

# Analysis, Optimisation and Debugging of BPMN Processes

PhD Defended by Quentin NIVON before a jury composed of:

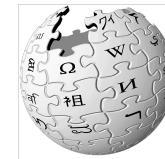
- Pr. Olivier BARAIS, Examiner
- Pr. Remco DIJKMAN, Examiner
- Pr. Massimo MECELLA, Reviewer
- Pr. Pascal POIZAT, Reviewer
- Pr. Claudia RONCANCIO, Examiner
- Pr. Gwen SALAÜN, Supervisor



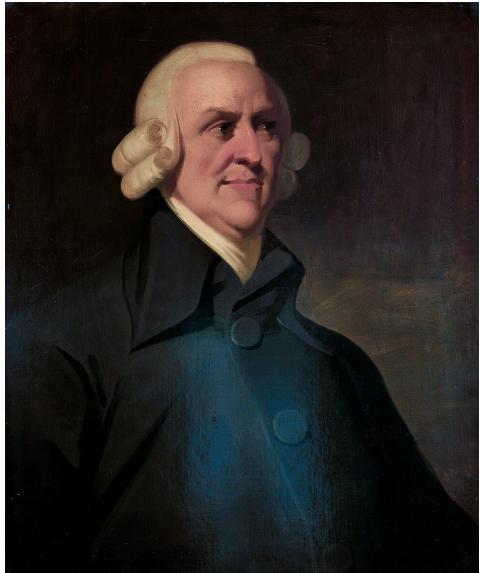
BPMN stands for **Business Process Model and Notation**.  
But what is a business process?

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*“A business process [...] is a collection of related, structured activities or tasks performed by people or equipment in which a specific sequence produces a service or product (that serves a particular business goal) for a particular customer or customers”*

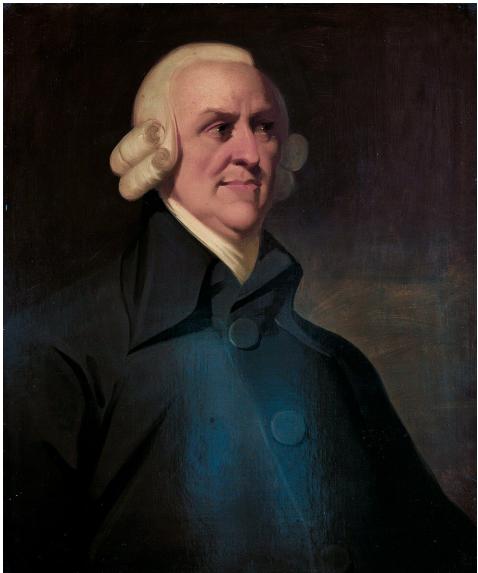


According to history, the **first** man to have ever evoked the term “business process” is the scottish economist Adam Smith in 1776.



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Adam Smith

In [Smith1776], he described the production of a pin as follows:

*“One man draws out the wire; another straightens it; a third cuts it; a fourth points it; a fifth grinds it at the top for receiving the head; to make the head requires two or three distinct operations; to put it on is a peculiar business; to whiten the pins is another ... and the important business of making a pin is, in this manner, divided into about eighteen distinct operations, which, in some manufactories, are all performed by distinct hands, though in others the same man will sometimes perform two or three of them.”*



Frederick Winslow Taylor

- standardization of processes
- systematic training
- clear definition of the roles of management and employees

## A Little Bit of History: ...and His Successors



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Geary A. Rummler

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Alan P. Brache



Michael Hammer

and others

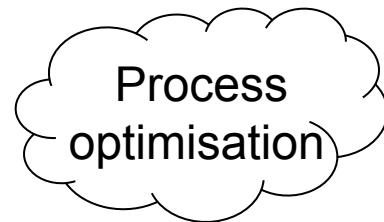
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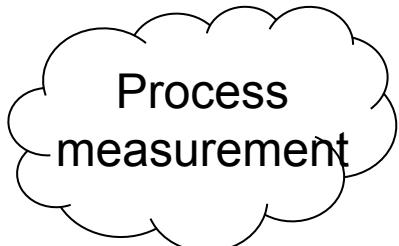
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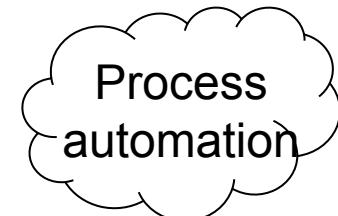
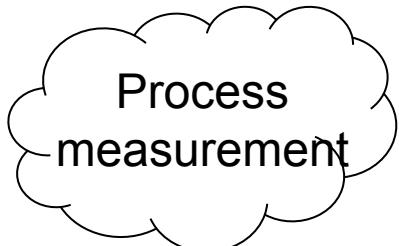
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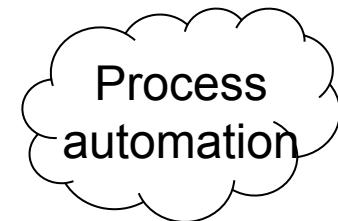
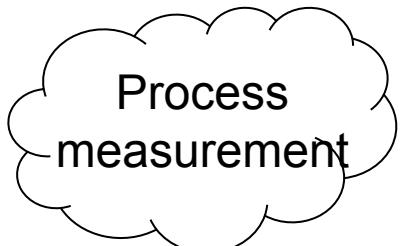
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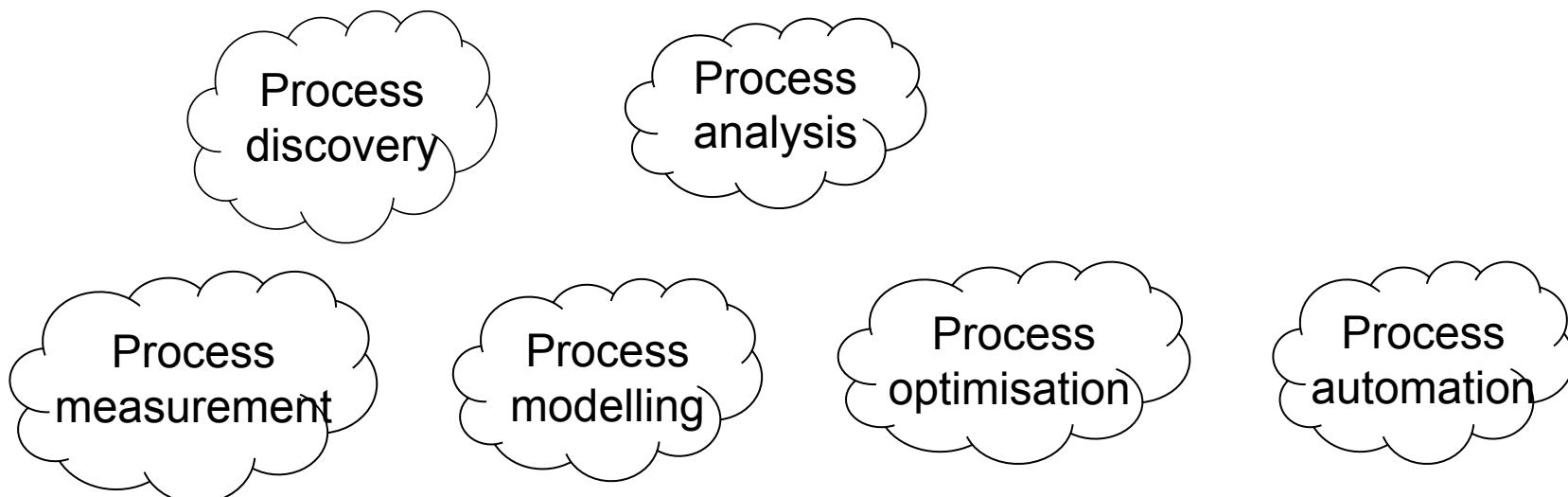
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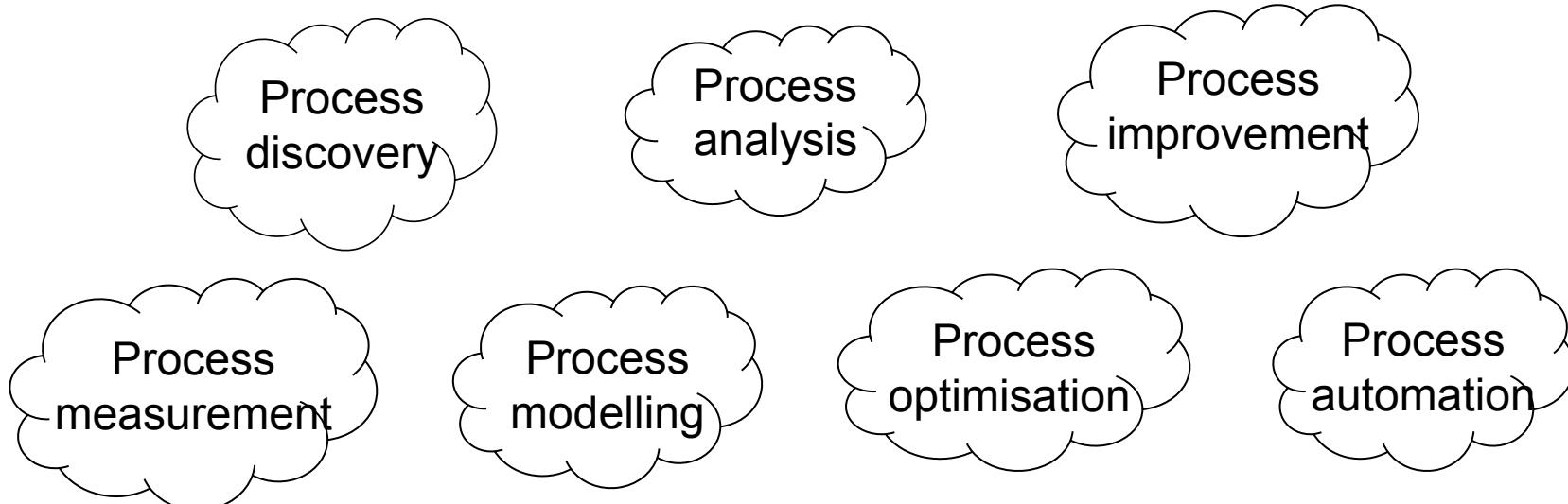
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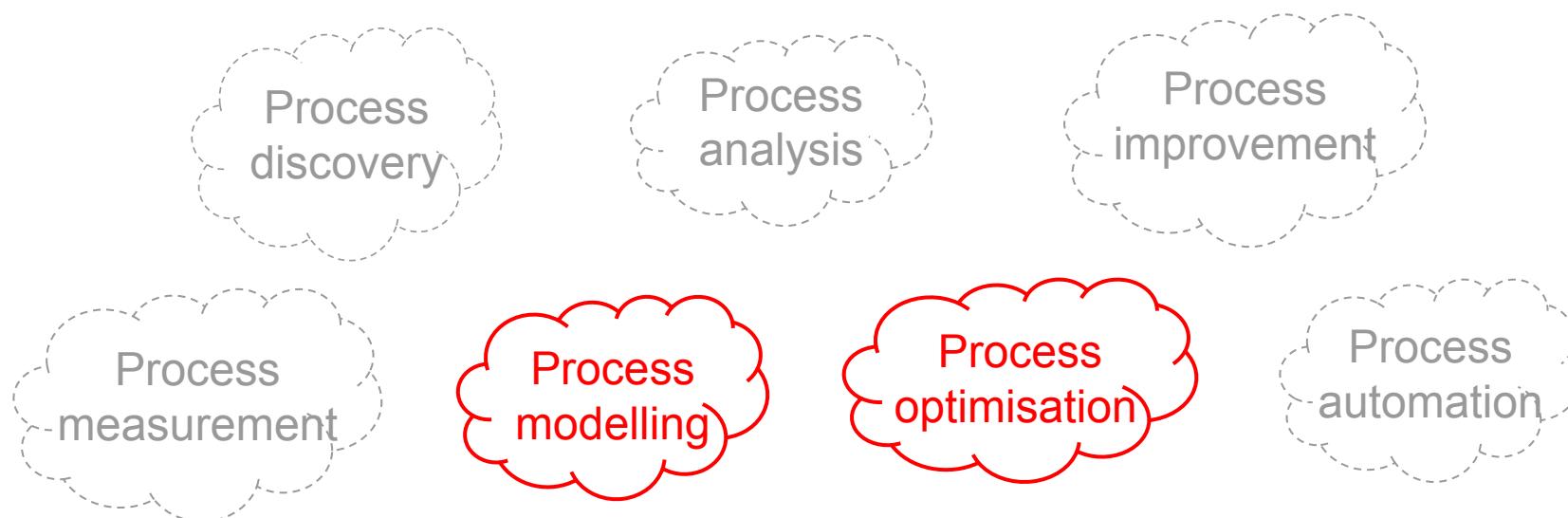
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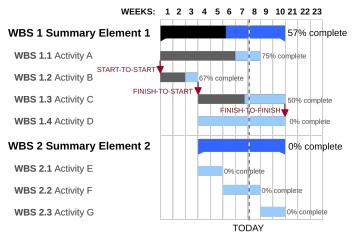
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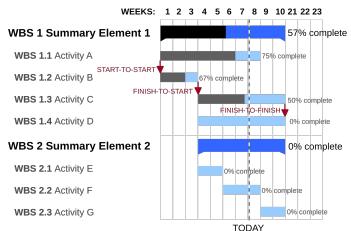
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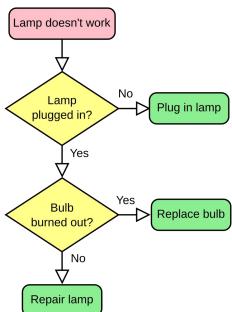


Gantt chart, 1910-15

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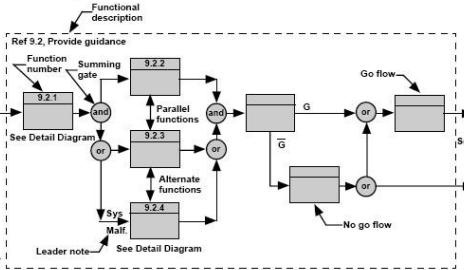
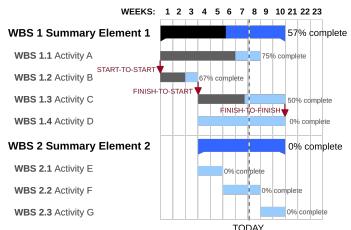
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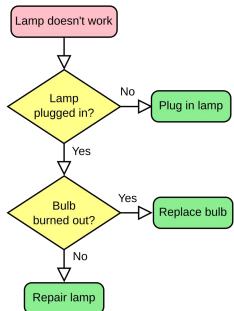
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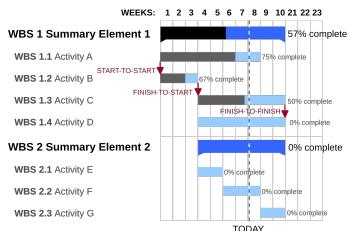
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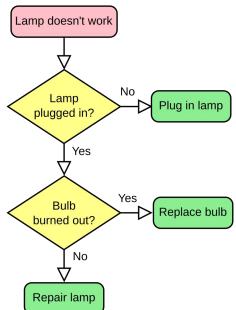
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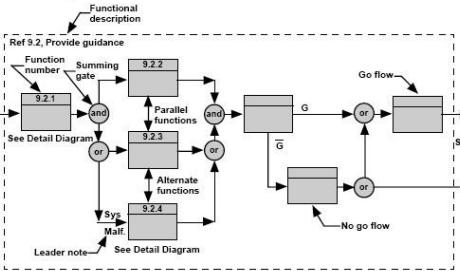
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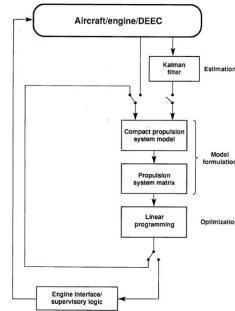
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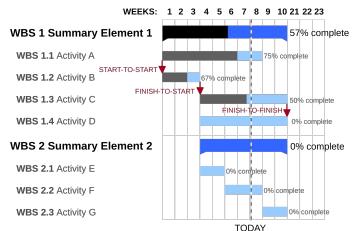
Functional flow block diagram (FFBD), 195X



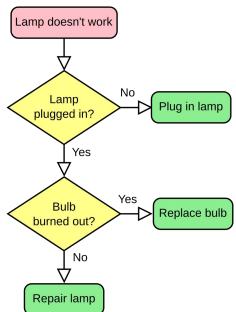
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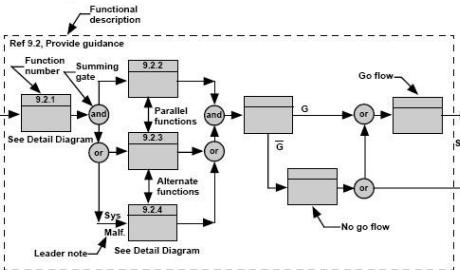
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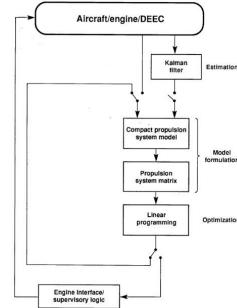
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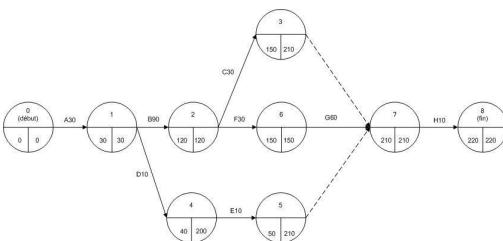
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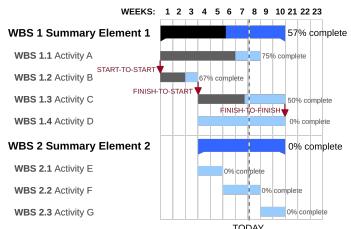
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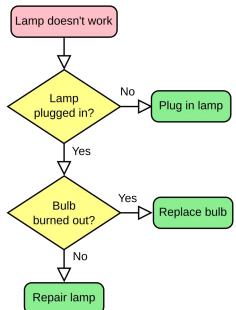
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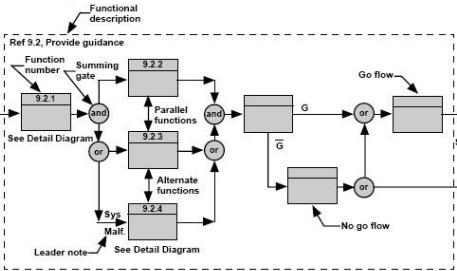
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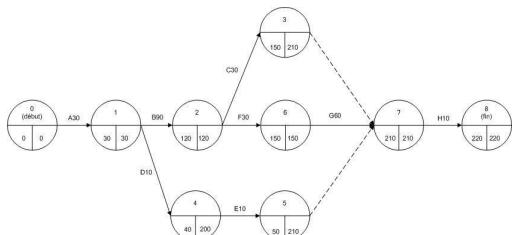
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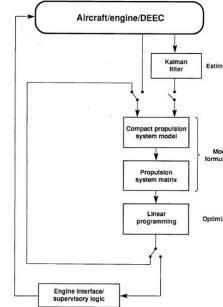
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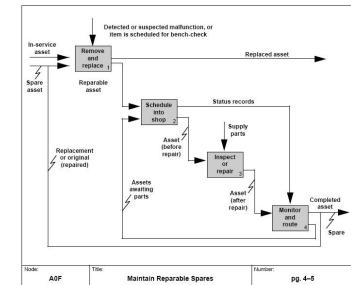
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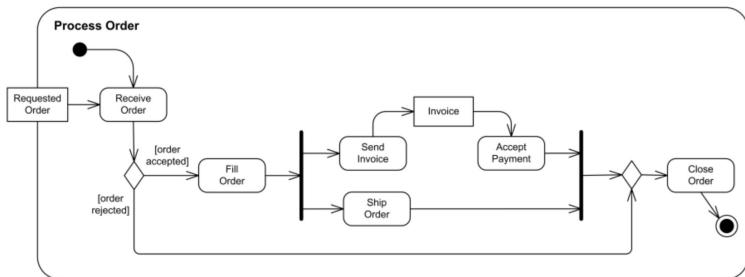


IDEF diagram, 197X

More recently, other notations emerged, such as the **Unified Modelling Language (UML)** [BRJ2000] and the **Business Process Management Notation (BPMN)** [OMG2011].

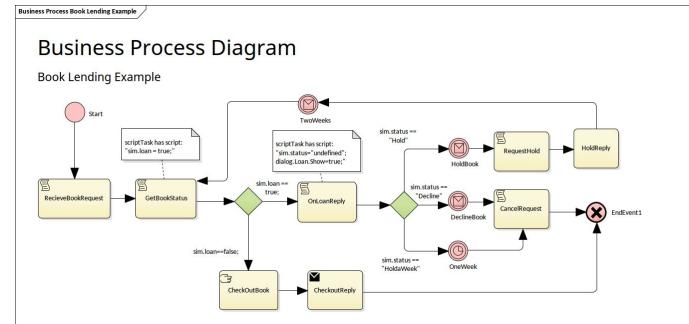
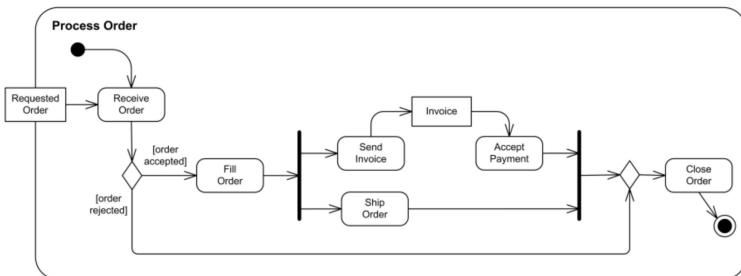
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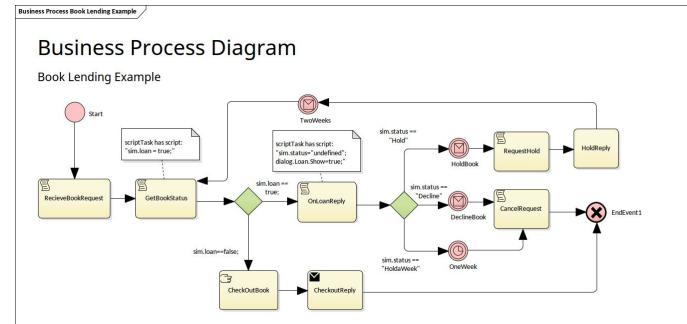
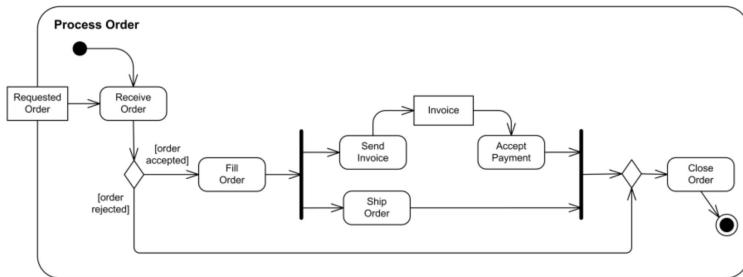
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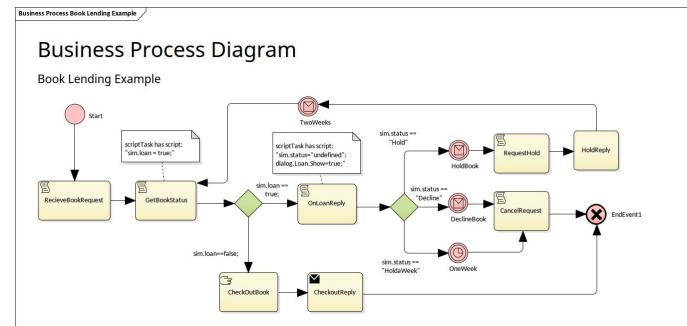
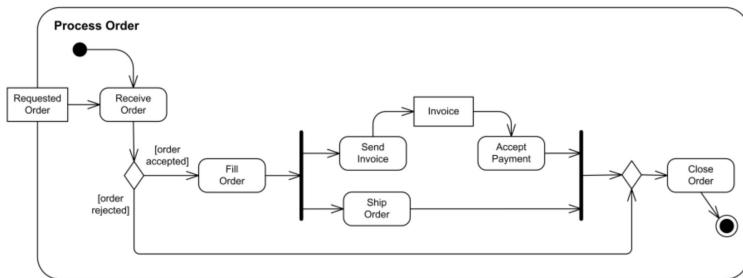
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Due to their **completeness** and **understandability**, both notations rapidly became widely used **worldwide standards**.

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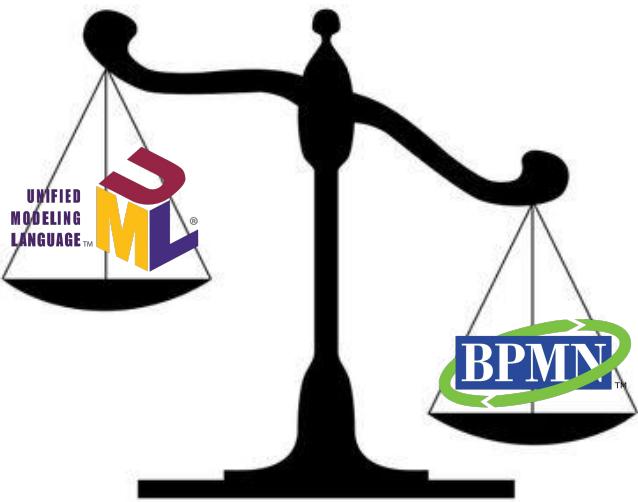
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Due to their **completeness** and **understandability**, both notations rapidly became widely used **worldwide standards**.

## A Little Bit of History: UML or BPMN?

Some research suggested that **BPMN was more suitable** than UML to represent business processes [White2004, NK2006, Weske2007].



Although being **refuted** afterwards [BKO2010, Geambasu2012], the seed was planted, and **many companies** and institutions started making **use** of the **BPMN** notation to represent their business processes.



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Although being **refuted** afterwards [BKO2010, Geambasu2012], the seed was planted, and **many companies** and institutions started making **use** of the **BPMN** notation to represent their business processes.





- A **workflow-based notation** created in 2004 by the Business Process Management Initiative (BPMI) and the Object Management Group (OMG).

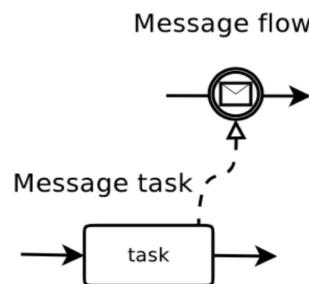
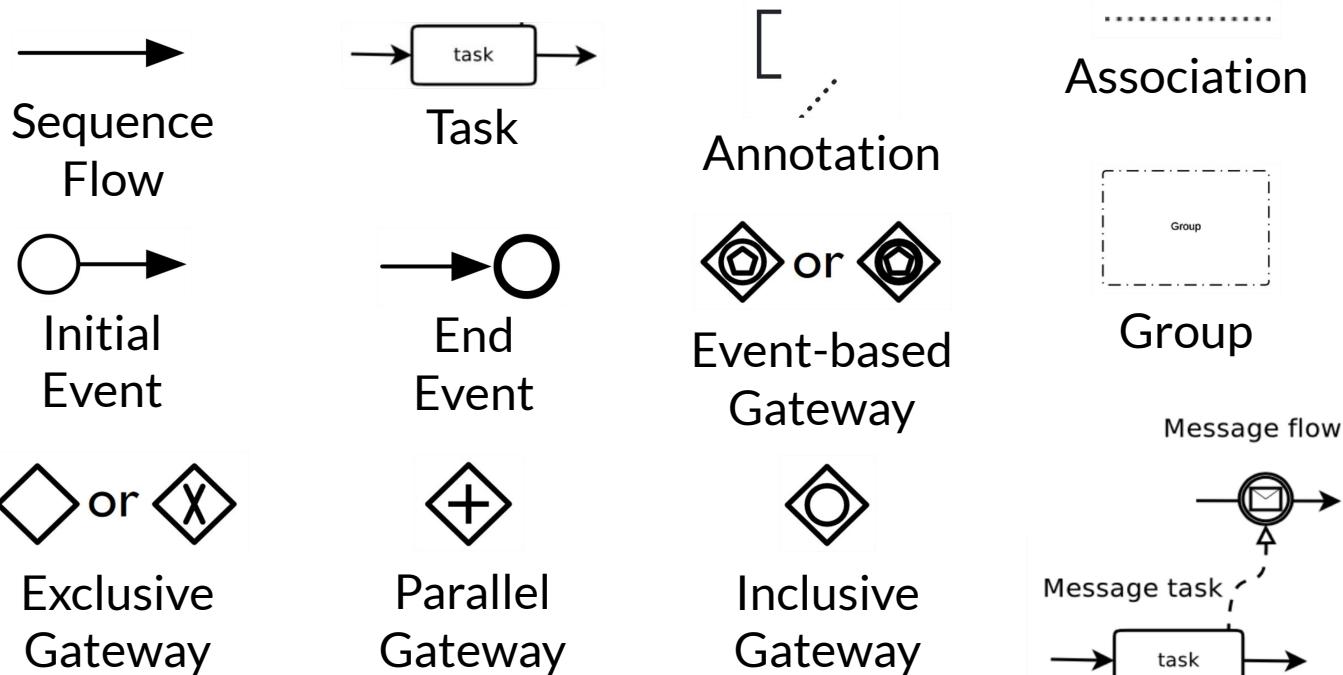


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- It aims at **representing business processes** in a way that is **understandable for both experienced and novice users**.



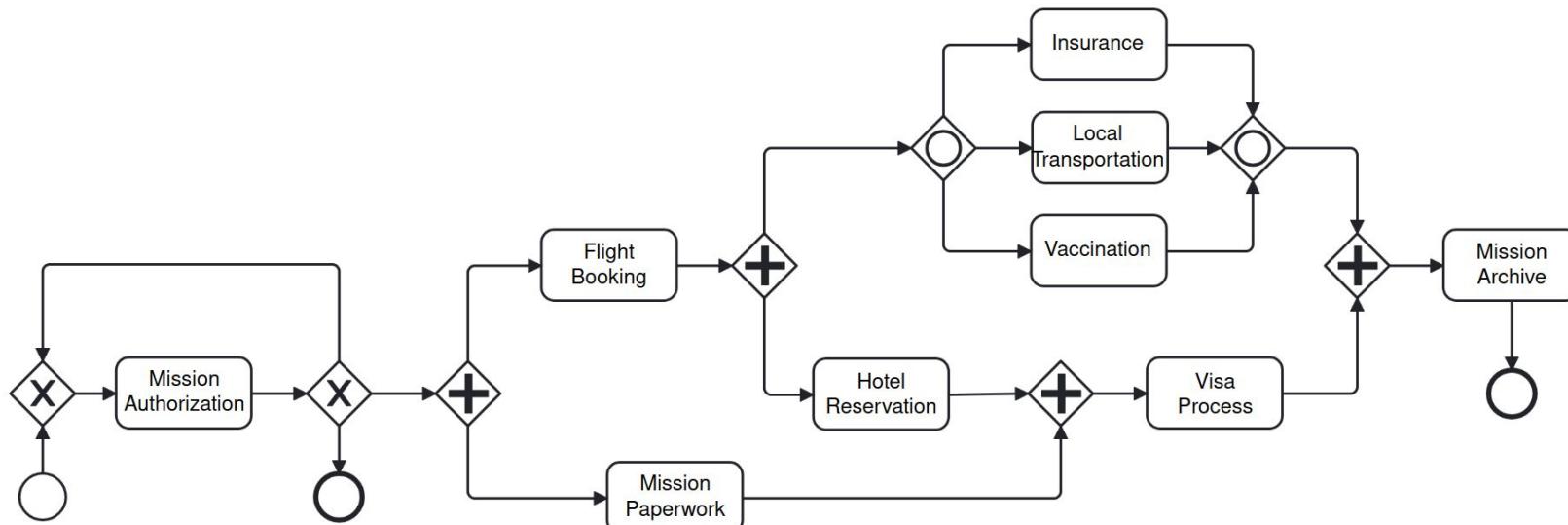
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- An **ISO/IEC standard** since version 2.0 in 2013.

# Excerpt of the BPMN Syntax

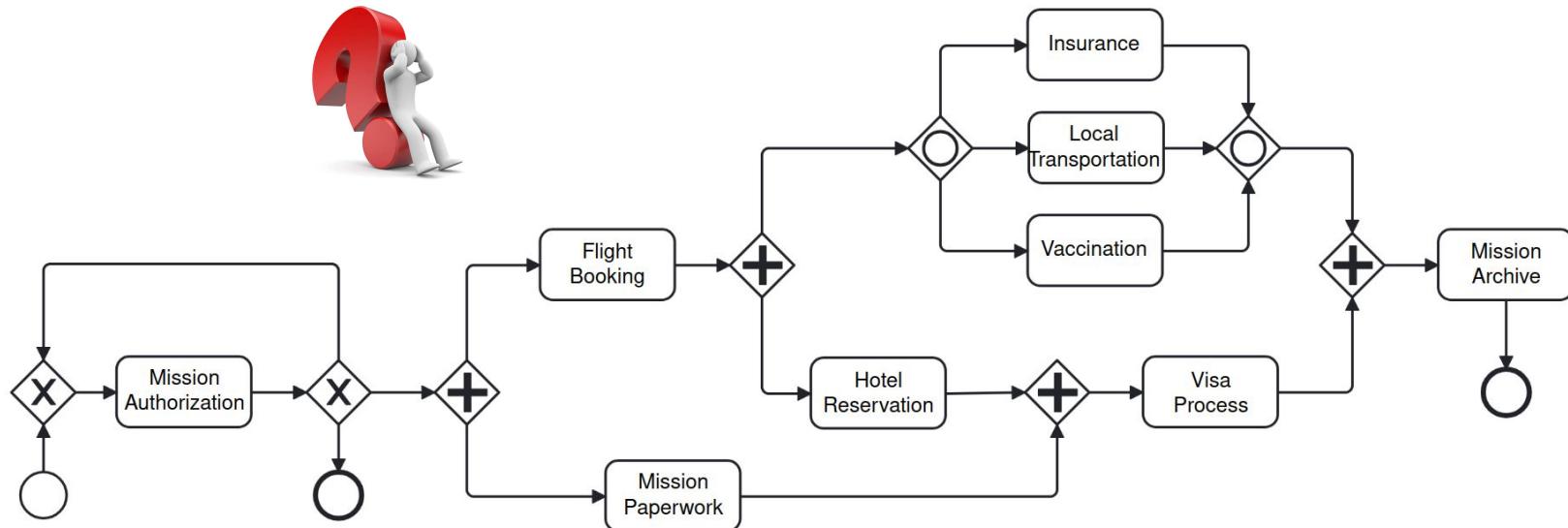


# Example of BPMN Process

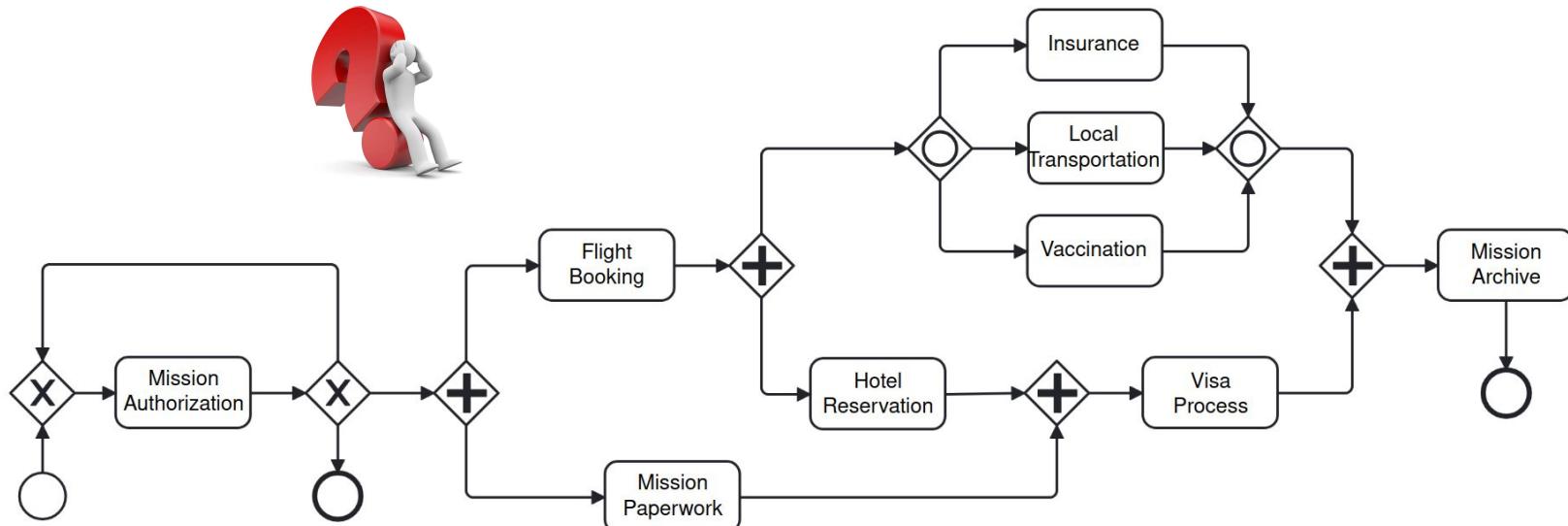
Given the BPMN syntax, one can, for instance, write a **business trip organization** process as follows:



# First Research Question



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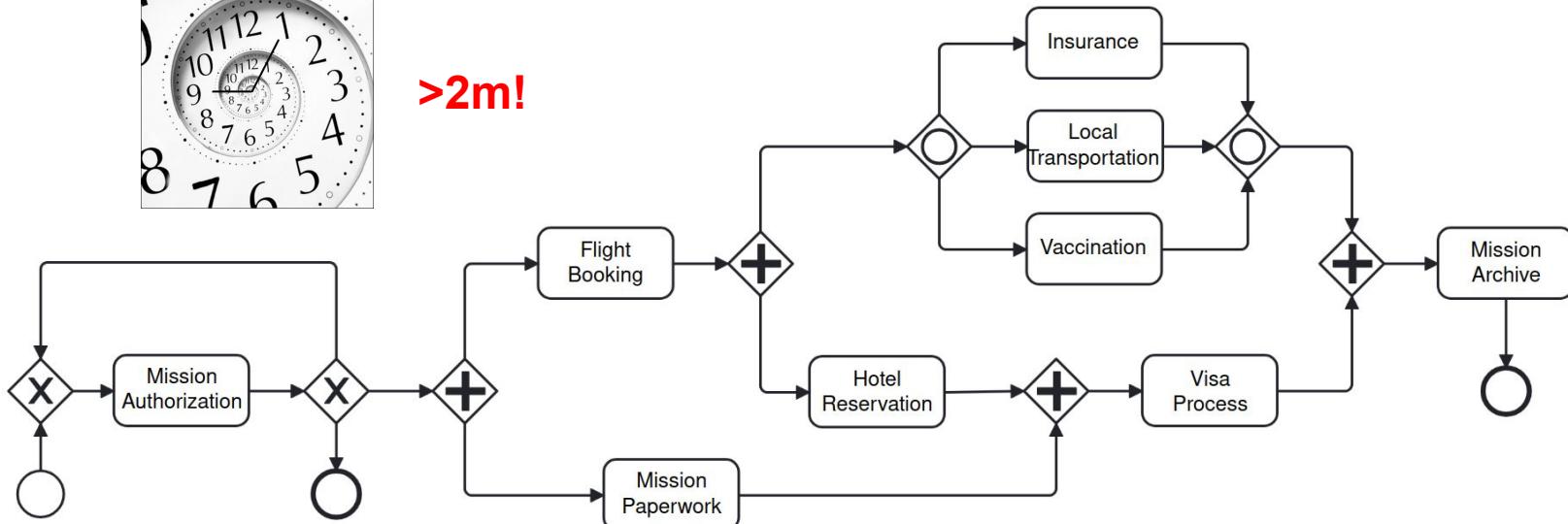


- What if you do not know **how to write BPMN** processes?

## Second Research Question



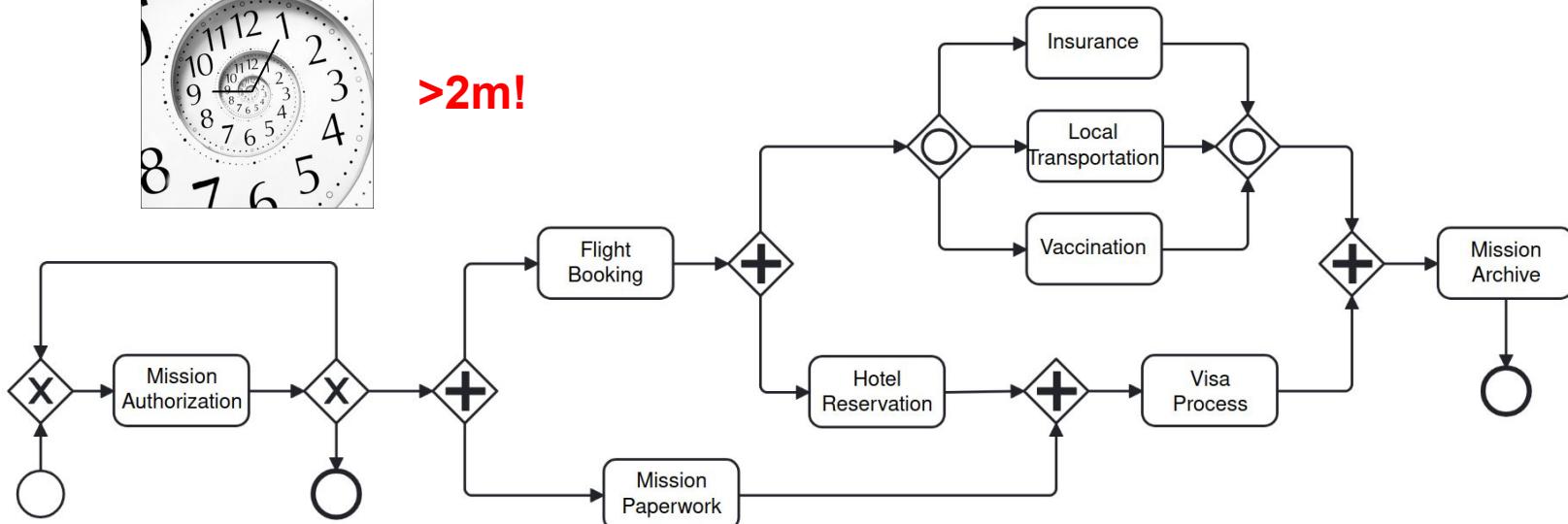
>2m!



## Second Research Question

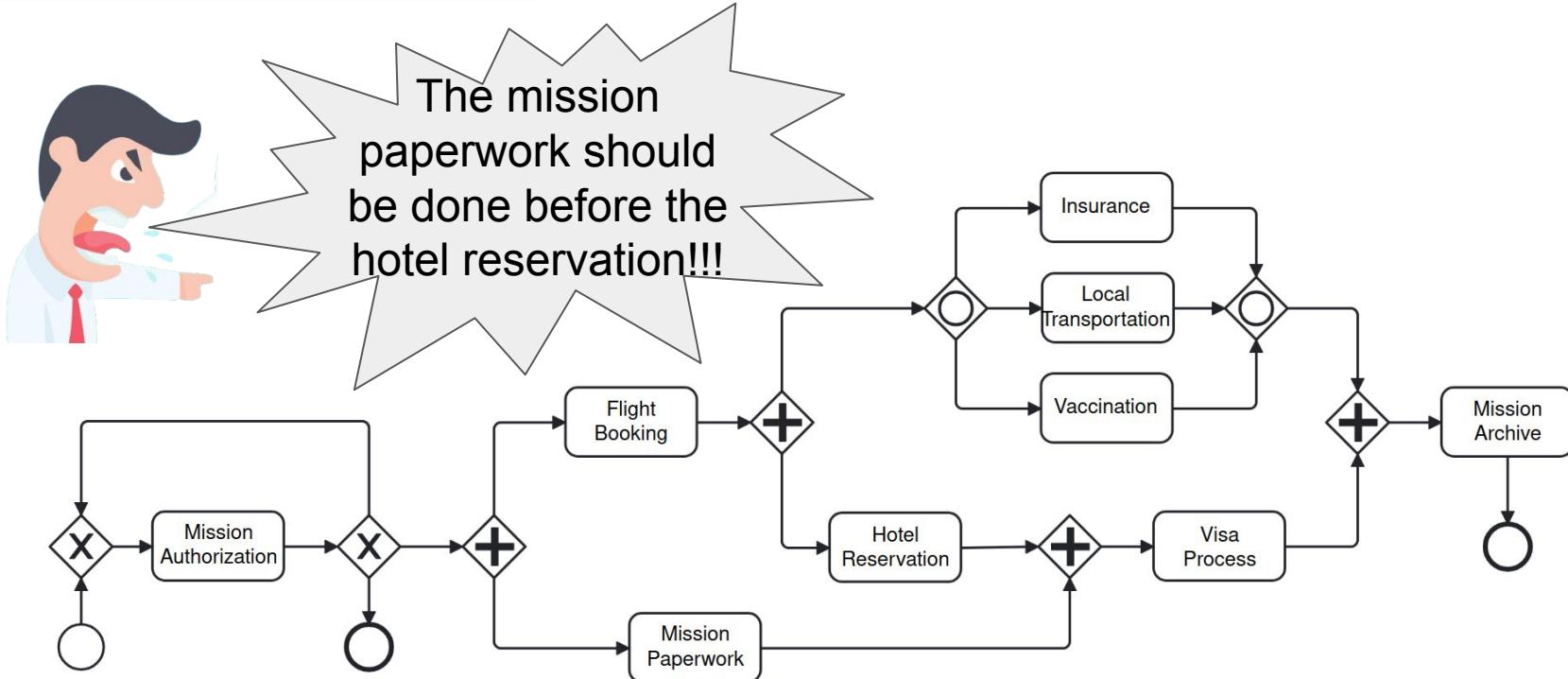


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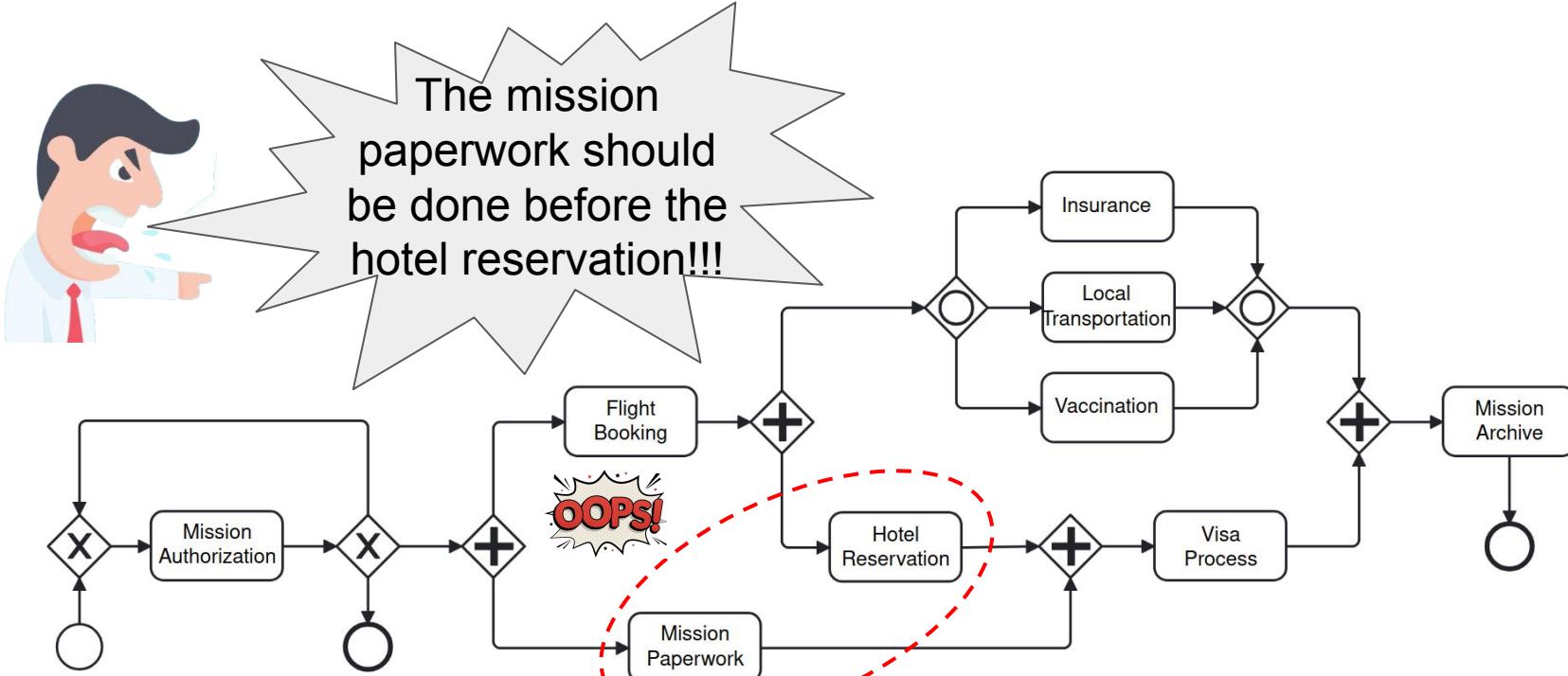


- What if you do not want to **spend time designing** your process graphically?

