

# Spectral decomposition, purification

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## Pauli matrices in bracket notation

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$$Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = |0\rangle\langle 0| - |1\rangle\langle 1|$$

- $|+\rangle := (|0\rangle + |1\rangle)/\sqrt{2}, \quad |-\rangle := (|0\rangle - |1\rangle)/\sqrt{2}.$

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = |+\rangle\langle +| - |-\rangle\langle -|$$

- $|a\rangle := (|0\rangle + i|1\rangle)/\sqrt{2}, \quad |b\rangle := (|0\rangle - i|1\rangle)/\sqrt{2}.$

$$Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} = |a\rangle\langle a| - |b\rangle\langle b|$$

# Spectral decomposition of Hermitian operator

## Theorem (Spectral decomposition theorem)

$H \in L(\mathbb{C}^n)$  is *Hermitian* if and only if there exist orthonormal basis  $\{|\psi_i\rangle\}$  of  $\mathbb{C}^n$  and real numbers  $\{\lambda_i\}$  such that

$$H = \sum_i \lambda_i |\psi_i\rangle \langle \psi_i|.$$

## Outline of the proof.

- Any complex matrix  $H$  has an eigenvalue and eigenvector.
- All eigenvalues of any Hermitian matrix  $H$  are real.
- Any Hermitian matrix  $H$  has a spectral decomposition.



## Any complex matrix has an eigenvalue

For any  $L \in L(\mathbb{C}^n)$  and non-zero  $v \in \mathbb{C}^n$ ,

$$v, Lv, L^2v, \dots, L^nv$$

are linearly **dependent**. There exist  $a_0, \dots, a_n$  that are not all-zero satisfying

$$\begin{aligned} 0 &= a_0v + a_1Lv + \dots + a_nL^nv \\ &= c(L - \lambda_1 I)(L - \lambda_2 I) \cdots (L - \lambda_m I)v \end{aligned}$$

which means at least one  $L - \lambda_i I$  is not injective, i.e., there exists non-zero  $w \in \mathbb{C}^n$  such that

$$(L - \lambda_i I)w = 0 \iff Lw = \lambda_i w.$$

## All eigenvalues of Hermitian matrix are real

Assume that Hermitian matrix  $H$  has an eigenvalue  $\lambda$  and a corresponding eigenvector  $v$ .

$$\lambda \langle v, v \rangle = \langle \lambda v, v \rangle = \langle H v, v \rangle = \langle v, H v \rangle = \langle v, \lambda v \rangle = \lambda^* \langle v, v \rangle.$$

Hence,  $\lambda$  is real.

## Any Hermitian matrix has a spectral decomposition

Induction on the dimension  $n$ . Spectral decomposition theorem obviously holds for  $n = 1$ .  $H$  has real eigenvalue  $\lambda$  and corresponding (normalized) eigenvector  $|\psi\rangle$ . Let  $P = |\psi\rangle\langle\psi|$  and  $Q = I - P$ .

$$H = (P + Q)H(P + Q) = PHP + PHQ + QHP + QHQ$$

$$QHP = Q\lambda P = 0$$

$PHQ = (QHP)^\dagger = 0$  since  $H$  is Hermitian.

$$PHP = \lambda P.$$

$QHQ$  is Hermitian since  $H$  is Hermitian.  $QHQ$  is a linear map on linear subspace of dimension  $n - 1$ .

From the induction hypothesis,  $QHQ$  has a spectral decomposition.

## Terminology

- Density matrix, density operator: A Hermitian matrix  $\rho$  that represents a state, i.e.,  $\rho \succeq 0$ ,  $\text{Tr}(\rho) = 1$ .
- Pure state: A state that cannot be written as a convex combination of other states. Equivalently, its a density operator with rank one.
- Mixed state: A state that is not a pure state.
- State vector: A complex unit vector  $|\psi\rangle$  that represents a pure state  $\rho = |\psi\rangle \langle\psi|$ .
- Positive operator-valued measurement (POVM): A tuple  $\{P_j\}$  of Hermitian matrices that represents a measurement, i.e.,  $P_j \succeq 0$  and  $\sum_j P_j = I$ .

