

# SOFTWARE ENGINEERING

C03001

## CHAPTER 2 — SOFTWARE PROCESSES

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# TOPICS COVERED

- ✓ Software process models
  - ✓ Process activities
  - ✓ Coping with change
  - ✓ Process improvement
- 
- ✓ Menti + Breakout room for discussion

- ✓ What is your understanding of a “Software Process”?
- ✓ Have you used any “Software Process Model” in your practice?
  - Which models?
  - Examples?
  - Uses? Strengths/Weaknesses?
  - Observations?

# SOFTWARE ENGINEERING — *FOR ORIENTATION*

- ✓ Software Engineering is a branch of systems engineering concerned with the development of **large and complex software** intensive systems. It focuses on:
  - the **real-world goals** for, **services provided** by, and **constraints** on such systems,
  - the **precise specification** of systems **structure and behaviour**, and the implementations of these specifications,
  - the **activities required in order to develop** an **assurance** that the specifications and real world-world goals have been met,
  - the **evolution of these systems over time**, and **across systems families**,
  - It is also concerned with the **processes, methods** and **tools** for the development of software intensive systems in **an economic** and **timely manner**.

Reference: A. Finkelstein

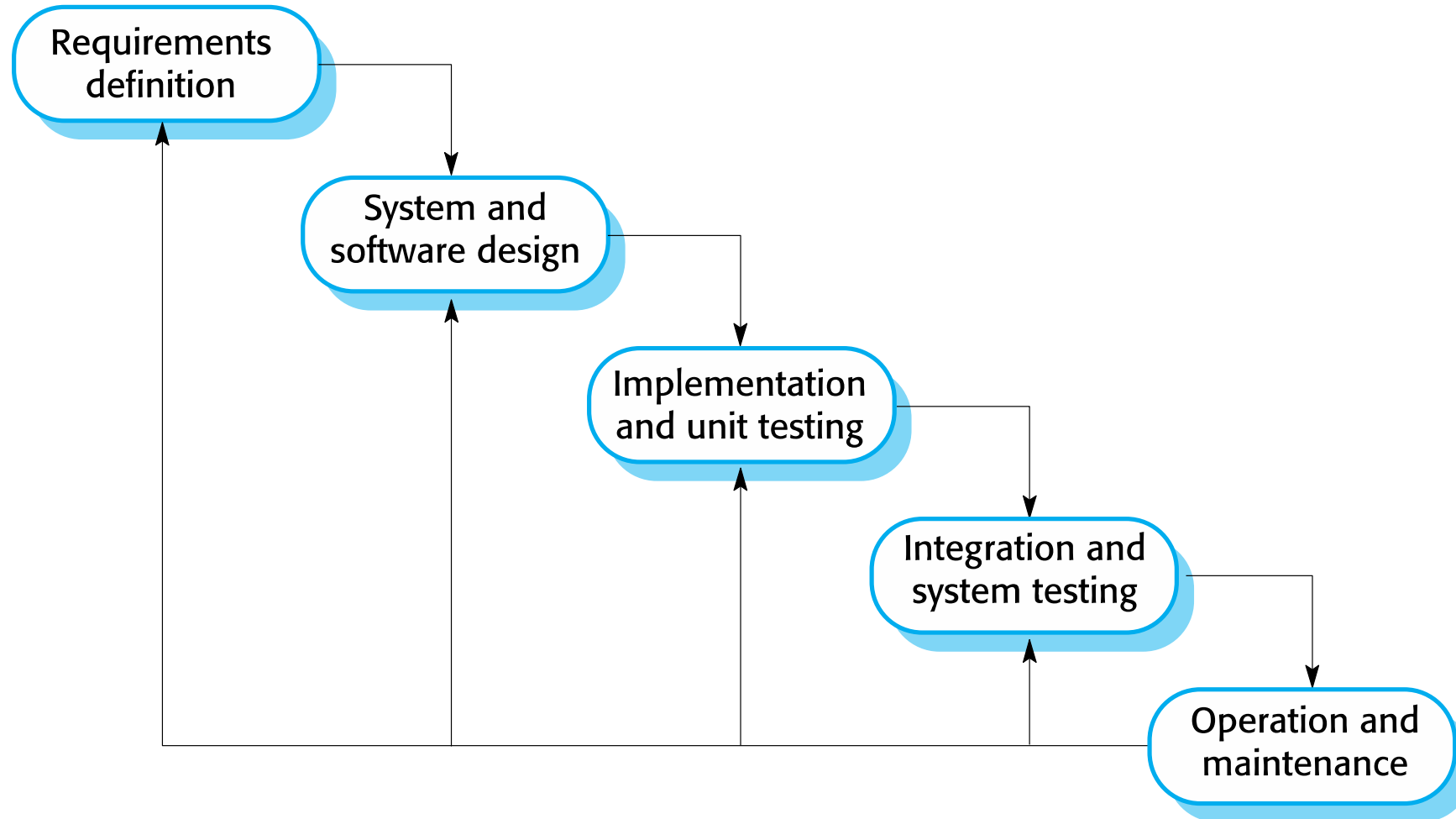
# THE SOFTWARE PROCESS

- ✓ A structured set of activities required to develop a software system.
- ✓ Many different software processes but all involve:
  - Specification
  - Design and implementation
  - Validation
  - Evolution.
- ✓ A software process model
  - an abstract representation of a process

# SOME SOFTWARE PROCESS MODELS

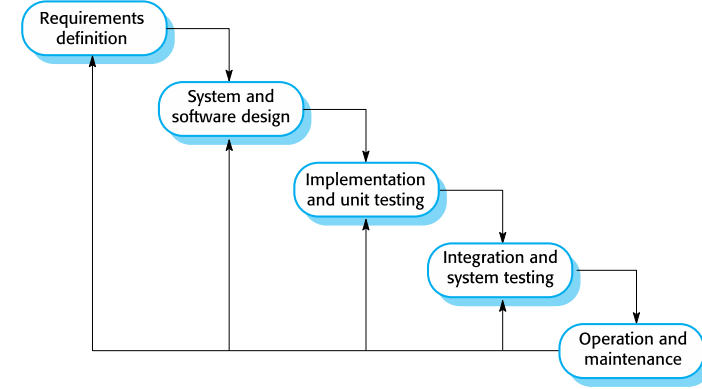
- ✓ The waterfall model
  - Plan-driven model.
  - Separate and distinct phases of specification and development.
- ✓ Incremental development
  - Specification, development and validation are interleaved.
  - May be plan-driven or agile.
- ✓ Integration and configuration
  - The system is assembled from existing configurable components.
  - May be plan-driven or agile.
- ✓ In practice, most large systems are developed using a process that incorporates elements from all of these models.

# THE WATERFALL MODEL



In principle, a phase has to be complete before moving onto the next phase.