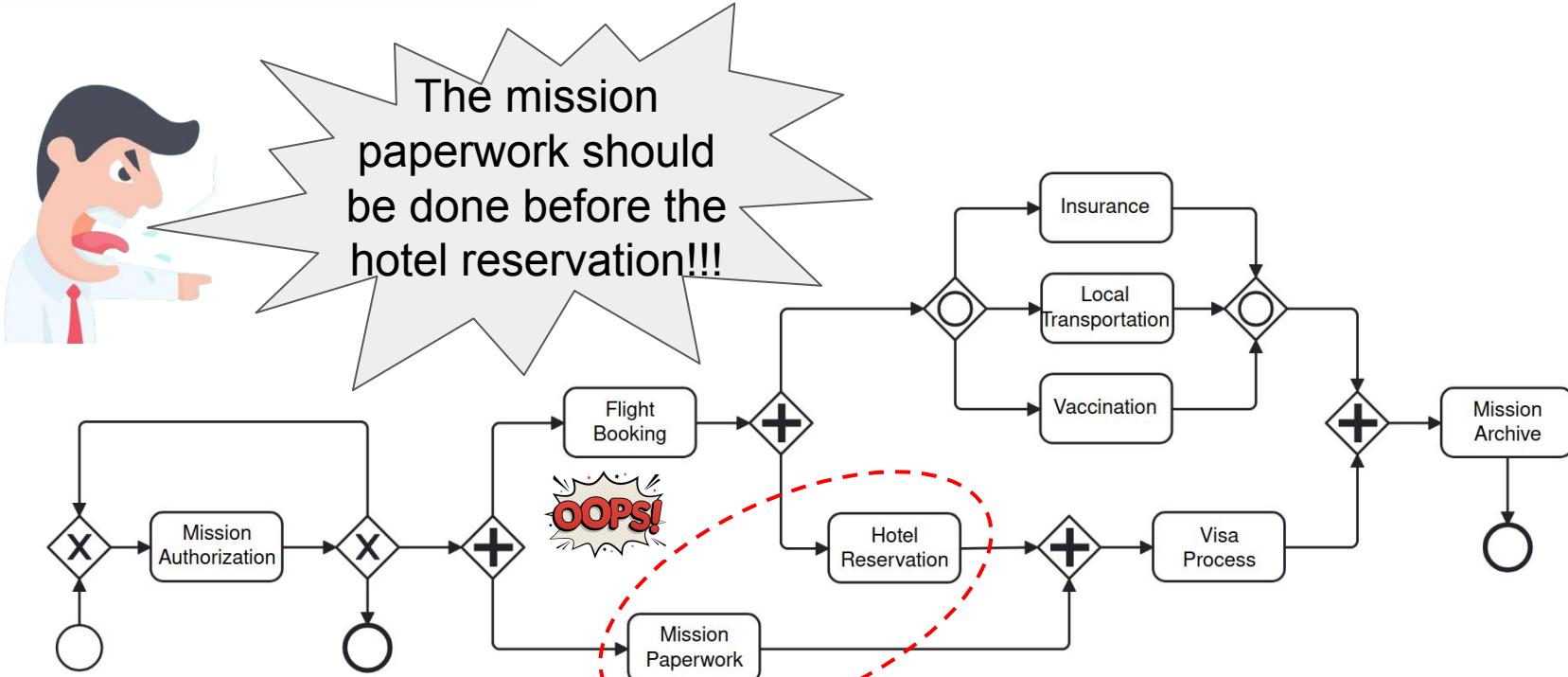
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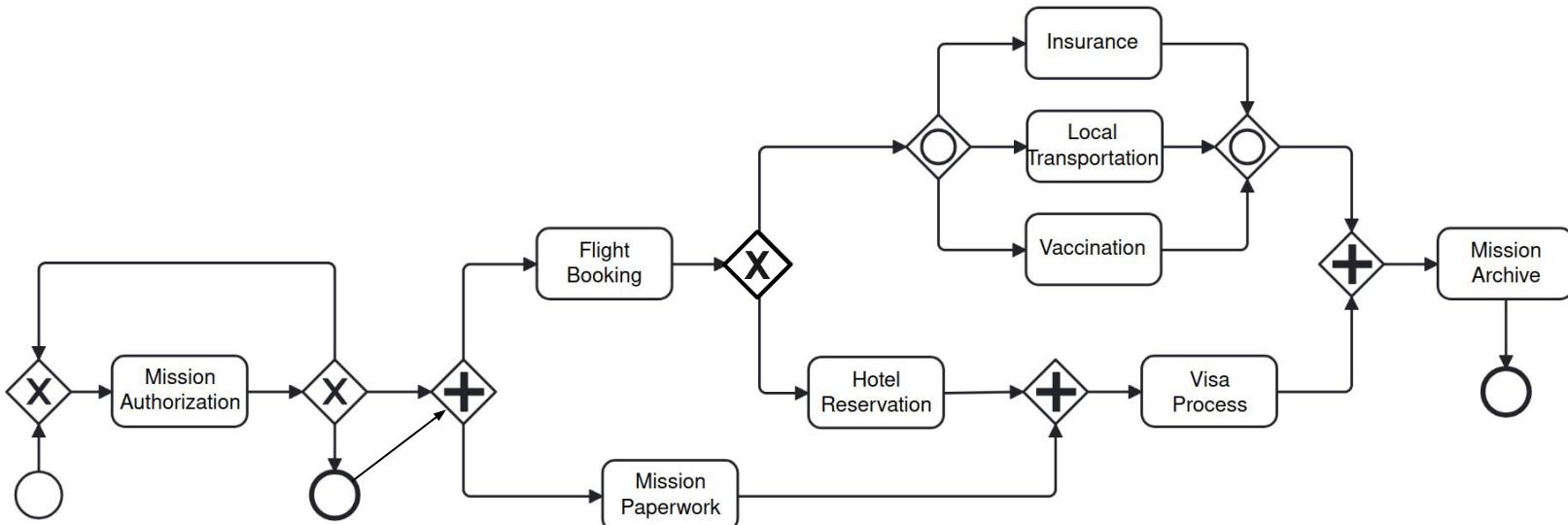


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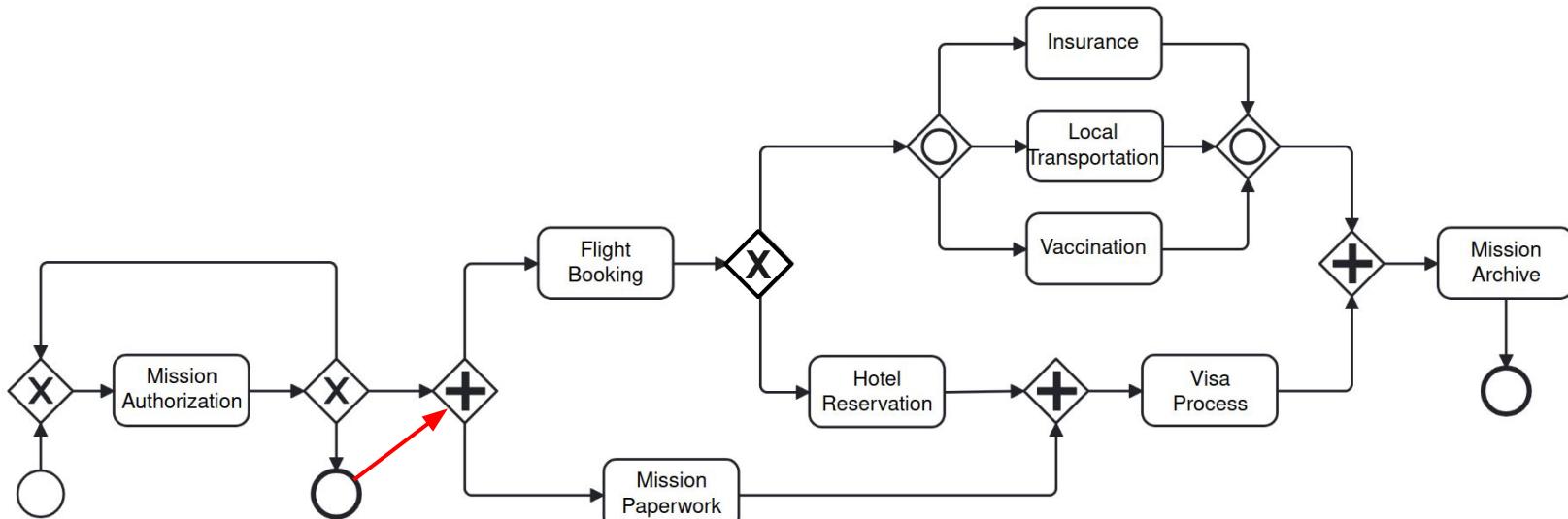


- How can you be sure that your BPMN process **matches its expected behaviour?**

# Fourth Research Question

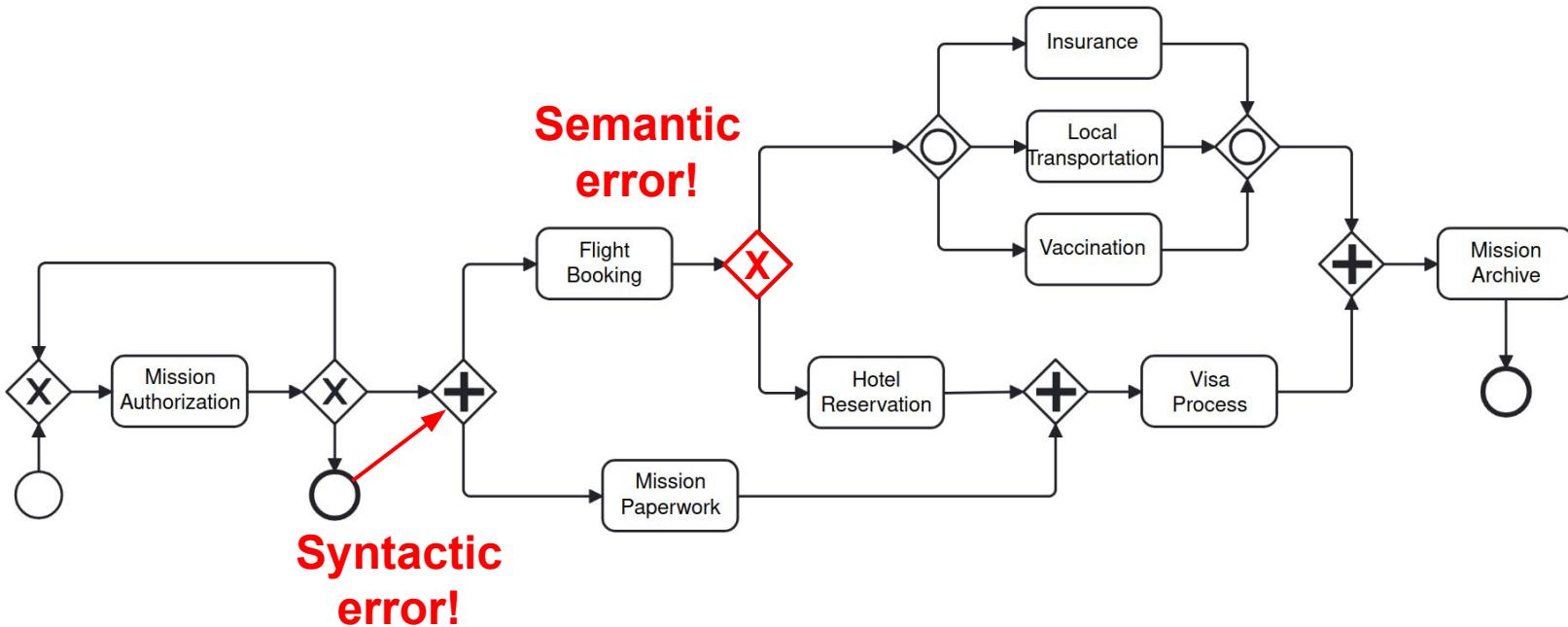


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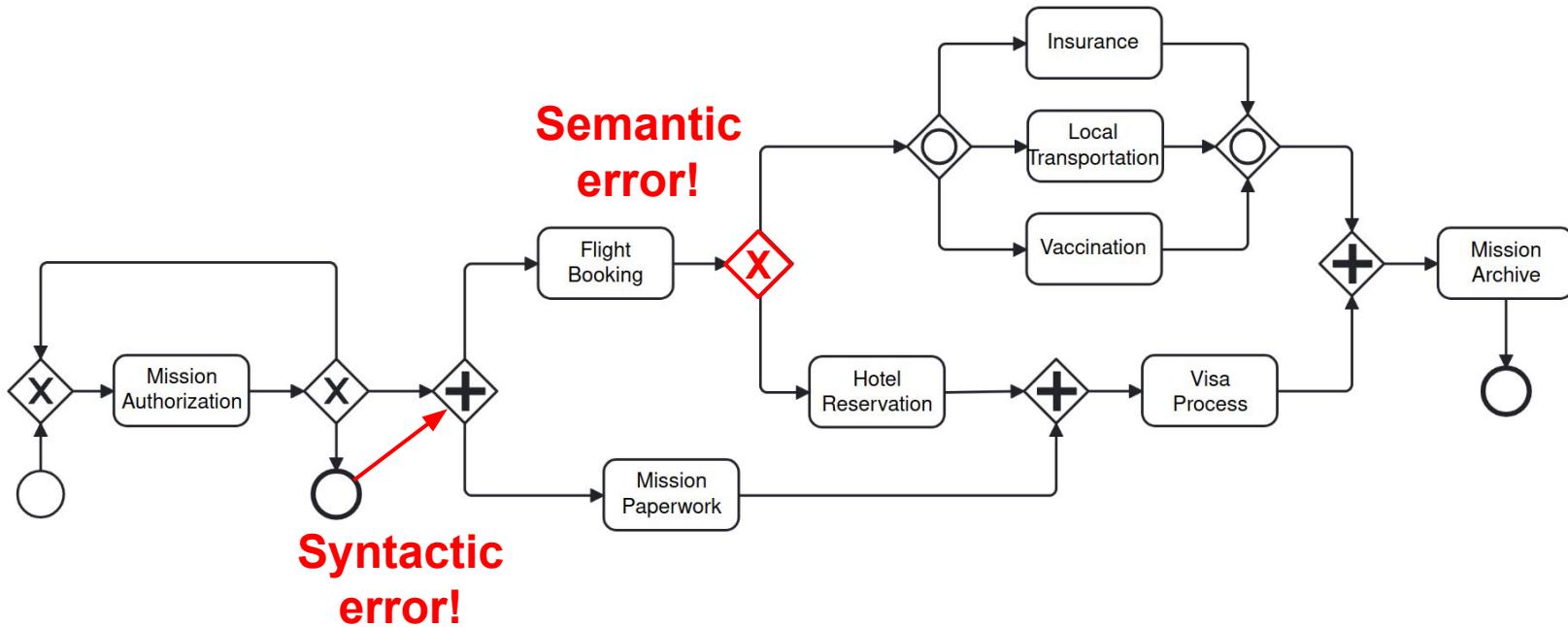


Syntactic  
error!

# Fourth Research Question

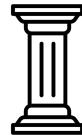


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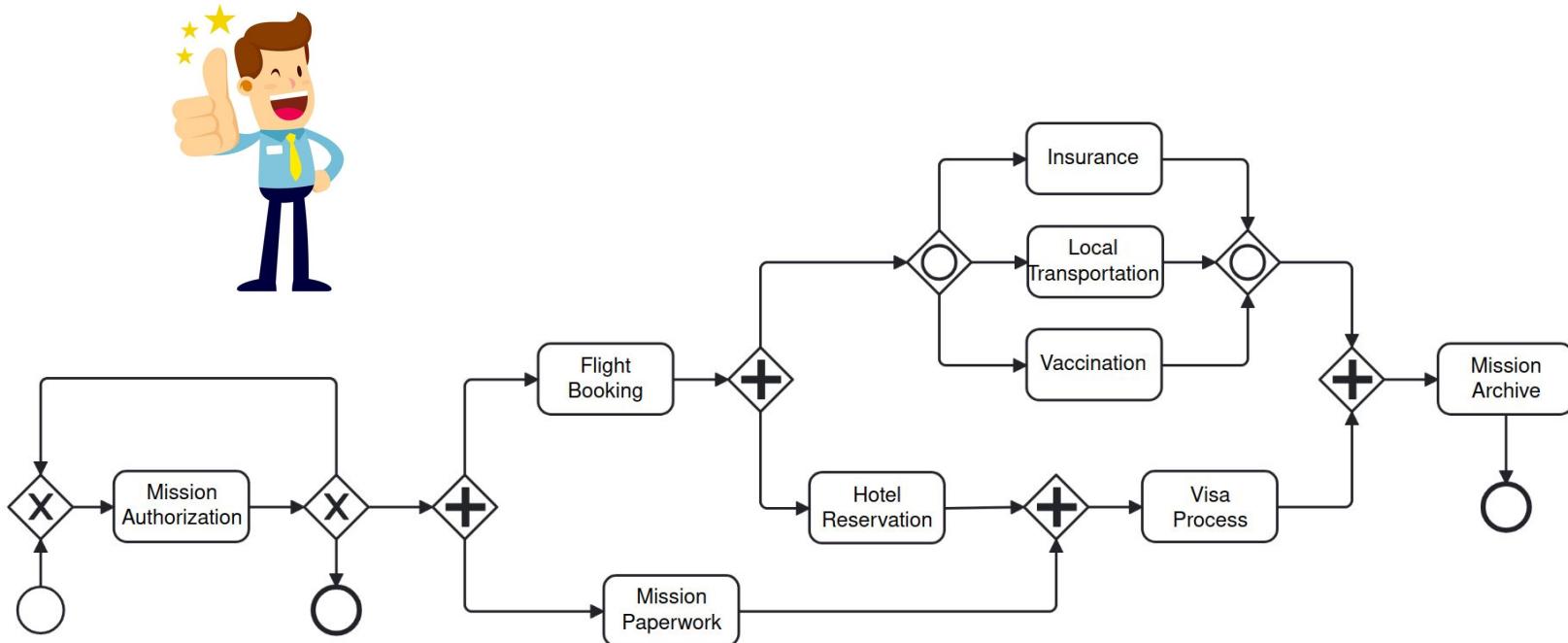


- How can you be sure that your BPMN process is **syntactically** and **semantically correct**?

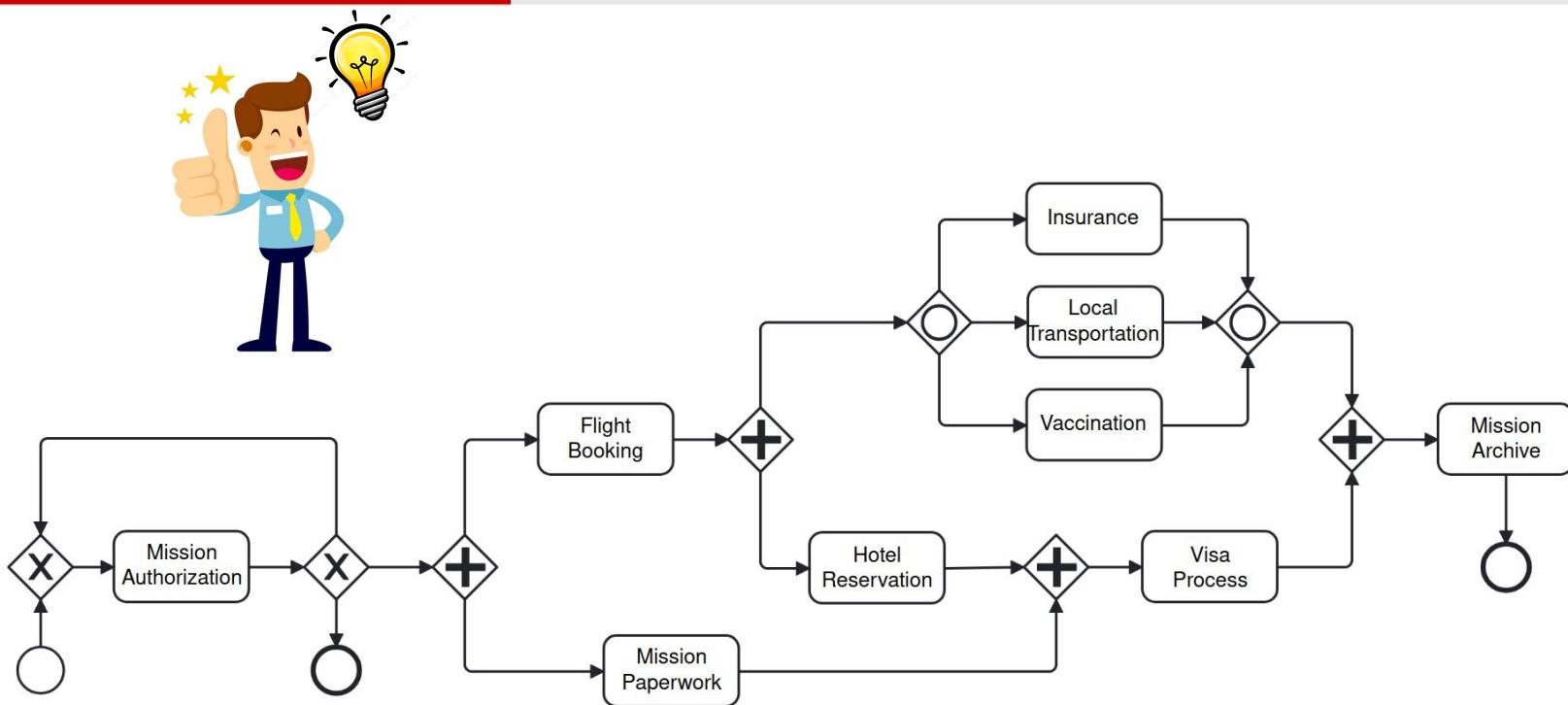
- What if you do not know **how to write BPMN?**
- What if you do not want to **spend time designing** your process graphically?
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- How can you be sure that your BPMN process is **syntactically and semantically correct?**



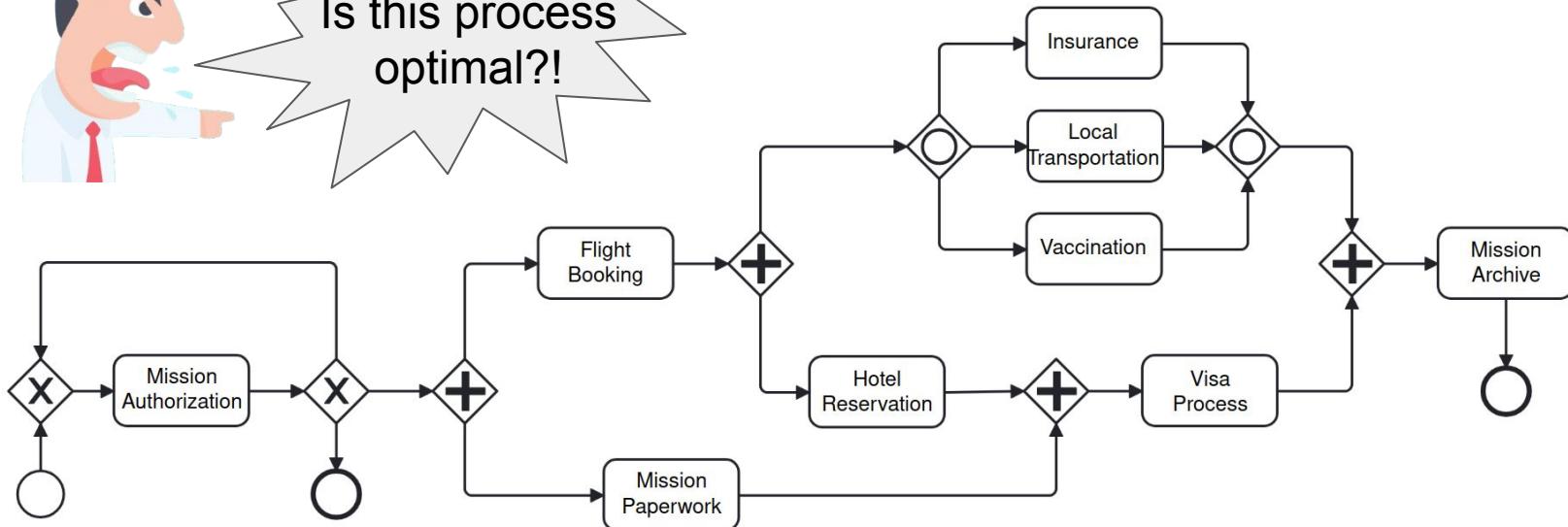
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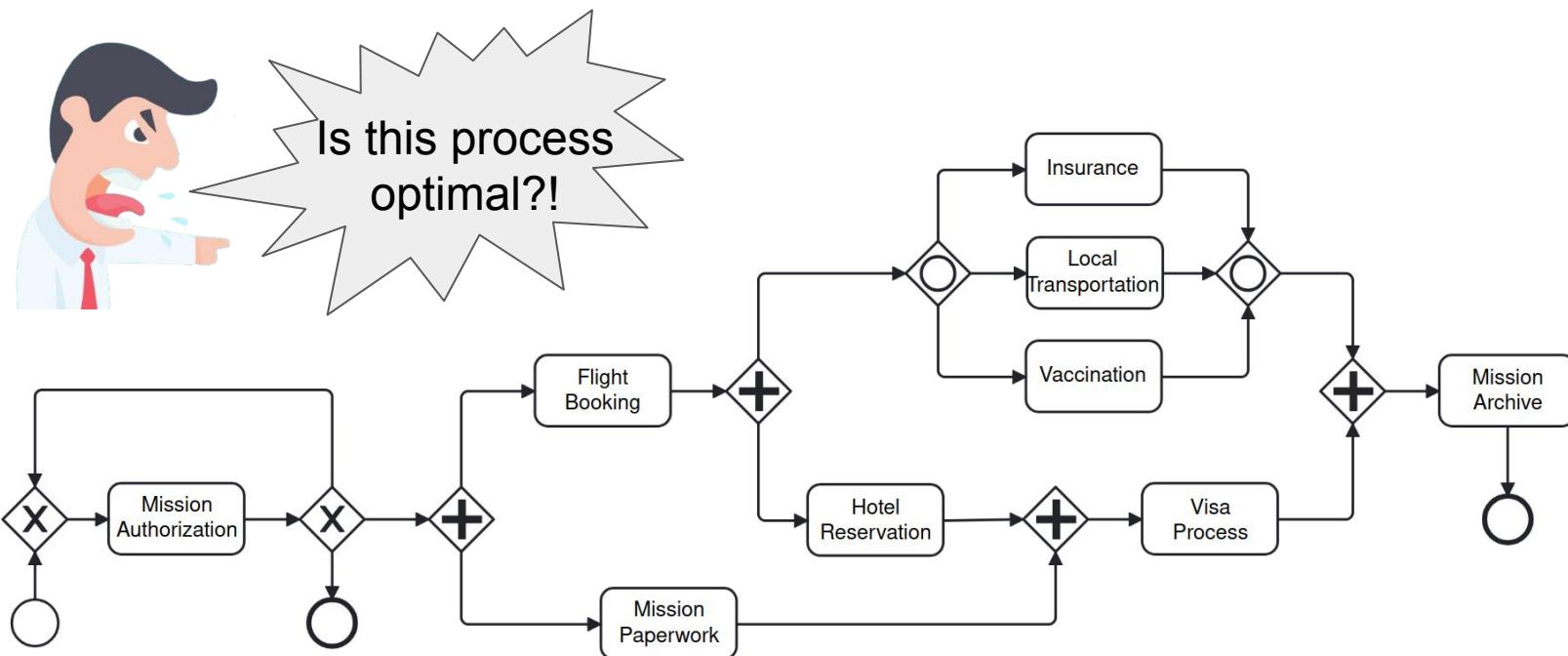
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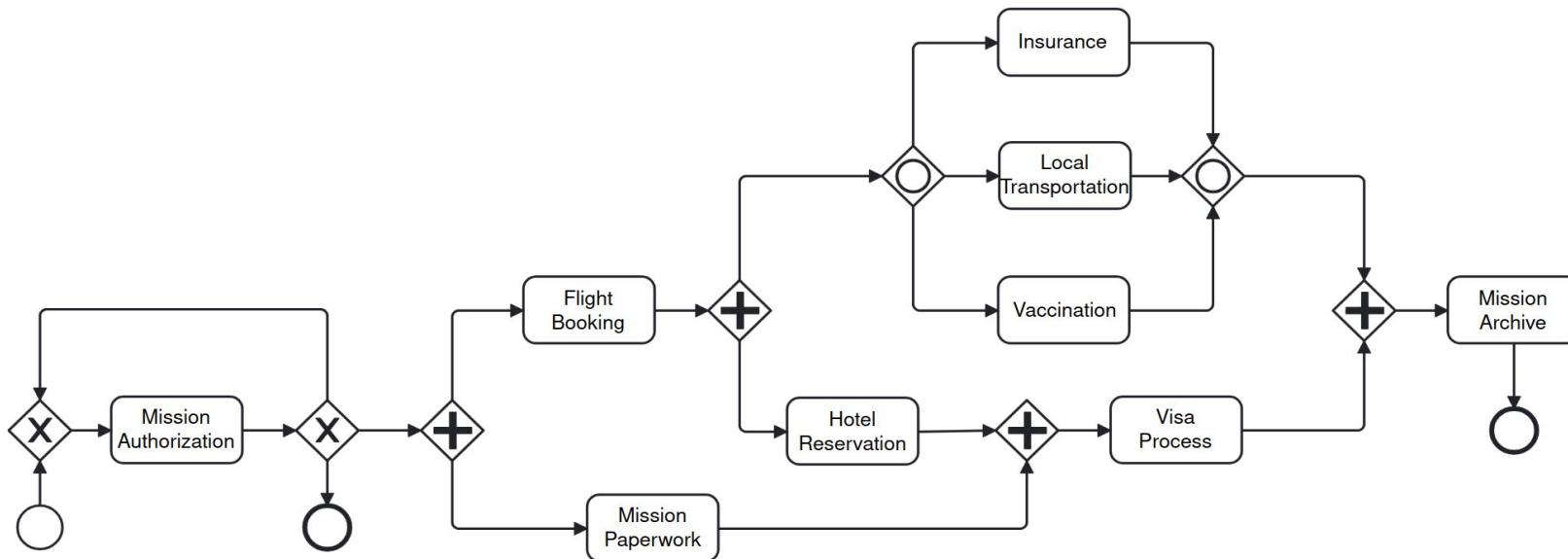
# Fifth Research Question



➤ In the **resource-free, durations-free, single instance** context, yes!

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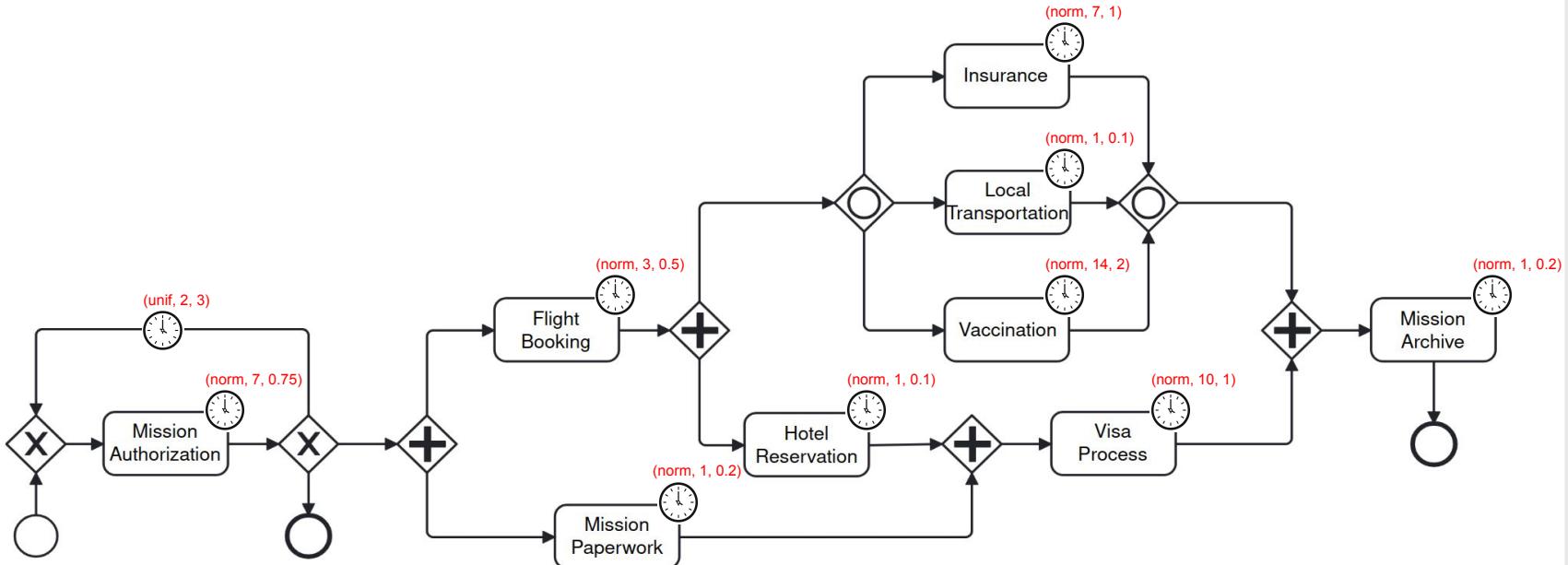
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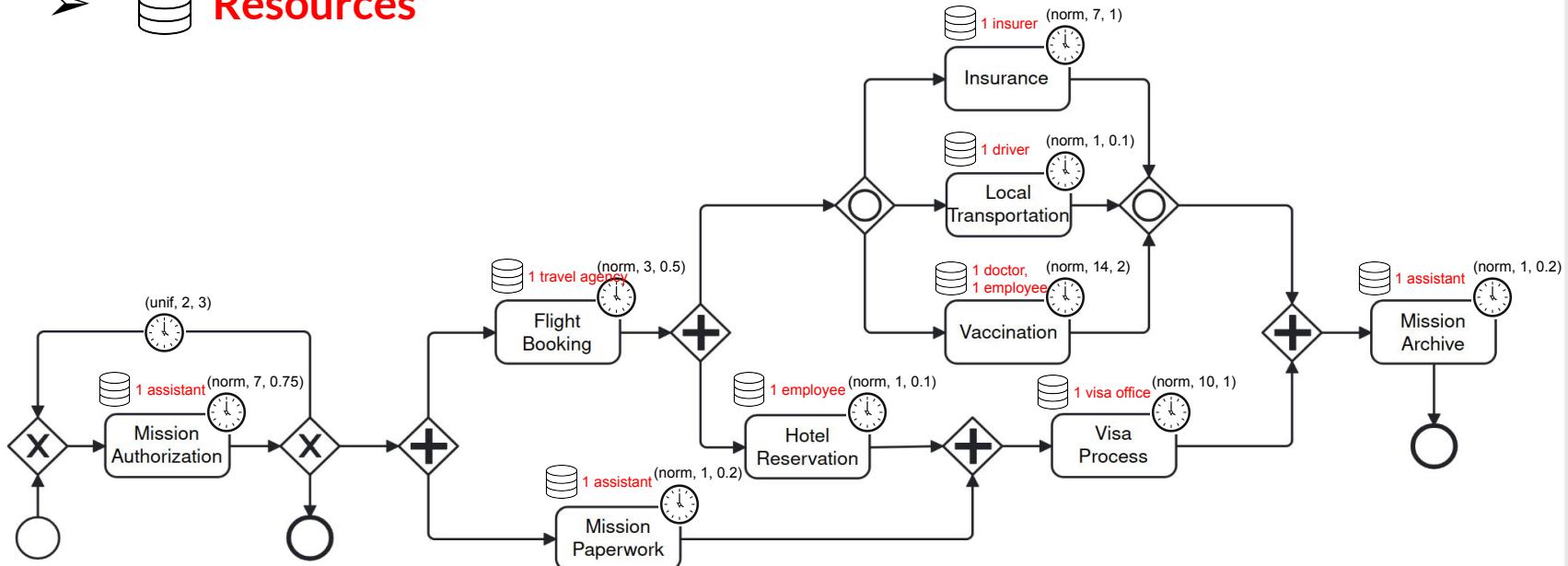
-  **Durations** (following probabilistic distributions)



# Fifth Research Question

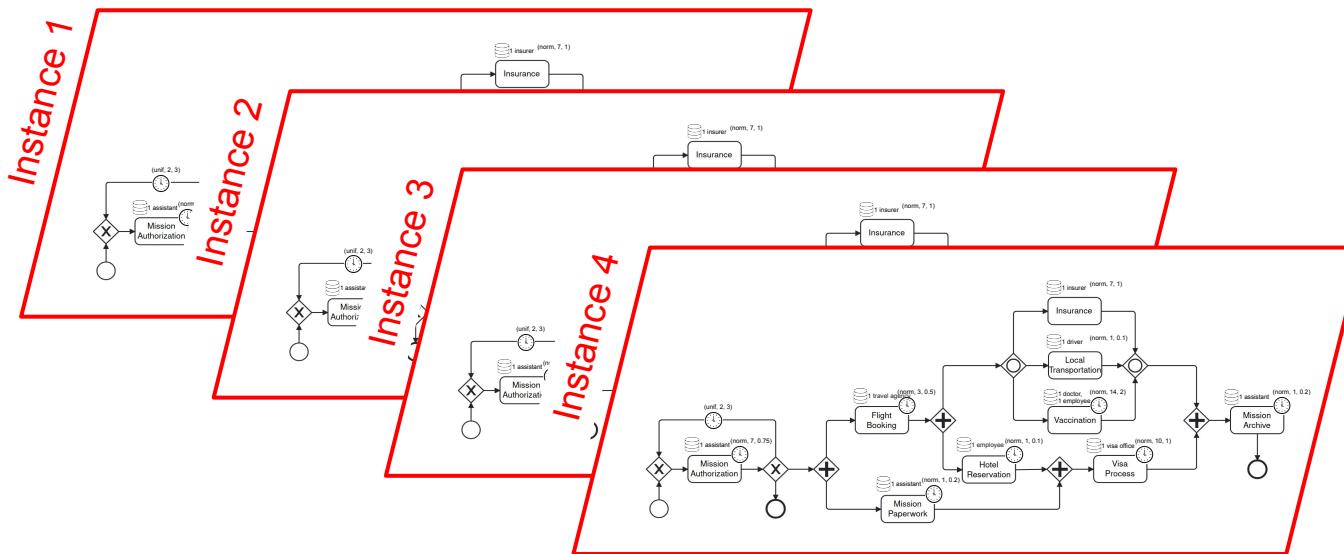
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But what if we enrich the process with:

-  Durations (following probabilistic distributions)
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- **Multiple Simultaneous Executions**



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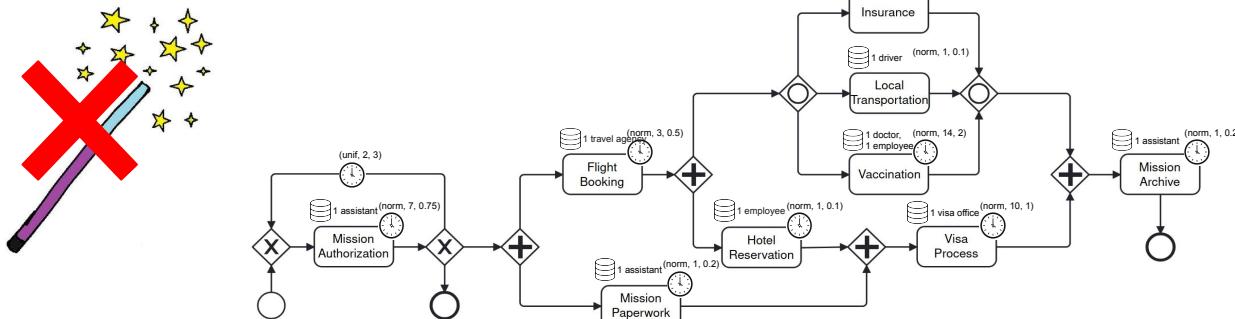
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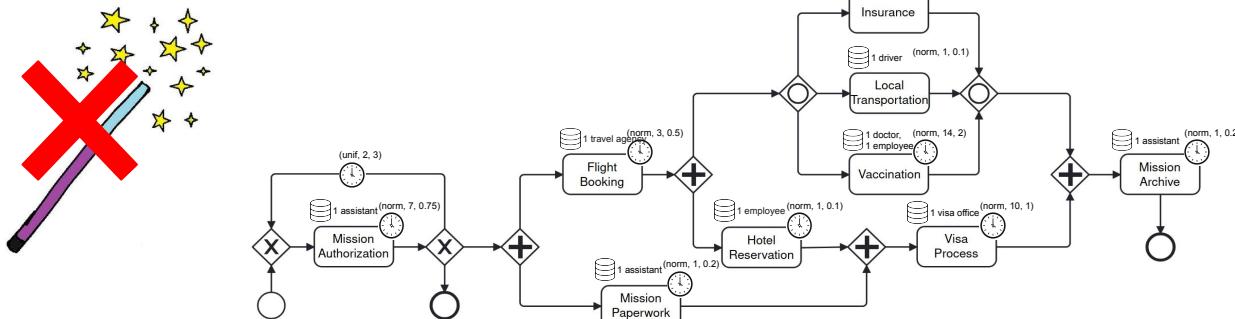
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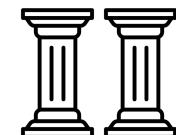
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- How can you **optimise** a BPMN process in real-world conditions?

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- How can you be sure that your BPMN process matches its expected behaviour?
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# Published Results



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[ICSOC'24]

[FSE'25]

[TSE'25]\*

[SEFM'23]

[QRS'24]

[SoSyM'25]\*



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# Focus of this Presentation



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## I/ Introduction

## II/ Modelling BPMN Processes

II.1/ Introduction

II.2/ Textual Description

II.3/ LLM Prompting

II.4/ Expressions

II.5/ Mapping to ASTs

II.6/ Dependency Graph  
Construction

II.7/ BPMN Process Construction  
& Refinement

II.8/ Tool & Experiments

II.9/ Conclusion

## III/ Optimising BPMN Processes

III.1/ Introduction

III.2/ Selection of the Processes

III.3/ Mutation of the Processes

III.4/ Comparison of the  
Processes

III.5/ Tool & Experiments

III.6/ Conclusion

## IV/ Related Work

## V/ General Conclusion

## VI/ References

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## Textual Representation of the Process

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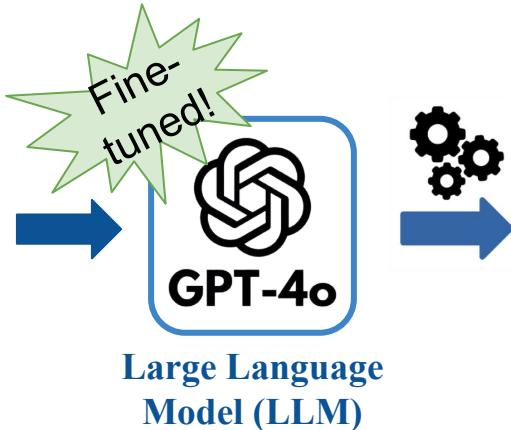
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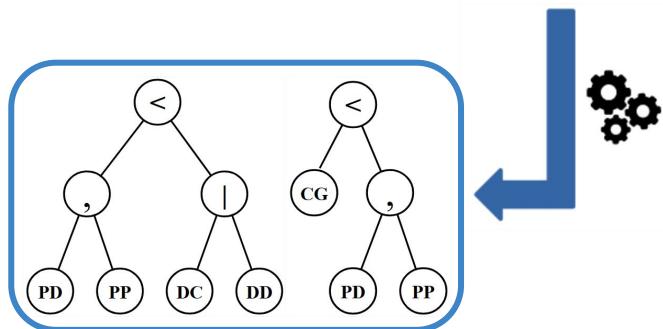
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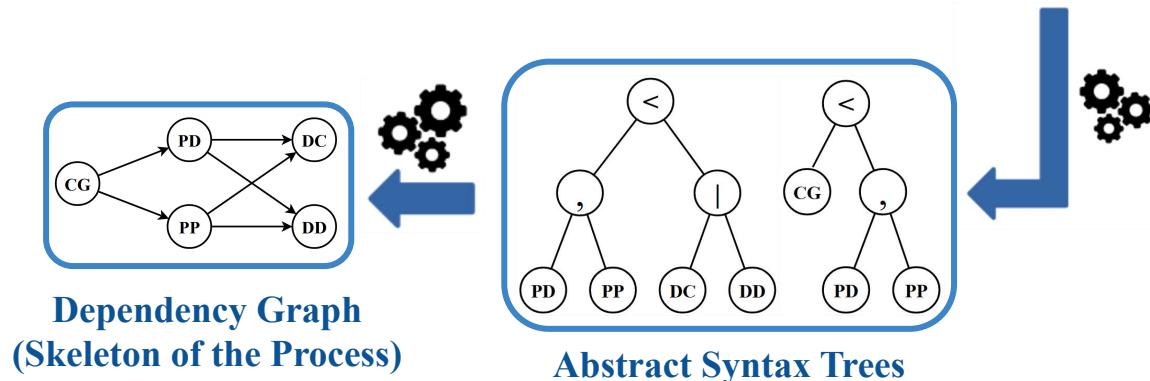
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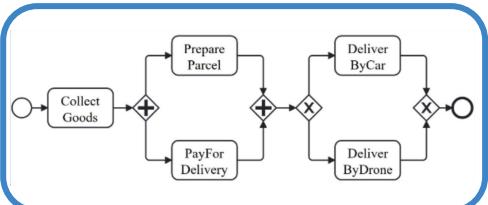
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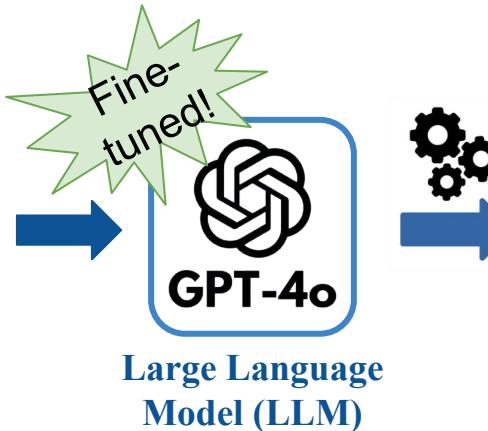
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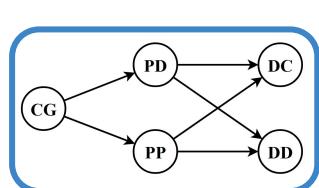
BPMN Process



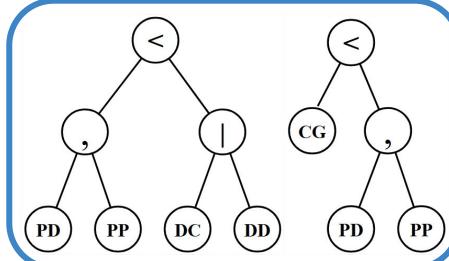
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Dependency Graph (Skeleton of the Process)



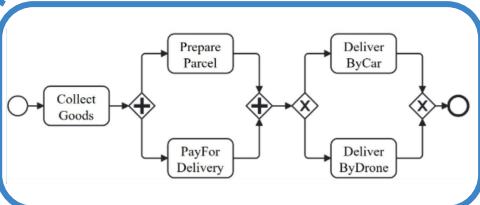
Abstract Syntax Trees

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Textual Representation  
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Refinement



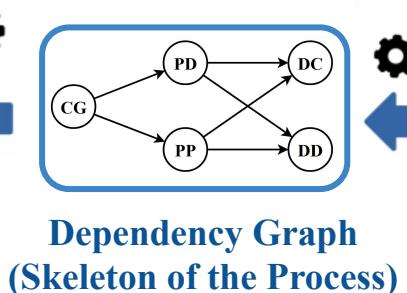
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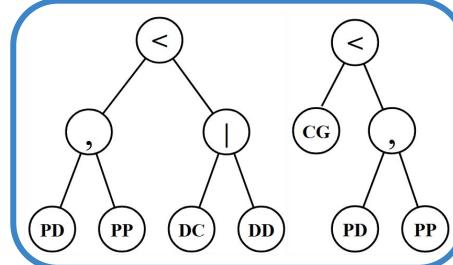
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Expressions Following  
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Dependency Graph  
(Skeleton of the Process)



Abstract Syntax Trees

The user first has to write a **textual description** of the process-to-be.

First, the developer StartFeatureManagementSoftware (**StFMS**).

Then, he DescribeNewFeatureRequirements (**DNFR**). After that, the staff ValidateInternally (**VI**), and the client ValidateExternally (**VE**). Once the feature has been validated internally, the developer can CreateNewFeatureBranch (**CNFB**). Once the feature is completely validated (internally and externally), the staff can StartTechnicalDesign (**STD**). Instead of describing a new feature, validate it, create a new branch and start technical design, the developer can also LoadCurrentlyDevelopedFeature (**LCDF**). The FeatureDevelopment (**FD**) then eventually starts, followed by a DebuggingPhase (**DP**) useful to chase possible bugs before releasing the feature. This phase leads either to a BugCaseOpening (**BCO**), or to ReleaseFeature (**RF**) if no bug was found. If a bug case is opened, three different operations may start: either the first support level initiates a FirstStageDebugPhase (**FSDP**), which eventually leads to ClosingFirstLevelRequest (**CFLR**), or the second support level initiates a SecondStageDebugPhase (**SSDP**), which eventually leads to ClosingSecondLevelRequest (**CSLR**), or the third support level initiates a ThirdStageDebugPhase (**TSDP**), which eventually leads to ClosingThirdLevelRequest (**CTLR**). Once these phases are closed, either there is no bug anymore to correct, and the ReleaseFeature task (**RF**) occurs, or a new bug is found, leading to DebuggingPhase (**DP**) again. Also, the FirstStageDebugPhase (**FSDP**), SecondStageDebugPhase (**SSDP**) and ThirdStageDebugPhase (**TSDP**) and their closing can be repeated until a bug is properly corrected. Once ReleaseFeature (**RF**) occurred, the developer can either ShutdownFeatureManagementSoftware (**ShFMS**), or start again with the task DescribeNewFeatureRequirements (**DNFR**).

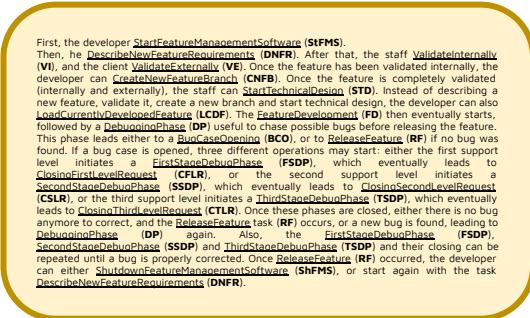
## Detailed Approach – Step 2 – LLM Prompting

The textual description is then **given to a (fine-tuned) LLM** (GPT-4o atm).

First, the developer `StartFeatureManagementSoftware (StFMS)`. Then, he `DescribeNewFeatureRequirements (DNFR)`. After that, the staff `ValidateInternally (Vi)`, and the client `ValidateExternally (Ve)`. Once the feature has been validated internally, the developer `CreateNewFeature (CNF)`. At this point, the feature is considered validated internally and externally. The staff can `StartTechnicalDesign (STD)`. Instead of describing a new feature, validate it, create a new branch and start technical design, the developer can also `LoadCurrentlyDevelopedFeature (LCDF)`. The `FeatureDevelopment (FD)` then eventually starts, followed by a `DebuggingPhase (DP)` useful to chase possible bugs before releasing the feature. This phase leads either to a `BugCaseOpening (BCO)`, or to `ReleaseFeature (RF)` if no bug was found. If a bug case is opened, three different operations may start: either the first support level initiates a `FirstStageDebugPhase (FSDP)`, which eventually leads to `ClosingBugRequest (CBR)`; the second support level initiates a `SecondStageDebugPhase (SSDP)`, which eventually leads to `ClosingSecondLevelRequest (CSLR)`; or the third support level initiates a `ThirdStageDebugPhase (TSDP)`, which eventually leads to `ClosingThirdLevelRequest (CTLR)`. Once these phases are closed, either there is no bug anymore to correct, and the `ReleaseFeature` task (`RF`) occurs, or a new bug is found, leading to `DebuggingPhase (DP)` again. Also, the `FirstStageDebugPhase (FSDP)`, `SecondStageDebugPhase (SSDP)` and `ThirdStageDebugPhase (TSDP)` and their closing can be repeated until a bug is properly corrected. Once `ReleaseFeature (RF)` occurred, the developer can either `ShutdownFeatureManagementSoftware (ShFMS)`, or start again with the task `DescribeNewFeatureRequirements (DNFR)`.



The textual description is then **given to a (fine-tuned) LLM** (GPT-4o atm).



The LLM processes the description and returns a **set of expressions** following an **internal grammar**.

