}'\rangle = |- \vec{k}'\rangle$$

The invariance of  $\mathcal{H}_0$  and  $V$  under parity means that

$$\langle -\vec{k}' | T | -\vec{k}' \rangle = \langle \vec{k}' | T | \vec{k} \rangle$$



For a central potential, the system has rotational symmetry, angular momentum is conserved.



Since  $T$  depends only on the relative orientations of  $\vec{k}$  and  $\vec{k}'$ .

For anti-unitary operators, such as time reversal,  $\Theta$

$$\Theta H_0 \Theta^{-1} = H_0$$

$$\Theta V \Theta^{-1} = V$$

But

$$\Theta \frac{1}{E - H_0 + i\epsilon} \Theta^{-1} = \frac{1}{E - H_0 - i\epsilon}$$

This implies  $\Theta T \Theta^{-1} = T'$ . Also if

$$|\tilde{\alpha}\rangle = \Theta |\alpha\rangle, \quad |\tilde{\beta}\rangle = \Theta |\beta\rangle$$

For anti-unitary operators ( $\Theta^2 = -1$ ),

$$\langle \alpha | \beta \rangle = \langle \tilde{\beta} | \tilde{\alpha} \rangle = \langle \tilde{\alpha} | \tilde{\beta} \rangle^* \quad (1)$$

Since an anti-unitary operator can be written as

$$\Theta = U K$$

where  $K$  is charge conjugation

$$|\alpha\rangle = \sum_{\alpha} |\alpha\rangle \langle \alpha | \alpha \rangle \rightarrow \sum_{\alpha} \langle \alpha | \alpha \rangle^* U |\alpha\rangle = \sum_{\alpha} \langle \alpha | \alpha \rangle U |\alpha\rangle \equiv |\tilde{\alpha}\rangle$$

And

$$|\tilde{\beta}\rangle = \sum_{\alpha} \langle \beta | \alpha \rangle U |\alpha\rangle \Rightarrow \langle \tilde{\beta} | = \sum_{\alpha} \langle \alpha | \beta \rangle \langle \alpha | U^*$$

we then have

$$\langle \tilde{\beta} | \tilde{\alpha} \rangle = \sum_{\alpha, \alpha'} \langle \alpha' | \beta \rangle \langle \alpha' | U^* U | \alpha \rangle \langle \alpha | \alpha \rangle = \sum_{\alpha} \langle \alpha | \alpha \rangle \langle \alpha | \beta \rangle = \langle \alpha | \beta \rangle$$

Recovering (1). In the case where

$$|\alpha\rangle = T |\tilde{k}\rangle, \quad \langle \beta | = \langle \tilde{k}' |$$

Then we have,

$$|\alpha\rangle = \Theta T |\vec{k}\rangle, \quad |\tilde{\beta}\rangle = \Theta |\vec{k}'\rangle$$

We then can say

$$|\alpha\rangle = \Theta T |\vec{k}\rangle = (\Theta T \Theta^{-1}) \Theta |\vec{k}\rangle = T^+ |-\vec{k}\rangle \quad (2)$$

And for  $|\tilde{\beta}\rangle$

$$|\tilde{\beta}\rangle = \Theta |\vec{k}'\rangle = |-\vec{k}'\rangle \quad (3)$$

From (1), (2), and (3). We can say  $\langle \alpha | \beta \rangle^*$  becomes

$$\langle \alpha | \beta \rangle^* = \langle \beta | \alpha \rangle = \langle \vec{k}' | T | \vec{k} \rangle = \langle \vec{\alpha} | \tilde{\beta} \rangle = \langle -\vec{k}' | T | -\vec{k}' \rangle$$

or

$$\langle \vec{k}' | T | \vec{k} \rangle = \langle -\vec{k}' | T | -\vec{k}' \rangle$$