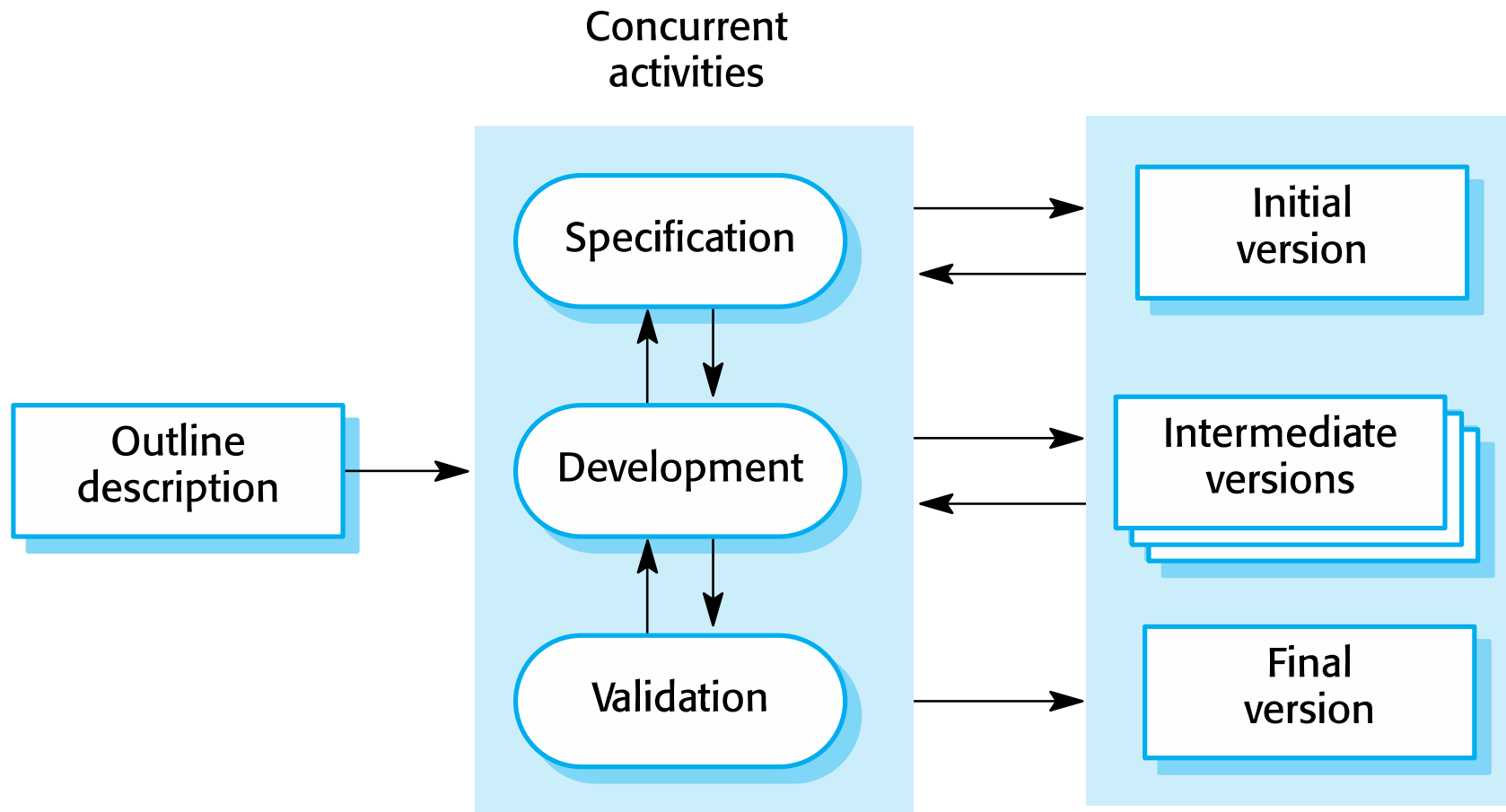
# WATERFALL MODEL USAGES



- ✓ The main drawback:
  - the difficulty of accommodating change after the process is underway.
- ✓ Mostly used for large systems engineering projects
  - a system is developed at several sites.
  - the plan-driven nature of the waterfall model helps coordinate the work.
- ✓ When the requirements are well-understood and changes will be fairly limited during the design process.
  - Few business systems have stable requirements.



# INCREMENTAL DEVELOPMENT



# INCREMENTAL DEVELOPMENT BENEFITS

- ✓ Reduce the cost of accommodating changing customer requirements
- ✓ Easier to get customer feedback on the development work that has been done.
- ✓ More rapid delivery and deployment of useful software to the customer

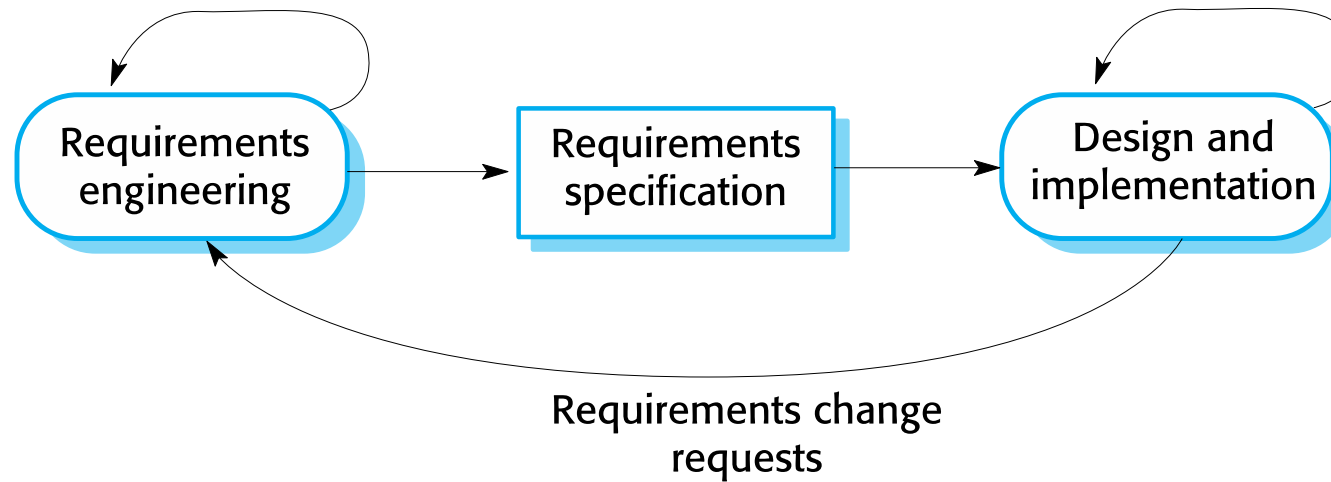
# INCREMENTAL DEVELOPMENT PROBLEMS

- ✓ The process is not visible.
  - Managers need regular deliverables
  - Not cost-effective to produce documents for every product version
  
- ✓ System structure tends to degrade as new increments are added.
  - Need time and money on refactoring to improve the software
  - Regular change tends to corrupt the structure.
  - Incorporating further software changes becomes increasingly difficult and costly.