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Given our description, the LLM returns **ten expressions**:

## Detailed Approach – Step 3 – Expressions

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VI < CNFB

(VI, VE) < STD

(STD, CNFB) < (FD < DP)

(DNFR, VI, VE, CNFB, STD) | LCDF

DP < (BCO | RF)

BCO < ((FSDP < CFLR) | (SSDP < CSLR) | (TSDP < CTLR))

(CFLR, CSLR, CTLR) < (RF | DP)

(FSDP, SSDP, TSDP, CFLR, CSLR, CTLR)\*

RF < (ShFMS | DNFR)

## Detailed Approach – Step 3 – Expressions

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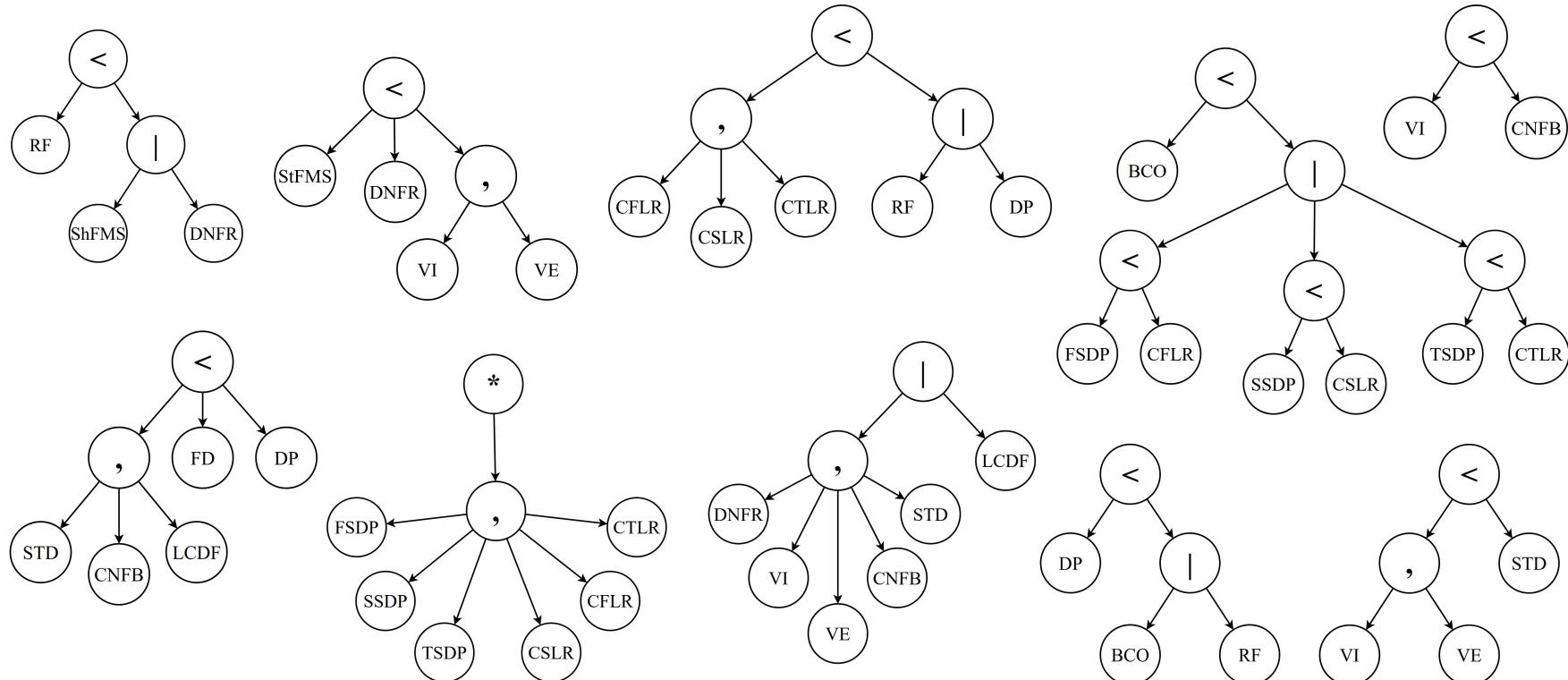
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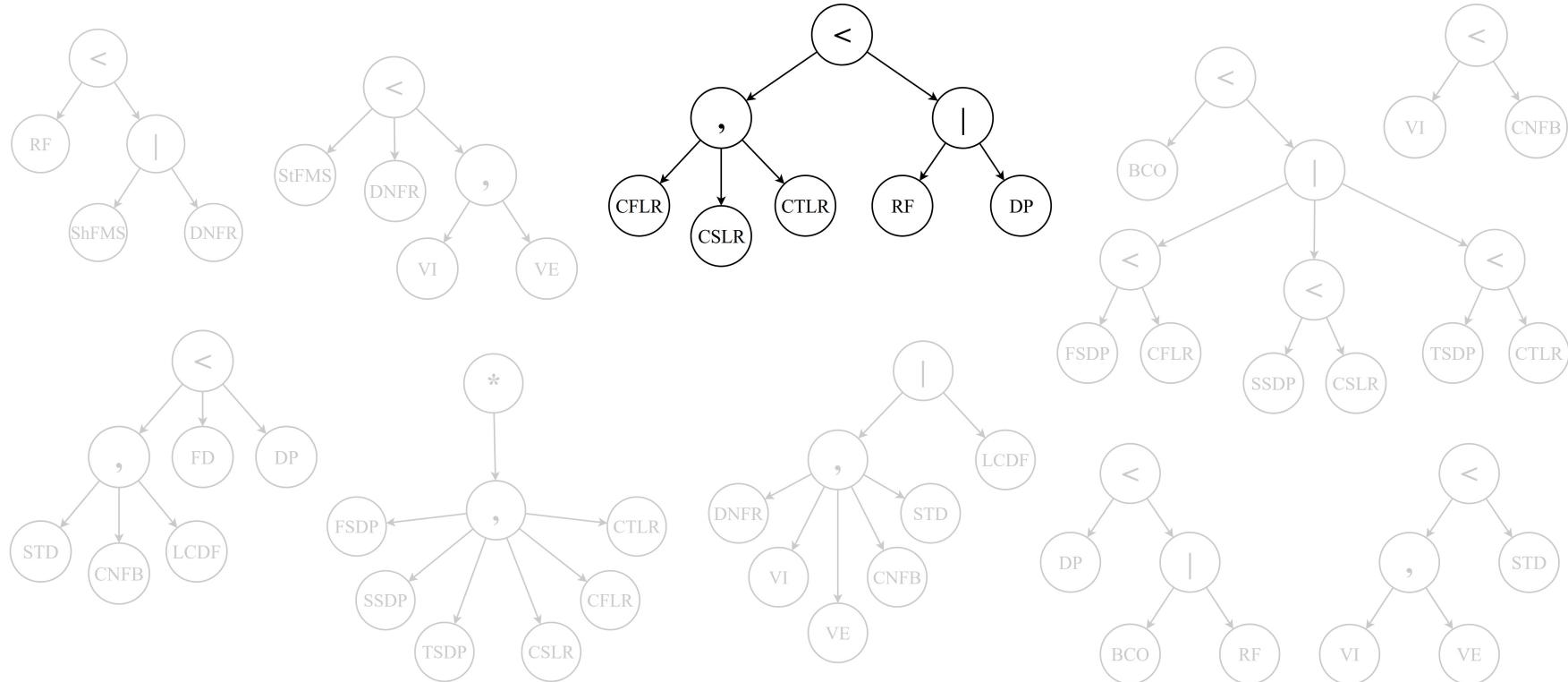
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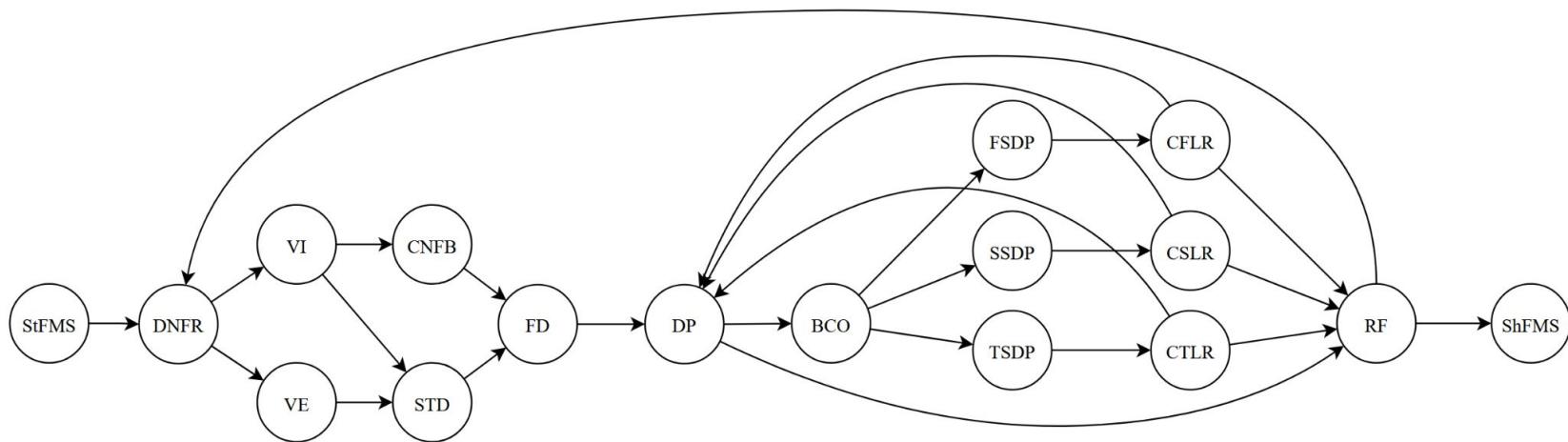


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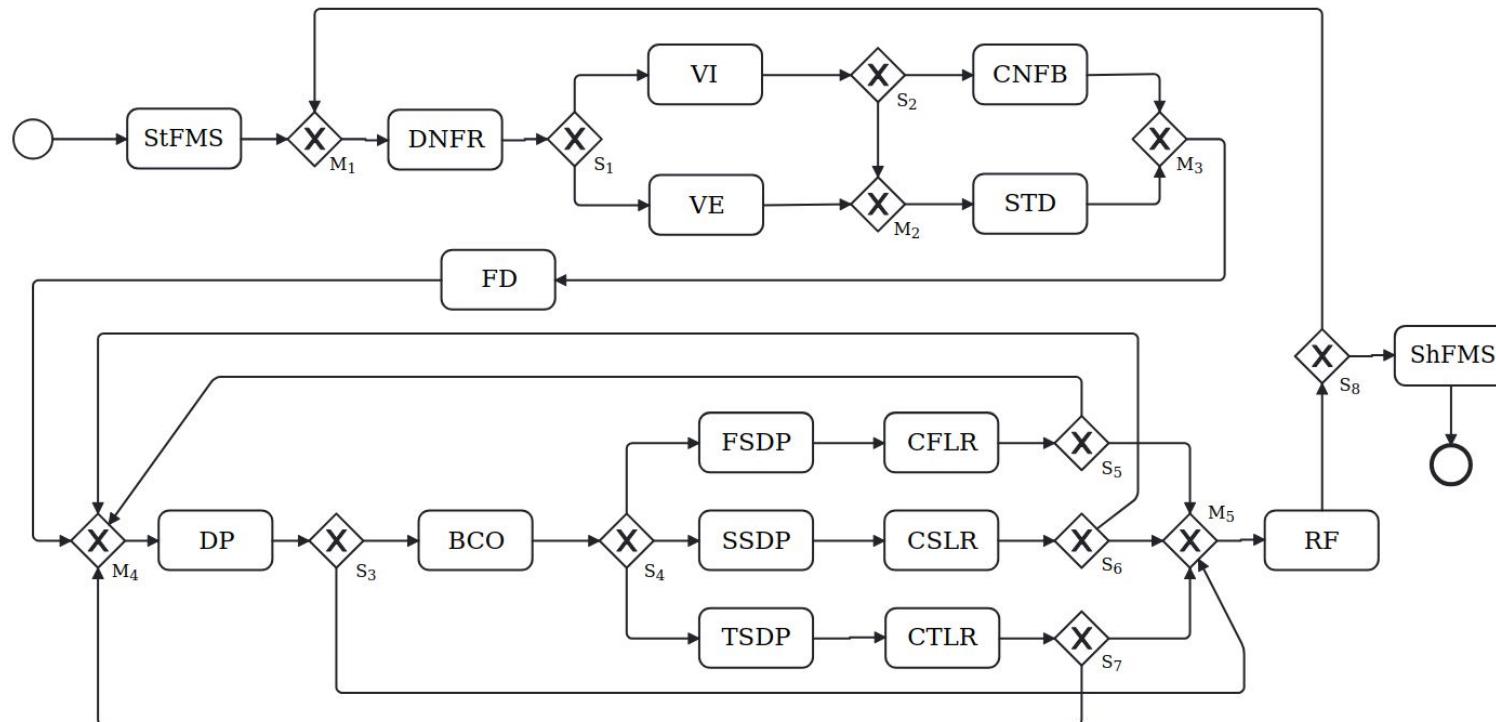
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Let us recall what mutual exclusion is.

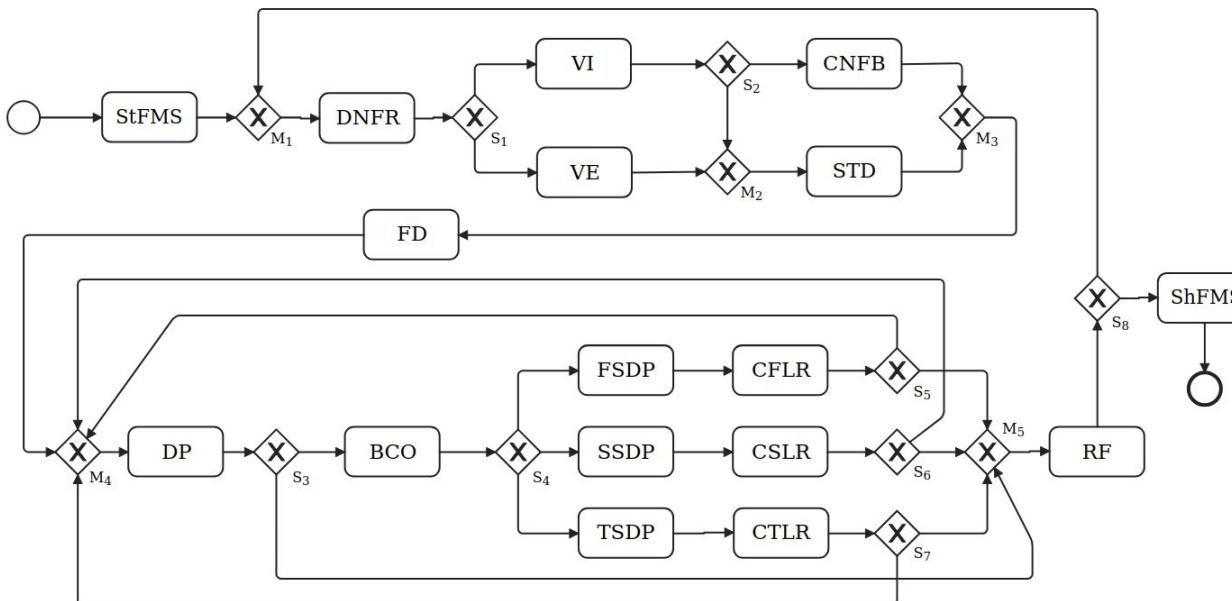
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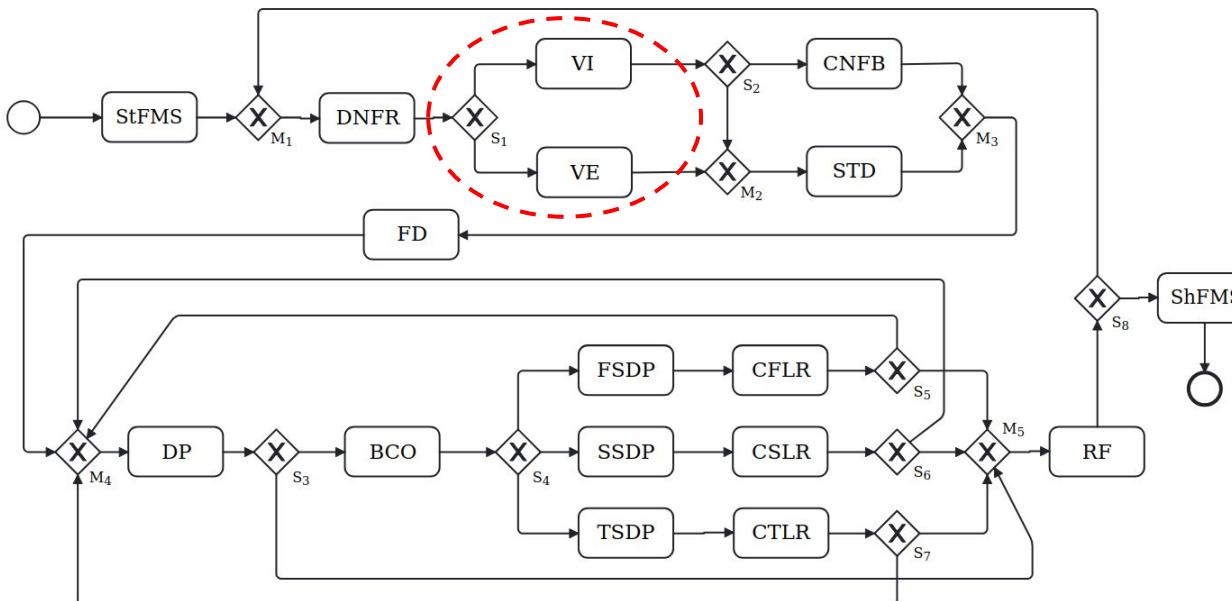
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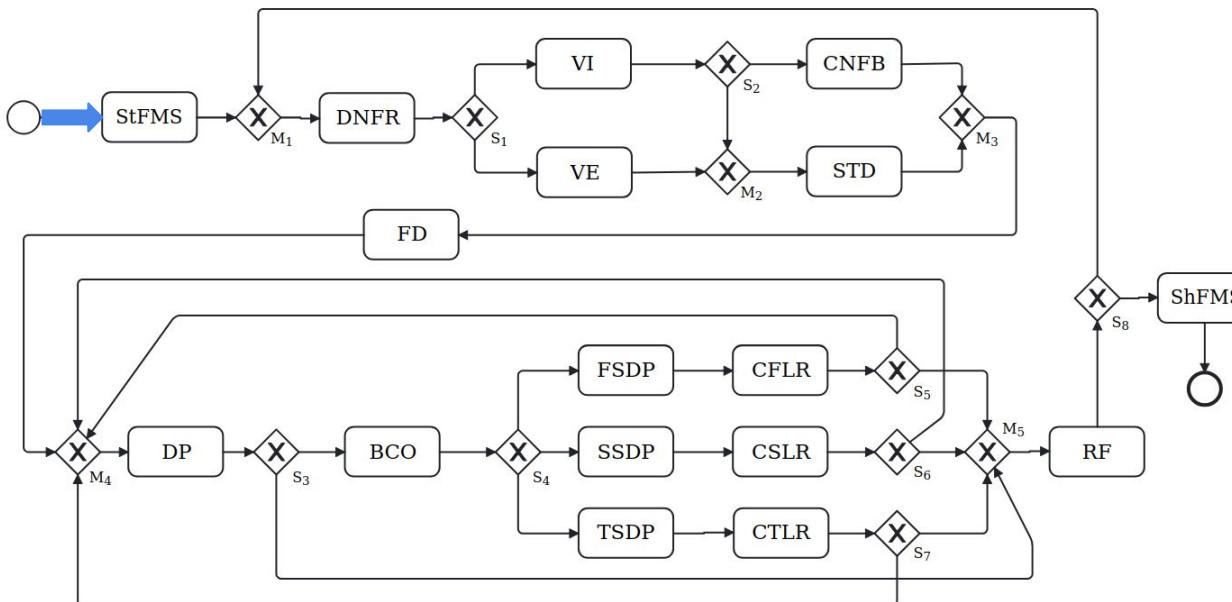
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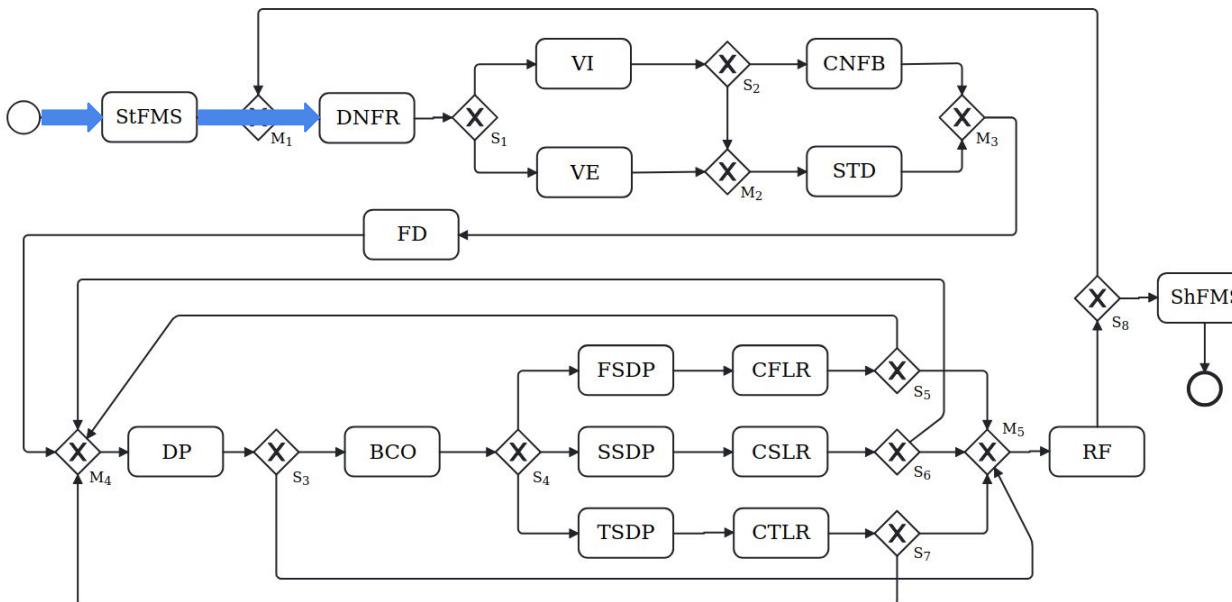
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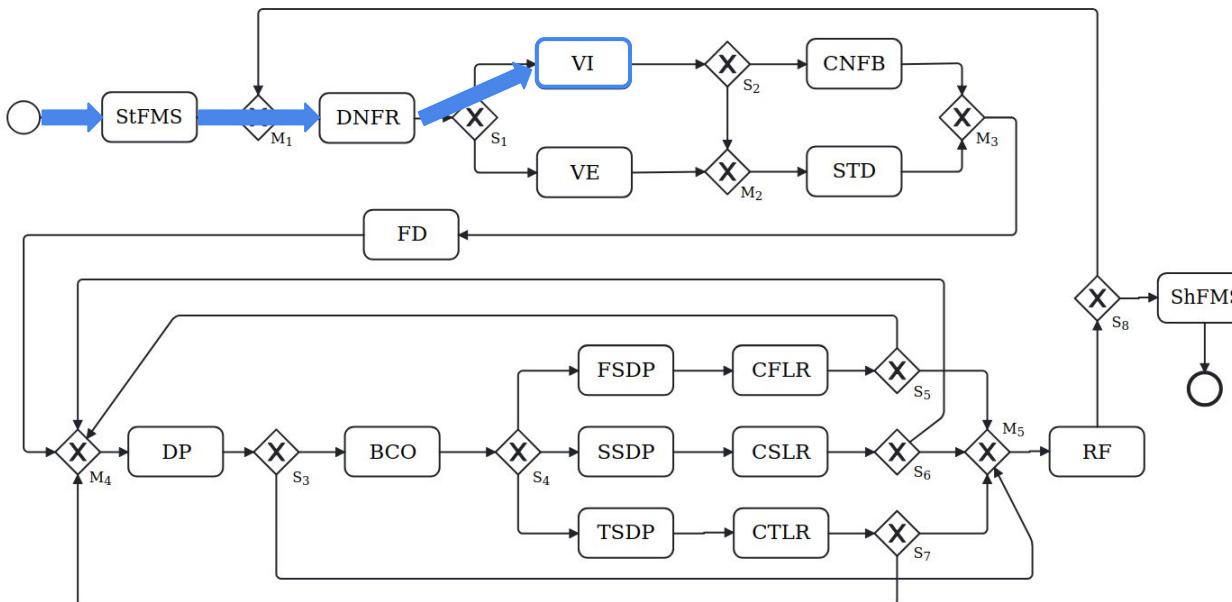
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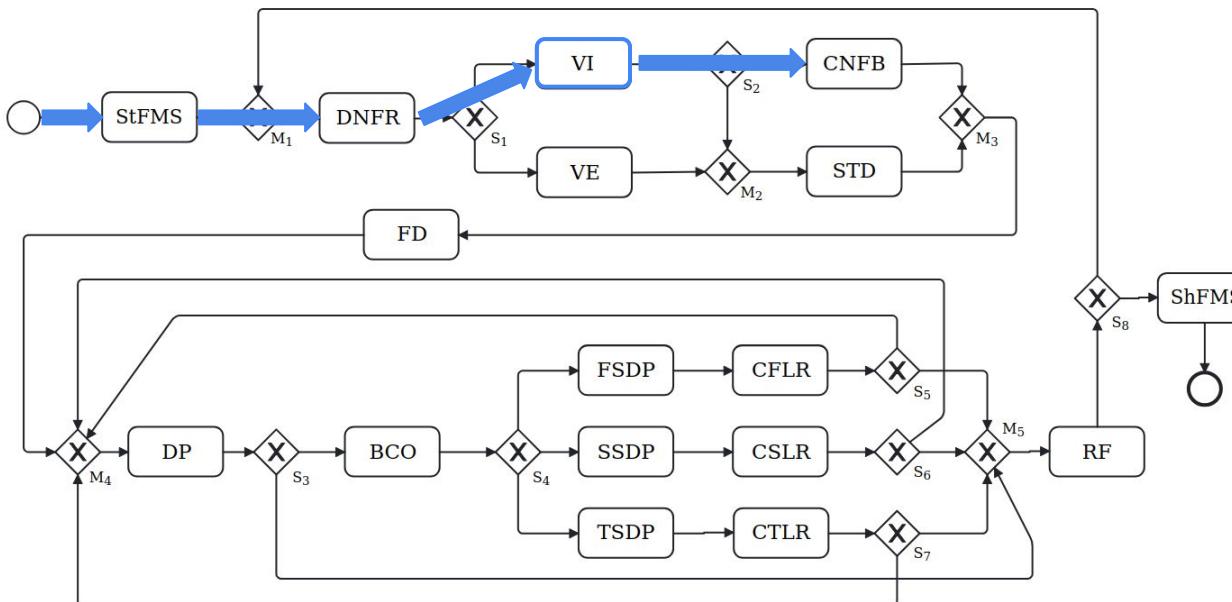
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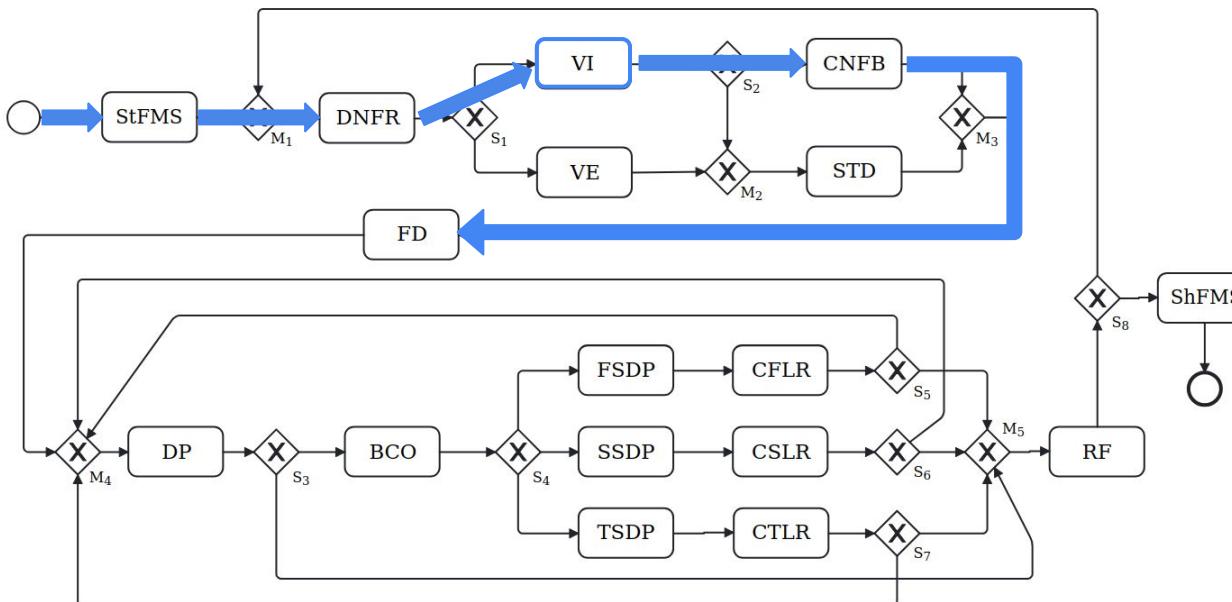
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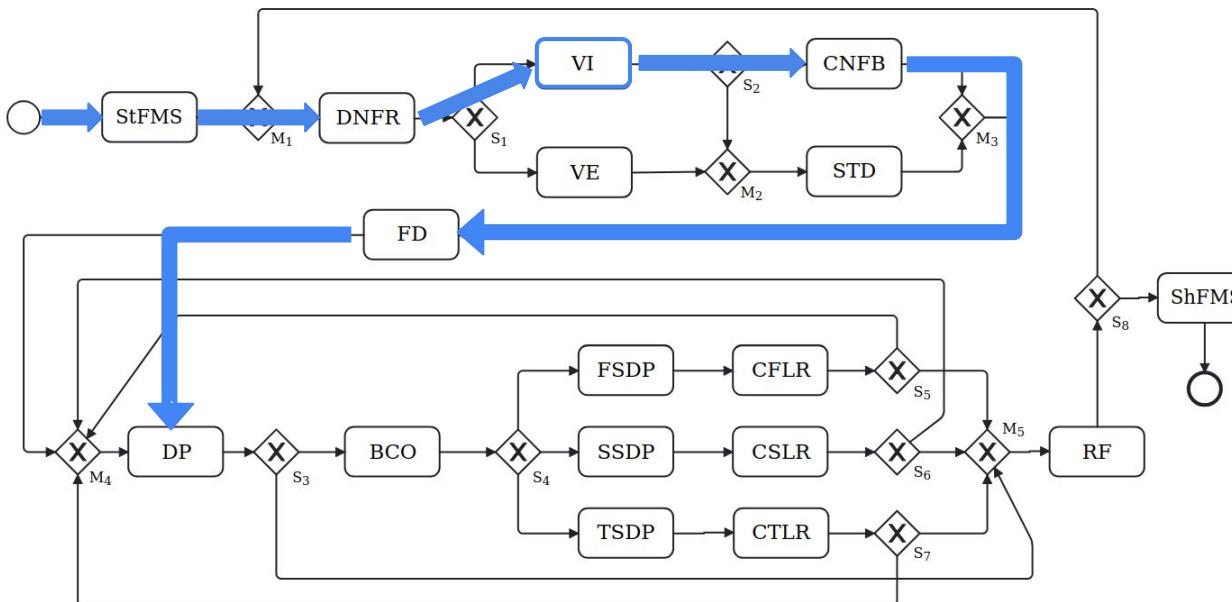
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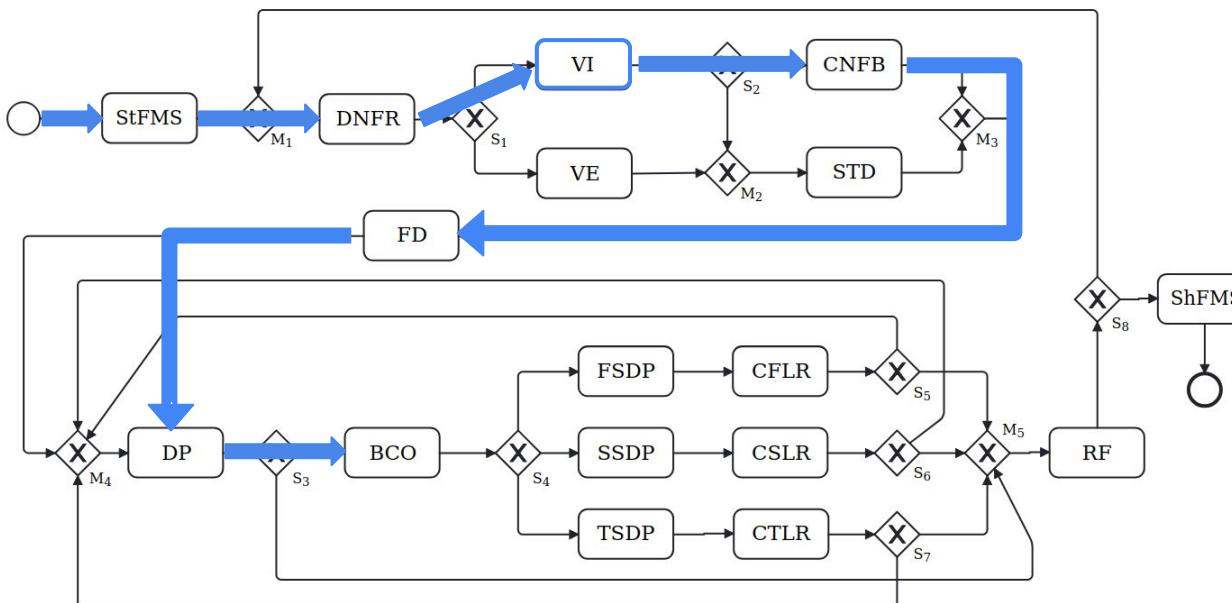
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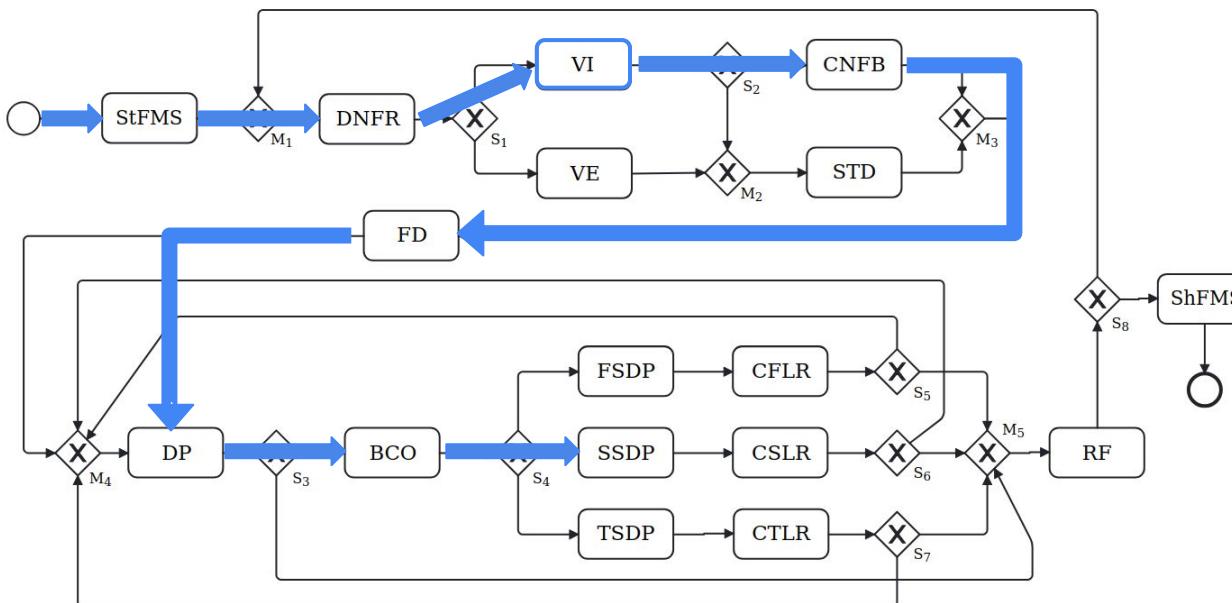
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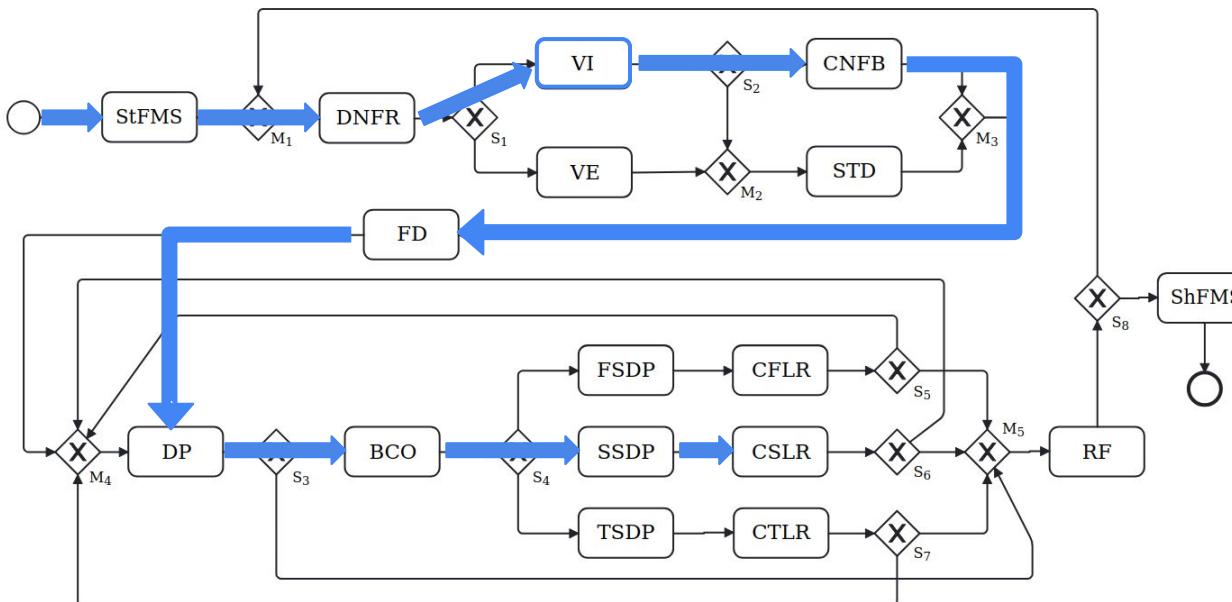
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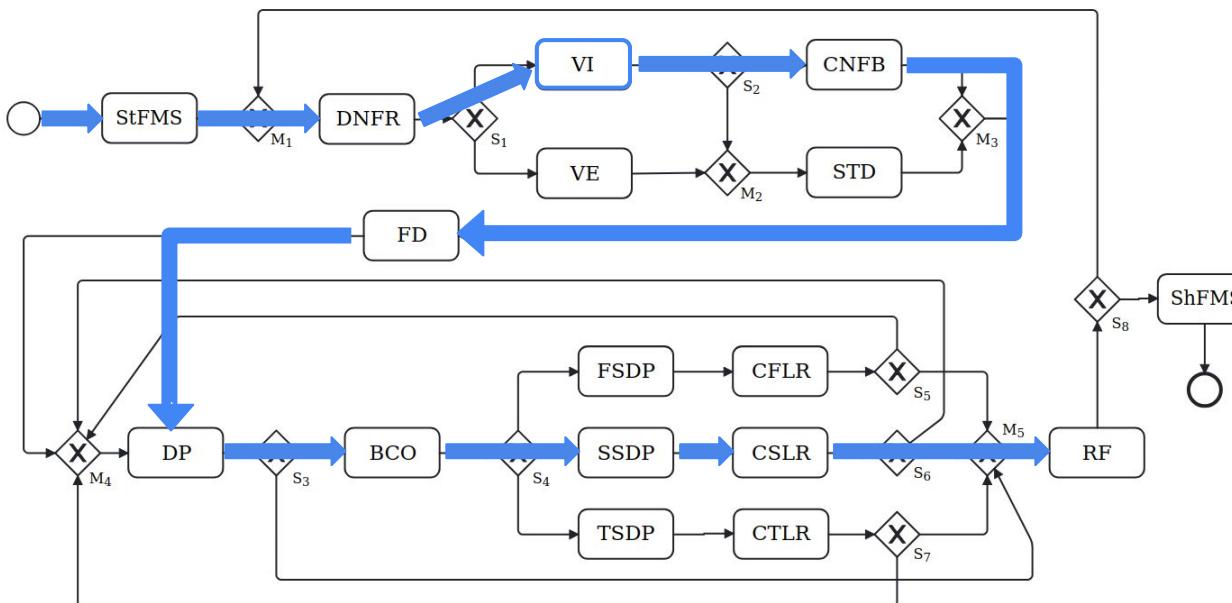
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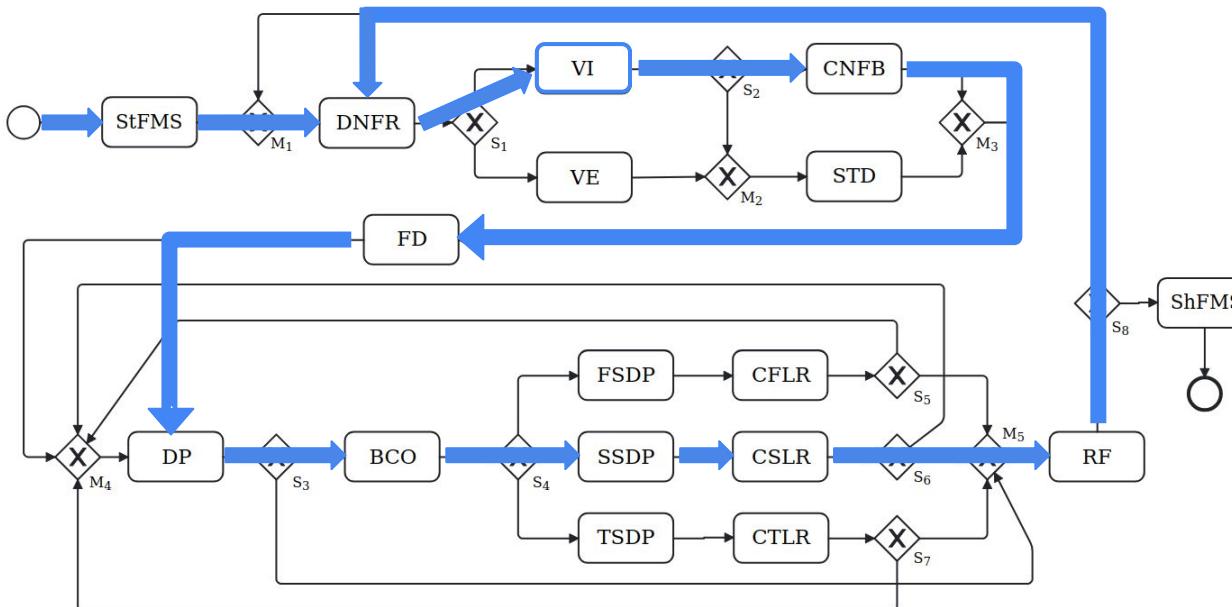
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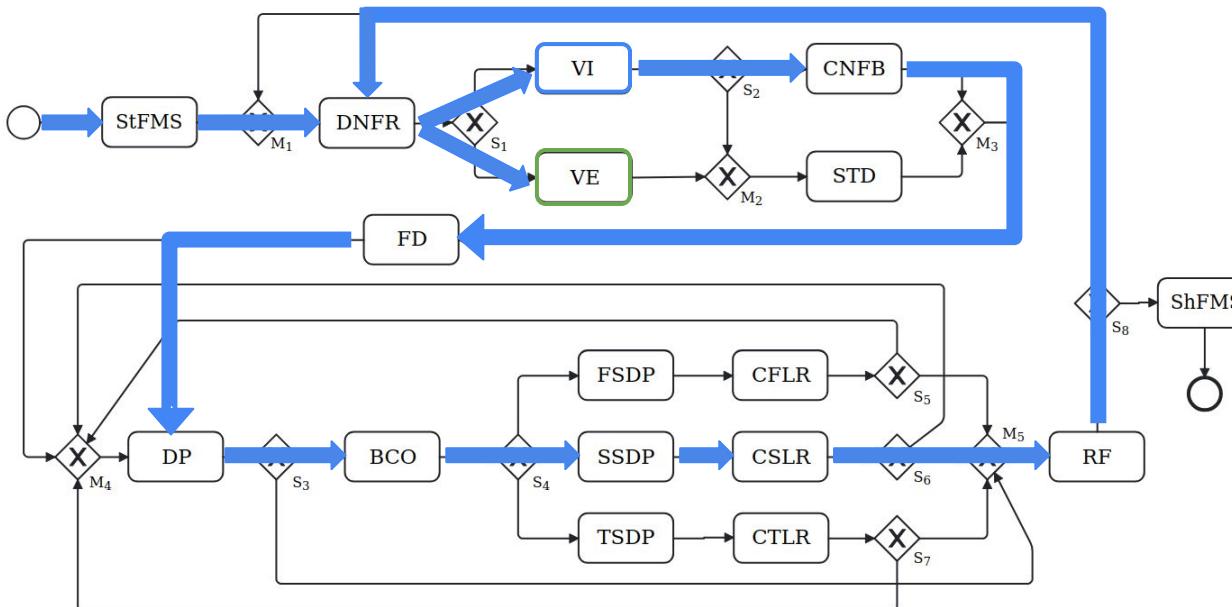
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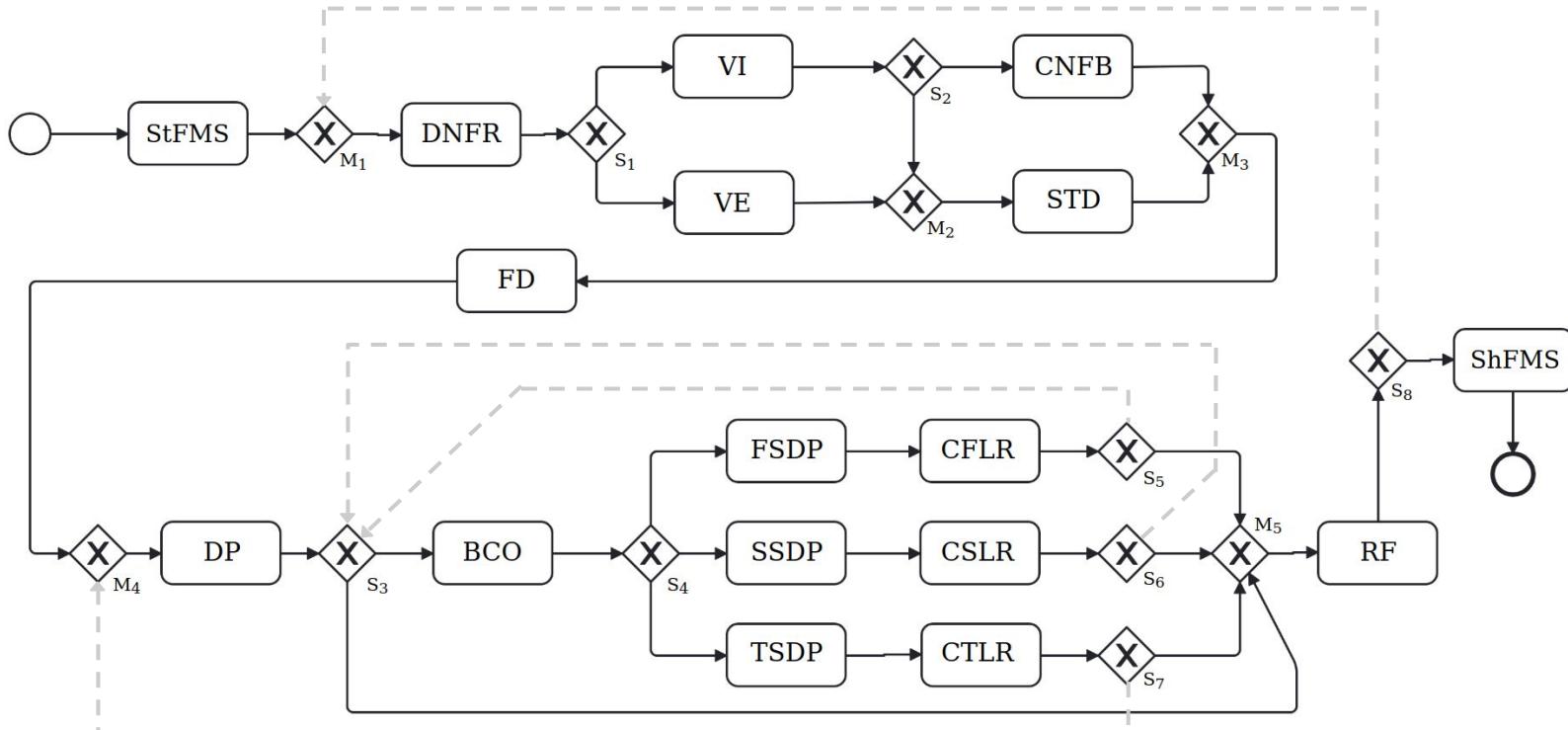
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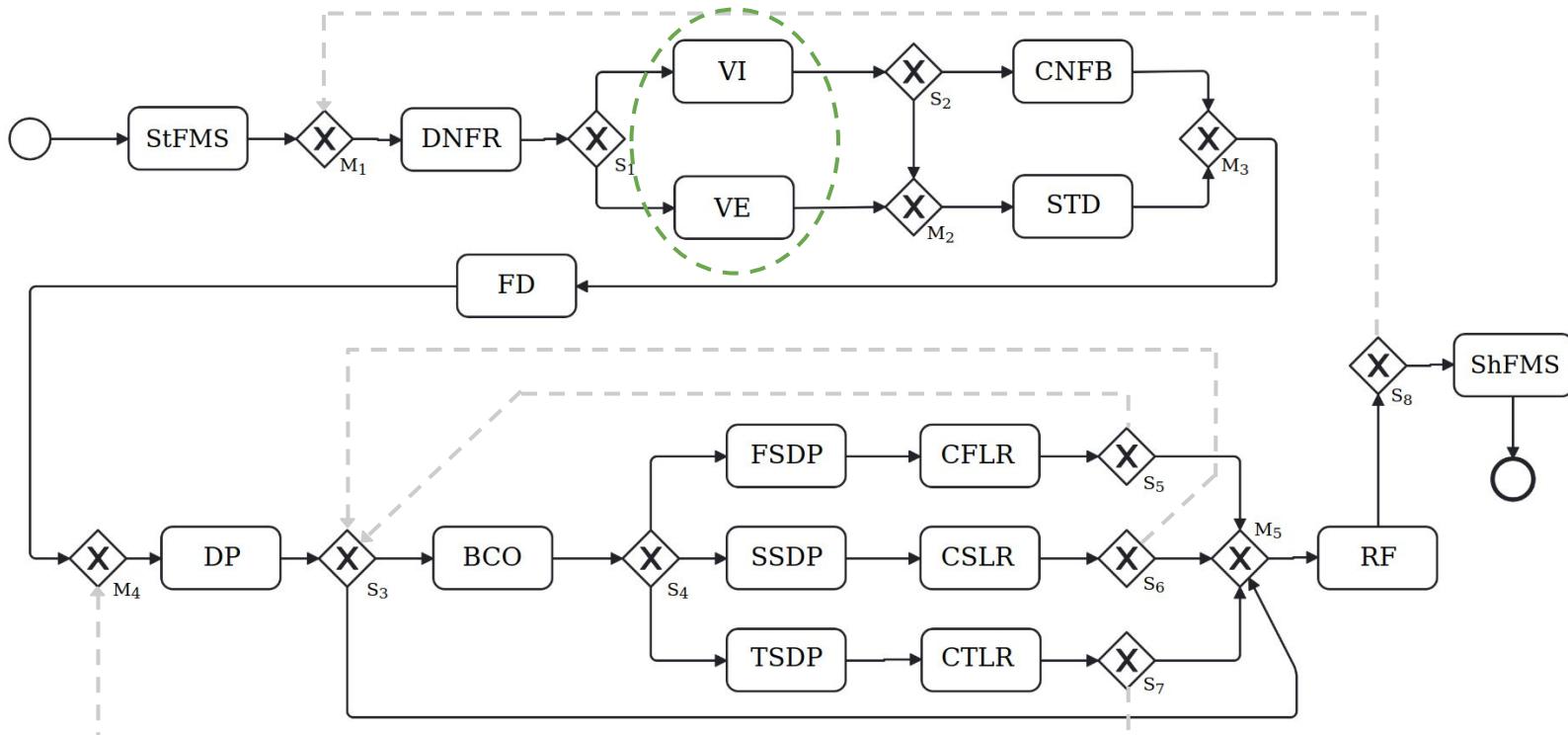


