# Introduction to quantum thoery: Quantum states and quantum measurements

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## What is "Quantum theory"?

• Physics for microscopic matters, e.g., atoms, light.

#### On this course

We study mathematical foundation of quantum information.

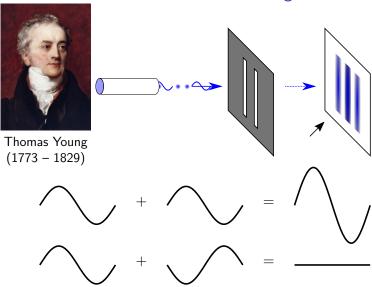
- Mathematical foundation of quantum physics
- Quantum algorithms
- Other quantum information processing, e.g, quantum communication, quantum error-correction.

#### Score

Assignments: 70%

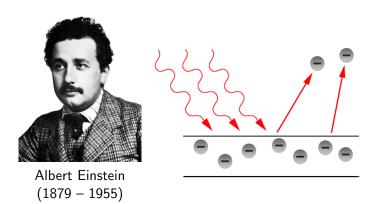
• Final exam: 30%

### Light is wave



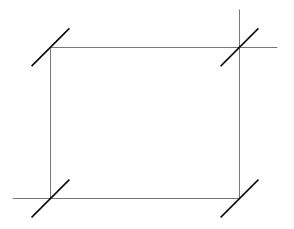
https://en.wikipedia.org/wiki/Young's\_interference\_experiment

## Light is particle (1905)



https://en.wikipedia.org/wiki/File:Photoelectric\_effect.png

#### Mach-Zehnder interferometer



## Quantum states and quantum measurements

A single photon  $\Rightarrow$  BS1  $\Rightarrow$  BS2  $\Rightarrow$  detection

State	Measurement
A single photon $\Rightarrow$ BS1 $\Rightarrow$ BS2	detection
A single photon $\Rightarrow$ BS1	$BS2\Rightarrowdetection$
A single photon	$BS1 \Rightarrow BS2 \Rightarrow detection$

## All understandings are valid

## Mathematical representations of states and measurements

How "States" and "Measurements" are treated mathematically ?

A table of probabilities of outcome 'YES' for each binary measurment on each state

	Measurement 1	Measurement 2	• • • •
State A	$p_{A1}$	$p_{A2}$	• • •
State B	$p_{B1}$	$p_{B2}$	• • •
:			

<sup>\*</sup> The number of states and measurements are not necessarily countable.

### Classical theory

States:  $\underline{0}$ ,  $\underline{1}$ 

Binary measurements:  $\underline{0}$ ?,  $\underline{1}$ ?

	<u>0</u> ?	<u>1</u> ?
0	1	0
1	0	1

#### State and measurement

	<u>0</u> ?	<u>1</u> ?
0	1	0
1	0	1

 $S := \underline{0}$  with probability p,  $\underline{1}$  with probability 1 - p. S is also regarded as a state.

$$\underline{0}?(S) = p, \qquad \underline{1}?(S) = 1 - p.$$

Similarly,

 $E_1 := \underline{0}$ ? with probability p,  $\underline{1}$ ? with probability 1 - p.

 $E_2 := (\underline{0} \text{ or } \underline{1})?.$ 

 $E_1$  and  $E_2$  are also regarded as a binary measurement.

#### Linear space

$$\begin{array}{lll} \omega_{\underline{0}} := \begin{bmatrix} 1 \\ 0 \end{bmatrix}, & & \omega_{\underline{1}} := \begin{bmatrix} 0 \\ 1 \end{bmatrix} \\ e_{\underline{0}} := \begin{bmatrix} 1 \\ 0 \end{bmatrix}, & & e_{\underline{1}} := \begin{bmatrix} 0 \\ 1 \end{bmatrix} \end{array}$$