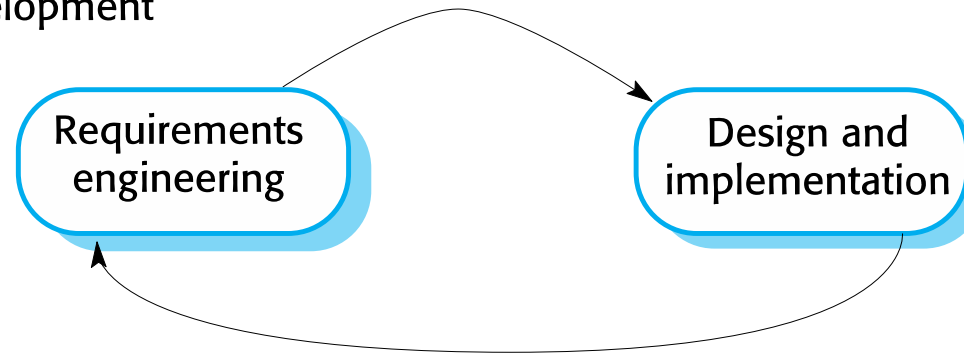
# AGILE DEVELOPMENT

Plan-based development

*i.e.: waterfall model, incremental development*



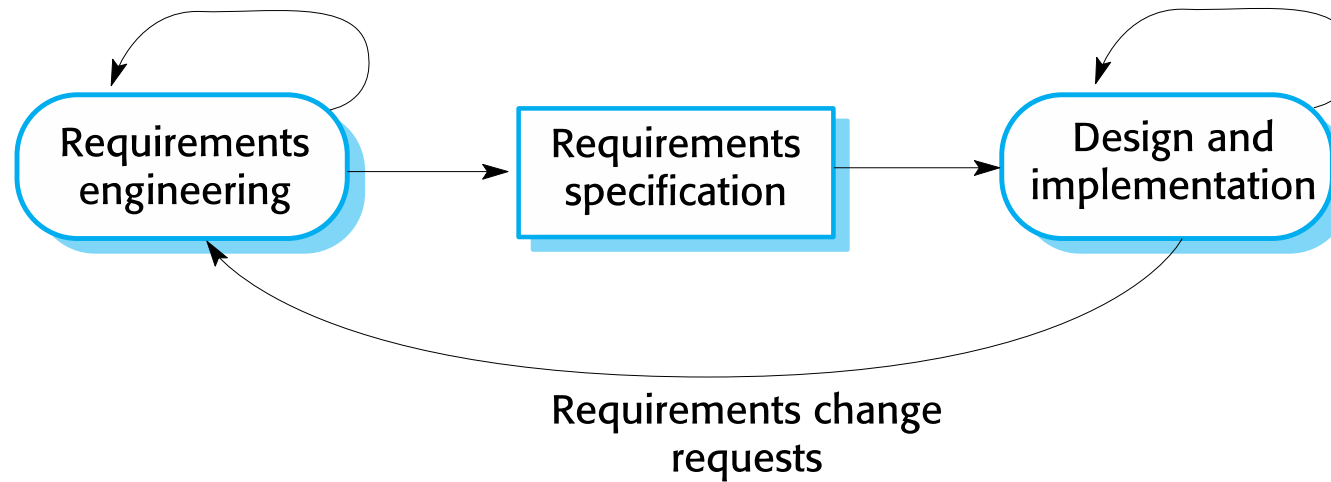
Agile development



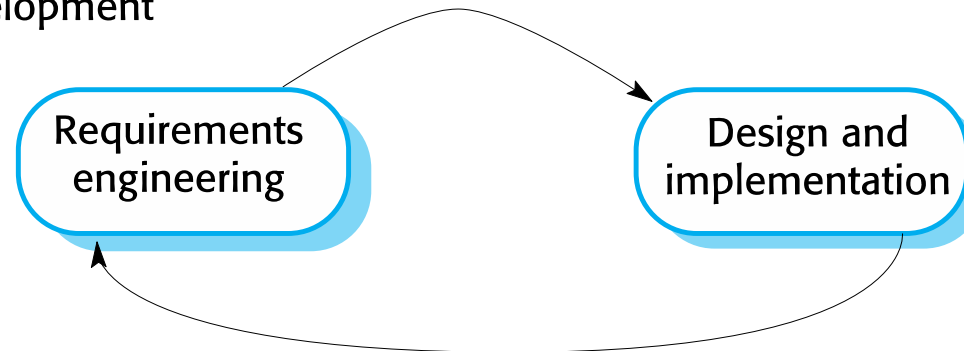
# AGILE DEVELOPMENT

Plan-based development

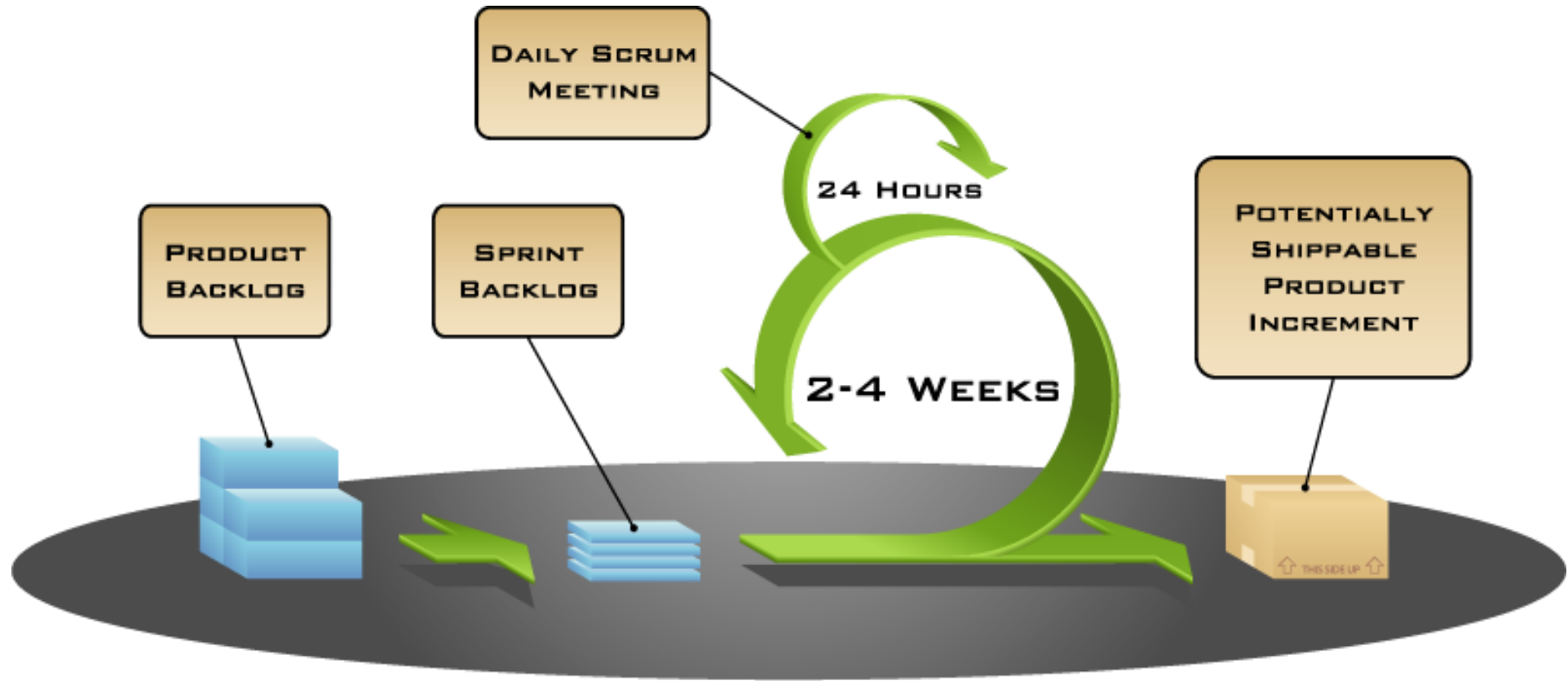
*i.e.: waterfall model, incremental development*



Agile development

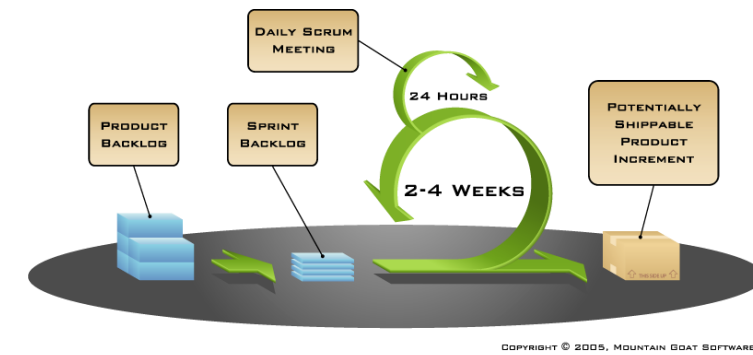


# SCRUM – THE MOST POPULAR AGILE DEVELOPMENT APPROACHES



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# AGILE DEVELOPMENT



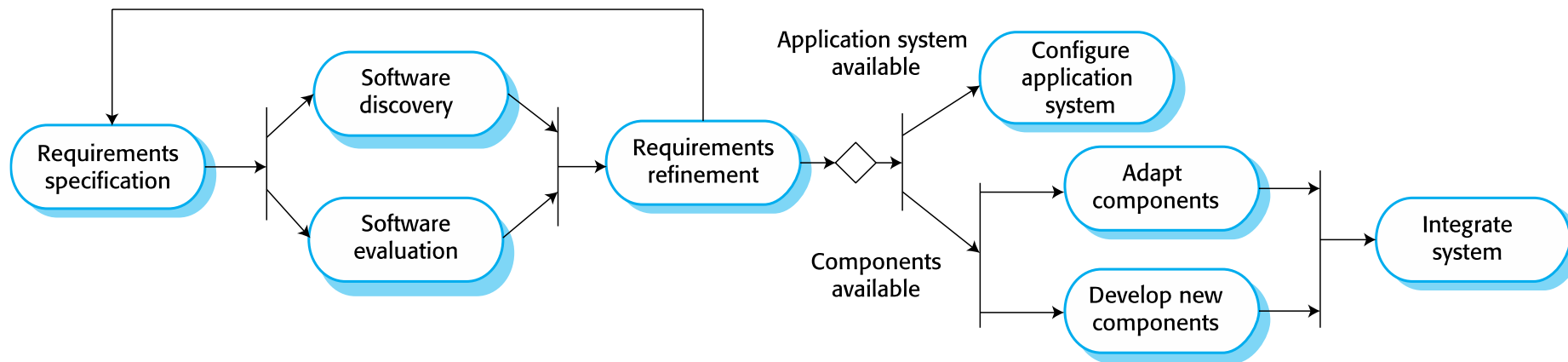
PROs	CONs
More flexible	Hard to predict
Product get to market faster	Final product is not released first
Better communication	Documentation gets left behind