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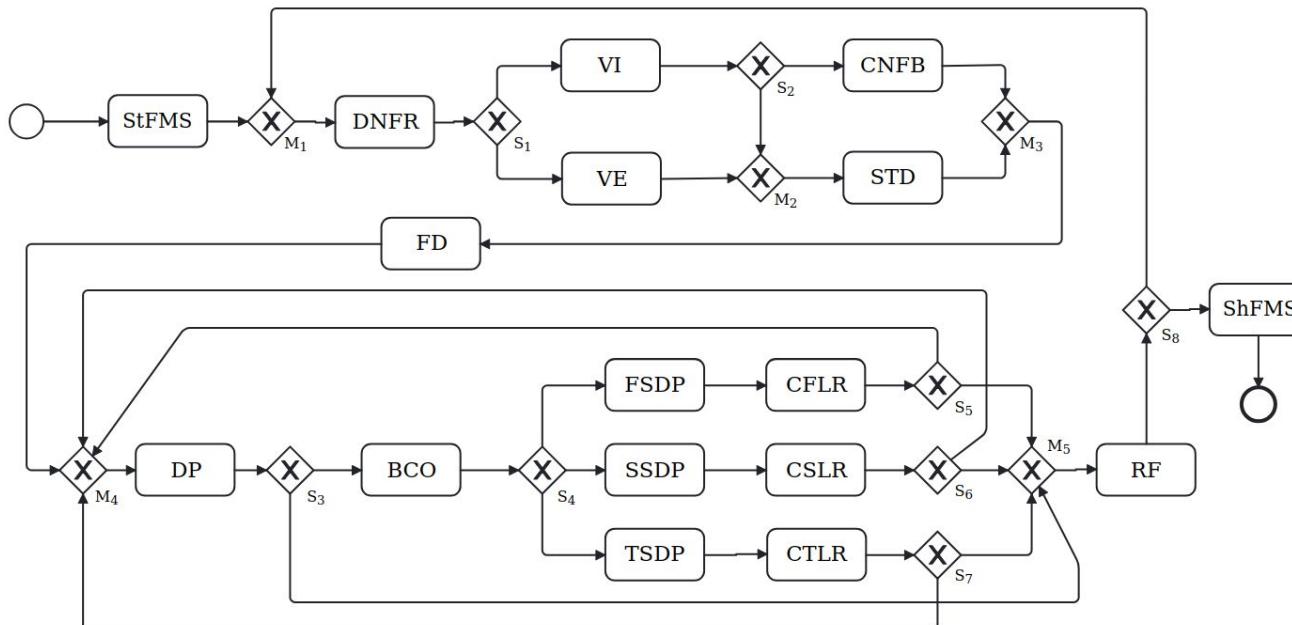
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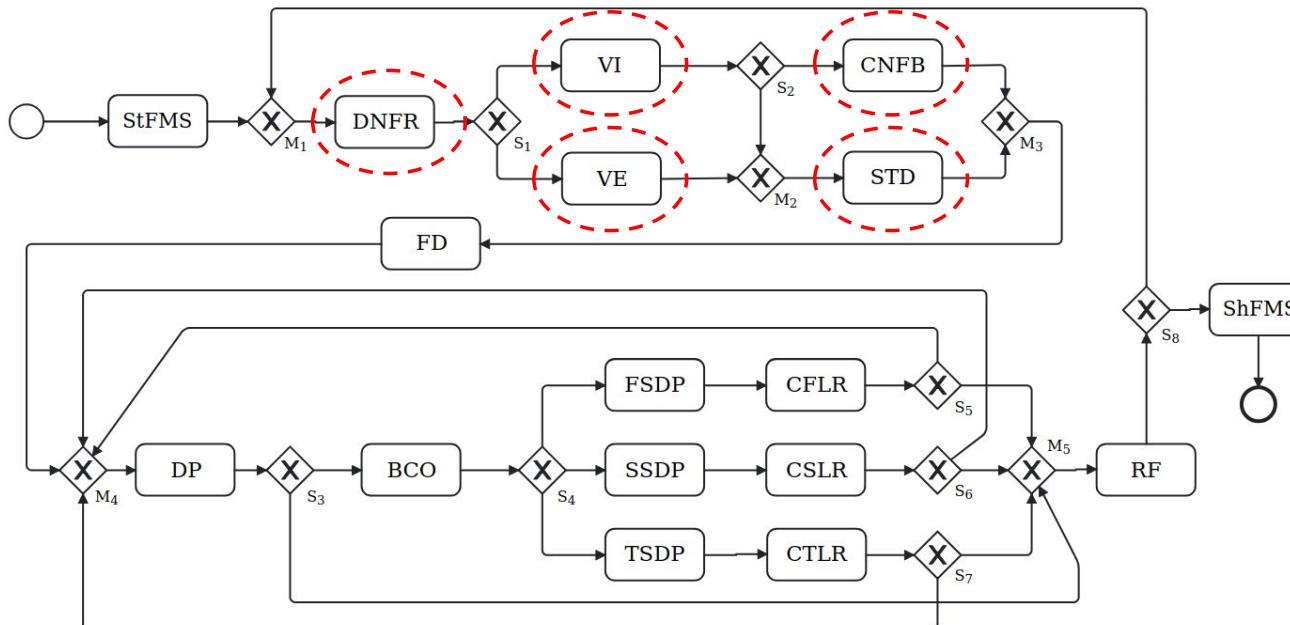
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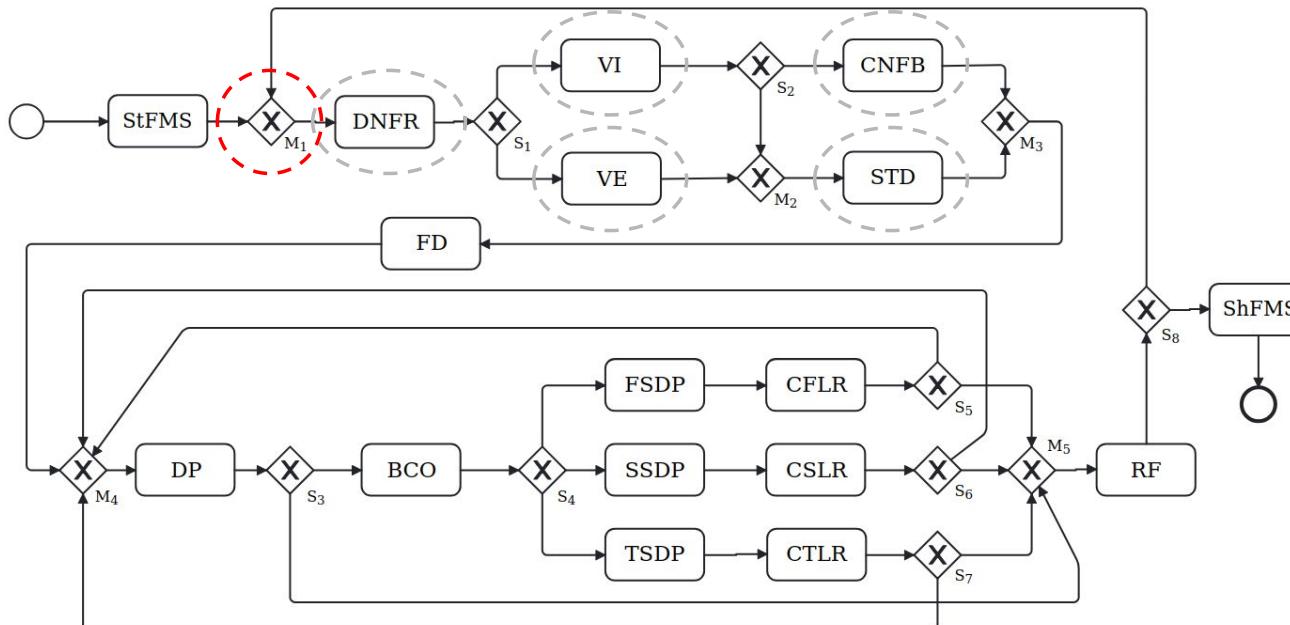
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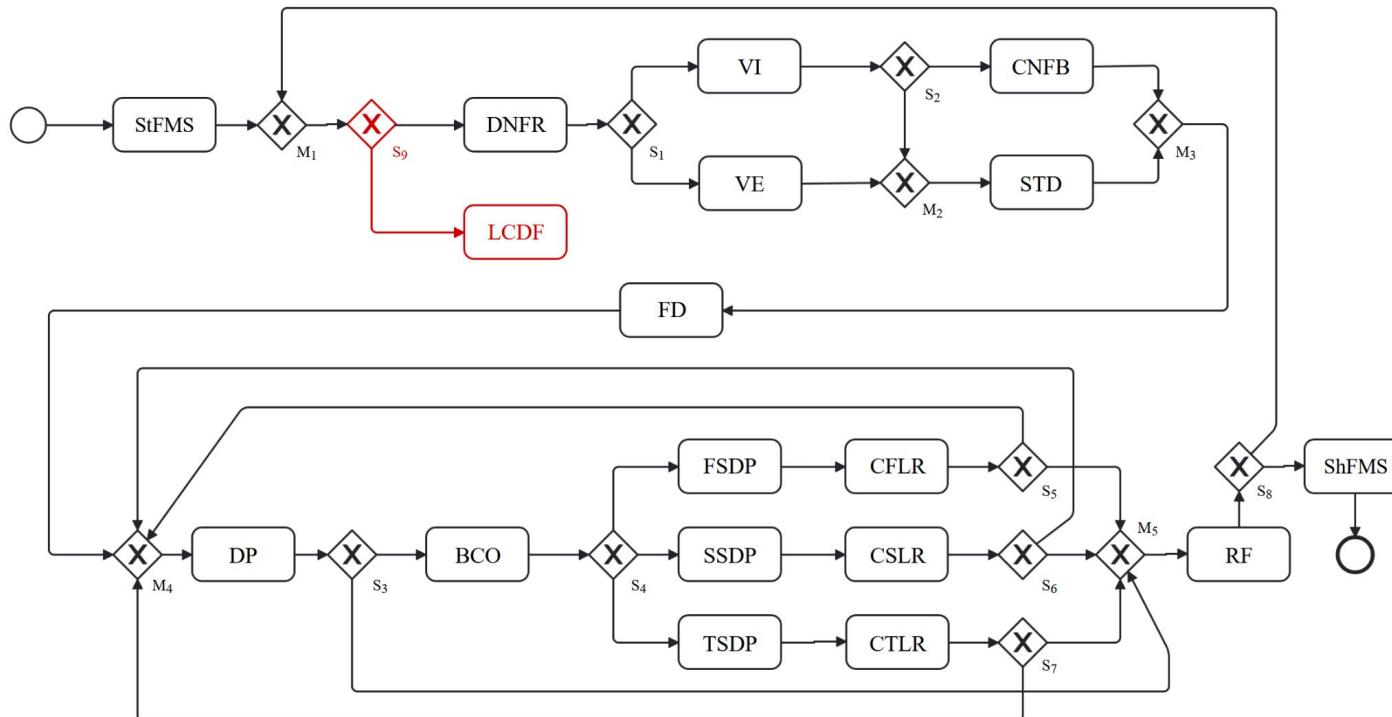


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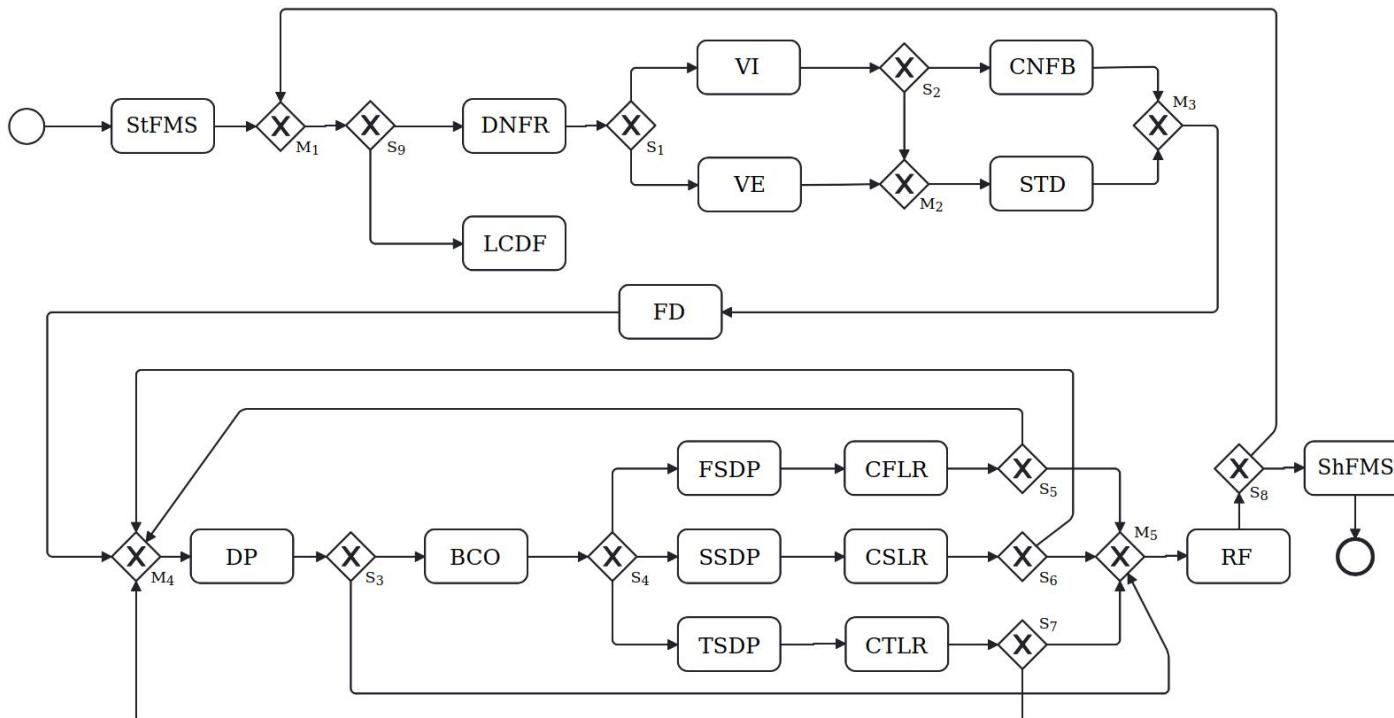
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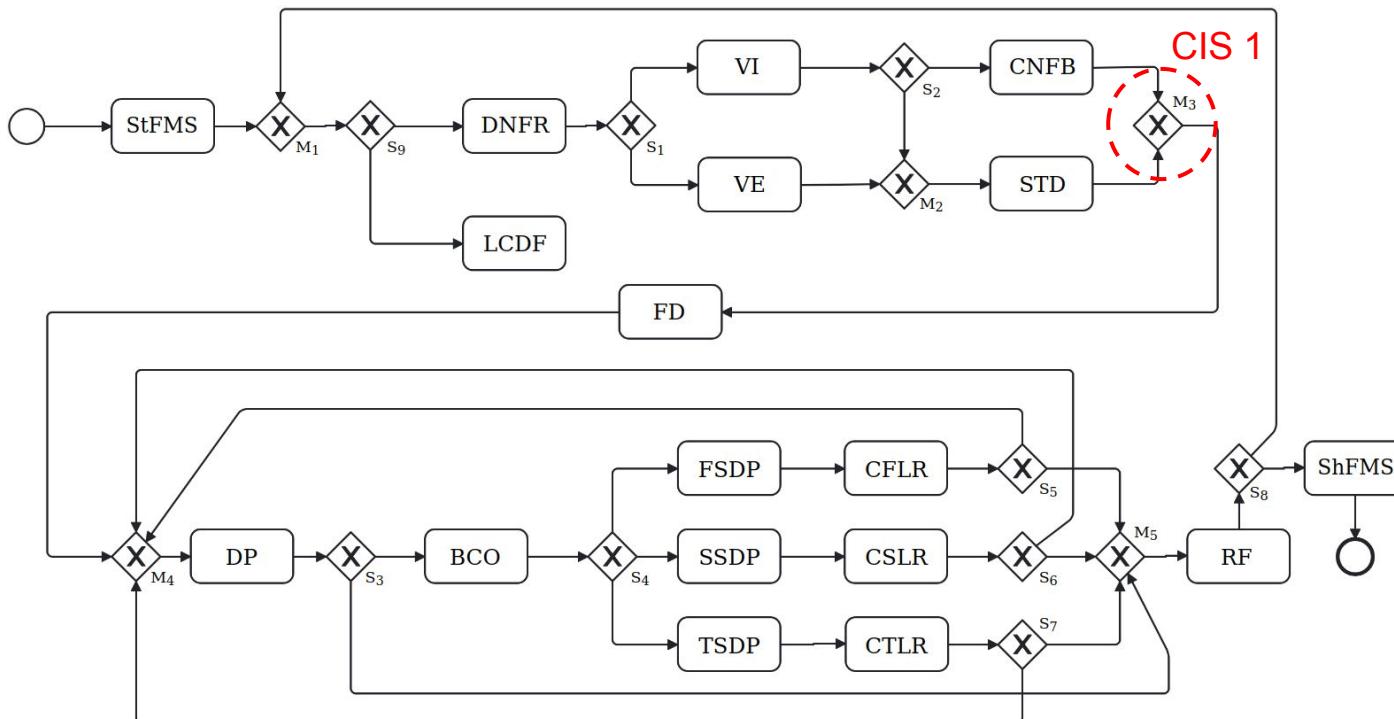
Adding the task **LCDF** as child of this gateway modifies the process so as to make tasks **DNFR**, **VI**, **VE**, **CNFB** and **STD** mutually exclusive of task **LCDF**.



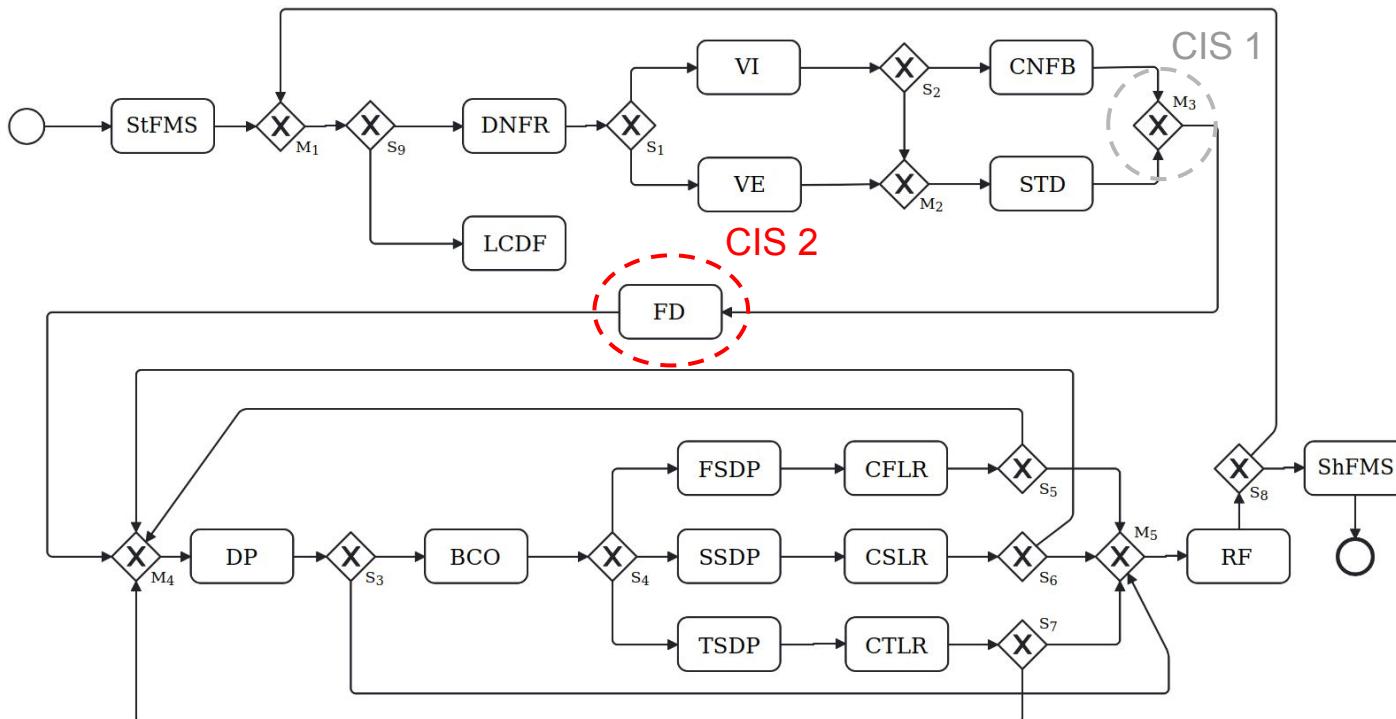
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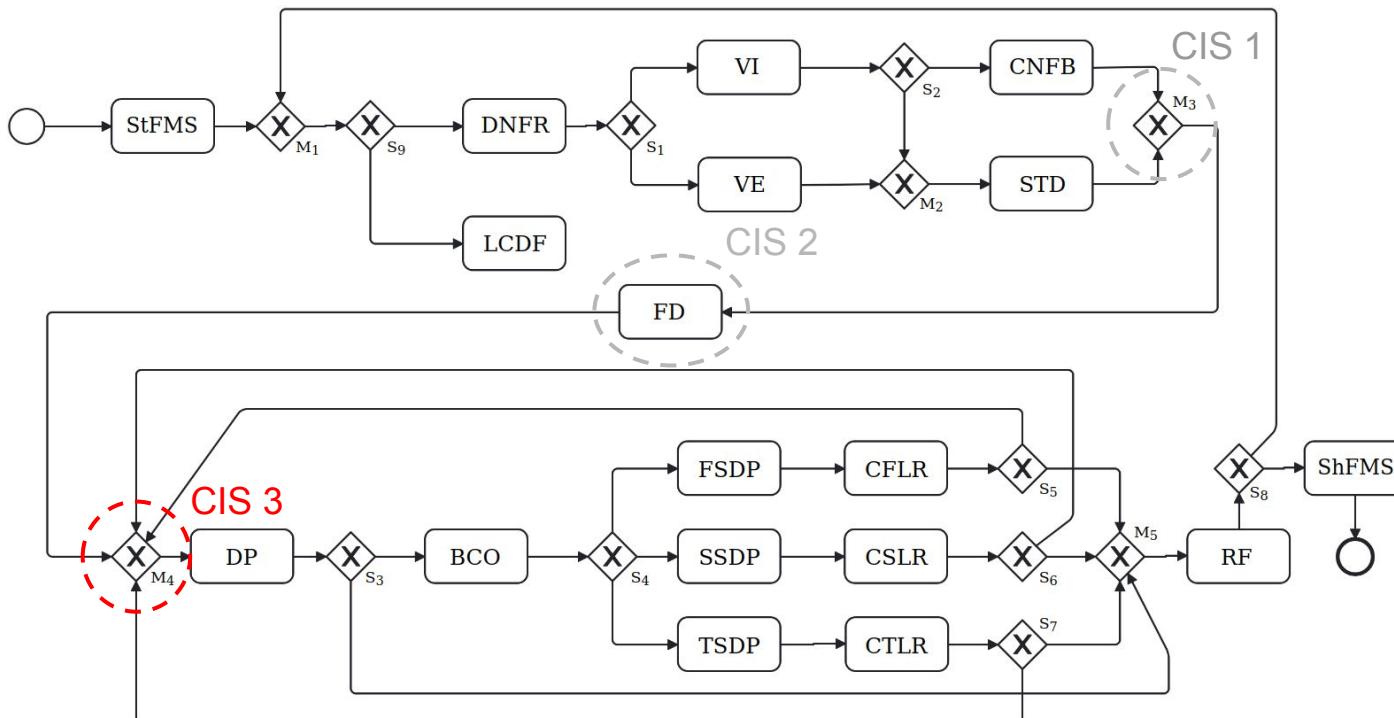
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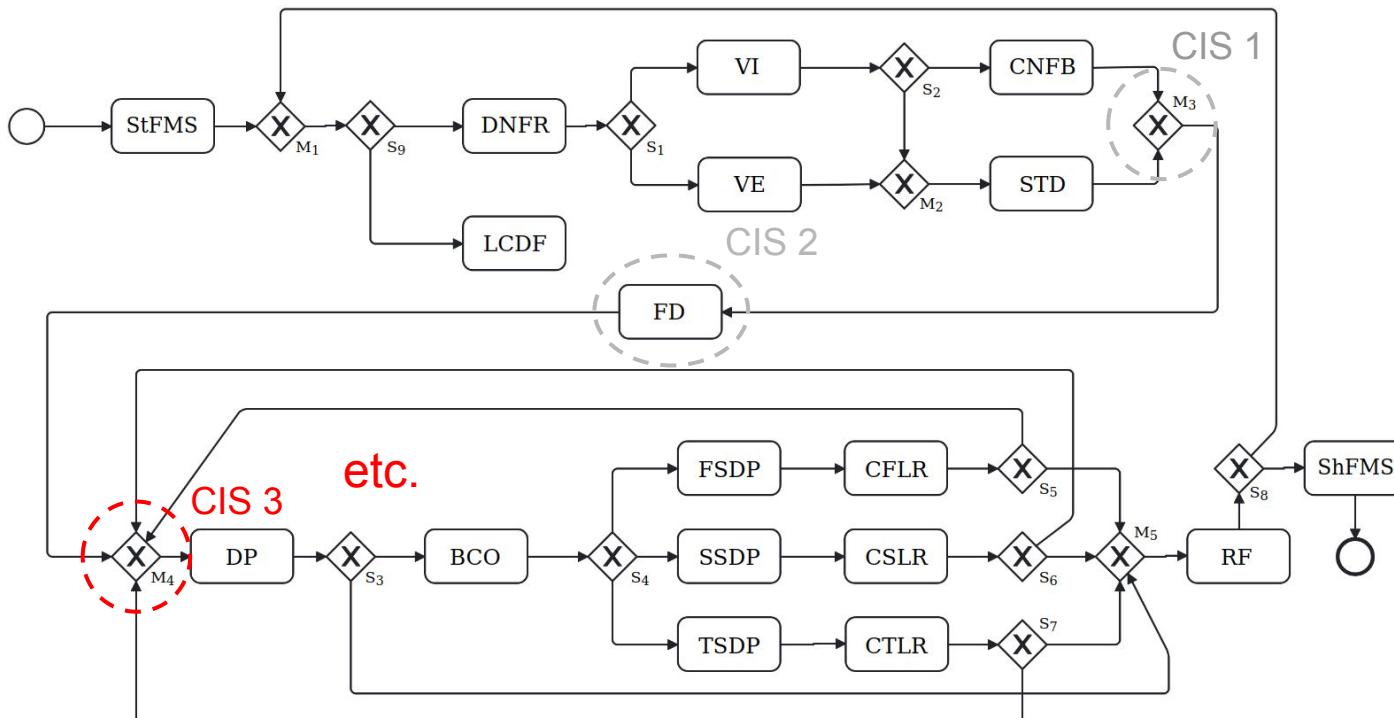
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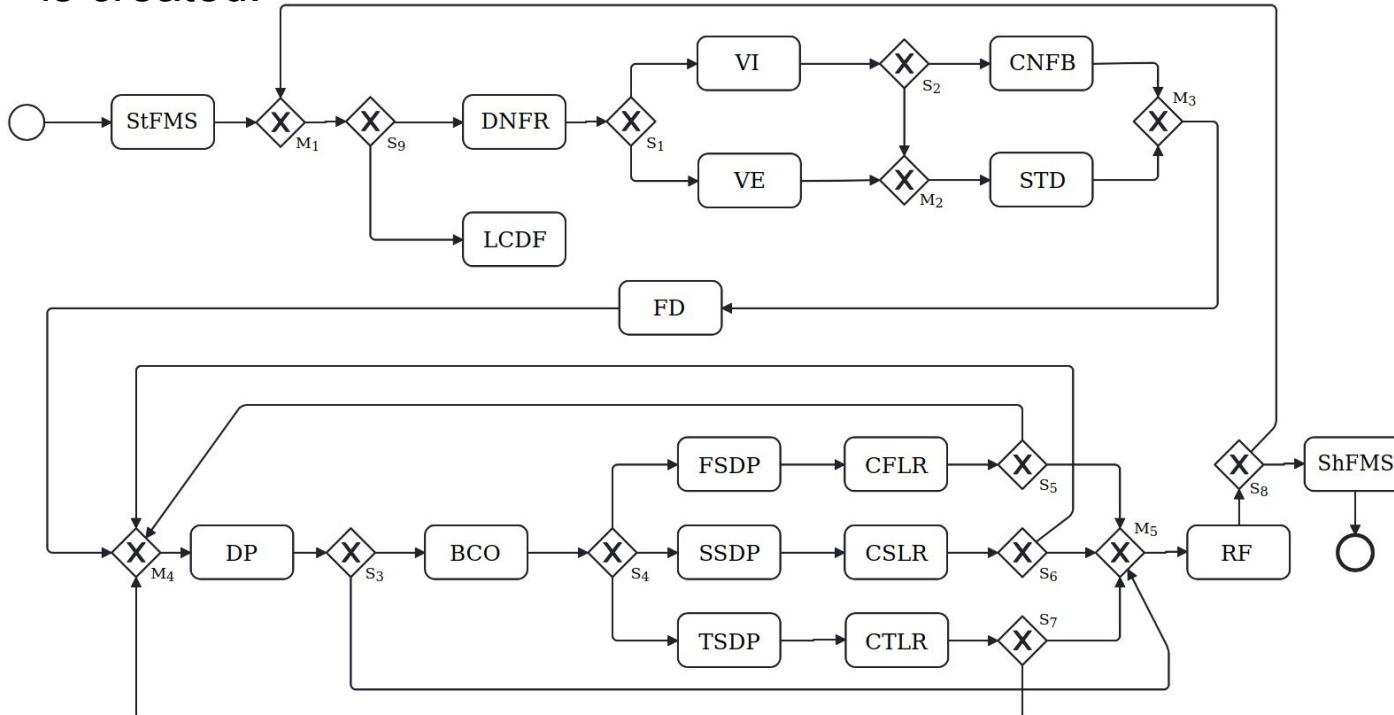
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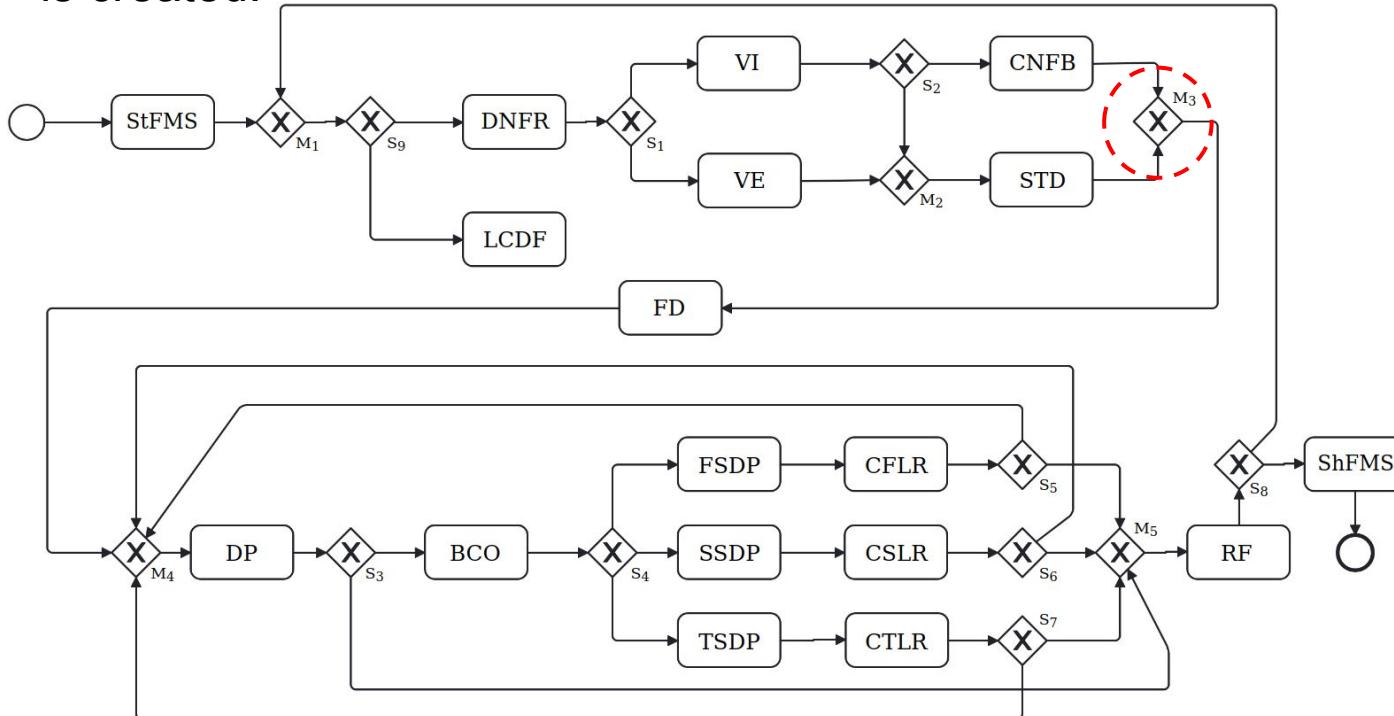
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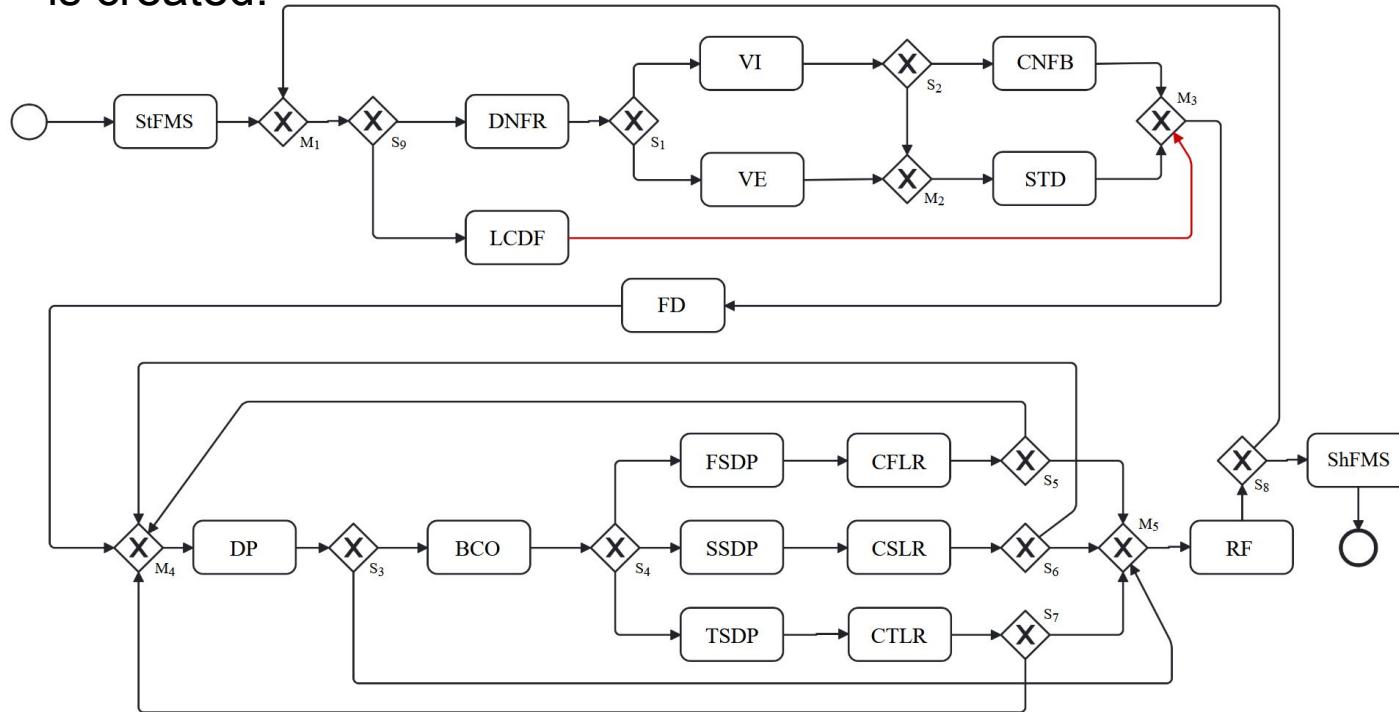
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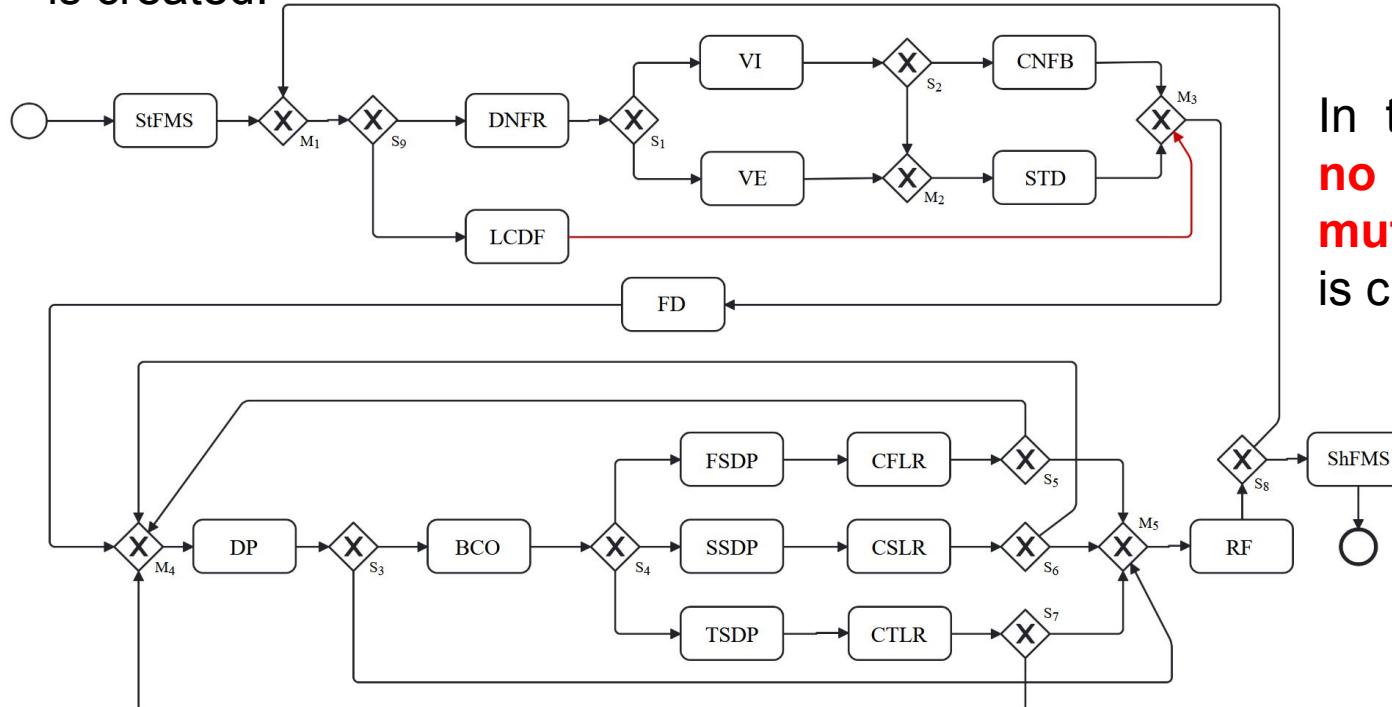
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In the best case,  
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$G \upharpoonright_{\{v_1, \dots, v_n\}} \stackrel{\text{def}}{=} (V^\uparrow, E^\uparrow, \Sigma^\uparrow)$  where

- $V^\uparrow = \{v_1, \dots, v_n\} \subseteq V$
- $E^\uparrow = \{v \rightarrow v' \in E \mid v, v' \in V^\uparrow\}$
- $\Sigma^\uparrow = \{l \in \Sigma \mid \exists v^\uparrow \in V^\uparrow \text{ s.t. } \sigma(v^\uparrow) = l\}$

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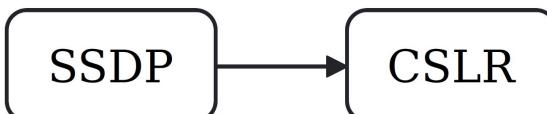
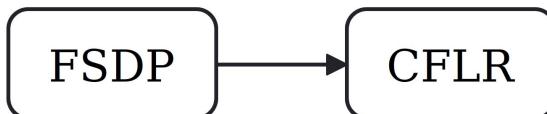
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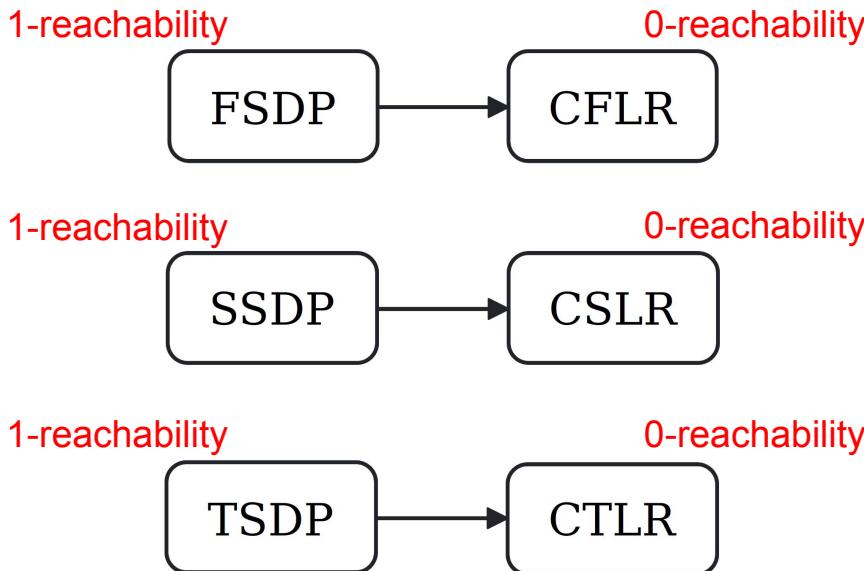
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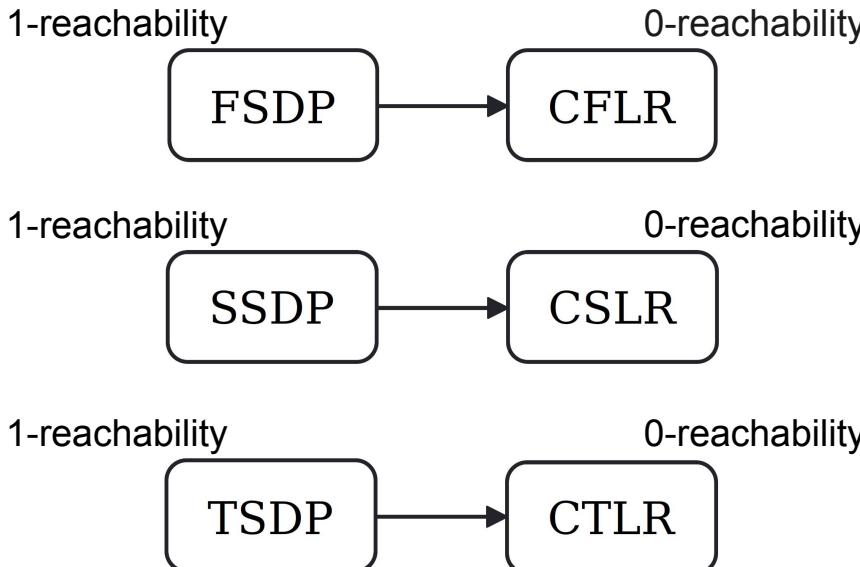
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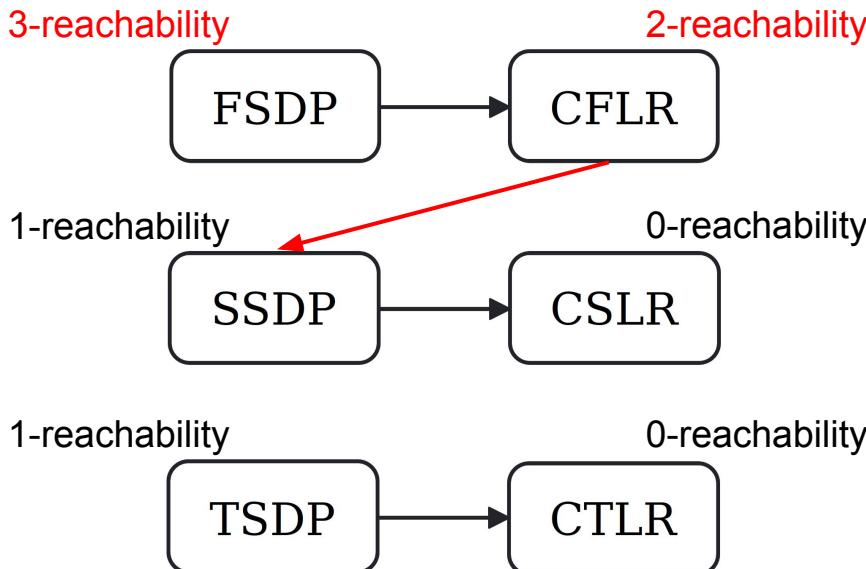
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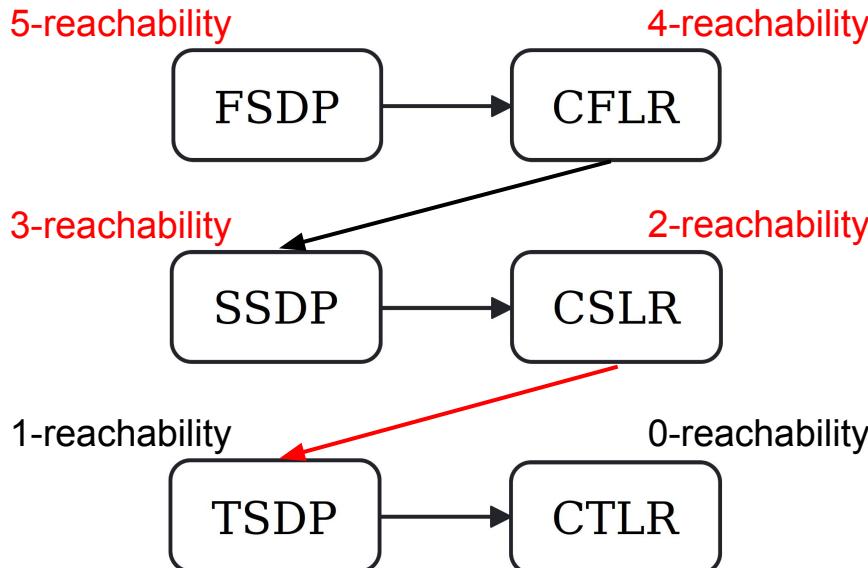
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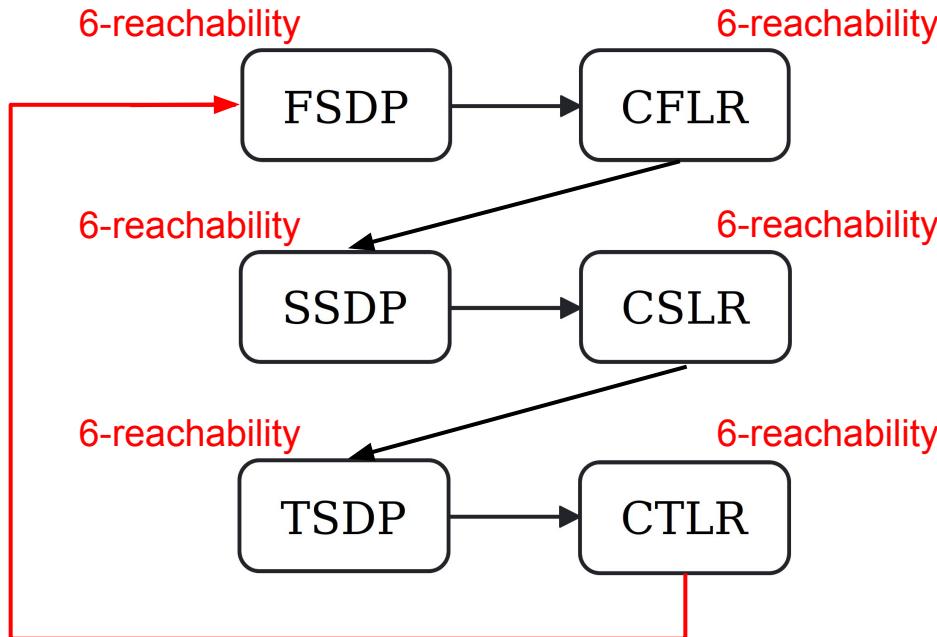
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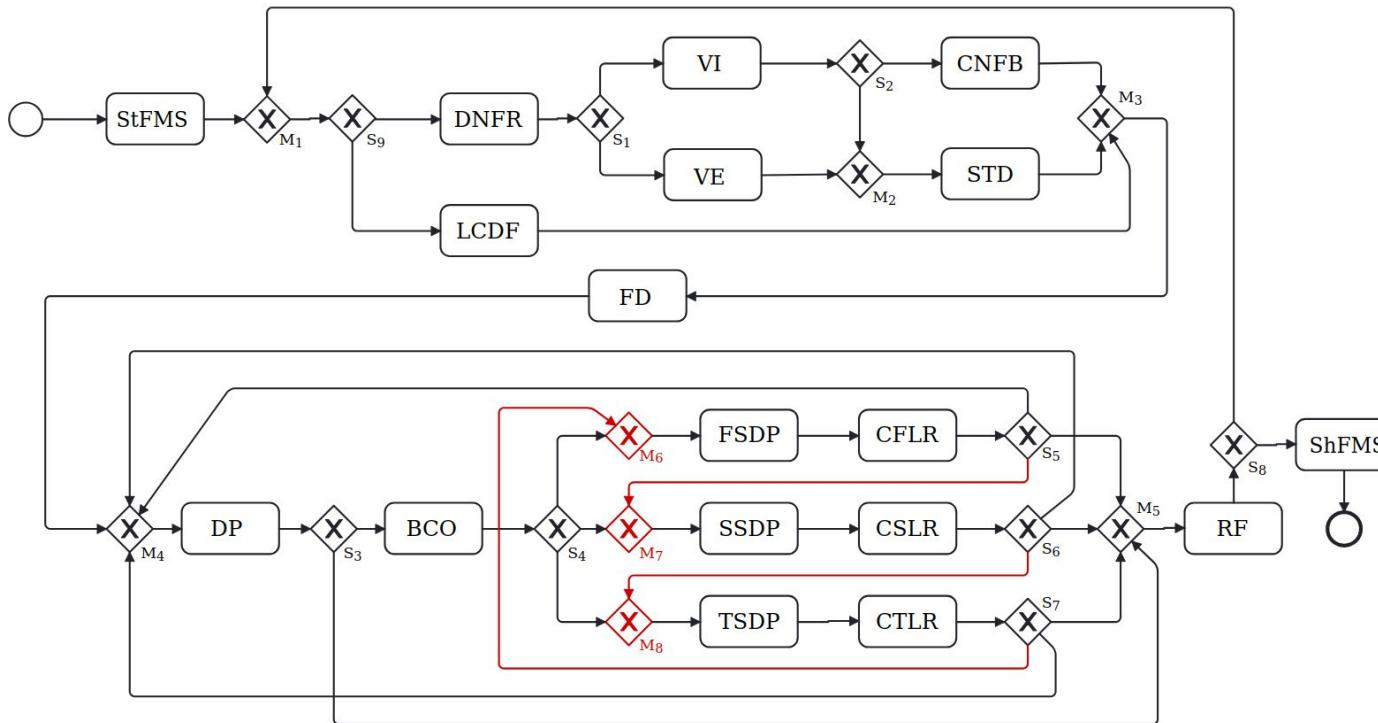
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These **new edges** are then eventually **added to the BPMN** process to make the loop appear in it:



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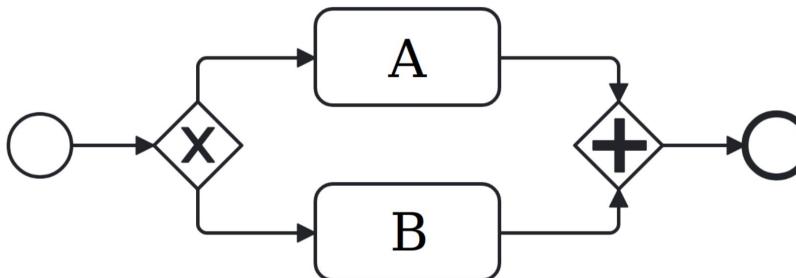
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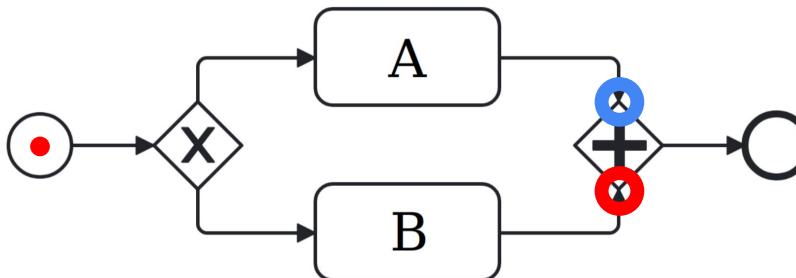


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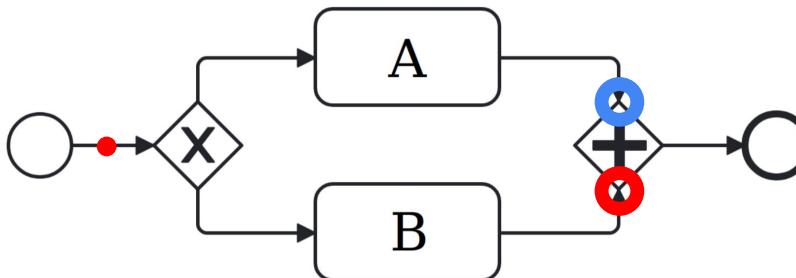


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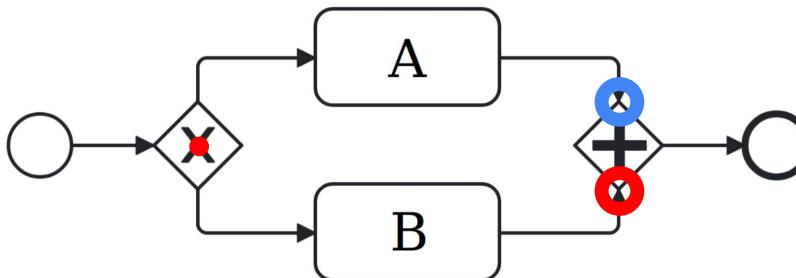