Under time reversal. Combining time reversal and parity,

$$\langle \vec{k}' | T | \vec{k} \rangle \stackrel{\Theta}{=} \langle -\vec{k}' | T | -\vec{k}' \rangle \equiv \langle \vec{k}' | T | \vec{k}' \rangle$$

Therefore, the scattering amplitude gives

$$f(\vec{k}, \vec{k}') = f(\vec{k}', \vec{k})$$

meaning

$$\sigma'(\vec{k} \rightarrow \vec{k}') = \sigma'(\vec{k}' \rightarrow \vec{k})$$

which is called detailed balance. For particles with spin

$$\Theta |j, \mu\rangle = i^{2\mu} |j, -\mu\rangle$$

For free particles with kets  $|\vec{k}, j, \mu\rangle$ ,

$$\langle \vec{k}', j, \mu' | T | \vec{k}, j, \mu \rangle = i^{2(\mu - \mu')} \langle -\vec{k}, j, -\mu | T | -\vec{k}', j, -\mu' \rangle$$

Applying parity we get

$$= i^{2(\mu - \mu')} \langle \vec{k}, j, -\mu | T | \vec{k}', j, -\mu' \rangle$$

In such a way that

$$|\langle \vec{k}', j, \mu | T | \vec{k}, j, \mu' \rangle|^2 = |\langle \vec{k}, j, -\mu | T | \vec{k}', j, -\mu' \rangle|^2$$

For unpolarized states,

$$\begin{aligned}\sigma(\vec{k} \rightarrow \vec{k}') &= \frac{1}{2j+1} \sum_{M,M'} |\langle \vec{k}', j, M' | T | \vec{k}, j, M \rangle|^2 \\ &= \frac{1}{2j+1} \sum_{M,M'} |\langle \vec{k}, j, -M' | T | \vec{k}', j, -M \rangle|^2 \\ &= \sigma(\vec{k}' \rightarrow \vec{k})\end{aligned}$$

Where we have recovered the detail balance.

#### 4-18-22

#### Brief Summary of Scattering Theory

Lipmann-Schwinger Equation

$$|\gamma^\pm\rangle = |\psi\rangle + \frac{1}{E - \hat{H}_0 \pm i\varepsilon} \hat{V} |\gamma^\pm\rangle \quad (1)$$

where

$$\langle \vec{p}' | \frac{1}{E - \hat{H}_0 \pm i\varepsilon} | \vec{p} \rangle = \frac{S^{(3)}(\vec{p} - \vec{p}')}{E - \frac{\vec{p}^2}{2m} \pm i\varepsilon}$$

Where the real space representation is

$$G_\pm(\vec{x}, \vec{x}') = \frac{1}{2m} \langle \vec{x} | \frac{1}{E - \hat{H}_0 \pm i\varepsilon} | \vec{x}' \rangle = - \frac{e^{\pm ik|\vec{x} - \vec{x}'|}}{4\pi|\vec{x} - \vec{x}'|} \quad (3D)$$

Lipmann-Schwinger Equation (In Real Space)

$$\langle \vec{x} | \gamma^\pm \rangle = \langle \vec{x} | \psi \rangle + \frac{2m}{\hbar^2} \int d\vec{x}' G_\pm(\vec{x}, \vec{x}') \times \langle \vec{x}' | V | \gamma^\pm \rangle \quad (2)$$

For large  $n$ , away from the scattering potential ( $x \gg x'$ ),

$$\begin{aligned}\langle \vec{x} | \gamma^\pm \rangle &\xrightarrow{n \rightarrow \infty} \langle \vec{x} | \vec{k} \rangle - \frac{2m}{\hbar^2} \frac{e^{\pm ikn}}{4\pi n} \int d^3 \vec{x}' V(\vec{x}') \times e^{\mp i\vec{k}' \cdot \vec{x}'} \langle \vec{x}' | \gamma^\pm \rangle \\ &= \frac{1}{(2\pi)^3/2} \left[ e^{i\vec{k} \cdot \vec{x}} + \frac{e^{\pm ikn}}{n} f^\pm(\vec{k}, \vec{k}') \right]\end{aligned}$$