# REUSE-ORIENTED SOFTWARE ENGINEERING

- ✓ Based on software reuse where systems are integrated from existing components or application systems (COTS - Commercial-off-the-shelf) systems).
  - Stand-alone application systems (COTS)
  - Package objects / component framework such as .NET or J2EE.
  - Web services
- ✓ Reused elements may be configured to adapt their behaviour and functionality to a user's requirements
- ✓ Reuse is now the standard approach for building many types of business system



# REUSE-ORIENTED SOFTWARE ENGINEERING



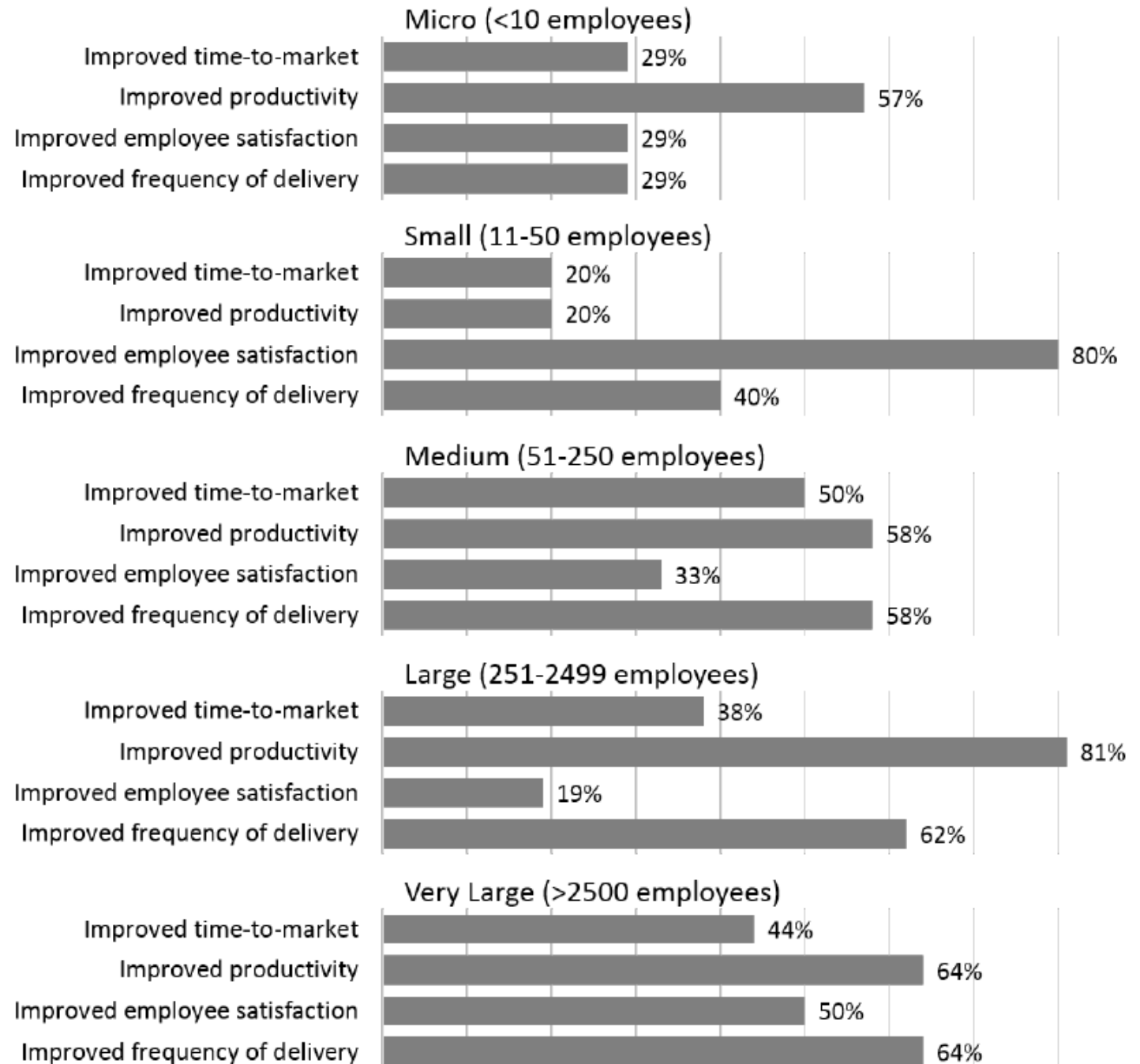
# ADVANTAGES AND DISADVANTAGES

- ✓ Reduced costs and risks as less software is developed from scratch
- ✓ Faster delivery and deployment of system
- ✓ But requirements compromises are inevitable so system may not meet real needs of users
- ✓ Loss of control over evolution of reused system elements

# “HYBRID DEVELOPMENT APPROACHES IN SOFTWARE SYSTEMS DEVELOPMENT”

Many companies face the problem of finding a development approach fitting

Kuhrmann, M., P. Diebold, J.  
“Hybrid Software Development  
Software 36 (4): 20–31. <https://doi.org/10.1007/s00166-019-00900-0>



# A DISCUSSION CASE

[https://docs.google.com/document/d/1S05m-iNqgjqhPTAkPJM6FfoXdnmv6EO4q\\_drPjeXqTA/edit?usp=sharing](https://docs.google.com/document/d/1S05m-iNqgjqhPTAkPJM6FfoXdnmv6EO4q_drPjeXqTA/edit?usp=sharing)