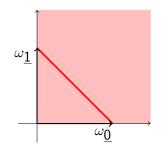
$$S:=\underline{0}$$
 with probability  $p$ ,  $\underline{1}$  with probability  $1-p$   $\omega_S=p\omega_{\underline{0}}+(1-p)\omega_{\underline{1}}=\begin{bmatrix}p\\1-p\end{bmatrix}.$   $\underline{0}?(S)=p=\langle e_0,\omega_S\rangle.$ 

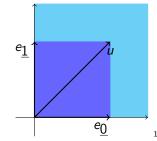
#### States and measurements in a linear space

$$egin{aligned} \omega_{\underline{0}} &:= egin{bmatrix} 1 \ 0 \end{bmatrix}, & \omega_{\underline{1}} &:= egin{bmatrix} 0 \ 1 \end{bmatrix} \ e_{\underline{0}} &:= egin{bmatrix} 1 \ 0 \end{bmatrix}, & e_{\underline{1}} &:= egin{bmatrix} 0 \ 1 \end{bmatrix} \end{aligned}$$

Set of states =  $\left\{ \begin{bmatrix} x \\ y \end{bmatrix} \mid x \ge 0, y \ge 0, x + y = 1 \right\}$ .

Set of binary measurements =  $\left\{ \begin{bmatrix} x \\ y \end{bmatrix} \mid x \ge 0, y \ge 0, x \le 1, y \le 1 \right\}$ .





#### State and measurement in a linear space

Set of states 
$$= \left\{ \begin{bmatrix} x \\ y \end{bmatrix} \mid x \ge 0, y \ge 0, x + y = 1 \right\}.$$

Set of binary measurements  $= \left\{ \begin{bmatrix} x \\ y \end{bmatrix} \mid x \geq 0, y \geq 0, x \leq 1, y \leq 1 \right\}.$ 

Let  $C_{\geq 0}$  be the set of nonnegative vectors and  $u := \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ .

Set of states 
$$= \{ \omega \in \mathbb{R}^2 \mid \omega \in C_{\geq 0}, \langle u, \omega \rangle = 1 \}$$
.

Set of binary measurements  $=\left\{e\in\mathbb{R}^2\mid e\in\mathcal{C}_{\geq0},u-e\in\mathcal{C}_{\geq0}
ight\}.$ 

Set of measurements 
$$=\{(e_1,\ldots,e_k)\mid e_1+\cdots+e_k=u,\ e_i\in C_{\geq 0}\ i=1,2,\ldots,k,\ k=1,2,\ldots\}$$

Outcome of the measurement  $M=(e_1,\ldots,e_k)$  on  $\omega$  is i with probability  $\langle e_i,\omega\rangle$ .

### Quantum theory

$$\mathcal{C}_{\geq 0} \subseteq \mathbb{R}^2$$
 : the set of nonnegative vectors,  $u := \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ .

Set of states 
$$= \{ \omega \in \mathbb{R}^2 \mid \omega \in \mathcal{C}_{\geq 0}, \langle u, \omega \rangle = 1 \}$$
.

Set of binary measurements  $= \left\{ e \in \mathbb{R}^2 \mid e \in \mathcal{C}_{\geq 0}, u - e \in \mathcal{C}_{\geq 0} \right\}$ .

Set of measurements = 
$$\{(e_1, \dots, e_k) \mid e_1 + \dots + e_k = u, e_i \in C_{\geq 0}$$
  
 $i = 1, 2, \dots, k, \ k = 1, 2, \dots, \}$ 

V: the linear space on  $\mathbb{R}$  spanned by  $2 \times 2$  Hermitian matrices.

$$\langle e, \omega \rangle := \mathsf{Tr}(e\omega)$$
 for  $\omega, e \in V$  (Hilbert-Schmidt inner product).

$$C_{\succeq 0} \subseteq V$$
: the set of positive semidefinite matrices,  $u := \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ .

Set of states 
$$= \{ \omega \in V \mid \omega \in {\color{red}C}_{\succeq 0}, \langle u, \omega \rangle = 1 \}$$
.