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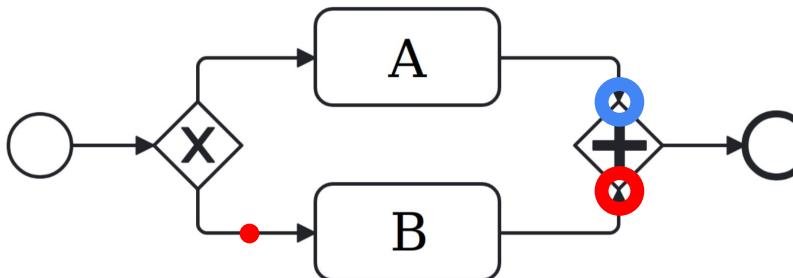


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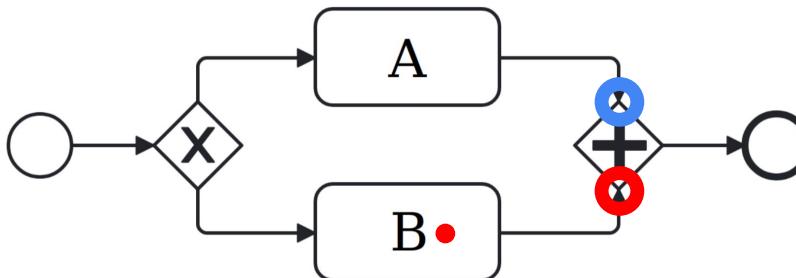


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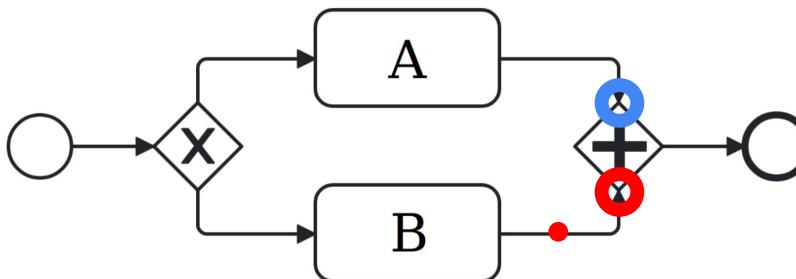


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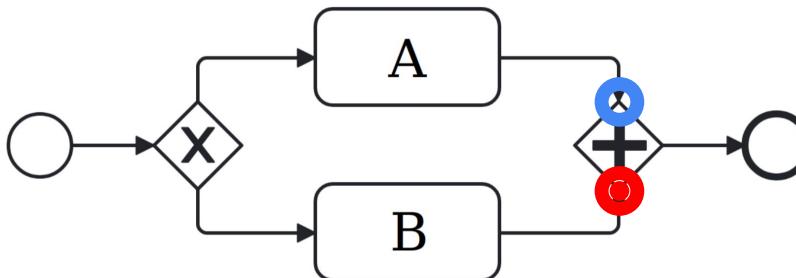


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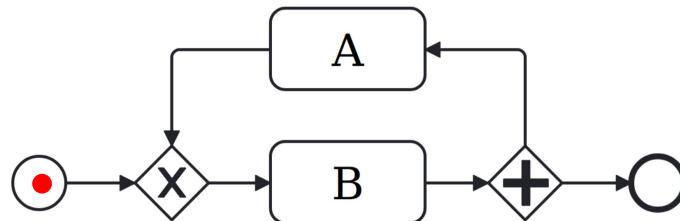


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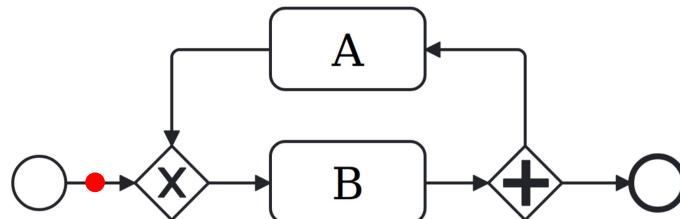


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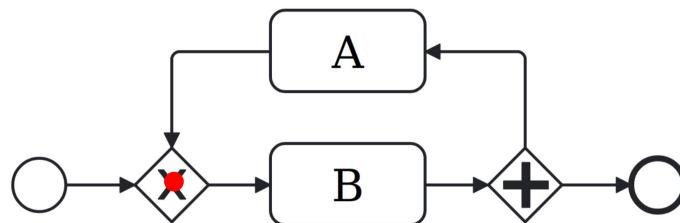


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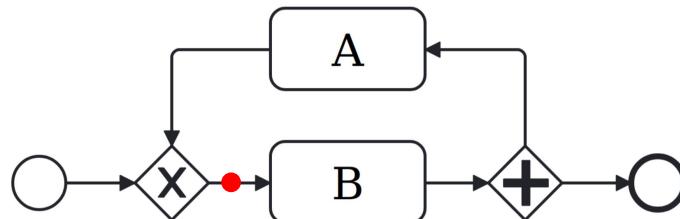


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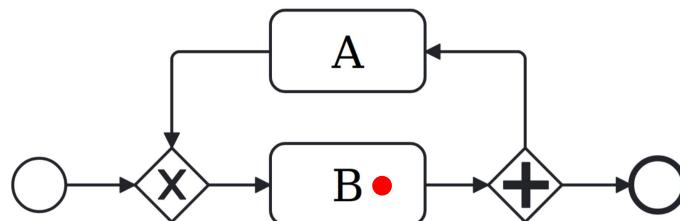


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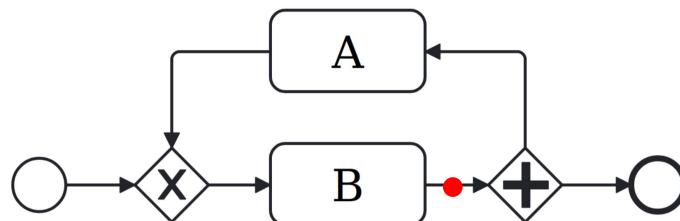


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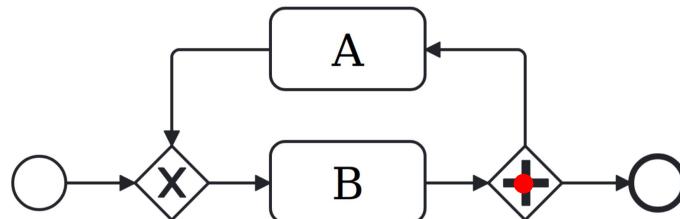


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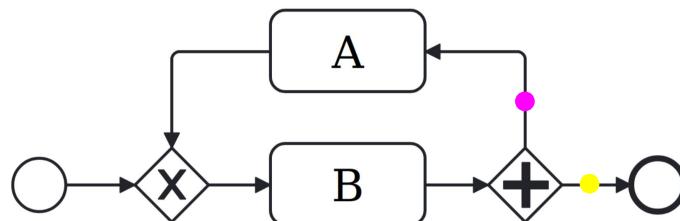


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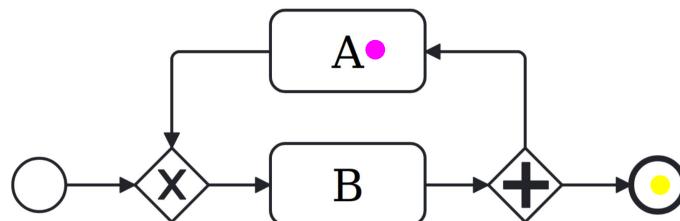


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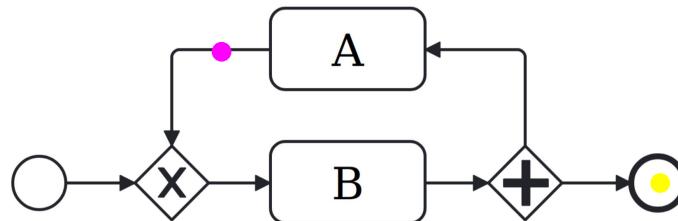


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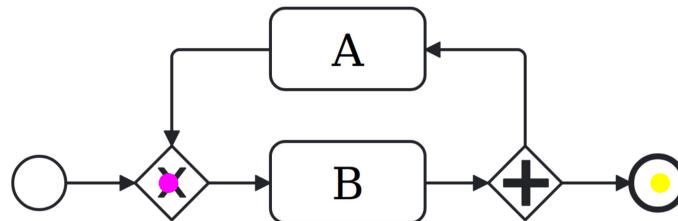


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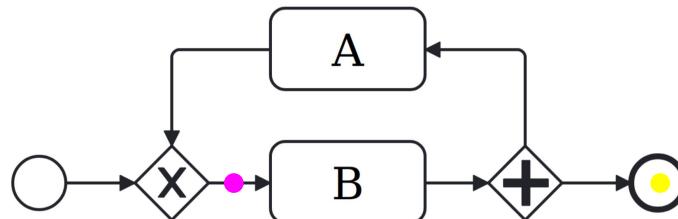


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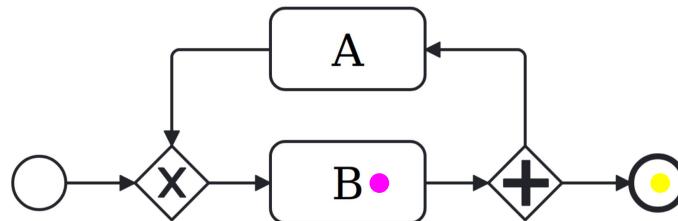


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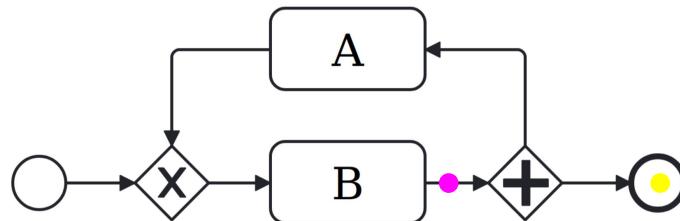


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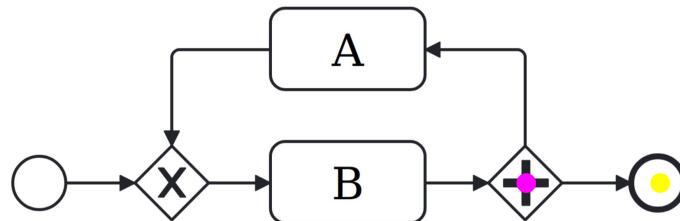


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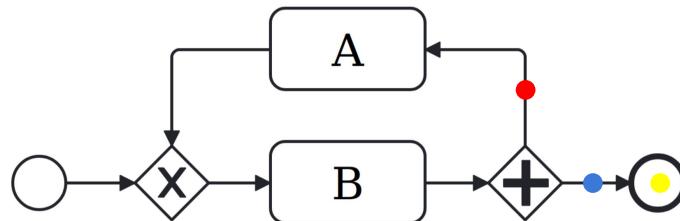


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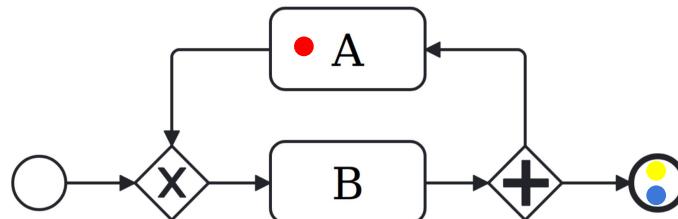


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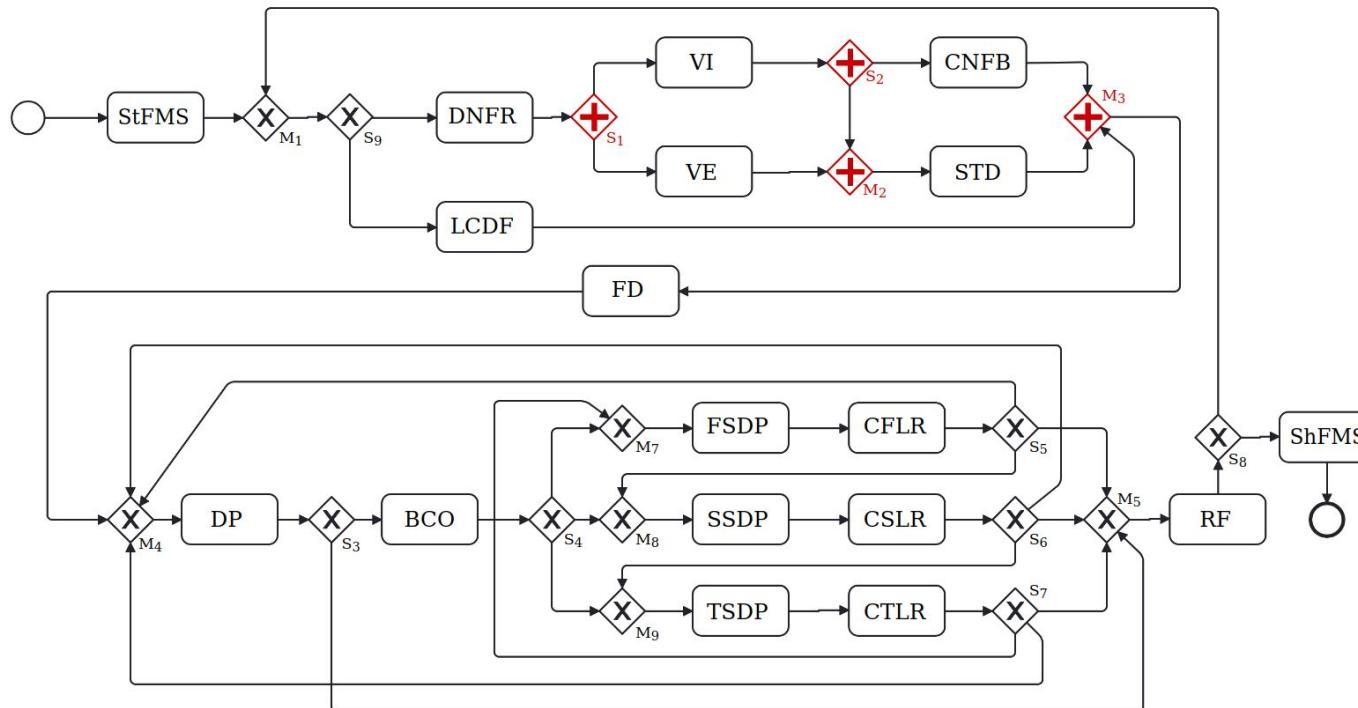
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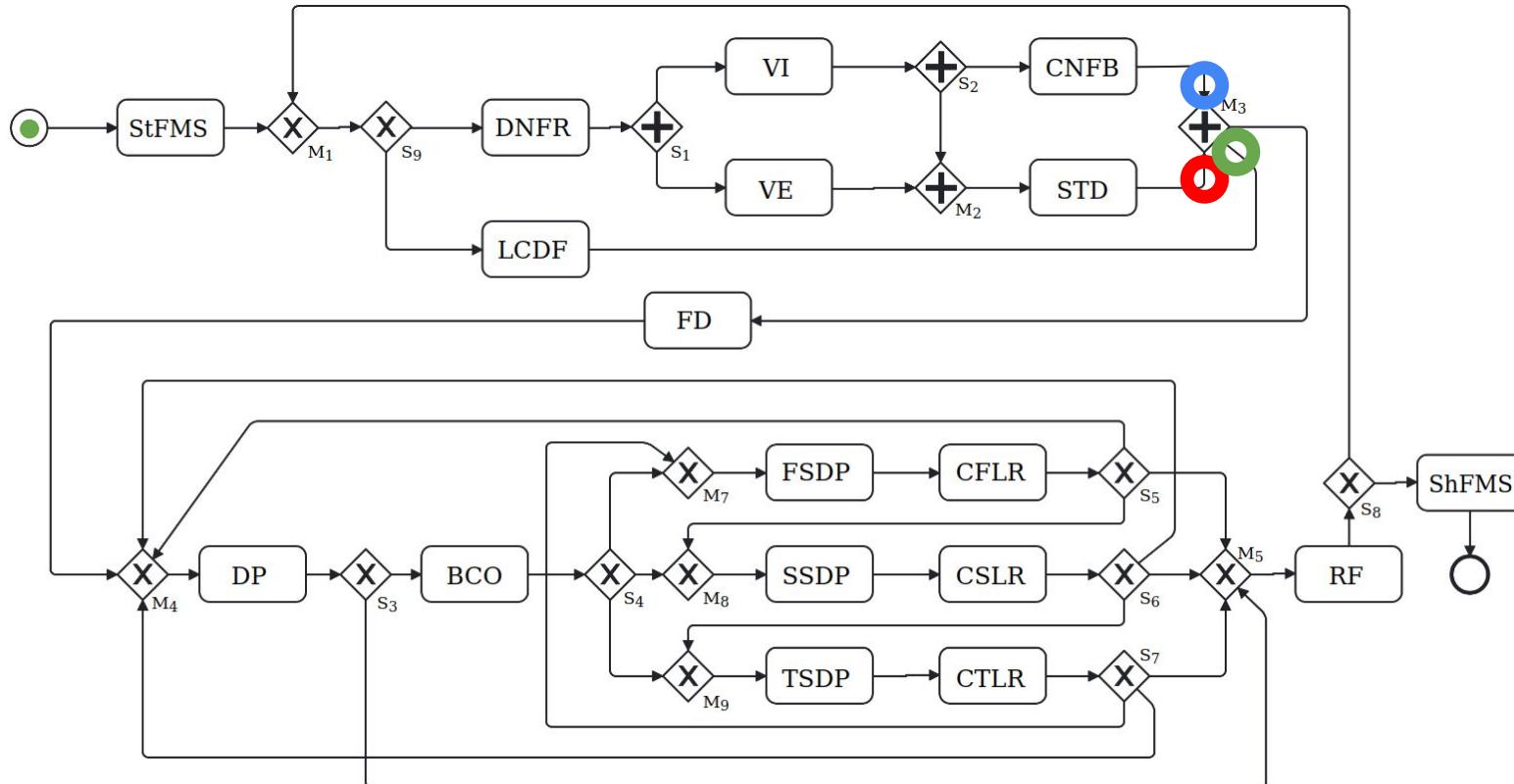


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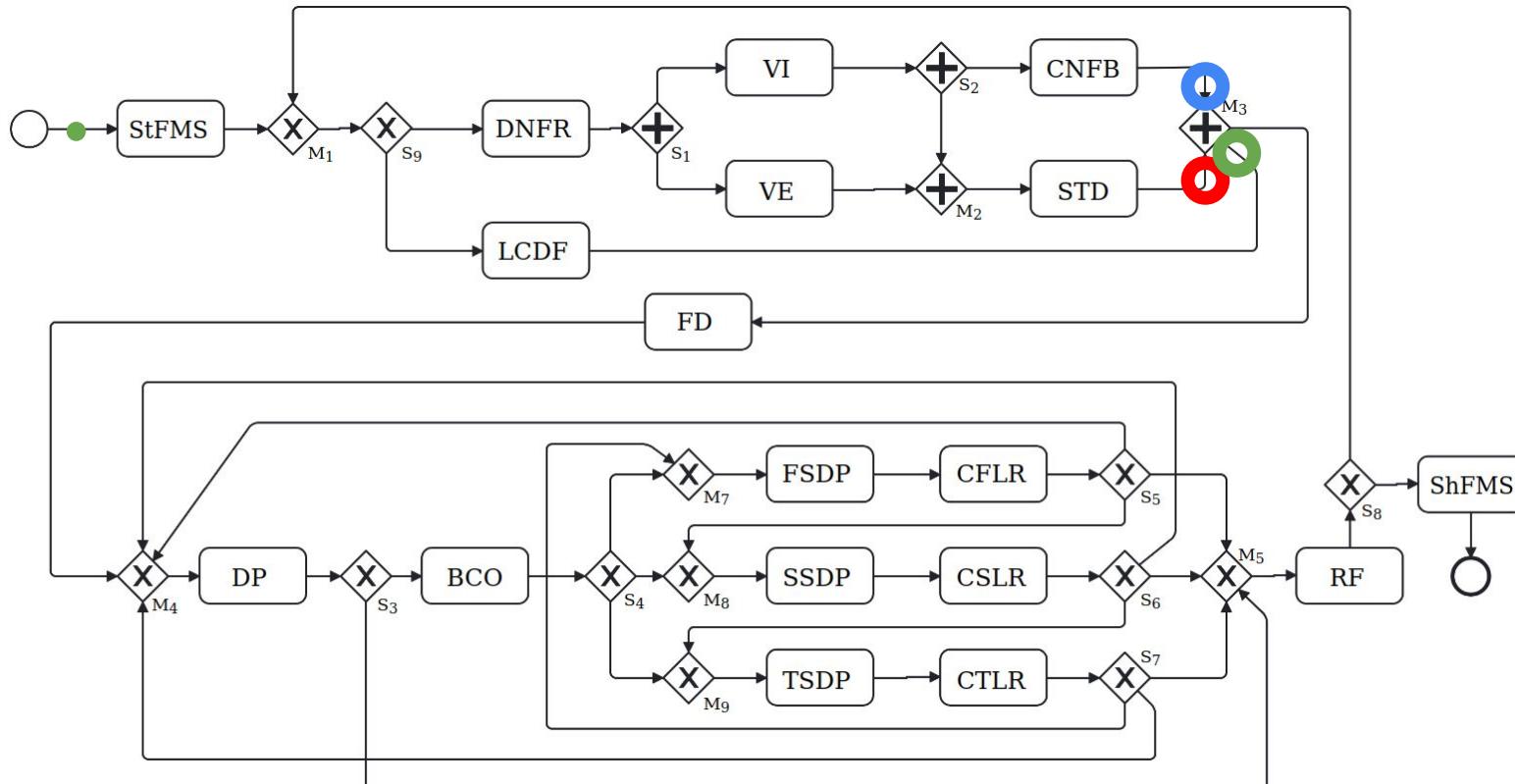
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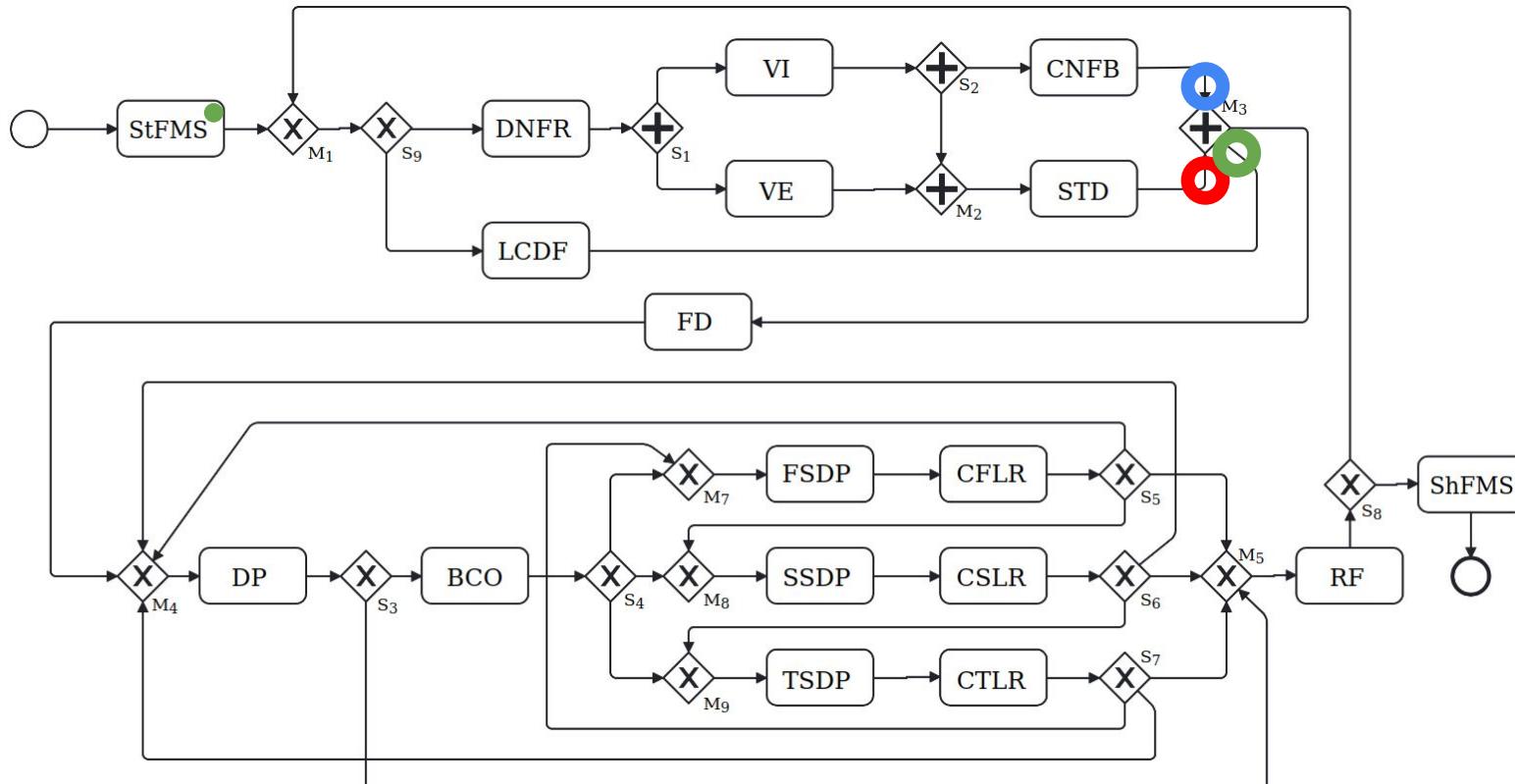
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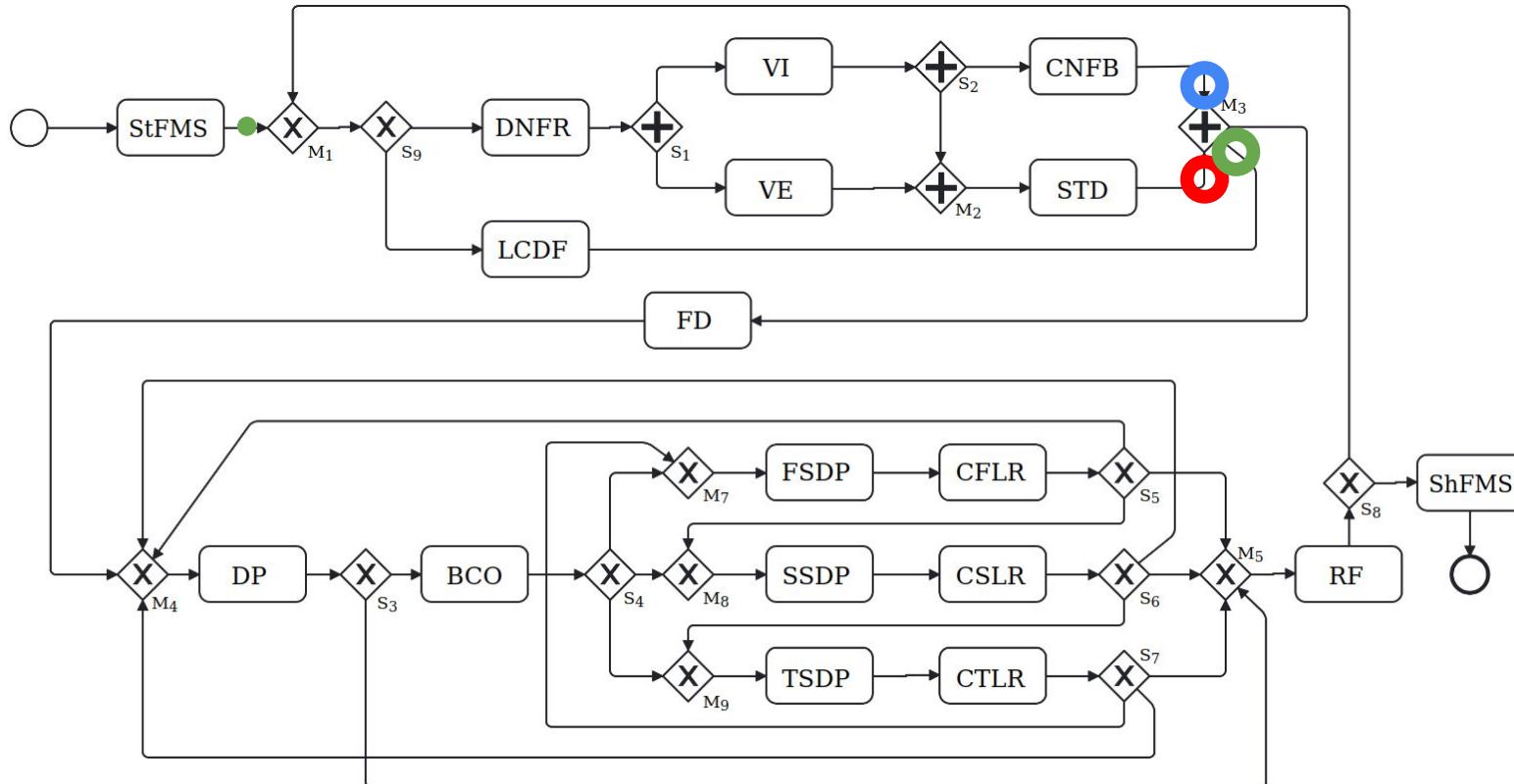
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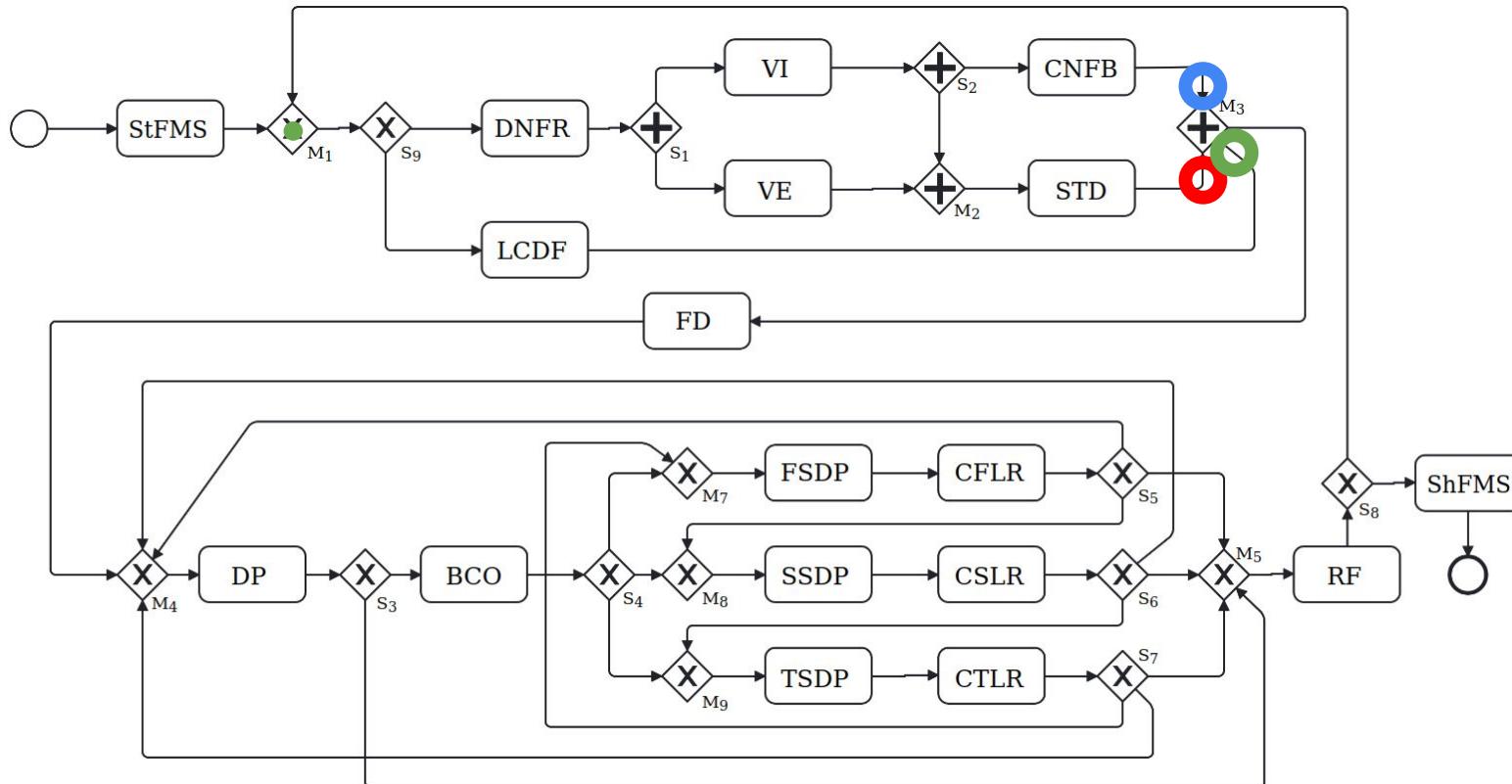
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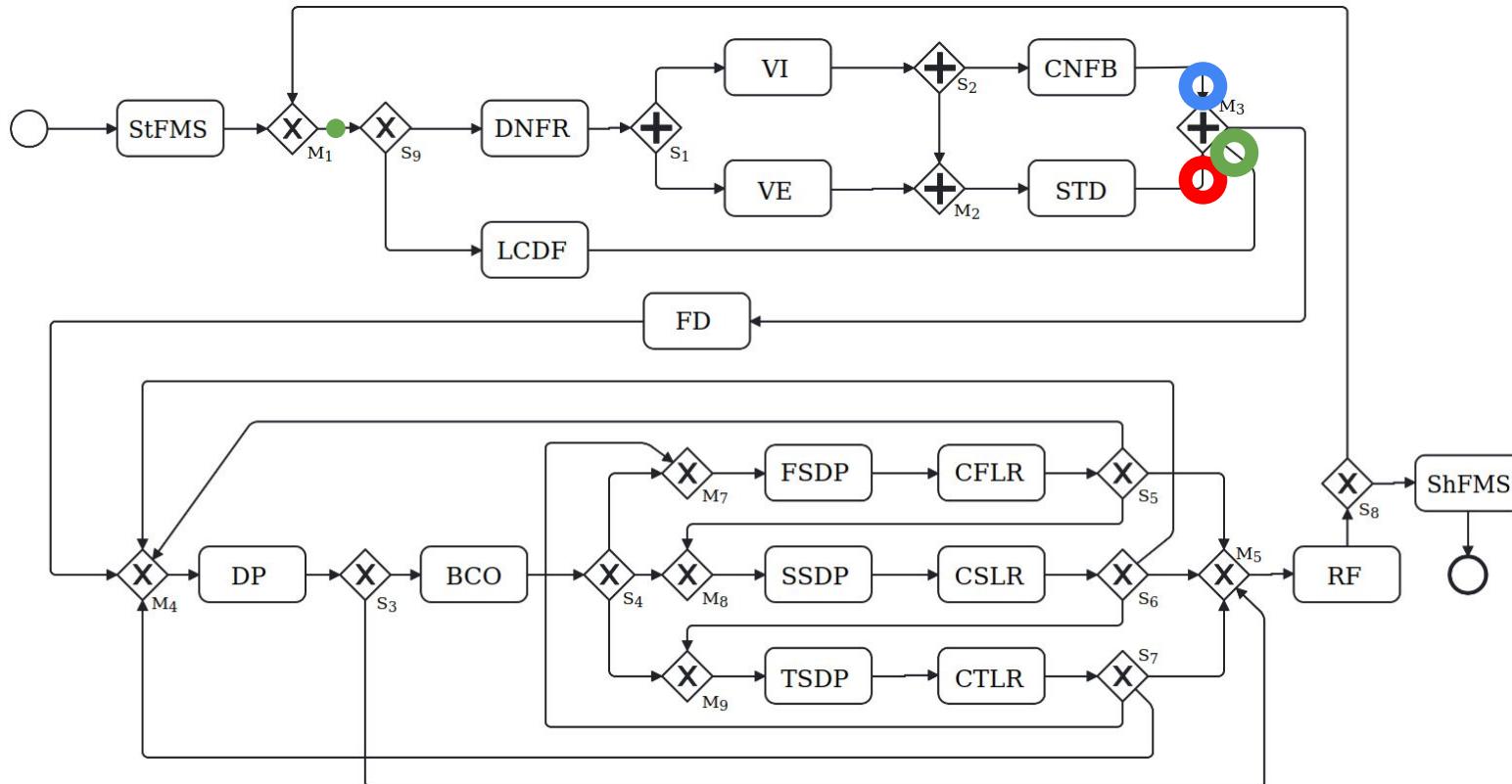
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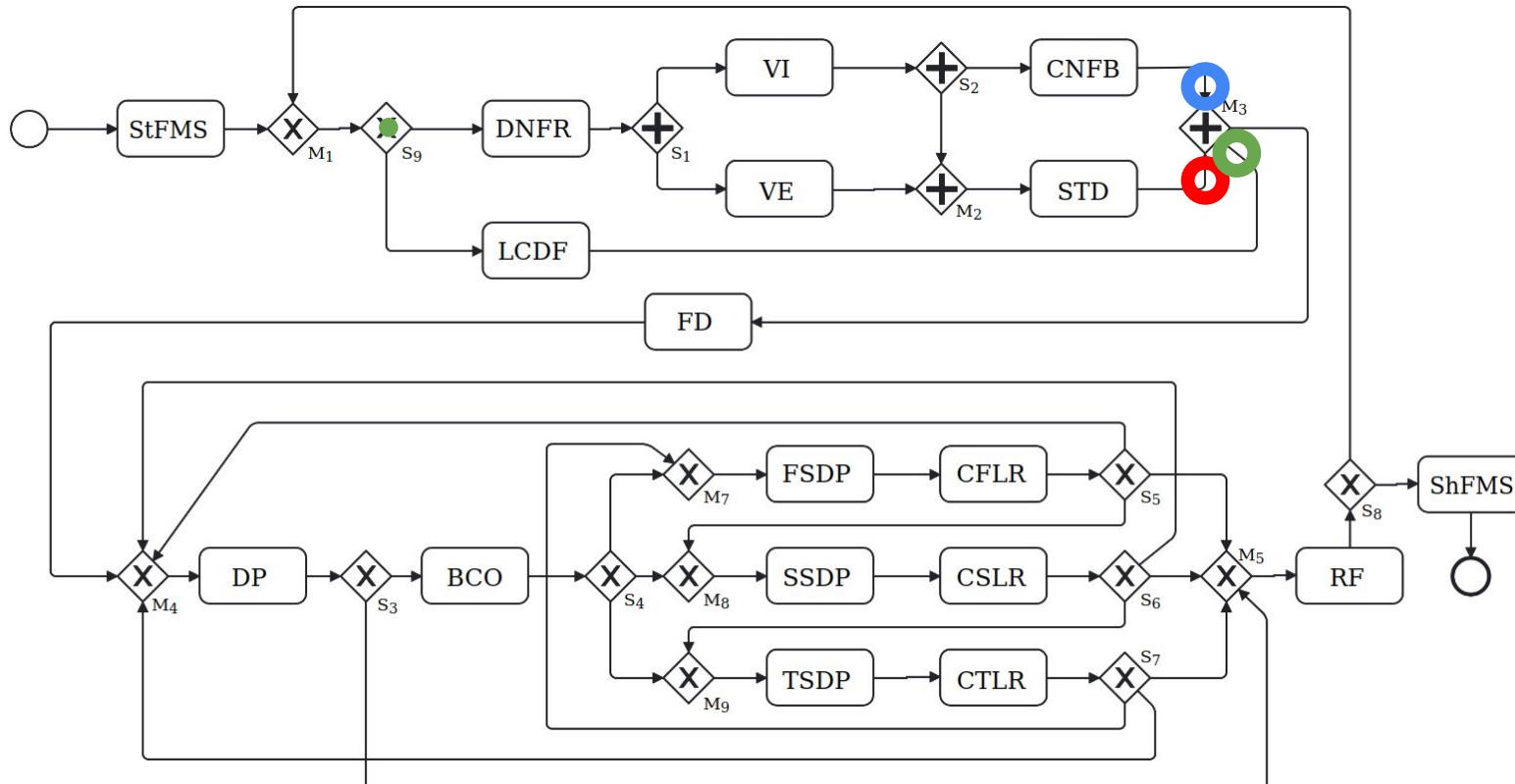
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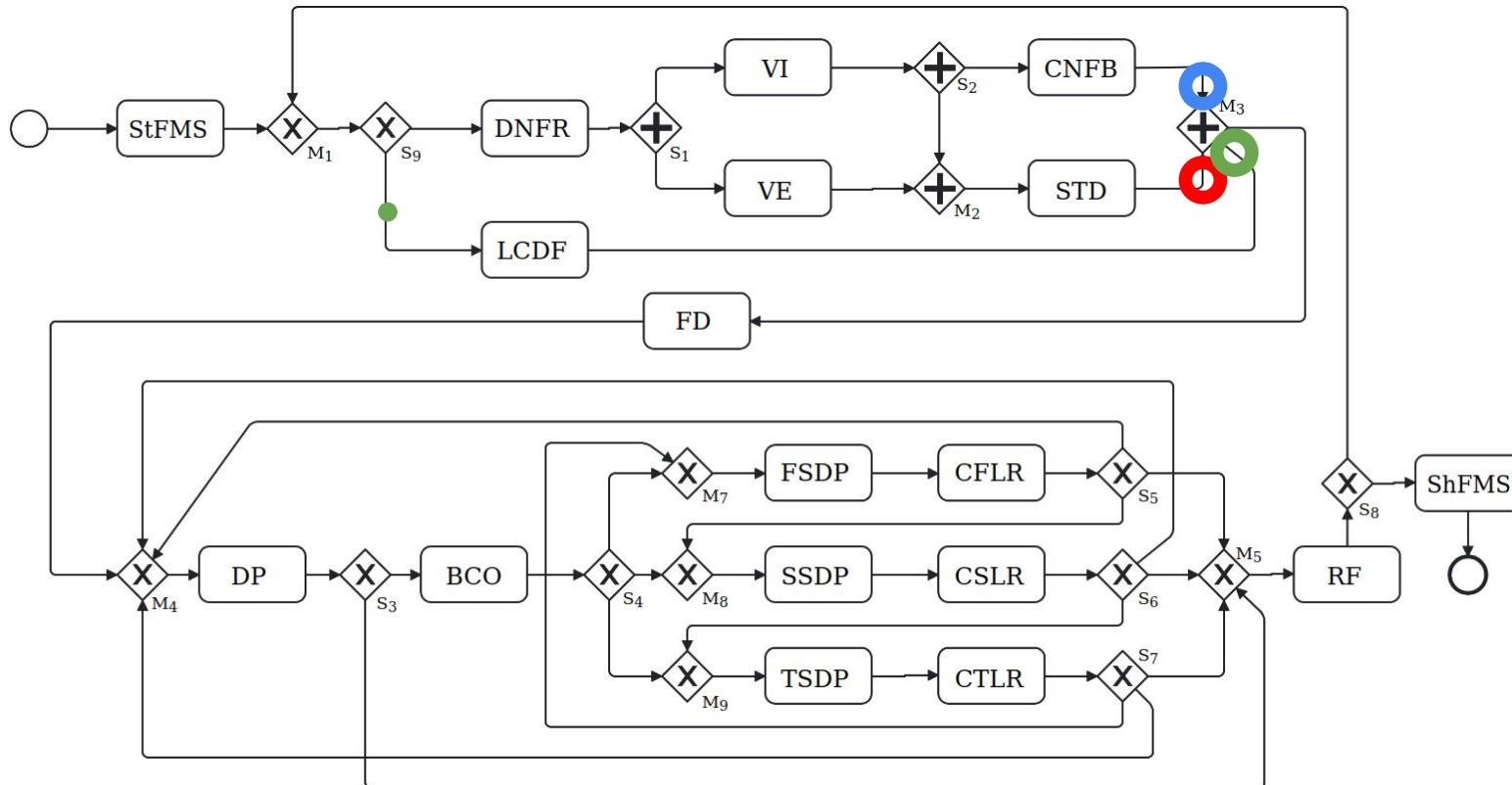
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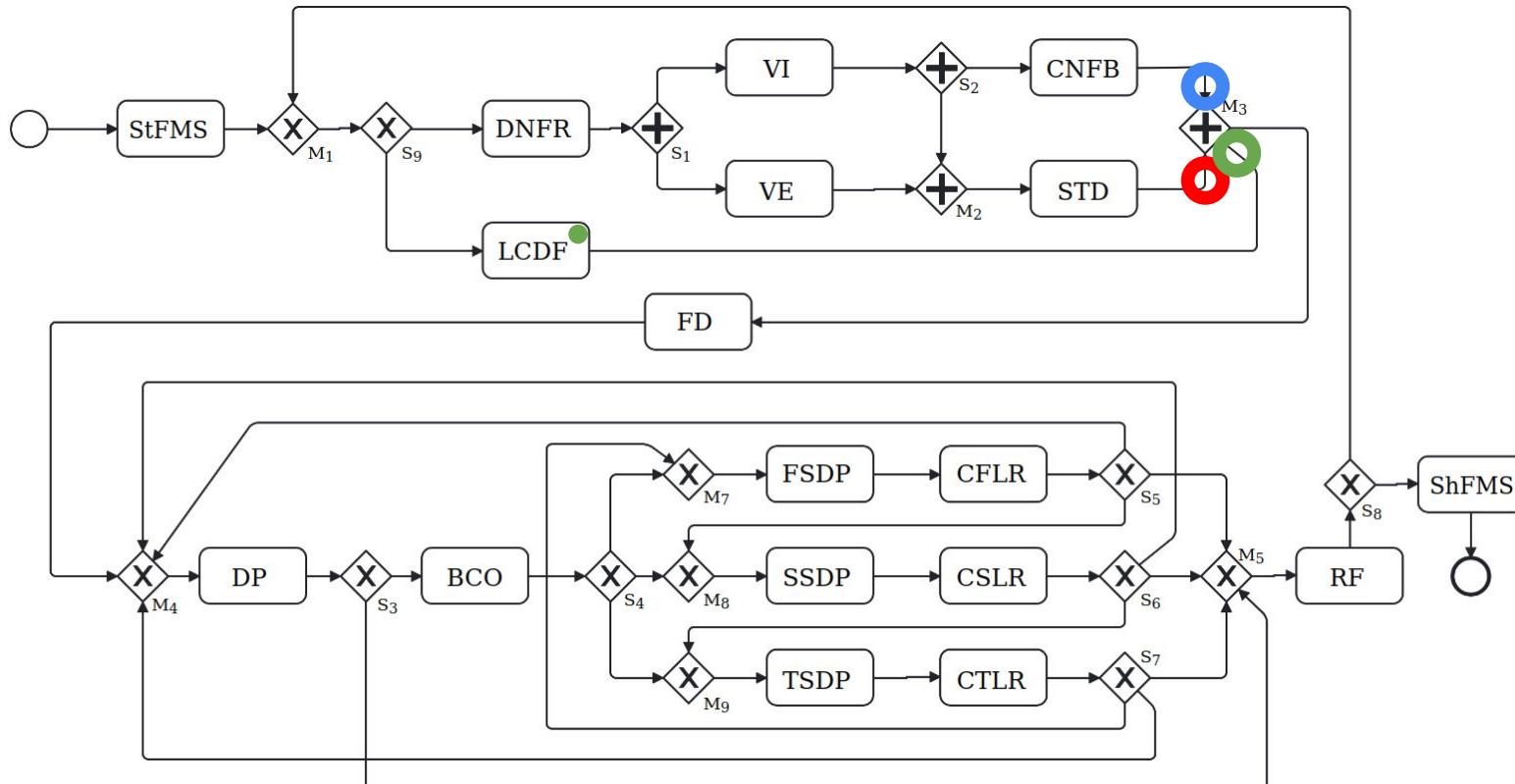
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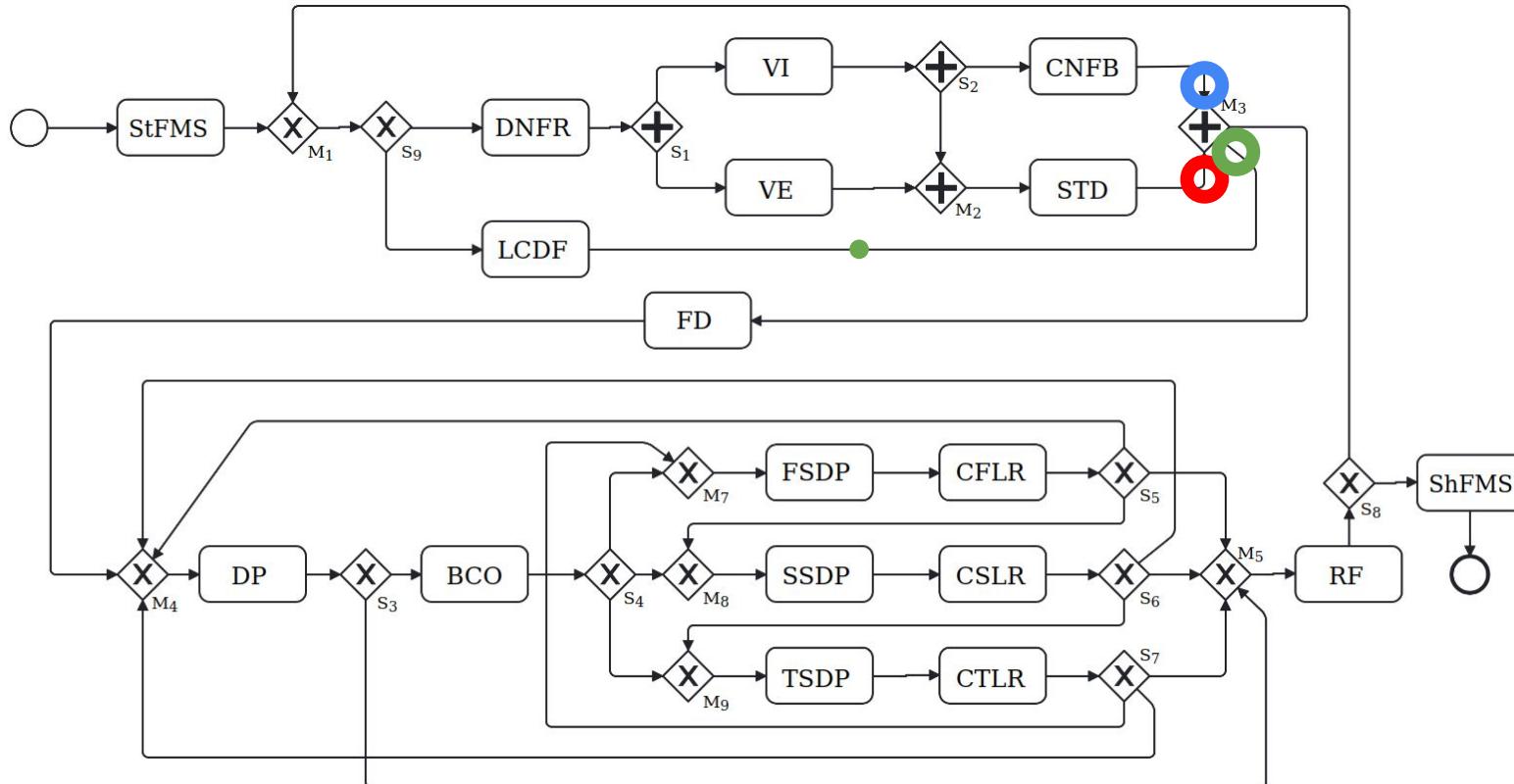
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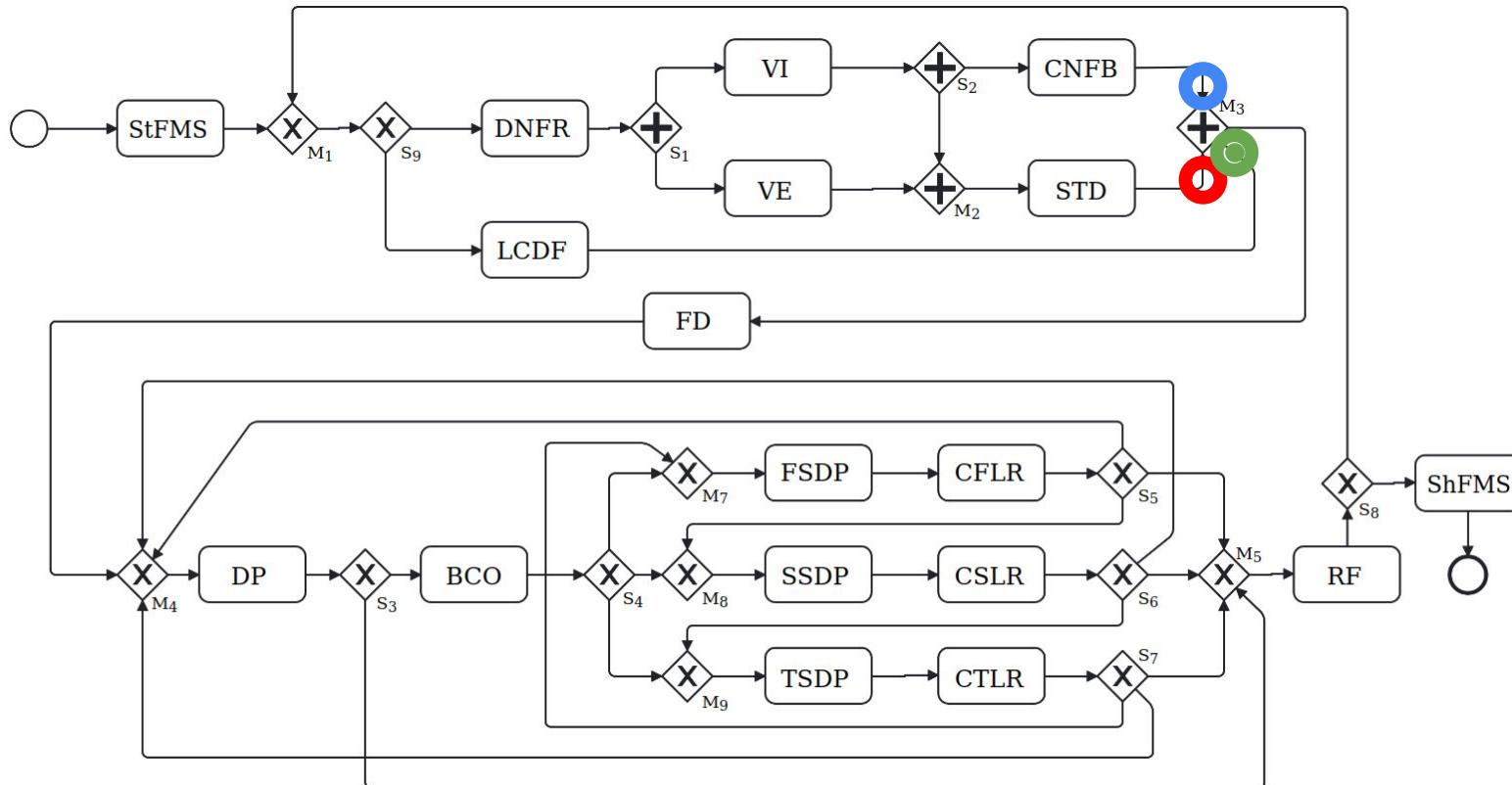
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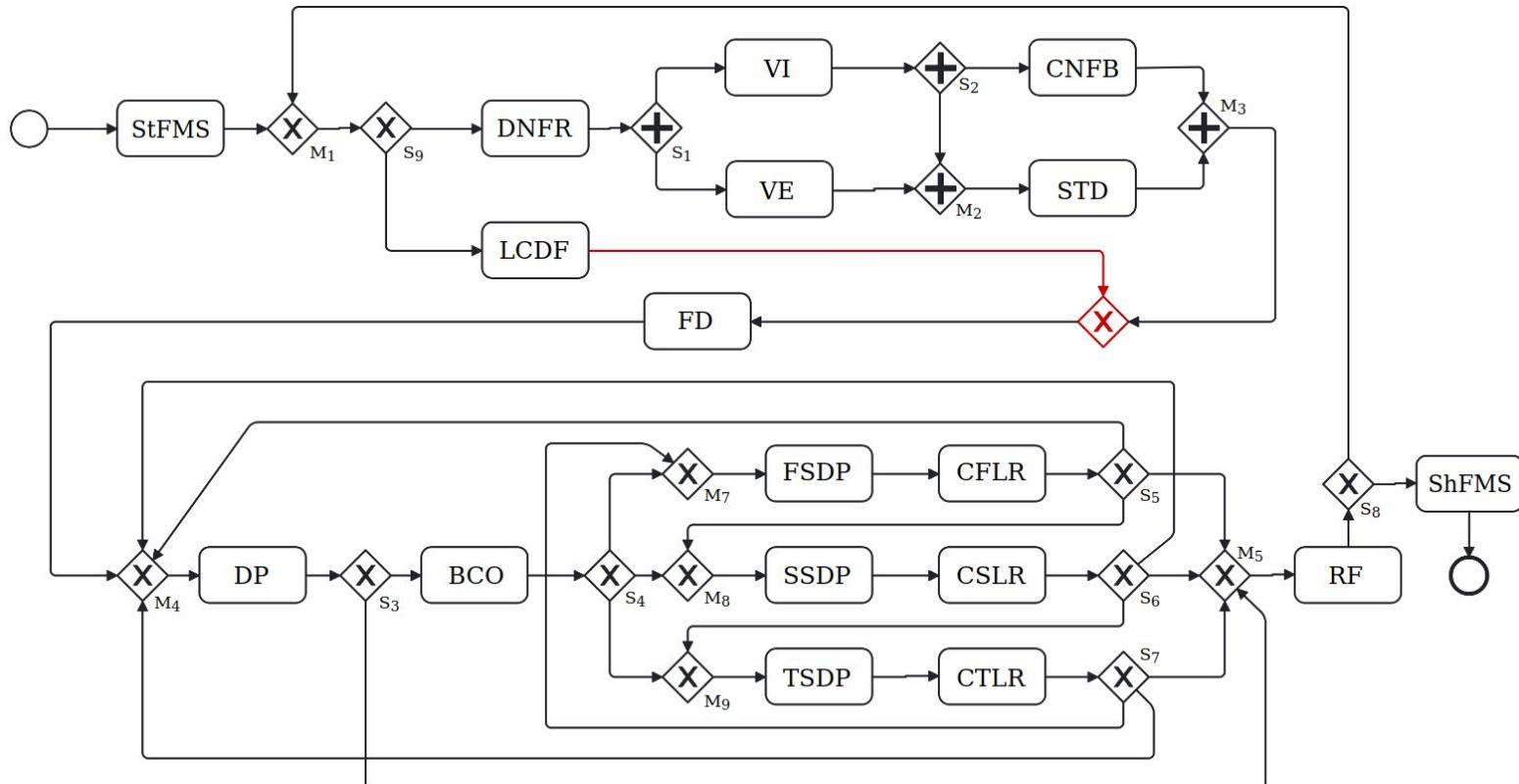


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Our solution is to **remove partially** and **step-by-step** parallelism in the process.