## Ensemble of states

Let  $\rho_1, \dots, \rho_k$  be density matrices. If  $\rho_i$  is prepared with probability  $p_i$ , and POVM  $\{P_j\}$  is applied, outcome  $j$  is obtained with probability

$$\sum_{i=1}^k p_i \text{Tr}(\rho_i P_j) = \text{Tr} \left( \sum_{i=1}^k p_i \rho_i P_j \right).$$

Hence, this ensemble of states is represented by  $\rho := \sum_i p_i \rho_i$ .



## Ensemble of pure states

Any quantum state

$$\rho = \sum_i \lambda_i |\psi_i\rangle \langle \psi_i|$$

can be regarded as an ensemble  $\{\lambda_i, |\psi_i\rangle \langle \psi_i|\}$  of pure states.

$$\begin{aligned}\rho &= \frac{3}{4} |0\rangle \langle 0| + \frac{1}{4} |1\rangle \langle 1| \\ &= \frac{1}{2} |a\rangle \langle a| + \frac{1}{2} |b\rangle \langle b|\end{aligned}$$

for

$$\begin{aligned}|a\rangle &:= \sqrt{\frac{3}{4}} |0\rangle + \sqrt{\frac{1}{4}} |1\rangle \\ |b\rangle &:= \sqrt{\frac{3}{4}} |0\rangle - \sqrt{\frac{1}{4}} |1\rangle.\end{aligned}$$

## Observable

Let  $\{P_j\}$  be a POVM. If we assign real value  $a_j$  for each outcome  $j$ , its expectation is

$$\mathbb{E}[A] = \sum_j a_j \text{Tr}(\rho P_j) = \text{Tr} \left( \rho \sum_j a_j P_j \right) = \text{Tr}(\rho A).$$

Here, Hermitian operator  $A := \sum_j a_j P_j$  is called a **observable**.

If  $\{P_j\}$  is a **projective measurement**, i.e.,  $P_j P_k = \delta_{j,k} P_j$ ,

$$\mathbb{E}[A^n] = \sum_j a_j^n \text{Tr}(\rho P_j) = \text{Tr} \left( \rho \sum_j a_j^n P_j \right) = \text{Tr}(\rho A^n).$$

For example,  $X$  and  $Z$  are observables for POVMs

$\{|+\rangle\langle +|, |-\rangle\langle -|\}$  and  $\{|0\rangle\langle 0|, |1\rangle\langle 1|\}$  with the assignments  $\pm 1$ , respectively.

## Decoherence

For orthonormal basis  $\{|\psi_i\rangle\}$ , POVM  $\{|\psi_i\rangle\langle\psi_i|\}$  is performed to a quantum state  $\rho$ . If outcome is  $i$ , the quantum state  $\rho$  is **transformed** into  $|\psi_i\rangle\langle\psi_i|$ . If we **don't see** the measurement outcome, the state after the measurement is

$$\sum_i \text{Tr}(\rho |\psi_i\rangle\langle\psi_i|) |\psi_i\rangle\langle\psi_i| = \sum_i \langle\psi_i|\rho|\psi_i\rangle |\psi_i\rangle\langle\psi_i|$$

$$\rho = \sum_{i,j} \rho_{i,j} |\psi_i\rangle\langle\psi_j| \mapsto \sum_i \rho_{i,i} |\psi_i\rangle\langle\psi_i|$$

This phenomenon is called **decoherence**.

## Partial trace

The **partial trace**  $\text{Tr}_W : H(V \otimes W) \rightarrow H(V)$  is defined by

$$A \otimes B \mapsto \text{Tr}(B)A$$

for all  $A \in H(V)$  and  $B \in H(W)$ .

## Marginal distribution and reduced density matrix

A probability of outcome of local measurement in a joint system is

$$P(a, b) = \text{Tr}(\rho(P_a \otimes Q_b)).$$

$$\begin{aligned}\sum_b P(a, b) &= \sum_b \text{Tr}(\rho(P_a \otimes Q_b)) \\ &= \text{Tr} \left( \rho \left( P_a \otimes \sum_b Q_b \right) \right) \\ &= \text{Tr}(\rho(P_a \otimes I)) \\ &= \text{Tr}(\text{Tr}_W(\rho)P_a).\end{aligned}$$

Here,  $\text{Tr}_W(r)$  is called a reduced density matrix.