Set of binary measurements  $= \{e \in V \mid e \in {\color{red}C}_{\succeq 0}, u - e \in {\color{red}C}_{\succeq 0}\}$ .

## Linear space spanned by 2x2 Hermitian matrices

**Basis** 

$$A := \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$
,  $B := \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$ ,  $C := \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ ,  $D := \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$ 

Another choice of basis

$$I:=\begin{bmatrix}1&0\\0&1\end{bmatrix}$$
,  $X:=\begin{bmatrix}0&1\\1&0\end{bmatrix}$ ,  $Y:=\begin{bmatrix}0&-i\\i&0\end{bmatrix}$ ,  $Z:=\begin{bmatrix}1&0\\0&-1\end{bmatrix}$ 

Both are orthogonal basis.

The second basis (I and Pauli matrices X, Y and Z) has nice properties.

- **1** Tr(I) = 2. Tr(X) = Tr(Y) = Tr(Z) = 0.
- 2  $X^2 = Y^2 = Z^2 = I$  (X, Y and Z have eigenvalues  $\pm 1$ ).
- 3 XY = -YX, YZ = -ZY, ZX = -XZ.

#### Positive semidefinite cone

$$I := \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, X := \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, Y := \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}, Z := \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$
$$\rho = \frac{1}{\sqrt{2}} \left( a_I I + a_X X + a_Y Y + a_Z Z \right)$$

$$\lambda_{1} \geq 0, \ \lambda_{2} \geq 0 \iff \lambda_{1} + \lambda_{2} \geq 0, \ \lambda_{1}\lambda_{2} \geq 0$$

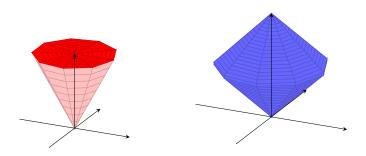
$$\iff \operatorname{Tr}(\rho) \geq 0, \ \operatorname{Tr}(\rho)^{2} - \operatorname{Tr}(\rho^{2}) \geq 0$$

$$\iff a_{I} \geq 0, \ 2a_{I}^{2} - (a_{I}^{2} + a_{X}^{2} + a_{Y}^{2} + a_{Z}^{2}) \geq 0$$

$$\iff a_{I} \geq 0, \ a_{I}^{2} \geq a_{X}^{2} + a_{Y}^{2} + a_{Z}^{2}$$

$$\operatorname{Tr}(\rho) = 1 \iff a_{I} = \frac{1}{\sqrt{2}}$$

### Geometry of quantum states and effects

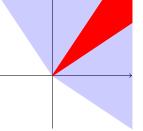


#### Convex cone and dual cone

$$C \subseteq V$$
 is a convex cone  $\iff$   $x + y \in C$ ,  $\lambda x \in C$ ,  $\forall x \in C, y \in C, \lambda \geq 0$ 

Proper cone: closed, not V, full-dimensional.

$$C^* \subseteq V$$
 is a dual cone of  $C$   
 $\iff C^* := \{x \in V \mid \langle x, y \rangle \ge 0, \ \forall y \in C\}$ 



 $C_{>0}$  and  $C_{\succ 0}$  are self-dual cones.

#### Generalized probabilistic theories

C: convex cone.  $u \in \text{interior of } C^*$ .

Set of states = 
$$\{\omega \in V \mid \omega \in C, \langle u, \omega \rangle = 1\}$$
.  
Set of effects =  $\{e \in V \mid e \in C^*, u - e \in C^*\}$ .

Set of measurements =  $\{(e_1, \dots, e_k) \mid e_1 + \dots + e_k = u, k = 1, 2, 3, \dots\}$ 

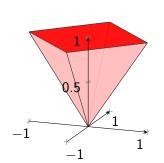
Classical theory

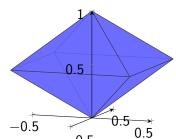
$$V = \mathbb{R}^n$$
,  $C = C_{>0}$ ,  $u =$ the all-1 vector.