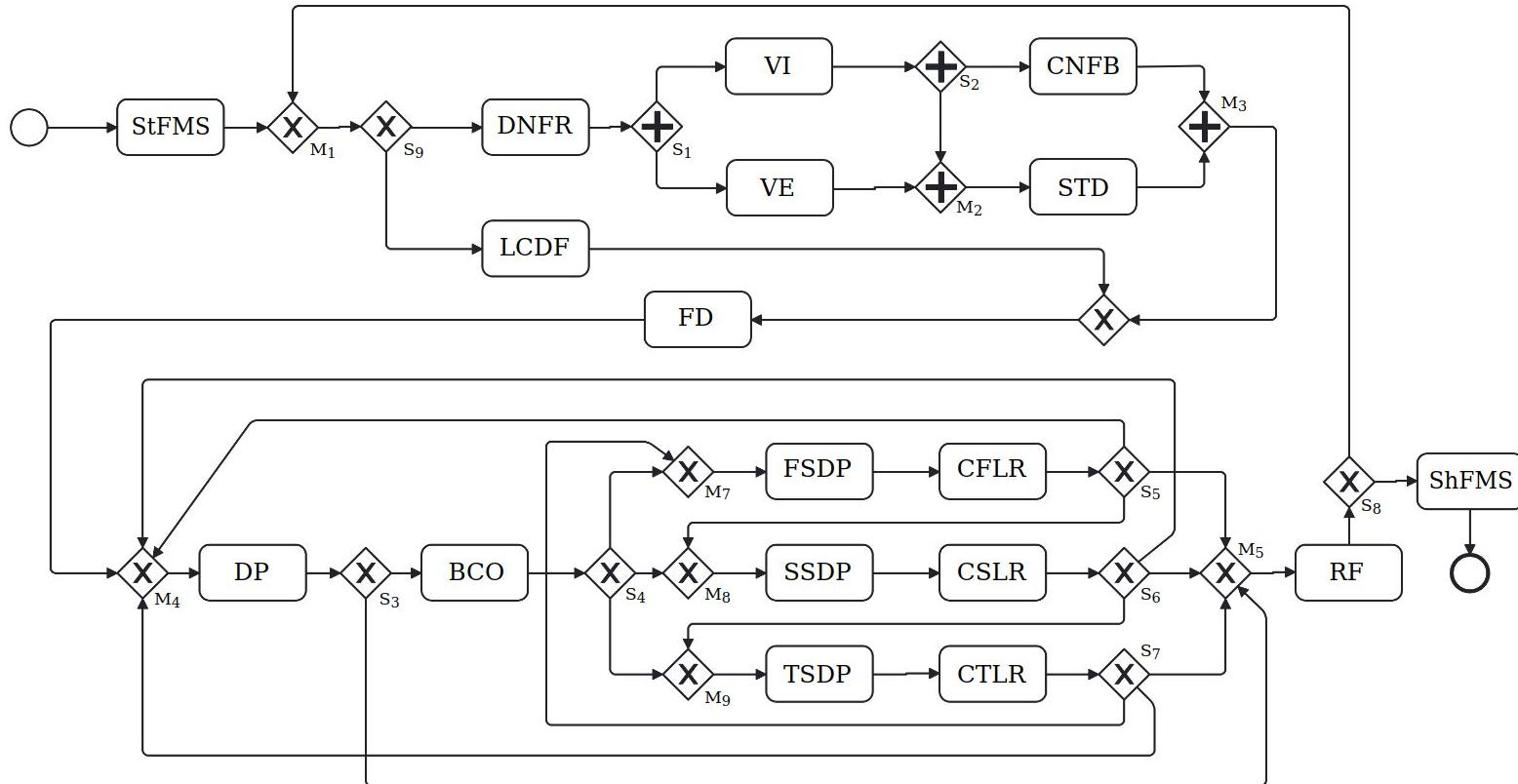
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## Detailed Approach – Step 6.3 – Parallelism

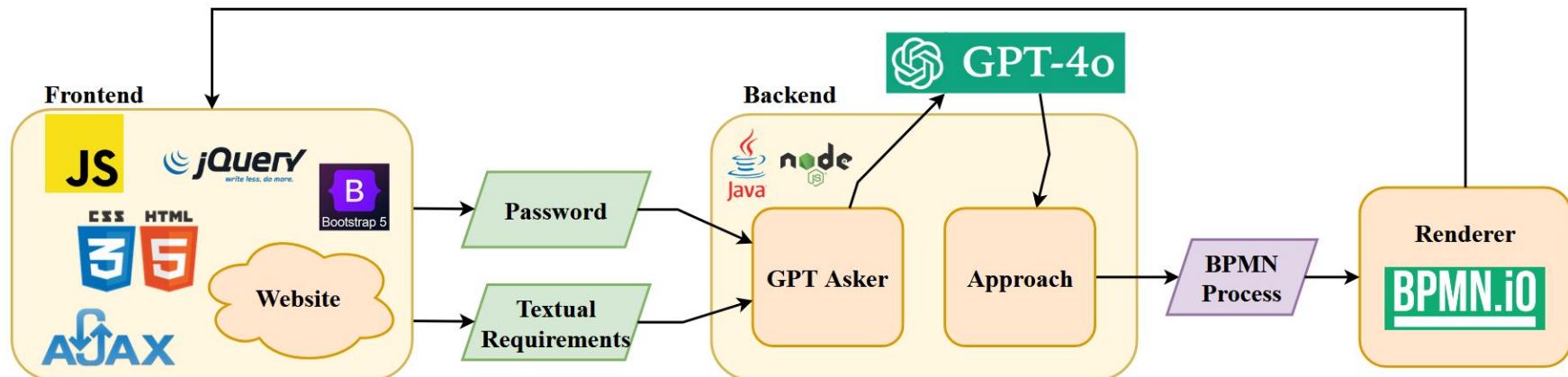
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The Java code has been **embedded** in the backend of a **web server** freely accessible online (<https://lig-givup.imag.fr/>).



Experiments were conducted on **200 examples**, **25%** coming from the **PET dataset** and the **literature**, and **75% handcrafted**.

Tool/Model	✓	?	✗	Avg. Ex. Time
Our tool	<b>83%</b>	9.8%	<b>7.2%</b>	<b>7.21s</b>
NaLa2BPMN	32.8%	8.9%	58.3%	68.7s
ProMoAI	50%	<b>8.7%</b>	41.2%	24.7s
Gemini	73.4%	13.8%	12.8%	7.67s
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Correct  
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An **ambiguous process** is a process that is **not incorrect** with regards to the description, but which **does not correspond to the expectations** of the experts.

In this work, we proposed an approach aiming at automatically designing **syntactically and semantically correct BPMN** processes from a **textual description** of the requirements.

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It has been **fully implemented** and **tested** as a tool consisting of approximately **12k lines** of Java code, which was embedded in the backend of a **web server** for **distribution purposes**.

## I/ Introduction

## II/ Modelling BPMN Processes

II.1/ Introduction

II.2/ Textual Description

II.3/ LLM Prompting

II.4/ Expressions

II.5/ Mapping to ASTs

II.6/ Dependency Graph  
Construction

II.7/ BPMN Process Construction  
& Refinement

II.8/ Tool & Experiments

II.9/ Conclusion

## III/ Optimising BPMN Processes

III.1/ Introduction

III.2/ Selection of the Processes

III.3/ Mutation of the Processes

III.4/ Comparison of the  
Processes

III.5/ Tool & Experiments

III.6/ Conclusion

## IV/ Related Work

## V/ General Conclusion

## VI/ References

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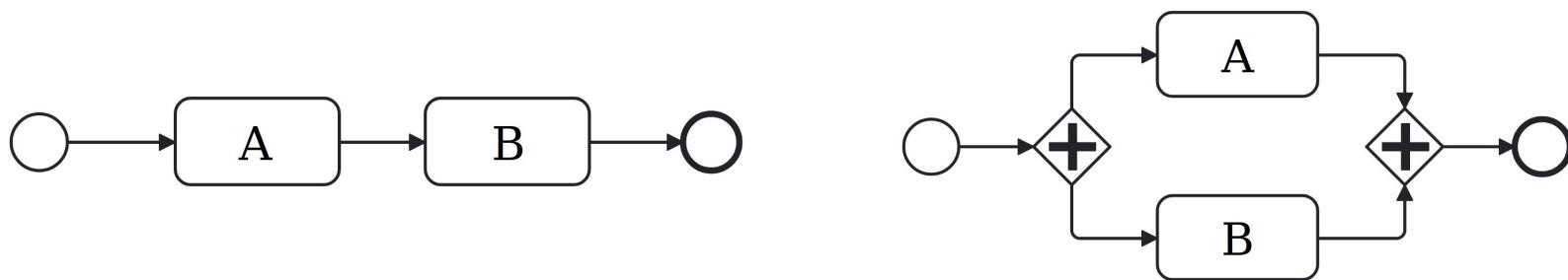
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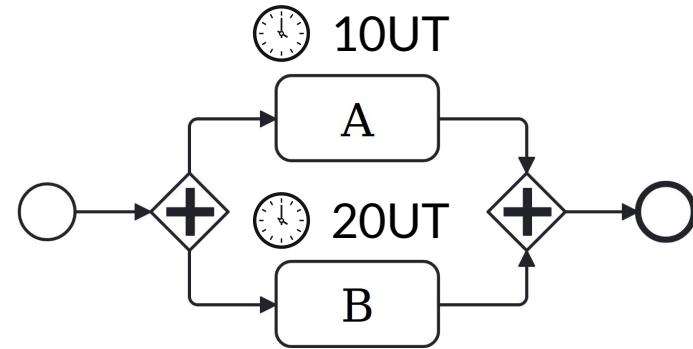
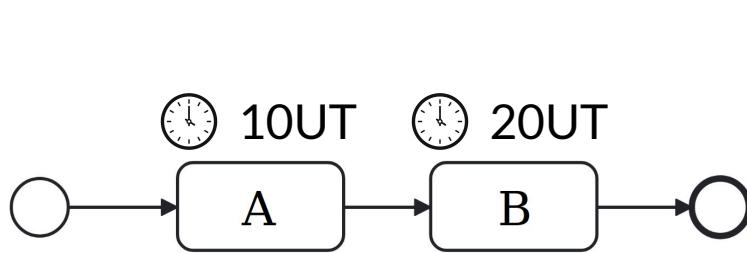
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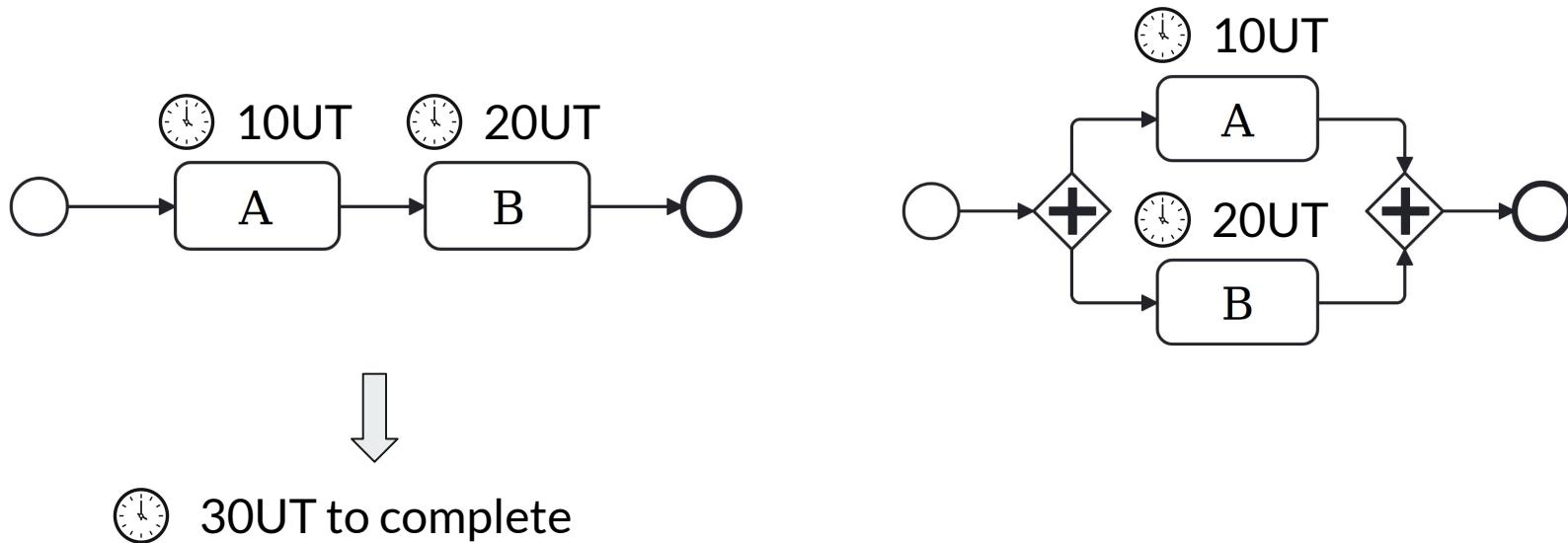
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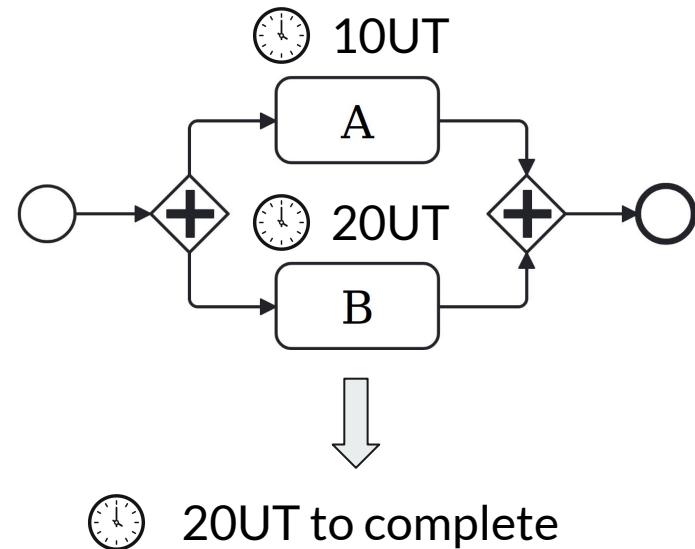
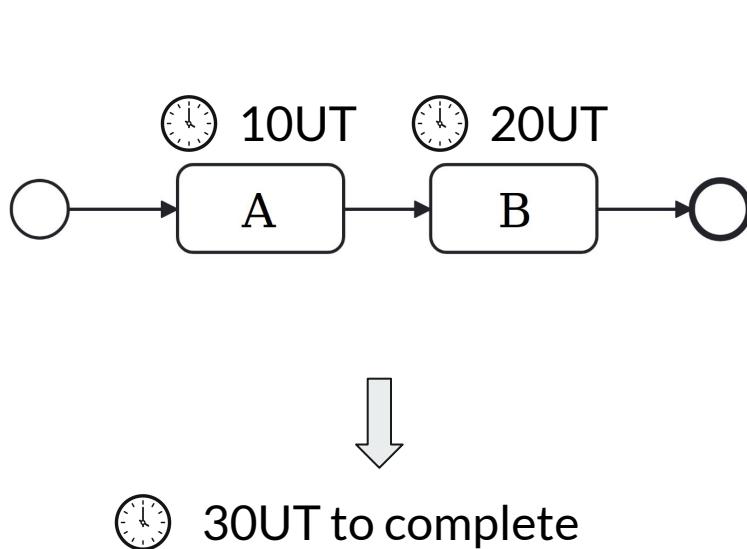
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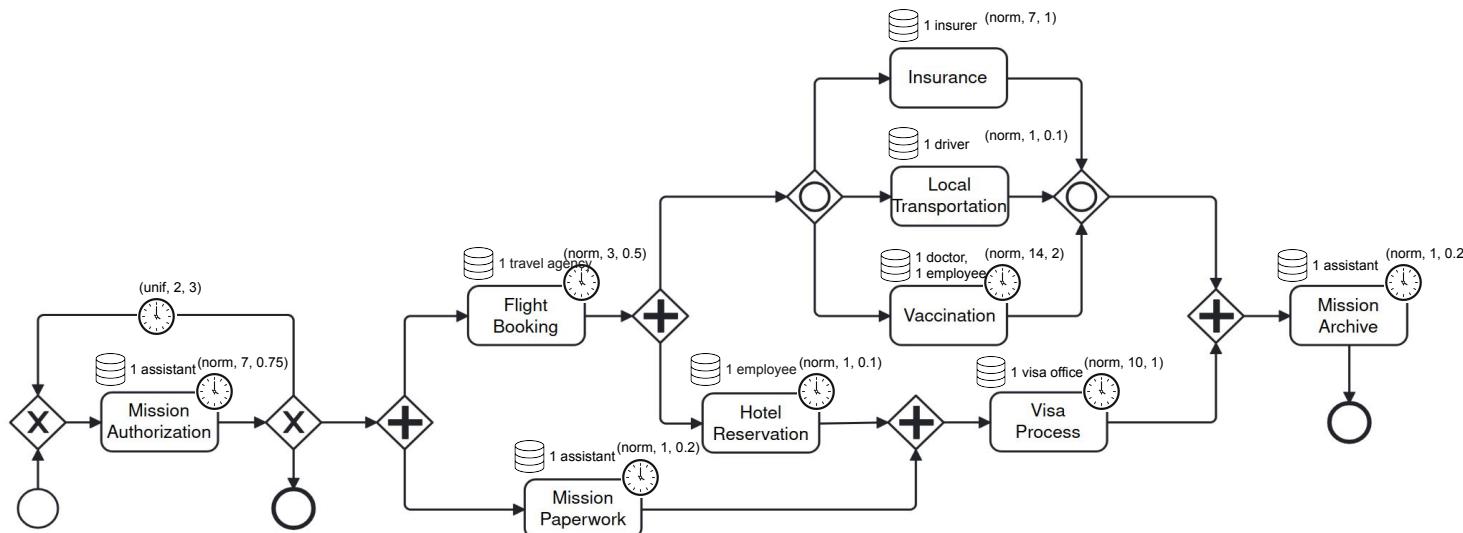
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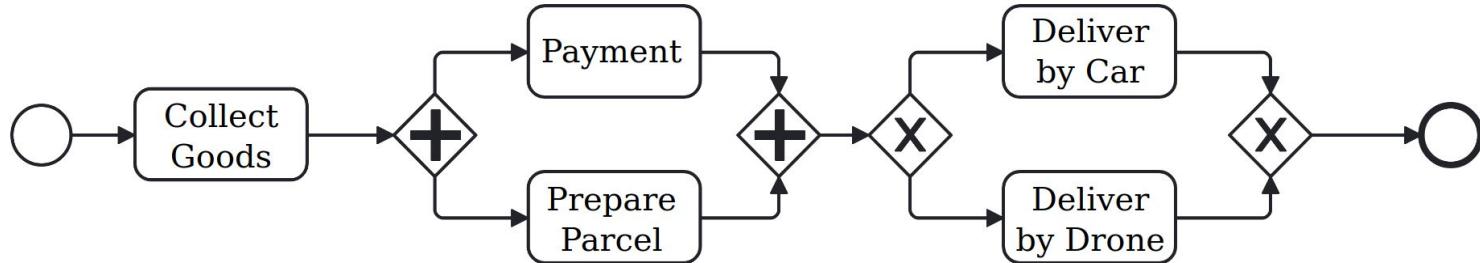


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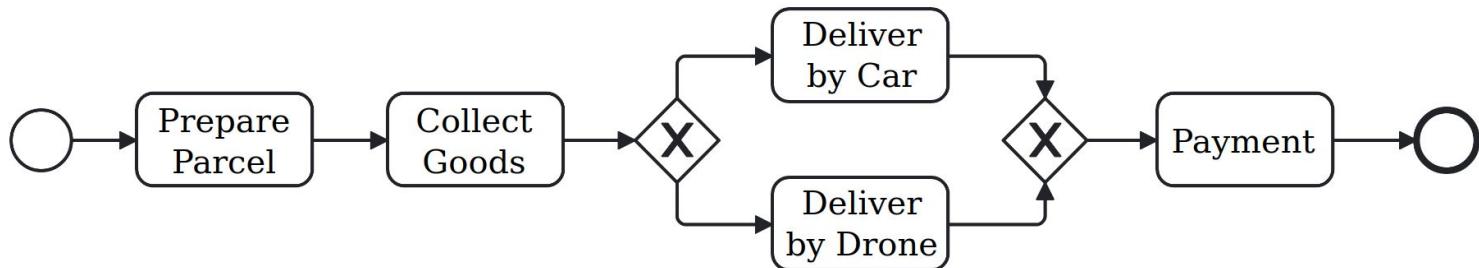
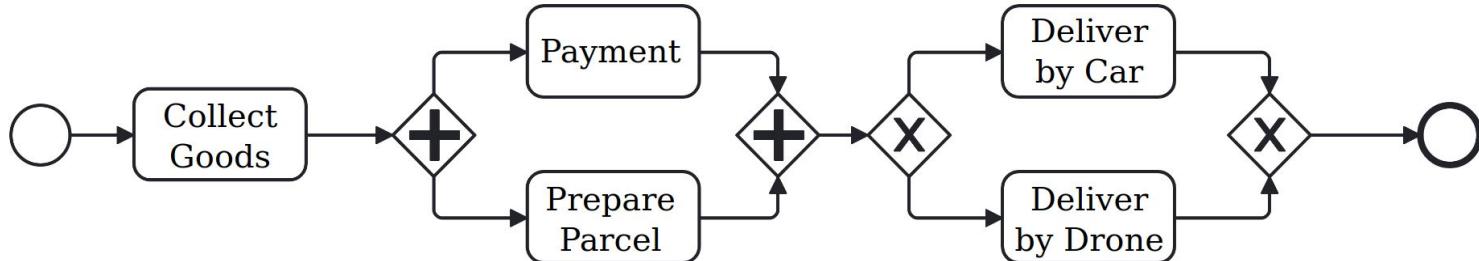


➤ How can you **optimise** a BPMN process in real-world conditions?

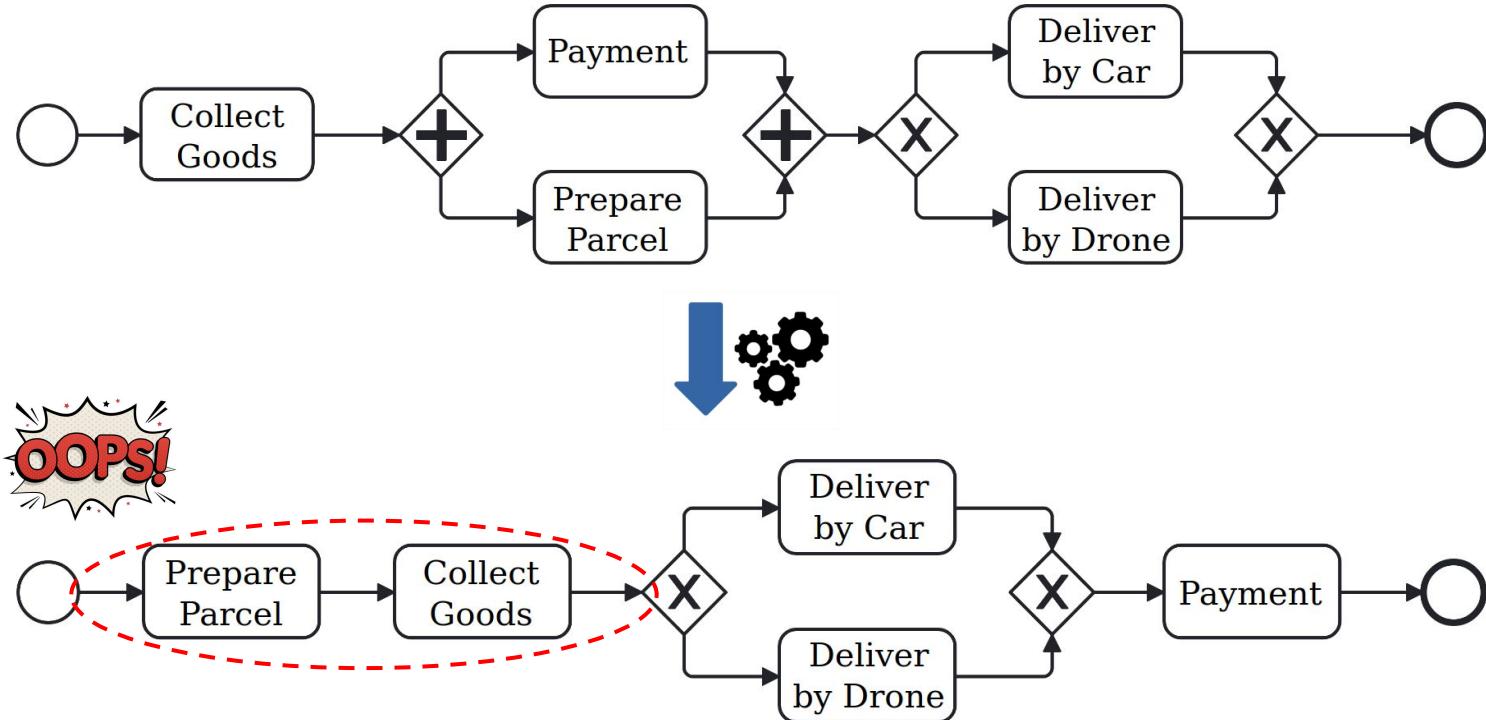
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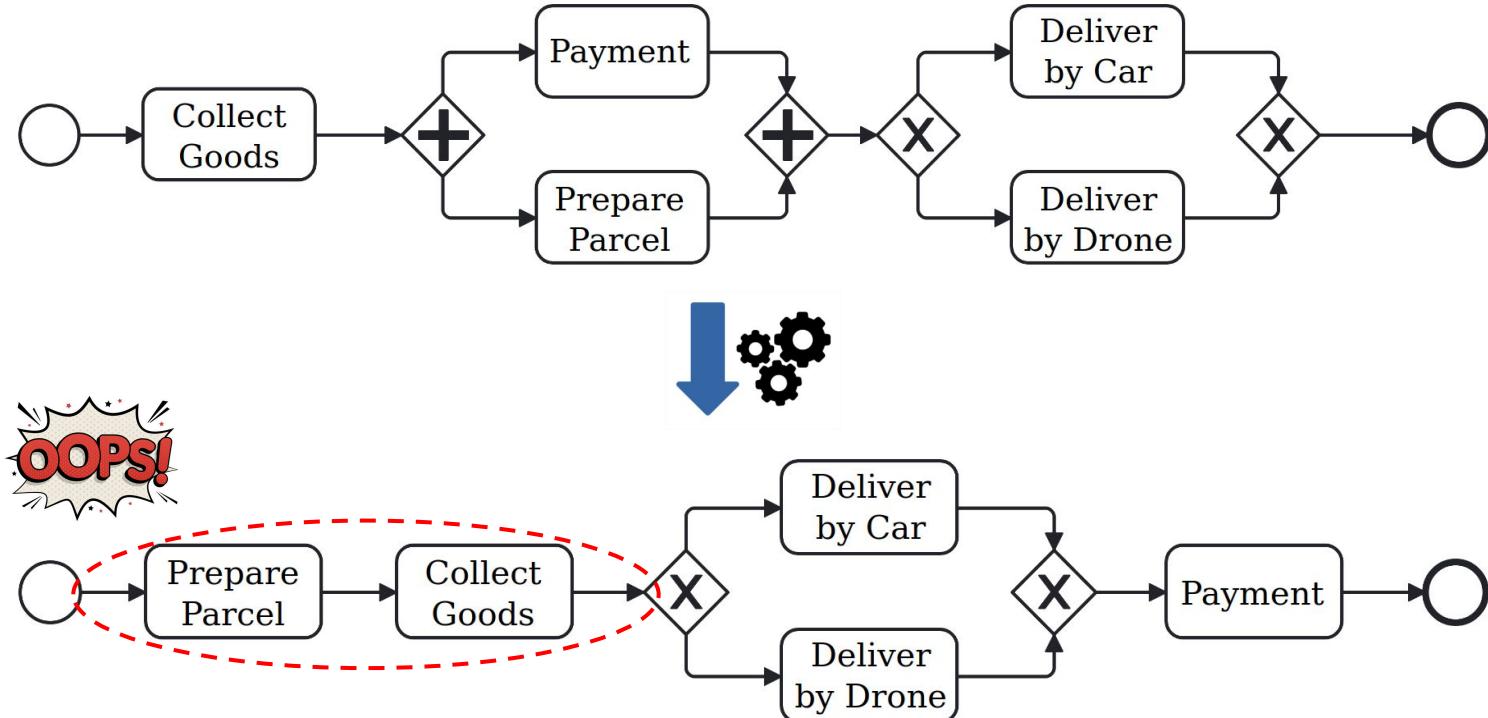
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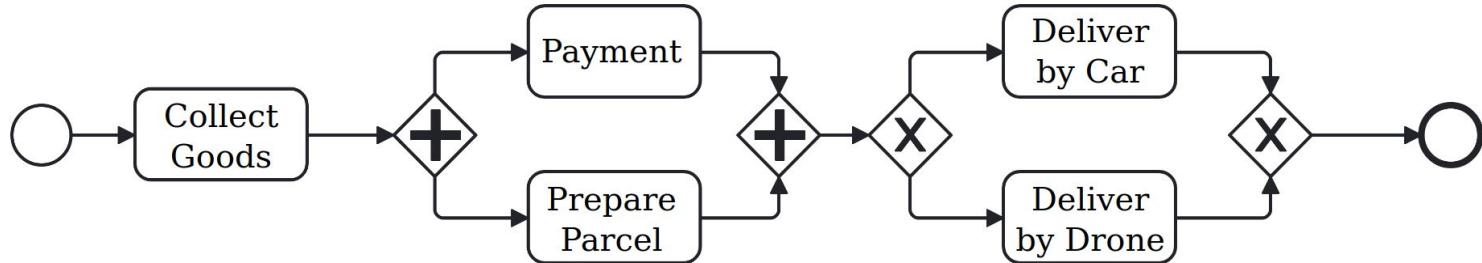


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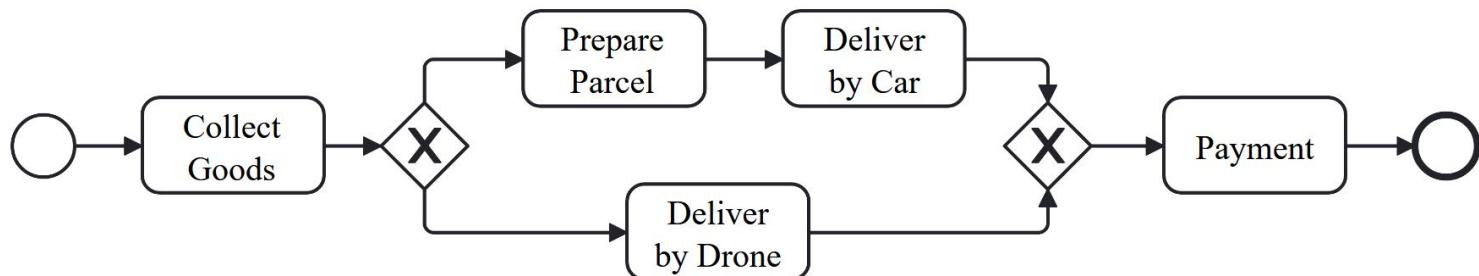
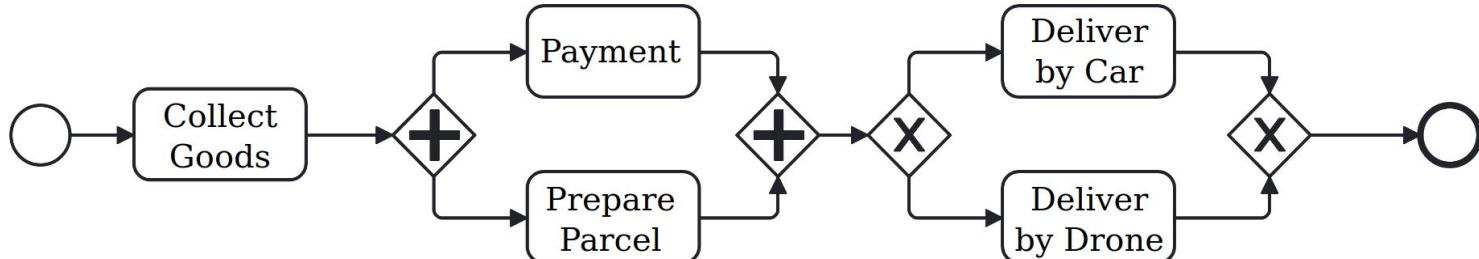


➤ How can you **preserve the logic/meaning** of the original process?

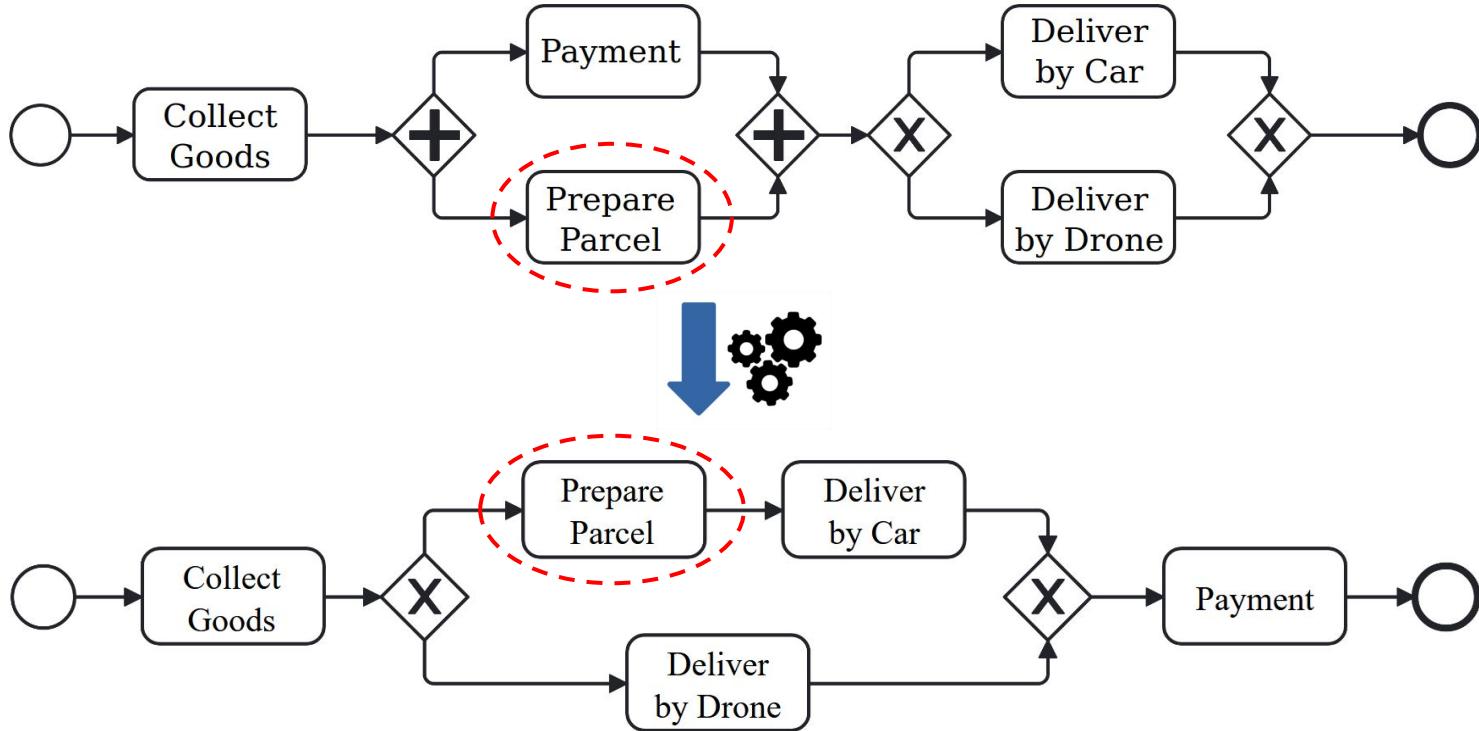
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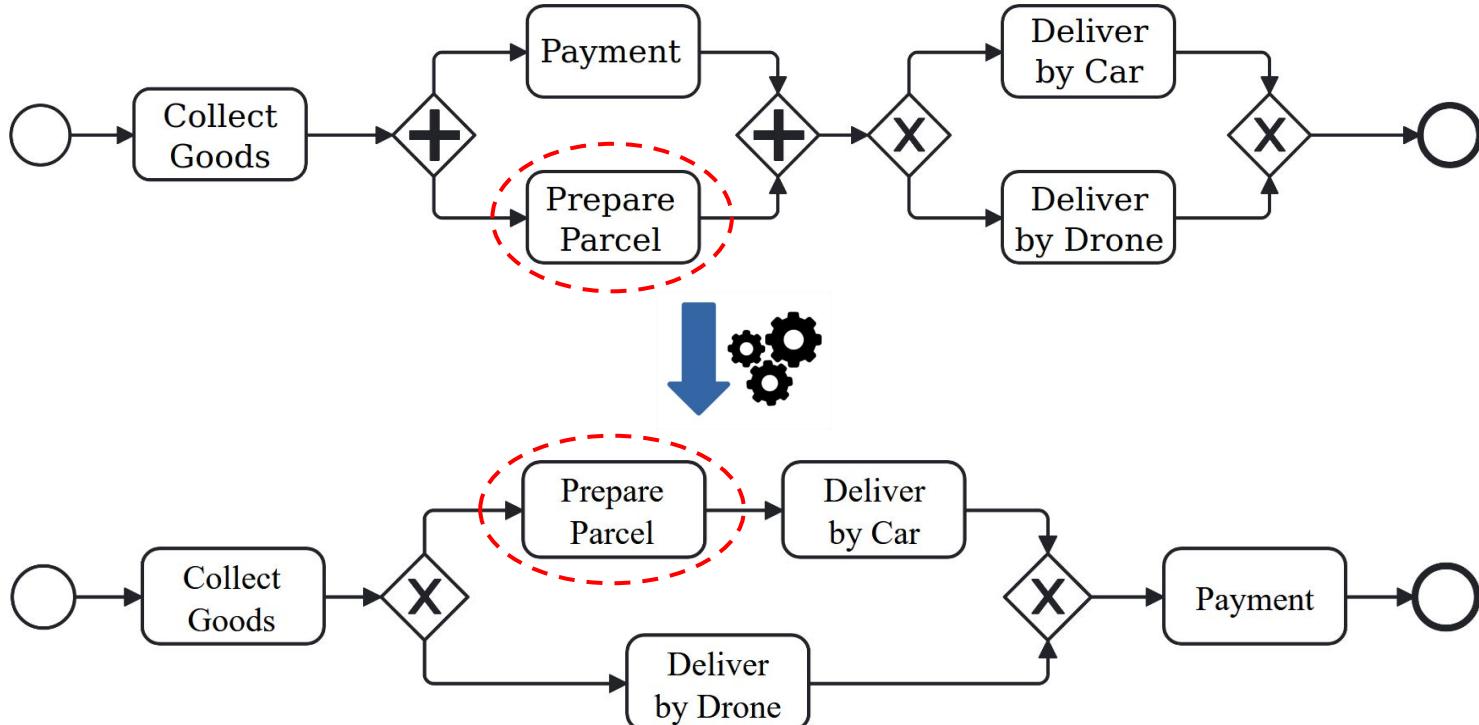
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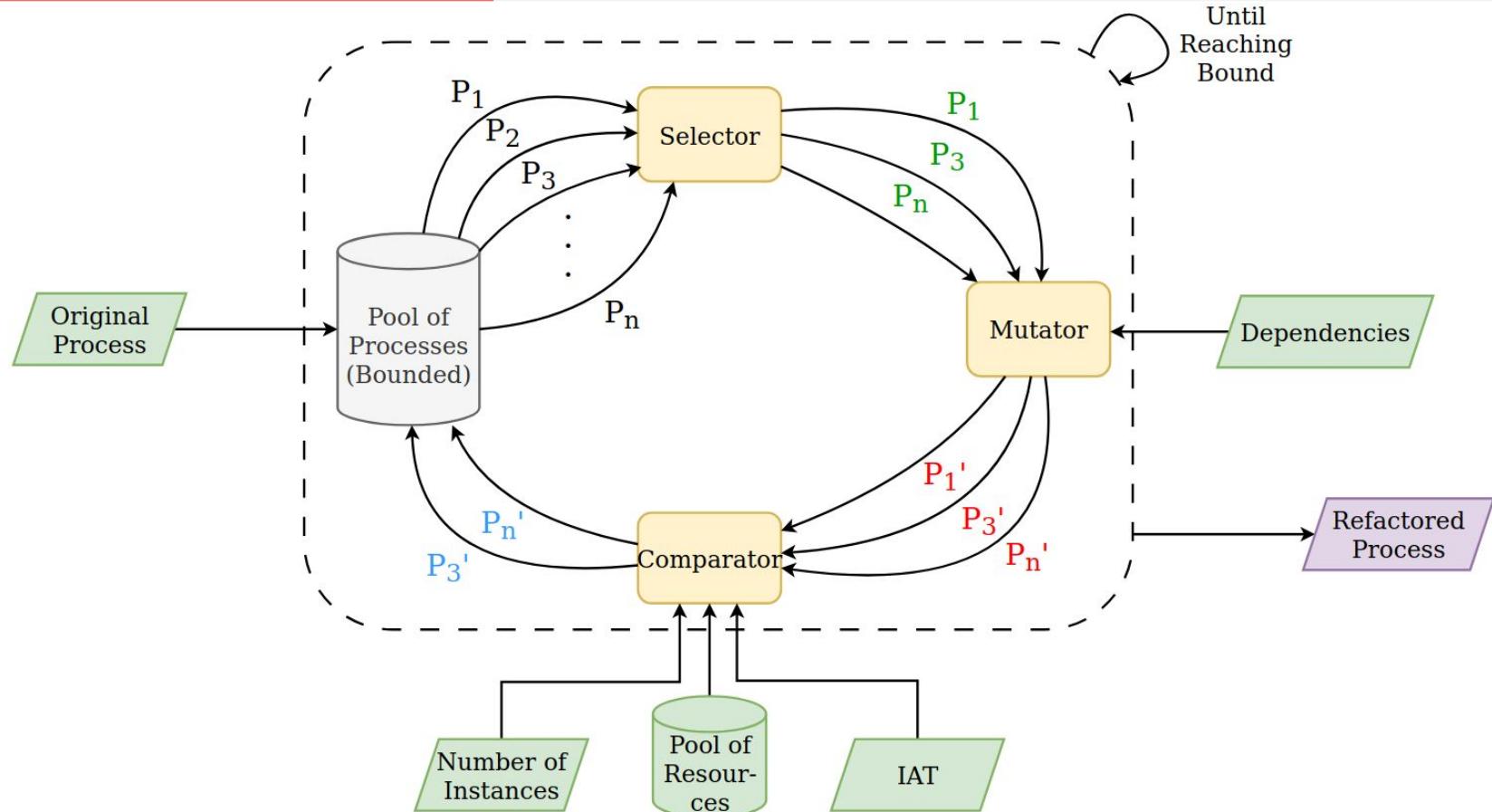


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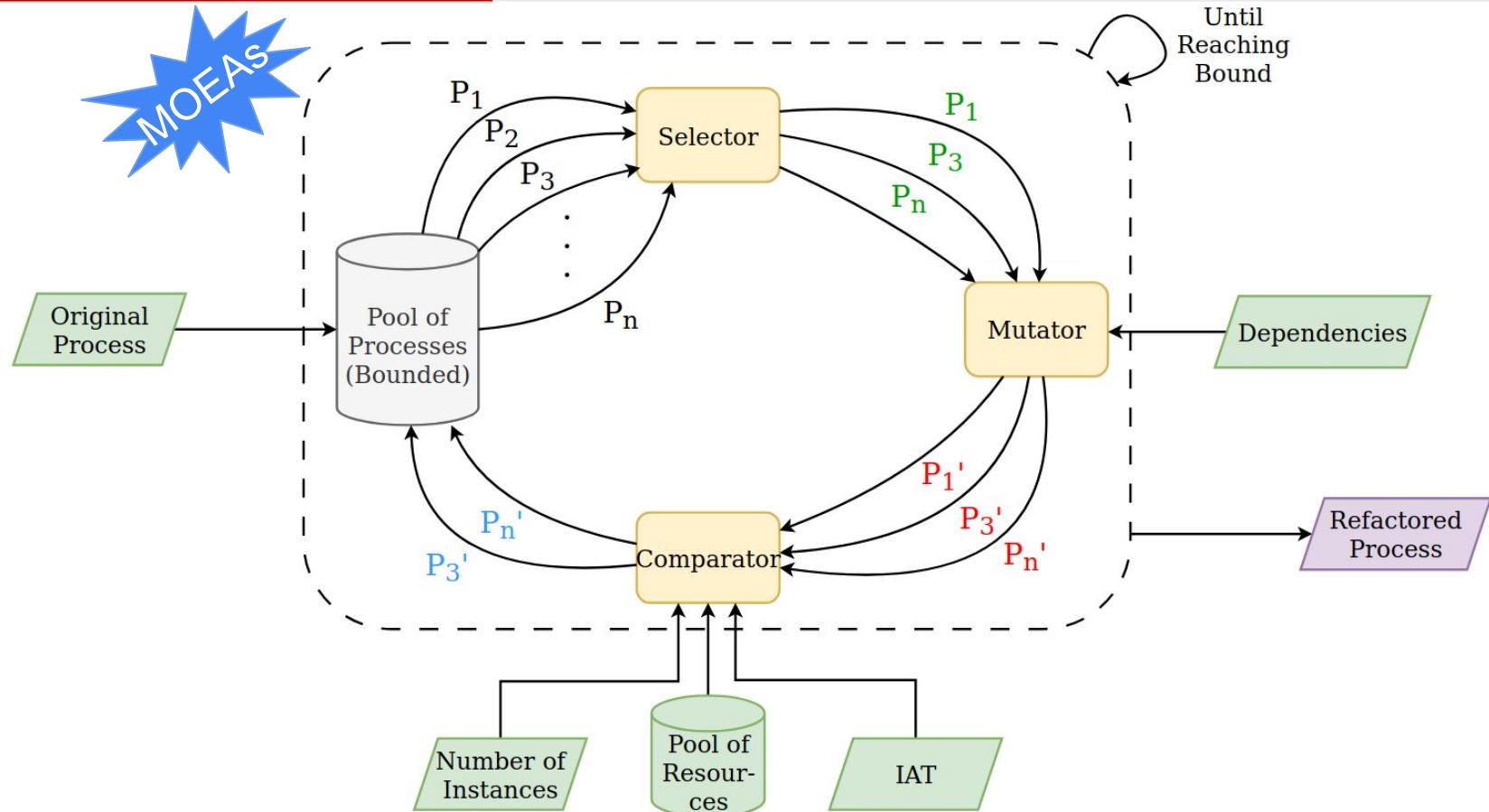


- How can you **preserve the structural semantics** of the original process?