Where

$$f^\pm(\vec{k}, \vec{k}') = -(\partial n)^{3/2} \frac{2m}{4\pi \hbar^2} \times \int d^3 \vec{x}' V(\vec{x}') e^{\mp i(\vec{k}' \cdot \vec{x}')} \times \langle \vec{x}' | \gamma^\pm \rangle$$

$$= -\frac{1}{4\pi} (2\pi)^3 \frac{\partial m}{k^2} \langle \vec{k}' | V | \gamma^\pm \rangle$$

where

$$T = V + VG_0V + VG_0VG_0V + \dots$$

is the scattering matrix.

### Differential Scattering Cross Section

The differential scattering cross section is

$$\sigma(\theta, \varphi) = |f(\vec{k}, \vec{k}')|^2$$

where the total is found by

$$\sigma_T = \int d\Omega \sigma(\theta, \varphi)$$

### 1st Born Approximation

The First Born Approximation tells us

$$\langle \vec{x}' | \gamma^\pm \rangle \rightarrow \langle \vec{x}' | \vec{k} \rangle = \frac{e^{i\vec{k} \cdot \vec{x}}}{(2\pi)^{3/2}}$$

or equivalently

$$\langle \vec{k}' | T | \vec{k} \rangle = \langle \vec{k}' | V | \vec{k} \rangle$$

we can then say

$$f^{(1)}(\vec{k}, \vec{k}') = \frac{-\partial m}{h^2 |\vec{k} - \vec{k}'|} \int_0^\infty dn n V(n) \sin(|\vec{k} - \vec{k}'|n)$$

### Partial Wave Decomposition

$f(\vec{k}, \vec{k}')$  is

$$f(\vec{k}, \vec{k}') = f(\cos\alpha) = \sum_{l=0}^{\infty} (2l+1) f_l(k) P_l(\cos\alpha)$$

where

$$f_l(k) \equiv \frac{-\pi T_l(E, E')}{k}$$

and

$$\langle E', l', m' | \hat{T} | E, l, m \rangle = T_l(E, E') \delta_{ll'} \delta_{mm'}$$

At long wavelength

$$\langle \bar{x}_1 \gamma^+ \rangle \xrightarrow{n \rightarrow \infty} \frac{1}{(2\pi)^{1/2}} \sum_{l=0}^{\infty} (2l+1) \frac{P_l(\cos\alpha)}{2ik} \times \left[ (1 + 2ik f_g(k)) \frac{e^{ikn}}{n} - e^{-i(kn - \pi l)} \right]$$

where

$$f_g(k) = \frac{e^{i\beta l}}{k} \sin(\beta l)$$

which then tells us

$$\Rightarrow f(\cos\alpha) = \sum_{l=0}^{\infty} (2l+1) \frac{e^{i\beta l}}{k} \sin(\beta l) P_l(\cos\alpha)$$

with  $\beta l$  the phase shift.

### Scattering And Angular Momentum channels

The scattering of a particle through a central potential satisfies the Schrödinger equation

$$\left[ -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial r^2} + V_{\text{eff}}(r) \right] u_l(r) = \frac{\hbar^2 k^2}{2m} u_l(r)$$

where  $u_l(r) = r A_l(r)$  with  $A_l(r)$  the radial part of the wavefunction and

$$V_{\text{eff}}(r) = V(r) + \frac{\hbar^2}{2m} \frac{l(l+1)}{r^2}$$

is the effective potential.

At low energy, when

$$\frac{\lambda}{2\pi} = \frac{1}{k} \gg R$$

With  $R$  the range of the potential, the  $l=0$  channel dominates, since the  $l$  to decay exponentially inside the centrifugal barrier at low energy ( $k \rightarrow 0$ ) and long wavelengths in the opposite regime,

$$\frac{\lambda}{2\pi} = \frac{1}{k} \ll R$$

Lastly,