# PROCESS ACTIVITIES



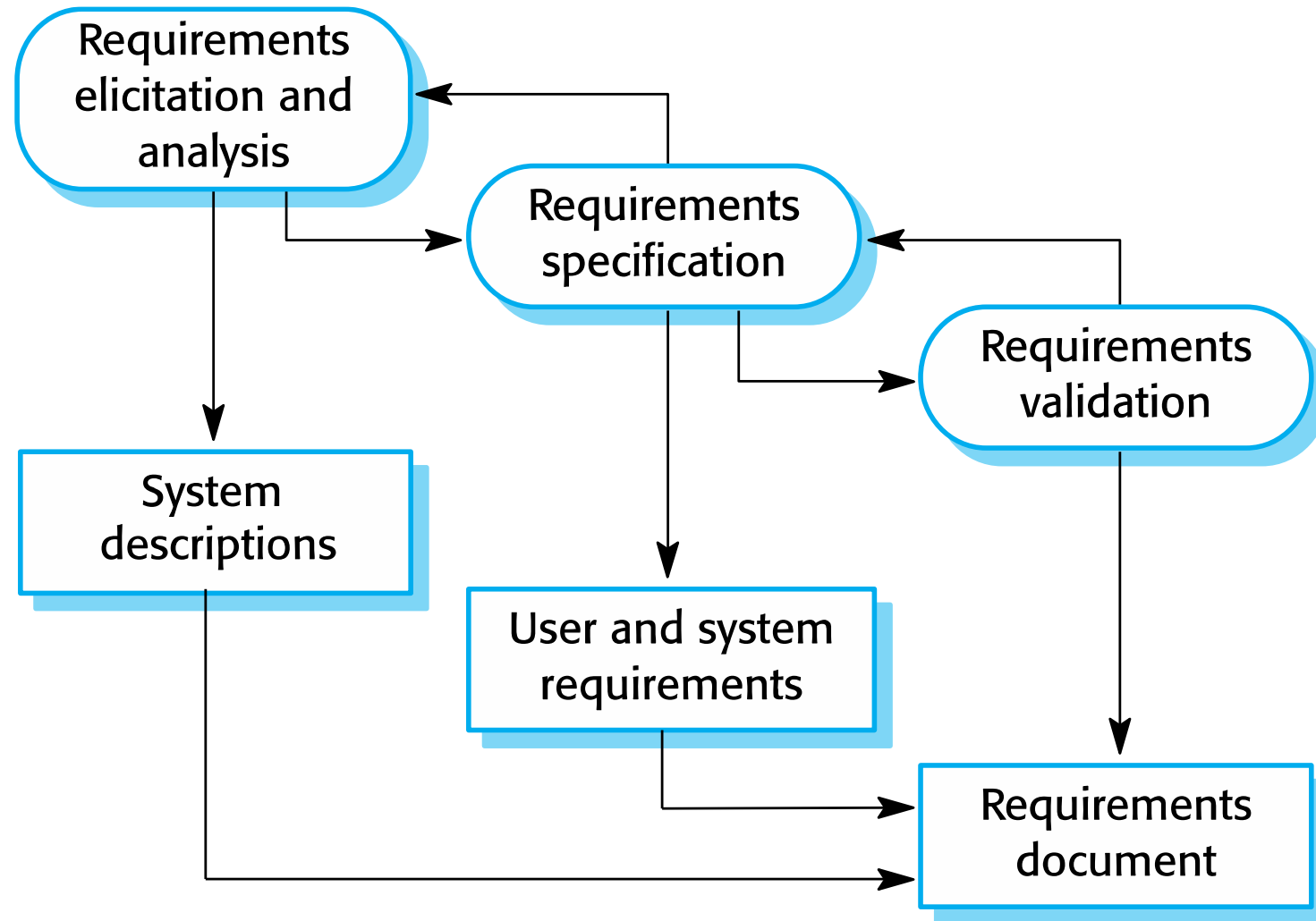
## PROCESS ACTIVITIES

- Real software processes are **inter-leaved sequences** of technical, collaborative and managerial activities with the overall goal of specifying, designing, implementing and testing a software system.
- The four basic process activities (specified in your book) of specification, development, validation and evolution are **organized differently in different development processes**.
- In the waterfall model, they are organized in **sequence**, whereas in incremental development they are **inter-leaved**.

## ACTIVITY: SOFTWARE SPECIFICATION

- ✓ The process of establishing what services are required and the constraints on the system's operation and development.
- ✓ Feasibility study
- ✓ Use: Requirements engineering process
  - Requirements elicitation and analysis
  - Requirements specification
  - Requirements validation

# THE REQUIREMENTS ENGINEERING PROCESS

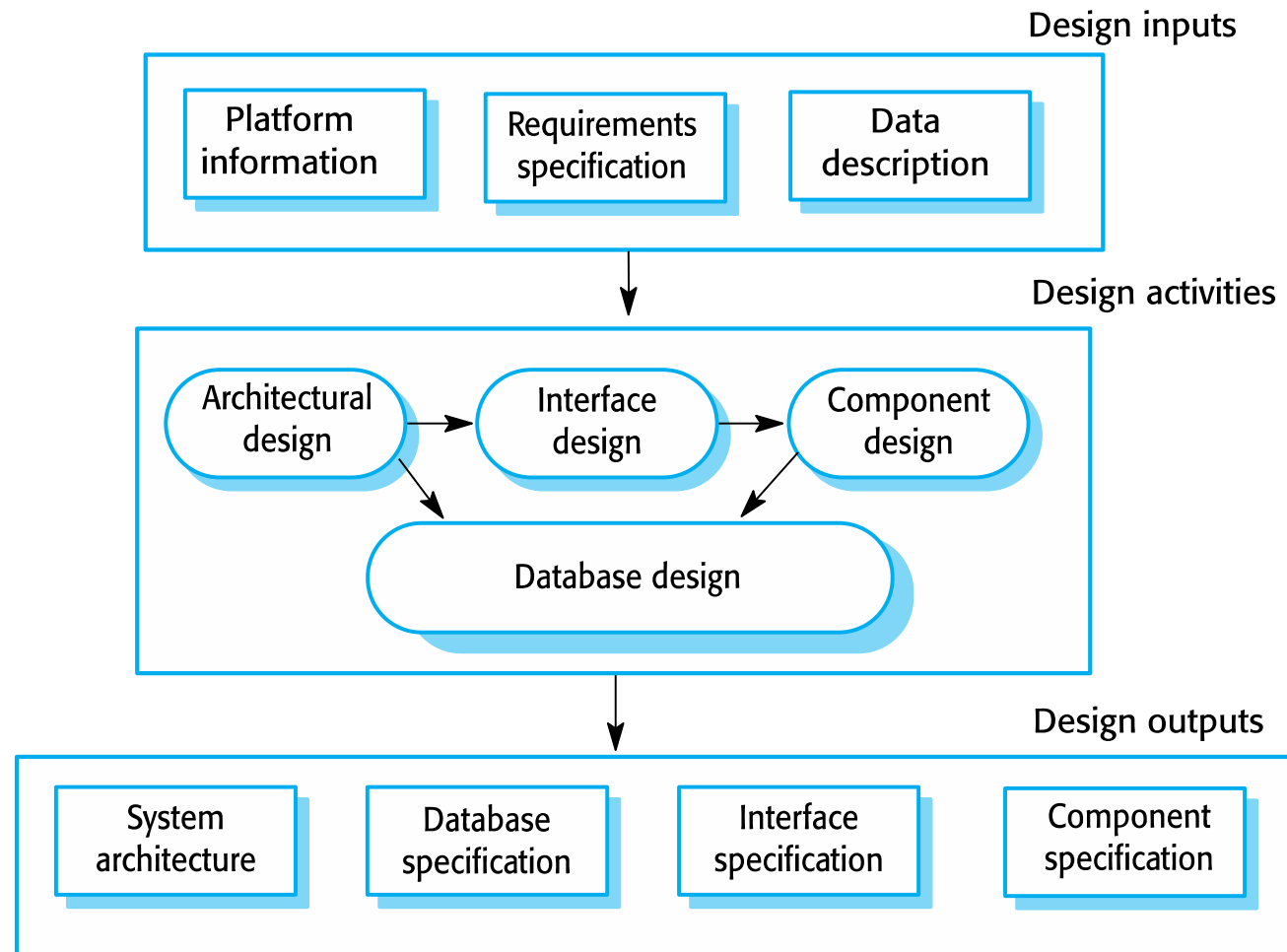




# ACTIVITY: SOFTWARE DESIGN AND IMPLEMENTATION ~ SOFTWARE DEVELOPMENT

- ✓ The process of converting the system specification into an executable system.
  
- ✓ Two (sub) activities:
  - Software design
    - Design a software structure that realises the specification;
  - Implementation
    - Translate this structure into an executable program;
  - The activities of design and implementation are closely related and may be inter-leaved.

# A GENERAL MODEL OF THE DESIGN PROCESS



# SYSTEM IMPLEMENTATION

- ✓ The software is implemented either by developing a program or programs or by configuring an application system.
- ✓ Design and implementation are interleaved activities for most types of software system.
- ✓ Programming is an individual activity with no standard process.
- ✓ Debugging is the activity of finding program faults and correcting these faults.

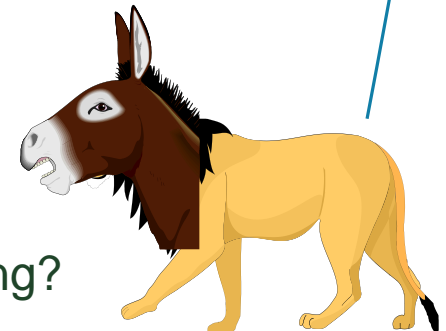
# ACTIVITY: SOFTWARE VALIDATION

building the thing right?