# Global Approach

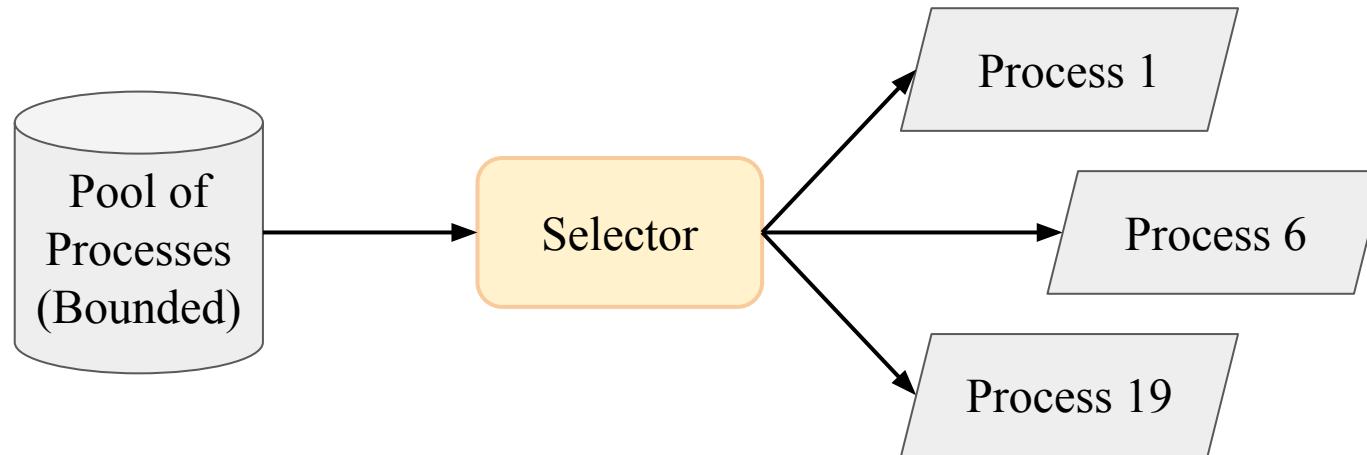


# Global Approach



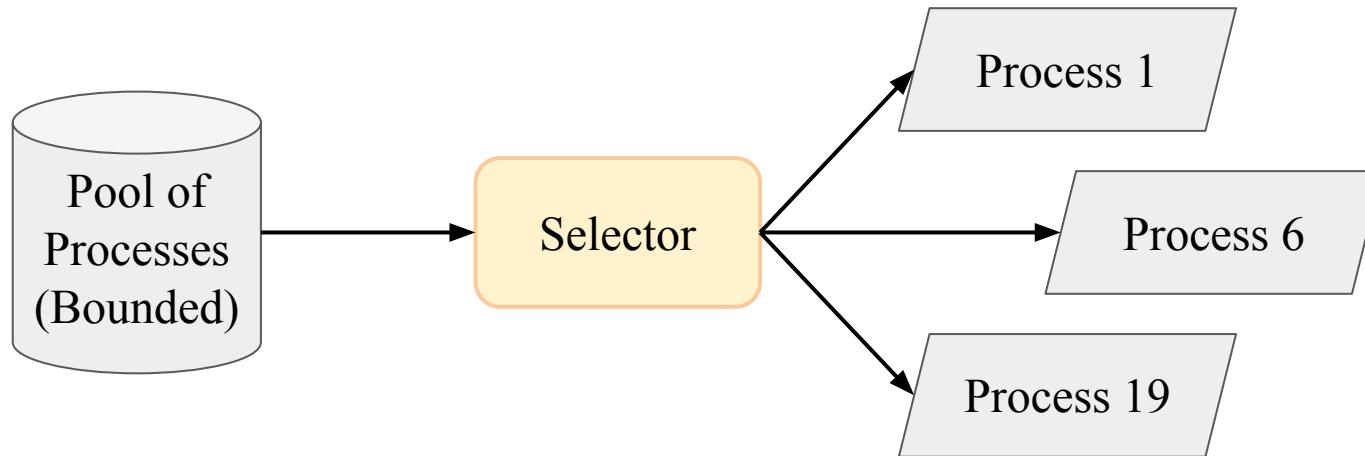
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## Step 1 – Selection

This step consists in **selecting** the processes that will be **mutated** from the **pool** of available **processes**.



This step can be performed in **various ways**, but is usually at the **discretion of the used algorithm**, which is also the case in this approach.

This step consists in **mutating** the selected processes.

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In this approach, a **mutation** is a **refactoring operation** that changes the structure of the process by **moving one** of its **tasks** from one place to another.

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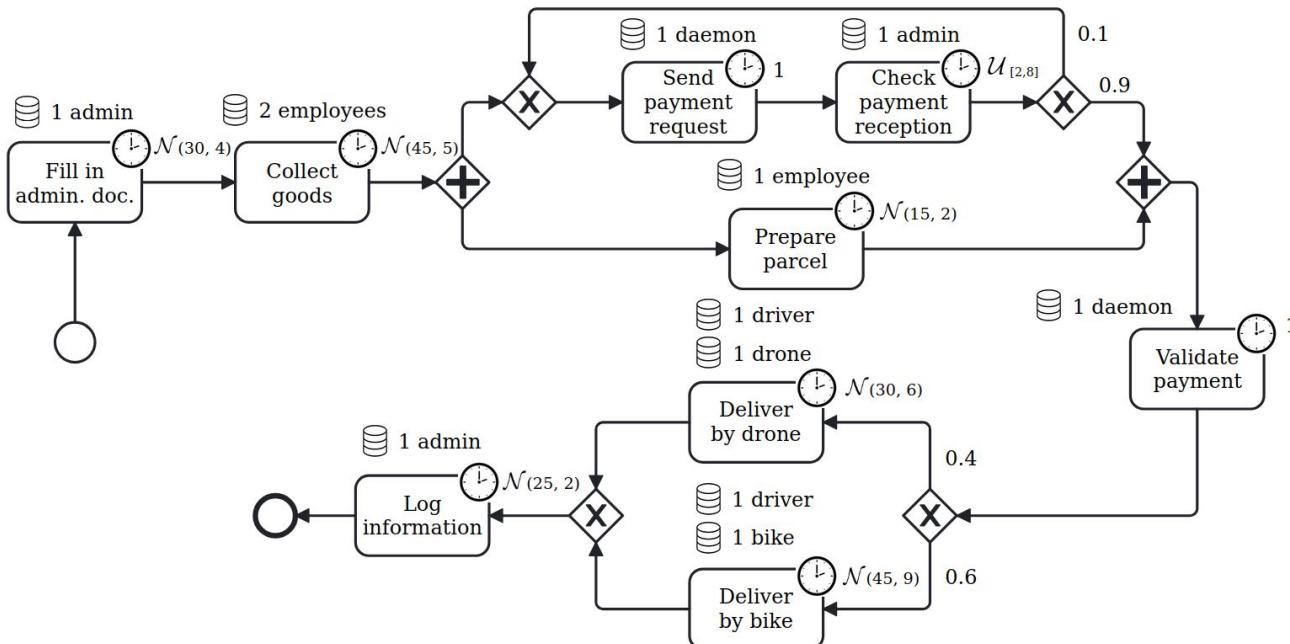
Such **guarantees** are **obtained** with the help of two mechanisms: **user-defined task dependencies**, and **refactoring patterns**.

## Step 2 – Task Dependencies

A **dependency** between two tasks is a **relationship** indicating the (immutable) **order** in which two tasks of the process must be **executed** to preserve its meaning.

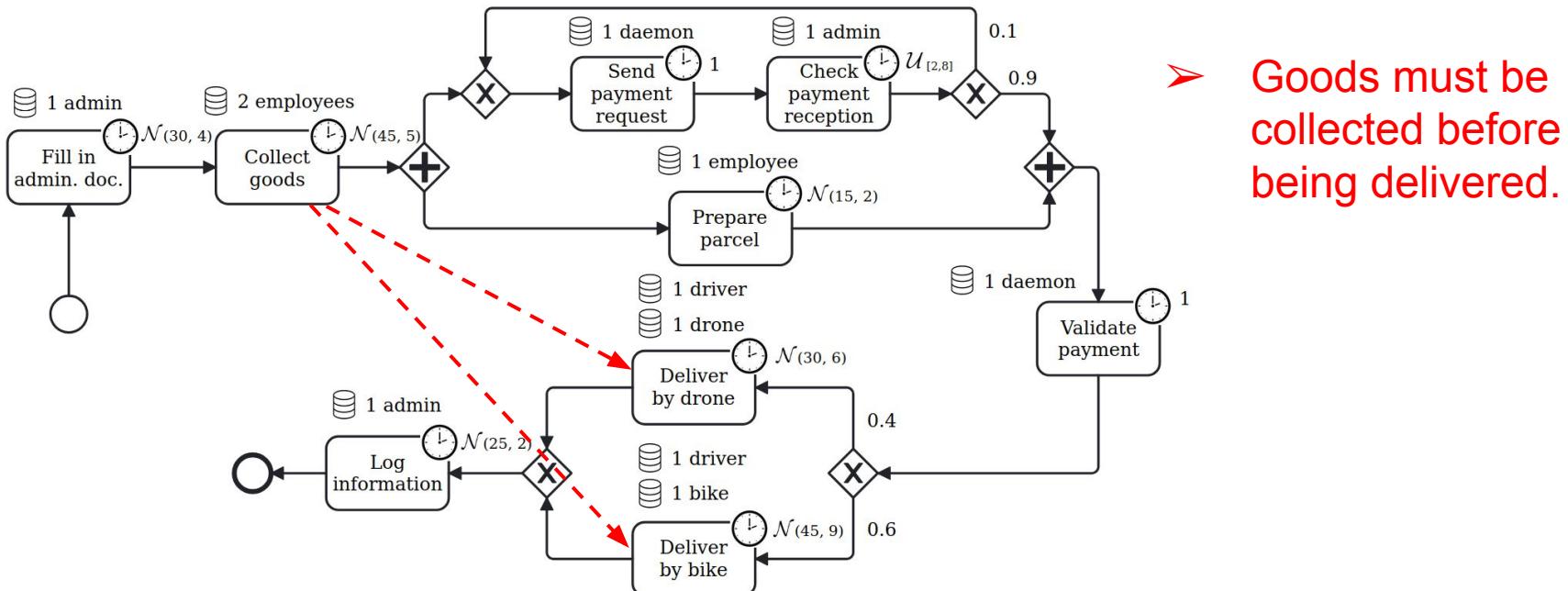
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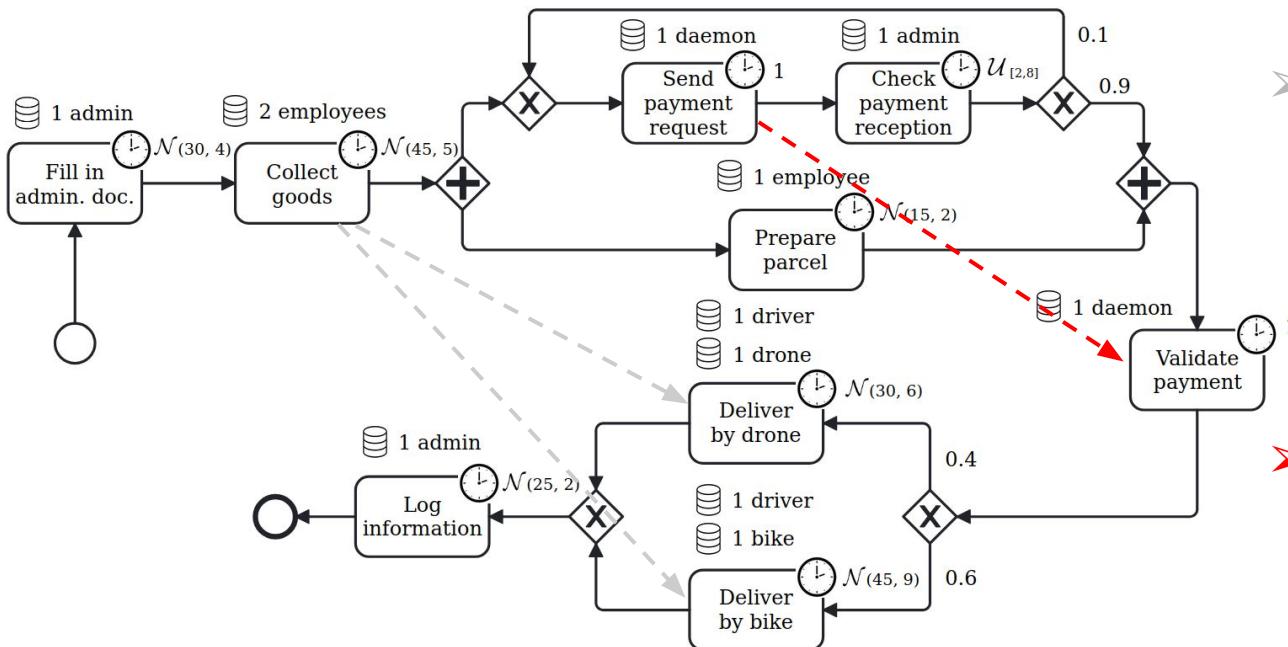
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To **facilitate** this preservation, it is **not based on the BPMN** process itself, **but on** another representation, called **sequence graph**.

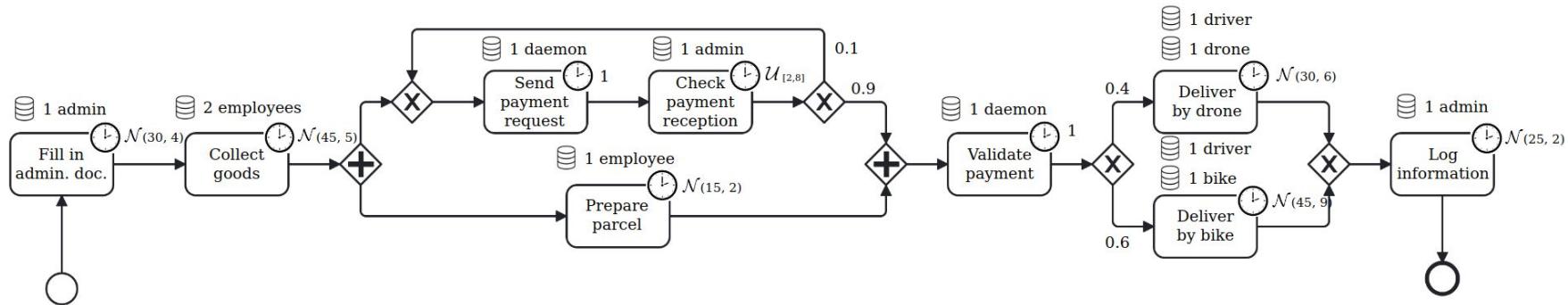
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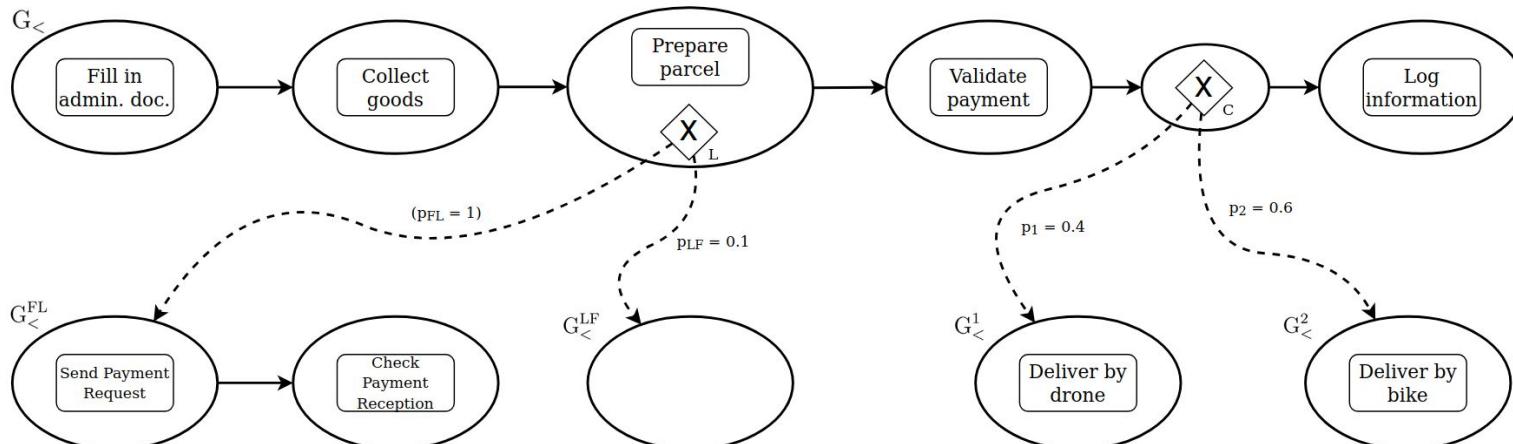
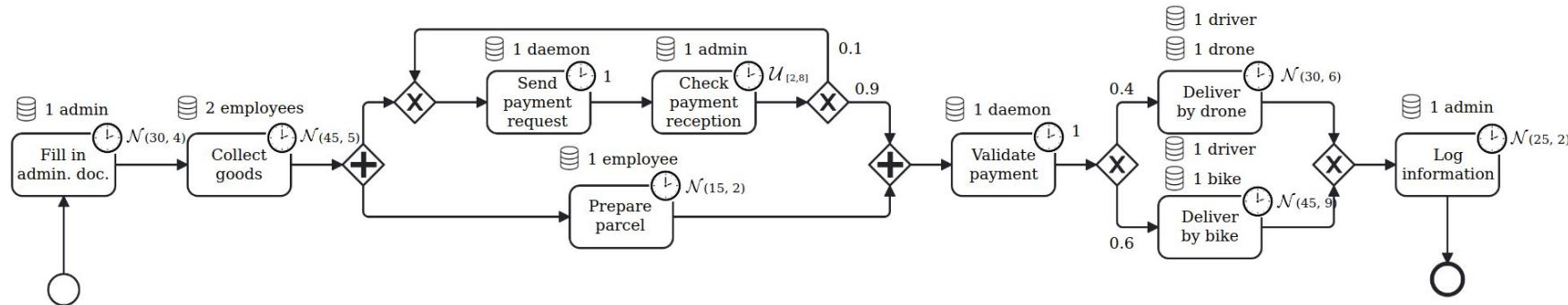
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A sequence graph is a **hierarchical structure** composed of **nodes** and **edges**.

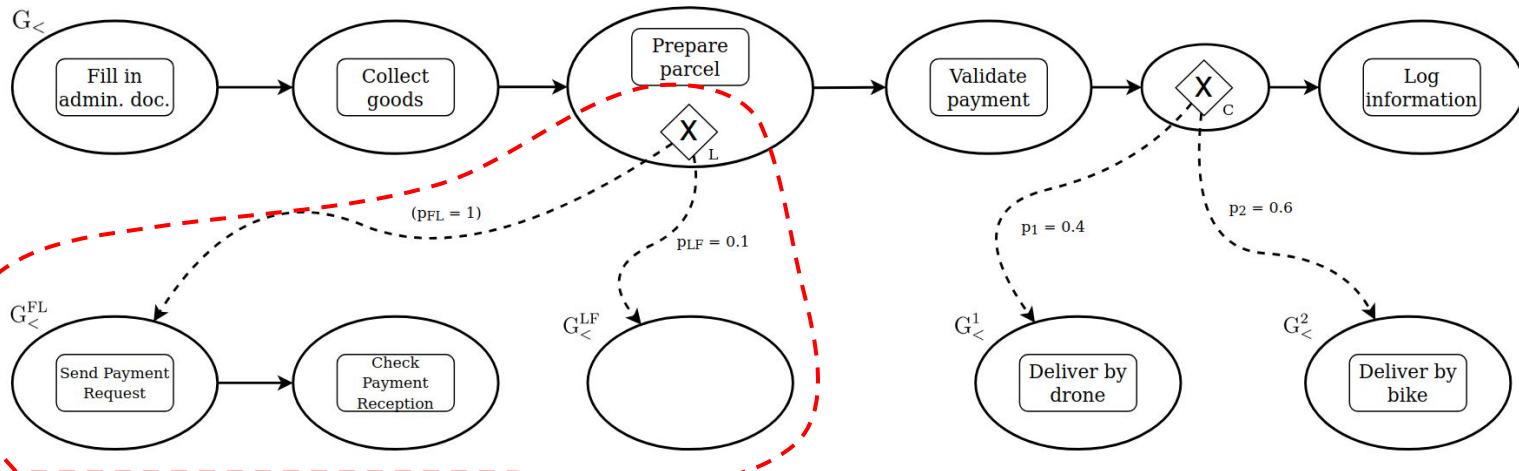
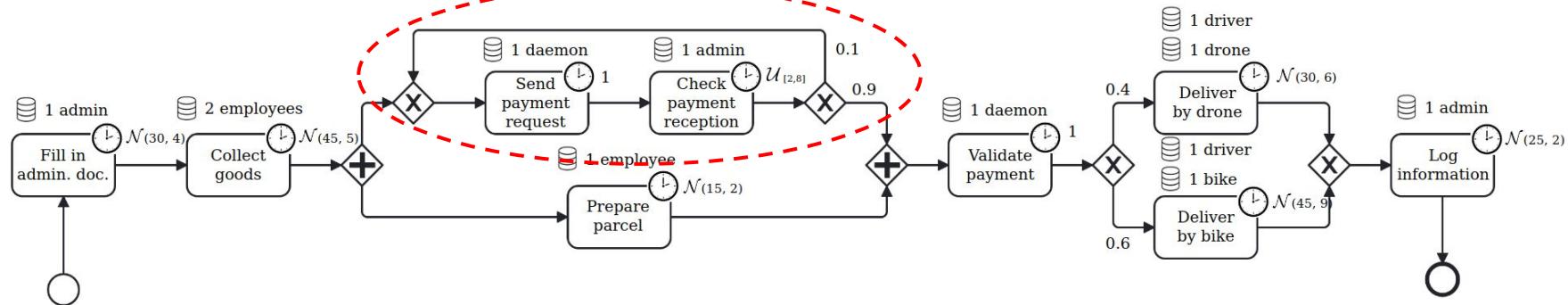
## Step 2 – Sequence Graph



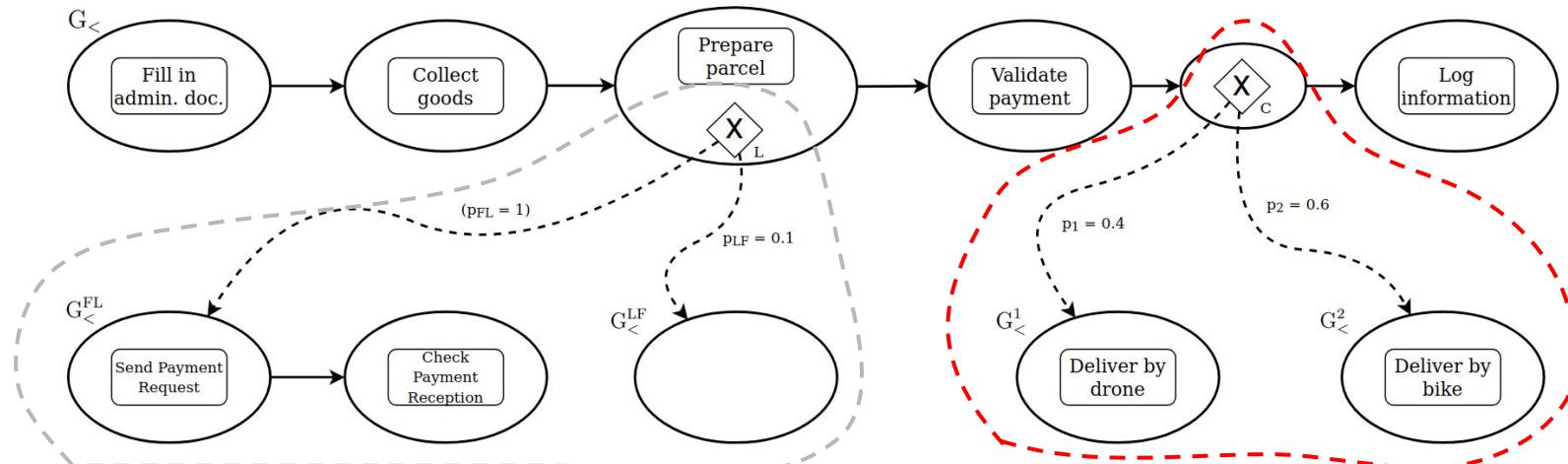
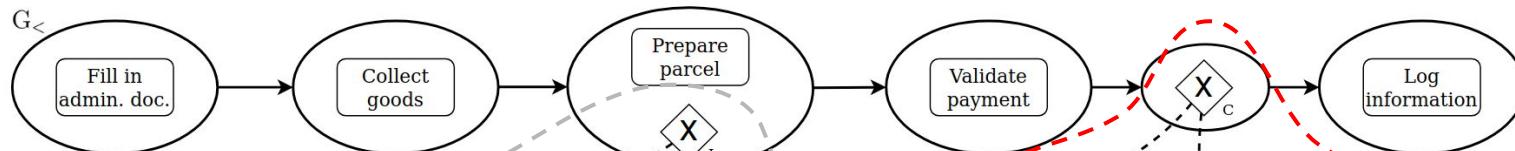
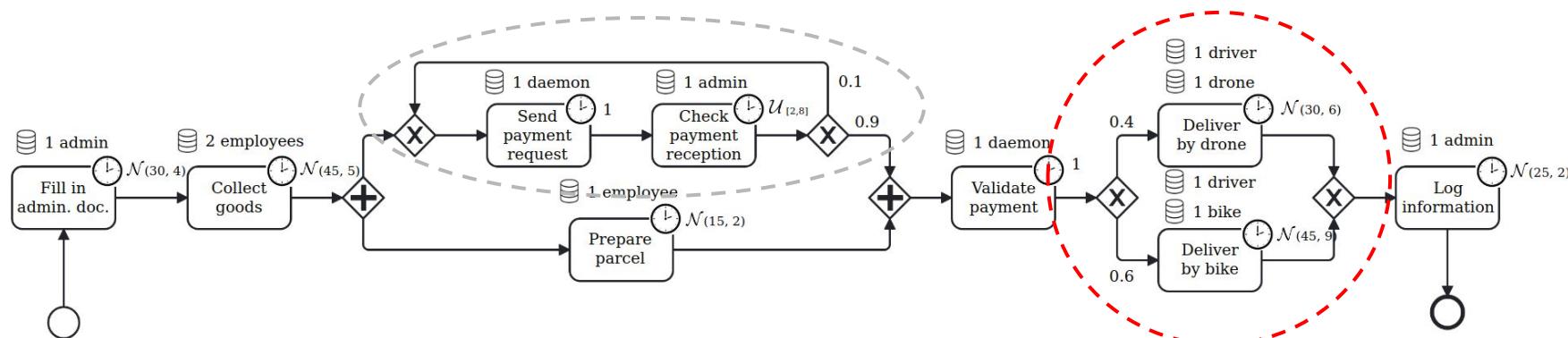
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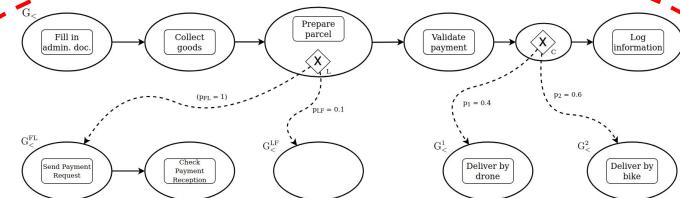
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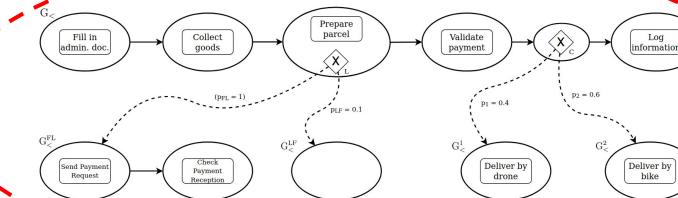
## Step 2 – Refactoring Patterns

The **movement** of a task in the process is **ruled by 4 refactoring patterns**.

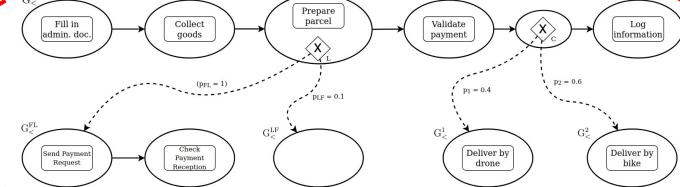
Pattern 1



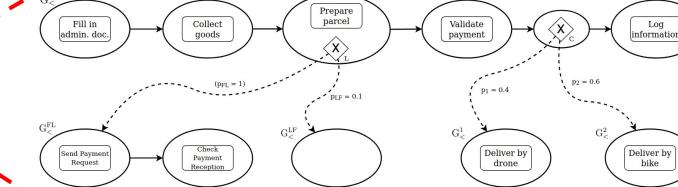
Pattern 2



Pattern 3

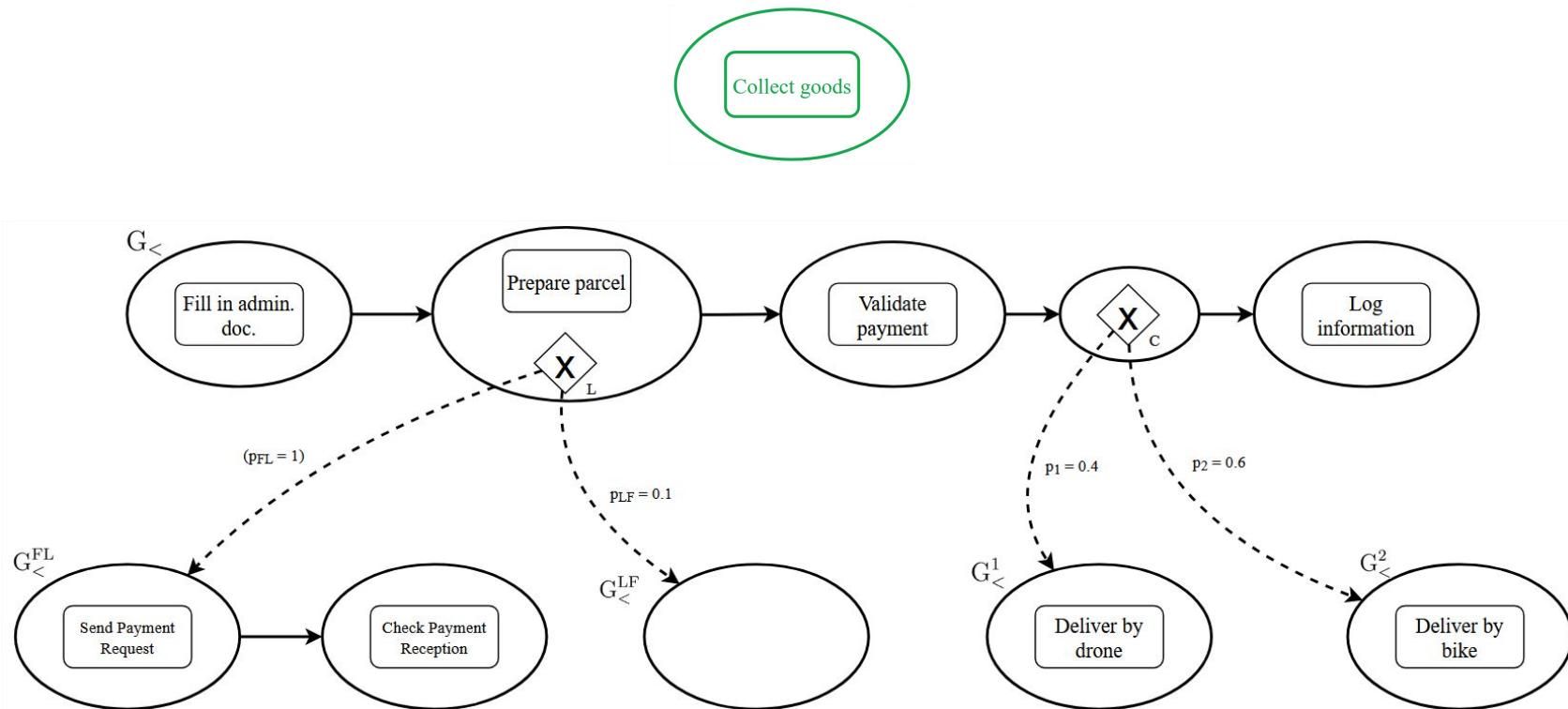


Pattern 4



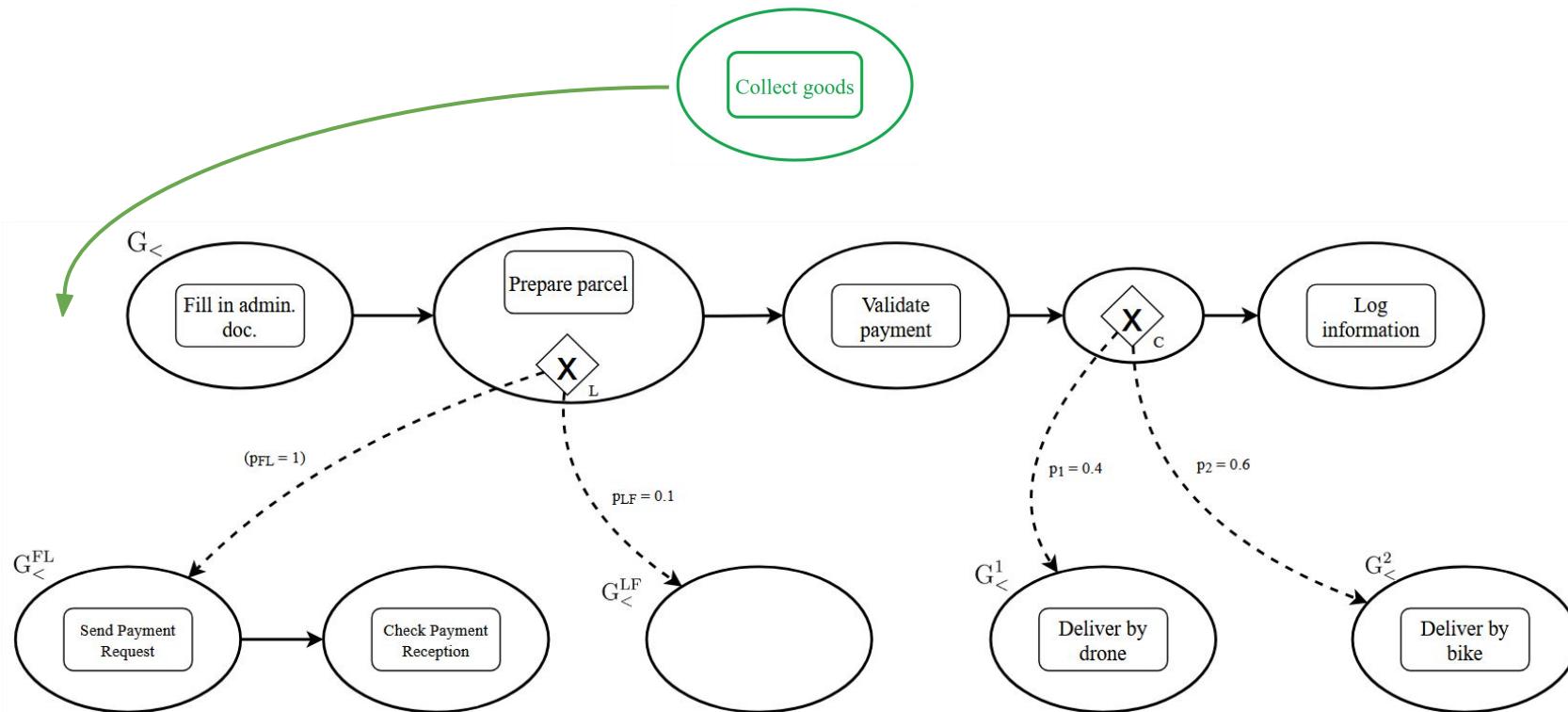
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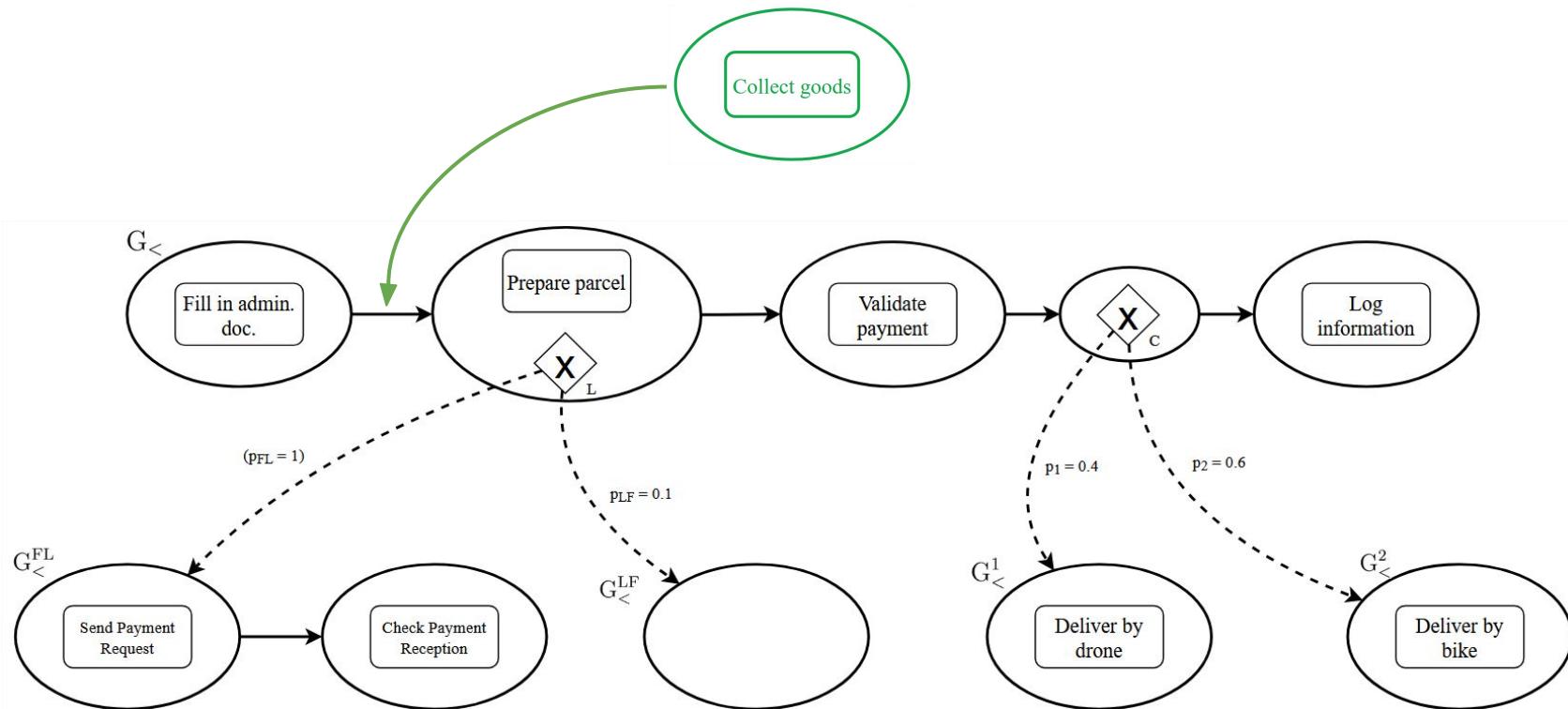
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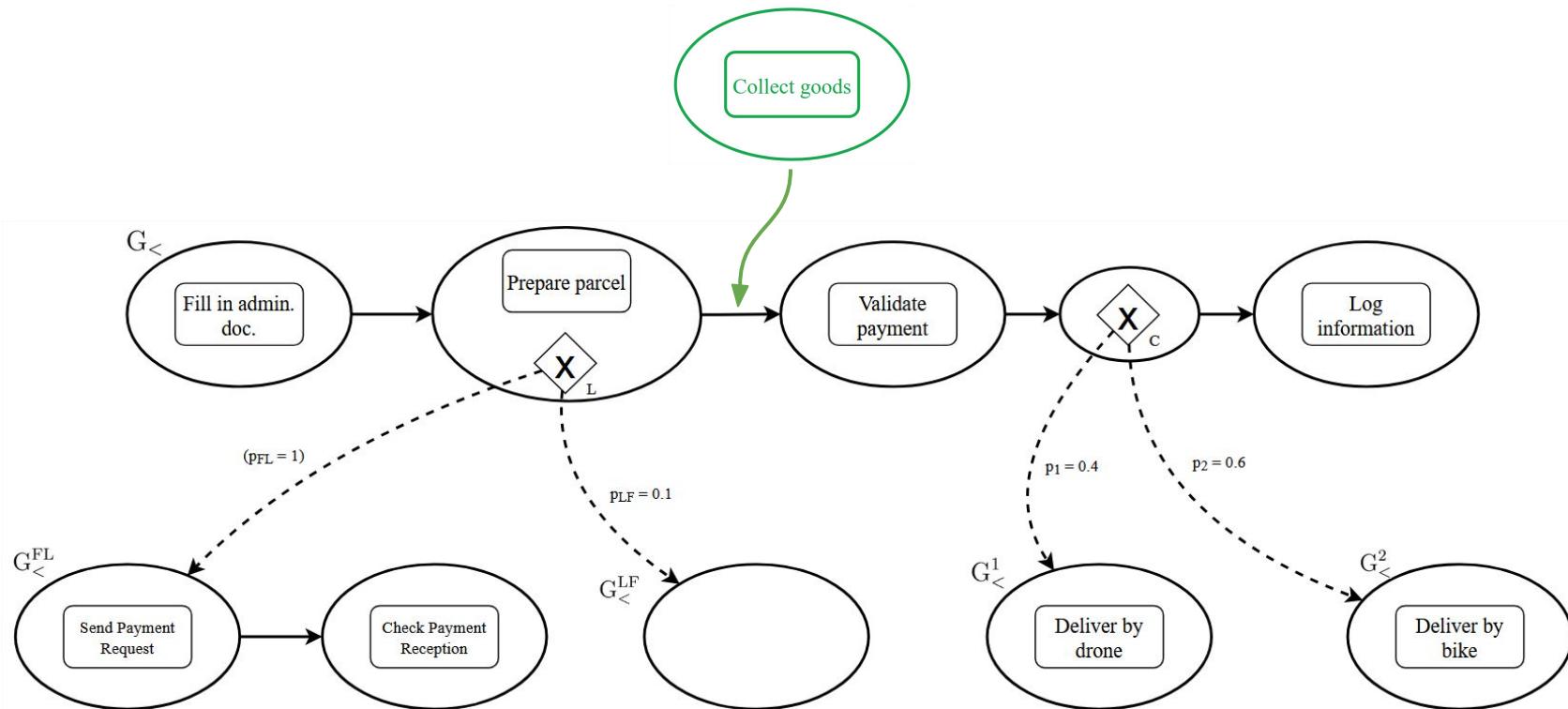
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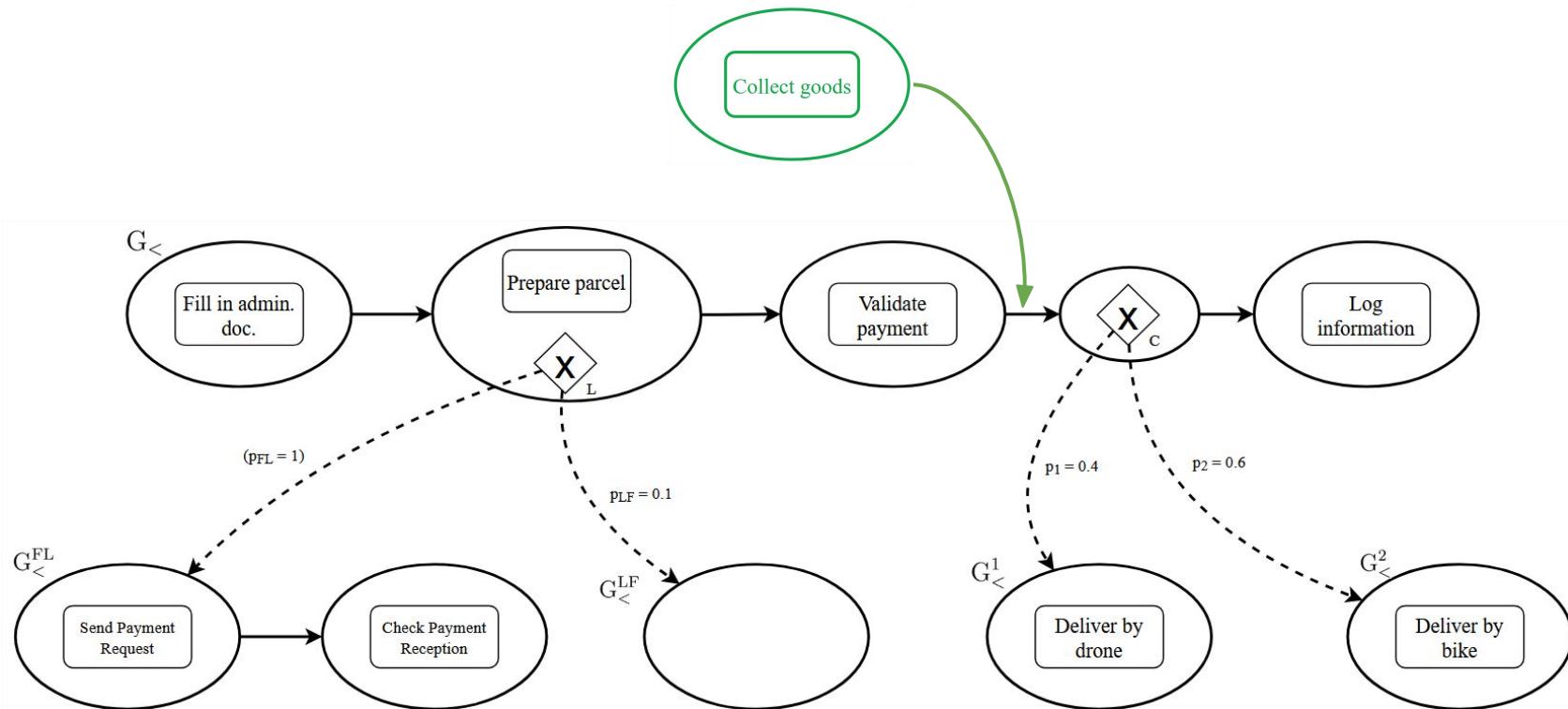
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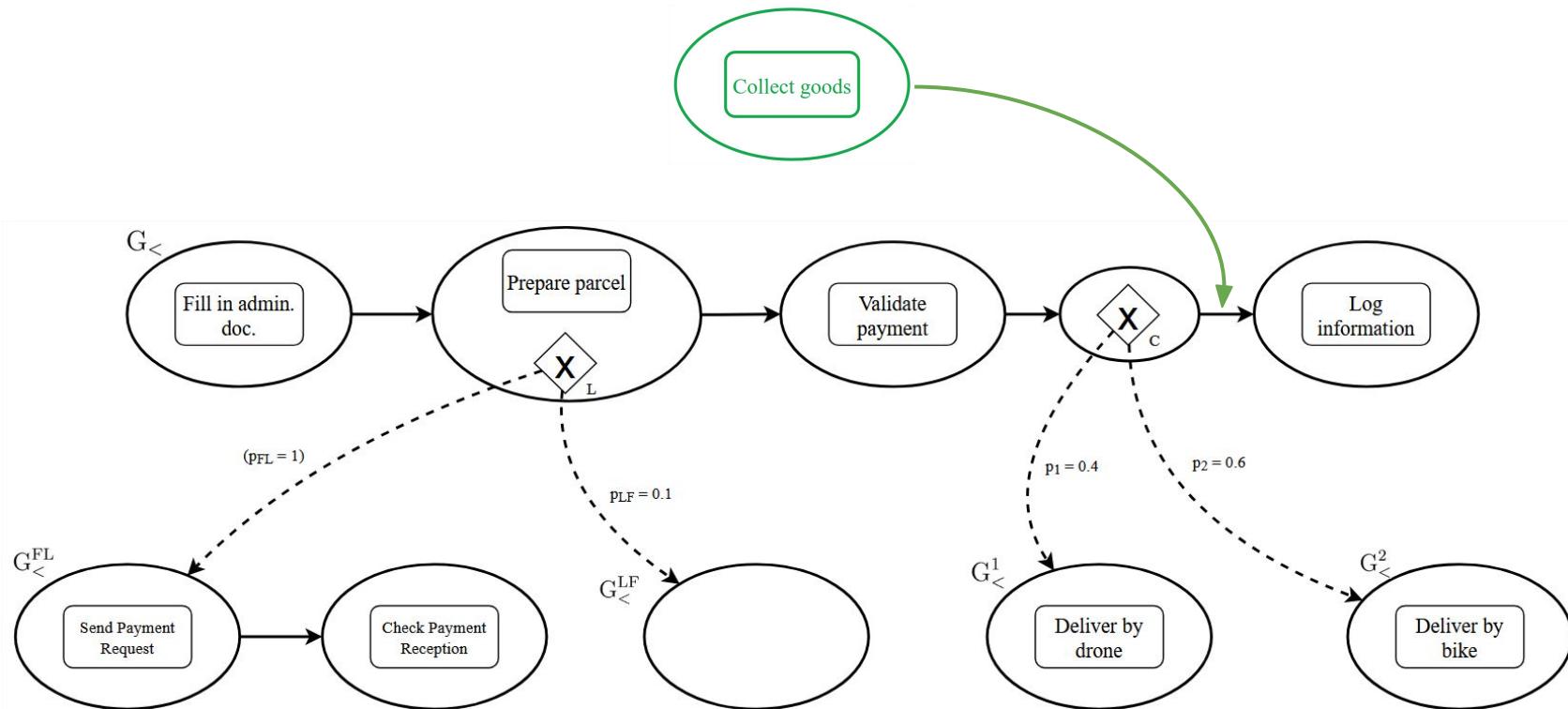
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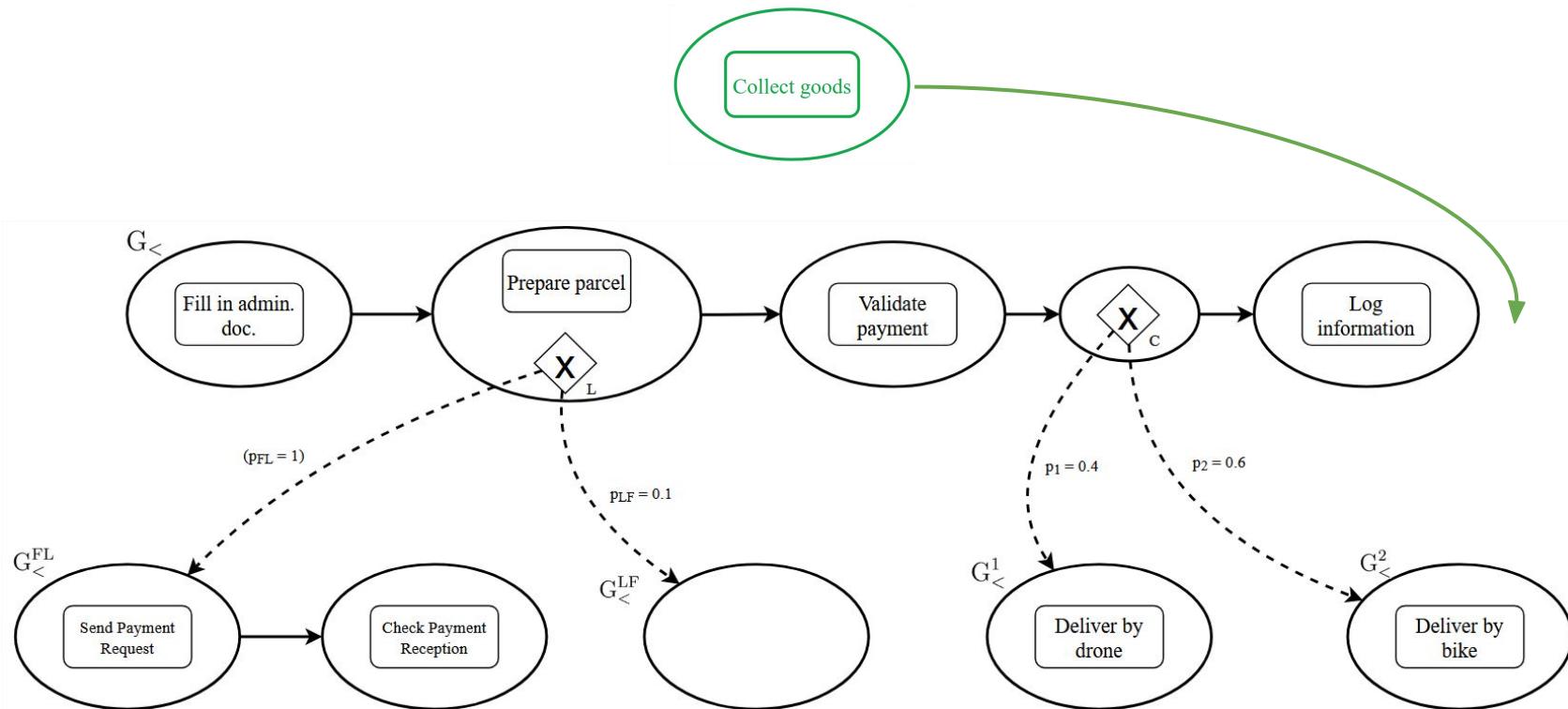
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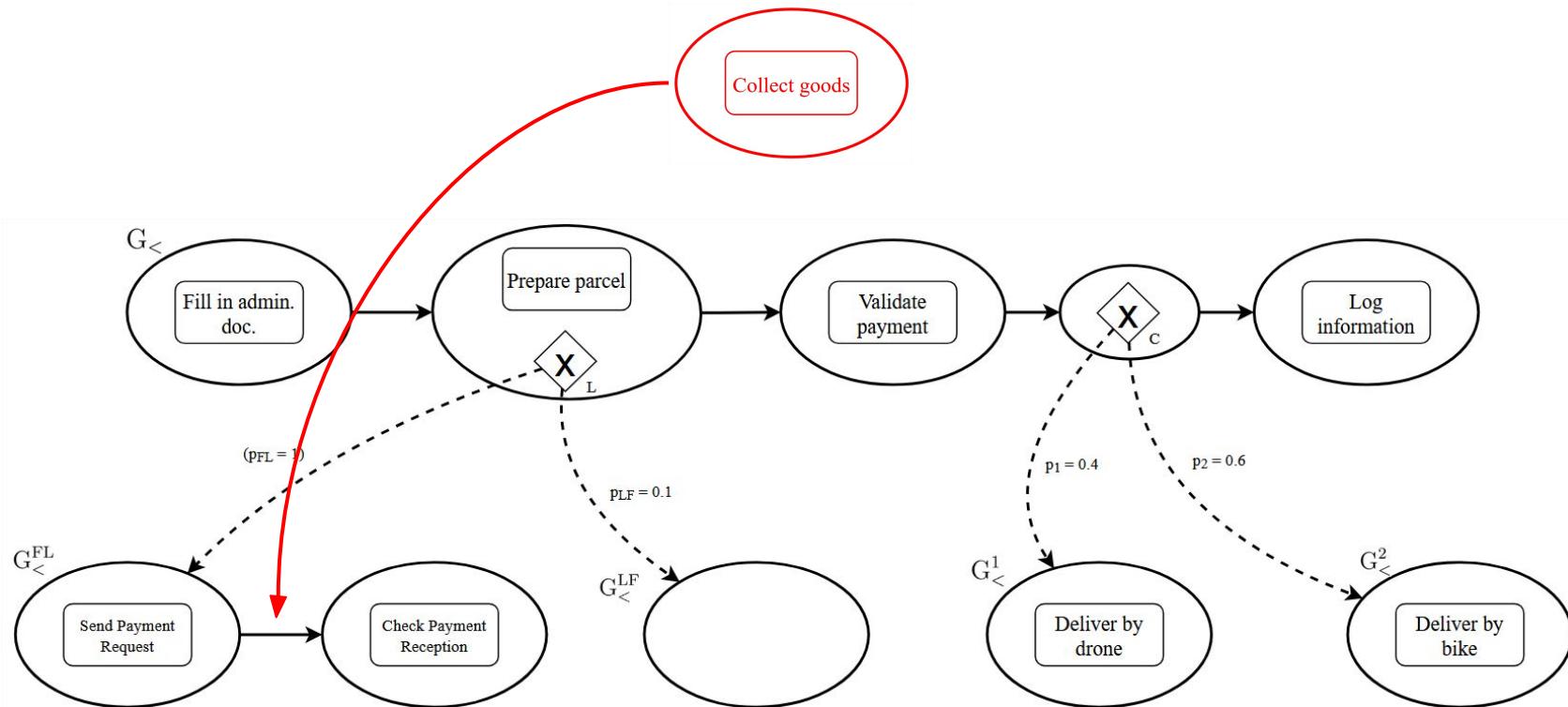
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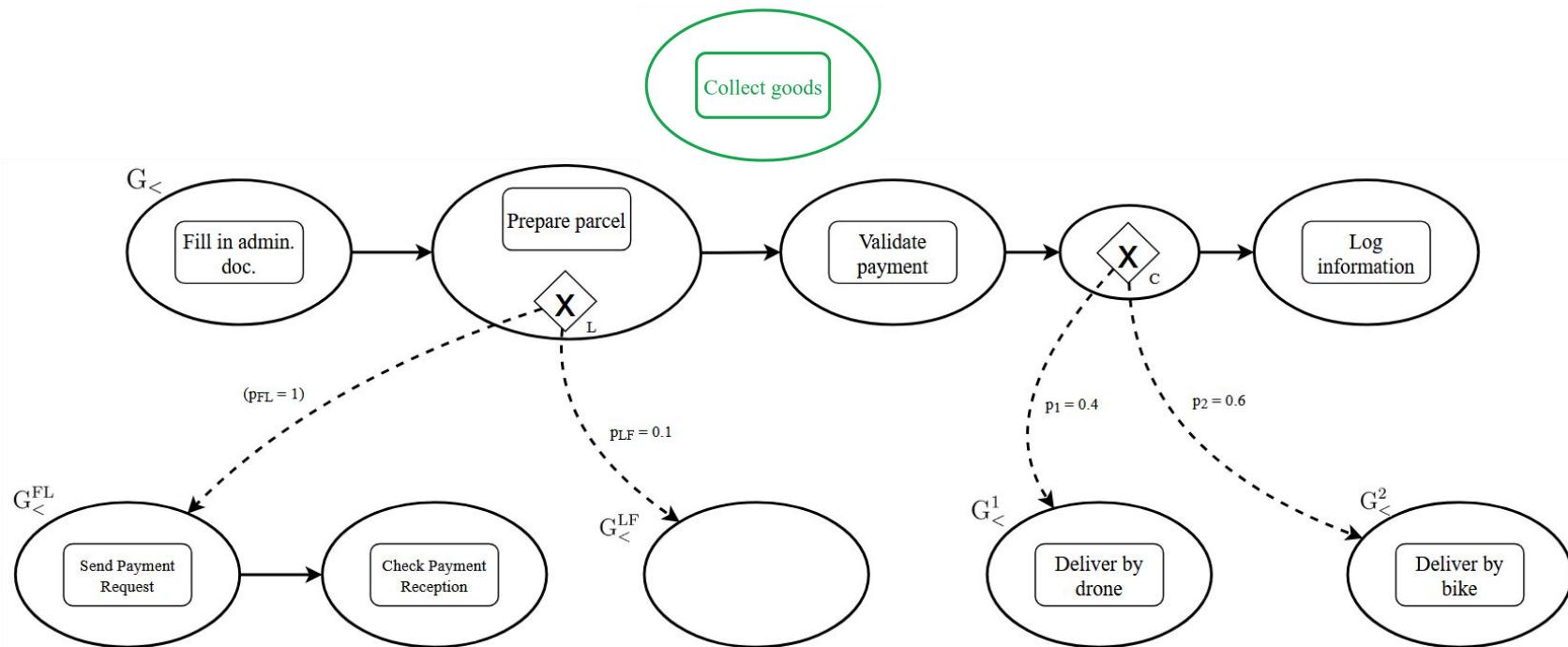
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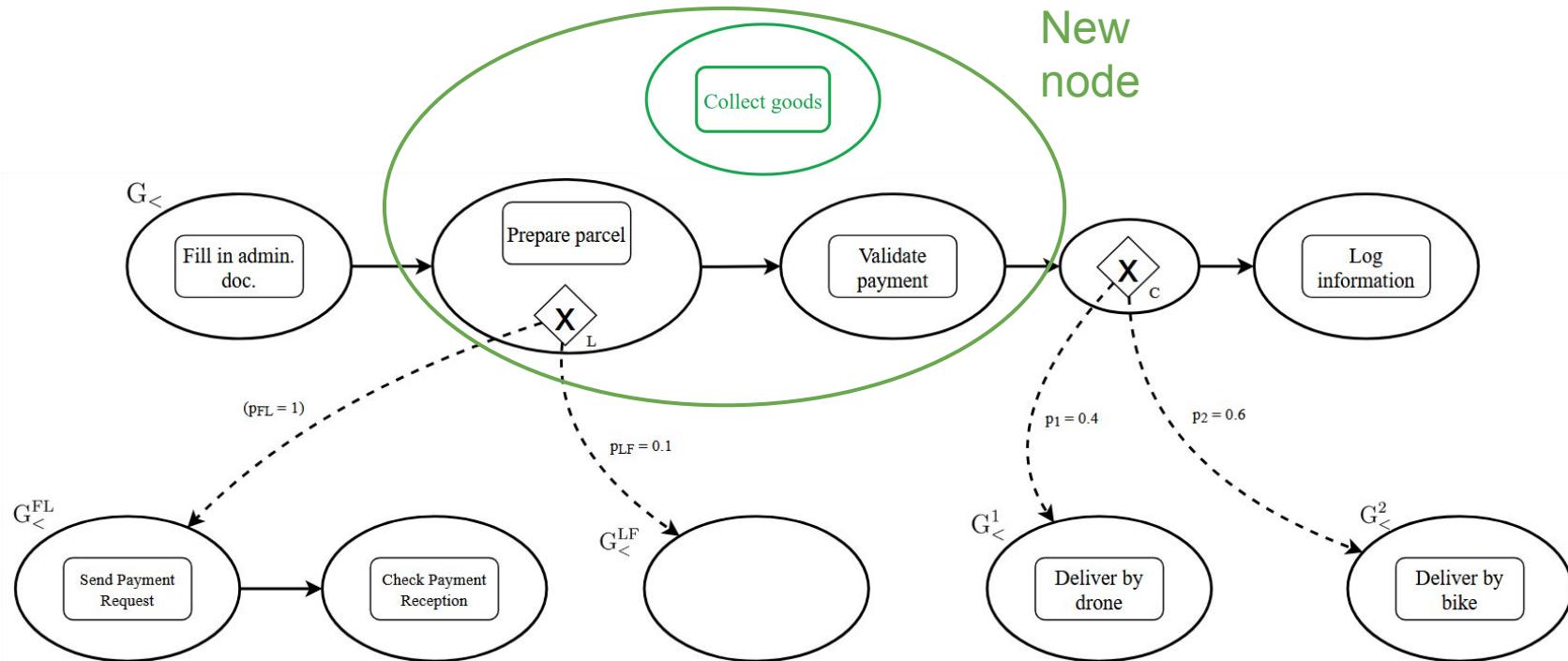
## Step 2 – Pattern 2