## Reduced state of a pure state is not necessarily pure

A two-qubit pure state (called Bell state, Bell pair or EPR pair)

$$|\varphi\rangle := \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle).$$

$$\begin{aligned} |\varphi\rangle\langle\varphi| &= \frac{1}{2}(|0\rangle\langle 0| \otimes |0\rangle\langle 0| + |0\rangle\langle 1| \otimes |0\rangle\langle 1| \\ &\quad + |1\rangle\langle 0| \otimes |1\rangle\langle 0| + |1\rangle\langle 1| \otimes |1\rangle\langle 1|) \end{aligned}$$

By taking the partial trace for the second qubit, we obtain a reduced density matrix  $I/2$ .

# Purification

## Theorem

*For any density matrix  $\rho$  on  $V$ , there exists a pure state  $|\psi\rangle$  of a joint system on  $V \otimes W$  for some  $W$  such that  $\text{Tr}_W(|\psi\rangle \langle\psi|) = \rho$ .*

## Proof.

For a spectral decomposition of  $\rho$

$$\rho = \sum_i \lambda_i |\psi_i\rangle \langle\psi_i|$$

let

$$|\psi\rangle := \sum_i \sqrt{\lambda_i} |\psi_i\rangle |\psi_i\rangle .$$

Then,

$$\text{Tr}_W(|\psi\rangle \langle\psi|) = \rho.$$



$|\psi\rangle$  is called a **purification** of  $\rho$ .

## Quantum states discrimination

Alice encodes her classical information  $\{1, 2, \dots, n\}$  into quantum states  $\rho_1, \rho_2, \dots, \rho_n \in H(\mathbb{C}^m)$ , and send it to Bob. Bob performs a POVM  $\{P_1, \dots, P_n\}$  for estimating  $i \in \{1, \dots, n\}$  that Alice encoded. Assume Bob could estimate  $i$  without error. Then,

$$\text{Tr}(\rho_i P_j) = \delta_{i,j}.$$

$$\begin{aligned}\text{Tr}(\rho_i P_j) &= \text{Tr} \left( \sum_k \lambda_k^{(i)} |\psi_k^{(i)}\rangle \langle \psi_k^{(i)}| P_j \right) \\ &= \sum_k \lambda_k^{(i)} \langle \psi_k^{(i)}| P_j |\psi_k^{(i)}\rangle\end{aligned}$$

From  $\sum_k \lambda_k = 1$  and  $\langle \psi | P | \psi \rangle \leq 1$ , we can replace  $\rho_i$  with  $|\psi_k^{(i)}\rangle \langle \psi_k^{(i)}|$  for any  $k$  with  $\lambda_k > 0$ .

$\langle \psi^{(i)} | P_j | \psi^{(i)} \rangle = \delta_{i,j}$  implies  $\{|\psi^{(i)}\rangle\}$  is orthonormal. Hence  $n \leq m$ .



## Superdense coding

Alice can send **two** bits to Bob by sending a single qubit and using a shared Bell state.

$$|\varphi_{00}\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$$

$$|\varphi_{01}\rangle = \frac{1}{\sqrt{2}}(|10\rangle + |01\rangle), \quad \text{by } X$$

$$|\varphi_{10}\rangle = \frac{1}{\sqrt{2}}(|00\rangle - |11\rangle), \quad \text{by } Z$$

$$|\varphi_{11}\rangle = \frac{1}{\sqrt{2}}(|10\rangle - |01\rangle), \quad \text{by } XZ$$

These are **orthogonal**.

## Assignments [Deadline is the next next Friday]

- ① Show the reduced density matrix  $\rho_V \in H(V)$  of

$$\sum_{i,j} \rho_{i,j} |i\rangle \langle j| \otimes |i\rangle \langle j| \in H(V \otimes W)$$

where  $\{|i\rangle\}$  is a orthonormal basis of  $V$  and  $W$ .

- ② For a density matrix  $\begin{bmatrix} \rho_{1,1} & \rho_{1,2} \\ \rho_{2,1} & \rho_{2,2} \end{bmatrix} \in H(\mathbb{C}^2)$ , show the density matrix for a ensemble of quantum states  $\rho$  and  $Z\rho Z$  with probabilities  $1/2$ .