Hybrid KEM Specification

Stephan Müller

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Abstract

This document specifies the Hybrid KEM cryptographic algorithm combining Kyber and X25519. A reference implementation of the algorithm is given with leancrypto.

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1 Hybrid Key Encapsulation Mechanism Algorithm

The hybrid key encapsulation mechanisms (KEM) algorithm provides an approach that combines a post-quantum-computing resistant KEM algorithm of Kyber with a classic algorithm in a way that when one of the algorithm is compromised, the security strength of the resulting shared secret is still as strong as the yet uncompromised algorithm.

This combination allows the secure use of a PQC algorithm even though it is yet new and must still receive additional cryptoanalysis. Even though a later cryptoanalysis shows that the PQC algorithm is weak, its weakness is offset by the used classical key agreement algorithm.

Conversely, if the advancements in quantum computers allows breaking the classic key agreement algorithm, its weakness is offset by the used PQC algorithm.

The presented algorihm can be used as a direct drop-in-replacement for a standalone Kyber KEM use case. The only difference is the enlarged sizes of all

data points. It is compliant with SP800-56C rev 2, specifically section 2 which permits a hybrid shared secret. In addition, it is compliant to SP800-108 rev 1 by specifying KMAC as KDF.

The selection of X25519 for the classic key agreement mechanism is arbitrary since the reference implementation provided with leancrypto contained an X25519 implementation. The presented mechanism equally works with ECDH based on NIST prime field curves or Brainpool curves. The algorithm also works with FFC-DH. If a user wants to use those classic algorithms instead, the references to X25519 would need to be replaced by references to the selected classic Diffie-Hellman algorithm.

The following sections outline the algorithm specification.

1.1 Hybrid KEM - Kyber & X25519

In addition to the sole use of Kyber KEM, a hybrid mechanism using X25519 can be devised that acts as a drop-in-replacement for Kyber KEM. In this case, a PQC algorithm is merged with a classical key establishment algorithm. The basis is the enhancement of the Kyber KEM encapsulation and decapsulation algorithms as follows.

When using the hybrid KEX algorithm, instead of the sole KEM encapsulation and decapsulation operations, the hybrid variants are used that are outlined in the subsequent subsections. In addition, the Kyber KEX data along with the X25519 data is exchanged in the same manner as outlined for the standalone Kyber KEX. Thus, the KEX operation is not re-iterated here.

The presented algorithm ensure that even if one algorithm is compromised, the resulting shared secret is still cryptographically strong compliant with the strength of the uncompromised algorithm. However, it is to be noted that Kyber may have a cryptographic strength of up to 256 bits when using Kyber 1024. On the other side, the cryptographic strength of X25519 is significantly lower, between 80 and 128 bits, depending on the analysis approach.

1.1.1 Hybrid KEM Key Generation

As part of the hybrid KEM key generation, the following steps are performed:

- Generation of the Kyber key pair yielding the Kyber pk_kyber and sk_kyber.
- 2. Generation of the X25519 key pair yielding the X25519 pk_x25519 and sk_x25519.

Both public keys and both secret keys are maintained together such that every time the hybrid KEM requires a public key, the Kyber and X25519 public keys are provided. The same applies to the secret keys.

Thus the following holds:

- pk_hybrid = pk_kyber || pk_x25519
- sk_hybrid = sk_kyber || sk_x25519

Both, pk_hybrid and sk_hybrid are the output of the hybrid KEM key generation operation.

1.1.2 Hybrid KEM Encapsulation

The hybrid KEM encapsulation applies the following steps using the input of the hybrid KEM public key pk_hybrid.

- Invocation of the Kyber encapsulation operation to generate the Kyber shared secret ss_kyber and the Kyber ciphertext ct_kyber using the pk_kyber public key presented with pk_hybrid.
- 2. Generation of an ephemeral X25519 key pair pk_x25519_e and sk_x25519_e .
- 3. Invocation of the X25519 Diffie-Hellman operation with the X25519 public key pk_x25519 provided via pk_hybrid and the ephemeral secret key sk_x25519_e. This generates the shared secret ss_x25519.
- 4. Secure deletion of the sk_x25519_e ephemeral secret key.