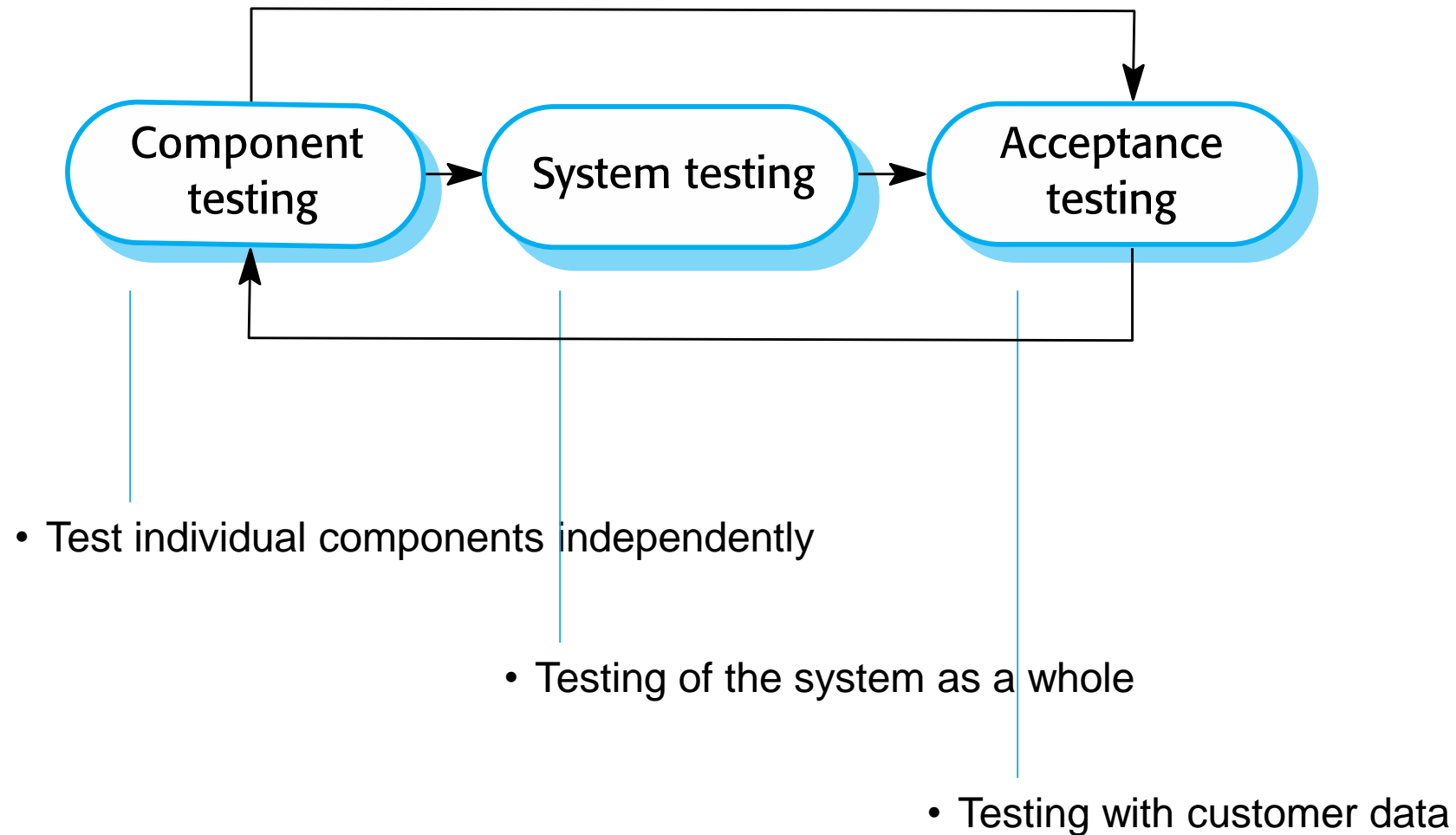


- ✓ Verification and validation (V & V)
  - to show that a system conforms to its specification and meets the requirements of the system customer.
- ✓ Involves checking and review processes and system testing.
  - System testing: executing the system with test cases
  - Testing: the most commonly used V & V activity.

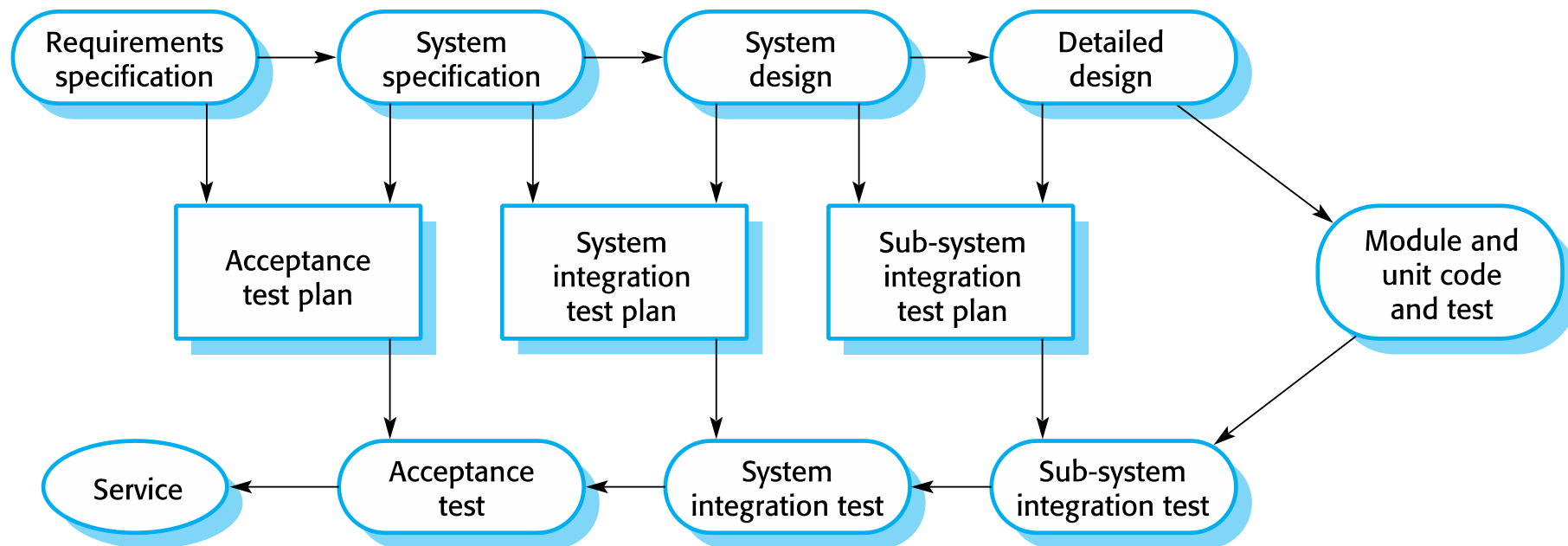
building the right thing?