Quantum theory

$$V = A$$
 set of  $n \times n$  Hermitian matrices,  $C = C_{\succeq 0}$ ,  $u = I$ .

Other theories?





$$\omega_0 = \begin{bmatrix} 1 & 0 & 1 \end{bmatrix}$$
,  $\omega_2 = \begin{bmatrix} -1 & 0 & 1 \end{bmatrix}$ ,

$$\omega_3 = \begin{bmatrix} 0 & -1 & 1 \end{bmatrix}$$
.

 $\omega_1 = \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}$  ,

$$e_0 = \frac{1}{2} \begin{bmatrix} 1 & 1 & 1 \end{bmatrix},$$

$$e_1 = \frac{1}{2} \begin{bmatrix} -1 & 1 & 1 \end{bmatrix}$$
,  $e_3 = \frac{1}{2} \begin{bmatrix} -1 & -1 & 1 \end{bmatrix}$ ,

$$e_2=rac{1}{2}egin{bmatrix}1 & -1 & 1\end{bmatrix}$$
 ,

$$u = \begin{bmatrix} 0 & 0 & 1 \end{bmatrix}$$
.

#### Nonlocality

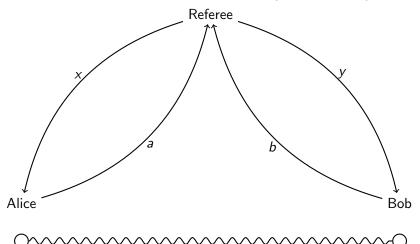
OK, generalized probabilistic theory is quite simple and easy to understand.

But, what is essential difference between classical theory and quantum theory ?

Can quantum theory be "simulated" or "explained" by classical theory ?

Are Hermitian positive-semidefinite matrices really needed for explaining reality?

## Bell test: CHSH game (1964, 1969)



Alice and Bob win iff  $a \oplus b = x \wedge y$ .

#### Bell inequality

 $a_x$ : Output of Alice for given x.  $b_y$ : Output of Bbob for given y.

$$a_0 \oplus b_0 = 0$$
  
 $a_1 \oplus b_0 = 0$   
 $a_0 \oplus b_1 = 0$   
 $a_1 \oplus b_1 = 1$ 

By adding all equations, we get 0 = 1, which means there is no solution. Hence, the winning probability 1 cannot be achieved.

Three equalities can be satisfied, so that the largest winning probability is 3/4 (Bell inequality or CHSH inequality).

If Alice and Bob share quantum states, then the largest winning probability is  $(2+\sqrt{2})/4\approx 0.854$  (Violation of Bell/CHSH inequality)

### Locality (Hidden variable model)

Joint preparation and independent measurements.

Probability distribution  $P(a, b \mid x, y)$  is said to be **local** if

$$P(a, b \mid x, y) = \sum_{\lambda} P(\lambda)P(a \mid x, \lambda)P(b \mid y, \lambda).$$

Quantum physics allow nonlocal behaviors.

# Einstein-Podolsky-Rosen (EPR) paradox (1935)

$$P(a, b \mid x, y) = \sum_{\lambda} P(\lambda)P(a \mid x, \lambda)P(b \mid y, \lambda).$$

 $\iff$  there exists a joint distribution of  $(a_0, a_1, b_0, b_1)$ .

 $\downarrow \downarrow$ 

In quantum physics,  $a_0$ ,  $a_1$ ,  $b_0$ ,  $b_1$  cannot exists simultaneously.



In quantum physics, position and momentum cannot exists simultaneously.

#### Summary

- .
- Classical theory and quantum theory are special cases of generalized probabilistic theories.
- Joint system, e.g., two qubits.

### Assignments

**1** Show the dimension and one of the basis of real linear space spanned by  $n \times n$  Hermitian matrices

2 Show that XY = -YX, YZ = -ZY and ZX = -XZ.

Show that the Hilbert-Schmidt inner product satisfies the axioms of inner product.

**4** Show that  $C_{\succeq 0}$  is a self-dual cone.