The **second pattern** consists in inserting the task in parallel of any non-empty subsequence of nodes of the graph.



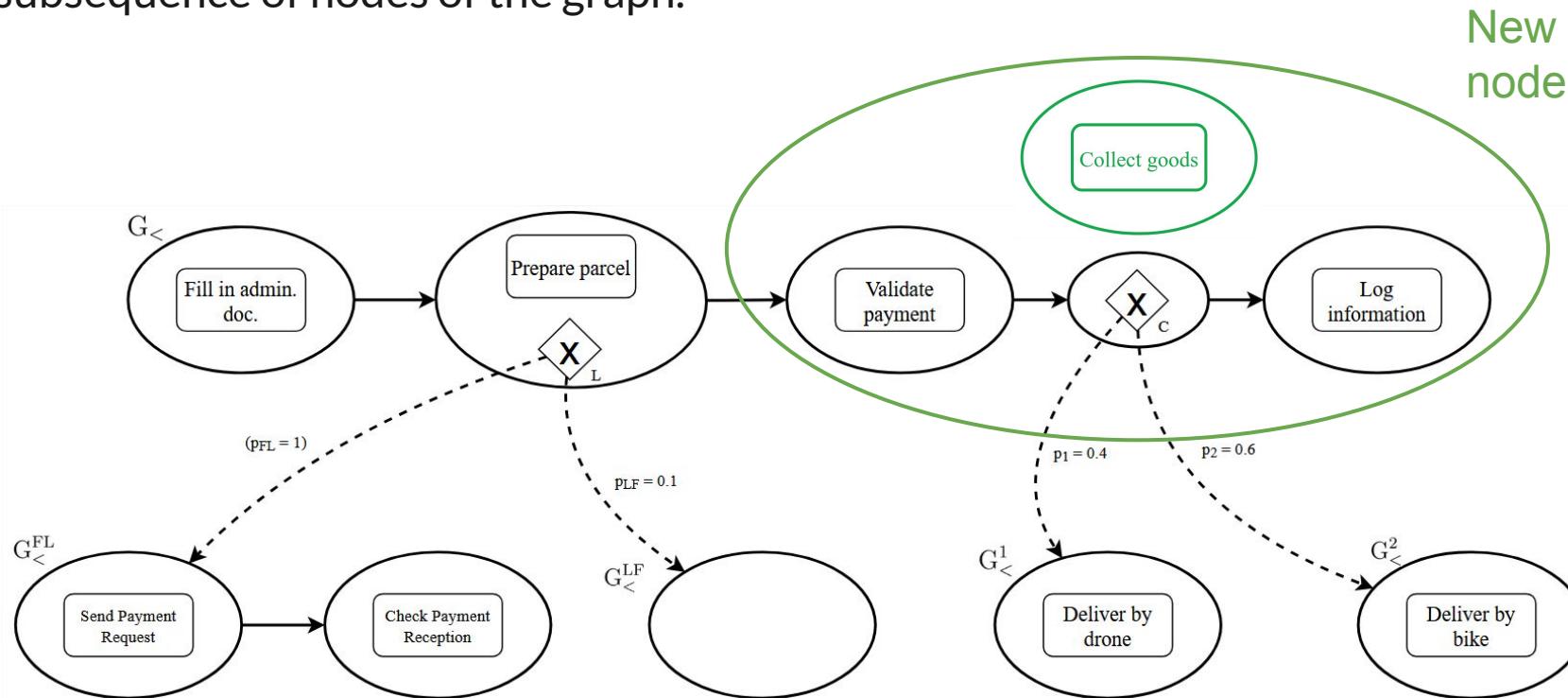
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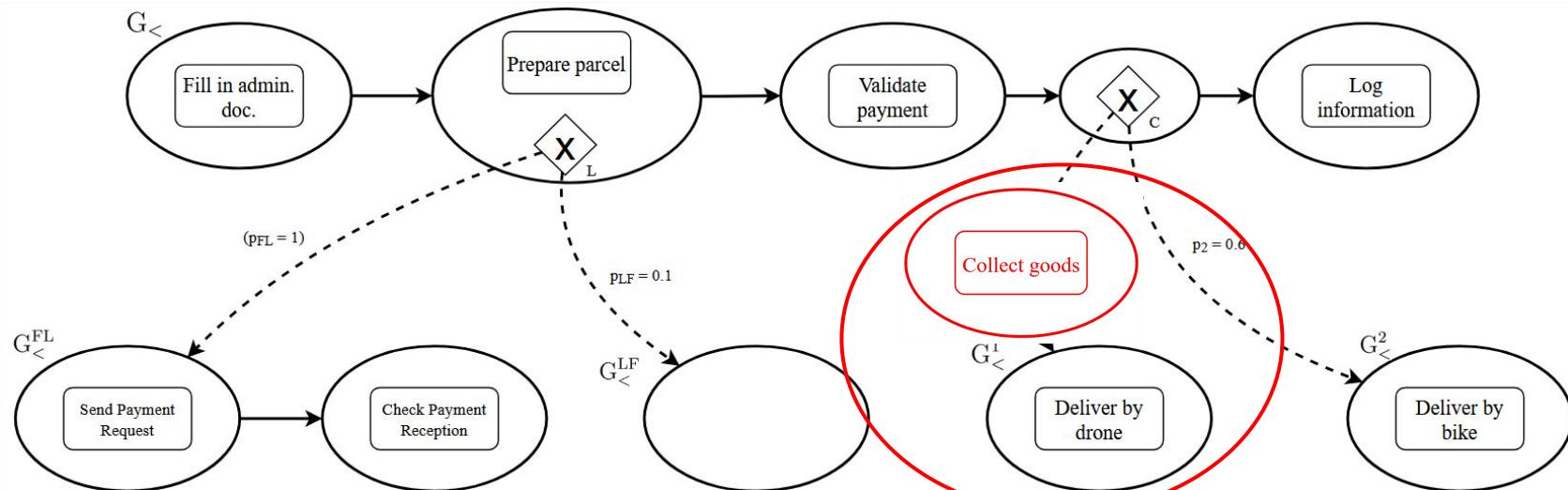
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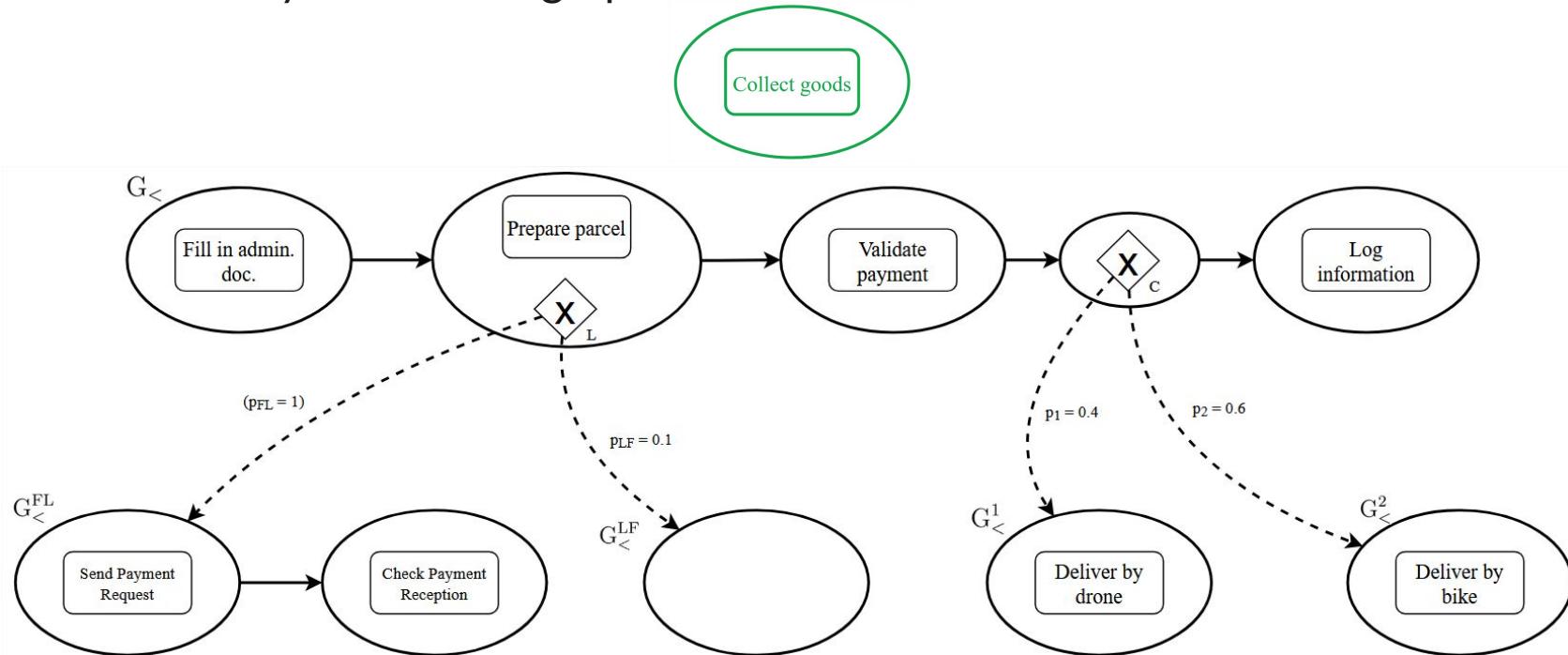
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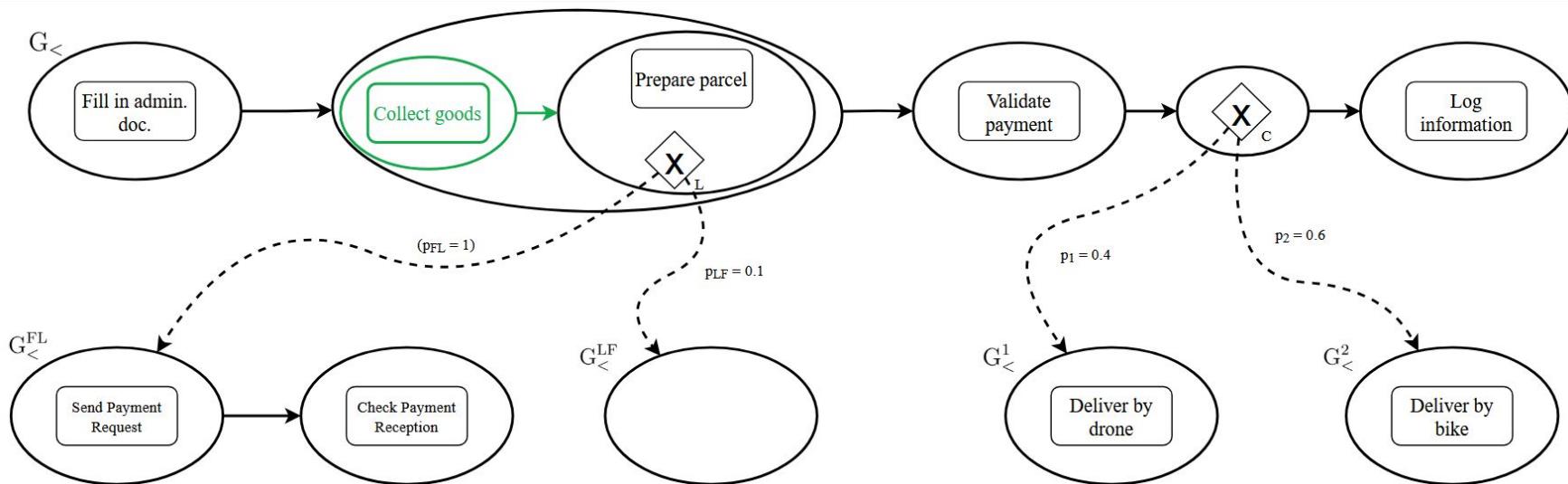
## Step 2 – Pattern 3

The **third pattern** consists in inserting the task before or after any combination of elements of any node of the graph.



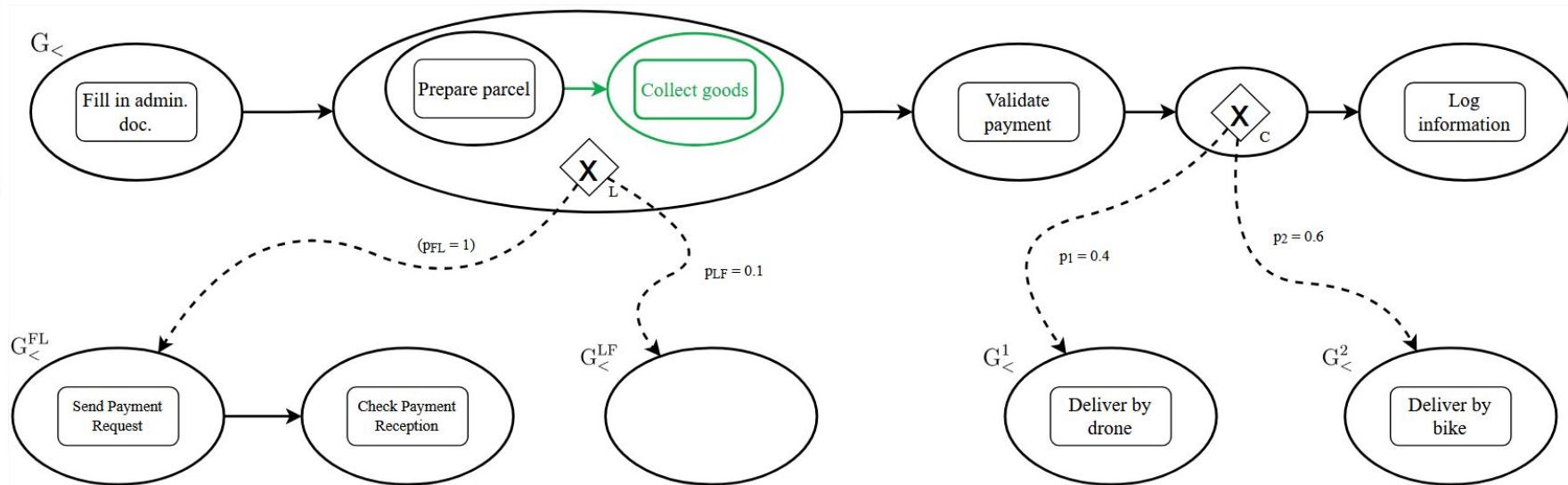
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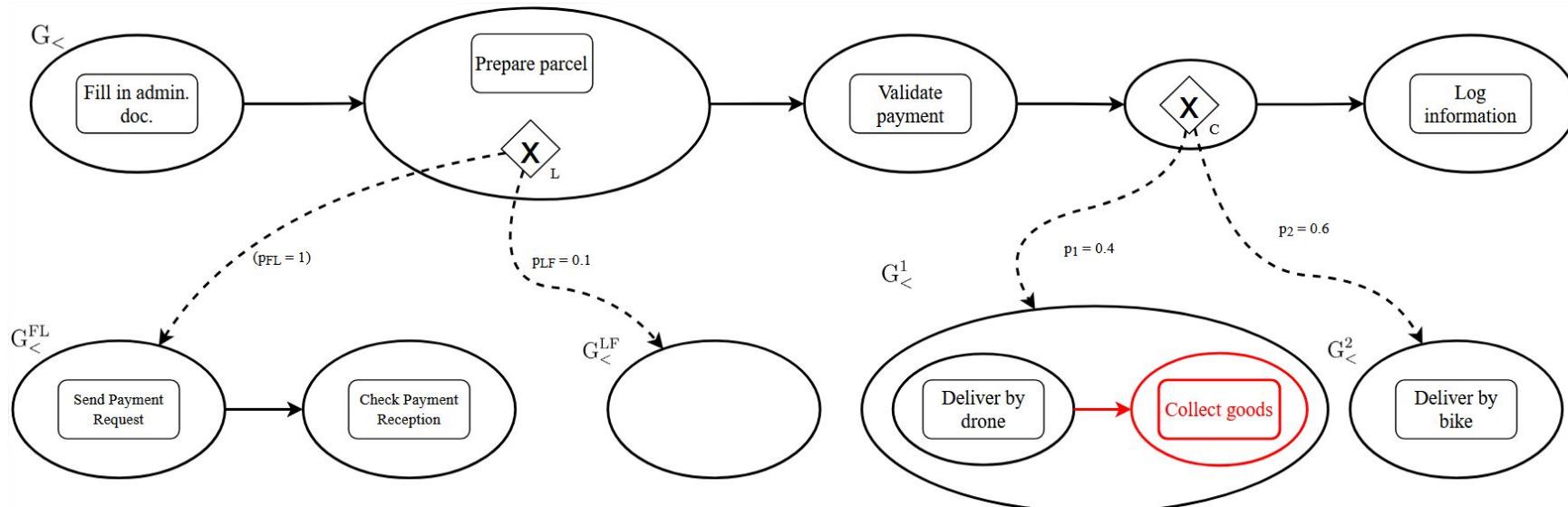
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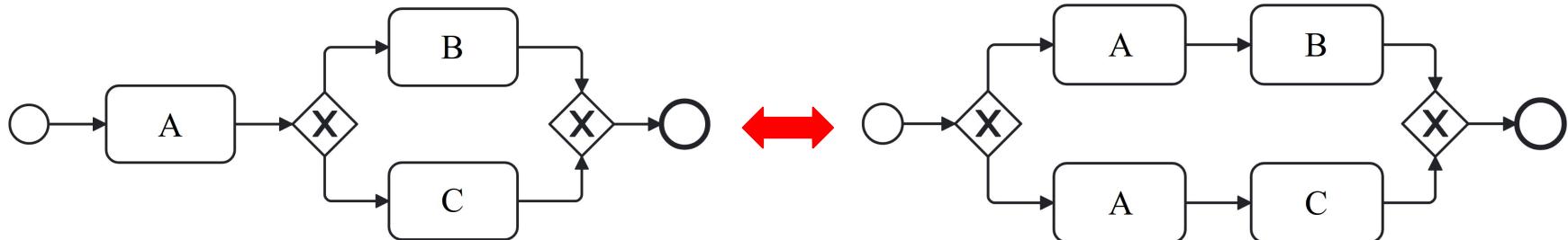
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Nonetheless, there is a way to **modify a choice** structure while **preserving its semantics**:

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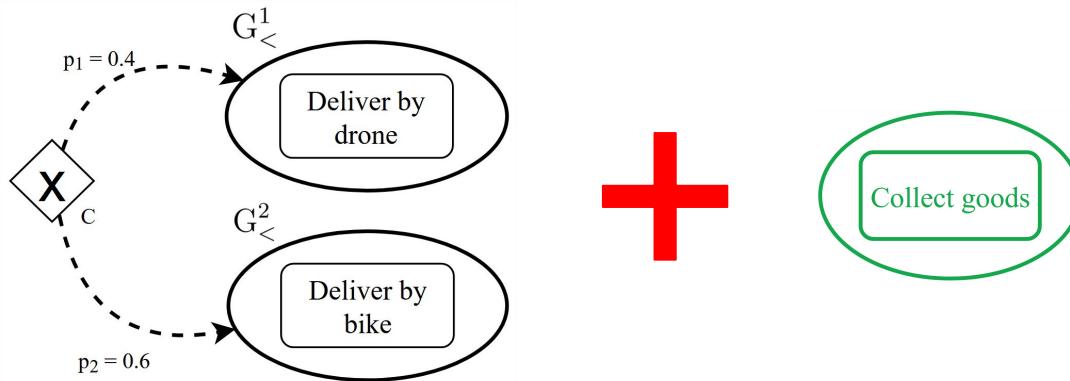
The **cartesian product** of the generated branches is then computed, which creates **several unique new** versions of the **choice**.

## Step 2 – Pattern 4

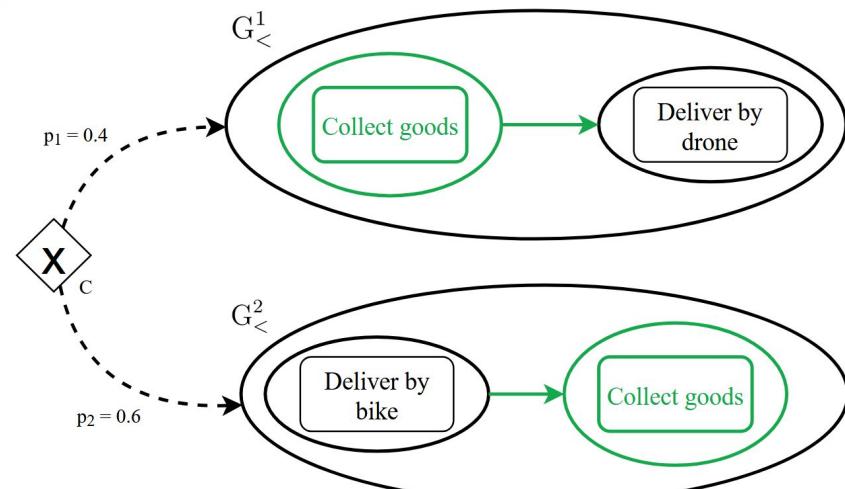
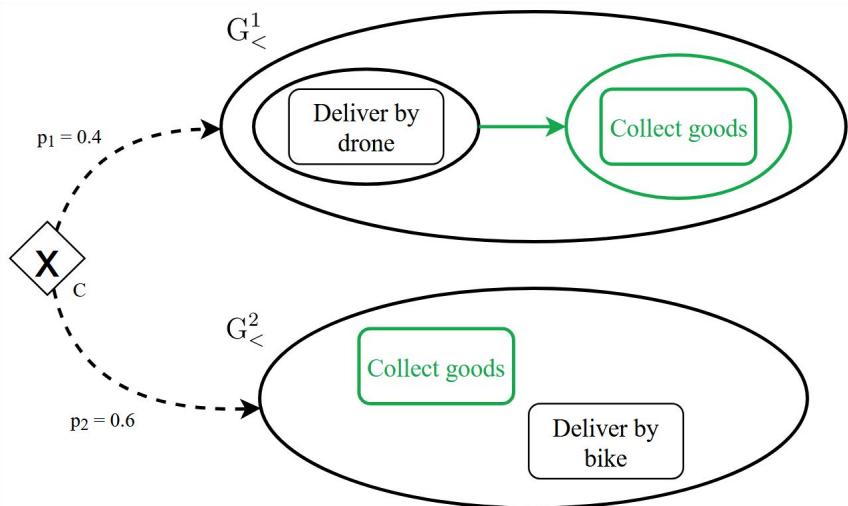
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This results in our case in **several possible choice structures**, two of them being illustrated below:



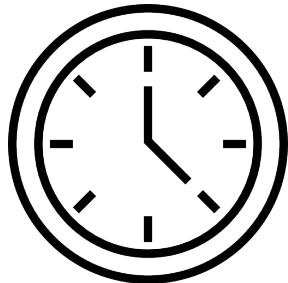
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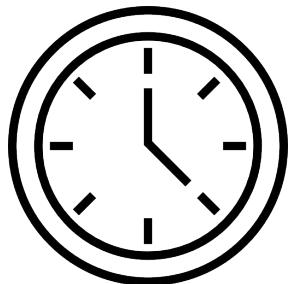
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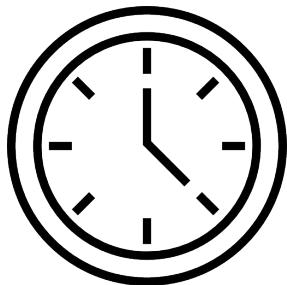
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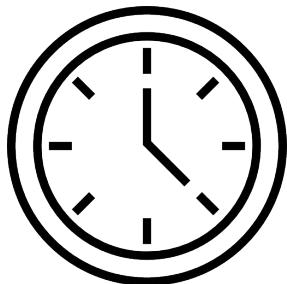
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These metrics are obtained by **simulating** the process.

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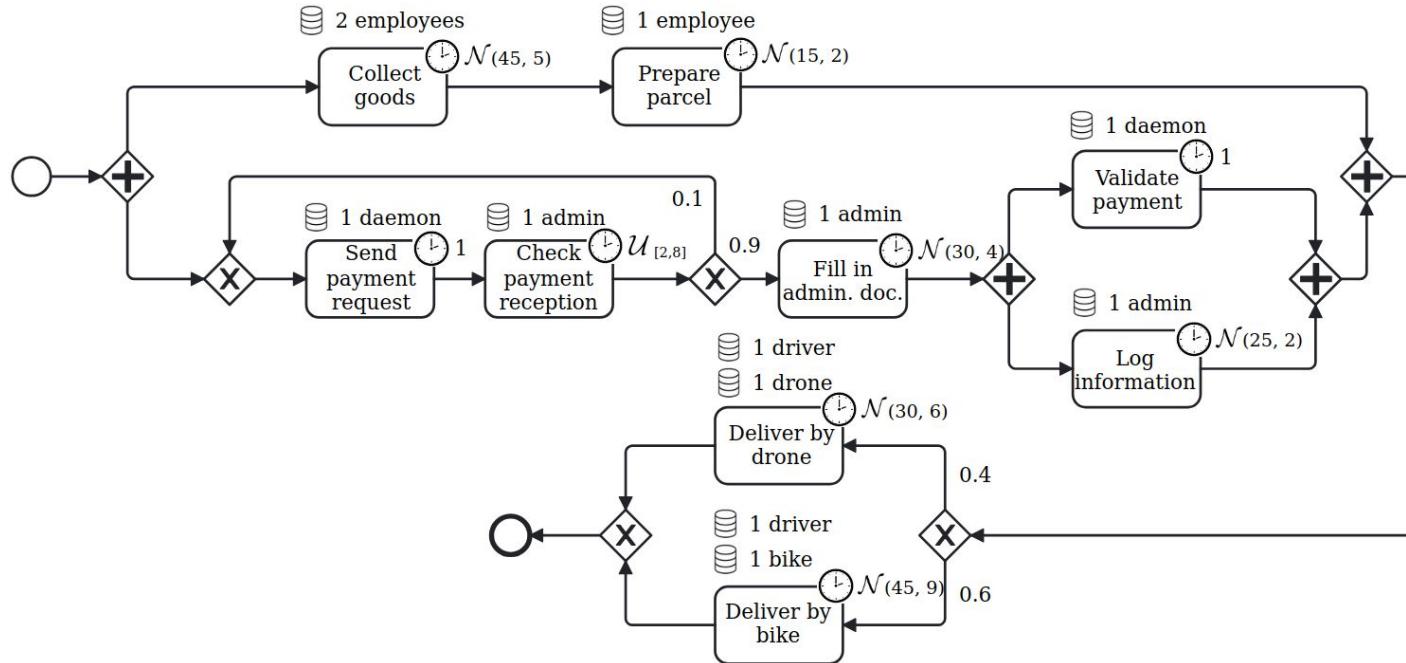
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The **decision** of **keeping** or **discarding** a process is taken at the **discretion** of the **MOEA** being used, and can be **counter-intuitive** (for instance, a worse process can be kept and a better one discarded).

When the **MOEA reaches its bound** (duration, number of iterations, manual stop, etc.), it returns an **optimised version** of the **original process**.



The approach has been **fully implemented** and consists of approximately **15k lines of Java code**.

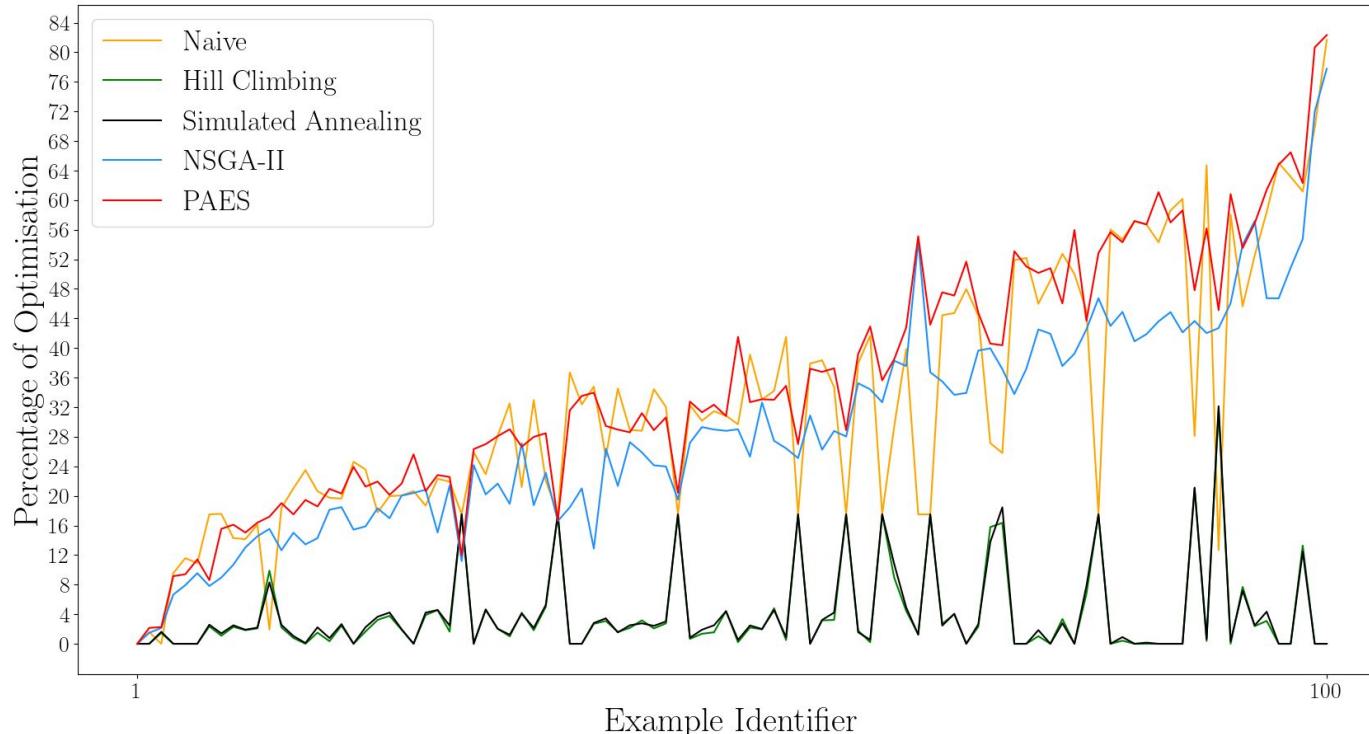
It makes use of the **jMetal framework** [DN2011] which **implements** dozens of well-known **MOEAs** and provides **facilities** regarding their **utilisation** in various **contexts**.



This tool was used as a **support** for the **experimentations**.

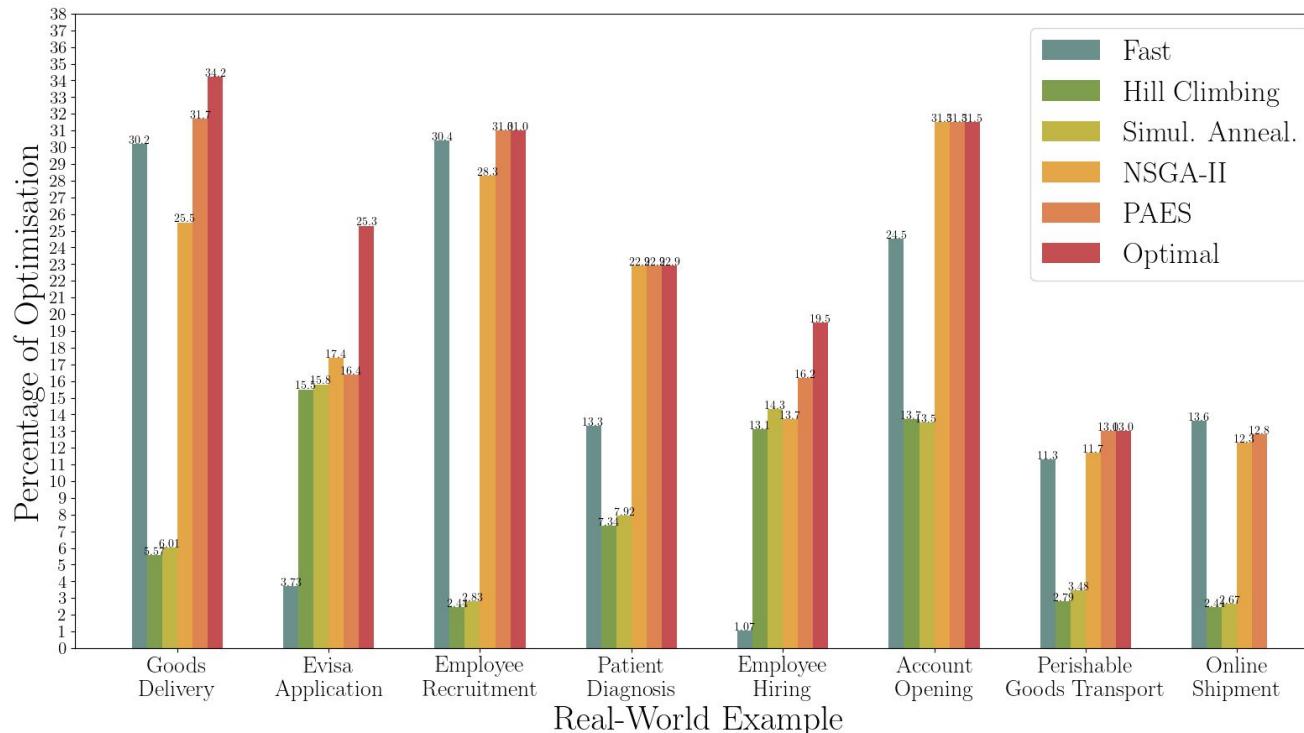
# Experiments – Handcrafted Examples

The **first part** of these experiments consisted in **comparing** several **algorithms** on **100 handcrafted** examples.



# Experiments – Real-World Examples

The **second part** of these experiments consisted in **comparing** these **algorithms** on **8 real-world** examples coming from the literature.



We proposed a **technique** aiming at **optimising BPMN** processes enriched with **durations, resources, costs**, and **executed multiple times**.

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The presented approach has been **fully implemented** and **validated** by a tool written in Java on a basis containing **more than 100 examples**.

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## Related Work – Modelling

The **question of modelling** business processes has been a **topic of interest** since years, and **many approaches** tended to give it an answer.

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[ISP20]	DSL, Process Mining	✓	✓	✓	✓	✓	✓	?	30
[FSZ21]	Partial Orders, Classical Algorithmic	✓	✓	✗	✗	✓	✓	?	1
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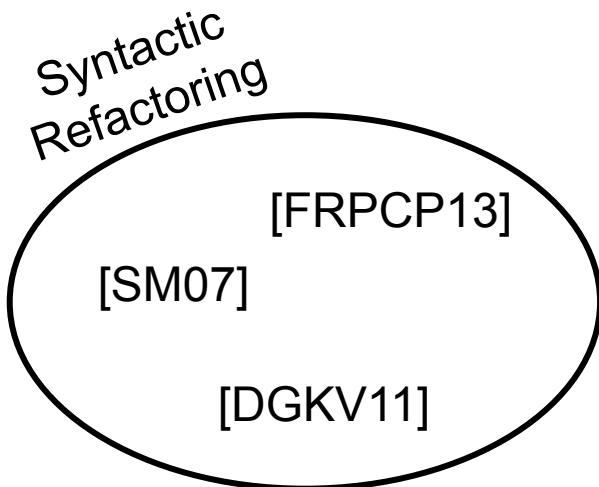
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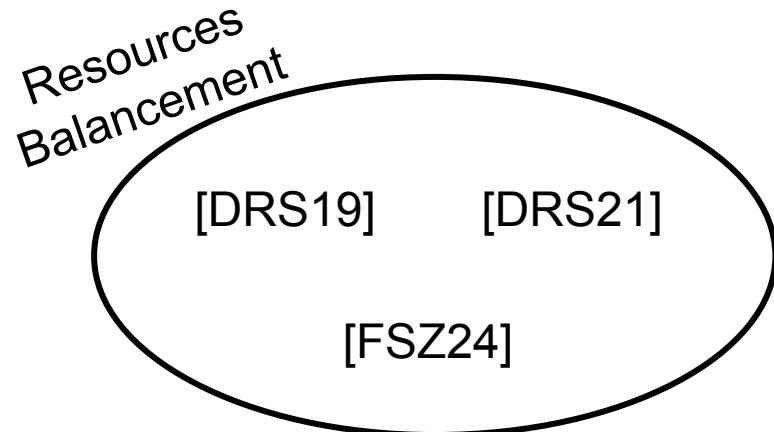
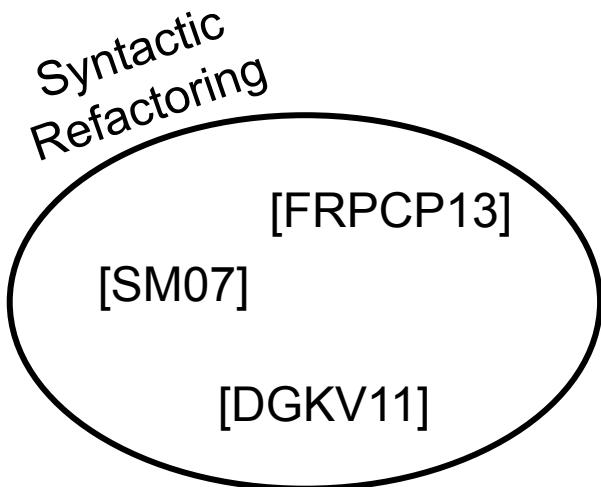
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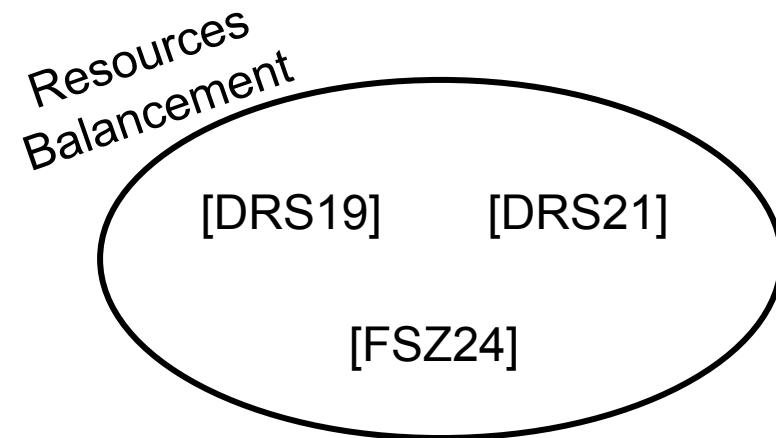
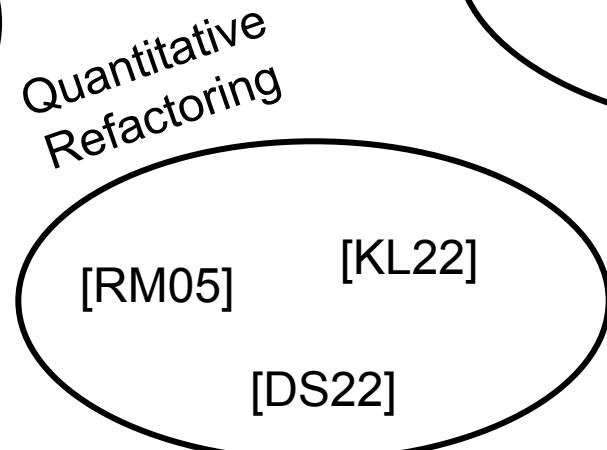
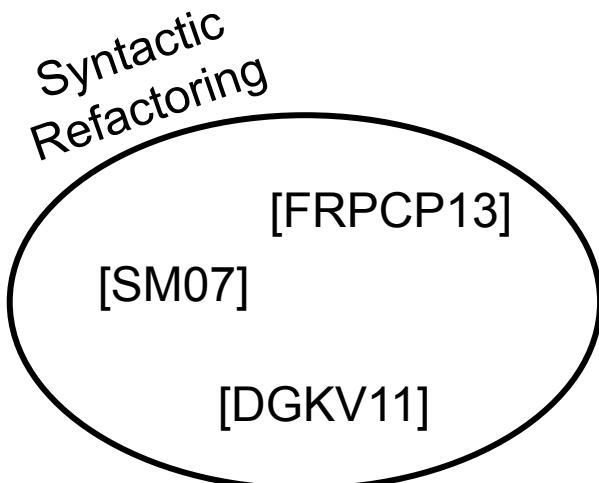
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Perspectives on refactoring:

- Getting **rid of sequence graphs** structures;
- **Removing** or **limiting** the use of **simulation**;
- Finding **better** **optimisation algorithms**.

## References

- [BKO2010]: *An Empirical Comparison of the Usability of BPMN and UML Activity Diagrams for Business Users*, Dominik Q. Birkmeier, Sebastian Klöckner, and Sven Overhage, 2010.
- [BRJ2000]: *The UML User Guide*, Grady Booch, James Rumbaugh, and Ivar Jacobson, 2000.
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