# STAGES OF TESTING

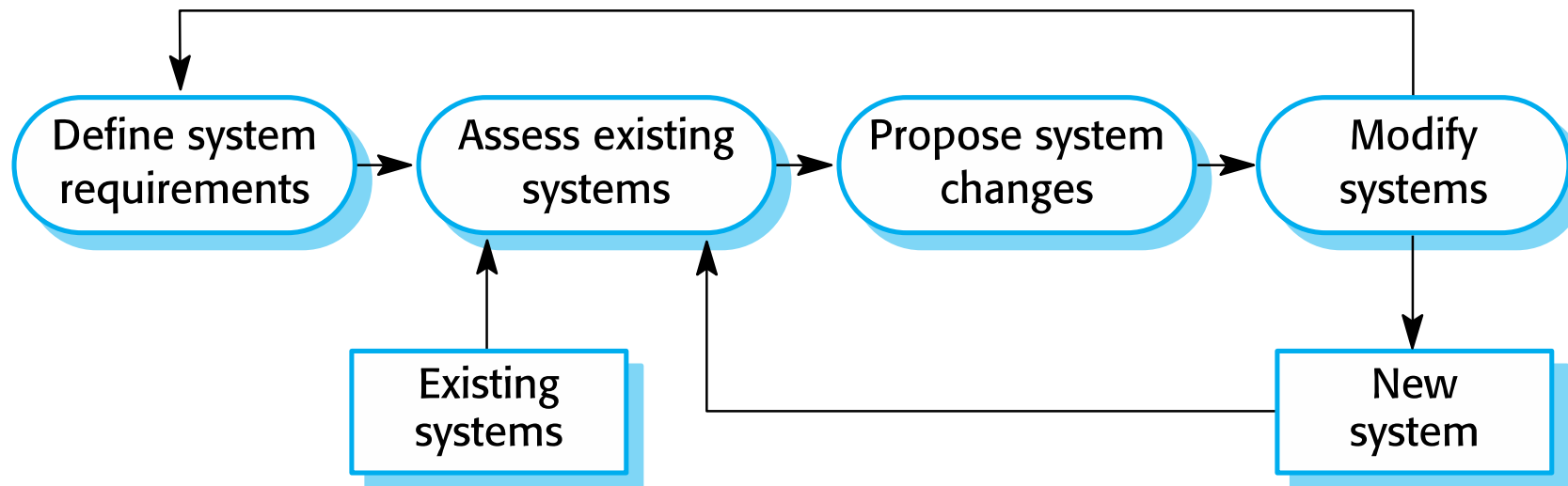


# TESTING PHASES IN A PLAN-DRIVEN SOFTWARE PROCESS



# ACTIVITY: SOFTWARE EVOLUTION

- ✓ Software is inherently flexible and can change.
- ✓ Requirements can change
  - (changing business circumstances)  $\Rightarrow$  the software must also evolve and change.





# COPING WITH CHANGE





# COPING WITH CHANGE

- ✓ Change is inevitable in all large software projects.
  - Business changes
  - New technologies
  - Changing platforms
  
- ✓ Change leads to rework
  - costs include rework (re-analysing requirements) and implementing new functionality

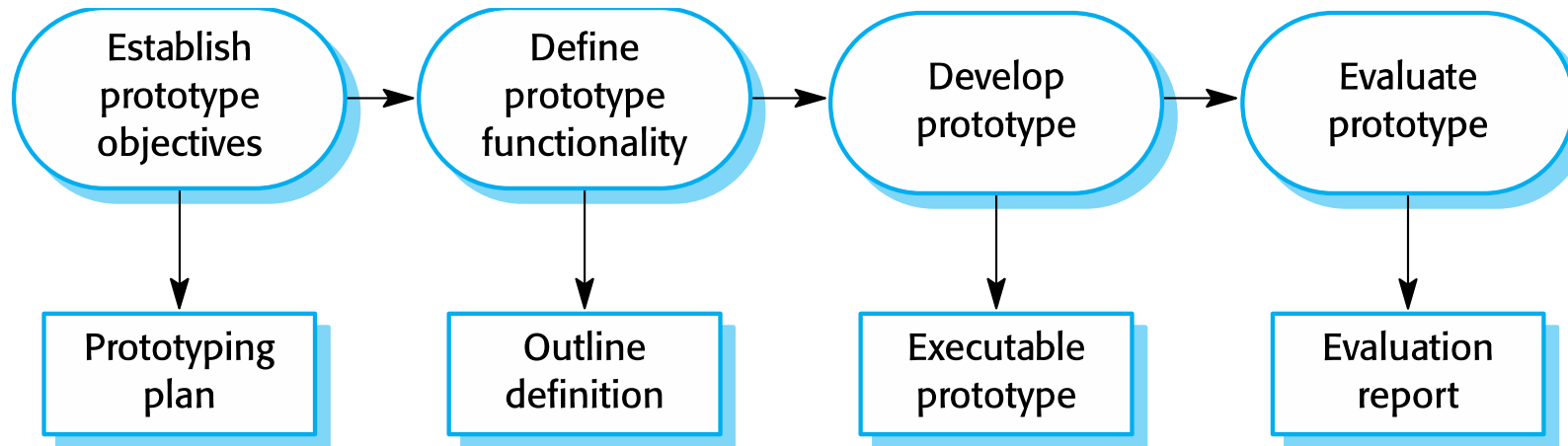
# SOFTWARE PROTOTYPING

- ✓ A prototype is an initial version of a system used to demonstrate concepts and try out design options.
- ✓ A prototype can be used in:
  - requirements engineering process: requirements elicitation and validation; khám phá
  - design processes: options and develop UI design;
  - testing process: run back-to-back tests.

## Benefits:

- Improved system usability.
- A closer match to users' real needs.
- Improved design quality.
- Improved maintainability.
- Reduced development effort.

# THE PROCESS OF PROTOTYPE DEVELOPMENT



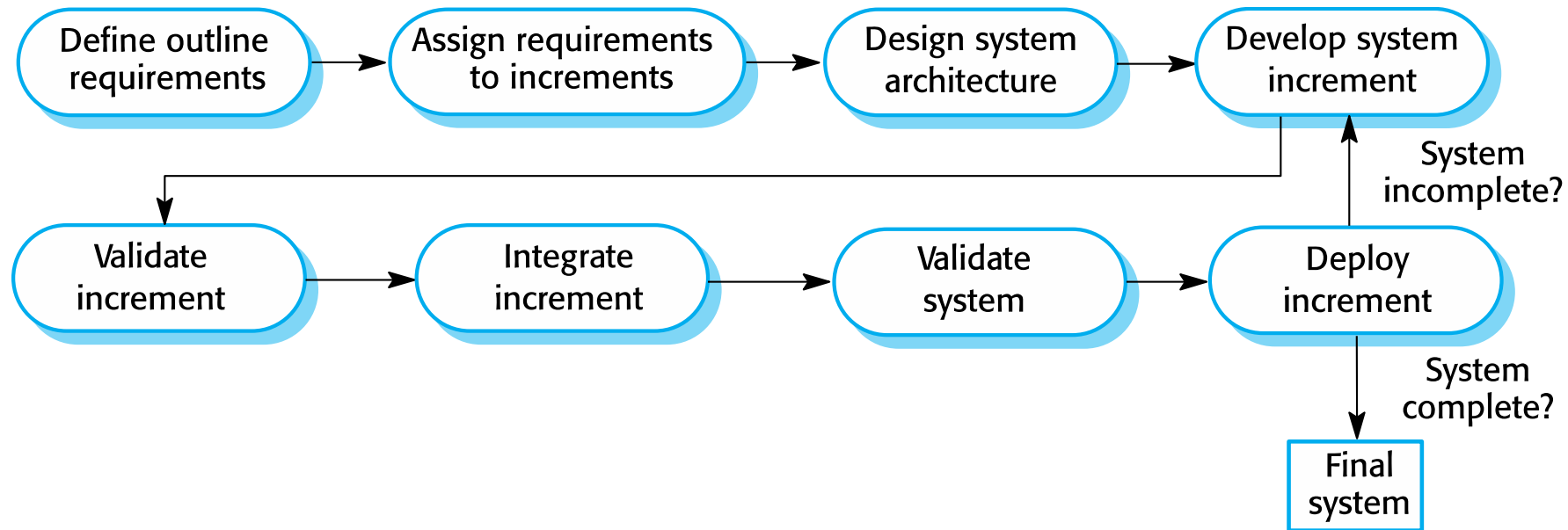
## Prototype development:

- May be based on rapid prototyping languages or tools
- May involve leaving out functionality

# INCREMENTAL DELIVERY

- ✓ The development and delivery is broken down into increments
  - each increment delivering part of the required functionality.
  - user requirements are prioritised and the highest priority requirements are included in early increments.
- ✓ Two approaches:
  - Incremental development: by developer
  - Incremental delivery: for end-user

# INCREMENTAL DELIVERY



## Advantages:

- system functionality is available earlier.
- early increments act as a prototype
- lower risk of overall project failure.
- highest priority system services receive most testing.