The operation returns the following data:

- Public data: ct_hybrid = ct_kyber || pk_x25519_e
- Secret data: ss_hybrid = ss_kyber || ss_x25519

The data ct_hybrid is to be shared with the peer that performs the decapsulation operation.

On the other hand ss_hybrid is the raw shared secret obtained as part of the encapsulation operation and must remain secret. It is processed with a KDF as outlined in section Hybrid KEM Shared Secret Derivation.

1.1.3 Hybrid KEM Decapsulation

The hybrid KEM decapsulation applies the following steps using the input of the hybrid KEM secret key sk_hybrid and the public data resulting from the hybrid KEM encapsulation operation ct_hybrid.

- Invocation of the Kyber decapsulation operation to generate the Kyber shared secret ss_kyber by using ct_kyber present in ct_hybrid and the Kyber secret key sk_kyber found in sk_hybrid.
- 2. Invocation of the X25519 Diffie-Hellman operation with the X25519 secret key sk_x25519 provided via sk_hybrid and the ephemeral public key pk_x25519_e provided via ct_hybrid which returns the shared secret ss_x25519.

The operation returns the following data:

• Secret data: ss_hybrid = ss_kyber || ss_x25519

The data of ss_hybrid is the raw shared secret obtained as part of the encapsulation operation and must remain secret - it is the same data as calculated during the encapsulation step. It is processed with a KDF as outlined in section Hybrid KEM Shared Secret Derivation.

1.1.4 Hybrid KEM Shared Secret Derivation

To obtain a shared secret of arbitrary length that can be used as key material, a key derivation function is used allowed by SP800-56C rev 2 section 2:

- The chosen and KDF is based on SP800-108 rev 1.
- In addition, the input to the KDF is formatted such that the entire hybrid KEM construction is compliant with SP800-56C rev 2 assuming that Kyber KEM is the approved algorithm and X25519 provides an auxiliary key agreement mechanism. Thus, section 2 of SP800-56C rev 2 with its requirement Z' = Z | | T is fulfilled by defining the "standard" shared secret Z is provided by Kyber and that the auxiliary shared secret T is provided by X25519.

Considering that Kyber uses SHAKE / SHA-3 in its internal processing, the selected KDF is KMAC256 as defined in SP800-108 rev 1. KMAC is invoked as follows:

```
KMAC256(K = ss_hybrid,
X = ct_hybrid,
L = requested SS length,
S = "Kyber X25519 KEM SS")
```

When considering the structure of ss_hybrid and ct_hybrid, the KDF operates on the following specific data:

The KMAC customization string S is selected arbitrarily and can contain any string including the NULL string.

The result of the KDF is intended to be usable as key material for other cryptographic operations. That derived key material now contains the individual security strengths of both, Kyber and X25519. Both algorithms are used such that any security break of either algorithm will not impact the strength of the resulting shared secret of the respective other. By concatenating the individual shared secret values as input into the KDF, the result of the KDF will have

the security strength of one algorithm even if the respective other algorithm is broken.

1.1.5 Hybrid KEX Algorithm

Using the hybrid KEM algorithm outlined in the preceding subsections, the hybrid KEX algorithm as specified in the documentation of the secure connection approach can be obtained by the following considerations: use of the Kyber KEX approach outlined at the beginning, but apply the following changes:

- 1. Replace all occurrences of pk with pk_hybrid.
- 2. Replace all occurrences of sk with sk_hybrid.
- 3. Replace all occurrences of sk with sk_hybrid.
- 4. Replace all occurrences of ct with ct_hybrid.
- 5. Replace all invocations of the Kyber standalone functions (key generation, encapsulation, decapsulation) with their respective hybrid variants outlined above.

This implies that the hybrid KEM as well as the hybrid KEX algorithm are usable as a direct drop-in-replacement for the standalone Kyber algorithm use case. The only difference is that the resulting data is larger as it contains the X25519 data as well.