## Problems:

- may require a set of basic facilities
- the specification is developed in conjunction with the software.

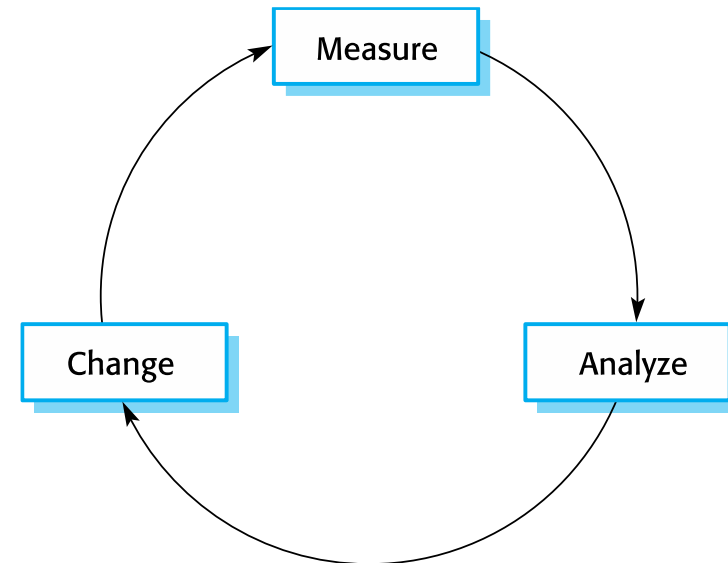


# PROCESS IMPROVEMENT



# PROCESS IMPROVEMENT

- ✓ Software process improvement
  - enhancing the quality of software,
  - reducing costs
  - or accelerating development processes.
- ✓ Process improvement
  - understanding existing processes
  - and changing these processes



# PROCESS IMPROVEMENT ACTIVITIES

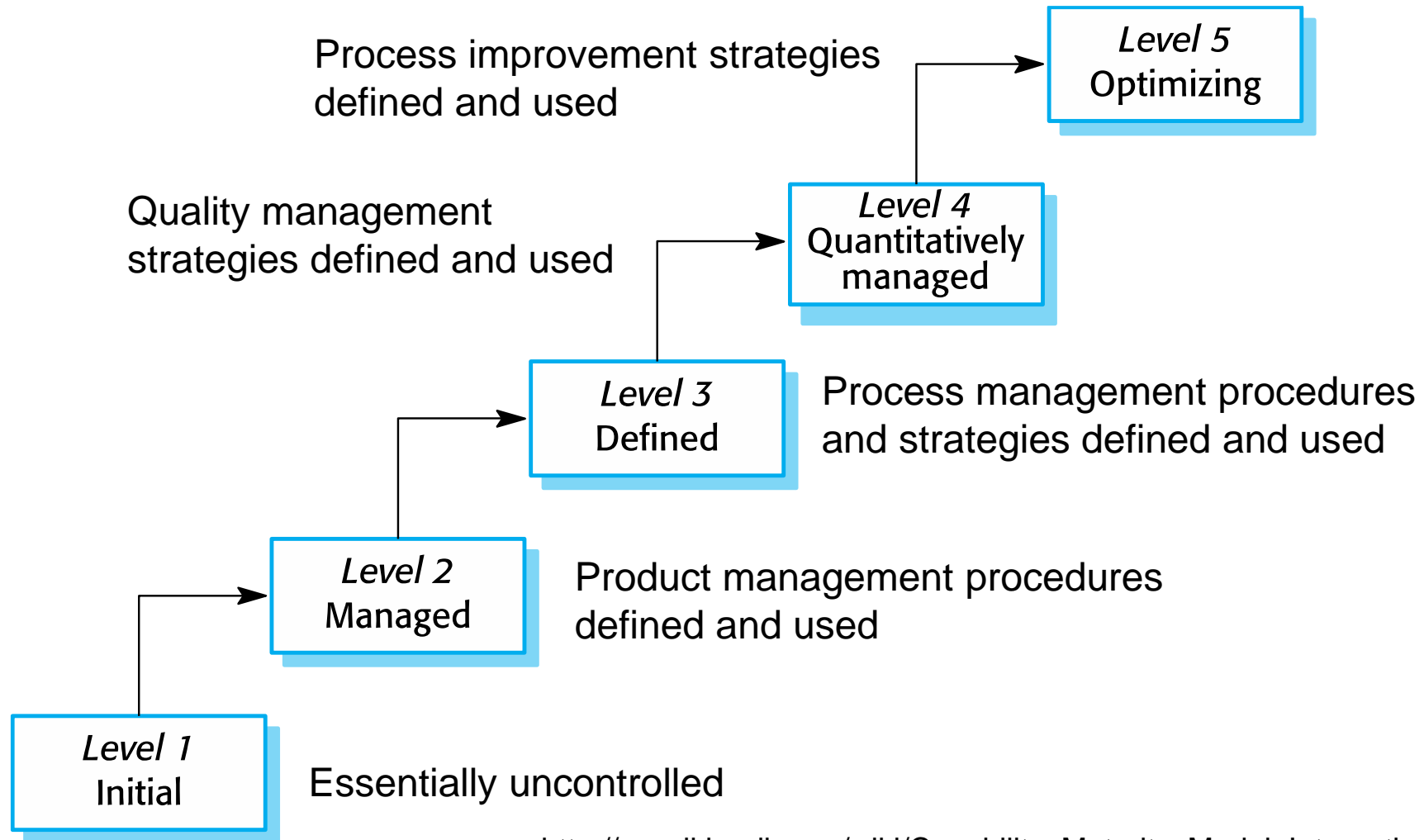
- ✓ **Process measurement**
  - You measure one or more attributes of the software process or product. These measurements form a baseline that helps you decide if process improvements have been effective.
- ✓ **Process analysis**
  - The current process is assessed, and process weaknesses and bottlenecks are identified. Process models (sometimes called process maps) that describe the process may be developed.
- ✓ **Process change**
  - Process changes are proposed to address some of the identified process weaknesses. These are introduced and the cycle resumes to collect data about the effectiveness of the changes.



# THE CAPABILITY MATURITY MODEL (CMM)

- ✓ Capability Maturity Model Integration (CMMI) is a process level improvement training and appraisal program
- ✓ CMMI defines the most important elements that are required to build great products, or deliver great service
- ✓ It is required by many U.S. Government contracts, especially in software development.

# THE CAPABILITY MATURITY MODEL (CMM)



[http://en.wikipedia.org/wiki/Capability\\_Maturity\\_Model\\_Integration](http://en.wikipedia.org/wiki/Capability_Maturity_Model_Integration)

# SOFTWARE PROJECT DOCUMENTATION

Activity	Document
Validation & Verification	<b>SVVP</b> - Software Validation & Verification Plan
Quality Assurance	<b>SQAP</b> - Software Quality Assurance Plan
Configuration	<b>SCMP</b> - Software Configuration Management Plan
Project status	<b>SPMP</b> - Software Project Management Plan
Requirements	<b>SRS</b> - Software Requirements Specifications
Design	<b>SDD</b> - Software Design Document / Software Detail Design Document
Code	Source <b>Code</b>
Testing	<b>STD</b> - Software Test Document
Operation	User's <b>Manual</b>

# SUMMARY

- ✓ Software processes
- ✓ Software process models
  - waterfall, incremental development, reuse-oriented development.
- ✓ Fundamental activities:
  - Requirements engineering: developing specification.
  - Design and implementation: transforming a requirements specification into an executable software system
  - Software validation: checking that the system conforms to its specification.
  - Software evolution: change existing software systems to meet new requirements

## SUMMARY (CONT.)

- ✓ Coping with change
  - prototyping
  - iterative development and delivery
- ✓ Process improvement
  - agile approaches, geared to reducing process overheads,
  - maturity-based approaches based on better process management
  - and the use of good software engineering practice.
- ✓ The SEI process maturity framework (CMM)
  - identifies maturity levels that essentially correspond to the use of good software engineering practice.